

The Budget

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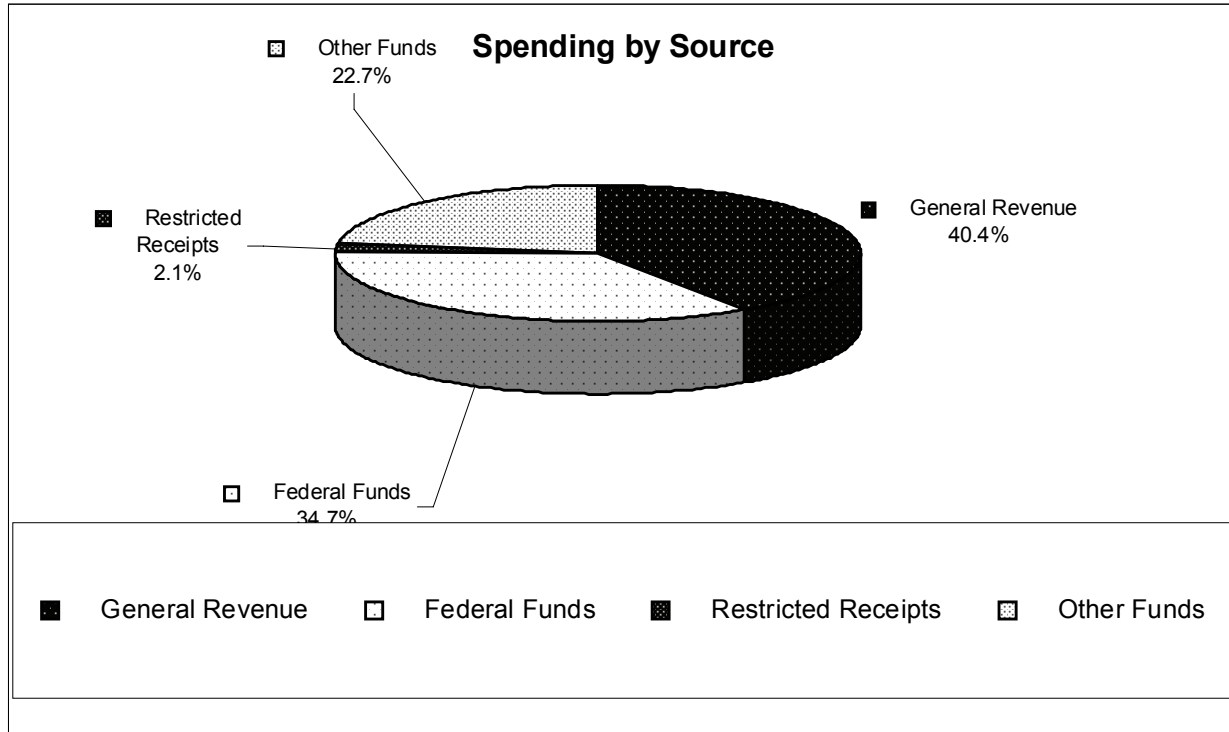
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Introduction

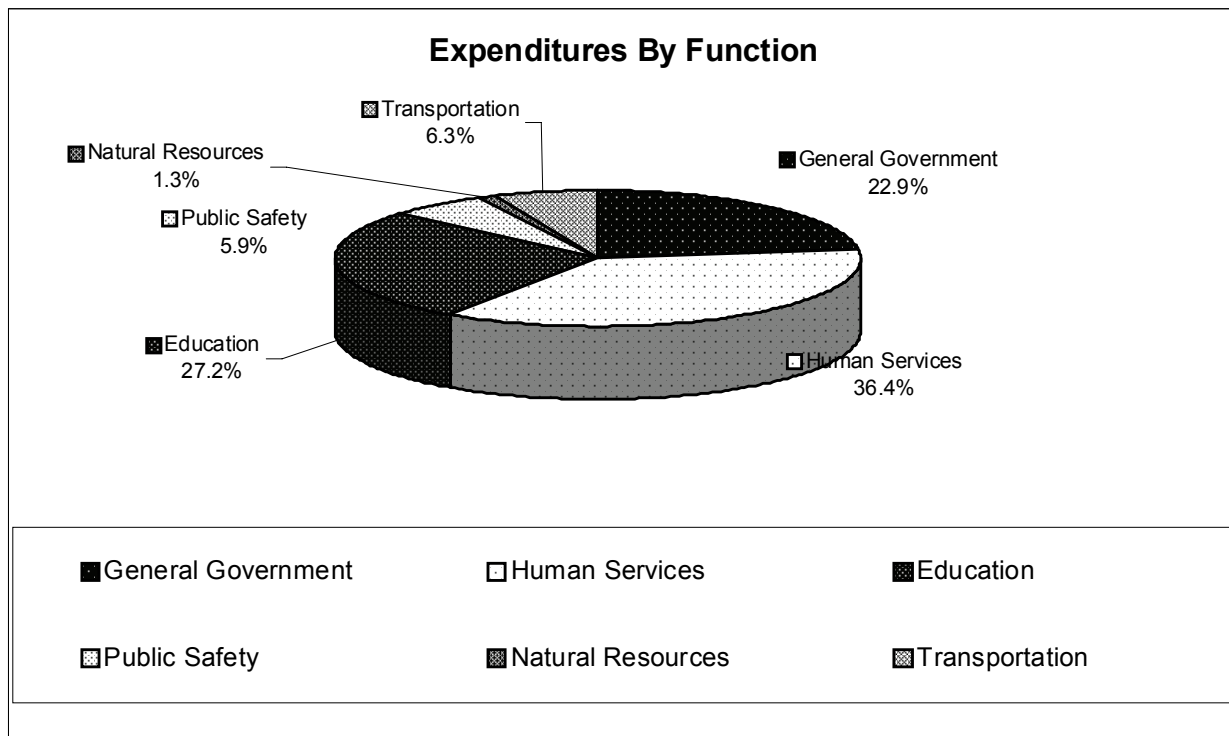
Introduction

All funds expenditures for FY 2010 are \$7.615 billion. Of this total, \$3.079 billion or 40.4 percent is from general revenue, \$2.644 billion, or 34.7 percent, from federal funds, \$1.730 billion, or 22.7 percent, from other sources, and \$162.1 million, or 2.13 percent, is from restricted or dedicated fee funds.

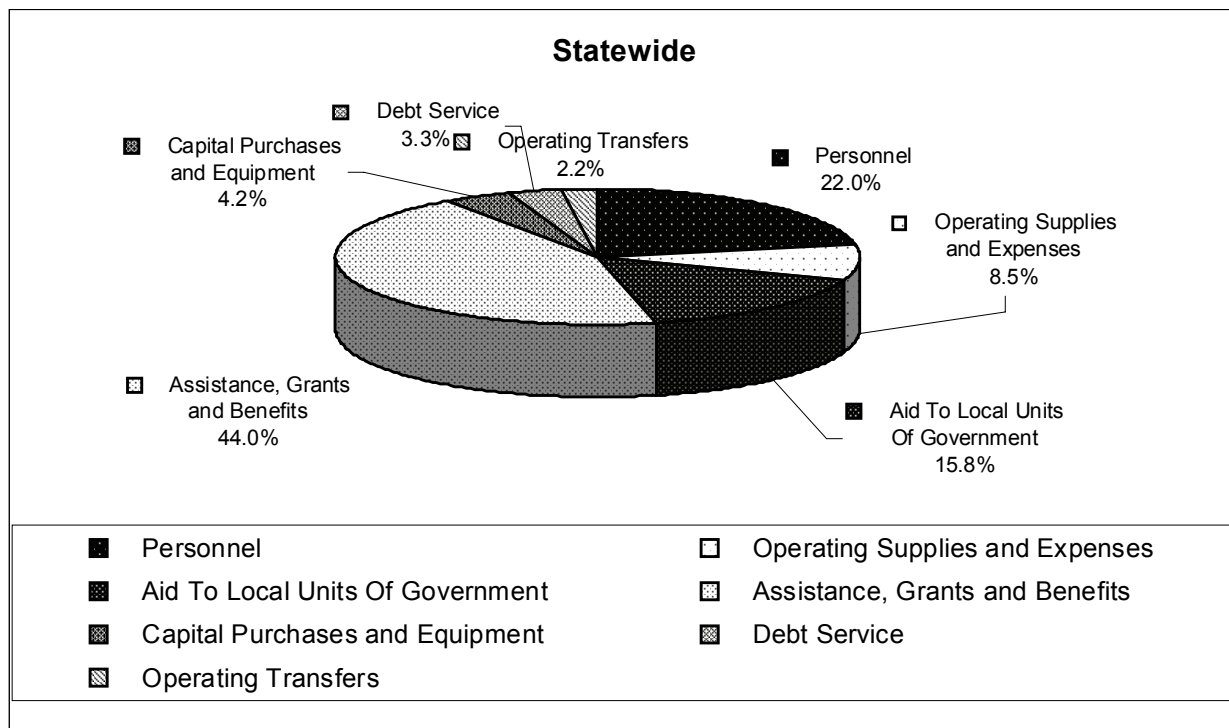


On a functional basis, the largest percentage of expenditures is made in the Human Services area, which comprises \$2.773 billion, or 36.4 percent of the total budget. This is followed by spending for Education of \$2.071 billion, which comprises 27.2 percent of all spending, and expenditures for General Government of \$1.744 billion, equaling 22.9 percent. Public Safety, Natural Resources and Transportation expenditures make up the balance, totaling \$1.026 billion, or 13.5 percent of the total budget.

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The second way to view expenditures is by major category. On this basis, the largest share of the FY 2009 budget is for assistance, grants and benefits equaling \$3.349 billion or 44.0 percent of the total. This is followed by personnel expenditures, which comprise 22.0 percent, or \$1.677 billion, and local aid expenditures, which make up 15.8 percent, or \$1.204 billion of the total budget. Expenditures for capital purchases and debt service total \$571.4 million or 7.5 percent, with the balance of spending used to finance operating expenditures and operating transfers of \$813.2 million, or 10.7 percent of the total.



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Expenditures from general revenue total \$3.079 billion for FY 2010. By function, spending by Education agencies represents the largest share with expenditures, totaling \$1.049 billion, or 34.1 percent of the general revenue budget. This is followed by spending for Human Services, which totals \$1.017 billion, or 33.0 percent. General revenue expenditures for General Government and Public Safety comprise \$606.1 million (19.7 percent), and \$367.7 million (11.9 percent), respectively. Expenditures for Natural Resources comprise \$39.0 million, or 1.3 percent of total general revenue spending. Transportation expenditures are financed by dedicated gasoline taxes and are not a component of general revenue spending.

General revenue expenditures by category are primarily devoted to financing grants, local aid and personnel. The largest component is local aid expenditures of \$996.3 million, comprising 32.4 percent of total general revenue spending. Grant based expenditures of \$870.1 million represent 28.3 percent of total spending; personnel expenditures of \$836.5 million comprise 27.2 percent of the budget; operating expenditures and operating transfers total \$194.3 million, or 6.3 percent of the budget; and, capital expenditures and debt service total \$181.9 million, or 5.9 percent of the total general revenue budget.

Governor Carcieri's *FY 2010 Budget* is the second volume in a six-volume set. The first volume, the *Executive Summary*, contains the summaries of revenue and expenditures on a statewide, functional, and departmental basis. The *Executive Summary* also presents statewide expenditure data by *category* or object of expenditure. This same data is presented in this document in more detail by program.

Specific recommendations for FY 2010 for the departments are presented in the *Executive Summary*, and further detail is provided in the *Technical Appendix*. The five-year financial projection is contained in this document, *The Budget*, as provided by law.

The Budget provides an overview of state expenditures, as well as an in-depth presentation of the State Budget by program with special reports on Education Aid and State Aid to provide a historical perspective on these state expenditures. *The Budget* also contains a "Budget Primer" which is intended to assist the reader of the budget documents in understanding the budget process in Rhode Island.

The financial data presented for state agencies in *The Budget* for the past two fiscal years (FY 2007 and FY 2008) is generally derived from the appropriation accounting and receipt accounting files of the State Controller, as of the time of year-end closing. In the case of the accounts under the jurisdiction of the Board of Governor's of Higher Education, these columns reflect independently audited records.

The financial data for state agencies for the current fiscal year is from the enacted budget, modified in some cases to reflect recommended supplemental appropriations or withdrawals, revised expenditure estimates by category of expenditure or program, and revised estimates of federal grant awards or restricted receipts. In this document, the general revenue balance forward is included at the account level. The proposed changes to the enacted FY 2009 budget are included in the financial data by program for FY 2009. Totals and subtotals often appear to be inaccurate by small amounts or may disagree by small amounts with other budget and financial documents; this is due to differences in rounding procedures. The annual Appropriations Act is the absolute reference for state appropriation amounts.

The Budget also contains both narrative descriptions of Rhode Island's quasi-public agencies, authorities and entities, which are component units of state government for financial reporting purposes, and presents financial data provided by these entities. The Budget Office requests that quasi-public agencies and authorities submit information in the format used by the agency; no attempt is made to conform the financial presentation of the agencies data. In most cases, the FY 2009 and FY 2010 information has not been officially approved by the entities' governing bodies.

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The *FY 2010 Budget* also continues to report performance measurements for most programs, as required by legislative mandate to develop performance measurements for use in the budget process. Measurements are reflected on each finance page of the *FY 2010 Budget* and are explained in detail in the *Technical Appendix*.

The *Personnel Supplement* contains information relating to personnel costs by program, which are included in the Governor's *FY 2010 Budget*. The *Budget as Enacted* will be prepared after final enactment by the 2009 General Assembly. The *Capital Budget* contains information on the Governor's recommended capital improvement plan, and contains individual project expenditures. *The Budget* generally contains the debt service component relating to capital improvements and any "pay-as-you-go" capital, which is financed from current revenues.

The Budget Process: A Primer

The purpose of this primer is to clarify the annual budget and appropriations processes.

Appropriation Process. According to Article IX Section 16 of the Rhode Island Constitution, and the Rhode Island General Laws Section 35-3-7, the Governor must present spending recommendations to the Legislature. *The Budget* reflects expenditures for both the current and upcoming fiscal year and identifies the sources of financing for those expenditures.

On or before the third Thursday in January, unless delayed by act of the Legislature, the Governor must submit to the General Assembly a budget containing a complete plan of estimated revenues and proposed expenditures, with a personnel supplement detailing the number and titles of positions of each agency, and estimates of personnel costs for the next fiscal year.

The budget is proposed by the Governor and considered by the General Assembly, which may increase, decrease, alter, or strike out any item in the budget, provided that the action would not cause an excess of appropriations over anticipated revenue receipts. No appropriation in excess of budget recommendations may be made by the General Assembly unless it provides the necessary additional revenue to cover such appropriation. The Governor may veto legislative appropriations. The Legislature may override any veto by a three-fifths majority vote. Supplemental appropriations measures must be submitted by the Governor to the General Assembly on or before the third Thursday in January. Supplemental appropriations by the General Assembly must also be supported by additional revenues.

The general laws of the state provide that if the General Assembly fails to pass the annual appropriation bill, amounts equal to those appropriated in the prior fiscal year shall be automatically available for expenditure, subject to monthly or quarterly allotments as determined by the Budget Officer. Expenditures for general obligation bond indebtedness of the state shall be made as required regardless of the passage of the annual budget or the amount provided for in the prior fiscal year.

Fiscal Years. It is important when reading the budget to consider which fiscal year is being discussed. The state *fiscal year* runs from July 1 to the following June 30 and is numbered for the calendar year in which it ends (e.g. FY 2009). The *current fiscal year* is the one which ends the coming June. The *actual fiscal years* are the years which concluded in June of the two previous years. The *budget year* refers to the next fiscal year, which begins the July following the Legislature's adjournment. Finally, *out-years* refer to any years beyond the budget year.

By law, *The Budget* must record two actual fiscal years of spending, as well as the Governor's revised spending recommendations for the current fiscal year, and the Governor's full recommendations for the budget year.

Revenue Estimates and Caseload Estimates. Receipt estimates for the current year and budget year are those adopted by the State Revenue Estimating Conference, as adjusted by any changes recommended by the Governor.

The State Revenue Estimating Conference was created by the 1990 General Assembly to provide the Governor and the Assembly with estimates of general revenues. It is composed of the State Budget Officer, the House Fiscal Advisor, and the Senate Fiscal Advisor, with the chair rotating among the three. It must meet no less than twice per year, in November and May, and can be convened at any other time by call of

The Budget Process: A Primer

any member, and must reach consensus on revenues. The 1991 Assembly created a Medical Assistance and Public Assistance Caseload Estimating Conference similar to the Revenue Estimating Conference to adopt cash assistance entitlement caseload estimates. The 1998 Assembly amended the Medical Assistance and Public Assistance Caseload Estimating Conference to estimate medical assistance expenditures, upon which the Governor's expenditures budget shall be based, and the appropriations by the assembly shall be made.

The consensus revenue estimate is the official revenue projection for general revenue. Estimates of revenues for federal funds, restricted receipts, and other funds are prepared by individual state agencies, reviewed by the Budget Office, and included in *The Budget*.

Classification of State Spending. The State of Rhode Island classifies state spending by function of government and by category of expenditure.

Function of government classifies expenditures by grouping agencies that make expenditures for similar programs and purposes. There are six functions of government defined in *The Budget*: General Government, Human Services, Education, Public Safety, Natural Resources, and Transportation.

The following explains the six functions of government included in *The Budget*.

General Government includes the administrative and regulatory functions of state government. Certain elected officials (Governor, Lieutenant Governor, General Treasurer, Secretary of State), the Legislature, and the Department of Administration are agencies that perform an administrative function. The Department of Business Regulation, the Department of Labor and Training, and the Public Utilities Commission are examples of agencies that perform a regulatory function. The Department of Revenue coordinates revenue collection activities of several state divisions.

Human Services includes agencies that provide services to individuals. These services provided include: the care of the disabled by the Department of Mental Health, Retardation and Hospitals; child protective and social services provided by the Department of Children, Youth and Families; health programs at the Department of Health and the Department of Human Services; financial assistance and social services provided by the Department of Human Services; and pharmaceutical assistance and home health care at the Department of Elderly Affairs. The Executive Office of Health and Human Services coordinates the programmatic and financing concerns of the Human Services agencies.

The *Education* function provides education services to Rhode Islanders. The State Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education provide direct education services, while services provided by the Rhode Island Telecommunications Authority are indirect in nature.

Public Safety is the function that provides safety and security services to Rhode Island citizens. Agencies in this function include the Department of Corrections, the Judiciary, the Attorney General and the Department of Public Safety.

The Budget Process: A Primer

The *Natural Resources* function protects the natural and physical resources of the state and regulates the use of those resources. Agencies included in this function are the Department of Environmental Management, the Coastal Resources Management Council, and the Water Resources Board.

Transportation includes the Department of Transportation, which is the only agency in this function. It is responsible for maintaining and constructing highways in Rhode Island, and for planning and financing all surface transportation modes.

Categories of expenditure classify expenditures by budgeting and accounting objects of expenditure: personnel; state operations; aid to local units of government; assistance, grants, and benefits; and capital. Objects of expenditures define how funds are encumbered and expended.

Personnel includes the salaries, wages, and benefits of state employees, as well as personnel services purchased from outside contractors and vendors.

State Operations include expenses incurred while conducting the day-to-day business of state government. This category includes "personnel" and "operating." Personnel includes expenditures for salaries and wages, fringe benefits, consultant services, and workers' compensation costs. Operating expenses comprise non-personnel expenditures for operations of state government, including maintenance and non-fixed equipment (capital outlay).

Aid to Local Units of Government is payments made to governmental units that provide services at the local level. Education Aid to local school districts is an example.

Other Assistance, Grants and Benefits constitutes payments to individuals and agencies which are not governmental units. Drugs, medicine and nursing facilities for the Medicaid programs, the pharmaceutical assistance program for the elderly, and cash assistance payments for Family Independence Program entitlements are a few examples.

Capital includes capital debt service and capital improvements. While the debt service component of capital improvements is reflected in the operating budget, the majority of capital improvements are found in the *Capital Budget*. Debt service includes payments on short term tax anticipation notes, long term general obligation bonds, Rhode Island Refunding Bond Authority lease payments, certificates of participation payments for the Intake Service Center, Attorney General's administrative office, and Shepard's building and lease payments to the Convention Center Authority.

State Employees. A major part of the state operations category of expenditures is salary and wage payments to employees. Public service in state government is divided into the classified service, unclassified service and non-classified service. The classified service comprises all positions in state service, now existing or to be created, except as specifically provided under R.I.G.L. 36-4-2 for unclassified employees and R.I.G.L. 16-59-7 for Higher Education non-classified employees. The classified service is divided into a competitive branch and a non-competitive branch. Employees hired to fill positions in the classified service must be hired on the basis of merit and fitness. The non-competitive branch includes positions that require the performance of routine tasks, or those that require licenses, certificates, or registrations. These employees are also promoted and discharged on the basis of rules and regulations established and administered by the Rhode Island State Employees Merit System.

The Budget Process: A Primer

Certain positions are specifically designated for inclusion in the unclassified service. These positions are defined to include those in specific agencies, or types of agencies for specific purposes. Examples are employees of the Legislature, elected officials, and employees of the courts. Compensation for unclassified positions is governed by the Unclassified Pay Board and other matters are governed by rules and regulations of the unclassified system.

State service also includes special types of positions. In addition to regular full time positions, there are *seasonal* positions in the classified service. Such positions may require the employee to work an irregular schedule such that the employee is on call when needed, or for only a portion of the year, and only for a maximum of 925 hours in a 12-month period. Employees of the Department of Environment Management who staff the state's parks, beaches, and wildlands in the summer are an example of seasonal employees.

Financing of State Spending. Frequent reference is made in *The Budget* to "general revenue" expenditures and expenditures from "all funds". Expenditures from all funds include both general revenue expenditures and expenditures from federal funds, restricted receipts, and other or special revenue funds.

General revenue receives the most attention in the budget because it is the largest of the "uncommitted" revenue sources available to the state. It is also the fund to which most general tax receipts are credited. The Legislature may appropriate general revenue dollars for any purpose.

Federal funds, restricted receipts, and other funds, by contrast, are dedicated to specific purposes. For example, the Legislature may not appropriate monies from the Unemployment Insurance Fund to build new prisons. Other funds include the University and College Funds, the Transportation Fund, the Unemployment Insurance Fund and the Temporary Disability Insurance Fund.

Within the budget documents, schedules contain expenditure data for two actual fiscal years, the current fiscal year and the budget year. The schedules display agency data by fund source for All Funds, General Revenue, Federal Funds, Restricted Receipts, and Other Funds.

Rhode Island Capital Plan Funds. On November 7, 2006, Rhode Island voters approved a constitutional amendment limiting state expenditures commencing in FY 2008 such that appropriations do not result in general fund expenditures exceeding 98.0 percent of general fund revenues in FY 2008, and 97.0 percent in FY 2012 and thereafter. The amendment to the Rhode Island Constitution that has restricted, as of July 1, 2007, the use of excess funds in the Rhode Island Capital Fund solely for capital projects. Previously, the fund could be used for debt reduction, payment of debt service, and capital projects. Also, the constitutional amendment will, beginning on July 1, 2012, increase the budget reserve account by limiting annual appropriations to ninety-seven (97%) percent of estimated revenues and increasing the cap on the budget reserve account to five (5%) percent of estimated revenues. During the 2007 Session of the General Assembly, a statutory schedule was enacted to provide for incremental decreases of 0.2 percent to gradually move spending from 98 percent of revenues to 97 percent of revenues. Additionally, the budget reserve account maximum balance would be gradually increased by increments of 0.4 percent to gradually move from 3.0 percent to 5.0 percent of resources. In FY 2009, the spending is limited to 97.8 percent of revenues and the budget reserve fund is capped at 3.4 percent of resources.

Additionally, during the 2007 Session of the General Assembly, a law was enacted which requires that revenues received in excess of the amount estimated in the enacted budget, net of reserve fund contributions, would be transferred to the State Retirement Fund upon completion of the post audit.

The Budget Process: A Primer

Budget Basis. *The Budget* is prepared on the same basis that the state's year end financial statements, which is a modified accrual basis. Briefly, this means that obligations of the state are budgeted as expenditures if the goods or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated on the basis of when they are "earned" by the state. For example, the estimate of gas tax revenues reflects twelve months of revenues. However, due to a one month difference in the time the liability is incurred and the actual cash payment is made by the taxpayer, the revenue estimate reflects cash received from August through July.

The Comprehensive Annual Financial Report (CAFR) shows the status of the state's finances on the basis of "generally accepted accounting principles" (GAAP). Every attempt is made in preparing the budget to ensure that it is consistent with the auditing standards upon which the state's financial position will be determined.

Program Performance Measures. The current administration continues in its effort to develop and track measures of the performance of state agencies and departments. The performance measures included in the FY 2009 budget are derived from the on-going process to identify and refine measures for state decision-makers to evaluate on an annual basis. With few exceptions, all executive branch agencies, and most other government offices, have developed a mature set of program performance measures. These measures are included on the agency and program financing pages in *The Budget* and are described further in the *Technical Appendix*. In some cases where no measures are identified, the process of developing measures continues. These cases are identified as NS (not supplied) or NC (not complete). Performance measures for general officers and agency central management units are not required, indicated by NA (not applicable).

The Budget Process. Production of a budget is a continuous process. It does, however, have certain discrete phases. In the Executive Branch, the budget process begins as soon as the legislative session ends. At that time the budget staff prepares the *Budget As Enacted* which reflects the budget enacted by the Legislature.

In the spring and early summer, budget instructions and allocations are distributed by the Budget Office to state agencies. At those times, agencies are instructed by the Budget Office to prepare a capital and operating budget. The capital budget contains a five-year capital improvement plan.

Agencies are requested to prepare operating budgets at specified target levels for submission on or before September 15 or as specified by the Budget Officer. Agencies may also be allowed the opportunity to request additional funding through special white papers, separate from the agency's budget request.

The individual budgets submitted by the state agencies show program expenditures, with appropriate funding sources for each program within the agency. These data are shown for the actual year, the current year, and the target for the budget year.

In the fall and early winter, analysts in the Budget Office review agency budget requests. The Budget Office staff prepares recommendations for the Governor. An analysis of items added or reduced from the target request is presented to the Governor. The analysis includes the basis for the agency's request and the Budget Office recommendation and the basis for it. The Governor then makes a budget determination. This process is repeated for all issues in all agencies. These decisions form the Governor's recommendations. The Budget Office compiles the individual recommendations, aggregates them, and prepares *The Budget*. *The Budget*

The Budget Process: A Primer

reflects the revised current year funding plan submitted to the Legislature on the third Thursday in January, as well as the budget year funding plan.

During this same period between September and the start of the legislative session, House and Senate fiscal staffs also have the agency budget requests for analysis. Following receipt of the Governor's recommendations, the legislative fiscal analysts begin their analysis of the recommendations of the Governor.

The Governor's budget recommendations traditionally are incorporated in one omnibus appropriations bill, which normally includes articles containing any statutory changes which would be required to implement the Governor's budget.

The following table summarizes the **budget calendar** during the budget cycle:

| | |
|----------------------------------------|------------------------------------------------------------------------------------------------------|
| Mid-July | Capital Budget Requests due to Budget Office. |
| Late July | Budget Instructions and Funding Targets distributed to Agencies. |
| Mid September | Budget requests of Agencies with enacted budget of \$7.0 million or less due to Budget Office. |
| Late Sept./ Early Oct. | Budget requests of Agencies with enacted budget of over \$7.0 million due to Budget Office. |
| Oct./Nov. | Agency Meetings to review Budget Recommendations. |
| Late Dec./ Early Jan. | Gubernatorial Decisions on current year supplement and budget year recommendations. |
| 3 rd Thursday in January | Statutory date for current-year Governor's Supplemental Appropriation bill submitted to Legislature. |
| 3 rd Thursday in January | Statutory date for budget-year Governor's Budget Recommendation bill submitted to Legislature. |

Consideration by House of Representatives and Senate. Appropriation bills are introduced into the House and are first considered by the House Finance Committee. The Senate Finance Committee also begins hearings on the budget bill, as introduced by the Governor. The chairpersons of the committees appoint subcommittees to consider appropriations for various agencies. Subcommittees vary in size, usually between two and five persons. After reviewing the budget requests and the Governor's budget, the subcommittees recommend adjustments to the full committee for consideration. A committee may adjust the recommendations of its subcommittee. Appropriation bills are reprinted to reflect the recommendations of the full committee. The bill is then presented to the full House, and upon passage is transmitted to the Senate. The process for review of an appropriation bill in the Senate mirrors the steps followed in the House of Representatives. Usually, however, consideration by the Senate occurs in a much shorter span of time. Once the bill is presented and approved by the full Senate, the Governor has three options: 1) sign the bill into law, 2) veto the bill, or 3) allow the bill to become law without signature (after six days, Sundays excepted, the bill automatically becomes law without the Governor's signature). The General Assembly may overturn the Governor's veto with a three-fifths vote in each house.

Schedules

Total Statewide Expenditures

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2010 |
|--------------------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| | Actual | Actual | Enacted | Revised | Recommend |
| Expenditure by Function | | | | | |
| General Government | 1,371,456,271 | 1,479,808,270 | 1,399,001,972 | 1,625,957,790 | 1,744,222,737 |
| Human Services | 2,511,260,708 | 2,730,972,154 | 2,619,779,683 | 2,726,966,670 | 2,773,167,723 |
| Education | 1,834,653,377 | 1,911,987,866 | 2,001,032,898 | 1,975,336,881 | 2,071,187,960 |
| Public Safety | 396,232,777 | 422,335,964 | 433,540,453 | 455,313,522 | 446,648,317 |
| Natural Resources | 81,718,031 | 77,484,125 | 95,672,816 | 92,938,716 | 96,559,652 |
| Transportation | 368,686,783 | 305,436,562 | 370,026,380 | 393,060,249 | 483,283,224 |
| Total Expenditures | \$6,564,007,947 | \$6,928,024,941 | \$6,919,054,202 | \$7,269,573,828 | \$7,615,069,613 |
| Expenditure by Object | | | | | |
| Personnel | 1,548,932,392 | 1,618,586,858 | 1,551,437,172 | 1,623,336,803 | 1,676,741,999 |
| Operating Supplies and Expenses | 597,506,306 | 627,934,841 | 616,353,794 | 629,658,819 | 645,379,469 |
| Aid to Local Units of Government | 1,199,134,118 | 1,255,767,233 | 1,311,622,344 | 1,222,076,013 | 1,204,579,666 |
| Assistance, Grants, and Benefits | 2,733,181,986 | 2,966,724,700 | 2,831,708,871 | 3,189,936,627 | 3,349,135,597 |
| Subtotal: Operating Expenditures | \$6,078,754,802 | \$6,469,013,632 | \$6,311,122,181 | \$6,665,008,262 | \$6,875,836,731 |
| Capital Purchases and Equipment | 172,572,784 | 110,771,919 | 242,745,083 | 268,976,229 | 321,526,501 |
| Debt Service | 179,105,503 | 201,343,171 | 228,237,487 | 213,615,978 | 249,864,080 |
| Operating Transfers | 133,574,858 | 146,896,219 | 136,949,451 | 121,973,359 | 167,842,301 |
| Total Expenditures | \$6,564,007,947 | \$6,928,024,941 | \$6,919,054,202 | \$7,269,573,828 | \$7,615,069,613 |
| Expenditures by Funds | | | | | |
| General Revenue | 3,217,760,939 | 3,405,251,366 | 3,276,156,221 | 3,063,935,233 | 3,079,074,137 |
| Federal Funds | 1,865,772,375 | 1,939,123,662 | 1,997,927,181 | 2,387,955,079 | 2,644,305,604 |
| Restricted Receipts | 102,488,986 | 136,006,669 | 152,502,978 | 153,235,835 | 162,075,400 |
| Other Funds | 1,377,985,647 | 1,447,643,244 | 1,492,467,822 | 1,664,447,681 | 1,729,614,472 |
| Total Expenditures | \$ 6,564,007,947 | \$ 6,928,024,941 | \$ 6,919,054,202 | \$ 7,269,573,828 | \$ 7,615,069,613 |
| Total FTE Complement | 15,321.7 | 14,903.7 | 14,573.6 | 13,680.8 | 14,043.9 |
| Enacted Reduction | | | (400.0) | - | |
| Higher Education Sponsored Research | 785.0 | 785.0 | 785.0 | 785.0 | 785.0 |
| Total Personnel | 16,106.7 | 15,688.7 | 14,958.6 | 14,465.8 | 14,828.9 |

Expenditures from All Funds

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2009 | FY 2010 |
|---------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| | Actual | Actual | Enacted | Original Revised | Amended Revised | Recommend |
| General Government | | | | | | |
| Administration(1) | \$596,272,093 | \$617,461,725 | \$560,884,005 | \$558,067,339 | \$647,353,594 | \$685,057,084 |
| Business Regulation | \$11,619,330 | \$11,812,170 | \$11,663,704 | \$11,102,841 | \$11,102,841 | \$12,445,442 |
| Labor and Training | \$446,997,207 | \$499,662,135 | \$484,805,942 | \$599,451,514 | \$648,287,993 | \$726,084,649 |
| Revenue(1) | \$233,997,809 | \$254,603,213 | \$249,139,675 | \$230,539,728 | \$230,803,495 | \$227,920,497 |
| Legislature | \$32,350,516 | \$33,829,223 | \$35,615,553 | \$34,959,668 | \$34,959,668 | \$36,468,724 |
| Lieutenant Governor | \$874,724 | \$850,412 | \$901,418 | \$860,771 | \$860,771 | \$973,262 |
| Secretary of State | \$7,397,017 | \$6,819,947 | \$7,403,864 | \$7,116,890 | \$7,097,652 | \$6,440,579 |
| General Treasurer | \$24,775,355 | \$39,662,095 | \$31,489,283 | \$28,244,370 | \$28,249,066 | \$31,161,135 |
| Board of Elections | \$4,392,090 | \$1,926,493 | \$2,175,218 | \$2,047,960 | \$2,047,960 | \$1,850,141 |
| Rhode Island Ethics Commission | \$1,222,289 | \$1,343,029 | \$1,405,309 | \$1,409,450 | \$1,409,450 | \$1,437,730 |
| Governor's Office | \$4,738,217 | \$4,957,880 | \$5,158,611 | \$5,423,356 | \$5,423,356 | \$5,836,754 |
| Commission for Human Rights | \$1,247,126 | \$1,340,711 | \$1,382,968 | \$1,404,873 | \$1,404,873 | \$1,424,747 |
| Public Utilities Commission | \$5,473,869 | \$5,433,284 | \$6,869,214 | \$6,848,694 | \$6,848,694 | \$7,012,531 |
| Rhode Island Commission on Women | \$98,629 | \$105,953 | \$107,208 | \$108,377 | \$108,377 | \$109,462 |
| Subtotal - General Government | \$1,371,456,271 | \$1,479,808,270 | \$1,399,001,972 | \$1,487,585,831 | \$1,625,957,790 | \$1,744,222,737 |
| Human Services | | | | | | |
| Office of Health & Human Services | \$640,980 | \$3,848,200 | \$14,787,081 | \$9,177,630 | \$8,833,660 | \$9,277,812 |
| Children, Youth, and Families | \$311,770,978 | \$226,983,230 | \$209,941,252 | \$249,960,644 | \$250,051,644 | \$247,814,655 |
| Elderly Affairs | \$35,951,870 | \$34,383,268 | \$33,579,723 | \$34,182,080 | \$34,182,080 | \$33,169,875 |
| Health | \$104,636,983 | \$126,552,009 | \$126,077,776 | \$132,879,780 | \$133,924,145 | \$134,056,949 |
| Human Services | \$1,574,255,610 | \$1,847,633,989 | \$1,775,182,036 | \$1,762,099,512 | \$1,819,878,820 | \$1,875,872,580 |
| Mental Health, Retardation, & Hospitals | \$481,493,543 | \$489,441,696 | \$457,490,509 | \$461,078,238 | \$477,783,150 | \$470,632,735 |
| Governor's Commission on Disabilities | \$845,947 | \$541,108 | \$911,985 | \$946,391 | \$946,391 | \$926,400 |
| Commission On Deaf and Hard of Hearing | \$306,372 | \$288,790 | \$368,807 | \$371,300 | \$371,300 | \$380,146 |
| State Council on Developmental Disabilities | \$421,932 | \$395,288 | \$450,543 | - | - | - |
| Office of the Child Advocate | \$551,198 | \$485,449 | \$558,800 | \$554,997 | \$554,997 | \$588,148 |
| Office of the Mental Health Advocate | \$385,295 | \$419,127 | \$431,171 | \$440,483 | \$440,483 | \$448,423 |
| Subtotal - Human Services | \$2,511,260,708 | \$2,730,972,154 | \$2,619,779,683 | \$2,651,691,055 | \$2,726,966,670 | \$2,773,167,723 |
| Education | | | | | | |
| Elementary and Secondary | \$1,067,364,090 | \$1,092,600,521 | \$1,145,530,047 | \$1,058,064,322 | \$1,092,534,560 | \$1,155,007,685 |
| Higher Education - Board of Governors(2) | \$734,735,310 | \$784,746,691 | \$819,589,009 | \$837,263,828 | \$840,755,086 | \$878,398,870 |
| RI Council on the Arts | \$2,922,282 | \$2,934,389 | \$3,275,655 | \$3,226,450 | \$3,226,450 | \$3,474,826 |
| RI Atomic Energy Commission | \$1,087,486 | \$1,474,561 | \$1,532,900 | \$1,175,653 | \$1,183,832 | \$1,140,115 |
| Higher Education Assistance Authority | \$23,939,108 | \$25,921,954 | \$26,649,807 | \$32,959,393 | \$32,959,393 | \$28,631,338 |
| Historical Preservation and Heritage Comm. | \$2,209,943 | \$2,195,180 | \$2,323,114 | \$2,660,991 | \$2,660,991 | \$2,626,768 |
| Public Telecommunications Authority | \$2,395,158 | \$2,114,570 | \$2,132,366 | \$2,016,569 | \$2,016,569 | \$1,908,358 |
| Subtotal - Education | \$1,834,653,377 | \$1,911,987,866 | \$2,001,032,898 | \$1,937,367,206 | \$1,975,336,881 | \$2,071,187,960 |

Expenditures from All Funds

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Original Revised | FY 2009 Amended Revised | FY 2010 Recommend |
|--------------------------------------|------------------------|------------------------|------------------------|-----------------------------|----------------------------|------------------------|
| Public Safety | | | | | | |
| Attorney General | \$21,914,608 | \$22,873,248 | \$23,731,514 | \$24,300,913 | \$24,309,863 | \$24,182,213 |
| Corrections | \$170,117,176 | \$198,729,607 | \$186,497,239 | \$187,367,618 | \$187,367,618 | \$190,005,506 |
| Judicial(3) | \$91,679,516 | \$94,506,571 | \$97,349,720 | \$96,723,382 | \$96,723,382 | \$95,984,801 |
| Military Staff | \$32,572,998 | \$23,773,234 | \$28,419,792 | \$39,893,059 | \$43,457,747 | \$30,685,749 |
| Public Safety(4) | \$71,170,055 | \$73,150,505 | \$87,825,459 | \$89,390,116 | \$93,811,274 | \$95,980,961 |
| Office Of Public Defender | \$8,778,424 | \$9,302,799 | \$9,716,729 | \$9,643,638 | \$9,643,638 | \$9,809,087 |
| Subtotal - Public Safety | \$396,232,777 | \$422,335,964 | \$433,540,453 | \$447,318,726 | \$455,313,522 | \$446,648,317 |
| Natural Resources | | | | | | |
| Environmental Management | \$76,027,147 | \$70,373,524 | \$88,958,152 | \$83,058,755 | \$87,268,755 | \$89,537,646 |
| Coastal Resources Management Council | \$3,897,901 | \$5,474,935 | \$5,236,662 | \$4,088,463 | \$4,088,463 | \$5,541,521 |
| Water Resources Board | \$1,792,983 | \$1,635,666 | \$1,478,002 | \$1,581,498 | \$1,581,498 | \$1,480,485 |
| Subtotal - Natural Resources | \$81,718,031 | \$77,484,125 | \$95,672,816 | \$88,728,716 | \$92,938,716 | \$96,559,652 |
| Transportation | | | | | | |
| Transportation | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$368,585,249 | \$393,060,249 | \$483,283,224 |
| Subtotal - Transportation | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$368,585,249 | \$393,060,249 | \$483,283,224 |
| Total | \$6,564,007,947 | \$6,928,024,941 | \$6,919,054,202 | \$6,981,276,783 | \$7,269,573,828 | \$7,615,069,613 |

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue. In FY 2009 Fire Code Board was moved to Department of Administration.

(2) Higher Education expenditures for FY 2008 are understated and do not reflect audited expenditures from the Board of Governors. Actual FY 2008 expenditures will be available upon completion of audit.

(3) Judicial includes Judicial Tenure and Discipline.

(4) Agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from General Revenues

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2009 | FY 2010 |
|---------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| | Actual | Actual | Enacted | Original | Revised | Amended |
| | | | | Revised | Revised | Revised |
| | | | | | | Recommend |
| General Government | | | | | | |
| Administration(1) | \$464,765,390 | \$520,058,764 | \$461,660,272 | \$413,385,631 | \$473,132,965 | \$498,875,056 |
| Business Regulation | \$10,859,698 | \$10,333,679 | \$10,118,066 | \$9,307,245 | \$9,307,245 | \$9,577,234 |
| Labor and Training | \$7,146,778 | \$6,377,174 | \$6,513,092 | \$6,696,080 | \$6,696,080 | \$6,667,994 |
| Revenue(1) | \$34,528,687 | \$35,086,502 | \$37,849,916 | \$33,254,816 | \$33,518,583 | \$36,368,064 |
| Legislature | \$30,941,664 | \$32,377,685 | \$34,099,202 | \$33,451,335 | \$33,451,335 | \$34,912,012 |
| Lieutenant Governor | \$874,724 | \$850,412 | \$901,418 | \$860,771 | \$860,771 | \$973,262 |
| Secretary of State | \$6,150,445 | \$5,488,114 | \$6,307,144 | \$6,407,527 | \$6,407,527 | \$5,966,241 |
| General Treasurer | \$2,636,773 | \$2,668,892 | \$2,563,767 | \$2,465,934 | \$2,465,934 | \$2,500,299 |
| Board of Elections | 3,625,842 | 1,315,331 | 1,512,874 | 1,552,690 | 1,552,690 | 1,850,141 |
| Rhode Island Ethics Commission | \$1,222,289 | \$1,343,029 | \$1,405,309 | \$1,409,450 | \$1,409,450 | \$1,437,730 |
| Governor's Office | \$4,672,436 | \$4,957,880 | \$5,158,611 | \$5,423,356 | \$5,423,356 | \$5,836,754 |
| Commission for Human Rights | \$987,833 | \$951,872 | \$991,659 | \$932,418 | \$932,418 | \$1,016,242 |
| Public Utilities Commission | \$499,163 | \$475,034 | - | - | - | - |
| Rhode Island Commission on Women | \$98,629 | \$105,953 | \$107,208 | \$108,377 | \$108,377 | \$109,462 |
| Subtotal - General Government | \$569,010,351 | \$622,390,321 | \$569,188,538 | \$515,255,630 | \$575,266,731 | \$606,090,491 |
| Human Services | | | | | | |
| Office of Health & Human Services | \$250,294 | \$363,333 | \$5,223,297 | \$3,790,550 | \$3,836,576 | \$3,448,389 |
| Children, Youth, and Families | \$189,391,302 | \$151,491,614 | \$137,133,720 | \$166,886,395 | \$162,050,863 | \$158,722,427 |
| Elderly Affairs | \$18,809,664 | \$16,969,063 | \$16,071,786 | \$14,537,499 | \$13,904,044 | \$11,687,598 |
| Health | \$31,490,514 | \$29,985,420 | \$32,281,674 | \$30,782,216 | \$30,753,976 | \$30,596,230 |
| Human Services | \$703,752,922 | \$815,777,935 | \$767,224,135 | \$725,271,695 | \$674,322,220 | \$642,064,842 |
| Mental Health, Retardation, & Hospitals | \$238,316,374 | \$241,952,595 | \$219,361,864 | \$208,861,756 | \$186,652,827 | \$168,779,509 |
| Governor's Commission on Disabilities | \$518,631 | \$350,480 | \$413,651 | \$387,862 | \$387,862 | \$366,450 |
| Commission On Deaf and Hard of Hearing | \$301,850 | \$289,412 | \$368,807 | \$371,300 | \$371,300 | \$380,146 |
| State Council on Developmental Disabilities | - | - | - | - | - | - |
| Office of the Child Advocate | \$513,524 | \$445,443 | \$519,657 | \$514,442 | \$514,442 | \$547,048 |
| Office of the Mental Health Advocate | \$385,295 | \$419,127 | \$431,171 | \$440,483 | \$440,483 | \$448,423 |
| Subtotal - Human Services | \$1,183,730,370 | \$1,258,044,422 | \$1,179,029,762 | \$1,151,844,198 | \$1,073,234,593 | \$1,017,041,062 |
| Education | | | | | | |
| Elementary and Secondary | \$888,448,123 | \$908,826,348 | \$931,218,471 | \$836,349,028 | \$832,478,706 | \$861,797,078 |
| Higher Education - Board of Governors(2) | \$189,489,620 | \$189,982,771 | \$179,856,018 | \$172,860,842 | \$172,860,842 | \$174,885,270 |
| RI Council on the Arts | \$2,112,363 | \$2,111,963 | \$2,094,847 | \$1,905,796 | \$1,905,796 | \$1,983,986 |
| RI Atomic Energy Commission | \$827,654 | \$834,101 | \$824,470 | \$786,847 | \$786,847 | \$775,346 |
| Higher Education Assistance Authority | \$6,708,495 | \$10,219,792 | \$7,323,051 | \$7,292,984 | \$7,292,984 | \$7,305,741 |
| Historical Preservation and Heritage Comm. | \$1,615,594 | \$1,494,562 | \$1,348,825 | \$1,297,516 | \$1,297,516 | \$1,298,364 |
| Public Telecommunications Authority | \$1,312,264 | \$1,316,196 | \$1,365,306 | \$1,249,509 | \$1,249,509 | \$1,142,702 |
| Subtotal - Education | \$1,090,514,113 | \$1,114,785,733 | \$1,124,030,988 | \$1,021,742,522 | \$1,017,872,200 | \$1,049,188,487 |

Expenditures from General Revenues

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Original Revised | FY 2009 Amended Revised | FY 2010 Recommend |
|--------------------------------------|------------------------|------------------------|------------------------|-----------------------------|----------------------------|------------------------|
| Public Safety | | | | | | |
| Attorney General | \$19,799,874 | \$20,550,412 | \$21,212,039 | \$21,134,410 | \$21,134,410 | \$21,774,743 |
| Corrections | \$155,796,271 | \$193,138,298 | \$178,623,504 | \$178,682,061 | \$178,682,061 | \$182,390,562 |
| Judicial(3) | \$82,039,511 | \$82,799,851 | \$85,000,000 | \$82,797,231 | \$82,797,231 | \$83,907,229 |
| Military Staff | \$2,533,905 | \$2,320,832 | \$3,739,948 | \$3,575,260 | \$3,575,260 | \$3,529,979 |
| Public Safety(4) | \$63,975,375 | \$62,946,519 | \$66,828,094 | \$64,656,938 | \$64,656,938 | \$66,518,758 |
| Office Of Public Defender | \$8,564,734 | \$9,030,938 | \$9,468,259 | \$9,318,047 | \$9,318,047 | \$9,583,189 |
| Subtotal - Public Safety | \$332,709,670 | \$370,786,850 | \$364,871,844 | \$360,163,947 | \$360,163,947 | \$367,704,460 |
| Natural Resources | | | | | | |
| Environmental Management | \$38,071,852 | \$36,032,812 | \$35,779,384 | \$34,011,362 | \$34,011,362 | \$35,651,578 |
| Coastal Resources Management Council | \$2,076,370 | \$1,985,139 | \$1,877,703 | \$2,034,354 | \$2,034,354 | \$2,027,574 |
| Water Resources Board | \$1,648,213 | \$1,226,089 | \$1,378,002 | \$1,352,046 | \$1,352,046 | \$1,370,485 |
| Subtotal - Natural Resources | \$41,796,435 | \$39,244,040 | \$39,035,089 | \$37,397,762 | \$37,397,762 | \$39,049,637 |
| Transportation | | | | | | |
| Transportation | - | - | - | - | - | - |
| Subtotal - Transportation | - | - | - | - | - | - |
| Total | \$3,217,760,939 | \$3,405,251,366 | \$3,276,156,221 | \$3,086,404,059 | \$3,063,935,233 | \$3,079,074,137 |

- (1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue. In FY 2009 Fire Code Board was moved to Department of Administration.
- (2) Higher Education expenditures for FY 2008 are understated and do not reflect audited expenditures from the Board of Governors. Actual FY 2008 expenditures will be available upon completion of audit.
- (3) Judicial includes Judicial Tenure and Discipline.
- (4) Agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Federal Funds

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2009 | FY 2010 |
|---------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| | Actual | Actual | Enacted | Original Revised | Amended Revised | Recommend |
| General Government | | | | | | |
| Administration(1) | \$46,562,576 | \$39,828,801 | \$33,952,039 | \$60,593,389 | \$81,588,173 | \$91,347,447 |
| Business Regulation | \$43,291 | \$114,130 | - | \$87,641 | \$87,641 | - |
| Labor and Training | \$29,931,444 | \$28,883,497 | \$35,594,755 | \$39,007,637 | \$56,577,194 | \$92,322,826 |
| Revenue(1) | \$1,147,680 | \$1,470,903 | \$1,894,095 | \$2,698,597 | \$2,698,597 | \$1,943,239 |
| Legislature | - | - | - | - | - | - |
| Lieutenant Governor | - | - | - | - | - | - |
| Secretary of State | \$976,109 | \$911,443 | \$541,139 | \$296,287 | \$296,287 | - |
| General Treasurer | \$476,081 | \$799,601 | \$1,170,081 | \$1,095,793 | \$1,100,489 | \$1,293,540 |
| Board of Elections | \$766,248 | \$611,162 | \$662,344 | \$495,270 | \$495,270 | - |
| Rhode Island Ethics Commission | - | - | - | - | - | - |
| Governor's Office | - | - | - | - | - | - |
| Commission for Human Rights | \$259,293 | \$388,839 | \$391,309 | \$472,455 | \$472,455 | \$408,505 |
| Public Utilities Commission | \$92,650 | \$70,662 | \$100,547 | \$102,659 | \$102,659 | \$103,600 |
| Rhode Island Commission on Women | - | - | - | - | - | - |
| Subtotal - General Government | \$80,255,372 | \$73,079,038 | \$74,306,309 | \$104,849,728 | \$143,418,765 | \$187,419,157 |
| Human Services | | | | | | |
| Office of Health & Human Services | \$93,852 | \$3,168,914 | \$7,593,011 | \$4,714,918 | \$4,324,922 | \$4,544,633 |
| Children, Youth, and Families | \$120,424,524 | \$72,217,463 | \$69,839,591 | \$79,678,458 | \$84,523,990 | \$85,504,945 |
| Elderly Affairs | \$12,057,604 | \$11,980,485 | \$12,257,937 | \$14,194,581 | \$14,678,036 | \$16,460,162 |
| Health | \$60,912,005 | \$80,827,914 | \$68,180,665 | \$77,148,725 | \$78,221,330 | \$78,444,370 |
| Human Services | \$867,561,431 | \$1,024,128,776 | \$999,808,193 | \$1,028,629,855 | \$1,137,358,638 | \$1,225,366,325 |
| Mental Health, Retardation, & Hospitals | \$240,445,805 | \$241,728,740 | \$222,757,014 | \$239,622,738 | \$278,536,579 | \$284,303,513 |
| Governor's Commission on Disabilities | \$162,175 | \$77,450 | \$189,769 | \$135,851 | \$135,851 | \$174,949 |
| Commission On Deaf and Hard of Hearing | \$4,522 | (\$622) | - | - | - | - |
| State Council on Developmental Disabilities | \$421,932 | \$395,288 | \$450,543 | - | - | - |
| Office of the Child Advocate | \$37,674 | \$40,006 | \$39,143 | \$40,555 | \$40,555 | \$41,100 |
| Office of the Mental Health Advocate | - | - | - | - | - | - |
| Subtotal - Human Services | \$1,302,121,524 | \$1,434,564,414 | \$1,381,115,866 | \$1,444,165,681 | \$1,597,819,901 | \$1,694,839,997 |
| Education | | | | | | |
| Elementary and Secondary | \$174,313,591 | \$175,708,363 | \$191,008,411 | \$195,676,529 | \$234,017,089 | \$278,150,906 |
| Higher Education - Board of Governors(2) | \$2,871,077 | \$4,924,539 | \$3,646,277 | \$4,479,446 | \$11,134,309 | \$11,997,554 |
| RI Council on the Arts | \$653,685 | \$612,251 | \$741,355 | \$801,429 | \$801,429 | \$1,055,840 |
| RI Atomic Energy Commission | \$101,942 | \$352,771 | \$407,277 | \$94,937 | \$103,116 | \$30,000 |
| Higher Education Assistance Authority | \$8,846,030 | \$8,610,378 | \$12,550,536 | \$18,766,778 | \$18,766,778 | \$14,575,320 |
| Historical Preservation and Heritage Comm. | 508,937 | 509,240 | 479,640 | 845,462 | 845,462 | 819,367 |
| Public Telecommunications Authority | - | - | - | - | - | - |
| Subtotal - Education | \$187,295,262 | \$190,717,542 | \$208,833,496 | \$220,664,581 | \$265,668,183 | 306,628,987 |

Expenditures from Federal Funds

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Original Revised | FY 2009 Amended Revised | FY 2010 Recommend |
|--------------------------------------|------------------------|------------------------|------------------------|-----------------------------|----------------------------|------------------------|
| Public Safety | | | | | | |
| Attorney General | 1,274,491 | 1,298,123 | 1,263,609 | 1,304,344 | 1,313,294 | 1,274,540 |
| Corrections | \$9,252,612 | \$2,688,836 | \$2,712,735 | \$3,280,874 | \$3,280,874 | \$2,196,668 |
| Judicial(3) | \$1,412,645 | \$1,872,594 | \$1,939,312 | \$2,706,941 | \$2,706,941 | \$1,445,452 |
| Military Staff | 27,561,826 | 19,515,282 | 22,949,023 | 33,222,240 | 36,786,928 | 25,018,046 |
| Public Safety(4) | \$1,999,004 | \$5,957,636 | \$6,232,120 | \$9,976,455 | \$14,397,613 | \$10,232,874 |
| Office Of Public Defender | \$213,690 | \$271,861 | \$248,470 | \$325,591 | \$325,591 | \$225,898 |
| Subtotal - Public Safety | \$41,714,268 | \$31,604,332 | \$35,345,269 | \$50,816,445 | \$58,811,241 | \$40,393,478 |
| Natural Resources | | | | | | |
| Environmental Management | 21,320,143 | 18,024,013 | 33,435,438 | 30,423,404 | 34,508,404 | 32,067,164 |
| Coastal Resources Management Council | \$1,659,031 | \$1,779,206 | \$1,453,450 | \$1,659,109 | \$1,659,109 | 1,608,438 |
| Water Resources Board | \$64,170 | - | - | - | - | - |
| Subtotal - Natural Resources | 23,043,344 | 19,803,219 | 34,888,888 | 32,082,513 | 36,167,513 | 33,675,602 |
| Transportation | | | | | | |
| Transportation | \$231,342,605 | \$189,355,117 | \$263,437,353 | \$266,069,476 | \$286,069,476 | \$381,348,383 |
| Subtotal - Transportation | \$231,342,605 | \$189,355,117 | \$263,437,353 | \$266,069,476 | \$286,069,476 | \$381,348,383 |
| Total | \$1,865,772,375 | \$1,939,123,662 | \$1,997,927,181 | \$2,118,648,424 | \$2,387,955,079 | \$2,644,305,604 |

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue. In FY 2009 Fire Code Board was moved to Department of Administration.

(2) Higher Education expenditures for FY 2008 are understated and do not reflect audited expenditures from the Board of Governors. Actual FY 2008 expenditures will be available upon completion of audit.

(3) Judicial includes Judicial Tenure and Discipline.

(4) Agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Restricted Receipts

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2009 | FY 2010 |
|---------------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| | Actual | Actual | Enacted | Original Revised | Amended Revised | Recommend |
| General Government | | | | | | |
| Administration(1) | \$6,784,583 | \$9,973,069 | \$8,876,034 | \$12,864,453 | \$15,419,816 | \$17,387,399 |
| Business Regulation | \$716,341 | \$1,364,361 | \$1,545,638 | \$1,707,955 | \$1,707,955 | \$2,868,208 |
| Labor and Training | \$15,552,019 | \$20,098,434 | \$24,905,914 | \$24,701,721 | \$24,701,721 | \$25,314,950 |
| Revenue(1) | \$705,160 | \$789,994 | \$925,663 | \$799,483 | \$799,483 | \$845,292 |
| Legislature | 1,408,852 | 1,451,538 | 1,516,351 | 1,508,333 | 1,508,333 | 1,556,712 |
| Lieutenant Governor | - | - | - | - | - | - |
| Secretary of State | \$270,463 | \$420,390 | \$555,581 | \$413,076 | \$393,838 | 474,338 |
| General Treasurer | \$21,474,117 | \$35,987,392 | \$27,502,060 | \$24,486,449 | \$24,486,449 | \$27,165,006 |
| Board of Elections | - | - | - | - | - | - |
| Rhode Island Ethics Commission | - | - | - | - | - | - |
| Governor's Office | (3,617) | - | - | - | - | - |
| Commission for Human Rights | - | - | - | - | - | - |
| Public Utilities Commission | \$4,882,056 | \$4,887,588 | \$6,768,667 | \$6,746,035 | \$6,746,035 | \$6,908,931 |
| Rhode Island Commission on Women | - | - | - | - | - | - |
| Subtotal - General Government | \$51,789,974 | \$74,972,766 | \$72,595,908 | \$73,227,505 | \$75,763,630 | \$82,520,836 |
| Human Services | | | | | | |
| Office of Health & Human Services | \$296,834 | \$315,953 | \$1,970,773 | \$672,162 | \$672,162 | \$1,284,790 |
| Children, Youth, and Families | \$1,767,022 | \$2,731,750 | \$1,757,941 | \$2,203,059 | \$2,284,059 | \$2,203,059 |
| Elderly Affairs | \$741,000 | \$956,578 | \$620,000 | \$820,000 | \$970,000 | \$392,115 |
| Health | \$12,204,993 | \$15,692,703 | \$25,486,027 | \$24,717,414 | \$24,717,414 | \$24,784,937 |
| Human Services | 2,941,257 | 7,027,278 | 8,024,708 | 7,940,462 | 7,940,462 | 8,316,413 |
| Mental Health, Retardation, & Hospitals | 183,295 | 2,587,327 | 4,590,000 | 5,258,101 | 5,258,101 | 5,203,044 |
| Governor's Commission on Disabilities | \$1,692 | \$13,178 | \$8,565 | \$11,127 | \$11,127 | 10,001 |
| Commission On Deaf and Hard of Hearing | - | - | - | - | - | - |
| State Council on Developmental Disabilities | - | - | - | - | - | - |
| Office of the Child Advocate | - | - | - | - | - | - |
| Office of the Mental Health Advocate | - | - | - | - | - | - |
| Subtotal - Human Services | \$18,136,093 | \$29,324,767 | \$42,458,014 | \$41,622,325 | \$41,853,325 | \$42,194,359 |
| Education | | | | | | |
| Elementary and Secondary | \$4,432,359 | \$6,507,062 | \$7,363,165 | \$7,250,840 | \$7,250,840 | \$7,501,077 |
| Higher Education - Board of Governors(2) | \$1,074,589 | \$715,937 | \$1,041,526 | \$666,433 | \$666,433 | \$667,543 |
| RI Council on the Arts | - | - | - | \$94,225 | \$94,225 | - |
| RI Atomic Energy Commission | - | - | - | - | - | - |
| Higher Education Assistance Authority | - | - | - | - | - | - |
| Historical Preservation and Heritage Comm. | \$85,412 | \$191,378 | \$494,649 | \$518,013 | \$518,013 | \$509,037 |
| Public Telecommunications Authority | - | - | - | - | - | - |
| Subtotal - Education | \$5,592,360 | \$7,414,377 | \$8,899,340 | \$8,529,511 | \$8,529,511 | \$8,677,657 |

Expenditures from Restricted Receipts

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Original Revised | FY 2009 Amended Revised | FY 2010 Recommend |
|--------------------------------------|----------------------|----------------------|----------------------|-----------------------------|----------------------------|----------------------|
| Public Safety | | | | | | |
| Attorney General | \$678,356 | \$867,559 | \$980,866 | \$1,114,433 | \$1,114,433 | \$932,930 |
| Corrections | - | (\$61) | - | - | - | - |
| Judicial(3) | \$7,272,677 | \$8,395,390 | \$8,710,408 | \$9,324,149 | \$9,324,149 | \$9,807,120 |
| Military Staff | \$242,449 | \$158,275 | \$315,321 | \$346,833 | \$346,833 | \$337,449 |
| Public Safety(4) | \$1,905,648 | \$1,103,585 | \$434,000 | \$835,000 | \$835,000 | \$609,000 |
| Office Of Public Defender | - | - | - | - | - | - |
| Subtotal - Public Safety | \$10,099,130 | \$10,524,748 | \$10,440,595 | \$11,620,415 | \$11,620,415 | \$11,686,499 |
| Natural Resources | | | | | | |
| Environmental Management | \$15,257,111 | \$13,483,302 | \$16,411,875 | \$13,514,137 | \$13,514,137 | \$15,246,049 |
| Coastal Resources Management Council | \$162,500 | \$120,000 | \$250,000 | \$395,000 | \$395,000 | \$250,000 |
| Water Resources Board | - | \$327,378 | - | \$109,817 | \$109,817 | - |
| Subtotal - Natural Resources | \$15,419,611 | \$13,930,680 | \$16,661,875 | \$14,018,954 | \$14,018,954 | \$15,496,049 |
| Transportation | | | | | | |
| Transportation | \$1,451,818 | (\$160,669) | \$1,447,246 | \$1,450,000 | \$1,450,000 | \$1,500,000 |
| Subtotal - Transportation | \$1,451,818 | (\$160,669) | \$1,447,246 | \$1,450,000 | \$1,450,000 | \$1,500,000 |
| Total | \$102,488,986 | \$136,006,669 | \$152,502,978 | \$150,468,710 | \$153,235,835 | \$162,075,400 |

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue. In FY 2009 Fire Code Board was moved to Department of Administration.

(2) Higher Education expenditures for FY 2008 are understated and do not reflect audited expenditures from the Board of Governors. Actual FY 2008 expenditures will be available upon completion of audit.

(3) Judicial includes Judicial Tenure and Discipline.

(4) Agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Other Funds

| | FY 2007 | FY 2008 | FY 2009 | FY 2009 | FY 2009 | FY 2010 |
|---------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | Actual | Actual | Enacted | Original Revised | Amended Revised | Recommend |
| General Government | | | | | | |
| Administration(1) | \$78,159,544 | \$47,601,091 | \$56,395,660 | \$71,223,866 | \$77,212,640 | \$77,447,182 |
| Business Regulation | - | - | - | - | - | - |
| Labor and Training | \$394,366,966 | \$444,303,030 | \$417,792,181 | \$529,046,076 | \$560,312,998 | \$601,778,879 |
| Revenue(1) | \$197,616,282 | \$217,255,814 | \$208,470,001 | \$193,786,832 | \$193,786,832 | \$188,763,902 |
| Legislature | - | - | - | - | - | - |
| Lieutenant Governor | - | - | - | - | - | - |
| Secretary of State | - | - | - | - | - | - |
| General Treasurer | \$188,384 | \$206,210 | \$253,375 | \$196,194 | \$196,194 | \$202,290 |
| Board of Elections | - | - | - | - | - | - |
| Rhode Island Ethics Commission | - | - | - | - | - | - |
| Governor's Office | \$69,398 | - | - | - | - | - |
| Commission for Human Rights | - | - | - | - | - | - |
| Public Utilities Commission | - | - | - | - | - | - |
| Rhode Island Commission on Women | - | - | - | - | - | - |
| Subtotal - General Government | \$670,400,574 | \$709,366,145 | \$682,911,217 | \$794,252,968 | \$831,508,664 | \$868,192,253 |
| Human Services | | | | | | |
| Office of Health & Human Services | - | - | - | - | - | - |
| Children, Youth, and Families | \$188,130 | \$542,403 | \$1,210,000 | \$1,192,732 | \$1,192,732 | \$1,384,224 |
| Elderly Affairs | \$4,343,602 | \$4,477,142 | \$4,630,000 | \$4,630,000 | \$4,630,000 | \$4,630,000 |
| Health | \$29,471 | \$45,972 | \$129,410 | \$231,425 | \$231,425 | \$231,412 |
| Human Services | - | \$700,000 | \$125,000 | \$257,500 | \$257,500 | \$125,000 |
| Mental Health, Retardation, & Hospitals | \$2,548,069 | \$3,173,034 | \$10,781,631 | \$7,335,643 | \$7,335,643 | \$12,346,669 |
| Governor's Commission on Disabilities | \$163,449 | \$100,000 | \$300,000 | \$411,551 | \$411,551 | \$375,000 |
| Commission On Deaf and Hard of Hearing | - | - | - | - | - | - |
| State Council on Developmental Disabilities | - | - | - | - | - | - |
| Office of the Child Advocate | - | - | - | - | - | - |
| Office of the Mental Health Advocate | - | - | - | - | - | - |
| Subtotal - Human Services | \$7,272,721 | \$9,038,551 | \$17,176,041 | \$14,058,851 | \$14,058,851 | \$19,092,305 |
| Education | | | | | | |
| Elementary and Secondary | \$170,017 | \$1,558,748 | \$15,940,000 | \$18,787,925 | \$18,787,925 | \$7,558,624 |
| Higher Education - Board of Governors(2) | \$541,300,024 | \$589,123,444 | \$635,045,188 | \$659,257,107 | \$656,093,502 | \$690,848,503 |
| RI Council on the Arts | \$156,234 | \$210,175 | \$439,453 | \$425,000 | \$425,000 | \$435,000 |
| RI Atomic Energy Commission | \$157,890 | \$287,689 | \$301,153 | \$293,869 | \$293,869 | \$334,769 |
| Higher Education Assistance Authority | \$8,384,583 | \$7,091,784 | \$6,776,220 | \$6,899,631 | \$6,899,631 | \$6,750,277 |
| Historical Preservation and Heritage Comm. | - | - | - | - | - | - |
| Public Telecommunications Authority | \$1,082,894 | \$798,374 | \$767,060 | \$767,060 | \$767,060 | \$765,656 |
| Subtotal - Education | \$551,251,642 | \$599,070,214 | \$659,269,074 | \$686,430,592 | \$683,266,987 | \$706,692,829 |

Expenditures from Other Funds

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Original Revised | FY 2009 Amended Revised | FY 2010 Recommend |
|--------------------------------------|------------------------|------------------------|------------------------|-----------------------------|----------------------------|------------------------|
| Public Safety | | | | | | |
| Attorney General | \$161,887 | \$157,154 | \$275,000 | \$747,726 | \$747,726 | \$200,000 |
| Corrections | \$5,068,293 | \$2,902,534 | \$5,161,000 | \$5,404,683 | \$5,404,683 | \$5,418,276 |
| Judicial(3) | \$954,683 | \$1,438,736 | \$1,700,000 | \$1,895,061 | \$1,895,061 | \$825,000 |
| Military Staff | \$2,234,818 | \$1,778,845 | \$1,415,500 | \$2,748,726 | \$2,748,726 | \$1,800,275 |
| Public Safety(4) | \$3,290,028 | \$3,142,765 | \$14,331,245 | \$13,921,723 | \$13,921,723 | \$18,620,329 |
| Office Of Public Defender | - | - | - | - | - | - |
| Subtotal - Public Safety | \$11,709,709 | \$9,420,034 | \$22,882,745 | \$24,717,919 | \$24,717,919 | \$26,863,880 |
| Natural Resources | | | | | | |
| Environmental Management | \$1,378,041 | \$2,833,397 | \$3,331,455 | \$5,109,852 | \$5,234,852 | \$6,572,855 |
| Coastal Resources Management Council | - | \$1,590,590 | \$1,655,509 | - | - | \$1,655,509 |
| Water Resources Board | \$80,600 | \$82,199 | \$100,000 | \$119,635 | \$119,635 | \$110,000 |
| Subtotal - Natural Resources | \$1,458,641 | \$4,506,186 | \$5,086,964 | \$5,229,487 | \$5,354,487 | \$8,338,364 |
| Transportation | | | | | | |
| Transportation | \$135,892,360 | \$116,242,114 | \$105,141,781 | \$101,065,773 | \$105,540,773 | \$100,434,841 |
| Subtotal - Transportation | \$135,892,360 | \$116,242,114 | \$105,141,781 | \$101,065,773 | \$105,540,773 | \$100,434,841 |
| Total | \$1,377,985,647 | \$1,447,643,244 | \$1,492,467,822 | \$1,625,755,590 | \$1,664,447,681 | \$1,729,614,472 |

- (1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue. In FY 2009 Fire Code Board was moved to Department of Administration.
- (2) Higher Education expenditures for FY 2008 are understated and do not reflect audited expenditures from the Board of Governors. Actual FY 2008 expenditures will be available upon completion of audit.
- (3) Judicial includes Judicial Tenure and Discipline.
- (4) Agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Full-Time Equivalent Positions

| | FY 2007 | FY 2008 | FY 2009 Enacted | FY 2009 Revised | FY 2010 |
|---------------------------------------------------|----------------|----------------|--------------------|--------------------|----------------|
| General Government | | | | | |
| Administration | 1,065.2 | 1,032.9 | 955.8 | 845.6 | 888.6 |
| Business Regulation | 103.0 | 105.0 | 97.0 | 91.0 | 103.0 |
| Labor & Training | 467.9 | 454.9 | 407.2 | 395.3 | 396.3 |
| Revenue | 473.0 | 475.0 | 464.0 | 410.0 | 424.0 |
| Legislature | 294.0 | 298.2 | 297.9 | 289.8 | 289.8 |
| Office of the Lieutenant Governor | 9.5 | 9.5 | 8.0 | 7.0 | 8.0 |
| Secretary of State | 56.0 | 58.0 | 57.0 | 55.0 | 56.4 |
| General Treasurer | 86.5 | 88.0 | 86.0 | 83.0 | 83.0 |
| Boards for Design Professionals (4) | 4.0 | - | - | - | - |
| Board Of Elections | 14.0 | 14.0 | 14.0 | 12.0 | 12.0 |
| Rhode Island Ethics Commission | 12.0 | 12.0 | 12.0 | 12.0 | 12.0 |
| Office of the Governor | 46.0 | 44.0 | 39.0 | 39.0 | 42.0 |
| Commission for Human Rights | 14.5 | 14.5 | 14.5 | 14.5 | 14.5 |
| Public Utilities Commission | 45.7 | 45.7 | 44.0 | 44.0 | 44.0 |
| Rhode Island Commission on Women | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Subtotal - General Government | 2,692.3 | 2,652.7 | 2,497.4 | 2,299.2 | 2,374.6 |
| Human Services | | | | | |
| Office of Health and Human Services | 5.0 | 5.0 | 92.2 | 85.1 | 73.1 |
| Children, Youth, and Families | 789.0 | 805.0 | 738.5 | 694.0 | 700.0 |
| Elderly Affairs | 48.0 | 46.0 | 35.0 | 32.0 | 31.0 |
| Health | 465.6 | 459.0 | 413.5 | 409.6 | 414.6 |
| Human Services | 1,111.0 | 1,109.0 | 994.4 | 884.6 | 954.6 |
| Mental Health, Retardation, & Hospitals | 1,824.3 | 1,761.0 | 1,534.6 | 1,352.4 | 1,395.4 |
| Office of the Child Advocate | 5.8 | 5.8 | 5.8 | 5.7 | 5.7 |
| Commission On the Deaf & Hard of Hearing | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 |
| State Council on Developmental Disabilities (3) | 2.0 | 2.0 | - | - | - |
| Governor's Commission on Disabilities | 6.6 | 5.6 | 4.6 | 4.0 | 4.0 |
| Office of the Mental Health Advocate | 3.7 | 3.7 | 3.7 | 3.7 | 3.7 |
| Subtotal - Human Services | 4,264.0 | 4,205.1 | 3,825.3 | 3,474.1 | 3,585.1 |
| Education | | | | | |
| Elementary and Secondary Education | 131.2 | 134.2 | 140.2 | 128.4 | 134.4 |
| Davies | 133.0 | 133.0 | 133.0 | 133.0 | 133.0 |
| School for the Deaf | 68.0 | 68.0 | 65.8 | 50.0 | 60.0 |
| Elementary Secondary Education - Total | 332.2 | 335.2 | 339.0 | 311.4 | 327.4 |
| Office of Higher Education Non-Sponsored Research | 21.0 | 21.0 | 20.1 | 19.4 | 19.4 |
| URI Non-Sponsored Research | 1,940.1 | 1,930.1 | 1,902.1 | 1,849.9 | 1,851.5 |
| RIC Non-Sponsored Research | 843.5 | 850.5 | 835.5 | 812.6 | 813.1 |
| CCRI Non-Sponsored Research | 750.2 | 748.2 | 733.2 | 713.1 | 713.1 |
| Higher Education - Total Non-Sponsored | 3,554.8 | 3,549.8 | 3,490.9 | 3,395.0 | 3,397.1 |
| RI Council On The Arts | 8.6 | 8.6 | 8.6 | 7.6 | 7.6 |
| RI Atomic Energy Commission | 8.6 | 8.6 | 8.6 | 8.6 | 8.6 |
| Higher Education Assistance Authority | 46.0 | 46.0 | 42.6 | 42.6 | 42.6 |
| Historical Preservation and Heritage Commission | 17.6 | 17.6 | 16.6 | 16.6 | 16.6 |
| Public Telecommunications Authority | 20.0 | 20.0 | 20.0 | 18.0 | 18.0 |
| Subtotal - Education | 3,987.8 | 3,985.8 | 3,926.3 | 3,799.8 | 3,817.9 |

Full-Time Equivalent Positions

| | FY 2007 | FY 2008 | FY 2009 Enacted | FY 2009 Revised | FY 2010 |
|-------------------------------------------------|-----------------|-----------------|--------------------|--------------------|-----------------|
| Public Safety | | | | | |
| Attorney General | 234.8 | 234.8 | 231.1 | 231.1 | 231.1 |
| Corrections | 1,498.6 | 1,508.6 | 1,515.0 | 1,423.0 | 1,423.0 |
| Judicial | 732.5 | 732.5 | 729.3 | 729.3 | 729.3 |
| Military Staff | 109.0 | 110.0 | 103.0 | 101.0 | 111.0 |
| Public Safety | - | - | 414.5 | 396.1 | 447.7 |
| E-911 (1) | 53.6 | 53.6 | - | - | - |
| RI State Fire Marshal (1) | 36.0 | 35.0 | - | - | - |
| Commission on Judicial Tenure and Discipline | 1.0 | - | - | - | - |
| Rhode Island Justice Commission (1) | 7.6 | 7.6 | - | - | - |
| Municipal Police Training Academy (1) | 4.0 | 4.0 | - | - | - |
| State Police (1) | 273.0 | 272.0 | - | - | - |
| Fire Safety Code Board of Appeal and Review (2) | 3.0 | 3.0 | - | - | - |
| Office of the Public Defender | 93.5 | 93.5 | 93.5 | 91.0 | 91.0 |
| Subtotal - Public Safety | 3,046.6 | 3,054.6 | 3,086.4 | 2,971.5 | 3,033.1 |
| Natural Resources | | | | | |
| Environmental Management | 505.3 | 491.4 | 473.0 | 409.0 | 417.0 |
| Coastal Resources Management Council | 30.0 | 30.0 | 30.0 | 30.0 | 30.0 |
| Water Resources Board | 9.0 | 9.0 | 6.0 | 6.0 | 6.0 |
| Subtotal - Natural Resources | 544.3 | 530.4 | 509.0 | 445.0 | 453.0 |
| Transportation | | | | | |
| Transportation | 786.7 | 773.7 | 729.2 | 691.2 | 780.2 |
| Subtotal - Transportation | 786.7 | 773.7 | 729.2 | 691.2 | 780.2 |
| Statwide Retirement Vacancies | - | - | (400.0) | - | - |
| Total Non Sponsored | 15,321.7 | 15,202.3 | 14,173.6 | 13,680.8 | 14,043.9 |
| Higher Education Sponsored Research * | | | | | |
| Office | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| CCRI | 100.0 | 100.0 | 100.0 | 100.0 | 100.0 |
| RIC | 82.0 | 82.0 | 82.0 | 82.0 | 82.0 |
| URI | 602.0 | 602.0 | 602.0 | 602.0 | 602.0 |
| Subtotal Sponsored Research | 785.0 | 785.0 | 785.0 | 785.0 | 785.0 |
| Total Personnel Authorizations | 16,106.7 | 15,987.3 | 14,958.6 | 14,465.8 | 14,828.9 |
| Total Personnel ** | 16,106.7 | 15,987.3 | 14,958.6 | 14,465.8 | 14,828.9 |

*A total of 785.0 FTE positions in Higher Education in FY 2008 and FY 2009 represent FTE's supported by sponsored research funds. Commencing in FY2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

- (1) Agencies merged with Department of Public Safety
- (2) Agencies merged with Department of Administration
- (3) Agency merged with URI
- (4) Agency merged with Department of Business Regulation

General Revenues as Recommended

| | FY 2007 Actual | FY 2008 Audited | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------|------------------------|------------------------|------------------------|------------------------|
| Personal Income Tax | \$1,065,367,487 | \$1,073,616,875 | \$1,011,000,000 | \$1,012,657,665 |
| General Business Taxes | | | | |
| Business Corporations | 148,149,226 | 150,468,827 | 108,000,000 | 96,300,000 |
| Public Utilities Gross Earnings | 102,109,351 | 99,436,915 | 104,500,000 | 106,800,000 |
| Financial Institutions | 4,423,263 | 1,830,270 | 2,000,000 | 1,000,000 |
| Insurance Companies | 56,624,296 | 67,997,274 | 89,771,882 | 92,065,988 |
| Bank Deposits | 1,673,925 | 1,710,050 | 1,700,000 | 1,740,000 |
| Health Care Provider Assessment | 47,969,855 | 53,356,431 | 46,721,711 | 37,426,589 |
| Sales and Use Taxes | | | | |
| Sales and Use | 873,203,817 | 844,197,089 | 831,777,827 | 838,737,504 |
| Motor Vehicle | 46,878,903 | 48,610,020 | 50,105,006 | 55,820,025 |
| Motor Fuel | 1,311,762 | 991,473 | 930,000 | 850,000 |
| Cigarettes | 120,480,817 | 114,674,498 | 126,808,750 | 144,152,967 |
| Alcohol | 10,705,751 | 11,140,941 | 11,100,000 | 11,300,000 |
| Other Taxes | | | | |
| Inheritance and Gift | 34,683,979 | 35,333,925 | 28,000,000 | 26,512,020 |
| Racing and Athletics | 2,921,166 | 2,812,860 | 2,500,000 | 2,300,000 |
| Realty Transfer | 12,737,438 | 10,223,094 | 7,800,000 | 7,800,000 |
| Total Taxes | \$2,529,241,036 | \$2,516,400,542 | \$2,422,715,176 | \$2,435,462,758 |
| Departmental Receipts | \$277,790,450 | \$356,546,075 | \$331,713,731 | \$ 335,146,260 |
| Taxes and Departmentals | \$2,807,031,486 | \$2,872,946,617 | \$2,754,428,907 | \$2,770,609,018 |
| Other Sources | | | | |
| Gas Tax Transfer | 4,704,602 | 4,513,745 | 4,475,000 | - |
| Other Miscellaneous | 67,471,487 | 181,810,134 | 23,730,286 | 20,869,836 |
| Lottery | 320,989,832 | 354,321,087 | 349,100,000 | 349,400,000 |
| Unclaimed Property | 11,456,513 | 15,387,030 | 9,300,000 | 5,600,000 |
| Other Sources | 404,622,434 | 556,031,996 | 386,605,286 | 375,869,836 |
| Total General Revenues | \$3,211,653,920 | \$3,428,978,613 | \$3,141,034,193 | \$3,146,478,854 |

Changes to FY 2009 Enacted Revenue Estimates

| | Enacted | November Consensus Changes | Changes to Adopted Estimates | Total |
|--------------------------------------|-------------------------|-------------------------------|---------------------------------|-------------------------|
| Personal Income Tax | \$ 1,124,235,000 | \$ (113,235,000) | \$ - | \$ 1,011,000,000 |
| General Business Taxes | | | | |
| Business Corporations | 161,000,000 | (53,000,000) | - | 108,000,000 |
| Public Utilities Gross | 100,000,000 | 4,500,000 | - | 104,500,000 |
| Financial Institutions | 1,100,000 | 900,000 | - | 2,000,000 |
| Insurance Companies | 77,823,696 | (823,696) | 12,771,882 | 89,771,882 |
| Bank Deposits | 1,700,000 | - | - | 1,700,000 |
| Health Care Provider | 47,432,000 | 1,568,000 | (2,278,289) | 46,721,711 |
| Sales and Use Taxes | | | | |
| Sales and Use | 863,100,000 | (32,100,000) | 777,827 | 831,777,827 |
| Motor Vehicle | 45,667,548 | 2,332,452 | 2,105,006 | 50,105,006 |
| Motor Fuel | 1,200,000 | (270,000) | - | 930,000 |
| Cigarettes | 114,500,000 | 5,100,000 | 7,208,750 | 126,808,750 |
| Alcohol | 11,100,000 | - | - | 11,100,000 |
| Other Taxes | | | | |
| Inheritance and Gift | 38,000,000 | (10,000,000) | - | 28,000,000 |
| Racing and Athletics | 2,600,000 | (100,000) | - | 2,500,000 |
| Realty Transfer | 10,900,000 | (3,100,000) | - | 7,800,000 |
| Total Taxes | \$ 2,600,358,244 | \$ (198,228,244) | \$ 20,585,176 | \$ 2,422,715,176 |
| Departmental Receipts | \$ 347,627,559 | \$ (16,127,559) | \$ 213,731 | \$ 331,713,731 |
| Total Taxes and Departmentals | \$ 2,947,985,803 | \$ (214,355,803) | \$ 20,798,907 | \$ 2,754,428,907 |
| Other Sources | | | | |
| Gas Tax Transfer | 4,630,000 | (155,000) | - | 4,475,000 |
| Other Miscellaneous | 19,400,000 | (2,802,960) | 7,133,246 | 23,730,286 |
| Lottery | 365,500,000 | (16,400,000) | - | 349,100,000 |
| Unclaimed Property | 9,200,000 | 100,000 | - | 9,300,000 |
| Other Sources | \$ 398,730,000 | \$ (19,257,960) | \$ 7,133,246 | \$ 386,605,286 |
| Total General Revenues | \$ 3,346,715,803 | \$ (233,613,763) | \$ 27,932,153 | \$ 3,141,034,193 |

Changes to FY 2010 Adopted Revenue Estimates

| | Revenue Estimating Conference Estimates | Changes to Adopted Estimates | Total |
|--------------------------------------|--------------------------------------------|---------------------------------|------------------------|
| Personal Income Tax | \$1,017,800,000 | (\$5,142,335) | \$1,012,657,665 |
| General Business Taxes | | | |
| Business Corporations | 110,800,000 | (14,500,000) | 96,300,000 |
| Public Utilities Gross | 106,800,000 | | 106,800,000 |
| Financial Institutions | 1,000,000 | - | 1,000,000 |
| Insurance Companies | 78,500,000 | 13,565,988 | 92,065,988 |
| Bank Deposits | 1,740,000 | - | 1,740,000 |
| Health Care Provider | 50,100,000 | (12,673,411) | 37,426,589 |
| Sales and Use Taxes | | | |
| Sales and Use | 836,000,000 | 2,737,504 | 838,737,504 |
| Motor Vehicle | 47,400,000 | 8,420,025 | 55,820,025 |
| Motor Fuel | 850,000 | - | 850,000 |
| Cigarettes | 116,700,000 | 27,452,967 | 144,152,967 |
| Alcohol | 11,300,000 | - | 11,300,000 |
| Other Taxes | | | |
| Inheritance and Gift | 28,000,000 | (1,487,980) | 26,512,020 |
| Racing and Athletics | 2,300,000 | - | 2,300,000 |
| Realty Transfer | 7,800,000 | - | 7,800,000 |
| Total Taxes | \$2,417,090,000 | \$18,372,758 | \$2,435,462,758 |
| Departmental Receipts | \$222,500,000 | \$112,646,260 | \$335,146,260 |
| Total Taxes and Departmentals | \$2,639,590,000 | \$131,019,018 | \$2,770,609,018 |
| Other Sources | | | |
| Gas Tax Transfer | 4,475,000 | (4,475,000) | - |
| Other Miscellaneous | 9,819,836 | 11,050,000 | 20,869,836 |
| Lottery | 349,400,000 | - | 349,400,000 |
| Unclaimed Property | 5,600,000 | - | 5,600,000 |
| Other Sources | \$369,294,836 | \$6,575,000 | \$375,869,836 |
| Total General Revenues | \$3,008,884,836 | \$137,594,018 | \$3,146,478,854 |

General Revenue Changes to Adopted Estimates

| FY 2009 | January 2009 Original Supplemental | March 2009 Amended Supplemental |
|--------------------------------------------------------------------------------------------------|------------------------------------------|---------------------------------------|
| <u>Taxes</u> | | |
| <u>Personal Income Taxes</u> | | |
| SBA Loan Guaranty Fee Tax Credit | \$ (252,963) | \$ - |
| Subtotal: Personal Income Taxes | \$ (252,963) | \$ - |
| <u>General Business Taxes</u> | | |
| Business Corporations: SBA Loan Guaranty Fee Tax Credit | \$ (22,496) | \$ - |
| Insurance Companies: Increase Health Care Insurers Gross Premiums Rate to 2.0% | 3,731,882 | 3,731,882 |
| Insurance Companies: Eliminate Exemption for Managed Care Plans in the Title XIX Program | - | 9,040,000 |
| Health Care Provider Assessment: Reduction in Nursing Home Base Expenditures | (382,268) | - |
| Health Care Provider Assessment: Elimination of Group Home Tax Under Medicaid Waiver | - | (2,278,289) |
| Subtotal: General Business Taxes | \$ 3,327,118 | \$ 10,493,593 |
| <u>Sales and Use Taxes</u> | | |
| Sales and Use Tax: Increase Cigarette Excise Tax by \$1.00 with No Minimum Price Markup | \$ 573,847 | \$ - |
| Sales and Use Tax: Increase Cigarette Excise Tax by \$1.00 Retain Minimum Price Markup | - | 777,827 |
| Motor Vehicles: Increase Reinstatement Fee for Registrations and Titles from \$50.00 to \$250.00 | 358,417 | 215,050 |
| Motor Vehicles: Increase Reinstatement Fee for Operator's License from \$75.00 to \$250.00 | 1,534,167 | 920,500 |
| Motor Vehicles: Increase Certificate of Title Fee from \$25.00 to \$50.00 | 1,292,600 | 969,456 |
| Cigarettes: Increase Cigarette Excise Tax to \$3.46 with No Minimum Price Markup | 15,359,024 | - |
| Cigarettes: Increase Cigarette Excise Tax to \$3.46 Retain Minimum Price Markup | - | 7,208,750 |
| Cigarettes: Reduce Cigarette Stamping Discount from 1.25% to 1.00% | 43,197 | - |
| Cigarettes: Floor Stock Tax from Increased Cigarette Excise Tax | 1,425,635 | - |
| Subtotal: Sales and Use Taxes | \$ 20,586,887 | \$ 10,091,583 |
| Subtotal: All Taxes | \$ 23,661,042 | \$ 20,585,176 |
| <u>Departmental Revenues</u> | | |
| <u>Licenses and Fees</u> | | |
| Small Claims and Consumer Claims Mediation Entry Fee from \$30.00 to \$50.00 | \$ 78,929 | \$ 78,929 |
| Subtotal: Licenses and Fees | \$ - | \$ 78,929 |
| <u>Miscellaneous</u> | | |
| Health: National Council on Aging, Choices for Self Care Grant | \$ - | \$ 124,802 |
| Health: American Cancer Society Program Support Donation | - | 10,000 |
| Subtotal: Miscellaneous | \$ - | \$ 134,802 |
| Subtotal: All Departmental Revenues | \$ 78,929 | \$ 213,731 |
| <u>Other Sources</u> | | |
| <u>Other Miscellaneous</u> | | |
| Land Sales: Downcity Parking Garage | \$ 6,000,000 | \$ 6,000,000 |
| Land Sales: Aime Forand Building | 6,000,000 | - |
| Land Sales: Pastore Parcel (Intersection of Howard and Pontiac Aves.) | 4,000,000 | - |
| Transfer of Worker's Compensation Escrow Account for Companies with Defunct Operations | 700,000 | 700,000 |
| Transfer from TDD Dual Party Phone Relay Service Escrow Account | 385,246 | 183,246 |
| Transfer of Purchase Card Rebate Incentives | 250,000 | 250,000 |
| Subtotal: Other Miscellaneous | \$ 17,335,246 | \$ 7,133,246 |
| Subtotal: Other Sources | \$ 17,335,246 | \$ 7,133,246 |
| Total FY 2009 General Revenue Adjustments | \$ 41,075,217 | \$ 27,932,153 |

General Revenue Changes to Adopted Estimates

| FY 2010 | March 2009 Governor Recommended |
|--------------------------------------------------------------------------------------------------|---------------------------------------|
| <u>Taxes</u> | |
| <i>Personal Income Taxes</i> | |
| SBA Loan Guaranty Fee Tax Credit | \$ - |
| ARRA: Enhance Earned Income Tax Credit for Filers with 3 or More Dependents | (175,306) |
| ARRA: Increase Weekly Unemployment Compensation by \$25 | 1,846,284 |
| ARRA: Deduction from AGI of First \$2,400 of Unemployment Compensation | (5,371,008) |
| ARRA: Deduction from AGI of Sales Tax Paid on New Car Purchases | (1,442,305) |
| <i>Subtotal: Personal Income Taxes</i> | \$ (5,142,335) |
| <i>General Business Taxes</i> | |
| Business Corporations: SBA Loan Guaranty Fee Tax Credit | \$ - |
| Business Corporations: Reduce Tax Rate to 7.5 percent from 9.0 percent | (14,500,000) |
| Insurance Companies: Increase Health Care Insurers Gross Premiums Rate to 2.0% | 3,802,788 |
| Insurance Companies: Eliminate Exemption for Managed Care Plans in the Title XIX Program | 9,763,200 |
| Health Care Provider Assessment: Reduction in Nursing Home Base Expenditures | (1,573,411) |
| Health Care Provider Assessment: Elimination of Group Home Tax Under Medicaid Waiver | (11,100,000) |
| <i>Subtotal: General Business Taxes</i> | \$ (13,607,423) |
| <i>Sales and Use Taxes</i> | |
| Sales and Use Tax: Increase Cigarette Excise Tax by \$1.00 with No Minimum Price Markup | \$ - |
| Sales and Use Tax: Increase Cigarette Excise Tax by \$1.00 Retain Minimum Price Markup | 2,737,504 |
| Motor Vehicles: Increase Reinstatement Fee for Registrations and Titles from \$50.00 to \$250.00 | 860,200 |
| Motor Vehicles: Increase Reinstatement Fee for Operator's License from \$75.00 to \$250.00 | 3,682,000 |
| Motor Vehicles: Increase Certificate of Title Fee from \$25.00 to \$50.00 | 3,877,825 |
| Cigarettes: Increase Cigarette Excise Tax to \$3.46 with No Minimum Price Markup | - |
| Cigarettes: Increase Cigarette Excise Tax to \$3.46 Retain Minimum Price Markup | 27,452,967 |
| Cigarettes: Reduce Cigarette Stamping Discount from 1.25% to 1.00% | - |
| Cigarettes: Floor Stock Tax from Increased Cigarette Excise Tax | - |
| <i>Subtotal: Sales and Use Taxes</i> | \$ 38,610,496 |
| <i>Other Taxes</i> | |
| Inheritance Taxes: Increase Estate Tax Exemption Amount to \$1.0 million | \$ (1,487,980) |
| <i>Subtotal: Other Taxes</i> | \$ (1,487,980) |
| Subtotal: All Taxes | \$ 18,372,758 |
| <u>Departmental Revenues</u> | |
| <i>Licenses and Fees</i> | |
| Small claims and consumer claims mediation entry fee from \$30.00 to \$50.00 | \$ 198,901 |
| AG: Background Criminal Investigation (BCI) Fee from \$5.00 to \$25.00 | 894,100 |
| Health: Penalty of \$100 for Late Renewal of Office of Food Protection Licenses | 25,000 |
| Human Services: Reinststitute Hospital Licensing Fee at 4.78 Percent Rate | 111,403,457 |
| <i>Subtotal: Licenses and Fees</i> | \$ 112,521,458 |
| <i>Miscellaneous</i> | |
| Department of Health: National Council on Aging, Choices for Self Care grant | \$ 124,802 |
| <i>Subtotal: Miscellaneous</i> | \$ 124,802 |
| Subtotal: All Departmental Revenues | \$ 112,646,260 |
| <u>Other Sources</u> | |
| <i>Gas Tax Transfer</i> | |
| Transfer of \$0.01 General Revenue Share of \$0.30 Gas Tax to DOT | \$ (4,475,000) |
| <i>Subtotal: Gas Tax Transfer</i> | \$ (4,475,000) |

General Revenue Changes to Adopted Estimates

| FY 2010 | Mar 2009 Governor Recommended |
|----------------------------------------------------------------------------------------|----------------------------------|
| Other Sources (cont.) | |
| <i>Other Miscellaneous</i> | |
| Land Sales: Downcity Parking Garage | \$ - |
| Land Sales: Aime Forand Building | 6,000,000 |
| Land Sales: Pastore Parcel (Intersection of Howard and Pontiac Aves.) | 4,000,000 |
| Transfer of Worker's Compensation Escrow Account for Companies with Defunct Operations | - |
| Transfer from TDD Dual Party Phone Relay Service Escrow Account | - |
| Transfer of Purchase Card Rebate Incentives | 50,000 |
| Transfer from Rhode Island Health and Educational Building Corporation (RIHEBC) | 1,000,000 |
| <i>Subtotal: Other Miscellaneous</i> | \$ 11,050,000 |
| Subtotal: Other Sources | \$ 6,575,000 |
| Total FY 2010 General Revenue Adjustments | \$ 137,594,018 |

Other Revenue Enhancements

FY 2009

Other Sources

UST Fund 1/2 cent to DOT Intermodal Service Transportation Fund for RIPTA \$ 2,237,500

Subtotal: Other Sources \$ 2,237,500

Restricted Receipts

UST Fund Dedication of 1/2 cent Transferred to RIPTA \$ (2,237,500)

Subtotal: Restricted Receipts \$ (2,237,500)

Total FY 2009 Other Revenue Adjustments \$ -

Other Pass Through Revenues

Public Service Corporation Tax Freeze at FY2008 (12/31/2007) rates \$ 645,000

FY 2010

Other Sources

UST Fund 1/2 cent to DOT Intermodal Service Transportation Fund for RIPTA \$ 2,237,500

Subtotal: Other Sources \$ 2,237,500

Restricted Receipts

UST Fund Dedication of 1/2 cent Transferred to RIPTA \$ (2,237,500)

Subtotal: Restricted Receipts \$ (2,237,500)

Federal Grants

National Highway Traffic Safety Administration Seat Belt Grant \$ 4,565,810

FY 2010 General Revenue Budget Surplus

| | FY2008 Preliminary Actual(1) | FY2008 Preliminary Actual Modified(2) | FY2009 Enacted Budget(3) | FY2009 Governor's Supplemental(4) | FY2010 Governor's Recommendation |
|----------------------------------------------------------|---------------------------------|------------------------------------------|-----------------------------|--------------------------------------|-------------------------------------|
| Surplus | | | | | |
| Opening Surplus | \$ - | \$ - | \$ 3,199,106 | \$ (0) | \$ 9,734,725 |
| Adjustment | | | | | |
| Reappropriated Surplus | 3,640,364 | 3,640,364 | - | 1,738,518 | |
| Subtotal | 3,640,364 | 3,640,364 | 3,199,106 | 1,738,518 | 9,734,725 |
| General Taxes | 2,518,689,049 | 2,516,400,542 | 2,600,358,244 | 2,600,358,244 | 2,417,090,000 |
| Revenue estimators' revision | - | - | | (198,228,244) | |
| Changes to the Adopted Estimates | - | - | | 20,585,176 | 18,372,758 |
| Subtotal | 2,518,689,049 | 2,516,400,542 | 2,600,358,244 | 2,422,715,176 | 2,435,462,758 |
| Departmental Revenues | 352,107,296 | 356,546,075 | 347,627,559 | 347,627,559 | 222,500,000 |
| Revenue estimators' revision | - | - | | (16,127,559) | |
| Changes to the Adopted Estimates | - | - | | 213,731 | 112,646,260 |
| Subtotal | 352,107,296 | 356,546,075 | 347,627,559 | 331,713,731 | 335,146,260 |
| Other Sources | | | | | |
| Gas Tax Transfers | 4,513,745 | 4,513,745 | 4,630,000 | 4,630,000 | 4,475,000 |
| Revenue estimators' revision | - | - | | (155,000) | |
| Changes to the Adopted Estimates | | | | | (4,475,000) |
| Other Miscellaneous | 182,892,012 | 181,810,134 | 19,400,000 | 19,400,000 | 9,819,836 |
| Rev Estimators' revision-Miscellaneous | - | - | | (2,802,960) | |
| Changes to the Adopted Estimates | - | - | | 7,133,246 | 11,050,000 |
| Lottery | 354,311,448 | 354,321,087 | 365,500,000 | 365,500,000 | 349,400,000 |
| Revenue Estimators' revision-Lottery | - | - | | (16,400,000) | |
| Unclaimed Property | 15,387,030 | 15,387,030 | 9,200,000 | 9,200,000 | 5,600,000 |
| Revenue Estimators' revision-Unclaimed | - | - | | 100,000 | |
| Subtotal | 557,104,235 | 556,031,996 | \$ 398,730,000 | \$ 386,605,286 | \$ 375,869,836 |
| Total Revenues | \$ 3,427,900,580 | \$ 3,428,978,613 | \$ 3,346,715,803 | \$ 3,141,034,193 | \$ 3,146,478,854 |
| Transfer to Budget Reserve | (68,558,012) | (68,579,573) | (73,698,128) | (69,102,752) | (75,749,126) |
| Transfer from Budget Reserve | 38,374,852 | 42,950,480 | - | - | - |
| Total Available | \$ 3,401,357,784 | \$ 3,406,989,884 | \$ 3,276,216,781 | \$ 3,073,669,958 | \$ 3,080,464,454 |
| Actual/Enacted Expenditures | \$ 3,394,844,107 | \$ 3,394,844,107 | 3,276,156,221 | 3,276,156,221 | 3,079,074,137 |
| Change to Preliminary FY2008 Closing | \$ 4,775,159 | 10,407,259 | | | |
| Projected net changes in expenditures | | | | (213,959,506) | |
| Reappropriations | - | - | | 1,738,518 | |
| Repayment of FY2008 Transfer | - | - | | - | - |
| Subtotal | \$ 3,399,619,266 | \$ 3,405,251,366 | \$ 3,276,156,221 | \$ 3,063,935,233 | \$ 3,079,074,137 |
| Free Surplus | \$ 0 | \$ (0) | \$ 60,560 | \$ 9,734,725 | \$ 1,390,317 |
| Reappropriations | (1,738,518) | (1,738,518) | - | - | - |
| Total Ending Balances | \$ 1,738,518 | \$ 1,738,518 | \$ 60,560 | \$ 9,734,725 | \$ 1,390,317 |
| Budget Reserve and Cash Stabilization Account | \$ 64,462,165 | \$ 59,059,868 | \$ 113,839,470 | \$ 106,795,163 | \$ 119,936,116 |

⁽¹⁾Reflects the FY 2008 Preliminary Actual data as reported by the State Controller in his August 29, 2008 report which revealed a \$33,599,693 deficit, modified by an estimated change in payables associated with a retroactive salary adjustment increasing expenditures by \$4,775,159 and an estimated \$38.4 million appropriation from the Budget Reserve Fund to be requested by the Governor in a resolution to be submitted to the General Assembly in January 2009.

⁽²⁾Reflects the final actual closing revealing a deficit of \$42,950,480 and a \$42,950,480 million request for appropriation from the Budget Reserve Fund submitted to the General Assembly in February 2009.

⁽³⁾Reflects the FY 2009 budget enacted by the General Assembly in June 2008, including modifications to revenue estimates by the May 2008 Revenue Estimating Conference.

⁽⁴⁾ Reflects Governor's amended supplemental budget as submitted in March 2009.

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Changes to FY 2009

Changes to FY 2009 General Revenue Budget Surplus

| | FY2008 Preliminary Actual(1) | FY2008 Preliminary Actual Modified(2) | FY2009 Enacted Budget(3) | FY2009 Q 1 Report Projection(4) | FY2009 Governor's Supplemental(6) |
|----------------------------------------------------------|---------------------------------|------------------------------------------|-----------------------------|------------------------------------|--------------------------------------|
| Surplus | | | | | |
| Opening Surplus | \$ - | \$ - | \$ 3,199,106 | \$ (0) | \$ (0) |
| Adjustment | | | | | |
| Reappropriated Surplus | 3,640,364 | 3,640,364 | - | 1,738,518 | 1,738,518 |
| Subtotal | 3,640,364 | 3,640,364 | 3,199,106 | 1,738,518 | 1,738,518 |
| General Taxes | 2,518,689,049 | 2,516,400,542 | 2,600,358,244 | 2,600,358,244 | 2,600,358,244 |
| Revenue estimators' revision | - | - | | (198,228,244) | (198,228,244) |
| Changes to the Adopted Estimates | - | - | | | 20,585,176 |
| Subtotal | 2,518,689,049 | 2,516,400,542 | 2,600,358,244 | 2,402,130,000 | 2,422,715,176 |
| Departmental Revenues | 352,107,296 | 356,546,075 | 347,627,559 | 347,627,559 | 347,627,559 |
| Revenue estimators' revision | - | - | | (16,127,559) | (16,127,559) |
| Changes to the Adopted Estimates | - | - | | | 213,731 |
| Subtotal | 352,107,296 | 356,546,075 | 347,627,559 | 331,500,000 | 331,713,731 |
| Other Sources | | | | | |
| Gas Tax Transfers | 4,513,745 | 4,513,745 | 4,630,000 | 4,630,000 | 4,630,000 |
| Revenue estimators' revision | - | - | | (155,000) | (155,000) |
| Other Miscellaneous | 182,892,012 | 181,810,134 | 19,400,000 | 19,400,000 | 19,400,000 |
| Rev Estimators' revision-Miscellaneous | - | - | | (2,802,960) | (2,802,960) |
| Changes to the Adopted Estimates | - | - | | | 7,133,246 |
| Lottery | 354,311,448 | 354,321,087 | 365,500,000 | 365,500,000 | 365,500,000 |
| Revenue Estimators' revision-Lottery | - | - | | (16,400,000) | (16,400,000) |
| Unclaimed Property | 15,387,030 | 15,387,030 | 9,200,000 | 9,200,000 | 9,200,000 |
| Revenue Estimators' revision-Unclaimed | - | - | | 100,000 | 100,000 |
| Subtotal | 557,104,235 | 556,031,996 | \$ 398,730,000 | \$ 379,472,040 | \$ 386,605,286 |
| Total Revenues | \$ 3,427,900,580 | \$ 3,428,978,613 | \$ 3,346,715,803 | \$ 3,113,102,040 | \$ 3,141,034,193 |
| Transfer to Budget Reserve | (68,558,012) | (68,579,573) | (73,698,128) | (68,488,245) | (69,102,752) |
| Transfer from Budget Reserve | 38,374,852 | 42,950,480 | - | - | - |
| Total Available | \$ 3,401,357,784 | \$ 3,406,989,884 | \$ 3,276,216,781 | \$ 3,046,352,313 | \$ 3,073,669,958 |
| Actual/Enacted Expenditures | \$ 3,394,844,107 | \$ 3,394,844,107 | 3,276,156,221 | 3,276,156,221 | 3,276,156,221 |
| Change to Preliminary FY2008 Closing | \$ 4,775,159 | 10,407,259 | | | |
| Projected net changes in expenditures | | | | 87,446,413 | (213,959,506) |
| Reappropriations | - | - | | 1,738,518 | 1,738,518 |
| Repayment of FY2008 Transfer | - | - | | 38,374,852 | - |
| Subtotal | \$ 3,399,619,266 | \$ 3,405,251,366 | \$ 3,276,156,221 | \$ 3,403,716,004 | \$ 3,063,935,233 |
| Free Surplus | \$ 0 | \$ (0) | \$ 60,560 | \$ 136,309 | \$ 9,734,725 |
| Reappropriations | (1,738,518) | (1,738,518) | - | - | - |
| Budget Balancing Plan(5) | | | | 357,500,000 | - |
| Total Ending Balances | \$ 1,738,518 | \$ 1,738,518 | \$ 60,560 | \$ 136,309 | \$ 9,734,725 |
| Budget Reserve and Cash Stabilization Account | \$ 64,462,165 | \$ 59,059,868 | \$ 113,839,470 | \$ 118,570,824 | \$ 106,795,163 |

⁽¹⁾Reflects the FY 2008 Preliminary Actual data as reported by the State Controller in his August 29, 2008 report which revealed a \$33,599,693 deficit, modified by an estimated change in payables associated with a retroactive salary adjustment increasing expenditures by \$4,775,159 and an estimated \$38.4 million appropriation from the Budget Reserve Fund to be requested by the Governor in a resolution to be submitted to the General Assembly in January 2009.

⁽²⁾Reflects the final actual closing revealing a deficit of \$42,950,480 and a \$42,950,480 million request for appropriation from the Budget Reserve Fund submitted to the General Assembly in February 2009.

⁽³⁾Reflects the FY 2009 budget enacted by the General Assembly in June 2008, including modifications to revenue estimates by the May 2008 Revenue Estimating Conference.

⁽⁴⁾Reflects the FY 2009 budget enacted by the General Assembly in June 2008, including enacted expenditures plus projected changes to agency spending and the repayment of the estimated Budget Reserve Fund Transfer for FY 2008 as reflected in the First Quarter Report. Includes estimated budget balancing plan described in footnote 5.

⁽⁵⁾Estimate of budget balancing plan required to be developed based upon the FY 2008 preliminary closing, revised revenue estimates and projected changes to expenditures. This reflects the projected financial position contained in the first quarter report of the State Budget Office issued on November 15, 2008.

⁽⁶⁾ Reflects Governor's recommended supplemental budget as submitted in March 2009.

Summary Changes to FY 2009 Enacted General Revenue Expenditures

| | FY 2009 Enacted | Reappropriation | Redistribution Of Enacted Personnel Savings | Projected Changes | Projected Expenditures | Change From Enacted |
|-----------------------------------------|----------------------|------------------|---------------------------------------------------|----------------------|---------------------------|---------------------------|
| General Government | | | | | | |
| Administration | \$511,888,244 | - | (\$4,355,092) | (\$34,400,187) | \$473,132,965 | (\$38,755,279) |
| Statewide Personnel Savings | (\$50,227,972) | - | \$50,227,972 | \$0 | \$0 | \$50,227,972 |
| Business Regulation | 10,118,066 | - | (\$624,225) | (186,596) | 9,307,245 | (810,821) |
| Labor and Training | 6,513,092 | - | (\$194,958) | 377,946 | 6,696,080 | 182,988 |
| Department of Revenue | 37,849,916 | - | (2,052,394) | (2,278,939) | 33,518,583 | (4,331,333) |
| Legislature | 34,099,202 | 1,738,518 | (2,098,828) | (287,557) | 33,451,335 | (2,386,385) |
| Lieutenant Governor | 901,418 | - | (50,690) | 10,043 | 860,771 | (40,647) |
| Secretary of State | 6,307,144 | - | (294,083) | 394,466 | 6,407,527 | 100,383 |
| General Treasurer | 2,563,767 | - | (128,298) | 30,465 | 2,465,934 | (97,833) |
| Board of Elections | 1,512,874 | - | (81,411) | 121,227 | 1,552,690 | 39,816 |
| Rhode Island Ethics Commission | 1,405,309 | - | (71,349) | 75,490 | 1,409,450 | 4,141 |
| Governor's Office | 5,158,611 | - | (259,932) | 524,677 | 5,423,356 | 264,745 |
| Commission for Human Rights | 991,659 | - | (65,520) | 6,279 | 932,418 | (59,241) |
| Public Utilities Commission | - | - | - | - | - | - |
| Rhode Island Commission on Women | 107,208 | - | (5,360) | 6,529 | 108,377 | 1,169 |
| Subtotal - General Government | 569,188,538 | 1,738,518 | 39,945,832 | (35,606,157) | 575,266,731 | 4,339,675 |
| Human Services | | | | | | |
| Office of Health & Human Services | 5,223,297 | - | (353,760) | (1,032,961) | 3,836,576 | (1,386,721) |
| Children, Youth, and Families | 137,133,720 | - | (3,687,532) | 28,604,675 | 162,050,863 | 24,917,143 |
| Elderly Affairs | 16,071,786 | - | (135,766) | (2,031,976) | 13,904,044 | (2,167,742) |
| Health | 32,281,674 | - | (1,358,491) | (169,207) | 30,753,976 | (1,527,698) |
| Human Services | 767,224,135 | - | (2,658,282) | (90,243,633) | 674,322,220 | (92,901,915) |
| Mental Health, Retardation, & Hosp. | 219,361,864 | - | (3,993,744) | (28,715,293) | 186,652,827 | (32,709,037) |
| Office of the Child Advocate | 519,657 | - | (33,819) | 28,604 | 514,442 | (5,215) |
| Comm. on Deaf & Hard of Hearing | 368,807 | - | (20,230) | 22,723 | 371,300 | 2,493 |
| RI Developmental Disabilities Council | - | - | - | - | - | - |
| Governor's Commission on Disabilities | 413,651 | - | (23,879) | (1,910) | 387,862 | (25,789) |
| Office of the Mental Health Advocate | 431,171 | - | (27,557) | 36,869 | 440,483 | 9,312 |
| Subtotal - Human Services | 1,179,029,762 | - | (12,293,060) | (93,502,109) | 1,073,234,593 | (105,795,169) |
| Education | | | | | | |
| Elementary and Secondary | 931,218,471 | - | (1,795,472) | (96,944,293) | 832,478,706 | (98,739,765) |
| Higher Education - Board of Governors | 179,856,018 | - | (6,111,373) | (883,803) | 172,860,842 | (6,995,176) |
| RI Council on the Arts | 2,094,847 | - | (45,233) | (143,818) | 1,905,796 | (189,051) |
| RI Atomic Energy Commission | 824,470 | - | (41,470) | 3,847 | 786,847 | (37,623) |
| Higher Education Assistance Authority | 7,323,051 | - | (30,710) | 643 | 7,292,984 | (30,067) |
| Historical Preservation & Heritage Comm | 1,348,825 | - | (73,372) | 22,063 | 1,297,516 | (51,309) |
| Public Telecommunications Authority | 1,365,306 | - | (76,386) | (39,411) | 1,249,509 | (115,797) |
| Subtotal - Education | 1,124,030,988 | - | (8,174,016) | (97,984,772) | 1,017,872,200 | (106,158,788) |
| Public Safety | | | | | | |
| Attorney General | \$21,212,039 | - | (1,220,423) | 1,142,794 | 21,134,410 | (77,629) |
| Corrections | 178,623,504 | - | (9,237,871) | 9,296,428 | 178,682,061 | 58,557 |
| Judicial | 85,000,000 | - | (4,164,561) | 1,961,792 | 82,797,231 | (2,202,769) |
| Military Staff | 3,739,948 | - | (122,089) | (42,599) | 3,575,260 | (164,688) |
| Public Safety | 66,828,094 | - | (2,321,080) | 149,924 | 64,656,938 | (2,171,156) |
| Office Of Public Defender | 9,468,259 | - | (564,925) | 414,713 | 9,318,047 | (150,212) |
| Subtotal - Public Safety | 364,871,844 | - | (17,630,949) | 12,923,052 | 360,163,947 | (4,707,897) |
| Natural Resources | | | | | | |
| Environmental Management | 35,779,384 | - | (1,703,604) | (64,418) | 34,011,362 | (1,768,022) |
| Coastal Resources Management Council | 1,877,703 | - | (102,392) | 259,043 | 2,034,354 | 156,651 |
| Water Resources Board | 1,378,002 | - | (41,811) | 15,855 | 1,352,046 | (25,956) |
| Subtotal - Natural Resources | 39,035,089 | - | (1,847,807) | 210,480 | 37,397,762 | (1,637,327) |
| Total | 3,276,156,221 | 1,738,518 | - | (213,959,506) | 3,063,935,233 | (213,959,506) |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| <u>General Government</u> | | | | | |
| Administration | | | | | |
| Central Management | 1,664,118 | (95,243) | | | |
| Personnel | | | 48,900 | | |
| Transfer One FTE to Governor's Office | | | (62,275) | | |
| Operating Supplies and Expenses | | | 5,623 | | |
| | 1,664,118 | (95,243) | (7,752) | 1,561,123 | 102,995 |
| Legal Services | 2,134,616 | (128,143) | | | |
| Personnel | | | (50,215) | | |
| Labor Relations Transfer to Human Resources | | | (843,954) | | |
| Operating Supplies and Expenses | | | 6,809 | | |
| Assistance and Grants | | | 253 | | |
| Capital Purchases and Equipment | | | 605 | | |
| | 2,134,616 | (128,143) | (886,502) | 1,119,971 | 1,014,645 |
| Accounts & Control | 3,886,437 | (160,899) | | | |
| Personnel | | | (53,448) | | |
| Operating Supplies and Expenses | | | 19,413 | | |
| CMIA Interest | | | 80,000 | | |
| Assistance and Grants | | | (208) | | |
| Capital Purchases and Equipment | | | (2,000) | | |
| | 3,886,437 | (160,899) | 43,757 | 3,769,295 | 117,142 |
| Budgeting | 2,126,819 | (116,514) | | | |
| Personnel | | | (33,630) | | |
| Contracted Professional Services | | | 30,635 | | |
| Operating Supplies and Expenses | | | (2,711) | | |
| Capital Purchases and Equipment | | | 5,300 | | |
| | 2,126,819 | (116,514) | (406) | 2,009,899 | 116,920 |
| Purchasing | 2,280,079 | (158,091) | | | |
| Personnel | | | 30,835 | | |
| Minority Business Enterprise to Human Resources | | | (143,456) | | |
| Contracted Professional Services | | | 120 | | |
| Operating Supplies and Expenses | | | (9,965) | | |
| Assistance and Grants | | | (837) | | |
| Capital Purchases and Equipment | | | - | | |
| | 2,280,079 | (158,091) | (123,303) | 1,998,685 | 281,394 |
| Auditing | 1,848,952 | (107,910) | | | |
| Personnel | | | (375,134) | | |
| Contracted Professional Services | | | (15,000) | | |
| Operating Supplies and Expenses | | | (11,100) | | |
| Capital Purchases and Equipment | | | 230 | | |
| | 1,848,952 | (107,910) | (401,004) | 1,340,038 | 508,914 |
| Human Resources | 10,366,561 | (628,009) | | | |
| Personnel | | | (580,140) | | |
| Minority Business Enterprise from Purchasing | | | 143,456 | | |
| Contracted Professional Services | | | 54,019 | | |
| Operating Supplies and Expenses | | | (91,510) | | |
| Assistance and Grants | | | (50,000) | | |
| Capital Purchases and Equipment | | | (8,010) | | |
| Labor Relations - Outside Legal Counsel | | | 957,954 | | |
| | 10,366,561 | (628,009) | 425,769 | 10,164,321 | 202,240 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-----------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Personnel Appeal Board | 111,226 | (1,962) | | | |
| Personnel | | | (21,043) | | |
| Contracted Professional Services | | | 3,000 | | |
| Operating Supplies and Expenses | | | (248) | | |
| | 111,226 | (1,962) | (18,291) | 90,973 | 20,253 |
| Facilities Management | 39,299,779 | (652,980) | | | |
| Personnel | | | (170,048) | | |
| Contracted Professional Services | | | 256,098 | | |
| Operating Supplies and Expenses | | | (1,208,605) | | |
| Assistance and Grants | | | (985) | | |
| Capital Purchases and Equipment | | | (21,935) | | |
| Energy/ Utility Costs - State Facilities | | | (831,811) | | |
| | 39,299,779 | (652,980) | (1,977,286) | 36,669,513 | 2,630,266 |
| Capital Projects and Property Management | 3,887,058 | (237,629) | | | |
| Fire Safety Code Board of Appeal and Review | 306,552 | | - | | |
| Personnel | | | (33,327) | | |
| Contracted Professional Services | | | (10,000) | | |
| Operating Supplies and Expenses | | | (9,138) | | |
| Capital Purchases and Equipment | | | 2,714 | | |
| Building Contractor's Reg Board to Restricted | | | (1,098,647) | | |
| Brown University DBR Lease Settlement | | | 90,762 | | |
| | 4,193,610 | (237,629) | (1,057,636) | 2,898,345 | 1,295,265 |
| Information Technology | 20,195,145 | (941,825) | | | |
| Personnel | | | (790,619) | | |
| Contracted Professional Services | | | 120,009 | | |
| Operating Supplies and Expenses | | | 107,005 | | |
| Assistance and Grants | | | 655 | | |
| Capital Purchases and Equipment | | | 9,918 | | |
| Contractors Consolidation | | | 1,064,016 | | |
| Technology Initiative Fund | | | (15,000) | | |
| RI FANS | | | (536,143) | | |
| | 20,195,145 | (941,825) | (40,159) | 19,213,161 | 981,984 |
| Library and Information Services | 927,319 | (49,823) | | | |
| Personnel | | | (99,993) | | |
| Contracted Professional Services | | | (116,439) | | |
| Operating Supplies and Expenses | | | 224,762 | | |
| | 927,319 | (49,823) | 8,330 | 885,826 | 41,493 |
| Statewide Planning | 3,731,488 | (96,053) | | | |
| Personnel | | | 9,222 | | |
| Operating Supplies and Expenses | | | (12,976) | | |
| Capital Purchases and Equipment | | | (3,983) | | |
| Local Government Assistance | | | 110,203 | | |
| | 3,731,488 | (96,053) | 102,466 | 3,737,901 | (6,413) |
| Sheriffs | 15,488,294 | (980,011) | | | |
| Personnel | | | 992,228 | | |
| Overtime | | | 730,000 | | |
| Contracted Professional Services | | | (46,500) | | |
| Operating Supplies and Expenses | | | 254,471 | | |
| Capital Purchases and Equipment | | | (4,500) | | |
| | 15,488,294 | (980,011) | 1,925,699 | 16,433,982 | (945,688) |
| General | | | | | |
| Economic Development Corp. | 6,028,807 | | | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|--------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| EDC-RI Airport Corp. Impact Act | 1,000,754 | | | | |
| EDC EPScore (Research Alliance) | 1,500,000 | | | | |
| Miscellaneous Grants | 400,456 | | | | |
| Slater Centers for Excellence | 3,000,000 | | | | |
| Torts | 400,000 | | | | |
| Convention Center | 4,100,000 | | 3,000,000 | | |
| Teachers' Retiree Health Subsidy | 479,502 | | 1,100,000 | | |
| Motor Vehicle Excise Tax Payment | 139,586,645 | | (4,216,328) | | |
| Property Valuation | 1,272,000 | | (140,000) | | |
| General Revenue Sharing Pg. | 55,111,876 | | (24,111,876) | | |
| Payment in Lieu of Tax Exempt | 27,766,967 | | (186,558) | | |
| Distressed Communities Relief | 10,384,458 | | - | | |
| Resource Sharing and State Library Aid | 8,773,398 | | - | | |
| Library Construction Aid | 2,765,729 | | (178,282) | | |
| | 262,570,592 | - | (24,733,044) | 237,837,548 | 24,733,044 |
| Debt Service Payments | 141,624,151 | | - | | |
| TANS Net Interest Costs (\$350 million issuance) | | | (5,229,313) | | |
| General Obligation Debt Service | | | (2,766,636) | | |
| COPS - Center General Building | | | 150,392 | | |
| COPS - All Other | | | (301,725) | | |
| Refunding Bond Authority Debt Service | | | (23,402) | | |
| Other Debt Service | | | (51,083) | | |
| | 141,624,151 | - | (8,221,767) | 133,402,384 | 8,221,767 |
| Undistributed Personnel Savings | | | | | |
| Savings from Retirement Vacancies | (16,836,489) | 16,836,489 | - | | |
| Undistributed Judicial share | | [(4,164,561)] | - | | |
| Undistributed Legislative share | | [(2,098,828)] | - | | |
| Personnel Savings | (33,391,483) | 33,391,483 | - | | |
| | (50,227,972) | 50,227,972 | - | - | (50,227,972) |
| Operational Savings | (560,942) | | | | |
| Delay in Implementation of Insurance Program | | | 560,942 | | |
| | (560,942) | - | 560,942 | - | (560,942) |
| Total | 461,660,272 | 45,872,880 | (34,400,187) | 473,132,965 | (11,472,693) |
| Business Regulation | | | | | |
| Central Management | 1,133,343 | (66,392) | | | |
| Contracted Professional Services | | | 23,007 | | |
| Operating Supplies and Expenses | | | (3,254) | | |
| Capital | | | (22,103) | | |
| | 1,133,343 | (66,392) | (3,350) | 1,063,601 | 69,742 |
| Securities | 929,300 | (63,736) | | | |
| Personnel | | | (64,534) | | |
| Contracted Professional Services | | | (694) | | |
| Operating Supplies and Expenses | | | 11,014 | | |
| Capital | | | (34) | | |
| | 929,300 | (63,736) | (54,248) | 811,316 | 117,984 |
| Insurance Regulation | 4,626,900 | (279,668) | | | |
| Personnel | | | 61,186 | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Contracted Professional Services | | | (1,030) | | |
| Operating Supplies and Expenses | | | (12,667) | | |
| Assistance and Grants | | | 80,515 | | |
| | 4,626,900 | (279,668) | 128,004 | 4,475,236 | 151,664 |
| Board of Accountancy | 156,595 | (9,715) | | | |
| Personnel | | | 13,400 | | |
| Contracted Professional Services | | | (960) | | |
| Operating Supplies and Expenses | | | 1,542 | | |
| | 156,595 | (9,715) | 13,982 | 160,862 | (4,267) |
| Banking | 1,980,142 | (124,859) | | | |
| Personnel | | | (159,976) | | |
| Contracted Professional Services | | | (860) | | |
| Operating Supplies and Expenses | | | 8,712 | | |
| | 1,980,142 | (124,859) | (152,124) | 1,703,159 | 276,983 |
| Commercial Licensing, Racing & Athletics | 963,559 | (61,416) | | | |
| Personnel | | | (140,845) | | |
| Contracted Professional Services | | | (1,547) | | |
| Operating Supplies and Expenses | | | 17,748 | | |
| | 963,559 | (61,416) | (124,644) | 777,499 | 186,060 |
| Board of Design Professionals | 328,227 | (18,439) | | | |
| Personnel | | | 18,468 | | |
| Operating Supplies and Expenses | | | (10,884) | | |
| Capital | | | (1,800) | | |
| | 328,227 | (18,439) | 5,784 | 315,572 | 12,655 |
| Total | 10,118,066 | (624,225) | (186,596) | 9,307,245 | 810,821 |
| Labor and Training | | | | | |
| Central Management | 184,235 | (9,269) | | | |
| Personnel | | | 105,066 | | |
| Contracted Professional Services | | | 24,001 | | |
| Operating Supplies and Expenses | | | (15,005) | | |
| Assistance and Grants | | | (9) | | |
| Capital | | | (27) | | |
| | 184,235 | (9,269) | 114,026 | 288,992 | (104,757) |
| Workforce Development | 101,561 | (6,573) | | | |
| Personnel | | | 601 | | |
| | 101,561 | (6,573) | 601 | 95,589 | 5,972 |
| Workforce Regulation and Safety | 2,377,263 | (153,214) | | | |
| Agency Personnel | | | (37,349) | | |
| Contracted Professional Services | | | (14,936) | | |
| Operating Supplies and Expenses | | | (21,631) | | |
| Assistance and Grants | | | 71 | | |
| Capital | | | (13,946) | | |
| Tardy and Interest Transfer | | | | | |
| | 2,377,263 | (153,214) | (87,791) | 2,136,258 | 241,005 |
| Income Support | 3,388,454 | - | | | |
| Police and Fire Pension Benefits | | | 409,056 | | |
| Agency Personnel | | | 36,912 | | |
| Contracted Professional Services | | | 15 | | |
| Operating Supplies and Expenses | | | (85,405) | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|---------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Capital | | | 3 | | |
| Tardy and Interest Transfer | 3,388,454 | - | 360,581 | 3,749,035 | (360,581) |
| Labor Relations Board | 461,579 | (25,902) | | | |
| Personnel | | | (5,913) | | |
| Contracted Professional Services | | | 7,457 | | |
| Operating Supplies and Expenses | | | (7,568) | | |
| Assistance and Grants | | | (7) | | |
| Capital | | | (3,440) | | |
| | 461,579 | (25,902) | (9,471) | 426,206 | 35,373 |
| Total | 6,513,092 | (194,958) | 377,946 | 6,696,080 | (182,988) |
| Legislature | | | | | |
| General Assembly | 34,099,202 | (2,098,828) | | | |
| Personnel | | | 2,303,191 | | |
| Contracted Professional Services | | | 23,500 | | |
| Operating Supplies and Expenses | | | (22,700) | | |
| Capital Equipment | | | (12,750) | | |
| | | | 2,291,241 | | |
| Fiscal Advisory Staff | | | | | |
| Personnel | | | (97,096) | | |
| Increased medical Co-Share | | | (6,036) | | |
| Contracted Professional Services | | | (5,000) | | |
| Operating Supplies and Expenses | | | 2,500 | | |
| Capital Equipment | | | (1,200) | | |
| | | | (106,832) | | |
| Legislative | | | | | |
| Personnel | | | 33,353 | | |
| Increased Medical Co-Share | | | (26,069) | | |
| Personnel: Freeze on Filling Vacancies (2.2 FTEs) | | | (175,658) | | |
| Legislative Grant | 1,738,518 | | (1,738,518) | | |
| Contracted Professional Services | | | (5,000) | | |
| Operating Supplies and Expenses | | | (6,700) | | |
| Capital Equipment | | | (3,750) | | |
| | | | (1,922,342) | | |
| Joint Committee on Legislative Affairs | | | | | |
| Personnel | | | 291,334 | | |
| Increased Medical Co-Share | | | (75,411) | | |
| Personnel: Freeze on Filling Vacancies (6.0 FTEs) | | | (500,925) | | |
| Assistance and Grants | | | | | |
| Contracted Professional Services | | | (49,500) | | |
| Operating Supplies and Expenses | | | 50,700 | | |
| Capital Equipment | | | (68,000) | | |
| | | | (351,802) | | |
| Auditor General | | | | | |
| Personnel | | | 6,738 | | |
| Increased Medical Co-Share | | | (13,392) | | |
| Personnel: Freeze on Filling Vacancies (1.0 FTE) | | | (104,128) | | |
| Contracted Professional Services | | | (16,800) | | |
| Operating Supplies and Expenses | | | (31,290) | | |
| Capital Equipment | | | (36,750) | | |
| | | | (195,622) | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|----------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Special Legislative Commissions | | | | | |
| Operating Supplies and Expenses | | | (2,200) | | |
| | | | (2,200) | | |
| Total | 35,837,720 | (2,098,828) | (287,557) | 33,451,335 | 2,386,385 |
| Office of the Lieutenant Governor | 901,418 | (50,690) | | | |
| Personnel | | | 24,117 | | |
| Contracted Professional Services | | | | | |
| Operating Supplies and Expenses/Capital | | | (14,074) | | |
| | | | 10,043 | | |
| Total | 901,418 | (50,690) | 10,043 | 860,771 | 40,647 |
| Secretary of State | | | | | |
| Administration | 1,879,212 | (109,108) | | | |
| Personnel | | | (17,356) | | |
| Contracted Professional Services | | | (19,000) | | |
| Operating Supplies and Expenses | | | 1,771 | | |
| Capital Purchases and Equipment | | | 4,284 | | |
| | 1,879,212 | (109,108) | (30,301) | 1,739,803 | 139,409 |
| Corporations | 1,840,798 | (111,183) | | | |
| Personnel | | | 37,472 | | |
| Contracted Professional Services | | | 5,900 | | |
| Operating Supplies and Expenses | | | 17,682 | | |
| Capital Purchases and Equipment | | | 750 | | |
| | 1,840,798 | (111,183) | 61,804 | 1,791,419 | 49,379 |
| State Archives | 55,000 | - | | | |
| Personnel | | | 9,361 | | |
| Contracted Professional Services | | | 9,263 | | |
| Operating Supplies and Expenses | | | 53,686 | | |
| Capital Purchases and Equipment | | | 8,452 | | |
| | 55,000 | - | 80,762 | 135,762 | (80,762) |
| Elections | 1,676,069 | (30,736) | | | |
| Personnel | | | (9,532) | | |
| Contracted Professional Services | | | 185,876 | | |
| Operating Supplies and Expenses/Transfer from HAVA | | | 42,381 | | |
| Referenda Account | | | (101,087) | | |
| Assistance and Grants | | | 90,000 | | |
| Capital Purchases and Equipment | | | 1,970 | | |
| | 1,676,069 | (30,736) | 209,608 | 1,854,941 | (178,872) |
| State Library | 552,708 | (25,596) | | | |
| Personnel | | | 35,688 | | |
| Operating Supplies and Expenses | | | 3,826 | | |
| Capital Purchases and Equipment | | | 420 | | |
| | 552,708 | (25,596) | 39,934 | 567,046 | (14,338) |
| Office of Public Information | 303,357 | (17,460) | | | |
| Personnel | | | 29,504 | | |
| Contracted Professional Services | | | (24,800) | | |
| Operating Supplies and Expenses | | | 28,123 | | |
| Capital Purchases and Equipment | | | (168) | | |
| | 303,357 | (17,460) | 32,659 | 318,556 | (15,199) |
| Total | 6,307,144 | (294,083) | 394,466 | 6,407,527 | (100,383) |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-----------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Office of the General Treasurer | | | | | |
| Treasury | 2,477,685 | (126,333) | | | |
| Personnel | | | 20,430 | | |
| Corrective Action Plan-Turnover Business Processing | | | (4,584) | | |
| Operating Supplies and Expenses | | | (23,879) | | |
| Capital Purchases and Equipment | | | (1,278) | | |
| Uncompensated Leave Day | | | (6,338) | | |
| | 2,477,685 | (126,333) | (15,649) | 2,335,703 | 141,982 |
| RI Refunding Bond Authority | 38,075 | (1,472) | | | |
| Personnel | | | 4,089 | | |
| Operating Supplies and Expenses | | | 500 | | |
| Uncompensated Leave Day | | | | | |
| | 38,075 | (1,472) | 4,589 | 41,192 | (3,117) |
| Crime Victim Compensation Program | 48,007 | (493) | | | |
| Personnel | | | 44,944 | | |
| Operating Supplies and Expenses | | | (3,419) | | |
| Uncompensated Leave Day | | | | | |
| | 48,007 | (493) | 41,525 | 89,039 | (41,032) |
| Total | 2,563,767 | (128,298) | 30,465 | 2,465,934 | 97,833 |
| Board of Elections | | | | | |
| Board Of Elections | 1,512,874 | (81,411) | | | |
| Personnel | | | (67,309) | | |
| Contracted Professional Services | | | 128,231 | | |
| Operating/Election/Transfer from HAVA | | | 60,005 | | |
| Assistance and Grants | | | 300 | | |
| | 1,512,874 | (81,411) | 121,227 | 1,552,690 | (39,816) |
| RI Ethics Commissions | | | | | |
| RI Ethics Commission | 1,405,309 | (71,349) | | | |
| Personnel | | | 72,320 | | |
| Contracted Professional Services | | | 6,000 | | |
| Operating Supplies and Expenses | | | (2,830) | | |
| Capital Equipment | | | | | |
| | 1,405,309 | (71,349) | 75,490 | 1,409,450 | (4,141) |
| Office of the Governor | | | | | |
| Office of the Governor | 5,158,611 | (259,932) | | | |
| Personnel | | | 570,701 | | |
| Contracted Professional Services | | | (24,000) | | |
| Operating | | | (26,024) | | |
| Capital Purchases and Equipment | | | 4,000 | | |
| | 5,158,611 | (259,932) | 524,677 | 5,423,356 | (264,745) |
| Commission for Human Rights | | | | | |
| Commission for Human Rights | 991,659 | (65,520) | | | |
| Personnel | | | 20,605 | | |
| Contracts (Steno Services) Shift to Federal | | | (4,000) | | |
| Operating Supplies and Expenses | | | (10,326) | | |
| Uncompensated Leave Day | | | - | | |
| | 991,659 | (65,520) | 6,279 | 932,418 | 59,241 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|--------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Rhode Island Commission on Women | | | | | |
| Rhode Island Commission on Women | 107,208 | (5,360) | | | |
| Personnel | | | 7,937 | | |
| Operating Supplies and Expenses | | | (1,408) | | |
| Total | 107,208 | (5,360) | 6,529 | 108,377 | (1,169) |
| Department of Revenue | | | | | |
| Director of Revenue | 632,972 | (39,988) | | | |
| Personnel | | | 37,982 | | |
| Contracted Professional Services | | | 1,500 | | |
| Operating Supplies and Expenses | | | (10,516) | | |
| | 632,972 | (39,988) | 28,966 | 621,950 | 11,022 |
| Office of Revenue Analysis | 719,927 | (42,117) | | | |
| Personnel | | | (183,893) | | |
| Operating Supplies and Expenses | | | (42,950) | | |
| Capital | | | 47,100 | | |
| | 719,927 | (42,117) | (179,743) | 498,067 | 221,860 |
| Office of Municipal Finance | 852,759 | (44,701) | | | |
| Personnel | | | (36,273) | | |
| Operating Supplies and Expenses | | | (3,752) | | |
| Assistance and Grants | | | 5,196 | | |
| | 852,759 | (44,701) | (34,829) | 773,229 | 79,530 |
| Taxation | 17,347,998 | (1,008,014) | | | |
| Personnel | | | (1,083,372) | | |
| Contracted Professional Services | | | (16,600) | | |
| Operating Supplies and Expenses | | | (39,769) | | |
| Capital | | | 1,020 | | |
| | 17,347,998 | (1,008,014) | (1,138,721) | 15,201,263 | 2,146,735 |
| Registry | 18,296,260 | (917,574) | | | |
| Personnel | | | (1,062,129) | | |
| Contracted Professional Services | | | 116,663 | | |
| Operating Supplies and Expenses | | | 1,954 | | |
| Operating Transfers | | | (11,100) | | |
| | 18,296,260 | (917,574) | (954,612) | 16,424,074 | 1,872,186 |
| Total | 37,849,916 | (2,052,394) | (2,278,939) | 33,518,583 | 4,331,333 |
| Sub-Total General Government | 570,927,056 | 39,945,832 | (35,606,157) | 575,266,731 | (4,339,675) |
| <u>Human Services</u> | | | | | |
| Office of Health and Human Services | | | | | |
| Office of Health and Human Services | 5,223,297 | (353,760) | | | |
| Personnel | | | (1,183,366) | | |
| Contracted Professional Services | | | (3,000) | | |
| Operating | | | 33,405 | | |
| Legal Services | | | 130,000 | | |
| Computer Equipment | | | (10,000) | | |
| Federal Medicaid Stimulus | | | | | |
| Total | 5,223,297 | (353,760) | (1,032,961) | 3,836,576 | 1,386,721 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Children, Youth, and Families | | | | | |
| Central Management | 5,162,842 | (190,059) | | | |
| Personnel | | | 119,010 | | |
| Contracted Professional Services | | | 188,658 | | |
| Operating/Capital Purchases and Equipment | | | 19,275 | | |
| | 5,162,842 | (190,059) | 326,943 | 5,299,726 | (136,884) |
| Children's Behavioral Health | 16,087,176 | (107,078) | | | |
| Personnel | | | (368,244) | | |
| Contracted Professional Services | | | (53,407) | | |
| Operating | | | (27,519) | | |
| Grants and Benefits | | | (2,585,269) | | |
| Project Hope/Project Reach | | | (27,419) | | |
| CNOM Reduction- Residential Diversion | | | (788,721) | | |
| Federal Medicaid Stimulus | | | (570,200) | | |
| | 16,087,176 | (107,078) | (4,420,779) | 11,559,319 | 4,527,857 |
| Juvenile Corrections | 31,406,268 | (1,570,809) | | | |
| Personnel | | | 791,000 | | |
| Overtime | | | 2,077,276 | | |
| Contracted Professional Services | | | 30,394 | | |
| Operating | | | 176,794 | | |
| Food | | | 256,967 | | |
| Grants and Benefits | | | (289,987) | | |
| DHS Transfers | | | 1,599,889 | | |
| Capital Purchases and Equipment | | | 25,717 | | |
| Federal Medicaid Stimulus | | | (237,878) | | |
| | 31,406,268 | (1,570,809) | 4,430,172 | 34,265,631 | (2,859,363) |
| Child Welfare | 78,277,434 | (1,819,586) | | | |
| 18 to 21 Year Olds | 6,000,000 | | 2,275,196 | | |
| Personnel | | | (76,810) | | |
| Overtime | | | (685,411) | | |
| Contracted Professional Services | | | 328,613 | | |
| Operating | | | 160,940 | | |
| Grants and Benefits | | | (59,228) | | |
| DHS Transfers | | | 16,186,361 | | |
| Time Study- Medicaid Billing Methodology Change | | | 18,719,012 | | |
| Capital Purchases and Equipment | | | 61,776 | | |
| Corrective Action Plan- Personnel Reductions | | | (518,605) | | |
| Corrective Action Plan- Conversion of 75 Group Homes | | | (1,157,127) | | |
| Corrective Action Plan- Conversion of 15 Shelters | | | (170,370) | | |
| Corrective Action Plan- Guardianship Implementation | | | (47,283) | | |
| CNOM Reduction- Residential Diversion | | | (1,262,272) | | |
| Federal Medicaid Stimulus | | | (5,486,453) | | |
| | 84,277,434 | (1,819,586) | 28,268,339 | 110,726,187 | (26,448,753) |
| Higher Education Opportunity Incentive Grant | 200,000 | | | | |
| | 200,000 | - | - | 200,000 | - |
| Total | 137,133,720 | (3,687,532) | 28,604,675 | 162,050,863 | (24,917,143) |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Elderly Affairs | | | | | |
| Elderly Affairs | 14,639,532 | (135,766) | | 14,503,766 | |
| Personnel | | | (247,839) | | |
| Operating Supplies and Expenses | | | (5,589) | | |
| Perry/Sullivan Act Provider Rate Increase - Co-pay/Day Care | | | 157,554 | | |
| Perry/Sullivan Act Provider Rate Increase - Core Medicaid Waiver | | | 249,219 | | |
| Perry/Sullivan Act Provider Rate Increase - Co-pay/Home Care | | | 205,631 | | |
| Senior Companion Program Match - COLA Adjustment | | | 19,366 | | |
| Budget Deficit Resolution - Co-pay Day Care Client Rate Increase | | | (55,845) | | |
| FY 2009 Federal Stimulus - MEDICAID | | | (179,567) | | |
| RIGCCC Demonstration Waiver -CNOM Savings [RIDE] | | | (309,831) | | |
| RIGCCC Demonstration Waiver -CNOM Savings [Co-pay Day Care] | | | (441,031) | | |
| RIGCCC Demonstration Waiver -CNOM Savings [Co-pay Home Care] | | | (594,776) | | |
| East Providence Senior Center Omission in 2009 Enacted Base | | | 4,187 | | |
| Federal Medicaid Stimulus (ARRA of 2009) | | | (633,455) | | |
| RIPAE | 1,431,654 | | - | | |
| Offset to Available Rebate Resources | | | (200,000) | | |
| Safety & Care of the Elderly | 600 | | - | | |
| Total | 16,071,786 | (135,766) | (2,031,976) | 13,904,044 | 2,167,742 |
| Health | | | | | |
| Central Management | 2,682,917 | (16,312) | | | |
| Personnel | | | 415,685 | | |
| Contract Professional Services - HIE Reallocation | | | (132,500) | | |
| Contract Professional Services - Others | | | (39,249) | | |
| Other Operating Supplies and Expense | | | 204 | | |
| Budget Deficit Resolution Savings | | | (267,000) | | |
| CNOM Savings - CHCs Medicaid Match | | | (300,000) | | |
| | 2,682,917 | (16,312) | (322,860) | 2,343,745 | 339,172 |
| State Medical Examiner | 2,360,089 | (111,125) | | | |
| Personnel | | | 204,948 | | |
| Contract Professional Services - Medicolegal Services | | | 315,236 | | |
| Contract Professional Services - Others | | | 21,255 | | |
| Other Operating Supplies and Expense | | | 6,253 | | |
| Capital - Motor Vehicle Lease Payments | | | 8,566 | | |
| Budget Deficit Resolution Savings - Reduce MV Outlay | | | (22,000) | | |
| | 2,360,089 | (111,125) | 534,258 | 2,783,222 | (423,133) |
| Environmental and Health Services Reg. | 9,509,529 | (560,148) | | | |
| Personnel | | | 349,694 | | |
| Contract Professional Services - LCD Professionals | | | (99,219) | | |
| Contract Professional Services - Others | | | (76,845) | | |
| Operating Supplies and Expense | | | 23,403 | | |
| Grants - Grantee Services (Exams/Testing) | | | 64,660 | | |
| Capital Purchases and Equipment | | | (2,277) | | |
| | 9,509,529 | (560,148) | 259,416 | 9,208,797 | 300,732 |
| Health Laboratories | 7,317,549 | (368,991) | | | |
| Personnel | | | (339,789) | | |
| Contract Professional Services | | | 111,148 | | |
| Operating Supplies and Expense | | | (183,298) | | |
| Capital Purchases and Equipment | | | (7,850) | | |
| | 7,317,549 | (368,991) | (419,789) | 6,528,769 | 788,780 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|--------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Public Health Information | 1,882,500 | (99,849) | | | |
| Personnel | | | 193,832 | | |
| Budget Deficit Resolution - Contract Professional Services | | | (52,470) | | |
| Operating Supplies and Expenses | | | (250) | | |
| | 1,882,500 | (99,849) | 141,112 | 1,923,763 | (41,263) |
| Community & Family Health & Equity | 6,151,991 | (122,366) | | | |
| Personnel | | | 145,478 | | |
| Contract Professional Services | | | 43,591 | | |
| Other Operating Supplies and Expense | | | 79,854 | | |
| FY 2009 Federal Stimulus - MEDICAID | | | (93,743) | | |
| Grantee Services - Tobacco Control | | | (250,147) | | |
| Grantee Services - Worksite Wellness Base Funding Adjustment | | | 50,000 | | |
| Grantee Services - Other Increases | | | 58,802 | | |
| | 6,151,991 | (122,366) | 33,835 | 6,063,460 | 88,531 |
| Infectious Disease and Epidemiology | 2,377,099 | (79,700) | | | |
| Personnel | | | (134,659) | | |
| Operating Supplies and Expenses | | | (30,520) | | |
| Grantee Services - STDs Reduction | | | (30,000) | | |
| Budget Deficit Resolution - NDSS Software | | | (200,000) | | |
| | 2,377,099 | (79,700) | (395,179) | 1,902,220 | 474,879 |
| Total | 32,281,674 | (1,358,491) | (169,207) | 30,753,976 | 1,527,698 |
| Human Services | | | | | |
| Central Management | 5,526,859 | | | | |
| Housing Assistance Program- Redirect to RIHMFC | | | (1,293,518) | | |
| Personnel | | | 314,706 | | |
| Contract Services | | | (5,000) | | |
| Operating | | | (5,400) | | |
| | 5,526,859 | - | (989,212) | 4,537,647 | 989,212 |
| Child Support Enforcement | 2,741,244 | (131,342) | | | |
| Contract Services | | | 57,124 | | |
| Personnel | | | (114,143) | | |
| Operating | | | (173,628) | | |
| | 2,741,244 | (131,342) | (230,647) | 2,379,255 | 361,989 |
| Individual and Family Support | 23,024,743 | (1,038,048) | | | |
| Personnel | | | (1,707,058) | | |
| Contract Services | | | 27,409 | | |
| EBT Transaction Costs | | | 58,800 | | |
| ORS- Operating | | | 117,441 | | |
| Other Operating | | | 28,033 | | |
| Non-Medicaid Homemaker Services | | | 202,602 | | |
| Other Grants/Benefits | | | (107,453) | | |
| Capital Purchases-Computer Equip. & Furniture | | | (35,550) | | |
| CNOM- Social Services for the Blind | | | (69,195) | | |
| CNOM- Adaptive Technology | | | (15,977) | | |
| CNOM- Home Modification | | | (26,285) | | |
| CNOM- Personal Care Attendant | | | (46,670) | | |
| | 23,024,743 | (1,038,048) | (1,573,903) | 20,412,792 | 2,611,951 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|----------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Veterans' Affairs | 17,692,025 | (833,240) | | | |
| Personnel | | | 772,750 | | |
| Reverse Dietary Contract | | | (1,539,000) | | |
| Reverse Food Supply Savings | | | 780,000 | | |
| Medical Services- Nursing | | | 176,040 | | |
| Other Contract Services | | | 143,150 | | |
| Other Operating | | | 27,770 | | |
| | 17,692,025 | (833,240) | 360,710 | 17,219,495 | 472,530 |
| Health Care Quality, Financing and Purchasing | 20,993,847 | (655,652) | | | |
| Personnel | | | (307,796) | | |
| Contract Services | | | (195,394) | | |
| Operating/Equipment | | | (78,174) | | |
| | 20,993,847 | (655,652) | (581,364) | 19,756,831 | 1,237,016 |
| Medical Benefits | | | | | |
| Managed Care | 237,398,676 | | | | |
| Hospitals | 141,964,859 | | | | |
| Other | 51,699,999 | | | | |
| Nursing Facilities | 131,223,489 | | | | |
| Home & Community Based Services | 24,088,135 | | | | |
| Pharmacy | 62,950,000 | | | | |
| Caseload Conference- Change From Enacted | | | 36,974,842 | | |
| Reversal of DCYF Residential Managed Care (Transfer-out) | | | (17,786,250) | | |
| FY 2009 Corrective Action Plan | | | (8,793,108) | | |
| CNOM- Early Intervention | | | (800,000) | | |
| Federal Stimulus | | | (95,045,160) | | |
| | 649,325,158 | - | (85,449,676) | 563,875,482 | 85,449,676 |
| S.S.I. Program | 25,906,519 | - | | | |
| Caseload Conference- Change From Enacted | | | (811,771) | | |
| | 25,906,519 | - | (811,771) | 25,094,748 | 811,771 |
| Family Independence Program | | - | | | |
| Child Care | 7,100,000 | | | | |
| TANF/Family Independence Program | 11,178,290 | | | | |
| FIP/RI Works: Caseload Conference- Change From Enacted | | | (352,400) | | |
| Interprogram/Federal Shift- FIP/RI Works | | | (2,820,521) | | |
| Child Care: Caseload Conference- Change From Enacted | | | 557,500 | | |
| Interprogram/Federal Shift- Child Care | | | 2,615,421 | | |
| | 18,278,290 | - | - | 18,278,290 | - |
| State Funded Programs | 3,735,450 | - | | | |
| GPA: Caseload Conference- Change From Enacted | | | (282,360) | | |
| GPA- Cash Assistance Hardship Program | | | (185,410) | | |
| CNOM- GPA Medical | | | (500,000) | | |
| | 3,735,450 | - | (967,770) | 2,767,680 | 967,770 |
| Total | 767,224,135 | (2,658,282) | (90,243,633) | 674,322,220 | 92,901,915 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|----------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Mental Health, Retardation, & Hospitals | | | | | |
| Central Management | 2,048,521 | (95,631) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (322,280) | | |
| Payroll - OHHS Centralizations-6 mo Delayed Staff Transfer | | | 40,196 | | |
| Payroll - Transfer Additional 3.0 FTE to OHHS eff 1/1/09 | | | (253,600) | | |
| Contracted Professional Services | | | (26,855) | | |
| Operating Supplies and Expenses | | | (90,854) | | |
| Capital Purchases and Equipment | | | 14,278 | | |
| Assistance and Grants - Restructure Medical Svcs/Delivery System | | | (287,513) | | |
| | 2,048,521 | (95,631) | (926,628) | 1,026,262 | 1,022,259 |
| Hosp. & Community System Support | 3,218,806 | (180,446) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (332,520) | | |
| OHHS Centralizations-6 mo Delayed Staff Transfer | | | 47,935 | | |
| Contracted Professional Services | | | (18,111) | | |
| Operating Supplies and Expenses | | | (69,029) | | |
| | | | 222 | | |
| Total | 3,218,806 | (180,446) | (371,503) | 2,666,857 | 551,949 |
| Services. for the Developmentally Disabled | 106,666,111 | (1,185,986) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (623,394) | | |
| Payroll - OHHS Centralizations-6 mo Delayed Staff Transfer | | | 33,741 | | |
| Payroll/Operating - RICLAS Delayed Closing of 3 Group Homes | | | 257,139 | | |
| Contracted Services | | | 21,056 | | |
| Grants - Restore RICLAS Dental Services for DD Clients | | | 259,244 | | |
| Grants - Restore RICLAS Medical Oversight Funding for Clients | | | 41,572 | | |
| Private - Unachieved Savings Buy/RIte Restructure Delivery System | | | 4,905,500 | | |
| Private - Unachieved Savings Supported Employment | | | 220,163 | | |
| Private - Inc Supported/Shared Living Placements | | | (477,432) | | |
| Private - 4.7% Rate Reduction Effective July 1, 2008 | | | (3,800,000) | | |
| Private-Other Miscellaneous Savings | | | (170,212) | | |
| Capital Purchases and Equipment | | | (12,322) | | |
| Private - Medicaid Waiver Reform-DD Vouchers/Inc Shared Living | | | 10,500,000 | | |
| Grants - Provider Payments | | | 1,394,333 | | |
| Private-Grants-"Perry Sullivan" State Rate Mandate | | | 145,000 | | |
| CAP Private-Require Doctors to Bill Medicare Directly | | | (31,910) | | |
| CNOM Saving - Client Job Training Placement | | | (21,036) | | |
| CNOM Saving - Less Than 24 Hour Supports | | | (78,671) | | |
| CNOM Savings- Day Habilitation | | | (517,486) | | |
| Group Home Provider Tax Sunset RICLAS/Private (2 mo savings) | | | (839,354) | | |
| Federal Stimulus - Medicaid Savings | | | (20,960,736) | | |
| | 106,666,111 | (1,185,986) | (9,754,805) | 95,725,320 | 10,940,791 |
| Integrated Mental Health Services | 40,125,116 | (77,589) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (182,082) | | |
| OHHS Centralizations-6 mo Delayed Staff Transfer | | | 22,501 | | |
| Contracted Temporary Services | | | (18,178) | | |
| Contracted IT Services - Automate CMAP Billing | | | 200,000 | | |
| Operating-Unachieved Savings from Automated CMAP Billing | | | 182,250 | | |
| CMAP Pharmaceuticals (Base Adjustment) | | | 400,000 | | |
| Operating Supplies and Expenses | | | 1,745 | | |
| Grants- Reduction in Utilization | | | (1,101,200) | | |
| Medicaid Waiver Reform-Shift MH Patients to Less Restrictive Setting | | | 2,000,000 | | |
| Grants-Restore Rehab Funding | | | 800,000 | | |
| CAP Private-Supportive Housing Medicaid Billing | | | (145,000) | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| CAP-Reduce Various MH/SA Contracts | | | (50,000) | | |
| CAP-MH Pharm. Provider Buyback of Excess Medication | | | (1,003,275) | | |
| CNOM-Community Mental Health | | | (749,670) | | |
| CNOM-CMAP Program | | | (798,050) | | |
| CNOM-Inpatient Non-hospital Care | | | (735,308) | | |
| Federal Stimulus | | | (5,817,829) | | |
| | 40,125,116 | (77,589) | (6,994,096) | 33,053,431 | 7,071,685 |
| Hosp. & Community Rehab. Services | 52,426,023 | (2,333,396) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (1,501,089) | | |
| Payroll - OHHS Centralization 6 mo Delayed 2.0 FTE Staff Transfer | | | 71,852 | | |
| Contract Svcs- Shift Medical Services to Grants/Assist Category | | | (1,687,188) | | |
| Grants/Assist - Shift Medical Svcs from Contract Services | | | 1,595,620 | | |
| Grants/Assist - Increase Medical Svcs | | | 1,200,462 | | |
| Underfunded Central Laundry Costs | | | 400,444 | | |
| Zambarano Contract Security | | | 55,000 | | |
| Shift TV from Analog to Digital ESH | | | 38,000 | | |
| Other Various Operating | | | (1,524,067) | | |
| Unachieved Svngs-Discharge 6 APS Patients/restructure | | | 1,415,443 | | |
| Food/CDC Markup Unachieved Savings | | | 153,451 | | |
| Contractual Food Preparation Services Priv. | | | (966,662) | | |
| Unbudgeted Food Costs Related to Privatization | | | 590,541 | | |
| Payroll - Failed Food Service Privatization | | | 1,947,631 | | |
| Contractual Housekeeping Services Priv. | | | (1,089,598) | | |
| Payroll - Failed Housekeeping Privatization | | | 1,350,073 | | |
| Restore Day Programming for Zambarano Group Homes | | | 67,000 | | |
| Capital Purchases and Equipment | | | 18,910 | | |
| CAP-Bill Medicare for Previously Unpaid Cross Over Claims | | | (1,200,000) | | |
| CAP-Operating-Annual Medicaid Settlement Reconciliation | | | (300,000) | | |
| CAP-Contract Services Reductions/Contract Terminations | | | (348,500) | | |
| CAP-Expanded Medicaid Eligibility to Criminal Justice Population | | | (1,260,000) | | |
| ESH-Zam Group Home Provider Tax Sunset (2 mo savings) | | | (17,109) | | |
| Federal Stimulus | | | (7,933,157) | | |
| | 52,426,023 | (2,333,396) | (8,922,943) | 41,169,684 | 11,256,339 |
| Substance Abuse | 14,877,287 | (120,696) | | | |
| Payroll - Turnover Savings/Medical Co-pay Savings | | | (285,448) | | |
| OHHS Centralizations-6 mo Delayed Staff Transfer | | | 73,812 | | |
| Operating Supplies and Expenses | | | (1,272) | | |
| Assistance and Grants-Provider Payments | | | 230,031 | | |
| CAP-Modify Payment Structure to Partial Fee for Service Based | | | (100,000) | | |
| CAP-Reduce Various MH/SA Contracts | | | (617,500) | | |
| CAP-Contract Compliance for Length of Stay | | | (150,000) | | |
| CAP-Increase Methadone Co-pay by \$3 per week | | | (70,000) | | |
| CNOM-Community Programs | | | (442,729) | | |
| Federal Stimulus | | | (382,212) | | |
| | 14,877,287 | (120,696) | (1,745,318) | 13,011,273 | 1,866,014 |
| Total | 219,361,864 | (3,993,744) | (28,715,293) | 186,652,827 | 32,709,037 |
| Office of the Child Advocate | 519,657 | (33,819) | | | |
| Payroll | | | 29,126 | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-----------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Capital Purchases and Equipment | | | 1,000 | | |
| Overtime | | | 3,768 | | |
| Contracted Professional Services | | | (1,000) | | |
| Operating Supplies and Expenses | | | (4,290) | | |
| Total | 519,657 | (33,819) | 28,604 | 514,442 | 5,215 |
| Commission on Deaf and Hard of Hearing | 368,807 | (20,230) | | | |
| Personnel | | | 30,098 | | |
| Operating Supplies and Expenses | | | (2,375) | | |
| Contracted Professional Services | | | (5,000) | | |
| Total | 368,807 | (20,230) | 22,723 | 371,300 | (2,493) |
| Governor's Commission on Disabilities | 413,651 | (23,879) | | | |
| Personnel | | | (2,752) | | |
| Contracted Professional Services | | | (1,813) | | |
| Operating Supplies and Expenses | | | 2,655 | | |
| Total | 413,651 | (23,879) | (1,910) | 387,862 | 25,789 |
| Office of the Mental Health Advocate | 431,171 | (27,557) | | | |
| Personnel | | | 36,969 | | |
| Operating Supplies and Expenses | | | (100) | | |
| Total | 431,171 | (27,557) | 36,869 | 440,483 | (9,312) |
| Sub-Total Human Services | 1,179,029,762 | (12,293,060) | (93,502,109) | 1,073,234,593 | 105,795,169 |

Education

Elementary and Secondary Education

| | | | | | |
|------------------------------------------------|--------------------|-----------------|---------------------|--------------------|-------------------|
| State Education Aid | 680,333,012 | (21,808) | | | |
| Personnel | | | (360,107) | | |
| Operations | | | 172 | | |
| Contracted Professional Services | | | (421,324) | | |
| Local Aid-General Education Aid | | | (16,285,562) | | |
| Local Aid-General Education Aid-Pension Reform | | | (23,714,996) | | |
| Local Aid-shift to General Revenue Sharing | | | (31,000,000) | | |
| Local Aid-Group Home | | | 345,000 | | |
| Local Aid-Textbook Expansion | | | 110,000 | | |
| Local Aid-Charter School | | | (739,585) | | |
| Total | 680,333,012 | (21,808) | (72,066,402) | 608,244,802 | 72,088,210 |
| School Housing Aid | 56,996,248 | - | | | |
| Current Requirements | | | (2,856,196) | | |
| Total | 56,996,248 | - | (2,856,196) | 54,140,052 | 2,856,196 |
| Teachers' Retirement | 96,999,600 | - | | | |
| Adjustment for one-time FY08 payments | | | (2,213,778) | | |
| Revision of current year estimate | | | (71,607) | | |
| Retirement contribution reduction | | | (18,379,814) | | |
| Total | 96,999,600 | - | (20,665,199) | 76,334,401 | 20,665,199 |
| RI School for the Deaf | 6,624,798 | (385,189) | | | |
| Personnel | | | 490,794 | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Out-of-state transportation | | | (1,500) | | |
| Reversal of Janitor Privatization Initiative | | | (104,600) | | |
| MMIS: Taxable | | | (15,641) | | |
| | 6,624,798 | (385,189) | 369,053 | 6,608,662 | 16,136 |
| Central Falls School District | 43,795,411 | - | | | |
| Pension Reform | | | (589,132) | | |
| Permanent School Fund offset | | | (274,144) | | |
| Shift to General Revenue Sharing | | | (1,970,074) | | |
| | 43,795,411 | - | (2,833,350) | 40,962,061 | 2,833,350 |
| Davies Career & Technical School | 14,537,841 | (796,205) | | | |
| Personnel | | | 1,009,164 | | |
| Out-of-state transportation | | | (1,250) | | |
| Reversal of Janitor Privatization Initiative | | | (246,846) | | |
| Operating Supplies and Expenses | | | (26,369) | | |
| Capital | | | (3,000) | | |
| | 14,537,841 | (796,205) | 731,699 | 14,473,335 | 64,506 |
| Met. Career & Tech. School | 11,565,603 | - | | | |
| | 11,565,603 | - | - | 11,565,603 | - |
| Administration of the Comp. Education Strategy | 20,365,958 | (592,270) | | | |
| Personnel | | - | 466,795 | | |
| Contracted Professional Services | | | 361,844 | | |
| Grants | | | 1,000 | | |
| Capital | | | 20,000 | | |
| Local Aid | | | (447,425) | | |
| Out-of-state transportation | | | (23,795) | | |
| Operating Supplies and Expenses | | | (2,317) | | |
| | 20,365,958 | (592,270) | 376,102 | 20,149,790 | 216,168 |
| Total | 931,218,471 | (1,795,472) | (96,944,293) | 832,478,706 | 98,739,765 |
| Higher Education | | | | | |
| Board of Governors/Office of Higher Education | 6,865,787 | (112,763) | | | |
| Board Of Governor's Deficit resolution plan | | | | | |
| Personnel | | | 22,768 | | |
| Contracted Professional Services | | | 164,941 | | |
| Operating Supplies and Expenses | | | (30,773) | | |
| Grants | | | (153,182) | | |
| Capital | | | (8,500) | | |
| | 6,865,787 | (112,763) | (4,746) | 6,748,278 | 117,509 |
| University of Rhode Island | 65,370,365 | (2,001,465) | | | |
| Personnel | | | 3,719,934 | | |
| Contracted Professional Services | | | (197,898) | | |
| Operating Supplies and Expenses | | | 983,184 | | |
| Grants | | | (912,801) | | |
| Capital | | | (1,135,988) | | |
| Operating Transfers | | | (2,935,511) | | |
| Debt Service | 12,740,210 | - | (150,130) | | |
| | 78,110,575 | (2,001,465) | (629,210) | 75,479,900 | 2,630,675 |
| Rhode Island College | 42,416,817 | (1,846,165) | | | |
| Personnel | | | 1,495,363 | | |
| Contracted Professional Services | | | (73,693) | | |
| Operating Supplies and Expenses | | | (704,428) | | |
| Grants | | | (128,916) | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Capital | | | (666,301) | | |
| Operating Transfers | | | (81,555) | | |
| RIRBA - Rhode Island | 293,886 | - | - | | |
| Debt Service | 2,985,082 | - | - | | |
| | 45,695,785 | (1,846,165) | (159,530) | 43,690,090 | 2,005,695 |
| Community College of Rhode Island | 47,679,712 | (2,150,980) | | | |
| Personnel | | | (642,942) | | |
| Contracted Professional Services | | | (180,051) | | |
| Operating Supplies and Expenses | | | 584,301 | | |
| Grants | | | (29,092) | | |
| Capital | | | 177,467 | | |
| Operating Transfers | | | - | | |
| Debt Service | 1,504,159 | - | - | | |
| | 49,183,871 | (2,150,980) | (90,317) | 46,942,574 | 2,241,297 |
| Total | 179,856,018 | (6,111,373) | (883,803) | 172,860,842 | 6,995,176 |
| RI Council On The Arts | 1,341,295 | (45,233) | | | |
| Personnel | | | (39,893) | | |
| Operating Supplies and Expenses | 753,552 | | (9,700) | | |
| Grants | | | (94,225) | | |
| Total | 2,094,847 | (45,233) | (143,818) | 1,905,796 | 189,051 |
| RI Atomic Energy Commission | 824,470 | (41,470) | | | |
| Personnel | | | 4,501 | | |
| Operating Supplies and Expenses | | | (654) | | |
| Total | 824,470 | (41,470) | 3,847 | 786,847 | 37,623 |
| RI Higher Education Assistance Authority | 6,382,700 | (30,710) | | | |
| Personnel | | | 14,117 | | |
| Operating Supplies and Expenses | 940,351 | | (13,474) | | |
| Capital | | | | | |
| Total | 7,323,051 | (30,710) | 643 | 7,292,984 | 30,067 |
| RI Historical Preservation & Heritage Commission | 1,348,825 | (73,372) | | | |
| Personnel | | | 21,155 | | |
| Operating Supplies and Expenses | | | 3,979 | | |
| Contracted Professional Services | | | 1,929 | | |
| Capital | | | (5,000) | | |
| Total | 1,348,825 | (73,372) | 22,063 | 1,297,516 | 51,309 |
| RI Public Telecommunications Authority | 1,365,306 | (76,386) | | | |
| Personnel | | | 40,589 | | |
| Operating Supplies and Expenses | | | (70,000) | | |
| Capital | | | (10,000) | | |
| Total | 1,365,306 | (76,386) | (39,411) | 1,249,509 | 115,797 |
| Sub-Total Education | 1,124,030,988 | (8,174,016) | (97,984,772) | 1,017,872,200 | 106,158,788 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|-------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| <u>Public Safety</u> | | | | | |
| Attorney General | | | | | |
| Criminal | 13,441,955 | (763,664) | | | |
| Personnel | | | 626,774 | | |
| Contracted Professional Services | | | 45,513 | | |
| Operating/Capital Purchases and Equipment | | | (98,407) | | |
| | 13,441,955 | (763,664) | 573,880 | 13,252,171 | 189,784 |
| Civil | 4,159,643 | (248,553) | | | |
| Personnel | | | 339,254 | | |
| Contracted Professional Services | | | (32,948) | | |
| Operating | | | 51,393 | | |
| | 4,159,643 | (248,553) | 357,699 | 4,268,789 | (109,146) |
| Bureau of Criminal Identification | 1,009,599 | (57,050) | | | |
| Personnel | | | 75,851 | | |
| Operating/Capital Purchases and Equipment | | | (52,261) | | |
| | 1,009,599 | (57,050) | 23,590 | 976,139 | 33,460 |
| General | 2,600,842 | (151,156) | | | |
| Personnel | | | 206,336 | | |
| Operating | | | (18,711) | | |
| | 2,600,842 | (151,156) | 187,625 | 2,637,311 | (36,469) |
| Total | 21,212,039 | (1,220,423) | 1,142,794 | 21,134,410 | 77,629 |
| Corrections | | | | | |
| Central Management | 9,757,572 | (452,808) | | | |
| Payroll | | | (109,597) | | |
| Medical Benefits | | | (241,463) | | |
| Retiree Health | | | 38,415 | | |
| Overtime/Holiday | | | 38,657 | | |
| Uncompensated Leave Day | | | (16,275) | | |
| Training Class Elimination-Payroll | | | (540,611) | | |
| Clerical Services | | | 36,675 | | |
| Other Professional Services | | | 10,680 | | |
| Military Supplies | | | 71,448 | | |
| Training Class Elimination-Operating | | | (66,770) | | |
| | 9,757,572 | (452,808) | (778,841) | 8,525,923 | 1,231,649 |
| Parole Board | 1,272,304 | (75,846) | | | |
| Payroll | | | 57,619 | | |
| Medical Benefits | | | (16,377) | | |
| Retiree Health | | | 14,240 | | |
| Overtime/Holiday | | | 30,144 | | |
| Uncompensated Leave Day | | | (1,859) | | |
| Clerical Services | | | 23,151 | | |
| Other Operating/Grants/Capital | | | | | |
| | 1,272,304 | (75,846) | 106,918 | 1,303,376 | (31,072) |
| Institutional Corrections | 151,309,377 | (7,690,772) | | | |
| Payroll | | | (1,082,484) | | |
| FY 09 Share of RIBCO Retro for FY04-FY06 | | | 1,906,900 | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|--------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| FY 09 Base Wage Adjustment for RIBCO Retro | | | 2,197,985 | | |
| Unachieved RIBCO Contract Savings | | | 3,632,226 | | |
| Unachieved Module Closures | | | 2,238,448 | | |
| Unachieved Privatizations | | | 451,484 | | |
| Medical Benefits | | | (852,795) | | |
| Stipends/Retiree Health | | | 1,069,002 | | |
| Uncompensated Leave Day | | | (30,798) | | |
| Corrective Action Plan-K-9 Unit Overtime | | | (78,416) | | |
| Worker's Compensation | | | (26,134) | | |
| Federal Fund State Match Changes | | | (36,782) | | |
| SCAAP Adjustment | | | (29,754) | | |
| Contracted Professional Services - Medical | | | (171,706) | | |
| Clerical Services | | | 112,046 | | |
| Medical Records Implementation | | | 205,000 | | |
| Contracted Professional Services - Other | | | 22,947 | | |
| Per Capita Inmate Expenses-Pharmaceuticals | | | 532,175 | | |
| Per Capita Inmate Expenses-Other | | | 337,525 | | |
| Building Maintenance | | | 658,544 | | |
| Vehicle/Equipment Leasing | | | (133,091) | | |
| Other Operating | | | 24,816 | | |
| | 151,309,377 | (7,690,772) | 10,947,138 | 154,565,743 | (3,256,366) |
| Community Corrections | 16,284,251 | (1,018,445) | | | |
| Payroll | | | (546,743) | | |
| Medical Benefits | | | (379,879) | | |
| Retiree Health | | | 62,640 | | |
| Overtime/Holiday | | | (114,907) | | |
| Uncompensated Leave Day | | | (2,156) | | |
| Contracted Professional Services - Other | | | 1,406 | | |
| Other Operating | | | 852 | | |
| | 16,284,251 | (1,018,445) | (978,787) | 14,287,019 | 1,997,232 |
| Total | 178,623,504 | (9,237,871) | 9,296,428 | 178,682,061 | (58,557) |
| Judiciary | | | | | |
| Supreme Court | 28,875,335 | (974,034) | | | |
| Personnel | | | 471,777 | | |
| Overtime | | | 75,466 | | |
| Contracted Professional Services | | | 264,393 | | |
| Operating Expenses | | | 86,832 | | |
| Central Utilities Fund | | | (412,471) | | |
| Court Computer Technology Improvements | | | (943,563) | | |
| Capital Purchases | | | 203,000 | | |
| | 28,875,335 | (974,034) | (254,566) | 27,646,735 | 1,228,600 |
| Superior Court | 20,157,910 | (1,075,971) | | | |
| Personnel | | | 365,591 | | |
| Overtime | | | 30,000 | | |
| Contracted Professional Services | | | (36,500) | | |
| Operating Expenses | | | 94,429 | | |
| Judicial Pensions | | | 104,960 | | |
| | 20,157,910 | (1,075,971) | 558,480 | 19,640,419 | 517,491 |
| Family Court | 18,148,020 | (1,099,235) | | | |
| Personnel | | | 431,806 | | |
| Overtime | | | 15,000 | | |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|---------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| Contracted Professional Services | | | 54,966 | | |
| Operating Expenses | | | 53,665 | | |
| Judicial Pensions | | | 81,187 | | |
| | 18,148,020 | (1,099,235) | 636,624 | 17,685,409 | 462,611 |
| District Court | | | | | |
| Personnel | 10,264,212 | (561,213) | 130,874 | | |
| Overtime | | | 70,000 | | |
| Contracted Professional Services | | | 65,219 | | |
| Operating Expenses | | | 81,323 | | |
| Judicial Pensions | | | 75,133 | | |
| | 10,264,212 | (561,213) | 422,549 | 10,125,548 | 138,664 |
| Traffic Tribunal | | | | | |
| Personnel | 7,439,091 | (449,159) | 542,140 | | |
| Overtime | | | 50,000 | | |
| Contracted Professional Services | | | 1,000 | | |
| Operating Expenses | | | (7,605) | | |
| Judicial Pensions | | | (11,439) | | |
| Capital Purchases and Equipment | | | 6,000 | | |
| | 7,439,091 | (449,159) | 580,096 | 7,570,028 | (130,937) |
| Judicial Tenure and Discipline | | | | | |
| Personnel | 115,432 | (4,949) | 10,457 | | |
| Contracted Professional Services | | | 12,000 | | |
| Operating Expenses | | | (650) | | |
| Capital Purchases and Equipment | | | (3,198) | | |
| | 115,432 | (4,949) | 18,609 | 129,092 | (13,660) |
| Total | 85,000,000 | (4,164,561) | 1,961,792 | 82,797,231 | 2,202,769 |
| Military Staff | | | | | |
| National Guard | | | | | |
| Personnel | 1,681,849 | (48,299) | 27,662 | | |
| Funeral Honors | | | 149,426 | | |
| Buildings and Grounds Services | | | (15,460) | | |
| Operating-Vehicle Related | | | (4,559) | | |
| Active Guard Member Insurance | | | (200) | | |
| Grants | | | (200) | | |
| | 1,681,849 | (48,299) | 156,869 | 1,790,419 | (108,570) |
| Emergency Management | | | | | |
| Personnel | 2,058,099 | (73,790) | (18,830) | | |
| R.I. Interoperable Communications-Personnel | | | (200,563) | | |
| R.I. Interoperable Communications-Operating | | | (21,576) | | |
| Operating Supplies and Expenses | | | 41,501 | | |
| | 2,058,099 | (73,790) | (199,468) | 1,784,841 | 273,258 |
| Total | 3,739,948 | (122,089) | (42,599) | 3,575,260 | 164,688 |
| Public Safety | | | | | |
| Central Management | | | | | |
| Personnel | 514,329 | (31,485) | 143,525 | | |
| | 514,329 | (31,485) | 143,525 | 626,369 | (112,040) |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|--------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| E-911 Emergency Telephone System | 4,994,940 | (272,724) | | | |
| Personnel | | | 258,141 | | |
| Overtime | | | 200,000 | | |
| Verizon/Other Provider Monthly Svc Charges | | | 1,460 | | |
| Software Maintenance Agreements | | | 51,448 | | |
| Capital Purchases | | | (172,400) | | |
| | 4,994,940 | (272,724) | 338,649 | 5,060,865 | (65,925) |
| State Fire Marshal | 2,614,889 | (138,186) | | | |
| Personnel | | | 33,562 | | |
| Operating Supplies and Expenses | | | 1,241 | | |
| other Contracted Professional Services | | | 6,422 | | |
| Contracted Professional Services - Quarry Study | | | 15,800 | | |
| | 2,614,889 | (138,186) | 57,025 | 2,533,728 | 81,161 |
| Capitol Police | 3,744,088 | (235,184) | | | |
| Personnel | | | 49,941 | | |
| Contracted Professional Services | | | (400) | | |
| Operating Supplies and Expenses | | | (31,311) | | |
| Capital Purchases | | | (2,500) | | |
| | 3,744,088 | (235,184) | 15,730 | 3,524,634 | 219,454 |
| Municipal Police Training Academy | 431,195 | (25,225) | | | |
| Personnel | | | (42,471) | | |
| Operating Supplies and Expenses | | | 9,215 | | |
| | 431,195 | (25,225) | (33,256) | 372,714 | 58,481 |
| State Police | 54,528,653 | (1,618,276) | | | |
| Personnel | | | (128,451) | | |
| Retiree Health Assessment (future retirees) | | | (991,881) | | |
| Contracted Professional Services | | | 24,319 | | |
| Vehicle Lease Payments | | | (231,663) | | |
| Vehicle Maintenance (reduced DOT reimbursement) | | | 245,000 | | |
| Technology Maintenance Costs | | | 254,389 | | |
| Operating Supplies and Expenses | | | (104,972) | | |
| Pension: State Police | | | 561,510 | | |
| | 54,528,653 | (1,618,276) | (371,749) | 52,538,628 | 1,990,025 |
| Total | 66,828,094 | (2,321,080) | 149,924 | 64,656,938 | 2,171,156 |
| Office Of Public Defender | 9,468,259 | (564,925) | | | |
| Payroll- Federal Fund Transfer | | | (37,828) | | |
| Uncompensated Leave Day | | | (29,136) | | |
| Retirement Differential | | | (50,245) | | |
| Other Fringe Benefits | | | 168,051 | | |
| Unachieved Turnover (includes 2.5 FTE reduction) | | | 365,871 | | |
| Contracted Professional Services | | | | | |
| Legal Services | | | (3,000) | | |
| Interpreter Services | | | (3,000) | | |
| Operating Supplies and Expenses | | | 4,000 | | |
| Total | 9,468,259 | (564,925) | 414,713 | 9,318,047 | 150,212 |
| Sub-Total Public Safety | 364,871,844 | (17,630,949) | 12,923,052 | 360,163,947 | 4,707,897 |

Changes to FY 2009 Enacted Agency General Revenue Expenditures

| | FY2009 Enacted Appropriation/ Reappropriations | Original July Redistribution Of Enacted Personnel Savings | Supplemental Budget Changes | FY 2009 Projected Expenditures | Projected Surplus/(Deficit) |
|---------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------|-----------------------------------|--------------------------------------|--------------------------------|
| <u>Natural Resources</u> | | | | | |
| Environmental Management | | | | | |
| Office of the Director | 5,539,371 | (177,018) | | | |
| Personnel | | | (648,073) | | |
| Contracted Professional Services | | | 100,095 | | |
| Operating Expense - Software Maintenance/Licenses | | | (79,148) | | |
| Grants and Benefits | | | (6,800) | | |
| Capital Purchases | | | 18,500 | | |
| | 5,539,371 | (177,018) | (615,426) | 4,746,927 | 792,444 |
| Bureau of Natural Resources | 18,853,058 | (806,980) | | | |
| Other Personnel | | | 641,171 | | |
| Division of Enforcement Personnel | | | (190,530) | | |
| Division of Forest Environment Personnel | | | (210,935) | | |
| Division of Parks and Recreation Personnel | | | (442,748) | | |
| Division of Agriculture Personnel | | | (240,436) | | |
| Contracted Professional Services | | | 135,535 | | |
| Operating Expenses | | | 415,441 | | |
| Assistance and Grants | | | 69,893 | | |
| Capital Purchases | | | (14,675) | | |
| | 18,853,058 | (806,980) | 162,716 | 18,208,794 | 644,264 |
| Environmental Protection | 11,386,955 | (719,606) | | | |
| Waste Mgt/Tech Assist/RIPDES Personnel | | | 852,499 | | |
| Office of Compliance & Inspection Personnel | | | (97,237) | | |
| Office of Air Resources Personnel | | | (292,285) | | |
| Office of Water Resources Personnel | | | 26,558 | | |
| Contracted Professional Services | | | (8,328) | | |
| Operating Expenses | | | (83,615) | | |
| Capital Purchases | | | (9,300) | | |
| | 11,386,955 | (719,606) | 388,292 | 11,055,641 | 331,314 |
| Total | 35,779,384 | (1,703,604) | (64,418) | 34,011,362 | 1,768,022 |
| Coastal Resources Management Council | | | | | |
| Personnel | 1,877,703 | (102,392) | | | |
| Uncompensated Leave Day | | | 186,242 | | |
| Expenditure Transfer to Federal Funds | | | (23,176) | | |
| Contracted Professional Services - Legal | | | 91,500 | | |
| Contracted Professional Services - Clerical | | | 5,000 | | |
| Operating Supplies and Expenses | | | (523) | | |
| Total | 1,877,703 | (102,392) | 259,043 | 2,034,354 | (156,651) |
| State Water Resources Board | | | | | |
| Personnel | 1,378,002 | (41,811) | | | |
| Buildings & Grounds Services | | | 19,210 | | |
| Stream Gauging/Water Supply Audits | | | (10,800) | | |
| Clerical Services | | | (3,000) | | |
| Moving and Property Related Costs | | | 2,800 | | |
| Other Operating Supplies and Expenses | | | 12,500 | | |
| Assistance and Grants | | | (4,955) | | |
| | | | 100 | | |
| Total | 1,378,002 | (41,811) | 15,855 | 1,352,046 | 25,956 |
| Sub-Total Environment | 39,035,089 | (1,847,807) | 210,480 | 37,397,762 | 1,637,327 |
| Statewide General Revenue Total | 3,277,894,739 | - | (213,959,506) | 3,063,935,233 | 213,959,506 |

Changes to FY 2009 Enacted Transportation Expenditures

| | FY 2009 Enacted Appropriation | Change to Carryforward from FY2008 | Supplemental Changes | FY 2009 Revised |
|----------------------------------------------------------------|----------------------------------|------------------------------------------|-------------------------|--------------------|
| ¹ Total ISTF Fund Revenue Collections | 138,900,000 | | (4,650,000) | 134,250,000 |
| Transfer 0.5 Penny from Underground Storage Tank Fund to RIPTA | | | 2,237,500 | 2,237,500 |
| Transportation ISTEAA Fund Changes - Surplus | | 3,216,875 | | 3,216,875 |
| ² Beginning Balance | 4,387,083 | | | 4,387,083 |
| Total Revenues Available | 143,287,083 | | | 144,091,458 |
| Central Management | 1,916,115 | | | |
| Salaries & Benefits | | | 45,185 | |
| Purchased Services | | | (8,572) | |
| Operating | | | (50,961) | |
| Grants & Benefits | | | 94 | |
| Capital Improvements | | | 8,761 | |
| | 1,916,115 | | (5,493) | 1,910,622 |
| Management & Budget | 2,162,403 | | | |
| Salaries & Benefits | | | (41,610) | |
| Purchased Services | | | (949,273) | |
| Operating | | | 93,990 | |
| Capital Improvements | | | 86,426 | |
| | 2,162,403 | | (810,467) | 1,351,936 |
| Infrastructure-Engineering | 5,394,165 | | | |
| Salaries & Benefits | | | (1,545,326) | |
| Purchased Services | | | (3,064) | |
| Operating | | | 96,015 | |
| Grants & Benefits | | | (31) | |
| Capital Improvements | | | 500,569 | |
| | 5,394,165 | | (951,837) | 4,442,328 |
| Infrastructure-Maintenance | 39,335,813 | | | |
| Salaries & Benefits | | | (1,846,885) | |
| Purchased Services | | | 17,998 | |
| Operating | | | 6,211,088 | |
| Grants & Benefits | | | 301,024 | |
| Capital Improvements | | | (186,797) | |
| Operating Transfers | | | 475,000 | |
| | 39,335,813 | | 4,971,428 | 44,307,241 |
| Total Department of Transportation Operations | 48,808,496 | | 3,203,631 | 52,012,127 |
| Operating Transfers to Motor Fuel Bonds - debt service | 8,228,750 | | 721,250 | 8,950,000 |
| Operating Transfers to RIPTA | 32,802,016 | | 1,119,356 | 33,921,372 |
| Total Transfers | 41,030,766 | | 1,840,606 | 42,871,372 |
| Total Transportation | 89,839,262 | | 5,044,237 | 94,883,499 |

Changes to FY 2009 Enacted Transportation Expenditures

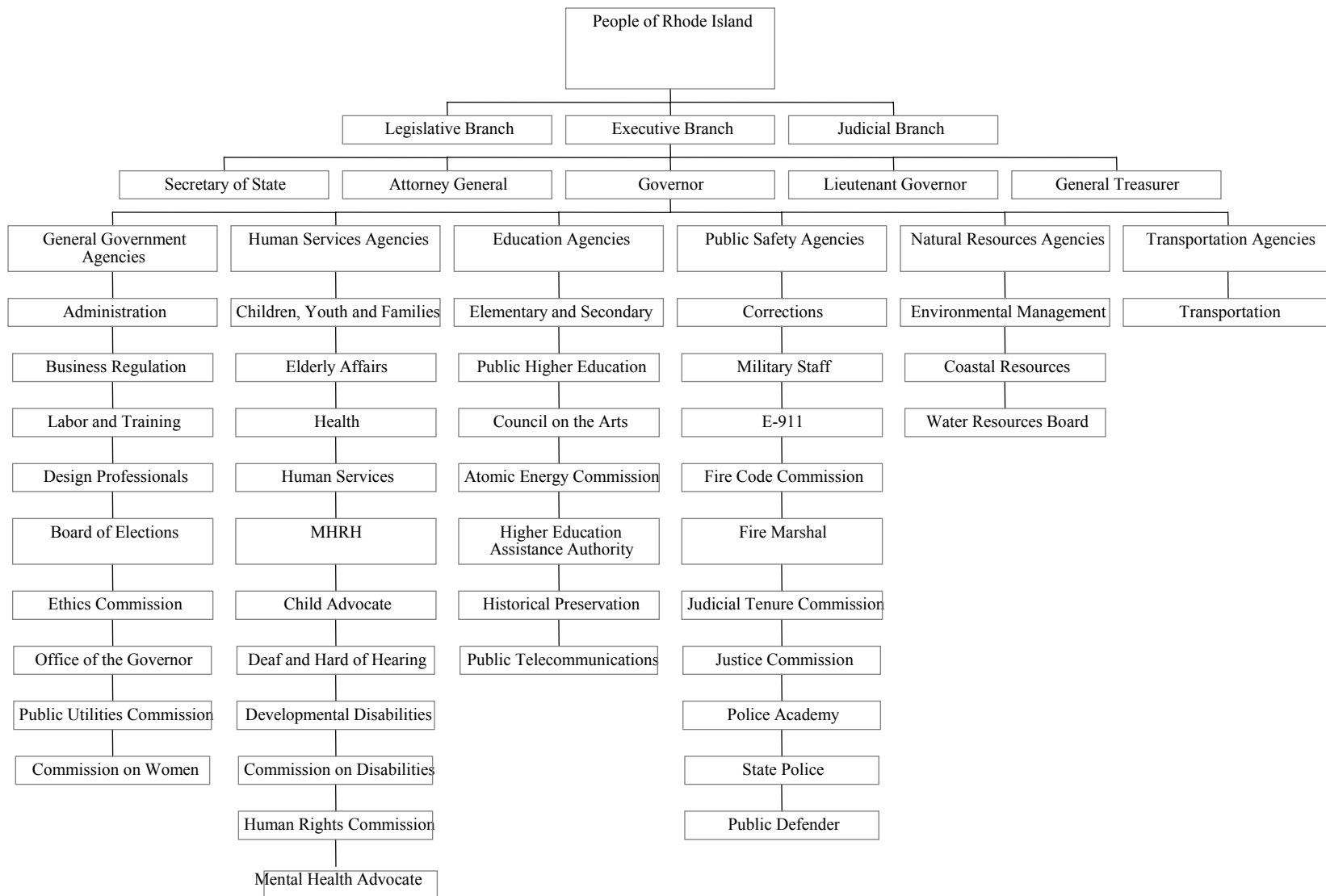
| | FY 2009 Enacted Appropriation | Change to Carryforward | Supplemental Changes | FY 2009 Revised |
|------------------------------------------------|----------------------------------|---------------------------|-------------------------|--------------------|
| Gas tax budgeted outside DOT | | | | |
| DOT Debt service(est DOT refunding allocation) | 41,454,976 | | (5,028,352) | 36,426,624 |
| RIPTA Debt Service | 765,484 | | (5,606) | 759,878 |
| Gas tax budgeted in DOA-planning | 42,220,460 | | | 37,186,502 |
| Consolidations Transfer | 1,929,662 | | (383,205) | 1,546,457 |
| Total Transfers | 1,929,662 | | (383,205) | 1,546,457 |
| Gas Tax Budgeted outside of DOT | 44,150,122 | | (383,205) | 38,732,959 |
| DEA - Elderly Transportation | 4,630,000 | | (155,000) | 4,475,000 |
| General Fund Transfer | 4,630,000 | | (155,000) | 4,475,000 |
| Total ISTE A Fund | 143,249,384 | | 4,351,032 | 142,566,458 |
| ³ Ending Balance | 37,699 | | | 1,525,000 |

¹ Reflects November 2008 Revenue Estimating Conference revision to gasoline tax yield estimate from \$4,630,000 to \$4,475,000 per penny of the gasoline tax

² Planned balance from FY 2008 programmed into enacted budget

³ Recommended ending balance to be carried for into FY 2010

State of Rhode Island Organizational Chart



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General Government

Department of Administration

Central Management
Legal Services
Accounts and Control
Budgeting
Municipal Affairs
Purchasing
Auditing
Human Resources
Personnel Appeal Board
Child Support Enforcement
Facilities Management
Capital Projects and Project Management
Office of Library and Information Services
Information Technology
Library Program
Planning
Energy Resources
General
Debt Service Payments
Salary / Benefit Adjustments
Operational Savings
Internal Service Programs

Department of Business Regulation

Central Management
Banking and Securities Regulation
Securities Regulation
Commercial Licensing and Racing and
Athletics
Insurance Regulation
Board of Accountancy
Design Professionals
Building Contractors' Registration Board

Department of Labor and Training

Central Management
Workforce Development Services
Workforce Regulation and Safety
Income Support
Injured Workers Services
Labor Relations Board

Department of Revenue

Director of Revenue
Revenue Analysis
Lottery Division
Municipal Finance
Taxation
Registry of Motor Vehicles

Legislature

General Assembly
Fiscal Advisory Staff to House Finance
Committee
Legislative Council
Joint Committee on Legislative Affairs
Office of the Auditor General
Special Legislative Commissions

Office of the Lieutenant Governor

Secretary of State

Administration
Corporations
State Archives
Elections and Civics
State Library
Office of Public Information
Internal Service Programs

Office of the General Treasurer

General Treasury
State Retirement System
Unclaimed Property
Rhode Island Refunding Bond Authority
Crime Victim Compensation

Board of Elections

Rhode Island Ethics Commission
Office of the Governor
Commission for Human Rights
Public Utilities Commission
Rhode Island Commission on Women

General Government Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|-----------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditure by Object | | | | | |
| Personnel | 224,697,506 | 228,669,905 | 151,774,784 | 231,815,635 | 241,059,396 |
| Operating Supplies and Expenses | 262,147,625 | 300,767,544 | 272,736,805 | 261,474,304 | 257,590,089 |
| Aid To Local Units Of Government | 258,308,439 | 242,994,334 | 246,618,570 | 217,785,526 | 187,835,439 |
| Assistance, Grants and Benefits | 429,651,887 | 486,499,701 | 473,595,008 | 675,314,286 | 752,781,486 |
| Subtotal: Operating Expenditures | \$1,174,805,457 | \$1,258,931,484 | \$1,144,725,167 | \$1,386,389,751 | \$1,439,266,410 |
| Capital Purchases and Equipment | 9,937,896 | 10,763,475 | 39,052,994 | 38,484,128 | 33,438,211 |
| Debt Service | 158,682,369 | 170,300,361 | 189,600,517 | 178,885,723 | 207,716,174 |
| Operating Transfers | 28,030,549 | 39,812,950 | 25,623,294 | 22,198,188 | 63,801,942 |
| Total Expenditures | \$1,371,456,271 | \$1,479,808,270 | \$1,399,001,972 | \$1,625,957,790 | \$1,744,222,737 |
| Expenditures by Funds | | | | | |
| General Revenue | 569,010,351 | 622,390,321 | 569,188,538 | 575,266,731 | 606,090,491 |
| Federal Funds | 80,255,372 | 73,079,038 | 74,306,309 | 143,418,765 | 187,419,157 |
| Restricted Receipts | 51,789,974 | 74,972,766 | 72,595,908 | 75,763,630 | 82,520,836 |
| Other Funds | 670,400,574 | 709,366,145 | 682,911,217 | 831,508,664 | 868,192,253 |
| Total Expenditures | \$1,371,456,271 | \$1,479,808,270 | \$1,399,001,972 | \$1,625,957,790 | \$1,744,222,737 |
| | | | | | |
| FTE Authorization | 2,692.3 | 2,601.7 | 2,497.4 | 2,299.2 | 2,374.6 |

The Agency

Department of Administration

Agency Operations

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of services administered and supported by the state.

The department, headed by the Director of Administration, has eighteen programmatic functions in the FY 2008 Budget. These include Central Management, Legal Services, Accounts and Control, Budgeting, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, Security Services, General Appropriations, Debt Service Payments, Energy Resources and various Internal Services Programs.

Agency Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Agency Measures

| | | | | |
|------------------------------------------------------------|-------|-------|-------|-------|
| Minorities as a Percentage of the Workforce | 9.9% | 9.9% | 9.0% | 9.1% |
| Females as a Percentage of the Workforce | 37.9% | 37.9% | 41.5% | 41.6% |
| Persons with Disabilities as a Percentage of the Workforce | 2.3% | 2.3% | 3.0% | 3.1% |

The Budget

Department of Administration

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 1,692,567 | 1,937,689 | 1,855,323 | 1,775,342 | 1,694,369 |
| Legal Services | 2,529,431 | 2,634,687 | 2,383,921 | 1,145,194 | 1,113,869 |
| Accounts and Control | 3,356,726 | 3,464,745 | 3,886,437 | 3,769,295 | 4,019,166 |
| Budgeting | 2,192,020 | 1,902,391 | 2,126,819 | 2,009,899 | 2,542,345 |
| Municipal Affairs | (354,720) | - | - | - | - |
| Purchasing | 2,212,748 | 2,177,779 | 2,280,079 | 1,998,685 | 2,810,754 |
| Auditing | 1,707,113 | 1,720,953 | 1,848,952 | 1,340,038 | 1,535,588 |
| Human Resources | 12,409,118 | 12,344,536 | 13,110,303 | 12,469,685 | 12,652,485 |
| Personnel Appeal Board | 93,509 | 104,778 | 111,226 | 90,973 | 84,090 |
| Child Support Enforcement | (220,960) | - | - | - | - |
| Facilities Management | 70,561,226 | 43,303,506 | 49,302,687 | 42,470,138 | 44,468,887 |
| Capital Projects and Property Mgmt. | 3,358,825 | 3,578,786 | 4,193,610 | 3,827,648 | 2,693,613 |
| Office of Library & Information Services | (5,932) | - | - | - | - |
| Information Technology | 31,553,990 | 26,576,743 | 31,331,246 | 27,713,347 | 30,069,388 |
| Library Program | 2,110,537 | 2,047,156 | 2,011,906 | 1,821,830 | 1,915,723 |
| Planning | 13,469,091 | 13,913,930 | 17,730,411 | 18,533,655 | 23,086,887 |
| Energy Resources | - | 23,688,685 | 20,949,991 | 56,156,108 | 60,911,699 |
| Security Services | 15,896,566 | 16,234,963 | 15,488,294 | 16,433,982 | 17,240,788 |
| General | 275,381,160 | 291,831,171 | 295,367,589 | 277,154,815 | 270,744,022 |
| Debt Service Payments | 158,329,078 | 169,999,227 | 189,227,909 | 178,642,960 | 207,473,411 |
| Personnel Reform | - | - | - | - | - |
| Internal Service Programs | [287,296,801] | [322,275,097] | [336,633,487] | [369,126,365] | [388,482,006] |
| Salary/Benefit Adjustments | - | - | (91,143,758) | - | - |
| Operational Savings | - | - | (1,178,940) | - | - |
| Total Expenditures | \$596,272,093 | \$617,461,725 | \$560,884,005 | \$647,353,594 | \$685,057,084 |
| Expenditures By Object | | | | | |
| Personnel | 88,167,784 | 86,613,551 | 1,312,919 | 83,880,460 | 88,577,608 |
| Operating Supplies and Expenses | 34,845,247 | 42,248,007 | 41,424,475 | 38,953,021 | 39,870,851 |
| Aid To Local Units Of Government | 258,046,941 | 242,994,334 | 246,618,570 | 217,785,526 | 187,835,439 |
| Assistance, Grants and Benefits | 33,427,004 | 39,200,997 | 38,834,237 | 85,102,235 | 84,136,674 |
| Subtotal: Operating Expenditures | \$414,486,976 | \$411,056,889 | \$328,190,201 | \$425,721,242 | \$400,420,572 |
| Capital Purchases and Equipment | 9,033,756 | 9,485,532 | 36,794,046 | 36,447,388 | 27,646,371 |
| Debt Service | 158,682,369 | 170,300,361 | 189,600,517 | 178,885,723 | 207,716,174 |
| Operating Transfers | 14,068,992 | 26,618,943 | 6,299,241 | 6,299,241 | 49,273,967 |
| Total Expenditures | \$596,272,093 | \$617,461,725 | \$560,884,005 | \$647,353,594 | \$685,057,084 |
| Expenditures By Funds | | | | | |
| General Revenue | 464,765,390 | 520,058,764 | 461,660,272 | 473,132,965 | 498,875,056 |
| Federal Funds | 46,562,576 | 39,828,801 | 33,952,039 | 81,588,173 | 91,347,447 |
| Restricted Receipts | 6,784,583 | 9,973,069 | 8,876,034 | 15,419,816 | 17,387,399 |
| Other Funds | 78,159,544 | 47,601,091 | 56,395,660 | 77,212,640 | 77,447,182 |
| Total Expenditures | \$596,272,093 | \$617,461,725 | \$560,884,005 | \$647,353,594 | \$685,057,084 |
| FTE Authorization | 1,065.2 | 1,032.8 | 955.8 | 845.6 | 888.6 |

The Program

Department of Administration Central Management

Program Operations

Central Management is comprised of three major functions: the Director's Office, the Central Business Office, and the Judicial Nominating Commission.

The Director's Office provides for the overall operation of the department and provides assistance with all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the department to ensure maximum use of state and federal resources. Starting in FY 2008, the Central Business Office provides financial management assistance to the Department of Revenue.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. The commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Program Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Legal and Adjudication Services subprogram was moved to the new Legal Services program as part of the FY 2006 enacted budget.

The Budget

Department of Administration Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Director's Office | 665,381 | 701,657 | 696,835 | 666,928 | 615,344 |
| Financial Management | 1,005,453 | 1,207,132 | 1,138,488 | 1,078,624 | 1,059,025 |
| Judicial Nominating Committee | 21,733 | 28,900 | 20,000 | 29,790 | 20,000 |
| Total Expenditures | \$1,692,567 | \$1,937,689 | \$1,855,323 | \$1,775,342 | \$1,694,369 |
| Expenditures By Object | | | | | |
| Personnel | 1,545,963 | 1,927,146 | 1,786,291 | 1,708,590 | 1,637,728 |
| Operating Supplies and Expenses | 136,737 | 7,550 | 63,039 | 60,759 | 50,648 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,682,700 | \$1,934,696 | \$1,849,330 | \$1,769,349 | \$1,688,376 |
| Capital Purchases and Equipment | 9,867 | 2,993 | 5,993 | 5,993 | 5,993 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,692,567 | \$1,937,689 | \$1,855,323 | \$1,775,342 | \$1,694,369 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,338,319 | 1,630,696 | 1,664,118 | 1,561,123 | 1,653,123 |
| Federal Funds | 233,865 | 233,829 | 191,205 | 136,609 | 41,246 |
| Restricted Receipts | 120,383 | 73,164 | - | 77,610 | - |
| Total Expenditures | \$1,692,567 | \$1,937,689 | \$1,855,323 | \$1,775,342 | \$1,694,369 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Legal Services

Program Operations

The Division of Legal Services is responsible for establishing, managing and maintaining legal resources to support the departments and agencies within the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services to the departments and agencies within the Executive Branch to assist them in achieving their statutory goals and objectives. The Division is comprised of three centralized practice groups: The Corporate Law Group, Legislative and Legal Support Group and Administrative Adjudication Group. In addition, the Division manages and assists attorneys within the departments and agencies that provide legal services in programmatic areas.

Program Objectives

To provide a centralized legal structure within the Executive Branch to manage, maintain and provide in house legal services to the Department of Administration and its various divisions as well as certain non-programmatic legal services to the departments and agencies in the Executive branch.

To develop various areas of legal expertise within the practice groups as a resource to the departments and agencies within the Executive Branch.

To organize and coordinate the centralized legal structure so as to provide legal services in a efficient, effective, consistent, professional, flexible and timely manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To identify and implement cost savings initiatives where appropriate to reduce the cost to taxpayers of the delivery of legal services.

To provide legal risk management services.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

The Budget

Department of Administration Legal Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,419,832 | 2,564,297 | 2,325,080 | 1,094,660 | 1,063,335 |
| Operating Supplies and Expenses | 102,994 | 61,501 | 51,178 | 43,723 | 43,723 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,011 | 1,011 | 758 | 1,011 | 1,011 |
| Subtotal: Operating Expenditures | \$2,523,837 | \$2,626,809 | \$2,377,016 | \$1,139,394 | \$1,108,069 |
| Capital Purchases and Equipment | 5,594 | 7,878 | 6,905 | 5,800 | 5,800 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,529,431 | \$2,634,687 | \$2,383,921 | \$1,145,194 | \$1,113,869 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,498,278 | 2,492,589 | 2,134,616 | 1,119,971 | 1,088,274 |
| Operating Transfers | 31,153 | 142,098 | 249,305 | 25,223 | 25,595 |
| Total Expenditures | \$2,529,431 | \$2,634,687 | \$2,383,921 | \$1,145,194 | \$1,113,869 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Accounts and Control

Program Operations

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of this office include the administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the maintenance of control accounts of assets for all departments and agencies; the operation of financial, accounting and cost systems for all departments and agencies; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements required by departments and agencies, the Governor or the General Assembly.

This office is also responsible for the preparation and/or coordination of several publications, including the Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Program Objectives

To design, implement, and maintain a statewide accounting system in order to ensure that state funds are spent according to legislative intent, leading to the promotion of the fiscal integrity of the state.

To provide management on a timely basis with basic data required to measure and evaluate productivity and accountability of state government in order to make and/or revise strategic or operating plans.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

The Budget

Department of Administration Accounts and Control

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,070,858 | 3,288,073 | 3,786,255 | 3,571,908 | 3,821,803 |
| Operating Supplies and Expenses | 281,637 | 176,255 | 94,557 | 193,970 | 193,946 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 417 | 417 | 625 | 417 | 417 |
| Subtotal: Operating Expenditures | \$3,352,912 | \$3,464,745 | \$3,881,437 | \$3,766,295 | \$4,016,166 |
| Capital Purchases and Equipment | 3,814 | - | 5,000 | 3,000 | 3,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,356,726 | \$3,464,745 | \$3,886,437 | \$3,769,295 | \$4,019,166 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,356,726 | 3,464,745 | 3,886,437 | 3,769,295 | 4,019,166 |
| Total Expenditures | \$3,356,726 | \$3,464,745 | \$3,886,437 | \$3,769,295 | \$4,019,166 |
| Program Measures | | | | | |
| Percentage of Invoices Processed Within 30 Days | 98.0% | 95.0% | 100.0% | 100.0% | 100.0% |
| Average Number of Days to Payment to Vendor | 4.5 | 18.0 | 14.0 | 14.0 | 14.0 |
| Number of Days after Fiscal Year End to Publication of CAFR | 182 | 281 | 182 | 182 | 182 |
| Number of Days to Fiscal Close | 39 | 30 | 37 | 37 | 37 |

The Program

Department of Administration Budgeting

Program Operations

The Budget Office provides staff advice to the Governor relating to the financial management of state government, including evaluation of necessary resources, analysis of state programs, priorities, and alternatives, and the optimum allocation of resources to meet policy and management goals.

The Budget Office performs four key functions, of which the first is the formulation, preparation, and execution of the state budget. Included in this function is the analysis of departmental requests for financing, incorporation of priorities, and presentation and testimony on the executive budget before the General Assembly. During the legislative session, the Budget Office also prepares fiscal notes on legislation with fiscal impacts upon request.

The Budget Office is responsible for economic analysis and revenue estimating, including participation in the Revenue and Caseload Estimating Conferences and reporting on actual versus estimated receipts. The Budget Office also performs capital development program analysis and develops financing plans for execution. This includes presentations to bond rating agencies, preparation of debt offering circulars, tracking of expenditures against authorizations and debt management.

Program Objectives

To ensure that the performance of state programs and activities reflects accurately and effectively the policies of the Governor and the acts and appropriations established by the General Assembly; to ensure that the Governor and the General Assembly have the best possible information and analysis available in carrying out their respective constitutional duties; to use performance measures to strengthen program and financial management and accountability within departments and agencies.

Statutory History

R.I.G.L. 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. R.I.G.L. 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. R.I.G.L. 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures.

The Budget

Department of Administration Budgeting

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,098,618 | 1,797,658 | 2,029,656 | 1,910,147 | 2,442,208 |
| Operating Supplies and Expenses | 80,880 | 98,914 | 91,163 | 88,452 | 88,837 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 536 | - | - | - |
| Subtotal: Operating Expenditures | \$2,179,498 | \$1,897,108 | \$2,120,819 | \$1,998,599 | \$2,531,045 |
| Capital Purchases and Equipment | 12,522 | 5,283 | 6,000 | 11,300 | 11,300 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,192,020 | \$1,902,391 | \$2,126,819 | \$2,009,899 | \$2,542,345 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,192,020 | 1,902,391 | 2,126,819 | 2,009,899 | 2,542,345 |
| Total Expenditures | \$2,192,020 | \$1,902,391 | \$2,126,819 | \$2,009,899 | \$2,542,345 |
| Program Measures | | | | | |
| Bond Rating Index | 10 | 10 | 11 | 11 | 11 |

The Program

Department of Administration Municipal Affairs

Program Operations

Municipal Affairs responsibilities include the provision of technical support to municipalities and supervision of selected financial operations, distribution of state aid, and determination of community wealth for use in school aid formulas. Additionally, Municipal Affairs provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan.

As part of the FY 2006 Budget, the various units of the Municipal Affairs program were transferred to other programs in the Department. The Municipal Finance section was moved to the Budgeting program. The Community Development and Local Government Assistance units were moved to the new Planning program. As part of the FY 2007 Budget, the Municipal Finance section became the Division of Property Valuation with the Department of Revenue.

Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

Statutory History

The Office of Municipal Affairs is established under R.I.G.L. 42-11-12. In the FY 2007 Budget, the office became the Division of Property Valuation within the Department of Revenue.

The Budget

Department of Administration Municipal Affairs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | (354,720) | - | - | - | - |
| Subtotal: Operating Expenditures | (354,720) | - | - | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | (354,720) | - | - | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | - | - | - |
| Federal Funds | (354,720) | - | - | - | - |
| Total Expenditures | (354,720) | - | - | - | - |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Purchasing

Program Operations

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, vendor information and minority business. Procurement solicits bids or requests for proposal for services and supplies as well as price negotiations, and carries out affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. The standards and specifications staff work with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

Program Objectives

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island. To assure that user agencies obtain goods and services in a quick and cost-effective manner, without sacrificing necessary quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system. To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program.

The Minority Business Enterprise function is responsible for supporting Rhode Island laws and policies to ensure the fullest participation of minority business enterprises in state funded and directed construction programs and projects and state purchases of goods and services. The Budget as proposed includes the merger of the Minority Business Enterprise and Equal Employment Opportunity offices with Human Resources.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 32-2.17 requires the institution of an electronic Vendor Information Program.

The Budget

Department of Administration Purchasing

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Purchasing | 2,025,410 | 1,976,233 | 2,078,627 | 1,940,264 | 2,594,179 |
| Minority Business Enterprise | 187,338 | 201,546 | 201,452 | 58,421 | 216,575 |
| Total Expenditures | \$2,212,748 | \$2,177,779 | \$2,280,079 | \$1,998,685 | \$2,810,754 |
| Expenditures By Object | | | | | |
| Personnel | 2,123,625 | 2,135,239 | 2,215,178 | 1,950,385 | 2,758,814 |
| Operating Supplies and Expenses | 88,416 | 41,479 | 58,003 | 42,239 | 45,879 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | 837 | - | - |
| Subtotal: Operating Expenditures | \$2,212,041 | \$2,176,718 | \$2,274,018 | \$1,992,624 | \$2,804,693 |
| Capital Purchases and Equipment | 707 | 1,061 | 6,061 | 6,061 | 6,061 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,212,748 | \$2,177,779 | \$2,280,079 | \$1,998,685 | \$2,810,754 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,212,748 | 2,177,779 | 2,280,079 | 1,998,685 | 2,810,754 |
| Total Expenditures | \$2,212,748 | \$2,177,779 | \$2,280,079 | \$1,998,685 | \$2,810,754 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Auditing

Program Operations

The Bureau of Audits performs the auditing function for the executive branch of State Government. The Bureau provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational control through objective analyses, evaluations, and recommendations on operations, systems, controls, and contracted services.

The primary activities of the Bureau can be classified as internal auditing and management advisory services. The Bureau of Audits is required to audit the financial records and accounts of all state departments and agencies on a biennial basis and report all findings and recommendations relative to the financial affairs, and the economy and efficiency of operations.

Additional responsibilities include: auditing special purpose funds, conducting performance audits and special projects, providing consulting services and investigations at the request of the Governor or department directors, participating as advisors in policymaking meetings, providing emergency accounting and auditing services to cities and towns, and reviewing and evaluating all state department and agency Financial Integrity and Accountability Reports.

Program Objectives

To provide independent and objective assurance on governance, risk management, and control processes that will furnish a reasonable basis to report on the effectiveness and efficiency of state operations, the reliability of financial reporting, compliance with applicable laws, regulations, and contracts.

Statutory History

R.I.G.L. 35-7 establishes a Bureau of Audits and authorizes it to conduct biennial audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies. The Bureau is also permitted to conduct investigations at the request of the Governor, audit court collected funds and furnish auditors and accountants to other state agencies.

The Budget

Department of Administration Auditing

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,646,725 | 1,675,041 | 1,781,452 | 1,283,408 | 1,477,968 |
| Operating Supplies and Expenses | 57,745 | 26,043 | 57,444 | 46,344 | 47,334 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,704,470 | \$1,701,084 | \$1,838,896 | \$1,329,752 | \$1,525,302 |
| Capital Purchases and Equipment | 2,643 | 19,869 | 10,056 | 10,286 | 10,286 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,707,113 | \$1,720,953 | \$1,848,952 | \$1,340,038 | \$1,535,588 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,707,113 | 1,620,953 | 1,848,952 | 1,340,038 | 1,535,588 |
| Other Funds | - | 100,000 | - | - | - |
| Total Expenditures | \$1,707,113 | \$1,720,953 | \$1,848,952 | \$1,340,038 | \$1,535,588 |
| Program Measures | | | | | |
| Audit Acceptance | 100.0% | 95.0% | 99.0% | 99.0% | 99.0% |

The Program

Department of Administration Human Resources

Program Operations

The Division of Human Resources is composed of a number of subprograms: Administrative services, Classification & Examinations, Equal Employment Opportunity, Outreach & Diversity, Employee Benefits, Training and Development, Employee Services, Human Resource Service Centers. Its primary mission is to provide the best possible cost effective human resource services to employees, labor, and management in assisting them in carrying out the functions and responsibilities of state government; to be accountable to the general public by instituting policies and procedures that are fair and equitable in providing the finest possible service to those seeking employment in state service; to be responsive to employees by providing a progression in employment opportunities, adequate employee benefits, ensuring affirmative action, the rights of the disabled and promoting career development and training; to assist those disabled, as a result of a job related injury, with adequate benefits during their convalescence and to ensure their eventual return to productive employment; and to safeguard the integrity and quality of the state employment system by continuously protecting it from influences that are detrimental to that effort.

Program Objectives

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law.

The Budget

Department of Administration Human Resources

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Human Resources | 270,014 | 292,596 | 285,046 | 1,247,101 | 1,087,370 |
| Personnel Administration | 3,066,865 | 3,221,884 | 4,103,339 | 3,956,622 | 3,979,183 |
| Equal Opportunity/Outreach | 624,394 | 668,903 | 942,401 | 744,143 | 739,470 |
| Training and Development | 208,169 | - | - | - | - |
| DEM/DOT HR Service Center | (3,606,562) | (4,386,674) | 1,351,072 | 202,845 | 356,578 |
| General Gov. HR Service Center | 158,482 | 1,280,135 | 1,175,604 | 1,021,141 | 1,015,832 |
| Human Services HR Service Center | 9,084,366 | 8,636,604 | 3,880,098 | 4,167,584 | 4,311,960 |
| Public Safety HR Service Center | 2,603,390 | 2,631,088 | 1,372,743 | 1,130,249 | 1,162,092 |
| Total Expenditures | \$12,409,118 | \$12,344,536 | \$13,110,303 | \$12,469,685 | \$12,652,485 |
| Expenditures By Object | | | | | |
| Personnel | 12,202,304 | 12,147,915 | 12,418,774 | 11,936,814 | 12,124,005 |
| Operating Supplies and Expenses | 180,740 | 183,951 | 517,302 | 416,154 | 411,763 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | 104,921 | 54,921 | 54,921 |
| Subtotal: Operating Expenditures | \$12,383,044 | \$12,331,866 | \$13,040,997 | \$12,407,889 | \$12,590,689 |
| Capital Purchases and Equipment | 26,074 | 12,670 | 69,306 | 61,796 | 61,796 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$12,409,118 | \$12,344,536 | \$13,110,303 | \$12,469,685 | \$12,652,485 |
| Expenditures By Funds | | | | | |
| General Revenue | 9,717,219 | 9,678,368 | 10,366,561 | 10,164,322 | 10,108,226 |
| Federal Funds | 1,696,503 | 783,303 | 1,871,902 | 707,529 | 726,665 |
| Restricted Receipts | 532,322 | 498,697 | 320,923 | 411,395 | 437,675 |
| Other | 463,074 | 1,384,168 | 550,917 | 1,186,439 | 1,379,919 |
| Total Expenditures | \$12,409,118 | \$12,344,536 | \$13,110,303 | \$12,469,685 | \$12,652,485 |
| Program Measures | | | | | |
| Percentage of Desk Audits Completed Within 60 Days | 18.0% | 32.0% | 25.0% | 25.0% | 25.0% |
| Percentage of Civil Service Examinations Completed Within 120 Days | 100.0% | 100.0% | 90.0% | 90.0% | 90.0% |

The Program

Department of Administration Personnel Appeal Board

Program Operations

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Program Objective

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged, and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

The Budget

Department of Administration Personnel Appeal Board

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 92,307 | 102,664 | 108,644 | 88,639 | 81,756 |
| Operating Supplies and Expenses | 1,202 | 2,114 | 2,582 | 2,334 | 2,334 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$93,509 | \$104,778 | \$111,226 | \$90,973 | \$84,090 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$93,509 | \$104,778 | \$111,226 | \$90,973 | \$84,090 |
| Expenditures By Funds | | | | | |
| General Revenue | 93,509 | 104,778 | 111,226 | 90,973 | 84,090 |
| Total Expenditures | \$93,509 | \$104,778 | \$111,226 | \$90,973 | \$84,090 |
| Program Measures | | | | | |
| Percentage of State Employee Appeals Resolved Within 270 days | 70.0% | 90.0% | 90.0% | 90.0% | 90.0% |

The Program

Department of Administration Child Support Enforcement

Program Operations

Child Support Enforcement is a program within the Division of Taxation of the Rhode Island Department of Administration. This agency was established to help strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor their obligation to support their offspring. The concern for the well being of children who live with only one parent and the desire to promote self-sufficiency for these single parent families prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

As part of the FY 2006 Budget, the Child Support Enforcement program was moved to the Department of Human Services.

Program Objectives

Child Support Enforcement was established to help strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program.

The Budget

Department of Administration Child Support Enforcement

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | (220,960) | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | (\$220,960) | - | - | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | (\$220,960) | - | - | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | - | - | - |
| Federal Funds | (220,960) | - | - | - | - |
| Total Expenditures | (220,960) | - | - | - | - |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Facilities Management

Program Operations

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration, including 203 group home facilities. Generally, this includes the buildings at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center and numerous other state buildings throughout the State. In addition, the division includes the State Fleet Operations, Environmental Compliance, Asset Protection and Surplus Property.

The responsibility for building operations includes grounds maintenance, general maintenance, building cleaning, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution, capital improvements.

Program Objectives

To provide a clean, safe, healthy and secure environment conducive to worker productivity. To protect and preserve Rhode Island's facility assets.

Statutory History

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 45-56.2 establishes the Federal Surplus Property program.

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

The Budget

Department of Administration Facilities Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Operations and Maintenance | 9,294,449 | 7,770,773 | 8,504,817 | 7,803,805 | 7,954,419 |
| Energy and Conservation | 27,134,448 | - | - | - | - |
| Facilities Centralization | 34,132,329 | 35,532,733 | 40,797,870 | 34,666,333 | 36,514,468 |
| Total Expenditures | \$70,561,226 | \$43,303,506 | \$49,302,687 | \$42,470,138 | \$44,468,887 |
| Expenditures By Object | | | | | |
| Personnel | 17,071,437 | 14,367,237 | 14,980,281 | 13,346,821 | 13,716,268 |
| Operating Supplies and Expenses | 26,822,264 | 29,763,269 | 33,808,066 | 28,608,382 | 30,261,028 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 26,236,019 | 2,803 | 3,097 | 2,283 | 2,283 |
| Subtotal: Operating Expenditures | \$70,129,720 | \$44,133,309 | \$48,791,444 | \$41,957,486 | \$43,979,579 |
| Capital Purchases and Equipment | (56,102) | (1,317,411) | 23,635 | 25,044 | 1,700 |
| Debt Service | 487,608 | 487,608 | 487,608 | 487,608 | 487,608 |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$70,561,226 | \$43,303,506 | \$49,302,687 | \$42,470,138 | \$44,468,887 |
| Expenditures By Funds | | | | | |
| General Revenue | 39,655,183 | 35,046,043 | 39,299,779 | 36,669,513 | 38,405,174 |
| Federal Funds | 29,205,146 | 1,765,579 | 8,242,199 | 1,065,812 | 1,098,436 |
| Restricted Receipts | 1,230,002 | 1,056,852 | 1,144,994 | 915,530 | 938,497 |
| Other Funds | 470,895 | 5,435,032 | 615,715 | 3,819,283 | 4,026,780 |
| Total Expenditures | \$70,561,226 | \$43,303,506 | 49,302,687 | \$42,470,138 | \$44,468,887 |
| Program Measures | | | | | |
| Percentage of Days with no Interruption or Loss of Service from the Utility Systems | 100.0% | 99.9% | 99.9% | 99.9% | 99.9% |

The Program

Department of Administration Capital Projects and Property Management

Program Operations

The Division of Capital Projects and Property Management was established by Executive Order on April 1, 2004. The Division is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. It also solicits, negotiates and administers real estate leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. In addition, the Division is responsible for a variety of related activities including the administration of the Building Code Commission and the State Properties Committee.

The Building Code Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The Commission also conducts a variety of training programs for code officials and building trades people.

The State Properties Committee is a public entity charged with reviewing and approving all contracts, leases and purchases related to State's property interests.

Program Objectives

To facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces.

To assure public welfare by interpreting and enforcing building code standards on state properties.

To protect the public from unscrupulous building contractors and shoddy workmanship.

To assure fairness, transparency and good value in developing and securing property for the State's use.

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management. RIGL 37-6 establishes the duties, authority and responsibility of the State Properties Committee. RIGL 23-27.3-108.2 et. seq. and RIGL 5-65-15.1 establish the duties and authority and responsibility of the State Building Commission. The Building Contractors' Registration Board is transferred to the Department of Business Regulation in the FY 2010 budget.

The Budget

Department of Administration Capital Projects and Project Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Capital Projects | 884,755 | 959,784 | 1,024,502 | 1,034,408 | 1,098,166 |
| Property Management | 373,135 | 326,697 | 324,367 | 166,548 | 147,307 |
| State Building Code Commission | 1,815,920 | 2,004,697 | 2,538,189 | 2,318,587 | 1,132,718 |
| Fire Code Board of Appeal and Review | 285,015 | 287,608 | 306,552 | 308,105 | 315,422 |
| Total Expenditures | \$3,358,825 | \$3,578,786 | \$4,193,610 | \$3,827,648 | \$2,693,613 |
| Expenditures By Object | | | | | |
| Personnel | 3,166,232 | 3,396,219 | 3,723,083 | 3,274,496 | 2,516,930 |
| Operating Supplies and Expenses | 183,019 | 167,247 | 460,991 | 540,902 | 168,683 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$3,349,251 | \$3,563,466 | \$4,184,074 | \$3,815,398 | \$2,685,613 |
| Capital Purchases and Equipment | 9,574 | 15,320 | 9,536 | 12,250 | 8,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,358,825 | \$3,578,786 | \$4,193,610 | \$3,827,648 | \$2,693,613 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,358,490 | 3,578,786 | 4,193,610 | 2,898,345 | 2,693,613 |
| Restricted Receipts | - | - | - | 929,303 | - |
| Other Funds | 335 | - | - | - | - |
| Total Expenditures | \$3,358,825 | \$3,578,786 | \$4,193,610 | \$3,827,648 | \$2,693,613 |
| Program Measures | | | | | |
| Annual Inflation - Adjusted Dollar Value of Repair Services and Cash Settlements to Rhode Island Consumers Secured by the Contractor Registration Board | \$488,367 | \$554,213 | \$564,322 | \$564,322 | \$590,593 |
| Gross Annual Inflation - Adjusted Dollar Savings Realized by Moving State operations From Leased to State-owned Space | \$942,840 | \$1,207,459 | \$177,008 | \$177,008 | \$192,400 |

The Program

Department of Administration Office of Library and Information Services

Program Operations

The Office of Library and Information Services is comprised of four subprograms under the jurisdiction of the Chief Information Officer.

Library Services coordinates inter-library cooperation, maintains and develops the Rhode Island Library Network, operates the Regional Library for the Blind and Physically Handicapped, and promotes overall library development through various grant-in-aid programs for public and institutional libraries.

Statewide Planning was established to prepare, adopt, and amend strategic plans for the physical, economic, and social development of the state and to recommend these to the Governor, the General Assembly, and all others concerned.

Central Mail services include the collection and delivery of all interoffice mail, processing envelopes for welfare checks, tax refunds, and zip code presorting of general mail for state agencies.

Information Technology is responsible for information policy development and implementation, operation and maintenance of the state information resource management system, and improving access to state government information for state departments and the general public.

As part of the FY 2006 Budget, the various units of the OLIS program have been moved to other programs in the Department of Administration. The Library Services unit has been moved to the new Library and Information Services program. Statewide Planning has been moved to the new Planning program. Information Technology and Central Mail services have been moved to the new Information Technology program.

Program Objectives

To maintain and improve library and information services to state government and to the residents of the state. To develop and implement a state government information policy, and coordinate information resources throughout state government. To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management. To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

Statutory History

Title 29 Chapters 3 through 8 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services. R.I.G.L. 42-11 includes provisions relative to the state planning program. Functions are further prescribed in Titles 1, 16, 22, 23, 34, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Office of Library and Information Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Executive Director - OLIS | - | - | - | - | - |
| Library Services | (5,932) | - | - | - | - |
| Systems Planning | - | - | - | - | - |
| Central Mail Services | - | - | - | - | - |
| Total Expenditures | (\$5,932) | - | - | - | - |
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | (497) | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | (5,435) | - | - | - | - |
| Subtotal: Operating Expenditures | (\$5,932) | - | - | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | (\$5,932) | - | - | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | - | - | - |
| Federal Funds | (5,435) | - | - | - | - |
| Restricted Receipts | (497) | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | (\$5,932) | - | - | - | - |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Information Technology

Program Operations

Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of all information technology (IT) resources within the executive branch of state government. All hiring and spending decisions involving IT may only be made with the advice and approval of the CIO.

Information Technology defines and maintains the architectural standards for hardware, software, networks and services that guide state investments in IT. Information Technology defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

Information Technology is responsible for planning all IT investments and activities throughout the executive branch departments and agencies

Program Objectives

Maintain and improve IT services to executive branch departments and agencies, including technical support to end users and networks, help desk services, and application development, delivery and maintenance.

Implement a new DMV system that supports the automation of registration, licensing, improves customer service, as well as improving the quality of information and operational efficiencies.

Complete integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation

Continue the implementation of the approved statewide-integrated financial management system.

Implement and test a disaster recovery capability for Rhode Island's critical systems

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Publish an integrated Executive Branch five-year Information Technology Plan.

Provide state agencies and departments with improved transparency and clarity in their services through an improved billing process.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Information Technology Division within the Department of Administration.

The Budget

Department of Administration Information Technology

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Executive Director - CIO | 358,618 | 345,571 | 378,192 | 460,201 | 769,668 |
| Information Technology | 5,730,574 | 3,409,145 | 3,351,684 | 3,012,730 | 3,698,873 |
| IT Centralization | 25,464,798 | 22,822,027 | 27,601,370 | 24,240,416 | 25,600,847 |
| Total Expenditures | \$31,553,990 | \$26,576,743 | \$31,331,246 | \$27,713,347 | \$30,069,388 |
| Expenditures By Object | | | | | |
| Personnel | 21,469,186 | 20,566,585 | 25,319,333 | 22,014,316 | 23,697,922 |
| Operating Supplies and Expenses | 4,746,000 | 5,309,827 | 5,062,380 | 4,627,595 | 4,682,869 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,037 | 1,038 | 48 | 1,000 | 1,000 |
| Subtotal: Operating Expenditures | \$26,216,223 | \$25,877,450 | \$30,381,761 | \$26,642,911 | \$28,381,791 |
| Capital Purchases and Equipment | 5,337,767 | 699,293 | 949,485 | 1,070,436 | 1,687,597 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$31,553,990 | \$26,576,743 | \$31,331,246 | \$27,713,347 | \$30,069,388 |
| Expenditures By Funds | | | | | |
| General Revenue | 21,042,457 | 18,623,223 | 20,195,145 | 19,213,160 | 20,927,302 |
| Federal Funds | 6,189,980 | 5,519,572 | 6,667,124 | 5,862,341 | 6,458,209 |
| Restricted Receipts | 1,868,841 | 907,909 | 2,060,780 | 951,696 | 985,163 |
| Other Funds | 2,452,712 | 1,526,039 | 2,408,197 | 1,686,150 | 1,698,714 |
| Total Expenditures | \$31,553,990 | \$26,576,743 | \$31,331,246 | \$27,713,347 | \$30,069,388 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Library Program

Program Operations

The Office of Library and Information Services (OLIS) focuses on enabling practices that create maximum effectiveness, efficiency and economy in the delivery of public library services, interlibrary cooperation and resource sharing. OLIS acts through the state's libraries, but also provides directly those library services more effectively and efficiently delivered at statewide scale.

OLIS operates under a federally mandated Five-Year Plan approved by the Library Board of Rhode Island and the Institute of Museum and Library Services, and updated annually (cf. <http://www.olis.ri.gov/aboutus/5fyplan/>).

Program Objectives

To promote and encourage interlibrary cooperation and resource sharing; through such ongoing services as the RI Library Network consultant services to libraries and statewide coordination of interlibrary loan and delivery, Summer Reading Program and related services to children.

To provide for statewide such direct services as access to RI library catalogs; AskRI access to electronic library resources and reference service and Talking Books Plus services to people with disabilities.

To provide professional resources to librarians, library staff, trustees and related stakeholders in person and via the World Wide Web including OLIS' website and WebJunction.

To administer state and federal grant programs for libraries.

To ensure compliance with standards including Minimum Standards for RI Public Libraries and LORI Standards for participation in the Library of Rhode Island.

Statutory History

Title 29 Chapters 3 through 8 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services. Functions are further prescribed in Titles 1, 16, 22, 23, 34, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Library Program

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,830,725 | 1,616,041 | 1,791,862 | 1,153,058 | 1,302,279 |
| Operating Supplies and Expenses | 123,778 | 325,187 | 116,044 | 569,048 | 530,944 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 153,426 | 97,943 | 100,000 | 93,724 | 75,000 |
| Subtotal: Operating Expenditures | \$2,107,929 | \$2,039,171 | \$2,007,906 | \$1,815,830 | \$1,908,223 |
| Capital Purchases and Equipment | 2,608 | 7,985 | 4,000 | 6,000 | 7,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,110,537 | \$2,047,156 | \$2,011,906 | \$1,821,830 | \$1,915,723 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,095,684 | 1,024,657 | 927,319 | 885,826 | 884,607 |
| Federal Funds | 1,013,052 | 1,021,902 | 1,079,587 | 930,004 | 1,025,116 |
| Restricted Receipts | 1,801 | 597 | 5,000 | 6,000 | 6,000 |
| Total Expenditures | \$2,110,537 | \$2,047,156 | \$2,011,906 | \$1,821,830 | \$1,915,723 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Planning

Program Operations

The Division of Planning is comprised of four subprograms, Statewide Planning, Strategic Planning, Housing & Community Development and Local Government Assistance

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. The Statewide Planning subprogram includes the State Planning Council, which is comprised of elected officials, state and local government officials, public members, and federal officials in an advisory capacity.

Strategic Planning is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. This subprogram is also responsible for the development of program performance measures in cooperation with the various departments and agencies.

The Community Development Block Grant program provides funding to 33 municipalities to address housing and community development needs. This includes the Neighborhood Stabilization Program of the Housing and Economic Recovery Act of 2008 which will address the impact of foreclosure statewide.

Local Government Assistance provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan. The Department has recommended that this subprogram be transferred to the Division of Municipal Finance within the Department of Revenue in the FY 2010 budget.

Program Objectives

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management.

To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

To use performance measures to strengthen program and financial management and accountability within departments and agencies.

To coordinate activities among state agencies, political subdivisions and private partners pertaining to housing and community development.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Planning

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Statewide Planning | 2,784,742 | 2,922,905 | 2,861,087 | 3,140,973 | 3,292,281 |
| Local Government Assistance | 306,661 | 327,119 | 224,673 | 337,698 | - |
| Community Development | 10,377,688 | 10,663,906 | 14,644,651 | 15,054,984 | 19,794,606 |
| Total Expenditures | \$13,469,091 | \$13,913,930 | \$17,730,411 | \$18,533,655 | \$23,086,887 |
| Expenditures By Object | | | | | |
| Personnel | 3,741,562 | 3,538,063 | 3,591,525 | 3,552,938 | 3,601,490 |
| Operating Supplies and Expenses | 130,865 | 83,432 | 275,717 | 229,942 | 166,874 |
| Aid To Local Units Of Government | 3,818,431 | - | - | - | - |
| Assistance, Grants and Benefits | 5,763,589 | 10,286,357 | 13,830,000 | 14,716,161 | 19,304,023 |
| Subtotal: Operating Expenditures | \$13,454,447 | \$13,907,852 | \$17,697,242 | \$18,499,041 | 23,072,387 |
| Capital Purchases and Equipment | 14,644 | 6,078 | 33,169 | 34,614 | 14,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$13,469,091 | \$13,913,930 | \$17,730,411 | \$18,533,655 | \$23,086,887 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,596,615 | 3,729,701 | 3,731,488 | 3,737,901 | 3,466,719 |
| Federal Funds | 7,447,689 | 8,301,611 | 12,343,976 | 12,788,246 | 17,607,976 |
| Operating Transfers | 1,424,787 | 1,882,618 | 1,654,947 | 2,007,508 | 2,012,192 |
| Total Expenditures | \$13,469,091 | \$13,913,930 | \$17,730,411 | \$18,533,655 | \$23,086,887 |
| Program Measures | | | | | |
| Percentage of Actions Taken on Local Plan Updates within 255 Days from Date Plans are Accepted as Complete to Review | 57.0% | 57.0% | 71.0% | 71.0% | 85.0% |
| Performance Measures Developed | 76.7% | 77.6% | 71.8% | 71.8% | 75.0% |
| New Affordable Housing Units | 175 | 381 | 454 | 454 | 400 |
| Percentage of Children with Blood Levels Greater than 10ug/dl for the First Time in their Lives | 500 | 348 | 248 | 248 | 148 |

The Program

Office of Energy Resources

Program Operations

The Office of Energy Resources provides policy direction for executive leadership in a variety of energy matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of energy services by state government.

The office is headed by the Commissioner, Office of Energy Resources, receives administrative support from the Department of Administration, and is treated for administrative purposes as having one programmatic function within the DOA FY 2009 Budget. Functionally, several programs exist within the Office. These include federally defined State Energy Office functions, renewable energy development, energy conservation outreach and education, and low-income energy assistance.

The Commissioner establishes, administers and monitors energy policy and plans with advice of the Energy Efficiency and Resource Management Council, serves as executive director and secretary of the council, and coordinates development of offshore wind power.

The functions of the office, which is funded exclusively from federal and restricted receipts funds include: developing and implementing wind energy, energy efficiency, resource management, information and education, and low income energy assistance projects and programs.

Program Objectives

Increase supplies of clean reliable energy, reduce reliance on imported energy and reduce energy costs and consumption in all sectors by; increasing energy efficiency, diversifying energy resources, and providing low income energy assistance.

To ensure that programs of the Office are efficiently organized and implemented.

Statutory History

The Office of Energy Resources was created in 2006 to consolidate energy policies of state government. R.I.G.L. 42-140. Additional mandates of the Office are outlined in R.I.G.L. 23-82.6, 39-1-27.7 through 10, and 42-140.

The Budget

Department of Administration Office of Energy Resources

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | 1,927,820 | 1,906,625 | 1,605,925 | 2,101,221 |
| Operating Supplies and Expenses | - | 130,615 | 252,791 | 306,703 | 138,235 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 21,620,933 | 18,763,175 | 54,201,942 | 58,667,243 |
| Subtotal: Operating Expenditures | - | \$23,679,368 | \$20,922,591 | \$56,114,570 | \$60,906,699 |
| Capital Purchases and Equipment | - | 9,317 | 27,400 | 41,538 | 5,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | \$23,688,685 | \$20,949,991 | \$56,156,108 | \$60,911,699 |
| Expenditures By Funds | | | | | |
| General Revenue | - | 2,350,446 | - | - | - |
| Federal Funds | - | 21,267,938 | 18,079,657 | 49,447,555 | 53,580,328 |
| Restricted Receipts | - | 70,301 | 2,870,334 | 6,708,553 | 7,331,371 |
| Total Expenditures | - | \$23,688,685 | \$20,949,991 | \$56,156,108 | \$60,911,699 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration General

Program Operations

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Grants and benefits reflect grants made to certain organizations and funds provided to certain entities, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation, the Slater Centers of Excellence and the RI Research Alliance.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state; provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sum."

Program Objectives

To maintain a statewide accounting for all expenditures which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which fund various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

The Budget

Department of Administration General

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| General | 2,202,155 | 23,332,074 | 1,378,997 | 1,378,997 | 44,329,477 |
| Capital Projects | 4,725,204 | 11,051,037 | 35,518,000 | 35,038,270 | 25,702,838 |
| Grants and Other Payments | 1,107,060 | 2,405,656 | 1,279,958 | 12,379,958 | 2,279,958 |
| Economic Development | 13,784,274 | 13,325,838 | 11,529,561 | 11,529,561 | 11,553,807 |
| State Aid to Local Communities | 253,359,516 | 241,712,917 | 245,661,073 | 216,828,029 | 186,877,942 |
| Housing | 202,951 | 3,649 | - | - | - |
| Total Expenditures | \$275,381,160 | \$291,831,171 | \$295,367,589 | \$277,154,815 | \$270,744,022 |
| Expenditures By Object | | | | | |
| Personnel | 743,283 | 365,084 | - | - | - |
| Operating Supplies and Expenses | 1,052,456 | 4,648,225 | 791,502 | 1,891,502 | 1,791,502 |
| Aid To Local Units Of Government | 254,228,510 | 242,994,334 | 246,618,570 | 217,785,526 | 187,835,439 |
| Assistance, Grants and Benefits | 1,628,050 | 7,189,579 | 6,030,776 | 16,030,776 | 6,030,776 |
| Subtotal: Operating Expenditures | \$257,652,299 | \$255,197,222 | \$253,440,848 | \$235,707,804 | \$195,657,717 |
| Capital Purchases and Equipment | 3,659,869 | 10,015,006 | 35,627,500 | 35,147,770 | 25,812,338 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 14,068,992 | 26,618,943 | 6,299,241 | 6,299,241 | 49,273,967 |
| Total Expenditures | \$275,381,160 | \$291,831,171 | \$295,367,589 | \$277,154,815 | \$270,744,022 |
| Expenditures By Funds | | | | | |
| General Revenue | 269,187,127 | 285,395,854 | 262,570,592 | 234,837,548 | 233,662,187 |
| Federal Funds | 202,773 | 3,649 | - | 10,000,000 | 10,000,000 |
| Restricted Receipts | 1,266,056 | 3,780,631 | 1,378,997 | 1,378,997 | 1,378,997 |
| Other Funds | 4,725,204 | 2,651,037 | 31,418,000 | 30,938,270 | 25,702,838 |
| Total Expenditures | \$275,381,160 | \$291,831,171 | \$295,367,589 | \$277,154,815 | \$270,744,022 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Debt Service Payments

Program Operations

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation issued by the state. Moreover, this program encompasses leases or trust agreements securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Program Objectives

To maintain a statewide accounting of all general obligation debt service and other long term obligations.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

The Budget

Department of Administration Debt Service Payments

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| General Obligation Bonds | 83,585,159 | 81,494,949 | 92,885,273 | 85,084,679 | 96,607,293 |
| Certificates of Participation | 19,933,866 | 21,683,643 | 25,628,640 | 24,177,188 | 31,277,210 |
| COPS - DLT Center General | 2,035,846 | 1,669,378 | 1,570,506 | 1,570,507 | 2,014,125 |
| COPS - Pastore Center Telecomm. | - | (1) | - | - | - |
| RIRBA Debt Service | 19,670,359 | 19,811,098 | 18,954,691 | 18,931,289 | 6,349,778 |
| Tax Anticipation/S T Borrowing | 2,939,216 | 4,783,265 | 9,670,209 | 5,411,790 | 5,411,790 |
| Other Debt Service | 30,164,632 | 40,556,895 | 40,518,590 | 43,467,507 | 65,813,215 |
| Total Expenditures | \$158,329,078 | \$169,999,227 | \$189,227,909 | \$178,642,960 | \$207,473,411 |
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | 134,317 | 186,474 | 115,000 | 244,845 | 244,845 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$134,317 | 186,474 | \$115,000 | \$244,845 | \$244,845 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | 158,194,761 | 169,812,753 | 189,112,909 | 178,398,115 | 207,228,566 |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$158,329,078 | \$169,999,227 | \$189,227,909 | \$178,642,960 | \$207,473,411 |
| Expenditures By Funds | | | | | |
| General Revenue | 86,817,336 | 131,002,792 | 141,624,151 | 136,402,384 | 157,753,100 |
| Federal Funds | 1,154,683 | 931,418 | 735,248 | 650,077 | 809,471 |
| Restricted Receipts | 1,765,675 | 3,584,918 | 4,383,227 | 4,040,732 | 6,309,696 |
| Other Funds | 68,591,384 | 34,480,099 | 42,485,283 | 37,549,767 | 42,601,144 |
| Total Expenditures | \$158,329,078 | \$169,999,227 | \$189,227,909 | \$178,642,960 | \$207,473,411 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Administration Security Services

Program Operations

The sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of sheriffs.

Statutory History

Chapter 21-11 of the Rhode Island General laws, 42-11-21 established a division of sheriffs within the Department of Administration.

The Budget

Department of Administration Security Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 14,945,127 | 15,198,469 | 14,692,638 | 15,388,355 | 16,233,881 |
| Operating Supplies and Expenses | 943,654 | 1,036,114 | 785,656 | 1,040,127 | 1,001,407 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 3,610 | 380 | - | - | - |
| Subtotal: Operating Expenditures | \$15,892,391 | \$16,234,963 | \$15,478,294 | \$16,428,482 | \$17,235,288 |
| Capital Purchases and Equipment | 4,175 | - | 10,000 | 5,500 | 5,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$15,896,566 | \$16,234,963 | \$15,488,294 | \$16,433,982 | \$17,240,788 |
| Expenditures By Funds | | | | | |
| General Revenue | 15,896,566 | 16,234,963 | 15,488,294 | 16,433,982 | 17,240,788 |
| Total Expenditures | \$15,896,566 | \$16,234,963 | \$15,488,294 | \$16,433,982 | \$17,240,788 |

The Program

Department of Administration Salary / Benefit Adjustments

Program Objectives

This Department of Administration program was created to record proposed statewide savings in FY 2009 attributable to cost reductions both in the payment of benefits and in reductions of personnel. The FY 2009 Revised Budget reflects the allocation of these personnel reforms to all state agencies. The following statewide savings adjustments are shown as subprograms within the Department of Administration.

- **Retirement Vacancy Savings** – The FY 2009 Enacted Budget included statewide personnel savings anticipated to result from extraordinary retirements in FY 2008 and early FY 2009. The enacted budget assumes savings from maintaining at least 400 FTE positions vacant subsequent to these retirements. These savings were enacted under the Department of Administration in the FY 2009 Appropriations Act, with the intent that the savings be allocated to the various departments and agencies during the fiscal year.
- **Personnel Savings** – The FY 2009 Enacted Budget included statewide reductions for non-retirement personnel savings. These savings are to be achieved through various means, including uncompensated leave, changes in medical insurance co-pays and changes in medical plan design. These savings were enacted under the Department of Administration in the FY 2009 Appropriations Act, with the intent that the savings be allocated to the various departments and agencies during the fiscal year.

The Budget

Department of Administration Salary / Benefit Adjustments

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|-----------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Retirement Vacancy Savings | - | - | (30,542,157) | - | - |
| Personnel Savings | - | - | (60,601,601) | - | - |
| Total Expenditures | - | - | (\$91,143,758) | - | - |
| Expenditures By Object | | | | | |
| Personnel | - | - | (91,143,758) | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | - | - | (\$91,143,758) | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | - | (\$91,143,758) | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | (50,227,972) | - | - |
| Federal Funds | - | - | (15,005,729) | - | - |
| Restricted Receipts | - | - | (3,105,787) | - | - |
| Other Funds | - | - | (22,804,270) | - | - |
| Total Expenditures | - | - | (\$91,143,758) | - | - |

The Program

Department of Administration Operational Savings

Program Objectives

The Operational Savings program recommends that the state purchase equipment maintenance insurance on a consolidate basis from an insurance company rather than purchasing maintenance service agreements from the original manufacture. This program has been excluded as part of the FY 2009/2010 budget as the department awaits the “baseline” analysis from its awarded bidder. When the savings are determined, sometime in the spring of 2009, they will be communicated to the State Budget Office to incorporate in the FY 2011 budget.

The Budget

Department of Administration Operational Savings

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|----------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | (1,178,940) | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | - | - | (\$1,178,940) | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | - | (\$1,178,940) | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | (560,942) | - | - |
| Federal Funds | - | - | (253,130) | - | - |
| Restricted Receipts | - | - | (182,434) | - | - |
| Other Funds | - | - | (182,434) | - | - |
| Total Expenditures | - | - | (\$1,178,940) | - | - |

The Program

Department of Administration Internal Service Programs

Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. Facilities management is responsible operations, maintenance and repair functions of various state buildings.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Administration Internal Service Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Workers' Compensation Fund | 28,908,869 | 38,139,495 | 28,747,957 | 38,767,760 | 31,824,722 |
| Central Utilities Fund | 22,760,184 | 15,314,153 | 24,635,247 | 24,521,355 | 24,525,696 |
| Energy Revolving Loan Fund | - | 1,338,861 | - | - | - |
| Central Mail Rotary | 5,405,581 | 4,796,214 | 5,605,880 | 5,450,595 | 5,582,779 |
| Telecommunications Fund | 2,977,094 | 2,565,987 | 2,847,323 | 3,415,685 | 3,508,119 |
| Automotive Fleet Rotary | 12,661,894 | 11,762,538 | 17,110,172 | 18,868,055 | 16,908,646 |
| Surplus Property | 3,743 | 2,763 | - | - | - |
| Health Insurance Fund | 214,579,436 | 248,355,086 | 257,686,908 | 278,102,915 | 306,132,044 |
| Total Expenditures | \$287,296,801 | \$322,275,097 | \$336,633,487 | \$369,126,365 | \$388,482,006 |
| Expenditures By Object | | | | | |
| Personnel | 243,193,311 | 285,577,292 | 286,902,277 | 317,352,264 | 338,516,056 |
| Operating Supplies and Expenses | 41,830,198 | 33,416,741 | 46,568,832 | 46,418,600 | 46,925,368 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 259,437 | 96,929 | 425,737 | 425,737 | 425,760 |
| Subtotal: Operating Expenditures | \$285,282,946 | \$319,090,962 | \$333,896,846 | \$364,196,601 | \$385,867,184 |
| Capital Purchases and Equipment | 554,817 | 99,045 | 2,667,500 | 4,917,500 | 2,607,500 |
| Debt Service | 110,789 | 69,141 | 69,141 | 12,264 | 7,322 |
| Operating Transfers | 1,348,249 | 3,015,949 | - | - | - |
| Total Expenditures | \$287,296,801 | \$322,275,097 | \$336,633,487 | \$369,126,365 | \$388,482,006 |
| Expenditures By Funds | | | | | |
| Internal Service Funds | 287,296,801 | 322,275,097 | 336,633,487 | 369,126,365 | 388,482,006 |
| Total Expenditures | \$287,296,801 | \$322,275,097 | \$336,633,487 | \$369,126,365 | \$388,482,006 |

The Agency

Department of Business Regulation

Agency Operations

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of seven divisions and Central Management, which includes budget, personnel, and legal. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals, Insurance and Building Contractors.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, and Racing and Athletics Hearing Board. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws §§ 42-14-1 et seq.

The Budget

Department of Business Regulation

| | FY 2006 Actual | FY 2007 Actual | FY 2009 Enacted | FY 2009 Revised | 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
| Expenditures by Program | | | | | |
| Central Management | 1,202,297 | 1,204,483 | 1,133,343 | 1,063,601 | 1,084,422 |
| Securities Regulation | 892,904 | 951,245 | 954,300 | 826,316 | 838,110 |
| Racing and Athletics | 97 | - | - | - | - |
| Insurance Regulation | 5,543,348 | 5,618,200 | 5,534,290 | 5,707,544 | 5,810,982 |
| Board of Accountancy | 143,242 | 152,876 | 156,595 | 160,862 | 164,526 |
| Banking and Securities | 1,947,251 | 1,970,273 | 2,105,142 | 1,828,159 | 1,874,677 |
| Commercial Licensing , Racing & Athletics | 1,515,981 | 1,567,683 | 1,451,807 | 1,200,787 | 1,244,753 |
| Board for Design Professionals | 374,210 | 347,410 | 328,227 | 315,572 | 314,575 |
| Building Contractors' Registration Board | - | - | - | - | 1,113,397 |
| Total Expenditures | \$11,619,330 | \$11,812,170 | \$11,663,704 | \$11,102,841 | \$12,445,442 |
| Expenditures By Object | | | | | |
| Personnel | 10,131,903 | 10,269,534 | 10,779,358 | 10,159,067 | 11,510,922 |
| Operating Supplies and Expenses | 1,204,409 | 1,328,946 | 789,212 | 763,959 | 840,620 |
| Aid To Local Units Of Government | (400) | - | - | - | - |
| Assistance, Grants and Benefits | 269,946 | 205,561 | 80,000 | 160,515 | 80,000 |
| Subtotal: Operating Expenditures | \$11,605,858 | \$11,804,041 | \$11,648,570 | \$11,083,541 | \$12,431,542 |
| Capital Purchases and Equipment | 13,472 | 8,129 | 15,134 | 19,300 | 13,900 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$11,619,330 | \$11,812,170 | \$11,663,704 | \$11,102,841 | \$12,445,442 |
| Expenditures By Funds | | | | | |
| General Revenue | 10,859,698 | 10,333,679 | 10,118,066 | 9,307,245 | 9,577,234 |
| Federal Funds | 43,291 | 114,130 | - | 87,641 | - |
| Restricted Receipts | 716,341 | 1,364,361 | 1,545,638 | 1,707,955 | 2,868,208 |
| Total Expenditures | \$11,619,330 | \$11,812,170 | \$11,663,704 | \$11,102,841 | \$12,445,442 |
| FTE Authorization | 103.0 | 102.0 | 97.0 | 91.0 | 103.0 |
| Agency Measures | | | | | |
| Minorities as Percentage of Workforce | 4.0% | 4.0% | 4.0% | 4.0% | 4.0% |
| Females as Percentage of Workforce | 54.0% | 54.0% | 54.0% | 54.0% | 54.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |

The Program

Department of Business Regulation Central Management

Program Operations

Central Management is composed of Director's office budget, personnel, and legal. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Associate Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I. General Law §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department of Business Regulation Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 980,267 | 974,315 | 1,061,798 | 1,015,159 | 1,038,169 |
| Operating Supplies and Expenses | 218,241 | 229,976 | 68,445 | 46,342 | 44,153 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,198,508 | \$1,204,291 | \$1,130,243 | \$1,061,501 | \$1,082,322 |
| Capital Purchases and Equipment | 3,789 | 192 | 3,100 | 2,100 | 2,100 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,202,297 | \$1,204,483 | \$1,133,343 | \$1,063,601 | \$1,084,422 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,202,297 | 1,204,483 | 1,133,343 | 1,063,601 | 1,084,422 |
| Total Expenditures | \$1,202,297 | \$1,204,483 | \$1,133,343 | \$1,063,601 | \$1,084,422 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Business Regulation Banking and Securities Regulation

Program Operations

Banking and Securities Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations. The division is also responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives and also for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act.

With respect to Banking, the division is responsible for regulating, monitoring and examining thirty-four (34) state-chartered financial institutions, Rhode Island bank holding companies, credit unions and one thousand nine hundred ninety three (1,993) banking licensee locations as of July 2006. The division also processed approximately sixty-six thousand seven hundred (66,700) securities licenses and nine thousand five hundred (9,500) securities registrations.

Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

R.I. General Laws Chapters §§19-1 to 19-14.7 charge the Division with the regulation of financial institutions, Rhode Island bank holding companies, credit unions as well as lender, loan broker, small loan lender, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management company licensees. RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I. General Laws §§34-23 to 34-27 relate to Mortgages. R.I. General Laws §§19-14.3, 19-14.4, 19-14.6 and 19-14.7 relate to the Sale of Checks, Electronic Money Transfers, Check Cashing, and Foreign Exchange Transactions and Debt Management Companies. The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), Rhode Island General Laws §7-11; the Franchise Investment Act, R.I. General Law §19-28.1; the Charitable Solicitation Act, R.I. General Law §5-53.1; and the Real Estate Time-Share Act, R.I. General Law §34-41.

The Budget

Department of Business Regulation Banking and Securities Regulation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,800,923 | 1,750,902 | 1,943,371 | 1,657,676 | 1,704,153 |
| Operating Supplies and Expenses | 144,935 | 215,470 | 156,771 | 165,483 | 165,524 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,945,858 | \$1,966,372 | \$2,100,142 | \$1,823,159 | \$1,869,677 |
| Capital Purchases and Equipment | 1,393 | 3,901 | 5,000 | 5,000 | 5,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,947,251 | \$1,970,273 | \$2,105,142 | \$1,828,159 | \$1,874,677 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,947,251 | 1,916,394 | 1,980,142 | 1,703,159 | 1,749,677 |
| Restricted Receipts | - | 53,879 | 125,000 | 125,000 | 125,000 |
| Total Expenditures | \$1,947,251 | \$1,970,273 | \$2,105,142 | \$1,828,159 | \$1,874,677 |
| Program Measures | | | | | |
| Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |
| Percentage of Other Lending Licenses Examined in Substantial Compliance with the Banking Code | 85.0% | 44.0% | 60.0% | 60.0% | 60.0% |
| Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |

The Program

Department of Business Regulation Securities Regulation

Program Operations

Securities Regulation was responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division was also responsible for enforcing compliance with the State's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2008 the division processed approximately 80,295 licenses and 11,165 registrations, conducted 15 on-site examinations of broker-dealers and investment advisers, 48 complaints, and instituted 18 enforcement actions, pursuant to applicable state and federal laws and regulations.

Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

The division was charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Law 7-11; the Franchise Investment Act, R.I. General Law 19-28.1; the Charitable Solicitation Act, R.I. General Law 5-53.1; and the Real Estate Time-Share Act, R.I. General Law 34-41.

The Budget

Department of Business Regulation Securities Regulation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 783,337 | 820,245 | 905,296 | 776,332 | 790,463 |
| Operating Supplies and Expenses | 109,567 | 131,000 | 47,570 | 48,584 | 47,647 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$892,904 | \$951,245 | \$952,866 | \$824,916 | \$838,110 |
| Capital Purchases and Equipment | - | - | 1,434 | 1,400 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$892,904 | \$951,245 | \$954,300 | \$826,316 | \$838,110 |
| Expenditures By Funds | | | | | |
| General Revenue | 892,904 | 940,311 | 929,300 | 811,316 | 823,110 |
| Restricted Receipts | - | 10,934 | 25,000 | 15,000 | 15,000 |
| Total Expenditures | \$892,904 | \$951,245 | \$954,300 | \$826,316 | \$838,110 |
| Program Measures | | | | | |
| Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act | - | - | - | - | - |

The Program

Department of Business Regulation Commercial Licensing, Racing and Athletics

Program Operations

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, *travel agencies and travel agents, upholsterers, *alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

Statutory History

R.I. General Law 5-58 relates to auctioneers; R.I. General Law 5-20.5 relates to real estate; R.I. General Law 5-20.7 relates to real estate appraisers; R.I. General Law 5-38 relates to automobile body repair shops; R.I. General Law 5-50 relates to pre-opening of health club sales campaigns; *R.I. General Law 5-52 relates to travel agencies; *R.I. General Law 5-57 relates to burglar and hold-up alarm businesses; R.I. General Law 6-31 relates to unit pricing; R.I. General Law 23-26 relates to bedding and upholstered furniture; R.I. General Laws 31-44 & 31-44.1 relate to mobile and manufactured homes; R.I. General Law 42-14.2 relates to auto wrecking and salvage yards; R.I. General Law 31-37 relates to advertising and sale of motor fuel at retail, R.I. General Law 31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law 3-1 relates to alcoholic beverages. R.I. General Law 41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

*Travel repealed on 6-6-2008

*Alarms Regulation transferred to Department of Labor and Training on 7-1-2008.

The Budget

Department of Business Regulation Commercial Licensing, Racing & Athletics

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,281,656 | 1,262,920 | 1,261,821 | 993,053 | 1,034,174 |
| Operating Supplies and Expenses | 256,877 | 279,428 | 106,686 | 124,434 | 127,279 |
| Aid To Local Units Of Government | (400) | - | - | - | - |
| Assistance, Grants and Benefits | (24,000) | 25,335 | 80,000 | 80,000 | 80,000 |
| Subtotal: Operating Expenditures | \$1,514,133 | \$1,567,683 | \$1,448,507 | \$1,197,487 | \$1,241,453 |
| Capital Purchases and Equipment | 1,848 | - | 3,300 | 3,300 | 3,300 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,515,981 | \$1,567,683 | \$1,451,807 | \$1,200,787 | \$1,244,753 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,540,856 | 1,131,783 | 963,559 | 777,499 | 795,557 |
| Restricted Receipts | (24,875) | 435,900 | 488,248 | 423,288 | 449,196 |
| Total Expenditures | \$1,515,981 | \$1,567,683 | \$1,451,807 | \$1,200,787 | \$1,244,753 |
| Program Measures | | | | | |
| Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code | 95.0% | 95.0% | 99.7% | 99.7% | 99.7% |
| Percentage of Tested Greyhounds Testing Negative for Chemical Substances | 99.9% | 99.9% | 99.9% | 99.9% | 99.0% |

The Program

Department of Business Regulation Insurance Regulation

Program Operations

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it received in June of 1993. The division was once again accredited in December 1998 and again in December 2003, each time receiving the maximum accreditation period of five years.

Program Objectives

To monitor effectively the financial condition and market conduct of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

Statutory History

All chapters of R.I.G.L. 27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department of Business Regulation Insurance Regulation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,863,083 | 5,047,555 | 5,215,399 | 5,322,420 | 5,493,120 |
| Operating Supplies and Expenses | 379,877 | 386,383 | 318,391 | 297,109 | 314,362 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 293,946 | 180,226 | - | 80,515 | - |
| Subtotal: Operating Expenditures | \$5,536,906 | \$5,614,164 | \$5,533,790 | \$5,700,044 | \$5,807,482 |
| Capital Purchases and Equipment | 6,442 | 4,036 | 500 | 7,500 | 3,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$5,543,348 | \$5,618,200 | \$5,534,290 | \$5,707,544 | \$5,810,982 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,758,841 | 4,640,422 | 4,626,900 | 4,475,236 | 4,645,367 |
| Federal Funds | 43,291 | 114,130 | - | 87,641 | - |
| Restricted Receipts | 741,216 | 863,648 | 907,390 | 1,144,667 | 1,165,615 |
| Total Expenditures | \$5,543,348 | \$5,618,200 | \$5,534,290 | \$5,707,544 | \$5,810,982 |
| Program Measures | | | | | |
| Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations) | 100.0% | 100.0% | 100.0% | 100.0% | 90.0% |

The Program

Department of Business Regulation Board of Accountancy

Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues license certificates and annual permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Program Objectives

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Statutory History

R.I. General Laws §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department of Business Regulation Board of Accountancy

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 133,959 | 143,299 | 146,410 | 149,135 | 152,729 |
| Operating Supplies and Expenses | 9,283 | 9,577 | 10,185 | 11,727 | 11,797 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$143,242 | \$152,876 | \$156,595 | \$160,862 | \$164,526 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$143,242 | \$152,876 | \$156,595 | \$160,862 | \$164,526 |
| Expenditures By Funds | | | | | |
| General Revenue | 143,242 | 152,876 | 156,595 | 160,862 | 164,526 |
| Total Expenditures | \$143,242 | \$152,876 | \$156,595 | \$160,862 | \$164,526 |
| Program Measures | | | | | |
| Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law | 98.0% | 98.0% | 98.0% | 98.0% | 98.0% |

The Program

Department of Business Regulation Design Professionals

Program Operations

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as the division know as the division of Design Professionals.

Program Objectives

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the 1975.

The Budget

Department of Business Regulation Design Professionals

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 288,581 | 270,298 | 245,263 | 245,292 | 249,093 |
| Operating Supplies and Expenses | 85,629 | 77,112 | 81,164 | 70,280 | 65,482 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$374,210 | \$347,410 | \$326,427 | \$315,572 | \$314,575 |
| Capital Purchases and Equipment | - | - | 1,800 | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$374,210 | \$347,410 | \$328,227 | \$315,572 | \$314,575 |
| Expenditures By Funds | | | | | |
| General Revenue | 374,210 | 347,410 | 328,227 | 315,572 | 314,575 |
| Total Expenditures | \$374,210 | \$347,410 | \$328,227 | \$315,572 | \$314,575 |
| Program Measures | | | | | |
| Ratio of Complaint Cases Successfully Resolved to Cases Filed | 0.62 | 0.75 | 0.75 | 0.75 | 0.75 |

The Program

Department of Business Regulation Building Contractors' Registration Board

Program Operations

The Building Contractors' Registration and Licensing Board was previously housed within the Department of Administration. The Board became a Division within the Department of Business Regulation in FY 2010.

The Building Contractors' Registration Board is responsible for registering building contractors and licensing Home Inspectors and Commercial Roofers. The Board investigates complaints and conducts consumer protection activities by mediating disputes between property owners and contractors.

Program Objectives

To protect the public from unscrupulous building contractors and poor workmanship.

Statutory History

R.I.G.L. 5-56-1 et. seq. establishes the duties, authority and responsibility of the Building Contractors' Registration Board: as well as R.I.G.L. 5-65.1 et. seq. and R.I.G.L. 5-73 et. Seq.

The Budget

Department of Business Regulation Building Contractors' Registration Board

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | 1,049,021 |
| Operating Supplies and Expenses | - | - | - | - | 64,376 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | - | - | - | - | \$1,113,397 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | - | - | - | \$1,113,397 |
| | | | | | |
| Expenditures By Funds | | | | | |
| Restricted Receipts | - | - | - | - | \$1,113,397 |
| Total Expenditures | - | - | - | - | \$1,113,397 |
| | | | | | |
| Program Measures | | | | | |
| Annual Inflation-Adjusted Dollar Value of Repair Services and Cash Settlements to Rhode Island Consumers Secured by the Building Contractors' Registration Board | | | | | |
| | - | - | - | - | \$590,593 |

The Agency

Department of Labor and Training

Agency Operations

The Department of Labor and Training provides a comprehensive array of employment and training services to Rhode Islanders and administers the laws governing workforce regulation, safety and labor law enforcement. The Department consists of the following six programs: Executive Management, Income Support, Workforce Development Services, Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance, Temporary Disability Insurance, and the Police and Fire Relief Fund.

The Workforce Development Program consists of employment and training programs designed to help individuals find gainful employment and employers suitable workers. It includes the Governor's Workforce Board Rhode Island develops of strategic plans, policies, and program development to coordinate employment and training related programs link these activities with key partners and stakeholder to create and address a demand-driven workforce agenda for the state.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. Donley Center provides rehabilitation services for workers injured on the job. The Workers' Compensation Education unit provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations collective bargaining elections and investigates charges of unfair practices.

Agency Objectives

To provide the public programs for workforce development, income support, injured workers services, and workforce regulation and safety that exceed customer expectations, lead to an improved economy, and enhance the quality of life for all residents.

Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

The Budget

Department of Labor and Training

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 617,432 | 644,125 | 674,802 | 844,729 | 1,930,368 |
| Workforce Development Services | 22,116,157 | 25,259,032 | 32,206,966 | 35,892,721 | 46,192,749 |
| Workforce Regulation and Safety | 3,383,285 | 2,551,459 | 2,377,263 | 2,136,258 | 2,254,033 |
| Income Support | 410,704,107 | 460,949,567 | 437,470,777 | 596,884,383 | 663,893,698 |
| Injured Workers Services | 9,821,682 | 9,960,844 | 11,614,555 | 12,103,696 | 11,387,196 |
| Labor Relations Board | 354,544 | 297,108 | 461,579 | 426,206 | 426,605 |
| Total Expenditures | \$446,997,207 | \$499,662,135 | \$484,805,942 | \$648,287,993 | \$726,084,649 |
| Expenditures By Object | | | | | |
| Personnel | 37,954,424 | 38,130,788 | 39,082,187 | 42,187,979 | 40,076,312 |
| Operating Supplies and Expenses | 4,760,563 | 4,797,171 | 5,179,972 | 5,171,074 | 6,266,551 |
| Aid To Local Units Of Government | 261,050 | - | - | - | - |
| Assistance, Grants and Benefits | 389,722,586 | 442,817,174 | 429,540,485 | 584,832,504 | 663,160,080 |
| Subtotal: Operating Expenditures | \$432,698,623 | \$485,745,133 | \$473,802,644 | \$632,191,557 | \$709,502,943 |
| Capital Purchases and Equipment | 363,326 | 761,145 | 933,759 | 415,030 | 2,275,462 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 13,935,258 | 13,155,857 | 10,069,539 | 15,681,406 | 14,306,244 |
| Total Expenditures | \$446,997,207 | \$499,662,135 | \$484,805,942 | \$648,287,993 | \$726,084,649 |
| Expenditures By Funds | | | | | |
| General Revenue | 7,146,778 | 6,377,174 | 6,513,092 | 6,696,080 | 6,667,994 |
| Federal Funds | 29,931,444 | 28,883,497 | 35,594,755 | 56,577,194 | 92,322,826 |
| Restricted Receipts | 15,552,019 | 20,098,434 | 24,905,914 | 24,701,721 | 25,314,950 |
| Other Funds | 394,366,966 | 444,303,030 | 417,792,181 | 560,312,998 | 601,778,879 |
| Total Expenditures | \$446,997,207 | \$499,662,135 | \$484,805,942 | \$648,287,993 | \$726,084,649 |
| FTE Authorization | 467.9 | 417.5 | 407.2 | 395.3 | 396.3 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.2% | 13.2% | 13.4% | 13.4% | 13.6% |
| Females as a Percentage of the Workforce | 67.0% | 68.2% | 68.0% | 68.0% | 68.4% |
| Persons with Disabilities as a Percentage of the Workforce | 2.5% | 2.6% | 2.7% | 2.7% | 2.8% |

The Program

Department of Labor and Training Central Management

Program Operations

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operates a central stock and mail room, coordinates the maintenance and support of facilities and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Program Objectives

To provide leadership, management and strategic planning in the development and implementation of a cost efficient and productive system of service delivery.

To provide competent legal representation and consultation to all departmental staff requiring such service.

To provide comprehensive financial management, staff development and management information services to all divisions within the department.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

The Budget

Department of Labor and Training Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 533,633 | 543,263 | 469,175 | 769,486 | 827,332 |
| Operating Supplies and Expenses | 81,382 | 74,031 | 82,549 | 66,144 | 63,710 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,327 | 9,661 | 2,374 | 2,394 | 2,419 |
| Subtotal: Operating Expenditures | \$617,342 | \$626,955 | \$554,098 | \$838,024 | \$893,461 |
| Capital Purchases and Equipment | 90 | 17,170 | 120,704 | 6,705 | 1,036,907 |
| Debt Service | - | - | - | - | - |
| Total Expenditures | \$617,432 | \$644,125 | \$674,802 | \$844,729 | \$1,930,368 |
| Expenditures By Funds | | | | | |
| General Revenue | 139,264 | 139,871 | 184,235 | 288,992 | 310,311 |
| Restricted Receipts | 478,168 | 504,254 | 490,567 | 550,106 | 832,687 |
| Other Funds | - | - | - | 5,631 | 787,370 |
| Total Expenditures | \$617,432 | \$644,125 | \$674,802 | \$844,729 | \$1,930,368 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Department of Labor and Training Workforce Development Services

Program Operations

The Workforce Development Services Program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic readjustment and retraining.

The Employment Service program provides our customers with employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs.

The veterans' program provides the same services to veterans as it does to customers through a specialized veteran staff. Veterans are given priority on referrals for all job orders.

The Workforce Investment Act program provides employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training.

The Labor Market Information (LMI) program operates as a clearing office for a employment statistics and demographic information.

The Governor's Workforce Board Rhode Island establishes policies, goals and guidelines to coordinate employment and training related programs and supports efforts to link these activities with economic development strategies. The board oversees funding ensuring that strategic investments are made. A sub committee of the Governor's Workforce Board, the Human Resource Investment Council, under authority granted by R.I.G.L. 42-102, administers the Job Development Fund.

Program Objectives

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals find jobs.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

The Budget

Department of Labor and Training Workforce Development Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Employment Services | 6,348,448 | 5,224,710 | 4,169,532 | 7,086,406 | 18,980,161 |
| JPTA & Other Training Programs | 10,894,356 | 11,083,120 | 15,425,255 | 16,806,553 | 14,129,424 |
| Labor Market Information | 661,636 | 642,864 | 789,768 | 758,860 | 752,642 |
| Human Resource Investment Council | 3,656,028 | 7,799,419 | 11,286,454 | 10,709,049 | 11,793,736 |
| Veteran Services | 555,689 | 508,919 | 535,957 | 531,853 | 536,786 |
| Total Expenditures | \$22,116,157 | \$25,259,032 | \$32,206,966 | \$35,892,721 | \$46,192,749 |
| Expenditures By Object | | | | | |
| Personnel | 11,721,635 | 12,279,234 | 12,908,166 | 12,460,608 | 11,177,771 |
| Operating Supplies and Expenses | 2,149,933 | 2,123,607 | 2,243,499 | 2,246,542 | 1,938,520 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 8,117,178 | 9,884,527 | 16,991,627 | 19,768,126 | 32,213,416 |
| Subtotal: Operating Expenditures | \$21,988,746 | \$24,287,368 | \$32,143,292 | \$34,475,276 | \$45,329,707 |
| Capital Purchases and Equipment | 93,034 | 398,035 | 63,674 | 21,853 | 62,273 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 34,377 | 573,629 | - | 1,395,592 | 800,769 |
| Total Expenditures | \$22,116,157 | \$25,259,032 | \$32,206,966 | \$35,892,721 | \$46,192,749 |
| Expenditures By Funds | | | | | |
| General Revenue | 473 | - | 101,561 | 95,589 | 95,409 |
| Federal Funds | 13,471,091 | 14,281,337 | 19,334,057 | 21,571,056 | 34,303,604 |
| Restricted Receipts | 3,656,028 | 7,799,419 | 11,286,454 | 10,709,049 | 11,793,736 |
| Other Funds | 4,988,565 | 3,178,276 | 1,484,894 | 3,517,027 | - |
| Total Expenditures | \$22,116,157 | \$25,259,032 | \$32,206,966 | \$35,892,721 | 46,192,749 |
| Program Measures | | | | | |
| Adult Dislocated Worker Retention Rate | 85.3% | 87.8% | 92.0% | 92.0% | 92.0% |

The Program

Department of Labor and Training Workforce Regulation and Safety

Program Operations

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the states' workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety Unit safeguards public and private sectors workplace environments by enforcing laws relating to safety compliance, elevators, boilers, and hazardous substances.

The Trade Licensing unit licenses trade members, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Program Objectives

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

The Budget

Department of Labor and Training Workforce Regulation and Safety

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Labor Standards | 1,233,267 | 606,685 | 589,715 | 440,454 | 447,021 |
| Occupational Safety | 1,074,687 | 1,046,426 | 837,786 | 862,660 | 870,316 |
| Professional Regulations | 1,075,331 | 898,348 | 949,762 | 833,144 | 936,696 |
| Total Expenditures | \$3,383,285 | \$2,551,459 | \$2,377,263 | \$2,136,258 | \$2,254,033 |
| Expenditures By Object | | | | | |
| Personnel | 2,730,170 | 2,367,138 | 2,155,588 | 1,950,089 | 2,066,029 |
| Operating Supplies and Expenses | 197,574 | 180,674 | 204,116 | 182,485 | 184,284 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 441,706 | 3,485 | 3,449 | 3,520 | 3,555 |
| Subtotal: Operating Expenditures | \$3,369,450 | \$2,551,297 | \$2,363,153 | \$2,136,094 | \$2,253,868 |
| Capital Purchases and Equipment | 13,835 | 162 | 14,110 | 164 | 165 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,383,285 | \$2,551,459 | \$2,377,263 | \$2,136,258 | \$2,254,033 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,383,285 | 2,551,459 | 2,377,263 | 2,136,258 | 2,254,033 |
| Total Expenditures | \$3,383,285 | \$2,551,459 | \$2,377,263 | \$2,136,258 | \$2,254,033 |
| Program Measures | | | | | |
| Percentage of Boilers and Pressure Vessels Compliant with Code upon Initial Inspection | 98.5% | 92.0% | 80.0% | 80.0% | 92.0% |
| Percentage of Elevators and Escalators Compliant with Applicable Codes | 80.0% | 80.0% | 66.0% | 66.0% | 60.0% |

The Program

Department of Labor and Training Income Support

Program Operations

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, and crash rescue personnel and correctional officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at Rhode Island state colleges.

Program Objectives

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

To comply with the regulations and guidelines established by the United States Department of Labor.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

The Budget

Department of Labor and Training Income Support

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Unemployment Insurance | 233,475,212 | 278,338,304 | 256,465,467 | 410,695,008 | 474,120,553 |
| Temporary Disability Insurance Fund | 173,959,007 | 179,235,210 | 177,616,856 | 182,440,340 | 186,191,509 |
| Fire and Police | 3,269,888 | 3,376,053 | 3,388,454 | 3,749,035 | 3,581,636 |
| Total Expenditures | \$410,704,107 | \$460,949,567 | \$437,470,777 | \$596,884,383 | \$663,893,698 |
| Expenditures By Object | | | | | |
| Personnel | 15,887,993 | 15,743,367 | 15,027,265 | 18,410,467 | 18,313,825 |
| Operating Supplies and Expenses | 1,974,709 | 2,041,750 | 2,102,765 | 2,136,503 | 3,476,410 |
| Aid To Local Units Of Government | 260,904 | - | - | - | - |
| Assistance, Grants and Benefits | 378,565,453 | 430,256,987 | 409,811,102 | 561,815,914 | 627,698,116 |
| Subtotal: Operating Expenditures | \$396,689,059 | \$448,042,104 | \$426,941,132 | \$582,362,884 | \$649,488,351 |
| Capital Purchases and Equipment | 114,167 | 325,235 | 460,106 | 235,685 | 899,872 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 13,900,881 | 12,582,228 | 10,069,539 | 14,285,814 | 13,505,475 |
| Total Expenditures | \$410,704,107 | \$460,949,567 | \$437,470,777 | \$596,884,383 | \$663,893,698 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,269,888 | 3,376,053 | 3,388,454 | 3,749,035 | 3,581,636 |
| Federal Funds | 16,459,677 | 14,614,843 | 16,260,698 | 35,006,138 | 58,019,222 |
| Restricted Receipts | 1,596,141 | 1,833,917 | 1,514,338 | 1,338,870 | 1,301,331 |
| Other Funds | 389,378,401 | 441,124,754 | 416,307,287 | 556,790,340 | 600,991,509 |
| Total Expenditures | \$410,704,107 | \$460,949,567 | \$437,470,777 | \$596,884,383 | \$663,893,698 |
| Program Measures | | | | | |
| Initial Unemployment Insurance Claims Promptly Paid | 95.4% | 95.1% | 95.0% | 95.0% | 95.0% |
| Initial Unemployment Insurance Claims Accurately Paid | 95.7% | 86.8% | 95.6% | 95.6% | 95.6% |
| Percentage of Wage Information Transferred to Other States within 5 Calendar Days | 85.6% | 85.3% | 85.0% | 85.0% | 85.0% |
| Percentage of Temporary Disability Claims Filed that are Either Authorized or Disallowed within 21 Days of their Receipt | 79.3% | 72.4% | 78.8% | 78.8% | 78.8% |
| Percentage of Nonmonetary Determinations Receiving an Acceptable Grade for Completeness of Fact-Finding and Correctness | 85.4% | 88.8% | 89.0% | 89.0% | 89.0% |

The Program

Department of Labor and Training Injured Workers Services

Program Operations

The Injured Workers Services Division monitors procedures and payments made by insurance carriers to employees unable to work because of job related injury and collects and disseminates statistical data. This division also provides vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals and responds to compliance and fraud issues. The division is made up of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center and the Fraud and Prevention Compliance unit.

The Workers' Compensation (administrative) unit monitors all claim filings to ensure proper payment by the insurance carrier and maintains all corresponding records and statistical data. It creates a self-insured program for large companies who meet certain criteria.

The Education unit provides services pertaining to workplace safety and Workers' Compensation system information.

The Rehabilitation Unit, housed at the Dr. John E. Donley Rehabilitation Center provides broad-based rehabilitation programs for injured workers with the Workers' Compensation System. Services include complete evaluations and treatment programs.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution and suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer confidence with the requirements of the Workers' Compensation Act.

Program Objectives

To maintain a WC system that is fair to both employees and employers.

To maintain a WC system that emphasizes and rewards safety in the workplace.

To maintain a WC system that is cost-competitive with insurance coverage for employers available at a low cost.

To maintain a WC system that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

The Budget

Department of Labor and Training Injured Workers Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------------|--------------------|--------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Workers' Compensation Compliance | 5,505,030 | 5,600,712 | 6,697,015 | 7,407,090 | 6,519,262 |
| Education and Rehabilitation | 4,316,652 | 4,360,132 | 4,917,540 | 4,696,606 | 4,867,934 |
| Total Expenditures | \$9,821,682 | \$9,960,844 | \$11,614,555 | \$12,103,696 | \$11,387,196 |
| Expenditures By Object | | | | | |
| Personnel | 6,731,492 | 6,928,015 | 8,083,176 | 8,182,870 | 7,276,608 |
| Operating Supplies and Expenses | 376,266 | 346,062 | 527,802 | 527,727 | 591,843 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,594,910 | 2,664,926 | 2,731,858 | 3,242,482 | 3,242,506 |
| Subtotal: Operating Expenditures | \$9,702,668 | \$9,939,003 | \$11,342,836 | \$11,953,079 | \$11,110,957 |
| Capital Purchases and Equipment | 119,014 | 21,841 | 271,719 | 150,617 | 276,239 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$9,821,682 | \$9,960,844 | \$11,614,555 | \$12,103,696 | \$11,387,196 |
| Expenditures By Funds | | | | | |
| Restricted Receipts | 9,821,682 | 9,960,844 | 11,614,555 | 12,103,696 | 11,387,196 |
| Total Expenditures | \$9,821,682 | \$9,960,844 | \$11,614,555 | \$12,103,696 | \$11,387,196 |
| Program Measures | | | | | |
| Return to Work Rate | 96.0% | 90.0% | 94.0% | 94.0% | 94.0% |
| Percentage of Students Completing the Computer Skills Workshop who pass the Proficiency Exam | 94.0% | 98.0% | 100.0% | 100.0% | 100.0% |

The Program

Department of Labor and Training Labor Relations Board

Program Operations

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and the employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Program Objectives

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Statutory History

The statutory basis for the Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

The Budget

Department of Labor and Training Labor Relations Board

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 349,501 | 269,771 | 438,817 | 414,459 | 414,747 |
| Operating Supplies and Expenses | (19,301) | 31,047 | 19,241 | 11,673 | 11,784 |
| Aid To Local Units Of Government | 146 | - | - | - | - |
| Assistance, Grants and Benefits | 1,012 | (2,412) | 75 | 68 | 68 |
| Subtotal: Operating Expenditures | \$331,358 | \$298,406 | \$458,133 | \$426,200 | \$426,599 |
| Capital Purchases and Equipment | 23,186 | (1,298) | 3,446 | 6 | 6 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$354,544 | \$297,108 | \$461,579 | \$426,206 | \$426,605 |
| Expenditures By Funds | | | | | |
| General Revenue | 353,868 | 309,791 | 461,579 | 426,206 | 426,605 |
| Federal Funds | 676 | (12,683) | - | - | - |
| Total Expenditures | \$354,544 | \$297,108 | \$461,579 | \$426,206 | \$426,605 |
| Program Measures | | | | | |
| Percentage of Cases Resolved | 59.0% | 56.0% | 65.0% | 65.0% | 65.0% |

The Agency

Department of Revenue

Agency Operations

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has six programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis and Municipal Finance.

Agency Objectives

To assess and collect all taxes, fees and revenues in the most efficient and cost-effective manner.

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To administer a lottery system in the most efficient, ethical and cost-effective manner.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Program | | | | | |
| Director of Revenue | 203,409 | 451,949 | 632,972 | 621,950 | 762,988 |
| Office of Revenue Analysis | 177,138 | 30,782 | 719,927 | 498,067 | 598,055 |
| Lottery Division | 196,844,842 | 216,307,570 | 207,489,225 | 192,756,761 | 187,710,749 |
| Municipal Finance | 640,726 | 775,395 | 852,759 | 773,229 | 1,173,544 |
| Taxation | 19,109,229 | 19,088,461 | 20,679,126 | 18,242,927 | 20,204,279 |
| Registry of Motor Vehicles | 17,022,465 | 17,949,056 | 18,765,666 | 17,910,561 | 17,470,882 |
| Total Expenditures | \$233,997,809 | \$254,603,213 | \$249,139,675 | \$230,803,495 | \$227,920,497 |
| Expenditures By Object | | | | | |
| Personnel | 34,064,291 | 35,370,444 | 39,447,536 | 34,807,237 | 37,958,865 |
| Operating Supplies and Expenses | 199,688,112 | 218,990,619 | 209,323,503 | 194,849,224 | 189,448,101 |
| Aid To Local Units Of Government | 848 | - | - | - | - |
| Assistance, Grants and Benefits | 10,665 | 10,753 | 11,897 | 17,093 | 17,093 |
| Subtotal: Operating Expenditures | \$233,763,916 | \$254,371,816 | \$248,782,936 | \$229,673,554 | 227,424,059 |
| Capital Purchases and Equipment | 207,594 | 193,247 | 302,225 | 912,400 | 274,707 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 26,299 | 38,150 | 54,514 | 217,541 | 221,731 |
| Total Expenditures | \$233,997,809 | \$254,603,213 | \$249,139,675 | \$230,803,495 | \$227,920,497 |
| Expenditures By Funds | | | | | |
| General Revenue | 34,528,687 | 35,086,502 | 37,849,916 | 33,518,583 | 36,368,064 |
| Federal Funds | 1,147,680 | 1,470,903 | 1,894,095 | 2,698,597 | 1,943,239 |
| Restricted Receipts | 705,160 | 789,994 | 925,663 | 799,483 | 845,292 |
| Other Funds | 197,616,282 | 217,255,814 | 208,470,001 | 193,786,832 | 188,763,902 |
| Total Expenditures | \$233,997,809 | \$254,603,213 | \$249,139,675 | \$230,803,495 | \$227,920,497 |
| FTE Authorization | 473.0 | 475.0 | 464.0 | 410.0 | 424.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 11.3% | 11.3% | 10.0% | 10.0% | 10.2% |
| Females as a Percentage of the Workforce | 59.1% | 59.1% | 49.0% | 49.0% | 49.2% |
| Persons with Disabilities as a Percentage of the Workforce | 2.4% | 2.4% | 1.0% | 1.0% | 1.0% |

The Program

Department of Revenue Director of Revenue

Program Operations

The Director's Office oversees the overall operation of the department. The Office of Legal Services provides legal advice and support to the Director and the programs within the department.

Program Objectives

To oversee the collection of taxes, fees and revenues in conformance with legislative mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue Director of Revenue

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 198,285 | 433,363 | 602,972 | 602,466 | 743,504 |
| Operating Supplies and Expenses | 5,124 | 10,223 | 30,000 | 19,484 | 19,484 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$203,409 | \$443,586 | \$632,972 | \$621,950 | \$762,988 |
| Capital Purchases and Equipment | - | 8,363 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$203,409 | \$451,949 | \$632,972 | \$621,950 | \$762,988 |
| Expenditures By Funds | | | | | |
| General Revenue | 203,409 | 451,949 | 632,972 | 621,950 | 762,988 |
| Total Expenditures | \$203,409 | \$451,949 | \$632,972 | \$621,950 | \$762,988 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Revenue Revenue Analysis

Program Operations

The Office of Revenue Analysis is responsible for analyzing, evaluating, and appraising the tax system of the State of Rhode Island, and for making recommendations for its revision in accordance with the best interests of the economy of Rhode Island. The Office is responsible for preparing the tax expenditures report and for preparing cost benefit analyses of all tax proposals.

Program Objectives

To analyze, evaluate and appraise the tax system of the State.

To make recommendations for revisions to the tax system.

To prepare the tax expenditures report.

Statutory History

The Office of Revenue Analysis is established under R.I.G.L. 42-142-3. The requirement to prepare the tax expenditures report is established under R.I.G.L. 44-48.1-1.

The Budget

Department of Revenue Office of Revenue Analysis

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 177,138 | 18,155 | 594,927 | 368,917 | 487,505 |
| Operating Supplies and Expenses | - | 9,117 | 50,000 | 7,050 | 5,950 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$177,138 | \$27,272 | \$644,927 | \$375,967 | \$493,455 |
| Capital Purchases and Equipment | - | 3,510 | 75,000 | 122,100 | 104,600 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$177,138 | \$30,782 | \$719,927 | \$498,067 | \$598,055 |
| Expenditures By Funds | | | | | |
| General Revenue | 177,138 | 30,782 | 719,927 | 498,067 | 598,055 |
| Total Expenditures | \$177,138 | \$30,782 | \$719,927 | \$498,067 | \$598,055 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Revenue Lottery Division

Program Objectives

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 operate lottery games to generate revenues for the state. On July 1, 2005, the Lottery was made a division of the Department of Administration and on July 1, 2006, it was transferred to the new Department of Revenue. The Lottery operates as an enterprise fund and is included in the State Comprehensive Annual Financial Report.

The Lottery sells tickets for on-line games (e.g. Daily Numbers), for Keno, and for instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball tickets, collects all revenues, and remits prize funds to the Multi-State Lottery Association net of low tier prize awards

R.I.G.L. 42-61-15 stipulates that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. The Lottery is required to transfer its net income from on-line games to the state's general fund in an amount not less than 25 percent of total lottery ticket revenue. The amount transferred into the general fund from Keno shall equal no less than 15 percent of the total Keno ticket sales. Transfers are made on a monthly basis in an amount equal to estimated net income.

R.I.G.L. 42-61.2 authorizes the Lottery to conduct video lottery games at Lincoln Park and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated at these facilities is divided among the licensed video lottery retailers, the technology providers, the host municipalities, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net new revenue from the operation of 1,750 additional authorized video lottery terminals at Lincoln Park to the Narragansett Indian Tribe.

The State General Fund's share of net terminal income was no less than 52 percent in FY 2003, no less than 59.1 percent in FY 2004, no less than 60.525 percent in FY 2005, and no less than 60.4 percent in FY 2006. In 2005, the General Assembly enacted legislation that maintained the State General Fund's share of net terminal income from existing authorized video lottery terminals at no less than 60.4 percent but lowered the State General Fund's share of net new net terminal income from additional authorized video lottery terminals to be no less than 58.0 percent.

Statutory History

R.I.G.L. 42-60 provides the general authority for the State Division of Lottery. R.I.G.L. 42-142 entitled "Department of Revenue" establishes the State lottery as a division of the Department of Revenue.

The Budget

Department of Revenue Lottery Division

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,571,650 | 4,716,242 | 5,442,161 | 4,861,174 | 5,281,177 |
| Operating Supplies and Expenses | 192,185,937 | 211,561,678 | 201,922,064 | 187,591,946 | 182,241,934 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 151 | - | - | - | - |
| Subtotal: Operating Expenditures | \$196,757,738 | \$216,277,920 | \$207,364,225 | \$192,453,120 | \$187,523,111 |
| Capital Purchases and Equipment | 87,104 | (8,500) | 125,000 | 125,000 | 4,807 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | 38,150 | - | 178,641 | 182,831 |
| Total Expenditures | \$196,844,842 | \$216,307,570 | \$207,489,225 | \$192,756,761 | \$187,710,749 |
| Expenditures By Funds | | | | | |
| Other | 196,844,842 | 216,307,570 | 207,489,225 | 192,756,761 | 187,710,749 |
| Total Expenditures | \$196,844,842 | \$216,307,570 | \$207,489,225 | \$192,756,761 | \$187,710,749 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Revenue Municipal Finance

Program Operations

The Division of Municipal Finance (Property Valuation) responsibilities include the provision of technical support to municipalities and supervision of selected financial operations, distribution of state aid, and determination of community wealth for use in school aid formulas. Additionally, the Office provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan. In FY2010, the Office Of Local Government Assistance moved from the Department of Administration to the Division of Municipal Finance.

Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

Statutory History

The Division of Municipal Finance is established under R.I.G.L. 42-142-4.

The Budget

Department of Revenue Municipal Finance

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 623,922 | 755,135 | 832,309 | 751,335 | 1,143,802 |
| Operating Supplies and Expenses | 15,956 | 19,113 | 19,250 | 15,498 | 23,346 |
| Aid To Local Units Of Government | 848 | - | - | - | - |
| Assistance, Grants and Benefits | - | 1,147 | 1,200 | 6,396 | 6,396 |
| Subtotal: Operating Expenditures | \$640,726 | \$775,395 | \$852,759 | \$773,229 | \$1,173,544 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$640,726 | \$775,395 | \$852,759 | \$773,229 | \$1,173,544 |
| Expenditures By Funds | | | | | |
| General Revenue | 640,726 | 775,395 | 852,759 | 773,229 | 1,173,544 |
| Total Expenditures | \$640,726 | \$775,395 | \$852,759 | \$773,229 | \$1,173,544 |
| Program Measures | | | | | |
| Percentage of Equalization Study Procedure Recommendations Implemented | 31.0% | 31.0% | 35.0% | 35.0% | 35.0% |
| Percentage of Municipalities Transmitting Real Estate Sales Data Electronically to the Division of Taxation | 87.0% | 97.0% | 100.0% | 100.0% | 100.0% |

The Program

Department of Revenue Taxation

Program Operations

The Division of Taxation includes several subprograms encompassing many activities. Tax Processing performs all activities relating to the receipt of cash payments and the processing of tax returns. This unit registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Office of Assessment and Review assesses and collects taxes as prescribed by the Rhode Island General Laws. The office holds preliminary reviews of requests for administrative hearings. Field Audit services audits businesses, corporations and individuals for compliance with existing state tax laws and regulations for all of the taxes for which the Tax Administrator is responsible. Tax Compliance and Collection has responsibility for the compliance, collection, and enforcement actions to collect all overdue taxes administered by the Division of Taxation.

Program Objectives

To assess and collect all taxes and revenues that the legislature places under the control of the Tax Administrator in the most efficient and cost-effective manner.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Division of Taxation within the Department of Revenue.

The Budget

Department Of Revenue Taxation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Tax Administrator | 497,206 | 474,202 | 571,947 | 539,726 | 554,647 |
| Tax Processing Division | 4,822,036 | 4,949,363 | 5,089,826 | 4,925,696 | 5,207,140 |
| Compliance and Collection | 3,470,350 | 3,133,969 | 3,539,583 | 2,907,970 | 3,353,994 |
| Field Audit | 5,260,245 | 5,034,383 | 5,560,456 | 4,654,763 | 5,504,768 |
| Assessment and Review | 2,674,164 | 2,652,140 | 2,917,063 | 2,348,468 | 2,540,636 |
| Employer Tax | 2,385,229 | 2,844,404 | 3,000,251 | 2,866,304 | 3,043,094 |
| Total Expenditures | \$19,109,230 | \$19,088,461 | \$20,679,126 | \$18,242,927 | \$20,204,279 |
| Expenditures By Object | | | | | |
| Personnel | 17,084,109 | 16,862,669 | 18,320,808 | 15,964,888 | 17,776,167 |
| Operating Supplies and Expenses | 1,970,563 | 2,183,379 | 2,287,119 | 2,198,279 | 2,348,352 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 5,277 | 4,369 | 5,460 | 5,460 | 5,460 |
| Subtotal: Operating Expenditures | \$19,059,949 | \$19,050,417 | \$20,613,387 | \$18,168,627 | \$20,129,979 |
| Capital Purchases and Equipment | 22,982 | 38,044 | 61,225 | 74,300 | 74,300 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 26,299 | - | 4,514 | - | - |
| Total Expenditures | \$19,109,230 | \$19,088,461 | \$20,679,126 | \$18,242,927 | \$20,204,279 |
| Expenditures By Funds | | | | | |
| General Revenue | 16,646,215 | 16,171,810 | 17,347,998 | 15,201,263 | 17,028,276 |
| Federal Funds | 1,001,582 | 1,189,768 | 1,439,789 | 1,227,210 | 1,292,658 |
| Restricted Receipts | 689,993 | 778,639 | 910,563 | 784,383 | 830,192 |
| Other Funds | 771,440 | 948,244 | 980,776 | 1,030,071 | 1,053,153 |
| Total Expenditures | \$19,109,230 | \$19,088,461 | \$20,679,126 | \$18,242,927 | \$20,204,279 |
| Program Measures | | | | | |
| Percentage of Personal Income Tax Refunds Mailed Within Thirty Days | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |
| Percentage of Personal Income Tax Returns Filed Electronically | 44.0% | 50.0% | 57.0% | 57.0% | 60.0% |
| Tax Dollars Assessed Per Hour by Field Audit | \$627.00 | \$825.10 | \$786.80 | \$786.80 | \$786.80 |

The Program

Department of Revenue Registry of Motor Vehicles

Program Operations

The Registry of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. The Vehicle Value Commission establishes the presumptive value of motor vehicles subject to excise tax for the use of municipalities in levying taxes.

Program Objectives

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.
To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

The Budget

Department of Revenue Registry of Motor Vehicles

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Registry of Motor Vehicles | 16,992,290 | 17,926,292 | 18,735,466 | 17,880,361 | 17,440,682 |
| Vehicle Value Commission | 30,174 | 22,764 | 30,200 | 30,200 | 30,200 |
| Total Expenditures | \$17,022,464 | \$17,949,056 | \$18,765,666 | \$17,910,561 | \$17,470,882 |
| Expenditures By Object | | | | | |
| Personnel | 11,409,187 | 12,584,880 | 13,654,359 | 12,258,457 | 12,526,710 |
| Operating Supplies and Expenses | 5,510,532 | 5,207,109 | 5,015,070 | 5,016,967 | 4,809,035 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 5,237 | 5,237 | 5,237 | 5,237 | 5,237 |
| Subtotal: Operating Expenditures | \$16,924,956 | \$17,797,226 | \$18,674,666 | \$17,280,661 | \$17,340,982 |
| Capital Purchases and Equipment | 97,508 | 151,830 | 41,000 | 591,000 | 91,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | 50,000 | 38,900 | 38,900 |
| Total Expenditures | \$17,022,464 | \$17,949,056 | \$18,765,666 | \$17,910,561 | \$17,470,882 |
| Expenditures By Funds | | | | | |
| General Revenue | 16,861,199 | 17,656,566 | 18,296,260 | 16,424,074 | 16,805,201 |
| Federal Funds | 146,098 | 281,135 | 454,306 | 1,471,387 | 650,581 |
| Restricted Receipts | 15,167 | 11,355 | 15,100 | 15,100 | 15,100 |
| Total Expenditures | \$17,022,464 | \$17,949,056 | \$18,765,666 | \$17,910,561 | \$17,470,882 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Legislature

Agency Operations

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three departments of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

The Budget

Legislature

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | | |
| General Assembly | 4,764,570 | 4,976,720 | 5,434,268 | 5,626,681 | 5,829,511 |
| House Fiscal Advisory Staff | 1,382,210 | 1,497,902 | 1,656,795 | 1,549,963 | 1,620,001 |
| Legislative Council | 3,935,265 | 4,153,424 | 4,374,913 | 4,191,089 | 4,417,515 |
| Joint Committee on Legislative Affairs | 17,971,659 | 18,492,793 | 19,044,804 | 18,693,002 | 19,570,595 |
| Office of the Auditor General | 4,286,628 | 4,698,811 | 5,087,273 | 4,883,633 | 5,015,802 |
| Special Legislative Commissions | 10,184 | 9,573 | 17,500 | 15,300 | 15,300 |
| Total Expenditures | \$32,350,516 | \$33,829,223 | \$35,615,553 | \$34,959,668 | \$36,468,724 |
| Expenditures By Object | | | | | |
| Personnel | 27,545,495 | 29,563,884 | 30,723,293 | 30,166,932 | 31,596,778 |
| Operating Supplies and Expenses | 2,088,127 | 2,059,925 | 2,348,360 | 2,375,786 | 2,446,246 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,587,617 | 2,074,123 | 2,300,000 | 2,300,000 | 2,300,000 |
| Subtotal: Operating Expenditures | \$32,221,239 | \$33,697,932 | \$35,371,653 | \$34,842,718 | \$36,343,024 |
| Capital Purchases and Equipment | 129,277 | 131,291 | 243,900 | 116,950 | 125,700 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$32,350,516 | \$33,829,223 | \$35,615,553 | \$34,959,668 | \$36,468,724 |
| Expenditures By Funds | | | | | |
| General Revenue | 30,941,664 | 32,377,685 | 34,099,202 | 33,451,335 | 34,912,012 |
| Restricted Receipts | 1,408,852 | 1,451,538 | 1,516,351 | 1,508,333 | 1,556,712 |
| Total Expenditures | \$32,350,516 | \$33,829,223 | \$35,615,553 | \$34,959,668 | \$36,468,724 |
| FTE Authorization | 294.0 | 298.2 | 297.9 | 289.8 | 289.8 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | NS | NS | NS | NS | NS |
| Females as a Percentage of the Workforce | NS | NS | NS | NS | NS |
| Persons with Disabilities as a Percentage of the Workforce | NS | NS | NS | NS | NS |

The Program

Legislature General Assembly

Program Operations

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

The Budget

Legislature General Assembly

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,623,970 | 3,883,395 | 4,153,668 | 4,381,531 | 4,549,861 |
| Operating Supplies and Expenses | 1,126,782 | 1,089,358 | 1,255,100 | 1,232,400 | 1,266,900 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$4,750,752 | \$4,972,753 | \$5,408,768 | \$5,613,931 | \$5,816,761 |
| Capital Purchases and Equipment | 13,818 | 3,967 | 25,500 | 12,750 | 12,750 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,764,570 | \$4,976,720 | \$5,434,268 | \$5,626,681 | \$5,829,511 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,764,570 | 4,976,720 | 5,434,268 | 5,626,681 | 5,829,511 |
| Total Expenditures | \$4,764,570 | \$4,976,720 | \$5,434,268 | \$5,626,681 | \$5,829,511 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Legislature Fiscal Advisory Staff to House Finance Committee

Program Operations

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. The major function of the unit is to perform fiscal research and analysis for the Legislature, its committees, and individual legislators. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

The Budget

Legislature House Fiscal Advisory Staff

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,301,397 | 1,404,727 | 1,554,295 | 1,446,163 | 1,514,001 |
| Operating Supplies and Expenses | 80,813 | 93,175 | 100,100 | 102,600 | 104,800 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,382,210 | \$1,497,902 | \$1,654,395 | \$1,548,763 | \$1,618,801 |
| Capital Purchases and Equipment | - | - | 2,400 | 1,200 | 1,200 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,382,210 | \$1,497,902 | \$1,656,795 | \$1,549,963 | \$1,620,001 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,382,210 | 1,497,902 | 1,656,795 | 1,549,963 | 1,620,001 |
| Total Expenditures | \$1,382,210 | \$1,497,902 | \$1,656,795 | \$1,549,963 | \$1,620,001 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Legislature Legislative Council

Program Operations

The Legislative Council collects factual information and advises the General Assembly on bill drafting by assisting legislators in the preparation of technically correct bills for introduction in the General Assembly; conducts research on specific topics at the request of legislators, committees, commissions, legislative staff, and informational requests originating in other states; and, maintains library and reference materials on model legislation and files on bills introduced and passed.

Statutory History

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

The Budget

Legislature Legislative Council

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,878,895 | 4,107,217 | 4,296,913 | 4,123,539 | 4,347,965 |
| Operating Supplies and Expenses | 56,370 | 46,207 | 70,500 | 63,800 | 65,800 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$3,935,265 | \$4,153,424 | \$4,367,413 | \$4,187,339 | \$4,413,765 |
| Capital Purchases and Equipment | - | - | 7,500 | 3,750 | 3,750 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,935,265 | \$4,153,424 | \$4,374,913 | \$4,191,089 | \$4,417,515 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,935,265 | 4,153,424 | 4,374,913 | 4,191,089 | 4,417,515 |
| Total Expenditures | \$3,935,265 | \$4,153,424 | \$4,374,913 | \$4,191,089 | \$4,417,515 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Legislature Joint Committee on Legislative Affairs

Program Operations

The Joint Committee on Legislative Affairs is responsible for all administrative matters affecting the operations of the General Assembly. The Joint Committee prepares legislative payrolls and the annual operating budget for the General Assembly, manages the House and Senate appropriations to include all committees unless otherwise specified in law, procures all supplies, materials and technical services, and provides for all printing requirements. Also, the Joint Committee on Legislative Affairs coordinates the Legislative Data Services and the Telecommunications - Cable TV activities.

Statutory History

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

The Budget

Legislature Joint Committee on Legislative Affairs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 14,924,150 | 15,916,191 | 16,204,254 | 15,869,752 | 16,733,295 |
| Operating Supplies and Expenses | 402,515 | 417,230 | 422,050 | 472,750 | 478,050 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,587,617 | 2,074,123 | 2,300,000 | 2,300,000 | 2,300,000 |
| Subtotal: Operating Expenditures | \$17,914,282 | \$18,407,544 | \$18,926,304 | \$18,642,502 | \$19,511,345 |
| Capital Purchases and Equipment | 57,377 | 85,249 | 118,500 | 50,500 | 59,250 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$17,971,659 | \$18,492,793 | \$19,044,804 | \$18,693,002 | \$19,570,595 |
| Expenditures By Funds | | | | | |
| General Revenue | 17,971,659 | 18,492,793 | 19,044,804 | 18,693,002 | 19,570,595 |
| Total Expenditures | \$17,971,659 | \$18,492,793 | \$19,044,804 | \$18,693,002 | \$19,570,595 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Legislature Office of the Auditor General

Program Operations

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established as a separate agency in 1973. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

The Budget

Legislature Office of the Auditor General

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,817,083 | 4,252,354 | 4,514,163 | 4,345,947 | 4,451,656 |
| Operating Supplies and Expenses | 411,463 | 404,382 | 483,110 | 488,936 | 515,396 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$4,228,546 | \$4,656,736 | \$4,997,273 | \$4,834,883 | \$4,967,052 |
| Capital Purchases and Equipment | 58,082 | 42,075 | 90,000 | 48,750 | 48,750 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,286,628 | \$4,698,811 | \$5,087,273 | \$4,883,633 | \$5,015,802 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,877,776 | 3,247,273 | 3,570,922 | 3,375,300 | 3,459,090 |
| Restricted Receipts | 1,408,852 | 1,451,538 | 1,516,351 | 1,508,333 | 1,556,712 |
| Total Expenditures | \$4,286,628 | \$4,698,811 | \$5,087,273 | \$4,883,633 | \$5,015,802 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Legislature **Special Legislative Commissions**

Program Operations

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern. Included in this category are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

The Budget

Legislature Special Legislative Commissions

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | 10,184 | 9,573 | 17,500 | 15,300 | 15,300 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$10,184 | \$9,573 | \$17,500 | \$15,300 | \$15,300 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$10,184 | \$9,573 | \$17,500 | \$15,300 | \$15,300 |
| Expenditures By Funds | | | | | |
| General Revenue | 10,184 | 9,573 | 17,500 | 15,300 | 15,300 |
| Total Expenditures | \$10,184 | \$9,573 | \$17,500 | \$15,300 | \$15,300 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Office of the Lieutenant Governor

Agency Operations

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of Lieutenant Governor is an organizational within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. As well, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The office initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term health care and elderly affairs. The office also serves as a liaison between citizens and state agencies.

Agency Objectives

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.

The Budget

Office of the Lieutenant Governor

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 816,097 | 816,646 | 852,327 | 825,754 | 938,004 |
| Operating Supplies and Expenses | 54,011 | 32,752 | 47,516 | 33,892 | 34,133 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$870,108 | \$849,398 | \$899,843 | \$859,646 | \$972,137 |
| Capital Purchases and Equipment | 4,616 | 1,014 | 1,575 | 1,125 | 1,125 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$874,724 | \$850,412 | \$901,418 | \$860,771 | \$973,262 |
| Expenditures By Fund | | | | | |
| General Revenue | 874,724 | 850,412 | 901,418 | 860,771 | 973,262 |
| Total Expenditures | \$874,724 | \$850,412 | \$901,418 | \$860,771 | \$973,262 |
| FTE Authorization | 9.5 | 9.5 | 8.0 | 7.0 | 8.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 30.0% | 30.0% | 30.0% | 30.0% | 14.0% |
| Females as a Percentage of the Workforce | 40.0% | 44.0% | 55.0% | 55.0% | 43.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Secretary of State

Agency Operations

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the office has a vital role in providing the public with basic information about the workings of state government. The office consists of six divisions and one internal service fund.

Agency Objectives

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To make it easier to vote and improve public access to government at all levels by collecting and distributing information as widely as possible and making information available electronically. To create jobs and promote economic development by offering programs and services that make it easier for business to grow.

Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

The Budget

Secretary of State

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Program | | | | | |
| Administration | 1,691,001 | 1,756,779 | 1,879,212 | 1,739,803 | 1,904,137 |
| Corporations | 1,806,675 | 1,914,051 | 1,840,798 | 1,791,419 | 1,782,133 |
| State Archives | 551,606 | 557,418 | 610,581 | 558,709 | 554,422 |
| Elections and Civics | 2,448,097 | 1,570,655 | 2,217,208 | 2,122,119 | 1,323,262 |
| State Library | 698,485 | 712,912 | 552,708 | 567,046 | 575,937 |
| Office of Public Information | 201,153 | 308,132 | 303,357 | 318,556 | 300,688 |
| Internal Service Programs | [1,077,193] | [770,278] | [802,825] | [802,863] | [814,696] |
| Total Expenditures | \$7,397,017 | \$6,819,947 | \$7,403,864 | \$7,097,652 | \$6,440,579 |
| Expenditures By Object | | | | | |
| Personnel | 4,709,141 | 4,843,527 | 5,146,477 | 4,845,030 | 5,123,941 |
| Operating Supplies and Expenses | 2,262,375 | 1,524,875 | 2,066,283 | 1,987,210 | 1,051,625 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 375,030 | 391,494 | 175,702 | 241,302 | 241,302 |
| Subtotal: Operating Expenditures | \$7,346,546 | \$6,759,896 | \$7,388,462 | \$7,073,542 | \$6,416,868 |
| Capital Purchases and Equipment | 50,471 | 60,051 | 15,402 | 24,110 | 23,711 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$7,397,017 | \$6,819,947 | \$7,403,864 | \$7,097,652 | \$6,440,579 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,150,445 | 5,488,114 | 6,307,144 | 6,407,527 | 5,966,241 |
| Federal Funds | 976,109 | 911,443 | 541,139 | 296,287 | - |
| Restricted Receipts | 270,463 | 420,390 | 555,581 | 393,838 | 474,338 |
| Internal Service Funds | [1,077,193] | [770,278] | [802,825] | [802,863] | [814,696] |
| Total Expenditures | \$7,397,017 | \$6,819,947 | \$7,403,864 | \$7,097,652 | \$6,440,579 |
| FTE Authorization | 56.0 | 58.0 | 57.0 | 55.0 | 56.4 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 7.1% | 7.4% | 7.4% | 7.4% | - |
| Females as a Percentage of the Workforce | 57.1% | 59.3% | 59.3% | 59.3% | - |
| Persons with Disabilities as a Percentage of the Workforce | 1.6% | - | - | - | - |

The Program

Secretary of State Administration

Program Operations

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems.

Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public.

Communications communicates the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

Program Objective

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

The Budget

Secretary of State Administration

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Program | | | | | |
| Administration | 1,691,001 | 1,756,779 | 1,879,212 | 953,595 | 1,150,447 |
| Personnel and Finance | - | - | - | 431,996 | 448,525 |
| Information Technology | - | - | - | 354,212 | 305,165 |
| Total Expenditures | \$1,691,001 | \$1,756,779 | \$1,879,212 | \$1,739,803 | \$1,904,137 |
| Expenditures By Object | | | | | |
| Personnel | 1,569,569 | 1,627,249 | 1,783,514 | 1,638,050 | 1,804,806 |
| Operating Supplies and Expenses | 115,252 | 113,200 | 91,198 | 92,969 | 90,905 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 976 | 976 | 976 | 976 | 976 |
| Subtotal: Operating Expenditures | \$1,685,797 | \$1,741,425 | \$1,875,688 | \$1,731,995 | \$1,896,687 |
| Capital Purchases and Equipment | 5,204 | 15,354 | 3,524 | 7,808 | 7,450 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,691,001 | \$1,756,779 | \$1,879,212 | \$1,739,803 | \$1,904,137 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,691,001 | 1,756,779 | 1,879,212 | 1,739,803 | 1,904,137 |
| Total Expenditures | \$1,691,001 | \$1,756,779 | \$1,879,212 | \$1,739,803 | \$1,904,137 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State Corporations

Program Operations

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, non profit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database. On-line access is available at the Corporations Division's office in Providence or by phone or mail to the general public. This division has the authority to revoke corporate charters for failure to satisfy statutory requirements.

Additionally, the Corporations Division administers the following:

First Stop Business Information Center serves as a referral and information center for small business owners.

Uniform Commercial Code (UCC) processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expirations. It is also responsible for trademarks, service marks and trade names in the State of Rhode Island.

Program Objective

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, UCC filings, and Notary/Trademark applications and to provide requested information concerning the data from the program.

Statutory History

The functions of the Corporations Division are outlined in R.I.G.L. Title 7-1-1 through 7-16-75, and 7-1.2-132. The authority to enforce the Uniform Commercial Code (UCC) is granted in Section 6A-9-402 of the R.I.G.L.

The Budget

Secretary of State Corporations

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Program | | | | | |
| Corporations | 1,806,675 | 1,914,051 | 1,840,798 | 1,546,871 | 1,548,909 |
| First Stop Business Information | - | - | - | 244,548 | 233,224 |
| Total Expenditures | \$1,806,675 | \$1,914,051 | \$1,840,798 | \$1,791,419 | \$1,782,133 |
| Expenditures By Object | | | | | |
| Personnel | 1,285,037 | 1,394,328 | 1,497,976 | 1,430,165 | 1,458,054 |
| Operating Supplies and Expenses | 490,574 | 496,982 | 342,822 | 360,504 | 324,079 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,775,611 | \$1,891,310 | \$1,840,798 | \$1,790,669 | \$1,782,133 |
| Capital Purchases and Equipment | 31,064 | 22,741 | - | 750 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,806,675 | \$1,914,051 | \$1,840,798 | \$1,791,419 | \$1,782,133 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,806,675 | 1,914,051 | 1,840,798 | 1,791,419 | 1,782,133 |
| Total Expenditures | \$1,806,675 | \$1,914,051 | \$1,840,798 | \$1,791,419 | \$1,782,133 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State State Archives

Program Operations

The State Archives is the Division of the Department of State charged with preserving and maintaining the permanent records of state government. The "State Archives and Historical Records Act" created the State Archives as the "official custodian and trustee for the state of all public records of permanent historical, legal or other value". The collection of historical records, dating from 1638 to the present, is available to the public for research. The division operates a public reading room for the research, inspection and duplication of public records. Archives staff provides reference assistance to researchers at the Archives facility, by mail, phone and e-mail. Publications, guides and finding aids to the holdings are available onsite and through the Internet. The State Archives also features exhibits and displays that are free and open to the public.

The Division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to initiate a series of advisory and technical services for local governments in the state. The 1993 session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program. Currently, the program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with town and city clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines about records and records issues.

Program Objectives

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

Statutory History

The authority of the State Archives is granted from R.I.G.L. sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, and Public Law 1993, Chapters 385 and 417, and Public Law 2007, Chapter 73, Article 36.

The Budget

Secretary of State State Archives

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 338,628 | 346,384 | 306,023 | 331,951 | 328,778 |
| Operating Supplies and Expenses | 172,978 | 206,845 | 273,158 | 218,306 | 216,483 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 40,000 | - | 24,400 | - | - |
| Subtotal: Operating Expenditures | \$551,606 | \$553,229 | \$603,581 | \$550,257 | \$545,261 |
| Capital Purchases and Equipment | - | 4,189 | 7,000 | 8,452 | 9,161 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$551,606 | \$557,418 | \$610,581 | \$558,709 | \$554,422 |
| Expenditures By Funds | | | | | |
| General Revenue | 194,320 | 108,601 | 55,000 | 155,000 | 80,084 |
| Federal Funds | 86,823 | 28,427 | - | 9,871 | - |
| Restricted Receipts | 270,463 | 420,390 | 555,581 | 393,838 | 474,338 |
| Total Expenditures | \$551,606 | \$557,418 | \$610,581 | \$558,709 | \$554,422 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State Elections and Civics

Program Operations

Elections and Civics is responsible for the preparation of both statewide and municipal elections. This preparation includes providing municipalities with necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification.

The Elections and Civics Division has the responsibility of certifying all federal and state candidates for ballot placement, and for receiving and maintaining files for local candidates ballot placement as certified by local canvassing authorities. Accordingly, Elections and Civics sets the ballot layout and prepares and provides all sample ballots and voting machine ballots as mandated by law. The preparation and printing of all sample ballots and related forms and applications are also the responsibility of Elections and Civics, as well the processing of mail ballots applications and the actual mailing of ballots.

Elections and Civics is also responsible for maintaining the new statewide central voter registration system (CVRS) as mandated under the federal Help America Vote Act (HAVA) that contains all voter information and related data. The CVRS is a real-time system that is accessed and updated by all 39 cities and towns. Elections and Civics is responsible for the training of all local users and the maintenance and support of the CVRS application and related applications as well as all related hardware provided to the cities and towns.

Elections and Civics also has the responsibility of preparing and distributing the Voter Handbook prior to each general election at which public questions are to be submitted to the voters.

Elections and Civics Division provides necessary voter assistance and education, including the publication of numerous guides for candidates, election officials, and voters. In addition to providing hard copy material, Elections and Civics provides ballot information, polling place locations, and copies of all publications on the World Wide Web.

Program Objective

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Statutory History

The Elections and Civics Division operates under Title 17 of the R.I.G.L

The Budget

Secretary of State Elections and Civics

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,006,713 | 857,608 | 897,974 | 786,538 | 855,237 |
| Operating Supplies and Expenses | 1,428,529 | 607,049 | 1,315,704 | 1,240,081 | 372,525 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 89,870 | - | 90,000 | 90,000 |
| Subtotal: Operating Expenditures | \$2,435,242 | \$1,554,527 | \$2,213,678 | \$2,116,619 | \$1,317,762 |
| Capital Purchases and Equipment | 12,855 | 16,128 | 3,530 | 5,500 | 5,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,448,097 | \$1,570,655 | \$2,217,208 | \$2,122,119 | \$1,323,262 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,558,811 | 687,639 | 1,676,069 | 1,835,703 | 1,323,262 |
| Federal Funds | 889,286 | 883,016 | 541,139 | 286,416 | - |
| Total Expenditures | \$2,448,097 | \$1,570,655 | \$2,217,208 | \$2,122,119 | \$1,323,262 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State State Library

Program Operations

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. It operates and maintains the Legislative Reference Bureau, which provides information on state laws and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The State Library is open to the public.

Program Objectives

To effectively operate and maintain the library facilities in the State House and to provide timely reference and research services to the public.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7.

The Budget

Secretary of State State Library

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 325,342 | 356,633 | 363,445 | 373,537 | 383,233 |
| Operating Supplies and Expenses | 39,089 | 55,208 | 38,937 | 42,763 | 41,958 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 334,054 | 300,648 | 150,326 | 150,326 | 150,326 |
| Subtotal: Operating Expenditures | \$698,485 | \$712,489 | \$552,708 | \$566,626 | \$575,517 |
| Capital Purchases and Equipment | - | 423 | - | 420 | 420 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$698,485 | \$712,912 | \$552,708 | \$567,046 | \$575,937 |
| Expenditures By Funds | | | | | |
| General Revenue | 698,485 | 712,912 | 552,708 | 567,046 | 575,937 |
| Total Expenditures | \$698,485 | \$712,912 | \$552,708 | \$567,046 | \$575,937 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State Office of Public Information

Program Operations

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports, which are available to the public free of charge. The material can also be accessed through the Internet, where the Secretary of State has an "On-Line Office" on the World Wide Web at <http://www.state.ri.us>.

Functions carried out by the Office of Public Information include: compiling information and maintaining a database on all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, and committee and floor action.

Compiling information and maintaining a database on all legislative hearings, including time and place of the meeting and lists of bills being considered.

Publishing the daily Legislative Meetings Report and the weekly Legislative Report which provides this information to the public.

Maintaining a list of lobbyists and overseeing the enforcement of the state's lobbying law.

Under the Administrative Procedures Act, the office is responsible for compiling the rules and regulations promulgated by each state agency.

Operating and maintaining a clearinghouse for information related to all public meetings in the State of Rhode Island relative to the Open Meetings Law.

Operating and maintaining the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals and other state publications.

Program Objective

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

The Budget

Secretary of State Office of Public Information

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 183,852 | 261,325 | 297,545 | 284,789 | 293,833 |
| Operating Supplies and Expenses | 15,953 | 45,591 | 4,464 | 32,587 | 5,675 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$199,805 | \$306,916 | \$302,009 | \$317,376 | \$299,508 |
| Capital Purchases and Equipment | 1,348 | 1,216 | 1,348 | 1,180 | 1,180 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$201,153 | \$308,132 | \$303,357 | \$318,556 | \$300,688 |
| Expenditures By Funds | | | | | |
| General Revenue | 201,153 | 308,132 | 303,357 | 318,556 | 300,688 |
| Total Expenditures | \$201,153 | \$308,132 | \$303,357 | \$318,556 | \$300,688 |
| Program Measures | NC | NC | NC | NC | NC |

The Program

Secretary of State Internal Service Programs

Program Operations

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal or legal requirements. Public Records Administration personnel provide records management advice, assistance and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules-enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are available to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

Program Objective

The program provides cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration is granted by R.I.G.L. 38-1,3.

The Budget

Secretary of State Internal Service Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 368,028 | 401,795 | 416,552 | 424,435 | 430,414 |
| Operating Supplies and Expenses | 707,884 | 365,652 | 382,873 | 378,428 | 384,282 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,075,912 | \$767,447 | \$799,425 | \$802,863 | \$814,696 |
| Capital Purchases and Equipment | 1,281 | 2,831 | 3,400 | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,077,193 | \$770,278 | \$802,825 | \$802,863 | \$814,696 |
| Expenditures By Funds | | | | | |
| Internal Service Funds | 1,077,193 | 770,278 | 802,825 | 802,863 | 814,696 |
| Total Expenditures | \$1,077,193 | \$770,278 | \$802,825 | \$802,863 | \$814,696 |
| Program Measures | NC | NC | NC | NC | NC |

The Agency

Office of the General Treasurer

Agency Operations

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Rhode Island Refunding Bond Authority, the College Savings Plan Board, and the Rhode Island State Board of Banking, the Unclassified Pay Board and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the Administrative Unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments Division and Finance provide investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

Agency Objectives

The Treasurer's Office will continue to focus its efforts and activities on protecting the State's assets through sound financial investments and providing leadership and direction to strengthen the State's financial position. The Treasurer's Office assists families in investing funds for their children's higher education, and ensures that Rhode Islanders receive superior public service through all Treasury Programs,

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the DET Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the victims of Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

The Budget

Office of the General Treasurer

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | | |
| General Treasury | 2,873,799 | 2,830,435 | 3,026,336 | 2,771,509 | 2,819,654 |
| State Retirement System | 5,826,392 | 6,520,387 | 7,666,061 | 7,948,050 | 10,206,869 |
| Unclaimed Property | 14,480,523 | 28,252,979 | 18,290,775 | 15,063,114 | 15,475,548 |
| Rhode Island Refunding Bond Authority | 31,717 | 35,792 | 38,075 | 41,105 | 41,641 |
| Crime Victim Compensation | 1,562,924 | 2,022,502 | 2,468,036 | 2,425,288 | 2,617,423 |
| Total Expenditures | \$24,775,355 | \$39,662,095 | \$31,489,283 | \$28,249,066 | \$31,161,135 |
| Expenditures By Object | | | | | |
| Personnel | 8,510,214 | 9,742,870 | 9,778,443 | 10,116,975 | 9,949,065 |
| Operating Supplies and Expenses | 14,960,125 | 28,144,722 | 9,627,330 | 15,444,059 | 15,809,628 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,240,300 | 1,734,995 | 2,150,350 | 2,158,000 | 2,344,000 |
| Subtotal: Operating Expenditures | \$24,710,639 | \$39,622,587 | \$21,556,123 | \$27,719,034 | \$28,102,693 |
| Capital Purchases and Equipment | 64,716 | 39,508 | 733,160 | 530,032 | 3,058,442 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | 9,200,000 | - | - |
| Total Expenditures | \$24,775,355 | \$39,662,095 | \$31,489,283 | \$28,249,066 | \$31,161,135 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,636,773 | 2,668,892 | 2,563,767 | 2,465,934 | 2,500,299 |
| Federal Funds | 476,081 | 799,601 | 1,170,081 | 1,100,489 | 1,293,540 |
| Restricted Receipts | 21,474,117 | 35,987,392 | 27,502,060 | 24,486,449 | 27,165,006 |
| Other Funds | 188,384 | 206,210 | 253,375 | 196,194 | 202,290 |
| Total Expenditures | \$24,775,355 | \$39,662,095 | \$31,489,283 | \$28,249,066 | \$31,161,135 |
| FTE Authorization | 86.5 | 88.0 | 86.0 | 83.0 | 83.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.3% | 13.3% | 13.3% | 13.3% | 11.0% |
| Females as a Percentage of the Workforce | 61.5% | 61.5% | 61.5% | 61.5% | 61.0% |
| Persons with Disabilities as a Percentage of the Workforce | 1.2% | 1.2% | 1.2% | 1.2% | 1.2% |

The Program

Office of the General Treasurer General Treasury

Program Operations

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Investments, and the Business Office.

Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the office.

Administration Division: This division provides the administrative support to the entire department including the Retirement System in terms of overall leadership for matters dealing with personnel, budgeting, financial controls, and management information systems.

Investment Division: This division manages the state's borrowing and investments, provides daily fiduciary services to all state government, monitors investment managers for compliance with state guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and fund transfers, provides reports to the Investment Commission on investment performance, and implements commission policy. Furthermore, this division is proactive in debt issuance and debt management and has hired a professional to do the bond arbitrage calculations and ensure that the state is in compliance with I.R.S. requirements.

Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of state bank accounts both to the bank statement balances and to the controller's records. Additionally, this division laser prints vendor and non-vendor checks and electronically transmits issues to the appropriate banking institutions.

Program Objective

To continue improvement of services to the public and provide leadership and direction including preparation of a Strategic Plan, to enable Treasury to perform its functions more effectively and efficiently. To expand the use of technology with more effective utilization of banking services; and to improve the management of cash collection, investment and disbursement of systems.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program.

The Budget

Office of the General Treasurer General Treasury

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Policy | 688,757 | 707,350 | 728,875 | 682,416 | 696,271 |
| Administrative Operations | 69,172 | 142,785 | 109,870 | 157,307 | 161,297 |
| Business Office | 1,473,142 | 1,401,622 | 1,483,464 | 1,300,991 | 1,313,231 |
| Investments | 642,728 | 578,678 | 704,127 | 630,795 | 648,855 |
| Total Expenditures | \$2,873,799 | \$2,830,435 | \$3,026,336 | \$2,771,509 | 2,819,654 |
| Expenditures By Object | | | | | |
| Personnel | 2,288,482 | 2,332,891 | 2,454,626 | 2,231,177 | 2,278,222 |
| Operating Supplies and Expenses | 548,866 | 467,074 | 555,820 | 526,070 | 528,760 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 12,000 | 350 | - | - |
| Subtotal: Operating Expenditures | \$2,837,348 | \$2,811,965 | \$3,010,796 | \$2,757,247 | \$2,806,982 |
| Capital Purchases and Equipment | 36,451 | 18,470 | 15,540 | 14,262 | 12,672 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,873,799 | \$2,830,435 | \$3,026,336 | \$2,771,509 | \$2,819,654 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,408,192 | 2,387,117 | 2,477,685 | 2,335,968 | 2,367,841 |
| Federal Funds | 263,856 | 236,524 | 295,276 | 239,347 | 249,523 |
| Other Funds | 201,751 | 206,794 | 253,375 | 196,194 | 202,290 |
| Total Expenditures | \$2,873,799 | \$2,830,435 | \$3,026,336 | \$2,771,509 | \$2,819,654 |
| Program Measures | | | | | |
| Short Term Investment Return | 0.18% | 0.20% | 0.87% | 0.87% | 0.87% |
| Business Days Required to Issue Replacement Check | 4 | 4 | 3 | 3 | 3 |

The Program

Office of the General Treasurer State Retirement System

Program Operations

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit Program for those teachers who are not covered by Social Security.

The system is divided into three main areas: Member Services, Finance and Administration. Member services is responsible for the coordinated delivery of services to the membership. It counsels members on a daily basis, provides outreach counseling from October to May at various sites across the state, and is responsible for group benefit presentations. A new call center, staffed in FY 2008, further increases this area's responsiveness to ERSI members.

Finance is responsible for the accounting of the system's assets and is responsible for member bookkeeping. It calculates all retirement allowances and purchase requests and maintains the system's retiree pension payroll. The retiree unit within the Finance department handles all retiree inquiries. Finally, the Data Processing unit within that same division tracks all participant information and prepares yearly information for periodic actuarial valuations.

Administration includes the Executive Director, Assistant Executive Director and The Communications unit. It is responsible for all relations with the system's 15-member retirement board. It also oversees the delivery of communication to the system's active and retired membership and all other interested parties.

Program Objectives

In addition to statutory mandates, the major program objectives of Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 8.25 percent.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36 and 45 of the Rhode Island General Laws.

The Budget

Office of the General Treasurer State Retirement System

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 5,049,040 | 5,708,635 | 5,859,683 | 6,425,361 | 6,198,821 |
| Operating Supplies and Expenses | 627,263 | 667,427 | 954,358 | 864,869 | 820,228 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 137,269 | 126,950 | 150,000 | 158,000 | 158,000 |
| Subtotal: Operating Expenditures | \$5,813,572 | \$6,503,012 | \$6,964,041 | \$7,448,230 | \$7,177,049 |
| Capital Purchases and Equipment | 12,820 | 17,375 | 702,020 | 499,820 | 3,029,820 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$5,826,392 | \$6,520,387 | \$7,666,061 | \$7,948,050 | \$10,206,869 |
| Expenditures By Funds | | | | | |
| Restricted Receipts | 5,839,759 | 6,520,971 | 7,666,061 | 7,948,050 | 10,206,869 |
| Other Funds | (13,367) | (584) | - | - | - |
| Total Expenditures | \$5,826,392 | \$6,520,387 | \$7,666,061 | \$7,948,050 | \$10,206,869 |
| Program Measures | | | | | |
| Return on Pension Portfolio | 15.84% | -5.27% | -20.80% | -20.80% | 8.25% |

The Program

Office of the General Treasurer Unclaimed Property

Program Operations

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property. The mission of the division is to return this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. The program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities. Beginning in FY 2008, the program enlists field auditors from the Department of Revenue to assist in identifying and recouping unclaimed property during the regular course of their audit functions.

Program Objectives

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners. The program recently engaged dozens of field auditors from the Division of Taxation to assist in identifying and recouping unclaimed property during the regular course of business audits.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 1992-93 legislative sessions.

The Budget

Office of the General Treasurer Unclaimed Property

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 779,606 | 1,299,286 | 1,041,998 | 1,079,356 | 1,081,456 |
| Operating Supplies and Expenses | 13,690,158 | 26,951,651 | 8,037,777 | 13,972,758 | 14,383,092 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$14,469,764 | \$28,250,937 | \$9,079,775 | \$15,052,114 | \$15,464,548 |
| Capital Purchases and Equipment | 10,759 | 2,042 | 11,000 | 11,000 | 11,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | 9,200,000 | - | - |
| Total Expenditures | \$14,480,523 | \$28,252,979 | \$18,290,775 | \$15,063,114 | \$15,475,548 |
| Expenditures By Funds | | | | | |
| Restricted Receipts | 14,480,523 | 28,252,979 | 18,290,775 | 15,063,114 | 15,475,548 |
| Total Expenditures | \$14,480,523 | \$28,252,979 | \$18,290,775 | \$15,063,114 | \$15,475,548 |
| Program Measures | | | | | |
| Timeliness of Payments | 32 | 30 | 27 | 27 | 25 |

The Program

Office of the General Treasurer Rhode Island Refunding Bond Authority

Program Operations

The Authority was created in 1987 as a public corporation, having a distinct legal existence from the State and not constituting a department of State government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The Authority is authorized to issue bonds and notes, secured solely by its revenues, derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, subject to annual appropriation.

Program Objectives

To ensure prompt payment of outstanding debts of the Authority.

Statutory History

Title 35 Chapter 8.1 of the Rhode Island General Laws created the Authority.

The Budget

Office of the General Treasurer Rhode Island Refunding Bond Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 24,193 | 27,743 | 29,585 | 32,115 | 32,651 |
| Operating Supplies and Expenses | 7,524 | 8,049 | 8,490 | 8,990 | 8,990 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$31,717 | \$35,792 | \$38,075 | \$41,105 | \$41,641 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$31,717 | \$35,792 | \$38,075 | \$41,105 | \$41,641 |
| Expenditures By Funds | | | | | |
| General Revenue | 31,717 | 35,792 | 38,075 | 41,105 | 41,641 |
| Total Expenditures | \$31,717 | \$35,792 | \$38,075 | \$41,105 | \$41,641 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Office of the General Treasurer Crime Victim Compensation

Program Operations

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

Program Objectives

The Program instituted a pay-as-you go system under the 1999 amendment to the Act, has shortened claim processing times. The debt reduction plan, targeting the accumulated unfunded liability of unpaid claims, concluded in FY 2005. The program completed final payments to Station Fire victims during FY 2006.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program, the most recent amendments going into effect on September 1, 1999.

The Budget

Office of the General Treasurer Crime Victim Compensation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 368,893 | 374,315 | 392,551 | 348,966 | 357,915 |
| Operating Supplies and Expenses | 86,314 | 50,521 | 70,885 | 71,372 | 68,558 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,103,031 | 1,596,045 | 2,000,000 | 2,000,000 | 2,186,000 |
| Subtotal: Operating Expenditures | \$1,558,238 | \$2,020,881 | \$2,463,436 | \$2,420,338 | \$2,612,473 |
| Capital Purchases and Equipment | 4,686 | 1,621 | 4,600 | 4,950 | 4,950 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,562,924 | \$2,022,502 | \$2,468,036 | \$2,425,288 | \$2,617,423 |
| Expenditures By Funds | | | | | |
| General Revenue | 196,864 | 245,983 | 48,007 | 88,861 | 90,817 |
| Federal Funds | 212,225 | 563,077 | 874,805 | 861,142 | 1,044,017 |
| Restricted Receipts | 1,153,835 | 1,213,442 | 1,545,224 | 1,475,285 | 1,482,589 |
| Total Expenditures | \$1,562,924 | \$2,022,502 | \$2,468,036 | \$2,425,288 | \$2,617,423 |
| Program Measures | | | | | |
| Number of Business Days Required to Process and Pay Claims to Victims of Violent Crimes from Award Notice | 21 | 14 | 12 | 12 | 10 |

The Agency

Board of Elections

Agency Operations

The Board of Elections supervises all elections and state and local referenda. The board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The board oversees voter registration and public education activities and provides all registration materials used in the State. The board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The board's responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

Agency Objectives

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board", which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

The Budget

Board of Elections

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,450,934 | 1,512,065 | 1,961,430 | 1,827,767 | 1,717,338 |
| Operating Supplies and Expenses | 903,524 | 418,277 | 213,788 | 219,893 | 132,803 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,015,172 | - | - | 300 | - |
| Subtotal: Operating Expenditures | \$4,369,630 | \$1,930,342 | \$2,175,218 | \$2,047,960 | \$1,850,141 |
| Capital Purchases and Equipment | 22,460 | (3,849) | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,392,090 | \$1,926,493 | \$2,175,218 | \$2,047,960 | \$1,850,141 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,625,842 | 1,315,331 | 1,512,874 | 1,552,690 | 1,850,141 |
| Federal Funds | 766,248 | 611,162 | 662,344 | 495,270 | - |
| Total Expenditures | \$4,392,090 | \$1,926,493 | \$2,175,218 | \$2,047,960 | \$1,850,141 |
| FTE Authorization | 14.0 | 14.0 | 14.0 | 12.0 | 12.0 |
| Agency Measures | | | | | |
| Minorities as Percentage of the Workforce | 14.0% | 18.8% | 18.8% | 18.8% | 18.8% |
| Females as Percentage of the Workforce | 42.9% | 37.5% | 37.5% | 37.5% | 37.5% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | NS | NS | NS | NS | NS |

The Agency

Rhode Island Ethics Commission

Agency Operations

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Agency Objectives

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

The Budget

Rhode Island Ethics Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,053,300 | 1,183,251 | 1,226,516 | 1,233,487 | 1,256,562 |
| Operating Supplies and Expenses | 156,590 | 152,727 | 172,500 | 169,670 | 173,875 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,209,890 | \$1,335,978 | \$1,399,016 | \$1,403,157 | \$1,430,437 |
| Capital Purchases and Equipment | 12,399 | 7,051 | 6,293 | 6,293 | 7,293 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,222,289 | \$1,343,029 | \$1,405,309 | \$1,409,450 | \$1,437,730 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,222,289 | 1,343,029 | 1,405,309 | 1,409,450 | 1,437,730 |
| Total Expenditures | \$1,222,289 | \$1,343,029 | \$1,405,309 | \$1,409,450 | \$1,437,730 |
| FTE Authorization | 12.0 | 12.0 | 12.0 | 12.0 | 12.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 58.3% | 58.9% | 58.3% | 58.3% | 58.3% |
| Persons with Disabilities as a Percentage of the Workforce | 8.3% | 8.3% | 8.3% | 8.3% | 16.6% |
| Program Measures | | | | | |
| Percentage of Investigations Completed within 180 Days of Filing | 96.0% | 87.5% | 90.0% | 90.0% | 90.0% |
| The Percentage of Advisory Opinion Requests Responded to within 30 Days of Receipt | 27.6% | 52.4% | 60.0% | 60.0% | 66.1% |

The Agency

Office of the Governor

Agency Operations

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Municipal Affairs/Community Relations Office. The Governor initiated the Fiscal Fitness Program to review and evaluate the proficiency of all RI State agencies to result in a fiscally sound budget for the State of Rhode Island.

Agency Objectives

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Donald L. Carcieri began on January 7, 2003.

The Budget

Office of the Governor

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,393,154 | 4,652,359 | 4,307,211 | 4,593,980 | 5,007,304 |
| Operating Supplies and Expenses | 339,196 | 290,798 | 343,900 | 317,876 | 317,950 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 5,121 | 500,000 | 500,000 | 500,000 |
| Subtotal: Operating Expenditures | \$4,732,350 | \$4,948,278 | \$5,151,111 | \$5,411,856 | \$5,825,254 |
| Capital Purchases and Equipment | 5,867 | 9,602 | 7,500 | 11,500 | 11,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,738,217 | \$4,957,880 | \$5,158,611 | \$5,423,356 | \$5,836,754 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,672,436 | 4,957,880 | 5,158,611 | 5,423,356 | 5,836,754 |
| Restricted Receipts | (3,617) | - | - | - | - |
| Other Funds | 69,398 | - | - | - | - |
| Total Expenditures | \$4,738,217 | \$4,957,880 | \$5,158,611 | \$5,423,356 | \$5,836,754 |
| FTE Authorization | 46.0 | 44.0 | 39.0 | 39.0 | 42.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 8.7% | 8.7% | 8.7% | 8.7% | 9.5% |
| Females as a Percentage of the Workforce | 49.4% | 54.3% | 51.7% | 51.7% | 57.1% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Commission for Human Rights

Agency Operations

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, disability, ancestral origin, religion, age, sexual orientation and gender identity/expression. The housing and credit statutes also prohibit discrimination based on marital status, familial status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a *prima facie* case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

Agency Objective

To enforce state and federal anti-discrimination laws.

Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

The Budget

Commission for Human Rights

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 970,804 | 1,074,229 | 1,122,743 | 1,154,466 | 1,175,274 |
| Operating Supplies and Expenses | 266,016 | 266,482 | 260,225 | 250,407 | 249,473 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,236,820 | \$1,340,711 | \$1,382,968 | \$1,404,873 | \$1,424,747 |
| Capital Purchases and Equipment | 10,306 | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,247,126 | \$1,340,711 | \$1,382,968 | \$1,404,873 | \$1,424,747 |
| Expenditures By Funds | | | | | |
| General Revenue | 987,833 | 951,872 | 991,659 | 932,418 | 1,016,242 |
| Federal Funds | 259,293 | 388,839 | 391,309 | 472,455 | 408,505 |
| Total Expenditures | \$1,247,126 | \$1,340,711 | \$1,382,968 | \$1,404,873 | \$1,424,747 |
| FTE Authorization | 14.5 | 14.5 | 14.5 | 14.5 | 14.5 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 40.0% | 40.0% | 40.0% | 40.0% | 40.0% |
| Females as a Percentage of the Workforce | 66.7% | 66.7% | 66.7% | 66.7% | 66.7% |
| Persons with Disabilities as a Percentage of the Workforce | 33.3% | 33.3% | 33.3% | 33.3% | 33.3% |
| Program Measures | | | | | |
| Average Number of Business Days from Receipt of Intake Questionnaire to Official Charge | 48 | 42 | 40 | 40 | 40 |

The Agency

Public Utilities Commission

Agency Operations

The Public Utilities Commission comprises two distinct regulatory bodies: an authorized five-member Commission and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of ferry boats, gas, electric distribution, water and telephone public utilities, the control of railroad grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapters 42-98.

The division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the commission governing the conduct and charges of public utilities. The division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, 39-14 and 39-14.1. Additionally, the division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities;; and has independent regulatory authority over the transactions between public utilities and affiliates; and all public utility equity and debt issuances.

Agency Objectives

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; and cooperate with other states and federal government agencies to coordinate efforts to meet the other previously-stated objectives.

Statutory History

The Public Utilities Commission and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the division and Chairperson of the commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers. RIGL 39-1-14 added two more commissioners effective January 1, 2004. These appointments are yet to take effect.

The Budget

Public Utilities Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,844,447 | 4,801,593 | 5,938,590 | 5,918,170 | 6,072,007 |
| Operating Supplies and Expenses | 609,450 | 503,459 | 930,287 | 930,187 | 940,187 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 336 | 57,478 | 337 | 337 | 337 |
| Subtotal: Operating Expenditures | \$5,454,233 | \$5,362,530 | \$6,869,214 | \$6,848,694 | \$7,012,531 |
| Capital Purchases and Equipment | 19,636 | 70,754 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$5,473,869 | \$5,433,284 | \$6,869,214 | \$6,848,694 | \$7,012,531 |
| Expenditures By Funds | | | | | |
| General Revenue | 499,163 | 475,034 | - | - | - |
| Federal Funds | 92,650 | 70,662 | 100,547 | 102,659 | 103,600 |
| Restricted Receipts | 4,882,056 | 4,887,588 | 6,768,667 | 6,746,035 | 6,908,931 |
| Total Expenditures | \$5,473,869 | \$5,433,284 | \$6,869,214 | \$6,848,694 | \$7,012,531 |
| FTE Authorization | 45.7 | 45.0 | 44.0 | 44.0 | 44.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 11.1% | 11.1% | 11.1% | 11.1% | 11.3% |
| Females as a Percentage of the Workforce | 35.5% | 35.5% | 35.5% | 35.5% | 36.3% |
| Persons with Disabilities as a Percentage of the Workforce | 2.2% | 2.2% | 2.2% | 2.2% | 2.2% |
| Program Measures | | | | | |
| Percentage of Consumer Services Offered that Meet Completion Schedules | 87.0% | 86.0% | 84.0% | 84.0% | 84.0% |
| Percentage of Formal Written Reports of Motor Carrier Applications Completed within 60 Business Days of Filing | 88.0% | 80.0% | 92.0% | 92.0% | 92.0% |

The Agency

Rhode Island Commission on Women

Agency Operations

The Rhode Island Commission on Women is a State agency established by the General Assembly to improve opportunities for women and girls to achieve equity in all areas of life. The Commission studies and makes recommendations for improvements, including legislative, regulatory, and administrative actions and issues related to equal rights for women. In carrying out its responsibilities, the Commission works in cooperation with other governmental and private organizations to promote equity in areas including, but not limited to: education, health, economic development, employment, legal rights, political participation and the quality of individual and family.

The Commission consists of 26 members, including 3 each from the House and Senate, 12 public members appointed by the Governor, and 8 members appointed by the State agency directors. State agency participation may vary from year to year, depending on identification of priorities by the Commissioners. The Commission carries out its responsibilities through standing and ad hoc committees, the membership of which consists of appointed Commissioners and numerous volunteers dedicated to the rights of women and girls.

Agency Objectives

To advise and submit recommendations to the Governor and the General Assembly on issues relating to women and girls;

To gather and disseminate information to women and girls and/or the general public on issues relating to women and girls;

To develop and/or support programs or services for women and girls consistent with the purpose of the Commission;

To collaborate with concerned organizations, groups and State departments and agencies on issues of common concern;

To educate and encourage women to exercise the right to vote. To encourage candidacy for public office and promote the appointment of qualified women to boards, commissions and governmental positions at all levels;

To advise legislators by evaluating, monitoring and proposing Federal and State legislation promoting equity for women and girls.

Statutory History

In 1970 the General Assembly established a nonpartisan organization designated the Permanent Advisory Commission on Women in Rhode Island. After 22 years of status as an advisory commission, in 1992 the General Assembly amended the General Laws of Rhode Island (Title 42, Chapter 119) to restructure the membership of the Commission and to change its status from an advisory body to an agency of Rhode Island Government charged with policy and action responsibilities.

The Budget

Rhode Island Commission on Women

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 85,518 | 95,164 | 95,754 | 98,331 | 99,416 |
| Operating Supplies and Expenses | 9,880 | 8,784 | 9,454 | 8,046 | 8,046 |
| Aid To Local Units Of Government | 3,231 | 2,005 | 2,000 | 2,000 | 2,000 |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$98,629 | \$105,953 | \$107,208 | \$108,377 | \$109,462 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$98,629 | \$105,953 | \$107,208 | \$108,377 | \$109,462 |
| Expenditures By Funds | | | | | |
| General Revenue | 98,629 | 105,953 | 107,208 | 108,377 | 109,462 |
| Total Expenditures | \$98,629 | \$105,953 | \$107,208 | \$108,377 | \$109,462 |
| FTE Authorization | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Annual Increase of Community Outreach Work Products as a Percentage of Baseline Year | 167.0% | 145.0% | 150.0% | 150.0% | 155.0% |
| Contacts Made to the RI Comm. on Women's Website as a Percentage of Baseline Year | 339.5% | 311.0% | 291.0% | 291.0% | 291.0% |

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Human Services

Office of Health and Human Services

Department of Children, Youth and Families

- Central Management
- Children's Behavioral Health Services
- Juvenile Correctional Services
- Child Welfare
- Higher Education Incentive Grants

Department of Elderly Affairs

Department of Health

- Central Management
- State Medical Examiner
- Community and Family Health & Equity
- Environmental & Health Services Regulation
- Public Health Information
- Health Laboratories
- Infectious Disease & Epidemiology

Department of Human Services

- Central Management
- Child Support Enforcement
- Individual and Family Support
- Veterans' Affairs
- Health Care Quality, Financing and Purchasing
- Medical Benefits
- Supplemental Security Income
- Family Independence
- State Funded Programs

Department of Mental Health, Retardation, & Hospitals

- Central Management
- Hospitals and Community System Support
- Services for the Developmentally Disabled
- Integrated Mental Health Services
- Hospitals and Community Rehabilitative Services
- Substance Abuse
- Internal Service Programs

Office of the Child Advocate

Commission on the Deaf and Hard of Hearing

Governor's Commission on Disabilities

Office of the Mental Health Advocate

Human Services Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|-----------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditure by Object | | | | | |
| Personnel | 417,447,024 | 421,384,605 | 414,519,473 | 400,967,469 | 411,918,669 |
| Operating Supplies and Expenses | 59,667,373 | 69,234,261 | 81,026,606 | 81,045,696 | 83,797,427 |
| Aid to Local Units of Government | 7,922,386 | - | - | - | - |
| Assistance, Grants, and Benefits | 2,017,780,629 | 2,228,886,040 | 2,095,858,313 | 2,219,498,106 | 2,250,472,699 |
| Subtotal: Operating Expenditures | \$2,502,817,412 | \$2,719,504,906 | \$2,591,404,392 | \$2,701,511,271 | \$2,746,188,795 |
| Capital Purchases and Equipment | 4,182,265 | 3,265,545 | 19,578,273 | 17,733,135 | 19,360,199 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 4,261,031 | 8,201,703 | 8,797,018 | 7,722,264 | 7,618,729 |
| Total Expenditures | \$2,511,260,708 | \$2,730,972,154 | \$2,619,779,683 | \$2,726,966,670 | \$2,773,167,723 |
| Expenditures by Funds | | | | | |
| General Revenue | 1,183,730,370 | 1,258,044,422 | 1,179,029,762 | 1,073,234,593 | 1,017,041,062 |
| Federal Funds | 1,302,121,524 | 1,434,564,414 | 1,381,115,866 | 1,597,819,901 | 1,694,839,997 |
| Restricted Receipts | 18,136,093 | 29,324,767 | 42,458,014 | 41,853,325 | 42,194,359 |
| Other Funds | 7,272,721 | 9,038,551 | 17,176,041 | 14,058,851 | 19,092,305 |
| Total Expenditures | \$2,511,260,708 | \$2,730,972,154 | \$2,619,779,683 | \$2,726,966,670 | \$2,773,167,723 |
| | | | | | |
| FTE Authorization | 4,264.0 | 4,020.9 | 3,825.3 | 3,474.1 | 3,585.1 |

The Agency

Office of Health and Human Services

Agency Operations

The Executive Office of Health and Human Services (EOHHS) was established for the purpose of coordinating the delivery and financing of health and human service programs serving virtually all of Rhode Island's one million residents and providing direct services to over 248,000 Rhode Islanders. The cost of providing these services is over \$2.6 billion per year, or almost forty percent of the entire state budget, and includes programs administered by the state's five health and human service departments (Health; Human Services; Children, Youth and Families; Elderly Affairs; and, Mental Health, Retardation and Hospitals). The office is uniquely positioned to view health and social services from a more global perspective, enhancing its efforts to identify areas of duplication, overlap and opportunity.

The EOHHS serves as a mechanism for formalizing inter-departmental coordination and has taken steps to improve administrative efficiencies as well as the quality of health and human services policy and planning, budgeting and administration with the overall goal of improving service delivery across the social services system.

In addition, the office is responsible for coordinating the administration and financing of health care benefits, services and programs including those authorized by the Medicaid State Plan under Title XIX of the United States Social Security Act. This would include: serving as the Governor's chief advisor and liaison to federal policymakers on Medicaid issues and as the principal point of contact in the State on all related matters; reviewing any new Departmental waiver requests and renewals as well as any initiatives and/or proposals requiring Medicaid State Plan amendments that may have an impact on Medicaid state-funded health care programs and, preparing an annual comprehensive overview of all Medicaid expenditures for the Governor and General Assembly.

Agency Objectives

To coordinate the organization, design and delivery of health and human services; to promote an efficient and accountable system of high quality, coordinated health and human services.

Statutory History

Title 42 Chapter 7.2 of the Rhode Island General Laws established the Executive Office of Health and Human Services within the Executive Branch of state government.

The Budget

Office of Health and Human Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|-------------------|--------------------|---------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 640,686 | 1,392,346 | 11,528,129 | 7,603,107 | 9,087,306 |
| Operating Supplies and Expenses | 294 | 18,353 | 39,002 | 108,337 | 190,506 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 2,430,842 | 3,204,950 | 915,512 | - |
| Subtotal: Operating Expenditures | \$640,980 | \$3,841,541 | \$14,772,081 | \$8,626,956 | \$9,277,812 |
| Capital Purchases and Equipment | - | 6,659 | 15,000 | 206,704 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$640,980 | \$3,848,200 | \$14,787,081 | \$8,833,660 | \$9,277,812 |
| Expenditures By Funds | | | | | |
| General Revenue | 250,294 | 363,333 | 5,223,297 | 3,836,576 | 3,448,389 |
| Federal Funds | 93,852 | 3,168,914 | 7,593,011 | 4,324,922 | 4,544,633 |
| Restricted Receipts | 296,834 | 315,953 | 1,970,773 | 672,162 | 1,284,790 |
| Total Expenditures | \$640,980 | \$3,848,200 | \$14,787,081 | \$8,833,660 | \$9,277,812 |
| FTE Authorization | 5.0 | 5.0 | 92.2 | 85.1 | 73.1 |
| Agency Measures | | | | | |
| Minorities as a Percentage of Workforce | - | - | - | - | - |
| Females as a Percentage of Workforce | 80.0% | 100.0% | * | * | * |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |

*Due to the centralization of the legal, purchasing, and budgeting functions within EOHHS, the postponement of transferring positions from other human service agencies, and attribution, the EEO measures are indeterminate for FY 2009 and FY 2010.

The Agency

Department of Children, Youth, and Families

Agency Operations

The Department of Children, Youth and Families is charged to mobilize the human, physical, and financial resources available to plan, develop, implement, and evaluate a comprehensive and integrated statewide program of services designed to ensure the opportunity for children to reach their full potential.

It is the department's philosophy that parents have the primary responsibility for meeting the needs of their children. When parents are unable to do so, all services to the child and family are premised upon the safety and best interest of the child and the promotion of community-based responsibility/involvement in servicing its own children/families. When departmental support is necessary and appropriate, services are provided in the least intrusive, least restrictive manner possible.

The department addresses its responsibilities through its Child Welfare, Children's Behavioral Health and Education, Juvenile Correctional Services, and Higher Education Incentive Grant Programs, which are guided and assisted by a leadership support program identified as Central Management.

The Child Welfare Program consists of child protective investigations, community-based services, and residential services delivered to dependent, neglected or abused children, or children at risk of abuse or neglect.

The Children's Behavioral Health and Education Program designs, implements, and monitors a continuum of therapeutic services to seriously emotionally disturbed children and youth.

The Juvenile Correctional Services Program provides services to youngsters who have been adjudicated as wayward or delinquent by the Family Court and determined to be in need of placement at the Training School for Youth, placement in a less structured community residential setting, or supervision in the community while residing at home.

The Higher Education Incentive Grant is designed to encourage youth to pursue educational goals beyond high school and to provide added incentive to achieve a high school diploma.

Statutory History

In 1979, the General Assembly enacted Title 42 Chapter 72 of the Rhode Island General Laws. This legislation created the Department for Children and Their Families effective January 1, 1980. The enabling legislation transferred to the department certain functions from the Departments of Human Services, Corrections, Mental Health, Retardation and Hospitals, and Community Affairs. In 1991, the General Assembly amended Title 42 Chapter 72 to read "Department of Children, Youth, and Families."

The Budget

Department of Children, Youth and Families

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Program | | | | | |
| Central Management | 10,244,059 | 10,068,194 | 7,127,211 | 7,609,777 | 7,352,149 |
| Children's Behavioral Health Services | 75,746,249 | 29,791,614 | 29,020,077 | 23,806,353 | 21,739,661 |
| Juvenile Correctional Services | 34,933,561 | 30,526,274 | 31,938,705 | 36,039,130 | 36,157,617 |
| Child Welfare | 190,647,109 | 156,397,148 | 141,655,259 | 182,396,384 | 182,365,228 |
| Higher Education Incentive Grants | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Total Expenditures | \$311,770,978 | \$226,983,230 | \$209,941,252 | \$250,051,644 | \$247,814,655 |
| Expenditures By Object | | | | | |
| Personnel | 84,619,205 | 79,444,641 | 76,560,459 | 76,059,867 | 77,016,594 |
| Operating Supplies and Expenses | 8,453,251 | 8,269,087 | 8,022,419 | 8,771,839 | 9,003,418 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 218,191,379 | 138,603,967 | 123,847,928 | 163,808,356 | 160,191,569 |
| Subtotal: Operating Expenditures | \$311,263,835 | \$226,317,695 | \$208,430,806 | \$248,640,062 | \$246,211,581 |
| Capital Purchases and Equipment | 507,143 | 665,535 | 1,510,446 | 1,411,582 | 1,603,074 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$311,770,978 | \$226,983,230 | \$209,941,252 | \$250,051,644 | \$247,814,655 |
| Expenditures By Funds | | | | | |
| General Revenue | 189,391,302 | 151,491,614 | 137,133,720 | 162,050,863 | 158,722,427 |
| Federal Funds | 120,424,524 | 72,217,463 | 69,839,591 | 84,523,990 | 85,504,945 |
| Restricted Receipts | 1,767,022 | 2,731,750 | 1,757,941 | 2,284,059 | 2,203,059 |
| Other Funds | 188,130 | 542,403 | 1,210,000 | 1,192,732 | 1,384,224 |
| Total Expenditures | \$311,770,978 | \$226,983,230 | \$209,941,252 | \$250,051,644 | \$247,814,655 |
| FTE Authorization | 789.0 | 805.0 | 738.5 | 694.0 | 700.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.5% | 14.2% | 14.2% | 14.2% | 14.2% |
| Females as a Percentage of the Workforce | 64.2% | 65.1% | 65.1% | 65.1% | 65.1% |
| Persons with Disabilities as a Percentage of the Workforce | 5.6% | 5.0% | 5.0% | 5.0% | 5.0% |

The Program

Department of Children, Youth, and Families Central Management

Program Operations

The Central Management Program consists of a variety of sub-programs including executive functions and legal and administrative services.

The executive functions include administrative and operational direction, planning, management, and evaluation of overall departmental operations.

Administrative services include financial management, personnel, staff development and training, management information systems, and licensing and regulation. A centralized on-line database system, the Statewide Automated Child Welfare Information System (SACWIS), supports all investigatory and case management functions of the department and other critical administrative functions.

Legal services includes departmental representation in all Family Court proceedings relating to child abuse and neglect, commitment trials and termination of parental rights trials, as well as, civil litigation in other state and federal courts, and administrative and labor tribunals. This service also provides on-going legal consultation to the Director, administrators, and line staff involved in Family Court proceedings.

Program Objectives

Provide leadership and direction in the development and implementation of an efficient and productive system of service delivery to Rhode Island's children and families.

Provide consistent legal representation and consultation to all departmental staff involved in court proceedings.

Provide comprehensive, integrated management services to assure fiscal integrity and accurate data collection and interpretation.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families.

The Budget

Department of Children, Youth and Families Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|--------------------|--------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Support Services | 4,937,602 | 5,213,139 | 2,818,993 | 3,541,698 | 3,300,762 |
| Office of Budget | 1,696,803 | 1,259,843 | 1,074,025 | 1,061,472 | 1,020,188 |
| Information Systems | 2,584,001 | 2,513,534 | 2,018,957 | 2,120,849 | 2,132,096 |
| Office of the Director | 1,025,653 | 1,081,678 | 1,215,236 | 885,758 | 899,103 |
| Total Expenditures | \$10,244,059 | \$10,068,194 | \$7,127,211 | \$7,609,777 | \$7,352,149 |
| Expenditures By Object | | | | | |
| Personnel | 8,469,851 | 8,427,364 | 5,444,611 | 5,907,902 | 5,650,274 |
| Operating Supplies and Expenses | 1,499,828 | 1,547,838 | 1,395,203 | 1,569,371 | 1,569,371 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 43,305 | 1,147 | 1,147 | 1,147 | 1,147 |
| Subtotal: Operating Expenditures | \$10,012,984 | \$9,976,349 | \$6,840,961 | \$7,478,420 | \$7,220,792 |
| Capital Purchases and Equipment | 231,075 | 91,845 | 286,250 | 131,357 | 131,357 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$10,244,059 | \$10,068,194 | \$7,127,211 | \$7,609,777 | \$7,352,149 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,906,407 | 7,200,952 | 5,162,842 | 5,277,833 | 5,077,434 |
| Federal Funds | 3,337,652 | 2,867,242 | 1,964,369 | 2,331,944 | 2,274,715 |
| Total Expenditures | \$10,244,059 | \$10,068,194 | \$7,127,211 | \$7,609,777 | \$7,352,149 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Children, Youth, and Families Children's Behavioral Health Services

Program Operations

The Children's Behavioral Health and Education Services Program is primarily responsible for the design, implementation, and monitoring of a continuum of therapeutic services to seriously emotionally disturbed children, youth, and families. Children placed in the custody of the department due to abuse, neglect or dependency, as well as, children in the custody of their parents or legal guardians are eligible for these services. Most of these services, with the exception of psychiatric hospitalization, are provided by non-profit agencies and delivered in community-based settings. Coordination and local planning is facilitated through the Child and Adolescent Services System (Project/CASSP), which sponsors a Local Coordinating Council (LCC) representing all children/youth and serving agencies within each region of the State. Each LCC supports planning teams which coordinate children's behavioral health services and provides funding for non-traditional services on a case-by-case basis. Children's Intensive Services provides a comprehensive array of community-based mental health services designed to reduce the need for inpatient care and to support severely emotionally disturbed children and their families in community settings.

Project HOPE, an extension of the CASSP/LCC system of care, was a federally funded program until September of 2005. This statewide initiative that serves youth, who have serious emotional disturbances and are transitioning from the Rhode Island Training School for Youth (RITS) back to their own communities, is now state funded. A full range of project services including mental health services, case management, vocational training, family service coordinating and wrap-around are available in the four catchment areas of Providence, Pawtucket/Central Falls, Northern Rhode Island and Kent County.

In addition, the division is partnering with Contracts and Program Development and the community mental health centers, in convening Care Management Teams. These teams, composed of DCYF staff, community partners, and parents, utilize the continuum of resources from community-based to residential treatment services in planning for the least-restrictive appropriate level of care for children with serious treatment needs.

Program Objectives

Design and implement a comprehensive array of therapeutic services to meet the needs of seriously emotionally disturbed children and youth; expand and enhance the capacity of local communities and regions to meet these needs within their geographic areas; provide services in the least restrictive environment utilizing inpatient psychiatric care and residential treatment only until a child can return to a community-based service.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Law defines the functions of the Department of Children, Youth and Families; Title 40.1 Chapter 5 describes the provisions relating to mental health laws. The act creating the LCC sub-program was 98-H-8122, Substitute A.

The Budget

Department of Children, Youth and Families Children's Behavioral Health Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Children's Mental Health | 61,666,269 | 25,640,288 | 24,253,739 | 18,903,348 | 19,000,135 |
| Psychiatric Services | 10,001,612 | - | - | - | - |
| Local Coordinating Council | 2,507,771 | 2,465,115 | 3,239,961 | 2,093,589 | 803,028 |
| CBH Educational Services | 1,570,597 | 1,686,211 | 1,526,377 | 2,809,416 | 1,936,498 |
| Total Expenditures | \$75,746,249 | \$29,791,614 | \$29,020,077 | \$23,806,353 | \$21,739,661 |
| Expenditures By Object | | | | | |
| Personnel | 3,013,171 | 2,807,048 | 2,233,103 | 2,187,653 | 2,615,527 |
| Operating Supplies and Expenses | 159,293 | 140,781 | 202,356 | 174,486 | 174,486 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 72,497,539 | 26,843,785 | 25,925,422 | 20,877,769 | 18,150,424 |
| Subtotal: Operating Expenditures | \$75,670,003 | \$29,791,614 | \$28,360,881 | \$23,239,908 | \$20,940,437 |
| Capital Purchases and Equipment | 76,246 | - | 659,196 | 566,445 | 799,224 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$75,746,249 | \$29,791,614 | \$29,020,077 | \$23,806,353 | \$21,739,661 |
| Expenditures By Funds | | | | | |
| General Revenue | 38,166,680 | 15,802,960 | 16,087,176 | 12,129,519 | 10,687,390 |
| Federal Funds | 37,516,354 | 13,988,654 | 12,287,901 | 11,110,389 | 10,253,047 |
| Other Funds | 63,215 | - | 645,000 | 566,445 | 799,224 |
| Total Expenditures | \$75,746,249 | \$29,791,614 | \$29,020,077 | \$23,806,353 | \$21,739,661 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Children, Youth, and Families Juvenile Correctional Services

Program Operations

The Juvenile Correctional Services Program consists of three sub-programs providing varying levels of service to wayward and delinquent youngsters.

Institutional programming is conducted at the Rhode Island Training School for Youth. The facility provides a secure, structured residential program for adjudicated delinquents and those awaiting trial on serious offenses. Residents undergo an assessment at intake into the facility and are programmed accordingly. Services include case management, education, recreation, and a selection of contracted or purchased services (e.g. medical, drug and alcohol counseling, violent offender/sex offender therapy).

Community-based programming (Probation and Parole) includes both residential and non-residential services. Non-residential services are provided directly by departmental staff as well as through purchase of services or contracts. Community-based residential services are available for adjudicated youngsters who require residential care but not a setting as secure and structured as the Training School. These services are provided via contractual and purchase of service arrangements.

Educational services are provided to all Rhode Island Training School residents, both detained and adjudicated. Residents are grouped by academic levels and/or individual education plan specifications. The services adhere to all Rhode Island Department of Education regulations.

Program Objectives

Provide secure and structured residential programming for adjudicated juvenile offenders and those awaiting trial.

Increase the use of community-based programming thereby limiting the need for long-term placement in the Training School.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families; Title 42 Chapter 56 provides for the Training School for Youth; Title 14 Chapter 1 relates to the power of the court to order disposition of a juvenile.

The Budget

Department of Children, Youth and Families Juvenile Correctional Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Institutional Services | 17,905,381 | 18,271,865 | 17,646,847 | 19,582,320 | 19,616,007 |
| Juvenile Probation & Parole | 12,217,730 | 7,929,015 | 9,785,097 | 12,151,218 | 12,200,165 |
| RITS - Education Program | 4,810,450 | 4,325,394 | 4,506,761 | 4,305,592 | 4,341,445 |
| Total Expenditures | \$34,933,561 | \$30,526,274 | \$31,938,705 | \$36,039,130 | \$36,157,617 |
| Expenditures By Object | | | | | |
| Personnel | 24,465,038 | 24,365,092 | 24,240,333 | 25,300,465 | 25,349,574 |
| Operating Supplies and Expenses | 1,375,801 | 1,510,693 | 1,273,342 | 1,715,524 | 1,947,103 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 8,960,747 | 4,630,890 | 6,425,030 | 8,997,424 | 8,835,223 |
| Subtotal: Operating Expenditures | \$34,801,586 | \$30,506,675 | \$31,938,705 | \$36,013,413 | \$36,131,900 |
| Capital Purchases and Equipment | 131,975 | 19,599 | - | 25,717 | 25,717 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$34,933,561 | \$30,526,274 | \$31,938,705 | \$36,039,130 | \$36,157,617 |
| Expenditures By Funds | | | | | |
| General Revenue | 31,968,676 | 30,171,535 | 31,406,268 | 34,266,760 | 34,117,789 |
| Federal Funds | 2,663,252 | 331,680 | 522,437 | 1,749,311 | 2,016,769 |
| Restricted Receipts | 201,523 | 23,059 | 10,000 | 23,059 | 23,059 |
| Other Funds | 100,110 | - | - | - | - |
| Total Expenditures | \$34,933,561 | \$30,526,274 | \$31,938,705 | \$36,039,130 | \$36,157,617 |
| Program Measures | | | | | |
| Percentage of Adjudicated and Detained Training School Youth Passing the General Education Development Exam | | | | | |
| | 94.0% | 92.0% | 90.0% | 90.0% | 90.0% |
| Percentage of Adjudicated Training School Youth Admitted During the Fiscal Year After Release within the Prior 12 months | | | | | |
| | 42.4% | 39.9% | 39.4% | 39.4% | 39.4% |

The Program

Department of Children, Youth, and Families Child Welfare

Program Operations

The Child Welfare Program consists of several major sub-programs including Child Protective Services, Family Services, Community-Based Services, and Residential Services.

Child Protective Services is responsible for receiving and investigating allegations of child abuse/ neglect throughout Rhode Island. This division operates 24-hours a day, seven days a week. When allegations of abuse or neglect are substantiated, the investigator must determine what actions are necessary in order to assure the safety and well being of the child. Sometimes it is necessary to remove a child from the home, but much more frequently services are provided to the child and family in order to bring the family to a higher level of functioning. Sometimes these services are provided by department social workers who operate out of one of four regional offices and sometimes by private, non-profit community agencies.

When a child requires out of home care, every effort is made to place him/her with relatives or others known to him/her. When a child requires a level of care beyond foster care, there is an array of services available from community-based group homes to intensive residential treatment.

The department also supports prevention and early intervention programs to address the issues and problems which lead to child abuse/neglect and to provide services so that family functioning does not deteriorate to a point where DCYF intervention becomes necessary.

Program Objectives

Protect children through the timely investigation of child abuse and neglect complaints.

Maintain the family unit when possible through the provision of services, which improves family functioning.

Aggressively pursue permanency through the provision of reunification and adoption services for children who have to be removed from their home.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families; Title 40 Chapter 11 relates to abused and neglected children; Title 14 Chapter 1 relates to proceedings in Family Court.

The Budget

Department of Children, Youth and Families Child Welfare

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Protective Services | 19,040,927 | 18,767,153 | 21,093,470 | 20,859,098 | 23,604,524 |
| Family Services | 18,716,151 | 16,883,407 | 16,743,777 | 17,337,976 | 17,169,374 |
| Community Services | 11,646,435 | 8,877,540 | 11,611,792 | 12,672,471 | 5,662,599 |
| Prevention Services | 2,501,499 | 15,112,919 | 13,371,949 | 12,906,229 | 12,113,076 |
| Board & Care | 109,099,273 | 67,602,278 | 48,970,053 | 84,656,583 | 108,552,713 |
| Foster Care | 29,642,824 | 29,153,851 | 29,864,218 | 33,964,027 | 15,262,942 |
| Total Expenditures | \$190,647,109 | \$156,397,148 | \$141,655,259 | \$182,396,384 | \$182,365,228 |
| Expenditures By Object | | | | | |
| Personnel | 48,671,145 | 43,845,137 | 44,642,412 | 42,663,847 | 43,401,219 |
| Operating Supplies and Expenses | 5,418,329 | 5,069,775 | 5,151,518 | 5,312,458 | 5,312,458 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 136,489,788 | 106,928,145 | 91,296,329 | 133,732,016 | 133,004,775 |
| Subtotal: Operating Expenditures | \$190,579,262 | \$155,843,057 | \$141,090,259 | \$181,708,321 | \$181,718,452 |
| Capital Purchases and Equipment | 67,847 | 554,091 | 565,000 | 688,063 | 646,776 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$190,647,109 | \$156,397,148 | \$141,655,259 | \$182,396,384 | \$182,365,228 |
| Expenditures By Funds | | | | | |
| General Revenue | 112,149,539 | 98,116,167 | 84,277,434 | 110,984,829 | 108,639,814 |
| Federal Funds | 76,907,266 | 55,029,887 | 55,064,884 | 68,524,268 | 70,960,414 |
| Restricted Receipts | 1,565,499 | 2,708,691 | 1,747,941 | 2,261,000 | 2,180,000 |
| Other Funds | 24,805 | 542,403 | 565,000 | 626,287 | 585,000 |
| Total Expenditures | \$190,647,109 | \$156,397,148 | \$141,655,259 | \$182,396,384 | \$182,365,228 |
| Program Measures | | | | | |
| Percentage of Children in Foster Care for Less than 12 Months Who Have Experienced Two or Fewer Placements | 84.3% | 84.8% | 85.8% | 85.8% | 85.8% |
| Percentage of Children Experiencing a Recurrence of Abuse and/or Neglect | 13.3% | 12.8% | 12.3% | 12.3% | 11.8% |
| Percentage of Children Reunified with Parents or Caretaker within 12 Months | 73.1% | 73.6% | 74.1% | 74.1% | 74.6% |
| Percentage of Children Re-entering Foster Care within 12 months of a Previous Placement | 18.2% | 17.7% | 17.2% | 17.2% | 16.7% |
| Percentage of Children Adopted within 24 Months of Removal from Home | 31.3% | 31.8% | 32.3% | 32.3% | 32.8% |

The Program

Department of Children, Youth, and Families Higher Education Incentive Grants

Program Operations

The Department of Children, Youth, and Families is responsible for assisting older youth under its care and custody to become self-sufficient and be prepared for transition to independence.

One important means of assisting youth in becoming independent is to encourage education to the highest degree possible. Higher Education Incentive Grants have as their purpose to provide added incentive to achieve a high school diploma and to encourage youth to pursue educational goals beyond high school.

Program Objectives

The main objective is to encourage and assist more youngsters in departmental care to consider and perceive higher education as a viable option, and to allow them the same opportunities that are afforded youth from other families.

Statutory History

Chapter 511, PL 99-S 282, Substitute A amended Section 1, Title 42 provides funds to supplement financial aid to youth who are in the department's custody and who have been accepted at the University of Rhode Island, Community College of Rhode Island, or Rhode Island College to allow these students to pay essential educational costs without loans.

The Budget

Department of Children, Youth and Families Higher Education Incentive Grants

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Subtotal: Operating Expenditures | \$200,000 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$200,000 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| Expenditures By Funds | | | | | |
| General Revenue | 200,000 | 200,000 | 200,000 | 200,000 | 200,000 |
| Total Expenditures | \$200,000 | \$200,000 | \$200,000 | \$200,000 | \$200,000 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Department of Elderly Affairs

Agency Operations

The Department of Elderly Affairs is the designated State Agency on Aging for Rhode Island. As such, the department is responsible for the development and implementation of a comprehensive, coordinated system of community-based care for persons sixty years of age and older. The department is headed by a director who is appointed by the Governor. Departmental responsibilities include developing and implementing a “*State Plan on Aging*” and serving as the state’s single planning and service area on aging under the federal Older Americans Act (OAA). The primary focus of the Department of Elderly Affairs is to preserve the independence, dignity and capacity for choice of seniors, their families and caregivers. The department is the lead state agency advocate to protect and preserve the rights of older individuals; operates services designed to assist seniors in remaining independent in the community; and administers and funds a wide range of community programs, activities and services. Some services are provided directly by the department staff, but many are provided through a strong and coordinated community-based network of senior centers, adult day centers nutrition programs, paratransit program, senior housing and assisted care facilities, home care, advocacy groups, the Aging and Disability Resource Center (The POINT), Community Information Specialists (statewide regional POINTS), and other community partners. The department’s grants management staff and the department as a whole coordinate the efforts and activities of the State Aging Network through the allocation and monitoring of federal and state funds.

Agency Objectives

As outlined in the department’s “*State Plan on Aging*” under the Older Americans Act, (OAA) and in the relevant laws and policies of the State of Rhode Island, the department is dedicated to providing leadership and advocacy in emerging elder issues. The department is committed to providing services that are consumer-focused, high quality and easily accessible. The department will continue to enhance and implement a comprehensive coordinated system of elder service delivery that expands the options for community-based care for all older Rhode Islanders, their families, caregivers and adults with disabilities. The department will work to strengthen programs and services that enable older Rhode Islanders to live an independent, healthy and dignified lifestyle while continuing to make meaningful contributions in the community. DEA will also continue to serve as the statewide advocacy agency for the needs of elders and adults with disabilities.

Statutory History

The Department of Elderly Affairs was created in 1977. R.I.G.L. 42-66 established and provides for the organization and functions of the department. Federal authority rests under the Older Americans Act of 1965 as amended.

The Budget

Department of Elderly Affairs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Administrative Services | 1,723,590 | 1,390,257 | 1,304,580 | 1,068,675 | 1,019,226 |
| Program Services | 32,350,223 | 31,031,170 | 30,223,489 | 31,061,751 | 30,985,771 |
| RIPAE | 1,878,057 | 1,961,841 | 2,051,654 | 2,051,654 | 1,164,878 |
| Total Expenditures | \$35,951,870 | \$34,383,268 | \$33,579,723 | \$34,182,080 | \$33,169,875 |
| Expenditures By Object | | | | | |
| Personnel | 4,009,353 | 3,572,954 | 3,652,854 | 3,137,348 | 2,955,830 |
| Operating Supplies and Expenses | 778,108 | 77,198 | 2,201,578 | 1,323,417 | 619,720 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 26,939,725 | 22,554,211 | 19,315,894 | 21,872,412 | 22,349,567 |
| Subtotal: Operating Expenditures | \$31,727,186 | \$26,204,363 | \$25,170,326 | \$26,333,177 | \$25,925,117 |
| Capital Purchases and Equipment | 2,142 | (22,798) | 97,989 | 126,639 | 111,639 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 4,222,542 | 8,201,703 | 8,311,408 | 7,722,264 | 7,133,119 |
| Total Expenditures | \$35,951,870 | \$34,383,268 | \$33,579,723 | \$34,182,080 | \$33,169,875 |
| Expenditures By Funds | | | | | |
| General Revenue | 18,809,664 | 16,969,063 | 16,071,786 | 13,904,044 | 11,687,598 |
| Federal Funds | 12,057,604 | 11,980,485 | 12,257,937 | 14,678,036 | 16,460,162 |
| Restricted Receipts | 741,000 | 956,578 | 620,000 | 970,000 | 392,115 |
| Other Funds | 4,343,602 | 4,477,142 | 4,630,000 | 4,630,000 | 4,630,000 |
| Total Expenditures | \$35,951,870 | \$34,383,268 | \$33,579,723 | \$34,182,080 | \$33,169,875 |
| FTE Authorization | 48.0 | 44.0 | 35.0 | 32.0 | 31.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 14.5% | 16.5% | 16.5% | 16.5% | 6.5% |
| Females as a Percentage of the Workforce | 89.0% | 89.0% | 89.0% | 89.0% | 93.0% |
| Persons with Disabilities as a Percentage of the Workforce | 14.5% | 16.5% | 16.5% | 16.5% | 10.0% |
| Program Measures | | | | | |
| Percentage of Elderly Abuse Involving the Same Victim | 43.0% | 48.1% | 53.7% | 53.7% | 54.2% |
| Self-Neglect Percentage of Reports Involving the Same Victim | 30.6% | 33.2% | 36.8% | 36.8% | 38.8% |

The Agency

Department of Health

Agency Operations

“Safe and Healthy Lives in Safe and Healthy Communities”! All the programs and services of the Rhode Island Department of Health contribute to this one vision. It organizes and prioritizes the department’s response to threats to public health and measures its accomplishments.

The Department of Health embraces multi-faceted and broad-ranging public health responsibilities at the state and local level. Its mission is “to prevent disease and to protect and promote the health and safety of the people of Rhode Island.” To reach its objectives the department employs services and policies based on the science of public health epidemiology.

The Department of Health includes seven programs: Central Management, Office of the State Medical Examiner, Community and Family Health and Equity, Environmental and Health Services Regulation, Health Laboratories, Public Health Information and Infectious Disease and Epidemiology. *For the purposes of consolidating and integrating the aspect of criminal investigation with the collection, review, investigation analysis and reporting of evidence obtained through forensic sciences, the Governor recommends transferring the Forensic Sciences Unit to the Department of Public Safety in FY 2010.*

Program Objectives

The Rhode Island Department of Health consists of eight “core functions”, including:

- Assuring safe food and water supply; responding to emergencies
- Controlling infectious and communicable disease; promoting health and control chronic disease, injury, and disabilities; assuring positive pregnancy outcomes
- Monitoring the health of the population and maintaining a public health knowledge-base; assuring health care quality and minimum standards, and competency of health facilities and professional licensees
- Assisting high-risk populations to needed health services; developing insights through research and leading the development of health policy and planning

Statutory History

The Department of Health was established by Section 23-1-1 of the General Laws of Rhode Island. This section states that “the Department of Health shall take cognizance of the interests of life and health among the peoples of the state; shall make investigations into the causes of disease, the prevalence of epidemics and endemics among the people, the sources of mortality, the effect of localities, employments and all other conditions, ascertain the causes and the best means for the prevention and control of diseases or conditions detrimental to the public health, and adopt proper and expedient measures to prevent and control such diseases and conditions in Rhode Island. It shall publish and circulate, from time to time, such information as the Director may deem to be important and useful for diffusion among people of Rhode Island, and shall investigate, and give advice in relation to such subjects relating to public health as may be referred to it by the General Assembly or by the Governor when the General Assembly is not in session, or when requested by any city or town. The department shall adopt and promulgate such rules and regulations as it deems necessary, not inconsistent with law, to carry out the purposes of this section.”

The Budget

Department of Health

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 12,899,744 | 15,058,314 | 14,828,732 | 15,873,781 | 15,669,191 |
| State Medical Examiner | 2,127,549 | 2,154,700 | 2,384,072 | 2,948,037 | 2,968,651 |
| Environmental & Health Services Reg. | 14,101,664 | 14,746,197 | 16,647,027 | 17,143,391 | 17,092,296 |
| Health Laboratories | 7,371,066 | 7,472,070 | 8,332,987 | 7,711,742 | 5,904,985 |
| Public Health Information | 2,609,209 | 3,872,445 | 3,993,472 | 4,306,213 | 4,368,423 |
| Community & Family Health & Equity | 61,306,489 | 79,240,708 | 75,155,497 | 81,724,053 | 83,528,615 |
| Infectious Disease & Epidemiology | 4,221,262 | 4,007,575 | 4,735,989 | 4,216,928 | 4,524,788 |
| Total Expenditures | \$104,636,983 | \$126,552,009 | \$126,077,776 | \$133,924,145 | \$134,056,949 |
| Expenditures By Object | | | | | |
| Personnel | 50,539,033 | 65,910,760 | 52,986,606 | 57,306,274 | 57,130,730 |
| Operating Supplies and Expenses | 18,922,783 | 20,854,640 | 32,480,386 | 33,001,400 | 34,036,692 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 34,768,584 | 39,184,772 | 38,916,394 | 42,615,465 | 42,409,432 |
| Subtotal: Operating Expenditures | \$104,230,400 | \$125,950,172 | \$124,383,386 | \$132,923,139 | \$133,576,854 |
| Capital Purchases and Equipment | 406,583 | 601,837 | 1,694,390 | 1,001,006 | 480,095 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$104,636,983 | \$126,552,009 | \$126,077,776 | \$133,924,145 | \$134,056,949 |
| Expenditures By Funds | | | | | |
| General Revenue | 31,490,514 | 29,985,420 | 32,281,674 | 30,753,976 | 30,596,230 |
| Federal Funds | 60,912,005 | 80,827,914 | 68,180,665 | 78,221,330 | 78,444,370 |
| Restricted Receipts | 12,204,993 | 15,692,703 | 25,486,027 | 24,717,414 | 24,784,937 |
| Other Funds | 29,471 | 45,972 | 129,410 | 231,425 | 231,412 |
| Total Expenditures | \$104,636,983 | \$126,552,009 | \$126,077,776 | \$133,924,145 | \$134,056,949 |
| FTE Authorization | 465.6 | 459.0 | 413.5 | 409.6 | 414.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.5% | 14.2% | 14.1% | 14.3% | 14.5% |
| Females as a Percentage of the Workforce | 66.7% | 68.0% | 68.0% | 68.0% | 68.0% |
| Persons with Disabilities as a Percentage of the Workforce | 1.2% | 1.0% | 1.5% | 1.0% | 2.0% |

The Program

Department of Health Central Management

Program Operations

Central Management includes three sub-programs: Executive Functions, Management Services, and Emergency Preparedness and Response. The Executive Function, headed by the Director of Health, provides overall direction for the department and coordinates operations across program lines to carry out statutory mandates. The director and senior management establish critical policy issues intended to guide the development of public health policies, programs, and services including assuring that the quality and access to health care services is maintained in the face of rapid change; developing and maintaining the knowledge base of public health and use information to improve health; enhancing community capacity and structure so that communities will improve their health; eliminating disparities in health among populations; and developing support for public health.

Management Services administers Budget and Finance, Personnel, and Information Systems. Budget and Finance prepares and executes the departmental budget and performs allocation control and cash management of all accounts. Budget and Finance is also responsible for purchasing and support services, which manages all purchasing and facility maintenance functions in the department. The Personnel Office implements all personnel actions and labor relations functions. Information Systems plans, evaluates, implements and manages data processing and electronic communications systems.

Emergency Preparedness and Response provides a coordination of education, assessment, planning, response and support services involving public health providers, private medical providers, public safety agencies and government officials to create and promote a state of readiness and prompt response to protect the health of Rhode Island during catastrophic events, large-scale disasters and emergencies.

Program Objectives

Prevent disease; protect and promote the health and safety of the people of Rhode Island; maintain an effective and efficient system for management of the department; maintain a knowledge base for public health policy, planning and program development; and inform and educate the public concerning the health of the state population.

Statutory History

General authority is provided in Title 23 Chapter 1 of the Rhode Island General Laws. The Public Health Emergency Preparedness funding is authorized under the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. Public Law 107-188, enacts Section 319C of the Public Health Service Act, 42 U.S.C. 247d-3a. The Hospital Preparedness Program is authorized under Public Health Services Act Sections 301, 307, 311 and 319, public Law 108-111, U.S.C. 247d-3.

The Budget

Department of Health Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Executive Functions | 954,556 | 825,795 | 2,090,999 | 2,051,827 | 3,312,697 |
| Management Services | 4,753,796 | 4,055,621 | 3,440,797 | 2,266,683 | 2,096,222 |
| Emergency Preparedness & Response | 7,191,392 | 10,176,898 | 9,296,936 | 11,555,271 | 10,260,272 |
| Total Expenditures | \$12,899,744 | \$15,058,314 | \$14,828,732 | \$15,873,781 | \$15,669,191 |
| Expenditures By Object | | | | | |
| Personnel | 7,749,963 | 6,446,624 | 6,476,962 | 7,580,582 | 8,348,236 |
| Operating Supplies and Expenses | 2,832,591 | 4,550,307 | 4,280,959 | 4,591,153 | 4,009,810 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,158,996 | 3,632,028 | 3,436,210 | 3,338,546 | 3,252,645 |
| Subtotal: Operating Expenditures | \$12,741,550 | \$14,628,959 | \$14,194,131 | \$15,510,281 | \$15,610,691 |
| Capital Purchases and Equipment | 158,194 | 429,355 | 634,601 | 363,500 | 58,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$12,899,744 | \$15,058,314 | \$14,828,732 | \$15,873,781 | \$15,669,191 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,432,565 | 1,808,679 | 2,682,917 | 2,343,745 | 2,304,615 |
| Federal Funds | 7,206,183 | 9,177,622 | 8,296,936 | 10,855,271 | 10,860,272 |
| Restricted Receipts | 3,260,996 | 4,072,013 | 3,848,879 | 2,674,765 | 2,504,304 |
| Total Expenditures | \$12,899,744 | \$15,058,314 | \$14,828,732 | \$15,873,781 | \$15,669,191 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Health – State Medical Examiner

Program Operations

The Office of the State Medical Examiner investigates causes of death that involve injury, are sudden, unexpected, and unexplained; or causes of death that may, in any way, endanger the public health and safety. Investigations cover all known or suspected homicides, suicides, accidents, sudden infant deaths, drug related deaths and medically unattended deaths. Investigation techniques include scene investigation, study of medical and police records, autopsy, body inspection, bodily fluid investigation and other tests as deemed necessary. The Medical Examiners' Office keeps complete records on all cases and provides expert testimony on criminal cases for the state law enforcement agencies and the courts. The Office of the State Medical Examiner is required by statute to approve all cremations performed in Rhode Island.

Other functions of the Office include: participation in mass disaster preparedness protocol development and training; research in forensic pathology; education and training of resident and fellow physicians; training law enforcement personnel in techniques of homicide investigations and disseminating public information about causes of death in the State.

Program Objectives

- Investigate and determine the manner and circumstance of death and to properly and accurately certify the cause of death in unnatural cases.
- Promote safety and reduce untimely deaths through research and education.
- Maintain a knowledge base for public health and use information to improve health status.
- Assure that the quality of health care services is maintained in the face of rapid change.

Statutory History

The Office of the State Medical Examiners is authorized under Title 23 Chapter 4 of the Rhode Island General Laws.

The Budget

Department of Health State Medical Examiner

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,913,530 | 1,929,988 | 2,111,950 | 2,628,018 | 2,705,246 |
| Operating Supplies and Expenses | 200,684 | 195,723 | 199,122 | 234,719 | 235,905 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$2,114,214 | \$2,125,711 | \$2,311,072 | \$2,862,737 | \$2,941,151 |
| Capital Purchases and Equipment | 13,335 | 28,989 | 73,000 | 85,300 | 27,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,127,549 | \$2,154,700 | \$2,384,072 | \$2,948,037 | \$2,968,651 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,983,872 | 1,999,900 | 2,360,089 | 2,783,220 | 2,833,634 |
| Federal Funds | 143,677 | 154,800 | 23,983 | 164,817 | 135,017 |
| Total Expenditures | \$2,127,549 | \$2,154,700 | \$2,384,072 | \$2,948,037 | \$2,968,651 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Health Community and Family Health and Equity

Program Operations

The Division of Community, Family Health and Equity (DCFHE) provides leadership, planning, and infrastructure to the Department of Health's efforts to eliminate health disparities; assure healthy child development, and reduce, prevent and control chronic diseases and disabilities and risk factors, as well as HIV/AIDS and Viral Hepatitis. The division also plans, develops, and evaluates programs and family-centered systems of care, which are comprehensive, community-based, culturally competent, coordinated and effective. The division achieves its goals and objectives through seven sub-programs: 1) Office of Associate Director, 2) Health Disparities and Access to Care; 3) Healthy Homes and Environments; 4) Chronic Care and Disease Management; 5) Health Promotion and Wellness; 6) Perinatal and Early Childhood; and 7) Preventive Services and Community Practices.

- Office of Associate Director: provides leadership and direction to all sub-programs
- Health Disparities and Access to Care: plans, develops and implements programs to eliminate health disparities for certain sub-cultural groups, populations with special needs and in rural areas
- Healthy Homes and Environments: protects the health and safety of children and families by decreasing, if not eliminating, environmental hazards such as lead
- Chronic Care and Disease Management: utilize a systems approach to chronic care management to improve health outcomes
- Health Promotion and Wellness: reduces and prevents diseases and disabilities amongst school-aged children through education, training, outreach and intervention
- Perinatal and Early Childhood Health: provides access to a system of quality maternal and child health and developmental services
- Preventive Services and Community Practices: reduces the incidence of health problems or disease prevalence in the community, or the personal risk factors for such diseases or conditions

Program Objectives

- Ensuring that all populations have equal access to high quality health services
- Establishing and strengthening partnerships to enhance and improve public health at the community, provider and consumer levels
- Developing and promoting healthy homes and environments for families through training, education, and outreach
- Engaging and empowering parents through their involvement in public health policy and program development
- Developing new models of health care capacity that connects community primary health and mental health providers to nutrition, child care and education
- Improve women's health and pregnancy outcomes through training, education, outreach and partnerships with communities and reproductive health professionals and facilities

Statutory History

Authorization for Community and Family Health and Equity is contained in Title 1, 35, 56 and 76 of the Rhode Island General Laws.

The Budget

Department of Health Community and Family Health and Equity

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Associate Director | 4,868,394 | 4,147,288 | 5,002,724 | 5,305,210 | 5,253,870 |
| Health Disparities and Access | 1,980,902 | 1,454,620 | 1,372,438 | 1,515,993 | 1,533,297 |
| Healthy Homes and Environment | 3,513,173 | 3,199,685 | 3,219,848 | 3,856,256 | 3,814,285 |
| Chronic Care and Disease Management | 12,882,002 | 12,505,418 | 13,998,937 | 15,463,708 | 15,436,175 |
| Health Promotion and Wellness | 4,945,416 | 4,086,688 | 4,464,130 | 5,077,506 | 4,942,133 |
| Prenatal and Early Childhood | 24,613,735 | 27,511,989 | 27,877,818 | 30,934,247 | 30,923,617 |
| Preventative Svcs and Community Practices | 8,502,867 | 26,335,020 | 19,219,602 | 19,571,133 | 21,625,238 |
| Total Expenditures | \$61,306,489 | \$79,240,708 | \$75,155,497 | \$81,724,053 | \$83,528,615 |
| Expenditures By Object | | | | | |
| Personnel | 18,149,330 | 32,244,177 | 17,492,534 | 20,146,701 | 19,999,210 |
| Operating Supplies and Expenses | 11,652,550 | 12,556,216 | 23,532,290 | 23,751,840 | 25,703,915 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 31,496,082 | 34,406,470 | 34,019,297 | 37,786,362 | 37,786,340 |
| Subtotal: Operating Expenditures | \$61,297,962 | \$79,206,863 | \$75,044,121 | \$81,684,903 | \$83,489,465 |
| Capital Purchases and Equipment | 8,527 | 33,845 | 111,376 | 39,150 | 39,150 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$61,306,489 | \$79,240,708 | \$75,155,497 | \$81,724,053 | \$83,528,615 |
| Expenditures By Funds | | | | | |
| General Revenue | 8,668,498 | 7,465,848 | 6,151,991 | 6,063,460 | 6,065,874 |
| Federal Funds | 45,855,129 | 62,618,842 | 50,537,986 | 56,564,189 | 58,374,263 |
| Restricted Receipts | 6,753,351 | 9,110,096 | 18,336,110 | 18,864,979 | 18,857,066 |
| Operating Transfers from Other Funds | 29,511 | 45,922 | 129,410 | 231,425 | 231,412 |
| Total Expenditures | \$61,306,489 | \$79,240,708 | \$75,155,497 | \$81,724,053 | \$83,528,615 |
| Program Measures | | | | | |
| No. of Births per 1,000 Teen aged 15-17 in RI Core Cities | 40.7 | 39 | 38.5 | 38.5 | 38 |
| Community & Family Health & Equity (DOH)/Planning Number of Children with Blood Levels greater than 10ug/dl for the 1st Time in Their Lives | 500 | 348 | 248 | 248 | 148 |
| Percent of Low Income Women Infants ever Breastfed | 66.1% | 72.4% | 74.0% | 74.0% | 75.0% |
| Percent of Preschool Children w/Complete Immunizations | 76.8% | 75.0% | 78.0% | 78.0% | 80.0% |
| Number of Times Pediatric Providers Access KIDSNET | 133,817 | 219,604 | 350,000 | 350,000 | 400,000 |
| Percent of Adults Over 18 Who Smoke | 19.4% | 17.0% | 16.0% | 16.0% | 16.0% |
| Percent of Adolescent Student Grades 9-12 Who Smoke | 15.9% | 15.1% | 15.1% | 15.1% | 15.1% |
| Percent of Program Eligible Women Age 40-64 Receiving Annual Mammograms | 36.0% | 38.0% | 38.5% | 38.5% | 39.0% |
| Percent of program Eligible Women Age 40-64 Receiving Annual Pap Smears | 66.0% | 68.0% | 69.0% | 69.0% | 70.0% |
| Percentage of Diabetic Patients of RICCC who have Received one Hemoglobin A1c in the Past 12 Months | 68.0% | 74.0% | 75.0% | 75.0% | 76.0% |
| Avg Hemoglobin A1c for Diabetic Patients of RICCC Participants | 7.6 | 7.7 | 7.3 | 7.3 | 7.3 |
| Number of AIDS/HIV Death's per year in RI | 35 | 24 | 25 | 25 | 30 |
| Percent of Calls to Poison Control Center Managed Without a Doctor's Visit | 75.7% | 75.6% | 75.0% | 75.0% | 80.0% |

The Program

Department of Health Environmental and Health Services Regulation

Program Operations

The Division of Environmental Health Services Regulation licenses, certifies, and enforces regulations relating to health care professionals and facilities, managed care organizations and health systems development; and protects and promotes the public's health in the areas of drinking water quality, food protection and radiological health. Also, complaints from any source are investigated and, if substantiated, appropriate compliance action is initiated. The division is organized by responsibilities for licensure, oversight, and regulatory functions by category of licensure. It consists of the following sub-programs: Associate Director, Drinking Water Quality, Food Protection, Health Professionals Regulation, Facilities Regulations, Radiological Health, and Managed Care.

- Office of Associate Director: provides leadership and direction to all other sub-programs
- Drinking Water Quality: assures compliance with Safe Drinking Water Act of public drinking water supplies and oversees public water system infrastructure
- Food Protection: assures the safety and quality of the food supply from harvest to consumer as well as the water quality of the state's public bathing beaches.
- Health Professional Regulations: protects the public from unscrupulous health professionals by ensuring compliance with standards and ethics
- Facilities Regulations: visits on a periodic basis healthcare facilities to assure compliance with both state and federal public laws, rules, and regulations.
- Radiological Health: inspects, on a periodic basis, x-ray equipment and the facilities housing them to prevent, if not eliminate, the hazards of radioactive exposure.
- Managed Care: enforces terms and conditions of state's laws, rules and regulations regarding Health Maintenance Organizations (HMOs), utilization review agencies, and health plans, including the provisions of the programs of "Certificate of Need" and Change in Effective Control and Initial Licensure

Program Objectives

- Direct and coordinate the Department's health services regulatory activities; Assure minimum standards and competency of health facilities, managed care organizations, and professional licensees; promote high quality health care services through licensed health care facilities, licensed health care professionals, certified managed care organizations, and health plans.
- Assure the appropriate use of drugs and other controlled substances through enforcement, compliance, and regulatory activities; assure that the quality of health care services is maintained in the face of rapid change
- Inform and educate the public of licensee information, nursing home quality information and restaurant inspections through the Department's web site
- Protect and promote health and prevent disease by assuring the safety and quality of the food supply from harvest to consumer.
- Protect public health by assuring that public drinking water supplies comply with the standards of the Safe Drinking Water Act.
- Assure water quality at Rhode Island's public bathing beaches.

Statutory History

Authorization for programs within Environmental and Health Services Regulation is contained in Titles 2, 5, 21, 23, 28, 31, 42 and 46 of the Rhode Island General Laws.

The Budget

Department of Health Environmental and Health Services Regulation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Associate Director | 698,583 | 1,319,185 | 1,552,998 | 1,681,086 | 2,081,689 |
| Drinking Water Quality | 2,760,881 | 2,831,261 | 3,454,972 | 3,691,579 | 3,726,274 |
| Food Protection | 2,459,238 | 2,477,553 | 2,862,575 | 2,914,189 | 2,812,698 |
| Health Professionals Regulation | 2,930,824 | 2,815,791 | 3,190,567 | 3,513,295 | 3,650,608 |
| Facilities Regulations | 4,827,862 | 4,933,726 | 5,125,857 | 5,019,448 | 4,650,289 |
| Radiological Health | 59,230 | 42,139 | 40,926 | 192,399 | 41,154 |
| Managed Care | 365,046 | 326,542 | 419,132 | 131,395 | 129,584 |
| Total Expenditures | \$14,101,664 | \$14,746,197 | \$16,647,027 | \$17,143,391 | \$17,092,296 |
| Expenditures By Object | | | | | |
| Personnel | 11,892,743 | 13,033,610 | 14,042,913 | 14,555,456 | 14,907,766 |
| Operating Supplies and Expenses | 1,661,202 | 1,296,740 | 1,631,505 | 1,554,525 | 1,248,495 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 386,503 | 333,437 | 695,850 | 688,874 | 606,410 |
| Subtotal: Operating Expenditures | \$13,940,448 | \$14,663,787 | \$16,370,268 | \$16,798,855 | \$16,762,671 |
| Capital Purchases and Equipment | 161,216 | 82,410 | 276,759 | 344,536 | 329,625 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$14,101,664 | \$14,746,197 | \$16,647,027 | \$17,143,391 | \$17,092,296 |
| Expenditures By Funds | | | | | |
| General Revenue | 8,173,534 | 8,230,716 | 9,509,529 | 9,208,798 | 9,856,814 |
| Federal Funds | 3,805,537 | 4,004,510 | 3,836,460 | 4,756,923 | 3,811,915 |
| Restricted Receipts | 2,122,593 | 2,510,971 | 3,301,038 | 3,177,670 | 3,423,567 |
| Total Expenditures | \$14,101,664 | \$14,746,197 | \$16,647,027 | \$17,143,391 | \$17,092,296 |
| Program Measures | | | | | |
| Percent of Population Served by Public Water Systems in Full Compliance | 43.2% | 42.0% | 85.0% | 85.0% | 85.0% |
| Number of Food Borne Illness per 100,000 Population | 29.0 | 28.8 | 28.0 | 28.0 | 28.0 |
| Percent of Licenses Reviewed Online | 12.0% | 49.0% | 70.0% | 70.0% | 90.0% |
| Percent of Nursing Home Intakes Investigated Within Prioritized Timeframes | 96.3% | 99.0% | 96.0% | 96.0% | 92.0% |

The Program

Department of Health Health Laboratories

Program Operations

The Health Laboratories monitor and protect public health and safety through modern scientific laboratory services. These services include surveillance testing for early detection of diseases such as tuberculosis, rabies, West Nile Virus, HIV and other sexually transmitted diseases, Lyme disease, pertussis, rubella, measles, and other emerging diseases as well as pathogens, which may represent a bioterrorism threat; surveillance and testing for lead poisoning; analysis of food products, drinking and waste water, soil and air for the presence of potentially toxic environmental contaminants; analysis of evidence obtained during the investigation of crimes such as homicide, sexual assault, drug trafficking, and drunk driving. Staff from the Health Laboratories presents expert testimony in court; and respond to public health emergencies such as man-made and natural disasters and epidemics.

The Health Laboratories analyze approximately 250,000 specimens each year. In addition to the Department of Health, the laboratory serves the Attorney General, the Department of Environmental Management, state and municipal law enforcement agencies, hospitals, private laboratories, and health care professionals. Health Laboratories offer public services to individuals, primarily on a fee-for-service basis. For the purposes of consolidating and integrating the aspect of criminal investigation with the collection, review, investigation analysis and reporting of evidence obtained through forensic sciences, the Governor recommends transferring the Forensic Sciences Unit to the Department of Public Safety in FY 2010.

Program Objectives

- Protect the public health and safety through the application of modern scientific methods
- Assure that the quality of health care services is maintained in the face of rapid changes
- Develop and maintain the knowledge base for public health and use it to improve health status
- Develop support for public health

Statutory History

Authorization for the Laboratories Program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

The Budget

Department of Health Health Laboratories

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Associate Director | 1,634,272 | 1,665,282 | 1,823,535 | 1,897,132 | 1,611,693 |
| Forensic Sciences | 2,039,582 | 2,225,294 | 2,111,774 | 2,362,471 | 651,778 |
| Environmental Sciences | 1,820,067 | 2,035,030 | 2,137,015 | 1,678,668 | 1,782,954 |
| Biological Sciences | 1,877,145 | 1,546,464 | 2,260,663 | 1,773,471 | 1,858,560 |
| Total Expenditures | \$7,371,066 | \$7,472,070 | \$8,332,987 | \$7,711,742 | \$5,904,985 |
| Expenditures By Object | | | | | |
| Personnel | 5,781,764 | 6,214,450 | 6,546,559 | 6,049,827 | 4,564,924 |
| Operating Supplies and Expenses | 1,546,076 | 1,250,152 | 1,767,178 | 1,518,615 | 1,339,961 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 87 | 65 | 100 | 100 | 100 |
| Subtotal: Operating Expenditures | \$7,327,927 | \$7,464,667 | \$8,313,837 | \$7,568,542 | \$5,904,985 |
| Capital Purchases and Equipment | 43,139 | 7,403 | 19,150 | 143,200 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$7,371,066 | \$7,472,070 | \$8,332,987 | \$7,711,742 | \$5,904,985 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,546,013 | 6,566,542 | 7,317,549 | 6,528,770 | 5,191,080 |
| Federal Funds | 825,053 | 905,528 | 1,015,438 | 1,182,972 | 713,905 |
| Total Expenditures | \$7,371,066 | \$7,472,070 | \$8,332,987 | \$7,711,742 | \$5,904,985 |
| Program Measures | | | | | |
| Percentage of Wastewater Proficiency Test Results Found Acceptable | 97.0% | 97.1% | 97.6% | 97.6% | 97.8% |
| Percentage of Human Specimen Test Results Found Acceptable | 98.8% | 98.2% | 99.0% | 99.0% | 99.2% |

The Program

Department of Health Public Health Information

Program Operations

The Public Health Information (PHI) Division responds to the public's need and desire for information to protect and promote their health and to effectively utilize health care services. PHI consists of three units: Center for Health Data and Analysis, Vital Records, and Health Information Technology.

Program Objectives

- The mission of the Center for Health Data and Analysis is to coordinate and oversee all efforts within the department of Health and between the Department and its external partners related to the assurance of health data quality and the provision of health-related data and analysis to inform health policy, monitor health status and health care quality, and support public health initiatives.
- Vital Records is responsible for maintaining the State's vital records system; collecting, analyzing and reporting of data pertaining to births, deaths, marriages, divorces, and other health related statistics; and the issuing of certified copies of vital records.
- Health Information Technology is responsible for developing a state-wide health information exchange (HIE) system. HIE will promote the adoption of electronic medical records, e-prescribing, and developing strategies to use the data to improve the quality and safety of care and for public health purposes.

Statutory History

Authorization for programs within Public Health Information is contained in Title 23, chapters 1, 3, 4, 17 and 77 and more specifically at RIGL 23-1-1, 23-1-5.5, 23-1-9, 23-1-36, 23-3-27, 23-4-14, 23-17.12-12, 23-17.17-5, and 23-77-5.

The Budget

Department of Health Public Health Information

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Center for Health Data and Analysis | 679,981 | 582,665 | 955,250 | 906,488 | 953,454 |
| Vital Records | 1,223,617 | 1,618,589 | 1,439,075 | 1,619,505 | 1,649,749 |
| Health Information Technology | 705,611 | 1,671,191 | 1,599,147 | 1,780,220 | 1,765,220 |
| Total Expenditures | \$2,609,209 | \$3,872,445 | \$3,993,472 | \$4,306,213 | \$4,368,423 |
| Expenditures By Object | | | | | |
| Personnel | 2,361,107 | 3,424,786 | 3,590,700 | 3,826,295 | 3,907,245 |
| Operating Supplies and Expenses | 246,745 | 446,169 | 391,952 | 467,598 | 448,858 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$2,607,852 | \$3,870,955 | \$3,982,652 | \$4,293,893 | \$4,356,103 |
| Capital Purchases and Equipment | 1,357 | 1,490 | 10,820 | 12,320 | 12,320 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,609,209 | \$3,872,445 | \$3,993,472 | \$4,306,213 | \$4,368,423 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,363,781 | 1,778,405 | 1,882,500 | 1,923,763 | 2,020,187 |
| Federal Funds | 1,177,415 | 2,094,367 | 2,110,972 | 2,382,450 | 2,348,236 |
| Restricted Receipts | 68,053 | (377) | - | - | - |
| Other Funds | (40) | 50 | - | - | - |
| Total Expenditures | \$2,609,209 | \$3,872,445 | \$3,993,472 | \$4,306,213 | \$4,368,423 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Health Infectious Disease and Epidemiology

Program Operations

This program is responsible for the major areas of reportable infectious communicable diseases. Program efforts are directed at surveillance and prevention of key diseases, control of disease outbreaks and public and health professional education. This program targets prevention strategies to identified high-risk groups. Diseases of concern include meningitis, Lyme disease, SARS, food borne and waterborne diseases, Hepatitis, Sexually Transmitted Diseases (STDs), vector-borne diseases such as rabies, Hantavirus, Eastern Equine Encephalitis and West Nile Virus. This program also operates a Tuberculosis (TB) Control Program, which provides clinical services (including screening, diagnosis and treatment) for un- and under-insured patients through contracts with several providers; monitors the TB epidemic through surveillance activities; performs, medical, nursing, and social case management for active cases and suspect cases; provides outreach and follow-up to active cases and their contacts; provides direct administration of prescribed therapy throughout the entire course of treatment; and provides professional and public education regarding TB. This program also operates the State's primary STD Clinic, which diagnoses and treats STD's and provides contact tracing, outreach and follow-up services. In addition, this program is staffed and equipped to join incident command systems to combat major disease outbreaks.

Program Objectives

- Detect, control, and prevent infectious diseases in Rhode Island
- Monitor and conduct surveillance for the incidence of various reportable diseases
- Collect, analyze and distribute information about infectious diseases in Rhode Island
- Investigate disease outbreaks and respond appropriately to outbreak such as to minimize the impact on the health and economy of Rhode Island
- Report on disease trends and provide education to the public and medical community regarding treatment and prevention strategies for infectious diseases
- Provide leadership for statewide response to acute infectious communicable disease emergencies of unknown origin

Statutory History

Authorization for Infectious Disease and Epidemiology is contained in Title 3 Chapters 1, 5, 6, 8, 10, 11 and 69.

The Budget

Department of Health Infectious Disease and Epidemiology

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,690,596 | 2,639,099 | 2,724,988 | 2,519,395 | 2,698,103 |
| Operating Supplies and Expenses | 782,935 | 537,359 | 677,380 | 882,950 | 1,049,748 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 726,916 | 812,772 | 764,937 | 801,583 | 763,937 |
| Subtotal: Operating Expenditures | \$4,200,447 | \$3,989,230 | \$4,167,305 | \$4,203,928 | \$4,511,788 |
| Capital Purchases and Equipment | 20,815 | 18,345 | 568,684 | 13,000 | 13,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,221,262 | \$4,007,575 | \$4,735,989 | \$4,216,928 | \$4,524,788 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,322,251 | 2,135,330 | 2,377,099 | 1,902,220 | 2,324,026 |
| Federal Funds | 1,899,011 | 1,872,245 | 2,358,890 | 2,314,708 | 2,200,762 |
| Restricted Receipts | - | - | - | - | - |
| Other Funds | - | - | - | - | - |
| Total Expenditures | \$4,221,262 | \$4,007,575 | \$4,735,989 | \$4,216,928 | \$4,524,788 |
| Program Measures | | | | | |
| Percentage of Active Tuberculosis Cases Completing Therapy | 90.0% | 92.6% | 94.0% | 94.0% | 96.0% |

The Agency

Department of Human Services

Agency Operations

The Department of Human Services (DHS) operates various programs in support of the agency goal of assisting those persons in Rhode Island in need. These programs and services extend well beyond the vital financial support services historically provided to poor and low income individuals and families, and include redesigned and innovative programs which provide quality and accessible health care, child care, supportive services and options to working parents, individuals and families. These programs are all designed: to help families become strong, productive, healthy and independent; to help adults achieve their maximum potential; to ensure that children are safe, healthy, ready to learn, and able to reach their maximum potential; to honor and care for our State's veterans; and, to assist elderly and persons with disabilities in order to enhance their quality of life and sustain their independence. The department operates on a population-based structure for its program policy and service delivery, reflecting the department's focus on clients' needs.

Major state and federal reforms in the mid-1990s provided unprecedented flexibility in how the State may utilize funds to accomplish its goals. Rhode Island's Family Independence Program (FIP) allowed DHS to design and implement a variety of services to assist those families transitioning from cash assistance to employment. These critical "work supports" are provided as a means of easing the transition into the labor force, and include vital child care assistance and health care benefits. These work supports are seen as a crucial element of the FIP program, which replaced the former Aid to Families with Dependent Children (AFDC) program, and which imposed a 60 month lifetime limit for adults receiving cash assistance.

The department continues to be one of the largest purchasers of health care benefits in the State, providing health coverage, including managed care, fee-for-service care, long-term care, and community-based care services to approximately 186,000 Rhode Islanders.

The department also provides services to veterans through the Veterans' Affairs Program, which serves Rhode Island's 93,000 veterans and their families. An additional priority of DHS is to provide assistance to persons with disabilities seeking to achieve economic independence and integration with society, through its Office of Rehabilitation Services.

Agency Objectives

To provide a full continuum of high quality and accessible programs and services to those Rhode Island families, children, adults, individuals with disabilities, veterans, and the elderly in need of assistance.

Statutory History

Title 40 Chapter 1 and Title 42 Chapter 12 of the Rhode Island General Laws established the Department of Human Services within the executive branch of state government.

The Budget

Department of Human Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 17,565,568 | 15,175,635 | 10,888,123 | 11,582,847 | 14,052,057 |
| Child Support Enforcement | 10,420,210 | 10,295,890 | 9,575,605 | 9,106,364 | 10,598,598 |
| Individual and Family Support | 73,129,679 | 73,464,086 | 78,634,543 | 78,764,265 | 82,355,638 |
| Veterans' Affairs | 24,735,037 | 24,541,819 | 27,192,153 | 29,360,806 | 26,059,621 |
| Health Care Quality, Financing & Purch. | 57,269,442 | 58,959,232 | 62,295,575 | 63,126,010 | 66,702,753 |
| Medical Benefits | 1,138,987,241 | 1,418,677,854 | 1,353,789,020 | 1,372,704,900 | 1,391,686,572 |
| Supplemental Security Income | 27,574,485 | 28,021,329 | 25,906,519 | 25,094,748 | 22,132,961 |
| Family Independence Program | 133,985,690 | 112,672,421 | 105,081,100 | 100,786,200 | 93,993,100 |
| State Funded Programs | 90,588,258 | 105,825,723 | 101,819,398 | 129,352,680 | 168,291,280 |
| Total Expenditures | \$1,574,255,610 | \$1,847,633,989 | \$1,775,182,036 | \$1,819,878,820 | \$1,875,872,580 |
| Expenditures By Object | | | | | |
| Personnel | 128,098,017 | 126,206,119 | 131,720,951 | 128,874,189 | 136,387,929 |
| Operating Supplies and Expenses | 15,879,489 | 15,872,515 | 18,360,315 | 19,432,538 | 21,676,306 |
| Aid To Local Units Of Government | 7,406,207 | - | - | - | - |
| Assistance, Grants and Benefits | 1,420,519,968 | 1,704,179,380 | 1,621,244,078 | 1,665,422,555 | 1,715,694,595 |
| Subtotal: Operating Expenditures | \$1,571,903,681 | \$1,846,258,014 | \$1,771,325,344 | \$1,813,729,282 | \$1,873,758,830 |
| Capital Purchases and Equipment | 2,351,929 | 1,375,975 | 3,856,692 | 6,149,538 | 2,113,750 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,574,255,610 | \$1,847,633,989 | \$1,775,182,036 | \$1,819,878,820 | \$1,875,872,580 |
| Expenditures By Funds | | | | | |
| General Revenue | 703,752,922 | 815,777,935 | 767,224,135 | 674,322,220 | 642,064,842 |
| Federal Funds | 867,561,431 | 1,024,128,776 | 999,808,193 | 1,137,358,638 | 1,225,366,325 |
| Restricted Receipts | 2,941,257 | 7,027,278 | 8,024,708 | 7,940,462 | 8,316,413 |
| Other Funds | - | 700,000 | 125,000 | 257,500 | 125,000 |
| Total Expenditures | \$1,574,255,610 | \$1,847,633,989 | \$1,775,182,036 | \$1,819,878,820 | \$1,875,872,580 |
| FTE Authorization | 1,111.0 | 1,067.6 | 994.4 | 884.6 | 954.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of Workforce | 14.0% | 14.0% | 14.0% | 14.0% | 14.0% |
| Females as a Percentage of Workforce | 76.0% | 76.0% | 78.0% | 78.0% | 78.0% |
| Persons with Disabilities as a Percentage of the Workforce | 3.0% | 3.0% | 3.0% | 3.0% | 3.0% |

The Program

Department of Human Services Central Management

Program Operations

Central Management supervises, coordinates, and monitors all departmental functions: to assure efficient and effective use of state and federal resources for the purpose of providing services to poor, disabled, or aged individuals and families; to assist them in reaching their highest potential for self-sufficiency; and, to support the achievement of the department's mission. Central Management, organized through the office of the director, provides leadership, management, strategic planning, direction, and control of departmental activities. A principal function is intergovernmental liaison with the Governor's staff, other department directors and agency heads, federal government representatives, state and federal legislators, local welfare directors, and national and local human service professionals and organizations. The establishment and maintenance of community relations with consumers and service providers, and the provision of information to the general public, are core responsibilities of this program area. Additionally, all field operation activities are direct functions of Central Management, although the associated costs are budgeted in two programs, Individual and Family Support, and Health Care Quality, Financing and Purchasing. Central Management is responsible for the Electronic Benefits Transfer System, which electronically distributes cash assistance and food stamp benefits to clients.

Within Central Management, the Office of Policy Analysis, and Research and Development provides planning support for the department in the development and implementation of revised programs and initiatives. The Office of Legal Services represents the department in litigation, and provides counsel to the director and staff on legal issues relating to departmental operations. The Operations Management Unit develops and maintains departmental information systems, performs quality control for various programs, and operates the central mail room. Other centralized functions include support for financial management, information systems, collections and fraud investigations, and contract management.

Program Objective

To provide leadership, management, strategic planning, and central support for the department.

Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes that all functions, services, and duties of the Department of Human Services will be organized by the Director of the department.

The Budget

Department of Human Services Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,981,033 | 2,611,087 | 878,439 | 1,406,831 | 2,056,027 |
| Operating Supplies and Expenses | 175,289 | 71,812 | 78,658 | 73,508 | 73,508 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 14,403,378 | 12,487,997 | 9,926,226 | 10,097,708 | 11,917,722 |
| Subtotal: Operating Expenditures | \$17,559,700 | \$15,170,896 | \$10,883,323 | \$11,578,047 | \$14,047,257 |
| Capital Purchases and Equipment | 5,868 | 4,739 | 4,800 | 4,800 | 4,800 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$17,565,568 | \$15,175,635 | \$10,888,123 | \$11,582,847 | \$14,052,057 |
| Expenditures By Funds | | | | | |
| General Revenue | 11,073,783 | 9,433,704 | 5,526,859 | 4,537,647 | 5,618,589 |
| Federal Funds | 4,803,385 | 3,738,840 | 4,540,655 | 6,043,933 | 7,831,753 |
| Restricted Receipts | 1,688,400 | 2,003,091 | 820,609 | 1,001,267 | 601,715 |
| Total Expenditures | \$17,565,568 | \$15,175,635 | \$10,888,123 | \$11,582,847 | \$14,052,057 |
| Program Measures | NS | NS | NS | NS | NS |

The Program

Department of Human Services Child Support Enforcement

Program Operations

Child Support Enforcement was transferred from the Department of Administration to the Department of Human Services, effective July 1, 2005. This program is established to strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor obligations to support their children. The concern for the well being of children who live with only one parent, and the desire to promote self-sufficiency for these single parent families, prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

Program Objectives

Child Support Enforcement was established to strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program. R.I.G.L. 42-12-28 effectuates the transfer of the program from the Department of Administration.

The Budget

Department of Human Services Child Support Enforcement

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------|---------------------|---------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 8,694,852 | 8,710,067 | 7,541,739 | 6,986,706 | 6,961,640 |
| Operating Supplies and Expenses | 1,586,350 | 1,573,993 | 2,033,195 | 2,108,986 | 3,626,286 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 50,671 | 671 | 671 | 672 | 672 |
| Subtotal: Operating Expenditures | \$10,331,873 | \$10,284,731 | \$9,575,605 | \$9,096,364 | \$10,588,598 |
| Capital Purchases and Equipment | 88,337 | 11,159 | - | 10,000 | 10,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$10,420,210 | \$10,295,890 | \$9,575,605 | \$9,106,364 | \$10,598,598 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,411,332 | 3,101,029 | 2,741,244 | 2,379,255 | 2,373,898 |
| Federal Funds | 6,959,543 | 7,194,861 | 6,834,361 | 6,727,109 | 8,224,700 |
| Restricted Receipts | 49,335 | - | - | - | - |
| Total Expenditures | \$10,420,210 | \$10,295,890 | \$9,575,605 | \$9,106,364 | \$10,598,598 |
| Program Measures | | | | | |
| Current Child Support Collected as a Percentage of Support Owed | 58.3% | 58.9% | 59.3% | 59.3% | 59.2% |

The Program

Department of Human Services Individual and Family Support

Program Operations

The Individual and Family Support Program (IFS) has the primary responsibility within the department to implement state and federal welfare reform changes and the State's early care and education programs. The Individual and Family Support Program provides policy and program development and management, including monitoring and evaluation, systems development, and the processing and payment functions related to social services for populations served by the department. The Individual and Family Support Program is responsible for ensuring that the services affecting all populations are provided in accordance with state and federal mandates.

The Individual and Family Support Program has the responsibility for the operational planning, direction, coordination and implementation of programs such as the Family Independence Program (FIP), Child Care Development Fund, and the Head Start Collaboration Grant. Funding for the Title XX Block Grant, the Community Services Block Grant, the Refugee Assistance Program, and special funding for victims of domestic violence, the homeless, and the elderly are also within the IFS program. Funding for the administration of the General Public Assistance Program, Food Stamp Program, and Supplemental Security Income is recorded in the IFS Program.

The Individual and Family Support Program also contains comprehensive case management programs for teen mothers and their children and provides child care services for both FIP clients and low income families. Serving Rhode Island's disabled population is the Office of Rehabilitation Services (ORS) and Services for the Blind and Visually Impaired (SBVI). ORS continues to implement new technologies in its service delivery systems, which works in partnership with consumers to achieve meaningful employment outcomes.

Program Objective

To provide assistance and supports to clients so that they may transition to self-sufficiency.

Statutory History

Title 40 Chapter 5.1 of the Rhode Island General Laws establishes the Family Independence Program. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) replaces Title IV-A of the Federal Social Security Act. Title 42 Chapter 12 of the Rhode Island General Laws establishes the Vocational Rehabilitation Program; Title 40 establishes the Services to the Blind and Visually Impaired Program and the Adolescent Pregnancy and Parenting Program and the Child Care Services Program. Article 11 of the FY 1999 Appropriations Act establishes the Starting Right Initiative in Title 40, Chapters 5.1 and 6.2, Title 42, Chapters 12 and 72.1.

The Budget

Department of Human Services Individual and Family Support

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 48,820,179 | 46,896,074 | 50,594,315 | 46,945,164 | 49,143,501 |
| Operating Supplies and Expenses | 8,508,886 | 8,402,407 | 10,003,550 | 10,904,604 | 10,948,499 |
| Aid To Local Units Of Government | 3,426,796 | - | - | - | - |
| Assistance, Grants and Benefits | 12,308,695 | 18,012,719 | 17,440,378 | 20,540,547 | 21,827,188 |
| Subtotal: Operating Expenditures | \$73,064,556 | \$73,311,200 | \$78,038,243 | \$78,390,315 | \$81,919,188 |
| Capital Purchases and Equipment | 65,123 | 152,886 | 596,300 | 373,950 | 436,450 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$73,129,679 | \$73,464,086 | \$78,634,543 | \$78,764,265 | \$82,355,638 |
| Expenditures By Funds | | | | | |
| General Revenue | 23,254,396 | 22,622,951 | 23,024,743 | 20,412,792 | 21,560,938 |
| Federal Funds | 49,739,674 | 50,006,985 | 55,350,650 | 57,913,973 | 60,535,550 |
| Restricted Receipts | 135,609 | 134,150 | 134,150 | 180,000 | 134,150 |
| Other Funds | - | 700,000 | 125,000 | 257,500 | 125,000 |
| Total Expenditures | \$73,129,679 | \$73,464,086 | \$78,634,543 | \$78,764,265 | \$82,355,638 |
| Program Measures | | | | | |
| Persons with Individualized Plan for Employment Achieving an Employment Outcome | 59.9% | 59.9% | 59.9% | 59.9% | 60.0% |
| Accuracy of Disability Determination Adjudications - Rehabilitation Services | 91.9% | 95.8% | 95.0% | 95.0% | 95.0% |

The Program

Department of Human Services Veterans' Affairs

Program Operations

The Veterans' Affairs Program serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Veterans' Affairs Program is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Affairs Office, and the Rhode Island Veterans' Memorial Cemetery.

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 93,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the State at least two years prior to admission and/or were inducted into the military service from the State. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short-term basis to assist veterans with reintegration to their communities.

Program Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

Statutory History

Title 30 Chapters 17, 24, 25, and 29 and Title 30 Chapter 25 of the Rhode Island General Laws established the Division of Veterans Affairs.

The Budget

Department of Human Services Veterans' Affairs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 20,316,872 | 20,549,394 | 20,128,953 | 20,269,921 | 21,217,154 |
| Operating Supplies and Expenses | 3,059,734 | 2,781,167 | 2,526,842 | 3,334,997 | 3,184,867 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 4,988 | 13,247 | 1,300,766 | 5,100 | 5,100 |
| Subtotal: Operating Expenditures | \$23,381,594 | \$23,343,808 | \$23,956,561 | \$23,610,018 | \$24,407,121 |
| Capital Purchases and Equipment | 1,353,443 | 1,198,011 | 3,235,592 | 5,750,788 | 1,652,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$24,735,037 | \$24,541,819 | \$27,192,153 | \$29,360,806 | \$26,059,621 |
| Expenditures By Funds | | | | | |
| General Revenue | 17,206,158 | 17,387,208 | 17,692,025 | 17,219,495 | 17,852,470 |
| Federal Funds | 6,662,430 | 6,596,367 | 7,737,090 | 10,689,027 | 5,933,514 |
| Restricted Receipts | 866,449 | 558,244 | 1,763,038 | 1,452,284 | 2,273,637 |
| Total Expenditures | \$24,735,037 | \$24,541,819 | \$27,192,153 | \$29,360,806 | \$26,059,621 |
| Program Measures | | | | | |
| Persons Completing the Veteran Transitional Supportive Program & Securing Housing Within Six Months | 73.5% | 70.0% | 75.0% | 75.0% | 80.0% |

The Program

Department of Human Services Health Care Quality, Financing and Purchasing

Program Operations

The objectives of the Health Care Quality, Financing and Purchasing (HCQFP) Program are: to assure the availability of high quality health care services to consumers; to assure the efficiency and economy of services delivered to program recipients by monitoring providers of services; to coordinate service-delivery efforts with other state departments and agencies; to purchase medically necessary services covered by the Medicaid State Plan; and, to administer programs in a manner consistent with federal and state laws and regulations. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly.

DHS supervises disbursements to a number of state agencies, as well as local school districts, for administrative and program activities in support of the Medicaid program. The HCQFP Program operates a claims processing system, secures financial recoveries from third parties for claims liability, and conducts utilization review of inpatient and outpatient hospital services. To encourage the utilization of community-based services rather than institutional programs, HCQFP administers home and community-based waivers – both directly and through interagency agreements with the Departments of Elderly Affairs and Mental Health, Retardation and Hospitals. HCQFP also administers a demonstration waiver to provide health services to families through a managed care delivery system, Rlte Care, and is responsible for administration of a Section 1115 SCHIP waiver amendment to the current managed care program in order to implement the provisions of Health Reform RI 2000. This assures that the program serves either persons without access to affordable employer-sponsored insurance, or maintains persons in employer-based insurance, if more cost-effective. HCQFP administers the Early Intervention Program for at risk children up to age three.

Program Objective

To purchase health care services for consumers at a reasonable cost, while assuring quality and access, and to administer the Medical Assistance Benefits Program activities in a manner consistent with federal and state laws and regulations.

Statutory History

Title XIX of the Federal Social Security Act was enacted by Congress under the provisions of Public Law 89-97. Title 40 Chapter 8 of the Rhode Island General Laws establishes the Rhode Island Medical Assistance Program. Title 40 Chapter 16 of the Rhode Island General Laws authorizes the Health Centers and Visiting Nurse Grant Program. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorizes the Department of Human Services to establish and administer both the Rlte Care Program and health care for certain child care providers, and Section 23-13-22 of the Rhode General Laws authorizes the department to administer the Early Intervention Program.

The Budget

Department of Human Services Health Care Quality, Financing and Purchasing

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 47,280,381 | 47,439,497 | 52,577,505 | 53,265,567 | 57,009,607 |
| Operating Supplies and Expenses | 2,514,594 | 2,975,485 | 3,718,070 | 3,010,443 | 3,843,146 |
| Aid To Local Units Of Government | 3,813,643 | - | - | - | - |
| Assistance, Grants and Benefits | 2,821,666 | 8,535,070 | 5,980,000 | 6,840,000 | 5,840,000 |
| Subtotal: Operating Expenditures | \$56,430,284 | \$58,950,052 | \$62,275,575 | \$63,116,010 | \$66,692,753 |
| Capital Purchases and Equipment | 839,158 | 9,180 | 20,000 | 10,000 | 10,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$57,269,442 | \$58,959,232 | \$62,295,575 | \$63,126,010 | \$66,702,753 |
| Expenditures By Funds | | | | | |
| General Revenue | 19,755,314 | 20,481,865 | 20,993,847 | 19,756,831 | 21,771,668 |
| Federal Funds | 37,333,039 | 38,445,780 | 41,241,728 | 43,309,179 | 44,871,085 |
| Restricted Receipts | 181,089 | 31,587 | 60,000 | 60,000 | 60,000 |
| Total Expenditures | \$57,269,442 | \$58,959,232 | \$62,295,575 | \$63,126,010 | \$66,702,753 |
| Program Measures | | | | | |
| Length of Stay | | | | | |
| Average Length of Stay for: | | | | | |
| Pneumonia | 10.8 | 7.8 | 8.9 | 8.9 | 9.2 |
| Angina Pectoris | 2.7 | 4.2 | 4.3 | 4.3 | 4.8 |
| Alcohol Dependency | 5.6 | 5.7 | 6.0 | 6.0 | 9.2 |
| Chest Pain | 2.9 | 3.7 | 3.5 | 3.5 | 3.6 |
| Congestive Heart Failure | 6.3 | 5.4 | 5.5 | 5.5 | 5.3 |
| Chronic Airway Obstructive Disease | 6.7 | 6.0 | 6.3 | 6.3 | 6.4 |
| Abdominal Pain | 4.5 | 5.4 | 5.1 | 5.1 | 5.1 |
| Acute Pancreatitis | 6.4 | 5.7 | 5.9 | 5.9 | 5.6 |
| Recurrent Depression | 8.5 | 10.8 | 11.2 | 11.2 | 12.1 |

The Program

Department of Human Services Medical Benefits

Program Operations

The Medical Benefits Program assures quality and access to necessary medical services for approximately 186,000 consumers through the purchase of health care at a reasonable cost, primarily financed by Medicaid. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly. Medicaid is a federal and state matching entitlement program administered by states to provide medical benefits. The federal share of reimbursement, which is based on a state's per capita personal income, is 52.35 percent for federal fiscal year 2007 and 52.57 percent for federal fiscal year 2008.

DHS, in accordance with the federally-approved State Plan and a multitude of state and federal laws, specifies the scope of covered services, establishes fees for services and reimbursement rates for hospital and nursing facility services, adjudicates and pays claims for medical facility services, and adjudicates and pays claims for medical services submitted by providers. The Medical Benefits Program covers a broad scope of medical services provided to eligible individuals by service providers licensed by the Department of Health or other appropriate state or federal accrediting authority, and enrolled as service providers by the Medical Benefits Program.

The Medical Benefits Program provides health insurance to FIP families, children through age 18 with family incomes not in excess of 250 percent of the federal poverty limit and other low income families. Health care is provided to children with special needs under the Supplemental Security Income Program (SSI) or the Early Periodic Screening Diagnosis and Treatment (EPSDT) program. Acute and long-term care services are provided to adults with disabilities and the elderly. There are four home and community-based waiver programs administered directly by DHS or through the Departments of Elderly Affairs (DEA) and Mental Health, Retardation and Hospitals. A Section 1115 SCHIP waiver provides that families without access to employer-based insurance will have health insurance coverage, or be able to maintain their employer-sponsored insurance benefits, if more cost-effective.

Program Objective

To assure the availability of high quality health care services to program recipients.

Statutory History

Title XIX of the Social Security Act was enacted by Congress under the provision of Public Law 89-97 on July 30, 1965. The Rhode Island Medical Assistance Program was implemented under Title 40 Chapter 8 of the Rhode Island General Laws. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the RIte Care Program and health care for certain childcare providers. R.I.G.L. 23-13-22 authorizes the department to administer the Early Intervention Program.

The Budget

Department of Human Services Medical Benefits

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Hospitals | 134,890,818 | 266,415,235 | 287,216,749 | 230,253,567 | 189,069,191 |
| Nursing Facilities | 296,666,626 | 332,839,552 | 325,933,873 | 355,800,001 | 344,322,520 |
| Managed Care | 443,329,183 | 540,966,831 | 510,444,784 | 518,582,891 | 597,302,586 |
| Other Services | 161,972,429 | 179,325,454 | 122,985,000 | 83,173,482 | 65,639,777 |
| Special Education | 19,121,660 | 16,152,256 | 20,733,240 | 20,733,240 | 20,733,240 |
| Pharmacy | 83,006,525 | 82,978,526 | 86,475,374 | 61,600,000 | 55,451,133 |
| Rhody Health | - | - | - | 102,561,719 | 119,168,125 |
| Total Expenditures | \$1,138,987,241 | \$1,418,677,854 | \$1,353,789,020 | \$1,372,704,900 | \$1,391,686,572 |
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | 52,569 | 39,984 | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,138,934,672 | 1,418,637,870 | 1,353,789,020 | 1,372,704,900 | 1,391,686,572 |
| Subtotal: Operating Expenditures | \$1,138,987,241 | \$1,418,677,854 | \$1,353,789,020 | \$1,372,704,900 | \$1,391,686,572 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,138,987,241 | \$1,418,677,854 | \$1,353,789,020 | \$1,372,704,900 | \$1,391,686,572 |
| Expenditures By Funds | | | | | |
| General Revenue | 549,874,131 | 679,698,468 | 649,325,158 | 563,875,482 | 530,069,748 |
| Federal Funds | 589,092,735 | 734,679,180 | 699,216,951 | 803,582,507 | 856,369,913 |
| Restricted Receipts | 20,375 | 4,300,206 | 5,246,911 | 5,246,911 | 5,246,911 |
| Total Expenditures | \$1,138,987,241 | \$1,418,677,854 | \$1,353,789,020 | \$1,372,704,900 | \$1,391,686,572 |
| Program Measures | | | | | |
| Neonatal Intensive Care Unit Admissions Per One Thousand Live Births | 89.5 | 90.1 | 89.0 | 89.0 | 89.0 |
| Number of Physician's Office Visits per Rite Care Enrollee | 5.2 | 5.2 | 5.2 | 5.2 | 5.2 |
| Number of Emergency Room Visits per 1,000 Rite Care Enrollees | 577 | 593 | 570 | 570 | 570 |
| Number of Hospital Days per 1,000 Rite Care Enrollees | 647 | 630 | 630 | 630 | 630 |

The Program

Department of Human Services Supplemental Security Income

Program Operations

The Supplemental Security Income (SSI) Program provides a floor of income for aged, blind and disabled persons who have little or no income or other resources. The basic federal SSI Cash Assistance Grant, annually adjusted for inflation, is funded in full by the federal government. Because the federal payment leaves many recipients below the federal poverty level, certain states have chosen to provide a supplement to the federal benefit; financed with state funds. Persons eligible for SSI are also eligible, under specified criteria, for in-state moving expenses, and for needs resulting from an emergency of a catastrophic nature.

Since the inception of SSI in 1974, the program caseload has grown each year. This reflects an increase in the aging population, new and emerging disabilities, less stringent disability requirements, and increases in allowable resource limits.

Program Objective

To provide financial aid to individuals who are aged, blind, or disabled and who do not have sufficient resources to maintain a reasonable standard of health and well-being.

Statutory History

Title XVI of the Federal Social Security Act in 1974 created a federally administered Supplemental Security Income Program. This program replaced the assistance program previously administered by the State, which provided aid to aged, blind, and disabled Rhode Islanders. Title 40 Chapter 6 of the Rhode Island General Laws established the Supplemental Security Income Program.

The Budget

Department of Human Services Supplemental Security Income

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 27,574,485 | 28,021,329 | 25,906,519 | 25,094,748 | 22,132,961 |
| Subtotal: Operating Expenditures | \$27,574,485 | \$28,021,329 | \$25,906,519 | \$25,094,748 | \$22,132,961 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$27,574,485 | \$28,021,329 | \$25,906,519 | \$25,094,748 | \$22,132,961 |
| Expenditures By Funds | | | | | |
| General Revenue | 27,574,485 | 28,021,329 | 25,906,519 | 25,094,748 | 22,132,961 |
| Total Expenditures | \$27,574,485 | \$28,021,329 | \$25,906,519 | \$25,094,748 | \$22,132,961 |
| Program Measures | NS | NS | NS | NS | NS |

The Program

Department of Human Services Family Independence

Program Operations

The Family Independence Program (FIP) provides support, including child care, health care, and cash payments to needy children and their families, along with a strong emphasis on requiring families who receive cash assistance to prepare for, and achieve, employment. Long-term assistance was replaced with a transitional and time-limited support system. Having replaced the Aid to Families with Dependent Children (AFDC) program, FIP provides for greater flexibility to the State in eligibility criteria, client responsibility, and types of benefits and services provided. TANF (Temporary Assistance for Needy Families) is the corresponding federal block grant, which is a major revenue source for FIP.

The emphasis in FIP is to achieve gainful employment and self-sufficiency, with the ultimate outcome of strong, healthy families. FIP promotes work as the source of family income and has a lifetime limit of 60 months for the receipt of cash assistance. Child care, health care, and other supportive services are an entitlement to those families pursuing economic independence. Enhanced financial incentives in the form of income allowances encourage families to increase earned income. FIP beneficiaries may participate in education and training during the first 24 months of their employment, followed by a requirement to engage in paid or unpaid work.

Under FIP, child care and health care are considered an essential component of the long-range plan to move clients from dependence to independence. An effective, seamless system of child care and health care for both cash assistance recipients and low income working families is a critical program element in the total mix of services which are necessary to foster independence.

Program Objectives

To provide assistance to clients to aid the transition to self-sufficiency.

Statutory History

The Aid to Families with Dependent Children (AFDC) Program, which was initiated in the 1930s as Title IV of the Social Security Act, is replaced by the Temporary Assistance for Needy Families (TANF) in Title I of PRWORA. Child care funding is provided under Title VI of PRWORA. Title 40 Chapter 5.1 enacted the Rhode Island Family Independence Act (RI FIA).

The Budget

Department of Human Services Family Independence

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| TANF/Family Independence Program | 60,012,084 | 55,608,425 | 53,468,600 | 48,116,200 | 37,493,100 |
| Child Care | 73,973,606 | 57,063,996 | 51,612,500 | 52,670,000 | 56,500,000 |
| Total Expenditures | \$133,985,690 | \$112,672,421 | \$105,081,100 | \$100,786,200 | \$93,993,100 |
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | (83,050) | 7,166 | - | - | - |
| Aid To Local Units Of Government | 165,768 | - | - | - | - |
| Assistance, Grants and Benefits | 133,902,972 | 112,665,255 | 105,081,100 | 100,786,200 | 93,993,100 |
| Subtotal: Operating Expenditures | \$133,985,690 | \$112,672,421 | \$105,081,100 | \$100,786,200 | \$93,993,100 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$133,985,690 | \$112,672,421 | \$105,081,100 | \$100,786,200 | \$93,993,100 |
| Expenditures By Funds | | | | | |
| General Revenue | 47,922,005 | 31,808,613 | 18,278,290 | 18,278,290 | 18,278,290 |
| Federal Funds | 86,063,685 | 80,863,808 | 86,802,810 | 82,507,910 | 75,714,810 |
| Total Expenditures | \$133,985,690 | \$112,672,421 | \$105,081,100 | \$100,786,200 | \$93,993,100 |
| Program Measures | | | | | |
| Family Independence Program Families with Earned Income | 27.0% | 18.0% | 20.0% | 20.0% | 22.0% |
| Job Retention Rate For Family Independence Program Families Not Receiving Cash | 63.0% | 63.0% | 60.0% | 60.0% | 45.0% |

The Program

Department of Human Services State Funded Programs

Program Operations

The program “State Funded Programs” is comprised of assistance programs with discrete appropriation lines described below.

The General Public Assistance (GPA) Program is designed to meet the health care needs of incapacitated and unemployable persons who are suffering from an illness, injury, or medical condition and do not qualify for other public assistance programs. The program provides for limited medical assistance, consisting of physician services and a limited formulary (list) of prescription medications. The program also provides burials for indigent persons. Limited cash assistance is available from two special contingency funds. Under state welfare reform statutes, two parent families who had received services from GPA became eligible under FIP.

Program Objectives

To provide for the medical needs for ill or disabled individuals who do not qualify for other federal programs, limited emergency cash assistance for individuals who experience extreme financial hardship, and, interim cash assistance for totally disabled individuals who are accepted for Title XIX Medical Assistance pending eligibility application for federal Social Security benefits.

Statutory History

Title 40 Chapter 6 of the Rhode Island General Laws (RIGL) established the General Public Assistance Program. R.I.G.L 40-6-8(d) established the State Food Stamp Program.

The Budget

Department of Human Services State Funded Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| General Public Assistance | 3,700,282 | 3,239,666 | 3,820,450 | 3,352,680 | 3,491,280 |
| Food Stamps - Benefits | 86,887,976 | 102,586,057 | 97,998,948 | 126,000,000 | 164,800,000 |
| Total Expenditures | 90,588,258 | 105,825,723 | 101,819,398 | 129,352,680 | 168,291,280 |
| Expenditures By Object | | | | | |
| Personnel | 4,700 | - | - | - | - |
| Operating Supplies and Expenses | 65,117 | 20,501 | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 90,518,441 | 105,805,222 | 101,819,398 | 129,352,680 | 168,291,280 |
| Subtotal: Operating Expenditures | \$90,588,258 | \$105,825,723 | \$101,819,398 | \$129,352,680 | \$168,291,280 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$90,588,258 | \$105,825,723 | \$101,819,398 | \$129,352,680 | \$168,291,280 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,681,318 | 3,222,768 | 3,735,450 | 2,767,680 | 2,406,280 |
| Federal Funds | 86,906,940 | 102,602,955 | 98,083,948 | 126,585,000 | 165,885,000 |
| Total Expenditures | \$90,588,258 | \$105,825,723 | \$101,819,398 | \$129,352,680 | \$168,291,280 |
| Program Measures | NS | NS | NS | NS | NS |

The Agency

Department of Mental Health, Retardation and Hospitals

Agency Operations

The mission of the Department of Mental Health, Retardation and Hospitals (MHRH) is to administer and coordinate a comprehensive system of care for Rhode Island citizens with specific disabilities (i.e. mental illness, physical illness, developmental disability) and with substance use disorders or addiction; and to organize and administer a coordinated system of mental health promotion and substance abuse prevention. MHRH accomplishes this mission under its statutory responsibilities to fund, plan, design, develop, administer, and coordinate within its legislated, annual budget. This mission is carried out through contracted, community-based service delivery system with the exceptions of direct services provided through the Eleanor Slater Hospital and RI Community Living and Supports (RICLAS).

In the last fiscal year, over 480 MHRH Licensed programs delivered services to approximately 46,000 consumers within three priority populations: developmental disabilities; behavioral healthcare (mental illness and substance abuse); and, hospital level of care for chronic illness. The bulk of these services are offered through contracted and MHRH-licensed programs. MHRH currently has contracts or leases with 165 private/non-profit hospitals, programs and agencies. Direct services to MHRH consumers are offered through the Eleanor Slater Hospital (bed capacity 495), a JCAHO-accredited hospital; and through RICLAS within Developmental Disabilities for approximately 260 consumers. Typical MHRH programs and services include individualized treatment and recovery plans, housing, vocational programs, inpatient treatment for mental health, inpatient treatment for substance abuse, outpatient treatment for mental health, outpatient treatment for substance abuse, inpatient psychiatric forensic services, hospital level care for physical illness and prevention services for substance abuse.

In order to fulfill its mission, the Department is organized to provide services to distinct priority populations of consumers who represent the most vulnerable citizens of Rhode Island. The Director of MHRH provides leadership, overall policy direction, resource management and guidance for the Department in pursuit of its mission; and the Executive Director of Eleanor Slater Hospital provides overall leadership for the hospital. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Executive Director of the Eleanor Slater Hospital.

Statutory History

R.I.G.L. 42-12.1-1 et.seq, established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative and Forensic Services, and Substance Abuse Services under R.I.G.L 40.1-1-1 et.seq. A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Program | | | | | |
| Central Management | 2,169,265 | 1,854,304 | 2,115,602 | 1,093,343 | 1,166,740 |
| Hospital & Community System Support | 4,913,013 | 4,406,964 | 6,335,946 | 5,764,978 | 6,403,300 |
| Service for the Developmentally Disabled | 253,685,754 | 260,214,675 | 235,123,579 | 256,675,892 | 237,934,017 |
| Integrated Mental Health Services | 80,670,732 | 80,361,110 | 78,755,586 | 80,204,568 | 82,458,778 |
| Hospital & Community Rehabilitation Services | 110,044,214 | 113,034,540 | 105,507,272 | 102,130,782 | 110,169,195 |
| Substance Abuse | 30,010,565 | 29,570,103 | 29,652,524 | 31,913,587 | 32,500,705 |
| Internal Service Programs | [9,534,325] | [9,043,662] | [10,367,552] | [10,126,977] | - |
| Total Expenditures | \$481,493,543 | \$489,441,696 | \$457,490,509 | \$477,783,150 | \$470,632,735 |
| Expenditures By Object | | | | | |
| Personnel | 147,606,783 | 143,191,198 | 136,038,392 | 126,269,660 | 127,553,456 |
| Operating Supplies and Expenses | 15,482,169 | 24,042,295 | 19,839,501 | 18,335,162 | 18,198,623 |
| Aid To Local Units Of Government | 516,179 | - | - | - | - |
| Assistance, Grants and Benefits | 317,110,340 | 321,673,305 | 289,025,131 | 324,755,094 | 309,720,286 |
| Subtotal: Operating Expenditures | \$480,715,471 | \$488,906,798 | \$444,903,024 | \$469,359,916 | \$455,472,365 |
| Capital Purchases and Equipment | 780,372 | 534,898 | 12,101,875 | 8,423,234 | 14,674,760 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | (2,300) | - | 485,610 | - | 485,610 |
| Total Expenditures | \$481,493,543 | \$489,441,696 | \$457,490,509 | \$477,783,150 | \$470,632,735 |
| Expenditures By Funds | | | | | |
| General Revenue | 238,316,374 | 241,952,595 | 219,361,864 | 186,652,827 | 168,779,509 |
| Federal Funds | 240,445,805 | 241,728,740 | 222,757,014 | 278,536,579 | 284,303,513 |
| Restricted Receipts | 183,295 | 2,587,327 | 4,590,000 | 5,258,101 | 5,203,044 |
| Other Funds | 2,548,069 | 3,173,034 | 10,781,631 | 7,335,643 | 12,346,669 |
| Total Expenditures | \$481,493,543 | \$489,441,696 | \$457,490,509 | \$477,783,150 | \$470,632,735 |
| FTE Authorization | 1,824.3 | 1,761.0 | 1,534.6 | 1,352.4 | 1,395.4 |
| Agency Measures | | | | | |
| Minorities as a Percentage of Workforce | 18.6% | 19.9% | 19.0% | 19.0% | 21.0% |
| Females as a Percentage of Workforce | 65.5% | 66.1% | 66.0% | 66.0% | 68.5% |
| Persons with Disabilities as a Percentage of the Workforce | 1.0% | 1.0% | 1.0% | 1.0% | 1.0% |

The Program

Department of Mental Health, Retardation and Hospitals Central Management

Program Operations

The Director provides leadership, overall policy direction, resource management, and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Executive Director of the Eleanor Slater Hospital, and Chief Financial Officer, located at MHRH offices in Barry Hall. Under a reorganization plan, MHRH, minus the Hospital, has been organized into four functional components: Clinical Services, Program Services, Contracts and Logistics, and RICLAS. These functional components manage, coordinate, and support services to individuals with developmental disabilities, those suffering from mental illness and substance use disorders; the functional components also manage, coordinate, and support promotion of mental health and substance abuse prevention activities.

The Office of the Director performs the functions of Departmental administration, legislative affairs, constituent affairs, community and provider involvement, advocacy outreach, policy administration, hospital appeals, strategic planning, and promotion of the department's mission through public education and community forums. The Office of the Director in conjunction with the Office of the Executive Director of the Eleanor Slater Hospital supports the entire Department by providing: coordination and management of initiatives and projects that cross all departmental program and operational units, emergency management, performance improvement, and funds development, and planning and overseeing of construction/renovation for buildings which support departmental functions.

Program Objectives

Provide leadership, policy direction and management guidance to assure the department's mission meets the needs of Rhode Island citizens with disabilities and those with substance abuse or addiction problems.

Redesign critical and often cross-cutting functions so that they become more responsive, efficient and effective.

Identify priority population's trends and service needs so that new and emerging needs together with established programs share existing, budgeted resources.

Expand public awareness and knowledge of the mission of the department through community forums and through advocacy, emphasizing consumer choice, consumer relations and family involvement.

Statutory History

Rhode Island General Laws 42-12.1-1 et seq. established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services under Rhode Island General Laws 40.1-1-1 et seq. A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,014,208 | 1,729,501 | 1,510,659 | 852,489 | 628,846 |
| Operating Supplies and Expenses | 111,188 | 101,239 | 199,821 | 108,967 | 262,569 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,470 | 1,418 | 289,113 | 1,600 | 1,750 |
| Subtotal: Operating Expenditures | \$2,126,866 | \$1,832,158 | \$1,999,593 | \$963,056 | \$893,165 |
| Capital Purchases and Equipment | 42,399 | 22,146 | 116,009 | 130,287 | 273,575 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,169,265 | \$1,854,304 | \$2,115,602 | \$1,093,343 | \$1,166,740 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,169,265 | 1,854,304 | 2,048,521 | 1,026,262 | 1,035,453 |
| Federal Funds | - | - | 67,081 | 67,081 | 131,287 |
| Total Expenditures | \$2,169,265 | \$1,854,304 | \$2,115,602 | \$1,093,343 | \$1,166,740 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

Program Operations

Through the Chief Financial Officer, the Office of Operations (Hospital and Community System Support Program) provides operational support functions to both the hospital and community patient care system.

Financial Management provides the administrative and financial support to the entire department to insure its operational efficiency and fiscal integrity. The major functional areas include: Budget Development/Program Analysis; Business Services; Accounting and Financial Control; Federal Grants; Contract Management; Central Laundry; Revenue Collection; Billing and Accounts Receivable; Patient Resources and Benefits; and Hospital Cost/Rate Setting/Revenue Forecasting.

Program Objectives

Maintain numerous operational support functions to both the hospital and community patient care system for Financial Management.

Statutory History

Rhode Island General Laws Title 40.1 includes provisions relating to Hospitals and Community System Support.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Human Resources Management | - | - | - | - | - |
| Facilities & Maintenance | 1,957,247 | 1,569,601 | 3,683,232 | 3,705,899 | 4,342,807 |
| Financial Management | 2,955,766 | 2,837,363 | 2,652,714 | 2,059,079 | 2,060,493 |
| Total Expenditures | \$4,913,013 | \$4,406,964 | \$6,335,946 | \$5,764,978 | \$6,403,300 |
| Expenditures By Object | | | | | |
| Personnel | 3,331,245 | 3,149,754 | 2,875,670 | 2,392,528 | 2,324,811 |
| Operating Supplies and Expenses | 907,501 | 640,416 | 311,844 | 242,963 | 312,341 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 72,389 | 573,105 | 1,350 | 159,568 | 1,350 |
| Subtotal: Operating Expenditures | \$4,311,135 | \$4,363,275 | \$3,188,864 | \$2,795,059 | \$2,638,502 |
| Capital Purchases and Equipment | 601,878 | 43,689 | 3,147,082 | 2,969,919 | 3,764,798 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,913,013 | \$4,406,964 | \$6,335,946 | \$5,764,978 | \$6,403,300 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,552,977 | 3,385,311 | 3,218,806 | 2,666,857 | 2,655,402 |
| Federal Funds | 37,230 | 300,356 | 849,939 | 986,908 | 1,032,229 |
| Other Funds | 1,322,806 | 721,297 | 2,267,201 | 2,111,213 | 2,715,669 |
| Total Expenditures | \$4,913,013 | \$4,406,964 | \$6,335,946 | \$5,764,978 | \$6,403,300 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

Program Operations

The Division of Developmental Disabilities funds a statewide network of privately-operated and publicly-operated community supports for adults with development disabilities. The Division is responsible for planning, administering, and providing supports for adults with developmental disabilities by ensuring equitable access to, and allocation of, available resources; enhancing the quality of supports so that people can move toward personal futures of inclusion and participation in community life, and safeguarding them from abuse, neglect and mistreatment.

The Division's goals include: (a) providing more opportunities for individuals with developmental disabilities and their families to have more control over supports and services that they purchase within the funding available from the Division, (b) providing access to information that enables them to make informed decisions, (c) assisting providers in implementing innovative and flexible supports and services that address the individual needs of a person, (d) ensuring that individuals are provided services in the least restrictive environments, (e) insuring quality services that protect the rights of individuals with developmental disabilities, (f) providing the appropriate structure within the Division to respond to the changing needs of individuals and their families, and (g) providing a safe environment that assists individuals to meet their fullest potential and to become meaningful participants in their community.

The Division provides community day and residential services through a publicly operated program, Rhode Island Community Living and Supports (RICLAS). RICLAS supports approximately 260 people in various settings throughout Rhode Island.

Program Objectives

The Division's objectives for FY 2010 are to

- Continue expansion of shared living arrangements (SLA) and other community settings as an alternative to residential and institutional placements
- Continue development and expansion of services for individuals who require ongoing assistance but may function successfully outside of traditional residential settings
- Finalize and implement strategies to reduce the caseloads carried by the Division's social caseworkers
- Develop enhanced screening and assessment procedures to ensure that individuals have a choice of appropriate, least restrictive supports and services
- Develop improved data collection and analyses capabilities.

Statutory History

Titles 40.1 and 43.1 of the Rhode Island General Laws. The eligibility statute has changed and expanded over the past 15 years to include individuals who meet the federal, functional definition of developmental disabilities in addition to mental retardation per se. This has had a significant impact on the Division's costs.

The Budget

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Private Community D.D. Services | 208,427,578 | 215,341,757 | 191,334,362 | 213,204,408 | 197,890,661 |
| State Operated Res & Comm Svcs | 45,258,176 | 44,872,918 | 43,789,217 | 43,471,484 | 40,043,356 |
| Total Expenditures | \$253,685,754 | \$260,214,675 | \$235,123,579 | \$256,675,892 | \$237,934,017 |
| Expenditures By Object | | | | | |
| Personnel | 45,219,420 | 44,666,540 | 45,045,516 | 41,981,408 | 41,351,252 |
| Operating Supplies and Expenses | 2,681,873 | 1,917,709 | 4,182,004 | 4,848,778 | 4,765,849 |
| Aid To Local Units Of Government | 500,418 | - | - | - | - |
| Assistance, Grants and Benefits | 205,255,578 | 213,589,487 | 182,180,077 | 206,967,277 | 188,200,364 |
| Subtotal: Operating Expenditures | \$253,657,289 | \$260,173,736 | \$231,407,597 | \$253,797,463 | \$234,317,465 |
| Capital Purchases and Equipment | 28,465 | 40,939 | 3,230,372 | 2,878,429 | 3,130,942 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | 485,610 | - | 485,610 |
| Total Expenditures | \$253,685,754 | \$260,214,675 | \$235,123,579 | \$256,675,892 | \$237,934,017 |
| Expenditures By Funds | | | | | |
| General Revenue | 118,046,969 | 120,867,705 | 106,666,111 | 95,725,320 | 82,112,072 |
| Federal Funds | 134,604,734 | 137,498,281 | 123,058,038 | 155,208,041 | 149,908,901 |
| Restricted Receipts | - | - | 2,200,000 | 2,868,101 | 2,813,044 |
| Other Funds | 1,034,051 | 1,848,689 | 3,199,430 | 2,874,430 | 3,100,000 |
| Total Expenditures | \$253,685,754 | \$260,214,675 | \$235,123,579 | \$256,675,892 | \$237,934,017 |
| Program Measures | | | | | |
| Service Satisfaction - Parents and Friends for Alternative Living | 88.6% | 62.0% | 89.1% | 90.0% | 90.0% |
| Percentage of Persons Surveyed Indicated that they Received all Services that they Needed | 46.0% | 68.9% | 75.0% | 75.0% | 75.0% |
| Percentage of Persons with Developmental Disabilities Who Like Living in Their Home | 90.0% | 94.4% | 95.0% | 95.0% | 95.0% |
| Percentage of Disabled who Understand their Basic Human Rights | 83.0% | 95.0% | 95.0% | 95.0% | 95.0% |
| Percentage of Disabled who know what to do if they are a Victim of Abuse | 95.0% | 95.0% | 95.0% | 95.0% | 95.0% |
| Percentage of Persons who have had an Annual Physical Exam | 78.0% | 81.0% | 90.0% | 90.0% | 100.0% |

The Program

Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services

Program Operations

Integrated Mental Health Services (IMHS) is responsible for planning, coordinating, and administering a comprehensive and integrated statewide system of mental health services. The service system that accomplishes this purpose is best described as a managed care system. The Division provides leadership, guidance, and oversight of mental health programs in the state through a series of administrative procedures including performance contracting, and continuous quality assessment and improvement programs. It is assisted by a monitoring program that involves professional accrediting organizations, staff, families, and consumers of mental health services. State law requires the Division of Behavioral Healthcare – Integrated Mental Health Services to propose, review and/or approve, as appropriate, proposals, policies, or plans involving insurance or managed care systems for mental health services in Rhode Island.

Integrated Mental Health Services is comprised of: a Clinical Advisory Committee on Mental Health, a unit for Prevention of Mental Illness and Mental Health Treatment Unit.

The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse have been merged and provide Division wide support in these functional areas. The Planning Unit conducts research, administers the agency's request for proposal process for prevention, treatment and planning initiatives; coordinates the development and implementation of the Substance Abuse and Mental Health Block Grants; and provides administrative support and resources for the Governor's Council on Behavioral Health. The Finance and Contract Unit provides budget, financial, contract administration and payment, and operational support to the Division. The Research, Data and Compliance Unit supports the development of decision support systems; research and data analysis; and utilization review of mental health programs.

Program Objectives

Completely implement the integrated behavioral health licensing standards through community monitoring.

Continue system-wide review of substance abuse prevention, treatment and mental health Information System needs to better respond to emerging federal performance partnership outcomes-based funding requirements.

Review residential services and revise service levels based on intensity of clinical care required.

Statutory History

Title 40, Chapters 3 and Chapter 5.4, Title 36, Chapter and Title 40.3 and Title 40.1 of the Rhode Island General Laws and the Federal Budget Reconciliation Act of 1982 all contain provisions relating to mental health services.

The Budget

Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,568,596 | 1,627,800 | 1,579,434 | 1,444,629 | 1,237,916 |
| Operating Supplies and Expenses | 2,956,958 | 2,895,420 | 3,155,363 | 1,223,759 | 519,089 |
| Aid To Local Units Of Government | 15,761 | - | - | - | - |
| Assistance, Grants and Benefits | 76,126,049 | 75,837,890 | 73,364,089 | 76,886,180 | 79,889,073 |
| Subtotal: Operating Expenditures | \$80,667,364 | \$80,361,110 | \$78,098,886 | \$79,554,568 | \$81,646,078 |
| Capital Purchases and Equipment | 3,368 | - | 656,700 | 650,000 | 812,700 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$80,670,732 | \$80,361,110 | \$78,755,586 | \$80,204,568 | \$82,458,778 |
| Expenditures By Funds | | | | | |
| General Revenue | 44,543,891 | 42,716,209 | 40,125,116 | 33,053,431 | 28,857,837 |
| Federal Funds | 36,126,841 | 37,405,953 | 37,980,470 | 46,501,137 | 52,794,941 |
| Other Funds | - | 238,948 | 650,000 | 650,000 | 806,000 |
| Total Expenditures | \$80,670,732 | \$80,361,110 | \$78,755,586 | \$80,204,568 | \$82,458,778 |
| Program Measures | | | | | |
| System Quality: Client Ability to Control Life | 77.8% | 79.3% | 80.0% | 80.0% | 81.0% |
| Percentage of CSP Clients who are satisfied with Services Received | 89.0% | 89.0% | 90.0% | 90.0% | 91.0% |

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

Program Operations

The Division of Hospitals and Community Rehabilitative Services provides hospital level care services that are licensed by the Department of Health (DOH) and accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO).

The Eleanor Slater Hospital's licensed bed capacity is 495, across two sites: the Cranston Campus, with 306 beds, and the Zambarano Campus in Burrville, with 189 beds. The Cranston Campus provides acute medical-surgical services, long term inpatient psycho geriatric and adult psychiatric treatment. The Zambarano campus is an important provider of long term and specialty rehabilitative care services. Hospital funding levels and full-time equivalent (FTE) position authorization dictate actual bed utilization and census,

Program Objectives

Plan, coordinate, and manage programs and services associated with the Eleanor Slater Hospital.

Ensure that all associated programs and services meet Joint Commission on Accreditation of Healthcare Organizations (JCAHO), Centers for Medicaid and Medicare Services (CMS), and third party standards to achieve full accreditation status and to maximize reimbursement.

Develop a continuum of treatment and residential options for psychiatric and developmentally disabled, psycho geriatric and adult psychiatric clients.

Statutory History

Title 40, Chapter 3 of the Rhode Island General Laws and the Public Laws of 1969, Chapter 134, Section 6a, includes provisions related to the General Hospital; Title 40.1, Chapter 3 includes provisions related to Zambarano; Titles 40.1, 5.19, 21.28, 21.30 and 21.31 include provisions relative to the Central Pharmacy.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Eleanor Slater Hospital | 74,195,687 | 78,802,642 | 69,967,605 | 66,791,412 | 72,746,731 |
| Zambarano Hospital | 29,625,016 | 31,769,713 | 31,760,165 | 31,639,655 | 33,102,928 |
| Central Pharmacy Services | 6,223,511 | 2,462,185 | 3,779,502 | 3,699,715 | 4,319,536 |
| Total Expenditures | \$110,044,214 | \$113,034,540 | \$105,507,272 | \$102,130,782 | \$110,169,195 |
| Expenditures By Object | | | | | |
| Personnel | 93,218,347 | 89,801,328 | 82,989,009 | 77,849,393 | 80,242,530 |
| Operating Supplies and Expenses | 8,424,774 | 18,250,034 | 11,717,282 | 11,862,556 | 12,296,099 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 8,369,977 | 4,796,854 | 6,292,470 | 10,844,234 | 11,377,821 |
| Subtotal: Operating Expenditures | \$110,013,098 | \$112,848,216 | \$100,998,761 | \$100,556,183 | \$103,916,450 |
| Capital Purchases and Equipment | 31,116 | 186,324 | 4,508,511 | 1,574,599 | 6,252,745 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$110,044,214 | \$113,034,540 | \$105,507,272 | \$102,130,782 | \$110,169,195 |
| Expenditures By Funds | | | | | |
| General Revenue | 54,536,389 | 56,711,703 | 52,426,023 | 41,169,684 | 41,389,126 |
| Federal Funds | 55,481,983 | 53,825,344 | 46,316,249 | 57,161,098 | 60,955,069 |
| Restricted Receipts | - | 2,485,717 | 2,300,000 | 2,300,000 | 2,300,000 |
| Other Funds | 25,842 | 11,776 | 4,465,000 | 1,500,000 | 5,525,000 |
| Total Expenditures | \$110,044,214 | \$113,034,540 | \$105,507,272 | \$102,130,782 | \$110,169,195 |
| Program Measures | | | | | |
| Medication Error Incidents Per 10,000 Orders Filled by the Pharmacy | 2.8 | 3.0 | 3.0 | 3.0 | 3.0 |
| Pressure Ulcers as a Percent of the Total Patient Population | 1.0% | 1.0% | 1.0% | 1.0% | 1.0% |
| Patient Falls per 1,000 Patient Days | 3.0 | 2.5 | 2.5 | 2.5 | 2.5 |

The Program

Department of Mental Health, Retardation and Hospitals Substance Abuse

Program Operations

Substance Abuse is responsible for planning, coordinating, and administering a comprehensive statewide system of substance abuse treatment and prevention activities through contracts with community-based providers. Substance Abuse is comprised of the following units: a Prevention Unit which plans and provides technical assistance, contract oversight, program development and evaluation of primary prevention and intervention services; a Treatment Unit which is responsible for the provision, availability and monitoring of contract treatment services. Specific responsibilities include: developing comprehensive statewide policies, plans and programs; assessing treatment and prevention needs and capacity; evaluating and monitoring state grants and contracts; providing technical assistance and guidance to programs, chemical dependency professionals, and general public; and researching and recommending alternative funding and service delivery strategies to enhance system efficiency and effectiveness. The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse, have been merged and provide Division wide support in these functional areas.

Program Objective

Continue to implement the integrated behavioral health licensing standards through community monitoring.

Implement Prevention Program Standards for all MHRH funded and contracted prevention services.

Under direction from Executive Director of the Division of Behavioral Health, develop a statewide substance abuse plan that addresses treatment delivery systems for all Rhode Islanders.

Implement the Access to Recovery (STR) grant which will increase funding and resources for all levels of clinical care and recovery support services.

Create and implement parolee re-entry program in legislated initiative with the ACI.

Monitor contract for combined acute psychiatric and medical detoxification which includes step-down and diversion levels of care.

Statutory History

Title 40.1-1-4 of the Rhode Island General Laws established the Division behavioral healthcare within the Department of Mental Health, Retardation and Hospitals which includes the Substance Abuse Program.

The Budget

Department of Mental Health, Retardation and Hospitals Substance Abuse

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,254,967 | 2,216,275 | 2,038,104 | 1,749,213 | 1,768,101 |
| Operating Supplies and Expenses | 399,875 | 237,477 | 273,187 | 48,139 | 42,676 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 27,284,877 | 26,874,551 | 26,898,032 | 29,896,235 | 30,249,928 |
| Subtotal: Operating Expenditures | \$29,939,719 | \$29,328,303 | \$29,209,323 | \$31,693,587 | \$32,060,705 |
| Capital Purchases and Equipment | 73,146 | 241,800 | 443,201 | 220,000 | 440,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | (2,300) | - | - | - | - |
| Total Expenditures | \$30,010,565 | \$29,570,103 | \$29,652,524 | \$31,913,587 | \$32,500,705 |
| Expenditures By Funds | | | | | |
| General Revenue | 15,466,883 | 16,417,363 | 14,877,287 | 13,011,273 | 12,729,619 |
| Federal Funds | 14,195,017 | 12,698,806 | 14,485,237 | 18,612,314 | 19,481,086 |
| Restricted Receipts | 183,295 | 101,610 | 90,000 | 90,000 | 90,000 |
| Other Funds | 165,370 | 352,324 | 200,000 | 200,000 | 200,000 |
| Total Expenditures | \$30,010,565 | \$29,570,103 | \$29,652,524 | \$31,913,587 | \$32,500,705 |
| Program Measures | | | | | |
| Surveyed Tobacco Outlets Selling Tobacco Products to Youth Under 18 | 11.1% | 11.4% | 9.0% | 9.0% | 8.0% |
| Surveyed Sites Selling Alcohol to Youth Under 21 | 11.7% | 12.0% | 12.0% | 12.0% | 11.0% |

The Program

Department of Mental Health, Retardation and Hospitals Internal Service Programs

Program Operations

The Internal Service Programs include those services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency, both on an actual and budget basis.

Internal Service Programs which are operated by the Department of Mental Health, Retardation and Hospitals include the Central Pharmacy and the Central Laundry. The Central Laundry serves patients at the Eleanor Slater Hospital and the Welcome Arnold Homeless Shelter. The Central Pharmacy provides services to the Eleanor Slater Hospital including the Zambarano Unit, the Department of Corrections, and the Rhode Island Veterans' Home, 43 group homes operated by the Department and 13 Community Pharmacies.

It is the intent of the Department to cease internal service fund operations by June 30 2009. Eleanor Slater Hospital is the primary recipient of services from both internal service funds. Both operations will be collapsed into the Eleanor Slater Hospital program. User agencies will purchase services directly from other vendors.

Program Objective

Provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by Title 35 Chapter 5 of the Rhode Island General Laws to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Mental Health, Retardation and Hospitals Internal Service Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010* Recommended |
|-----------------------------------------|--------------------|--------------------|---------------------|---------------------|-------------------------|
| Expenditures by Subprogram | | | | | |
| MHRH Drug Rotary | 8,323,407 | 7,857,390 | 9,241,973 | 8,907,012 | - |
| MHRH Laundry Rotary | 1,210,918 | 1,186,272 | 1,125,579 | 1,219,965 | - |
| Total Expenditures | \$9,534,325 | \$9,043,662 | \$10,367,552 | \$10,126,977 | - |
| Expenditures By Object | | | | | |
| Personnel | 1,369,545 | 1,228,424 | 1,278,040 | 940,766 | - |
| Operating Supplies and Expenses | 8,163,856 | 7,815,238 | 9,089,512 | 9,186,211 | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$9,533,401 | \$9,043,662 | \$10,367,552 | \$10,126,977 | - |
| Capital Purchases and Equipment | 924 | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$9,534,325 | \$9,043,662 | \$10,367,552 | \$10,126,977 | - |
| Expenditures By Funds | | | | | |
| Internal Service Funds | 9,534,325 | 9,043,662 | 10,367,552 | 10,126,977 | - |
| Total Expenditures | \$9,534,325 | \$9,043,662 | \$10,367,552 | \$10,126,977 | - |
| Program Measures | NA | NA | NA | NA | NA |

* The Governor recommends eliminating the internal service programs in FY 2010. Eleanor Slater Hospital is the primary recipient of services from both internal service programs. Both operations will be merged into the Eleanor Slater Hospital program effective July 1, 2009.

The Agency

Office of the Child Advocate

Agency Operations

The Office of the Child Advocate is charged with fulfilling a statutory mandate to protect the legal, civil and special rights of all children and youth involved in the care of the Department of Children, Youth, and Families (DCYF). In so doing, the Office strives to improve conditions and circumstances through monitoring, compliance, and advocacy.

The office is staffed with 5.8 authorized full-time equivalent (FTE) positions. The office is responsible for oversight of DCYF care for 5,300 children and yearly monitoring site visits to monitor their care at 120 group homes and residential programs in the state. The office also provides direct legal representation for at least 35-40 children voluntarily placed in state care.

The office reviews and monitors systematic and individual issues related to 250 residents at the Rhode Island Training School. It provides direct oversight and on-site visits on a weekly basis. The Office of the Child Advocate also files Victim Compensation claims for children who have been physically and/or sexually assaulted and are in the care of the State.

Agency Objectives

To monitor the Department of Children, Youth and Families to ensure that it offers children in its care adequate protection and quality services, while affording these children respect for their individual rights and dignity. These activities include, but are not limited to, public education, legislative advocacy, investigation, and litigation.

To review and make recommendations regarding the Department of Children, Youth and Families procedures; to investigate institutional abuse allegations and child fatalities; to provide information and referral on matters relating to children; participate in voluntary admissions procedures; to monitor child placements; to conduct annual site visits at residential/group care programs; and to review the Department of Children, Youth and Families' compliance with day-care licensing laws.

Statutory History

In 1980, the General Assembly created the Office of the Child Advocate. Its powers and duties are codified in R.I.G.L. 42-73. The office of the Child Advocate possesses a statutory right of access, including subpoena power, to all providers, the Family Court, Department of Children, Youth and Families, and law enforcement records. There is also a right of physical access to all child-care programs and children in care.

In 1992, the office of the Child Advocate's responsibilities with respect to children in state care were statutorily expanded to include review of child fatality cases and representation of child victims of crime pursuant to the Criminal Injuries Compensation Act.

The Budget

Office of the Child Advocate

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 481,065 | 467,832 | 537,487 | 536,957 | 571,061 |
| Operating Supplies and Expenses | 67,288 | 16,059 | 21,313 | 17,040 | 17,087 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$548,353 | \$483,891 | \$558,800 | \$553,997 | \$588,148 |
| Capital Purchases and Equipment | 2,845 | 1,558 | - | 1,000 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$551,198 | \$485,449 | \$558,800 | \$554,997 | \$588,148 |
| Expenditures By Funds | | | | | |
| General Revenue | 513,524 | 445,443 | 519,657 | 514,442 | 547,048 |
| Federal Funds | 37,674 | 40,006 | 39,143 | 40,555 | 41,100 |
| Total Expenditures | \$551,198 | \$485,449 | \$558,800 | \$554,997 | \$588,148 |
| FTE Authorization | 5.8 | 5.8 | 5.8 | 5.7 | 5.7 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 10.0% | 18.0% | 18.0% | 18.0% | 33.0% |
| Females as a Percentage of the Workforce | 100.0% | 100.0% | 100.0% | 100.0% | 83.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Percentage of Inspected Facilities Compliant with Standards and Care | 90.0% | 90.0% | 90.0% | 90.0% | 90.0% |

The Agency

Commission on the Deaf and Hard of Hearing

Agency Operations

The Commission on the Deaf and Hard of Hearing advocates and coordinates the promotion of an environment in which deaf and hard of hearing persons in Rhode Island are afforded equal opportunity in all aspects of their lives. The commission develops policy and recommends appropriate programs and legislation to enhance cooperation and coordination among agencies and organizations now serving, or having the potential to serve, the deaf and hard of hearing. The commission also provides direct services in its operation of the Sign Language Interpreter Referral Service, and general information and referrals. Beginning in FY 2006, it also administers an Emergency Interpreter Referral Service for hours when the commission is closed. The commission is composed of 13 members, of whom nine are deaf and hard of hearing consumers.

Agency Objectives

To promote greater accessibility to services for the deaf and hard of hearing by developing awareness programs.

To conduct an ongoing needs assessment to identify and prioritize the needs of the deaf and hard of hearing populations in Rhode Island.

To provide centralized sign language interpreter referral services, including emergency referrals.

To advocate for the enactment of legislation that will promote accessibility of services.

To develop a statewide coordinating council to implement the comprehensive statewide strategic plan for children who are deaf or have hearing loss.

To oversee state agency compliance with the Americans with Disabilities Act regulations related to deaf and hard of hearing access issues through monitoring, training, teletypewriters, and interpreters.

To work with federal, state, and local organizations and agencies to improve the quality of life for deaf and hard of hearing persons in Rhode Island. To coordinate sign language and equipment interpreter services between agencies and organizations with the goal of centralizing services.

Statutory History

R.I.G.L. 23-1.8 includes provisions relating to the Commission on the Deaf and Hard of Hearing. The current commission results from the 1992 restructure of the former Commission on the Deaf and Hearing Impaired, originally established in 1977.

The Budget

Commission on the Deaf and Hard of Hearing

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 293,874 | 281,920 | 355,726 | 360,594 | 369,440 |
| Operating Supplies and Expenses | 12,498 | 6,870 | 13,081 | 10,706 | 10,706 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$306,372 | \$288,790 | \$368,807 | \$371,300 | \$380,146 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$306,372 | \$288,790 | \$368,807 | \$371,300 | \$380,146 |
| Expenditures By Funds | | | | | |
| General Revenue | 301,850 | 289,412 | 368,807 | 371,300 | 380,146 |
| Federal Funds | 4,522 | (622) | - | - | - |
| Total Expenditures | \$306,372 | \$288,790 | \$368,807 | \$371,300 | \$380,146 |
| FTE Authorization | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 33.0% | 33.0% | 33.0% | 33.0% | 33.0% |
| Persons with Disabilities as a Percentage of the Workforce | 67.0% | 67.0% | 67.0% | 67.0% | 67.0% |
| Program Measures | | | | | |
| Percentage of Interpreter Requests Filled with at Least 72 Hours Notice | 90.0% | 86.0% | 87.0% | 87.0% | 87.0% |
| Percentage of Information Requests Responded to with Relevant Information or Referral within One Week | 97.0% | 85.0% | 100.0% | 100.0% | 100.0% |
| Percentage of Legislation Affecting Deaf and Hard of Hearing Citizens Favorably Disposed | 80.0% | 80.0% | 80.0% | 80.0% | 80.0% |

The Agency

Governor's Commission on Disabilities

Agency Operations

The commission advocates for the concerns of people with disabilities, expands economic opportunities for businesses owned by or employing people with disabilities, increases the general awareness of the rights and concerns of individuals with disabilities and their families, and ensures that people with disabilities have access to their government.

The commission is responsible for coordinating compliance by state agencies with federal and state disability rights laws. It also approves or modifies state and local government agency's Open Meeting Accessibility for persons with disabilities transition plans. The commission assists local boards of canvassers to ensure all polling places are accessible and approves or rejects requests to waive the state building code's standards for accessibility at facilities to be leased by state agencies. The agency investigates disability discrimination complaints involving physical barriers at public or private facilities and ordering corrective action. Small disadvantaged businesses owned and controlled by persons with disabilities or where sixty percent (60%) of the employees are persons with disabilities, or non-profit rehabilitation facilities with state funded and state-directed public construction contracts and state contracts for goods and services are assisted. Recommendations are made for improvements for enhancing enforcement of disability parking laws.

The Commission consists of 24 gubernatorial appointed Commissioners, a staff of four persons, several college fellows and many volunteers.

The Commission's Mary Brennan Fellowship Program for college students with disabilities provides career experiences for students including editing the "Legislative Letter," (a weekly newsletter on legislation affecting people with disabilities), developing and updating the Commission's website: www.disabilities.ri.gov, and providing information regarding rights and services.

Agency Objectives

The Commission's objective is to ensure that all people with disabilities are afforded the opportunities to exercise all the rights and responsibilities accorded to citizens of this State and each person with a disability is able to reach his/her maximum potential in independence, human development, productivity and self-sufficiency.

Statutory History

The Commission's responsibilities are defined in RI General Laws: 42-51; 42-87; 37-8-15 & 15.1; 42-46-5(b); 42-46-13(f); 23-6-22; 37-2.2; 30-15-6; 28-5.1-9; 31-28-7.3; 42-102-2(e) and 17-9.1-31, Article I § 2, RI Constitution and federal laws: Section 504 of the Rehabilitation Act, Nondiscrimination on the Basis of Disability (29 USC 794); the Americans with Disabilities Act (42 USC 12101 et. seq.); and Section 261 of the Help America Vote Act, Election Assistance to Individuals with Disabilities (42 USC 15461).

The Budget

Governor's Commission on Disabilities

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 595,179 | 381,611 | 483,248 | 390,308 | 409,218 |
| Operating Supplies and Expenses | 25,375 | 32,263 | 23,918 | 33,939 | 33,051 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 59,063 | 25,353 | 102,938 | 108,712 | 107,250 |
| Subtotal: Operating Expenditures | \$679,617 | \$439,227 | \$610,104 | \$532,959 | \$549,519 |
| Capital Purchases and Equipment | 125,541 | 101,881 | 301,881 | 413,432 | 376,881 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 40,789 | - | - | - | - |
| Total Expenditures | \$845,947 | \$541,108 | \$911,985 | \$946,391 | \$926,400 |
| Expenditures By Funds | | | | | |
| General Revenue | 518,631 | 350,480 | 413,651 | 387,862 | 366,450 |
| Federal Funds | 162,175 | 77,450 | 189,769 | 135,851 | 174,949 |
| Restricted Receipts | 1,692 | 13,178 | 8,565 | 11,127 | 10,001 |
| Operating Transfers | 163,449 | 100,000 | 300,000 | 411,551 | 375,000 |
| Total Expenditures | \$845,947 | \$541,108 | \$911,985 | \$946,391 | \$926,400 |
| FTE Authorization | 6.6 | 5.6 | 4.6 | 4.0 | 4.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 35.1% | 35.7% | 35.7% | 35.7% | 50.0% |
| Females as a Percentage of the Workforce | 28.6% | 28.6% | 28.6% | 28.6% | 50.0% |
| Persons with Disabilities as a Percentage of the Workforce | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |
| Program Measures | | | | | |
| Percentage of State Legislation Affecting Persons with Disabilities that is Favorably Disposed | 54.5% | 65.0% | 50.0% | 50.0% | 50.0% |
| Number of Certified Disability Business Enterprise: | 9 | 10 | 11 | 11 | 12 |
| Number of Certified Rehabilitation Facilities | 11 | 11 | 12 | 12 | 13 |

The Agency

Office of the Mental Health Advocate

Agency Operations

The Office of the Mental Health Advocate is directed by statute to ensure the legal, civil, and special rights of people with mental illness in Rhode Island. This includes providing legal representation at involuntary commitment proceedings, monitoring procedures and policies at in-patient treatment facilities and community-based mental health programs, proposing and evaluating legislation, and litigating civil rights and treatment rights disputes. The Office also provides counsels to clients of the mental health system who bring complaints involving mental health issues and other issues vital to maintaining quality of life, such as housing and protection from creditors. The Office is also charged to protect the treatment rights of criminally insane persons and prison inmates in the forensic hospital (patients who are under criminal process) and to provide legal representation for indigent persons receiving in-patient substance abuse treatment.

Agency Objectives

Involuntary Commitment - To protect the liberty interests and treatment rights of individuals subjected to involuntary commitment and to the involuntary administration of medication in psychiatric facilities, including penal inmates.

Direct Representation and Referral of Clients of the Mental Health System - To protect the legal rights of clients of the mental health system by means of direct legal representation and/or referral to appropriate resources.

Advocacy for Improvements in the Mental Health System – To monitor and evaluate the quality of services available to clients of the mental health system, and to investigate incidents. To address shortcomings and gaps in the services and programs administered by the mental health providers.

Elimination of Stigma Associated with Mental Illness – To address stigmatizing legal inequities and social barriers that impact upon the lives of individuals with mental illness by means of legislative advocacy, litigation, education, support for the mental health consumer movement, and outreach to the public at large.

Statutory History

The Office of the Mental Health Advocate was created in 1975 when the legislature re-wrote and reformed the Mental Health Law of Rhode Island. This reform was part of a national movement toward deinstitutionalization of mentally ill individuals, a movement which began in the 1950's and became a centerpiece of public policy in the 1970's after the United States Supreme Court declared that all states must provide Constitutional Due Process and legal counsel to individuals subjected to involuntary hospitalization. The original statute authorizing the Mental Health Advocate is codified at RI General Laws, Sections 40.1-5-13, 40.1-5-22 and 24 (Public Laws 1974, Ch. 119).

The Budget

Office of the Mental Health Advocate

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 365,123 | 407,811 | 419,753 | 429,165 | 437,105 |
| Operating Supplies and Expenses | 14,462 | 11,316 | 11,418 | 11,318 | 11,318 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$379,585 | \$419,127 | \$431,171 | \$440,483 | \$448,423 |
| Capital Purchases and Equipment | 5,710 | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$385,295 | \$419,127 | \$431,171 | \$440,483 | \$448,423 |
| Expenditures By Funds | | | | | |
| General Revenue | 385,295 | 419,127 | 431,171 | 440,483 | 448,423 |
| Total Expenditures | \$385,295 | \$419,127 | \$431,171 | \$440,483 | \$448,423 |
| FTE Authorization | 3.7 | 3.7 | 3.7 | 3.7 | 3.7 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 75.0% | 75.0% | 50.0% | 50.0% | 50.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Percentage of Treatment Rights Cases Favorably Disposed | 74.0% | 75.0% | 70.0% | 70.0% | 70.0% |
| Percentage of Involuntary Petitions Filed that are Withdrawn or Dismissed | 19.1% | 30.0% | 30.0% | 30.0% | 30.0% |
| Percentage of Confidentiality and Medical Records Cases Favorably Disposed | 100.0% | 88.0% | 80.0% | 80.0% | 80.0% |

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Education

Department of Elementary and Secondary Education
Administration of the Comprehensive Education Strategy
Davies Career and Technical School
Rhode Island School for the Deaf
Metropolitan Career and Technical School
Education Aid
Central Falls School District
Housing Aid
Teachers' Retirement

Public Higher Education
Board of Governors/Office of Higher Education
University of Rhode Island
Rhode Island College
Community College of Rhode Island

Rhode Island Council on the Arts
Rhode Island Atomic Energy Commission
Rhode Island Higher Education Assistance Authority
Rhode Island Historical Preservation and Heritage Commission
Rhode Island Public Telecommunications
Authority-WSBE/Channel 36

Education Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|-----------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditure by Object | | | | | |
| Personnel | 451,746,297 | 482,811,398 | 500,711,972 | 515,985,532 | 536,939,025 |
| Operating Supplies and Expenses | 177,196,785 | 176,689,273 | 167,099,167 | 178,918,915 | 188,704,677 |
| Aid to Local Units of Government | 932,870,138 | 1,012,772,899 | 1,065,003,774 | 1,004,290,487 | 1,016,744,227 |
| Assistance, Grants, and Benefits | 228,156,083 | 191,813,675 | 184,406,344 | 205,428,847 | 255,382,342 |
| Subtotal: Operating Expenditures | \$1,789,969,303 | \$1,864,087,245 | \$1,917,221,257 | \$1,904,623,781 | \$1,997,770,271 |
| Capital Purchases and Equipment | 15,696,268 | 8,396,156 | 31,071,436 | 35,857,845 | 31,144,783 |
| Debt Service | 20,423,134 | 31,042,810 | 38,636,970 | 34,730,255 | 42,147,906 |
| Operating Transfers | 8,564,672 | 8,461,655 | 14,103,235 | 125,000 | 125,000 |
| Total Expenditures | \$1,834,653,377 | \$1,911,987,866 | \$2,001,032,898 | \$1,975,336,881 | \$2,071,187,960 |
| Expenditures by Funds | | | | | |
| General Revenue | 1,090,514,113 | 1,114,785,733 | 1,124,030,988 | 1,017,872,200 | 1,049,188,487 |
| Federal Funds | 187,295,262 | 190,717,542 | 208,833,496 | 265,668,183 | 306,628,987 |
| Restricted Receipts | 5,592,360 | 7,414,377 | 8,899,340 | 8,529,511 | 8,677,657 |
| Other Funds | 551,251,642 | 599,070,214 | 659,269,074 | 683,266,987 | 706,692,829 |
| Total Expenditures | \$1,834,653,377 | \$1,911,987,866 | \$2,001,032,898 | \$1,975,336,881 | \$2,071,187,960 |
| FTE Authorization | 3,987.8 | 3,979.2 | 3,926.3 | 3,799.8 | 3,817.9 |
| Sponsored Research Positions | 785.0 | 785.0 | 785.0 | 785.0 | 785.0 |
| FTE Total | 4,772.8 | 4,764.2 | 4,711.3 | 4,584.8 | 4,602.9 |

The Agency

Department of Elementary and Secondary Education

Agency Operations

The Commissioner, under the direction of the Board of Regents for Elementary and Secondary Education, heads the R.I. Department of Elementary and Secondary Education (RIDE). He makes certain that RIDE pursues its mission: to lead and support schools and communities in ensuring that all students achieve at the high levels needed to lead fulfilling and productive lives, to compete in academic and employment settings, and to contribute to society. This mission statement has been included in the Governor's Comprehensive Education Strategy, and it has been given legal and fiscal force through the Governor and the Legislature's passage in 1997 of The Rhode Island Student Investment Initiative (R.I.G.L. 16-7.1).

Agency Objectives

To fulfill this mission, RIDE, led by the Commissioner of Education, under the direction of the Board of Regents for Elementary and Secondary Education, has adopted these goals:

Maintaining high expectations for all students

- Encouraging all districts to use the statewide curriculum to lead students to meet or exceed grade-level and grade-span expectations
- Ensuring that state and local assessments serve as improvement measures in districts, schools, and classrooms
- Guaranteeing that all students are engaged and supported and that they have access to multiple pathways that will lead them to graduate ready for work or for continued education
- Seeing that urban districts have the resources and support needed to implement proven strategies for success that result in high levels of student proficiency

Establishing stability and coherence in the Rhode Island system of public education

- Ensuring that school funding is fair and predictable and that it supports student learning needs; that it drives efficiencies, accountability, and innovation; and that it reflects strong local and state commitment to education
- Ensuring that school boards, superintendents, administrators, principals, and teachers work together to develop educational goals and strategies with a clear understanding of their roles, responsibilities, and authorities

Empowering professional educators in every district, school, and classroom

- Ensuring that administrators have the appropriate support and authority needed to help students improve in each district and school
- Ensuring that teachers have the support and incentives necessary for continuous professional advancement

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization of RIDE.

The Budget

Department of Elementary and Secondary Education

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| Expenditures by Program | | | | | |
| Admin. of Comprehensive Educ. Strategy | 195,840,280 | 200,796,844 | 215,389,224 | 219,697,259 | 265,732,070 |
| Davies Career and Technical Center | 14,884,707 | 15,362,576 | 17,133,914 | 19,330,026 | 18,024,963 |
| Rhode Island School for the Deaf | 6,750,505 | 7,653,350 | 6,894,825 | 7,226,584 | 7,217,438 |
| Metropolitan Career and Technical School | 10,406,952 | 11,487,732 | 12,665,603 | 12,665,603 | 17,755,768 |
| Education Aid | 678,584,502 | 680,640,164 | 695,655,222 | 659,559,371 | 662,545,956 |
| Central Falls School District | 43,795,409 | 43,979,035 | 43,795,411 | 43,581,264 | 44,709,189 |
| Housing Aid | 46,814,982 | 49,652,310 | 56,996,248 | 54,140,052 | 63,738,663 |
| Teacher Retirement | 70,286,753 | 83,028,510 | 96,999,600 | 76,334,401 | 75,283,638 |
| Total Expenditures | \$1,067,364,090 | \$1,092,600,521 | \$1,145,530,047 | \$1,092,534,560 | \$1,155,007,685 |
| Expenditures By Object | | | | | |
| Personnel | 46,753,933 | 52,906,673 | 53,090,150 | 54,631,843 | 54,296,631 |
| Operating Supplies and Expenses | 11,678,785 | 10,761,672 | 12,114,497 | 12,057,386 | 12,842,295 |
| Aid To Local Units Of Government | 930,492,433 | 1,012,703,553 | 1,065,003,774 | 1,004,290,487 | 1,016,744,227 |
| Assistance, Grants and Benefits | 77,589,508 | 14,930,166 | 12,601,979 | 16,016,390 | 63,301,403 |
| Subtotal: Operating Expenditures | \$1,066,514,659 | \$1,091,302,064 | 1,142,810,400 | 1,086,996,106 | 1,147,184,556 |
| Capital Purchases and Equipment | 724,431 | 1,298,457 | 2,594,647 | 5,413,454 | 7,698,129 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 125,000 | - | 125,000 | 125,000 | 125,000 |
| Total Expenditures | \$1,067,364,090 | \$1,092,600,521 | \$1,145,530,047 | \$1,092,534,560 | \$1,155,007,685 |
| Expenditures By Funds | | | | | |
| General Revenue | 888,448,123 | 908,826,348 | 931,218,471 | 832,478,706 | 861,797,078 |
| Federal Funds | 174,313,591 | 175,708,363 | 191,008,411 | 234,017,089 | 278,150,906 |
| Restricted Receipts | 4,432,359 | 6,507,062 | 7,363,165 | 7,250,840 | 7,501,077 |
| Other Funds | 170,017 | 1,558,748 | 15,940,000 | 18,787,925 | 7,558,624 |
| Total Expenditures | \$1,067,364,090 | \$1,092,600,521 | \$1,145,530,047 | \$1,092,534,560 | \$1,155,007,685 |
| FTE Authorization | 332.2 | 332.0 | 339.0 | 311.4 | 327.4 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 11.0% | 13.0% | 11.0% | 11.0% | 11.0% |
| Females as a Percentage of the Workforce | 74.6% | 71.0% | 74.6% | 74.6% | 74.6% |
| Persons with Disabilities as a Percentage of the Workforce | 6.5% | 4.0% | 6.5% | 6.5% | 6.5% |

The Program

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

Program Operations

Through its Administration of the Comprehensive Education Strategy (ACES), RIDE provides leadership and support for the entire elementary and secondary education system in Rhode Island and ensures that the mission established by the Board of Regents, the Governor, and the Legislature is implemented. RIDE provides this leadership and support through its various offices: Adult and Career and Technical Education, Instruction and Assessment, Finance, Middle & High School Reform, Network & Information Systems, Policy (Commissioner's Office), Progressive Support & Intervention, Diverse Learners, and Educator Quality & Certification. All RIDE offices work in consort to advocate for a coherent public policy on education, enhance local capacity to improve teaching and learning, sustain an effective accountability system, and build innovative partnerships that create positive change.

Program Objectives

Increase the ability of schools to use information and analyses as part of the school-improvement planning process; work with schools and districts to improve the quality and timeliness of all data collection; maintain a comprehensive education-information system that integrates local and state data; increase professional development opportunities for all educators.

Ensure that all schools and districts meet all annual measurable objectives in both English language arts and mathematics. Work directly with districts that fail to do so, offering support and, if necessary, intervention strategies, with particular attention to the urban school districts and to the student population of English-language learners.

In consort with other New England states, develop and implement grade-level and grade-span expectations for core subjects and develop appropriate assessments to measure student proficiency; improve the attendance rates, high-school graduation rate, and rate of participation on state assessments so as to meet all annual measurable objectives.

Implement the new Rhode Island Diploma System, with its proficiency-based graduation requirements.

Develop, in consort with other state agencies and working through the Adult Literacy Task Force, a plan for improved adult education and workforce readiness.

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization and functions of RIDE.

The Budget

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Commissioner's Office | 2,337,121 | 2,351,552 | 2,322,988 | 2,949,706 | 2,839,712 |
| Finance | 40,661,133 | 43,665,461 | 44,456,431 | 48,882,074 | 52,094,623 |
| Network and Information System | 2,323,828 | 2,055,187 | 2,023,531 | 2,000,782 | 2,250,530 |
| Progressive Support and Intervention | 56,518,265 | 58,174,228 | 65,571,766 | 65,395,388 | 87,446,929 |
| Teacher Certification | 16,298,971 | 15,429,439 | 18,138,003 | 17,566,578 | 16,645,774 |
| Assessment and Accountability | 8,044,825 | 8,120,360 | 7,781,412 | 9,669,458 | 8,392,042 |
| Instruction | 6,384,951 | 7,661,028 | 7,701,778 | 5,741,125 | 7,349,395 |
| Special Populations | 47,101,102 | 46,453,220 | 49,372,296 | 48,061,169 | 70,837,505 |
| Middle/High School Reform | 7,254,633 | 6,057,720 | 6,962,584 | 7,891,538 | 6,911,097 |
| Adult Basic Education | 8,915,451 | 10,828,649 | 11,058,435 | 11,539,441 | 10,964,463 |
| Total Expenditures | \$195,840,280 | \$200,796,844 | \$215,389,224 | \$219,697,259 | \$265,732,070 |
| Expenditures By Object | | | | | |
| Personnel | 25,991,699 | 30,471,143 | 30,875,930 | 33,208,323 | 32,363,551 |
| Operating Supplies and Expenses | 7,813,643 | 7,251,685 | 8,419,513 | 8,235,085 | 8,633,840 |
| Aid To Local Units Of Government | 155,119,687 | 147,784,208 | 163,569,270 | 161,948,433 | 162,353,844 |
| Assistance, Grants and Benefits | 6,671,624 | 14,906,930 | 12,404,923 | 15,819,334 | 61,704,347 |
| Subtotal: Operating Expenditures | \$195,596,653 | \$200,413,966 | \$215,269,636 | \$219,211,175 | \$265,055,582 |
| Capital Purchases and Equipment | 243,627 | 382,878 | 119,588 | 486,084 | 676,488 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$195,840,280 | \$200,796,844 | \$215,389,224 | \$219,697,259 | \$265,732,070 |
| Expenditures By Funds | | | | | |
| General Revenue | 20,243,743 | 21,139,290 | 20,365,958 | 20,149,790 | 20,283,042 |
| Federal Funds | 172,099,385 | 174,464,929 | 189,382,311 | 193,780,980 | 239,430,914 |
| Restricted Receipts | 3,451,266 | 5,181,375 | 5,640,955 | 5,491,239 | 5,518,114 |
| Other Funds | 45,886 | 11,250 | - | 275,250 | 500,000 |
| Total Expenditures | \$195,840,280 | \$200,796,844 | \$215,389,224 | \$219,697,259 | \$265,732,070 |
| Program Measures | | | | | |
| Percent of Adults Enrolled in Workforce | | | | | |
| Investment Act Funded Adult Ed Classes | | | | | |
| <u>Who Achieve Competency at the Next Literacy Level</u> | | | | | |
| <i>Adult Basic Education</i> | 27.0% | 33.0% | 33.0% | 33.0% | 35.0% |
| <i>Adult Secondary Education</i> | 17.0% | 23.0% | 27.0% | 27.0% | 29.0% |
| English for Speakers of Other Languages | 33.0% | 40.0% | 41.0% | 41.0% | 42.0% |
| Percent of High School Parents Reporting that the | | | | | |
| School Engages Parents on School Committees | | | | | |
| such as Curriculum, Budget & School Improvement | | | | | |
| | 37.0% | 38.0% | 39.0% | 39.0% | 40.0% |
| High School Students Reporting that they | | | | | |
| Experience Integrated & Interdisciplinary Instruction | | | | | |
| | 2.5 | 2.5 | 2.6 | 2.6 | 3.0 |

The Program

Department of Elementary and Secondary Education Davies Career and Technical School

Program Operations

With respect to career and technical education, the William M. Davies Jr. Career & Technical High School provides a high-school education program in occupational areas for youths in grades 9-12. The school provides integrated academic and vocational curricula, up-to-date technology programs to meet the varying needs of all students, and strong links to business, industry, postsecondary education, and the community. Davies operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve student performance by providing students with the career preparation they need to compete and succeed in today's world and the world of the future and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the varying needs of students.

Establish strong partnerships with business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Davies Career and Technical School

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 12,688,287 | 13,562,541 | 13,912,347 | 13,810,831 | 14,086,108 |
| Operating Supplies and Expenses | 1,533,492 | 1,606,756 | 1,639,573 | 1,706,364 | 1,650,902 |
| Aid To Local Units Of Government | 221,841 | 107,524 | 210,185 | 270,888 | 270,888 |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$14,443,620 | \$15,276,821 | \$15,762,105 | \$15,788,083 | \$16,007,898 |
| Capital Purchases and Equipment | 441,087 | 85,755 | 1,371,809 | 3,541,943 | 2,017,065 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$14,884,707 | \$15,362,576 | \$17,133,914 | \$19,330,026 | \$18,024,963 |
| Expenditures By Funds | | | | | |
| General Revenue | 13,599,431 | 14,243,480 | 14,537,841 | 14,473,335 | 14,056,193 |
| Federal Funds | 1,159,609 | 1,103,560 | 1,356,073 | 1,508,491 | 2,093,770 |
| Restricted Receipts | 1,536 | - | - | - | - |
| Other Funds | 124,131 | 15,536 | 1,240,000 | 3,348,200 | 1,875,000 |
| Total Expenditures | \$14,884,707 | \$15,362,576 | \$17,133,914 | \$19,330,026 | \$18,024,963 |
| Program Measures | | | | | |
| Percentage of Davies Students Who Drop-Out | 2.5% | 3.9% | 5.0% | 5.0% | 5.0% |

The Program

Department of Elementary and Secondary Education Rhode Island School for the Deaf

Program Operations

The primary purpose of the Rhode Island School for the Deaf is to make the benefits of public education accessible to the hearing- and/or speech-impaired children of Rhode Island. The Board of Regents, which is responsible for the educational and internal affairs of the school, appoints a Board of Trustees. Services through the school are open to children who are hearing- and/or speech-impaired and thus require special services. Children are eligible for services from birth to age 21.

Program Objectives

Improve learning outcomes for hearing- and/or speech-impaired students, including those with additional disabilities, by providing diagnostic and educational services for attending students as well as through a Hearing/Screening Center for students in public and private schools.

Provide support services to the families of hearing- and/or speech-impaired students.

Statutory History

Title 16 Chapter 26, Section 1-11 of the Rhode Island General Laws refers to the Rhode Island School for the Deaf.

The Budget

Department of Elementary and Secondary Education Rhode Island School for the Deaf

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 6,249,814 | 6,447,040 | 6,412,873 | 6,464,882 | 6,727,925 |
| Operating Supplies and Expenses | 394,867 | 356,040 | 381,646 | 379,219 | 387,881 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 66,107 | 23,236 | 97,056 | 97,056 | 97,056 |
| Subtotal: Operating Expenditures | \$6,710,788 | \$6,826,316 | \$6,891,575 | \$6,941,157 | \$7,212,862 |
| Capital Purchases and Equipment | 39,717 | 827,034 | 3,250 | 285,427 | 4,576 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$6,750,505 | \$7,653,350 | \$6,894,825 | \$7,226,584 | \$7,217,438 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,422,553 | 6,551,039 | 6,624,798 | 6,608,662 | 5,947,646 |
| Federal Funds | 327,952 | 133,162 | 270,027 | 335,653 | 666,252 |
| Restricted Receipts | - | - | - | 1,418 | 603,540 |
| Other Funds | - | 969,149 | - | 280,851 | - |
| Total Expenditures | \$6,750,505 | \$7,653,350 | \$6,894,825 | \$7,226,584 | \$7,217,438 |
| Program Measures | | | | | |
| Percentage of Deaf Students who Drop-Out | 0.0% | 3.0% | 3.0% | 3.0% | 3.0% |

The Program

Department of Elementary and Secondary Education Metropolitan Career and Technical School

Program Operations

The Metropolitan Regional Career and Technical Center (the "Met School") is now in its 10th year of operation. The school provides students in grades 9-12 with comprehensive educational support to explore career opportunities in a real-world setting and prepares them for either further education or immediate employment upon graduation. The Metropolitan Regional Career and Technical Center operates as a local education agency, with campuses in Providence and in Newport, and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve performance and equip students to compete in today's world by providing them with the work and community-based opportunities they need for career and college preparation and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the needs of each student.

Establish strong partnerships with families, business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Metropolitan Career and Technical School

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | 10,406,952 | 11,487,732 | 11,565,603 | 11,565,603 | 12,755,768 |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$10,406,952 | \$11,487,732 | \$11,565,603 | \$11,565,603 | \$12,755,768 |
| Capital Purchases and Equipment | - | - | 1,100,000 | 1,100,000 | 5,000,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$10,406,952 | \$11,487,732 | \$12,665,603 | \$12,665,603 | \$17,755,768 |
| Expenditures By Funds | | | | | |
| General Revenue | 10,406,952 | 11,487,732 | 11,565,603 | 11,565,603 | 12,187,381 |
| Federal Funds | - | - | - | - | 568,387 |
| Other Funds | - | - | 1,100,000 | 1,100,000 | 5,000,000 |
| Total Expenditures | \$10,406,952 | \$11,487,732 | \$12,665,603 | \$12,665,603 | \$17,755,768 |
| Program Measures | | | | | |
| Percentage of Metropolitan School Students Who Drop-Out | 2.6% | 2.5% | 2.5% | 2.5% | 2.5% |

The Program

Department of Elementary and Secondary Education Education Aid

Program Operations

The State of Rhode Island provides direct support to public schools and public-school students through state aid. State aid consists of ten categories of funds distributed to school districts on a monthly basis: General Aid (16-7.1-15); Student Equity Investment Fund (16-7.1-8); Early Childhood Investment Fund (16-7.1-11); Student Technology Investment Fund (16-7.1-12); Language Assistance Investment Fund (16-7.1-9); Targeted Aid (16-7.1-16); Full Day Kindergarten Aid (16-7.1-11.1); Vocational Equity Investment Fund (16-7.1-19); Group Home Aid (16-64-1.1); and the Professional Development Investment Fund (16-7.1-10).

Additional state aid is distributed to districts once a year for specific purposes, e.g., textbooks and school breakfast. Education Aid also includes aid to support charter schools as well as funding used at the state level in support of schools for accountability (e.g., SALT visits), state professional-development activities, and progressive support and intervention. In addition, money is provided to Hasbro Children's Hospital on an annual basis. A major component of education aid is the funds provided to districts and schools that are in Corrective Action or Intervention Status, under the terms of the federal No Child Left Behind Act. These funds are used for Progressive Support & Intervention activities (16-7.1-5) and are used primarily in the urban districts in the state.

Program Objectives

State aid will be linked through school-district strategic planning efforts to activities that increase student performance in reading, language arts, and mathematics.

School districts with schools in need of improvement will work with the Department of Elementary and Secondary Education to use state aid in conjunction with local and federal resources to increase student and school performance.

Statutory History

Title 16 of the Rhode Island General Laws establishes and provides for the organization and functions of the department. Title 16, Chapters 7 through 47 of the Rhode Island General Laws refers to education aid.

The Budget

Department of Elementary and Secondary Education Education Aid

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,824,133 | 2,425,949 | 1,889,000 | 1,147,807 | 1,119,047 |
| Operating Supplies and Expenses | 1,936,783 | 1,547,191 | 1,673,765 | 1,736,718 | 2,169,672 |
| Aid To Local Units Of Government | 674,133,562 | 676,664,234 | 691,867,457 | 656,449,846 | 657,632,237 |
| Assistance, Grants and Benefits | 565,024 | - | 100,000 | 100,000 | 1,500,000 |
| Subtotal: Operating Expenditures | \$678,459,502 | \$680,637,374 | \$695,530,222 | \$659,434,371 | \$662,420,956 |
| Capital Purchases and Equipment | - | 2,790 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 125,000 | - | 125,000 | 125,000 | 125,000 |
| Total Expenditures | \$678,584,502 | \$680,640,164 | \$695,655,222 | \$659,559,371 | \$662,545,956 |
| Expenditures By Funds | | | | | |
| General Revenue | 676,878,300 | 679,307,765 | 680,333,012 | 608,244,802 | 627,931,115 |
| Federal Funds | 726,645 | 6,712 | - | 35,956,386 | 33,235,418 |
| Restricted Receipts | 979,557 | 1,325,687 | 1,722,210 | 1,758,183 | 1,379,423 |
| Other Funds | - | - | 13,600,000 | 13,600,000 | - |
| Total Expenditures | \$678,584,502 | \$680,640,164 | \$695,655,222 | \$659,559,371 | \$662,545,956 |
| Program Measures | | | | | |
| Average Score - English Language Arts - High School - Urban Districts | 78.2 | 80.1 | 82.0 | 82.0 | 82.0 |
| Average Score - English Language Arts - High School - All Other Districts | 86.6 | 87.7 | 89.0 | 89.0 | 92.0 |
| Average Score - Mathematics - High School Level - Urban Districts | 60.3 | 59.4 | 62.5 | 62.5 | 65.0 |
| Average Score - Mathematics - High School - All Other Districts | 76.4 | 69.5 | 75.0 | 75.0 | 80.0 |
| Average Score - English Language Arts - Middle Level - Urban Districts | 73.9 | 76.0 | 77.5 | 77.5 | 79.0 |
| Average Score - English Language Arts - Middle Level - All Other Districts | 88.6 | 89.7 | 91.0 | 91.0 | 93.0 |
| Average Score - Mathematics - Middle School Level - Urban Districts | 66.9 | 67.7 | 69.5 | 69.5 | 71.0 |
| Average Score - Mathematics - Middle School Level - All Other Districts | 83.7 | 84.3 | 85.5 | 85.5 | 87.0 |
| Percentage of RI High School Students who Graduate from the 12th Grade | 71.2% | 75.2% | 78.2% | 78.2% | 81.2% |
| Average Annual Attendance Rate for Elementary Schools | 94.9% | 95.0% | 95.0% | 95.0% | 95.0% |
| Average Annual Attendance Rate for Middle Schools | 94.0% | 94.0% | 94.0% | 94.0% | 94.0% |

The Program

Department of Elementary and Secondary Education Central Falls School District

Program Operations

The Central Falls School District provides a comprehensive educational program for students in grades pre-K–12. The system, which is fully funded by the State, operates under the governance of a Board of Trustees appointed by the Board of Regents. A very high percentage of students are eligible for free lunch; there is broad language, cultural, and racial diversity; a large number of students are eligible for English-as-a-Second-Language (ESL) services. Special Education services are provided to approximately 30 percent of the school population, with the majority of the students receiving services in the least-restrictive environment. The district's mobility rate is very high, and the expenditure for general instruction is below the state average.

Program Objectives

Improve student performance by providing a comprehensive educational program for students in grades pre-K–12 in the Central Falls School District and by decreasing the number of students who drop out of school.

Improve student performance by promoting a standards-based curriculum and setting high expectations for each student and every kind of learner.

Provide teachers with ongoing professional-development opportunities geared to school improvement.

Continue to promote community linkages and to engage families, with a focus on their children's learning.

Collaborate with the Children's Cabinet to expand early-childhood care and education programs and services.

Statutory History

Title 16, Chapter 16, Section 11 of the Rhode Island General Laws refers to the powers of the department after taking over town schools.

The Budget

Department of Elementary and Secondary Education Central Falls School District

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Contracted Professional Services | - | - | - | - | - |
| Aid To Local Units Of Government | 43,795,409 | 43,979,035 | 43,795,411 | 43,581,264 | 44,709,189 |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$43,795,409 | \$43,979,035 | \$43,795,411 | \$43,581,264 | \$44,709,189 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$43,795,409 | \$43,979,035 | \$43,795,411 | \$43,581,264 | \$44,709,189 |
| Expenditures By Funds | | | | | |
| General Revenue | 43,795,409 | 43,416,222 | 43,795,411 | 40,962,061 | 42,369,400 |
| Federal Funds | - | - | - | 2,435,579 | 2,156,165 |
| Other Funds | - | 562,813 | - | 183,624 | 183,624 |
| Total Expenditures | \$43,795,409 | \$43,979,035 | \$43,795,411 | \$43,581,264 | \$44,709,189 |
| Program Measures | | | | | |
| Percentage of Central Falls Students Who Drop-Out | 26.0% | 24.0% | 22.0% | 22.0% | 22.0% |

The Program

Department of Elementary and Secondary Education Housing Aid

Program Operations

The Department of Elementary and Secondary Education calculates and disburses funding for school housing aid. This aid is provided in order to guarantee adequate school housing for all public-school children in the State and to prevent the cost of school housing from interfering with the effective operation of the schools. The program proposes support of school-district building and infrastructure needs based on reimbursement of successfully completed locally funded capital projects. The reimbursement is linked to district wealth, with poorer communities receiving a higher reimbursement than wealthy districts. The minimum reimbursement is 30 percent, with bonuses for regional school districts and for projects involving asbestos abatement, handicapped accessibility, and energy-conservation work. Capital repairs, renovations, and new construction are all covered by this program.

Program Objectives

The State will successfully support the capital needs of school districts in an equitable fashion.

Statutory History

Title 16, Chapter 7, Sections 35 through 47 established and describes the operation of the School Housing Aid Reimbursement Program.

The Budget

Department of Elementary and Secondary Education Housing Aid

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | 46,814,982 | 49,652,310 | 56,996,248 | 54,140,052 | 63,738,663 |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$46,814,982 | \$49,652,310 | \$56,996,248 | \$54,140,052 | \$63,738,663 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$46,814,982 | \$49,652,310 | \$56,996,248 | \$54,140,052 | \$63,738,663 |
| Expenditures By Funds | | | | | |
| General Revenue | 46,814,982 | 49,652,310 | 56,996,248 | 54,140,052 | 63,738,663 |
| Total Expenditures | \$46,814,982 | \$49,652,310 | \$56,996,248 | \$54,140,052 | \$63,738,663 |
| Program Measures | NS | NS | NS | NS | NS |

The Program

Department of Elementary and Secondary Education Teacher Retirement

Program Operations

Membership in the State Employees' Retirement System for teachers began on July 1, 1949. All persons who became teachers on or after that date became members of the state retirement system as a condition of their employment. Funds for the State's contribution to teachers' retirement are appropriated to the Department of Elementary and Secondary Education for transfer to the state retirement fund. The State pays 40 percent of the employer's (i.e., district's) share of the retirement contribution due each year. The employer's share is determined annually, based on actuarial reports produced by the State Employees' Retirement System.

Program Objectives

Fund the State's contribution to the state retirement system for teachers.

Statutory History

Title 16, Chapter 16 of the Rhode Island General Laws refers to the teachers' retirement program.

The Budget

Department of Elementary and Secondary Education Teacher Retirement

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | - |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | 83,028,510 | 96,999,600 | 76,334,401 | 75,283,638 |
| Assistance, Grants and Benefits | 70,286,753 | - | - | - | - |
| Subtotal: Operating Expenditures | \$70,286,753 | \$83,028,510 | \$96,999,600 | \$76,334,401 | \$75,283,638 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$70,286,753 | \$83,028,510 | \$96,999,600 | \$76,334,401 | \$75,283,638 |
| Expenditures By Funds | | | | | |
| General Revenue | 70,286,753 | 83,028,510 | 96,999,600 | 76,334,401 | 75,283,638 |
| Total Expenditures | \$70,286,753 | \$83,028,510 | \$96,999,600 | \$76,334,401 | \$75,283,638 |
| Program Measures | NS | NS | NS | NS | NS |

The Agency

Public Higher Education

Agency Operations

The mission of the Rhode Island Board of Governors for Higher Education is to provide an excellent, efficient, accessible and affordable system of higher education designed to improve the overall educational attainment of Rhode Islanders and thereby enrich the intellectual, economic, social and cultural life of the state, its residents and its communities.

The Board consists of twelve public members appointed by the Governor and the Chair of the Board of Regents for Elementary/Secondary Education. The Board is staffed by the Office of Higher Education under the direction of the Commissioner who also serves as Chief Executive Officer of the Board.

The Office of Higher Education is the policy development, research and monitoring arm of the Board of Governors. The Office is organized into four units: Academic and Student Affairs, External Affairs, Legal and Labor Relations, and Finance and Management. Its principal responsibilities include: the preparation of a public higher education budget and capital development program and the development of policies in the pursuit of the primary goal of improving overall educational attainment in the state through a commitment to excellence, opportunity and access, diversity and responsiveness, coordination, and accountability in public higher education.

Statutory History

In 1981, The Rhode Island Legislature reorganized the governance of education in Rhode Island in the effect dividing the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units -- the Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education. The legislature held that the Board of Governors not be a Department of State government, but rather an independent public corporation which acts in concert and cooperation with the executive and legislative branches in the best interest of the higher education needs of Rhode Islanders. This legal independence applies not only to matters of educational policy, but also to fiscal and budgetary matters, personnel administration and property ownership and control.

The Budget

Public Higher Education

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Board of Governors/OHE | 11,041,801 | 12,929,072 | 10,912,064 | 17,420,272 | 18,867,002 |
| University of Rhode Island | 489,918,199 | 523,704,821 | 551,187,879 | 559,099,554 | 579,883,976 |
| Rhode Island College | 126,152,029 | 131,704,221 | 140,041,605 | 140,519,168 | 150,455,193 |
| Community College of Rhode Island | 107,623,281 | 116,408,577 | 117,447,461 | 123,716,092 | 129,192,699 |
| Total Expenditures | \$734,735,310 | \$784,746,691 | \$819,589,009 | \$840,755,086 | \$878,398,870 |
| Expenditures By Object | | | | | |
| Personnel | 393,076,191 | 417,976,245 | 433,423,625 | 445,408,548 | 466,479,813 |
| Operating Supplies and Expenses | 162,628,004 | 162,400,688 | 149,860,892 | 162,272,738 | 171,441,473 |
| Aid To Local Units Of Government | 2,377,705 | 69,346 | - | - | - |
| Assistance, Grants and Benefits | 133,365,525 | 157,985,434 | 156,149,451 | 168,871,654 | 175,850,524 |
| Subtotal: Operating Expenditures | \$691,447,425 | \$738,431,713 | \$739,433,968 | \$776,552,940 | \$813,771,810 |
| Capital Purchases and Equipment | 14,425,735 | 6,810,513 | 27,539,836 | 29,471,891 | 22,479,154 |
| Debt Service | 20,423,134 | 31,042,810 | 38,636,970 | 34,730,255 | 42,147,906 |
| Operating Transfers | 8,439,016 | 8,461,655 | 13,978,235 | - | - |
| Total Expenditures | \$734,735,310 | \$784,746,691 | \$819,589,009 | \$840,755,086 | \$878,398,870 |
| Expenditures By Funds | | | | | |
| General Revenue | 189,489,620 | 189,982,771 | 179,856,018 | 172,860,842 | 174,885,270 |
| Federal Funds | 2,871,077 | 4,924,539 | 3,646,277 | 11,134,309 | 11,997,554 |
| Restricted Receipts | 1,074,589 | 715,937 | 1,041,526 | 666,433 | 667,543 |
| Other Funds | 541,300,024 | 589,123,444 | 635,045,188 | 656,093,502 | 690,848,503 |
| Total Expenditures | \$734,735,310 | \$784,746,691 | \$819,589,009 | \$840,755,086 | \$878,398,870 |
| FTE Authorization | | | | | |
| | 3,554.8 | 3,549.8 | 3,490.9 | 3,395.0 | 3,397.1 |
| Sponsored Research | | | | | |
| | 785.0 | 785.0 | 785.0 | 785.0 | 785.0 |
| Total | 4,339.8 | 4,334.8 | 4,275.9 | 4,180.0 | 4,182.1 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 10.6% | 17.6% | 17.6% | 17.6% | 11.1% |
| Females as a Percentage of the Workforce | 57.1% | 70.6% | 70.6% | 70.6% | 56.9% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | 2.7% |

The Program

Public Higher Education Board of Governors/Office of Higher Education

Program Operations

The Board of Governors for Higher Education was established by the General Assembly in 1981.

Program Objective

To ensure that public higher education remains economically and geographically accessible to all qualified residents in Rhode Island; deliver public higher education programs on a cost-effective basis; remain coordinated in program offerings, responsive in services and accountable in operations; and to pursue the major goal of excellence in education.

Statutory History

The Board of Governors is established under Title 16, Chapter 59 of the Rhode Island General Laws, and is successor to the authority previously exercised over public higher education by the Board of Regents for Education until 1981. At that time, the Board of Regents was abolished and its authority vested in three new entities: The Board of Governors for Higher Education; the Board of regents for Elementary/Secondary Education as designated in Title 26, Chapter 60; and the Rhode Island Public Telecommunications Authority as designated in Title 16, Chapter 61 of the Rhode Island General Laws.

The Budget

Public Higher Education Board of Governors/Office of Higher Education

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,240,447 | 2,025,670 | 2,673,605 | 2,719,053 | 2,836,261 |
| Operating Supplies and Expenses | 3,970,713 | 3,752,908 | 3,522,521 | 3,501,246 | 3,579,702 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 3,383,736 | 7,140,118 | 1,237,768 | 4,546,755 | 4,667,932 |
| Subtotal: Operating Expenditures | \$9,594,896 | \$12,918,696 | \$7,433,894 | \$10,767,054 | \$11,083,895 |
| Capital Purchases and Equipment | 38,361 | 10,376 | 3,478,170 | 6,653,218 | 7,783,107 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 1,408,544 | - | - | - | - |
| Total Expenditures | \$11,041,801 | \$12,929,072 | \$10,912,064 | \$17,420,272 | \$18,867,002 |
| Expenditures By Funds | | | | | |
| General Revenue | 7,732,199 | 7,907,869 | 6,865,787 | 6,748,278 | 7,334,037 |
| Federal Funds | 2,871,077 | 4,924,539 | 3,646,277 | 10,671,994 | 11,532,965 |
| Restricted Receipts | 438,525 | 96,664 | 400,000 | - | - |
| Total Expenditures | \$11,041,801 | \$12,929,072 | \$10,912,064 | \$17,420,272 | \$18,867,002 |
| Program Measures | | | | | |
| Public Higher Education Enrollees Aged 18-24 as a Percentage of State Population Aged 18-24 | 19.6% | 20.5% | 21.7% | 21.7% | 21.6% |

The Program

Public Higher Education University of Rhode Island

Program Operations

The University of Rhode Island is the principal public research and graduate institution in the State of Rhode Island with responsibilities for expanding knowledge, for transmitting it, and for fostering its application. Its status as a land grant, sea grant, and urban grant institution highlights its traditions of natural resource, marine, and urban-related research. The University is committed to providing strong undergraduate programs to promote students' ethical development and capabilities as critical and independent thinkers. To meet student and societal needs, it offers undergraduate professional education programs in a wide range of disciplines. Graduate programs provide rigorous advanced study and research opportunities for personal and professional development. With undergraduate and graduate programs in the liberal arts and sciences and focus programs in the areas of marine and environmental studies; health; children, families, and communities; and enterprise and advanced technology, the University strives to meet the rapidly changing needs of the State, the country, and the world.

Program Objective

Fulfill the education mission of the University by providing traditional and non-traditional opportunities for education at the undergraduate and graduate levels, conduct research, and support other scholarly activities.

Statutory History

Title 16, Chapters 31 and 32 of the Rhode Island General Laws relate to the University of Rhode Island.

The Budget

Public Higher Education University of Rhode Island

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 233,615,284 | 252,220,359 | 258,774,897 | 265,021,424 | 273,171,799 |
| Operating Supplies and Expenses | 121,768,519 | 114,467,067 | 107,243,255 | 119,098,498 | 124,876,225 |
| Aid To Local Units Of Government | 2,377,705 | 69,346 | - | - | - |
| Assistance, Grants and Benefits | 104,648,778 | 120,669,035 | 127,916,505 | 134,758,433 | 138,681,643 |
| Subtotal: Operating Expenditures | \$462,410,286 | \$487,425,807 | \$493,934,657 | \$518,878,355 | \$536,729,667 |
| Capital Purchases and Equipment | 6,386,651 | 4,017,823 | 14,537,711 | 13,104,900 | 8,251,027 |
| Debt Service | 15,431,818 | 23,493,325 | 31,023,014 | 27,116,299 | 34,903,282 |
| Operating Transfers | 5,689,444 | 8,767,866 | 11,692,497 | - | - |
| Total Expenditures | \$489,918,199 | \$523,704,821 | \$551,187,879 | \$559,099,554 | \$579,883,976 |
| Expenditures By Funds | | | | | |
| General Revenue | 85,900,766 | 85,334,311 | 78,110,575 | 75,479,900 | 75,784,427 |
| Federal Funds | - | - | - | 462,315 | 464,589 |
| Other Funds | 404,017,433 | 438,370,510 | 473,077,304 | 483,157,339 | 503,634,960 |
| Total Expenditures | \$489,918,199 | \$523,704,821 | \$551,187,879 | \$559,099,554 | \$579,883,976 |
| Program Measures | | | | | |
| Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year | 6.0% | 6.0% | 6.0% | 6.0% | 9.8% |
| Minority Enrollment - African Americans | 4.5% | 4.3% | 4.4% | 4.4% | 4.4% |
| Minority Enrollment - Hispanics | 4.2% | 4.2% | 4.6% | 4.6% | 4.6% |
| Minority Enrollment - Native Americans | 0.3% | 0.4% | 0.4% | 0.4% | 0.4% |
| Minority Enrollment - Asians | 2.7% | 2.4% | 2.8% | 2.8% | 2.8% |
| Percentage Nursing Students Passing State Licensing Exams | 87.8% | 88.2% | 88.5% | 88.5% | 88.4% |
| Six-Year Graduation Rates | 56.9% | 57.5% | 57.9% | 57.9% | 57.9% |
| First-Year Retention Rates of First-Time, Degree-Seeking Freshman | 81.0% | 81.0% | 80.1% | 80.1% | 80.1% |

The Program

Public Higher Education Rhode Island College

Program Operations

Rhode Island College is the State's comprehensive public institution of higher education. Its primary mission is to make its academic programs available to any qualified resident of Rhode Island who can benefit from its educational services. The College offers programs of study in that array of disciplines traditionally considered as the liberal arts, including humanities, social sciences, sciences, and fine arts, and a broad array of professional offerings in the health and helping professions, education, management, and technology. Selected curricula are offered at the graduate level within the liberal arts and in applied and practitioner oriented fields within the service sector. Liberal education refers to learning opportunities designed to ensure that students have a breadth of educational experiences, which foster the development of insights and multiple perspectives on ideas, issues, and events, as well as an appreciation of the interconnectedness of fields of knowledge, and the value dimensions of the human condition.

Program Objective

The College is dedicated to making a high quality education accessible to a diverse group of students. Through its educational, social and cultural programs and activities, the College seeks to contribute to the professional mobility and advancement of its students and alumni, to the economic development of the State of Rhode Island, and to the enrichment of the larger community.

Statutory History

Title 16, Chapters 31 and 33 of the Rhode Island General Laws relate to Rhode Island College.

The Budget

Public Higher Education Rhode Island College

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 84,579,420 | 87,490,725 | 90,370,841 | 95,075,697 | 101,448,046 |
| Operating Supplies and Expenses | 18,499,716 | 21,597,697 | 22,376,644 | 20,886,122 | 23,276,960 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 13,110,135 | 15,362,384 | 14,688,594 | 14,690,594 | 17,432,508 |
| Subtotal: Operating Expenditures | \$116,189,271 | \$124,450,806 | \$127,436,079 | \$130,652,413 | \$142,157,514 |
| Capital Purchases and Equipment | 5,211,994 | 485,095 | 4,510,555 | 3,862,526 | 2,599,910 |
| Debt Service | 3,409,736 | 6,022,554 | 6,004,229 | 6,004,229 | 5,697,769 |
| Operating Transfers | 1,341,028 | 745,766 | 2,090,742 | - | - |
| Total Expenditures | \$126,152,029 | \$131,704,221 | \$140,041,605 | \$140,519,168 | \$150,455,193 |
| Expenditures By Funds | | | | | |
| General Revenue | 47,338,089 | 47,539,037 | 45,695,785 | 43,690,090 | 43,795,225 |
| Other Funds | 78,813,940 | 84,165,184 | 94,345,820 | 96,829,078 | 106,659,968 |
| Total Expenditures | \$126,152,029 | \$131,704,221 | \$140,041,605 | \$140,519,168 | \$150,455,193 |
| Program Measures | | | | | |
| Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year | 6.0% | 6.0% | 9.8% | 9.8% | 9.5% |
| Minority Enrollment - African Americans | 4.9% | 5.3% | 5.2% | 5.2% | 5.2% |
| Minority Enrollment - Hispanics | 4.9% | 5.6% | 6.0% | 6.0% | 6.0% |
| Minority Enrollment - Native Americans | 0.2% | 0.3% | 0.3% | 0.3% | 0.3% |
| Minority Enrollment - Asians | 1.8% | 1.9% | 2.0% | 2.0% | 2.0% |
| Percentage Nursing Students Passing State Licensing Exams | 95.7% | 95.2% | 92.7% | 92.7% | 92.0% |
| Six-Year Graduation Rates | 45.1% | 45.0% | 45.0% | 45.0% | 45.0% |
| First-Year Retention Rates of First-Time, Degree-Seeking Freshman | 75.7% | 75.4% | 77.0% | 77.0% | 77.0% |

The Program

Public Higher Education Community College of Rhode Island

Program Operations

The Community College of Rhode Island is the largest public, two-year degree-granting college in New England. The Community College provides a variety of vocational, technical and academic programs at campuses in Warwick, Lincoln and Providence.

As a community-based college, the Community College is also committed to providing a wide range of programs, workshops and seminars to benefit area students, businesses and governmental agencies. In every sense, the Community College of Rhode Island strives to meet the educational needs of the commuters and the people of the state.

Program Objective

Fulfill the education mission of the Community College by providing academic transfer and career-oriented training of the highest caliber giving special attention to the quality of offerings and effectiveness of instruction, and offering students support services necessary to achieve their educational goals.

Statutory History

Title 16, Chapters 31, 33.1, and 44 of the Rhode Island General Laws relate to the Community College of Rhode Island.

The Budget

Public Higher Education Community College of Rhode Island

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 72,641,040 | 76,239,491 | 81,604,282 | 82,592,374 | 89,023,707 |
| Operating Supplies and Expenses | 18,389,056 | 22,583,016 | 16,718,472 | 18,786,872 | 19,708,586 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 12,222,876 | 14,813,897 | 12,306,584 | 14,875,872 | 15,068,441 |
| Subtotal: Operating Expenditures | \$103,252,972 | \$113,636,404 | \$110,629,338 | \$116,255,118 | \$123,800,734 |
| Capital Purchases and Equipment | 2,788,729 | 2,297,219 | 5,013,400 | 5,851,247 | 3,845,110 |
| Debt Service | 1,581,580 | 1,526,931 | 1,609,727 | 1,609,727 | 1,546,855 |
| Operating Transfers | - | (1,051,977) | 194,996 | - | - |
| Total Expenditures | \$107,623,281 | \$116,408,577 | \$117,447,461 | \$123,716,092 | \$129,192,699 |
| Expenditures By Funds | | | | | |
| General Revenue | 48,518,566 | 49,201,554 | 49,183,871 | 46,942,574 | 47,971,581 |
| Restricted Receipts | 636,064 | 619,273 | 641,526 | 666,433 | 667,543 |
| Other Funds | 58,468,651 | 66,587,750 | 67,622,064 | 76,107,085 | 80,553,575 |
| Total Expenditures | \$107,623,281 | \$116,408,577 | \$117,447,461 | \$123,716,092 | \$129,192,699 |
| Program Measures | | | | | |
| Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year | 8.7% | 6.0% | 8.6% | 8.6% | 9.3% |
| Minority Enrollment - African Americans | 8.0% | 7.5% | 7.5% | 7.5% | 7.5% |
| Minority Enrollment - Hispanics | 10.6% | 12.1% | 12.3% | 12.3% | 12.3% |
| Minority Enrollment - Native Americans | 0.6% | 0.6% | 0.6% | 0.6% | 0.6% |
| Minority Enrollment - Asians | 2.3% | 2.6% | 2.6% | 2.6% | 2.6% |
| Percentage Nursing Students Passing State Licensing Exams (RN) | 88.4% | 85.9% | 90.0% | 90.0% | 90.0% |
| Percentage Nursing Students Passing State Licensing Exams (LPN) | 95.2% | 90.9% | 98.0% | 98.0% | 98.0% |
| Student Success Rate | 29.6% | 30.2% | 30.0% | 30.0% | 30.0% |
| First-Year Retention Rates of First-Time, Degree-Seeking Freshman | 61.8% | 62.6% | 62.0% | 62.0% | 62.0% |

The Agency

Rhode Island Council on the Arts

Agency Operations

The Rhode Island State Council on the Arts was established in 1967. It provides grants, technical assistance and support to arts organizations, schools, community centers, social service organizations, and local governments to bring the arts into the lives of Rhode Islanders. For effective delivery of services to the field, the agency's activities are guided by three core functions: grant-making, partnerships, and facilitation.

Funding within the agency's various grant categories allows the council to address its primary function by awarding grants to non-profit organizations and providing arts programming to support non-profit organizations, schools and individual artists.

The second core function refers to developing strategic partnerships with major institutions and entities from the public and private sectors in order to broaden support for the arts. Current partners are: the National Endowment for the Arts, the New England Foundation for the Arts, the Rhode Island Foundation, the Alliance for Arts Education, Business Volunteers for the Arts, and the State Department of Elementary and Secondary Education.

The third core function of the council includes providing technical assistance and information services. These services include management assistance to individual artists and arts organizations, workshops and general dissemination of information through a wide range of publications.

Motion pictures and television play a significant role in the cultural life of the state. Film and TV has grown during the past several decades into an important art form, and film and TV on the national and international level is a formidable economic engine. The combination of the Rhode Island Film & Television Office with the Rhode Island State Council on the Arts reinforces state support for these art forms, enhances the attractiveness of the state to national and international productions, and facilitates economic progress for the state.

The Art for Public Facilities Program allows the council to acquire works of art for state buildings regularly accessible to the general public in order to expand the public experience of art. The council coordinates the process for commissioning art in public facilities in compliance with the Allocation for Art for Public Facilities Act.

Agency Objectives

To ensure that the arts in Rhode Island communities continue to grow and play an increasing role in the welfare and educational experience of Rhode Islanders.

Statutory History

R.I.G.L. 42-75 defines the role of the council.

The Budget

Rhode Island Council on the Arts

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 689,073 | 763,968 | 804,734 | 681,792 | 699,912 |
| Operating Supplies and Expenses | 87,169 | 109,342 | 152,899 | 103,124 | 104,189 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 2,009,032 | 1,857,872 | 1,958,569 | 2,041,534 | 2,270,725 |
| Subtotal: Operating Expenditures | \$2,785,274 | \$2,731,182 | \$2,916,202 | \$2,826,450 | \$3,074,826 |
| Capital Purchases and Equipment | 136,352 | 203,207 | 359,453 | 400,000 | 400,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 656 | - | - | - | - |
| Total Expenditures | \$2,922,282 | \$2,934,389 | \$3,275,655 | \$3,226,450 | \$3,474,826 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,112,363 | 2,111,963 | 2,094,847 | 1,905,796 | 1,983,986 |
| Federal Funds | 653,685 | 612,251 | 741,355 | 801,429 | 1,055,840 |
| Restricted Receipts | - | - | - | 94,225 | - |
| Other Funds | 156,234 | 210,175 | 439,453 | 425,000 | 435,000 |
| Total Expenditures | \$2,922,282 | \$2,934,389 | \$3,275,655 | \$3,226,450 | \$3,474,826 |
| FTE Authorization | 8.6 | 8.6 | 8.6 | 7.6 | 7.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 69.8% | 69.8% | 69.8% | 69.8% | 69.8% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Number of Artists Participating in Council-Assisted Programs | 11,608 | 10,370 | 11,500 | 11,500 | 11,500 |
| Individuals Benefiting from Council-Assisted Programs | 3,288,870 | 3,083,803 | 3,000,000 | 3,000,000 | 3,000,000 |

The Agency

Rhode Island Atomic Energy Commission

Agency Operations

The Rhode Island Nuclear Science Center (RINSC) is used for medical, biological, environmental, and materials research, education and commercial activities. The staff runs the Radiation Safety Program for the University of Rhode Island. The Director serves on the State Radiation Advisory Commission and has taken over responsibility for low-level radioactive waste disposal activities.

The center's state-of-the-art analytic laboratories and equipment are currently being used for several environmental monitoring programs sponsored by the Department of Environmental Management, the Narragansett Bay Commission and other agencies. Several years ago, the facility completed a multi-year, \$3 million dollar reactor upgrade program financed through Department of Energy Grants. In 1993, the reactor was converted to a new low enriched uranium fuel system that has greatly reduced security requirements and associated costs while providing a significant improvement in performance. Subsequent grants have resulted in the addition of required mechanical and electronic equipment necessary to substantially increase reactor capability. These improvements will permit the RINSC to compete successfully for production of medical isotopes and will provide the necessary neutron flux to conduct Neutron Capture Therapy that is a promising new method of curing brain cancer and skin cancer. Engineering, design and fabrication work is currently in progress for the construction of a cancer treatment facility and researchers at Brown University, and the RINSC has received a grant to develop new compounds for use at this facility. This multi-year grant supports a collaborative effort with the Massachusetts Institute of Technology (MIT) to develop a successful treatment for one of the most deadly forms of brain cancer.

A laboratory for the development of new radio-pharmaceuticals has been completed by R.I. Consultants. This company recently developed a new method of utilizing radio-isotopes to prevent clogging of the arteries after angioplasty and they are currently developing new products for several research groups. BioPAL Incorporated is making extensive use of the reactor to conduct analysis of medical samples for a variety of treatment and research purposes. They have developed a new method of using medical isotopes that eliminate the dose to patients during diagnostic treatments. SubChem Systems Inc. has just completed a new laboratory building on the South Lab Wing for the development of underwater sensors for weapons of mass destruction. RINSC is located at the University of Rhode Island, Bay Campus, in Narragansett. The center contains a state-of-the-art nuclear counting system, laboratories, a mass spectrometer, a class-100 clean room and facilities for handling and storage of radioactive material. The Rhode Island Nuclear Science Center has operated on a daily basis without incident since 1962.

Agency Objectives

To operate and maintain the facilities at the RINSC, to support projects in all areas and to actively seek commercial projects, and to provide assistance to other state agencies in their radiation and emergency response programs.

Statutory History

R.I.G.L. 42-27 establishes the commission for matters relating to nuclear power.

The Budget

Rhode Island Atomic Energy Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 906,525 | 974,323 | 986,384 | 947,033 | 941,197 |
| Operating Supplies and Expenses | 161,588 | 417,259 | 491,516 | 181,799 | 143,918 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,068,113 | \$1,391,582 | \$1,477,900 | \$1,128,832 | \$1,085,115 |
| Capital Purchases and Equipment | 19,373 | 82,979 | 55,000 | 55,000 | 55,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,087,486 | \$1,474,561 | \$1,532,900 | \$1,183,832 | \$1,140,115 |
| Expenditures By Funds | | | | | |
| General Revenue | 827,654 | 834,101 | 824,470 | 786,847 | 775,346 |
| Federal Funds | 101,942 | 352,771 | 407,277 | 103,116 | 30,000 |
| Other Funds | 157,890 | 287,689 | 301,153 | 293,869 | 334,769 |
| Total Expenditures | \$1,087,486 | \$1,474,561 | \$1,532,900 | \$1,183,832 | \$1,140,115 |
| FTE Authorization | 8.6 | 8.6 | 8.6 | 8.6 | 8.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 33.3% | 33.3% | 33.3% | 33.3% | 44.4% |
| Persons with Disabilities as a Percentage of the Workforce | 11.0% | 11.0% | 11.0% | 11.0% | 11.0% |
| Program Measures | | | | | |
| Actual Operational Hours Spent as a Percentage of Operational Hour Goal of 1,820 | 25.0% | 16.0% | 32.0% | 32.0% | 40.0% |
| Actual Irradiations Provided as a Percentage of Irradiation Goal of 20,000 Sample Hours | 49.8% | 31.0% | 35.0% | 35.0% | 40.0% |

The Agency

Rhode Island Higher Education Assistance Authority

Agency Operations

The Higher Education Assistance Authority (RIHEAA or “the Authority”) is organized into three divisions which administer respectively: the Scholarship and Grant Program; the Tuition Savings Program (CollegeBoundfund®); and the Federal Family Education Loan (FFEL) Program. The Scholarship and Grant Program administers various student financial assistance programs funded by general revenue appropriations from the state of Rhode Island, as well as by funds received from the U.S. Department of Education and funds derived from other sources. These programs include State Scholarship/Grant Program, the Federal LEAP/SLEAP Programs and the College Access Challenge Grants. The Tuition Savings Program administers the activities of the CollegeBoundfund®, including the student financial assistance programs funded by revenues generated by CollegeBoundfund®. Those student financial assistance programs are the Academic Promise Scholarship Program, the CollegeBoundfund® Matching Grant Program and the Adult Education Grant Program. In addition, revenues from CollegeBoundfund® are used to supplement the State Grant Program. The Loan Program primarily administers RIHEAA’s activities as the state designated guaranty agency in the FFEL Program assisting students and their parents in financing higher education expenses with guaranteed student loans. As part of Loan Program operations, RIHEAA has initiated WaytogoRI, an online web portal provided free to all Rhode Island schools (public, private and parochial) which allows students, parents and educators to explore education and career options, plan and prepare for college and careers, conduct college searches and apply electronically for college admission.

Agency Objectives

The Authority provides a system of financial assistance programs, consisting and as a guaranty agency in the Federal Family Education Loan Program. Together, these programs encourage early awareness of the importance of college and career planning, promote access to higher education, and provide financial assistance to qualified students and parents who are restricted from participating in post-secondary education because of insufficient financial resources.

Statutory History

The Higher Education Assistance Authority was created in 1977 to administer the grant, scholarship, and loan programs for the State of Rhode Island, replacing an existing loan entity and assuming some functions of the Department of Education. Title 16 Chapter 56 and 57 of the Rhode Island General Laws establish and provide for the organization, functions, and statutory award formula of the Authority.

The Budget

Rhode Island Higher Education Assistance Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Scholarship and Grants | 7,067,272 | 10,587,711 | 7,690,970 | 7,985,766 | 7,668,523 |
| Loans | 8,487,253 | 8,242,459 | 12,182,617 | 18,073,996 | 14,212,538 |
| Tuition Savings | 8,384,583 | 7,091,784 | 6,776,220 | 6,899,631 | 6,750,277 |
| Total Expenditures | \$23,939,108 | \$25,921,954 | \$26,649,807 | \$32,959,393 | \$28,631,338 |
| Expenditures By Object | | | | | |
| Personnel | 7,077,056 | 6,775,015 | 9,006,278 | 10,946,775 | 11,106,420 |
| Operating Supplies and Expenses | 2,319,346 | 2,719,701 | 4,126,610 | 4,013,136 | 4,013,136 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 14,541,740 | 16,426,238 | 13,014,919 | 17,497,482 | 13,009,782 |
| Subtotal: Operating Expenditures | \$23,938,142 | \$25,920,954 | \$26,147,807 | \$32,457,393 | \$28,129,338 |
| Capital Purchases and Equipment | 966 | 1,000 | 502,000 | 502,000 | 502,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$23,939,108 | \$25,921,954 | \$26,649,807 | \$32,959,393 | \$28,631,338 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,708,495 | 10,219,792 | 7,323,051 | 7,292,984 | 7,305,741 |
| Federal Funds | 8,846,030 | 8,610,378 | 12,550,536 | 18,766,778 | 14,575,320 |
| Other Funds | 8,384,583 | 7,091,784 | 6,776,220 | 6,899,631 | 6,750,277 |
| Total Expenditures | \$23,939,108 | \$25,921,954 | \$26,649,807 | \$32,959,393 | \$28,631,338 |
| FTE Authorization | 46.0 | 42.6 | 42.6 | 42.6 | 42.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 9.5% | 9.5% | 9.5% | 10.3% | 8.3% |
| Females as a Percentage of the Workforce | 73.8% | 73.8% | 73.8% | 79.5% | 79.5% |
| Persons with Disabilities as a Percentage of the Workforce | 7.2% | 7.2% | 7.7% | 7.7% | 6.3% |
| Program Measures | | | | | |
| Percentage of Eligible Students Receiving Grants | 51.1% | 57.3% | 52.1% | 52.1% | 52.1% |
| Average Grant Award | \$1,117 | \$1,128 | \$890 | \$890 | \$1,848 |
| State Grant as a Percentage of Unmet Need Prior to State Grants | 9.8% | 9.7% | 7.6% | 7.6% | 15.8% |

The Agency

Historical Preservation and Heritage Commission

Agency Operations

The Rhode Island Historical Preservation and Heritage Commission is the state office for historic preservation and for sponsorship of state heritage activities. It is Rhode Island's only statewide preservation program to identify and protect historic buildings, districts, and archeological sites. The commission is responsible for developing a state historical preservation plan, and for survey and planning activities which provide for in-depth studies of each city and town to identify, evaluate, and record sites, structures, districts, and objects of historical, architectural, cultural, or archeological significance to the State.

The commission sponsors and/or coordinates heritage festivals, heritage seminars, conferences, and symposia, and publishes scholarly and popular works relating to the social, political, and cultural development of the State. It also coordinates programs with other private or public groups or agencies which will meet the cultural needs of Rhode Island's citizens. The commission also operates the Eisenhower House at Fort Adams State Park in Newport.

The commission develops and implements programs for the preservation of historic resources. Federal and state projects are reviewed by the commission to assess their effect on specific cultural resources. The commission administers programs of financial assistance, which include grants, loans, and tax credits for restoration projects. A wide range of information and technical assistance is provided to citizens who own historic properties or are interested in preserving the heritage of their neighborhood and community. The commission certifies municipal historic district zoning programs, which meet minimum standards, and annually administers funds to these "certified local governments."

Agency Objectives

Identify and protect historic and prehistoric sites, buildings, and districts throughout the State of Rhode Island and commemorate the history and culture of the State; promote and preserve the State's ethnic and cultural traditions; and provide a better understanding of the various ethnic cultures, which comprise the State's population.

Statutory History

Title 42, Chapter 45 of the Rhode Island General Laws established the Rhode Island Historical Preservation Commission and defined the duties of the Executive Director and commission members. Executive Order Number 10, issued July 1, 1977, initially created the Heritage Commission. In 1981, the General Assembly enacted Title 42, Chapter 79 of the Rhode Island establishing the commission as a permanent state agency. In the 1994 legislative session the General Assembly repealed Title 42, Chapter 79 and amended Title 42, Chapter 45 to add Heritage Commission responsibilities creating the Rhode Island Historical Preservation & Heritage Commission.

The Budget

Historical Preservation and Heritage Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,448,737 | 1,484,797 | 1,509,926 | 1,514,463 | 1,570,194 |
| Operating Supplies and Expenses | 105,677 | 96,418 | 111,262 | 129,241 | 96,166 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 650,278 | 613,965 | 681,426 | 1,001,787 | 949,908 |
| Subtotal: Operating Expenditures | \$2,204,692 | \$2,195,180 | \$2,302,614 | \$2,645,491 | \$2,616,268 |
| Capital Purchases and Equipment | 5,251 | - | 20,500 | 15,500 | 10,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,209,943 | \$2,195,180 | \$2,323,114 | \$2,660,991 | \$2,626,768 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,615,594 | 1,494,562 | 1,348,825 | 1,297,516 | 1,298,364 |
| Federal Funds | 508,937 | 509,240 | 479,640 | 845,462 | 819,367 |
| Restricted Receipts | 85,412 | 191,378 | 494,649 | 518,013 | 509,037 |
| Total Expenditures | \$2,209,943 | \$2,195,180 | \$2,323,114 | \$2,660,991 | \$2,626,768 |
| FTE Authorization | 17.6 | 17.6 | 16.6 | 16.6 | 16.6 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 11.4% | 11.4% | 11.4% | 11.8% | 11.8% |
| Females as a Percentage of the Workforce | 66.6% | 66.6% | 66.7% | 66.7% | 70.6% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Cumulative Percentage of the Estimated 2,500 Historic Properties Nominated to the National Registry Annually | 197.2% | 235.0% | 279.0% | 279.0% | 280.0% |
| Public Attendance at Heritage Program Assisted Events Stated as a Percentage of the Base Year | 106.7% | 112.0% | 110.0% | 110.0% | 110.0% |
| Percentage of Projects Reviewed Within Fifteen Business Days of Review Request | 79.7% | 57.0% | 60.0% | 60.0% | 80.0% |
| Percentage of Completed Tax Credit Applications Reviewed within Thirty Business Days from Time of Submission | 52.1% | 38.0% | 50.0% | 50.0% | 50.0% |

The Agency

Rhode Island Public Telecommunications Authority WSBE-TV/Channel 36

Agency Operations

The Rhode Island Public Telecommunications Authority is the owner and license holder for WSBE-TV & WSBE-DT Rhode Island PBS, Rhode Island's public television station. WSBE broadcasts 111 hours per week and is headed by the President and Chief Executive Officer who is responsible for all activities, including private fundraising, which partially supports the Authority's budget.

WSBE-TV provides life-long learning opportunities to all Rhode Islanders, regardless of their ability to pay, through its programming and outreach services. Approximately 98,000 K-12 school children are served by 4.5 hours daily of in-school instructional programming that enhances and augments classroom learning. Other educational programming includes science and nature, documentaries, performing arts, history and music. A wide range of how-to programs enable adult viewers to develop skills in computers, painting, automotive repair, sewing, cooking, home improvement and other practical topics. The mandate to provide training in telecommunications is fulfilled through the internship programs in the production and technical areas. There are currently seven student interns employed at WSBE.

WSBE also provides "Learning Link," an interactive, computer-based telecommunications system connecting schools and libraries across the state, providing forums, national and international gateways, databases and Internet access, serving students and teachers in Rhode Island. WSBE manages and maintains a program, available to every K-12 student around the state, that brings inter-active educational video into the classrooms via the Internet. This program is a full-fledged educational offering and is underwritten with private funding.

WSBE is helping to fulfill and maintain its number one mission of educating, to ensure that children are prepared to learn when they enter school, through pre-school program services and related outreach programs. Outreach programs include literacy, AIDS education, domestic violence and other topics of community concern.

Public affairs programs are aired to increase awareness of community problems and issues, and to help our citizens learn about and cope with an increasingly complex world around them.

Agency Objectives

Educate, inform, enlighten and entertain. Provide educational services to all citizens regardless of their ability to pay for services.

Statutory History

Title 16, Chapter 21 of the Rhode Island General Laws established the Rhode Island Public Telecommunications Authority and defines the duties of its President and Chief Executive Officer.

The Budget

Rhode Island Public Telecommunications Authority WSBE - TV/Channel 36

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,794,782 | 1,930,377 | 1,890,875 | 1,855,078 | 1,844,858 |
| Operating Supplies and Expenses | 216,216 | 184,193 | 241,491 | 161,491 | 63,500 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$2,010,998 | \$2,114,570 | \$2,132,366 | \$2,016,569 | \$1,908,358 |
| Capital Purchases and Equipment | 384,160 | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,395,158 | \$2,114,570 | \$2,132,366 | \$2,016,569 | \$1,908,358 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,312,264 | 1,316,196 | 1,365,306 | 1,249,509 | 1,142,702 |
| Other Funds | 1,082,894 | 798,374 | 767,060 | 767,060 | 765,656 |
| Total Expenditures | \$2,395,158 | \$2,114,570 | \$2,132,366 | \$2,016,569 | \$1,908,358 |
| FTE Authorization | | | | | |
| | 20.0 | 20.0 | 20.0 | 18.0 | 18.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 20.0% | 20.0% | 20.0% | 20.0% | 15.8% |
| Females as a Percentage of the Workforce | 25.0% | 25.0% | 25.0% | 25.0% | 15.8% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Average Annual Household Viewership of WSBE-TV/Rhode Island PBS Programs | | | | | |
| Weekday Daytime | 75 | 64 | 70 | 70 | 77 |
| Primetime | 61 | 59 | 65 | 65 | 71 |
| All Day | 135 | 123 | 135 | 135 | 148 |

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Public Safety

Attorney General
Criminal
Civil
Bureau of Criminal Identification
General

Department of Corrections
Central Management
Parole Board
Institutional Corrections
Community Corrections
Internal Service Programs

Judicial Department
Supreme Court
Superior Court
Family Court
District Court
Traffic Tribunal
Workers' Compensation Court
Judicial Tenure and Discipline

Military Staff
National Guard
Emergency Management

Public Safety
Central Management
E-911 Emergency Telephone System
Rhode Island State Fire Marshal
Security Services
Municipal Police Training Academy
State Police
Public Safety Grant Administration Office
Forensic Sciences Unit
Internal Service Program

Office of the Public Defender

Public Safety Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|-----------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Expenditure by Object | | | | | |
| Personnel | 288,633,693 | 335,996,925 | 328,749,738 | 325,012,991 | 330,345,446 |
| Operating Supplies and Expenses | 40,553,973 | 38,318,331 | 41,277,312 | 42,849,593 | 42,281,031 |
| Aid to Local Units of Government | - | - | - | - | - |
| Assistance, Grants, and Benefits | 46,562,946 | 39,457,316 | 40,585,811 | 57,538,050 | 45,469,625 |
| Subtotal: Operating Expenditures | \$375,750,612 | \$413,772,572 | \$410,612,861 | \$425,400,634 | \$418,096,102 |
| Capital Purchases and Equipment | 20,482,165 | 8,563,392 | 22,927,592 | 29,912,888 | 28,552,215 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$396,232,777 | \$422,335,964 | \$433,540,453 | \$455,313,522 | \$446,648,317 |
| Expenditures by Funds | | | | | |
| General Revenue | 332,709,670 | 370,786,850 | 364,871,844 | 360,163,947 | 367,704,460 |
| Federal Funds | 41,714,268 | 31,604,332 | 35,345,269 | 58,811,241 | 40,393,478 |
| Restricted Receipts | 10,099,130 | 10,524,748 | 10,440,595 | 11,620,415 | 11,686,499 |
| Other Funds | 11,709,709 | 9,420,034 | 22,882,745 | 24,717,919 | 26,863,880 |
| Total Expenditures | \$396,232,777 | \$422,335,964 | \$433,540,453 | \$455,313,522 | \$446,648,317 |
| FTE Authorization | 3,046.6 | 3,050.7 | 3,086.4 | 2,971.5 | 3,033.1 |

The Agency

Attorney General

Agency Operations

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

Agency Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Recommended | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| Expenditures by Program | | | | | |
| Criminal | 13,849,949 | 14,628,614 | 14,992,360 | 14,835,282 | 15,247,581 |
| Civil | 4,630,021 | 4,591,253 | 4,797,213 | 5,043,855 | 4,973,822 |
| Bureau of Criminal Identification | 1,014,496 | 1,036,827 | 1,066,099 | 1,045,689 | 1,056,744 |
| General | 2,420,142 | 2,616,554 | 2,875,842 | 3,385,037 | 2,904,066 |
| Total Expenditures | \$21,914,608 | \$22,873,248 | \$23,731,514 | \$24,309,863 | \$24,182,213 |
| Expenditures By Object | | | | | |
| Personnel | 20,088,655 | 21,422,285 | 21,705,979 | 21,676,325 | 22,250,692 |
| Operating Supplies and Expenses | 1,585,255 | 1,191,675 | 1,665,330 | 1,814,017 | 1,657,635 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$21,673,910 | \$22,613,960 | \$23,371,309 | \$23,490,342 | \$23,908,327 |
| Capital Purchases and Equipment | 240,698 | 259,288 | 360,205 | 819,521 | 273,886 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$21,914,608 | \$22,873,248 | \$23,731,514 | \$24,309,863 | \$24,182,213 |
| Expenditures By Funds | | | | | |
| General Revenue | 19,799,874 | 20,550,412 | 21,212,039 | 21,134,410 | 21,774,743 |
| Federal Funds | 1,274,491 | 1,298,123 | 1,263,609 | 1,313,294 | 1,274,540 |
| Restricted Receipts | 678,356 | 867,559 | 980,866 | 1,114,433 | 932,930 |
| Other Funds | 161,887 | 157,154 | 275,000 | 747,726 | 200,000 |
| Total Expenditures | \$21,914,608 | \$22,873,248 | \$23,731,514 | \$24,309,863 | \$24,182,213 |
| FTE Authorization | 234.8 | 234.8 | 231.1 | 231.1 | 231.1 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.7% | 13.8% | 13.8% | 13.8% | 13.8% |
| Females as a Percentage of the Workforce | 59.4% | 57.3% | 57.3% | 57.3% | 57.3% |
| Persons with Disabilities as a Percentage of the Workforce | 2.6% | 3.0% | 3.0% | 3.0% | 3.0% |

The Program

Attorney General Criminal

Program Operations

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

Program Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General Criminal

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Recommended | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|------------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 12,893,194 | 13,887,304 | 13,920,924 | 13,878,036 | 14,263,259 |
| Operating Supplies and Expenses | 899,914 | 689,567 | 1,014,844 | 911,339 | 937,038 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$13,793,108 | \$14,576,871 | \$14,935,768 | \$14,789,375 | \$15,200,297 |
| Capital Purchases and Equipment | 56,841 | 51,743 | 56,592 | 45,907 | 47,284 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$13,849,949 | \$14,628,614 | \$14,992,360 | \$14,835,282 | \$15,247,581 |
| Expenditures By Funds | | | | | |
| General Revenue | 12,468,412 | 13,107,452 | 13,441,955 | 13,252,171 | 13,685,243 |
| Federal Funds | 1,216,434 | 1,201,533 | 1,207,109 | 1,243,744 | 1,218,040 |
| Restricted Receipts | 165,103 | 319,629 | 343,296 | 339,367 | 344,298 |
| Total Expenditures | \$13,849,949 | \$14,628,614 | \$14,992,360 | \$14,835,282 | \$15,247,581 |
| Program Measures | | | | | |
| Percentage of Cases Dismissed | 8.1% | 7.8% | 7.8% | 7.8% | 7.8% |

The Program

Attorney General Civil

Program Operations

The Civil Program is comprised of six units, each with very different responsibilities. The General Litigation Unit handles most lawsuits brought against the State and its employees. There are approximately 1,500 active cases, ranging from small claims to issues involving millions of dollars and constitutional claims. Additionally, this unit acts as the legal advisor for 11 boards and commissions, state departments, and agencies. The Regulatory Unit represents the interests of consumers before the Federal Energy Regulatory Commission, the Rhode Island Public Utilities Commission, and the Rhode Island Insurance Commissioner. The Charitable Trusts Unit maintains records relating to the registration of charitable trusts in Rhode Island. The Environmental Advocacy Unit enforces civil and criminal environmental laws of the State and acts as an environmental advocate on public policy issues. The Attorney General's Office provides specialized legal counsel to the Department of Human Services. The Consumer Protection Unit monitors activity in the marketplace and represents the consumer's interest where patterns of fraud or deception have been identified. The unit investigates allegation of improper business operation and initiates settlements and enforcement actions designed to provide restitution, if required. Attempts are made to resolve consumer complaints through a mediation process.

Program Objectives

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

The Budget

Attorney General Civil

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Recommended | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,209,455 | 4,289,850 | 4,436,144 | 4,422,947 | 4,537,684 |
| Operating Supplies and Expenses | 407,179 | 298,244 | 336,556 | 599,120 | 413,696 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$4,616,634 | \$4,588,094 | \$4,772,700 | \$5,022,067 | \$4,951,380 |
| Capital Purchases and Equipment | 13,387 | 3,159 | 24,513 | 21,788 | 22,442 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$4,630,021 | \$4,591,253 | \$4,797,213 | \$5,043,855 | \$4,973,822 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,116,768 | 4,043,323 | 4,159,643 | 4,268,789 | 4,385,190 |
| Federal Funds | - | - | - | - | - |
| Restricted Receipts | 513,253 | 547,930 | 637,570 | 775,066 | 588,632 |
| Total Expenditures | \$4,630,021 | \$4,591,253 | \$4,797,213 | \$5,043,855 | \$4,973,822 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Attorney General Bureau of Criminal Identification

Program Operations

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

Program Objectives

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

Statutory History

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

The Budget

Attorney General Bureau of Criminal Identification

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Recommended | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 911,031 | 953,637 | 963,829 | 935,080 | 948,720 |
| Operating Supplies and Expenses | 97,965 | 35,958 | 100,170 | 108,509 | 105,924 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$1,008,996 | \$989,595 | \$1,063,999 | \$1,043,589 | \$1,054,644 |
| Capital Purchases and Equipment | 5,500 | 47,232 | 2,100 | 2,100 | 2,100 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,014,496 | \$1,036,827 | \$1,066,099 | \$1,045,689 | \$1,056,744 |
| Expenditures By Funds | | | | | |
| General Revenue | 956,439 | 940,237 | 1,009,599 | 976,139 | 1,000,244 |
| Federal Funds | 58,057 | 96,590 | 56,500 | 69,550 | 56,500 |
| Total Expenditures | \$1,014,496 | \$1,036,827 | \$1,066,099 | \$1,045,689 | \$1,056,744 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Attorney General General

Program Operations

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

Program Objectives

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General General

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Recommended | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|------------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,074,975 | 2,291,494 | 2,385,082 | 2,440,262 | 2,501,029 |
| Operating Supplies and Expenses | 180,197 | 167,906 | 213,760 | 195,049 | 200,977 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$2,255,172 | \$2,459,400 | \$2,598,842 | \$2,635,311 | \$2,702,006 |
| Capital Purchases and Equipment | 164,970 | 157,154 | 277,000 | 749,726 | 202,060 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,420,142 | \$2,616,554 | \$2,875,842 | \$3,385,037 | \$2,904,066 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,258,255 | 2,459,400 | 2,600,842 | 2,637,311 | 2,704,066 |
| Other Funds | 161,887 | 157,154 | 275,000 | 747,726 | 200,000 |
| Total Expenditures | \$2,420,142 | \$2,616,554 | \$2,875,842 | \$3,385,037 | \$2,904,066 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Department of Corrections

Agency Operations

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community.

Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are eight separate, occupied facilities, which have a total operational capacity of 4,004 beds. In FY 2008, the average institutionalized population was 3,860. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2008, the number of probation and parole cases serviced totaled 27,547. The average number of offenders on home confinement was 292. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

Agency Objectives

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

The Budget

Department of Corrections

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 8,725,265 | 8,539,179 | 9,819,572 | 8,587,923 | 8,021,430 |
| Parole Board | 1,226,463 | 1,274,074 | 1,325,304 | 1,327,676 | 1,293,696 |
| Institutional Corrections | 146,873,775 | 175,158,272 | 158,538,694 | 162,429,127 | 165,659,611 |
| Community Corrections | 13,291,673 | 13,758,082 | 16,813,669 | 15,022,892 | 15,030,769 |
| Internal Service Program | [13,870,656] | [11,233,031] | [7,751,810]] | [13,954,000] | [13,971,495] |
| Total Expenditures | \$170,117,176 | \$198,729,607 | \$186,497,239 | \$187,367,618 | \$190,005,506 |
| Expenditures By Object | | | | | |
| Personnel | 132,302,754 | 178,052,682 | 163,786,823 | 162,231,473 | 165,218,680 |
| Operating Supplies and Expenses | 15,037,651 | 16,544,832 | 15,536,320 | 17,492,737 | 17,441,912 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 10,887,773 | 1,440,890 | 1,537,822 | 1,694,262 | 1,451,364 |
| Subtotal: Operating Expenditures | \$158,228,178 | \$196,038,404 | \$180,860,965 | \$181,418,472 | \$184,111,956 |
| Capital Purchases and Equipment | 11,888,998 | 2,691,203 | 5,636,274 | 5,949,146 | 5,893,550 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$170,117,176 | \$198,729,607 | \$186,497,239 | \$187,367,618 | \$190,005,506 |
| Expenditures By Funds | | | | | |
| General Revenue | 155,796,271 | 193,138,298 | 178,623,504 | 178,682,061 | 182,390,562 |
| Federal Funds | 9,252,612 | 2,688,836 | 2,712,735 | 3,280,874 | 2,196,668 |
| Restricted Receipts | - | (61) | - | - | - |
| Other Funds | 5,068,293 | 2,902,534 | 5,161,000 | 5,404,683 | 5,418,276 |
| Total Expenditures | \$170,117,176 | \$198,729,607 | \$186,497,239 | \$187,367,618 | \$190,005,506 |
| FTE Authorization | 1,498.6 | 1,508.6 | 1,515.0 | 1,423.0 | 1,423.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 13.0% | 14.7% | 14.7% | 14.7% | 15.2% |
| Females as a Percentage of the Workforce | 25.1% | 25.2% | 25.2% | 25.2% | 25.2% |
| Persons with Disabilities as a Percentage of the Workforce | 1.0% | 0.8% | 0.8% | 0.8% | 0.0% |

The Program

Department of Corrections Central Management

Program Operations

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

Program Objectives

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

The Budget

Department of Corrections Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Subprogram | | | | | |
| Executive | 1,802,997 | 2,118,871 | 1,989,869 | 1,823,685 | 1,862,228 |
| Administration | 6,922,268 | 6,420,308 | 7,829,703 | 6,764,238 | 6,159,202 |
| Total Expenditures | \$8,725,265 | \$8,539,179 | \$9,819,572 | \$8,587,923 | \$8,021,430 |
| Expenditures By Object | | | | | |
| Personnel | 7,634,356 | 7,477,869 | 8,326,860 | 7,090,533 | 6,651,457 |
| Operating Supplies and Expenses | 858,840 | 757,037 | 1,383,836 | 1,388,514 | 1,261,097 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 89,167 | 64,704 | 23,457 | 23,457 | 23,457 |
| Subtotal: Operating Expenditures | \$8,582,363 | \$8,299,610 | \$9,734,153 | \$8,502,504 | \$7,936,011 |
| Capital Purchases and Equipment | 142,902 | 239,569 | 85,419 | 85,419 | 85,419 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$8,725,265 | \$8,539,179 | \$9,819,572 | \$8,587,923 | \$8,021,430 |
| Expenditures By Funds | | | | | |
| General Revenue | 8,431,602 | 8,405,137 | 9,757,572 | 8,525,923 | 8,021,430 |
| Federal Funds | 293,663 | 134,042 | 62,000 | 62,000 | - |
| Total Expenditures | \$8,725,265 | \$8,539,179 | \$9,819,572 | \$8,587,923 | \$8,021,430 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Corrections Parole Board

Program Operations

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law-abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2008, the board granted parole in 820 cases and denied parole in 904 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre-parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2008, the program made 184 adult and juvenile referrals.

Program Objectives

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

The Budget

Department of Corrections Parole Board

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,122,372 | 1,224,171 | 1,212,368 | 1,261,740 | 1,227,760 |
| Operating Supplies and Expenses | 52,535 | 49,903 | 70,896 | 63,896 | 63,896 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 43,438 | - | 40,000 | - | - |
| Subtotal: Operating Expenditures | \$1,218,345 | \$1,274,074 | \$1,323,264 | \$1,325,636 | \$1,291,656 |
| Capital Purchases and Equipment | 8,118 | - | 2,040 | 2,040 | 2,040 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,226,463 | \$1,274,074 | \$1,325,304 | \$1,327,676 | \$1,293,696 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,188,693 | 1,222,111 | 1,272,304 | 1,303,376 | 1,269,396 |
| Federal Funds | 37,770 | 51,963 | 53,000 | 24,300 | 24,300 |
| Total Expenditures | \$1,226,463 | \$1,274,074 | \$1,325,304 | \$1,327,676 | \$1,293,696 |
| Program Measures | NS | NS | NS | NS | NS |

The Program

Department of Corrections Institutional Corrections

Program Operations

The Institutional Corrections Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes eight institutions providing the various security levels for both men and women. Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes a number of support units. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings. The Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services. Inmate Accounts serves as custodian of inmate funds, and the Inmate Commissary sells toiletries, non-prescription health care items, postage, and snack items to inmates. The program also is responsible for rehabilitative services. The Health Care unit provides medical, dental and mental health services to the inmate population. Other program services include educational and vocational training, drug treatment, sex-offender treatment, health education, conflict management training, and general reading and law libraries for use by prisoners. Under Transitional Services, the Reintegration Unit now finalizing construction will operate a 175-bed facility for sentenced males who are within six months of release, but who have not qualified for lower custody status or parole.

Program Objectives

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To support the facilities and inmates with such necessities as food, maintenance, counseling, case management, investigative and other technical services. To provide vitally important rehabilitative services, such as health, education, counseling, and life skills/ re-entry training.

Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

The Budget

Department of Corrections Institutional Corrections

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Institutions | 101,439,125 | 127,542,137 | 115,476,186 | 112,795,344 | 116,037,328 |
| Support Operations | 17,801,028 | 18,591,808 | 18,821,892 | 19,368,255 | 19,211,538 |
| Institutional Rehabilitative Services | 26,831,484 | 27,950,362 | 23,095,474 | 29,028,917 | 29,119,036 |
| Transitional Services | 802,138 | 1,073,965 | 1,145,142 | 1,236,611 | 1,291,709 |
| Total Expenditures | \$146,873,775 | \$175,158,272 | \$158,538,694 | \$162,429,127 | \$165,659,611 |
| Expenditures By Object | | | | | |
| Personnel | 111,818,984 | 156,270,669 | 138,335,794 | 139,872,157 | 143,081,626 |
| Operating Supplies and Expenses | 13,469,410 | 15,113,808 | 13,402,684 | 15,364,118 | 15,440,729 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 9,925,236 | 1,327,646 | 1,259,215 | 1,338,979 | 1,338,979 |
| Subtotal: Operating Expenditures | \$135,213,630 | \$172,712,123 | \$152,997,693 | \$156,575,254 | \$159,861,334 |
| Capital Purchases and Equipment | 11,660,145 | 2,446,149 | 5,541,001 | 5,853,873 | 5,798,277 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$146,873,775 | \$175,158,272 | \$158,538,694 | \$162,429,127 | \$165,659,611 |
| Expenditures By Funds | | | | | |
| General Revenue | 133,883,164 | 170,206,651 | 151,309,377 | 154,565,743 | 158,293,213 |
| Federal Funds | 7,922,318 | 2,049,148 | 2,068,317 | 2,458,701 | 1,948,122 |
| Restricted Receipts | - | (61) | - | - | - |
| Other Funds | 5,068,293 | 2,902,534 | 5,161,000 | 5,404,683 | 5,418,276 |
| Total Expenditures | \$146,873,775 | \$175,158,272 | \$158,538,694 | \$162,429,127 | \$165,659,611 |
| Program Measures | | | | | |
| Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population | 3.5 | 3.5 | 3.5 | 3.5 | 0.0 |
| Violent Incidences per 1,000 Inmates in the Average Daily Population | 13.8 | 14.4 | 13.8 | 13.8 | 13.8 |
| Substance Abuse Treatment Program Completers Drug Free within 6 Months | 99.2% | 99.0% | 99.0% | 99.0% | 99.0% |
| Percentage of Tests for Illegal Substances that are Positive | 0.76% | 0.76% | 0.76% | 0.76% | 0.76% |

The Program

Department of Corrections Community Corrections

Program Operations

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

Probation and Parole: The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. Those under active supervision total 12,167.

Community Programs: Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration.

Program Objective

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

The Budget

Department of Corrections Community Corrections

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Probation and Parole | 10,618,600 | 11,472,514 | 13,277,806 | 12,335,277 | 12,728,883 |
| Community Programs | 2,673,073 | 2,285,568 | 3,535,863 | 2,687,615 | 2,301,886 |
| Total Expenditures | \$13,291,673 | \$13,758,082 | \$16,813,669 | \$15,022,892 | \$15,030,769 |
| Expenditures By Object | | | | | |
| Personnel | 11,727,042 | 13,079,973 | 15,911,801 | 14,007,043 | 14,257,837 |
| Operating Supplies and Expenses | 656,866 | 624,084 | 678,904 | 676,209 | 676,190 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 829,932 | 48,540 | 215,150 | 331,826 | 88,928 |
| Subtotal: Operating Expenditures | \$13,213,840 | \$13,752,597 | \$16,805,855 | \$15,015,078 | \$15,022,955 |
| Capital Purchases and Equipment | 77,833 | 5,485 | 7,814 | 7,814 | 7,814 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$13,291,673 | \$13,758,082 | \$16,813,669 | \$15,022,892 | \$15,030,769 |
| Expenditures By Funds | | | | | |
| General Revenue | 12,292,812 | 13,304,399 | 16,284,251 | 14,287,019 | 14,806,523 |
| Federal Funds | 998,861 | 453,683 | 529,418 | 735,873 | 224,246 |
| Total Expenditures | \$13,291,673 | \$13,758,082 | \$16,813,669 | \$15,022,892 | \$15,030,769 |
| Program Measures | | | | | |
| Percentage of Closed Cases Successfully Completing Terms of Home Confinement or Electronic Monitoring Parole | 67.1% | 67.5% | 67.0% | 67.0% | 66.0% |

The Program

Department of Corrections Internal Service Programs

Program Operations

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center, Correctional Industries.

The Central Distribution Center purchases and inventories \$5.9 million in food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Total FY 2008 sales volume was \$4.3 million. Total employment was 218 inmates..

Program Objective

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations.

The Budget

Department of Corrections Internal Service Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|--------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,649,260 | 2,675,219 | 2,179,720 | 2,543,400 | 2,560,876 |
| Operating Supplies and Expenses | 10,536,358 | 8,376,751 | 4,733,198 | 10,520,671 | 10,520,690 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 235,429 | 146,262 | 214,235 | 215,703 | 215,703 |
| Subtotal: Operating Expenditures | \$13,421,047 | \$11,198,232 | \$7,127,153 | \$13,279,774 | \$13,297,269 |
| Capital Purchases and Equipment | 129,359 | 34,799 | 624,657 | 674,226 | 674,226 |
| Debt Service | 320,250 | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$13,870,656 | \$11,233,031 | \$7,751,810 | \$13,954,000 | \$13,971,495 |
| Expenditures By Funds | | | | | |
| Internal Service Funds | 13,870,656 | 11,233,031 | 7,751,810 | 13,954,000 | 13,971,495 |
| Total Expenditures | \$13,870,656 | \$11,233,031 | \$7,751,810 | \$13,954,000 | \$13,971,495 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Judicial Department

Agency Operations

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

The state budget officer's duties and powers relating to budgetary controls and personnel requests of the judicial department are purely ministerial, concerned only with the availability of the funds. Itemized estimates of the financial needs of the Judiciary are submitted, without revision, by the budget officer to the Governor on or before October 1 for inclusion in the budget. In turn the Governor includes in the budget recommendation to the General Assembly the financial needs of the Judiciary without revision.

Statutory History

The Judicial is one of the three departments of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.

The Budget

Judicial Department

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | | |
| Supreme Court | 30,230,574 | 31,458,181 | 31,904,446 | 31,492,489 | 30,273,250 |
| Superior Court | 19,450,293 | 19,789,720 | 20,257,910 | 19,770,419 | 20,238,308 |
| Family Court | 18,202,913 | 19,484,521 | 19,842,332 | 19,796,023 | 19,404,572 |
| District Court | 9,873,321 | 9,625,764 | 10,264,212 | 10,390,468 | 10,815,151 |
| Traffic Tribunal | 6,845,158 | 6,834,964 | 7,439,091 | 7,570,028 | 7,545,676 |
| Workers' Compensation Court | 6,983,619 | 7,205,888 | 7,526,297 | 7,574,863 | 7,578,922 |
| Judicial Tenure and Discipline | 93,638 | 107,533 | 115,432 | 129,092 | 128,922 |
| Total Expenditures | \$91,679,516 | \$94,506,571 | \$97,349,720 | \$96,723,382 | \$95,984,801 |
| Expenditures By Object | | | | | |
| Personnel | 71,826,144 | 73,375,274 | 75,690,262 | 75,686,443 | 75,514,370 |
| Operating Supplies and Expenses | 10,837,840 | 9,578,977 | 10,274,740 | 9,072,446 | 9,193,517 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 7,433,381 | 9,558,718 | 9,345,143 | 9,461,948 | 9,935,552 |
| Subtotal: Operating Expenditures | \$90,097,365 | \$92,512,969 | \$95,310,145 | \$94,220,837 | \$94,643,439 |
| Capital Purchases and Equipment | 1,582,151 | 1,993,602 | 2,039,575 | 2,502,545 | 1,341,362 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$91,679,516 | \$94,506,571 | \$97,349,720 | \$96,723,382 | \$95,984,801 |
| Expenditures By Funds | | | | | |
| General Revenue | 82,039,511 | 82,799,851 | 85,000,000 | 82,797,231 | 83,907,229 |
| Federal Funds | 1,412,645 | 1,872,594 | 1,939,312 | 2,706,941 | 1,445,452 |
| Restricted Receipts | 7,272,677 | 8,395,390 | 8,710,408 | 9,324,149 | 9,807,120 |
| Other Funds | 954,683 | 1,438,736 | 1,700,000 | 1,895,061 | 825,000 |
| Total Expenditures | \$91,679,516 | \$94,506,571 | \$97,349,720 | \$96,723,382 | \$95,984,801 |
| FTE Authorization | 732.5 | 732.5 | 729.3 | 729.3 | 729.3 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 9.5% | 10.0% | 10.5% | 10.5% | 9.8% |
| Females as a Percentage of the Workforce | 65.0% | 65.0% | 65.0% | 65.0% | 65.0% |
| Persons with Disabilities as a Percentage of the Workforce | 0.6% | 0.7% | 0.7% | 0.7% | 0.7% |

The Program

Judicial Department Supreme Court

Program Operations

The Supreme Court Program includes the budget for the Supreme Court itself, the Administrative Office of State Courts, and the Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, mandatory continuing legal education, victim rights information, alternative dispute resolution, disciplinary counsel, the interpreter program.

Program Objectives

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Supreme Court

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Supreme Court Operations | 27,360,162 | 28,087,941 | 28,838,757 | 28,426,800 | 26,907,561 |
| Defense of Indigents | 2,870,412 | 3,369,184 | 3,065,689 | 3,065,689 | 3,365,689 |
| Total Expenditures | \$30,230,574 | \$31,457,125 | \$31,904,446 | \$31,492,489 | \$30,273,250 |
| Expenditures By Object | | | | | |
| Personnel | 18,771,171 | 17,805,043 | 17,888,457 | 18,313,826 | 17,911,996 |
| Operating Supplies and Expenses | 7,008,512 | 7,294,286 | 7,943,577 | 6,632,206 | 6,645,305 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 3,160,414 | 4,630,518 | 4,080,412 | 4,080,412 | 4,391,087 |
| Subtotal: Operating Expenditures | \$28,940,097 | \$29,729,847 | \$29,912,446 | \$29,026,444 | \$28,948,388 |
| Capital Purchases and Equipment | 1,290,477 | 1,727,278 | 1,992,000 | 2,466,045 | 1,324,862 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$30,230,574 | \$31,457,125 | \$31,904,446 | \$31,492,489 | \$30,273,250 |
| Expenditures By Funds | | | | | |
| General Revenue | 28,895,274 | 28,579,837 | 28,875,335 | 27,646,735 | 28,043,805 |
| Federal Funds | 91,559 | 250,106 | 145,000 | 466,327 | 102,440 |
| Restricted Receipts | 289,058 | 1,188,446 | 1,184,111 | 1,484,366 | 1,302,005 |
| Other Funds | 954,683 | 1,438,736 | 1,700,000 | 1,895,061 | 825,000 |
| Total Expenditures | \$30,230,574 | \$31,457,125 | \$31,904,446 | \$31,492,489 | \$30,273,250 |
| Program Measures | | | | | |
| Disposition Rate of Appeal Cases | 94.0% | 99.0% | 100.0% | 100.0% | 100.0% |
| Verified Complaints Disposed Within 90 Days | 81.0% | 89.0% | 95.0% | 95.0% | 95.0% |

The Program

Judicial Department Superior Court

Program Operations

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

Program Objectives

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Superior Court

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Superior Court Operations | 17,915,281 | 18,322,900 | 18,753,655 | 18,144,198 | 18,634,677 |
| Jury Operations | 1,535,012 | 1,466,820 | 1,504,255 | 1,626,221 | 1,603,631 |
| Total Expenditures | \$19,450,293 | \$19,789,720 | \$20,257,910 | \$19,770,419 | \$20,238,308 |
| Expenditures By Object | | | | | |
| Personnel | 16,362,219 | 16,979,238 | 17,388,027 | 16,671,147 | 17,062,242 |
| Operating Supplies and Expenses | 1,336,681 | 1,049,722 | 1,016,466 | 1,110,895 | 1,093,064 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,698,251 | 1,754,585 | 1,853,417 | 1,988,377 | 2,083,002 |
| Subtotal: Operating Expenditures | \$19,397,151 | \$19,783,545 | \$20,257,910 | \$19,770,419 | \$20,238,308 |
| Capital Purchases and Equipment | 53,142 | 6,175 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$19,450,293 | \$19,789,720 | \$20,257,910 | \$19,770,419 | \$20,238,308 |
| Expenditures By Funds | | | | | |
| General Revenue | 19,080,778 | 19,635,180 | 20,157,910 | 19,640,419 | 19,861,308 |
| Federal Funds | 369,515 | 154,540 | 100,000 | 130,000 | 90,000 |
| Restricted Receipts | - | - | - | - | 287,000 |
| Total Expenditures | \$19,450,293 | \$19,789,720 | \$20,257,910 | \$19,770,419 | \$20,238,308 |
| Program Measures | | | | | |
| Percentage of Felony Cases Annually Disposed of Within 180 Days | 74.0% | 74.0% | 75.0% | 75.0% | 75.0% |
| Disposition Rate of Civil Cases | 110.0% | 114.0% | 100.0% | 100.0% | 100.0% |

The Program

Judicial Department Family Court

Program Operations

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative and mediation services to the court. Likewise, the Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, and the Juvenile Re-entry Court.

Program Objectives

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

The Budget

Judicial Department Family Court

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 17,156,292 | 18,169,211 | 18,353,926 | 18,395,961 | 18,065,571 |
| Operating Supplies and Expenses | 467,182 | 412,916 | 479,991 | 416,435 | 432,096 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 535,657 | 898,145 | 1,008,415 | 983,627 | 906,905 |
| Subtotal: Operating Expenditures | \$18,159,131 | \$19,480,272 | \$19,842,332 | \$19,796,023 | \$19,404,572 |
| Capital Purchases and Equipment | 43,782 | 4,249 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$18,202,913 | \$19,484,521 | \$19,842,332 | \$19,796,023 | \$19,404,572 |
| Expenditures By Funds | | | | | |
| General Revenue | 17,251,332 | 18,016,573 | 18,148,020 | 17,685,409 | 18,151,560 |
| Federal Funds | 951,581 | 1,467,948 | 1,694,312 | 2,110,614 | 1,253,012 |
| Total Expenditures | \$18,202,913 | \$19,484,521 | \$19,842,332 | \$19,796,023 | \$19,404,572 |
| Program Measures | | | | | |
| Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing | | | | | |
| Diverted or Referred to Court Within 45 Days | 86.0% | 84.0% | 84.0% | 84.0% | 84.0% |
| Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days | | | | | |
| | 72.0% | 71.0% | 75.0% | 75.0% | 75.0% |
| Percentage of D/N/A Cases Adjudicated Within 180 Days of Filing | | | | | |
| | 52.0% | 41.0% | 43.0% | 43.0% | 45.0% |
| Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days | | | | | |
| | 58.0% | 52.0% | 55.0% | 55.0% | 58.0% |
| Percentage of Divorce Cases Disposed of Within 365 Days | | | | | |
| | 99.0% | 99.0% | 100.0% | 100.0% | 100.0% |

The Program

Judicial Department District Court

Program Operations

The District Court consists of a chief judge, and administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejection cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

Program Objectives

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

The Budget

Judicial Department District Court

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------|--------------------|--------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 8,465,027 | 8,448,061 | 9,093,533 | 9,058,413 | 9,285,510 |
| Operating Supplies and Expenses | 488,861 | 190,292 | 132,281 | 218,524 | 306,986 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 899,550 | 984,559 | 1,038,398 | 1,113,531 | 1,222,655 |
| Subtotal: Operating Expenditures | \$9,853,438 | \$9,622,912 | \$10,264,212 | \$10,390,468 | \$10,815,151 |
| Capital Purchases and Equipment | 19,883 | 2,852 | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$9,873,321 | \$9,625,764 | \$10,264,212 | \$10,390,468 | \$10,815,151 |
| Expenditures By Funds | | | | | |
| General Revenue | 9,873,331 | 9,625,764 | 10,264,212 | 10,125,548 | 10,175,958 |
| Federal Funds | (10) | - | - | - | - |
| Restricted Receipts | - | - | - | 264,920 | 639,193 |
| Total Expenditures | \$9,873,321 | \$9,625,764 | \$10,264,212 | \$10,390,468 | \$10,815,151 |
| Program Measures | | | | | |
| Percentage of Misdemeanor Cases Disposed of Within 60 Days | 86.0% | 82.0% | 82.0% | 82.0% | 82.0% |

The Program

Judicial Department Traffic Tribunal

Program Operations

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of one chief magistrate, associate judges and magistrates. It is supervised by the chief judge and the administrative magistrate of the district court.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of liquor and drugs, which, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its main courthouse in the Pastore Complex, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield and Woonsocket.

Program Objectives

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

The Budget

Judicial Department Traffic Tribunal

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 5,496,149 | 6,153,572 | 6,738,485 | 6,882,466 | 6,842,819 |
| Operating Supplies and Expenses | 786,308 | 271,389 | 259,507 | 251,902 | 254,308 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 403,421 | 410,003 | 441,099 | 429,660 | 442,549 |
| Subtotal: Operating Expenditures | \$6,685,878 | \$6,834,964 | \$7,439,091 | \$7,564,028 | \$7,539,676 |
| Capital Purchases and Equipment | 159,280 | - | - | 6,000 | 6,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$6,845,158 | \$6,834,964 | \$7,439,091 | \$7,570,028 | \$7,545,676 |
| Expenditures By Funds | | | | | |
| General Revenue | 6,845,158 | 6,834,964 | 7,439,091 | 7,570,028 | 7,545,676 |
| Total Expenditures | \$6,845,158 | \$6,834,964 | \$7,439,091 | \$7,570,028 | \$7,545,676 |
| Program Measures | | | | | |
| Percentage of Summonses Disposed of within 60 Days | 97.0% | 97.0% | 98.0% | 98.0% | 98.0% |

The Program

Judicial Department Workers' Compensation Court

Program Operations

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division will then decide the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

Program Objectives

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

The Budget

Judicial Department Workers' Compensation Court

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 5,488,064 | 5,715,376 | 6,119,926 | 6,239,214 | 6,219,786 |
| Operating Supplies and Expenses | 746,512 | 356,556 | 438,592 | 438,808 | 459,282 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 736,088 | 880,908 | 923,402 | 866,341 | 889,354 |
| Subtotal: Operating Expenditures | \$6,970,664 | \$6,952,840 | \$7,481,920 | \$7,544,363 | \$7,568,422 |
| Capital Purchases and Equipment | 12,955 | 253,048 | 44,377 | 30,500 | 10,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$6,983,619 | \$7,205,888 | \$7,526,297 | \$7,574,863 | \$7,578,922 |
| Expenditures By Funds | | | | | |
| Restricted Receipts | 6,983,619 | 7,205,888 | 7,526,297 | 7,574,863 | 7,578,922 |
| Total Expenditures | \$6,983,619 | \$7,205,888 | \$7,526,297 | \$7,574,863 | \$7,578,922 |
| Program Measures | | | | | |
| Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 90 Days | 89.0% | 89.0% | 90.0% | 90.0% | 90.0% |
| Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days | 85.0% | 85.0% | 85.0% | 85.0% | 85.0% |

The Program

Judicial Department Judicial Tenure and Discipline

Program Operations

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the commission's recommendation(s).

Program Objectives

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

The Budget

Judicial Department Judicial Tenure and Discipline

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 87,222 | 103,717 | 107,908 | 125,416 | 126,446 |
| Operating Supplies and Expenses | 3,784 | 3,816 | 4,326 | 3,676 | 2,476 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$91,006 | \$107,533 | \$112,234 | \$129,092 | \$128,922 |
| Capital Purchases and Equipment | 2,632 | - | 3,198 | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$93,638 | \$107,533 | \$115,432 | \$129,092 | \$128,922 |
| Expenditures By Funds | | | | | |
| Restricted Receipts | 93,638 | 107,533 | 115,432 | 129,092 | 128,922 |
| Total Expenditures | \$93,638 | \$107,533 | \$115,432 | \$129,092 | \$128,922 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Military Staff

Agency Operations

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard Program is financed with approximately 26 percent general revenue and state capital funds and 74 percent federal funds.

Agency Objectives

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To train, mobilize, and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission.

To ensure the needs of Rhode Island Veteran's and National Guard families and employers are supported.

To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

To increase public awareness of natural hazards, threats, risks, and actions to minimize loss of life or property.

Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.

The Budget

Military Staff

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | | |
| National Guard | 10,888,828 | 10,864,994 | 12,657,088 | 15,249,176 | 14,616,472 |
| Emergency Management | 21,684,170 | 12,908,240 | 15,762,704 | 28,208,571 | 16,069,277 |
| Total Expenditures | \$32,572,998 | \$23,773,234 | \$28,419,792 | \$43,457,747 | \$30,685,749 |
| Expenditures By Object | | | | | |
| Personnel | 7,290,690 | 7,683,960 | 8,961,498 | 8,793,334 | 9,901,943 |
| Operating Supplies and Expenses | 5,519,931 | 3,463,795 | 6,439,515 | 6,427,222 | 5,994,351 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 14,201,141 | 10,348,107 | 10,787,779 | 24,056,459 | 11,773,680 |
| Subtotal: Operating Expenditures | \$27,011,762 | \$21,495,862 | \$26,188,792 | \$39,277,015 | \$27,669,974 |
| Capital Purchases and Equipment | 5,561,236 | 2,277,372 | 2,231,000 | 4,180,732 | 3,015,775 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$32,572,998 | \$23,773,234 | \$28,419,792 | \$43,457,747 | \$30,685,749 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,533,905 | 2,320,832 | 3,739,948 | 3,575,260 | 3,529,979 |
| Federal Funds | 27,561,826 | 19,515,282 | 22,949,023 | 36,786,928 | 25,018,046 |
| Restricted Receipts | 242,449 | 158,275 | 315,321 | 346,833 | 337,449 |
| Other Funds | 2,234,818 | 1,778,845 | 1,415,500 | 2,748,726 | 1,800,275 |
| Total Expenditures | \$32,572,998 | \$23,773,234 | \$28,419,792 | \$43,457,747 | \$30,685,749 |
| FTE Authorization | 109.0 | 110.0 | 103.0 | 101.0 | 111.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 5.0% | 5.0% | 6.0% | 6.0% | 6.0% |
| Females as a Percentage of the Workforce | 20.0% | 21.0% | 21.0% | 21.0% | 21.0% |
| Persons with Disabilities as a Percentage of the Workforce | 1.0% | 1.0% | 1.0% | 1.0% | 1.0% |

The Program

Military Staff National Guard

Program Operations

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to NGB, DOD, USAF, and USA, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, training, travel and maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,327 members (2,150 in the Army National Guard, 1,177 in the Air National Guard). National Guard installations located in Rhode Island include 14 armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

Program Objectives

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system.

Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

The Budget

Military Staff National Guard

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Adjutant-General | 1,065,217 | 870,031 | 982,740 | 1,119,186 | 1,067,781 |
| State Military Prop Officer | 3,476,046 | 3,116,314 | 3,571,391 | 6,117,671 | 4,829,595 |
| Federal Army | 2,124,349 | 2,592,684 | 3,268,715 | 3,281,915 | 3,720,990 |
| Federal Air | 4,223,216 | 4,285,965 | 4,834,242 | 4,730,404 | 4,998,106 |
| Total Expenditures | \$10,888,828 | \$10,864,994 | \$12,657,088 | \$15,249,176 | \$14,616,472 |
| Expenditures By Object | | | | | |
| Personnel | 4,756,448 | 5,275,770 | 5,893,796 | 5,920,605 | 6,955,762 |
| Operating Supplies and Expenses | 3,182,807 | 3,258,054 | 4,194,092 | 4,177,439 | 4,282,535 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 425,786 | 211,687 | 338,200 | 972,900 | 362,400 |
| Subtotal: Operating Expenditures | \$8,365,041 | \$8,745,511 | \$10,426,088 | \$11,070,944 | \$11,600,697 |
| Capital Purchases and Equipment | 2,523,787 | 2,119,483 | 2,231,000 | 4,178,232 | 3,015,775 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$10,888,828 | \$10,864,994 | \$12,657,088 | \$15,249,176 | \$14,616,472 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,835,605 | 1,586,418 | 1,681,849 | 1,790,419 | 1,617,828 |
| Federal Funds | 6,755,013 | 7,468,323 | 9,399,739 | 10,520,031 | 11,008,369 |
| Restricted Receipts | 63,392 | 31,408 | 160,000 | 190,000 | 190,000 |
| Other Funds | 2,234,818 | 1,778,845 | 1,415,500 | 2,748,726 | 1,800,275 |
| Total Expenditures | \$10,888,828 | \$10,864,994 | \$12,657,088 | \$15,249,176 | \$14,616,472 |
| Program Measures | | | | | |
| Percentage of National Guard Facilities Compliant with Code | 17.6% | 48.0% | 65.0% | 65.0% | 75.0% |
| Percentage of Army National Guard Facilities that Meet or Exceed Army Standards | 21.0% | 0.0% | 0.0% | 0.0% | 6.3% |
| Percentage of Authorized Strength (Air National) | 94.0% | 101.3% | 96.0% | 96.0% | 96.0% |
| Percentage of Authorized Strength (Army National) | 76.7% | 80.0% | 95.0% | 95.0% | 97.0% |

The Program

Military Staff Emergency Management

Program Operations

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level.

The Rhode Island Emergency Management Agency is a tenant at the Command Readiness Center, National Guard Headquarters, 645 New London Avenue, Cranston, RI. Emergency Management personnel maintain the State Emergency Operating Center (S-EOC) at that location. The RIEMA is responsible for the operation and maintenance of the state's 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISCON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. The RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

Program Objectives

To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

Statutory History

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency.

The Budget

Military Staff Emergency Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 2,534,242 | 2,408,190 | 3,067,702 | 2,872,729 | 2,946,181 |
| Operating Supplies and Expenses | 2,337,124 | 205,741 | 2,245,423 | 2,249,783 | 1,711,816 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 13,775,355 | 10,136,420 | 10,449,579 | 23,083,559 | 11,411,280 |
| Subtotal: Operating Expenditures | \$18,646,721 | \$12,750,351 | \$15,762,704 | \$28,206,071 | \$16,069,277 |
| Capital Purchases and Equipment | 3,037,449 | 157,889 | - | 2,500 | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$21,684,170 | \$12,908,240 | \$15,762,704 | \$28,208,571 | \$16,069,277 |
| Expenditures By Funds | | | | | |
| General Revenue | 698,300 | 734,414 | 2,058,099 | 1,784,841 | 1,912,151 |
| Federal Funds | 20,806,813 | 12,046,959 | 13,549,284 | 26,266,897 | 14,009,677 |
| Restricted Receipts | 179,057 | 126,867 | 155,321 | 156,833 | 147,449 |
| Total Expenditures | \$21,684,170 | \$12,908,240 | \$15,762,704 | \$28,208,571 | \$16,069,277 |
| Program Measures | | | | | |
| Percentage of CDSTARS Remote Stations Responding | 90.0% | 50.0% | 85.0% | 85.0% | 85.0% |

The Agency

Department of Public Safety

Agency Operations

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Capitol Police, Municipal Police Training Academy, and the State Police.

Agency Objectives

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

Statutory History

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided for the following:

SECTION 14. The general assembly hereby requires the governor to submit, as part of his FY 2009 budget necessary recommended legislation to create a department of public safety, with an effective date of no sooner than July 1, 2008, and no later than January 1, 2009. The director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The governor shall include E-911 Emergency Telephone System division, the State Fire marshal who shall be appointed by the governor with the advice and consent of the senate, Capitol Police, Municipal Police Training Academy, and the State Police.

The department shall consolidate communications and overhead expenditures.

The Budget

Department of Public Safety

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditure by Program | | | | | |
| Central Management | - | 4,155,708 | 4,987,750 | 8,515,618 | 8,238,766 |
| E-911 Emergency | 5,834,933 | 5,834,446 | 5,449,940 | 5,798,684 | 4,886,572 |
| Fire Marshal | 2,453,066 | 2,681,580 | 2,638,889 | 4,105,127 | 3,007,162 |
| Capitol Police | 3,638,730 | 3,665,489 | 3,744,088 | 3,524,634 | 3,310,591 |
| Municipal Police Training Academy | 422,319 | 456,443 | 497,195 | 546,316 | 441,096 |
| State Police | 58,617,755 | 56,125,211 | 70,507,597 | 71,320,895 | 74,067,791 |
| Rhode Island Justice Commission | 203,252 | 231,628 | - | - | - |
| Forensic Sciences Unit | - | - | - | - | 2,028,983 |
| Internal Service Program | [557,490] | [588,914] | [586,142] | [744,222] | [763,874] |
| Total Expenditure | \$71,170,055 | \$73,150,505 | \$87,825,459 | \$93,811,274 | \$95,980,961 |
| Expenditures By Object | | | | | |
| Personnel | 49,174,962 | 46,953,987 | 49,735,289 | 47,834,157 | 48,495,031 |
| Operating Supplies and Expenses | 6,793,746 | 6,781,018 | 6,546,965 | 7,225,192 | 7,174,659 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 14,040,651 | 18,109,601 | 18,915,067 | 22,325,381 | 22,309,029 |
| Subtotal: Operating Expenditures | \$70,009,359 | \$71,844,606 | \$75,197,321 | \$77,384,730 | \$77,978,719 |
| Capital Purchases and Equipment | 1,160,696 | 1,305,899 | 12,628,138 | 16,426,544 | 18,002,242 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$71,170,055 | \$73,150,505 | \$87,825,459 | \$93,811,274 | \$95,980,961 |
| Expenditures By Funds | | | | | |
| General Revenue | 63,975,375 | 62,946,519 | 66,828,094 | 64,656,938 | 66,518,758 |
| Federal Funds | 1,999,004 | 5,957,636 | 6,232,120 | 14,397,613 | 10,232,874 |
| Restricted Receipts | 1,905,648 | 1,103,585 | 434,000 | 835,000 | 609,000 |
| Other Funds | 3,290,028 | 3,142,765 | 14,331,245 | 13,921,723 | 18,620,329 |
| Total Expenditures | \$71,170,055 | \$73,150,505 | \$87,825,459 | \$93,811,274 | \$95,980,961 |
| FTE Authorization | - | - | 414.5 | 396.1 | 447.7 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 0.8% | 0.6% | 8.6% | 8.6% | 9.0% |
| Females as a Percentage of the Workforce | 21.7% | 21.3% | 18.2% | 18.2% | 19.0% |
| Persons with Disabilities as a Percentage of the Workforce | 0.8% | 0.6% | 0.6% | 0.6% | - |

The Program

Department of Public Safety Central Management

Program Operations

The Central Management program within the Department of Public Safety includes the administrative functions, which are headed by the superintendent of the Rhode Island State Police, who serves as the "director". In this capacity, the Director of Public Safety shall be authorized to: (a) Coordinate the administration and financing of public safety services and programs. (b) Serve as the governor's chief advisor and liaison to federal policymakers on public safety issues as well as the principal point of contact in the state on any such related matters. The directors of the departments shall assist and cooperate with the director of public safety in fulfilling this responsibility by providing whatever resources, information and support shall be necessary. (c) Resolve administrative, jurisdictional, operational, program, or policy conflicts among departments and their executive staffs and make necessary recommendations to the governor. (d) Assure continued progress toward improving the quality, the economy, the accountability and the efficiency of state-administered public safety services. In this capacity, the director shall: (e) Prepare and integrate comprehensive budgets for the public safety departments and any other functions and duties assigned to the office. (f) Utilize objective data to evaluate public safety goals, resource use and outcome evaluation and to perform short and long-term policy planning and development. (g) At the direction of the governor or the general assembly, conduct independent reviews of state public safety programs, policies and related agency actions and activities and assist the department directors in identifying strategies to address any issues or areas of concern that may emerge thereof. The department directors shall provide any information and assistance deemed necessary by the director when undertaking such independent reviews. (h) Provide regular and timely reports to the governor and make recommendations with respect to the state's public safety agenda. (i) Employ such personnel and contract for such consulting services as may be required to perform the powers and duties lawfully conferred upon the director. (j) Implement the provisions of any general or public law or regulation related to the disclosure, confidentiality and privacy of any information or records, in the possession or under the control of the executive office or the departments assigned to the executive office, that may be developed or acquired for purposes directly connected with the director's duties set forth herein. (k) Hold the director of each public safety department accountable for their administrative, fiscal and program actions in the conduct of the respective powers and duties of their agencies.

Program Objectives

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The FY2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The Budget

Department of Public Safety Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | 463,189 | 875,329 | 1,246,778 | 1,364,786 |
| Operating Supplies and Expenses | - | 37,620 | 28,421 | 336,036 | 336,042 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 3,654,899 | 4,084,000 | 6,932,804 | 6,537,938 |
| Subtotal: Operating Expenditures | - | \$4,155,708 | \$4,987,750 | \$8,515,618 | \$8,238,766 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | \$4,155,708 | \$4,987,750 | \$8,515,618 | \$8,238,766 |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | 514,329 | 626,369 | 660,605 |
| Federal Funds | - | 4,144,887 | 4,340,421 | 7,756,249 | 7,445,161 |
| Restricted Receipts | - | 10,821 | 133,000 | 133,000 | 133,000 |
| Total Expenditures | - | 4,155,708 | \$4,987,750 | \$8,515,618 | \$8,238,766 |
| Program Measures | | | | | |
| Percentage of Mun. Police Depts. with the Records Management System Software that are Interfaced with Justice Link (Courts) | 84.0% | 91.0% | 96.0% | 96.0% | 96.0% |
| Percentage of Noncompetitive Formula Grant Applications Provided an Official Response Within 5 Business Days of Completed Application | 87.0% | 92.0% | 100.0% | 100.0% | 100.0% |
| Percentage of Competitive Grant Applicants Provided an Official Response Within 75 Business Days of Completed Application | 46.0% | 100.0% | 100.0% | 100.0% | 100.0% |

The Program

Department of Public Safety E-911 Emergency Telephone System

Program Operations

E-911 Emergency Telephone System operates a 24-hour, statewide Public Safety Answering Point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded from general revenues and restricted receipt revenues. The general revenue expenditures are backed by a \$1.00 per month surcharge on wireline and wireless telephone subscribers. Effective July 1, 2004, an additional \$0.26 surcharge was levied on wireless subscribers to fund the costs of the Geographic Information System (GIS) database, improve system redundancy, and maintain state-of-the-art technology in the PSAP.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using GIS mapping and other data being collected on a community-by-community basis, as funding becomes available. To date, 19 communities have been coded and 8 more are scheduled for completion in FY 2007. Until the GIS data development project is completed, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately 62 percent of the 562,517 calls received by the agency in calendar year 2006 were from wireless phones.

Program Objectives

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology.

Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

The Budget

Department of Public Safety E-911 Emergency Telephone System

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 4,466,746 | 4,834,592 | 4,114,445 | 4,301,322 | 3,986,712 |
| Operating Supplies and Expenses | 1,196,575 | 979,579 | 674,495 | 728,643 | 692,260 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | 1,750 | - | - | - |
| Subtotal: Operating Expenditures | \$5,663,321 | \$5,815,921 | \$4,788,940 | \$5,029,965 | \$4,678,972 |
| Capital Purchases and Equipment | 171,612 | 18,525 | 661,000 | 768,719 | 207,600 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$5,834,933 | \$5,834,446 | \$5,449,940 | \$5,798,684 | \$4,886,572 |
| Expenditures By Funds | | | | | |
| General Revenue | 4,116,079 | 4,944,839 | 4,994,940 | 5,060,865 | 4,831,572 |
| Federal Funds | 99,907 | 14,892 | 400,000 | 737,819 | - |
| Restricted Receipts | 1,618,947 | 874,715 | - | - | - |
| Other Funds | - | - | 55,000 | - | 55,000 |
| Total Expenditures | \$5,834,933 | \$5,834,446 | \$5,449,940 | \$5,798,684 | \$4,886,572 |
| Program Measures | | | | | |
| Average Number of Seconds Required to Answer & Transfer Wireless Calls to Secondary Public Service Answering Points | 55 | 62 | 58 | 58 | 63 |

The Program

Department of Public Safety Rhode Island State Fire Marshal

Program Operations

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, and fire prevention, protection, inspection and investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. It also conducts training programs of local fire departments.

The Investigation Unit investigates suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. It also investigates fires where a fatality has occurred in so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit administer the Fire Academy by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develop the training program and the cost is reimbursed by the participating community.

The Plan Review Unit reviews architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for review of the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

Program Objectives

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

The Budget

Department of Public Safety Rhode Island State Fire Marshal

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 1,949,508 | 2,014,227 | 2,368,382 | 2,681,230 | 2,413,938 |
| Operating Supplies and Expenses | 411,035 | 449,973 | 262,983 | 575,526 | 329,200 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$2,360,543 | \$2,464,200 | \$2,631,365 | \$3,256,756 | \$2,743,138 |
| Capital Purchases and Equipment | 92,523 | 217,380 | 7,524 | 848,371 | 264,024 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$2,453,066 | \$2,681,580 | \$2,638,889 | \$4,105,127 | \$3,007,162 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,284,973 | 2,204,172 | 2,614,889 | 2,533,728 | 2,631,162 |
| Federal Funds | 155,494 | 477,408 | 24,000 | 1,571,399 | 376,000 |
| Operating Transfers | 12,599 | - | - | - | - |
| Total Expenditures | \$2,453,066 | \$2,681,580 | \$2,638,889 | \$4,105,127 | \$3,007,162 |
| Program Measures | | | | | |
| Fire Determination Rate | 88.8% | 87.2% | 88.0% | 88.0% | 90.0% |
| Fire Fatalities in Rhode Island | 4 | 7 | 10 | 10 | 8 |

The Program

Department of Public Safety Capitol Police

Program Operations

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

Statutory History

Chapter 42-11 of the Rhode General Laws 42-11-21 established a division of sheriffs within the Department of Administration. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

The Budget

Department of Public Safety Capitol Police

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,537,210 | 3,598,679 | 3,626,582 | 3,440,939 | 3,226,896 |
| Operating Supplies and Expenses | 101,520 | 66,810 | 115,006 | 83,695 | 83,695 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$3,638,730 | \$3,665,489 | \$3,741,588 | \$3,524,634 | \$3,310,591 |
| Capital Purchases and Equipment | - | - | 2,500 | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,638,730 | \$3,665,489 | \$3,744,088 | \$3,524,634 | \$3,310,591 |
| Expenditures By Funds | | | | | |
| General Revenue | 3,638,730 | 3,665,489 | 3,744,088 | 3,524,634 | 3,310,591 |
| Total Expenditures | \$3,638,730 | \$3,665,489 | \$3,744,088 | \$3,524,634 | \$3,310,591 |
| Program Measures | | | | | |
| Number of Prisoner Escapes While Under the Jurisdiction of the Sheriffs | 0.0 | 0.0 | 0.0 | 0.0 | NS |
| Number of Escape Attempts While Under the Jurisdiction of the Sheriffs | 1.0 | 0.0 | 0.0 | 0.0 | NS |
| Number of Prisoner Suicides | 0.0 | 0.0 | 0.0 | 0.0 | NS |
| Number of Prisoner Suicides While Under the Jurisdiction of the Sheriffs | 1.0 | 0.0 | 0.0 | 0.0 | NS |
| Percentage of Writs Served Within Five Business Days from Time of Writ Request | 65.0% | 80.0% | 80.0% | 80.0% | NS |

The Program

Department of Public Safety Municipal Police Training Academy

Program Operations

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/standard field sobriety testing; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

The Police Academy also conducts extensive police in-service and specialized training programs in many areas such as First Line Supervision; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for police recruits is conducted at the Community College of Rhode Island's Flanagan Campus, in Lincoln. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

Program Objectives

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

Statutory History

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

The Budget

Department of Public Safety Municipal Police Training Academy

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 352,403 | 405,528 | 436,951 | 452,857 | 391,836 |
| Operating Supplies and Expenses | 57,016 | 50,915 | 60,244 | 66,959 | 45,260 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$409,419 | \$456,443 | \$497,195 | \$519,816 | \$437,096 |
| Capital Purchases and Equipment | 12,900 | - | - | 26,500 | 4,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$422,319 | \$456,443 | \$497,195 | \$546,316 | \$441,096 |
| Expenditures By Funds | | | | | |
| General Revenue | 400,080 | 433,672 | 431,195 | 372,714 | 349,696 |
| Federal Funds | 22,239 | 22,771 | 66,000 | 173,602 | 91,400 |
| Total Expenditures | \$422,319 | \$456,443 | \$497,195 | \$546,316 | \$441,096 |
| Program Measures | | | | | |
| Cumulative Grade Point Average for Recruit Classes | 91.6% | 92.9% | 93.0% | 93.0% | 93.0% |

The Program

Department of Public Safety State Police

Program Operations

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized into three divisions: the Patrol Division, the Detective Division, and Central Management.

The Patrol Division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.e. drunk driving and speeding.

The Detective Division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the State. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit. Further, the RISP assumed control of the Judicial Branch's Warrant Squad to consolidate statewide operations in the apprehension of fugitives.

The Central Management Division consists of the Command Officers, the Inspection Division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology Unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

Program Objectives

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

Statutory History

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

The Budget

Department of Public Safety State Police

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Support | 5,175,895 | 4,898,359 | 16,221,933 | 15,613,714 | 20,982,862 |
| Detectives | 10,252,201 | 9,966,928 | 10,503,858 | 13,942,117 | 11,346,029 |
| Patrol | 24,983,649 | 22,429,114 | 23,528,990 | 23,647,389 | 23,916,553 |
| Pension | 15,819,415 | 16,141,767 | 17,887,609 | 15,397,577 | 15,776,091 |
| Communications and Technology | 2,386,595 | 2,689,043 | 2,365,207 | 2,720,098 | 2,046,256 |
| Total Expenditures | \$58,617,755 | \$56,125,211 | \$70,507,597 | \$71,320,895 | \$74,067,791 |
| Expenditures By Object | | | | | |
| Personnel | 38,667,316 | 35,407,617 | 38,313,600 | 35,711,031 | 35,331,330 |
| Operating Supplies and Expenses | 5,026,127 | 5,194,648 | 5,405,816 | 5,434,333 | 5,467,352 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 14,040,651 | 14,452,952 | 14,831,067 | 15,392,577 | 15,771,091 |
| Subtotal: Operating Expenditures | \$57,734,094 | \$55,055,217 | \$58,550,483 | \$56,537,941 | \$56,569,773 |
| Capital Purchases and Equipment | 883,661 | 1,069,994 | 11,957,114 | 14,782,954 | 17,498,018 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$58,617,755 | \$56,125,211 | \$70,507,597 | \$71,320,895 | \$74,067,791 |
| Expenditures By Funds | | | | | |
| General Revenue | 53,332,261 | 51,466,719 | 54,528,653 | 52,538,628 | 52,893,149 |
| Federal Funds | 1,721,364 | 1,297,678 | 1,401,699 | 4,158,544 | 2,133,313 |
| Restricted Receipts | 286,701 | 218,049 | 301,000 | 702,000 | 476,000 |
| Other Funds | 3,277,429 | 3,142,765 | 14,276,245 | 13,921,723 | 18,565,329 |
| Total Expenditures | \$58,617,755 | \$56,125,211 | \$70,507,597 | \$71,320,895 | \$74,067,791 |
| Program Measures | | | | | |
| Safety Violations Found for Every One Hundred Vehicles Inspected | 18.0 | 25.0 | 25.0 | 25.0 | 25.0 |
| Overweight Violations per One Hundred Vehicles Weighed | 2.1 | 56.0 | 56.0 | 56.0 | 56.0 |

The Program

Department of Public Safety Public Safety Grant Administration Office

Program Operations

The Public Safety Grant Administration Office (PSGAO), formerly the Rhode Island Justice Commission (RIJC), develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The RIJC was established in 1969 to improve the effectiveness and efficiency of system-wide criminal justice responses to the problem of crime. The commission pursues a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following federal grants: The Edward Byrne Memorial Justice Assistance Grant Program; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Residential Substance Abuse Treatment for State Prisoners Grant; the National Criminal Histories Improvement Grant Program; the Statistical Analysis Center Grant; the National Forensic Sciences Improvement Act Program; Project Safe Neighborhoods, and other appropriate discretionary grant programs. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant program.

The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. It is most common that the PSGAO makes over 200 grants/sub-grants annually in the average amount of approximately six million dollars.

Program Objectives

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

To ensure that projects receiving funding utilize their funds consistent with federal and state grant regulations.

To initiate and support programs designed to impact crime and/or improve the criminal and juvenile justice system. To continue to produce studies/reports describing the status of particular crime problems.

To perform detailed monitoring, evaluation, and analysis.

Statutory History

In 1969, Title 42, Chapter 62 of the Rhode Island General Laws established the Rhode Island Justice Commission as a state criminal justice agency. The legal re-authorization can be found in the state's General Laws, specifically Chapter 26, Sections 42-26.1 through 42-26-13 and 42-26-17.

The Budget

Department of Public Safety Public Safety Grant Administration Office

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 201,779 | 230,155 | - | - | - |
| Operating Supplies and Expenses | 1,473 | 1,473 | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$203,252 | \$231,628 | - | - | - |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$203,252 | \$231,628 | - | - | - |
| Expenditures By Funds | | | | | |
| General Revenue | 203,252 | 231,628 | - | - | - |
| Total Expenditures | \$203,252 | \$231,628 | - | - | - |

The Program

Department of Public Safety Forensic Sciences Unit

Program Operations

For FY 2010, the Governor recommends the transfer of the functions of the Forensic Sciences Unit currently located within the Department of Health to the Department of Public Safety. Those functions being transferred are the administrative services, the biology breathalyzer, and the CODIS functions.

The Forensic Sciences Unit provides services to federal, state, and municipal law enforcement agencies, the Office of the Medical Examiner and the Superior and District courts. Services include the examination and analysis of evidence submitted in cases of homicide, suicide, drug overdose, poisoning, illegal drug trafficking, sexual assault, and violent crimes. In addition, the DNA database is used to identify suspects; expert testimony, and evidence storage are also provided. The unit works closely with the Department of Attorney General by applying modern scientific identification techniques to identify perpetrators in unsolved cases.

Program Objectives

- Protect the public health and safety through the application of modern scientific methods
- Assure that the quality of health care services is maintained in the face of rapid changes
- Develop and maintain the knowledge base for public health and use it to improve health status
- Develop support for public health

Statutory History

Authorization for the Laboratories Program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

The Budget

Department of Public Safety Forensic Sciences Unit

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | - | - | - | - | 1,779,533 |
| Operating Supplies and Expenses | - | - | - | - | 220,850 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | - | - | - | - | \$2,000,383 |
| Capital Purchases and Equipment | - | - | - | - | 28,600 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | - | - | - | - | \$2,028,983 |
| Expenditures By Funds | | | | | |
| General Revenue | - | - | - | - | 1,841,983 |
| Other Funds | - | - | - | - | 187,000 |
| Total Expenditures | - | - | - | - | \$2,028,983 |

The Program

Department of Public Safety Internal Service Program

Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

The Internal service program that is operated by the Department of Public Safety is the Capitol Police Rotary.

This Capitol Police Rotary provide security services to seven different agencies throughout the state of Rhode Island.

Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Public Safety Internal Service Programs

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|-------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 557,490 | 588,914 | 586,142 | 744,222 | 763,874 |
| Operating Supplies and Expenses | - | - | - | - | - |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$557,490 | \$588,914 | \$586,142 | \$744,222 | \$763,874 |
| Capital Purchases and Equipment | - | - | - | - | - |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$557,490 | \$588,914 | \$586,142 | \$744,222 | \$763,874 |
| Expenditures By Funds | | | | | |
| Internal Service Funds | 557,490 | 588,914 | 586,142 | 744,222 | 763,874 |
| Total Expenditures | \$557,490 | \$588,914 | \$586,142 | \$744,222 | \$763,874 |
| Program Measures | NA | NA | NA | NA | NA |

The Agency

Office of the Public Defender

Agency Operations

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

Agency Objectives

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

The Budget

Office of the Public Defender

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 7,950,488 | 8,508,737 | 8,869,887 | 8,791,259 | 8,964,730 |
| Operating Supplies and Expenses | 779,550 | 758,034 | 814,442 | 817,979 | 818,957 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | - | - | - | - | - |
| Subtotal: Operating Expenditures | \$8,730,038 | \$9,266,771 | \$9,684,329 | \$9,609,238 | \$9,783,687 |
| Capital Purchases and Equipment | 48,386 | 36,028 | 32,400 | 34,400 | 25,400 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$8,778,424 | \$9,302,799 | \$9,716,729 | \$9,643,638 | \$9,809,087 |
| Expenditures By Funds | | | | | |
| General Revenue | 8,564,734 | 9,030,938 | 9,468,259 | 9,318,047 | 9,583,189 |
| Federal Funds | 213,690 | 271,861 | 248,470 | 325,591 | 225,898 |
| Total Expenditures | \$8,778,424 | \$9,302,799 | \$9,716,729 | \$9,643,638 | \$9,809,087 |
| FTE Authorization | 93.5 | 93.5 | 93.5 | 91.0 | 91.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 14.6% | 14.6% | 14.6% | 14.6% | 12.7% |
| Females as a Percentage of the Workforce | 62.5% | 62.5% | 62.5% | 62.5% | 63.8% |
| Persons with Disabilities as a Percentage of the Workforce | 9.4% | 10.4% | 10.4% | 10.4% | 9.5% |
| Program Measures | | | | | |
| Percentage by which Attorney Caseload Exceeds National Standards - Misdemeanors | 329.0% | 279.0% | 300.0% | 300.0% | 300.0% |
| Percentage by which Attorney Caseload Exceeds National Standards - Felonies | 96.0% | 59.0% | 75.0% | 75.0% | 75.0% |
| Average Percentage of the Continuing Legal Education Requirement Fulfilled with Public Defender Sponsored Courses (All Attorneys) | 72.9% | 65.2% | 70.0% | 70.0% | 70.0% |

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Natural Resources

Department of Environmental Management
Office of the Director
Bureau of Natural Resources
Bureau of Environmental Protection
Coastal Resources Management Council

Coastal Resources Management Council
State Water Resources Board

Natural Resources Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|----------------------------------------|---------------------|---------------------|---------------------|---------------------|----------------------|
| Expenditure by Object | | | | | |
| Personnel | 61,399,373 | 56,846,696 | 58,840,566 | 56,016,495 | 56,961,781 |
| Operating Supplies and Expenses | 9,133,379 | 9,140,185 | 9,796,707 | 10,382,416 | 10,547,558 |
| Aid to Local Units of Government | 33,155 | - | - | - | - |
| Assistance, Grants, and Benefits | 5,396,286 | 5,996,886 | 10,281,432 | 7,151,918 | 11,145,162 |
| Subtotal: Operating Expenditure | \$75,962,193 | \$71,983,767 | \$78,918,705 | \$73,550,829 | \$78,654,501 |
| Capital Purchases and Equipment | 5,755,838 | 5,500,358 | 16,754,111 | 19,387,887 | 17,905,151 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$81,718,031 | \$77,484,125 | \$95,672,816 | \$92,938,716 | \$96,559,652 |
| Expenditures by Funds | | | | | |
| General Revenue | 41,796,435 | 39,244,040 | 39,035,089 | 37,397,762 | 39,049,637 |
| Federal Funds | 23,043,344 | 19,803,219 | 34,888,888 | 36,167,513 | 33,675,602 |
| Restricted Receipts | 15,419,611 | 13,930,680 | 16,661,875 | 14,018,954 | 15,496,049 |
| Other Funds | 1,458,641 | 4,506,186 | 5,086,964 | 5,354,487 | 8,338,364 |
| Total Expenditures | \$81,718,031 | \$77,484,125 | \$95,672,816 | \$92,938,716 | \$96,559,652 |
| FTE Authorization | 544.3 | 518.0 | 509.0 | 445.0 | 453.0 |

The Agency

Department of Environmental Management

Agency Operations

The mission of the Department of Environmental Management is to enhance the quality of life for this and future generations by protecting, managing, and restoring the natural resources of the State; enhancing outdoor recreation opportunities; protecting public health; preventing environmental degradation; guiding the utilization of the State's natural resources to provide for sustainable economic opportunity while sustaining the natural environment; and motivating the citizens of the State to practice an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

Agency Objectives

To ensure Rhode Islanders have equal access to environmental benefits; to prevent any segment of Rhode Island's population from bearing a disproportionate share of environmental risks and pollution.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it; to ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To educate citizens so that all Rhode Islanders prevent pollution and minimize waste at the source.

To ensure natural habitats are managed to maintain species biodiversity.

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | | |
| Office of the Director | 9,850,268 | 8,009,309 | 8,757,719 | 7,868,592 | 8,812,494 |
| Bureau of Natural Resources | 33,380,059 | 32,485,864 | 47,308,018 | 47,431,049 | 44,335,024 |
| Bureau of Environmental Protection | 32,796,820 | 29,878,351 | 32,892,415 | 31,969,114 | 36,390,128 |
| Total Expenditures | \$76,027,147 | \$70,373,524 | \$88,958,152 | \$87,268,755 | \$89,537,646 |
| Expenditures By Object | | | | | |
| Personnel | 57,210,905 | 51,913,068 | 54,493,773 | 51,215,635 | 52,277,956 |
| Operating Supplies and Expenses | 8,733,451 | 8,796,650 | 9,484,789 | 10,080,994 | 10,295,430 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 4,730,646 | 5,834,827 | 10,233,488 | 7,103,874 | 11,097,118 |
| Subtotal: Operating Expenditures | \$70,675,002 | \$66,544,545 | \$74,212,050 | \$68,400,503 | \$73,670,504 |
| Capital Purchases and Equipment | 5,352,145 | 3,828,979 | 14,746,102 | 18,868,252 | 15,867,142 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$76,027,147 | \$70,373,524 | \$88,958,152 | \$87,268,755 | \$89,537,646 |
| Expenditures By Funds | | | | | |
| General Revenue | 38,071,852 | 36,032,812 | 35,779,384 | 34,011,362 | 35,651,578 |
| Federal Funds | 21,320,143 | 18,024,013 | 33,435,438 | 34,508,404 | 32,067,164 |
| Restricted Receipts | 15,257,111 | 13,483,302 | 16,411,875 | 13,514,137 | 15,246,049 |
| Other Funds | 1,378,041 | 2,833,397 | 3,331,455 | 5,234,852 | 6,572,855 |
| Total Expenditures | \$76,027,147 | \$70,373,524 | \$88,958,152 | \$87,268,755 | \$89,537,646 |
| FTE Authorization | 505.3 | 491.4 | 473.0 | 409.0 | 417.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 5.6% | 5.6% | 5.3% | 5.3% | 5.8% |
| Females as a Percentage of the Workforce | 32.8% | 33.1% | 35.4% | 35.4% | 34.4% |
| Persons with Disabilities as a Percentage of the Workforce | 8.5% | 9.2% | 9.5% | 9.5% | 10.2% |

The Program

Department of Environmental Management Office of the Director

Program Operations

The Office of the Director includes the following five offices: Policy, Communications, and Customer Service; Financial Management; Human Resources; Legal Services; and Management Information Systems.

The Office of Policy, Communications, and Customer Service coordinate overarching policy and strategic planning initiatives, legislation and intergovernmental affairs, media relations, public information and education, and frontline customer service operations.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 38,000 boat registrations and all hunting and fishing licenses.

The Office of Human Resources provides administrative human resources support for over 520 full-time employees and approximately 475 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Office of Legal Services represents the department in hearings and enforcement, and provides legal guidance on the development and administration of regulatory programs.

The Management Information Systems (MIS) Office provides department-wide computer/technology services including the environmental permit database, e-government services, and a help desk, and administers major federal grants for information reporting.

Administrative Adjudication has been relocated to the legal division at the Department of Administration where appeals of department permitting, regulatory and enforcement decisions are heard.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Office of the Director

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Administration | 4,148,896 | 3,571,777 | 3,620,234 | 3,226,641 | 3,362,705 |
| Environmental Coordination | 468,473 | 274,866 | 1,184,005 | 1,170,143 | 1,444,664 |
| Management Services | 2,843,977 | 2,641,911 | 2,570,659 | 2,484,470 | 2,523,517 |
| Legal Services | 1,529,270 | 679,212 | 574,781 | 408,452 | 647,044 |
| Employee Relations/Human Resources | 9,627 | 7,975 | - | - | - |
| Planning and Development | 172 | (21,251) | - | - | - |
| Administrative Adjudication | 664,249 | 657,292 | 683,620 | 392,886 | 648,564 |
| Permit Streamlining | 185,604 | 197,527 | 124,420 | 186,000 | 186,000 |
| Total Expenditures | \$9,850,268 | \$8,009,309 | \$8,757,719 | \$7,868,592 | \$8,812,494 |
| Expenditures By Object | | | | | |
| Personnel | 6,706,861 | 5,133,755 | 5,421,532 | 4,594,229 | 5,316,322 |
| Operating Supplies and Expenses | 2,661,116 | 2,598,204 | 2,720,587 | 2,651,689 | 2,723,498 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 396,417 | 255,308 | 593,600 | 582,174 | 582,174 |
| Subtotal: Operating Expenditures | \$9,764,394 | \$7,987,267 | \$8,735,719 | \$7,828,092 | \$8,621,994 |
| Capital Purchases and Equipment | 85,874 | 22,042 | 22,000 | 40,500 | 190,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$9,850,268 | \$8,009,309 | \$8,757,719 | \$7,868,592 | \$8,812,494 |
| Expenditures By Funds | | | | | |
| General Revenue | 7,291,516 | 5,789,124 | 5,539,371 | 4,746,927 | 5,353,159 |
| Federal Funds | 468,016 | 73,022 | 536,513 | 521,513 | 791,315 |
| Restricted Receipts | 2,090,736 | 2,168,741 | 2,681,835 | 2,600,152 | 2,668,020 |
| Other Funds | - | (21,578) | - | - | - |
| Total Expenditures | \$9,850,268 | \$8,009,309 | \$8,757,719 | \$7,868,592 | \$8,812,494 |
| Program Measures | NS | NS | NS | NS | NS |

The Program

Department of Environmental Management Bureau of Natural Resources

Program Operations

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare, and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps, and ports facilities and commercial fishing piers in Galilee, Jerusalem, and Newport.

The Division of Agriculture and Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center for the department, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division oversees facilities and land management and coordinates recreational activities at the Narragansett Bay Estuarine Research Reserve.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

Program Objective

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources; and provide people with well-maintained, scenic, and accessible outdoor recreational opportunities.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Bureau of Natural Resources

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Fish, Wildlife & Estuarine Resources | 7,584,571 | 7,483,474 | 11,584,233 | 12,534,937 | 11,091,758 |
| Agriculture | 2,287,628 | 2,272,780 | 4,792,524 | 2,370,241 | 2,287,150 |
| Enforcement | 5,432,696 | 6,105,400 | 5,785,037 | 5,616,910 | 5,678,084 |
| Natural Resources Administration | 4,764,576 | 2,961,191 | 7,459,261 | 6,643,749 | 8,370,605 |
| Parks and Recreation | 10,380,954 | 10,453,462 | 11,083,256 | 12,107,323 | 11,069,551 |
| Forest Environment | 2,914,735 | 2,748,924 | 5,603,707 | 6,940,625 | 4,529,176 |
| Coastal Resources | 14,899 | 460,633 | 1,000,000 | 1,217,264 | 1,308,700 |
| Total Expenditures | \$33,380,059 | \$32,485,864 | \$47,308,018 | \$47,431,049 | \$44,335,024 |
| Expenditures By Object | | | | | |
| Personnel | 22,483,107 | 22,485,163 | 23,780,528 | 21,688,459 | 21,658,687 |
| Operating Supplies and Expenses | 5,038,371 | 5,322,958 | 5,363,482 | 6,122,995 | 6,120,401 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 1,133,370 | 1,131,764 | 4,589,432 | 2,308,744 | 2,268,744 |
| Subtotal: Operating Expenditures | \$28,654,848 | \$28,939,885 | \$33,733,442 | \$30,120,198 | \$30,047,832 |
| Capital Purchases and Equipment | 4,725,211 | 3,545,979 | 13,574,576 | 17,310,851 | 14,287,192 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$33,380,059 | \$32,485,864 | \$47,308,018 | \$47,431,049 | \$44,335,024 |
| Expenditures By Funds | | | | | |
| General Revenue | 18,607,444 | 19,000,964 | 18,853,058 | 18,208,794 | 18,222,294 |
| Federal Funds | 10,435,851 | 8,684,182 | 21,581,338 | 20,872,596 | 16,953,635 |
| Restricted Receipts | 2,958,723 | 3,068,893 | 3,542,167 | 3,666,658 | 3,586,240 |
| Other Funds | 1,378,041 | 1,731,825 | 3,331,455 | 4,683,001 | 5,572,855 |
| Total Expenditures | \$33,380,059 | \$32,485,864 | \$47,308,018 | \$47,431,049 | \$44,335,024 |
| Program Measures | | | | | |
| Cumulative Percentage of Land Acquisition Goal of 17,850 Acres Actually Acquired | 91.8% | 53.0% | 59.0% | 59.0% | 65.0% |
| Quahog Biomass in Metric Tons as a Percentage of Biomass Required for Stock to be Self-Sustaining | 59.6% | 64.0% | 64.0% | 64.0% | 72.0% |
| Percentage of Rhode Island Agricultural Samples Meeting State and Federal Standards | 97.0% | 96.0% | 100.0% | 100.0% | 100.0% |
| Percentage of RI Communities on Designated Levels in the Urban Forestry Program | | | | | |
| Percentage of RI Communities on at least: | | | | | |
| Formative Level | 80.0% | 80.0% | 60.0% | 60.0% | 60.0% |
| Developmental Level | 55.0% | 55.0% | 55.0% | 55.0% | 55.0% |
| Sustained Level | 27.5% | 27.5% | 25.0% | 25.0% | 25.0% |

The Program

Department of Environmental Management Bureau of Environmental Protection

Program Operations

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater & Surface Water Quality Certification; Individual Septic Disposal Systems; Freshwater Wetlands; Water Quality Restoration Studies; Total Maximum Daily Loading (TDML); Wastewater Treatment Facility and Sludge Programs, and Sustainable Watershed Initiatives. In FY 2009, the Governor recommends consolidating the Water Resources Board into this Office.

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Permitting Coordination and Innovations assists the public by coordinating review of multiple applications and permits and tracking the status of permitting activities throughout the bureau. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

Program Objective

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management. Language is included in the FY 2009 Appropriations Act to effectuate this consolidation.

The Budget

Department of Environmental Management Bureau of Environmental Protection

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|----------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Subprogram | | | | | |
| Water Resources | 8,188,618 | 7,995,032 | 10,040,428 | 10,468,139 | 11,813,033 |
| Environmental Protection Administration | 118,506 | 144,019 | 134,690 | 133,891 | 154,242 |
| Compliance & Inspection | 4,606,659 | 4,297,014 | 5,475,513 | 5,116,683 | 4,968,119 |
| Technical & Customer Assistance | 726,375 | 939,925 | 837,198 | 817,722 | 1,420,830 |
| RIPDES | 955,199 | 978,145 | 1,034,839 | 1,065,237 | 1,091,036 |
| Air Resources | 3,489,046 | 3,777,655 | 3,821,777 | 4,604,145 | 5,989,080 |
| Waste Management | 7,810,802 | 6,384,269 | 4,920,789 | 5,663,568 | 4,729,069 |
| Environmental Response | 6,901,615 | 5,362,292 | 6,627,181 | 4,099,729 | 6,224,719 |
| Total Expenditures | \$32,796,820 | \$29,878,351 | \$32,892,415 | \$31,969,114 | \$36,390,128 |
| Expenditures By Object | | | | | |
| Personnel | 28,020,937 | 24,294,150 | 25,291,713 | 24,932,947 | 25,302,947 |
| Operating Supplies and Expenses | 1,033,964 | 875,488 | 1,400,720 | 1,306,310 | 1,451,531 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 3,200,859 | 4,447,755 | 5,050,456 | 4,212,956 | 8,246,200 |
| Subtotal: Operating Expenditures | \$32,255,760 | \$29,617,393 | \$31,742,889 | \$30,452,213 | \$35,000,678 |
| Capital Purchases and Equipment | 541,060 | 260,958 | 1,149,526 | 1,516,901 | 1,389,450 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$32,796,820 | \$29,878,351 | \$32,892,415 | \$31,969,114 | \$36,390,128 |
| Expenditures By Funds | | | | | |
| General Revenue | 12,172,892 | 11,242,724 | 11,386,955 | 11,055,641 | 12,076,125 |
| Federal Funds | 10,416,276 | 9,266,809 | 11,317,587 | 13,114,295 | 14,322,214 |
| Restricted Receipts | 10,207,652 | 8,245,668 | 10,187,873 | 7,247,327 | 8,991,789 |
| Other Funds | - | 1,123,150 | - | 551,851 | 1,000,000 |
| Total Expenditures | \$32,796,820 | \$29,878,351 | \$32,892,415 | \$31,969,114 | \$36,390,128 |
| Program Measures | | | | | |
| Percentage of Sites Suspected or Identified as Contaminated that are Cleaned Up | 45.0% | 45.3% | 43.0% | 43.0% | 44.0% |
| Percentage of Operating Permit Programs that are Inspected Annually for Compliance with Air Quality Standards | 39.5% | 32.0% | 50.0% | 50.0% | 50.0% |
| Percentage of Emission Caps that are Inspected Annually | 50.0% | 40.0% | 50.0% | 50.0% | 50.0% |
| Average Number of Days Required to Process Wetlands Permits from Receipt of Application to Final Decision Date | 113 | 125 | 100 | 100 | 80 |

The Agency

Coastal Resources Management Council

Agency Operations

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan.

Agency Objectives

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all whom come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

The Budget

Coastal Resources Management Council

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,207,149 | 3,548,319 | 3,161,333 | 3,539,184 | 3,495,729 |
| Operating Supplies and Expenses | 205,019 | 178,728 | 167,320 | 149,279 | 117,783 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 162,500 | 120,000 | - | - | - |
| Subtotal: Operating Expenditures | \$3,574,668 | \$3,847,047 | \$3,328,653 | \$3,688,463 | \$3,613,512 |
| Capital Purchases and Equipment | 323,233 | 1,627,888 | 1,908,009 | 400,000 | 1,928,009 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,897,901 | \$5,474,935 | \$5,236,662 | \$4,088,463 | \$5,541,521 |
| Expenditures By Funds | | | | | |
| General Revenue | 2,076,370 | 1,985,139 | 1,877,703 | 2,034,354 | 2,027,574 |
| Federal Grants | 1,659,031 | 1,779,206 | 1,453,450 | 1,659,109 | 1,608,438 |
| Restricted Receipts | 162,500 | 120,000 | 250,000 | 395,000 | 250,000 |
| Other | - | 1,590,590 | 1,655,509 | - | 1,655,509 |
| Total Expenditures | \$3,897,901 | \$5,474,935 | \$5,236,662 | \$4,088,463 | \$5,541,521 |
| FTE Authorization | 30.0 | 30.0 | 30.0 | 30.0 | 30.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | - | - | - | - | - |
| Females as a Percentage of the Workforce | 36.7% | 36.7% | 36.7% | 36.7% | 36.7% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Cumulative Percentage of Shoreline Miles with Designated Right-of-Way Sites | 52.6% | 52.6% | 53.3% | 53.3% | 54.0% |

The Agency

State Water Resources Board

Agency Operations

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure sufficient water resources are available for present and future generations of Rhode Islanders. The board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the State. The board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, groundwater wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The ten member Board appoints a General Manager who carries out its policy and direction. Five members represent the public and are appointed by the Governor of which two are affiliated with public water supply systems; one appointed by the agriculture council; the four remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration.

Agency Objectives

Promote the planning, development, allocation and conservation of the State's water resources.

Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapter 15 of Title 46 of the Rhode Island General Laws authorizes the organization and functions of the board.

The Budget

State Water Resources Board

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 981,319 | 1,385,309 | 1,185,460 | 1,261,676 | 1,188,096 |
| Operating Supplies and Expenses | 194,909 | 164,807 | 144,598 | 152,143 | 134,345 |
| Aid To Local Units Of Government | 33,155 | - | - | - | - |
| Assistance, Grants and Benefits | 503,140 | 42,059 | 47,944 | 48,044 | 48,044 |
| Subtotal: Operating Expenditures | \$1,712,523 | \$1,592,175 | \$1,378,002 | \$1,461,863 | \$1,370,485 |
| Capital Purchases and Equipment | 80,460 | 43,491 | 100,000 | 119,635 | 110,000 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$1,792,983 | \$1,635,666 | \$1,478,002 | \$1,581,498 | \$1,480,485 |
| Expenditures By Funds | | | | | |
| General Revenue | 1,648,213 | 1,226,089 | 1,378,002 | 1,352,046 | 1,370,485 |
| Federal Funds | 64,170 | - | - | - | - |
| Restricted Receipts | - | 327,378 | - | 109,817 | - |
| Other Funds | 80,600 | 82,199 | 100,000 | 119,635 | 110,000 |
| Total Expenditures | \$1,792,983 | \$1,635,666 | \$1,478,002 | \$1,581,498 | \$1,480,485 |
| FTE Authorization | 9.0 | 6.0 | 6.0 | 6.0 | 6.0 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 22.2% | 22.2% | - | - | - |
| Females as a Percentage of the Workforce | 55.6% | 55.6% | - | - | 50.0% |
| Persons with Disabilities as a Percentage of the Workforce | - | - | - | - | - |
| Program Measures | | | | | |
| Number of Houses Remaining at the Big River Management Area | 35 | 35 | 33 | 33 | 31 |
| Emergency Water Connections Established per Year | 2 | 3 | 1 | 1 | 2 |
| Cumulative Percentage of Draft Water Studies Received | 86.4% | 83.3% | 91.6% | 91.6% | 91.6% |

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Transportation

Department of Transportation
Central Management
Management and Budget
Infrastructure (Engineering)
Infrastructure (Maintenance)

Transportation Function Expenditures

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommend |
|----------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Expenditure by Object | | | | | |
| Personnel | 105,008,499 | 92,877,329 | 96,840,639 | 93,538,681 | 99,517,682 |
| Operating Supplies and Expenses | 48,807,171 | 33,785,247 | 44,417,197 | 54,987,895 | 62,458,687 |
| Aid to Local Units of Government | - | - | - | - | - |
| Assistance, Grants, and Benefits | 5,634,155 | 14,071,082 | 26,981,963 | 25,005,420 | 33,884,283 |
| Subtotal: Operating Expenditure | \$159,449,825 | \$140,733,658 | \$168,239,799 | \$173,531,996 | \$195,860,652 |
| Capital Purchases and Equipment | 116,518,352 | 74,282,993 | 113,360,677 | 127,600,346 | 191,125,942 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 92,718,606 | 90,419,911 | 88,425,904 | 91,927,907 | 96,296,630 |
| Total Expenditures | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$393,060,249 | \$483,283,224 |
| Expenditures by Funds | | | | | |
| General Revenue | - | - | - | - | - |
| Federal Funds | 231,342,605 | 189,355,117 | 263,437,353 | 286,069,476 | 381,348,383 |
| Restricted Receipts | 1,451,818 | (160,669) | 1,447,246 | 1,450,000 | 1,500,000 |
| Other Funds | 135,892,360 | 116,242,114 | 105,141,781 | 105,540,773 | 100,434,841 |
| Total Expenditures | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$393,060,249 | \$483,283,224 |
| FTE Authorization | | | | | |
| | 786.7 | 733.2 | 729.2 | 691.2 | 780.2 |

The Agency

Department of Transportation

Agency Operations

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the State. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 29 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 3,300 lane miles of highway and 1,153 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Other responsibilities include planning, development, and implementation of transit, rail, water, and bicycle/pedestrian transportation projects. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

Agency Objectives

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost-effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

The Budget

Department of Transportation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures by Program | | | | | |
| Central Management | 9,436,237 | 8,971,167 | 19,287,781 | 14,616,170 | 19,109,271 |
| Management and Budget | 3,428,282 | 760,190 | 2,162,403 | 1,351,936 | 1,186,330 |
| Infrastructure - Engineering | 321,236,983 | 257,674,927 | 305,774,060 | 330,409,902 | 421,244,494 |
| Infrastructure - Maintenance | 34,585,281 | 38,030,278 | 42,802,136 | 46,682,241 | 41,743,129 |
| Total Expenditures | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$393,060,249 | \$483,283,224 |
| Expenditures By Object | | | | | |
| Personnel | 105,008,499 | 92,877,329 | 96,840,639 | 93,538,681 | 99,517,682 |
| Operating Supplies and Expenses | 48,807,171 | 33,785,247 | 44,417,197 | 54,987,895 | 62,458,687 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 5,634,155 | 14,071,082 | 26,981,963 | 25,005,420 | 33,884,283 |
| Subtotal: Operating Expenditures | \$159,449,825 | \$140,733,658 | \$168,239,799 | \$173,531,996 | \$195,860,652 |
| Capital Purchases and Equipment | 116,518,352 | 74,282,993 | 113,360,677 | 127,600,346 | 191,125,942 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 92,718,606 | 90,419,911 | 88,425,904 | 91,927,907 | 96,296,630 |
| Total Expenditures | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$393,060,249 | \$483,283,224 |
| Expenditures By Funds | | | | | |
| Federal Funds | 231,342,605 | 189,355,117 | 263,437,353 | 286,069,476 | 381,348,383 |
| Restricted Receipts | 1,451,818 | (160,669) | 1,447,246 | 1,450,000 | 1,500,000 |
| Other Funds | 135,892,360 | 116,242,114 | 105,141,781 | 105,540,773 | 100,434,841 |
| | \$368,686,783 | \$305,436,562 | \$370,026,380 | \$393,060,249 | \$483,283,224 |
| FTE Authorization | 786.7 | 773.7 | 729.2 | 691.2 | 780.2 |
| Agency Measures | | | | | |
| Minorities as a Percentage of the Workforce | 9.4% | 9.6% | 9.6% | 9.6% | 9.6% |
| Females as a Percentage of the Workforce | 20.7% | 20.6% | 20.6% | 20.6% | 20.8% |
| Persons with Disabilities as a Percentage of the Workforce | 1.3% | 1.2% | 1.2% | 1.2% | 0.9% |

The Program

Department of Transportation Central Management

Program Operations

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Legal Office is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefits programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the department handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation website: www.dot.state.ri.us.

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits

Program Objective

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

The Budget

Department of Transportation Central Management

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|--------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,939,992 | 3,005,171 | 2,233,462 | 2,221,957 | 2,186,112 |
| Operating Supplies and Expenses | 979,661 | 921,949 | 1,087,174 | 1,016,713 | 995,900 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 808,169 | 699,505 | 5,954,906 | 6,697,000 | 6,680,949 |
| Subtotal: Operating Expenditures | \$5,727,822 | \$4,626,625 | \$9,275,542 | \$9,935,670 | \$9,862,961 |
| Capital Purchases and Equipment | 2,998,108 | 4,165,656 | 10,012,239 | 4,680,500 | 9,246,310 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 710,307 | 178,886 | - | - | - |
| Total Expenditures | \$9,436,237 | \$8,971,167 | \$19,287,781 | \$14,616,170 | \$19,109,271 |
| Expenditures By Funds | | | | | |
| Federal Funds | 5,834,596 | 7,298,004 | 17,371,666 | 12,705,548 | 17,203,537 |
| Other Funds | 3,601,641 | 1,673,163 | 1,916,115 | 1,910,622 | 1,905,734 |
| Total Expenditures | \$9,436,237 | \$8,971,167 | \$19,287,781 | \$14,616,170 | \$19,109,271 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Transportation Management and Budget

Program Operations

The Management and Budget Program consists of seven functional units:

The Office of Contracts and Specifications develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours for engineering projects. Management Information Services (MIS) is responsible for the automated telephone system and computer related services which includes network communication, custom programming, software installation, computer purchases, inventory maintenance, on-line help desk, Geographic Informational Systems (GIS) data integration/mapping and the management of consultants developing custom applications. Financial Management is responsible for the preparation and processing of all fiscal materials. The Assistant Director serves as the Chief Financial Officer (CFO) with oversight over all financial transactions. The Office is charged with developing overall agency policies, guidelines, and procedures for budget administration, financial reporting, and financial management systems. Fleet Operations is responsible for development and enhancement of the department's vehicle fleet. Specific functions include continual monitoring and review of vehicle maintenance costs and records for improved vehicle history and analysis, and vehicle maintenance and inspections. Other functions include the supervision of the Vehicle & Safety Program. The Office of Business and Community Resources is charged with overseeing the department's role in all Disadvantaged Business Enterprise (DBE) programs, which includes supportive services, On-The-Job Training (OJT), the Transportation and Civil Engineering program (TRAC), and other educational, training, and outreach programs targeted to underrepresented groups in the Rhode Island Transportation Industry. External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments. The Federal Programs Section is chiefly responsible for managing all federally reimbursable costs attributed to the Highway Program and the Federal Highway Administration (FHWA). It also administers the annual Federal Highway Program as it relates to the Transportation Improvement Program (TIP).

Program Objective

To provide the necessary support staff and systems needed to ensure efficient program services.

Statutory History

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

The Budget

Department of Transportation Management and Budget

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|--------------------|-------------------|--------------------|--------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 3,173,502 | 899,400 | 2,189,170 | 1,198,287 | 1,122,157 |
| Operating Supplies and Expenses | 307,043 | (229,865) | (78,568) | 15,422 | (28,129) |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 92 | - | - | - | - |
| Subtotal: Operating Expenditures | \$3,480,637 | \$669,535 | \$2,110,602 | \$1,213,709 | \$1,094,028 |
| Capital Purchases and Equipment | (52,355) | 90,655 | 51,801 | 138,227 | 92,302 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | - | - | - | - | - |
| Total Expenditures | \$3,428,282 | \$760,190 | \$2,162,403 | \$1,351,936 | \$1,186,330 |
| Expenditures By Funds | | | | | |
| Other Funds | 3,428,282 | 760,190 | 2,162,403 | 1,351,936 | 1,186,330 |
| Total Expenditures | \$3,428,282 | \$760,190 | \$2,162,403 | \$1,351,936 | \$1,186,330 |
| Program Measures | NA | NA | NA | NA | NA |

The Program

Department of Transportation Infrastructure Engineering

Program Operations

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Traffic Management, Environmental and Intermodal Planning, and Capital Programming. Transportation Development is responsible for the development of projects, from initial scoping through construction and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section includes the Materials and Survey Units and is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million. The Materials Unit is responsible for testing and verifying that construction materials are in compliance with established standards. The Central Surveying functions include construction layout and the dissemination of survey information. The Engineering Section or Design is responsible for maintaining a comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds for the construction and reconstruction of Rhode Island's state-owned and maintained highways, bridges, intersections, and bicycle and pedestrian paths. Traffic Management is responsible for managing the department's database and information management systems as they relate to traffic research. The Environmental and Intermodal Planning Unit is charged with developing an integrated transportation system that provides convenient transition between mode shifts through the development and implementation of planning projects in the areas of rail, water, bicycle/pedestrian transportation, and transit. The unit is also responsible for strengthening the department's compliance efforts with respect to environmental issues. The Capital Programming Unit develops and monitors the Capital Transportation Program to meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP).

Program Objectives

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

The Budget

Department of Transportation Infrastructure Engineering

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------------------------------------------------------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 80,976,231 | 72,145,144 | 73,705,805 | 73,235,122 | 79,497,230 |
| Operating Supplies and Expenses | 31,197,083 | 13,080,067 | 22,675,956 | 27,677,037 | 41,388,970 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 4,690,215 | 13,321,327 | 20,881,081 | 17,861,420 | 26,752,834 |
| Subtotal: Operating Expenditures | \$116,863,529 | \$98,546,538 | \$117,262,842 | \$118,773,579 | \$147,639,034 |
| Capital Purchases and Equipment | 112,965,155 | 69,362,364 | 100,085,314 | 120,183,416 | 177,708,830 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 91,408,299 | 89,766,025 | 88,425,904 | 91,452,907 | 95,896,630 |
| Total Expenditures | \$321,236,983 | \$257,674,927 | \$305,774,060 | \$330,409,902 | \$421,244,494 |
| Expenditures By Funds | | | | | |
| Federal Funds | 225,508,009 | 182,057,113 | 246,065,687 | 273,363,928 | 364,144,846 |
| Restricted Receipts | 1,451,818 | (160,669) | 1,447,246 | 1,450,000 | 1,500,000 |
| Other Funds | 94,277,156 | 75,778,483 | 58,261,127 | 55,595,974 | 55,599,648 |
| Total Expenditures | \$321,236,983 | \$257,674,927 | \$305,774,060 | \$330,409,902 | \$421,244,494 |
| Program Measures | | | | | |
| Vehicle Crash Fatalities per 100 Million Vehicle Miles Traveled | 0.94 | 0.80 | 0.80 | 0.80 | 0.80 |
| Linear Feet of State Sidewalk Retrofitted to Conform to Americans with Disabilities Act Regulations | 35,000 | 33,450 | 25,000 | 25,000 | 15,000 |
| Vehicle Crash Injuries per 100 Million Vehicle Miles Traveled | 15.31 | 8.4 | 8.4 | 8.4 | 8.4 |
| Percentage of State Roadways and Sidewalks Swept Annually | 100.0% | 100.0% | 100.0% | 100.0% | 100.0% |
| Percentage of State Roadway Miles Whose Pavement is Rated Good or Excellent | 46.0% | 41.0% | 40.0% | 40.0% | 39.0% |
| Number of Rhode Island Bridges Over 20 Feet Listed as Structurally Deficient | 20.3% | 21.2% | 21.5% | 21.5% | 21.8% |

The Program

Department of Transportation Infrastructure Maintenance

Program Operations

The Division of Maintenance is responsible for the routine maintenance of approximately 3,300 lane miles of state highways, 1,153 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Employee Relations Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Employee Relations Office is responsible for all personnel matters of the Highway and Bridge Maintenance Section including, but not limited to, labor relations, Workers' Compensation, and training. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, contract preparation, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

Program Objectives

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles.
R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads.
R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

The Budget

Department of Transportation Infrastructure Maintenance

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Enacted | FY 2009 Revised | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures By Object | | | | | |
| Personnel | 16,918,774 | 16,827,614 | 18,712,202 | 16,883,315 | 16,712,183 |
| Operating Supplies and Expenses | 16,323,384 | 20,013,096 | 20,732,635 | 26,278,723 | 20,101,946 |
| Aid To Local Units Of Government | - | - | - | - | - |
| Assistance, Grants and Benefits | 135,679 | 50,250 | 145,976 | 447,000 | 450,500 |
| Subtotal: Operating Expenditures | \$33,377,837 | \$36,890,960 | \$39,590,813 | \$43,609,038 | \$37,264,629 |
| Capital Purchases and Equipment | 607,444 | 664,318 | 3,211,323 | 2,598,203 | 4,078,500 |
| Debt Service | - | - | - | - | - |
| Operating Transfers | 600,000 | 475,000 | - | 475,000 | 400,000 |
| Total Expenditures | \$34,585,281 | \$38,030,278 | \$42,802,136 | \$46,682,241 | \$41,743,129 |
| Expenditures By Funds | | | | | |
| Other Funds | 34,585,281 | 38,030,278 | 42,802,136 | 46,682,241 | 41,743,129 |
| Total Expenditures | \$34,585,281 | \$38,030,278 | \$42,802,136 | \$46,682,241 | \$41,743,129 |
| Program Measures | NA | NA | NA | NA | NA |

Quasi-Public Agencies

Rhode Island Airport Corporation
Capital Center Commission
Rhode Island Clean Water Finance Agency
Rhode Island Convention Center Authority
Rhode Island Economic Development Corporation
Rhode Island Health and Educational Building Corporation
Rhode Island Housing and Mortgage Finance Corporation
Housing Resources Commission
Rhode Island Industrial Facilities Corporation
Rhode Island Industrial-Recreational Building Authority
Narragansett Bay Commission
Rhode Island Public Transit Authority
Quonset Development Corporation
Rhode Island Refunding Bond Authority
Rhode Island Resource Recovery Corporation
Rhode Island Student Loan Authority
Rhode Island Turnpike and Bridge Authority
Rhode Island Water Resources Board Corporate

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements based on the following table:

| Program | FAA portion | Corporation portion |
|---------------------------|--------------------|----------------------------|
| Land Acquisition | 80% | 20% |
| T.F. Green projects | 75% | 25% |
| General Aviation Airports | 95% | 5% |

The Agency

Rhode Island Airport Corporation

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The only "State" funds utilized by the Corporation are general obligation bonds which were issued by the State of Rhode Island for airport related projects. The debt service on these general obligation bonds is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Budget

Rhode Island Airport Corporation

| | FY 2007 Actual | FY 2008 Budget | FY 2009 Budget | FY 2010 Recommended |
|-----------------------------------------|---------------------|---------------------|---------------------|------------------------|
| Revenue: (T.F. Green) | | | | |
| Landing Fees | 12,503,375 | 11,146,053 | 11,361,570 | 11,361,600 |
| Fuel Flowage Fees | 982,605 | 1,116,263 | 1,049,835 | 1,076,100 |
| Tiedown & Hanger Fees | 1,006,571 | 1,088,589 | 1,197,153 | 1,233,100 |
| Aircraft Registration | 24,380 | 22,860 | 25,000 | 25,000 |
| Concessions | 2,454,239 | 3,030,848 | 3,049,362 | 3,201,800 |
| Miscellaneous Revenues | 454,116 | 368,575 | 413,000 | 425,400 |
| Utilities Reimbursement | 502,744 | 359,097 | 358,200 | 368,900 |
| Airline Equipment Charge | 573,132 | 577,176 | 575,988 | 576,000 |
| Terminal Rent-Airlines | 7,314,578 | 11,354,340 | 11,489,970 | 11,490,000 |
| Terminal Rent-Non Airlines | 834,489 | 1,070,517 | 893,782 | 920,600 |
| Automobile Parking | 11,335,509 | 14,020,058 | 16,160,980 | 16,565,000 |
| Rental Car Parking | 6,539,947 | 6,891,582 | 6,803,443 | 6,973,500 |
| Off Airport Courtesy Fees | 829,340 | 701,633 | 631,900 | 673,000 |
| Bad Debt Expenses | 910,288 | 473,025 | - | - |
| Audit & Finance Charge | 74,991 | 884,489 | - | - |
| Federal Grants - FAA | 151,000 | 150,500 | 150,500 | 150,500 |
| Airport Support Fund - Revenue A65 | 619,419 | 635,573 | 619,419 | 630,000 |
| Total Revenue | \$47,110,723 | \$53,891,178 | \$54,780,102 | \$55,670,500 |
| Personnel Expenses: (T.F. Green) | | | | |
| Payroll | 11,771,831 | 12,628,178 | 13,471,832 | 13,808,600 |
| Payroll - Overtime | 898,476 | 882,363 | 742,081 | 760,600 |
| Snow Removal Overtime | 65,049 | 190,746 | 218,347 | 223,800 |
| Overtime-Holiday | 355,630 | 335,857 | 506,255 | 518,900 |
| Unemployment Compensation | 17,354 | 12,418 | - | - |
| Employee Retirement | 806,756 | 920,601 | 1,005,972 | 1,031,100 |
| FICA Tax | 962,210 | 998,276 | 1,067,659 | 1,094,400 |
| Long Term Disability & Life Insurance | 165,911 | 190,538 | 222,936 | 229,600 |
| Workers' Comp Insurance | 314,170 | 308,265 | 419,512 | 432,100 |
| Health Insurance | 1,930,183 | 1,914,948 | 2,148,700 | 2,256,100 |
| Total Personnel Expenses | \$17,287,570 | \$18,382,190 | \$19,803,294 | \$20,355,200 |
| Total Expenses - Operating | 11,548,728 | 12,818,260 | 14,928,472 | 15,270,700 |
| Total Expenditures | \$28,836,298 | \$31,200,450 | \$34,731,766 | \$35,625,900 |
| Net Income from Operations | \$18,274,425 | \$22,690,728 | \$20,048,336 | \$20,044,600 |

The Budget

Rhode Island Airport Corporation

| | FY 2007 Actual | FY 2008 Budget | FY 2009 Budget | FY 2010 Recommended |
|---------------------------------------------------------|----------------------|----------------------|---------------------|------------------------|
| Outlying Airports | | | | |
| Revenues | 1,623,743 | 1,563,187 | 2,291,304 | 2,428,800 |
| Payroll Expenses | (1,416,667) | (1,513,380) | (1,730,670) | (1,782,600) |
| Operating Expenses | (1,073,476) | (1,274,334) | (1,151,736) | (1,186,300) |
| Airport Management Fee | (154,126) | (159,761) | (151,000) | (164,700) |
| Net Gain (Loss) Outlying Airport | (\$1,020,526) | (\$1,384,288) | (\$742,102) | (\$704,800) |
| Depreciation & Amortization | 15,725,115 | 16,636,180 | 19,250,000 | 19,250,000 |
| Net Income(Loss) After Depreciation and Amortization | \$1,528,784 | \$4,670,260 | \$56,234 | \$89,800 |
| Other Income & Expenses | | | | |
| Interest Income | 4,053,547 | 2,338,873 | 1,228,935 | 2,400,000 |
| Interest Expense | (166,708) | (134,043) | (250,000) | (150,000) |
| Interest Expense - All Bonds | (11,538,395) | (13,416,112) | (13,392,337) | (12,867,600) |
| Gain (Loss) on Sale of Assets | 102,324 | 19,773 | - | - |
| Miscellaneous Income (Expense) | (6,061,569) | 1,658,821 | - | - |
| Interest Income - CFC | 1,296,350 | 1,155,764 | 761,600 | 527,000 |
| Customer Facility Charge (CFC) | 4,860,732 | 6,211,325 | 5,673,805 | 5,787,300 |
| Interest Income - PFC | 1,233,611 | 523,911 | 81,600 | 467,500 |
| Passenger Facility Charge | 10,039,836 | 10,146,124 | 10,319,538 | 10,525,900 |
| Federal Grants - FAA | 25,497,672 | 11,879,407 | 18,110,029 | 15,838,205 |
| Federal Grants-TSA | 8,843 | - | - | - |
| Miscellaneous Grants & Contributions | 9,978 | 18,810,950 | - | - |
| Noise Mitigation Program | - | - | - | - |
| Land Acquisition Program | (14,885,072) | (7,462,406) | (9,067,109) | (5,000,000) |
| Total Non-Operating Income & Exp | \$14,451,149 | \$31,732,387 | \$13,466,061 | 17,528,305 |
| Net Income | \$15,979,933 | \$36,402,647 | \$13,522,295 | \$17,618,105 |

(a) The information presented for FY 2010 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

The Agency

Capital Center Commission

Agency Operations

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Economic Development Corporation, the City of Providence, and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

| | FY 2007 Actual | FY 2008 Unaudited | FY 2009 Budgeted | FY 2010 Projected |
|-----------------------------------|-------------------|----------------------|---------------------|----------------------|
| Operating Revenues | | | | |
| State Grants | 50,000 | 38,555 | 18,028 | 18,028 |
| City Grants | 50,000 | 35,000 | 35,000 | 35,000 |
| Management Fees | 2,500 | 40,520 | - | 45,000 |
| Total Operating Revenues | \$102,500 | \$114,075 | \$53,028 | \$98,028 |
| Expenditures | | | | |
| Salaries | 83,827 | 86,260 | 85,790 | 88,364 |
| Fringes | 15,291 | 15,269 | 16,000 | 16,480 |
| Rent and Utilities | 14,796 | 14,575 | 16,000 | 16,480 |
| Telephone | 1,309 | 240 | 1,400 | 1,442 |
| Print/Supplies | 1,535 | 846 | 1,500 | 1,545 |
| Postage | 1,414 | 1,416 | 2,000 | 2,060 |
| Meetings | 1,827 | 1,721 | 2,000 | 2,060 |
| Miscellaneous | 717 | 826 | 1,500 | 1,545 |
| Travel/Prof. Dev. | 1,174 | 631 | 3,000 | 3,090 |
| Dues, Ins. Subscriptions | 2,763 | 2,672 | 2,700 | 2,781 |
| Consultants | - | - | 2,500 | 2,575 |
| Legal & Audit Fees | 8,002 | 6,593 | 11,500 | 11,845 |
| Total | \$132,655 | \$131,049 | \$145,890 | \$150,267 |
| Less Non Operating Revenue | | | | |
| Interest Income | 149 | 112 | - | - |
| Net Income(Loss) | (\$30,006) | (\$16,862) | (\$92,862) | (\$52,239) |

FY 2008 are unaudited figures. Budget numbers for FY 2010 are preliminary and have not been approved or reviewed by the Capital Center Commission.

The Agency

Rhode Island Clean Water Finance Agency

Agency Operations

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

Agency Objectives

The objective of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF.

The Budget

Rhode Island Clean Water Finance Agency

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended ⁽²⁾ |
|---------------------------------------|---------------------|---------------------|---------------------|---------------------------------------|
| Revenue | | | | |
| Interest and Investment Income | 25,848,415 | 27,747,429 | 28,182,176 | 29,309,463 |
| Operating Grant Income | 2,122,961 | 2,176,339 | 2,268,000 | 2,313,360 |
| Loan Service Fees ⁽¹⁾ | 3,405,277 | 3,654,305 | 3,850,122 | 4,004,127 |
| Other Revenue | 145,978 | 162,593 | 99,000 | 108,900 |
| Total Revenues | \$31,522,631 | \$33,740,666 | \$34,399,298 | \$35,735,850 |
| Operating Expenses | | | | |
| Interest and Finance Expenses | 24,891,133 | 26,380,533 | 29,393,611 | 31,451,164 |
| Administrative Expenses | 1,135,863 | 1,244,973 | 968,515 | 1,016,941 |
| Administrative Fees - DEM | 245,005 | 249,817 | 300,000 | 300,000 |
| Administrative Fees - DOH | 115,511 | 135,275 | 150,000 | 155,000 |
| DOH Set-Aside Programs | 1,762,445 | 1,791,247 | 1,818,000 | 2,050,000 |
| Total Operating Expenses | \$28,149,957 | \$29,801,845 | \$32,630,126 | \$34,973,105 |
| Other Revenues (Expenses) | | | | |
| Federal & State Capitalization Grants | 15,014,510 | 10,903,908 | 18,000,253 | 16,560,233 |
| Gain (Loss) Sale of Assets | - | - | - | - |
| Excess Revenues over Expenses | \$18,387,184 | \$14,842,729 | \$19,769,425 | \$17,322,978 |

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2010 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government. The Authority is governed by a Board of Directors which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

A "phased" approach for each entity of the complex occurred as follows: North Parking Facility: December 5, 1992, Convention Center and South Parking Facilities: December 2, 1993 and the Westin Hotel: December, 1994. The authority has operated its facilities with various management contracts since inception. During FY 2005 and FY 2006, major changes occurred altering the future direction and scope for the authority, the Westin Hotel sale in April, 2005 and the authority's acquisition of the Dunkin' Donuts Center from the City of Providence in December 2005.

Official renovation of the Dunkin' Donuts Center commenced in the summer of 2006. Predominant activity during the first phase of the project included the following: ceiling painting, scoreboard replacement, ice chiller replacement, asbestos abatement, and selective demolition in interior and exterior areas. Phase 2 of the project commenced in May 2007 and included fire safety renovations, restrooms, locker rooms and dressing room renovations. The Center re-opened after this major phase in November of 2007, with ongoing renovations continuing while the center continued in full operation.

Agency Objectives

Manage and operate the convention center complex, parking facilities and continue renovation and ongoing operation of newly acquired Dunkin' Donuts Civic Center. Future potential plans under investigation by the Authority's Board include the acquisition of the Veteran's Memorial Auditorium, which will complement the current assets of the Authority.

The Budget

Rhode Island Convention Center Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|---------------------------------------|------------------------|------------------------|------------------------|------------------------|
| Resources | | | | |
| Opening Cash Balances | \$ 1,379,449 | \$ 827,493 | \$ 965,134 | \$ 421,424 |
| Operations | 18,430,461 | 18,902,843 | 20,419,407 | 23,041,127 |
| Investment Income and Swap Savings | (58,227) | 3,571 | (1,098,744) | 111,800 |
| Westin Hotel Room Tax | 269,495 | 299,729 | 326,851 | 309,000 |
| Net Bank Transfers | 64,771 | 772,330 | 1,114,050 | 150,000 |
| Total Resources | \$ 20,085,949 | \$ 20,805,966 | \$ 21,726,699 | \$24,033,351 |
| Expenditures | | | | |
| Convention Center Authority | 2,539,390 | 5,781,522 | 5,413,295 | 4,332,414 |
| Convention Center Management | 12,584,969 | 12,754,970 | 11,284,412 | 12,210,288 |
| Dunkin Donuts Center | 5,634,097 | 5,303,439 | 7,607,568 | 8,281,181 |
| Dunkin Donuts Center Renovation | - | 8,400,000 | 4,000,000 | - |
| Subtotal Operations | \$20,758,456 | \$32,239,931 | \$28,305,275 | \$24,823,883 |
| Debt Service ¹ | 20,147,025 | 16,355,066 | \$16,231,813 | \$16,241,228 |
| Dunkin Donuts Center Debt Service | - | 6,906,436 | 6,906,654 | 6,906,665 |
| Renewal and Replacement - Conv. Ctr. | - | - | - | 1,749,468 |
| Renewal and Replacement - D.D. Center | - | - | - | 460,000 |
| Grand Total Expenditures | \$40,905,481 | \$55,501,433 | \$51,443,742 | \$50,181,244 |
| Balance from Operations | \$ (20,819,532) | \$ (34,695,468) | \$ (29,717,043) | (\$26,147,893) |
| State Appropriation | 21,647,025 | 27,260,602 | 26,138,467 | 26,147,893 |
| Dunkin Donuts Center Renovation | - | 8,400,000 | 4,000,000 | - |
| Final Cash Balances | \$ 827,493 | \$ 965,134 | \$ 421,424 | \$ (0) |

The information presented above is based upon cash flow data provided by the authority and has not been approved by the authority's Board of Directors.

¹ Bonds are being issued to refund outstanding 2001 Series A variable rate refunding revenue bonds and to finance associated SWAP termination payments.

The Agency

Rhode Island Economic Development Corporation

Agency Operations

The Rhode Island Economic Development Corporation consolidates all economic development activities of the State of Rhode Island into one entity to enhance service delivery, performance, and accountability.

The Rhode Island Economic Development Corporation's Board of Directors consists of eight members, including the Governor, who serves as chair. The Governor appoints all seven members. The board oversees the implementation of all state-level economic development programs. Effective January 1, 2005, corporate governance for the Quonset Point/Davisville Industrial Park in North Kingstown was transferred to the Board of Directors of the newly created Quonset Development Corporation.

Effective July 1, 2008, the Corporation will provide staff support to the Economic Policy Council.

Agency Objectives

The Rhode Island Economic Development Corporation works in partnership with the business community to enhance Rhode Island's business climate; provides direct assistance to Rhode Island businesses to retain and add jobs; identifies and works to attract new businesses and investment to Rhode Island; and serves as an advocate for economic development in Rhode Island.

The Rhode Island Economic Development Corporation's economic growth plan involves a targeted calling program by Business Development professionals with specific expertise in industries that pay higher wages and enable workers to move from lower to higher-wage positions. Specific industries targeted include: (1) Information technology and Digital Media; (2) Marine trades and Defense Technology; (3) Financial Services; (4) Advanced Manufacturing and Industrial Products; (5) Health and Life Sciences; and (6) Consumer Products and Design.

Statutory History

The Corporation was created in 1995 by Title 42, Chapters 43 and 64, of the Rhode Island General Laws, replacing the former Department of Economic Development and the Rhode Island Port Authority.

The Budget

Rhode Island Economic Development Corporation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------|---------------------|---------------------|---------------------|------------------------|
| Estimated Opening Balance Revenues: | - | \$98,746 | \$356,748 | \$17,973 |
| Resources | | | | |
| State Appropriation | 6,030,487 | 5,994,050 | 5,098,487 | 5,098,487 |
| Legislative Grants | 1,753,787 | 1,460,634 | 730,320 | 730,320 |
| Airport Impact Aid | 1,000,000 | 1,000,754 | 1,000,754 | 1,025,000 |
| Science and Technology Council | 100,000 | 185,392 | 100,000 | 100,000 |
| STAC Research Alliance (EPScore) | 1,500,000 | 1,458,762 | 1,500,000 | 1,500,000 |
| Business Innovation Factory | 100,000 | 100,000 | 100,000 | 100,000 |
| Grants - HRIC | 16,667 | - | - | - |
| Grants - Department of Education | 125,000 | 125,000 | 125,000 | 125,000 |
| Slater Centers of Excellence | 3,000,000 | 2,919,000 | 3,000,000 | 3,000,000 |
| Subtotal | \$13,625,941 | \$13,243,592 | \$11,654,561 | \$11,678,807 |
| Revenue from Federal Government | | | | |
| Grants (Procurement) | 212,422 | 131,939 | 130,000 | 130,000 |
| Subtotal | \$212,422 | \$131,939 | \$130,000 | \$130,000 |
| Revenues from Operations | | | | |
| RI Industrial Facilities Corp. | 150,000 | - | - | - |
| Other Income | 125,839 | 289,755 | 120,000 | 120,000 |
| Finance Program Allocation ¹ | 288,818 | 469,645 | 486,000 | 486,000 |
| Welcome Center (DOT) | 600,000 | 475,000 | 475,000 | 400,000 |
| QDC Allocation | 600,000 | 267,500 | 150,000 | 150,000 |
| Subtotal | \$1,764,657 | \$1,501,900 | \$1,231,000 | \$1,156,000 |
| Total Resources | 15,603,020 | \$14,976,177 | \$13,372,309 | \$12,982,780 |
| Expenditures | | | | |
| Personnel Expenses | 4,400,000 | 4,062,958 | 3,884,082 | 3,723,681 |
| Operating Expenses | 3,050,487 | 2,928,603 | 2,564,180 | 2,403,779 |
| Legislative/EDC Grants | 1,753,787 | 1,460,634 | 730,320 | 730,320 |
| Airport Impact Aid | 1,000,000 | 1,000,754 | 1,000,754 | 1,025,000 |
| Science and Technology Council | 100,000 | 185,392 | 100,000 | 100,000 |
| STAC Research Alliance (EPScore) | 1,500,000 | 1,458,762 | 1,500,000 | 1,500,000 |
| Business Innovation Factory | 100,000 | 100,000 | 100,000 | 100,000 |
| Slater Centers of Excellence | 3,000,000 | 2,919,000 | 3,000,000 | 3,000,000 |
| Welcome Center | 600,000 | 503,326 | 475,000 | 400,000 |
| Total Expenditures | \$15,504,274 | \$14,619,429 | \$13,354,336 | \$12,982,780 |
| Closing Balance | \$98,746 | \$356,748 | \$17,973 | \$0 |

The information presented above was provided by the entity, and in most cases, the data provided for FY 2010 has not been approved by the Board of Directors.

¹ Includes funding from the Small Business Loan Fund, the Renewable Energy Fund and the Industrial-Recreational Building Authority for staff support.

The Agency

Rhode Island Health and Educational Building Corporation

Agency Operations

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$1 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

Agency Objectives

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Projected | FY 2010 Proposed |
|------------------------------|---------------------------|---------------------------|------------------------------|-----------------------------|
| Expenditure by Object | | | | |
| Personnel | 384,905 | 379,590 | 481,980 | 510,725 |
| Other Operating Expenditures | 383,629 | 345,244 | 362,600 | 325,600 |
| Financing Services | 799,936 | 760,944 | 555,000 | 600,000 |
| Grants | 269,353 | 390,000 | 260,000 | 215,000 |
| Transfer to State | - | - | 1,100,000 | 1,000,000 |
| Total Expenditures | \$1,837,823 | \$1,875,778 | \$2,759,580 | \$2,651,325 |
| Expenditures by Fund | | | | |
| Personnel | 384,905 | 379,590 | 481,980 | 510,725 |
| Other Operating Expenditures | 383,629 | 345,244 | 362,600 | 325,600 |
| Financing Services | 799,936 | 760,944 | 555,000 | 600,000 |
| Grants | 269,353 | 390,000 | 260,000 | 215,000 |
| Transfer to State | - | - | 1,100,000 | 1,000,000 |
| Total Expenditures | \$1,837,823 | \$1,875,778 | \$2,759,580 | \$2,651,325 |

The information presented above was provided by the entity, and in most cases, the data provided for FY 2010 has not been approved by the Board of Directors.

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Operations

The Rhode Island Housing and Mortgage Finance Corporation (the "Corporation") is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator.

The Corporation has the power to issue negotiable notes and bonds to achieve its corporate purpose. The notes and bonds do not constitute a debt of the State of Rhode Island and the State is not liable for the repayment of such obligations.

Agency Objectives

To provide, improve and expand housing and housing related services to, and address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Budgeted | FY 2010 Forecast |
|-------------------------------|---------------------------|---------------------------|-----------------------------|-----------------------------|
| Expenditure Report | | | | |
| Personnel Services | 11,744,831 | 12,810,800 | 13,871,000 | 14,500,000 |
| Other Administrative Expenses | 4,666,470 | 4,596,736 | 4,791,000 | 4,800,000 |
| Programmatic Expenses | 7,140,140 | 8,361,782 | 8,829,000 | 9,000,000 |
| Provision for Loan Loss | 197,120 | 3,204,948 | 3,000,000 | 4,000,000 |
| Arbitrage Rebate | 519,690 | 892,454 | 1,000,000 | 1,000,000 |
| Amortization and Depreciation | 1,786,450 | 1,851,337 | 1,700,000 | 1,500,000 |
| Total | \$26,054,701 | \$31,718,057 | \$33,191,000 | \$34,800,000 |

The information for FY 2010 has not been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation Board and is subject to change pending review of the Corporation.

The Agency

Housing Resources Commission

Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 with responsibility for establishing housing policy, planning and coordination for the State of Rhode Island. Funding was provided to establish a lead hazardous reduction program in conjunction with Rhode Island Housing. With the passage of the Lead Hazard Mitigation Act, the Commission's responsibilities included implementation of that law. Additional responsibility was given to the Commission with the passage of the Comprehensive Housing and Rehabilitation Act of 2004. This law mandated the development of a strategic housing plan and ongoing tracking of 29 local affordable housing plans.

The Commission administers the Neighborhood Opportunities Program, a housing production program that creates homes for individuals and families with very low incomes and/or disabilities, leveraging millions of dollars of federal and private funds. Coordination and funding of the state's homeless service system is also a major function of the Commission. With the passage of the Building Homes Rhode Island Bond in November 2006, the Commission assumed responsibility for the program with administrative assistance from Rhode Island Housing. Regulations, an application, distribution process and contracts were developed and the Commission will disburse \$12.5 million annually for this program. The Commission is responsible for program development and implementation of homeless facilities and resources to respond to those seeking shelter including those who have been discharged from the Department of Corrections, aged out of foster care and lost housing due to foreclosures of multi-family housing.

The Commission consists of the Office of Policy and Planning; the Office of Program Performance and Evaluation; the Office of Homelessness and Emergency Assistance; the Office of Community Development and Technical Assistance and the Office of Homeownership.

Agency Objectives

The objectives are to promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law. The Commission coordinates activities among state agencies and political subdivisions pertaining to housing and promotes quality of life within communities. We accomplished this by providing opportunities for safe, healthy, and affordable housing and economic development for all Rhode Islanders through encouraging public-private partnerships, fostering support for nonprofit organizations, and distributing funding for housing, shelter, and community development.

Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission has 27 members, both ex-officio and appointed by the Governor representing a cross section of people working on housing issues. Amendments enacted during the 2008 legislative session give two additional responsibilities to the Commission: operation of a supportive service program and administration of an expedited permitting process for affordable housing.

The Budget

Housing Resources Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------|---------------------|-------------------|--------------------|------------------------|
| Expenditure by Object | | | | |
| Administrative Expenses | 256,774 | 106,000 | 106,000 | 106,000 |
| Assistance, Grants, Benefits | 2,897,600 | 2,005,410 | 2,064,000 | 2,064,000 |
| Capital (NOP) | 7,500,000 | - | 5,000,000 | - |
| Capital (Building Homes RI) ⁽¹⁾ | - | 12,500,000 | 12,500,000 | 12,500,000 |
| Total Operating Expenses | 10,654,374 | 14,611,410 | 19,670,000 | 14,670,000 |
| Expenditure by Funds | | | | |
| State General Revenues | 2,951,423 | 2,111,410 | 2,170,000 | 2,170,000 |
| Federal Grants | 202,951 | - | - | - |
| NOP | 7,500,000 | - | 5,000,000 | - |
| G.O. Bond Proceeds - Building Homes | - | 12,500,000 | 12,500,000 | 12,500,000 |
| Total Expenditures | \$10,654,374 | 14,611,410 | 19,670,000 | 14,670,000 |
| Closing Balance | \$0 | \$0 | \$0 | \$0 |

⁽¹⁾ Reflects State General Obligation bond proceeds also reflected in the State's Capital Budget.

The Agency

Rhode Island Industrial Facilities Corporation

Agency Operations

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island in accordance with Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on the behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Agency Objectives

The corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

| | FY 2007 Actual | FY 2008 Pre-Audit | FY 2009 Revised | FY 2010 Recommended |
|------------------------|-------------------|----------------------|--------------------|------------------------|
| Receipts | | | | |
| Bond Fees | 113,595 | 110,907 | 100,000 | 100,000 |
| Other | 4,551 | 5,152 | 5,000 | 5,000 |
| Interest | 28,003 | 19,012 | 20,000 | 20,000 |
| Recovery of Bad Debt | 18 | 4,000 | - | - |
| Total | \$146,167 | \$139,071 | \$125,000 | \$125,000 |
| Expenses | | | | |
| Administration | - | 61,801 | 82,400 | 84,900 |
| Insurance | 15,750 | 16,535 | 16,535 | 20,000 |
| Legal and Audit | 15,887 | 19,930 | 20,000 | 20,000 |
| Other | - | 10,303 | - | - |
| Total | 31,637 | \$108,569 | \$118,935 | \$124,900 |
| Net Gain/(Loss) | \$114,530 | \$30,502 | \$6,065 | \$100 |

The information was provided by the entity, and the data provided for FY 2009 was approved by the Board on October 2, 2008

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Operations

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. The Rhode Island Industrial-Recreational Building Authority, as required by statute, maintains a first security position in all projects. The total amount of mortgage insurance issued cannot exceed \$80,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Agency Objectives

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

| | FY 2007 Audited | FY 2008 Pre-Audit | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------|--------------------|----------------------|--------------------|------------------------|
| Receipts: | | | | |
| Premiums | 300,771 | 181,576 | 180,000 | 170,000 |
| Interest | 72,214 | 76,481 | 75,000 | 75,000 |
| Rent | 75,000 | 70,420 | 75,000 | 75,000 |
| Other | - | 9,240 | 5,000 | 5,000 |
| Recovery of Bad Debt | 349,970 | 20,000 | - | - |
| Total Receipts | \$797,955 | \$357,717 | \$335,000 | \$325,000 |
| Expenses: | | | | |
| Administration | - | 61,801 | 82,400 | 84,900 |
| Legal | 51,999 | 41,631 | 40,000 | 40,000 |
| Insurance | 27,616 | 28,995 | 20,725 | 25,000 |
| Interest Expense | - | - | - | - |
| Other | 14,286 | 24,578 | 20,000 | 20,000 |
| Total Expenses | \$93,901 | \$157,005 | \$163,125 | \$169,900 |
| Operating Income (Loss) | \$704,054 | \$200,712 | \$171,875 | \$155,100 |
| Est. Loss-Default | - | - | - | - |
| Net | \$704,054 | \$200,712 | \$171,875 | \$155,100 |

The information was provided by the entity, and the data provided for FY 2010 was approved by the Board on September 19, 2008.

The Agency

Narragansett Bay Commission

Agency Operations

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 64 combined sewer overflows, 32 tide gates and 7 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,900 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$356 million five-year capital improvement budget for fiscal years 2010-2014. The NBC is governed by a 19-member Board and is organized into four divisions: the Executive, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC has been charged with the responsibility to reduce the amount of combined sewer overflows to local waterways within its service area.

Agency Objectives

The Narragansett Bay Commission's primary objective is to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal guidelines, thereby safeguarding the health and safety of the citizens of Rhode Island and protecting their environment.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Budget | FY 2010 Projected |
|----------------------------------------|---------------------|---------------------|---------------------|----------------------|
| Expenditures by Object | | | | |
| Personnel | 16,043,809 | 17,052,860 | 18,499,033 | 19,238,994 |
| Operating Supplies & Expenses | 7,176,472 | 7,294,095 | 8,598,488 | 8,942,428 |
| Special Services | 6,474,726 | 7,214,382 | 7,829,034 | 8,142,195 |
| Subtotal Operating Expenditures | \$29,695,007 | \$31,561,337 | \$34,926,555 | \$36,323,617 |
| Capital Outlays | 1,928,744 | 1,746,443 | 1,871,466 | 2,000,000 |
| Debt Service | 24,452,842 | 29,947,152 | 31,258,664 | 32,534,488 |
| Total Expenditures | \$56,076,593 | \$63,254,932 | \$68,056,685 | \$70,858,105 |
| Expenditures by Funds | | | | |
| NBC User Fees/Misc Revenues | | | | |
| Personnel | 16,043,809 | 17,052,860 | 18,499,033 | 19,238,994 |
| Operating Supplies & Expenses | 7,176,472 | 7,294,095 | 8,598,488 | 8,942,428 |
| Special Services | 6,474,726 | 7,214,382 | 7,829,034 | 8,142,195 |
| Capital Outlays | 1,928,744 | 1,746,443 | 1,871,466 | 2,000,000 |
| Debt Service | 24,452,842 | 29,947,152 | 31,258,664 | 32,534,488 |
| Total Expenditures | \$56,076,593 | \$63,254,932 | \$68,056,685 | \$70,858,105 |

* The information presented for FY 2010 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

Sources:

FY 2007 Actuals taken from the audited financial statements.

FY 2008 Actuals taken from the audited financial statements.

FY 2009 taken from NBC's approved budget.

The Agency

Rhode Island Public Transit Authority

Agency Operations

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program and the high-speed ferry demonstration project from Providence to Newport. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 258 buses operated and maintained by 690 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 140 vans currently operated by 3 carriers. In FY 2008, more than 24.6 million passengers were carried on RIPTA's fixed-route bus service and an additional 670,429 passengers were transported on the state's coordinated paratransit service.

Agency Objectives

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised ⁽¹⁾ | FY 2010 Recommend ⁽²⁾ |
|-----------------------------------|---------------------|----------------------|-----------------------------------|-------------------------------------|
| Opening Balance | - | - | (\$1,580,092) | (\$1,306,289) |
| Revenue | | | | |
| Passenger Revenue ⁽³⁾ | 24,916,396 | 25,928,004 | 25,904,688 | 20,817,041 |
| Special Revenue | 573,832 | 588,464 | 950,791 | 906,185 |
| Other Revenue | 13,629,479 | 10,489,394 | 10,832,213 | 11,120,609 |
| State Subsidy - Gasoline Tax | 34,108,373 | 32,724,644 | 32,443,750 | 32,443,750 |
| Department of Elderly Affairs | 1,203,614 | 1,101,054 | 1,157,700 | 1,035,250 |
| Underground Storage Tank Transfer | - | - | 2,237,500 | 2,237,500 |
| Federal Subsidy | 12,784,607 | 20,601,872 | 21,474,722 | 19,539,063 |
| Total Revenue | \$87,216,301 | \$91,433,432 | \$95,001,364 | \$88,099,398 |
| Expenses | | | | |
| Salaries and Wages | 40,764,683 | 42,714,918 | 42,428,399 | 43,619,074 |
| Employee Benefits | 20,196,502 | 21,148,555 | 22,428,426 | 22,764,071 |
| Special Services | 1,073,671 | 1,326,759 | 1,230,801 | 1,314,776 |
| Operating Expenses ⁽⁴⁾ | 24,377,540 | 27,823,292 | 28,639,935 | 30,590,232 |
| Total Expenses: | \$86,412,396 | \$93,013,524 | \$94,727,561 | \$98,288,153 |
| Closing Surplus/(Deficit): | \$803,905 | (\$1,580,092) | (\$1,306,289) | (\$11,495,044) |

⁽¹⁾ RIPTA Board of Directors reviewed the revised budget which reflects a reduced deficit of \$1.3 million. The Board of Directors' approval of this revision is dependent upon approval by the General Assembly of the dedication of one half cent of the UST penny to RIPTA included in the Governor's FY 2009 revised budget. This is the first year the Board required RIPTA to begin carrying forward prior year balances.

⁽²⁾ Reflects modifications contained in the Governor's recommended budget including dedication one half cent of UST penny to RIPTA. Does not reflect any lowering of operating expenses for current fuel costs. Board expects to finalize the budget in late February.

⁽³⁾ Passenger revenue includes funds paid by state agencies for bus passes and Ride services. In FY 2010, the \$5.2 million general revenue funded grant received from DHS in FY 2009 is not recommended.

⁽⁴⁾ Includes Debt service payable on general obligation bonds.

Sources:

FY 2007 and FY 2008 Actuals taken from the audited financial statements

FY 2009 Approved and FY 2010 Proposed Budget has been approved by RIPTA Board of Directors.

The Agency

Quonset Development Corporation

Agency Operations

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the RI Economic Development Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Agency Objectives

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.9 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Economic Development Corporation.

The Budget

Quonset Development Corporation

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------|--------------------|--------------------|--------------------|------------------------|
| Estimated Opening Balance Revenues: | \$0 | (\$2,713) | \$4,347 | \$11,023 |
| Revenues from Operations | | | | |
| Rental Income | 4,824,260 | 4,102,801 | 3,711,759 | 4,053,102 |
| Pier Income | 580,175 | 499,121 | 600,000 | 600,000 |
| Utility Sales | 1,946,541 | 2,031,429 | 2,000,000 | 2,000,000 |
| Other Income | 205,377 | 810,048 | 477,698 | 487,578 |
| | \$7,556,353 | \$7,443,399 | 6,789,457 | 7,140,680 |
| Total Resources | \$7,556,353 | \$7,440,686 | \$6,793,804 | 7,151,703 |
| Expenditures | | | | |
| Personnel Expenses | 3,150,676 | 3,230,077 | 3,577,931 | 3,663,162 |
| Operating Expenses | 2,708,390 | 2,938,762 | 2,954,850 | 3,024,000 |
| EDC Allocation | 600,000 | 267,500 | 150,000 | 150,000 |
| Capital Expenditures | 1,100,000 | 1,000,000 | 100,000 | 300,000 |
| | \$7,559,066 | \$7,436,339 | 6,782,781 | 7,137,162 |
| Closing Balance | (\$2,713) | \$4,347 | \$11,023 | 14,541 |

The Program

Rhode Island Refunding Bond Authority

Program Operations

The Rhode Island Refunding Bond Authority was created in 1987 as a public corporation, having a distinct legal existence from the state and not constituting a department of state government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The authority is authorized to issue bonds and notes secured solely by revenues derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, which are subject to annual appropriation.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into the authority. Prior to the merger, the Public Buildings Authority issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt service payments are secured by the lease payments made by the state, subject to annual appropriations. Since 1998, the Refunding Bond Authority has refunded all of the outstanding principal of bonds issued by the Public Building Authority.

The payment of such loans by the state is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2006, total net debt outstanding of the authority totals \$60,320,000.

Program Objectives

To ensure prompt payment of outstanding debts of the authority.

Statutory History

R.I.G.L. 35-8.1 created the authority.

The Budget

Rhode Island Refunding Bond Authority

The budget of the Refunding Bond Authority is reflected in the operating budget of the General Treasurer.

The Agency

Rhode Island Resource Recovery Corporation

Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF), in conjunction with grants and technical assistance provided to municipalities supports the State's municipal recycling programs. Metals, plastic and glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): two web-based materials exchange programs, an education program, promotion of leaf and yard debris composting and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target computer equipment and televisions, marine shrink wrap, plastic bags and provide assistance to school paper recycling programs.

In August 2001, the Corporation assumed responsibility of the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system. The Corporation operates a construction/demolition (C&D) debris processing facility, converting types of C&D debris from solid waste to alternate cover material, thereby conserving valuable landfill capacity.

Agency Objectives

The Corporation's primary objectives are to develop and implement waste reduction programs, divert waste from the landfill, and provide cost-effective disposal alternatives. Since its initial roll-out, the Corporation's "Maximum Recycling Program," has increased recyclables diversion from the municipalities. The "Maximum Recycling Program" has been implemented in 37 of 39 municipalities throughout the state, providing the opportunity of these municipalities to reduce their waste disposal costs. Licensure of additional landfill capacity is being pursued to maintain disposal capacity as the current facility is depleted.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

| | FY 2007 Audited | FY 2008 Audited | FY 2009 Projected | FY 2010 Projected ⁽¹⁾ |
|-----------------------------------------------------------------------------------|-----------------------|----------------------|------------------------------------|-------------------------------------|
| Revenues: | \$69,782,660 | \$66,992,865 | \$46,115,834 ⁽²⁾ | \$44,512,378 ⁽²⁾ |
| Expenses: | | | | |
| Personnel Costs | 13,755,938 | 14,152,023 | 12,526,282 | 10,430,272 |
| Contractual Services | 11,711,161 | 11,519,347 | 11,265,695 | 10,273,742 |
| Utilities | 1,258,367 | 1,247,562 | 1,570,583 | 1,650,339 |
| Repairs and Maintenance | 5,316,018 | 4,329,489 | 3,787,150 | 4,000,698 |
| Other Supplies and Expenses | 3,428,324 | 4,112,370 | 2,432,022 | 2,649,121 |
| Grants to Municipalities for Recycling | 1,573,714 | 3,011,851 | 1,447,122 | 1,012,450 |
| Bad Debts | (87,012) | 249,477 | 120,000 | 120,000 |
| Provision for landfill closure and post closure care and Superfund clean-up costs | 15,848,474 | 9,303,511 | 5,782,489 | 5,799,840 |
| Depreciation, depletion, and amortization | 13,813,585 | 13,775,652 | 12,771,671 | 13,549,905 |
| Total Expenses | \$66,618,569 | \$61,701,282 | \$51,703,014 | \$49,486,367 |
| Income (Loss) from Operations | \$3,164,091 | \$5,291,583 | (\$5,587,180) | (\$4,973,989) |
| Transfers to State of Rhode Island | (3,300,000) | (5,000,000) | (7,500,000) | - |
| Interest and investment revenue | 7,570,289 | 1,382,805 | 1,364,851 | 1,304,966 |
| Loss on disposal of land held for sale | (14,465,257) | (422,940) | - | - |
| Interest expense | (949,190) | (854,142) | (768,601) | (701,996) |
| Total nonoperating revenues (expenses) | (\$11,144,158) | (\$4,894,277) | (\$6,903,750) | \$602,970 |
| Net Income (Loss) for the Year | (\$7,980,067) | \$397,306 | (\$12,490,930) | (\$4,371,019) |
| Assets: | | | | |
| Cash, Cash Equivalents & Investments | 6,079,117 | 16,550,853 | 1,770,233 | 286,681 |
| Accounts Receivable, Net | 8,068,788 | 7,336,425 | 7,336,425 | 7,336,425 |
| Property, Plant and Equipment, Net | 77,957,713 | 76,185,923 | 73,395,930 | 70,793,615 |
| Restricted Investments | 2,340,388 | 2,154,818 | 1,999,292 | 1,999,292 |
| Assets Held in Trust | 79,371,585 | 79,915,816 | 83,500,328 | 85,615,138 |
| Other Assets | 3,726,634 | 3,244,464 | 4,139,627 | 4,123,016 |
| Total Assets | \$177,544,225 | \$185,388,299 | \$172,141,835 | \$170,154,167 |
| Liabilities: | | | | |
| Accounts Payable | 4,381,644 | 6,915,590 | 5,615,590 | 5,615,590 |
| Other Current Liabilities | 8,202,477 | 8,540,904 | 7,206,590 | 7,234,335 |
| Bonds/ Notes Payable | 16,618,471 | 15,189,265 | 13,760,061 | 12,300,857 |
| Superfund Cleanup, Closure & Post-Closure Costs | 78,844,158 | 84,847,331 | 88,155,315 | 91,970,126 |
| Total Liabilities | \$108,046,750 | \$115,493,090 | \$114,737,556 | \$117,120,908 |
| Retained Earnings | \$69,497,475 | \$69,895,209 | \$57,404,279 | \$53,033,259 |
| Total Liabilities and Retained Earnings | \$177,544,225 | \$185,388,299 | \$172,141,835 | \$170,154,167 |

(1) The FY 2010 recommended budget reflects management's FY 2010 budget projections made at the end of CY 2008. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables.

(2) Due to the recent economic conditions the Corporation has experienced a significant drop in volume and corresponding revenues compared to State fiscal year 2008. Accordingly, the Corporation has made significant cuts in operating costs, and has deferred various capital outlays previously anticipated in fiscal years 2009 and 2010.

The Agency

Rhode Island Student Loan Authority

Agency Operations

The authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The authority originates and acquires over \$200,000,000 of federally guaranteed loans pursuant to the Higher Education Act. The authority is permitted to finance the origination and acquisitions of non-federal guaranteed student loans under its Rhode Island Family Education Loan Program (RIFEL).

As of December 31, 2008, the authority holds \$670,068,300 in Federal Family Education Loans serving 62,695 students and parents. Rhode Island Family Education Loans are held by the authority with a principal of \$162,458,686 and have served 8,244 student borrowers. The authority may issue bonds to further its corporate purpose which bonds are not an obligation of the State of Rhode Island and are solely an obligation of the authority. As of December 31, 2008, the authority has \$1,046,330,000 in bonds outstanding.

Agency Objectives

Under the Higher Education Act, the authority provides Federal Family Education Loans consisting of subsidized and unsubsidized Stafford Loans, PLUS Loans for parents and graduate students, and Consolidated Loans. The Authority has a long history of assisting student and parent borrowers by lowering their cost of borrowing. The authority paid from 1994 through 2002 the one percent guarantee fee charged by the Rhode Island Higher Education Assistance Authority (RIHEAA). In FY 2008, the authority paid one percent RIHEAA default fee Stafford and PLUS borrowers. This action saved Stafford and PLUS borrowers \$2,093,837. Since 2002, the authority has further reduced the cost of student Stafford Loan borrowing by paying the loan origination fee charged by the U.S. Department of Education. RISLA saved student Stafford loan borrowers an additional 2,009,561 by paying the Department of Education loan origination fee in FY 2008. RISLA projects to save Rhode Island students in FY 2009 \$1,627,809 by paying the Department of Education's loan origination fee. From 1994 through 2009, the authority also reduced the cost of borrowing to parent PLUS borrowers by reducing the interest rate from 0.6 percent to 1.0 percent less than other lenders, saving Rhode Island parents over \$9.0 million in interest payment over the life of the loans.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------|---------------------|---------------------|---------------------|------------------------|
| Expenditures by Program | | | | |
| Student Loan Program | 63,217,237 | 60,356,049 | 63,653,182 | 63,653,182 |
| Transfer to State for RIHEAA Scholarship Progr | 3,000,000 | - | - | - |
| College Planning Center | 331,887 | 599,297 | 641,207 | 641,207 |
| Representative Paul Sherlock Scholarship Progr | 50,000 | 50,000 | 50,000 | 50,000 |
| Total Expenditures | \$66,599,124 | \$61,005,346 | \$64,344,389 | \$64,344,389 |
| Expenditures by Category | | | | |
| Interest & Bond Expenses | 37,875,290 | 42,158,765 | 46,832,096 | 46,832,096 |
| Arbitrage Rebate Expense | 2,121,955 | (5,515,856) | (4,550,581) | (4,550,581) |
| Loan Servicing | 4,866,990 | 6,345,988 | 5,842,079 | 5,842,079 |
| Loan Origination Expenses | 2,171,777 | 955,058 | 933,389 | 933,389 |
| Transfer to State for RIHEAA Scholarship Progr | 3,000,000 | - | - | - |
| Provision for Risk Share | 10,310,177 | 6,231,891 | 5,371,926 | 5,371,926 |
| Department of Education Loan fees | 4,556,440 | 5,221,897 | 5,498,171 | 5,498,171 |
| Guarantor default fees paid for borrower | 1,300,259 | 2,093,837 | - | - |
| Repurchase Loan Origination Rights | - | 826,282 | 1,059,429 | 1,059,429 |
| Personnel | 337,086 | 2,563,814 | 3,238,138 | 3,238,138 |
| Depreciation | 9,150 | 73,670 | 69,742 | 69,742 |
| Representative Paul Sherlock Scholarship Progr | 50,000 | 50,000 | 50,000 | 50,000 |
| Total Expenditures | \$66,599,124 | \$61,005,346 | \$64,344,389 | \$64,344,389 |
| Expenditures by Funds | | | | |
| Bond Indentures | 66,549,124 | 60,955,346 | 64,294,389 | 64,294,389 |
| Dedicated Revenue from Licensing | 50,000 | 50,000 | 50,000 | 50,000 |
| Total Expenditures | \$66,599,124 | \$61,005,346 | \$64,344,389 | \$64,344,389 |

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown, and the Mount Hope Bridge between Portsmouth and Bristol, structures that are integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. At June 30, 2007, \$27,838,000 in principal remains to be paid. Principal and interest payments are made annually and semi-annually, respectively. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues calculated on the Claiborne Pell Bridge and interest earned on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow.

Agency Objectives

To facilitate safe and efficient vehicular traffic over waters of the Mount Hope Bay and the East Passage of Narragansett Bay through the operation and maintenance of its two suspension bridges - the Claiborne Pell Bridge, built in 1969, and the Mount Hope Bridge, built in 1929.

Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9.

The Budget

Rhode Island Turnpike and Bridge Authority

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended ⁽²⁾ |
|---------------------------------------------|---------------------|---------------------|---------------------|---------------------------------------|
| Revenue | | | | |
| Tolls | 12,095,422 | 12,040,023 | 12,100,000 | 12,100,000 |
| Interest Income | 2,457,469 | 1,940,116 | 1,075,150 | 900,000 |
| Miscellaneous | 30,494 | 9,331 | 12,000 | 9,000 |
| Total Revenue | \$14,583,385 | 13,989,470 | \$13,187,150 | 13,009,000 |
| Expenses | | | | |
| Salaries and Wages | 1,946,597 | 1,918,103 | 1,975,646 | 2,157,950 |
| Bond Interest | 1,374,564 | 1,234,918 | 1,170,065 | 1,082,325 |
| Maintenance & Supplies | 1,103,654 | 623,087 | 700,000 | 650,000 |
| Insurance | 1,463,580 | 1,281,111 | 1,000,000 | 950,000 |
| Professional | 286,194 | 275,531 | 250,000 | 600,000 |
| All Other | 393,269 | 623,087 | 330,000 | 330,000 |
| Total Expenses | \$6,567,858 | \$5,955,837 | \$5,425,711 | 5,770,275 |
| Debt Service and Reserves | | | | |
| Bond Principal Account | 1,970,000 | 2,075,833 | 2,142,500 | 2,232,500 |
| Renewal and Replacement Fund ⁽¹⁾ | 3,538,000 | 5,018,774 | 5,272,965 | 5,006,225 |
| Insurance Reserve Fund | 725,000 | - | - | - |
| General Fund | 450,000 | 153,832 | - | - |
| Total Funding | \$6,683,000 | \$7,248,439 | \$7,415,465 | 7,238,725 |
| Total Expenses and Funding | \$13,250,858 | \$13,204,276 | \$12,841,176 | 13,009,000 |
| Change in Net Assets | 1,332,527 | 785,194 | 345,974 | - |

⁽¹⁾ The Renewal and Replacement Fund is used for capital improvements included in the ten-year plan approved by the Board of Trustees and certain maintenance costs of the Authority's two bridges and related buildings and grounds. Amounts in this fund are pledged to bondholders.

⁽²⁾ The information presented above was provided by the Authority. Fiscal 2010 data has not been approved by the Authority's management or Board of Directors. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

The Budget

Rhode Island Water Resources Board Corporate

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|------------------------------------------------------|--------------------|--------------------|--------------------|------------------------|
| Expenditures by Object | | | | |
| Personnel | 19,190 | 32,981 | 24,430 | 27,750 |
| Other State Operating | 2,664 | 2,500 | 1,000 | 3,000 |
| Assistance, Grants and Benefits ⁽¹⁾ | 2,382,250 | 106,222 | - | - |
| Subtotal: Operating Expenditures | \$2,404,104 | \$141,703 | \$25,430 | \$30,750 |
| Capital Projects Debt Service | 1,084,843 | 1,082,918 | 1,082,918 | 1,084,662 |
| Total Expenditures | \$3,488,947 | \$1,224,621 | \$1,108,348 | \$1,115,412 |
| Expenditures by Funds | | | | |
| Personnel | 19,190 | 32,981 | 24,430 | 27,750 |
| Other Operating Expenses | 2,664 | 2,500 | 1,000 | 3,000 |
| Assistance, Grants and Benefits | 2,382,250 | 106,222 | - | - |
| Capital Debt Service | 1,084,843 | 1,082,918 | 1,082,918 | 1,084,662 |
| Subtotal: Water Quality Protection Charge | \$3,488,947 | \$1,224,621 | \$1,108,348 | \$1,115,412 |
| Other Funds | | | | |
| Capital Debt Service-Prov Project ⁽²⁾ | 1,079,981 | 1,070,325 | 1,083,255 | 1,014,255 |
| Subtotal: Water Quality | \$1,079,981 | \$1,070,325 | \$1,083,255 | \$1,014,255 |
| Total Expenditures | \$3,488,947 | \$1,224,621 | \$1,108,348 | \$1,115,412 |

⁽¹⁾ Phase III of Watershed Protection Program

⁽²⁾ Paid directly by Providence Water Supply Board

Component Units

Rhode Island Economic Policy Council
The College Crusade of Rhode Island

The Agency

Rhode Island Economic Policy Council

Agency Operations

The Rhode Island Economic Policy Council (Council) is a private-public partnership founded in March 1995, by an Executive Order of the Governor. The Council was re-established and expanded by Executive Orders 98-2 and Executive Order 03-06. The Council is organized as a non-profit 501(c)(3) corporation for tax purposes. The Council consists of the Governor, the Speaker of the House, the Majority Leader of the Senate, the Mayor of Providence and representatives from higher education, business, labor and the community, chosen by the Governor. The private sector and the State of Rhode Island equally fund the Council.

Effective July 1, 2008 staff support for the Council is provided by the Economic Development Corporation.

Agency Objectives

The Council's function is to focus the state's economic development efforts on game-changing initiatives that enhance the business climate, improve quality of place and increase capacity for innovation. As a cross-sector network, the Policy Council is particularly fertile ground for new ideas, both original and borrowed, which it converts to strategy and takes to practical application by telling stories with data, creating the organizational capacity for catalytic change, and forming successful legislative coalitions. The aim of these efforts is to unleash the creative potential of the state and its citizens in ways that increase median household income and preserve and enhance the state's distinctive quality of place. Working in close partnership with the Rhode Island Economic Development Corporation, the Council is helping to make Rhode Island a test bed for business and government innovation.

The Budget

Rhode Island Economic Policy Council

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|---------------------------|-------------------|-------------------|--------------------|------------------------|
| Opening Balance: | \$52,147 | (\$50,546) | - | - |
| Revenues | | | | |
| State Operating Transfers | 300,000 | 291,900 | - | - |
| Private Contributions | 301,503 | 260,406 | - | - |
| Interest Earnings | 152 | 104 | - | - |
| Other Support | 109,160 | 105,000 | - | - |
| | 710,815 | 657,410 | - | - |
| Total Resources | \$762,962 | \$606,864 | - | - |
| Expenditures | | | | |
| Personnel Expenses | 400,277 | 260,533 | - | - |
| Operating Expenses | 134,903 | 141,784 | - | - |
| Consulting Expenses | 248,813 | 170,659 | - | - |
| Capital Outlay | 29,515 | 33,888 | - | - |
| Total Expenditures | \$813,508 | \$606,864 | - | - |
| Closing Balance | (\$50,546) | \$0 | - | - |

The FY 2007 deficit is due to accrual of certain accounts payable that were disbursed on a cash basis during FY 2008.
Support for the Economic Policy Council is assumed by the Economic Development Corporation in FY 2009.

The Agency

The College Crusade of Rhode Island

Agency Operations

The College Crusade of Rhode Island (The Crusade) offers a range of age-appropriate supports for students as they progress from grades 6-12. Through its direct services and grant-making programs, it partners with over 50 schools and community agencies to serve Crusaders – with special emphasis on youth from the five RI cities and towns with the greatest numbers of low-income families. Currently there are approximately 4,000 Crusaders in grades 6-12. We have now graduated eight cohorts of Crusaders – those who enrolled in 1991-1998. Through fiscal year 2008, we have provided scholarships to approximately 2,600 Crusaders valued at \$19.2 million. The Crusade has a \$5.4 million scholarship fund as well as pledges of donated scholarships from 61 colleges, universities and trade schools. The Crusade is supported by an annual allocation from the State of Rhode Island and by federal and private grants and gifts from individuals.

Agency Objectives

The College Crusade of Rhode Island's mission is to increase educational and career success for youth in Rhode Island's low income communities. We help Crusaders get ready to succeed in college by providing caring advisors and sustained academic and social support from grades 5-12, combined with scholarship incentives. We advocate for expanded opportunities for pre-K-16 success while helping Crusaders to develop as responsible, productive members of the community.

Statutory History

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by up to a 19-member Board of Directors. Legislation enacted in 1990 (see R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget. In FY 2008 the state support changed to a Legislative Grant within the Office of Higher Education's budget.

The Budget

The College Crusade of Rhode Island

| | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommended |
|--------------------------------------------|--------------------|--------------------|--------------------|------------------------|
| Carry Forward Funds | 114,010 | 8,897 | 93,586 | 47,218 * |
| Scholarship Fund/Cash & Pledges | 1,614,427 | 1,051,225 | 1,210,000 | 738,000 |
| Support & Revenue | | | | |
| State Appropriation/BOG Support | 1,408,544 | 1,062,408 | 528,204 | 528,204 * |
| Private Donations/Miscellaneous Grants | 207,023 | 207,851 | 200,000 | 200,000 |
| Special Events | 31,395 | - | - | - |
| Public/Private In-Kind Contributions | 725,979 | 684,638 | 530,000 | 530,000 |
| Federal Grant Funds | 2,405,300 | 4,217,670 | 2,926,514 | 2,876,514 |
| Carnegie Foundation Grant | 1,146,204 | 92,757 | - | - |
| Investment Income | 13,390 | 6,718 | 5,000 | 5,000 |
| Prior Year Grant Adjustments | 1,210 | - | - | - |
| Subtotal | \$5,939,045 | \$6,272,042 | \$4,189,718 | \$4,139,718 |
| Total Resources | \$7,667,482 | \$7,332,164 | \$5,493,304 | \$4,924,936 |
| Expenses | | | | |
| Personnel Cost | 3,152,931 | 2,591,116 | 2,365,211 | 2,436,167 |
| Special Services | 251,361 | 178,462 | 117,400 | 117,400 * |
| Special Events | 15,365 | 3,099 | 8,700 | 8,700 |
| Program Support Services | 2,257,172 | 1,255,280 | 1,219,128 | 1,219,128 |
| Operating Expenses | 601,329 | 478,733 | 335,421 | 355,546 |
| Cost of Scholarships | 1,380,427 | 1,236,881 | 935,000 | 738,000 |
| Total Expenses | \$7,658,585 | \$5,743,571 | \$4,980,860 | \$4,874,941 |
| Transfer to Scholarship Fund | - | 1,495,007 | 465,226 | 49,995 * |
| Closing Fund Balance | \$8,897 | \$93,586 | \$47,218 | \$0 |

The information presented for FY 2010 is preliminary and has not been reviewed nor approved by the College Crusade of Rhode Island Board of Directors and is subject to change.

*Reflects Budget Office change that level funds state support at \$528,204 in FY 2009 and in FY 2010.

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Special Reports

Formula Aid to Cities and Towns

The Governor's FY 2010 budget recommends formula aid to cities and towns totaling \$185.0 million. This represents a 24.3 percent, or \$59.4 million decrease from the FY 2009 enacted level of funding. The tables on the following pages display FY 2009 enacted, FY 2009 revised, and FY 2010 recommended levels of funding for formula aid to cities and towns by community. In general, formula state aid programs were level funded from the revised level with the exception of the general revenue sharing program. The narrative below describes each of the programs included on the tables. It should also be noted that updated formula drivers for PILOT and Distressed Community Relief Fund were incorporated for FY 2010. This results in increases and decreases by community depending on changes in relative wealth and other factors which are incorporated in determining entitlements.

General Revenue Sharing - Beginning in FY 1994, one percent of total state tax revenues from the second prior fiscal year was earmarked for general state aid to cities and towns. Beginning in FY 1999, this percentage was to increase annually. In FY 2009 such distribution was to total 3.0 percent of state tax revenues. These funds are distributed based on a legislated formula encompassing per-capita income and the taxes imposed by each city or town for public purposes, excluding amounts allocated to education expenses. The Governor proposes that funding for this program in FY 2009 be set at \$31.0 million and to suspend funding for the program in FY 2010.

Payment in Lieu of Taxes - Legislation creating this program requires the State of Rhode Island to reimburse cities and towns for property taxes that would have been due on certain types of real property that are exempted from taxation by state law. This includes property owned by nonprofit educational institutions, nonprofit hospitals, or any state owned hospital, veteran's facility, or correctional facility. Reimbursement is made for twenty-seven percent of all tax that would have been collected had the property been taxable, prorated to the amount appropriated for a given year. The program has been near level funded for FY 2009 and FY 2010, except for the reduction of \$186,558 in FY 2009 and FY 2010 due to a payment request that was received from a municipality that was not eligible for the program. Entitlements by community have been adjusted to reflect revenue which would have been lost resulting from eligible properties in the statutory reference year.

Distressed Communities Relief Fund - This program provides state assistance to those Rhode Island communities with the highest property tax burdens relative to the wealth of taxpayers. The amount of total funding distributed under this program is based on the amount enacted in the annual appropriations act. Entitlements for FY 2009 and FY 2010 by community reflect computations based upon the latest available qualifying data. In FY 2010, the Governor recommends funding of \$10.0 million for this program from Rhode Island's share of the Federal Stimulus Fiscal Stabilization Fund, thereby reducing general revenues by \$10.0 million.

State Library Aid - This program provides financial support for local public library services and for the construction and capital improvement of any free public library. A portion of library aid is disbursed directly to local libraries, including private libraries, while other aid is disbursed to the individual cities and towns. Although total funding is level funded at the FY 2009 enacted level, distributions by community/library have been calculated based upon the latest available qualifying data from the statutory reference year. Library construction aid is fully fund based on outstanding commitments.

Motor Vehicle Excise Tax - Legislation enacted during the 1999 General Assembly provides for a phase-out of the local excise tax on motor vehicles and trailers. This legislation was amended in 2002 to make the full phase out of the tax subject to the annual review and appropriation of the General Assembly. For fiscal year 2007, the value of the exemption from local taxes was increased to \$6,000 per vehicle. For FY 2009, the legislation was amended to reimburse only 98 percent of the exemption value, reflecting

Formula Aid to Cities and Towns

comparability with municipal motor vehicle tax collection rates. The FY 2010 budget maintains the \$6,000 exemption rate and assumes no growth in the value of exempted vehicles which will be reimbursed by the State. The Governor recommends revised funding of \$135.4 million in FY 2009 and \$135.3 million in FY 2010.

Public Service Corporation Tax - The tangible personal property of telegraph, cable and telecommunications corporations is exempt from local taxation, but is subject to taxation by the state at the average property tax statewide. For FY 2009 and FY 2010 the tax rate is proposed to be frozen at the rate applicable in FY 2008. Funds collected from this tax are distributed to cities and towns within the state on the basis of the ratio of the city or town population to the population of the state as a whole.

Meals and Beverage Local Sales and Use Tax – During the January 2003 session, the General Assembly enacted a one percent gross receipts tax on retail sales of meals and beverages in or from eating and/or drinking establishments. The taxes are collected by the Division of Taxation and distributed at least quarterly to the city or town where the meals and beverages were delivered. The amounts included in the following tables assume that this pass through aid will decrease by 1.6 percent for each community in FY 2009 compared to the actual FY 2008 payments and increase by 0.6 percent in FY 2010 compared to the revised FY 2009 estimates.

Summary of Formula Aid to Cities and Towns

| | FY 2006 Actual | FY 2007 Actual | FY 2008 Actual | FY 2009 Revised | FY 2010 Recommend |
|--------------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Municipal Police Incentive Pay | 729,509 | 449,808 | 449,114 | - | - |
| Municipal Fire Incentive Pay | 368,423 | 221,130 | 224,997 | - | - |
| Public Service Corporation Tax | 12,206,492 | 10,347,757 | 9,204,590 | 9,204,590 | 9,204,590 |
| Meals and Beverage Tax | 17,620,607 | 18,568,791 | 19,012,482 | 18,708,279 | 19,126,556 |
| Payment In Lieu of Taxes (PILOT) | 26,975,194 | 27,766,967 | 27,766,967 | 27,580,409 | 27,580,409 |
| Total Miscellaneous Aid | \$57,900,225 | \$57,354,453 | \$56,658,150 | \$55,493,278 | \$55,911,555 |
| General Revenue Sharing | 64,974,003 | 65,111,876 | 55,111,876 | 31,000,000 | - |
| Total State Aid to Cities and Towns | \$64,974,003 | \$65,111,876 | \$55,111,876 | \$31,000,000 | \$0 |
| Dist. Comm. - General Appropriation ¹ | 10,640,190 | 9,929,895 | 10,384,458 | 10,384,458 | 10,384,458 |
| Total Distressed Communities Aid | \$10,640,190 | \$9,929,895 | \$10,384,458 | \$10,384,458 | \$10,384,458 |
| Motor Vehicle Tax Phase-out Program ² | 117,649,182 | 136,230,036 | 135,277,642 | 135,370,317 | 135,306,888 |
| Total Motor Vehicle Tax Phase-out Prog. | \$117,649,182 | \$136,230,036 | \$135,277,642 | \$135,370,317 | \$135,306,888 |
| Subtotal Forumla Aid - All Sources | \$251,163,600 | \$268,626,260 | \$257,432,126 | \$232,248,053 | \$201,602,901 |
| Percent Change from prior year | 12.54% | 6.95% | -4.17% | -9.78% | -13.20% |
| Resource Sharing & Library Aid ³ | 8,390,741 | 8,711,692 | 8,746,199 | 8,773,398 | 8,773,398 |
| Library Construction Aid | 2,634,460 | 2,751,699 | 2,672,735 | 2,587,447 | 2,989,289 |
| Total Library Aid | \$11,025,201 | \$11,463,391 | \$11,418,934 | \$11,360,845 | \$11,762,687 |
| Property Revaluation Program | 931,623 | 2,186,413 | 1,078,929 | 1,132,000 | 1,843,500 |
| Total Other Aid | \$931,623 | \$2,186,413 | \$1,078,929 | \$1,132,000 | \$1,843,500 |
| Total Aid | \$263,120,424 | \$282,276,064 | \$269,929,989 | \$244,740,898 | \$215,209,088 |
| Percent Change from prior year | 12.26% | 7.28% | -4.37% | -9.33% | -12.07% |

¹ The August 2006 payment from the Distressed Communities Relief Fund, which is supposed to be based on Real Estate Conveyance Tax revenues for the period of January to June, was instead based upon the enacted appropriation and resulted in an overpayment to communities of \$230,272.

² Amounts for the Motor Vehicle Excise Tax represent final payments due each community based upon the exemption amounts in effect for the given fiscal year. Actual cash payments may have occurred over multiple fiscal years.

³ Resource Sharing and Library Aid for state institutions is included in these totals.

Fiscal Year 2009 Enacted State Aid to Cities and Towns

| City or Town | General Revenue Sharing | Payment In Lieu of Tax Exempt Property | Distressed Community Relief Fund | State Library Aid | Motor Vehicle Excise Tax Reimbursement Value of Exemption | FY 2009 Total Appropriated State Aid |
|---------------------------------------------------------|-------------------------|----------------------------------------|----------------------------------|---------------------|-----------------------------------------------------------|--------------------------------------|
| Barrington | 206,206 | 49,199 | - | 317,722 | \$3,105,155 | 3,678,282 |
| Bristol | 840,384 | 584,813 | - | 57,817 | \$1,569,867 | 3,052,881 |
| Burrillville | 597,138 | 73,011 | 507,300 | 80,245 | \$2,874,260 | 4,131,954 |
| Central Falls | 1,432,052 | 20,927 | 289,275 | 77,237 | \$1,559,044 | 3,378,535 |
| Charlestown | 345,546 | - | - | 45,205 | \$538,116 | 928,867 |
| Coventry | 859,727 | - | - | 186,831 | \$3,046,780 | 4,093,338 |
| Cranston | 4,599,682 | 3,820,082 | - | 572,241 | \$12,633,481 | 21,625,486 |
| Cumberland | 1,321,917 | 140 | - | 248,544 | \$2,856,038 | 4,426,639 |
| East Greenwich | 149,812 | 8,008 | - | 105,141 | \$1,398,821 | 1,661,782 |
| East Providence | 2,272,041 | 60,645 | - | 467,926 | \$6,484,378 | 9,284,990 |
| Exeter | 76,718 | - | - | 32,415 | \$1,042,533 | 1,151,666 |
| Foster | 262,927 | 437 | - | 33,195 | \$865,029 | 1,161,588 |
| Glocester | 480,786 | - | - | 65,133 | \$1,253,136 | 1,799,055 |
| Hopkinton | 191,394 | - | - | 28,963 | \$867,017 | 1,087,374 |
| Jamestown | 124,220 | - | - | 71,068 | \$440,570 | 635,858 |
| Johnston | 2,164,234 | - | - | 122,433 | \$5,240,728 | 7,527,395 |
| Lincoln | 812,824 | - | - | 174,946 | \$3,017,082 | 4,004,852 |
| Little Compton | 89,670 | - | - | 25,296 | \$305,021 | 419,987 |
| Middletown | 829,818 | - | - | 143,075 | \$1,124,966 | 2,097,859 |
| Narragansett | 747,514 | - | - | 117,559 | \$1,264,605 | 2,129,678 |
| Newport | 1,564,737 | 753,317 | - | 348,867 | \$1,909,223 | 4,576,144 |
| New Shoreham | 77,527 | - | - | 92,970 | \$89,183 | 259,680 |
| North Kingstown | 754,148 | 6,591 | - | 234,918 | \$2,903,871 | 3,899,528 |
| North Providence | 2,032,742 | 513,661 | 1,026,474 | 178,999 | \$5,138,697 | 8,890,573 |
| North Smithfield | 556,079 | 48,733 | - | 58,075 | \$2,101,602 | 2,764,489 |
| Pawtucket | 4,630,267 | 353,035 | 1,503,029 | 409,610 | \$10,378,902 | 17,274,843 |
| Portsmouth | 554,736 | - | - | 103,586 | \$1,605,642 | 2,263,964 |
| Providence | 13,135,563 | 19,570,192 | 5,288,287 | 1,239,749 | \$24,246,412 | 63,480,203 |
| Richmond | 125,675 | - | - | 25,637 | \$781,604 | 932,916 |
| Scituate | 383,576 | - | - | 91,895 | \$1,622,899 | 2,098,370 |
| Smithfield | 1,582,243 | 466,237 | - | 236,390 | \$3,650,779 | 5,935,649 |
| South Kingstown | 860,708 | 118,511 | - | 189,662 | \$2,237,219 | 3,406,100 |
| Tiverton | 547,575 | - | - | 86,606 | \$1,449,392 | 2,083,573 |
| Warren | 425,488 | - | - | 44,050 | \$1,162,233 | 1,631,771 |
| Warwick | 4,128,906 | 1,028,280 | - | 675,910 | \$14,446,108 | 20,279,204 |
| Westerly | 642,010 | 127,296 | - | 281,881 | \$3,083,345 | 4,134,532 |
| West Greenwich | 189,201 | - | - | 22,136 | \$594,255 | 805,592 |
| West Warwick | 1,245,850 | - | 937,808 | 190,207 | \$3,257,073 | 5,630,938 |
| Woonsocket | 3,270,235 | 163,852 | 832,285 | 214,271 | \$5,565,739 | 10,046,382 |
| Subtotal | 55,111,876 | \$27,766,967 | 10,384,458 | \$7,698,411 | \$137,710,808 | \$238,672,517 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,765,729 | | 2,765,729 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | | \$1,875,837 | 1,875,837 |
| Total | \$55,111,876 | \$27,766,967 | \$10,384,458 | \$11,476,518 | \$139,586,645 | \$244,326,461 |

Fiscal Year 2009 Pass Through Aid to Cities & Towns

| City or Town | Public Service Corporation Tax | Meals and Beverage Tax | FY 2009 Total Shared Taxes State Aid | FY 2009 Total Shared & Appropriated Aid |
|---------------------------------------------------------|--------------------------------------|------------------------------|--------------------------------------------|-----------------------------------------------|
| Barrington | 147,676 | 117,173 | 264,849 | 3,943,131 |
| Bristol | 197,285 | 319,065 | 516,350 | 3,569,231 |
| Burrillville | 138,694 | 164,495 | 303,189 | 4,435,143 |
| Central Falls | 166,194 | 86,421 | 252,615 | 3,631,150 |
| Charlestown | 69,005 | 103,212 | 172,217 | 1,101,084 |
| Coventry | 295,616 | 325,620 | 621,236 | 4,714,574 |
| Cranston | 696,008 | 1,303,939 | 1,999,947 | 23,625,433 |
| Cumberland | 279,566 | 341,631 | 621,197 | 5,047,836 |
| East Greenwich | 113,688 | 386,037 | 499,725 | 2,161,507 |
| East Providence | 427,497 | 688,336 | 1,115,833 | 10,400,823 |
| Exeter | 53,077 | 67,912 | 120,989 | 1,272,655 |
| Foster | 37,527 | 18,754 | 56,281 | 1,217,869 |
| Glocester | 87,347 | 53,662 | 141,009 | 1,940,064 |
| Hopkinton | 68,803 | 45,817 | 114,620 | 1,201,994 |
| Jamestown | 49,363 | 95,497 | 144,860 | 780,718 |
| Johnston | 247,561 | 407,950 | 655,511 | 8,182,906 |
| Lincoln | 183,491 | 649,985 | 833,476 | 4,838,328 |
| Little Compton | 31,548 | 27,736 | 59,284 | 479,271 |
| Middletown | 152,198 | 528,153 | 680,351 | 2,778,210 |
| Narragansett | 143,655 | 408,926 | 552,581 | 2,682,259 |
| Newport | 232,459 | 1,612,920 | 1,845,379 | 6,421,523 |
| New Shoreham | 8,868 | 236,070 | 244,938 | 504,618 |
| North Kingstown | 231,151 | 410,331 | 641,482 | 4,541,010 |
| North Providence | 284,579 | 368,577 | 653,156 | 9,543,729 |
| North Smithfield | 93,230 | 140,081 | 233,311 | 2,997,800 |
| Pawtucket | 640,596 | 613,497 | 1,254,093 | 18,528,936 |
| Portsmouth | 150,574 | 149,580 | 300,154 | 2,564,118 |
| Providence | 1,524,424 | 3,924,877 | 5,449,301 | 68,929,504 |
| Richmond | 63,412 | 102,122 | 165,534 | 1,098,450 |
| Scituate | 90,648 | 54,702 | 145,350 | 2,243,720 |
| Smithfield | 180,989 | 484,355 | 665,344 | 6,600,993 |
| South Kingstown | 245,156 | 502,924 | 748,080 | 4,154,180 |
| Tiverton | 133,988 | 160,405 | 294,393 | 2,377,966 |
| Warren | 99,745 | 221,450 | 321,195 | 1,952,966 |
| Warwick | 753,423 | 2,123,622 | 2,877,045 | 23,156,249 |
| Westerly | 201,649 | 557,347 | 758,996 | 4,893,528 |
| West Greenwich | 44,648 | 74,415 | 119,063 | 924,655 |
| West Warwick | 259,731 | 345,290 | 605,021 | 6,235,959 |
| Woonsocket | 379,521 | 485,393 | 864,914 | 10,911,296 |
| Subtotal | 9,204,590 | \$18,708,279 | \$27,912,869 | \$266,585,386 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,765,729 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | 1,875,837 |
| Total | | | | \$272,239,330 |

Fiscal Year 2009 Revised State Aid to Cities and Towns

| City or Town | General Revenue Sharing | Payment In Lieu of Tax Exempt Property | Distressed Community Relief Fund | State Library Aid | Motor Vehicle Excise Tax Reimbursement Value of Exemption | FY 2009 Total Appropriated State Aid |
|---------------------------------------------------------|-------------------------|----------------------------------------|----------------------------------|---------------------|-----------------------------------------------------------|--------------------------------------|
| Barrington | 115,989 | 49,199 | | 317,722 | \$2,983,208 | 3,466,118 |
| Bristol | 472,709 | 584,813 | | 57,817 | \$1,533,679 | 2,649,018 |
| Burrillville | 335,885 | 73,011 | 504,713 | 80,245 | \$2,806,626 | 3,800,480 |
| Central Falls | 805,518 | 20,927 | 288,852 | 77,237 | \$1,478,058 | 2,670,592 |
| Charlestown | 194,367 | | | 45,205 | \$522,331 | 761,903 |
| Coventry | 483,590 | | | 186,831 | \$2,954,920 | 3,625,341 |
| Cranston | 2,587,285 | 3,633,524 | | 572,241 | \$12,229,010 | 19,022,060 |
| Cumberland | 743,568 | 140 | | 248,544 | \$2,786,499 | 3,778,751 |
| East Greenwich | 84,268 | 8,008 | | 105,141 | \$1,365,583 | 1,563,000 |
| East Providence | 1,278,005 | 60,645 | | 467,926 | \$6,195,221 | 8,001,797 |
| Exeter | 43,153 | | | 32,415 | \$1,020,562 | 1,096,130 |
| Foster | 147,894 | 437 | | 33,195 | \$857,971 | 1,039,497 |
| Glocester | 270,438 | | | 65,133 | \$1,218,863 | 1,554,434 |
| Hopkinton | 107,658 | | | 28,963 | \$850,943 | 987,564 |
| Jamestown | 69,873 | | | 71,068 | \$432,967 | 573,908 |
| Johnston | 1,217,365 | | | 122,433 | \$5,064,868 | 6,404,666 |
| Lincoln | 457,207 | | | 174,946 | \$2,922,165 | 3,554,318 |
| Little Compton | 50,439 | | | 25,296 | \$292,210 | 367,945 |
| Middletown | 466,766 | | | 143,075 | \$1,097,078 | 1,706,919 |
| Narragansett | 420,471 | | | 117,559 | \$1,224,495 | 1,762,525 |
| Newport | 880,152 | 753,317 | | 348,867 | \$1,811,310 | 3,793,646 |
| New Shoreham | 43,608 | | | 92,970 | \$93,112 | 229,690 |
| North Kingstown | 424,202 | 6,591 | | 234,918 | \$2,824,194 | 3,489,905 |
| North Providence | 1,143,402 | 513,661 | 1,024,798 | 178,999 | \$4,901,389 | 7,762,249 |
| North Smithfield | 312,790 | 48,733 | | 58,075 | \$2,121,675 | 2,541,273 |
| Pawtucket | 2,604,489 | 353,035 | 1,499,940 | 409,610 | \$10,090,288 | 14,957,362 |
| Portsmouth | 312,035 | | | 103,586 | \$1,559,418 | 1,975,039 |
| Providence | 7,388,652 | 19,570,192 | 5,299,786 | 1,239,749 | \$23,572,708 | 57,071,087 |
| Richmond | 70,691 | | | 25,637 | \$898,884 | 995,212 |
| Scituate | 215,759 | | | 91,895 | \$1,579,960 | 1,887,614 |
| Smithfield | 889,999 | 466,237 | | 236,390 | \$3,542,318 | 5,134,944 |
| South Kingstown | 484,142 | 118,511 | | 189,662 | \$2,178,075 | 2,970,390 |
| Tiverton | 308,007 | | | 86,606 | \$1,413,809 | 1,808,422 |
| Warren | 239,334 | | | 44,050 | \$1,125,745 | 1,409,129 |
| Warwick | 2,322,477 | 1,028,280 | | 675,910 | \$13,715,293 | 17,741,960 |
| Westerly | 361,126 | 127,296 | | 281,881 | \$2,999,237 | 3,769,540 |
| West Greenwich | 106,424 | | | 22,136 | \$594,921 | 723,481 |
| West Warwick | 700,781 | | 935,710 | 190,207 | \$3,183,440 | 5,010,138 |
| Woonsocket | 1,839,482 | 163,852 | 830,661 | 214,271 | \$5,451,446 | 8,499,712 |
| Subtotal | 31,000,000 | \$27,580,409 | 10,384,458 | \$7,698,411 | \$133,494,479 | \$210,157,759 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,587,447 | | 2,587,447 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | | \$1,875,837 | 1,875,837 |
| Total | \$31,000,000 | \$27,580,409 | \$10,384,458 | \$11,298,236 | \$135,370,316 | \$215,633,421 |

Fiscal Year 2009 Pass Through Aid to Cities & Towns

| City or Town | Public Service Corporation Tax | Meals and Beverage Tax | FY 2009 Total Shared Taxes State Aid | FY 2009 Total Shared & Appropriated Aid |
|---------------------------------------------------------|--------------------------------------|------------------------------|--------------------------------------------|-----------------------------------------------|
| Barrington | 147,676 | 117,173 | 264,849 | 3,730,967 |
| Bristol | 197,285 | 319,065 | 516,350 | 3,165,368 |
| Burrillville | 138,694 | 164,495 | 303,189 | 4,103,669 |
| Central Falls | 166,194 | 86,421 | 252,615 | 2,923,207 |
| Charlestown | 69,005 | 103,212 | 172,217 | 934,120 |
| Coventry | 295,616 | 325,620 | 621,236 | 4,246,577 |
| Cranston | 696,008 | 1,303,939 | 1,999,947 | 21,022,007 |
| Cumberland | 279,566 | 341,631 | 621,197 | 4,399,948 |
| East Greenwich | 113,688 | 386,037 | 499,725 | 2,062,725 |
| East Providence | 427,497 | 688,336 | 1,115,833 | 9,117,630 |
| Exeter | 53,077 | 67,912 | 120,989 | 1,217,119 |
| Foster | 37,527 | 18,754 | 56,281 | 1,095,778 |
| Glocester | 87,347 | 53,662 | 141,009 | 1,695,443 |
| Hopkinton | 68,803 | 45,817 | 114,620 | 1,102,184 |
| Jamestown | 49,363 | 95,497 | 144,860 | 718,768 |
| Johnston | 247,561 | 407,950 | 655,511 | 7,060,177 |
| Lincoln | 183,491 | 649,985 | 833,476 | 4,387,794 |
| Little Compton | 31,548 | 27,736 | 59,284 | 427,229 |
| Middletown | 152,198 | 528,153 | 680,351 | 2,387,270 |
| Narragansett | 143,655 | 408,926 | 552,581 | 2,315,106 |
| Newport | 232,459 | 1,612,920 | 1,845,379 | 5,639,025 |
| New Shoreham | 8,868 | 236,070 | 244,938 | 474,628 |
| North Kingstown | 231,151 | 410,331 | 641,482 | 4,131,387 |
| North Providence | 284,579 | 368,577 | 653,156 | 8,415,405 |
| North Smithfield | 93,230 | 140,081 | 233,311 | 2,774,584 |
| Pawtucket | 640,596 | 613,497 | 1,254,093 | 16,211,455 |
| Portsmouth | 150,574 | 149,580 | 300,154 | 2,275,193 |
| Providence | 1,524,424 | 3,924,877 | 5,449,301 | 62,520,388 |
| Richmond | 63,412 | 102,122 | 165,534 | 1,160,746 |
| Scituate | 90,648 | 54,702 | 145,350 | 2,032,964 |
| Smithfield | 180,989 | 484,355 | 665,344 | 5,800,288 |
| South Kingstown | 245,156 | 502,924 | 748,080 | 3,718,470 |
| Tiverton | 133,988 | 160,405 | 294,393 | 2,102,815 |
| Warren | 99,745 | 221,450 | 321,195 | 1,730,324 |
| Warwick | 753,423 | 2,123,622 | 2,877,045 | 20,619,005 |
| Westerly | 201,649 | 557,347 | 758,996 | 4,528,536 |
| West Greenwich | 44,648 | 74,415 | 119,063 | 842,544 |
| West Warwick | 259,731 | 345,290 | 605,021 | 5,615,159 |
| Woonsocket | 379,521 | 485,393 | 864,914 | 9,364,626 |
| Subtotal | 9,204,590 | \$18,708,279 | \$27,912,869 | \$238,070,628 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,587,447 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | 1,875,837 |
| Total | | | | \$243,546,290 |

Changes in Formula Aid - FY 2009 Revised vs. FY 2009 Enacted

| City or Town | General Revenue Sharing | Payment In Lieu of Tax Exempt Property | Distressed Community Relief Fund | State Library Aid | Motor Vehicle Excise Tax Reimbursement | Total Appropriated Difference |
|---------------------------------------------------------|-------------------------|----------------------------------------|----------------------------------|-------------------|----------------------------------------|-------------------------------|
| Barrington | (90,217) | - | - | - | (121,947) | (212,164) |
| Bristol | (367,675) | - | - | - | (36,188) | (403,863) |
| Burrillville | (261,252) | - | (2,587) | - | (67,634) | (331,473) |
| Central Falls | (626,534) | - | (423) | - | (80,986) | (707,943) |
| Charlestown | (151,179) | - | - | - | (15,785) | (166,964) |
| Coventry | (376,138) | - | - | - | (91,860) | (467,998) |
| Cranston | (2,012,397) | (186,558) | - | - | (404,471) | (2,603,426) |
| Cumberland | (578,349) | - | - | - | (69,539) | (647,888) |
| East Greenwich | (65,544) | - | - | - | (33,238) | (98,782) |
| East Providence | (994,036) | - | - | - | (289,157) | (1,283,193) |
| Exeter | (33,565) | - | - | - | (21,971) | (55,536) |
| Foster | (115,032) | - | - | - | (7,058) | (122,090) |
| Glocester | (210,347) | - | - | - | (34,273) | (244,620) |
| Hopkinton | (83,736) | - | - | - | (16,074) | (99,810) |
| Jamestown | (54,348) | - | - | - | (7,603) | (61,951) |
| Johnston | (946,869) | - | - | - | (175,860) | (1,122,729) |
| Lincoln | (355,617) | - | - | - | (94,917) | (450,534) |
| Little Compton | (39,231) | - | - | - | (12,811) | (52,042) |
| Middletown | (363,052) | - | - | - | (27,888) | (390,940) |
| Narragansett | (327,043) | - | - | - | (40,110) | (367,153) |
| Newport | (684,585) | - | - | - | (97,913) | (782,498) |
| New Shoreham | (33,919) | - | - | - | 3,929 | (29,990) |
| North Kingstown | (329,946) | - | - | - | (79,677) | (409,623) |
| North Providence | (889,341) | - | (1,676) | - | (237,308) | (1,128,325) |
| North Smithfield | (243,289) | - | - | - | 20,073 | (223,216) |
| Pawtucket | (2,025,778) | - | (3,089) | - | (288,614) | (2,317,481) |
| Portsmouth | (242,702) | - | - | - | (46,224) | (288,926) |
| Providence | (5,746,912) | - | 11,499 | - | (673,704) | (6,409,117) |
| Richmond | (54,984) | - | - | - | 117,280 | 62,296 |
| Scituate | (167,818) | - | - | - | (42,939) | (210,757) |
| Smithfield | (692,244) | - | - | - | (108,461) | (800,705) |
| South Kingstown | (376,567) | - | - | - | (59,144) | (435,711) |
| Tiverton | (239,568) | - | - | - | (35,583) | (275,151) |
| Warren | (186,154) | - | - | - | (36,488) | (222,642) |
| Warwick | (1,806,429) | - | - | - | (730,815) | (2,537,244) |
| Westerly | (280,884) | - | - | - | (84,108) | (364,992) |
| West Greenwich | (82,776) | - | - | - | 666 | (82,110) |
| West Warwick | (545,069) | - | (2,098) | - | (73,633) | (620,800) |
| Woonsocket | (1,430,753) | - | (1,624) | - | (114,293) | (1,546,670) |
| Subtotal | (\$24,111,876) | (186,558) | - | - | (\$4,216,326) | (\$28,514,758) |
| Statewide Reference Library Resource Grant (Providence) | | | | 42,056 | - | 42,056 |
| Library Construction Reimbursement | | | | (36,306) | - | (36,306) |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | - | - | - |
| Total | (\$24,111,876) | (\$186,558) | \$0 | \$5,750 | (\$4,216,326) | (\$28,509,008) |

Changes in Pass Through and All Aid - FY 2009 Rev vs. FY 2009 Enacted

| City or Town | Public Service Corporation Tax | Meals and Beverage Tax | Total Pass Through State Aid Difference | Total All State Aid Difference |
|---------------------------------------------------------|--------------------------------------|------------------------------|--------------------------------------------------|--------------------------------------|
| Barrington | - | - | - | (212,164) |
| Bristol | - | - | - | (403,863) |
| Burrillville | - | - | - | (331,473) |
| Central Falls | - | - | - | (707,943) |
| Charlestown | - | - | - | (166,964) |
| Coventry | - | - | - | (467,998) |
| Cranston | - | - | - | (2,603,426) |
| Cumberland | - | - | - | (647,888) |
| East Greenwich | - | - | - | (98,782) |
| East Providence | - | - | - | (1,283,193) |
| Exeter | - | - | - | (55,536) |
| Foster | - | - | - | (122,090) |
| Glocester | - | - | - | (244,620) |
| Hopkinton | - | - | - | (99,810) |
| Jamestown | - | - | - | (61,951) |
| Johnston | - | - | - | (1,122,729) |
| Lincoln | - | - | - | (450,534) |
| Little Compton | - | - | - | (52,042) |
| Middletown | - | - | - | (390,940) |
| Narragansett | - | - | - | (367,153) |
| Newport | - | - | - | (782,498) |
| New Shoreham | - | - | - | (29,990) |
| North Kingstown | - | - | - | (409,623) |
| North Providence | - | - | - | (1,128,325) |
| North Smithfield | - | - | - | (223,216) |
| Pawtucket | - | - | - | (2,317,481) |
| Portsmouth | - | - | - | (288,926) |
| Providence | - | - | - | (6,409,117) |
| Richmond | - | - | - | 62,296 |
| Scituate | - | - | - | (210,757) |
| Smithfield | - | - | - | (800,705) |
| South Kingstown | - | - | - | (435,711) |
| Tiverton | - | - | - | (275,151) |
| Warren | - | - | - | (222,642) |
| Warwick | - | - | - | (2,537,244) |
| Westerly | - | - | - | (364,992) |
| West Greenwich | - | - | - | (82,110) |
| West Warwick | - | - | - | (620,800) |
| Woonsocket | - | - | - | (1,546,670) |
| Subtotal | \$0 | \$0 | \$0 | (\$28,514,758) |
| Statewide Reference Library Resource Grant (Providence) | | | | 42,056 |
| Library Construction Reimbursement | | | | (36,306) |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | - |
| Total | | \$0 | \$0 | (\$28,509,008) |

Fiscal Year 2010 State Aid to Cities and Towns

| City or Town | General Revenue Sharing | Payment In Lieu of Tax Exempt Property | Distressed Community Relief Fund | State Library Aid | Motor Vehicle Excise Tax Reimbursement Value of Exemption | FY 2009 Total Appropriated State Aid |
|---------------------------------------------------------|-------------------------|----------------------------------------|----------------------------------|---------------------|-----------------------------------------------------------|--------------------------------------|
| Barrington | - | 48,674 | - | 321,077 | \$2,983,208 | 3,352,959 |
| Bristol | - | 634,467 | - | 58,525 | \$1,533,679 | 2,226,671 |
| Burrillville | - | 70,725 | 508,392 | 91,281 | \$2,806,626 | 3,477,024 |
| Central Falls | - | 21,195 | 289,687 | 76,209 | \$1,478,058 | 1,865,149 |
| Charlestown | - | - | - | 45,556 | \$522,331 | 567,887 |
| Coventry | - | - | - | 189,995 | \$2,954,920 | 3,144,915 |
| Cranston | - | 3,564,549 | - | 567,846 | \$12,229,010 | 16,361,405 |
| Cumberland | - | 119 | - | 242,267 | \$2,787,441 | 3,029,827 |
| East Greenwich | - | 7,852 | - | 106,867 | \$1,365,583 | 1,480,302 |
| East Providence | - | 59,510 | - | 472,150 | \$6,195,221 | 6,726,881 |
| Exeter | - | - | - | 32,881 | \$1,020,562 | 1,053,443 |
| Foster | - | 476 | - | 33,174 | \$857,971 | 891,621 |
| Glocester | - | - | - | 67,171 | \$1,218,863 | 1,286,034 |
| Hopkinton | - | - | - | 28,196 | \$850,943 | 879,139 |
| Jamestown | - | - | - | 74,753 | \$432,967 | 507,720 |
| Johnston | - | - | - | 105,464 | \$5,064,868 | 5,170,332 |
| Lincoln | - | - | - | 176,403 | \$2,922,165 | 3,098,568 |
| Little Compton | - | - | - | 25,583 | \$292,210 | 317,793 |
| Middletown | - | - | - | 130,962 | \$1,097,078 | 1,228,040 |
| Narragansett | - | - | - | 120,292 | \$1,224,495 | 1,344,787 |
| Newport | - | 754,667 | - | 355,526 | \$1,811,310 | 2,921,503 |
| New Shoreham | - | - | - | 93,402 | \$93,112 | 186,514 |
| North Kingstown | - | 6,499 | - | 236,452 | \$2,824,194 | 3,067,145 |
| North Providence | - | 457,836 | 1,021,040 | 174,633 | \$4,901,389 | 6,554,898 |
| North Smithfield | - | 50,270 | - | 57,152 | \$2,121,675 | 2,229,097 |
| Pawtucket | - | 349,008 | 1,497,807 | 415,117 | \$10,090,288 | 12,352,220 |
| Portsmouth | - | - | - | 100,332 | \$1,559,418 | 1,659,750 |
| Providence | - | 19,651,148 | 5,294,786 | 1,224,950 | \$23,572,708 | 49,743,592 |
| Richmond | - | - | - | 26,120 | \$834,514 | 860,634 |
| Scituate | - | - | - | 92,783 | \$1,579,960 | 1,672,743 |
| Smithfield | - | 457,147 | - | 244,438 | \$3,542,318 | 4,243,903 |
| South Kingstown | - | 139,158 | - | 199,346 | \$2,178,075 | 2,516,579 |
| Tiverton | - | - | - | 91,028 | \$1,413,809 | 1,504,837 |
| Warren | - | - | - | 49,036 | \$1,125,745 | 1,174,781 |
| Warwick | - | 1,025,527 | - | 669,452 | \$13,715,293 | 15,410,272 |
| Westerly | - | 124,499 | - | 281,816 | \$2,999,237 | 3,405,552 |
| West Greenwich | - | - | - | 23,819 | \$594,921 | 618,740 |
| West Warwick | - | - | 946,360 | 188,581 | \$3,183,440 | 4,318,381 |
| Woonsocket | - | 157,083 | 826,382 | 207,774 | \$5,451,446 | 6,642,685 |
| Subtotal | - | 27,580,409 | 10,384,458 | 7,698,411 | \$133,431,051 | \$179,094,323 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,989,289 | | 2,989,289 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | | \$1,875,837 | 1,875,837 |
| Total | \$0 | \$27,580,409 | \$10,384,458 | \$11,700,078 | \$135,306,888 | \$184,971,827 |

* For FY 2010, the Distressed Communities Relief Fund includes \$10.0 million in federal stabilization funding.

Fiscal Year 2010 Pass Through Aid to Cities & Towns

| City or Town | Public Service Corporation Tax | Meals and Beverage Tax | FY 2009 Total Shared Taxes State Aid | FY 2009 Total Shared & Appropriated Aid |
|---------------------------------------------------------|--------------------------------------|------------------------------|--------------------------------------------|-----------------------------------------------|
| Barrington | 147,676 | 119,792 | 267,468 | 3,620,427 |
| Bristol | 197,285 | 326,199 | 523,484 | 2,750,155 |
| Burrillville | 138,694 | 168,173 | 306,867 | 3,783,891 |
| Central Falls | 166,194 | 88,353 | 254,547 | 2,119,696 |
| Charlestown | 69,005 | 105,520 | 174,525 | 742,412 |
| Coventry | 295,616 | 332,900 | 628,516 | 3,773,431 |
| Cranston | 696,008 | 1,333,092 | 2,029,100 | 18,390,505 |
| Cumberland | 279,566 | 349,269 | 628,835 | 3,658,662 |
| East Greenwich | 113,688 | 394,668 | 508,356 | 1,988,658 |
| East Providence | 427,497 | 703,726 | 1,131,223 | 7,858,104 |
| Exeter | 53,077 | 69,431 | 122,508 | 1,175,951 |
| Foster | 37,527 | 19,174 | 56,701 | 948,322 |
| Glocester | 87,347 | 54,862 | 142,209 | 1,428,243 |
| Hopkinton | 68,803 | 46,841 | 115,644 | 994,783 |
| Jamestown | 49,363 | 97,632 | 146,995 | 654,715 |
| Johnston | 247,561 | 417,071 | 664,632 | 5,834,964 |
| Lincoln | 183,491 | 664,518 | 848,009 | 3,946,577 |
| Little Compton | 31,548 | 28,356 | 59,904 | 377,697 |
| Middletown | 152,198 | 539,961 | 692,159 | 1,920,199 |
| Narragansett | 143,655 | 418,069 | 561,724 | 1,906,511 |
| Newport | 232,459 | 1,648,981 | 1,881,440 | 4,802,943 |
| New Shoreham | 8,868 | 241,348 | 250,216 | 436,730 |
| North Kingstown | 231,151 | 419,506 | 650,657 | 3,717,802 |
| North Providence | 284,579 | 376,817 | 661,396 | 7,216,294 |
| North Smithfield | 93,230 | 143,213 | 236,443 | 2,465,540 |
| Pawtucket | 640,596 | 627,213 | 1,267,809 | 13,620,029 |
| Portsmouth | 150,574 | 152,924 | 303,498 | 1,963,248 |
| Providence | 1,524,424 | 4,012,628 | 5,537,052 | 55,280,644 |
| Richmond | 63,412 | 104,405 | 167,817 | 1,028,451 |
| Scituate | 90,648 | 55,925 | 146,573 | 1,819,316 |
| Smithfield | 180,989 | 495,184 | 676,173 | 4,920,076 |
| South Kingstown | 245,156 | 514,168 | 759,324 | 3,275,903 |
| Tiverton | 133,988 | 163,992 | 297,980 | 1,802,817 |
| Warren | 99,745 | 226,401 | 326,146 | 1,500,927 |
| Warwick | 753,423 | 2,171,102 | 2,924,525 | 18,334,797 |
| Westerly | 201,649 | 569,808 | 771,457 | 4,177,009 |
| West Greenwich | 44,648 | 76,079 | 120,727 | 739,467 |
| West Warwick | 259,731 | 353,010 | 612,741 | 4,931,122 |
| Woonsocket | 379,521 | 496,245 | 875,766 | 7,518,451 |
| Subtotal | 9,204,590 | \$19,126,556 | \$28,331,146 | \$207,425,469 |
| Statewide Reference Library Resource Grant (Providence) | | | | 1,012,378 |
| Library Construction Reimbursement | | | | 2,989,289 |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | 1,875,837 |
| Total | | | | \$213,302,973 |

Changes in Formula Aid - FY 2010 vs. FY 2009 Revised

| City or Town | General Revenue Sharing | Payment In Lieu of Tax Exempt Property | Distressed Community Relief Fund | State Library Aid | Motor Vehicle Excise Tax Reimbursement | Total Appropriated Difference |
|---------------------------------------------------------|-------------------------|----------------------------------------|----------------------------------|-------------------|----------------------------------------|-------------------------------|
| Barrington | (115,989) | (525) | - | 3,355 | - | (113,159) |
| Bristol | (472,709) | 49,654 | - | 708 | - | (422,347) |
| Burrillville | (335,885) | (2,286) | 3,679 | 11,036 | - | (323,456) |
| Central Falls | (805,518) | 268 | 835 | (1,028) | - | (805,443) |
| Charlestown | (194,367) | - | - | 351 | - | (194,016) |
| Coventry | (483,590) | - | - | 3,164 | - | (480,426) |
| Cranston | (2,587,285) | (68,975) | - | (4,395) | - | (2,660,655) |
| Cumberland | (743,568) | (21) | - | (6,277) | 942 | (748,924) |
| East Greenwich | (84,268) | (156) | - | 1,726 | - | (82,698) |
| East Providence | (1,278,005) | (1,135) | - | 4,224 | - | (1,274,916) |
| Exeter | (43,153) | - | - | 466 | - | (42,687) |
| Foster | (147,894) | 39 | - | (21) | - | (147,876) |
| Glocester | (270,438) | - | - | 2,038 | - | (268,400) |
| Hopkinton | (107,658) | - | - | (767) | - | (108,425) |
| Jamestown | (69,873) | - | - | 3,685 | - | (66,188) |
| Johnston | (1,217,365) | - | - | (16,969) | - | (1,234,334) |
| Lincoln | (457,207) | - | - | 1,457 | - | (455,750) |
| Little Compton | (50,439) | - | - | 287 | - | (50,152) |
| Middletown | (466,766) | - | - | (12,113) | - | (478,879) |
| Narragansett | (420,471) | - | - | 2,733 | - | (417,738) |
| Newport | (880,152) | 1,350 | - | 6,659 | - | (872,143) |
| New Shoreham | (43,608) | - | - | 432 | - | (43,176) |
| North Kingstown | (424,202) | (92) | - | 1,534 | - | (422,760) |
| North Providence | (1,143,402) | (55,825) | (3,758) | (4,366) | - | (1,207,351) |
| North Smithfield | (312,790) | 1,537 | - | (923) | - | (312,176) |
| Pawtucket | (2,604,489) | (4,027) | (2,133) | 5,507 | - | (2,605,142) |
| Portsmouth | (312,035) | - | - | (3,254) | - | (315,289) |
| Providence | (7,388,652) | 80,956 | (5,000) | (14,799) | - | (7,327,495) |
| Richmond | (70,691) | - | - | 483 | (64,370) | (134,578) |
| Scituate | (215,759) | - | - | 888 | - | (214,871) |
| Smithfield | (889,999) | (9,090) | - | 8,048 | - | (891,041) |
| South Kingstown | (484,142) | 20,647 | - | 9,684 | - | (453,811) |
| Tiverton | (308,007) | - | - | 4,422 | - | (303,585) |
| Warren | (239,334) | - | - | 4,986 | - | (234,348) |
| Warwick | (2,322,477) | (2,753) | - | (6,458) | - | (2,331,688) |
| Westerly | (361,126) | (2,797) | - | (65) | - | (363,988) |
| West Greenwich | (106,424) | - | - | 1,683 | - | (104,741) |
| West Warwick | (700,781) | - | 10,650 | (1,626) | - | (691,757) |
| Woonsocket | (1,839,482) | (6,769) | (4,279) | (6,497) | - | (1,857,027) |
| Subtotal | (\$31,000,000) | - | - | - | (\$63,428) | (\$31,063,436) |
| Statewide Reference Library Resource Grant (Providence) | | | | 42,056 | - | 42,056 |
| Library Construction Reimbursement | | | | (36,306) | - | (36,306) |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | - | - | - |
| Total | (\$31,000,000) | \$0 | \$0 | \$5,750 | (\$63,428) | (\$31,057,686) |

* Richmond was paid a retroactive payment in FY 2009 for underpayment in FY 2008. Cumberland adjustment for taxes in arrears.

Changes in Pass Through and All Aid - FY 2010 vs. FY 2009 Revised

| City or Town | Public Service Corporation Tax | Meals and Beverage Tax | Total Pass Through State Aid Difference | Total All State Aid Difference |
|---------------------------------------------------------|--------------------------------------|------------------------------|--------------------------------------------------|--------------------------------------|
| Barrington | - | 2,619 | 2,619 | (110,540) |
| Bristol | - | 7,134 | 7,134 | (415,213) |
| Burrillville | - | 3,678 | 3,678 | (319,778) |
| Central Falls | - | 1,932 | 1,932 | (803,511) |
| Charlestown | - | 2,308 | 2,308 | (191,708) |
| Coventry | - | 7,280 | 7,280 | (473,146) |
| Cranston | - | 29,153 | 29,153 | (2,631,502) |
| Cumberland | - | 7,638 | 7,638 | (741,286) |
| East Greenwich | - | 8,631 | 8,631 | (74,067) |
| East Providence | - | 15,390 | 15,390 | (1,259,526) |
| Exeter | - | 1,519 | 1,519 | (41,168) |
| Foster | - | 420 | 420 | (147,456) |
| Glocester | - | 1,200 | 1,200 | (267,200) |
| Hopkinton | - | 1,024 | 1,024 | (107,401) |
| Jamestown | - | 2,135 | 2,135 | (64,053) |
| Johnston | - | 9,121 | 9,121 | (1,225,213) |
| Lincoln | - | 14,533 | 14,533 | (441,217) |
| Little Compton | - | 620 | 620 | (49,532) |
| Middletown | - | 11,808 | 11,808 | (467,071) |
| Narragansett | - | 9,143 | 9,143 | (408,595) |
| Newport | - | 36,061 | 36,061 | (836,082) |
| New Shoreham | - | 5,278 | 5,278 | (37,898) |
| North Kingstown | - | 9,175 | 9,175 | (413,585) |
| North Providence | - | 8,240 | 8,240 | (1,199,111) |
| North Smithfield | - | 3,132 | 3,132 | (309,044) |
| Pawtucket | - | 13,716 | 13,716 | (2,591,426) |
| Portsmouth | - | 3,344 | 3,344 | (311,945) |
| Providence | - | 87,751 | 87,751 | (7,239,744) |
| Richmond | - | 2,283 | 2,283 | (132,295) |
| Scituate | - | 1,223 | 1,223 | (213,648) |
| Smithfield | - | 10,829 | 10,829 | (880,212) |
| South Kingstown | - | 11,244 | 11,244 | (442,567) |
| Tiverton | - | 3,587 | 3,587 | (299,998) |
| Warren | - | 4,951 | 4,951 | (229,397) |
| Warwick | - | 47,480 | 47,480 | (2,284,208) |
| Westerly | - | 12,461 | 12,461 | (351,527) |
| West Greenwich | - | 1,664 | 1,664 | (103,077) |
| West Warwick | - | 7,720 | 7,720 | (684,037) |
| Woonsocket | - | 10,852 | 10,852 | (1,846,175) |
| Subtotal | \$0 | \$418,277 | \$418,277 | (\$30,645,159) |
| Statewide Reference Library Resource Grant (Providence) | | | | 42,056 |
| Library Construction Reimbursement | | | | (36,306) |
| Motor Vehicle Excise Tax Reimbursement - Fire Districts | | | | - |
| Total | | \$418,277 | \$418,277 | (\$30,639,409) |

Education Aid to Local Governments

Education Aid to Local Governments totals \$841.5 million in FY 2010, a \$29.2 million increase in total state funding relative to the FY 2009 revised budget, and a 3.6 percent increase in the state's commitment to financing public education within Rhode Island. Total Education Aid is defined to include local public schools, the School for the Deaf, Davies Career and Technical School, the Charter Schools, the Central Falls School District and the Metropolitan Career and Technical School, as well as support for teacher retirement and school housing aid. The following sections summarize changes to the various aid categories that comprise total FY 2010 Education Aid.

Distributed Aid

Distributed aid in 2010 increases \$16.5 million from the revised 2009 budget. Major changes from 2009 to 2010 include the restoration of the Professional Development funding of \$5.8 million and a reduction of \$420,000 in Group Home aid to reflect updated group home bed census figures. In both years general aid is reduced by the amount of local savings from pension reform: reductions of \$23.7 million in 2009 and \$24.8 million in 2010. In both years general aid is reduced to offset increase federal stimulus funding from the State Fiscal Stabilization Fund: reductions of \$31.0 million in 2009 and \$37.0 million in 2010.

State Schools

The State Schools- Davies Career and Technical School, Metropolitan Career and Technical School, and the Rhode Island School for the Deaf are collectively financed at \$32.2 million in general revenue, which constitutes a \$456,380 decrease from the revised FY 2009 Budget. This reflects a reduction of general revenue aid to offset anticipated federal stimulus funding. Adding the \$2.2 million in Title I, IDEA, and Stabilization Fund funding yields a \$1.7 million increase in total support. The expansion of the Metropolitan School - East Bay campus on Aquidneck Island is delayed one year. This campus commenced operations during the 2006-2007 school year and maintains a current enrollment of approximately 90 students.

Non-Distributed Aid

Several significant adjustments for the non-distributed categories of state education aid are also recommended for FY 2009. Notable among these are the elimination of the School Breakfast subsidy, and the addition of general revenue funding for telecommunications access for public schools and libraries (the E-Rate program). The Professional Development Fund sees a further \$500,000 increase to fund the development and implementation of a statewide educator performance management system. The Progressive Support & Intervention aid increases by \$800,000 for two initiatives stemming from the Urban Education Task Force. A school readiness demonstration project run by the department receives \$700,000 and extended learning time grants to be awarded by the department to local communities receives \$100,000. Direct aid to charter schools increases by \$3.4 million, including \$2.9 million to fund current operations, \$800,000 to allow the Paul Cuffee School to expand to the high school level, and \$700,000 for new charter schools, including mayoral academies. These increases are partially offset by a reduction of \$233,869 to recapture savings from pension reform and a shift of \$1.5 million from general revenue federal stimulus financing.

Other Aid

The FY 2009 budget also includes increases in other aid of \$8.5 million; a \$9.6 million increase for school housing aid reimbursements and a \$1.1 million decrease in state contributions for teachers' retirement.

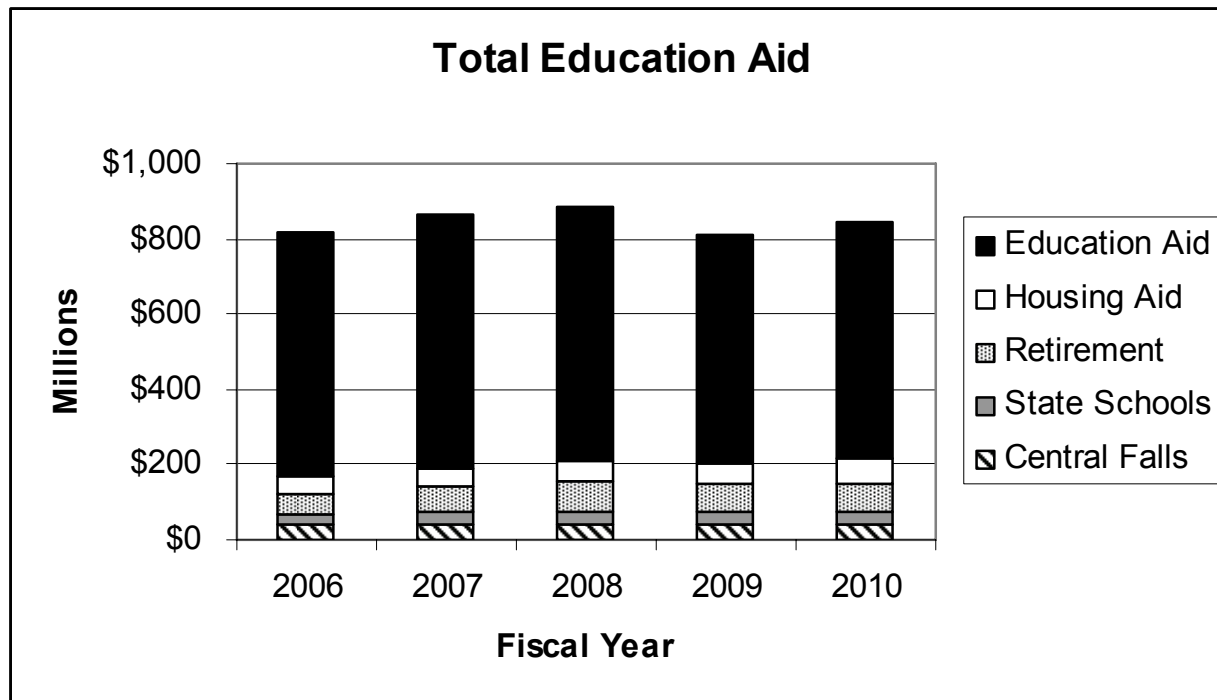
Education Aid to Local Governments

FY 2010 Education Aid Increases (Decreases) From the Revised FY 2009 Budget

- General aid: \$9,680,613
- Group Home Funding: (\$420,000)
- Central Falls School District: \$1,407,339
- Professional Development (Distributed): \$5,825,502
- Professional Development (Non-Distributed): \$500,000
- School Breakfast: (\$300,000)
- Telecommunications Access: \$350,000
- Direct Charter School Aid: \$3,360,198
- Progressive Support and Intervention: \$800,000
- Textbook expansion: (\$110,000)
- Metropolitan Career and Technical School: \$621,778
- School for the Deaf: (\$661,016)
- Davies Career and Technical School: (\$417,142)
- Teachers' Retirement: (\$1,050,763)
- School Housing Aid: \$9,598,611

The following graph displays total school aid from FY 2006 to FY 2010. The "Education Aid" component is comprised of all aid categories under the standard Education Aid program. This includes, but is not limited to, General Aid, Targeted Aid, Charter School Aid, Progressive Support and Intervention, and the Student Investment Initiatives. Other components of total aid include: State Contributions for Teachers' Retirement; School Housing Aid; Central Falls School District; and State Schools (Davies, Deaf, and the Metropolitan School).

Education Aid to Local Governments



Housing Aid

The School Housing Aid Program reimburses a community for eligible construction expenditures beginning in the fiscal year after the project is completed. The reimbursement rate is based on the cost of the project over the life of the bonds issued for the project. The Commissioner and the Board of Regents review each local community's request for reimbursement through the program. Pursuant to recent legislation, the Department of Education and the Board of Regents promulgated new regulations governing both this process as well as the oversight of all projects eligible for School Housing Aid. In support of this initiative, the Governor recommended and the Generally Assembly approved the addition of three FTE to the Department of Elementary and Secondary Education in FY 2008.

It should be noted that although the reimbursement reference for completed projects is one year, there is a two-year reference for formula factors. For example, FY 20068 allocations were based on 2006 wealth and enrollment levels. The housing aid share ratio calculation is based on a district's income adjusted per-pupil property wealth compared to aggregate state per-pupil property wealth. The average state housing aid share ratio is thirty-eight percent, adjusted to ensure a minimum of thirty percent in each community. A four percent bonus is awarded when a minimum of seventy-five percent of a project's cost is for energy conservation, asbestos removal, or handicapped access. Regional districts receive a two percent bonus for each regionalized grade for new construction projects, and an additional four percent bonus for renovation projects.

Reimbursement is based on total expended project cost, plus related bond interest cost, not on the amount of the original bond issuance. For example, if a community issued \$6.5 million for ten years for a capital improvement to a school, but only spent \$6.0 million, the department would reimburse the community for the State's appropriate share of the \$6.0 million spent on the completed project, plus the bond interest payments over a ten year period. This statute was amended to include the

Education Aid to Local Governments

use of lease revenue bonds, financial leases, capital reserve funding, and similar financial instruments to finance school construction. Communities used this amendment to receive project reimbursements commencing in FY 1999. The FY 2004 Appropriation Act amended the housing aid statute to require that all future school construction debt be issued through the Rhode Island Health and Education Building Corporation.

The revised FY 2009 and FY 2010 budgets propose general revenue expenditures of \$54.1 million and \$63.7 million, respectively, for the School Housing Aid program.

Teachers' Retirement

RIGL §16-16-22 requires the State to make contributions to the teacher retirement system in Rhode Island. The State shall contribute a percentage of the employer's share, with the school districts contributing the balance. The State's share has varied over the years based upon the total actuarially determined rate of payroll, but since FY 1993 it has been fixed at forty percent, with the municipalities contributing sixty percent. Each district receives the same percentage, regardless of wealth.

The Governor and the General Assembly deferred the State's contributions to Teachers' Retirement in both FY 1991 and FY 1992. Most municipalities also deferred the local portion of teacher retirement contributions for this period. The state deferrals, valued at \$22.4 million in FY 1991 and \$22.2 million in FY 1992, will be financed over twenty years, as will the local deferral. The annual calculation applied to the state contribution to Teachers' Retirement includes an adjustment to accommodate the deferral liability.

Furthermore, the municipalities of Burrillville, East Greenwich, Little Compton, New Shoreham and North Smithfield did not participate in the 1990 early retirement window for teachers and therefore contribute a slightly smaller percentage of teachers' salaries.

Contribution Rates for Teachers' Retirement Fund

| | Actuarial Contribution Rate of Payroll | Employer Share | | | Teacher Share |
|------|---------------------------------------------------|-------------------------|-------------------------|----------------------|--------------------------|
| | | Local (60%)* | State (40%)* | Sub Total | |
| 1999 | 21.02% | 6.62% | 4.90% | 11.52% | 9.5% |
| 2000 | 24.14% | 8.43% | 6.21% | 14.64% | 9.5% |
| 2001 | 21.51% | 6.86% | 5.15% | 12.01% | 9.5% |
| 2002 | 19.45% | 5.73% | 4.22% | 9.95% | 9.5% |
| 2003 | 21.47% | 6.93% | 5.04% | 11.97% | 9.5% |
| 2004 | 23.22% | 7.99% | 5.73% | 13.72% | 9.5% |
| 2005 | 24.34% | 8.72% | 6.12% | 14.84% | 9.5% |
| 2006 | 25.97% | 9.72% | 6.75% | 16.47% | 9.5% |
| 2007 | 29.14% | 11.62% | 8.02% | 19.64% | 9.5% |
| 2008 | 31.51% | 13.04% | 8.97% | 22.01% | 9.5% |
| 2009 | 34.53% | 14.86% | 10.17% | 25.03% | 9.5% |
| 2010 | 33.38% | 14.17% | 9.71% | 23.88% | 9.5% |

With Pension Reform

| | | | | | |
|------|--------|--------|-------|--------|------|
| 2009 | 29.95% | 12.27% | 8.18% | 20.45% | 9.5% |
| 2010 | 28.80% | 11.58% | 7.72% | 19.30% | 9.5% |

* Adjusted for deferral liability

Education Aid to Local Governments

The following table displays the state contributions to Teacher Retirement since FY 1999. The State Retirement Board uses the districts' retirement contribution data to calculate the state obligation each month, and contributions are accrued to the appropriate fiscal period.

State Contributions for Teacher Retirement

| <u>Fiscal Year</u> | <u>State Share</u> |
|---------------------------|---------------------------|
| 1999 Actual | \$30,202,943 |
| 2000 Actual | \$40,719,407 |
| 2001 Actual | \$35,365,234 |
| 2002 Actual | \$30,652,207 |
| 2003 Actual | \$38,242,690 |
| 2004 Actual | \$45,039,269 |
| 2005 Actual | \$48,503,125 |
| 2006 Actual | \$54,537,733 |
| 2007 Actual | \$70,286,753 |
| 2008 Unaudited | \$83,028,510 |
| 2009 Revised | \$76,334,401 |
| 2010 Recommend | \$75,283,638 |

The FY 2009 revised and FY 2009 recommendations reflects full state appropriations for the actuarial determined contribution rate, assuming that H-5019 Pension Reform passes. If it does not pass, the recommended funding levels would be less than the amounts originally certified by the actuary and approved by the Retirement Board but reflects an upward revision from enacted appropriations to reflect an adjusted payroll base

Explanation of Tables- by Category and LEA

The following two tables display education aid first by category, and then by apportionment among the state's local and regional education agencies (LEAs). "Distributed LEA Aid" consists of the various categories of aid that are directly distributed to school districts on a regular (usually monthly) basis, such as General Aid, Targeted Aid, and funds for Student Equity. For completeness, financing of the Central Falls School District (plus an allocation for indirect Charter School Aid on the LEA table only) is also displayed in this section. "State Schools" include the Metropolitan School, Davies, and School for the Deaf. "Non-Distributed Aid" includes several categories of aid that are either utilized at the departmental level or are not distributed regularly to school districts. "Other Aid" consists of allocations for School Housing Aid and state contributions to the Teachers' Retirement Fund. For the purposes of these tables, direct aid to charter schools is categorized as non-distributed.

Education Aid by Category of Aid

| Category of Education Aid | FY 2006 Actual | FY 2007 Actual | FY 2008 Unaudited | FY 2009 Revised | FY 2010 Recommend |
|--------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Distributed LEA Aid | | | | | |
| General Aid | \$458,868,334 | \$488,592,367 | \$488,592,371 | \$423,170,483 | \$432,851,096 |
| Student Technology | 3,397,691 | 3,397,691 | 3,397,692 | 3,397,692 | 3,397,692 |
| Student Equity * | 73,800,000 | 73,800,000 | 73,800,000 | 73,800,000 | 73,800,000 |
| Early Childhood * | 6,799,996 | 6,799,996 | 6,799,997 | 6,800,000 | 6,800,000 |
| Student Language Assistance | 31,715,459 | 31,715,459 | 31,715,459 | 31,715,459 | 31,715,459 |
| Professional Development | 5,825,501 | 5,825,501 | 5,825,502 | - | 5,825,502 |
| Targeted Aid | 20,000,001 | 20,000,001 | 20,000,000 | 20,000,000 | 20,000,000 |
| Charter School-Indirect Aid | 1,297,666 | 1,242,006 | 1,242,006 | 1,242,006 | 1,242,006 |
| Full Day Kindergarten | 4,163,000 | 4,163,000 | 4,163,000 | 4,163,000 | 4,163,000 |
| Vocational Equity | 1,512,500 | 1,512,500 | 1,512,500 | 1,512,500 | 1,512,500 |
| Group Home Funding | 9,838,264 | 9,905,000 | 10,416,000 | 9,876,000 | 9,456,000 |
| Central Falls School District | 41,240,905 | 43,795,409 | 43,416,222 | 40,962,061 | 42,369,400 |
| Subtotal | \$658,459,317 | \$690,748,930 | \$690,880,749 | \$616,639,201 | \$633,132,655 |
| Non-Distributed Aid | | | | | |
| On-Site Visits | \$406,641 | \$407,774 | \$396,922 | \$145,864 | \$145,864 |
| Textbook Expansion | 499,906 | 313,488 | 327,934 | 350,000 | 240,000 |
| Hasbro Children's Hospital | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| School Breakfast | 600,000 | 600,000 | 600,000 | 300,000 | |
| Professional Development | 113,135 | 670,000 | 534,446 | 495,000 | 995,000 |
| Charter School-Direct Aid | 21,956,238 | 24,339,888 | 26,844,841 | 28,813,366 | 32,173,564 |
| Progressive Support & Intervention | 2,923,308 | 2,911,164 | 2,761,130 | 2,363,432 | 3,163,432 |
| Speech Pathologist Salary Supplement | - | 304,500 | - | - | - |
| Telecommunications Access | 176,965 | 277,965 | 277,965 | - | 350,000 |
| Subtotal | \$26,776,193 | \$29,924,779 | \$31,843,238 | \$32,567,662 | \$37,167,860 |
| State Schools | | | | | |
| Metropolitan School | \$8,814,528 | \$10,406,952 | \$11,487,732 | \$11,565,603 | \$12,187,381 |
| School for the Deaf | 6,064,415 | 6,422,553 | 6,551,039 | 6,608,662 | 5,947,646 |
| Davies School | 12,985,225 | 13,599,431 | 14,243,280 | 14,473,335 | 14,056,193 |
| Subtotal | \$27,864,168 | \$30,428,936 | \$32,282,051 | \$32,647,600 | \$32,191,220 |
| Other Aid | | | | | |
| Teachers' Retirement | \$54,537,733 | \$70,286,753 | \$83,028,510 | \$76,334,401 | \$75,283,638 |
| School Housing Aid | 46,623,676 | 46,814,982 | 49,652,310 | 54,140,052 | 63,738,663 |
| Subtotal | \$101,161,409 | \$117,101,735 | \$132,680,820 | \$130,474,453 | \$139,022,301 |
| Total Aid | \$814,261,087 | \$868,204,380 | \$887,686,858 | \$812,328,916 | \$841,514,036 |

* The Student Equity Fund and the Early Childhood Fund both have required set asides for Literacy of three percent.

Education Aid to Local Units of Government

| | FY 2006 Actual | FY 2007 Actual | FY 2008 Unaudited | FY 2009 Revised | FY 2010 Recommend |
|----------------------------|-------------------|-------------------|----------------------|--------------------|----------------------|
| Distributed LEA Aid | | | | | |
| Barrington | \$2,479,907 | \$2,599,526 | \$2,599,526 | \$1,798,390 | \$1,907,911 |
| Burrillville | 13,150,857 | 13,854,743 | 13,854,743 | 12,859,083 | 12,971,837 |
| Charlestown | 1,910,676 | 2,002,838 | 2,002,838 | 1,775,256 | 1,814,445 |
| Coventry | 19,151,316 | 20,075,081 | 20,075,081 | 17,915,933 | 18,136,219 |
| Cranston | 33,943,639 | 35,580,911 | 35,580,911 | 31,403,559 | 31,795,596 |
| Cumberland | 12,654,786 | 13,257,009 | 13,257,009 | 11,730,893 | 11,927,191 |
| East Greenwich | 1,860,042 | 1,949,761 | 1,949,761 | 1,452,322 | 1,534,245 |
| East Providence | 25,530,776 | 26,762,254 | 26,888,254 | 24,342,375 | 24,584,450 |
| Foster | 1,351,283 | 1,416,463 | 1,416,463 | 1,277,945 | 1,292,025 |
| Glocester | 3,065,960 | 3,213,847 | 3,213,847 | 2,909,240 | 2,939,653 |
| Hopkinton | 5,954,153 | 6,241,352 | 6,241,352 | 5,604,241 | 5,654,271 |
| Jamestown | 507,431 | 531,908 | 531,908 | 388,214 | 414,566 |
| Johnston | 10,413,716 | 10,915,364 | 10,915,364 | 9,529,973 | 9,637,703 |
| Lincoln | 7,064,696 | 7,403,268 | 7,403,268 | 6,295,215 | 6,401,141 |
| Little Compton | 351,839 | 368,810 | 368,810 | 289,028 | 304,591 |
| Middletown | 10,014,086 | 10,497,116 | 10,497,116 | 9,461,789 | 9,564,697 |
| Narragansett | 1,809,860 | 1,897,159 | 1,897,159 | 1,429,678 | 1,484,908 |
| Newport | 11,253,278 | 11,871,080 | 11,871,080 | 10,751,107 | 10,787,991 |
| New Shoreham | 101,451 | 106,345 | 106,345 | 59,063 | 62,888 |
| North Kingstown | 11,434,463 | 11,986,005 | 11,986,005 | 10,533,159 | 10,696,013 |
| North Providence | 12,624,509 | 13,262,872 | 13,382,872 | 11,989,298 | 12,120,183 |
| North Smithfield | 4,616,141 | 4,834,237 | 4,834,237 | 4,301,363 | 4,373,725 |
| Pawtucket | 63,784,560 | 67,023,559 | 67,023,559 | 61,913,397 | 62,348,921 |
| Portsmouth | 6,632,443 | 6,480,042 | 6,700,042 | 5,862,440 | 5,968,268 |
| Providence | 185,143,415 | 194,109,744 | 194,109,752 | 169,680,536 | 180,138,945 |
| Richmond | 5,903,843 | 6,188,615 | 6,188,615 | 5,558,834 | 5,606,496 |
| Scituate | 3,250,400 | 3,407,183 | 3,407,183 | 2,888,958 | 2,951,022 |
| Smithfield | 5,483,207 | 5,743,568 | 5,743,568 | 4,935,809 | 5,031,655 |
| South Kingstown | 9,948,816 | 10,548,698 | 10,548,698 | 9,123,050 | 9,270,957 |
| Tiverton | 5,659,091 | 5,932,058 | 5,932,058 | 5,224,683 | 5,305,955 |
| Warwick | 35,894,621 | 37,626,000 | 37,626,000 | 33,163,476 | 33,596,326 |
| Westerly | 6,528,189 | 6,843,077 | 6,843,077 | 5,688,462 | 5,817,119 |
| West Warwick | 19,499,965 | 20,440,547 | 20,440,547 | 18,628,802 | 18,787,881 |
| Woonsocket | 45,455,694 | 47,616,613 | 47,661,613 | 43,885,542 | 44,198,019 |
| Bristol/Warren | 19,554,956 | 20,498,190 | 20,498,190 | 18,657,757 | 18,810,301 |
| Exeter/W Greenwich | 7,308,493 | 7,661,019 | 7,661,019 | 6,759,806 | 6,840,375 |
| Chariho District | 380,004 | 398,334 | 398,334 | 369,956 | 378,738 |
| Foster/Glocester | 5,466,199 | 5,729,861 | 5,729,861 | 5,160,046 | 5,227,566 |
| Central Falls | 41,320,556 | 43,873,873 | 43,494,684 | 41,040,523 | 42,447,862 |
| LEA Subtotal | \$658,459,317 | \$690,748,930 | \$690,880,749 | \$616,639,201 | \$633,132,655 |

Education Aid to Local Units of Government

| | FY 2006 Actual | FY 2007 Actual | FY 2008 Unaudited | FY 2009 Revised | FY 2010 Recommend |
|-------------------------------------|---------------------------|---------------------------|------------------------------|----------------------------|------------------------------|
| Non-Distributed Aid | | | | | |
| On-Site Visits | \$406,641 | \$407,774 | \$396,922 | \$145,864 | \$145,864 |
| Textbook Expansion | 499,906 | 313,488 | 327,934 | 350,000 | 240,000 |
| Hasbro Children's Hospital | 100,000 | 100,000 | 100,000 | 100,000 | 100,000 |
| School Breakfast | 600,000 | 600,000 | 600,000 | 300,000 | - |
| Professional Development | 113,135 | 670,000 | 534,446 | 495,000 | 995,000 |
| Charter School-Direct Aid | 21,956,238 | 24,339,888 | 26,844,841 | 28,813,366 | 32,173,564 |
| Progressive Support & Intervention | 2,923,308 | 2,911,164 | 2,761,130 | 2,363,432 | 3,163,432 |
| Speech Pathologist Salary Supplemen | - | 304,500 | - | - | - |
| Telecommunications Access | 176,965 | 277,965 | 277,965 | - | 350,000 |
| Subtotal | \$26,776,193 | \$29,924,779 | \$31,843,238 | \$32,567,662 | \$37,167,860 |
| State Schools | | | | | |
| Metropolitan School | \$8,814,528 | \$10,406,952 | \$11,487,732 | \$11,565,603 | \$12,187,381 |
| School for the Deaf | 6,064,415 | 6,422,553 | 6,551,039 | 6,608,662 | 5,947,646 |
| Davies School | 12,985,225 | 13,599,431 | 14,243,280 | 14,473,335 | 14,056,193 |
| Subtotal | \$27,864,168 | \$30,428,936 | \$32,282,051 | \$32,647,600 | \$32,191,220 |
| Other Aid | | | | | |
| Teachers' Retirement | \$54,537,733 | \$70,286,753 | \$83,028,510 | \$76,334,401 | \$75,283,638 |
| School Housing Aid | 46,623,676 | 46,814,982 | 49,652,310 | 54,140,052 | 63,738,663 |
| Subtotal | \$101,161,409 | \$117,101,735 | \$132,680,820 | \$130,474,453 | \$139,022,301 |
| LEA Subtotal | \$658,459,317 | \$690,748,930 | \$690,880,749 | \$616,639,201 | \$633,132,655 |
| Total Aid | \$814,261,087 | \$868,204,380 | \$887,686,858 | \$812,328,916 | \$841,514,036 |

Internal Service Fund Accounts

Internal Service Fund accounts are established to finance and account for the operations of certain state programs that provide services to other state agencies, institutions and other governmental units on a cost reimbursement basis. The purpose of such accounts is to ensure that the activities of the respective programs are managed in a businesslike manner. They are intended to promote efficient use of services by making agencies pay the full costs associated with providing the service and allocate the costs of central administrative functions across all fund types, particularly so that federal and other non-general revenue funded programs share in the costs.

Each Internal Service Fund develops its own billing structure, which is reviewed on an annual basis prior to the start of each fiscal year. In most cases, direct charges associated with a service being provided to a user agency are billed to that agency, along with an overhead charge for the administrative costs of operating the respective fund.

On the following pages are descriptions of each of the Internal Service Fund accounts requested as part of the FY 2009 Budget, with explanations of their purpose and the rationale for utilizing this structure for funding the respective program.

Department of Administration

Internal Service Fund: Health Insurance Fund

Description:

This Health Insurance Fund is used to account for the cost of health insurance coverage for employees and retirees. Historically, the state has accounted for employee health insurance costs in a series of clearing accounts related to payroll, i.e., state and employee (if any) contributions would be posted as additions to a clearing account and payments to health insurance companies would be posted as deductions. However, sometimes there wasn't a direct correlation between the "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees and determining the financial position of the state relative to provision of this benefit was time-consuming. Also, any retroactive settlements with health insurance companies required the direct allocation of the settlement back to several thousand agency budget accounts.

Purpose:

This internal service was created to account for employee and retiree health insurance transactions because the state's financial position associated with providing this employee/retiree benefit will be more readily determinable due to the sometimes indirect correlation between the amount of "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees. Also, any retroactive settlements with health insurance companies can be incorporated into a future rate charged to agency budget accounts and employees.

Efficacy:

This Internal Service Fund is used to account for the cost of health insurance coverage for employees and retirees. The current rationale for utilizing this methodology is to gain greater accounting efficiency by (1) avoiding the prior practice of allocating retroactive settlements among several thousand agency accounts and (2) being able to quickly determine the state financial position associated with providing this benefit to employees and retirees.

Internal Service Fund Accounts

Internal Service Fund: Central Utilities

Description:

The Central Utilities Internal Service Fund is responsible for the administration and fiscal management of electricity services provided to the state.

Purpose:

This unit processes all monthly payments for over 1,600 electric accounts to the state's primary supplier of electricity, National Grid. Expenditures are then billed to the respective agencies based upon the electricity usage determined by the meters assigned to that agency. In addition, this unit's overhead costs are allocated to user agencies based upon the number of meters assigned to the agency.

Efficacy:

Without this Internal Service Fund, each state agency would be independently responsible for paying electric bills for the meters assigned to that agency. This would require an internal distribution of costs to all applicable accounts within the agency, a service that is now provided by the central unit.

Internal Service Fund: Automotive Fleet

Description:

The State Automotive Fleet Internal Service Fund provides for the administration and fiscal management of state-owned vehicles and operates several fuel depots throughout the state

Purpose:

This program oversees the procurement, maintenance and allocation of 2,900 vehicles, including heavy-duty vehicles, boats, trailers, and maintenance equipment. Agencies are billed biweekly for expenses including repairs, fuel, and a surcharge (per gallon) for the operation and maintenance of fuel depots. The administrative costs for the Office of State Fleet Operations (OSFO) are charged to agencies per vehicle on an annual basis. All agencies share these overhead costs in an equitable manner.

Efficacy:

Decentralization of automotive fleet functions would result in duplication of efforts, inconsistent policies and procedures between agencies, loss of control over new vehicle purchases, and the absence of a central comprehensive database, which would make it difficult to meet mandated federal reporting requirements on alternative fuel vehicles. In further efforts to enhance this process, the Department of Administration intends to review the benefits of outsourcing certain fleet operations, primarily maintenance and repairs.

Internal Service Fund: State Fleet Replacement Revolving Loan Fund

Description:

The State Fleet Replacement Revolving Loan Fund will provide loans to state agencies for the acquisition of vehicles and related equipment. These loans will be paid back by the respective agencies over a period of three to seven years depending on the value of the equipment acquired.

Purpose:

This revolving loan fund is intended to replace the use of debt issuances by the state to fund vehicle acquisitions. As funds are repaid annually, they will be available to issue new loans.

Internal Service Fund Accounts

Efficacy:

Use of a revolving loan fund will enable the state to save on interest expense associated with the issuance of debt to acquire vehicles.

Internal Service Fund: Surplus Property**Description:**

This internal service fund oversees the acquisition and distribution of federal surplus property, as well as the storage and disposal of state surplus property.

Purpose:

Section 42-56.2-1 of the RI General Laws requires the appointment of a Federal Surplus Property Officer by the Director of Administration. This position is authorized to acquire from the federal government surplus property that may be of benefit to the state, local governments or non-profit educational or charitable institutions. This position oversees the Surplus Property Internal Service Fund. Although the Fund will acquire federal surplus property on behalf of state agencies or other eligible recipients, they do not bill for this service. The program is not currently generating revenues. It had been charging state agencies for storage space at the Cranston Street Armory, but was required to vacate this facility during its use as a film stage. The program is in the process of developing a web site that can be used to sell surplus property. Any revenues generated from the sale of property would be used to defray the cost of the program, including building maintenance, forklift rental and supplies.

Efficacy:

The staff responsible for overseeing the surplus property program is funded with General Revenues and thus these costs are not allocated to user agencies. As stated above, the only billings made by this internal service fund are currently for space rental at a state warehouse. Without the existence of an internal service funds, these costs could be billed to the user agencies using an alternative accounting method or the costs to operate the warehouse could be fully funded with general revenues, thereby eliminating the need to bill any agency for storage.

Internal Service Fund: Central Mail**Description:**

The Central Mail unit provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Purpose:

Aggregation of mail functions constitutes a major source of cost savings and cost avoidance for government operations statewide. Costs are allocated to agencies via a billing system that applies the internal and external costs to agency budgets.

Efficacy:

This Internal Service Fund is used to allocate the cost of mail processing to various agencies. The current rationale for utilizing this methodology is to gain greater efficiency from the central management of mail processing services.

Internal Service Fund Accounts

Internal Service Fund: Telecommunications/Centrex

Description:

The Telecommunications Internal Service Fund supports all voice and data communications infrastructure throughout state government, as well as the public information operators. Voice services include planning, procurement, provision, installation and maintenance of all voice telephone devices, lines and extensions, billing and vendor liaison. Data services include planning, procurement, provision, installation, addressing, routing and maintenance of all WAN data lines and data connections, access to the Internet, security and filtering, billing, and vendor liaison throughout state government.

Purpose:

This Internal Service Fund, as with the Central Utilities Fund, processes all payments for voice communication services to the providers of these services (primarily Verizon). Agencies are then billed monthly for their usage, as well as an overhead charge allocated based upon the number of phone lines in each agency.

Efficacy:

This Internal Service Fund is used to allocate the cost of telephone services to the various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of direct and indirect telecom costs. Without the existence of this fund, each agency would be responsible for receiving and paying invoices for telecommunication services provided to their agency.

Internal Service Fund: Assessed Fringe Benefit

Description:

The Assessed Fringe Benefit Internal Service Fund makes payments for various employee fringe benefits. The fund generates revenue by assessing a charge against the amount of salaries and wages paid to state employees.

Purpose:

The assessment charged by this fund pays for the following employee fringe benefits: services provided by the Donley Center; services of the Workers' Compensation Court and the Division of Workers' Compensation administrative costs related to workers' compensation activities; payments to Workers' Compensation providers; Workers' Compensation benefit payments to employees; Unemployment Compensation payments; and payments to employees for unused leave upon termination from state service.

Efficacy:

This fund was established in part to eliminate the fluctuations in agency budgets that result from workers' compensation claims, unemployment claims, and termination costs. The use of the statewide assessment also meets federal cost allocation requirements.

Internal Service Fund Accounts

Mental Health, Retardation and Hospitals

Internal Service Fund: Central Pharmacy*

Description:

The Central Pharmacy provides medications and medical/surgical supplies to institutional level pharmacies within MHRH, as well as other state agencies and thirteen community based pharmacies that participate in the Community Mental Health Medication Assistance Program. In addition, fifty group homes and three skilled nursing facilities, operated by the Division of Developmental Disabilities, receive services, non-legend medications and medical/surgical supplies from this service.

Purpose:

The purpose of the Central Pharmacy is to provide agencies with a stable source of medication and medical/surgical supplies. This warehouse distribution center obtains its supplies through volume purchasing and distributes them in bulk. Billing is done monthly on a cost plus markup basis, which provides for service expenses.

Efficacy:

This Internal Service Fund is used to allocate the cost of services to various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of medication and medical/surgical supply procurement and distribution and to gain better pricing through volume purchasing.

Internal Service Fund: Central Laundry*

Description:

The Central Laundry is a production facility that processes soiled linens for the Eleanor Slater Hospital (MHRH), the Medical Examiner's Office (DOH) and the Welcome Arnold Center (DHS operated homeless shelter).

Purpose:

The Central Laundry assures that clean linen is available for hospital patients, the Medical Examiner's staff and the homeless. This helps maintain a clean and healthy environment in areas that utilize Central Laundry services. Laundry services are billed to agencies using a predetermined rate per pound for linen processed and per pound rate for linen replacement.

Efficacy:

This Internal Service Fund is used to allocate the cost of services to various agencies. The current rationale for utilizing this methodology is to improve efficiency from central management of laundry services.

*It is the intent of the Department to cease internal service fund operations by June 30 2009. Eleanor Slater Hospital is the primary recipient of services from both internal service funds. Both operations will be collapsed into the Eleanor Slater Hospital program. User agencies will purchase services directly from other vendors.

Internal Service Fund Accounts

Department of Corrections

Internal Service Fund: Correctional Industries

Description:

Correctional Industries are the work programs in correctional facilities that provide real world work experience to inmates, teaching them transferable job skills and work ethic to help them prepare for post-release reentry and employment.

Purpose:

Correctional Industries employees 218 inmates who manufacture various products or provide services to state agencies, cities and towns and non-profit organizations. This is the only self-funded reentry support program in corrections - no appropriated funds are required for its operation since it relies on revolving funds from the income generated by the sale of products and services produced through the program.

Efficacy:

Because Correctional Industries' customer base not only includes state agencies, but also municipal and non-profit agencies, it is virtually impossible to have any mechanism other than an Internal Services Fund to allow for the continuation of this program.

Internal Service Fund: Corrections Warehouse / Central Distribution Center

Description:

The Central Distribution Center is responsible for the central purchase of food staples, janitorial items, and other supplies for warehousing and distribution/sale to state agencies and state school districts. The unit also administers the U.S. Department of Agriculture food distribution program.

Purpose:

This Internal Service Fund was created in order to provide for the most cost-effective procurement and delivery of goods to other state programs. The Fund also utilizes inmates working under the supervision of warehouse staff in order to increase the capacity to serve various customers and to provide work training experience for inmates.

Efficacy:

The current rationale for this Internal Service Fund is to improve efficiency by providing a centralized mechanism for the purchase and distribution of goods.

Internal Service Fund Accounts

Secretary of State

Internal Service Fund: Record Center

Description:

The State Records Center stores and manages the nonpermanent records of state agencies and funds the Public Records Administration office. This program, a central storage facility for inactive state government records, serves all state agencies.

Purpose:

This Internal Service Fund ensures that state agencies pay only for actual records stored and services received. Most states and the federal government operate their programs through the same or similar means. A Master Price Agreement with Capital Records Management (CRMC) governs overall records storage costs and services. Although CRMC transports and stores the records, state agencies' service requests are channeled through the Public Records Administration office. On a monthly basis, CRMC provides the PRA with a master invoice for all charges to the state, and also individual agency accounts.

Efficacy:

The State Records Center could receive a direct appropriation of funds to cover the entire cost of record storage and other services for all agencies instead of each agency being allotted their own requested amount, but this method would not be as efficient as the current rotary account. A rotary account ensures that agencies pay for actual records stored and services received. A direct appropriation would be an estimate that would have to be revised at the end of the fiscal year.

Department of Public Safety

Internal Service Fund: Capitol Police Rotary

Description:

The Capital Police Rotary account is for reimbursements from other departments within the state that the Capitol Police provides service for.

Purpose:

To supply security services to the Department of Human Services; Department of Children Youth & Families; Division of Motor Vehicles; Operator Control on the Pastore Complex; and the Department of Transportation. Their mission is to protect property and ensure the safety of the employees with those buildings as well as protecting the public that enter these facilities daily to conduct business.

Efficacy:

This Internal Service Fund is used for the personnel funding for 8.0 capitol police officers.

Definition of Categories of Expenditures

Definition of Categories of Expenditures

Generally, the amounts reflected in the categories of expenditures in the *FY 2010 Executive Summary* and in the *FY 2010 Budget* were derived by identifying amounts spent or budgeted in certain budget object codes. The transition from the Rhode Island State Automated Information Link system (RISAIL) to the Rhode Island Financial Accounting Network System (RIFANS) on July 1, 2006, provided opportunity to classify expenditures in hierarchical order of major categories. Each category is described in the following sections with the associated natural codes listed below.

Salary/Wages and Other Compensation and Employee Benefit Programs:

Includes expenditures for salaries, wages, fringe benefits, and workers' compensation costs.

The personnel category includes all payments for all individuals employed by the state.

RIFANS**Natural**

| | |
|--------|----------------------------------------------------|
| 611000 | Regular Wages |
| 611999 | Contract Reserve |
| 612000 | Seasonal/Special Salaries/Wages |
| 614001 | Overtime |
| 614100 | Overtime (1.5) |
| 614200 | Overtime (2.0) |
| 614300 | Overtime (Straight Time) |
| 614400 | Holiday Pay |
| 614500 | Correctional Officers' Briefing Time |
| 614600 | Overtime: Other (i.e. Seasonal) |
| 616001 | Stipend Payments |
| 616100 | Cash Bonuses for HMO Participation |
| 616200 | Family Medical Insurance Coverage Waiver Bonus |
| 616300 | Contractual Stipend Payments |
| 616400 | Accrued Leave Severance Pay |
| 619000 | Payroll and Employee Benefits Accrual |
| 620100 | Employees' Retirement - State Contribution |
| 620200 | Retirement: State Police Troopers (hired after 7-1 |
| 620300 | Retirement: Judges (hired after 12-31-89) |
| 620400 | Retirement: Teachers |
| 620700 | Retirement: Laborers' International Union of North |
| 621110 | Social Security (FICA) Old Age, Sickness and Disab |
| 621120 | Medicare (FICA) Hospital Insurance Tax |
| 621130 | FICA on Severance Pay |
| 621600 | Retirement: Federal Retirement System |
| 624110 | Employer Cost of Employee Medical Insurance |
| 624120 | Employer Cost of Employee Dental Insurance |

RIFANS**Natural**

| | |
|--------|----------------------------------------------------|
| 624130 | Employer Cost of Employee Vision Insurance |
| 624140 | Employer Cost of Employee Prescription Insurance |
| 624210 | Medical Care Insurance - Retirees |
| 624230 | Vision Care Insurance - Retirees |
| 624300 | Health Insurance - Retired Employees (1986 Window) |
| 624400 | Insurance Settlement Account |
| 624500 | Disability Insurance (TIAA) |
| 624600 | Life Insurance |
| 625100 | Workers' Compensation Payments - Regular Cases |
| 625200 | Workers' Compensation Payments - Assault Cases |
| 625300 | Workers' Compensation Payments - Specific Injury |
| 625310 | Workers' Compensation Payments - Lump Sum |
| 625320 | Injured Workers' Incentive Payments |
| 625400 | Workers' Compensation Payments - Weekly Payments |
| 625410 | Workers' Compensation Payments - Dependency |
| 625420 | Workers' Compensation Payments - Post Maximum |
| 625500 | Workers' Compensation Payments - Hospital Charges/ |
| 625510 | Workers' Compensation Payments - Medicine, Drugs, |
| 625520 | Workers' Compensation Payments - Alternative Care |
| 625600 | Administrative Costs - Worker's Compensation Divis |
| 625700 | Workers' Compensation - Attorney and Witness Fees |
| 625800 | Workers' Compensation - Practitioners Charges |
| 626100 | Assessed Fringe Benefits Fund Assessment |
| 626200 | Unemployment Compensation - State Employees |
| 626300 | Retiree Health Insurance |
| 626400 | Miscellaneous Benefits |
| 627000 | Uncompensated Leave Day |

Contracted Professional Services:

Expenses include non-personnel expenditures for all consulting and professional services received by State Government.

RIFANS**Natural**

| | |
|--------|-----------------------------------------|
| 631001 | Financial Services |
| 631010 | Financial Services: Accounting/Auditing |
| 631020 | Financial Services: Investment/Banking |
| 631030 | Financial Services: Actuary |
| 631040 | Financial Services: Economists |
| 631050 | Financial Services: Other |
| 631100 | Public Relations Services |
| 631200 | Management Consultants |
| 632001 | Information Technology Services |

RIFANS**Natural**

| | |
|--------|----------------------------------------------------|
| 635140 | Legal Services: Expert Witnesses |
| 635150 | Legal Services: General/Other |
| 635200 | Constable Services/Process Servers |
| 636001 | Medical Services (non-client based) |
| 636100 | Doctors, Specialists, Medical Consultants, Dentist |
| 636200 | Hospital Treatment |
| 636300 | Veterinary Services |
| 636400 | Laboratory Testing, X-Rays, MRI's |
| 636500 | Nursing/Convalescent Care and/or Treatment |

Definition of Categories of Expenditures

| | | | |
|--------|----------------------------------------------------|--------|-----------------------------------------------------|
| 632140 | Information Technology: Programming | 636600 | Other Medical Services |
| 632150 | Information Technology: System Design | 637001 | Temporary Services |
| 632160 | Information Technology: System Support | 637100 | Clerical Services |
| 632170 | Information Technology: Database Administration | 637200 | Stenographic Services for Court or Public Hearings |
| 632180 | Information Technology Services: General | 637210 | Rental / Lease RI CNV Center |
| 633001 | Training and Educational Services | 637300 | Other Temporary Services |
| 633100 | Training and Educational Services | 638001 | Buildings and Groundskeeping Services |
| 633200 | Seminars and Conferences | 638100 | Cleaning of Buildings/Offices (Janitorial Services) |
| 633300 | Lecturers and Training Consultants | 638200 | Extermination Services |
| 634001 | Design, Engineering, Surveying and Environmental S | 638300 | Lawn Maintenance and Groundskeeping |
| 634100 | Engineering Services | 638400 | Other Building and Grounds Services |
| 634200 | Architectural Services | 639001 | Other Contracted Professional Services |
| 634300 | Surveyor Services | 639100 | Honorariums |
| 634400 | Environmental Services | 639200 | Interpreters/Translators |
| 634500 | Other Design, Engineering, Survey and Environ. Ser | 639300 | Appraisers/Title Examiners |
| 634510 | Fuel: Oil #1 - Kerosene | 639400 | Records Management |
| 635001 | Legal Services | 639500 | Security Services |
| 635110 | Legal Services: Special Counsel | 639600 | Fire Protection Services |
| 635120 | Legal Services: Contracts | 639700 | University/College Services |
| 635130 | Legal Services: Labor Relations | 639800 | Communications and Media Related Services |

Operating Supplies and Expenses:

Expenses include non-personnel expenditures for operations of state government including maintenance and non-fixed equipment (capital outlay). One exception is the treatment of medicine and drug expenditures for the pharmaceutical assistance program, which are reflected as grants and benefits.

RIFANS Natural

| | |
|--------|----------------------------------------------------|
| 640001 | Building-Relating Maintenance and Repairs |
| 640100 | Building Maintenance and Repairs |
| 640200 | Grounds Maintenance |
| 640300 | Energy Conservation Expenses |
| 640400 | Waste Disposal |
| 641001 | Non-Building Related Maintenance and Repairs |
| 641100 | Maintenance/Repairs: Vehicles |
| 641200 | Maintenance/Repairs: Computer Equipment |
| 641300 | Maintenance/Repairs: Software Agreements |
| 641400 | Maintenance/Repairs: Office Equipment |
| 641500 | Maintenance/Repairs: Medical Equipment |
| 641600 | Maintenance/Repairs: Other Equipment |
| 642001 | Roads/Bridges/Parking Lot Expenses |
| 642100 | Snowplowing and Sanding Expenses |
| 642200 | Road Maintenance and Repairs Expenses |
| 642300 | Striping Expenses |
| 642400 | Safety Expenses |
| 642500 | Paving Supplies/Expenses |
| 642600 | Signage/Sign Painting/Lettering |
| 643010 | Clothing and Accessories |
| 643011 | Staff Clothing, Uniforms, and Clothing Accessories |
| 643012 | Client Clothing, Uniforms and Clothing Accessories |
| 643020 | Linen and Laundry Expenses |
| 643021 | Central Laundry (MHRH ISF - for ISF use only) |
| 643030 | Food |
| 643110 | Office Supplies and Equipment (less than \$5000) |

RIFANS Natural

| | |
|--------|----------------------------------------------------|
| 644120 | Fuel: Oil #2 - Home Heating Oil |
| 644130 | Fuel: Oil #4 |
| 644140 | Fuel: Oil #6 - Bunker 'C' |
| 644200 | Fuel: Coal (used for heating purposes) |
| 644300 | Fuel: Natural Gas (used for heating purposes) |
| 644400 | Fuel: Gasoline/Diesel Fuel |
| 644510 | Electricity - Direct Payments to Utilities |
| 644520 | Electricity - Central Utilities Fund (for ISF use) |
| 644521 | Electricity - Payments to Utilities |
| 644522 | ISF Overhead/Service Charges |
| 644600 | Steam |
| 644700 | Water - Expenditures for Water Consumption |
| 644800 | Sewer Use Charges |
| 645100 | Rental: Clothing and Linens |
| 645200 | Rental/Lease: Equipment |
| 645300 | Rental/Lease: Property |
| 645310 | Rental of Outside Property |
| 645320 | Rental of State Owned Property |
| 645400 | Rental/Lease: Vehicles |
| 645510 | Lease Financing - Principal |
| 645520 | Lease Financing - Interest |
| 646100 | Expenses for Travel and Transportation of State Wa |
| 646200 | Mileage Allowance - Personally Owned Vehicles |
| 646301 | Out-of-State Travel |
| 646310 | Out-of-State Travel: Transportation |
| 646320 | Out-of-State Travel: Lodging |

Definition of Categories of Expenditures

| | | | |
|--------|-----------------------------------------------------|--------|-----------------------------------------------------|
| 643120 | Computer Supplies and Software and Equipment (less | 646330 | Out-of-State Travel: Registrations |
| 643130 | Janitorial Supplies | 646340 | Out-of-State Travel: Other |
| 643140 | Kitchen/Household Supplies and Equipment (less than | 646400 | Other Travel-related Costs |
| 643150 | Program Supplies and Equipment (less than \$5000) | 647100 | Information Technology Charges (DOIT ISF) |
| 643160 | Security/Safety Supplies | 647110 | ISF Overhead/Service Charges |
| 643170 | Military Supplies | 647120 | Direct Services (Programming, etc.) |
| 643180 | Building/Plant/Machinery Supplies and Equipment | 647130 | Seat License (flat fee per staff member) |
| 643190 | Landscaping Supplies and Equipment (less than \$500 | 647140 | Maintenance Contracts |
| 643200 | Dues and Fees | 647150 | Computer Replacement |
| 643300 | Subscriptions | 647160 | Hardware |
| 643401 | Postal, Freight and Delivery Services | 647200 | Human Resources Service Centers |
| 643410 | Postage and Postal Services | 647300 | Facilities Management Charges (Facilities ISF) |
| 643420 | Express Delivery | 647310 | ISF Overhead/Service Charges |
| 643430 | Freight | 647320 | Lease Payments (based on square footage) |
| 643440 | Central Mail Processing ISF (for ISF use only) | 647330 | Special Services |
| 643441 | Postage Charges | 647401 | State Fleet (ISF) |
| 643442 | ISF Overhead/Service Charges | 647600 | Legal Services (Central Legal Office - for central |
| 643500 | Records Storage/Retrieval Costs (Records Center Ch | 647700 | Correctional Industries (for ISF use only) |
| 643510 | Records Storage and Retrieval Costs | 647800 | Central Warehouse (DOC - for ISF use only) |
| 643520 | ISF Overhead/Service Charges | 647900 | Internal Agency IT Charges |
| 643610 | Advertising | 648100 | Telephone and Telegraph Services |
| 643611 | Print Advertising | 648110 | Central Telephone Services (CENTREX) |
| 643612 | Radio Advertising | 648111 | Telephone Charges |
| 643613 | Television Advertising | 648112 | ISF Overhead/Service Charges |
| 643614 | Other Advertising | 648200 | Telephone - Cellular and Mobile |
| 643615 | Agent's Materials - Lottery | 648300 | Pager (Beeper) Systems |
| 643620 | Printing - Outside Vendors | 648500 | Maintenance/Repairs: Communication Systems |
| 643621 | Printing Services Provided by State Agencies | 649110 | Fees: Notary Public |
| 643700 | Miscellaneous Expenses | 649120 | Fees: Single Audit |
| 643710 | Staff Training | 649130 | Fees: Bonds and Notes (Cost of Issuance) |
| 643720 | Lottery Commission Payments | 649140 | Fees: Food Stamp Transaction Costs |
| 643801 | Insurance | 649150 | Fees: Credit Card Processing Fee |
| 643810 | Insurance: Property/Casualty/Liability | 649160 | Fees: Miscellaneous |
| 643820 | Insurance: Professional and Occupational | 649320 | Interest: Late Payments |
| 643910 | Pharmaceuticals | 649330 | Interest: Earnings |
| 643920 | Medical Supplies (non-Rx) | 649400 | Refunds, Bad Debt and Other Non-Expense Items |
| 643930 | Central Pharmacy (MHRH ISF - for ISF use only) | 649500 | Indirect Cost Assessments on Federal Accounts |
| 643931 | Pharmaceuticals | 649600 | Inventory Purchases for Internal Service Funds (Rot |
| 643932 | Medical Supplies (non-Rx) | 649700 | Retiree Health Subsidy |
| 643933 | ISF Overhead/Service Charges | 649800 | Rate Reimbursement Charge |
| 644101 | Fuel: Oil | | |

Assistance and Grants:

Includes all grants and benefits to individuals or organizations without taxing authority, either direct or through reimbursements to cities and towns for public assistance, and grants to other entities, such as private non-profit providers. Certain retirement pensions for retired employees are also included. This category includes expenditures in the Medicaid program for services and supplies, direct public assistance and payments for client services in the human service agencies.

RIFANS

Natural

| | |
|--------|--------------------------------|
| 651101 | Direct Public Assistance |
| 651110 | Public Assistance: Medical |
| 651120 | Public Assistance: Subsistence |
| 651201 | Indirect Public Assistance |

RIFANS

Natural

| | |
|--------|-------------------------------|
| 653210 | Dental Services |
| 653220 | Nursing/Convalescent Services |
| 653230 | Veterinary Services |
| 653240 | Disability Determination |

Definition of Categories of Expenditures

| | | | |
|--------|----------------------------------------------------|--------|------------------------------------------------------|
| 651210 | Payment of Provider Assessment | 653250 | Outpatient Services |
| 651220 | Interfund Transfer/Provider Assessment | 653260 | Inpatient Services |
| 651230 | Taxable Medicaid Payments via MMIS | 653270 | Testing Services |
| 651240 | Non-Taxable Medicaid Payments via MMIS | 653280 | Habilitative/Rehabilitative Services |
| 651250 | Subsidy Programs: State Dependents and Delinquents | 653290 | Counseling Services |
| 651260 | Subsidy Program: RI Pharmaceutical Assistance | 653301 | Legal Services for Clients |
| 652110 | Retirement Pensions: State Police | 653310 | Guardian Ad Litem Services |
| 652120 | Pensions: Judges | 653320 | Defense of Indigents |
| 652130 | Retirement Pensions: Teachers | 654110 | Legislative Grants (Letters of Intent) |
| 652140 | Retirement Pensions: Municipal Police and Fire Pen | 654120 | Other Grants |
| 652150 | Supplemental Pension - Early Retirement (83H-5149, | 654200 | Public Finance of Election Campaigns |
| 653101 | Client Services | 654300 | Non-State SDA Payments (Dept. of Labor and Training) |
| 653110 | Social Services for Clients | 654400 | Non-Taxable Claims, Settlements, Judgments |
| 653120 | Substance Abuse Services | 654500 | Taxable Claims, Settlements, Judgments and Torts |
| 653130 | Education Services | | |
| 653201 | Medical Services for Clients | | |

Capital Purchases and Equipment:

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds

RIFANS
Natural

| | |
|--------|-----------------------------------------------------|
| 660001 | Capital Budget (RICAP and Bond Accounts) |
| 660010 | Building Renovations and repairs |
| 660020 | Plumbing Renovations and repairs |
| 660030 | Electrical Renovations and repairs |
| 660040 | Land Improvements |
| 660050 | Architectural and Engineering Services |
| 660095 | Other |
| | Lawn, Landscape and Grounds Maintenance |
| 660101 | Equipment |
| 661101 | Land |
| 661131 | Non-Depreciable Land Improvements |
| 661141 | Depreciable Land Improvements |
| 661201 | Buildings and Other Structures |
| 661202 | Architecture and Engineering - Capital Improvement |
| 661211 | Building Renovations and Improvements - Minimum \$2 |
| 661221 | Leasehold Improvements - Minimum \$250,000 |
| 661231 | Historic Buildings |

RIFANS
Natural

| | |
|--------|-------------------------------------------------------|
| 661241 | For Accounts and Control Use Only |
| 661251 | Buildings and Other Structures (Less than \$250,000) |
| 661302 | Construction in Progress |
| 661351 | Architecture and Engineering - CIP |
| 661361 | Consultant Services - Computer Systems Development |
| 661402 | Works of Art, Historic Treasures and Memorabilia |
| 661501 | Motor Vehicles |
| 661521 | Trailers |
| 661522 | Heavy Equipment |
| 661601 | Aircraft, Boats and Related Equipment |
| 661605 | Furniture and Equipment |
| 661701 | Computer Equipment (\$500 to \$4,999) |
| 661801 | Land Rights/Development of Rights/Rights of Way |
| 661821 | Computer User Licenses (\$1,000,000 or more) |
| 661910 | Infrastructure (DOT Use Only) |
| 662150 | Capital Lease |

Aid to Local Units of Government

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds.

| | | | |
|--------|------------------|--------|---------------|
| 671100 | Community Aid | 671120 | Pass-Thru Aid |
| 671110 | Appropriated Aid | 671200 | Education Aid |

Debt Service:

Includes fixed charges for principal and interest on general obligation bonds, revenue bonds, bonds of the colleges and universities and all other state borrowing

| | | | |
|--------|---------------------------------------------|--------|----------------------------------|
| 681100 | Principal: General Obligation: Serial Bonds | 682100 | Interest: Interest: Serial Bonds |
| 681200 | Principal: General Obligation: CABS | 682200 | Interest: Interest: CABS |

Definition of Categories of Expenditures

| | | | |
|--------|----------------------------------------------------|--------|-----------------------------------------------|
| 681300 | Principal: General Obligation: Revenue Bonds - RIR | 682300 | Interest: Interest: Revenue Bonds - RIRBA |
| 681400 | Principal: College/University Debt Service | 682400 | Interest: College/University Debt Service |
| 681500 | Principal: Certificates of Participation | 682500 | Interest: Certificates of Participation |
| 681600 | Principal: Short Term Borrowing | 682600 | Interest: Short Term Borrowing |
| 681700 | Principal: Non-G.O. Debt Service | 682700 | Interest: Non-G.O. Debt Service |
| | | 682800 | Accreted Interest: Other Debt Service Charges |

Operating Transfers and Other Financing Uses:

Reflects the transfer of funds between different funds and to component units of state government.

RIFANS**Natural**

| | |
|--------|---------------------------------------------------------|
| 691000 | Operating Transfers |
| 691110 | Operating Transfers Out to: General Fund |
| 691120 | Operating Transfers Out to: ISTEAFund |
| 691140 | Operating Transfers Out to: TDI Fund |
| 691150 | Operating Transfers Out to: Permanent School Fund |
| 691160 | Operating Transfers Out to: Debt Service Fund |
| 691170 | Operating Transfers Out to: Bond Capital Fund |
| 691180 | Operating Transfers Out to: Clean Water Trust Fund |
| 691190 | Operating Transfers Out to: COPS Fund |
| 691200 | Operating Transfers Out to: Lottery Fund |
| 691210 | Operating Transfers Out to: Correctional Industries |
| 691220 | Operating Transfers Out to: ERS Fund |
| 691230 | Operating Transfers Out to: State Police Retirement |
| 691240 | Operating Transfers Out to: Judicial Retirement |
| 691250 | Operating Transfers Out to: MERS Fund |
| 691260 | Operating Transfers Out to: Employment Security |
| 691280 | Operating Transfers Out to: Economic Policy Council |
| 691290 | Operating Transfers Out to: Convention Center Authority |
| 691300 | Operating Transfers Out to: GARVEE Fund |
| 691310 | Operating Transfers Out to: Assessed Fringe Benefits |
| 691320 | Operating Transfers Out to: Tobacco Trust Fund |

RIFANS**Natural**

| | |
|--------|-------------------------------------------------------|
| 691330 | Operating Transfers Out to: Tobacco Settlement |
| 691340 | Operating Transfers Out to: Surplus Property Fund |
| 692110 | Operating Transfers to: URI |
| 692120 | Operating Transfers to: RIC |
| 692130 | Operating Transfers to: CCR |
| 692140 | Operating Transfers to: Central Falls School District |
| 692150 | Operating Transfers to: Economic Development Corp. |
| 692170 | Operating Transfers to: Higher Education Assistance |
| 692180 | Operating Transfers to: RIPTA |
| 692190 | Operating Transfers to: RI Public Telecommunication |
| 692200 | Operating Transfers to: RI Children's Crusade for |
| 692210 | Operating Transfers to: Narragansett Bay Commission |
| 692220 | Operating Transfers to: RIHMFC |
| 692230 | Operating Transfers to: RICWFA |
| 692250 | Operating Transfers to: RIAC |
| 692260 | Operating Transfers To: RI Water Resources Board C |
| 692270 | Operating Transfers to: Quonset Development Corp |
| 692280 | Operating Transfers to: RI Resource Recovery Corp |
| 699100 | Payments to Bond Refunding Escrow Agent |
| 699400 | Unemployment Insurance Paid to Other States |
| 699999 | Loss on Disposition of Capital Assets |

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Glossary of Budget Terms

Glossary of Budget Terms

Actual Expenditures: Amounts certified by the Division of Accounts and Control that have been spent in past fiscal periods. Actual expenditures are distinguished from budgeted amounts for incomplete fiscal periods which are planned or projected expenditures. Actual expenditures for the prior fiscal year are based upon the State Controller's preliminary closing and do not reflect any post-audit adjustments.

Appropriation: An act of the General Assembly authorizing expenditures of designated amounts of public funds for specific state budget programs within a state fiscal year. In recent appropriation acts, appropriations are equated with line items within the act.

Federal Funds: Amounts collected and made available to the state usually in the form of categorical or block grants and entitlements.

Fiscal Note: An estimate of the fiscal impact of legislative bills either drafted, introduced, or at a later stage of consideration.

Fiscal Year (FY): A twelve-month state accounting period, which varies from a calendar year and federal fiscal year. The fiscal year for Rhode Island State government begins on July 1 and ends on June 30. The federal fiscal year begins on October 1 and ends on September 30.

Five-Year Forecast: Financial projections of anticipated revenues and expenditures, including detail of principal revenue sources and expenditures by major program areas over five fiscal years. Such projections are required by state law to be included in the Governor's annual budget submitted to the General Assembly.

FTE Authorization: The number of full-time equivalent positions allowed to each agency and department as set forth in the Appropriation Act or the Supplemental Appropriation Act passed by the General Assembly. Departments and agencies are expected to keep within these FTE caps. Should an adjustment to the full time equivalent positions authorized for a department or agency be required for an urgent reason, a change in the FTE cap may be authorized with the approval of the Governor, the Speaker of the House and Senate Majority Leader.

Full-Time Equivalent Positions (FTE): A numerical measurement of the workforce, which constitutes a common denominator between full and part-time employment. To illustrate, an employee working full-time is counted as 1.0 full time equivalent position while an employee working half-time would be counted as a 0.5 full time equivalent position. If a state agency hired these two employees only, the total number of full-time equivalent positions for that agency would be 1.5. For a technical description of the part-time positions included in the full-time equivalent position count, see the glossary in the Personnel Supplement.

Fund Balance: See general fund free surplus.

General Fund: The operating fund of the State, to which all revenues not specifically directed by statute to another fund, are deposited. This includes state general revenue, federal, restricted, and other funds, which support state operations.

General Fund Free Surplus: The undesignated fund balance, which is available for appropriation and expenditures in subsequent fiscal years.

Glossary of Budget Terms

General Revenues: State tax and departmental receipts which are credited for discretionary appropriation as distinguished from receipts collected for restricted purposes and federal grants.

Internal Service Program: A program that aggregates certain services needed by state operated programs and activities, which are provided on a centralized basis. The costs of these services are borne by the user agencies through a charge system, which allocates the cost of delivery of the goods or services. The operations of this program are shown in the state budget for display purposes only, since the costs are reflected in the budgets of the user agencies both on an actual and budget basis.

Medical Assistance and Public Assistance Caseload Estimating Conference: Similar to the Revenue Estimating Conference, it adopts consensus welfare and medical assistance caseload estimates. It consists of the Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor who meet in May and November of each year.

Modified Accrual: The basis upon which the Rhode Island state budget is prepared wherein the obligations of the state are classified as expenditures if the goods and/or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated by the members of the Revenue Estimating Conference on the same basis as the fund financial statements are prepared, which is also a modified accrual basis.

Operating Deficit: The amount by which the state's current revenues from general revenue sources are less than its expenditures for a fiscal year. The operating deficit calculation excludes any beginning year surplus.

Operating Surplus: The amount by which the state's current revenues from general revenue sources exceed its expenditures for a fiscal year. The operating surplus calculation excludes any beginning year surplus which may be available to fund expenditures.

Other Funds: Resources that are expended in the budget, which are not considered general revenues, restricted receipts, or federal grants are identified as "Other Funds". This includes, for example, tuition and fees at the University and Colleges and the dedicated gas tax in the ISTEAA fund.

Program Measure: A management tool that measures empirically the outcome of a budget program's efforts to achieve an objective. The outcome is measured against a standard established by each agency and department and should indicate not merely what a program does but how well it does it.

Purchased Services: Contracts with non-state entities providing services for state agencies consistent with their objectives. The contract could be established between a state agency and a private person, firm or non-profit agency or it could be established with another governmental entity such as a federal agency.

Glossary of Budget Terms

Reappropriation: The authority of the Governor, in accordance with Section 35-3-15 of the General Laws, to reappropriate funds for the same purpose which are unexpended at the close of the fiscal year. All changes are reflected in the supplemental appropriations act.

Restricted Receipts: State receipts which are collected to be expended for purposes confined to those specified in the General Laws of the State.

Revenue Estimating Conference: A three-person forecasting committee whose objective is to reach consensus on state revenue projections that are used as a basis for the state budget. The Committee consists of the State Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor. This Committee is required, by law, to meet in November and May of each year.

Quasi-Public Agency: An agency, the legal basis for which is established in state law but which nevertheless, enjoys a degree of independence from state government in its governance and policy making.

Subprogram: Two or more integral components of a budget program that can be separately analyzed for a better understanding of the program.

Supplemental Appropriation: An act of the General Assembly appropriating additional funds beyond the amounts allocated in the original appropriation act to state agencies that are expected to incur a deficiency. In practice, supplemental requests are expected to identify any proposed decreases to the original amounts appropriated as well as proposed increases. (See 35-3-8. of the Rhode General Laws). Supplemental appropriations provide additional budget authority beyond the original estimates for budget programs (including new programs authorized after the date of the original appropriation act) where the need for funds is too urgent to be postponed until enactment of the appropriation bill for the next fiscal year.

Technical Appendix: A budget document that provides detailed information in support of the data reflected in *The Budget* and the *Executive Summary*. The allocations for each appropriation account are listed for a convenient reference. Detailed descriptions of the program measures used by the various agencies and departments are also provided.

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Five-Year Financial Projection

FY 2010 – FY 2014 Overview

Summary

This Five-Year Financial Projection has been prepared pursuant to Section 35-3-1 of the Rhode Island General Laws, which requires that the Budget Officer:

(6) Prepare a five-year financial projection of anticipated general revenue receipts and expenditures, including detail of principal revenue sources and expenditures by major program areas, which projection shall be included in the budget submitted to the general assembly pursuant to subsection 35-3-7.

The five-year projection includes tables that present anticipated revenues and expenditures for the five fiscal years ending in June 2014. Tables which detail planning values are also included. The planning values reflect policy assumptions as well as economic and demographic forecasts.

The purpose of the five-year forecast is to provide a baseline fiscal outlook for the State of Rhode Island. Although the forecast may be utilized as a benchmark in assessing the affordability and desirability of alternative policy options, caution should be exercised in the interpretation of the forecast.

From the FY 2010 budget base, expenditures will exceed revenues in each of the out-years projected through FY 2014. The operating deficits range from \$155.6 million in FY 2011, \$370.0 million in FY 2012, \$429.9 million in FY 2013, and \$482.4 million in FY 2014. In percentage terms, the deficits are projected to approach 4.7 percent of spending in FY 2011 and 12.6 percent in FY 2014. The expenditure-side of the budget is estimated to increase at an average annual rate of 5.5 percent from the FY 2010 base to FY 2014. Inflation, however, as measured by the CPI, is expected to grow at an annual rate of 2.1 percent. A number of factors are responsible for the rate of growth above inflation, as discussed in detail below.

The five year projection anticipates average annual revenue growth of approximately 2.5 percent beyond the budget year, based upon the adopted November 2008 Revenue Estimating Conference forecast for the Rhode Island economy and the Governor's recommended changes to adopted revenues. The forecasts used here assume the immediate downward swing in the economy will impact both FY 2009 and FY 2010 before beginning to recover in FY 2011. The recovery is expected to strengthen in FY 2012 and then achieve a long-run equilibrium growth rate in FY 2013 and FY 2014. Personal income is forecast to grow at an average annual rate of 4.3 percent, employment at an average annual rate of 1.2 percent, and wage and salary disbursements at an average annual rate of 3.5 percent over the FY 2011 — FY 2014 period. A risk to the revenue forecast is the timing of the economic recovery. If the recovery takes hold sooner than forecast, then the out-year growth rates, particularly in employment, would be lower. The tradeoff, of course, is that near-term revenue estimates would likely be better than currently estimated. Conversely, if the economic recovery takes hold later than forecast, then the outyear growth rates would be too optimistic and both the near-term and the outyear revenue forecasts may be overstated.

The FY 2010-FY 2014 five year forecast reveals the impact of recent federal action, which was aimed at generating economic activity and providing the states with fiscal relief. Congress proposed, and on February 17, 2009, President Obama signed the American Recovery and Reinvestment Act of 2009 ("ARRA"). The Act provides \$789 billion nationwide through spending programs, tax cuts, and other provisions. While one of the goals of the ARRA is to generate

FY 2010 – FY 2014 Overview

economic activity that will result in improved financial performance, this five year forecast does not attempt to estimate the impact, if any, the stimulus will have on state revenues. It does discuss two major components of the ARRA, the State Fiscal Stabilization Fund and the increased Federal Medicaid Assistance Percentage (FMAP) both of which have a direct impact on the State operating budget. This forecast shows that the federal stimulus funds provide relief of \$231.2 million in FY 2010 and \$139.2 million in FY 2011, and will cause stress as the stimulus funds sunset pursuant to federal law.

The provisions of the American Recovery Act and Reinvestment (ARRA) are temporary, providing an increase in the FMAP only through December 31, 2010. The five year forecast reflect a change in the FMAP rate from 52.62 percent to 63.92 percent in State FY 2010, and an assumed change in FY 2011 from a base rate of 52.63 percent to 58.28 percent, and an assumed rate in FY 2012 of 52.63 percent. When applied to the applicable base Medicaid expenditures, the resultant increase in federal participation totals \$184.2 million, which is included in the Governor's recommended FY 2010 budget. The appropriation of these additional federal funds allows a reduction of an equivalent amount of general revenue expenditures in the Medicaid program. The forecast includes federal participation declines of an estimated \$92.2 million in FY 2011 and, further declines of \$184.2 in FY 2012 when the program sunsets pursuant to federal law.

| Agency | General Revenue Expenditures Relieved by ARRA – FMAP (In millions) | | | |
|--------------------------------------------------------|---------------------------------------------------------------------------|----------------|----------------|----------------|
| | FY 2009 | FY 2010 | FY 2011 | FY 2012 |
| Department of Human Services | \$95.0 | \$129.0 | \$64.5 | 0 |
| Department of Health | 0.1 | 0.1 | 0.1 | 0 |
| Department of Mental Health, Retardation and Hospitals | 35.2 | 45.6 | 22.8 | 0 |
| Department of Elderly Affairs | .7 | .9 | .4 | 0 |
| Department of Children, Youth and Families | <u>6.3</u> | <u>8.5</u> | <u>4.2</u> | <u>0</u> |
| Total | \$137.3 | \$184.2 | \$92.2 | 0 |

The State Stabilization Fund for Rhode Island totals \$164.9 million and may be used in FY 2009, FY 2010, and FY 2011. Of the total, 81.8 percent is to be allocated to elementary and secondary education and public higher education and the remaining 18.2 percent for public safety or other government services, including K-12 or higher education. The Governor's budget strategy for FY 2009 and FY 2010 assumes the funds are used evenly over the three year period. The Governor's budget proposes that \$55.0 million be incorporated each year from FY 2009 through FY 2011. Higher Education would receive \$6.7 million, \$7.8 million and \$7.8 million in fiscal years 2009 through 2011, K-12 would receive \$38.3, \$37.2 and \$37.2 million, and the general purpose funds would be allocated \$10.0 million each year. The FY 2010 budget incorporates the availability of new K-12 education federal stimulus funds and the need to reduce the growth in local government costs by providing local government officials more flexibility to manage efficiently and effectively through legislative changes proposed in the FY 2009 Supplemental Budget. For FY 2010, when local education authorities begin to receive increased Title I and IDEA funds, in addition to the Stabilization funds, the Governor recommends a decrease in general revenue funded state education aid of \$37.0 million, as an offset to the stabilization funds. No offset is recommended relating to Title I (\$17.0 million) or IDEA (\$19.8 million) funds which are distributed to the local governments. The FY 2010 budget also allocates \$10.0 million of general purpose stabilization funds to distressed communities aid, with a commensurate

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reduction in general revenue funding. The table below displays the impact of the State Stabilization Fund in the period FY 2010 through FY 2012.

| Agency | General Revenue Expenditures Relieved by ARRA – FMAP (In millions) | | | |
|--------------------------------------------------|--------------------------------------------------------------------|---------|---------|---------|
| | FY 2009 | FY 2010 | FY 2011 | FY 2012 |
| Department of Elementary and Secondary Education | \$31.0 | \$37.0 | \$37.0 | 0 |
| Higher Education | [6.7] | [7.8] | [7.8] | 0 |
| Department of Administration | 10.0 | 10.0 | 10.0 | 0 |
| Total | \$41.0 | \$47.0 | \$47.0 | 0 |

| Total All General Revenue Expenditures Relieved by ARRA (In millions) | | | | |
|-----------------------------------------------------------------------|---------|---------|---------|---------|
| | FY 2009 | FY 2010 | FY 2011 | FY 2012 |
| FMAP | \$137.3 | \$184.2 | \$92.2 | 0 |
| Stabilization | 41.0 | 47.0 | 47.0 | 0 |
| Total | \$178.3 | \$231.2 | \$139.2 | 0 |

Highlights

The forecast takes into account four major initiatives included in the Governor's FY 2009 and FY 2010 budgets which impact the revenue and expenditure estimates – pension reform, Medicaid reform, tax reform, and reduced state aid for local governments supported by proposed legislative changes to encourage spending efficiencies. In the three expenditure areas, the forecast assumes the Governor's proposed FY 2010 proposed budget is the baseline, and the out-year forecast adjusts for the sunset of federal stimulus dollars and utilizes projected inflationary trends.

In the category of personnel costs, the forecast assumes the Governor's pension reform proposal contained in the FY 2009 Supplemental Act is enacted, resulting in \$20.4 million of general revenue savings in FY 2010 for state employees, state police and judges. The forecast also includes estimated increases in the state's retirement contributions due to recent investment losses in the pension fund, as described under the section discussing personnel and operating costs. The Governor's FY 2010 budget includes savings from a proposal submitted to the General Assembly to delay the enacted change to fund retiree health benefits on an actuarial basis from FY 2009 to FY 2011. The forecast assumes that the proposed change is enacted, requiring the state to fund retiree health benefit costs on an actuarial basis beginning in FY 2011. The forecast assumes that the actuarially determined contribution rate for the retiree health benefits remains constant through the forecast period. It is assumed that the medical benefit co-sharing and plan design changes which have been implemented also remain constant through the planning horizon.

Grants and benefits account for approximately 28 percent of the general revenue budget. Total Medicaid spending in FY2009 is estimated to be \$756 million, reflecting reduced state support as a result of the federal enhancement. In FY2008, the state spent \$854 million in general revenues, and \$1.8 billion from all funds. According to the Centers for Medicare and Medicaid Services

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(CMS) projections, Medicaid growth is projected to be 7.9 percent annually, outpacing the average projected annual revenue growth of 2.5 percent. The projected annual growth for Medicaid costs included in the forecast range from 5.3 percent and 9.5 percent over the forecast period, depending upon the service provided. The Global Waiver is expected to lower costs below those baseline costs included in the forecast, based upon the premise that serving clients in less restrictive settings will cost less, provide incentives for efficiencies, and increase competition amongst providers. In an attempt to present a conservative forecast, no additional Medicaid savings above those contained in the Governor's FY 2010 budget are assumed.

The local aid expenditures contained in the forecast assume that the general revenue sharing program is not funded in FY 2010 – FY 2014. It assumes level funding of general education aid, after restoration for federal stabilization funds, and estimated increases in charter schools and construction aid programs. It also assumes passage of the teacher pension reform proposed by the Governor resulting in state and local savings. The employer retirement contribution rate for teachers' system is adjusted upward in the out-years to reflect the anticipated increase in contributions resulting from recent investment performance.

The tax reform proposed by the Governor in the FY 2010 budget is phased in over the five year forecast horizon and results in savings to the taxpayers of \$16.0 million in FY 2010 to \$154.8 million by FY 2014.

Economic Forecast and Revenues

The economic forecast was developed by the principals of the November 2008 Revenue Estimating Conference with input from the consulting economists at *Moody's Economy.com* and *Global Insight* and respective staff. This forecast serves as a "best guess" as to the future path of the Rhode Island economy since no formal economic model was employed by the conferees in arriving at the forecast. A detailed analysis of the conferees near-term economic forecast for the State is contained in ***The Economy*** section of the Executive Summary. In that section, particular attention is paid to how the state fared relative to the past year with respect to non-farm employment and personal income growth. In addition, a brief explanation of the actual economic performance of the State against the economic forecast contained in the FY 2009 budget is undertaken. Finally, the economic forecast is presented for the out-years through FY 2014.

The fallout from the housing market and the national financial crisis present the greatest risks to the economic forecast. Of particular note, the performance of the U.S. economy in general has been better than the performance of the Rhode Island economy, although the discrepancy in performance has begun to narrow. Given that Rhode Island's economy entered into a recession in late 2007 — early 2008, and the U.S. economy did not do so until mid- to late 2008, it is conceivable that economic recovery may take hold earlier in Rhode Island than the rest of the country. This possible outcome, however, is contingent on the stabilization of national financial markets.

In particular, employment growth rates are expected to be negative in the FY 2009 – FY 2010 period. During the FY 2011 – FY 2013 period, Rhode Island employment growth rates are expected to be positive and accelerating. In FY 2014, employment growth is expected to decline to a 1.1 percent annual rate. In FY 2012, Rhode Island employment growth should peak at 1.5 percent and total nonfarm employment should exceed the peak reached in FY 1997. Growth in Rhode Island personal income is expected to be weak in FY 2009 and FY 2010, averaging 2.0

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percent over the period. Beginning in FY 2011, personal income growth is expected to pick up and average 4.3 percent, a rate slightly below that achieved in the FY 2002 through FY 2008 period. Further, energy prices remain a risk to both the U.S. macroeconomic and Rhode Island forecasts. As an energy importer, Rhode Island's economy is vulnerable to both sharp increases and decreases in energy prices, with the former being more detrimental than the latter is beneficial. Finally, it should be noted that the economic forecast adopted at the November 2008 Revenue Estimating Conference incorporates approximately \$200 billion of federal economic stimulus, far below the \$787 billion economic stimulus bill signed into law by President Obama on February 17, 2009.

The five year revenue projection includes the Governor's proposals to modify the adopted Revenue Estimating Conference estimates for FY 2010. Overall revenues are expected to grow from \$3.146 billion in FY 2010 to \$3.474 billion in FY 2014. This is growth of \$327.7 million, reflecting average annual growth of 2.5 percent. This includes a proposal to increase the tax on the gross premiums of nonprofit medical service corporations, nonprofit hospital service corporations, nonprofit dental service corporations, and health maintenance organizations from 1.75 percent to 2.00 percent, and to incorporate managed care plans under Title XIX. These two provisions are expected to increase revenues by \$13.6 million in FY 2010 and \$16.1 million in FY 2014. It also includes increased motor vehicle fees resulting from the increase in the fee for the reinstatement of suspended licenses and registrations to \$250, and the increase in certificate of title fees to \$50. These fee increases are estimated to increase revenues by \$8.4 million in FY 2010 and \$8.5 million in FY 2014. Further, the five year forecast incorporates the revenue impact from the Governor's proposal to increase the state cigarette excise tax to \$3.46 per pack from \$2.46 per pack. The total estimated revenue increase in FY 2010 is \$30.2 million and \$30.5 million in FY 2014. Finally, the five year revenue forecast includes the increase in small and consumer claims mediation entry fees, the Bureau of Criminal Identification background check fees, and the implementation of a penalty for the late renewal of Office of Food Protection licenses. The combined amount of these fees is \$1.2 million in FY 2010 and a similar amount in FY 2014. As has been the case in the past, the five year forecast contains the reinstatement of the hospital licensing fee at the current rate of 4.78 percent of FY 2007 net patient revenues for a total of \$111.4 million in FY 2010. The revenue from the Hospital Licensing Fee is held constant over the forecast period.

The revenue reductions contained in the five year forecast include the Governor's proposal to begin to phase-out the state's Business Corporation Tax commencing on January 1, 2010. The proposal is to reduce the Business Corporation Tax rate to 7.5 percent on January 1, 2010, to 6.0 percent on January 1, 2011, to 4.0 percent on January 1, 2012, to 2.0 percent on January 1, 2013, and complete elimination on January 1, 2014. The revenue reduction from this phased out rate reduction is estimated to be \$(14.5) million in FY 2010 and \$(115.0) million in FY 2014.

The elimination of the Health Care Provider Assessment on group homes is also included in the five year forecast, as is a reduction in the Health Care Provider Assessment on nursing homes due to a base change. The combined revenue loss from these changes in the Health Care Provider Assessment are \$(12.7) million in FY 2010 and \$(13.6) million by FY 2014.

The five year revenue forecast also incorporates the Governor's proposal to increase the State's estate tax exemption amount below which the state's Estate Tax does not apply from \$675,000 to \$1,000,000. The revenue loss from this exemption is estimated to be \$(1.5) million in FY 2010 and \$(3.5) million in FY 2014.

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The five year forecast also includes the impact of the Governor's proposal to reform the Personal Income Tax system. This reform would replace the current personal income tax system with a simpler one consisting of four taxable income brackets with a top marginal rate of 5.5 percent. It would tax income from capital gains at the same rate as ordinary income, provide for a larger standard deduction in lieu of allowing itemized deductions to pass through from the federal income tax return, and eliminate the use of most credits against personal income tax liabilities. The implementation date for the reformed Personal Income Tax system is January 1, 2011 and thus it only has an impact in FY 2011 through FY 2014. In FY 2011, the estimated impact of the reform of the state income tax is a revenue loss of \$(15.5) million. In FY 2014, the fiscal impact increases to \$(35.0) million. When fully implemented, the combined impact resulting from the personal income, corporate income, and estate tax changes proposed by the Governor result in \$154.8 million in savings to Rhode Island taxpayers in FY 2014.

Finally, the out-year revenue forecast includes the transfer of the last \$0.01 of the \$0.30 per gallon motor fuel tax from the general fund to the Intermodal Surface Transportation Fund for distribution to the Department of Transportation. This reduces general revenue receipts in FY 2010 by \$(4.575) million in FY2010 and \$(4.3) million in FY2014.

Several revenue enhancements in the Governor's proposed FY 2010 Budget have only FY 2010 impacts and thus are not included in the five year forecast. These items include: the four American Recovery and Reinvestment Act items that have limited duration by federal law, two land sales, and the transfer from the Rhode Island Health and Educational Building Corporation. It should be noted that the lottery estimates assume 3.6 percent growth in video lottery terminal revenues and do not factor in the impact of expanded gambling in the state or new competition in neighboring states.

In the FY 2009 five year forecast, the estimated revenues were projected to be \$3.832 billion by FY 2013, the last year of the forecast, reflecting average annual growth of over 3.4 percent. The current five year forecast projects \$3.361 billion of revenues by FY 2013, resulting in \$471.0 million less in resources than previously projected.

Expenditures

Expenditure side risks must also be noted within the five-year projection. As previously discussed, there are three major initiatives in the Governor's FY 2010 budget which set the expenditure base at a lower level and therefore a risk to the forecast is the passage of those proposals and their successful implementation. These include pension reform legislation changes promoting local efficiencies and Medicaid reform. A recurring risk to the five year forecast relates to medical services inflation, utilization, and technological change. Beneficial changes in medical technology and the resultant change in utilization of medical services are especially difficult to forecast. These factors are particularly influential, since a significant part of the budget is driven by medical costs and since costs have been accelerating at a rapid rate. This impacts both the costs incurred for the clients the state services and its employees. While costs for medical care will continue to be an underlying driver of state personnel costs in the forecast, it is assumed that there will be a deceleration in the rate of growth for state employee health benefit costs with an average rate of growth of 6 percent through fiscal 2014. The forecast also assumes that state employees will continue to share in the cost of medical insurance premiums and costs will moderate due to proposed plan design changes.

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Another expenditure side risk involves demographic shifts such as the aging of the baby-boomer population which will present a greater need to enhance and expand the infrastructure for elderly care towards the end of the five year horizon. The forecast incorporates the savings contained in the Governor's FY 2010 budget which promotes serving clients into the least restrictive setting which reduces nursing home care estimates in FY 2010, but the forecast does not include any additional costs specific to Rhode Island demographics in the out-years.

Personnel and Other Operations

The wage projections contained in the personnel estimates assume that there will be 3 percent COLA increases in FY 2011 and FY 2012 ,reflecting agreed upon wage increases with most state employee unions. Step increases, longevity increases and educational incentives will add 1.7 percent annually to the estimated salary and fringe benefit costs and have been incorporated into the analysis. COLA's reflecting the CPI are included for FY 2013 and thereafter. The FY 2010 base for correctional officers' compensation contains a yet to be negotiated pay increase equivalent to those COLA's negotiated with other employee unions from 2007 – 2014, commencing in FY 2010, but with no retroactive payment.

The forecast reflects cost sharing which is expected to offset health insurance costs in FY 2010 and throughout the forecast period. Average employee cost sharing of 18 percent of medical premium costs increasing to 19 percent in FY 2011 and thereafter is projected. This compares with average employee cost sharing of just 11 percent in FY 2009. Gross medical cost increases for health care premiums are just over 6 percent annually in the forecast.

Despite an aggressive reform proposed to address the escalating cost of funding the defined benefit retirement program, the cost saving measures are expected to be offset by additional costs related to investment losses. The forecast assumes that the Governor's proposed pension reform is enacted, but that investment losses experienced to date will cause contribution rates to continue to rise. In the forecast, the rate of contribution increases from 20.77 percent to 30.72 percent in FY 2014 for state employees. Thus, retirement contribution costs are expected to increase from \$80.7 million in fiscal 2010 to \$140.4 million by fiscal 2014.

As a result of the adopted reporting standards issued by the Governmental Accounting Standards Board (GASB) for Other Post Employment Benefits (OPEB), the state commissioned an updated actuarial study in February 2009 evaluating the accrued actuarial unfunded costs for the retiree health benefits program. The study took into account changes in cost sharing of health benefits for all employees retiring after September 30, 2008, and the increased number of retirees receiving benefits as a result of the October 1, 2008 benefit changes. The Governor has recommended that the state delay the funding of retiree health benefits on an actuarial basis, commencing in FY 2011 with amortization of the unfunded liability over a thirty year period. This funding mechanism will provide transparency with respect to the true cost of the benefit offered to state employees after employment.

Personnel and operating costs continue to be constrained during FY 2010. Even with the \$42.95 million repayment to the RICAP fund included as an operating expenditure in the Governor's recommended FY 2010 budget, the Governor's proposed FY 2010 budget includes just \$7.8 million more in personnel and operating than was projected for FY 2010 in the previous five year forecast. This points to success in managing costs within available resources. Personnel costs for FY 2010 are over \$3 million less than projected last year reflecting reduction in workforce and success in

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health benefits cost sharing. Recurring operating expenses are also down; however, this reduction is offset by the requirement to transfer funds to the Rhode Island Capital Plan Fund to replenish resources that were used to restore the state rainy day fund. The current five year forecast assumes \$987.8 million of personnel and operating costs in FY 2010 and an average growth of 4.9 percent over the five year interval, resulting in an estimated cost of \$1.197 billion in FY 2014, an increase of \$208.9 million. Over \$25 million of this increase is attributable to restoring State Fiscal Stabilization Funds and FMAP participation to base levels in existence prior to enactment of the American Recovery and Reinvestment Act at the hospital in the Department of Mental Health, Retardation and Hospitals and the state schools operated by the Department of Elementary and Secondary Education.

Grants and Benefits

Grants and Benefits are projected to increase by an average of 10.3 percent annually from FY 2010 to FY 2014. Most of this growth is reflected in the Department of Human Services budget, since this is where most of the Medicaid dollars are spent. This growth reflects the restoration of general revenue dollars totaling \$184.2 million to replace the one-time stimulus money.

The forecast for Department of Human Services grants and benefits is based on the assumption that the Federal Temporary Assistance to Needy Families Cash Assistance Program (TANF) and the RI Works Program (formerly FIP), will meet their stated objectives during the forecast period, and that federal block grants will continue at current levels, and that Medicaid matching rates will revert to pre-existing levels after December 30, 2010.

The forecast assumes that eligibility and economic influences on RI Works/Child Care caseloads will result in increases in costs of approximately 2.0 percent annually. Continuing additions for child care subsidies, which are extended to the working poor even after entry of cash assistance clients to unsubsidized employment offset savings in cash assistance. The block grant resources are assumed to be insufficient to finance the incremental costs throughout the forecast period, and, therefore, general revenue dollars are added. No additional effects from the implementation of the new RI Works program are recognized in the estimates. In the previous five year forecast, the estimated cost for TANF/Child Care/MOE was \$19.2 million in FY 2013; the current five year forecast assumes \$19.9 million in FY 2013.

SSI caseloads will increase slightly, as both the elderly and disabled population components are forecast to increase marginally over the five-year period, resulting in increased costs of 2.1 percent on average, including cost of living adjustments.

DHS Medicaid projections reflect proposed reforms in service delivery systems, such as reduced institutional care and greater participation in independent living and treatment arrangements, and reform of the purchasing model from reimbursement-based to client-based. The first year savings from this Medicaid reform initiative are included in the FY 2010 baseline totaling \$24.3 million in general revenue savings. The forecast grows these savings by inflationary increases, but no additional savings is taken.

Pharmacy inflation is assumed at 6.9 percent, 7.2 percent, 7.9 percent, and 8.3 percent in FY 2011, FY 2012, FY 2013 and FY 2014, respectively. Five-year estimates also reflect a schedule increasing federal "clawback" assessment percentages for Part D Medicare benefits to dually eligible Medicaid clients at the pharmacy inflation rate.

FY 2010 – FY 2014 Overview

The managed care forecast assumes that base costs will inflate at 6.4 percent in 2011 and 6.3 percent per year until FY 2014, when inflation is expected to decrease to 6.1 percent. Incorporated into the FY 2010 expenditure base for managed care is a proposal in the Governor's Budget mandating transition of the remaining fee-for-service Medicaid population to a managed care delivery system, yielding \$14.7 million in additional (pre-stimulus) general revenues.

Similarly, cost trends in institutional long term care include an annual provider rate adjustment of 5.3 percent through FY 2013, with the growth rate increasing to 5.4 percent in FY 2014. The FY 2010 expenditure base for nursing care contains savings of \$8.4 million in general revenues from the Medicaid reform diversion and transition initiative, countervailed by a corresponding increase to home and community care of \$2.7 million.

The general revenue expenditures within the Developmental Disability system are projected to increase from \$66.1 million in FY 2010 to \$117.2 million in FY 2014, which equates to an average growth rate of approximately 15.7 percent per year. Approximately 41 percent of this increase, however, is associated with a loss of \$20.8 million of sunseting medicaid federal stimulus funding. The remaining growth is a result of assumed trend of approximately 8.0 percent per year. Although the growth in expenditures in the Developmental Disability system has been relatively stable over the past few years, there are several factors that could significantly impact expenditures during the forecast period. These include greater public awareness of the availability and, therefore, the utilization of services; the aging of caregivers; and the aging of the existing population. Efforts to increase shared living arrangements over and above those contained in the Governor's FY 2010 Budget should serve to constrain growth below those contained in the projection. It should be noted that the expenditures only reflect the residential, day program, respite and supported employment services. Medical costs under the Medicaid program are reflected in Department of Human Services' grant costs.

Cost trends for general revenue state match expenditures to Medicaid and Title IV-E program in the Department of Children Youth and Families are projected to grow from \$92.4 million to \$136.8 million between FY 2010 and FY 2014. This equates to an average annual growth rate of 10.3 percent. Of the \$44.4 million growth, \$8.5 million is attributable to restoration of general revenue dollars to replace federal stimulus monies which sunset. Excluding the one-time savings provided by the Medicaid FMAP increase, costs are projected to increase by an 8.0 percent average annual growth rate through FY 2014.

Local Aid

Local aid expenditures include Education Aid, Aid to Local Libraries, the Payment in Lieu of Taxes (PILOT) program, Aid to Distressed Communities, the Motor Vehicle Excise Tax Reimbursement, General Revenue Sharing, and the Property Revaluation program. Growth in these programs is assumed to average 2.9 percent over the projection period. The forecast assumes that the reimbursed exemption for motor vehicles will remain at \$6,000 per vehicle, while payments will continue to be discounted to 98 percent of the exemption value. The values of motor vehicles have fluctuated significantly from one year to the next. The forecast assumes no growth in values in FY 2011 over FY 2010, and growth at the level of CPI each subsequent year.

The General Revenue Sharing program is funded in the Governor's recommended budget for FY 2009 at \$31.0 million and is assumed to be eliminated in subsequent years. The Distressed

FY 2010 – FY 2014 Overview

Communities Relief program is recommended to be partially funded with \$10.0 million in federal State Fiscal Stabilization Funds in FY 2010, which are restored as general revenue in FY 2011 and thereafter and increased by CPI. The Payment in Lieu of Taxes (PILOT) program is anticipated to add \$5.2 million in expenditures over the forecast period, based upon increases allowed under current law for growth in local property taxes. Library Aid, including the construction reimbursement program, will add \$1.8 million to the forecasted base.

In dollar terms, the largest driving force behind expenditure growth from FY 2010 to FY 2014 is Education Aid programs, which are expected to increase by a total of \$94.2 million from the FY 2010 base level, an average of 2.7 percent per annum. This aggregate growth rate reflects an increase of \$37.0 million in general revenue funding to offset the sunset of stimulus funding in 2012. Also in 2012, there is an increase of \$451,384 to allow the East Bay Met School to expand to a 4th class. Charter school aid increases by \$6.9 million, from \$32.7 million in FY 2010 to \$39.7 million in FY 2014. The forecast assumes that the Governor's proposed pension reform is enacted, resulting in \$19.2 million in savings in FY 2010 to the state for its share (40 percent) of the employer contribution for teachers' pensions. The local governments' share is reduced by an estimated \$24.8 million in FY 2010, which is withdrawn as a reduction from general education aid in the Governor's FY 2010 recommended budget. Projections for future required employer contributions to the teacher's retirement fund reflect 4.5 percent teacher payroll growth and increased contribution rates due to year to date investment performance. State contributions for teacher's retirement increase by \$28.3 million, from \$75.3 million in fiscal 2010 to \$103.6 million by fiscal 2014. Housing aid, which reimburses communities for part of the debt incurred for new school construction is projected to increase by \$24.0 million from \$63.7 million to \$87.7 million. The Governor's proposed FY 2010 budget assumes no growth in funding for any other category of distributed or non-distributed aid, including aid for the Central Falls School Department.

Capital

The projection of capital project disbursements and debt service costs reflects updated debt service projections as included in the FY 2010 – FY 2014 Capital Improvement Plan. General revenue funded debt service on all tax supported obligations are projected to rise from \$176.5 million in FY 2010 to \$217.4 million in FY 2014. The increase is attributable largely to the issuance of debt for the Historic Tax Credit stabilization program, which increases by \$33.2 million, the issuance of general obligation debt, which increases by \$32.9 million, and is offset by reductions in other categories as described below.

The five year forecast is based upon outstanding debt and projected new debt contained in the Governor's recommended FY 2010-2014 Capital Budget. Interest rates for fixed rate general obligation bonds to be issued to fund FY 2010 projects are projected at 5.0 percent. Interest rates for issuance of twenty-year fixed rate certificates of participation are estimated at 5.5 percent. Division of Motor Vehicles debt is assumed to be issued at 4.5 percent and amortized over seven years. Innovative Technology Bonds are assumed to be issued at 4.5 percent and amortized over seven years. Motor Fuel Bonds are projected to be issued at 6.1 percent over 19 years. Energy projects at URI are assumed to be issued at 5.5 percent over ten years. Historic Tax Credit debt is projected to be issued in at 8 percent in 2009 over 9 years, and at 6 percent years in 2010. Projected amortization schedules are found in the exhibits contained in Appendix C of the State's Capital Budget.

FY 2010 – FY 2014 Overview

(Amounts below are shown in millions)

| | | |
|---------|--------------------------|---------|
| FY 2009 | Historic Tax Credits | \$150.0 |
| FY 2009 | Technology | \$13.4 |
| FY 2009 | School for the Deaf | \$30.0 |
| FY 2009 | DMV System | \$13.0 |
| FY 2009 | URI Energy Conservation | \$11.4 |
| FY 2009 | Pastore/Zambarano Energy | \$20.0 |
| FY 2009 | EDC – Motor Fuel Bonds | \$21.7 |
| FY 2010 | General Obligation Bonds | \$95.4 |
| FY 2010 | Pastore/Zambarano Energy | \$33.1 |
| FY 2010 | Historic Tax Credits | \$206.2 |
| FY 2011 | General Obligation Bonds | \$100.0 |
| FY 2012 | General Obligation | \$100.0 |
| FY 2013 | General Obligation | \$100.0 |

Amortization of existing debt combined with new debt issuance, results in increased general revenue appropriations for debt service of \$40.9 million from FY 2010 to FY 2014. Disbursements for capital projects are reflected as Rhode Island Capital Plan Fund expenditures, not general revenue, and therefore are not reflected in the five-year report as operating costs. Between FY 2009 and FY 2014, there is an increase of \$33.2 million for debt for the Historic Tax Credit stabilization program, and a \$32.9 million increase for general obligation debt. Performance based obligations increase from \$6.4 million to \$7.0 million. Debt service on certificates of participation decreases by \$16.6 million from \$40.5 million in FY 2010 to \$23.9 million in FY 2014. Convention Center debt service decreases by \$3.0 million. The obligations for the RI Refunding Bond Authority (former Public Building Authority) decline from \$6.1 million in FY 2010 to zero in FY 2011.

The projected general revenue requirements for debt service are dependent upon the allocation of debt service costs to other sources of funds. It is assumed that the Department of Transportation's general obligation bond debt service in FY 2010 through FY 2014 will total \$41.35 million. It is assumed that the two cents of the gas tax dedicated to Motor Fuel bonds issued by EDC is equal to approximately \$8.9 million annually. It is assumed that the Rhode Island Public Transit Authority debt service funded by gas tax revenues in FY 2010 through FY 2014 will total \$0.8 million.

The obligations arising from performance based contracts between the Rhode Island Economic Development Corporation and private entities are projected to result in state appropriations due to the projected achievement of performance targets. In FY 2009, it assumes the Fidelity Phase I is \$2.5 million and \$48,917 in Phase II and Providence Place Mall obligations are \$3.6 million. For FY 2010 it is assumed that the State pays \$2.5 million on Fidelity Phase I and \$355,500 on the Fidelity Phase II transaction. In FY 2011 and thereafter, the forecast assumes payment of \$2.5 million on Fidelity I and \$0.9 million on Fidelity II. The forecast assumes no requirement for the Bank of America obligation transaction, which if earned would total approximately \$0.3 million. The forecast assumes no requirement for the Bank of America obligation transaction, which if earned would total approximately \$0.3 million.

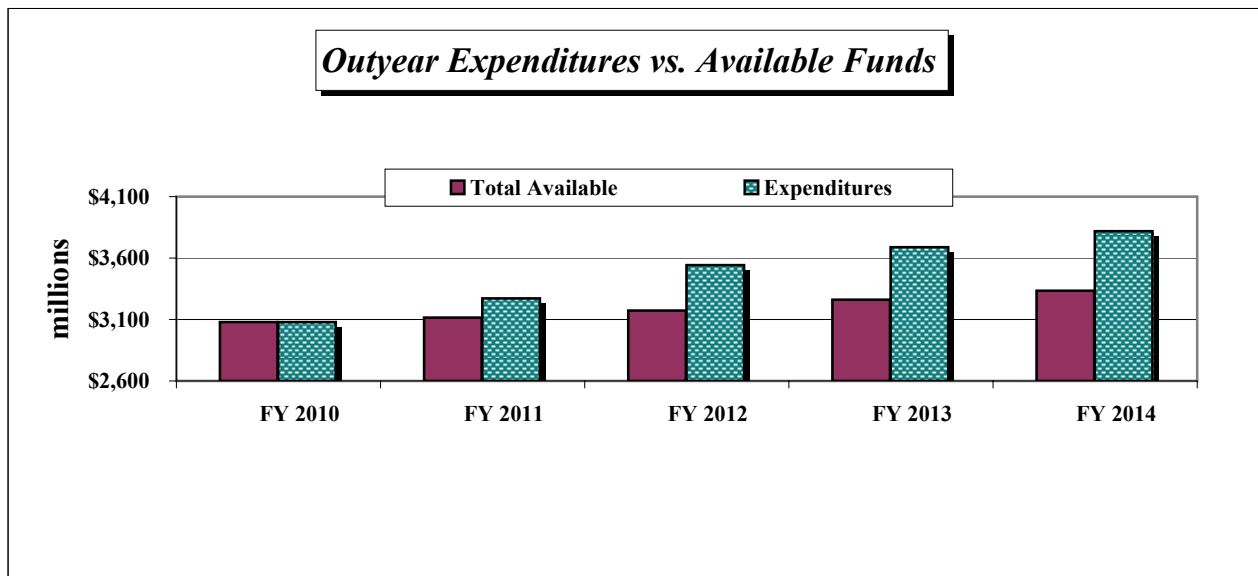
FY 2010 – FY 2014 Overview

Other

The projection also assumes that capital disbursements from general revenues would be \$5.6 million in FY 2010, and thereafter. This includes all expenditures which would be subject to fixed assets recording.

General Revenue Outyear Estimates FY 2010 - FY 2014

| | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|---------------------------------------|----------------|------------------|------------------|------------------|------------------|
| Opening Surplus | \$9.7 | \$0.0 | \$0.0 | \$0.0 | \$0.0 |
| Plus: | | | | | |
| Taxes and Departmentals | 2,770.6 | 2,826.0 | 2,878.5 | 2,965.6 | 3,031.5 |
| Other Sources | 375.9 | 372.8 | 383.4 | 395.0 | 406.4 |
| Budget Stabilization Fund | (75.7) | (83.2) | (91.3) | (100.8) | (103.1) |
| Total Available | 3,080.5 | 3,115.6 | 3,170.6 | 3,259.8 | 3,334.8 |
| Minus Expenditures | 3,079.1 | 3,271.3 | 3,540.5 | 3,689.7 | 3,817.1 |
| Equals Ending Balance | \$1.4 | (\$155.6) | (\$370.0) | (\$429.9) | (\$482.4) |
| <i>Operating Surplus or Deficit</i> | <i>(\$8.3)</i> | <i>(\$155.6)</i> | <i>(\$370.0)</i> | <i>(\$429.9)</i> | <i>(\$482.4)</i> |
| Budget & Cash Stabilization Balance | \$119.9 | \$134.3 | \$150.0 | \$168.0 | \$171.9 |
| RI Capital Fund Balance | 11.3 | 5.5 | 8.8 | 14.9 | 84.3 |
| Rhode Island Capital Fund | | | | | |
| <i>Capital Projects Disbursements</i> | <i>94.2</i> | <i>74.6</i> | <i>72.4</i> | <i>76.8</i> | <i>29.7</i> |



General Revenue Outyear Estimates

| | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|------------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|
| Personal Income Tax | \$ 1,012,700,000 | \$ 1,042,600,000 | \$ 1,056,000,000 | \$ 1,105,000,000 | \$ 1,138,800,000 |
| General Business Taxes: | | | | | |
| Business Corporations & Franchise | 96,300,000 | 95,200,000 | 70,800,000 | 52,600,000 | 28,500,000 |
| Public Utilities | 106,800,000 | 107,100,000 | 107,300,000 | 107,400,000 | 133,200,000 |
| Financial Institutions | 1,000,000 | 1,100,000 | 1,100,000 | 1,200,000 | 1,300,000 |
| Insurance Companies | 92,070,000 | 95,700,000 | 99,100,000 | 102,700,000 | 106,100,000 |
| Bank Deposits | 1,740,000 | 1,800,000 | 1,800,000 | 1,900,000 | 1,900,000 |
| Health Care Provider | 37,430,000 | 39,000,000 | 40,700,000 | 42,400,000 | 44,200,000 |
| General Business Taxes | \$ 335,340,000 | \$ 339,900,000 | \$ 320,800,000 | \$ 308,200,000 | \$ 315,200,000 |
| Sales and Use Taxes: | | | | | |
| Sales and Use | 838,740,000 | 861,200,000 | 918,600,000 | 969,400,000 | 997,000,000 |
| Motor Vehicle | 55,820,000 | 56,300,000 | 56,800,000 | 57,300,000 | 57,700,000 |
| Motor Fuel | 850,000 | 800,000 | 800,000 | 800,000 | 700,000 |
| Cigarettes | 144,150,000 | 141,100,000 | 138,200,000 | 134,400,000 | 129,300,000 |
| Alcohol | 11,300,000 | 11,600,000 | 11,800,000 | 11,900,000 | 12,100,000 |
| Controlled Substances | - | - | - | - | - |
| Sales and Use Taxes | \$ 1,050,860,000 | \$ 1,071,000,000 | \$ 1,126,200,000 | \$ 1,173,800,000 | \$ 1,196,800,000 |
| Other Taxes: | | | | | |
| Inheritance and Gift | 26,500,000 | 26,100,000 | 27,400,000 | 28,700,000 | 29,600,000 |
| Racing and Athletics | 2,300,000 | 2,100,000 | 1,900,000 | 1,800,000 | 1,600,000 |
| Realty Transfer Tax | 7,800,000 | 7,800,000 | 7,900,000 | 8,300,000 | 8,400,000 |
| Other Taxes | \$ 36,600,000 | \$ 36,000,000 | \$ 37,200,000 | \$ 38,800,000 | \$ 39,600,000 |
| Total Taxes | \$ 2,435,500,000 | \$ 2,489,500,000 | \$ 2,540,200,000 | \$ 2,625,800,000 | \$ 2,690,400,000 |
| Total Departmental Receipts | \$ 335,100,000 | \$ 336,500,000 | \$ 338,300,000 | \$ 339,800,000 | \$ 341,100,000 |
| Taxes and Departmentals | \$ 2,770,600,000 | \$ 2,826,000,000 | \$ 2,878,500,000 | \$ 2,965,600,000 | \$ 3,031,500,000 |
| Other Sources | | | | | |
| Gas Tax Transfers | - | - | - | - | - |
| Other Miscellaneous | 20,900,000 | 8,000,000 | 8,300,000 | 8,000,000 | 8,000,000 |
| Lottery Commission Receipts | 349,400,000 | 359,100,000 | 369,400,000 | 380,200,000 | 391,500,000 |
| Unclaimed Property | 5,600,000 | 5,700,000 | 5,700,000 | 6,800,000 | 6,900,000 |
| Other Sources | \$ 375,900,000 | \$ 372,800,000 | \$ 383,400,000 | \$ 395,000,000 | \$ 406,400,000 |
| Total General Revenues | \$ 3,146,500,000 | \$ 3,198,800,000 | \$ 3,261,900,000 | \$ 3,360,600,000 | \$ 3,437,900,000 |

General Revenue Outyear Estimates

| | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|------------------------------------|--------------|--------------|--------------|--------------|-------------|
| Personal Income Tax | 0.2% | 3.0% | 1.3% | 4.6% | 3.1% |
| General Business Taxes: | | | | | |
| Business Corporations | -10.8% | -1.2% | -25.6% | -25.8% | -45.8% |
| Public Utilities | 2.2% | 0.2% | 0.2% | 0.1% | 24.0% |
| Financial Institutions | -50.0% | 6.7% | 5.8% | 5.7% | 7.2% |
| Insurance Companies | 2.6% | 3.9% | 3.6% | 3.6% | 3.4% |
| Bank Deposits | 2.4% | 2.5% | 2.2% | 2.0% | 1.8% |
| Health Care Provider | -19.9% | 4.3% | 4.3% | 4.3% | 4.2% |
| General Business Taxes | -4.9% | 1.3% | -5.5% | -4.0% | 2.3% |
| Sales and Use Taxes: | | | | | |
| Sales and Use | 0.8% | 2.7% | 6.7% | 5.5% | 2.9% |
| Motor Vehicle | 11.4% | 0.8% | 0.9% | 0.9% | 0.8% |
| Motor Fuel | -8.6% | -2.9% | -3.3% | -4.0% | -4.2% |
| Cigarettes | 13.7% | -2.1% | -2.0% | -2.8% | -3.8% |
| Alcohol | 1.8% | 2.5% | 2.2% | 0.2% | 1.8% |
| Controlled Substances | | | | | |
| Sales and Use Taxes | 3.0% | 1.9% | 5.2% | 4.2% | 2.0% |
| Other Taxes: | | | | | |
| Inheritance and Gift | -5.3% | -1.4% | 4.8% | 4.6% | 3.2% |
| Racing and Athletics | -8.0% | -7.6% | -8.9% | -8.2% | -8.2% |
| Realty Transfer Tax | 0.0% | 0.3% | 0.9% | 5.0% | 1.5% |
| Other Taxes | -4.4% | -1.4% | 3.1% | 4.0% | 2.3% |
| Total Taxes | 0.5% | 2.2% | 2.0% | 3.3% | 2.5% |
| Total Departmental Receipts | 1.0% | 0.4% | 0.5% | 0.4% | 0.4% |
| Taxes and Departmentals | 0.6% | 2.0% | 1.9% | 3.0% | 2.2% |
| Other Sources | | | | | |
| Gas Tax Transfers | -100.0% | n/a | n/a | n/a | n/a |
| Other Miscellaneous | -12.1% | -61.6% | 3.2% | -2.8% | 0.0% |
| Lottery Commission Receipts | 0.1% | 2.8% | 2.9% | 2.9% | 3.0% |
| Unclaimed Property | -39.8% | 1.2% | 1.2% | 18.6% | 0.9% |
| Other Sources | -2.8% | -0.8% | 2.9% | 3.0% | 2.9% |
| Total General Revenues | 0.2% | 1.7% | 2.0% | 3.0% | 2.3% |

General Revenue Outyear Expenditure Estimates

| | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|----------------------------------------------------------------|------------------------|------------------------|------------------------|------------------------|------------------------|
| State Operations | | | | | |
| Personnel | \$836,500,000 | \$884,800,000 | \$937,700,000 | \$990,100,000 | \$1,044,300,000 |
| Other State Operations | 151,280,000 | 143,200,000 | 126,700,000 | 126,800,000 | 126,800,000 |
| MHRH Medicaid FMAP shift to GR | 0 | 12,310,000 | 24,610,000 | 24,610,000 | 24,610,000 |
| RIDE Stimulus shift to GR | 0 | 0 | 1,040,030 | 1,040,030 | 1,040,030 |
| Subtotal | \$987,780,000 | \$1,040,310,000 | \$1,090,050,030 | \$1,142,550,030 | \$1,196,750,030 |
| | 2.3% | 5.3% | 4.8% | 4.8% | 4.7% |
| Grants and Benefits | | | | | |
| Department of Human Services | | | | | |
| Hospitals | 19,750,000 | 25,800,000 | 32,200,000 | 34,070,000 | 36,010,000 |
| Managed Care | 258,060,000 | 312,590,000 | 370,200,000 | 393,520,000 | 417,530,000 |
| Nursing Care | 100,930,000 | 124,260,000 | 148,790,000 | 156,680,000 | 165,140,000 |
| Home Care | 21,460,000 | 27,180,000 | 33,360,000 | 36,400,000 | 39,680,000 |
| Other Medicaid | 22,450,000 | 27,630,000 | 33,260,000 | 35,920,000 | 38,830,000 |
| Pharmacy | 3,890,000 | 4,800,000 | 5,800,000 | 6,260,000 | 6,780,000 |
| Cash Assistance - TANF/FIP/Child Care | 18,280,000 | 18,740,000 | 19,150,000 | 19,530,000 | 19,880,000 |
| Cash Assistance - SSI | 22,130,000 | 22,690,000 | 23,190,000 | 23,650,000 | 24,070,000 |
| Clawback | 44,700,000 | 47,780,000 | 51,220,000 | 55,270,000 | 59,860,000 |
| DISH | 58,830,000 | 60,300,000 | 61,630,000 | 62,860,000 | 63,990,000 |
| Department of Health | | | | | |
| AIDS Medicaid | 360,000 | 460,000 | 580,000 | 620,000 | 670,000 |
| Department of Elderly Affairs | | | | | |
| Medicaid (Case Mang't & Core Waiver) | 5,210,000 | 6,100,000 | 7,060,000 | 7,620,000 | 8,240,000 |
| Home Health Care | 440,000 | 480,000 | 520,000 | 570,000 | 620,000 |
| Department of Children Youth & Families | | | | | |
| Children & Family Services | 92,380,000 | 104,260,000 | 117,190,000 | 126,560,000 | 136,810,000 |
| Department of Mental Health Retardation & Hospitals | | | | | |
| Developmental Disabilities-Private | 66,080,000 | 82,560,000 | 100,420,000 | 108,460,000 | 117,240,000 |
| Other Grants and Benefits | 135,170,000 | 138,550,000 | 141,600,000 | 144,430,000 | 147,030,000 |
| Subtotal | \$870,120,000 | \$1,004,180,000 | \$1,146,170,000 | \$1,212,420,000 | \$1,282,380,000 |
| | -6.2% | 15.4% | 14.1% | 5.8% | 5.8% |
| Local Aid | | | | | |
| Education Aid | 819,400,000 | 830,760,000 | 888,250,000 | 902,490,000 | 913,560,000 |
| General Revenue Sharing | 0 | 0 | 0 | 0 | 0 |
| GRS - VLT | 0 | 0 | 0 | 0 | 0 |
| Motor Vehicle Tax Reimbursements | 135,310,000 | 135,310,000 | 138,280,000 | 141,050,000 | 143,590,000 |
| Motor Vehicle - VLT | 0 | 0 | 0 | 0 | 0 |
| PILOT | 27,580,000 | 28,890,000 | 30,190,000 | 31,470,000 | 32,730,000 |
| Distressed Communities | 380,000 | 640,000 | 660,000 | 670,000 | 680,000 |
| Distressed - VLT | 0 | 0 | 0 | 0 | 0 |
| Shift Distressed-from Stabilization | 0 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 |
| Library Aid | 11,760,000 | 11,750,000 | 12,850,000 | 13,470,000 | 13,590,000 |
| Property Revaluation Prgm | 1,840,000 | 960,000 | 1,240,000 | 510,000 | 980,000 |
| Subtotal | \$996,270,000 | \$1,018,310,000 | \$1,081,470,000 | \$1,099,660,000 | \$1,115,130,000 |
| | -1.3% | 2.2% | 6.2% | 1.7% | 1.4% |
| Capital | | | | | |
| <i>Debt Service</i> | | | | | |
| General Obligation | 73,150,000 | 78,870,000 | 96,220,000 | 108,410,000 | 106,060,000 |
| Refunding Bond Authority | 6,150,000 | 0 | 0 | 0 | 0 |
| COPS/Other Leases | 40,510,000 | 36,670,000 | 33,690,000 | 33,750,000 | 23,880,000 |
| Convention Center | 26,150,000 | 23,130,000 | 23,140,000 | 23,140,000 | 23,140,000 |
| Performance Based | 6,400,000 | 7,000,000 | 7,000,000 | 7,000,000 | 7,000,000 |
| Historic Tax Credit Program | 22,530,000 | 55,730,000 | 55,730,000 | 55,730,000 | 55,730,000 |
| TANS | 1,590,000 | 1,590,000 | 1,590,000 | 1,590,000 | 1,590,000 |
| <i>Capital Improvements</i> | | | | | |
| Other Projects | 5,460,000 | 5,460,000 | 5,460,000 | 5,460,000 | 5,460,000 |
| Subtotal | \$181,940,000 | \$208,450,000 | \$222,830,000 | \$235,080,000 | \$222,860,000 |
| | 12.5% | 14.6% | 6.9% | 5.5% | -5.2% |
| Repayment To RI Capital Fund | | | | | |
| Operating transfer to RICAP | 42,950,000 | | | | |
| Total | \$3,079,060,000 | \$3,271,250,000 | \$3,540,520,030 | \$3,689,710,030 | \$3,817,120,030 |
| Difference | \$15,060,000 | \$192,190,000 | \$269,270,030 | \$149,190,000 | \$127,410,000 |
| | 0.49% | 6.24% | 8.23% | 4.21% | 3.45% |

General Revenue Outyear Expenditure Estimates

| | FY 2010 | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|----------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|
| State Operations | | | | | |
| Personnel | 2.8% | 5.8% | 6.0% | 5.6% | 5.5% |
| Other State Operations | -0.1% | -5.3% | -11.5% | 0.1% | 0.0% |
| MHRH Medicaid FMAP shift to GR | 0.0% | 0.0% | 99.9% | 0.0% | 0.0% |
| RIDE Stimulus shift to GR | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| Subtotal | 2.3% | 5.3% | 4.8% | 4.8% | 4.7% |
| Grants and Benefits | | | | | |
| Department of Human Services | | | | | |
| Hospitals | -56.6% | 30.6% | 24.8% | 5.8% | 5.7% |
| Managed Care | 4.2% | 21.1% | 18.4% | 6.3% | 6.1% |
| Nursing Care | -13.6% | 23.1% | 19.7% | 5.3% | 5.4% |
| Home Care | 8.4% | 26.7% | 22.7% | 9.1% | 9.0% |
| Other Medicaid | -27.3% | 23.1% | 20.4% | 8.0% | 8.1% |
| Pharmacy | -45.7% | 23.4% | 20.8% | 7.9% | 8.3% |
| Cash Assistance - TANF/FIP/Child Care | 0.0% | 2.5% | 2.2% | 2.0% | 1.8% |
| Cash Assistance - SSI | -11.8% | 2.5% | 2.2% | 2.0% | 1.8% |
| Clawback | 3.5% | 6.9% | 7.2% | 7.9% | 8.3% |
| DISH | 11.4% | 2.5% | 2.2% | 2.0% | 1.8% |
| Department of Health | | | | | |
| AIDS Medicaid | -12.2% | 27.8% | 26.1% | 6.9% | 8.1% |
| Department of Elderly Affairs | | | | | |
| Medicaid (Case Mang't & Core Waiver) | 18.9% | 17.1% | 15.7% | 7.9% | 8.1% |
| Home Health Care | 0.0% | 9.1% | 8.3% | 9.6% | 8.8% |
| Department of Children Youth & Families | | | | | |
| Children & Family Services | -4.3% | 12.9% | 12.4% | 8.0% | 8.1% |
| Department of Mental Health Retardation & Hospitals | | | | | |
| Developmental Disabilities-Private | -15.4% | 24.9% | 21.6% | 8.0% | 8.1% |
| Other Grants and Benefits | | | | | |
| | -3.9% | 2.5% | 2.2% | 2.0% | 1.8% |
| Subtotal | -6.2% | 15.4% | 14.1% | 5.8% | 5.8% |
| Local Aid | | | | | |
| Education Aid | 3.4% | 1.4% | 6.9% | 1.6% | 1.2% |
| General Revenue Sharing | -100.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| GRS - VLT | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| Motor Vehicle Tax Reimbursements | 0.0% | 0.0% | 2.2% | 2.0% | 1.8% |
| Motor Vehicle - VLT | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| PILOT | 0.0% | 4.7% | 4.5% | 4.2% | 4.0% |
| Distressed Communities | -96.0% | 68.4% | 3.1% | 1.5% | 1.5% |
| Distressed - VLT | -100.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| Shift Distressed-from Stabilization | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| Library Aid | 4.1% | -0.1% | 9.4% | 4.8% | 0.9% |
| Property Revaluation Prgm | 44.9% | -47.8% | 29.2% | -58.9% | 92.2% |
| Subtotal | -1.3% | 2.2% | 6.2% | 1.7% | 1.4% |
| Capital | | | | | |
| <i>Debt Service</i> | | | | | |
| General Obligation | 12.6% | 7.8% | 22.0% | 12.7% | -2.2% |
| Refunding Bond Authority | -67.7% | -100.0% | 0.0% | 0.0% | 0.0% |
| COPS/Other Leases | 12.7% | -9.5% | -8.1% | 0.2% | -29.2% |
| Convention Center | 0.0% | -11.5% | 0.0% | 0.0% | 0.0% |
| Performance Based | 4.9% | 9.4% | 0.0% | 0.0% | 0.0% |
| Historic Tax Credit Program | 0.0% | 147.4% | 0.0% | 0.0% | 0.0% |
| TANS | 0.0% | 0.0% | 0.0% | 0.0% | 0.0% |
| <i>Capital Improvements</i> | | | | | |
| Other Projects | -31.1% | 0.0% | 0.0% | 0.0% | 0.0% |
| Subtotal | 12.5% | 14.6% | 6.9% | 5.5% | -5.2% |
| Repayment To RI Capital Fund | | | | | |
| Operating transfer to RICAP | 0.0% | -100.0% | 0.0% | 0.0% | 0.0% |
| Total | 0.5% | 6.2% | 8.2% | 4.2% | 3.5% |
| CPIU | | 2.5% | 2.2% | 2.0% | 1.8% |

General Revenue Outyear Planning Values

| Estimates and Growth | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|-------------------------------------------|----------------|----------------|----------------|----------------|
| Personal Income (billions) [1] | \$46.4 | \$48.6 | \$50.8 | \$52.4 |
| <i>Change</i> | 4.5% | 4.8% | 4.6% | 3.2% |
| Nonfarm Employment (thousands) [1] | 473.7 | 479.8 | 487.0 | 492.4 |
| <i>Change</i> | 0.7% | 1.3% | 1.5% | 1.1% |
| Personal Income Tax | | | | |
| Wages and Salaries [1] | 2.9% | 4.2% | 4.1% | 2.8% |
| Business Corporation Tax [3] | 11.5% | 10.1% | 9.4% | 8.1% |
| Provider Tax [2] | 4.3% | 4.3% | 4.3% | 4.2% |
| Sales Tax | | | | |
| Wages and Salaries [1] | 2.9% | 4.2% | 4.1% | 2.8% |
| Gasoline Tax | | | | |
| Real Consumption [4] | 2.8% | 3.4% | 1.6% | 1.0% |
| Other Taxes and Departmentals [2] | 0.2% | 0.8% | 0.8% | 0.6% |
| CPI-U (U.S.) [1] | 2.5% | 2.2% | 2.0% | 1.8% |
| Salaries and Fringe Benefits | | | | |
| Salary COLA - [13], CPI-U [1] | 3.0% | 3.0% | 2.0% | 1.8% |
| Steps and Longevity Increases [2] | 1.7% | 1.7% | 1.7% | 1.7% |
| Medical Benefits Costs [8] | 6.4% | 6.3% | 6.3% | 6.1% |
| Retiree Health Costs [14] | | 3.86% | 3.86% | 3.86% |
| State Employees Retirement Costs [15] | 20.77% | 23.35% | 26.91% | 30.72% |
| Home Health Care | | | | |
| Expenditure Growth [6] | 9.5% | 9.3% | 9.1% | 9.0% |
| Nursing Home Care | | | | |
| Expenditure Growth [6] | 5.3% | 5.3% | 5.3% | 5.4% |
| Medicaid Reform Deflator [10] | 0.0% | 0.0% | 0.0% | 0.0% |
| Managed Care/State Employee Plan | | | | |
| Expenditure Growth [8] | 6.4% | 6.3% | 6.3% | 6.1% |
| Medicaid Reform Deflator [10] | 0.0% | 0.0% | 0.0% | 0.0% |
| Other DHS Medicaid | | | | |
| Expenditure Growth [7] | 7.9% | 8.0% | 8.0% | 8.1% |
| Medicaid Reform Deflator [10] | 0.0% | 0.0% | 0.0% | 0.0% |
| MHRH- Mental Health | | | | |
| Expenditure Growth [8] | 6.7% | 6.4% | 6.3% | 6.3% |
| DCYF Services | | | | |
| Expenditure Growth [7] | 7.6% | 7.9% | 8.0% | 8.0% |
| Medicaid Reform Deflator [10] | 0.0% | 0.0% | 0.0% | 0.0% |
| MHRH- MR/DD | | | | |
| Expenditure Growth [7] | 7.9% | 8.0% | 8.0% | 8.1% |

General Revenue Outyear Planning Values

| Estimates and Growth | FY 2011 | FY 2012 | FY 2013 | FY 2014 |
|-------------------------------|---------|---------|---------|---------|
| Medicaid Reform Deflator [10] | 0.0% | 0.0% | 0.0% | 0.0% |
| Pharmacy | | | | |
| Expenditure Growth [9] | 6.5% | 6.9% | 7.2% | 7.9% |

[1] November 2008 Revenue Estimating Conference Consensus Economic Forecast, FY 2009 - FY 2014.

[2] State of Rhode Island Budget Office Estimate.

[3] Moody's Economy.com Calendar Year Forecast of the U.S. Economy, February 2009, Corporate Profits Before Tax.

[4] Moody's Economy.com Calendar Year Forecast of the U.S. Economy, February 2009, Nondurables: Gasoline and Oil.

[5] Moody's Economy.com Fiscal Year Forecast of the R.I. Economy, February 2009 Growth in Population Ages 65+.

[6] HCFA (CMS) National Health Care Expenditures Projections March 2007, 1965-2017, Home Health and Nursing Home Care

[7] HCFA (CMS) National Health Care Expenditures Projections March 2007, 1965-2017, Medicaid

[8] HCFA (CMS) National Health Care Expenditures Projections March 2007, 1965-2017, Private Insurance as proxy for Managed Care

[9] HCFA (CMS) National Health Care Expenditures Projections March 2007, 1965-2017, Pharmacy

[10] No extraordinary decrease in expenditures unique to RI is forecasted in this five year forecast.

[11] November 2008 CEC estimates and DHS Fiscal Year FY 2009 Forecast.

[12] HCFA (CMS) National Health Care Expenditures Projections 1965-2017, Personal Health Care.

[13] Based on contractual obligations for 2011 and 2012; FY 2012 and thereafter - CPI.

[14] Reflects funding on an actuarial basis beginning with Fiscal 2011.

[15] Estimate of actuarially required contribution based upon a % of payroll, reflecting the Governor's proposed reform and estimated increases in rates due to investment losses as of January 2009.

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Tobacco Securitization Financing Corporation
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