

The Agency

Department of Business Regulation

Agency Operations

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of seven divisions and Central Management, which includes budget, personnel, and legal. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals, Insurance and Building Contractors.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, and Racing and Athletics Hearing Board. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws §§ 42-14-1 et seq.

The Budget

Department of Business Regulation

	FY 2006 Actual	FY 2007 Actual	FY 2009 Enacted	FY 2009 Revised	2010 Recommended
Expenditures by Program					
Central Management	1,202,297	1,204,483	1,133,343	1,063,601	1,084,422
Securities Regulation	892,904	951,245	954,300	826,316	838,110
Racing and Athletics	97	-	-	-	-
Insurance Regulation	5,543,348	5,618,200	5,534,290	5,707,544	5,810,982
Board of Accountancy	143,242	152,876	156,595	160,862	164,526
Banking and Securities	1,947,251	1,970,273	2,105,142	1,828,159	1,874,677
Commercial Licensing , Racing & Athletics	1,515,981	1,567,683	1,451,807	1,200,787	1,244,753
Board for Design Professionals	374,210	347,410	328,227	315,572	314,575
Building Contractors' Registration Board	-	-	-	-	1,113,397
Total Expenditures	\$11,619,330	\$11,812,170	\$11,663,704	\$11,102,841	\$12,445,442
Expenditures By Object					
Personnel	10,131,903	10,269,534	10,779,358	10,159,067	11,510,922
Operating Supplies and Expenses	1,204,409	1,328,946	789,212	763,959	840,620
Aid To Local Units Of Government	(400)	-	-	-	-
Assistance, Grants and Benefits	269,946	205,561	80,000	160,515	80,000
Subtotal: Operating Expenditures	\$11,605,858	\$11,804,041	\$11,648,570	\$11,083,541	\$12,431,542
Capital Purchases and Equipment	13,472	8,129	15,134	19,300	13,900
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$11,619,330	\$11,812,170	\$11,663,704	\$11,102,841	\$12,445,442
Expenditures By Funds					
General Revenue	10,859,698	10,333,679	10,118,066	9,307,245	9,577,234
Federal Funds	43,291	114,130	-	87,641	-
Restricted Receipts	716,341	1,364,361	1,545,638	1,707,955	2,868,208
Total Expenditures	\$11,619,330	\$11,812,170	\$11,663,704	\$11,102,841	\$12,445,442
FTE Authorization	103.0	102.0	97.0	91.0	103.0
Agency Measures					
Minorities as Percentage of Workforce	4.0%	4.0%	4.0%	4.0%	4.0%
Females as Percentage of Workforce	54.0%	54.0%	54.0%	54.0%	54.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

The Program

Department of Business Regulation Central Management

Program Operations

Central Management is composed of Director's office budget, personnel, and legal. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Associate Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I. General Law §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department of Business Regulation Central Management

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	980,267	974,315	1,061,798	1,015,159	1,038,169
Operating Supplies and Expenses	218,241	229,976	68,445	46,342	44,153
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,198,508	\$1,204,291	\$1,130,243	\$1,061,501	\$1,082,322
Capital Purchases and Equipment	3,789	192	3,100	2,100	2,100
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,202,297	\$1,204,483	\$1,133,343	\$1,063,601	\$1,084,422
Expenditures By Funds					
General Revenue	1,202,297	1,204,483	1,133,343	1,063,601	1,084,422
Total Expenditures	\$1,202,297	\$1,204,483	\$1,133,343	\$1,063,601	\$1,084,422
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Business Regulation Banking and Securities Regulation

Program Operations

Banking and Securities Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations. The division is also responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives and also for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act.

With respect to Banking, the division is responsible for regulating, monitoring and examining thirty-four (34) state-chartered financial institutions, Rhode Island bank holding companies, credit unions and one thousand nine hundred ninety three (1,993) banking licensee locations as of July 2006. The division also processed approximately sixty-six thousand seven hundred (66,700) securities licenses and nine thousand five hundred (9,500) securities registrations.

Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

R.I. General Laws Chapters §§19-1 to 19-14.7 charge the Division with the regulation of financial institutions, Rhode Island bank holding companies, credit unions as well as lender, loan broker, small loan lender, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management company licensees. RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I. General Laws §§34-23 to 34-27 relate to Mortgages. R.I. General Laws §§19-14.3, 19-14.4, 19-14.6 and 19-14.7 relate to the Sale of Checks, Electronic Money Transfers, Check Cashing, and Foreign Exchange Transactions and Debt Management Companies. The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), Rhode Island General Laws §7-11; the Franchise Investment Act, R.I. General Law §19-28.1; the Charitable Solicitation Act, R.I. General Law §5-53.1; and the Real Estate Time-Share Act, R.I. General Law §34-41.

The Budget

Department of Business Regulation Banking and Securities Regulation

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	1,800,923	1,750,902	1,943,371	1,657,676	1,704,153
Operating Supplies and Expenses	144,935	215,470	156,771	165,483	165,524
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,945,858	\$1,966,372	\$2,100,142	\$1,823,159	\$1,869,677
Capital Purchases and Equipment	1,393	3,901	5,000	5,000	5,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,947,251	\$1,970,273	\$2,105,142	\$1,828,159	\$1,874,677
Expenditures By Funds					
General Revenue	1,947,251	1,916,394	1,980,142	1,703,159	1,749,677
Restricted Receipts	-	53,879	125,000	125,000	125,000
Total Expenditures	\$1,947,251	\$1,970,273	\$2,105,142	\$1,828,159	\$1,874,677
Program Measures					
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code	100.0%	100.0%	100.0%	100.0%	100.0%
Percentage of Other Lending Licenses Examined in Substantial Compliance with the Banking Code	85.0%	44.0%	60.0%	60.0%	60.0%
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	100.0%	100.0%	100.0%	100.0%	100.0%

The Program

Department of Business Regulation Securities Regulation

Program Operations

Securities Regulation was responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division was also responsible for enforcing compliance with the State's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2008 the division processed approximately 80,295 licenses and 11,165 registrations, conducted 15 on-site examinations of broker-dealers and investment advisers, 48 complaints, and instituted 18 enforcement actions, pursuant to applicable state and federal laws and regulations.

Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

The division was charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Law 7-11; the Franchise Investment Act, R.I. General Law 19-28.1; the Charitable Solicitation Act, R.I. General Law 5-53.1; and the Real Estate Time-Share Act, R.I. General Law 34-41.

The Budget

Department of Business Regulation Securities Regulation

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	783,337	820,245	905,296	776,332	790,463
Operating Supplies and Expenses	109,567	131,000	47,570	48,584	47,647
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$892,904	\$951,245	\$952,866	\$824,916	\$838,110
Capital Purchases and Equipment	-	-	1,434	1,400	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$892,904	\$951,245	\$954,300	\$826,316	\$838,110
Expenditures By Funds					
General Revenue	892,904	940,311	929,300	811,316	823,110
Restricted Receipts	-	10,934	25,000	15,000	15,000
Total Expenditures	\$892,904	\$951,245	\$954,300	\$826,316	\$838,110
Program Measures					
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	-	-	-	-	-

The Program

Department of Business Regulation Commercial Licensing, Racing and Athletics

Program Operations

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, *travel agencies and travel agents, upholsterers, *alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

Statutory History

R.I. General Law 5-58 relates to auctioneers; R.I. General Law 5-20.5 relates to real estate; R.I. General Law 5-20.7 relates to real estate appraisers; R.I. General Law 5-38 relates to automobile body repair shops; R.I. General Law 5-50 relates to pre-opening of health club sales campaigns; *R.I. General Law 5-52 relates to travel agencies; *R.I. General Law 5-57 relates to burglar and hold-up alarm businesses; R.I. General Law 6-31 relates to unit pricing; R.I. General Law 23-26 relates to bedding and upholstered furniture; R.I. General Laws 31-44 & 31-44.1 relate to mobile and manufactured homes; R.I. General Law 42-14.2 relates to auto wrecking and salvage yards; R.I. General Law 31-37 relates to advertising and sale of motor fuel at retail, R.I. General Law 31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law 3-1 relates to alcoholic beverages. R.I. General Law 41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

*Travel repealed on 6-6-2008

*Alarms Regulation transferred to Department of Labor and Training on 7-1-2008.

The Budget

Department of Business Regulation Commercial Licensing, Racing & Athletics

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	1,281,656	1,262,920	1,261,821	993,053	1,034,174
Operating Supplies and Expenses	256,877	279,428	106,686	124,434	127,279
Aid To Local Units Of Government	(400)	-	-	-	-
Assistance, Grants and Benefits	(24,000)	25,335	80,000	80,000	80,000
Subtotal: Operating Expenditures	\$1,514,133	\$1,567,683	\$1,448,507	\$1,197,487	\$1,241,453
Capital Purchases and Equipment	1,848	-	3,300	3,300	3,300
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,515,981	\$1,567,683	\$1,451,807	\$1,200,787	\$1,244,753
Expenditures By Funds					
General Revenue	1,540,856	1,131,783	963,559	777,499	795,557
Restricted Receipts	(24,875)	435,900	488,248	423,288	449,196
Total Expenditures	\$1,515,981	\$1,567,683	\$1,451,807	\$1,200,787	\$1,244,753
Program Measures					
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	95.0%	95.0%	99.7%	99.7%	99.7%
Percentage of Tested Greyhounds Testing Negative for Chemical Substances	99.9%	99.9%	99.9%	99.9%	99.0%

The Program

Department of Business Regulation Insurance Regulation

Program Operations

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it received in June of 1993. The division was once again accredited in December 1998 and again in December 2003, each time receiving the maximum accreditation period of five years.

Program Objectives

To monitor effectively the financial condition and market conduct of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

Statutory History

All chapters of R.I.G.L. 27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department of Business Regulation Insurance Regulation

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	4,863,083	5,047,555	5,215,399	5,322,420	5,493,120
Operating Supplies and Expenses	379,877	386,383	318,391	297,109	314,362
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	293,946	180,226	-	80,515	-
Subtotal: Operating Expenditures	\$5,536,906	\$5,614,164	\$5,533,790	\$5,700,044	\$5,807,482
Capital Purchases and Equipment	6,442	4,036	500	7,500	3,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$5,543,348	\$5,618,200	\$5,534,290	\$5,707,544	\$5,810,982
Expenditures By Funds					
General Revenue	4,758,841	4,640,422	4,626,900	4,475,236	4,645,367
Federal Funds	43,291	114,130	-	87,641	-
Restricted Receipts	741,216	863,648	907,390	1,144,667	1,165,615
Total Expenditures	\$5,543,348	\$5,618,200	\$5,534,290	\$5,707,544	\$5,810,982
Program Measures					
Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations)	100.0%	100.0%	100.0%	100.0%	90.0%

The Program

Department of Business Regulation Board of Accountancy

Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues license certificates and annual permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Program Objectives

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Statutory History

R.I. General Laws §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department of Business Regulation Board of Accountancy

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	133,959	143,299	146,410	149,135	152,729
Operating Supplies and Expenses	9,283	9,577	10,185	11,727	11,797
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$143,242	\$152,876	\$156,595	\$160,862	\$164,526
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$143,242	\$152,876	\$156,595	\$160,862	\$164,526
Expenditures By Funds					
General Revenue	143,242	152,876	156,595	160,862	164,526
Total Expenditures	\$143,242	\$152,876	\$156,595	\$160,862	\$164,526
Program Measures					
Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law	98.0%	98.0%	98.0%	98.0%	98.0%

The Program

Department of Business Regulation Design Professionals

Program Operations

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as the division know as the division of Design Professionals.

Program Objectives

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the 1975.

The Budget

Department of Business Regulation Design Professionals

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	288,581	270,298	245,263	245,292	249,093
Operating Supplies and Expenses	85,629	77,112	81,164	70,280	65,482
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$374,210	\$347,410	\$326,427	\$315,572	\$314,575
Capital Purchases and Equipment	-	-	1,800	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$374,210	\$347,410	\$328,227	\$315,572	\$314,575
Expenditures By Funds					
General Revenue	374,210	347,410	328,227	315,572	314,575
Total Expenditures	\$374,210	\$347,410	\$328,227	\$315,572	\$314,575
Program Measures					
Ratio of Complaint Cases Successfully Resolved to Cases Filed	0.62	0.75	0.75	0.75	0.75

The Program

Department of Business Regulation Building Contractors' Registration Board

Program Operations

The Building Contractors' Registration and Licensing Board was previously housed within the Department of Administration. The Board became a Division within the Department of Business Regulation in FY 2010.

The Building Contractors' Registration Board is responsible for registering building contractors and licensing Home Inspectors and Commercial Roofers. The Board investigates complaints and conducts consumer protection activities by mediating disputes between property owners and contractors.

Program Objectives

To protect the public from unscrupulous building contractors and poor workmanship.

Statutory History

R.I.G.L. 5-56-1 et. seq. establishes the duties, authority and responsibility of the Building Contractors' Registration Board: as well as R.I.G.L. 5-65.1 et. seq. and R.I.G.L. 5-73 et. Seq.

The Budget

Department of Business Regulation Building Contractors' Registration Board

	FY 2007 Actual	FY 2008 Actual	FY 2009 Enacted	FY 2009 Revised	FY 2010 Recommended
Expenditures By Object					
Personnel	-	-	-	-	1,049,021
Operating Supplies and Expenses	-	-	-	-	64,376
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	-	-	-	-	\$1,113,397
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	-	-	-	-	\$1,113,397
Expenditures By Funds					
Restricted Receipts	-	-	-	-	\$1,113,397
Total Expenditures	-	-	-	-	\$1,113,397
Program Measures					
Annual Inflation-Adjusted Dollar Value of Repair Services and Cash Settlements to Rhode Island Consumers Secured by the Building Contractors' Registration Board					
	-	-	-	-	\$590,593