

State of Rhode Island and Providence Plantations

Budget

Fiscal Year 2011

Donald L. Carcieri, Governor

The Budget

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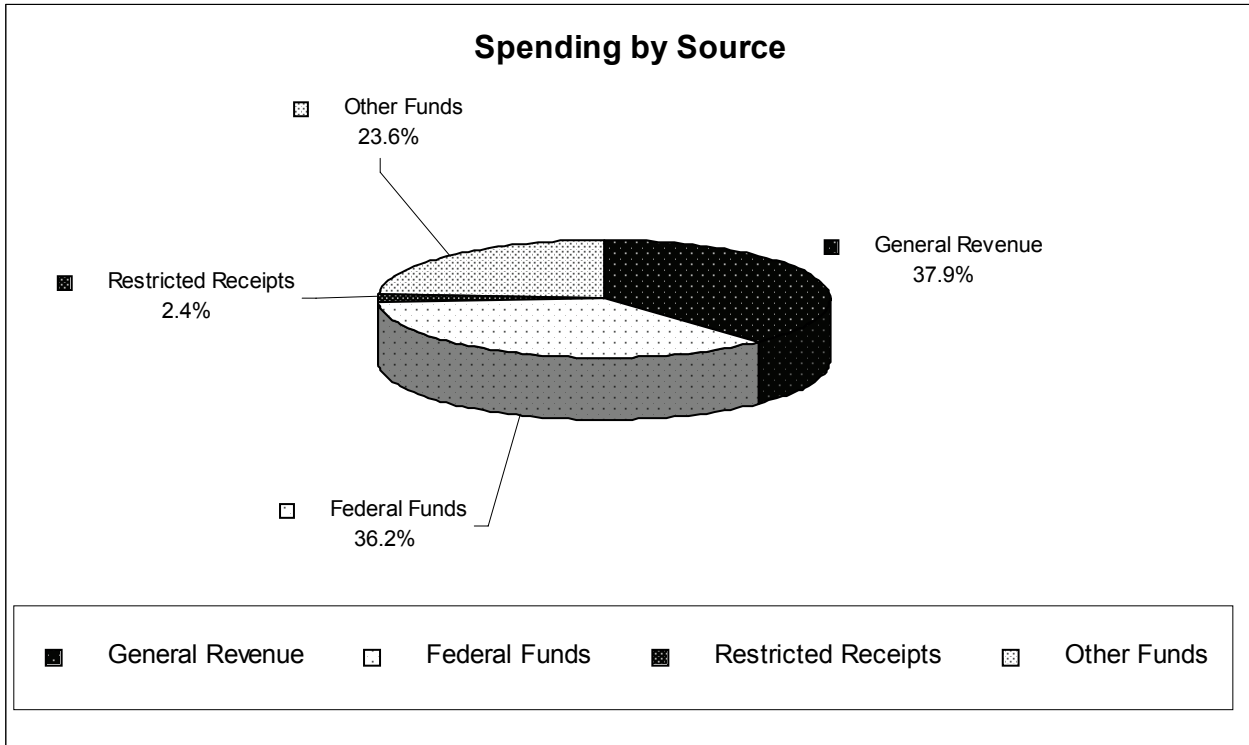
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Introduction

Introduction

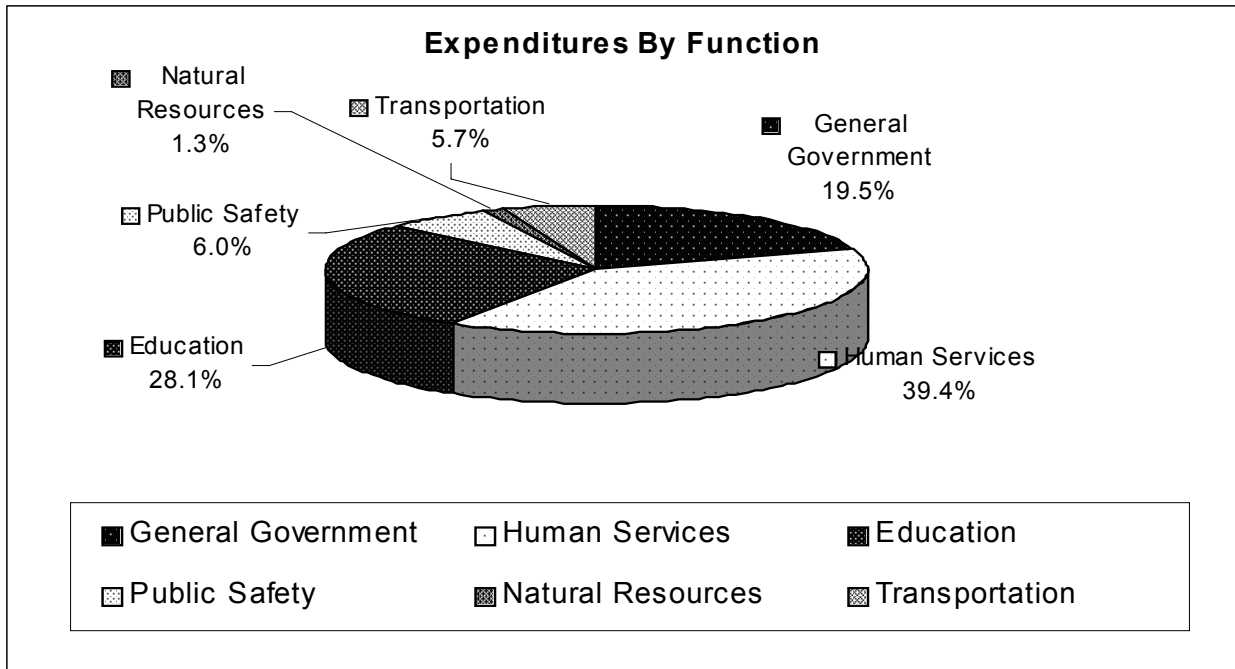
All funds expenditures for FY 2011 are \$7.513 billion. Of this total, \$2.849 billion or 37.9 percent is from general revenue, \$2.717 billion, or 36.2percent, from federal funds, \$1.770 billion, or 23.6 percent, from other sources, and \$176.8 million, or 2.4 percent, is from restricted or dedicated fee funds.

On a functional basis, the largest percentage of expenditures is made in the Human Services area, which comprises \$2.960 billion, or 39.4 percent of the total budget. This is followed by spending for Education of \$2.110 billion, which comprises 28.1 percent of all spending, and expenditures for General Government of

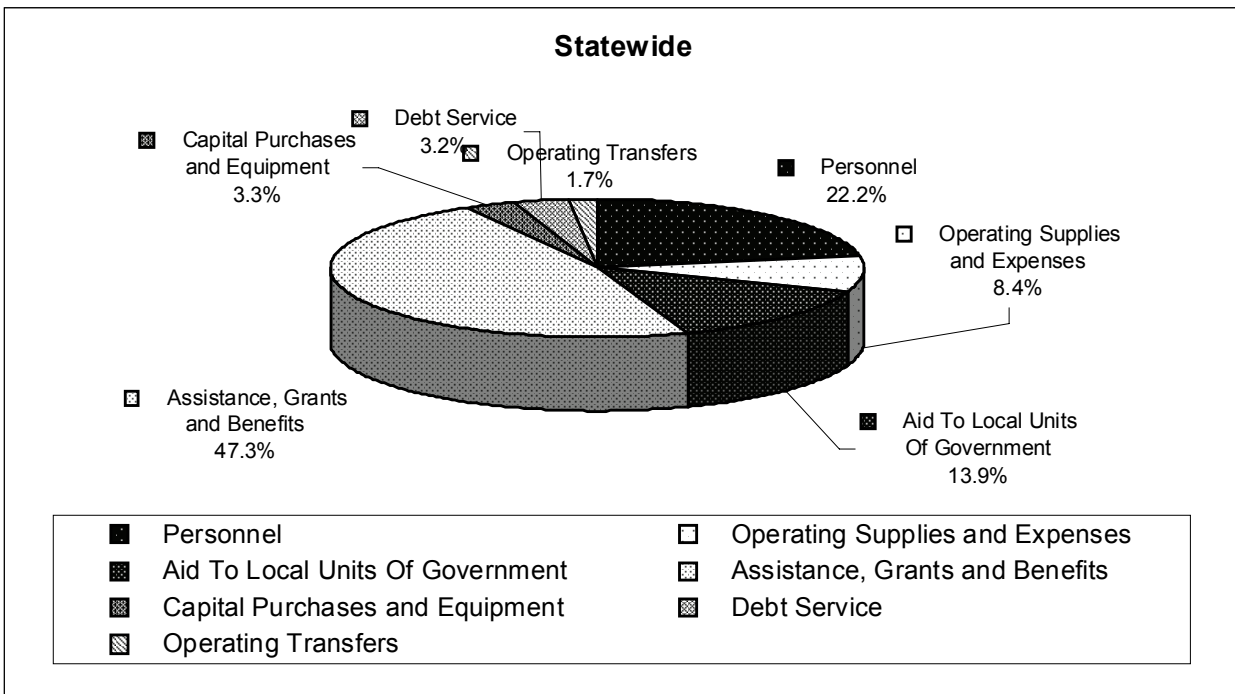


\$1.467 billion, equaling 19.5 percent. Public Safety, Natural Resources and Transportation expenditures make up the balance, totaling \$976.1 million, or 13.0 percent of the total budget.

Introduction



The second way to view expenditures is by major category. On this basis, the largest share of the FY 2011 budget is for assistance, grants and benefits equaling \$3.555 billion or 47.3 percent of the total. This is followed by personnel expenditures, which comprise 22.2 percent, or \$1.670 billion, and local aid expenditures, which make up 13.9 percent, or \$1.042 billion of the total budget. Expenditures for capital purchases and debt service total \$484.1 million or 6.4 percent, with the balance of spending used to finance operating expenditures and operating transfers of \$761.8 million, or 10.1 percent of the total.



Introduction

Expenditures from general revenue total \$2.849 billion for FY 2011. By function, spending by Human Service agencies represents the largest share with expenditures, totaling \$1.039 billion, or 36.5 percent of the general revenue budget. This is followed by spending for Education, which totals \$1.004 billion, or 35.2 percent. General revenue expenditures for General Government and Public Safety comprise \$384.0 million (13.5 percent) and \$384.8 million (13.5 percent), respectively. Expenditures for Natural Resources comprise \$38.0 million, or 1.3 percent of total general revenue spending. Transportation expenditures are financed by dedicated gasoline taxes and are not a component of general revenue spending.

General revenue expenditures by category are primarily devoted to financing grants, local aid and personnel. The largest component is assistance, grants, and benefit expenditures of \$903.2 million, comprising 31.7 percent of total general revenue spending. Local Aid expenditures of \$838.7 million represent 29.4 percent of total spending; personnel expenditures of \$797.3 million comprise 28.0 percent of the budget; operating expenditures and operating transfers total \$141.5 million, or 5.0 percent of the budget; and, capital expenditures and debt service total \$168.4 million, or 5.9 percent of the total general revenue budget.

Governor Carcieri's *FY 2011 Budget* is the second volume in a six-volume set. The first volume, the *Executive Summary*, contains the summaries of revenue and expenditures on a statewide, functional, and departmental basis. The *Executive Summary* also presents statewide expenditure data by *category* or object of expenditure. This same data is presented in this document in more detail by program.

Specific recommendations for FY 2011 for the departments are presented in the *Executive Summary*, and further detail is provided in the *Technical Appendix*. The five-year financial projection is contained in this document, *The Budget*, as provided by law.

The Budget provides an overview of state expenditures, as well as an in-depth presentation of the State Budget by program with special reports on Education Aid and State Aid to provide a historical perspective on these state expenditures. *The Budget* also contains a "Budget Primer" which is intended to assist the reader of the budget documents in understanding the budget process in Rhode Island.

The financial data presented for state agencies in *The Budget* for the past two fiscal years (FY 2008 and FY 2009) is generally derived from the appropriation accounting and receipt accounting files of the State Controller, as of the time of year-end closing. In the case of the accounts under the jurisdiction of the Board of Governor's of Higher Education, these columns reflect independently audited records.

The financial data for state agencies for the current fiscal year is from the enacted budget, modified in some cases to reflect recommended supplemental appropriations or withdrawals, revised expenditure estimates by category of expenditure or program, and revised estimates of federal grant awards or restricted receipts. In this document, the general revenue balance forward is included at the account level. The proposed changes to the enacted FY 2010 budget are included in the financial data by program for FY 2010. Totals and subtotals often appear to be inaccurate by small amounts or may disagree by small amounts with other budget and financial documents; this is due to differences in rounding procedures. The annual Appropriations Act is the absolute reference for state appropriation amounts.

The Budget also contains both narrative descriptions of Rhode Island's quasi-public agencies, authorities and entities, which are component units of state government for financial reporting purposes, and presents financial data provided by these entities. The Budget Office requests that quasi-public agencies and authorities submit information in the format used by the agency; no attempt is made to conform the financial presentation of the agencies data. In most cases, the FY 2010 and FY 2011 information has not been officially approved by the entities' governing bodies.

Introduction

The *FY 2011 Budget* also continues to report performance measurements for most programs, as required by legislative mandate to develop performance measurements for use in the budget process. Measurements are reflected on each finance page of the *FY 2011 Budget* and are explained in detail in the *Technical Appendix*.

The *Personnel Supplement* contains information relating to personnel costs by program, which are included in the Governor's *FY 2011 Budget*. The *Budget as Enacted* will be prepared after final enactment by the 2010 General Assembly. The *Capital Budget* contains information on the Governor's recommended capital improvement plan, and contains individual project expenditures. *The Budget* generally contains the debt service component relating to capital improvements and any "pay-as-you-go" capital, which is financed from current revenues.

The Budget Process: A Primer

The purpose of this primer is to clarify the annual budget and appropriations processes.

Appropriation Process. According to Article IX Section 16 of the Rhode Island Constitution, and the Rhode Island General Laws Section 35-3-7, the Governor must present spending recommendations to the Legislature. *The Budget* reflects expenditures for both the current and upcoming fiscal year and identifies the sources of financing for those expenditures.

On or before the third Thursday in January, unless delayed by act of the Legislature, the Governor must submit to the General Assembly a budget containing a complete plan of estimated revenues and proposed expenditures, with a personnel supplement detailing the number and titles of positions of each agency, and estimates of personnel costs for the next fiscal year.

The budget is proposed by the Governor and considered by the General Assembly, which may increase, decrease, alter, or strike out any item in the budget, provided that the action would not cause an excess of appropriations over anticipated revenue receipts. No appropriation in excess of budget recommendations may be made by the General Assembly unless it provides the necessary additional revenue to cover such appropriation. The Governor may veto legislative appropriations. The Legislature may override any veto by a three-fifths majority vote. Supplemental appropriations measures must be submitted by the Governor to the General Assembly on or before the third Thursday in January. Supplemental appropriations by the General Assembly must also be supported by additional revenues.

The general laws of the state provide that if the General Assembly fails to pass the annual appropriation bill, amounts equal to those appropriated in the prior fiscal year shall be automatically available for expenditure, subject to monthly or quarterly allotments as determined by the Budget Officer. Expenditures for general obligation bond indebtedness of the state shall be made as required regardless of the passage of the annual budget or the amount provided for in the prior fiscal year.

Fiscal Years. It is important when reading the budget to consider which fiscal year is being discussed. The state *fiscal year* runs from July 1 to the following June 30 and is numbered for the calendar year in which it ends (e.g. FY 2010). The *current fiscal year* is the one which ends the coming June. The *actual fiscal years* are the years which concluded in June of the two previous years. The *budget year* refers to the next fiscal year, which begins the July following the Legislature's adjournment. Finally, *out-years* refer to any years beyond the budget year.

By law, *The Budget* must record two actual fiscal years of spending, as well as the Governor's revised spending recommendations for the current fiscal year, and the Governor's full recommendations for the budget year.

Revenue Estimates and Caseload Estimates. Receipt estimates for the current year and budget year are those adopted by the State Revenue Estimating Conference, as adjusted by any changes recommended by the Governor.

The State Revenue Estimating Conference was created by the 1990 General Assembly to provide the Governor and the Assembly with estimates of general revenues. It is composed of the State Budget Officer, the House Fiscal Advisor, and the Senate Fiscal Advisor, with the chair rotating among the three. It must meet no less than twice per year, in November and May, and can be convened at any other time by call of

The Budget Process: A Primer

any member, and must reach consensus on revenues. The 1991 Assembly created a Medical Assistance and Public Assistance Caseload Estimating Conference similar to the Revenue Estimating Conference to adopt cash assistance entitlement caseload estimates. The 1998 Assembly amended the Medical Assistance and Public Assistance Caseload Estimating Conference to estimate medical assistance expenditures, upon which the Governor's expenditures budget shall be based, and the appropriations by the assembly shall be made.

The consensus revenue estimate is the official revenue projection for general revenue. Estimates of revenues for federal funds, restricted receipts, and other funds are prepared by individual state agencies, reviewed by the Budget Office, and included in *The Budget*.

Classification of State Spending. The State of Rhode Island classifies state spending by function of government and by category of expenditure.

Function of government classifies expenditures by grouping agencies that make expenditures for similar programs and purposes. There are six functions of government defined in *The Budget*: General Government, Human Services, Education, Public Safety, Natural Resources, and Transportation.

The following explains the six functions of government included in *The Budget*.

General Government includes the administrative and regulatory functions of state government. Certain elected officials (Governor, Lieutenant Governor, General Treasurer, Secretary of State), the Legislature, and the Department of Administration are agencies that perform an administrative function. The Department of Business Regulation, the Department of Labor and Training, and the Public Utilities Commission are examples of agencies that perform a regulatory function. The Department of Revenue coordinates revenue collection activities of several state divisions.

Human Services includes agencies that provide services to individuals. These services provided include: the care of the disabled by the Department of Mental Health, Retardation and Hospitals; child protective and social services provided by the Department of Children, Youth and Families; health programs at the Department of Health and the Department of Human Services; financial assistance and social services provided by the Department of Human Services; and pharmaceutical assistance and home health care at the Department of Elderly Affairs. The Executive Office of Health and Human Services coordinates the programmatic and financing concerns of the Human Services agencies.

The *Education* function provides education services to Rhode Islanders. The State Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education provide direct education services, while services provided by the Rhode Island Telecommunications Authority are indirect in nature.

Public Safety is the function that provides safety and security services to Rhode Island citizens. Agencies in this function include the Department of Corrections, the Judiciary, the Attorney General and the Department of Public Safety.

The Budget Process: A Primer

The *Natural Resources* function protects the natural and physical resources of the state and regulates the use of those resources. Agencies included in this function are the Department of Environmental Management, the Coastal Resources Management Council, and the Water Resources Board.

Transportation includes the Department of Transportation, which is the only agency in this function. It is responsible for maintaining and constructing highways in Rhode Island, and for planning and financing all surface transportation modes.

Categories of expenditure classify expenditures by budgeting and accounting objects of expenditure: personnel; state operations; aid to local units of government; assistance, grants, and benefits; and capital. Objects of expenditures define how funds are encumbered and expended.

Personnel includes the salaries, wages, and benefits of state employees, as well as personnel services purchased from outside contractors and vendors.

State Operations include expenses incurred while conducting the day-to-day business of state government. This category includes "personnel" and "operating." Personnel includes expenditures for salaries and wages, fringe benefits, consultant services, and workers' compensation costs. Operating expenses comprise non-personnel expenditures for operations of state government, including maintenance and non-fixed equipment (capital outlay).

Aid to Local Units of Government is payments made to governmental units that provide services at the local level. Education Aid to local school districts is an example.

Other Assistance, Grants and Benefits constitutes payments to individuals and agencies which are not governmental units. Drugs, medicine and nursing facilities for the Medicaid programs, the pharmaceutical assistance program for the elderly, and cash assistance payments for Family Independence Program entitlements are a few examples.

Capital includes capital debt service and capital improvements. While the debt service component of capital improvements is reflected in the operating budget, the majority of capital improvements are found in the *Capital Budget*. Debt service includes payments on short term tax anticipation notes, long term general obligation bonds, Rhode Island Refunding Bond Authority lease payments, certificates of participation payments for the Intake Service Center, Attorney General's administrative office, and Shepard's building and lease payments to the Convention Center Authority.

State Employees. A major part of the state operations category of expenditures is salary and wage payments to employees. Public service in state government is divided into the classified service, unclassified service and non-classified service. The classified service comprises all positions in state service, now existing or to be created, except as specifically provided under R.I.G.L. 36-4-2 for unclassified employees and R.I.G.L. 16-59-7 for Higher Education non-classified employees. The classified service is divided into a competitive branch and a non-competitive branch. Employees hired to fill positions in the classified service must be hired on the basis of merit and fitness. The non-competitive branch includes positions that require the performance of routine tasks, or those that require licenses, certificates, or registrations. These employees are also promoted and discharged on the basis of rules and regulations established and administered by the Rhode Island State Employees Merit System.

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Certain positions are specifically designated for inclusion in the unclassified service. These positions are defined to include those in specific agencies, or types of agencies for specific purposes. Examples are employees of the Legislature, elected officials, and employees of the courts. Compensation for unclassified positions is governed by the Unclassified Pay Board and other matters are governed by rules and regulations of the unclassified system.

State service also includes special types of positions. In addition to regular full time positions, there are *seasonal* positions in the classified service. Such positions may require the employee to work an irregular schedule such that the employee is on call when needed, or for only a portion of the year, and only for a maximum of 925 hours in a 12-month period. Employees of the Department of Environment Management who staff the state's parks, beaches, and wildlands in the summer are an example of seasonal employees.

Financing of State Spending. Frequent reference is made in *The Budget* to "general revenue" expenditures and expenditures from "all funds". Expenditures from all funds include both general revenue expenditures and expenditures from federal funds, restricted receipts, and other or special revenue funds.

General revenue receives the most attention in the budget because it is the largest of the "uncommitted" revenue sources available to the state. It is also the fund to which most general tax receipts are credited. The Legislature may appropriate general revenue dollars for any purpose.

Federal funds, restricted receipts, and other funds, by contrast, are dedicated to specific purposes. For example, the Legislature may not appropriate monies from the Unemployment Insurance Fund to build new prisons. Other funds include the University and College Funds, the Transportation Fund, the Unemployment Insurance Fund and the Temporary Disability Insurance Fund.

Within the budget documents, schedules contain expenditure data for two actual fiscal years, the current fiscal year and the budget year. The schedules display agency data by fund source for All Funds, General Revenue, Federal Funds, Restricted Receipts, and Other Funds.

Rhode Island Capital Plan Funds. On November 7, 2006, Rhode Island voters approved a constitutional amendment limiting state expenditures commencing in FY 2008 such that appropriations do not result in general fund expenditures exceeding 98.0 percent of general fund revenues in FY 2008, and 97.0 percent in FY 2012 and thereafter. The amendment to the Rhode Island Constitution that has restricted, as of July 1, 2007, the use of excess funds in the Rhode Island Capital Fund solely for capital projects. Previously, the fund could be used for debt reduction, payment of debt service, and capital projects. Also, the constitutional amendment will, beginning on July 1, 2012, increase the budget reserve account by limiting annual appropriations to ninety-seven (97%) percent of estimated revenues and increasing the cap on the budget reserve account to five (5%) percent of estimated revenues. During the 2007 Session of the General Assembly, a statutory schedule was enacted to provide for incremental decreases of 0.2 percent to gradually move spending from 98 percent of revenues to 97 percent of revenues. Additionally, the budget reserve account maximum balance would be gradually increased by increments of 0.4 percent to gradually move from 3.0 percent to 5.0 percent of resources. In FY 2010, the spending is limited to 97.6 percent of revenues and the budget reserve fund is capped at 3.8 percent of resources.

Additionally, during the 2007 Session of the General Assembly, a law was enacted which requires that revenues received in excess of the amount estimated in the enacted budget, net of reserve fund contributions, would be transferred to the State Retirement Fund upon completion of the post audit.

The Budget Process: A Primer

Budget Basis. *The Budget* is prepared on the same basis that the state's year end financial statements, which is a modified accrual basis. Briefly, this means that obligations of the state are budgeted as expenditures if the goods or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated on the basis of when they are "earned" by the state. For example, the estimate of gas tax revenues reflects twelve months of revenues. However, due to a one month difference in the time the liability is incurred and the actual cash payment is made by the taxpayer, the revenue estimate reflects cash received from August through July.

The Comprehensive Annual Financial Report (CAFR) shows the status of the state's finances on the basis of "generally accepted accounting principles" (GAAP). Every attempt is made in preparing the budget to ensure that it is consistent with the auditing standards upon which the state's financial position will be determined.

Program Performance Measures. The current administration continues in its effort to develop and track measures of the performance of state agencies and departments. The performance measures included in the FY 2010 budget are derived from the on-going process to identify and refine measures for state decision-makers to evaluate on an annual basis. With few exceptions, all executive branch agencies, and most other government offices, have developed a mature set of program performance measures. These measures are included on the agency and program financing pages in *The Budget* and are described further in the *Technical Appendix*. In some cases where no measures are identified, the process of developing measures continues. These cases are identified as NS (not supplied) or NC (not complete). Performance measures for general officers and agency central management units are not required, indicated by NA (not applicable).

The Budget Process. Production of a budget is a continuous process. It does, however, have certain discrete phases. In the Executive Branch, the budget process begins as soon as the legislative session ends. At that time the budget staff prepares the *Budget As Enacted* which reflects the budget enacted by the Legislature.

In the spring and early summer, budget instructions and allocations are distributed by the Budget Office to state agencies. At those times, agencies are instructed by the Budget Office to prepare a capital and operating budget. The capital budget contains a five-year capital improvement plan.

Agencies are requested to prepare operating budgets at specified target levels for submission on or before September 15 or as specified by the Budget Officer. Agencies may also be allowed the opportunity to request additional funding through special white papers, separate from the agency's budget request.

The individual budgets submitted by the state agencies show program expenditures, with appropriate funding sources for each program within the agency. These data are shown for the actual year, the current year, and the target for the budget year.

In the fall and early winter, analysts in the Budget Office review agency budget requests. The Budget Office staff prepares recommendations for the Governor. An analysis of items added or reduced from the target request is presented to the Governor. The analysis includes the basis for the agency's request and the Budget Office recommendation and the basis for it. The Governor then makes a budget determination. This process is repeated for all issues in all agencies. These decisions form the Governor's recommendations. The Budget Office compiles the individual recommendations, aggregates them, and prepares *The Budget*. *The Budget*

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reflects the revised current year funding plan submitted to the Legislature on the third Thursday in January, as well as the budget year funding plan.

During this same period between September and the start of the legislative session, House and Senate fiscal staffs also have the agency budget requests for analysis. Following receipt of the Governor's recommendations, the legislative fiscal analysts begin their analysis of the recommendations of the Governor.

The Governor's budget recommendations traditionally are incorporated in one omnibus appropriations bill, which normally includes articles containing any statutory changes which would be required to implement the Governor's budget.

The following table summarizes the **budget calendar** during the budget cycle:

Mid-July	Capital Budget Requests due to Budget Office.
Late July	Budget Instructions and Funding Targets distributed to Agencies.
Mid September	Budget requests of Agencies with enacted budget of \$7.0 million or less due to Budget Office.
Late Sept./ Early Oct.	Budget requests of Agencies with enacted budget of over \$7.0 million due to Budget Office.
Oct./Nov.	Agency Meetings to review Budget Recommendations.
Late Dec./ Early Jan.	Gubernatorial Decisions on current year supplement and budget year recommendations.
3 rd Thursday in January	Statutory date for current-year Governor's Supplemental Appropriation bill submitted to Legislature.
3 rd Thursday in January	Statutory date for budget-year Governor's Budget Recommendation bill submitted to Legislature.

Consideration by House of Representatives and Senate. Appropriation bills are introduced into the House and are first considered by the House Finance Committee. The Senate Finance Committee also begins hearings on the budget bill, as introduced by the Governor. The chairpersons of the committees appoint subcommittees to consider appropriations for various agencies. Subcommittees vary in size, usually between two and five persons. After reviewing the budget requests and the Governor's budget, the subcommittees recommend adjustments to the full committee for consideration. A committee may adjust the recommendations of its subcommittee. Appropriation bills are reprinted to reflect the recommendations of the full committee. The bill is then presented to the full House, and upon passage is transmitted to the Senate. The process for review of an appropriation bill in the Senate mirrors the steps followed in the House of Representatives. Usually, however, consideration by the Senate occurs in a much shorter span of time. Once the bill is presented and approved by the full Senate, the Governor has three options: 1) sign the bill into law, 2) veto the bill, or 3) allow the bill to become law without signature (after six days, Sundays excepted, the bill automatically becomes law without the Governor's signature). The General Assembly may overturn the Governor's veto with a three-fifths vote in each house.

General Government

Department of Administration

- Central Management
- Legal Services
- Accounts and Control
- Budgeting
- Purchasing
- Auditing
- Human Resources
- Personnel Appeal Board
- Facilities Management
- Capital Projects and Project Management
- Information Technology
- Library and Information Services
- Planning
- Energy Resources
- Security Services
- General
- Debt Service Payments
- Salary / Benefit Adjustments
- Operational Savings
- Internal Service Programs

Department of Business Regulation

- Central Management
- Banking Regulation
- Securities Regulation
- Insurance Regulation
- Board of Accountancy
- Commercial Licensing and Racing and Athletics
- Design Professionals

Department of Labor and Training

- Central Management
- Workforce Development Services
- Workforce Regulation and Safety
- Income Support
- Injured Workers Services
- Labor Relations Board

Department of Revenue

- Director of Revenue
- Revenue Analysis
- Lottery Division
- Municipal Finance
- Taxation
- Registry of Motor Vehicles

Legislature

- General Assembly
- Fiscal Advisory Staff to House Finance Committee
- Legislative Council
- Joint Committee on Legislative Affairs
- Office of the Auditor General
- Special Legislative Commissions

Office of the Lieutenant Governor

Secretary of State

- Administration
- Corporations
- State Archives
- Elections and Civics
- State Library
- Office of Public Information
- Internal Service Programs

Office of the General Treasurer

- General Treasury
- State Retirement System
- Unclaimed Property
- Rhode Island Refunding Bond Authority
- Crime Victim Compensation

Board of Elections

- Rhode Island Ethics Commission
- Office of the Governor
- Commission for Human Rights
- Public Utilities Commission
- Rhode Island Commission on Women

General Government Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	228,669,905	219,554,737	194,727,025	238,200,915	211,573,066	206,771,651
Operating Supplies and Expenses	300,767,544	251,360,936	248,493,304	254,084,443	260,675,425	258,831,142
Aid To Local Units Of Government	242,994,334	211,460,113	187,690,697	187,690,697	120,870,695	51,375,465
Assistance, Grants and Benefits	486,499,701	812,608,573	955,916,028	955,916,028	1,080,390,050	705,976,821
Subtotal: Operating Expenditure	\$1,258,931,484	\$1,494,984,359	\$1,586,827,054	\$1,635,892,083	\$1,673,509,236	\$1,222,955,079
Capital Purchases and Equipment	10,763,475	9,123,240	40,099,459	40,099,459	47,503,731	28,199,532
Debt Service	170,300,361	172,315,188	207,516,174	207,516,174	195,991,915	195,584,236
Operating Transfers	39,812,950	32,723,044	42,201,462	42,201,462	23,789,147	19,973,011
Total Expenditures	\$1,479,808,270	\$1,709,145,831	\$1,876,644,149	\$1,925,709,178	\$1,940,794,029	\$1,466,711,858
Expenditures by Funds						
General Revenue	622,390,321	572,473,524	536,176,255	585,241,284	465,794,516	383,982,894
Federal Funds	73,079,038	115,838,268	300,331,713	300,331,713	417,477,996	157,123,853
Restricted Receipts	74,972,766	59,963,037	82,934,051	82,934,051	88,704,864	77,432,032
Other Funds	709,366,145	960,871,002	957,202,130	957,202,130	968,816,653	848,173,079
Total Expenditures	\$1,479,808,270	\$1,709,145,831	\$1,876,644,149	\$1,925,709,178	\$1,940,794,029	\$1,466,711,858
FTE Authorization	2,601.7	2,308.3	2,419.3	2,419.3	2,451.1	2,249.0

The Agency

Department of Administration

Agency Operations

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of services administered and supported by the state.

The department, headed by the Director of Administration, has seventeen programmatic functions in the FY 2011 Budget. These include Central Management, Legal Services, Accounts and Control, Budgeting, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources and various Internal Services Programs.

Agency Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Agency Measures

Minorities as a Percentage of the Workforce	9.9%	9.9%	9.0%	9.1%
Females as a Percentage of the Workforce	37.9%	37.9%	41.5%	41.6%
Persons with Disabilities as a Percentage of the Workforce	2.3%	2.3%	3.0%	3.1%

The Budget

Department of Administration

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	1,937,689	1,714,900	1,694,369	1,364,857	1,479,109
Legal Services	2,634,687	1,124,947	1,113,869	1,642,563	1,697,064
Accounts and Control	3,464,745	3,522,983	3,954,166	3,611,855	3,815,189
Budgeting	1,902,391	1,975,514	2,003,345	1,802,209	2,011,478
Purchasing	2,177,779	1,885,643	2,620,754	2,231,011	2,559,804
Auditing	1,720,953	1,286,708	1,535,588	1,323,365	1,439,523
Human Resources	12,344,536	12,007,764	12,416,555	10,893,704	11,166,393
Personnel Appeal Board	104,778	81,804	84,090	81,063	80,934
Facilities Management	43,303,506	40,435,239	44,376,036	39,242,900	39,308,900
Capital Projects and Property Mgmt.	3,578,786	5,361,041	3,807,010	3,748,034	3,781,902
Information Technology	26,576,743	25,042,909	28,169,388	26,263,330	27,672,095
Office of Library & Information Services	2,047,156	1,685,709	1,915,723	2,115,636	2,024,848
Planning	13,913,930	11,467,274	23,357,481	17,143,136	17,924,252
Energy Resources	23,688,685	36,669,296	55,239,199	86,123,863	69,240,003
Security Services	16,234,963	15,702,978	17,240,788	15,458,942	-
General	291,831,171	250,564,030	253,499,524	162,604,129	79,475,803
Debt Service Payments	169,999,227	179,641,352	207,273,411	197,713,947	195,829,081
Personnel Reform	-	-	9,444,708	(18,132,622)	(20,507,622)
Internal Service Programs	[322,275,097]	[311,197,582]	[388,482,006]	[385,618,974]	[391,819,229]
Salary/Benefit Adjustments	-	-	-	-	-
Operational Savings	-	-	(67,881,345)	-	-
Total Expenditures	\$617,461,725	\$590,170,091	\$601,864,659	\$555,231,922	\$438,998,756
Expenditures By Object					
Personnel	86,613,551	80,820,541	38,722,711	61,905,200	48,590,356
Operating Supplies and Expenses	42,248,007	35,495,348	31,185,945	36,492,102	35,858,742
Aid To Local Units Of Government	242,994,334	211,460,113	187,690,697	120,870,695	51,375,465
Assistance, Grants and Benefits	39,200,997	64,860,588	87,797,347	102,600,433	85,988,827
Subtotal: Operating Expenditures	\$411,056,889	\$392,636,590	\$345,396,700	\$321,868,430	\$221,813,390
Capital Purchases and Equipment	9,485,532	7,269,961	21,278,298	29,815,730	15,527,643
Debt Service	170,300,361	172,315,188	207,516,174	195,991,915	195,584,236
Operating Transfers	26,618,943	17,948,352	27,673,487	7,555,847	6,073,487
Total Expenditures	\$617,461,725	\$590,170,091	\$601,864,659	\$555,231,922	\$438,998,756
Expenditures By Funds					
General Revenue	520,058,764	475,081,215	429,600,820	365,653,488	275,494,281
Federal Funds	39,828,801	48,933,450	80,173,897	86,129,991	76,215,134
Restricted Receipts	9,973,069	9,476,353	18,938,514	25,652,492	16,802,039
Other Funds	47,601,091	56,679,073	73,151,428	77,795,951	70,487,302
Total Expenditures	\$617,461,725	\$590,170,091	\$601,864,659	\$555,231,922	\$438,998,756
FTE Authorization	1,032.8	845.6	895.6	879.6	699.6
Agency Measures					
Minorities as a Percentage of the Workfor	9.9%	9.0%	9.1%	9.1%	8.9%
Females as a Percentage of the Workforc	37.9%	41.5%	41.6%	41.6%	40.1%
Persons with Disabilities as a Percentage of the Workforce	2.3%	3.0%	3.1%	3.1%	3.0%

The Program

Department of Administration Central Management

Program Operations

Central Management is comprised of three major functions: the Director's Office, the Central Business Office, and the Judicial Nominating Commission.

The Director's Office provides for the overall operation of the department and provides assistance with all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. The commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Program Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Legal and Adjudication Services subprogram was moved to the new Legal Services program as part of the FY 2006 enacted budget.

The Budget

Department of Administration Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Director's Office	701,657	700,205	615,344	576,741	602,625
Financial Management	1,207,132	995,030	1,059,025	763,824	854,444
Judicial Nominating Committee	28,900	19,665	20,000	24,292	22,040
Total Expenditures	\$1,937,689	\$1,714,900	\$1,694,369	\$1,364,857	\$1,479,109
Expenditures By Object					
Personnel	1,927,146	1,658,428	1,637,728	1,301,680	1,418,398
Operating Supplies and Expenses	7,550	53,175	50,648	56,877	54,411
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,934,696	\$1,711,603	\$1,688,376	\$1,358,557	\$1,472,809
Capital Purchases and Equipment	2,993	3,297	5,993	6,300	6,300
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,937,689	\$1,714,900	\$1,694,369	\$1,364,857	\$1,479,109
Expenditures By Funds					
General Revenue	1,630,696	1,505,754	1,653,123	1,364,857	1,479,109
Federal Funds	233,829	186,937	41,246	-	-
Restricted Receipts	73,164	22,209	-	-	-
Total Expenditures	\$1,937,689	\$1,714,900	\$1,694,369	\$1,364,857	\$1,479,109
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Legal Services

Program Operations

The Division of Legal Services is responsible for establishing, managing and maintaining legal resources to support the departments and agencies within the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services to the departments and agencies within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages and assists attorneys within the departments and agencies that provide legal services in programmatic areas.

Program Objectives

To provide a centralized legal structure within the Executive Branch to manage, maintain and provide in house legal services to the Department of Administration and its various divisions as well as certain non-programmatic legal services to the departments and agencies in the Executive branch.

To develop various areas of legal expertise within the practice groups as a resource to the departments and agencies within the Executive Branch.

To organize and coordinate the centralized legal structure so as to provide legal services in a efficient, effective, consistent, professional, flexible and timely manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To identify and implement cost savings initiatives where appropriate to reduce the cost to taxpayers of the delivery of legal services.

To provide legal risk management services.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

The Budget

Department of Administration Legal Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,564,297	1,081,341	1,063,335	1,588,425	1,642,347
Operating Supplies and Expenses	61,501	38,923	43,723	44,119	44,248
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,011	1,011	1,011	1,011	1,011
Subtotal: Operating Expenditures	\$2,626,809	\$1,121,275	\$1,108,069	\$1,633,555	\$1,687,606
Capital Purchases and Equipment	7,878	3,672	5,800	9,008	9,458
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,634,687	\$1,124,947	\$1,113,869	\$1,642,563	\$1,697,064
Expenditures By Funds					
General Revenue	2,492,589	1,119,435	1,088,274	1,604,049	1,657,030
Operating Transfers	142,098	5,512	25,595	38,514	40,034
Total Expenditures	\$2,634,687	\$1,124,947	\$1,113,869	\$1,642,563	\$1,697,064
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Accounts and Control

Program Operations

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of this office include the administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the maintenance of control accounts of assets for all departments and agencies; the operation of financial, accounting and cost systems for all departments and agencies; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements required by departments and agencies, the Governor or the General Assembly.

This office is also responsible for the preparation and/or coordination of several publications, including the Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Program Objectives

To design, implement, and maintain a statewide accounting system in order to ensure that state funds are spent according to legislative intent, leading to the promotion of the fiscal integrity of the state.

To provide management on a timely basis with basic data required to measure and evaluate productivity and accountability of state government to make and/or revise strategic or operating plans.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

The Budget

Department of Administration Accounts and Control

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	3,288,073	3,430,207	3,821,803	3,474,274	3,676,767
Operating Supplies and Expenses	176,255	92,602	128,946	134,164	135,005
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	417	174	417	417	417
Subtotal: Operating Expenditures	\$3,464,745	\$3,522,983	\$3,951,166	\$3,608,855	\$3,812,189
Capital Purchases and Equipment	-	-	3,000	3,000	3,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$3,464,745	\$3,522,983	\$3,954,166	\$3,611,855	\$3,815,189
Expenditures By Funds					
General Revenue	3,464,745	3,522,983	3,814,166	3,611,855	3,815,189
Federal Funds	-	-	140,000	-	-
Total Expenditures	3,464,745	3,522,983	3,954,166	3,611,855	3,815,189
Program Measures					
Percentage of Invoices Processed Within 30 Days	95.0%	96.0%	100.0%	100.0%	100.0%
Average Number of Days to Payment to Vendor	18.0	14.0	14.0	14.0	14.0
Number of Days after Fiscal Year End to Publication of CAFR	281	365	210	210	210
Number of Days to Fiscal Close	30	37	37	37	37

The Program

Department of Administration Budgeting

Program Operations

The Budget Office provides staff advice to the Governor relating to the financial management of state government, including evaluation of necessary resources, analysis of state programs, priorities, and alternatives, and the optimum allocation of resources to meet policy and management goals.

The Budget Office performs four key functions, of which the first is the formulation, preparation, and execution of the state budget. Included in this function is the analysis of departmental requests for financing, incorporation of priorities, and presentation and testimony on the executive budget before the General Assembly. During the legislative session, the Budget Office also prepares fiscal notes on legislation with fiscal impacts upon request.

The Budget Office is responsible for economic analysis and revenue estimating, including participation in the Revenue and Caseload Estimating Conferences and reporting on actual versus estimated receipts. The Budget Office also performs capital development program analysis and develops financing plans for execution. This includes presentations to bond rating agencies, preparation of debt offering circulars, tracking of expenditures against authorizations and debt management.

Program Objectives

To ensure that the performance of state programs and activities reflects accurately and effectively the policies of the Governor and the acts and appropriations established by the General Assembly; to ensure that the Governor and the General Assembly have the best possible information and analysis available in carrying out their respective constitutional duties; to use performance measures to strengthen program and financial management and accountability within departments and agencies.

Statutory History

R.I.G.L. 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. R.I.G.L. 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. R.I.G.L. 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures.

The Budget

Department of Administration Budgeting

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,797,658	1,825,726	1,903,208	1,713,054	1,917,825
Operating Supplies and Expenses	98,914	142,749	88,837	76,155	78,053
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	536	-	-	-	-
Subtotal: Operating Expenditures	\$1,897,108	\$1,968,475	\$1,992,045	\$1,789,209	\$1,995,878
Capital Purchases and Equipment	5,283	7,039	11,300	13,000	15,600
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,902,391	\$1,975,514	\$2,003,345	\$1,802,209	\$2,011,478
Expenditures By Funds					
General Revenue	1,902,391	1,975,514	2,003,345	1,802,209	2,011,478
Total Expenditures	\$1,902,391	\$1,975,514	\$2,003,345	\$1,802,209	\$2,011,478
Program Measures					
Bond Rating Index	10	11	11	11	11

The Program

Department of Administration Purchasing

Program Operations

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, vendor information and minority business. Procurement solicits bids or requests for proposal for services and supplies as well as price negotiations, and carries out affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. The standards and specifications staff work with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

Program Objectives

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island. To assure that user agencies obtain goods and services in a quick and cost-effective manner, without sacrificing necessary quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system. To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program.

The Minority Business Enterprise function is responsible for supporting Rhode Island laws and policies to ensure the fullest participation of minority business enterprises in state funded and directed construction programs and projects and state purchases of goods and services.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 32-2.17 requires the institution of an electronic Vendor Information Program.

The Budget

Department of Administration Purchasing

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Purchasing	1,976,233	1,788,639	2,404,179	1,984,150	2,259,379
Minority Business Enterprise	201,546	97,004	216,575	246,861	300,425
Total Expenditures	\$2,177,779	\$1,885,643	\$2,620,754	\$2,231,011	\$2,559,804
Expenditures By Object					
Personnel	2,135,239	1,844,392	2,568,814	2,187,030	2,508,534
Operating Supplies and Expenses	41,479	40,190	45,879	40,420	47,709
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$2,176,718	\$1,884,582	\$2,614,693	\$2,227,450	\$2,556,243
Capital Purchases and Equipment	1,061	1,061	6,061	3,561	3,561
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,177,779	\$1,885,643	\$2,620,754	\$2,231,011	\$2,559,804
Expenditures By Funds					
General Revenue	2,177,779	1,885,643	2,050,754	2,104,554	2,286,350
Federal Funds	-	-	570,000	37,717	83,458
Restricted Receipts	-	-	-	88,740	189,996
Total Expenditures	2,177,779	1,885,643	2,620,754	2,231,011	2,559,804
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Auditing

Program Operations

The Bureau of Audits performs the auditing function for the executive branch of State Government. The Bureau provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational control through objective analyses, evaluations, and recommendations on operations, systems, controls, and contracted services.

The primary activities of the Bureau can be classified as internal auditing and management advisory services. The Bureau of Audits is required to audit the financial records and accounts of all state departments and agencies on a biennial basis and report all findings and recommendations relative to the financial affairs, and the economy and efficiency of operations.

Additional responsibilities include: auditing special purpose funds, conducting performance audits and special projects, providing consulting services and investigations at the request of the Governor or department directors, participating as advisors in policymaking meetings, providing emergency accounting and auditing services to cities and towns, and reviewing and evaluating all state department and agency Financial Integrity and Accountability Reports.

Program Objectives

To provide independent and objective assurance on governance, risk management, and control processes that will furnish a reasonable basis to report on the effectiveness and efficiency of state operations, the reliability of financial reporting, compliance with applicable laws, regulations, and contracts.

Statutory History

R.I.G.L. 35-7 establishes a Bureau of Audits and authorizes it to conduct biennial audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies. The Bureau is also permitted to conduct investigations at the request of the Governor, audit court collected funds and furnish auditors and accountants to other state agencies.

The Budget

Department of Administration Auditing

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,675,041	1,248,995	1,477,968	1,264,252	1,385,120
Operating Supplies and Expenses	26,043	32,427	47,334	40,827	41,522
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,701,084	\$1,281,422	\$1,525,302	\$1,305,079	\$1,426,642
Capital Purchases and Equipment	19,869	5,286	10,286	18,286	12,881
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,720,953	\$1,286,708	\$1,535,588	\$1,323,365	\$1,439,523
Expenditures By Funds					
General Revenue	1,620,953	1,286,708	1,465,588	1,232,221	1,290,308
Federal Funds	-	-	70,000	-	-
Restricted Receipts	-	-	-	91,144	149,215
Other Funds	100,000	-	-	-	-
Total Expenditures	1,720,953	\$1,286,708	\$1,535,588	\$1,323,365	\$1,439,523
Program Measures					
Audit Acceptance	95.0%	100.0%	99.0%	99.0%	99.0%

The Program

Department of Administration Human Resources

Program Operations

The Division of Human Resources is composed of a number of subprograms: Administrative services, Classification & Examinations, Equal Employment Opportunity, Outreach & Diversity, Employee Benefits, Training and Development, Employee Services, Human Resource Service Centers. Its primary mission is to provide the best possible cost effective human resource services to employees, labor, and management in assisting them in carrying out the functions and responsibilities of state government; to be accountable to the general public by instituting policies and procedures that are fair and equitable in providing the finest possible service to those seeking employment in state service; to be responsive to employees by providing a progression in employment opportunities, adequate employee benefits, ensuring affirmative action, the rights of the disabled and promoting career development and training; to assist those disabled, as a result of a job related injury, with adequate benefits during their convalescence and to ensure their eventual return to productive employment; and to safeguard the integrity and quality of the state employment system by continuously protecting it from influences that are detrimental to that effort.

Program Objectives

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law.

The Budget

Department of Administration Human Resources

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Human Resources	292,596	1,043,175	1,087,370	297,474	106,863
Personnel Administration	3,221,884	3,766,308	3,979,183	3,984,313	4,102,984
Equal Opportunity/Outreach	668,903	583,825	503,540	371,706	390,140
DEM/DOT HR Service Center	(4,386,674)	1,205,963	356,578	1,392,289	1,464,832
General Gov. HR Service Center	1,280,135	952,589	1,015,832	852,818	898,287
Human Services HR Service Center	8,636,604	3,314,344	4,311,960	2,830,930	2,967,466
Public Safety HR Service Center	2,631,088	1,141,560	1,162,092	1,164,174	1,235,821
Total Expenditures	\$12,344,536	\$12,007,764	\$12,416,555	\$10,893,704	\$11,166,393
Expenditures By Object					
Personnel	12,147,915	11,794,591	11,947,996	10,629,836	10,905,398
Operating Supplies and Expenses	183,951	196,704	406,763	233,656	230,783
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$12,331,866	\$11,991,295	\$12,354,759	\$10,863,492	\$11,136,181
Capital Purchases and Equipment	12,670	16,469	61,796	30,212	30,212
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$12,344,536	\$12,007,764	\$12,416,555	\$10,893,704	\$11,166,393
Expenditures By Funds					
General Revenue	9,678,368	9,616,823	9,872,296	8,610,643	8,771,472
Federal Funds	783,303	632,522	726,665	590,893	619,186
Restricted Receipts	498,697	424,781	437,675	356,620	373,650
Other	1,384,168	1,333,638	1,379,919	1,335,548	1,402,085
Total Expenditures	\$12,344,536	\$12,007,764	\$12,416,555	\$10,893,704	\$11,166,393
Program Measures					
Percentage of Desk Audits Completed Within 60 Days	32.0%	45.6%	60.0%	60.0%	60.0%
Percentage of Civil Service Examinations Completed Within 120 Days	100.0%	54.0%	100.0%	100.0%	100.0%

The Program

Department of Administration Personnel Appeal Board

Program Operations

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Program Objective

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged, and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

The Budget

Department of Administration Personnel Appeal Board

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	102,664	80,728	81,756	79,089	78,991
Operating Supplies and Expenses	2,114	1,076	2,334	1,974	1,943
Aid To Local Units Of Government		-	-	-	-
Assistance, Grants and Benefits		-	-	-	-
Subtotal: Operating Expenditures	\$104,778	\$81,804	\$84,090	\$81,063	\$80,934
Capital Purchases and Equipment		-	-	-	-
Debt Service		-	-	-	-
Operating Transfers		-	-	-	-
Total Expenditures	\$104,778	\$81,804	\$84,090	\$81,063	\$80,934
Expenditures By Funds					
General Revenue	104,778	81,804	84,090	81,063	80,934
Total Expenditures	\$104,778	\$81,804	\$84,090	\$81,063	\$80,934
Program Measures					
Percentage of State Employee Appeals Resolved Within 270 days	90.0%	34.0%	50.0%	50.0%	75.0%

The Program

Department of Administration Facilities Management

Program Operations

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration, including 203 group home facilities. Generally, this includes the buildings at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center and numerous other state buildings throughout the State. In addition, the division includes the State Fleet Operations, Environmental Compliance, Asset Protection and Surplus Property.

The responsibility for building operations includes grounds maintenance, general maintenance, building cleaning, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution, and capital improvements.

Program Objectives

To provide a clean, safe, healthy and secure environment conducive to worker productivity. To protect and preserve Rhode Island's facility assets.

Statutory History

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 45-56.2 establishes the Federal Surplus Property program.

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

The Budget

Department of Administration Facilities Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Operations and Maintenance	7,770,773	6,929,816	7,954,419	7,110,993	7,315,123
Energy and Conservation	-	(754)	-	-	-
Facilities Centralization	35,532,733	33,506,177	36,421,617	32,131,907	31,993,777
Total Expenditures	\$43,303,506	\$40,435,239	\$44,376,036	\$39,242,900	\$39,308,900
Expenditures By Object					
Personnel	14,367,237	13,270,578	13,716,268	12,670,693	12,813,379
Operating Supplies and Expenses	29,763,269	26,756,847	30,168,177	26,081,071	26,491,993
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,803	1,154	2,283	1,828	1,828
Subtotal: Operating Expenditures	\$44,133,309	\$40,028,579	\$43,886,728	\$38,753,592	\$39,307,200
Capital Purchases and Equipment	(1,317,411)	(80,950)	1,700	1,700	1,700
Debt Service	487,608	487,610	487,608	487,608	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$43,303,506	\$40,435,239	\$44,376,036	\$39,242,900	\$39,308,900
Expenditures By Funds					
General Revenue	35,046,043	33,733,386	37,680,174	33,121,725	33,857,425
Federal Funds	1,765,579	1,241,643	1,214,588	935,155	913,073
Restricted Receipts	1,056,852	912,133	1,038,271	903,540	404,021
Other Funds	5,435,032	4,548,077	4,443,003	4,282,480	4,134,381
Total Expenditures	\$43,303,506	40,435,239	\$44,376,036	\$39,242,900	\$39,308,900
Program Measures					
Percentage of Days with no Interruption or Loss of Service from the Utility Systems	99.9%	99.9%	99.9%	99.9%	99.9%

The Program

Department of Administration Capital Projects and Property Management

Program Operations

The Division of Capital Projects and Property Management was established by Executive Order on April 1, 2004. The Division is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. It also solicits, negotiates and administers real estate leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. In addition, the Division is responsible for a variety of related activities including the administration of the Building Code Commission and the Contractors' Registration and Licensing Board.

The Building Code Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The Commission also conducts a variety of training programs for code officials and building trades people.

The Contractors' Registration and Licensing Board safeguards consumers' rights related to contracted improvements on their property and has a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's office in District Court. Additionally the Board registers contractors who perform work on residential and commercial properties licensing of home inspectors and commercial roofers in part of their responsibilities.

Program Objectives

To facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces.

To assure public welfare by interpreting and enforcing building code standards on state properties.

To protect the public from unscrupulous building contractors and shoddy workmanship.

To assure fairness, transparency and good value in developing and securing property for the State's use.

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management. RIGL 23-27.3-108.2 et. seq. and RIGL 5-65-15.1 establish the duties and authority and responsibility of the State Building Commission. The Building Contractors' Registration Board is transferred to the Department of Business Regulation in the FY 2010 budget.

The Budget

Department of Administration Capital Projects and Project Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Capital Projects	959,784	2,980,943	1,098,166	1,147,560	1,184,852
Property Management	326,697	178,449	147,307	272,815	147,915
State Building Code Commission	2,004,697	1,911,755	2,246,115	2,025,618	2,134,604
Fire Code Board of Appeal and Review	287,608	289,894	315,422	302,041	314,531
Total Expenditures	\$3,578,786	\$5,361,041	\$3,807,010	\$3,748,034	\$3,781,902
Expenditures By Object					
Personnel	3,396,219	3,205,022	3,565,951	3,390,285	3,552,765
Operating Supplies and Expenses	167,247	120,083	233,059	347,249	217,137
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$3,563,466	\$3,325,105	\$3,799,010	\$3,737,534	\$3,769,902
Capital Purchases and Equipment	15,320	2,035,936	8,000	10,500	12,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$3,578,786	\$5,361,041	\$3,807,010	\$3,748,034	\$3,781,902
Expenditures By Funds					
General Revenue	3,578,786	4,452,589	2,613,613	2,614,429	2,588,193
Federal Funds	-	-	80,000	125,625	125,625
Restricted Receipts	-	908,787	1,113,397	1,007,980	1,068,084
Other Funds	-	(335)	-	-	-
Total Expenditures	\$3,578,786	\$5,361,041	\$3,807,010	\$3,748,034	\$3,781,902
Program Measures					
Annual Inflation - Adjusted Dollar Value of Repair Services and Cash Settlements to Rhode Island Consumers Secured by the Contractor Registration Board	\$554,213	\$456,410	\$462,330	\$462,330	\$482,068
Gross Annual Inflation - Adjusted Dollar Savings Realized by Moving State operations From Leased to State-owned Space	\$1,161,576	\$174,924	\$46,176	\$46,176	\$944,323

The Program

Department of Administration Information Technology

Program Operations

Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of all information technology (IT) resources within the executive branch of state government. All hiring and spending decisions involving IT may only be made with the advice and approval of the CIO.

Information Technology defines and maintains the architectural standards for hardware, software, networks and services that guide state investments in IT. Information Technology defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

Information Technology is responsible for planning all IT investments and activities throughout the executive branch departments and agencies

Program Objectives

Maintain and improve IT services to executive branch departments and agencies, including technical support to end users and networks, help desk services, and application development, delivery and maintenance.

Complete integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation

Continue the implementation of the approved statewide-integrated financial management system.

Implement and test a disaster recovery capability for Rhode Island's critical systems

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Continue to expand technology advancements to improve performance, reduce IT overhead and provide the best system availability.

Continue to improve technology advancements by performing a migration from Novell Netware to a Windows Active Directory infrastructure, Virtualized Server capacity and implement E-licensing initiatives.

Provide state agencies and departments with improved transparency and clarity in their services through refinement of the cost allocation process.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Information Technology Division within the Department of Administration.

The Budget

Department of Administration Information Technology

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Executive Director - CIO	345,571	346,577	379,668	422,192	360,724
Information Technology	3,409,145	1,412,482	2,188,873	2,798,547	3,057,420
IT Centralization	22,822,027	23,283,850	25,600,847	23,042,591	24,253,951
Total Expenditures	\$26,576,743	\$25,042,909	\$28,169,388	\$26,263,330	\$27,672,095
Expenditures By Object					
Personnel	20,566,585	20,792,702	23,697,922	20,616,510	21,806,510
Operating Supplies and Expenses	5,309,827	3,783,065	3,669,166	4,320,374	4,583,538
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,038	2,527	1,000	-	-
Subtotal: Operating Expenditures	\$25,877,450	\$24,578,294	\$27,368,088	\$24,936,884	\$26,390,048
Capital Purchases and Equipment	699,293	464,615	801,300	1,326,446	1,282,047
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$26,576,743	\$25,042,909	\$28,169,388	\$26,263,330	\$27,672,095
Expenditures By Funds					
General Revenue	18,623,223	18,296,702	18,637,302	18,483,451	19,308,561
Federal Funds	5,519,572	3,855,777	6,848,209	5,242,732	5,683,963
Restricted Receipts	907,909	969,651	985,163	865,403	913,984
Other Funds	1,526,039	1,920,779	1,698,714	1,671,744	1,765,587
Total Expenditures	\$26,576,743	\$25,042,909	\$28,169,388	\$26,263,330	\$27,672,095
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Library and Information Services

Program Operations

The Office of Library and Information Services is comprised of four subprograms under the jurisdiction of the Chief Information Officer.

Library Services coordinates inter-library cooperation, maintains and develops the Rhode Island Library Network, operates the Regional Library for the Blind and Physically Handicapped, and promotes overall library development through various grant-in-aid programs for public and institutional libraries.

Program Objectives

To maintain and improve library and information services to state government and to the residents of the state. To develop and implement a state government information policy, and coordinate information resources throughout state government. To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management. To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

Statutory History

Title 29 Chapters 3 through 8 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services. R.I.G.L. 42-11 includes provisions relative to the state planning program. Functions are further prescribed in Titles 1, 16, 22, 23, 34, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Office of Library and Information Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,616,041	1,103,232	1,302,279	1,181,415	1,230,615
Operating Supplies and Expenses	325,187	516,911	530,944	626,318	620,295
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	97,943	65,566	75,000	292,903	165,938
Subtotal: Operating Expenditures	\$2,039,171	\$1,685,709	\$1,908,223	\$2,100,636	\$2,016,848
Capital Purchases and Equipment	7,985	-	7,500	15,000	8,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,047,156	\$1,685,709	\$1,915,723	\$2,115,636	\$2,024,848
Expenditures By Funds					
General Revenue	1,024,657	870,167	884,607	833,383	888,452
Federal Funds	1,021,902	813,848	1,025,116	1,279,253	1,133,396
Restricted Receipts	597	1,694	6,000	3,000	3,000
Total Expenditures	\$2,047,156	\$1,685,709	\$1,915,723	\$2,115,636	\$2,024,848
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Planning

Program Operations

The Division of Planning is comprised of three subprograms, Statewide Planning, Strategic Planning and Economic Development, and Housing & Community Development.

Statewide Planning and Economic Development is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. The Statewide Planning subprogram includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity. The State Planning Council serves as the state's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding.

Strategic Planning and Economic Development are involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. This subprogram is also responsible for the development of program performance measures in cooperation with the various departments and agencies.

Housing and Community Development administers the Housing Resources Commission (HRC) and the federal Community Development Block Grant program. (CDBG). The HRC provides opportunities for healthy and affordable housing through production, lead hazard mitigation and the coordination of the homeless system and implementation of the state's plan to end homelessness.

The CDBG program provides funding to 33 municipalities to address housing and community development needs. This includes the Neighborhood Stabilization Program of the Housing and Economic Recovery Act of 2008 which will address the impact of foreclosure statewide.

Program Objectives

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management.

To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

To use performance measures to strengthen program and financial management and accountability within departments and agencies.

To coordinate activities among state agencies, political subdivisions and private partners pertaining to housing and community development.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Planning

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Statewide Planning	2,922,905	2,204,610	3,292,281	3,782,089	6,323,872
Local Government Assistance	327,119	337,320	-	-	-
Community Development	10,663,906	8,925,344	20,065,200	13,361,047	11,600,380
Total Expenditures	\$13,913,930	\$11,467,274	\$23,357,481	\$17,143,136	\$17,924,252
Expenditures By Object					
Personnel	3,538,063	3,165,347	3,601,490	3,208,542	3,573,223
Operating Supplies and Expenses	83,432	75,393	166,874	173,615	185,064
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	10,286,357	8,219,774	19,574,617	13,748,443	14,152,916
Subtotal: Operating Expenditures	\$13,907,852	\$11,460,514	\$23,342,981	17,130,600	17,911,203
Capital Purchases and Equipment	6,078	6,760	14,500	12,536	13,049
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$13,913,930	\$11,467,274	\$23,357,481	\$17,143,136	\$17,924,252
Expenditures By Funds					
General Revenue	3,729,701	3,633,561	3,466,719	3,315,566	3,333,890
Federal Funds	8,301,611	6,559,529	17,878,570	11,108,556	9,600,806
Operating Transfers	1,882,618	1,274,184	2,012,192	2,719,014	4,989,556
Total Expenditures	\$13,913,930	\$11,467,274	\$23,357,481	\$17,143,136	\$17,924,252
Program Measures					
Performance Measures Developed	77.6%	72.6%	77.1%	77.1%	79.0%
New Affordable Housing Units	470	337	350	350	350
Percentage of Children with Blood Levels Greater than 10ug/dl for the First Time in their Lives	348	321	315	315	300

The Program

Office of Energy Resources

Program Operations

The Rhode Island Office of Energy Resources (OER) and the Energy Efficiency and Resource Management Council (EERMC) were established statutorily in 2006 to replace the former State Energy Office. R.I.G.L. 42-140 establishes the organization and functions of OER and EERMC.

The OER provides comprehensive, integrated development, administration and oversight of ongoing energy policies, plans and programs to meet state and federal requirements and to provide policy guidance to executive leadership.

The Office functions include State Energy Office functions; development and management of wind energy, energy efficiency and resource management programs, energy information and education and low income assistance.

The EERMC provides to the OER “consistent, comprehensive, informed and publicly accountable stakeholder involvement in energy efficiency, conservations and resource development”. The EERMC consists of voting member representing knowledge of energy law, small and large commercial/industrial users, residential users, low income users, environmental expertise and energy design and code expertise. Non-voting members of the Council are, the Director of OER (Executive Secretary) and the representatives of electric, natural gas and oil distribution.

Program Objectives

Increase supplies of clean reliable energy, reduce reliance on imported energy and reduce energy costs and consumption in all sectors by; increasing energy efficiency, diversifying energy resources, and providing low income energy assistance.

To ensure that programs of the Office are efficiently organized and implemented.

To develop, implement, and monitor federal ARRA stimulus funds for the State Energy, Weatherization Assistance, Energy Efficiency & Conservation Block, Appliance Rebate, and Energy Assurance & Planning programs.

Statutory History

The Office of Energy Resources was created in 2006 to consolidate energy policies of state government. R.I.G.L. 42-140. Additional mandates of the Office are outlined in R.I.G.L. 23-82.6, 39-1-27.7 through 10, and 42-140.

The Budget

Department of Administration Office of Energy Resources

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,927,820	1,249,905	2,101,221	2,169,969	2,588,106
Operating Supplies and Expenses	130,615	128,934	138,235	536,262	225,694
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	21,620,933	35,214,206	52,994,743	83,394,555	66,418,441
Subtotal: Operating Expenditures	\$23,679,368	\$36,593,045	\$55,234,199	\$86,100,786	\$69,232,241
Capital Purchases and Equipment	9,317	76,251	5,000	23,077	7,762
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$23,688,685	\$36,669,296	\$55,239,199	\$86,123,863	\$69,240,003
Expenditures By Funds					
General Revenue	2,350,446	10,786	-	-	-
Federal Funds	21,267,938	34,944,450	47,907,828	70,400,156	61,816,885
Restricted Receipts	70,301	1,714,060	7,331,371	15,723,707	7,423,118
Total Expenditures	\$23,688,685	\$36,669,296	\$55,239,199	\$86,123,863	\$69,240,003
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Security Services

Program Operations

The sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security, judicial security, cellblock, management in all state courthouses, training of personnel, transportation of individuals charged with crimes, interstate prisoner extraditions and service of process.

In the FY 2011 Budget, the Governor recommends that the Security Services program be transferred to the Department of Public Safety.

Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, to transport prisoners to and from Department of Corrections and all state court facilities; to perform interstate extraditions; to serve process; and to exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of sheriffs.

Statutory History

Chapter 21-11 of the Rhode Island General laws, 42-11-21 established a division of sheriffs within the Department of Administration.

In the FY 2011 Budget submission, the Governor includes legislation to transfer the division of sheriffs to the Department of Public Safety.

The Budget

Department of Administration Security Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 * Recommended
Expenditures By Object					
Personnel	15,198,469	14,783,250	16,233,881	14,562,768	-
Operating Supplies and Expenses	1,036,114	919,304	1,001,407	877,674	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	380	424	-	13,000	-
Subtotal: Operating Expenditures	\$16,234,963	\$15,702,978	\$17,235,288	\$15,453,442	-
Capital Purchases and Equipment	-	-	5,500	5,500	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$16,234,963	\$15,702,978	\$17,240,788	\$15,458,942	-
Expenditures By Funds					
General Revenue	16,234,963	15,702,978	17,240,788	15,458,942	-
Total Expenditures	\$16,234,963	\$15,702,978	\$17,240,788	\$15,458,942	-

* In the FY 2011 Budget, the Governor recommends that the Security Services program be transferred to the Department of Public Safety.

The Program

Department of Administration General

Program Operations

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Grants and benefits reflect grants made to certain organizations and funds provided to certain entities, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation, the Slater Centers of Excellence and the RI Research Alliance.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state; provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sum."

Program Objectives

To maintain a statewide accounting for all expenditures which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which fund various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

The Budget

Department of Administration General

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
General	23,332,074	871,393	23,378,997	1,378,997	1,378,997
Capital Projects	11,051,037	14,172,692	20,221,062	28,228,104	14,012,573
Grants and Other Payments	2,405,656	13,244,180	13,140,458	3,140,458	3,240,458
Economic Development	13,325,838	11,529,561	10,025,807	9,943,372	10,425,807
State Aid to Local Communities	241,712,917	210,746,204	186,733,200	119,913,198	50,417,968
Housing	3,649	-	-	-	-
Total Expenditures	\$291,831,171	\$250,564,030	\$253,499,524	\$162,604,129	\$79,475,803
Expenditures By Object					
Personnel	365,084	286,097	-	-	-
Operating Supplies and Expenses	4,648,225	2,393,056	2,656,502	2,656,502	2,656,502
Aid To Local Units Of Government	242,994,334	211,460,113	187,690,697	120,870,695	51,375,465
Assistance, Grants and Benefits	7,189,579	17,795,752	15,148,276	5,148,276	5,248,276
Subtotal: Operating Expenditures	\$255,197,222	\$231,935,018	\$205,495,475	\$128,675,473	\$59,280,243
Capital Purchases and Equipment	10,015,006	4,730,525	20,330,562	28,337,604	14,122,073
Debt Service	-	-	-	-	-
Operating Transfers	26,618,943	13,898,487	27,673,487	5,591,052	6,073,487
Total Expenditures	\$291,831,171	\$250,564,030	\$253,499,524	\$162,604,129	\$79,475,803
Expenditures By Funds					
General Revenue	285,395,854	239,619,945	231,899,465	132,997,028	64,084,233
Federal Funds	3,649	-	-	-	-
Restricted Receipts	3,780,631	871,393	1,378,997	1,378,997	1,378,997
Other Funds	2,651,037	10,072,692	20,221,062	28,228,104	14,012,573
Total Expenditures	\$291,831,171	\$250,564,030	\$253,499,524	\$162,604,129	\$79,475,803
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Debt Service Payments

Program Operations

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation issued by the state. Moreover, this program encompasses leases or trust agreements securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Program Objectives

To maintain a statewide accounting of all general obligation debt service and other long term obligations.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

The Budget

Department of Administration Debt Service Payments

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
General Obligation Bonds	81,494,949	85,074,038	96,607,293	93,940,238	99,958,510
Certificates of Participation	21,683,643	24,085,031	31,277,210	28,569,468	29,405,542
COPS - DLT Center General	1,669,378	1,570,938	2,014,125	2,014,125	2,015,750
COPS - Pastore Center Telecomm.	(1)	-	-	-	-
RIRBA Debt Service	19,811,098	18,931,365	6,349,778	6,349,778	-
Tax Anticipation/S T Borrowing	4,783,265	8,425,052	7,011,790	4,637,474	4,637,474
Other Debt Service	40,556,895	41,554,928	64,013,215	62,202,864	59,811,805
Total Expenditures	\$169,999,227	\$179,641,352	\$207,273,411	\$197,713,947	\$195,829,081
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	186,474	203,909	244,845	244,845	244,845
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	3,560,000	-	-	-
Subtotal: Operating Expenditures	186,474	\$3,763,909	\$244,845	\$244,845	\$244,845
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	169,812,753	171,827,578	207,028,566	195,504,307	195,584,236
Operating Transfers	-	4,049,865	-	1,964,795	-
Total Expenditures	\$169,999,227	\$179,641,352	\$207,273,411	\$197,713,947	\$195,829,081
Expenditures By Funds					
General Revenue	131,002,792	137,766,437	157,553,100	149,265,346	142,841,058
Federal Funds	931,418	698,744	809,471	809,471	744,172
Restricted Receipts	3,584,918	3,651,645	6,309,696	6,055,144	5,961,330
Other Funds	34,480,099	37,524,526	42,601,144	41,583,986	46,282,521
Total Expenditures	\$169,999,227	\$179,641,352	\$207,273,411	\$197,713,947	\$195,829,081
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Administration Salary / Benefit Adjustments

Program Objectives

The FY 2010 Enacted Budget included unachieved savings in the Department of Administration for proposed pension changes to the state employee retirement system. Pension reform was passed by the Assembly and included in the enacted budget. However, based on actuarial studies of the enacted pension reform, the savings included in the budget submission are not projected to be fully realized. Therefore, the enacted budget includes an appropriation of \$9.4 million from all funds for pension costs to be incurred by the agencies including general revenue funding of \$5.5 million, federal funds of \$2.9 million, restricted receipts of \$337,994 and other funds of \$769,799.

The FY 2010 Revised Budget and FY 2011 Budget includes new pension reform. The proposed reform eliminates the automatic cost of living adjustments for state employees, teachers, judges and state police for employees who were not eligible to retire on the date of passage. Employees who are part of the state retirement system (state employees, teachers, judges and members of the state police) and who are eligible to retire on or before September 30, 2009, and those who become eligible and retire through the date of passage of this legislation shall continue to receive a cost of living adjustment as previously provided. However, as proposed, the General Assembly will have the ability to review annually and give an ad hoc cost of living adjustment to retirees who are not otherwise eligible for a cost of living adjustment up to a maximum amount of three percent (3%) or the Consumer Price Index for all Urban Consumers (CPI-U) as published by the United States Department of Labor Statistics, determined as of September 30 of the prior calendar year, whichever is less.

The estimated savings for State Employees, State Police, and Judges are included in the Governor's budget recommendations for the Department of Administration. The savings for Teachers are included in the budget recommendation for the Rhode Island Department of Education.

The Budget

Department of Administration Salary / Benefit Adjustments

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Pension Reform	-	-	9,444,708	(18,132,622)	(20,507,622)
Total Expenditures	-	-	9,444,708	(18,132,622)	(20,507,622)
Expenditures By Object					
Personnel	-	-	9,444,708	(18,132,622)	(20,507,622)
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	-	-	9,444,708	(18,132,622)	(20,507,622)
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	-	-	9,444,708	(18,132,622)	(20,507,622)
Expenditures By Funds					
General Revenue	-	-	5,474,761	(10,847,833)	(12,799,401)
Federal Funds	-	-	2,862,204	(4,399,567)	(4,505,430)
Restricted Receipts	-	-	337,944	(821,783)	(1,063,356)
Other Funds	-	-	769,799	(2,063,439)	(2,139,435)
Total Expenditures	-	-	9,444,708	(18,132,622)	(20,507,622)

The Program

Department of Administration Operational Savings

Program Objectives

In the FY 2010 Enacted Budget, the General Assembly appropriated statewide undistributed savings totaling \$67.9 million. The total statewide savings were included (reduced) in the appropriation for the Department of Administration with the intent that they would be allocated out to all agencies. The amount of savings was based on formulas applied to three categories: personnel, contract services, and operations.

For personnel, \$53.8 million was reduced in the FY 2010 enacted budget based on 5.0% savings on all personnel expenses, 2.5% additional personnel expenses reduction for the final six months of FY 2010, assessed fringe benefit savings, and health insurance savings.

For contract services, \$5.1 million was reduced in the FY 2010 enacted budget based on statewide savings of 10% on all contracted services.

For operations, \$8.9 million was included in the FY 2010 enacted budget based on statewide savings of 5.0% on all operating expenses, plus an additional 2.5% reduction for the final six months of FY 2010.

In the FY 2010 Revised Budget, the total savings of \$67.9 million was restored to the Department of Administration, less its share, reflecting the allocation of the savings out to all state agencies. The Governor's recommended savings are reflected in each state agency's FY 2010 Revised Budget.

The Budget

Department of Administration Operational Savings

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	(59,443,617)	-	-
Operating Supplies and Expenses	-	-	(8,437,728)	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	-	-	(67,881,345)	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	-	-	(67,881,345)	-	-
Expenditures By Funds					
General Revenue	-	-	(67,881,345)	-	-
Federal Funds	-	-	-	-	-
Restricted Receipts	-	-	-	-	-
Other Funds	-	-	-	-	-
Total Expenditures	-	-	(67,881,345)	-	-

The Program

Department of Administration Internal Service Programs

Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. Facilities management is responsible operations, maintenance and repair functions of various state buildings.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Administration Internal Service Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Workers' Compensation Fund	38,139,495	37,608,295	31,824,722	33,496,180	34,617,701
Central Utilities Fund	15,314,153	18,215,508	24,525,696	21,719,517	25,814,372
Energy Revolving Loan Fund	1,338,861	-	-	-	-
Central Mail Rotary	4,796,214	4,934,021	5,582,779	5,198,343	5,453,349
Telecommunications Fund	2,565,987	2,730,295	3,508,119	3,428,680	3,470,957
Automotive Fleet Rotary	11,762,538	11,298,388	16,908,646	15,644,210	16,330,806
Surplus Property	2,763	2,035	-	-	-
Health Insurance Fund	248,355,086	236,409,040	306,132,044	306,132,044	306,132,044
Total Expenditures	\$322,275,097	\$311,197,582	\$388,482,006	\$385,618,974	\$391,819,229
Expenditures By Object					
Personnel	285,577,292	272,712,370	338,516,056	340,449,119	341,765,751
Operating Supplies and Expenses	33,416,741	35,906,879	46,925,368	42,290,273	47,148,218
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	96,929	59,720	425,760	75,760	75,760
Subtotal: Operating Expenditures	\$319,090,962	\$308,678,969	\$385,867,184	\$382,815,152	\$388,989,729
Capital Purchases and Equipment	99,045	52,641	2,607,500	2,559,500	2,559,500
Debt Service	69,141	12,264	7,322	7,322	-
Operating Transfers	3,015,949	2,453,708	-	237,000	270,000
Total Expenditures	\$322,275,097	\$311,197,582	\$388,482,006	\$385,618,974	\$391,819,229
Expenditures By Funds					
Internal Service Funds	322,275,097	311,197,582	388,482,006	385,618,974	391,819,229
Total Expenditures	\$322,275,097	\$311,197,582	\$388,482,006	\$385,618,974	\$391,819,229

The Agency

Department of Business Regulation

Agency Operations

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of six divisions and Central Management, which includes budget, personnel, and legal. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals, and Insurance.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, and Racing and Athletics Hearing Board. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

The Budget

Department of Business Regulation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	1,204,483	1,059,049	1,084,422	1,184,095	1,067,332
Banking Regulation	1,970,273	1,534,778	1,874,677	1,643,656	1,825,248
Securities Regulation	951,245	756,160	838,110	731,221	886,796
Insurance Regulation	5,618,200	5,113,349	5,810,982	5,259,356	5,592,125
Board of Accountancy	152,876	146,491	164,526	157,454	164,377
Commercial Licensing , Racing & Athletics	1,567,683	976,437	1,244,753	1,228,513	1,269,737
Board for Design Professionals	347,410	304,018	314,575	301,485	256,329
Total Expenditures	\$11,812,170	\$9,890,282	\$11,332,045	\$10,505,780	\$11,061,944
Expenditures By Object					
Personnel	10,269,534	9,424,543	10,461,901	9,443,910	10,217,203
Operating Supplies and Expenses	1,328,946	388,304	776,244	763,232	751,003
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	205,561	75,102	80,000	284,900	80,000
Subtotal: Operating Expenditures	\$11,804,041	\$9,887,949	\$11,318,145	\$10,492,042	\$11,048,206
Capital Purchases and Equipment	8,129	2,333	13,900	13,738	13,738
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$11,812,170	\$9,890,282	\$11,332,045	\$10,505,780	\$11,061,944
Expenditures By Funds					
General Revenue	10,333,679	8,527,973	9,577,234	8,771,882	8,756,919
Federal Funds	114,130	87,315	-	-	-
Restricted Receipts	1,364,361	1,274,994	1,754,811	1,733,898	2,305,025
Total Expenditures	\$11,812,170	\$9,890,282	\$11,332,045	\$10,505,780	\$11,061,944
FTE Authorization	102.0	91.0	91.0	91.0	91.0
Agency Measures					
Minorities as Percentage of Workforce	4.0%	4.0%	4.0%	4.0%	4.0%
Females as Percentage of Workforce	54.0%	54.0%	54.0%	54.0%	54.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

The Program

Department of Business Regulation Central Management

Program Operations

Central Management is composed of the Director's office budget, personnel, and legal. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Associate Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department of Business Regulation Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	974,315	1,029,699	1,038,169	934,329	1,022,466
Operating Supplies and Expenses	229,976	28,738	44,153	42,829	42,829
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	204,900	-
Subtotal: Operating Expenditures	\$1,204,291	\$1,058,437	\$1,082,322	\$1,182,058	\$1,065,295
Capital Purchases and Equipment	192	612	2,100	2,037	2,037
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,204,483	\$1,059,049	\$1,084,422	\$1,184,095	\$1,067,332
Expenditures By Funds					
General Revenue	1,204,483	1,059,049	1,084,422	1,184,095	1,067,332
Total Expenditures	\$1,204,483	\$1,059,049	\$1,084,422	\$1,184,095	\$1,067,332
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Business Regulation Banking Regulation

Program Operations

Banking Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations.

Banking Regulation is responsible for regulating, monitoring and examining fifteen (15) state chartered financial institutions, seven (7) Rhode Island bank holding companies, ten (10) credit unions, seven (7) interstate banks and approximately eight hundred forty eight (848) company licensees (including three hundred eighty-three registered debt collectors) operating from fourteen hundred eighty-three (1,483) licensed locations (including eight hundred (800) debt collector locations) and seventeen hundred sixty (1,760) mortgage loan originators as of July 2009. The Division accomplishes its program objectives through the process of licensing, chartering and examining financial institutions, Rhode Island bank holding companies, credit unions, branches of interstate banks, and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The Division reviews and conducts hearings on applications filed by the financial institutions listed above. Licensees include lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, debt-management companies, and mortgage loan originators. The Division enforces statutes relating to state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. Rhode Island General Laws §19-14.10 relates to the licensing and supervision of mortgage loan originators.

The Budget

Department of Business Regulation Banking Regulation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,750,902	1,468,507	1,704,153	1,474,498	1,656,089
Operating Supplies and Expenses	215,470	66,271	165,524	164,158	164,159
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,966,372	\$1,534,778	\$1,869,677	\$1,638,656	\$1,820,248
Capital Purchases and Equipment	3,901	-	5,000	5,000	5,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,970,273	\$1,534,778	\$1,874,677	\$1,643,656	\$1,825,248
Expenditures By Funds					
General Revenue	1,916,394	1,500,912	1,749,677	1,518,656	1,700,248
Restricted Receipts	53,879	33,866	125,000	125,000	125,000
Total Expenditures	\$1,970,273	\$1,534,778	\$1,874,677	\$1,643,656	\$1,825,248
Program Measures					
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code	100.0%	100.0%	100.0%	100.0%	100.0%
Percentage of Other Lending Licenses Examined in Substantial Compliance with the Banking Code	44.0%	60.0%	45.0%	45.0%	50.0%

The Program

Department of Business Regulation Securities Regulation

Program Operations

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the State's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2009 the division processed approximately 78,328 licenses and 10,953 registrations, conducted one on-site examination of broker-dealer, 50 complaints, and instituted 34 enforcement actions, pursuant to applicable state and federal laws and regulations.

Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

The division was charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. 7-11; the Franchise Investment Act, R.I.G.L. 19-28.1; the Charitable Solicitation Act, R.I.G.L. 5-53.1; and the Real Estate Time-Share Act, R.I.G.L. 34-41.

The Budget

Department of Business Regulation Securities Regulation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	820,245	734,366	790,463	684,553	840,128
Operating Supplies and Expenses	131,000	21,794	47,647	46,668	46,668
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$951,245	\$756,160	\$838,110	\$731,221	\$886,796
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$951,245	\$756,160	\$838,110	\$731,221	\$886,796
Expenditures By Funds					
General Revenue	940,311	749,353	823,110	716,221	871,796
Restricted Receipts	10,934	6,807	15,000	15,000	15,000
Total Expenditures	\$951,245	\$756,160	\$838,110	\$731,221	\$886,796
Program Measures					
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	100.0%	100.0%	100.0%	100.0%	100.0%

The Program

Department of Business Regulation Insurance Regulation

Program Operations

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it received in June of 1993. The division was once again accredited in December 1998 and again in December 2003, each time receiving the maximum accreditation period of five years.

Program Objectives

To monitor effectively the financial condition and market conduct of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

Statutory History

All chapters of R.I.G.L. 27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department of Business Regulation Insurance Regulation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	5,047,555	4,884,737	5,493,120	4,950,786	5,295,386
Operating Supplies and Expenses	386,383	152,307	314,362	305,070	293,239
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	180,226	75,102	-	-	-
Subtotal: Operating Expenditures	\$5,614,164	\$5,112,146	\$5,807,482	\$5,255,856	\$5,588,625
Capital Purchases and Equipment	4,036	1,203	3,500	3,500	3,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$5,618,200	\$5,113,349	\$5,810,982	\$5,259,356	\$5,592,125
Expenditures By Funds					
General Revenue	4,640,422	4,081,714	4,645,367	4,112,640	3,887,270
Federal Funds	114,130	87,315	-	-	-
Restricted Receipts	863,648	944,320	1,165,615	1,146,716	1,704,855
Total Expenditures	\$5,618,200	\$5,113,349	\$5,810,982	\$5,259,356	\$5,592,125
Program Measures					
Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations)	100.0%	100.0%	100.0%	100.0%	100.0%

The Program

Department of Business Regulation Board of Accountancy

Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues license certificates and annual permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Program Objectives

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department of Business Regulation Board of Accountancy

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	143,299	139,325	152,729	146,011	152,933
Operating Supplies and Expenses	9,577	6,648	11,797	11,443	11,444
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$152,876	\$145,973	\$164,526	\$157,454	\$164,377
Capital Purchases and Equipment	-	518	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$152,876	\$146,491	\$164,526	\$157,454	\$164,377
Expenditures By Funds					
General Revenue	152,876	146,491	164,526	157,454	164,377
Total Expenditures	\$152,876	\$146,491	\$164,526	\$157,454	\$164,377
Program Measures					
Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law	98.0%	98.0%	98.0%	98.0%	98.0%

The Program

Department of Business Regulation Commercial Licensing, Racing and Athletics

Program Operations

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, *travel agencies and travel agents, upholsterers, *alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

Statutory History

R.I.G.L. 5-58 relates to auctioneers; R.I.G.L. 5-20.5 relates to real estate; R.I.G.L. 5-20.7 relates to real estate appraisers; R.I.G.L. 5-38 relates to automobile body repair shops; R.I.G.L. 5-50 relates to pre-opening of health club sales campaigns; *R.I.G.L. 5-52 relates to travel agencies; *R.I.G.L. 5-57 relates to burglar and hold-up alarm businesses; R.I.G.L. 6-31 relates to unit pricing; R.I.G.L. 23-26 relates to bedding and upholstered furniture; R.I.G.L. 31-44 & 31-44.1 relate to mobile and manufactured homes; R.I.G.L. 42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. 31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. 31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. 3-1 relates to alcoholic beverages. R.I.G.L. 41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

*Travel repealed on 6-6-2008

*Alarms Regulation transferred to Department of Labor and Training on 7-1-2008.

The Budget

Department of Business Regulation Commercial Licensing, Racing & Athletics

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,262,920	925,526	1,034,174	1,015,765	1,057,389
Operating Supplies and Expenses	279,428	50,911	127,279	129,547	129,147
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	25,335	-	80,000	80,000	80,000
Subtotal: Operating Expenditures	\$1,567,683	\$976,437	\$1,241,453	\$1,225,312	\$1,266,536
Capital Purchases and Equipment	-	-	3,300	3,201	3,201
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,567,683	\$976,437	\$1,244,753	\$1,228,513	\$1,269,737
Expenditures By Funds					
General Revenue	1,131,783	686,436	795,557	781,331	809,567
Restricted Receipts	435,900	290,001	449,196	447,182	460,170
Total Expenditures	\$1,567,683	\$976,437	\$1,244,753	\$1,228,513	\$1,269,737
Program Measures					
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	95.0%	99.7%	99.7%	99.7%	99.7%
Percentage of Autobody Shops, Auto Wrecking Yards, and Auto Salvage Re-Builders in Substantial Compliance with the Code	93.6%	93.6%	93.6%	93.6%	93.6%
Percentage of Liquor Licensees in Substantial Compliance with the Code	78.0%	78.0%	78.0%	78.0%	78.0%
Percentage of Tested Greyhounds Testing Negative for Chemical Substances	99.9%	99.9%	99.9%	99.0%	99.0%

The Program

Department of Business Regulation Design Professionals

Program Operations

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as the division know as the division of Design Professionals.

Program Objectives

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the 1975.

The Budget

Department of Business Regulation Design Professionals

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	270,298	242,383	249,093	237,968	192,812
Operating Supplies and Expenses	77,112	61,635	65,482	63,517	63,517
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$347,410	\$304,018	\$314,575	\$301,485	\$256,329
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$347,410	\$304,018	\$314,575	\$301,485	\$256,329
Expenditures By Funds					
General Revenue	347,410	304,018	314,575	301,485	256,329
Total Expenditures	\$347,410	\$304,018	\$314,575	\$301,485	\$256,329
Program Measures					
Ratio of Complaint Cases Successfully Resolved to Cases Filed	0.75	0.75	0.75	0.75	0.75

The Agency

Department of Labor and Training

Agency Operations

The Department of Labor and Training provides a comprehensive array of employment and training services to Rhode Islanders and administers the laws governing workforce regulation, safety and labor law enforcement. The Department consists of the following six programs: Executive Management, Income Support, Workforce Development Services, Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance, Temporary Disability Insurance, and the Police and Fire Relief Fund.

The Workforce Development Program consists of employment and training programs designed to help individuals find gainful employment and employers suitable workers. It includes the Governor's Workforce Board Rhode Island develops of strategic plans, policies, and program development to coordinate employment and training related programs link these activities with key partners and stakeholder to create and address a demand-driven workforce agenda for the state.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. Donley Center provides rehabilitation services for workers injured on the job. The Workers' Compensation Education unit provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

Agency Objectives

To provide the public programs for workforce development, income support, injured workers services, and workforce regulation and safety that exceed customer expectations, lead to an improved economy, and enhance the quality of life for all residents.

Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

The Budget

Department of Labor and Training

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	644,125	509,263	1,935,999	1,040,122	1,143,471
Workforce Development Services	25,259,032	25,743,424	42,915,142	51,599,518	40,876,273
Workforce Regulation and Safety	2,551,459	2,210,782	2,254,033	2,053,119	2,409,386
Income Support	460,936,884	763,606,385	871,115,091	977,387,405	625,402,015
Injured Workers Services	9,960,844	9,714,962	11,387,196	9,595,786	9,080,322
Labor Relations Board	309,791	390,377	426,605	386,592	403,430
Total Expenditures	\$499,662,135	\$802,175,193	\$930,034,066	\$1,042,062,542	\$679,314,897
Expenditures By Object					
Personnel	38,130,788	37,101,323	44,296,229	44,854,098	44,438,798
Operating Supplies and Expenses	4,797,171	5,595,861	6,266,551	7,617,503	7,191,969
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	442,817,174	744,161,750	862,883,949	971,785,165	613,031,722
Subtotal: Operating Expenditures	\$485,745,133	\$786,858,934	\$913,446,729	\$1,024,256,766	\$664,662,489
Capital Purchases and Equipment	761,145	541,567	2,281,093	1,859,771	1,059,843
Debt Service	-	-	-	-	-
Operating Transfers	13,155,857	14,774,692	14,306,244	15,946,005	13,592,565
Total Expenditures	\$499,662,135	\$802,175,193	\$930,034,066	\$1,042,062,542	\$679,314,897
Expenditures By Funds					
General Revenue	6,377,174	6,433,975	6,667,994	6,531,803	6,689,542
Federal Funds	28,883,497	62,635,505	214,366,612	326,479,058	76,870,788
Restricted Receipts	20,098,434	18,912,729	25,314,950	22,018,670	17,529,145
Other Funds	444,303,030	714,192,984	683,684,510	687,033,011	578,225,422
Total Expenditures	\$499,662,135	\$802,175,193	\$930,034,066	\$1,042,062,542	\$679,314,897
FTE Authorization	417.5	395.3	436.3	475.1	454.0
Agency Measures					
Minorities as a Percentage of the Workforce	13.2%	13.4%	13.6%	13.6%	19.1%
Females as a Percentage of the Workforce	68.2%	68.0%	68.4%	68.4%	70.2%
Persons with Disabilities as a Percentage of the Workforce	2.6%	2.7%	2.8%	2.8%	2.6%

The Program

Department of Labor and Training Central Management

Program Operations

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operates a central stock and mail room, coordinates the maintenance and support of facilities and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Program Objectives

To provide leadership, management and strategic planning in the development and implementation of a cost efficient and productive system of service delivery.

To provide competent legal representation and consultation to all departmental staff requiring such service.

To provide comprehensive financial management, staff development and management information services to all divisions within the department.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

The Budget

Department of Labor and Training Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	543,263	473,298	827,332	464,967	570,135
Operating Supplies and Expenses	74,031	33,572	63,710	52,989	54,020
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	9,661	2,330	2,419	2,352	2,375
Subtotal: Operating Expenditures	\$626,955	\$509,200	\$893,461	\$520,308	\$626,530
Capital Purchases and Equipment	17,170	63	1,042,538	520,084	516,941
Debt Service	-	-	-	-	-
Total Expenditures	\$644,125	\$509,263	\$1,935,999	\$1,040,392	\$1,143,471
Expenditures By Funds					
General Revenue	139,871	94,351	310,311	70,974	127,318
Restricted Receipts	504,254	414,912	832,687	571,690	621,097
Other Funds	-	-	793,001	397,458	395,056
Total Expenditures	\$644,125	\$509,263	\$1,935,999	\$1,040,122	\$1,143,471
Program Measures	NC	NC	NC	NC	NC

The Program

Department of Labor and Training Workforce Development Services

Program Operations

The Workforce Development Services Program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic readjustment and retraining.

The Employment Service program provides our customers with employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs.

The veterans' program provides the same services to veterans as it does to customers through a specialized veteran staff. Veterans are given priority on referrals for all job orders.

The Workforce Investment Act program provides employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training.

The Labor Market Information (LMI) program operates as a clearing office for all employment statistics and demographic information.

The Governor's Workforce Board Rhode Island establishes policies, goals and guidelines to coordinate employment and training related programs and supports efforts to link these activities with economic development strategies. The board oversees funding ensuring that strategic investments are made. A sub committee of the Governor's Workforce Board, the Human Resource Investment Council, under authority granted by R.I.G.L. 42-102, administers the Job Development Fund.

Program Objectives

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals find jobs.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

The Budget

Department of Labor and Training Workforce Development Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Employment Services	5,224,710	3,905,965	15,702,554	14,566,135	7,972,103
JPTA & Other Training Programs	11,083,120	13,287,912	14,129,424	25,616,484	25,246,087
Labor Market Information	642,864	755,555	752,642	652,500	745,649
Human Resource Investment Council	7,799,419	7,457,916	11,793,736	10,181,268	6,298,170
Veteran Services	508,919	336,076	536,786	583,131	614,264
Total Expenditures	\$25,259,032	\$25,743,424	\$42,915,142	\$51,599,518	\$40,876,273
Expenditures By Object					
Personnel	12,279,234	9,640,453	13,376,295	12,532,319	15,329,179
Operating Supplies and Expenses	2,123,607	2,042,555	1,938,520	2,929,064	2,700,705
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	9,884,527	13,183,962	26,737,285	34,498,935	20,953,416
Subtotal: Operating Expenditures	\$24,287,368	\$24,866,970	\$42,052,100	\$49,960,318	\$38,983,300
Capital Purchases and Equipment	398,035	238,634	62,273	100,237	70,129
Debt Service	-	-	-	-	-
Operating Transfers	573,629	637,820	800,769	1,538,963	1,822,844
Total Expenditures	\$25,259,032	\$25,743,424	\$42,915,142	\$51,599,518	\$40,876,273
Expenditures By Funds					
General Revenue	-	16,448	95,409	77,447	65,261
Federal Funds	14,281,337	16,784,166	31,025,997	41,340,803	34,512,842
Restricted Receipts	7,799,419	7,457,916	11,793,736	10,181,268	6,298,170
Other Funds	3,178,276	1,484,894	-	-	-
Total Expenditures	\$25,259,032	\$25,743,424	\$42,915,142	51,599,518	40,876,273
Program Measures					
Adult Dislocated Worker Retention Rate	87.8%	86.6%	88.1%	88.1%	88.1%

The Program

Department of Labor and Training Workforce Regulation and Safety

Program Operations

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the states' workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety Unit safeguards public and private sectors workplace environments by enforcing laws relating to safety compliance, elevators, boilers, and hazardous substances.

The Trade Licensing unit licenses trade members, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Program Objectives

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

The Budget

Department of Labor and Training Workforce Regulation and Safety

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Labor Standards	606,685	484,593	447,021	410,806	432,391
Occupational Safety	1,046,426	975,805	870,316	802,223	844,677
Professional Regulations	898,348	750,384	936,696	840,090	1,132,318
Total Expenditures	\$2,551,459	\$2,210,782	\$2,254,033	\$2,053,119	\$2,409,386
Expenditures By Object					
Personnel	2,367,138	2,072,622	2,066,029	1,920,149	2,268,904
Operating Supplies and Expenses	180,674	135,391	184,284	130,185	137,670
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,485	2,347	3,555	2,369	2,393
Subtotal: Operating Expenditures	\$2,551,297	\$2,210,360	\$2,253,868	\$2,052,703	\$2,408,967
Capital Purchases and Equipment	162	422	165	416	419
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,551,459	\$2,210,782	\$2,254,033	\$2,053,119	\$2,409,386
Expenditures By Funds					
General Revenue	2,551,459	2,210,782	2,254,033	2,053,119	2,409,386
Total Expenditures	\$2,551,459	\$2,210,782	\$2,254,033	\$2,053,119	\$2,409,386
Program Measures					
Percentage of Boilers and Pressure Vessels Compliant with Code upon Initial Inspection	92.0%	80.0%	92.0%	92.0%	80.0%
Percentage of Elevators and Escalators Compliant with Applicable Codes	80.0%	66.0%	60.0%	60.0%	50.0%

The Program

Department of Labor and Training Income Support

Program Operations

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, and crash rescue personnel and correctional officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at Rhode Island state colleges or university.

Program Objectives

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

To comply with the regulations and guidelines established by the United States Department of Labor and seek methods to excel.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

The Budget

Department of Labor and Training Income Support

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Unemployment Insurance	278,325,621	590,118,159	685,341,946	810,621,101	448,715,502
Temporary Disability Insurance Fund	179,235,210	169,766,209	182,191,509	162,822,633	173,002,366
Fire and Police	3,376,053	3,722,017	3,581,636	3,943,671	3,684,147
Total Expenditures	\$460,936,884	\$763,606,385	\$871,115,091	\$977,387,405	\$625,402,015
Expenditures By Object					
Personnel	15,714,975	17,907,746	20,335,218	23,464,803	20,175,048
Operating Supplies and Expenses	2,061,242	2,952,159	3,476,410	3,753,452	3,549,712
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	430,254,508	728,403,875	832,898,116	934,680,020	589,472,035
Subtotal: Operating Expenditures	\$448,030,725	\$749,263,780	\$856,709,744	\$961,898,275	\$613,196,795
Capital Purchases and Equipment	323,931	205,733	899,872	1,082,088	435,499
Debt Service	-	-	-	-	-
Operating Transfers	12,582,228	14,136,872	13,505,475	14,407,042	11,769,721
Total Expenditures	\$460,936,884	\$763,606,385	\$871,115,091	\$977,387,405	\$625,402,015
Expenditures By Funds					
General Revenue	3,376,053	3,722,017	3,581,636	3,943,671	3,684,147
Federal Funds	14,602,160	45,851,339	183,340,615	285,138,255	42,357,946
Restricted Receipts	1,833,917	1,324,939	1,301,331	1,669,926	1,529,556
Other Funds	441,124,754	712,708,090	682,891,509	686,635,553	577,830,366
Total Expenditures	\$460,936,884	\$763,606,385	\$871,115,091	\$977,387,405	\$625,402,015
Program Measures					
Initial Unemployment Insurance Claims Promptly Paid	95.1%	85.3%	93.0%	93.0%	95.0%
Initial Unemployment Insurance Claims Accurately Paid	86.8%	94.0%	95.6%	95.6%	95.6%
Percentage of Wage Information Transferred to Other States within 5 Calendar Days	85.3%	82.9%	85.0%	85.0%	85.0%
Percentage of Temporary Disability Claims Filed that are Either Authorized or Disallowed within 21 Days of their Receipt	72.4%	71.1%	73.0%	73.0%	73.0%
Percentage of Nonmonetary Determinations Receiving an Acceptable Grade for Completeness of Fact-Finding and Correctness	88.8%	83.3%	89.0%	89.0%	89.0%

The Program

Department of Labor and Training Injured Workers Services

Program Operations

The Injured Workers Services Division monitors procedures and payments made by insurance carriers to employees unable to work because of job related injury and collects and disseminates statistical data. This division also provides vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals and responds to compliance and fraud issues. The division is made up of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center and the Fraud and Prevention Compliance unit.

The Workers' Compensation (administrative) unit monitors all claim filings to ensure proper payment by the insurance carrier and maintains all corresponding records and statistical data. It creates a self-insured program for large companies who meet certain criteria.

The Education unit provides services pertaining to workplace safety and Workers' Compensation system information.

The Rehabilitation Unit, housed at the Dr. John E. Donley Rehabilitation Center provides broad-based rehabilitation programs for injured workers with the Workers' Compensation System. Services include complete evaluations and treatment programs.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution and suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer confidence with the requirements of the Workers' Compensation Act.

Program Objectives

To maintain a WC system that is fair to both employees and employers.

To maintain a WC system that emphasizes and rewards safety in the workplace.

To maintain a WC system that is cost-competitive with insurance coverage for employers available at a low cost.

To maintain a WC system that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

The Budget

Department of Labor and Training Injured Workers Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Workers' Compensation Compliance	5,600,712	5,672,721	6,519,262	5,484,138	4,973,513
Education and Rehabilitation	4,360,132	4,042,241	4,867,934	4,111,648	4,106,809
Total Expenditures	\$9,960,844	\$9,714,962	\$11,387,196	\$9,595,786	\$9,080,322
Expenditures By Object					
Personnel	6,928,015	6,630,910	7,276,608	6,113,329	5,706,393
Operating Supplies and Expenses	346,062	419,495	591,843	739,074	736,993
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,664,926	2,569,164	3,242,506	2,601,416	2,601,430
Subtotal: Operating Expenditures	\$9,939,003	\$9,619,569	\$11,110,957	\$9,453,819	\$9,044,816
Capital Purchases and Equipment	21,841	95,393	276,239	141,967	35,506
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$9,960,844	\$9,714,962	\$11,387,196	\$9,595,786	\$9,080,322
Expenditures By Funds					
Restricted Receipts	9,960,844	9,714,962	11,387,196	9,595,786	9,080,322
Total Expenditures	\$9,960,844	\$9,714,962	\$11,387,196	\$9,595,786	\$9,080,322
Program Measures					
Return to Work Rate	90.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Students Completing the Computer Skills Workshop who pass the Proficiency Exam	98.0%	85.0%	90.0%	90.0%	90.0%

The Program

Department of Labor and Training Labor Relations Board

Program Operations

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and the employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Program Objectives

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Statutory History

The statutory basis for the Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

The Budget

Department of Labor and Training Labor Relations Board

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	298,163	376,294	414,747	358,801	389,139
Operating Supplies and Expenses	11,555	12,689	11,784	12,739	12,869
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	67	72	68	73	73
Subtotal: Operating Expenditures	\$309,785	\$389,055	\$426,599	\$371,613	\$402,081
Capital Purchases and Equipment	6	1,322	6	14,979	1,349
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$309,791	\$390,377	\$426,605	\$386,592	\$403,430
Expenditures By Funds					
General Revenue	309,791	390,377	426,605	386,592	403,430
Total Expenditures	\$309,791	\$390,377	\$426,605	\$386,592	\$403,430
Program Measures					
Percentage of Cases Resolved	56.0%	65.0%	76.0%	76.0%	80.0%

The Agency

Department of Revenue

Agency Operations

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has six programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis and Municipal Finance.

Agency Objectives

To assess and collect all taxes, fees and revenues in the most efficient and cost-effective manner.

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To administer a lottery system in the most efficient, ethical and cost-effective manner.

To assess and evaluate the state revenue policies and practices and recommend options to enhance revenue collections and revenue competitiveness.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Program					
Director of Revenue	451,949	603,237	562,988	435,742	611,412
Office of Revenue Analysis	30,782	363,514	598,055	404,380	536,753
Lottery Division	216,307,570	188,356,618	187,710,749	191,483,930	192,140,596
Municipal Finance	775,395	705,632	1,173,544	1,105,096	1,162,046
Taxation	19,088,461	17,011,434	20,204,279	18,071,909	20,295,558
Registry of Motor Vehicles	17,949,056	17,389,451	29,555,572	29,297,581	23,260,141
Total Expenditures	\$254,603,213	\$224,429,886	\$239,805,187	\$240,798,638	\$238,006,506
Expenditures By Object					
Personnel	35,370,444	33,251,500	37,759,865	34,580,363	37,654,747
Operating Supplies and Expenses	218,990,619	190,100,014	189,470,101	193,832,794	193,432,036
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	10,753	16,002	17,093	11,633	11,633
Subtotal: Operating Expenditures	\$254,371,816	\$223,367,516	\$227,247,059	228,424,790	231,098,416
Capital Purchases and Equipment	193,247	1,062,370	12,336,397	12,157,131	6,671,708
Debt Service	-	-	-	-	-
Operating Transfers	38,150	-	221,731	216,717	236,381
Total Expenditures	\$254,603,213	\$224,429,886	\$239,805,187	\$240,798,638	\$238,006,505
Expenditures By Funds					
General Revenue	35,086,502	32,332,034	36,191,064	33,882,287	35,671,581
Federal Funds	1,470,903	1,551,477	2,604,929	2,402,882	2,273,362
Restricted Receipts	789,994	706,530	845,292	739,952	824,191
Other Funds	217,255,814	189,839,845	200,163,902	203,773,517	199,237,371
Total Expenditures	\$254,603,213	\$224,429,886	\$239,805,187	\$240,798,638	\$238,006,505
FTE Authorization	465.0	410.0	424.0	428.0	428.0
Agency Measures					
Minorities as a Percentage of the Workforce	11.3%	10.0%	10.2%	10.2%	11.2%
Females as a Percentage of the Workforce	59.1%	49.0%	49.2%	49.2%	51.4%
Persons with Disabilities as a Percentage of the Workforce	2.4%	1.0%	1.0%	1.0%	1.1%

The Program

Department of Revenue Director of Revenue

Program Operations

The Director's Office oversees the overall operation of the department. The Office of Legal Services provides legal advice and support to the Director and the programs within the department.

Program Objectives

To oversee the collection of taxes, fees and revenues in conformance with legislative mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue Director of Revenue

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	433,363	593,448	543,504	423,907	601,077
Operating Supplies and Expenses	10,223	9,789	19,484	10,335	10,335
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$443,586	\$603,237	\$562,988	\$434,242	\$611,412
Capital Purchases and Equipment	8,363	-	-	1,500	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$451,949	\$603,237	\$562,988	\$435,742	\$611,412
Expenditures By Funds					
General Revenue	451,949	603,237	562,988	435,742	611,412
Total Expenditures	\$451,949	\$603,237	\$562,988	\$435,742	\$611,412
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Revenue Revenue Analysis

Program Operations

The Office of Revenue Analysis is responsible for analyzing, evaluating, and appraising the tax system of the State of Rhode Island, and for making recommendations for its revision in accordance with the best interests of the economy of Rhode Island. The Office is responsible for preparing the tax expenditures report and for preparing cost benefit analyses of all tax proposals.

Program Objectives

To analyze, evaluate and appraise the tax system of the State.

To make recommendations for revisions to the tax system.

To prepare the tax expenditures report.

Statutory History

The Office of Revenue Analysis is established under R.I.G.L. 42-142-3. The requirement to prepare the tax expenditures report is established under R.I.G.L. 44-48.1-1.

The Budget

Department of Revenue Revenue Analysis

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	18,155	355,404	487,505	363,830	496,203
Operating Supplies and Expenses	9,117	6,804	5,950	5,950	5,950
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$27,272	\$362,208	\$493,455	\$369,780	\$502,153
Capital Purchases and Equipment	3,510	1,306	104,600	34,600	34,600
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$30,782	\$363,514	\$598,055	\$404,380	\$536,753
Expenditures By Funds					
General Revenue	30,782	363,514	598,055	404,380	536,753
Total Expenditures	\$30,782	\$363,514	\$598,055	\$404,380	\$536,753
Program Measures					
	NA	NA	NA	NA	NA
Percentage of Cash Collection Reports Issued within 10 Business Days					
	NA	75.0%	91.7%	91.7%	100.0%
Percentage of Revenue Assessment Reports Issued within 10 Business Days					
	NA	100.0%	91.7%	91.7%	100.0%
Percentage of Three Revenue Reports Issued Annually					
	NA	66.7%	100.0%	100.0%	100.0%
Percentage of Fiscal Notes Completed within Ten Calendar Days					
	NA	61.5%	75.0%	75.0%	80.0%

The Program

Department of Revenue Lottery Division

Program Objectives

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. On July 1, 2005, the Lottery was made a division of the Department of Administration and on July 1, 2006, it was transferred to the new Department of Revenue. The Lottery operates as an enterprise fund and is included in the State Comprehensive Annual Financial Report.

The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), for Keno, and for instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball tickets, collects all revenues, and remits prize funds to the Multi-State Lottery Association net of low tier prize awards

R.I.G.L. 42-61-15 stipulates that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. The Lottery is required to transfer its net income from on-line games to the state's general fund in an amount not less than 25 percent of total lottery ticket revenue. The amount transferred into the general fund from Keno shall equal no less than 15 percent of the total Keno ticket sales. Transfers are made on a monthly basis in an amount equal to estimated net income.

R.I.G.L. 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated at these facilities is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net new revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe.

Statutory History

R.I.G.L. 42-60 provides the general authority for the State Division of Lottery. R.I.G.L. 42-142 entitled "Department of Revenue" establishes the State lottery as a division of the Department of Revenue.

The Budget

Department of Revenue Lottery Division

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,716,242	4,665,305	5,281,177	4,758,196	5,262,342
Operating Supplies and Expenses	211,561,678	183,691,313	182,241,934	186,555,564	186,688,420
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$216,277,920	\$188,356,618	\$187,523,111	\$191,313,760	\$191,950,762
Capital Purchases and Equipment	(8,500)	-	4,807	4,807	4,807
Debt Service	-	-	-	-	-
Operating Transfers	38,150	-	182,831	165,363	185,027
Total Expenditures	\$216,307,570	\$188,356,618	\$187,710,749	\$191,483,930	\$192,140,596
Expenditures By Funds					
Other	216,307,570	188,356,618	187,710,749	191,483,930	192,140,596
Total Expenditures	\$216,307,570	\$188,356,618	\$187,710,749	\$191,483,930	\$192,140,596
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Revenue Municipal Finance

Program Operations

The Division of Municipal Finance is responsible for annually calculating municipal property wealth, and assuring compliance by municipalities with the property tax cap and disclosure of the proposed municipal budget. The Division also monitors revaluations of property in the cities and towns, monitors the financial condition of municipalities, calculates state aid and provides other technical and legislative support to municipalities. The Division also maintains and publishes a record of elected and appointed municipal officials every election year (the blue book). In FY 2010, the Office of Local Government Assistance was transferred from the Department of Administration to the Division of Municipal Finance in the Department of Revenue. The Division provides staff assistance to the Vehicle Value Commission which establishes the value of motor vehicles subject to the excise tax used by municipalities in levying taxes.

Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

To monitor and report on the financial conditions of the cities and towns.

Statutory History

The Division of Municipal Finance is established under R.I.G.L. 42-142-4.

The Budget

Department of Revenue Municipal Finance

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	755,135	689,004	1,143,802	1,081,713	1,132,463
Operating Supplies and Expenses	19,113	10,232	23,346	16,987	23,187
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,147	6,396	6,396	6,396	6,396
Subtotal: Operating Expenditures	\$775,395	\$705,632	\$1,173,544	\$1,105,096	\$1,162,046
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$775,395	\$705,632	\$1,173,544	\$1,105,096	\$1,162,046
Expenditures By Funds					
General Revenue	775,395	705,632	1,173,544	1,105,096	1,162,046
Total Expenditures	\$775,395	\$705,632	\$1,173,544	\$1,105,096	\$1,162,046
Program Measures					
Percentage of Equalization Study Procedure Recommendations Implemented	31.0%	35.0%	35.0%	35.0%	35.0%
Percentage of Municipalities Transmitting Real Estate Sales Data Electronically to the Division of Taxation	97.0%	100.0%	100.0%	100.0%	100.0%

The Program

Department of Revenue Taxation

Program Operations

The Division of Taxation includes several subprograms encompassing many activities. Tax Processing performs all activities relating to the receipt of cash payments and the processing of tax returns. This unit registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Office of Assessment and Review assesses and collects taxes as prescribed by the Rhode Island General Laws. The office holds preliminary reviews of requests for administrative hearings. Field Audit services audits businesses, corporations and individuals for compliance with existing state tax laws and regulations for all of the taxes for which the Tax Administrator is responsible. Tax Compliance and Collection has responsibility for the compliance, collection, and enforcement actions to collect all overdue taxes administered by the Division of Taxation.

Program Objectives

To assess and collect all taxes and revenues that the legislature places under the control of the Tax Administrator in the most efficient and cost-effective manner.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Division of Taxation within the Department of Revenue.

The Budget

Department Of Revenue Taxation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Tax Administrator	474,202	550,406	554,647	569,061	1,097,738
Tax Processing Division	4,949,363	4,255,817	5,207,140	4,621,562	4,872,052
Compliance and Collection	3,133,969	2,901,503	3,353,994	2,758,755	3,179,662
Field Audit	5,034,383	4,575,200	5,504,768	4,842,375	5,217,145
Assessment and Review	2,652,140	2,306,911	2,540,636	2,621,074	2,976,500
Employer Tax	2,844,404	2,421,597	3,043,094	2,659,082	2,952,461
Total Expenditures	\$19,088,461	\$17,011,434	\$20,204,279	\$18,071,909	\$20,295,558
Expenditures By Object					
Personnel	16,862,669	15,474,873	17,776,167	15,960,049	17,610,285
Operating Supplies and Expenses	2,183,379	1,493,677	2,348,352	2,036,060	2,079,473
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,369	4,369	5,460	-	-
Subtotal: Operating Expenditures	\$19,050,417	\$16,972,919	\$20,129,979	\$17,996,109	\$19,689,758
Capital Purchases and Equipment	38,044	38,515	74,300	75,800	605,800
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$19,088,461	\$17,011,434	\$20,204,279	\$18,071,909	\$20,295,558
Expenditures By Funds					
General Revenue	16,171,810	14,547,391	17,028,276	15,330,742	16,759,362
Federal Funds	1,189,768	996,499	1,292,658	1,114,408	1,234,831
Restricted Receipts	778,639	692,136	830,192	724,852	809,091
Other Funds	948,244	775,408	1,053,153	901,907	1,492,274
Total Expenditures	\$19,088,461	\$17,011,434	\$20,204,279	\$18,071,909	\$20,295,558
Program Measures					
Percentage of Personal Income Tax Refunds Mailed Within Thirty Days	99.0%	98.7%	98.5%	98.5%	98.5%
Percentage of Personal Income Tax Returns Filed Electronically	50.0%	62.0%	63.0%	63.0%	65.0%
Tax Dollars Assessed Per Hour by Field Audit	\$745.22	\$661.89	\$720.98	\$720.98	\$720.98
Percentage of Cash Collection Reports Issued Within 10 Business Days	0.0%	75.0%	91.7%	91.7%	100.0%
Percentage of Revenue Assessment Reports Issued Within 10 Business Days	0.0%	100.0%	91.7%	91.7%	100.0%
Percentage of Three Revenue Reports Issued Annually	0.0%	66.7%	100.0%	100.0%	100.0%
Percentage of Fiscal Notes Completed Within Ten Calendar Days	0.0%	61.5%	75.0%	75.0%	80.0%

The Program

Department of Revenue Registry of Motor Vehicles

Program Operations

The Division of Motor Vehicles (DMV) also known as the “Registry of Motor Vehicles” is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. In addition to these responsibilities, the DMV is actively involved in the testing and training required to implement the new RIMS computer system in spring 2010; redesigning all business processes, documents, and communications resulting from the availability of enhanced hardware and software; and designing and relocating to a new facility in late summer 2010.

Program Objectives

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.
To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

Statutory History

R.I.G.L. 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

The Budget

Department of Revenue Registry of Motor Vehicles

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Registry of Motor Vehicles	17,926,292	17,375,057	29,525,372	29,267,381	23,229,940
Vehicle Value Commission	22,764	14,394	30,200	30,200	30,200
Total Expenditures	\$17,949,056	\$17,389,451	\$29,555,572	\$29,297,581	\$23,260,140
Expenditures By Object					
Personnel	12,584,880	11,473,466	12,527,710	11,992,668	12,552,377
Operating Supplies and Expenses	5,207,109	4,888,199	4,831,035	5,207,898	4,624,671
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	5,237	5,237	5,237	5,237	5,237
Subtotal: Operating Expenditures	\$17,797,226	\$16,366,902	\$17,363,982	\$17,205,803	\$17,182,285
Capital Purchases and Equipment	151,830	1,022,549	12,152,690	12,040,424	6,026,501
Debt Service	-	-	-	-	-
Operating Transfers	-	-	38,900	51,354	51,354
Total Expenditures	\$17,949,056	\$17,389,451	\$29,555,572	\$29,297,581	\$23,260,140
Expenditures By Funds					
General Revenue	17,656,566	16,112,260	16,828,201	16,606,327	16,602,008
Federal Funds	281,135	554,978	1,312,271	1,288,474	1,038,531
Restricted Receipts	11,355	14,394	15,100	15,100	15,100
Other Funds	-	707,819	11,400,000	11,387,680	5,604,501
Total Expenditures	\$17,949,056	\$17,389,451	\$29,555,572	\$29,297,581	23,260,140
Program Measures	NA	NA	NA	NA	NA

The Agency

Legislature

Agency Operations

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three departments of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

The Budget

Legislature

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
General Assembly	4,976,720	5,063,258	5,829,511	5,195,808	6,772,409
House Fiscal Advisory Staff	1,497,902	1,540,181	1,620,001	1,457,815	1,528,437
Legislative Council	4,153,424	3,952,871	4,417,515	4,057,219	4,254,051
Joint Committee on Legislative Affairs	18,492,793	18,276,227	20,532,595	19,980,492	19,823,063
Office of the Auditor General	4,698,811	4,686,711	5,015,802	4,978,962	5,221,569
Special Legislative Commissions	9,573	7,415	15,300	13,900	13,900
Total Expenditures	\$33,829,223	\$33,526,663	\$37,430,724	\$35,684,196	\$37,613,429
Expenditures By Object					
Personnel	29,563,884	29,764,465	31,596,778	29,830,949	32,675,531
Operating Supplies and Expenses	2,059,925	2,057,258	2,446,246	2,530,097	2,387,898
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,074,123	1,602,220	2,300,000	2,900,000	2,300,000
Subtotal: Operating Expenditures	\$33,697,932	\$33,423,943	\$36,343,024	\$35,261,046	\$37,363,429
Capital Purchases and Equipment	131,291	102,720	1,087,700	423,150	250,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$33,829,223	\$33,526,663	\$37,430,724	\$35,684,196	\$37,613,429
Expenditures By Funds					
General Revenue	32,377,685	32,018,330	35,874,012	34,181,165	36,038,421
Restricted Receipts	1,451,538	1,508,333	1,556,712	1,503,031	1,575,008
Total Expenditures	\$33,829,223	\$33,526,663	\$37,430,724	\$35,684,196	\$37,613,429
FTE Authorization	297.9	297.9	297.9	297.9	297.9
Agency Measures					
Minorities as a Percentage of the Workforce	NS	NS	NS	NS	NS
Females as a Percentage of the Workforce	NS	NS	NS	NS	NS
Persons with Disabilities as a Percentage of the Workforce	NS	NS	NS	NS	NS

The Program

Legislature General Assembly

Program Operations

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

The Budget

Legislature General Assembly

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	3,883,395	3,968,918	4,549,861	3,841,258	5,521,509
Operating Supplies and Expenses	1,089,358	1,066,126	1,266,900	1,340,600	1,215,900
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$4,972,753	\$5,035,044	\$5,816,761	\$5,181,858	\$6,737,409
Capital Purchases and Equipment	3,967	28,214	12,750	13,950	35,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,976,720	\$5,063,258	\$5,829,511	\$5,195,808	\$6,772,409
Expenditures By Funds					
General Revenue	4,976,720	5,063,258	5,829,511	5,195,808	6,772,409
Total Expenditures	\$4,976,720	\$5,063,258	\$5,829,511	\$5,195,808	\$6,772,409
Program Measures	NA	NA	NA	NA	NA

The Program

Legislature Fiscal Advisory Staff to House Finance Committee

Program Operations

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. The major function of the unit is to perform fiscal research and analysis for the Legislature, its committees, and individual legislators. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

The Budget

Legislature House Fiscal Advisory Staff

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,404,727	1,446,585	1,514,001	1,333,390	1,385,912
Operating Supplies and Expenses	93,175	91,967	104,800	123,225	112,525
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,497,902	\$1,538,552	\$1,618,801	\$1,456,615	\$1,498,437
Capital Purchases and Equipment	-	1,629	1,200	1,200	30,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,497,902	\$1,540,181	\$1,620,001	\$1,457,815	\$1,528,437
Expenditures By Funds					
General Revenue	1,497,902	1,540,181	1,620,001	1,457,815	1,528,437
Total Expenditures	\$1,497,902	\$1,540,181	\$1,620,001	\$1,457,815	\$1,528,437
Program Measures	NA	NA	NA	NA	NA

The Program

Legislature Legislative Council

Program Operations

The Legislative Council collects factual information and advises the General Assembly on bill drafting by assisting legislators in the preparation of technically correct bills for introduction in the General Assembly; conducts research on specific topics at the request of legislators, committees, commissions, legislative staff, and informational requests originating in other states; and, maintains library and reference materials on model legislation and files on bills introduced and passed.

Statutory History

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

The Budget

Legislature Legislative Council

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,107,217	3,895,787	4,347,965	3,983,769	4,176,551
Operating Supplies and Expenses	46,207	52,198	65,800	66,700	67,500
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$4,153,424	\$3,947,985	\$4,413,765	\$4,050,469	\$4,244,051
Capital Purchases and Equipment	-	4,886	3,750	6,750	10,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,153,424	\$3,952,871	\$4,417,515	\$4,057,219	\$4,254,051
Expenditures By Funds					
General Revenue	4,153,424	3,952,871	4,417,515	4,057,219	4,254,051
Total Expenditures	\$4,153,424	\$3,952,871	\$4,417,515	\$4,057,219	\$4,254,051
Program Measures	NA	NA	NA	NA	NA

The Program

Legislature Joint Committee on Legislative Affairs

Program Operations

The Joint Committee on Legislative Affairs is responsible for all administrative matters affecting the operations of the General Assembly. The Joint Committee prepares legislative payrolls and the annual operating budget for the General Assembly, manages the House and Senate appropriations to include all committees unless otherwise specified in law, procures all supplies, materials and technical services, and provides for all printing requirements. Also, the Joint Committee on Legislative Affairs coordinates the Legislative Data Services and the Telecommunications - Cable TV activities.

Statutory History

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

The Budget

Legislature Joint Committee on Legislative Affairs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	15,916,191	16,190,652	16,733,295	16,201,017	16,891,088
Operating Supplies and Expenses	417,230	434,829	478,050	526,975	506,975
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,074,123	1,602,220	2,300,000	2,900,000	2,300,000
Subtotal: Operating Expenditures	\$18,407,544	\$18,227,701	\$19,511,345	\$19,627,992	\$19,698,063
Capital Purchases and Equipment	85,249	48,526	1,021,250	352,500	125,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$18,492,793	\$18,276,227	\$20,532,595	\$19,980,492	\$19,823,063
Expenditures By Funds					
General Revenue	18,492,793	18,276,227	20,532,595	19,980,492	19,823,063
Total Expenditures	\$18,492,793	\$18,276,227	\$20,532,595	\$19,980,492	\$19,823,063
Program Measures	NA	NA	NA	NA	NA

The Program

Legislature Office of the Auditor General

Program Operations

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established as a separate agency in 1973. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

The Budget

Legislature Office of the Auditor General

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,252,354	4,262,523	4,451,656	4,471,515	4,700,471
Operating Supplies and Expenses	404,382	404,723	515,396	458,697	471,098
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$4,656,736	\$4,667,246	\$4,967,052	\$4,930,212	\$5,171,569
Capital Purchases and Equipment	42,075	19,465	48,750	48,750	50,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,698,811	\$4,686,711	\$5,015,802	\$4,978,962	\$5,221,569
Expenditures By Funds					
General Revenue	3,247,273	3,178,378	3,459,090	3,475,931	3,646,561
Restricted Receipts	1,451,538	1,508,333	1,556,712	1,503,031	1,575,008
Total Expenditures	\$4,698,811	\$4,686,711	\$5,015,802	\$4,978,962	\$5,221,569
Program Measures	NA	NA	NA	NA	NA

The Program

Legislature Special Legislative Commissions

Program Operations

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern. Included in this category are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

The Budget

Legislature Special Legislative Commissions

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	9,573	7,415	15,300	13,900	13,900
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$9,573	\$7,415	\$15,300	\$13,900	\$13,900
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$9,573	\$7,415	\$15,300	\$13,900	\$13,900
Expenditures By Funds					
General Revenue	9,573	7,415	15,300	13,900	13,900
Total Expenditures	\$9,573	\$7,415	\$15,300	\$13,900	\$13,900
Program Measures	NA	NA	NA	NA	NA

The Agency

Office of the Lieutenant Governor

Agency Operations

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. As well, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The office initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The office also serves as a liaison between citizens and state agencies.

Agency Objectives

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.

The Budget

Office of the Lieutenant Governor

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	816,646	820,193	938,004	868,402	948,116
Operating Supplies and Expenses	32,752	29,219	34,133	28,962	24,464
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$849,398	\$849,412	\$972,137	\$897,364	\$972,580
Capital Purchases and Equipment	1,014	3,573	1,125	1,125	2,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$850,412	\$852,985	\$973,262	\$898,489	\$975,080
Expenditures By Fund					
General Revenue	850,412	852,985	973,262	898,489	975,080
Total Expenditures	\$850,412	\$852,985	\$973,262	\$898,489	\$975,080
FTE Authorization	9.0	8.0	8.0	8.0	8.0
Agency Measures					
Minorities as a Percentage of the Workforce	30.0%	25.0%	25.0%	25.0%	25.0%
Females as a Percentage of the Workforce	44.0%	38.0%	38.0%	38.0%	38.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures	NA	NA	NA	NA	NA

The Agency

Secretary of State

Agency Operations

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the office has a vital role in providing the public with basic information about the workings of state government. The office consists of six divisions and one internal service fund.

Agency Objectives

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To make it easier to vote and improve public access to government at all levels by collecting and distributing information as widely as possible and making information available electronically. To create jobs and promote economic development by offering programs and services that make it easier for business to grow.

Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

The Budget

Secretary of State

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Administration	1,756,779	1,836,026	1,904,137	1,841,664	1,947,576
Corporations	1,914,051	1,759,692	1,782,133	1,749,973	1,823,531
State Archives	557,418	592,245	554,422	577,009	574,651
Elections and Civics	1,570,655	1,935,169	1,378,262	1,117,786	1,915,238
State Library	712,912	556,832	575,937	553,695	572,768
Office of Public Information	308,132	351,809	300,688	300,904	330,263
Internal Service Programs	[770,278]	[762,275]	[814,696]	[803,400]	[825,368]
Total Expenditures	\$6,819,947	\$7,031,773	\$6,495,579	\$6,141,031	\$7,164,027
Expenditures By Object					
Personnel	4,843,527	4,930,427	5,123,941	4,915,692	5,258,277
Operating Supplies and Expenses	1,524,875	1,794,366	1,106,625	970,450	1,734,348
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	391,494	273,535	241,302	219,652	151,302
Subtotal: Operating Expenditures	\$6,759,896	\$6,998,328	\$6,471,868	\$6,105,794	\$7,143,927
Capital Purchases and Equipment	60,051	33,445	23,711	35,237	20,100
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$6,819,947	\$7,031,773	\$6,495,579	\$6,141,031	\$7,164,027
Expenditures By Funds					
General Revenue	5,488,114	6,318,527	5,521,241	5,235,706	6,669,460
Federal Funds	911,443	285,130	500,000	408,400	-
Restricted Receipts	420,390	428,116	474,338	496,925	494,567
Internal Service Funds	[770,278]	[762,275]	[814,696]	[803,400]	[825,368]
Total Expenditures	\$6,819,947	\$7,031,773	\$6,495,579	\$6,141,031	\$7,164,027
FTE Authorization	58.0	55.0	57.0	57.0	57.0
Agency Measures					
Minorities as a Percentage of the Workforce	7.4%	12.5%	12.5%	12.5%	12.3%
Females as a Percentage of the Workforce	59.3%	57.1%	57.1%	57.1%	58.9%
Persons with Disabilities as a Percentage of the Workforce	-	-	1.8%	1.8%	1.8%

The Program

Secretary of State Administration

Program Operations

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office.

Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public.

Communications communicates the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

Program Objective

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

The Budget

Secretary of State Administration

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Administration	1,756,779	1,069,589	1,150,447	1,019,794	1,075,963
Personnel and Finance	-	411,266	448,525	435,827	463,237
Information Technology	-	355,171	305,165	386,043	408,376
Total Expenditures	\$1,756,779	\$1,836,026	\$1,904,137	\$1,841,664	\$1,947,576
Expenditures By Object					
Personnel	1,627,249	1,727,636	1,804,806	1,751,814	1,857,623
Operating Supplies and Expenses	113,200	96,620	90,905	83,700	85,812
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	976	976	976	976	976
Subtotal: Operating Expenditures	\$1,741,425	\$1,825,232	\$1,896,687	\$1,836,490	\$1,944,411
Capital Purchases and Equipment	15,354	10,794	7,450	5,174	3,165
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,756,779	\$1,836,026	\$1,904,137	\$1,841,664	\$1,947,576
Expenditures By Funds					
General Revenue	1,756,779	1,836,026	1,904,137	1,841,664	1,947,576
Total Expenditures	\$1,756,779	\$1,836,026	\$1,904,137	\$1,841,664	\$1,947,576
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State Corporations

Program Operations

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, non profit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database. On-line access is available at the Corporations Division's office in Providence or by phone or mail to the general public. This division has the authority to revoke corporate charters for failure to satisfy statutory requirements.

Additionally, the Corporations Division administers the following:

First Stop Business Information Center serves as a referral and information center for small business owners.

Uniform Commercial Code (UCC) processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expirations. It is also responsible for trademarks, service marks and trade names in the State of Rhode Island.

Program Objective

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, UCC filings, and Notary/Trademark applications and to provide requested information concerning the data from the program.

Statutory History

The functions of the Corporations Division are outlined in R.I.G.L. Title 7-1-1 through 7-16-75, and 7-1.2-132. The authority to enforce the Uniform Commercial Code (UCC) is granted in Section 6A-9-402 of the R.I.G.L.

The Budget

Secretary of State Corporations

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Corporations	1,914,051	1,544,149	1,548,909	1,515,710	1,572,739
First Stop Business Information	-	215,543	233,224	234,263	250,792
Total Expenditures	\$1,914,051	\$1,759,692	\$1,782,133	\$1,749,973	\$1,823,531
Expenditures By Object					
Personnel	1,394,328	1,388,341	1,458,054	1,417,699	1,486,354
Operating Supplies and Expenses	496,982	364,535	324,079	327,799	335,177
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,891,310	\$1,752,876	\$1,782,133	\$1,745,498	\$1,821,531
Capital Purchases and Equipment	22,741	6,816	-	4,475	2,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,914,051	\$1,759,692	\$1,782,133	\$1,749,973	\$1,823,531
Expenditures By Funds					
General Revenue	1,914,051	1,759,692	1,782,133	1,749,973	1,823,531
Total Expenditures	\$1,914,051	\$1,759,692	\$1,782,133	\$1,749,973	\$1,823,531
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State State Archives

Program Operations

The State Archives is the Division of the Department of State charged with preserving and maintaining the permanent records of state government. The "State Archives and Historical Records Act" created the State Archives as the "official custodian and trustee for the state of all public records of permanent historical, legal or other value". The collection of historical records, dating from 1638 to the present, is available to the public for research. The division operates a public reading room for the research, inspection and duplication of public records. Archives staff provides reference assistance to researchers at the Archives facility, by mail, phone and e-mail. Publications, guides and finding aids to the holdings are available onsite and through the Internet. The State Archives also features exhibits and displays that are free and open to the public.

The Division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to initiate a series of advisory and technical services for local governments in the state. The 1993 session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program. Currently, the program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with town and city clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines about records and records issues.

Program Objectives

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

Statutory History

The authority of the State Archives is granted from R.I.G.L. sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, and Public Law 1993, Chapters 385 and 417, and Public Law 2007, Chapter 73, Article 36.

The Budget

Secretary of State State Archives

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	346,384	316,446	328,778	301,333	316,447
Operating Supplies and Expenses	206,845	240,060	216,483	238,165	256,043
Aid To Local Units Of Government	-	-	-	33,350	-
Assistance, Grants and Benefits	-	32,233	-	-	-
Subtotal: Operating Expenditures	\$553,229	\$588,739	\$545,261	\$572,848	\$572,490
Capital Purchases and Equipment	4,189	3,506	9,161	4,161	2,161
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$557,418	\$592,245	\$554,422	\$577,009	\$574,651
Expenditures By Funds					
General Revenue	108,601	154,258	80,084	80,084	80,084
Federal Funds	28,427	9,871	-	-	-
Restricted Receipts	420,390	428,116	474,338	496,925	494,567
Total Expenditures	\$557,418	\$592,245	\$554,422	\$577,009	\$574,651
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State Elections and Civics

Program Operations

Elections and Civics is responsible for the preparation of both statewide and municipal elections. This preparation includes providing municipalities with necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification.

The Elections and Civics Division has the responsibility of certifying all federal and state candidates for ballot placement, and for receiving and maintaining files for local candidates ballot placement as certified by local canvassing authorities. Accordingly, Elections and Civics sets the ballot layout and prepares and provides all sample ballots and voting machine ballots as mandated by law. The preparation and printing of all sample ballots and related forms and applications are also the responsibility of Elections and Civics, as well the processing of mail ballots applications and the actual mailing of ballots.

Elections and Civics is also responsible for maintaining the new statewide central voter registration system (CVRS) as mandated under the federal Help America Vote Act (HAVA) that contains all voter information and related data. The CVRS is a real-time system that is accessed and updated by all 39 cities and towns. Elections and Civics is responsible for the training of all local users and the maintenance and support of the CVRS application and related applications as well as all related hardware provided to the cities and towns.

Elections and Civics also has the responsibility of preparing and distributing the Voter Handbook prior to each general election at which public questions are to be submitted to the voters.

Elections and Civics Division provides necessary voter assistance and education, including the publication of numerous guides for candidates, election officials, and voters. In addition to providing hard copy material, Elections and Civics provides ballot information, polling place locations, and copies of all publications on the World Wide Web.

Program Objective

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Statutory History

The Elections and Civics Division operates under Title 17 of the R.I.G.L

The Budget

Secretary of State Elections and Civics

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	857,608	823,270	855,237	793,481	912,925
Operating Supplies and Expenses	607,049	1,012,657	427,525	271,004	991,813
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	89,870	90,000	90,000	35,000	-
Subtotal: Operating Expenditures	\$1,554,527	\$1,925,927	\$1,372,762	\$1,099,485	\$1,904,738
Capital Purchases and Equipment	16,128	9,242	5,500	18,301	10,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,570,655	\$1,935,169	\$1,378,262	\$1,117,786	\$1,915,238
Expenditures By Funds					
General Revenue	687,639	1,659,910	878,262	709,386	1,915,238
Federal Funds	883,016	275,259	500,000	408,400	-
Total Expenditures	\$1,570,655	\$1,935,169	\$1,378,262	\$1,117,786	\$1,915,238
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State State Library

Program Operations

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. It operates and maintains the Legislative Reference Bureau, which provides information on state laws and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The State Library is open to the public.

Program Objectives

To effectively operate and maintain the library facilities in the State House and to provide timely reference and research services to the public.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7.

The Budget

Secretary of State State Library

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	356,633	371,662	383,233	368,509	387,287
Operating Supplies and Expenses	55,208	34,568	41,958	33,954	34,735
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	300,648	150,326	150,326	150,326	150,326
Subtotal: Operating Expenditures	\$712,489	\$556,556	\$575,517	\$552,789	\$572,348
Capital Purchases and Equipment	423	276	420	906	420
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$712,912	\$556,832	\$575,937	\$553,695	\$572,768
Expenditures By Funds					
General Revenue	712,912	556,832	575,937	553,695	572,768
Total Expenditures	\$712,912	\$556,832	\$575,937	\$553,695	\$572,768
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State Office of Public Information

Program Operations

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports, which are available to the public free of charge. The material can also be accessed through the Internet, where the Secretary of State has an "On-Line Office" on the World Wide Web at <http://www.state.ri.us>. Functions carried out by the Office of Public Information include:

Compiling information and maintaining a database on all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, committee and floor actions.

Compiling information and maintaining a database on all legislative hearings, including time and place of the meeting and lists of bills being considered.

Publishing the daily Legislative Meetings Report and the weekly Legislative Report which provides this information to the public.

Maintaining a list of lobbyists and overseeing the enforcement of the state's lobbying law.

Under the Administrative Procedures Act, the office is responsible for compiling the rules and regulations promulgated by each state agency.

Operating and maintaining a clearinghouse for information related to all public meetings in the State of Rhode Island relative to the Open Meetings Law.

Operating and maintaining the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals and other state publications.

Program Objective

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

The Budget

Secretary of State Office of Public Information

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	261,325	303,072	293,833	282,856	297,641
Operating Supplies and Expenses	45,591	45,926	5,675	15,828	30,768
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$306,916	\$348,998	\$299,508	\$298,684	\$328,409
Capital Purchases and Equipment	1,216	2,811	1,180	2,220	1,854
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$308,132	\$351,809	\$300,688	\$300,904	\$330,263
Expenditures By Funds					
General Revenue	308,132	351,809	300,688	300,904	330,263
Total Expenditures	\$308,132	\$351,809	\$300,688	\$300,904	\$330,263
Program Measures	NC	NC	NC	NC	NC

The Program

Secretary of State Internal Service Programs

Program Operations

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal or legal requirements. Public Records Administration personnel provide records management advice, assistance and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules-enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are available to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

Program Objective

The program provides cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration is granted by R.I.G.L. 38-1,3.

The Budget

Secretary of State Internal Service Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	401,795	400,793	430,414	412,319	429,330
Operating Supplies and Expenses	365,652	361,158	384,282	390,368	396,038
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$767,447	\$761,951	\$814,696	\$802,687	\$825,368
Capital Purchases and Equipment	2,831	324	-	713	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$770,278	\$762,275	\$814,696	\$803,400	\$825,368
Expenditures By Funds					
Internal Service Funds	770,278	762,275	814,696	803,400	825,368
Total Expenditures	\$770,278	\$762,275	\$814,696	\$803,400	\$825,368
Program Measures	NC	NC	NC	NC	NC

The Agency

Office of the General Treasurer

Agency Operations

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Rhode Island Refunding Bond Authority, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the Administrative Unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments Finance Division provides investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

Agency Objectives

The Treasurer's Office will continue to focus its efforts and activities on protecting the State's assets through sound financial investments and providing leadership and direction to strengthen the State's financial position. The Treasurer's Office assists families in investing funds for their children's higher education, and ensures that Rhode Islanders receive superior public service through all Treasury Programs,

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the victims of Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

The Budget

Office of the General Treasurer

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
General Treasury	2,830,435	2,621,556	2,819,654	2,646,827	2,789,811
State Retirement System	6,520,387	6,535,643	10,206,869	10,448,952	12,166,341
Unclaimed Property	28,252,979	14,339,074	15,051,045	16,232,146	15,293,903
Rhode Island Refunding Bond Authority	35,792	24,694	41,641	32,482	-
Crime Victim Compensation	2,022,502	1,862,428	2,617,423	2,614,370	2,450,119
Total Expenditures	\$39,662,095	\$25,383,395	\$30,736,632	\$31,974,777	\$32,700,174
Expenditures By Object					
Personnel	9,742,870	9,303,999	9,949,065	9,960,827	10,461,661
Operating Supplies and Expenses	28,144,722	14,444,697	15,385,125	16,614,593	15,514,806
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,734,995	1,595,592	2,344,000	2,334,430	2,163,000
Subtotal: Operating Expenditures	\$39,622,587	\$25,344,288	\$27,678,190	\$28,909,850	\$28,139,467
Capital Purchases and Equipment	39,508	39,107	3,058,442	3,064,927	4,560,707
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$39,662,095	\$25,383,395	\$30,736,632	\$31,974,777	\$32,700,174
Expenditures By Funds					
General Revenue	2,668,892	2,353,044	2,500,299	2,299,683	2,392,455
Federal Funds	799,601	783,113	1,293,540	1,276,605	1,111,550
Restricted Receipts	35,987,392	22,088,138	26,740,503	28,184,315	28,973,185
Other Funds	206,210	159,100	202,290	214,174	222,984
Total Expenditures	\$39,662,095	\$25,383,395	\$30,736,632	\$31,974,777	\$32,700,174
FTE Authorization	88.0	83.0	83.0	83.0	83.0
Agency Measures					
Minorities as a Percentage of the Workforce	13.3%	13.3%	10.3%	10.3%	10.3%
Females as a Percentage of the Workforce	61.5%	61.5%	61.5%	61.5%	61.5%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.2%	1.2%	1.2%	1.2%

The Program

Office of the General Treasurer General Treasury

Program Operations

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Investments, and the Business Office.

Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the office.

Administration Division: This division provides the administrative support to the entire department including the Retirement System in terms of overall leadership for matters dealing with personnel, budgeting, financial controls, legal advice and management information systems.

Investment Division: This division manages the state's borrowing and investments, provides daily fiduciary services to all state government, monitors investment managers for compliance with state guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and fund transfers, provides reports to the Investment Commission on investment performance, and implements commission policy. Furthermore, this division is proactive in debt issuance and debt management and has hired a professional to do the bond arbitrage calculations and ensure that the state is in compliance with I.R.S. requirements.

Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of state bank accounts both to the bank statement balances and to the controller's records. Additionally, this division laser prints vendor and non-vendor checks and electronically transmits issues to the appropriate banking institutions.

Program Objective

To continue improvement of services to the public and provide leadership and direction including implementation and monitoring of the Treasury's Strategic Plan, to enable Treasury to perform its functions more effectively and efficiently. To expand the use of technology with more effective utilization of banking services; and to improve the management of cash collection, investment and disbursement of systems.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program.

The Budget

Office of the General Treasurer General Treasury

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Policy	707,350	611,625	696,271	619,052	650,765
Administrative Operations	142,785	123,667	161,297	131,797	136,475
Business Office	1,401,622	1,338,801	1,313,231	1,320,074	1,373,639
Investments	578,678	547,463	648,855	575,904	628,932
Total Expenditures	\$2,830,435	\$2,621,556	\$2,819,654	2,646,827	2,789,811
Expenditures By Object					
Personnel	2,332,891	2,174,839	2,278,222	2,138,281	2,272,769
Operating Supplies and Expenses	467,074	437,807	528,760	495,874	504,370
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	12,000	-	-	-	-
Subtotal: Operating Expenditures	\$2,811,965	\$2,612,646	\$2,806,982	\$2,634,155	\$2,777,139
Capital Purchases and Equipment	18,470	8,910	12,672	12,672	12,672
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,830,435	\$2,621,556	\$2,819,654	\$2,646,827	\$2,789,811
Expenditures By Funds					
General Revenue	2,387,117	2,241,269	2,367,841	2,178,614	2,301,840
Federal Funds	236,524	221,187	249,523	254,039	264,987
Other Funds	206,794	159,100	202,290	214,174	222,984
Total Expenditures	\$2,830,435	\$2,621,556	\$2,819,654	\$2,646,827	\$2,789,811
Program Measures					
Business Days Required to Issue					
Replacement Check	3	3	3	3	3

The Program

Office of the General Treasurer State Retirement System

Program Operations

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit Program for those teachers who are not covered by Social Security.

The system is divided into three main areas: Member Services, Finance and Administration. Member services is responsible for the coordinated delivery of services to the membership. It counsels members on a daily basis, provides outreach counseling from October to May at various sites across the state, and is responsible for group benefit presentations. A new call center, staffed in FY 2008, further increases this area's responsiveness to ERSI members.

Finance is responsible for the accounting of the system's assets and is responsible for member bookkeeping. It calculates all retirement allowances and purchase requests and maintains the system's retiree pension payroll. The retiree unit within the Finance department handles all retiree inquiries. Finally, the Data Processing unit within that same division tracks all participant information and prepares yearly information for periodic actuarial valuations.

Administration includes the Executive Director, Assistant Executive Director and The Communications unit. It is responsible for all relations with the system's 15-member retirement board. It also oversees the delivery of communication to the system's active and retired membership and all other interested parties.

Program Objectives

In addition to statutory mandates, the major program objectives of Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 8.25 percent.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws.

The Budget

Office of the General Treasurer State Retirement System

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	5,708,635	5,660,706	6,198,821	6,367,745	6,681,525
Operating Supplies and Expenses	667,427	703,375	820,228	885,567	788,396
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	126,950	147,103	158,000	158,000	163,000
Subtotal: Operating Expenditures	\$6,503,012	\$6,511,184	\$7,177,049	\$7,411,312	\$7,632,921
Capital Purchases and Equipment	17,375	24,459	3,029,820	3,037,640	4,533,420
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$6,520,387	\$6,535,643	\$10,206,869	\$10,448,952	\$12,166,341
Expenditures By Funds					
Restricted Receipts	6,520,971	6,535,643	10,206,869	10,448,952	12,166,341
Other Funds	(584)	-	-	-	-
Total Expenditures	\$6,520,387	\$6,535,643	\$10,206,869	\$10,448,952	\$12,166,341
Program Measures					
Return on Pension Portfolio	-5.39%	-19.10%	8.25%	8.25%	8.25%

The Program

Office of the General Treasurer Unclaimed Property

Program Operations

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of the division is to return this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities. Beginning in FY 2008, the program enlists field auditors from the Department of Revenue to assist in locating unclaimed property during the regular course of their audit functions.

Program Objectives

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners. The program recently engaged dozens of field auditors from the Division of Taxation to assist in identifying and recouping unclaimed property during the regular course of business audits.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 1992-93 legislative sessions.

The Budget

Office of the General Treasurer Unclaimed Property

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,299,286	1,089,997	1,081,456	1,066,734	1,129,274
Operating Supplies and Expenses	26,951,651	13,245,053	13,958,589	15,154,412	14,153,629
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$28,250,937	\$14,335,050	\$15,040,045	\$16,221,146	\$15,282,903
Capital Purchases and Equipment	2,042	4,024	11,000	11,000	11,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$28,252,979	\$14,339,074	\$15,051,045	\$16,232,146	\$15,293,903
Expenditures By Funds					
Restricted Receipts	28,252,979	14,339,074	15,051,045	16,232,146	15,293,903
Total Expenditures	\$28,252,979	\$14,339,074	\$15,051,045	\$16,232,146	\$15,293,903
Program Measures					
Timeliness of Payments	30	10	7	7	7

The Program

Office of the General Treasurer Rhode Island Refunding Bond Authority

Program Operations

The Authority was created in 1987 as a public corporation, having a distinct legal existence from the State and not constituting a department of State government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The Authority is authorized to issue bonds and notes, secured solely by its revenues, derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, subject to annual appropriation.

The Rhode Island Refunding Bond Authority Program is eliminated in FY 2011 because the two general obligation bond issues that were refunded by the Authority will be paid off in FY 2010.

Program Objectives

To ensure prompt payment of outstanding debts of the Authority.

Statutory History

Title 35 Chapter 8.1 of the Rhode Island General Laws created the Authority.

The Budget

Office of the General Treasurer Rhode Island Refunding Bond Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	27,743	21,098	32,651	23,492	-
Operating Supplies and Expenses	8,049	3,596	8,990	8,990	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$35,792	\$24,694	\$41,641	\$32,482	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$35,792	\$24,694	\$41,641	\$32,482	-
Expenditures By Funds					
General Revenue	35,792	24,694	41,641	32,482	-
Total Expenditures	\$35,792	\$24,694	\$41,641	\$32,482	-
Program Measures	NA	NA	NA	NA	NA

The Program

Office of the General Treasurer Crime Victim Compensation

Program Operations

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

Program Objectives

The Program instituted a pay-as-you go system under the 1999 amendment to the Act, has shortened claim processing times. The debt reduction plan, targeting the accumulated unfunded liability of unpaid claims, concluded in FY 2005. The program completed final payments to Station Fire victims during FY 2006. Recent amendments to the statute allow for psychiatric care for parents, spouses, siblings and children of crime victims. Other compensation includes funeral, medical and counseling payments to victims and their families. The maximum award for each crime incident victim is \$25,000.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program, the most recent amendments going into effect as of September, 2009.

The Budget

Office of the General Treasurer Crime Victim Compensation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	374,315	357,359	357,915	364,575	378,093
Operating Supplies and Expenses	50,521	54,866	68,558	69,750	68,411
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,596,045	1,448,489	2,186,000	2,176,430	2,000,000
Subtotal: Operating Expenditures	\$2,020,881	\$1,860,714	\$2,612,473	\$2,610,755	\$2,446,504
Capital Purchases and Equipment	1,621	1,714	4,950	3,615	3,615
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,022,502	\$1,862,428	\$2,617,423	\$2,614,370	\$2,450,119
Expenditures By Funds					
General Revenue	245,983	87,081	90,817	88,587	90,615
Federal Funds	563,077	561,926	1,044,017	1,022,566	846,563
Restricted Receipts	1,213,442	1,213,421	1,482,589	1,503,217	1,512,941
Total Expenditures	\$2,022,502	\$1,862,428	\$2,617,423	\$2,614,370	\$2,450,119
Program Measures					
Number of Business Days Required to Process and Pay Claims to Victims of Violent Crimes from Award Notice	14	9	9	9	9

The Agency

Board of Elections

Agency Operations

The Board of Elections supervises all elections and state and local referenda. The board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The board oversees voter registration and public education activities and provides all registration materials used in the State. The board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The board's responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

Agency Objectives

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board", which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

The Budget

Board of Elections

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,512,065	1,786,043	1,717,338	1,246,764	1,677,542
Operating Supplies and Expenses	418,277	256,752	132,803	131,913	217,049
Aid To Local Units Of Government	-	-	-	3,500	2,000,000
Assistance, Grants and Benefits	-	-	-	-	25,000
Subtotal: Operating Expenditures	\$1,930,342	\$2,042,795	\$1,850,141	\$1,382,177	\$3,919,591
Capital Purchases and Equipment	(3,849)	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	76	-	-	-
Total Expenditures	\$1,926,493	\$2,042,871	\$1,850,141	\$1,382,177	\$3,919,591
Expenditures By Funds					
General Revenue	1,315,331	1,547,547	1,600,141	1,161,596	3,919,591
Federal Funds	611,162	495,324	250,000	220,581	-
Total Expenditures	\$1,926,493	\$2,042,871	\$1,850,141	\$1,382,177	\$3,919,591
FTE Authorization	14.0	12.0	12.0	12.0	12.0
Agency Measures					
Minorities as Percentage of the Workforce	14.0%	18.8%	18.8%	18.8%	18.8%
Females as Percentage of the Workforce	42.9%	37.5%	37.5%	37.5%	37.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures	NS	NS	NS	NS	NS

The Agency

Rhode Island Ethics Commission

Agency Operations

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Agency Objectives

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

The Budget

Rhode Island Ethics Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,183,251	1,199,767	1,256,562	1,234,904	1,300,765
Operating Supplies and Expenses	152,727	144,665	173,875	174,635	177,635
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,335,978	\$1,344,432	\$1,430,437	\$1,409,539	\$1,478,400
Capital Purchases and Equipment	7,051	5,293	7,293	7,293	7,293
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,343,029	\$1,349,725	\$1,437,730	\$1,416,832	\$1,485,693
Expenditures By Funds					
General Revenue	1,343,029	1,349,725	1,437,730	1,416,832	1,485,693
Total Expenditures	\$1,343,029	\$1,349,725	\$1,437,730	\$1,416,832	\$1,485,693
FTE Authorization	12.0	12.0	12.0	12.0	12.0
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	58.9%	58.3%	58.3%	58.3%	58.3%
Persons with Disabilities as a Percentage of the Workforce	8.3%	8.3%	16.6%	16.6%	16.6%
Program Measures					
Percentage of Investigations Completed within 180 Days of Filing	87.5%	95.4%	90.0%	90.0%	90.0%
The Percentage of Advisory Opinion Requests Responded to within 30 Days of Receipt	52.4%	76.4%	75.0%	75.0%	75.0%

The Agency

Office of the Governor

Agency Operations

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Municipal Affairs/Community Relations Office. The Governor initiated the Fiscal Fitness Program to review and evaluate the proficiency of all RI State agencies to result in a fiscally sound budget for the State of Rhode Island.

Agency Objectives

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island and provide oversight on the expenditure of and reporting on American Recovery and Reinvestment Act (ARRA) funds.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Donald L. Carcieri began on January 7, 2003.

The Budget

Office of the Governor

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,652,359	4,816,565	5,157,934	5,237,884	5,692,827
Operating Supplies and Expenses	290,798	322,414	317,950	350,764	347,135
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	5,121	22,000	250,000	250,000	250,000
Subtotal: Operating Expenditures	\$4,948,278	\$5,160,979	\$5,725,884	\$5,838,648	\$6,289,962
Capital Purchases and Equipment	9,602	10,880	11,500	78,629	11,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	70,578	70,578
Total Expenditures	\$4,957,880	\$5,171,859	\$5,737,384	\$5,987,855	\$6,371,540
Expenditures By Funds					
General Revenue	4,957,880	4,627,388	5,106,754	4,730,441	4,872,994
Federal Funds	-	544,471	630,630	-	-
Restricted Receipts	-	-	-	1,257,414	1,498,546
Total Expenditures	\$4,957,880	\$5,171,859	\$5,737,384	\$5,987,855	\$6,371,540
FTE Authorization	45.0	39.0	43.0	46.0	46.0
Agency Measures					
Minorities as a Percentage of the Workforce	8.7%	8.7%	9.5%	9.5%	8.8%
Females as a Percentage of the Workforce	54.3%	51.7%	57.1%	57.1%	53.3%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures	NA	NA	NA	NA	NA

The Agency

Commission for Human Rights

Agency Operations

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, disability, ancestral origin, religion, age, sexual orientation and gender identity/expression. The housing and credit statutes also prohibit discrimination based on marital status, familial status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a *prima facie* case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

Agency Objective

To enforce state and federal anti-discrimination laws.

Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

The Budget

Commission for Human Rights

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,074,229	1,139,522	1,175,274	1,074,068	1,126,561
Operating Supplies and Expenses	266,482	233,665	249,473	244,421	247,005
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,340,711	\$1,373,187	\$1,424,747	\$1,318,489	\$1,373,566
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,340,711	\$1,373,187	\$1,424,747	\$1,318,489	\$1,373,566
Expenditures By Funds					
General Revenue	951,872	918,462	1,016,242	961,874	1,016,877
Federal Funds	388,839	454,725	408,505	356,615	356,689
Total Expenditures	\$1,340,711	\$1,373,187	\$1,424,747	\$1,318,489	\$1,373,566
FTE Authorization	14.5	14.5	14.5	14.5	14.5
Agency Measures					
Minorities as a Percentage of the Workforce	40.0%	40.0%	42.9%	42.9%	42.9%
Females as a Percentage of the Workforce	66.7%	66.7%	64.3%	64.3%	64.3%
Persons with Disabilities as a Percentage of the Workforce	33.3%	33.3%	35.7%	35.7%	35.7%
Program Measures					
Average Number of Business Days from Receipt of Intake Questionnaire to Official Charge	42	38	38	38	38

The Agency

Public Utilities Commission

Agency Operations

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapters 42-98.

The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates; and all public utility equity and debt issuances.

Agency Objectives

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation, and cooperate with other states and federal government agencies to coordinate efforts to meet the above objectives.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers. RIGL 39-1-4 added two more commissioners effective January 1, 2004. These appointments are yet to take effect.

The Budget

Public Utilities Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,801,593	5,097,697	6,472,007	6,355,708	6,729,267
Operating Supplies and Expenses	503,459	485,654	940,187	918,986	947,052
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	57,478	336	337	337	337
Subtotal: Operating Expenditures	\$5,362,530	\$5,583,687	\$7,412,531	\$7,275,031	\$7,676,656
Capital Purchases and Equipment	70,754	51,915	-	47,000	50,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$5,433,284	\$5,635,602	\$7,412,531	\$7,322,031	\$7,726,656
Expenditures By Funds					
General Revenue	475,034	-	-	-	-
Federal Funds	70,662	67,758	103,600	203,864	296,330
Restricted Receipts	4,887,588	5,567,844	7,308,931	7,118,167	7,430,326
Total Expenditures	\$5,433,284	\$5,635,602	\$7,412,531	\$7,322,031	\$7,726,656
FTE Authorization	45.0	44.0	44.0	46.0	46.0
Agency Measures					
Minorities as a Percentage of the Workforce	11.1%	11.1%	11.3%	11.3%	11.3%
Females as a Percentage of the Workforce	36.5%	36.5%	39.5%	39.5%	38.6%
Persons with Disabilities as a Percentage of the Workforce	2.2%	2.2%	2.2%	2.2%	2.2%
Program Measures					
Percentage of Consumer Services Offered that Meet Completion Schedules	86.0%	84.0%	84.0%	84.0%	84.0%
Percentage of Formal Written Reports of Motor Carrier Applications Completed within 60 Business Days of Filing	80.0%	96.0%	92.0%	92.0%	92.0%
Percentage of Consumer Inquiries related to Cable Service resolved within Sixty Days	100.0%	100.0%	100.0%	100.0%	98.0%

The Agency

Rhode Island Commission on Women

Agency Operations

The Rhode Island Commission on Women is a State agency established by the General Assembly to improve opportunities for women and girls to achieve equity in all areas of life. The Commission studies and makes recommendations for improvements, including legislative, regulatory, and administrative actions and issues related to equal rights for women. In carrying out its responsibilities, the Commission works in cooperation with other governmental and private organizations to promote equity in areas including, but not limited to, the following: education, health, economic development, employment, legal rights, political participation and the quality of individual and family.

The Commission consists of 26 members, including 3 each from the House and Senate, 12 public members appointed by the Governor, and 8 members appointed by the State agency directors. State agency participation may vary from year to year, depending on identification of priorities by the Commissioners. The Commission carries out its responsibilities through standing and ad hoc committees, the membership of which consists of appointed Commissioners and numerous volunteers dedicated to the rights of women and girls. The Governor does not recommend financing for the Rhode Island Commission on Women past February 27, 2010.

Agency Objectives

To advise and submit recommendations to the Governor and the General Assembly on issues relating to women and girls;

To gather and disseminate information to women and girls and/or the general public on issues relating to women and girls and to develop and/or support programs or services for women and girls consistent with the purpose of the Commission;

To collaborate with concerned organizations, groups and State departments and agencies on issues of common concern;

To educate and encourage women to exercise the right to vote. To encourage candidacy for public office and promote the appointment of qualified women to boards, commissions and governmental positions at all levels;

To advise legislators by evaluating, monitoring and proposing Federal and State legislation promoting equity for women and girls.

Statutory History

In 1970 the General Assembly established a nonpartisan organization designated the Permanent Advisory Commission on Women in Rhode Island. After 22 years of status as an advisory commission, in 1992 the General Assembly amended the General Laws of Rhode Island (Title 42, Chapter 119) to restructure the membership of the Commission and to change its status from an advisory body to an agency of Rhode Island Government charged with policy and action responsibilities.

The Budget

Rhode Island Commission on Women

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	95,164	98,152	99,416	64,297	-
Operating Supplies and Expenses	8,784	12,719	8,046	4,973	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,005	1,448	2,000	-	-
Subtotal: Operating Expenditures	\$105,953	\$112,319	\$109,462	\$69,270	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$105,953	\$112,319	\$109,462	\$69,270	-
Expenditures By Funds					
General Revenue	105,953	112,319	109,462	69,270	-
Total Expenditures	\$105,953	\$112,319	\$109,462	\$69,270	-
FTE Authorization	1.0	1.0	1.0	1.0	
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%	-
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Annual Increase of Community Outreach Work Products as a Percentage of Baseline Year	145.0%	150.0%	150.0%	150.0%	NA
Contacts Made to the RI Comm. on Women's Website as a Percentage of Baseline Year	311.0%	429.7%	150.0%	150.0%	NA

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Human Services

Office of Health and Human Services

Department of Children, Youth and Families

- Central Management
- Children's Behavioral Health Services
- Juvenile Correctional Services
- Child Welfare
- Higher Education Incentive Grants

Department of Elderly Affairs

Department of Health

- Central Management
- State Medical Examiner
- Environmental and Health Services Regulation
- Health Laboratories
- Public Health Information
- Community and Family Health and Equity
- Infectious Disease and Epidemiology

Department of Human Services

- Central Management
- Child Support Enforcement
- Individual and Family Support
- Veterans' Affairs
- Health Care Quality, Financing and Purchasing
- Medical Benefits
- Supplemental Security Income
- Family Independence
- State Funded Programs

Department of Mental Health, Retardation, & Hospitals

- Central Management
- Hospitals and Community System Support
- Services for the Developmentally Disabled
- Behavioral Healthcare Services
- Hospitals and Community Rehabilitative Services
- Substance Abuse
- Internal Service Programs

Office of the Child Advocate

Commission on the Deaf and Hard of Hearing

Governor's Commission on Disabilities

Office of the Mental Health Advocate

Human Services Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	421,384,605	364,321,173	411,254,449	398,221,212	391,732,395	399,798,585
Operating Supplies and Expenses	69,234,261	74,206,385	83,362,180	81,720,715	83,930,725	77,003,863
Aid to Local Units of Government	-	-	-	-	-	-
Assistance, Grants, and Benefits	2,228,886,040	2,251,249,119	2,324,420,513	2,324,420,513	2,432,595,152	2,458,929,321
Subtotal: Operating Expenditures	\$2,719,504,906	\$2,689,776,677	\$2,819,037,142	\$2,804,362,440	\$2,908,258,272	\$2,935,731,769
Capital Purchases and Equipment	3,265,545	5,110,384	18,373,799	18,373,799	16,422,948	18,953,859
Debt Service	-	-	-	-	-	-
Operating Transfers	8,201,703	11,246,349	6,080,347	6,080,347	5,379,737	5,404,737
Total Expenditures	\$2,730,972,154	\$2,706,133,410	\$2,843,491,288	\$2,828,816,586	\$2,930,060,957	\$2,960,090,365
Expenditures by Funds						
General Revenue	1,258,044,422	1,049,437,868	1,031,749,031	1,017,074,329	1,030,950,988	1,038,555,860
Federal Funds	1,434,564,414	1,613,092,462	1,751,892,093	1,751,892,093	1,842,423,250	1,857,595,753
Restricted Receipts	29,324,767	34,318,441	42,102,859	42,102,859	41,951,293	47,309,178
Other Funds	9,038,551	9,284,639	17,747,305	17,747,305	14,735,426	16,629,574
Total Expenditures	\$2,730,972,154	\$2,706,133,410	\$2,843,491,288	\$2,828,816,586	\$2,930,060,957	\$2,960,090,365
FTE Authorization	4,020.9	3,474.1	3,589.1	3,589.1	3,602.6	3,602.6

The Agency

Office of Health and Human Services

Agency Operations

The Executive Office of Health and Human Services (EOHHS) serves as “the principal agency of executive branch of state government” responsible for managing the departments of: Health; Human Services; Children, Youth and Families; Elderly Affairs; and, Mental Health, Retardation and Hospitals (R.I.G.L. 47-7.2-2) Together, these five agencies provide an array of health and human services and supports that touch the lives of all Rhode Island’s residents at some point in time and at a cost of nearly \$2.9 billion per year, or almost forty percent of the entire state budget.

The chief focus of the EOHHS over the last year has been implementation of the Global Consumer Choice Waiver, Rhode Island’s innovative Medicaid reform initiative. The Global Waiver complements ongoing efforts, mandated by statute, to consolidate and streamline core agency functions within EOHHS while establishing a consumer centered integrated system of health and human services. Over the last fiscal year, efforts to centralize within EOHHS components these functions has continued and are proceeding apace despite a period of inaction due to leadership changes.

As required under 42-7.2-6.1(9)(e), the EOHHS is developing a plan for submission to state policymakers that restructures functional responsibilities across departments. The plan will include multiple components with the potential to achieve greater efficiency in the organization, finance, design and delivery of services. The centralization of certain functions will modernize existing systems, leverage available resources, and streamline service delivery and payment systems. The expectation is that this process will yield savings in SFY2011 by eliminating areas of duplication and overlap, assisting in filling personnel gaps, reducing substantially overhead costs. Until the plan is approved, however, it is not appropriate for the EOHHS’s budget for SFY 2011 to include an estimate of savings that may accrue to the state as result of the proposed reorganization.

Agency Objectives

To manage the organization, design and delivery of health and human services; to develop and implement an efficient and accountable system of high quality, integrated health and human services.

Statutory History

Title 42 Chapter 7.2 of the Rhode Island General Laws established the Executive Office of Health and Human Services within the Executive Branch of state government.

The Budget

Office of Health and Human Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,392,346	5,449,081	9,200,183	7,963,511	7,204,640
Operating Supplies and Expenses	18,353	88,229	190,506	74,382	71,107
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,430,842	1,331,631	-	-	-
Subtotal: Operating Expenditures	\$3,841,541	\$6,868,941	\$9,390,689	\$8,037,893	\$7,275,747
Capital Purchases and Equipment	6,659	206,704	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$3,848,200	\$7,075,645	\$9,390,689	\$8,037,893	\$7,275,747
Expenditures By Funds					
General Revenue	363,333	3,434,394	3,621,896	3,323,828	3,528,201
Federal Funds	3,168,914	2,989,143	4,484,003	3,853,419	2,873,533
Restricted Receipts	315,953	652,108	1,284,790	860,646	874,013
Total Expenditures	\$3,848,200	\$7,075,645	\$9,390,689	\$8,037,893	\$7,275,747
FTE Authorization	6.0	85.1	74.1	75.6	75.6
Agency Measures					
Minorities as a Percentage of Workforce	-	-	-	-	2.4%
Females as a Percentage of Workforce	80.0%	100.0%	100.0%	100.0%	54.8%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

*Due to the centralization of the legal, purchasing, and budgeting functions within EOHHS, the postponement of transferring positions from other human service agencies, and attrition, the EEO measures are indeterminate for FY 2009 and FY 2010.

The Agency

Department of Children, Youth and Families

Agency Operations

The Department of Children, Youth and Families is charged to mobilize the human, physical, and financial resources available to plan, develop, implement, and evaluate a comprehensive and integrated statewide program of services designed to ensure the opportunity for children to reach their full potential.

It is the department's philosophy that parents have the primary responsibility for meeting the needs of their children. When parents are unable to do so, all services to the child and family are premised upon the safety and best interest of the child and the promotion of community-based responsibility/involvement in servicing its own children/families. When departmental support is necessary and appropriate, services are provided in the least intrusive, least restrictive manner possible.

The department addresses its responsibilities through its Child Welfare, Children's Behavioral Health and Education, Juvenile Correctional Services, and Higher Education Incentive Grant Programs, which are guided and assisted by a leadership support program identified as Central Management.

The Child Welfare Program consists of child protective investigations, community-based services, and residential services delivered to dependent, neglected or abused children, or children at risk of abuse or neglect.

The Children's Behavioral Health and Education Program designs, implements, and monitors a continuum of therapeutic services to seriously emotionally disturbed children and youth.

The Juvenile Correctional Services Program provides services to youngsters who have been adjudicated as wayward or delinquent by the Family Court and determined to be in need of placement at the Training School for Youth, placement in a less structured community residential setting, or supervision in the community while residing at home.

The Higher Education Incentive Grant is designed to encourage youth to pursue educational goals beyond high school and to provide added incentive to achieve a high school diploma.

Statutory History

In 1979, the General Assembly enacted Title 42 Chapter 72 of the Rhode Island General Laws. This legislation created the Department for Children and Their Families effective January 1, 1980. The enabling legislation transferred to the department certain functions from the Departments of Human Services, Corrections, Mental Health, Retardation and Hospitals, and Community Affairs. In 1991, the General Assembly amended Title 42 Chapter 72 to read "Department of Children, Youth and Families."

The Budget

Department of Children, Youth and Families

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Program					
Central Management	10,068,194	7,075,233	7,352,149	6,352,365	6,788,678
Children's Behavioral Health Services	29,791,614	24,510,003	21,574,661	26,431,939	24,751,050
Juvenile Correctional Services	30,526,274	34,472,204	36,157,617	34,581,727	35,749,955
Child Welfare	156,397,148	183,703,959	182,465,228	174,469,963	169,404,961
Higher Education Incentive Grants	200,000	199,628	200,000	200,000	200,000
Total Expenditures	\$226,983,230	\$249,961,027	\$247,749,655	\$242,035,994	\$236,894,644
Expenditures By Object					
Personnel	79,444,641	72,752,362	77,016,594	69,929,722	75,291,151
Operating Supplies and Expenses	8,269,087	8,714,427	9,003,418	8,919,211	8,332,511
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	138,603,967	167,829,307	160,291,569	162,313,262	151,146,002
Subtotal: Operating Expenditures	\$226,317,695	\$249,296,096	\$246,311,581	\$241,162,195	\$234,769,664
Capital Purchases and Equipment	665,535	664,931	1,438,074	873,799	2,124,980
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$226,983,230	\$249,961,027	\$247,749,655	\$242,035,994	\$236,894,644
Expenditures By Funds					
General Revenue	151,491,614	161,307,641	158,822,427	154,127,876	155,086,402
Federal Funds	72,217,463	85,846,952	85,504,945	85,263,506	77,912,449
Restricted Receipts	2,731,750	2,232,511	2,203,059	2,005,793	2,005,793
Other Funds	542,403	573,923	1,219,224	638,819	1,890,000
Total Expenditures	\$226,983,230	\$249,961,027	\$247,749,655	\$242,035,994	\$236,894,644
FTE Authorization	788.5	694.0	700.0	700.0	700.0
Agency Measures					
Minorities as a Percentage of the Workforce	14.2%	20.0%	20.0%	20.0%	20.0%
Females as a Percentage of the Workforce	65.1%	66.0%	66.0%	66.0%	66.0%
Persons with Disabilities as a Percentage of the Workforce	5.0%	1.0%	1.0%	1.0%	1.0%

The Program

Department of Children, Youth and Families Central Management

Program Operations

The Central Management Program consists of a variety of sub-programs including executive functions and legal and administrative services.

The executive functions include administrative and operational direction, planning, management, and evaluation of overall departmental operations.

Administrative services include financial management, personnel, staff development and training, management information systems, and licensing and regulation. A centralized on-line database system, the Statewide Automated Child Welfare Information System (SACWIS), supports all investigatory and case management functions of the department and other critical administrative functions.

Legal services includes departmental representation in all Family Court proceedings relating to child abuse and neglect, commitment trials and termination of parental rights trials, as well as, civil litigation in other state and federal courts, and administrative and labor tribunals. This service also provides on-going legal consultation to the Director, administrators, and line staff involved in Family Court proceedings.

Program Objectives

Provide leadership and direction in the development and implementation of an efficient and productive system of service delivery to Rhode Island's children and families.

Provide consistent legal representation and consultation to all departmental staff involved in court proceedings.

Provide comprehensive, integrated management services to assure fiscal integrity and accurate data collection and interpretation.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families.

The Budget

Department of Children, Youth and Families Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Support Services	5,213,139	3,464,392	3,300,762	2,812,455	3,094,657
Office of Budget	1,259,843	818,921	1,020,188	908,467	976,610
Information Systems	2,513,534	1,999,575	2,132,096	1,752,415	1,806,291
Office of the Director	1,081,678	792,345	899,103	879,028	911,120
Total Expenditures	\$10,068,194	\$7,075,233	\$7,352,149	\$6,352,365	\$6,788,678
Expenditures By Object					
Personnel	8,427,364	4,875,673	5,650,274	4,725,211	5,167,451
Operating Supplies and Expenses	1,547,838	2,155,143	1,569,371	1,604,907	1,598,980
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,147	1,950	1,147	1,147	1,147
Subtotal: Operating Expenditures	\$9,976,349	\$7,032,766	\$7,220,792	\$6,331,265	\$6,767,578
Capital Purchases and Equipment	91,845	42,467	131,357	21,100	21,100
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$10,068,194	\$7,075,233	\$7,352,149	\$6,352,365	\$6,788,678
Expenditures By Funds					
General Revenue	7,200,952	4,809,535	5,077,434	4,538,227	4,827,869
Federal Funds	2,867,242	2,265,698	2,274,715	1,814,138	1,960,809
Total Expenditures	\$10,068,194	\$7,075,233	\$7,352,149	\$6,352,365	\$6,788,678
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Children, Youth and Families Children's Behavioral Health Services

Program Operations

The Children's Behavioral Health and Education Services Program is primarily responsible for the design, implementation, and monitoring of a continuum of therapeutic services to seriously emotionally disturbed children, youth and families. Children placed in the custody of the department due to abuse, neglect or dependency, as well as, children in the custody of their parents or legal guardians are eligible for these services. Most of these services, with the exception of psychiatric hospitalization, are provided by non-profit agencies and delivered in community-based settings. Coordination and local planning is facilitated through the Child and Adolescent Services System (Project/CASSP), which sponsors a Local Coordinating Council (LCC) representing all children/youth and serving agencies within each region of the State. Each LCC supports planning teams which coordinate children's behavioral health services and provides funding for non-traditional services on a case-by-case basis. Children's Intensive Services provides a comprehensive array of community-based mental health services designed to reduce the need for inpatient care and to support severely emotionally disturbed children and their families in community settings.

In addition, the division is partnering with Contracts and Program Development and the community mental health centers, in convening Care Management Teams. These teams, composed of DCYF staff, community partners, and parents, utilize the continuum of resources from community-based to residential treatment services in planning for the least-restrictive appropriate level of care for children with serious treatment needs.

Program Objectives

Design and implement a comprehensive array of therapeutic services to meet the needs of seriously emotionally disturbed children and youth; expand and enhance the capacity of local communities and regions to meet these needs within their geographic areas; provide services in the least restrictive environment utilizing inpatient psychiatric care and residential treatment only until a child can return to a community-based service.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Law defines the functions of the Department of Children, Youth and Families; Title 40.1 Chapter 5 describes the provisions relating to mental health laws. The act creating the LCC sub-program was 98-H-8122, Substitute A.

The Budget

Department of Children, Youth and Families Children's Behavioral Health Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Children's Mental Health	25,640,288	21,518,107	18,835,135	22,672,515	22,167,070
Local Coordinating Council	2,465,115	1,589,925	803,028	854,157	704,157
CBH Educational Services	1,686,211	1,401,971	1,936,498	2,905,267	1,879,823
Total Expenditures	\$29,791,614	\$24,510,003	\$21,574,661	\$26,431,939	\$24,751,050
Expenditures By Object					
Personnel	2,807,048	2,012,324	2,615,527	1,812,043	2,522,379
Operating Supplies and Expenses	140,781	106,540	174,486	290,314	291,511
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	26,843,785	22,391,139	18,150,424	24,263,127	21,112,160
Subtotal: Operating Expenditures	\$29,791,614	\$24,510,003	\$20,940,437	\$26,365,484	\$23,926,050
Capital Purchases and Equipment	-	-	634,224	66,455	825,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$29,791,614	\$24,510,003	\$21,574,661	\$26,431,939	\$24,751,050
Expenditures By Funds					
General Revenue	15,802,960	12,145,214	10,687,390	11,494,754	11,753,857
Federal Funds	13,988,654	12,364,789	10,253,047	14,870,730	12,172,193
Other Funds	-	-	634,224	66,455	825,000
Total Expenditures	\$29,791,614	\$24,510,003	\$21,574,661	\$26,431,939	\$24,751,050
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Children, Youth and Families Juvenile Correctional Services

Program Operations

The Juvenile Correctional Services Program consists of three sub-programs providing varying levels of service to wayward and delinquent youngsters.

Institutional programming is conducted at the Rhode Island Training School for Youth. The facility provides a secure, structured residential program for adjudicated delinquents and those awaiting trial on serious offenses. Residents undergo an assessment at intake into the facility and are programmed accordingly. Services include case management, education, recreation, and a selection of contracted or purchased services (e.g. medical, drug and alcohol counseling, violent offender/sex offender therapy).

Community-based programming (Probation and Parole) includes both residential and non-residential services. Non-residential services are provided directly by departmental staff as well as through purchase of services or contracts. Community-based residential services are available for adjudicated youngsters who require residential care but not a setting as secure and structured as the Training School. These services are provided via contractual and purchase of service arrangements.

Educational services are provided to all Rhode Island Training School residents, both detained and adjudicated. Residents are grouped by academic levels and/or individual education plan specifications. The services adhere to all Rhode Island Department of Education regulations.

Program Objectives

Provide secure and structured residential programming for adjudicated juvenile offenders and those awaiting trial.

Increase the use of community-based programming thereby limiting the need for long-term placement in the Training School.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 42 Chapter 56 provides for the Training School for Youth; Title 14 Chapter 1 relates to the power of the court to order disposition of a juvenile.

The Budget

Department of Children, Youth and Families Juvenile Correctional Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Institutional Services	18,271,865	18,990,127	19,616,007	18,526,949	18,883,343
Juvenile Probation & Parole	7,929,015	11,269,818	12,200,165	11,850,533	12,432,556
RITS - Education Program	4,325,394	4,212,259	4,341,445	4,204,245	4,434,056
Total Expenditures	\$30,526,274	\$34,472,204	\$36,157,617	\$34,581,727	\$35,749,955
Expenditures By Object					
Personnel	24,365,092	23,694,598	25,349,574	23,785,347	24,774,124
Operating Supplies and Expenses	1,510,693	2,098,147	1,947,103	1,858,279	1,783,917
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,630,890	8,433,866	8,835,223	8,928,990	9,182,803
Subtotal: Operating Expenditures	\$30,506,675	\$34,226,611	\$36,131,900	\$34,572,616	\$35,740,844
Capital Purchases and Equipment	19,599	245,593	25,717	9,111	9,111
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$30,526,274	\$34,472,204	\$36,157,617	\$34,581,727	\$35,749,955
Expenditures By Funds					
General Revenue	30,171,535	31,882,918	34,117,789	31,714,051	32,778,330
Federal Funds	331,680	2,393,972	2,016,769	2,867,676	2,971,625
Restricted Receipts	23,059	195,314	23,059	-	-
Other Funds	-	-	-	-	-
Total Expenditures	\$30,526,274	\$34,472,204	\$36,157,617	\$34,581,727	\$35,749,955
Program Measures					
Percentage of Adjudicated and Detained Training School Youth Passing the General Education Development Exam					
	86.0%	88.0%	89.0%	89.0%	89.0%
Percentage of Adjudicated Training School Youth Admitted During the Fiscal Year After Release within the Prior 12 months					
	20.0%	20.0%	20.0%	20.0%	20.0%

The Program

Department of Children, Youth and Families Child Welfare

Program Operations

The Child Welfare Program consists of several major sub-programs including Child Protective Services, Family Services, Community-Based Services, and Residential Services.

Child Protective Services is responsible for receiving and investigating allegations of child abuse/ neglect throughout Rhode Island. This division operates 24-hours a day, seven days a week. When allegations of abuse or neglect are substantiated, the investigator must determine what actions are necessary in order to assure the safety and well being of the child. Sometimes it is necessary to remove a child from the home, but much more frequently services are provided to the child and family in order to bring the family to a higher level of functioning. Sometimes these services are provided by department social workers who operate out of one of four regional offices and sometimes by private, non-profit community agencies.

When a child requires out of home care, every effort is made to place him/her with relatives or others known to him/her. When a child requires a level of care beyond foster care, there is an array of services available from community-based group homes to intensive residential treatment.

The department also supports prevention and early intervention programs to address the issues and problems which lead to child abuse/neglect and to provide services so that family functioning does not deteriorate to a point where DCYF intervention becomes necessary.

Program Objectives

Protect children through the timely investigation of child abuse and neglect complaints.

Maintain the family unit when possible through the provision of services, which improves family functioning.

Aggressively pursue permanency through the provision of reunification and adoption services for children who have to be removed from their home.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 40 Chapter 11 relates to abused and neglected children; Title 14 Chapter 1 relates to proceedings in Family Court.

The Budget

Department of Children, Youth and Families Child Welfare

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Protective Services	18,767,153	20,485,267	23,604,524	22,374,220	23,261,535
Family Services	16,883,407	14,903,029	17,169,374	13,859,325	15,812,424
Community Services	8,877,540	10,402,089	5,662,599	6,579,978	5,688,716
Prevention Services	15,112,919	8,860,071	8,613,076	9,705,529	9,247,961
Board & Care	67,602,278	99,267,928	112,152,713	102,790,211	96,147,070
Foster Care	29,153,851	29,785,575	15,262,942	19,160,700	19,247,255
Total Expenditures	\$156,397,148	\$183,703,959	\$182,465,228	\$174,469,963	\$169,404,961
Expenditures By Object					
Personnel	43,845,137	42,169,767	43,401,219	39,607,121	42,827,197
Operating Supplies and Expenses	5,069,775	4,354,597	5,312,458	5,165,711	4,658,103
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	106,928,145	136,802,724	133,104,775	128,919,998	120,649,892
Subtotal: Operating Expenditures	\$155,843,057	\$183,327,088	\$181,818,452	\$173,692,830	\$168,135,192
Capital Purchases and Equipment	554,091	376,871	646,776	777,133	1,269,769
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$156,397,148	\$183,703,959	\$182,465,228	\$174,469,963	\$169,404,961
Expenditures By Funds					
General Revenue	98,116,167	112,270,346	108,739,814	106,180,844	105,526,346
Federal Funds	55,029,887	68,822,493	70,960,414	65,710,962	60,807,822
Restricted Receipts	2,708,691	2,037,197	2,180,000	2,005,793	2,005,793
Other Funds	542,403	573,923	585,000	572,364	1,065,000
Total Expenditures	\$156,397,148	\$183,703,959	\$182,465,228	\$174,469,963	\$169,404,961
Program Measures					
Percentage of Children in Foster Care for Less than 12 Months Who Have Experienced Two or Fewer Placements	83.0%	86.0%	86.5%	86.5%	86.5%
Percentage of Children Experiencing a Recurrence of Abuse and/or Neglect	9.7%	9.5%	9.0%	9.0%	9.0%
Percentage of Children Reunified with Parents or Caretaker within 12 Months	65.3%	66.0%	66.8%	66.8%	66.8%
Percentage of Children Re-entering Foster Care within 12 months of a Previous Placement	24.5%	25.0%	25.5%	25.5%	25.5%
Percentage of Children Adopted within 24 Months of Removal from Home	38.0%	38.5%	40.0%	40.0%	40.0%

The Program

Department of Children, Youth and Families Higher Education Incentive Grants

Program Operations

The Department of Children, Youth and Families is responsible for assisting older youth under its care and custody to become self-sufficient and be prepared for transition to independence.

One important means of assisting youth in becoming independent is to encourage education to the highest degree possible. Higher Education Incentive Grants have as their purpose to provide added incentive to achieve a high school diploma and to encourage youth to pursue educational goals beyond high school.

Program Objectives

The main objective is to encourage and assist more youngsters in departmental care to consider and perceive higher education as a viable option, and to allow them the same opportunities that are afforded youth from other families.

Statutory History

Chapter 511, PL 99-S 282, Substitute A amended Section 1, Title 42 provides funds to supplement financial aid to youth who are in the department's custody and who have been accepted at the University of Rhode Island, Community College of Rhode Island, or Rhode Island College to allow these students to pay essential educational costs without loans.

The Budget

Department of Children, Youth and Families Higher Education Incentive Grants

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	200,000	199,628	200,000	200,000	200,000
Subtotal: Operating Expenditures	\$200,000	\$199,628	\$200,000	\$200,000	\$200,000
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$200,000	\$199,628	\$200,000	\$200,000	\$200,000
Expenditures By Funds					
General Revenue	200,000	199,628	200,000	200,000	200,000
Total Expenditures	\$200,000	\$199,628	\$200,000	\$200,000	\$200,000
Program Measures	NA	NA	NA	NA	NA

The Agency

Department of Elderly Affairs

Agency Operations

The Department of Elderly Affairs is the designated State Agency on Aging for Rhode Island. As such, the department is responsible for the development and implementation of a comprehensive, coordinated system of community-based care for persons sixty years of age and older and adults with disabilities. The department is headed by a director who is appointed by the Governor. Departmental responsibilities include developing and implementing a State Plan on Aging under the Federal Older Americans Act (OAA) and serving as the state's Single Planning and Service Area on Aging under the Older Americans Act. The primary focus of the Department of Elderly Affairs is to preserve the independence, dignity and capacity for choice of seniors, their families and caregivers. The department is the lead state agency advocate to protect and preserve the rights of older individuals; operates services designed to assist seniors in remaining independent in the community and administers and funds a wide range of community programs, activities and services. Some services are provided directly by the department staff, but many are provided through a strong and coordinated community-based network of senior centers, adult day centers, nutrition programs, senior housing and assisted living facilities, home care, advocacy groups, the Aging and Disability Resource Center (The POINT), Community Information Specialists (statewide regional POINTS), and other community partners. The department's grants management staff and DEA Program staff coordinate the efforts and activities of the State Aging Network through the allocation and monitoring of federal and state funds.

Agency Objectives

As outlined in the department's State Plan on Aging under the Older Americans Act, (OAA) and in the relevant laws and policies of the State of Rhode Island, the department is dedicated to providing leadership and advocacy in emerging elder issues. The department is committed to providing services that are consumer-focused, high quality and easily accessible. The department will continue to enhance and implement a comprehensive coordinated system of elder service delivery that expands the options for community-based care for all older Rhode Islanders, their families, caregivers and adults with disabilities. The department will work to strengthen programs and services that enable older Rhode Islanders to live an independent, healthy and dignified lifestyle while continuing to make meaningful contributions in the community. DEA will also continue to serve as the statewide advocacy agency for the needs of elders and adults with disabilities.

Statutory History

The Department of Elderly Affairs was created in 1977. R.I.G.L. 42-66 established and provides for the organization and functions of the department. Federal authority rests under the Older Americans Act of 1965 as amended.

The Budget

Department of Elderly Affairs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Administrative Services	1,390,257	1,011,014	1,019,226	930,745	998,252
Program Services	31,031,170	29,568,036	22,969,062	25,898,744	24,493,420
RIPAE	1,961,841	1,607,421	1,534,878	1,619,930	1,779,015
Total Expenditures	\$34,383,268	\$32,186,471	\$25,523,166	\$28,449,419	\$27,270,687
Expenditures By Object					
Personnel	3,572,954	2,804,101	2,944,341	2,900,030	3,115,366
Operating Supplies and Expenses	77,198	197,771	619,623	752,056	485,488
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	22,554,211	21,018,171	21,847,563	24,676,374	23,571,330
Subtotal: Operating Expenditures	\$26,204,363	\$24,020,043	\$25,411,527	\$28,328,460	\$27,172,184
Capital Purchases and Equipment	(22,798)	14,340	111,639	120,959	98,503
Debt Service	-	-	-	-	-
Operating Transfers	8,201,703	8,152,088	-	-	-
Total Expenditures	\$34,383,268	\$32,186,471	\$25,523,166	\$28,449,419	\$27,270,687
Expenditures By Funds					
General Revenue	16,969,063	14,056,863	9,920,687	9,940,985	10,806,690
Federal Funds	11,980,485	12,831,308	15,210,364	18,149,650	16,333,157
Restricted Receipts	956,578	850,000	392,115	358,784	130,840
Other Funds	4,477,142	4,448,300	-	-	-
Total Expenditures	\$34,383,268	\$32,186,471	\$25,523,166	\$28,449,419	\$27,270,687
FTE Authorization	44.0	32.0	31.0	31.0	31.0
Agency Measures					
Minorities as a Percentage of the Workforce	6.5%	6.5%	6.5%	6.5%	6.5%
Females as a Percentage of the Workforce	89.0%	93.0%	93.0%	93.0%	93.0%
Persons with Disabilities as a Percentage of the Workforce	12.0%	10.0%	10.0%	10.0%	10.0%
Program Measures					
Percentage of Elderly Abuse Involving the Same Victim	33.2%	36.0%	54.2%	39.0%	42.1%
Self-Neglect Percentage of Reports Involving the Same Victim	48.1%	39.0%	38.8%	31.6%	25.6%
Percentage of Elder Abuse and Self-Neglect Reports Involving the Same Victim following Early Intervention	17.6%	10.1%	6.2%	6.2%	6.2%

The Agency

Department of Health

Agency Operations

“Safe and Healthy Lives in Safe and Healthy Communities”! All the programs and services of the Rhode Island Department of Health contribute to this one vision. It organizes and prioritizes the department’s response to threats to public health and measures its accomplishments.

The Department of Health embraces multi-faceted and broad-ranging public health responsibilities at the state and local level. Its mission is “to prevent disease and to protect and promote the health and safety of the people of Rhode Island.” To reach its objectives the department employs services and policies based on the science of public health epidemiology.

The Department of Health includes seven programs: Central Management; Office of the State Medical Examiner; Environmental and Health Services Regulation; Health Laboratories; Public Health Information; Community and Family Health and Equity; and Infectious Disease and Epidemiology.

Program Objectives

The Rhode Island Department of Health consists of eight “core functions”, including:

- Assuring safe food and water supply; responding to emergencies
- Controlling infectious and communicable disease; promoting health and controlling chronic disease, injury, and disabilities; and assuring positive pregnancy outcomes
- Monitoring the health of the population and maintaining a public health knowledge-base; assuring health care quality and minimum standards, and competency of health facilities and professional licensees
- Assisting high-risk populations to needed health services; developing insights through research and leading the development of health policy and planning

Statutory History

The Department of Health was established by Section 23-1-1 of the General Laws of Rhode Island. This section states that “the Department of Health shall take cognizance of the interests of life and health among the peoples of the state; shall make investigations into the causes of disease, the prevalence of epidemics and endemics among the people, the sources of mortality, the effect of localities, employments and all other conditions, ascertain the causes and the best means for the prevention and control of diseases or conditions detrimental to the public health, and adopt proper and expedient measures to prevent and control such diseases and conditions in Rhode Island. It shall publish and circulate, from time to time, such information as the Director may deem to be important and useful for diffusion among people of Rhode Island, and shall investigate, and give advice in relation to such subjects relating to public health as may be referred to it by the General Assembly or by the Governor when the General Assembly is not in session, or when requested by any city or town. The department shall adopt and promulgate such rules and regulations as it deems necessary, not inconsistent with law, to carry out the purposes of this section.”

The Budget

Department of Health

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	15,058,314	13,143,968	13,576,490	23,940,891	13,632,390
State Medical Examiner	2,154,700	1,912,783	2,574,989	2,962,866	2,865,845
Environmental & Health Services Reg.	14,746,197	13,882,494	16,058,712	16,293,913	16,981,648
Health Laboratories	7,472,070	6,841,559	7,979,912	8,406,658	8,400,435
Public Health Information	3,872,445	2,884,928	4,294,023	3,500,415	3,578,868
Community & Family Health & Equity	79,240,708	79,928,152	83,352,478	84,175,795	62,600,660
Infectious Disease & Epidemiology	4,007,575	3,598,302	4,474,187	4,627,275	4,505,983
Total Expenditures	\$126,552,009	\$122,192,186	\$132,310,791	\$143,907,813	\$112,565,829
Expenditures By Object					
Personnel	65,910,760	47,089,140	56,141,122	62,461,037	56,045,420
Operating Supplies and Expenses	20,854,640	33,801,019	34,401,542	38,199,534	33,549,053
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	39,184,772	40,564,369	41,159,432	41,420,795	21,728,101
Subtotal: Operating Expenditures	\$125,950,172	\$121,454,528	\$131,702,096	\$142,081,366	\$111,322,574
Capital Purchases and Equipment	601,837	737,658	608,695	1,826,447	1,243,255
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$126,552,009	\$122,192,186	\$132,310,791	\$143,907,813	\$112,565,829
Expenditures By Funds					
General Revenue	29,985,420	26,238,737	29,554,572	28,502,412	28,572,462
Federal Funds	80,827,914	75,887,694	77,831,370	89,610,560	58,814,531
Restricted Receipts	15,692,703	19,955,652	24,693,437	25,688,580	25,082,953
Other Funds	45,972	110,103	231,412	106,261	95,883
Total Expenditures	\$126,552,009	\$122,192,186	\$132,310,791	\$143,907,813	\$112,565,829
FTE Authorization	437.1	409.6	414.6	428.7	416.7
Agency Measures					
Minorities as a Percentage of the Workforce	13.1%	14.1%	16.0%	16.0%	16.0%
Females as a Percentage of the Workforce	67.3%	68.0%	69.0%	69.0%	63.0%
Persons with Disabilities as a Percentage of the Workforce	1.3%	1.5%	1.5%	1.5%	0.3%

The Program

Department of Health Central Management

Program Operations

Central Management includes three sub-programs: Executive Functions, Management Services, and Emergency Preparedness and Response. The Executive Function, headed by the Director of Health, provides overall direction for the department and coordinates operations across program lines to carry out statutory mandates. The director and senior management establish critical policy issues intended to guide the development of public health policies, programs, and services including assuring that the quality and access to health care services are maintained in the face of rapid change; developing and maintaining the knowledge base of public health and use information to improve health; enhancing community capacity and structure so that communities will improve their health; eliminating disparities in health among populations; and developing support for public health.

Management Services administers Budget and Finance, Personnel, and Information Systems. Budget and Finance prepares and executes the departmental budget and performs allocation control and cash management of all accounts. Budget and Finance is also responsible for purchasing and support services, which manages all purchasing and facility maintenance functions in the department. The Personnel Office implements all personnel actions and labor relations functions. Information Systems plans, evaluates, implements and manages data processing and electronic communications systems.

Emergency Preparedness and Response provides a coordination of education, assessment, planning, response and support services involving public health providers, private medical providers, public safety agencies and government officials to create and promote a state of readiness and prompt response to protect the health of Rhode Island during catastrophic events, large-scale disasters and emergencies.

Program Objectives

Prevent disease; protect and promote the health and safety of the people of Rhode Island; maintain an effective and efficient system for management of the department; maintain a knowledge base for public health policy, planning and program development; and inform and educate the public concerning the health of the state population.

Statutory History

General authority is provided in Title 23 Chapter 1 of the Rhode Island General Laws. The Public Health Emergency Preparedness funding is authorized under the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. Public Law 107-188, enacts Section 319C of the Public Health Service Act, 42 U.S.C. 247d-3a. The Hospital Preparedness Program is authorized under Public Health Services Act Sections 301, 307, 311 and 319, public Law 108-111, U.S.C. 247d-3.

The Budget

Department of Health Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Executive Functions	825,795	1,932,356	1,075,996	1,106,698	1,210,242
Management Services	4,055,621	3,799,165	2,240,222	3,325,654	3,227,254
Emergency Preparedness & Response	10,176,898	7,412,447	10,260,272	19,508,539	9,194,894
Total Expenditures	\$15,058,314	\$13,143,968	\$13,576,490	\$23,940,891	\$13,632,390
Expenditures By Object					
Personnel	6,446,624	7,361,368	7,311,535	14,856,219	8,566,375
Operating Supplies and Expenses	4,550,307	2,696,858	4,153,810	7,509,954	3,661,297
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,632,028	2,780,880	2,052,645	715,718	715,718
Subtotal: Operating Expenditures	\$14,628,959	\$12,839,106	\$13,517,990	\$23,081,891	\$12,943,390
Capital Purchases and Equipment	429,355	304,862	58,500	859,000	689,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$15,058,314	\$13,143,968	\$13,576,490	\$23,940,891	\$13,632,390
Expenditures By Funds					
General Revenue	1,808,679	2,302,054	1,811,914	1,842,616	1,802,160
Federal Funds	9,177,622	7,727,987	9,260,272	18,954,206	9,194,894
Restricted Receipts	4,072,013	3,113,927	2,504,304	3,144,069	2,635,336
Total Expenditures	\$15,058,314	\$13,143,968	\$13,576,490	\$23,940,891	\$13,632,390
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Health – State Medical Examiner

Program Operations

The Office of the State Medical Examiner investigates causes of death that involve injury, are sudden, unexpected, and unexplained; or causes of death that may, in any way, endanger the public health and safety. Investigations cover all known or suspected homicides, suicides, accidents, sudden infant deaths, drug related deaths and medically unattended deaths. Investigation techniques include scene investigation, study of medical and police records, autopsy, body inspection, bodily fluid investigation and other tests as deemed necessary. The Medical Examiner's Office keeps complete records on all cases and provides expert testimony on criminal cases for the state law enforcement agencies and the courts. The Office of the State Medical Examiner is required by statute to approve all cremations performed in Rhode Island.

Other functions of the Office include: participation in mass disaster preparedness protocol development and training; research in forensic pathology; education and training of resident and fellow physicians; training law enforcement personnel in techniques of homicide investigations and disseminating public information about causes of death in the State.

Program Objectives

- Investigate and determine the manner and circumstance of death and to properly and accurately certify the cause of death in cases under Medical Examiner jurisdiction as defined by statute.
- Promote safety and reduce untimely deaths through research and education.
- Maintain a knowledge base for public health and use information to improve health status.
- Control infectious and communicable disease.
- Assure that the quality of health care services is maintained in the face of rapid change.

Statutory History

The Office of the State Medical Examiners is authorized under Title 23 Chapter 4 of the Rhode Island General Laws.

The Budget

Department of Health State Medical Examiner

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,929,988	1,658,950	2,211,584	2,561,248	2,591,969
Operating Supplies and Expenses	195,723	222,626	235,905	259,118	258,876
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$2,125,711	\$1,881,576	\$2,447,489	\$2,820,366	\$2,850,845
Capital Purchases and Equipment	28,989	31,207	127,500	142,500	15,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,154,700	\$1,912,783	\$2,574,989	\$2,962,866	\$2,865,845
Expenditures By Funds					
General Revenue	1,999,900	1,775,777	2,439,972	2,727,741	2,653,336
Federal Funds	154,800	137,006	135,017	235,125	212,509
Total Expenditures	\$2,154,700	\$1,912,783	\$2,574,989	\$2,962,866	\$2,865,845
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Health Environmental and Health Services Regulation

Program Operations

The Division of Environmental Health Services Regulation licenses, certifies, and enforces regulations relating to health care professionals and facilities, managed care organizations and health systems development; and protects and promotes the public's health in the areas of drinking water quality, food protection and radiological health. Also, complaints from any source are investigated and, if substantiated, appropriate compliance action is initiated. The division is organized by responsibilities for licensure, oversight, and regulatory functions by category of licensure. It consists of the following sub-programs: Associate Director, Drinking Water Quality, Food Protection, Health Professionals Regulation, Facilities Regulations, Radiological Health, and Managed Care.

- Office of Associate Director: provides leadership and direction to all other sub-programs
- Drinking Water Quality: assures compliance with Safe Drinking Water Act of public drinking water supplies and oversees public water system infrastructure
- Food Protection: assures the safety and quality of the food supply from harvest to consumer as well as the water quality of the state's public bathing beaches.
- Health Professional Regulations: protects the public from unscrupulous health professionals by ensuring compliance with standards and ethics
- Facilities Regulations: visits on a periodic basis healthcare facilities to assure compliance with both state and federal public laws, rules, and regulations.
- Radiological Health: inspects, on a periodic basis, x-ray equipment and the facilities housing them to prevent, if not eliminate, the hazards of radioactive exposure.
- Managed Care: enforces terms and conditions of state's laws, rules and regulations regarding Health Maintenance Organizations (HMOs), utilization review agencies, and health plans, including the provisions of the programs of Certificate of Need", Change in Effective Control and Initial Licensure

Program Objectives

- Direct and coordinate the Department's health services regulatory activities; Assure minimum standards and competency of health facilities, managed care organizations, and professional licensees; promote high quality health care services through licensed health care facilities, licensed health care professionals, certified managed care organizations, and health plans.
- Assure the appropriate use of drugs and other controlled substances through enforcement, compliance, and regulatory activities; assure that the quality of health care services is maintained in the face of rapid change
- Inform and educate the public of licensee information, nursing home quality information and restaurant inspections through the Department's web site
- Protect and promote health and prevent disease by assuring the safety and quality of the food supply from harvest to consumer.
- Protect public health by assuring that public drinking water supplies comply with the standards of the Safe Drinking Water Act.
- Assure water quality at Rhode Island's public bathing beaches.

Statutory History

Authorization for programs within Environmental and Health Services Regulation is contained in Titles 2, 5, 21, 23, 28, 31, 42 and 46 of the Rhode Island General Laws.

The Budget

Department of Health Environmental and Health Services Regulation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Associate Director	1,319,185	1,253,990	1,599,322	1,650,289	1,672,508
Drinking Water Quality	2,831,261	2,712,382	3,726,274	3,876,157	3,894,106
Food Protection	2,477,553	2,562,040	2,785,608	2,834,662	2,891,402
Health Professionals Regulation	2,815,791	2,518,625	3,210,871	2,726,296	3,137,013
Facilities Regulations	4,933,726	4,654,131	4,565,899	4,876,870	5,048,696
Radiological Health	42,139	32,546	41,154	54,922	55,532
Managed Care	326,542	148,780	129,584	274,717	282,391
Total Expenditures	\$14,746,197	\$13,882,494	\$16,058,712	\$16,293,913	\$16,981,648
Expenditures By Object					
Personnel	13,033,610	11,940,100	13,874,182	13,351,861	14,083,032
Operating Supplies and Expenses	1,296,740	1,579,382	1,248,495	1,962,818	1,918,624
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	333,437	237,658	606,410	606,410	606,410
Subtotal: Operating Expenditures	\$14,663,787	\$13,757,140	\$15,729,087	\$15,921,089	\$16,608,066
Capital Purchases and Equipment	82,410	125,354	329,625	372,824	373,582
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$14,746,197	\$13,882,494	\$16,058,712	\$16,293,913	\$16,981,648
Expenditures By Funds					
General Revenue	8,230,716	7,683,958	8,914,730	8,207,293	8,885,009
Federal Funds	4,004,510	3,756,940	3,811,915	4,432,452	4,426,864
Restricted Receipts	2,510,971	2,441,596	3,332,067	3,654,168	3,669,775
Total Expenditures	\$14,746,197	\$13,882,494	\$16,058,712	\$16,293,913	\$16,981,648
Program Measures					
Percent of Population Served by Public Water Systems in Full Compliance	42.0%	86.6%	85.0%	85.0%	85.0%
Number of Food Borne Illness per 100,000 Population	28.8	25.7	26.0	26.0	26.0
Percent of Licenses Reviewed Online	49.0%	61.0%	75.0%	75.0%	95.0%
Percent of Nursing Home Intakes Investigated Within Prioritized Timeframes	98.0%	85.0%	90.0%	90.0%	95.0%

The Program

Department of Health Health Laboratories

Program Operations

The Health Laboratories assist in monitoring the public's health and safety through modern scientific laboratory services. These services include surveillance testing for early detection of diseases such as tuberculosis, rabies, West Nile Virus, HIV and other sexually transmitted diseases, pertussis, and other vaccine preventable diseases as well as pathogens and chemical substances which may represent a terrorism threat; surveillance and testing for lead poisoning; analysis of food products, drinking water, surface water, and air for the presence of potentially toxic environmental contaminants; analysis of evidence obtained during the investigation of crimes such as homicide, sexual assault, drug trafficking, and drunk driving. Health Laboratories staff provide expert testimony in court and respond to public health emergencies such as man-made and natural disasters and epidemics in nature.

The State Health Laboratories are members of national networks of laboratories, designed to develop laboratory preparedness for acts of terrorism. Currently, laboratories participate in the Laboratory Response Network (LRN) and Food Emergency Response Network (FERN) administered by and partially funded by the Centers for Disease Control (CDC) and Food and Drug Administration (FDA).

In addition to assisting Health Department programs, the division provides support to the Office of the Attorney General, the RI Training School, the Department of Environmental Management, the Department of Corrections; state and municipal law enforcement agencies, hospitals, private laboratories, community health centers and other health care professionals. Many of these laboratory services generate revenues that are deposited into the General Fund.

Program Objectives

- Protect the public health and safety through the application of modern scientific test methods
- Assure that the quality of all laboratory services is maintained even with diminishing resources
- Develop support for public health laboratory programs through clear and accurate communications
- Maintain an effective laboratory emergency preparedness and response programs
- Continue to measure and improve customer satisfaction with laboratory services

Statutory History

Authorization for the Laboratories Program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

The Budget

Department of Health Health Laboratories

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Associate Director	1,665,282	1,371,805	1,611,693	1,826,214	1,802,323
Forensic Sciences	2,225,294	2,329,361	3,066,627	2,716,440	2,837,683
Environmental Sciences	2,035,030	1,437,402	1,782,954	1,795,074	1,889,661
Biological Sciences	1,546,464	1,702,991	1,518,638	2,068,930	1,870,768
Total Expenditures	\$7,472,070	\$6,841,559	\$7,979,912	\$8,406,658	\$8,400,435
Expenditures By Object					
Personnel	6,214,450	5,347,691	6,390,401	6,424,992	6,639,407
Operating Supplies and Expenses	1,250,152	1,333,359	1,560,811	1,735,866	1,740,428
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	65	-	100	100	100
Subtotal: Operating Expenditures	\$7,464,667	\$6,681,050	\$7,951,312	\$8,160,958	\$8,379,935
Capital Purchases and Equipment	7,403	160,509	28,600	245,700	20,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$7,472,070	\$6,841,559	\$7,979,912	\$8,406,658	\$8,400,435
Expenditures By Funds					
General Revenue	6,566,542	5,985,098	7,079,007	7,100,740	7,213,548
Federal Funds	905,528	856,461	900,905	1,305,918	1,186,887
Total Expenditures	\$7,472,070	\$6,841,559	\$7,979,912	\$8,406,658	\$8,400,435
Program Measures					
Percentage of Wastewater Proficiency Test Results Found Acceptable	97.1%	96.8%	95.0%	95.0%	95.0%
Percentage of Human Specimen Test Results Found Acceptable	97.7%	99.1%	99.1%	99.1%	99.2%

The Program

Department of Health Public Health Information

Program Operations

The Public Health Information (PHI) Division responds to the public's need and desire for information to protect and promote their health and to effectively utilize health care services. It consists of three units: Center for Health Data and Analysis, Vital Records, and Health Information Technology.

Program Objectives

- The mission of the Center for Health Data and Analysis is to coordinate and oversee all efforts within the Department of Health and between the department and its external partners related to the assurance of health data quality and the provision of health-related data and analysis to inform health policy, monitor health status and health care quality, and support public health initiatives.
- Vital Records is responsible for maintaining the State's vital records system; collecting, analyzing and reporting of data pertaining to births, deaths, marriages, divorces, and other health related statistics; and the issuing of certified copies of vital records.
- Health Information Technology is responsible for developing a state-wide health information exchange (HIE) system. HIE will promote the adoption of electronic medical records, e-prescribing, and developing strategies to use the data to improve the quality and safety of care and for public health purposes.

Statutory History

Authorization for programs within Public Health Information is contained in Title 23, chapters 1, 3, 4, 17 and 77 and more specifically at RIGL 23-1-1, 23-1-5.5, 23-1-9, 23-1-36, 23-3-27, 23-4-14, 23-17.12-12, 23-17.17-5, and 23-77-5.

The Budget

Department of Health Public Health Information

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Center for Health Data and Analysis	582,665	706,463	953,454	867,310	953,773
Vital Records	1,618,589	1,227,342	1,575,349	1,577,505	1,693,095
Health Information Technology	1,671,191	951,123	1,765,220	1,055,600	932,000
Total Expenditures	\$3,872,445	\$2,884,928	\$4,294,023	\$3,500,415	\$3,578,868
Expenditures By Object					
Personnel	3,424,786	2,690,743	3,832,845	3,173,277	3,268,573
Operating Supplies and Expenses	446,169	194,185	448,858	316,318	299,475
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$3,870,955	\$2,884,928	\$4,281,703	\$3,489,595	\$3,568,048
Capital Purchases and Equipment	1,490	-	12,320	10,820	10,820
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$3,872,445	\$2,884,928	\$4,294,023	\$3,500,415	\$3,578,868
Expenditures By Funds					
General Revenue	1,778,405	1,566,231	1,945,787	1,805,125	2,007,602
Federal Funds	2,094,367	1,318,890	2,348,236	1,695,290	1,571,266
Restricted Receipts	(377)	-	-	-	-
Other Funds	50	(193)	-	-	-
Total Expenditures	\$3,872,445	\$2,884,928	\$4,294,023	\$3,500,415	\$3,578,868
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Health Community and Family Health and Equity

Program Operations

The Division of Community, Family Health and Equity (DCFHE) provides leadership, planning, and infrastructure to the Department of Health's efforts to eliminate health disparities; assure healthy child development, and reduce, prevent and control chronic diseases and disabilities and risk factors, as well as HIV/AIDS and Viral Hepatitis. The division also plans, develops, and evaluates programs and family-centered systems of care, which are comprehensive, community-based, culturally competent, coordinated and effective. The division achieves its goals and objectives through seven sub-programs: 1) Office of Associate Director, 2) Health Disparities and Access to Care; 3) Healthy Homes and Environments; 4) Chronic Care and Disease Management; 5) Health Promotion and Wellness; 6) Perinatal and Early Childhood; and 7) Preventive Services and Community Practices.

- Office of Associate Director: provides leadership and direction to all sub-programs
- Health Disparities and Access to Care: plans, develops and implements programs to eliminate health disparities for certain sub-cultural groups, populations with special needs and in rural areas
- Healthy Homes and Environments: protects the health and safety of children and families by decreasing, if not eliminating, environmental hazards such as lead
- Chronic Care and Disease Management: utilize a systems approach to chronic care management to improve health outcomes
- Health Promotion and Wellness: reduces and prevents diseases and disabilities amongst school-aged children through education, training, outreach and intervention
- Perinatal and Early Childhood Health: provides access to a system of quality maternal and child health and developmental services
- Preventive Services and Community Practices: reduces the incidence of health problems or disease prevalence in the community, or the personal risk factors for such diseases or conditions

Program Objectives

- Ensuring that all populations have equal access to high quality health services
- Establishing and strengthening partnerships to enhance and improve public health at the community, provider and consumer levels
- Developing and promoting healthy homes and environments for families through training, education, and outreach
- Engaging and empowering parents through their involvement in public health policy and program development
- Developing new models of health care capacity that connects community primary health and mental health providers to nutrition, child care and education
- Improve women's health and pregnancy outcomes through training, education, outreach and partnerships with communities and reproductive health professionals and facilities

Statutory History

Authorization for Community and Family Health and Equity is contained in Title 1, 35, 56 and 76 of the Rhode Island General Laws.

The Budget

Department of Health Community and Family Health and Equity

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Associate Director	4,147,288	3,616,822	5,160,380	5,046,930	5,117,840
Health Disparities and Access	1,454,620	1,184,012	1,533,297	1,594,907	1,644,018
Healthy Homes and Environment	3,199,685	2,606,744	3,781,638	3,588,629	3,559,954
Chronic Care and Disease Management	12,505,418	13,869,065	15,436,175	15,169,744	13,757,538
Health Promotion and Wellness	4,086,688	3,807,822	4,892,133	5,995,711	6,170,928
Prenatal and Early Childhood	27,511,989	28,472,143	30,923,617	32,900,373	12,485,331
Preventative Svcs and Community Practices	26,335,020	26,371,544	21,625,238	19,879,501	19,865,051
Total Expenditures	\$79,240,708	\$79,928,152	\$83,352,478	\$84,175,795	\$62,600,660
Expenditures By Object					
Personnel	32,222,203	15,765,025	19,873,073	19,467,834	18,352,973
Operating Supplies and Expenses	12,578,190	27,205,822	25,703,915	25,303,728	24,592,398
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	34,406,470	36,870,197	37,736,340	39,255,630	19,562,936
Subtotal: Operating Expenditures	\$79,206,863	\$79,841,044	\$83,313,328	\$84,027,192	\$62,508,307
Capital Purchases and Equipment	33,845	87,108	39,150	148,603	92,353
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$79,240,708	\$79,928,152	\$83,352,478	\$84,175,795	\$62,600,660
Expenditures By Funds					
General Revenue	7,465,848	5,215,581	5,089,737	4,525,879	3,656,196
Federal Funds	62,618,842	60,202,146	59,174,263	60,653,312	40,070,739
Restricted Receipts	9,110,096	14,400,129	18,857,066	18,890,343	18,777,842
Operating Transfers from Other Funds	45,922	110,296	231,412	106,261	95,883
Total Expenditures	\$79,240,708	\$79,928,152	\$83,352,478	\$84,175,795	\$62,600,660
Program Measures					
No. of Births per 1,000 Teen aged 15-17 in RI Core Cities	41.5	39.5	38	38	37.5
Community & Family Health & Equity (DOH)/Planning Number of Children with Blood Levels greater than 10ug/dl for the 1st Time in Their Lives	348	321	315	315	300
Percent of Low Income Women Infants ever Breastfed	72.4%	74.0%	75.0%	75.0%	75.5%
Percent of Preschool Children w/Complete Immunizations	75.0%	78.0%	78.0%	80.0%	80.0%
Number of Times Pediatric Providers Access KIDSNET	219,604	343,931	400,000	400,000	450,000
Percent of Adults Over 18 Who Smoke	17.0%	15.1%	17.0%	17.0%	17.0%
Percent of Adolescent Student Grades 9-12 Who Smoke	15.1%	13.3%	12.3%	12.3%	12.3%
Percent of Program Eligible Women Age 40-64 Receiving Annual Mammograms	68.0%	68.3%	68.5%	68.5%	68.8%
Percent of program Eligible Women Age 40-64 Receiving Annual Pap Smears	38.0%	37.0%	37.5%	37.5%	37.8%
Percentage of Diabetic Patients of RICCC who have Received one Hemoglobin A1c in the Past 12 Months	74.0%	72.5%	73.0%	73.0%	74.0%
Avg Hemoglobin A1c for Diabetic Patients of RICCC Participants	7.7	7.9	7.8	7.8	7.7
Number of AIDS/HIV Death's per year in RI	24	24	31	31	36
Percent of Calls to Poison Control Center Managed Without a Doctor's Visit	75.6%	81.5%	81.0%	81.0%	80.0%

The Program

Department of Health Infectious Disease and Epidemiology

Program Operations

This program is responsible for the major areas of reportable infectious communicable diseases. Program efforts are directed at surveillance and prevention of key diseases, control of disease outbreaks and public and health professional education. This program targets prevention strategies to identified high-risk groups. Diseases of concern include meningitis, Lyme disease, SARS, food borne and waterborne diseases, Hepatitis, Sexually Transmitted Diseases (STDs), vector-borne diseases such as rabies, Hantavirus, Eastern Equine Encephalitis and West Nile Virus. This program also operates a Tuberculosis (TB) Control Program, which provides clinical services (including screening, diagnosis and treatment) for un- and under-insured patients through contracts with several providers; monitors the TB epidemic through surveillance activities; performs, medical, nursing, and social case management for active and suspect cases; provides outreach and follow-up to active cases and their contacts; provides direct administration of prescribed therapy throughout the entire course of treatment; and provides professional and public education regarding TB. This program also operates the State's primary STD Clinic, which diagnoses and treats STD's and provides contact tracing, outreach and follow-up services. In addition, this program is staffed and equipped to join incident command systems to combat major disease outbreaks.

Program Objectives

- Detect, control, and prevent infectious diseases in Rhode Island
- Monitor and conduct surveillance for the incidence of various reportable diseases
- Collect, analyze and distribute information about infectious diseases in Rhode Island
- Investigate disease outbreaks and respond appropriately to outbreak such as to minimize the impact on the health and economy of Rhode Island
- Report on disease trends and provide education to the public and medical community regarding treatment and prevention strategies for infectious diseases
- Provide leadership for statewide response to acute infectious communicable disease emergencies of unknown origin

Statutory History

Authorization for Infectious Disease and Epidemiology is contained in Title 3 Chapters 1, 5, 6, 8, 10, 11 and 69.

The Budget

Department of Health Infectious Disease and Epidemiology

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,639,099	2,325,263	2,647,502	2,625,606	2,543,091
Operating Supplies and Expenses	537,359	568,787	1,049,748	1,111,732	1,077,955
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	812,772	675,634	763,937	842,937	842,937
Subtotal: Operating Expenditures	\$3,989,230	\$3,569,684	\$4,461,187	\$4,580,275	\$4,463,983
Capital Purchases and Equipment	18,345	28,618	13,000	47,000	42,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,007,575	\$3,598,302	\$4,474,187	\$4,627,275	\$4,505,983
Expenditures By Funds					
General Revenue	2,135,330	1,710,038	2,273,425	2,293,018	2,354,611
Federal Funds	1,872,245	1,888,264	2,200,762	2,334,257	2,151,372
Restricted Receipts	-	-	-	-	-
Other Funds	-	-	-	-	-
Total Expenditures	\$4,007,575	\$3,598,302	\$4,474,187	\$4,627,275	\$4,505,983
Program Measures					
Percentage of Active Tuberculosis Cases Completing Therapy	92.6%	90.0%	90.0%	90.0%	90.0%
Number of Newly Diagnoses Case of Gonorrhea per 100,000 Population	38	28	25	25	25

The Agency

Department of Human Services

Agency Operations

The Department of Human Services (DHS) operates various programs in support of the agency goal of assisting those persons in Rhode Island in need. These programs and services extend well beyond the vital financial support services historically provided to poor and low income individuals and families, and include redesigned and innovative programs which provide quality and accessible health care, child care, supportive services and options to working parents, individuals and families. These programs are all designed: to help families become strong, productive, healthy and independent; to help adults achieve their maximum potential; to ensure that children are safe, healthy, ready to learn, and able to reach their maximum potential; to honor and care for our State's veterans; and, to assist elderly and persons with disabilities in order to enhance their quality of life and sustain their independence. The department operates on a population-based structure for its program policy and service delivery, reflecting the department's focus on clients' needs.

Major state and federal reforms in the mid-1990s provided unprecedented flexibility in how the State could utilize funds to accomplish its goals. Rhode Island's Family Independence Act (FIA) represented the State's first welfare reform program. FIA was crafted in response to federal welfare reform legislation which is called Temporary Assistance for Needy Families (TANF). TANF replaced the former Aid to Families with Dependent Children (AFDC) and represented a major departure from entitlement programs and lifetime benefits for families. In lieu of entitlements, TANF provided states with a block grant program with capped funding; the significance of this was that the State was able to pass its own welfare reform legislation and to design a broad variety of policies and services to assist those families transitioning from cash assistance to employment.

The department continues to be one of the largest purchasers of health care benefits in the State, providing health coverage, including managed care, fee-for-service care, long-term care, and community-based care services to approximately 186,000 Rhode Islanders.

An additional priority of DHS is to provide assistance to persons with disabilities seeking to achieve economic independence and integration with society, through its Office of Rehabilitation Services.

Agency Objectives

To provide a full continuum of high quality and accessible programs and services to those Rhode Island families, children, adults, individuals with disabilities, veterans, and the elderly in need of assistance.

Statutory History

Title 40 Chapter 1 and Title 42 Chapter 12 of the Rhode Island General Laws established DHS.

The Budget

Department of Human Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	15,175,635	9,788,788	15,014,441	15,151,278	14,830,236
Child Support Enforcement	10,295,890	7,698,692	10,598,598	10,305,063	9,248,362
Individual and Family Support	73,464,086	70,236,835	87,038,042	87,487,486	107,947,530
Veterans' Affairs	24,541,819	25,298,996	26,059,621	26,119,234	27,640,305
Health Care Quality, Financing & Purch.	58,959,232	54,001,915	66,702,753	65,449,256	66,955,281
Medical Benefits	1,418,677,854	1,381,463,049	1,472,884,630	1,498,581,052	1,506,579,058
Supplemental Security Income	28,021,329	25,138,428	20,706,354	21,892,320	22,597,404
Family Independence Program	112,672,421	103,391,116	96,372,800	91,402,574	90,309,451
State Funded Programs	105,825,723	149,219,179	168,132,900	228,638,397	280,072,437
Total Expenditures	\$1,847,633,989	\$1,826,236,998	\$1,963,510,139	\$2,045,026,660	\$2,126,180,064
Expenditures By Object					
Personnel	126,206,119	114,309,203	136,387,929	129,728,900	137,927,598
Operating Supplies and Expenses	15,872,515	14,660,008	21,676,306	20,433,748	19,465,790
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,704,179,380	1,694,475,434	1,797,737,417	1,887,513,337	1,961,324,989
Subtotal: Operating Expenditures	\$1,846,258,014	\$1,823,444,645	\$1,955,801,652	\$2,037,675,985	\$2,118,718,377
Capital Purchases and Equipment	1,375,975	2,792,353	2,113,750	1,970,938	2,056,950
Debt Service	-	-	-	-	-
Operating Transfers	-	-	5,594,737	5,379,737	5,404,737
Total Expenditures	\$1,847,633,989	\$1,826,236,998	\$1,963,510,139	\$2,045,026,660	\$2,126,180,064
Expenditures By Funds					
General Revenue	815,777,935	658,673,264	662,081,602	665,362,509	673,746,309
Federal Funds	1,024,128,776	1,161,607,333	1,288,587,124	1,366,734,550	1,439,002,554
Restricted Receipts	7,027,278	5,923,901	8,316,413	8,519,601	9,146,201
Other Funds	700,000	32,500	4,525,000	4,410,000	4,285,000
Total Expenditures	\$1,847,633,989	\$1,826,236,998	\$1,963,510,139	\$2,045,026,660	\$2,126,180,064
FTE Authorization	1,067.6	884.6	954.6	954.6	967.6
Agency Measures					
Minorities as a Percentage of Workforce	14.0%	14.0%	14.0%	14.0%	16.2%
Females as a Percentage of Workforce	76.0%	78.0%	78.0%	78.0%	80.0%
Persons with Disabilities as a Percentage of the Workforce	3.0%	3.0%	3.0%	3.0%	2.3%

The Program

Department of Human Services Central Management

Program Operations

Central Management supervises, coordinates, and monitors all departmental functions: to assure efficient and effective use of state and federal resources for the purpose of providing services to poor, disabled, or aged individuals and families; to assist them in reaching their highest potential for self-sufficiency; and, to support the achievement of the department's mission. Central Management, organized through the office of the director, provides leadership, management, strategic planning, direction, and control of departmental activities. A principal function is intergovernmental liaison with the Governor's staff, other department directors and agency heads, federal government representatives, state and federal legislators, local welfare directors, and national and local human service professionals and organizations. The establishment and maintenance of community relations with consumers and service providers, and the provision of information to the general public, are core responsibilities of this program area. Additionally, all field operation activities are direct functions of Central Management, although the associated costs are budgeted in two programs, Individual and Family Support, and Health Care Quality, Financing and Purchasing. Central Management is responsible for the Electronic Benefits Transfer System, which electronically distributes cash assistance and food stamp benefits to clients.

Within Central Management, the Office of Policy Analysis, and Research and Development provides planning support for the department in the development and implementation of revised programs and initiatives. The Office of Legal Services represents the department in litigation, and provides counsel to the director and staff on legal issues relating to departmental operations. The Operations Management Unit develops and maintains departmental information systems, performs quality control for various programs, and operates the central mail room. Other centralized functions include support for financial management, information systems, collections and fraud investigations, and contract management.

Program Objective

To provide leadership, management, strategic planning, and central support for the department.

Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes that all functions, services, and duties of the Department of Human Services will be organized by the Director of the department.

The Budget

Department of Human Services Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,611,087	1,826,028	2,056,027	1,338,410	1,426,061
Operating Supplies and Expenses	71,812	56,637	73,508	101,884	76,771
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	12,487,997	7,901,384	12,880,106	13,706,184	13,322,604
Subtotal: Operating Expenditures	\$15,170,896	\$9,784,049	\$15,009,641	\$15,146,478	\$14,825,436
Capital Purchases and Equipment	4,739	4,739	4,800	4,800	4,800
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$15,175,635	\$9,788,788	\$15,014,441	\$15,151,278	\$14,830,236
Expenditures By Funds					
General Revenue	9,433,704	4,716,238	5,980,973	5,066,848	5,153,490
Federal Funds	3,738,840	4,122,550	8,431,753	9,284,429	8,876,745
Restricted Receipts	2,003,091	950,000	601,715	800,001	800,001
Total Expenditures	\$15,175,635	\$9,788,788	\$15,014,441	\$15,151,278	\$14,830,236
Program Measures	NS	NS	NS	NS	NS

The Program

Department of Human Services Child Support Enforcement

Program Operations

Child Support Enforcement was transferred from the Department of Administration to the Department of Human Services, effective July 1, 2005. This program is established to strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor obligations to support their children. The concern for the well being of children who live with only one parent, and the desire to promote self-sufficiency for these single parent families, prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

Program Objectives

Child Support Enforcement was established to strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program. R.I.G.L. 42-12-28 effectuates the transfer of the program from the Department of Administration.

The Budget

Department of Human Services Child Support Enforcement

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	8,710,067	6,342,922	6,961,640	6,175,421	6,634,837
Operating Supplies and Expenses	1,573,993	1,349,953	3,626,286	3,936,871	2,440,987
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	671	671	672	182,771	162,538
Subtotal: Operating Expenditures	\$10,284,731	\$7,693,546	\$10,588,598	\$10,295,063	\$9,238,362
Capital Purchases and Equipment	11,159	5,146	10,000	10,000	10,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$10,295,890	\$7,698,692	\$10,598,598	\$10,305,063	\$9,248,362
Expenditures By Funds					
General Revenue	3,101,029	2,273,789	2,373,898	2,215,930	2,362,606
Federal Funds	7,194,861	5,424,903	8,224,700	8,089,133	6,885,756
Restricted Receipts	-	-	-	-	-
Total Expenditures	\$10,295,890	\$7,698,692	\$10,598,598	\$10,305,063	\$9,248,362
Program Measures					
Current Child Support Collected as a Percentage of Support Owed	58.9%	60.1%	59.4%	59.4%	59.3%

The Program

Department of Human Services Individual and Family Support

Program Operations

The Individual and Family Support Program (IFS) has the primary responsibility within the department to implement state and federal welfare reform changes and the State's early care and education programs. The Individual and Family Support Program provides policy and program development and management, including monitoring and evaluation, systems development, and the processing and payment functions related to social services for populations served by the department. The Individual and Family Support Program is responsible for ensuring that the services affecting all populations are provided in accordance with state and federal mandates.

The Individual and Family Support Program has the responsibility for the operational planning, direction, coordination and implementation of programs such as the Family Independence Program (FIP), Child Care Development Fund, and the Head Start Collaboration Grant. Funding for the Title XX Block Grant, the Community Services Block Grant, the Refugee Assistance Program, and special funding for victims of domestic violence, the homeless, and the elderly are also within the IFS program. Funding for the administration of the General Public Assistance Program, Food Stamp Program, and Supplemental Security Income is recorded in the IFS Program.

The Individual and Family Support Program also contains comprehensive case management programs for teen mothers and their children and provides child care services for both FIP clients and low income families. Serving Rhode Island's disabled population is the Office of Rehabilitation Services (ORS) and Services for the Blind and Visually Impaired (SBVI). ORS continues to implement new technologies in its service delivery systems, which works in partnership with consumers to achieve meaningful employment outcomes.

Program Objective

To provide assistance and supports to clients so that they may transition to self-sufficiency.

Statutory History

Title 40 Chapter 5.1 of the Rhode Island General Laws establishes the Family Independence Program. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) replaces Title IV-A of the Federal Social Security Act. Title 42 Chapter 12 of the Rhode Island General Laws establishes the Vocational Rehabilitation Program; Title 40 establishes the Services to the Blind and Visually Impaired Program and the Adolescent Pregnancy and Parenting Program and the Child Care Services Program. Article 11 of the FY 1999 Appropriations Act establishes the Starting Right Initiative in Title 40, Chapters 5.1 and 6.2, Title 42, Chapters 12 and 72.1.

The Budget

Department of Human Services Individual and Family Support

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	46,896,074	40,838,212	49,143,501	44,898,696	49,327,422
Operating Supplies and Expenses	8,402,407	7,743,266	10,948,499	9,979,896	10,623,824
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	18,012,719	21,567,559	20,914,855	26,980,257	42,286,397
Subtotal: Operating Expenditures	\$73,311,200	\$70,149,037	\$81,006,855	\$81,858,849	\$102,237,643
Capital Purchases and Equipment	152,886	87,798	436,450	248,900	305,150
Debt Service	-	-	-	-	-
Operating Transfers	-	-	5,594,737	5,379,737	5,404,737
Total Expenditures	\$73,464,086	\$70,236,835	\$87,038,042	\$87,487,486	\$107,947,530
Expenditures By Funds					
General Revenue	22,622,951	20,058,413	22,152,850	20,546,759	22,180,916
Federal Funds	50,006,985	49,965,922	60,226,042	62,350,727	81,301,614
Restricted Receipts	134,150	180,000	134,150	180,000	180,000
Other Funds	700,000	32,500	4,525,000	4,410,000	4,285,000
Total Expenditures	\$73,464,086	\$70,236,835	\$87,038,042	\$87,487,486	\$107,947,530
Program Measures					
Persons with Individualized Plan for Employment Achieving an Employment Outcome	62.8%	62.6%	60.0%	60.0%	60.0%
Accuracy of Disability Determination Adjudications - Rehabilitation Services	95.8%	91.9%	92.0%	92.0%	92.0%

The Program

Department of Human Services Veterans' Affairs

Program Operations

The Veterans' Affairs Program serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Veterans' Affairs Program is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Affairs Office, and the Rhode Island Veterans' Memorial Cemetery.

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 93,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the State at least two years prior to admission and/or were inducted into the military service from the State. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short-term basis to assist veterans with reintegration to their communities.

Program Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

Statutory History

Title 30 Chapters 17, 24, 25, and 29 and Title 30 Chapter 25 of the Rhode Island General Laws established the Division of Veterans Affairs.

The Budget

Department of Human Services Veterans' Affairs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	20,549,394	20,558,528	21,217,154	21,333,219	22,652,921
Operating Supplies and Expenses	2,781,167	2,662,288	3,184,867	3,116,677	3,258,284
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	13,247	7,247	5,100	5,100	5,100
Subtotal: Operating Expenditures	\$23,343,808	\$23,228,063	\$24,407,121	\$24,454,996	\$25,916,305
Capital Purchases and Equipment	1,198,011	2,070,933	1,652,500	1,664,238	1,724,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$24,541,819	\$25,298,996	\$26,059,621	\$26,119,234	\$27,640,305
Expenditures By Funds					
General Revenue	17,387,208	17,359,179	17,852,470	17,751,839	19,466,321
Federal Funds	6,596,367	7,565,215	5,933,514	6,702,795	6,682,784
Restricted Receipts	558,244	374,602	2,273,637	1,664,600	1,491,200
Total Expenditures	\$24,541,819	\$25,298,996	\$26,059,621	\$26,119,234	\$27,640,305
Program Measures					
Persons Completing the Veteran Transitional Supportive Program & Securing Housing Within Six Months	70.0%	85.0%	85.0%	85.0%	85.0%

The Program

Department of Human Services Health Care Quality, Financing and Purchasing

Program Operations

The objectives of the Health Care Quality, Financing and Purchasing (HCQFP) Program are: to assure the availability of high quality health care services to consumers; to assure the efficiency and economy of services delivered to program recipients by monitoring providers of services; to coordinate service-delivery efforts with other state departments and agencies; to purchase medically necessary services covered by the Medicaid State Plan; and, to administer programs in a manner consistent with federal and state laws and regulations. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly.

DHS supervises disbursements to a number of state agencies, as well as local school districts, for administrative and program activities in support of the Medicaid program. The HCQFP Program operates a claims processing system, secures financial recoveries from third parties for claims liability, and conducts utilization review of inpatient and outpatient hospital services. To encourage the utilization of community-based services rather than institutional programs, HCQFP administers home and community-based waivers – both directly and through interagency agreements with the Departments of Elderly Affairs and Mental Health, Retardation and Hospitals. HCQFP also administers a demonstration waiver to provide health services to families through a managed care delivery system, Rlte Care, and is responsible for administration of a Section 1115 SCHIP waiver amendment to the current managed care program in order to implement the provisions of Health Reform RI 2000. This assures that the program serves either persons without access to affordable employer-sponsored insurance, or maintains persons in employer-based insurance, if more cost-effective. HCQFP administers the Early Intervention Program for at risk children up to age three.

Program Objective

To purchase health care services for consumers at a reasonable cost, while assuring quality and access, and to administer the Medical Assistance Benefits Program activities in a manner consistent with federal and state laws and regulations.

Statutory History

Title XIX of the Federal Social Security Act was enacted by Congress under the provisions of Public Law 89-97. Title 40 Chapter 8 of the Rhode Island General Laws establishes the Rhode Island Medical Assistance Program. Title 40 Chapter 16 of the Rhode Island General Laws authorizes the Health Centers and Visiting Nurse Grant Program. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorizes the Department of Human Services to establish and administer both the Rlte Care Program and health care for certain child care providers, and Section 23-13-22 of the Rhode General Laws authorizes the department to administer the Early Intervention Program.

The Budget

Department of Human Services Health Care Quality, Financing and Purchasing

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	47,439,497	44,743,513	57,009,607	55,983,154	57,886,357
Operating Supplies and Expenses	2,975,485	2,728,163	3,843,146	3,272,302	3,065,924
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	8,535,070	5,906,502	5,840,000	6,150,800	5,990,000
Subtotal: Operating Expenditures	\$58,950,052	\$53,378,178	\$66,692,753	\$65,406,256	\$66,942,281
Capital Purchases and Equipment	9,180	623,737	10,000	43,000	13,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$58,959,232	\$54,001,915	\$66,702,753	\$65,449,256	\$66,955,281
Expenditures By Funds					
General Revenue	20,481,865	18,626,885	21,771,668	19,520,623	21,068,899
Federal Funds	38,445,780	35,375,030	44,871,085	45,868,633	45,826,382
Restricted Receipts	31,587	-	60,000	60,000	60,000
Total Expenditures	\$58,959,232	\$54,001,915	\$66,702,753	\$65,449,256	\$66,955,281
Program Measures					
Length of Stay					
Average Length of Stay for:					
Pneumonia	7.8	6.5	6.8	6.8	6.2
Angina Pectoris	4.2	3.3	3.8	3.8	4.0
Alcohol Dependency	5.7	5.7	5.7	5.7	5.8
Chest Pain	3.7	4.4	4.2	4.2	4.4
Congestive Heart Failure	5.4	5.4	5.5	5.5	5.4
Depressive Disease	9.2	9.1	9.1	9.1	9.2
Chronic Airway Obstructive Disease	6.0	5.1	5.3	5.3	5.2
Abdominal Pain	5.4	5.0	4.9	4.9	4.9
Acute Pancreatitis	5.7	5.7	5.5	5.5	5.2
Recurrent Depression	10.8	8.4	9.2	9.2	9.3

The Program

Department of Human Services Medical Benefits

Program Operations

The Medical Benefits Program assures quality and access to necessary medical services for approximately 183,000 consumers through the purchase of health care at a reasonable cost, primarily financed by Medicaid. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly. Medicaid is a federal and state matching entitlement program administered by states to provide medical benefits. The (unenanced) federal share of reimbursement, which is based on a state's per capita personal income, is 52.63 percent for federal fiscal year 2010 and 52.97 percent for federal fiscal year 2011. These percentages have been temporarily enhanced through the provisions of the American Recovery and Reinvestment Act (ARRA).

DHS, in accordance with the Global Consumer Choice Compact Waiver and a multitude of state and federal laws, specifies the scope of covered services, establishes fees for services and reimbursement rates for hospital and nursing facility services, adjudicates and pays claims for medical facility services, and adjudicates and pays claims for medical services submitted by providers. The Medical Benefits Program covers a broad scope of medical services provided to eligible individuals by service providers licensed by the Department of Health or other appropriate state or federal accrediting authority, and enrolled as service providers by the Medical Benefits Program.

The Medical Benefits Program provides health insurance to FIP families, children through age 18 with family incomes not in excess of 250 percent of the federal poverty limit and other low income families. Health care is provided to children with special needs under the Supplemental Security Income Program (SSI) or the Early Periodic Screening Diagnosis and Treatment (EPSDT) program. Acute and long-term care services are provided to adults with disabilities and the elderly. There are four home and community-based waiver programs administered directly by DHS or through the Departments of Elderly Affairs (DEA) and Mental Health, Retardation and Hospitals. A Section 1115 SCHIP waiver provides that families without access to employer-based insurance will have health insurance coverage, or be able to maintain their employer-sponsored insurance benefits, if more cost-effective.

Program Objective

To assure the availability of high quality health care services to program recipients.

Statutory History

Title XIX of the Social Security Act was enacted by Congress under the provision of Public Law 89-97 on July 30, 1965. The Rhode Island Medical Assistance Program was implemented under Title 40 Chapter 8 of the Rhode Island General Laws. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the RIte Care Program.

The Budget

Department of Human Services Medical Benefits

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Hospitals	266,415,235	232,390,254	222,288,009	228,234,163	202,988,603
Nursing Facilities	332,839,552	324,518,348	359,323,205	360,380,499	357,284,999
Managed Care	540,966,831	499,745,114	570,647,492	566,851,000	590,755,201
Other Services	179,325,454	149,055,733	91,640,278	111,979,744	111,356,335
Special Education	16,152,256	18,663,008	25,185,646	25,185,646	25,290,061
Pharmacy	82,978,526	56,214,335	55,800,000	57,950,000	57,300,000
Rhody Health	-	100,876,257	148,000,000	148,000,000	161,603,859
Total Expenditures	\$1,418,677,854	\$1,381,463,049	\$1,472,884,630	\$1,498,581,052	\$1,506,579,058
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	39,984	74,393	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,418,637,870	1,381,388,656	1,472,884,630	1,498,581,052	1,506,579,058
Subtotal: Operating Expenditures	\$1,418,677,854	\$1,381,463,049	\$1,472,884,630	\$1,498,581,052	\$1,506,579,058
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,418,677,854	\$1,381,463,049	\$1,472,884,630	\$1,498,581,052	\$1,506,579,058
Expenditures By Funds					
General Revenue	679,698,468	557,451,938	562,347,933	570,187,525	570,057,016
Federal Funds	734,679,180	819,591,812	905,289,786	922,578,527	929,907,042
Restricted Receipts	4,300,206	4,419,299	5,246,911	5,815,000	6,615,000
Total Expenditures	\$1,418,677,854	\$1,381,463,049	\$1,472,884,630	\$1,498,581,052	\$1,506,579,058
Program Measures					
Neonatal Intensive Care Unit Admissions Per One Thousand Live Births	90.1	89.0	89.0	89.0	89.0
Number of Physician's Office Visits per Rite Care Enrollee	5.4	5.2	5.2	5.2	5.2
Number of Emergency Room Visits per 1,000 Rite Care Enrollees	608	590	570	570	570
Number of Hospital Days per 1,000 Rite Care Enrollees	670	645	630	630	630

The Program

Department of Human Services Supplemental Security Income

Program Operations

The Supplemental Security Income (SSI) Program provides a floor of income for aged, blind and disabled persons who have little or no income or other resources. The basic federal SSI Cash Assistance Grant, annually adjusted for inflation, is funded in full by the federal government. Because the federal payment leaves many recipients below the federal poverty level, certain states have chosen to provide a supplement to the federal benefit; financed with state funds. Persons eligible for SSI are also eligible, under specified criteria, for in-state moving expenses, and for needs resulting from an emergency of a catastrophic nature.

Since the inception of SSI in 1974, the program caseload has grown each year. This reflects an increase in the aging population, new and emerging disabilities, less stringent disability requirements, and increases in allowable resource limits.

Program Objective

To provide financial aid to individuals who are aged, blind, or disabled and who do not have sufficient resources to maintain a reasonable standard of health and well-being.

Statutory History

Title XVI of the Federal Social Security Act in 1974 created a federally administered Supplemental Security Income Program. This program replaced the assistance program previously administered by the State, which provided aid to aged, blind, and disabled Rhode Islanders. Title 40 Chapter 6 of the Rhode Island General Laws established the Supplemental Security Income Program.

The Budget

Department of Human Services Supplemental Security Income

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	28,021,329	25,138,428	20,706,354	21,892,320	22,597,404
Subtotal: Operating Expenditures	\$28,021,329	\$25,138,428	\$20,706,354	\$21,892,320	\$22,597,404
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$28,021,329	\$25,138,428	\$20,706,354	\$21,892,320	\$22,597,404
Expenditures By Funds					
General Revenue	28,021,329	25,138,428	20,706,354	21,892,320	22,597,404
Total Expenditures	\$28,021,329	\$25,138,428	\$20,706,354	\$21,892,320	\$22,597,404
Program Measures	NS	NS	NS	NS	NS

The Program

Department of Human Services Family Independence

Program Operations

The Rhode Island Works Program (RIW), formerly the Family Independence Program (FIP), provides support, including child care, health care, and cash payments to needy children and their families, and also represents a strong emphasis on requiring families who receive cash assistance to prepare for, and achieve, employment.

When the federal TANF Program was reauthorized under Deficit Reduction Act in 2005, it brought forth more stringent policies and accountability mandates. Rhode Island therefore needed to revamp its FIA welfare program and in June 2008, the Rhode Island Works Program was enacted.

After thirteen years under FIP, which had a 60 month time limit for parents and maintained ongoing cash assistance for children even after parents time off the program, Rhode Island again tackled the chance to reform its program. Using many of the valuable lessons learned on services to low income children and parents a new cash assistance program was crafted. R.I. Works created shorter time limits on cash assistance (24 in 60 months, capped at 48 months for both parents and children).

The emphasis in RIW is to achieve gainful employment and self-sufficiency, with the ultimate outcome of strong, healthy families. RIW promotes work as the source of family income and has a lifetime limit of 60 months for the receipt of cash assistance. Child care, health care, and other supportive services are an entitlement to those families pursuing economic independence. While on cash assistance, RIW beneficiaries may participate in intensive readiness services if they lack literacy skills, or if they have little or no paid work experience. To further assist RIW parents, opportunities are available to participate in short term vocational training for up to 12 months as long as the program is designed to lead to full time employment. Enhanced financial incentives in the form of income allowances encourage families to increase earned income without immediately closing to cash assistance. Under RIW, child care and health care are considered an essential component of the long-range plan to move clients from dependence to independence.

Program Objectives

To provide assistance to clients to aid the transition to self-sufficiency.

Statutory History

The Aid to Families with Dependent Children (AFDC) Program, which was initiated in the 1930s as Title IV of the Social Security Act, is replaced by the Temporary Assistance for Needy Families (TANF) in Title I of PRWORA. Child care funding is provided under Title VI of PRWORA. Title 40 Chapter 5.2 enacted the Rhode Island Works Program.

The Budget

Department of Human Services Family Independence

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
TANF/RI Works Program	55,608,425	52,517,211	51,020,300	45,665,000	43,167,365
Child Care	57,063,996	50,873,905	45,352,500	45,737,574	47,142,086
Total Expenditures	\$112,672,421	\$103,391,116	\$96,372,800	\$91,402,574	\$90,309,451
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	7,166	9,913	-	26,118	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	112,665,255	103,381,203	96,372,800	91,376,456	90,309,451
Subtotal: Operating Expenditures	\$112,672,421	\$103,391,116	\$96,372,800	\$91,402,574	\$90,309,451
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$112,672,421	\$103,391,116	\$96,372,800	\$91,402,574	\$90,309,451
Expenditures By Funds					
General Revenue	31,808,613	10,681,403	6,500,000	5,708,004	8,282,999
Federal Funds	80,863,808	92,709,713	89,872,800	85,694,570	82,026,452
Total Expenditures	\$112,672,421	\$103,391,116	\$96,372,800	\$91,402,574	\$90,309,451
Program Measures					
Family Independence Program Families with Earned Income	18.0%	15.6%	17.0%	17.0%	18.0%
Job Retention Rate For Family Independence Program Families Not Receiving Cash	63.0%	54.0%	50.0%	50.0%	55.0%

The Program

Department of Human Services State Funded Programs

Program Operations

The program “State Funded Programs” is comprised of assistance programs with discrete appropriation lines described below.

The General Public Assistance (GPA) Program is designed to meet the health care needs of incapacitated and unemployable persons who are suffering from an illness, injury, or medical condition and do not qualify for other public assistance programs. The program provides for limited medical assistance, consisting of physician services and a limited formulary (list) of prescription medications. The program also provides burials for indigent persons. Limited cash assistance is available from two special contingency funds. Under state welfare reform statutes, two parent families who had received services from GPA became eligible under FIP.

Program Objectives

To provide for the medical needs for ill or disabled individuals who do not qualify for other federal programs, limited emergency cash assistance for individuals who experience extreme financial hardship, and, interim cash assistance for totally disabled individuals who are accepted for Title XIX Medical Assistance pending eligibility application for federal Social Security benefits.

Statutory History

Title 40 Chapter 6 of the Rhode Island General Laws (RIGL) established the General Public Assistance Program. R.I.G.L 40-6-8(d) established the State Food Stamp Program.

The Budget

Department of Human Services State Funded Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
General Public Assistance	3,239,666	2,880,758	3,332,900	3,383,669	3,598,040
Food Stamps - Benefits	102,586,057	146,338,421	164,800,000	225,254,728	276,474,397
Total Expenditures	105,825,723	149,219,179	168,132,900	228,638,397	280,072,437
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	20,501	35,395	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	105,805,222	149,183,784	168,132,900	228,638,397	280,072,437
Subtotal: Operating Expenditures	\$105,825,723	\$149,219,179	\$168,132,900	\$228,638,397	\$280,072,437
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$105,825,723	\$149,219,179	\$168,132,900	\$228,638,397	\$280,072,437
Expenditures By Funds					
General Revenue	3,222,768	2,366,991	2,395,456	2,472,661	2,576,658
Federal Funds	102,602,955	146,852,188	165,737,444	226,165,736	277,495,779
Total Expenditures	\$105,825,723	\$149,219,179	\$168,132,900	\$228,638,397	\$280,072,437
Program Measures	NS	NS	NS	NS	NS

The Agency

Department of Mental Health, Retardation and Hospitals

Agency Operations

The mission of the Department of Mental Health, Retardation and Hospitals (MHRH) is to administer and coordinate a comprehensive system of care for Rhode Island citizens with specific disabilities (i.e. mental illness, physical illness, developmental disability) and with substance use disorders or addiction; and to organize and administer a coordinated system of mental health promotion and substance abuse prevention. MHRH accomplishes this mission under its statutory responsibilities to fund, plan, design, develop, administer, and coordinate within its legislated, annual budget. This mission is carried out through contracted, community-based service delivery system with the exceptions of direct services provided through the Eleanor Slater Hospital and RI Community Living and Supports (RICLAS).

In the last fiscal year, over 500 MHRH Licensed programs delivered services to approximately 46,000 consumers within three priority populations: developmental disabilities; behavioral healthcare (mental illness and substance abuse); and, hospital level of care for chronic illness. The bulk of these services are offered through contracted and MHRH-licensed programs. MHRH currently has contracts or leases with 165 private/non-profit hospitals, programs and agencies. Direct services to MHRH consumers are offered through the Eleanor Slater Hospital (bed capacity 495), a JCAHO-accredited hospital; and through RICLAS within Developmental Disabilities for approximately 242 consumers. Typical MHRH programs and services include individualized support plans for day, residential or family support services for individuals with developmental disabilities, individualized treatment and recovery plans, housing, vocational programs, inpatient treatment for mental health, inpatient treatment for substance abuse, outpatient treatment for mental health, outpatient treatment for substance abuse, inpatient psychiatric forensic services, hospital level care for physical illness and prevention services for substance abuse.

In order to fulfill its mission, the Department is organized to provide services to distinct priority populations of consumers who represent the most vulnerable citizens of Rhode Island. The Director of MHRH provides leadership, overall policy direction, resource management and guidance for the Department in pursuit of its mission; and the Executive Director of Eleanor Slater Hospital provides overall leadership for the hospital. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Executive Director of the Eleanor Slater Hospital.

Statutory History

R.I.G.L. 42-12.1-1 et.seq, established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative and Forensic Services, and Substance Abuse Services under R.I.G.L 40.1-1-1 et.seq. A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Program					
Central Management	1,854,304	974,136	1,166,740	1,108,491	1,162,534
Hospital & Community System Support	4,406,964	3,240,876	6,703,300	6,267,551	4,858,422
Service for the Developmentally Disabled	260,214,675	253,024,050	230,019,017	243,315,029	232,886,839
Behavioral Healthcare Services	80,361,110	79,348,971	82,378,778	78,603,291	106,774,131
Hospital & Community Rehabilitation Services	113,034,540	100,415,568	109,871,191	97,918,151	105,899,891
Substance Abuse	29,570,103	29,587,882	32,734,705	33,678,157	-
Internal Service Programs	[9,043,662]	[6,424,301]	-	-	-
Total Expenditures	\$489,441,696	\$466,591,483	\$462,873,731	\$460,890,670	\$451,581,817
Expenditures By Object					
Personnel	143,191,198	120,279,408	127,787,456	117,113,232	118,467,283
Operating Supplies and Expenses	24,042,295	16,687,847	17,398,623	15,487,495	15,032,266
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	321,673,305	325,962,858	303,277,282	316,517,974	301,003,978
Subtotal: Operating Expenditures	\$488,906,798	\$462,930,113	\$448,463,361	\$449,118,701	\$434,503,527
Capital Purchases and Equipment	534,898	574,889	13,924,760	11,771,969	17,078,290
Debt Service	-	-	-	-	-
Operating Transfers	-	3,086,481	485,610	-	-
Total Expenditures	\$489,441,696	\$466,591,483	\$462,873,731	\$460,890,670	\$451,581,817
Expenditures By Funds					
General Revenue	241,952,595	184,060,033	166,015,780	168,095,607	165,097,248
Federal Funds	241,728,740	273,867,200	280,058,238	278,567,342	262,420,126
Restricted Receipts	2,587,327	4,695,837	5,203,044	4,504,330	10,055,752
Other Funds	3,173,034	3,968,413	11,596,669	9,723,391	14,008,691
Total Expenditures	\$489,441,696	\$466,591,483	\$462,873,731	\$460,890,670	\$451,581,817
FTE Authorization	1,657.6	1,352.4	1,398.4	1,396.2	1,395.2
Agency Measures					
Minorities as a Percentage of Workforce	19.9%	19.0%	21.0%	21.0%	25.2%
Females as a Percentage of Workforce	66.1%	66.0%	68.5%	68.5%	69.8%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%	1.0%

The Program

Department of Mental Health, Retardation and Hospitals Central Management

Program Operations

The Director provides leadership, overall policy direction, resource management, and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Executive Director of the Eleanor Slater Hospital, and Chief Financial Officer. Under a reorganization plan, MHRH, minus the Hospital, has been organized into four functional components: Clinical Services, Program Services, Contracts and Logistics, and RICLAS. These functional components manage, coordinate, and support services to individuals with developmental disabilities, those suffering from mental illness and substance use disorders; as well as support, the promotion of mental health and substance abuse prevention activities.

The Office of the Director performs the functions of Departmental administration, legislative affairs, constituent affairs, community and provider involvement, advocacy outreach, policy administration, hospital appeals, strategic planning, and promotion of the department's mission through public education and community forums. The Office of the Director in conjunction with the Office of the Executive Director of the Eleanor Slater Hospital supports the entire Department by providing: coordination and management of initiatives and projects that cross all departmental program and operational units, emergency management, performance improvement, and funds development, and planning and overseeing of construction/renovation for buildings which support departmental functions.

Program Objectives

Provide leadership, policy direction and management guidance to assure the department's mission meets the needs of Rhode Island citizens with disabilities and those with substance abuse or addiction problems.

Redesign critical and often cross-cutting functions so that they become more responsive, efficient and effective.

Identify priority population's trends and service needs so that new and emerging needs together with established programs share existing, budgeted resources.

Expand public awareness and knowledge of the mission of the department through community forums and through advocacy, emphasizing consumer choice, consumer relations and family involvement.

Statutory History

Rhode Island General Laws 42-12.1-1 et seq. established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services under Rhode Island General Laws 40.1-1-1 et.seq. A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,729,501	833,667	628,846	634,943	675,727
Operating Supplies and Expenses	101,239	131,975	262,569	201,273	211,482
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,418	1,688	1,750	1,200	1,750
Subtotal: Operating Expenditures	\$1,832,158	\$967,330	\$893,165	\$837,416	\$888,959
Capital Purchases and Equipment	22,146	6,806	273,575	271,075	273,575
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,854,304	\$974,136	\$1,166,740	\$1,108,491	\$1,162,534
Expenditures By Funds					
General Revenue	1,854,304	974,136	1,035,453	977,204	1,031,247
Federal Funds	-	-	131,287	131,287	131,287
Total Expenditures	\$1,854,304	\$974,136	\$1,166,740	\$1,108,491	\$1,162,534
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

Program Operations

Through the Chief Financial Officer, the Office of Operations (Hospital and Community System Support Program) provides operational support functions to both the hospital and community patient care system.

Financial Management provides the administrative and financial support to the entire department to insure its operational efficiency and fiscal integrity. The major functional areas include: Budget Development/Program Analysis; Business Services; Accounting and Financial Control; Federal Grants; Contract Management; Central Laundry; Revenue Collection; Billing and Accounts Receivable; Patient Resources and Benefits; and Hospital Cost/Rate Setting/Revenue Forecasting.

Program Objectives

Maintain numerous operational support functions to both the hospital and community patient care system for Financial Management.

Statutory History

Rhode Island General Laws Title 40.1 includes provisions relating to Hospitals and Community System Support.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Facilities & Maintenance	1,569,601	1,328,069	4,642,807	4,288,403	2,752,691
Financial Management	2,837,363	1,912,807	2,060,493	1,979,148	2,105,731
Total Expenditures	\$4,406,964	\$3,240,876	\$6,703,300	\$6,267,551	\$4,858,422
Expenditures By Object					
Personnel	3,149,754	2,184,764	2,324,811	1,900,973	2,030,769
Operating Supplies and Expenses	640,416	179,016	312,341	71,219	67,612
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	573,105	874,544	1,350	32,469	1,250
Subtotal: Operating Expenditures	\$4,363,275	\$3,238,324	\$2,638,502	\$2,004,661	\$2,099,631
Capital Purchases and Equipment	43,689	2,552	4,064,798	4,262,890	2,758,791
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,406,964	\$3,240,876	\$6,703,300	\$6,267,551	\$4,858,422
Expenditures By Funds					
General Revenue	3,385,311	2,205,939	2,655,402	1,979,148	2,105,731
Federal Funds	300,356	523,744	1,032,229	1,032,229	-
Other Funds	721,297	511,193	3,015,669	3,256,174	2,752,691
Total Expenditures	\$4,406,964	\$3,240,876	\$6,703,300	\$6,267,551	\$4,858,422
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

Program Operations

The Division of Developmental Disabilities funds a statewide network of privately-operated and publicly-operated community supports for adults with development disabilities. The Division is responsible for planning, administering, and providing supports for adults with developmental disabilities by ensuring equitable access to, and allocation of, available resources; enhancing the quality of supports so that people can move toward personal futures of inclusion and participation in community life, and safeguarding them from abuse, neglect and mistreatment.

The Division's goals include: (a) providing more opportunities for individuals with developmental disabilities and their families to have more control over supports and services that they purchase within the funding available from the Division, (b) providing access to information that enables them to make informed decisions, (c) assisting providers in implementing innovative and flexible supports and services that address the individual needs of a person, (d) ensuring that individuals are provided services in the least restrictive environments, (e) insuring quality services that protect the rights of individuals with developmental disabilities, (f) providing the appropriate structure within the Division to respond to the changing needs of individuals and their families, and (g) providing a safe environment that assists individuals to meet their fullest potential and to become meaningful participants in their community; and (h) providing a competent, caring, stable workforce to provide needed supports and services for individuals with developmental disabilities.

The Division provides community day and residential services through Rhode Island Community Living and Supports (RICLAS), the state's publicly operated program. RICLAS supports approximately 242 people in various settings throughout Rhode Island.

Program Objectives

The Division's objectives for FY 2010 and FY 2011 are to:

- Continue expansion of shared living arrangements (SLA) and other community settings as an alternative to residential and institutional placements
- Continue development and expansion of services for individuals who require ongoing assistance but may function successfully outside of traditional residential settings
- Finalize and implement strategies to reduce the caseloads carried by the Division's social caseworkers
- Develop enhanced screening and assessment procedures to ensure that individuals have a choice of appropriate, least restrictive supports and services
- Develop improved data collection and analyses capabilities.

Statutory History

Titles 40.1 and 43.1 of the Rhode Island General Laws. The eligibility statute has changed and expanded over the past 15 years to include individuals who meet the federal, functional definition of developmental disabilities in addition to mental retardation per se. This has had a significant impact on the Division's costs.

The Budget

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Private Community D.D. Services	215,341,757	212,525,400	189,560,661	205,364,236	196,210,948
State Operated Res & Comm Svcs	44,872,918	40,498,650	40,458,356	37,950,793	36,675,891
Total Expenditures	\$260,214,675	\$253,024,050	\$230,019,017	\$243,315,029	\$232,886,839
Expenditures By Object					
Personnel	44,666,540	39,582,125	41,351,252	39,220,258	38,780,823
Operating Supplies and Expenses	1,917,709	4,827,588	4,765,849	4,000,505	3,921,312
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	213,589,487	209,629,384	181,255,364	196,910,650	185,772,136
Subtotal: Operating Expenditures	\$260,173,736	\$254,039,097	\$227,372,465	\$240,131,413	\$228,474,271
Capital Purchases and Equipment	40,939	18,745	2,160,942	3,183,616	4,412,568
Debt Service	-	-	-	-	-
Operating Transfers	-	(1,033,792)	485,610	-	-
Total Expenditures	\$260,214,675	\$253,024,050	\$230,019,017	\$243,315,029	\$232,886,839
Expenditures By Funds					
General Revenue	120,867,705	94,644,952	80,278,072	85,282,622	81,527,147
Federal Funds	137,498,281	153,582,682	144,797,901	152,849,460	146,752,192
Restricted Receipts	-	2,051,057	2,813,044	2,009,330	2,007,500
Other Funds	1,848,689	2,745,359	2,130,000	3,173,617	2,600,000
Total Expenditures	\$260,214,675	\$253,024,050	\$230,019,017	\$243,315,029	\$232,886,839
Program Measures					
Service Satisfaction - Parents and Friends for Alternative Living	89.1%	90.0%	90.0%	90.0%	90.0%
Percentage of Persons Surveyed Indicated that they Received all Services that they Needed	68.9%	75.0%	75.0%	75.0%	75.0%
Percentage of Persons with Developmental Disabilities Who Like Living in Their Home	94.9%	95.0%	95.0%	95.0%	95.0%
Percentage of Disabled who Understand their Basic Human Rights	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Disabled who know what to do if they are a Victim of Abuse	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Persons who have had an Annual Physical Exam	85.6%	95.0%	95.0%	95.0%	95.0%
Percentage of Persons who have seen a Dentist Within Six Months	85.6%	90.0%	90.0%	90.0%	90.0%

The Program

Department of Mental Health, Retardation and Hospitals Behavioral Healthcare Services

Program Operations

The Division of Behavioral Healthcare Services (DBH) is responsible for planning, coordinating, and administering comprehensive statewide systems of substance abuse prevention and the promotion of mental health; screening and brief intervention; early intervention and referral; substance abuse and mental illness clinical treatment services; and recovery support activities. Effective with the FY 2011 budget, the Division is consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.

The Department has conducted and completed functional analyses of its organization and operations. A result of these analyses is the finalization of efforts to consolidate substance abuse and mental health treatment services administration into a single behavioral healthcare program area. The Department also continues to ensure the provision of quality and accessible care to client populations within the two systems, especially those with co-occurring mental illness and substance use disorders. The consolidated division continues to work closely with the criminal justice system, the public health care system, child welfare, education, and other allied human service agencies and organization. The consolidated Division is supported by the Department's three functional components (Clinical Services, Program Services, and Operations) and Contracts and Logistics, which supports administration and monitoring of the Division's funded services, comprised of over 100 contracts.

Program Objectives

Continue to integrate and consolidate functions and activities to maximize efficiency and better meet the needs of individuals with mental illness, substance abuse, and co-occurring disorders.

Continue to work on the development of programs for individuals with both a behavioral healthcare problem(s) and developmental disabilities, and developing a comprehensive assessment and utilization review process for behavioral health and developmental disabilities.

Develop strategies to sustain the innovations and practices resulting from the Access to Recovery and Strategic Prevention Framework State Incentive Grants.

Statutory History

Title 40.1, Chapter 1 of the Rhode Island General Laws established the Division of Behavioral Health Care within the Department, which includes the program areas of integrated mental health services and substance abuse treatment and prevention services. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.

The Budget

Department of Mental Health, Retardation and Hospitals Behavioral Healthcare Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,627,800	1,158,448	1,237,916	1,367,806	3,076,750
Operating Supplies and Expenses	2,895,420	1,267,053	519,089	115,038	124,149
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	75,837,890	76,521,998	79,889,073	76,316,318	102,275,532
Subtotal: Operating Expenditures	\$80,361,110	\$78,947,499	\$81,646,078	\$77,799,162	\$105,476,431
Capital Purchases and Equipment	-	401,472	732,700	804,129	1,297,700
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$80,361,110	\$79,348,971	\$82,378,778	\$78,603,291	\$106,774,131
Expenditures By Funds					
General Revenue	42,716,209	34,034,621	28,312,837	28,272,779	41,527,663
Federal Funds	37,405,953	44,774,950	53,339,941	49,533,912	64,100,468
Restricted Receipt	-	-	-	-	90,000
Other Funds	238,948	539,400	726,000	796,600	1,056,000
Total Expenditures	\$80,361,110	\$79,348,971	\$82,378,778	\$78,603,291	\$106,774,131
Program Measures					
System Quality: Client Ability to Control Life	79.3%	79.0%	81.0%	81.0%	81.0%
Percentage of CSP Clients who are satisfied with their Housing	80.0%	80.0%	80.0%	80.0%	80.0%
Percentage of CSP Clients who have had Annual Physical Exam Within Twelve Months	81.0%	79.0%	82.0%	82.0%	90.0%
Percentage of Persons Receiving Methadone Who have had an Annual Physical Exam Within Twelve Months	100.0%	100.0%	100.0%	100.0%	100.0%
Percentage of Surveyed Tobacco Outlets Selling Tobacco Products to Youth Under 18	11.4%	9.0%	8.0%	8.0%	8.0%
Percentage of Surveyed Sites Selling Alcohol to Youth Under 21	12.0%	12.0%	11.0%	11.0%	11.0%

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

Program Operations

The Division of Hospitals and Community Rehabilitative Services provides hospital level care services that are licensed by the Department of Health (DOH) and accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO).

The Eleanor Slater Hospital's licensed bed capacity is 495, across two sites: the Cranston Campus, with 306 beds, and the Zambarano Campus in Burrville, with 189 beds. The Cranston Campus provides acute medical-surgical services, long term inpatient psycho geriatric and adult psychiatric treatment. The Zambarano campus is an important provider of long term and specialty rehabilitative care services. Hospital funding levels and full-time equivalent (FTE) position authorization dictate actual bed utilization and census,

Program Objectives

Plan, coordinate, and manage programs and services associated with the Eleanor Slater Hospital.

Ensure that all associated programs and services meet Joint Commission on Accreditation of Healthcare Organizations (JCAHO), Centers for Medicaid and Medicare Services (CMS), and third party standards to achieve full accreditation status and to maximize reimbursement.

Develop a continuum of treatment and residential options for psychiatric and developmentally disabled, psycho geriatric and adult psychiatric clients.

Statutory History

Title 40, Chapter 3 of the Rhode Island General Laws and the Public Laws of 1969, Chapter 134, Section 6a, includes provisions related to the General Hospital; Title 40.1, Chapter 3 includes provisions related to Zambarano; Titles 40.1, 5.19, 21.28, 21.30 and 21.31 include provisions relative to the Central Pharmacy.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Eleanor Slater Hospital	78,802,642	67,815,169	72,448,727	63,729,053	71,443,628
Zambarano Hospital	31,769,713	30,057,509	33,102,928	30,652,449	30,725,346
Central Pharmacy Services	2,462,185	2,542,890	4,319,536	3,536,649	3,730,917
Total Expenditures	\$113,034,540	\$100,415,568	\$109,871,191	\$97,918,151	\$105,899,891
Expenditures By Object					
Personnel	89,801,328	74,972,761	80,242,530	72,289,673	73,903,214
Operating Supplies and Expenses	18,250,034	10,159,680	11,496,099	11,044,927	10,707,711
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,796,854	11,017,540	11,879,817	11,548,292	12,953,310
Subtotal: Operating Expenditures	\$112,848,216	\$96,149,981	\$103,618,446	\$94,882,892	\$97,564,235
Capital Purchases and Equipment	186,324	145,314	6,252,745	3,035,259	8,335,656
Debt Service	-	-	-	-	-
Operating Transfers	-	4,120,273	-	-	-
Total Expenditures	\$113,034,540	\$100,415,568	\$109,871,191	\$97,918,151	\$105,899,891
Expenditures By Funds					
General Revenue	56,711,703	38,387,896	40,770,397	38,673,302	38,905,460
Federal Funds	53,825,344	59,363,297	61,275,794	54,542,849	51,436,179
Restricted Receipts	2,485,717	2,557,286	2,300,000	2,405,000	7,958,252
Other Funds	11,776	107,089	5,525,000	2,297,000	7,600,000
Total Expenditures	\$113,034,540	\$100,415,568	\$109,871,191	\$97,918,151	\$105,899,891
Program Measures					
Medication Error Incidents Per 10,000 Orders Filled by the Pharmacy	3.0	3.0	3.0	3.0	3.0
Pressure Ulcers as a Percent of the Total Patient Population	1.0%	1.0%	1.0%	1.0%	1.0%
Patient Falls per 1,000 Patient Days	2.5	2.5	2.5	2.5	2.5

The Program

Department of Mental Health, Retardation and Hospitals Substance Abuse

Program Operations

Substance Abuse is responsible for planning, coordinating, and administering a comprehensive statewide system of substance abuse treatment and prevention activities through contracts with community-based providers. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services. Substance Abuse is comprised of the following units: a Prevention Unit which plans and provides technical assistance, contract oversight, program development and evaluation of primary prevention and intervention services; a Treatment Unit which is responsible for the provision, availability and monitoring of contract treatment services. Specific responsibilities include: developing comprehensive statewide policies, plans and programs; assessing treatment and prevention needs and capacity; evaluating and monitoring state grants and contracts; providing technical assistance and guidance to programs, chemical dependency professionals, and general public; and researching and recommending alternative funding and service delivery strategies to enhance system efficiency and effectiveness. The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse, have been merged and provide Division wide support in these functional areas.

Program Objective

Continue to implement the integrated behavioral health licensing standards through community monitoring.

Implement Prevention Program Standards for all MHRH funded and contracted prevention services.

Under direction from Executive Director of the Division of Behavioral Health, develop a statewide substance abuse plan that addresses treatment delivery systems for all Rhode Islanders.

Implement the Access to Recovery (STR) grant which will increase funding and resources for all levels of clinical care and recovery support services.

Monitor contract for combined acute psychiatric and medical detoxification which includes step-down and diversion levels of care.

Statutory History

Title 40.1-1-4 of the Rhode Island General Laws established the Division of Behavioral Healthcare within the Department of Mental Health, Retardation and Hospitals, which includes the Substance Abuse Program. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.

The Budget

Department of Mental Health, Retardation and Hospitals Substance Abuse

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,216,275	1,547,643	2,002,101	1,699,579	-
Operating Supplies and Expenses	237,477	122,535	42,676	54,533	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	26,874,551	27,917,704	30,249,928	31,709,045	-
Subtotal: Operating Expenditures	\$29,328,303	\$29,587,882	\$32,294,705	\$33,463,157	-
Capital Purchases and Equipment	241,800	-	440,000	215,000	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$29,570,103	\$29,587,882	\$32,734,705	\$33,678,157	-
Expenditures By Funds					
General Revenue	16,417,363	13,812,489	12,963,619	12,910,552	-
Federal Funds	12,698,806	15,622,527	19,481,086	20,477,605	-
Restricted Receipts	101,610	87,494	90,000	90,000	-
Other Funds	352,324	65,372	200,000	200,000	-
Total Expenditures	\$29,570,103	\$29,587,882	\$32,734,705	\$33,678,157	-
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Mental Health, Retardation and Hospitals Internal Service Programs

Program Operations

The Internal Service Programs include those services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency, both on an actual and budget basis.

Internal Service Programs which are operated by the Department of Mental Health, Retardation and Hospitals include the Central Pharmacy and the Central Laundry. The Central Laundry serves patients at the Eleanor Slater Hospital and the Welcome Arnold Homeless Shelter. The Central Pharmacy provides services to the Eleanor Slater Hospital including the Zambarano Unit, the Department of Corrections, and the Rhode Island Veterans' Home, 43 group homes operated by the Department and 13 Community Pharmacies.

It is the intent of the Department to cease internal service fund operations by June 30 2009. Eleanor Slater Hospital is the primary recipient of services from both internal service funds. Both operations will be collapsed into the Eleanor Slater Hospital program. User agencies will purchase services directly from other vendors.

Program Objective

Provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by Title 35 Chapter 5 of the Rhode Island General Laws to establish a system of rotary or rotating funds in any state department or agency. Programmatically, the Central Laundry and Central Pharmacy internal service funds were eliminated beginning in FY 2010.

The Budget

Department of Mental Health, Retardation and Hospitals Internal Service Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
MHRH Drug Rotary	7,857,390	5,289,255	-	-	-
MHRH Laundry Rotary	1,186,272	1,135,046	-	-	-
Total Expenditures	\$9,043,662	\$6,424,301	-	-	-
Expenditures By Object					
Personnel	1,228,424	803,298	-	-	-
Operating Supplies and Expenses	7,815,238	5,620,610	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$9,043,662	\$6,423,908	-	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	393	-	-	-
Total Expenditures	\$9,043,662	\$6,424,301	-	-	-
Expenditures By Funds					
Internal Service Funds	9,043,662	6,424,301	-	-	-
Total Expenditures	\$9,043,662	\$6,424,301	-	-	-
Program Measures	NA	NA	NA	NA	NA

The Agency

Office of the Child Advocate

Agency Operations

The Office of the Child Advocate is charged with fulfilling a statutory mandate to protect the legal, civil and special rights of all children and youth involved in the care of the Department of Children, Youth and Families (DCYF). In so doing, the Office strives to improve conditions and circumstances through monitoring, compliance, and advocacy.

The office is staffed with 5.8 authorized full-time equivalent (FTE) positions. The office is responsible for oversight of DCYF care for 5,300 children and yearly monitoring site visits to monitor their care at 120 group homes and residential programs in the state. The office also provides direct legal representation for at least 35-40 children voluntarily placed in state care.

The office reviews and monitors systematic and individual issues related to 250 residents at the Rhode Island Training School. It provides direct oversight and on-site visits on a weekly basis. The Office of the Child Advocate also files Victim Compensation claims for children who have been physically and/or sexually assaulted and are in the care of the State.

Agency Objectives

To monitor the Department of Children, Youth and Families to ensure that it offers children in its care adequate protection and quality services, while affording these children respect for their individual rights and dignity. These activities include, but are not limited to, public education, legislative advocacy, investigation, and litigation.

To review and make recommendations regarding the Department of Children, Youth and Families procedures; to investigate institutional abuse allegations and child fatalities; to provide information and referral on matters relating to children; participate in voluntary admissions procedures; to monitor child placements; to conduct annual site visits at residential/group care programs; and to review the Department of Children, Youth and Families' compliance with day-care licensing laws.

Statutory History

In 1980, the General Assembly created the Office of the Child Advocate. Its powers and duties are codified in R.I.G.L. 42-73. The office of the Child Advocate possesses a statutory right of access, including subpoena power, to all providers, the Family Court, Department of Children, Youth and Families, and law enforcement records. There is also a right of physical access to all child-care programs and children in care.

In 1992, the office of the Child Advocate's responsibilities with respect to children in state care were statutorily expanded to include review of child fatality cases and representation of child victims of crime pursuant to the Criminal Injuries Compensation Act.

The Budget

Office of the Child Advocate

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	467,832	501,619	571,061	543,161	576,202
Operating Supplies and Expenses	16,059	9,557	17,087	14,998	14,661
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$483,891	\$511,176	\$588,148	\$558,159	\$590,863
Capital Purchases and Equipment	1,558	829	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$485,449	\$512,005	\$588,148	\$558,159	\$590,863
Expenditures By Funds					
General Revenue	445,443	501,518	547,048	512,265	545,058
Federal Funds	40,006	10,487	41,100	45,894	45,805
Total Expenditures	\$485,449	\$512,005	\$588,148	\$558,159	\$590,863
FTE Authorization	5.8	5.7	5.7	5.8	5.8
Agency Measures					
Minorities as a Percentage of the Workforce	18.0%	18.0%	33.0%	33.0%	33.0%
Females as a Percentage of the Workforce	100.0%	100.0%	83.0%	83.0%	83.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Percentage of Inspected Facilities Compliant with Standards and Care	90.0%	90.0%	100.0%	100.0%	100.0%

The Agency

Commission on the Deaf and Hard of Hearing

Agency Operations

The Commission on the Deaf and Hard of Hearing advocates and coordinates the promotion of an environment in which deaf and hard of hearing persons in Rhode Island are afforded equal opportunity in all aspects of their lives. The commission develops policy and recommends appropriate programs and legislation to enhance cooperation and coordination among agencies and organizations now serving, or having the potential to serve, the deaf and hard of hearing. The commission also provides direct services in its operation of the Sign Language Interpreter Referral Service, and general information and referrals. Beginning in FY 2006, it also administers an Emergency Interpreter Referral Service for hours when the commission is closed. The commission is composed of 13 members, of whom nine are deaf and hard of hearing consumers.

Agency Objectives

To promote greater accessibility to services for the deaf and hard of hearing by developing awareness programs.

To conduct an ongoing needs assessment to identify and prioritize the needs of the deaf and hard of hearing populations in Rhode Island.

To provide centralized sign language interpreter referral services, including emergency referrals.

To advocate for the enactment of legislation that will promote accessibility of services.

To develop a statewide coordinating council to implement the comprehensive statewide strategic plan for children who are deaf or have hearing loss.

To oversee state agency compliance with the Americans with Disabilities Act regulations related to deaf and hard of hearing access issues through monitoring, training, teletypewriters, and interpreters.

To work with federal, state, and local organizations and agencies to improve the quality of life for deaf and hard of hearing persons in Rhode Island. To coordinate sign language and equipment interpreter services between agencies and organizations with the goal of centralizing services.

Statutory History

R.I.G.L. 23-1.8 includes provisions relating to the Commission on the Deaf and Hard of Hearing. The current commission results from the 1992 restructure of the former Commission on the Deaf and Hearing Impaired, originally established in 1977.

The Budget

Commission on the Deaf and Hard of Hearing

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	281,920	329,520	359,440	340,540	354,372
Operating Supplies and Expenses	6,870	7,897	10,706	9,130	9,130
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$288,790	\$337,417	\$370,146	\$349,670	\$363,502
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$288,790	\$337,417	\$370,146	\$349,670	\$363,502
Expenditures By Funds					
General Revenue	289,412	341,317	370,146	349,670	363,502
Federal Funds	(622)	(3,900)	-	-	-
Total Expenditures	\$288,790	\$337,417	\$370,146	\$349,670	\$363,502
FTE Authorization	3.0	3.0	3.0	3.0	3.0
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	33.0%	33.0%	33.0%	33.0%	33.0%
Persons with Disabilities as a Percentage of the Workforce	67.0%	67.0%	67.0%	67.0%	67.0%
Program Measures					
Percentage of Interpreter Requests Filled with at Least 72 Hours Notice	86.0%	91.0%	90.0%	90.0%	92.0%
Percentage of Information Requests Responded to with Relevant Information or Referral within One Week	85.0%	95.0%	96.0%	96.0%	96.0%
Percentage of Legislation Affecting Deaf and Hard of Hearing Citizens Favorably Disposed	80.0%	12.5%	75.0%	75.0%	75.0%

The Agency

Governor's Commission on Disabilities

Agency Operations

The commission advocates for the concerns of people with disabilities, expands economic opportunities for businesses owned by or employing people with disabilities, increases the general awareness of the rights and concerns of individuals with disabilities and their families, and ensures that people with disabilities have access to their government.

The commission is responsible for coordinating compliance by state agencies with federal and state disability rights laws. It also approves or modifies state and local government agency's Open Meeting Accessibility for persons with disabilities transition plans. The commission assists local boards of canvassers to ensure all polling places are accessible and approves or rejects requests to waive the state building code's standards for accessibility at facilities to be leased by state agencies. The agency investigates disability discrimination complaints involving physical barriers at public or private facilities and ordering corrective action. Small disadvantaged businesses owned and controlled by persons with disabilities or where sixty percent (60%) of the employees are persons with disabilities, or non-profit rehabilitation facilities with state funded and state-directed public construction contracts and state contracts for goods and services are assisted. Recommendations are made for improvements for enhancing enforcement of disability parking laws.

The Commission consists of 24 gubernatorial appointed Commissioners, a staff of four persons, several college fellows and many volunteers.

The Commission's Mary Brennan Fellowship Program for college students with disabilities provides career experiences for students including editing the "Legislative Letter," (a weekly newsletter on legislation affecting people with disabilities), developing and updating the Commission's website: www.disabilities.ri.gov, and providing information regarding rights and services.

Agency Objectives

The Commission's objective is to ensure that all people with disabilities are afforded the opportunities to exercise all the rights and responsibilities accorded to citizens of this State and each person with a disability is able to reach his/her maximum potential in independence, human development, productivity and self-sufficiency.

Statutory History

The Commission's responsibilities are defined in RI General Laws: 42-51; 42-87; 37-8-15 & 15.1; 42-46-5(b); 42-46-13(f); 23-6-22; 37-2.2; 30-15-6; 28-5.1-9; 31-28-7.3; 42-102-2(e) and 17-9.1-31, Article I § 2, RI Constitution and federal laws: Section 504 of the Rehabilitation Act, Nondiscrimination on the Basis of Disability (29 USC 794); the Americans with Disabilities Act (42 USC 12101 et. seq.); and Section 261 of the Help America Vote Act, Election Assistance to Individuals with Disabilities (42 USC 15461).

The Budget

Governor's Commission on Disabilities

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	381,611	377,560	409,218	373,134	387,078
Operating Supplies and Expenses	32,263	27,749	33,051	27,690	31,376
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	25,353	67,349	107,250	153,410	154,921
Subtotal: Operating Expenditures	\$439,227	\$472,658	\$549,519	\$554,234	\$573,375
Capital Purchases and Equipment	101,881	118,680	176,881	192,032	251,881
Debt Service	-	-	-	-	-
Operating Transfers	-	7,780	-	-	-
Total Expenditures	\$541,108	\$599,118	\$726,400	\$746,266	\$825,256
Expenditures By Funds					
General Revenue	350,480	383,041	366,450	344,227	368,032
Federal Funds	77,450	56,245	174,949	198,329	193,598
Restricted Receipts	13,178	8,432	10,001	13,559	13,626
Operating Transfers	100,000	151,400	175,000	190,151	250,000
Total Expenditures	\$541,108	\$599,118	\$726,400	\$746,266	\$825,256
FTE Authorization	5.6	4.0	4.0	4.0	4.0
Agency Measures					
Minorities as a Percentage of the Workforce	35.7%	35.7%	50.0%	50.0%	50.0%
Females as a Percentage of the Workforce	28.6%	28.6%	25.0%	25.0%	25.0%
Persons with Disabilities as a Percentage of the Workforce	100.0%	100.0%	75.0%	75.0%	75.0%
Program Measures					
Percentage of State Legislation Affecting Persons with Disabilities that is Favorably Disposed	64.0%	52.0%	100.0%	100.0%	100.0%
Percentage of Disability Discrimination Complaints Resolved Prior to Hearing	94.0%	93.0%	100.0%	100.0%	100.0%

The Agency

Office of the Mental Health Advocate

Agency Operations

The Office of the Mental Health Advocate is directed by statute to ensure the legal, civil, and special rights of people with mental illness in Rhode Island. This includes providing legal representation at involuntary commitment proceedings, monitoring procedures and policies at in-patient treatment facilities and community-based mental health programs, proposing and evaluating legislation, and litigating civil rights and treatment rights disputes. The Office also provides counsels to clients of the mental health system who bring complaints involving mental health issues and other issues vital to maintaining quality of life, such as housing and protection from creditors. The Office is also charged to protect the treatment rights of criminally insane persons and prison inmates in the forensic hospital (patients who are under criminal process) and to provide legal representation for indigent persons receiving in-patient substance abuse treatment.

Agency Objectives

Involuntary Commitment - To protect the liberty interests and treatment rights of individuals subjected to involuntary commitment and to the involuntary administration of medication in psychiatric facilities, including penal inmates.

Direct Representation and Referral of Clients of the Mental Health System - To protect the legal rights of clients of the mental health system by means of direct legal representation and/or referral to appropriate resources.

Advocacy for Improvements in the Mental Health System – To monitor and evaluate the quality of services available to clients of the mental health system, and to investigate incidents. To address shortcomings and gaps in the services and programs administered by the mental health providers.

Elimination of Stigma Associated with Mental Illness – To address stigmatizing legal inequities and social barriers that impact upon the lives of individuals with mental illness by means of legislative advocacy, litigation, education, support for the mental health consumer movement, and outreach to the public at large.

Statutory History

The Office of the Mental Health Advocate was created in 1975 when the legislature re-wrote and reformed the Mental Health Law of Rhode Island. This reform was part of a national movement toward deinstitutionalization of mentally ill individuals, a movement which began in the 1950's and became a centerpiece of public policy in the 1970's after the United States Supreme Court declared that all states must provide Constitutional Due Process and legal counsel to individuals subjected to involuntary hospitalization. The original statute authorizing the Mental Health Advocate is codified at RI General Laws, Sections 40.1-5-13, 40.1-5-22 and 24 (Public Laws 1974, Ch. 119).

The Budget

Office of the Mental Health Advocate

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	407,811	429,179	437,105	379,128	429,475
Operating Supplies and Expenses	11,316	11,881	11,318	12,481	12,481
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$419,127	\$441,060	\$448,423	\$391,609	\$441,956
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$419,127	\$441,060	\$448,423	\$391,609	\$441,956
Expenditures By Funds					
General Revenue	419,127	441,060	448,423	391,609	441,956
Total Expenditures	\$419,127	\$441,060	\$448,423	\$391,609	\$441,956
FTE Authorization	3.7	3.7	3.7	3.7	3.7
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	75.0%	50.0%	50.0%	50.0%	50.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Percentage of Treatment Rights Cases Favorably Disposed	75.0%	70.0%	75.0%	75.0%	75.0%
Percentage of Involuntary Petitions Filed that are Withdrawn or Dismissed	30.0%	30.0%	30.0%	30.0%	30.0%
Percentage of Confidentiality and Medical Records Cases Favorably Disposed	88.0%	83.3%	85.0%	85.0%	85.0%

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Education

Department of Elementary and Secondary Education
Administration of the Comprehensive Education Strategy
Davies Career and Technical School
Rhode Island School for the Deaf
Metropolitan Career and Technical School
Education Aid
Central Falls School District
Housing Aid
Teachers' Retirement

Public Higher Education
Board of Governors/Office of Higher Education
University of Rhode Island
Rhode Island College
Community College of Rhode Island

Rhode Island Council on the Arts
Rhode Island Atomic Energy Commission
Rhode Island Higher Education Assistance Authority
Rhode Island Historical Preservation and Heritage Commission
Rhode Island Public Telecommunications
Authority-WSBE/Channel 36

Education Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	485,122,382	478,325,431	536,591,181	526,142,339	507,501,601	543,730,946
Operating Supplies and Expenses	183,249,191	186,035,131	188,226,164	186,393,569	198,132,981	203,373,824
Aid to Local Units of Government	1,012,703,553	974,622,331	1,013,854,653	1,013,854,653	963,118,630	990,784,117
Assistance, Grants, and Benefits	179,840,451	220,727,688	255,204,310	255,204,310	304,167,346	280,398,964
Subtotal: Operating Expenditures	\$1,860,915,577	\$1,859,710,581	\$1,993,876,308	\$1,981,594,871	\$1,972,920,558	\$2,018,287,851
Capital Purchases and Equipment	13,009,617	12,327,131	38,398,221	38,398,221	47,745,130	45,941,433
Debt Service	31,088,557	34,716,042	42,147,906	42,147,906	39,055,447	42,806,975
Operating Transfers	12,133,991	20,512,628	-	183,248	2,914,093	3,016,891
Total Expenditures	\$1,917,147,742	\$1,927,266,382	\$2,074,422,435	\$2,062,324,246	\$2,062,635,228	\$2,110,053,150
Expenditures by Funds						
General Revenue	1,114,785,735	1,008,773,625	1,043,526,489	1,031,428,300	969,898,307	1,003,659,647
Federal Funds	190,717,542	228,132,616	315,042,034	315,042,034	347,625,802	311,846,853
Restricted Receipts	7,414,377	7,517,436	8,677,657	8,677,657	18,364,329	25,100,200
Other Funds	604,230,088	682,842,705	707,176,255	707,176,255	726,746,790	769,446,450
Total Expenditures	\$1,917,147,742	\$1,927,266,382	\$2,074,422,435	\$2,062,324,246	\$2,062,635,228	\$2,110,053,150
FTE Authorization	3,979.2	3,799.8	3,818.9	3,818.9	3,816.9	3,831.9
Sponsored Research Positions	785.0	785.0	785.0	785.0	785.0	785.0
FTE Total	4,764.2	4,584.8	4,603.9	4,603.9	4,601.9	4,616.9

The Agency

Department of Elementary and Secondary Education

Agency Operations

The Commissioner, under the direction of the Board of Regents for Elementary and Secondary Education, heads the R.I. Department of Elementary and Secondary Education (RIDE). She makes certain that RIDE pursues its mission of transforming education in Rhode Island.

Agency Objectives

To fulfill this mission, RIDE, led by the Commissioner of Education, under the direction of the Board of Regents for Elementary and Secondary Education, has adopted these goals:

Ensure Educator Excellence

- Every student must have highly effective teachers; every school must have a highly effective leader; we must support educators throughout their careers.
- Educator preparation programs must vigorously recruit, prepare, and mentor talented individuals to become excellent educators.
- Educators' professional growth and advancement must be aligned with student achievement.

Accelerate All Schools Toward Greatness

- Rhode Island must not allow failing schools to exist; leadership must be responsible for quality improvement; communities must commit to supporting high-quality schools.
- Students will achieve in schools with excellent leadership, sound management, high-quality teaching, and a persistent focus on student achievement.

Establish World-Class Standards and Assessments

- Standards must be clear, high, and internationally benchmarked; we must hold all educators and students to high expectations; we must hold schools accountable for student achievement.

Develop User-Friendly Data Systems

- Everyone must have access to usable data; data must be relevant, timely, and practical; data systems must drive continuous improvement.
- Data will inform the Rhode Island accountability system and provide families, educators, and the community with usable information.

Invest our Resources Wisely

- Financing must be adequate, effective and equitable; we must use incentives to promote both efficiencies and effectiveness; we must be capable stewards of the taxpayers' investments.
- Schools and students will be adequately and equitably funded to attain international performance standards.

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization of RIDE.

The Budget

Department of Elementary and Secondary Education

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Admin. of Comprehensive Educ. Strategy	200,796,844	199,989,594	265,536,034	291,412,828	282,438,579
Davies Career and Technical Center	15,362,576	16,032,722	18,203,732	17,887,436	18,368,592
Rhode Island School for the Deaf	7,653,350	6,439,441	7,219,156	7,186,810	7,341,892
Metropolitan Career and Technical School	11,487,732	11,665,600	16,758,767	13,612,008	17,085,544
Education Aid	680,640,164	648,073,603	658,218,551	634,208,822	636,979,529
Central Falls School District	43,979,035	42,022,492	44,780,100	44,105,383	42,731,889
Housing Aid	49,652,310	54,140,052	61,538,663	58,355,896	71,774,727
Teacher Retirement	83,028,510	73,299,378	77,752,559	63,952,515	66,830,378
Total Expenditures	\$1,092,600,521	\$1,051,662,882	\$1,150,007,562	\$1,130,721,698	\$1,143,551,130
Expenditures By Object					
Personnel	52,906,673	50,426,944	53,934,787	65,674,126	87,201,343
Operating Supplies and Expenses	10,761,672	10,028,404	12,347,782	12,964,890	12,623,609
Aid To Local Units Of Government	1,012,703,553	974,622,331	1,013,854,653	963,118,630	990,784,117
Assistance, Grants and Benefits	14,930,166	15,010,202	63,297,211	83,757,271	44,598,591
Subtotal: Operating Expenditures	\$1,091,302,064	1,050,087,881	1,143,434,433	1,125,514,917	1,135,207,660
Capital Purchases and Equipment	1,298,457	1,440,001	6,573,129	5,206,781	8,343,470
Debt Service	-	-	-	-	-
Operating Transfers	-	135,000	-	-	-
Total Expenditures	\$1,092,600,521	\$1,051,662,882	\$1,150,007,562	\$1,130,721,698	\$1,143,551,130
Expenditures By Funds					
General Revenue	908,826,348	825,851,737	857,726,770	794,693,009	828,248,498
Federal Funds	175,708,363	210,014,722	278,346,091	313,814,070	282,960,896
Restricted Receipts	6,507,062	6,511,894	7,501,077	17,030,683	23,930,750
Other Funds	1,558,748	9,284,529	6,433,624	5,183,936	8,410,986
Total Expenditures	\$1,092,600,521	\$1,051,662,882	\$1,150,007,562	\$1,130,721,698	\$1,143,551,130
FTE Authorization	332.0	311.4	327.4	327.4	342.4
Agency Measures					
Minorities as a Percentage of the Workforce	13.0%	11.0%	11.0%	13.7%	13.7%
Females as a Percentage of the Workforce	71.0%	74.6%	74.6%	69.3%	69.3%
Persons with Disabilities as a Percentage of the Workforce	4.0%	6.5%	6.5%	1.6%	1.6%

The Program

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

Program Operations

Through its Administration of the Comprehensive Education Strategy (ACES), RIDE provides leadership and support for the entire elementary and secondary education system in Rhode Island and ensures that the mission established by the Board of Regents, the Governor, and the Legislature is implemented. RIDE provides this leadership and support through its various offices: Adult and Career and Technical Education, Instruction and Assessment, Finance, Middle & High School Reform, Network & Information Systems, Policy (Commissioner's Office), Progressive Support & Intervention, Diverse Learners, and Educator Quality & Certification. All RIDE offices work in consort to advocate for a coherent public policy on education, enhance local capacity to improve teaching and learning, sustain an effective accountability system, and build innovative partnerships that create positive change.

Program Objectives

Increase the ability of schools to use information and analyses as part of the school-improvement planning process; work with schools and districts to improve the quality and timeliness of all data collection; maintain a comprehensive education-information system that integrates local and state data; increase professional development opportunities for all educators.

Ensure that all schools and districts meet all annual measurable objectives in both English language arts and mathematics. Work directly with districts that fail to do so, offering support and, if necessary, intervention strategies, with particular attention to the urban school districts and to the student population of English-language learners.

In consort with other New England states, develop and implement grade-level and grade-span expectations for core subjects and develop appropriate assessments to measure student proficiency; improve the attendance rates, high-school graduation rate, and rate of participation on state assessments so as to meet all annual measurable objectives.

Implement the new Rhode Island Diploma System, with its proficiency-based graduation requirements.

Develop, in consort with other state agencies and working through the Adult Literacy Task Force, a plan for improved adult education and workforce readiness.

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization and functions of RIDE.

The Budget

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Center for Accountability	1,152,759	979,593	1,377,597	8,789,156	39,082,860
High Performing Learning	121,028,347	121,079,722	175,921,165	198,817,924	156,762,618
Educator Excellence &	31,135,553	30,560,643	33,064,590	30,698,725	30,341,812
Fiscal Integrity & Efficiency	47,480,185	47,369,636	55,172,682	53,107,023	56,251,289
Total Expenditures	\$200,796,844	\$199,989,594	\$265,536,034	\$291,412,828	\$282,438,579
Expenditures By Object					
Personnel	30,471,143	30,130,718	32,371,707	35,436,955	49,539,188
Operating Supplies and Expenses	7,251,685	6,566,133	8,633,840	8,637,147	8,431,215
Aid To Local Units Of Government	147,784,208	148,043,589	162,353,844	161,582,264	177,720,635
Assistance, Grants and Benefits	14,906,930	14,961,529	61,800,155	83,660,215	44,501,535
Subtotal: Operating Expenditures	\$200,413,966	\$199,701,969	\$265,159,546	\$289,316,581	\$280,192,573
Capital Purchases and Equipment	382,878	287,625	376,488	2,096,247	2,246,006
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$200,796,844	\$199,989,594	\$265,536,034	\$291,412,828	\$282,438,579
Expenditures By Funds					
General Revenue	21,139,290	19,485,367	20,387,006	19,376,606	20,130,028
Federal Funds	174,464,929	175,402,327	239,430,914	263,834,165	254,438,702
Restricted Receipts	5,181,375	4,948,038	5,518,114	6,074,922	5,591,487
Other Funds	11,250	153,862	200,000	2,127,135	2,278,362
Total Expenditures	\$200,796,844	\$199,989,594	\$265,536,034	\$291,412,828	\$282,438,579
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Elementary and Secondary Education Davies Career and Technical School

Program Operations

With respect to career and technical education, the William M. Davies Jr. Career & Technical High School provides a high-school education program in occupational areas for youths in grades 9-12. The school provides integrated academic and vocational curricula, up-to-date technology programs to meet the varying needs of all students, and strong links to business, industry, postsecondary education, and the community. Davies operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve student performance by providing students with the career preparation they need to compete and succeed in today's world and the world of the future and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the varying needs of students.

Establish strong partnerships with business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Davies Career and Technical School

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	13,562,541	13,559,284	14,086,108	13,708,362	14,299,006
Operating Supplies and Expenses	1,606,756	1,640,407	1,654,671	1,963,040	1,776,698
Aid To Local Units Of Government	107,524	61,103	270,888	205,500	200,000
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$15,276,821	\$15,260,794	\$16,011,667	\$15,876,902	\$16,275,704
Capital Purchases and Equipment	85,755	771,928	2,192,065	2,010,534	2,092,888
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$15,362,576	\$16,032,722	\$18,203,732	\$17,887,436	\$18,368,592
Expenditures By Funds					
General Revenue	14,243,480	14,214,030	14,056,193	13,504,484	14,351,546
Federal Funds	1,103,560	1,190,946	2,097,539	2,609,399	2,068,046
Restricted Receipts	-	-	-	376	-
Other Funds	15,536	627,746	2,050,000	1,773,177	1,949,000
Total Expenditures	\$15,362,576	\$16,032,722	\$18,203,732	\$17,887,436	\$18,368,592
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Elementary and Secondary Education Rhode Island School for the Deaf

Program Operations

The primary purpose of the Rhode Island School for the Deaf is to make the benefits of public education accessible to the hearing- and/or speech-impaired children of Rhode Island. The Board of Regents, which is responsible for the educational and internal affairs of the school, appoints a Board of Trustees. Services through the school are open to children who are hearing- and/or speech-impaired and thus require special services. Children are eligible for services from birth to age 21.

Program Objectives

Improve learning outcomes for hearing- and/or speech-impaired students, including those with additional disabilities, by providing diagnostic and educational services for attending students as well as through a Hearing/Screening Center for students in public and private schools.

Provide support services to the families of hearing- and/or speech-impaired students.

Statutory History

Title 16 Chapter 26, Section 1-11 of the Rhode Island General Laws refers to the Rhode Island School for the Deaf.

The Budget

Department of Elementary and Secondary Education Rhode Island School for the Deaf

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	6,447,040	5,814,568	6,727,925	6,722,433	6,851,950
Operating Supplies and Expenses	356,040	295,752	389,599	367,321	388,310
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	23,236	48,673	97,056	97,056	97,056
Subtotal: Operating Expenditures	\$6,826,316	\$6,158,993	\$7,214,580	\$7,186,810	\$7,337,316
Capital Purchases and Equipment	827,034	280,448	4,576	-	4,576
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$7,653,350	\$6,439,441	\$7,219,156	\$7,186,810	\$7,341,892
Expenditures By Funds					
General Revenue	6,551,039	5,945,666	5,947,646	5,685,802	6,045,502
Federal Funds	133,162	214,226	667,970	780,067	575,449
Restricted Receipts	-	-	603,540	720,941	720,941
Other Funds	969,149	279,549	-	-	-
Total Expenditures	\$7,653,350	\$6,439,441	\$7,219,156	\$7,186,810	\$7,341,892
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Elementary and Secondary Education Metropolitan Career and Technical School

Program Operations

The Metropolitan Regional Career and Technical Center (the "Met School") is now in its 10th year of operation. The school provides students in grades 9-12 with comprehensive educational support to explore career opportunities in a real-world setting and prepares them for either further education or immediate employment upon graduation. The Metropolitan Regional Career and Technical Center operates as a local education agency, with campuses in Providence and in Newport, and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve performance and equip students to compete in today's world by providing them with the work and community-based opportunities they need for career and college preparation and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the needs of each student.

Establish strong partnerships with families, business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Metropolitan Career and Technical School

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	3,241	2,325
Aid To Local Units Of Government	11,487,732	11,565,600	12,758,767	12,508,767	13,083,219
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$11,487,732	\$11,565,600	\$12,758,767	\$12,512,008	\$13,085,544
Capital Purchases and Equipment	-	100,000	4,000,000	1,100,000	4,000,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$11,487,732	\$11,665,600	\$16,758,767	\$13,612,008	\$17,085,544
Expenditures By Funds					
General Revenue	11,487,732	11,565,600	12,187,381	11,860,572	12,618,353
Federal Funds	-	-	571,386	651,436	467,191
Other Funds	-	100,000	4,000,000	1,100,000	4,000,000
Total Expenditures	\$11,487,732	\$11,665,600	\$16,758,767	\$13,612,008	\$17,085,544
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Elementary and Secondary Education Education Aid

Program Operations

The State of Rhode Island provides direct support to public schools and public-school students through state aid. State aid consists of ten categories of funds distributed to school districts on a monthly basis: General Aid (16-7.1-15); Student Equity Investment Fund (16-7.1-8); Early Childhood Investment Fund (16-7.1-11); Student Technology Investment Fund (16-7.1-12); Language Assistance Investment Fund (16-7.1-9); Targeted Aid (16-7.1-16); Full Day Kindergarten Aid (16-7.1-11.1); Vocational Equity Investment Fund (16-7.1-19); Group Home Aid (16-64-1.1); and the Professional Development Investment Fund (16-7.1-10).

Additional state aid is distributed to districts once a year for specific purposes, e.g., textbooks and school breakfast. Education Aid also includes aid to support charter schools as well as funding used at the state level in support of schools for accountability (e.g., SALT visits), state professional-development activities, and progressive support and intervention. In addition, money is provided to Hasbro Children's Hospital on an annual basis. A major component of education aid is the funds provided to districts and schools that are in Corrective Action or Intervention Status, under the terms of the federal No Child Left Behind Act. These funds are used for Progressive Support & Intervention activities (16-7.1-5) and are used primarily in the urban districts in the state.

Program Objectives

State aid will be linked through school-district strategic planning efforts to activities that increase student performance in reading, language arts, and mathematics.

School districts with schools in need of improvement will work with the Department of Elementary and Secondary Education to use state aid in conjunction with local and federal resources to increase student and school performance.

Statutory History

Title 16 of the Rhode Island General Laws establishes and provides for the organization and functions of the department. Title 16, Chapters 7 through 47 of the Rhode Island General Laws refers to education aid.

The Budget

Department of Elementary and Secondary Education Education Aid

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,425,949	922,374	749,047	9,806,376	16,511,199
Operating Supplies and Expenses	1,547,191	1,525,338	1,669,672	1,971,520	2,016,954
Aid To Local Units Of Government	676,664,234	645,490,891	654,399,832	622,430,926	618,451,376
Assistance, Grants and Benefits	-	-	1,400,000	-	-
Subtotal: Operating Expenditures	\$680,637,374	\$647,938,603	\$658,218,551	\$634,208,822	\$636,979,529
Capital Purchases and Equipment	2,790	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	135,000	-	-	-
Total Expenditures	\$680,640,164	\$648,073,603	\$658,218,551	\$634,208,822	\$636,979,529
Expenditures By Funds					
General Revenue	679,307,765	606,396,182	623,428,385	581,548,766	595,579,172
Federal Funds	6,712	32,173,817	33,410,743	42,425,612	23,782,035
Restricted Receipts	1,325,687	1,563,856	1,379,423	10,234,444	17,618,322
Other Funds	-	7,939,748	-	-	-
Total Expenditures	\$680,640,164	\$648,073,603	\$658,218,551	\$634,208,822	\$636,979,529
Program Measures					
Percentage of Rhode Island Elementary School Students Proficient in Reading	66.0%	69.0%	70.0%	70.0%	71.0%
Percentage of Rhode Island Elementary School Students Proficient in Mathematics	57.0%	61.0%	62.0%	62.0%	63.0%
Percentage of Rhode Island Elementary School Students Proficient in Science	36.0%	40.0%	42.0%	42.0%	44.0%
Percentage of Rhode Island Middle School Students Proficient in Reading	62.0%	68.0%	69.0%	69.0%	71.0%
Percentage of Rhode Island Middle School Students Proficient in Mathematics	51.0%	53.0%	54.0%	54.0%	55.0%
Percentage of Rhode Island Middle School Students Proficient in Science	19.0%	18.0%	21.0%	21.0%	24.0%
Percentage of Rhode Island High School Students Proficient in Reading	64.0%	69.0%	70.0%	70.0%	71.0%
Percentage of Rhode Island High School Students Proficient in Mathematics	23.0%	27.0%	30.0%	30.0%	33.0%
Percentage of Rhode Island High School Students Proficient in Science	16.0%	19.0%	21.0%	21.0%	24.0%
High School Graduation Rate	70.4%	74.0%	75.0%	75.0%	77.0%

The Program

Department of Elementary and Secondary Education Central Falls School District

Program Operations

The Central Falls School District provides a comprehensive educational program for students in grades pre-K–12. The system, which is fully funded by the State, operates under the governance of a Board of Trustees appointed by the Board of Regents. A very high percentage of students are eligible for free lunch; there is broad language, cultural, and racial diversity; a large number of students are eligible for English-as-a-Second-Language (ESL) services. Special Education services are provided to approximately 30 percent of the school population, with the majority of the students receiving services in the least-restrictive environment. The district's mobility rate is very high, and the expenditure for general instruction is below the state average.

Program Objectives

Improve student performance by providing a comprehensive educational program for students in grades pre-K–12 in the Central Falls School District and by decreasing the number of students who drop out of school.

Improve student performance by promoting a standards-based curriculum and setting high expectations for each student and every kind of learner.

Provide teachers with ongoing professional-development opportunities geared to school improvement.

Continue to promote community linkages and to engage families, with a focus on their children's learning.

Collaborate with the Children's Cabinet to expand early-childhood care and education programs and services.

Statutory History

Title 16, Chapter 16, Section 11 of the Rhode Island General Laws refers to the powers of the department after taking over town schools.

The Budget

Department of Elementary and Secondary Education Central Falls School District

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	774	-	22,621	8,107
Aid To Local Units Of Government	43,979,035	42,021,718	44,780,100	44,082,762	42,723,782
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$43,979,035	\$42,022,492	\$44,780,100	\$44,105,383	\$42,731,889
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$43,979,035	\$42,022,492	\$44,780,100	\$44,105,383	\$42,731,889
Expenditures By Funds					
General Revenue	43,416,222	40,805,462	42,428,937	40,408,368	40,918,792
Federal Funds	-	1,033,406	2,167,539	3,513,391	1,629,473
Other Funds	562,813	183,624	183,624	183,624	183,624
Total Expenditures	\$43,979,035	\$42,022,492	\$44,780,100	\$44,105,383	\$42,731,889
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Elementary and Secondary Education Housing Aid

Program Operations

The Department of Elementary and Secondary Education calculates and disburses funding for school housing aid. This aid is provided in order to guarantee adequate school housing for all public-school children in the State and to prevent the cost of school housing from interfering with the effective operation of the schools. The program proposes support of school-district building and infrastructure needs based on reimbursement of successfully completed locally funded capital projects. The reimbursement is linked to district wealth, with poorer communities receiving a higher reimbursement than wealthy districts. The minimum reimbursement is 30 percent, with bonuses for regional school districts and for projects involving asbestos abatement, handicapped accessibility, and energy-conservation work. Capital repairs, renovations, and new construction are all covered by this program.

Program Objectives

The State will successfully support the capital needs of school districts in an equitable fashion.

Statutory History

Title 16, Chapter 7, Sections 35 through 47 established and describes the operation of the School Housing Aid Reimbursement Program.

The Budget

Department of Elementary and Secondary Education Housing Aid

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	49,652,310	54,140,052	61,538,663	58,355,896	71,774,727
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$49,652,310	\$54,140,052	\$61,538,663	\$58,355,896	\$71,774,727
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$49,652,310	\$54,140,052	\$61,538,663	\$58,355,896	\$71,774,727
Expenditures By Funds					
General Revenue	49,652,310	54,140,052	61,538,663	58,355,896	71,774,727
Total Expenditures	\$49,652,310	\$54,140,052	\$61,538,663	\$58,355,896	\$71,774,727
Program Measures	NS	NS	NS	NS	NS

The Program

Department of Elementary and Secondary Education Teacher Retirement

Program Operations

Membership in the State Employees' Retirement System for teachers began on July 1, 1949. All persons who became teachers on or after that date became members of the state retirement system as a condition of their employment. Funds for the State's contribution to teachers' retirement are appropriated to the Department of Elementary and Secondary Education for transfer to the state retirement fund. The State pays 40 percent of the employer's (i.e., district's) share of the retirement contribution due each year. The employer's share is determined annually, based on actuarial reports produced by the State Employees' Retirement System.

Program Objectives

Fund the State's contribution to the state retirement system for teachers.

Statutory History

Title 16, Chapter 16 of the Rhode Island General Laws refers to the teachers' retirement program.

The Budget

Department of Elementary and Secondary Education Teacher Retirement

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	83,028,510	73,299,378	77,752,559	63,952,515	66,830,378
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$83,028,510	\$73,299,378	\$77,752,559	\$63,952,515	\$66,830,378
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$83,028,510	\$73,299,378	\$77,752,559	\$63,952,515	\$66,830,378
Expenditures By Funds					
General Revenue	83,028,510	73,299,378	77,752,559	63,952,515	66,830,378
Total Expenditures	\$83,028,510	\$73,299,378	\$77,752,559	\$63,952,515	\$66,830,378
Program Measures	NS	NS	NS	NS	NS

The Agency

Public Higher Education

Agency Operations

The mission of the Rhode Island Board of Governors for Higher Education is to provide an excellent, efficient, accessible and affordable system of higher education designed to improve the overall educational attainment of Rhode Islanders and thereby enrich the intellectual, economic, social and cultural life of the state, its residents and its communities.

The Board consists of twelve public members appointed by the Governor and the Chair of the Board of Regents for Elementary/Secondary Education. The Board is staffed by the Office of Higher Education under the direction of the Commissioner who also serves as Chief Executive Officer of the Board.

The Office of Higher Education is the policy development, research and monitoring arm of the Board of Governors. The Office is organized into four units: Academic and Student Affairs, External Affairs, Legal and Labor Relations, and Finance and Management. Its principal responsibilities include: the preparation of a public higher education budget and capital development program and the development of policies in the pursuit of the primary goal of improving overall educational attainment in the state through a commitment to excellence, opportunity and access, diversity and responsiveness, coordination, and accountability in public higher education.

Statutory History

In 1981, The Rhode Island Legislature reorganized the governance of education in Rhode Island in the effect dividing the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units -- the Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education. The legislature held that the Board of Governors not be a Department of State government, but rather an independent public corporation which acts in concert and cooperation with the executive and legislative branches in the best interest of the higher education needs of Rhode Islanders. This legal independence applies not only to matters of educational policy, but also to fiscal and budgetary matters, personnel administration and property ownership and control.

The Budget

Public Higher Education

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Board of Governors/OHE	12,929,072	9,875,804	11,121,545	10,444,071	10,637,547
University of Rhode Island	529,108,817	569,723,927	587,064,490	607,619,620	637,149,130
Rhode Island College	131,460,101	136,939,517	156,150,286	147,457,793	154,697,677
Community College of Rhode Island	116,408,577	124,219,989	132,433,411	131,588,011	130,399,824
Total Expenditures	\$789,906,567	\$840,759,237	\$886,769,732	\$897,109,495	\$932,884,178
Expenditures By Object					
Personnel	420,287,229	416,242,854	466,479,813	428,525,891	442,489,032
Operating Supplies and Expenses	168,960,606	172,852,890	171,441,473	180,781,053	186,374,197
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	146,012,210	185,976,738	175,889,948	204,281,662	221,186,195
Subtotal: Operating Expenditures	\$735,260,045	\$775,072,482	\$813,811,234	\$813,588,606	\$850,049,424
Capital Purchases and Equipment	11,423,974	10,593,085	30,810,592	41,551,349	37,010,888
Debt Service	31,088,557	34,716,042	42,147,906	39,055,447	42,806,975
Operating Transfers	12,133,991	20,377,628	-	2,914,093	3,016,891
Total Expenditures	\$789,906,567	\$840,759,237	\$886,769,732	\$897,109,495	\$932,884,178
Expenditures By Funds					
General Revenue	189,982,773	170,814,823	173,306,844	162,966,485	163,878,632
Federal Funds	4,924,539	3,735,334	20,338,416	19,641,010	14,754,667
Restricted Receipts	715,937	651,932	667,543	754,577	690,000
Other Funds	594,283,318	665,557,148	692,456,929	713,747,423	753,560,879
Total Expenditures	\$789,906,567	\$840,759,237	\$886,769,732	\$897,109,495	\$932,884,178
FTE Authorization					
	3,549.8	3,395.0	3,397.1	3,397.1	3,397.1
Sponsored Research					
	785.0	785.0	785.0	785.0	785.0
Total	4,334.8	4,180.0	4,182.1	4,182.1	4,182.1
Agency Measures					
Minorities as a Percentage of the Workforce	9.3%	9.1%	11.1%	11.1%	11.5%
Females as a Percentage of the Workforce	55.0%	53.3%	56.9%	56.9%	56.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	2.7%	2.7%	3.3%

The Program

Public Higher Education Board of Governors/Office of Higher Education

Program Operations

The Board of Governors for Higher Education was established by the General Assembly in 1981.

Program Objective

To ensure that public higher education remains economically and geographically accessible to all qualified residents in Rhode Island; deliver public higher education programs on a cost-effective basis; remain coordinated in program offerings, responsive in services and accountable in operations; and to pursue the major goal of excellence in education.

Statutory History

The Board of Governors is established under Title 16, Chapter 59 of the Rhode Island General Laws, and is successor to the authority previously exercised over public higher education by the Board of Regents for Education until 1981. At that time, the Board of Regents was abolished and its authority vested in three new entities: The Board of Governors for Higher Education; the Board of regents for Elementary/Secondary Education as designated in Title 26, Chapter 60; and the Rhode Island Public Telecommunications Authority as designated in Title 16, Chapter 61 of the Rhode Island General Laws.

The Budget

Public Higher Education Board of Governors/Office of Higher Education

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,025,670	2,103,182	2,836,261	2,439,613	2,510,560
Operating Supplies and Expenses	3,752,908	3,513,298	3,579,702	3,401,474	3,524,143
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	7,140,118	4,259,324	4,697,932	3,981,396	4,055,641
Subtotal: Operating Expenditures	\$12,918,696	\$9,875,804	\$11,113,895	\$9,822,483	\$10,090,344
Capital Purchases and Equipment	10,376	-	7,650	93,385	19,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	528,203	528,203
Total Expenditures	\$12,929,072	\$9,875,804	\$11,121,545	\$10,444,071	\$10,637,547
Expenditures By Funds					
General Revenue	7,907,869	6,610,474	7,364,037	6,922,455	7,153,767
Federal Funds	4,924,539	3,265,330	3,757,508	3,409,039	3,483,780
Restricted Receipts	96,664	-	-	112,577	-
Total Expenditures	\$12,929,072	\$9,875,804	\$11,121,545	\$10,444,071	\$10,637,547
Program Measures					
Public Higher Education Enrollees Aged 18-24 as a Percentage of State Population Aged 18-24	20.5%	22.2%	22.2%	22.2%	19.9%

The Program

Public Higher Education University of Rhode Island

Program Operations

The University of Rhode Island is the principal public research and graduate institution in the State of Rhode Island with responsibilities for expanding knowledge, for transmitting it, and for fostering its application. Its status as a land grant, sea grant, and urban grant institution highlights its traditions of natural resource, marine, and urban-related research. The University is committed to providing strong undergraduate programs to promote students' ethical development and capabilities as critical and independent thinkers. To meet student and societal needs, it offers undergraduate professional education programs in a wide range of disciplines. Graduate programs provide rigorous advanced study and research opportunities for personal and professional development. With undergraduate and graduate programs in the liberal arts and sciences and focus programs in the areas of marine and environmental studies; health; children, families, and communities; and enterprise and advanced technology, the University strives to meet the rapidly changing needs of the State, the country, and the world.

Program Objective

Fulfill the education mission of the University by providing traditional and non-traditional opportunities for education at the undergraduate and graduate levels, conduct research, and support other scholarly activities.

Statutory History

Title 16, Chapters 31 and 32 of the Rhode Island General Laws relate to the University of Rhode Island.

The Budget

Public Higher Education University of Rhode Island

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	255,697,830	250,647,560	273,171,799	258,571,715	267,796,099
Operating Supplies and Expenses	127,925,693	127,702,880	124,876,225	134,333,517	136,620,234
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	108,490,762	147,000,492	138,691,067	161,625,417	174,598,792
Subtotal: Operating Expenditures	492,114,285	525,350,932	536,739,091	554,530,649	579,015,125
Capital Purchases and Equipment	4,682,079	5,113,612	15,422,117	20,032,983	21,679,397
Debt Service	23,544,587	26,982,298	34,903,282	33,055,988	36,454,608
Operating Transfers	8,767,866	12,277,085	-	-	-
Total Expenditures	\$529,108,817	\$569,723,927	\$587,064,490	\$607,619,620	\$637,149,130
Expenditures By Funds					
General Revenue	85,334,312	74,782,808	75,784,427	71,897,834	72,576,691
Federal Funds	-	470,004	7,645,103	7,296,166	5,036,744
Other Funds	443,774,505	494,471,115	503,634,960	528,225,620	559,535,695
Total Expenditures	\$529,108,817	\$569,723,927	\$587,064,490	\$607,419,620	\$637,149,130
Program Measures					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	6.0%	6.0%	9.8%	9.8%	9.9%
Minority Enrollment - African Americans	4.3%	4.4%	4.6%	4.6%	4.6%
Minority Enrollment - Hispanics	4.2%	4.6%	5.0%	5.0%	5.0%
Minority Enrollment - Native Americans	0.4%	0.4%	0.4%	0.4%	0.4%
Minority Enrollment - Asians	2.4%	2.8%	2.9%	2.9%	2.9%
Percentage Nursing Students Passing State Licensing Exams	88.2%	88.5%	96.6%	96.6%	91.5%
Six-Year Graduation Rates	57.5%	57.9%	60.1%	60.1%	60.1%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	81.0%	80.1%	78.8%	78.8%	78.8%

The Program

Public Higher Education Rhode Island College

Program Operations

Rhode Island College is the State's comprehensive public institution of higher education. Its primary mission is to make its academic programs available to any qualified resident of Rhode Island who can benefit from its educational services. The College offers programs of study in that array of disciplines traditionally considered as the liberal arts, including humanities, social sciences, sciences, and fine arts, and a broad array of professional offerings in the health and helping professions, education, management, and technology. Selected curricula are offered at the graduate level within the liberal arts and in applied and practitioner oriented fields within the service sector. Liberal education refers to learning opportunities designed to ensure that students have a breadth of educational experiences, which foster the development of insights and multiple perspectives on ideas, issues, and events, as well as an appreciation of the interconnectedness of fields of knowledge, and the value dimensions of the human condition.

Program Objective

The College is dedicated to making a high quality education accessible to a diverse group of students. Through its educational, social and cultural programs and activities, the College seeks to contribute to the professional mobility and advancement of its students and alumni, to the economic development of the State of Rhode Island, and to the enrichment of the larger community.

Statutory History

Title 16, Chapters 31 and 33 of the Rhode Island General Laws relate to Rhode Island College.

The Budget

Public Higher Education Rhode Island College

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	86,516,745	87,316,138	101,448,046	88,430,271	92,018,119
Operating Supplies and Expenses	18,483,284	19,138,114	23,276,960	22,110,231	23,786,245
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	15,567,143	17,258,896	17,432,508	18,903,566	22,314,811
Subtotal: Operating Expenditures	\$120,567,172	\$123,713,148	\$142,157,514	\$129,444,068	\$138,119,175
Capital Purchases and Equipment	2,138,069	2,154,377	8,295,003	11,366,798	9,583,287
Debt Service	6,017,039	6,121,017	5,697,769	4,451,533	4,703,523
Operating Transfers	2,737,821	4,950,975	-	2,195,394	2,291,692
Total Expenditures	\$131,460,101	\$136,939,517	\$156,150,286	\$147,457,793	\$154,697,677
Expenditures By Funds					
General Revenue	47,539,037	43,108,657	42,186,799	39,663,781	39,587,617
Federal Funds	-	-	5,695,093	5,695,093	3,973,232
Other Funds	83,921,064	93,830,860	108,268,394	102,098,919	111,136,828
Total Expenditures	\$131,460,101	\$136,939,517	\$156,150,286	\$147,457,793	\$154,697,677
Program Measures					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	6.0%	9.8%	7.3%	7.3%	9.0%
Minority Enrollment - African Americans	5.3%	5.6%	5.2%	5.2%	5.3%
Minority Enrollment - Hispanics	5.6%	6.6%	6.0%	6.0%	6.2%
Minority Enrollment - Native Americans	0.3%	0.4%	0.3%	0.3%	0.3%
Minority Enrollment - Asians	1.9%	2.1%	2.0%	2.0%	2.0%
Percentage Nursing Students Passing State Licensing Exams	95.2%	92.9%	98.1%	98.1%	95.0%
Six-Year Graduation Rates	45.0%	46.1%	45.0%	45.0%	45.0%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	75.4%	76.6%	77.0%	77.0%	77.0%

The Program

Public Higher Education Community College of Rhode Island

Program Operations

The Community College of Rhode Island is the largest public, two-year degree-granting college in New England. The Community College provides a variety of vocational, technical and academic programs at campuses in Warwick, Lincoln and Providence.

As a community-based college, the Community College is also committed to providing a wide range of programs, workshops and seminars to benefit area students, businesses and governmental agencies. In every sense, the Community College of Rhode Island strives to meet the educational needs of the commuters and the people of the state.

Program Objective

Fulfill the education mission of the Community College by providing academic transfer and career-oriented training of the highest caliber giving special attention to the quality of offerings and effectiveness of instruction, and offering students support services necessary to achieve their educational goals.

Statutory History

Title 16, Chapters 31, 33.1, and 44 of the Rhode Island General Laws relate to the Community College of Rhode Island.

The Budget

Public Higher Education Community College of Rhode Island

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	76,046,984	76,175,974	89,023,707	79,084,292	80,164,254
Operating Supplies and Expenses	18,798,721	22,498,598	19,708,586	20,935,831	22,443,575
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	14,814,187	17,458,026	15,068,441	19,771,283	20,216,951
Subtotal: Operating Expenditures	\$109,659,892	\$116,132,598	\$123,800,734	\$119,791,406	\$122,824,780
Capital Purchases and Equipment	4,593,450	3,325,096	7,085,822	10,058,183	5,729,204
Debt Service	1,526,931	1,612,727	1,546,855	1,547,926	1,648,844
Operating Transfers	628,304	3,149,568	-	190,496	196,996
Total Expenditures	\$116,408,577	\$124,219,989	\$132,433,411	\$131,588,011	\$130,399,824
Expenditures By Funds					
General Revenue	49,201,555	46,312,884	47,971,581	44,482,415	44,560,557
Federal Funds	-	-	3,240,712	3,240,712	2,260,911
Restricted Receipts	619,273	651,932	667,543	642,000	690,000
Other Funds	66,587,749	77,255,173	80,553,575	83,222,884	82,888,356
Total Expenditures	\$116,408,577	\$124,219,989	\$132,433,411	\$131,588,011	\$130,399,824
Program Measures					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	6.0%	8.6%	9.3%	9.3%	8.2%
Minority Enrollment - African Americans	7.5%	7.5%	8.0%	8.0%	8.0%
Minority Enrollment - Hispanics	12.1%	12.3%	12.3%	12.3%	12.4%
Minority Enrollment - Native Americans	0.6%	0.6%	0.6%	0.6%	0.6%
Minority Enrollment - Asians	2.6%	2.6%	2.5%	2.5%	2.6%
Percentage Nursing Students Passing State Licensing Exams (RN)	85.9%	90.0%	90.0%	90.0%	95.0%
Percentage Nursing Students Passing State Licensing Exams (LPN)	90.9%	98.0%	98.0%	98.0%	90.0%
Student Success Rate	30.2%	32.5%	33.0%	33.0%	33.5%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	62.6%	60.9%	62.0%	62.0%	62.0%

The Agency

Rhode Island Council on the Arts

Agency Operations

The Rhode Island State Council on the Arts was established in 1967. It provides grants, technical assistance and support to arts organizations, schools, community centers, social service organizations, and local governments to bring the arts into the lives of Rhode Islanders. For effective delivery of services to the field, the agency's activities are guided by three core functions: grant-making, partnerships, and facilitation.

Funding within the agency's various grant categories allows the council to address its primary function by awarding grants to non-profit organizations and providing arts programming to support non-profit organizations, schools and individual artists.

The second core function refers to developing strategic partnerships with major institutions and entities from the public and private sectors in order to broaden support for the arts. Current partners are: the National Endowment for the Arts, the New England Foundation for the Arts, the Rhode Island Foundation, the Alliance for Arts Education, Business Volunteers for the Arts, and the State Department of Elementary and Secondary Education.

The third core function of the council includes providing technical assistance and information services. These services include management assistance to individual artists and arts organizations, workshops and general dissemination of information through a wide range of publications.

Motion pictures and television play a significant role in the cultural life of the state. Film and TV has grown during the past several decades into an important art form, and film and TV on the national and international level is a formidable economic engine. The combination of the Rhode Island Film & Television Office with the Rhode Island State Council on the Arts reinforces state support for these art forms, enhances the attractiveness of the state to national and international productions, and facilitates economic progress for the state.

The Art for Public Facilities Program allows the council to acquire works of art for state buildings regularly accessible to the general public in order to expand the public experience of art. The council coordinates the process for commissioning art in public facilities in compliance with the Allocation for Art for Public Facilities Act.

Agency Objectives

To ensure that the arts in Rhode Island communities continue to grow and play an increasing role in the welfare and educational experience of Rhode Islanders.

Statutory History

R.I.G.L. 42-75 defines the role of the council.

The Budget

Rhode Island Council on the Arts

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	763,968	662,858	699,912	722,191	784,349
Operating Supplies and Expenses	109,342	57,565	104,189	105,410	83,932
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,857,872	1,649,972	2,070,725	2,442,549	1,073,850
Subtotal: Operating Expenditures	\$2,731,182	\$2,370,395	\$2,874,826	\$3,270,150	\$1,942,131
Capital Purchases and Equipment	203,207	231,774	400,000	400,000	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,934,389	\$2,602,169	\$3,274,826	\$3,670,150	\$1,942,131
Expenditures By Funds					
General Revenue	2,111,963	1,591,482	1,983,986	1,939,874	991,141
Federal Funds	612,251	698,153	855,840	1,195,276	950,990
Restricted Receipts	-	83,440	-	100,000	-
Other Funds	210,175	229,094	435,000	435,000	-
Total Expenditures	\$2,934,389	\$2,602,169	\$3,274,826	\$3,670,150	\$1,942,131
FTE Authorization	8.6	7.6	8.6	8.6	8.6
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	11.6%	11.6%	11.6%
Females as a Percentage of the Workforce	69.8%	69.8%	69.8%	69.8%	69.8%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Number of Individuals Benefiting from Council-Assisted Programs	3,186,242	4,046,441	4,500,000	4,500,000	4,500,000
Number of Artists Participating in Council-Assisted Programs	13,171	15,372	16,500	16,500	16,500

The Agency

Rhode Island Atomic Energy Commission

Agency Operations

The Rhode Island Nuclear Science Center (RINSC) is used for medical, biological, environmental, and materials research, education and commercial activities. The staff runs the Radiation Safety Program for the University of Rhode Island. The Director serves on the State Radiation Advisory Commission and has taken over responsibility for low-level radioactive waste disposal activities.

The center's state-of-the-art analytic laboratories and equipment are currently being used for several environmental monitoring programs sponsored by the Department of Environmental Management, the Narragansett Bay Commission and other agencies. Several years ago, the facility completed a multi-year, \$3 million dollar reactor upgrade program financed through Department of Energy Grants. In 1993, the reactor was converted to a new low enriched uranium fuel system that has greatly reduced security requirements and associated costs while providing a significant improvement in performance. Subsequent grants have resulted in the addition of required mechanical and electronic equipment necessary to substantially increase reactor capability. These improvements will permit the RINSC to compete successfully for production of medical isotopes and will provide the necessary neutron flux to conduct Neutron Capture Therapy that is a promising new method of curing brain cancer and skin cancer. Engineering, design and fabrication work is currently in progress for the construction of a cancer treatment facility and researchers at Brown University, and the RINSC has received a grant to develop new compounds for use at this facility. This multi-year grant supports a collaborative effort with the Massachusetts Institute of Technology (MIT) to develop a successful treatment for one of the most deadly forms of brain cancer.

A laboratory for the development of new radio-pharmaceuticals has been completed by R.I. Consultants. This company recently developed a new method of utilizing radio-isotopes to prevent clogging of the arteries after angioplasty and they are currently developing new products for several research groups. BioPAL Incorporated is making extensive use of the reactor to conduct analysis of medical samples for a variety of treatment and research purposes. They have developed a new method of using medical isotopes that eliminate the dose to patients during diagnostic treatments. SubChem Systems Inc. has just completed a new laboratory building on the South Lab Wing for the development of underwater sensors for weapons of mass destruction. RINSC is located at the University of Rhode Island, Bay Campus, in Narragansett. The center contains a state-of-the-art nuclear counting system, laboratories, a mass spectrometer, a class-100 clean room and facilities for handling and storage of radioactive material. The Rhode Island Nuclear Science Center has operated on a daily basis without incident since 1962.

Agency Objectives

To operate and maintain the facilities at the RINSC, to support projects in all areas and to actively seek commercial projects, and to provide assistance to other state agencies in their radiation and emergency response programs.

Statutory History

R.I.G.L. 42-27 establishes the commission for matters relating to nuclear power.

The Budget

Rhode Island Atomic Energy Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	974,323	854,866	955,197	916,820	1,014,773
Operating Supplies and Expenses	417,259	146,555	159,918	406,021	409,483
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,391,582	\$1,001,421	\$1,115,115	\$1,322,841	\$1,424,256
Capital Purchases and Equipment	82,979	51,495	102,000	70,000	70,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,474,561	\$1,052,916	\$1,217,115	\$1,392,841	\$1,494,256
Expenditures By Funds					
General Revenue	834,101	784,077	775,346	788,999	877,687
Federal Funds	352,771	51,548	107,000	300,159	300,159
Other Funds	287,689	217,291	334,769	303,683	316,410
Total Expenditures	\$1,474,561	\$1,052,916	\$1,217,115	\$1,392,841	\$1,494,256
FTE Authorization	8.6	8.6	8.6	8.6	8.6
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	33.3%	33.3%	44.4%	44.4%	44.4%
Persons with Disabilities as a Percentage of the Workforce	11.0%	11.0%	11.0%	11.0%	11.0%
Program Measures					
Actual Operational Hours Spent as a Percentage of Operational Hour Goal of 1,820	16.0%	25.0%	30.0%	30.0%	30.0%
Actual Irradiations Provided as a Percentage of Irradiation Goal of 20,000 Sample Hours	31.0%	16.0%	30.0%	30.0%	30.0%

The Agency

Rhode Island Higher Education Assistance Authority

Agency Operations

The Higher Education Assistance Authority (RIHEAA or “the Authority”) is organized into three divisions which administer respectively: the Scholarship and Grant Program; the Tuition Savings Program (*CollegeBoundfund*®); and the Loan Program. The Scholarship and Grant Program administers various student financial assistance programs funded by general revenue appropriations from the State of Rhode Island, as well as by funds received from the U.S. Department of Education and funds derived from other sources. These programs include State Scholarship/Grant Program, the Federal LEAP/SLEAP Programs and the College Access Challenge Grants. The Tuition Savings Program administers the activities of the *CollegeBoundfund*®, including the student financial assistance programs funded by revenues generated by *CollegeBoundfund*®. Those student financial assistance programs are the Academic Promise Scholarship Program, the *CollegeBoundfund*® Matching Grant Program and the Adult Education Grant Program. In addition, revenues from *CollegeBoundfund*® are used to supplement the State Grant Program. The Loan Program administers RIHEAA’s activities as the state designated guaranty agency in the Federal Family Education Loan Program (FFELP) assisting students and their parents in financing higher education expenses with federally guaranteed student loans. As part of Loan Program’s federally mandated responsibilities to conduct early awareness and college outreach activities, RIHEAA has initiated WaytogoRI.org, an online web portal provided free to all Rhode Island residents, all elementary and secondary schools (public, private and parochial) and post-secondary institutions. WaytogoRI.org allows students, parents and educators to explore education and career options, plan and prepare for college and careers, conduct college and career searches and apply on-line and transmit academic transcripts electronically for college admission.

Agency Objectives

The Authority provides a system of financial assistance programs, consisting of the Scholarship and Grant Program, the Tuition Savings Program, and as a guaranty agency in the Federal Family Education Loan Program. Together, these programs enhance the aspirational and motivational levels of students in every school district in Rhode Island by: enabling early awareness of the relevance, importance, and value of college and career planning; promoting access to higher education; supporting the state’s workforce needs; and providing financial assistance to qualified students and parents, many of whom are or might be discouraged and/or restricted from participating in post-secondary education because of insufficient financial resources.

Statutory History

The Authority was created in 1977 to administer the grant, scholarship, and loan programs for the State of Rhode Island, replacing a previously existing loan entity and assuming some functions of the Department of Education. Title 16 Chapter 56 and 57 of the Rhode Island General Laws establish and provide for the organization, functions, and statutory award formula of the Authority.

The Budget

Rhode Island Higher Education Assistance Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Scholarship and Grants	10,587,711	7,976,460	7,668,523	7,615,301	7,439,360
Loans	8,242,459	12,430,604	14,212,538	11,478,769	11,879,335
Tuition Savings	7,091,784	6,837,701	6,750,277	6,462,719	6,521,425
Total Expenditures	\$25,921,954	\$27,244,765	\$28,631,338	\$25,556,789	\$25,840,120
Expenditures By Object					
Personnel	6,775,015	6,840,430	11,106,420	8,570,398	9,004,759
Operating Supplies and Expenses	2,719,701	2,736,678	4,013,136	3,736,171	3,739,659
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	16,426,238	17,667,657	13,009,782	12,749,220	12,594,702
Subtotal: Operating Expenditures	\$25,920,954	\$27,244,765	\$28,129,338	\$25,055,789	\$25,339,120
Capital Purchases and Equipment	1,000	-	502,000	501,000	501,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$25,921,954	\$27,244,765	\$28,631,338	\$25,556,789	\$25,840,120
Expenditures By Funds					
General Revenue	10,219,792	7,283,678	7,305,741	7,238,150	7,274,358
Federal Funds	8,610,378	13,123,386	14,575,320	11,855,920	12,044,337
Other Funds	7,091,784	6,837,701	6,750,277	6,462,719	6,521,425
Total Expenditures	\$25,921,954	\$27,244,765	\$28,631,338	\$25,556,789	\$25,840,120
FTE Authorization	42.6	42.6	42.6	42.6	42.6
Agency Measures					
Minorities as a Percentage of the Workforce	9.5%	10.3%	8.3%	8.3%	5.0%
Females as a Percentage of the Workforce	73.8%	79.5%	79.5%	79.5%	71.5%
Persons with Disabilities as a Percentage of the Workforce	7.2%	7.7%	6.3%	6.3%	2.0%
Program Measures					
Percentage of Eligible Students Receiving Grants	57.3%	47.6%	52.1%	52.1%	52.1%
Average Grant Award	\$1,128	\$850	\$779	\$779	\$703
State Grant as a Percentage of Unmet Need Prior to State Grants	9.7%	7.2%	6.5%	6.5%	5.8%

The Agency

Historical Preservation and Heritage Commission

Agency Operations

The Rhode Island Historical Preservation and Heritage Commission is the state office for historic preservation and for sponsorship of state heritage activities. It is Rhode Island's only statewide preservation program to identify and protect historic buildings, districts, and archeological sites. The commission is responsible for developing a state historical preservation plan, and for survey and planning activities which provide for in-depth studies of each city and town to identify, evaluate, and record sites, structures, districts, and objects of historical, architectural, cultural, or archeological significance to the State.

The commission sponsors and/or coordinates heritage festivals, heritage seminars, conferences, and symposia, and publishes scholarly and popular works relating to the social, political, and cultural development of the State. It also coordinates programs with other private or public groups or agencies which will meet the cultural needs of Rhode Island's citizens. The commission also operates the Eisenhower House at Fort Adams State Park in Newport.

The commission develops and implements programs for the preservation of historic resources. Federal and state projects are reviewed by the commission to assess their effect on specific cultural resources. The commission administers programs of financial assistance, which include grants, loans, and tax credits for restoration projects. A wide range of information and technical assistance is provided to citizens who own historic properties or are interested in preserving the heritage of their neighborhood and community. The commission certifies municipal historic district zoning programs, which meet minimum standards, and annually administers funds to these "certified local governments."

Agency Objectives

Identify and protect historic and prehistoric sites, buildings, and districts throughout the State of Rhode Island and commemorate the history and culture of the State; promote and preserve the State's ethnic and cultural traditions; and provide a better understanding of the various ethnic cultures, which comprise the State's population.

Statutory History

Title 42, Chapter 45 of the Rhode Island General Laws established the Rhode Island Historical Preservation Commission and defined the duties of the Executive Director and commission members. Executive Order Number 10, issued July 1, 1977, initially created the Heritage Commission. In 1981, the General Assembly enacted Title 42, Chapter 79 of the Rhode Island establishing the commission as a permanent state agency. In the 1994 legislative session the General Assembly repealed Title 42, Chapter 79 and amended Title 42, Chapter 45 to add Heritage Commission responsibilities creating the Rhode Island Historical Preservation & Heritage Commission.

The Budget

Historical Preservation and Heritage Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,484,797	1,493,257	1,570,194	1,505,651	1,594,096
Operating Supplies and Expenses	96,418	93,986	96,166	102,379	110,554
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	613,965	423,119	936,644	936,644	945,626
Subtotal: Operating Expenditures	\$2,195,180	\$2,010,362	\$2,603,004	\$2,544,674	\$2,650,276
Capital Purchases and Equipment	-	10,776	10,500	16,000	16,075
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,195,180	\$2,021,138	\$2,613,504	\$2,560,674	\$2,666,351
Expenditures By Funds					
General Revenue	1,494,562	1,241,495	1,285,100	1,262,238	1,351,097
Federal Funds	509,240	509,473	819,367	819,367	835,804
Restricted Receipts	191,378	270,170	509,037	479,069	479,450
Total Expenditures	\$2,195,180	\$2,021,138	\$2,613,504	\$2,560,674	\$2,666,351
FTE Authorization	17.6	16.6	16.6	16.6	16.6
Agency Measures					
Minorities as a Percentage of the Workforce	11.4%	11.8%	12.0%	12.0%	12.0%
Females as a Percentage of the Workforce	70.6%	70.6%	64.0%	64.0%	64.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Cumulative Percentage of the Estimated 2,500 Historic Properties Nominated to the National Registry Annually	235.0%	262.0%	282.0%	282.0%	310.0%
Public Attendance at Heritage Program Assisted Events Stated as a Percentage of the Base Year	112.0%	112.0%	110.0%	110.0%	110.0%
Percentage of Projects Reviewed Within Fifteen Business Days of Review Request	57.0%	49.0%	60.0%	60.0%	70.0%
Percentage of Completed Tax Credit Applications Reviewed within Thirty Business Days from Time of Submission	38.0%	61.0%	70.0%	70.0%	80.0%

The Agency

Rhode Island Public Telecommunications Authority WSBE-TV/Channel 36

Agency Operations

The Rhode Island Public Telecommunications Authority is the owner and license holder for WSBE-TV & WSBE-DT Rhode Island PBS, Rhode Island's public television station. WSBE broadcasts 111 hours per week and is headed by the President and Chief Executive Officer who is responsible for all activities, including private fundraising, which partially supports the Authority's budget.

WSBE-TV provides life-long learning opportunities to all Rhode Islanders, regardless of their ability to pay, through its programming and outreach services. Approximately 98,000 K-12 school children are served by 4.5 hours daily of in-school instructional programming that enhances and augments classroom learning. Other educational programming includes science and nature, documentaries, performing arts, history and music. A wide range of how-to programs enable adult viewers to develop skills in computers, painting, automotive repair, sewing, cooking, home improvement and other practical topics. The mandate to provide training in telecommunications is fulfilled through the internship programs in the production and technical areas. There are currently seven student interns employed at WSBE.

WSBE also provides "Learning Link," an interactive, computer-based telecommunications system connecting schools and libraries across the state, providing forums, national and international gateways, databases and Internet access, serving students and teachers in Rhode Island. WSBE manages and maintains a program, available to every K-12 student around the state, that brings inter-active educational video into the classrooms via the Internet. This program is a full-fledged educational offering and is underwritten with private funding.

WSBE is helping to fulfill and maintain its number one mission of educating, to ensure that children are prepared to learn when they enter school, through pre-school program services and related outreach programs. Outreach programs include literacy, AIDS education, domestic violence and other topics of community concern.

Public affairs programs are aired to increase awareness of community problems and issues, and to help our citizens learn about and cope with an increasingly complex world around them.

Agency Objectives

Educate, inform, enlighten and entertain. Provide educational services to all citizens regardless of their ability to pay for services.

Statutory History

Title 16, Chapter 61 of the Rhode Island General Laws established the Rhode Island Public Telecommunications Authority and defines the duties of its President and Chief Executive Officer.

The Budget

Rhode Island Public Telecommunications Authority WSBE - TV/Channel 36

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,930,377	1,804,222	1,844,858	1,586,524	1,642,594
Operating Supplies and Expenses	184,193	119,053	63,500	37,057	32,390
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$2,114,570	\$1,923,275	\$1,908,358	\$1,623,581	\$1,674,984
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,114,570	\$1,923,275	\$1,908,358	\$1,623,581	\$1,674,984
Expenditures By Funds					
General Revenue	1,316,196	1,206,333	1,142,702	1,009,552	1,038,234
Other Funds	798,374	716,942	765,656	614,029	636,750
Total Expenditures	\$2,114,570	\$1,923,275	\$1,908,358	\$1,623,581	\$1,674,984
FTE Authorization	20.0	18.0	18.0	16.0	16.0
Agency Measures					
Minorities as a Percentage of the Workforce	20.0%	20.0%	15.8%	15.8%	17.7%
Females as a Percentage of the Workforce	25.0%	25.0%	15.8%	15.8%	17.7%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Average Annual Household Viewership of WSBE-TV/Rhode Island PBS Programs					
Weekday Daytime	64	69	77	77	85
Primetime	59	64	71	71	79
All Day	123	120	132	132	145

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Public Safety

Attorney General
Criminal
Civil
Bureau of Criminal Identification
General

Department of Corrections
Central Management
Parole Board
Institutional Corrections
Community Corrections
Internal Service Programs

Judicial Department
Supreme Court
Superior Court
Family Court
District Court
Traffic Tribunal
Workers' Compensation Court
Judicial Tenure and Discipline

Military Staff
National Guard
Emergency Management

Public Safety
Central Management
E-911 Emergency Telephone System
Rhode Island State Fire Marshal
Security Services
Municipal Police Training Academy
State Police
Public Safety Grant Administration Office
Internal Service Program

Office of the Public Defender

Public Safety Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	335,996,925	317,181,156	323,341,010	305,744,371	320,711,019	349,853,600
Operating Supplies and Expenses	38,318,331	39,938,950	41,065,574	38,867,432	41,492,302	40,248,677
Aid to Local Units of Government	-	-	-	-	-	-
Assistance, Grants, and Benefits	39,457,316	43,039,691	39,938,210	39,938,210	54,014,304	44,145,849
Subtotal: Operating Expenditures	\$413,772,572	\$400,159,797	\$404,344,794	\$384,550,013	\$416,217,625	\$434,248,126
Capital Purchases and Equipment	8,563,392	12,729,606	28,780,430	28,780,430	41,938,349	19,391,886
Debt Service	-	-	-	-	-	-
Operating Transfers	-	-	-	-	-	-
Total Expenditures	\$422,335,964	\$412,889,403	\$433,125,224	\$413,330,443	\$458,155,974	\$453,640,012
Expenditures by Funds						
General Revenue	370,786,850	332,354,221	350,006,611	330,211,830	342,439,556	384,839,483
Federal Funds	31,604,332	57,943,547	44,311,419	44,311,419	65,795,795	38,201,552
Restricted Receipts	10,524,748	9,983,926	11,686,499	11,686,499	11,958,315	11,525,933
Other Funds	9,420,034	12,607,709	27,120,695	27,120,695	37,962,308	19,073,044
Total Expenditures	\$422,335,964	\$412,889,403	\$433,125,224	\$413,330,443	\$458,155,974	\$453,640,012
FTE Authorization	3,050.7	2,971.5	3,017.5	3,017.5	3,018.5	3,195.5

The Agency

Attorney General

Agency Operations

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

Agency Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Recommended	FY 2011 Recommended
Expenditures by Program					
Criminal	14,628,614	14,892,520	14,572,581	14,536,950	14,700,468
Civil	4,591,253	4,539,679	4,973,822	4,831,601	4,963,337
Bureau of Criminal Identification	1,036,827	1,052,123	1,056,744	1,112,836	1,131,596
General	2,616,554	2,789,152	2,904,066	3,392,922	2,988,249
Total Expenditures	\$22,873,248	\$23,273,474	\$23,507,213	\$23,874,309	\$23,783,650
Expenditures By Object					
Personnel	21,422,285	21,763,167	21,575,692	21,183,033	21,841,318
Operating Supplies and Expenses	1,191,675	1,224,584	1,657,635	1,772,733	1,600,337
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$22,613,960	\$22,987,751	\$23,233,327	\$22,955,766	\$23,441,655
Capital Purchases and Equipment	259,288	285,723	273,886	918,543	341,995
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$22,873,248	\$23,273,474	\$23,507,213	\$23,874,309	\$23,783,650
Expenditures By Funds					
General Revenue	20,550,412	20,811,424	21,099,743	20,070,966	21,132,161
Federal Funds	1,298,123	1,397,373	1,274,540	1,946,361	1,248,830
Restricted Receipts	867,559	843,798	932,930	1,130,135	1,202,659
Other Funds	157,154	220,879	200,000	726,847	200,000
Total Expenditures	\$22,873,248	\$23,273,474	\$23,507,213	\$23,874,309	\$23,783,650
FTE Authorization	234.8	231.1	231.1	231.1	231.1
Agency Measures					
Minorities as a Percentage of the Workforce	13.8%	13.8%	15.2%	15.2%	17.0%
Females as a Percentage of the Workforce	57.3%	57.3%	59.7%	59.7%	59.0%
Persons with Disabilities as a Percentage of the Workforce	3.0%	2.6%	3.0%	3.0%	3.0%

The Program

Attorney General Criminal

Program Operations

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

Program Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General Criminal

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Recommended	FY 2011 Recommended
Expenditures By Object					
Personnel	13,887,304	14,087,485	13,588,259	13,391,051	13,617,570
Operating Supplies and Expenses	689,567	748,728	937,038	1,010,665	970,801
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$14,576,871	\$14,836,213	\$14,525,297	\$14,401,716	\$14,588,371
Capital Purchases and Equipment	51,743	56,307	47,284	135,234	112,097
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$14,628,614	\$14,892,520	\$14,572,581	\$14,536,950	\$14,700,468
Expenditures By Funds					
General Revenue	13,107,452	13,253,240	13,010,243	12,236,221	13,094,716
Federal Funds	1,201,533	1,343,896	1,218,040	1,859,102	1,192,280
Restricted Receipts	319,629	295,384	344,298	441,627	413,472
Total Expenditures	\$14,628,614	\$14,892,520	\$14,572,581	\$14,536,950	\$14,700,468
Program Measures					
Percentage of Cases Dismissed	7.8%	12.1%	10.6%	10.6%	9.0%

The Program

Attorney General Civil

Program Operations

The Civil Program is comprised of six units, each with very different responsibilities. The General Litigation Unit handles most lawsuits brought against the State and its employees. There are approximately 1,500 active cases, ranging from small claims to issues involving millions of dollars and constitutional claims. Additionally, this unit acts as the legal advisor for 11 boards and commissions, state departments, and agencies. The Regulatory Unit represents the interests of consumers before the Federal Energy Regulatory Commission, the Rhode Island Public Utilities Commission, and the Rhode Island Insurance Commissioner. The Charitable Trusts Unit maintains records relating to the registration of charitable trusts in Rhode Island. The Environmental Advocacy Unit enforces civil and criminal environmental laws of the State and acts as an environmental advocate on public policy issues. The Attorney General's Office provides specialized legal counsel to the Department of Human Services. The Consumer Protection Unit monitors activity in the marketplace and represents the consumer's interest where patterns of fraud or deception have been identified. The unit investigates allegation of improper business operation and initiates settlements and enforcement actions designed to provide restitution, if required. Attempts are made to resolve consumer complaints through a mediation process.

Program Objectives

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

The Budget

Attorney General Civil

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Recommended	FY 2011 Recommended
Expenditures By Object					
Personnel	4,289,850	4,260,557	4,537,684	4,282,135	4,579,031
Operating Supplies and Expenses	298,244	275,963	413,696	502,024	358,692
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$4,588,094	\$4,536,520	\$4,951,380	\$4,784,159	\$4,937,723
Capital Purchases and Equipment	3,159	3,159	22,442	47,442	25,614
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,591,253	\$4,539,679	\$4,973,822	\$4,831,601	\$4,963,337
Expenditures By Funds					
General Revenue	4,043,323	3,991,265	4,385,190	4,143,093	4,174,150
Restricted Receipts	547,930	548,414	588,632	688,508	789,187
Total Expenditures	\$4,591,253	\$4,539,679	\$4,973,822	\$4,831,601	\$4,963,337
Program Measures	NA	NA	NA	NA	NA

The Program

Attorney General Bureau of Criminal Identification

Program Operations

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

Program Objectives

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

Statutory History

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

The Budget

Attorney General Bureau of Criminal Identification

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Recommended	FY 2011 Recommended
Expenditures By Object					
Personnel	953,637	991,085	948,720	1,033,425	1,056,982
Operating Supplies and Expenses	35,958	56,852	105,924	72,451	72,451
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$989,595	\$1,047,937	\$1,054,644	\$1,105,876	\$1,129,433
Capital Purchases and Equipment	47,232	4,186	2,100	6,960	2,163
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,036,827	\$1,052,123	\$1,056,744	\$1,112,836	\$1,131,596
Expenditures By Funds					
General Revenue	940,237	998,646	1,000,244	1,025,577	1,075,046
Federal Funds	96,590	53,477	56,500	87,259	56,550
Total Expenditures	\$1,036,827	\$1,052,123	\$1,056,744	\$1,112,836	\$1,131,596
Program Measures	NA	NA	NA	NA	NA

The Program

Attorney General General

Program Operations

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

Program Objectives

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General General

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Recommended	FY 2011 Recommended
Expenditures By Object					
Personnel	2,291,494	2,424,040	2,501,029	2,476,422	2,587,735
Operating Supplies and Expenses	167,906	143,041	200,977	187,593	198,393
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$2,459,400	\$2,567,081	\$2,702,006	\$2,664,015	\$2,786,128
Capital Purchases and Equipment	157,154	222,071	202,060	728,907	202,121
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,616,554	\$2,789,152	\$2,904,066	\$3,392,922	\$2,988,249
Expenditures By Funds					
General Revenue	2,459,400	2,568,273	2,704,066	2,666,075	2,788,249
Other Funds	157,154	220,879	200,000	726,847	200,000
Total Expenditures	\$2,616,554	\$2,789,152	\$2,904,066	\$3,392,922	\$2,988,249
Program Measures	NA	NA	NA	NA	NA

The Agency

Department of Corrections

Agency Operations

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community.

Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are eight separate, occupied facilities, which have a total operational capacity of 4,004 beds. In FY 2009, the average institutionalized population was 3,773. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2009, the number of probation and parole cases serviced totaled 27,732. The average number of offenders on home or community confinement was 304. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

Agency Objectives

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

The Budget

Department of Corrections

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	8,539,179	7,735,262	8,021,430	6,954,560	8,089,350
Parole Board	1,274,074	1,274,926	1,293,696	1,333,845	1,376,212
Institutional Corrections	175,158,272	156,331,333	161,009,611	163,885,224	166,404,846
Community Corrections	13,758,082	13,794,027	15,030,769	14,165,696	14,399,215
Internal Service Program	[11,233,031]	[11,403,762]	[13,971,495]	[14,267,663]	[14,140,977]
Total Expenditures	\$198,729,607	\$179,135,548	\$185,355,506	\$186,339,325	\$190,269,623
Expenditures By Object					
Personnel	178,052,682	159,908,568	161,213,287	160,307,412	166,071,049
Operating Supplies and Expenses	16,544,832	15,738,583	16,447,305	16,452,931	16,507,626
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,440,890	1,213,180	1,451,364	1,396,364	1,366,414
Subtotal: Operating Expenditures	\$196,038,404	\$176,860,331	\$179,111,956	\$178,156,707	\$183,945,089
Capital Purchases and Equipment	2,691,203	2,275,217	6,243,550	8,182,618	6,324,534
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$198,729,607	\$179,135,548	\$185,355,506	\$186,339,325	\$190,269,623
Expenditures By Funds					
General Revenue	193,138,298	154,269,696	177,390,562	174,850,922	181,711,505
Federal Funds	2,688,836	22,288,285	2,196,668	3,460,879	2,587,994
Restricted Receipts	(61)	-	-	94,000	-
Other Funds	2,902,534	2,577,567	5,768,276	7,933,524	5,970,124
Total Expenditures	\$198,729,607	\$179,135,548	\$185,355,506	\$186,339,325	\$190,269,623
FTE Authorization	1,515.0	1,423.0	1,423.0	1,423.0	1,423.0
Agency Measures					
Minorities as a Percentage of the Workforce	14.7%	15.0%	16.0%	16.0%	16.2%
Females as a Percentage of the Workforce	25.2%	25.0%	25.0%	25.0%	25.2%
Persons with Disabilities as a Percentage of the Workforce	0.8%	0.6%	1.0%	1.0%	1.0%

The Program

Department of Corrections Central Management

Program Operations

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

Program Objectives

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

The Budget

Department of Corrections Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Subprogram					
Executive	2,118,871	1,717,186	1,862,228	1,650,560	1,747,476
Administration	6,420,308	6,018,076	6,159,202	5,304,000	6,341,874
Total Expenditures	\$8,539,179	\$7,735,262	\$8,021,430	\$6,954,560	\$8,089,350
Expenditures By Object					
Personnel	7,477,869	6,858,505	6,651,457	5,939,478	6,684,069
Operating Supplies and Expenses	757,037	786,886	1,261,097	948,250	1,229,625
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	64,704	195	23,457	23,457	23,457
Subtotal: Operating Expenditures	\$8,299,610	\$7,645,586	\$7,936,011	\$6,911,185	\$7,937,151
Capital Purchases and Equipment	239,569	89,676	85,419	43,375	152,199
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$8,539,179	\$7,735,262	\$8,021,430	\$6,954,560	\$8,089,350
Expenditures By Funds					
General Revenue	8,405,137	7,649,105	8,021,430	6,954,560	8,089,350
Federal Funds	134,042	86,157	-	-	-
Total Expenditures	\$8,539,179	\$7,735,262	\$8,021,430	\$6,954,560	\$8,089,350
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Corrections Parole Board

Program Operations

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law-abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2009, the board granted parole in 608 cases and denied parole in 1,080 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre-parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2009, the program made 202 adult and juvenile referrals.

Program Objectives

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

The Budget

Department of Corrections Parole Board

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,224,171	1,231,340	1,227,760	1,277,531	1,309,713
Operating Supplies and Expenses	49,903	43,586	63,896	54,274	64,459
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$1,274,074	\$1,274,926	\$1,291,656	\$1,331,805	\$1,374,172
Capital Purchases and Equipment	-	-	2,040	2,040	2,040
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,274,074	\$1,274,926	\$1,293,696	\$1,333,845	\$1,376,212
Expenditures By Funds					
General Revenue	1,222,111	1,239,110	1,269,396	1,293,845	1,336,212
Federal Funds	51,963	35,816	24,300	40,000	40,000
Total Expenditures	\$1,274,074	\$1,274,926	\$1,293,696	\$1,333,845	\$1,376,212
Program Measures	NS	NS	NS	NS	NS

The Program

Department of Corrections Institutional Corrections

Program Operations

The Institutional Corrections Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes eight institutions providing the various security levels for both men and women. Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes a number of support units. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings. The Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services. Inmate Accounts serves as custodian of inmate funds, and the Inmate Commissary sells toiletries, non-prescription health care items, postage, and snack items to inmates. The program also is responsible for rehabilitative services. The Health Care unit provides medical, dental and mental health services to the inmate population. Other program services include educational and vocational training, drug treatment, sex-offender treatment, health education, conflict management training, and general reading and law libraries for use by prisoners.

Program Objectives

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To support the facilities and inmates with such necessities as food, maintenance, counseling, case management, investigative and other technical services. To provide vitally important rehabilitative services, such as health, education, counseling, and life skills/ re-entry training.

Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

The Budget

Department of Corrections Institutional Corrections

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Institutions	127,542,137	107,582,325	112,246,687	116,200,411	117,128,624
Support Operations	18,591,808	20,099,474	18,729,871	18,112,454	18,733,053
Institutional Rehabilitative Services	27,950,362	27,531,462	28,741,344	28,393,581	29,358,231
Transitional Services	1,073,965	1,118,072	1,291,709	1,178,778	1,184,938
Total Expenditures	\$175,158,272	\$156,331,333	\$161,009,611	\$163,885,224	\$166,404,846
Expenditures By Object					
Personnel	156,270,669	138,700,879	139,076,233	139,667,677	144,406,963
Operating Supplies and Expenses	15,113,808	14,240,384	14,446,122	14,749,179	14,496,423
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,327,646	1,209,911	1,338,979	1,338,979	1,338,979
Subtotal: Operating Expenditures	\$172,712,123	\$154,151,174	\$154,861,334	\$155,755,835	\$160,242,365
Capital Purchases and Equipment	2,446,149	2,180,159	6,148,277	8,129,389	6,162,481
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$175,158,272	\$156,331,333	\$161,009,611	\$163,885,224	\$166,404,846
Expenditures By Funds					
General Revenue	170,206,651	132,011,665	153,293,213	153,467,895	158,625,825
Federal Funds	2,049,148	21,742,101	1,948,122	2,483,805	1,808,897
Restricted Receipts	(61)	-	-	-	-
Other Funds	2,902,534	2,577,567	5,768,276	7,933,524	5,970,124
Total Expenditures	\$175,158,272	\$156,331,333	\$161,009,611	\$163,885,224	\$166,404,846
Program Measures					
Violent Incidences per 1,000 Inmates in the Average Daily Population	14.4	8.1	8.1	8.1	8.1
Substance Abuse Treatment Program Completers Drug Free within 6 Months	99.0%	99.7%	99.5%	99.5%	99.5%
Percentage of Tests for Illegal Substances that are Positive	0.76%	0.76%	0.53%	0.65%	0.65%

The Program

Department of Corrections Community Corrections

Program Operations

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

Probation and Parole: The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. Those under active supervision total 11,635.

Community Programs: Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration.

Program Objective

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

The Budget

Department of Corrections Community Corrections

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Probation and Parole	11,472,514	11,403,032	12,728,883	11,353,597	11,706,124
Community Programs	2,285,568	2,390,995	2,301,886	2,812,099	2,693,091
Total Expenditures	\$13,758,082	\$13,794,027	\$15,030,769	\$14,165,696	\$14,399,215
Expenditures By Object					
Personnel	13,079,973	13,117,844	14,257,837	13,422,726	13,670,304
Operating Supplies and Expenses	624,084	667,727	676,190	701,228	717,119
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	48,540	3,074	88,928	33,928	3,978
Subtotal: Operating Expenditures	\$13,752,597	\$13,788,645	\$15,022,955	\$14,157,882	\$14,391,401
Capital Purchases and Equipment	5,485	5,382	7,814	7,814	7,814
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$13,758,082	\$13,794,027	\$15,030,769	\$14,165,696	\$14,399,215
Expenditures By Funds					
General Revenue	13,304,399	13,369,816	14,806,523	13,134,622	13,660,118
Federal Funds	453,683	424,211	224,246	937,074	739,097
Restricted Receipts	-	-	-	94,000	-
Total Expenditures	\$13,758,082	\$13,794,027	\$15,030,769	\$14,165,696	\$14,399,215
Program Measures					
Percentage of Closed Cases Successfully Completing Terms of Home Confinement or Electronic Monitoring Parole	65.7%	72.6%	65.7%	65.7%	65.7%

The Program

Department of Corrections Internal Service Programs

Program Operations

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center and Correctional Industries.

The Central Distribution Center purchases and inventories \$5.5 million in food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Total FY 2009 sales volume was \$6.1 million. Total employment was 198 inmates.

Program Objective

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations.

The Budget

Department of Corrections Internal Service Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,675,219	2,627,154	2,560,876	2,606,783	2,696,315
Operating Supplies and Expenses	8,376,751	8,601,261	10,520,690	10,556,036	10,554,733
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	146,262	128,247	215,703	215,703	215,703
Subtotal: Operating Expenditures	\$11,198,232	\$11,356,662	\$13,297,269	\$13,378,522	\$13,466,751
Capital Purchases and Equipment	34,799	47,100	674,226	889,141	674,226
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$11,233,031	\$11,403,762	\$13,971,495	\$14,267,663	\$14,140,977
Expenditures By Funds					
Internal Service Funds	11,233,031	11,403,762	13,971,495	14,267,663	14,140,977
Total Expenditures	\$11,233,031	\$11,403,762	\$13,971,495	\$14,267,663	\$14,140,977
Program Measures	NA	NA	NA	NA	NA

The Agency

Judicial Department

Agency Operations

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

The state budget officer's duties and powers relating to budgetary controls and personnel requests of the judicial department are purely ministerial, concerned only with the availability of the funds. Itemized estimates of the financial needs of the Judiciary are submitted, without revision, by the budget officer to the Governor on or before October 1 for inclusion in the budget. In turn the Governor includes in the budget recommendation to the General Assembly the financial needs of the Judiciary without revision.

Statutory History

The Judicial is one of the three departments of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.

The Budget

Judicial Department

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Supreme Court	31,458,181	30,504,363	30,273,250	30,456,399	30,942,703
Superior Court	19,789,720	19,455,462	20,238,308	19,912,834	20,861,678
Family Court	19,484,521	18,743,559	19,404,572	18,777,596	19,741,828
District Court	9,625,764	10,248,553	10,815,151	10,184,331	10,672,936
Traffic Tribunal	6,834,964	7,456,138	7,545,676	7,368,035	7,620,894
Workers' Compensation Court	7,205,888	7,279,342	7,578,922	7,328,378	7,583,440
Judicial Tenure and Discipline	107,533	97,172	128,922	106,403	109,620
Total Expenditures	\$94,506,571	\$93,784,589	\$95,984,801	\$94,133,976	\$97,533,099
Expenditures By Object					
Personnel	73,375,274	72,966,069	75,514,370	72,967,463	76,042,086
Operating Supplies and Expenses	9,578,977	9,298,470	9,193,517	9,606,156	9,733,059
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	9,558,718	9,556,477	9,935,552	10,228,708	10,345,898
Subtotal: Operating Expenditures	\$92,512,969	\$91,821,016	\$94,643,439	\$92,802,327	\$96,121,043
Capital Purchases and Equipment	1,993,602	1,963,573	1,341,362	1,331,649	1,412,056
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$94,506,571	\$93,784,589	\$95,984,801	\$94,133,976	\$97,533,099
Expenditures By Funds					
General Revenue	82,799,851	81,658,618	83,907,229	80,226,082	84,728,358
Federal Funds	1,872,594	1,625,278	1,445,452	3,568,213	2,361,961
Restricted Receipts	8,395,390	8,796,528	9,807,120	9,510,215	9,592,780
Other Funds	1,438,736	1,704,165	825,000	829,466	850,000
Total Expenditures	\$94,506,571	\$93,784,589	\$95,984,801	\$94,133,976	\$97,533,099
FTE Authorization	732.3	729.3	729.3	729.3	729.3
Agency Measures					
Minorities as a Percentage of the Workforce	9.4%	9.8%	9.6%	9.6%	9.6
Females as a Percentage of the Workforce	65.0%	65.0%	56.1%	56.1%	56.1
Persons with Disabilities as a Percentage of the Workforce	0.7%	0.7%	0.7%	0.7%	0.7%

The Program

Judicial Department Supreme Court

Program Operations

The Supreme Court Program includes the budget for the Supreme Court itself, the Administrative Office of State Courts, and the Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, mandatory continuing legal education, victim rights information, alternative dispute resolution, disciplinary counsel, the interpreter program.

Program Objectives

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Supreme Court

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Supreme Court Operations	28,087,941	27,159,275	26,907,561	27,090,710	27,577,014
Defense of Indigents	3,369,184	3,345,088	3,365,689	3,365,689	3,365,689
Total Expenditures	\$31,457,125	\$30,504,363	\$30,273,250	\$30,456,399	\$30,942,703
Expenditures By Object					
Personnel	17,805,043	17,839,012	17,911,996	18,168,719	18,235,234
Operating Supplies and Expenses	7,294,286	6,452,528	6,645,305	6,567,183	6,898,908
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,630,518	4,350,392	4,391,087	4,399,348	4,407,005
Subtotal: Operating Expenditures	\$29,729,847	\$28,641,932	\$28,948,388	\$29,135,250	\$29,541,147
Capital Purchases and Equipment	1,727,278	1,862,431	1,324,862	1,321,149	1,401,556
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$31,457,125	\$30,504,363	\$30,273,250	\$30,456,399	\$30,942,703
Expenditures By Funds					
General Revenue	28,579,837	27,335,144	28,043,805	27,591,625	28,692,816
Federal Funds	250,106	212,788	102,440	548,629	126,968
Restricted Receipts	1,188,446	1,252,266	1,302,005	1,486,679	1,272,919
Other Funds	1,438,736	1,704,165	825,000	829,466	850,000
Total Expenditures	\$31,457,125	\$30,504,363	\$30,273,250	\$30,456,399	\$30,942,703
Program Measures					
Disposition Rate of Appeal Cases	99.0%	99.0%	100.0%	100.0%	100.0%

The Program

Judicial Department Superior Court

Program Operations

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

Program Objectives

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Superior Court

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Superior Court Operations	18,322,900	18,013,808	18,634,677	18,246,104	19,152,687
Jury Operations	1,466,820	1,441,654	1,603,631	1,666,730	1,708,991
Total Expenditures	\$19,789,720	\$19,455,462	\$20,238,308	\$19,912,834	\$20,861,678
Expenditures By Object					
Personnel	16,979,238	16,330,564	17,062,242	16,412,603	17,327,136
Operating Supplies and Expenses	1,049,722	1,178,608	1,093,064	1,073,825	1,061,891
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,754,585	1,946,290	2,083,002	2,426,406	2,472,651
Subtotal: Operating Expenditures	\$19,783,545	\$19,455,462	\$20,238,308	\$19,912,834	\$20,861,678
Capital Purchases and Equipment	6,175	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$19,789,720	\$19,455,462	\$20,238,308	\$19,912,834	\$20,861,678
Expenditures By Funds					
General Revenue	19,635,180	19,370,157	19,861,308	19,450,834	20,549,678
Federal Funds	154,540	85,305	90,000	175,000	-
Restricted Receipts	-	-	287,000	287,000	312,000
Total Expenditures	\$19,789,720	\$19,455,462	\$20,238,308	\$19,912,834	\$20,861,678
Program Measures					
Percentage of Felony Cases Annually Disposed of Within 180 Days	74.0%	67.0%	70.0%	70.0%	70.0%
Disposition Rate of Civil Cases	114.0%	112.0%	100.0%	100.0%	100.0%

The Program

Judicial Department Family Court

Program Operations

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative and mediation services to the court. Likewise, the Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, the Mental Health Court Clinic, and the Juvenile Re-entry Court.

Program Objectives

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

The Budget

Judicial Department Family Court

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	18,169,211	17,348,402	18,065,571	16,933,537	18,158,801
Operating Supplies and Expenses	412,916	502,203	432,096	886,966	656,878
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	898,145	892,954	906,905	957,093	926,149
Subtotal: Operating Expenditures	\$19,480,272	\$18,743,559	\$19,404,572	\$18,777,596	\$19,741,828
Capital Purchases and Equipment	4,249	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$19,484,521	\$18,743,559	\$19,404,572	\$18,777,596	\$19,741,828
Expenditures By Funds					
General Revenue	18,016,573	17,416,374	18,151,560	15,933,012	17,506,835
Federal Funds	1,467,948	1,327,185	1,253,012	2,844,584	2,234,993
Total Expenditures	\$19,484,521	\$18,743,559	\$19,404,572	\$18,777,596	\$19,741,828
Program Measures					
Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing					
Diverted or Referred to Court Within 45 Days	84.0%	84.0%	84.0%	84.0%	84.0%
Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days					
	71.0%	72.0%	75.0%	75.0%	75.0%
Percentage of D/N/A Cases Adjudicated Within 180 Days of Filing					
	41.0%	45.0%	45.0%	45.0%	45.0%
Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days					
	52.0%	71.0%	68.0%	68.0%	70.0%
Percentage of Divorce Cases Disposed of Within 365 Days					
	99.0%	99.0%	100.0%	100.0%	100.0%

The Program

Judicial Department District Court

Program Operations

The District Court consists of a chief judge, and administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejection cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

Program Objectives

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

The Budget

Judicial Department District Court

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	8,448,061	8,702,100	9,285,510	8,813,847	9,210,355
Operating Supplies and Expenses	190,292	524,623	306,986	307,116	356,101
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	984,559	1,021,830	1,222,655	1,063,368	1,106,480
Subtotal: Operating Expenditures	\$9,622,912	\$10,248,553	\$10,815,151	\$10,184,331	\$10,672,936
Capital Purchases and Equipment	2,852	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$9,625,764	\$10,248,553	\$10,815,151	\$10,184,331	\$10,672,936
Expenditures By Funds					
General Revenue	9,625,764	9,983,633	10,175,958	9,776,173	10,248,515
Federal Funds	-	-	-	-	-
Restricted Receipts	-	264,920	639,193	408,158	424,421
Total Expenditures	\$9,625,764	\$10,248,553	\$10,815,151	\$10,184,331	\$10,672,936
Program Measures					
Percentage of Misdemeanor Cases Disposed of Within 60 Days	82.0%	82.0%	82.0%	82.0%	82.0%

The Program

Judicial Department Traffic Tribunal

Program Operations

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is under the supervision of the chief magistrate, who is the administrative head of the traffic tribunal with the power to make rules for regulating practice, procedure and business within the traffic tribunal.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of liquor and drugs, which, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its main courthouse in the John O. Pastore Center, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield.

Program Objectives

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

The Budget

Judicial Department Traffic Tribunal

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	6,153,572	6,796,454	6,842,819	6,661,416	6,897,102
Operating Supplies and Expenses	271,389	217,134	254,308	239,172	232,077
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	410,003	442,550	442,549	467,447	491,715
Subtotal: Operating Expenditures	\$6,834,964	\$7,456,138	\$7,539,676	\$7,368,035	\$7,620,894
Capital Purchases and Equipment	-	-	6,000	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$6,834,964	\$7,456,138	\$7,545,676	\$7,368,035	\$7,620,894
Expenditures By Funds					
General Revenue	6,834,964	7,456,138	7,545,676	7,368,035	7,620,894
Total Expenditures	\$6,834,964	\$7,456,138	\$7,545,676	\$7,368,035	\$7,620,894
Program Measures					
Percentage of Summonses Disposed of within 60 Days	97.0%	97.0%	98.0%	98.0%	98.0%

The Program

Judicial Department Workers' Compensation Court

Program Operations

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division will then decide the matter and a final decree of the Appellate Division will be entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

Program Objectives

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

The Budget

Judicial Department Workers' Compensation Court

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	5,715,376	5,854,901	6,219,786	5,873,286	6,106,186
Operating Supplies and Expenses	356,556	420,838	459,282	529,546	524,856
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	880,908	902,461	889,354	915,046	941,898
Subtotal: Operating Expenditures	\$6,952,840	\$7,178,200	\$7,568,422	\$7,317,878	\$7,572,940
Capital Purchases and Equipment	253,048	101,142	10,500	10,500	10,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$7,205,888	\$7,279,342	\$7,578,922	\$7,328,378	\$7,583,440
Expenditures By Funds					
Restricted Receipts	7,205,888	7,279,342	7,578,922	7,328,378	7,583,440
Total Expenditures	\$7,205,888	\$7,279,342	\$7,578,922	\$7,328,378	\$7,583,440
Program Measures					
Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 90 Days	89.0%	89.0%	90.0%	90.0%	90.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days	85.0%	89.0%	89.0%	89.0%	89.0%

The Program

Judicial Department Judicial Tenure and Discipline

Program Operations

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the commission's recommendation(s).

Program Objectives

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

The Budget

Judicial Department Judicial Tenure and Discipline

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	103,717	94,636	126,446	104,055	107,272
Operating Supplies and Expenses	3,816	2,536	2,476	2,348	2,348
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$107,533	\$97,172	\$128,922	\$106,403	\$109,620
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$107,533	\$97,172	\$128,922	\$106,403	\$109,620
Expenditures By Funds					
General Revenue	107,533	97,172	128,922	106,403	109,620
Total Expenditures	\$107,533	\$97,172	\$128,922	\$106,403	\$109,620
Program Measures					
Percentage of Verified Complaints Disposed of within 90 Days of Docketing	89.0%	77.0%	80.0%	80.0%	80.0%

The Agency

Military Staff

Agency Operations

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard Program is financed with approximately 26 percent general revenue and state capital funds and 7 percent federal funds.

Agency Objectives

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To train, mobilize, and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission.

To ensure the needs of Rhode Island Veteran's and National Guard families and employers are supported.

To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

To increase public awareness of natural hazards, threats, risks, and actions to minimize loss of life or property.

Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.

The Budget

Military Staff

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
National Guard	10,864,994	11,230,425	14,198,287	16,061,766	13,025,220
Emergency Management	12,908,240	17,620,016	12,842,846	24,838,626	13,705,918
Total Expenditures	\$23,773,234	\$28,850,441	\$27,041,133	\$40,900,392	\$26,731,138
Expenditures By Object					
Personnel	7,683,960	7,478,044	9,475,495	9,165,915	9,837,621
Operating Supplies and Expenses	3,463,795	5,867,018	5,994,351	6,429,166	5,148,374
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	10,348,107	13,549,743	8,873,697	20,812,291	10,172,643
Subtotal: Operating Expenditures	\$21,495,862	\$26,894,805	\$24,343,543	\$36,407,372	\$25,158,638
Capital Purchases and Equipment	2,277,372	1,955,636	2,697,590	4,493,020	1,572,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$23,773,234	\$28,850,441	\$27,041,133	\$40,900,392	\$26,731,138
Expenditures By Funds					
General Revenue	2,320,832	3,489,126	3,279,979	3,262,789	3,375,409
Federal Funds	19,515,282	24,421,511	21,941,615	34,013,174	22,640,496
Restricted Receipts	158,275	99,797	337,449	346,909	352,733
Other Funds	1,778,845	840,007	1,482,090	3,277,520	362,500
Total Expenditures	\$23,773,234	\$28,850,441	\$27,041,133	\$40,900,392	\$26,731,138
FTE Authorization	104.0	101.0	111.0	112.0	112.0
Agency Measures					
Minorities as a Percentage of the Workforce	5.0%	6.0%	6.0%	6.0%	6.0%
Females as a Percentage of the Workforce	19.0%	17.0%	21.0%	21.0%	21.0%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%	1.0%

The Program

Military Staff National Guard

Program Operations

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to NGB, DOD, USAF, and USA, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, training, travel and maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,249 members (2,070 in the Army National Guard, 1,179 in the Air National Guard) against a requirement of 3,459 (2,213 in the Army National Guard, 1,246 in the Air National Guard). National Guard installations located in Rhode Island include 14 armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

Program Objectives

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system. To ensure the needs of Rhode Island Veteran's and National Guard families and employees are supported from an accessible Family Assistance Center.

Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

The Budget

Military Staff National Guard

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Adjutant-General	870,031	768,265	967,781	942,799	1,025,450
State Military Prop Officer	3,116,314	2,934,898	4,511,410	6,677,419	3,244,279
Federal Army	2,592,684	2,765,075	3,720,990	3,701,226	3,815,053
Federal Air	4,285,965	4,762,187	4,998,106	4,740,322	4,940,438
Total Expenditures	\$10,864,994	\$11,230,425	\$14,198,287	\$16,061,766	\$13,025,220
Expenditures By Object					
Personnel	5,275,770	5,379,347	6,855,762	6,431,465	6,939,782
Operating Supplies and Expenses	3,258,054	3,998,680	4,282,535	4,760,731	4,135,138
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	211,687	133,121	362,400	376,550	377,800
Subtotal: Operating Expenditures	\$8,745,511	\$9,511,148	\$11,500,697	\$11,568,746	\$11,452,720
Capital Purchases and Equipment	2,119,483	1,719,277	2,697,590	4,493,020	1,572,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$10,864,994	\$11,230,425	\$14,198,287	\$16,061,766	\$13,025,220
Expenditures By Funds					
General Revenue	1,586,418	1,753,662	1,517,828	1,383,679	1,488,527
Federal Funds	7,468,323	8,614,721	11,008,369	11,210,567	10,984,193
Restricted Receipts	31,408	22,035	190,000	190,000	190,000
Other Funds	1,778,845	840,007	1,482,090	3,277,520	362,500
Total Expenditures	\$10,864,994	\$11,230,425	\$14,198,287	\$16,061,766	\$13,025,220
Program Measures					
Percentage of National Guard Facilities Compliant with Code	48.0%	65.0%	75.0%	75.0%	80.0%
Percentage of Army National Guard Facilities that Meet or Exceed Army Standards	0.0%	24.0%	6.3%	6.3%	10.0%
Percentage of Authorized Strength (Air National)	101.3%	96.0%	96.0%	96.0%	96.0%
Percentage of Authorized Strength (Army National)	80.0%	97.3%	95.0%	95.0%	97.0%

The Program

Military Staff Emergency Management

Program Operations

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level.

The Rhode Island Emergency Management Agency is a tenant at the Command Readiness Center, National Guard Headquarters, 645 New London Avenue, Cranston, RI. Emergency Management personnel maintain the State Emergency Operating Center (S-EOC) at that location. The RIEMA is responsible for the operation and maintenance of the state's 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISCOON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. The RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

Program Objectives

To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

Statutory History

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency.

The Budget

Military Staff Emergency Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,408,190	2,098,697	2,619,733	2,734,450	2,897,839
Operating Supplies and Expenses	205,741	1,868,338	1,711,816	1,668,435	1,013,236
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	10,136,420	13,416,622	8,511,297	20,435,741	9,794,843
Subtotal: Operating Expenditures	\$12,750,351	\$17,383,657	\$12,842,846	\$24,838,626	\$13,705,918
Capital Purchases and Equipment	157,889	236,359	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$12,908,240	\$17,620,016	\$12,842,846	\$24,838,626	\$13,705,918
Expenditures By Funds					
General Revenue	734,414	1,735,464	1,762,151	1,879,110	1,886,882
Federal Funds	12,046,959	15,806,790	10,933,246	22,802,607	11,656,303
Restricted Receipts	126,867	77,762	147,449	156,909	162,733
Total Expenditures	\$12,908,240	\$17,620,016	\$12,842,846	\$24,838,626	\$13,705,918
Program Measures					
Percentage of CDSTARS Remote Stations Responding	50.0%	85.0%	85.0%	85.0%	85.0%

The Agency

Department of Public Safety

Agency Operations

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Security Services, Municipal Police Training Academy, and the State Police.

Agency Objectives

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

Statutory History

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided for the following:

SECTION 14. The general assembly hereby requires the governor to submit, as part of his FY 2009 budget necessary recommended legislation to create a department of public safety, with an effective date of no sooner than July 1, 2008, and no later than January 1, 2009. The director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The governor shall include E-911 Emergency Telephone System division, the State Fire marshal who shall be appointed by the governor with the advice and consent of the senate, Capitol Police, Municipal Police Training Academy, and the State Police.

The department shall consolidate communications and overhead expenditures.

The FY 2011 recommended budget includes the transfer of the Sheriffs from the Department of Administration to the Department of Public Safety.

The Budget

Department of Public Safety

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditure by Program					
Central Management	4,155,708	4,409,844	5,435,033	6,878,006	7,576,310
E-911 Emergency	5,834,446	5,564,660	4,886,572	5,105,316	4,663,554
Fire Marshal	2,681,580	2,814,726	3,007,162	3,458,011	2,781,009
Security Services	3,665,489	3,553,682	3,310,591	3,201,578	19,771,298
Municipal Police Training Academy	456,443	444,108	536,201	640,252	641,923
State Police	56,125,211	61,786,117	74,251,925	84,039,061	69,847,914
Rhode Island Justice Commission	231,628	-	-	-	-
Internal Service Program	[588,914]	[696,905]	[763,874]	[636,548]	[665,713]
Total Expenditure	\$73,150,505	\$78,573,137	\$91,427,484	\$103,322,224	\$105,282,008
Expenditures By Object					
Personnel	46,953,987	46,575,134	46,597,436	48,314,664	66,874,682
Operating Supplies and Expenses	6,781,018	7,053,460	6,953,809	6,441,500	6,438,031
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	18,109,601	18,720,291	19,677,597	21,576,941	22,260,894
Subtotal: Operating Expenditures	\$71,844,606	\$72,348,885	\$73,228,842	\$76,333,105	\$95,573,607
Capital Purchases and Equipment	1,305,899	6,224,252	18,198,642	26,989,119	9,708,401
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$73,150,505	\$78,573,137	\$91,427,484	\$103,322,224	\$105,282,008
Expenditures By Funds					
General Revenue	62,946,519	63,138,445	54,745,909	54,824,372	84,281,696
Federal Funds	5,957,636	7,925,798	17,227,246	22,425,845	8,932,131
Restricted Receipts	1,103,585	243,803	609,000	877,056	377,761
Other Funds	3,142,765	7,265,091	18,845,329	25,194,951	11,690,420
Total Expenditures	\$73,150,505	\$78,573,137	\$91,427,484	\$103,322,224	\$105,282,008
FTE Authorization	-	396.1	432.1	430.1	607.1
Agency Measures					
Minorities as a Percentage of the Workforce	0.6%	8.6%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	21.3%	18.2%	17.0%	17.0%	17.0%
Persons with Disabilities as a Percentage of the Workforce	0.6%	0.6%	0.2%	0.2%	0.2%

The Program

Department of Public Safety Central Management

Program Operations

The Central Management program within the Department of Public Safety includes the administrative functions, which are headed by the superintendent of the Rhode Island State Police, who serves as the "director". In this capacity, the Director of Public Safety shall be authorized to: (a) Coordinate the administration and financing of public safety services and programs. (b) Serve as the governor's chief advisor and liaison to federal policymakers on public safety issues as well as the principal point of contact in the state on any such related matters. The directors of the departments shall assist and cooperate with the director of public safety in fulfilling this responsibility by providing whatever resources, information and support shall be necessary. (c) Resolve administrative, jurisdictional, operational, program, or policy conflicts among departments and their executive staffs and make necessary recommendations to the governor. (d) Assure continued progress toward improving the quality, the economy, the accountability and the efficiency of state-administered public safety services. In this capacity, the director shall: (e) Prepare and integrate comprehensive budgets for the public safety departments and any other functions and duties assigned to the office. (f) Utilize objective data to evaluate public safety goals, resource use and outcome evaluation and to perform short and long-term policy planning and development. (g) At the direction of the governor or the general assembly, conduct independent reviews of state public safety programs, policies and related agency actions and activities and assist the department directors in identifying strategies to address any issues or areas of concern that may emerge thereof. The department directors shall provide any information and assistance deemed necessary by the director when undertaking such independent reviews. (h) Provide regular and timely reports to the governor and make recommendations with respect to the state's public safety agenda. (i) Employ such personnel and contract for such consulting services as may be required to perform the powers and duties lawfully conferred upon the director. (j) Implement the provisions of any general or public law or regulation related to the disclosure, confidentiality and privacy of any information or records, in the possession or under the control of the executive office or the departments assigned to the executive office, that may be developed or acquired for purposes directly connected with the director's duties set forth herein. (k) Hold the director of each public safety department accountable for their administrative, fiscal and program actions in the conduct of the respective powers and duties of their agencies.

Program Objectives

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The FY2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The Budget

Department of Public Safety Central Management

	FY 2008 Actual	FY 2009 Enacted	FY 2010 Revised	FY 2010 Recommended	FY 2011
Expenditures By Object					
Personnel	463,189	1,037,536	1,192,485	1,159,096	1,327,364
Operating Supplies and Expenses	37,620	24,937	336,042	40,654	41,132
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,654,899	3,347,371	3,906,506	5,678,256	6,207,814
Subtotal: Operating Expenditures	\$4,155,708	\$4,409,844	\$5,435,033	\$6,878,006	\$7,576,310
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$4,155,708	\$4,409,844	\$5,435,033	\$6,878,006	\$7,576,310

Expenditures By Funds					
General Revenue	-	567,395	770,605	673,919	823,626
Federal Funds	4,144,887	3,842,449	4,531,428	6,071,087	6,619,684
Restricted Receipts	10,821	-	133,000	133,000	133,000
Total Expenditures	\$4,155,708	\$4,409,844	\$5,435,033	\$6,878,006	\$7,576,310

Program Measures

Percentage of Mun. Police Depts. with the Records Management System Software that are Interfaced with Justice Link (Courts)	91.0%	96.0%	96.0%	96.0%	96.0%
Percentage of Noncompetitive Formula Grant Applications Provided an Official Response Within 5 Business Days of Completed Application	100.0%	100.0%	97.0%	100.0%	100.0%
Percentage of Competitive Grant Applicants Provided an Official Response Within 75 Business Days of Completed Application	92.0%	100.0%	100.0%	100.0%	100.0%

The Program

Department of Public Safety E-911 Emergency Telephone System

Program Operations

E-911 Emergency Telephone System operates a 24-hour, statewide Public Safety Answering Point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded from general revenues and restricted receipt revenues. The general revenue expenditures are backed by a \$1.00 per month surcharge on wireline and wireless telephone subscribers. Effective July 1, 2004, an additional \$0.26 surcharge was levied on wireless subscribers to fund the costs of the Geographic Information System (GIS) database, improve system redundancy, and maintain state-of-the-art technology in the PSAP.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using GIS mapping and other data being collected on a community-by-community basis, as funding becomes available. To date, 19 communities have been coded and 8 more are scheduled for completion in FY 2007. Until the GIS data development project is completed, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately 62 percent of the 562,517 calls received by the agency in calendar year 2006 were from wireless phones.

Program Objectives

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology.

Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

The Budget

Department of Public Safety E-911 Emergency Telephone System

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	4,834,592	4,133,649	3,986,712	4,046,361	4,081,708
Operating Supplies and Expenses	979,579	725,042	692,260	596,355	579,246
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,750	-	-	-	-
Subtotal: Operating Expenditures	\$5,815,921	\$4,858,691	\$4,678,972	\$4,642,716	\$4,660,954
Capital Purchases and Equipment	18,525	705,969	207,600	462,600	2,600
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$5,834,446	\$5,564,660	\$4,886,572	\$5,105,316	\$4,663,554
Expenditures By Funds					
General Revenue	4,944,839	4,826,932	4,831,572	4,783,969	4,663,554
Federal Funds	14,892	737,728	-	266,347	-
Restricted Receipts	874,715	-	-	-	-
Other Funds	-	-	55,000	55,000	-
Total Expenditures	\$5,834,446	\$5,564,660	\$4,886,572	\$5,105,316	\$4,663,554
Program Measures					
Average Number of Seconds Required to Answer & Transfer Wireless Calls to Secondary Public Service Answering Points	62	65	67	67	69

The Program

Department of Public Safety Rhode Island State Fire Marshal

Program Operations

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, and fire prevention, protection, inspection and investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. It also conducts training programs of local fire departments.

The Investigation Unit investigates suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. It also investigates fires where a fatality has occurred in so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit administer the Fire Academy by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develop the training program and the cost is reimbursed by the participating community.

The Plan Review Unit reviews architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for review of the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

Program Objectives

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

The Budget

Department of Public Safety Rhode Island State Fire Marshal

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	2,014,227	2,051,951	2,413,938	2,469,287	2,336,389
Operating Supplies and Expenses	449,973	304,018	329,200	240,416	187,620
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$2,464,200	\$2,355,969	\$2,743,138	\$2,709,703	\$2,524,009
Capital Purchases and Equipment	217,380	458,757	264,024	748,308	257,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,681,580	\$2,814,726	\$3,007,162	\$3,458,011	\$2,781,009
Expenditures By Funds					
General Revenue	2,204,172	2,196,950	2,631,162	2,436,499	2,506,009
Federal Funds	477,408	617,776	376,000	1,010,612	275,000
Restricted Receipt	-	-	-	10,900	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$2,681,580	\$2,814,726	\$3,007,162	\$3,458,011	\$2,781,009
Program Measures					
Fire Determination Rate	87.2%	86.6%	90.7%	90.7%	91.0%
Fire Fatalities in Rhode Island	7	10	1	1	1

The Program

Department of Public Safety Security Services

Program Operations

The FY 2011 budget recommendation includes the transfer of the Sheriffs from the Department of Administration to the Department of Public Safety. The Sheriffs will be transferred into Security Services program, which currently includes Capitol Police.

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

The Sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

Statutory History

Chapter 42-11 of the Rhode General Laws 42-11-21 established a division of sheriffs within the Department of Administration. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

The Budget

Department of Public Safety Security Services

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Sheriffs	-	-	-	-	16,459,231 *
Capitol Police	3,665,489	3,553,682	3,310,591	3,201,578	3,312,067
Total Expenditures	\$3,665,489	\$3,553,682	\$3,310,591	\$3,201,578	\$19,771,298
Expenditures By Object					
Personnel	3,598,679	3,494,111	3,226,896	3,129,628	18,733,405
Operating Supplies and Expenses	66,810	59,447	83,695	71,950	1,032,393
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$3,665,489	\$3,553,558	\$3,310,591	\$3,201,578	\$19,765,798
Capital Purchases and Equipment	-	124	-	-	5,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$3,665,489	\$3,553,682	\$3,310,591	\$3,201,578	\$19,771,298
Expenditures By Funds					
General Revenue	3,665,489	3,553,682	3,310,591	3,201,578	19,771,298
Total Expenditures	\$3,665,489	\$3,553,682	\$3,310,591	\$3,201,578	\$19,771,298
Program Measures	NS	NS	NS	NS	NS

*For FY 2011, the Governor recommends transferring the Sheriffs from the Department of Administration to the Department of Public Safety.

The Program

Department of Public Safety Municipal Police Training Academy

Program Operations

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/standard field sobriety testing; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

The Police Academy also conducts extensive police in-service and specialized training programs in many areas such as First Line Supervision; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for police recruits is conducted at the Community College of Rhode Island's Flanagan Campus, in Lincoln. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

Program Objectives

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

Statutory History

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

The Budget

Department of Public Safety Municipal Police Training Academy

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	405,528	386,572	486,941	564,645	573,015
Operating Supplies and Expenses	50,915	45,132	45,260	68,435	68,908
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$456,443	\$431,704	\$532,201	\$633,080	\$641,923
Capital Purchases and Equipment	-	12,404	4,000	7,172	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$456,443	\$444,108	\$536,201	\$640,252	\$641,923
Expenditures By Funds					
General Revenue	433,672	354,933	349,696	320,676	335,247
Federal Funds	22,771	89,175	186,505	319,576	306,676
Total Expenditures	\$456,443	\$444,108	\$536,201	\$640,252	\$641,923
Program Measures					
Cumulative Grade Point Average for Recruit Classes	92.9%	93.0%	93.0%	93.0%	93.0%

The Program

Department of Public Safety State Police

Program Operations

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized into three divisions: the Patrol Division, the Detective Division, and Central Management.

The Patrol Division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.e. drunk driving and speeding.

The Detective Division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the State. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit. Further, the RISP assumed control of the Judicial Branch's Warrant Squad to consolidate statewide operations in the apprehension of fugitives.

The Central Management Division consists of the Command Officers, the Inspection Division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology Unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

Program Objectives

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

Statutory History

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

The Budget

Department of Public Safety State Police

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Support	4,898,359	8,900,809	31,166,996	38,031,291	13,960,364
Detectives	9,966,928	11,863,509	11,346,029	12,398,473	10,822,649
Patrol	22,429,114	23,335,266	13,916,553	14,505,850	26,516,153
Pension	16,141,767	15,375,100	15,776,091	15,901,185	16,055,580
Communications and Technology	2,689,043	2,311,433	2,046,256	3,202,262	2,493,168
Total Expenditures	\$56,125,211	\$61,786,117	\$74,251,925	\$84,039,061	\$69,847,914
Expenditures By Object					
Personnel	35,407,617	35,471,315	35,290,464	36,945,647	39,822,801
Operating Supplies and Expenses	5,194,648	5,894,884	5,467,352	5,423,690	4,528,732
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	14,452,952	15,372,920	15,771,091	15,898,685	16,053,080
Subtotal: Operating Expenditures	55,055,217	56,739,119	56,528,907	58,268,022	60,404,613
Capital Purchases and Equipment	1,069,994	5,046,998	17,723,018	25,771,039	9,443,301
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$56,125,211	\$61,786,117	\$74,251,925	\$84,039,061	\$69,847,914
Expenditures By Funds					
General Revenue	51,466,719	51,638,553	42,852,283	43,407,731	56,181,962
Federal Funds	1,297,678	2,638,670	12,133,313	14,758,223	1,730,771
Restricted Receipts	218,049	243,803	476,000	733,156	244,761
Other Funds	3,142,765	7,265,091	18,790,329	25,139,951	11,690,420
Total Expenditures	\$56,125,211	\$61,786,117	\$74,251,925	\$84,039,061	\$69,847,914
Program Measures					
Safety Violations Found for Every One Hundred Vehicles Inspected	25.0	28.0	30.0	30.0	30.0
Overweight Violations per One Hundred Vehicles Weighed	56.0	13.0	42.0	42.0	28.0

The Program

Department of Public Safety Public Safety Grant Administration Office

Program Operations

The Public Safety Grant Administration Office (PSGAO), formerly the Rhode Island Justice Commission (RIJC), develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The RIJC was established in 1969 to improve the effectiveness and efficiency of system-wide criminal justice responses to the problem of crime. The commission pursues a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following federal grants: The Edward Byrne Memorial Justice Assistance Grant Program; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Residential Substance Abuse Treatment for State Prisoners Grant; the National Criminal Histories Improvement Grant Program; the Statistical Analysis Center Grant; the National Forensic Sciences Improvement Act Program; Project Safe Neighborhoods, and other appropriate discretionary grant programs. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant program.

The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. It is most common that the PSGAO makes over 200 grants/sub-grants annually in the average amount of approximately six million dollars.

Program Objectives

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

To ensure that projects receiving funding utilize their funds consistent with federal and state grant regulations.

To initiate and support programs designed to impact crime and/or improve the criminal and juvenile justice system. To continue to produce studies/reports describing the status of particular crime problems.

To perform detailed monitoring, evaluation, and analysis.

Statutory History

In 1969, Title 42, Chapter 62 of the Rhode Island General Laws established the Rhode Island Justice Commission as a state criminal justice agency. The legal re-authorization can be found in the state's General Laws, specifically Chapter 26, Sections 42-26.1 through 42-26-13 and 42-26-17.

The Budget

Department of Public Safety Public Safety Grant Administration Office

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	230,155	-	-	-	-
Operating Supplies and Expenses	1,473	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$231,628	-	-	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$231,628	-	-	-	-
Expenditures By Funds					
General Revenue	231,628	-	-	-	-
Total Expenditures	\$231,628	-	-	-	-

The Program

Department of Public Safety Internal Service Program

Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

The Internal service program that is operated by the Department of Public Safety is the Capitol Police Rotary.

This Capitol Police Rotary provide security services to seven different agencies throughout the state of Rhode Island.

Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Public Safety Internal Service Programs

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	588,914	696,905	763,874	628,260	658,209
Operating Supplies and Expenses	-	-	-	8,288	7,504
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$588,914	\$696,905	\$763,874	\$636,548	\$665,713
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$588,914	\$696,905	\$763,874	\$636,548	\$665,713
Expenditures By Funds					
Internal Service Funds	588,914	696,905	763,874	636,548	665,713
Total Expenditures	\$588,914	\$696,905	\$763,874	\$636,548	\$665,713
Program Measures	NA	NA	NA	NA	NA

The Agency

Office of the Public Defender

Agency Operations

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

Agency Objectives

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

The Budget

Office of the Public Defender

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	8,508,737	8,490,174	8,964,730	8,772,532	9,186,844
Operating Supplies and Expenses	758,034	756,835	818,957	789,816	821,250
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$9,266,771	\$9,247,009	\$9,783,687	\$9,562,348	\$10,008,094
Capital Purchases and Equipment	36,028	25,205	25,400	23,400	32,400
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$9,302,799	\$9,272,214	\$9,809,087	\$9,585,748	\$10,040,494
Expenditures By Funds					
General Revenue	9,030,938	8,986,912	9,583,189	9,204,425	9,610,354
Federal Funds	271,861	285,302	225,898	381,323	430,140
Total Expenditures	\$9,302,799	\$9,272,214	\$9,809,087	\$9,585,748	\$10,040,494
FTE Authorization	93.5	91.0	91.0	93.0	93.0
Agency Measures					
Minorities as a Percentage of the Workforce	14.6%	14.6%	12.7%	12.7%	13.2%
Females as a Percentage of the Workforce	62.5%	62.5%	63.8%	63.8%	65.0%
Persons with Disabilities as a Percentage of the Workforce	10.4%	10.4%	9.5%	9.5%	9.5%
Program Measures					
Percentage by which Attorney Caseload Exceeds National Standards - Misdemeanors	279.0%	295.0%	300.0%	300.0%	300.0%
Percentage by which Attorney Caseload Exceeds National Standards - Felonies	59.0%	33.0%	35.0%	35.0%	35.0%
Average Percentage of the Continuing Legal Education Requirement Fulfilled with Public Defender Sponsored Courses (All Attorneys)	65.2%	55.3%	65.0%	65.0%	70.0%

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Natural Resources

Department of Environmental Management
Office of the Director
Bureau of Natural Resources
Bureau of Environmental Protection
Coastal Resources Management Council

Coastal Resources Management Council
State Water Resources Board

Natural Resources Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	56,846,696	50,239,072	57,396,933	55,311,066	64,924,755	61,218,836
Operating Supplies and Expenses	9,140,185	8,634,267	10,566,314	10,154,824	10,540,290	10,796,518
Aid to Local Units of Government	-	-	-	-	-	2,000
Assistance, Grants, and Benefits	5,996,886	4,319,633	11,343,593	11,343,593	8,603,858	8,142,268
Subtotal: Operating Expenditure	\$71,983,767	\$63,192,972	\$79,306,840	\$76,809,483	\$84,068,903	\$80,159,622
Capital Purchases and Equipment	5,500,358	8,014,445	18,681,711	18,681,711	22,654,399	17,448,391
Debt Service	-	-	-	-	-	-
Operating Transfers	-	99,140	-	-	-	-
Total Expenditures	\$77,484,125	\$71,306,557	\$97,988,551	\$95,491,194	\$106,723,302	\$97,608,013
Expenditures by Funds						
General Revenue	39,244,040	35,855,074	38,882,728	36,385,371	35,856,910	38,026,485
Federal Funds	19,803,219	21,043,419	35,289,310	35,289,310	44,698,505	36,960,321
Restricted Receipts	13,930,680	11,743,690	15,496,049	15,496,049	14,200,042	14,386,916
Other Funds	4,506,186	2,664,374	8,320,464	8,320,464	11,967,845	8,234,291
Total Expenditures	\$77,484,125	\$71,306,557	\$97,988,551	\$95,491,194	\$106,723,302	\$97,608,013
FTE Authorization	518.0	445.0	453.0	453.0	450.0	450.0

The Agency

Department of Environmental Management

Agency Operations

The mission of the Department of Environmental Management is to protect, restore, and manage the State's natural resources; while maintaining its citizens' health and safety, and motivation citizens to practice of an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

Agency Objectives

To ensure residents have equal access to environmental benefits; to prevent any segment of the State's population from bearing a disproportionate share of environmental risks and pollution.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To ensure natural habitats are managed to maintain species biodiversity.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it; including prevent pollution and minimize waste at the source.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Office of the Director	8,009,309	6,930,608	8,645,285	7,615,877	7,643,697
Bureau of Natural Resources	32,485,864	34,752,699	45,574,332	49,086,565	47,776,008
Bureau of Environmental Protection	29,878,351	24,882,906	36,753,628	38,321,206	36,882,933
Total Expenditures	\$70,373,524	\$66,566,213	\$90,973,245	\$95,023,648	\$92,302,638
Expenditures By Object					
Personnel	51,913,068	46,065,916	52,763,108	56,204,852	56,658,385
Operating Supplies and Expenses	8,796,650	8,389,791	10,304,086	10,177,270	10,569,638
Aid To Local Units Of Government	-	-	-	-	2,000
Assistance, Grants and Benefits	5,834,827	3,996,921	11,255,349	8,515,614	8,016,724
Subtotal: Operating Expenditures	\$66,544,545	\$58,452,628	\$74,322,543	\$74,897,736	\$75,246,747
Capital Purchases and Equipment	3,828,979	8,014,445	16,650,702	20,125,912	17,055,891
Debt Service	-	-	-	-	-
Operating Transfers	-	99,140	-	-	-
Total Expenditures	\$70,373,524	\$66,566,213	\$90,973,245	\$95,023,648	\$92,302,638
Expenditures By Funds					
General Revenue	36,032,812	32,853,893	35,484,369	32,811,928	34,665,256
Federal Funds	18,024,013	19,660,114	33,680,872	38,503,320	35,386,175
Restricted Receipts	13,483,302	11,413,374	15,246,049	13,950,042	14,136,916
Other Funds	2,833,397	2,638,832	6,561,955	9,758,358	8,114,291
Total Expenditures	\$70,373,524	\$66,566,213	\$90,973,245	\$95,023,648	\$92,302,638
FTE Authorization	482.0	409.0	417.0	414.0	414.0
Agency Measures					
Minorities as a Percentage of the Workforce	5.6%	5.3%	5.8%	5.8%	5.5%
Females as a Percentage of the Workforce	33.1%	35.4%	34.4%	34.4%	33.0%
Persons with Disabilities as a Percentage of the Workforce	9.2%	9.5%	10.2%	10.2%	9.7%

The Program

Department of Environmental Management Office of the Director

Program Operations

The Office of the Director develops and implements the agency's wide range of programs to protect Rhode Island's environment; protect the citizens of the state from public health threats resulting from pollution; and provide facilities that support a diversity of outdoor recreational activities. The Office includes: The Office of Management Services; Legal Services; Administrative Adjudication; and two central services offices; Human Resources and Information Technology. The Office also coordinates the Department's Planning & Policy Initiatives, legislative & Intergovernmental Affairs, and Communications & Outreach.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 22,000 boat registrations and all hunting and fishing licenses.

The Office of Legal Services counsels and represents the department and its divisions, and provides legal guidance on the development and administration of regulatory programs. It also provides legal assistance to a number of municipalities particularly in the area of land use relating to the preservation of open space.

The Office of Administrative Adjudication is the administrative tribunal for environmental matters originating from the Department. It adjudicates appeals of enforcement actions taken by the Department's regulatory programs and hears enforcement appeals for alleged violations of statutes and/or regulations. The Office is responsible for ensuring that the regulated community has an opportunity to contest actions taken by the Department and have such actions reviewed at the agency level.

The Human Resource Service Center staff provides direct administrative support to the Department Director, Senior Management and the agency's workforce, which currently comprises approximately 405 full-time employees and 510 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Information Technology Service Center staff provides oversight, coordination, and development of standardized investments in software, hardware, networks and services. Staff provides the Department with effective and efficient application of information technology; and delivers secure, innovative, and reliable technology solutions in the most responsive and effective manner.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Office of the Director

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Administration	3,571,777	3,137,223	3,345,496	3,131,990	3,204,268
Environmental Coordination	274,866	292,182	1,444,664	1,054,039	978,469
Management Services	2,641,911	2,612,723	2,373,517	2,403,849	2,297,628
Legal Services	679,212	452,223	647,044	425,197	532,614
Employee Relations/Human Resources	7,975	-	-	-	-
Planning and Development	(21,251)	(102)	-	-	-
Administrative Adjudication	657,292	377,946	648,564	507,050	536,966
Permit Streamlining	197,527	58,413	186,000	93,752	93,752
Total Expenditures	\$8,009,309	\$6,930,608	\$8,645,285	\$7,615,877	\$7,643,697
Expenditures By Object					
Personnel	5,133,755	4,177,809	5,316,322	4,610,564	4,610,720
Operating Supplies and Expenses	2,598,204	2,526,765	2,713,398	2,653,998	2,683,662
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	255,308	221,922	575,065	320,065	320,065
Subtotal: Operating Expenditures	\$7,987,267	\$6,926,496	\$8,604,785	\$7,584,627	\$7,614,447
Capital Purchases and Equipment	22,042	4,112	40,500	31,250	29,250
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$8,009,309	\$6,930,608	\$8,645,285	\$7,615,877	\$7,643,697
Expenditures By Funds					
General Revenue	5,789,124	4,496,140	5,185,950	4,416,037	4,640,299
Federal Funds	73,022	91,987	791,315	656,315	566,300
Restricted Receipts	2,168,741	2,342,481	2,668,020	2,543,525	2,437,098
Other Funds	(21,578)	-	-	-	-
Total Expenditures	\$8,009,309	\$6,930,608	\$8,645,285	\$7,615,877	\$7,643,697
Program Measures	NS	NS	NS	NS	NS

The Program

Department of Environmental Management Bureau of Natural Resources

Program Operations

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare, and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps also coordinating recreational and research opportunities and activities at the Narragansett Bay Estuarine Research Reserve.

The Division of Agriculture and Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation administers, maintains, and operates, eight major state parks, five campgrounds, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants as well as oversee all land leases and capital assets of port facilities and commercial fishing piers in Narragansett and Newport.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Bureau of Natural Resources

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Fish, Wildlife & Estuarine Resources	7,483,474	7,484,760	11,860,640	13,669,391	15,417,943
Agriculture	2,272,780	2,106,135	2,370,390	2,308,050	2,495,193
Enforcement	6,105,400	5,302,015	6,469,070	6,445,847	6,346,947
Natural Resources Administration	2,961,191	2,475,388	7,945,605	9,385,610	7,187,155
Parks and Recreation	10,453,462	10,809,860	11,108,651	11,484,804	11,073,365
Forest Environment	2,748,924	5,938,204	4,561,276	4,356,321	4,247,249
Coastal Resources	460,633	636,337	1,258,700	1,436,542	1,008,156
Total Expenditures	\$32,485,864	\$34,752,699	\$45,574,332	\$49,086,565	\$47,776,008
Expenditures By Object					
Personnel	22,485,163	20,585,521	22,012,339	21,888,512	23,229,618
Operating Supplies and Expenses	5,322,958	4,994,424	6,139,157	6,048,351	6,426,890
Aid To Local Units Of Government	-	-	-	-	2,000
Assistance, Grants and Benefits	1,131,764	1,309,940	2,434,084	2,857,360	2,813,459
Subtotal: Operating Expenditures	\$28,939,885	\$26,889,885	\$30,585,580	\$30,794,223	\$32,471,967
Capital Purchases and Equipment	3,545,979	7,862,814	14,988,752	18,292,342	15,304,041
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$32,485,864	\$34,752,699	\$45,574,332	\$49,086,565	\$47,776,008
Expenditures By Funds					
General Revenue	19,000,964	17,788,330	18,222,294	17,319,658	18,028,814
Federal Funds	8,684,182	12,121,480	18,203,843	21,044,133	21,379,160
Restricted Receipts	3,068,893	2,415,748	3,586,240	3,662,784	3,930,478
Other Funds	1,731,825	2,427,141	5,561,955	7,059,990	4,437,556
Total Expenditures	\$32,485,864	\$34,752,699	\$45,574,332	\$49,086,565	\$47,776,008
Program Measures					
Cumulative Percentage of Land Acquisition Goal of 17,850 Acres Actually Acquired	53.0%	57.0%	60.0%	60.0%	63.0%
Percentage of RI Communities on at Least the Formative Level in the Urban Forestry Program	55.0%	55.0%	55.0%	55.0%	55.0%
Percentage of RI Communities on at Least the Sustained Level in the Urban Forestry Program	27.6%	28.0%	25.0%	25.0%	40.0%

The Program

Department of Environmental Management Bureau of Environmental Protection

Program Operations

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater Protection; Water Quality Certification; Onsite Wastewater Treatment Systems; Freshwater Wetlands; Water Quality Restoration Studies (TMDLS); Shellfish Area Monitoring; Wastewater Treatment Facility and Sludge Programs, Nonpoint Source; Water Quality Monitoring and Standards; Financial Assistance (SRF/Bond Funds).

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Customer and Technical Assistance serves the public by coordinating the review of projects requiring multiple applications and permits and tracking the status of permitting activities throughout the bureau. OCTA directly reviews projects funded by federal economic stimulus programs. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

Program Objective

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Bureau of Environmental Protection

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Subprogram					
Water Resources	7,995,032	7,542,301	11,063,033	10,767,909	11,215,775
Environmental Protection Administration	144,019	130,284	154,242	152,087	206,614
Compliance & Inspection	4,297,014	4,611,522	4,968,119	5,019,446	5,139,333
Technical & Customer Assistance	939,925	822,472	1,420,830	1,431,759	1,472,468
RIPDES	978,145	1,011,754	1,091,036	1,043,523	1,097,591
Air Resources	3,777,655	3,182,061	6,221,080	8,179,773	7,996,477
Waste Management	6,384,269	3,621,767	4,860,569	6,511,984	4,842,099
Environmental Response	5,362,292	3,960,745	6,974,719	5,214,725	4,912,576
Total Expenditures	\$29,878,351	\$24,882,906	\$36,753,628	\$38,321,206	\$36,882,933
Expenditures By Object					
Personnel	24,294,150	21,302,586	25,434,447	29,705,776	28,818,047
Operating Supplies and Expenses	875,488	868,602	1,451,531	1,474,921	1,459,086
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,447,755	2,465,059	8,246,200	5,338,189	4,883,200
Subtotal: Operating Expenditures	\$29,617,393	\$24,636,247	\$35,132,178	\$36,518,886	\$35,160,333
Capital Purchases and Equipment	260,958	147,519	1,621,450	1,802,320	1,722,600
Debt Service	-	-	-	-	-
Operating Transfers	-	99,140	-	-	-
Total Expenditures	\$29,878,351	\$24,882,906	\$36,753,628	\$38,321,206	\$36,882,933
Expenditures By Funds					
General Revenue	11,242,724	10,569,423	12,076,125	11,076,233	11,996,143
Federal Funds	9,266,809	7,446,647	14,685,714	16,802,872	13,440,715
Restricted Receipts	8,245,668	6,655,145	8,991,789	7,743,733	7,769,340
Other Funds	1,123,150	211,691	1,000,000	2,698,368	3,676,735
Total Expenditures	\$29,878,351	\$24,882,906	\$36,753,628	\$38,321,206	\$36,882,933
Program Measures					
Percentage of Sites Suspected or Identified as Contaminated that are Cleaned Up	45.3%	47.0%	42.0%	42.0%	43.0%
Percentage of Operating Permit Programs that are Inspected Annually for Compliance with Air Quality Standards	32.0%	46.0%	50.0%	50.0%	50.0%

The Agency

Coastal Resources Management Council

Agency Operations

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan and an Ocean Special Area Management plan.

Agency Objectives

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

The Budget

Coastal Resources Management Council

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	3,548,319	3,256,413	3,495,729	7,840,656	3,504,858
Operating Supplies and Expenses	178,728	130,102	117,783	230,575	89,867
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	120,000	220,500	-	-	-
Subtotal: Operating Expenditures	\$3,847,047	\$3,607,015	\$3,613,512	\$8,071,231	\$3,594,725
Capital Purchases and Equipment	1,627,888	-	1,928,009	1,974,509	272,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$5,474,935	\$3,607,015	\$5,541,521	\$10,045,740	\$3,867,225
Expenditures By Funds					
General Revenue	1,985,139	2,002,176	2,027,574	1,945,046	2,043,079
Federal Grants	1,779,206	1,384,339	1,608,438	6,195,185	1,574,146
Restricted Receipts	120,000	220,500	250,000	250,000	250,000
Other	1,590,590	-	1,655,509	1,655,509	-
Total Expenditures	\$5,474,935	\$3,607,015	\$5,541,521	\$10,045,740	\$3,867,225
FTE Authorization	30.0	30.0	30.0	30.0	30.0
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	36.7%	36.7%	36.7%	36.7%	36.7%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Cumulative Percentage of Shoreline Miles with Designated Right-of-Way Sites	52.6%	52.9%	53.6%	53.6%	54.3%

The Agency

State Water Resources Board

Agency Operations

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure sufficient water resources are available for present and future generations of Rhode Islanders. The board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the State. The board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, groundwater wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The ten member Board appoints a General Manager who carries out its policy and direction. Five members represent the public and are appointed by the Governor of which two are affiliated with public water supply systems; one appointed by the agriculture council; the four remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration.

Agency Objectives

Promote the planning, development, allocation and conservation of the State's water resources.

Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapter 15 of Title 46 of the Rhode Island General Laws authorizes the organization and functions of the board.

The Budget

State Water Resources Board

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	1,385,309	916,743	1,138,096	879,247	1,055,593
Operating Supplies and Expenses	164,807	114,374	144,445	132,445	137,013
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	42,059	102,212	88,244	88,244	125,544
Subtotal: Operating Expenditures	\$1,592,175	\$1,133,329	\$1,370,785	\$1,099,936	\$1,318,150
Capital Purchases and Equipment	43,491	-	103,000	553,978	120,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$1,635,666	\$1,133,329	\$1,473,785	\$1,653,914	\$1,438,150
Expenditures By Funds					
General Revenue	1,226,089	999,005	1,370,785	1,099,936	1,318,150
Federal Funds	-	(1,034)	-	-	-
Restricted Receipts	327,378	109,816	-	-	-
Other Funds	82,199	25,542	103,000	553,978	120,000
Total Expenditures	\$1,635,666	\$1,133,329	\$1,473,785	\$1,653,914	\$1,438,150
FTE Authorization	6.0	6.0	6.0	6.0	6.0
Agency Measures					
Minorities as a Percentage of the Workforce	11.0%	-	-	-	-
Females as a Percentage of the Workforce	55.6%	44.0%	33.0%	33.0%	33.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
Program Measures					
Number of Houses Remaining at the Big River Management Area	32	30	29	29	29
Emergency Water Connections Established per Year	4	2	1	1	1

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Transportation

Department of Transportation
Central Management
Management and Budget
Infrastructure (Engineering)
Infrastructure (Maintenance)

Transportation Function Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Object						
Personnel	92,877,329	91,235,708	99,517,682	99,517,682	105,656,368	108,756,833
Operating Supplies and Expenses	33,785,247	46,377,850	60,977,437	60,977,437	46,871,573	43,052,505
Aid to Local Units of Government	-	-	-	-	-	-
Assistance, Grants, and Benefits	14,071,082	56,081,968	33,884,283	33,884,283	44,319,853	57,109,704
Subtotal: Operating Expenditures:	\$140,733,658	\$193,695,526	\$194,379,402	\$194,379,402	\$196,847,794	\$208,919,042
Capital Purchases and Equipment	74,282,993	57,234,561	190,284,209	190,284,209	121,103,622	115,755,142
Debt Service	-	-	-	-	-	-
Operating Transfers	90,419,911	111,861,029	104,402,880	104,402,880	100,148,953	100,144,582
Total Expenditures	\$305,436,562	\$362,791,116	\$489,066,491	\$489,066,491	\$418,100,369	\$424,818,766
Expenditures by Funds						
General Revenue	-	-	-	-	-	-
Federal Funds	189,355,117	222,082,466	381,348,383	381,348,383	317,024,679	315,733,127
Restricted Receipts	(160,669)	370,919	1,500,000	1,500,000	1,000,000	1,000,000
Other Funds	116,242,114	140,337,731	106,218,108	106,218,108	100,075,690	108,085,639
Total Expenditures	\$305,436,562	\$362,791,116	\$489,066,491	\$489,066,491	\$418,100,369	\$424,818,766
FTE Authorization	733.2	691.2	780.2	780.2	780.2	780.2

The Agency

Department of Transportation

Agency Operations

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the State. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 32 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 3,300 lane miles of highway and 1,153 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Other responsibilities include planning, development, and implementation of transit, rail, water, and bicycle/pedestrian transportation projects. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

Agency Objectives

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost-effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

The Budget

Department of Transportation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program					
Central Management	8,971,167	4,806,238	19,109,271	15,707,175	15,422,933
Management and Budget	760,190	172,574	1,186,330	1,356,992	1,652,721
Infrastructure - Engineering	257,674,927	311,128,136	429,371,011	362,528,812	374,495,137
Infrastructure - Maintenance	38,030,278	46,684,168	39,399,879	38,507,390	33,247,975
Total Expenditures	\$305,436,562	\$362,791,116	\$489,066,491	\$418,100,369	\$424,818,766
Expenditures By Object					
Personnel	92,877,329	91,235,708	99,517,682	105,656,368	108,756,833
Operating Supplies and Expenses	33,785,247	46,377,850	60,977,437	46,871,573	43,052,505
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	14,071,082	56,081,968	33,884,283	44,319,853	57,109,704
Subtotal: Operating Expenditures	\$140,733,658	\$193,695,526	\$194,379,402	\$196,847,794	\$208,919,042
Capital Purchases and Equipment	74,282,993	57,234,561	190,284,209	121,103,622	115,755,142
Debt Service	-	-	-	-	-
Operating Transfers	90,419,911	111,861,029	104,402,880	100,148,953	100,144,582
Total Expenditures	\$305,436,562	\$362,791,116	\$489,066,491	\$418,100,369	\$424,818,766
Expenditures By Funds					
Federal Funds	189,355,117	222,082,466	381,348,383	317,024,679	315,733,127
Restricted Receipts	(160,669)	370,919	1,500,000	1,000,000	1,000,000
Other Funds	116,242,114	140,337,731	106,218,108	100,075,690	108,085,639
	\$305,436,562	\$362,791,116	\$489,066,491	\$418,100,369	\$424,818,766
FTE Authorization	733.2	691.2	780.2	780.2	780.2
Agency Measures					
Minorities as a Percentage of the Workforce	9.6%	9.6%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	20.6%	20.6%	18.0%	18.0%	18.0%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.2%	1.0%	1.0%	1.0%

The Program

Department of Transportation Central Management

Program Operations

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Legal Office is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefits programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the department handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation website: www.dot.state.ri.us.

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits

Program Objective

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

The Budget

Department of Transportation Central Management

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	3,005,171	2,743,488	2,186,112	1,524,508	1,677,141
Operating Supplies and Expenses	921,949	781,088	995,900	964,167	970,592
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	699,505	1,075,683	6,680,949	7,105,000	6,661,200
Subtotal: Operating Expenditures	\$4,626,625	\$4,600,259	\$9,862,961	\$9,593,675	\$9,308,933
Capital Purchases and Equipment	4,165,656	185,451	9,246,310	\$6,113,500	6,114,000
Debt Service	-	-	-	-	-
Operating Transfers	178,886	20,528	-	-	-
Total Expenditures	\$8,971,167	\$4,806,238	\$19,109,271	\$15,707,175	\$15,422,933
Expenditures By Funds					
Federal Funds	7,298,004	3,040,012	17,203,537	14,522,933	14,118,217
Other Funds	1,673,163	1,766,226	1,905,734	1,184,242	1,304,716
Total Expenditures	\$8,971,167	\$4,806,238	\$19,109,271	\$15,707,175	\$15,422,933
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Transportation Management and Budget

Program Operations

The Management and Budget Program consists of seven functional units:

The Office of Contracts and Specifications develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours for engineering projects. Management Information Services (MIS) is responsible for the automated telephone system and computer related services which includes network communication, custom programming, software installation, computer purchases, inventory maintenance, on-line help desk, Geographic Informational Systems (GIS) data integration/mapping and the management of consultants developing custom applications. Financial Management is responsible for the preparation and processing of all fiscal materials. The Assistant Director serves as the Chief Financial Officer (CFO) with oversight over all financial transactions. The Office is charged with developing overall agency policies, guidelines, and procedures for budget administration, financial reporting, and financial management systems. Fleet Operations is responsible for development and enhancement of the department's vehicle fleet. Specific functions include continual monitoring and review of vehicle maintenance costs and records for improved vehicle history and analysis, and vehicle maintenance and inspections. Other functions include the supervision of the Vehicle & Safety Program. The Office of Business and Community Resources is charged with overseeing the department's role in all Disadvantaged Business Enterprise (DBE) programs, which includes supportive services, On-The-Job Training (OJT), the Transportation and Civil Engineering program (TRAC), and other educational, training, and outreach programs targeted to underrepresented groups in the Rhode Island Transportation Industry. External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments. The Federal Programs Section is chiefly responsible for managing all federally reimbursable costs attributed to the Highway Program and the Federal Highway Administration (FHWA). It also administers the annual Federal Highway Program as it relates to the Transportation Improvement Program (TIP).

Program Objective

To provide the necessary support staff and systems needed to ensure efficient program services.

Statutory History

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

The Budget

Department of Transportation Management and Budget

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	899,400	449,291	1,122,157	822,999	1,116,578
Operating Supplies and Expenses	(229,865)	(395,914)	(28,129)	438,993	436,143
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	\$669,535	\$53,377	\$1,094,028	\$1,261,992	\$1,552,721
Capital Purchases and Equipment	90,655	119,197	92,302	95,000	100,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$760,190	\$172,574	\$1,186,330	\$1,356,992	\$1,652,721
Expenditures By Funds					
Other Funds	760,190	172,574	1,186,330	1,356,992	1,652,721
Total Expenditures	\$760,190	\$172,574	\$1,186,330	\$1,356,992	\$1,652,721
Program Measures	NA	NA	NA	NA	NA

The Program

Department of Transportation Infrastructure Engineering

Program Operations

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Traffic Management, Environmental and Intermodal Planning, and Capital Programming. Transportation Development is responsible for the development of projects, from initial scoping through construction and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section includes the Materials and Survey Units and is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million. The Materials Unit is responsible for testing and verifying that construction materials are in compliance with established standards. The Central Surveying functions include construction layout and the dissemination of survey information. The Engineering Section or Design is responsible for maintaining a comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds for the construction and reconstruction of Rhode Island's state-owned and maintained highways, bridges, intersections, and bicycle and pedestrian paths. Traffic Management is responsible for managing the department's database and information management systems as they relate to traffic research. The Environmental and Intermodal Planning Unit is charged with developing an integrated transportation system that provides convenient transition between mode shifts through the development and implementation of planning projects in the areas of rail, water, bicycle/pedestrian transportation, and transit. The unit is also responsible for strengthening the department's compliance efforts with respect to environmental issues. The Capital Programming Unit develops and monitors the Capital Transportation Program to meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP).

Program Objectives

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

The Budget

Department of Transportation Infrastructure Engineering

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	72,145,144	71,514,541	79,497,230	86,972,226	88,923,719
Operating Supplies and Expenses	13,080,067	17,679,311	41,388,970	25,344,723	22,741,250
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	13,321,327	54,903,413	26,752,834	36,764,353	49,995,504
Subtotal: Operating Expenditures	\$98,546,538	\$144,097,265	\$147,639,034	\$149,081,302	\$161,660,473
Capital Purchases and Equipment	69,362,364	55,669,032	177,729,097	113,698,557	113,090,082
Debt Service	-	-	-	-	-
Operating Transfers	89,766,025	111,361,839	104,002,880	99,748,953	99,744,582
Total Expenditures	\$257,674,927	\$311,128,136	\$429,371,011	\$362,528,812	\$374,495,137
Expenditures By Funds					
Federal Funds	182,057,113	219,042,454	364,144,846	302,501,746	301,614,910
Restricted Receipts	(160,669)	370,919	1,500,000	1,000,000	1,000,000
Other Funds	75,778,483	91,714,763	63,726,165	59,027,066	71,880,227
Total Expenditures	\$257,674,927	\$311,128,136	\$429,371,011	\$362,528,812	\$374,495,137
Program Measures					
Vehicle Crash Fatalities per 100 Million Vehicle Miles Traveled	0.80	0.76	0.76	0.76	0.76
Linear Feet of State Sidewalk Retrofitted to Conform to Americans with Disabilities Act Regulations	33,450	142,000	75,000	75,000	75,000
Vehicle Crash Injuries per 100 Million Vehicle Miles Traveled	8.40	4.9	4.9	4.9	4.9
Percentage of State Roadways and Sidewalks Swept Annually	100.0%	100.0%	100.0%	100.0%	100.0%
Percentage of State Roadway Miles Whose Pavement is Rated Good or Excellent	41.0%	40.0%	39.0%	39.0%	38.0%
Number of Rhode Island Bridges Over 20 Feet Listed as Structurally Deficient	21.2%	21.1%	21.5%	21.5%	21.8%

The Program

Department of Transportation Infrastructure Maintenance

Program Operations

The Division of Maintenance is responsible for the routine maintenance of approximately 3,300 lane miles of state highways, 1,153 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Employee Relations Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Employee Relations Office is responsible for all personnel matters of the Highway and Bridge Maintenance Section including, but not limited to, labor relations, Workers' Compensation, and training. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, contract preparation, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

Program Objectives

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles.
R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads.
R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

The Budget

Department of Transportation Infrastructure Maintenance

	FY 2008 Actual	FY 2009 Actual	FY 2010 Enacted	FY 2010 Revised	FY 2011 Recommended
Expenditures By Object					
Personnel	16,827,614	16,528,388	16,712,183	16,336,635	17,039,395
Operating Supplies and Expenses	20,013,096	28,313,365	18,620,696	20,123,690	18,904,520
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	50,250	102,872	450,500	450,500	453,000
Subtotal: Operating Expenditures	\$36,890,960	\$44,944,625	\$35,783,379	\$36,910,825	\$36,396,915
Capital Purchases and Equipment	664,318	1,260,881	3,216,500	1,196,565	(3,548,940)
Debt Service	-	-	-	-	-
Operating Transfers	475,000	478,662	400,000	400,000	400,000
Total Expenditures	\$38,030,278	\$46,684,168	\$39,399,879	\$38,507,390	\$33,247,975
Expenditures By Funds					
Other Funds	38,030,278	46,684,168	39,399,879	38,507,390	33,247,975
Total Expenditures	\$38,030,278	\$46,684,168	\$39,399,879	\$38,507,390	\$33,247,975
Program Measures	NA	NA	NA	NA	NA

Quasi-Public Agencies

Rhode Island Airport Corporation
Capital Center Commission
Rhode Island Clean Water Finance Agency
Rhode Island Convention Center Authority
Rhode Island Economic Development Corporation
Rhode Island Health and Educational Building Corporation
Rhode Island Housing and Mortgage Finance Corporation
Housing Resources Commission
Rhode Island Industrial Facilities Corporation
Rhode Island Industrial-Recreational Building Authority
Narragansett Bay Commission
Rhode Island Public Transit Authority
Quonset Development Corporation
Rhode Island Refunding Bond Authority
Rhode Island Resource Recovery Corporation
Rhode Island Student Loan Authority
Rhode Island Turnpike and Bridge Authority
Rhode Island Water Resources Board Corporate

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements based on the following table:

Program	FAA portion	Corporation portion
Land Acquisition	80%	20%
T.F. Green projects	75%	25%
General Aviation Airports	95%	5%

The Agency

Rhode Island Airport Corporation

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The only "State" funds utilized by the Corporation are general obligation bonds which were issued by the State of Rhode Island for airport related projects. The debt service on these general obligation bonds is the responsibility of the Corporation and the Corporation is current with respect to that responsibility. The DOT has contributed capital to fund a portion of the construction of the Intermodal Facility.

The Budget

Rhode Island Airport Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Budget	FY 2011 Recommended
Revenue: (T.F. Green)				
Landing Fees	11,146,053	10,885,385	10,508,313	10,508,300
Fuel Flowage Fees	1,116,263	965,636	1,043,560	1,064,400
Tiedown & Hanger Fees	1,088,589	1,135,484	1,227,104	1,251,600
Aircraft Registration	22,860	21,980	22,000	22,000
Concessions	3,030,848	2,998,492	3,023,514	3,084,000
Miscellaneous Revenues	368,575	507,218	382,400	390,000
Utilities Reimbursement	359,097	363,967	352,200	359,200
Airline Equipment Charge	577,176	575,988	573,480	-
Terminal Rent-Airlines	11,354,340	11,501,827	11,475,638	11,475,600
Terminal Rent-Non Airlines	1,070,517	1,317,577	809,449	825,600
Automobile Parking	14,020,058	13,571,760	13,699,910	13,973,900
Rental Car Parking	6,891,582	6,340,312	6,587,524	6,719,300
Off Airport Courtesy Fees	701,633	646,631	644,300	657,200
Bad Debt Expenses	473,025	288,697	-	-
Audit & Finance Charge	884,489	34,943	-	-
Federal Grants - FAA	150,500	256,172	150,500	330,500
Airport Support Fund - Revenue A65	635,573	662,082	635,573	650,000
Total Revenue	\$53,891,178	\$52,074,151	\$51,135,465	\$51,311,600
Personnel Expenses: (T.F. Green)				
Payroll	12,628,178	12,235,068	12,054,735	12,295,800
Payroll - Overtime	882,363	732,131	554,251	565,300
Snow Removal Overtime	190,746	325,212	204,775	208,900
Overtime-Holiday	335,857	375,697	381,844	389,500
Unemployment Compensation	12,418	11,729	-	-
Employee Retirement	920,601	956,625	951,011	970,000
FICA Tax	998,276	1,001,491	908,317	926,500
Long Term Disability & Life Insurance	190,538	196,126	225,888	225,900
Workers' Comp Insurance	308,265	308,122	337,746	344,500
Health Insurance	1,914,948	1,980,700	1,837,208	1,929,100
Total Personnel Expenses	\$18,382,190	\$18,122,901	\$17,455,775	\$17,855,500
Total Expenses - Operating	12,818,260	13,147,539	12,598,876	12,744,800
Total Expenditures	\$31,200,450	\$31,270,440	\$30,054,651	\$30,600,300
Net Income from Operations	\$22,690,728	\$20,803,711	\$21,080,814	\$20,711,300

The Budget

Rhode Island Airport Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Budget	FY 2011 Recommended
Outlying Airports				
Revenues	1,563,187	1,762,673	2,044,486	2,105,800
Payroll Expenses	(1,513,380)	(1,520,431)	(1,560,065)	(1,591,300)
Operating Expenses	(1,274,334)	(1,157,476)	(1,089,853)	(1,111,700)
Airport Management Fee	(159,761)	(157,101)	(181,492)	(180,000)
Net Gain (Loss) Outlying Airport	(\$1,384,288)	(\$1,072,335)	(\$786,924)	(\$777,200)
Depreciation & Amortization	16,636,180	18,137,836	20,205,000	19,650,000
Net Income(Loss) After Depreciation and Amortization	\$4,670,260	\$1,593,540	\$88,890	\$284,100
Other Income & Expenses				
Interest Income	2,338,873	1,228,719	588,600	500,000
Interest Expense	(134,043)	(105,449)	(96,000)	(90,000)
Interest Expense - All Bonds	(13,416,112)	(14,088,357)	(13,996,145)	(13,857,900)
Gain (Loss) on Sale of Assets	19,773	40,999	-	-
Miscellaneous Income (Expense)	1,658,821	(140,588)	-	-
Interest Income - PFC	523,911	116,392	75,000	50,000
Passenger Facility Charge	10,146,124	9,111,640	9,185,544	9,369,300
Federal Grants - FAA	11,879,407	8,898,556	25,894,277	9,366,228
Miscellaneous Grants & Contributions	18,810,950	62,322,123	43,981,018	10,719,594
Land Acquisition Program	(7,462,406)	(3,403,122)	(13,104,000)	(4,000,000)
Total Non-Operating Income & Exp	\$24,365,298	\$63,980,913	52,528,294	12,057,222
Intermodal Facility Operations (b)				
Facility Revenues	7,367,089	5,576,326	5,290,910	7,478,976
Operating Expenses	-	-	-	(1,963,500)
Depreciation	-	-	-	(3,750,000)
Interest Expense	-	-	-	(4,109,453)
Total Intermodal Facility, Net	\$7,367,089	\$5,576,326	\$5,290,910	(\$2,343,977)
Net Income	\$36,402,647	\$71,150,779	\$57,908,094	\$9,997,345

(a) The information presented for FY 2011 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

(b) The Intermodal Facility is expected to open in Fall 2010. Facility Revenues include Customer Facility Charges.

The Agency

Capital Center Commission

Agency Operations

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Economic Development Corporation, the City of Providence, and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2008 Actual	FY 2009 Unaudited	FY 2010 Budgeted	FY 2011 Projected
Operating Revenues				
State Grants	38,555	18,028	18,028	18,028
City Grants	35,000	35,000	-	-
Management Fees	40,520	-	-	-
Total Operating Revenues	\$114,075	\$53,028	\$18,028	\$18,028
Expenditures				
Salaries	86,260	85,790	-	-
Fringes	15,269	16,000	-	-
Rent and Utilities	14,575	16,000	-	-
Telephone	240	1,400	-	-
Print/Supplies	846	1,500	1,000	1,000
Postage	1,416	2,000	-	-
Meetings	1,721	2,000	1,500	1,500
Miscellaneous	826	1,500	1,000	1,000
Travel/Prof. Dev.	631	3,000	-	-
Dues, Ins. Subscriptions	2,672	2,700	-	-
Consultants	-	2,500	5,000	5,000
Legal & Audit Fees	6,593	11,500	9,528	9,528
Total	\$131,049	\$145,890	\$18,028	\$18,028
Less Non Operating Revenue				
Interest Income	112	-	-	-
Net Income(Loss)	(\$16,862)	(\$92,862)	\$0	\$0

FY 2009 are unaudited figures. Budget numbers for FY 2011 are preliminary and have not been approved or reviewed by the Capital Center Commission. The Commission had reserves to cover the shortfalls in 2008 and 2009. Effective in March 2009, the Providence Planning Department assumed responsibilities for the day to day operations of the Commission. There is currently no full time staff of the Commission and no longer any rental of office space.

The Agency

Rhode Island Clean Water Finance Agency

Agency Operations

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

Agency Objectives

The objective of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF.

The Budget

Rhode Island Clean Water Finance Agency

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Revenue				
Interest and Investment Income	27,747,429	25,994,837	26,123,667	27,168,614
Operating Grant Income	2,176,339	2,428,174	2,550,000	2,601,000
Loan Service Fees ⁽¹⁾	3,654,305	3,873,536	3,987,773	4,107,406
Other Revenue	162,593	209,852	168,000	184,800
Total Revenues	\$33,740,666	\$32,506,399	\$32,829,440	\$34,061,820
Operating Expenses				
Interest and Finance Expenses	26,380,533	27,716,562	29,562,311	31,336,050
Administrative Expenses	1,244,973	1,715,012	1,212,894	1,273,539
Administrative Fees - DEM	249,817	210,362	300,000	300,000
Administrative Fees - DOH	135,275	119,399	150,000	155,000
DOH Set-Aside Programs	1,791,247	2,098,413	2,100,000	2,050,000
Total Operating Expenses	\$29,801,845	\$31,859,748	\$33,325,205	\$35,114,589
Other Revenues (Expenses)				
Federal & State Capitalization Grants	10,903,908	8,185,602	39,017,130	15,606,852
Gain (Loss) Sale of Assets	-	-	-	-
Excess Revenues over Expenses	\$14,842,729	\$8,832,253	\$38,521,365	\$14,554,083

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2011 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

A "phased" approach for each entity of the complex occurred as follows: North Parking Facility: December 5, 1992, Convention Center and South Parking Facilities: December 2, 1993 and the Westin Hotel: December, 1994. The authority has operated its facilities with various management contracts since inception. During FY 2005 and FY 2006, major changes occurred altering the future direction and scope for the authority, including the Westin Hotel sale in April, 2005 and the authority's acquisition of the Dunkin' Donuts Center from the City of Providence in December 2005.

The authority was authorized to issue revenue bonds to acquire the Dunkin' Donuts Center and lease the facility to the state. Rental payments from the Dunkin's Donuts Center lease are applied to payments of the bonds. The Center underwent major renovations subsequent to its acquisition by the authority and re-opened with new seating, luxury suites, a new sound system and video scoreboard, a redesigned concourse/lobby and a bridge connection to the Convention Center in September, 2008.

In July, 2008, the authority assumed management responsibility for the Veterans Memorial Auditorium and Cultural Center (VMA) from the Veterans Memorial Auditorium Foundation.

Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. Future potential plans under investigation by the authority's Board include the acquisition of the Veteran's Memorial Auditorium, which will complement the current assets of the Authority.

Budget

Rhode Island Convention Center Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Resources				
Opening Cash Balances	\$ 827,493	\$ 965,135	\$ 1,643,251	\$ 1,535,079
Operations	18,902,843	19,941,584	21,891,502	22,020,008
Reimbursement for Capital Projects	1,024,136	66,895	-	-
Investment Income and Swap Savings	3,571	(1,349,293)	35,000	35,700
Westin Hotel Room Tax	299,729	288,089	315,000	321,300
Net Bank Transfers/Misc Revenues	772,330	1,265,929	12,000	350,000
Total Resources	\$ 21,830,102	\$ 21,178,339	\$ 23,896,753	\$24,262,087
Expenditures				
Convention Center Authority	2,805,658	3,298,552	3,096,909	3,461,754
Convention Center Management	12,754,970	12,343,623	10,866,417	11,294,716
Dunkin Donuts Center	5,303,439	6,466,315	8,423,252	8,018,660
Veteran's Memorial Auditorium	-	-	559,892	818,746
Dunkin Donuts Center Renovation	8,400,000	4,100,000	-	-
Subtotal Operations	\$29,264,067	\$26,208,490	22,946,469	23,593,877
Debt Service	16,355,066	13,433,412	\$15,476,433	\$16,224,883
Dunkin Donuts Center Debt Service	6,906,436	6,906,654	6,906,665	6,905,454
Renewal and Replacement - Conv. Ctr.	-	-	-	-
Renewal and Replacement - D.D. Center	-	-	1,380,000	460,000
Grand Total Expenditures	\$52,525,569	\$46,548,556	\$46,709,567	\$47,184,214
Balance from Operations	\$ (30,695,467)	\$ (25,370,216)	\$ (22,812,814)	(\$22,922,127)
State Appropriation - Debt Service	23,260,602	\$20,363,602	\$22,383,098	\$23,130,337
State Appropriation - Operating	-	2,549,865	\$1,964,795	-
Dunkin Donuts Center Renovation	8,400,000	4,100,000	-	-
Final Cash Balances	\$ 965,135	\$ 1,643,251	\$ 1,535,079	\$ 208,210

The information presented above is based upon cash flow data provided by the authority and has not been approved by the authority's Board of Directors.

The Agency

Rhode Island Economic Development Corporation

Agency Operations

The Rhode Island Economic Development Corporation consolidates all economic development activities of the State of Rhode Island into one entity to enhance service delivery, performance, and accountability.

The Rhode Island Economic Development Corporation's Board of Directors consists of eight members, including the Governor, who serves as chair. The Governor appoints all seven members. The board oversees the implementation of all state-level economic development programs. Effective January 1, 2005, corporate governance for the Quonset Point/Davisville Industrial Park in North Kingstown was transferred to the Board of Directors of the newly created Quonset Development Corporation.

Effective July 1, 2008, the Corporation will provide staff support to the Economic Policy Council.

Agency Objectives

The Rhode Island Economic Development Corporation works in partnership with the business community to enhance Rhode Island's business climate; provides direct assistance to Rhode Island businesses to retain and add jobs; identifies and works to attract new businesses and investment to Rhode Island; and serves as an advocate for economic development in Rhode Island.

The Rhode Island Economic Development Corporation's economic growth plan involves a targeted calling program by Business Development professionals with specific expertise in industries that pay higher wages and enable workers to move from lower to higher-wage positions. Specific industries targeted include: (1) Information technology and Digital Media; (2) Marine trades and Defense Technology; (3) Financial Services; (4) Advanced Manufacturing and Industrial Products; (5) Health and Life Sciences; and (6) Consumer Products and Design.

Statutory History

The Corporation was created in 1995 by Title 42, Chapters 43 and 64, of the Rhode Island General Laws, replacing the former Department of Economic Development and the Rhode Island Port Authority.

The Budget

Rhode Island Economic Development Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Estimated Opening Balance Revenues:	\$98,746	\$356,748	\$219,059	\$202,500
Resources				
State Appropriation	5,994,050	5,098,487	4,566,052	4,648,487
Legislative Grants	1,460,634	730,320	852,320	852,320
Welcome Center	-	-	-	400,000
Airport Impact Aid	1,000,754	1,000,754	1,025,000	1,025,000
Science and Technology Council ²	185,392	154,976	-	-
STAC Research Alliance (EPScore)	1,458,762	1,446,569	1,500,000	1,500,000
Business Innovation Factory ²	100,000	100,000	-	-
Grants - Department of Education	125,000	125,000	-	-
Slater Centers of Excellence	2,919,000	3,000,000	2,000,000	2,000,000
Subtotal	\$13,243,592	\$11,656,106	\$9,943,372	\$10,425,807
Revenue from Federal Government				
Grants (Procurement)	131,939	153,171	161,214	161,214
Subtotal	\$131,939	\$153,171	\$161,214	\$161,214
Revenues from Operations				
Other Income	289,755	120,000	120,000	120,000
Finance Program Allocation ¹	469,645	487,420	895,550	895,550
Welcome Center (DOT)	475,000	455,759	400,000	-
QDC Allocation	267,500	150,000	150,000	150,000
Subtotal	\$1,501,900	\$1,213,179	\$1,565,550	\$1,165,550
Total Resources	\$14,976,177	\$13,379,204	\$11,889,195	\$11,955,071
Expenditures				
Personnel Expenses	4,062,958	3,410,759	3,646,875	3,915,251
Operating Expenses	2,928,603	2,861,008	2,262,500	2,262,500
Legislative/EDC Grants	1,460,634	730,320	852,320	852,320
Airport Impact Aid	1,000,754	1,000,754	1,025,000	1,025,000
Science and Technology Council ²	185,392	154,976	-	-
STAC Research Alliance (EPSCoR)	1,458,762	1,446,569	1,500,000	1,500,000
Business Innovation Factory ²	100,000	100,000	-	-
Slater Centers of Excellence	2,919,000	3,000,000	2,000,000	2,000,000
Welcome Center	503,326	455,759	400,000	400,000
Total Expenditures	\$14,619,429	\$13,160,145	\$11,686,695	\$11,955,071
Closing Balance	\$356,748	\$219,059	\$202,500	\$0

The information presented above was provided by the entity, and in most cases, the data provided for FY 2011 has not been approved by the Board of Directors.

¹ Includes funding from the Small Business Loan Fund, the Renewable Energy Fund and the Industrial-Recreational Building Authority for staff support.

² Funding for STAC and BIF are incorporated into the state appropriation under resources and in personnel and operating expenses under expenditures

The Agency

Rhode Island Health and Educational Building Corporation

Agency Operations

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$5 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

Agency Objectives

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Projected	FY 2011 Proposed
Expenditure by Object				
Personnel	379,590	493,686	512,725	523,000
Other Operating Expenditures	345,244	308,132	292,275	325,000
Financing Services	760,944	555,517	560,000	600,000
Grants	390,000	-	90,000	90,000
Transfer to State	-	1,100,000	1,000,000	1,000,000
Total Expenditures	\$1,875,778	\$2,457,335	\$2,455,000	\$2,538,000
Expenditures by Fund				
Personnel	379,590	493,686	512,725	523,000
Other Operating Expenditures	345,244	308,132	292,275	325,000
Financing Services	760,944	556,517	560,000	600,000
Grants	390,000	-	90,000	90,000
Transfer to State	-	1,100,000	1,000,000	1,000,000
Total Expenditures	\$1,875,778	\$2,458,335	\$2,455,000	\$2,538,000

The information presented above was provided by the entity, and in most cases, the data provided for FY 2011 has not been approved by the Board of Directors.

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Operations

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator, technical assistance in the housing area to other governmental entities and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Agency Objectives

To provide, improve and expand housing and housing related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Budgeted	FY 2011 Forecast
Expenditure Report				
Personnel Services	12,810,800	12,591,609	14,370,000	14,400,000
Other Administrative Expenses	4,596,736	4,745,880	5,121,000	5,100,000
Programmatic Expenses	8,361,782	8,621,700	9,659,000	9,600,000
Provision for Loan Loss	3,204,948	3,469,602	3,000,000	3,000,000
Arbitrage Rebate	892,454	811,768	800,000	800,000
Amortization and Depreciation	1,851,337	1,899,118	1,900,000	1,900,000
Total	\$31,718,057	\$32,139,677	\$34,850,000	\$34,800,000

The information for FY 2011 has not been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation and is subject to change pending review by the Corporation.

The Agency

Housing Resources Commission

Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 with responsibility for establishing housing policy, planning and coordination for the State of Rhode Island. Funding was provided to establish a lead hazardous reduction program in conjunction with Rhode Island Housing. With the passage of the Lead Hazard Mitigation Act, the Commission's responsibilities included implementation of that law. Additional responsibility was given to the Commission with the passage of the Comprehensive Housing and Rehabilitation Act of 2004. This law mandated the development of a strategic housing plan and ongoing tracking of 29 local affordable housing plans.

With the passage of the Building Homes Rhode Island Bond in November 2006, the Commission assumed responsibility for the program with administrative assistance from Rhode Island Housing. Regulations, an application, distribution process and contracts were developed and the Commission will disburse \$12.5 million annually for this program. The Commission is responsible for program development and implementation of homeless facilities and resources to respond to those seeking shelter including those who have been discharged from the Department of Corrections, aged out of foster care and lost housing due to foreclosures of multi-family housing.

Agency Objectives

The objectives are to promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law. The Commission coordinates activities among state agencies and political subdivisions pertaining to housing and promotes quality of life within communities. We accomplished this by providing opportunities for safe, healthy, and affordable housing and economic development for all Rhode Islanders through encouraging public-private partnerships, fostering support for nonprofit organizations, and distributing funding for housing, shelter, and community development.

Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission has 27 members, both ex-officio and appointed by the Governor representing a cross section of people working on housing issues. Amendments enacted during the 2008 legislative session give two additional responsibilities to the Commission: operation of a supportive service program and administration of an expedited permitting process for affordable housing.

The Budget

Housing Resources Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Expenditure by Object				
Administrative Expenses	106,000	106,000	106,000	106,000
Assistance, Grants, Benefits	2,005,410	2,064,000	2,064,000	2,064,000
Capital (NOP)	-	5,000,000	2,500,000	-
Capital (Building Homes RI) ⁽¹⁾	12,500,000	12,500,000	12,500,000	12,500,000
Total Operating Expenses	14,611,410	19,670,000	17,170,000	14,670,000
Expenditure by Funds				
State General Revenues	2,111,410	2,170,000	2,170,000	2,170,000
Federal Grants	-	-	-	-
NOP	-	5,000,000	2,500,000	-
G.O. Bond Proceeds - Building Homes	12,500,000	12,500,000	12,500,000	12,500,000
Total Expenditures	14,611,410	19,670,000	17,170,000	14,670,000
Closing Balance	\$0	\$0	\$0	\$0

⁽¹⁾ Reflects State General Obligation bond proceeds also reflected in the State's Capital Budget.

The Agency

Rhode Island Industrial Facilities Corporation

Agency Operations

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island in accordance with Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on the behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Agency Objectives

The corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2008 Actual	FY 2009 Pre-Audit	FY 2010 Revised	FY 2011 Recommended
Receipts				
Bond Fees	110,907	72,928	70,000	65,000
Other	5,152	3,500	5,000	5,000
Interest	19,012	4,867	1,000	2,000
Recovery of Bad Debt	4,000	-	-	-
Total	\$139,071	\$81,295	\$76,000	\$72,000
Expenses				
Administration	61,801	85,421	83,000	83,000
Insurance	16,535	16,866	11,000	15,000
Legal and Audit	29,579	11,936	12,000	12,000
Other	654	29	-	-
Transfer to RIEDC	-	125,000	-	-
Total	\$108,569	\$239,252	\$106,000	\$110,000
Net Gain/(Loss)⁽¹⁾	\$30,502	(\$157,957)	(\$30,000)	(\$38,000)

⁽¹⁾ Net assets of the Corporation were \$315,752 at the end of FY 2009 and are projected to decrease to \$285,752 and \$247,752 as of the end of FY 2010 and FY 2011, respectively.

The information was provided by the entity, and the data provided for FY 2011 was approved by the Board on October 29, 2009

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Operations

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. The Rhode Island Industrial-Recreational Building Authority, as required by statute, maintains a first security position in all projects. Effective July 1, 2008, the total amount of mortgage insurance issued cannot exceed \$20,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Agency Objectives

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2008 Audited	FY 2009 Audited	FY 2010 Revised	FY 2011 Recommended
Receipts:				
Premiums	181,576	95,853	95,000	135,000 ⁽¹⁾
Interest	76,481	40,692	25,000	25,000
Rent	70,420	75,000	75,000	- ⁽²⁾
Recovery of Bad Debt	29,240	613,320 ⁽³⁾	-	-
Total Receipts	\$357,717	\$824,865	\$195,000	\$160,000
Expenses:				
Administration	61,801	85,421	83,000	83,000
Legal	41,631	33,627	20,000	20,000
Insurance	28,995	20,725	11,000	15,000
Other	24,478	14,286	15,000	15,000
Total Expenses	\$156,905	\$154,059	\$129,000	\$133,000
Operating Income (Loss)	\$200,812	\$670,806	\$66,000	\$27,000
Est. Loss-Default	-	-	-	-
Net	\$200,812	\$670,806	\$66,000	\$27,000

Assumptions:

⁽¹⁾ \$5 million in additional mortgage insurance is expected to be issued by the end of FY 2010.

⁽²⁾ The Authority acquired a building through foreclosure, which is under lease through March 31, 2010. The Building is expected to be sold as of the end of FY 2010.

⁽³⁾ The Authority sold common stock and warrants acquired in prior years from a private-sector entity in lieu of payment of mortgage insurance premiums.

The information was provided by the entity, and the data provided for FY 2011 was approved by the Board on 11/6/09..

The Agency

Narragansett Bay Commission

Agency Operations

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 64 combined sewer overflows, 32 tide gates and 7 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 8,000 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$432 million five-year capital improvement budget for fiscal years 2011-2015. The NBC is governed by a 19-member Board and is organized into four divisions: the Executive, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC has been charged with the responsibility to reduce the amount of combined sewer overflow to local waterways within its service area.

Agency Objectives

The Narragansett Bay Commission's primary objective is to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal guidelines, thereby safeguarding the health and safety of the citizens of Rhode Island and protecting their environment.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2008 Actual	FY 2009 Actual	FY 2010 Budget	FY 2011* Projected
Expenditures by Object				
Personnel	17,052,860	18,108,181	19,720,309	20,509,121
Operating Supplies & Expenses	7,294,095	8,311,523	9,360,245	9,734,655
Special Services	7,214,382	7,158,834	7,858,858	8,173,212
Subtotal Operating Expenditures	\$31,561,337	\$33,578,538	\$36,939,412	\$38,416,988
Capital Outlays	1,746,443	1,529,433	2,260,600	2,000,000
Debt Service	29,947,152	29,486,227	34,737,793	36,525,037
Total Expenditures	\$63,254,932	\$64,594,198	\$73,937,805	\$76,942,025
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	17,052,860	18,108,181	19,720,309	20,509,121
Operating Supplies & Expenses	7,294,095	8,311,523	9,360,245	9,734,655
Special Services	7,214,382	7,158,834	7,858,858	8,173,212
Capital Outlays	1,746,443	1,529,433	2,260,600	2,000,000
Debt Service	29,947,152	29,486,227	34,737,793	36,525,037
Total Expenditures	\$63,254,932	\$64,594,198	\$73,937,805	\$76,942,025

* The information presented for FY 2011 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

Sources:

FY 2008 Actuals taken from the audited financial statements.

FY 2009 Actuals taken from the audited financial statements.

FY 2010 taken from NBC's approved budget.

The Agency

Rhode Island Public Transit Authority

Agency Operations

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program and the high-speed ferry demonstration project from Providence to Newport. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 258 buses operated and maintained by 700 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 135 vans currently operated by 3 carriers. In FY 2009, more than 18.0 million passengers were carried on RIPTA's fixed-route bus service and an additional 590,870 passengers were transported on the state's coordinated paratransit service.

Agency Objectives

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommend
Opening Balance	-	(\$1,580,092)	\$421,246	-
Revenue				
Passenger Revenue	25,928,004	26,481,446	20,046,035	20,106,127
Special Revenue	588,464	688,035	766,260	820,465
Other Revenue	10,489,394	11,306,471	10,995,149	11,641,238
State Subsidy - Gasoline Tax ⁽²⁾	32,724,644	33,613,398	42,900,000	42,900,000
Department of Elderly Affairs	1,101,054	976,000	976,000	976,000
Federal Subsidy	20,601,872	21,181,376	20,813,212	22,972,276
Total Revenue	\$91,433,432	\$94,246,726	\$96,496,656	\$99,416,106
Expenses				
Salaries and Wages	42,714,918	42,800,274	43,183,647	43,404,447
Employee Benefits	21,148,555	22,407,488	23,170,749	25,343,819
Special Services	1,326,759	1,509,711	1,314,776	1,225,751
Operating Expenses ⁽¹⁾	27,823,292	27,108,007	27,668,638	29,442,089
Prior Year Carry Forward	-	-	1,158,846	-
Total Expenses:	\$93,013,524	\$93,825,480	\$96,496,656	\$99,416,106
Closing Surplus/(Deficit):	(\$1,580,092)	\$421,246	-	-

⁽¹⁾ Includes Debt Service payable on general obligation bonds.

⁽²⁾ Figure represents agency's estimate.

Sources:

FY 2008 and FY 2009 Actuals taken from the audited financial statements

FY 2010 Approved and FY 2011 Proposed Budget has been approved by RIPTA Board of Directors.

The Agency

Quonset Development Corporation

Agency Operations

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the RI Economic Development Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Agency Objectives

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.9 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Estimated Opening Balance Revenues:	(\$2,713)	\$4,347	(\$50)	\$3,468
Revenues from Operations				
Rental Income	4,102,801	4,758,618	4,053,102	5,191,256
Pier Income	499,121	694,019	600,000	700,000
Utility Sales	2,031,429	1,857,474	2,000,000	1,900,000
Other Income	810,048	169,227	487,578	523,688
	\$7,443,399	7,479,338	7,140,680	8,314,944
Total Resources	\$7,440,686	\$7,483,685	\$7,140,630	\$8,318,412
Expenditures				
Personnel Expenses	3,230,077	2,986,100	3,663,162	3,770,235
Operating Expenses	2,938,762	2,874,635	3,024,000	3,090,515
EDC Allocation	267,500	150,000	150,000	150,000
Capital Expenditures	1,000,000	1,473,000	300,000	1,300,000
Total Expenditures	\$7,436,339	\$7,483,735	\$7,137,162	\$8,310,750
Closing Balance	\$4,347	(\$50)	\$3,468	\$7,662

The Program

Rhode Island Refunding Bond Authority

Program Operations

The Rhode Island Refunding Bond Authority was created in 1987 as a public corporation, having a distinct legal existence from the state and not constituting a department of state government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The authority is authorized to issue bonds and notes secured solely by revenues derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, which are subject to annual appropriation.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into the authority. Prior to the merger, the Public Buildings Authority issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt service payments are secured by the lease payments made by the state, subject to annual appropriations. Since 1998, the Refunding Bond Authority has refunded all of the outstanding principal of bonds issued by the Public Building Authority.

The payment of such loans by the state is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2006, total net debt outstanding of the authority totals \$60,320,000.

Program Objectives

To ensure prompt payment of outstanding debts of the authority.

Statutory History

R.I.G.L. 35-8.1 created the authority.

The Budget

Rhode Island Refunding Bond Authority

The budget of the Refunding Bond Authority is reflected in the operating budget of the General Treasurer.

The Agency

Rhode Island Resource Recovery Corporation

Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF), in conjunction with grants and technical assistance provided to municipalities supports the State's municipal recycling programs. Metals, plastic and glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): two web-based materials exchange programs, an education program, promotion of leaf and yard debris composting and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target computer equipment and televisions, marine shrink wrap, plastic bags and provide assistance to school paper recycling programs.

In August 2001, the Corporation assumed responsibility of the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system. The Corporation operates a construction/demolition (C&D) debris processing facility, converting types of C&D debris from solid waste to alternate cover material, thereby conserving valuable landfill capacity.

Agency Objectives

The Corporation's primary objectives are to develop and implement waste reduction programs, divert waste from the landfill, and provide cost-effective disposal alternatives. Since its initial roll-out, the Corporation's "Maximum Recycling Program," has increased recyclables diversion from the municipalities. The "Maximum Recycling Program" has been implemented in 38 of 39 municipalities throughout the state, providing the opportunity of these municipalities to reduce their waste disposal costs. Licensure of additional landfill capacity is being pursued to maintain disposal capacity as the current facility is depleted.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2008 Audited	FY 2009 Audited	FY 2010 Projected	FY 2011 Projected ⁽¹⁾
Revenues:	\$66,992,865	\$45,526,158	\$42,425,838	\$44,532,555 ⁽²⁾
Expenses:				
Personnel Costs	14,152,023	12,466,008	11,395,648	11,752,717
Contractual Services	11,519,347	9,945,221	9,710,047	9,577,168
Utilities	1,247,562	1,722,848	1,708,800	1,742,976
Repairs and Maintenance	4,329,489	3,981,364	4,287,550	4,299,861
Other Supplies and Expenses	4,112,370	2,933,233	2,567,327	3,145,965
Grants to Municipalities for Recycling	3,011,851	1,040,072	410,250	370,250
Bad Debts	249,477	576,931	120,000	120,000
Provision for landfill closure and post closure care and Superfund clean-up costs	9,303,511	(355,952)	4,792,928	2,537,392
Depreciation, depletion, and amortization	13,775,652	10,408,402	10,821,981	11,420,152
Total Expenses	\$61,701,282	\$42,718,127	\$45,814,531	\$44,966,481 ⁽²⁾
Income (Loss) from Operations	\$5,291,583	\$2,808,031	(\$3,388,693)	(\$433,926)
Transfers to State of Rhode Island	(5,000,000)	(7,500,000)	-	-
Interest and investment revenue	1,382,805	1,627,519	1,333,369	1,361,021
Loss on disposal of land held for sale	(422,940)	26,431	-	-
Interest expense	(854,142)	(778,890)	(701,996)	(664,360)
Other income (expense)	-	1,000,000	-	-
Total nonoperating revenues (expenses)	(\$4,894,277)	(\$5,624,940)	\$631,373	\$696,661
Net Income (Loss) for the Year	\$397,306	(\$2,816,909)	(\$2,757,320)	\$262,735
Assets:				
Cash, Cash Equivalents & Investments	16,550,853	5,332,005	(1,413,683)	(11,923,514)
Accounts Receivable, Net	7,336,425	6,058,595	6,345,842	6,145,842
Property, Plant and Equipment, Net	76,185,923	74,557,395	67,453,216	77,345,061
Restricted Investments	2,154,818	2,219,925	2,064,037	2,064,037
Assets Held in Trust	79,915,816	81,057,415	92,767,926	92,995,395
Other Assets	3,244,464	5,885,403	5,868,792	6,102,181
Total Assets	\$185,388,299	\$175,110,738	\$173,086,130	\$172,729,002
Liabilities:				
Accounts Payable	6,915,590	9,646,449	3,863,792	3,863,792
Other Current Liabilities	8,540,904	4,858,177	7,665,403	7,692,276
Bonds/ Notes Payable	15,189,265	13,730,060	12,270,856	11,396,652
Superfund Cleanup, Closure & Post-Closure Costs	84,847,331	87,600,562	92,767,911	92,995,380
Total Liabilities	\$115,493,090	\$115,835,248	\$116,567,962	\$115,948,100
Retained Earnings	\$69,895,209	\$59,275,490	\$56,518,168	\$56,780,902
Total Liabilities and Retained Earnings	\$185,388,299	\$175,110,738	\$173,086,130	\$172,729,002

(1) The FY 2011 recommended budget reflects management's FY 2011 budget projections made at the end of SFY 2009. They have not been approved by the Corporation's Board of Commissioners or the Office of the Governor under Executive Order 08-03. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables.

(2) Due to the recent economic conditions, the Corporation has experienced a significant drop in volume and corresponding revenues compared to State fiscal year 2008. Accordingly, the Corporation has made significant cuts in operating costs, and has deferred various capital outlays previously anticipated in fiscal years 2009, 2010, and 2011.

The Agency

Rhode Island Student Loan Authority

Agency Operations

The authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The authority originates and acquires over \$200,000,000 of federally guaranteed loans pursuant to the Higher Education Act. The authority is permitted to finance the origination and acquisitions of non-federal guaranteed student loans under its Rhode Island Family Education Loan Program (RIFEL).

As of December 31, 2009, the authority holds \$736,315,824 in Federal Family Education Loans serving 70,053 students and parents and \$194,150,386 in Rhode Island Family Education Loans servicing 9,574 student borrowers. The authority may issue bonds to further its corporate purpose which bonds are not an obligation of the State of Rhode Island and are solely an obligation of the authority. As of December 31, 2009, the authority has \$1,056,540,000 in bonds outstanding.

Agency Objectives

The Authority has a long history of assisting student and parent borrowers by lowering their cost of borrowing. Under the Higher Education Act, the authority provides Federal Family Education Loans consisting of subsidized and unsubsidized Stafford Loans, PLUS Loans for parents and graduate students, and Consolidated Loans. The Authority also provides a safe fixed rate education loan called the Rhode Island Family Education Loan (RIFEL). In 2009, this program offered loans with an interest rate as low as 7.76% and the borrower had the option of immediate or deferred repayment terms. Since the inception of the RIFEL program in 1992, RISLA has disbursed \$24 million in RIFEL loans which has benefited 11,958 student borrowers.

Since FY 2004, RISLA has also made \$350,000 in scholarships for 174 needy students. The scholarship is named after former RISLA Board member, professor of education and state representative Paul Sherlock.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Expenditures by Program				
Student Loan Program	60,356,049	48,612,098	41,379,313	41,318,224
Transfer to State for RIHEAA Scholarship Progr	-	-	-	-
College Planning Center	599,297	585,985	580,118	641,207
Representative Paul Sherlock Scholarship Progr	50,000	50,000	50,000	50,000
Total Expenditures	\$61,005,346	\$49,248,083	\$42,009,431	\$42,009,431
Expenditures by Category				
Interest & Bond Expenses	42,158,765	34,703,487	25,064,252	25,064,252
Arbitrage Rebate Expense	(5,515,856)	(7,764,290)	(4,875,000)	(4,875,000)
Loan Servicing	6,345,988	7,015,326	6,958,504	6,958,504
Loan Origination Expenses	955,058	-	-	-
Transfer to State for RIHEAA Scholarship Progr	-	-	-	-
Provision for Risk Share	6,231,891	5,009,338	5,673,622	5,673,622
Department of Education Loan fees	5,221,897	5,809,946	4,568,422	4,568,422
Guarantor default fees paid for borrower	2,093,837	-	-	-
Repurchase Loan Origination Rights	826,282	1,057,046	988,960	988,960
Personnel	2,563,814	3,299,359	3,531,635	3,531,635
Depreciation	73,670	67,871	49,036	49,036
Representative Paul Sherlock Scholarship Progr	50,000	50,000	50,000	50,000
Total Expenditures	61,005,346	\$49,248,083	\$42,009,431	\$42,009,431
Expenditures by Funds				
Bond Indentures	60,955,346	49,198,083	41,959,431	41,959,431
Dedicated Revenue from Licensing	50,000	50,000	50,000	50,000
Total Expenditures	\$61,005,346	\$49,248,083	\$42,009,431	\$42,009,431

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown, and the Mount Hope Bridge between Portsmouth and Bristol, structures that are integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. At June 30, 2009, \$23,683,887 in principal remains to be paid. Principal and interest payments are made annually and semi-annually, respectively. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues calculated on the Claiborne Pell Bridge and interest earned on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow.

Agency Objectives

To facilitate safe and efficient vehicular traffic over waters of the Mount Hope Bay and the East Passage of Narragansett Bay through the operation and maintenance of its two suspension bridges - the Claiborne Pell Bridge, built in 1969, and the Mount Hope Bridge, built in 1929.

Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended ⁽²⁾
Revenue				
Tolls	12,040,023	12,546,502	13,500,555	18,942,000
Interest Income	2,038,076	1,241,956	800,000	800,125
Miscellaneous	28,215	836,249	12,000	12,000
Total Revenue	14,106,314	\$14,624,707	14,312,555	19,754,125
Expenses				
Salaries and Wages	1,918,103	2,547,860	2,806,494	2,860,000
Bond Interest	1,234,918	1,170,064	1,089,446	4,029,092
Maintenance & Supplies	623,087	307,753	457,500	270,000
Insurance	1,281,111	1,253,495	979,770	990,000
Professional	275,531	1,138,424	264,000	300,000
All Other	400,000	1,290,275	1,249,118	2,007,000
Total Expenses	\$5,732,750	\$7,707,871	6,846,328	10,456,092
Debt Service and Reserves				
Bond Principal Account	2,075,833	2,105,000	2,180,000	2,270,000
Renewal and Replacement Fund ⁽¹⁾	5,018,774	5,334,230	4,433,723	7,028,033
Insurance Reserve Fund	-	-	-	-
General Fund	153,832	40,437	-	-
Total Funding	\$7,248,439	\$7,479,667	6,613,723	9,298,033
Total Expenses and Funding	\$12,981,189	\$15,187,538	13,460,051	19,754,125
Change in Net Assets	1,125,125	(562,831)	852,504	-

⁽¹⁾ The Renewal and Replacement Fund is used for capital improvements included in the ten-year plan approved by the Board of Trustees and certain maintenance costs of the Authority's two bridges and related buildings and grounds. Amounts in this fund are pledged to bondholders.

⁽²⁾ The information presented above was provided by the Authority. Fiscal 2011 data has not been approved by the Authority's management or Board of Directors. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

The Budget

Rhode Island Water Resources Board Corporate

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Expenditures by Object				
Personnel	32,981	21,181	40,250	36,250
Other State Operating	2,500	-	812	2,400
Assistance, Grants and Benefits ⁽¹⁾	106,222	-	-	-
Subtotal: Operating Expenditure	\$141,703	\$21,181	\$41,062	\$38,650
Capital Projects Debt Service	1,082,918	1,084,662	1,042,328	1,037,650
Total Expenditures	\$1,224,621	\$1,105,843	\$1,083,390	\$1,076,300
Expenditures by Funds				
Personnel	32,981	21,181	40,250	36,250
Other Operating Expenses	2,500	0	812	2,400
Assistance, Grants and Benefits	106,222	-	-	-
Capital Debt Service	1,082,918	1,084,662	1,042,328	1,037,650
Subtotal: Water Quality Protection Charge	\$1,224,621	\$1,105,843	\$1,083,390	\$1,076,300
Other Funds				
Capital Debt Service-Prov Project ⁽²⁾	1,070,325	1,014,255	1,014,255	-
Subtotal: Water Quality	\$1,070,325	\$1,014,255	\$1,014,255	\$0
Total Expenditures	\$1,224,621	\$1,105,843	\$1,083,390	\$1,076,300

⁽¹⁾ Phase III of Watershed Protection Program (ended in FY 2008).

⁽²⁾ Paid directly by Providence Water Supply Board. Final payment remitted 9/15/2009

Component Units

Rhode Island Economic Policy Council
The College Crusade of Rhode Island

The Agency

Rhode Island Economic Policy Council

Agency Operations

The Rhode Island Economic Policy Council (Council) is a private-public partnership founded in March 1995, by an Executive Order of the Governor. The Council was re-established and expanded by Executive Orders 98-2 and Executive Order 03-06. The Council is organized as a non-profit 501(c)(3) corporation for tax purposes. The Council consists of the Governor, the Speaker of the House, the Majority Leader of the Senate, the Mayor of Providence and representatives from higher education, business, labor and the community, chosen by the Governor. The private sector and the State of Rhode Island equally fund the Council.

Effective July 1, 2008 staff support for the Council will be provided by the Economic Development Corporation.

Agency Objectives

The Council's function is to focus the state's economic development efforts on game-changing initiatives that enhance the business climate, improve quality of place and increase capacity for innovation. As a cross-sector network, the Policy Council is particularly fertile ground for new ideas, both original and borrowed, which it converts to strategy and takes to practical application by telling stories with data, creating the organizational capacity for catalytic change, and forming successful legislative coalitions. The aim of these efforts is to unleash the creative potential of the state and its citizens in ways that increase median household income and preserve and enhance the state's distinctive quality of place. Working in close partnership with the Rhode Island Economic Development Corporation, the Council is helping to make Rhode Island a test bed for business and government innovation.

The Budget

Rhode Island Economic Policy Council

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Opening Balance:	\$0	-	-	-
Revenues				
State Operating Transfers	291,900	-	-	-
Private Contributions	260,406	-	-	-
Interest Earnings	104	-	-	-
Other Support	105,000	-	-	-
	657,410	-	-	-
Total Resources	\$657,410	-	-	-
Expenditures				
Personnel Expenses	260,533	-	-	-
Operating Expenses	141,784	-	-	-
Consulting Expenses	170,659	-	-	-
Capital Outlay	33,888	-	-	-
Total Expenditures	\$606,864	-	-	-
Closing Balance	\$50,546	-	-	-

The FY 2007 deficit is due to accrual of certain accounts payable that were disbursed on a cash basis during FY 2008.
Support for the Economic Policy Council is assumed by the Economic Development Corporation in FY 2009.

The Agency

The College Crusade of Rhode Island

Agency Operations

The College Crusade of Rhode Island (The Crusade) offers a range of age-appropriate supports for students as they progress from grades 6-12. Through its direct services and grant-making programs, it partners with over 50 schools and community agencies to serve Crusaders – with special emphasis on youth from the five RI cities and towns with the greatest numbers of low-income families. Currently there are approximately 4,000 Crusaders in grades 6-12. We have now graduated nine cohorts of Crusaders – those who enrolled in 1991-1999. Through fiscal year 2009, we have provided scholarships to approximately 2,700 Crusaders valued at \$20 million. The Crusade has a \$5.0 million scholarship fund as well as pledges of donated scholarships from 61 colleges, universities and trade schools. The Crusade is supported by an annual allocation from the State of Rhode Island and by federal and private grants and gifts from individuals.

Agency Objectives

The College Crusade of Rhode Island's mission is to increase educational and career success for youth in Rhode Island's low income communities. We help Crusaders get ready to succeed in college by providing caring advisors and sustained academic and social support from grades 5-12, combined with scholarship incentives. We advocate for expanded opportunities for pre-K-16 success while helping Crusaders to develop as responsible, productive members of the community.

Statutory History

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by up to a 19-member Board of Directors. Legislation enacted in 1990 (see R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget. In FY 2008 the state support changed to a Legislative Grant within the Office of Higher Education's budget.

The Budget

The College Crusade of Rhode Island

	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommended
Carry Forward Funds	8,897	93,586	168,292	47,038 *
Scholarship Fund/Cash & Pledges	1,051,225	1,080,014	475,000	475,000
Support & Revenue				
State Appropriation/BOG Support	1,062,408	528,204	528,204	528,204 **
Private Donations/Miscellaneous Grants	207,851	304,735	208,620	444,943
Special Events	-	-	-	-
Public/Private In-Kind Contributions	684,638	369,613	530,000	530,000
Federal Grant Funds	4,217,670	2,629,533	2,826,765	2,842,458
Carnegie Foundation Grant	92,757	24,291	-	-
Investment Income	6,718	1,561	2,000	2,000
Prior Year Grant Adjustments	-	-	-	-
Subtotal	\$6,272,042	\$3,857,937	\$4,095,589	\$4,347,605
Total Resources	\$7,332,164	\$5,031,537	\$4,738,881	\$4,869,643
Expenses				
Personnel Cost	2,591,116	2,190,495	2,145,898	2,362,877
Special Services	178,462	83,827	92,676	95,456
Special Events	3,099	2,198	6,700	6,700
Program Support Services	1,255,280	857,237	1,218,669	1,218,669
Operating Expenses	478,733	353,257	338,450	348,604
Cost of Scholarships	1,236,881	911,005	475,000	475,000
Total Expenses	\$5,743,571	\$4,398,019	\$4,277,393	\$4,507,306
Transfer to Scholarship Fund	1,495,007	465,226	414,450	362,337
Closing Fund Balance	\$93,586	\$168,292	\$47,038	-

The information presented for FY 2011 is preliminary and has not been reviewed nor approved by the College Crusade of Rhode Island Board of Directors and is subject to change.

**Reflects Budget Office change that level funds state support at \$528,204 in FY 2010 and in FY 2011, like FY 2009 with miscellaneous grants increased as other funds source submittal or request in FY 2011.

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Schedules

Total Statewide Expenditures

	FY 2008 Actuals	FY 2009 Actuals	FY 2010 Enacted	FY 2010 Working	FY 2010 Gov Rec	FY 2011 Gov Rec
Expenditure by Function						
General Government	1,479,808,270	1,709,145,831	1,876,644,149	1,925,709,178	1,940,794,029	1,466,711,858
Human Services	2,730,972,154	2,706,133,410	2,843,491,288	2,828,816,586	2,930,060,957	2,960,090,365
Education	1,917,147,742	1,927,266,382	2,074,422,435	2,062,324,246	2,062,635,228	2,110,053,150
Public Safety	422,335,964	412,889,403	433,125,224	413,330,443	458,155,974	453,640,012
Natural Resources	77,484,125	71,306,557	97,988,551	95,491,194	106,723,302	97,608,013
Transportation	305,436,562	362,791,116	489,066,491	489,066,491	418,100,369	424,818,766
Total Expenditures	6,933,184,817	\$7,189,532,699	\$7,814,738,138	\$7,814,738,138	\$7,916,469,859	\$7,512,922,164
Expenditure by Object						
Personnel	1,620,897,842	1,520,857,277	1,622,828,280	1,623,137,585	1,602,099,204	1,670,130,451
Operating Supplies and Expenses	634,494,759	606,553,519	632,690,973	632,198,420	641,643,296	633,306,529
Aid to Local Units of Government	1,255,697,887	1,186,082,444	1,201,545,350	1,201,545,350	1,083,989,325	1,042,161,582
Assistance, Grants, and Benefits	2,954,751,476	3,388,026,672	3,620,706,937	3,620,706,937	3,924,090,563	3,554,702,927
Subtotal: Operating Expenditures	\$6,465,841,964	\$6,701,519,912	\$7,077,771,540	\$7,077,588,292	\$7,251,822,388	\$6,900,301,489
Capital Purchases and Equipment	115,385,380	104,539,367	334,617,829	334,617,829	297,368,179	245,690,243
Debt Service	201,388,918	207,031,230	249,664,080	249,664,080	235,047,362	238,391,211
Operating Transfers	150,568,555	176,442,190	152,684,689	152,867,937	132,231,930	128,539,221
Total Expenditures	\$6,933,184,817	\$7,189,532,699	\$7,814,738,138	\$7,814,738,138	\$7,916,469,859	\$7,512,922,164
Expenditures by Funds						
General Revenue	3,405,251,368	2,998,894,312	3,000,341,114	3,000,341,114	2,844,940,277	2,849,064,369
Federal Funds	1,939,123,662	2,258,132,778	2,828,214,952	2,828,214,952	3,035,046,027	2,717,461,459
Restricted Receipts	136,006,669	123,897,449	162,397,115	162,397,115	176,178,843	176,754,259
Other Funds	1,452,803,118	1,808,608,160	1,823,784,957	1,823,784,957	1,860,304,712	1,769,642,077
Total Expenditures	\$ 6,933,184,817	\$ 7,189,532,699	\$ 7,814,738,138	\$ 7,814,738,138	\$ 7,916,469,859	\$ 7,512,922,164
Total FTE Complement	14,903.7	13,689.9	14,078.0	14,078.0	14,119.3	14,109.2
Enacted Reduction						
Higher Education Sponsored Research	785.0	785.0	785.0	785.0	785.0	785.0
Total Personnel	15,688.7	14,474.9	14,863.0	14,863.0	14,904.3	14,894.2

Expenditures from All Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
General Government						
Administration (1)	617,461,725	590,170,091	601,864,659	657,452,418	555,231,922	438,998,756
Business Regulation	11,812,170	9,890,282	11,332,045	10,722,415	10,505,780	11,061,944
Labor and Training	499,662,135	802,175,193	930,034,066	929,831,544	1,042,062,542	679,314,897
Revenue	254,603,213	224,429,886	239,805,187	237,466,215	240,798,638	238,006,505
Legislature	33,829,223	33,526,663	37,430,724	35,285,531	35,684,196	37,613,429
Lieutenant Governor	850,412	852,985	973,262	912,365	898,489	975,080
Secretary of State	6,819,947	7,031,773	6,495,579	6,124,073	6,141,031	7,164,027
General Treasurer	39,662,095	25,383,395	30,736,632	30,577,488	31,974,777	32,700,174
Board of Elections	1,926,493	2,042,871	1,850,141	1,710,252	1,382,177	3,919,591
Rhode Island Ethics Commission	1,343,029	1,349,725	1,437,730	1,347,336	1,416,832	1,485,693
Governor's Office	4,957,880	5,171,859	5,737,384	5,404,664	5,987,855	6,371,540
Commission for Human Rights	1,340,711	1,373,187	1,424,747	1,359,524	1,318,489	1,373,566
Public Utilities Commission	5,433,284	5,635,602	7,412,531	7,412,531	7,322,031	7,726,656
Rhode Island Commission on Women	105,953	112,319	109,462	102,822	69,270	-
Subtotal - General Government	\$1,479,808,270	\$1,709,145,831	\$1,876,644,149	\$1,925,709,178	\$1,940,794,029	\$1,466,711,858
Human Services						
Office of Health & Human Services	3,848,200	7,075,645	9,390,689	9,170,541	8,037,893	7,275,747
Children, Youth and Families	226,983,230	249,961,027	247,749,655	243,460,201	242,035,994	236,894,644
Elderly Affairs	34,383,268	32,186,471	25,523,166	25,407,384	28,449,419	27,270,687
Health	126,552,009	122,192,186	132,310,791	130,474,221	143,907,813	112,565,829
Human Services	1,847,633,989	1,826,236,998	1,963,510,139	1,959,072,167	2,045,026,660	2,126,180,064
Mental Health, Retardation, & Hospitals	489,441,696	466,591,483	462,873,731	459,212,541	460,557,474	447,681,817
Governor's Commission on Disabilities	541,108	599,118	726,400	703,145	746,266	825,256
Commission On Deaf and Hard of Hearing	288,790	337,417	370,146	343,136	349,670	363,502
State Council on Developmental Disabilities	395,288	-	-	-	-	-
Office of the Child Advocate	485,449	512,005	588,148	553,544	558,159	590,863
Office of the Mental Health Advocate	419,127	441,060	448,423	419,706	391,609	441,956
Subtotal - Human Services	\$2,730,972,154	\$2,706,133,410	\$2,843,491,288	\$2,828,816,586	\$2,930,060,957	\$2,960,090,365
Education						
Elementary and Secondary	1,092,600,521	1,051,662,882	1,150,007,562	1,147,421,206	1,130,721,698	1,143,551,130
Higher Education - Board of Governors	789,906,567	840,759,237	886,769,732	877,536,814	897,109,495	932,884,178
RI Council on the Arts	2,934,389	2,602,169	3,274,826	3,232,532	3,670,150	1,942,131
RI Atomic Energy Commission	1,474,561	1,052,916	1,217,115	1,168,832	1,392,841	1,494,256
Higher Education Assistance Authority	25,921,954	27,244,765	28,631,338	28,587,530	25,556,789	25,840,120
Historical Preservation and Heritage Comm.	2,195,180	2,021,138	2,613,504	2,543,303	2,560,674	2,666,351
Public Telecommunications Authority	2,114,570	1,923,275	1,908,358	1,834,029	1,623,581	1,674,984
Subtotal - Education	\$1,917,147,742	\$1,927,266,382	\$2,074,422,435	\$2,062,324,246	\$2,062,635,228	\$2,110,053,150

Expenditures from All Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
Public Safety						
Attorney General	22,873,248	23,273,474	23,507,213	22,163,632	23,874,309	23,783,650
Corrections	198,729,607	179,135,548	185,355,506	173,341,335	186,339,325	190,269,623
Judicial	94,506,571	93,784,589	95,984,801	91,480,927	94,133,976	97,533,099
Military Staff	23,773,234	28,850,441	27,041,133	26,825,826	40,900,392	26,731,138
Public Safety (2)	73,150,505	78,573,137	91,427,484	90,320,985	103,322,224	105,282,008
Office Of Public Defender	9,302,799	9,272,214	9,809,087	9,197,738	9,585,748	10,040,494
Subtotal - Public Safety	\$422,335,964	\$412,889,403	\$433,125,224	\$413,330,443	\$458,155,974	\$453,640,012
Natural Resources						
Environmental Management	70,373,524	66,566,213	90,973,245	88,711,349	95,023,648	92,302,638
Coastal Resources Management Council	5,474,935	3,607,015	5,541,521	5,409,414	10,045,740	3,867,225
Water Resources Board	1,635,666	1,133,329	1,473,785	1,370,431	1,653,914	1,438,150
Subtotal - Natural Resources	\$77,484,125	\$71,306,557	\$97,988,551	\$95,491,194	\$106,723,302	\$97,608,013
Transportation						
Transportation	305,436,562	362,791,116	489,066,491	489,066,491	418,100,369	424,818,766
Subtotal - Transportation	\$305,436,562	\$362,791,116	\$489,066,491	\$489,066,491	\$418,100,369	\$424,818,766
Total	\$6,933,184,817	\$7,189,532,699	\$7,814,738,138	\$7,814,738,138	\$7,916,469,859	\$7,512,922,164

(1) In FY 2009 Fire Code Board was moved to Department of Administration.

(2) In FY 2009 agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from General Revenues

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
General Government						
Administration (1)	520,058,764	475,081,215	429,600,820	485,188,579	365,653,488	275,494,281
Business Regulation	10,333,679	8,527,973	9,577,234	8,967,604	8,771,882	8,756,919
Labor and Training	6,377,174	6,433,975	6,667,994	6,465,472	6,531,803	6,689,542
Revenue	35,086,502	32,332,034	36,191,064	33,852,092	33,882,287	35,671,581
Legislature	32,377,685	32,018,330	35,874,012	33,728,819	34,181,165	36,038,421
Lieutenant Governor	850,412	852,985	973,262	912,365	898,489	975,080
Secretary of State	5,488,114	6,318,527	5,521,241	5,149,735	5,235,706	6,669,460
General Treasurer	2,668,892	2,353,044	2,500,299	2,341,155	2,299,683	2,392,455
Board of Elections	1,315,331	1,547,547	1,600,141	1,460,252	1,161,596	3,919,591
Rhode Island Ethics Commission	1,343,029	1,349,725	1,437,730	1,347,336	1,416,832	1,485,693
Governor's Office	4,957,880	4,627,388	5,106,754	4,774,034	4,730,441	4,872,994
Commission for Human Rights	951,872	918,462	1,016,242	951,019	961,874	1,016,877
Public Utilities Commission	475,034	-	-	-	-	-
Rhode Island Commission on Women	105,953	112,319	109,462	102,822	69,270	-
Subtotal - General Government	\$622,390,321	\$572,473,524	\$536,176,255	\$585,241,284	\$465,794,516	\$383,982,894
Human Services						
Office of Health & Human Services	363,333	3,434,394	3,621,896	3,401,748	3,323,828	3,528,201
Children, Youth and Families	151,491,614	161,307,641	158,822,427	154,532,973	154,127,876	155,086,402
Elderly Affairs	16,969,063	14,056,863	9,920,687	9,804,905	9,940,985	10,806,690
Health	29,985,420	26,238,737	29,554,572	27,718,002	28,502,412	28,572,462
Human Services	815,777,935	658,673,264	662,081,602	657,643,630	665,362,509	673,746,309
Mental Health, Retardation, & Hospitals	241,952,595	184,060,033	166,015,780	162,354,590	168,095,607	165,097,248
Governor's Commission on Disabilities	350,480	383,041	366,450	343,195	344,227	368,032
Commission On Deaf and Hard of Hearing	289,412	341,317	370,146	343,136	349,670	363,502
State Council on Developmental Disabilities	-	-	-	-	-	-
Office of the Child Advocate	445,443	501,518	547,048	512,444	512,265	545,058
Office of the Mental Health Advocate	419,127	441,060	448,423	419,706	391,609	441,956
Subtotal - Human Services	\$1,258,044,422	\$1,049,437,868	\$1,031,749,031	\$1,017,074,329	\$1,030,950,988	\$1,038,555,860
Education						
Elementary and Secondary	908,826,348	825,851,737	857,726,770	855,140,414	794,693,009	828,248,498
Higher Education - Board of Governors	189,982,773	170,814,823	173,306,844	164,073,926	162,966,485	163,878,632
RI Council on the Arts	2,111,963	1,591,482	1,983,986	1,941,692	1,939,874	991,141
RI Atomic Energy Commission	834,101	784,077	775,346	727,063	788,999	877,687
Higher Education Assistance Authority	10,219,792	7,283,678	7,305,741	7,261,933	7,238,150	7,274,358
Historical Preservation and Heritage Comm.	1,494,562	1,241,495	1,285,100	1,214,899	1,262,238	1,351,097
Public Telecommunications Authority	1,316,196	1,206,333	1,142,702	1,068,373	1,009,552	1,038,234
Subtotal - Education	\$1,114,785,735	\$1,008,773,625	\$1,043,526,489	\$1,031,428,300	\$969,898,307	\$1,003,659,647

Expenditures from General Revenues

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
Public Safety						
Attorney General	20,550,412	20,811,424	21,099,743	19,756,162	20,070,966	21,132,161
Corrections	193,138,298	154,269,696	177,390,562	165,376,391	174,850,922	181,711,505
Judicial	82,799,851	81,658,618	83,907,229	79,403,355	80,226,082	84,728,358
Military Staff	2,320,832	3,489,126	3,279,979	3,064,672	3,262,789	3,375,409
Public Safety (2)	62,946,519	63,138,445	54,745,909	53,639,410	54,824,372	84,281,696
Office Of Public Defender	9,030,938	8,986,912	9,583,189	8,971,840	9,204,425	9,610,354
Subtotal - Public Safety	\$370,786,850	\$332,354,221	\$350,006,611	\$330,211,830	\$342,439,556	\$384,839,483
Natural Resources						
Environmental Management	36,032,812	32,853,893	35,484,369	33,222,473	32,811,928	34,665,256
Coastal Resources Management Council	1,985,139	2,002,176	2,027,574	1,895,467	1,945,046	2,043,079
Water Resources Board	1,226,089	999,005	1,370,785	1,267,431	1,099,936	1,318,150
Subtotal - Natural Resources	\$39,244,040	\$35,855,074	\$38,882,728	\$36,385,371	\$35,856,910	\$38,026,485
Transportation						
Transportation	-	-	-	-	-	-
Subtotal - Transportation	-	-	-	-	-	-
Total	\$3,405,251,368	\$2,998,894,312	\$3,000,341,114	\$3,000,341,114	\$2,844,940,277	\$2,849,064,369

(1) In FY 2009 Fire Code Board was moved to Department of Administration.

(2) In FY 2009 agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Federal Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
General Government						
Administration (1)	39,828,801	48,933,450	80,173,897	80,173,897	86,129,991	76,215,134
Business Regulation	114,130	87,315	-	-	-	-
Labor and Training	28,883,497	62,635,505	214,366,612	214,366,612	326,479,058	76,870,788
Revenue	1,470,903	1,551,477	2,604,929	2,604,929	2,402,882	2,273,362
Legislature	-	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-	-
Secretary of State	911,443	285,130	500,000	500,000	408,400	-
General Treasurer	799,601	783,113	1,293,540	1,293,540	1,276,605	1,111,550
Board of Elections	611,162	495,324	250,000	250,000	220,581	-
Rhode Island Ethics Commission	-	-	-	-	-	-
Governor's Office	-	544,471	630,630	630,630	-	-
Commission for Human Rights	388,839	454,725	408,505	408,505	356,615	356,689
Public Utilities Commission	70,662	67,758	103,600	103,600	203,864	296,330
Rhode Island Commission on Women	-	-	-	-	-	-
Subtotal - General Government	\$73,079,038	\$115,838,268	\$300,331,713	\$300,331,713	\$417,477,996	\$157,123,853
Human Services						
Office of Health & Human Services	3,168,914	2,989,143	4,484,003	4,484,003	3,853,419	2,873,533
Children, Youth and Families	72,217,463	85,846,952	85,504,945	85,504,945	85,263,506	77,912,449
Elderly Affairs	11,980,485	12,831,308	15,210,364	15,210,364	18,149,650	16,333,157
Health	80,827,914	75,887,694	77,831,370	77,831,370	89,610,560	58,814,531
Human Services	1,024,128,776	1,161,607,333	1,288,587,124	1,288,587,124	1,366,734,550	1,439,002,554
Mental Health, Retardation, & Hospitals	241,728,740	273,867,200	280,058,238	280,058,238	278,567,342	262,420,126
Governor's Commission on Disabilities	77,450	56,245	174,949	174,949	198,329	193,598
Commission On Deaf and Hard of Hearing	(622)	(3,900)	-	-	-	-
State Council on Developmental Disabilities	395,288	-	-	-	-	-
Office of the Child Advocate	40,006	10,487	41,100	41,100	45,894	45,805
Office of the Mental Health Advocate	-	-	-	-	-	-
Subtotal - Human Services	\$1,434,564,414	\$1,613,092,462	\$1,751,892,093	\$1,751,892,093	\$1,842,423,250	\$1,857,595,753
Education						
Elementary and Secondary	175,708,363	210,014,722	278,346,091	278,346,091	313,814,070	282,960,896
Higher Education - Board of Governors	4,924,539	3,735,334	20,338,416	20,338,416	19,641,010	14,754,667
RI Council on the Arts	612,251	698,153	855,840	855,840	1,195,276	950,990
RI Atomic Energy Commission	352,771	51,548	107,000	107,000	300,159	300,159
Higher Education Assistance Authority	8,610,378	13,123,386	14,575,320	14,575,320	11,855,920	12,044,337
Historical Preservation and Heritage Comm.	509,240	509,473	819,367	819,367	819,367	835,804
Public Telecommunications Authority	-	-	-	-	-	-
Subtotal - Education	\$190,717,542	\$228,132,616	\$315,042,034	\$315,042,034	\$347,625,802	311,846,853

Expenditures from Federal Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
Public Safety						
Attorney General	1,298,123	1,397,373	1,274,540	1,274,540	1,946,361	1,248,830
Corrections	2,688,836	22,288,285	2,196,668	2,196,668	3,460,879	2,587,994
Judicial	1,872,594	1,625,278	1,445,452	1,445,452	3,568,213	2,361,961
Military Staff	19,515,282	24,421,511	21,941,615	21,941,615	34,013,174	22,640,496
Public Safety (2)	5,957,636	7,925,798	17,227,246	17,227,246	22,425,845	8,932,131
Office Of Public Defender	271,861	285,302	225,898	225,898	381,323	430,140
Subtotal - Public Safety	\$31,604,332	\$57,943,547	\$44,311,419	\$44,311,419	\$65,795,795	\$38,201,552
Natural Resources						
Environmental Management	18,024,013	19,660,114	33,680,872	33,680,872	38,503,320	35,386,175
Coastal Resources Management Council	1,779,206	1,384,339	1,608,438	1,608,438	6,195,185	1,574,146
Water Resources Board	-	(1,034)	-	-	-	-
Subtotal - Natural Resources	19,803,219	21,043,419	35,289,310	35,289,310	44,698,505	36,960,321
Transportation						
Transportation	189,355,117	222,082,466	381,348,383	381,348,383	317,024,679	315,733,127
Subtotal - Transportation	\$189,355,117	\$222,082,466	\$381,348,383	\$381,348,383	\$317,024,679	\$315,733,127
Total	\$1,939,123,662	\$2,258,132,778	\$2,828,214,952	\$2,828,214,952	\$3,035,046,027	\$2,717,461,459

(1) In FY 2009 Fire Code Board was moved to Department of Administration.

(2) In FY 2009 agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Restricted Receipts

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
General Government						
Administration (1)	9,973,069	9,476,353	18,938,514	18,938,514	25,652,492	16,802,039
Business Regulation	1,364,361	1,274,994	1,754,811	1,754,811	1,733,898	2,305,025
Labor and Training	20,098,434	18,912,729	25,314,950	25,314,950	22,018,670	17,529,145
Revenue	789,994	706,530	845,292	845,292	739,952	824,191
Legislature	1,451,538	1,508,333	1,556,712	1,556,712	1,503,031	1,575,008
Lieutenant Governor	-	-	-	-	-	-
Secretary of State	420,390	428,116	474,338	474,338	496,925	494,567
General Treasurer	35,987,392	22,088,138	26,740,503	26,740,503	28,184,315	28,973,185
Board of Elections	-	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-	-
Governor's Office	-	-	-	-	1,257,414	1,498,546
Commission for Human Rights	-	-	-	-	-	-
Public Utilities Commission	4,887,588	5,567,844	7,308,931	7,308,931	7,118,167	7,430,326
Rhode Island Commission on Women	-	-	-	-	-	-
Subtotal - General Government	\$74,972,766	\$59,963,037	\$82,934,051	\$82,934,051	\$88,704,864	\$77,432,032
Human Services						
Office of Health & Human Services	315,953	652,108	1,284,790	1,284,790	860,646	874,013
Children, Youth and Families	2,731,750	2,232,511	2,203,059	2,203,059	2,005,793	2,005,793
Elderly Affairs	956,578	850,000	392,115	392,115	358,784	130,840
Health	15,692,703	19,955,652	24,693,437	24,693,437	25,688,580	25,082,953
Human Services	7,027,278	5,923,901	8,316,413	8,316,413	8,519,601	9,146,201
Mental Health, Retardation, & Hospitals	2,587,327	4,695,837	5,203,044	5,203,044	4,504,330	10,055,752
Governor's Commission on Disabilities	13,178	8,432	10,001	10,001	13,559	13,626
Commission On Deaf and Hard of Hearing	-	-	-	-	-	-
State Council on Developmental Disabilities	-	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-	-
Subtotal - Human Services	\$29,324,767	\$34,318,441	\$42,102,859	\$42,102,859	\$41,951,293	\$47,309,178
Education						
Elementary and Secondary	6,507,062	6,511,894	7,501,077	7,501,077	17,030,683	23,930,750
Higher Education - Board of Governors	715,937	651,932	667,543	667,543	754,577	690,000
RI Council on the Arts	-	83,440	-	-	100,000	-
RI Atomic Energy Commission	-	-	-	-	-	-
Higher Education Assistance Authority	-	-	-	-	-	-
Historical Preservation and Heritage Comm.	191,378	270,170	509,037	509,037	479,069	479,450
Public Telecommunications Authority	-	-	-	-	-	-
Subtotal - Education	\$7,414,377	\$7,517,436	\$8,677,657	\$8,677,657	\$18,364,329	\$25,100,200

Expenditures from Restricted Receipts

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
Public Safety						
Attorney General	867,559	843,798	932,930	932,930	1,130,135	1,202,659
Corrections	(61)	-	-	-	94,000	-
Judicial	8,395,390	8,796,528	9,807,120	9,807,120	9,510,215	9,592,780
Military Staff	158,275	99,797	337,449	337,449	346,909	352,733
Public Safety (2)	1,103,585	243,803	609,000	609,000	877,056	377,761
Office Of Public Defender	-	-	-	-	-	-
Subtotal - Public Safety	\$10,524,748	\$9,983,926	\$11,686,499	\$11,686,499	\$11,958,315	\$11,525,933
Natural Resources						
Environmental Management	13,483,302	11,413,374	15,246,049	15,246,049	13,950,042	14,136,916
Coastal Resources Management Council	120,000	220,500	250,000	250,000	250,000	250,000
Water Resources Board	327,378	109,816	-	-	-	-
Subtotal - Natural Resources	\$13,930,680	\$11,743,690	\$15,496,049	\$15,496,049	\$14,200,042	\$14,386,916
Transportation						
Transportation	(160,669)	370,919	1,500,000	1,500,000	1,000,000	1,000,000
Subtotal - Transportation	(\$160,669)	\$370,919	\$1,500,000	\$1,500,000	\$1,000,000	\$1,000,000
Total	\$136,006,669	\$123,897,449	\$162,397,115	\$162,397,115	\$176,178,843	\$176,754,259

(1) In FY 2009 Fire Code Board was moved to Department of Administration.

(2) In FY 2009 agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy, Capitol Police, and the Governor's Justice Commission.

Expenditures from Other Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
General Government						
Administration (1)	47,601,091	56,679,073	73,151,428	73,151,428	77,795,951	70,487,302
Business Regulation	-	-	-	-	-	-
Labor and Training	444,303,030	714,192,984	683,684,510	683,684,510	687,033,011	578,225,422
Revenue	217,255,814	189,839,845	200,163,902	200,163,902	203,773,517	199,237,371
Legislature	-	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-	-
Secretary of State	-	-	-	-	-	-
General Treasurer	206,210	159,100	202,290	202,290	214,174	222,984
Board of Elections	-	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-	-
Governor's Office	-	-	-	-	-	-
Commission for Human Rights	-	-	-	-	-	-
Public Utilities Commission	-	-	-	-	-	-
Rhode Island Commission on Women	-	-	-	-	-	-
Subtotal - General Government	\$709,366,145	\$960,871,002	\$957,202,130	\$957,202,130	\$968,816,653	\$848,173,079
Human Services						
Office of Health & Human Services	-	-	-	-	-	-
Children, Youth and Families	542,403	573,923	1,219,224	1,219,224	638,819	1,890,000
Elderly Affairs	4,477,142	4,448,300	-	-	-	-
Health	45,972	110,103	231,412	231,412	106,261	95,883
Human Services	700,000	32,500	4,525,000	4,525,000	4,410,000	4,285,000
Mental Health, Retardation, & Hospitals	3,173,034	3,968,413	11,596,669	11,596,669	9,390,195	10,108,691
Governor's Commission on Disabilities	100,000	151,400	175,000	175,000	190,151	250,000
Commission On Deaf and Hard of Hearing	-	-	-	-	-	-
State Council on Developmental Disabilities	-	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-	-
Subtotal - Human Services	\$9,038,551	\$9,284,639	\$17,747,305	\$17,747,305	\$14,735,426	\$16,629,574
Education						
Elementary and Secondary	1,558,748	9,284,529	6,433,624	6,433,624	5,183,936	8,410,986
Higher Education - Board of Governors	594,283,318	665,557,148	692,456,929	692,456,929	713,747,423	753,560,879
RI Council on the Arts	210,175	229,094	435,000	435,000	435,000	-
RI Atomic Energy Commission	287,689	217,291	334,769	334,769	303,683	316,410
Higher Education Assistance Authority	7,091,784	6,837,701	6,750,277	6,750,277	6,462,719	6,521,425
Historical Preservation and Heritage Comm.	-	-	-	-	-	-
Public Telecommunications Authority	798,374	716,942	765,656	765,656	614,029	636,750
Subtotal - Education	\$604,230,088	\$682,842,705	\$707,176,255	\$707,176,255	\$726,746,790	\$769,446,450

Expenditures from Other Funds

	FY 2008	FY 2009	FY 2010	FY 2010	FY 2010	FY 2011
	Actual	Actual	Enacted	Working	Gov Rec	Gov Rec
Public Safety						
Attorney General	157,154	220,879	200,000	200,000	726,847	200,000
Corrections	2,902,534	2,577,567	5,768,276	5,768,276	7,933,524	5,970,124
Judicial	1,438,736	1,704,165	825,000	825,000	829,466	850,000
Military Staff	1,778,845	840,007	1,482,090	1,482,090	3,277,520	362,500
Public Safety (2)	3,142,765	7,265,091	18,845,329	18,845,329	25,194,951	11,690,420
Office Of Public Defender	-	-	-	-	-	-
Subtotal - Public Safety	\$9,420,034	\$12,607,709	\$27,120,695	\$27,120,695	\$37,962,308	\$19,073,044
Natural Resources						
Environmental Management	2,833,397	2,638,832	6,561,955	6,561,955	9,758,358	8,114,291
Coastal Resources Management Council	1,590,590	-	1,655,509	1,655,509	1,655,509	-
Water Resources Board	82,199	25,542	103,000	103,000	553,978	120,000
Subtotal - Natural Resources	\$4,506,186	\$2,664,374	\$8,320,464	\$8,320,464	\$11,967,845	\$8,234,291
Transportation						
Transportation	116,242,114	140,337,731	106,218,108	106,218,108	100,075,690	108,085,639
Subtotal - Transportation	\$116,242,114	\$140,337,731	\$106,218,108	\$106,218,108	\$100,075,690	\$108,085,639
Total	\$1,452,803,118	\$1,808,608,160	\$1,823,784,957	\$1,823,784,957	\$1,860,304,712	\$1,769,642,077

(1) In FY 2009 Fire Code Board was moved to Department of Admsintration.

(2) In FY 2009 agencies merged with Department of Public Safety include State Police, Fire Marshal, E-911 Emergency Telephone System, Municipal Police Training Academy,Capitol Police, and the Governor's Justice Commission.

Full-Time Equivalent Positions

	FY 2008	FY 2009	FY 2010 Enacted	FY 2010 Revised	FY 2011
General Government					
Administration	1,032.8	845.6	895.6	879.6	699.6
Business Regulation	102.0	91.0	91.0	91.0	91.0
Labor & Training	417.5	395.3	436.3	475.1	454.0
Revenue	465.0	410.0	424.0	428.0	428.0
Legislature	297.9	297.9	297.9	297.9	297.9
Office of the Lieutenant Governor	9.0	8.0	8.0	8.0	8.0
Secretary of State	58.0	55.0	57.0	57.0	57.0
General Treasurer	88.0	83.0	83.0	83.0	83.0
Board Of Elections	14.0	12.0	12.0	12.0	12.0
Rhode Island Ethics Commission	12.0	12.0	12.0	12.0	12.0
Office of the Governor	45.0	39.0	43.0	46.0	46.0
Commission for Human Rights	14.5	14.5	14.5	14.5	14.5
Public Utilities Commission	45.0	44.0	44.0	46.0	46.0
Rhode Island Commission on Women	1.0	1.0	1.0	1.0	-
Subtotal - General Government	2,601.7	2,308.3	2,419.3	2,451.1	2,249.0
Human Services					
Office of Health and Human Services	6.0	85.1	74.1	75.6	75.6
Children, Youth and Families	788.5	694.0	700.0	700.0	700.0
Elderly Affairs	44.0	32.0	31.0	31.0	31.0
Health	437.1	409.6	414.6	428.7	416.7
Human Services	1,067.6	884.6	954.6	954.6	967.6
Mental Health, Retardation, & Hospitals	1,657.6	1,352.4	1,398.4	1,396.2	1,395.2
Office of the Child Advocate	5.8	5.7	5.7	5.8	5.8
Commission On the Deaf & Hard of Hearing	3.0	3.0	3.0	3.0	3.0
State Council on Developmental Disabilities (3)	2.0	-	-	-	-
Governor's Commission on Disabilities	5.6	4.0	4.0	4.0	4.0
Office of the Mental Health Advocate	3.7	3.7	3.7	3.7	3.7
Subtotal - Human Services	4,020.9	3,474.1	3,589.1	3,602.6	3,602.6
Education					
Elementary and Secondary Education	133.2	128.4	134.4	134.4	149.4
Davies	133.0	133.0	133.0	133.0	133.0
School for the Deaf	65.8	50.0	60.0	60.0	60.0
Elementary Secondary Education - Total	332.0	311.4	327.4	327.4	342.4
Office of Higher Education Non-Sponsored Research	21.0	19.4	19.4	19.4	19.4
URI Non-Sponsored Research	1,930.1	1,849.9	1,851.5	1,851.5	1,851.5
RIC Non-Sponsored Research	850.5	812.6	813.1	813.1	813.1
CCRI Non-Sponsored Research	748.2	713.1	713.1	713.1	713.1
Higher Education - Total Non-Sponsored	3,549.8	3,395.0	3,397.1	3,397.1	3,397.1
RI Council On The Arts	8.6	7.6	8.6	8.6	8.6
RI Atomic Energy Commission	8.6	8.6	8.6	8.6	8.6
Higher Education Assistance Authority	42.6	42.6	42.6	42.6	42.6
Historical Preservation and Heritage Commission	17.6	16.6	16.6	16.6	16.6
Public Telecommunications Authority	20.0	18.0	18.0	16.0	16.0
Subtotal - Education	3,979.2	3,799.8	3,818.9	3,816.9	3,831.9

Full-Time Equivalent Positions

	FY 2008	FY 2009	FY 2010 Enacted	FY 2010 Revised	FY 2011
Public Safety					
Attorney General	234.8	231.1	231.1	231.1	231.1
Corrections	1,515.0	1,423.0	1,423.0	1,423.0	1,423.0
Judicial	732.3	729.3	729.3	729.3	729.3
Military Staff	104.0	101.0	111.0	112.0	112.0
Public Safety	-	396.1	432.1	430.1	607.1
E-911 (1)	53.5	-	-	-	-
RI State Fire Marshal (1)	35.0	-	-	-	-
Commission on Judicial Tenure and Discipline	-	-	-	-	-
Rhode Island Justice Commission (1)	7.6	-	-	-	-
Municipal Police Training Academy (1)	4.0	-	-	-	-
State Police (1)	268.0	-	-	-	-
Fire Safety Code Board of Appeal and Review (2)	3.0	-	-	-	-
Office of the Public Defender	93.5	91.0	91.0	93.0	93.0
Subtotal - Public Safety	3,050.7	2,971.5	3,017.5	3,018.5	3,195.5
Natural Resources					
Environmental Management	482.0	409.0	417.0	414.0	414.0
Coastal Resources Management Council	30.0	30.0	30.0	30.0	30.0
Water Resources Board	6.0	6.0	6.0	6.0	6.0
Subtotal - Natural Resources	518.0	445.0	453.0	450.0	450.0
Transportation					
Transportation	733.2	691.2	780.2	780.2	780.2
Subtotal - Transportation	733.2	691.2	780.2	780.2	780.2
Statwide Retirement Vacancies	-	-	-	-	-
Total Non Sponsored	14,903.7	13,689.9	14,078.0	14,119.3	14,109.2
Higher Education Sponsored Research *					
Office	1.0	1.0	1.0	1.0	1.0
CCRI	100.0	100.0	100.0	100.0	100.0
RIC	82.0	82.0	82.0	82.0	82.0
URI	602.0	602.0	602.0	602.0	602.0
Subtotal Sponsored Research	785.0	785.0	785.0	785.0	785.0
Total Personnel Authorizations	15,688.7	14,474.9	14,863.0	14,904.3	14,894.2
Total Personnel	15,688.7	14,474.9	14,863.0	14,904.3	14,894.2

*A total of 785.0 FTE positions in Higher Education in FY 2008 and FY 2009 represent FTE's supported by sponsored research funds. Commencing in FY2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

- (1) Agencies merged with Department of Public Safety
- (2) Agencies merged with Department of Administration
- (3) Agency merged with URI

General Revenues as Recommended

	FY 2008 Audited	FY 2009 Preliminary	FY 2010 Revised	FY 2011 Recommended
Personal Income Tax	\$ 1,073,616,875	\$ 940,513,781	\$ 919,200,000	\$ 927,681,919
General Business Taxes				
Business Corporations	150,468,827	104,436,811	94,100,000	85,742,941
Public Utilities Gross Earnings	99,436,915	126,664,890	120,000,000	121,000,000
Financial Institutions	1,830,270	2,901,945	2,000,000	1,000,000
Insurance Companies	67,997,274	78,016,930	80,000,000	82,380,078
Bank Deposits	1,710,050	1,802,796	1,800,000	1,800,000
Health Care Provider Assessment	53,356,431	46,030,570	34,900,000	34,700,000
Sales and Use Taxes				
Sales and Use	844,197,089	808,125,293	751,000,000	743,700,000
Motor Vehicle	48,610,020	47,925,805	48,600,000	48,100,000
Motor Fuel	991,473	1,325,034	1,000,000	1,000,000
Cigarettes	114,674,498	130,503,213	140,300,000	134,600,000
Alcohol	11,140,941	10,811,831	11,000,000	11,100,000
Other Taxes				
Inheritance and Gift	35,333,925	28,096,912	26,400,000	26,000,000
Racing and Athletics	2,812,860	2,450,809	2,000,000	1,800,000
Realty Transfer	10,223,094	6,811,322	6,500,000	6,500,000
Total Taxes	\$ 2,516,400,542	\$ 2,336,417,942	\$ 2,238,800,000	\$ 2,227,104,938
Departmental Receipts	\$ 356,546,075	\$ 319,361,734	\$ 333,229,478	\$ 331,896,889
Taxes and Departmentals	\$ 2,872,946,617	\$ 2,655,779,676	\$ 2,572,029,478	\$ 2,559,001,827
Other Sources				
Gas Tax Transfer	\$ 4,513,745	\$ 4,327,710	\$ -	\$ -
Other Miscellaneous	181,810,134	17,739,819	46,602,906	5,500,000
Lottery	354,321,087	337,529,754	351,900,000	356,900,000
Unclaimed Property	15,387,030	8,044,126	5,841,250	5,300,000
Other Sources	\$ 556,031,996	\$ 367,641,409	\$ 404,344,156	\$ 367,700,000
Total General Revenues	\$ 3,428,978,613	\$ 3,023,421,085	\$ 2,976,373,634	\$ 2,926,701,827

Changes to FY 2010 Enacted Revenue Estimates

	Enacted	November Consensus Changes	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 963,200,000	\$ (44,000,000)	\$ -	\$ 919,200,000
General Business Taxes				
Business Corporations	113,000,000	(18,900,000)	-	94,100,000
Public Utilities Gross	115,000,000	5,000,000	-	120,000,000
Financial Institutions	3,750,000	(1,750,000)	-	2,000,000
Insurance Companies	81,900,000	(1,900,000)	-	80,000,000
Bank Deposits	1,730,000	70,000	-	1,800,000
Health Care Provider	36,126,589	(1,226,589)	-	34,900,000
Sales and Use Taxes				
Sales and Use	815,000,000	(64,000,000)	-	751,000,000
Motor Vehicle	50,400,000	(1,800,000)	-	48,600,000
Motor Fuel	920,000	80,000	-	1,000,000
Cigarettes	148,000,000	(7,700,000)	-	140,300,000
Alcohol	10,900,000	100,000	-	11,000,000
Other Taxes				
Inheritance and Gift	29,399,700	(2,999,700)	-	26,400,000
Racing and Athletics	2,100,000	(100,000)	-	2,000,000
Realty Transfer	7,200,000	(700,000)	-	6,500,000
Total Taxes	\$ 2,378,626,289	\$ (139,826,289)	\$ -	\$ 2,238,800,000
Departmental Receipts	\$ 335,532,188	\$ 6,467,812	\$ (8,770,522)	\$ 333,229,478
Total Taxes and Departmentals	\$ 2,714,158,477	\$ (133,358,477)	\$ (8,770,522)	\$ 2,572,029,478
Other Sources				
Gas Tax Transfer	\$ -	\$ -	\$ -	-
Other Miscellaneous	9,000,000	(500,000)	38,102,906	46,602,906
Lottery	348,700,000	3,200,000	-	351,900,000
Unclaimed Property	5,000,000	200,000	641,250	5,841,250
Other Sources	\$ 362,700,000	\$ 2,900,000	\$ 38,744,156	\$ 404,344,156
Total General Revenues	\$ 3,076,858,477	\$ (130,458,477)	\$ 29,973,634	\$ 2,976,373,634

Changes to FY 2011 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 933,000,000	\$ (5,318,081)	\$ 927,681,919
General Business Taxes			
Business Corporations	100,000,000	(14,257,059)	85,742,941
Public Utilities Gross	121,000,000	-	121,000,000
Financial Institutions	1,000,000	-	1,000,000
Insurance Companies	82,400,000	(19,922)	82,380,078
Bank Deposits	1,800,000	-	1,800,000
Health Care Provider	34,700,000	-	34,700,000
Sales and Use Taxes			
Sales and Use	743,700,000	-	743,700,000
Motor Vehicle	48,100,000	-	48,100,000
Motor Fuel	1,000,000	-	1,000,000
Cigarettes	134,600,000	-	134,600,000
Alcohol	11,100,000	-	11,100,000
Other Taxes			
Inheritance and Gift	26,000,000	-	26,000,000
Racing and Athletics	1,800,000	-	1,800,000
Realty Transfer	6,500,000	-	6,500,000
Total Taxes	\$ 2,246,700,000	\$ (19,595,062)	\$ 2,227,104,938
Departmental Receipts	\$ 221,000,000	\$ 110,896,889	\$ 331,896,889
Total Taxes and Departmentals	\$ 2,467,700,000	\$ 91,301,827	\$ 2,559,001,827
Other Sources			
Gas Tax Transfer	\$ -	\$ -	\$ -
Other Miscellaneous	4,500,000	1,000,000	5,500,000
Lottery	356,900,000	-	356,900,000
Unclaimed Property	5,300,000	-	5,300,000
Other Sources	\$ 366,700,000	\$ 1,000,000	\$ 367,700,000
Total General Revenues	\$ 2,834,400,000	\$ 92,301,827	\$ 2,926,701,827

General Revenue Changes to Adopted Estimates

FY 2010	December 2009 Governor Recommend	February 2009 Governor Recommend
<u>Departmental Revenues</u>		
<i>Miscellaneous</i>		
Statewide Student Transportation	\$ (8,600,000)	\$ (8,600,000)
Indirect Cost Recovery Exemption: Underground Storage Tank Replacement Fund	\$ (202,852)	\$ (202,852)
Indirect Cost Recovery: Telecommunication Education Access Fund		\$ 32,330
<i>Subtotal: Miscellaneous</i>	\$ (8,802,852)	\$ (8,770,522)
Subtotal: All Departmental Revenues	\$ (8,802,852)	\$ (8,770,522)
<u>Other Sources</u>		
<i>Other Miscellaneous</i>		
Convention Center Authority: Sale of Veterans Auditorium	10,750,000	10,750,000
Land Sale: Old Training School, Cranston	6,200,000	6,200,000
Land Sale: State Computer Facility, Johnston	1,500,000	1,500,000
Land Sale: 2 Metacom Ave., Bristol	2,800,000	2,800,000
Group Home Providers Voluntary Payment	6,000,000	6,000,000
Transfer from Automobile Replacement Fund	3,638,000	3,638,000
Prior Year Child Support Collections	7,214,906	7,214,906
<i>Subtotal: Other Miscellaneous</i>	\$ 38,102,906	\$ 38,102,906
<i>Unclaimed Property</i>		
Judiciary Bail Accounts and State Police Forfeited Property	641,250	641,250
<i>Subtotal: Other Miscellaneous</i>	\$ 641,250	\$ 641,250
Subtotal: Other Sources	\$ 38,744,156	\$ 38,744,156
Total FY 2010 General Revenue Adjustments	\$ 29,941,304	\$ 29,973,634

General Revenue Changes to Adopted Estimates

FY 2011	December 2009 Governor Recommend	February 2009 Governor Recommend
<u>Taxes</u>		
<i>Personal Income Taxes</i>		
Motion Picture Tax Credit Elimination		\$ 1,811,919
Enterprise Zone Tax Credit: Elimination		850,000
Small Business Jobs Program Tax Credit		(7,250,000)
Increase Cap for Contributions to Scholarship Organizations to \$2.0 million		(730,000)
<i>Subtotal: Personal Income Taxes</i>		\$ (5,318,081)
<i>General Business Taxes</i>		
Business Corporations: Motion Picture Tax Credit Elimination		\$ 58,691
Business Corporations: Reduce Corporate Minimum from \$500 to \$250		(11,535,750)
Business Corporations: Enterprise Zone Tax Credit: Elimination		150,000
Business Corporations: Increase Cap for Contributions to Scholarship Organizations to \$2.0 million		(180,000)
Business Corporations: Small Business Jobs Program Tax Credit		(2,750,000)
Insurance Companies: Motion Picture Tax Credit Elimination		70,078
Insurance Companies: Increase Cap for Contributions to Scholarship Organizations to \$2.0 million		(90,000)
<i>Subtotal: General Business Taxes</i>		\$ (14,276,981)
<i>Subtotal: All Taxes</i>	\$	- \$ (19,595,062)
<u>Departmental Revenues</u>		
<i>Licenses and Fees</i>		
DHS: Reinststitute Hospital Licensing Fee		128,847,188
DMV: Impose Road Examination Fee of \$25		625,000
DMV: Increase State ID Card Fee from \$15 to \$25		130,000
DMV: Increase Motor Vehicle Dealers License Fee from \$100 to \$300		116,000
DMV: Increase Motor Vehicle Manufacturer / Distributor License Fee from		34,500
DMV: Increase Flashing Lights Permit Fee from \$5 to \$25		44,000
DMV: Increase School Bus Registration Fee from \$3 to \$25		37,400
Public Safety: Increase Accident Report Fee from \$10 to \$1.		26,910
<i>Subtotal: Licenses and Fees</i>	\$	- \$ 129,860,998
<i>Sales and Services</i>		
Board and Support Revenues: Slater and Zambarano Hospitals Converted to Restricted Receipts		(3,198,849)
<i>Subtotal: Sales and Services</i>	\$	- \$ (3,198,849)

General Revenue Changes to Adopted Estimates

	December 2009 Governor Recommend	February 2009 Governor Recommend
<u>Departmental Revenues (continued)</u>		
<i>Miscellaneous</i>		
Statewide Student Transportation	\$ (15,640,000)	\$ (15,640,000)
Indirect Cost Recovery Exemption: Underground Storage Tank Replacement Fund	(202,852)	(202,852)
Indirect Cost Recovery: Telecommunication Education Access Fund		77,592
<i>Subtotal: Miscellaneous</i>	\$ (15,842,852)	\$ (15,765,260)
<i>Subtotal: Departmental Receipts</i>	\$ (15,842,852)	\$ 110,896,889
Subtotal: Taxes and Departmental Revenues	\$ (15,842,852)	\$ 91,301,827
<u>Other Sources</u>		
<i>Other Miscellaneous</i>		
RI Health & Educational Building Corporation		1,000,000
<i>Subtotal: Other Miscellaneous</i>	\$ -	\$ 1,000,000
Subtotal: Other Sources	\$ -	\$ 1,000,000
Total FY 2011 General Revenue Adjustments	\$ (15,842,852)	\$ 92,301,827

Other Revenue Enhancements

	December 2009 Governor Recommend	February 2009 Governor Recommend
FY 2010		
<u>Restricted Receipts</u>		
Statewide Student Transportation	\$ 8,600,000	\$ 8,600,000
Underground Storage Tank Replacement Fund Exemption	202,852	202,852
Telecommunication Education Access Fund-Wireline	(232,155)	(232,155)
Telecommunication Education Access Fund-Wireless	523,125	523,125
<i>Subtotal: Restricted Receipts</i>	\$ 9,093,822	\$ 9,093,822
Total FY 2010 Other Revenue Adjustments	\$ 9,093,822	\$ 9,093,822
Total FY 2010 Other Revenue Adjustments	\$ 9,093,822	\$ 9,093,822
FY 2011		
<u>Restricted Receipts</u>		
Statewide Student Transportation	\$ 15,640,000	\$ 15,640,000
Underground Storage Tank Replacement Fund Exemption	202,852	202,852
Telecommunication Education Access Fund-Wireline	(557,172)	(557,172)
Telecommunication Education Access Fund-Wireless	1,255,000	1,255,000
Board and Support Payments Slater and Zambarano Hospitals	-	3,198,849
<i>Subtotal: Restricted Receipts</i>	\$ 16,540,680	\$ 19,739,529
Total FY 2010 Other Revenue Adjustments	\$ 16,540,680	\$ 19,739,529
Total FY 2010 Other Revenue Adjustments	\$ 16,540,680	\$ 19,739,529

FY 2011 General Revenue Budget Surplus

	FY2008 Actual (1)	FY2009 Preliminary(2)	FY2010 Enacted Budget(3)	FY2010 Revised(4)	FY2011 Recommended (5)
Surplus					
Opening Surplus	\$ -	\$ (42,950,480)	\$ 1,142,383	\$ (61,802,318)	\$ 79,471
Resrve for Audit Adjustments				\$ (600,000)	
Reappropriated Surplus	3,640,364	1,738,518		998,144	
Subtotal	3,640,364	(41,211,962)	1,142,383	(61,404,174)	79,471
General Taxes	2,516,400,542	2,336,417,942	2,378,626,289	2,378,626,289	2,246,700,000
Revenue estimators' revision	-	-	-	(139,826,289)	-
Changes to the Adopted Estimates	-	-	-	-	(19,595,062)
Subtotal	2,516,400,542	2,336,417,942	2,378,626,289	2,238,800,000	2,227,104,938
Departmental Revenues	356,546,075	319,361,734	335,532,188	335,532,188	221,000,000
Revenue estimators' revision	-	-	-	6,467,812	-
Changes to the Adopted Estimates	-	-	-	(8,770,522)	110,896,889
Subtotal	356,546,075	319,361,734	335,532,188	333,229,478	331,896,889
Other Sources					
Gas Tax Transfers	4,513,745	4,327,710	-	-	-
Revenue estimators' revision	-	-	-	-	-
Changes to the Adopted Estimates	-	-	-	-	-
Other Miscellaneous	181,810,134	17,739,819	9,000,000	9,000,000	4,500,000
Rev Estimators' revision-Miscellaneous	-	-	-	(500,000)	-
Changes to the Adopted Estimates	-	-	-	38,102,906	1,000,000
Lottery	354,321,087	337,529,754	348,700,000	348,700,000	356,900,000
Revenue Estimators' revision-Lottery	-	-	-	3,200,000	-
Changes to the Adopted Estimates	-	-	-	-	-
Unclaimed Property	15,387,030	8,044,126	5,000,000	5,000,000	5,300,000
Revenue Est revision-Unclaimed Property	-	-	-	200,000	-
Changes to the Adopted Estimates	-	-	-	641,250	-
Subtotal	556,031,996	367,641,409	362,700,000	\$ 404,344,156	\$ 367,700,000
Total Revenues	\$ 3,428,978,613	\$ 3,023,421,085	\$ 3,076,858,477	\$ 2,976,373,634	\$ 2,926,701,827
Transfer to Budget Reserve	(68,579,573)	(66,054,353)	(73,872,021)	(69,949,712)	(76,096,314)
Total Available	\$ 3,360,399,040	\$ 2,957,366,732	\$ 3,002,986,456	\$ 2,906,423,922	\$ 2,850,605,513
Actual/Enacted Expenditure:	\$ 3,405,251,366	2,998,958,945	3,000,341,114	3,000,341,114	2,849,064,369
Reappropriations				998,144	
Changes in Pass Through fund:				8,600,000	
Other Expenditure Change:	-	-	-	(164,998,981)	-
Total Expenditures	\$ 3,405,251,366	\$ 2,998,958,945	\$ 3,000,341,114	\$ 2,844,940,277	\$ 2,849,064,369
Free Surplus	\$ (42,950,480)	\$ (61,802,318)	\$ 3,787,725	\$ 79,471	\$ 1,620,615
Transfer from the Budget Reserve Fund		\$ 22,000,000			
Reappropriations	(1,738,518)	(998,144)	-	-	-
Total Ending Balances	\$ (41,211,962)	\$ (82,804,174)	\$ 3,787,725	\$ 79,471	\$ 1,620,615
Budget Reserve and Cash Stabilization Account(6)	\$ 102,869,358	\$ 80,084,001	\$ 116,964,033	\$ 110,753,710	\$ 122,924,815

⁽¹⁾Reflects the audited annual consolidated financial report for FY 2008, reflecting a deficit of \$42,950,480. While the Governor requested an appropriation of \$42,950,480 from the Budget Reserve Fund by the General Assembly, no appropriation was made. The carryover deficit from 2008 was addressed in the FY 2009 supplemental through additional expenditure reductions and revenues, resulting in a balanced budget at the time the supplemental budget was enacted.

⁽²⁾Derived from the State Controller's preliminary closing report for FY 2009, reflecting a deficit of \$61,802,318, after transfer of \$22.0 million appropriated from the Budget Reserve Fund. The carryover deficit from 2009 is addressed in the FY 2010 supplemental.

⁽³⁾Reflects the FY 2010 budget enacted by the General Assembly in June 2009, reflecting the revenue estimates adopted at the May 2008 Revenue Estimating Conference, and further modified by legislative changes included in the enacted budget.

⁽⁴⁾ Reflects the FY 2010 supplemental budget submitted by the Governor to the General Assembly on December 15, 2009, and modified by amendments thereto. The carryover deficit from 2009 is addressed in the FY 2010 supplemental through additional expenditure reductions and revenues, resulting in a balanced budget for FY2010 and a fully funded Budget Reserve Fund.

⁽⁵⁾ Reflects the Governor's recommended FY2011 budget, including the results of the November 2009 Revenue and Caseload Estimating Conferences, and any proposed legislative changes to modify the adopted estimates.

⁽⁶⁾ The Budget Reserve and Cash Stabilization funding is based upon a statutory formula which is contingent upon revenues and is capped at an increasing percentage of total resources.

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Changes to FY 2010

Changes to FY2010 General Revenue Budget Surplus

	FY2009 Preliminary	FY2010 Enacted Budget	FY2010 First Quarter Report	FY2010 Revisd Budget	Change from Enacted
Surplus					
Opening Surplus	\$ (42,950,479)	\$ 1,142,383	\$ (61,802,318)	\$ (61,802,318)	\$ (62,944,701)
Adjustment				\$ (600,000)	(600,000)
Reappropriated Surplus	1,738,518		998,144	998,144	998,144
Subtotal	(41,211,961)	1,142,383	(60,804,174)	(61,404,174)	(62,546,557)
General Taxes	2,336,417,942	2,378,626,289	2,378,626,289	2,378,626,289	-
Revenue estimators' revision			(139,826,289)	(139,826,289)	(139,826,289)
Changes to the Adopted Estimates				-	-
Subtotal	2,336,417,942	2,378,626,289	2,238,800,000	2,238,800,000	(139,826,289)
Departmental Revenues	319,361,734	335,532,188	335,532,188	335,532,188	-
Revenue estimators' revision			6,467,812	6,467,812	6,467,812
Changes to the Adopted Estimates				(8,770,522)	(8,770,522)
Subtotal	319,361,734	335,532,188	342,000,000	333,229,478	(2,302,710)
Other Sources					
Gas Tax Transfers	4,327,710	-	-	-	-
Revenue estimators' revision					-
Changes to the Adopted Estimates		-	-	-	-
Other Miscellaneous	17,739,819	9,000,000	9,000,000	9,000,000	-
Rev Estimators' revision-Miscellaneous			(500,000)	(500,000)	(500,000)
Changes to the Adopted Estimates				38,102,906	38,102,906
Lottery	337,529,754	348,700,000	348,700,000	348,700,000	-
Revenue Estimators' revision-Lottery			3,200,000	3,200,000	3,200,000
Changes to the Adopted Estimates					-
Unclaimed Property	8,044,126	5,000,000	5,000,000	5,000,000	-
Revenue Est revision-Unclaimed Property			200,000	200,000	200,000
Revenue Est revision-Unclaimed Property				641,250	641,250
Subtotal	\$ 367,641,409	\$ 362,700,000	\$ 365,600,000	\$ 404,344,156	\$ 41,644,156
Total Revenues	\$ 3,023,421,085	\$ 3,076,858,477	\$ 2,946,400,000	\$ 2,976,373,634	\$ (100,484,843)
Transfer to Budget Reserve	(66,054,353)	(73,872,021)	(69,230,344)	(69,949,712)	3,922,309
Total Available	\$ 2,916,154,771	\$ 3,004,128,839	\$ 2,816,365,482	\$ 2,845,019,748	\$ (159,109,091)
Actual/Enacted Expenditures	2,998,958,945	3,000,341,114	3,000,341,114	3,000,341,114	-
Reappropriations			998,144	998,144	998,144
Caseload Estimating Conference Changes			16,302,195	16,302,195	16,302,195
Statewide Student Transportation Pass Through			8,600,000	8,600,000	8,600,000
Other changes in expenditures			7,980,200	(181,301,176)	(181,301,176)
Total Expenditures	\$ 2,998,958,945	\$ 3,000,341,114	\$ 3,034,221,653	\$ 2,844,940,277	\$ (155,400,837)
Free Surplus	\$ (61,802,318)	\$ 3,787,725	\$ (217,856,171)	\$ 79,471	\$ (3,708,254)
Transfer from the Budget Reserve Fund	\$ 22,000,000				
Reappropriations	(998,144)	-	-	-	-
Total Ending Balances	\$ (82,804,174)	\$ 3,787,725	\$ (217,856,171)	\$ 79,471	\$ (3,708,254)
Budget Reserve and Cash					
Stabilization Account	\$ 80,084,001	\$ 116,964,033	\$ 109,614,712	\$ 110,753,710	\$ (6,210,323)

Summary of Changes to FY 2010 Enacted General Revenue Expenditures

	FY 2010 Enacted	Reappropriation	Redistribution Of Enacted Statewide Savings	Retirement Contribution/Pension Reform	Pay Reduction Day Savings	Medical Benefits Savings	Other changes from detail	Total Projected Changes	Projected Expenditures	Change From Enacted
General Government										
Administration	\$497,482,165	-	(\$7,138,549)	(\$5,155,037)	(\$1,648,601)	(\$691,356)	(\$117,195,134)	(131,828,677)	365,653,488	(\$131,828,677)
Statewide Savings	(\$67,881,345)	-	\$67,881,345	\$0	\$0	\$0	\$0	67,881,345	-	\$67,881,345
Business Regulation	9,577,234	-	(\$655,879)	\$46,249	(\$236,077)	(\$96,944)	\$137,299	(805,352)	8,771,882	(805,352)
Labor and Training	6,667,994	-	(\$216,814)	\$14,292	(\$69,212)	(\$32,649)	\$168,192	(136,191)	6,531,803	(136,191)
Department of Revenue	36,191,064	-	(\$2,472,207)	\$133,235	(\$694,288)	(\$366,703)	\$1,091,186	(2,308,777)	33,882,287	(2,308,777)
Legislature	35,874,012	969,732	(\$2,273,372)	\$128,179	(\$686,604)	(\$459,627)	\$628,845	(1,692,847)	34,181,165	(1,692,847)
Lieutenant Governor	973,262	-	(\$65,772)	\$4,875	(\$26,278)	(\$10,384)	\$22,786	(74,773)	898,489	(74,773)
Secretary of State	5,521,241	-	(\$394,168)	\$22,662	(\$122,230)	(\$51,369)	\$259,570	(285,535)	5,235,706	(285,535)
General Treasurer	2,500,299	-	(\$169,033)	\$9,889	(\$50,129)	(\$19,592)	\$28,249	(200,616)	2,299,683	(200,616)
Board of Elections	1,600,141	-	(\$145,188)	\$5,299	(\$27,714)	(\$13,069)	(\$257,873)	(438,545)	1,161,596	(438,545)
Rhode Island Ethics Commission	1,437,730	-	(\$96,882)	\$6,488	(\$37,443)	(\$8,284)	\$115,223	(20,898)	1,416,832	(20,898)
Governor's Office	5,106,754	-	(\$356,393)	\$23,673	(\$130,202)	(\$30,975)	\$117,584	(376,313)	4,730,441	(376,313)
Commission for Human Rights	1,016,242	-	(\$69,694)	\$4,471	(\$22,305)	(\$12,858)	\$46,018	(54,368)	961,874	(54,368)
Public Utilities Commission	-	-	\$0	\$0	\$0	\$0	\$0	-	-	-
Rhode Island Commission on Women	109,462	-	(\$7,183)	\$543	(\$1,389)	(\$364)	(\$31,799)	(40,192)	69,270	(40,192)
Subtotal - General Government	536,176,255	969,732	53,820,211	(4,755,182)	(3,752,472)	(1,794,174)	(114,869,854)	(70,381,739)	465,794,516	(70,381,739)
Human Services										
Office of Health & Human Services	3,621,896	-	(\$238,409)	\$18,261	(\$92,529)	(\$40,740)	\$55,349	(298,068)	3,323,828	(298,068)
Children, Youth and Families	158,822,427	-	(\$4,552,792)	\$263,338	(\$1,269,995)	(\$551,663)	\$1,416,561	(4,694,551)	154,127,876	(4,694,551)
Elderly Affairs	9,920,687	-	(\$123,645)	\$7,863	(\$40,111)	(\$12,993)	\$189,184	20,298	9,940,985	20,298
Health	29,554,572	-	(\$1,938,876)	\$102,306	(\$554,876)	(\$176,066)	\$1,515,352	(1,052,160)	28,502,412	(1,052,160)
Human Services	662,081,602	-	(\$4,618,706)	\$180,734	(\$941,447)	(\$495,157)	\$9,155,483	3,280,907	665,362,509	3,280,907
Mental Health, Retardation, & Hosp.	166,015,780	-	(\$3,868,846)	\$207,656	(\$1,079,556)	(\$598,896)	\$7,419,469	2,079,827	168,095,607	2,079,827
Office of the Child Advocate	547,048	-	(\$37,277)	\$2,673	(\$14,297)	(\$5,318)	\$19,436	(34,783)	512,265	(34,783)
Comm. on Deaf & Hard of Hearing	370,146	-	(\$28,500)	\$1,490	(\$8,002)	(\$4,801)	\$19,337	(20,476)	349,670	(20,476)
RI Developmental Disabilities Council	-	-	\$0	\$0	\$0	\$0	\$0	-	-	-
Governor's Commission on Disabilities	366,450	-	(\$24,926)	\$1,671	(\$9,236)	(\$2,145)	\$12,413	(22,223)	344,227	(22,223)
Office of the Mental Health Advocate	448,423	-	(\$30,938)	\$2,221	(\$10,746)	(\$3,637)	(\$13,714)	(56,814)	391,609	(56,814)
Subtotal - Human Services	1,031,749,031	-	(15,462,915)	788,213	(4,020,795)	(1,891,416)	19,788,870	(798,043)	1,030,950,988	(798,043)
Education										
Elementary and Secondary	857,726,770	-	(\$2,728,619)	\$142,263	(\$381,775)	(\$310,848)	(\$59,754,782)	(63,033,761)	794,693,009	(63,033,761)
Higher Education - Board of Governors	173,306,844	-	(\$9,420,383)	\$187,465	\$0	(\$1,202,657)	\$95,216	(10,340,359)	162,966,485	(10,340,359)
RI Council on the Arts	1,983,986	-	(\$45,417)	\$3,123	(\$16,665)	(\$6,376)	\$21,223	(44,112)	1,939,874	(44,112)
RI Atomic Energy Commission	775,346	-	(\$51,955)	\$3,672	(\$21,162)	(\$6,364)	\$89,462	13,653	788,999	13,653
Higher Education Assistance Authority	7,305,741	-	(\$45,901)	\$2,093	(\$12,705)	(\$5,486)	(\$5,592)	(67,591)	7,238,150	(67,591)
Historical Preservation & Heritage Comm	1,285,100	-	(\$75,090)	\$4,889	(\$27,018)	(\$14,408)	\$88,765	(22,862)	1,262,238	(22,862)
Public Telecommunications Authority	1,142,702	-	(\$79,605)	\$5,276	(\$26,478)	(\$14,170)	(\$18,173)	(133,150)	1,009,552	(133,150)
Subtotal - Education	1,043,526,489	-	(12,446,970)	348,781	(485,803)	(1,560,309)	(59,483,881)	(73,628,182)	969,898,307	(73,628,182)

Summary of Changes to FY 2010 Enacted General Revenue Expenditures

	FY 2010 Enacted	Reappropriation	Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefits Savings	Other changes from detail	Total Projected Changes	Projected Expenditures	Change From Enacted
Public Safety										
Attorney General	\$21,099,743	-	(\$1,443,820)	\$100,239	(\$531,995)	(\$215,360)	\$1,062,159	(1,028,777)	20,070,966	(1,028,777)
Corrections	177,390,562	-	(\$12,631,267)	\$617,096	(\$781,737)	(\$1,686,942)	\$11,943,210	(2,539,640)	174,850,922	(2,539,640)
Judicial	83,907,229	28,411	(\$5,135,150)	\$631,276	(\$1,698,902)	(\$829,270)	\$3,322,488	(3,681,147)	80,226,082	(3,681,147)
Military Staff	3,279,979	-	(\$221,761)	\$6,454	(\$35,775)	(\$17,190)	\$251,082	(17,190)	3,262,789	(17,190)
Public Safety	54,745,909	-	(\$3,192,794)	\$2,086,295	(\$673,528)	(\$337,186)	\$2,195,676	78,463	54,824,372	78,463
Office Of Public Defender	9,583,189	-	(\$655,603)	\$44,254	(\$237,500)	(\$89,736)	\$559,821	(378,764)	9,204,425	(378,764)
Subtotal - Public Safety	350,006,611	28,411	(23,280,395)	3,485,614	(3,959,437)	(3,175,684)	19,334,436	(7,567,055)	342,439,556	(7,567,055)
Environmental Management	35,484,369	-	(\$2,381,111)	\$119,215	(\$593,800)	(\$283,879)	\$467,134	(2,672,441)	32,811,928	(2,672,441)
Coastal Resources Management Council	2,027,574	-	(\$142,155)	\$10,048	(\$49,518)	(\$20,980)	\$120,077	(82,528)	1,945,046	(82,528)
Water Resources Board	1,370,785	-	(\$106,665)	\$3,311	(\$18,586)	(\$7,379)	(\$141,530)	(270,849)	1,099,936	(270,849)
Subtotal - Natural Resources	38,882,728	-	(2,629,931)	132,574	(661,904)	(312,238)	445,681	(3,025,818)	35,856,910	(3,025,818)
Total	3,000,341,114	998,143	-	-	(12,880,411)	(8,733,821)	(134,784,748)	(155,400,837)	2,844,940,277	(155,400,837)

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
<u>General Government</u>									
Administration									
Central Management	1,653,123								
Personnel-Salary & Benefits			(107,012)	8,263	(38,178)	(9,942)	(142,909)		
Contracted Professional Services			(933)				(933)		
Operating Supplies and Expenses			(3,158)				6,536		
	1,653,123	-	(111,103)	8,263	(38,178)	(9,942)	(137,306)	1,364,857	288,266
Legal Services									
Personnel-Salary & Benefits	1,088,274			5,312	(43,269)	(14,770)	(127,509)		
Transfer of Labor Relations from HR			(71,830)				767,841		
Operating Supplies and Expenses			(2,727)				2,727		
	1,088,274	-	(74,557)	5,312	(43,269)	(14,770)	643,059	1,604,049	(515,775)
Accounts & Control									
Personnel-Salary & Benefits	3,814,166			18,247	(95,997)	(50,401)	196,909		
Operating Supplies and Expenses			(264,178)				5,218		
	3,814,166	-	(276,287)	18,247	(95,997)	(50,401)	202,127	3,611,855	202,311
Budgeting									
Personnel-Salary & Benefits	2,003,345			9,682	(49,406)	(16,454)	37,622		
Contracted Professional Services			(155,329)				990		
Operating Supplies and Expenses			(11,714)				(10,982)		
	2,003,345	-	(172,588)	9,682	(49,406)	(16,454)	27,630	1,802,209	201,136
Purchasing									
Personnel-Salary & Benefits	2,050,754			11,491	(57,863)	(26,640)	334,965		
Operating Supplies and Expenses			(186,176)				(19,113)		
	2,050,754	-	(189,040)	11,491	(57,863)	(26,640)	315,852	2,104,554	(53,800)
Auditing									
Personnel-Salary & Benefits	1,465,588			7,530	(34,936)	(8,042)	(95,745)		
Operating Supplies and Expenses			(98,948)				(271)		
	1,465,588	-	(101,903)	7,530	(34,936)	(8,042)	(96,016)	1,232,221	233,367
Human Resources									
Personnel-Salary & Benefits	9,872,296			47,052	(233,340)	(94,832)	527,961		
Transfer of Labor Relations to Legal			(648,346)				(807,841)		
Contracted Professional Services			(32,540)				74,832		
Operating Supplies and Expenses			(17,809)				(76,790)		
	9,872,296	-	(698,695)	47,052	(233,340)	(94,832)	(281,838)	8,610,643	1,261,653

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Personnel Appeal Board	84,090								
Personnel-Salary & Benefits			(2,420)		(1,243)	-	9,743		
Contracted Professional Services			(4,300)				(4,300)		
Operating Supplies and Expenses	84,090	-	(145)		(1,243)		(362)	81,063	3,027
			(6,865)				5,081		
Facilities Management	37,680,174								
Personnel-Salary & Benefits			(593,217)	38,103	(198,273)	(131,822)	2,161,239		
Contracted Professional Services			(354,149)				(214,967)		
Utility Costs							(3,025,235)		
Operating Supplies and Expenses			(1,651,011)				(339,199)		
Corrective Action: Eliminate Day Porter							(12,726)		
Corrective Action: Transfer DLT Security Costs							(165,921)		
Corrective Action: Capital Center Maint Reduction							(40,000)		
Corrective Action: Central Fac Maint Reduction	37,680,174	-	(2,598,377)	38,103	(198,273)	(131,822)	(31,271)	33,121,725	4,558,449
							(1,668,080)		
Capital Projects and Property Management	2,613,613								
Personnel-Salary & Benefits			(171,286)	12,674	(68,570)	(24,334)	201,175		
Contracted Professional Services			(200)				(500)		
Operating Supplies and Expenses	2,613,613	-	(10,524)		(68,570)	(24,334)	62,381	2,614,429	(816)
			(182,010)	12,674			263,056		
Information Technology	18,637,302								
Personnel-Salary & Benefits			(1,002,835)	72,818	(396,982)	(105,890)	653,075		
Contracted Professional Services			(97,964)				286,397		
Tech Initiatives							(73,860)		
RIFANS operational costs			(219,860)				960,604		
Operating Supplies and Expenses							(76,262)		
Corrective Action: Eliminate 10.0 FTEs	18,637,302	-	(1,320,659)	72,818	(396,982)	(105,890)	(153,092)	18,483,451	153,851
							1,596,862		
Library and Information Services	884,607								
Personnel-Salary & Benefits			(45,969)	3,525	(18,670)	(4,838)	36,686		
Contracted Professional Services			(500)				(2,000)		
Operating Supplies and Expenses	884,607	-	(12,488)	3,525	(18,670)	(4,838)	(6,970)	833,383	51,224
			(58,957)				27,716		
Statewide Planning	3,466,719								
Personnel-Salary & Benefits			(85,483)	6,545	(32,704)	(12,442)	(14,154)		
Operating Supplies and Expenses			(2,146)				(10,769)		

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Sheriffs	3,466,719	-	(87,629)	6,545	(32,704)	(12,442)	(24,923)	3,315,566	151,153
Personnel-Salary & Benefits	17,240,788		(1,104,178)	78,482	(379,170)	(190,949)	(74,318)		
Contracted Professional Services			(800)				(180)		
Operating Supplies and Expenses	17,240,788	-	(62,525)	78,482	(379,170)	(190,949)	(122,706)	15,458,942	1,781,846
			(1,167,503)						
Energy Resources	0								
Personnel-Salary & Benefits									
Contracted Professional Services	0								
General									
Operating Supplies and Expenses			(92,376)				92,376		
Economic Development Corp.	5,500,807								
EDC-RI Airport Corp. Impact Act	1,025,000								
EDC EPScore (Research Alliance)	1,500,000								
Miscellaneous Grants	395,956								
Slater Centers for Excellence	2,000,000								
Torts	400,000								
Transfer to R.I. Capital Plan Fund	22,000,000						(22,000,000)		
State/Teachers' Retiree Health Subsidy	2,344,502								
Motor Vehicle Excise Tax Payment(3/4)	135,306,888								
Motor Vehicle Excise Q3 / Q4 Withdrawal							(66,714,943)		
Property Valuation	1,843,500								
Station Fire	10,000,000						(10,000,000)		
Payment in Lieu of Tax Exempt	27,580,409								
Distressed Communities Relief	10,384,458								
Resource Sharing and State Library Aid	8,773,398								
Library Construction Aid	2,844,547								
EDC - Pay Reduction Days	231,899,465	-	(92,376)	-	-	-	(98,810,061)	132,997,028	98,902,437
Debt Service Payments	157,553,100								
General Obligation Bonds									
Certificates of Participation									
Other Debt Service									
TANS Net Interest Costs (\$350 million issuance)	157,553,100	-	-	-	-	-	(1,649,897)		
							(2,453,190)		
							(1,810,351)		
							(2,374,316)		
							(8,287,754)	149,265,346	8,287,754
Undistributed Personnel Savings									
Statewide Undistributed Savings	(67,881,345)				0				

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Undistributed Judicial share							-		
Undistributed Legislative share							-		
Personnel Savings			53,804,719						
Contracted Professional Services			5,146,345						
Operating Supplies and Expenses			8,930,281						
	(67,881,345)	-	67,881,345	-	-	-	-	0	(67,881,345)
Pension Changes	5,474,761			(5,474,761)			(10,847,833)		
	5,474,761	-	-	(5,474,761)	-	-	(10,847,833)	-10,847,833	16,322,594
Total	429,600,820	-	60,742,796	(5,155,037)	(1,648,601)	(691,356)	(117,195,134)	365,653,488	63,947,332
Business Regulation									
Central Management	1,084,422		(70,738)	5,385	(28,357)	(10,168)	520		
Personnel-Salary & Benefits			(432)				204,900		
Employee Retro Payment - Settlement			(2,755)				1,318		
Contracted Professional Services			(73,925)	5,385	(28,357)	(10,168)	206,738	1,184,095	(99,673)
Operating Supplies and Expenses	1,084,422	-							
Insurance Regulation	4,645,367		(302,724)	23,183	(113,608)	(40,868)	(84,235)		
Personnel-Salary & Benefits			(2,385)				-		
Contracted Professional Services			(11,446)				(643)		
Operating Supplies and Expenses	4,645,367	-	(316,555)	23,183	(113,608)	(40,868)	(84,878)	4,112,641	532,726
Board of Accountancy	164,526		(10,042)	710	(3,817)	(2,149)	9,480		
Personnel-Salary & Benefits			(900)				-		
Contracted Professional Services			(736)				381		
Operating Supplies and Expenses	164,526	-	(11,678)	710	(3,817)	(2,149)	9,861	157,453	7,073
Banking	1,749,677		(117,178)	8,723	(42,184)	(17,219)	(61,627)		
Personnel-Salary & Benefits			(170)				-		
Contracted Professional Services			(2,841)				1,475		
Operating Supplies and Expenses	1,749,677	-	(120,189)	8,723	(42,184)	(17,219)	(60,152)	1,518,656	231,021
Securities	823,110		(55,257)	3,925	(24,891)	(9,924)	(19,763)		
Personnel-Salary & Benefits									
Contracted Professional Services									

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Operating Supplies and Expenses	823,110		(2,036) (57,293)	3,925	(24,891)	(9,924)	1,057 (18,706)	716,221	106,889
Commercial Licensing, Racing & Athletics Personnel-Salary & Benefits	795,557		(50,556) (130)	3,164	(16,996)	(11,688)	63,854 -		0
Contracted Professional Services Operating Supplies and Expenses	795,557	-	(3,689) (54,375)	3,164	(16,996)	(11,688)	1,815 65,669	781,331	14,226
Board of Design Professionals Personnel-Salary & Benefits	314,575		(17,625) (150)	1,159	(6,224)	(4,928)	16,643 -		
Contracted Professional Services Operating Supplies and Expenses	314,575	-	(4,089) (21,864)	1,159	(6,224)	(4,928)	2,124 18,767	301,485	13,090
Total	9,577,234	-	(655,879)	46,249	(236,077)	(96,944)	137,299	8,771,882	805,352
Labor and Training									
Central Management Personnel-Salary & Benefits	310,311		(17,967) (4,485)	1,343	(1,269)	(602)	(219,024) 1,754		
Contracted Professional Services Operating Supplies and Expenses	310,311	-	(194) (22,646)	1,343	(1,269)	(602)	1,107 (216,163)	70,974	239,337
Workforce Development Personnel-Salary & Benefits	95,409		(6,268) (250)	479	(2,000)	(1,558)	(6,885) (2,250)		
Contracted Professional Services Operating Supplies and Expenses	95,409	-	(125) (6,643)	479	(2,000)	(1,558)	895 (8,240)	77,447	17,962
Workforce Regulation and Safety Personnel-Salary & Benefits	2,254,033		(139,892) (3,002)	10,266	(54,595)	(27,541)	1,297,612 (12,357)		
Contracted Professional Services Operating Supplies and Expenses Tardy and Interest Transfer	2,254,033	-	(11,503) (154,397)	10,266	(54,595)	(27,541)	(39,976) (1,219,926) 25,353	2,053,119	200,914
Income Support Personnel-Salary & Benefits	3,581,636		- (2,604)	186	(1,566)	(834)	6,483		
Contracted Professional Services Operating Supplies and Expenses Grants			(2) (387)				(1,873) 362,632		

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Labor Relations Board	3,581,636	-	(2,993)	186	(1,566)	(834)	367,242	3,943,671	(362,035)
Personnel-Salary & Benefits	426,605		(24,799)	2,018	(9,782)	(2,114)	(8,512)		
Contracted Professional Services			(4,600)				(8,157)		
Operating Supplies and Expenses	426,605	-	(736)	2,018	(9,782)	(2,114)	16,669	386,592	40,013
			(30,135)				-		
Total	6,667,994	-	(216,814)	14,292	(69,212)	(32,649)	168,192	6,531,803	136,191
Legislature									
Legislature	35,874,012	969,732							
Personnel-Salary & Benefits			(2,071,906)	128,179	(686,604)	(459,627)	352,789		
Contracted Professional Services			(61,135)				(8,613)		
Operating Supplies and Expenses			(140,331)				284,669		
Total	35,874,012	969,732	(2,273,372)	128,179	(686,604)	(459,627)	628,845	34,181,165	1,692,847
Office of the Lieutenant Governor									
Personnel-Salary & Benefits	973,262		(63,644)	4,875	(26,278)	(10,384)	25,829		
Contracted Professional Services			(2,128)				(3,043)		
Operating Supplies and Expenses/Capital									
Total	973,262	-	(65,772)	4,875	(26,278)	(10,384)	22,786	898,489	74,773
Secretary of State									
Administration	1,904,137		(121,411)	9,685	(51,914)	(12,289)	125,236		
Personnel-Salary & Benefits			(100)				(100)		
Contracted Professional Services			(5,674)				(5,906)		
Operating Supplies and Expenses	1,904,137	-	(127,185)	9,685	(51,914)	(12,289)	119,230	1,841,664	62,473
Corporations	1,782,133		(102,206)	7,003	(39,148)	(24,303)	125,874		
Personnel-Salary & Benefits			(550)				(550)		
Contracted Professional Services			(20,232)				21,952		
Operating Supplies and Expenses	1,782,133	-	(122,988)	7,003	(39,148)	(24,303)	147,276	1,749,973	32,160
State Archives	80,084								
Personnel-Salary & Benefits			(5,001)				-		
Operating Supplies and Expenses	80,084	-	(5,001)				5,001	80,084	0

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Elections	878,262								
Personnel-Salary & Benefits			(40,541)	2,579	(12,856)	(5,748)	21,282		
Contracted Professional Services			(25,227)				(31,406)		
Operating Supplies and Expenses	878,262		(23,257)	2,579	(12,856)	(5,748)	(63,826)	709,386	168,876
State Library	575,937		(89,025)						
Personnel-Salary & Benefits			(26,660)	1,912	(10,328)	(5,230)	28,200		
Operating Supplies and Expenses	575,937	-	(2,618)	1,912	(10,328)	(5,230)	(7,518)	553,695	22,242
			(29,278)				20,682		
Office of Civics and Public Information	300,688		(20,318)	1,483	(7,984)	(3,799)	20,547		
Personnel-Salary & Benefits			(20)				(180)		
Contracted Professional Services			(353)				10,840		
Operating Supplies and Expenses	300,688	-	(20,691)	1,483	(7,984)	(3,799)	31,207	300,904	(216)
Total	5,521,241	-	(394,168)	22,662	(122,230)	(51,369)	259,570	5,235,706	285,535
Office of the General Treasurer									
Treasury	2,367,841								
Personnel-Salary & Benefits			(127,176)	9,476	(48,077)	(18,747)	32,651		
Contracted Professional Services			(3,880)				3,880		
Disclosure Counsel							5,000		
Operating Supplies and Expenses	2,367,841	-	(29,049)	9,476	(48,077)	(18,747)	(13,305)	2,178,614	189,227
			(160,105)				28,226		
RI Refunding Bond Authority	41,641								
Personnel-Salary & Benefits			(1,778)	135	(488)	(172)	(6,856)		
Contracted Professional Services			(665)				665		
Operating Supplies and Expenses	41,641	-	(561)	135	(488)	(172)	561	32,482	9,159
			(3,004)				(5,630)		
Crime Victim Compensation Program	90,817								
Personnel-Salary & Benefits			(3,970)	278	(1,564)	(673)	5,530		
Contracted Professional Services			(15)				(130)		
Operating Supplies and Expenses	90,817	-	(1,939)	278	(1,564)	(673)	253	88,587	2,230
			(5,924)				5,653		
Total	2,500,299	-	(169,033)	9,889	(50,129)	(19,592)	28,249	2,299,683	200,616

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Board of Elections									
Board Of Elections	1,600,141		(76,491)	5,299	(27,714)	(13,069)	(13,720)		
Personnel-Salary & Benefits			(60,409)				(246,763)		
1.0 FTE to be Filled after Jan. 1			(8,288)				2,610		
Contracted Professional Services									
Operating/Presidential Preference Primary									
Total	1,600,141	-	(145,188)	5,299	(27,714)	(13,069)	(257,873)	1,161,596	438,545
RI Ethics Commissions									
RI Ethics Commission	1,437,730		(82,874)	6,488	(37,443)	(8,284)	100,455		
Personnel-Salary & Benefits			(3,150)				3,150		
Contracted Professional Services			(10,858)				11,618		
Operating Supplies and Expenses									
Total	1,437,730	-	(96,882)	6,488	(37,443)	(8,284)	115,223	1,416,832	20,898
Office of the Governor									
Personnel-Salary & Benefits	5,106,754		(336,548)	23,673	(130,202)	(30,975)	142,629		
Operating /Contracted Services			(19,845)				(25,045)		
Total	5,106,754	-	(356,393)	23,673	(130,202)	(30,975)	117,584	4,730,441	376,313
Commission for Human Rights									
Personnel-Salary & Benefits	1,016,242		(63,711)	4,471	(22,305)	(12,858)	(12,099)		
Contracts (Steno Services) Shift to Federal			(100)				-		
Operating Supplies and Expenses			(5,883)				58,117		
Total	1,016,242	-	(69,694)	4,471	(22,305)	(12,858)	46,018	961,874	54,368
Public Utilities Commission									
Public Utilities Commission	0		-				-		
Total	0	-	-	-	-	-	-	0	0
Rhode Island Commission on Women									
Rhode Island Commission on Women	109,462		(6,683)	543	(1,389)	(364)	(27,226)		
Personnel-Salary & Benefits			(500)				(2,573)		
Operating Supplies and Expenses							(2,000)		
Grants and Benefits									

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Total	109,462	-	(7,183)	543	(1,389)	(364)	(31,799)	69,270	40,192
Department of Revenue									
Director of Revenue	562,988		(40,284)	2,850	(12,443)	(3,769)	(65,951)		
Personnel-Salary & Benefits			(15,150)				15,150		
Contracted Professional Services			(1,215)				(6,434)		
Operating Supplies and Expenses	562,988	-	(56,649)	2,850	(12,443)	(3,769)	(57,235)	435,742	127,246
Office of Revenue Analysis	598,055		(32,969)	2,496	(10,365)	(4,577)	(78,260)		
Personnel-Salary & Benefits			(372)				(69,628)		
Operating Supplies and Expenses	598,055	-	(33,341)	2,496	(10,365)	(4,577)	(147,888)	404,380	193,675
Office of Municipal Finance	1,173,544		(71,345)	5,189	(28,949)	(11,955)	74,971		
Personnel-Salary & Benefits			(10,000)				(20,000)		
Contracted Professional Services			(1,454)				(4,905)		
Operating Supplies and Expenses	1,173,544	-	(82,799)	5,189	(28,949)	(11,955)	50,066	1,105,096	68,448
Taxation	17,028,276		(1,008,150)	69,443	(355,389)	(171,826)	33,178		
Personnel-Salary & Benefits			(15,350)				84,655		
Contracted Professional Services			(128,931)				(199,704)		
Operating Supplies and Expenses	17,028,276	-	(1,152,431)	69,443	(355,389)	(171,826)	(5,460)	15,330,742	1,697,534
Assistance & Grants							(87,331)		
Registry	16,828,201		(799,158)	53,257	(287,142)	(174,576)	746,535		
Personnel-Salary & Benefits			(48,508)				(38,383)		
Contracted Professional Services			(299,321)				299,202		
Operating Supplies and Expenses	16,828,201	-					(2,651)		
Operating: Closure RI Mall Branch							266,743		
Operating: New Computer System							50,000		
DMV License Production Disaster Recovery							143,141		
DMV Personnel Overtime							126,669		
DMV Personnel Scanning Positions							(244,136)		
DMV Personnel Turnover							12,454		
Operating Transfers							(26,000)		
Capital	16,828,201	-	(1,146,987)	53,257	(287,142)	(174,576)	1,333,574	16,606,327	221,874
Total	36,191,064	-	(2,472,207)	133,235	(694,288)	(366,703)	1,091,186	33,882,287	2,308,777

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Sub-Total General Government	536,176,255	969,732	53,820,211	(4,755,182)	(3,752,472)	(1,794,174)	(114,869,854)	465,794,516	70,381,739
<u>Human Services</u>									
Office of Health and Human Services									
Personnel-Salary & Benefits	3,621,896		(234,872)	18,261	(92,529)	(40,740)	47,946		
Contracted Professional Services			(3,537)				24,792		
Operating Supplies and Expenses							(17,389)		
	3,621,896	-	(238,409)	18,261	(92,529)	(40,740)	55,349	3,323,828	298,068
Children, Youth and Families									
Central Management	5,077,434								
Personnel-Salary & Benefits			(189,060)	13,755	(63,057)	(25,067)	(245,755)		
Contracted Professional Services			(92,372)				67,924		
Operating Supplies and Expenses			(86,807)				82,374		
Capital Purchases and Equipment			(368,239)				(1,142)		
	5,077,434	-	(368,239)	13,755	(63,057)	(25,067)	(96,599)	4,538,227	539,207
Children's Behavioral Health	10,687,390								
Personnel-Salary & Benefits			(109,215)	8,440	(29,802)	(11,300)	(458,405)		
Contracted Professional Services			(6,122)				9,904		
Operating Supplies and Expenses			(6,597)				1,873		
Grants and Benefits							(154,700)		
Project Reach/Project Hope							(383,768)		
Bradley Group Homes							1,947,056		
	10,687,390	-	(121,934)	8,440	(29,802)	(11,300)	961,960	11,494,754	(807,364)
Juvenile Corrections	34,117,789								
Personnel-Salary & Benefits			(1,695,639)	111,180	(575,125)	(265,408)	311,930		
Overtime							486,727		
Contracted Professional Services			(49,394)				(183,291)		
Operating Supplies and Expenses			(120,597)				3,169		
Grants and Benefits							(497,195)		
CAP/RITS Counseling Svs							(39,000)		
CAP/RITS Detention Center Ed. Prog.							(40,800)		
Appraisal/Survey Costs/RITS							50,000		
Training School Repairs							40,800		
Howards Teachers Union Pay Red. Reversal							75,511		
Capital Purchases and Equipment							(16,606)		

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Child Welfare	34,117,789	-	(1,865,630)	111,180	(575,125)	(265,408)	191,245	31,714,051	2,403,738
18 to 21 Year Olds	99,526,633								
Personnel-Salary & Benefits	9,213,181		(1,827,766)	129,963	(602,011)	(249,888)	(2,010,087)		
Contracted Professional Services			(46,831)				59,577		
Operating Supplies and Expenses			(322,392)				95,958		
Consolidation of Facilities							(46,346)		
Grants and Benefits							1,914,424		
Day Care							3,458,044		
CAP/Block Grants Shift							(2,068,000)		
CAP/Child Placements							(875,000)		
Medicaid/CNOM/Title IV-E Adjustment							(37,148)		
Child Care Rate Reduction- 5 Percent							(88,700)		
Capital Purchases and Equipment	108,739,814	-	(2,196,989)	129,963	(602,011)	(249,888)	(42,767)	106,180,844	2,558,970
Higher Education Opportunity Incentive Gr	200,000	-	-	-	-	-	-	200,000	0
	200,000	-	-	-	-	-	-	-	-
Total	158,822,427	-	(4,552,792)	263,338	(1,269,995)	(551,663)	1,416,561	154,127,876	4,694,551
Elderly Affairs									
Elderly Affairs	8,776,624		(106,021)	7,863	(40,111)	(12,993)	27,777		
Personnel-Salary & Benefits			(7,147)				-		
Contract Professional Services			(10,477)				(45,497)		
Operating Supplies and Expenses							(220,059)		
In-home Services - Case Mang't Grants (CNOMs)							313,780		
Assisted Living Waiver/Nursing Home Diversion Cases							79,852		
RIPAE	1,142,763						33,331		
Rebates Offset							-		
Safety & Care of the Elderly	1,300								
Total	9,920,687	-	(123,645)	7,863	(40,111)	(12,993)	189,184	9,940,985	(20,298)
Health									
Central Management	1,811,914		(66,633)	5,150	(29,314)	(8,609)	15,333		
Personnel-Salary & Benefits			(1,210)				-		
Contract Professional Services			(6,433)				-		
Operating Supplies and Expense							122,418		
Program Expansion - Executive Legal Counsel	1,811,914	-	(74,276)	5,150	(29,314)	(8,609)	137,751	1,842,616	(30,702)

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
State Medical Examiner	2,439,972								
Personnel-Salary & Benefits			(166,763)	9,936	(44,692)	(14,248)	(206,706)		
Contract Professional Services			(10,975)				755,148		
Operating Supplies and Expense			(13,431)						
Budget Deficit Resolution - Deferred Capital	2,439,972	-	(191,169)	9,936	(44,692)	(14,248)	(20,500)	2,727,741	(287,769)
Community & Family Health & Equity	5,089,737								
Personnel-Salary & Benefits			(135,903)	9,434	(50,540)	(15,395)	279,647		
Contract Services - HIV Case Management & Tobacco Cessation			(32,976)				-		
Contract Services							(2,000)		
Budget Deficit Resolution - Charity Care Consultant Services							(24,579)		
Budget Deficit Resolution - Translation Services							(3,550)		
Grantee Services - HIV/AIDS Case Management							(375,000)		
Grantee Services - Minority Health Promotion Contracts							(1,579)		
Grantee Services - Choices for Self Care Grant							(20,968)		
Grantee Services - Tobacco Control							134,210		
Operating - Tobacco Media Campaign			(26,968)				(149,000)		
Operating	5,089,737	-	(195,847)	9,434	(50,540)	(15,395)	(148,691)	4,525,879	563,858
Environmental and Health Services Reg.	8,914,730								
Personnel-Salary & Benefits			(621,425)	40,516	(221,654)	(69,858)	440,449		
Budget Deficit Resolution - Managed Turnover							(293,627)		
Contract Professional Services			(24,749)				(1,084)		
Contract Professional Services - Legal							120,000		
Contract Professional Services - Health Professionals							29,000		
Budget Deficit Resolution - Contract Services			(24,148)				(56,700)		
Operating Supplies and Expense	8,914,730	-	(670,322)	40,516	(221,654)	(69,858)	(24,157)	8,207,293	707,437
Public Health Information	1,945,787								
Personnel-Salary & Benefits			(107,646)	7,306	(40,649)	(17,698)	113,761		
Budget Deficit Resolution - Managed Turnover							(47,894)		
Rite Care Data Network							62,640		
Contract Professional Services			(36,770)				-		
Budget Deficit Resolution - Contracts			(5,862)				(65,250)		
Operating Supplies and Expenses	1,945,787	-	(150,278)	7,306	(40,649)	(17,698)	(2,600)	1,805,125	140,662
Health Laboratories	7,079,007								
Personnel-Salary & Benefits			(370,946)	23,871	(135,527)	(39,778)	560,767		

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Budget Deficit Resolution - Managed Turnover							(62,181)		
Contract Professional Services			(90,126)				-		
Budget Deficit Resolution - Contracts							(67,500)		
Laboratory Information Management System							200,000		
Hepatitis A, B and C Supplies			(86,842)				89,995		
Operating Supplies and Expense	7,079,007	-	(547,914)	23,871	(135,527)	(39,778)	721,081	7,100,740	(21,733)
Infectious Disease and Epidemiology									
Personnel-Salary & Benefits	2,273,425		(84,635)	6,093	(32,500)	(10,480)	83,649		
Grantee Services - AIDS/STDs			(24,435)				117,000		
Operating Supplies and Expenses	2,273,425	-	(109,070)	6,093	(32,500)	(10,480)	(35,099)	2,293,018	(19,593)
Total	29,554,572	-	(1,938,876)	102,306	(554,876)	(176,066)	1,515,352	28,502,412	1,052,160
Human Services									
Central Management									
Personnel-Salary & Benefits	5,980,973		(89,596)	7,486	(14,828)	(3,828)	(808,348)		
Contract Professional Services			(5,000)				5,000		
Operating Supplies and Expense	5,980,973	-	(3,990)	7,486	(14,828)	(3,828)	(1,021)	5,066,848	914,125
			(98,586)				(804,369)		
Child Support Enforcement									
Personnel-Salary & Benefits	2,373,898		(114,314)	8,062	(41,699)	(18,276)	19,242		
Contract Professional Services			(35,380)				14,324		
Operating Supplies and Expense	2,373,898	-	(23,364)	8,062	(41,699)	(18,276)	33,437	2,215,930	157,968
			(173,058)				67,003		
Individual and Family Support									
Personnel-Salary & Benefits	22,152,850		(888,654)	58,578	(312,085)	(157,327)	(478,532)		
Personnel- OHHS Transfer (Cost Allocation-in)							1,100,000		
Contract Professional Services			(270,178)				309,896		
Operating Supplies and Expense			(150,911)				362,791		
RIW Employment Services							(579,000)		
ORS- Realignment							72,066		
Contract Services- InRhodes							(655,173)		
Lease Savings: Woonsocket Regional Office	22,152,850	-	(1,309,743)	58,578	(312,085)	(157,327)	(17,562)	20,546,759	1,606,091
							114,486		
Veterans' Affairs	17,852,470								

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Personnel-Salary & Benefits			(949,557)	57,383	(334,867)	(197,946)	1,534,051		
Contract Professional Services			(145,702)				118,836		
Operating Supplies and Expense	17,852,470	-	(162,705)	57,383	(334,867)	(197,946)	(20,124)	17,751,839	100,631
			(1,257,964)				1,632,763		
Health Care Quality, Financing and Purcha:									
Personnel-Salary & Benefits	21,771,668		(698,673)	49,225	(237,968)	(117,780)	(340,413)		
Contract Professional Services			(1,013,122)				703,520		
Operating Supplies and Expense			(67,560)				(147,499)		
Contract Services- InRhodes							(380,775)		
	21,771,668	-	(1,779,355)	49,225	(237,968)	(117,780)	(165,167)	19,520,623	2,251,045
Medical Benefits									
Managed Care- November CEC	205,075,368						2,864,432		
Hospitals- November CEC	97,221,709						4,663,167		
Other- November - November CEC	28,303,764						6,740,295		
Nursing Facilities- November CEC	105,152,488						7,850,072		
Home & Community Based Services- No	24,491,324						(6,270,924)		
Pharmacy- November CEC	48,704,880						418,480		
Rhody Health- November CEC	53,398,400						-		
Eliminate Unqualified Uncompensated Care Payment							(3,650,000)		
Hospice Cost Reduction							(71,980)		
Review of Personal Choice Waiver							(72,160)		
Cost Reductions in Habilitation Waiver							(72,160)		
Optimizing Third Party Liability							(522,799)		
Review of Hospital Coding for Emergency Department Visits							(183,488)		
High Cost Case Review							(1,443,200)		
Selective Contracting: DME							(151,628)		
Certification of Assisted Living Care Settings-HCBS							(259,776)		
State Maximum Allowable Cost							(90,200)		
Reduce Payment Rates to Tavares Pediatric Center							(72,160)		
Reduce Hospital Reimbursement for NICU							(270,600)		
Redesign Eligibility System to Enhance Claiming Opportunity							(605,925)		
Temporary reduction in rate for the DRG Reimbursement							(959,854)		
	562,347,933	-	-	-	-	-	7,839,592	570,187,525	(7,839,592)
S.S.I. Program	20,706,354								
MHRH Admin for DD Residential- Benefits							1,055,000		
November CEC							299,446		
Certification of Assisted Living Care Settings	20,706,354						(168,480)	21,892,320	(1,185,966)
							1,185,966		

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Family Independence Program Child Care	6,500,000	-	-	-	-	-	(326,523) (465,473) (791,996)	5,708,004	791,996
November CEC Child Care Provider Rate Reduction	6,500,000	-	-	-	-	-			
State Funded Programs November CEC	2,395,456	-	-	-	-	-	77,205 77,205	2,472,661	(77,205)
Total	662,081,602	-	(4,618,706)	180,734	(941,447)	(495,157)	9,155,483	665,362,509	(3,280,907)
Mental Health, Retardation, & Hospitals									
Central Management	1,035,453	-	-	-	-	-			
Personnel-Salary & Benefits			(41,697)	2,972	(16,760)	(6,001)	58,130		
Contracted Professional Services			(4,189)				13,642		
Operating Supplies and Expenses			(14,070)				(11,738)		
Grants - Supplemental Pensions							(550)		
Corr Action Plan - Reduce Operating							(35,488)		
Capital Pur - Computer Equip	1,035,453	-	(59,956)	2,972	(16,760)	(6,001)	21,496	977,204	58,249
Hosp. & Community System Support	2,655,402	-	-	-	-	-			
Personnel-Salary & Benefits			(156,367)	11,526	(53,577)	(17,318)	(191,123)		
Contracted Professional Services			(5,920)				(11,167)		
Operating Supplies and Expenses			(19,496)				(211,394)		
Grants - Supplemental Pensions							(171)		
Corr Action Plan - Reduce Operating							(10,447)		
Capital Purchases and Equipment	2,655,402	-	(181,783)	11,526	(53,577)	(17,318)	(435,102)	1,979,148	676,254
Total	80,278,072	-	(876,697)	53,885	(255,725)	(157,404)	338,579		
Services. for the Developmentally Disabled			(3,432)				(13,741)		
Personnel-Salary & Benefits			(39,956)				(2,937)		
Contracted Professional Services							1,205,947		
Operating Supplies and Expenses							692,363		
Grants - Provider Payments							(141,844)		
Underachieved CNOM Savings							(37,928)		
DD Less than 24 Hr Supports							(591,000)		
DD Job Training/Placement							(1,055,000)		
DD Day Programming/Supported Employment									
MHRH Admin for DD Residential- SSI Benefits									

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Capital Purchases and Equipment							(8,392)		
Corr Action Plan - DD Private Deficit							6,000,000		
Corr Action Plan - Reduce Operating							(50,393)		
Corr Action Plan - Inc Fed Revenue/Shift Cost to Fed	80,278,072	-	(920,085)	53,885	(255,725)	(157,404)	6,283,879	85,282,622	(5,004,550)
Integrated Mental Health Services	28,312,837								
Personnel-Salary & Benefits			(59,340)	5,367	(21,654)	(4,566)	20,444		
Contracted Professional Services			(2,048)				(18,432)		
Operating Supplies and Expenses			(31,575)				(5,971)		
CIMAP Pharmaceuticals							(399,999)		
Grants - Community MH Provider Payments							489,835		
Corr Action Plan - Reduce Operating							(12,948)		
Capital Pur - Computer Equip	28,312,837	-	(92,963)	5,367	(21,654)	(4,566)	73,758	28,272,779	40,058
Hosp. & Community Rehab. Services	40,770,397								
Personnel-Salary & Benefits			(2,097,174)	126,327	(705,439)	(398,395)	2,493,334		
Contracted Professional Services			(40,837)				(118,551)		
Operating Supplies and Expenses			(385,059)				(525,284)		
Grants and Assistance - Medical Services							567,463		
Capital Purchases and Equipment							(158)		
Corr Action Plan - Reduce Operating							(89,741)		
Corr Action Plan - Inc Fed Revenue/Shift Cost to Fed	40,770,397	-	(2,523,070)	126,327	(705,439)	(398,395)	1,403,482	38,673,302	2,097,095
Substance Abuse	12,963,619								
Personnel-Salary & Benefits			(89,999)	7,579	(26,401)	(15,212)	(79,253)		
Operating Supplies and Expenses			(990)				1,448		
Corr Action Plan - Reduce Operating							(983)		
Grants - Providers - Community Programs	12,963,619	-	(90,989)	7,579	(26,401)	(15,212)	150,744	12,910,552	53,067
Total	166,015,780	-	(3,868,846)	207,656	(1,079,556)	(598,896)	7,419,469	168,095,607	(2,079,827)
Office of the Child Advocate	547,048								
Personnel-Salary & Benefits			(36,115)	2,673	(14,297)	(5,318)	22,120		
Contracted Professional Services			(100)				(700)		
Operating Supplies and Expenses			(1,062)				(1,984)		

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Total	547,048	-	(37,277)	2,673	(14,297)	(5,318)	19,436	512,265	34,783
Commission on Deaf and Hard of Hearin									
Personnel- Salary & Benefits	370,146		(21,282)	1,490	(8,002)	(4,801)	20,245		
Contracted Professional Services			(6,550)				(908)		
Operating Supplies and Expenses			(668)						
Total	370,146	-	(28,500)	1,490	(8,002)	(4,801)	19,337	349,670	20,476
Governor's Commission on Disabilities									
Personnel-Salary & Benefits	366,450		(21,816)	1,671	(9,236)	(2,145)	24,436		
Contracted Professional Services			(2,279)				(16,590)		
Operating Supplies and Expenses			(831)				(1,233)		
Grants and Benefits							5,800		
Total	366,450	-	(24,926)	1,671	(9,236)	(2,145)	12,413	344,227	22,223
Office of the Mental Health Advocate									
Personnel-Salary & Benefits	448,423		(29,986)	2,221	(10,746)	(3,637)	(20,329)		
Contracted Professional Services			(250)				4,750		
Operating Supplies and Expenses			(702)				1,865		
Total	448,423	-	(30,938)	2,221	(10,746)	(3,637)	(13,714)	391,609	56,814
Sub-Total Human Services	1,031,749,031	-	(15,462,915)	788,213	(4,020,795)	(1,891,416)	19,788,870	1,030,950,988	798,043
<u>Education</u>									
Elementary and Secondary Education									
State Education Aid	623,428,385								
Statewide Transportation									
A cross-the-board cut-Local Districts									(17,614,254)
Pension reform-Local Districts									(17,820,209)
Shift to Stabilization Funding-Local Districts									(4,293,521)
Charter School Aid									(268,165)
A cross-the-board cut-Charter Schools									(960,249)
Pension reform-Charter Schools									(190,130)
Shift to Stabilization Funding-Charter Schools									(197,752)
Contracted Professional Services			(105,463)						521,765

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings (53,364)	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Operating Supplies and Expenses							53,364		
Group Home aid							105,000		
Non-public textbook aid							(6,139)		
Transfer to ACES and Miscellaneous							(700,502)		
E-Rate	623,428,385	-	(158,827)	-	-	-	(41,720,792)	581,548,766	41,879,619
School Housing Aid	61,538,663	-	-	-	-	-	(3,182,767)	58,355,896	3,182,767
Teachers' Retirement	77,752,559	-	-	-	-	-	(1,457,022)		
Base adjustment							(12,343,022)		
Pension Reform	77,752,559	-	-	-	-	-	(13,800,044)	63,952,515	13,800,044
RI School for the Deaf	5,947,646								
Personnel				27,082	(47,296)	(79,898)	343,292		
Contracted Professional Services							(3,400)		
Operating Supplies and Expenses							(32,724)		
Shift to Stabilization Funding	5,947,646	-	(424,904)	27,082	(47,296)	(79,898)	263,172	5,685,802	261,844
Central Falls School District	42,428,937								
Across-the-board cut							(1,275,222)		
Pension reform							(453,973)		
Shift to Stabilization Funding	42,428,937	-	-	-	-	-	(291,374)	40,408,368	2,020,569
Davies Career & Technical School	14,056,193								
Personnel				62,964	(72,760)	(141,808)	274,940		
Contracted Professional Services							484,169		
Operating Supplies and Expenses							(162,418)		
Capital Purchases and Equipment							62,500		
Shift to Stabilization Funding	14,056,193	-	(962,748)	62,964	(72,760)	(141,808)	(96,548)	13,504,484	551,709
Met. Career & Tech. School	12,187,381								
Shift to Stabilization Funding	12,187,381	-	-	-	-	-	(250,000)	11,860,572	326,809
Administration of the Comp. Education Str:	20,387,006								
Personnel-Salary & Benefits				52,217	(261,719)	(89,142)	221,796		
Contracted Professional Services							(288,758)		

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Operating Supplies and Expenses			(70,351)				(47,654)		
Transfer from Education Aid and Misc.	20,387,006		(1,182,140)	52,217	(261,719)	(89,142)	470,384	19,376,606	1,010,400
Total	857,726,770	-	(2,728,619)	142,263	(381,775)	(310,848)	(59,754,782)	794,693,009	63,033,761
Higher Education									
Board of Governors/Office of Higher Ed	7,364,037			4,217		(27,227)	(62,137)		
Personnel-Salary & Benefits			(159,009)				(131,462)		
Contracted Professional Services			(33,709)				9,758		
Operating Supplies and Expenses			(221,637)				(434,314)		
Assistance and Grants							85,735		
Capital Purchases							528,203		
Operating Transfers	7,364,037		(414,355)	4,217	-	(27,227)	(4,217)	6,922,455	441,582
University of Rhode Island	61,485,106			64,805		(411,452)	(1,655,283)		
Personnel-Salary & Benefits			(2,618,018)				8,202		
Contracted Professional Services			(143,903)				857,077		
Operating Supplies and Expenses			(632,640)				662,608		
Assistance and Grants							62,591		
Capital Purchases	14,299,321		-	64,805		(411,452)	(80,580)	71,897,834	3,886,593
Debt Service	75,784,427		(3,394,561)	64,805	-		(145,385)		
Rhode Island College	40,818,151			60,463		(361,725)	(1,061,491)		
Personnel-Salary & Benefits			(2,124,995)				(80,381)		
Contracted Professional Services			(63,619)				(182,653)		
Operating Supplies and Expenses			(334,869)				742,662		
Assistance and Grants							416,189		
Capital Purchases							105,211		
Operating Transfers	1,368,648		-	60,463		(361,725)	362,190	39,663,781	2,523,018
Debt Service	42,186,799		(2,523,483)	60,463	-		301,727		
Community College of Rhode Island	46,527,444			57,980		(402,253)	(1,328,305)		
Personnel-Salary & Benefits			(2,617,693)				(235,444)		
Contracted Professional Services			(84,162)				734,711		
Operating Supplies and Expenses			(386,129)				331,754		
Assistance and Grants							439,304		
Capital Purchases	1,444,137		-				1,071		
Debt Service									

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
	47,971,581		(3,087,984)	57,980	-	(402,253)	(56,909)	44,482,415	3,489,166
Total	173,306,844	-	(9,420,383)	187,465	-	(1,202,657)	95,216	162,966,485	10,340,359
RI Council On The Arts	1,983,986		(41,945) (3,472)	3,123	(16,665)	(6,376)	31,723 (18,000) 7,500		
Personnel-Salary & Benefits									
Operating Supplies and Expenses									
Grants									
Governor's Portrait									
Total	1,983,986	-	(45,417)	3,123	(16,665)	(6,376)	21,223	1,939,874	44,112
RI Atomic Energy Commission	775,346		(46,441) (200) (5,314)	3,672	(21,162)	(6,364)	105,381 3,358 (19,277)		
Personnel-Salary & Benefits									
Contracted Professional Services									
Operating Supplies and Expenses									
Total	775,346	-	(51,955)	3,672	(21,162)	(6,364)	89,462	788,999	(13,653)
RI Higher Education Assistance Authority	7,305,741		(34,501) (900) (10,500)	2,093	(12,705)	(5,486)	5,537 - (2,498) (1,000) (7,631)		
Personnel-Salary & Benefits									
Contracted Professional Services									
Operating Supplies and Expenses									
Capital									
Scholarships									
Total	7,305,741	-	(45,901)	2,093	(12,705)	(5,486)	(5,592)	7,238,150	67,591
RI Historical Preservation & Heritage Con	1,285,100		(69,605) (423) (5,062)	4,889	(27,018)	(14,408)	90,003 1,695 (2,933)		
Personnel-Salary & Benefits									
Contracted Professional Services									
Operating Supplies and Expenses									
Capital									
Total	1,285,100	-	(75,090)	4,889	(27,018)	(14,408)	88,765	1,262,238	22,862
RI Public Telecommunications Authority	1,142,702		(74,402) (1,300) (3,903)	5,276	(26,478)	(14,170)	22,924 (41,097)		
Personnel-Salary & Benefits									
Contracted Professional Services									
Operating Supplies and Expenses									
Total	1,142,702	-	(79,605)	5,276	(26,478)	(14,170)	(18,173)	1,009,552	133,150

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement/ Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Sub-Total Education	1,043,526,489	-	(12,446,970)	348,781	(485,803)	(1,560,309)	(59,483,881)	969,898,307	73,628,182
<u>Public Safety</u>									
Attorney General									
Criminal	13,010,243								
Personnel-Salary & Benefits			(837,898)	62,181	(328,430)	(130,246)	502,704 (53,717)		
Contracted Professional Services			(8,445)				73,034		
Operating Supplies and Expenses	13,010,243	-	(891,202)	62,181	(328,430)	(130,246)	522,021	12,244,567	765,676
Civil	4,385,190								
Personnel-Salary & Benefits			(277,485)	20,234	(105,165)	(47,916)	116,433 117,139		
Contracted Professional Services			(922)				(42,276)		
Operating Supplies and Expenses	4,385,190	-	(21,997)	20,234	(105,165)	(47,916)	191,296	4,143,235	241,955
Bureau of Criminal Identification	1,000,244								
Personnel-Salary & Benefits			(64,785)	4,842	(26,982)	(10,544)	99,825 263		
Contracted Professional Services			(263)				26,072		
Operating Supplies and Expenses	1,000,244	-	(3,083)				126,160	1,025,589	(25,345)
General	2,704,066								
Personnel-Salary & Benefits			(171,443)	12,982	(71,418)	(26,654)	231,926 89		
Contracted Professional Services			(89)				(9,333)		
Operating Supplies and Expenses	2,704,066	-	(12,551)	12,982	(71,418)	(26,654)	222,682	2,657,575	46,491
	2,704,066	-	(184,083)	12,982	(71,418)	(26,654)	222,682	2,657,575	46,491
Total	21,099,743	-	(1,443,820)	100,239	(531,995)	(215,360)	1,062,159	20,070,966	1,028,777
Corrections									
Central Management	8,021,430								
Personnel-Salary & Benefits			(426,959)	28,836	(93,173)	(57,984)	255,354 9,612		
Weapons Qualification-Personnel							(576,156)		
CO Training Class-Personnel			(40,893)				103,636		
Contracted Professional Services							(70,878)		
CO Training Class-Contract Services			(78,746)				(34,594)		
Operating Supplies and Expenses							(108,824)		
Computer Equipment							23,899		
Weapons Qualification-Operating									

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Parole Board	8,021,430	-	(546,598)	28,836	(93,173)	(57,984)	(397,951)	6,954,560	1,066,870
Personnel-Salary & Benefits	1,269,396		(75,225)	4,245	(28,935)	(12,541)	130,465		
Contracted Professional Services			(11,595)				27,655		
Other Operating/Grants/Capital	1,269,396	-	(90,812)	4,245	(28,935)	(12,541)	(5,628)	1,293,845	(24,449)
Institutional Corrections	153,293,213		(8,954,580)	516,311	(332,132)	(1,481,656)	4,069,994		
Personnel-Salary & Benefits							3,543,154		
OT adjustment							2,613,620		
Unachieved Module Closures							1,272,232		
Vacancy reduction (26.0 FTE's)							(101,927)		
Litter Crew Overtime							352,824		
SCAAP							287,901		
Contracted Professional Services			(1,053,684)				(764,820)		
Inmate Per Diem Expenses-Medical			(957,562)				1,303,811		
Operating Supplies and Expenses							(238,804)		
Inmate Per Diem Expenses-Operating							100,000		
HINI Medical Supplies	153,293,213	-	(10,965,826)	516,311	(332,132)	(1,481,656)	12,437,985	153,467,895	(174,682)
Community Corrections	14,806,523		(945,530)	67,704	(327,497)	(134,761)	(244,023)		
Personnel-Salary & Benefits			(40,290)				(33,210)		
Contracted Professional Services			(42,211)				27,917		
Operating Supplies and Expenses	14,806,523	-	(1,028,031)	67,704	(327,497)	(134,761)	(249,316)	13,134,622	1,671,901
Total	177,390,562	-	(12,631,267)	617,096	(781,737)	(1,686,942)	11,943,210	174,850,922	2,539,640
Judiciary									
Supreme Court	28,043,805	26,665	(1,040,853)	125,070	(414,929)	(203,804)	1,317,970		
Personnel-Salary & Benefits			(148,069)				218,464		
Contracted Professional Services			(411,954)				420,850		
Operating Supplies and Expenses							8,259		
Judges Pensions							(157,421)		
Capital Purchases and Equipment	28,043,805	26,665	(1,600,876)	125,070	(414,929)	(203,804)	1,808,122	27,784,053	259,752
Superior Court	19,861,308	1,451	(1,146,016)	195,024	(455,464)	(199,755)	986,572		
Personnel-Salary & Benefits			(6,500)				(24,951)		
Contracted Professional Services									

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Operating Supplies and Expenses			(67,499)				47,703		
Judges Pensions	19,861,308	1,451	(1,220,015)	195,024	(455,464)	(199,755)	1,268,285	19,450,834	410,474
Family Court	18,151,560	295		140,484	(409,616)	(219,248)	383,941		
Personnel-Salary & Benefits			(1,158,317)				84,075		
Contracted Professional Services			(17,225)				52,535		
Operating Supplies and Expenses			(19,360)				(1,179,671)		
Child Support Enforcement/Shift to Federal							(68,869)		
Judges Pensions	18,151,560	295	(1,194,902)	140,484	(409,616)	(219,248)	(727,989)	15,740,584	2,410,976
District Court	10,175,958			120,683	(231,731)	(113,359)	567,538		
Personnel-Salary & Benefits			(592,077)				29,683		
Contracted Professional Services			(14,827)				7,033		
Operating Supplies and Expenses			(13,441)				(50,000)		
Grants and Benefits							(109,287)		
Judges Pensions	10,175,958	-	(620,345)	120,683	(231,731)	(113,359)	444,967	9,776,173	399,785
Traffic Tribunal	7,545,676			49,500	(184,422)	(92,409)	519,356		
Personnel-Salary & Benefits			(473,326)				743		
Contracted Professional Services			(100)				24,896		
Operating Supplies and Expenses			(15,879)				(6,000)		
Judges Pensions							538,995		
Capital Purchases and Equipment	7,545,676	-	(489,305)	49,500	(184,422)	(92,409)		7,368,035	177,641
Judicial Tenure and Discipline	128,922			515	(2,740)	(695)	6,882		
Personnel-Salary & Benefits			(6,353)				(16,800)		
Contracted Professional Services			(3,200)				26		
Operating Supplies and Expenses	128,922		(9,707)	515	(2,740)	(695)	(9,892)	106,403	22,519
Total	83,907,229	28,411	(5,135,150)	631,276	(1,698,902)	(829,270)	3,322,488	80,226,082	3,681,147
Military Staff									
National Guard	1,517,828			2,224	(14,489)	(10,112)	100,315		
Personnel-Salary & Benefits			(49,813)				(26,200)		
Funeral Honors							2,312		
Contracted Professional Services			(3,277)				(51,557)		
Operating Supplies and Expenses			(44,152)						

Changes to FY 2010 Enacted Agency General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Personnel-Salary & Benefits									
Shortfall in add back for Pension reform modifications			(2,079,896)	2,037,765	(432,718)	(205,275)	346,053		
Contracted Professional Services			(25,585)				1,427,407		
Operating Supplies and Expenses			(285,174)				(51,659)		
Assistance and Grants	42,852,283	-	(2,390,655)	2,037,765	(432,718)	(205,275)	127,594	43,407,731	(555,448)
Total	54,745,909		(3,192,794)	2,086,295	(673,528)	(337,186)	2,195,676	54,824,372	(78,463)
Office Of Public Defender									
Personnel-Salary & Benefits	9,583,189		(593,551)	44,254	(237,500)	(89,736)	509,475		
Contracted Professional Services			(11,757)				28,339		
Operating Supplies and Expenses			(50,295)				22,007		
Total	9,583,189	-	(655,603)	44,254	(237,500)	(89,736)	559,821	9,204,425	378,764
Sub-Total Public Safety	350,006,611	28,411	(23,280,395)	3,485,614	(3,959,437)	(3,175,684)	19,334,436	342,439,556	7,567,055
<u>Natural Resources</u>									
Environmental Management									
Office of the Director	5,185,950		(155,512)	11,482	(56,671)	(23,607)	(348,352)		
Personnel-Salary & Benefits			(17,100)				(91,250)		
Contracted Professional Services			(162,799)				88,146		
Operating Supplies and Expenses							(14,250)		
Capital	5,185,950	-	(335,411)	11,482	(56,671)	(23,607)	(365,706)	4,416,037	769,913
Bureau of Natural Resources	18,222,294		(930,288)	49,900	(250,679)	(133,945)	739,310		
Personnel-Salary & Benefits			(53,285)				(81,415)		
Contracted Professional Services			(225,639)				(50,971)		
Operating Supplies and Expenses							56,876		
Assistance and Grants							(22,500)		
Capital	18,222,294	-	(1,209,212)	49,900	(250,679)	(133,945)	641,300	17,319,658	902,636
Environmental Protection	12,076,125		(790,057)	57,833	(286,450)	(126,327)	308,152		
Personnel-Salary & Benefits			(32,170)				(89,930)		
Contracted Professional Services			(14,261)				(12,482)		
Operating Supplies and Expenses							(14,200)		
Capital	12,076,125	-	(836,488)	57,833	(286,450)	(126,327)	191,540	11,076,233	999,892

Changes to FY 2010 Enacted General Revenue Expenditures

	FY2010 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Original July Redistribution Of Enacted Statewide Savings	Retirement Contribution/ Pension Reform	Pay Reduction Day Savings	Medical Benefit Savings	Projected Changes	FY 2010 Projected Expenditures	Projected Surplus/ (Deficit)
Total	35,484,369	-	(2,381,111)	119,215	(593,800)	(283,879)	467,134	32,811,928	2,672,441
Coastal Resources Management Council									
Personnel-Salary & Benefits	2,027,574		(132,991)	10,048	(49,518)	(20,980)	150,775		
Contracted Professional Services - Legal			(8,760)				(30,573)		
Operating Supplies and Expenses			(404)				(125)		
Total	2,027,574	-	(142,155)	10,048	(49,518)	(20,980)	120,077	1,945,046	82,528
State Water Resources Board									
Personnel-Salary & Benefits	1,370,785		(43,955)	3,311	(18,586)	(7,379)	122,317		
Contracted Professional Services-General			(54,323)				(21,500)		
Big River Well Development to RICAP							(130,000)		
Water Allocation Plan							(108,734)		
Operating Supplies and Expenses			(8,387)				(3,613)		
Total	1,370,785	-	(106,665)	3,311	(18,586)	(7,379)	(141,530)	1,099,936	270,849
Sub-Total Environment	38,882,728	-	(2,629,931)	132,574	(661,904)	(312,238)	445,681	35,856,910	3,025,818
Statewide General Revenue Total	3,000,341,114	998,143	-	-	(12,880,411)	(8,733,821)	(134,784,748)	2,844,940,277	155,400,837

Changes to FY 2010 Enacted Transportation Expenditures

	FY 2010 Enacted Appropriation	Change to Carryforward from FY2009	Pay Reduction Day Savings	Medical Benefit Savings	Supplemental Changes	Governor's Recommend
Total ISTF Fund Revenue Collections	143,000,000				(6,987,500)	136,012,500
Transportation ISTEA Fund Changes - Surplus	1,530,791				(1,338,530)	192,261
Total Revenues Available	144,530,791					136,204,761
Central Management	1,905,734					
Salaries & Benefits				(11,428)	(754,163)	
Uncompensated Leave Days(1)			(26,495)			
Purchased Services					(5,780)	
Operating					84,574	
Grants & Benefits					(700)	
Capital Improvements					(7,500)	
	1,905,734	-	(26,495)	(11,428)	(683,569)	1,184,242
Management & Budget	1,186,330					
Salaries & Benefits				(25,681)	(998,234)	
Uncompensated Leave Days(1)			(15,060)			
Purchased Services					739,817	
Operating					467,122	
Capital Improvements					2,698	
	1,186,330	-	(15,060)	(25,681)	211,403	1,356,992
Infrastructure-Engineering	5,484,407					
Salaries & Benefits				(48,747)	(1,396,159)	
Uncompensated Leave Days(1)			(76,559)			
Gas Tax Allocation from Payroll Clearing Account					(715,133)	
Federal Indirect Cost Allocation Department Wide					364,614	
Purchased Services					500	
Operating					106,822	
Grants & Benefits					(1,000)	
Move Weight Enforcement Details Bridge 550 to GARVEE					(495,000)	
Capital Improvements					(5,100)	
Other Operating Transfers						
	5,484,407	-	(76,559)	(48,747)	(2,140,456)	3,218,645
Infrastructure-Maintenance	36,749,879					
Salaries & Benefits				(281,384)	35,254	
Uncompensated Leave Days(1)			(369,018)			
Overtime					(368,000)	
Purchased Services					7,000	
Operating					(180,071)	
Grants & Benefits						
Capital Improvements					(75,500)	
Operating Transfers						
Winter Maintenance - Overtime					600,600	
Winter Maintenance - materials & Contractors					243,284	
Highway Lighting Electricity					720,114	
Vehicle Repairs/Fuel					719,667	
Target Adjustment to Reduce Expenses					(2,532,070)	
	36,749,879	-	(369,018)	(281,384)	(829,722)	35,269,755
Total Department of Transportation Operations	45,326,350	-	(487,132)	(367,240)	(3,442,344)	41,029,633
Operating Transfers to Motor Fuel Bonds - debt serv	8,800,000				(430,000)	8,370,000
Operating Transfers to RIPTA	42,111,130				(2,132,177)	39,978,953
Total Transfers	50,911,130				(2,562,177)	48,348,953
Total Transportation	96,237,480				(6,004,521)	89,378,586

Changes to FY 2010 Enacted Transportation Expenditures

	FY 2010 Enacted Appropriation	Change to Carryforward from FY2009	Pay Reduction Day Savings	Medical Benefit Savings	Supplemental Changes	Governor's Recommend
Gas tax budgeted outside DOT						
DOT Debt service(est DOT refunding allocation)	41,350,022				(1,015,585)	40,334,437
RIPTA Debt Service	826,370				(1,573)	824,797
Gas tax budgeted in DOA-planning	42,176,392				(1,017,158)	41,159,234
Consolidations Transfer	1,748,628				(266,750)	1,481,878
Total Transfers	1,748,628				(266,750)	1,481,878
Gas Tax Budgeted outside of DOT	43,925,020				(1,283,908)	42,641,112
DHS - Elderly Transportation	4,400,000				(215,000)	4,185,000
General Fund Transfer	-					-
Total ISTE A Fund	144,562,500				(7,503,429)	136,204,698
Change in Fund Balance	(1,562,500)				1,562,563	63
¹ Beginning Balance						63
Ending Balance	(31,709)					63

¹ Planned balance from FY 09 programmed into enacted budget

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Special Reports

Formula Aid to Cities and Towns

The Governor's FY 2011 budget recommends formula aid to cities and towns totaling \$78.2 million. This represents a 57.7 percent, or \$106.7 million decrease from the FY 2010 enacted level of funding. The tables on the following pages display the FY 2010 enacted, FY 2010 revised, and FY 2011 recommended levels of funding for formula aid to cities and towns by community. In general, formula state aid programs were level funded from the revised level with the exception of the motor vehicle tax phase-out program, which the Governor recommends eliminating in the FY 2011 budget. The narrative below describes each of the programs included on the tables. It should also be noted that updated formula drivers for PILOT and Distressed Community Relief Fund were incorporated for FY 2011. This results in increases and decreases by community depending on changes in relative wealth and other factors which are incorporated in determining entitlements.

Payment in Lieu of Taxes - Legislation creating this program requires the State of Rhode Island to reimburse cities and towns for property taxes that would have been due on certain types of real property that are exempted from taxation by state law. This includes property owned by nonprofit educational institutions, nonprofit hospitals, or any state owned hospital, veteran's facility, or correctional facility. Reimbursement is made for twenty-seven percent of all tax that would have been collected had the property been taxable, prorated to the amount appropriated for a given year. The Governor's recommendation is to level fund the program at the FY 2010 enacted level of \$27.6 million for the FY 2010 revised and FY 2011 budgets. Entitlements by community have been adjusted to reflect revenue which would have been lost resulting from eligible properties in the statutory reference year.

Distressed Communities Relief Fund - This program provides state assistance to those Rhode Island communities with the highest property tax burdens relative to the wealth of taxpayers. The amount of total funding distributed under this program is based on the amount enacted in the annual appropriations act. Entitlements for FY 2010 and FY 2011 by community reflect computations based upon the latest available qualifying data. The Governor's recommendation is to level fund the program at the FY 2010 enacted level of \$10.4 million for the FY 2010 revised and FY 2011 budgets.

State Library Aid - This program provides financial support for local public library services and for the construction and capital improvement of any free public library. A portion of library aid is disbursed directly to local libraries, including private libraries, while other aid is disbursed to the individual cities and towns. The Governor's recommendation is to level fund the program at the FY 2010 enacted level of \$8.7 million for the FY 2010 revised and FY 2011 budgets. Although total funding is level funded at the FY 2010 enacted level, distributions by community/library have been calculated based upon the latest available qualifying data from the statutory reference year. Library construction aid is fully funded in both years based on outstanding commitments.

Motor Vehicle Excise Tax - Legislation enacted during the 1999 General Assembly provides for a phase-out of the local excise tax on motor vehicles and trailers. This legislation was amended in 2002 to make the full phase out of the tax subject to the annual review and appropriation of the General Assembly. For fiscal year 2007, the value of the exemption from local taxes was increased to \$6,000 per vehicle. For FY 2009, the legislation was amended to reimburse only 98 percent of the exemption value, reflecting comparability with municipal motor vehicle tax collection rates. In FY 2010, \$135.3 million was enacted for the program. In the FY 2010 revised budget, the Governor recommends eliminating the final two quarter payments and recommends total funding of \$68.6 million. In FY 2011, the Governor recommends eliminating the program entirely and includes no appropriation in the budget recommendation.

Formula Aid to Cities and Towns

Public Service Corporation Tax - The tangible personal property of telegraph, cable and telecommunications corporations is exempt from local taxation, but is subject to taxation by the state at the average property tax statewide. For FY 2010 and FY 2011, the Governor recommends level funding the program as no growth is forecasted. Funds collected from this tax are distributed to cities and towns within the state on the basis of the ratio of the city or town population to the population of the state as a whole.

Meals and Beverage Local Sales and Use Tax – During the January 2003 session, the General Assembly enacted a one percent gross receipts tax on retail sales of meals and beverages in or from eating and/or drinking establishments. The taxes are collected by the Division of Taxation and distributed at least quarterly to the city or town where the meals and beverages were delivered. For FY 2010 and FY 2011, the Governor recommends level funding the program as no growth is forecasted.

Summary of Formula Aid to Cities and Towns

	FY 2007 Actual	FY 2008 Actual	FY 2009 Actual	FY 2010 Revised	FY 2011 Recommend
Municipal Police Incentive Pay	449,808	449,114	-	-	-
Municipal Fire Incentive Pay	221,130	224,997	-	-	-
Public Service Corporation Tax	10,347,757	9,204,590	9,204,590	10,194,401	10,194,401
Meals and Beverage Tax	18,830,298	18,749,285	18,808,231	18,808,231	18,808,231
Payment In Lieu of Taxes (PILOT)	27,766,967	27,766,967	27,580,409	27,580,409	27,580,409
Total Miscellaneous Aid	\$57,615,960	\$56,394,953	\$55,593,230	\$56,583,041	\$56,583,041
General Revenue Sharing	65,111,876	55,111,876	25,000,000	-	-
Total State Aid to Cities and Towns	\$65,111,876	\$55,111,876	\$25,000,000	-	-
Dist. Comm. - General Appropriation ¹	9,929,895	10,384,458	10,384,458	10,384,458	10,384,458
Total Distressed Communities Aid	\$9,929,895	\$10,384,458	\$10,384,458	\$10,384,458	\$10,384,458
Motor Vehicle Tax Phase-out Program ²	136,230,036	135,277,642	135,370,316	68,591,945	-
Total Motor Vehicle Tax Phase-out Prog.	\$136,230,036	\$135,277,642	\$135,370,316	\$68,591,945	-
Subtotal Formula Aid - All Sources	\$268,887,767	\$257,168,929	\$226,348,004	\$135,559,444	\$66,967,499
Percent Change from prior year	7.06%	-4.36%	-11.98%	-40.11%	-50.60%
Resource Sharing & Library Aid ³	8,711,692	8,746,199	8,733,284	8,773,398	8,773,398
Library Construction Aid	2,751,699	2,672,735	2,587,447	2,739,488	2,492,974
Total Library Aid	\$11,463,391	\$11,418,934	\$11,320,731	\$11,512,886	\$11,266,372
Property Revaluation Program	2,186,413	1,078,929	1,044,654	1,843,500	1,000,000
Total Other Aid	\$2,186,413	\$1,078,929	\$1,044,654	\$1,843,500	\$1,000,000
Total Aid	\$282,537,571	\$269,666,792	\$238,713,389	\$148,915,830	\$79,233,871
Percent Change from prior year	7.38%	-4.56%	-11.48%	-37.62%	-46.79%

¹ The August 2006 payment from the Distressed Communities Relief Fund, which is supposed to be based on Real Estate Conveyance Tax revenues for the period of January to June, was instead based upon the enacted appropriation and resulted in an overpayment to communities of \$230,272.

² Amounts for the Motor Vehicle Excise Tax represent final payments due each community based upon the exemption amounts in effect for the given fiscal year. Actual cash payments may have occurred over multiple fiscal years.

³ Resource Sharing and Library Aid for state institutions is included in these totals.

Fiscal Year 2010 Enacted State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2010 Total Appropriated State Aid
Barrington	48,674	-	321,077	2,983,208	3,352,959
Bristol	634,467	-	58,525	1,533,679	2,226,671
Burrillville	70,725	508,392	91,281	2,806,626	3,477,024
Central Falls	21,195	289,687	76,209	1,478,058	1,865,149
Charlestown	-	-	45,556	522,331	567,887
Coventry	-	-	189,995	2,954,920	3,144,915
Cranston	3,564,549	-	567,847	12,229,010	16,361,406
Cumberland	119	-	242,267	2,787,441	3,029,827
East Greenwich	7,852	-	106,867	1,365,583	1,480,302
East Providence	59,510	-	472,150	6,195,221	6,726,881
Exeter	-	-	32,881	1,020,562	1,053,443
Foster	476	-	33,174	857,971	891,621
Glocester	-	-	67,171	1,218,863	1,286,034
Hopkinton	-	-	28,195	850,943	879,138
Jamestown	-	-	74,753	432,967	507,720
Johnston	-	-	105,464	5,064,868	5,170,332
Lincoln	-	-	176,403	2,922,165	3,098,568
Little Compton	-	-	25,583	292,210	317,793
Middletown	-	-	130,962	1,097,078	1,228,040
Narragansett	-	-	120,292	1,224,495	1,344,787
Newport	754,667	-	355,526	1,811,310	2,921,503
New Shoreham	-	-	93,402	93,112	186,514
North Kingstown	6,499	-	236,452	2,824,194	3,067,145
North Providence	457,836	1,021,040	174,633	4,901,389	6,554,898
North Smithfield	50,270	-	57,152	2,121,675	2,229,097
Pawtucket	349,008	1,497,807	415,117	10,090,288	12,352,220
Portsmouth	-	-	100,332	1,559,418	1,659,750
Providence	19,651,148	5,294,786	1,224,950	23,572,708	49,743,592
Richmond	-	-	26,120	834,514	860,634
Scituate	-	-	92,783	1,579,960	1,672,743
Smithfield	457,147	-	244,438	3,542,318	4,243,903
South Kingstown	139,158	-	199,346	2,178,075	2,516,579
Tiverton	-	-	91,028	1,413,809	1,504,837
Warren	-	-	49,036	1,125,745	1,174,781
Warwick	1,025,527	-	669,452	13,715,293	15,410,272
Westerly	124,499	-	281,816	2,999,237	3,405,552
West Greenwich	-	-	23,819	594,921	618,740
West Warwick	-	946,360	188,581	3,183,440	4,318,381
Woonsocket	157,083	826,382	207,774	5,451,446	6,642,685
Subtotal	\$27,580,409	10,384,458	7,698,411	\$133,431,051	\$179,094,324
Statewide Reference Library Resource Grant (Providence)			1,012,378		1,012,378
Library Construction Reimbursement			2,844,547		2,844,547
Motor Vehicle Excise Tax Reimbursement - Fire Districts				\$1,875,837	1,875,837
Total	\$27,580,409	\$10,384,458	\$11,555,336	\$135,306,888	\$184,827,086

Fiscal Year 2010 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2010 Total Shared Taxes State Aid	FY 2010 Total Shared & Appropriated Aid
Barrington	163,557	119,179	282,736	3,635,694
Bristol	218,500	318,548	537,048	2,763,719
Burrillville	153,609	166,966	320,575	3,797,598
Central Falls	184,066	82,663	266,729	2,131,878
Charlestown	76,425	98,886	175,311	743,198
Coventry	327,405	332,829	660,234	3,805,148
Cranston	770,853	1,317,327	2,088,180	18,449,586
Cumberland	309,629	346,547	656,176	3,686,003
East Greenwich	125,913	389,810	515,723	1,996,025
East Providence	473,467	710,794	1,184,261	7,911,142
Exeter	58,785	63,081	121,866	1,175,309
Foster	41,563	17,846	59,409	951,031
Glocester	96,740	57,561	154,301	1,440,335
Hopkinton	76,201	39,880	116,081	995,219
Jamestown	54,671	65,241	119,912	627,633
Johnston	274,183	406,973	681,156	5,851,488
Lincoln	203,223	585,041	788,264	3,886,832
Little Compton	34,940	27,577	62,517	380,311
Middletown	168,565	566,748	735,313	1,963,353
Narragansett	159,103	473,967	633,070	1,977,858
Newport	257,457	1,552,832	1,810,289	4,731,792
New Shoreham	9,822	208,610	218,432	404,946
North Kingstown	256,008	430,806	686,814	3,753,959
North Providence	315,181	365,348	680,529	7,235,428
North Smithfield	103,255	155,376	258,631	2,487,728
Pawtucket	709,481	640,642	1,350,123	13,702,343
Portsmouth	166,766	159,493	326,259	1,986,009
Providence	1,688,352	3,912,275	5,600,627	55,344,219
Richmond	70,230	101,458	171,688	1,032,322
Scituate	100,396	55,036	155,432	1,828,175
Smithfield	200,452	480,155	680,607	4,924,511
South Kingstown	271,518	516,680	788,198	3,304,777
Tiverton	148,396	146,356	294,752	1,799,590
Warren	110,471	205,217	315,688	1,490,468
Warwick	834,442	2,199,207	3,033,649	18,443,921
Westerly	223,333	589,951	813,284	4,218,836
West Greenwich	49,449	79,531	128,980	747,720
West Warwick	287,661	330,731	618,392	4,936,773
Woonsocket	420,333	491,063	911,396	7,554,081
Subtotal	\$10,194,401	\$18,808,231	\$29,002,632	\$208,096,956
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,844,547
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total				\$213,829,718

Fiscal Year 2010 Revised State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2010 Total Appropriated State Aid
Barrington	48,732	-	321,077	1,454,314	1,824,123
Bristol	610,478	-	58,525	747,669	1,416,672
Burrillville	70,809	508,392	91,281	1,368,230	2,038,712
Central Falls	21,220	289,687	76,209	720,553	1,107,669
Charlestown	-	-	45,556	254,636	300,193
Coventry	-	-	189,995	1,440,523	1,630,518
Cranston	3,560,464	-	567,847	5,961,643	10,089,953
Cumberland	119	-	242,267	1,358,878	1,601,264
East Greenwich	7,861	-	106,867	665,722	780,449
East Providence	54,586	-	472,150	4,688,640	5,215,376
Exeter	-	-	32,881	497,524	530,405
Foster	476	-	33,174	418,261	451,911
Glocester	-	-	67,171	594,196	661,366
Hopkinton	-	-	28,195	414,835	443,030
Jamestown	-	-	74,753	211,071	285,825
Johnston	-	-	105,464	2,469,123	2,574,587
Lincoln	-	-	176,403	1,424,555	1,600,958
Little Compton	-	-	25,583	142,452	168,036
Middletown	-	-	130,962	534,825	665,787
Narragansett	-	-	120,292	596,941	717,234
Newport	755,574	-	355,526	883,014	1,994,114
New Shoreham	-	-	93,402	45,392	138,794
North Kingstown	6,509	-	236,452	1,376,795	1,619,756
North Providence	458,386	1,021,040	174,633	2,389,427	4,043,486
North Smithfield	50,330	-	57,152	1,034,316	1,141,798
Pawtucket	349,427	1,497,807	415,117	4,919,015	7,181,366
Portsmouth	-	-	100,332	760,216	860,548
Providence	19,679,744	5,294,786	1,224,950	11,491,695	37,691,175
Richmond	-	-	26,120	406,826	432,946
Scituate	-	-	92,783	770,230	863,014
Smithfield	457,696	-	244,438	1,726,880	2,429,014
South Kingstown	139,325	-	199,346	1,061,812	1,400,483
Tiverton	-	-	91,028	689,232	780,260
Warren	-	-	49,036	548,801	597,836
Warwick	1,026,754	-	669,452	6,686,205	8,382,411
Westerly	124,648	-	281,816	1,462,128	1,868,592
West Greenwich	-	-	23,819	290,024	313,843
West Warwick	-	946,360	188,581	1,551,927	2,686,868
Woonsocket	157,271	826,382	207,774	2,657,580	3,849,007
Subtotal	\$27,580,409	\$10,384,458	\$7,698,411	\$66,716,108	\$112,379,380
Statewide Reference Library Resource Grant (Providence)			1,012,378		1,012,378
Library Construction Reimbursement			2,739,488		2,739,488
Motor Vehicle Excise Tax Reimbursement - Fire Districts				\$1,875,837	1,875,837
Total	\$27,580,409	\$10,384,458	\$11,450,277	\$68,591,945	\$118,007,083

Fiscal Year 2010 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2010 Total Shared Taxes State Aid	FY 2010 Total Shared & Appropriated Aid
Barrington	163,557	119,179	282,736	2,106,858
Bristol	218,500	318,548	537,048	1,953,720
Burrillville	153,609	166,966	320,575	2,359,287
Central Falls	184,066	82,663	266,729	1,374,398
Charlestown	76,425	98,886	175,311	475,503
Coventry	327,405	332,829	660,234	2,290,752
Cranston	770,853	1,317,327	2,088,180	12,178,133
Cumberland	309,629	346,547	656,176	2,257,440
East Greenwich	125,913	389,810	515,723	1,296,173
East Providence	473,467	710,794	1,184,261	6,399,637
Exeter	58,785	63,081	121,866	652,271
Foster	41,563	17,846	59,409	511,321
Glocester	96,740	57,561	154,301	815,668
Hopkinton	76,201	39,880	116,081	559,111
Jamestown	54,671	65,241	119,912	405,737
Johnston	274,183	406,973	681,156	3,255,744
Lincoln	203,223	585,041	788,264	2,389,222
Little Compton	34,940	27,577	62,517	230,553
Middletown	168,565	566,748	735,313	1,401,101
Narragansett	159,103	473,967	633,070	1,350,304
Newport	257,457	1,552,832	1,810,289	3,804,403
New Shoreham	9,822	208,610	218,432	357,226
North Kingstown	256,008	430,806	686,814	2,306,570
North Providence	315,181	365,348	680,529	4,724,016
North Smithfield	103,255	155,376	258,631	1,400,429
Pawtucket	709,481	640,642	1,350,123	8,531,489
Portsmouth	166,766	159,493	326,259	1,186,807
Providence	1,688,352	3,912,275	5,600,627	43,291,803
Richmond	70,230	101,458	171,688	604,634
Scituate	100,396	55,036	155,432	1,018,445
Smithfield	200,452	480,155	680,607	3,109,621
South Kingstown	271,518	516,680	788,198	2,188,681
Tiverton	148,396	146,356	294,752	1,075,013
Warren	110,471	205,217	315,688	913,524
Warwick	834,442	2,199,207	3,033,649	11,416,060
Westerly	223,333	589,951	813,284	2,681,876
West Greenwich	49,449	79,531	128,980	442,823
West Warwick	287,661	330,731	618,392	3,305,260
Woonsocket	420,333	491,063	911,396	4,760,403
Subtotal	\$10,194,401	\$18,808,231	\$29,002,632	\$141,382,012
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,739,488
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total				\$147,009,715

Changes in Formula Aid - FY 2010 Revised vs. FY 2010 Enacted

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement	Total Appropriated Difference
Barrington	58	-	-	(1,528,894)	(1,528,836)
Bristol	(23,989)	-	-	(786,010)	(809,999)
Burrillville	84	-	-	(1,438,396)	(1,438,312)
Central Falls	25	-	-	(757,505)	(757,480)
Charlestown	-	-	-	(267,695)	(267,695)
Coventry	-	-	-	(1,514,397)	(1,514,397)
Cranston	(4,085)	-	-	(6,267,367)	(6,271,452)
Cumberland	-	-	-	(1,428,563)	(1,428,563)
East Greenwich	9	-	-	(699,861)	(699,852)
East Providence	(4,924)	-	-	(1,506,581)	(1,511,505)
Exeter	-	-	-	(523,038)	(523,038)
Foster	-	-	-	(439,710)	(439,710)
Glocester	-	-	-	(624,667)	(624,667)
Hopkinton	-	-	-	(436,108)	(436,108)
Jamestown	-	-	-	(221,896)	(221,896)
Johnston	-	-	-	(2,595,745)	(2,595,745)
Lincoln	-	-	-	(1,497,610)	(1,497,610)
Little Compton	-	-	-	(149,758)	(149,758)
Middletown	-	-	-	(562,253)	(562,253)
Narragansett	-	-	-	(627,554)	(627,554)
Newport	907	-	-	(928,296)	(927,389)
New Shoreham	-	-	-	(47,720)	(47,720)
North Kingstown	10	-	-	(1,447,399)	(1,447,389)
North Providence	550	-	-	(2,511,962)	(2,511,412)
North Smithfield	60	-	-	(1,087,359)	(1,087,299)
Pawtucket	419	-	-	(5,171,273)	(5,170,854)
Portsmouth	-	-	-	(799,202)	(799,202)
Providence	28,596	-	-	(12,081,013)	(12,052,417)
Richmond	-	-	-	(427,688)	(427,688)
Scituate	-	-	-	(809,730)	(809,730)
Smithfield	549	-	-	(1,815,438)	(1,814,889)
South Kingstown	167	-	-	(1,116,263)	(1,116,096)
Tiverton	-	-	-	(724,577)	(724,577)
Warren	-	-	-	(576,944)	(576,944)
Warwick	1,227	-	-	(7,029,088)	(7,027,861)
Westerly	149	-	-	(1,537,109)	(1,536,960)
West Greenwich	-	-	-	(304,897)	(304,897)
West Warwick	-	-	-	(1,631,513)	(1,631,513)
Woonsocket	188	-	-	(2,793,866)	(2,793,678)
Subtotal	-	-	-	(\$66,714,943)	(\$66,714,943)
Statewide Reference Library Resource Grant (Providence)			-	-	-
Library Construction Reimbursement			(105,059)	-	(105,059)
Motor Vehicle Excise Tax Reimbursement - Fire Districts			-	-	-
Total	-	-	(\$105,059)	(\$66,714,943)	(\$66,820,002)

Changes in Pass Through and All Aid - FY 2010 Rev vs. FY 2010 Enacted

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	Total Pass Through State Aid Difference	Total All State Aid Difference
Barrington	-	-	-	(1,528,836)
Bristol	-	-	-	(809,999)
Burrillville	-	-	-	(1,438,312)
Central Falls	-	-	-	(757,480)
Charlestown	-	-	-	(267,695)
Coventry	-	-	-	(1,514,397)
Cranston	-	-	-	(6,271,452)
Cumberland	-	-	-	(1,428,563)
East Greenwich	-	-	-	(699,852)
East Providence	-	-	-	(1,511,505)
Exeter	-	-	-	(523,038)
Foster	-	-	-	(439,710)
Glocester	-	-	-	(624,667)
Hopkinton	-	-	-	(436,108)
Jamestown	-	-	-	(221,896)
Johnston	-	-	-	(2,595,745)
Lincoln	-	-	-	(1,497,610)
Little Compton	-	-	-	(149,758)
Middletown	-	-	-	(562,253)
Narragansett	-	-	-	(627,554)
Newport	-	-	-	(927,389)
New Shoreham	-	-	-	(47,720)
North Kingstown	-	-	-	(1,447,389)
North Providence	-	-	-	(2,511,412)
North Smithfield	-	-	-	(1,087,299)
Pawtucket	-	-	-	(5,170,854)
Portsmouth	-	-	-	(799,202)
Providence	-	-	-	(12,052,417)
Richmond	-	-	-	(427,688)
Scituate	-	-	-	(809,730)
Smithfield	-	-	-	(1,814,889)
South Kingstown	-	-	-	(1,116,096)
Tiverton	-	-	-	(724,577)
Warren	-	-	-	(576,944)
Warwick	-	-	-	(7,027,861)
Westerly	-	-	-	(1,536,960)
West Greenwich	-	-	-	(304,897)
West Warwick	-	-	-	(1,631,513)
Woonsocket	-	-	-	(2,793,678)
Subtotal	-	-	-	(\$66,714,943)
Statewide Reference Library Resource Grant (Providence)				-
Library Construction Reimbursement				(105,059)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
Total		-	-	(\$66,820,002)

Fiscal Year 2011 State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2011 Total Appropriated State Aid
Barrington	85,188	-	323,140	-	408,328
Bristol	579,478	-	106,449	-	685,927
Burrillville	66,485	487,620	124,196	-	678,301
Central Falls	19,133	267,573	76,712	-	363,418
Charlestown	-	-	46,444	-	46,444
Coventry	-	-	198,364	-	198,364
Cranston	4,234,275	-	564,855	-	4,799,130
Cumberland	109	-	242,455	-	242,564
East Greenwich	7,589	-	107,800	-	115,389
East Providence	91,068	757,605	454,240	-	1,302,913
Exeter	-	-	32,685	-	32,685
Foster	417	-	34,283	-	34,700
Glocester	-	-	68,546	-	68,546
Hopkinton	-	-	28,903	-	28,903
Jamestown	-	-	76,368	-	76,368
Johnston	-	-	96,481	-	96,481
Lincoln	-	-	179,391	-	179,391
Little Compton	-	-	26,702	-	26,702
Middletown	-	-	123,398	-	123,398
Narragansett	-	-	113,169	-	113,169
Newport	832,133	-	364,548	-	1,196,681
New Shoreham	-	-	94,072	-	94,072
North Kingstown	5,795	-	246,625	-	252,420
North Providence	455,764	510,516	154,613	-	1,120,893
North Smithfield	-	-	61,584	-	61,584
Pawtucket	376,910	1,517,555	398,156	-	2,292,621
Portsmouth	-	-	99,917	-	99,917
Providence	19,072,758	5,111,263	1,201,916	-	25,385,937
Richmond	-	-	21,874	-	21,874
Scituate	-	-	92,532	-	92,532
Smithfield	428,500	-	254,642	-	683,142
South Kingstown	124,067	-	205,999	-	330,066
Tiverton	-	-	90,485	-	90,485
Warren	-	-	51,162	-	51,162
Warwick	956,335	-	657,409	-	1,613,744
Westerly	109,895	-	287,122	-	397,017
West Greenwich	-	-	24,649	-	24,649
West Warwick	-	925,685	171,415	-	1,097,100
Woonsocket	134,510	806,641	195,110	-	1,136,261
Subtotal	\$27,580,409	\$10,384,458	\$7,698,411	-	\$45,663,278
Statewide Reference Library Resource Grant (Providence)			1,012,378		1,012,378
Library Construction Reimbursement			2,492,974		2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-	-
Total	\$27,580,409	\$10,384,458	\$11,203,763	-	\$49,168,630

* For FY 2010, the Distressed Communities Relief Fund includes \$10.0 million in federal stabilization funding.

Fiscal Year 2011 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2011 Total Shared Taxes State Aid	FY 2011 Total Shared & Appropriated Aid
Barrington	163,557	119,179	282,736	691,064
Bristol	218,500	318,548	537,048	1,222,975
Burrillville	153,609	166,966	320,575	998,876
Central Falls	184,066	82,663	266,729	630,147
Charlestown	76,425	98,886	175,311	221,755
Coventry	327,405	332,829	660,234	858,598
Cranston	770,853	1,317,327	2,088,180	6,887,310
Cumberland	309,629	346,547	656,176	898,740
East Greenwich	125,913	389,810	515,723	631,112
East Providence	473,467	710,794	1,184,261	2,487,174
Exeter	58,785	63,081	121,866	154,551
Foster	41,563	17,846	59,409	94,109
Glocester	96,740	57,561	154,301	222,847
Hopkinton	76,201	39,880	116,081	144,984
Jamestown	54,671	65,241	119,912	196,280
Johnston	274,183	406,973	681,156	777,637
Lincoln	203,223	585,041	788,264	967,655
Little Compton	34,940	27,577	62,517	89,219
Middletown	168,565	566,748	735,313	858,711
Narragansett	159,103	473,967	633,070	746,239
Newport	257,457	1,552,832	1,810,289	3,006,970
New Shoreham	9,822	208,610	218,432	312,504
North Kingstown	256,008	430,806	686,814	939,234
North Providence	315,181	365,348	680,529	1,801,422
North Smithfield	103,255	155,376	258,631	320,215
Pawtucket	709,481	640,642	1,350,123	3,642,744
Portsmouth	166,766	159,493	326,259	426,176
Providence	1,688,352	3,912,275	5,600,627	30,986,564
Richmond	70,230	101,458	171,688	193,562
Scituate	100,396	55,036	155,432	247,964
Smithfield	200,452	480,155	680,607	1,363,749
South Kingstown	271,518	516,680	788,198	1,118,264
Tiverton	148,396	146,356	294,752	385,237
Warren	110,471	205,217	315,688	366,850
Warwick	834,442	2,199,207	3,033,649	4,647,393
Westerly	223,333	589,951	813,284	1,210,301
West Greenwich	49,449	79,531	128,980	153,629
West Warwick	287,661	330,731	618,392	1,715,492
Woonsocket	420,333	491,063	911,396	2,047,657
Subtotal	\$10,194,401	\$18,808,231	\$29,002,632	\$74,665,910
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
Total				\$78,171,262

Changes in Formula Aid - FY 2011 vs. FY 2010 Revised

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement	Total Appropriated Difference
Barrington	36,456	-	2,063	(1,454,314)	(1,415,795)
Bristol	(31,000)	-	47,924	(747,669)	(730,745)
Burrillville	(4,324)	(20,772)	32,915	(1,368,230)	(1,360,410)
Central Falls	(2,087)	(22,114)	503	(720,553)	(744,252)
Charlestown	-	-	888	(254,636)	(253,749)
Coventry	-	-	8,369	(1,440,523)	(1,432,154)
Cranston	673,811	-	(2,992)	(5,961,643)	(5,290,823)
Cumberland	(10)	-	188	(1,358,878)	(1,358,700)
East Greenwich	(272)	-	933	(665,722)	(665,060)
East Providence	36,482	757,605	(17,910)	(4,688,640)	(3,912,463)
Exeter	-	-	(196)	(497,524)	(497,720)
Foster	(59)	-	1,109	(418,261)	(417,211)
Glocester	-	-	1,375	(594,196)	(592,820)
Hopkinton	-	-	708	(414,835)	(414,127)
Jamestown	-	-	1,615	(211,071)	(209,457)
Johnston	-	-	(8,983)	(2,469,123)	(2,478,106)
Lincoln	-	-	2,988	(1,424,555)	(1,421,567)
Little Compton	-	-	1,119	(142,452)	(141,334)
Middletown	-	-	(7,564)	(534,825)	(542,389)
Narragansett	-	-	(7,123)	(596,941)	(604,065)
Newport	76,559	-	9,022	(883,014)	(797,433)
New Shoreham	-	-	670	(45,392)	(44,722)
North Kingstown	(714)	-	10,173	(1,376,795)	(1,367,336)
North Providence	(2,622)	(510,524)	(20,020)	(2,389,427)	(2,922,593)
North Smithfield	(50,330)	-	4,432	(1,034,316)	(1,080,214)
Pawtucket	27,483	19,748	(16,961)	(4,919,015)	(4,888,745)
Portsmouth	-	-	(415)	(760,216)	(760,631)
Providence	(606,986)	(183,523)	(23,034)	(11,491,695)	(12,305,238)
Richmond	-	-	(4,246)	(406,826)	(411,072)
Scituate	-	-	(251)	(770,230)	(770,482)
Smithfield	(29,196)	-	10,204	(1,726,880)	(1,745,872)
South Kingstown	(15,258)	-	6,653	(1,061,812)	(1,070,417)
Tiverton	-	-	(543)	(689,232)	(689,775)
Warren	-	-	2,126	(548,801)	(546,674)
Warwick	(70,419)	-	(12,043)	(6,686,205)	(6,768,667)
Westerly	(14,753)	-	5,306	(1,462,128)	(1,471,575)
West Greenwich	-	-	830	(290,024)	(289,194)
West Warwick	-	(20,675)	(17,166)	(1,551,927)	(1,589,768)
Woonsocket	(22,761)	(19,741)	(12,664)	(2,657,580)	(2,712,746)
Subtotal	-	-	-	(66,716,108)	(\$66,716,102)
Statewide Reference Library Resource Grant (Providence)			-	-	-
Library Construction Reimbursement			(246,514)	-	(246,514)
Motor Vehicle Excise Tax Reimbursement - Fire Districts			-	(1,875,837)	(1,875,837)
Total	-	-	(\$246,514)	(68,591,945)	(\$68,838,453)

Changes in Pass Through and All Aid - FY 2011 vs. FY 2010 Revised

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	Total Pass Through State Aid Difference	Total All State Aid Difference
Barrington	-	-	-	(1,415,795)
Bristol	-	-	-	(730,745)
Burrillville	-	-	-	(1,360,410)
Central Falls	-	-	-	(744,252)
Charlestown	-	-	-	(253,749)
Coventry	-	-	-	(1,432,154)
Cranston	-	-	-	(5,290,823)
Cumberland	-	-	-	(1,358,700)
East Greenwich	-	-	-	(665,060)
East Providence	-	-	-	(3,912,463)
Exeter	-	-	-	(497,720)
Foster	-	-	-	(417,211)
Glocester	-	-	-	(592,820)
Hopkinton	-	-	-	(414,127)
Jamestown	-	-	-	(209,457)
Johnston	-	-	-	(2,478,106)
Lincoln	-	-	-	(1,421,567)
Little Compton	-	-	-	(141,334)
Middletown	-	-	-	(542,389)
Narragansett	-	-	-	(604,065)
Newport	-	-	-	(797,433)
New Shoreham	-	-	-	(44,722)
North Kingstown	-	-	-	(1,367,336)
North Providence	-	-	-	(2,922,593)
North Smithfield	-	-	-	(1,080,214)
Pawtucket	-	-	-	(4,888,745)
Portsmouth	-	-	-	(760,631)
Providence	-	-	-	(12,305,238)
Richmond	-	-	-	(411,072)
Scituate	-	-	-	(770,482)
Smithfield	-	-	-	(1,745,872)
South Kingstown	-	-	-	(1,070,417)
Tiverton	-	-	-	(689,775)
Warren	-	-	-	(546,674)
Warwick	-	-	-	(6,768,667)
Westerly	-	-	-	(1,471,575)
West Greenwich	-	-	-	(289,194)
West Warwick	-	-	-	(1,589,768)
Woonsocket	-	-	-	(2,712,746)
Subtotal	-	-	-	(\$66,716,102)
Statewide Reference Library Resource Grant (Providence)				-
Library Construction Reimbursement				(246,514)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
Total		-	-	(\$66,962,616)

Education Aid to Local Governments

Education Aid to Local Governments totals \$808.1 million in FY 2011, a \$32.8 million increase in total state funding relative to the FY 2010 revised budget, a 4.2 percent increase. Total Education Aid is defined to include local public schools, the School for the Deaf, Davies Career and Technical School, the Charter Schools, the Central Falls School District and the Metropolitan Career and Technical School, as well as support for teacher retirement and school housing aid. The following sections summarize changes to the various aid categories that comprise total FY 2011 Education Aid.

Distributed Aid

Distributed aid in 2011 increases \$7.2 million from the revised 2010 budget. A total of \$11.3 million in general revenue funding is restored to offset smaller State Fiscal Stabilization funding in 2011 and there is a reduction of \$705,000 in Group Home aid to reflect updated group home bed census figures. In both years general aid is reduced by the amount of local savings from pension reform: reductions of \$18.3 million in 2010 and \$19.1 million in 2011. In both years general aid is reduced by an across-the-board reduction totaling \$18.9 million in 2010 and \$25.1 million in 2011.

State Schools

The State Schools- Davies Career and Technical School, Metropolitan Career and Technical School, and the Rhode Island School for the Deaf are collectively financed at \$33.0 million in general revenue, which constitutes a \$2.0 million increase from the revised FY 2010 Budget. The expansion of the Metropolitan School - East Bay campus on Aquidneck Island is again delayed one year. This campus commenced operations during the 2006-2007 school year and maintains a current enrollment of approximately 90 students. A total of \$490,144 in general revenue funding is restored to offset smaller State Fiscal Stabilization funding in 2011.

Non-Distributed Aid

Direct charter school aid increases by \$6.8 million for growth in enrollment and grade levels and by \$1.0 million for new charter options. A total of \$445,942 in general revenue funding is restored to offset smaller State Fiscal Stabilization funding in 2011. In both years general aid is reduced by the amount of local savings from pension reform: reductions of \$190,130 in 2010 and \$198,686 in 2011. In both years general aid is reduced by an across-the-board reduction totaling \$1.0 million in 2010 and \$1.3 million in 2011.

In both FY 2010 and FY 2011, general revenue funding for Telecommunications Access is eliminated, to be replaced with broadening the fee currently collected to include wireless phones as well. In FY 2011 the on-site visit program is consolidated into the ACES (non-education aid) program.

Other Aid

The FY 2011 budget also includes increases in other aid of \$16.3 million; a \$13.4 million increase for school housing aid reimbursements and a \$2.9 million increase in state contributions for teachers' retirement.

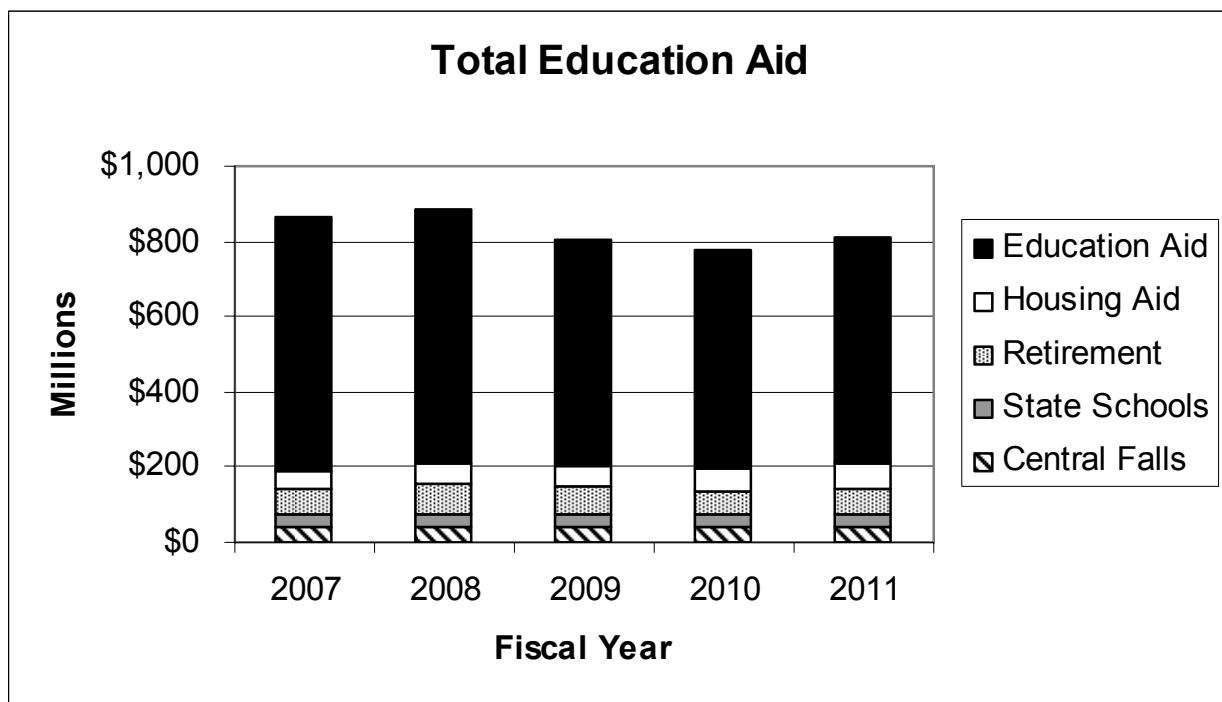
FY 2011 Education Aid Increases (Decreases) From the Revised FY 2010 Budget

- General aid: \$7,405,762
- Group Home Funding: (\$705,000)
- Central Falls School District: \$510,524
- On-Site Visits: (\$145,864)

Education Aid to Local Governments

- Textbook expansion: \$6,139
- Direct Charter School Aid: \$7,661,544
- Progressive Support and Intervention: (\$192,175)
- Metropolitan Career and Technical School: \$757,781
- School for the Deaf: \$359,700
- Davies Career and Technical School: \$847,062
- Teachers' Retirement: \$2,877,863
- School Housing Aid: \$13,418,831

The following graph displays total school aid from FY 2007 to FY 2011. The "Education Aid" component is comprised of all aid categories under the standard Education Aid program. This includes, but is not limited to, General Aid, Targeted Aid, Charter School Aid, Progressive Support and Intervention, and the Student Investment Initiatives. Other components of total aid include: State Contributions for Teachers' Retirement; School Housing Aid; Central Falls School District; and State Schools (Davies, Deaf, and the Metropolitan School).



Housing Aid

The School Housing Aid Program reimburses a community for eligible construction expenditures beginning in the fiscal year after the project is completed. The reimbursement rate is based on the cost of the project over the life of the bonds issued for the project. The Commissioner and the Board of Regents review each local community's request for reimbursement through the program. Pursuant to recent legislation, the Department of Education and the Board of Regents promulgated new regulations governing both this process as well as the oversight of all projects eligible for School Housing Aid. In support of this initiative, the Governor recommended and the Generally Assembly approved the addition of three FTE to the Department of Elementary and Secondary Education in FY 2008.

Education Aid to Local Governments

It should be noted that although the reimbursement reference for completed projects is one year, there is a two-year reference for formula factors. For example, FY 2010 allocations were based on 2008 wealth and enrollment levels. The housing aid share ratio calculation is based on a district's income adjusted per-pupil property wealth compared to aggregate state per-pupil property wealth. The average state housing aid share ratio is thirty-eight percent, adjusted to ensure a minimum of thirty percent in each community. A four percent bonus is awarded when a minimum of seventy-five percent of a project's cost is for energy conservation, asbestos removal, or handicapped access. Regional districts receive a two percent bonus for each regionalized grade for new construction projects, and an additional four percent bonus for renovation projects.

Reimbursement is based on total expended project cost, plus related bond interest cost, not on the amount of the original bond issuance. For example, if a community issued \$6.5 million for ten years for a capital improvement to a school, but only spent \$6.0 million, the department would reimburse the community for the State's appropriate share of the \$6.0 million spent on the completed project, plus the bond interest payments over a ten year period. This statute was amended to include the use of lease revenue bonds, financial leases, capital reserve funding, and similar financial instruments to finance school construction. Communities used this amendment to receive project reimbursements commencing in FY 1999. The FY 2004 Appropriation Act amended the housing aid statute to require that all future school construction debt be issued through the Rhode Island Health and Education Building Corporation.

The revised FY 2010 and FY 2011 budgets propose general revenue expenditures of \$58.4 million and \$71.8 million, respectively, for the School Housing Aid program.

Teachers' Retirement

RIGL §16-16-22 requires the State to make contributions to the teacher retirement system in Rhode Island. The State shall contribute a percentage of the employer's share, with the school districts contributing the balance. The State's share has varied over the years based upon the total actuarially determined rate of payroll, but since FY 1993 it has been fixed at forty percent, with the municipalities contributing sixty percent. Each district receives the same percentage, regardless of wealth.

The Governor and the General Assembly deferred the State's contributions to Teachers' Retirement in both FY 1991 and FY 1992. Most municipalities also deferred the local portion of teacher retirement contributions for this period. The state deferrals, valued at \$22.4 million in FY 1991 and \$22.2 million in FY 1992, will be financed over twenty years, as will the local deferral. The annual calculation applied to the state contribution to Teachers' Retirement includes an adjustment to accommodate the deferral liability.

Furthermore, the municipalities of Burrillville, East Greenwich, Little Compton, New Shoreham and North Smithfield did not participate in the 1990 early retirement window for teachers and therefore contribute a slightly smaller percentage of teachers' salaries.

Education Aid to Local Governments

Contribution Rates for Teachers' Retirement Fund

	Actuarial Contribution Rate of Payroll	Employer Share			Teacher Share
		Local (60%)*	State (40%)*	Sub Total	
1999	21.02%	6.62%	4.90%	11.52%	9.5%
2000	24.14%	8.43%	6.21%	14.64%	9.5%
2001	21.51%	6.86%	5.15%	12.01%	9.5%
2002	19.45%	5.73%	4.22%	9.95%	9.5%
2003	21.47%	6.93%	5.04%	11.97%	9.5%
2004	23.22%	7.99%	5.73%	13.72%	9.5%
2005	24.34%	8.72%	6.12%	14.84%	9.5%
2006	25.97%	9.72%	6.75%	16.47%	9.5%
2007	29.14%	11.62%	8.02%	19.64%	9.5%
2008	31.51%	13.04%	8.97%	22.01%	9.5%
2009	29.57%	11.89%	8.18%	20.07%	9.5%
2010	29.57%	11.89%	8.18%	20.07%	9.5%
2011	29.57%	11.89%	8.18%	20.07%	9.5%

With Pension Reform

2010	26.26%	9.90%	6.86%	16.76%	9.5%
2011	26.26%	9.90%	6.86%	16.76%	9.5%

* Adjusted for deferral liability

The following table displays the state contributions to Teacher Retirement since FY 1999. The State Retirement Board uses the districts' retirement contribution data to calculate the state obligation each month, and contributions are accrued to the appropriate fiscal period.

State Contributions for Teacher Retirement

<u>Fiscal Year</u>	<u>State Share</u>
1999 Actual	\$30,202,943
2000 Actual	\$40,719,407
2001 Actual	\$35,365,234
2002 Actual	\$30,652,207
2003 Actual	\$38,242,690
2004 Actual	\$45,039,269
2005 Actual	\$48,503,125
2006 Actual	\$54,537,733
2007 Actual	\$70,286,753
2008 Actual	\$83,028,510
2009 Unaudited	\$73,299,378
2010 Revised	\$63,952,515
2011 Recommended	\$66,830,378

The FY 2010 revised and FY 2011 recommendations reflects full state appropriations for the actuarial determined contribution rate, assuming that H-7105 Pension Reform passes. If it does not pass, the recommended funding levels would be less than the amounts originally certified by the actuary and approved by the Retirement Board but reflects an upward revision from enacted appropriations to reflect an adjusted payroll base

Education Aid to Local Governments

Explanation of Tables- by Category and LEA

The following two tables display education aid first by category, and then by apportionment among the state's local and regional education agencies (LEAs). "Distributed LEA Aid" consists of the various categories of aid that are directly distributed to school districts on a regular (usually monthly) basis, such as General Aid, Targeted Aid, and funds for Student Equity. For completeness, financing of the Central Falls School District (plus an allocation for indirect Charter School Aid on the LEA table only) is also displayed in this section. "State Schools" include the Metropolitan School, Davies, and School for the Deaf. "Non-Distributed Aid" includes several categories of aid that are either utilized at the departmental level or are not distributed regularly to school districts. "Other Aid" consists of allocations for School Housing Aid and state contributions to the Teachers' Retirement Fund. For the purposes of these tables, direct aid to charter schools is categorized as non-distributed.

Education Aid by Category of Aid

Category of Education Aid	FY 2007 Actual	FY 2008 Actual	FY 2009 Unaudited	FY 2010 Revised	FY 2011 Recommend
Distributed LEA Aid					
General Aid	\$488,592,367	\$488,592,371	\$422,064,024	\$395,405,634	\$402,811,396
Student Technology	3,397,691	3,397,692	3,341,315	3,397,692	3,397,692
Student Equity *	73,800,000	73,800,000	73,423,792	73,800,000	73,800,000
Early Childhood *	6,799,996	6,799,997	6,692,034	6,800,000	6,800,000
Student Language Assistance	31,715,459	31,715,459	31,709,540	31,715,459	31,715,459
Professional Development	5,825,501	5,825,502	-	-	-
Targeted Aid	20,000,001	20,000,000	20,000,000	20,000,000	20,000,000
Charter School-Indirect Aid	1,242,006	1,242,006	1,242,006	1,242,006	1,242,006
Full Day Kindergarten	4,163,000	4,163,000	4,117,081	4,163,000	4,163,000
Vocational Equity	1,512,500	1,512,500	1,496,808	1,512,500	1,512,500
Group Home Funding	9,905,000	10,416,000	9,846,075	9,561,000	8,856,000
Central Falls School District	43,795,409	43,416,222	40,805,462	40,408,368	40,918,792
Subtotal	\$690,748,930	\$690,880,749	\$614,738,137	\$588,005,659	\$595,216,845
Non-Distributed Aid					
On-Site Visits	\$407,774	\$396,922	\$115,611	\$145,864	-
Textbook Expansion	313,488	327,934	316,676	233,861	240,000
Hasbro Children's Hospital	100,000	100,000	100,000	-	-
School Breakfast	600,000	600,000	300,000	300,000	300,000
Professional Development	670,000	534,446	493,474	-	-
Charter School-Direct Aid	24,339,888	26,844,841	28,772,645	30,392,016	38,053,560
Progressive Support & Intervention	2,911,164	2,761,130	2,365,100	2,879,734	2,687,559
Speech Pathologist Salary Supplement	304,500	-	-	-	-
Telecommunications Access	277,965	277,965	-	-	-
Subtotal	\$29,924,779	\$31,843,238	\$32,463,506	\$33,951,475	\$41,281,119
State Schools					
Metropolitan School	\$10,406,952	\$11,487,732	\$11,565,600	\$11,860,572	\$12,618,353
School for the Deaf	6,422,553	6,551,039	5,945,666	5,685,802	6,045,502
Davies School	13,599,431	14,243,480	14,211,424	13,504,484	14,351,546
Subtotal	\$30,428,936	\$32,282,251	\$31,722,690	\$31,050,858	\$33,015,401
Other Aid					
Teachers' Retirement	\$70,286,753	\$83,028,510	\$73,299,378	\$63,952,515	\$66,830,378
School Housing Aid	46,814,982	49,652,310	54,140,052	58,355,896	71,774,727
Subtotal	\$117,101,735	\$132,680,820	\$127,439,430	\$122,308,411	\$138,605,105
Total Aid	\$868,204,380	\$887,687,058	\$806,363,763	\$775,316,403	\$808,118,470

* The Student Equity Fund and the Early Childhood Fund both have required set asides for Literacy of three percent.

Education Aid to Local Units of Government

	FY 2007 Actual	FY 2008 Actual	FY 2009 Unaudited	FY 2010 Revised	FY 2011 Recommend
Distributed LEA Aid					
Barrington	\$2,599,526	\$2,599,526	\$1,593,304	\$1,364,122	\$1,382,853
Burrillville	13,854,743	13,854,743	12,585,902	12,209,624	12,370,033
Charlestown	2,002,838	2,002,838	1,383,140	1,570,740	1,590,298
Coventry	20,075,081	20,075,081	17,533,300	16,664,620	16,888,942
Cranston	35,580,911	35,580,911	30,795,673	29,117,509	29,512,187
Cumberland	13,257,009	13,257,009	11,420,258	10,876,120	11,023,547
East Greenwich	1,949,761	1,949,761	1,161,275	1,117,609	1,025,209
East Providence	26,762,254	26,888,254	24,035,893	22,919,707	23,110,281
Foster	1,416,463	1,416,463	1,255,877	1,198,279	1,214,447
Glocester	3,213,847	3,213,847	2,869,463	2,738,669	2,775,776
Hopkinton	6,241,352	6,241,352	5,087,597	5,256,848	5,328,279
Jamestown	531,908	531,908	346,587	314,678	319,163
Johnston	10,915,364	10,915,364	9,303,192	8,803,524	8,922,125
Lincoln	7,403,268	7,403,268	6,074,101	5,638,678	5,714,364
Little Compton	368,810	368,810	247,714	240,885	243,944
Middletown	10,497,116	10,497,116	9,285,331	8,824,045	8,941,414
Narragansett	1,897,159	1,897,159	1,319,879	1,169,339	1,185,084
Newport	11,871,080	11,871,080	10,591,134	10,041,256	10,178,723
New Shoreham	106,345	106,345	36,668	27,298	27,381
North Kingstown	11,986,005	11,986,005	10,248,855	9,702,979	9,833,815
North Providence	13,262,872	13,382,872	11,712,879	11,135,656	11,284,019
North Smithfield	4,834,237	4,834,237	4,104,570	3,967,671	4,019,856
Pawtucket	67,023,559	67,023,559	61,245,410	58,870,629	59,663,211
Portsmouth	6,480,042	6,700,042	5,666,900	5,414,104	5,485,149
Providence	194,109,744	194,109,752	175,986,255	168,783,146	170,927,371
Richmond	6,188,615	6,188,615	5,087,547	5,233,458	5,304,892
Scituate	3,407,183	3,407,183	2,800,441	2,613,860	2,649,679
Smithfield	5,743,568	5,743,568	4,766,755	4,480,671	4,466,202
South Kingstown	10,548,698	10,548,698	8,857,916	8,313,355	8,425,386
Tiverton	5,932,058	5,932,058	5,083,992	4,820,549	4,885,575
Warwick	37,626,000	37,626,000	32,427,446	30,619,554	31,032,344
Westerly	6,843,077	6,843,077	5,468,551	5,053,977	5,122,572
West Warwick	20,440,547	20,440,547	18,356,525	17,551,591	17,787,393
Woonsocket	47,616,613	47,661,613	43,506,928	41,765,456	42,207,361
Bristol/Warren	20,498,190	20,498,190	18,438,436	17,625,285	17,862,942
Exeter/W Greenwich	7,661,019	7,661,019	6,609,853	6,274,706	6,238,937
Chariho District	398,334	398,334	1,505,276	364,750	369,639
Foster/Glocester	5,729,861	5,729,861	5,053,390	4,833,882	4,899,198
Central Falls	43,873,873	43,494,684	40,883,924	40,486,830	40,997,254
LEA Subtotal	\$690,748,930	\$690,880,749	\$614,738,137	\$588,005,659	\$595,216,845

Education Aid to Local Units of Government

	FY 2007 Actual	FY 2008 Actual	FY 2009 Unaudited	FY 2010 Revised	FY 2011 Recommend
Non-Distributed Aid					
On-Site Visits	\$407,774	\$396,922	\$115,611	\$145,864	-
Textbook Expansion	313,488	327,934	316,676	233,861	240,000
Hasbro Children's Hospital	100,000	100,000	100,000	-	-
School Breakfast	600,000	600,000	300,000	300,000	300,000
Professional Development	670,000	534,446	493,474	-	-
Charter School-Direct Aid	24,339,888	26,844,841	28,772,645	30,392,016	38,053,560
Progressive Support & Intervention	2,911,164	2,761,130	2,365,100	2,879,734	2,687,559
Speech Pathologist Salary Supplemen	304,500	-	-	-	-
Telecommunications Access	277,965	277,965	-	-	-
Subtotal	\$29,924,779	\$31,843,238	\$32,463,506	\$33,951,475	\$41,281,119
State Schools					
Metropolitan School	\$10,406,952	\$11,487,732	\$11,565,600	\$11,860,572	\$12,618,353
School for the Deaf	6,422,553	6,551,039	5,945,666	5,685,802	6,045,502
Davies School	13,599,431	14,243,480	14,211,424	13,504,484	14,351,546
Subtotal	\$30,428,936	\$32,282,251	\$31,722,690	\$31,050,858	\$33,015,401
Other Aid					
Teachers' Retirement	\$70,286,753	\$83,028,510	\$73,299,378	\$63,952,515	\$66,830,378
School Housing Aid	46,814,982	49,652,310	54,140,052	58,355,896	71,774,727
Subtotal	\$117,101,735	\$132,680,820	\$127,439,430	\$122,308,411	\$138,605,105
LEA Subtotal	\$690,748,930	\$690,880,749	\$614,738,137	\$588,005,659	\$595,216,845
Total Aid	\$868,204,380	\$887,687,058	\$806,363,763	\$775,316,403	\$808,118,470

Internal Service Fund Accounts

Internal Service Fund accounts are established to finance and account for the operations of certain state programs that provide services to other state agencies, institutions and other governmental units on a cost reimbursement basis. The purpose of such accounts is to ensure that the activities of the respective programs are managed in a businesslike manner. They are intended to promote efficient use of services by making agencies pay the full costs associated with providing the service and allocate the costs of central administrative functions across all fund types, particularly so that federal and other non-general revenue funded programs share in the costs.

Each Internal Service Fund develops its own billing structure, which is reviewed on an annual basis prior to the start of each fiscal year. In most cases, direct charges associated with a service being provided to a user agency are billed to that agency, along with an overhead charge for the administrative costs of operating the respective fund.

On the following pages are descriptions of each of the Internal Service Fund accounts requested as part of the FY 2011 Budget, with explanations of their purpose and the rationale for utilizing this structure for funding the respective program.

Department of Administration

Internal Service Fund: Health Insurance Fund

Description:

This Health Insurance Fund is used to account for the cost of health insurance coverage for employees and retirees. Historically, the state has accounted for employee health insurance costs in a series of clearing accounts related to payroll, i.e., state and employee (if any) contributions would be posted as additions to a clearing account and payments to health insurance companies would be posted as deductions. However, sometimes there wasn't a direct correlation between the "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees and determining the financial position of the state relative to provision of this benefit was time-consuming. Also, any retroactive settlements with health insurance companies required the direct allocation of the settlement back to several thousand agency budget accounts.

Purpose:

This internal service was created to account for employee and retiree health insurance transactions because the state's financial position associated with providing this employee/retiree benefit will be more readily determinable due to the sometimes indirect correlation between the amount of "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees. Also, any retroactive settlements with health insurance companies can be incorporated into a future rate charged to agency budget accounts and employees.

Efficacy:

This Internal Service Fund is used to account for the cost of health insurance coverage for employees and retirees. The current rationale for utilizing this methodology is to gain greater accounting efficiency by (1) avoiding the prior practice of allocating retroactive settlements among several thousand agency accounts and (2) being able to quickly determine the state financial position associated with providing this benefit to employees and retirees.

Internal Service Fund Accounts

Internal Service Fund: Central Utilities

Description:

The Central Utilities Internal Service Fund is responsible for the administration and fiscal management of electricity services provided to the state.

Purpose:

This unit processes all monthly payments for over 1,600 electric accounts to the state's primary supplier of electricity, National Grid. Expenditures are then billed to the respective agencies based upon the electricity usage determined by the meters assigned to that agency. In addition, this unit's overhead costs are allocated to user agencies based upon the number of meters assigned to the agency.

Efficacy:

Without this Internal Service Fund, each state agency would be independently responsible for paying electric bills for the meters assigned to that agency. This would require an internal distribution of costs to all applicable accounts within the agency, a service that is now provided by the central unit.

Internal Service Fund: Automotive Fleet

Description:

The State Automotive Fleet Internal Service Fund provides for the administration and fiscal management of state-owned vehicles and operates several fuel depots throughout the state

Purpose:

This program oversees the procurement, maintenance and allocation of 2,900 vehicles, including heavy-duty vehicles, boats, trailers, and maintenance equipment. Agencies are billed biweekly for expenses including repairs, fuel, and a surcharge (per gallon) for the operation and maintenance of fuel depots. The administrative costs for the Office of State Fleet Operations (OSFO) are charged to agencies per vehicle on an annual basis. All agencies share these overhead costs in an equitable manner.

Efficacy:

Decentralization of automotive fleet functions would result in duplication of efforts, inconsistent policies and procedures between agencies, loss of control over new vehicle purchases, and the absence of a central comprehensive database, which would make it difficult to meet mandated federal reporting requirements on alternative fuel vehicles. In further efforts to enhance this process, the Department of Administration intends to review the benefits of outsourcing certain fleet operations, primarily maintenance and repairs.

Internal Service Fund: State Fleet Replacement Revolving Loan Fund

Description:

The State Fleet Replacement Revolving Loan Fund will provide loans to state agencies for the acquisition of vehicles and related equipment. These loans will be paid back by the respective agencies over a period of three to seven years depending on the value of the equipment acquired.

Purpose:

This revolving loan fund is intended to replace the use of debt issuances by the state to fund vehicle acquisitions. As funds are repaid annually, they will be available to issue new loans.

Internal Service Fund Accounts

Efficacy:

Use of a revolving loan fund will enable the state to save on interest expense associated with the issuance of debt to acquire vehicles.

Internal Service Fund: Surplus Property**Description:**

This internal service fund oversees the acquisition and distribution of federal surplus property, as well as the storage and disposal of state surplus property.

Purpose:

Section 42-56.2-1 of the RI General Laws requires the appointment of a Federal Surplus Property Officer by the Director of Administration. This position is authorized to acquire from the federal government surplus property that may be of benefit to the state, local governments or non-profit educational or charitable institutions. This position oversees the Surplus Property Internal Service Fund. Although the Fund will acquire federal surplus property on behalf of state agencies or other eligible recipients, they do not bill for this service. The program is not currently generating revenues. It had been charging state agencies for storage space at the Cranston Street Armory, but was required to vacate this facility during its use as a film stage. The program is in the process of developing a web site that can be used to sell surplus property. Any revenues generated from the sale of property would be used to defray the cost of the program, including building maintenance, forklift rental and supplies.

Efficacy:

The staff responsible for overseeing the surplus property program is funded with General Revenues and thus these costs are not allocated to user agencies. As stated above, the only billings made by this internal service fund are currently for space rental at a state warehouse. Without the existence of an internal service funds, these costs could be billed to the user agencies using an alternative accounting method or the costs to operate the warehouse could be fully funded with general revenues, thereby eliminating the need to bill any agency for storage.

Internal Service Fund: Central Mail**Description:**

The Central Mail unit provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Purpose:

Aggregation of mail functions constitutes a major source of cost savings and cost avoidance for government operations statewide. Costs are allocated to agencies via a billing system that applies the internal and external costs to agency budgets.

Efficacy:

This Internal Service Fund is used to allocate the cost of mail processing to various agencies. The current rationale for utilizing this methodology is to gain greater efficiency from the central management of mail processing services.

Internal Service Fund Accounts

Internal Service Fund: Telecommunications/Centrex

Description:

The Telecommunications Internal Service Fund supports all voice and data communications infrastructure throughout state government, as well as the public information operators. Voice services include planning, procurement, provision, installation and maintenance of all voice telephone devices, lines and extensions, billing and vendor liaison. Data services include planning, procurement, provision, installation, addressing, routing and maintenance of all WAN data lines and data connections, access to the Internet, security and filtering, billing, and vendor liaison throughout state government.

Purpose:

This Internal Service Fund, as with the Central Utilities Fund, processes all payments for voice communication services to the providers of these services (primarily Verizon). Agencies are then billed monthly for their usage, as well as an overhead charge allocated based upon the number of phone lines in each agency.

Efficacy:

This Internal Service Fund is used to allocate the cost of telephone services to the various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of direct and indirect telecom costs. Without the existence of this fund, each agency would be responsible for receiving and paying invoices for telecommunication services provided to their agency.

Internal Service Fund: Assessed Fringe Benefit

Description:

The Assessed Fringe Benefit Internal Service Fund makes payments for various employee fringe benefits. The fund generates revenue by assessing a charge against the amount of salaries and wages paid to state employees.

Purpose:

The assessment charged by this fund pays for the following employee fringe benefits: services provided by the Donley Center; services of the Workers' Compensation Court and the Division of Workers' Compensation administrative costs related to workers' compensation activities; payments to Workers' Compensation providers; Workers' Compensation benefit payments to employees; Unemployment Compensation payments; and payments to employees for unused leave upon termination from state service.

Efficacy:

This fund was established in part to eliminate the fluctuations in agency budgets that result from workers' compensation claims, unemployment claims, and termination costs. The use of the statewide assessment also meets federal cost allocation requirements.

Internal Service Fund Accounts

Department of Corrections

Internal Service Fund: Correctional Industries

Description:

Correctional Industries are the work programs in correctional facilities that provide real world work experience to inmates, teaching them transferable job skills and work ethic to help them prepare for post-release reentry and employment.

Purpose:

Correctional Industries employees 218 inmates who manufacture various products or provide services to state agencies, cities and towns and non-profit organizations. This is the only self-funded reentry support program in corrections - no appropriated funds are required for its operation since it relies on revolving funds from the income generated by the sale of products and services produced through the program.

Efficacy:

Because Correctional Industries' customer base not only includes state agencies, but also municipal and non-profit agencies, it is virtually impossible to have any mechanism other than an Internal Services Fund to allow for the continuation of this program.

Internal Service Fund: Corrections Warehouse / Central Distribution Center

Description:

The Central Distribution Center is responsible for the central purchase of food staples, janitorial items, and other supplies for warehousing and distribution/sale to state agencies and state school districts. The unit also administers the U.S. Department of Agriculture food distribution program.

Purpose:

This Internal Service Fund was created in order to provide for the most cost-effective procurement and delivery of goods to other state programs. The Fund also utilizes inmates working under the supervision of warehouse staff in order to increase the capacity to serve various customers and to provide work training experience for inmates.

Efficacy:

The current rationale for this Internal Service Fund is to improve efficiency by providing a centralized mechanism for the purchase and distribution of goods.

Internal Service Fund Accounts

Secretary of State

Internal Service Fund: Record Center

Description:

The State Records Center stores and manages the nonpermanent records of state agencies and funds the Public Records Administration office. This program, a central storage facility for inactive state government records, serves all state agencies.

Purpose:

This Internal Service Fund ensures that state agencies pay only for actual records stored and services received. Most states and the federal government operate their programs through the same or similar means. A Master Price Agreement with Capital Records Management (CRMC) governs overall records storage costs and services. Although CRMC transports and stores the records, state agencies' service requests are channeled through the Public Records Administration office. On a monthly basis, CRMC provides the PRA with a master invoice for all charges to the state, and also individual agency accounts.

Efficacy:

The State Records Center could receive a direct appropriation of funds to cover the entire cost of record storage and other services for all agencies instead of each agency being allotted their own requested amount, but this method would not be as efficient as the current rotary account. A rotary account ensures that agencies pay for actual records stored and services received. A direct appropriation would be an estimate that would have to be revised at the end of the fiscal year.

Department of Public Safety

Internal Service Fund: Capitol Police Rotary

Description:

The Capital Police Rotary account is for reimbursements from other departments within the state that the Capitol Police provides service for.

Purpose:

To supply security services to the Department of Human Services; Department of Children Youth & Families; Division of Motor Vehicles; Operator Control on the Pastore Complex; and the Department of Transportation. Their mission is to protect property and ensure the safety of the employees with those buildings as well as protecting the public that enter these facilities daily to conduct business.

Efficacy:

This Internal Service Fund is used for the personnel funding for 8.0 capitol police officers.

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Definition of Categories of Expenditures

Definition of Categories of Expenditures

Generally, the amounts reflected in the categories of expenditures in the *FY 2010 Executive Summary* and in the *FY 2010 Budget* were derived by identifying amounts spent or budgeted in certain budget object codes. The transition from the Rhode Island State Automated Information Link system (RISAIL) to the Rhode Island Financial Accounting Network System (RIFANS) on July 1, 2006, provided opportunity to classify expenditures in hierarchical order of major categories. Each category is described in the following sections with the associated natural codes listed below.

Salary/Wages and Other Compensation and Employee Benefit Programs:

Includes expenditures for salaries, wages, fringe benefits, and workers' compensation costs.

The personnel category includes all payments for all individuals employed by the state.

RIFANS**Natural**

611000 Regular Wages
611999 Contract Reserve
612000 Seasonal/Special Salaries/Wages
614001 Overtime
614100 Overtime (1.5)
614200 Overtime (2.0)
614300 Overtime (Straight Time)
614400 Holiday Pay
614500 Correctional Officers' Briefing Time
614600 Overtime: Other (i.e. Seasonal)
616001 Stipend Payments
616100 Cash Bonuses for HMO Participation
616200 Family Medical Insurance Coverage Waiver Bonus
616300 Contractual Stipend Payments
616400 Accrued Leave Severance Pay
619000 Payroll and Employee Benefits Accrual
620100 Employees' Retirement - State Contribution
620200 Retirement: State Police Troopers (hired after 7-1
620300 Retirement: Judges (hired after 12-31-89)
620400 Retirement: Teachers
620700 Retirement: Laborers' International Union of North
621110 Social Security (FICA) Old Age, Sickness and Disab
621120 Medicare (FICA) Hospital Insurance Tax
621130 FICA on Severance Pay
621600 Retirement: Federal Retirement System
624110 Employer Cost of Employee Medical Insurance
624120 Employer Cost of Employee Dental Insurance

RIFANS**Natural**

624130 Employer Cost of Employee Vision Insurance
624140 Employer Cost of Employee Prescription Insurance
624210 Medical Care Insurance - Retirees
624230 Vision Care Insurance - Retirees
624300 Health Insurance - Retired Employees (1986 Window)
624400 Insurance Settlement Account
624500 Disability Insurance (TIAA)
624600 Life Insurance
625100 Workers' Compensation Payments - Regular Cases
625200 Workers' Compensation Payments - Assault Cases
625300 Workers' Compensation Payments - Specific Injury
625310 Workers' Compensation Payments - Lump Sum
625320 Injured Workers' Incentive Payments
625400 Workers' Compensation Payments - Weekly Payments
625410 Workers' Compensation Payments - Dependency
625420 Workers' Compensation Payments - Post Maximum
625500 Workers' Compensation Payments - Hospital Charges/
625510 Workers' Compensation Payments - Medicine, Drugs,
625520 Workers' Compensation Payments - Alternative Care
625600 Administrative Costs - Worker's Compensation Divis
625700 Workers' Compensation - Attorney and Witness Fees
625800 Workers' Compensation - Practitioners Charges
626100 Assessed Fringe Benefits Fund Assessment
626200 Unemployment Compensation - State Employees
626300 Retiree Health Insurance
626400 Miscellaneous Benefits
627000 Uncompensated Leave Day

Contracted Professional Services:

Expenses include non-personnel expenditures for all consulting and professional services received by State Government.

RIFANS**Natural**

631001 Financial Services
631010 Financial Services: Accounting/Auditing
631020 Financial Services: Investment/Banking
631030 Financial Services: Actuary
631040 Financial Services: Economists
631050 Financial Services: Other
631100 Public Relations Services
631200 Management Consultants
632001 Information Technology Services

RIFANS**Natural**

635140 Legal Services: Expert Witnesses
635150 Legal Services: General/Other
635200 Constable Services/Process Servers
636001 Medical Services (non-client based)
636100 Doctors, Specialists, Medical Consultants, Dentist
636200 Hospital Treatment
636300 Veterinary Services
636400 Laboratory Testing, X-Rays, MRI's
636500 Nursing/Convalescent Care and/or Treatment

Definition of Categories of Expenditures

632140	Information Technology: Programming	636600	Other Medical Services
632150	Information Technology: System Design	637001	Temporary Services
632160	Information Technology: System Support	637100	Clerical Services
632170	Information Technology: Database Administration	637200	Stenographic Services for Court or Public Hearings
632180	Information Technology Services: General	637210	Rental / Lease RI CNV Center
633001	Training and Educational Services	637300	Other Temporary Services
633100	Training and Educational Services	638001	Buildings and Groundskeeping Services
633200	Seminars and Conferences	638100	Cleaning of Buildings/Offices (Janitorial Services)
633300	Lecturers and Training Consultants	638200	Extermination Services
634001	Design, Engineering, Surveying and Environmental S	638300	Lawn Maintenance and Groundskeeping
634100	Engineering Services	638400	Other Building and Grounds Services
634200	Architectural Services	639001	Other Contracted Professional Services
634300	Surveyor Services	639100	Honorariums
634400	Environmental Services	639200	Interpreters/Translators
634500	Other Design, Engineering, Survey and Environ. Ser	639300	Appraisers/Title Examiners
634510	Fuel: Oil #1 - Kerosene	639400	Records Management
635001	Legal Services	639500	Security Services
635110	Legal Services: Special Counsel	639600	Fire Protection Services
635120	Legal Services: Contracts	639700	University/College Services
635130	Legal Services: Labor Relations	639800	Communications and Media Related Services

Operating Supplies and Expenses:

Expenses include non-personnel expenditures for operations of state government including maintenance and non-fixed equipment (capital outlay). One exception is the treatment of medicine and drug expenditures for the pharmaceutical assistance program, which are reflected as grants and benefits.

RIFANS Natural

640001	Building-Relating Maintenance and Repairs
640100	Building Maintenance and Repairs
640200	Grounds Maintenance
640300	Energy Conservation Expenses
640400	Waste Disposal
641001	Non-Building Related Maintenance and Repairs
641100	Maintenance/Repairs: Vehicles
641200	Maintenance/Repairs: Computer Equipment
641300	Maintenance/Repairs: Software Agreements
641400	Maintenance/Repairs: Office Equipment
641500	Maintenance/Repairs: Medical Equipment
641600	Maintenance/Repairs: Other Equipment
642001	Roads/Bridges/Parking Lot Expenses
642100	Snowplowing and Sanding Expenses
642200	Road Maintenance and Repairs Expenses
642300	Striping Expenses
642400	Safety Expenses
642500	Paving Supplies/Expenses
642600	Signage/Sign Painting/Lettering
643010	Clothing and Accessories
643011	Staff Clothing, Uniforms, and Clothing Accessories
643012	Client Clothing, Uniforms and Clothing Accessories
643020	Linen and Laundry Expenses
643021	Central Laundry (MHRH ISF - for ISF use only)
643030	Food
643110	Office Supplies and Equipment (less than \$5000)

RIFANS Natural

644120	Fuel: Oil #2 - Home Heating Oil
644130	Fuel: Oil #4
644140	Fuel: Oil #6 - Bunker 'C'
644200	Fuel: Coal (used for heating purposes)
644300	Fuel: Natural Gas (used for heating purposes)
644400	Fuel: Gasoline/Diesel Fuel
644510	Electricity - Direct Payments to Utilities
644520	Electricity - Central Utilities Fund (for ISF use)
644521	Electricity - Payments to Utilities
644522	ISF Overhead/Service Charges
644600	Steam
644700	Water - Expenditures for Water Consumption
644800	Sewer Use Charges
645100	Rental: Clothing and Linens
645200	Rental/Lease: Equipment
645300	Rental/Lease: Property
645310	Rental of Outside Property
645320	Rental of State Owned Property
645400	Rental/Lease: Vehicles
645510	Lease Financing - Principal
645520	Lease Financing - Interest
646100	Expenses for Travel and Transportation of State Wa
646200	Mileage Allowance - Personally Owned Vehicles
646301	Out-of-State Travel
646310	Out-of-State Travel: Transportation
646320	Out-of-State Travel: Lodging

Definition of Categories of Expenditures

643120	Computer Supplies and Software and Equipment (less	646330	Out-of-State Travel: Registrations
643130	Janitorial Supplies	646340	Out-of-State Travel: Other
643140	Kitchen/Household Supplies and Equipment (less tha	646400	Other Travel-related Costs
643150	Program Supplies and Equipment (less than \$5000)	647100	Information Technology Charges (DOIT ISF)
643160	Security/Safety Supplies	647110	ISF Overhead/Service Charges
643170	Military Supplies	647120	Direct Services (Programming, etc.)
643180	Building/Plant/Machinery Supplies and Equipment	647130	Seat License (flat fee per staff member)
643190	Landscaping Supplies and Equipment (less than \$500	647140	Maintenance Contracts
643200	Dues and Fees	647150	Computer Replacement
643300	Subscriptions	647160	Hardware
643401	Postal, Freight and Delivery Services	647200	Human Resources Service Centers
643410	Postage and Postal Services	647300	Facilities Management Charges (Facilities ISF)
643420	Express Delivery	647310	ISF Overhead/Service Charges
643430	Freight	647320	Lease Payments (based on square footage)
643440	Central Mail Processing ISF (for ISF use only)	647330	Special Services
643441	Postage Charges	647401	State Fleet (ISF)
643442	ISF Overhead/Service Charges	647600	Legal Services (Central Legal Office - for central
643500	Records Storage/Retrieval Costs (Records Center Ch	647700	Correctional Industries (for ISF use only)
643510	Records Storage and Retrieval Costs	647800	Central Warehouse (DOC - for ISF use only)
643520	ISF Overhead/Service Charges	647900	Internal Agency IT Charges
643610	Advertising	648100	Telephone and Telegraph Services
643611	Print Advertising	648110	Central Telephone Services (CENTREX)
643612	Radio Advertising	648111	Telephone Charges
643613	Television Advertising	648112	ISF Overhead/Service Charges
643614	Other Advertising	648200	Telephone - Cellular and Mobile
643615	Agent's Materials - Lottery	648300	Pager (Beeper) Systems
643620	Printing - Outside Vendors	648500	Maintenance/Repairs: Communication Systems
643621	Printing Services Provided by State Agencies	649110	Fees: Notary Public
643700	Miscellaneous Expenses	649120	Fees: Single Audit
643710	Staff Training	649130	Fees: Bonds and Notes (Cost of Issuance)
643720	Lottery Commission Payments	649140	Fees: Food Stamp Transaction Costs
643801	Insurance	649150	Fees: Credit Card Processing Fee
643810	Insurance: Property/Casualty/Liability	649160	Fees: Miscellaneous
643820	Insurance: Professional and Occupational	649320	Interest: Late Payments
643910	Pharmaceuticals	649330	Interest: Earnings
643920	Medical Supplies (non-Rx)	649400	Refunds, Bad Debt and Other Non-Expense Items
643930	Central Pharmacy (MHRH ISF - for ISF use only)	649500	Indirect Cost Assessments on Federal Accounts
643931	Pharmaceuticals	649600	Inventory Purchases for Internal Service Funds (Rot
643932	Medical Supplies (non-Rx)	649700	Retiree Health Subsidy
643933	ISF Overhead/Service Charges	649800	Rate Reimbursement Charge
644101	Fuel: Oil		

Assistance and Grants:

Includes all grants and benefits to individuals or organizations without taxing authority, either direct or through reimbursements to cities and towns for public assistance, and grants to other entities, such as private non-profit providers. Certain retirement pensions for retired employees are also included. This category includes expenditures in the Medicaid program for services and supplies, direct public assistance and payments for client services in the human service agencies.

RIFANS

Natural

651101	Direct Public Assistance
651110	Public Assistance: Medical
651120	Public Assistance: Subsistence
651201	Indirect Public Assistance

RIFANS

Natural

653210	Dental Services
653220	Nursing/Convalescent Services
653230	Veterinary Services
653240	Disability Determination

Definition of Categories of Expenditures

651210	Payment of Provider Assessment	653250	Outpatient Services
651220	Interfund Transfer/Provider Assessment	653260	Inpatient Services
651230	Taxable Medicaid Payments via MMIS	653270	Testing Services
651240	Non-Taxable Medicaid Payments via MMIS	653280	Habilitative/Rehabilitative Services
651250	Subsidy Programs: State Dependents and Delinquents	653290	Counseling Services
651260	Subsidy Program: RI Pharmaceutical Assistance	653301	Legal Services for Clients
652110	Retirement Pensions: State Police	653310	Guardian Ad Litem Services
652120	Pensions: Judges	653320	Defense of Indigents
652130	Retirement Pensions: Teachers	654110	Legislative Grants (Letters of Intent)
652140	Retirement Pensions: Municipal Police and Fire Pen	654120	Other Grants
652150	Supplemental Pension - Early Retirement (83H-5149,	654200	Public Finance of Election Campaigns
653101	Client Services	654300	Non-State SDA Payments (Dept. of Labor and Training)
653110	Social Services for Clients	654400	Non-Taxable Claims, Settlements, Judgments
653120	Substance Abuse Services	654500	Taxable Claims, Settlements, Judgments and Torts
653130	Education Services		
653201	Medical Services for Clients		

Capital Purchases and Equipment:

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds

RIFANS
Natural

660001	Capital Budget (RICAP and Bond Accounts)
660010	Building Renovations and repairs
660020	Plumbing Renovations and repairs
660030	Electrical Renovations and repairs
660040	Land Improvements
660050	Architectural and Engineering Services
660095	Other
	Lawn, Landscape and Grounds Maintenance
660101	Equipment
661101	Land
661131	Non-Depreciable Land Improvements
661141	Depreciable Land Improvements
661201	Buildings and Other Structures
661202	Architecture and Engineering - Capital Improvement
661211	Building Renovations and Improvements - Minimum \$2
661221	Leasehold Improvements - Minimum \$250,000
661231	Historic Buildings

RIFANS
Natural

661241	For Accounts and Control Use Only
661251	Buildings and Other Structures (Less than \$250,000)
661302	Construction in Progress
661351	Architecture and Engineering - CIP
661361	Consultant Services - Computer Systems Development
661402	Works of Art, Historic Treasures and Memorabilia
661501	Motor Vehicles
661521	Trailers
661522	Heavy Equipment
661601	Aircraft, Boats and Related Equipment
661605	Furniture and Equipment
661701	Computer Equipment (\$500 to \$4,999)
661801	Land Rights/Development of Rights/Rights of Way
661821	Computer User Licenses (\$1,000,000 or more)
661910	Infrastructure (DOT Use Only)
662150	Capital Lease

Aid to Local Units of Government

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds.

671100	Community Aid	671120	Pass-Thru Aid
671110	Appropriated Aid	671200	Education Aid

Debt Service:

Includes fixed charges for principal and interest on general obligation bonds, revenue bonds, bonds of the colleges and universities and all other state borrowing

681100	Principal: General Obligation: Serial Bonds	682100	Interest: Interest: Serial Bonds
681200	Principal: General Obligation: CABS	682200	Interest: Interest: CABS

Definition of Categories of Expenditures

681300	Principal: General Obligation: Revenue Bonds - RIR	682300	Interest: Interest: Revenue Bonds - RIRBA
681400	Principal: College/University Debt Service	682400	Interest: College/University Debt Service
681500	Principal: Certificates of Participation	682500	Interest: Certificates of Participation
681600	Principal: Short Term Borrowing	682600	Interest: Short Term Borrowing
681700	Principal: Non-G.O. Debt Service	682700	Interest: Non-G.O. Debt Service
		682800	Accreted Interest: Other Debt Service Charges

Operating Transfers and Other Financing Uses:

Reflects the transfer of funds between different funds and to component units of state government.

RIFANS**Natural**

691000	Operating Transfers
691110	Operating Transfers Out to: General Fund
691120	Operating Transfers Out to: ISTEAFund
691140	Operating Transfers Out to: TDI Fund
691150	Operating Transfers Out to: Permanent School Fund
691160	Operating Transfers Out to: Debt Service Fund
691170	Operating Transfers Out to: Bond Capital Fund
691180	Operating Transfers Out to: Clean Water Trust Fund
691190	Operating Transfers Out to: COPS Fund
691200	Operating Transfers Out to: Lottery Fund
691210	Operating Transfers Out to: Correctional Industries
691220	Operating Transfers Out to: ERS Fund
691230	Operating Transfers Out to: State Police Retirement
691240	Operating Transfers Out to: Judicial Retirement
691250	Operating Transfers Out to: MERS Fund
691260	Operating Transfers Out to: Employment Security
691280	Operating Transfers Out to: Economic Policy Council
691290	Operating Transfers Out to: Convention Center Authority
691300	Operating Transfers Out to: GARVEE Fund
691310	Operating Transfers Out to: Assessed Fringe Benefits
691320	Operating Transfers Out to: Tobacco Trust Fund

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691330	Operating Transfers Out to: Tobacco Settlement
691340	Operating Transfers Out to: Surplus Property Fund
692110	Operating Transfers to: URI
692120	Operating Transfers to: RIC
692130	Operating Transfers to: CCRJ
692140	Operating Transfers to: Central Falls School District
692150	Operating Transfers to: Economic Development Corp.
692170	Operating Transfers to: Higher Education Assistance
692180	Operating Transfers to: RIPTA
692190	Operating Transfers to: RI Public Telecommunication
692200	Operating Transfers to: RI Children's Crusade for
692210	Operating Transfers to: Narragansett Bay Commission
692220	Operating Transfers to: RIHMFC
692230	Operating Transfers to: RICWFA
692250	Operating Transfers to: RIAC
692260	Operating Transfers To: RI Water Resources Board C
692270	Operating Transfers to: Quonset Development Corp
692280	Operating Transfers to: RI Resource Recovery Corp
699100	Payments to Bond Refunding Escrow Agent
699400	Unemployment Insurance Paid to Other States
699999	Loss on Disposition of Capital Assets

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Glossary of Budget Terms

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Actual Expenditures: Amounts certified by the Division of Accounts and Control that have been spent in past fiscal periods. Actual expenditures are distinguished from budgeted amounts for incomplete fiscal periods which are planned or projected expenditures. Actual expenditures for the prior fiscal year are based upon the State Controller's preliminary closing and do not reflect any post-audit adjustments.

Appropriation: An act of the General Assembly authorizing expenditures of designated amounts of public funds for specific state budget programs within a state fiscal year. In recent appropriation acts, appropriations are equated with line items within the act.

Federal Funds: Amounts collected and made available to the state usually in the form of categorical or block grants and entitlements.

Fiscal Note: An estimate of the fiscal impact of legislative bills either drafted, introduced, or at a later stage of consideration.

Fiscal Year (FY): A twelve-month state accounting period, which varies from a calendar year and federal fiscal year. The fiscal year for Rhode Island State government begins on July 1 and ends on June 30. The federal fiscal year begins on October 1 and ends on September 30.

Five-Year Forecast: Financial projections of anticipated revenues and expenditures, including detail of principal revenue sources and expenditures by major program areas over five fiscal years. Such projections are required by state law to be included in the Governor's annual budget submitted to the General Assembly.

FTE Authorization: The number of full-time equivalent positions allowed to each agency and department as set forth in the Appropriation Act or the Supplemental Appropriation Act passed by the General Assembly. Departments and agencies are expected to keep within these FTE caps. Should an adjustment to the full time equivalent positions authorized for a department or agency be required for an urgent reason, a change in the FTE cap may be authorized with the approval of the Governor, the Speaker of the House and Senate Majority Leader.

Full-Time Equivalent Positions (FTE): A numerical measurement of the workforce, which constitutes a common denominator between full and part-time employment. To illustrate, an employee working full-time is counted as 1.0 full time equivalent position while an employee working half-time would be counted as a 0.5 full time equivalent position. If a state agency hired these two employees only, the total number of full-time equivalent positions for that agency would be 1.5. For a technical description of the part-time positions included in the full-time equivalent position count, see the glossary in the Personnel Supplement.

Fund Balance: See general fund free surplus.

General Fund: The operating fund of the State, to which all revenues not specifically directed by statute to another fund, are deposited. This includes state general revenue, federal, restricted, and other funds, which support state operations.

General Fund Free Surplus: The undesignated fund balance, which is available for appropriation and expenditures in subsequent fiscal years.

Glossary of Budget Terms

General Revenues: State tax and departmental receipts which are credited for discretionary appropriation as distinguished from receipts collected for restricted purposes and federal grants.

Internal Service Program: A program that aggregates certain services needed by state operated programs and activities, which are provided on a centralized basis. The costs of these services are borne by the user agencies through a charge system, which allocates the cost of delivery of the goods or services. The operations of this program are shown in the state budget for display purposes only, since the costs are reflected in the budgets of the user agencies both on an actual and budget basis.

Medical Assistance and Public Assistance Caseload Estimating Conference: Similar to the Revenue Estimating Conference, it adopts consensus welfare and medical assistance caseload estimates. It consists of the Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor who meet in May and November of each year.

Modified Accrual: The basis upon which the Rhode Island state budget is prepared wherein the obligations of the state are classified as expenditures if the goods and/or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated by the members of the Revenue Estimating Conference on the same basis as the fund financial statements are prepared, which is also a modified accrual basis.

Operating Deficit: The amount by which the state's current revenues from general revenue sources are less than its expenditures for a fiscal year. The operating deficit calculation excludes any beginning year surplus.

Operating Surplus: The amount by which the state's current revenues from general revenue sources exceed its expenditures for a fiscal year. The operating surplus calculation excludes any beginning year surplus which may be available to fund expenditures.

Other Funds: Resources that are expended in the budget, which are not considered general revenues, restricted receipts, or federal grants are identified as "Other Funds". This includes, for example, tuition and fees at the University and Colleges and the dedicated gas tax in the ISTEAA fund.

Program Measure: A management tool that measures empirically the outcome of a budget program's efforts to achieve an objective. The outcome is measured against a standard established by each agency and department and should indicate not merely what a program does but how well it does it.

Purchased Services: Contracts with non-state entities providing services for state agencies consistent with their objectives. The contract could be established between a state agency and a private person, firm or non-profit agency or it could be established with another governmental entity such as a federal agency.

Glossary of Budget Terms

Reappropriation: The authority of the Governor, in accordance with Section 35-3-15 of the General Laws, to reappropriate funds for the same purpose which are unexpended at the close of the fiscal year. All changes are reflected in the supplemental appropriations act.

Restricted Receipts: State receipts which are collected to be expended for purposes confined to those specified in the General Laws of the State.

Revenue Estimating Conference: A three-person forecasting committee whose objective is to reach consensus on state revenue projections that are used as a basis for the state budget. The Committee consists of the State Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor. This Committee is required, by law, to meet in November and May of each year.

Quasi-Public Agency: An agency, the legal basis for which is established in state law but which nevertheless, enjoys a degree of independence from state government in its governance and policy making.

Subprogram: Two or more integral components of a budget program that can be separately analyzed for a better understanding of the program.

Supplemental Appropriation: An act of the General Assembly appropriating additional funds beyond the amounts allocated in the original appropriation act to state agencies that are expected to incur a deficiency. In practice, supplemental requests are expected to identify any proposed decreases to the original amounts appropriated as well as proposed increases. (See 35-3-8. of the Rhode General Laws). Supplemental appropriations provide additional budget authority beyond the original estimates for budget programs (including new programs authorized after the date of the original appropriation act) where the need for funds is too urgent to be postponed until enactment of the appropriation bill for the next fiscal year.

Technical Appendix: A budget document that provides detailed information in support of the data reflected in *The Budget* and the *Executive Summary*. The allocations for each appropriation account are listed for a convenient reference. Detailed descriptions of the program measures used by the various agencies and departments are also provided.