

**Public Safety,
Natural Resources
and Transportation**

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Public Safety

Budget

Public Safety Function Expenditures

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Object					
Personnel	310,460,133	332,721,945	360,847,788	374,707,005	371,246,279
Operating Supplies and Expenses	39,657,229	39,543,546	41,881,331	43,070,811	43,745,566
Assistance and Grants	41,722,370	51,250,611	51,633,043	62,822,354	54,017,571
Subtotal: Operating Expenditures	391,839,732	423,516,102	454,362,162	480,600,170	469,009,416
Capital Purchases and Equipment	29,700,496	15,951,899	20,119,974	24,690,691	27,739,742
Operating Transfers	328,082	-	-	-	-
Total Expenditures	\$421,868,310	\$439,468,001	\$474,482,136	\$505,290,861	\$496,749,158
Expenditures By Funds					
General Revenue	335,623,546	365,120,231	394,837,434	406,398,936	404,895,066
Federal Funds	45,662,913	49,146,502	47,029,864	61,546,293	49,055,309
Restricted Receipts	10,932,268	10,682,495	12,300,029	12,847,145	13,817,636
Operating Transfers from Other Funds	29,463,169	14,310,612	20,082,446	24,266,352	28,734,184
Other Funds	186,414	208,161	232,363	232,135	246,963
Total Expenditures	\$421,868,310	\$439,468,001	\$474,482,136	\$505,290,861	\$496,749,158
FTE Authorization	2,951.8	3,006.6	3,189.2	3,185.6	3,188.6

Agency

Department Of Attorney General

Agency Mission

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

Agency Description

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

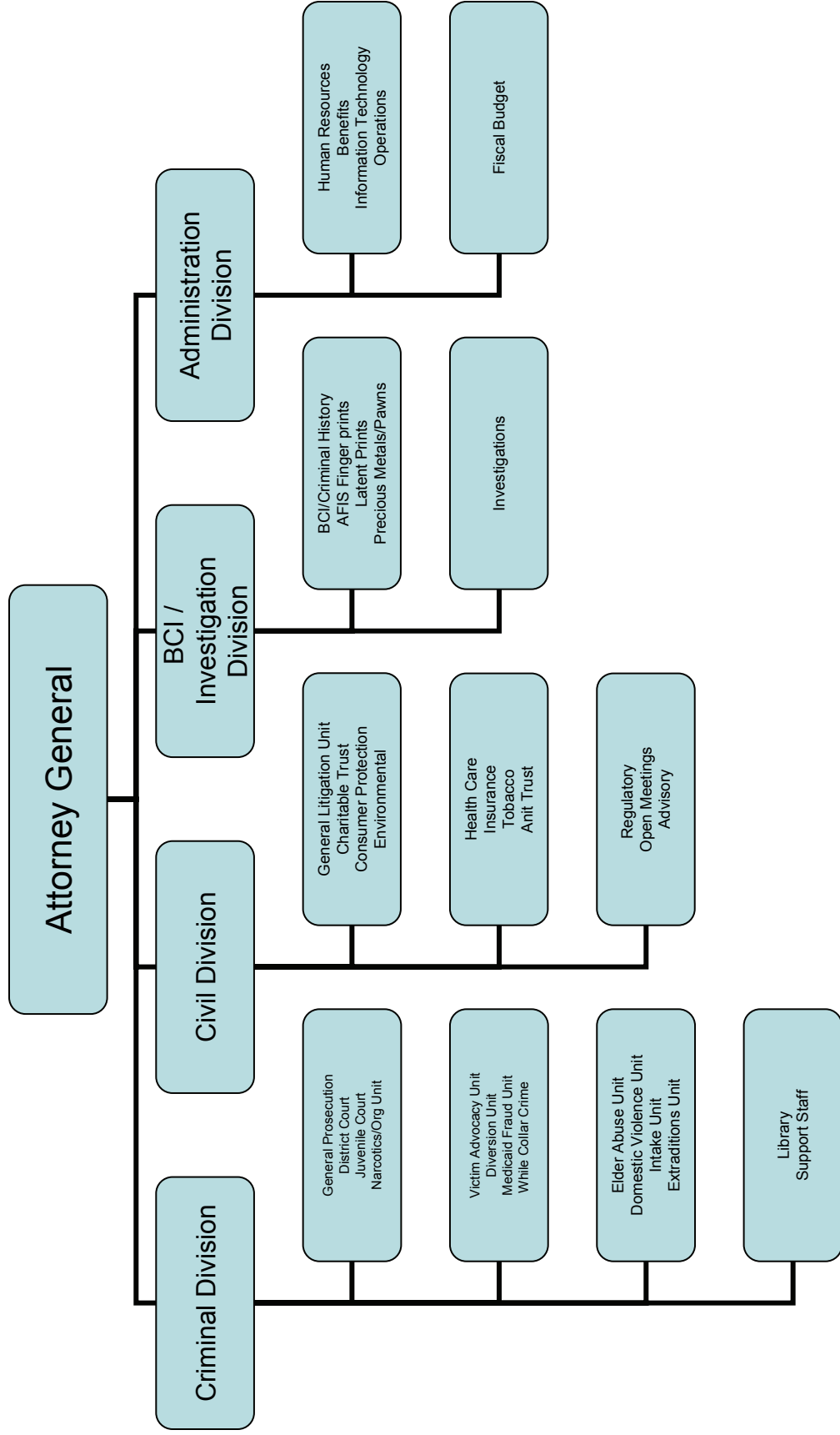
Budget

Department Of Attorney General

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Criminal	13,910,370	14,784,607	17,009,156	17,100,689	16,330,254
Civil	4,402,581	4,808,702	5,416,183	6,191,898	5,734,060
Bureau of Criminal Identification	1,055,635	1,147,431	1,126,572	1,244,234	1,234,405
General	2,941,992	2,877,889	3,159,135	3,010,130	3,096,063
Total Expenditures	\$22,310,578	\$23,618,629	\$26,711,046	\$27,546,951	\$26,394,782
Expenditures By Object					
Personnel	20,399,199	21,615,113	23,776,762	24,410,471	23,805,960
Operating Supplies and Expenses	1,400,868	1,574,460	2,062,426	2,259,045	2,171,194
Subtotal: Operating Expenditures	21,800,067	23,189,573	25,839,188	26,669,516	25,977,154
Capital Purchases and Equipment	510,511	429,056	871,858	877,435	417,628
Total Expenditures	\$22,310,578	\$23,618,629	\$26,711,046	\$27,546,951	\$26,394,782
Expenditures By Funds					
General Revenue	19,592,235	20,763,514	22,442,867	23,054,817	23,276,324
Federal Funds	1,297,016	1,788,468	2,895,944	2,887,910	1,600,853
Restricted Receipts	1,051,750	791,992	1,122,235	1,354,224	1,230,105
Operating Transfers from Other Funds	369,577	274,655	250,000	250,000	287,500
Total Expenditures	\$22,310,578	\$23,618,629	\$26,711,046	\$27,546,951	\$26,394,782
FTE Authorization	230.0	231.1	231.1	233.1	233.1
Agency Measures					
Minorities as a Percentage of the Workforce	15.2%	17.0%	14.0%	14.0%	15.0%
Females as a Percentage of the Workforce	59.7%	59.0%	60.0%	60.0%	60.0%
Persons with Disabilities as a Percentage of the Workforce	3.0%	3.0%	2.0%	2.0%	2.0%

The Agency

Attorney General



Personnel

Department Of Attorney General Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Unclassified	233.1	14,728,591	233.1	14,884,680
Turnover	-	(199,897)	-	(223,270)
Total Salaries	233.1	\$14,528,694	233.1	\$14,661,410
Benefits				
Defined Contribution Plan	-	-	-	146,615
FICA	-	1,092,345	-	1,104,569
Medical	-	2,400,257	-	2,687,759
Payroll Accrual	-	-	-	86,643
Retiree Health	-	998,576	-	1,007,896
Retirement	-	3,349,932	-	3,105,131
Total Salaries and Benefits	233.1	\$22,369,804	233.1	\$22,800,023
Cost Per FTE Position		\$95,967		\$97,812
Statewide Benefit Assessment	-	544,826	-	549,803
Payroll Costs	233.1	\$22,914,630	233.1	\$23,349,826
Purchased Services				
Building and Grounds Maintenance	-	890	-	890
Clerical and Temporary Services	-	29,706	-	30,030
Design and Engineering Services	-	107,543	-	82,543
Information Technology	-	635,327	-	30,627
Legal Services	-	678,107	-	267,776
Management and Consultant Services	-	37,703	-	37,703
Medical Services	-	4,000	-	4,000
Other Contract Services	-	2,565	-	2,565
Total Personnel	233.1	\$24,410,471	233.1	\$23,805,960
Distribution by Source of Funds				
General Revenue	210.1	21,532,800	210.1	21,616,643
Federal Funds	12.0	1,881,753	12.0	1,385,678
Restricted Receipts	11.0	995,918	11.0	803,639

Personnel

Department Of Attorney General Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Total All Funds	233.1	\$24,410,471	233.1	\$23,805,960

The Program

Department Of Attorney General Criminal

Program Mission

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

Program Description

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Department Of Attorney General Criminal

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	13,910,370	14,784,607	17,009,156	17,100,689	16,330,254
Total Expenditures	\$13,910,370	\$14,784,607	\$17,009,156	\$17,100,689	\$16,330,254
Expenditures By Object					
Personnel	12,928,407	13,703,480	15,041,624	15,048,481	14,912,282
Operating Supplies and Expenses	882,320	955,066	1,394,250	1,483,420	1,346,491
Subtotal: Operating Expenditures	13,810,727	14,658,546	16,435,874	16,531,901	16,258,773
Capital Purchases and Equipment	99,643	126,061	573,282	568,788	71,481
Total Expenditures	\$13,910,370	\$14,784,607	\$17,009,156	\$17,100,689	\$16,330,254
Expenditures By Funds					
General Revenue	12,389,919	12,785,939	13,739,364	13,900,426	14,369,909
Federal Funds	1,235,503	1,724,065	2,870,904	2,821,680	1,575,823
Restricted Receipts	284,948	274,603	398,888	378,583	384,522
Total Expenditures	\$13,910,370	\$14,784,607	\$17,009,156	\$17,100,689	\$16,330,254

Personnel

Department Of Attorney General Criminal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Assistant Attorney General	8745	1.0	142,736	1.0	142,736
Exec. Asst. for Policy & Prog Rev	8743	1.0	138,166	1.0	138,166
Assistant Attorney General	8740	4.0	475,230	4.0	475,230
Assistant Attorney General	8743	1.0	111,818	1.0	111,818
Assistant Attorney General	8738	5.0	526,997	5.0	530,607
Staff Attorney IV	8735	1.0	90,644	1.0	90,644
Staff Attorney V	8736	6.0	543,223	6.0	552,178
Staff Attorney III	8734	7.0	599,089	7.0	609,119
Staff Attorney II	8732	6.0	467,777	6.0	474,927
Director of Investigations (Medicaid)	8736	1.0	77,901	1.0	80,750
Senior Investigator (AG)	8728	1.0	72,822	1.0	72,822
Staff Attorney I	8730	7.9	562,264	7.9	567,526
Senior Legal Assistant	8726	6.0	392,454	6.0	394,420
Principal Auditor	8728	30.0	1,898,993	30.0	1,920,296
Special Prosecutor Investigator	8724	4.0	241,476	4.0	242,899
Sr. Economic Crime Investigator	8724	2.0	118,750	2.0	118,750
Investigator	8724	3.0	167,329	3.0	167,329
Assistant Clerk/Research	8720	5.0	266,684	5.0	266,684
Paralegal Clerk	8718	0.6	31,207	0.6	31,207
Consumer Investigator	8718	1.0	51,731	1.0	51,731
Administrative Assistant	8722	3.0	151,110	3.0	154,325
Senior Administrator Clerk	8717	16.0	734,841	16.0	737,820
Con. Sec. to Attorney General	8716	3.0	135,988	3.0	135,988
Senior Legal Secretary	8713	1.0	45,117	1.0	45,117
Administrative Aide	8716	1.0	44,653	1.0	44,653
Prin. Diversion Screener	8722	1.0	44,035	1.0	46,174
Case Intake Coordinator	8717	1.0	43,873	1.0	43,873
Classification Clerk (AG)	8714	2.0	87,081	2.0	87,081
Legal Assistant (AG)	8720	1.0	41,370	1.0	42,558
Technical Assistant	8715	1.0	41,271	1.0	41,271
Investigator/Clerk	8714	4.0	160,541	4.0	161,611
Consumer Specialist	8714	6.0	236,833	6.0	237,464
Family Crisis Aide	8715	2.0	78,311	2.0	79,014
Legal Secretary	8712	8.0	302,483	8.0	303,900
Principal Clerk Stenographer	8711	5.0	173,820	5.0	176,055
Data Entry Operator	8712	2.0	68,558	2.0	69,846
Subtotal		150.5	\$9,367,176	150.5	\$9,446,589
Turnover		-	(126,810)	-	(141,699)
Subtotal		-	(\$126,810)	-	(\$141,699)
Total Salaries		150.5	\$9,240,366	150.5	\$9,304,890

Personnel

Department Of Attorney General Criminal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	93,049
FICA		-	697,808	-	704,449
Medical		-	1,558,712	-	1,748,947
Payroll Accrual		-	-	-	54,988
Retiree Health		-	633,889	-	638,316
Retirement		-	2,134,675	-	1,970,677
Subtotal		-	\$5,025,084	-	\$5,210,426
Total Salaries and Benefits		150.5	\$14,265,450	150.5	\$14,515,316
Cost Per FTE Position			\$94,787		\$96,447
Statewide Benefit Assessment		-	346,515	-	348,933
Subtotal		-	\$346,515	-	\$348,933
Payroll Costs		150.5	\$14,611,965	150.5	\$14,864,249
Purchased Services					
Building and Grounds Maintenance		-	530	-	530
Clerical and Temporary Services		-	14,218	-	14,235
Design and Engineering Services		-	-	-	-
Information Technology		-	413,500	-	25,000
Legal Services		-	2,500	-	2,500
Medical Services		-	4,000	-	4,000
Other Contract Services		-	1,768	-	1,768
Subtotal		-	\$436,516	-	\$48,033
Total Personnel		150.5	\$15,048,481	150.5	\$14,912,282
Distribution By Source Of Funds					
General Revenue		136.5	13,030,816	136.5	13,363,339
Federal Funds		11.0	1,815,553	11.0	1,360,678
Restricted Receipts		3.0	202,112	3.0	188,265
Total All Funds		150.5	\$15,048,481	150.5	\$14,912,282

The Program

Department Of Attorney General Civil

Program Mission

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

Program Description

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

The Budget

Department Of Attorney General Civil

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	4,402,581	4,808,702	5,416,183	6,191,898	5,734,060
Total Expenditures	\$4,402,581	\$4,808,702	\$5,416,183	\$6,191,898	\$5,734,060
Expenditures By Object					
Personnel	4,024,775	4,479,196	4,950,149	5,679,764	5,172,848
Operating Supplies and Expenses	342,812	311,183	423,541	459,570	508,648
Subtotal: Operating Expenditures	4,367,587	4,790,379	5,373,690	6,139,334	5,681,496
Capital Purchases and Equipment	34,994	18,323	42,493	52,564	52,564
Total Expenditures	\$4,402,581	\$4,808,702	\$5,416,183	\$6,191,898	\$5,734,060
Expenditures By Funds					
General Revenue	3,635,779	4,291,313	4,692,836	5,216,257	4,888,477
Restricted Receipts	766,802	517,389	723,347	975,641	845,583
Total Expenditures	\$4,402,581	\$4,808,702	\$5,416,183	\$6,191,898	\$5,734,060

Personnel

Department Of Attorney General Civil

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Assistant Attorney General	8745	1.0	142,736	1.0	142,736
Assistant Attorney General	8740	2.0	231,260	2.0	231,260
Assistant Attorney General	8738	6.0	655,270	6.0	657,930
Staff Attorney V	8736	2.0	162,081	2.0	168,009
Staff Attorney III	8732	5.0	401,700	5.0	407,182
Staff Attorney II	8730	3.0	215,646	3.0	215,646
Staff Attorney I	8728	7.0	420,073	7.0	435,043
Office Manager	8720	1.0	52,725	1.0	52,725
Associate Executive Assistant	8724	2.0	105,257	2.0	106,823
Sr. Legal Assistant	8720	1.0	50,855	1.0	50,855
Administrative Aide	8715	4.0	163,348	4.0	165,213
Paralegal Clerk	8717	5.0	203,183	5.0	205,509
Senior Legal Secretary	8713	2.0	78,518	2.0	78,518
Consumer Specialist	8714	1.0	36,509	1.0	37,210
Legal Secretary	8712	2.0	72,678	2.0	73,501
Subtotal		44.0	\$2,991,839	44.0	\$3,028,160
Turnover		-	(41,292)	-	(45,422)
Subtotal		-	(\$41,292)	-	(\$45,422)
Total Salaries		44.0	\$2,950,547	44.0	\$2,982,738
Benefits					
Defined Contribution Plan		-	-	-	29,828
FICA		-	221,034	-	224,286
Medical		-	530,211	-	593,328
Payroll Accrual		-	-	-	17,627
Retiree Health		-	202,408	-	204,617
Retirement		-	678,036	-	631,712
Subtotal		-	\$1,631,689	-	\$1,701,398
Total Salaries and Benefits		44.0	\$4,582,236	44.0	\$4,684,136
Cost Per FTE Position			\$104,142		\$106,458
Statewide Benefit Assessment		-	110,645	-	111,853
Subtotal		-	\$110,645	-	\$111,853
Payroll Costs		44.0	\$4,692,881	44.0	\$4,795,989

Personnel

Department Of Attorney General Civil

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Building and Grounds Maintenance		-	225	-	225
Clerical and Temporary Services		-	15,488	-	15,795
Design and Engineering Services		-	57,488	-	57,488
Information Technology		-	200,000	-	-
Legal Services		-	675,607	-	265,276
Management and Consultant Services		-	37,703	-	37,703
Other Contract Services		-	372	-	372
Subtotal		-	\$986,883	-	\$376,859
Total Personnel		44.0	\$5,679,764	44.0	\$5,172,848
Distribution By Source Of Funds					
General Revenue		36.0	4,885,958	36.0	4,557,474
Restricted Receipts		8.0	793,806	8.0	615,374
Total All Funds		44.0	\$5,679,764	44.0	\$5,172,848

The Program

Department Of Attorney General Bureau of Criminal Identification

Program Mission

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

Program Description

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

Statutory History

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

The Budget

Department Of Attorney General Bureau of Criminal Identification

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	1,055,635	1,147,431	1,126,572	1,244,234	1,234,405
Total Expenditures	\$1,055,635	\$1,147,431	\$1,126,572	\$1,244,234	\$1,234,405
Expenditures By Object					
Personnel	1,002,598	1,090,078	1,062,936	1,181,284	1,171,455
Operating Supplies and Expenses	46,740	54,637	59,775	59,089	59,089
Subtotal: Operating Expenditures	1,049,338	1,144,715	1,122,711	1,240,373	1,230,544
Capital Purchases and Equipment	6,297	2,716	3,861	3,861	3,861
Total Expenditures	\$1,055,635	\$1,147,431	\$1,126,572	\$1,244,234	\$1,234,405
Expenditures By Funds					
General Revenue	994,122	1,083,028	1,101,532	1,178,004	1,209,375
Federal Funds	61,513	64,403	25,040	66,230	25,030
Total Expenditures	\$1,055,635	\$1,147,431	\$1,126,572	\$1,244,234	\$1,234,405

Personnel

Department Of Attorney General Bureau of Criminal Identification

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief of the Office of Investigations	8736	1.0	89,500	1.0	89,500
Administrative Assistant	8722	1.0	59,961	1.0	59,961
AFIS Operator/Fingerprint Expert	8724	1.0	59,375	1.0	59,375
Legal Assistant	8720	2.0	98,765	2.0	100,673
Sr. Administrative Aide	8717	1.0	43,873	1.0	43,873
Fingerprint Expert	8720	1.0	42,006	1.0	43,109
Legal Secretary	8712	2.0	74,382	2.0	74,844
Classification Clerk (AG)	8714	3.0	110,713	3.0	121,911
Principal Clerk Stenographer	8711	3.0	105,463	3.0	107,329
Data Entry Operator	8712	1.0	34,611	1.0	35,244
Subtotal		16.0	\$718,649	16.0	\$735,819
Turnover		-	(9,229)	-	(11,037)
Subtotal		-	(\$9,229)	-	(\$11,037)
Total Salaries		16.0	\$709,420	16.0	\$724,782
Benefits					
Defined Contribution Plan		-	-	-	7,248
FICA		-	54,271	-	55,446
Medical		-	111,351	-	122,547
Payroll Accrual		-	-	-	4,283
Retiree Health		-	48,666	-	49,720
Retirement		-	163,024	-	153,501
Subtotal		-	\$377,312	-	\$392,745
Total Salaries and Benefits		16.0	\$1,086,732	16.0	\$1,117,527
Cost Per FTE Position			\$67,921		\$69,845
Statewide Benefit Assessment		-	26,603	-	27,179
Subtotal		-	\$26,603	-	\$27,179
Payroll Costs		16.0	\$1,113,335	16.0	\$1,144,706
Purchased Services					
Building and Grounds Maintenance		-	35	-	35
Design and Engineering Services		-	50,000	-	25,000
Information Technology		-	17,789	-	1,589
Other Contract Services		-	125	-	125
Subtotal		-	\$67,949	-	\$26,749
Total Personnel		16.0	\$1,181,284	16.0	\$1,171,455

Personnel

Department Of Attorney General Bureau of Criminal Identification

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		15.0	1,115,084	15.0	1,146,455
Federal Funds		1.0	66,200	1.0	25,000
Total All Funds		16.0	\$1,181,284	16.0	\$1,171,455

The Program

Department Of Attorney General General

Program Mission

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

Program Description

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Department Of Attorney General General

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	2,941,992	2,877,889	3,159,135	3,010,130	3,096,063
Total Expenditures	\$2,941,992	\$2,877,889	\$3,159,135	\$3,010,130	\$3,096,063
Expenditures By Object					
Personnel	2,443,419	2,342,359	2,722,053	2,500,942	2,549,375
Operating Supplies and Expenses	128,996	253,574	184,860	256,966	256,966
Subtotal: Operating Expenditures	2,572,415	2,595,933	2,906,913	2,757,908	2,806,341
Capital Purchases and Equipment	369,577	281,956	252,222	252,222	289,722
Total Expenditures	\$2,941,992	\$2,877,889	\$3,159,135	\$3,010,130	\$3,096,063
Expenditures By Funds					
General Revenue	2,572,415	2,603,234	2,909,135	2,760,130	2,808,563
Operating Transfers from Other Funds	369,577	274,655	250,000	250,000	287,500
Total Expenditures	\$2,941,992	\$2,877,889	\$3,159,135	\$3,010,130	\$3,096,063

Personnel

Department Of Attorney General General

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Assistant Attorney General	8747	1.0	156,407	1.0	156,407
Executive Assistant/Chief of Staff	8745	1.0	124,118	1.0	124,118
Exec. Asst. for Policy & Prog Rev	8747	1.0	117,273	1.0	126,368
Director of Public Affairs	8740	1.0	116,804	1.0	119,442
Attorney General	535	1.0	115,610	1.0	115,610
Public Information Officer	8735	1.0	98,149	1.0	98,149
Director of Telecommunications	8735	1.0	94,764	1.0	94,764
Director of Policy & Public Affairs	8738	1.0	82,164	1.0	85,927
Exec. Asst. Comm. & Public Information	8728	1.0	78,728	1.0	78,728
Director of Investigations	8732	1.0	70,114	1.0	73,966
Senior Legal Assistant	8724	1.0	67,640	1.0	62,074
Staff Attorney I	8726	1.0	61,485	1.0	61,485
Projects Manager	8726	1.0	58,059	1.0	60,130
Executive Administrative Aide	8720	1.0	53,167	1.0	53,167
Senior Investigator	8724	1.0	48,119	1.0	49,685
Systems Analyst	8718	1.0	47,420	1.0	47,420
Administrative Officer	8722	1.0	44,035	1.0	45,449
Investigator	8722	1.0	44,035	1.0	45,449
Principal Clerk Stenographer	8711	1.0	42,841	1.0	42,841
Legal Assistant (AG)	8721	1.0	42,664	1.0	43,913
Administrative Aide	8712	1.0	33,990	1.0	34,656
Legal Assistant (AG)	8712	1.0	33,366	1.0	33,970
Chief, Out-County Clerk	8711	0.6	19,975	0.6	20,394
Subtotal		22.6	\$1,650,927	22.6	\$1,674,112
Turnover		-	(22,566)	-	(25,112)
Subtotal		-	(\$22,566)	-	(\$25,112)
Total Salaries		22.6	\$1,628,361	22.6	\$1,649,000
Benefits					
Defined Contribution Plan		-	-	-	16,490
FICA		-	119,232	-	120,388
Medical		-	199,983	-	222,937
Payroll Accrual		-	-	-	9,745
Retiree Health		-	113,613	-	115,243
Retirement		-	374,197	-	349,241
Subtotal		-	\$807,025	-	\$834,044
Total Salaries and Benefits		22.6	\$2,435,386	22.6	\$2,483,044
Cost Per FTE Position			\$107,760		\$109,869
Statewide Benefit Assessment		-	61,063	-	61,838
Subtotal		-	\$61,063	-	\$61,838

Personnel

Department Of Attorney General General

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Payroll Costs		22.6	\$2,496,449	22.6	\$2,544,882
Purchased Services					
Building and Grounds Maintenance		-	100	-	100
Design and Engineering Services		-	55	-	55
Information Technology		-	4,038	-	4,038
Other Contract Services		-	300	-	300
Subtotal		-	\$4,493	-	\$4,493
Total Personnel		22.6	\$2,500,942	22.6	\$2,549,375
Distribution By Source Of Funds					
General Revenue		22.6	2,500,942	22.6	2,549,375
Total All Funds		22.6	\$2,500,942	22.6	\$2,549,375

Agency

Department Of Corrections

Agency Mission

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

Agency Description

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community. Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are seven separate, occupied facilities, which have a total operational capacity of 3,708 beds. In FY 2011, the average institutionalized population was 3,273. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2011, the number of probation and parole cases serviced totaled 25,811. The average number of offenders on home or community confinement was 230. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

For FY 2013, the Department proposes a program reorganization in order to further define major functions within the Department and to align programs with future performance measures. In addition to small changes in Central Management and Community Corrections, the major change is to split Institutional Corrections into four new programs: Custody & Security, Institutional Support, Institutional Rehabilitation & Population Management, and Healthcare Services.

Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

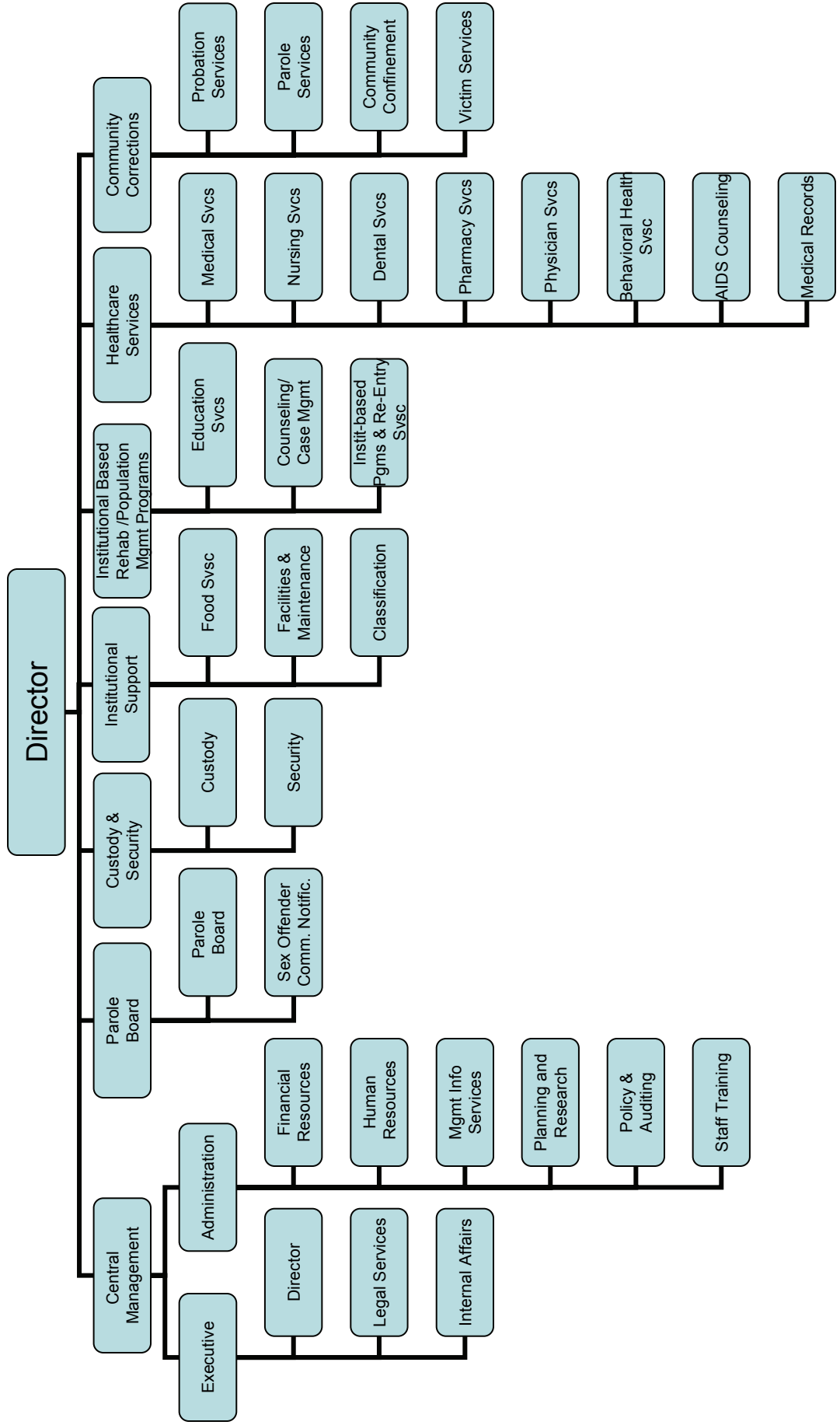
Budget

Department Of Corrections

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	6,710,401	7,440,796	8,596,603	9,148,153	9,388,229
Parole Board	1,257,507	1,150,306	1,402,621	1,341,333	1,368,319
Custody and Security	152,666,500	160,901,550	170,177,524	176,815,942	115,878,547
Institutional Support	13,236,527	13,963,825	15,198,850	15,171,174	31,250,466
Institutional Based Rehab/Pop Mgmt	-	-	-	-	10,360,904
Healthcare Svcs	-	-	-	-	18,550,741
Community Corrections	-	-	-	-	14,718,618
<i>Internal Services</i>	<i>[11,137,781]</i>	<i>[10,402,717]</i>	<i>[14,090,752]</i>	<i>[14,558,976]</i>	<i>[14,787,457]</i>
Total Expenditures	\$173,870,935	\$183,456,477	\$195,375,598	\$202,476,602	\$201,515,824
Expenditures By Object					
Personnel	155,344,992	164,935,225	166,854,687	173,219,948	168,478,149
Operating Supplies and Expenses	14,168,615	13,941,699	15,640,702	15,710,257	15,973,464
Assistance and Grants	2,273,212	1,268,963	2,276,082	1,315,074	1,275,401
Subtotal: Operating Expenditures	171,786,819	180,145,887	184,771,471	190,245,279	185,727,014
Capital Purchases and Equipment	2,084,116	3,310,590	10,604,127	12,231,323	15,788,810
Total Expenditures	\$173,870,935	\$183,456,477	\$195,375,598	\$202,476,602	\$201,515,824
Expenditures By Funds					
General Revenue	169,892,046	177,719,606	182,141,365	187,449,307	183,233,598
Federal Funds	2,106,693	2,780,906	2,914,545	3,190,986	2,747,301
Restricted Receipts	-	12,347	34,371	64,027	64,925
Operating Transfers from Other Funds	1,872,196	2,943,618	10,285,317	11,772,282	15,470,000
Total Expenditures	\$173,870,935	\$183,456,477	\$195,375,598	\$202,476,602	\$201,515,824
FTE Authorization	1,402.5	1,419.0	1,419.0	1,419.0	1,419.0
Agency Measures					
Minorities as a Percentage of the Workforce	16.0%	16.0%	17.0%	17.0%	17.2%
Females as a Percentage of the Workforce	25.0%	25.2%	25.0%	25.0%	25.2%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	0.0%	0.0%	0.0%

The Agency

Department of Corrections



Personnel

Department Of Corrections Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	1,387.0	87,561,943	1,387.0	88,676,465
Unclassified	32.0	3,295,672	32.0	3,312,991
Briefing Time	-	1,474,230	-	1,469,291
Overtime	-	20,074,288	-	12,736,607
Turnover	-	(6,308,529)	-	(5,944,207)
Total Salaries	1,419.0	\$106,097,604	1,419.0	\$100,251,147
Benefits				
Contract Stipends	-	1,421,343	-	1,416,714
Defined Contribution Plan	-	-	-	860,450
FICA	-	8,315,481	-	7,750,130
Holiday Pay	-	2,725,226	-	2,594,084
Medical	-	16,761,060	-	18,686,890
Medical Benefits Salary Disbursement	-	-	-	-
Payroll Accrual	-	-	-	3,880
Payroll Accrual	-	-	-	565,264
Retiree Health	-	5,798,682	-	5,913,117
Retirement	-	19,421,125	-	18,272,205
Total Salaries and Benefits	1,419.0	\$160,540,521	1,419.0	\$156,313,881
Cost Per FTE Position		\$113,136		\$110,158
Statewide Benefit Assessment	-	3,170,455	-	3,232,153
Temporary and Seasonal	-	251,737	-	304,852
Workers Compensation	-	100,546	-	100,546
Payroll Costs	1,419.0	\$164,063,259	1,419.0	\$159,951,432
Purchased Services				
Building and Grounds Maintenance	-	250,705	-	250,705
Clerical and Temporary Services	-	373,142	-	373,142
Design and Engineering Services	-	101,232	-	101,232
Information Technology	-	1,234,159	-	1,034,340
Legal Services	-	88,208	-	35,548
Management and Consultant Services	-	55,438	-	55,438
Medical Services	-	6,578,095	-	6,544,143
Other Contract Services	-	2,844,647	-	2,586,786
Training and Educational Services	-	262,006	-	227,373
University and College Services	-	637,404	-	640,978

Personnel

Department Of Corrections Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Total Personnel	1,419.0	\$176,488,295	1,419.0	\$171,801,117
Distribution by Source of Funds				
General Revenue	1,387.0	170,252,937	1,387.0	166,003,217
Federal Funds	3.0	2,777,625	3.0	2,393,705
Federal Revenue	-	125,359	-	19,830
Restricted Receipts	-	64,027	-	61,397
Internal Service Funds	29.0	3,268,347	29.0	3,322,968
Total All Funds	1,419.0	\$176,488,295	1,419.0	\$171,801,117

The Program

Department Of Corrections Central Management

Program Mission

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

Program Description

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

In FY 2013, the Central Management Program will include Inmate Accounts and the Central Distribution Center internal service fund. Inmate Accounts serves as custodian of inmate funds.

Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

The Budget

Department Of Corrections Central Management

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Executive	1,752,371	1,835,145	2,003,194	1,902,298	1,815,276
Administration	4,958,030	5,605,651	6,593,409	7,245,855	15,007,319
Internal Services	-	-	-	-	[7,434,366]
Total Expenditures	\$6,710,401	\$7,440,796	\$8,596,603	\$9,148,153	\$16,822,595
Expenditures By Object					
Personnel	6,128,327	6,602,046	7,130,445	7,629,555	9,335,306
Operating Supplies and Expenses	436,449	704,011	1,276,102	1,327,042	7,245,811
Assistance and Grants	-	1,414	23,457	23,457	24,925
Subtotal: Operating Expenditures	6,564,776	7,307,471	8,430,004	8,980,054	16,606,042
Capital Purchases and Equipment	145,625	133,325	166,599	168,099	216,553
Total Expenditures	\$6,710,401	\$7,440,796	\$8,596,603	\$9,148,153	\$16,822,595
Expenditures By Funds					
General Revenue	6,710,401	7,304,742	8,596,603	9,015,256	9,365,983
Federal Funds	-	136,054	-	132,897	22,246
Other Funds	-	-	-	-	7,434,366
Total Expenditures	\$6,710,401	\$7,440,796	\$8,596,603	\$9,148,153	\$16,822,595

Personnel

Department Of Corrections Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Associate Director - Financial Management	0144A	1.0	129,082	1.0	134,461
Chief Inspector	0141A	1.0	116,312	1.0	116,312
Assistant Director - Finance & Contract Mgmt	0141A	1.0	106,188	1.0	111,455
Deputy Chief - Legal Services	0137A	1.0	99,835	1.0	99,835
Associate Director - Planning & Research	0136A	1.0	95,845	1.0	95,845
Inspector, Office of Inspections	0136A	3.0	279,783	3.0	279,783
Chief-Recruitment & Training	0135A	1.0	92,570	1.0	92,570
Administrator - Physical Resources	0135A	1.0	92,542	1.0	92,542
Chief Program & Development	0134A	1.0	89,166	1.0	89,166
Assistant Admin. - Financial Management	0634A	1.0	89,023	1.0	90,362
Assistant Admin. - Financial Management	0134A	2.0	164,805	2.0	164,805
Senior Legal Counsel	0134A	2.0	158,646	2.0	158,646
Supervisor - Correctional Officer Training	0627A	2.0	153,971	2.0	154,101
Assistant to the Director	0136A	1.0	72,594	1.0	72,594
Principal Planner (Corrections)	0131A	2.0	144,055	2.0	144,055
Departmental Grievance Coordinator	0128A	1.0	70,100	1.0	70,100
Assistant Chief Distribution Officer	0628A	1.0	70,033	1.0	70,033
Correctional Officer - Training Instructor	0624A	6.0	417,187	6.0	422,212
Chief of Motor Pool & Maintenance	0626A	1.0	69,003	1.0	69,003
Fiscal Management Officer	C626A	1.0	65,978	1.0	68,258
Chief of Information and Public Records	0129A	1.0	63,832	1.0	63,832
Fiscal Management Officer	B26O	1.0	62,042	1.0	64,577
Administrative Officer	A124A	1.0	59,307	1.0	60,136
Office Manager	0623A	2.0	114,596	2.0	115,813
Automotive Shp Supervisor (ACI)	0621A	1.0	56,823	1.0	56,823
Principal Research Technician	0327A	1.0	51,607	1.0	53,475
Clerk Secretary	B016A	1.0	48,378	1.0	48,378
Senior Planner	A126A	1.0	48,002	1.0	51,136
Implementation Aide	0122A	3.0	143,369	3.0	143,369
Storekeeper	C617A	2.0	94,823	2.0	95,482
Supervisor - Central Mail Services	C616A	1.0	44,938	1.0	44,938
Information Aide	0615A	1.0	44,026	2.0	86,788
Assistant Business Management Officer	0619A	1.0	43,422	1.0	44,710
Senior Reconciliation Clerk	0314C	1.0	43,041	1.0	44,369
Senior Word Processing Typist	C612A	1.0	41,036	1.0	41,649
Executive Assistant	0118A	2.0	80,049	2.0	81,627
Motor Equipment Operator	C613A	1.0	39,700	1.0	39,700
Data Control Clerk	0115A	1.0	39,165	1.0	39,165
Senior Stores Clerk	0611A	1.0	33,029	1.0	33,029
Business Management Officer	C626A	-	-	1.0	71,176
Chief Distribution Officer	0831A	-	-	1.0	72,475
Fiscal Clerk	0314C	-	-	2.0	87,228
Senior Accountant	C623A	-	-	1.0	52,364
Senior Inspector	0318C	-	-	1.0	44,652

Personnel

Department Of Corrections Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Senior Reconciliation Clerk	C614A	-	-	2.0	82,741
Senior Teller	C618A	-	-	1.0	48,802
Warehouse Supervisor	0317C	-	-	1.0	47,166
Warehouse Worker	0313C	-	-	6.0	236,519
Subtotal		55.0	\$3,727,903	72.0	\$4,548,257
Unclassified					
Director - Department of Corrections	0951U	1.0	145,644	1.0	145,644
Assistant Director - Administration	0844A	1.0	144,795	1.0	144,795
Executive Counsel	0839A	1.0	111,736	1.0	111,736
Confidential Secretary II	0824U	1.0	52,046	1.0	53,977
Subtotal		4.0	\$454,221	4.0	\$456,152
Briefing Time		-	163	-	160
Overtime		-	239,623	-	280,734
Turnover		-	(228,108)	-	(346,554)
Subtotal		-	\$11,678	-	(\$65,660)
Total Salaries		59.0	\$4,193,802	76.0	\$4,938,749
Benefits					
Contract Stipends		-	19,752	-	23,117
Defined Contribution Plan		-	-	-	46,578
FICA		-	316,281	-	378,085
Holiday Pay		-	-	-	3,230
Medical		-	619,183	-	849,791
Payroll Accrual		-	-	-	25,668
Retiree Health		-	271,245	-	319,529
Retirement		-	908,632	-	959,705
Subtotal		-	\$2,135,093	-	\$2,605,703
Total Salaries and Benefits		59.0	\$6,328,895	76.0	\$7,544,452
Cost Per FTE Position			\$107,269		\$99,269
Statewide Benefit Assessment		-	148,275	-	174,668
Temporary and Seasonal		-	251,737	-	304,852
Subtotal		-	\$400,012	-	\$479,520
Payroll Costs		59.0	\$6,728,907	76.0	\$8,023,972

Personnel

Department Of Corrections Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services		-	97,446	-	97,446
Information Technology		-	536,529	-	995,000
Legal Services		-	75,458	-	22,798
Management and Consultant Services		-	30,438	-	30,438
Medical Services		-	130,100	-	130,100
Other Contract Services		-	30,677	-	35,552
Subtotal		-	\$900,648	-	\$1,311,334
Total Personnel		59.0	\$7,629,555	76.0	\$9,335,306
Distribution By Source Of Funds					
General Revenue		59.0	7,504,196	64.0	7,818,608
Federal Revenue		-	125,359	-	19,830
Internal Service Funds		-	-	12.0	1,496,868
Total All Funds		59.0	\$7,629,555	76.0	\$9,335,306

1 Under the Department's Reorganization Plan, the Central Distribution Center is included under Central Management in FY 2013.

The Program

Department Of Corrections Parole Board

Program Mission

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

Program Description

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2011, the board granted parole in 419 cases and denied parole in 868 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2011, the program made 244 adult and juvenile referrals.

Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Sections 30-33 create a program of community supervision for first and second degree child molesters. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

The Budget

Department Of Corrections Parole Board

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Parole Board	955,018	809,387	1,047,644	959,650	986,218
Sex Offender Board of Revenue	302,489	340,919	354,977	381,683	382,101
Total Expenditures	\$1,257,507	\$1,150,306	\$1,402,621	\$1,341,333	\$1,368,319
Expenditures By Object					
Personnel	1,212,009	1,111,383	1,347,734	1,286,625	1,313,649
Operating Supplies and Expenses	45,498	38,923	52,847	52,668	52,630
Subtotal: Operating Expenditures	1,257,507	1,150,306	1,400,581	1,339,293	1,366,279
Capital Purchases and Equipment	-	-	2,040	2,040	2,040
Total Expenditures	\$1,257,507	\$1,150,306	\$1,402,621	\$1,341,333	\$1,368,319
Expenditures By Funds					
General Revenue	1,217,560	1,104,218	1,365,771	1,305,274	1,331,469
Federal Funds	39,947	46,088	36,850	36,059	36,850
Total Expenditures	\$1,257,507	\$1,150,306	\$1,402,621	\$1,341,333	\$1,368,319

Personnel

Department Of Corrections Parole Board

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Executive Secretary Parole Board	0034C	1.0	88,232	1.0	91,497
Special Projects Coordinator	0827U	1.0	72,547	1.0	72,547
Field Investigator	0120A	3.0	144,923	3.0	146,222
Clerk Secretary	0316A	1.0	42,105	1.0	42,105
System Support Technician I	0318A	1.0	38,522	1.0	38,522
Data Control Clerk	0315A	1.0	36,030	1.0	36,818
Senior Word Processing Typist	0312A	1.0	33,498	1.0	33,498
Subtotal		9.0	\$455,857	9.0	\$461,209
Unclassified					
Chairperson - Parole Board	0841A	1.0	124,722	1.0	124,722
Member - Parole Board	0810F	-	152,471	-	152,471
Subtotal		1.0	\$277,193	1.0	\$277,193
Overtime		-	804	-	788
Turnover		-	(23,913)	-	(26,077)
Subtotal		-	(\$23,109)	-	(\$25,289)
Total Salaries		10.0	\$709,941	10.0	\$713,113
Benefits					
Defined Contribution Plan		-	-	-	7,123
FICA		-	54,310	-	54,553
Medical		-	121,384	-	139,167
Medical Benefits Salary Disbursement		-	-	-	-
Payroll Accrual		-	-	-	3,880
Retiree Health		-	48,647	-	48,866
Retirement		-	162,959	-	150,863
Subtotal		-	\$387,300	-	\$404,452
Total Salaries and Benefits		10.0	\$1,097,241	10.0	\$1,117,565
Cost Per FTE Position			\$109,724		\$111,757
Statewide Benefit Assessment		-	26,593	-	26,712
Subtotal		-	\$26,593	-	\$26,712
Payroll Costs		10.0	\$1,123,834	10.0	\$1,144,277

Personnel

Department Of Corrections Parole Board

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services		-	23,822	-	23,822
Information Technology		-	39,340	-	39,340
Legal Services		-	12,750	-	12,750
Medical Services		-	47,860	-	53,600
Other Contract Services		-	36,009	-	36,850
Training and Educational Services		-	3,010	-	3,010
Subtotal		-	\$162,791	-	\$169,372
Total Personnel		10.0	\$1,286,625	10.0	\$1,313,649
Distribution By Source Of Funds					
General Revenue		10.0	1,250,616	10.0	1,276,799
Federal Funds		-	36,009	-	36,850
Total All Funds		10.0	\$1,286,625	10.0	\$1,313,649

The Program

Department Of Corrections Custody and Security

Program Mission

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To maintain safe and clean facilities for the confinement of the inmate population. To investigate allegations of inmate misconduct and other activity that threatens public safety or the security of departmental operations. To provide technical services to security units such as the Correctional Emergency response Team and the K-9 unit.

Program Description

The Custody & Security Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes six correctional institutions and one jail complex providing the various security levels for both men and women. The Men's facilities are High Security, Maximum Security, John J. Moran Medium Security, Minimum Security (including men's work lease) and the Intake Service Center (awaiting trial). The Women's facilities are the newly reconstructed/renovated Gloria McDonald (awaiting trial and higher security inmates) and Bernadette Guay (minimum security and work release inmates). The Donald Price Medium Security facility will close by the end of 2012.

Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes the Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. These areas, in conjunction with the Records & Identification Unit, are under the supervision of the Assistant Director for Institutions and Operations.

Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. Section 4 creates the Division of Institutions & operations. Section 6 charges the Director to manage, supervise and control all of the Adult Correctional Institutions. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

The Budget

Department Of Corrections Custody and Security

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Institutions	108,792,307	114,704,320	117,188,768	124,890,828	112,708,349
Support Operations	37,856,713	39,500,211	45,717,273	44,550,967	3,170,198
Institutional Rehab Services	4,938,716	5,610,888	6,069,763	6,152,863	-
Transitional services	1,078,764	1,086,131	1,201,720	1,221,284	-
Total Expenditures	\$152,666,500	\$160,901,550	\$170,177,524	\$176,815,942	\$115,878,547
Expenditures By Object					
Personnel	135,512,785	143,893,144	143,999,567	149,970,221	112,595,174
Operating Supplies and Expenses	12,949,618	12,566,391	13,502,761	13,505,837	1,974,509
Assistance and Grants	2,269,288	1,264,750	2,247,522	1,286,514	1,247,966
Subtotal: Operating Expenditures	150,731,691	157,724,285	159,749,850	164,762,572	115,817,649
Capital Purchases and Equipment	1,934,809	3,177,265	10,427,674	12,053,370	60,898
Total Expenditures	\$152,666,500	\$160,901,550	\$170,177,524	\$176,815,942	\$115,878,547
Expenditures By Funds					
General Revenue	149,122,738	155,726,522	157,573,034	162,549,825	114,766,168
Federal Funds	1,671,566	2,231,410	2,319,173	2,464,941	1,082,621
Restricted Receipts	-	-	-	28,894	29,758
Operating Transfers from Other Funds	1,872,196	2,943,618	10,285,317	11,772,282	-
Total Expenditures	\$152,666,500	\$160,901,550	\$170,177,524	\$176,815,942	\$115,878,547

Personnel

Department Of Corrections Custody and Security

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Assistant Administrative Officer	121A	-	-	1.0	48,839
Clerk Secretary	116A	-	-	1.0	36,798
Clerk Secretary	C616A	-	-	1.0	46,992
Correctional Investigator I	A624A	-	-	7.0	487,820
Correctional Officer	A621A	-	-	859.0	52,400,513
Correctional Officer - Armorer	A624A	-	-	1.0	68,424
Correctional Officer - Canine	A624A	-	-	2.0	138,422
Correctional Officer - Captain	A630A	-	-	14.0	1,257,413
Correctional Officer - Lieutenant	A62A	-	-	57.0	4,322,648
Data Control Clerk	315A	-	-	3.0	129,652
Deputy Warden	140A	-	-	10.0	1,063,344
Executive Assistant	118A	-	-	5.0	222,053
Office Manager	C623A	-	-	2.0	116,518
Records & ID Officer - Captain	A628A	-	-	2.0	160,470
Records & ID Officer - Lieutenant	A624A	-	-	7.0	497,966
Security Specialist	A628A	-	-	5.0	391,304
Senior Word Processing Typist	312A	-	-	1.0	34,860
Storekeeper	C617A	-	-	1.0	47,384
Work Rehabilitation Program Supervisor	A628A	-	-	1.0	78,961
Subtotal		-	-	980.0	\$61,550,381
Unclassified					
Assistant Director - Institutions & Operations	0844U	-	-	1.0	139,845
Deputy Assistant - Warden	0815F	-	-	5.0	633,936
Subtotal		-	-	6.0	\$773,781
Briefing Time		-	-	-	1,292,347
Overtime		-	-	-	9,725,466
Turnover		-	-	-	(3,381,175)
Subtotal		-	-	-	\$7,636,638
Total Salaries		-	-	986.0	\$69,960,800
Benefits					
Contract Stipends		-	-	-	1,295,011
Defined Contribution Plan		-	-	-	589,431
FICA		-	-	-	5,404,523
Holiday Pay		-	-	-	2,262,882
Medical		-	-	-	13,707,395
Payroll Accrual		-	-	-	396,942
Retiree Health		-	-	-	4,053,516
Retirement		-	-	-	12,461,374
Subtotal		-	-	-	\$40,171,074
Total Salaries and Benefits		-	-	986.0	\$110,131,874
Cost Per FTE Position		-	-		\$111,696

Personnel

Department Of Corrections Custody and Security

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Statewide Benefit Assessment		-	-	-	2,215,612
Workers Compensation		-	-	-	100,546
Subtotal		-	-	-	\$2,316,158
Payroll Costs		-	-	986.0	\$112,448,032
Purchased Services					
Clerical and Temporary Services		-	-	-	103,158
Medical Services		-	-	-	4,158
Other Contract Services		-	-	-	10,068
University and College Services		-	-	-	29,758
Subtotal		-	-	-	\$147,142
Total Personnel		-	-	986.0	\$112,595,174
Distribution By Source Of Funds					
General Revenue		-	-	986.0	111,602,156
Federal Funds		-	-	-	963,260
Restricted Receipts		-	-	-	29,758
Total All Funds		-	-	986.0	\$112,595,174

The Program

Department Of Corrections Institutional Support

Program Mission

To support the facilities and inmates by providing nutritionally balanced food and beverages. To provide daily and preventive maintenance to 45 department buildings on the Pastore Complex and grounds maintenance within the department's fence lines. To classify inmates to the most appropriate level of custody, consistent with staff, inmate and public safety.

Program Description

The program includes support services that complement and enhance the objectives of the Custody and Security program. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings and grounds including fire safety, locksmiths, security systems, and environmental health inspections. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services.

Statutory History

Statutes governing the Classification process include R.I.G.L. 42-56-10, Powers of the Director, 42-56-29, Receiving and Orientation Unit, 42-56-30, Classification Board, 42-56-31, Determination of Classification and Rehabilitation Programs of Prisoners, and 42-56-32, Classification Unit.

The Budget

Department Of Corrections Institutional Support

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Probation & Parole	10,807,092	11,545,220	12,447,774	12,553,834	8,127,627
Transitional Services	-	-	-	-	20,292,345
Community Programs	433,237	364,910	496,101	462,279	1,336,929
Operations	1,996,198	2,053,695	2,254,975	2,155,061	1,493,565
Total Expenditures	\$13,236,527	\$13,963,825	\$15,198,850	\$15,171,174	\$31,250,466
Expenditures By Object					
Personnel	12,491,871	13,328,652	14,376,941	14,333,547	7,422,451
Operating Supplies and Expenses	737,050	632,374	808,992	824,710	8,284,540
Assistance and Grants	3,924	2,799	5,103	5,103	-
Subtotal: Operating Expenditures	13,232,845	13,963,825	15,191,036	15,163,360	15,706,991
Capital Purchases and Equipment	3,682	-	7,814	7,814	15,543,475
Total Expenditures	\$13,236,527	\$13,963,825	\$15,198,850	\$15,171,174	\$31,250,466
Expenditures By Funds					
General Revenue	12,841,347	13,584,124	14,605,957	14,578,952	15,780,466
Federal Funds	395,180	367,354	558,522	557,089	-
Restricted Receipts	-	12,347	34,371	35,133	-
Operating Transfers from Other Funds	-	-	-	-	15,470,000
Total Expenditures	\$13,236,527	\$13,963,825	\$15,198,850	\$15,171,174	\$31,250,466
Program Measures					
Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population	.3	.3	.3	.3	.3
Objective	10.9	10.9		10.9	10.9
Incidence of Violence in the Correctional Facilities	12.5	13.7	13.7	13.7	13.7
Objective	18.2	18.2		18.2	18.2
Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population - Escape from Secure Facility	0	1	0	0	0
Objective	0	0		0	0
Acts of Absconding per 1,000 Inmates in the Average Daily Population - Attempted Escape from Secure Facility	0	0	0	0	0
Objective	0	0		0	0

Acts of Absconding per 1,000 Inmates in the Average Daily Population - Escape from Non-Secure Facility	0	0	0	0	0
Objective	0	0		0	0
Acts of Absconding per 1,000 Inmates in the Average Daily Population - Attempted Escape from Non-Secure Facility	0	0	0	0	0
Objective	0	0		0	0
Acts of Absconding per 1,000 Inmates in the Average Daily Population - Abscond from Minimum Work Crew, Work Release, Furlough	1	1	0	0	0
Objective	0	0		0	0
Incidence of Violence in the Correctional Facilities	N/A	449	N/A	N/A	N/A
Objective	N/A	0		N/A	N/A
Percentage of Closed Cases Successfully Completing Terms of Community Confinement of Electronic	75.4%	76.3%	N/A	N/A	N/A
Objective	71.42%	71.42%		71.42%	71.42%

Personnel

Department Of Corrections Institutional Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Medical Program Director	0154A	1.0	149,580	-	-
Chief of Dental Services	0144A	1.0	134,935	-	-
Associate Director - Health Care Services	0141A	1.0	117,336	-	-
Physician II	0740A	4.0	457,353	-	-
Associate Director - Classification Services	0140A	1.0	111,722	-	-
Director of General Nursing Services	0140A	1.0	107,090	-	-
Deputy Warden	140A	10.0	1,057,962	-	-
Clinical Director Psychologist	0141A	1.0	101,773	-	-
Interdepartmental Project Coordinator	0139A	1.0	97,587	-	-
Physician Extender	C659A	2.0	194,406	-	-
Associate Director - Facilities & Maintenance	0139A	1.0	96,163	-	-
Associate Director - Food Services	0134A	1.0	90,878	-	-
Correctional Officer - Captain	A630A	14.0	1,248,214	-	-
Correctional Officer - Hosp. Supervisor	0655A	4.0	351,674	-	-
Principal Public Health Promotion Spec.	0133A	1.0	85,244	-	-
Professional Services Coordinator	0134A	2.0	162,335	-	-
Counseling Services Coordinator	C632A	1.0	81,104	-	-
Correctional Officer - Hospital II	0651A	33.0	2,641,536	-	-
Records & ID Officer - Captain	A628A	2.0	159,303	-	-
Work Rehabilitation Program Supervisor	A628A	1.0	78,737	-	-
Security Specialist	A628A	5.0	391,304	-	-
Supervisor - Food Services	A627A	2.0	152,734	-	-
Clinical Psychologist	0327J	1.0	76,156	-	-
Correctional Officer - Lieutenant	A62A	57.0	4,292,894	-	-
Intake Services Coordinator	0130A	1.0	74,225	-	-
Classification Counselor	J26O	2.0	143,202	-	-
Business Management Officer	C626A	1.0	71,176	-	-
Correctional Officer - Steward	A624A	21.0	1,476,399	-	-
Substance Abuse Coordinator	0132A	1.0	70,284	-	-
Parole Coordinator	C27O	1.0	70,079	-	-
Records & ID Officer - Lieutenant	A624A	7.0	487,957	-	-
Chief - Motor Pool & Maintenance	C626A	1.0	69,003	-	-
Correctional Investigator I	A624A	7.0	481,795	-	-
Correctional Officer - Canine	A624A	2.0	136,191	-	-
Maintenance Superintendent	C627A	2.0	134,824	-	-
Correctional Officer - Armorer	A624A	1.0	67,149	-	-
Environmental Health Coordinator	C630A	1.0	65,568	-	-
Adult Counselor	J27O	24.0	1,573,180	-	-
Public Health Education Specialist	0131A	2.0	130,120	-	-
Correctional Officer - Hospital	0624A	9.0	571,154	-	-
Clinical Social Worker	0327J	11.0	685,725	-	-
Supervising Clinical Psychologist	0J29A	1.0	61,878	-	-
Correctional Officer	A621A	859.0	51,576,685	-	-
Office Manager	C623A	2.0	116,256	-	-

Personnel

Department Of Corrections Institutional Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Senior X-Ray Technician	0620A	1.0	52,800	-	-
Senior Accountant	C623A	1.0	51,593	-	-
Plumber Supervisor	322A	1.0	50,815	-	-
Building Maintenance Supervisor	320C	1.0	50,653	-	-
Assistant Administrative Officer	C621A	1.0	49,886	-	-
Assistant Administrative Officer	121A	1.0	48,839	-	-
Senior Teller	C618A	1.0	48,802	-	-
Locksmith II	320C	2.0	95,917	-	-
Storekeeper	C617A	1.0	47,384	-	-
Pharmacy Aide	0616A	1.0	46,295	-	-
Librarian	C620A	2.0	91,296	-	-
Carpenter Supervisor	320C	1.0	45,264	-	-
Building Systems Technician	317C	4.0	179,684	-	-
Implementation Aide	322A	1.0	44,276	-	-
Dental Assistant	0614A	2.0	87,381	-	-
Medical Records Clerical Supervisor	0615A	1.0	43,602	-	-
Plumber	318G	2.0	86,730	-	-
Senior Maintenance Technician	316G	4.0	171,589	-	-
Data Control Clerk	615A	5.0	213,250	-	-
Fire Safety Technician	318C	1.0	42,623	-	-
Executive Assistant	0118A	7.0	296,400	-	-
Electrician	318G	3.0	126,465	-	-
Clerk Secretary	C616A	2.0	84,299	-	-
Information Aide	C115A	1.0	42,129	-	-
Licensed Steamfitter	317G	1.0	40,302	-	-
Senior Word Processing Typist	C612A	2.0	79,170	-	-
Senior Reconciliation Clerk	0614A	2.0	78,823	-	-
Information Aide	115A	1.0	39,165	-	-
Medical Records Clerk	0611A	4.0	153,353	-	-
Senior Word Processing Typist	0312A	2.0	75,129	-	-
Clerk Secretary	116A	1.0	36,131	-	-
Senior Word Processing Typist	312A	1.0	34,860	-	-
Subtotal		1,165.0	\$73,135,775	-	-

Personnel

Department Of Corrections Institutional Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Assistant Director - Rehabilitative Services	0844U	1.0	145,236	-	-
Assistant Director - Institutions & Op.	0844U	1.0	134,449	-	-
Deputy Assistant - Warden	0815F	5.0	633,936	-	-
Special Education Director	0837A	1.0	105,552	-	-
School Social Worker	0002A	1.0	104,672	-	-
School Psychologist	002A	1.0	102,171	-	-
Teacher - Academic/ESL	0001U	1.0	88,091	-	-
Teacher - Industrial Arts	0001U	2.0	169,658	-	-
Teacher - Academic	0001U	10.0	823,352	-	-
Teacher - Special Education	0001U	2.0	136,209	-	-
Coordinator of Education	0841F	2.0	120,932	-	-
Subtotal		27.0	\$2,564,258	-	-
Briefing Time		-	1,429,972	-	-
Overtime		-	19,538,415	-	-
Turnover		-	(5,668,423)	-	-
Subtotal		-	\$15,299,964	-	-
Total Salaries		1,192.0	\$90,999,997	-	-
Benefits					
Contract Stipends		-	1,379,865	-	-
FICA		-	7,164,014	-	-
Holiday Pay		-	2,702,840	-	-
Medical		-	14,344,002	-	-
Payroll Accrual		-	-	-	-
Retiree Health		-	4,804,214	-	-
Retirement		-	16,089,808	-	-
Subtotal		-	\$46,484,743	-	-
Total Salaries and Benefits		1,192.0	\$137,484,740	-	-
Cost Per FTE Position			\$115,340		
Statewide Benefit Assessment		-	2,626,831	-	-
Workers Compensation		-	100,546	-	-
Subtotal		-	\$2,727,377	-	-
Payroll Costs		1,192.0	\$140,212,117	-	-

Personnel

Department Of Corrections Institutional Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Building and Grounds Maintenance		-	250,705	-	-
Clerical and Temporary Services		-	251,829	-	-
Design and Engineering Services		-	99,232	-	-
Information Technology		-	13,290	-	-
Management and Consultant Services		-	25,000	-	-
Medical Services		-	6,145,135	-	-
Other Contract Services		-	2,239,640	-	-
Training and Educational Services		-	231,329	-	-
University and College Services		-	501,944	-	-
Subtotal		-	\$9,758,104	-	-
Total Personnel		1,192.0	\$149,970,221	-	-
Distribution By Source Of Funds					
General Revenue		1,189.0	147,690,501	-	-
Federal Funds		3.0	2,250,826	-	-
Restricted Receipts		-	28,894	-	-
Total All Funds		1,192.0	\$149,970,221	-	-

1 Under the Department's Reorganization Plan, the Institutional Corrections program has been split into four new programs, that are depicted separately in FY 2013: Custody & Security, Institutional Support, Institution Based Rehabilitation & Population Management, and Health Services.

Personnel

Department Of Corrections Institutional Support

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Adult Counselor	J27O	-	-	3.0	192,588
Assistant Administrative Officer	C621A	-	-	1.0	50,596
Associate Director - Classification Services	0140A	-	-	1.0	111,722
Associate Director - Facilities & Maintenance	0139A	-	-	1.0	100,501
Associate Director - Food Services	0134A	-	-	1.0	90,878
Building Maintenance Supervisor	320C	-	-	1.0	50,653
Building Systems Technician	317C	-	-	4.0	179,684
Carpenter Supervisor	320C	-	-	1.0	45,264
Chief - Motor Pool & Maintenance	C626A	-	-	1.0	69,003
Classification Counselor	J26O	-	-	2.0	143,202
Correctional Officer - Steward	A624A	-	-	21.0	1,490,293
Data Control Clerk	615A	-	-	2.0	86,839
Electrician	318G	-	-	3.0	127,139
Environmental Health Coordinator	C630A	-	-	1.0	65,568
Fire Safety Technician	318C	-	-	1.0	42,623
Implementation Aide	322A	-	-	1.0	45,443
Intake Services Coordinator	0130A	-	-	1.0	74,225
Licensed Steamfitter	317G	-	-	1.0	40,302
Locksmith II	320C	-	-	2.0	95,917
Maintenance Superintendent	C627A	-	-	2.0	134,824
Parole Coordinator	C27O	-	-	1.0	70,079
Plumber	318G	-	-	2.0	86,730
Plumber Supervisor	322A	-	-	1.0	50,815
Senior Maintenance Technician	316G	-	-	4.0	173,223
Senior Word Processing Typist	C612A	-	-	1.0	40,869
Supervisor - Food Services	A627A	-	-	2.0	152,734
	Subtotal	-	-	62.0	\$3,811,714
Unclassified					
Coordinator of Education	0841A	-	-	1.0	65,243
	Subtotal	-	-	1.0	\$65,243
Briefing Time		-	-	-	53,872
Overtime		-	-	-	906,311
Turnover		-	-	-	(204,228)
	Subtotal	-	-	-	\$755,955
	Total Salaries	-	-	63.0	\$4,632,912

Personnel

Department Of Corrections Institutional Support

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Contract Stipends		-	-	-	28,910
Defined Contribution Plan		-	-	-	36,726
FICA		-	-	-	363,206
Holiday Pay		-	-	-	104,429
Medical		-	-	-	866,362
Payroll Accrual		-	-	-	25,717
Retiree Health		-	-	-	251,951
Retirement		-	-	-	784,145
Subtotal		-	-	-	\$2,461,446
Total Salaries and Benefits		-	-	63.0	\$7,094,358
Cost Per FTE Position					\$112,609
Statewide Benefit Assessment		-	-	-	137,726
Subtotal		-	-	-	\$137,726
Payroll Costs		-	-	63.0	\$7,232,084
Purchased Services					
Building and Grounds Maintenance		-	-	-	19,319
Design and Engineering Services		-	-	-	99,232
Medical Services		-	-	-	2,239
Other Contract Services		-	-	-	6,577
University and College Services		-	-	-	63,000
Subtotal		-	-	-	\$190,367
Total Personnel		-	-	63.0	\$7,422,451
Distribution By Source Of Funds					
General Revenue		-	-	63.0	7,422,451
Total All Funds		-	-	63.0	\$7,422,451

The Program

Department Of Corrections Institutional Based Rehab/Pop Mgmt

Program Mission

To provide vitally important rehabilitative services, such as counseling and life skills/ re-entry training. To ensure that all inmates have access to all available educational services to achieve at least functional literacy and GED attainment. To produce quality goods and services and to create a work atmosphere that will provide inmates with marketable skills.

Program Description

The program is responsible for rehabilitative services in order to successfully reintegrate inmates into the community. The Department includes a comprehensive program of offender assessment and case management to identify and incorporate various treatment and rehabilitative programs. Program services include the following:

- 1) Educational and vocational training, including Adult Basic Education, Special Education/Title I, GED classes and testing, post secondary and vocational training programs, and general reading and law libraries for use by prisoners.
- 2) Correctional Industries, which employs 134 inmates who provide moving, painting, clean up, printing, license plate, upholstery, auto body repair, carpentry, and furniture products and services to state and municipal agencies and provides basic instruction in manufacturing and general job market training.
- 3) Case Management & Individual Program Planning, including a risk/needs assessment to form the basis for further development of individual program assignments and identification of high risk inmates requiring more intensive case management.
- 4) Reentry/Treatment Services, providing services to improve inmate behavior and cognitive functioning such as substance abuse treatment, sex-offender treatment, sexual abuse counseling, high risk offender reintegration, domestic violence intervention programming, parent visitation and parenting education, victim impact education, chaplaincy services, cognitive restructuring, barber apprentice, and various volunteer services.
- 5) Reentry Services, such as discharge planning and reentry council review, which will assist inmates to deal with housing, employment, family reunification and continued treatment issues that will enable them to return to their communities and live crime-free lives.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations. R.I.G.L. 42-56-24 (enacted in 2008) expands the number of days an inmate can reduce his sentence through participation in programs.

The Budget

Department Of Corrections Institutional Based Rehab/Pop Mgmt

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Education/Voc Ed Services	-	-	-	-	3,754,556
Case Mgmt & Planning	5,716,693	5,148,222	6,804,849	7,434,689	2,216,744
Re-entry/Treatment Services	5,421,088	5,254,495	7,285,903	7,124,287	11,275,943
Instit Rehab & Popul Mgmt Pgms	-	-	-	-	466,752
Internal Services	[11,137,781]	[10,402,717]	[14,090,752]	[14,558,976]	[7,353,091]
Total Expenditures	\$11,137,781	\$10,402,717	\$14,090,752	\$14,558,976	\$17,713,995
Expenditures By Object					
Personnel	2,658,945	2,973,411	2,941,392	3,268,347	11,710,029
Operating Supplies and Expenses	8,144,890	7,268,722	10,415,357	10,509,972	5,269,948
Assistance and Grants	117,708	97,775	215,703	215,703	214,235
Subtotal: Operating Expenditures	10,921,543	10,339,908	13,572,452	13,994,022	17,194,212
Capital Purchases and Equipment	216,238	62,809	518,300	564,954	519,783
Total Expenditures	\$11,137,781	\$10,402,717	\$14,090,752	\$14,558,976	\$17,713,995
Expenditures By Funds					
General Revenue	-	-	-	-	8,908,408
Federal Funds	-	-	-	-	1,452,496
Other Funds	11,137,781	10,402,717	14,090,752	14,558,976	7,353,091
Total Expenditures	\$11,137,781	\$10,402,717	\$14,090,752	\$14,558,976	\$17,713,995

Personnel

Department Of Corrections Institutional Based Rehab/Pop Mgmt

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Adult Counselor	J270	-	-	21.0	1,384,798
Assistant Business Management Officer	C619A	-	-	1.0	49,565
Associate Director - Industries	0137A	-	-	1.0	94,538
Auto Body Shop Supervisor	C622A	-	-	1.0	54,647
Counseling Services Coordinator	C632A	-	-	1.0	81,104
Executive Assistant	118A	-	-	1.0	38,411
Furniture/Upholstery Repair Shop Supervisor	C622A	-	-	2.0	109,299
Garment Shop Supervisor	C621A	-	-	1.0	53,309
Horticulture Shop Supervisor	C622A	-	-	2.0	111,761
Industries General Supervisor	C628A	-	-	2.0	142,164
Information Aide	115A	-	-	1.0	39,165
Janitorial/Maintenance Supervisor	6C21A	-	-	1.0	52,873
Librarian	C620A	-	-	2.0	94,297
Marketing/Sales Manager	C626A	-	-	1.0	70,209
Metal Stamp Shop Supervisor	C621A	-	-	1.0	58,106
Print Shop Supervisor	C623A	-	-	2.0	116,106
Professional Services Coordinator	0134A	-	-	2.0	162,335
Senior Reconciliation Clerk	0314C	-	-	1.0	34,944
Senior Word Processing Typist	0312A	-	-	2.0	75,129
Senior Word Processing Typist	0612A	-	-	1.0	38,905
Storekeeper	C617A	-	-	1.0	43,337
Substance Abuse Coordinator	0132A	-	-	1.0	73,573
Subtotal		-	-	49.0	\$2,978,575
Unclassified					
Assistant Director - Institutions & Op.	0844A	-	-	1.0	145,236
Coordinator of Education	0841F	-	-	1.0	55,689
School Psychologist	0002A	-	-	1.0	102,171
School Social Worker	0002A	-	-	1.0	107,824
Special Education Director	0837A	-	-	1.0	105,552
Teacher - Academic	0001U	-	-	10.0	826,676
Teacher - Academic/ESL	0001U	-	-	1.0	88,091
Teacher - Industrial Arts	0001U	-	-	2.0	169,658
Teacher - Special Education	0001U	-	-	2.0	139,725
Subtotal		-	-	20.0	\$1,740,622
Briefing Time		-	-	-	-
Overtime		-	-	-	147,962
Turnover		-	-	-	(131,320)
Subtotal		-	-	-	\$16,642
Total Salaries		-	-	69.0	\$4,735,839

Personnel

Department Of Corrections Institutional Based Rehab/Pop Mgmt

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Contract Stipends		-	-	-	14,110
Defined Contribution Plan		-	-	-	45,878
FICA		-	-	-	360,028
Holiday Pay		-	-	-	1,238
Medical		-	-	-	783,712
Payroll Accrual		-	-	-	27,185
Retiree Health		-	-	-	314,895
Retirement		-	-	-	973,880
Subtotal		-	-	-	\$2,520,926
Total Salaries and Benefits		-	-	69.0	\$7,256,765
Cost Per FTE Position					\$105,171
Statewide Benefit Assessment		-	-	-	172,138
Subtotal		-	-	-	\$172,138
Payroll Costs		-	-	69.0	\$7,428,903
Purchased Services					
Building and Grounds Maintenance		-	-	-	231,386
Clerical and Temporary Services		-	-	-	148,716
Design and Engineering Services		-	-	-	2,000
Medical Services		-	-	-	1,340,048
Other Contract Services		-	-	-	2,014,679
Training and Educational Services		-	-	-	196,696
University and College Services		-	-	-	347,601
Subtotal		-	-	-	\$4,281,126
Total Personnel		-	-	69.0	\$11,710,029
Distribution By Source Of Funds					
General Revenue		-	-	49.0	8,634,092
Federal Funds		-	-	3.0	1,249,837
Restricted Receipts		-	-	-	-
Internal Service Funds		-	-	17.0	1,826,100
Total All Funds		-	-	69.0	\$11,710,029

The Program

Department Of Corrections Healthcare Svcs

Program Mission

To provide constitutionally mandated health care to all inmates. To provide needed diagnosis and medical care for chronic medical conditions that will prevent more serious conditions. To provide care that is focused on ensuring the best possible functioning in the correctional setting and that allows successful reintegration into the family and community. To provide open access to acute care services so that offender progress through the legal process is unimpeded. To provide the community with case findings and public health services and intervention to protect the health of all Rhode Islanders.

Program Description

The Healthcare Services Program provides medical, dental and mental health services to the inmate population, both sentenced and awaiting trial. Such services include inmate evaluations by nurses and behavioral health specialists, further evaluations by physicians or psychiatrists, and administration of medications. The Program operates two 24-hour infirmaries at the Women's Facility and the Intake Service Center, as well a seven on-site dispensaries, under the coordination of a Medical Program Director. These facilities are staffed by nurses, full-time physicians, dentists, mental health workers, psychiatrists, as well as support staff of health educators, medical records maintenance, and dental hygienists and assistants. The program also provides transportation to outside clinics for treatment not available under the medical assistance guidelines.

Healthcare Services also has an important public health function for the State of Rhode Island, as it serves Rhode Island's highest risk population, a population unlikely to receive regular medical or dental care and therefore at risk for contracting and spreading untreated infectious disease. The daily inmate population is many times more likely than the general population to have infectious and other diseases and conditions. Approximately 1% of the population is HIV positive and we have diagnosed more HIV infections in the state than any other testing site. Also, approximately 25% of the inmate population is infected with Hepatitis C, 15-20% of the incarcerated population has serious mental illness and up to 80% of the population are substance abusers.

Statutory History

Under the US Constitution and RIGL 42-56-10, the Healthcare Services unit is mandated to provide medical, dental, and behavioral healthcare to the incarcerated inmate population who are either sentenced or awaiting trial within all facilities of the Rhode Island Department of Corrections (RIDOC). The Department also complies with state medicaid as well as National Commission on Correctional Healthcare standards (NCCH) for the management of the Department's medical delivery system.

The Budget

Department Of Corrections Healthcare Svcs

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Medical Services	-	-	-	-	3,483,048
Nursing Services	-	-	-	-	7,573,248
Dental Services	-	-	-	-	1,063,868
Pharmacy Services	-	-	-	-	2,916,357
Physician Services	-	-	-	-	851,717
Behavioral Health Services	-	-	-	-	2,093,107
AIDS Counseling	-	-	-	-	233,758
Medical Records	-	-	-	-	335,638
Total Expenditures	-	-	-	-	\$18,550,741
Expenditures By Object					
Personnel	-	-	-	-	15,584,444
Operating Supplies and Expenses	-	-	-	-	2,963,096
Subtotal: Operating Expenditures	-	-	-	-	18,547,540
Capital Purchases and Equipment	-	-	-	-	3,201
Total Expenditures	-	-	-	-	\$18,550,741
Expenditures By Funds					
General Revenue	-	-	-	-	18,550,741
Total Expenditures	-	-	-	-	\$18,550,741

Personnel

Department Of Corrections Healthcare Svcs

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Associate Director - Health Care Services	0141A	-	-	1.0	117,336
Chief of Dental Services	0144A	-	-	1.0	134,935
Clerk Secretary	0316A	-	-	1.0	37,985
Clinical Psychologist	0327J	-	-	1.0	76,156
Clinical Director Psychologist	0141A	-	-	1.0	101,773
Clinical Social Worker	0327J	-	-	11.0	689,156
Correctional Officer - Hosp. Supervisor	0655A	-	-	4.0	353,813
Correctional Officer - Hospital	0624A	-	-	9.0	571,154
Correctional Officer - Hospital II	0651A	-	-	32.0	2,602,046
Correctional Officer - Hospital II	0651N	-	-	1.0	69,797
Dental Assistant	0614A	-	-	2.0	88,388
Director of General Nursing Services	0140A	-	-	1.0	107,090
Executive Assistant	0118A	-	-	1.0	38,379
Interdepartmental Project Coordinator	0139A	-	-	1.0	97,587
Medical Program Director	0154A	-	-	1.0	149,580
Medical Records Clerical Supervisor	0615A	-	-	1.0	44,254
Medical Records Clerk	0611A	-	-	4.0	155,466
Pharmacy Aide	0616A	-	-	1.0	46,295
Physician Extender	C659A	-	-	2.0	195,589
Physician II	0740A	-	-	4.0	457,353
Principal Public Health Promotion Spec.	0133A	-	-	1.0	85,244
Public Health Education Specialist	0131A	-	-	2.0	130,120
Senior Reconciliation Clerk	0614A	-	-	1.0	40,599
Senior X-Ray Technician	0620A	-	-	1.0	52,800
Supervising Clinical Psychologist	0J29A	-	-	1.0	65,897
Subtotal		-	-	86.0	\$6,508,792
Briefing Time		-	-	-	79,708
Overtime		-	-	-	1,452,076
Turnover		-	-	-	(1,195,365)
Subtotal		-	-	-	\$336,419
Total Salaries		-	-	86.0	\$6,845,211
Benefits					
Contract Stipends		-	-	-	48,104
Defined Contribution Plan		-	-	-	53,132
FICA		-	-	-	543,568
Holiday Pay		-	-	-	205,065
Medical		-	-	-	883,505
Payroll Accrual		-	-	-	41,774
Retiree Health		-	-	-	364,503
Retirement		-	-	-	1,187,951
Subtotal		-	-	-	\$3,327,602
Total Salaries and Benefits		-	-	86.0	\$10,172,813
Cost Per FTE Position		-	-		\$118,289

Personnel

Department Of Corrections Healthcare Svcs

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Statewide Benefit Assessment		-	-	-	199,254
Subtotal		-	-	-	\$199,254
Payroll Costs		-	-	86.0	\$10,372,067
Purchased Services					
Management and Consultant Services		-	-	-	25,000
Medical Services		-	-	-	4,758,998
Other Contract Services		-	-	-	227,760
University and College Services		-	-	-	200,619
Subtotal		-	-	-	\$5,212,377
Total Personnel		-	-	86.0	\$15,584,444
Distribution By Source Of Funds					
General Revenue		-	-	86.0	15,584,444
Total All Funds		-	-	86.0	\$15,584,444

The Program

Department Of Corrections Community Corrections

Program Mission

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

Program Description

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

Probation and Parole: The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. In June 2011, those under active supervision total 8,694.

Community Programs: Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration. In FY 2011, 499 inmates successfully completed community confinement, while 155 were unsuccessful.

Victim Services: The program offers victims automated offender information and advocacy services such as crisis intervention, referral, and case management needs.

Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

The Budget

Department Of Corrections Community Corrections

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Probation Services	-	-	-	-	10,947,975
Parole Services	-	-	-	-	1,494,210
Community-based Programs	-	-	-	-	2,105,322
Victim Services	-	-	-	-	170,153
Community Corrections	-	-	-	-	958
Total Expenditures	-	-	-	-	\$14,718,618
Expenditures By Object					
Personnel	-	-	-	-	13,840,064
Operating Supplies and Expenses	-	-	-	-	866,762
Assistance and Grants	-	-	-	-	3,978
Subtotal: Operating Expenditures	-	-	-	-	14,710,804
Capital Purchases and Equipment	-	-	-	-	7,814
Total Expenditures	-	-	-	-	\$14,718,618
Expenditures By Funds					
General Revenue	-	-	-	-	14,530,363
Federal Funds	-	-	-	-	153,088
Restricted Receipts	-	-	-	-	35,167
Total Expenditures	-	-	-	-	\$14,718,618
Program Measures					
Percentage of Closed Cases Successfully Completing Terms of Community Confinement or Electronic Monitoring Parole Objective	75.4%	76.3%	75.0%	75.0%	75.0%
	67.5%	67.5%		67.5%	67.5%

Personnel

Department Of Corrections Community Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Administrator - Probation & Parole	0140A	1.0	112,336	1.0	112,336
Administrator - Community Confinement	0139A	1.0	106,753	1.0	106,753
Deputy Compact Administrator	0C31A	1.0	89,587	1.0	89,587
Assistant Administrator - Probation & Parole	0138A	1.0	89,286	1.0	89,286
Probation & Parole Officer III	0C31A	1.0	88,835	1.0	88,835
Probation & Parole Supervisor	0C33A	9.0	762,225	9.0	770,998
Home Confinement Coordinator	0133A	1.0	84,613	1.0	84,613
Probation & Parole Officer II	0C29A	73.0	5,344,068	73.0	5,414,495
Community Program Counselor	0J27A	5.0	353,453	5.0	353,453
Correctional Officer	0621A	6.0	388,742	6.0	388,742
Probation & Parole Officer I	C270	5.0	288,460	5.0	290,912
Administrator Officer	0324A	1.0	48,547	1.0	53,556
Probation & Parole Aide	0318A	11.0	489,963	11.0	491,264
Data Control Clerk	0315A	1.0	43,932	1.0	43,932
Senior Word Processing Typist	0612A	1.0	42,466	1.0	42,877
Senior Word Processing Typist	0312A	11.0	391,322	11.0	395,898
Subtotal		129.0	\$8,724,588	129.0	\$8,817,537
Briefing Time		-	44,095	-	43,204
Overtime		-	227,874	-	223,270
Turnover		-	(348,945)	-	(659,488)
Subtotal		-	(\$76,976)	-	(\$393,014)
Total Salaries		129.0	\$8,647,612	129.0	\$8,424,523
Benefits					
Contract Stipends		-	7,616	-	7,462
Defined Contribution Plan		-	-	-	81,582
FICA		-	662,222	-	646,167
Holiday Pay		-	17,595	-	17,240
Medical		-	1,347,977	-	1,456,958
Payroll Accrual		-	-	-	47,978
Retiree Health		-	573,139	-	559,857
Retirement		-	1,919,926	-	1,754,287
Subtotal		-	\$4,528,475	-	\$4,571,531
Total Salaries and Benefits		129.0	\$13,176,087	129.0	\$12,996,054
Cost Per FTE Position			\$102,140		\$100,745
Statewide Benefit Assessment		-	313,305	-	306,043
Subtotal		-	\$313,305	-	\$306,043
Payroll Costs		129.0	\$13,489,392	129.0	\$13,302,097

Personnel

Department Of Corrections Community Corrections

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Medical Services		-	255,000	-	255,000
Other Contract Services		-	526,028	-	255,300
Training and Educational Services		-	27,667	-	27,667
University and College Services		-	35,460	-	-
Subtotal		-	\$844,155	-	\$537,967
Total Personnel		129.0	\$14,333,547	129.0	\$13,840,064
Distribution By Source Of Funds					
General Revenue		129.0	13,807,624	129.0	13,664,667
Federal Funds		-	490,790	-	143,758
Restricted Receipts		-	35,133	-	31,639
Total All Funds		129.0	\$14,333,547	129.0	\$13,840,064

Personnel

Department Of Corrections Internal Services

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Associate Director - Industries	0137A	1.0	94,538	-	-
Chief Distribution Officer	0831A	1.0	72,475	-	-
Industries General Supervisor	0628A	2.0	142,164	-	-
Marketing/Sales Manager	0626A	1.0	67,495	-	-
Metal Stamping Shop Supervisor	0620A	1.0	58,106	-	-
Printing Shop Supervisor	0623A	2.0	116,106	-	-
Horticulture Shop Supervisor	0622A	2.0	111,761	-	-
Furniture/Upholstery Shop Supvr.	0622A	2.0	109,299	-	-
Auto Body Shop Supervisor	0622A	1.0	54,647	-	-
Garment Shop Supervisor	0621A	1.0	53,309	-	-
Janitorial/Maintenance Supervisor	0621A	1.0	52,195	-	-
Assistant Business Management Officer	0619A	1.0	48,747	-	-
Warehouse Supervisor	0317A	1.0	47,166	-	-
Senior Inspector	0318A	1.0	44,652	-	-
Storekeeper	0617A	1.0	43,337	-	-
Fiscal Clerk	0314A	2.0	86,319	-	-
Senior Reconciliation Clerk	0314C	2.0	78,985	-	-
Warehouse Worker	0313A	6.0	236,519	-	-
	Subtotal	29.0	\$1,517,820	-	-
Overtime		-	67,572	-	-
Turnover		-	(39,140)	-	-
	Subtotal	-	\$28,432	-	-
	Total Salaries	29.0	\$1,546,252	-	-
Benefits					
Contract Stipends		-	14,110	-	-
FICA		-	118,654	-	-
Holiday Pay		-	4,791	-	-
Medical		-	328,514	-	-
Payroll Accrual		-	-	-	-
Retiree Health		-	101,437	-	-
Retirement		-	339,800	-	-
	Subtotal	-	\$907,306	-	-
	Total Salaries and Benefits	29.0	\$2,453,558	-	-
	Cost Per FTE Position		\$84,605		
Statewide Benefit Assessment		-	55,451	-	-
	Subtotal	-	\$55,451	-	-
	Payroll Costs	29.0	\$2,509,009	-	-

Personnel

Department Of Corrections Internal Services

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services		-	45	-	-
Design and Engineering Services		-	2,000	-	-
Information Technology		-	645,000	-	-
Other Contract Services		-	12,293	-	-
University and College Services		-	100,000	-	-
Subtotal		-	\$759,338	-	-
Total Personnel		29.0	\$3,268,347	-	-
Distribution By Source Of Funds					
Internal Service Funds		29.0	3,268,347	-	-
Total All Funds		29.0	\$3,268,347	-	-

1 Under the Department's Reorganization Plan, the Central Distribution Center is depicted in FY 2103 under Central Management, and Correctional Industries is depicted under Institution Based Rehabilitation & Population Management.

Department Of Corrections Performance Measure Narratives

Institutional Support

Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population

This indicator measures the number of prison escapes, attempted escapes and acts of absconding per 1,000 inmates in the average daily population in the Rhode Island correctional system. It assumes that a lower rate contributes to greater public safety. The data below includes security breaches in which an inmate has fled from a residential correctional facility. It also includes breaches of trust or walkaways in which an inmate has left a minimum security out-of-facility work crew without authorization or has gone beyond supervisory restrictions while on work release, furlough or home confinement. This measure relates to the department's stated objective to maintain secure facilities which ensure the safety of staff, inmates and the general public.

Ideally, the benchmark for this measure would be zero. However, escapes from various community settings (minimum-security work crews, furloughs and work release) are inevitable and represent a low public safety risk to the community. The standard is the number of such incidences per 1,000 inmates in states operating unified correctional systems which includes Alaska, Connecticut, Delaware, Hawaii, Rhode Island and Vermont. States with unified correctional systems are those in which the state administers both jails and prisons. The data used as the benchmark is from the latest Criminal Justice Institute's Yearbook (2002).

Incidence of Violence in the Correctional Facilities

This indicator measures the annual number of violent incidences by inmates on staff and/or other inmates per 100 in the average daily population. A lower assault rate is consistent with the department's goal to provide greater safety for the inmate population and the institutional staff. The department does not anticipate that the level reported in FY2009 will be sustained due to the consolidation of population and module closures as the inmate population is confined to an increased population density in the housing units.

Ideally, the benchmark for this measure would be zero, but this is not a realistic expectation given the nature of the offender population. The standard is the lowest number of violence incidences per 100 inmates in the average daily population in a previous fiscal year.

Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population - Escape from Secure Facility

FY 2011 Performance Review:

The number of reported incidents has decreased as population has decreased; however, the escape from the secure facility in FY11 indicated release protocol shortcomings and was addressed through the addition of iris scan technology in the release process.

FY 2012 and FY 2013 Performance Projections:

The nature of this measure is resulting from the actions of the inmate population. For planning purposes, it is assumed that the average of FY06 and FY08 are more reflective of an average year.

Department Of Corrections Performance Measure Narratives

Acts of Absconding per 1,000 Inmates in the Average Daily Population - Attempted Escape from Secure Facility

FY 2011 Performance Review:

The number of reported incidents has decreased as population has decreased; however, the escape from the secure facility in FY11 indicated release protocol shortcomings and was addressed through the addition of iris scan technology in the release process.

FY 2012 and FY 2013 Performance Projections:

The nature of this measure is resulting from the actions of the inmate population. For planning purposes, it is assumed that the average of FY06 and FY08 are more reflective of an average year.

Acts of Absconding per 1,000 Inmates in the Average Daily Population - Escape from Non-Secure Facility

FY 2011 Performance Review:

The number of reported incidents has decreased as population has decreased; however, the escape from the secure facility in FY11 indicated release protocol shortcomings and was addressed through the addition of iris scan technology in the release process.

FY 2012 and FY 2013 Performance Projections:

The nature of this measure is resulting from the actions of the inmate population. For planning purposes, it is assumed that the average of FY06 and FY08 are more reflective of an average year.

Acts of Absconding per 1,000 Inmates in the Average Daily Population - Attempted Escape from Non-Secure Facility

FY 2011 Performance Review:

The number of reported incidents has decreased as population has decreased; however, the escape from the secure facility in FY11 indicated release protocol shortcomings and was addressed through the addition of iris scan technology in the release process.

FY 2012 and FY 2013 Performance Projections:

The nature of this measure is resulting from the actions of the inmate population. For planning purposes, it is assumed that the average of FY06 and FY08 are more reflective of an average year.

Department Of Corrections Performance Measure Narratives

Acts of Absconding per 1,000 Inmates in the Average Daily Population - Abscond from Minimum Work Crew, Work Release, Furlough

FY 2011 Performance Review:

The number of reported incidents has decreased as population has decreased; however, the escape from the secure facility in FY11 indicated release protocol shortcomings and was addressed through the addition of iris scan technology in the release process.

FY 2012 and FY 2013 Performance Projections:

The nature of this measure is resulting from the actions of the inmate population. For planning purposes, it is assumed that the average of FY06 and FY08 are more reflective of an average year.

Incidence of Violence in the Correctional Facilities

This indicator measures the annual number of violent incidences by inmates on staff and/or other inmates per 100 in the average daily population. A lower assault rate is consistent with the department's goal to provide greater safety for the inmate population and the institutional staff. The department does not anticipate that the level reported in FY2009 will be sustained due to the consolidation of population and module closures as the inmate population is confined to an increased population density in the housing units.

Ideally, the benchmark for this measure would be zero, but this is not a realistic expectation given the nature of the offender population. The standard is the lowest number of violence incidences per 100 inmates in the average daily population in a previous fiscal year.

Community Corrections

Percentage of Closed Cases Successfully Completing Terms of Community Confinement or Electronic Monitoring Parole

This indicator measures the number of offenders who complete their terms of community confinement successfully (not committing technical violations or new crimes) as a percentage of the total cases closed. It is expressed as a percentage of total case closures in the same year. It is assumed that completion of a specified term of intense community monitoring with neither technical violation nor commission of a further crime is an indicator that the offender has at least partially met the goal of successful reintegration into the community as a law-abiding, productive citizen.

The original historic standard was seventy-five percent and this standard has been obtained in 2010 and 2011.

Agency

Judicial Department - Constitution

Agency Mission

In our mission to administer justice while maintaining our independence, we are duty bound to act with unfailing honor to serve and protect the ideals of democracy for the citizens of this state.

Agency Description

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

The state budget officer's duties and powers relating to budgetary controls and personnel requests of the judicial department are purely ministerial, concerned only with the availability of the funds. Itemized estimates of the financial needs of the Judiciary are submitted, without revision, by the budget officer to the Governor on or before October 1 for inclusion in the budget. In turn the Governor includes in the budget recommendation to the General Assembly the financial needs of the Judiciary without revision.

Statutory History

The Judicial is one of the three branches of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.

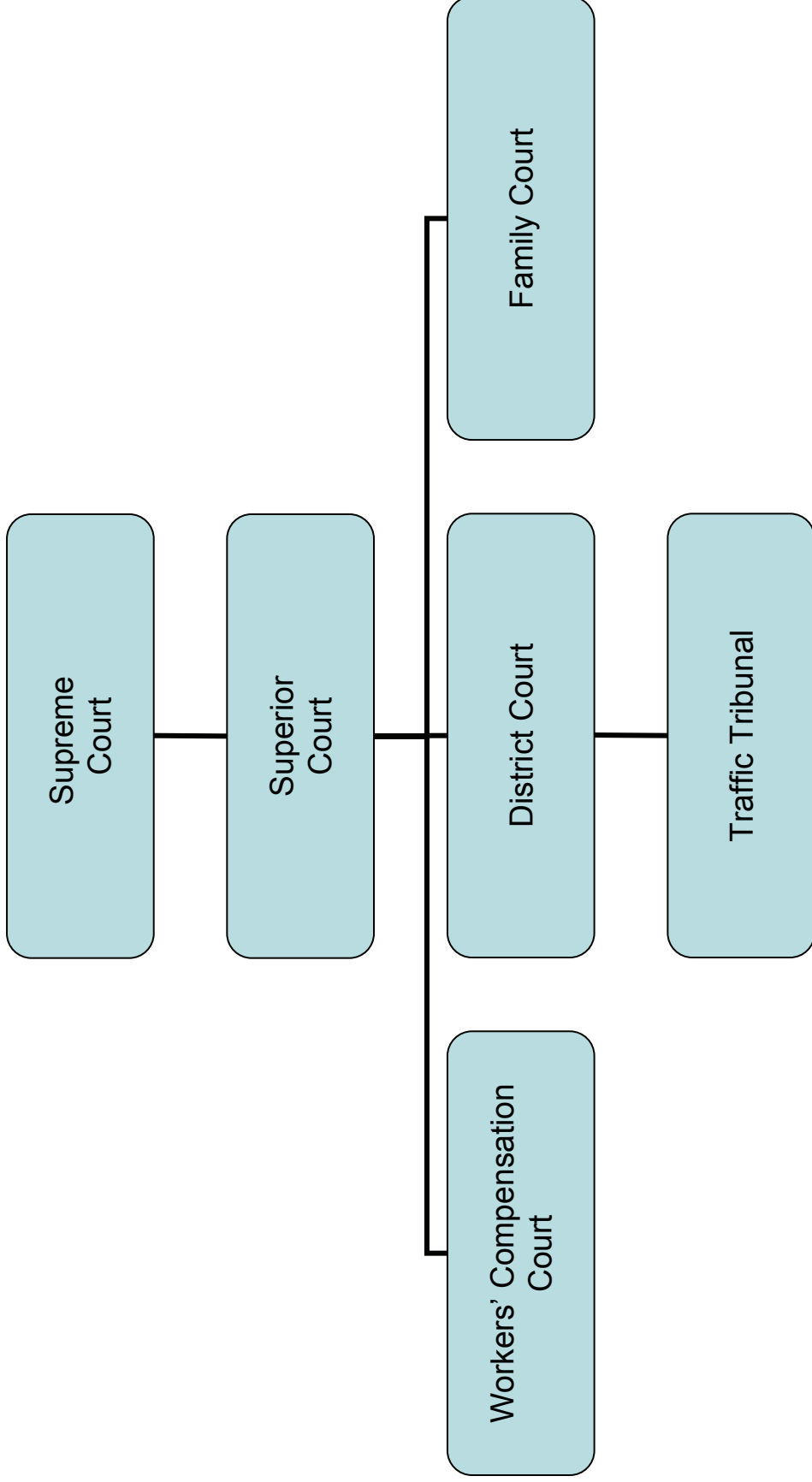
Budget

Judicial Department - Constitution

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Supreme Court	29,971,231	31,263,806	32,689,351	33,093,591	33,077,587
Superior Court	19,625,856	20,851,178	21,437,191	22,243,520	22,538,980
Family Court	17,881,700	19,136,922	20,817,192	20,581,320	21,205,406
District Court	10,134,816	10,961,933	11,386,765	11,729,519	11,924,611
Traffic Tribunal	7,368,034	7,572,756	7,752,175	8,093,404	8,239,888
Worker's Compensation Court	6,837,669	7,273,592	7,754,978	7,713,469	8,215,324
Judicial Tenure & Discipline	106,404	106,076	111,282	113,369	113,609
Total Expenditures	\$91,925,710	\$97,166,263	\$101,948,934	\$103,568,192	\$105,315,405
Expenditures By Object					
Personnel	70,462,692	74,100,105	77,860,399	80,634,832	81,626,075
Operating Supplies and Expenses	9,906,734	10,142,041	11,006,531	10,339,414	10,677,591
Assistance and Grants	9,831,630	10,391,610	11,146,198	10,558,386	10,536,502
Subtotal: Operating Expenditures	90,201,056	94,633,756	100,013,128	101,532,632	102,840,168
Capital Purchases and Equipment	1,724,654	2,532,507	1,935,806	2,035,560	2,475,237
Total Expenditures	\$91,925,710	\$97,166,263	\$101,948,934	\$103,568,192	\$105,315,405
Expenditures By Funds					
General Revenue	78,865,431	84,300,614	87,073,983	88,709,899	89,554,264
Federal Funds	2,830,983	2,555,921	3,576,588	3,079,400	2,746,273
Restricted Receipts	8,843,617	9,480,024	10,198,363	10,657,810	11,339,868
Operating Transfers from Other Funds	1,385,679	829,704	1,100,000	1,121,083	1,675,000
Total Expenditures	\$91,925,710	\$97,166,263	\$101,948,934	\$103,568,192	\$105,315,405
FTE Authorization	699.7	723.3	723.3	723.3	723.3
Agency Measures					
Minorities as a Percentage of the Workforce	9.7%	9.9%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	67.0%	66.0%	67.0%	67.0%	67.0%
Persons with Disabilities as a Percentage of the Workforce	0.7%	0.7%	1.0%	1.0%	1.0%

The Agency

Judicial Department



Personnel

Judicial Department - Constitution Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Unclassified	723.3	52,049,932	723.3	52,071,995
Overtime	-	420,062	-	420,062
Payment for Deferred Furlough Days	-	29,158	-	-
Payment for Deferred Furlough Days	-	38,003	-	-
Turnover	-	(2,240,743)	-	(1,818,057)
Total Salaries	723.3	\$50,296,412	723.3	\$50,674,000
Benefits				
Defined Contribution Plan	-	-	-	408,179
FICA	-	3,472,981	-	3,500,964
Medical	-	7,472,696	-	8,427,552
Payroll Accrual	-	-	-	201,015
Retiree Health	-	3,465,229	-	3,498,131
Retirement	-	10,996,580	-	10,475,841
Total Salaries and Benefits	723.3	\$75,703,898	723.3	\$77,185,682
Cost Per FTE Position		\$104,665		\$106,713
Statewide Benefit Assessment	-	1,867,842	-	1,884,518
Payroll Costs	723.3	\$77,571,740	723.3	\$79,070,200
Purchased Services				
Building and Grounds Maintenance	-	3,312	-	3,312
Clerical and Temporary Services	-	1,068,437	-	965,069
Information Technology	-	891,945	-	609,945
Legal Services	-	435,417	-	435,417
Management and Consultant Services	-	189,950	-	140,203
Medical Services	-	12,000	-	12,000
Other Contract Services	-	412,031	-	379,929
Training and Educational Services	-	50,000	-	10,000
Total Personnel	723.3	\$80,634,832	723.3	\$81,626,075
Distribution by Source of Funds				
General Revenue	631.1	69,128,935	631.1	70,202,420
Federal Funds	17.6	2,489,041	17.6	2,309,349
Restricted Receipts	74.6	9,016,856	74.6	9,114,306

Personnel

Judicial Department - Constitution Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Total All Funds	723.3	\$80,634,832	723.3	\$81,626,075

The Program

Judicial Department - Constitution Supreme Court

Program Mission

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

Program Description

The Supreme Court Program includes the budget for the Supreme Court itself, the Administrative Office of State Courts, and the Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, mandatory continuing legal education, victim rights information, alternative dispute resolution, disciplinary counsel, the interpreter program.

Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department - Constitution Supreme Court

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Supreme Court Operations	26,421,495	27,815,702	29,127,111	29,531,351	29,515,347
Defense of Indigents	3,549,736	3,448,104	3,562,240	3,562,240	3,562,240
Total Expenditures	\$29,971,231	\$31,263,806	\$32,689,351	\$33,093,591	\$33,077,587
Expenditures By Object					
Personnel	17,187,878	17,658,290	18,320,468	19,230,775	19,126,317
Operating Supplies and Expenses	6,841,696	7,422,115	8,102,209	7,551,436	7,218,380
Assistance and Grants	4,523,891	4,472,223	4,572,005	4,517,131	4,500,261
Subtotal: Operating Expenditures	28,553,465	29,552,628	30,994,682	31,299,342	30,844,958
Capital Purchases and Equipment	1,417,766	1,711,178	1,694,669	1,794,249	2,232,629
Total Expenditures	\$29,971,231	\$31,263,806	\$32,689,351	\$33,093,591	\$33,077,587
Expenditures By Funds					
General Revenue	26,930,564	28,800,456	29,887,681	30,002,810	29,650,517
Federal Funds	285,525	269,004	341,723	503,750	220,021
Restricted Receipts	1,369,463	1,364,642	1,359,947	1,465,948	1,532,049
Operating Transfers from Other Funds	1,385,679	829,704	1,100,000	1,121,083	1,675,000
Total Expenditures	\$29,971,231	\$31,263,806	\$32,689,351	\$33,093,591	\$33,077,587
Program Measures					
Disposition Rate of Appeal Cases	93.0%	80.0%	88.0%	88.0%	88.0%
Objective	100.0%	100.0%		100.0%	100.0%

Personnel

Judicial Department - Constitution Supreme Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief Justice	09F	1.0	218,760	1.0	218,760
Associate Justice	08F	4.0	745,766	4.0	745,767
State Court Administrator	48	1.0	165,125	1.0	165,125
Chief Disciplinary Counsel	44	1.0	143,555	1.0	143,555
Director of Finance/Asst. Admin.	44	1.0	138,415	1.0	138,415
Deputy State Court Administrator	45	1.0	136,531	1.0	136,530
Chief Supervisory Clerk	42	3.0	382,979	3.0	382,979
Deputy Exec. Asst./Communications	41	2.0	254,095	2.0	254,095
Executive Assistant for Policy & Program Review	43	1.0	126,478	1.0	126,032
Clerk Pro Tempore	41	1.0	111,453	1.0	111,453
Deputy Disciplinary Counsel	37	1.0	105,552	1.0	105,552
Asst. Admin. Policy & Programs	37	2.0	208,582	2.0	210,013
Assistant Director/Policy Office	39	7.0	700,654	7.0	700,655
Dir of Consumer Protection/Educ. Program	35	1.0	98,986	1.0	98,986
Public Information Officer	35	1.0	98,882	1.0	98,803
Principal Court Functional Specialist	36	1.0	98,256	1.0	98,178
Staff Attorney IV	34	1.0	94,729	1.0	94,729
Chief, Staff Attorney	35	2.0	184,121	2.0	184,121
Software Support Specialist	33	6.0	545,641	6.0	545,166
Assistant Disciplinary Counsel	31	2.0	170,055	2.0	170,054
Staff Attorney II	30	1.8	147,963	1.8	147,963
Executive Director/Exec Administrator	31	1.0	81,473	1.0	81,473
Administrative Clerk	29	1.0	81,176	1.0	81,176
Confidential Investigator	31	2.0	159,878	2.0	159,877
Project Manager	30	5.0	393,290	5.0	399,792
Principal Supervisory Clerk	30	2.0	155,744	2.0	155,744
Administrative Assistant	29	3.0	231,903	3.0	231,664
Staff Attorney	29	4.7	341,413	4.7	341,413
Special Assistant	29	3.0	217,788	3.0	217,485
Administrative Clerk Office Services	27	2.0	144,073	2.0	143,912
Deputy Law Librarian	26	1.0	70,640	1.0	70,560
Project Manager	30	1.0	68,777	1.0	65,502
Coordinator, Special Projects	27	6.0	399,058	6.0	399,023
Principal Deputy Clerk Systems	25	1.0	61,892	1.0	61,892
Principal Administrative Clerk	25	2.0	123,176	2.0	125,374
Principal Assistant Administrator	25	3.0	184,267	3.0	184,268
Office Manager	24	3.0	180,512	3.0	180,297
Sr. Monitoring & Evaluation Spec.	25	3.0	178,471	3.0	178,471
Assistant Building & Grounds Officer	24	4.0	233,390	4.0	233,389
Bar Administrator	29	1.0	58,189	1.0	58,189
Senior Management Analyst	23	6.0	325,310	6.0	325,893
Assistant Supervising Clerk	22	2.0	107,506	2.0	107,357
Executive Secretary	23	1.0	53,576	1.0	55,471
Monitoring & Evaluation Specialist	23	3.0	159,012	3.0	159,012

Personnel

Judicial Department - Constitution Supreme Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Law Clerks	23	27.0	1,405,569	27.0	1,405,569
Administrative Assistant	22	5.0	254,198	5.0	254,160
Operations Technician	21	0.6	29,451	0.6	29,409
Senior Administrative Aide	21	0.6	29,451	0.6	29,409
Administrative Aide	16	1.0	48,716	1.0	48,637
Deputy Clerk Interpreter	20	5.0	241,733	5.0	241,888
Records Custodian-Documents Distribution	18	4.0	184,318	4.0	186,301
Confidential Secretary	17	1.0	45,962	1.0	45,962
Administrative Assistant II	20	1.0	43,433	1.0	43,401
Administrative Assistant II	15	3.6	156,028	3.6	155,271
Secretary Assistant	11	0.8	32,270	0.8	32,207
Building Maintenance Supervisor	18G	1.0	39,419	1.0	39,436
Principal Clerk Typist	10	1.0	35,252	1.0	35,181
Administrative Aide	10	0.6	20,966	0.6	20,966
Janitor	09	2.0	68,200	2.0	69,000
Senior Janitor	12	1.0	32,335	1.0	33,305
Seasonal; Editor III (judicial)	10	-	24,205	-	24,205
Subtotal		157.7	\$11,578,598	157.7	\$11,588,472
Overtime		-	236,064	-	236,064
Payment for Deferred Furlough Days		-	15,306	-	-
Turnover		-	(104,019)	-	(180,550)
Subtotal		-	\$147,351	-	\$55,514
Total Salaries		157.7	\$11,725,949	157.7	\$11,643,986
Benefits					
Defined Contribution Plan		-	-	-	106,421
FICA		-	833,805	-	828,732
Medical		-	1,768,359	-	1,937,746
Payroll Accrual		-	-	-	45,631
Retiree Health		-	795,877	-	791,880
Retirement		-	2,625,512	-	2,428,763
Subtotal		-	\$6,023,553	-	\$6,139,173
Total Salaries and Benefits		157.7	\$17,749,502	157.7	\$17,783,159
Cost Per FTE Position			\$112,552		\$112,766
Statewide Benefit Assessment		-	430,296	-	427,794
Subtotal		-	\$430,296	-	\$427,794
Payroll Costs		157.7	\$18,179,798	157.7	\$18,210,953

Personnel

Judicial Department - Constitution Supreme Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Building and Grounds Maintenance		-	3,312	-	3,312
Clerical and Temporary Services		-	184,906	-	97,408
Information Technology		-	543,000	-	543,000
Legal Services		-	87,185	-	87,185
Other Contract Services		-	222,574	-	174,458
Training and Educational Services		-	10,000	-	10,000
Subtotal		-	\$1,050,977	-	\$915,363
Total Personnel		157.7	\$19,230,775	157.7	\$19,126,316
Distribution By Source Of Funds					
General Revenue		147.1	17,771,063	147.1	17,785,583
Federal Funds		-	127,490	-	39,992
Restricted Receipts		10.6	1,332,222	10.6	1,300,742
Total All Funds		157.7	\$19,230,775	157.7	\$19,126,317

The Program

Judicial Department - Constitution Superior Court

Program Mission

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

Program Description

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

□

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department - Constitution Superior Court

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Superior Court Operations	18,308,454	19,315,932	19,977,748	20,629,433	20,910,929
Jury Operations	1,317,402	1,535,246	1,459,443	1,614,087	1,628,051
Total Expenditures	\$19,625,856	\$20,851,178	\$21,437,191	\$22,243,520	\$22,538,980
Expenditures By Object					
Personnel	15,939,690	17,149,435	17,718,325	18,687,815	19,059,820
Operating Supplies and Expenses	1,363,084	1,172,478	1,207,181	1,172,608	1,147,613
Assistance and Grants	2,252,220	2,372,356	2,448,795	2,320,207	2,268,657
Subtotal: Operating Expenditures	19,554,994	20,694,269	21,374,301	22,180,630	22,476,090
Capital Purchases and Equipment	70,862	156,909	62,890	62,890	62,890
Total Expenditures	\$19,625,856	\$20,851,178	\$21,437,191	\$22,243,520	\$22,538,980
Expenditures By Funds					
General Revenue	19,251,241	20,303,527	20,865,210	21,634,785	21,911,328
Federal Funds	96,774	87,692	72,985	100,022	100,025
Restricted Receipts	277,841	459,959	498,996	508,713	527,627
Total Expenditures	\$19,625,856	\$20,851,178	\$21,437,191	\$22,243,520	\$22,538,980
Program Measures					
Percentage of felony Cases Annually Disposed of Within 180 Days	69.0%	65.0%	65.0%	65.0%	65.0%
Objective	75.0%	75.0%		75.0%	75.0%
Disposition Rate of Civil Cases	102.0%	109.0%	100.0%	100.0%	100.0%
Objective	100.0%	100.0%		100.0%	100.0%

Personnel

Judicial Department - Constitution Superior Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Presiding Justice	07F	1.0	196,954	1.0	196,954
Associate Justice	05F	21.0	3,498,903	21.0	3,506,365
Special Magistrate	03F	1.0	164,379	1.0	164,379
Magistrate	03F	3.0	489,640	3.0	489,640
Administrative Clerk	46	1.0	154,340	1.0	154,340
General Magistrate	03F	1.0	153,887	1.0	153,887
Clerk (Providence County)	39	1.0	120,434	1.0	120,434
Jury Commissioner	37	1.0	106,120	1.0	106,120
Administrator Arbitration Program	39	1.0	96,104	1.0	96,102
General Chief Clerk	35	1.0	90,643	1.0	90,643
Clerk (Newport County)	32	1.0	88,760	1.0	88,759
Deputy Administrator/Clerk	34	1.0	87,519	1.0	87,519
Clerk (Washington County)	32	1.0	85,062	1.0	85,061
Confidential Investigator	31	1.0	83,671	1.0	83,671
Assistant Administrator/Mgmt & Finance	34	1.0	79,563	1.0	79,563
Clerk (Kent County)	34	1.0	77,161	1.0	77,161
Associate Jury Commissioner	33	1.0	76,082	1.0	76,081
Project Manager	30	2.0	151,933	2.0	151,933
Special Assistant	29	1.0	75,769	1.0	75,769
Administrative Clerk	29	1.0	72,464	1.0	72,387
Supervisory Clerk	26	4.0	277,953	4.0	277,937
Project Coordinator	26	1.0	69,221	1.0	69,140
Court Reporter	27	28.0	1,917,980	28.0	1,923,698
Deputy Clerk I	24	3.0	194,259	3.0	194,017
Manager Calendar Services (Prov. County)	24	1.0	63,767	1.0	63,685
Manager Calendar Services (Out County)	24	1.0	63,628	1.0	63,546
Supervising Deputy Clerk	26	1.0	61,485	1.0	61,485
Asst. Mgr. Calendar Services (Out County)	23	1.0	60,398	1.0	61,092
Asst. Mgr. Calendar Services (Prov. County)	23	1.0	59,332	1.0	59,253
Assistant Supervisory Clerk	22	2.0	116,504	2.0	116,344
Coordinator Special Projects	27	1.0	58,121	1.0	58,047
Court Secretary Superior	23	1.0	57,053	1.0	57,053
Senior Administrative Aide	21	1.0	56,308	1.0	56,230
Production Systems Specialist	20	1.0	53,717	1.0	54,346
Assistant Administrative Officer	21	1.0	52,450	1.0	52,273
Deputy Clerk	20	26.0	1,338,461	26.0	1,339,204
Monitoring & Evaluation Specialist	23	2.0	100,465	2.0	100,465
Assistant Court Secretary	21	3.0	145,812	3.0	145,812
Principal Assistant Administrator	25	1.0	48,576	1.0	48,576
Policy Aide	18	4.0	187,312	4.0	187,012
Confidential Secretary	17	1.0	45,962	1.0	45,962
Administrative Aide	16	1.0	45,772	1.0	45,695
Electronic Court Reporter	19	2.7	118,982	2.7	118,913
Assistant Clerk (Superior Court)	18	9.0	379,845	9.0	378,094

Personnel

Judicial Department - Constitution Superior Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Administrative Assistant II	15	1.0	41,270	1.0	41,270
Sr. Administrative Aide	17	1.0	41,140	1.0	42,363
Administrative Aide	15	1.0	37,935	1.0	37,867
General Operations Assistant	14	9.0	330,559	9.0	330,184
Administrative Assistant	14	1.0	35,121	1.0	35,121
General Registry Clerk	14	1.0	33,750	1.0	33,640
Data Entry Aide	10	1.0	31,963	1.0	31,895
Records Clerk Data Entry Aide	10	10.0	318,618	10.0	317,852
Subtotal		165.7	\$12,393,107	165.7	\$12,404,839
Overtime		-	43,707	-	43,707
Payment for Deferred Furlough Days		-	13,852	-	-
Turnover		-	(382,326)	-	(267,437)
Subtotal		-	(\$324,767)	-	(\$223,730)
Total Salaries		165.7	\$12,068,340	165.7	\$12,181,109
Benefits					
Defined Contribution Plan		-	-	-	90,107
FICA		-	816,554	-	823,805
Medical		-	1,592,116	-	1,785,127
Payroll Accrual		-	-	-	48,549
Retiree Health		-	840,647	-	850,077
Retirement		-	2,568,192	-	2,474,332
Subtotal		-	\$5,817,509	-	\$6,071,997
Total Salaries and Benefits		165.7	\$17,885,849	165.7	\$18,253,106
Cost Per FTE Position			\$107,941		\$110,158
Statewide Benefit Assessment		-	450,404	-	455,152
Subtotal		-	\$450,404	-	\$455,152
Payroll Costs		165.7	\$18,336,253	165.7	\$18,708,258
Purchased Services					
Clerical and Temporary Services		-	27,931	-	27,931
Legal Services		-	311,631	-	311,631
Medical Services		-	12,000	-	12,000
Subtotal		-	\$351,562	-	\$351,562
Total Personnel		165.7	\$18,687,815	165.7	\$19,059,820

Personnel

Judicial Department - Constitution Superior Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		163.7	18,186,027	163.7	18,558,369
Restricted Receipts		2.0	501,788	2.0	501,451
Total All Funds		165.7	\$18,687,815	165.7	\$19,059,820

The Program

Judicial Department - Constitution Family Court

Program Mission

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

Program Description

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the Court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative and mediation services to the court. The Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, the Mental Health Court Clinic, and the Juvenile Re-entry Court.

Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

The Budget

Judicial Department - Constitution Family Court

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	17,881,700	19,136,922	20,817,192	20,581,320	21,205,406
Total Expenditures	\$17,881,700	\$19,136,922	\$20,817,192	\$20,581,320	\$21,205,406
Expenditures By Object					
Personnel	16,511,673	17,319,361	18,777,500	18,995,988	19,383,741
Operating Supplies and Expenses	490,139	440,496	479,734	373,613	565,214
Assistance and Grants	775,214	1,030,781	1,488,811	1,140,572	1,185,304
Subtotal: Operating Expenditures	17,777,026	18,790,638	20,746,045	20,510,173	21,134,259
Capital Purchases and Equipment	104,674	346,284	71,147	71,147	71,147
Total Expenditures	\$17,881,700	\$19,136,922	\$20,817,192	\$20,581,320	\$21,205,406
Expenditures By Funds					
General Revenue	15,433,016	16,875,534	17,533,090	17,550,647	18,144,955
Federal Funds	2,448,684	2,187,175	3,031,752	2,345,500	2,296,099
Restricted Receipts	-	74,213	252,350	685,173	764,352
Total Expenditures	\$17,881,700	\$19,136,922	\$20,817,192	\$20,581,320	\$21,205,406
Program Measures					
Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diverted or Referred to Court Within 45 Days	88.0%	79.0%	80.0%	80.0%	80.0%
Objective	80.0%	80.0%		80.0%	80.0%
Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days	68.0%	67.0%	67.0%	67.0%	67.0%
Objective	75.0%	75.0%		75.0%	75.0%
Percentage of Dependency/Neglect/Abuse Cases Adjudicated Within 180 Days of Filing	45.0%	46.0%	45.0%	45.0%	45.0%
Objective	65.0%	65.0%		65.0%	65.0%
Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days of Filing	67.0%	76.0%	70.0%	70.0%	75.0%
Objective	75.0%	75.0%		75.0%	75.0%
Percentage of Divorce Cases	99.9%	99.9%	99.9%	99.9%	99.9%
Objective	100.0%	100.0%		100.0%	100.0%

Personnel

Judicial Department - Constitution

Family Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief Judge	07F	1.0	196,954	1.0	196,954
General Magistrate	03F	1.0	168,376	1.0	168,376
Associate Justice	05F	11.0	1,834,462	11.0	1,830,117
Administrative Clerk	46	1.0	153,809	1.0	153,809
Magistrate	03F	6.0	919,824	6.0	919,823
Administrative Director CASA	43	1.0	135,287	1.0	135,287
Administrator Clerk	43	1.0	133,638	1.0	133,638
Deputy Exec Asst Communications	41	1.0	127,945	1.0	125,280
Senior Policy Associate	38	1.0	111,961	1.0	111,959
Deputy Administrator Clerk	34	3.0	292,668	3.0	292,667
Deputy Dir., Community Affairs	34	2.0	185,183	2.0	185,183
Staff Attorney V	36	4.0	363,754	4.0	363,119
Director of Intergovernmental Relations	40	1.0	88,177	1.0	88,177
Fiscal Management Officer	32	1.0	86,021	1.0	86,020
Deputy Director	32	1.0	85,261	1.0	85,260
Staff Attorney III	32	8.0	647,350	8.0	647,343
Exec. Dir/Exec. Administrator	31	1.0	77,646	1.0	77,279
Executive Director	36	1.0	75,153	1.0	75,153
Project Manager	30	1.0	74,866	1.0	74,848
Executive Director/Executive Assistant	31	2.0	149,538	2.0	149,539
Principal Planning & Program Specialist	28	2.0	147,935	2.0	149,222
Principal Deputy Clerk Systems	25	1.0	71,855	1.0	69,871
Court Reporter	27	16.0	1,090,675	16.0	1,091,137
Assistant Intake Supervisor	28	6.0	395,637	6.0	394,006
Social Caseworker II (CASA)	24	8.0	497,517	8.0	483,294
CASA Coordinator	22	1.0	61,733	1.0	61,733
Associate Executive Assistant	26	1.0	61,485	1.0	61,485
Principal Supervisory Clerk	30	2.0	120,950	2.0	120,951
Supervising Deputy Clerk	22	6.0	338,102	6.0	336,836
Coordinator Special Project	27	2.0	110,854	2.0	116,242
Volunteer Coordinator	22	1.0	54,753	1.0	54,752
Administrative Coordinator	20	1.0	53,167	1.0	53,166
Asst Administrative Officer	20	1.0	53,167	1.0	53,166
Mediation Counselor	20	5.0	263,016	5.0	265,325
Deputy Clerk	20	22.0	1,136,505	22.0	1,141,152
Executive Secretary	23	2.0	100,149	2.0	100,149
Sr. Administrative Aide	17	3.0	147,038	3.0	147,038
Electronic Court Reporter	19	8.6	391,417	8.6	391,394
Social Caseworker	22	2.0	88,384	2.0	88,384
Administrative Assistant II	15	1.0	43,236	1.0	43,236
Clerk Secretary	14	1.0	42,105	1.0	42,105
Sr. Data Entry Operator	12	14.5	597,544	14.5	599,152
Administrative Assistant	15	2.0	82,062	2.0	82,061
Domestic Violence Liaison	18	1.0	39,327	1.0	39,327

Personnel

Judicial Department - Constitution Family Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Administrative Assistant	14	1.0	37,455	1.0	37,427
Clerk-Typist	05	1.0	35,344	1.0	36,584
Fiscal Clerk	05	1.0	35,304	1.0	36,691
Data Entry Aide	10	14.6	494,880	14.6	496,075
Subtotal		176.7	\$12,499,469	176.7	\$12,491,792
Overtime		-	46,841	-	46,841
Payment for Deferred Furlough Days		-	19,099	-	-
Turnover		-	(866,898)	-	(640,630)
Subtotal		-	(\$800,958)	-	(\$593,789)
Total Salaries		176.7	\$11,698,511	176.7	\$11,898,003
Benefits					
Defined Contribution Plan		-	-	-	103,791
FICA		-	823,658	-	836,872
Medical		-	1,850,306	-	2,132,630
Payroll Accrual		-	-	-	47,405
Retiree Health		-	804,516	-	820,235
Retirement		-	2,453,187	-	2,334,833
Subtotal		-	\$5,931,667	-	\$6,275,766
Total Salaries and Benefits		176.7	\$17,630,178	176.7	\$18,173,769
Cost Per FTE Position			\$99,775		\$102,851
Statewide Benefit Assessment		-	436,221	-	444,418
Subtotal		-	\$436,221	-	\$444,418
Payroll Costs		176.7	\$18,066,399	176.7	\$18,618,187
Purchased Services					
Clerical and Temporary Services		-	534,923	-	519,053
Information Technology		-	78,300	-	15,800
Legal Services		-	14,000	-	14,000
Management and Consultant Services		-	189,950	-	140,203
Other Contract Services		-	72,416	-	76,498
Training and Educational Services		-	40,000	-	-
Subtotal		-	\$929,589	-	\$765,554
Total Personnel		176.7	\$18,995,988	176.7	\$19,383,741

Personnel

Judicial Department - Constitution Family Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		151.1	16,079,447	151.1	16,536,758
Federal Funds		17.6	2,234,648	17.6	2,142,454
Restricted Receipts		8.0	681,893	8.0	704,529
Total All Funds		176.7	\$18,995,988	176.7	\$19,383,741

The Program

Judicial Department - Constitution District Court

Program Mission

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

Program Description

The District Court consists of a chief judge, an administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejection cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

The Budget

Judicial Department - Constitution District Court

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	10,134,816	10,961,933	11,386,765	11,729,519	11,924,611
Total Expenditures	\$10,134,816	\$10,961,933	\$11,386,765	\$11,729,519	\$11,924,611
Expenditures By Object					
Personnel	8,599,604	9,275,666	9,713,076	10,061,897	10,201,094
Operating Supplies and Expenses	425,542	352,481	347,895	344,239	366,785
Assistance and Grants	1,056,164	1,202,756	1,281,048	1,278,463	1,311,812
Subtotal: Operating Expenditures	10,081,310	10,830,903	11,342,019	11,684,599	11,879,691
Capital Purchases and Equipment	53,506	131,030	44,746	44,920	44,920
Total Expenditures	\$10,134,816	\$10,961,933	\$11,386,765	\$11,729,519	\$11,924,611
Expenditures By Funds					
General Revenue	9,776,172	10,642,265	10,924,545	11,314,884	11,493,967
Federal Funds	-	12,050	130,128	130,128	130,128
Restricted Receipts	358,644	307,618	332,092	284,507	300,516
Total Expenditures	\$10,134,816	\$10,961,933	\$11,386,765	\$11,729,519	\$11,924,611
Program Measures					
Percentage of Misdemeanor Cases Disposed of Within 60 Days	81.0%	77.0%	77.0%	77.0%	80.0%
Objective	90.0%	90.0%		90.0%	90.0%

Personnel

Judicial Department - Constitution District Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief Judge	07F	1.0	180,541	1.0	180,541
Administrative Judge	05F	1.0	175,318	1.0	175,318
Magistrate	03F	1.0	167,876	1.0	167,876
Clerk/Magistrate	03F	1.0	164,379	1.0	164,379
Associate Judge	10F	11.0	1,731,316	11.0	1,731,319
Administrative Clerk (District Court)	46	1.0	154,354	1.0	153,699
Deputy Exec Asst Communications	41	1.0	121,087	1.0	121,087
Assistant Admin. Policy & Programs	37	2.0	183,686	2.0	183,606
Chief Clerk/District Court	40	1.0	88,177	1.0	85,609
Clerk (Washington County)	32	1.0	88,057	1.0	87,977
Clerk (Newport County)	32	1.0	87,115	1.0	87,034
Administrative Clerk (District Court)	33	1.0	83,361	1.0	83,282
Special Assistant	29	1.0	83,005	1.0	83,005
Administrative Clerk	29	2.0	147,113	2.0	147,254
Deputy Chief Investigator	26	2.0	124,380	2.0	124,234
Deputy Clerk I	24	3.0	184,987	3.0	188,979
Supervisory Clerk	26	2.0	119,118	2.0	119,038
Office Manager	24	2.0	118,523	2.0	120,722
Administrative Clerk Office Services	27	2.0	118,032	2.0	117,958
Supervising Dpty Clk/Training Officer	23	16.0	911,124	16.0	911,355
Administrative Asst/Confidential Secretary	24	1.0	51,935	1.0	51,935
Assistant Clerk Research	18	1.0	49,292	1.0	50,005
Administrative Assistant	16	1.0	41,724	1.0	41,599
Senior Operations Clerk	16	2.0	82,634	2.0	81,524
Gen. Operations Assistant	14	2.0	77,116	2.0	77,979
Data Entry Operator	12	11.0	412,792	11.0	415,591
Rec. Clk/Data Entry Aide	10	21.0	718,414	21.0	718,590
Data Entry Aide	10	2.0	66,278	2.0	66,100
	Subtotal	94.0	\$6,531,734	94.0	\$6,537,595
Overtime		-	24,713	-	24,713
Payment for Deferred Furlough Days		-	8,700	-	-
Turnover		-	(357,491)	-	(351,937)
	Subtotal	-	(\$324,078)	-	(\$327,224)
	Total Salaries	94.0	\$6,207,656	94.0	\$6,210,371
Benefits					
Defined Contribution Plan		-	-	-	40,984
FICA		-	417,815	-	418,728
Medical		-	1,005,620	-	1,124,415
Payroll Accrual		-	-	-	24,743
Retiree Health		-	432,197	-	433,120
Retirement		-	1,425,647	-	1,375,344
	Subtotal	-	\$3,281,279	-	\$3,417,334
	Total Salaries and Benefits	94.0	\$9,488,935	94.0	\$9,627,705

Personnel

Judicial Department - Constitution District Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Cost Per FTE Position			\$100,946		\$102,422
Statewide Benefit Assessment		-	231,534	-	231,962
Subtotal		-	\$231,534	-	\$231,962
Payroll Costs		94.0	\$9,720,469	94.0	\$9,859,667
Purchased Services					
Building and Grounds Maintenance		-	-	-	-
Clerical and Temporary Services		-	309,646	-	309,646
Information Technology		-	1,145	-	1,145
Legal Services		-	4,601	-	4,601
Other Contract Services		-	26,036	-	26,036
Subtotal		-	\$341,428	-	\$341,428
Total Personnel		94.0	\$10,061,897	94.0	\$10,201,095
Distribution By Source Of Funds					
General Revenue		90.0	9,748,901	90.0	9,885,486
Federal Funds		-	126,903	-	126,903
Restricted Receipts		4.0	186,093	4.0	188,705
Total All Funds		94.0	\$10,061,897	94.0	\$10,201,094

The Program

Judicial Department - Constitution Traffic Tribunal

Program Mission

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

□

Maintain a complete and accurate record for every case.

Program Description

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is under the supervision of the chief magistrate, who is the administrative head of the Traffic Tribunal with the power to make rules for regulating practice, procedure and business within the Traffic Tribunal.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of alcohol and drugs, which, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its main courthouse in the John O. Pastore Center, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield.

Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed Senate Bill S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

The Budget

Judicial Department - Constitution Traffic Tribunal

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	7,368,034	7,572,756	7,752,175	8,093,404	8,239,888
Total Expenditures	\$7,368,034	\$7,572,756	\$7,752,175	\$8,093,404	\$8,239,888
Expenditures By Object					
Personnel	6,616,468	6,719,209	6,876,871	7,232,691	7,325,230
Operating Supplies and Expenses	237,026	304,605	338,298	341,479	347,065
Assistance and Grants	455,826	469,500	501,358	483,586	531,945
Subtotal: Operating Expenditures	7,309,320	7,493,314	7,716,527	8,057,756	8,204,240
Capital Purchases and Equipment	58,714	79,442	35,648	35,648	35,648
Total Expenditures	\$7,368,034	\$7,572,756	\$7,752,175	\$8,093,404	\$8,239,888
Expenditures By Funds					
General Revenue	7,368,034	7,572,756	7,752,175	8,093,404	8,239,888
Total Expenditures	\$7,368,034	\$7,572,756	\$7,752,175	\$8,093,404	\$8,239,888
Program Measures					
Percentage of Summons Disposed Within 60 Days	96.0%	96.0%	96.0%	96.0%	96.0%
Objective	100.0%	100.0%		100.0%	100.0%
Objective					

Personnel

Judicial Department - Constitution Traffic Tribunal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief Magistrate	10F	1.0	168,739	1.0	168,739
Judge, Traffic Tribunal	03F	3.0	498,719	3.0	498,719
Magistrate, Traffic Tribunal	03F	4.0	640,030	4.0	640,029
Executive Director	44	1.0	134,955	1.0	137,579
Administrator	38	1.0	108,486	1.0	109,477
Business Management Officer	35	1.0	99,364	1.0	99,870
Asst Administrator Policy & Programs	37	1.0	90,631	1.0	90,520
Principal Supervisory Clerk	30	1.0	80,439	1.0	80,439
Coordinator of Special Projects	27	1.0	71,602	1.0	71,602
Administrative Clerk/Office Services	27	2.0	142,921	2.0	143,677
Project Coordinator	26	1.0	65,764	1.0	65,687
Deputy Clerk I	24	2.0	122,476	2.0	123,222
Assistant Legal Counsel	22	0.6	35,962	0.6	35,961
Principal Assistant Administrator	25	3.0	172,088	3.0	171,937
Administrative Clerk I	29	1.0	56,819	1.0	56,819
Administrative Clerk	44	0.6	34,091	0.6	34,091
Supervising Deputy Clerk-Training Officer	23	1.0	54,540	1.0	54,470
Deputy Clerk	20	5.0	257,006	5.0	257,947
Security Officer	19	9.0	418,837	9.0	418,613
Senior Operations Clerk	16	2.0	91,191	2.0	91,035
General Operations Assistant	14	6.0	254,254	6.0	253,673
Administrative Assistant	13	5.0	203,776	5.0	202,988
Assistant Administrative Secretary	12	1.0	38,653	1.0	38,578
Data Entry Operator	12	11.0	409,781	11.0	408,892
Rec. Clerk/Data Entry Aide	10	14.0	485,534	14.0	487,947
Court Interpreter - Seasonal	10	-	43,569	-	43,569
Subtotal		78.2	\$4,780,227	78.2	\$4,786,080
Overtime		-	48,737	-	48,737
Payment for Deferred Furlough Days		-	5,000	-	-
Turnover		-	(309,122)	-	(308,788)
Subtotal		-	(\$255,385)	-	(\$260,051)
Total Salaries		78.2	\$4,524,842	78.2	\$4,526,029
Benefits					
Defined Contribution Plan		-	-	-	41,465
FICA		-	312,202	-	312,513
Medical		-	771,394	-	856,665
Payroll Accrual		-	-	-	17,909
Retiree Health		-	308,986	-	309,620
Retirement		-	1,056,596	-	990,194
Subtotal		-	\$2,449,178	-	\$2,528,366
Total Salaries and Benefits		78.2	\$6,974,020	78.2	\$7,054,395
Cost Per FTE Position			\$89,182		\$90,210

Personnel

Judicial Department - Constitution Traffic Tribunal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Statewide Benefit Assessment		-	167,666	-	167,898
Subtotal		-	\$167,666	-	\$167,898
Payroll Costs		78.2	\$7,141,686	78.2	\$7,222,293
Purchased Services					
Other Contract Services		-	91,005	-	102,937
Subtotal		-	\$91,005	-	\$102,937
Total Personnel		78.2	\$7,232,691	78.2	\$7,325,230
Distribution By Source Of Funds					
General Revenue		78.2	7,232,691	78.2	7,325,230
Total All Funds		78.2	\$7,232,691	78.2	\$7,325,230

The Program

Judicial Department - Constitution Worker's Compensation Court

Program Mission

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

Program Description

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division then decides the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

The Budget

Judicial Department - Constitution Worker's Compensation Court

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	6,837,669	7,273,592	7,754,978	7,713,469	8,215,324
Total Expenditures	\$6,837,669	\$7,273,592	\$7,754,978	\$7,713,469	\$8,215,324
Expenditures By Object					
Personnel	5,509,857	5,881,697	6,345,432	6,314,860	6,418,879
Operating Supplies and Expenses	540,365	440,237	528,659	553,476	1,029,919
Assistance and Grants	768,315	843,994	854,181	818,427	738,523
Subtotal: Operating Expenditures	6,818,537	7,165,928	7,728,272	7,686,763	8,187,321
Capital Purchases and Equipment	19,132	107,664	26,706	26,706	28,003
Total Expenditures	\$6,837,669	\$7,273,592	\$7,754,978	\$7,713,469	\$8,215,324
Expenditures By Funds					
Restricted Receipts	6,837,669	7,273,592	7,754,978	7,713,469	8,215,324
Total Expenditures	\$6,837,669	\$7,273,592	\$7,754,978	\$7,713,469	\$8,215,324
Program Measures					
Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 60 Days Objective	90.0%	89.0%	89.0%	89.0%	90.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days Objective	90.0%	90.0%		90.0%	90.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days Objective	89.0%	87.0%	88.0%	88.0%	89.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days Objective	90.0%	90.0%		90.0%	90.0%

Personnel

Judicial Department - Constitution Worker's Compensation Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Chief Judge	07F	1.0	192,850	1.0	192,850
Associate Judge	10F	9.0	1,460,571	9.0	1,460,570
Administrative Clerk	46	1.0	157,735	1.0	157,735
Administrator	41	1.0	124,722	1.0	124,722
Deputy Administrator	37	1.0	105,812	1.0	105,812
Medical Advisory Board Administrator	40	1.0	105,296	1.0	105,226
Asst Adm/Policy & Programs	37	1.0	104,168	1.0	104,087
Executive Assistant	36	1.0	97,782	1.0	97,782
Court Reporter	29	8.0	535,592	8.0	534,034
Sr. Management Analyst	23	1.0	64,378	1.0	64,378
Executive Secretary to Chief Judge	25	1.0	61,892	1.0	59,078
Adm Asst/Confidential Secretary	24	3.0	177,913	3.0	178,621
Senior Assistant Administrator	23	3.0	168,489	2.0	102,690
Deputy Clerk	20	10.0	517,674	10.0	521,406
Law Clerks	23	2.0	102,690	3.0	167,186
Medical Advisory Board Coordinator	18	2.0	80,592	2.0	79,378
Data Entry Operator	12	4.0	139,461	4.0	138,482
Subtotal		50.0	\$4,197,617	50.0	\$4,194,037
Overtime		-	20,000	-	20,000
Payment for Deferred Furlough Days		-	5,000	-	-
Turnover		-	(220,887)	-	(68,715)
Subtotal		-	(\$195,887)	-	(\$48,715)
Total Salaries		50.0	\$4,001,730	50.0	\$4,145,322
Benefits					
Defined Contribution Plan		-	-	-	24,719
FICA		-	263,655	-	275,022
Medical		-	479,509	-	584,908
Payroll Accrual		-	-	-	16,501
Retiree Health		-	278,260	-	288,453
Retirement		-	851,548	-	857,723
Subtotal		-	\$1,872,972	-	\$2,047,326
Total Salaries and Benefits		50.0	\$5,874,702	50.0	\$6,192,648
Cost Per FTE Position			\$117,494		\$123,853
Statewide Benefit Assessment		-	149,127	-	154,700
Subtotal		-	\$149,127	-	\$154,700
Payroll Costs		50.0	\$6,023,829	50.0	\$6,347,348

Personnel

Judicial Department - Constitution Worker's Compensation Court

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services		-	11,031	-	11,031
Information Technology		-	269,500	-	50,000
Legal Services		-	10,500	-	10,500
Subtotal		-	\$291,031	-	\$71,531
Total Personnel		50.0	\$6,314,860	50.0	\$6,418,879
Distribution By Source Of Funds					
Restricted Receipts		50.0	6,314,860	50.0	6,418,879
Total All Funds		50.0	\$6,314,860	50.0	\$6,418,879

The Program

Judicial Department - Constitution Judicial Tenure & Discipline

Program Mission

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

Program Description

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The Commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the Commission's recommendation(s).

Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

The Budget

Judicial Department - Constitution Judicial Tenure & Discipline

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	106,404	106,076	111,282	113,369	113,609
Total Expenditures	\$106,404	\$106,076	\$111,282	\$113,369	\$113,609
Expenditures By Object					
Personnel	97,522	96,447	108,727	110,806	110,994
Operating Supplies and Expenses	8,882	9,629	2,555	2,563	2,615
Subtotal: Operating Expenditures	106,404	106,076	111,282	113,369	113,609
Total Expenditures	\$106,404	\$106,076	\$111,282	\$113,369	\$113,609
Expenditures By Funds					
General Revenue	106,404	106,076	111,282	113,369	113,609
Total Expenditures	\$106,404	\$106,076	\$111,282	\$113,369	\$113,609
Program Measures					
Percentage of Verified Complaints Disposed of Within 90 Days of Docketing	70.0%	74.0%	75.0%	75.0%	80.0%
Objective	100.0%	100.0%		100.0%	100.0%

Personnel

Judicial Department - Constitution Judicial Tenure & Discipline

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Executive Assistant to the Chairperson	29	1.0	69,180	1.0	69,180
Subtotal		1.0	\$69,180	1.0	\$69,180
Payment for Deferred Furlough Days		-	204	-	-
Subtotal		-	\$204	-	-
Total Salaries		1.0	\$69,384	1.0	\$69,180
Benefits					
Defined Contribution Plan		-	-	-	692
FICA		-	5,292	-	5,292
Medical		-	5,392	-	6,061
Payroll Accrual		-	-	-	277
Retiree Health		-	4,746	-	4,746
Retirement		-	15,898	-	14,652
Subtotal		-	\$31,328	-	\$31,720
Total Salaries and Benefits		1.0	\$100,712	1.0	\$100,900
Cost Per FTE Position			\$100,712		\$100,900
Statewide Benefit Assessment		-	2,594	-	2,594
Subtotal		-	\$2,594	-	\$2,594
Payroll Costs		1.0	\$103,306	1.0	\$103,494
Purchased Services					
Legal Services		-	7,500	-	7,500
Subtotal		-	\$7,500	-	\$7,500
Total Personnel		1.0	\$110,806	1.0	\$110,994
Distribution By Source Of Funds					
General Revenue		1.0	110,806	1.0	110,994
Total All Funds		1.0	\$110,806	1.0	\$110,994

Judicial Department - Constitution Performance Measure Narratives

Supreme Court

Disposition Rate of Appeal Cases

This indicator measures the disposition rate of appeal cases annually. Total dispositions should equal or exceed new appeals each year in order for the court to stay current with the caseload. This measure is related to the Supreme Court's objective to dispose more appeal cases than docketed. Performance data is obtained from the Supreme Court statistical report. The data is reported on a calendar year basis.

The objective is to have a disposition rate of one hundred percent.

Superior Court

Percentage of felony Cases Annually Disposed of Within 180 Days

This indicator measures the percentage of felony cases disposed within 180 days of arraignment. This measure is related to the Superior Court's stated objective to provide timely adjudication of all cases within its jurisdiction. Performance data is obtained from the Superior Court statistical report. The data is reported on a calendar year basis.

The objective is to increase the percentage of cases disposed within the stated 180 day, giving priority to cases involving a gun charge. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Disposition Rate of Civil Cases

This indicator measures the disposition rate of civil cases assigned to the trial calendar. Total dispositions should equal or exceed new cases each year in order for the court to stay current with the caseload. This measure is related to the Superior Court's objective to dispose more assigned civil cases than added. Performance data is obtained from the Superior Court statistical report. The data is reported on a calendar year basis.

The objective is to have a disposition rate of one hundred percent.

Judicial Department - Constitution Performance Measure Narratives

Family Court

Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diverted or Referred to Court Within 45 Days

This indicator measures the percentage of cases suitable for non-judicial processing diverted within 45 days of filing. The Family Court has a Juvenile Services Unit wherein the cases of juvenile, first-time offenders who have committed less serious offenses are handled outside of court with no arraignment. Performance data is obtained from the Family Court statistical report. This measure is related to the Family Court's stated objective to handle cases within its jurisdiction in a timely manner. The data reported is calendar year data.

The objective is to increase the percentage of suitable wayward/delinquent cases diverted within the stated 45 day guideline. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days

This indicator measures the percentage of cases requiring court involvement adjudicated within 180 days of filing. This measure is related to the Family Court's stated objective to adjudicate the cases within its jurisdiction in a timely manner. Performance data is obtained from the Family Court statistical report. The data reported is calendar year data.

The objective is to increase the percentage of cases, requiring court involvement, adjudicated within the 180 day guideline. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Percentage of Dependency/Neglect/Abuse Cases Adjudicated Within 180 Days of Filing

This indicator measures the percentage of dependency/neglect/abuse cases requiring court involvement that are adjudicated within 180 days of filing. This measure is related to the Family Court's stated objective to adjudicate the cases within its jurisdiction in a timely manner. Performance data is obtained from the Family Court statistical report. The data reported is calendar year data.

The objective is to increase the percentage of dependency/neglect/abuse petitions adjudicated within the stated 180 day guideline. In FY 2006, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days of Filing

This indicator measures the percentage of termination of parental rights petitions adjudicated within 180 days of filing. This measure is related to the Family Court's stated objective to adjudicate the cases within its jurisdiction in a timely manner. Performance data is obtained from the Family Court statistical report. The data reported is calendar year data.

The objective is to increase the percentage of termination of parental rights petitions adjudicated within the stated 180 day guideline. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Percentage of Divorce Cases

This indicator measures the percentage of divorce cases disposed within 365 days. Performance data is obtained from the Family Court statistical report. This measure is related to the Family Court's stated objective to adjudicate the cases within its jurisdiction in a timely manner. The data reported is calendar year data.

The objective is to increase the percentage of divorce cases disposed within the stated 365 day guideline.

Judicial Department - Constitution Performance Measure Narratives

District Court

Percentage of Misdemeanor Cases Disposed of Within 60 Days

This indicator measures the percentage of misdemeanor cases disposed within 60 days of filing. This measure is related to the District Court's stated objective to adjudicate cases within its jurisdiction in a timely manner. Performance data is obtained from the District Court statistics. The data reported is calendar year data.

The objective is to increase the percentage of misdemeanor cases disposed within 60 days. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Traffic Tribunal

Percentage of Summons Disposed Within 60 Days

This indicator measures the percentage of traffic summonses disposed within 60 days. Performance data is obtained from the Traffic Tribunal statistical report. This measure is related to the Traffic Tribunal's stated objective to adjudicate cases within its jurisdiction in a timely manner. The data reported is calendar year data.

The objective is to increase the percentage of traffic summonses disposed within the stated 60 day guideline.

Worker's Compensation Court

Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 60 Days

This indicator measures the percentage of workers' compensation claims disposed at pretrial within 90 days of filing. Performance data is obtained from the Workers' Compensation Court statistical report. This measure is related to the Workers' Compensation Court's stated objective to handle all controversies efficiently. The data reported is calendar year data.

The objective is to increase the percentage of cases disposed at pretrial within the stated 90 day guideline. In FY 2005, the courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days

This indicator measures the percentage of workers' compensation cases disposed at trial within 360 days of filing. This measure is related to the Workers' Compensation Court's stated objective to decide all controversies efficiently. Performance data is obtained from the Workers' Compensation Court statistical report. The data reported is calendar year data.

The objective is to increase the percentage of cases disposed at trial within 360 days of filing. The courts refined and modified this measure to include an adjustment to the standard to more accurately reflect a reasonable, achievable goal.

Judicial Tenure & Discipline

Percentage of Verified Complaints Disposed of Within 90 Days of Docketing

This indicator is a measure of the percentage of cases closed during a fiscal year that were disposed within 90 days of docketing. Performance data is obtained from the commission's statistical reports of cases filed through June 30, 2011 and includes cases that were pending at the beginning of the fiscal year. The severity of the complaint adversely affects the amount of time needed to close a file. This measure is related to the commission's stated function of conducting investigations and/or formal proceedings in a timely manner.

Commission records for the past five fiscal years indicate that the average verified complaint is closed within 63 days of docketing. The median for these years is 57 days. It is reasonable to assume that a verified complaint should be closed within 90 days. The objective is to dispose one hundred percent of the verified complaints within 90 days.

Agency

Military Staff

Agency Mission

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To fully staff the State Emergency Operation Center and Rhode Island National Guard Joint Operation Center as the State's lead coordinating agency and focal point for disaster response and federal mobilization of military forces.

To build and support a Rhode Island Emergency Management Agency administration building and State Emergency Operation Center.

To train, mobilize, and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission.

To ensure the needs of Rhode Island Veteran's and National Guard families and employers are supported.

To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

To increase public awareness of natural hazards, threats, risks, and actions to minimize loss of life or property.

Agency Description

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard Program budget is financed with approximately 26 percent general revenue and state capital funds and 74 percent federal funds, as well as direct federal funding for active duty guardsmen, services, and construction costs.

Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.

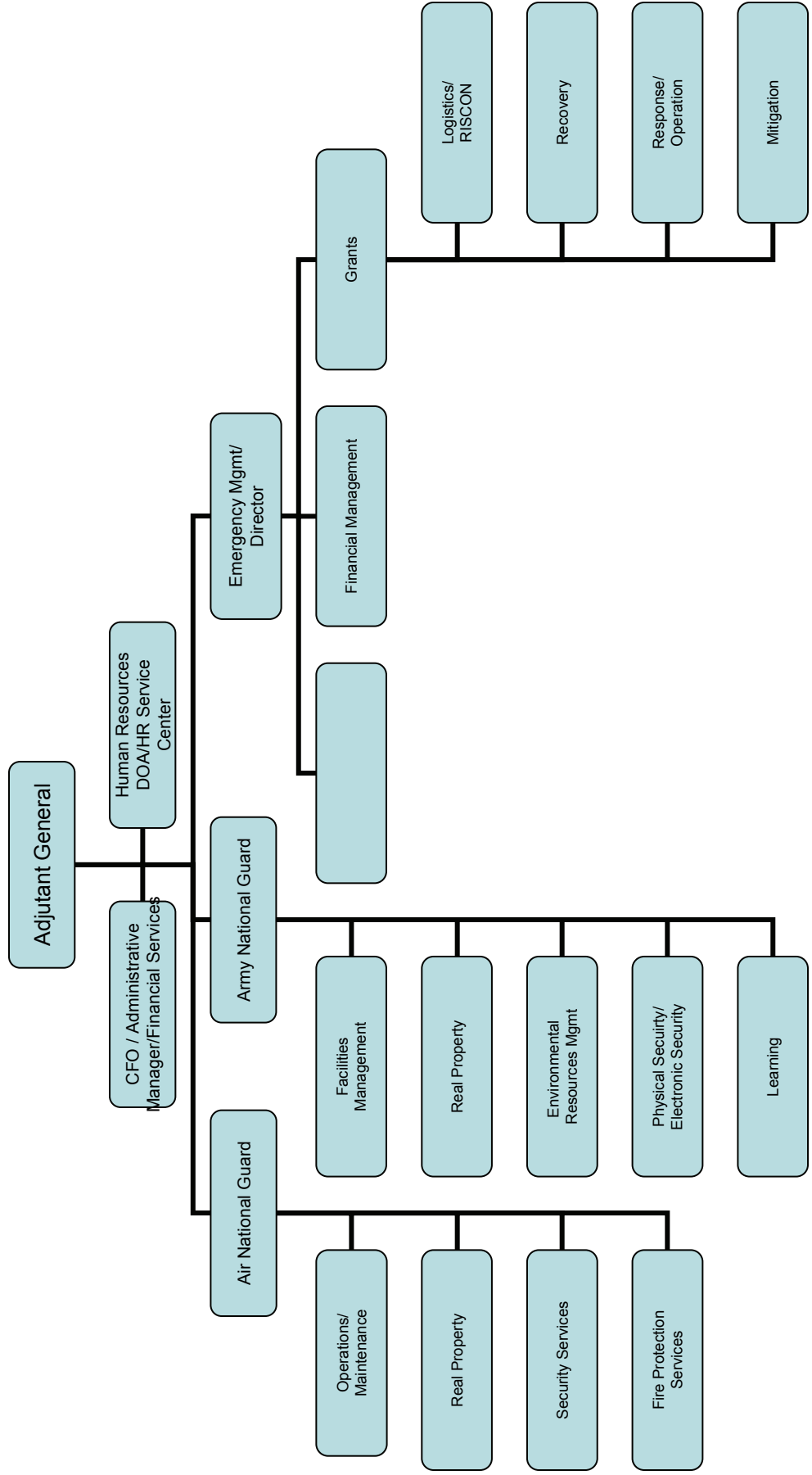
Budget

Military Staff

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
RI National Guard	11,258,839	11,356,017	14,977,312	15,411,376	18,022,825
Emergency Management	14,381,456	25,068,238	20,141,928	33,642,133	24,229,751
Total Expenditures	\$25,640,295	\$36,424,255	\$35,119,240	\$49,053,509	\$42,252,576
Expenditures By Object					
Personnel	8,479,981	10,150,175	10,696,337	11,334,821	11,575,062
Operating Supplies and Expenses	6,148,469	5,778,731	5,473,895	5,848,612	5,947,685
Assistance and Grants	9,185,579	18,084,967	16,244,445	28,803,316	19,744,929
Subtotal: Operating Expenditures	23,814,029	34,013,873	32,414,677	45,986,749	37,267,676
Capital Purchases and Equipment	1,498,184	2,410,382	2,704,563	3,066,760	4,984,900
Operating Transfers	328,082	-	-	-	-
Total Expenditures	\$25,640,295	\$36,424,255	\$35,119,240	\$49,053,509	\$42,252,576
Expenditures By Funds					
General Revenue	4,104,899	3,755,542	3,470,928	3,766,872	3,633,757
Federal Funds	20,725,608	32,256,773	29,418,438	42,831,041	34,234,541
Restricted Receipts	94,563	189,042	609,311	470,733	481,278
Operating Transfers from Other Funds	715,225	222,898	1,620,563	1,984,863	3,903,000
Total Expenditures	\$25,640,295	\$36,424,255	\$35,119,240	\$49,053,509	\$42,252,576
FTE Authorization	109.0	117.0	117.0	113.0	112.0
Agency Measures					
Minorities as a Percentage of the Workforce	6.0%	6.0%	6.0%	6.0%	6.0%
Females as a Percentage of the Workforce	21.0%	17.0%	20.0%	20.0%	20.0%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%	1.0%

The Agency

State of Rhode Island Executive Military Staff



Personnel

Military Staff Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	27.0	1,490,302	26.0	1,485,319
Unclassified	86.0	4,386,109	87.0	4,497,701
Cost Allocation from Other Programs	-	44,276	-	44,276
Cost Allocation to other programs	-	(44,276)	-	(44,276)
Overtime	-	328,137	-	276,533
Program Reduction	-	-	(1.0)	(12,769)
Turnover	-	(143,691)	-	(95,824)
Total Salaries	113.0	\$6,060,857	112.0	\$6,150,960
Benefits				
Defined Contribution Plan	-	-	-	58,744
FICA	-	464,983	-	472,370
Holiday Pay	-	4,500	-	4,091
Medical	-	1,155,688	-	1,279,068
Payroll Accrual	-	-	-	31,464
Retiree Health	-	394,477	-	402,985
Retirement	-	1,339,355	-	1,257,183
Total Salaries and Benefits	113.0	\$9,419,860	112.0	\$9,656,865
Cost Per FTE Position		\$83,362		\$86,222
Statewide Benefit Assessment	-	179,543	-	183,505
Temporary and Seasonal	-	2,738	-	-
Payroll Costs	113.0	\$9,602,141	112.0	\$9,840,370
Purchased Services				
Building and Grounds Maintenance	-	85,537	-	81,347
Clerical and Temporary Services	-	861,649	-	867,251
Design and Engineering Services	-	19,701	-	19,701
Information Technology	-	102,914	-	103,414
Medical Services	-	15,864	-	15,864
Other Contract Services	-	568,126	-	568,226
Training and Educational Services	-	78,889	-	78,889
Total Personnel	113.0	\$11,334,821	112.0	\$11,575,062
Distribution by Source of Funds				

Personnel

Military Staff

Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
General Revenue	18.6	1,662,625	17.6	1,583,693
Federal Funds	92.7	9,519,116	92.7	9,834,594
Restricted Receipts	1.7	153,080	1.7	156,775
Total All Funds	113.0	\$11,334,821	112.0	\$11,575,062

The Program

Military Staff

RI National Guard

Program Mission

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system. To ensure the needs of Rhode Island Veteran's and National Guard families and employees are supported from an accessible Family Assistance Center.

Program Description

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to the federal National Guard Bureau, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, training, travel, maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,249 members (2,130 in the Army National Guard, 1,179 in the Air National Guard) against a requirement of 3,459 (2,213 in the Army National Guard, 1,246 in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

The Budget

Military Staff RI National Guard

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Adjutant-General	641,713	819,957	981,199	1,028,272	1,071,151
State Military Prop Officer	2,914,283	2,092,432	4,432,224	4,723,294	6,679,573
Federal Army	3,036,472	3,051,931	3,739,007	3,741,378	3,898,423
Federal Air	4,666,371	5,391,697	5,824,882	5,918,432	6,373,678
Total Expenditures	\$11,258,839	\$11,356,017	\$14,977,312	\$15,411,376	\$18,022,825
Expenditures By Object					
Personnel	5,951,033	6,981,829	7,714,128	7,769,408	7,976,747
Operating Supplies and Expenses	3,715,132	3,705,249	4,138,321	4,101,608	4,587,578
Assistance and Grants	177,670	183,126	420,300	473,600	473,600
Subtotal: Operating Expenditures	9,843,835	10,870,204	12,272,749	12,344,616	13,037,925
Capital Purchases and Equipment	1,415,004	485,813	2,704,563	3,066,760	4,984,900
Total Expenditures	\$11,258,839	\$11,356,017	\$14,977,312	\$15,411,376	\$18,022,825
Expenditures By Funds					
General Revenue	1,437,819	1,640,811	1,446,301	1,485,130	1,516,817
Federal Funds	9,095,826	9,482,682	11,675,448	11,641,383	12,303,008
Restricted Receipts	9,969	9,626	235,000	300,000	300,000
Operating Transfers from Other Funds	715,225	222,898	1,620,563	1,984,863	3,903,000
Total Expenditures	\$11,258,839	\$11,356,017	\$14,977,312	\$15,411,376	\$18,022,825
Program Measures					
National Guard Facilities Compliant with Code	75.0%	70.0%	80.0%	80.0%	85.0%
Objective	100.0%	100.0%		100.0%	100.0%
Percentage of Army National Guard Facilities that Meet or Exceed Army Standards	0.0%	5.0%	5.0%	5.0%	5.0%
Objective	100.0%	100.0%		100.0%	100.0%
Percentage of Authorized Strength (Air National Guard)	10.25%	99.05%	100.0%	100.0%	100.0%
Objective					
Percentage of Authorized Strength (Army National Guard)	99.0%	100.3%	99.0%	99.0%	99.0%
Objective	100.0%	100.0%		100.0%	100.0%

Personnel

Military Staff

RI National Guard

	Grade	FY 2012		FY 2013			
		FTE	Cost	FTE	Cost		
Classified							
HVAC Supervisor	0320A	1.0	39,346	(1)	1.0	40,340	
Janitor	309A	1.0	38,950		-	-	(3)
Electrician	0316G	1.0	38,077		1.0	38,147	
Subtotal		3.0	\$116,373		2.0	\$78,487	
Unclassified							
Adjutant General	942A	1.0	94,769		1.0	94,769	
Administrative Manager	834A	1.0	94,626		1.0	94,926	
Supervising Environmental Scientist	832A	1.0	83,557		1.0	83,557	
Assistant Chief	321G	3.0	207,535		3.0	211,035	
Crew Chief	319A	10.0	677,562		10.0	680,168	
Program Manager	828A	1.0	61,603		1.0	63,578	
Supervisor Environmental Systems	826A	2.0	120,042		2.0	120,042	
Special Projects Manager	322A	1.0	55,597		1.0	58,806	
Firefighter	317A	17.0	942,039		17.0	957,317	
Senior Planning & Program Devel Specialist	324A	1.0	52,971		1.0	55,023	
Confidential Secretary	822A	1.0	52,711		1.0	52,711	
Management & Methods Analyst	320A	1.0	51,135		1.0	51,332	
Engineer Building Auditor	824A	1.0	50,637		1.0	52,716	
Senior Accountant	322A	2.0	96,589		2.0	99,974	
Engineering Technician	821A	1.0	45,352		1.0	47,097	
Construction Supervisor	317A	1.0	44,141		1.0	44,140	
Production Systems Specialist	320A	1.0	43,796		1.0	45,036	
Administrative Assistant	316A	1.0	42,890		1.0	43,055	
Data Entry Operator	312A	1.0	42,658		1.0	43,452	
Administrative Coordinator	820A	1.0	42,346		1.0	43,453	
Principal Engineer Aide	315A	1.0	41,538		1.0	41,538	
Administrative Aide	312A	2.0	80,882		2.0	81,023	
Administrative Assistant	312A	1.0	38,640		1.0	38,789	
Senior Maintenance Person	312A	4.0	148,219		4.0	149,894	
Security Specialist	310A	12.0	441,460		12.0	450,465	
Maintenance Person	309A	13.0	444,792	(2)	14.0	502,328	(4)
Subtotal		82.0	\$4,098,087		83.0	\$4,206,224	
Cost Allocation to Emergency Management		-	(44,276)		-	(44,276)	
Overtime		-	208,137		-	206,533	
Turnover		-	(36,726)		-	(13,671)	
Subtotal		-	\$127,135		-	\$148,586	
Total Salaries		85.0	\$4,341,595		85.0	\$4,433,297	

Personnel

Military Staff

RI National Guard

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	42,267
FICA		-	333,460	-	338,902
Holiday Pay		-	4,500	-	4,091
Medical		-	938,015	-	1,047,055
Payroll Accrual		-	-	-	21,911
Retiree Health		-	284,767	-	289,956
Retirement		-	952,620	-	888,998
Subtotal		-	\$2,513,362	-	\$2,633,180
Total Salaries and Benefits		85.0	\$6,854,957	85.0	\$7,066,477
Cost Per FTE Position			\$80,647		\$83,135
Statewide Benefit Assessment		-	119,571	-	121,718
Temporary and Seasonal		-	2,738	-	-
Subtotal		-	\$122,309	-	\$121,718
Payroll Costs		85.0	\$6,977,266	85.0	\$7,188,195
Purchased Services					
Building and Grounds Maintenance		-	85,537	-	81,347
Design and Engineering Services		-	19,701	-	19,701
Information Technology		-	102,914	-	103,414
Medical Services		-	15,864	-	15,864
Other Contract Services		-	568,126	-	568,226
Subtotal		-	\$792,142	-	\$788,552
Total Personnel		85.0	\$7,769,408	85.0	\$7,976,747
Distribution By Source Of Funds					
General Revenue		7.8	616,582	7.8	620,916
Federal Funds		77.2	7,152,826	77.2	7,355,831
Total All Funds		85.0	\$7,769,408	85.0	\$7,976,747

1 1. HVAC Supervisor position added in order to reduce outside vendor expenditures. Principal Project Manager position eliminated

3 FTE reduction due to retirement

6 FTE reduction (Fiscal Clerk) to meet budget targets.

2 FTE reduction in order to meet budget targets

4 FTE addition due to return from retirement

The Program

Military Staff Emergency Management

Program Mission

To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To maintain and staff the State Emergency Operations Center for 24/7/365 operational capability. To maintain daily situational awareness toward the production of a common operating picture, ensuring a proactive response to support requests enabling the ability to place limited resources on critical needs in a timely and effective manner. To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure. To ensure as the lead coordinating agency for emergency management “All Hazard” training, preparedness, and response capability at the local and state levels of government.

Program Description

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level. The Rhode Island Emergency Management Agency maintains the State Emergency Operating Center (S-EOC) at the Combined Readiness Center in Cranston. RIEMA is responsible for the operation and maintenance of the state’s 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISCON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

Statutory History

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency.

The Budget

Military Staff Emergency Management

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	14,381,456	25,068,238	20,141,928	33,642,133	24,229,751
Total Expenditures	\$14,381,456	\$25,068,238	\$20,141,928	\$33,642,133	\$24,229,751
Expenditures By Object					
Personnel	2,528,948	3,168,346	2,982,209	3,565,413	3,598,315
Operating Supplies and Expenses	2,433,337	2,073,482	1,335,574	1,747,004	1,360,107
Assistance and Grants	9,007,909	17,901,841	15,824,145	28,329,716	19,271,329
Subtotal: Operating Expenditures	13,970,194	23,143,669	20,141,928	33,642,133	24,229,751
Capital Purchases and Equipment	83,180	1,924,569	-	-	-
Operating Transfers	328,082	-	-	-	-
Total Expenditures	\$14,381,456	\$25,068,238	\$20,141,928	\$33,642,133	\$24,229,751
Expenditures By Funds					
General Revenue	2,667,080	2,114,731	2,024,627	2,281,742	2,116,940
Federal Funds	11,629,782	22,774,091	17,742,990	31,189,658	21,931,533
Restricted Receipts	84,594	179,416	374,311	170,733	181,278
Total Expenditures	\$14,381,456	\$25,068,238	\$20,141,928	\$33,642,133	\$24,229,751
Program Measures					
Percentage of RI-EMA Mitigation Grant Programs that Meet or Exceed FEMA Standards	90.9%	91.3%	N/A	N/A	N/A
Objective	100.0%	100.0%		100.0%	100.0%
Percentage of RI-EMA Response Programs that Meet or Exceed FEMA Standards	37.5%	37.5%	80.0%	80.0%	80.0%
Objective	100.0%	100.0%		100.0%	100.0%
Percentage of RI-EMA Preparedness Program that are Awarded to Sub-grantees to Meet or Exceed FEMA Standards	N/A	35.1%	N/A	N/A	N/A
Objective	N/A	100.0%		100.0%	100.0%

Personnel

Military Staff

RI National Guard

	Grade	FY 2012		FY 2013			
		FTE	Cost	FTE	Cost		
Classified							
HVAC Supervisor	0320A	1.0	39,346	(1)	1.0	40,340	
Janitor	309A	1.0	38,950		-	-	(3)
Electrician	0316G	1.0	38,077		1.0	38,147	
Subtotal		3.0	\$116,373		2.0	\$78,487	
Unclassified							
Adjutant General	942A	1.0	94,769		1.0	94,769	
Administrative Manager	834A	1.0	94,626		1.0	94,926	
Supervising Environmental Scientist	832A	1.0	83,557		1.0	83,557	
Assistant Chief	321G	3.0	207,535		3.0	211,035	
Crew Chief	319A	10.0	677,562		10.0	680,168	
Program Manager	828A	1.0	61,603		1.0	63,578	
Supervisor Environmental Systems	826A	2.0	120,042		2.0	120,042	
Special Projects Manager	322A	1.0	55,597		1.0	58,806	
Firefighter	317A	17.0	942,039		17.0	957,317	
Senior Planning & Program Devel Specialist	324A	1.0	52,971		1.0	55,023	
Confidential Secretary	822A	1.0	52,711		1.0	52,711	
Management & Methods Analyst	320A	1.0	51,135		1.0	51,332	
Engineer Building Auditor	824A	1.0	50,637		1.0	52,716	
Senior Accountant	322A	2.0	96,589		2.0	99,974	
Engineering Technician	821A	1.0	45,352		1.0	47,097	
Construction Supervisor	317A	1.0	44,141		1.0	44,140	
Production Systems Specialist	320A	1.0	43,796		1.0	45,036	
Administrative Assistant	316A	1.0	42,890		1.0	43,055	
Data Entry Operator	312A	1.0	42,658		1.0	43,452	
Administrative Coordinator	820A	1.0	42,346		1.0	43,453	
Principal Engineer Aide	315A	1.0	41,538		1.0	41,538	
Administrative Aide	312A	2.0	80,882		2.0	81,023	
Administrative Assistant	312A	1.0	38,640		1.0	38,789	
Senior Maintenance Person	312A	4.0	148,219		4.0	149,894	
Security Specialist	310A	12.0	441,460		12.0	450,465	
Maintenance Person	309A	13.0	444,792	(2)	14.0	502,328	(4)
Subtotal		82.0	\$4,098,087		83.0	\$4,206,224	
Cost Allocation to Emergency Management		-	(44,276)		-	(44,276)	
Overtime		-	208,137		-	206,533	
Turnover		-	(36,726)		-	(13,671)	
Subtotal		-	\$127,135		-	\$148,586	
Total Salaries		85.0	\$4,341,595		85.0	\$4,433,297	

Personnel

Military Staff

RI National Guard

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	42,267
FICA		-	333,460	-	338,902
Holiday Pay		-	4,500	-	4,091
Medical		-	938,015	-	1,047,055
Payroll Accrual		-	-	-	21,911
Retiree Health		-	284,767	-	289,956
Retirement		-	952,620	-	888,998
Subtotal		-	\$2,513,362	-	\$2,633,180
Total Salaries and Benefits		85.0	\$6,854,957	85.0	\$7,066,477
Cost Per FTE Position			\$80,647		\$83,135
Statewide Benefit Assessment		-	119,571	-	121,718
Temporary and Seasonal		-	2,738	-	-
Subtotal		-	\$122,309	-	\$121,718
Payroll Costs		85.0	\$6,977,266	85.0	\$7,188,195
Purchased Services					
Building and Grounds Maintenance		-	85,537	-	81,347
Design and Engineering Services		-	19,701	-	19,701
Information Technology		-	102,914	-	103,414
Medical Services		-	15,864	-	15,864
Other Contract Services		-	568,126	-	568,226
Subtotal		-	\$792,142	-	\$788,552
Total Personnel		85.0	\$7,769,408	85.0	\$7,976,747
Distribution By Source Of Funds					
General Revenue		7.8	616,582	7.8	620,916
Federal Funds		77.2	7,152,826	77.2	7,355,831
Total All Funds		85.0	\$7,769,408	85.0	\$7,976,747

1 1. HVAC Supervisor position added in order to reduce outside vendor expenditures. Principal Project Manager position eliminated

3 FTE reduction due to retirement

6 FTE reduction (Fiscal Clerk) to meet budget targets.

2 FTE reduction in order to meet budget targets

4 FTE addition due to return from retirement

Personnel

Military Staff

Emergency Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Asst. Administrator Financial Mgmt.	134A	1.0	88,547	1.0	88,547
Technican Support Manager	138A	1.0	85,034	1.0	85,034
Technican Support Specialist	135A	1.0	84,020	1.0	84,020
Mgt. Asst. Supervisor	3231A	1.0	76,521	1.0	76,521
Deputy Director, EMA	133A	1.0	69,631	1.0	71,037
Prin Community Developmt Train Spec	3229A	2.0	124,702	2.0	126,603
State Radio Defense Off (EMA)	3228A	1.0	59,677	1.0	59,677
Supervisor, Admin/Technical Services	3229A	1.0	57,502	1.0	59,092
Coord. Civil Protection and Relocation	3226A	8.0	420,696	8.0	430,266
Sr. Telecommunications/Wiring Off EMA	3226A	1.0	49,282	1.0	51,343
Chief Info Public Relations	129A	1.0	47,919	1.0	56,062
Emer Mgt Survival Crisis Mgt Spec	3225A	1.0	47,676	1.0	49,699
Senior Planner	3226A	1.0	45,874	1.0	47,469
Asst. Coord. Civil Protection & Relocation	3224	1.0	42,558	1.0	43,979
Accountant	3220A	1.0	39,117	1.0	41,680
Fiscal Clerk	3214A	1.0	35,173	1.0	35,803
Subtotal		24.0	\$1,373,929	24.0	\$1,406,832
Unclassified					
Executive Director	838A	1.0	89,559	1.0	93,014
Senior Financial Officer	829A	1.0	80,967	1.0	80,967
Special Projects Coordinator	5127A	1.0	73,003	1.0	73,003
Administrative Assistant	4715A	1.0	44,493	1.0	44,493
Subtotal		4.0	\$288,022	4.0	\$291,477
Cost Allocation from National Guard		-	44,276	-	44,276
Overtime		-	120,000	-	70,000
Program Reduction		-	-	(1.0)	(12,769)
Turnover		-	(106,965)	-	(82,153)
Subtotal		-	\$57,311	(1.0)	\$19,354
Total Salaries		28.0	\$1,719,262	27.0	\$1,717,663
Benefits					
Defined Contribution Plan		-	-	-	16,477
FICA		-	131,523	-	133,468
Medical		-	217,673	-	232,013
Payroll Accrual		-	-	-	9,553
Retiree Health		-	109,710	-	113,029
Retirement		-	386,735	-	368,185
Subtotal		-	\$845,641	-	\$872,725
Total Salaries and Benefits		28.0	\$2,564,903	27.0	\$2,590,388
Cost Per FTE Position			\$91,604		\$95,940

Personnel

Military Staff

Emergency Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Statewide Benefit Assessment		-	59,972	-	61,787
Subtotal		-	\$59,972	-	\$61,787
Payroll Costs		28.0	\$2,624,875	27.0	\$2,652,175
Purchased Services					
Clerical and Temporary Services		-	861,649	-	867,251
Training and Educational Services		-	78,889	-	78,889
Subtotal		-	\$940,538	-	\$946,140
Total Personnel		28.0	\$3,565,413	27.0	\$3,598,315
Distribution By Source Of Funds					
General Revenue		10.8	1,046,043	9.8	962,777
Federal Funds		15.5	2,366,290	15.5	2,478,763
Restricted Receipts		1.7	153,080	1.7	156,775
Total All Funds		28.0	\$3,565,413	27.0	\$3,598,315

5 Chief Information and Public Relations position added – fill date 10/9/11. Administrative Manager Position eliminated.

6 FTE reduction to meet budget target

Military Staff Performance Measure Narratives

RI National Guard

National Guard Facilities Compliant with Code

This measure indicates the number of Army and Air National Guard facilities that meet inspection criteria in the state fire code, as a percentage of the total number of facilities. The measure relates to the Military Staff's responsibility to house National Guard staff under safe conditions.

The standards for fire safety conditions are set by the Fire Code Commission. The objective is to have one hundred percent of the facilities meeting the standards. The figures below refer to the Army National Guard (120 total; new facility scheduled for January 15, 2011). Air National Guard facilities (32 total) are 100% compliant.

Percentage of Army National Guard Facilities that Meet or Exceed Army Standards

This measure indicates the number of Army National Guard Armories that meet or exceed inspection criteria in the Installation Status Report, as a percentage of the total number of facilities. The purpose of the inspections is to determine usability for training units for their state and federal mission. Inspected are the facility grounds, parking, building exterior, lobby, administrative areas, toilets, showers, locker rooms, and utilities. Also inspected are arms rooms, kitchens, storage rooms, classrooms, assembly halls, loading docks, and vehicle maintenance bays, if present. The measure relates to the Military Staff's responsibility to house and train National Guard units in safe and effective facilities.

The National Guard Bureau, Installations Division sets the inspection standards for Army National Guard armories. The objective is to have one hundred percent of the facilities meeting the standards.

The FY 2011 estimated value results from the expected completion of a new 25,000 square foot armory, and demolition of an obsolete 4,000 square foot facility, both of which are on federal land and funded with federal funds. This value is expected to carry over in FY 2012. Five percent of Army National Guard Armories met Army Standards in FY 11. Most of these facilities are functionally obsolete and need to be replaced. Facilities built within the last 25 years could be brought up to standard, but due to lack of funding for sustainment, or even routine maintenance, they continue to decline.

Five percent of Army National Guard Armories are expected to meet Army Standards in FY 12-13. This rating will only decline until sustainment, restoration, and modernization (SRM) funding is maintained at appropriate levels to adequately sustain Armories to meet the minimum requirements. The majority of Armories in the RIARNG real property inventory are 50+ years old and not designed for today's National Guard. The armories are significantly undersized and lack adequate space for classroom training, latrine/locker space for gender intergraded force, and equipment storage. The overall space shortages impair the unit's ability to properly train and meet mission requirements.

Military Staff Performance Measure Narratives

Percentage of Authorized Strength (Air National Guard)

This measure indicates the number of Air National Guard personnel in Rhode Island, as a percentage of the total authorized strength set by the Air Force and National Guard Bureau. This measure relates to the agency's stated objective to train and prepare its members to support active forces in the defense of the nation and to provide peacetime responses to state emergencies. Maintaining full strength ensures readiness and effectiveness in the event of war, emergency or disaster.

The authorized strength for Rhode Island changes annually. The objective of the Air National Guard's recruiting and retention efforts is to be at 100 percent of authorized strength.

The FY 2010 value results from the inclusion of 20 student flight personnel (new enlistees waiting for transfer to basic military training). The total strength was thus 1,197 versus 1,176. Recently, normal retention has been in the area of 95-96 percent, but due to economic trends and continuing high unemployment, retention in FY 2011 and FY 2012 is expected to remain at the 100 percent level. Total end strength authorized for FY 2011 was authorized by NGB at 1198 personnel. Total assigned strength at the end of FY 2011 was 1192 personnel giving us a final end strength figure of 99.5%

RIANG authorized end strength is not projected to change significantly for FY 2012 and FY 2013 unless the U.S. Air Force is mandated by Congress to reduce its strength to such levels that the ANG will be required to divest force structure. However, the RIANG is strategically postured in critical mission areas, particularly in the area of tactical airlift, international training, cyber warfare, and combat communications. Without a major force structure drawdown USAF wide, the RIANG expects to remain at or near 100% authorized end strength, barring any additional force structure adjustments for the coming two fiscal years. Expect authorized levels to remain close to FY 2011 levels for both FY 2012 and 2013. Targeted and focused recruiting will hinder our efforts to exceed maximum end strength since we can only recruit to the harder to fill, yet valid vacant positions, particularly in the areas of electronics maintenance, pilots and health professionals. Increased emphasis will be placed on retention efforts to maintain our projected near 100% assigned strength.

Percentage of Authorized Strength (Army National Guard)

This measure indicates the number of Army National Guard personnel in Rhode Island, as a percentage of the total authorized strength set by the Department of the Army and National Guard Bureau. This measure relates to the agency's stated objective to train and prepare its members to support active forces in the defense of the nation and to provide responses to state emergencies. Maintaining full strength ensures readiness and effectiveness in the event of war, emergency or disaster.

The authorized strength for Rhode Island changes annually. The objective of the Army National Guard's recruiting and retention efforts is to be at 100 percent of authorized strength.

The FY 2010 value is the result of intensive recruiting and higher retention rates, and is projected to continue into FY 2011 and FY 2012. Total end strength authorized for FY 2011 was authorized by NGB at 2145 personnel. Total assigned strength at the end of FY 2011 was 2152 personnel giving us a final end strength figure of 100.3%. Our total authorized strength for FY13 is 2169. FY13 projection is 99%.

Military Staff Performance Measure Narratives

Emergency Management

Percentage of RI-EMA Mitigation Grant Programs that Meet or Exceed FEMA Standards

The Mitigation Program had four sources of funding during FY 2011. The allocations for the Community Assistance Program (CAP) and Cooperating Technical Partners (CTP) Grants remained relatively level funded from FY 2010 into FY 2011. These two grants funded the respective programs at RIEMA with no pass through to the municipalities. The Hazard Mitigation Assistance (HMA) Grant Program funds as well as the Hazard Mitigation Grant Program (HMGP) vary annually based on grant applications approved by FEMA and disaster declarations. After approval HMA and HMGP funds get awarded to the respective communities at the completion of proposed work.

RIEMA has not been awarded any FY 2012 or FY 2013 funds for either the CAP or CTP programs; however we expect these two programs to remain level funded during the next fiscal year. The FY 2012 HMA applications have not yet been submitted to FEMA (as of 11/11) and therefore the approved applications and respective funding amounts are yet to be determined. The HMGP DR-1894 funds will continue to be awarded to communities as projects get formally approved by FEMA. The HMGP DR-4027 will have its projected lock-in rate determined March 3, 2012.

Percentage of RI-EMA Response Programs that Meet or Exceed FEMA Standards

Currently there are twenty separate programs/projects combined that together make up State Response Program. These programs are funded through four separate federal grants programs from FY2010/11. Currently six programs meet FEMA guidelines. Sixteen of these programs will meet guidelines with additional federal funding by the end of FY2013 (if agency receives funding).

RIEMA has not been awarded any FY 2012 or FY 2013 funds for any response programs; however we expect these sixteen programs to remain level funded during the next fiscal year. The FY 2012 SHSGP/EMPG applications have not yet been submitted to FEMA (as of 11/11) and therefore the approved applications and respective funding amounts are yet to be determined.

Military Staff Performance Measure Narratives

Percentage of RI-EMA Preparedness Program that are Awarded to Sub-grantees to Meet or Exceed FEMA Standards

The Preparedness Program consists of federal grants awarded to the state of Rhode Island by the federal government, primarily the Department of Homeland Security. These grants include the following elements:

State Homeland Security Grant Program (SHSGP): The program supports implementation of strategies that address identified planning, organization, equipment, training and exercise needs to help the state prepare for and mitigate the effects of terrorist attacks.

Citizens Corps (CCP): The program funds Citizen Corp councils to coordinate community and municipality efforts in planning, outreach and management activities relating to various programs including Community Emergency Response Teams, Neighborhood Watch, Volunteers in Police Service, and Medical Reserve Corps.

Metropolitan Medical Response System (MMRS): The grant, which is allocated on an equal basis with 124 jurisdictions, and goes directly to the city of Providence, supports the integration of emergency management, health and medical systems into a coordinated response to mass casualty incidents.

Emergency Management Performance Grants: Beginning with the FFY 2005 Homeland Security grant, the Department of Homeland Security has awarded an Emergency Management planning grant to the state. Though the program itself is not new (the allocation follows the FY 04 grant and is the primary funding source for emergency management activities), the award was grouped in the same contract application to streamline the process. This grant has a 50 percent state cost-share or in-kind match. These federal funds are part of the current service level, matched with 50 percent state funds.

Hazardous Material Emergency Preparedness (HMEP): Funding is provided by the Department of Transportation from hazardous materials shipping fees to aid state and local authorities in handling hazardous material accidents under the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA), including determination of flow patterns of hazardous material, and the need for response teams, training of public sector employees, and incorporation of transportation standards in planning. Funds are distributed to emergency responders for hazmat training and localities for hazmat training.

The performance measure measures the percent of the award that is allocated to the subgrantees, such as municipalities and first responders.

The above numbers refer only to the State Homeland Security Program and the Metropolitan Medical response grants. For the others, the Agency is in the process of determining allocations based on grant guidance, needs of the sub grantee, and the needs of the state. Future grants for FY 2012 or FY 2013 have not yet been awarded.

Agency

Department Of Public Safety

Agency Mission

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

Agency Description

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Security Services, Municipal Police Training Academy, and the State Police.

Statutory History

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided for the following: SECTION 14. The general assembly hereby requires the governor to submit, as part of his FY 2009 budget necessary recommended legislation to create a department of public safety, with an effective date of no sooner than July 1, 2008, and no later than January 1, 2009. The director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The governor shall include E-911 Emergency Telephone System division, the State Fire marshal who shall be appointed by the governor with the advice and consent of the senate, Capitol Police, Sheriffs, Municipal Police Training Academy, and the State Police.

The department shall consolidate communications and overhead expenditures.

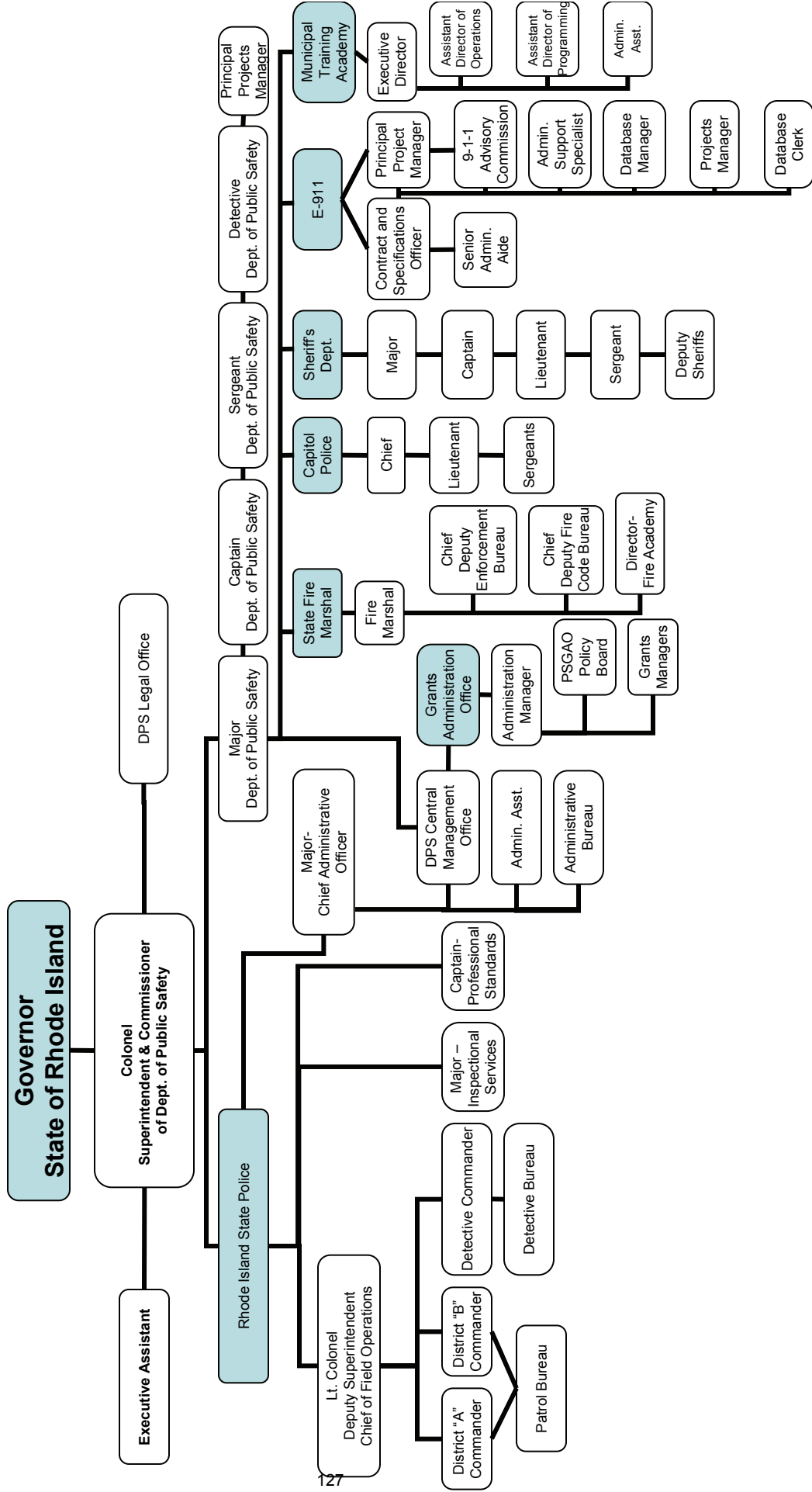
Budget

Department Of Public Safety

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	5,686,201	6,098,903	5,169,481	5,424,744	5,544,930
E-911	4,901,495	5,069,770	4,772,358	5,270,886	5,493,425
Fire Marshal	2,817,989	3,029,256	4,688,784	5,034,623	4,589,162
Security Services	3,026,109	3,227,561	19,963,594	20,438,646	21,735,322
Municipal Police Training	519,988	712,459	659,498	645,266	570,978
State Police	82,044,329	70,673,534	69,196,662	73,653,619	72,218,443
<i>Internal Services</i>	<i>[789,790]</i>	<i>[751,783]</i>	<i>[739,072]</i>	<i>[750,467]</i>	<i>[828,732]</i>
Total Expenditures	\$98,996,111	\$88,811,483	\$104,450,377	\$110,467,784	\$110,152,260
Expenditures By Object					
Personnel	47,452,771	52,846,895	71,762,101	75,278,440	75,763,476
Operating Supplies and Expenses	7,292,502	7,299,431	6,852,068	8,039,686	8,005,641
Assistance and Grants	20,431,949	21,427,091	21,866,318	22,043,982	22,359,143
Subtotal: Operating Expenditures	75,177,222	81,573,417	100,480,487	105,362,108	106,128,260
Capital Purchases and Equipment	23,818,889	7,238,066	3,969,890	5,105,676	4,024,000
Total Expenditures	\$98,996,111	\$88,811,483	\$104,450,377	\$110,467,784	\$110,152,260
Expenditures By Funds					
General Revenue	54,155,469	69,087,143	89,407,711	93,059,827	94,518,112
Federal Funds	18,591,398	9,267,352	7,647,988	9,069,285	7,287,041
Restricted Receipts	942,338	209,090	335,749	300,351	701,460
Operating Transfers from Other Funds	25,120,492	10,039,737	6,826,566	7,806,186	7,398,684
Other Funds	186,414	208,161	232,363	232,135	246,963
Total Expenditures	\$98,996,111	\$88,811,483	\$104,450,377	\$110,467,784	\$110,152,260
FTE Authorization	418.6	423.2	605.8	606.2	609.2
Agency Measures					
Minorities as a Percentage of the Workforce	10.0%	9.5%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	17.0%	19.2%	19.2%	19.2%	19.2%
Persons with Disabilities as a Percentage of the Workforce	0.2%	0.3%	0.3%	0.3%	0.3%

The Agency

Department of Public Safety



Personnel

Department Of Public Safety Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	83.0	3,932,434	86.0	4,109,335
Unclassified	528.2	36,243,745	524.2	36,847,506
Interdepartmental Transfers	-	(136,144)	-	(136,144)
Overtime	-	4,282,621	-	4,233,433
Program Reduction	(5.0)	(251,854)	(1.0)	(52,781)
Road Construction Detail Reimbursements	-	2,300,000	-	2,300,000
Turnover	-	(1,094,325)	-	(689,880)
Total Salaries	606.2	\$45,276,477	609.2	\$46,611,469
Benefits				
Contract Stipends	-	191,639	-	195,150
Contractual Obligations	-	1,729,832	-	1,855,883
Defined Contribution Plan	-	-	-	236,099
FICA	-	1,990,456	-	2,044,769
Holiday Pay	-	1,203,044	-	1,279,987
Medical	-	6,497,944	-	7,564,256
Payroll Accrual	-	-	-	231,640
Retiree Health	-	7,759,291	-	8,238,231
Retirement	-	9,188,600	-	6,464,216
Total Salaries and Benefits	606.2	\$73,837,283	609.2	\$74,721,700
Cost Per FTE Position		\$121,804		\$122,655
Statewide Benefit Assessment	-	795,249	-	823,833
Payroll Costs	606.2	\$74,632,532	609.2	\$75,545,533
Purchased Services				
Clerical and Temporary Services	-	115,500	-	50,000
Legal Services	-	8,500	-	8,500
Medical Services	-	59,100	-	54,100
Other Contract Services	-	18,000	-	19,150
Training and Educational Services	-	1,192,255	-	862,923
Total Personnel	606.2	\$76,025,887	609.2	\$76,540,206
Distribution by Source of Funds				

Personnel

Department Of Public Safety Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
General Revenue	579.6	69,161,716	579.6	69,779,213
Federal Funds	14.6	3,015,180	14.6	2,526,253
Restricted Receipts	1.0	122,237	4.0	487,482
Operating Transfers from Other Funds	-	2,695,446	-	2,702,610
Other Funds	3.0	283,861	3.0	267,918
Internal Service Funds	8.0	747,447	8.0	776,730
Total All Funds	606.2	\$76,025,887	609.2	\$76,540,206

The Program

Department Of Public Safety Central Management

Program Mission

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

Program Description

The Central Management program within the Department of Public Safety includes the administrative functions, which are headed by the superintendent of the Rhode Island State Police, who serves as the "director". In this capacity, the Director of Public Safety shall be authorized to: (a) Coordinate the administration and financing of public safety services and programs. (b) Serve as the governor's chief advisor and liaison to federal policymakers on public safety issues as well as the principal point of contact in the state on any such related matters. (c) Resolve administrative, jurisdictional, operational, program, or policy conflicts among departments and their executive staffs and make necessary recommendations to the governor. (d) Assure continued progress toward improving the quality, the economy, the accountability and the efficiency of state-administered public safety services.

The Central Management program also includes the Public Safety Grant Administration Office (PSGAO), formerly the Rhode Island Justice Commission (RIJC). PSGAO develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The support of these efforts is primarily obtained through the following federal grants: The Edward Byrne Memorial Justice Assistance Grant Program; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Residential Substance Abuse Treatment for State Prisoners Grant; and the National Criminal Histories Improvement Grant Program. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant program. The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. It is most common that the PSGAO makes over 200 grants/sub-grants annually in the average amount of approximately six million dollars.

Statutory History

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The Budget

Department Of Public Safety Central Management

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	5,686,201	6,098,903	5,169,481	5,424,744	5,544,930
Total Expenditures	\$5,686,201	\$6,098,903	\$5,169,481	\$5,424,744	\$5,544,930
Expenditures By Object					
Personnel	1,123,869	1,199,783	1,336,204	1,618,077	1,692,975
Operating Supplies and Expenses	69,112	13,856	29,112	2,502	47,790
Assistance and Grants	4,493,220	4,885,264	3,804,165	3,804,165	3,804,165
Subtotal: Operating Expenditures	5,686,201	6,098,903	5,169,481	5,424,744	5,544,930
Total Expenditures	\$5,686,201	\$6,098,903	\$5,169,481	\$5,424,744	\$5,544,930
Expenditures By Funds					
General Revenue	657,041	689,015	780,113	1,063,836	1,172,630
Federal Funds	5,029,160	5,395,576	4,388,518	4,360,058	4,371,450
Restricted Receipts	-	14,312	850	850	850
Total Expenditures	\$5,686,201	\$6,098,903	\$5,169,481	\$5,424,744	\$5,544,930
Program Measures					
Percentage of Municipal Police Departments with the Records Management System Software that are Interfaced with Justice Link (Courts)	96%	96%	96%	96%	96%
Objective	100%	100%		100%	100%
Percentage of Noncompetitive Formula Grant Applications Provided an Official Response within Five Business Days of Completed Application	97%	100%	100%	100%	100%
Objective	100%	100%		100%	100%
Percentage of Competitive Grant Applicants Provided an Official Response within 75 Business Days of Completed Application Date	100%	100%	100%	100%	100%
Objective	100%	100%		100%	100%

Personnel

Department Of Public Safety Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Staff Attorney VII	0840A	1.0	116,901	1.0	116,901
Director of Finance & Central Mgmt.	0840A	1.0	106,328	1.0	94,051
General Counsel	0837A	1.0	93,610	1.0	97,089
Administrative Manager	0834A	1.0	83,541	1.0	83,541
Supv. of Management Services	0829A	1.0	80,073	1.0	80,073
Principal Projects Manager	0831A	1.0	74,770	1.0	74,770
Sr. Planning and Program Specialist	5223A	3.0	197,829	3.0	197,829
Administrative Assistant	824A	1.0	63,423	1.0	63,423
Principal Accountant	0826A	1.0	61,485	1.0	61,485
Administrative Assistant	0819A	0.6	30,708	0.6	30,708
Administrative Assistant	0820A	2.0	84,692	2.0	87,710
Paralegal Clerk	0817A	1.0	37,618	1.0	38,536
Fiscal Clerk	04914A	1.0	35,121	1.0	35,878
Subtotal		15.6	\$1,066,099	15.6	\$1,061,994
Turnover		-	(49,761)	-	(19,243)
Subtotal		-	(\$49,761)	-	(\$19,243)
Total Salaries		15.6	\$1,016,338	15.6	\$1,042,751
Benefits					
Contract Stipends		-	-	-	-
Defined Contribution Plan		-	-	-	10,427
FICA		-	77,750	-	79,090
Medical		-	177,871	-	213,773
Payroll Accrual		-	-	-	6,158
Retiree Health		-	69,720	-	71,531
Retirement		-	237,431	-	229,287
Subtotal		-	\$562,772	-	\$610,266
Total Salaries and Benefits		15.6	\$1,579,110	15.6	\$1,653,017
Cost Per FTE Position			\$101,225		\$105,963
Statewide Benefit Assessment		-	38,967	-	39,958
Subtotal		-	\$38,967	-	\$39,958
Payroll Costs		15.6	\$1,618,077	15.6	\$1,692,975
Total Personnel		15.6	\$1,618,077	15.6	\$1,692,975

Personnel

Department Of Public Safety Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		9.0	1,063,836	9.0	1,172,630
Federal Funds		6.6	554,241	6.6	520,345
Total All Funds		15.6	\$1,618,077	15.6	\$1,692,975

The Program

Department Of Public Safety

E-911

Program Mission

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology.

Program Description

E-911 Emergency Telephone System operates a 24-hour, statewide Public Safety Answering Point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded from general revenues and restricted receipt revenues. The general revenue expenditures are backed by a \$1.00 per month surcharge on wireline and wireless telephone subscribers. Effective July 1, 2004, an additional \$0.26 surcharge was levied on wireless subscribers to fund the costs of the Geographic Information System (GIS) database, improve system redundancy, and maintain state-of-the-art technology in the PSAP.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using GIS mapping and other data being collected on a community-by-community basis, as funding becomes available. To date, 19 communities have been coded and 8 more are scheduled for completion in FY 2007. Until the GIS data development project is completed, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately 62 percent of the 562,517 calls received by the agency in calendar year 2006 were from wireless phones.

Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

The Budget

Department Of Public Safety E-911

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	4,901,495	5,069,770	4,772,358	5,270,886	5,493,425
Total Expenditures	\$4,901,495	\$5,069,770	\$4,772,358	\$5,270,886	\$5,493,425
Expenditures By Object					
Personnel	4,015,392	3,974,000	4,199,660	4,145,198	4,340,569
Operating Supplies and Expenses	648,049	939,932	572,698	1,106,788	1,143,856
Subtotal: Operating Expenditures	4,663,441	4,913,932	4,772,358	5,251,986	5,484,425
Capital Purchases and Equipment	238,054	155,838	-	18,900	9,000
Total Expenditures	\$4,901,495	\$5,069,770	\$4,772,358	\$5,270,886	\$5,493,425
Expenditures By Funds					
General Revenue	4,635,901	4,829,770	4,772,358	5,120,886	5,493,425
Federal Funds	265,594	240,000	-	150,000	-
Total Expenditures	\$4,901,495	\$5,069,770	\$4,772,358	\$5,270,886	\$5,493,425
Program Measures					
Incoming Wireless Calls to Secondary Public Service Answering Points	497,940	499,080	498,510	498,510	499,080
Objective	N/A	N/A		N/A	N/A
Calls-in-queue	14,169	23,098	16,162	16,162	14,169
Objective	N/A	N/A		N/A	N/A

Personnel

Department Of Public Safety

E-911

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Project Manager	4330A	1.0	77,074	1.0	77,074
Data Systems Manager	4328A	1.0	73,430	1.0	74,262
Principal Project Manager	0826A	1.0	68,804	1.0	68,804
Contracts & Spec Comp Officer	831A	0.6	37,385	0.6	37,385
Administrative Support Specialist	4324A	1.0	61,733	1.0	61,733
911 Shift Supervisor	4323A	3.0	180,699	3.0	181,130
911 Assistant Shift Supervisor	4320A	4.0	201,251	4.0	186,111
911 Telecommunicator	4317A	38.0	1,660,577	38.0	1,671,705
Senior Administrative Aide	4317A	1.0	42,363	1.0	42,363
Subtotal		50.6	\$2,403,316	50.6	\$2,400,567
Overtime		-	120,000	-	120,000
Turnover		-	(70,452)	-	-
Subtotal		-	\$49,548	-	\$120,000
Total Salaries		50.6	\$2,452,864	50.6	\$2,520,567
Benefits					
Contract Stipends		-	7,764 (1)	-	8,050
Contractual Obligations		-	88,183	-	88,183
Defined Contribution Plan		-	-	-	24,006
FICA		-	188,238	-	193,439
Medical		-	554,570	-	651,217
Payroll Accrual		-	-	-	14,478
Retiree Health		-	160,027	-	164,678
Retirement		-	601,068	-	580,778
Subtotal		-	\$1,599,850	-	\$1,724,829
Total Salaries and Benefits		50.6	\$4,052,714	50.6	\$4,245,396
Cost Per FTE Position			\$80,093		\$83,901
Statewide Benefit Assessment		-	87,484	-	90,023
Subtotal		-	\$87,484	-	\$90,023
Payroll Costs		50.6	\$4,140,198	50.6	\$4,335,419
Purchased Services					
Other Contract Services		-	5,000	-	5,150
Subtotal		-	\$5,000	-	\$5,150
Total Personnel		50.6	\$4,145,198	50.6	\$4,340,569

Personnel

Department Of Public Safety

E-911

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		50.6	4,145,198	50.6	4,340,569
Total All Funds		50.6	\$4,145,198	50.6	\$4,340,569

1 Clothing Allowance

The Program

Department Of Public Safety

Fire Marshal

Program Mission

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

Program Description

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, and fire prevention, protection, inspection and investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. It also conducts training programs of local fire departments.

The Investigation Unit investigates suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. It also investigates fires where a fatality has occurred in so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit administer the Fire Academy by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develop the training program and the cost is reimbursed by the participating community.

The Plan Review Unit reviews architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for review of the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

The Budget

Department Of Public Safety Fire Marshal

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	2,817,989	3,029,256	4,688,784	5,034,623	4,589,162
Total Expenditures	\$2,817,989	\$3,029,256	\$4,688,784	\$5,034,623	\$4,589,162
Expenditures By Object					
Personnel	2,273,837	2,531,878	3,046,026	3,117,796	2,737,383
Operating Supplies and Expenses	278,326	375,164	307,758	348,531	341,779
Subtotal: Operating Expenditures	2,552,163	2,907,042	3,353,784	3,466,327	3,079,162
Capital Purchases and Equipment	265,826	122,214	1,335,000	1,568,296	1,510,000
Total Expenditures	\$2,817,989	\$3,029,256	\$4,688,784	\$5,034,623	\$4,589,162
Expenditures By Funds					
General Revenue	2,398,083	2,515,632	2,568,574	2,643,214	2,684,019
Federal Funds	409,877	395,298	450,050	764,651	52,773
Restricted Receipts	10,029	99,614	280,899	249,501	293,799
Operating Transfers from Other Funds	-	18,712	1,389,261	1,377,257	1,558,571
Total Expenditures	\$2,817,989	\$3,029,256	\$4,688,784	\$5,034,623	\$4,589,162
Program Measures					
Fire Determination Rate	85%	83%	85%	85%	85%
Objective	95%	95%		95%	95%
Fire Fatalities in Rhode Island	12	12	12	12	10
Objective	4	4		4	4
Measurement of Plan Review Process	N/A	384	440	440	440
Objective	N/A	4		0	0

Personnel

Department Of Public Safety

Fire Marshal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Director of Fire Training	134A	1.0	77,478	1.0	77,478
Chief Deputy Fire Marshal	132A	2.0	146,829	2.0	146,829
Explosives & Flammable Liquids Tech.	3626A	1.0	57,620	1.0	57,620
Chief of Fire Investigations	127A	1.0	56,128	1.0	56,128
Chief of Fire Safety Inspections	127A	1.0	55,801	1.0	55,801
Fire Safety Training Officer	3627A	2.0	106,375	2.0	110,146
Senior Fire Investigator	3623A	1.0	51,531	1.0	51,531
Chief Plan Review Officer - Fire Safety	3627A	2.0	99,888	2.0	99,888
Senior Fire Safety Inspector	3619A	3.0	138,852	3.0	138,852
Asst. Explosives & Flammable Liquids Tech.	3621A	1.0	45,468	2.0	84,421 (2)
Fire Investigator	3621A	4.0	178,511	5.0	220,450 (2)
Executive Assistant	0018A	1.0	42,522	1.0	42,522
Fire Safety Technician	3616A	1.0	41,890	1.0	41,890
Word Processing Typist	3610A	1.0	39,263	1.0	39,810
Fire Safety Inspector	3617A	9.0	341,965	10.0	382,943 (2)
Principal Clerk Stenographer	3613A	1.0	33,259	1.0	33,259
Subtotal		32.0	\$1,513,380	35.0	\$1,639,568
Unclassified					
State Fire Marshal	843A	1.0	115,138	1.0	115,138
Subtotal		1.0	\$115,138	1.0	\$115,138
Overtime		-	136,500	-	121,773
Turnover		-	(58,610)	-	(152,515)
Subtotal		-	\$77,890	-	(\$30,742)
Total Salaries		33.0	\$1,706,408	36.0	\$1,723,964
Benefits					
Contract Stipends		-	8,575 (1)	-	8,700
Defined Contribution Plan		-	-	-	16,022
FICA		-	130,539	-	131,981
Medical		-	216,627	-	246,221
Payroll Accrual		-	-	-	9,460
Retiree Health		-	107,697	-	109,910
Retirement		-	386,426	-	366,627
Subtotal		-	\$849,864	-	\$888,921
Total Salaries and Benefits		33.0	\$2,556,272	36.0	\$2,612,885
Cost Per FTE Position			\$77,463		\$72,580
Statewide Benefit Assessment		-	30,211	-	30,498
Subtotal		-	\$30,211	-	\$30,498
Payroll Costs		33.0	\$2,586,483	36.0	\$2,643,383

Personnel

Department Of Public Safety Fire Marshal

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Medical Services		-	1,000	-	1,000
Training and Educational Services		-	530,313	-	93,000
Subtotal		-	\$531,313	-	\$94,000
Total Personnel		33.0	\$3,117,796	36.0	\$2,737,383
Distribution By Source Of Funds					
General Revenue		31.0	2,461,489	34.0	2,479,454
Federal Funds		-	481,813	-	44,773
Restricted Receipts		1.0	122,237	1.0	159,698
Other Funds		1.0	52,257	1.0	53,458
Total All Funds		33.0	\$3,117,796	36.0	\$2,737,383

1 Clothing Allowance

2 New FTE for Fire Marshals: Fire Safety Inspector, Fire Investigator, and Asst. Explosives & Flammable Liquids Tech.

The Program

Department Of Public Safety Security Services

Program Mission

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

Program Description

The FY 2012 budget recommendation included the transfer of the Sheriffs from the Department of Administration to the Department of Public Safety. The Sheriffs have been transferred into Security Services program, which currently includes Capitol Police.

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

The Sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

Statutory History

Chapter 42-7.3-3.2 of the Rhode General Laws established a Division of Sheriffs within the Department of Public Safety. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

The Budget

Department Of Public Safety Security Services

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Sheriffs	-	-	16,656,866	16,983,268	17,926,662
Capitol Police	3,026,109	3,227,561	3,306,728	3,455,378	3,808,660
Total Expenditures	\$3,026,109	\$3,227,561	\$19,963,594	\$20,438,646	\$21,735,322
Expenditures By Object					
Personnel	2,947,774	3,134,231	18,987,554	19,642,790	20,312,935
Operating Supplies and Expenses	78,335	93,328	970,540	790,356	1,412,387
Assistance and Grants	-	-	500	500	5,000
Subtotal: Operating Expenditures	3,026,109	3,227,559	19,958,594	20,433,646	21,730,322
Capital Purchases and Equipment	-	2	5,000	5,000	5,000
Total Expenditures	\$3,026,109	\$3,227,561	\$19,963,594	\$20,438,646	\$21,735,322
Expenditures By Funds					
General Revenue	3,026,109	3,227,561	19,963,594	20,438,646	21,735,322
Total Expenditures	\$3,026,109	\$3,227,561	\$19,963,594	\$20,438,646	\$21,735,322
Program Measures					
Division of Sheriffs - Inmate Processing and Transportation Objective	61,576	40,007	61,184	61,184	61,184
Division of Sheriffs - Civil Process Objective	N/A	N/A		N/A	N/A
Division of Sheriffs - Extraditions Objective	8,464	8,487	8,287	8,287	8,464
	N/A	N/A		N/A	N/A
	296	270	283	283	283
	N/A	N/A		N/A	N/A

Personnel

Department Of Public Safety Security Services

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Chief Capitol Police	137A	1.0	76,316	1.0	79,723
Capitol Police - Sergeant	0323A	2.0	98,461	2.0	112,910
Capitol Police Officer	0321A	38.0	1,800,840	38.0	1,820,629
Capitol Police - Lieutenant	0326A	1.0	46,394	1.0	48,790
Executive Assistant	0118A	1.0	40,497	1.0	40,497
Subtotal		43.0	\$2,062,508	43.0	\$2,102,549
Unclassified					
Executive High Sheriff	0841A	1.0	111,453	-	-
Sheriff (Kent County)	0832A	1.0	88,613	-	-
Deputy Sheriff - Major	0631A	2.0	161,917	2.0	162,480
Deputy Sheriff - Captain	0630A	3.0	232,575	3.0	232,577
Chief Deputy Sheriff	0827A	3.0	209,196	-	-
Deputy Sheriff - Lieutenant	0628A	6.0	417,090	6.0	418,080
Deputy Sheriff - Sergeant	0626A	9.0	618,498	9.0	622,099
Deputy Sheriff	0624A	58.0	3,481,006	58.0	3,496,427
Administrative Assistant	0825A	1.0	59,078	1.0	59,078
Deputy Sheriff	0601A	99.0	4,905,085	99.0	4,961,691
Deputy Sheriff - Clerk	0318A	2.0	95,918	2.0	95,818
Subtotal		185.0	\$10,380,429	180.0	\$10,048,250
Program Reduction		(5.0)	(251,854) ⁽¹⁾	-	-
Overtime		-	1,051,000	-	1,068,755
Turnover		-	(556,202)	-	(257,923)
Subtotal		(5.0)	\$242,944	-	\$810,832
Total Salaries		223.0	\$12,685,881	223.0	\$12,961,631
Benefits					
Contract Stipends		-	169,300	-	172,000
Contractual Obligations		-	4,508	-	4,508
Defined Contribution Plan		-	-	-	118,929
FICA		-	983,005	-	1,004,718
Medical		-	2,107,812	-	2,401,957
Payroll Accrual		-	-	-	67,218
Retiree Health		-	800,700	-	818,403
Retirement		-	2,673,687	-	2,518,785
Subtotal		-	\$6,739,012	-	\$7,106,518
Total Salaries and Benefits		223.0	\$19,424,893	223.0	\$20,068,149
Cost Per FTE Position			\$87,107		\$89,992
Statewide Benefit Assessment		-	207,897	-	211,286
Subtotal		-	\$207,897	-	\$211,286
Payroll Costs		223.0	\$19,632,790	223.0	\$20,279,435

Personnel

Department Of Public Safety Security Services

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Legal Services		-	8,500	-	8,500
Medical Services		-	1,500	-	25,000
Subtotal		-	\$10,000	-	\$33,500
Total Personnel		223.0	\$19,642,790	223.0	\$20,312,935
Distribution By Source Of Funds					
General Revenue		223.0	19,642,790	223.0	20,312,935
Total All Funds		223.0	\$19,642,790	223.0	\$20,312,935

1 Reduction of five (5.0) positions: the Executive High Sheriff, the Sheriff of Kent County, and three Chief Deputy Sheriffs, for a ten-year term. These terms expired on November 26, 2011 and the department has chosen not to renew the terms and to fill the positions with lower-pay grade positions.

The Program

Department Of Public Safety Municipal Police Training

Program Mission

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

Program Description

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/standard field sobriety testing; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

The Police Academy also conducts extensive police in-service and specialized training programs in many areas such as First Line Supervision; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for police recruits is conducted at the Community College of Rhode Island's Flanagan Campus, in Lincoln. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

Statutory History

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

The Budget

Department Of Public Safety Municipal Police Training

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	519,988	712,459	659,498	645,266	570,978
Total Expenditures	\$519,988	\$712,459	\$659,498	\$645,266	\$570,978
Expenditures By Object					
Personnel	441,328	550,597	548,550	531,072	447,840
Operating Supplies and Expenses	76,557	145,998	110,948	108,094	123,138
Subtotal: Operating Expenditures	517,885	696,595	659,498	639,166	570,978
Capital Purchases and Equipment	2,103	15,864	-	6,100	-
Total Expenditures	\$519,988	\$712,459	\$659,498	\$645,266	\$570,978
Expenditures By Funds					
General Revenue	319,312	339,062	352,118	352,764	-
Federal Funds	200,676	373,397	307,380	292,502	214,167
Restricted Receipts	-	-	-	-	356,811
Total Expenditures	\$519,988	\$712,459	\$659,498	\$645,266	\$570,978
Program Measures					
Grade Point Average for Recruit Classes	91.94%	91.35%	91.5%	91.5%	93.0%
Objective	93%	93%		93%	93%
Continuing Education of Law Enforcement Officers	506	1159	1100	1100	750
Objective	500	500		500	500
Maintenance of an Acceptable Level of Physical Fitness Assessors	88	141	150	150	100
Objective	50	50		50	50

Personnel

Department Of Public Safety Municipal Police Training

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Director	0838A	1.0	97,302	1.0	97,302
Coordinator of Instruction and Testing	0322A	1.0	61,531	1.0	61,531
Administrative Assistant	0815A	1.0	39,955	1.0	39,955
Communication and Training Coordinator	0826A	-	-	1.0	52,781
Subtotal		3.0	\$198,788	4.0	\$251,569
Program Reduction		-	-	(1.0)	(52,781) ⁽¹⁾
Turnover		-	-	-	-
Subtotal		-	-	(1.0)	(\$52,781)
Total Salaries		3.0	\$198,788	3.0	\$198,788
Benefits					
Contract Stipends		-	-	-	-
Defined Contribution Plan		-	-	-	1,988
FICA		-	15,208	-	15,208
Medical		-	32,076	-	35,932
Payroll Accrual		-	-	-	1,175
Retiree Health		-	13,637	-	13,637
Retirement		-	45,682	-	42,101
Subtotal		-	\$106,603	-	\$110,041
Total Salaries and Benefits		3.0	\$305,391	3.0	\$308,829
Cost Per FTE Position			\$101,797		\$102,943
Statewide Benefit Assessment		-	7,455	-	7,455
Subtotal		-	\$7,455	-	\$7,455
Payroll Costs		3.0	\$312,846	3.0	\$316,284
Purchased Services					
Training and Educational Services		-	218,226	-	131,556
Subtotal		-	\$218,226	-	\$131,556
Total Personnel		3.0	\$531,072	3.0	\$447,840

Personnel

Department Of Public Safety Municipal Police Training

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		3.0	324,346	-	-
Federal Funds		-	206,726	-	120,056
Restricted Receipts		-	-	3.0	327,784
Total All Funds		3.0	\$531,072	3.0	\$447,840

1 Reduction of one (1.0) position: Communication and Training Coordinator, requested by the department, but not recommended.

The Program

Department Of Public Safety State Police

Program Mission

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

Program Description

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized into three divisions: the Patrol Division, the Detective Division, and Central Management.

The Patrol Division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.e. drunk driving and speeding.

The Detective Division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the State. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit. Further, the RISP assumed control of the Judicial Branch's Warrant Squad to consolidate statewide operations in the apprehension of fugitives.

The Central Management Division consists of the Command Officers, the Inspection Division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology Unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

Statutory History

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

The Budget

Department Of Public Safety State Police

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Support	36,989,912	11,469,253	8,815,078	10,106,372	8,535,653
Detectives	13,084,890	13,055,678	13,001,232	13,911,248	13,235,220
Patrol	13,113,011	26,789,133	26,842,728	28,945,086	29,561,397
Pension	15,940,176	16,543,792	17,521,537	17,698,726	18,009,387
Communications and Technology	2,916,340	2,815,678	3,016,087	2,992,187	2,876,786
Total Expenditures	\$82,044,329	\$70,673,534	\$69,196,662	\$73,653,619	\$72,218,443
Expenditures By Object					
Personnel	36,650,571	41,456,406	43,644,107	46,223,507	46,231,774
Operating Supplies and Expenses	6,142,123	5,731,153	4,861,012	5,683,415	4,936,691
Assistance and Grants	15,938,729	16,541,827	18,061,653	18,239,317	18,549,978
Subtotal: Operating Expenditures	58,731,423	63,729,386	66,566,772	70,146,239	69,718,443
Capital Purchases and Equipment	23,312,906	6,944,148	2,629,890	3,507,380	2,500,000
Total Expenditures	\$82,044,329	\$70,673,534	\$69,196,662	\$73,653,619	\$72,218,443
Expenditures By Funds					
General Revenue	43,119,023	57,486,103	60,970,954	63,440,481	63,432,716
Federal Funds	12,686,091	2,863,081	2,502,040	3,502,074	2,648,651
Restricted Receipts	932,309	95,164	54,000	50,000	50,000
Operating Transfers from Other Funds	25,120,492	10,021,025	5,437,305	6,428,929	5,840,113
Other Funds	186,414	208,161	232,363	232,135	246,963
Total Expenditures	\$82,044,329	\$70,673,534	\$69,196,662	\$73,653,619	\$72,218,443
Program Measures					
Seat Belt Enforcement	1306	5919	6000	6000	6500
Objective	N/A	N/A		N/A	N/A
DUI Enforcement	460	496	478	478	478
Objective	N/A	N/A		N/A	N/A
Public Service Survey - Complaints	93.0%	93.0%	91.0%	91.0%	100.0%
Objective	N/A	N/A		N/A	N/A
Public Service Survey - Citations	83.0%	97.0%	87.0%	87.0%	100.0%
Objective	N/A	N/A		N/A	N/A
Public Service Survey - Motor Vehicle Accidents	98.0%	95.0%	96.0%	96.0%	100.0%
Objective	N/A	N/A		N/A	N/A

Personnel

Department Of Public Safety State Police

	Grade	FY 2012		FY 2013		
		FTE	Cost	FTE	Cost	
Unclassified						
Lieutenant Colonel	0074K	1.0	155,942	1.0	155,942	
Colonel-Director of Public Safety	0952K	1.0	148,937	1.0	148,937	
Major	0901F	3.0	426,834	3.0	426,834	
Captain	0072F	5.0	670,436	5.0	670,436	
Lieutenant	0071A	22.0	2,846,285	22.0	2,846,285	
Assistant Detective Commander	0073F	1.0	128,471	1.0	128,471	
Fraud Manager	0840A	2.0	242,927	2.0	242,927	
Director of Radio Communications	0840A	1.0	119,442	1.0	119,442	
Data Processing Systems Manager	0836A	1.0	98,024	1.0	98,024	
Intelligence Analyst	0838A	2.0	189,971	2.0	189,971	
Detective Sergeant	0084A	10.0	936,952	10.0	948,964	
Sergeant	0070A	11.0	1,008,982	12.0	1,020,089	
Technical Support Specialist III	0833A	1.0	88,001	1.0	88,001	
Director of Telecommunications	0836A	1.0	87,687	1.0	89,500	
Detective Corporal	0083A	15.0	1,308,198	16.0	1,320,236	
Witness Protection Coordinator	0880F	1.0	87,026	1.0	87,026	
Detective Trooper	0082A	30.0	2,607,817	34.0	2,665,622	
Corporal	0069A	8.0	660,731	6.0	666,345	
Project Manager	4930A	1.0	78,402	1.0	78,402	
Senior Trooper	0081A	66.0	5,048,865	64.0	5,388,285	(1)
Executive Secretary to the Colonel	0824A	1.0	73,847	1.0	77,664	
Senior Monitoring and Evaluation Spec	5025A	1.0	73,557	1.0	73,557	
Criminal Case Coordinator	5025A	1.0	70,599	1.0	71,400	
Task Force Agent Inspector	0828A	2.0	136,144	2.0	136,144	
Police Communications Supervisor	0824A	1.0	67,341	1.0	67,341	
Investigator	0826A	1.0	64,381	1.0	64,381	
Operations/Maintenance Coordinator	0129A	1.0	63,283	1.0	65,886	
Network Technical Specialist	4926A	2.0	124,276	2.0	124,276	
Trooper	0080A	58.0	3,506,272	56.0	3,941,742	(2)
Administrative Officer	4922A	2.0	108,683	2.0	111,363	
Technical Support Programmer	4926A	1.0	52,588	1.0	54,776	
Technical Staff Assistant - Operations	4920A	1.0	51,513	1.0	51,513	
Administrative Assistant	4920A	1.0	49,273	1.0	49,273	
Electronics Technician	4920A	1.0	47,034	1.0	47,034	
Telecommunicator	4917A	6.0	266,205	6.0	267,989	
Administrative Assistant	0819A	1.0	44,059	1.0	44,059	
Clerk Secretary	5014A	1.0	43,071	1.0	43,071	
Principal Confidential Transcriber	4916A	1.0	41,113	1.0	41,113	
Utility Maintenance Technician	4911A	6.0	221,091	6.0	221,091	
Data Entry Coordinator	4916A	1.0	35,715	1.0	36,576	
Subtotal		273.0	\$22,079,975	273.0	\$22,969,988	

Personnel

Department Of Public Safety State Police

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Interdepartmental Transfers (DOA)		-	(136,144)	-	(136,144)
Overtime		-	2,814,124	-	2,757,078
Road Construction Detail Reimbursements		-	2,300,000	-	2,300,000
Turnover		-	(359,300)	-	(260,199)
Subtotal		-	\$4,618,680	-	\$4,660,735
Total Salaries		273.0	\$26,698,655	273.0	\$27,630,723
Benefits					
Contractual Obligations		-	1,637,141	-	1,763,192
Defined Contribution Plan		-	-	-	61,055
FICA		-	567,981	-	591,751
Holiday Pay		-	1,203,044	-	1,279,987
Medical		-	3,325,558	-	3,921,695
Payroll Accrual		-	-	-	131,008
Retiree Health		-	6,583,051	-	7,034,881
Retirement		-	5,162,372	-	2,648,865
Subtotal		-	\$18,479,147	-	\$17,432,434
Total Salaries and Benefits		273.0	\$45,177,802	273.0	\$45,063,157
Cost Per FTE Position			\$165,486		\$165,067
Statewide Benefit Assessment		-	416,889	-	438,150
Subtotal		-	\$416,889	-	\$438,150
Payroll Costs		273.0	\$45,594,691	273.0	\$45,501,307
Purchased Services					
Clerical and Temporary Services		-	115,500	-	50,000
Medical Services		-	56,600	-	28,100 ⁽³⁾
Other Contract Services		-	13,000	-	14,000
Training and Educational Services		-	443,716	-	638,367 ⁽⁴⁾
Subtotal		-	\$628,816	-	\$730,467
Total Personnel		273.0	\$46,223,507	273.0	\$46,231,774

Personnel

Department Of Public Safety State Police

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		263.0	41,524,057	263.0	41,473,625
Federal Funds		8.0	1,772,400	8.0	1,841,079
Operating Transfers from Other Funds		-	2,695,446	-	2,702,610
Other Funds		2.0	231,604	2.0	214,460
Total All Funds		273.0	\$46,223,507	273.0	\$46,231,774

1 2.0 FTE's reduced for mandatory retirements in FY 2012

2 Includes half year salaries of the troopers who graduate in December 2011.

3 Financing removed for reenlistment physicals, medical for recruits, and physical and psychological testing for next training academy

4 Financing removed for consultant fees for administering entrance exam, and oral boards to recruits for next training academy

The Budget

Department Of Public Safety Capitol Police Rotary

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	789,790	751,783	739,072	750,467	828,732
Internal Services	[789,790]	[751,783]	[739,072]	[750,467]	[828,732]
Total Expenditures	\$789,790	\$751,783	\$739,072	\$750,467	\$828,732
Expenditures By Object					
Personnel	789,737	751,731	736,088	747,447	776,730
Operating Supplies and Expenses	53	52	2,984	3,020	52,002
Subtotal: Operating Expenditures	789,790	751,783	739,072	750,467	828,732
Total Expenditures	\$789,790	\$751,783	\$739,072	\$750,467	\$828,732
Expenditures By Funds					
Other Funds	789,790	751,783	739,072	750,467	828,732
Total Expenditures	\$789,790	\$751,783	\$739,072	\$750,467	\$828,732

Personnel

Department Of Public Safety Capitol Police Rotary

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Capitol Police Officer	321	8.0	356,546	8.0	367,218
Subtotal		8.0	\$356,546	8.0	\$367,218
Overtime		-	160,997	-	165,827
Subtotal		-	\$160,997	-	\$165,827
Total Salaries		8.0	\$517,543	8.0	\$533,045
Benefits					
Contract Stipends		-	6,000	-	6,400
Defined Contribution Plan		-	-	-	3,672
FICA		-	27,735	-	28,582
Medical		-	83,430	-	93,461
Payroll Accrual		-	-	-	2,143
Retiree Health		-	24,459	-	25,191
Retirement		-	81,934	-	77,773
Subtotal		-	\$223,558	-	\$237,222
Total Salaries and Benefits		8.0	\$741,101	8.0	\$770,267
Cost Per FTE Position			\$92,638		\$96,283
Statewide Benefit Assessment		-	6,346	-	6,463
Subtotal		-	\$6,346	-	\$6,463
Payroll Costs		8.0	\$747,447	8.0	\$776,730
Total Personnel		8.0	\$747,447	8.0	\$776,730
Distribution By Source Of Funds					
Internal Service Funds		8.0	747,447	8.0	776,730
Total All Funds		8.0	\$747,447	8.0	\$776,730

Department Of Public Safety Performance Measure Narratives

Central Management

Percentage of Municipal Police Departments with the Records Management System Software that are Interfaced with Justice Link (Courts)

This is a measure of the percentage of municipal police departments that have Records Management Systems (RMS) interfaced with Justice Link (J-Link), the statewide criminal justice information system. In order for J-Link to be fully functional, all police departments will require their RMS programs to be rewritten to the specifications of the new court case management system.

The J-Link interface will allow for arrest and criminal charging information to be entered into the RMS of local police departments for automatic electronic transfer to the court's case management system in anticipation of the defendant's initial appearance. Once a defendant has been arraigned in court, information regarding that event will be automatically returned to the arresting department.

The objective is to have one hundred percent of state and municipal police departments with Records Management Systems interfaced with Justice Link.

Percentage of Noncompetitive Formula Grant Applications Provided an Official Response within Five Business Days of Completed Application

This indicator measures the efficiency of the grant administration process for noncompetitive formula grants. It is a measure of the time it takes for the grant administrator to process the grant application from receipt of a completed application to the notification of grant award.

There are no requests for proposals for these noncompetitive grants as programming is predetermined by formula. Specific funding plans are presented to the commission's steering committee for advice and consent. Upon the Steering Committee's approval, the grant administrator seeks policy board approval for the funding plan.

The standard is that one hundred percent of noncompetitive formula grant applicants be provided with an official award within five business days of receipt of a completed application.

Percentage of Competitive Grant Applicants Provided an Official Response within 75 Business Days of Completed Application Date

This indicator measures the efficiency of the grant administration process for competitive grants. Requests for proposals are advertised for such competitive grants as Neighborhood Crime Prevention Act grants, Juvenile Justice and Delinquency Prevention Act Formula grants, Title V grants, Challenge grants; and the Victims of Crime Act Victims' Assistance grants.

Completed applications are reviewed and rated by the commission's advisory committee, which recommends agencies to receive funding to the policy board. The policy board reviews and normally approves the advisory committee's grant funding recommendations.

The standard is that one hundred percent of agencies are notified within seventy-five business days of the submission of a completed application.

Department Of Public Safety Performance Measure Narratives

E-911

Incoming Wireless Calls to Secondary Public Service Answering Points

The monthly Wireless Calls Statistics report is compiled monthly. This report stipulates the number of calls each of the six wireless carriers delivered to 911 during the respective month along with the average call duration in minutes and seconds of said calls. It further identifies the total number of wireless calls per month and the overall call duration average per month. Approximately 75 percent of all RI E 9-1-1 calls are wireless and usually take longer to process than a traditional wireline call. RI E 9-1-1 carefully monitors these statistics to ensure that wireless calls are timely answered and efficiently processed.

Calls-in-queue

The Calls in Queue report is compiled on a weekly basis. This report details the highest number vs. the lowest number of calls in queue (on hold) on any given day during the week, the highest percentage vs. the lowest percentage of calls in queue on any given day, the highest average vs. the lowest average queue duration in seconds on any given day and the highest (maximum) vs. lowest (minimum) queue duration in seconds on any given day. RI E 9-1-1 carefully monitors calls in queue to ensure there are no significant spikes in the amount and/or duration of queued calls (calls on hold) which can have an impact our ability to timely answer and process emergency calls.

Department Of Public Safety Performance Measure Narratives

Fire Marshal

Fire Determination Rate

This indicator measures the percentage of fire investigations conducted by the Fire Marshal's Office which result in a determination of the cause of the fire. The Rhode Island State Fire Marshal's Office is responsible for investigating suspicious fires which are incendiary, accidental, or undetermined.

The standard is the highest fire determination rate in a previous year since FY 1997, with the goal of increasing the fire determination rate from year to year.

Fire Fatalities in Rhode Island

This indicator measures the annual number of deaths due to fire in Rhode Island. The Fire Safety Code Board of Appeal and Review contributes to the prevention of fire fatalities by updating the state's fire code. The Fire Marshal affects prevention of fire related deaths by inspection and enforcement of the fire code.

The goal of the Fire Safety Code Board of Appeal and Review and the Rhode Island State Fire Marshal is to have zero fire related deaths. Both agencies have set their objective, however, as the lowest number of fire related deaths in a previous year as being more realistically achievable.

Measurement of Plan Review Process

The Plan Review Unit is required to review construction documents prior to the issuing of building permits and construction of new buildings or systems and/or renovations to existing buildings. The program further includes on site inspections during construction to ensure that buildings are constructed in accordance with the approved construction documents. This is a measurement of the success rate of that program and the timeframes required to do so. Other duties of the program include providing technical assistance to local Assistant Deputy State Fire Marshals, Architects, and Engineers as well as providing testimony on matters before the Fire Safety Code Board of Appeal and Review.

This program is in concert with the Department's overall mission to enforce and perform the duties required by the Comprehensive Fire Safety Act, and all other provisions of the general and public laws insofar as such powers and duties relate to fires, fire prevention, fire protection, fire inspection, fire investigation and fire training. The program ensures that new buildings or buildings that are renovated are constructed in accordance with the provisions of the Comprehensive Fire Safety Act which provide a safe environment for the people who eventually occupy the buildings.

Department Of Public Safety Performance Measure Narratives

Security Services

Division of Sheriffs - Inmate Processing and Transportation

One of the primary missions of the Rhode Island Division of Sheriff's is the daily transportation of adult and juvenile inmates. Inmates are primarily processed and transported by Division members from the Adult Correctional Institute and Youth Correctional Center in Cranston, Rhode Island. Inmate population is transported from the aforementioned facilities to one of five (5) court facilities within the State of Rhode Island. A similar number of adjudicated offenders/inmates are then transported back to these respective facilities for detention at the end of each business day. The data below also indicates the total number of inmates processed at the respective court facilities as a result of daily police department deposits.

Division of Sheriffs - Civil Process

The Rhode Island Division of Sheriff's is also responsible for the accountability and service of a wide variety of court papers (writs) that directly impact the civil and criminal administration of justice within the State of Rhode Island. Specifically, Division members are tasked with the hand delivery of restraining/no contact orders, eviction notices, civil and criminal body attachments as well as a variety of legal appearance papers to both private and public entities. Despite a weakened infrastructure of division members providing this service due to manpower and training concerns identified, the Civil process unit has served and generated the below listed enumerated values. More importantly, approximately 40% of this service objective is accomplished by Division members for no charge due to indigent and state agency requests.

Division of Sheriffs - Extraditions

The extradition of inmates is a primary duty and responsibility of the Rhode Island Division of Sheriffs. The legal authority to extradite is communicated via a court order or Governor's warrant. Upon court approval, the extradition process authorizes sworn division members to detain, transport and deliver inmates wanted by the State of Rhode Island from anywhere within the United States. The primary goal and objectives of this program focus on the safe and timely transfer of the inmate and accompanying Division members.

Department Of Public Safety Performance Measure Narratives

Municipal Police Training

Grade Point Average for Recruit Classes

Grade point average is a measure of the academic performance of the classes of recruits at the academy. The academy's curriculum is based on a job task analysis of municipal police forces in Rhode Island completed in 2009. The job task analysis listed 500+ core learning objectives that were incorporated into the academy's training programs. This measure is related to the academy's stated objective to provide required instruction to all police academy recruits to ensure capability to perform all necessary police tasks.

The Academy's goal is to meet or exceed the highest cumulative grade point average for the recruit classes in the previous years since FY 1997.

Continuing Education of Law Enforcement Officers

The Police Academy provides continuing education (in-service training) for Rhode Island law enforcement on a continual and consistent basis. The training offered is based on contemporary needs, as identified by Police Chiefs and department personnel, and annual certifications required by law and industry standards. For example, the Academy provides timely certification and recertification on CPR/AED, Use of Force techniques and tactics, Instructor Development, etc.

The Academy's goal is to provide continuing education to a minimum of 500 police officers annually (fiscal year).

Maintenance of an Acceptable Level of Physical Fitness Assessors

Physical fitness has been demonstrated to be a bona fide occupational qualification for law enforcement officers and is a critical area for minimizing liability. The Department of Public Safety and the Police Officers Commission on Standards and Training have established minimum physical fitness training standards for both entering into and graduating from the Municipal Police Academy. The Municipal Police Academy regularly schedules training to maintain a minimum cadre of fitness assessors in each of Rhode Island's municipal and state law enforcement agencies. In addition to assisting the Academy with fitness testing and assessment these specially trained fitness assessors also coordinate fitness screening during the recruitment process of their respective police departments.

The Academy's goal is to maintain a minimum of 50 qualified physical fitness assessors at all times.

Department Of Public Safety Performance Measure Narratives

State Police

Seat Belt Enforcement

The Division of State Police is currently working on an initiative to educate the public regarding the use of seat belts by vehicle operators and passengers. Seat belts may or may not have a direct correlation to injuries sustained when vehicles are involved in a crash. If the enforcement and education of seat belt safety can determine that seat belt use by drivers in Rhode Island has increased, Federal funds will be awarded to assist with this endeavor.

Since the seat belt statute was enacted, the State Police have corroborated with all if not most of the City and Town law enforcement agencies to get this message out. Joint enforcement initiatives have taken place and will continue until strict compliance is adhered to.

DUI Enforcement

Driving under the Influence (DUI) always has and always will be one of the Divisions most important traffic functions and responsibilities. Keeping impaired drivers off of the States roads allows the motoring public and safe route to and from where they are travelling. Often times, drunk drivers, cause grief and pain to innocent drivers and passengers in motor vehicle crashes.

The State Police will continue to be vigil in taking impaired drivers off the street. We will maintain out education of all of the Troopers involved with this as well as train more Troopers in DRE, Drug Recognition Experts. This training will provide the State Police with another tool to effectively protect the citizens of this State.

Public Service Survey - Complaints

The Division of State Police periodically will distribute survey questions, approximately every two years, to citizens in an effort to determine the quality of service provided by the State Police. After receiving the survey results they are compiled and reviewed by the Superintendent in an effort to see how the Division can improve service by training, equipment or by addressing the need to change policies and procedures.

Public Service Survey - Citations

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Public Service Survey - Motor Vehicle Accidents

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Agency

Office Of Public Defender

Agency Mission

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

Agency Description

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

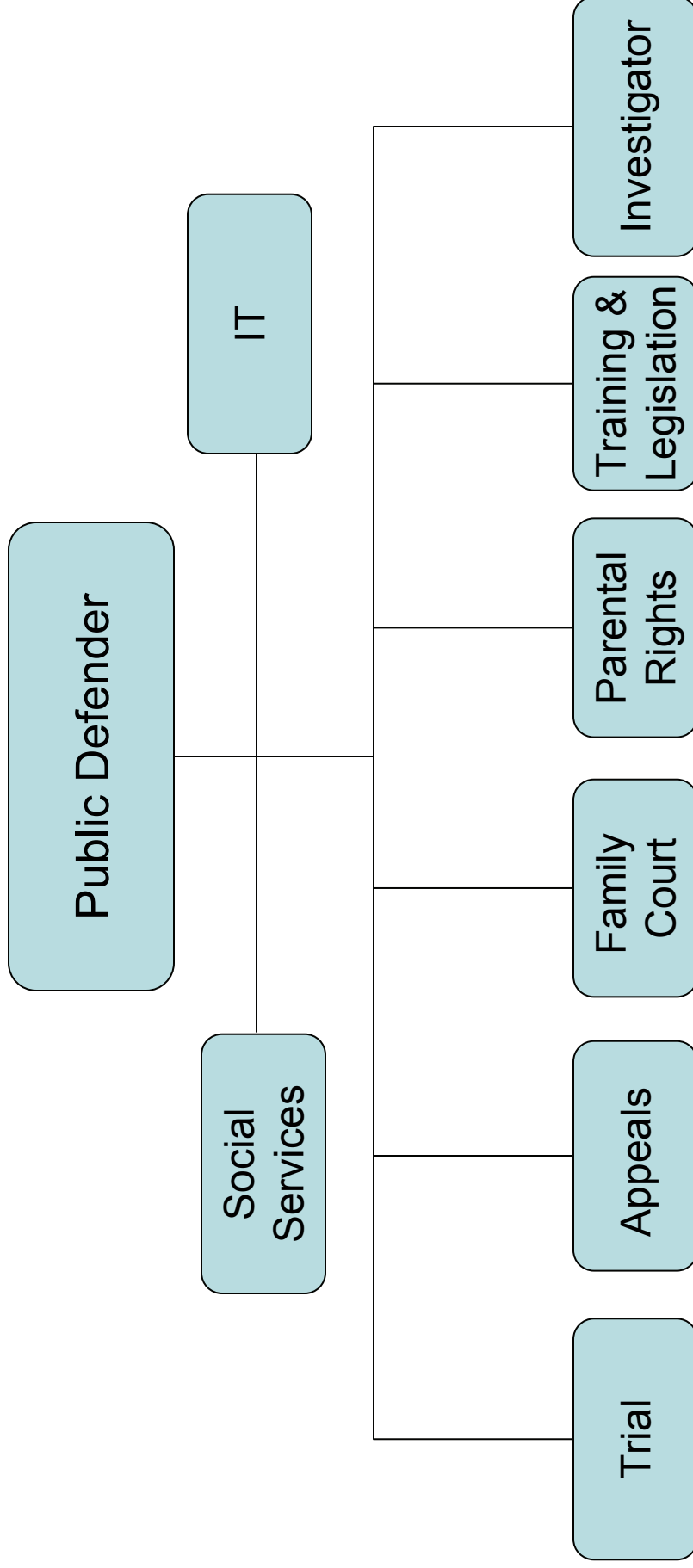
Budget

Office Of Public Defender

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	9,124,681	9,990,894	10,876,941	10,845,885	11,118,311
Total Expenditures	\$9,124,681	\$9,990,894	\$10,876,941	\$10,845,885	\$11,118,311
Expenditures By Object					
Personnel	8,320,498	9,074,432	9,897,502	9,828,493	9,997,557
Operating Supplies and Expenses	740,041	807,184	845,709	873,797	969,991
Assistance and Grants	-	77,980	100,000	101,596	101,596
Subtotal: Operating Expenditures	9,060,539	9,959,596	10,843,211	10,803,886	11,069,144
Capital Purchases and Equipment	64,142	31,298	33,730	41,999	49,167
Total Expenditures	\$9,124,681	\$9,990,894	\$10,876,941	\$10,845,885	\$11,118,311
Expenditures By Funds					
General Revenue	9,013,466	9,493,812	10,300,580	10,358,214	10,679,011
Federal Funds	111,215	497,082	576,361	487,671	439,300
Total Expenditures	\$9,124,681	\$9,990,894	\$10,876,941	\$10,845,885	\$11,118,311
FTE Authorization	92.0	93.0	93.0	91.0	92.0
Agency Measures					
Minorities as a Percentage of the Workforce	12.7%	13.3%	18.7%	18.7%	20.0%
Females as a Percentage of the Workforce	63.8%	64.4%	65.9%	65.9%	65.9%
Persons with Disabilities as a Percentage of the Workforce	9.5%	9.5%	1.1%	1.1%	1.1%
CLE Participation as Proxy Indicator	91.49%	78.72%	80.0%	80.0%	80.0%

The Agency

Rhode Island Public Defender



Personnel

Office Of Public Defender Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Public Defender	845	1.0	148,942	1.0	148,942
Deputy Public Defender	843	1.0	138,165	1.0	138,165
Chief /Appeals Division	840	1.0	121,983	1.0	121,983
Chief/Trial Division	840	1.0	121,983	1.0	121,983
Trainers/Assistant Public Defender	839	2.0	227,798	2.0	233,187
Assistant Public Defender	837	1.0	105,814	1.0	103,609
Assistant Public Defender I	836	6.0	596,663 (1)	6.0	596,663
Director of Public Information	839	1.0	89,042	1.0	94,052
Assistant Public Defender II	834	7.0	622,584	7.0	612,639
Executive Assistant	833	1.0	84,379	1.0	84,379
Assistant Public Defender III	832	5.0	388,324	5.0	384,471
Chief Investigator	828	1.0	72,821	1.0	72,821
Staff Attorney II	830	13.0	924,192	14.0	995,254 (4)
Fiscal Management/Administrative Officer	829	1.0	69,180	1.0	69,180
Deputy Chief Investigator	5426	1.0	68,957	1.0	68,957
Social Casework Supervisor	826	1.0	60,303	1.0	61,484
Assistant Public Defender IV	828	9.0	535,843 (1)	9.0	554,064
System Analyst	5424	1.0	58,574	1.0	61,090
Investigator I	5423	2.0	113,264	2.0	115,510
Case Management Coordinator	5019	5.0	278,012	5.0	279,441
Social Service Caseworker	5021	5.0	267,709	5.0	273,196
Interpreter (Deputy Clerk/Interpreter)	5420	2.0	97,051	2.0	97,051
Investigator II	5421	3.0	145,071	3.0	150,519
Intake Coordinator/Supervising Clerk	5418	1.0	46,218	1.0	46,218
Administrative Secretary	5417	4.0	179,172	4.0	174,140
Legal Secretary I	5415	4.0	162,848	4.0	166,228
Confidential Secretary	817	1.0	40,314	1.0	41,783
Community Outreach Liaison	5418	1.0	39,902	1.0	40,824
Intake Technician	5413	4.0	149,068	4.0	150,520
Legal Secretary II	5413	4.0	147,517	4.0	149,226
Data Entry Aide	5410	1.0	31,562	1.0	32,143
Subtotal		91.0	\$6,133,255	92.0	\$6,239,722
Turnover		-	(82,724)	-	(79,465)
Subtotal		-	(\$82,724)	-	(\$79,465)
Total Salaries		91.0	\$6,050,531	92.0	\$6,160,257
Benefits					
Defined Contribution Plan		-	-	-	60,892
FICA		-	458,704	-	471,260
Medical		-	952,607 (3)	-	1,033,917 (3)
Payroll Accrual		-	-	-	37,199
Retiree Health		-	414,944	-	422,594
Retirement		-	1,428,615 (2)	-	1,343,575 (2)
Subtotal		-	\$3,254,870	-	\$3,369,437

Personnel

Office Of Public Defender Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		91.0	\$9,305,401	92.0	\$9,529,694
Cost Per FTE Position			\$102,257		\$103,584
Statewide Benefit Assessment		-	241,672	-	231,009
Subtotal		-	\$241,672	-	\$231,009
Payroll Costs		91.0	\$9,547,073	92.0	\$9,760,703
Purchased Services					
Building and Grounds Maintenance		-	432	-	432
Clerical and Temporary Services		-	177,000	-	173,000
Information Technology		-	52,920	-	16,920
Legal Services		-	29,069	-	25,000
Other Contract Services		-	22,000	-	21,500
Subtotal		-	\$281,421	-	\$236,852
Total Personnel		91.0	\$9,828,494	92.0	\$9,997,555
Distribution By Source Of Funds					
General Revenue		89.0	9,458,014	90.0	9,681,397
Federal Funds		2.0	370,479	2.0	316,160
Total All Funds		91.0	\$9,828,493	92.0	\$9,997,557

1 Loss of federal funds results in loss of 2.0 FTE's:
Caseload Reduction/Tri County attorney (misdemeanor),
and Drug Court attorney.

3 13 employees currently waive medical benefits.

2 Includes LIUNA pension costs at \$1,032 per union
employee.

4 Misdemeanor attorney in Third District Court (Kent
County).

Office Of Public Defender Performance Measure Narratives

Central Management

CLE Participation as Proxy Indicator

We measure the percentage of legal staff who meet the total mandatory CLE requirement through continuing education courses sponsored by the agency: the goal is 80%. We predicted that in FY 2011, 80% of the attorney staff would have met at least 80% of t

Capital Budget Public Safety

Attorney General

Governor's Recommendations

Building Renovations and Repairs – In December of 1995, the State of Rhode Island acquired the office building located at 150 South Main Street in Providence to serve as the main office for the Department of the Attorney General. The department has identified the following areas that are in need of repairs: windows, HVAC upgrades, technology upgrades, repointing/limestone repair, piping infrastructure, fire protection upgrades, data rewiring, electrical upgrades and elevator repairs. The Governor recommends \$1.2 million from the Rhode Island Capital Plan Fund from FY 2012 to FY 2017. Prior to FY 2012, \$1.6 million from the Rhode Island Capital Plan Fund had been expended for this project. The Governor recommends \$250,000 in FY 2012, \$287,500 in FY 2013, \$250,000 in FY 2014, \$150,000 in FY 2015, \$150,000 in FY 2016, and \$150,000 in FY 2017.

Automated Fingerprint Identification System (AFIS) - Rhode Island has implemented Automated Fingerprint Information System technology as a data-sharing system with Connecticut. While this arrangement has proved to be worthwhile as an initial step in being able to transmit data to and from the FBI, it has become necessary for Rhode Island to obtain its own technology as an independent system in order to accommodate differences between Connecticut and Rhode Island laws and facilitate the process of conducting background checks. Prior to FY 2012 \$469,746 from the 2002 Bond authorization has been expended for this project. The Governor recommends a total of \$750,000 in issued general obligation bond proceeds in FY 2013 for the upgrade of the AFIS technology.

Department of Corrections

Governor's Recommendations

All of the projects recommended by the Governor in FY 2012 through FY 2017 will be financed from the Rhode Island Capital Plan Fund. The Gloria McDonald facility (the former Reintegration Center) was ninety percent federally funded from the Violent Offender Incarceration-Truth in Sentencing (VOI-TIS) program. Sixteen projects will be financed in a single Asset Protection line item, while the remaining projects remain separate.

Repair, Renovation, and Equipment Replacement Projects

Corrections Asset Protection - The Governor recommends a total of \$21.4 million from the Rhode Island Capital Plan Fund in FY 2012-FY 2017 for a variety of general repair and maintenance, safety and security, and facility enhancement projects that will preserve the correctional system's existing physical assets. Pre-FY 2012 expenditures total \$6.2 million; \$5.0 million is scheduled for FY 2012, \$3.5 million is scheduled each for FY 2013 and FY 2014, \$3.0 million is scheduled each for FY 2015 and FY 2016, and \$3.4 million is scheduled for FY 2017. The project's single appropriation is designed to provide the department with maximum flexibility in the selection and timing of its various projects.

The following projects are under way or are under consideration by the Department for funding under Asset Protection:

- **Complex-Wide Facilities Capital Projects** – The Department estimates expenditure for miscellaneous smaller scale work of an immediate nature where repairs are required within the year or are important in maintaining the current operation of various facilities. Typical past repair projects have included air handling unit repairs, drain installations, and roof replacement. Projects underway include Sallyport expansion at the Intake Service Center, masonry work at the Central Distribution Center, digital television conversion, branch circuitry upgrades at the Women's Facilities, chiller replacement in the Central Distribution Center, new public address systems in all facilities, and laundry system replacements and improvements.
- **Security Camera Installation** - The Department estimates expenditures of \$3.4 million, \$1.7 million in FY 2012, \$350,000 in FY 2013, and the rest in later years for the installation of surveillance cameras in and around the Intake Service Center, High Security, Medium II-Price, and Minimum Security.
- **Fire Code Safety Improvements** – The Department estimates \$2.7 million, \$314,500 in FY 2012, and the remainder in FY 2013-FY 2017, for fire alarms, fire doors and partitions, electrical circuitry work, and other improvements at all facilities, as newly mandated by the State Fire Marshall, as well as anticipated further changes.
- **Intake Infrastructure** – The Department estimates \$50,000 in FY 2012 and \$750,000 in FY 2013 to replace the south face siding and roof. Other projects include laundry equipment in FY 2012 at \$65,983 (\$292,182 was spent in pre-FY 2012).
- **Security System Replacement** – The Department estimates \$4.4 million, \$17,635 spent in FY 2011, \$189,812 in FY 2012, \$625,000 in FY 2013 and the remainder spent in FY 2013-FY 2017 to replace cameras and all secure facilities, including exterior systems.
- **Maintenance Garage** – The Department estimates \$90,030 in FY 2012 to complete utility installation work on the almost complete facility.

Department of Corrections

- Maximum Electrical Upgrade – The Department estimates \$38,852 in FY 2012 to complete work on the project, which is also funded from the General Renovations –Maximum project below.
- Maximum Tower One Stairs – The Department estimates \$198,000 in FY 2012 for the project to demolish current stairs and build a new set to ensure two exit routes for staff.
- Minimum Infrastructure – The Department estimates \$1.2 million in FY 2012 and \$2.4 million in FY 2013-FY 2016 to replace windows, sashes and casements to maintain the required temperature level in winter.
- Bernadette HVAC – The Department estimates \$475,000 in FY 2014 to replace lead piping and calcitic water lines in order to maintain appropriate winter temperatures.
- Pastore Center Paving - The Department estimates \$500,000 in FY 2012 and FY 2013 to repave Howard Avenue and the Route 2 entrance as well as various parking lots.
- Emergency Generators – The Department estimates \$300,000 in FY 2012 and FY 2013 to relocate an existing generator from Dix to Minimum Security and to replace the generator at Bernadette. Other generator replacements will be undertaken in FY 2016 and FY 2017.
- Central Distribution Center Roof – The Department estimates \$800,000 in FY 2012 to replace the existing roof in order to deal with several deficiencies in the building’s freezer section that have been identified consequent to the January 2011 roof collapse. The latter’s repair and equipment replacement costs (an estimated \$850,000) are expected to be covered by insurance.
- Hot Water Converters – The Department estimates \$1.1 million in FY 2014-FY 2016 to replace aging and leaking water tanks at Medium Security Moran (\$505,000) and other housing units (\$500,000).
- Medium Security Moran Gatehouse – The Department estimates \$175,000 in FY 2014 to design and construct a new access door to the opposite of the current site to avoid conflict with visitor and inmate entrance/egress and the weapon storage area.
- Maximum Security Railing Extensions – The Department estimates \$1.1 million beginning in FY 2017 to increase the length of railings and to close the distance between bars to comply with state building code requirements.
- New Gloria McDonald HVAC – The Department estimates \$300,000 in FY 2014 to replace chillers, cooling tower, and pumps to increase circulation in basement areas.

General Renovations - Maximum - The Governor recommends expenditures of \$1.4 million in FY 2012, and \$1.5 million in FY 2013 - FY 2016 to complete the current project scope. Pre-FY 2011 expenditures total \$1.8 million. The project scope includes renovations to the Maximum Security facility include replacement of the building’s electrical and distribution systems, renovate the outside segregation yard, installation of a new emergency generator, renovate the plumbing system, repointing, and renovations to the arsenal/armory area. In addition, the Governor recommends expenditure to insulate basement steam pipes to prevent damage to newly installed electrical equipment.

Department of Corrections

Minimum Security – Kitchen Expansion – The Governor recommends total expenditures of \$6.0 million, including \$325,000 in FY 2012, \$4.2 million in FY 2013, and \$1.5 million in FY 2014 to expand the current kitchen facility and install new equipment. This project will increase the current 500-seat capacity of the kitchen and replace equipment in disrepair. Because of the closure of Medium Price and the transfer of some medium security inmates to Minimum, an additional \$1.5 million has been added to install and upgrade perimeter fencing

Medium Infrastructure – The Governor recommends \$14.5 million in FY 2012-FY 2017 (\$1.0 million in FY 2012) for this project. In addition to \$6.2 million in the current plan to expand the showers, laundry, kitchen and dining areas, the Governor recommends an additional \$8.3 million for additional project elements yet to be decided, including replacement of heat exchangers, heat pumps, HVAC coils, chiller units and support equipment; roof replacement; dispensary expansion; programming space; perimeter road; and other HVAC equipment. The expanded project will accommodate an increase in the inmate population housed at the Medium-Moran facility.

Intake Service Center – Exterior Envelope/HVAC Restoration – The Governor recommends expenditure of \$6.2 million, \$2,455 spent to date, \$1.4 million in FY 2012, \$4.0 million in FY 2013, and \$750,000 in FY 2014, for this project to restore the exterior façade of the center, including patching, joint sealing, and resealing brick veneers, as well as to replace all windows and casements damaged by water. Combined with this project is the renovation of the HVAC system, including new chillers, cooling tower, and tubing, and the replacement of heat exchangers, hot water equipment, and circulating pumps, as well as \$750,000 for sinkhole repair (transferred from Asset Protection).

Construction, Relocation and Expansion Projects

Reintegration Center/New Gloria McDonald Women’s Facility – A total of \$18.1 million has been spent pre-FY 2012 on the construction of a Reintegration Center, including \$12.4 million in federal (VOI-TIS) funds and \$5.8 million from the Rhode Island Capital Plan Fund, including arbitration costs. The department originally intended to use the 175-bed facility as a reintegration center for discharge planning for maximum and medium security inmates nearing release. The Department now proposes to renovate the newly completed facility to serve as a women’s prison. Severe financial constraints facing the State of Rhode Island have prevented funding of up to \$10.9 million per year in payroll, contract, and operating costs for personnel and program services from being provided to the Department to make use of this newly renovated facility.

The Governor recommends converting this now vacant building to a new women’s facility, in order to address deficiencies in program space, segregation concerns, and security issues in the current Dix and McDonald facilities. To accommodate this new use, the Governor recommends expenditure of \$533,027 in Rhode Island Capital Plan funds for bathroom, bathing area, and cell modifications, alleviation of privacy concerns, as well as furniture and other equipment. The building capacity is sufficient to house the 168 female inmates currently housed at Dix and McDonald Facilities. Because additional program space will be required, the Governor also recommends the use of the neighboring Bernadette Guay building to house Women’s Facilities programs and activities, as well as renovation of the existing Dix facility for office space. These projects are discussed separately below.

Dix Renovations (formerly Women’s Roof, Masonry, and General Renovations) - \$476,873 has been spent for this project, which includes roof replacement; installation of a new gutter system; and replacement of water-damaged ceilings, interior walls and aged electrical systems. This project includes repointing of all exterior masonry and waterproofing basement grade levels. In addition, as part of a

Department of Corrections

proposal to relocate female inmates from the Dix and Gloria McDonald facilities, the Governor recommends additional expenditure to fund renovations of the Dix facility for re-use as office space. Staff from Probation and Parole, Education Unit, and Tactical Team personnel from the Bernadette Guay building, as well as the Correctional Officer Training Academy, the Planning and Research Unit, and Home Confinement from the Pinel Building, will move to this newly renovated space. The Governor recommends \$1.5 million in FY 2012 and \$1.5 million each year in FY 2013 and FY 2014 in Rhode Island Capital Plan funds, to complete this project.

Dix Bath (formerly Women's Plumbing/Bath Renovations) - \$467,116 has been spent to date for renovations to the bath and shower facilities in the Dix and Gloria McDonald buildings and renovation of the disciplinary wing to include electrical and plumbing repairs. In order to convert the building's bath facilities from a secure facility environment to an office environment, the Governor recommends \$417,729 in FY 2012 and \$1.235 million in FY 2013 in Rhode Island Capital Plan funds.

Bernadette Guay Renovations (formerly Roof and Infrastructure Improvements - Bernadette Guay Building) - \$573,741 has been spent to date on this project on renovations and repairs planned for this facility include roof and window replacement, air conditioning, electric, heating and plumbing repairs, and parking area repavement. (Formerly included heating and air conditioning repairs of \$1.3 million will be considered under Asset Protection). In order to meet additional requirements arising from the conversion of the facility to house minimum security and work release female inmates, to include new showers, dining, visitation and program class space, the Governor recommends an additional \$1.2 million in Rhode Island Capital Plan funds in FY 2012-FY 2014.

Judicial

Governor's Recommendations

Judicial Complexes HVAC Repairs - The Governor recommends expenditures of \$6.5 million to restore or replace HVAC systems, as necessary, at the Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal and Forgarty courthouses. In FY 2012, the Judiciary intends to complete the first phase of boiler and pump work at the Garrahy courthouse, and boiler and fan coil work at the Murray Complex. In FY 2013 through FY 2016, air handlers, boilers, fan coils units, chillers and hot water pumps will be replaced according to schedule at all the courthouses with outdated HVAC systems. The amounts recommended by year are as follows: Pre-FY 2012 - \$2.5 million; FY 2012, \$506,953; FY 2013, \$550,000; FY 2014, \$600,000; FY 2015, \$700,000; FY 2016 - \$750,000; and FY 2016 - \$900,000. These projects are financed from the Rhode Island Capital Plan Fund.

Asset Protection - The Governor recommends expenditures of \$6.6 million from the Rhode Island Capital Plan Fund for asset protection projects at various courthouses. The 2006 General Assembly reclassified numerous projects in the Judiciary as Asset Protection with the intent of more accurately reflecting the nature of the projects and allowing for greater flexibility in prioritizing projects. The Judiciary's asset protection project list includes security upgrades, courtroom restorations, restroom renovations, interior refurbishments to public areas and office space, elevator upgrades, and exterior courthouse refurbishments. To date, \$2.6 million has been spent from this umbrella account and projects currently underway include elevator upgrades at four complexes and ongoing security system upgrades. The Judiciary ranks this project as its number one capital priority. The amounts recommended by year are as follows: Pre-FY 2012 - \$2.6 million; 2012 - \$614,130; FY 2013 - \$625,000; FY 2014 - \$650,000; FY 2015 - \$675,000; FY 2016 - \$700,000; and FY 2017 - \$725,000. The renovations are financed from the Rhode Island Capital Plan Fund.

Judicial Technology Improvements - The Governor recommends \$13.9 million from Certificates of Participation for technology improvements. This financing was authorized by the General Assembly in the FY 2007 appropriations act and will be used for technology infrastructure and equipment upgrades, case management system upgrades, electronic filing projects, and the Justice Link technology initiative. A statewide integrated database will allow immediate exchange of critical offender data between state and local justice systems. Training for Judiciary staff, estimated at \$300,000 is also an approved use of the financing. The amounts recommended by year are as follows: Pre-FY 2012 - \$10.6 million; FY 2012 - \$814,226; FY 2013 - \$737,355; FY 2014 - \$651,397; FY 2015 - \$563,981, and; FY 2016 - \$563,980. The original plan had assumed all funds expended by FY 2012, but certain projects, especially digital recordings of courtroom proceedings, will develop over a longer period than originally contemplated. The Budget Office recommends all financing to be expensed prior to FY 2017, which coincides with the end of the debt service period for the Certificates of Participation.

Licht Judicial Complex Restoration – The Governor recommends expenditures of \$4.0 million from the Rhode Island Capital Plan Fund for restoration and renovation of the Licht Judicial complex. These funds will be used to repair decaying plaster; replace or renovate courtroom benches; replace carpeting; and repaint the courthouse interior, beginning in FY 2013. The interior woodwork and plaster restoration is especially labor intensive due to the ornate quality of the building's original accoutrements. The amounts recommended by year are as follows: FY 2013 - \$500,000; FY 2014 - \$500,000; FY 2015 - \$500,000; FY 2016 - \$500,000; FY 2017 - \$500,000, and; \$1.5 million in the post-FY 2017 period.

Murray Complex Cell Block Renovation – The Governor recommends expenditures of \$920,000 in RICAP financing for a new project – the restoration of the Murray Complex Cell Block in Newport. The

Judicial

cell blocks are original to the building and do not meeting the requirements of modern day prisoners, including separation of men from women, juveniles from adults, and secure toilet facilities, putting court personnel and prisoners at security risk. The Governor recommends \$480,000 in FY 2014 and \$440,000 in FY 2015 for the project.

Noel Complex Shelled Courtroom Build-out- The Governor recommends expenditures of \$8.4 million in RICAP financing for a new project –the build-out of unfinished courtroom space at the Noel Judicial Complex in Warwick. Due to cost overruns at the time of initial construction in FY 2007, an interior space large enough to accommodate three courtrooms was never completed. The Governor recommends build-out of the space, in part to serve the growing South County population currently served by the McGrath Complex in Wakefield, and to relieve the overcrowded Garrahy Complex in Providence. The Governor recommends \$2.8 million in each of FY 2016, FY 2017, and in the post-FY 2017 period.

Military Staff

Governor's Recommendations

Military Staff Asset Protection – The Governor recommends a total of \$3.3 million in FY 2012 through FY 2017 from the Rhode Island Capital Plan Fund to match \$3.3 million in National Guard Bureau funds for a variety of general repair and maintenance projects that will preserve the National Guard's existing physical assets. The financing schedule for the R.I. Capital Plan Fund is \$576,434 in pre-FY 2012 expenditures, with \$755,263 in National Guard Bureau federal funds and \$577,631 in Rhode Island Capital Plan Funds in FY 2012, and \$500,000 annually from FY 2012 to FY 2017. The project's single appropriation is designed to provide the agency with maximum flexibility in the selection and timing of its various projects. Current projects suggested but not finalized for expenditure under this category include:

- Warwick Armory – The Agency estimates expenditures \$50,000 from the Rhode Island Capital Plan Fund in FY 2015 and \$500,000 in FY 2016 to replace a 20-year old boiler with a gas powered heating system.
- Camp Fogarty – The Agency estimates expenditures of \$37,500 from the Rhode Island Capital Plan Fund (and \$112,500 federal National Guard Bureau funds) in FY 2015 to replace doors and windows and to upgrade the heating and air conditioning system at Building 381 of the East Greenwich training facility.
- Middletown Armory Roof – The Agency estimates \$239,000 in Rhode Island Capital Plan Funds (and matching federal National Guard Bureau funds) to replace the roof of the armory in FY 2012.
- Command Readiness Center – The Agency estimates total expenditures of \$1.1 million in federal National Guard Bureau funds and \$1.1 million in Rhode Island Capital Plan funds, to rehabilitate the center's heating, ventilation, and air conditioning system to include a new boiler, hot water tank, and chillers; to replace the center's roof, which is nearing the end of its useful life; and to renovate the perimeter fence and establish a card entry system. The projects are scheduled for FY 2012-FY 2015.
- Command Readiness Center Entry Control Facility – The Agency estimates total expenditures of \$140,000 (\$70,000 Rhode Island Capital Plan funds/\$70,000 federal funds), in FY 2012 to construct a temporary entry facility to include new gate structures and a relocated guard shed.
- Command Readiness Center/Schofield Armory Parking Lots – The Agency estimates total expenditures of \$1.9 million (\$940,000 federal, \$940,000 Rhode Island Capital Plan funds), in FY 2013-FY 2016 to repave all parking lots and roadways in the Command Readiness Center/Schofield Armory area.
- Bristol Armory Roadway and Parking Lot – The Agencies requests \$160,000, \$80,000 in Rhode Island Capital Plan funds and \$80,000 in federal National Guard Bureau funds in FY 2015 to install a roadway linking the armory with an outlying building.

Armory of Mounted Commands - The Governor recommends continued financing for repair of the Armory of Mounted Commands, which was built in 1925. Both the first phase of AMC rehabilitation (roof replacement/masonry re-pointing), and the second phase (replacement of the original windows with commercial grade energy efficient windows, as well as further re-pointing and exterior power washing), have been completed. A total of \$3.6 million from both the R.I. Capital Plan Fund and National Guard

Military Staff

Bureau funds have been spent to date. The Governor recommends inclusion of the following project elements:

- HVAC Replacement – The Governor recommends the expenditure of \$2.9 million in Rhode Island Capital Plan Funds in FY 2012 and FY 2013 to replace the heating and air conditioning system. The current system is very old and has broken down. Currently, a temporary boiler is being utilized, at a cost of least \$236,000 annually. The project also includes lead and asbestos abatement.
- Headshed Roof Replacement – The Governor recommends a total of \$220,000 to replace the headshed roof to prevent damage to structural members and interior finishes. The Governor recommends \$20,000 in FY 2016 for design (Rhode Island Capital Plan funds), and \$200,000 in FY 2015 for construction (\$200,000 each National Guard Bureau federal funds and Rhode Island Capital Plan funds).
- Repointing: The Governor recommends a total of \$180,000 in FY 2014 (\$90,000 each National Guard Bureau federal and Rhode Island Capital Plan funds) to repoint brick and mortar joints to prevent deterioration due to water entry and freezing.
- Parking Lot: The Governor recommends \$250,000 to repair and expand the existing parking areas, \$50,000 in FY 2013 and \$100,000 in FY 2014 in Rhode Island Capital Plan funds, and \$100,000 in federal National Guard Bureau funds in FY 2014.
- Elevator: The Governor recommends \$1.015 million to install an elevator in the four story building to bring it into compliance with the Americans with Disabilities Act, \$65,000 in FY 2014 and \$475,000 in FY 2015 in Rhode Island Capital Plan funds, and \$475,000 in federal National Guard Bureau funds in FY 2015.

In total, the Governor recommends expenditure on all project elements of \$943,482 in FY 2012, \$2.0 million in FY 2013, \$490,000 in FY 2014, \$600,000 in FY 2015, \$375,000 in FY 2016, and \$200,000 in FY 2017.

Benefit Street Arsenal - The Governor recommends expenditures from the Rhode Island Capital Plan Fund of \$800,000 in FY 2014, to preserve and maintain this historic building. The Governor recommends expenditure for the replacement of the current 80 year-old electrical system, exterior work, (repair the turret, maintain the roof, repair masonry, paint the exterior, and replace windows), and interior work (asbestos abatement, door replacement, a new boiler, and installation of fire alarms and a fire escape).

Quonset Point Airport Tower – The Governor recommends inclusion in the Capital Plan of \$7.7 million in National Guard Bureau federal funds (\$5.4 million in FY 2012) for the construction of a new control tower at the Quonset Point Airport to provide air traffic control for Air National Guard aircraft.

Camp Fogarty Armory Roof – The Governor recommends \$1.5 million in FY 2013 to replace the existing roof of the Camp Fogarty Armory. The facility currently houses 500 troops and suffers from persistent leaks and water accumulation. Funding for the project is 75 percent National Guard Bureau federal funds (\$1.1 million) and 25 percent from the Rhode Island Capital Plan Fund (\$375,000).

Fire Code Compliance – The Governor recommends total federal and state expenditures of \$1.3 million in FY 2012-FY 2017 to design and install standardized fire alarm detection suppression systems to meet

Military Staff

standards set by new State Fire laws and regulations. \$414,728 has been spent to date. The project is divided into three components:

- Six state armories, \$501,500 total (50 percent state, 50 percent federal). \$340,236 has been spent to date.
- Six federal armories, \$488,000 total, (25 percent state, 75 percent federal). \$56,744 has been spent to date.
- Five Logistics and Maintenance Facilities, \$338,000 total, (25 percent state, 75 percent federal). \$17,748 has been spent to date.

Field Maintenance Shop Roof - The Governor recommends the inclusion of \$15,000 in Rhode Island Capital Plan funds in FY 2012 for a project to repair the existing roof on the Field Maintenance Shop #3 vehicle maintenance facility in Warwick.

Command Readiness Center Addition – The Governor recommends the expenditure of \$2.5 million total, \$900,000 in Rhode Island Capital Plan funds, \$225,000 in federal Homeland Security funds and \$1.4 million in federal National Guard Bureau funds, to construct a 1,500 square foot addition to the cafeteria at the Command Readiness Center to allow more space for operations, including the transfer of computer servers and personnel from trailers. The Governor recommends expenditure from Rhode Island Capital Plan funds of \$50,000 in FY 2012 and \$850,000 in FY 2013, as well as \$525,000 in FY 2012 and \$850,000 in FY 2013 from federal National Guard Bureau funds, and \$225,000 in FY 2012 from federal Homeland Security funds.

Emergency Management Building Study – the Governor recommends \$125,000 in FY 2012 in Rhode Island Capital Plan funds to prepare a project feasibility study for a new facility, which would include administrative offices, janitorial and storage facilities, information technology and communications installations, parking, perimeter, controlled access, and stand-off systems, dormitory, showers/rest rooms, and kitchen facilities. The proposed facility would act as a State Emergency Operations Center with emergency support function rooms. The study would update information on feasibility, design, and construction costs.

Bristol Readiness Center Study – The Governor recommends \$125,000 in expenditure to conduct a project feasibility study for a new readiness center capable of supporting two modern Army National Guard units and a new field maintenance shop in Bristol. The feasibility study portion of the project would be conducted in FY 2015. The architectural, engineering and construction portions of the project would be determined once the feasibility study is completed.

Burrillville Regional Training Institute – The Governor recommends \$275,000 in expenditure in FY 2012 and FY 2013 to perform environmental remediation and feasibility studies for a new state of the art training facility near the grounds of the current Zambarano Hospital. The proposed facility would provide sufficient space to train national guardsmen in land navigation, map/compass reading and other essential military skills. The new facility would replace the current Camp Varnum facility. \$150,000 in National Guard Bureau federal funds would fund an environmental condition of property studies in FY 2012. \$125,000 in Rhode Island Capital Plan funds would finance a study that would update information on feasibility, design, and construction costs. Construction costs (yet to be determined) would be funded with 100 percent federal funds.

Department of Public Safety

Governor's Recommendations

Barracks and Foster Training Facility - The Governor recommends \$5.6 million from the Rhode Island Capital Plan Fund for the Barracks and Foster Training Facility project. The repairs and renovations at, the patrol barracks in Lincoln, Hope Valley, Wickford, and Portsmouth, and to the State Police Training Academy include: the replacement of roofs, new bath and locker rooms for men and women; new flooring and heating systems; roofs, roof soffits, and rooted window casings; the replacement of boilers, the reconstruction to HVAC and electrical systems; and security upgrades. The Governor recommends \$1.0 million in FY 2012, \$1.8 million in FY 2013, and \$ 695,000 in FY 2014. Pre-FY 2012 expenditures total \$2.1 million.

State Police Headquarters Repairs/Renovations - The Governor recommends \$1.7 million from the Rhode Island Capital Plan Fund for renovations of the Intelligence Building, the Supply Building, the Radio Bureau Building, and the Command Staff Building, all located on the State Police Headquarters site in North Scituate. The original plans for the State Police Headquarters were changed to now include some of the site's existing buildings. Due to the location change, the existing buildings must be repaired or renovated. Various renovations include installation of a HVAC system, new walls and flooring, rewiring of electrical lines, bathroom updates and roof, windows, door replacements and security upgrades. The Governor recommends \$200,000 in FY 2012, \$100,000 in FY 2013, \$150,000 in FY 2014, and \$100,000 in FY 2016. Pre-FY 2012 expenditures total \$1.1 million.

Parking Area Improvements - The Governor recommends \$876,090 from the Rhode Island Capital Plan Fund for the Parking Area Improvements project. The improvements to all the barrack's parking areas include grading and resurfacing of the areas, rebuilding drainage basins, and installing security access gates. The Governor recommends \$250,000 in FY 2014, \$250,000 in FY 2015, and \$250,000 in FY 2016. Pre-FY 2012 expenditures total \$126,090.

New State Police Headquarters/Training Facility – The Governor recommends \$26.6 million from the Rhode Island Capital Plan Fund for the Rhode Island State Police Public Safety Complex which officially opened in October of 2010. The new fifty-four thousand square foot building houses the State Police Headquarters, E-911's primary answering point, and their administrative offices. In addition, the building will house a secure State Police Dispatch Center, a Criminal Investigative Unit Lab, an enclosed sally port, prisoner holding areas, primary conference and training rooms, and the Financial and Computer Crimes Division. The Governor recommends \$51,787 in FY 2012. Pre-FY 2012 expenditures total \$26.5 million.

Statewide Microwave and Technology Upgrade The Governor recommends \$7.1 million from the Rhode Island Capital Plan Fund for the Statewide Microwave and Technology Upgrade project. The Statewide Microwave Upgrade project is comprised of eleven (11) radio transmission sites. It provides reliable point-to-point communications interconnecting key radio sites and state buildings. The network's primary function is for support of land mobile radio systems for multiple state agencies (DEM, RIPTA, and DOT). The Technology project provides new telecommunications and related computer equipment for the new Rhode Island State Police/Public Safety Complex. This infrastructure will allow communications through the WAN allowing for instance information from RMS, AFIS, the Rhode Island Law Enforcement System (RILETS), and the Rhode Island Criminal History System. Bidding has begun for the hardware components for the WAN network, and HVAC systems will soon be replaced. The Governor recommends the remaining \$1.3 million in FY 2012. Pre-FY 2012 expenditures total \$5.7 million.

Department of Public Safety

Headquarters Complex Expansion - The Governor recommends \$1.0 million from the Rhode Island Capital Plan Fund for the structural repairs and renovations to the two former National Guard buildings that are now owned by the Rhode Island State Police. These building are located adjacent to the State Police Headquarters Complex and will be utilized as a supply warehouse, evidence storage facility, offices, a processing area for vehicles, and an area for the Commercial Enforcement Unit. The Governor recommends \$200,000 in FY 2012, \$500,000 in FY 2013, and \$310,000 in FY 2014.

Fire Academy Building– The Governor recommends \$6.4 million from General Obligation bonds, approved by the voters in November 2002, and \$2.8 million from the Rhode Island Capital Plan Fund for the construction of a new State Municipal Fire Academy facility for the use of all municipal (professional and volunteer) fire departments in Rhode Island. This facility will include a training building, a maintenance building, and a “burn” building, affording Rhode Island firefighters the opportunity to train using the latest innovations in the field. Phase I was completed in July of 2011. Phase II will use Rhode Island Capital Plan Funds to complete the project. The Governor recommends Rhode Island Capital Plan Funds of \$1.3 million in FY 2012 and \$1.5 million in FY 2013. Pre-FY 2012 expenditures total \$6.4 million in General Obligation bonds.

State Police Offsite Operations - The Governor recommends \$175,000 from the Rhode Island Capital Plan Fund, and \$105,000 from Restricted Receipt Funds for the State Police Offsite Operation project. The funding has been utilized to renovate a section of the second floor of a state owned building that the State Police will occupy. The General Treasurer’s office will occupy the other section of the second floor and the Department of Administration’s Division of Information Technology will occupy the 1st floor of the building. The bulk of the building project is budgeted in the Department of Administration’s budget listed as: DOIT Enterprise Operations Center. Remaining funds will be used for the fire suppression system; the backflow preventer; elevators; window flashing and mildew mitigation. The Governor recommends \$131,974 in Rhode Island Capital Plan Funds, and \$105,000 in Restricted Receipts for a total of \$236,974 in FY 2012. Pre-FY 2012 expenditures total \$43,026.

Natural Resources

Budget

Natural Resources Function Expenditures

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Object					
Personnel	49,459,496	53,069,282	65,250,592	67,107,655	61,793,811
Operating Supplies and Expenses	8,421,291	8,800,194	10,751,239	12,580,562	12,752,892
Assistance and Grants	4,225,585	6,266,913	7,747,922	8,330,290	7,330,493
Subtotal: Operating Expenditures	62,106,372	68,136,389	83,749,753	88,018,507	81,877,196
Capital Purchases and Equipment	9,451,552	5,223,388	18,975,021	24,675,184	22,953,495
Operating Transfers	72,274	47,492	50,000	50,000	50,000
Total Expenditures	\$71,630,198	\$73,407,269	\$102,774,774	\$112,743,691	\$104,880,691
Expenditures By Funds					
General Revenue	35,637,289	37,261,909	37,620,415	37,725,612	36,923,728
Federal Funds	20,244,547	23,387,300	40,395,003	47,349,169	37,744,037
Restricted Receipts	10,382,927	10,549,053	14,381,035	14,004,827	15,077,473
Operating Transfers from Other Funds	5,365,435	2,209,007	10,378,321	13,664,083	15,135,453
Total Expenditures	\$71,630,198	\$73,407,269	\$102,774,774	\$112,743,691	\$104,880,691
FTE Authorization	438.5	446.0	440.0	440.0	432.5

Agency

Department Of Environmental Management

Agency Mission

The mission of the Department of Environmental Management is to protect, restore, and manage the State's natural resources; while maintaining its citizens' health and safety, and motivation citizens to practice of an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

Agency Description

To ensure residents have equal access to environmental benefits; to prevent any segment of the State's population from bearing a disproportionate share of environmental risks and pollution.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To ensure natural habitats are managed to maintain species biodiversity.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it; including prevent pollution and minimize waste at the source.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

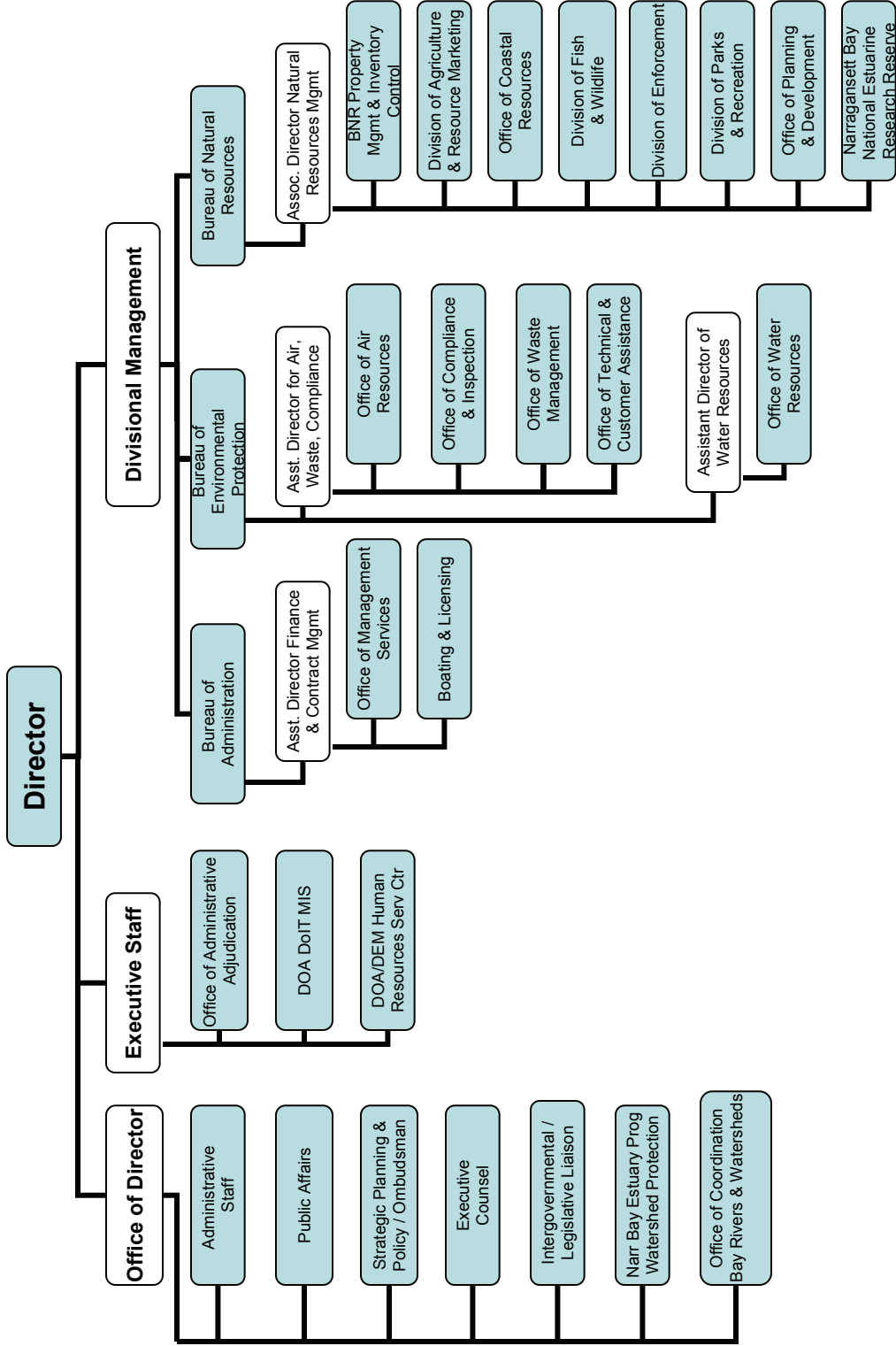
Budget

Department Of Environmental Management

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Office of Director	6,561,423	6,690,099	8,084,947	9,756,720	8,296,863
Bureau of Natural Resources	33,275,839	32,676,241	52,742,139	57,592,689	56,401,981
Bureau of Environmental Protection	24,933,606	26,980,266	36,693,313	37,604,162	34,907,250
Total Expenditures	\$64,770,868	\$66,346,606	\$97,520,399	\$104,953,571	\$99,606,094
Expenditures By Object					
Personnel	45,055,169	47,639,635	61,077,351	60,494,125	57,880,864
Operating Supplies and Expenses	8,210,359	8,558,977	10,651,705	12,414,272	12,543,442
Assistance and Grants	3,661,929	4,927,183	7,747,922	8,330,090	7,330,293
Subtotal: Operating Expenditures	56,927,457	61,125,795	79,476,978	81,238,487	77,754,599
Capital Purchases and Equipment	7,771,137	5,173,319	17,993,421	23,665,084	21,801,495
Operating Transfers	72,274	47,492	50,000	50,000	50,000
Total Expenditures	\$64,770,868	\$66,346,606	\$97,520,399	\$104,953,571	\$99,606,094
Expenditures By Funds					
General Revenue	32,646,082	34,074,887	35,383,601	35,486,465	34,622,995
Federal Funds	18,437,828	19,885,602	38,356,542	42,777,296	35,920,173
Restricted Receipts	10,159,927	10,389,053	14,131,035	13,754,827	14,827,473
Operating Transfers from Other Funds	3,527,031	1,997,064	9,649,221	12,934,983	14,235,453
Total Expenditures	\$64,770,868	\$66,346,606	\$97,520,399	\$104,953,571	\$99,606,094
FTE Authorization	402.5	410.0	410.0	410.0	403.0
Agency Measures					
Minorities as a Percentage of the Workforce	5.8%	5.5%	6.5%	6.5%	6.5%
Females as a Percentage of the Workforce	34.4%	33.0%	33.4%	33.4%	33.4%
Persons with Disabilities as a Percentage of the Workforce	10.2%	9.7%	11.2%	11.2%	11.2%

The Agency

Department of Environmental Management



Personnel

Department Of Environmental Management Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	403.0	26,234,711	403.0	26,337,281
Unclassified	7.0	778,728	7.0	778,728
Cost Allocations From Natural Resources	(0.5)	(31,888)	(0.5)	(52,146)
Cost Allocations From Office of the Director	2.0	140,000	2.0	140,000
Cost Allocations To Office of the Director	(3.0)	(225,000)	(3.0)	(225,000)
Cost Allocations From Environmental Protection	3.5	256,888	3.5	277,146
Cost Allocations From Natural Resources	2.0	100,000	2.0	100,000
Cost Allocations To Environmental Protection	(2.0)	(140,000)	(2.0)	(140,000)
Cost Allocations To Office of the Director	(2.0)	(100,000)	(2.0)	(100,000)
Overtime	-	650,289	-	644,245
Program Reduction	-	-	(3.0)	(210,691)
Turnover	-	(568,489)	-	(1,151,366)
Total Salaries	410.0	\$27,095,239	407.0	\$26,398,197
Benefits				
Defined Contribution Plan	-	-	-	261,101
FICA	-	2,202,599	-	2,151,277
Holiday Pay	-	239,813	-	219,794
Medical	-	4,628,535	-	4,925,852
Payroll Accrual	-	-	-	173,561
Retiree Health	-	1,811,298	-	1,772,750
Retirement	-	6,037,993	-	5,362,806
Total Salaries and Benefits	410.0	\$42,015,477	407.0	\$41,265,338
Cost Per FTE Position		\$102,477		\$101,389
Statewide Benefit Assessment	-	1,085,558	-	1,046,814
Temporary and Seasonal	-	2,523,035	-	2,523,035
Payroll Costs	410.0	\$45,624,070	407.0	\$44,835,187
Purchased Services				

Personnel

Department Of Environmental Management Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Building and Grounds Maintenance	-	328,752	-	328,752
Clerical and Temporary Services	-	178,795	-	177,895
Design and Engineering Services	-	11,088,470	-	9,154,192
Information Technology	-	413,462	-	624,262
Legal Services	-	25,000	-	25,000
Management and Consultant Services	-	1,601,733	-	1,501,733
Medical Services	-	82,600	-	82,600
Other Contract Services	-	88,369	-	88,369
Training and Educational Services	-	161,874	-	161,874
University and College Services	-	901,000	-	901,000
Total Personnel	410.0	\$60,494,125	407.0	\$57,880,864
Distribution by Source of Funds				
General Revenue	190.0	28,359,261	187.0	27,734,022
Federal Funds	132.0	21,006,457	132.0	18,750,027
Restricted Receipts	66.0	8,105,271	66.0	8,406,801
Other Funds	22.0	3,023,136	22.0	2,990,014
Total All Funds	410.0	\$60,494,125	407.0	\$57,880,864

The Program

Department Of Environmental Management Office of Director

Program Mission

The Office of the Director develops and implements the agency's wide range of programs to protect Rhode Island's environment; protect the citizens of the state from public health threats resulting from pollution; and provide facilities that support a diversity of outdoor recreational activities.

Program Description

The Office includes: The Office of Management Services; Legal Services; Administrative Adjudication; and two central services offices; Human Resources and Information Technology. The Office also coordinates the Department's Planning & Policy Initiatives, legislative & Intergovernmental Affairs, and Communications & Outreach.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 22,339 boat registrations and all hunting and fishing licenses.

The Office of Legal Services counsels and represents the department and its divisions, and provides legal guidance on the development and administration of regulatory programs. It also provides legal assistance to a number of municipalities particularly in the area of land use relating to the preservation of open space.

The Office of Administrative Adjudication is the administrative tribunal for environmental matters originating from the Department. It adjudicates appeals of enforcement actions taken by the Department's regulatory programs and hears enforcement appeals for alleged violations of statutes and/or regulations. The Office is responsible for ensuring that the regulated community has an opportunity to contest actions taken by the Department and have such actions reviewed at the agency level.

The Human Resource Service Center staff provides direct administrative support to the Department Director, Senior Management and the agency's workforce, which currently comprises approximately 410 full-time employees and 510 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Information Technology Service Center staff provides oversight, coordination, and development of standardized investments in software, hardware, networks and services. Staff provides the Department with effective and efficient application of information technology; and delivers secure, innovative, and reliable technology solutions in the most responsive and effective manner.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department Of Environmental Management Office of Director

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Administration	2,919,389	2,771,772	3,054,048	4,907,375	3,316,505
Environmental Coordination	257,816	254,422	926,200	989,173	968,884
Management Services	2,437,627	2,465,136	2,682,090	2,789,186	2,909,153
Legal Services	431,767	510,476	601,908	472,160	526,565
Administrative Adjudication	485,541	674,016	732,287	510,412	487,342
Permit Streamlining	29,283	14,277	88,414	88,414	88,414
Total Expenditures	\$6,561,423	\$6,690,099	\$8,084,947	\$9,756,720	\$8,296,863
Expenditures By Object					
Personnel	3,860,169	3,976,040	5,011,274	4,943,214	4,908,229
Operating Supplies and Expenses	2,484,014	2,514,399	2,734,914	2,822,247	3,081,845
Assistance and Grants	189,372	153,690	308,079	313,079	286,109
Subtotal: Operating Expenditures	6,533,555	6,644,129	8,054,267	8,078,540	8,276,183
Capital Purchases and Equipment	27,868	45,970	30,680	1,678,180	20,680
Total Expenditures	\$6,561,423	\$6,690,099	\$8,084,947	\$9,756,720	\$8,296,863
Expenditures By Funds					
General Revenue	4,220,711	4,393,938	4,775,428	4,609,135	4,760,195
Federal Funds	66,301	28,302	476,300	2,148,500	493,000
Restricted Receipts	2,274,411	2,267,859	2,833,219	2,999,085	3,043,668
Total Expenditures	\$6,561,423	\$6,690,099	\$8,084,947	\$9,756,720	\$8,296,863

Personnel

Department Of Environmental Management Office of Director

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Assoc. Director, Financial & Central Mgmt	0141A	1.0	120,131	1.0	120,131
Deputy Chief Legal Services	0137A	1.0	100,101	2.0	100,101
Administrator, Financial Management	0137A	1.0	97,678	1.0	97,678
Assistant to the Director	0136A	3.0	288,461	3.0	288,461
Senior Legal Counsel	0134A	3.0	228,745	3.0	228,745
Programmer/Analyst I SQL-Unix	0328A	1.0	69,856	1.0	69,856
Programming Services Officer	0131A	2.0	135,467	2.0	135,467
Legal Counsel	0132A	2.0	131,113	1.0	132,982
Administrative Officer	0324A	1.0	60,085	1.0	60,085
Fiscal Management Officer	0326A	1.0	59,812	1.0	62,008
Principal Accountant	0326A	1.0	58,117	1.0	58,941
Management & Methods Analyst	0322A	1.0	54,634	1.0	55,478
Accountant	0320A	1.0	53,405	1.0	53,405
Research Technician	0119A	1.0	49,096	1.0	49,096
Implementation Aide	0322A	1.0	46,422	1.0	47,650
Chief Clerk	0316A	1.0	44,652	1.0	44,652
Licensing Aide	0315A	4.0	175,981	4.0	176,664
Legal Assistant	0119A	1.0	38,445	1.0	39,275
Fiscal Clerk	0314A	2.0	76,668	2.0	76,668
Clerk Secretary	0316A	1.0	38,186	1.0	38,186
Sr. Word Processing Typist	0312A	1.0	32,258	1.0	32,258
Subtotal		31.0	\$1,959,313	31.0	\$1,967,787
Unclassified					
Hearing Officer	0914F	2.0	252,092	2.0	252,092
Chief Hearing Officer	0711F	1.0	125,775	1.0	125,775
Director of Environmental Management	0948F	1.0	108,460	1.0	108,460
Chair, Coord. Team - Bays, Rivers, Wtrsheds	0839	1.0	106,878	1.0	106,878
Executive Counsel	0829	1.0	106,460	1.0	106,460
Administrative Assistant	0829A	1.0	79,063	1.0	79,063
Subtotal		7.0	\$778,728	7.0	\$778,728
Cost Allocations From Environmental Protection		3.5	256,888	3.5	277,146
Cost Allocations To Environmental Protection		(2.0)	(140,000)	(2.0)	(140,000)
Cost Allocations From Natural Resources		2.0	100,000	2.0	100,000
Turnover		-	(233,943)	-	(306,942)
Subtotal		3.5	(\$17,055)	3.5	(\$69,796)
Total Salaries		41.5	\$2,720,986	41.5	\$2,676,719

Personnel

Department Of Environmental Management Office of Director

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	27,121
FICA		-	204,588	-	200,524
Medical		-	401,552	-	431,191
Payroll Accrual		-	-	-	15,315
Retiree Health		-	186,308	-	183,626
Retirement		-	625,838	-	561,522
Subtotal		-	\$1,418,286	-	\$1,419,299
Total Salaries and Benefits		41.5	\$4,139,272	41.5	\$4,096,018
Cost Per FTE Position			\$99,741		\$98,699
Statewide Benefit Assessment		-	102,592	-	100,061
Temporary and Seasonal		-	25,000	-	25,000
Subtotal		-	\$127,592	-	\$125,061
Payroll Costs		41.5	\$4,266,864	41.5	\$4,221,079
Purchased Services					
Clerical and Temporary Services		-	1,750	-	1,750
Design and Engineering Services		-	394,000	-	394,000
Information Technology		-	77,200	-	188,000
Management and Consultant Services		-	195,000	-	95,000
Other Contract Services		-	6,900	-	6,900
Training and Educational Services		-	1,500	-	1,500
Subtotal		-	\$676,350	-	\$687,150
Total Personnel		41.5	\$4,943,214	41.5	\$4,908,229
Distribution By Source Of Funds					
General Revenue		18.0	1,806,385	18.0	1,923,247
Federal Funds		4.0	482,200	4.0	493,000
Restricted Receipts		19.5	2,654,629	19.5	2,491,982
Total All Funds		41.5	\$4,943,214	41.5	\$4,908,229

The Program

Department Of Environmental Management Bureau of Natural Resources

Program Mission

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources; and provide people with well-maintained, scenic, and accessible outdoor recreational opportunities.

Program Description

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare, and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps.

The Division of Agriculture and Resource Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division administers, maintains and operates these facilities as well as coordinating recreational opportunities and activities at the Narragansett Bay Estuarine Research Reserve and all port facilities and commercial fishing piers in Narragansett and Newport.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department Of Environmental Management Bureau of Natural Resources

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Fish, Wildlife & Estuarine Res	7,525,007	9,207,573	19,786,460	19,759,088	19,482,145
Agriculture	2,062,845	2,041,839	2,582,898	2,589,332	2,622,229
Enforcement	4,871,692	5,918,528	6,489,656	6,218,939	5,948,784
Natural Resources Admin	4,824,715	2,553,605	4,920,481	6,773,094	6,181,504
Parks and Recreation	10,816,259	11,277,022	13,482,862	16,685,406	17,689,006
Forest Environment	2,219,358	1,544,381	4,279,782	4,366,830	3,178,313
Coastal Resources	955,963	133,293	1,200,000	1,200,000	1,300,000
Total Expenditures	\$33,275,839	\$32,676,241	\$52,742,139	\$57,592,689	\$56,401,981
Expenditures By Object					
Personnel	20,537,283	21,343,022	26,339,066	25,663,528	25,349,224
Operating Supplies and Expenses	5,016,031	5,276,590	6,489,268	8,135,235	7,368,228
Assistance and Grants	1,191,139	1,042,783	2,841,464	2,727,722	2,759,364
Subtotal: Operating Expenditures	26,744,453	27,662,395	35,669,798	36,526,485	35,476,816
Capital Purchases and Equipment	6,478,666	4,966,354	17,022,341	21,016,204	20,875,165
Operating Transfers	52,720	47,492	50,000	50,000	50,000
Total Expenditures	\$33,275,839	\$32,676,241	\$52,742,139	\$57,592,689	\$56,401,981
Expenditures By Funds					
General Revenue	17,354,137	18,011,996	18,508,312	18,588,981	18,306,313
Federal Funds	10,825,146	10,189,243	24,455,444	25,481,797	22,998,301
Restricted Receipts	1,672,876	2,608,085	3,779,269	3,437,035	3,712,021
Operating Transfers from Other Funds	3,423,680	1,866,917	5,999,114	10,084,876	11,385,346
Total Expenditures	\$33,275,839	\$32,676,241	\$52,742,139	\$57,592,689	\$56,401,981
Program Measures					
Cumulative Percentage of Land Acquisition Goal of 35,850 Acres Actually Acquired	62.0%	65.0%	91.0%	91.0%	100.0%
Objective	100.0%	100.0%		100.0%	100.0%
Percentage of R.I. Communities on Designated Levels in the Urban Forestry Program	60.0%	65.0%	65.0%	65.0%	65.0%
Actual/Estimated Value					
Percentage of RI Communities on at Least the Formative Level					
Objective	80.0%	80.0%		80.0%	80.0%
Percentage of RI Communities on the Developmental Level	55.0%	55.0%	55.0%	55.0%	55.0%
Objective	55.0%	55.0%		55.0%	55.0%

Percentage of RI Communities on the Sustained Level	25.0%	28.0%	40.0%	40.0%	40.0%
Objective	25.0%	40.0%		40.0%	40.0%

Personnel

Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Assoc. Director. for Natural Resources	0143A	1.0	127,347	1.0	127,347
Chief, Div. of Agriculture & Res. Marketing	0138A	1.0	104,074	1.0	104,074
Administrator, Sustainable Watersheds	0138A	1.0	103,489	1.0	103,489
Chief, Planning & Development	0138A	1.0	102,990	1.0	102,990
Chief, Division of Forest Environment	0138A	1.0	102,718	1.0	102,718
Chief, Division of Enforcement	0138A	1.0	102,523	1.0	102,523
Chief, Division of Parks & Recreation	0138A	1.0	102,041	1.0	102,041
Chief, Fish & Wildlife	0138A	1.0	96,872	1.0	96,872
Assistant to the Director	0136A	1.0	93,225	1.0	93,225
Supervising Civil Engineer	0135A	1.0	90,687	1.0	90,687
Deputy Chief, Parks & Recreation	0134A	1.0	89,639	1.0	89,639
Deputy Chief, Planning & Development	0134A	1.0	87,535	1.0	87,535
Principal Civil Engineer	0133A	2.0	170,832	2.0	170,832
Supervising Environmental Scientist	0134A	2.0	165,769	2.0	166,716
Deputy Chief, Forest Environment	0132A	1.0	82,728	1.0	82,728
Public Health Veterinarian	0136A	2.0	165,243	2.0	167,339
Environmental Police Officer 4	0133A	2.0	164,963	2.0	164,963
Deputy Chief, Marine Fisheries	0132A	1.0	81,951	1.0	81,951
Superv. Geographic Info Sys Specialist	0132A	1.0	78,374	1.0	78,374
Superintendent of State Parks	0134A	1.0	78,110	1.0	78,110
Environmental Police Officer 3	0130A	2.0	154,965	2.0	154,965
Chief Distribution Officer	0131A	1.0	74,297	1.0	75,863
Supervising Forester	0329A	2.0	147,760	2.0	147,760
Supervising Biologist	0130A	4.0	289,217	4.0	289,217
Research Vessel Captain	0329A	1.0	72,221	1.0	72,221
Environmental Criminal Investigator II	0132A	1.0	71,707	1.0	71,707
Deputy Chief, Agriculture	0132A	1.0	70,657	1.0	70,657
Administrative Court Officer	0328A	2.0	139,865	2.0	139,865
Fiscal Management Officer	0326A	1.0	69,836	1.0	69,836
Programming Services Officer	0131A	2.0	137,865	2.0	137,865
Senior Environmental Scientist	0130A	2.0	136,526	2.0	136,526
Regional Park Manager	0329A	5.0	339,510	5.0	340,759
Environmental Police Officer 2	0328A	4.0	269,421	4.0	269,421
Engineer Tech IV	0327A	1.0	66,900	1.0	66,900
Senior Environmental Planner	0327A	2.0	133,480	2.0	133,480
State Hunter Safety Coordinator	0326A	1.0	64,873	1.0	64,873
Principal Biologist	0327A	19.0	1,203,060	19.0	1,207,761
Environmental Police Officer 1	0326A	20.0	1,245,759	20.0	1,244,073
Deputy Chief, Wildlife	0132A	1.0	60,329	1.0	60,329
Agriculture Marketing Specialist	0124A	1.0	58,520	1.0	58,520
Research Vessel 1st Mate	0124A	1.0	58,485	1.0	58,485
Assistant Regional Park Manager	0325A	6.0	349,524	6.0	358,765
Supvr Hvy Mtr Equip Mechanic/Operator	0322A	1.0	56,170	1.0	56,170
Principal Property Management Officer	0132A	1.0	55,495	1.0	55,495

Personnel

Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Principal Forester	0326A	2.0	110,987	2.0	110,987
Senior Plant Pathologist	0323A	3.0	166,371	3.0	167,202
Veterinary Paramedic	0322A	1.0	54,836	1.0	54,836
Assistant Administration Officer	0321A	1.0	53,803	1.0	53,803
Environmental Criminal Investigator I	0326A	1.0	53,498	1.0	53,498
District Resource Manager	0321A	3.0	154,259	3.0	154,249
Park Ranger Program Coordinator	0320A	1.0	50,653	1.0	50,653
Principal Forest Ranger	0321A	1.0	50,411	1.0	50,411
Senior Forest Ranger	0318A	3.0	147,053	3.0	147,053
Environmental Scientist	0326A	1.0	48,646	1.0	48,646
Park Manager	0320A	8.0	388,282	8.0	397,957
Chief Clerk	0B16A	1.0	48,504	1.0	48,504
Senior Computer Operator	0318A	1.0	47,997	1.0	47,997
Clerk Secretary	0316A	1.0	47,697	1.0	47,697
Accountant	0320A	2.0	94,885	2.0	98,558
Electrician Supervisor	0320A	1.0	46,907	1.0	46,907
Heavy Motor Equip Mechanic/Operator	0318A	2.0	92,984	2.0	92,984
Marine Maintenance Supervisor	0317G	1.0	45,941	1.0	46,051
Technical Staff Assistant	0320A	2.0	91,160	2.0	92,415
Golf Course Maintenance Supervisor	0320A	1.0	45,264	1.0	45,264
Assistant District Resource Manager	0316A	3.0	134,926	3.0	134,926
Information Aide	315A	1.0	44,662	1.0	44,662
Park Caretaker Supervisor	0314G	2.0	88,108	2.0	88,108
Pier Supervisor	0313G	2.0	83,518	2.0	83,518
Prop Control & Supply Officer	0317G	1.0	41,270	1.0	41,270
Carpenter	0314G	2.0	82,393	2.0	82,393
Senior Word Processing Typist	0312A	2.0	80,582	2.0	82,163
Communication Systems Operator	0316A	6.0	241,289	6.0	241,480
Supvsg. Preaudit Clerk	0321A	1.0	39,574	1.0	39,574
Fish Hatchery Supervisor	0313G	3.0	117,510	3.0	118,430
Heavy Motor Equipment Operator	0314G	3.0	115,716	3.0	115,716
Senior Maintenance Technician	0314G	2.0	77,096	2.0	77,096
Laborer	0308G	2.0	76,204	2.0	76,204
Senior Gardener	0313G	1.0	37,718	1.0	37,718
Clerk	0307A	1.0	37,380	1.0	38,176
Reconciliation Clerk	0310G	1.0	37,344	1.0	37,344
Semi-Skilled Laborer	0310G	10.0	360,471	10.0	362,149
Storekeeper	0315A	1.0	34,368	1.0	34,368
Subtotal		187.0	\$11,087,819	187.0	\$11,126,633
Cost Allocations To Office of the Director		(2.0)	(100,000)	(2.0)	(100,000)
Overtime		-	603,804	-	597,745
Turnover		-	(183,960)	-	(455,563)
Subtotal		(2.0)	\$319,844	(2.0)	\$42,182
Total Salaries		185.0	\$11,407,663	185.0	\$11,168,815

Personnel

Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Benefits					
Defined Contribution Plan		-	-	-	106,566
FICA		-	1,011,310	-	995,921
Holiday Pay		-	238,813	-	218,885
Medical		-	2,174,425	-	2,304,048
Payroll Accrual		-	-	-	86,569
Retiree Health		-	740,402	-	733,133
Retirement		-	2,450,480	-	2,199,765
Subtotal		-	\$6,615,430	-	\$6,644,887
Total Salaries and Benefits		185.0	\$18,023,093	185.0	\$17,813,702
Cost Per FTE Position			\$97,422		\$96,290
Statewide Benefit Assessment		-	497,295	-	476,382
Temporary and Seasonal		-	2,498,035	-	2,498,035
Subtotal		-	\$2,995,330	-	\$2,974,417
Payroll Costs		185.0	\$21,018,423	185.0	\$20,788,119
Purchased Services					
Building and Grounds Maintenance		-	328,752	-	328,752
Clerical and Temporary Services		-	166,045	-	165,545
Design and Engineering Services		-	1,577,870	-	1,494,370
Information Technology		-	51,762	-	51,762
Management and Consultant Services		-	1,406,733	-	1,406,733
Medical Services		-	81,600	-	81,600
Other Contract Services		-	54,969	-	54,969
Training and Educational Services		-	126,374	-	126,374
University and College Services		-	851,000	-	851,000
Subtotal		-	\$4,645,105	-	\$4,561,105
Total Personnel		185.0	\$25,663,528	185.0	\$25,349,224
Distribution By Source Of Funds					
General Revenue		102.0	14,480,883	102.0	14,457,417
Federal Funds		68.0	9,464,635	68.0	9,174,953
Restricted Receipts		13.0	1,524,844	13.0	1,552,725
Other Funds		2.0	193,166	2.0	164,129
Total All Funds		185.0	\$25,663,528	185.0	\$25,349,224

The Program

Department Of Environmental Management Bureau of Environmental Protection

Program Mission

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

Program Description

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater Protection; Water Quality Certification; Onsite Wastewater Treatment Systems; Freshwater Wetlands; Water Quality Restoration Studies (TMDLS); Shellfish Area Monitoring; Wastewater Treatment Facility and Sludge Programs, Nonpoint Source; Water Quality Monitoring and Standards; Financial Assistance (SRF/Bond Funds).

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Customer and Technical Assistance serves the public by coordinating the review of projects requiring multiple applications and permits and tracking the status of permitting activities throughout the bureau. OCTA directly reviews projects funded by federal economic stimulus programs. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program, including administration of the RI Underground Storage Tank Financial Responsibility Fund.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department Of Environmental Management Bureau of Environmental Protection

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Water Resources	7,164,348	8,505,995	11,578,850	11,656,674	10,389,568
Environmental Protection Admin	127,016	171,285	169,459	211,051	215,265
Compliance & Inspection	4,117,355	4,097,172	4,586,534	4,503,162	4,576,878
Technical & Customer Assist	1,422,279	1,557,389	1,549,589	1,614,751	1,639,665
RIPDES	995,355	1,096,469	1,149,622	1,223,411	1,223,904
Air Resources	3,053,120	3,701,587	7,614,762	8,226,667	7,679,047
Waste Management	4,254,438	4,039,702	5,434,251	5,930,522	4,662,092
Environmental Response	3,799,695	3,810,667	4,610,246	4,237,924	4,520,831
Total Expenditures	\$24,933,606	\$26,980,266	\$36,693,313	\$37,604,162	\$34,907,250
Expenditures By Object					
Personnel	20,657,717	22,320,573	29,727,011	29,887,383	27,623,411
Operating Supplies and Expenses	710,314	767,988	1,427,523	1,456,790	2,093,369
Assistance and Grants	2,281,418	3,730,710	4,598,379	5,289,289	4,284,820
Subtotal: Operating Expenditures	23,649,449	26,819,271	35,752,913	36,633,462	34,001,600
Capital Purchases and Equipment	1,264,603	160,995	940,400	970,700	905,650
Operating Transfers	19,554	-	-	-	-
Total Expenditures	\$24,933,606	\$26,980,266	\$36,693,313	\$37,604,162	\$34,907,250
Expenditures By Funds					
General Revenue	11,071,234	11,668,953	12,099,861	12,288,349	11,556,487
Federal Funds	7,546,381	9,668,057	13,424,798	15,146,999	12,428,872
Restricted Receipts	6,212,640	5,513,109	7,518,547	7,318,707	8,071,784
Operating Transfers from Other Funds	103,351	130,147	3,650,107	2,850,107	2,850,107
Total Expenditures	\$24,933,606	\$26,980,266	\$36,693,313	\$37,604,162	\$34,907,250
Program Measures					
Percentage of Sites Suspected or Identified as Contaminated that Are Cleaned Up	47.0%	47.0%	47.0%	47.0%	47.0%
Objective	50.0%	50.0%		50.0%	47.0%
Percentage of Operating Permit Programs that Are Inspected Annually	56.0%	50.0%	59.0%	59.0%	50.0%
for Compliance with Air Quality Standards					
Objective	50.0%	50.0%		50.0%	50.0%

Personnel

Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Assistant Director of Water Resources	0140A	1.0	111,654	1.0	111,654
Associate Director, Environmental Protection	0140A	1.0	109,540	1.0	109,540
Chief, Compliance & Inspection	0138A	1.0	104,568	1.0	104,568
Chief, Technical & Customer Assistance	0138A	1.0	103,958	1.0	103,958
Chief of Surface Water Protection	0138A	1.0	102,690	1.0	102,690
Chief of Groundwater & Wetland Protection	0138A	1.0	100,911	1.0	100,911
Chief of Waste Management	0138A	1.0	99,645	1.0	99,645
Deputy Chief Watersheds & Standards	0136A	2.0	192,104	2.0	192,104
Environmental Response Coordinator	0138A	1.0	95,606	1.0	95,606
Supervising Sanitary Engineer	0135A	3.0	275,714	3.0	275,714
Chief, Air Resources	0138A	1.0	90,221	1.0	90,221
Supervising Environmental Scientist	0134A	9.0	810,049	9.0	810,049
Associate Supervising Sanitary Engineer	0134A	5.0	442,641	5.0	442,641
Supervising Air Quality Specialist	0134A	3.0	264,379	3.0	266,103
Principal Sanitary Engineer	0333A	12.0	993,950	12.0	994,914
Principal Environmental Scientist	0332A	11.0	897,794	11.0	899,176
Principal Air Quality Specialist	0332A	4.0	319,552	4.0	319,552
Principal Civil Engineer	0333A	4.0	312,228	4.0	318,292
Senior Sanitary Engineer	0331A	11.0	813,491	11.0	820,219
Oil, Hazardous Material Specialist 2	0329A	3.0	213,488	3.0	213,488
Supervising Environmental Planner	0331A	2.0	141,426	2.0	143,639
Programming Services Officer	0331A	3.0	211,884	3.0	213,953
Senior Environmental Scientist	0330A	27.0	1,888,969	27.0	1,894,967
Senior Air Quality Specialist	0330A	9.0	624,661	9.0	631,619
Chief Implementation Aide	0328A	1.0	69,364	1.0	69,364
Oil, Hazardous Material Specialist 1	0327A	1.0	63,699	1.0	63,699
Senior Environmental Planner	0327A	3.0	188,154	3.0	188,822
Environmental Scientist	0326A	15.0	936,100	15.0	938,821
Civil Engineer	0327A	1.0	61,325	1.0	61,325
Office Manager	0123A	2.0	121,082	2.0	121,082
Sr Info and Pub Relations Specialist	0124A	1.0	60,073	1.0	60,073
Sanitary Engineer	0327A	9.0	531,827	9.0	540,680
Engineering Technician III	0323A	3.0	175,677	3.0	175,677
Administrative Officer	0124A	2.0	116,885	2.0	116,885
Junior Sanitary Engineer	0326A	9.0	520,969	9.0	523,582
Air Quality Specialist	0326A	5.0	288,310	5.0	290,085
Information Services Technician II	0020A	1.0	52,257	1.0	52,257
Supervising Environmental Quality Spec.	0323A	1.0	52,020	1.0	52,852
Technical Staff Assistant	0320A	4.0	194,651	4.0	196,666
Environmental Quality Technician	0319A	2.0	95,461	2.0	96,104
Clerk Secretary	0316A	2.0	89,305	2.0	89,305
Electronic Computer Operator	0315A	1.0	43,932	1.0	43,932
Data Control Clerk	0315A	3.0	126,126	3.0	126,126
Chief Clerk	0316A	1.0	40,632	1.0	41,694

Personnel

Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Senior Clerk Typist	0309A	1.0	38,607	1.0	38,607
Subtotal		185.0	\$13,187,579	185.0	\$13,242,861
Cost Allocations To Office of the Director		(3.0)	(225,000)	(3.0)	(225,000)
Cost Allocations From Office of the Director		2.0	140,000	2.0	140,000
Cost Allocations From Natural Resources		(0.5)	(31,888)	(0.5)	(52,146)
Overtime		-	46,485	-	46,500
Program Reduction		-	-	(3.0)	(210,691) ⁽¹⁾
Turnover		-	(150,586)	-	(388,861)
Subtotal		(1.5)	(\$220,989)	(4.5)	(\$690,198)
Total Salaries		183.5	\$12,966,590	180.5	\$12,552,663
Benefits					
Defined Contribution Plan		-	-	-	127,414
FICA		-	986,701	-	954,832
Holiday Pay		-	1,000	-	909
Medical		-	2,052,558	-	2,190,613
Payroll Accrual		-	-	-	71,677
Retiree Health		-	884,588	-	855,991
Retirement		-	2,961,675	-	2,601,519
Subtotal		-	\$6,886,522	-	\$6,802,955
Total Salaries and Benefits		183.5	\$19,853,112	180.5	\$19,355,618
Cost Per FTE Position			\$108,191		\$107,233
Statewide Benefit Assessment		-	485,671	-	470,371
Subtotal		-	\$485,671	-	\$470,371
Payroll Costs		183.5	\$20,338,783	180.5	\$19,825,989
Purchased Services					
Clerical and Temporary Services		-	11,000	-	10,600
Design and Engineering Services		-	9,116,600	-	7,265,822
Information Technology		-	284,500	-	384,500
Legal Services		-	25,000	-	25,000
Medical Services		-	1,000	-	1,000
Other Contract Services		-	26,500	-	26,500
Training and Educational Services		-	34,000	-	34,000
University and College Services		-	50,000	-	50,000
Subtotal		-	\$9,548,600	-	\$7,797,422
Total Personnel		183.5	\$29,887,383	180.5	\$27,623,411

Personnel

Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		70.0	12,071,993	67.0	11,353,358
Federal Funds		60.0	11,059,622	60.0	9,082,074
Restricted Receipts		33.5	3,925,798	33.5	4,362,094
Other Funds		20.0	2,829,970	20.0	2,825,885
Total All Funds		183.5	\$29,887,383	180.5	\$27,623,411

1 The reduction of (3.0 FTE) positions as a result of federal financing no longer being available.

Department Of Environmental Management Performance Measure Narratives

Bureau of Natural Resources

Cumulative Percentage of Land Acquisition Goal of 35,850 Acres Actually Acquired

Land acquisitions protect valuable resources, natural habitat, recreational open space and farmland. The department purchases fee title interest, conservation and recreation easements, farmland development rights, public drinking water and watershed protection easements and acquisitions. Acquisitions are guided by the State Guide Plan, Department of Environmental Management's Land Protection Plan, state laws and established selection criteria for assessing the natural/recreational/agricultural/watershed protection value of specific parcels of land. Input from user groups (hunters, fishermen, horseback riders, bikers) also helps to direct land preservation efforts.

The Department of Environmental Management and the Department of Administration (State Guide Plan, Element 155, A Greener Path, Greenspace and Greenways for Rhode Island's Future, adopted November 1994) have determined that of the minimum 35,000 acres that should be protected over the next twenty-five years, 17,850 acres should be protected by the state. This acreage represents the goal for state land acquisition and does not include land acquisitions by others. The indicator measures the percentage of the goal achieved cumulatively over the total period of time elapsed during a twenty-five year time frame beginning in November, 1994 with the adoption of the Greenspace Plan.

The standard had been to increase the percentage by eight percent annually. The standard however was raised beginning in FY 2007 to acquire one hundred percent of the 17,850 acre goal. The acreage goal was increased by 18,000 acres beginning in FY 2008 thus increasing the standard again to a total acquisition goal of 35,850 for state programs.

Percentage of R.I. Communities on Designated Levels in the Urban Forestry Program Actual/Estimated Value Percentage of RI Communities on at Least the Formative Level

The Urban Forestry Assistance Program works with communities and local organizations to promote urban tree health, which has the effect of enhancing property values, helping to clean the air and moderate temperature in urban areas, and beautifying neighborhoods through the planning and managing of urban ecosystems. There are four levels of participation in this program. The project level involves only activities such as an Arbor Day tree planting, a one-time grant, or any other one-time event or project. All Rhode Island Communities have achieved at least the projected level. The formative level is the phase when a community initiates a community forestry program with the help of the state forestry agency, establishes a tree board, recruits volunteers, and conducts a preliminary assessment of the general state of the community forest. The developmental level is the phase when the community pursues activities to improve the overall health of its community forest, such as conducting an inventory, writing a management plan, or pursuing the adoption of policy regulations for tree planting, maintenance, and protection. The sustained level is achieved when the program has continuity, planning, awareness, support and a budget.

The long-term goal for all forty Rhode Island communities is to have a sustained level program. The department has incremental goals of having one hundred percent of the communities at the project level, eighty percent of the communities at the formative level, sixty percent of the communities at the developmental level, and thirty percent of the communities at the sustained level.

Department Of Environmental Management Performance Measure Narratives

Percentage of RI Communities on the Developmental Level

The Urban Forestry Assistance Program works with communities and local organizations to promote urban tree health, which has the effect of enhancing property values, helping to clean the air and moderate temperature in urban areas, and beautifying neighborhoods through the planning and managing of urban ecosystems. There are four levels of participation in this program. The project level involves only activities such as an Arbor Day tree planting, a one-time grant, or any other one-time event or project. All Rhode Island Communities have achieved at least the project level. The formative level is the phase when a community initiates a community forestry program with the help of the state forestry agency, establishes a tree board, recruits volunteers, and conducts a preliminary assessment of the general state of the community forest. The developmental level is the phase when the community pursues activities to improve the overall health of its community forest, such as conducting an inventory, writing a management plan, or pursuing the adoption of policy regulations for tree planting, maintenance, and protection. The sustained level is achieved when the program has continuity, planning, awareness, support and a budget.

The long-term goal for all forty Rhode Island communities is to have a sustained level program. The department has incremental goals of having one hundred percent of the communities at the project level, eighty percent of the communities at the formative level, sixty percent of the communities at the developmental level, and thirty percent of the communities at the sustained level.

Percentage of RI Communities on the Sustained Level

The Urban Forestry Assistance Program works with communities and local organizations to promote urban tree health, which has the effect of enhancing property values, helping to clean the air and moderate temperature in urban areas, and beautifying neighborhoods through the planning and managing of urban ecosystems. There are four levels of participation in this program. The project level involves only activities such as an Arbor Day tree planting, a one-time grant, or any other one-time event or project. All Rhode Island Communities have achieved at least the project level. The formative level is the phase when a community initiates a community forestry program with the help of the state forestry agency, establishes a tree board, recruits volunteers, and conducts a preliminary assessment of the general state of the community forest. The developmental level is the phase when the community pursues activities to improve the overall health of its community forest, such as conducting an inventory, writing a management plan, or pursuing the adoption of policy regulations for tree planting, maintenance, and protection. The sustained level is achieved when the program has continuity, planning, awareness, support and a budget.

The long-term goal for all forty Rhode Island communities is to have a sustained level program. The department has incremental goals of having one hundred percent of the communities at the project level, eighty percent of the communities at the formative level, sixty percent of the communities at the developmental level, and thirty percent of the communities at the sustained level.

Department Of Environmental Management Performance Measure Narratives

Bureau of Environmental Protection

Percentage of Sites Suspected or Identified as Contaminated that Are Cleaned Up

This indicator measures the hazardous waste site cleanup rate for sites under the supervision of the department. Cleanups are undertaken to protect the public and the environment from chemical contamination from uncontrolled spills and releases of hazardous material primarily to soil and groundwater.

The objective is to maintain a fifty percent cleanup rate for known contaminated sites.

Percentage of Operating Permit Programs that Are Inspected Annually for Compliance with Air Quality Standards

This indicator measures the percentage of air pollution sources subject to the requirements of the operating permit program which are inspected annually. These sources of air pollution include industrial, commercial, and institutional entities capable of emitting regulated air pollutants above the minimum threshold levels although the sources have agreed not to emit above a specified level. The Air Permit Operating Program has a threshold limit of emission that determines if a facility is required to submit an operating permit application. The inspections are done to assure that emissions are below that level and the facility otherwise complies with air pollution regulations. Excess emissions can degrade Rhode Island's air quality with a negative effect on public health. Ground level ozone, fine particulates, and air toxics can cause acute and chronic respiratory problems in sensitive individuals and affect healthy individuals when ambient levels are high.

The objective is to inspect one hundred percent of the sources subject to the operating program.

Agency

Coastal Resources Management Council

Agency Mission

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

Agency Description

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan and an Ocean Special Area Management plan.

Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

Budget

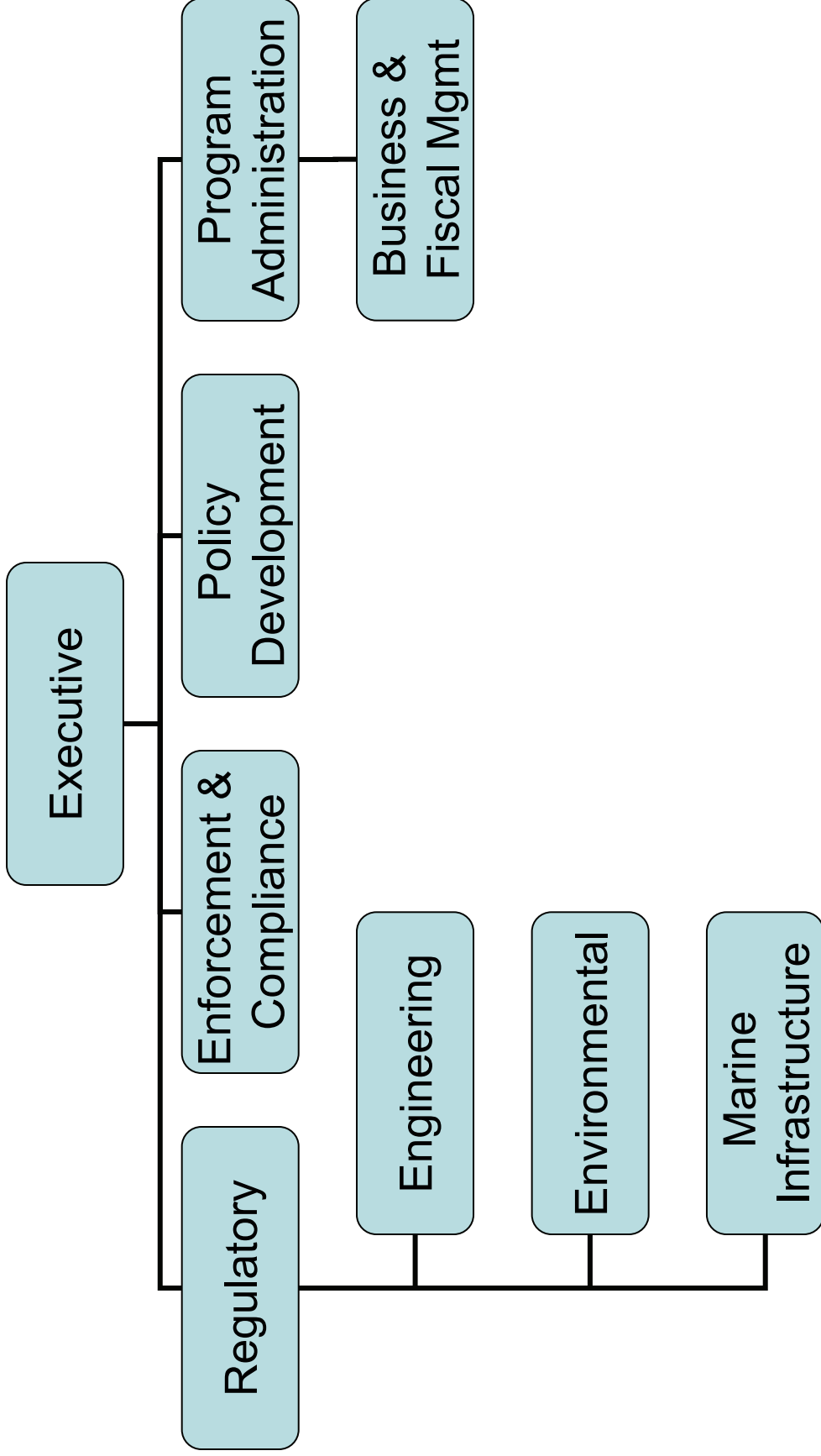
Coastal Resources Management Council

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	5,623,950	5,724,792	5,254,375	7,790,120	5,274,597
Total Expenditures	\$5,623,950	\$5,724,792	\$5,254,375	\$7,790,120	\$5,274,597
Expenditures By Object					
Personnel	3,352,851	4,327,618	4,173,241	6,613,530	3,912,947
Operating Supplies and Expenses	122,562	123,889	99,534	166,290	209,450
Assistance and Grants	466,742	1,250,100	-	200	200
Subtotal: Operating Expenditures	3,942,155	5,701,607	4,272,775	6,780,020	4,122,597
Capital Purchases and Equipment	1,681,795	23,185	981,600	1,010,100	1,152,000
Total Expenditures	\$5,623,950	\$5,724,792	\$5,254,375	\$7,790,120	\$5,274,597
Expenditures By Funds					
General Revenue	1,938,722	2,063,094	2,236,814	2,239,147	2,300,733
Federal Funds	1,806,719	3,501,698	2,038,461	4,571,873	1,823,864
Restricted Receipts	223,000	160,000	250,000	250,000	250,000
Operating Transfers from Other Funds	1,655,509	-	729,100	729,100	900,000
Total Expenditures	\$5,623,950	\$5,724,792	\$5,254,375	\$7,790,120	\$5,274,597
FTE Authorization	30.0	30.0	30.0	30.0	29.5
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	36.7%	36.7%	36.7%	36.7%	36.7%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

Percentage of Enforcement Actions that are Resolved	102	82	(100)	(100)	(100)
Objective	63.0%	64.0%		75.0%	75.0%
Cumulative Percentage of Shoreline Miles with CRMC-Designated Public Right-of-Ways	52.6%	52.6%	53.3%	53.3%	54.1%
Objective	57.6%	58.3%		59.1%	59.7%
Average Number of Days to Process Applications for Assent (14-60 Days)	21.7	18.2	30	30	30
Objective	14-60 Days	14-60 Days		14-60 Days	14-60 Days
Average Number of Days to Process Applications for Assent (90-180 Days)	84	116	120	120	120
Objective	90-180 days	90-180 days		90-180 days	90-180 days
Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems Pre-Application Received	16	10	18	18	(15-20)
Objective	8-10	8-10		8-10	8-10
Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems Applications Accepted for Review	12	9	-	-	-
Objective	8-10	8-10		8-10	8-10
Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems Applications Funded	10	9	-	-	-
Objective	8-10	8-10		8-10	8-10

The Agency

Coastal Resource Management Council



Personnel

Coastal Resources Management Council Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Deputy Director	0140	1.0	112,919	1.0	112,919
Supervising Civil Engineer (Water Resrcs)	0335	1.0	90,154	1.0	90,154
Supervising Environmental Scientist	0334	1.0	88,707	1.0	88,707
Principal Civil Engineer (NR)	0333	2.0	169,764	2.0	169,764
Technical Support Specialist II	0332	1.0	81,608	1.0	81,608
Principal Environmental Scientist	0333	1.0	80,250	1.0	80,250
Chief Resource Specialist	0131	1.0	79,257	1.0	79,257
Senior Environmental Scientist	0330	5.0	363,295	5.0	365,969
Coastal Policy Analyst	0332	2.0	140,001	2.0	140,001
Engineering Tech IV	0327	1.0	67,775	1.0	67,775
Coastal Geologist	0326	1.0	65,648	1.0	65,648
Marine Resources Specialist	0327	1.0	63,888	1.0	63,888
Fiscal Management Officer	0B26	1.0	60,823	1.0	60,823
Administrative Officer	0324	1.0	60,323	1.0	60,323
Office Manager	0323	1.0	59,884	1.0	59,884
Applications Coordinator	0320	1.0	51,729	1.0	51,729
Data Control Clerk	0315	1.0	43,932	1.0	43,932
Senior Word Processing Typist	0312	1.0	38,438	1.0	38,438
Data Entry Operator	0310	1.0	35,112	1.0	35,112
Engineering Technician III	0323	1.0	15,657	-	-
Subtotal		26.0	\$1,769,164	25.0	\$1,756,181
Unclassified					
Director	0845	1.0	153,610	1.0	153,610
Marine Infrastructure Specialist	0829	1.0	79,199	1.0	80,135
Aquaculture Coordinator	0829	1.0	72,475	1.0	72,475
Public Education Outreach Specialist	0824	1.0	59,228	1.0	59,228
Staff Attorney	0828	-	-	0.5	38,000 ⁽³⁾
Subtotal		4.0	\$364,512	4.5	\$403,448
Overtime		-	5,000 ⁽¹⁾	-	5,000 ⁽¹⁾
Turnover		-	(15,677)	-	(35,312)
Subtotal		-	(\$10,677)	-	(\$30,312)
Total Salaries		30.0	\$2,122,999	29.5	\$2,129,317
Benefits					
Defined Contribution Plan		-	-	-	21,244
FICA		-	162,027	-	162,510
Medical		-	380,596	-	423,376
Payroll Accrual		-	-	-	8,498
Retiree Health		-	145,296	-	145,728
Retirement		-	486,715	-	449,908
Subtotal		-	\$1,174,634	-	\$1,211,264
Total Salaries and Benefits		30.0	\$3,297,633	29.5	\$3,340,581
Cost Per FTE Position			\$109,921		\$113,240

Personnel

Coastal Resources Management Council Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Statewide Benefit Assessment		-	79,425	-	79,662
Subtotal		-	\$79,425	-	\$79,662
Payroll Costs		30.0	\$3,377,058	29.5	\$3,420,243
Purchased Services					
Clerical and Temporary Services		-	16,840	-	16,840
Design and Engineering Services		-	48,845	-	33,593
Information Technology		-	22,000	-	10,000
Legal Services		-	126,000	-	126,000
Training and Educational Services		-	3,000	-	1,000
University and College Services		-	3,019,787 ⁽²⁾	-	305,271
Subtotal		-	\$3,236,472	-	\$492,704
Total Personnel		30.0	\$6,613,530	29.5	\$3,912,947
Distribution By Source Of Funds					
General Revenue		18.0	2,231,304	18.3	2,293,073
Federal Funds		12.0	4,382,226	11.2	1,619,874
Total All Funds		30.0	\$6,613,530	29.5	\$3,912,947

1 Cost of engineering team to attend semi-monthly evening meetings as well as clerical fiscal overtime due to staff shortages caused by illness. Funded from federal grant

2 R.I. River Ecosystem Restoration-\$2,158,702 in FY 2012 Funded from federal grants

3 Staff Attorney 0.25 state/0.25 federal funded

Coastal Resources Management Council Performance Measure Narratives

Central Management

Percentage of Enforcement Actions that are Resolved

One of the goals of the Coastal Resources Management Council is to efficiently address violations of the program. This may entail the issuance of a Notice of Violation that requires specific actions to immediately resolve a violation; the issuance of a C

Cumulative Percentage of Shoreline Miles with CRMC-Designated Public Right-of-Ways

One of the goals of the Coastal Resources Management Council is to designate at least one public right-of-way for each of Rhode Island's 420 miles of shoreline. There were 221 sites so designated by the close of FY 2011. The council's more immediate goa

Average Number of Days to Process Applications for Assent (14-60 Days)

One of the goals of the Coastal Resources Management Council is to efficiently process applications for activities within its jurisdiction. One way the CRMC accomplishes this is to segregate all applications into several categories for review. Each cate

Average Number of Days to Process Applications for Assent (90-180 Days)

One of the goals of the Coastal Resources Management Council is to efficiently process applications for activities within its jurisdiction. One way the CRMC accomplishes this is to segregate all applications into several categories for review. Each cate

Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems □ Pre-Application Received

One of the goals of the Coastal Resources Management Council is to protect and where possible restore coastal ecosystems of the state. This goal is accomplished through the implementation of the Coastal and Estuary Habitat Restoration Program and Trust F

Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems □ Applications Accepted for Review

One of the goals of the Coastal Resources Management Council is to protect and where possible restore coastal ecosystems of the state. This goal is accomplished through the implementation of the Coastal and Estuary Habitat Restoration Program and Trust F

Number of Partner Agencies or NGOs Engaged in Protection and/or Restoration of Coastal Habitat Ecosystems □ Applications Funded

One of the goals of the Coastal Resources Management Council is to protect and where possible restore coastal ecosystems of the state. This goal is accomplished through the implementation of the Coastal and Estuary Habitat Restoration Program and Trust F

Agency

Water Resources Board

Agency Mission

Promote the planning, development, allocation and conservation of the State's water resources.

Agency Description

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure sufficient water resources are available for present and future generations of Rhode Islanders. The board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the State. The board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board brings together key state agencies (Environmental Management, Health, Economic Development Corporation, and the Statewide Planning Program) with water suppliers and the public to coordinate the management of water resources across major interest and regulatory groups. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, groundwater wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The ten member Board appoints a General Manager who carries out its policy and direction. Five members represent the public and are appointed by the Governor of which two are affiliated with public water supply systems; one appointed by the agriculture council; the four remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration.

Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapters 15, 15.2, 15.3, 15.7, and 15.8, of Title 46 and Chapter 20 of Title 37 of the Rhode Island General Laws authorize the organization and functions of the board.

The FY12 Appropriation Act removed the authority of the Water Resource Board and transferred it's functions to Statewide Planning within the Department of Administration.

Budget

Water Resources Board

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
State Water Resources Board	1,235,380	1,335,871	-	-	-
Total Expenditures	\$1,235,380	\$1,335,871	-	-	-
Expenditures By Object					
Personnel	1,051,476	1,102,029	-	-	-
Operating Supplies and Expenses	88,370	117,328	-	-	-
Assistance and Grants	96,914	89,630	-	-	-
Subtotal: Operating Expenditures	1,236,760	1,308,987	-	-	-
Capital Purchases and Equipment	(1,380)	26,884	-	-	-
Total Expenditures	\$1,235,380	\$1,335,871	-	-	-
Expenditures By Funds					
General Revenue	1,052,485	1,123,928	-	-	-
Operating Transfers from Other Funds	182,895	211,943	-	-	-
Total Expenditures	\$1,235,380	\$1,335,871	-	-	-
FTE Authorization	6.0	6.0			
Total	6.0	6.0			
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	n/a	n/a	n/a
Females as a Percentage of the Workforce	33.0%	33.0%	n/a	n/a	n/a
Persons with Disabilities as a Percentage of the Workforce	-	-	n/a	n/a	n/a

Capital Budget Natural Resources

Department of Environmental Management

Governor's Recommendations

Anti-pollution Projects

Sewer Interceptors - The Governor recommends the expenditure of issued general obligation bond proceeds of \$1.3 million in FY 2012, constituting the end of availability from the 1986 authorization. This funding allows the department to provide a 50 percent match to municipalities for the construction of interceptor sewer lines. Changes to departmental regulations regarding mandatory residential tie-ins, has accelerated demand for interceptor funds in the towns of Warwick, Burrillville and Narragansett. Prior to FY 2012, \$3.7 million in bond proceeds was expended for the interceptor program.

Hazardous Waste Programs

Narragansett Bay and Watershed Restoration - The voters approved \$8.5 million in November 2004 to be utilized for several water pollution abatement projects including matching grants and incentive grants to state and local entities, non-profit organizations and for-profit businesses for water quality restoration and protection projects. The bond funds may be used in conjunction with federal and local contributions. Examples of projects to be funded include point and non-point source pollution abatement, nutrient loading abatement, best management grants for farmers and businesses, and riparian buffer enhancements. The watershed approach to pollution identification and assessment, with the remediation responsibility assigned to the polluter wherever possible, is also part of the department's community-based approach to improving the health of Narragansett Bay and its tributaries. Prior to FY 2012, \$2.0 million in bond proceeds were expended on the project. The Governor recommends the expenditure of issued general obligation bond proceeds in the amount of \$6.5 million in FY 2012, constituting the end of availability from the 2004 authorization.

The Governor also recommends placing a new referendum on the November 2012 ballot for \$4.0 million to provide funds to restore and protect water quality, and enhance the economic viability and environmental sustainability of Narragansett Bay and the state's watersheds. It is recommended that the expenditures from this, if approved by the voters, be issued for \$1.0 million in FY 2014, \$1.0 million in FY 2015 and \$2.0 million in FY 2016.

Open Space and Natural Land Protection

Open Space and Natural Land Protection – The Governor recommends a total of \$13.0 million for open space and land acquisition purchases by the department. Land acquisition includes land and development rights to preserve coastal access and shoreline open space, including land acquisition and development rights related to the implementation of the Greenspace Plan. Under the Plan, the department uses state bond funds combined with federal and non-profit funds (i.e. Nature Conservancy, Champlin Foundation, Audubon Society, and various land trusts) to coordinate preservation projects and acquire real estate. Goals of the department's land acquisition program include watershed protection, expansion of open space and recreation areas, protection of greenways, easements to recreation lands, and preservation of wildlife habitat and natural heritage areas.

Prior to FY 2012, \$7.3 million was spent from the 2004 bond authorization. For FY 2012, the Governor recommends \$2.7 million of issued bond proceeds from the 2004 authorization.

Department of Environmental Management

The Governor also recommends placing a new referendum on the November 2012 ballot for \$2.5 million to be used for the purchase of land, development rights, and conservation easements statewide. It is recommended that the expenditures from this, if approved by the voters, be issued for \$1.0 million in FY 2014, \$1.0 million in FY 2015 and \$500,000 in FY 2016.

Farmland Preservation and Acquisition – The Governor recommends a total of \$20.0 million for farmland development rights to relieve farm owners from the economic pressure to sell their land for residential or commercial development, thus making the properties available for farming in perpetuity. In FY 2012, the Governor recommends \$1,597 in issued bond proceeds from the 2004 authorization, \$1.5 million in issued bond proceeds from the 2008 authorization, and \$1.5 million in federal funds for a total of \$3.0 million. In FY 2013, the recommendation is \$1.0 million of unissued funds from the 2008 authorization. The benefits of the program include maintenance of viable agriculture sites, economic activity associated with the agriculture industry estimated to be \$141 million annually, and protection of vital biodiversity habitats. Prior to FY 2012, \$11.5 million was spent on this project including: \$5.0 million from the 2004 bond authorization, and \$6.5 million from federal funds.

The Governor also recommends placing a new referendum on the November 2012 ballot for \$4.5 million to be used for the purchase of agricultural development rights to active farms in Rhode Island. It is recommended that the expenditures from this, if approved by the voters, be issued for \$1.5 million in FY 2014, \$1.5 million in FY 2015 and \$1.5 million in FY 2016.

Municipal Recreation Projects

Rocky Point Acquisition – The Governor recommends \$10.0 million for the Rocky Point Acquisition project, all of which will be spent in FY 2012. The bond funds will be utilized in the negotiation between the department and the Small Business Association (SBA) to acquire Rocky Point Park located in Warwick, Rhode Island. The department hopes to provide the public with access to the land for many recreational activities, such as: picnicking, hiking, and sightseeing, as well as providing the public access to the shoreline for saltwater based recreation, such as boating, kayaking, and fishing.

India Point Acquisition – The Governor recommends a total of \$3.2 million for the India Point Acquisition project, all of which will be spent in FY 2012. The bonds will be used to reimburse the United States Federal Highway Administration for the market value of the property in order to preserve the space for recreational activities and open space. The department hopes to develop this property, consisting of 74,436 square feet, into a destination with public attractions and other multi-use facilities.

Roger Williams Park – The state has provided bond proceeds to the City of Providence for various renovations and upgrades at Roger Williams Park in Providence since 1989. The Governor recommends a total of \$11.0 million in proceeds from the 2006 authorization for continuing park and zoo improvements, including the new botanical exhibit, a new elephant house and yard, and the North American Trail Exhibit.

State bond funds are used in combination with private capital fundraising campaigns in the combined amount of \$28.5 million for the total planned capital initiative. Prior to FY 2012, \$9.0 million was spent from the 1989 authorization, \$1.5 million was spent from the 2000 authorization, \$3.0 million was spent from the 2002 authorization, \$4.0 million was spent from the 2004 authorization, and \$8.6 million was spent from the 2006 authorization. In FY 2012, the Governor recommends \$1.6 million from the 2006

Department of Environmental Management

authorization of issued bond proceeds. For FY 2013, the Governor recommends the remaining \$800,000 in unissued proceeds from the 2006 authorization. Prior to FY 2012, \$26.1 million has been spent.

Local Land Acquisition - The Governor recommends a total of \$23.9 million for grants to municipalities for the acquisition and development rights of coastal and shoreline access points, open space land and land suitable for public recreational facilities. The program provides funds of up to 50 percent of the purchase price of the land. Since 1988, the department has awarded over 137 grants, used by communities to preserve 8,100 acres of open space. Prior to FY 2012 \$14.6 million has been expended for the project. In FY 2012, the Governor recommends \$4.1 million from the 2004 unissued general obligation bond and \$187,058 from the 2000 issued general obligation bond. In FY 2013, the Governor recommends \$2.5 million in unissued bond proceeds. The availability of local match may alter the issuance and expenditure budgets for this project.

The Governor also recommends placing a new referendum on the November 2012 ballot for \$2.5 million to provide grants to municipalities, local land trusts and non-profit organizations to acquire fee-simple title, development rights or conservation easements to open space in Rhode Island. It is recommended that the expenditures from this, if approved by the voters, be issued for \$1.0 million in FY 2014, \$1.0 million in FY 2015 and \$500,000 in FY 2016.

Local Recreation Development – The Governor recommends a total of \$41.0 million in general obligation bond proceeds for grants to municipalities to acquire, develop and rehabilitate local recreational facilities. The program provides funding assistance of up to 50 percent of total project costs. Since 1988, the program has awarded over 200 grants totaling over \$35.0 million. In some years, federal funds are available to augment state resources.

In FY 2012, the Governor recommends \$2.0 million from the 2006 issued general obligation bond. In FY 2013, the Governor recommends \$1.0 million from the 2006 unissued general obligation bond.

The Governor also recommends placing a new referendum on the November 2012 ballot for \$3.5 million to provide grants to the municipalities for recreation acquisition and development. It is recommended that the expenditures from this, if approved by the voters, be issued for \$1.0 million in FY 2014, \$1.0 million in FY 2015, \$1.0 million in FY 2016 and \$500,000 in FY 2017.

Local Bikeways & Recreational Greenways - The Governor recommends a total of \$5.0 million for design and construction of local bikeways and recreational greenways, including off-road facilities connecting Rhode Island parks, open space, and other greenways. Generally, the department provides 50 percent matching grants to local communities. Prior to FY 2012, \$3.8 million was utilized from this 1998 bond authorization for local bikeway development in several communities. The Governor recommends \$1.2 million in FY 2012 for the completion of this project.

Historic/Passive Local Recreation Grants – The Governor recommends a total of \$6.0 million for Historic/Passive Local Recreation Grants. The recommendation includes the 2004 local recreation bond authorization for the renovation and development of historic and passive recreation areas. The grants provide funding to municipalities of up to 90 percent of the cost for renovations and developments, including improvements to walking trails, gazebos, bandstands, lighting, picnic tables etc. Prior to FY 2012, \$2.0 million was utilized from the 2004 general obligation bond authorization. The Governor recommends \$2.0 million from the 2004 issued bond proceeds in FY 2012 and \$1.0 million in unissued bond proceeds from the 2004 authorization in FY 2013.

Department of Environmental Management

The Governor also recommends placing a new referendum on the November 2012 ballot for \$1.0 million to provide grants to municipalities for the renovation and development of Historic and Passive Recreation Areas. It is recommended that the expenditures from this, if approved by the voters, be issued for \$250,000 in FY 2014, \$250,000 in FY 2015, \$250,000 in FY 2016 and \$250,000 in FY 2017.

State Recreational Facilities

Blackstone Valley Bike Path/State Park – The General Assembly approved Rhode Island Capital Plan Funds (RICAP) of \$1.5 million for the Blackstone Valley Bike Path/State Park, to be utilized for the construction of the bike path. The Bike Path is scheduled to be a 17.1 mile by 12-foot wide scenic bike path that will connect to the East Bay Bike Path, eventually making a continuous 31.9 mile route for alternative transportation. The Governor recommends \$746,000 in FY 2012, \$500,000 in FY 2013, and \$250,000 in FY 2014 in Rhode Island Capital Plan Funds (RICAP). Prior to FY 2012, \$4,000 has been spent on this project.

Facilities Improvements - The Department is responsible for maintenance and operation of over fifty state-owned buildings, twenty-five miles of roads, and several bridges in state parks and management areas. The Governor recommends a total of \$36.8 million, including Rhode Island Capital Plan Fund resources, Federal Emergency Management Agency financing, federal financing, insurance proceeds, and issued and unissued general obligation bond proceeds for the asset protection of these projects.

In FY 2012, the Governor recommends \$3.4 million from the 2004 issued general obligation bond, \$250,000 in federal funds, \$200,000 from the Federal Emergency Management Agency, \$400,000 from insurance proceeds, and \$3.0 million from the Rhode Island Capital Plan Fund for a total of \$7.3 million. In FY 2013, \$275,000 in federal funds and \$1.6 million from the Rhode Island Capital Plan Fund for a total amount of \$1.9 million is recommended. In FY 2014, \$250,000 in federal funds, \$1.4 million in Rhode Island Capital Plan Funds and \$2.0 million in general bond obligation new referenda for a total of \$3.6 million is recommended. In FY 2015, \$250,000 in federal funds, \$1.5 million in Rhode Island Capital Plan Funds and \$2.0 million in general bond obligation new referenda for a total of \$3.8 million is recommended. In FY 2016, \$250,000 in federal funds, \$600,000 in Rhode Island Capital Plan Funds, and 1.0 million in general obligation bond new referenda for a total of \$1.9 million is recommended. In FY 2017, \$1.0 million in Rhode Island Capital Plan Funds is recommended. Prior to FY 2012, \$17.4 million has been spent on Recreational Facility Improvements.

The Governor recommends placing a new referendum on the November 2012 ballot for \$5.0 million to be used for restoration at state recreation facilities. It is recommended that the expenditures from this, if approved by the voters, be issued for \$2.0 million in FY 2014, \$2.0 million in FY 2015, and \$1.0 million in FY 2016.

Fort Adams Restoration – The Governor recommends a total of \$9.3 million for the Fort Adams State Park project in Newport. The Fort Adams Foundation and Fort Adams Trust have applied to various charitable trusts and non-profit organizations for matching grants to rehabilitate the structures at this historic fort site, including the National Park Service and the Champlain Foundation. The Fort contains significant American military history artifacts and is a National Landmark Structure.

Department of Environmental Management

In FY 2012, the Governor recommends the remaining \$11,359 in issued funds from the 2004 authorization, \$750,000 from the 2010 authorization and \$478,824 in Rhode Island Capital Plan Fund (RICAP) financing for matching grants for continuing improvements to the uniquely designed and engineered structures at the park. In FY 2013 the Governor recommends \$750,000 in from general obligation bonds authorized in November 2010 and \$500,000 from the Rhode Island Capital Plan Fund. The Governor also recommends \$500,000 from the Rhode Island Capital Plan Fund in FY 2014 and FY 2015, and \$300,000 in FY 2016. Prior to FY 2012 \$5.5 million has been spent on this project.

Fort Adams Sailing Improvements – The Governor recommends \$4.4 million in Rhode Island Capital Plan Funds (RICAP) for improvements to Fort Adams State Park to create an event center to host sailing events. The department has been working with the Rhode Island Economic Development Corporation to design improvements to Fort Adams that will allow it to host large sailing events such as: America’s Cup, the Extreme Sailing Championship and the Volvo Regatta. The Governor recommends \$1.3 million in FY 2012, and \$3.1 million in FY 2013 in Rhode Island Capital Plan Funds (RICAP).

World War II – The Governor recommends \$2.6 million in Rhode Island Capital Plan Funds (RICAP) for the construction of a new World War II State Park in Woonsocket, RI. Plans will revitalize the currently closed park and replace it with a splash-park. The project will also include; water, sewer, storm water, and electrical upgrades; new curbing, walkways, plantings and shrubs, replacement of a pedestrian bridge, benches, a new playground and fencing. The Governor recommends \$400,000 in FY 2012, and \$2.2 million in FY 2013 in Rhode Island Capital Plan Funds (RICAP).

Local Recreation – Distressed Communities - The Governor recommends \$2.0 million from general obligation bond new referenda to be voted on in November 2012. This referendum would provide grants to distressed communities for recreation acquisition and development projects. The grants provide funding assistance of up to ninety percent of the project costs for development and parkland acquisition. The five cities eligible under the current program guidelines are: Providence, West Warwick, Pawtucket, Central Falls and Woonsocket.

If approved by the voters, it would be issued for \$1.0 million in FY 2014, \$500,000 in FY 2015 and \$500,000 in FY 2016.

State Infrastructure Facilities

Fish and Wildlife Maintenance Facility -

The Governor recommends a total of \$650,000 in federal funds and Rhode Island Capital Plan Funds (RICAP) for the construction of a new maintenance facility for the Division of Fish & Wildlife development section. The existing facilities at the Great Swamp Management area are over thirty years old and are incapable of housing the heavy equipment and research equipment used in managing fish and game areas supervised by the State. The project is a continuation of improvements to the Great Swamp Facility, which is a focal point of activities for the division including research activities, wildlife management, and public involvement for all fish and wildlife efforts of the department. In FY 2014, the Governor recommends federal financing of \$75,000 and Rhode Island Capital Plan Funds (RICAP) of \$75,000. In FY 2015, the Governor recommends federal financing of \$250,000 and Rhode Island Capital Plan Funds of \$250,000, for a total of \$500,000 in FY 2015.

Galilee and Newport Piers - The Governor recommends a total of \$15.7 million from the Rhode Island Capital Plan Fund for improvements at Galilee Piers (\$9.4 million) and at Newport Piers (\$6.4 million).

Department of Environmental Management

These port facilities and piers support the state's commercial marine fishing industry and provide access points for the department's enforcement and marine wildlife divisions.

Prior to FY 2012, \$5.1 million from the Rhode Island Capital Plan Fund was spent on the Galilee Piers and \$5.4 million on the Newport Piers. The Governor recommends continued financing for pier improvements. Financing from the Rhode Island Capital Plan Fund for the Galilee Piers is recommended in the amount of \$950,000 in FY 2012, \$1.1 million in FY 2013, \$690,000 in FY 2014, \$675,000 in FY 2015, \$665,000 in FY 2016, and \$220,000 in FY 2017. This financing will continue the reconstruction and replacement of several piers, piling replacements and will focus on repairing the south bulkhead which is the largest component of programmed improvements. Rhode Island Capital Plan Fund financing for the Newport Piers includes \$250,000 in FY 2012 and \$250,000 in each fiscal year from FY 2013 through FY 2015. Most of the major reconstruction at Newport was completed in previous years; continued financing will be used for securing the heavy duty pier and its electrical service, upgrades to the office building, and fender pile replacements.

Natural Resources Offices/Visitor's Center - The Governor recommends a total of \$3.3 million from FY 2014 through FY 2016. The Governor recommends \$250,000 in FY 2014; \$1.8 million in FY 2015; and \$1.3 million in FY 2016 from the Rhode Island Capital Plan Fund (RICAP) for the construction of a new office facility in the Arcadia Management Area. This facility will consolidate and provide office space, laboratories, storage, and workshop areas for mosquito abatement testing, the state veterinarian, and the Administrative Offices and Freshwater Fisheries and Wildlife sections of the Division of Fish & Wildlife. The facility would also be utilized as a visitor's center for people using the Arcadia Management Area for fishing, hunting, hiking, mountain biking, swimming, and horseback riding.

State-Owned Dams Rehabilitation - The Governor recommends \$8.4 million from the Rhode Island Capital Plan Fund to repair state-owned dams in various recreation and management areas operated by the department. The rehabilitation projects are for dams classified as high-hazard dams which is a measure of downstream hazard potential, not only a measure of the potential for failure. The repairs are also necessary to manage water levels at recreational fishing and swimming areas. Past years' work has included the rehabilitation at the Bowdish Reservoir dam in Burrillville, and the Stillwater Reservoir Dam in Smithfield, while two high-hazard dams in the John L. Curran Management Area are currently being repaired.

Prior to FY 2012, \$4.4 million had been utilized on these projects. The Governor recommends \$850,000 in FY 2012, \$1.0 million in FY 2013, \$550,000 in FY 2014, \$550,000 in FY 2015, \$500,000 in FY 2016, and \$500,000 in FY 2017 in Rhode Island Capital Plan Fund for design and construction of the J.L. Curran Dams, the Breakheart Hill Dam, and the Burlingame Reservoir Dam in Gloucester. The Governor's financing plan for dams allows the department to sustain a consistent repair program, thus reducing the liability for failure of state-owned dams.

Coastal Resources Management Council

Governor's Recommendations

Coastal and Habitat Restoration Trust Fund – The Governor recommends the expenditure of \$340,000 in restricted receipts from the Oil Spill Prevention, Administration and Response Fund, (OSPAR) in FY 2012, and \$250,000 in each year thereafter through FY 2017, to preserve and restore coastal wetlands, fish runs, and eelgrass beds that protect the shore from storms and erosion, serve as breeding grounds for recreational and commercial fishing, filter out pollution, and hinder the spread of mosquitoes. Restoration projects are reviewed and selected by the R.I. Habitat Protection Team. Past project work totaling \$2.4 million has included improvements at Lonsdale Drive-in Marsh and Buckeye Brook, salt marsh restorations at Fields Point, Stillhouse Cove, Palmer River, Mussachuck Creek, Walker Farm, Little Mussachuck Creek, Gooseneck Cove, Jacob's Point, Stillhouse Cove, Brenton Cove, Round Marsh in Jamestown, Manton Pond, and Silver Creek in Bristol, Boyd's Marsh Town Pond habitat restoration, Shannock Falls Dams accessways improvements, Dyerville Dam, fish passage restoration at Wakefield, Gilbert Stuart, Factory Brook, Kickemuit Reservoir, Atlantic Mills, Blackstone, Manton Pond, Ten Mile River, Woonasquatucket River, Rising Sun Mill, Pawcatuck River, Paragon, Lower Shannock, Blackstone River; restoration of Napatree and Third Beach dunes, hard clams in Rhode Island's salt ponds, sediment management in the Lower Pawtuxet River ecosystem, Allin's Cove Invasive control and upland restoration and Narragansett Bay Seagrass, as well as financing for environmental studies and mosquito abatement equipment. The total cost of the project during the capital planning period is \$1.6 million.

Fish Passage Restoration – The Governor recommends the total expenditure of \$2.2 million in FY 2012-FY 2014 in federal funds provided by the American Recovery and Reinvestment Act (ARRA) to construct six high priority restoration projects that began in the fall of 2009 and will continue to FY 2014. \$1.3 million has been spent to date. The projects will restore riverine habitats and associated wetlands for migratory fish species. Four of the projects are on the Pawcatuck River and require construction of fish ladders as well as eel passages on the Ten Mile River. Three (Horseshoe Falls, Hunts Mill Dam, and Turner Reservoir Dam) are under construction. The Omega Pond Dam fish ladder project will be completed in early 2013. Two projects will remove dam obstructions at the lower Shannock and at Kenyon Mills. The former project is completed and the latter project will be completed in early 2013. The project will restore access to 13 stream miles and 1,640 acres of spawning habitat to enhance the state's fresh water and salt water fisheries.

South Coast Restoration Project – The Governor recommends a total expenditure of \$8.7 million to continue the project to dredge breachways and tidal deltas in order to replenish beach areas and restore fish passageways along the state's south coast. \$6.3 million in federal, restricted receipt (the Oil Spill Prevention, Administration and Response Fund, or OSPAR), general revenue, municipal expenditure, and Rhode Island Capital Plan funds have been spent to date to dredge Ninigret pond and perform eelgrass seeding, as well as to prepare for work at Winnepaug and Quonochontaug Ponds. The recommendation for FY 2012 includes \$729,100 in Rhode Island Capital Plan funds and \$300,000 in municipal funds to dredge the Ninigret sedimentation basin in order to preserve past habitat restoration work. The recommendation also includes dredging and habitat restoration of Winnepaug Pond in FY 2013. The Governor recommends the use of \$729,100 in FY 2012 and \$850,000 in FY 2013 in Rhode Island Capital Plan funds, to be matched with \$542,155 in federal funds.

Secure Facility Area - The Governor recommends the expenditure of \$50,000 in Rhode Island Capital Plan funds in FY 2013 to construct a secure outdoor area at the Stedman Government Center to house the Agency's trailerable boats in order to provide both ready access and vessel security.

Coastal Resources Management Council

Large Rock Removal, Ninigret Pond Breachway -

The Governor recommends the expenditure of \$180,000 in Rhode Island Capital Plan funds and \$720,000 in federal funds in FY 2014 to remove boulders from the inlet and its eastward approaches that constitute a hazard to navigation. The project would remove obstacles that are responsible for up to 20 grounding incidents per year.

Transportation

Budget

Transportation Function Expenditures

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Object					
Personnel	97,749,203	91,183,581	103,542,306	109,299,868	111,617,603
Operating Supplies and Expenses	18,009,457	31,157,878	40,358,661	35,686,683	41,848,619
Assistance and Grants	69,266,347	17,543,777	68,555,745	50,970,474	32,281,511
Subtotal: Operating Expenditures	185,025,007	139,885,236	212,456,712	195,957,025	185,747,733
Capital Purchases and Equipment	118,853,715	100,811,664	114,581,681	142,880,980	204,707,444
Operating Transfers	72,062,495	104,518,645	99,948,540	102,016,983	101,818,733
Total Expenditures	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
Expenditures By Funds					
Federal Funds	242,296,127	229,999,857	309,995,579	338,457,178	362,333,768
Restricted Receipts	757,110	187,949	1,000,000	998,891	998,758
Operating Transfers from Other Funds	6,040,521	2,533,723	3,267,000	3,347,437	5,007,050
Other Funds	126,847,459	112,494,016	112,724,354	98,051,482	123,934,334
Total Expenditures	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
FTE Authorization	739.4	772.6	772.6	772.6	772.6

Agency

Department Of Transportation

Agency Mission

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost-effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

Agency Description

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the State. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 32 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 3,300 lane miles of highway and 1,153 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Other responsibilities include planning, development, and implementation of transit, rail, water, and bicycle/pedestrian transportation projects. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L. 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

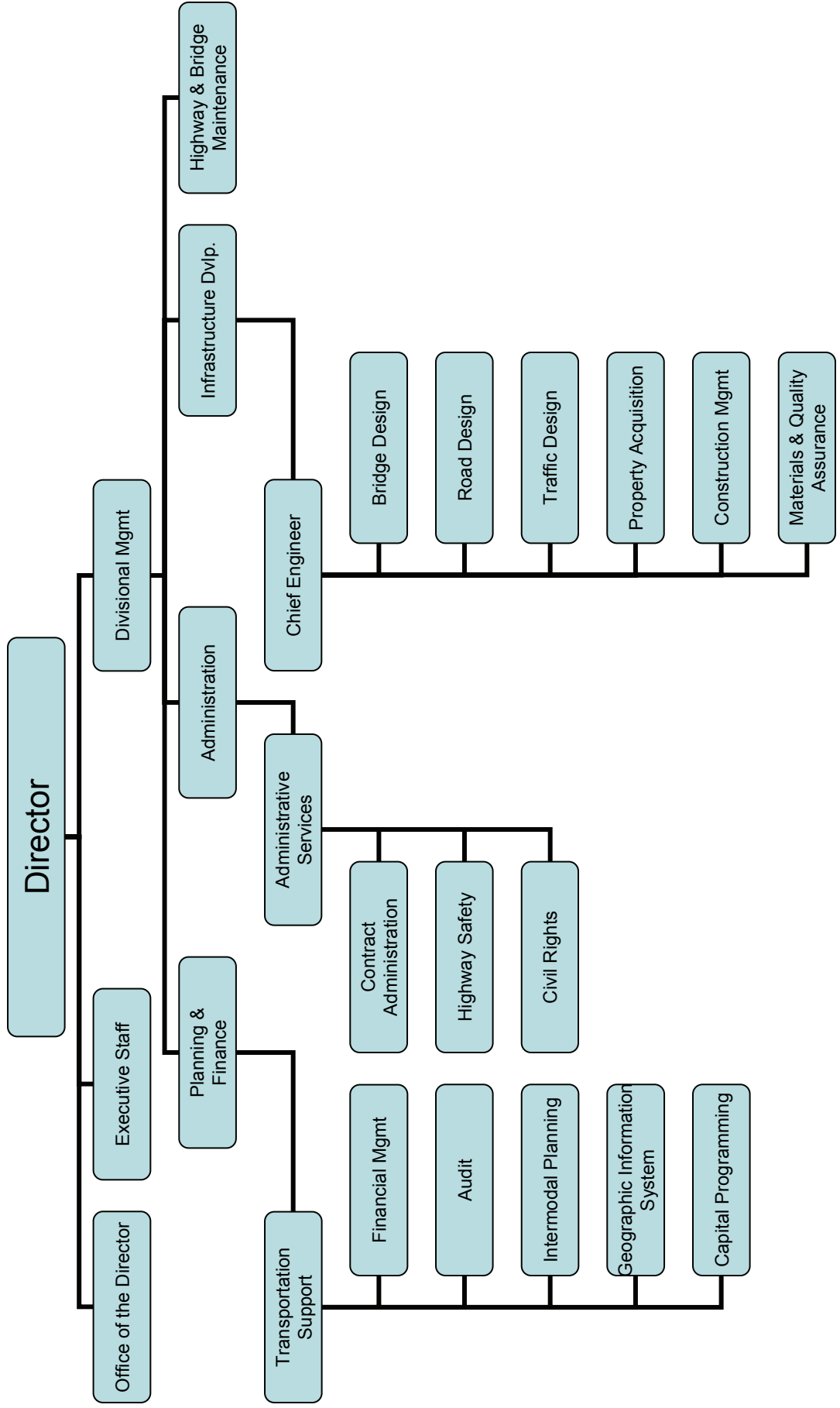
Budget

Department Of Transportation

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
Central Management	4,421,464	4,840,439	12,503,313	10,797,176	11,868,811
Management and Budget	354,065	424,958	1,176,686	1,510,979	1,937,648
Infrastructure-Engineering	332,539,339	292,858,953	368,548,394	389,026,690	433,643,575
Infrastructure-Maintenance	38,626,349	47,091,195	44,758,540	39,520,143	44,823,876
Total Expenditures	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
Expenditures By Object					
Personnel	97,749,203	91,183,581	103,542,306	109,299,868	111,617,603
Operating Supplies and Expenses	18,009,457	31,157,878	40,358,661	35,686,683	41,848,619
Assistance and Grants	69,266,347	17,543,777	68,555,745	50,970,474	32,281,511
Subtotal: Operating Expenditures	185,025,007	139,885,236	212,456,712	195,957,025	185,747,733
Capital Purchases and Equipment	118,853,715	100,811,664	114,581,681	142,880,980	204,707,444
Operating Transfers	72,062,495	104,518,645	99,948,540	102,016,983	101,818,733
Total Expenditures	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
Expenditures By Funds					
Federal Funds	242,296,127	229,999,857	309,995,579	338,457,178	362,333,768
Restricted Receipts	757,110	187,949	1,000,000	998,891	998,758
Operating Transfers from Other Funds	6,040,521	2,533,723	3,267,000	3,347,437	5,007,050
Other Funds	126,847,459	112,494,016	112,724,354	98,051,482	123,934,334
Total Expenditures	\$375,941,217	\$345,215,545	\$426,986,933	\$440,854,988	\$492,273,910
FTE Authorization	739.4	772.6	772.6	772.6	772.6
Agency Measures					
Minorities as a Percentage of the Workforce	10.0%	12.8%	14.7%	14.7%	16.4%
Females as a Percentage of the Workforce	18.0%	19.8%	21.5%	21.5%	23.3%
Persons with Disabilities as a Percentage of the Workforce	1.0%	0.7%	0.7%	0.7%	0.7%

The Agency

Department of Transportation



Personnel

Department Of Transportation Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Distribution by Category				
Classified	769.6	43,141,149	769.6	43,619,722
Unclassified	3.0	292,925	3.0	292,925
Cost Allocation from Other Programs	-	4,173,221	-	4,043,319
Cost Allocation to other programs	-	(4,173,221)	-	(4,043,319)
Overtime	-	3,035,518	-	3,637,905
Turnover	-	(2,777,308)	-	(2,452,668)
Total Salaries	772.6	\$43,692,284	772.6	\$45,097,884
Benefits				
Contract Stipends	-	55,106	-	58,999
Defined Contribution Plan	-	-	-	414,599
FICA	-	3,452,646	-	3,561,855
Holiday Pay	-	82,458	-	86,103
Medical	-	8,660,927	-	9,784,304
Payroll Accrual	-	-	-	245,561
Retiree Health	-	2,815,703	-	2,868,797
Retirement	-	9,548,376	-	8,988,155
Total Salaries and Benefits	772.6	\$68,307,500	772.6	\$71,106,257
Cost Per FTE Position		\$88,413		\$92,035
Statewide Benefit Assessment	-	1,525,785	-	1,554,763
Temporary and Seasonal	-	1,800,123	-	1,790,123
Payroll Costs	772.6	\$71,633,408	772.6	\$74,451,143
Purchased Services				
Design and Engineering Services	-	37,306,960	-	36,806,960
Information Technology	-	45,000	-	45,000
Legal Services	-	45,000	-	45,000
Management and Consultant Services	-	205,000	-	205,000
Medical Services	-	800	-	800
Other Contract Services	-	63,700	-	63,700
Total Personnel	772.6	\$109,299,868	772.6	\$111,617,603
Distribution by Source of Funds				

Personnel

Department Of Transportation Agency Summary

	FY 2012		FY 2013	
	FTE	Cost	FTE	Cost
Federal Funds	453.8	84,090,526	452.2	84,597,760
Restricted Receipts	0.1	19,921	0.1	20,391
Other Funds	318.7	25,189,421	320.3	26,999,452
Total All Funds	772.6	\$109,299,868	772.6	\$111,617,603

The Program

Department Of Transportation Central Management

Program Mission

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

Program Description

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Legal Office is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefits programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the department handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation website: www.dot.state.ri.us.

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits

Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

The Budget

Department Of Transportation Central Management

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	4,421,464	4,840,439	12,503,313	10,797,176	11,868,811
Total Expenditures	\$4,421,464	\$4,840,439	\$12,503,313	\$10,797,176	\$11,868,811
Expenditures By Object					
Personnel	1,549,376	2,330,807	1,478,482	1,688,840	1,776,151
Operating Supplies and Expenses	696,912	341,806	522,331	524,076	569,936
Assistance and Grants	2,124,133	2,001,492	4,480,500	4,061,760	4,000,224
Subtotal: Operating Expenditures	4,370,421	4,674,105	6,481,313	6,274,676	6,346,311
Capital Purchases and Equipment	51,043	164,434	6,022,000	4,522,500	5,522,500
Operating Transfers	-	1,900	-	-	-
Total Expenditures	\$4,421,464	\$4,840,439	\$12,503,313	\$10,797,176	\$11,868,811
Expenditures By Funds					
Federal Funds	3,733,622	4,059,174	11,394,390	9,515,478	10,515,473
Other Funds	687,842	781,265	1,108,923	1,281,698	1,353,338
Total Expenditures	\$4,421,464	\$4,840,439	\$12,503,313	\$10,797,176	\$11,868,811
Program Measures					
Fatality Rate/100 Million VMT	N/A	N/A	N/A	N/A	N/A
Objective	1.01	1.01		0.99	0.99
Average Number of Days to Resolve Complaint	27	29	N/A	N/A	N/A
Objective	N/A	N/A		N/A	N/A
Number of Non-Compliant Issues Identified	N/A	N/A	17	17	N/A
Objective	N/A	N/A		N/A	N/A

Personnel

Department Of Transportation Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Deputy Director (DOT)	00143A	1.0	144,849	1.0	150,114
Admin For Policy & Com Aff (DOT)	00139A	1.0	106,717	1.0	106,717
Admnstr For Property/ Real Estate	00139A	1.0	106,169	1.0	106,169
Deputy Chief of Legal Services	00137A	1.0	95,213	1.0	97,308
Chf Public Affairs Officer (DOT)	00137A	1.0	93,527	1.0	93,527
Chf Facilities Mgmt Officer	02835A	1.0	91,085	1.0	91,085
Chief State Highway Safety	00136A	1.0	88,926	1.0	92,179
Programming Services Officer	00131A	1.0	78,021	1.0	78,021
Legislative Liaison Officer	00131A	1.0	75,585	1.0	75,585
Senior Legal Counsel	00134A	1.0	73,789	1.0	73,789
Chief Implementation Aide	00128A	1.0	68,640	1.0	68,640
Highway Safety Prgm Coord (DOT)	02831A	4.0	271,412	4.0	278,799
Principal Research Technician	00127A	2.0	127,866	2.0	133,166
Chf Of Info & Public Relations	00129A	1.0	59,923	1.0	62,829
Legal Counsel	00132A	0.6	35,854	0.6	35,854
Asst Administrative Officer	00021A	1.0	52,801	1.0	52,801
Clerk Secretary	00K16A	1.0	49,415	1.0	49,415
Legal Assistant	00019A	1.0	48,978	1.0	48,978
Implementation Aide	00122A	1.0	48,337	1.0	49,611
Senior Accountant	00123A	1.0	48,119	1.0	48,119
Sr Information & Public Relations Spec	00124A	1.0	45,177	1.0	46,595
Executive Assistant	00118A	2.0	88,885	2.0	89,301
Data Control Clerk	00015A	1.0	42,998	1.0	42,998
Information & Public Relations Spec	00121A	1.0	40,788	1.0	41,786
Sr Word Processing Typist	00012A	1.0	38,438	1.0	38,438
Subtotal		29.6	\$2,021,512	29.6	\$2,051,824
Unclassified					
Director, Department of Transportation	00947KF	1.0	134,640	1.0	134,640
Executive Legal Counsel	00839A	1.0	102,020	1.0	102,020
Administrative Assistant	00825A	1.0	56,265	1.0	56,265
Subtotal		3.0	\$292,925	3.0	\$292,925
Cost Allocation to Infrastructure Engineering Turnover		-	(1,123,488)	-	(1,081,136)
		-	(219,310)	-	(224,992)
Subtotal		-	(\$1,342,798)	-	(\$1,306,128)
Total Salaries		32.6	\$971,639	32.6	\$1,038,621
Benefits					
Defined Contribution Plan		-	-	-	10,386
FICA		-	72,477	-	75,192
Medical		-	116,436	-	123,470
Payroll Accrual		-	-	-	6,219
Retiree Health		-	68,661	-	71,248
Retirement		-	229,994	-	219,969
Subtotal		-	\$487,568	-	\$506,484

Personnel

Department Of Transportation Central Management

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		32.6	\$1,459,207	32.6	\$1,545,105
Cost Per FTE Position			\$44,761		\$47,396
Statewide Benefit Assessment		-	37,533	-	38,946
Subtotal		-	\$37,533	-	\$38,946
Payroll Costs		32.6	\$1,496,740	32.6	\$1,584,051
Purchased Services					
Design and Engineering Services		-	15,000	-	15,000
Legal Services		-	45,000	-	45,000
Management and Consultant Services		-	85,000	-	85,000
Other Contract Services		-	47,100	-	47,100
Subtotal		-	\$192,100	-	\$192,100
Total Personnel		32.6	\$1,688,840	32.6	\$1,776,151
Distribution By Source Of Funds					
Federal Funds		5.0	569,980	5.0	586,904
Other Funds		27.6	1,118,860	27.6	1,189,247
Total All Funds		32.6	\$1,688,840	32.6	\$1,776,151

The Program

Department Of Transportation Management and Budget

Program Mission

To provide the necessary support staff and systems needed to ensure efficient program services.

Program Description

The Management and Budget Program consists of seven functional units:

The Office of Contracts and Specifications develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours for engineering projects. Management Information Services (MIS) is responsible for the automated telephone system and computer related services which includes network communication, custom programming, software installation, computer purchases, inventory maintenance, on-line help desk, Geographic Informational Systems (GIS) data integration/mapping and the management of consultants developing custom applications. Financial Management is responsible for the preparation and processing of all fiscal materials. The Assistant Director serves as the Chief Financial Officer (CFO) with oversight over all financial transactions. The Office is charged with developing overall agency policies, guidelines, and procedures for budget administration, financial reporting, and financial management systems. Fleet Operations is responsible for development and enhancement of the department's vehicle fleet. Specific functions include continual monitoring and review of vehicle maintenance costs and records for improved vehicle history and analysis, and vehicle maintenance and inspections. Other functions include the supervision of the Vehicle & Safety Program. The Office of Business and Community Resources is charged with overseeing the department's role in all Disadvantaged Business Enterprise (DBE) programs, which includes supportive services, On-The-Job Training (OJT), the Transportation and Civil Engineering program (TRAC), and other educational, training, and outreach programs targeted to underrepresented groups in the Rhode Island Transportation Industry. External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments. The Federal Programs Section is chiefly responsible for managing all federally reimbursable costs attributed to the Highway Program and the Federal Highway Administration (FHWA). It also administers the annual Federal Highway Program as it relates to the Transportation Improvement Program (TIP).

Statutory History

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

The Budget

Department Of Transportation Management and Budget

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	354,065	424,958	1,176,686	1,510,979	1,937,648
Total Expenditures	\$354,065	\$424,958	\$1,176,686	\$1,510,979	\$1,937,648
Expenditures By Object					
Personnel	133,846	85,824	801,061	1,136,377	1,563,200
Operating Supplies and Expenses	232,537	233,993	301,625	300,602	300,448
Subtotal: Operating Expenditures	366,383	319,817	1,102,686	1,436,979	1,863,648
Capital Purchases and Equipment	(12,318)	105,141	74,000	74,000	74,000
Total Expenditures	\$354,065	\$424,958	\$1,176,686	\$1,510,979	\$1,937,648
Expenditures By Funds					
Other Funds	354,065	424,958	1,176,686	1,510,979	1,937,648
Total Expenditures	\$354,065	\$424,958	\$1,176,686	\$1,510,979	\$1,937,648
Program Measures					
Value of Construction Related Projects Awarded	\$71,039,177	\$158,742,521	\$49,152,973	\$49,152,973	N/A
Objective	N/A	N/A		N/A	N/A
Number of Construction Related Projects Awarded	43	63	28	28	N/A
Objective	N/A	N/A		N/A	N/A
Average Number of Days from the Bid Opening Date to the Award Date for Construction Related Projects	60	47	40	40	N/A
Objective	60	45		45	45

Personnel

Department Of Transportation Management and Budget

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Assoc Director (Fin Mgmt)	00144A	1.0	129,687	1.0	129,687
Contracts/Specs & Admin (DOT)	00139A	1.0	120,459	1.0	120,459
Asst Dir For Admistr Svcs (DOT)	00143A	1.0	118,440	1.0	118,440
Administrator, Highway & Bridge Construct	00140A	1.0	112,746	1.0	112,746
Administrator, Financial Management	00137A	2.0	175,751	2.0	178,737
Asst Admin, Financial Mgmt	00134A	2.0	168,920	2.0	168,920
Senior Internal Audit Manager (DOA)	00140A	1.0	83,994	1.0	88,481
Internal Audit Manager	00136A	3.0	250,607	3.0	253,163
Prin Program Analyst	00K28A	1.0	72,189	1.0	73,083
Chief Preaudit Supervisor	02831A	1.0	71,019	1.0	71,019
Supervising Accountant	02831A	2.0	136,793	2.0	139,087
Fiscal Management Officer	00K26A	6.0	405,644	6.0	409,963
Investigative Auditor	00133A	2.0	125,436	2.0	129,998
Prin Accounting Policy & Method Analyst	00028A	1.0	57,084	1.0	59,475
Implementation Aide	00122A	1.0	55,006	1.0	55,006
Asst Administrative Officer	00021A	2.0	101,210	2.0	103,050
Senior Accountant	00023A	2.0	93,737	2.0	97,080
Executive Assistant	00118A	1.0	42,522	1.0	42,522
Billing Spec. (DOT)	00018A	6.0	251,524	6.0	253,745
Fiscal Clerk	00014A	1.0	34,789	1.0	35,412
Subtotal		38.0	\$2,607,557	38.0	\$2,640,073
Cost Allocation to Infrastructure Engineering		-	(1,562,086)	-	(1,521,416)
Turnover		-	(392,950)	-	(219,016)
Subtotal		-	(\$1,955,036)	-	(\$1,740,432)
Total Salaries		38.0	\$652,521	38.0	\$899,641
Benefits					
Defined Contribution Plan		-	-	-	8,996
FICA		-	49,042	-	68,167
Medical		-	107,337	-	186,806
Payroll Accrual		-	-	-	5,313
Retiree Health		-	44,762	-	61,713
Retirement		-	149,949	-	190,533
Subtotal		-	\$351,090	-	\$521,528
Total Salaries and Benefits		38.0	\$1,003,611	38.0	\$1,421,169
Cost Per FTE Position			\$26,411		\$37,399
Statewide Benefit Assessment		-	24,472	-	33,737
Temporary and Seasonal		-	21,294	-	21,294
Subtotal		-	\$45,766	-	\$55,031
Payroll Costs		38.0	\$1,049,377	38.0	\$1,476,200

Personnel

Department Of Transportation Management and Budget

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Purchased Services					
Design and Engineering Services		-	27,000	-	27,000
Information Technology		-	45,000	-	45,000
Management and Consultant Services		-	15,000	-	15,000
Subtotal		-	\$87,000	-	\$87,000
Total Personnel		38.0	\$1,136,377	38.0	\$1,563,200
Distribution By Source Of Funds					
Other Funds		38.0	1,136,377	38.0	1,563,200
Total All Funds		38.0	\$1,136,377	38.0	\$1,563,200

The Program

Department Of Transportation Infrastructure-Engineering

Program Mission

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

Program Description

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Traffic Management, Environmental and Intermodal Planning, and Capital Programming. Transportation Development is responsible for the development of projects, from initial scoping through construction and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section includes the Materials and Survey Units and is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million. The Materials Unit is responsible for testing and verifying that construction materials are in compliance with established standards. The Central Surveying functions include construction layout and the dissemination of survey information. The Engineering Section or Design is responsible for maintaining a comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds for the construction and reconstruction of Rhode Island's state-owned and maintained highways, bridges, intersections, and bicycle and pedestrian paths. Traffic Management is responsible for managing the department's database and information management systems as they relate to traffic research. The Environmental and Intermodal Planning Unit is charged with developing an integrated transportation system that provides convenient transition between mode shifts through the development and implementation of planning projects in the areas of rail, water, bicycle/pedestrian transportation, and transit. The unit is also responsible for strengthening the department's compliance efforts with respect to environmental issues. The Capital Programming Unit develops and monitors the Capital Transportation Program to meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP).

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

The Budget

Department Of Transportation Infrastructure-Engineering

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	332,539,339	292,858,953	368,548,394	389,026,690	433,643,575
Total Expenditures	\$332,539,339	\$292,858,953	\$368,548,394	\$389,026,690	\$433,643,575
Expenditures By Object					
Personnel	80,605,120	71,367,962	83,176,193	88,583,707	89,424,525
Operating Supplies and Expenses	(2,884,113)	4,885,849	16,724,235	17,139,476	20,615,661
Assistance and Grants	67,026,659	15,068,024	63,582,745	46,411,214	27,782,762
Subtotal: Operating Expenditures	144,747,666	91,321,835	163,483,173	152,134,397	137,822,948
Capital Purchases and Equipment	116,129,737	97,947,599	105,117,681	135,076,310	194,002,894
Operating Transfers	71,661,936	103,589,519	99,947,540	101,815,983	101,817,733
Total Expenditures	\$332,539,339	\$292,858,953	\$368,548,394	\$389,026,690	\$433,643,575
Expenditures By Funds					
Federal Funds	238,562,505	225,940,683	298,601,189	328,941,700	351,818,295
Restricted Receipts	757,110	187,949	1,000,000	998,891	998,758
Operating Transfers from Other Funds	3,245,321	-	70,000	110,267	70,000
Other Funds	89,974,403	66,730,321	68,877,205	58,975,832	80,756,522
Total Expenditures	\$332,539,339	\$292,858,953	\$368,548,394	\$389,026,690	\$433,643,575
Program Measures					
Percentage of Highway/Roads in Good Condition Based on Composite Pavement Smoothness Rating	N/A	N/A	N/A	N/A	N/A
Objective	N/A	N/A		40.0%	40.0%
Percent of Bridges Rated in Good Condition	N/A	N/A	49.5%	49.5%	N/A
Objective	N/A	50.0%		50.0%	52.0%
Percent of Construction Projects on Schedule	N/A	N/A	60.38%	60.38%	N/A
Objective	N/A	N/A		65.0%	67.5%
Draw Down of Federal Funds	N/A	N/A	N/A	N/A	N/A
Objective	N/A	N/A		N/A	N/A

Personnel

Department Of Transportation Infrastructure-Engineering

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Chief Engineer (DOT)	00149A	1.0	158,114	1.0	158,114
Deputy Chief Engineer (DOT)	00145A	2.0	280,262	2.0	280,262
Transportation Support Administrator	00145A	1.0	139,537	1.0	140,779
Associate Chief Engineer	00143A	1.0	129,084	1.0	129,084
Managing Engineer (DOT)	00141A	7.0	748,369	7.0	757,345
Chf Civil Engr (Tran Planning)	00138A	1.0	103,817	1.0	103,817
Chf Civil Engr (Bridge Design)	00138A	1.0	103,615	1.0	103,615
Chf Civil Engr (Road Design)	00138A	3.0	295,900	3.0	299,180
Assistant Chief of Planning	00137A	1.0	96,593	1.0	96,593
Mgr Of Survey Operations (DOT)	00135A	1.0	95,451	1.0	95,451
Admstr Extnl Cvl Rigt Prgm	00136A	1.0	93,261	1.0	93,261
Chief Facilities Management Officer (DOT)	02835A	1.0	92,718	1.0	92,718
Sprv Civil Engr (Const & Maint)	02835A	1.0	92,656	1.0	92,656
Administrator, Highway & Bridge Construct. Ops.	00140A	1.0	92,447	1.0	92,447
Programmer/Analyst III(Oracle)	02835A	1.0	90,190	1.0	90,190
Health And Safety Officer (DOT)	00133A	1.0	86,562	1.0	86,562
Chf Of Elec & Trff Cnstr Projt	02833A	1.0	86,076	1.0	86,076
Prin Civil Engr (Cont & Maint)	00033A	7.0	601,218	7.0	605,911
Prin Civil Engnr (Materials)	00033A	8.0	685,428	8.0	685,428
Industrial Rep (Bus & Indust)	00131A	1.0	85,451	1.0	85,451
Database Administrator ADABAS/Natural	02835A	1.0	85,416	1.0	85,416
Chf Civil Engr (Const & Maint)	00138A	6.0	511,833	6.0	520,056
Supr Civil Engr (Bridge Design)	02835A	2.0	169,402	2.0	171,690
Hwgy Const & Maint Oper Mgr	00033A	3.0	253,074	3.0	253,074
Prin Civil Engnr (Design)	00033A	12.0	1,004,898	12.0	1,010,280
Suprvg Historic Pres Spec	00031A	1.0	82,317	1.0	82,317
Chf Real Estate Specialist (DOT)	00032A	1.0	82,151	1.0	82,151
Real Estate Appraiser III	00032A	1.0	81,040	1.0	81,040
Proff Land Surveyor	00032A	3.0	242,975	3.0	243,435
Princ Prop Mgmt Officer	02832A	2.0	160,021	2.0	160,021
Sr Civil Engr (Trans Planning)	00031A	1.0	79,699	1.0	79,699
Deputy Chf Of Legal Services	00137A	3.0	235,350	3.0	241,525
Mgr St Traffic Operat Ctr (DOT)	02835A	1.0	77,660	1.0	79,084
Programmer/Analyst II(Oracle)	00032A	1.0	77,335	1.0	81,291
Prin Environmental Scientist	02832A	1.0	77,074	1.0	77,074
Programming Services Officer	00131A	2.0	153,185	2.0	153,185
Chief Program Developer	00134A	2.0	151,277	2.0	154,595
Principal Chemist	00029A	1.0	74,724	1.0	74,724
Principal Planner	00029A	2.0	148,370	2.0	149,041
Chief Compliance Inspector (Health & Safety)	00030A	1.0	74,168	1.0	74,168
Prin Real Estate Specialist	00029A	3.0	219,257	3.0	222,636
Supvsg Planner	02831A	2.0	145,800	2.0	146,210
Sr Civil Engnr (Const & Maint)	00031A	12.0	873,966	12.0	881,397
Real Estate Appraiser II	00029A	2.0	145,212	2.0	145,212

Personnel

Department Of Transportation Infrastructure-Engineering

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Supvr,Materials Standards Compl	00031A	3.0	217,314	3.0	224,220
Sr Civil Engrn (Design)	00031A	18.0	1,296,065	18.0	1,314,018
Supvrg Electrical Inspector	00028A	1.0	71,518	1.0	71,518
Principal Auditor	00028A	1.0	70,210	1.0	71,438
Prin Historic Pres Specialist	00028A	1.0	70,079	1.0	70,079
Dept Budget Administrator	00134A	1.0	69,599	1.0	72,146
Engrng Tech IV (Adminstr Svr)	00027A	2.0	137,879	2.0	137,879
Senior Legal Counsel	00134A	2.0	136,754	2.0	140,918
Engrng Tech IV (Const & Maint)	00027A	25.0	1,705,613	25.0	1,708,505
Supr Civil Engr (Traffic Design)	00135A	1.0	67,729	1.0	67,729
Engrng Tech IV (Natur Resources)	00027A	1.0	67,605	1.0	67,605
Chief Standars & Inspection	02831A	2.0	134,849	2.0	136,960
Sprvg Landscape Architect	00032A	1.0	67,120	1.0	68,459
Engr Tech IV (Materials)	00027A	3.0	199,371	3.0	201,450
Asst Admin Financial Mgmt	00134A	1.0	66,285	1.0	68,710
Geographic Info Sys Spec II	00028A	4.0	263,364	4.0	265,220
Sr Planner	00026A	2.0	131,338	2.0	131,338
Survey Party Chief	00026A	2.0	130,549	2.0	130,549
State Fleet Operations Officer	00030A	1.0	65,058	1.0	68,026
Sr Industrial Safety Specialist	00025A	1.0	64,996	1.0	64,996
Monitor Advocate/Chp Coord	00028A	1.0	64,413	1.0	64,413
Sr External Equal Opp Comp Off	00027A	5.0	321,510	5.0	325,991
Chief Implementation Aide	00128A	1.0	64,163	1.0	64,163
Sr Auditor	00025A	3.0	186,608	3.0	187,656
Community Liaison Officer	00024A	1.0	61,874	1.0	62,525
Office Manager	00123A	3.0	184,069	3.0	185,616
Legal Counsel	00132A	1.0	60,329	1.0	62,536
Sr Environmental Scientist	00030A	1.0	60,192	1.0	62,369
Asst Building & Grounds Off	00024A	1.0	59,935	1.0	59,935
Senior Draftsperson	00023A	1.0	59,517	1.0	59,517
Real Estate Appraiser I	00027A	2.0	118,156	2.0	118,156
Sr Civil Engr (Materials)	00031A	4.0	236,089	4.0	245,803
Sr Real Estate Specialist	00026A	3.0	176,199	2.0	128,822
Geographic Info Sys Spec I	00024A	1.0	58,698	1.0	58,698
Sr Photographic Specialist	00024A	2.0	117,374	2.0	117,374
Supervising Bridge Safety Inspector	00027A	2.0	117,294	2.0	119,203
Engr Tech III (Adminstr Svrs)	00023A	4.0	232,302	4.0	234,179
Environmental Scientist	00026A	2.0	116,051	2.0	116,051
Bridge Safety Inspector	00023A	3.0	172,816	3.0	173,309
Assistant Legal Counsel	00822A	1.0	57,438	1.0	57,438
Planner	00022A	2.0	113,221	2.0	113,221
Engr Tech III (Materials)	00023A	25.0	1,413,174	24.0	1,372,038
Engineering Tech IV (Const Rcrd)	00027A	3.0	167,935	3.0	171,239
Engr Tech III (Const & Maint)	00023A	29.0	1,599,099	29.0	1,621,672
Electrical Inspector (Trans)	00023A	5.0	272,394	5.0	272,537

Personnel

Department Of Transportation Infrastructure-Engineering

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Civil Engineer	00027A	20.0	1,083,046	20.0	1,118,987
Programmer/Analyst I (Oracle)	00028A	1.0	53,133	1.0	55,035
Engr Tech III (Construction Records)	00023A	3.0	156,559	3.0	160,788
Engr Tech II (Administratv Svs)	00019A	1.0	51,553	1.0	51,553
Auditor	00K22A	5.0	256,315	5.0	259,128
Business Mangement Officer	00026A	4.0	204,117	4.0	212,537
Real Estate Specialist	00023A	4.0	203,924	5.0	256,772
Sr Landscape Architect	00026A	1.0	50,700	1.0	52,960
Civil Engineering Associate	00025A	8.0	398,642	8.0	407,161
Asst Administrative Officer	00021A	2.0	98,452	2.0	98,452
Engr Tech II (Survey)	00019A	1.0	49,096	1.0	49,096
Information Services Technician II	00020A	2.0	97,066	2.0	97,801
Billing Spec. (DOT)	00018A	1.0	47,697	1.0	47,697
Systems Support Technician I	03418A	1.0	47,243	1.0	47,779
Engr Tech II (Const & Maint)	00019A	29.0	1,343,839	29.0	1,352,638
Records Analyst	00024A	1.0	44,909	1.0	46,316
Automotive Service Specialist	00018A	1.0	44,652	1.0	44,652
Executive Assistant	00118A	2.0	87,487	2.0	88,678
Clerk Secretary	00K16A	5.0	218,172	5.0	222,684
Engr Tech II (Materials)	00019A	9.0	383,799	10.0	438,511
Engineering Tech II (Constr Rec)	00019A	3.0	127,881	3.0	131,619
Historic Preservation Specialist	00021A	1.0	42,220	1.0	43,590
External Equal Compliance Officer	00023A	1.0	42,006	1.0	43,321
Communications Sys Oper (DOT)	03416A	9.0	359,355	9.0	362,531
Legal Assistant	00019A	1.0	39,747	1.0	40,951
Semi-Skilled Laborer	00310G	1.0	39,446	1.0	39,446
Sr Word Processing Typist	00012A	2.0	73,713	2.0	74,294
Engineering Technician I	00013A	68.0	2,428,594	68.0	2,463,897
Information Services Tech I	00016A	1.0	35,141	1.0	35,121
Principal Clerk Typist	00012A	1.0	33,215	1.0	33,764
Subtotal		476.0	\$28,628,817	476.0	\$28,938,244
Cost Allocation from Central Management		-	1,123,488	-	1,081,136
Cost Allocation from Infrastructure Engineering		-	1,487,647	-	1,440,767
Cost Allocation from Management and Budget		-	1,562,086	-	1,521,416
Cost Allocation to Infrastructure Engineering		-	(1,487,647)	-	(1,440,767)
Overtime		-	1,432,518	-	1,673,905
Turnover		-	(1,453,817)	-	(1,377,789)
Subtotal		-	\$2,664,275	-	\$2,898,668
Total Salaries		476.0	\$31,293,092	476.0	\$31,836,912

The Program

Department Of Transportation Infrastructure-Maintenance

Program Mission

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

Program Description

The Division of Maintenance is responsible for the routine maintenance of approximately 3,300 lane miles of state highways, 1,153 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Employee Relations Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Employee Relations Office is responsible for all personnel matters of the Highway and Bridge Maintenance Section including, but not limited to, labor relations, Workers' Compensation, and training. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, contract preparation, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles. R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads. R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

The Budget

Department Of Transportation Infrastructure-Maintenance

	2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
Expenditures By Subprogram					
Operations	38,626,349	47,091,195	44,758,540	39,520,143	44,823,876
Total Expenditures	\$38,626,349	\$47,091,195	\$44,758,540	\$39,520,143	\$44,823,876
Expenditures By Object					
Personnel	15,460,861	17,398,988	18,086,570	17,890,944	18,853,727
Operating Supplies and Expenses	19,964,121	25,696,230	22,810,470	17,722,529	20,362,574
Assistance and Grants	115,555	474,261	492,500	497,500	498,525
Subtotal: Operating Expenditures	35,540,537	43,569,479	41,389,540	36,110,973	39,714,826
Capital Purchases and Equipment	2,685,253	2,594,490	3,368,000	3,208,170	5,108,050
Operating Transfers	400,559	927,226	1,000	201,000	1,000
Total Expenditures	\$38,626,349	\$47,091,195	\$44,758,540	\$39,520,143	\$44,823,876
Expenditures By Funds					
Operating Transfers from Other Funds	2,795,200	2,533,723	3,197,000	3,237,170	4,937,050
Other Funds	35,831,149	44,557,472	41,561,540	36,282,973	39,886,826
Total Expenditures	\$38,626,349	\$47,091,195	\$44,758,540	\$39,520,143	\$44,823,876
Program Measures					
Percent of Bare Pavement Achieved*	85.0-90.0%	85.0-90.0%	N/A	N/A	N/A
Objective	90.0%	90.0%		90.0%	90.0%
Average Number of Days to Resolve Complaints*	N/A	N/A	N/A	N/A	N/A
Objective	N/A	N/A		N/A	N/A
Number of Catch Basins Cleaned*	4.0%	4.0%	N/A	N/A	N/A
Objective	N/A	N/A		15.0%	25.0%

Personnel

Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Classified					
Adminstr, Hwy & Brdg Maint	00145A	1.0	140,774	1.0	140,774
Managing Engineer (DOT)	00141A	1.0	118,717	1.0	118,717
Deputy Adminstr, Hwy & Brdg Maint	00140A	1.0	97,069	1.0	97,069
Hwgy Const & Maint Oper Mgr	02833A	1.0	83,725	1.0	83,725
Chf Hwgy Maint Field Oper	02835A	2.0	148,990	2.0	156,911
Programming Services Officer	00131A	1.0	71,937	1.0	71,937
Fleet Management Officer (DOT)	02833A	2.0	141,453	2.0	145,568
Supervising Electrical Inspector	02928A	1.0	69,582	1.0	69,582
Chief Implementation Aide	00128A	1.0	67,180	1.0	67,180
Business Management Officer	02926A	1.0	62,665	1.0	62,665
Hwy Maint Superintendent (Traffic)	02925A	1.0	61,716	1.0	61,865
Hwy Maint Superintendent (Bridges)	02925A	1.0	60,483	1.0	60,483
Sr Civil Engineer (Const & Maint)	00031A	2.0	119,988	2.0	121,579
Highway Mant Superintendent (Roads)	02925A	7.0	407,449	7.0	413,612
Engr Tech III (Const & Maint)	02923A	2.0	114,297	2.0	114,434
Supervising Preaudit Clerk	02921A	1.0	53,017	1.0	53,017
Electrical Inspector	03423A	9.0	469,749	9.0	471,162
Asst Adm Off	03421A	1.0	50,969	1.0	51,679
Chf Of Mtr Pool & Maintenance	02926A	1.0	48,225	1.0	51,431
Billing Spec. (DOT)	03418A	3.0	143,928	3.0	143,928
Highway Graphics Technician	03420A	3.0	139,598	3.0	139,598
Road Maintenance Superv (Bridges)	02919A	2.0	91,107	2.0	91,107
Maintenance Superintendent	02922A	1.0	45,280	1.0	49,120
Executive Assistant	00118A	2.0	90,106	2.0	90,106
Road Maintenance Suprvr (Roads)	02919A	18.0	810,003	18.0	816,629
Sr Landscape Architect	02426A	1.0	44,956	1.0	44,956
Diesel Truck&Hvy Equip Mechanic	00318A	9.0	390,641	9.0	416,592
Warehouse Supervisor	02915A	1.0	42,414	1.0	42,414
Highway Maintenance Operator II	00318G	22.0	930,760	22.0	938,378
Prop Contrl & Supply Officer	02917A	2.0	84,579	2.0	84,579
Laborer Supervisor	02913G	1.0	41,334	1.0	41,334
Mechanical Parts Storekeeper	00313A	7.0	274,650	7.0	274,650
Bridge Maintenance Worker	03417G	12.0	469,207	12.0	471,966
Mason	00314G	7.0	270,222	7.0	274,040
Fiscal Clerk	03414A	1.0	38,578	1.0	38,578
Highway Maintenance Operator I	00314G	86.0	3,213,918	86.0	3,242,281
Motor Equipment Operator	00311G	2.0	72,690	2.0	72,690
Landscape Maintenance Technician	03416A	2.0	70,262	2.0	70,262
Carpenter	03414G	2.0	68,882	2.0	69,687
Semi-Skilled Laborer	03410G	4.0	130,629	4.0	131,236
Sr Janitor	03412A	1.0	31,534	1.0	32,060
Subtotal		226.0	\$9,883,263	226.0	\$9,989,581

Personnel

Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Overtime		-	1,603,000	-	1,964,000
Turnover		-	(711,231)	-	(630,871)
Subtotal		-	\$891,769	-	\$1,333,129
Total Salaries		226.0	\$10,775,032	226.0	\$11,322,710
Benefits					
Contract Stipends		-	34,000	-	34,000
Defined Contribution Plan		-	-	-	93,587
FICA		-	829,173	-	871,224
Holiday Pay		-	11,500	-	10,909
Medical		-	2,716,048	-	3,043,684
Payroll Accrual		-	-	-	55,334
Retiree Health		-	652,200	-	665,002
Retirement		-	2,272,997	-	2,150,279
Subtotal		-	\$6,515,918	-	\$6,924,019
Total Salaries and Benefits		226.0	\$17,290,950	226.0	\$18,246,729
Cost Per FTE Position			\$76,509		\$80,738
Statewide Benefit Assessment		-	343,958	-	350,962
Temporary and Seasonal		-	101,036	-	101,036
Subtotal		-	\$444,994	-	\$451,998
Payroll Costs		226.0	\$17,735,944	226.0	\$18,698,727
Purchased Services					
Design and Engineering Services		-	139,000	-	139,000
Other Contract Services		-	16,000	-	16,000
Subtotal		-	\$155,000	-	\$155,000
Total Personnel		226.0	\$17,890,944	226.0	\$18,853,727
Distribution By Source Of Funds					
Other Funds		226.0	17,890,944	226.0	18,853,727
Total All Funds		226.0	\$17,890,944	226.0	\$18,853,727

Department Of Transportation Performance Measure Narratives

Central Management

Fatality Rate/100 Million VMT

This metric is tracked by the Office of Highway Safety. This metric measures the number of fatalities per 100 million vehicles miles traveled (VMT) and it is reviewed monthly. The data is published on an annual basis as part of the Highway Safety Performance Annual Report. The most current data is from calendar year 2009 which had a fatality rate of 1.01/100 Million VMT.

Average Number of Days to Resolve Complaint

This is a new metric which will be tracked by the Inter-Governmental Relations Section. This metric measures the average number of days it takes to resolve a complaint received by Customer Service. While all complaints are followed up by an automatic e-mail to the complainant the same day, a complaint is not considered resolved until a plan of action is communicated to the complainant.

Note: RIDOT is currently analyzing the Customer Complaint database to establish statistical information.

Number of Non-Compliant Issues Identified

This is a new metric which will be tracked by the Quality Compliance and Review Section. This metric measures the number of non-compliant issues identified during a site visit for active construction projects. The issues which are identified are categorized as either safety or quality issues and require corrective action. This metric will be updated on a monthly basis starting with FY 12.

Note: Data for FY 12 July to September

Management and Budget

Value of Construction Related Projects Awarded

This metric is tracked by the Contract Administration Section on a weekly basis. This metric measures the value of construction projects which were awarded based on the issue date of the Notice of Contract Purchase Agreement.

Note: Data for FY 2012 July to October.

Number of Construction Related Projects Awarded

This metric is tracked by the Contract Administration Section on a weekly basis. This metric measures the number of construction projects which were awarded based on the issue date of the Notice of Contract Purchase Agreement.

Average Number of Days from the Bid Opening Date to the Award Date for Construction Related Projects

This metric is tracked by the Contract Administration Section on a weekly basis. This metric measures the average number of days it takes from the bid opening to the award date for construction contracts.

Note: Data for FY 2012 July to October

Department Of Transportation Performance Measure Narratives

Infrastructure-Engineering

Percentage of Highway/Roads in Good Condition Based on Composite Pavement Smoothness Rating

This is a new metric which will be tracked by the Traffic Engineering Section on a monthly basis. This metric is part of the Highway Pavement Management System of Federal-Aid Systems. This information is used to present pavement condition maps, pavement condition distributions, and for input into a program to determine pavement rehabilitation and pavement preservation projects that maximize benefits relative to cost.

Percent of Bridges Rated in Good Condition

This metric is tracked by the Bridge Engineering Section on a monthly basis. This metric is part of the information contained in the Bridge Management System (PONTIS). The purpose of this metric is to monitor the percent of bridge which are rated in good condition as compared to the bridges which are structurally deficient and functionally obsolete.

*Note: Data for FY 12 July to September

Percent of Construction Projects on Schedule

This is a new metric which will be extracted from the data included in the Construction Management Monthly Report. This metric will be based on the number of projects ahead of or on schedule as compared to the number of active projects.

Draw Down of Federal Funds

This new metric will measure the percent of federal funds drawn down based on availability of funds.

Department Of Transportation Performance Measure Narratives

Infrastructure-Maintenance

Percent of Bare Pavement Achieved*

This is a new metric which will be tracked seasonally by the Maintenance Section. The data is based on the percent of bare pavement which is achieved four (4) hours after the last snowflake falls.

*Note: The Asset Management software (View Works) is anticipated to be implemented by FY 13. This software is needed to accurately monitor and track this metric.

Average Number of Days to Resolve Complaints*

This is a new metric which will be tracked monthly by the Maintenance Section.

* The Asset Management software (View Works) is anticipated to be implemented by FY 13. This software is needed to accurately monitor and track this metric.

Number of Catch Basins Cleaned*

This is a new metric which will be tracked monthly by the Maintenance Section.

* There are estimated to be over 25,000 catch basins to be cleaned.

* RIDOT is in violation of the Clean Water Act which Mandates that 100% of the catch basins should be cleaned every year.

*RIDOT anticipates the rollout of a programmatic approach toward reaching 100% compliance within four years of Stat Fiscal Year 2013.

* The Asset Management software (View Works) is anticipated to be implemented by FY13. This software is needed to accurately monitor and track this metric.

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Governor's Recommendations

Highway Improvement Program (HIP) The Governor recommends the issuance of \$40.0 million of previously authorized general obligation bonds and \$49.5 million in previously issued general obligation bonds; combined with a total of \$20.0 million of new bond referenda; and \$12.0 million of land sale revenue as state matching funds for a total of \$1,306.0 million of federal highway funds from FY 2012 to FY 2017. Additional revenues totaling \$63.0 million will flow from a new revenue source for the Department of Transportation beginning in FY 2014. An increase in the DMV's fees for registrations for all vehicles and licenses will be allocated to the Rhode Island Highway Maintenance Trust Fund within the General Fund, beginning in FY 2014 and phasing in over three years through FY 2016. Forecasted receipts for the fee increases are \$7.0 million for FY 2014, \$14.0 million for FY 2015, and \$21.0 million for FY 2016 and thereafter. The funds in the Rhode Island Highway Maintenance Trust Fund will be utilized to reduce the issuance of new general obligation bonds. By FY 2016, no general obligation debt will be utilized for the department, with the last bond referendum for Transportation taking place in FY 2012 for \$20.0 million, \$14.0 million for FY 2014, and \$6.0 million for FY 2015. \$20.0 million of RICAP funds each year beginning in FY 2014 will be dedicated to reducing the general obligation debt used to finance the Department's match to the Federal Highway funds received.

The Governor recommended and the voters authorized \$80.0 million in November 2010 to match available federal funds or to provide direct funding for improvements to the State's highways, roads, and bridges over two years. The general obligation bond recommendation is based upon an increase in federal funds resulting from earmarks well as multi-year project costs schedules. These funds, along with other sources of matching funds will finance the Highway Improvement Program. Other sources of matching funds may include proceeds from the sale of real estate, Department of Environmental Management bonds for bike path construction, municipal contributions, and other funding mechanisms such as "soft match" and FHWA credits.

The HIP consists of approximately 200 projects scheduled for some phase of implementation during the next five years. Portions of each year's bond funds will be dedicated to individual projects being conducted separately from the Highway Improvement Program. These projects are detailed in this section. Included in the HIP are actual expenditures for the Warwick Intermodal Train Station project which is presented in detail under the Rhode Island Airport Corporation section as well as additional financing to be provided for the I-195, Quonset Access Road – RI 403, FRIP, and Sakonnet River Bridge projects.

FHWA projects within the TIP fall into the following categories:

Planning	Enhancement Program
Study & Development	Bridge Improvement
Highway Projects	Interstate Program
Pavement Management	Traffic/Safety Program
Bike/Pedestrian Program	Major Projects with
Administrative Program	Multi-year Funding
SAFETEA-LU Earmarks	Congestion Mitigation/ Air Quality (CMAQ)

The **Planning Program** involves long range planning, development of the TIP, environmental justice analysis and data collection efforts to support the highway program, among other activities.

Department of Transportation

The **Study and Development Program** assesses projects and develops sound cost estimates with public input. Projects in this program are prepared for the design phase.

The **Highway Projects Program** primarily involves projects that preserve and manage the existing system, without appreciable expansion of highway capacity.

The **Pavement Management Program** allows the DOT to pursue pavement treatment options such as crack sealing and micro-surfacing when such treatment is appropriate. Roadways included in this program include community requested projects and those identified in DOT's Pavement Management Program. DOT's evaluation for addressing road conditions is based on four sources of information: Pavement Management System data, public input, Maintenance Division input, and staff field review. The Department prioritizes and schedules the projects.

The **Bicycle/Pedestrian Program** includes the planning, design, and construction of pedestrian/sidewalk improvements, independent bike paths and walking trails, on-road bicycle lanes, on-road bicycle routes, and bike/pedestrian promotional programs.

The **Administrative Program** finances Equal Employment Opportunity activities and the Motor Fuel Tax Evasion Enforcement Effort, and provides for construction project modifications and change orders.

The **Enhancements Program** seeks to address environmental impacts on local communities from transportation and highway construction. This program dedicates specific funds that each state must use for enhancement projects. Enhancement projects must have a relationship to transportation and fall within at least one of an established list of categories.

The **Bridge Improvement Program and Interstate Program** focuses on system preservation. The DOT maintains over 1,100 bridges. Bridge projects originate from state assessments and community requests that are evaluated and prioritized through the Department's Bridge Management Program. The Interstate Program focuses Interstate system preservation and includes such projects as I-95 Bridge #550 in Pawtucket, I-295 Safety and Bridge Projects, and Dillons Corner and Pt. Judith bridges.

The **Traffic Safety Program** includes projects such as: traffic signal repair and construction, signing, striping, repair of damaged safety devices, traffic monitoring, modifications to arterial highways to improve traffic flow and reduce congestion, and traffic/safety design-related work. The Traffic Safety Program also finances projects aimed at improving highway lighting, high hazard intersections and ramps, repairing isolated drainage problems, and improving arterial and roadway signage.

The **Major Projects with Multiyear Funding** category encompasses those projects being advanced by the GARVEE and programs the use of federal funds for the reimbursement of GARVEE debt service. Additional funding to supplement GARVEE funding is also programmed for most of the projects and is included under the HIP, as well as the Warwick Intermodal Train Station project.

The **Congestion Mitigation/Air Quality Program** finances projects that expand or initiate transportation services with air quality benefits. Projects to be funded include RI Fast Ferry Facility Improvements at Quonset Point, the Transportation Management Center, the South County Commuter Rail Service, and Providence Traffic Signal Coordination projects.

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The **SAFETEA-LU Earmark Program** involves projects that have been identified in the Transportation Act and which DOT receives annual funding for to implement these specific projects. Projects include completion of four major independent bike paths (the Blackstone River Bicycle Facility, Northwest Bike Trail/Woonasquatucket River Greenway, South County Bike Path, and Washington Secondary Bicycle Facility, Providence Downtown Circulation Improvements, and Renovations to Rt. 138.

The Governor also recommends the inclusion of total of \$137.1 million of American Recovery and Reinvestment Act of 2009 funds, of which 50 percent had to be obligated by July 2009 for the Highway Improvement Program, including a total of \$124.6 million in pre-FY 2012 expenditures, \$9.5 million in FY 2012, and \$2.9 million in FY 2013. DOT has created a statewide, comprehensive stimulus project program, with a total of 66 projects. The funds will be exhausted in FY 2013.

GARVEE/Motor Fuel Bond Program

FY 2004 marked the beginning of a major effort on the part of Rhode Island government to address the rebuilding and modernization of the State's transportation systems. In December 2003, the State completed the first of a three-part bond transaction which authorizes \$709.6 million and programs \$704.0 million for five major infrastructure projects to be completed over an 8 ½ year period. This undertaking includes two financing mechanisms. The majority of the costs (\$598.4) will be financed through Grant Anticipation Revenue Vehicle bonds (GARVEE). GARVEE is a program approved by Congress that allows states to borrow funds, which are then backed and repaid by the annual allocation of Federal Highway Administration construction funds. The remaining costs (\$105.6 million) will be provided through Motor Vehicle Tax Revenue Bonds. These bonds are financed through a two-cent dedication of the Department's gasoline tax allocation. The State issued a third series of both the GARVEE and Motor Fuel Bonds program in 2009. With the established funding sources in place to meet the financing requirements of this borrowing, this program will have no fiscal impact to the State and offer no financial exposure to taxpayers other than the pledging of the FHWA and gas tax funds to repay the bonds.

The projects being financed under this program are the I-195 Relocation, Washington Bridge Reconstruction, a new Sakonnet River Bridge, and the Quonset Rt. 403 construction. Also being financed is the completion of the Freight Rail Improvement Program. Most of the projects participating in the GARVEE program have additional financing programmed as other highway funds. This additional source is identified under each appropriate GARVEE project for display purposes, but total costs have already been included in the HIP program which includes both federal highway funds and general obligation debt.

The debt service on the GARVEE bonds to be paid through the FHWA allocation in 2012 and 2013 is \$48.4 million each year. The gas tax funded debt service on the Motor Fuel Tax revenue bonds totals \$8.5 million in FY 2012 and FY 2013, 2.0 cents of the gas tax. The actual debt service for the two years is \$7.1 million in FY 2012 and FY 2013; with the difference held by the Trustee to be applied to the debt principal. Beginning in FY 2012, the funds held by the Trustee which exceeded required levels have been programmed for use in the projects as listed below.

Route I-195 Relocation Project – The cost for this project is \$426.8 million, which is comprised of \$292.9 million of GARVEE bonds, \$23.9 million in GARVEE residual earnings, \$67.3 million of Motor Fuel Revenue bonds, \$2.7 million in Motor Fuel Bond residuals, and \$40.0 million of land sale revenue. A total of \$623.6 million will be spent on the I-195 project when including \$196.8 million in other highway funds which is offset to avoid double counting. Prior to FY 2012, \$344.1 million has been spent on the

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Route 195, \$539.4 million when including \$195.3 million in other highway funds. In FY 2012, the Governor recommends total expenditures of \$37.4 million consisting of \$2.5 million in GARVEE residual earnings, \$30.0 million in GARVEE bonds, \$2.3 million in Motor Fuel Tax Revenue Bonds, and \$2.7 million in Motor Fuel residuals. Other Highway funds of \$1.0 million are offset to avoid double counting. For FY 2013, the Governor recommends total expenditures of \$25.7 million consisting of \$20.4 million in land sale revenue, and \$5.3 million in GARVEE bonds. Other Highway funds of \$500,000 are offset to avoid double counting. The Route I-195 Relocation Project, now known as the I-way, involves the relocation of a 45-year-old 1.6-mile stretch of Interstate 195 (I-195) and an adjacent 0.8-mile portion of Interstate 95 (I-95) through Providence. The freeway will be relocated 2,000 feet south from its current alignment and involves the construction of a new 8-lane interstate segment of highway (providing 25 lane-miles of new interstate), and a 1,200 foot long mainline bridge over the Providence River joining I-95 and I-195. The project includes a total of fourteen new bridges, five miles of new city streets, and 4,100 feet of new pedestrian river walks. In addition, removal of the existing interstate will free up 20 acres of prime downtown real estate. The project will compliment the recently completed river relocation, Waterplace Park and Memorial Boulevard projects that have revitalized downtown Providence. The centerpiece of the project is the four hundred foot long main span of the Providence River Bridge which is part of the new South Main Street ramp that was opened to motorists in November 2007. This represents the second phase of the project. The third and final phase of the project involves the demolition of the existing facility and reconnection of city streets by 2016.

Route 403/Quonset Project – The total cost for this project is \$114.5 million, \$197.7 million when \$83.2 million in other highway funds are included. In FY 2012, expenditures are estimated to be \$864,973; including \$530,229 in GARVEE bonds, \$32,703 in GARVEE residual earnings, and \$302,041 in Motor Fuel residuals. In FY 2013 (the final year of the project), expenditures are estimated to be \$1.5 million provided by Motor Fuel residuals. Prior to FY 2012, a total of \$112.2 million in GARVEE and Motor Fuel bonds, and residual earnings has been spent on this project as well as \$83.2 million in other highway funds. The proposed Route 403 project involved the construction of a limited access highway that connected the existing Route 4 freeway in East Greenwich with the Quonset Davisville Port and Commerce Park in North Kingstown (the “Quonset Industrial Park”). The project was undertaken in order to provide improved access to the Quonset Industrial Park and safely route traffic off residential streets. The 4.5 mile, 4-lane, controlled-access facility contains three interchanges at Route 4, West Davisville and Post Road, a total of 14.8 miles of roadways (including the main freeway and the ramps), 14 new bridges, two bridge rehabilitations, an extensive storm drainage and water quality treatment system, and environmental mitigation improvements.

New Sakonnet River Bridge Project – The total cost for this project is estimated to be \$142.4 million, including \$113.9 million in GARVEE bonds, \$15.3 million in GARVEE residual earnings, \$10.8 million in Motor Fuel Revenue bonds, and \$2.4 million in Motor Fuel Bond residuals. When additional financing provided by other highway funds is included the total project cost is \$231.3 million. At the project’s inception, an increase in the cost of the project was avoided by a sixty day review of the complete project, which provided a list of forty cost-reduction measures. Upon evaluation, twenty of these measures were adopted and a steel bridge replacement alternative selected over a concrete alternative saved the project a total of \$34.0 million. At this time, project costs have exceeded estimates, however, no additional costs are expected to be incurred as a result of any construction delays. In fact, the contract has been structured to assess penalties if work is not completed in a timely manner. Both discretionary and earmarked funding is being used for the project. In FY 2012, \$10.7 million is expected to be spent, including \$8.5 million in GARVEE bonds and \$2.1 million in GARVEE residual earnings. When other highway funds of \$24.6

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million are included, the total spending increases to \$35.3 million in FY 2012. In FY 2013, \$12.0 million in spending is expected. The financing of these expenditures will be \$9.6 million in GARVEE bonds and \$2.4 million in Motor Fuel Residual earnings. When other highway funds of \$16.3 million are included, the total spending increases to 28.3 million in FY 2013. The Department plans to request an amendment to the original GARVEE approval (as granted by Article 36 of the FY 2004 Budget as Enacted) to allow \$9.6 million of the GARVEE bond authorization approved for the Washington Bridge project to be applied to the Sakonnet River Bridge project (Note: The total GARVEE borrowing would remain unchanged, but \$9.6 million in authorization would shift between projects.) The new Sakonnet River Bridge project consists of replacing the existing bridge on a new alignment immediately south of the existing structure. The crossing carries Rhode Island State Route 24 (RI 24) over the Sakonnet River, a tidal passage separating the Town of Portsmouth on Aquidneck Island to the west and the Town of Tiverton on the mainland to the east. The bridge is located in Newport County just to the south of where the Sakonnet River opens into Mount Hope Bay. The crossing is an integral part of RI 24 which is a key link in the transportation system connecting Massachusetts to Rhode Island and the Aquidneck Island communities. Extensive required rehabilitation (and associated adverse traffic impacts); seismic susceptibility, fracture, critical components, structural inadequacy, and substandard safety features necessitate the complete replacement of the structure.

Washington Bridge Repairs – The total cost of this project is \$69.6 million in GARVEE bonds. The Governor recommends no expenditures in FY 2012 and \$2.8 million in FY 2013 utilizing GARVEE bonds. The Department plans to request an amendment to the original GARVEE approval (as granted by Article 36 of the FY 2004 Budget as Enacted) to allow \$9.6 million of the GARVEE bond authorization approved for the Washington Bridge project to be applied to the Sakonnet River Bridge project (Note: The total GARVEE borrowing would remain unchanged, but \$9.6 million in authorization would shift between projects.) The Washington Bridge consists of two structures. The eastbound lane (bridge 200) was constructed in 1928 and was originally a two-way roadway. In 1970, a bridge was constructed adjacent to it (bridge 700). With the construction of bridge 700, bridge 200 was converted to a single direction multi-lane roadway traveling east. The new Washington Bridge No. 200 was realigned within a vacant area between the two bridges, thus allowing the construction of a completely new bridge using the existing foundations. Additional construction savings were realized with the recommendation that the new bridge be positioned and supported by a portion of the existing foundation. The new bridge consists of five 12-foot travel lanes and two 4-foot shoulders. Construction was phased to allow the new bridge to be built while existing I-195 traffic was maintained within the southerly portion of the existing Washington Bridge No. 200. Since the existing bridge is on the National Register of Historic Bridges, a concept was advanced that retained the existing southerly portion of the existing bridge and converted it to a bike path, pedestrian way, and linear park which provides the highest form of historical mitigation. The new bridge was completed in 2009 with the bicycle/pedestrian linear park facility to be complete by 2016. Construction schedules are being timed so not to interfere with the I-195 Relocation contracts.

The Freight Rail Improvement Project – The total cost for this project is \$155.6 million, including \$154.5 million in pre-FY 2012 expenditures. No expenditures are included for FY 2012, in FY 2013 (the final year for the project) a total of \$1.1 million in funding is recommended, including \$541 in PL 1996 Ch 100 general obligation bonds, \$883,390 in GARVEE bonds, and \$176,029 in Motor Fuel residuals. When other Highway Funds are included, the total cost of this project is \$241.8 million. Financing for this project has included general obligation bond funds, FRA funds, general revenue, GARVEE bonds, GARVEE residual earnings, Motor Fuel Tax bonds, and Motor Fuel residuals. The Rhode Island freight rail is part of the Northeast Corridor, a 457-mile rail line linking the cities of Boston and Washington, D.C. The Rhode Island segment is part of Amtrak's Shoreline Route, which extends from Union Station

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in New Haven, CT to South Station in Boston, MA. This project focuses on Rhode Island's 22-mile segment of the Amtrak line originating in Central Falls and terminating at the Quonset Point/Davisville Industrial Park in North Kingstown. The project includes rehabilitation of existing freight rail track, construction of new freight rail track, raising of highway/road bridges, and utility relocation.

Pawtucket-Central Falls Train Station – The capital plan included a study of the feasibility of operating a commuter rail station with a transit-oriented development (TOD) at the former Pawtucket-Central Falls train station.

This project has now moved to a planning/environmental analysis and conceptual design of developing a commuter rail station with a transit-oriented development at the former Pawtucket/Central Falls Train Station located on Broad Street in the cities of Pawtucket and Central Falls. A project agreement exists between DOT and the City of Pawtucket which provides that the city serve as manager of the project. The project includes FTA funds as the project advances to the environmental review stage. Funding for this project totals \$3.4 million, including \$723,827 in pre-FY 2012 expenditures. Funding for FY 2012 is \$250,000, comprised of \$200,000 in FTA funds, \$9,733 of local funds, and \$40,267 from the Rhode Island Capital Plan Fund. For FY 2013 total funding is \$600,000, comprised of \$480,000 in FTA funds and \$120,000 in local funds comprises the financing.

Train Station Maintenance and Repairs – The department is responsible for the oversight and maintenance of three train stations which are located in Woonsocket, South Kingstown, and Westerly. The train stations serve as intermodal facilities for each of their communities. There is a need for major capital repairs, such as HVAC and roofing repairs. This is a new project request. The Governor recommends \$300,000 in RICAP funding beginning in FY 2014, \$400,000 in FY 2015, \$500,000 in FY 2016, and \$750,000 in F2017.

Fixed Guideway (Commuter Rail) – The total cost of this project is projected at \$240.3 million, including \$63.0 million in pre-FY 2012 expenditures. Funding for the project includes \$93.6 million in FTA funds, \$5.3 million in issued general obligation bond proceeds from the 2006 authorization, \$1.7 million in unissued general obligation bond proceeds from the 2006 authorization, \$3.1 million from the issued 2008 authorization, \$500,000 from the unissued 2008 authorization, \$7.8 million from the Massachusetts Bay Transportation Authority (MBTA), new bond referenda of \$900,018, and a total of \$92.9 million in other funding, including an additional \$75.0 million reserved in post-FY 2017. The \$17.9 million in other funds included for the FY 2014 through FY 2017 period represents the operating costs for the Commuter Rail. For the first three years of operations, Federal Congestion Mitigation and Air Quality Improvement (CMAQ) funds were approved for use. An application has been made to use the CMAQ funds beyond the three year period, but no decision has yet been made. The post-FY 2017 other fund financing is included for the cost of extending commuter rail service south from Wickford to Kingston and Westerly. The Governor recommends the additional funding source of American Recovery and Reinvestment Act of 2009 federal stimulus funds totaling \$4.5 million; \$4.0 million in pre-FY 2012 and \$467,363 in FY 2012. The project involves the planning, design, and construction of new fixed guideway facilities, commuter rail, light rail, and/or intercity rail that will also enhance the commuter rail. The program includes the construction of stations, tracks and signals, right-of-way acquisition, purchase of locomotives and rail coaches, and other rail appurtenances to accommodate rail passenger service in Rhode Island. The current project reflects the continuation of the Pilgrim Partnership Agreement with the MBTA which will enable DOT to trade its federal capital funds for the provision of rail service south of Providence. Through this agreement, which runs through 2009, the MBTA extended additional round trips to Providence each day and DOT purchased five bi-level coaches. The balance owed to the MBTA is the completion of funding for the Pawtucket Layover Facility and the purchase of commuter rail coaches for the South County Commuter Rail (SCCR)

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system. Phase I of the SCCR includes construction for the provision of service between Providence and North Kingston with stops at T.F. Green Airport and Wickford Junction, two new stations. The Warwick Intermodal Train Station is included in these efforts; financing is included in the Highway Improvement Program and the project is displayed in further detail under the Rhode Island Airport Corporation (RIAC). Phase II of the project would extend service to the Kingston and Westerly stations with potential stops in Cranston and East Greenwich. Funding for the construction phase of Phase II of the SCCR is included in this year's recommendation. Service for Phase I of the project began in December, 2010. For FY 2012, the Governor recommends total funding of \$37.8 million, consisting of \$1.7 million in general obligation bonds from the unissued 2006 authorization, \$2.4 million in general obligation bonds from the issued 2008 authorization, \$1.0 million in Federal Railroad Administration funds, \$31.0 million in Federal Transit Administration funds, \$1.2 million in MBTA funds, and \$467,363 in federal stimulus funds. For FY 2013, the Governor recommends total funding of \$13.8 million, consisting of \$559,217 of general obligation bonds from the issued 2008 authorization, \$6.0 million in Federal Railroad Administration funds, \$7.1 million in Federal Transit Administration funds, and \$62,500 million in MBTA funds.

Maintenance Facilities

Salt Storage Facilities – The Governor recommends a total of \$17.5 million for expenditures on Salt Storage Facilities, including \$5.8 million in pre-FY 2012 expenditures. The Governor recommends expenditures of \$1.4 million in RICAP funds in FY 2012, \$272,019 in issued general obligation bonds from the 1998 authorization, and \$21,952 in issued general obligation bonds from the 2002 authorization. In FY 2013, the Governor recommends \$2.0 million in RICAP funds. The Governor recommends \$2.0 million in RICAP funds for each year from FY 2014 to FY 2017. This project is a planning effort on the part of the Department to examine the consolidation of salt stockpiles to improve operational efficiencies, remedy environmental deficiencies, and reduce costs. This project will combat potential groundwater contamination and reduce environmental concerns over the storage of this chemical. The Department has identified facilities which it plans to remediate, proposed to reduce the number of salt distribution centers to 20, and has identified five salt distribution centers to be built over the five year period at existing locations. However, due to the continued need for strategically located material piles, three new locations have been created, in Pawtucket, Newport and Smithfield. The following salt storage facilities at existing sites are planned over the next two years: East Providence, Portsmouth, and Narragansett.

Facility Construction/Renovations – The current recommendation encompasses modifications to the Department's Cherry Hill/Lincoln, East Providence, and Portsmouth facilities. The Department has consolidated the maintenance facilities currently located at Cherry Hill Road in Johnston and on Washington Highway (Route 11) in Lincoln. The proposal was an alternative to upgrading the current facilities which have inadequate salt storage capacity. The DOT has purchased land from the National Guard in North Smithfield for \$1.7 million where the new consolidated Johnston/Lincoln facility is located. The National Guard used the \$1.7 million to purchase land for the Aviation Facility Expansion project at Quonset Point. The Cherry Hill/Lincoln Facility project encompasses the construction of the complete facility with a salt storage structure and includes a total of \$4.1 million, including \$2.8 million in pre-FY 2012 expenditures. For FY 2012, the Governor recommends financing of \$538,154, consisting of \$201,154 in issued bond funds from the 2004 authorization combined with \$337,000 in Rhode Island Capital Plan Fund financing. For FY 2013, \$777,050 in RICAP funds will be utilized. The project is scheduled to be completed in FY 2013. The relocation of the maintenance facilities currently located in East Providence and Portsmouth will be financed with general obligation bond proceeds and the Rhode Island Capital Plan Fund. The Governor recommends a total of \$4.9 million, including \$4.6 million in pre-FY 2012 expenditures, for the relocation and replacement of a highway maintenance facility currently located on Sachem Road in East Providence. The

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proposed facility and salt storage structure are to be located on state property within the bifurcation of Route 114 (East Shore Expressway) at Route 6. Financing for FY 2012 totals \$313,103, consisting of \$290,000 in issued general obligation bond proceeds from the 2002 authorization, and \$23,103 from the Rhode Island Capital Plan Fund. The project is scheduled to be completed in FY 2012. The Governor recommends a total of \$5.5 million, including \$200,000 in pre-FY 2012 expenditures, for construction of the Portsmouth facility which began in FY 2011. This project encompasses the relocation of Portsmouth facility to State land located between Boyd's Lane and the ramp to Route 24 north bound. A total of \$1.1 million of issued general obligation bonds from the 2004 authorization is recommended for this project for FY 2012. For FY 2013, the Governor recommends \$2.2 million, consisting of \$765,000 in issued general obligation bonds from the 2004 authorization, together with \$1.4 million in RICAP funds. The project is scheduled for completion in FY 2014 with a final expenditure of \$2.0 million in RICAP funds. The salt storage structures to be constructed as part of the East Providence and Portsmouth facilities are included under the Salt Storage Facilities project.

Maintenance Facility Improvements – The DOT has identified several maintenance facilities which are not being replaced and are in need of capital improvements. Financing of facility maintenance has typically been included in the Department's operating budget. The Governor recommends a total of \$932,112 in FY 2012 and \$400,000 in FY 2013 from the Rhode Island Capital Plan Fund for additional storage capacity at the Lincoln Avenue Headquarter facilities and Hope Valley facility; rehabilitation of the Gloucester facility's antiquated garage and generator; and resurfacing and pavement restoration of all maintenance facilities.

Maintenance Facilities Fire Alarms – The Governor recommends a total of \$525,000 from the Rhode Island Capital Plan Fund. \$200,000 in FY 2012 and \$325,000 in FY 2013, for outfitting of fire alarm systems to comply with new fire code regulations. The installation schedule for the project is based upon prioritizing facilities by use and number of employees assigned to each facility.

Cooperative Maintenance Facility – The Governor recommends a total of \$5.0 million from the Rhode Island Capital Plan Fund beginning FY 2017 for the conversion of the former Rhode Island Public Transit (RIPTA) maintenance facility on Elmwood Avenue to a cooperative maintenance facility for RIDOT heavy equipment and RIPTA buses. The project involves architectural and engineering services to draw plans for the conversion, along with construction to convert the facility and garage space into a functional and efficient maintenance shop. Approximately 40 percent of the RIDOT heavy fleet is currently maintained by RIPTA, however, no increase in that percentage can occur without the additional maintenance facility. This is a new project request which benefits both RIDOT and RIPTA by allowing the State to maintain vehicles through a State agency, saving money and providing uniform, quality repairs. RIPTA would benefit from the additional revenue.

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GARVEE & Motor Fuel Tax Revenue Bonds

Construction Costs Allocation (millions)

	2012	2013	2014	2015	2016	2017	Post 2017	Total
I-195 Relocation								
Garvee Bond	29.95	5.26	0.00	0.00	0.00	0.00	0.00	35.21
Garvee Residual Earnings	2.47	0.00	0.00	0.00	0.00	0.00	0.00	2.47
Motor Fuel Bond	2.34	0.00	0.00	0.00	0.00	0.00	0.00	2.34
Motor Fuel Residuals	2.68	0.00	0.00	0.00	0.00	0.00	0.00	2.68
Total	37.44	5.26	0.00	0.00	0.00	0.00	0.00	42.70
Quonset Access Road - RT 403								
Garvee Bond	0.53	0.00	0.00	0.00	0.00	0.00	0.00	0.53
Garvee Residual Earnings	0.03	0.00	0.00	0.00	0.00	0.00	0.00	0.03
Motor Fuel Residuals	0.30	1.50	0.00	0.00	0.00	0.00	0.00	1.80
Total	0.86	1.50	0.00	0.00	0.00	0.00	0.00	2.36
Frieght Rail Improvement Program								
Garvee Bond	0.00	0.88	0.00	0.00	0.00	0.00	0.00	0.88
Motor Fuel Residuals	0.00	0.18	0.00	0.00	0.00	0.00	0.00	0.18
Total	0.00	1.06	0.00	0.00	0.00	0.00	0.00	1.06
Sakonnet River Bridge								
Garvee Bond	8.54	9.60	0.00	0.00	0.00	0.00	0.00	18.14
Garvee Residual Earnings	2.14	0.00	0.00	0.00	0.00	0.00	0.00	2.14
Motor Fuel Residuals	0.00	2.40	0.00	0.00	0.00	0.00	0.00	2.40
Total	10.68	12.00	0.00	0.00	0.00	0.00	0.00	22.68
Washington Bridge								
Garvee Bond	0.00	2.85	7.74	8.15	3.26	0.00	0.00	22.00
Motor Fuel Bond	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total	0.00	2.85	7.74	8.15	3.26	0.00	0.00	22.00
Total Bond Issuance								
Garvee Bond	39.02	18.59	7.74	8.15	3.26	0.00	0.00	76.76
Garvee Residual Earnings	4.64	0.00	0.00	0.00	0.00	0.00	0.00	4.64
Motor Fuel Bond	2.34	0.00	0.00	0.00	0.00	0.00	0.00	2.34
Motor Fuel Residuals	2.98	4.08	0.00	0.00	0.00	0.00	0.00	7.06
Total	48.98	22.67	7.74	8.15	3.26	0.00	0.00	90.80
Debt Service								
Garvee Bond	48.40	48.40	48.40	48.40	52.60	52.80	52.80	351.80
Gas Tax Bond	7.10	7.10	7.20	7.20	7.20	6.90	6.90	49.60
Total	55.50	55.50	55.60	55.60	59.80	59.70	59.70	401.40