

State of Rhode Island and Providence Plantations

# Technical Appendix



## Fiscal Year 2013

Lincoln D. Chafee, Governor

# Department Of Business Regulation

Agency Number: 071

Fund/ Agency	RIFANS/ ACCOUNT		2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
10.071	1600101.01	Director of Business Regulations	1,194,476	1,011,248	1,162,041	1,094,956	1,145,060
		<b>Total General Revenue</b>	<b>\$1,194,476</b>	<b>\$1,011,248</b>	<b>\$1,162,041</b>	<b>\$1,094,956</b>	<b>\$1,145,060</b>
21.071	7071101.05	RICAP - DBR Breakroom Conversion	-	-	-	-	16,545
		<b>Total Operating Transfers from Other Funds</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$16,545</b>
		<b>Total - Central Management</b>	<b>\$1,194,476</b>	<b>\$1,011,248</b>	<b>\$1,162,041</b>	<b>\$1,094,956</b>	<b>\$1,161,605</b>
10.071	1605101.01	Banking and Securities - Banking	1,327,726	1,259,413	1,472,238	1,471,137	1,737,766
		<b>Total General Revenue</b>	<b>\$1,327,726</b>	<b>\$1,259,413</b>	<b>\$1,472,238</b>	<b>\$1,471,137</b>	<b>\$1,737,766</b>
10.071	1608101.03	Banking Reimbursement Account	30,617	30,163	125,000	125,000	125,000
		<b>Total Restricted Receipts</b>	<b>\$30,617</b>	<b>\$30,163</b>	<b>\$125,000</b>	<b>\$125,000</b>	<b>\$125,000</b>
		<b>Total - Banking Regulation</b>	<b>\$1,358,343</b>	<b>\$1,289,576</b>	<b>\$1,597,238</b>	<b>\$1,596,137</b>	<b>\$1,862,766</b>
10.071	1610101.01	Securities Regulation - Securities	688,693	752,088	1,051,512	851,012	1,068,375
		<b>Total General Revenue</b>	<b>\$688,693</b>	<b>\$752,088</b>	<b>\$1,051,512</b>	<b>\$851,012</b>	<b>\$1,068,375</b>
10.071	1611101.03	Securities Reimbursement Account	4,630	3,519	15,000	15,000	15,000
		<b>Total Restricted Receipts</b>	<b>\$4,630</b>	<b>\$3,519</b>	<b>\$15,000</b>	<b>\$15,000</b>	<b>\$15,000</b>
		<b>Total - Securities Regulation</b>	<b>\$693,323</b>	<b>\$755,607</b>	<b>\$1,066,512</b>	<b>\$866,012</b>	<b>\$1,083,375</b>
10.071	1635101.01	Insurance Regulation	3,352,847	3,534,618	4,031,865	3,911,582	4,066,525
10.071	1635103.01	Office of Health Insurance Commissioner	482,321	450,171	-	-	-
		<b>Total General Revenue</b>	<b>\$3,835,168</b>	<b>\$3,984,789</b>	<b>\$4,031,865</b>	<b>\$3,911,582</b>	<b>\$4,066,525</b>
10.071	1637103.02	Rate Review Grant	-	134,192	-	-	-
		<b>Subtotal CFDA No. 93511</b>	<b>-</b>	<b>134,192</b>	<b>-</b>	<b>-</b>	<b>-</b>
10.071	1637105.02	Exchange Planning Grant	-	312,475	-	-	-
		<b>Subtotal CFDA No. 93525</b>	<b>-</b>	<b>312,475</b>	<b>-</b>	<b>-</b>	<b>-</b>
10.071	1637104.02	Consumer Assistance Program Grant	-	18,509	148,312	83,659	-
		<b>Subtotal CFDA No. 93778</b>	<b>-</b>	<b>18,509</b>	<b>148,312</b>	<b>83,659</b>	<b>-</b>
		<b>Total Federal Funds</b>	<b>-</b>	<b>\$465,176</b>	<b>\$148,312</b>	<b>\$83,659</b>	<b>-</b>
10.071	1645101.03	Assessment for Costs of Rate Filings	231,625	254,326	240,487	241,110	259,186
10.071	1645102.03	Insurance Cos. Assessment for Actuary Costs	599,042	632,578	767,338	898,150	908,150

# Department Of Business Regulation

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10.071	1645103.03	Insurance Reimbursement Account	75,609	58,932	133,000	133,000	133,000
10.071	1645104.03	Office of Health Insurance Comm. Reimb. Acct.	6,590	1,740	-	-	-
		<b>Total Restricted Receipts</b>	<b>\$912,866</b>	<b>\$947,576</b>	<b>\$1,140,825</b>	<b>\$1,272,260</b>	<b>\$1,300,336</b>
		<b>Total - Insurance Regulation</b>	<b>\$4,748,034</b>	<b>\$5,397,541</b>	<b>\$5,321,002</b>	<b>\$5,267,501</b>	<b>\$5,366,861</b>
10.071	1655101.01	Board of Accountancy	148,716	150,884	170,668	140,672	82,483
		<b>Total General Revenue</b>	<b>\$148,716</b>	<b>\$150,884</b>	<b>\$170,668</b>	<b>\$140,672</b>	<b>\$82,483</b>
		<b>Total - Board of Accountancy</b>	<b>\$148,716</b>	<b>\$150,884</b>	<b>\$170,668</b>	<b>\$140,672</b>	<b>\$82,483</b>
10.071	1625101.01	Commercial Licensing, Racing and Athletics	537,822	605,799	690,124	468,843	653,584
10.071	1625102.01	Real Estate Appraisers Board - CLRA	51,709	56,662	63,402	64,906	65,527
		<b>Total General Revenue</b>	<b>\$589,531</b>	<b>\$662,461</b>	<b>\$753,526</b>	<b>\$533,749</b>	<b>\$719,111</b>
10.071	1627101.03	Real Estate Appraisers - Registration - CLRA	11,375	-	28,000	28,000	28,000
10.071	1627102.03	Real Estate Recovery - CLRA	-	-	80,000	80,000	80,000
10.071	1627103.03	Commercial Lic. Rac Athletics Reimbursement Acct.	275,554	286,131	368,122	376,391	407,037
		<b>Total Restricted Receipts</b>	<b>\$286,929</b>	<b>\$286,131</b>	<b>\$476,122</b>	<b>\$484,391</b>	<b>\$515,037</b>
		<b>Total - Commercial Licensing, Racing &amp; Athletics</b>	<b>\$876,460</b>	<b>\$948,592</b>	<b>\$1,229,648</b>	<b>\$1,018,140</b>	<b>\$1,234,148</b>
10.071	1660101.01	Boards for Design Professionals	294,086	307,887	247,360	324,337	249,799
		<b>Total General Revenue</b>	<b>\$294,086</b>	<b>\$307,887</b>	<b>\$247,360</b>	<b>\$324,337</b>	<b>\$249,799</b>
		<b>Total - Boards for Design Professionals</b>	<b>\$294,086</b>	<b>\$307,887</b>	<b>\$247,360</b>	<b>\$324,337</b>	<b>\$249,799</b>
10.071	1670102.01	Office of Health Insurance Commissioner	-	-	547,168	505,437	542,929
		<b>Total General Revenue</b>	<b>-</b>	<b>-</b>	<b>\$547,168</b>	<b>\$505,437</b>	<b>\$542,929</b>
10.071	1675103.02	Rate Review Grant	-	-	826,525	744,815	123,187
10.071	1675107.02	Rate Review Grant II	-	-	-	987,950	1,460,291
		<b>Subtotal CFDA No. 93511</b>	<b>-</b>	<b>-</b>	<b>826,525</b>	<b>1,732,765</b>	<b>1,583,478</b>
10.071	1675105.02	Exchange Policy Grant	-	-	712,000	601,552	85,973
10.071	1675106.02	Exchange Establishment One Grant	-	-	5,116,436	4,395,632	845,036
		<b>Subtotal CFDA No. 93525</b>	<b>-</b>	<b>-</b>	<b>5,828,436</b>	<b>4,997,184</b>	<b>931,009</b>
		<b>Total Federal Funds</b>	<b>-</b>	<b>-</b>	<b>\$6,654,961</b>	<b>\$6,729,949</b>	<b>\$2,514,487</b>

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Fund/ Agency	RIFANS/ ACCOUNT		2010 Audited	2011 Audited	2012 Enacted	2012 Revised	2013 Recommend
10.071	1680101.03	OHIC Reimbursement Account	-	-	10,500	10,500	10,500
		<i>Total Restricted Receipts</i>	-	-	\$10,500	\$10,500	\$10,500
		<i>Total - Office of Health Insurance Commissioner</i>	-	-	\$7,212,629	\$7,245,886	\$3,067,916
		<i>Total General Revenue</i>	\$8,078,396	\$8,128,770	\$9,436,378	\$8,832,882	\$9,612,048
		<i>Total Federal Funds</i>	-	\$465,176	\$6,803,273	\$6,813,608	\$2,514,487
		<i>Total Restricted Receipts</i>	\$1,235,042	\$1,267,389	\$1,767,447	\$1,907,151	\$1,965,873
		<i>Total Operating Transfers from Other Funds</i>	-	-	-	-	\$16,545
<b>Grand Total</b>	<b>Department Of Business Regulation</b>		<b>\$9,313,438</b>	<b>\$9,861,335</b>	<b>\$18,007,098</b>	<b>\$17,553,641</b>	<b>\$14,108,953</b>