

State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2014

Volume IV – Public Safety, Natural Resources
and Transportation

Lincoln D. Chafee, Governor

Agency

Department Of Corrections

Agency Mission

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

Agency Description

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community. Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are seven separate, occupied facilities, which have a total operational capacity of 3,708 beds. In FY 2011, the average institutionalized population was 3,273. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2011, the number of probation and parole cases serviced totaled 25,811. The average number of offenders on home or community confinement was 230. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

For FY 2013, the Department proposes a program reorganization in order to further define major functions within the Department and to align programs with future performance measures. In addition to small changes in Central Management and Community Corrections, the major change is to split Institutional Corrections into four new programs: Custody & Security, Institutional Support, Institutional Rehabilitation & Population Management, and Healthcare Services.

Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

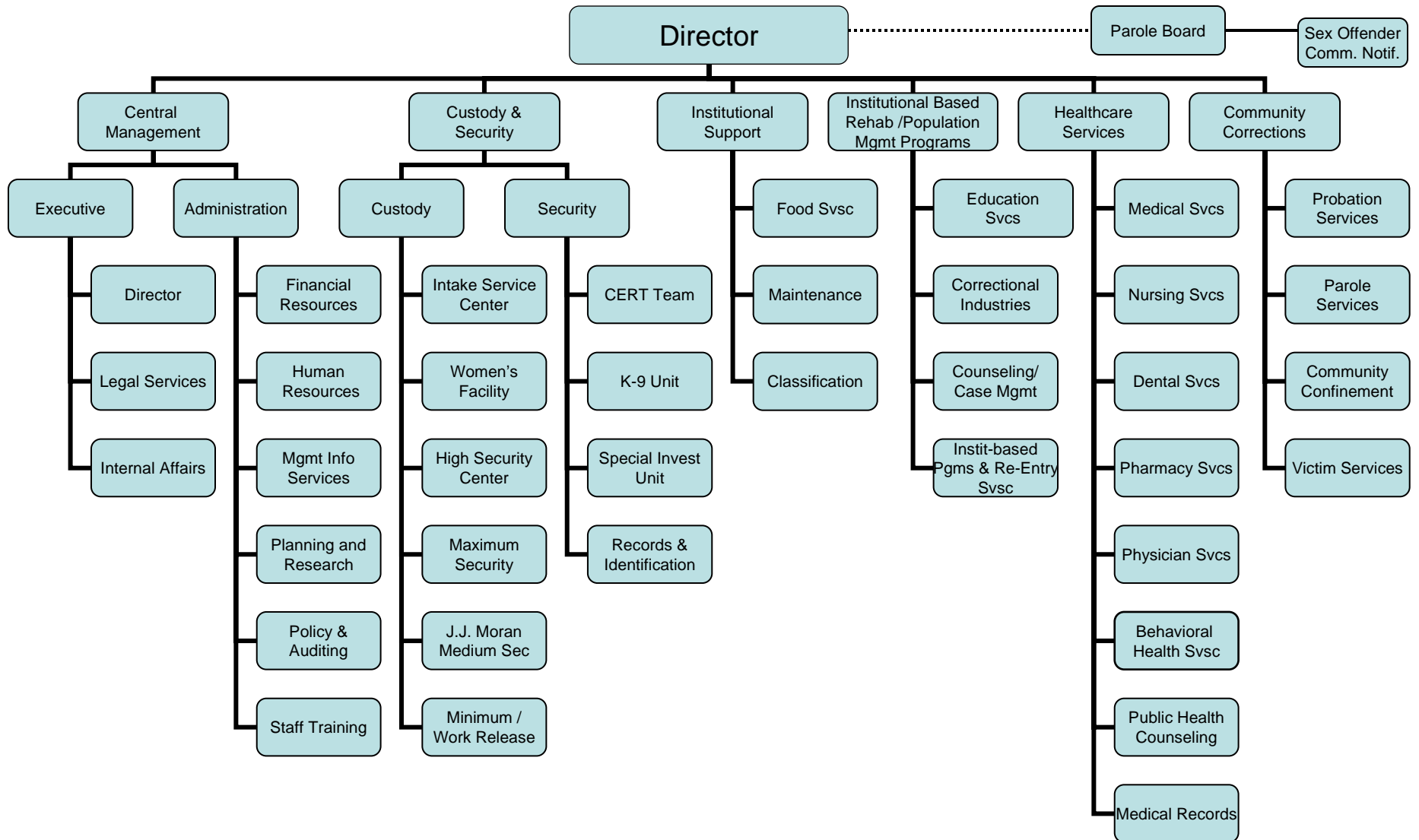
Budget

Department Of Corrections

	FY 2011 Audited	FY 2012 Audited	FY 2013 Enacted	FY 2013 Revised	FY 2014 Recommend
Expenditures By Program					
Central Management	7,440,796	7,969,018	9,283,949	9,240,424	9,282,903
Parole Board	1,150,306	1,176,478	1,368,319	1,335,621	1,392,433
Custody and Security	160,901,550	165,866,056	115,807,338	118,836,306	116,179,933
Institutional Support	13,963,825	14,691,600	27,135,509	25,058,592	32,898,057
Institutional Based Rehab/Pop Mgmt	-	-	9,961,687	9,950,035	10,018,845
Healthcare Svcs	-	-	18,476,246	18,190,327	19,889,269
Community Corrections	-	-	14,716,814	14,766,944	15,241,087
<i>Internal Services</i>	<i>[10,402,717]</i>	<i>[9,502,583]</i>	<i>[14,787,904]</i>	<i>[15,380,951]</i>	<i>[15,043,033]</i>
Total Expenditures	\$183,456,477	\$189,703,152	\$196,749,862	\$197,378,249	\$204,902,527
Expenditures By Object					
Personnel	164,935,225	171,414,586	168,109,077	170,387,873	170,766,529
Operating Supplies and Expenses	13,941,699	14,229,876	15,646,974	15,558,073	15,453,529
Assistance and Grants	1,268,963	1,202,442	1,275,401	1,193,908	1,193,908
Subtotal: Operating Expenditures	180,145,887	186,846,904	185,031,452	187,139,854	187,413,966
Capital Purchases and Equipment	3,310,590	2,856,248	11,718,410	10,238,395	17,488,561
Total Expenditures	\$183,456,477	\$189,703,152	\$196,749,862	\$197,378,249	\$204,902,527
Expenditures By Funds					
General Revenue	177,719,606	184,655,159	183,293,277	184,546,757	185,879,198
Federal Funds	2,780,906	2,388,892	1,995,588	3,034,940	1,788,688
Restricted Receipts	12,347	92,770	61,397	73,362	64,890
Operating Transfers from Other Funds	2,943,618	2,566,331	11,399,600	9,723,190	17,169,751
Total Expenditures	\$183,456,477	\$189,703,152	\$196,749,862	\$197,378,249	\$204,902,527
FTE Authorization	1,402.5	1,419.0	1,419.0	1,419.0	1,419.0

The Agency

Department of Corrections



Personnel

Department Of Corrections Agency Summary

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified		1,385.0	87,299,813	1,385.0	88,152,998
Unclassified		34.0	3,351,162	34.0	3,364,232
Subtotal		1,419.0	\$90,650,975	1,419.0	\$91,517,230
Briefing Time		-	1,552,420	-	1,552,420
Cost Allocation from Other Programs		-	33,214	-	25,112
Cost Allocation to Other Programs		-	(\$33,214)	-	(\$25,112)
Overtime		-	20,534,350	-	14,870,271
Temporary and Seasonal		-	304,852	-	304,852
Turnover		-	(\$7,570,756)	-	(\$6,934,230)
Subtotal		-	\$14,820,866	-	\$9,793,313
Total Salaries		1,419.0	\$105,471,841	1,419.0	\$101,310,543
Benefits					
Payroll Accrual			556,594		568,940
Holiday			2,997,672		2,725,480
FICA			8,021,501		8,053,338
Retiree Health			5,721,597		6,513,842
Health Benefits			17,880,960		18,983,067
Retirement			18,462,908		20,391,817
Contract Stipends			845,729		1,456,507
Workers Compensation			231,492		231,492
Subtotal			\$54,718,453		\$58,924,483
Total Salaries and Benefits		1,419.0	\$160,190,294	1,419.0	\$160,235,026
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$112,890		\$112,921
Statewide Benefit Assessment			\$3,128,608		\$3,173,378
Payroll Costs		1,419.0	\$163,318,902	1,419.0	\$163,408,404

Personnel

Department Of Corrections Agency Summary

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Purchased Services					
Buildings and Ground Maintenance			19,519		250,705
Training and Educational Services			456,794		269,941
Legal Services			93,173		70,548
Other Contracts			2,573,331		2,123,933
Information Technology			732,140		400,110
Clerical and Temporary Services			392,685		387,102
Design and Engineering Services			101,232		101,232
University and College Services			676,716		682,703
Management & Consultant Services			56,498		86,936
Medical Services			5,938,447		6,694,670
Subtotal			\$11,040,535		\$11,067,880
Total Personnel		1,419.0	\$174,359,437	1,419.0	\$174,476,284
Distribution By Source Of Funds					
General Revenue		1,387.0	\$167,720,755	1,387.0	\$169,042,808
Federal Funds		3.0	\$2,593,756	3.0	\$1,658,831
Restricted Receipts		-	\$73,362	-	\$64,890
Other Funds		29.0	\$3,971,564	29.0	\$3,709,755
Total All Funds		1,419.0	\$174,359,437	1,419.0	\$174,476,284

The Program

Department Of Corrections Central Management

Program Mission

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

Program Description

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

Beginning in FY 2013, the Central Management Program includes Inmate Accounts and the Central Distribution Center internal service fund. Inmate Accounts serves as custodian of inmate funds.

Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

The Budget

Department Of Corrections Central Management

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Executive	1,835,145	1,839,826	1,815,276	1,429,622	1,762,838
Administration	5,605,651	6,129,192	14,903,362	14,952,930	14,222,012
Internal Services	-	-	[7,434,689]	[7,142,128]	[6,701,947]
Total Expenditures	\$7,440,796	\$7,969,018	\$16,718,638	\$16,382,552	\$15,984,850
Expenditures By Object					
Personnel	6,602,046	7,192,479	9,335,629	8,749,899	8,801,991
Operating Supplies and Expenses	704,011	700,081	7,141,531	7,231,355	7,002,803
Assistance and Grants	1,414	-	24,925	13,457	13,457
Subtotal: Operating Expenditures	7,307,471	7,892,560	16,502,085	15,994,711	15,818,251
Capital Purchases and Equipment	133,325	76,458	216,553	387,841	166,599
Total Expenditures	\$7,440,796	\$7,969,018	\$16,718,638	\$16,382,552	\$15,984,850
Expenditures By Funds					
General Revenue	7,304,742	7,920,287	9,261,703	8,364,556	9,227,039
Federal Funds	136,054	48,731	22,246	875,868	55,864
Other Funds	-	-	7,434,689	7,142,128	6,701,947
Total Expenditures	\$7,440,796	\$7,969,018	\$16,718,638	\$16,382,552	\$15,984,850

Personnel

Department Of Corrections Central Management

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00044A	1.0	129,784	1.0	134,461
ASST DIR FINANCIAL & CNTR MGMT	00041A	1.0	111,984	1.0	116,720
CHF, INSPECTOR, OFF OF INSPECT	00041A	1.0	106,948	1.0	112,326
DEPUTY CHIEF OF LEGAL SERVICES	00037A	1.0	99,835	1.0	99,835
ASSOCIATE DIRECTOR PLANNING AND RESEARCH	00036A	1.0	95,845	1.0	95,845
CHIEF OF RECRUITMENT AND TRAINING	00035A	1.0	92,570	1.0	92,570
ADMINISTRATOR OF PHYSICAL RESOURCES	00035A	1.0	92,542	1.0	92,542
CHIEF PROGRAM DEVELOPMENT	00034A	1.0	89,166	1.0	89,166
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	2.0	164,805	2.0	164,805
INSPECTOR OFF OF INSPECTIONS	000036	3.0	236,981	3.0	239,579
SUPERVISOR OF CORRECTIONAL OFFICER	00027A	2.0	154,101	2.0	154,101
CHIEF DISTRIBUTION OFFICER	00031A	1.0	72,475	1.0	72,475
BUSINESS MANAGEMENT OFFICER	00026A	1.0	71,176	1.0	71,176
CORRECTIONAL OFFICER TRAINING INSTRUCTOR	00024A	6.0	420,756	6.0	425,976
DEPARTMENTAL GRIEVANCE COORDINATOR	00028A	1.0	70,100	1.0	70,100
CHIEF OF MOTOR POOL AND MAINTENANCE	00026A	1.0	69,003	1.0	69,003
PRINCIPAL PLANNER (CORRECTIONS)	00031A	2.0	135,199	2.0	135,199
SENIOR LEGAL COUNSEL	00034A	2.0	134,954	2.0	134,954
FISCAL MANAGEMENT OFFICER	00026A	3.0	193,143	3.0	194,326
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00029A	1.0	63,832	1.0	63,832
ADMINISTRATIVE OFFICER	00024A	1.0	60,136	1.0	60,136
PROGRAMMING SERVICES OFFICER	00031A	1.0	59,109	1.0	61,260
OFFICE MANAGER	00023A	2.0	114,951	2.0	115,813
AUTOMOBILE SERVICE SHOP SUPERVISOR-ACI	00021A	1.0	56,823	1.0	58,106
PRIN RESEARCH TECHNICIAN	00027A	1.0	53,482	1.0	55,750
SENIOR ACCOUNTANT	000001	1.0	52,369	1.0	53,145
IMPLEMENTATION AIDE	00022A	2.0	101,464	2.0	101,464
SENIOR PLANNER	00126A	1.0	49,572	1.0	51,216
SENIOR TELLER	00018A	1.0	48,802	1.0	49,534
CLERK SECRETARY	00016A	1.0	48,378	1.0	48,378
STOREKEEPER (ACI)	00017A	2.0	94,768	2.0	94,768
WAREHOUSE SUPERVISOR (CORRECTIONS)	00017A	1.0	47,166	1.0	47,166
PROP CON & SUPP OFF (ACI)	00619A	1.0	45,929	1.0	45,929
SUPERVISOR CENTRAL MAIL SERVICES	00016A	1.0	44,938	1.0	44,938
ASST BUS MGT OFF	000001	1.0	44,713	1.0	45,379
SR INSPECTOR (DIV OF PURCHASE)	000001	1.0	44,652	1.0	44,652
SENIOR RECONCILIATION CLERK	00014A	3.0	127,114	3.0	127,703
INFORMATION AIDE	00315A	2.0	84,221	2.0	84,221
MOTOR EQUIPMENT OPERATOR (ACI)	00613A	1.0	41,536	1.0	42,160
SENIOR WORD PROCESSING TYPIST	00312A	1.0	41,295	1.0	41,295
EXECUTIVE ASSISTANT	00018A	2.0	80,839	2.0	81,669
WAREHOUSE WORKER (CORRECTIONS)	00013A	6.0	236,519	6.0	236,519
LEGAL ASSISTANT	00019A	1.0	39,275	1.0	39,275
DATA CONTROL CLERK	00015A	1.0	39,165	1.0	39,165
FISCAL CLERK	00014A	2.0	77,842	2.0	77,842

Personnel

Department Of Corrections Central Management

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
SENIOR STORES CLERK	00011A	1.0	33,371	1.0	34,256
Subtotal		72.0	\$4,373,628	72.0	\$4,410,730
Unclassified					
DIRECTOR DEPARTMENT OF CORRECTIONS	00951KF	1.0	145,644	1.0	145,644
ASSISTANT DIRECTOR OF ADMINISTRATION	00844A	1.0	125,031	1.0	130,199
EXECUTIVE COUNSEL	00039A	1.0	93,787	1.0	98,735
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	08824A	1.0	49,685	1.0	49,685
Subtotal		4.0	\$414,147	4.0	\$424,263
Cost Allocation to Other Programs		-	(33,214)	-	(25,112)
Overtime		-	329,039	-	293,961
Temporary and Seasonal		-	304,852	-	304,852
Turnover		-	(603,607)	-	(356,158)
Subtotal		-	(\$2,930)	-	\$217,543
Total Salaries		76.0	\$4,784,845	76.0	\$5,052,536
Benefits					
Payroll Accrual			24,156		26,276
Holiday			3,553		3,553
FICA			343,254		363,771
Retiree Health			284,757		340,140
Health Benefits			807,496		943,492
Retirement			921,593		1,071,122
Contract Stipends			23,311		29,811
Subtotal			\$2,408,120		\$2,778,165
Total Salaries and Benefits		76.0	\$7,192,965	76.0	\$7,830,701
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$94,644		\$103,036
Statewide Benefit Assessment			\$155,660		\$167,018
Payroll Costs		76.0	\$7,348,625	76.0	\$7,997,719

Personnel

Department Of Corrections Central Management

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			712,800		360,770
Clerical and Temporary Services			139,849		134,266
Management & Consultant Services			31,498		61,936
Legal Services			85,423		57,798
Other Contracts			168,494		58,292
Training and Educational Services			189,050		-
Medical Services			74,160		131,210
Subtotal			\$1,401,274		\$804,272
Total Personnel		76.0	\$8,749,899	76.0	\$8,801,991
Distribution By Source Of Funds					
General Revenue		64.0	\$6,800,712	64.0	\$7,843,919
Federal Funds		-	\$681,486	-	\$48,270
Other Funds		12.0	\$1,267,701	12.0	\$909,802
Total All Funds		76.0	\$8,749,899	76.0	\$8,801,991

The Program

Department Of Corrections Parole Board

Program Mission

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

Program Description

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2012, the board granted parole in 399 cases and denied parole in 878 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2012, the program made 240 adult and juvenile referrals.

Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Sections 30-33 create a program of community supervision for first and second degree child molesters. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

The Budget

Department Of Corrections Parole Board

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Parole Board	809,387	806,953	986,218	954,124	1,001,784
Sex Offender Board of Revenue	340,919	369,525	382,101	381,497	390,649
Total Expenditures	\$1,150,306	\$1,176,478	\$1,368,319	\$1,335,621	\$1,392,433
Expenditures By Object					
Personnel	1,111,383	1,134,110	1,313,649	1,280,901	1,337,693
Operating Supplies and Expenses	38,923	42,368	52,630	52,680	52,700
Subtotal: Operating Expenditures	1,150,306	1,176,478	1,366,279	1,333,581	1,390,393
Capital Purchases and Equipment	-	-	2,040	2,040	2,040
Total Expenditures	\$1,150,306	\$1,176,478	\$1,368,319	\$1,335,621	\$1,392,433
Expenditures By Funds					
General Revenue	1,104,218	1,140,457	1,331,469	1,294,403	1,354,433
Federal Funds	46,088	36,021	36,850	41,218	38,000
Total Expenditures	\$1,150,306	\$1,176,478	\$1,368,319	\$1,335,621	\$1,392,433

Personnel

Department Of Corrections

Parole Board

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE SECRETARY - PAROLE BOARD	00034A	1.0	91,497	1.0	91,497
FIELD INVESTIGATOR (CORRECTIONS)	00120A	3.0	146,226	3.0	147,443
CLERK SECRETARY	00016A	1.0	42,105	1.0	42,105
SYSTEMS SUPPORT TECHNICIAN I	00018A	1.0	39,988	1.0	40,820
DATA CONTROL CLERK	00015A	1.0	36,821	1.0	37,389
SENIOR WORD PROCESSING TYPIST	00312A	1.0	32,802	1.0	33,439
Subtotal		8.0	\$389,439	8.0	\$392,693
Unclassified					
CHAIRPERSON - PAROLE BOARD	00841A	1.0	124,722	1.0	124,722
SPECIAL PROJECTS COORDINATOR	00G27A	1.0	72,547	1.0	72,547
MEMBER-PAROLE BOARD	00810F	-	152,471	-	152,471
Subtotal		2.0	\$349,740	2.0	\$349,740
Overtime		-	783	-	783
Turnover		-	(7,393)	-	(22,275)
Subtotal		-	(\$6,610)	-	(\$21,492)
Total Salaries		10.0	\$732,569	10.0	\$720,941
Benefits					
Payroll Accrual			4,042		4,058
FICA			56,042		55,152
Retiree Health			50,200		55,000
Health Benefits			124,605		131,816
Retirement			162,311		173,198
Subtotal			\$397,200		\$419,224
Total Salaries and Benefits		10.0	\$1,129,769	10.0	\$1,140,165
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$112,977		\$114,017
Statewide Benefit Assessment			\$27,442		\$27,006
Payroll Costs		10.0	\$1,157,211	10.0	\$1,167,171

Personnel

Department Of Corrections Parole Board

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			19,340		39,340
Clerical and Temporary Services			23,822		23,822
Legal Services			7,750		12,750
Other Contracts			41,168		38,000
Training and Educational Services			3,010		3,010
Medical Services			28,600		53,600
Subtotal			\$123,690		\$170,522
Total Personnel		10.0	\$1,280,901	10.0	\$1,337,693
Distribution By Source Of Funds					
General Revenue		10.0	\$1,239,733	10.0	\$1,299,693
Federal Funds		-	\$41,168	-	\$38,000
Total All Funds		10.0	\$1,280,901	10.0	\$1,337,693

Performance Measures

Department Of Corrections Parole Board

Parole Board Hearings

This number is reflective of the activities carried out by the Parole Board. The Parole Board conducts hearings where members evaluate eligible inmates for possible release on parole into the community. Meetings are held on a monthly basis and aggregated annually. [Performance data and targets are provided by State fiscal year. The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	1,284	1,284
Actual	N/A	1,387	428	--

Level II or III Sex Offender Classifications

The Sex Offender Board of Review examines those inmates convicted of serious sex offenses who are being released from the Department of Corrections. The Board assigns a level that determines statutory notification requirements to be carried out by the local police departments. The percentage is a public safety measure of those being released from prison into the community and is a measure of those that require the dedication of resources once in the community. [Performance data and targets are provided by State fiscal year. The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	50%	50%
Actual	N/A	29%	48%	--

Sex Offender Community Notifications

The number of notifications indicates the number of offenders determined to be a Level II or Level III sent to local police departments as required under state statute. This is a measure of public safety as notifications help make the public aware when releasees are sent back into the community. [Performance data and targets are provided by State fiscal year. The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	672	672
Actual	N/A	458	224	--

The Program

Department Of Corrections Custody and Security

Program Mission

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To maintain safe and clean facilities for the confinement of the inmate population. To investigate allegations of inmate misconduct and other activity that threatens public safety or the security of departmental operations. To provide technical services to security units such as the Correctional Emergency response Team and the K-9 unit.

Program Description

The Custody & Security Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes six correctional institutions and one jail complex providing the various security levels for both men and women. The Men's facilities are High Security, Maximum Security, John J. Moran Medium Security, Minimum Security (including men's work release) and the Intake Service Center (awaiting trial). The Women's facilities are the newly reconstructed/renovated Gloria McDonald (awaiting trial and higher security inmates) and Bernadette Guay (minimum security and work release inmates). The Donald Price Medium Security facility closed in 2012.

Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes the Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. These areas, in conjunction with the Records & Identification Unit, are under the supervision of the Assistant Director for Institutions and Operations.

Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. Section 4 creates the Division of Institutions & operations. Section 6 charges the Director to manage, supervise and control all of the Adult Correctional Institutions. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

The Budget

Department Of Corrections Custody and Security

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Institutions	114,704,320	117,651,401	112,635,154	115,845,123	112,925,185
Support Operations	39,500,211	41,256,268	3,172,184	2,991,183	3,254,748
Institutional Rehab Services	5,610,888	5,988,621	-	-	-
Transitional services	1,086,131	969,766	-	-	-
Total Expenditures	\$160,901,550	\$165,866,056	\$115,807,338	\$118,836,306	\$116,179,933
Expenditures By Object					
Personnel	143,893,144	149,083,636	112,586,321	115,721,809	113,082,062
Operating Supplies and Expenses	12,566,391	12,802,780	1,912,153	1,877,126	1,860,500
Assistance and Grants	1,264,750	1,199,850	1,247,966	1,176,473	1,176,473
Subtotal: Operating Expenditures	157,724,285	163,086,266	115,746,440	118,775,408	116,119,035
Capital Purchases and Equipment	3,177,265	2,779,790	60,898	60,898	60,898
Operating Transfers	-	-	-	-	-
Total Expenditures	\$160,901,550	\$165,866,056	\$115,807,338	\$118,836,306	\$116,179,933
Expenditures By Funds					
General Revenue	155,726,522	161,374,820	115,077,455	118,077,119	115,418,407
Federal Funds	2,231,410	1,897,407	700,125	759,187	761,526
Restricted Receipts	-	27,498	29,758	-	-
Operating Transfers from Other Funds	2,943,618	2,566,331	-	-	-
Total Expenditures	\$160,901,550	\$165,866,056	\$115,807,338	\$118,836,306	\$116,179,933

Personnel

Department Of Corrections Custody and Security

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY WARDEN CORRECTIONS	00040A	10.0	1,077,230	10.0	1,082,943
CORRECTIONAL OFFICER-CAPTAIN	00630A	14.0	1,251,816	14.0	1,254,181
RECORDS AND IDENTIFICATION OFFICER (CAPT.)	00028A	2.0	158,029	2.0	158,029
WORK REHABILITATION PROGRAM SUPERVISOR	00028A	1.0	78,961	1.0	78,961
SECURITY SPECIALIST (CORRECTIONS)	00628A	4.0	312,343	4.0	312,343
CORRECTIONAL OFFICER-LIEUTENANT	00626A	57.0	4,304,207	57.0	4,328,403
CORRECTIONAL INVESTIGATOR II	00628A	1.0	71,146	1.0	71,146
RECORDS AND IDENTIFICATION OFFICER (LT.)	00024A	7.0	492,044	7.0	497,332
CORRECTIONAL OFFICER (CANINE)	00624A	2.0	138,422	2.0	138,422
CORRECTIONAL OFFICER ARMORER	00624A	1.0	68,424	1.0	68,424
CORRECTIONAL INVESTIGATOR I	00624A	7.0	472,089	7.0	474,450
CORRECTIONAL OFFICER	00021A	859.0	51,552,912	859.0	52,235,891
OFFICE MANAGER	00023A	2.0	116,518	2.0	116,518
ASSISTANT ADMINISTRATIVE OFFICER	00021A	1.0	48,839	1.0	48,839
STOREKEEPER (ACI)	00017A	1.0	47,384	1.0	47,384
EXECUTIVE ASSISTANT	00018A	5.0	222,055	5.0	222,927
DATA CONTROL CLERK	00015A	4.0	170,000	4.0	172,978
CLERK SECRETARY	00016A	2.0	83,792	2.0	84,488
SENIOR WORD PROCESSING TYPIST	00312A	2.0	71,551	2.0	71,551
Subtotal		982.0	\$60,737,762	982.0	\$61,465,210
Unclassified					
ASSISTANT DIRECTOR INSTITUTIONS/OPERATIONS	00844A	1.0	142,423	1.0	144,346
DEPUTY ASSISTANT DIRECTOR, ADULT SERVICES	00815F	6.0	716,776	6.0	716,776
SCHOOL SOCIAL WORKER	00002A	1.0	105,978	1.0	105,335
COORDINATOR OF EDUCATION	00841F	1.0	55,689	1.0	55,689
Subtotal		9.0	\$1,020,866	9.0	\$1,022,146
Briefing Time		-	1,375,636	-	1,375,636
Overtime		-	16,622,138	-	11,006,422
Turnover		-	(5,385,786)	-	(5,634,222)
Subtotal		-	\$12,611,988	-	\$6,747,836
Total Salaries		991.0	\$74,370,616	991.0	\$69,235,192
Benefits					
Payroll Accrual			394,189		398,573
Holiday			2,634,713		2,395,193
FICA			5,632,264		5,590,109
Retiree Health			3,890,594		4,393,209
Health Benefits			13,047,349		13,603,148
Retirement			12,573,654		13,713,569
Contract Stipends			726,374		1,295,012
Workers Compensation			231,492		231,492
Subtotal			\$39,130,629		\$41,620,305

Personnel

Department Of Corrections Custody and Security

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		991.0	\$113,501,245	991.0	\$110,855,497
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$114,532		\$111,862
Statewide Benefit Assessment			\$2,125,990		\$2,131,991
Payroll Costs		991.0	\$115,627,235	991.0	\$112,987,488
Purchased Services					
Clerical and Temporary Services			80,298		80,298
Other Contracts			10,118		10,118
Medical Services			4,158		4,158
Subtotal			\$94,574		\$94,574
Total Personnel		991.0	\$115,721,809	991.0	\$113,082,062
Distribution By Source Of Funds					
General Revenue		988.0	\$115,020,140	988.0	\$112,378,567
Federal Funds		3.0	\$701,669	3.0	\$703,495
Total All Funds		991.0	\$115,721,809	991.0	\$113,082,062

Performance Measures

Department Of Corrections Custody and Security

Inmate on Inmate Fights

The figures below represent the number of altercations among the inmate population. Alongside other data and information, this can be a reflection of the inmate climate within the institutions. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last seven months of FY 2012 (12/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	N/A	N/A
Actual	N/A	116	60	--

Immediate Use of Force

The figures below represent the number of incidents where force is utilized by staff on the inmate population. Alongside other data and information, this can be a reflection of the inmate climate within the institutions. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last seven months of FY 2012 (12/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	N/A	N/A
Actual	N/A	127	111	--

The Program

Department Of Corrections Institutional Support

Program Mission

To support the facilities and inmates by providing nutritionally balanced food and beverages. To provide daily and preventive maintenance to 45 department buildings on the Pastore Complex and grounds maintenance within the department's fence lines. To classify inmates to the most appropriate level of custody, consistent with staff, inmate and public safety.

Program Description

The program includes support services that complement and enhance the objectives of the Custody and Security program. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings and grounds including fire safety, locksmiths, security systems, and environmental health inspections. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services.

Statutory History

Statutes governing the Classification process include R.I.G.L. 42-56-10, Powers of the Director, 42-56-29, Receiving and Orientation Unit, 42-56-30, Classification Board, 42-56-31, Determination of Classification and Rehabilitation Programs of Prisoners, and 42-56-32, Classification Unit.

The Budget

Department Of Corrections Institutional Support

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Probation & Parole	11,545,220	12,208,814	8,082,058	8,249,209	8,268,635
Transitional Services	-	-	16,222,957	14,215,983	22,000,351
Community Programs	364,910	294,940	1,336,929	1,130,664	1,192,362
Operations	2,053,695	2,187,846	1,493,565	1,462,736	1,436,709
Total Expenditures	\$13,963,825	\$14,691,600	\$27,135,509	\$25,058,592	\$32,898,057
Expenditures By Object					
Personnel	13,328,652	14,004,361	7,432,894	7,067,945	7,299,100
Operating Supplies and Expenses	632,374	684,647	8,229,540	8,193,982	8,355,731
Assistance and Grants	2,799	2,592	-	-	-
Subtotal: Operating Expenditures	13,963,825	14,691,600	15,662,434	15,261,927	15,654,831
Capital Purchases and Equipment	-	-	11,473,075	9,796,665	17,243,226
Total Expenditures	\$13,963,825	\$14,691,600	\$27,135,509	\$25,058,592	\$32,898,057
Expenditures By Funds					
General Revenue	13,584,124	14,219,595	15,735,909	15,335,402	15,728,306
Federal Funds	367,354	406,733	-	-	-
Restricted Receipts	12,347	65,272	-	-	-
Operating Transfers from Other Funds	-	-	11,399,600	9,723,190	17,169,751
Total Expenditures	\$13,963,825	\$14,691,600	\$27,135,509	\$25,058,592	\$32,898,057

Personnel

Department Of Corrections Institutional Support

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR OF CLASSIFICATION	00040A	1.0	111,722	1.0	111,722
ASSOCIATE DIRECTOR OF MAINTENANCE	00039A	1.0	100,513	1.0	103,358
ASSOCIATE DIRECTOR - FOOD SERVICES	00034A	1.0	90,878	1.0	90,878
SUPERVISOR OF FOOD SERVICES (ACI)	00027A	2.0	152,734	2.0	152,734
INTAKE SERVICES COORDINATOR	00030A	1.0	74,225	1.0	74,225
CLASSIFICATION COUNSELOR(CORR)	00026A	2.0	143,202	2.0	142,947
CORRECTIONAL OFFICER-STEWARD	00024A	21.0	1,485,638	21.0	1,489,952
CHIEF OF MOTOR POOL AND MAINTENANCE	00026A	1.0	67,495	1.0	67,495
MAINTENANCE SUPERINTENDENT (CORRECTIONS)	00627A	2.0	134,824	2.0	134,824
ENVIRONMENTAL HEALTH COORDINATOR	00030A	1.0	65,568	1.0	65,568
ADULT COUNSELOR (CORRECTIONS)	00027A	3.0	192,588	3.0	192,588
PROGRAMMING SERVICES OFFICER	00031A	1.0	60,156	1.0	60,156
PLUMBER SUPVR (ACI)	00922G	1.0	50,815	1.0	50,815
ASSISTANT ADMINISTRATIVE OFFICER	00021A	1.0	50,599	1.0	51,123
LOCKSMITH II	00020A	2.0	95,917	2.0	95,917
ELECTRICIAN SUPERVISOR (CORRECTIONS)	00022A	2.0	93,048	2.0	96,726
CARPENTER SUPERVISOR (CORRECTIONS)	00920A	1.0	45,264	1.0	45,264
BUILDING SYSTEMS TECHNICIAN	00017A	2.0	90,265	2.0	90,265
BUILDING MAINTENANCE SUPERVISOR	00920A	3.0	134,106	3.0	137,510
IMPLEMENTATION AIDE	00022A	1.0	44,106	1.0	44,106
PLUMBER (CORRECTIONS)	00918G	2.0	86,730	2.0	86,730
FIRE SAFETY TECHNICIAN (CORRECTIONS)	00018A	1.0	42,623	1.0	42,623
SR MAINT TECHN (CORRECTIONS)	00016G	4.0	168,171	4.0	168,171
SENIOR WORD PROCESSING TYPIST	00312A	1.0	40,874	1.0	41,485
LICENSED STEAMFITTER (ACI)	00017G	1.0	40,302	1.0	40,302
ELECTRICIAN (CORRECTIONS)	00918G	1.0	39,437	1.0	39,437
Subtotal		60.0	\$3,701,800	60.0	\$3,716,921
Unclassified					
COORDINATOR OF EDUCATION	00841F	1.0	65,243	1.0	65,243
Subtotal		1.0	\$65,243	1.0	\$65,243

Personnel

Department Of Corrections Institutional Support

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Briefing Time		-	53,872	-	53,872
Overtime		-	888,044	-	888,044
Turnover		-	(255,515)	-	(226,055)
Subtotal		-	\$686,401	-	\$715,861
Total Salaries		61.0	\$4,453,444	61.0	\$4,498,025
Benefits					
Payroll Accrual			23,703		24,337
Holiday			114,872		104,429
FICA			350,873		353,485
Retiree Health			240,893		271,586
Health Benefits			747,125		832,142
Retirement			778,857		855,245
Contract Stipends			36,130		36,130
Subtotal			\$2,292,453		\$2,477,354
Total Salaries and Benefits		61.0	\$6,745,897	61.0	\$6,975,379
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$110,588		\$114,350
Statewide Benefit Assessment			\$131,681		\$133,354
Payroll Costs		61.0	\$6,877,578	61.0	\$7,108,733
Purchased Services					
University and College Services			63,000		63,000
Other Contracts			6,577		6,577
Buildings and Ground Maintenance			19,319		19,319
Design and Engineering Services			99,232		99,232
Medical Services			2,239		2,239
Subtotal			\$190,367		\$190,367
Total Personnel		61.0	\$7,067,945	61.0	\$7,299,100
Distribution By Source Of Funds					
General Revenue		61.0	\$7,067,945	61.0	\$7,299,100
Total All Funds		61.0	\$7,067,945	61.0	\$7,299,100

Performance Measures

Department Of Corrections Institutional Support

Timeliness of Inmate Classification

The Department of Corrections was previously involved in a Federal court suit over the conditions of confinement, and it was noted that newly sentenced inmates were being held for long periods of time at the Intake Service Center before being classified and sent to a sentenced facility. This measure indicates if there are delays in the classification process and the transfer of classified inmates to the sentenced facilities, as defined under RI General Laws 42-56.29. This condition was agreed to as part of the Federal court consent decree in addressing overcrowding at the Intake Service Center facility. The figures below represent the number of days, on average, the number of days it has taken to classify and transfer an inmate. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last six months of FY 2012 (1/1/12 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	<30	<30
Actual	N/A	16	23	--

The Program

Department Of Corrections Institutional Based Rehab/Pop Mgmt

Program Mission

To provide vitally important rehabilitative services, such as counseling and life skills/ re-entry training. To ensure that all inmates have access to all available educational services to achieve at least functional literacy and GED attainment. To produce quality goods and services and to create a work atmosphere that will provide inmates with marketable skills.

Program Description

The program is responsible for rehabilitative services in order to successfully reintegrate inmates into the community. The Department includes a comprehensive program of offender assessment and case management to identify and incorporate various treatment and rehabilitative programs. Program services include the following:

- 1) Educational and vocational training, including Adult Basic Education, Special Education/Title I, GED classes and testing, post secondary and vocational training programs, and general reading and law libraries for use by prisoners.
- 2) Correctional Industries, which employs 134 inmates who provide moving, painting, clean up, printing, license plate, upholstery, auto body repair, carpentry, and furniture products and services to state and municipal agencies and provides basic instruction in manufacturing and general job market training.
- 3) Case Management & Individual Program Planning, including a risk/needs assessment to form the basis for further development of individual program assignments and identification of high risk inmates requiring more intensive case management.
- 4) Reentry/Treatment Services, providing services to improve inmate behavior and cognitive functioning such as substance abuse treatment, sex-offender treatment, sexual abuse counseling, high risk offender reintegration, domestic violence intervention programming, parent visitation and parenting education, victim impact education, chaplaincy services, cognitive restructuring, barber apprentice, and various volunteer services.
- 5) Reentry Services, such as discharge planning and reentry council review, which will assist inmates to deal with housing, employment, family reunification and continued treatment issues that will enable them to return to their communities and live crime-free lives.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations. R.I.G.L. 42-56-24 (enacted in 2008) expands the number of days an inmate can reduce his sentence through participation in programs.

The Budget

Department Of Corrections Institutional Based Rehab/Pop Mgmt

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Education/Voc Ed Services	-	-	3,696,230	3,129,076	3,498,583
Case Mgmt & Planning	5,148,222	5,420,576	2,216,744	2,322,496	2,341,064
Re-entry/Treatment Services	5,254,495	4,082,007	10,935,176	12,321,471	12,097,341
Instit Rehab & Popul Mgmt Pgms	-	-	466,752	415,815	422,943
Internal Services	[10,402,717]	[9,502,583]	[7,353,215]	[8,238,823]	[8,341,086]
Total Expenditures	\$10,402,717	\$9,502,583	\$17,314,902	\$18,188,858	\$18,359,931
Expenditures By Object					
Personnel	2,973,411	2,845,178	11,369,262	12,229,413	12,507,134
Operating Supplies and Expenses	7,268,722	6,471,893	5,211,622	5,225,427	5,118,779
Assistance and Grants	97,775	94,516	214,235	214,235	214,235
Subtotal: Operating Expenditures	10,339,908	9,411,587	16,795,119	17,669,075	17,840,148
Capital Purchases and Equipment	62,809	90,996	519,783	519,783	519,783
Total Expenditures	\$10,402,717	\$9,502,583	\$17,314,902	\$18,188,858	\$18,359,931
Expenditures By Funds					
General Revenue	-	-	8,878,408	8,732,600	9,129,775
Federal Funds	-	-	1,083,279	1,187,677	859,312
Restricted Receipts	-	-	-	29,758	29,758
Other Funds	10,402,717	9,502,583	7,353,215	8,238,823	8,341,086
Total Expenditures	\$10,402,717	\$9,502,583	\$17,314,902	\$18,188,858	\$18,359,931

Personnel

Department Of Corrections

Institutional Based Rehab/Pop Mgmt

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR INDUSTRIES	00037A	1.0	94,538	1.0	94,538
PROFESSIONAL SERVICES COORDINATOR	00034A	2.0	162,335	2.0	162,335
COUNSELING SERVICES COORDINATOR	00032A	1.0	81,104	1.0	81,104
SUBS ABUSE COORD	000302	1.0	73,583	1.0	75,122
INDUSTRIES GENERAL SUPERVISOR (ACI)	00028A	2.0	142,164	2.0	142,164
PAROLE COORDINATOR	00027A	1.0	70,079	1.0	70,079
MARKETING/SALES MANAGER (PRISON)	00026A	1.0	67,495	1.0	67,495
ADULT COUNSELOR (CORRECTIONS)	00027A	21.0	1,384,076	21.0	1,389,610
PRINTING SHOP SUPERVISOR (ACI)	000001	2.0	116,106	2.0	116,106
METAL STMP SHOP SUPERV (ACI)	000001	1.0	56,827	1.0	58,106
FURN/UPHOLSTERY REPAIR SHP SUP	00922A	2.0	109,299	2.0	110,134
AUTO BODY SHOP SUPERVISOR (ACI)	00022A	1.0	54,647	1.0	54,647
HORTICULTURE SHOP SUPERVISOR (ACI)	00022A	2.0	107,024	2.0	107,024
GARMENT SHOP SUPRV (ACI)	000001	1.0	53,309	1.0	53,309
JANITORIAL/MAINTENANCE SUPERVISOR	00021A	1.0	52,540	1.0	53,563
ASST BUS MGT OFF	000001	1.0	48,833	1.0	49,479
STOREKEEPER (ACI)	00017A	1.0	47,384	1.0	47,384
LIBRARIAN (ACI)	00620A	2.0	92,764	2.0	95,197
DATA CONTROL CLERK	00015A	1.0	45,357	1.0	45,357
INFORMATION AIDE	00315A	1.0	39,165	1.0	39,165
EXECUTIVE ASSISTANT	00018A	1.0	38,413	1.0	39,243
SENIOR WORD PROCESSING TYPIST	00312A	2.0	72,691	2.0	73,736
SENIOR RECONCILIATION CLERK	00014A	1.0	35,717	1.0	36,301
Subtotal		50.0	\$3,045,450	50.0	\$3,061,198
Unclassified					
ASST DIR OF REHAB SERV	00844AA	1.0	106,146	1.0	106,146
SPECIAL EDUCATION DIRECTOR/PRINCIPAL	00837A	1.0	105,552	1.0	105,552
TEACH ACAD (ENG/END IN ESL-SPA	00001A	1.0	90,838	1.0	90,194
TEACHER ACADEMIC-INDSTRAL ARTS	00001A	1.0	87,427	1.0	87,093
TEACHER ACADEMIC	00001A	10.0	850,720	10.0	850,073
TEACHER ACADEMIC (SPEC ED)	00001A	3.0	197,819	3.0	201,118
SCHOOL PSYCHOLOGIST	00002A	1.0	62,664	1.0	62,664
Subtotal		18.0	\$1,501,166	18.0	\$1,502,840

Personnel

Department Of Corrections Institutional Based Rehab/Pop Mgmt

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Overtime		-	1,011,389	-	1,008,389
Turnover		-	(51,324)	-	(21,854)
Subtotal		-	\$960,065	-	\$986,535
Total Salaries		68.0	\$5,506,681	68.0	\$5,550,573
Benefits					
Payroll Accrual			25,274		25,986
FICA			426,457		430,267
Retiree Health			307,851		350,008
Health Benefits			794,546		847,034
Retirement			963,439		1,102,201
Contract Stipends			16,092		16,092
Subtotal			\$2,533,659		\$2,771,588
Total Salaries and Benefits		68.0	\$8,040,340	68.0	\$8,322,161
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$118,240		\$122,385
Statewide Benefit Assessment			\$169,994		\$171,860
Payroll Costs		68.0	\$8,210,334	68.0	\$8,494,021
Purchased Services					
University and College Services			415,807		421,794
Clerical and Temporary Services			148,716		148,716
Other Contracts			1,890,001		1,632,064
Buildings and Ground Maintenance			200		231,386
Training and Educational Services			237,067		239,264
Design and Engineering Services			2,000		2,000
Medical Services			1,325,288		1,337,889
Subtotal			\$4,019,079		\$4,013,113
Total Personnel		68.0	\$12,229,413	68.0	\$12,507,134
Distribution By Source Of Funds					
General Revenue		51.0	\$8,487,315	51.0	\$8,880,937
Federal Funds		-	\$1,008,477	-	\$796,486
Restricted Receipts		-	\$29,758	-	\$29,758
Other Funds		17.0	\$2,703,863	17.0	\$2,799,953
Total All Funds		68.0	\$12,229,413	68.0	\$12,507,134

Performance Measures

Department Of Corrections Institutional Based Rehab/Pop Mgmt

Substance-Abuse Treatment Assessment

Comprehensive substance abuse assessments analyze an inmate's need for treatment services. This measure, when considered along with risk and need data, ensures that treatment slots are being utilized appropriately for those who truly need them. This information also assists clinicians with ensuring high-risk, high-need inmates that are nearing discharge are adequately served. Research has shown this methodology to be the most effective with supporting on-going recovery once an inmate reenters the community. The figures below represent the number of substance-abuse treatment assessments conducted. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	690	690
Actual	N/A	614	230	--

Substance Abuse Treatment Admissions

The figures below represent the number of inmates with a substance abuse diagnosis that are admitted to treatment. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	687	687
Actual	N/A	576	229	--

Academic Programming Enrollment

The figures below represent the number of individuals participating in education programs, which reflects preparation of improved skill set community reintegration. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	4,044	4,044
Actual	N/A	3,541	1,348	--

The Program

Department Of Corrections Healthcare Svcs

Program Mission

To provide constitutionally mandated health care to all inmates. To provide needed diagnosis and medical care for chronic medical conditions that will prevent more serious conditions. To provide care that is focused on ensuring the best possible functioning in the correctional setting and that allows successful reintegration into the family and community. To provide open access to acute care services so that offender progress through the legal process is unimpeded. To provide the community with case findings and public health services and intervention to protect the health of all Rhode Islanders.

Program Description

The Healthcare Services Program provides medical, dental and mental health services to the inmate population, both sentenced and awaiting trial. Such services include inmate evaluations by nurses and behavioral health specialists, further evaluations by physicians or psychiatrists, and administration of medications. The Program operates two 24-hour infirmaries at the Women's Facility and the Intake Service Center, as well a seven on-site dispensaries, under the coordination of a Medical Program Director. These facilities are staffed by nurses, full-time physicians, dentists, mental health workers, psychiatrists, as well as support staff of health educators, medical records maintenance, and dental hygienists and assistants. The program also provides transportation to outside clinics for treatment not available under the medical assistance guidelines.

Healthcare Services also has an important public health function for the State of Rhode Island, as it serves Rhode Island's highest risk population, a population unlikely to receive regular medical or dental care and therefore at risk for contracting and spreading untreated infectious disease. The daily inmate population is many times more likely than the general population to have infectious and other diseases and conditions. Approximately 1% of the population is HIV positive and we have diagnosed more HIV infections in the state than any other testing site. Also, approximately 25% of the inmate population is infected with Hepatitis C, 15-20% of the incarcerated population has serious mental illness and up to 80% of the population are substance abusers.

Statutory History

Under the US Constitution and RIGL 42-56-10, the Healthcare Services unit is mandated to provide medical, dental, and behavioral healthcare to the incarcerated inmate population who are either sentenced or awaiting trial within all facilities of the Rhode Island Department of Corrections (RIDOC). The Department also complies with state medicaid as well as National Commission on Correctional Healthcare standards (NCCH) for the management of the Department's medical delivery system.

The Budget

Department Of Corrections Healthcare Svcs

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Medical Services	-	-	3,431,151	3,683,242	4,248,580
Nursing Services	-	-	7,592,813	6,720,911	7,756,512
Dental Services	-	-	1,063,868	801,946	807,239
Pharmacy Services	-	-	2,873,357	2,717,923	2,723,669
Physician Services	-	-	851,717	1,266,080	1,327,156
Behavioral Health Services	-	-	2,093,944	2,287,596	2,306,995
AIDS Counseling	-	-	233,758	235,448	235,859
Medical Records	-	-	335,638	477,181	483,259
Total Expenditures	-	-	\$18,476,246	\$18,190,327	\$19,889,269
Expenditures By Object					
Personnel	-	-	15,552,949	15,446,822	17,082,495
Operating Supplies and Expenses	-	-	2,920,096	2,740,304	2,803,573
Subtotal: Operating Expenditures	-	-	18,473,045	18,187,126	19,886,068
Capital Purchases and Equipment	-	-	3,201	3,201	3,201
Total Expenditures	-	-	\$18,476,246	\$18,190,327	\$19,889,269
Expenditures By Funds					
General Revenue	-	-	18,476,246	18,190,327	19,889,269
Total Expenditures	-	-	\$18,476,246	\$18,190,327	\$19,889,269

Personnel

Department Of Corrections

Healthcare Svcs

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
MEDICAL PROGRAM DIRECTOR (CORRECTIONS)	00054A	1.0	161,045	1.0	161,045
CHIEF OF DENTAL SERVICES	00044A	1.0	134,935	1.0	134,935
PHYSICIAN II (GENERAL)	00040A	3.0	363,686	3.0	363,536
ASSOCIATE DIRECTOR OF HEALTH CARE	00041A	1.0	117,336	1.0	117,336
DIRECTOR OF GENERAL NURSING SERVICES	00040A	1.0	107,090	1.0	107,090
PHYSICIAN EXTENDER (CORRECTIONS)	00659A	2.0	207,917	2.0	209,203
CLINICAL DIRECTOR PSYCHOLOGIST	00041A	1.0	101,773	1.0	101,773
INTERDEPARTMENTAL PROJECT MANAGER	00039A	1.0	97,587	1.0	97,587
CORRECTIONAL OFFICER (HOSPITAL SUPERVISOR)	00655A	4.0	353,823	4.0	355,729
PRINCIPAL PUBLIC HEALTH PROMOTION	00033A	1.0	85,244	1.0	85,244
CORRECTIONAL OFFICER HOSPITAL II	00651A	33.0	2,645,061	33.0	2,656,173
CLINICAL PSYCHOLOGIST	00027A	1.0	76,156	1.0	75,696
PUBLIC HEALTH EDUCATION SPECIALIST	00331A	1.0	70,542	1.0	70,427
CORRECTIONAL OFFICER-HOSPITAL	00024A	9.0	579,497	9.0	579,497
SUPERVISING CLINICAL PSYCHOLOGIST	00B29A	1.0	63,965	1.0	67,183
CLINICAL SOCIAL WORKER	00B27A	11.0	700,228	11.0	707,719
SENIOR X-RAY TECHNICIAN CORRECTIONS	00620A	1.0	52,800	1.0	52,800
PHARMACY AIDE	00016A	1.0	46,295	1.0	46,295
ADMINISTRATIVE OFFICER	00024A	1.0	45,289	1.0	46,688
MEDICAL RECORDS TECHNICIAN	00020A	1.0	44,026	1.0	44,026
DENTAL ASSISTANT (CORRECTIONS)	00614A	2.0	87,718	2.0	88,051
SENIOR RECONCILIATION CLERK	00014A	1.0	40,602	1.0	41,208
MEDICAL RECORDS CLERK	00011A	4.0	154,959	4.0	157,191
CLERK SECRETARY	00016A	1.0	37,990	1.0	38,937
Subtotal		84.0	\$6,375,564	84.0	\$6,405,369
Briefing Time		-	79,708	-	79,708
Overtime		-	1,449,402	-	1,449,402
Turnover		-	(751,493)	-	(261,957)
Subtotal		-	\$777,617	-	\$1,267,153
Total Salaries		84.0	\$7,153,181	84.0	\$7,672,522
Benefits					
Payroll Accrual			38,896		41,551
Holiday			225,570		205,065
FICA			563,784		602,840
Retiree Health			385,810		469,183
Health Benefits			919,064		989,040
Retirement			1,247,419		1,477,491
Contract Stipends			35,523		66,181
Subtotal			\$3,416,066		\$3,851,351

Personnel

Department Of Corrections Healthcare Svcs

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		84.0	\$10,569,247	84.0	\$11,523,873
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$125,824		\$137,189
Statewide Benefit Assessment			\$210,904		\$230,379
Payroll Costs		84.0	\$10,780,151	84.0	\$11,754,252
Purchased Services					
University and College Services			197,909		197,909
Management & Consultant Services			25,000		25,000
Other Contracts			194,760		194,760
Medical Services			4,249,002		4,910,574
Subtotal			\$4,666,671		\$5,328,243
Total Personnel		84.0	\$15,446,822	84.0	\$17,082,495
Distribution By Source Of Funds					
General Revenue		84.0	\$15,446,822	84.0	\$17,082,495
Total All Funds		84.0	\$15,446,822	84.0	\$17,082,495

Performance Measures

Department Of Corrections Healthcare Svcs

Physician Encounters

The department provides medical services to inmates via department staff and contracted providers. The figures below represent the number of inmate/physician or physician assistant encounters, and is indicative of the department's responsiveness on health treatment. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	17,418	17,418
Actual	N/A	11,629	5,806	--

Hospital Level Care

Hospital level care is a significant cost to the Department as it involves supervision and medical treatment costs. Variations in the number of hospital-level care days is partially indicative of the health of the inmate population. The figures below represent the number of hospital days provided to inmates in outside hospital facilities. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	1,230	1,230
Actual	N/A	691	410	--

The Program

Department Of Corrections Community Corrections

Program Mission

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

Program Description

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

Probation and Parole: The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. In June 2012, those under active supervision totaled 8,741.

Community Programs: Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration. In FY 2012, 487 inmates successfully completed community confinement, while 124 were unsuccessful.

Victim Services: The program offers victims automated offender information and advocacy services such as crisis intervention, referral, and case management needs.

Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

The Budget

Department Of Corrections Community Corrections

	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
Expenditures By Subprogram					
Probation Services	-	-	10,944,447	11,487,447	11,734,432
Parole Services	-	-	1,494,210	1,294,944	1,355,489
Community-based Programs	-	-	2,107,046	1,813,442	1,980,055
Victim Services	-	-	170,153	170,153	170,153
Community Corrections	-	-	958	958	958
Total Expenditures	-	-	\$14,716,814	\$14,766,944	\$15,241,087
Expenditures By Object					
Personnel	-	-	13,841,788	13,862,648	14,365,809
Operating Supplies and Expenses	-	-	863,234	892,504	863,486
Assistance and Grants	-	-	3,978	3,978	3,978
Subtotal: Operating Expenditures	-	-	14,709,000	14,759,130	15,233,273
Capital Purchases and Equipment	-	-	7,814	7,814	7,814
Total Expenditures	-	-	\$14,716,814	\$14,766,944	\$15,241,087
Expenditures By Funds					
General Revenue	-	-	14,532,087	14,552,350	15,131,969
Federal Funds	-	-	153,088	170,990	73,986
Restricted Receipts	-	-	31,639	43,604	35,132
Total Expenditures	-	-	\$14,716,814	\$14,766,944	\$15,241,087

Personnel

Department Of Corrections Community Corrections

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR OF COMMUNITY CONFINEMENT	00039A	1.0	106,753	1.0	106,753
ASSISTANT PROBATION AND PAROLE	00038A	2.0	192,726	2.0	192,726
DEPUTY COMPACT ADMINISTRATOR (ADULT PROBATION AND PAROLE SUPERVISOR	00031A	1.0	89,587	1.0	89,127
PROBATION AND PAROLE SUPERVISOR	00033A	9.0	790,445	9.0	797,618
HOME CONFINEMENT COORDINATOR	00033A	1.0	84,613	1.0	84,613
PROBATION AND PAROLE OFFICER II	00029A	70.0	5,160,021	70.0	5,169,789
COMMUNITY PROGRAM COUNSELOR	00027A	5.0	320,620	5.0	320,620
CORRECTIONAL OFFICER	00021A	6.0	378,518	6.0	381,386
PROBATION AND PAROLE OFFICER I	00027A	9.0	535,061	9.0	537,070
ADMINISTRATIVE OFFICER	00024A	1.0	54,753	1.0	54,753
PROBATION AND PAROLE AIDE	00018A	11.0	486,259	11.0	487,817
DATA CONTROL CLERK	00015A	1.0	43,932	1.0	43,932
SENIOR WORD PROCESSING TYPIST	00312A	12.0	432,882	12.0	434,673
Subtotal		129.0	\$8,676,170	129.0	\$8,700,877
Briefing Time		-	43,204	-	43,204
Cost Allocation from Other Programs		-	33,214	-	25,112
Overtime		-	233,555	-	223,270
Turnover		-	(515,638)	-	(411,709)
Subtotal		-	(\$205,665)	-	(\$120,123)
Total Salaries		129.0	\$8,470,505	129.0	\$8,580,754
Benefits					
Payroll Accrual			46,334		48,159
Holiday			18,964		17,240
FICA			648,827		657,714
Retiree Health			561,492		634,716
Health Benefits			1,440,775		1,636,395
Retirement			1,815,635		1,998,991
Contract Stipends			8,299		13,281
Subtotal			\$4,540,326		\$5,006,496
Total Salaries and Benefits		129.0	\$13,010,831	129.0	\$13,587,250
Cost Per FTE Position (excluding Statewide Benefit Assessment)			\$100,859		\$105,328
Statewide Benefit Assessment			\$306,937		\$311,770
Payroll Costs		129.0	\$13,317,768	129.0	\$13,899,020

Personnel

Department Of Corrections Community Corrections

	Grade	FY 2013		FY 2014	
		FTE	Cost	FTE	Cost
Purchased Services					
Other Contracts			262,213		184,122
Training and Educational Services			27,667		27,667
Medical Services			255,000		255,000
Subtotal			\$544,880		\$466,789
Total Personnel		129.0	\$13,862,648	129.0	\$14,365,809
Distribution By Source Of Funds					
General Revenue		129.0	\$13,658,088	129.0	\$14,258,097
Federal Funds		-	\$160,956	-	\$72,580
Restricted Receipts		-	\$43,604	-	\$35,132
Total All Funds		129.0	\$13,862,648	129.0	\$14,365,809

Performance Measures

Department Of Corrections Community Corrections

Active Supervision Offenders

In addition to the 3,200 inmates located on the grounds of the Pastore Center, the Department oversees over 25,000 adult probation offenders that are based in the community. The figures below represent the monthly average of community-based individuals that are actively supervised by Community Corrections. This number impacts caseload ratios, which measure the average number of offenders overseen by one Probation Officer. The Department has had a history of very high caseloads but has been able to bring those averages down over the last several years through developing specialized caseloads. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last six months of FY 2012 (1/1/12 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	8,795	8,795
Actual	N/A	8,802	8,795	--

Home Confinee Field Visits

In addition to the individuals on probation and parole, the Department oversees the Community Confinement program. The figures below represent the percentage of home confinees with either electronic monitoring equipment or receiving a staff supervision field visit at least once per month. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	90%	90%
Actual	N/A	56%	83%	--

Inquiries to the Victim Notification System

The Department contracts for a voluntary victim notification system that provides options on the level of notification offered. Members of the public are invited to register in the system. The figures below represent the number of requests received for inmate release or proposed release information. [Performance data and targets are provided by State fiscal year. The 2012 Actual figure represents performance data from the last nine months of FY 2012 (10/1/11 through 6/30/12). The 2013 Actual figure represents performance data from the first four months of FY 2013 (7/1/12 through 10/31/12).]

	2011	2012	2013	2014
Target	N/A	N/A	82,224	82,224
Actual	N/A	63,238	27,408	--