

State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2015

Volume I – General Government, Quasi-Public Agencies and
Component Units

Lincoln D. Chafee, Governor

Dedication

*This year's budget documents are dedicated to the
Memory of William V. Golas, Jr.
Sr. Budget Analyst 1987 - 2013*

The image on the cover of this year's budget document is a Winter Scene of the State House from Artist John Pitocco of Providence and is reproduced by permission of the artist in collaboration with the Rhode Island State Council on the Arts.

General Government, Quasi-Public Agencies, and Component Units

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Budget

General Government Function Expenditures

	FY 2012 Actuals	FY 2013 Actuals	FY 2014 Enacted	FY 2014 Recommended	FY 2015 Recommended
Expenditure by Object					
Personnel	230,696,113	249,563,946	270,184,460	309,911,099	273,439,751
Operating Supplies and Expenses	317,164,524	325,565,913	306,524,624	403,221,092	405,466,619
Aid To Local Units Of Government	69,512,628	67,787,220	73,213,043	73,215,817	73,255,616
Assistance, Grants and Benefits	789,448,153	590,346,262	529,596,807	519,891,571	460,048,331
Subtotal: Operating Expenditures	\$1,406,821,418	\$1,233,263,341	\$1,179,518,934	\$1,306,239,579	\$1,212,210,317
Capital Purchases and Equipment	13,809,146	20,891,773	53,194,330	51,671,230	37,032,144
Debt Service	170,566,855	172,474,460	215,427,072	194,715,742	224,480,917
Operating Transfers	56,917,406	69,742,765	39,358,677	50,659,536	35,730,183
Total Expenditures	\$1,648,114,825	\$1,496,372,339	\$1,487,499,013	\$1,603,286,087	\$1,509,453,561
Expenditures by Funds					
General Revenue	411,577,799	426,503,426	440,355,856	438,908,999	475,165,710
Federal Funds	275,729,532	207,665,390	165,410,511	200,572,416	101,927,564
Restricted Receipts	86,688,440	94,636,491	104,563,271	123,049,532	100,387,226
Other Funds	874,119,054	767,567,032	777,169,375	840,755,140	831,973,061
Total Expenditures	\$1,648,114,825	\$1,496,372,339	\$1,487,499,013	\$1,603,286,087	\$1,509,453,561
FTE Authorization	2,283.3	2,294.1	2,276.7	2,294.7	2,305.7

Agency

Department Of Administration

Agency Mission

To manage the state's financial, human, and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost effective services possible.

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are effeciently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state.

The department, headed by the Director of Administration, has seventeen (17) programmatic functions including Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, Construction Permitting, Approvals, and Licensing (CPAL), HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

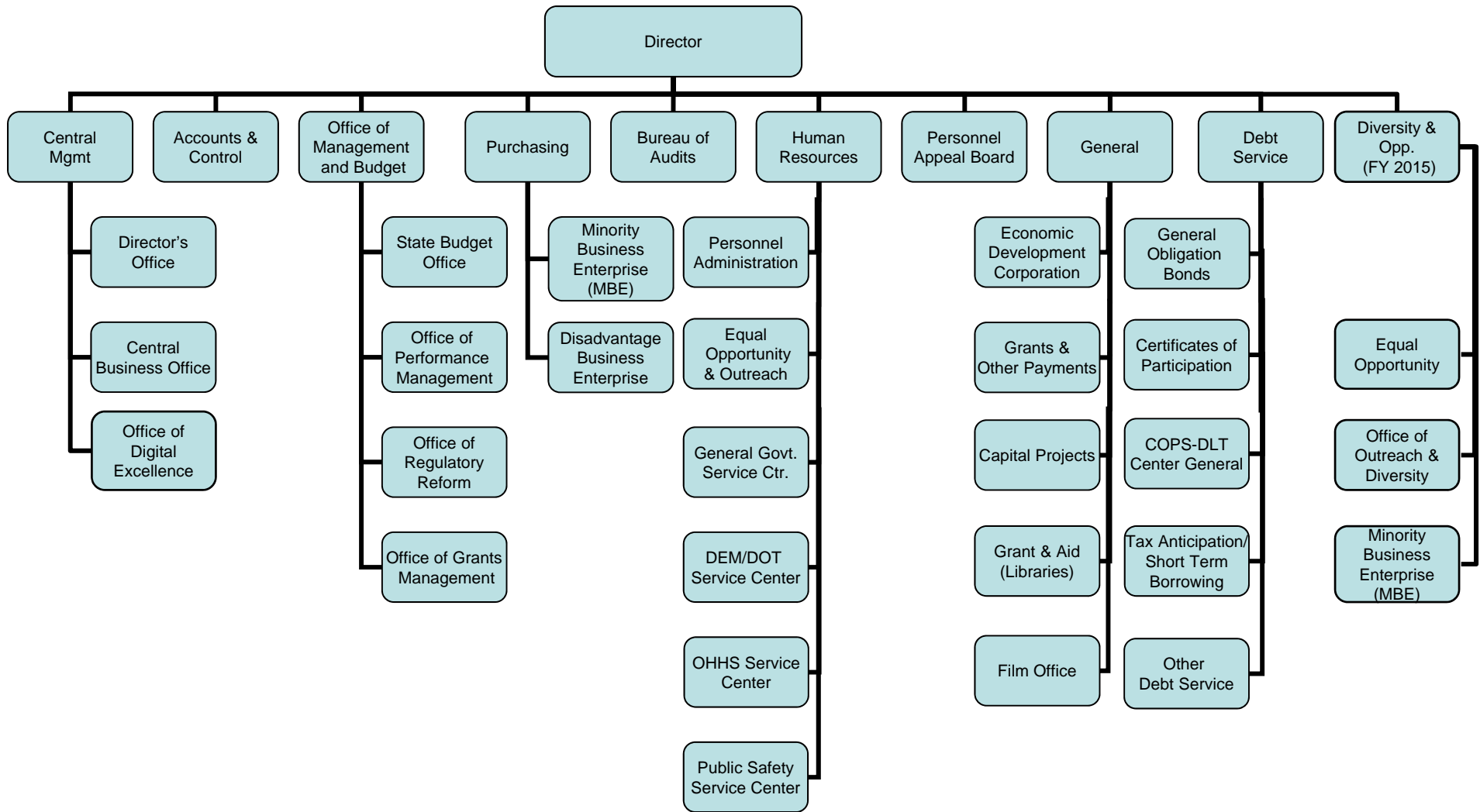
Department Of Administration

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Central Management	1,829,680	2,262,503	3,116,629	3,267,015	2,907,964
Accounts and Control	3,719,103	3,653,684	3,966,422	3,872,539	4,033,748
Office of Management and Budget	2,280,738	3,068,932	4,531,191	4,206,839	4,215,985
Purchasing	2,583,987	2,804,754	3,244,066	3,226,919	3,044,452
Auditing	1,211,825	1,117,015	1,344,585	1,386,254	1,434,565
Human Resources	10,748,149	10,630,230	11,187,394	10,952,876	10,606,059
Personnel Appeal Board	61,592	69,722	75,036	75,036	75,216
General	43,510,235	42,815,420	70,922,718	66,145,906	58,323,255
Debt Service Payments	191,350,509	195,042,441	193,027,072	189,810,382	219,580,917
Salary/Benefit Adjustments	-	-	(2,567,204)	(367,539)	-
Legal Services	1,925,459	2,028,387	1,948,683	2,312,716	2,039,872
Facilities Management	34,691,300	33,671,104	37,437,101	35,263,605	35,755,641
Capital Projects and Property Management	3,939,621	1,213,517	1,240,545	1,264,433	1,278,254
Information Technology	29,387,527	31,113,520	33,981,318	33,529,800	33,809,293
Library and Information Services	2,214,181	1,989,098	2,192,285	2,373,215	2,066,684
Planning	13,702,318	16,462,018	20,675,504	23,463,923	20,112,602
Personnel Reform	-	635,708	-	-	-
Energy Resources	47,059,751	3,971,692	11,199,557	11,923,939	5,790,201
Construction Permitting, Approvals and Licensing	-	2,521,818	2,829,509	2,887,562	2,823,428
Rhode Island Health Benefits Exchange	-	21,811,292	28,348,926	52,428,676	23,433,222
The Office of Diversity, Equity and Opportunity	-	-	-	-	1,183,454
<i>Internal Services</i>	<i>[343,575,109]</i>	<i>[339,127,088]</i>	<i>[391,690,537]</i>	<i>[387,098,773]</i>	<i>[388,863,701]</i>
Total Expenditures	\$390,215,975	\$376,882,855	\$428,701,337	\$448,024,096	\$432,514,812
Expenditures By Object					
Personnel	70,812,341	92,441,573	103,547,913	129,489,612	101,157,053
Operating Supplies and Expenses	33,023,938	33,821,968	33,705,966	32,798,651	34,138,453
Assistance and Grants	65,520,349	19,936,659	34,348,474	34,077,226	25,868,978
Aid to Local Units of Government	11,545,905	10,538,868	11,274,064	11,274,064	11,104,987
Subtotal: Operating Expenditures	180,902,533	156,739,068	182,876,417	207,639,553	172,269,471
Capital Purchases and Equipment	11,518,375	16,523,206	47,928,334	42,378,968	33,768,910
Debt Service (Fixed Charges)	162,329,608	166,445,036	192,927,072	189,710,382	219,480,917
Operating Transfers	35,465,459	37,175,545	4,969,514	8,295,193	6,995,514
Total Expenditures ⁽¹⁾	\$390,215,975	\$376,882,855	\$428,701,337	\$448,024,096	\$432,514,812
Expenditures By Funds					
General Revenue	248,320,234	260,904,556	264,801,211	262,101,671	295,595,931
Federal Funds	64,385,784	48,041,755	62,189,669	90,518,624	53,453,959
Restricted Receipts	15,107,473	7,165,104	15,912,808	15,803,850	14,201,089
Operating Transfers from Other Funds	55,180,502	53,562,499	78,680,968	72,031,460	60,693,218
Other Funds	7,221,982	7,208,941	7,116,681	7,568,491	8,570,615
Total Expenditures ⁽¹⁾	\$390,215,975	\$376,882,855	\$428,701,337	\$448,024,096	\$432,514,812
FTE Authorization	694.2	712.1	720.7	720.7	723.7

⁽¹⁾ Does not include Internal Services expenditures.

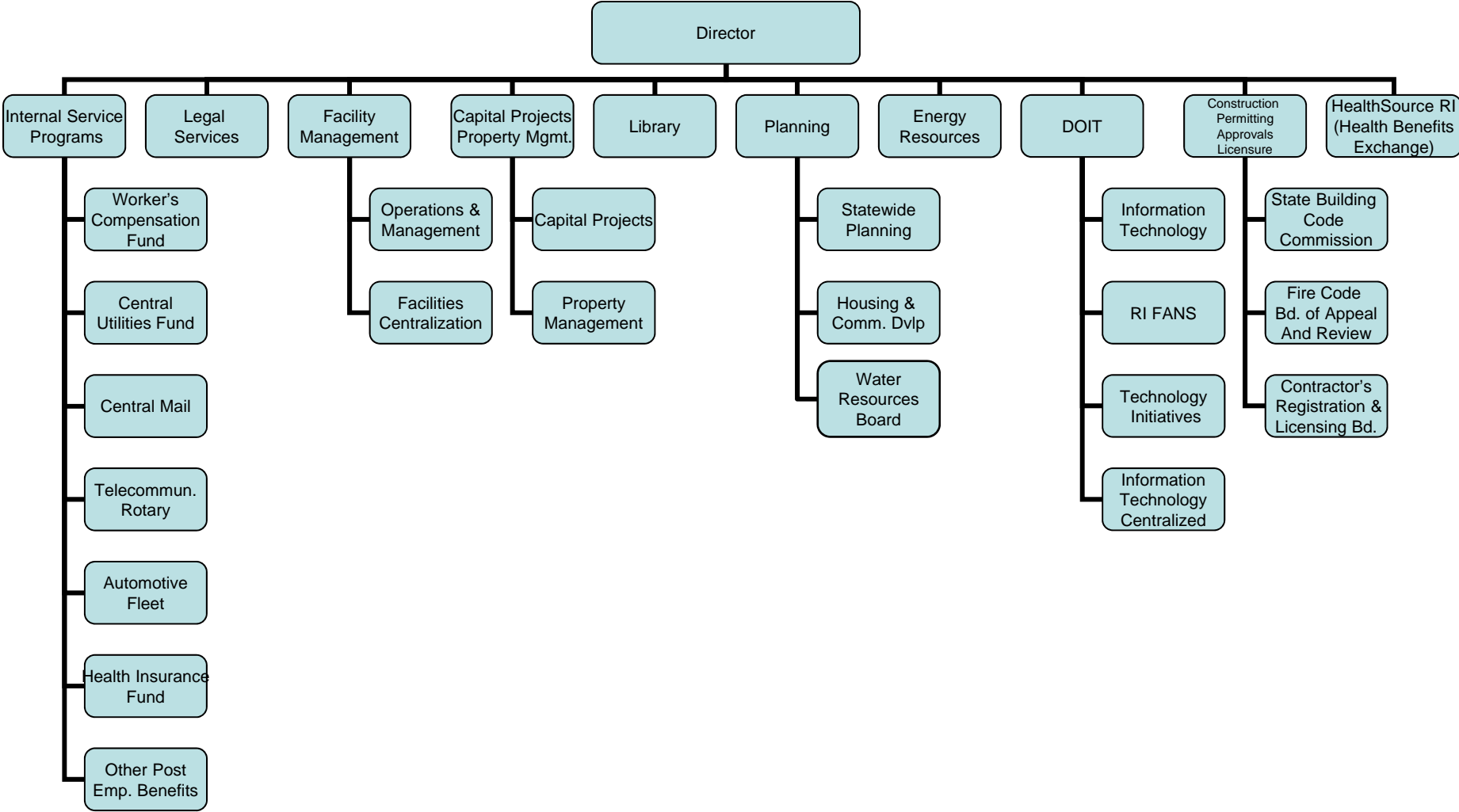
The Agency

Department of Administration



The Agency

Department of Administration, Continued



Personnel

Department Of Administration Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		706.1	47,849,530	710.1	48,559,112
Unclassified		21.6	2,071,574	21.6	2,086,268
Subtotal		727.7	\$49,921,104	731.7	\$50,645,380
Cost Allocation from Other Programs		-	810,968	-	685,627
Cost Allocation to Other Programs		-	(\$810,968)	-	(\$685,627)
Interdepartmental Transfer		-	504,933	-	531,672
Overtime		-	784,539	-	784,539
Reconcile to FTE Authorization		(7.0)	-	(8.0)	-
Temporary and Seasonal		-	103,263	-	103,263
Turnover		-	(\$3,532,899)	-	(\$2,323,153)
Subtotal		(7.0)	(\$2,140,164)	(8.0)	(\$903,679)
Total Salaries		720.7	\$47,780,940	723.7	\$49,741,701
Benefits					
Payroll Accrual			270,622		282,218
Holiday			91,892		96,292
Other			-		-
FICA			3,240,169		3,762,374
Retiree Health			3,352,362		3,330,759
Health Benefits			8,106,153		9,138,826
Retirement			11,278,707		11,884,332
Workers Compensation			-		-
Subtotal			\$26,339,905		\$28,494,801
Total Salaries and Benefits		720.7	\$74,120,845	723.7	\$78,236,502
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,846		\$108,106
Statewide Benefit Assessment			\$1,999,787		\$2,080,448
Payroll Costs		720.7	\$76,120,632	723.7	\$80,316,950

Personnel

Department Of Administration Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			20,554,838		9,323,699
Clerical and Temporary Services			127,679		127,132
Management & Consultant Services			21,707,809		10,860,844
Legal Services			850,390		568,887
Other Contracts			13,902,691		4,007,779
Buildings and Ground Maintenance			666,710		679,000
Training and Educational Services			496,816		272,411
Design and Engineering Services			427,333		425,533
Medical Services			9,934		9,934
Subtotal			\$58,744,200		\$26,275,219
Total Personnel		720.7	\$134,864,832	723.7	\$106,592,169
Distribution By Source Of Funds					
General Revenue		485.6	\$56,471,406	492.3	\$58,101,107
Federal Funds		93.9	\$61,785,586	94.5	\$31,839,753
Restricted Receipts		33.3	\$3,617,492	32.4	\$3,776,273
Operating Transfers from Other Funds		17.0	\$2,536,702	13.6	\$2,345,642
Other Funds		91.0	\$10,453,646	91.0	\$10,529,394
Total All Funds		720.7	\$134,864,832	723.7	\$106,592,169

The Program

Department Of Administration Central Management

Program Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

To select the best candidates for recommendation for appointment to the Judiciary.

To manage the implementation of large-scale information technology projects.

Program Description

Central Management is comprised of four major functions: the Director's Office, the Central Business Office, the Office of Digital Excellence, and the Judicial Nominating Commission.

The Director's Office provides for the overall operation of the department and provides assistance with all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I.G.L. 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

The Chief Digital Officer and staff manage the implementation of all new and mission critical technology infrastructure projects, including upgrades for other state agencies. The Division of Information Technology (DoIT) continues to manage and support day-to-day operations of the State's technology infrastructure, telecommunications, and associated applications.

Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. For FY 2013, the Office of Digital Excellence was transferred from the General Program to the Central Management Program.

The Budget

Department Of Administration Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Director's Office	1,018,365	1,154,465	1,114,725	1,548,662	1,081,391
Financial Management	805,358	934,669	988,664	875,288	890,856
Judicial Nominating Committee	5,957	2,633	27,525	27,525	27,525
Office of Digital Excellence	-	170,736	985,715	815,540	908,192
Total Expenditures	\$1,829,680	\$2,262,503	\$3,116,629	\$3,267,015	\$2,907,964
Expenditures By Object					
Personnel	1,790,200	2,172,599	2,992,527	3,136,039	2,774,988
Operating Supplies and Expenses	36,839	84,866	121,102	129,976	129,976
Subtotal: Operating Expenditures	1,827,039	2,257,465	3,113,629	3,266,015	2,904,964
Capital Purchases and Equipment	2,641	5,038	3,000	1,000	3,000
Total Expenditures	\$1,829,680	\$2,262,503	\$3,116,629	\$3,267,015	\$2,907,964
Expenditures By Funds					
General Revenue	1,829,680	2,262,503	2,922,038	3,267,015	2,907,964
Federal Funds	-	-	194,591	-	-
Total Expenditures	\$1,829,680	\$2,262,503	\$3,116,629	\$3,267,015	\$2,907,964

Personnel

Department Of Administration Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR, DEPARTMENT OF	00150A	2.0	274,546	2.0	274,546
SENIOR INFORMATION TECHNOLOGY PROJECT	00148A	1.0	123,647	1.0	128,135
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	121,409	1.0	121,409
EXECUTIVE ASSISTANT TO THE DIRECTOR (DEPT.	00141A	1.0	96,927	1.0	96,927
INFORMATION TECHNOLOGY PROJECT MANAGER	00143A	1.0	92,446	1.0	92,446
ADMINISTRATOR MANAGEMENT INFORMATION	00040A	1.0	83,476	-	-
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	82,207	1.0	82,207
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,871	1.0	78,871
PROGRAMMER/ANALYST MANAGER	00138A	1.0	77,715	1.0	80,557
PRINCIPAL HUMAN SERVICES BUSINESS OFFICER	00A28A	1.0	77,107	1.0	77,107
CHIEF IMPLEMENTATION AIDE	00128A	2.0	140,406	2.0	140,406
PROGRAMMER/ANALYST III (ORACLE)	00135A	2.0	140,076	2.0	145,188
SUPERVISOR OF BILLINGS AND ACCOUNTS	03527A	1.0	65,364	1.0	65,364
FISCAL MANAGEMENT OFFICER	00B26A	1.0	64,951	1.0	67,446
SUPERVISING ACCOUNTANT	00131A	1.0	63,779	1.0	65,726
ADMINISTRATIVE OFFICER	00124A	1.0	60,009	1.0	60,009
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	52,168	1.0	52,168
JUNIOR RESOURCE SPECIALIST	03519A	1.0	45,658	1.0	45,658
BILLING SPECIALIST	00318A	1.0	42,623	1.0	42,623
ACCOUNTANT	00020A	1.0	38,445	1.0	38,445
INFORMATION TECHNOLOGY PROJECT MANAGER	00043A ²	-	-	1.0	101,408
Subtotal		23.0	\$1,821,830	23.0	\$1,856,646
Unclassified					
CHIEF DIGITAL OFFICER	00856A	1.0	183,608	1.0	189,286
DIRECTOR OF ADMINISTRATION	00946KF	1.0	149,512	1.0	149,512
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	83,901	1.0	83,901
EXECUTIVE SECRETARY (STATE PROPERTIES)	00321A ¹	-	-	1.0	50,449
Subtotal		3.0	\$417,021	4.0	\$473,148
Cost Allocation to Other Programs		-	(372,690)	-	(295,405)
Interdepartmental Transfer		-	(110,006)	-	(195,875)
Turnover		-	(206,958)	-	(67,006)
Subtotal		-	(\$689,654)	-	(\$558,286)
Total Salaries		26.0	\$1,549,197	27.0	\$1,771,508
Benefits					
Payroll Accrual			9,158		10,619
FICA			109,548		128,839
Retiree Health			108,168		119,579
Health Benefits			187,166		228,333
Retirement			370,554		433,597
Subtotal			\$784,594		\$920,967

Personnel

Department Of Administration Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		26.0	\$2,333,791	27.0	\$2,692,475
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$89,761		\$99,721
Statewide Benefit Assessment			\$65,023		\$75,288
Payroll Costs		26.0	\$2,398,814	27.0	\$2,767,763
Purchased Services					
Information Technology			180,000		-
Management & Consultant Services			550,000		-
Legal Services			7,225		7,225
Subtotal			\$737,225		\$7,225
Total Personnel		26.0	\$3,136,039	27.0	\$2,774,988
Distribution By Source Of Funds					
General Revenue		26.0	\$3,136,039	27.0	\$2,774,988
Total All Funds		26.0	\$3,136,039	27.0	\$2,774,988

1 Transfer from Planning, reports to the Executive Director, Department of Administration who chairs the State Properties Committee.

2 For FY 2015, the Administrator Information Management Systems position is upgraded to this position. The position is currently vacant and expected to be filled in FY 2014.

The Program

Department Of Administration Accounts and Control

Program Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles.

To establish policies and procedures to foster sound accounting practices and internal controls.

To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies.

To support State operations by centrally processing financial transactions in a timely and accurate manner.

Program Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements in accordance with generally accepted accounting principles.

This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

The Budget

Department Of Administration Accounts and Control

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Accounts & Control	3,719,103	3,653,684	3,966,422	3,872,539	4,033,748
Total Expenditures	\$3,719,103	\$3,653,684	\$3,966,422	\$3,872,539	\$4,033,748
Expenditures By Object					
Personnel	3,627,234	3,547,477	3,830,457	3,711,835	3,840,044
Operating Supplies and Expenses	91,869	101,475	132,965	155,704	190,704
Subtotal: Operating Expenditures	3,719,103	3,648,952	3,963,422	3,867,539	4,030,748
Capital Purchases and Equipment	-	4,732	3,000	5,000	3,000
Total Expenditures	\$3,719,103	\$3,653,684	\$3,966,422	\$3,872,539	\$4,033,748
Expenditures By Funds					
General Revenue	3,719,103	3,653,684	3,966,422	3,872,539	4,033,748
Total Expenditures	\$3,719,103	\$3,653,684	\$3,966,422	\$3,872,539	\$4,033,748

Personnel

Department Of Administration Accounts and Control

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
CONTROLLER	00146A	1.0	119,343	1.0	119,343
ASSOCIATE CONTROLLER	00143A	2.0	235,785	2.0	235,785
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	106,620	1.0	106,620
SUPERVISOR FINANCIAL MANAGEMENT AND INVESTIGATIVE AUDITOR	00135A	1.0	91,828	1.0	91,828
FINANCIAL REPORTING MANAGER (OFFICE OF SUPERVISING ACCOUNTANT	00133A	1.0	86,042	1.0	86,042
CHIEF PREAUDIT SUPERVISOR	00139A	1.0	80,458	1.0	83,476
FISCAL MANAGEMENT OFFICER	00831A	1.0	75,769	1.0	75,769
SENIOR MANAGEMENT AND METHODS ANALYST	00131A	4.0	290,682	4.0	296,679
PRINCIPAL ACCOUNTING POLICY AND METHODS ASSET PROTECTION OFFICER	00B26A	3.0	206,675	3.0	206,675
CENTRAL ACCOUNTS PAYABLE SUPERVISING DATA ENTRY UNIT SUPERVISOR	00325A	1.0	62,251	1.0	62,251
SCHEDULING AND RECORDING CLERK	00828A	3.0	184,849	3.0	186,747
	00324A	2.0	121,083	2.0	121,083
	00324A	1.0	47,324	1.0	48,797
	00321A	13.0	546,174	13.0	559,694
	00312A	2.0	75,897	2.0	76,710
Subtotal		37.0	\$2,330,780	37.0	\$2,357,499
Turnover		-	(78,428)	-	(37,527)
Subtotal		-	(\$78,428)	-	(\$37,527)
Total Salaries		37.0	\$2,252,352	37.0	\$2,319,972
Benefits					
Payroll Accrual			12,881		13,266
FICA			171,981		177,516
Retiree Health			164,449		161,738
Health Benefits			468,936		500,810
Retirement			541,815		564,442
Subtotal			\$1,360,062		\$1,417,772
Total Salaries and Benefits		37.0	\$3,612,414	37.0	\$3,737,744
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$97,633		\$101,020
Statewide Benefit Assessment			\$95,721		\$98,600
Payroll Costs		37.0	\$3,708,135	37.0	\$3,836,344
Purchased Services					
Legal Services			1,700		1,700
Other Contracts			2,000		2,000
Subtotal			\$3,700		\$3,700
Total Personnel		37.0	\$3,711,835	37.0	\$3,840,044
Distribution By Source Of Funds					
General Revenue		37.0	\$3,711,835	37.0	\$3,840,044
Total All Funds		37.0	\$3,711,835	37.0	\$3,840,044

Performance Measures

Department Of Administration Accounts and Control

Timeliness of Invoice Processing

The Office of Accounts and Control centrally processes all vouchers drawn on the General Treasury. The Office strives to process payments in a timely manner to vendors doing business with the state, and this measure provides an indication regarding the timeliness of payments to vendors. The figures below represent the percentage of invoices processed within 30 days of being received.

	2011	2012	2013	2014	2015
Target	--	99%	99%	99%	99%
Actual	--	99%	99%	99.5%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Annual Financial Report Completion

The Office of Accounts and Control prepares the State of Rhode Island's Comprehensive Annual Financial Report (CAFR). This measure provides an indication regarding the timeliness of this financial report, which is essential for effective decision-making, effective and timely management of public funds and enhancing public accountability. The figures below represent the number of days after fiscal year end to complete the CAFR. [As the CAFR is published after the close of a fiscal year, the Actual figure represents the completion time for the previous fiscal year's CAFR (e.g., 2014 Actual is the completion time for the FY 2013 CAFR).]

	2011	2012	2013	2014	2015
Target	--	184 Days	176 Days	174 Days	173 Days
Actual	--	183 Days	175 Days	172 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Administration Office of Management and Budget

Program Mission

To anticipate and provide ongoing, fiscal analysis, management support, and analytical research to the Governor, State departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Program Description

The Office of Management and Budget (OMB) serves as executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. The Office develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions:

Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies.

Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity.

Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results.

Federal Grants Administration: Monitors and reports on federal grants including stimulus grants, and maximizes use of Federal funds for eligible state programs and functions.

Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests.

Statutory History

RIGL 35-1.1 created the new Office of Management and Budget. RIGL 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL 22-12 requires that a fiscal note accompanies bills and resolutions that may impact state or municipal revenues or expenditures. RIGL 42-35.1-3 moved the Office of Regulatory Reform from the Economic Development Corporation to the Office of Management and Budget.

The Budget

Department Of Administration Office of Management and Budget

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Budget Office	2,280,738	2,096,215	2,311,667	2,160,470	2,256,088
Director, Office of Mgt and Budget	-	318,833	325,462	453,581	550,968
Performance Management	-	194,822	341,638	263,515	266,353
Federal Grants Management	-	258,207	481,303	265,939	270,405
Office of Regulatory Reform	-	200,855	1,071,121	1,063,334	872,171
Total Expenditures	\$2,280,738	\$3,068,932	\$4,531,191	\$4,206,839	\$4,215,985
Expenditures By Object					
Personnel	2,188,750	2,802,727	3,800,216	3,462,377	3,769,444
Operating Supplies and Expenses	88,809	135,609	135,775	151,962	139,041
Subtotal: Operating Expenditures	2,277,559	2,938,336	3,935,991	3,614,339	3,908,485
Capital Purchases and Equipment	3,179	18,391	595,200	592,500	307,500
Operating Transfers	-	112,205	-	-	-
Total Expenditures	\$2,280,738	\$3,068,932	\$4,531,191	\$4,206,839	\$4,215,985
Expenditures By Funds					
General Revenue	2,280,738	2,810,725	4,049,888	3,940,900	4,154,611
Restricted Receipts	-	258,207	481,303	265,939	61,374
Total Expenditures	\$2,280,738	\$3,068,932	\$4,531,191	\$4,206,839	\$4,215,985

Personnel

Department Of Administration Office of Management and Budget

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00150A	1.0	164,077	1.0	164,684
DEPUTY BUDGET OFFICER	00144A	1.0	132,447	1.0	132,447
ASSOCIATE DIRECTOR I (MHRH)	00142A	1.0	121,753	1.0	121,753
CHIEF OF STRATEGIC PLANNING, MONITORING	00043A	1.0	111,183	1.0	111,183
SUPERVISING BUDGET ANALYST	00139A	2.0	197,073	2.0	197,073
DIRECTOR REGULATORY REFORM (OMB)	00142A	1.0	92,446	1.0	92,446
SENIOR BUDGET ANALYST	00834A	2.0	174,271	1.0	88,639
PRINCIPAL BUDGET ANALYST	00837A	1.0	86,523	1.0	86,523
BUDGET ANALYST II	00831A	4.0	269,472	7.0	455,924
PROGRAMMING SERVICES OFFICER	00131A	2.0	131,241	2.0	133,506
ADMINISTRATIVE OFFICER	00124A	1.0	57,948	1.0	57,948
BUDGET ANALYST I	00828A	4.0	213,698	2.0	109,103
BUDGET ANALYST I/ECONOMIST	00828A	1.0	52,781	1.0	54,663
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	5.0	234,886	5.0	241,091
IMPLEMENTATION AIDE	00122A	1.0	41,612	1.0	42,752
IMPLEMENTATION AIDE	00022A	1.0	41,100	1.0	42,244
Subtotal		29.0	\$2,122,511	29.0	\$2,131,979
Unclassified					
DIRECTOR, OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	165,623	1.0	169,472
ASSOCIATE DIRECTOR FOR PLANNING, POLICY &	00843A	1.0	115,138	1.0	115,138
Subtotal		2.0	\$280,761	2.0	\$284,610
Temporary and Seasonal		-	15,750	-	15,750
Turnover		-	(188,544)	-	(101,043)
Subtotal		-	(\$172,794)	-	(\$85,293)
Total Salaries		31.0	\$2,230,478	31.0	\$2,331,296
Benefits					
Payroll Accrual			13,366		13,971
FICA			163,295		171,215
Retiree Health			156,674		156,297
Health Benefits			236,816		325,212
Retirement			532,953		563,373
Subtotal			\$1,103,104		\$1,230,068
Total Salaries and Benefits		31.0	\$3,333,582	31.0	\$3,561,364
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$107,027		\$114,375
Statewide Benefit Assessment			\$94,795		\$99,080
Payroll Costs		31.0	\$3,428,377	31.0	\$3,660,444

Personnel

Department Of Administration Office of Management and Budget

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Management & Consultant Services			34,000		109,000
Subtotal			\$34,000		\$109,000
Total Personnel		31.0	\$3,462,377	31.0	\$3,769,444
Distribution By Source Of Funds					
General Revenue		29.0	\$3,215,558	30.5	\$3,708,070
Restricted Receipts		2.0	\$246,819	0.5	\$61,374
Total All Funds		31.0	\$3,462,377	31.0	\$3,769,444

Performance Measures

Department Of Administration Office of Management and Budget

Fiscal Note Completion

The figures below represent the average time, in days, for the Budget Office to complete a fiscal note from the time that it is received from the House and/or Senate Finance Committee until the time of completion. RIGL 22-12-4 requires that fiscal notes be returned within ten (10) calendar days of when the request was made. The Budget Office strives to meet this completion timeframe for most fiscal notes, though the average number of days may exceed the threshold in order to complete fiscal notes on more complicated legislation.

	2011	2012	2013	2014	2015
Target	--	35 Days	35 Days	20 Days	18 Days
Actual	40.3 Days	30.4 Days	22.3 Days	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

State Regulation Reviews

The General Assembly passed legislation in 2012 (RI Public Law 2012, Chapter 445) requiring regulatory agencies to complete a look-back and evaluate existing regulations for adverse impacts on small business, with additional reviews done for clarity and consistency. OMB will review regulations in four cycles, consisting of a 120-day review by the agency, followed by a 90-day review done by OMB's Office of Regulatory Reform. The effort began on September 14, 2012. The figures below represent the percentage of state regulations reviewed.

	2011	2012	2013	2014	2015
Target	--	--	50%	100%	100%
Actual	--	--	66.2%	75.1%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Program Performance Reviews

OMB is in the process of updating performance measures for government departments and agencies and has identified more than 100 programs whose performance should be reviewed regularly. Once new measures are created, OMB meets with departments on a regular basis to review actual performance relative to objectives. The figures below represent the percentage of the targeted state programs that have developed performance measures and are reviewed on a regular basis.

	2011	2012	2013	2014	2015
Target	--	--	75%	90%	100%
Actual	--	--	79.2%	79.2%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Administration Purchasing

Program Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island.

To assure that user agencies obtain goods and services in a quick and cost-effective manner without sacrificing quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To determine purchasing and contract controversies, subject to the oversight of the Chief Purchasing Officer.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system.

To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency.

To ensure the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Program Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, vendor information and minority business. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, support price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 37-2-17.2 requires the institution of an electronic Vendor Information Program.

In 2011, the General Assembly enacted Senate Bill S-864 Substitute A as amended, which provides that, beginning January 1, 2014, all bid proposals for "public works projects" are required to include a copy "to be available for public inspection upon the opening of the bids." Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. R.I.G.L. 37-2-18(b) and (j).

The Budget

Department Of Administration Purchasing

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Purchasing	2,272,527	2,488,969	2,917,374	2,898,095	3,044,452
Minority Business Enterprise	311,460	315,785	326,692	328,824	-
Total Expenditures	\$2,583,987	\$2,804,754	\$3,244,066	\$3,226,919	\$3,044,452
Expenditures By Object					
Personnel	2,505,332	2,602,135	3,179,893	3,145,045	2,959,878
Operating Supplies and Expenses	47,732	113,565	62,673	81,874	84,574
Subtotal: Operating Expenditures	2,553,064	2,715,700	3,242,566	3,226,919	3,044,452
Capital Purchases and Equipment	30,923	30,555	1,500	-	-
Operating Transfers	-	58,499	-	-	-
Total Expenditures	\$2,583,987	\$2,804,754	\$3,244,066	\$3,226,919	\$3,044,452
Expenditures By Funds					
General Revenue	2,291,968	2,424,888	2,689,542	2,833,321	2,735,956
Federal Funds	67,764	69,590	193,710	78,307	-
Operating Transfers from Other Funds	224,255	310,276	360,814	315,291	308,496
Total Expenditures	\$2,583,987	\$2,804,754	\$3,244,066	\$3,226,919	\$3,044,452

Personnel

Department Of Administration Purchasing

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
PURCHASING AGENT	00145A	1.0	126,345	1.0	126,345
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	103,540	1.0	103,540
DEPUTY PURCHASING AGENT	00141A	1.0	103,540	1.0	103,540
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	272,546	3.0	280,066
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00134A ³	1.0	86,702	-	-
CHIEF BUYER (DOA/OP)	00132A	4.0	291,641	4.0	296,319
PROGRAMMING SERVICES OFFICER	00131A	1.0	67,832	1.0	67,832
CHIEF IMPLEMENTATION AIDE	00828A	2.0	133,638	2.0	133,638
BUYER II (DOA/OP)	00327A	3.0	180,100	3.0	182,178
BUYER I (DOA/OP)	00324A	2.0	113,020	2.0	107,426
SENIOR EXTERNAL EQUAL OPPORTUNITY	00127A ⁴	2.0	110,842	-	-
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	96,598	2.0	96,598
LEGAL ASSISTANT	00119A	1.0	47,146	1.0	47,146
STANDARDS TECHNICIAN (DIVISION OF	00318A	7.0	311,818	7.0	301,024
SENIOR BUYER (DOA/OP)	00829A	1.0	34,032	1.0	41,803
Subtotal		32.0	\$2,079,340	29.0	\$1,887,455
Unclassified					
CHIEF STRATEGIC PLANNING MONITORING AND	00143A	1.0	128,312	1.0	128,312
Subtotal		1.0	\$128,312	1.0	\$128,312
Cost Allocation to Other Programs		-	(22,413)	-	(23,383)
Interdepartmental Transfer		-	(67,240)	-	(70,150)
Turnover		-	(263,895)	-	(133,234)
Subtotal		-	(\$353,548)	-	(\$226,767)
Total Salaries		33.0	\$1,854,104	30.0	\$1,789,000
Benefits					
Payroll Accrual			10,474		10,111
FICA			140,115		135,622
Retiree Health			126,478		116,830
Health Benefits			352,293		354,341
Retirement			421,583		411,943
Subtotal			\$1,050,943		\$1,028,847
Total Salaries and Benefits		33.0	\$2,905,047	30.0	\$2,817,847
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$88,032		\$93,928
Statewide Benefit Assessment			\$78,798		\$76,031
Payroll Costs		33.0	\$2,983,845	30.0	\$2,893,878

Personnel

Department Of Administration Purchasing

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			75,000		30,000
Clerical and Temporary Services			1,200		1,000
Management & Consultant Services			25,000		25,000
Legal Services			60,000		10,000
Subtotal			\$161,200		\$66,000
Total Personnel		33.0	\$3,145,045	30.0	\$2,959,878
Distribution By Source Of Funds					
General Revenue		29.0	\$2,751,447	27.0	\$2,651,382
Federal Funds		1.0	\$78,307	-	-
Operating Transfers from Other Funds		3.0	\$315,291	3.0	\$308,496
Total All Funds		33.0	\$3,145,045	30.0	\$2,959,878

³ For FY 2015, FTE position is transferred to The Office of Diversity, Equity, and Opportunity.

⁴ For FY 2015, FTE positions are transferred to The Office of Diversity, Equity, and Opportunity.

Performance Measures

Department Of Administration Purchasing

Master Price Agreement (MPA) Renewal

The Division of Purchases develops Master Price Agreements (MPAs) for statewide procurements of all state agencies, quasi-public agencies and municipalities. The MPAs provide opportunity to procure services at lower cost than if procured independently. Timely renewal of MPAs provides price surety for state and municipal agencies reliant upon the discounts achieved through this state procurement contract mechanism. The figures below represent the percentage of MPAs renewed prior to their expiration.

	2011	2012	2013	2014	2015
Target	--	--	--	25%	50%
Actual	--	--	25%	44.4%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Administration Auditing

Program Mission

To provide independent and objective assurance on governance, risk management, and control processes that will furnish a reasonable basis to report on the effectiveness and efficiency of state operations, the reliability of financial reporting, compliance with applicable laws, regulations, and contracts.

Program Description

The Bureau of Audits performs the auditing function for the Executive Branch. The Bureau provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational control through objective analyses, evaluations, and recommendations on operations, systems, controls, and contracted services.

The primary activity of the Bureau can be classified as internal auditing. The Bureau of Audits is authorized to conduct audits of any state department and agency; or private entity that is a recipient of state funding or state grants. Audits are selected based upon a risk-based evaluation system and/or requests from management. The Bureau of Audits reports all findings and recommendations for improvements to the Agency Director, Director of Administration, and Chairpersons of the House and Senate Finance Committees.

Additional responsibilities include: conducting investigations at the request of the Governor, the Director of the Department of Administration, or of either branch of the general assembly; providing management advisory and consulting expertise to state departments, agencies, and quasi-state agencies; performing the fiscal year-end intergovernmental service fund inventory count; coordinating with the Office of Management and Budget to improve department, agency and program performance metrics; and administering and evaluating the results of the annual statewide internal control reporting questionnaire; namely the Fiscal Integrity and Accountability Report (FIA Report).

Statutory History

R.I.G.L. 35-7 establishes the Bureau of Audits and authorizes it to conduct audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies in accordance with a risk-based evaluation system. The Bureau may also, at the written request of the Governor, the Director of the Department of Administration, or of either branch of the general assembly, conduct investigations of and provide management advisory and consulting services to state departments, agencies, and quasi-state agencies. Unless there is an issue of misappropriation, the provisions of this section shall not apply to non-profit organizations.

The Budget

Department Of Administration Auditing

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Auditing	1,211,825	1,117,015	1,344,585	1,386,254	1,434,565
Total Expenditures	\$1,211,825	\$1,117,015	\$1,344,585	\$1,386,254	\$1,434,565
Expenditures By Object					
Personnel	1,191,314	1,069,158	1,305,412	1,346,379	1,391,990
Operating Supplies and Expenses	20,511	33,008	34,173	37,375	40,075
Subtotal: Operating Expenditures	1,211,825	1,102,166	1,339,585	1,383,754	1,432,065
Capital Purchases and Equipment	-	14,849	5,000	2,500	2,500
Total Expenditures	\$1,211,825	\$1,117,015	\$1,344,585	\$1,386,254	\$1,434,565
Expenditures By Funds					
General Revenue	1,211,825	1,117,015	1,344,585	1,361,254	1,434,565
Operating Transfers from Other Funds	-	-	-	25,000	-
Total Expenditures	\$1,211,825	\$1,117,015	\$1,344,585	\$1,386,254	\$1,434,565

Personnel

Department Of Administration Auditing

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
CHIEF BUREAU OF AUDITS	00145A	1.0	124,802	1.0	124,802
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	208,110	2.0	212,591
INTERNAL AUDIT MANAGER (DOA)	00136A	2.0	187,558	2.0	187,558
PRINCIPAL AUDITOR	00328A	1.0	71,173	1.0	71,173
SENIOR INTERNAL AUDITOR (DOA)	00131A	5.0	317,855	5.0	324,158
SENIOR AUDITOR	00325A	1.0	62,327	1.0	62,327
Subtotal		12.0	\$971,825	12.0	\$982,609
Turnover		-	(106,932)	-	(101,408)
Subtotal		-	(\$106,932)	-	(\$101,408)
Total Salaries		12.0	\$864,893	12.0	\$881,201
Benefits					
Payroll Accrual			4,946		5,039
FICA			65,861		67,355
Retiree Health			63,584		61,917
Health Benefits			102,330		124,632
Retirement			208,006		214,397
Subtotal			\$444,727		\$473,340
Total Salaries and Benefits		12.0	\$1,309,620	12.0	\$1,354,541
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$109,135		\$112,878
Statewide Benefit Assessment			\$36,759		\$37,449
Payroll Costs		12.0	\$1,346,379	12.0	\$1,391,990
Total Personnel		12.0	\$1,346,379	12.0	\$1,391,990
Distribution By Source Of Funds					
General Revenue		12.0	\$1,321,379	12.0	\$1,391,990
Operating Transfers from Other Funds		-	\$25,000	-	-
Total All Funds		12.0	\$1,346,379	12.0	\$1,391,990

Performance Measures

Department Of Administration Auditing

Implementation of Recommendations

The Bureau of Audits makes recommendations to improve operational efficiencies and effectiveness, the reliability of financial reporting, and compliance with applicable laws, regulations, and contracts. The figures below represent the percentage of Bureau recommendations that are implemented by the audited department or agency.

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	90%
Actual	--	91%	86%	86%	--

Performance for this measure is reported by state fiscal year and is current as of 11/30/2013.

The Program

Department Of Administration Human Resources

Program Mission

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

Program Description

The Division of Human Resources is composed of a number of subprograms: Administrative services, Classification & Examinations, Equal Employment Opportunity, Outreach & Diversity, Employee Benefits, Training and Development, Employee Services, Human Resource Service Centers. Its primary mission is to provide the best possible cost effective human resource services to employees, labor, and management in assisting them to carry out the functions and responsibilities of state government; to be accountable to the general public by instituting policies and procedures that are fair and equitable in providing the finest possible service to those seeking employment in state service; to be responsive to employees by providing a progression in employment opportunities, adequate employee benefits, ensuring affirmative action, the rights of the disabled, and promoting career development and training; to assist those disabled, as a result of a job related injury, with adequate benefits during their convalescence and to ensure their eventual return to productive employment; and to safeguard the integrity and quality of the state employment system by continuously protecting it from influences that are detrimental to that effort.

Human Resources Service Centers: Executive Order 05-11, issued by Governor Carcieri, established four Human Resource Service Centers within the Department of Administration, each of which services a cluster of Executive Branch departments and agencies. Each Center is responsible for standardizing human resources policies on a statewide basis, implementing uniform human resources practices, automating tasks, and eliminating redundant activities.

DEM/DOT HR Service Center – Services the Departments of Environmental Management and Transportation.

General Government HR Service Center – Services the Departments of Administration, Business Regulation, Labor and Training and Revenue as well as various commissions, boards and councils.

Health and Human Services HR Service Center – Services the Executive Office of Health and Human Services and the Departments of Children, Youth and Families, Elderly Affairs, Health, Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH).

Public Safety HR Service Center – Services the Department of Corrections, Emergency Management Agency and the Rhode Island National Guard.

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law, under which the Division of Human Resources operates.

The Budget

Department Of Administration Human Resources

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Personnel Administration	3,913,856	3,298,805	3,347,650	3,310,531	3,211,461
Equal Opportunity/Outreach	441,751	487,910	601,461	535,341	76,580
DEM/DOT HR Service Center	1,475,782	1,437,344	1,499,604	1,409,362	1,363,407
General Gov. HR Service Center	904,351	1,117,988	1,069,325	1,031,810	1,069,872
Human Services HR Svc Center	2,717,709	2,916,191	3,352,325	3,126,256	3,343,909
Public Safety HR Service Cntr	1,294,700	1,371,992	1,317,029	1,539,576	1,540,830
Total Expenditures	\$10,748,149	\$10,630,230	\$11,187,394	\$10,952,876	\$10,606,059
Expenditures By Object					
Personnel	10,545,559	10,349,240	10,842,292	10,615,349	10,284,684
Operating Supplies and Expenses	202,590	213,209	331,437	321,803	305,651
Subtotal: Operating Expenditures	10,748,149	10,562,449	11,173,729	10,937,152	10,590,335
Capital Purchases and Equipment	-	67,781	13,665	15,724	15,724
Total Expenditures	\$10,748,149	\$10,630,230	\$11,187,394	\$10,952,876	\$10,606,059
Expenditures By Funds					
General Revenue	8,246,473	8,071,465	8,329,216	8,261,385	7,830,548
Federal Funds	670,553	678,704	808,123	727,534	766,793
Restricted Receipts	389,643	418,457	469,283	432,599	461,639
Other Funds	1,441,480	1,461,604	1,580,772	1,531,358	1,547,079
Total Expenditures	\$10,748,149	\$10,630,230	\$11,187,394	\$10,952,876	\$10,606,059

Personnel

Department Of Administration

Human Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
PERSONNEL ADMINISTRATOR	00146A	1.0	146,165	1.0	146,165
EXECUTIVE DIRECTOR, DEPARTMENT OF	00050A	1.0	123,819	1.0	128,308
DEPUTY PERSONNEL ADMINISTRATOR	00144A	3.0	362,548	3.0	367,028
HUMAN RESOURCES ADMINISTRATOR	00141A	3.0	349,658	3.0	349,658
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A ⁶	1.0	106,025	-	-
ADMINISTRATOR STATE EQUAL OPPORTUNITY	00139A ⁵	1.0	105,862	-	-
HUMAN RESOURCES SUPERVISOR	00136A	2.0	192,213	2.0	192,213
CHIEF OF EMPLOYEE BENEFITS	00139A	1.0	92,362	1.0	92,362
HUMAN RESOURCES COORDINATOR	00135A	7.0	586,289	7.0	592,318
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	77,478	1.0	77,478
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	76,449	1.0	76,449
CHIEF EMPLOYEE RELATIONS OFFICER	00130A	2.0	149,847	2.0	149,847
PROGRAMMING SERVICES OFFICER	00131A	3.0	222,420	3.0	222,809
SUPERVISOR PERSONNEL SUPPORT SERVICES	00128A	1.0	73,505	1.0	73,505
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	71,988	1.0	71,988
HUMAN RESOURCES ANALYST III (LABOR	00133A	1.0	71,037	1.0	71,037
CHIEF IMPLEMENTATION AIDE	00128A	1.0	69,591	1.0	69,591
PRINCIPAL RESOURCE SPECIALIST	00328A ⁷	1.0	69,512	-	-
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	6.0	415,481	6.0	427,736
HUMAN RESOURCES ANALYST II (LABOR	00129A	1.0	66,310	1.0	63,832
SENIOR ELECTRONIC COMPUTER PROGRAMMER	00126A	1.0	65,831	1.0	65,831
CHIEF IMPLEMENTATION AIDE	00328A	1.0	64,657	1.0	64,657
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	2.0	129,277	2.0	131,459
HUMAN RESOURCES ANALYST II (CLASSIF &	00129A	2.0	124,920	2.0	127,256
HUMAN RESOURCES ANALYST I	00126A	7.0	433,292	7.0	435,241
SUPERVISING EMPLOYEE RELATIONS OFFICER	00128A	2.0	119,756	2.0	119,757
ADMINISTRATIVE OFFICER	00124A	1.0	58,547	1.0	58,547
DATA ENTRY UNIT SUPERVISOR	00B21A	1.5	84,701	1.5	84,701
IMPLEMENTATION AIDE	00122A	4.0	211,226	4.0	211,226
SENIOR SYSTEMS ANALYST	00126A	2.0	104,298	2.0	106,476
HUMAN RESOURCES TECHNICIAN	00122A	33.0	1,575,594	33.0	1,604,033
SENIOR EQUAL OPPORTUNITY OFFICER	00326A ⁸	1.0	47,221	-	-
EMPLOYEE BENEFITS SPECIALIST	00322A	3.0	134,361	3.0	137,489
JUNIOR RESOURCE SPECIALIST	00119A	1.0	42,525	1.0	43,767
EXECUTIVE ASSISTANT	00118A	1.0	40,497	1.0	40,497
PERSONNEL AIDE	00119A	1.0	38,573	1.0	39,421
SENIOR WORD PROCESSING TYPIST	00112A	1.0	34,860	1.0	34,860
Subtotal		103.5	\$6,738,695	99.5	\$6,477,542
Unclassified					
SUPERVISING EMPLOYEE RELATIONS OFFICER	00828A	1.0	75,988	1.0	75,988
INTERAGENCY LIAISON SPECIALIST	00826A	1.0	70,268	1.0	70,268
Subtotal		2.0	\$146,256	2.0	\$146,256

Personnel

Department Of Administration Human Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		-	(37,839)	-	(52,630)
Overtime		-	56,639	-	56,639
Temporary and Seasonal		-	42,153	-	42,153
Turnover		-	(433,382)	-	(330,704)
Subtotal		-	(\$372,429)	-	(\$284,542)
Total Salaries		105.5	\$6,512,522	101.5	\$6,339,256
Benefits					
Payroll Accrual			36,678		35,692
Holiday			3,242		3,242
FICA			495,492		483,033
Retiree Health			463,668		431,452
Health Benefits			1,022,126		1,074,367
Retirement			1,542,498		1,518,309
Subtotal			\$3,563,704		\$3,546,095
Total Salaries and Benefits		105.5	\$10,076,226	101.5	\$9,885,351
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$95,110		\$97,008
Statewide Benefit Assessment			\$272,577		\$265,218
Payroll Costs		105.5	\$10,348,803	101.5	\$10,150,569
Purchased Services					
Management & Consultant Services			264,431		134,000
Other Contracts			115		115
Training and Educational Services			2,000		-
Subtotal			\$266,546		\$134,115
Total Personnel		105.5	\$10,615,349	101.5	\$10,284,684
Distribution By Source Of Funds					
General Revenue		76.9	\$7,976,714	72.9	\$7,562,029
Federal Funds		7.8	\$709,203	7.8	\$748,462
Restricted Receipts		4.8	\$423,448	4.8	\$452,488
Other Funds		16.0	\$1,505,984	16.0	\$1,521,705
Total All Funds		105.5	\$10,615,349	101.5	\$10,284,684

5 For FY 2015, FTE position is transferred to The Office of Diversity, Equity, and Opportunity.

7 For FY 2015, FTE position is transferred to The Office of Diversity, Equity, and Opportunity.

6 For FY 2015, FTE position is transferred to The Office of Diversity, Equity, and Opportunity.

8 For FY 2015, FTE position is transferred to The Office of Diversity, Equity, and Opportunity.

Performance Measures

Department Of Administration Human Resources

Timeliness of Personnel Action Request (PAR) Processing

Personnel Action Requests (PARs) are submitted by agency Directors to fill existing positions, create a new positions, etc. The figures below represent the percentage of PARs processed within 60 days of submission. [As of January 1, 2014, DOA will track PAR completion within 20 days, with new targets under development. Starting in FY 2015, this measure will be revised to track the total time required for the hiring process, from an agency's initial request to candidate selection.]

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	--
Actual	--	--	82.6%	86.1%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Timeliness of Desk Audits

Employees who believe they are performing the duties of a different job classification than their own may request a desk audit. The figures below represent the number of desk audit requests reviewed, analyzed and issued a decision letter within 60 days.

	2011	2012	2013	2014	2015
Target	--	80%	50%	50%	50%
Actual	48%	71%	32.9%	10%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Timeliness of Civil Service Examinations

This measure provides an indication of the production timeline from the end of the civil service application period to the creation of a civil service list. The figures below represent the percentage of Civil Service Examinations completed within 120 days.

	2011	2012	2013	2014	2015
Target	90%	90%	90%	90%	90%
Actual	75%	80%	87%	88%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

The Program

Department Of Administration Personnel Appeal Board

Program Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Program Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

The Budget

Department Of Administration Personnel Appeal Board

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Personnel Appeal Board	61,592	69,722	75,036	75,036	75,216
Total Expenditures	\$61,592	\$69,722	\$75,036	\$75,036	\$75,216
Expenditures By Object					
Personnel	60,554	68,736	73,764	73,764	73,944
Operating Supplies and Expenses	1,038	986	1,272	1,272	1,272
Subtotal: Operating Expenditures	61,592	69,722	75,036	75,036	75,216
Total Expenditures	\$61,592	\$69,722	\$75,036	\$75,036	\$75,216
Expenditures By Funds					
General Revenue	61,592	69,722	75,036	75,036	75,216
Total Expenditures	\$61,592	\$69,722	\$75,036	\$75,036	\$75,216

Personnel

Department Of Administration Personnel Appeal Board

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
MEMBER, PERSONNEL APPEAL BOARD	00541F	-	36,000	-	36,000
Subtotal		-	\$36,000	-	\$36,000
Total Salaries		-	\$36,000	-	\$36,000
Benefits					
FICA			2,754		2,754
Subtotal			\$2,754		\$2,754
Total Salaries and Benefits		-	\$38,754	-	\$38,754
Cost Per FTE Position (Excluding Temporary and Seasonal)			-		-
Statewide Benefit Assessment			\$1,350		\$1,530
Payroll Costs		-	\$40,104	-	\$40,284
Purchased Services					
Clerical and Temporary Services			3,060		3,060
Legal Services			30,600		30,600
Subtotal			\$33,660		\$33,660
Total Personnel		-	\$73,764	-	\$73,944
Distribution By Source Of Funds					
General Revenue		-	\$73,764	-	\$73,944
Total All Funds		-	\$73,764	-	\$73,944

The Program

Department Of Administration Legal Services

Program Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch.

To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact.

To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Program Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

The Budget

Department Of Administration Legal Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Legal Services	1,925,459	2,028,387	1,948,683	2,312,716	2,039,872
Total Expenditures	\$1,925,459	\$2,028,387	\$1,948,683	\$2,312,716	\$2,039,872
Expenditures By Object					
Personnel	1,867,438	1,965,527	1,888,797	2,241,575	1,980,428
Operating Supplies and Expenses	55,182	49,151	56,543	67,798	56,101
Assistance and Grants	506	-	1,011	1,011	1,011
Subtotal: Operating Expenditures	1,923,126	2,014,678	1,946,351	2,310,384	2,037,540
Capital Purchases and Equipment	2,333	13,709	2,332	2,332	2,332
Total Expenditures	\$1,925,459	\$2,028,387	\$1,948,683	\$2,312,716	\$2,039,872
Expenditures By Funds					
General Revenue	1,925,459	2,028,387	1,948,683	2,312,716	2,039,872
Total Expenditures	\$1,925,459	\$2,028,387	\$1,948,683	\$2,312,716	\$2,039,872

Personnel

Department Of Administration Legal Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	101,773	1.0	101,773
ADMINISTRATOR ADJUDICATION	00140A	1.0	101,692	1.0	101,692
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	101,408	1.0	101,408
CHIEF OF LEGAL SERVICES	00139A	2.0	201,117	2.0	201,117
DEPUTY CHIEF OF LEGAL SERVICES	00137A	2.0	199,833	2.0	199,833
LEGAL COUNSEL (MHRH)	00136A	1.0	99,662	1.0	99,662
LEGAL COUNSEL	00132A	0.6	47,122	0.6	47,122
SENIOR LEGAL COUNSEL	00134A	1.0	77,478	1.0	77,478
ASSISTANT LABOR RELATIONS HEARING OFFICER	00132A	1.0	72,847	1.0	72,847
IMPLEMENTATION AIDE	00122A	1.0	54,844	1.0	54,844
ADMINISTRATIVE OFFICER	00124A	1.0	47,207	1.0	49,377
EXECUTIVE ASSISTANT	00118A	1.0	39,291	1.0	40,135
SENIOR WORD PROCESSING TYPIST	00112A	1.0	34,860	1.0	34,860
Subtotal		14.6	\$1,179,134	14.6	\$1,182,148
Unclassified					
ASSISTANT DIRECTOR OF ADMINISTRATION	00844A	1.0	119,629	1.0	119,629
Subtotal		1.0	\$119,629	1.0	\$119,629
Cost Allocation from Other Programs		-	37,839	-	52,630
Turnover		-	(97,603)	-	(97,603)
Subtotal		-	(\$59,764)	-	(\$44,973)
Total Salaries		15.6	\$1,238,999	15.6	\$1,256,804
Benefits					
Payroll Accrual			7,088		7,189
FICA			94,805		96,297
Retiree Health			87,599		84,835
Health Benefits			160,545		174,206
Retirement			297,981		305,781
Subtotal			\$648,018		\$668,308
Total Salaries and Benefits		15.6	\$1,887,017	15.6	\$1,925,112
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$120,963		\$123,405
Statewide Benefit Assessment			\$52,658		\$53,416
Payroll Costs		15.6	\$1,939,675	15.6	\$1,978,528

Personnel

Department Of Administration Legal Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			1,800		1,800
Legal Services			300,000		-
Other Contracts			100		100
Subtotal			\$301,900		\$1,900
Total Personnel		15.6	\$2,241,575	15.6	\$1,980,428
Distribution By Source Of Funds					
General Revenue		15.6	\$2,241,575	15.6	\$1,980,428
Total All Funds		15.6	\$2,241,575	15.6	\$1,980,428

The Program

Department Of Administration Facilities Management

Program Mission

To protect and preserve the State of Rhode Island's facility assets.

To provide a clean, safe, healthy and secure environment conducive to employee productivity.

Program Description

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the buildings at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other state buildings throughout the State. In addition, the Division includes the State Fleet Operations, Environmental Compliance, Asset Protection, and Surplus Property.

The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution.

State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administers the purchasing and disposal of state vehicles.

The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting.

Statutory History

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 42-56.2 establishes the Federal Surplus Property program.

The Budget

Department Of Administration Facilities Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations and Maintenance	6,326,164	6,558,736	6,694,501	7,004,343	6,915,371
Energy and Conservation	-	(640)	-	-	-
Facilities Centralization	28,365,136	27,113,008	30,742,600	28,259,262	28,840,270
Total Expenditures	\$34,691,300	\$33,671,104	\$37,437,101	\$35,263,605	\$35,755,641
Expenditures By Object					
Personnel	12,586,688	12,855,891	14,005,901	13,544,360	13,882,062
Operating Supplies and Expenses	22,086,151	20,727,781	23,416,638	21,554,923	21,859,017
Assistance and Grants	276	(640)	1,862	1,862	1,861
Subtotal: Operating Expenditures	34,673,115	33,583,032	37,424,401	35,101,145	35,742,940
Capital Purchases and Equipment	18,185	88,072	12,700	162,460	12,701
Total Expenditures	\$34,691,300	\$33,671,104	\$37,437,101	\$35,263,605	\$35,755,641
Expenditures By Funds					
General Revenue	29,783,198	29,029,397	32,198,875	30,423,905	30,816,117
Federal Funds	944,309	1,068,187	925,630	1,131,678	1,155,237
Restricted Receipts	420,190	416,083	616,083	452,889	462,262
Other Funds	3,543,603	3,157,437	3,696,513	3,255,133	3,322,025
Total Expenditures	\$34,691,300	\$33,671,104	\$37,437,101	\$35,263,605	\$35,755,641

Personnel

Department Of Administration Facilities Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	1.0	123,819	1.0	123,819
ASSOCIATE DIRECTOR I (MHRH)	00142A	1.0	120,580	1.0	120,580
IMPLEMENTATION DIRECTOR POLICY AND	00140A	1.0	108,625	1.0	108,625
CHIEF PROPERTY MANAGEMENT	00141A	1.0	101,773	1.0	101,773
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	90,467	1.0	90,467
DEPUTY CHIEF DIVISION OF FACILITIES	00137A	3.0	256,584	3.0	258,240
SUPERVISING ENVIRONMENTAL SCIENTIST	00134A	1.0	84,664	1.0	88,196
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	81,679	1.0	81,679
STATE BUILDING AND GROUNDS COORDINATOR	00132A	4.0	304,933	4.0	308,504
PROJECT MANAGER I (DOA)	00137A	3.0	223,233	3.0	231,559
CHIEF OF INSPECTIONS	00135A	1.0	68,803	1.0	71,316
BUILDING AND GROUNDS OFFICER	00828A	4.0	270,451	4.0	273,734
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	2.0	124,673	2.0	127,260
COORDINATOR OF MAINTENANCE PROGRAMS	00324A	1.0	61,247	1.0	61,247
ASSISTANT BUILDING AND GROUNDS OFFICER	00124A	1.0	59,792	1.0	59,792
FEDERAL SURPLUS PROPERTY OFFICER	00826A	1.0	59,375	1.0	59,375
SUPERVISOR OF PAINTING PLASTERING MASONRY	00323A	1.0	57,656	1.0	57,656
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	4.0	221,102	4.0	222,580
WASTEWATER TREATMENT FACILITIES PROCESS	03124A	1.0	53,818	1.0	55,881
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	1.0	52,455	1.0	54,105
PLUMBER SUPERVISOR	00320G	1.0	47,927	1.0	47,927
BUILDING SUPERINTENDENT	00318A	1.0	47,697	1.0	47,697
MAINTENANCE SUPERINTENDENT	00322A	2.0	95,031	2.0	96,259
HVAC SHOP SUPERVISOR	00320A	1.0	47,420	1.0	47,420
STEAMFITTER SUPERVISOR	00320A	1.0	47,420	1.0	47,420
AUTOMOTIVE SERVICE SUPERVISOR	00318G	1.0	47,324	1.0	47,324
MASON SUPERVISOR	00318G	1.0	47,324	1.0	47,324
PAINTER SUPERVISOR	00318G	1.0	47,324	1.0	47,324
GROUNDS SUPERINTENDENT	00317A	1.0	47,166	1.0	47,166
BUILDING MAINTENANCE SUPERVISOR	00318G	1.0	46,338	1.0	46,338
CHIEF POWER PLANT OPERATOR	00325A	1.0	45,571	1.0	47,097
ASSISTANT BUSINESS MANAGEMENT OFFICER	00119A	1.0	45,105	1.0	45,041
POWER PLANT OPERATOR	03118A	5.0	225,467	5.0	226,270
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	44,509	1.0	45,715
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	1.0	44,034	1.0	45,448
BUILDING SUPERINTENDENT	00818A	2.0	87,509	2.0	87,509
BUILDING SUPERINTENDENT	00318G	1.0	43,380	1.0	43,380
SENIOR GARDENER	00313G	1.0	43,106	1.0	43,106
SENIOR DRAFTPERSON	00323A	1.0	42,714	1.0	43,958
STEAMFITTER	00314G	1.0	42,219	1.0	42,219
PLUMBER	00316G	1.0	41,642	1.0	41,642
PAINTER	00314G	2.0	83,127	2.0	83,127
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	41,548	1.0	41,548
MECHANICAL PARTS STOREKEEPER	03113A	1.0	41,422	1.0	41,422
LOCKSMITH	00315A	1.0	41,128	1.0	41,128

Personnel

Department Of Administration Facilities Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
SEMI-SKILLED LABORER	00310G	2.0	80,995	2.0	80,995
AUTOMOTIVE MECHANIC	00314G	1.0	40,383	1.0	40,383
CARPENTER	00314G	4.0	160,977	4.0	161,729
SENIOR MAINTENANCE TECHNICIAN	00314G	12.0	479,244	12.0	483,607
PRINCIPAL JANITOR	00315A	3.0	119,467	3.0	120,106
WASTEWATER TREATMENT FACILITIES PROCESS	03121A	1.0	39,373	1.0	40,384
LABORER SUPERVISOR	00313G	1.0	39,246	1.0	39,246
ELECTRICIAN	00316G	4.0	156,716	4.0	157,103
PAINTER	03114G	1.0	38,896	1.0	38,896
PUBLIC PROPERTIES OFFICER	00312G	1.0	38,417	1.0	38,417
SENIOR JANITOR	00312A	2.0	75,808	2.0	76,576
LICENSED STEAMFITTER	00315G	1.0	36,254	1.0	37,059
REFRIGERATION MECHANIC (LICENSED)	00316A	1.0	35,501	1.0	36,194
JANITOR	00309A	10.0	340,583	10.0	342,906
WASTEWATER TREATMENT FACILITIES OPERATOR	00314G	1.0	33,991	1.0	34,656
LABORER	00308G	2.0	61,162	2.0	62,032
CLEANER (PUBLIC BUILDINGS)	00301W	1.5	30,413	1.5	30,413
Subtotal		114.5	\$5,766,607	114.5	\$5,817,899
Cost Allocation from Other Programs		-	447,235	-	449,817
Cost Allocation to Other Programs		-	(232,681)	-	(235,263)
Overtime		-	355,000	-	355,000
Temporary and Seasonal		-	22,680	-	22,680
Turnover		-	(460,551)	-	(378,162)
Subtotal		-	\$131,683	-	\$214,072
Total Salaries		114.5	\$5,898,290	114.5	\$6,031,971
Benefits					
Payroll Accrual			33,530		33,991
Holiday			43,700		43,700
FICA			439,759		449,462
Retiree Health			403,577		394,401
Health Benefits			1,608,626		1,698,259
Retirement			1,342,098		1,389,566
Subtotal			\$3,871,290		\$4,009,379
Total Salaries and Benefits		114.5	\$9,769,580	114.5	\$10,041,350
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$85,126		\$87,499
Statewide Benefit Assessment			\$235,987		\$241,265
Payroll Costs		114.5	\$10,005,567	114.5	\$10,282,615

Personnel

Department Of Administration Facilities Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			1,500		1,500
Management & Consultant Services			2,727,000		2,771,700
Other Contracts			47,991		49,655
Buildings and Ground Maintenance			666,710		679,000
Design and Engineering Services			85,658		87,658
Medical Services			9,934		9,934
Subtotal			\$3,538,793		\$3,599,447
Total Personnel		114.5	\$13,544,360	114.5	\$13,882,062
Distribution By Source Of Funds					
General Revenue		100.4	\$11,730,363	100.4	\$12,021,972
Federal Funds		3.3	\$424,326	3.3	\$435,108
Restricted Receipts		1.3	\$169,731	1.3	\$174,043
Other Funds		9.5	\$1,219,940	9.5	\$1,250,939
Total All Funds		114.5	\$13,544,360	114.5	\$13,882,062

The Program

Department Of Administration Capital Projects and Property Management

Program Mission

To provide for quality construction and major renovation of State facilities; to assess the State's space needs for governmental activities, allocate space and develop a strategic plan for the use of capital assets; to facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces; and to assure fairness, transparency and good value in developing and securing property for the State's use through lease or purchase.

Program Description

The Division of Capital Projects and Property Management is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. The Division conducts feasibility studies, hires architects, engineers and other design professionals, retain services of building contractors through state's purchasing bidding process, and oversees projects from design conception through turnover of building construction/renovation to the end user agency. It also solicits, negotiates and administers real estate purchases and/or leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management. "...there shall be established within the Department of Administration a Division of Capital Projects/Property Management to be headed by an Associate Director of Capital projects/ Property Management designated from time to time by the Director of the DOA. The Division will assume direct responsibility of real property inventories, strategic planning, budgeting, construction management, and code enforcement for all Capital Projects/Property Management..."

The Budget

Department Of Administration Capital Projects and Property Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Capital Projects	2,514,511	1,213,517	1,240,545	1,264,433	1,278,254
Property Management	167,718	-	-	-	-
State Building Code Commission	934,356	-	-	-	-
FIRE CODE BOARD OF APPEAL & RE	323,036	-	-	-	-
Total Expenditures	\$3,939,621	\$1,213,517	\$1,240,545	\$1,264,433	\$1,278,254
Expenditures By Object					
Personnel	3,598,328	1,096,455	1,217,312	1,234,833	1,251,488
Operating Supplies and Expenses	198,549	80,337	18,233	23,600	21,766
Assistance and Grants	139,802	-	-	-	-
Subtotal: Operating Expenditures	3,936,679	1,176,792	1,235,545	1,258,433	1,273,254
Capital Purchases and Equipment	2,942	36,725	5,000	6,000	5,000
Total Expenditures	\$3,939,621	\$1,213,517	\$1,240,545	\$1,264,433	\$1,278,254
Expenditures By Funds					
General Revenue	2,638,367	1,175,949	1,240,545	1,264,433	1,278,254
Federal Funds	185,214	37,568	-	-	-
Restricted Receipts	1,116,040	-	-	-	-
Total Expenditures	\$3,939,621	\$1,213,517	\$1,240,545	\$1,264,433	\$1,278,254

Personnel

Department Of Administration Capital Projects and Property Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	1.0	150,887	1.0	150,887
DEPUTY CHIEF DIVISION OF FACILITIES	00137A	1.0	100,101	1.0	100,101
CHIEF PROPERTY MANAGEMENT	00141A	1.0	88,826	1.0	93,308
PROJECT MANAGER I (DOA)	00139A	1.0	81,038	1.0	84,339
ARCHITECT BUILDING COMMISSION	00335A	1.0	78,885	1.0	78,885
STATE BUILDING AND GROUNDS COORDINATOR	00132A	1.0	71,707	1.0	71,707
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,444	1.0	70,444
ARCHITECT	00332A	1.0	67,310	1.0	67,310
FISCAL MANAGEMENT OFFICER	00B26A	1.0	56,505	1.0	58,688
Subtotal		9.0	\$765,703	9.0	\$775,669
Cost Allocation to Other Programs		-	(14,089)	-	(14,089)
Temporary and Seasonal		-	22,680	-	22,680
Subtotal		-	\$8,591	-	\$8,591
Total Salaries		9.0	\$774,294	9.0	\$784,260
Benefits					
Payroll Accrual			4,291		4,348
FICA			57,224		58,230
Retiree Health			53,139		51,406
Health Benefits			118,418		128,120
Retirement			180,759		185,292
Subtotal			\$413,831		\$427,396
Total Salaries and Benefits		9.0	\$1,188,125	9.0	\$1,211,656
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$129,494		\$132,108
Statewide Benefit Assessment			\$32,908		\$33,332
Payroll Costs		9.0	\$1,221,033	9.0	\$1,244,988
Purchased Services					
Clerical and Temporary Services			5,000		1,500
Design and Engineering Services			8,800		5,000
Subtotal			\$13,800		\$6,500
Total Personnel		9.0	\$1,234,833	9.0	\$1,251,488
Distribution By Source Of Funds					
General Revenue		9.0	\$1,234,833	9.0	\$1,251,488
Total All Funds		9.0	\$1,234,833	9.0	\$1,251,488

Performance Measures

Department Of Administration Capital Projects and Property Management

Final Project Cost Relative to Allocated Budget

The Division of Capital Projects and Property Management strives to complete state capital projects in on time and on budget. The figures below represent the cumulative ratio of actual costs associated with performing design and construction relative to projects' approved budgets.

	2011	2012	2013	2014	2015
Target	100%	100%	100%	100%	100%
Actual	95%	100%	96%	24%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Project Completion

The Division of Capital Projects and Property Management strives to complete state capital projects in on time and on budget. The figures below represent the percentage of projects completed on time.

	2011	2012	2013	2014	2015
Target	100%	100%	100%	100%	--
Actual	100%	100%	12%	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Administration Information Technology

Program Mission

Maintain and improve information technology (IT) services to executive branch departments and agencies, including technical support to end users and networks, help desk services, application development, and delivery and maintenance.

Continue the integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation.

Continue the implementation of the approved statewide-integrated financial management system.

Maintain and test disaster recovery capability for Rhode Island's critical systems.

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Continue to expand and improve technology advancements by performing a migration from Novell Netware to a Windows Active Directory infrastructure, increase virtualized server capacity, implement E-licensing initiatives, and consolidate the email infrastructure to a single mail system throughout the Executive Branch agencies.

Provide state agencies and departments with improved transparency and clarity in their services through refinement of the cost allocation process.

Program Description

The Division of Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of information technology (IT) resources within the executive branch of state government.

The Division defines and maintains the architectural standards for hardware, software, networks and services that guide the State's investments in IT. The Division defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

The Division is responsible for planning IT investments and activities throughout the executive branch departments and agencies.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration.

The Budget

Department Of Administration Information Technology

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Executive Director-CIO	412,276	380,922	388,625	387,593	389,500
Information Technology	2,539,367	4,814,142	6,176,041	5,798,867	4,750,596
IT Centralization	26,435,884	25,918,456	27,416,652	27,343,340	28,669,197
Total Expenditures	\$29,387,527	\$31,113,520	\$33,981,318	\$33,529,800	\$33,809,293
Expenditures By Object					
Personnel	23,250,908	23,450,074	24,439,593	24,102,702	24,353,051
Operating Supplies and Expenses	5,251,841	5,816,774	4,982,899	5,270,690	6,374,763
Assistance and Grants	259	-	1,102	1,102	1,102
Subtotal: Operating Expenditures	28,503,008	29,266,848	29,423,594	29,374,494	30,728,916
Capital Purchases and Equipment	884,519	1,846,672	4,557,724	4,155,306	3,080,377
Total Expenditures	\$29,387,527	\$31,113,520	\$33,981,318	\$33,529,800	\$33,809,293
Expenditures By Funds					
General Revenue	20,347,644	19,827,162	19,293,222	19,078,279	19,377,273
Federal Funds	6,392,992	6,186,936	7,135,490	6,522,188	6,631,482
Restricted Receipts	409,992	2,509,563	5,370,582	5,136,195	4,099,027
Other Funds	2,236,899	2,589,859	2,182,024	2,793,138	3,701,511
Total Expenditures	\$29,387,527	\$31,113,520	\$33,981,318	\$33,529,800	\$33,809,293

Personnel

Department Of Administration Information Technology

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	3.0	361,192	3.0	361,192
NETWORK TECHNICIAN SPECIALIST (OIP)	00130A	0.5	56,250	0.5	58,250
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	4.0	431,121	4.0	435,771
INFORMATION SYSTEMS GROUP COORDINATOR	00138A	1.0	102,790	1.0	102,790
ADMINISTRATOR MANAGEMENT INFORMATION	00140A	11.0	1,105,878	11.0	1,116,378
TECHNICAL SUPPORT MANAGER (DOS/MVS)	00138A	2.0	198,455	2.0	198,455
SUPERVISOR OF FISCAL SERVICES (DEPT OF	00136A	1.0	95,245	1.0	95,245
TECHNICAL SUPPORT MANAGER	00138A	6.0	576,166	6.0	576,166
PROGRAMMER/ANALYST III (ORACLE)	00835A	1.0	94,366	1.0	94,366
TECHNICAL SUPPORT SPECIALIST III	00035A	1.0	93,044	1.0	93,044
WEB DEVELOPMENT MANAGER	00135A	1.0	92,565	1.0	92,565
PROGRAMMER/ANALYST MANAGER	00138A	7.0	642,196	7.0	645,123
SYSTEMS ADMINISTRATOR (MHRH)	00139A	7.0	638,483	7.0	646,569
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	90,459	1.0	90,459
PROGRAMMER/ANALYST III (UNIX/SQL)	00035A	1.0	90,334	1.0	90,334
TECHNICAL SUPPORT SPECIALIST III	00335A	2.0	179,227	2.0	179,227
TECHNICAL SUPPORT SPECIALIST II (OS	00A32A	1.0	87,309	1.0	87,309
PROGRAMMER/ANALYST III (UNIX/SQL)	00135A	2.0	173,874	2.0	173,874
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	172,177	2.0	172,177
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	8.0	677,777	8.0	681,958
PROGRAMMER/ANALYST III (COBOL)	00835A	1.0	84,379	1.0	84,379
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	9.0	753,579	9.0	757,101
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	1.0	81,812	1.0	81,812
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	81,407	1.0	81,407
PRINCIPAL PROGRAMMER/ANALYST (OIP)	00331A	1.0	80,822	1.0	80,822
STATEWIDE INFORMATION TECHNOLOGY	00135A	1.0	80,349	1.0	80,349
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	159,861	2.0	159,861
TECHNICAL SUPPORT SPECIALIST III	00135A	11.0	877,447	11.0	886,895
TECHNICAL SUPPORT SPECIALIST II	00032A	4.0	316,920	4.0	316,920
CHIEF DATA OPERATIONS	00133A	1.0	78,141	1.0	78,141
TECHNICAL SUPPORT SPECIALIST II (OS	00332A	2.0	155,920	2.0	155,920
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	77,512	1.0	79,822
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	11.0	846,104	11.0	848,260
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	2.0	152,671	2.0	153,671
TECHNICAL SUPPORT SPECIALIST II	00332A	14.0	1,058,397	14.0	1,064,759
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	4.0	301,724	4.0	301,724
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	75,304	1.0	75,304
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	74,160	1.0	74,160
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	217,861	3.0	217,861
PRINCIPAL SYSTEMS ANALYST	00329A	1.0	72,221	1.0	72,221
TECHNICAL SUPPORT SPECIALIST II	00132A	3.0	216,188	3.0	218,684
CHIEF IMPLEMENTATION AIDE	00128A	2.0	139,681	2.0	139,681
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	3.0	208,795	3.0	208,795
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	2.0	134,104	2.0	134,104
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	1.0	63,153	1.0	63,153

Personnel

Department Of Administration Information Technology

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
SUPERVISOR COMPUTER OPERATIONS	00328A	2.0	125,881	2.0	125,881
TECHNICAL SUPPORT SPECIALIST I	00328A	4.0	243,046	4.0	245,681
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	5.0	303,250	5.0	305,514
PRINCIPAL SYSTEMS ANALYST	00B29A	1.0	54,500	1.0	56,750
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	54,164	1.0	56,428
SYSTEMS SUPPORT TECHNICIAN III	00324A	3.0	159,552	3.0	159,552
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	00320A	1.0	51,843	1.0	51,843
SYSTEMS SUPPORT TECHNICIAN II	00321A	12.0	588,010	12.0	592,028
IMPLEMENTATION AIDE	00122A	1.0	48,426	1.0	48,426
SENIOR COMPUTER OPERATOR (OIP)	00322A	4.0	184,806	4.0	188,883
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	44,000	1.0	45,250
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	79,873	2.0	80,773
BILLING SPECIALIST	00318A	1.0	37,250	1.0	38,000
COMPUTER OPERATOR (OIP)	00316A	5.0	184,736	5.0	188,051
Subtotal		188.5	\$14,506,757	188.5	\$14,590,118
Unclassified					
CHIEF INFORMATION OFFICER	00848A	1.0	137,604	1.0	137,604
ASSOCIATE EXECUTIVE ASSISTANT	00826A	1.0	61,485	1.0	61,485
Subtotal		2.0	\$199,089	2.0	\$199,089
Cost Allocation from Other Programs		-	64,857	-	64,857
Cost Allocation to Other Programs		-	(66,399)	-	-
Interdepartmental Transfer		-	(37,027)	-	-
Overtime		-	360,400	-	360,400
Turnover		-	(586,938)	-	(713,183)
Subtotal		-	(\$265,107)	-	(\$287,926)
Total Salaries		190.5	\$14,440,739	190.5	\$14,501,281
Benefits					
Payroll Accrual			80,770		81,102
Holiday			44,600		49,000
FICA			1,091,537		1,097,334
Retiree Health			1,001,925		960,965
Health Benefits			2,205,044		2,401,046
Retirement			3,390,748		3,444,907
Subtotal			\$7,814,624		\$8,034,354
Total Salaries and Benefits		190.5	\$22,255,363	190.5	\$22,535,635
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$116,812		\$118,281
Statewide Benefit Assessment			\$600,103		\$602,666
Payroll Costs		190.5	\$22,855,466	190.5	\$23,138,301

Personnel

Department Of Administration Information Technology

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			1,244,236		1,213,636
Clerical and Temporary Services			3,000		1,114
Subtotal			\$1,247,236		\$1,214,750
Total Personnel		190.5	\$24,102,702	190.5	\$24,353,051
Distribution By Source Of Funds					
General Revenue		122.2	\$15,363,780	122.2	\$15,569,663
Federal Funds		42.9	\$5,527,965	42.9	\$5,602,594
Restricted Receipts		6.9	\$847,317	6.9	\$859,160
Other Funds		18.5	\$2,363,640	18.5	\$2,321,634
Total All Funds		190.5	\$24,102,702	190.5	\$24,353,051

Performance Measures

Department Of Administration Information Technology

Timeliness of Severe-Priority Service Ticket Assignment

The Division of Information Technology's goal is to provide responsive customer service to all of customers using its services. The figures below represent the percentage of severe-priority tickets that are assigned a technician within ten minutes during business hours and within 30 minutes after business hours.

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	90%
Actual	--	--	97.7%	97.6%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Timeliness of Severe-Priority Service Ticket Resolution

The figures below represent the percentage of severe-priority tickets that are resolved within eight business hours.

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	90%
Actual	--	--	100%	97.7%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

State Mainframe Availability

The Division of Information Technology's goal is to provide consistent, sustained mainframe system availability for critical state applications, which serve most agencies throughout the state. The figures below represent the percentage of time the state's mainframe has been available for critical state applications.

	2011	2012	2013	2014	2015
Target	--	--	99%	99%	99%
Actual	--	99.7%	99.7%	--	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Unscheduled Mainframe Downtime

The Division of Information Technology seeks to avoid unscheduled downtime of the State Mainframe because unavailability can be disruptive to State operations. The figures below represent the percentage of time that the mainframe computer system was unavailable due to unscheduled maintenance (any figure above 0% indicates unscheduled downtime).

	2011	2012	2013	2014	2015
Target	--	--	0%	0%	0%
Actual	0%	0%	0%	0%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

The Program

Department Of Administration Library and Information Services

Program Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery.

Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board.

Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities.

Maintain and develop the Library of Rhode Island Network.

Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act.

Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service.

Provide for statewide reference service through online services of "AskRI".

Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Program Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

RIGL 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

The Budget

Department Of Administration Library and Information Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Library Services	2,214,181	1,989,098	2,192,285	2,373,215	2,066,684
Total Expenditures	\$2,214,181	\$1,989,098	\$2,192,285	\$2,373,215	\$2,066,684
Expenditures By Object					
Personnel	1,431,276	1,388,204	1,470,676	1,354,279	1,306,394
Operating Supplies and Expenses	571,077	531,730	687,764	823,337	723,290
Assistance and Grants	211,828	49,708	30,000	189,599	30,000
Aid to Local Units of Government	-	11,700	-	-	-
Subtotal: Operating Expenditures	2,214,181	1,981,342	2,188,440	2,367,215	2,059,684
Capital Purchases and Equipment	-	7,756	3,845	6,000	7,000
Total Expenditures	\$2,214,181	\$1,989,098	\$2,192,285	\$2,373,215	\$2,066,684
Expenditures By Funds					
General Revenue	931,169	956,299	1,007,465	1,003,405	881,464
Federal Funds	1,281,649	1,031,105	1,183,126	1,369,157	1,184,567
Restricted Receipts	1,363	1,694	1,694	653	653
Total Expenditures	\$2,214,181	\$1,989,098	\$2,192,285	\$2,373,215	\$2,066,684

Personnel

Department Of Administration Library and Information Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF LIBRARY SERVICES	00143A	1.0	101,408	1.0	105,889
LIBRARY PROGRAM MANAGER I	00137A	2.0	180,856	2.0	180,856
LIBRARY PROGRAM SPECIALIST III	0AB32A	4.0	316,382	4.0	316,381
LIBRARY PROGRAM SPECIALIST I	0AB24A	2.0	112,678	2.0	114,122
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	134,485	3.0	136,551
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	40,154	1.0	41,210
Subtotal		13.0	\$885,963	13.0	\$895,009
Turnover		-	(50,704)	-	(90,428)
Subtotal		-	(\$50,704)	-	(\$90,428)
Total Salaries		13.0	\$835,259	13.0	\$804,581
Benefits					
Payroll Accrual			4,779		4,604
FICA			64,205		61,859
Retiree Health			62,638		54,309
Health Benefits			113,822		123,054
Retirement			200,882		195,752
Subtotal			\$446,326		\$439,578
Total Salaries and Benefits		13.0	\$1,281,585	13.0	\$1,244,159
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$98,583		\$95,705
Statewide Benefit Assessment			\$37,654		\$34,195
Payroll Costs		13.0	\$1,319,239	13.0	\$1,278,354
Purchased Services					
Training and Educational Services			35,040		28,040
Subtotal			\$35,040		\$28,040
Total Personnel		13.0	\$1,354,279	13.0	\$1,306,394
Distribution By Source Of Funds					
General Revenue		7.0	\$689,121	7.0	\$640,961
Federal Funds		6.0	\$665,158	6.0	\$665,433
Total All Funds		13.0	\$1,354,279	13.0	\$1,306,394

Performance Measures

Department Of Administration Office of Library and Information Services

Talking Books Plus Circulation

Talking Books Plus provides books and magazines in audio and Braille formats to individuals who cannot read traditional print materials. Audio materials are available on cassette, digital cartridge or via online download. The service is transitioning from analog to digital formats, resulting in fewer titles being available during the transition and challenges for users in using the new digital technology (users of the service tend to be elderly and less familiar with digital technology.) Following the transition, more materials should be more easily available to users, who often have no other source for reading materials. The figures below represent the number of items circulated by the Regional Library for the Blind and Physically Handicapped (Talking Books Plus).

	2011	2012	2013	2014	2015
Target	--	--	53388	54456	54456
Actual	56927	56917	52816	52848	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Library of Rhode Island Delivery System

The Library of Rhode Island (LORI) network enables over 200 public, academic, school and special libraries to share materials via LORI delivery. Rhode Islanders have access to materials in all member libraries and can request delivery of items to their local library. Sharing materials between libraries saves money for libraries while providing library users with access to more materials. A new delivery contract in 2012 reduced delivery stops and implemented other changes to make the system more efficient without increasing costs for the state. The figures below represent the number of items transported by the Library of Rhode Island Delivery System.

	2011	2012	2013	2014	2015
Target	--	--	2569096	2494592	2389662
Actual	2737725	2645825	2451775	579312	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Office of Library and Information Services (OLIS) Continuing Education

OLIS provides Continuing Education classes to enable librarians and library staff to keep up-to-date on library trends, service, and procedures. Classes are open to librarians from public, academic, school and special libraries. Knowledgeable library staff are better able to serve the people of their community and curate the materials held at their libraries. The figures below represent the number of OLIS Continuing Education attendees.

	2011	2012	2013	2014	2015
Target	--	--	812	725	550
Actual	812	899	534	105	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Administration Planning

Program Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, political subdivisions and private partners pertaining to housing, community development, and economic development; and to adopt policies and administer programs designed to address State and local community development needs, including the need for adequate safe and affordable housing.

Program Description

The Division of Planning is comprised of four subprograms: Statewide Planning, Strategic Planning and Economic Development, Housing & Community Development, and the Water Resources Board.

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding.

Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration.

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers the federal Community Development Block (CDBG) Grant and related programs. The HRC provides opportunities for healthy and affordable housing through production, lead hazard mitigation and the coordination of the homeless system and implementation of the state's plan to end homelessness. The HRC is responsible for the disbursement of a new rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The Budget

Department Of Administration Planning

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Statewide Planning	3,628,337	3,863,397	7,510,413	7,217,859	5,507,150
Housing and Comm. Development	10,073,981	12,598,621	13,165,091	16,246,064	14,605,452
Total Expenditures	\$13,702,318	\$16,462,018	\$20,675,504	\$23,463,923	\$20,112,602
Expenditures By Object					
Personnel	3,848,523	3,911,748	5,053,231	6,364,669	5,450,613
Operating Supplies and Expenses	147,090	117,940	166,824	205,877	151,963
Assistance and Grants	9,699,162	12,411,587	15,441,896	16,879,824	14,496,473
Subtotal: Operating Expenditures	13,694,775	16,441,275	20,661,951	23,450,370	20,099,049
Capital Purchases and Equipment	7,543	20,743	13,553	13,553	13,553
Total Expenditures	\$13,702,318	\$16,462,018	\$20,675,504	\$23,463,923	\$20,112,602
Expenditures By Funds					
General Revenue	3,907,211	3,603,425	4,701,094	4,683,717	4,442,778
Federal Funds	7,922,047	10,629,212	10,935,098	15,029,843	12,662,645
Operating Transfers from Other Funds	1,873,060	2,229,381	5,039,312	3,750,363	3,007,179
Total Expenditures	\$13,702,318	\$16,462,018	\$20,675,504	\$23,463,923	\$20,112,602

Personnel

Department Of Administration Planning

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR, DIVISION OF PLANNING	00144A	1.0	115,891	1.0	115,891
CHIEF OFFICE OF HOUSING AND COMMUNITY	00138A	1.0	99,915	1.0	99,915
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	94,534	1.0	94,534
SUPERVISING CIVIL ENGINEER (WATER	00135A	1.0	91,917	1.0	91,917
CHIEF OFFICE OF SYSTEMS PLANNING	00138A	1.0	85,034	1.0	85,034
ASSISTANT CHIEF OF PLANNING	00137A	1.0	82,207	1.0	82,207
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00133A	1.0	70,475	1.0	71,037
SUPERVISING PLANNER	00831A	5.0	351,266	5.0	351,553
PRINCIPAL PLANNER	03529A	1.0	70,125	1.0	70,125
SUPERVISING GEOGRAPHIC INFORMATION	00132A	1.0	68,293	1.0	68,293
PROGRAMMING SERVICES OFFICER	00131A	2.0	133,045	2.0	135,558
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	63,720	1.0	63,720
PRINCIPAL PLANNER	00C29A	9.0	553,974	9.0	569,031
HOUSING COMMISSION COORDINATOR	00128A	4.0	234,516	4.0	236,198
SENIOR PLANNER	00326A	2.0	115,136	2.0	115,136
FISCAL MANAGEMENT OFFICER	00B26A	1.0	57,392	1.0	59,320
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	52,328	1.0	52,328
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	51,280	1.0	53,073
EXECUTIVE ASSISTANT	00118A	1.0	41,849	1.0	41,849
PRINCIPAL PLANNER	00829A ⁹	-	-	1.0	59,774
Subtotal		36.0	\$2,432,897	37.0	\$2,516,493
Unclassified					
GENERAL MANAGER (RI WATER RESOURCES	00839A	1.0	104,013	1.0	104,013
EXECUTIVE SECRETARY (STATE PROPERTIES	00321A	1.0	50,449	-	-
Subtotal		2.0	\$154,462	1.0	\$104,013
Cost Allocation from Other Programs		-	37,755	-	37,755
Turnover		-	(70,696)	-	(68,820)
Subtotal		-	(\$32,941)	-	(\$31,065)
Total Salaries		38.0	\$2,554,418	38.0	\$2,589,441
Benefits					
Payroll Accrual			14,638		14,831
FICA			195,721		198,398
Retiree Health			180,597		174,785
Health Benefits			374,899		421,596
Retirement			616,757		632,435
Subtotal			\$1,382,612		\$1,442,045
Total Salaries and Benefits		38.0	\$3,937,030	38.0	\$4,031,486
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$103,606		\$106,092
Statewide Benefit Assessment			\$108,564		\$110,052
Payroll Costs		38.0	\$4,045,594	38.0	\$4,141,538

Personnel

Department Of Administration Planning

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Management & Consultant Services			2,241,200		1,231,200
Design and Engineering Services			77,875		77,875
Subtotal			\$2,319,075		\$1,309,075
Total Personnel		38.0	\$6,364,669	38.0	\$5,450,613
Distribution By Source Of Funds					
General Revenue		13.9	\$1,613,374	16.0	\$1,878,841
Federal Funds		10.1	\$2,554,884	11.4	\$1,534,626
Operating Transfers from Other Funds		14.0	\$2,196,411	10.6	\$2,037,146
Total All Funds		38.0	\$6,364,669	38.0	\$5,450,613

9 For FY 2015, new FTE position to administer \$19.24 million federal Hurricane Sandy funds. Position is financed by federal funding.

Performance Measures

Department Of Administration Planning

State Guide Plan Upkeep

The figures below represent the percentage of State Guide Plan Elements that have been adopted, updated or otherwise amended within the past 10 years.

	2011	2012	2013	2014	2015
Target	--	--	100%	100%	100%
Actual	--	--	42%	42%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Municipal Comprehensive Plan Approval

The figures below represent the percentage of Municipal Comprehensive Plan submissions that either receive or are eligible for state approval at conclusion of the Statewide Planning Program review process. This measure reflects the Statewide Planning Program's effectiveness in aligning state and local development plans.

	2011	2012	2013	2014	2015
Target	--	--	100%	100%	100%
Actual	--	--	81%	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Long-Term Homelessness

The State Office of Housing and Community Development (OHCD) is working to implement "Opening Doors Rhode Island," the State's plan to reduce and end homelessness. The figures below represent the number of people considered chronically homeless. (In fall 2013, OHCD revised its estimates of the number of chronically homeless individuals. The Actual figures below reflect the updated data.)

	2011	2012	2013	2014	2015
Target	428	406	343	250	250
Actual	--	--	1256	957	--

Performance for this measure is reported by state fiscal year and is current as of 12/1/2013.

The Program

Department Of Administration Energy Resources

Program Mission

To stabilize and, where possible, reduce energy costs and consumption in all sectors by increasing energy efficiency, diversifying energy resources, and increasing supplies of clean reliable energy that reduce reliance on imported energy.

To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented.

To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including but not limited to the Renewable Energy Coordinating Board, Energy Efficiency Resource Management Council (EERMC), State Planning, the RI Economic Development Corporation (EDC), the Department of Environmental Management, the Department of Administration, and the Division of Public Utilities.

To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner.

To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Program Description

The Rhode Island Office of Energy Resources (OER) provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. The OER works closely with two appointed bodies, the EERMC and the RECB. The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commission serves as the non-voting Executive Director. The RECB promotes coordination of renewable energy programs in the state and includes the directors/administrators of the OER, the Department of Administration, the Department of Environmental Management and the Coastal Resource Management Council. The Board receives assistance from a fifteen (15) member Advisory Council.

Statutory History

The Office of Energy Resources was created in 2006 to consolidate energy policies of state government. The Office of Energy Resources objectives expanded in 2012 as outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1. The Energy Efficiency and Resource Management Council was established pursuant to R.I.G.L. 42-140.1. The Renewable Energy Coordinating Board was established pursuant to R.I.G.L. 42-140.3.

The Budget

Department Of Administration Energy Resources

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Energy Resources	47,059,751	3,971,692	11,199,557	11,923,939	5,790,201
Total Expenditures	\$47,059,751	\$3,971,692	\$11,199,557	\$11,923,939	\$5,790,201
Expenditures By Object					
Personnel	1,643,399	880,846	1,360,069	1,265,580	1,387,916
Operating Supplies and Expenses	402,417	68,130	60,547	87,693	46,916
Assistance and Grants	45,011,646	2,763,079	9,778,941	10,561,166	4,345,869
Subtotal: Operating Expenditures	47,057,462	3,712,055	11,199,557	11,914,439	5,780,701
Capital Purchases and Equipment	2,289	259,637	-	9,500	9,500
Total Expenditures	\$47,059,751	\$3,971,692	\$11,199,557	\$11,923,939	\$5,790,201
Expenditures By Funds					
General Revenue	-	43,671	-	-	-
Federal Funds	39,765,858	3,716,619	5,740,080	6,281,400	524,775
Restricted Receipts	7,293,893	211,402	5,459,477	5,642,539	5,265,426
Total Expenditures	\$47,059,751	\$3,971,692	\$11,199,557	\$11,923,939	\$5,790,201

Personnel

Department Of Administration Energy Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	96,593	1.0	96,593
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	96,411	1.0	100,090
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	83,476	1.0	87,964
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	134,128	2.0	139,033
PROGRAMMING SERVICES OFFICER	00131A	2.0	118,376	2.0	122,768
IMPLEMENTATION AIDE	03522A	1.0	42,256	1.0	44,033
Subtotal		8.0	\$571,240	8.0	\$590,481
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	00843A	1.0	121,074	1.0	126,241
EXECUTIVE SECRETARY	04623A	1.0	58,890	1.0	58,890
Subtotal		2.0	\$179,964	2.0	\$185,131
Interdepartmental Transfer		-	(19,319)	-	-
Turnover		-	(35,382)	-	-
Subtotal		-	(\$54,701)	-	-
Total Salaries		10.0	\$696,503	10.0	\$775,612
Benefits					
Payroll Accrual			3,986		4,434
FICA			53,216		59,189
Retiree Health			49,242		52,354
Health Benefits			99,772		118,351
Retirement			171,387		191,582
Subtotal			\$377,603		\$425,910
Total Salaries and Benefits		10.0	\$1,074,106	10.0	\$1,201,522
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$107,411		\$120,152
Statewide Benefit Assessment			\$29,602		\$32,963
Payroll Costs		10.0	\$1,103,708	10.0	\$1,234,485
Purchased Services					
Clerical and Temporary Services			105,519		112,358
Management & Consultant Services			41,073		41,073
Training and Educational Services			15,280		-
Subtotal			\$161,872		\$153,431
Total Personnel		10.0	\$1,265,580	10.0	\$1,387,916
Distribution By Source Of Funds					
Federal Funds		3.7	\$477,512	3.0	\$381,700
Restricted Receipts		6.3	\$788,068	7.0	\$1,006,216
Total All Funds		10.0	\$1,265,580	10.0	\$1,387,916

The Program

Department Of Administration

Construction Permitting, Approvals and Licensing

Program Mission

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

Program Description

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people.

Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted.

Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

Statutory History

Rhode Island General Law 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes.

Rhode Island General Law 5-65 et. el., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5-65.2 governs the well drillers.

Rhode Island General Law 23-28.3-1 through RIGL 23-28.3-11 governs the Fire Code Board of Appeal and Review.

The Budget

Department Of Administration Construction Permitting, Approvals and Licensing

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	-	2,521,818	2,829,509	2,887,562	2,823,428
Total Expenditures	-	\$2,521,818	\$2,829,509	\$2,887,562	\$2,823,428
Expenditures By Object					
Personnel	-	2,374,940	2,605,549	2,566,185	2,633,718
Operating Supplies and Expenses	-	112,913	184,460	204,610	169,710
Subtotal: Operating Expenditures	-	2,487,853	2,790,009	2,770,795	2,803,428
Capital Purchases and Equipment	-	33,965	39,500	116,767	20,000
Total Expenditures	-	\$2,521,818	\$2,829,509	\$2,887,562	\$2,823,428
Expenditures By Funds					
General Revenue	-	1,373,232	1,421,350	1,491,575	1,483,525
Restricted Receipts	-	1,148,586	1,408,159	1,395,987	1,339,903
Total Expenditures	-	\$2,521,818	\$2,829,509	\$2,887,562	\$2,823,428

Personnel

Department Of Administration

Construction Permitting, Approvals and Licensing

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF	03640A	1.0	109,495	1.0	109,495
STATE BUILDING CODE COMMISSIONER	00142A	1.0	101,408	1.0	101,408
EXECUTIVE DIRECTOR CONTRACTORS'	00837A	1.0	99,595	1.0	99,595
SUPERVISING CIVIL ENGINEER	00335A	1.0	90,266	1.0	90,266
HEARING OFFICER CONTRACTORS' REGISTRATION	00334A	1.0	85,334	1.0	87,312
ARCHITECT BUILDING COMMISSION	00335A	2.0	169,676	2.0	169,676
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	3.0	219,733	3.0	219,733
CHIEF STATE BUILDING CODE OFFICIAL	00332A	1.0	72,820	1.0	74,774
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	1.0	68,127	1.0	68,127
SENIOR STATE BUILDING CODE OFFICIAL	00328A	3.0	160,408	3.0	167,840
IMPLEMENTATION AIDE	00322A	2.0	104,126	2.0	106,012
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	47,396	1.0	47,396
LICENSING AIDE	00315A	2.0	89,273	2.0	89,273
ENFORCEMENT AIDE	00319A	2.0	88,761	2.0	89,638
Subtotal		22.0	\$1,506,418	22.0	\$1,520,545
Unclassified					
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	51,309	1.0	51,309
ADMINISTRATIVE AIDE	04514A	1.0	38,643	1.0	38,643
Subtotal		2.0	\$89,952	2.0	\$89,952
Cost Allocation from Other Programs		-	14,089	-	14,089
Turnover		-	(39,826)	-	(14,905)
Subtotal		-	(\$25,737)	-	(\$816)
Total Salaries		24.0	\$1,570,633	24.0	\$1,609,681
Benefits					
Payroll Accrual			9,139		9,208
FICA			122,468		123,462
Retiree Health			112,885		108,698
Health Benefits			287,853		313,309
Retirement			388,747		396,346
Subtotal			\$921,092		\$951,023
Total Salaries and Benefits		24.0	\$2,491,725	24.0	\$2,560,704
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$103,822		\$106,696
Statewide Benefit Assessment			\$67,860		\$68,414
Payroll Costs		24.0	\$2,559,585	24.0	\$2,629,118

Personnel

Department Of Administration

Construction Permitting, Approvals and Licensing

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			6,600		4,600
Subtotal			\$6,600		\$4,600
Total Personnel		24.0	\$2,566,185	24.0	\$2,633,718
Distribution By Source Of Funds					
General Revenue		12.0	\$1,394,376	12.0	\$1,410,726
Restricted Receipts		12.0	\$1,171,809	12.0	\$1,222,992
Total All Funds		24.0	\$2,566,185	24.0	\$2,633,718

The Program

Department Of Administration Rhode Island Health Benefits Exchange

Program Mission

The Rhode Island Health Benefits Exchange, which has recently been rebranded HealthSource RI, will serve two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Program Description

HealthSource RI seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Affordable Care Act (“ACA” or known as “Obamacare”). The following are key programmatic components considered by the Exchange to best exemplify the goals and values of the organization:

1. The Exchange will be a place to compare and buy health insurance.
2. The Exchange will work closely with small employers to provide new and beneficial health insurance options.
3. The Exchange will be a reliable and trusted source of healthcare information for all constituents.

Statutory History

The Patient Protection and Affordable Care Act (Pub. Law 111-148) (the “ACA”) provides for the establishment of a Health Benefits Exchange by each State. The Rhode Island Health Benefits Exchange was established on September 19, 2011 by Executive Order 11-09. This Executive Order both transferred the functions of an existing state fund known as the Health Resources Development Fund (the Fund) from the Department of Health to the Executive Office and provided for the development of a division within the Executive Office known as the Rhode Island Health Benefits Exchange (RIHBE), now known as “HealthSource RI,” to administer the fund.

Executive Order 11-09 requires the RIHBE, at a minimum, to carry out the functions and responsibilities to create Health Benefits Exchange pursuant to section 1311 of the ACA and also stipulates that the Fund, consistent with RIGL 42-62-17, shall receive funds from insurers or other entities including the federal Department of Health and Human Services (“HHS”).

Since its creation, the RIHBE division has subsequently been transferred from the Executive Office to the Department of Administration (DOA). This transfer was facilitated through the legislative budget process.

The Budget

Department Of Administration Rhode Island Health Benefits Exchange

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	-	21,811,292	28,348,926	52,428,676	23,433,222
Total Expenditures	-	\$21,811,292	\$28,348,926	\$52,428,676	\$23,433,222
Expenditures By Object					
Personnel	-	20,495,069	27,766,810	51,411,344	22,389,546
Operating Supplies and Expenses	-	42,394	551,475	918,971	1,043,676
Subtotal: Operating Expenditures	-	20,537,463	28,318,285	52,330,315	23,433,222
Capital Purchases and Equipment	-	1,273,829	30,641	98,361	-
Total Expenditures	-	\$21,811,292	\$28,348,926	\$52,428,676	\$23,433,222
Expenditures By Funds					
Federal Funds	-	21,811,292	28,348,926	52,428,676	23,433,222
Total Expenditures	-	\$21,811,292	\$28,348,926	\$52,428,676	\$23,433,222

Personnel

Department Of Administration Rhode Island Health Benefits Exchange

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	1.0	119,343	1.0	119,343
INFORMATION TECHNOLOGY PROJECT MANAGER	00143A	1.0	92,446	1.0	96,927
CHIEF OF LEGAL SERVICES	00139A	1.0	88,177	1.0	92,362
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	4.0	340,633	4.0	356,339
IMPLEMENTATION DIRECTOR POLICY AND	00140A	1.0	83,476	1.0	87,964
CHIEF INFORMATION SECURITY OFFICER	00138A	1.0	74,974	1.0	77,715
COMMUNITY LIAISON/RELATIONS COORDINATOR	00135A	2.0	135,136	2.0	140,076
SUPERVISOR FINANCIAL MANAGEMENT AND	00135A	1.0	67,568	1.0	70,038
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	67,477	1.0	69,944
COMMUNITY SERVICES COORDINATOR	00134A	1.0	65,092	1.0	67,477
CHIEF DATA OPERATIONS	00133A	1.0	62,718	1.0	64,999
INSURANCE RATE ANALYST (CASUALTY)	00128A	1.0	51,741	1.0	53,570
FISCAL MANAGEMENT OFFICER	00126A	1.0	46,283	1.0	48,002
IMPLEMENTATION AIDE	00022A	2.0	87,031	2.0	88,299
Subtotal		19.0	\$1,382,095	19.0	\$1,433,055
Cost Allocation from Other Programs		-	178,238	-	35,524
Interdepartmental Transfer		-	738,525	-	797,697
Turnover		-	(874,622)	-	(160,828)
Subtotal		-	\$42,141	-	\$672,393
Total Salaries		19.0	\$1,424,236	19.0	\$2,105,448
Benefits					
Payroll Accrual			8,155		12,031
FICA			107,582		160,860
Retiree Health			100,508		141,942
Health Benefits			182,720		346,773
Retirement			341,898		511,608
Subtotal			\$740,863		\$1,173,214
Total Salaries and Benefits		19.0	\$2,165,099	19.0	\$3,278,662
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$113,953		\$172,561
Statewide Benefit Assessment			\$60,420		\$89,368
Payroll Costs		19.0	\$2,225,519	19.0	\$3,368,030

Personnel

Department Of Administration Rhode Island Health Benefits Exchange

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			19,055,602		8,080,063
Management & Consultant Services			15,416,835		6,258,769
Legal Services			416,407		484,904
Other Contracts			13,852,485		3,955,909
Training and Educational Services			444,496		241,871
Subtotal			\$49,185,825		\$19,021,516
Total Personnel		19.0	\$51,411,344	19.0	\$22,389,546
Distribution By Source Of Funds					
Federal Funds		19.0	\$51,411,344	19.0	\$22,389,546
Total All Funds		19.0	\$51,411,344	19.0	\$22,389,546

Performance Measures

Department Of Administration Rhode Island Health Benefits Exchange

Uninsured Rhode Islanders

HealthSource RI, Rhode Island's health benefits exchange, aims to make health insurance more accessible and affordable for Rhode Islanders and to reduce the number of uninsured residents. HealthSource RI has a goal of reducing the number of uninsured by 10% each year. The figures below represent the number of Rhode Islanders without health insurance. [Note: Data from 2013 will be available in October 2014.]

	2011	2012	2013	2014	2015
Target	117000	117000	113670	102300	92073
Actual	117000	126300	--	--	--

Performance for this measure is reported by calendar year and is current as of 12/31/2012.

Federal Affordability Tax Credits

The Patient Protection and Affordable Care Act established premium support and cost-sharing subsidies, available starting in January 2014 for qualifying individuals and families to help offset the cost of health insurance. Qualifying Rhode Islanders without access to affordable employer-sponsored health insurance may purchase health insurance through HealthSource RI and receive an advance federal tax credit to offset the cost of the monthly premium. The figures below represent the number of Rhode Islanders receiving a federal health coverage tax credit. [Note: Data from 2013 will be available in April 2014.]

	2011	2012	2013	2014	2015
Target	--	--	--	12856	19284
Actual	--	--	--	--	--

Performance for this measure is reported by calendar year and is current as of 12/31/2012.

The Program

Department Of Administration

The Office of Diversity, Equity and Opportunity

Program Mission

The mission of the Office of Diversity, Equity and Opportunity is to ensure non-discrimination, diversity and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities.

The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws.

The mission of the MBE compliance Office is to ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services.

The mission of the Outreach and Diversity office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Program Description

The Office of Diversity, Equity and Opportunity will include a Chief Diversity and Equity Officer (CDEO), a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Officer, the Minority Business Enterprise (MBE) Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity will work in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, and MBE procurement goals.

The State Equal Opportunity Office is charged with providing training and technical assistance as may be requested by any company doing business in Rhode Island and all state departments as is necessary to comply with the intent of Rhode Island General Laws Chapter 8-5.1-1. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and BE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides outreach to assist in recruiting and retaining a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 130-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within Department charged with facilitation equal opportunity employment and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the MBE Program, and to make recommendation to the Governor to improve collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity and affirmative action hiring and MBE procurement as allowed by law. The Department of Administration's recommendation included the creation of the Office of Diversity, Equity and Opportunity.

The Budget

Department Of Administration The Office of Diversity, Equity and Opportunity

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
No Sub-Programs	-	-	-	-	1,183,454
Total Expenditures	-	-	-	-	\$1,183,454
Expenditures By Object					
Personnel	-	-	-	-	1,144,682
Operating Supplies and Expenses	-	-	-	-	38,772
Subtotal: Operating Expenditures	-	-	-	-	1,183,454
Total Expenditures	-	-	-	-	\$1,183,454
Expenditures By Funds					
General Revenue	-	-	-	-	1,101,170
Federal Funds	-	-	-	-	82,284
Total Expenditures	-	-	-	-	\$1,183,454

Personnel

Department Of Administration

The Office of Diversity, Equity and Opportunity

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR STATE EQUAL OPPORTUNITY	00139A	-	-	1.0	105,862
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00134A	-	-	1.0	86,702
DEPUTY PERSONNEL ADMINISTRATOR	00144A ¹⁰	-	-	1.0	101,408
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	-	-	1.0	106,025
PRINCIPAL RESOURCE SPECIALIST	00328A	-	-	1.0	69,512
SENIOR EQUAL OPPORTUNITY OFFICER	00326A ¹¹	-	-	3.0	146,370
SENIOR EXTERNAL EQUAL OPPORTUNITY	00127A	-	-	2.0	113,292
Subtotal		-	-	10.0	\$729,171
Turnover		-	-	-	(28,302)
Subtotal		-	-	-	(\$28,302)
Total Salaries		-	-	10.0	\$700,869
Benefits					
Payroll Accrual			-		4,008
FICA			-		53,616
Retiree Health			-		47,308
Health Benefits			-		135,867
Retirement			-		170,525
Subtotal			-		\$411,324
Total Salaries and Benefits		-	-	10.0	\$1,112,193
Cost Per FTE Position (Excluding Temporary and Seasonal)			-		\$111,219
Statewide Benefit Assessment			-		\$29,789
Payroll Costs		-	-	10.0	\$1,141,982
Purchased Services					
Clerical and Temporary Services			-		200
Training and Educational Services			-		2,500
Subtotal			-		\$2,700
Total Personnel		-	-	10.0	\$1,144,682
Distribution By Source Of Funds					
General Revenue		-	-	9.0	\$1,062,398
Federal Funds		-	-	1.0	\$82,284
Total All Funds		-	-	10.0	\$1,144,682

¹⁰ New FTE position for the Director of the Office of Diversity, Equity & Opportunity consistent with the Department's report on the Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in RI in compliance with Executive Order 13-05.

¹¹ New FTE position for Outreach & Diversity consistent with the Department's report on the Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in RI in compliance with Executive Order 13-05.

The Program

Department Of Administration General

Program Mission

The mission is to maintain a statewide accounting for all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Program Description

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Grants and benefits reflect grants made to certain organizations and funds provided to certain entities, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation, the I-195 Redevelopment District Commission, the Slater Centers of Excellence, and the RI Research Alliance.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums."

Motion pictures and television play a significant role in the cultural life of the state. Film and TV has grown during the past several decades into an important art form, and film and TV on the national and international level is a formidable economic engine. The Rhode Island Film & Television Office reinforces state support for these art forms, enhances the attractiveness of the state to national and international productions, and facilitates economic progress for the state.

Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31. The Rhode Island Film and Television Office was created through R.I.G.L. 44-31.2 (9).

The Budget

Department Of Administration General

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
General	5,070,779	510,995	5,217,055	5,217,055	4,992,055
Capital Projects	11,832,916	16,303,188	42,532,174	37,082,465	30,177,223
Grants & Other Payments	4,921,194	2,970,164	2,867,106	2,867,106	2,867,106
Economic Development	10,139,441	11,489,460	8,721,572	9,396,251	8,871,572
State Aid to Local Communities	11,545,905	11,245,111	11,274,064	11,274,064	11,104,987
RI film & Television Office	-	296,502	310,747	308,965	310,312
Total Expenditures	\$43,510,235	\$42,815,420	\$70,922,718	\$66,145,906	\$58,323,255
Expenditures By Object					
Personnel	676,838	775,039	282,618	280,836	282,183
Operating Supplies and Expenses	3,687,360	5,563,396	2,661,186	2,661,186	2,661,186
Assistance and Grants	6,896,870	1,152,925	9,093,662	6,442,662	6,992,662
Aid to Local Units of Government	11,545,905	10,527,168	11,274,064	11,274,064	11,104,987
Subtotal: Operating Expenditures	22,806,973	18,018,528	23,311,530	20,658,748	21,041,018
Capital Purchases and Equipment	10,563,821	12,800,752	42,641,674	37,191,965	30,286,723
Operating Transfers	10,139,441	11,996,140	4,969,514	8,295,193	6,995,514
Total Expenditures	\$43,510,235	\$42,815,420	\$70,922,718	\$66,145,906	\$58,323,255
Expenditures By Funds					
General Revenue	26,606,540	26,423,606	23,623,489	24,296,386	23,378,977
Federal Funds	4,345,555	-	4,345,555	4,345,555	4,345,555
Restricted Receipts	725,224	88,626	421,500	421,500	421,500
Operating Transfers from Other Funds	11,832,916	16,303,188	42,532,174	37,082,465	30,177,223
Total Expenditures	\$43,510,235	\$42,815,420	\$70,922,718	\$66,145,906	\$58,323,255

Personnel

Department Of Administration General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR OFFICE OF FILM &	00839A	1.0	102,020	1.0	102,020
SENIOR SYSTEMS DESIGN PROGRAMMER	00328A	0.6	39,341	0.6	39,341
ADMINISTRATIVE ASSISTANT	00316A	1.0	42,623	1.0	42,623
Subtotal		2.6	\$183,984	2.6	\$183,984
Total Salaries		2.6	\$183,984	2.6	\$183,984
Benefits					
Payroll Accrual			1,053		1,052
FICA			14,074		14,074
Retiree Health			13,007		12,419
Health Benefits			16,651		18,073
Retirement			44,248		44,762
Subtotal			\$89,033		\$90,380
Total Salaries and Benefits		2.6	\$273,017	2.6	\$274,364
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$105,007		\$105,525
Statewide Benefit Assessment			\$7,819		\$7,819
Payroll Costs		2.6	\$280,836	2.6	\$282,183
Total Personnel		2.6	\$280,836	2.6	\$282,183
Distribution By Source Of Funds					
General Revenue		2.6	\$280,836	2.6	\$282,183
Total All Funds		2.6	\$280,836	2.6	\$282,183

The Program

Department Of Administration Personnel Reform

Program Mission

To address funding requirements associated with personnel or pension-related reforms.

Program Description

State law requires that whenever the actuarially determined state contribution for state employees and teachers is lower than that for the prior fiscal year, the Governor shall apply twenty percent of the rate reduction to reducing the actuarially accrued liability. The FY 2013 retirement rates determined by the Retirement Board's actuary were lower than the final rates for FY 2012 and thus the FY 2013 Appropriations Act included the funding necessary to make this contribution to the pension fund.

Statutory History

R.I.G.L. 36-10-2(e) establishes the requirement for this transfer.

The Budget

Department Of Administration Personnel Reform

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Pension Reform	-	635,708	-	-	-
Total Expenditures	-	\$635,708	-	-	-
Expenditures By Object					
Personnel	-	635,708	-	-	-
Subtotal: Operating Expenditures	-	635,708	-	-	-
Total Expenditures	-	\$635,708	-	-	-
Expenditures By Funds					
General Revenue	-	635,708	-	-	-
Total Expenditures	-	\$635,708	-	-	-

The Program

Department Of Administration Salary/Benefit Adjustments

Program Mission

Achieve savings through adjustments to the salary and/or benefit structures of state employees.

Program Description

The FY 2014 Governor's recommended budget includes proposed savings from the transition of seasonal and part-time employees that are not members of the Employees Retirement System of Rhode Island to a FICA Alternative Retirement Plan (FARP). The federal Omnibus Budget Reconciliation Act of 1990 permits implementation of these plans for this class of employee who are not covered by an alternative pension plan.

A FARP is an alternative retirement plan that replaces Social Security for seasonal, part-time, and temporary employees. Employees that select this plan will cease their contributions to FICA and instead contribute 7.65 percent of their compensation on a pre-tax basis to a 401(a) account. The employee's contributions and interest are available upon termination of employment, in the event of death or disability, or at normal retirement age.

Statutory History

Enacted as part of the FY 2014 Appropriation Act.

The Budget

Department Of Administration Salary/Benefit Adjustments

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Retirement Alternative	-	-	(2,199,665)	-	-
Personnel Savings	-	-	(367,539)	(367,539)	-
Total Expenditures	-	-	(\$2,567,204)	(\$367,539)	-
Expenditures By Object					
Personnel	-	-	(2,567,204)	(367,539)	-
Subtotal: Operating Expenditures	-	-	(2,567,204)	(367,539)	-
Total Expenditures	-	-	(\$2,567,204)	(\$367,539)	-
Expenditures By Funds					
General Revenue	-	-	(1,398,040)	(263,588)	-
Federal Funds	-	-	(379,988)	(63,113)	-
Restricted Receipts	-	-	(446,548)	(29,700)	-
Other Funds	-	-	(342,628)	(11,138)	-
Total Expenditures	-	-	(\$2,567,204)	(\$367,539)	-

Personnel

Department Of Administration Salary/Benefit Adjustments

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
NO FTE ASSIGNED		-	-	-	-
Subtotal		-	-	-	-
Total Salaries		-	-	-	-
Benefits					
FICA			(367,539)		-
Retiree Health			-		-
Health Benefits			-		-
Subtotal			(\$367,539)		-
Total Personnel		-	(\$367,539)	-	-
Distribution By Source Of Funds					
General Revenue		-	(\$263,588)	-	-
Federal Funds		-	(\$63,113)	-	-
Restricted Receipts		-	(\$29,700)	-	-
Other Funds		-	(\$11,138)	-	-
Total All Funds		-	(\$367,539)	-	-

The Program

Department Of Administration Debt Service Payments

Program Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Program Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

The Budget

Department Of Administration Debt Service Payments

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
General Obligation Bonds	103,607,467	113,253,787	102,652,677	102,313,407	111,816,384
Certificates of Participation	28,474,013	28,707,694	31,140,045	31,140,042	31,281,920
COPS - DLT Ctr. Gnrl. Building	2,013,043	2,012,650	2,017,375	2,017,375	2,017,625
Tax Anticipation/S T Borrowing	2,825,792	28,585	100,000	100,000	100,000
Other Debt Service	54,430,194	51,039,725	57,116,975	54,239,558	74,364,988
Total Expenditures	\$191,350,509	\$195,042,441	\$193,027,072	\$189,810,382	\$219,580,917
Expenditures By Object					
Operating Supplies and Expenses	134,883	28,704	100,000	100,000	100,000
Assistance and Grants	3,560,000	3,560,000	-	-	-
Subtotal: Operating Expenditures	3,694,883	3,588,704	100,000	100,000	100,000
Debt Service (Fixed Charges)	162,329,608	166,445,036	192,927,072	189,710,382	219,480,917
Operating Transfers	25,326,018	25,008,701	-	-	-
Total Expenditures	\$191,350,509	\$195,042,441	\$193,027,072	\$189,810,382	\$219,580,917
Expenditures By Funds					
General Revenue	142,539,267	155,397,718	157,387,801	154,199,393	187,623,893
Federal Funds	2,809,843	2,812,542	2,759,328	2,667,399	2,667,399
Restricted Receipts	4,751,128	2,112,486	2,131,275	2,085,249	2,089,305
Operating Transfers from Other Funds	41,250,271	34,719,654	30,748,668	30,858,341	27,200,320
Other Funds	-	41	-	-	-
Total Expenditures	\$191,350,509	\$195,042,441	\$193,027,072	\$189,810,382	\$219,580,917

The Program

Department Of Administration Internal Service Programs

Program Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Program Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with Rhode Island General Law Section 36-12.1-5, the trust began operations in fiscal year 2011.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 36-12.1-5 established the OPEB trust.

The Budget

Department Of Administration Internal Service Programs

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Assessed Fringe Benefit Fund	34,549,735	34,414,548	33,644,675	35,697,809	37,123,794
Central Utilities Fund	12,944,574	12,062,516	20,253,728	14,238,457	14,244,902
Central Mail Fund	4,849,952	5,185,862	5,336,633	5,519,803	5,617,173
Telecommunications Fund	3,557,111	2,541,472	4,084,660	4,053,438	4,080,029
Automotive Fleet Fund	12,468,662	12,008,150	13,668,556	13,405,358	13,733,063
Surplus Property	1,001	3,309	2,500	2,500	2,500
Health Insurance Fund	216,767,965	219,006,871	250,127,757	250,127,757	250,127,757
Other Post Employment Benefits	58,436,109	53,904,360	64,572,028	64,053,651	63,934,483
Internal Services	[343,575,109]	[339,127,088]	[391,690,537]	[387,098,773]	[388,863,701]
Total Expenditures	\$343,575,109	\$339,127,088	\$391,690,537	\$387,098,773	\$388,863,701
Expenditures By Object					
Personnel	308,923,460	306,185,355	347,871,434	349,123,650	350,583,546
Operating Supplies and Expenses	32,473,643	31,076,593	41,549,002	35,747,487	36,044,519
Assistance and Grants	26,054	19,948	75,000	75,000	75,000
Subtotal: Operating Expenditures	341,423,157	337,281,896	389,495,436	384,946,137	386,703,065
Capital Purchases and Equipment	111,731	16,505	184,500	142,035	150,035
Operating Transfers	2,040,221	1,828,687	2,010,601	2,010,601	2,010,601
Total Expenditures	\$343,575,109	\$339,127,088	\$391,690,537	\$387,098,773	\$388,863,701
Expenditures By Funds					
Other Funds	343,575,109	339,127,088	391,690,537	387,098,773	388,863,701
Total Expenditures	\$343,575,109	\$339,127,088	\$391,690,537	\$387,098,773	\$388,863,701

Personnel

Internal Service Programs / Assessed Fringe Benefit Fund

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
WORKERS' COMPENSATION ADMINISTRATOR	00140A	1.0	113,372	1.0	113,372
ASSISTANT ADMINISTRATOR, STATE EMPLOYEES	00137A	1.0	97,938	1.0	97,938
SENIOR LEGAL COUNSEL	00134A	2.0	179,456	2.0	179,456
MANAGER, WORKERS' COMPENSATION PROGRAM	00834A	1.0	89,489	1.0	89,489
CLAIMS EXAMINER II (STATE EMPLOYEES)	00325A	7.0	418,546	7.0	422,705
LEGAL ASSISTANT	00119A	1.0	48,714	1.0	48,714
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	43,873	1.0	43,873
Subtotal		14.0	\$991,388	14.0	\$995,547
Unclassified					
TASK FORCE AGENT/INSPECTOR	00828A	2.0	136,144	2.0	136,144
Subtotal		2.0	\$136,144	2.0	\$136,144
Total Salaries		16.0	\$1,127,532	16.0	\$1,131,691
Benefits					
Payroll Accrual			6,451		6,474
Other			-		-
FICA			86,486		86,803
Retiree Health			79,718		76,391
Health Benefits			183,476		197,441
Retirement			271,172		275,343
Workers Compensation			-		-
Subtotal			\$627,303		\$642,452
Total Salaries and Benefits		16.0	\$1,754,835	16.0	\$1,774,143
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$109,677		\$110,884
Statewide Benefit Assessment			\$47,918		\$48,095
Payroll Costs		16.0	\$1,802,753	16.0	\$1,822,238
Purchased Services					
Management & Consultant Services			17,000		18,000
Subtotal			\$17,000		\$18,000
Total Personnel		16.0	\$1,819,753	16.0	\$1,840,238
Distribution By Source Of Funds					
Other Funds		16.0	\$1,819,753	16.0	\$1,840,238
Total All Funds		16.0	\$1,819,753	16.0	\$1,840,238

Personnel

Internal Service Programs / Central Utilities Fund

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
JUNIOR RESOURCE SPECIALIST	00319A	1.0	49,528	1.0	49,528
OFFICE MANAGER	00123A	1.0	48,002	1.0	48,002
Subtotal		2.0	\$97,530	2.0	\$97,530
Total Salaries		2.0	\$97,530	2.0	\$97,530
Benefits					
Payroll Accrual			587		587
FICA			7,461		7,461
Retiree Health			6,896		6,583
Health Benefits			23,383		29,867
Retirement			23,455		23,729
Subtotal			\$61,782		\$68,227
Total Salaries and Benefits		2.0	\$159,312	2.0	\$165,757
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$79,656		\$82,879
Statewide Benefit Assessment			\$4,145		\$4,145
Payroll Costs		2.0	\$163,457	2.0	\$169,902
Total Personnel		2.0	\$163,457	2.0	\$169,902
Distribution By Source Of Funds					
Other Funds		2.0	\$163,457	2.0	\$169,902
Total All Funds		2.0	\$163,457	2.0	\$169,902

Personnel

Internal Service Programs / Central Mail Fund

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	1.0	129,506	1.0	129,713
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	0.8	77,510	0.8	81,274
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	3.0	174,555	3.0	176,294
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	57,241	1.0	57,241
SENIOR COMPUTER OPERATOR (OIP)	00322A	2.0	97,577	2.0	99,399
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	41,949	1.0	41,949
COMPUTER OPERATOR (OIP)	00316A	1.0	36,890	1.0	37,565
SUPERVISOR CENTRAL MAIL SERVICES	00816A	2.0	70,106	2.0	71,498
TABULATING EQUIPMENT OPERATOR (REM. RAND)	00313A	1.0	33,292	1.0	33,903
Subtotal		12.8	\$718,626	12.8	\$728,836
Cost Allocation to Other Programs			(64,857)		(64,857)
Turnover		-	(38,438)	-	-
Subtotal		-	(\$103,295)	-	(\$64,857)
Total Salaries		12.8	\$615,331	12.8	\$663,979
Benefits					
Payroll Accrual			3,517		3,796
FICA			46,699		50,536
Retiree Health			46,124		47,441
Health Benefits			165,598		193,260
Retirement			147,985		161,544
Subtotal			\$409,923		\$456,577
Total Salaries and Benefits		12.8	\$1,025,254	12.8	\$1,120,556
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$80,098		\$87,543
Statewide Benefit Assessment			\$26,151		\$28,219
Payroll Costs		12.8	\$1,051,405	12.8	\$1,148,775
Purchased Services					
Design and Engineering Services			255,000		255,000
Subtotal			\$255,000		\$255,000
Total Personnel		12.8	\$1,306,405	12.8	\$1,403,775
Distribution By Source Of Funds					
Other Funds		12.8	\$1,306,405	12.8	\$1,403,775
Total All Funds		12.8	\$1,306,405	12.8	\$1,403,775

Personnel

Internal Service Programs / Telecommunications Fund

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	0.2	19,377	0.2	20,318
TECHNICAL SUPPORT SPECIALIST III	00135A	1.0	89,311	1.0	91,087
TECHNICAL SUPPORT MANAGER	00138A	1.0	76,555	1.0	79,355
CHIEF IMPLEMENTATION AIDE	00828A	1.0	70,940	1.0	70,940
TECHNICAL SUPPORT SPECIALIST II	00332A	1.0	63,176	1.0	66,042
TECHNICAL SUPPORT SPECIALIST I	00328A	2.0	122,420	2.0	124,870
TECHNICAL SUPPORT SPECIALIST I	00328A	2.0	111,321	2.0	113,178
INFORMATION SERVICES TECHNICIAN I	00316A	1.0	45,932	1.0	45,932
Subtotal		9.2	\$599,032	9.2	\$611,722
Total Salaries		9.2	\$599,032	9.2	\$611,722
Benefits					
Payroll Accrual			3,426		3,499
FICA			45,901		46,874
Retiree Health			42,350		41,289
Health Benefits			110,907		119,519
Retirement			144,066		148,831
Subtotal			\$346,650		\$360,012
Total Salaries and Benefits		9.2	\$945,682	9.2	\$971,734
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,792		\$105,623
Statewide Benefit Assessment			\$25,460		\$25,999
Payroll Costs		9.2	\$971,142	9.2	\$997,733
Total Personnel		9.2	\$971,142	9.2	\$997,733
Distribution By Source Of Funds					
Other Funds		9.2	\$971,142	9.2	\$997,733
Total All Funds		9.2	\$971,142	9.2	\$997,733

Personnel

Internal Service Programs / Automotive Fleet Fund

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
FLEET OPERATIONS OFFICER	00130A	1.0	75,804	1.0	75,804
CHIEF IMPLEMENTATION AIDE	00828A	1.0	70,365	1.0	70,365
SENIOR ENERGY CONSERVATION TECHNICIAN	00323A	1.0	52,852	1.0	52,852
IMPLEMENTATION AIDE	00322A	1.0	50,855	1.0	50,855
ACCOUNTANT	00320A	1.0	50,785	1.0	50,785
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	43,109	1.0	43,109
DATA CONTROL CLERK	00315A	1.0	37,389	1.0	37,389
Subtotal		7.0	\$381,159	7.0	\$381,159
Cost Allocation from Other Programs		-	30,955	-	30,955
Overtime		-	12,500	-	12,500
Subtotal		-	\$43,455	-	\$43,455
Total Salaries		7.0	\$424,614	7.0	\$424,614
Benefits					
Payroll Accrual			1,709		2,366
Holiday			350		350
FICA			31,524		31,585
Retiree Health			29,136		27,820
Health Benefits			84,772		112,390
Retirement			99,115		100,268
Subtotal			\$246,606		\$274,779
Total Salaries and Benefits		7.0	\$671,220	7.0	\$699,393
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$95,889		\$99,913
Statewide Benefit Assessment			\$17,515		\$17,515
Payroll Costs		7.0	\$688,735	7.0	\$716,908
Total Personnel		7.0	\$688,735	7.0	\$716,908
Distribution By Source Of Funds					
Other Funds		7.0	\$688,735	7.0	\$716,908
Total All Funds		7.0	\$688,735	7.0	\$716,908

Personnel

Internal Service Programs / Other Post Employment Benefits

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
NO FTE ASSIGNED		-	-	-	-
Subtotal		-	-	-	-
Total Salaries		-	-	-	-
Benefits					
Health Benefits			-		-
Subtotal			-		-
Purchased Services					
Management & Consultant Services			391,270		272,102
Legal Services			34,458		34,458
Subtotal			\$425,728		\$306,560
Total Personnel		-	\$425,728	-	\$306,560
Distribution By Source Of Funds					
Other Funds		-	\$425,728	-	\$306,560
Total All Funds		-	\$425,728	-	\$306,560

Performance Measures

Department Of Administration Internal Service Programs

Mailroom Discounted Pricing Savings

The goal of the Division of Information Technology's Mail Facility goal is to provide cost-efficient postal mailings to all of its clients throughout the state. DoIT tracks both the percentage of mail processed that receives discounted pricing as well as total savings from mailing discounts. The figures below represent the savings received through discounted mail pricing.

	2011	2012	2013	2014	2015
Target	--	--	\$600000	\$600000	\$600000
Actual	--	--	\$793830	\$261016	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Agency

Department Of Business Regulation

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals and Insurance.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, Racing and Athletics Hearing Board, and Office of the Health Insurance Commissioner. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

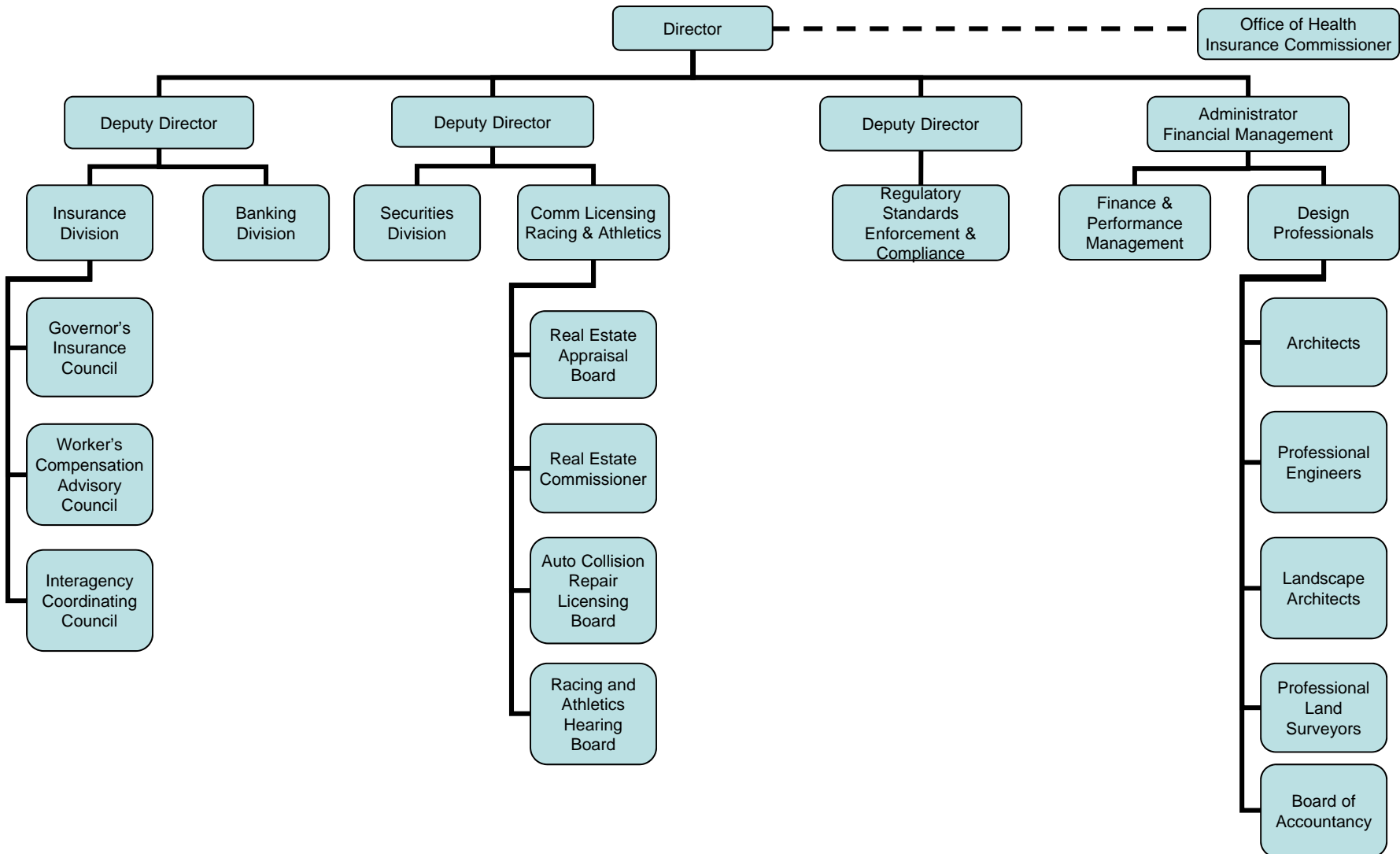
Budget

Department Of Business Regulation

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Central Management	1,102,117	1,142,117	1,197,671	1,221,398	1,234,949
Banking Regulation	1,405,880	1,461,945	1,840,225	1,567,694	1,564,260
Securities Regulation	826,792	893,842	995,090	938,648	1,013,151
Insurance Regulation	4,736,942	4,666,483	5,113,467	5,065,692	5,177,250
Board of Accountancy	109,452	49,615	81,034	16,654	16,654
Commercial Licensing, Racing & Athletics	889,053	1,012,938	1,208,270	1,141,311	1,170,059
Boards for Design Professionals	313,064	254,646	253,854	256,214	260,635
Office of Health Insurance Commissioner	3,207,926	5,225,712	2,300,269	4,469,169	2,539,472
Total Expenditures	\$12,591,226	\$14,707,298	\$12,989,880	\$14,676,780	\$12,976,430
Expenditures By Object					
Personnel	12,054,334	14,191,075	12,216,512	12,577,981	12,451,564
Operating Supplies and Expenses	479,097	445,534	679,630	2,005,061	431,128
Assistance and Grants	-	-	80,000	80,000	80,000
Subtotal: Operating Expenditures	12,533,431	14,636,609	12,976,142	14,663,042	12,962,692
Capital Purchases and Equipment	57,795	70,689	13,738	13,738	13,738
Total Expenditures	\$12,591,226	\$14,707,298	\$12,989,880	\$14,676,780	\$12,976,430
Expenditures By Funds					
General Revenue	8,394,345	8,610,843	9,245,878	8,814,930	9,013,477
Federal Funds	2,726,596	4,698,165	1,747,589	3,958,494	2,021,830
Restricted Receipts	1,470,285	1,398,290	1,996,413	1,903,356	1,941,123
Total Expenditures	\$12,591,226	\$14,707,298	\$12,989,880	\$14,676,780	\$12,976,430
FTE Authorization	96.0	94.0	94.0	94.0	94.0

The Agency

Department of Business Regulation



Personnel

Department Of Business Regulation Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		79.0	5,459,464	79.0	5,460,311
Unclassified		19.0	1,563,461	19.0	1,574,713
Subtotal		98.0	\$7,022,925	98.0	\$7,035,024
Interdepartmental Transfer		-	(\$54,715)	-	(\$103,506)
Reconcile to FTE Authorization		(4.0)	-	(4.0)	-
Temporary and Seasonal		-	41,880	-	41,880
Turnover		-	(\$750,521)	-	(\$444,453)
Subtotal		(4.0)	(\$763,356)	(4.0)	(\$506,079)
Total Salaries		94.0	\$6,259,569	94.0	\$6,528,945
Benefits					
Payroll Accrual			35,748		37,278
FICA			475,544		496,786
Retiree Health			439,595		438,974
Health Benefits			989,996		1,129,500
Retirement			1,495,353		1,577,340
Subtotal			\$3,436,236		\$3,679,878
Total Salaries and Benefits		94.0	\$9,695,805	94.0	\$10,208,823
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,701		\$108,159
Statewide Benefit Assessment			\$266,028		\$277,482
Payroll Costs		94.0	\$9,961,833	94.0	\$10,486,305
Purchased Services					
Clerical and Temporary Services			2,760		2,760
Management & Consultant Services			2,589,562		1,938,675
Legal Services			9,333		9,333
Other Contracts			4,492		4,492
Training and Educational Services			10,000		10,000
Subtotal			\$2,616,147		\$1,965,260
Total Personnel		94.0	\$12,577,981	94.0	\$12,451,564
Distribution By Source Of Funds					
General Revenue		78.6	\$8,592,172	78.6	\$8,791,417
Federal Funds		10.0	\$2,378,673	10.0	\$2,015,244
Restricted Receipts		5.5	\$1,607,136	5.5	\$1,644,903
Total All Funds		94.0	\$12,577,981	94.0	\$12,451,564

The Program

Department Of Business Regulation Central Management

Program Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Program Description

Central Management is composed of the Director's office budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department Of Business Regulation Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,102,117	1,142,117	1,197,671	1,221,398	1,234,949
Total Expenditures	\$1,102,117	\$1,142,117	\$1,197,671	\$1,221,398	\$1,234,949
Expenditures By Object					
Personnel	1,054,810	1,084,464	1,162,697	1,186,424	1,199,975
Operating Supplies and Expenses	42,085	47,875	32,937	32,937	32,937
Subtotal: Operating Expenditures	1,096,895	1,132,339	1,195,634	1,219,361	1,232,912
Capital Purchases and Equipment	5,222	9,778	2,037	2,037	2,037
Total Expenditures	\$1,102,117	\$1,142,117	\$1,197,671	\$1,221,398	\$1,234,949
Expenditures By Funds					
General Revenue	1,102,117	1,142,117	1,197,671	1,221,398	1,234,949
Total Expenditures	\$1,102,117	\$1,142,117	\$1,197,671	\$1,221,398	\$1,234,949

Personnel

Department Of Business Regulation Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	129,116	1.0	129,116
CHIEF OF LEGAL SERVICES	00139A	1.0	96,760	1.0	96,760
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	94,538	1.0	94,538
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	90,839	1.0	90,839
LEGAL COUNSEL	00132A	1.0	62,196	1.0	64,470
SYSTEMS ANALYST	00124A	1.0	60,403	1.0	60,403
Subtotal		6.0	\$533,852	6.0	\$536,126
Unclassified					
DIRECTOR, DEPARTMENT OF BUSINESS	00945KF	1.0	101,598	1.0	101,598
PROJECT COORDINATOR	00826A	1.0	72,244	1.0	72,244
EXECUTIVE SECRETARY	00819A	1.0	41,150	1.0	42,178
Subtotal		3.0	\$214,992	3.0	\$216,020
Total Salaries		9.0	\$748,844	9.0	\$752,146
Benefits					
Payroll Accrual			4,281		4,298
FICA			56,312		56,776
Retiree Health			52,944		50,769
Health Benefits			108,671		117,572
Retirement			180,095		182,997
Subtotal			\$402,303		\$412,412
Total Salaries and Benefits		9.0	\$1,151,147	9.0	\$1,164,558
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$127,905		\$129,395
Statewide Benefit Assessment			\$31,825		\$31,966
Payroll Costs		9.0	\$1,182,972	9.0	\$1,196,524
Purchased Services					
Other Contracts			3,452		3,452
Subtotal			\$3,452		\$3,452
Total Personnel		9.0	\$1,186,424	9.0	\$1,199,975
Distribution By Source Of Funds					
General Revenue		9.0	\$1,186,424	9.0	\$1,199,975
Total All Funds		9.0	\$1,186,424	9.0	\$1,199,975

Performance Measures

Department Of Business Regulation Central Management

Online License Issuance

Rhode Island's Department of Business Regulation (DBR) administers an eLicensing system, which was expanded in 2013 to include additional categories of professional licenses. The 24-hour service allows DBR to expedite processing of license transactions by eliminating paper forms and improving accuracy. The figures below represent the percentage of DBR-issued licenses which are issued through the eLicensing system.

	2011	2012	2013	2014	2015
Target	--	--	95%	95%	95%
Actual	80.6%	93.2%	91.8%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

Department Rules & Regulations Reviewed

The figures below represent the percentage of departmental rules and regulations reviewed over the course of the fiscal year. Beginning in FY 2013, the regulatory review process was updated to provide for a more thorough and in-depth review. These comprehensive reviews require considerably more scrutiny and focus, which has led to new measure objectives.

	2011	2012	2013	2014	2015
Target	100%	100%	25%	25%	25%
Actual	100%	100%	25%	15.5%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Banking Regulation

Program Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

Program Description

Banking Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations.

Banking Regulation is responsible for regulating, monitoring and examining fifteen (15) state chartered financial institutions, seven (7) Rhode Island bank holding companies, ten (10) credit unions, seven (7) interstate banks and approximately nine hundred eighteen (918) company licensees (including four hundred ninety (493) registered debt collectors) operating from one thousand six hundred twenty seven (1,627) licensed locations (including nine hundred fifty eight (958) debt collector locations) and one thousand three hundred six (1,306) mortgage loan originators as of July 2011. The Division accomplishes its program objectives through the process of licensing, chartering and examining financial institutions, Rhode Island bank holding companies, credit unions, branches of interstate banks, and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The Division reviews and conducts hearings on applications filed by financial institutions, listed above. Licensees include lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, debt-management companies, and mortgage loan originators. The Division enforces statutes relating to state usury laws and conducts administrative hearings and consumer complaint reviews when required.

Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators.

The Budget

Department Of Business Regulation Banking Regulation

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,405,880	1,461,945	1,840,225	1,567,694	1,564,260
Total Expenditures	\$1,405,880	\$1,461,945	\$1,840,225	\$1,567,694	\$1,564,260
Expenditures By Object					
Personnel	1,299,529	1,404,533	1,680,273	1,500,806	1,497,372
Operating Supplies and Expenses	104,445	51,105	154,952	61,888	61,888
Subtotal: Operating Expenditures	1,403,974	1,455,638	1,835,225	1,562,694	1,559,260
Capital Purchases and Equipment	1,906	6,307	5,000	5,000	5,000
Total Expenditures	\$1,405,880	\$1,461,945	\$1,840,225	\$1,567,694	\$1,564,260
Expenditures By Funds					
General Revenue	1,327,986	1,440,656	1,715,225	1,517,694	1,514,260
Restricted Receipts	77,894	21,289	125,000	50,000	50,000
Total Expenditures	\$1,405,880	\$1,461,945	\$1,840,225	\$1,567,694	\$1,564,260

Personnel

Department Of Business Regulation Banking Regulation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
SUPERVISOR OF EXAMINATIONS	0AB37A	1.0	93,744	1.0	93,744
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	4.0	377,452	4.0	378,149
PRINCIPAL LICENSING EXAMINER - BANKING	0AB31A	1.0	79,840	-	-
STATE CHIEF BANK EXAMINER	00139A	1.0	77,626	1.0	77,626
PRINCIPAL BANK EXAMINER	0AB31A	2.0	148,196	2.0	151,713
SENIOR BANK EXAMINER	0AB28A	2.0	112,149	2.0	112,149
BANK EXAMINER	0AB24A	3.0	144,382	4.0	191,736
LICENSING AIDE	0AB15A	1.0	42,998	1.0	42,998
Subtotal		15.0	\$1,076,387	15.0	\$1,048,115
Turnover		-	(147,752)	-	(129,492)
Subtotal		-	(\$147,752)	-	(\$129,492)
Total Salaries		15.0	\$928,635	15.0	\$918,623
Benefits					
Payroll Accrual			5,313		5,254
FICA			71,040		70,275
Retiree Health			65,655		62,006
Health Benefits			165,999		177,315
Retirement			223,336		223,497
Subtotal			\$531,343		\$538,347
Total Salaries and Benefits		15.0	\$1,459,978	15.0	\$1,456,970
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$97,332		\$97,131
Statewide Benefit Assessment			\$39,467		\$39,042
Payroll Costs		15.0	\$1,499,445	15.0	\$1,496,012
Purchased Services					
Clerical and Temporary Services			720		720
Other Contracts			640		640
Subtotal			\$1,360		\$1,360
Total Personnel		15.0	\$1,500,806	15.0	\$1,497,372
Distribution By Source Of Funds					
General Revenue		15.0	\$1,500,806	15.0	\$1,497,372
Total All Funds		15.0	\$1,500,806	15.0	\$1,497,372

Performance Measures

Department Of Business Regulation Banking Regulation

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes the Banking Division to resolve a complaint.

	2011	2012	2013	2014	2015
Target	--	--	360 Days	60 Days	60 Days
Actual	--	--	21 Days	30 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. (Note: In July 2013, DBR began modifying data collection and methodology for this measure. When complete, DBR will supply FY 2014 data.)

	2011	2012	2013	2014	2015
Target	--	--	155 Days	30 Days	30 Days
Actual	--	218 Days	70 Days	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

Examination Closure

DBR performs bank examinations on an ongoing basis, with the number of annual inspections set according to an established schedule. The figures below represent the percentage of examinations conducted by the Banking Division that were closed within the scheduled timeframe.

	2011	2012	2013	2014	2015
Target	--	--	--	95%	95%
Actual	--	52.9%	87.8%	84.4%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Securities Regulation

Program Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Program Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2011 the division processed approximately 92,610 licenses and 11,669 registrations, conducted two (2) on-site examination of broker-dealer, investigated 29 complaints, and instituted 23 enforcement actions, pursuant to applicable state and federal laws and regulations.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

The Budget

Department Of Business Regulation Securities Regulation

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	826,792	893,842	995,090	938,648	1,013,151
Total Expenditures	\$826,792	\$893,842	\$995,090	\$938,648	\$1,013,151
Expenditures By Object					
Personnel	797,937	873,741	957,567	920,507	995,010
Operating Supplies and Expenses	18,753	16,475	37,523	18,141	18,141
Subtotal: Operating Expenditures	816,690	890,216	995,090	938,648	1,013,151
Capital Purchases and Equipment	10,102	3,626	-	-	-
Total Expenditures	\$826,792	\$893,842	\$995,090	\$938,648	\$1,013,151
Expenditures By Funds					
General Revenue	824,599	895,420	980,090	935,148	1,009,651
Restricted Receipts	2,193	(1,578)	15,000	3,500	3,500
Total Expenditures	\$826,792	\$893,842	\$995,090	\$938,648	\$1,013,151

Personnel

Department Of Business Regulation Securities Regulation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	129,687	1.0	129,687
CHIEF SECURITIES EXAMINER	00137A	1.0	86,317	1.0	86,317
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	71,756	1.0	69,180
SENIOR SECURITIES EXAMINER	0AB28A	1.0	61,936	1.0	64,413
SECURITIES EXAMINER	0AB24A	3.0	141,765	3.0	146,219
SYSTEMS ANALYST	0AB24A	1.0	43,218	1.0	43,218
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	41,453	1.0	42,513
LICENSING AIDE	0AB15A	1.0	37,389	1.0	37,389
Subtotal		10.0	\$613,521	10.0	\$618,936
Turnover		-	(67,214)	-	(37,389)
Subtotal		-	(\$67,214)	-	(\$37,389)
Total Salaries		10.0	\$546,307	10.0	\$581,547
Benefits					
Payroll Accrual			3,122		3,323
FICA			40,784		43,690
Retiree Health			38,625		39,255
Health Benefits			137,064		160,988
Retirement			131,387		141,491
Subtotal			\$350,982		\$388,747
Total Salaries and Benefits		10.0	\$897,289	10.0	\$970,294
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$89,729		\$97,029
Statewide Benefit Assessment			\$23,218		\$24,716
Payroll Costs		10.0	\$920,507	10.0	\$995,010
Total Personnel		10.0	\$920,507	10.0	\$995,010
Distribution By Source Of Funds					
General Revenue		10.0	\$920,507	10.0	\$995,010
Total All Funds		10.0	\$920,507	10.0	\$995,010

Performance Measures

Department Of Business Regulation Securities Regulation

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes the Securities Division to resolve a complaint.

	2011	2012	2013	2014	2015
Target	--	--	360 Days	50 Days	50 Days
Actual	--	--	94 Days	94 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of License Issuance

The figures below represent the average amount of time it takes the Securities Division to issue a license.

	2011	2012	2013	2014	2015
Target	--	--	14 Days	10 Days	10 Days
Actual	--	10 Days	11 Days	11 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Examination Closure

DBR conducts on-site examinations of broker-dealers and investment advisers on an ongoing basis. The figures below represent the percentage of examinations conducted by the Securities Division that have been closed since May 2012, when DBR began tracking this performance measure.

	2011	2012	2013	2014	2015
Target	--	--	--	95%	95%
Actual	--	100%	100%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Insurance Regulation

Program Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

Program Description

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, and again in June 2009, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department Of Business Regulation Insurance Regulation

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	4,736,942	4,666,483	5,113,467	5,065,692	5,177,250
Total Expenditures	\$4,736,942	\$4,666,483	\$5,113,467	\$5,065,692	\$5,177,250
Expenditures By Object					
Personnel	4,590,852	4,483,763	4,883,864	4,919,320	5,030,878
Operating Supplies and Expenses	139,996	142,378	226,103	142,872	142,872
Subtotal: Operating Expenditures	4,730,848	4,626,141	5,109,967	5,062,192	5,173,750
Capital Purchases and Equipment	6,094	40,342	3,500	3,500	3,500
Total Expenditures	\$4,736,942	\$4,666,483	\$5,113,467	\$5,065,692	\$5,177,250
Expenditures By Funds					
General Revenue	3,666,791	3,622,967	3,767,883	3,782,952	3,883,238
Restricted Receipts	1,070,151	1,043,516	1,345,584	1,282,740	1,294,012
Total Expenditures	\$4,736,942	\$4,666,483	\$5,113,467	\$5,065,692	\$5,177,250

Personnel

Department Of Business Regulation Insurance Regulation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	134,756	1.0	134,756
CHIEF INSURANCE EXAMINER	00139A	2.0	215,460	2.0	226,215
CHIEF PROPERTY AND CASUALTY INSURANCE	00137A	1.0	100,180	1.0	107,088
INSURANCE EXAMINER-IN-CHARGE	0AB36A	7.0	676,482	7.0	676,482
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	94,657	1.0	100,990
PRINCIPAL INSURANCE ANALYST	0AB31A	2.0	158,216	2.0	158,216
MARKET CONDUCT EXAMINER IN CHARGE	0AB36A	1.0	70,207	1.0	70,207
PRINCIPAL INSURANCE EXAMINER	0AB31A	1.0	74,192	1.0	75,148
SENIOR INSURANCE EXAMINER	0AB28A	4.0	265,229	4.0	265,229
SENIOR MARKET CONDUCT EXAMINER	0AB28A	2.0	126,440	2.0	130,004
ADMINISTRATIVE OFFICER	0AB24A	1.0	60,278	1.0	60,278
SENIOR INSURANCE ANALYST	0AB28A	3.0	182,204	3.0	170,183
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	49,686	1.0	49,686
INSURANCE EXAMINER	0AB24A	4.0	186,625	4.0	189,482
CLERK SECRETARY	0AB16A	1.0	45,932	1.0	45,932
INSURANCE ANALYST	0AB24A	1.0	44,801	1.0	46,205
LICENSING AIDE	0AB15A	3.0	122,553	3.0	122,553
Subtotal		36.0	\$2,607,898	36.0	\$2,628,654
Unclassified					
ACCOUNTANT	00818A	1.0	47,420	1.0	47,420
Subtotal		1.0	\$47,420	1.0	\$47,420
Turnover		-	(197,667)	-	(165,394)
Subtotal		-	(\$197,667)	-	(\$165,394)
Total Salaries		37.0	\$2,457,651	37.0	\$2,510,680
Benefits					
Payroll Accrual			14,056		14,355
FICA			186,683		190,950
Retiree Health			173,756		169,467
Health Benefits			360,364		396,576
Retirement			591,063		610,849
Subtotal			\$1,325,922		\$1,382,197
Total Salaries and Benefits		37.0	\$3,783,573	37.0	\$3,892,877
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,259		\$105,213
Statewide Benefit Assessment			\$104,449		\$106,703
Payroll Costs		37.0	\$3,888,022	37.0	\$3,999,580

Personnel

Department Of Business Regulation Insurance Regulation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Clerical and Temporary Services			200		200
Management & Consultant Services			1,018,650		1,018,650
Legal Services			2,448		2,448
Training and Educational Services			10,000		10,000
Subtotal			\$1,031,298		\$1,031,298
Total Personnel		37.0	\$4,919,320	37.0	\$5,030,878
Distribution By Source Of Funds					
General Revenue		35.1	\$3,713,140	35.1	\$3,813,426
Restricted Receipts		1.9	\$1,206,180	1.9	\$1,217,452
Total All Funds		37.0	\$4,919,320	37.0	\$5,030,878

Performance Measures

Department Of Business Regulation Insurance Regulation

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes the Insurance Division to resolve a complaint.

	2011	2012	2013	2014	2015
Target	--	--	360 Days	60 Days	60 Days
Actual	--	--	2 Days	2 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of License Issuance

The figures below represent the average amount of time it takes the Insurance Division to issue a license.

	2011	2012	2013	2014	2015
Target	--	--	18 Days	10 Days	10 Days
Actual	--	10 Days	14 Days	14 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Examination Closure

DBR performs insurance examinations on an ongoing basis, with the number of annual inspections set according to an established schedule. The figures below represent the percentage of examinations conducted by the Insurance Division that were closed within the scheduled timeframe.

	2011	2012	2013	2014	2015
Target	--	--	--	80%	80%
Actual	--	67%	79%	79.5%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Board of Accountancy

Program Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Program Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the Department of Business Regulation website, which facilitates information to the general public.

Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department Of Business Regulation Board of Accountancy

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	109,452	49,615	81,034	16,654	16,654
Total Expenditures	\$109,452	\$49,615	\$81,034	\$16,654	\$16,654
Expenditures By Object					
Personnel	103,788	42,398	71,265	6,885	6,885
Operating Supplies and Expenses	5,664	6,126	9,769	9,769	9,769
Subtotal: Operating Expenditures	109,452	48,524	81,034	16,654	16,654
Capital Purchases and Equipment	-	1,091	-	-	-
Total Expenditures	\$109,452	\$49,615	\$81,034	\$16,654	\$16,654
Expenditures By Funds					
General Revenue	109,452	49,615	81,034	16,654	16,654
Total Expenditures	\$109,452	\$49,615	\$81,034	\$16,654	\$16,654

The Program

Department Of Business Regulation Commercial Licensing, Racing & Athletics

Program Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling, kickboxing and mixed martial arts events in the state.

Program Description

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, *travel agencies and travel agents, upholsterers, *alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I.G.L. §5-58 relates to auctioneers; R.I.G.L. §5-20.5 relates to real estate; R.I.G.L. §5-20.7 relates to real estate appraisers; R.I.G.L. §5-38 relates to automobile body repair shops; R.I.G.L. §5-50 relates to pre-opening of health club sales campaigns; *R.I.G.L. §5-52 relates to travel agencies; *R.I.G.L. §5-57 relates to burglar and hold-up alarm businesses; R.I.G.L. §6-31 relates to unit pricing; R.I.G.L. §§23-26 relates to bedding and upholstered furniture; R.I.G.L. §§31-44 & §§31-44.1 relate to mobile and manufactured homes; R.I.G.L. §42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. §§31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. §31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. §3-1 relates to alcoholic beverages. R.I.G.L. §41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

*Travel repealed on 6-6-2008

*Alarms Regulation transferred to Department of Labor and Training on 7-1-2008.

The Budget

Department Of Business Regulation Commercial Licensing, Racing & Athletics

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	889,053	1,012,938	1,208,270	1,141,311	1,170,059
Total Expenditures	\$889,053	\$1,012,938	\$1,208,270	\$1,141,311	\$1,170,059
Expenditures By Object					
Personnel	839,659	958,936	1,008,176	980,450	1,009,198
Operating Supplies and Expenses	39,292	46,987	116,893	77,660	77,660
Assistance and Grants	-	-	80,000	80,000	80,000
Subtotal: Operating Expenditures	878,951	1,005,923	1,205,069	1,138,110	1,166,858
Capital Purchases and Equipment	10,102	7,015	3,201	3,201	3,201
Total Expenditures	\$889,053	\$1,012,938	\$1,208,270	\$1,141,311	\$1,170,059
Expenditures By Funds					
General Revenue	569,006	682,918	707,941	584,695	586,948
Restricted Receipts	320,047	330,020	500,329	556,616	583,111
Total Expenditures	\$889,053	\$1,012,938	\$1,208,270	\$1,141,311	\$1,170,059

Personnel

Department Of Business Regulation Commercial Licensing, Racing & Athletics

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR REAL ESTATE	00135A	1.0	87,872	1.0	87,872
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	1.0	74,448	1.0	71,882
CHIEF LICENSING EXAMINER-RACING & IMPLEMENTATION AIDE	00133A	1.0	74,146	1.0	74,589
PARI-MUTUAL OPERATIONS SPECIALIST	0AB22A	1.0	54,323	1.0	54,323
LIQUOR CONTROL INVESTIGATOR	03726A	2.0	95,816	2.0	97,301
LICENSING AIDE	0AB18A	1.0	49,283	1.0	49,283
LICENSING AIDE	0AB15A	5.0	191,918	5.0	193,230
Subtotal		12.0	\$627,806	12.0	\$628,480
Temporary and Seasonal		-	41,880	-	41,880
Turnover		-	(46,680)	-	(34,368)
Subtotal		-	(\$4,800)	-	\$7,512
Total Salaries		12.0	\$623,006	12.0	\$635,992
Benefits					
Payroll Accrual			3,513		3,587
FICA			47,660		48,656
Retiree Health			41,086		40,104
Health Benefits			97,905		108,238
Retirement			139,762		144,550
Subtotal			\$329,926		\$345,135
Total Salaries and Benefits		12.0	\$952,932	12.0	\$981,127
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$75,921		\$78,271
Statewide Benefit Assessment			\$26,478		\$27,031
Payroll Costs		12.0	\$979,410	12.0	\$1,008,158
Purchased Services					
Clerical and Temporary Services			640		640
Other Contracts			400		400
Subtotal			\$1,040		\$1,040
Total Personnel		12.0	\$980,450	12.0	\$1,009,198
Distribution By Source Of Funds					
General Revenue		8.5	\$579,494	8.5	\$581,747
Restricted Receipts		3.6	\$400,956	3.6	\$427,451
Total All Funds		12.0	\$980,450	12.0	\$1,009,198

Performance Measures

Department Of Business Regulation Commercial Licensing, Racing & Athletics

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes the Commercial Licensing, Racing & Athletics Division to resolve a complaint.

	2011	2012	2013	2014	2015
Target	--	--	360 Days	50 Days	50 Days
Actual	--	193 Days	72 Days	77 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of License Issuance

The figures below represent the average amount of time it takes the Commercial Licensing, Racing & Athletics Division to issue a license.

	2011	2012	2013	2014	2015
Target	--	--	25 Days	10 Days	10 Days
Actual	--	--	4 Days	5 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Inspection Closure

DBR conducts on-site examinations of broker-dealers and investment advisers on an ongoing basis. The figures below represent the percentage of inspections conducted by the Commercial Licensing, Racing & Athletics Division that have been closed since May 2012, when DBR began tracking this performance measure.

	2011	2012	2013	2014	2015
Target	--	--	--	85%	85%
Actual	--	--	72.1%	77.1%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Boards for Design Professionals

Program Mission

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Program Description

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

The Budget

Department Of Business Regulation Boards for Design Professionals

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	313,064	254,646	253,854	256,214	260,635
Total Expenditures	\$313,064	\$254,646	\$253,854	\$256,214	\$260,635
Expenditures By Object					
Personnel	259,679	192,871	196,289	198,649	203,070
Operating Supplies and Expenses	53,385	60,763	57,565	57,565	57,565
Subtotal: Operating Expenditures	313,064	253,634	253,854	256,214	260,635
Capital Purchases and Equipment	-	1,012	-	-	-
Total Expenditures	\$313,064	\$254,646	\$253,854	\$256,214	\$260,635
Expenditures By Funds					
General Revenue	313,064	254,646	253,854	256,214	260,635
Total Expenditures	\$313,064	\$254,646	\$253,854	\$256,214	\$260,635

Personnel

Department Of Business Regulation Boards for Design Professionals

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	00823A	1.0	63,139	1.0	63,139
ADMINISTRATIVE SUPPORT SPECIALIST	00324A	1.0	58,388	1.0	60,209
Subtotal		2.0	\$121,527	2.0	\$123,348
Total Salaries		2.0	\$121,527	2.0	\$123,348
Benefits					
Payroll Accrual			695		705
FICA			9,297		9,436
Retiree Health			8,592		8,326
Health Benefits			22,947		24,803
Retirement			29,227		30,010
Subtotal			\$70,758		\$73,280
Total Salaries and Benefits		2.0	\$192,285	2.0	\$196,628
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$96,143		\$98,314
Statewide Benefit Assessment			\$5,164		\$5,242
Payroll Costs		2.0	\$197,449	2.0	\$201,870
Purchased Services					
Clerical and Temporary Services			1,200		1,200
Subtotal			\$1,200		\$1,200
Total Personnel		2.0	\$198,649	2.0	\$203,070
Distribution By Source Of Funds					
General Revenue		2.0	\$198,649	2.0	\$203,070
Total All Funds		2.0	\$198,649	2.0	\$203,070

Performance Measures

Department Of Business Regulation Boards for Design Professionals

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes the Boards for Design Professionals to resolve a complaint.

	2011	2012	2013	2014	2015
Target	--	--	360 Days	100 Days	100 Days
Actual	--	224 Days	147 Days	147 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of License Issuance

The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license.

	2011	2012	2013	2014	2015
Target	--	--	123 Days	45 Days	45 Days
Actual	--	57 Days	87 Days	88 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Business Regulation Office of Health Insurance Commissioner

Program Mission

To guard the solvency of health insurers;

To protect the interests of consumers;

To encourage fair treatment of health care providers;

To encourage policies and developments that improve the quality and efficiency of health care service delivery and outcomes;

To view the health care system as a comprehensive entity and encourage and direct insurers towards policies that advance the welfare of the public through overall efficiency, improved health care quality, and appropriate access.

Program Description

The 2004 General Assembly established The Office of the Health Insurance Commissioner (OHIC). The Health Insurance Commissioner is appointed by the Governor with the advice and consent of the Senate.

OHIC staff is statutorily responsible for the regulation of Health Insurers and Hospital/Medical Service Corporations. These include but are not limited to company licensure, form and rate filing for regulatory compliance, and financial and market conduct examinations. It is also engaged in policy and legislative development, rate hearing administration, regulatory development and promulgation, consumer affairs and provider affairs and coordination with provisions of the Affordable Care Act.

The Department of Business Regulation shares certain administrative and regulatory services and personnel with OHIC as directed by statute.

Statutory History

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner.

Applicable insurer regulations are found in various chapters of the R.I.G.L Titles 27 and 42.

The Budget

Department Of Business Regulation Office of Health Insurance Commissioner

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	3,207,926	5,225,712	2,300,269	4,469,169	2,539,472
Total Expenditures	\$3,207,926	\$5,225,712	\$2,300,269	\$4,469,169	\$2,539,472
Expenditures By Object					
Personnel	3,108,080	5,150,369	2,256,381	2,864,940	2,509,176
Operating Supplies and Expenses	75,477	73,825	43,888	1,604,229	30,296
Subtotal: Operating Expenditures	3,183,557	5,224,194	2,300,269	4,469,169	2,539,472
Capital Purchases and Equipment	24,369	1,518	-	-	-
Total Expenditures	\$3,207,926	\$5,225,712	\$2,300,269	\$4,469,169	\$2,539,472
Expenditures By Funds					
General Revenue	481,330	522,504	542,180	500,175	507,142
Federal Funds	2,726,596	4,698,165	1,747,589	3,958,494	2,021,830
Restricted Receipts	-	5,043	10,500	10,500	10,500
Total Expenditures	\$3,207,926	\$5,225,712	\$2,300,269	\$4,469,169	\$2,539,472

Personnel

Department Of Business Regulation Office of Health Insurance Commissioner

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
HEALTH INSURANCE COMMISSIONER	00854A	1.0	193,366	1.0	175,642
ASSOCIATE DIRECTOR FOR PLANNING, POLICY & EXECUTIVE ASSISTANT/CHIEF OF STAFF	00843A ¹	2.0	211,083	2.0	190,301
DEPUTY EXECUTIVE	00841A	1.0	102,020	1.0	106,736
PRINCIPAL POLICY ASSOCIATE	00837A ³	4.0	314,082	4.0	264,863
SPECIAL PROJECTS COORDINATOR	00829A	1.0	68,907	1.0	69,180
PROGRAM MANAGER	00828A	1.0	64,416	1.0	66,489
ADMINISTRATIVE OFFICER	00822A	1.0	45,825	1.0	47,264
EXECUTIVE DIRECTOR	00736A ²	1.0	73,677	1.0	161,304
Subtotal		13.0	\$1,179,522	13.0	\$1,187,925
Interdepartmental Transfer		-	(54,715)	-	(103,506)
Turnover		-	(291,208)	-	(77,810)
Subtotal		-	(\$345,923)	-	(\$181,316)
Total Salaries		13.0	\$833,599	13.0	\$1,006,609
Benefits					
Payroll Accrual			4,768		5,756
FICA			63,768		77,003
Retiree Health			58,937		69,047
Health Benefits			97,046		144,008
Retirement			200,483		243,946
Subtotal			\$425,002		\$539,760
Total Salaries and Benefits		13.0	\$1,258,601	13.0	\$1,546,369
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$96,815		\$118,951
Statewide Benefit Assessment			\$35,427		\$42,782
Payroll Costs		13.0	\$1,294,028	13.0	\$1,589,151
Purchased Services					
Management & Consultant Services			1,570,912		920,025
Subtotal			\$1,570,912		\$920,025
Total Personnel		13.0	\$2,864,940	13.0	\$2,509,176
Distribution By Source Of Funds					
General Revenue		3.0	\$486,267	3.0	\$493,932
Federal Funds		10.0	\$2,378,673	10.0	\$2,015,244
Total All Funds		13.0	\$2,864,940	13.0	\$2,509,176

Personnel

Department Of Business Regulation Office of Health Insurance Commissioner

Grade	FY 2014		FY 2015	
	FTE	Cost	FTE	Cost
<i>1 Fifty percent of 1.0 FTE position funded by a federal grant under the Rhode Island Health Exchange housed at the Department of Administration. The other position is part of the FTE authorization cap increase for Rate Review Cycle III federal grant.</i>				
<i>2 FTE authorization cap is increased by 4.0 FTE positions to accommodate new Rate Review Cycle III federal grant.</i>				
<i>3 FTE authorization cap is increased by 4.0 FTE positions to accommodate new Rate Review Cycle III federal grant.</i>				

Performance Measures

Department Of Business Regulation Office of Health Insurance Commissioner

Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market.

	2011	2012	2013	2014	2015
Target	--	--	21.5%	21.5%	22.5%
Actual	18.5%	20.1%	20.2%	20.1%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Complaints in Queue

In support of its legislative mandate to protect consumers, OHIC measures the number of consumer health insurance complaints it receives that are in its queue for a response. OHIC's focus is on tracking and reporting complaints, so an increasing number of reported claims may reflect improvement. The figures below represent the number of health insurance complaints in queue.

	2011	2012	2013	2014	2015
Target	--	--	65	65	60
Actual	45	63	55	38	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Small Business Premium Rate Increases

OHIC annually reviews and approves rates of increase in commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. The measure focuses on rates of increase for small groups (fewer than 50 employees) because they often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average percentage increase in small business health insurance premiums.

	2011	2012	2013	2014	2015
Target	--	--	--	\$368.55	\$390.67
Actual	--	--	\$347.69	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

Agency

Department Of Labor And Training

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, lead to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services to Rhode Island's job seekers and business community. It executes programs and administers laws governing in the following six program areas: Executive Management, Income Support, Workforce Development Services and Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), and the Police and Fire Relief Fund(P&F).

The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers' with skilled workers. The program includes the Governor's Workforce Board (State Workforce Investment Board and the Human Resource Investment Council) which was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island business.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. John E. Donley Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unites educates and provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

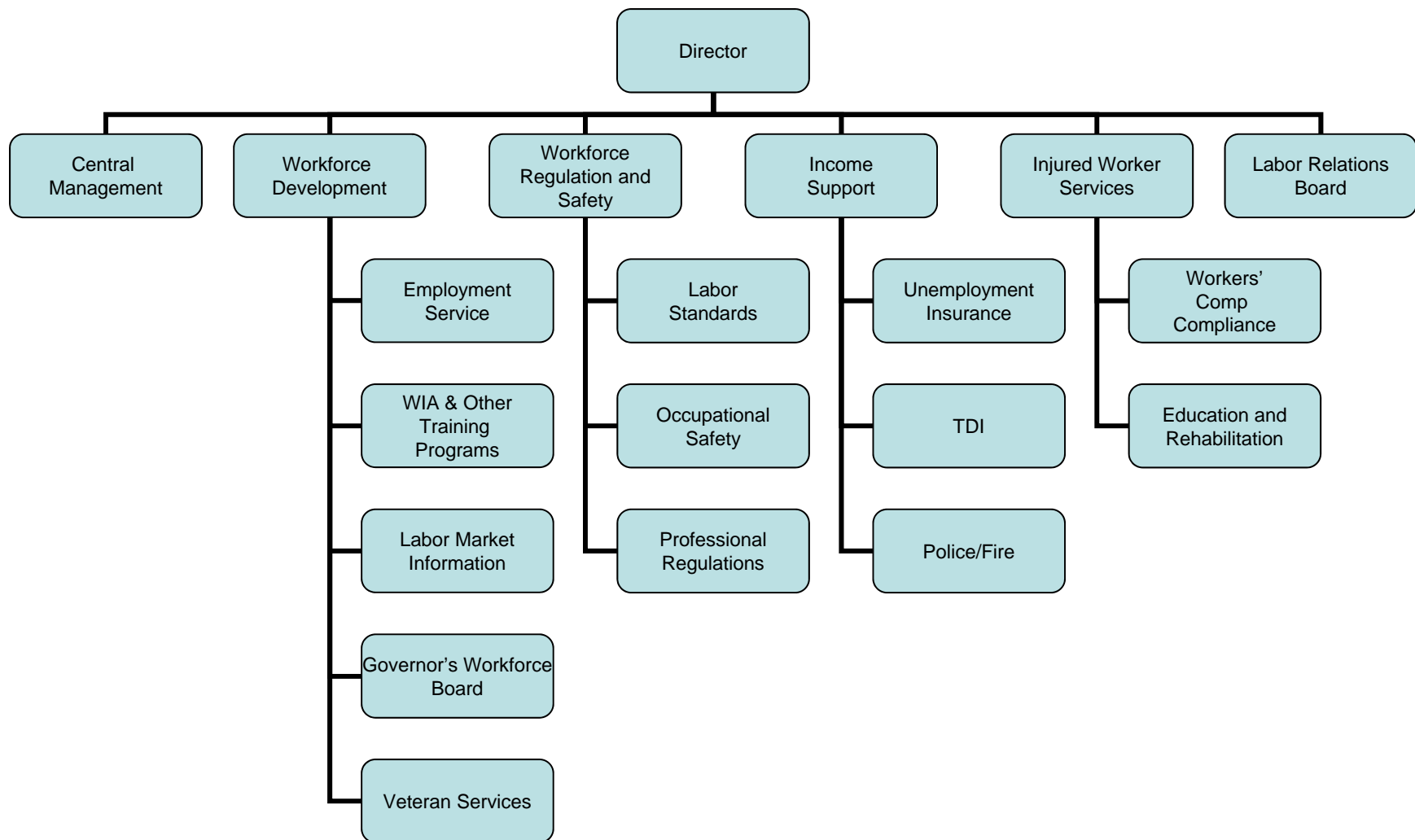
Budget

Department Of Labor And Training

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Central Management	551,071	615,810	1,163,490	2,044,569	2,437,211
Workforce Development Services	30,961,813	26,575,063	33,327,468	43,668,678	33,983,676
Workforce Regulation and Safety	2,981,855	2,962,592	3,002,408	3,004,733	2,720,916
Income Support	758,329,412	613,209,410	545,454,363	529,897,740	462,320,667
Injured Workers Services	8,642,563	8,050,179	9,139,647	8,941,031	8,951,372
Labor Relations Board	380,949	382,834	393,736	386,532	388,648
Total Expenditures	\$801,847,663	\$651,795,888	\$592,481,112	\$587,943,283	\$510,802,490
Expenditures By Object					
Personnel	45,579,595	41,367,329	40,235,593	49,862,433	42,570,021
Operating Supplies and Expenses	6,044,178	5,096,093	5,440,383	6,078,618	4,898,680
Assistance and Grants	720,435,981	566,674,126	490,584,462	480,834,510	427,609,482
Subtotal: Operating Expenditures	772,059,754	613,137,548	536,260,438	536,775,561	475,078,183
Capital Purchases and Equipment	277,607	326,436	828,252	4,167,769	2,052,638
Debt Service (Fixed Charges)	8,237,247	6,029,424	22,500,000	5,005,360	5,000,000
Operating Transfers	21,273,055	32,302,480	32,892,422	41,994,593	28,671,669
Total Expenditures	\$801,847,663	\$651,795,888	\$592,481,112	\$587,943,283	\$510,802,490
Expenditures By Funds					
General Revenue	7,553,602	7,730,995	9,064,061	9,048,019	9,181,603
Federal Funds	205,099,595	151,039,255	95,710,236	99,066,609	42,183,672
Restricted Receipts	23,685,420	36,663,287	43,125,719	55,242,490	40,250,583
Operating Transfers from Other Funds	9,203	200,990	702,000	1,366,481	2,005,996
Other Funds	565,499,843	456,161,361	443,879,096	423,219,684	417,180,636
Total Expenditures	\$801,847,663	\$651,795,888	\$592,481,112	\$587,943,283	\$510,802,490
FTE Authorization	483.1	470.1	392.0	410.0	410.0

The Agency

Department of Labor and Training



Personnel

Department Of Labor And Training Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		393.0	22,190,253	393.0	22,361,903
Unclassified		17.0	1,559,246	17.0	1,567,096
Subtotal		410.0	\$23,749,499	410.0	\$23,928,999
Cost Allocation from Other Programs		35.7	1,808,489	38.0	2,195,403
Cost Allocation to Other Programs		(35.7)	(\$1,808,488)	(38.0)	(\$2,195,404)
Overtime		-	745,000	-	495,000
Turnover		-	(\$338,733)	-	(\$1,432,034)
Subtotal		-	\$406,268	-	(\$937,035)
Total Salaries		410.0	\$24,155,767	410.0	\$22,991,964
Benefits					
Payroll Accrual			93,859		90,305
FICA			1,839,297		1,725,941
Retiree Health			1,693,107		1,554,677
Health Benefits			5,156,420		5,469,214
Retirement			5,618,643		5,417,414
Subtotal			\$14,401,326		\$14,257,551
Total Salaries and Benefits		410.0	\$38,557,093	410.0	\$37,249,515
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$94,042		\$90,852
Statewide Benefit Assessment			\$994,545		\$956,073
Payroll Costs		410.0	\$39,551,638	410.0	\$38,205,588
Purchased Services					
Information Technology			7,585,656		1,933,389
Clerical and Temporary Services			138,799		140,399
Management & Consultant Services			23,261		23,490
Legal Services			580,758		325,905
Other Contracts			266,620		265,478
Training and Educational Services			130,668		130,716
Design and Engineering Services			173		173
Medical Services			1,584,860		1,544,883
Subtotal			\$10,310,795		\$4,364,433
Total Personnel		410.0	\$49,862,433	410.0	\$42,570,021
Distribution By Source Of Funds					
General Revenue		33.8	\$3,586,140	31.5	\$3,378,094
Federal Funds		271.9	\$30,692,748	274.2	\$22,933,494
Restricted Receipts		51.2	\$10,284,411	51.2	\$10,507,214
Other Funds		53.1	\$5,299,134	53.1	\$5,751,219
Total All Funds		410.0	\$49,862,433	410.0	\$42,570,021

The Program

Department Of Labor And Training Central Management

Program Mission

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system.

To provide competent legal representation and consultation to all departmental staff in the execution of programs and services.

To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

Program Description

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

The Budget

Department Of Labor And Training Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	551,071	615,810	1,163,490	2,044,569	2,437,211
Total Expenditures	\$551,071	\$615,810	\$1,163,490	\$2,044,569	\$2,437,211
Expenditures By Object					
Personnel	522,211	411,224	395,148	400,540	401,604
Operating Supplies and Expenses	26,746	54,477	64,185	28,013	28,076
Assistance and Grants	1,903	1,199	1,941	1,515	1,515
Subtotal: Operating Expenditures	550,860	466,900	461,274	430,068	431,195
Capital Purchases and Equipment	211	148,910	702,216	1,614,501	2,006,016
Total Expenditures	\$551,071	\$615,810	\$1,163,490	\$2,044,569	\$2,437,211
Expenditures By Funds					
General Revenue	105,822	100,238	118,760	91,078	93,361
Restricted Receipts	436,046	314,582	342,730	587,010	337,854
Operating Transfers from Other Funds	9,203	200,990	702,000	1,366,481	2,005,996
Total Expenditures	\$551,071	\$615,810	\$1,163,490	\$2,044,569	\$2,437,211

Personnel

Department Of Labor And Training Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
FISCAL MANAGEMENT OFFICER	00B26A	1.2	84,585	1.2	84,585
SENIOR DLT BUSINESS OFFICER	00324A	0.2	13,657	0.2	13,657
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.1	4,839	0.1	4,839
Subtotal		1.5	\$103,081	1.5	\$103,081
Unclassified					
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.0	90,698	1.0	90,809
Subtotal		1.0	\$90,698	1.0	\$90,809
Total Salaries		2.5	\$193,779	2.5	\$193,890
Benefits					
Payroll Accrual			775		776
FICA			14,824		14,833
Retiree Health			13,700		13,088
Health Benefits			53,824		57,968
Retirement			46,604		47,174
Subtotal			\$129,727		\$133,839
Total Salaries and Benefits		2.5	\$323,506	2.5	\$327,729
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$129,402		\$131,092
Statewide Benefit Assessment			\$8,236		\$8,241
Payroll Costs		2.5	\$331,742	2.5	\$335,970
Purchased Services					
Information Technology			102		102
Legal Services			32,000		28,476
Other Contracts			36,696		37,056
Subtotal			\$68,798		\$65,634
Total Personnel		2.5	\$400,540	2.5	\$401,604
Distribution By Source Of Funds					
General Revenue		0.5	\$89,207	0.5	\$91,490
Restricted Receipts		2.0	\$311,333	2.0	\$310,114
Total All Funds		2.5	\$400,540	2.5	\$401,604

The Program

Department Of Labor And Training Workforce Development Services

Program Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Program Description

The Workforce Development Services Program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs:

The Employment Service program provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings.

The Workforce Investment Act program provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities.

The Trade Act (TRADE) of 1974 provides a wide array of services that assist trade-affected workers who have lost their jobs due to increased imports or a shift in production out of the United States. Services provided include job search assistance, case management, educational, interest and aptitude assessment, educational and occupational training, on-the-job training, and incentives to individuals who are seeking alternatives to standard training programs by providing a wage subsidy. Additional services provided include Job Search and Relocation Allowances, as well as Health Coverage Tax Credit program which provides 65% reimbursement of monthly health care premiums.

The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

The Budget

Department Of Labor And Training Workforce Development Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Employment Services	3,321,884	3,101,675	3,702,029	3,845,250	3,660,777
JTPA & Other Training Programs	21,392,905	16,092,876	19,220,445	26,140,159	20,754,156
Labor Market Information	749,384	709,619	681,906	661,601	672,764
Governor's Workforce Board RI	5,029,531	6,117,795	9,134,984	12,462,251	8,354,795
Veteran Services	468,109	553,098	588,104	559,417	541,184
Total Expenditures	\$30,961,813	\$26,575,063	\$33,327,468	\$43,668,678	\$33,983,676
Expenditures By Object					
Personnel	12,492,297	10,938,641	12,431,340	11,998,923	12,508,414
Operating Supplies and Expenses	1,814,871	1,593,083	1,893,858	1,902,453	1,694,652
Assistance and Grants	16,043,045	13,655,850	18,477,267	28,705,545	19,356,294
Subtotal: Operating Expenditures	30,350,213	26,187,574	32,802,465	42,606,921	33,559,360
Capital Purchases and Equipment	29,349	12,007	32,581	332,524	12,648
Operating Transfers	582,251	375,482	492,422	729,233	411,668
Total Expenditures	\$30,961,813	\$26,575,063	\$33,327,468	\$43,668,678	\$33,983,676
Expenditures By Funds					
General Revenue	-	-	1,250,000	1,249,175	1,661,269
Federal Funds	25,932,282	20,457,268	22,942,484	29,917,002	23,892,612
Restricted Receipts	5,029,531	6,117,795	9,134,984	12,462,251	8,354,795
Other Funds	-	-	-	40,250	75,000
Total Expenditures	\$30,961,813	\$26,575,063	\$33,327,468	\$43,668,678	\$33,983,676

Personnel

Department Of Labor And Training Workforce Development Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.2	27,276	0.2	27,276
DEPUTY DIRECTOR (DLT)	00144A	0.3	40,085	0.3	40,085
ASSISTANT DIRECTOR FOR EMPLOYMENT &	00139A	1.0	103,736	1.0	106,636
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.7	70,221	0.7	73,843
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.3	27,164	0.3	28,459
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.3	117,627	1.3	120,740
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	2.4	207,013	2.4	207,013
SUPERVISING DLT BUSINESS OFFICER	00132A	0.3	24,231	0.3	24,231
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	0.9	70,485	0.9	70,485
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	1.7	131,818	1.7	134,481
CHIEF OF RESEARCH AND ANALYSIS	00134A	1.7	128,752	1.7	128,752
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	16.4	1,156,060	16.4	1,172,607
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	138,881	2.0	141,266
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	5.6	361,644	5.6	365,490
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	1.7	108,168	1.7	109,987
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.3	18,939	0.3	19,718
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	0.3	18,844	0.3	18,844
PRINCIPAL RESEARCH TECHNICIAN	00127A	1.9	115,354	1.9	115,354
SENIOR DLT BUSINESS OFFICER	00324A	2.2	132,155	2.2	132,155
SENIOR RESEARCH TECHNICIAN	00323A	0.8	46,632	0.8	46,632
PROGRAMMING SERVICES OFFICER	00131A	1.0	58,051	1.0	60,156
CHIEF IMPLEMENTATION AIDE	00128A	2.3	132,111	2.3	134,620
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.5	28,545	0.5	29,040
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.3	16,522	0.3	16,522
SENIOR EMPLOYMENT & TRAINING MONITORING	00126A	5.0	265,473	5.0	268,205
PRINCIPAL EMPLOYMENT AND TRAINING	00323A	35.0	1,780,014	35.0	1,798,034
BUSINESS SERVICES SPECIALIST	00324A	12.0	598,953	12.0	607,908
DLT BUSINESS OFFICER	00321A	1.7	84,483	1.7	84,483
LEGAL ASSISTANT	00319A	0.1	4,755	0.1	4,885
SENIOR COMPUTER OPERATOR	00318A	0.3	14,005	0.3	14,005
EMPLOYMENT & TRAINING INTERVIEWER &	00320A	1.0	45,264	1.0	45,264
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.0	44,835	1.0	44,835
OFFICE MANAGER	00123A	3.2	142,665	3.2	146,818
RESEARCH TECHNICIAN	00319A	2.6	114,536	2.6	114,737
LOCAL VETERANS EMPLOYMENT	00320A	2.0	86,950	2.0	88,374
DISABLED VETERANS JOB ASSISTANT	00320A	3.0	120,367	3.0	123,261
CENTRAL MAIL ROOM CLERK	00311G	0.3	10,252	0.3	10,309
Subtotal		113.3	\$6,592,866	113.3	\$6,675,510
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.3	39,046	0.3	39,046
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE	00839A	1.0	97,162	1.0	97,162
EXECUTIVE COUNSEL	00839A	0.2	18,037	0.2	18,364
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.5	43,103	0.5	43,046
SPECIAL ASSISTANT	00829A	0.3	21,743	0.3	21,743
Subtotal		2.3	\$219,091	2.3	\$219,361

Personnel

Department Of Labor And Training Workforce Development Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		11.0	604,605	11.0	667,667
Turnover		-	(216,425)	-	(87,354)
Subtotal		11.0	\$388,180	11.0	\$580,313
Total Salaries		126.6	\$7,200,137	126.6	\$7,475,184
Benefits					
Payroll Accrual			28,775		29,968
FICA			550,811		571,851
Retiree Health			509,049		504,575
Health Benefits			1,546,674		1,663,311
Retirement			1,731,234		1,818,406
Subtotal			\$4,366,543		\$4,588,111
Total Salaries and Benefits		126.6	\$11,566,680	126.6	\$12,063,295
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$91,364		\$95,287
Statewide Benefit Assessment			\$306,005		\$317,693
Payroll Costs		126.6	\$11,872,685	126.6	\$12,380,988
Purchased Services					
Information Technology			7,714		7,734
Clerical and Temporary Services			409		413
Management & Consultant Services			23,261		23,490
Other Contracts			90,006		90,893
Training and Educational Services			4,848		4,896
Subtotal			\$126,238		\$127,426
Total Personnel		126.6	\$11,998,923	126.6	\$12,508,414
Distribution By Source Of Funds					
General Revenue		3.0	\$173,397	3.0	\$245,093
Federal Funds		116.5	\$10,172,702	116.5	\$10,405,611
Restricted Receipts		7.1	\$1,652,824	7.1	\$1,857,710
Total All Funds		126.6	\$11,998,923	126.6	\$12,508,414

Performance Measures

Department Of Labor And Training Workforce Development Services

WIA Entered Employment Rate

The Workforce Investment Act (WIA) Adult and Dislocated Worker Programs are designed to provide quality employment and training services to assist eligible individuals in finding and qualifying for meaningful employment, and to help employers find the skilled workers they need to compete and succeed in business. The figures below represent the percentage of individuals employed in first quarter after completing the program. [The 2015 target will be set through an annual process guided by the US Department of Labor.]

	2011	2012	2013	2014	2015
Target	61%	61%	72.7%	75%	--
Actual	64.2%	73.7%	76.5%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

WIA Employment Retention

DLT tracks employment retention rates for people participating in the WIA Adult and Dislocated Worker Programs. The figures below represent the percentage of participants who were employed in the first quarter after completing a WIA program and who remained employed in both the second and third quarters. [The 2015 target will be set through an annual process guided by the US Department of Labor.]

	2011	2012	2013	2014	2015
Target	84.1%	84.1%	87.7%	88%	--
Actual	87.2%	87.7%	88.5%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

WIA Employment & Credentials

The figures below represent the percentage of the individuals who were employed in the first quarter after completing WIA Adult and Dislocated Worker Programs and who received a credential or certificate by the end of the third quarter. [The 2015 target will be set through an annual process guided by the US Department of Labor.]

	2011	2012	2013	2014	2015
Target	56%	56%	64.5%	70%	--
Actual	60%	65%	62.6%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

The Program

Department Of Labor And Training Workforce Regulation and Safety

Program Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Program Description

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures.

The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

The Budget

Department Of Labor And Training Workforce Regulation and Safety

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Labor Standards	363,321	495,761	516,047	444,596	450,056
Occupational Safety	1,008,830	1,307,441	1,330,114	1,186,100	1,209,649
Professional Regulations	1,609,704	1,159,390	1,156,247	1,374,037	1,061,211
Total Expenditures	\$2,981,855	\$2,962,592	\$3,002,408	\$3,004,733	\$2,720,916
Expenditures By Object					
Personnel	2,802,453	2,831,935	2,877,312	2,874,105	2,589,135
Operating Supplies and Expenses	158,846	127,191	112,135	127,127	128,245
Assistance and Grants	829	597	845	609	615
Subtotal: Operating Expenditures	2,962,128	2,959,723	2,990,292	3,001,841	2,717,995
Capital Purchases and Equipment	19,727	2,869	12,116	2,892	2,921
Total Expenditures	\$2,981,855	\$2,962,592	\$3,002,408	\$3,004,733	\$2,720,916
Expenditures By Funds					
General Revenue	2,981,855	2,962,592	3,002,408	3,004,733	2,720,916
Total Expenditures	\$2,981,855	\$2,962,592	\$3,002,408	\$3,004,733	\$2,720,916

Personnel

Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.1	13,362	0.1	13,362
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	0.1	10,287	0.1	10,287
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.1	9,092	0.1	9,092
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.1	9,055	0.1	9,486
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	1.0	88,547	1.0	88,547
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	2.1	183,764	2.1	183,764
ASSISTANT DIRECTOR DEPARTMENT OF LABOR	00140A	1.0	87,446	1.0	91,930
CHIEF LICENSING EXAMINER-DIVISION OF COMM	00333A	1.0	83,207	1.0	83,207
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,077	0.1	8,077
ASSISTANT ADMINISTRATOR DIV OF	00332A	1.0	80,587	1.0	80,586
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.1	7,578	0.1	7,578
CHIEF LABOR STANDARDS EXAMINER	00330A	1.0	75,742	1.0	75,742
CHIEF COMPLIANCE INSPECTOR	00330A	1.0	75,544	1.0	75,544
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	1.0	74,935	1.0	74,935
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	73,786	1.0	73,786
CHIEF PLUMBING INVESTIGATOR (BD OF	00330A	1.0	71,519	1.0	71,519
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	14,216	0.2	14,216
CHIEF ELEVATOR INSPECTOR	00330A	1.0	68,691	1.0	68,691
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	13,355	0.2	13,355
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM.	00330A	1.0	65,568	1.0	65,568
FISCAL MANAGEMENT OFFICER	00B26A	0.1	6,436	0.1	6,436
CHIEF IMPLEMENTATION AIDE	00128A	0.1	6,344	0.1	6,571
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,313	0.1	6,573
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	0.1	6,281	0.1	6,281
CHIEF MECHANICAL INVESTIGATOR (BD OF	00330A	1.0	60,437	1.0	62,620
SUPERVISOR APPRENTICESHIP TRAINING	00327A	1.0	58,537	1.0	58,537
LABOR STANDARDS EXAMINER	00322A	1.0	55,821	1.0	55,821
IMPLEMENTATION AIDE	00322A	3.0	157,055	3.0	159,081
DLT BUSINESS OFFICER	00321A	0.6	30,834	0.6	30,834
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	51,367	1.0	52,264
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.1	4,983	0.1	5,148
PREVAILING WAGE INVESTIGATOR	00322A	2.0	97,088	2.0	97,088
LEGAL ASSISTANT	00319A	0.2	9,510	0.2	9,770
SENIOR COMPUTER OPERATOR	00318A	0.1	4,667	0.1	4,667
SENIOR DLT BUSINESS OFFICER	00324A	0.1	4,666	0.1	4,666
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL	00322A	3.0	137,991	3.0	141,008
LICENSING AIDE	00315A	1.0	45,244	1.0	45,244
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.3	13,473	0.3	13,473
OFFICE MANAGER	00123A	0.1	4,390	0.1	4,521
INDUSTRIAL SAFETY TECHNICIAN (ELEVATOR	00322A	1.0	41,419	1.0	42,600
INTERPRETER (SPANISH)	00316A	1.0	40,191	1.0	40,191
CENTRAL MAIL ROOM CLERK	00311G	0.1	3,417	0.1	3,435
Subtotal		31.1	\$1,960,822	31.1	\$1,976,101
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,015	0.1	13,015

Personnel

Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
EXECUTIVE COUNSEL	00839A	0.4	36,074	0.4	36,728
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.6	131,972	1.6	131,915
SPECIAL ASSISTANT	00829A	0.1	7,248	0.1	7,248
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	65,568	1.0	65,568
Subtotal		3.2	\$253,877	3.2	\$254,474
Cost Allocation to Other Programs		(6.7)	(403,343)	(9.0)	(539,441)
Turnover		-	(74,456)	-	(151,810)
Subtotal		(6.7)	(\$477,799)	(9.0)	(\$691,251)
Total Salaries		27.6	\$1,736,900	25.3	\$1,539,324
Benefits					
Payroll Accrual			6,952		6,170
FICA			132,874		117,758
Retiree Health			122,800		103,905
Health Benefits			366,334		365,169
Retirement			417,723		374,518
Subtotal			\$1,046,683		\$967,520
Total Salaries and Benefits		27.6	\$2,783,583	25.3	\$2,506,844
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$100,854		\$99,085
Statewide Benefit Assessment			\$73,818		\$65,423
Payroll Costs		27.6	\$2,857,401	25.3	\$2,572,267
Purchased Services					
Information Technology			967		978
Clerical and Temporary Services			3,966		4,004
Legal Services			4,842		4,890
Other Contracts			6,929		6,996
Subtotal			\$16,704		\$16,868
Total Personnel		27.6	\$2,874,105	25.3	\$2,589,135
Distribution By Source Of Funds					
General Revenue		27.6	\$2,874,105	25.3	\$2,589,135
Total All Funds		27.6	\$2,874,105	25.3	\$2,589,135

Performance Measures

Department Of Labor And Training Workforce Regulation and Safety

Trade Licenses

Workforce Regulation and Safety's Professional Regulation Unit oversees the licensure, testing, registration, and discipline of more than 30,000 individuals in 67 trade occupations. The figures below represent the number of trade licenses issued.

	2011	2012	2013	2014	2015
Target	--	--	--	--	--
Actual	15191	13240	13373	3233	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Wages Assessed

The Labor Standards Unit is charged with administering and enforcing the state workforce laws. The unit investigates wage complaints throughout Rhode Island involving child labor, overtime, Sunday/holiday premium pay, minimum wage, and parental and family medical leave. The figures below represent the amount of wages determined to have been under-paid by employers.

	2011	2012	2013	2014	2015
Target	--	--	--	--	--
Actual	\$768243	\$281123	\$228785	\$54358	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Elevator Certificates of Operation

The Elevator Safety Section insures that all elevators, escalators, dumbwaiters, moving walks, wheelchair lifts, material lifts, conveyors, and other related devices are inspected on an annual basis. When these devices are found to be in a proper and safe working condition, the unit issues a certificate of operation. The figures below represent the number of elevator certificates of operation issued.

	2011	2012	2013	2014	2015
Target	--	--	--	--	--
Actual	1746	2488	3607	927	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Boiler Inspections

The Boiler Safety Section certifies that all high- and low-pressure boilers and unfired pressure vessels, storage tanks, expansion tanks, steam kettles, and de-aerators are functioning in a safe manner. The figures below represent the number of boilers inspected.

	2011	2012	2013	2014	2015
Target	--	--	--	--	--
Actual	10989	10177	8187	2317	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Labor And Training Income Support

Program Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods for program performance enhancements.

For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Program Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

The Budget

Department Of Labor And Training Income Support

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Unemployment Insurance	591,296,671	443,676,347	368,605,911	344,518,822	259,517,742
TDI	162,947,765	165,247,732	172,549,295	181,062,417	198,485,516
Fire and Police	4,084,976	4,285,331	4,299,157	4,316,501	4,317,409
Total Expenditures	\$758,329,412	\$613,209,410	\$545,454,363	\$529,897,740	\$462,320,667
Expenditures By Object					
Personnel	23,441,904	21,050,224	17,935,623	28,036,509	20,497,063
Operating Supplies and Expenses	3,558,561	2,959,680	2,766,820	3,562,556	2,598,231
Assistance and Grants	702,175,654	551,096,254	469,773,721	449,826,071	405,950,287
Subtotal: Operating Expenditures	729,176,119	575,106,158	490,476,164	481,425,136	429,045,581
Capital Purchases and Equipment	225,242	146,830	78,199	2,201,884	15,085
Debt Service (Fixed Charges)	8,237,247	6,029,424	22,500,000	5,005,360	5,000,000
Operating Transfers	20,690,804	31,926,998	32,400,000	41,265,360	28,260,001
Total Expenditures	\$758,329,412	\$613,209,410	\$545,454,363	\$529,897,740	\$462,320,667
Expenditures By Funds					
General Revenue	4,084,976	4,285,331	4,299,157	4,316,501	4,317,409
Federal Funds	179,167,313	130,581,987	72,767,752	69,149,607	18,291,060
Restricted Receipts	9,577,280	22,180,731	24,508,358	33,252,198	22,606,562
Other Funds	565,499,843	456,161,361	443,879,096	423,179,434	417,105,636
Total Expenditures	\$758,329,412	\$613,209,410	\$545,454,363	\$529,897,740	\$462,320,667

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.5	66,809	0.5	66,809
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	117,348	1.0	117,348
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.6	67,713	0.6	67,713
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	102,719	1.0	102,719
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.3	30,244	0.3	31,804
PRINCIPAL RESEARCH TECHNICIAN	00127A	0.1	9,294	0.1	9,294
REFEREE - BOARD OF REVIEW	00137A	6.0	554,960	6.0	558,959
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.5	45,274	0.5	47,430
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	0.6	52,942	0.6	52,942
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	2.5	216,948	2.5	216,949
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	2.3	190,562	2.3	192,735
SUPERVISING DLT BUSINESS OFFICER	00132A	0.5	40,564	0.5	40,564
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	0.1	7,832	0.1	7,832
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	1.2	93,683	1.2	93,683
CHIEF OF RESEARCH AND ANALYSIS	00134A	0.3	23,002	0.3	23,002
PRINCIPAL EMPLOYMENT AND TRAINING	00130A	4.0	289,092	4.0	291,184
NURSING CARE EVALUATOR	00520A	2.0	143,205	2.0	145,338
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	1.0	69,419	1.0	69,419
ASSISTANT COORDINATOR OF UNEMPLOYMENT	00129A	2.0	133,743	2.0	133,743
COORDINATOR OF UNEMPLOYMENT INSURANCE	00131A	1.0	65,726	1.0	65,726
SENIOR EMPLOYMENT & TRAINING MONITORING	00126A	5.0	320,795	5.0	320,795
CHIEF IMPLEMENTATION AIDE	00128A	0.5	31,720	0.5	32,853
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.5	31,565	0.5	32,863
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	0.5	31,406	0.5	31,406
FISCAL MANAGEMENT OFFICER	00B26A	0.7	43,845	0.7	43,845
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.5	31,188	0.5	31,188
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	2.3	141,598	2.3	141,801
PRINCIPAL DLT BUSINESS OFFICER	00127A	1.3	79,303	1.3	80,128
SENIOR DLT BUSINESS OFFICER	00324A	0.4	23,332	0.4	23,332
EMPLOYMENT AND TRAINING MANAGER	00126A	10.0	567,882	10.0	573,799
SENIOR RESEARCH TECHNICIAN	00323A	1.2	66,167	1.2	66,167
BENEFIT CLAIMS SPECIALIST	00323A	46.0	2,372,684	46.0	2,386,896
PRINCIPAL EMPLOYMENT AND TRAINING	00323A	15.0	762,375	15.0	767,021
OFFICE MANAGER	00123A	2.6	131,396	2.6	134,453
DLT BUSINESS OFFICER	00321A	2.1	104,955	2.1	104,955
LEGAL ASSISTANT	00319A	0.5	23,775	0.5	24,426
RESEARCH TECHNICIAN	00319A	0.4	18,948	0.4	18,972
EMPLOYMENT AND TRAINING ASSISTANT	00316A	2.3	108,823	2.3	108,823
SENIOR COMPUTER OPERATOR	00318A	0.5	23,341	0.5	23,341
FRAUD AND OVERPAYMENT INVESTIGATOR	00321A	6.0	274,332	6.0	275,492
SENIOR EMPLOYMENT AND TRAINING	00320A	71.0	3,163,009	71.0	3,172,796
INTERPRETER (SPANISH)	00316A	3.0	116,027	3.0	116,697
CENTRAL MAIL ROOM CLERK	00311G	0.5	17,087	0.5	17,181
PRINCIPAL CLERK-TYPIST	00312A	1.0	33,764	1.0	34,476
SENIOR WORD PROCESSING TYPIST	00312A	4.0	133,364	4.0	135,701

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Subtotal		205.3	\$10,973,760	205.3	\$11,034,600
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.5	65,076	0.5	65,076
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	51,726	0.5	51,726
MEMBER, BOARD OF REVIEW (ES)	00835A	2.0	193,096	2.0	193,096
EXECUTIVE COUNSEL	00839A	0.4	36,074	0.4	36,727
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	2.3	206,196	2.3	206,138
CHAIRPERSON MEMBER OF BOARD OF REVIEW	00837A	1.0	83,676	1.0	88,177
SPECIAL ASSISTANT	00829A	0.5	36,238	0.5	36,238
CONFIDENTIAL SECRETARY	00818A	1.0	48,586	1.0	50,362
Subtotal		8.2	\$720,668	8.2	\$727,540
Cost Allocation from Other Programs		24.7	1,203,884	27.0	1,527,736
Cost Allocation to Other Programs		(29.0)	(1,405,145)	(29.0)	(1,655,963)
Overtime		-	700,000	-	450,000
Turnover		-	(9,775)	-	(1,192,870)
Subtotal		(4.3)	\$488,964	(2.0)	(\$871,097)
Total Salaries		209.2	\$12,183,392	211.5	\$10,891,043
Benefits					
Payroll Accrual			45,895		41,725
FICA			923,912		800,725
Retiree Health			795,736		686,528
Health Benefits			2,584,116		2,730,026
Retirement			2,770,557		2,504,794
Subtotal			\$7,120,216		\$6,763,798
Total Salaries and Benefits		209.2	\$19,303,608	211.5	\$17,654,841
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$92,273		\$83,474
Statewide Benefit Assessment			\$487,633		\$443,696
Payroll Costs		209.2	\$19,791,241	211.5	\$18,098,537

Personnel

Department Of Labor And Training Income Support

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			7,492,469		1,893,406
Clerical and Temporary Services			128,508		130,015
Legal Services			521,630		270,035
Other Contracts			88,813		90,351
Medical Services			13,848		14,719
Subtotal			\$8,245,268		\$2,398,526
Total Personnel		209.2	\$28,036,509	211.5	\$20,497,063
Distribution By Source Of Funds					
General Revenue		0.7	\$74,631	0.7	\$75,539
Federal Funds		155.4	\$20,520,046	157.7	\$12,527,883
Restricted Receipts		-	\$2,142,698	-	\$2,142,422
Other Funds		53.1	\$5,299,134	53.1	\$5,751,219
Total All Funds		209.2	\$28,036,509	211.5	\$20,497,063

Performance Measures

Department Of Labor And Training Income Support

Timeliness of UI Claim Processing

DLT's Unemployment Insurance (UI) programs provide benefits to eligible workers who become unemployed through no fault of their own and who meet certain other eligibility requirements. DLT's objective is to provide timely service while making accurate determinations. The figures below represent the percentage of initial UI claims paid within 35 days. [UI targets for 2015 targets will be set through an annual process guided by the US Department of Labor.]

	2011	2012	2013	2014	2015
Target	93%	93%	93%	93%	--
Actual	92%	91%	89.9%	--	--

Performance for this measure is reported by federal fiscal year and is current as of 9/30/2013.

UI Non-Monetary Determination Accuracy

In assessing an application for UI benefits, DLT reviews non-monetary eligibility determinations, including the reason for the claimant's separation from his/her last employment, a claimant's availability to work, and other such criteria. The figures below represent the percentage of reviewed UI claims with accurate non-monetary determinations.

	2011	2012	2013	2014	2015
Target	85%	85%	85%	88%	--
Actual	78%	77%	79.1%	--	--

Performance for this measure is reported by federal fiscal year and is current as of 9/30/2013.

UI Initial Benefit Payment Accuracy

An individual's UI benefit rate is calculated based upon the income he/she earned over the previous 15 months of employment. The figures below represent the percentage of initial UI claims paid accurately.

	2011	2012	2013	2014	2015
Target	96%	96%	96%	96%	--
Actual	92%	92%	94.5%	--	--

Performance for this measure is reported by federal fiscal year and is current as of 9/30/2013.

TDI Claim Processing

Temporary Disability Insurance (TDI) provides benefit payments to insured workers for unemployment caused by a temporary disability or non-work-related injury. The figures below represent the percentage of TDI claims processed (either allowed or denied benefits) within 21 days of being entered into the system.

	2011	2012	2013	2014	2015
Target	79%	79%	77%	77%	77%
Actual	77%	72%	72%	77%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Labor And Training Injured Workers Services

Program Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Program Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals.

The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court.

The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act.

The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Donley Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

The Budget

Department Of Labor And Training Injured Workers Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Workers' Comp Compliance	4,554,794	4,003,148	5,044,122	4,927,723	4,917,995
Education & Rehabilitation	4,087,769	4,047,031	4,095,525	4,013,308	4,033,377
Total Expenditures	\$8,642,563	\$8,050,179	\$9,139,647	\$8,941,031	\$8,951,372
Expenditures By Object					
Personnel	5,953,926	5,769,913	6,212,311	6,177,556	6,196,968
Operating Supplies and Expenses	471,200	344,265	593,703	446,773	437,702
Assistance and Grants	2,214,508	1,920,190	2,330,645	2,300,734	2,300,734
Subtotal: Operating Expenditures	8,639,634	8,034,368	9,136,659	8,925,063	8,935,404
Capital Purchases and Equipment	2,929	15,811	2,988	15,968	15,968
Total Expenditures	\$8,642,563	\$8,050,179	\$9,139,647	\$8,941,031	\$8,951,372
Expenditures By Funds					
Restricted Receipts	8,642,563	8,050,179	9,139,647	8,941,031	8,951,372
Total Expenditures	\$8,642,563	\$8,050,179	\$9,139,647	\$8,941,031	\$8,951,372

Personnel

Department Of Labor And Training Injured Workers Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
DEPUTY DIRECTOR (DLT)	00144A	0.1	13,362	0.1	13,362
CHIEF ADMINISTRATOR DIVISION OF REHAB AND	00140A	1.0	113,341	1.0	113,341
CHIEF INVESTIGATOR WORKERS COMPENSATION	0AB38A	1.0	102,732	1.0	102,732
ASSISTANT ADMINISTRATOR REHABILITATION	00137A	1.0	96,593	1.0	96,593
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.1	9,092	0.1	9,092
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.1	9,055	0.1	9,486
CHIEF DATA OPERATIONS	00333A	2.0	173,588	2.0	173,606
WORKERS' COMPENSATION PATIENT CARE	00520A	3.0	247,764	3.0	247,764
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,077	0.1	8,077
ASSISTANT ADMINISTRATOR OF VOCATIONAL	00132A	1.0	78,253	1.0	78,253
INVESTIGATOR WORKERS COMPENSATION FRAUD	0AB30A	5.0	365,839	5.0	368,300
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	13,618	0.2	13,618
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	13,310	0.2	13,310
UNIT CLAIMS MANAGER	00326A	1.0	65,928	1.0	65,928
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	131,257	2.0	131,257
CHIEF IMPLEMENTATION AIDE	00128A	0.1	6,344	0.1	6,571
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,313	0.1	6,573
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	0.1	6,281	0.1	6,281
SUPERVISOR OF VOCATIONAL REHABILITATION	00329A	1.0	60,184	1.0	60,184
IMPLEMENTATION AIDE	00322A	1.0	55,240	1.0	55,240
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.1	5,507	0.1	5,507
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	0.1	5,426	0.1	5,426
COMPENSATION CLAIMS ANALYST	00322A	3.0	155,454	3.0	158,016
DLT BUSINESS OFFICER	00321A	0.6	30,910	0.6	30,910
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.1	4,983	0.1	5,148
IMPLEMENTATION AIDE	0AB22A	1.0	48,544	1.0	49,889
LEGAL ASSISTANT	00319A	0.1	4,755	0.1	4,885
SENIOR COMPUTER OPERATOR	00318A	0.1	4,668	0.1	4,668
SENIOR DLT BUSINESS OFFICER	00324A	0.1	4,666	0.1	4,666
PHYSICAL THERAPY ASSISTANT	00320A	6.0	271,705	6.0	271,705
OFFICE MANAGER	00123A	0.1	4,390	0.1	4,521
INTERPRETER (SPANISH)	00316A	1.0	42,105	1.0	42,105
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.3	53,664	1.3	53,934
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT	00320A	1.0	40,305	1.0	41,275
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	39,574	1.0	42,434
SENIOR WORD PROCESSING TYPIST	00312A	4.0	154,654	4.0	154,654
MEDICAL RECORDS TECHNICIAN	00320A	1.0	38,536	1.0	39,574
CENTRAL MAIL ROOM CLERK	00311G	0.1	3,417	0.1	3,436
Subtotal		40.8	\$2,489,434	40.8	\$2,502,321
Unclassified					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,015	0.1	13,015
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.1	7,759	0.1	7,759
FISCAL MANAGEMENT OFFICER	0E024A	1.0	72,606	1.0	72,606
SPECIAL ASSISTANT	00829A	0.1	7,248	0.1	7,248
Subtotal		1.3	\$100,628	1.3	\$100,628

Personnel

Department Of Labor And Training Injured Workers Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Overtime		-	45,000	-	45,000
Turnover		-	(38,077)	-	-
Subtotal		-	\$6,923	-	\$45,000
Total Salaries		42.1	\$2,596,985	42.1	\$2,647,949
Benefits					
Payroll Accrual			10,207		10,411
FICA			198,167		202,065
Retiree Health			240,424		235,699
Health Benefits			583,586		629,028
Retirement			613,753		633,298
Subtotal			\$1,646,137		\$1,710,501
Total Salaries and Benefits		42.1	\$4,243,122	42.1	\$4,358,450
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$100,787		\$103,526
Statewide Benefit Assessment			\$108,459		\$110,626
Payroll Costs		42.1	\$4,351,581	42.1	\$4,469,076
Purchased Services					
Information Technology			84,333		31,098
Clerical and Temporary Services			767		767
Legal Services			348		348
Other Contracts			43,522		39,522
Training and Educational Services			125,820		125,820
Design and Engineering Services			173		173
Medical Services			1,571,012		1,530,164
Subtotal			\$1,825,975		\$1,727,892
Total Personnel		42.1	\$6,177,556	42.1	\$6,196,968
Distribution By Source Of Funds					
Restricted Receipts		42.1	\$6,177,556	42.1	\$6,196,968
Total All Funds		42.1	\$6,177,556	42.1	\$6,196,968

The Program

Department Of Labor And Training Labor Relations Board

Program Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Program Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

The Budget

Department Of Labor And Training Labor Relations Board

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	380,949	382,834	393,736	386,532	388,648
Total Expenditures	\$380,949	\$382,834	\$393,736	\$386,532	\$388,648
Expenditures By Object					
Personnel	366,804	365,392	383,859	374,800	376,837
Operating Supplies and Expenses	13,954	17,397	9,682	11,696	11,774
Assistance and Grants	42	36	43	36	37
Subtotal: Operating Expenditures	380,800	382,825	393,584	386,532	388,648
Capital Purchases and Equipment	149	9	152	-	-
Total Expenditures	\$380,949	\$382,834	\$393,736	\$386,532	\$388,648
Expenditures By Funds					
General Revenue	380,949	382,834	393,736	386,532	388,648
Total Expenditures	\$380,949	\$382,834	\$393,736	\$386,532	\$388,648

Personnel

Department Of Labor And Training Labor Relations Board

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
LABOR BOARD CASE AGENT	00128A	1.0	70,290	1.0	70,290
Subtotal		1.0	\$70,290	1.0	\$70,290
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	90,924	1.0	90,924
CHAIRPERSON, LABOR RELATIONS BOARD	00953F	-	14,505	-	14,505
LABOR RELATIONS BOARD MEMBER	00952F	-	68,855	-	68,855
Subtotal		1.0	\$174,284	1.0	\$174,284
Total Salaries		2.0	\$244,574	2.0	\$244,574
Benefits					
Payroll Accrual			1,255		1,255
FICA			18,709		18,709
Retiree Health			11,398		10,882
Health Benefits			21,886		23,712
Retirement			38,772		39,224
Subtotal			\$92,020		\$93,782
Total Salaries and Benefits		2.0	\$336,594	2.0	\$338,356
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$168,297		\$169,178
Statewide Benefit Assessment			\$10,394		\$10,394
Payroll Costs		2.0	\$346,988	2.0	\$348,750
Purchased Services					
Information Technology			71		71
Clerical and Temporary Services			5,149		5,200
Legal Services			21,938		22,156
Other Contracts			654		660
Subtotal			\$27,812		\$28,087
Total Personnel		2.0	\$374,800	2.0	\$376,837
Distribution By Source Of Funds					
General Revenue		2.0	\$374,800	2.0	\$376,837
Total All Funds		2.0	\$374,800	2.0	\$376,837

Agency

Department Of Revenue

Agency Mission

To assess and collect all taxes, fees and revenues in the most efficient and cost-effective manner.

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To administer a lottery system in the most efficient, ethical and cost-effective manner.

To assess and evaluate the state revenue policies and practices and recommend options to enhance revenue collections and revenue competitiveness.

To monitor and report on the financial conditions of the State's cities and towns and to maintain a databank on local finances and property values for the benefit of municipalities and public policy decision-makers.

To assist cities and towns in financial distress in achieving sound fiscal management through data analysis, technical assistance, training and oversight.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has seven programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, and State Aid (State Aid was transferred from the Department of Administration to the Department of Revenue in FY 2012).

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

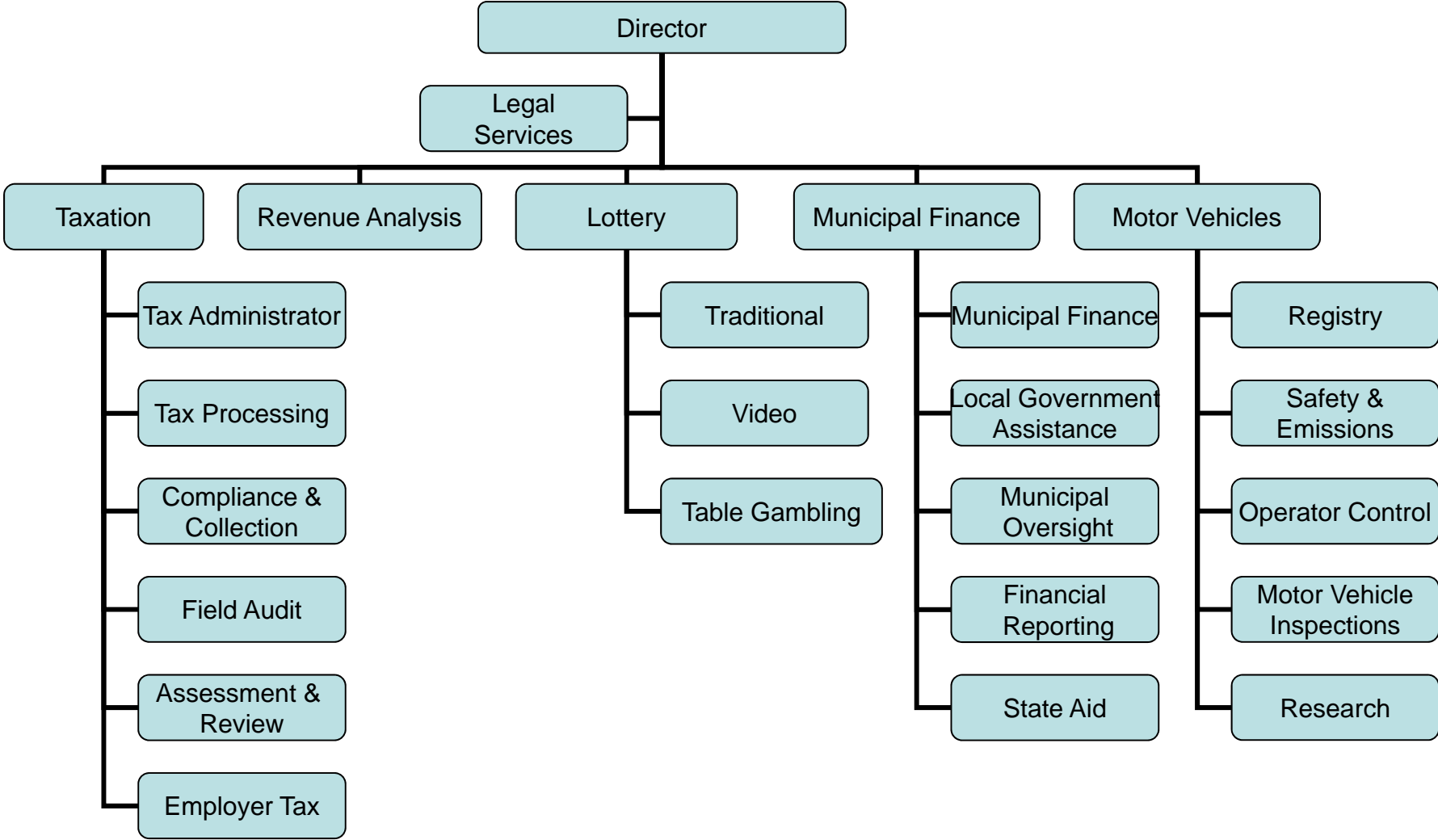
Budget

Department Of Revenue

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Director of Revenue	792,293	852,397	1,222,847	1,111,663	1,122,100
Office of Revenue Analysis	368,841	474,704	528,721	520,437	564,334
Lottery Division	244,425,204	249,242,278	245,451,858	335,069,534	342,306,302
Municipal Finance	3,023,874	2,164,948	2,351,173	2,024,593	1,996,992
Taxation	20,353,130	20,473,534	21,099,288	20,732,324	21,598,570
Registry of Motor Vehicles	18,221,048	18,274,501	20,846,873	24,210,408	20,945,832
State Aid	57,966,723	57,248,352	61,938,979	61,834,466	62,020,089
<i>Internal Services</i>	-	-	-	-	-
Total Expenditures	\$345,151,113	\$348,730,714	\$353,439,739	\$445,503,425	\$450,554,219
Expenditures By Object					
Personnel	40,585,687	40,907,611	46,743,471	46,782,536	48,019,935
Operating Supplies and Expenses	245,359,242	249,617,556	242,343,813	332,387,406	339,644,958
Assistance and Grants	10,740	4,463	9,923	108,923	108,923
Aid to Local Units of Government	57,966,723	57,248,352	61,938,979	61,941,753	62,150,629
Subtotal: Operating Expenditures	343,922,392	347,777,982	351,036,186	441,220,618	449,924,445
Capital Purchases and Equipment	1,180,473	687,992	906,812	3,913,057	566,774
Operating Transfers	48,248	264,740	1,496,741	369,750	63,000
Total Expenditures	\$345,151,113	\$348,730,714	\$353,439,739	\$445,503,425	\$450,554,219
Expenditures By Funds					
General Revenue	95,686,157	94,786,540	102,007,495	101,152,290	102,488,659
Federal Funds	1,472,179	1,951,612	3,048,651	4,010,750	2,948,586
Restricted Receipts	1,993,811	1,730,219	1,821,886	3,981,638	1,814,986
Operating Transfers from Other Funds	640,570	372,852	150,000	606,750	-
Other Funds	245,358,396	249,889,491	246,411,707	335,751,997	343,301,988
Total Expenditures	\$345,151,113	\$348,730,714	\$353,439,739	\$445,503,425	\$450,554,219
FTE Authorization	449.0	489.0	492.0	492.0	499.0

The Agency

Department of Revenue



Personnel

Department Of Revenue Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		407.0	21,654,500	414.0	22,227,023
Unclassified		86.0	5,399,136	86.0	5,488,361
Subtotal		493.0	\$27,053,636	500.0	\$27,715,384
Cost Allocation from Other Programs		-	91,329	-	91,329
Cost Allocation to Other Programs		-	(\$91,329)	-	(\$91,329)
Overtime		-	520,500	-	520,500
Reconcile to FTE Authorization		(1.0)	-	(1.0)	-
Temporary and Seasonal		-	151,382	-	151,382
Turnover		-	(\$1,374,354)	-	(\$1,211,334)
Subtotal		(1.0)	(\$702,472)	(1.0)	(\$539,452)
Total Salaries		492.0	\$26,351,164	499.0	\$27,175,932
Benefits					
Payroll Accrual			146,959		151,663
Holiday			6,000		6,000
FICA			2,008,134		2,073,076
Retiree Health			1,849,429		1,823,056
Health Benefits			5,459,999		6,044,203
Retirement			6,196,839		6,470,699
Subtotal			\$15,667,360		\$16,568,697
Total Salaries and Benefits		492.0	\$42,018,524	499.0	\$43,744,629
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$85,096		\$87,361
Statewide Benefit Assessment			\$1,091,280		\$1,126,411
Payroll Costs		492.0	\$43,109,804	499.0	\$44,871,040

Personnel

Department Of Revenue Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			1,636,300		1,207,343
Clerical and Temporary Services			63,031		61,531
Management & Consultant Services			340,500		205,500
Legal Services			125,000		100,000
Other Contracts			1,485,711		1,518,380
Buildings and Ground Maintenance			22,190		21,190
Training and Educational Services			-		34,950
Subtotal			\$3,672,732		\$3,148,894
Total Personnel		492.0	\$46,782,536	499.0	\$48,019,935
Distribution By Source Of Funds					
General Revenue		372.5	\$32,526,229	379.5	\$33,931,560
Federal Funds		14.9	\$2,859,464	14.9	\$2,474,476
Restricted Receipts		10.1	\$832,450	10.1	\$838,601
Other Funds		94.6	\$10,564,393	94.6	\$10,775,298
Total All Funds		492.0	\$46,782,536	499.0	\$48,019,935

The Program

Department Of Revenue
Director of Revenue

Program Mission

To ensure that programs of the department are efficiently organized and implemented in accordance with legislative and policy mandates as it relates to the analysis and collection of taxes, fees and revenues, enforcement of laws relating to the operation and registration of motor vehicles, and oversight of municipal finances.

Program Description

The Director's Office oversees the overall operation of the department. The Office of Legal Services provides legal advice and support to the Director and the programs within the department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I.G.L. 42-142)

The Budget

Department Of Revenue Director of Revenue

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Director of Revenue	792,293	852,397	1,222,847	1,111,663	1,122,100
Internal Services	-	-	-	-	-
Total Expenditures	\$792,293	\$852,397	\$1,222,847	\$1,111,663	\$1,122,100
Expenditures By Object					
Personnel	783,255	844,008	1,203,862	1,087,673	1,101,090
Operating Supplies and Expenses	8,289	5,971	15,985	18,965	15,985
Subtotal: Operating Expenditures	791,544	849,979	1,219,847	1,106,638	1,117,075
Capital Purchases and Equipment	749	2,418	3,000	5,025	5,025
Total Expenditures	\$792,293	\$852,397	\$1,222,847	\$1,111,663	\$1,122,100
Expenditures By Funds					
General Revenue	792,293	852,397	1,222,847	1,111,663	1,122,100
Total Expenditures	\$792,293	\$852,397	\$1,222,847	\$1,111,663	\$1,122,100

Personnel

Department Of Revenue

Director of Revenue

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES	00143A	1.0	121,772	1.0	121,772
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	111,183	1.0	111,183
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	102,041	1.0	102,041
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	90,355	1.0	90,355
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	80,054	1.0	82,207
SENIOR LEGAL COUNSEL	00134A	1.0	67,477	1.0	69,944
CHIEF IMPLEMENTATION AIDE	00128A	1.0	64,967	1.0	64,967
SENIOR INTERNAL AUDITOR (DOA)	00131A	1.0	59,104	1.0	61,254
Subtotal		8.0	\$696,953	8.0	\$703,723
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	00956KF	1.0	156,876	1.0	156,876
Subtotal		1.0	\$156,876	1.0	\$156,876
Cost Allocation to Other Programs		-	(91,329)	-	(91,329)
Turnover		-	(59,104)	-	(61,254)
Subtotal		-	(\$150,433)	-	(\$152,583)
Total Salaries		9.0	\$703,396	9.0	\$708,016
Benefits					
Payroll Accrual			4,015		4,041
FICA			51,302		51,958
Retiree Health			49,730		47,791
Health Benefits			80,169		86,933
Retirement			169,167		172,260
Subtotal			\$354,383		\$362,983
Total Salaries and Benefits		9.0	\$1,057,779	9.0	\$1,070,999
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$117,531		\$119,000
Statewide Benefit Assessment			\$29,894		\$30,091
Payroll Costs		9.0	\$1,087,673	9.0	\$1,101,090
Total Personnel		9.0	\$1,087,673	9.0	\$1,101,090
Distribution By Source Of Funds					
General Revenue		9.0	\$1,087,673	9.0	\$1,101,090
Total All Funds		9.0	\$1,087,673	9.0	\$1,101,090

The Program

Department Of Revenue Office of Revenue Analysis

Program Mission

To analyze, evaluate and appraise the tax system of the State.

To recommend revisions to the State's tax system in accordance with the best interests of the economy of the state.

To prepare the biennial Tax Expenditures Report (Rhode Island General Law Chapter 44-48.1) and the annual Unified Economic Development Report (Rhode Island General Law § 42-142-6).

To serve as an ex-officio member of the Council of Economic Advisors (Rhode Island General Law Chapter 42-64.15).

Program Description

The Office of Revenue Analysis is responsible for analyzing, evaluating, and appraising the tax system of the State of Rhode Island, and for making recommendations for its revision in accordance with the best interests of the economy of Rhode Island. The Office is responsible for preparing the biennial Tax Expenditures Report and the annual Unified Economic Development Report. The Office is charged with the preparation of cost benefit analyses of all tax proposals. The Office also prepares fiscal notes as required by state law as such notes pertain to revenues. The Office publishes a monthly report on monthly and fiscal year-to-date cash collections and a monthly report that compares adjusted revenue collections to estimated revenues on a monthly and fiscal year-to-date basis. Further, the Office, in conjunction with the Budget Office, forecasts the state's general revenues the projections of which are used for the biannual Revenue Estimating Conference and the Governor's recommended budget. Finally, a representative of the Office serves as an ex officio member of the newly established Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (Rhode Island General Law §42-142.3).

The Budget

Department Of Revenue Office of Revenue Analysis

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Revenue Analysis	368,841	474,704	528,721	520,437	564,334
Total Expenditures	\$368,841	\$474,704	\$528,721	\$520,437	\$564,334
Expenditures By Object					
Personnel	348,374	452,652	506,019	497,100	544,529
Operating Supplies and Expenses	20,467	19,606	22,702	22,312	18,780
Subtotal: Operating Expenditures	368,841	472,258	528,721	519,412	563,309
Capital Purchases and Equipment	-	2,446	-	1,025	1,025
Total Expenditures	\$368,841	\$474,704	\$528,721	\$520,437	\$564,334
Expenditures By Funds					
General Revenue	368,841	474,704	528,721	520,437	564,334
Total Expenditures	\$368,841	\$474,704	\$528,721	\$520,437	\$564,334

Personnel

Department Of Revenue Office of Revenue Analysis

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
SENIOR REVENUE POLICY ANALYST (DOR)	00137A	1.0	76,422	1.0	79,888
REVENUE POLICY ANALYST (DOR)	00132A	2.0	127,358	2.0	133,114
Subtotal		3.0	\$203,780	3.0	\$213,002
Unclassified					
CHIEF OF REVENUE ANALYSIS	08445A	1.0	125,610	1.0	125,610
Subtotal		1.0	\$125,610	1.0	\$125,610
Turnover		-	(2,183)	-	-
Subtotal		-	(\$2,183)	-	-
Total Salaries		4.0	\$327,207	4.0	\$338,612
Benefits					
Payroll Accrual			1,860		1,936
FICA			24,403		25,643
Retiree Health			23,013		22,857
Health Benefits			28,501		31,204
Retirement			78,283		82,386
Subtotal			\$156,060		\$164,026
Total Salaries and Benefits		4.0	\$483,267	4.0	\$502,638
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$120,817		\$125,660
Statewide Benefit Assessment			\$13,833		\$14,391
Payroll Costs		4.0	\$497,100	4.0	\$517,029
Purchased Services					
Management & Consultant Services			-		27,500
Subtotal			-		\$27,500
Total Personnel		4.0	\$497,100	4.0	\$544,529
Distribution By Source Of Funds					
General Revenue		4.0	\$497,100	4.0	\$544,529
Total All Funds		4.0	\$497,100	4.0	\$544,529

Performance Measures

Department Of Revenue Office of Revenue Analysis

Revenue Assessment Reports

The Office of Revenue Analysis (ORA) issues a monthly cash collections report and a monthly revenue assessment report. ORA is required to issue the reports within 10 business days after the receipt of the data from the Office of Accounts and Control. The figures below represent the percentage of monthly revenue assessment reports completed within the specified time frame.

	2011	2012	2013	2014	2015
Target	100%	100%	100%	100%	100%
Actual	91.7%	91.7%	66.7%	75%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

Cash Collection Reports

ORA issues a monthly cash collections report and a monthly revenue assessment report. The reports are required to be issued within 10 business days after the receipt of the data from the Office of Accounts and Controls. The figures below represent the percentage of monthly cash collections reports completed within the specified time frame.

	2011	2012	2013	2014	2015
Target	100%	100%	100%	100%	100%
Actual	91.7%	91.7%	66.7%	75%	--

Performance for this measure is reported by state fiscal year and is current as of 10/31/2013.

The Program

Department Of Revenue Lottery Division

Program Mission

To maximize revenues while maintaining the trust and best interest of the citizens of the State of Rhode Island.

Program Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. On July 1, 2005, the Lottery was made a division of the Department of Administration and on July 1, 2006, it was transferred to the new Department of Revenue. The Lottery operates as an enterprise fund and its financial information is included in the State Comprehensive Annual Financial Report.

The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), for Keno, and for instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball and Mega Million tickets, collects all revenues, and remits prize funds to the Multi-State Lottery Association net of low tier prize awards. The Lottery sells Lucky for Life tickets, collects all revenues and remits top prizes as required to the Multi-State Lottery Association.

R.I.G.L. 42-61-15 stipulates that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. The Lottery is required to transfer its net income from on-line games to the State General Fund in an amount not less than 25 percent of total lottery ticket revenue. The amount transferred into the General Fund from Keno shall equal no less than 15 percent of the total Keno ticket sales. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions and Lottery operating expenses.

R.I.G.L. 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated at these facilities is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe.

RIGL 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at the state-operated Video Lottery Terminal (VLT) facility known as Twin River. As required by the Rhode Island Constitution, Article VI Section XV and as provided, inter alia, in RIGL 42-61.2-3.1, the Division of Lotteries will operate all aspects of the Table Games. The allocation of Net Table Game Revenue for deposit into the State Lottery Fund for administrative purposes, with the balance to the State's General Fund, is 18.0 percent. The allocation decreases to 16.0 percent if Twin River's VLT Net Terminal Income for a full State fiscal year is less than its prior State fiscal year VLT Net Terminal Income.

Statutory History

R.I.G.L. 42-61 provides the general authority for the State Division of Lottery. R.I.G.L. 42-142 entitled "Department of Revenue" establishes the State lottery as a division of the Department of Revenue.

The Budget

Department Of Revenue Lottery Division

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Lottery Division	244,425,204	249,242,278	245,451,858	335,069,534	342,306,302
Total Expenditures	\$244,425,204	\$249,242,278	\$245,451,858	\$335,069,534	\$342,306,302
Expenditures By Object					
Personnel	5,392,868	6,304,612	8,772,531	9,672,174	9,876,606
Operating Supplies and Expenses	239,008,604	242,635,717	235,091,909	324,842,933	332,324,889
Assistance and Grants	-	-	-	100,000	100,000
Subtotal: Operating Expenditures	244,401,472	248,940,329	243,864,440	334,615,107	342,301,495
Capital Purchases and Equipment	23,732	100,245	147,677	147,677	4,807
Operating Transfers	-	201,704	1,439,741	306,750	-
Total Expenditures	\$244,425,204	\$249,242,278	\$245,451,858	\$335,069,534	\$342,306,302
Expenditures By Funds					
Operating Transfers from Other Funds	-	272,250	-	306,750	-
Other Funds	244,425,204	248,970,028	245,451,858	334,762,784	342,306,302
Total Expenditures	\$244,425,204	\$249,242,278	\$245,451,858	\$335,069,534	\$342,306,302

Personnel

Department Of Revenue Lottery Division

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
LOTTERY DIRECTOR	0816JF	1.0	138,858	1.0	138,858
DEPUTY DIRECTOR (LOTTERY)	00842JA	1.0	130,002	1.0	130,002
DIRECTOR MANAGEMENT INFORMATION	00839JA	1.0	111,736	1.0	111,736
FINANCE ADMINISTRATION (MANAGER)	00839JA	1.0	102,020	1.0	102,020
CASINO OPERATIONS AND COMPLIANCE	00841JA	1.0	92,669	1.0	92,669
CONTROLLER (LOTTERY)	00834JA	1.0	91,498	1.0	91,498
LOTTERY SALES & MARKETING MANAGER	00834JA	1.0	83,541	1.0	83,541
PRINCIPAL PROJECTS MANAGER	0831JA	2.0	163,781	2.0	163,781
LEGAL COUNSEL (LOTTERY)	00835JA	1.0	78,459	1.0	81,325
MANAGER MARKETING AGENT LICENSES AND	00834JA	1.0	78,233	1.0	82,038
CASINO SENIOR COMPLIANCE SUPERVISOR	00834JA	1.0	75,787	1.0	78,555
CASINO INSPECTIONS AND STANDARDS	00832JA	1.0	72,929	1.0	73,966
INTERNAL AUDITOR	00833JA	1.0	72,178	1.0	75,799
CASINO FINANCIAL ANALYST SUPERVISOR	00834JA	1.0	72,079	1.0	74,712
PRODUCTION MANAGER (LOTTERY)	00828JA	1.0	69,655	1.0	69,655
CASINO TABLE GAMES ACCOUNTING MANAGER	00833JA	1.0	69,635	1.0	72,178
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827JA	1.0	69,254	1.0	70,079
CASINO SECURITY MANAGER	00829JA	1.0	69,180	1.0	69,180
CASINO FINANCIAL ANALYST	00832JA	1.0	67,088	1.0	69,543
VIDEO LOTTERY SUPERVISOR	00827JA	1.0	67,032	1.0	67,032
CASINO SURVEILLANCE ANALYST	00832JA	1.0	66,720	1.0	69,162
INFORMATION TECHNOLOGY SECURITY MANAGER	00829JA	1.0	65,886	1.0	65,886
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824JA	1.0	64,773	1.0	64,773
ACCOUNTING MANAGER	00829JA	1.0	64,194	1.0	65,886
SECURITY MANAGER	00827A	1.0	63,985	1.0	63,985
PROJECT COORDINATOR	00826JA	1.0	61,485	1.0	61,485
VIDEO LOTTERY SYSTEMS MANAGER	00827JA	1.0	60,938	1.0	60,938
ASSISTANT MANAGER-MARKETING, AGENT	00826JA	2.0	121,632	2.0	123,872
EXECUTIVE SECRETARY	00822JA	1.0	59,935	1.0	59,935
PRODUCTION CLERK	00822JA	1.0	59,935	1.0	59,935
CASINO COMPLIANCE SUPERVISOR	00829JA	2.0	119,138	2.0	123,480
VIDEO LOTTERY PROGRAM AUDITOR	00829JA	1.0	59,245	1.0	61,401
MAINTENANCE PERSON (LOTTERY)	00822JA	1.0	58,687	1.0	58,687
ADMINISTRATIVE OFFICER	00822A	1.0	58,593	1.0	58,593
CASINO SECURITY INSPECTOR	00827JA	4.0	220,264	4.0	228,212
TICKET ACCOUNTING CLERK (LOTTERY)	00820JA	1.0	53,167	1.0	53,167
COMPUTER PROGRAMMER	00825JA	1.0	53,003	1.0	55,609
ASSISTANT CONTROLLER	00824JA	2.0	106,000	2.0	107,629
CASINO GAMING OPERATIONS INVESTIGATOR	00826JA	3.0	158,976	3.0	164,658
CASINO IT SUPPORT SPECIALIST	00826JA	1.0	52,992	1.0	54,886
SUPERVISOR PERSONNEL RECORDS	00821JA	1.0	52,931	1.0	52,931
FIELD REPRESENTATIVE (LOTTERY)	00822JA	10.0	529,202	10.0	532,946
CASINO COMPLIANCE REPRESENTATIVE	00825JA	13.0	662,519	13.0	685,386
LICENSING CLERK	00820JA	1.0	50,855	1.0	50,855
RECEPTIONIST	00817JA	1.0	47,828	1.0	48,792

Personnel

Department Of Revenue Lottery Division

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822JA	1.0	44,855	1.0	46,261
ASSISTANT FIELD REPRESENTATIVE	00818JA	1.0	43,339	1.0	44,875
SECRETARY	00818JA	3.0	129,583	3.0	130,577
VALIDATIONS OFFICER	00817JA	1.0	41,671	1.0	41,784
ASSISTANT PRODUCTION WORKER	00818JA	2.0	79,287	2.0	81,249
JUNIOR MAINTENANCE PERSON	00801JA	1.0	29,418	1.0	29,873
Subtotal		84.0	\$5,116,650	84.0	\$5,205,875
Cost Allocation from Other Programs		-	91,329	-	91,329
Overtime		-	100,000	-	100,000
Turnover		-	(246,833)	-	(208,235)
Subtotal		-	(\$55,504)	-	(\$16,906)
Total Salaries		84.0	\$5,061,146	84.0	\$5,188,969
Benefits					
Payroll Accrual			28,379		29,104
FICA			384,991		395,390
Retiree Health			353,457		346,211
Health Benefits			1,003,977		1,088,973
Retirement			1,193,164		1,238,140
Subtotal			\$2,963,968		\$3,097,818
Total Salaries and Benefits		84.0	\$8,025,114	84.0	\$8,286,787
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$95,537		\$98,652
Statewide Benefit Assessment			\$210,848		\$216,288
Payroll Costs		84.0	\$8,235,962	84.0	\$8,503,075
Purchased Services					
Clerical and Temporary Services			59,531		59,531
Management & Consultant Services			90,000		-
Other Contracts			1,285,031		1,312,350
Buildings and Ground Maintenance			1,650		1,650
Subtotal			\$1,436,212		\$1,373,531
Total Personnel		84.0	\$9,672,174	84.0	\$9,876,606
Distribution By Source Of Funds					
Other Funds		84.0	\$9,672,174	84.0	\$9,876,606
Total All Funds		84.0	\$9,672,174	84.0	\$9,876,606

Performance Measures

Department Of Revenue Lottery Division

Retailer Non-Sufficient Funds

This indicator measures the number of electronic funds transfers from retail agents that result in Non-Sufficient Funds (NSF). The Lottery Division's goal is to reduce the incidence of NSFs by agent retailers using controls such as automated clearinghouse sweeps and monitoring retailer compliance. The figures below represent the number of NSFs that occur in a given year.

	2011	2012	2013	2014	2015
Target	--	--	338	335	335
Actual	278	268	192	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

Accuracy of Claimant Identification

The Lottery Division is required to obtain claimants' personal tax information for Internal Revenue Service's (IRS) form W-2G, used to report gambling winnings. Annual tax filings with this information are submitted to the IRS, and the information must match the IRS database. The figures below represent the percentage of filings submitted to the IRS with inaccurate information. [Note: Lottery receives claimant accuracy data from the IRS approximately twenty months after the end of a tax year.]

	2011	2012	2013	2014	2015
Target	--	--	1%	1%	1%
Actual	--	--	--	--	--

Accuracy of Retailer Documentation

The Lottery Division collects business tax information as part of retail vendor applications and reports any vendor income from Lottery-related claims on IRS form 1099. If Lottery's vendor information does not match the IRS's database, Lottery is required to resolve the discrepancy and collect accurate information. The figures below represent the percentage of retailer IRS submissions determined to have inaccurate information.

	2011	2012	2013	2014	2015
Target	--	--	--	1%	1%
Actual	1.8%	0.3%	--	--	--

Performance for this measure is reported by calendar year and is current as of 12/31/2012.

The Program

Department Of Revenue Municipal Finance

Program Mission

To monitor and report on the financial conditions of the cities and towns and to provide oversight and technical assistance to cities and towns undergoing financial distress.

To maintain a databank on local finances and property values for the benefit of municipalities and public policy decision-makers.

To provide assistance and encourage compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To maintain and compute financial and equalized property value information of use to municipalities and policymakers.

To annually calculate municipal property wealth and state aid, advising cities and towns on the process of statistical property valuation updates, and provide other technical and legislative support to municipalities.

To maintain and publish a record of elected and appointed municipal officials every election year (the 'Blue Book') and provide staff assistance to the Vehicle value Commission which establishes the value of motor vehicles subject to the excise tax used by municipalities in levying taxes.

Program Description

The Division of Municipal Finance's responsibilities include assisting cities and towns to achieve sound fiscal management through data analysis, technical assistance, training and oversight. The Division also encourages cooperation between municipalities and the state by distributing relevant and timely information and by providing technical assistance to municipalities. The Division also provides staff assistance to the Local Pension and Other Post Employment Benefit (OPEB) Study Commission.

Statutory History

R.I.G.L. 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

The Budget

Department Of Revenue Municipal Finance

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Municipal Affairs	3,023,874	2,164,948	2,351,173	2,024,593	1,996,992
Total Expenditures	\$3,023,874	\$2,164,948	\$2,351,173	\$2,024,593	\$1,996,992
Expenditures By Object					
Personnel	3,000,544	2,127,053	2,318,173	1,876,307	1,822,453
Operating Supplies and Expenses	16,299	26,781	28,000	34,974	37,974
Assistance and Grants	7,031	-	5,000	5,000	5,000
Aid to Local Units of Government	-	-	-	107,287	130,540
Subtotal: Operating Expenditures	3,023,874	2,153,834	2,351,173	2,023,568	1,995,967
Capital Purchases and Equipment	-	11,114	-	1,025	1,025
Total Expenditures	\$3,023,874	\$2,164,948	\$2,351,173	\$2,024,593	\$1,996,992
Expenditures By Funds					
General Revenue	3,023,874	2,164,948	2,351,173	2,024,593	1,996,992
Total Expenditures	\$3,023,874	\$2,164,948	\$2,351,173	\$2,024,593	\$1,996,992

Personnel

Department Of Revenue Municipal Finance

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	105,889	1.0	105,889
HUMAN RESOURCES COORDINATOR	00135A	1.0	80,452	1.0	80,452
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	2.0	149,781	2.0	152,119
PRINCIPAL PROGRAM ANALYST	00328A	1.0	71,975	1.0	71,975
STATE AID AND FINANCE SPECIALIST - MUNICIPAL	00332A	3.0	201,721	3.0	207,424
FISCAL MANAGEMENT OFFICER	00B26A	1.0	64,873	1.0	67,053
INVESTIGATIVE AUDITOR	00133A	1.0	63,859	1.0	66,191
PROGRAMMING SERVICES OFFICER	00131A	2.0	120,818	2.0	125,482
PRODUCTIVITY PROJECT DIRECTOR	00130A	2.0	116,220	2.0	120,678
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	49,222	1.0	51,033
DATA CONTROL CLERK	00315A	1.0	44,544	1.0	44,544
SENIOR INFORMATION AND PUBLIC RELATIONS	00324A	1.0	44,034	1.0	45,448
Subtotal		17.0	\$1,113,388	17.0	\$1,138,288
Turnover		-	(128,482)	-	(160,479)
Subtotal		-	(\$128,482)	-	(\$160,479)
Total Salaries		17.0	\$984,906	17.0	\$977,809
Benefits					
Payroll Accrual			5,635		5,593
FICA			75,344		74,805
Retiree Health			69,634		66,004
Health Benefits			192,060		208,784
Retirement			236,868		237,902
Subtotal			\$579,541		\$593,088
Total Salaries and Benefits		17.0	\$1,564,447	17.0	\$1,570,897
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$92,026		\$92,406
Statewide Benefit Assessment			\$41,860		\$41,556
Payroll Costs		17.0	\$1,606,307	17.0	\$1,612,453
Purchased Services					
Management & Consultant Services			170,000		110,000
Legal Services			100,000		100,000
Subtotal			\$270,000		\$210,000
Total Personnel		17.0	\$1,876,307	17.0	\$1,822,453
Distribution By Source Of Funds					
General Revenue		17.0	\$1,876,307	17.0	\$1,822,453
Total All Funds		17.0	\$1,876,307	17.0	\$1,822,453

Performance Measures

Department Of Revenue Municipal Finance

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. According to the RI General Laws (45-12-22.2), municipalities are required to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time.

	2011	2012	2013	2014	2015
Target	--	--	60%	60%	60%
Actual	29%	46%	40%	21%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Municipalities Requiring State Intervention

The Division of Municipal Finance, under guidance from the Director of Revenue, provides state oversight and intervention to communities in fiscal distress. The figures below represent the number of municipalities requiring state intervention under the Fiscal Stability Act (RI General Laws 45-9).

	2011	2012	2013	2014	2015
Target	--	--	3	3	3
Actual	1	2	3	3	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Local Pension Plan Funding

The Division provides assistance to the Pension Study Commission and also to municipalities with locally administered pension plans. As defined by RI General Laws (45-65-4), a plan that is less than 60 percent funded is deemed to be in critical status. The Division's goal is to assist the communities in achieving greater than 60 percent funded status for their plans. The figures below represent the percentage of locally administered plans with a funded status of 60 percent or greater.

	2011	2012	2013	2014	2015
Target	--	--	35%	32%	35%
Actual	--	--	41%	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Revenue Taxation

Program Mission

To foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial and ethical conduct.

To administer and collect all taxes as required by Rhode Island law in the most efficient and cost effective manner; and to assist taxpayers by helping them understand and meet their tax responsibilities.

Program Description

The Office of Tax Administrator subprogram is responsible for providing the overall guidance and direction of the Division of Taxation. In addition to overseeing the operations of the Division, the Office is directly responsible for overseeing the appeals process, legislative tracking and legislative testimony, tax credit administration, forms management, website maintenance and overseeing the voluntary disclosure program.

The Tax Processing subprogram is responsible for the overall management and security of a high volume production processing operation that services all sections in the Division of Taxation. This unit performs all activities relating to the receipt of cash payments and the processing of tax returns as well as registering taxpayers, recording tax accounts, and develops and implements forms necessary to collect all the taxes administered. The E-government branch is an associated section of the processing area. The branch administers the electronic payment and electronic filing programs within the Division.

The Compliance and Collections subprogram primary function is to maintain the integrity of the Rhode Island tax system through the collection of delinquent taxes and tax returns from individuals and businesses. The Branch is charged with the responsibility for compliance, collection and if necessary enforcement actions to collect all taxes administered by the Division. All efforts are made to assist taxpayers in voluntarily complying with state laws and Division of Taxation's rules and regulations regarding the timely filing and payment of taxes.

The Field Audit subprogram is responsible for conducting comprehensive tax audits of the accounting and related records of individuals, partnerships, and corporate business organizations to determine their proper tax liability under state tax laws. The Section workload is divided into specialty audits and routine audits conducted in-state and out-of-state. The Section works directly with taxpayers to verify the accuracy of taxes reported, and to identify and correct improper reporting.

The Office of Assessment and Review subprogram consists of five sections whose primary functions include the review and audit of tax returns received by the Division of Taxation. This Office is also responsible for the maintenance of the Division's rules and regulations as well as educating taxpayers through business outreach seminars, consultation visits and speaking engagements.

The Employer Tax subprogram operates Rhode Island's Unemployment Insurance (UI), Temporary Disability Insurance (TDI) and Job Development Fund (JDF) tax receipt programs. This includes the registration and termination of employer accounts, the processing of all related tax payments, the maintenance of all financial transaction records, employer auditing program and accounts receivable collection program. Included in these activities are monitoring programs to ensure compliance with federal and state mandates and processing of all administrative appeals initiated by employers. The funds collected are used to pay unemployment claims as well as TDI claims.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Division of Taxation within the Department of Revenue.

The Budget

Department Of Revenue Taxation

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Tax Administrator	901,530	667,453	683,168	830,571	1,278,213
Tax Processing Division	4,430,561	4,473,130	4,585,920	4,507,425	4,414,851
Compliance and Collection	3,150,609	3,072,663	3,564,838	3,104,503	3,820,069
Field Audit	5,804,283	6,152,619	6,296,243	5,973,415	6,059,577
Assessment and Review	3,064,079	3,138,266	2,940,914	3,212,826	2,900,866
Employer Tax	3,002,068	2,969,403	3,028,205	3,103,584	3,124,994
Total Expenditures	\$20,353,130	\$20,473,534	\$21,099,288	\$20,732,324	\$21,598,570
Expenditures By Object					
Personnel	18,301,384	18,438,577	18,915,991	18,622,927	19,594,206
Operating Supplies and Expenses	1,852,745	1,996,941	2,078,124	2,004,224	1,954,791
Assistance and Grants	2,183	2,139	1,273	1,273	1,273
Subtotal: Operating Expenditures	20,156,312	20,437,657	20,995,388	20,628,424	21,550,270
Capital Purchases and Equipment	196,818	35,877	103,900	103,900	48,300
Total Expenditures	\$20,353,130	\$20,473,534	\$21,099,288	\$20,732,324	\$21,598,570
Expenditures By Funds					
General Revenue	17,091,544	17,479,762	18,027,851	17,585,508	18,430,344
Federal Funds	1,239,530	1,223,168	1,261,962	1,285,544	1,294,330
Restricted Receipts	852,759	851,141	849,626	872,059	878,210
Operating Transfers from Other Funds	236,105	-	-	-	-
Other Funds	933,192	919,463	959,849	989,213	995,686
Total Expenditures	\$20,353,130	\$20,473,534	\$21,099,288	\$20,732,324	\$21,598,570

Personnel

Department Of Revenue Taxation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
EXECUTIVE DIRECTOR (DOA)/TAX	00150A	1.0	141,624	1.0	141,624
ASSOCIATE DIRECTOR, REVENUE SERVICES	00144A	1.0	137,517	1.0	137,517
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	122,606	1.0	122,606
CHIEF OF TAX PROCESSING SERVICES	00140A	2.0	207,608	2.0	207,608
CHIEF COMPLIANCE AND COLLECTIONS	00140A	1.0	99,145	1.0	103,886
CHIEF REVENUE AGENT	00138A	8.0	761,668	9.0	868,186
CHIEF ESTATE AND GIFT TAXES	00138A	1.0	78,370	1.0	81,590
PRINCIPAL REVENUE AGENT	00831A	18.0	1,352,054	17.0	1,287,206
SUPERVISING REVENUE OFFICER	00831A	6.0	425,624	6.0	448,515
REVENUE ANALYST	00328A	1.0	70,620	1.0	70,620
SENIOR REVENUE AGENT	00328A	32.0	2,117,904	33.0	2,183,014
CHIEF IMPLEMENTATION AIDE	00128A	1.0	65,416	1.0	65,416
SPECIAL INVESTIGATION UNIT SUPERVISOR	00128A	1.0	64,259	1.0	64,259
REVENUE OFFICER SPECIAL INVESTIGATIONS	00324A	7.0	400,496	7.0	400,496
OFFICE MANAGER	00323A	1.0	57,098	1.0	57,098
TAXPAYER SERVICE SPECIALIST	00323A	5.0	272,845	5.0	274,723
SUPERVISING PREAUDIT CLERK	00321A	1.0	52,852	1.0	52,852
REVENUE AGENT II	00326A	11.0	572,331	11.0	582,288
TAX EXAMINER (DOA)	00321A	6.0	293,028	6.0	294,036
REVENUE OFFICER	00321A	7.0	337,380	7.0	339,587
REVENUE AGENT I	00324A	21.0	993,763	20.0	965,333
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	46,490	1.0	47,918
TAXPAYER ASSISTANCE REPRESENTATIVE	00318A	2.0	91,006	2.0	91,335
TAX AIDE II	00318A	19.0	852,993	19.0	855,431
CUSTOMER SERVICE SPECIALIST I	00315A	1.0	44,867	1.0	44,867
REVENUE OFFICER II	00322A	6.0	256,683	8.0	348,141
IMPLEMENTATION AIDE	00122A	1.0	41,271	1.0	42,456
STOREKEEPER	00315A	1.0	41,128	1.0	41,128
TAX AIDE I	00316A	20.0	814,728	20.0	819,885
REVENUE OFFICER I	00320A ¹	12.0	476,202	17.0	685,421
TAX INVESTIGATOR	00320A	4.0	158,562	4.0	162,404
DATA ENTRY OPERATOR	00310A	3.0	106,851	3.0	107,314
SENIOR CLERK-TYPIST	00309A	5.0	156,211	5.0	158,412
Subtotal		208.0	\$11,711,200	215.0	\$12,153,172

Personnel

Department Of Revenue Taxation

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Overtime		-	145,500	-	145,500
Temporary and Seasonal		-	151,382	-	151,382
Turnover		-	(644,116)	-	(587,207)
Subtotal		-	(\$347,234)	-	(\$290,325)
Total Salaries		208.0	\$11,363,966	215.0	\$11,862,847
Benefits					
Payroll Accrual			63,305		66,144
FICA			866,465		905,187
Retiree Health			797,374		795,639
Health Benefits			2,280,428		2,576,096
Retirement			2,661,645		2,813,995
Subtotal			\$6,669,217		\$7,157,061
Total Salaries and Benefits		208.0	\$18,033,183	215.0	\$19,019,908
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$85,970		\$87,761
Statewide Benefit Assessment			\$470,344		\$491,547
Payroll Costs		208.0	\$18,503,527	215.0	\$19,511,455
Purchased Services					
Clerical and Temporary Services			3,500		2,000
Management & Consultant Services			80,500		68,000
Legal Services			25,000		-
Other Contracts			10,400		12,750
Subtotal			\$119,400		\$82,750
Total Personnel		208.0	\$18,622,927	215.0	\$19,594,206
Distribution By Source Of Funds					
General Revenue		173.0	\$15,709,041	180.0	\$16,658,910
Federal Funds		14.4	\$1,189,217	14.4	\$1,198,003
Restricted Receipts		10.1	\$832,450	10.1	\$838,601
Other Funds		10.6	\$892,219	10.6	\$898,692
Total All Funds		208.0	\$18,622,927	215.0	\$19,594,206

1 For FY 2015, includes an additional 7.0 Revenue Officer I FTE positions. Also, 2.0 Revenue Officer I positions were upgraded to Revenue Officer II positions via desk audit.

Performance Measures

Department Of Revenue Taxation

Personal Income Tax Returns Filed Electronically

The Division of Taxation participates in the federal/state electronic filing program (E-File), and the number of E-Filed returns has increased each year the program has been in operation. E-Filed returns benefit both the state and the taxpayers. The state saves money on processing paper returns while taxpayers receive their refunds faster. The figures below represent the percentage of personal income tax returns filed electronically.

	2011	2012	2013	2014	2015
Target	60%	70%	78.5%	80%	82.5%
Actual	72.2%	77.3%	78.5%	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

Timeliness of Tax Refunds

Under Rhode Island General Laws (44-30-88(c)), the Division of Taxation is required to mail individual tax refunds within 90 days of due date. If the refund is not mailed within 90 days, the state must pay interest on the refund owed. Taxation has set a higher goal of sending refunds within 30 days. The data below represent the percentage of refunds mailed within 30 days.

	2011	2012	2013	2014	2015
Target	100%	100%	100%	97%	97%
Actual	98.5%	98.4%	96.1%	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

Refund Offset Collections

The Division of Taxation and various state agencies participate in a refund offset program. This program allows Taxation to offset personal income tax refunds to satisfy debts owed to other state agencies. This collection tool is low-cost and high-return for the participating agencies. The figures below represent the amount of reclaimed refund offset dollars.

	2011	2012	2013	2014	2015
Target	--	--	\$8000000	\$8400000	\$8400000
Actual	\$5755791	\$7670840	\$7484328	--	--

Performance for this measure is reported by calendar year and is current as of 10/31/2013.

Refund Offset Program - Number of Offsets

The figures below represent the total number of refund offsets conducted.

	2011	2012	2013	2014	2015
Target	--	--	22500	22500	22500
Actual	20131	23039	21810	--	--

Performance for this measure is reported by calendar year and is current as of 10/31/2013.

The Program

Department Of Revenue Registry of Motor Vehicles

Program Mission

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

Program Description

The Division of Motor Vehicles (DMV) also known as the "Registry of Motor Vehicles" is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. In addition to these responsibilities, the DMV is actively involved in the testing and training required to implement the new RIMS computer system; redesigning all business processes, documents, and communications resulting from the availability of enhanced hardware and software.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

The Budget

Department Of Revenue Registry of Motor Vehicles

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Registry of Motor Vehicles	18,207,709	18,266,854	20,817,347	24,180,882	20,916,306
Vehicle Value Commission	13,339	7,647	29,526	29,526	29,526
Total Expenditures	\$18,221,048	\$18,274,501	\$20,846,873	\$24,210,408	\$20,945,832
Expenditures By Object					
Personnel	12,759,262	12,740,709	15,026,895	15,026,355	15,081,051
Operating Supplies and Expenses	4,452,838	4,932,540	5,107,093	5,463,998	5,292,539
Assistance and Grants	1,526	2,324	3,650	2,650	2,650
Subtotal: Operating Expenditures	17,213,626	17,675,573	20,137,638	20,493,003	20,376,240
Capital Purchases and Equipment	959,174	535,892	652,235	3,654,405	506,592
Operating Transfers	48,248	63,036	57,000	63,000	63,000
Total Expenditures	\$18,221,048	\$18,274,501	\$20,846,873	\$24,210,408	\$20,945,832
Expenditures By Funds					
General Revenue	17,229,010	17,441,705	18,895,421	18,984,606	19,276,813
Federal Funds	232,649	728,444	1,786,689	2,725,206	1,654,256
Restricted Receipts	354,924	3,750	14,763	2,200,596	14,763
Operating Transfers from Other Funds	404,465	100,602	150,000	300,000	-
Total Expenditures	\$18,221,048	\$18,274,501	\$20,846,873	\$24,210,408	\$20,945,832

Personnel

Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT MOTOR VEHICLES ADMINISTRATOR	00140A	1.0	113,341	1.0	113,341
ASSOCIATE DIRECTOR REVENUE SERVICE (MOTOR	00144A	1.0	108,288	1.0	112,994
ASSISTANT MOTOR VEHICLES ADMINISTRATOR	00140A	1.0	106,314	1.0	106,314
CHIEF MOTOR VEHICLE SAFETY AND EMISSION	00135A	1.0	90,857	1.0	92,851
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	88,921	1.0	88,921
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	86,317	1.0	86,317
DEPUTY MOTOR VEHICLES ADMINISTRATOR	00139A	1.0	83,476	1.0	87,964
COORDINATOR, MOTOR CARRIER & SCHOOL BUS	00133A	1.0	81,693	1.0	81,693
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	1.0	78,110	1.0	80,972
CHIEF OFFICE OF ENFORCEMENT AND	00137A	1.0	73,830	1.0	76,528
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	69,373	1.0	71,906
SUPERVISOR COMPUTER OPERATIONS	03328A	1.0	68,990	1.0	68,990
CHIEF, DIVISION OF SAFETY RESPONSIBILITY	03331A	1.0	68,287	1.0	70,687
SENIOR COMMUNITY DEVELOPMENT TRAINING	00326A	1.0	62,960	1.0	62,960
CHIEF IMPLEMENTATION AIDE	00128A	3.0	185,555	3.0	187,432
CHIEF IMPLEMENTATION AIDE	03328A	1.0	58,989	1.0	58,989
MOTOR VEHICLE APPEALS OFFICER	00324A	10.0	569,607	10.0	572,788
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A ²	1.0	54,713	1.0	56,682
SUPERVISOR, MOTOR VEHICLE CUSTOMER	03324A	2.0	105,662	2.0	105,662
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	52,523	1.0	54,087
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS.	00125A	1.0	51,774	1.0	54,328
FISCAL MANAGEMENT OFFICER	03326A	1.0	51,453	1.0	51,836
MOTOR VEHICLE INVESTIGATOR	00320A	4.0	201,228	4.0	202,342
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	50,290	1.0	50,290
ADMINISTRATIVE OFFICER	00124A	1.0	49,827	1.0	49,827
LICENSE INVESTIGATOR	00322A	2.0	99,130	2.0	100,530
EXECUTIVE ASSISTANT	00118A	1.0	47,423	1.0	47,423
SUPERVISOR OF BRANCH OFFICE SERVICES	03322A	7.0	331,876	7.0	334,521
SENIOR TELLER	00318A	4.0	178,609	4.0	178,609
AUTOMOTIVE AND EMISSION CONTROL	00317A	4.0	175,889	4.0	175,889
TELLER	00315A	1.0	42,998	1.0	42,998
SENIOR RESEARCH TECHNICIAN	00123A	1.0	42,562	1.0	43,808
DATA CONTROL CLERK	00315A	3.0	126,463	3.0	128,249
AUTOMOTIVE SERVICE SPECIALIST	00318A	4.0	167,942	4.0	168,462
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	54.0	2,243,680	56.0	2,342,893
PRINCIPAL CLERK-STENOGRAPHER	00313A	2.0	79,001	2.0	79,001
STOREKEEPER	00315A	1.0	38,876	1.0	39,579
INFORMATION AIDE	00315A	3.0	114,867	3.0	117,696
CLERK-TYPIST	00307A	1.0	38,176	1.0	38,176
MOTOR VEHICLE OPERATOR EXAMINER	00316A	10.0	381,410	10.0	386,218
LICENSING AIDE	00315A	2.0	76,249	2.0	77,624
INTERPRETER (SPANISH)	00316A	2.0	75,312	2.0	76,069
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	17.0	631,898	15.0	567,840
DATA ENTRY OPERATOR	00310A	1.0	36,784	1.0	36,784
PRINCIPAL CLERK	00312A	2.0	73,382	2.0	73,382

Personnel

Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
PRINCIPAL CLERK-TYPIST	00312A	2.0	73,035	2.0	73,583
SENIOR CLERK-TYPIST	00309A	1.0	36,143	1.0	36,143
TELEPHONE OPERATOR	00310A	2.0	70,898	2.0	71,371
STORES CLERK	00309A	1.0	34,500	1.0	34,500
SENIOR CLERK	00308A	1.0	33,889	1.0	33,889
SENIOR WORD PROCESSING TYPIST	00312A	2.0	65,809	2.0	66,900
Subtotal		171.0	\$7,929,179	171.0	\$8,018,838
Overtime		-	275,000	-	275,000
Turnover		-	(293,636)	-	(194,159)
Subtotal		-	(\$18,636)	-	\$80,841
Total Salaries		171.0	\$7,910,543	171.0	\$8,099,679
Benefits					
Payroll Accrual			43,765		44,845
Holiday			6,000		6,000
FICA			605,629		620,093
Retiree Health			556,221		544,554
Health Benefits			1,874,864		2,052,213
Retirement			1,857,712		1,926,016
Subtotal			\$4,944,191		\$5,193,721
Total Salaries and Benefits		171.0	\$12,854,734	171.0	\$13,293,400
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$75,174		\$77,739
Statewide Benefit Assessment			\$324,501		\$332,538
Payroll Costs		171.0	\$13,179,235	171.0	\$13,625,938
Purchased Services					
Information Technology			1,636,300		1,207,343
Other Contracts			190,280		193,280
Buildings and Ground Maintenance			20,540		19,540
Training and Educational Services			-		34,950
Subtotal			\$1,847,120		\$1,455,113
Total Personnel		171.0	\$15,026,355	171.0	\$15,081,051
Distribution By Source Of Funds					
General Revenue		170.5	\$13,356,108	170.5	\$13,804,578
Federal Funds		0.5	\$1,670,247	0.5	\$1,276,473
Total All Funds		171.0	\$15,026,355	171.0	\$15,081,051

2 New FTE position for FY 2014 and FY 2015.

Performance Measures

Department Of Revenue Registry of Motor Vehicles

Wait Time - License

The Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. License transactions include new driver's licenses, identification cards, and out-of-state transfers. The figures below represent the average wait time for license transactions at DMV's Cranston headquarters.

	2011	2012	2013	2014	2015
Target	--	--	20 Minutes	20 Minutes	20 Minutes
Actual	--	70 Minutes	37 Minutes	36 Minutes	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Wait Time - Registration

Registration transactions include new and transfer registration, re-registration, and surviving spouse vehicle registration. The figures below represent the average wait time for vehicle registration transactions at DMV's Cranston headquarters.

	2011	2012	2013	2014	2015
Target	--	--	20 Minutes	20 Minutes	20 Minutes
Actual	--	70 Minutes	56 Minutes	51 Minutes	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Wait Time - Commercial Driver's License

Commercial Driver's License (CDL) transactions include the issuance of new and renewal commercial driver's licenses. The figures below represent the average wait time for CDL transactions at DMV's Cranston headquarters.

	2011	2012	2013	2014	2015
Target	--	--	30 Minutes	30 Minutes	20 Minutes
Actual	--	36 Minutes	34 Minutes	33 Minutes	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Blue Return Tickets

Customers who wait in line but are unable to complete their transaction at the time of service are given a return ticket, known as a "Blue Ticket." The DMV is working to reduce the number of Blue Tickets distributed by better communicating what documentation customers need to complete their transaction. The figures below represent the number of blue ticket transactions at DMV's Cranston headquarters.

	2011	2012	2013	2014	2015
Target	--	--	33000	30000	30000
Actual	--	33191	34168	8866	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

The Program

Department Of Revenue State Aid

Program Mission

To annually calculate and distribute state aid to qualifying cities and towns.

Program Description

The following state aid programs are administered within the Department of Revenue:

The Distressed Communities Relief Fund (RIGL 45-13-12): Established to provide assistance to the communities with the highest property tax burdens relative to the wealth of taxpayers.

The Motor Vehicle Excise Tax Phase-Out (RIGL 44-34.1): Established to phase out the property tax on motor vehicles and trailers. For FY 2011 and thereafter, the General Assembly enacted legislation that mandates a \$500 exemption per vehicle for which the State will reimburse municipalities an amount subject to appropriation.

The Payment in Lieu of Taxes - Exempt Property (RIGL 45-13-5.1): This program reimburses communities for 27 percent of what they would have collected in property taxes from certain designated tax-exempt properties. The program was fully funded in FY 2007. Since FY 2008, the State appropriation for the PILOT program has equaled less than 27 percent of all taxes that would have been collected had the property been taxable.

The Property Revaluation Program (RIGL 44-5-11.6(c)): Reimburses cities and town for legislatively mandated statistical revaluation updates on a per parcel basis.

The Municipal Incentive Aid Program (RIGL 45-13.2-4): To encourage municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Municipalities are eligible to receive aid based on meeting certain criteria including adoption of a Funding Improvement Plan (FIP).

The Public Service Corporation Tax (RIGL 44-13-13): The tangible personal property of telegraph, cable and telecommunications corporations and express corporations is exempt from local taxation, and instead is subject to taxation by the State. This is a pass-through tax; the revenues are not State-appropriated.

The Hotel Tax (RIGL 44-18-36.1 (b) and 42-63-1-3): The State levies a 5.0 percent gross receipts tax on charges for occupancy of any space furnished in buildings or structures with a minimum of three rooms. This tax is collected by the hotel and remitted to the State on a monthly basis (except for the City of Newport). An additional 1.0 percent local tax is also assessed and is distributed in total to the city or town where the occupancy occurred. This is a pass-through tax; the revenues are not State-appropriated.

The Meal and Beverage Tax (RIGL 44-18-18.1): A 1.0 percent gross receipts tax is applied to retail sales of meals and beverages in or from eating and/or drinking establishments. This is a pass-through tax; the revenues are not State-appropriated.

Statutory History

The Program State Aid was transferred from the General Program in the Department of Administration as part of the FY 2012 Enacted Budget.

The Budget

Department Of Revenue State Aid

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
State Aid	57,966,723	57,248,352	61,938,979	61,834,466	62,020,089
Total Expenditures	\$57,966,723	\$57,248,352	\$61,938,979	\$61,834,466	\$62,020,089
Expenditures By Object					
Aid to Local Units of Government	57,966,723	57,248,352	61,938,979	61,834,466	62,020,089
Subtotal: Operating Expenditures	57,966,723	57,248,352	61,938,979	61,834,466	62,020,089
Total Expenditures	\$57,966,723	\$57,248,352	\$61,938,979	\$61,834,466	\$62,020,089
Expenditures By Funds					
General Revenue	57,180,595	56,373,024	60,981,482	60,925,483	61,098,076
Restricted Receipts	786,128	875,328	957,497	908,983	922,013
Total Expenditures	\$57,966,723	\$57,248,352	\$61,938,979	\$61,834,466	\$62,020,089

Agency

General Assembly - Constitution

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

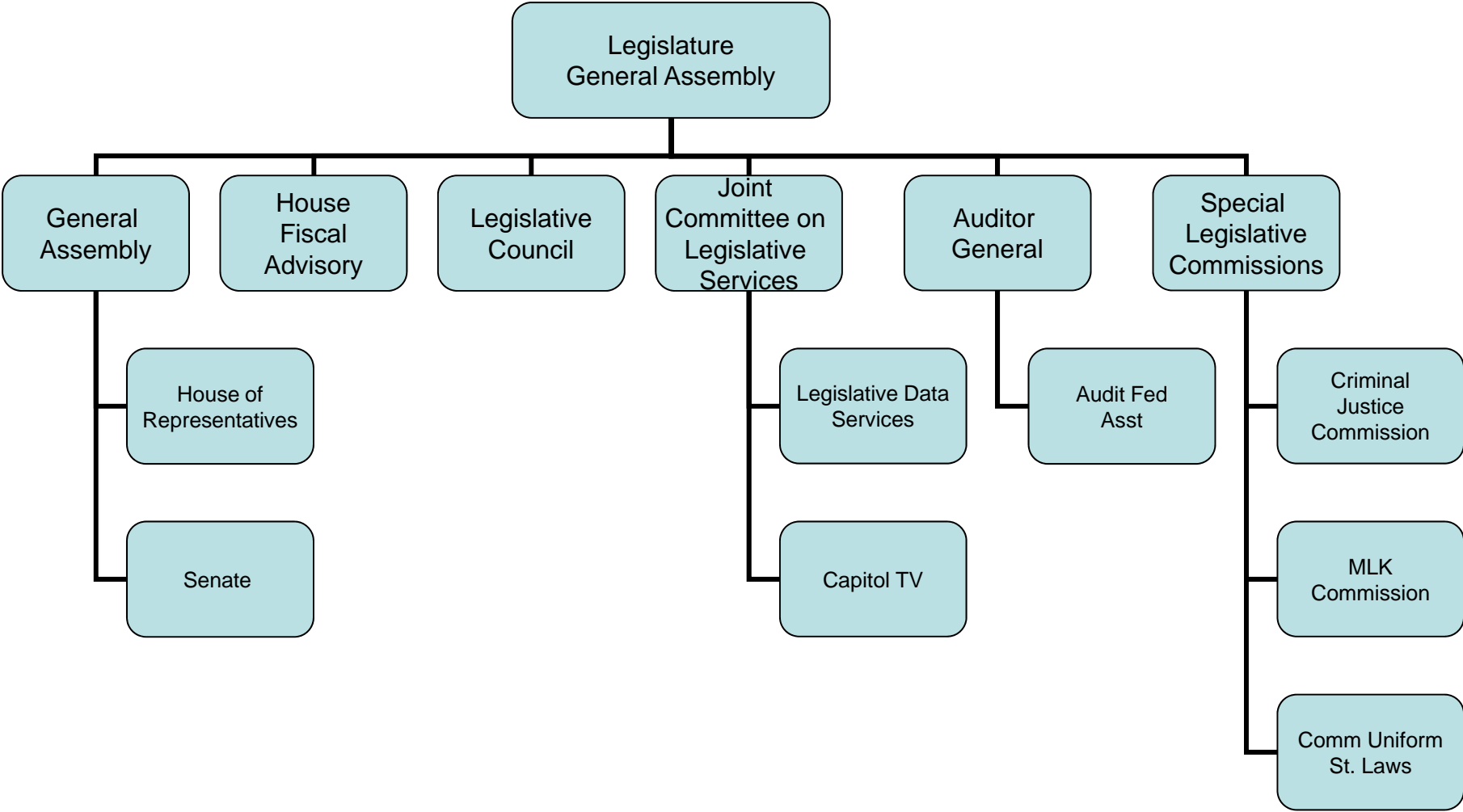
Budget

General Assembly - Constitution

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
General Assembly	5,877,913	5,185,656	5,324,933	6,486,500	5,314,598
Fiscal Advisory Staff	1,448,633	1,464,379	1,710,585	1,677,144	1,730,384
Legislative Council	4,145,419	4,036,512	4,518,608	4,458,459	4,694,111
Joint Comm. on Legislative Services	19,182,885	21,629,875	20,914,581	22,395,415	21,009,636
Auditor General	4,664,711	4,721,156	5,308,941	5,190,799	5,254,121
Special Legislative Commissions	7,578	7,920	13,900	13,900	13,900
Total Expenditures	\$35,327,139	\$37,045,498	\$37,791,548	\$40,222,217	\$38,016,750
Expenditures By Object					
Personnel	31,132,265	29,720,412	32,539,545	32,976,235	32,698,088
Operating Supplies and Expenses	2,292,116	2,376,671	2,682,503	3,670,718	2,765,162
Assistance and Grants	1,601,950	1,943,036	2,300,000	2,656,964	2,300,000
Subtotal: Operating Expenditures	35,026,331	34,040,119	37,522,048	39,303,917	37,763,250
Capital Purchases and Equipment	300,808	3,005,379	269,500	918,300	253,500
Total Expenditures	\$35,327,139	\$37,045,498	\$37,791,548	\$40,222,217	\$38,016,750
Expenditures By Funds					
General Revenue	33,685,084	35,681,738	36,186,933	38,654,217	36,429,671
Restricted Receipts	1,642,055	1,363,760	1,604,615	1,568,000	1,587,079
Total Expenditures	\$35,327,139	\$37,045,498	\$37,791,548	\$40,222,217	\$38,016,750
FTE Authorization	298.5	298.5	298.5	298.5	298.5

The Agency

Legislature General Assembly



Personnel

General Assembly - Constitution Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified		298.5	19,937,377	298.5	19,988,944
Subtotal		298.5	\$19,937,377	298.5	\$19,988,944
Merit Increases - Auditor General		-	20,000	-	40,000
Temporary and Seasonal		-	298,904	-	298,904
Turnover		-	(\$995,233)	-	(\$784,026)
Subtotal		-	(\$676,329)	-	(\$445,122)
Total Salaries		298.5	\$19,261,048	298.5	\$19,543,822
Benefits					
Payroll Accrual			123,709		126,739
FICA			1,453,148		1,475,199
Retiree Health			1,133,722		1,098,269
Health Benefits			4,752,468		4,942,962
Retirement			4,146,998		4,250,538
Subtotal			\$11,610,045		\$11,893,707
Total Salaries and Benefits		298.5	\$30,871,093	298.5	\$31,437,529
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$102,423		\$104,320
Statewide Benefit Assessment			\$805,793		\$817,709
Payroll Costs		298.5	\$31,676,886	298.5	\$32,255,238
Purchased Services					
Information Technology			322,000		85,000
Clerical and Temporary Services			33,000		37,000
Management & Consultant Services			654,000		75,500
Legal Services			285,000		240,000
Other Contracts			1,850		1,850
Buildings and Ground Maintenance			3,500		3,500
Subtotal			\$1,299,350		\$442,850
Total Personnel		298.5	\$32,976,235	298.5	\$32,698,088
Distribution By Source Of Funds					
General Revenue		298.5	\$31,553,977	298.5	\$31,256,372
Restricted Receipts		-	\$1,422,258	-	\$1,441,716
Total All Funds		298.5	\$32,976,235	298.5	\$32,698,088

The Program

General Assembly - Constitution General Assembly

Program Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Program Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

The Budget

General Assembly - Constitution General Assembly

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	5,877,913	5,185,656	5,324,933	6,486,500	5,314,598
Total Expenditures	\$5,877,913	\$5,185,656	\$5,324,933	\$6,486,500	\$5,314,598
Expenditures By Object					
Personnel	4,695,524	3,388,946	4,020,039	4,100,399	3,858,448
Operating Supplies and Expenses	1,126,576	993,514	1,244,394	1,959,101	1,395,650
Subtotal: Operating Expenditures	5,822,100	4,382,460	5,264,433	6,059,500	5,254,098
Capital Purchases and Equipment	55,813	803,196	60,500	427,000	60,500
Total Expenditures	\$5,877,913	\$5,185,656	\$5,324,933	\$6,486,500	\$5,314,598
Expenditures By Funds					
General Revenue	5,877,913	5,185,656	5,324,933	6,486,500	5,314,598
Total Expenditures	\$5,877,913	\$5,185,656	\$5,324,933	\$6,486,500	\$5,314,598

Personnel

General Assembly - Constitution General Assembly

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	00509F	-	1,106,078	-	1,139,260
REPRESENTATIVE SPEAKER OF HOUS	00511F	-	29,895	-	30,792
SENATOR	00509F	-	553,039	-	569,630
SENATOR-PRESIDENT OF THE SENAT	00511F	-	29,895	-	30,792
Subtotal		-	\$1,718,907	-	\$1,770,474
Temporary and Seasonal		-	298,904	-	298,904
Subtotal		-	\$298,904	-	\$298,904
Total Salaries		-	\$2,017,811	-	\$2,069,378
Benefits					
Payroll Accrual			12,234		12,820
FICA			150,028		153,973
Health Benefits			1,133,572		1,214,332
Subtotal			\$1,295,834		\$1,381,125
Total Salaries and Benefits		-	\$3,313,645	-	\$3,450,503
Cost Per FTE Position (Excluding Temporary and Seasonal)			-		-
Statewide Benefit Assessment			\$73,054		\$75,245
Payroll Costs		-	\$3,386,699	-	\$3,525,748
Purchased Services					
Clerical and Temporary Services			32,500		36,500
Management & Consultant Services			475,000		60,000
Legal Services			205,000		235,000
Other Contracts			1,200		1,200
Subtotal			\$713,700		\$332,700
Total Personnel		-	\$4,100,399	-	\$3,858,448
Distribution By Source Of Funds					
General Revenue		-	\$4,100,399	-	\$3,858,448
Total All Funds		-	\$4,100,399	-	\$3,858,448

The Program

General Assembly - Constitution Fiscal Advisory Staff

Program Mission

Perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Program Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

The Budget

General Assembly - Constitution Fiscal Advisory Staff

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,448,633	1,464,379	1,710,585	1,677,144	1,730,384
Total Expenditures	\$1,448,633	\$1,464,379	\$1,710,585	\$1,677,144	\$1,730,384
Expenditures By Object					
Personnel	1,382,929	1,412,813	1,598,934	1,555,193	1,626,504
Operating Supplies and Expenses	63,082	51,566	99,651	109,951	91,880
Subtotal: Operating Expenditures	1,446,011	1,464,379	1,698,585	1,665,144	1,718,384
Capital Purchases and Equipment	2,622	-	12,000	12,000	12,000
Total Expenditures	\$1,448,633	\$1,464,379	\$1,710,585	\$1,677,144	\$1,730,384
Expenditures By Funds					
General Revenue	1,448,633	1,464,379	1,710,585	1,677,144	1,730,384
Total Expenditures	\$1,448,633	\$1,464,379	\$1,710,585	\$1,677,144	\$1,730,384

Personnel

General Assembly - Constitution Fiscal Advisory Staff

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
HOUSE FISCAL ADVISOR	07878F	1.0	153,079	1.0	153,079
DEPUTY FISCAL ADVISOR	07943F	1.0	109,997	1.0	109,997
PRINCIPAL ANALYST II	07749F	1.0	103,628	1.0	103,628
PRINCIPAL ANALYST I	07963F	1.0	99,986	1.0	99,986
SENIOR ANALYST III	07909F	1.0	85,326	1.0	85,326
SENIOR ANALYST II	07743F	1.0	81,223	1.0	81,223
SENIOR ANALYST I	07894F	1.0	73,465	1.0	73,465
ANALYST II	07792F	1.0	60,867	1.0	60,867
ANALYST II	07992F	1.0	60,867	1.0	60,867
ANALYST II	07992F	1.0	60,867	1.0	60,867
ANALYST II	07992F	1.0	60,687	1.0	60,687
ANALYST I	07722F	1.0	55,211	1.0	55,211
ADMINISTRATIVE ASSISTANT	07821F	1.0	55,076	1.0	55,076
Subtotal		13.0	\$1,060,279	13.0	\$1,060,279
Turnover		-	(76,794)	-	(31,809)
Subtotal		-	(\$76,794)	-	(\$31,809)
Total Salaries		13.0	\$983,485	13.0	\$1,028,470
Benefits					
Payroll Accrual			6,231		6,515
FICA			72,777		76,292
Retiree Health			64,162		64,293
Health Benefits			150,212		156,997
Retirement			236,528		250,227
Subtotal			\$529,910		\$554,324
Total Salaries and Benefits		13.0	\$1,513,395	13.0	\$1,582,794
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$116,415		\$121,753
Statewide Benefit Assessment			\$41,798		\$43,710
Payroll Costs		13.0	\$1,555,193	13.0	\$1,626,504
Total Personnel		13.0	\$1,555,193	13.0	\$1,626,504
Distribution By Source Of Funds					
General Revenue		13.0	\$1,555,193	13.0	\$1,626,504
Total All Funds		13.0	\$1,555,193	13.0	\$1,626,504

The Program

General Assembly - Constitution Legislative Council

Program Mission

Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries and clerical aides provides legislators with information on matters pending or to come before the Legislature. Legislative Council's principal activity is drafting of legislation for individual members.

Program Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing and duplicating of the bill and its delivery to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

The Budget

General Assembly - Constitution Legislative Council

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	4,145,419	4,036,512	4,518,608	4,458,459	4,694,111
Total Expenditures	\$4,145,419	\$4,036,512	\$4,518,608	\$4,458,459	\$4,694,111
Expenditures By Object					
Personnel	4,100,591	3,962,536	4,422,858	4,302,309	4,602,561
Operating Supplies and Expenses	44,828	73,976	84,250	127,350	80,050
Subtotal: Operating Expenditures	4,145,419	4,036,512	4,507,108	4,429,659	4,682,611
Capital Purchases and Equipment	-	-	11,500	28,800	11,500
Total Expenditures	\$4,145,419	\$4,036,512	\$4,518,608	\$4,458,459	\$4,694,111
Expenditures By Funds					
General Revenue	4,145,419	4,036,512	4,518,608	4,458,459	4,694,111
Total Expenditures	\$4,145,419	\$4,036,512	\$4,518,608	\$4,458,459	\$4,694,111

Personnel

General Assembly - Constitution Legislative Council

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR	07752F	1.0	116,891	1.0	116,891
CHIEF ASST TO DIRECTOR	07991F	1.0	115,693	1.0	115,693
LEGAL COUNSEL - PT	07792F	0.6	62,232	0.6	62,232
LEGAL COUNSEL	07818H	0.6	61,364	0.6	61,364
LEGAL COUNSEL--PT	07875F	0.6	60,950	0.6	60,950
DIRECTOR LEGISLATIVE RESEARCH / SR. POLICY	07963F	1.0	99,986	1.0	99,986
LEGAL COUNSEL--PT	07714F	0.6	59,893	0.6	59,893
LAW CLERK - PT	07822F	0.6	51,691	0.6	51,691
LEGAL COUNSEL--PT	07788F	0.6	50,682	0.6	50,682
LEGAL COUNSEL (LEGISLATIVE COU	07880F	1.0	82,882	1.0	82,882
LEGAL COUNSEL--PT	07822F	0.6	49,341	0.6	49,341
LEGAL COUNSEL	07765F	0.6	48,610	0.6	48,610
LEGAL COUNSEL	07726F	0.6	45,533	0.6	45,533
LEGIS. COORDINATOR	07728F	1.0	69,315	1.0	69,315
LEGAL COUNSEL	07734F	0.6	39,268	0.6	39,268
LEGAL COUNSEL	07734F	1.0	65,446	1.0	65,446
LEGAL COUNSEL	07734F	1.0	65,446	1.0	65,446
RESEARCHER II	07978F	1.0	58,832	1.0	58,832
LEGAL COUNSEL--PT	07757F	0.6	33,028	0.6	33,028
LEGAL COUNSEL--PT	07757F	0.6	33,028	0.6	33,028
LEGAL COUNSEL	07962F	0.6	32,527	0.6	32,527
LEGISLATIVE ANALYST	07930F	1.0	53,532	1.0	53,532
CLERICAL	07881F	1.0	53,419	1.0	53,419
CLERICAL	07805H	1.0	49,184	1.0	49,184
LEGISLATIVE AIDE	07922F	1.0	51,167	1.0	51,167
CLERICAL	07811F	1.0	50,152	1.0	50,152
CONSTITUENT LIAISON	07976F	1.0	49,393	1.0	49,393
SECRETARY	07994F	1.0	48,228	1.0	48,228
LEGAL COUNSEL--PT	07903F	0.6	28,538	0.6	28,538
LEGAL COUNSEL	07706F	0.6	28,454	0.6	28,454
CLERICAL	07869F	0.6	28,075	0.6	28,075
LEGISLATIVE AIDE	07921F	1.0	45,857	1.0	45,857
SECRETARY	07921F	1.0	45,857	1.0	45,857
LEGISLATIVE AIDE	07891F	1.0	45,516	1.0	45,516
SECRETARY	07773F	1.0	43,587	1.0	43,587
CLERICAL	07816F	1.0	42,396	1.0	42,396
LEGIS AIDE	07839H	1.0	41,922	1.0	41,922
CLERICAL	07917F	1.0	41,148	1.0	41,148
LEGISLATIVE AIDE	07895F	1.0	41,055	1.0	41,055
CLERICAL	07814F	1.0	40,763	1.0	40,763
LEGAL COUNSEL	07961F	0.6	22,929	0.6	22,929
SECRETARY	07928F	2.0	76,360	2.0	76,360
LEGIS AIDE	07877H	1.0	38,086	1.0	38,086
PROOFREADER	07938F	1.0	38,001	1.0	38,001
SECRETARY	07933F	1.0	37,854	1.0	37,854

Personnel

General Assembly - Constitution Legislative Council

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
LEGAL COUNSEL	07819F	0.6	22,640	0.6	22,640
LEGISLATIVE RESEARCHER	07907F	1.0	36,686	1.0	36,686
LEGAL COUNSEL	07777F	0.6	21,947	0.6	21,947
LEGAL COUNSEL	07777F	0.6	21,946	0.6	21,946
CLERICAL	07984F	1.0	36,185	1.0	36,185
LEGISLATIVE AIDE (LEG COUNCIL)	07933F	1.0	36,134	1.0	36,134
LEGAL COUNSEL	07983F	0.6	20,588	0.6	20,588
CLERICAL--PT	07869F	0.6	18,530	0.6	18,530
SENIOR PROOFREADER	07923F	1.0	30,360	1.0	30,360
PROOFER	07717F	1.0	28,824	1.0	28,824
PROOFER	07717F	1.0	28,824	1.0	28,824
LEGAL COUNSEL - PT	07814F	1.2	74,114	1.2	74,114
LEGAL COUNSEL PT	07976F	0.6	28,222	0.6	28,222
Subtotal		50.0	\$2,749,111	50.0	\$2,749,111
Turnover		-	(320,104)	-	(82,473)
Subtotal		-	(\$320,104)	-	(\$82,473)
Total Salaries		50.0	\$2,429,007	50.0	\$2,666,638
Benefits					
Payroll Accrual			16,945		18,441
FICA			185,461		203,650
Retiree Health			158,162		167,039
Health Benefits			744,825		779,168
Retirement			584,176		648,793
Subtotal			\$1,689,569		\$1,817,091
Total Salaries and Benefits		50.0	\$4,118,576	50.0	\$4,483,729
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$82,372		\$89,675
Statewide Benefit Assessment			\$103,233		\$113,332
Payroll Costs		50.0	\$4,221,809	50.0	\$4,597,061
Purchased Services					
Clerical and Temporary Services			500		500
Legal Services			80,000		5,000
Subtotal			\$80,500		\$5,500
Total Personnel		50.0	\$4,302,309	50.0	\$4,602,561
Distribution By Source Of Funds					
General Revenue		50.0	\$4,302,309	50.0	\$4,602,561
Total All Funds		50.0	\$4,302,309	50.0	\$4,602,561

The Program

General Assembly - Constitution Joint Comm. on Legislative Services

Program Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Program Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and is responsible for repairs to equipment and furnishings of the Legislature. All payables of the Legislature are processed by utilizing the State's RIFANS financial system.

Statutory History

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

The Budget

General Assembly - Constitution Joint Comm. on Legislative Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	19,182,885	21,629,875	20,914,581	22,395,415	21,009,636
Total Expenditures	\$19,182,885	\$21,629,875	\$20,914,581	\$22,395,415	\$21,009,636
Expenditures By Object					
Personnel	16,699,843	16,627,663	17,676,305	18,313,341	17,840,996
Operating Supplies and Expenses	647,909	857,593	772,776	1,004,610	719,140
Assistance and Grants	1,601,950	1,943,036	2,300,000	2,656,964	2,300,000
Subtotal: Operating Expenditures	18,949,702	19,428,292	20,749,081	21,974,915	20,860,136
Capital Purchases and Equipment	233,183	2,201,583	165,500	420,500	149,500
Total Expenditures	\$19,182,885	\$21,629,875	\$20,914,581	\$22,395,415	\$21,009,636
Expenditures By Funds					
General Revenue	19,182,885	21,629,875	20,914,581	22,395,415	21,009,636
Total Expenditures	\$19,182,885	\$21,629,875	\$20,914,581	\$22,395,415	\$21,009,636

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF	07834F	1.0	167,876	1.0	167,876
CHIEF OF STAFF	07834F	1.0	160,882	1.0	160,882
LEGAL COUNSEL TO THE SEN PRES.	07703F	1.0	146,937	1.0	146,937
DEP CHF OF STAFF/DIR OF POLICY	07823F	1.0	140,050	1.0	140,050
SENATE FISCAL ADVISOR	07762F	1.0	130,000	1.0	130,000
CHIEF LEGAL COUNSEL	07999F	1.0	127,373	1.0	127,373
LEGAL COUNSEL	07711F	1.0	125,775	1.0	125,775
SENIOR POLICY ANALYST	07979F	1.0	118,011	1.0	118,011
LEGAL COUNSEL -PT	07736F	0.6	69,875	0.6	69,875
LEGAL COUNSEL	07914F	1.0	116,041	1.0	116,041
EXECUTIVE DIRECTOR TO JCLS	07909F	1.0	112,623	1.0	112,623
HOUSE DIR OF COMMUNICATIONS	07731F	1.0	111,692	1.0	111,692
LEGAL COUNSEL - PT	07731F	0.6	64,916	0.6	64,916
DEP CHIEF OF STAFF/LEGISLATION	07752F	1.0	107,150	1.0	107,150
ADMINISTRATOR OF HOUSE OVERSIGHT	07963F	1.0	99,986	1.0	99,986
DIRECTOR OF IT	07898F	1.0	99,896	1.0	99,896
DIRECTOR OF HOUSE POLICY	07848F	1.0	99,823	1.0	99,823
DEPUTY FISCAL ADVISOR	07967F	1.0	97,386	1.0	97,386
SECRETARY OF THE SENATE	07743F	1.0	95,437	1.0	95,437
SENATE PARLIAMENTARIAN	00993F	0.6	56,242	0.6	56,242
EXECUTIVE DIRECTOR	07940F	1.0	93,682	1.0	93,682
DEPUTY DIRECTOR IT	07854F	1.0	92,648	1.0	92,648
SENIOR LEGAL COUNSEL	07906F	1.0	92,399	1.0	92,399
DEPUTY CHIEF OF STAFF	07792F	1.0	90,755	1.0	90,755
LEGAL COUNSEL	07999F	1.0	90,458	1.0	90,458
SECRETARY	07854F	1.0	88,436	1.0	88,436
SPEC ASST TO MAJORITY LEADER	07701F	1.0	88,112	1.0	88,112
HOUSE READING CLERK	07820F	0.9	75,086	0.9	75,086
LEGISLATIVE PERSONNEL ADMIN	07822F	1.0	86,347	1.0	86,347
SENIOR FINANCIAL OFFICER	07894F	1.0	86,321	1.0	86,321
EXECUTIVE ASSISTANT	07721F	1.0	84,824	1.0	84,824
ADMINISTRATIVE ASSISTANT	07721F	1.0	83,057	1.0	83,057
SUPERVISOR LEGISLATIVE PRESS	07797F	1.0	82,749	1.0	82,749
HOUSE PARLIAMENTARIAN-LEG COUN	07768F	0.6	49,019	0.6	49,019
ADMINISTRATIVE ASSISTANT	07997F	1.0	81,222	1.0	81,222
EXECUTIVE ASSISTANT	07783F	1.0	80,543	1.0	80,543
DIRECTOR OF CAPITOL TV	07716F	1.0	80,274	1.0	80,274
DIRECTOR OF CONSTITUENT SERVICES	07915F	1.0	79,609	1.0	79,609
SR DATA/ SYSTEM SPECIALIST	07997F	1.0	79,530	1.0	79,530
ASST DIRECTOR OF LAW REVISION	07915F	0.7	54,589	0.7	54,589
SR. LEGIS. FISCAL ANALYST	07799F	1.0	78,923	1.0	78,923
ADMINISTRATIVE ASSISTANT	07716F	1.0	78,566	1.0	78,566
SUPERVISING SVS ADMINISTRATOR	07896F	1.0	76,111	1.0	76,111
LEGAL COUNSEL	07916F	0.6	45,643	0.6	45,643
SENIOR PROGRAMMER	07771F	1.0	75,336	1.0	75,336

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
DEPUTY DIRECTOR OF POLICY	07768F	1.0	74,594	1.0	74,594
DIRECTOR OF COMMUNICATIONS	07721F	1.0	74,221	1.0	74,221
CONSTITUENT LIAISON	07738F	1.0	73,763	1.0	73,763
CLERK	07747F	1.0	72,426	1.0	72,426
EXECUTIVE ASSISTANT	07734F	1.0	71,991	1.0	71,991
PROGRAM COORDINATOR	07721F	1.0	70,687	1.0	70,687
LEGISLATIVE GRANTS COORDINATOR	07865F	1.0	70,413	1.0	70,413
LEGAL COUNSEL/SPECIAL PROJECTS	07911F	1.0	70,207	1.0	70,207
TELEVISION MAINTENANCE ENGINEER	07771F	1.0	75,336	1.0	75,336
SR. PRODUCER/DIRECTOR	07729F	1.0	70,145	1.0	70,145
CHIEF OF STAFF	07871F	1.0	70,087	1.0	70,087
DPTY DIR OF CONSTITUENT SERVIC	07916F	1.0	69,456	1.0	69,456
LEGAL COUNSEL	07734F	0.6	41,231	0.6	41,231
IT TECHNICAL SPECIALIST II	07710F	1.0	68,627	1.0	68,627
ASSISTANT TO ADMINISTRATOR	07747F	1.0	67,803	1.0	67,803
HOUSE CLERK PT.	07748F	0.6	40,529	0.6	40,529
FISCAL ANALYST II	07893F	0.6	39,928	0.6	39,928
POLICY ANALYST II	07893F	1.0	66,546	1.0	66,546
ADMINISTRATIVE ASSISTANT	07708F	1.0	66,227	1.0	66,227
LEGISLATIVE FISCAL ANALYST II	07738F	1.0	64,885	1.0	64,885
DIRECTOR OF SENATE SERVICES	07826F	1.0	64,289	1.0	64,289
IT TECHNICAL SPECIALIST II	07871F	1.0	64,247	1.0	64,247
SECRETARY	07925F	1.0	63,746	1.0	63,746
SENIOR POLICY ANALYST	07996F	1.0	62,138	1.0	62,138
FISCAL ANALYST II	07738F	1.0	61,795	1.0	61,795
FISCAL ANALYST II	07738F	1.0	61,795	1.0	61,795
FISCAL ANALYST II	07738F	1.0	61,795	1.0	61,795
OPERATIONS SUPERVISOR	07864F	1.0	61,765	1.0	61,765
LEGISLATIVE FINANCIAL OFFICER	07992F	1.0	60,867	1.0	60,867
COMPUTER OPERATOR-PT	07942F	0.6	36,379	0.6	36,379
DIRECTOR OF COMMUNICATIONS	07863F	1.0	60,451	1.0	60,451
SENIOR POLICY ANALYST	07804F	1.0	60,247	1.0	60,247
SECRETARY	07856F	1.0	60,099	1.0	60,099
CHIEF OF STAFF HOUSE MINORITY	07916F	1.0	60,044	1.0	60,044
SUPERVISOR OF OPERATIONS	07936F	1.0	58,841	1.0	58,841
PRESS ROOM FOREMAN	07882F	1.0	57,946	1.0	57,946
SENIOR DATA ANALYST	07951F	1.0	57,913	1.0	57,913
SUPERVISOR VET'S AFFAIRS OFFI	07908F	1.0	57,607	1.0	57,607
POLICY ANALYST I	00868H	0.6	34,309	0.6	34,309
LEGISLATIVE ASSISTANT	07994F	1.0	55,462	1.0	55,462
LEGIS AIDE	07876F	1.0	55,027	1.0	55,027
SENIOR POLICY ANALYST	07708F	1.0	54,903	1.0	54,903
POLICY ANALYST	07818F	1.0	54,738	1.0	54,738
SECRETARY	07955F	1.0	54,627	1.0	54,627
CONSTITUENT LIAISON	07812F	1.0	54,607	1.0	54,607
LEGISLATIVE ASST --PT	07755F	0.6	32,754	0.6	32,754

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
LEGAL COUNSEL	07886F	0.6	32,751	0.6	32,751
LEGAL COORDINATOR	07709F	1.0	54,249	1.0	54,249
SECRETARY	07857F	1.0	54,163	1.0	54,163
LEGISLATIVE ASSISTANT	07790F	1.0	54,080	1.0	54,080
SECRETARY	07989F	1.0	53,775	1.0	53,775
POLICY ANALYST	07723F	1.0	53,717	1.0	53,717
POLICY ANALYST II	07735F	1.0	53,605	1.0	53,605
PUBLICIST	07930F	1.0	53,532	1.0	53,532
SR. PRESS OPERATOR	07891F	1.0	53,481	1.0	53,481
LEGISLATIVE ASSISTANT	07847F	1.0	52,926	1.0	52,926
HOUSE FINANCE POLICY ASSISTANT	07876F	1.0	52,407	1.0	52,407
LEGISLATIVE ASSISTANT	07937F	1.0	52,407	1.0	52,407
LEGISLATIVE COORDINATOR	07937F	1.0	52,407	1.0	52,407
ASSISTANT COMPUTER OPERATOR	07951F	1.0	51,752	1.0	51,752
ASSISTANT ENGINEER	07859F	1.0	51,491	1.0	51,491
LEGISLATIVE COORDINATOR	07922F	1.0	51,167	1.0	51,167
TV TECHNICIAN II	07730F	1.0	50,921	1.0	50,921
ADMINISTRATIVE ASSIST.	07973F	1.0	50,888	1.0	50,888
PUBLICIST	07994F	1.0	50,639	1.0	50,639
ADMINISTRATIVE ASSISTANT	07799F	1.0	50,449	1.0	50,449
ADMINISTRATION ASSISTANT	07779F	1.0	50,387	1.0	50,387
POLICY ANALYST	07897F	1.0	50,387	1.0	50,387
JR PROGRAM ANALYST	07821F	1.0	50,069	1.0	50,069
POLICY ANALYST	07935F	1.0	49,849	1.0	49,849
CONST. SERVICES CASEWORKER	07946F	1.0	49,482	1.0	49,482
SECRETARY	07902F	1.0	49,278	1.0	49,278
TV TECHNICIAN II	07944F	1.0	49,265	1.0	49,265
POLICY ANALYST/COMM ASSISTANT	07887F	1.0	49,136	1.0	49,136
ADMINISTRATIVE ASSISTANT	07922F	1.0	48,841	1.0	48,841
CONSTITUENT LIAISON	00973F	1.0	48,575	1.0	48,575
CLERICAL	07920F	1.0	48,315	1.0	48,315
ADMINISTRATIVE ASSISTANT - PT	07949F	0.8	38,576	0.8	38,576
DATA ANALYST	07786F	1.0	48,070	1.0	48,070
DATA ANALYST	07824F	1.0	48,008	1.0	48,008
CONSTITUENT SERVICES LIAISON	07887F	0.6	28,080	0.6	28,080
CONSTITUENT SERVICES LIAISON-PT	07887F	0.6	28,080	0.6	28,080
LEGISLATIVE AIDE	07932F	1.0	46,630	1.0	46,630
TV DIRECTOR	07990F	1.0	46,529	1.0	46,529
ADMINISTRATIVE AIDE/CLERK	07922F	1.0	46,515	1.0	46,515
SECRETARY/CLERK	07802F	1.0	46,514	1.0	46,514
SECRETARY	07764F	1.0	45,980	1.0	45,980
PRESS OPERATOR	07983F	1.0	45,917	1.0	45,917
PRESS OPERATOR	07782F	2.0	91,834	2.0	91,834
LEGAL COUNSEL	07843F	0.6	27,455	0.6	27,455
T.V. TECHNICIAN	07819F	1.0	45,563	1.0	45,563
LEGISLATIVE ASSISTANT	07848H	0.6	27,258	0.6	27,258

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
LEGISLATIVE AIDE	07892F	1.0	45,006	1.0	45,006
SENATE SERVICES ASSISTANT	07892F	1.0	45,006	1.0	45,006
COORDINATOR	07789F	1.0	44,843	1.0	44,843
T. V. TECHNICIAN	07789F	1.0	44,843	1.0	44,843
ADMINISTRATIVE ASSISTANT	07719F	1.0	44,426	1.0	44,426
SECRETARY	07713F	1.0	44,330	1.0	44,330
LEGISLATIVE AIDE	07857F	0.6	26,341	0.6	26,341
CLERK- LABOR COMMITTEE	07974F	0.6	26,303	0.6	26,303
LEGISLATIVE ASSISTANT	07773F	1.0	43,587	1.0	43,587
TV TECHNICIAN	07773F	1.0	43,587	1.0	43,587
SPECIAL PROJECT COORDINATOR	07819F	0.6	26,036	0.6	26,036
LEGAL COUNSEL	07859F	0.6	25,746	0.6	25,746
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	42,842	1.0	42,842
LEGISLATIVE PROJECT COORDINATO	07853F	1.0	42,602	1.0	42,602
CONSTITUENT LIASON	07903F	1.0	42,503	1.0	42,503
LEGISLATIVE AIDE	07913F	1.0	42,395	1.0	42,395
RESEARCH ASSISTANT	07719F	1.0	42,310	1.0	42,310
TV TECHNICIAN II	07924F	1.0	41,433	1.0	41,433
SECRETARY	07883F	1.0	41,177	1.0	41,177
LEGISLATIVE AIDE	07872F	1.0	40,574	1.0	40,574
PUBLICIST	07802H	1.0	40,553	1.0	40,553
LEGISLATIVE ASSISTANT	07801H	0.6	24,231	0.6	24,231
LEGAL COUNSEL -PT	07719F	0.6	24,178	0.6	24,178
OVERSIGHT ANALYST	07786F	1.0	40,058	1.0	40,058
OVERSIGHT ANALYST	07786F	1.0	40,058	1.0	40,058
LEGISLATIVE AIDE	07782F	1.0	39,928	1.0	39,928
LEGAL COUNSEL	07941F	0.6	23,944	0.6	23,944
LEGISLATIVE AIDE	07958F	1.0	39,374	1.0	39,374
ADMINISTRATIVE ASSISTANT	07760F	1.0	39,305	1.0	39,305
LEGAL COUNSEL	07760F	0.6	23,583	0.6	23,583
LEGISLATIVE ASSISTANT	07758F	1.0	39,176	1.0	39,176
SECRETARY	07814F	1.0	38,910	1.0	38,910
LEGISLATIVE ASSISTANT	07858F	1.0	37,711	1.0	37,711
LEGISLATIVE AIDE	07712F	1.0	37,184	1.0	37,184
COMPUTER TECHNICIAN	07820H	0.4	15,701	0.4	15,701
TV TECHNICIAN I	07938F	1.0	36,273	1.0	36,273
LEGISLATIVE AIDE	07984F	1.0	36,185	1.0	36,185
SECRETARY	07984F	1.0	36,185	1.0	36,185
SECRETARY	07984F	1.0	36,185	1.0	36,185
SECRETARY	07984F	1.0	36,185	1.0	36,185
SECRETARY	07984F	1.0	36,185	1.0	36,185
SECRETARY/RECEPTIONIST	07984F	0.6	21,711	0.6	21,711
LEGIS. AIDE	07988F	1.0	36,066	1.0	36,066
LEGISLATIVE AIDE	07807F	1.0	35,187	1.0	35,187
LEGISLATIVE ASSISTANT	07907F	1.0	34,939	1.0	34,939
LEGAL COUNSEL - PT	07874F	0.6	20,704	0.6	20,704

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
LEGISLATIVE ASISTANT	07933F	1.0	34,413	1.0	34,413
SECRETARY	07782F	1.0	34,392	1.0	34,392
COMMUNICATIONS ASSISTANT	07846F	1.0	34,313	1.0	34,313
SECRETARY	07877F	1.0	33,442	1.0	33,442
LEGISLATIVE AIDE	07926F	1.6	53,464	1.6	53,464
LEGISLATIVE AIDE	07926F	1.0	33,415	1.0	33,415
LEGISLATIVE ASSISTANT	07926F	1.0	33,415	1.0	33,415
LEGISLATIVE AIDE-PT	07874F	0.7	23,629	0.7	23,629
CLERICAL	07840H	0.6	19,228	0.6	19,228
ADMINISTRATIVE AIDE	07809F	1.0	31,978	1.0	31,978
LEGISLATIVE AIDE	07926F	0.6	19,094	0.6	19,094
ADMINISTRATIVE AIDE	07945F	1.0	31,195	1.0	31,195
LEGISLATIVE AIDE	07945F	0.6	17,825	0.6	17,825
CLERICAL	07875H	1.0	25,345	1.0	25,345
LEGAL COUNSEL PT	07976F	1.0	25,299	1.0	25,299
LEGISLATIVE ASSISTANT	07810F	0.6	14,780	0.6	14,780
CAMERA OPERATOR	07810F	1.0	23,457	1.0	23,457
LEGISLATIVE AIDE	07810F	1.0	23,457	1.0	23,457
LEGISLATIVE AIDE	07810F	1.0	23,457	1.0	23,457
Subtotal		190.1	\$11,466,290	190.1	\$11,466,290
Turnover		-	(598,335)	-	(669,744)
Subtotal		-	(\$598,335)	-	(\$669,744)
Total Salaries		190.1	\$10,867,955	190.1	\$10,796,546
Benefits					
Payroll Accrual			71,358		71,916
FICA			820,292		815,164
Retiree Health			712,887		675,785
Health Benefits			2,267,717		2,298,931
Retirement			2,613,743		2,626,800
Subtotal			\$6,485,997		\$6,488,596
Total Salaries and Benefits		190.1	\$17,353,952	190.1	\$17,285,142
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$91,293		\$90,931
Statewide Benefit Assessment			\$461,889		\$458,853
Payroll Costs		190.1	\$17,815,841	190.1	\$17,743,995

Personnel

General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Purchased Services					
Information Technology			322,000		85,000
Management & Consultant Services			172,000		8,500
Buildings and Ground Maintenance			3,500		3,500
Subtotal			\$497,500		\$97,000
Total Personnel		190.1	\$18,313,341	190.1	\$17,840,996
Distribution By Source Of Funds					
General Revenue		190.1	\$18,313,341	190.1	\$17,840,996
Total All Funds		190.1	\$18,313,341	190.1	\$17,840,996

The Program

General Assembly - Constitution Auditor General

Program Mission

Provides independent audits, accounting, and evaluation of State Government programs to the General Assembly for its legislative oversight and capability.

Program Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established as a separate agency in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13-1.

The Budget

General Assembly - Constitution Auditor General

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	4,664,711	4,721,156	5,308,941	5,190,799	5,254,121
Total Expenditures	\$4,664,711	\$4,721,156	\$5,308,941	\$5,190,799	\$5,254,121
Expenditures By Object					
Personnel	4,253,378	4,328,454	4,821,409	4,704,993	4,769,579
Operating Supplies and Expenses	402,143	392,102	467,532	455,806	464,542
Subtotal: Operating Expenditures	4,655,521	4,720,556	5,288,941	5,160,799	5,234,121
Capital Purchases and Equipment	9,190	600	20,000	30,000	20,000
Total Expenditures	\$4,664,711	\$4,721,156	\$5,308,941	\$5,190,799	\$5,254,121
Expenditures By Funds					
General Revenue	3,022,656	3,357,396	3,704,326	3,622,799	3,667,042
Restricted Receipts	1,642,055	1,363,760	1,604,615	1,568,000	1,587,079
Total Expenditures	\$4,664,711	\$4,721,156	\$5,308,941	\$5,190,799	\$5,254,121

Personnel

General Assembly - Constitution

Auditor General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
AUDITOR GENERAL	07703F	1.0	150,064	1.0	150,064
SENIOR AUDIT MANAGER	07752F	1.0	112,020	1.0	112,020
SENIOR AUDIT MANAGER	07792F	1.0	108,906	1.0	108,906
SENIOR AUDIT MANAGER	07906F	1.0	105,599	1.0	105,599
AUDIT MANAGER	00985F	1.0	98,680	1.0	98,680
AUDIT MANAGER	07743F	1.0	93,406	1.0	93,406
AUDIT MANAGER	07788F	1.0	90,622	1.0	90,622
LEGAL COUNSEL	00850F	0.6	53,917	0.6	53,917
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	85,326	1.0	85,326
TRNG DIR/MUNICIPAL TRNG LIAISO	00784F	1.0	81,997	1.0	81,997
SUPERVISING IT AUDITOR	07997F	1.0	81,222	1.0	81,222
SUPERVISING AUDITOR	07716F	0.8	63,975	0.8	63,975
SUPERVISING AUDITOR	07771F	1.0	79,103	1.0	79,103
SUPERVISING AUDITOR	07833F	1.0	79,063	1.0	79,063
SUPERVISING AUDITOR	07799F	1.0	78,924	1.0	78,924
SUPERVISING AUDITOR	07915F	1.0	75,991	1.0	75,991
PRINCIPAL IT AUDITOR	07725F	1.0	74,454	1.0	74,454
PRINCIPAL AUDITOR	07982F	1.0	69,439	1.0	69,439
ADMINISTRATIVE OFFICER	07750F	1.0	67,636	1.0	67,636
PRINCIPAL AUDITOR	00787F	1.0	64,533	1.0	64,533
DATA SYSTEMS COORDINATOR	07957F	1.0	63,731	1.0	63,731
PRINCIPAL AUDITOR	07747F	2.0	123,278	2.0	123,278
PRINCIPAL AUDITOR	07804F	1.0	60,247	1.0	60,247
SENIOR AUDITOR	00887F	1.0	58,963	1.0	58,963
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	54,097	1.0	54,097
SECRETARY (AUDITOR GEN.)	00756F	1.0	-	1.0	-
SENIOR AUDITOR	07951F	1.0	51,752	1.0	51,752
SENIOR CLERK RECEPTIONIST	00706F	1.0	47,423	1.0	47,423
SENIOR AUDITOR	07824F	2.0	91,444	2.0	91,444
SENIOR AUDITOR	07847F	1.0	44,105	1.0	44,105
AUDITOR	07913F	1.0	42,395	1.0	42,395
AUDITOR	07918F	7.0	283,542	7.0	283,542
AUDITOR	07969F	1.0	40,802	1.0	40,802
ADMINISTRATIVE AIDE	07917F	1.0	37,407	1.0	37,407
ADMINISTRATIVE AIDE	07984F	1.0	36,185	1.0	36,185
PRINCIPAL AUDITOR	07911F	1.0	70,207	1.0	70,207
PRINCIPAL AUDITOR	07835F	1.0	65,968	1.0	65,968
SENIOR AUDITOR	07724F	1.0	56,367	1.0	56,367
Subtotal		45.4	\$2,942,790	45.4	\$2,942,790

Personnel

General Assembly - Constitution

Auditor General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Merit Increases - Auditor General		-	20,000	-	40,000
Subtotal		-	\$20,000	-	\$40,000
Total Salaries		45.4	\$2,962,790	45.4	\$2,982,790
Benefits					
Payroll Accrual			16,941		17,047
FICA			224,590		226,120
Retiree Health			198,511		191,152
Health Benefits			456,142		493,534
Retirement			712,551		724,718
Subtotal			\$1,608,735		\$1,652,571
Total Salaries and Benefits		45.4	\$4,571,525	45.4	\$4,635,361
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$100,694		\$102,100
Statewide Benefit Assessment			\$125,819		\$126,569
Payroll Costs		45.4	\$4,697,344	45.4	\$4,761,930
Purchased Services					
Management & Consultant Services			7,000		7,000
Other Contracts			650		650
Subtotal			\$7,650		\$7,650
Total Personnel		45.4	\$4,704,993	45.4	\$4,769,579
Distribution By Source Of Funds					
General Revenue		45.4	\$3,282,735	45.4	\$3,327,863
Restricted Receipts		-	\$1,422,258	-	\$1,441,716
Total All Funds		45.4	\$4,704,993	45.4	\$4,769,579

The Program

General Assembly - Constitution
Special Legislative Commissions

Program Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern.

Program Description

Included in this program are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

The Budget

General Assembly - Constitution Special Legislative Commissions

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	7,578	7,920	13,900	13,900	13,900
Total Expenditures	\$7,578	\$7,920	\$13,900	\$13,900	\$13,900
Expenditures By Object					
Operating Supplies and Expenses	7,578	7,920	13,900	13,900	13,900
Subtotal: Operating Expenditures	7,578	7,920	13,900	13,900	13,900
Total Expenditures	\$7,578	\$7,920	\$13,900	\$13,900	\$13,900
Expenditures By Funds					
General Revenue	7,578	7,920	13,900	13,900	13,900
Total Expenditures	\$7,578	\$7,920	\$13,900	\$13,900	\$13,900

Agency

Executive Department

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Community Relations Office. The functions of the Office of the Health Benefits Exchange, formerly in the Governor's office, have now been moved to the Department of Administration.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6). The functions of the exchange has been transferred to the Department of Administration.

The administration of Governor Lincoln D. Chafee began on January 4, 2011.

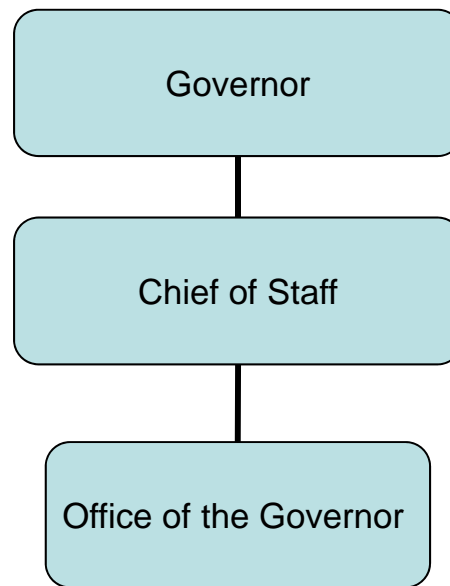
Budget

Executive Department

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Central Management	4,081,232	4,024,335	4,443,513	4,614,074	4,652,562
Office of Economic Recovery and Reinvestment	567,123	-	-	-	-
Total Expenditures	\$4,648,355	\$4,024,335	\$4,443,513	\$4,614,074	\$4,652,562
Expenditures By Object					
Personnel	4,210,583	3,713,156	3,935,298	4,063,340	4,114,912
Operating Supplies and Expenses	274,564	297,322	234,215	283,234	267,750
Assistance and Grants	-	-	250,000	250,000	250,000
Subtotal: Operating Expenditures	4,485,147	4,010,478	4,419,513	4,596,574	4,632,662
Capital Purchases and Equipment	32,564	13,857	24,000	17,500	19,900
Operating Transfers	130,644	-	-	-	-
Total Expenditures	\$4,648,355	\$4,024,335	\$4,443,513	\$4,614,074	\$4,652,562
Expenditures By Funds					
General Revenue	4,081,232	4,024,335	4,443,513	4,614,074	4,652,562
Federal Funds	(1)	-	-	-	-
Restricted Receipts	567,124	-	-	-	-
Total Expenditures	\$4,648,355	\$4,024,335	\$4,443,513	\$4,614,074	\$4,652,562
FTE Authorization	45.0	45.0	45.0	45.0	45.0

The Agency

Executive Department



Personnel

Executive Department Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF (GOVERNOR'S OFFICE)	08355A	1.0	195,625	1.0	201,591
DIRECTOR (GOVERNOR'S OFFICE)	00901F ⁴	1.0	183,322	1.0	183,322
SENIOR ADVISOR TO THE GOVERNOR	08353A	1.0	166,883	1.0	166,883
DEPUTY DIRECTOR	08347A ³	1.0	157,528	1.0	162,942
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	146,986	1.0	146,986
DIRECTOR OF POLICY (GOVERNOR'S OFFICE)	08347A	1.0	130,825	1.0	135,567
GOVERNOR	00527F	1.0	129,707	1.0	129,707
DIRECTOR OF COMMUNICATIONS (GOV'S OFFICE)	08347A	1.0	124,595	1.0	129,112
CHIEF STRATEGIC PLANNING MONITORING AND	08343A ²	2.0	231,162	2.0	231,162
EXECUTIVE ASSISTANT TO THE GOVERNOR	08340A	1.0	102,044	1.0	102,044
PRINCIPAL PROJECTS MANAGER II (GOVERNOR'S	08335A	1.0	94,503	1.0	99,702
DEPUTY EXECUTIVE COUNSEL (GOVERNOR'S	08339A	1.0	93,025	1.0	97,536
PRINCIPAL PROJECTS MANAGER (GOVERNOR'S	08333A	1.0	90,479	1.0	90,479
DIRECTOR OF LEGISLATIVE AFFAIRS (GOV'S	08336A	1.0	85,114	1.0	89,844
LEGAL COUNSEL (GOVERNOR'S OFFICE)	08335A	1.0	82,720	1.0	82,720
DEPUTY DIRECTOR OF COMMUNICATIONS	08336A	1.0	78,201	1.0	81,061
DEPUTY DIRECTOR OF POLICY (GOVERNOR'S	08335A	1.0	75,625	1.0	78,387
ADMINISTRATIVE SECRETARY	08327A	1.0	70,348	1.0	70,348
COMMUNICATIONS ASSOC III (GOVERNOR'S	08331A	1.0	67,804	1.0	71,483
ASSISTANT TO GOVERNOR II (GOV'S OFFICE)	08329A	1.0	66,139	1.0	66,139
SENIOR POLICY ANALYST (GOVERNOR'S OFFICE)	08330A ⁵	1.0	65,227	1.0	68,722
DIRECTOR OF CONSTITUENT AFFAIRS	08330A	1.0	62,929	1.0	65,227
POLICY AND LEGISLATIVE ANALYST II	00327A	1.0	58,053	1.0	61,172
POLICY AND LEGISLATIVE ANALYST	08324A	3.0	154,594	3.0	162,554
CONSTITUENT SERVICES ASSOCIATE II (GOV'S	08324A	1.0	51,531	1.0	54,185
RECEPTIONIST/GREETER (GOVERNOR'S OFFICE)	08324A	1.0	51,531	1.0	54,185
LEGISLATIVE COORDINATOR (GOV'S OFFICE)	08324A	1.0	49,876	1.0	51,531
STAFF ASSISTANT (GOV'S OFFICE)	08323A	1.0	49,619	1.0	52,065
COMMUNICATIONS ASSOCIATE II (GOVERNOR'S	08321A	1.0	48,304	1.0	48,304
ADMINISTRATIVE ASSISTANT (GOVERNOR'S	08323A ¹	1.0	46,572	1.0	48,043
POLICY RESEARCHER (GOV'S OFFICE)	08321A	3.0	138,248	3.0	144,912
CONSTITUENT SERVICES ASSOCIATE II	08322A	1.0	44,919	1.0	46,327
CONSTITUENT SERVICES ASSOCIATE II	08318A	1.0	39,726	1.0	40,680
SPECIAL PROJECT ASSOCIATE (GOV'S OFFICE)	08316A	1.0	37,763	1.0	38,558
COMMUNICATIONS ASSOCIATE (GOVERNOR'S	08309A	3.0	97,378	3.0	98,950
CONSTITUENT SERVICES ASSOCIATE	08301A	3.0	90,560	3.0	90,560
Subtotal		45.0	\$3,459,465	45.0	\$3,542,990

Personnel

Executive Department Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Interdepartmental Transfer		-	(683,810)	-	(694,191)
Temporary and Seasonal		-	39,200	-	39,200
Turnover		-	(388,468)	-	(385,041)
Subtotal		-	(\$1,033,078)	-	(\$1,040,032)
Total Salaries		45.0	\$2,426,387	45.0	\$2,502,958
Benefits					
Payroll Accrual			14,285		14,652
FICA			191,025		195,979
Retiree Health			176,543		172,922
Health Benefits			316,304		340,735
Retirement			600,544		623,289
Subtotal			\$1,298,701		\$1,347,577
Total Salaries and Benefits		45.0	\$3,725,088	45.0	\$3,850,535
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$81,909		\$84,696
Statewide Benefit Assessment			\$106,125		\$108,877
Payroll Costs		45.0	\$3,831,213	45.0	\$3,959,412
Purchased Services					
Legal Services			196,428		-
Other Contracts			35,400		155,400
Buildings and Ground Maintenance			300		100
Subtotal			\$232,128		\$155,500
Total Personnel		45.0	\$4,063,340	45.0	\$4,114,912
Distribution By Source Of Funds					
General Revenue		45.0	\$4,063,340	45.0	\$4,114,912
Total All Funds		45.0	\$4,063,340	45.0	\$4,114,912

1 This position is a Health Exchange position that is currently under the Governor's Office FTE CAP with the costs associated to it transferred to DOA

3 This position is a Health Exchange position that is currently under the Governor's Office FTE CAP with the costs associated to it transferred to DOA

5 This position is a Health Exchange position that is currently under the Governor's Office FTE CAP with the costs associated to it transferred to DOA

2 This position is a Health Exchange position that is currently under the Governor's Office FTE CAP with the costs associated to it transferred to DOA

4 This position is a Health Exchange position that is currently under the Governor's Office FTE CAP with the costs associated to it transferred to DOA

The Program

Executive Department

Office of Economic Recovery and Reinvestment

Program Mission

The OERR is charged with the following tasks: To collect and review of all OERR project data for the entire state. To establish processes for identifying, evaluating, tracking, and auditing of infrastructure improvement projects and other initiatives required by the ARRA or other economic stimulus legislation.

To present recommendations to the Governor for certification and approval of all infrastructure improvement projects and other related projects connected to ARRA. To be responsible for transparency, tracking and accounting for funds associated with ARRA and other federal or state economic stimulus legislation, as well as filing necessary reports and information to the federal government and the General Assembly.

Program Description

On February 10, 2009, the Governor issued Executive Order 09-04, which established the Rhode Island Office of Economic Recovery and Reinvestment (OERR) within the Office of the Governor. The OERR is responsible for administering and complying with the American Recovery and Reinvestment Act of 2009 (ARRA), and other state and federal economic stimulus legislation. As most of the ARRA projects are now complete this office will no longer be needed in its current capacity. Instead the remaining functions of this office will be administered by the Department of Administration within the Office of Management and Budget.

Statutory History

Executive Order 09-04

The Budget

Executive Department Office of Economic Recovery and Reinvestment

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Economic Recovery and Reinvest	567,123	-	-	-	-
Total Expenditures	\$567,123	-	-	-	-
Expenditures By Object					
Personnel	430,049	-	-	-	-
Operating Supplies and Expenses	6,430	-	-	-	-
Subtotal: Operating Expenditures	436,479	-	-	-	-
Operating Transfers	130,644	-	-	-	-
Total Expenditures	\$567,123	-	-	-	-
Expenditures By Funds					
Federal Funds	(1)	-	-	-	-
Restricted Receipts	567,124	-	-	-	-
Total Expenditures	\$567,123	-	-	-	-

Agency

Office Of Lieutenant Governor

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Lieutenant Governor was named as chair of Rhode Island Healthcare Reform Commission by executive order in January of 2011. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.

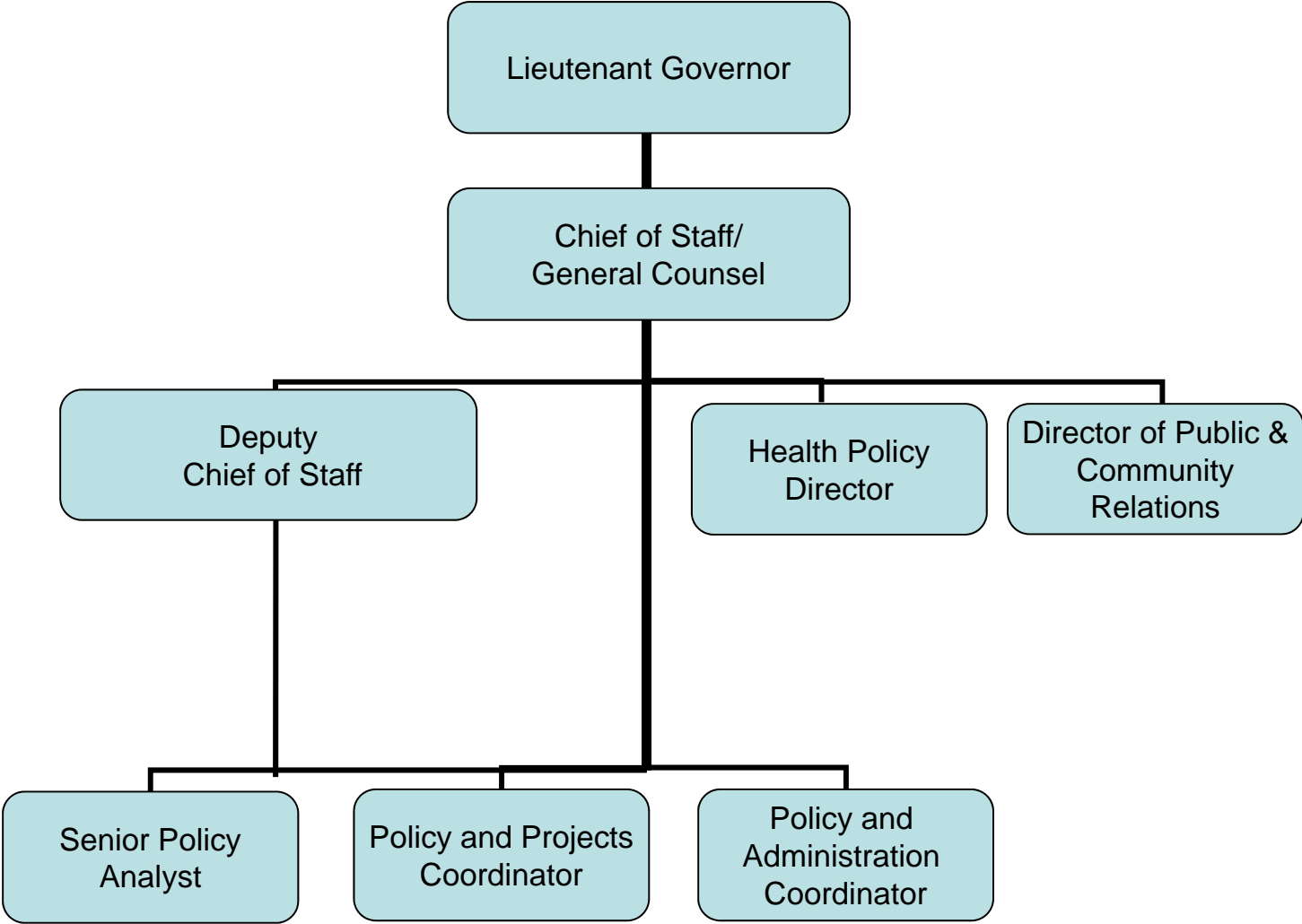
Budget

Office Of Lieutenant Governor

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Lt. Governor's Office - General	1,068,715	1,243,608	2,095,378	2,675,825	1,089,434
Total Expenditures	\$1,068,715	\$1,243,608	\$2,095,378	\$2,675,825	\$1,089,434
Expenditures By Object					
Personnel	1,036,040	1,216,901	2,060,943	2,523,266	1,040,901
Operating Supplies and Expenses	27,895	21,529	33,185	44,155	47,783
Assistance and Grants	-	-	-	100,000	-
Subtotal: Operating Expenditures	1,063,935	1,238,430	2,094,128	2,667,421	1,088,684
Capital Purchases and Equipment	4,780	5,178	1,250	8,404	750
Total Expenditures	\$1,068,715	\$1,243,608	\$2,095,378	\$2,675,825	\$1,089,434
Expenditures By Funds					
General Revenue	948,391	942,140	986,890	946,501	1,015,084
Federal Funds	120,324	301,468	1,108,488	1,594,324	74,350
Restricted Receipts	-	-	-	135,000	-
Total Expenditures	\$1,068,715	\$1,243,608	\$2,095,378	\$2,675,825	\$1,089,434
FTE Authorization	8.0	8.0	8.0	8.0	8.0

The Agency

Office of Lieutenant Governor



Personnel

Office Of Lieutenant Governor Lt. Governor's Office - General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF (GENERAL COUNSEL) (LT	08451A ¹	1.0	166,189	1.0	166,189
LIEUTENANT GOVERNOR	00531F	1.0	108,808	1.0	108,808
DIRECTOR OF PUBLIC & COMMUNITY RELATIONS	08455A	1.0	92,671	1.0	92,671
DEPUTY CHIEF OF STAFF (LT GOVERNOR)	08437A	1.0	92,586	1.0	92,586
HEALTH POLICY DIRECTOR (LT GOV)	08431A ²	1.0	66,351	1.0	68,249
SENIOR POLICY ANALYST (LT GOVERNOR)	08427A	1.0	63,985	1.0	63,985
POLICY & PROJECTS COORDINATOR (LT	08428A	1.0	51,866	1.0	51,866
POLICY & ADMIN COORD (LT GOV)	08422A	1.0	44,144	1.0	45,556
Subtotal		8.0	\$686,600	8.0	\$689,910
Turnover		-	-	-	(34,477)
Subtotal		-	-	-	(\$34,477)
Total Salaries		8.0	\$686,600	8.0	\$655,433
Benefits					
Payroll Accrual			3,320		3,452
FICA			43,265		55,418
Retiree Health			41,299		40,924
Health Benefits			114,554		111,170
Retirement			164,675		147,525
Subtotal			\$367,113		\$358,489
Total Salaries and Benefits		8.0	\$1,053,713	8.0	\$1,013,922
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$131,714		\$126,740
Statewide Benefit Assessment			\$24,890		\$26,229
Payroll Costs		8.0	\$1,078,603	8.0	\$1,040,151
Purchased Services					
Management & Consultant Services			1,443,913		-
Other Contracts			750		750
Subtotal			\$1,444,663		\$750
Total Personnel		8.0	\$2,523,266	8.0	\$1,040,901
Distribution By Source Of Funds					
General Revenue		6.9	\$924,218	7.4	\$966,551
Federal Funds		1.1	\$1,578,048	0.6	\$74,350
Restricted Receipts		-	\$21,000	-	-
Total All Funds		8.0	\$2,523,266	8.0	\$1,040,901

¹ In FY 2014, this position is Federally funded at 30% through the Exchange Establishment Grant for PP8-26. In FY 2015, this position is Federally funded at 30% through the Exchange Establishment Grant for PP1-13.

² In FY14, this position is funded 100% through federal funds (Exchange Establishment) for PP 1-8 and at 75% for PP8-26. In FY 15, this position is funded 75% through federal funds (Exchange Establishment) from PP1-13 after which it is defunded

Agency

Department Of State

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To make it easier to vote and improve public access to government at all levels by collecting and distributing information as widely as possible and making information available electronically. To create jobs and promote economic development by offering programs and services that make it easier for business to grow.

Agency Description

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Office has a vital role in providing the public with basic information about the workings of state government. The Office consists of six divisions and one internal service fund.

Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

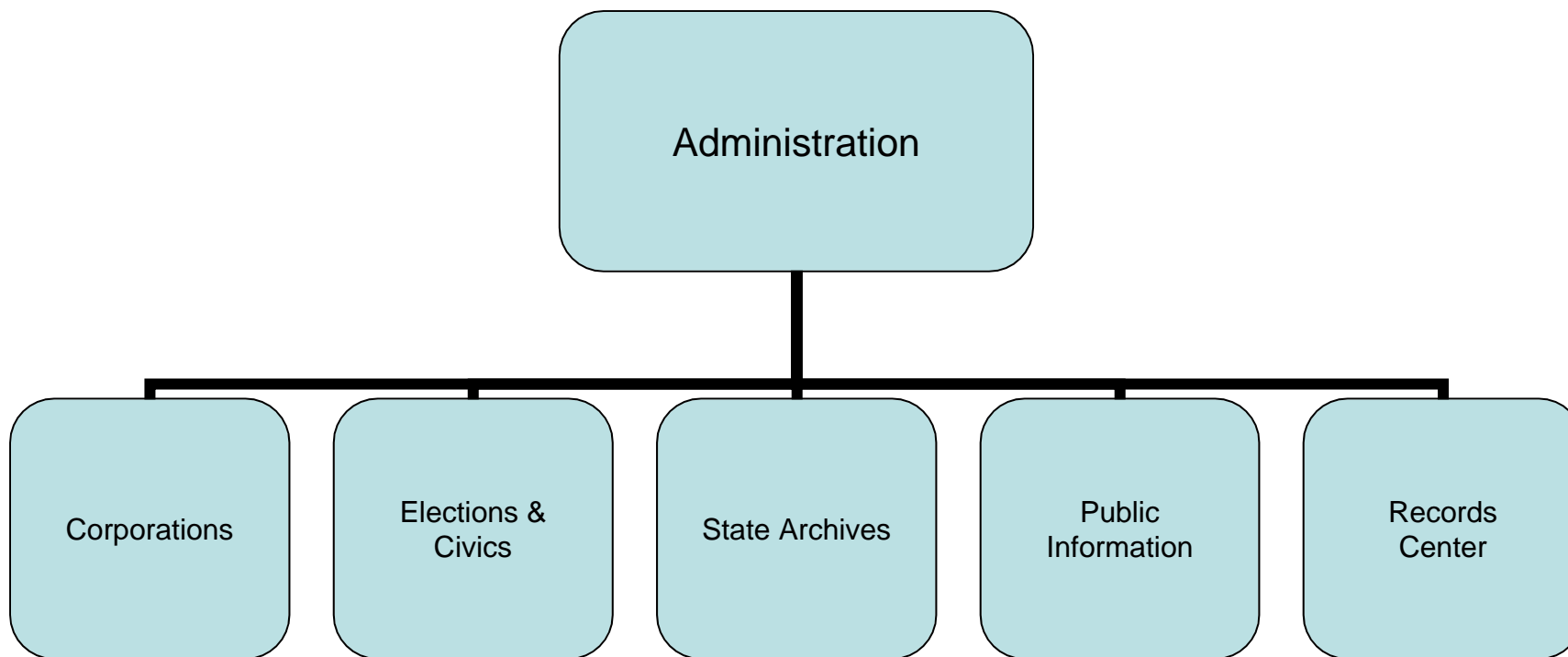
Budget

Department Of State

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
Administration	1,913,754	1,995,449	2,078,542	2,208,612	2,205,748
Corporations	2,125,569	2,074,434	2,152,424	2,286,828	2,278,601
State Archives	512,917	590,173	581,636	577,554	584,018
Elections and Civics	1,263,127	1,686,642	1,162,821	948,969	1,636,292
State Library	589,717	598,440	611,318	518,088	521,178
Office of Public Information	337,140	368,703	366,023	507,525	641,118
<i>Internal Services</i>	<i>[817,090]</i>	<i>[854,869]</i>	<i>[869,457]</i>	<i>[866,291]</i>	<i>[882,436]</i>
Total Expenditures	\$6,742,224	\$7,313,841	\$6,952,764	\$7,047,576	\$7,866,955
Expenditures By Object					
Personnel	5,241,730	5,219,821	5,487,932	5,516,839	5,712,487
Operating Supplies and Expenses	1,270,689	1,831,199	1,216,720	1,280,125	1,903,856
Assistance and Grants	136,187	135,299	135,611	135,611	135,611
Subtotal: Operating Expenditures	6,648,606	7,186,319	6,840,263	6,932,575	7,751,954
Capital Purchases and Equipment	93,618	127,522	112,501	115,001	115,001
Total Expenditures	\$6,742,224	\$7,313,841	\$6,952,764	\$7,047,576	\$7,866,955
Expenditures By Funds					
General Revenue	6,262,148	6,810,969	6,497,833	6,477,569	7,337,203
Federal Funds	52,872	2,541	-	50,163	-
Restricted Receipts	427,204	500,331	454,931	519,844	529,752
Total Expenditures	\$6,742,224	\$7,313,841	\$6,952,764	\$7,047,576	\$7,866,955
FTE Authorization	57.0	57.0	57.0	57.0	57.0

The Agency

Office of the Secretary of State



Personnel

Department Of State Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified		57.0	3,709,500	57.0	3,713,643
Subtotal		57.0	\$3,709,500	57.0	\$3,713,643
Turnover		-	(\$86,347)	-	(\$86,929)
Subtotal		-	(\$86,347)	-	(\$86,929)
Total Salaries		57.0	\$3,623,153	57.0	\$3,626,714
Benefits					
Payroll Accrual			20,546		20,564
FICA			274,242		274,782
Retiree Health			256,836		246,244
Health Benefits			637,242		702,007
Retirement			870,474		881,572
Subtotal			\$2,059,340		\$2,125,169
Total Salaries and Benefits		57.0	\$5,682,493	57.0	\$5,751,883
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$99,693		\$100,910
Statewide Benefit Assessment			\$153,990		\$154,139
Payroll Costs		57.0	\$5,836,483	57.0	\$5,906,022
Purchased Services					
Information Technology			133,000		245,000
University and College Services			12,750		12,750
Legal Services			5,450		35,450
Training and Educational Services			135		135
Subtotal			\$151,335		\$293,335
Total Personnel		57.0	\$5,987,818	57.0	\$6,199,357
Distribution By Source Of Funds					
General Revenue		48.7	\$5,170,210	48.7	\$5,360,157
Restricted Receipts		3.4	\$346,629	3.4	\$352,330
Other Funds		4.9	\$470,979	4.9	\$486,870
Total All Funds		57.0	\$5,987,818	57.0	\$6,199,357

The Program

Department Of State Administration

Program Mission

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

Program Description

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office.

Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public.

Communications communicates the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

The Budget

Department Of State Administration

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Administration	1,000,962	971,149	1,077,926	1,131,847	1,142,902
Personnel and Finance	424,671	476,725	486,511	482,871	476,148
Information Technology	488,121	547,575	514,105	593,894	586,698
Total Expenditures	\$1,913,754	\$1,995,449	\$2,078,542	\$2,208,612	\$2,205,748
Expenditures By Object					
Personnel	1,695,426	1,818,536	1,930,080	2,048,554	2,020,690
Operating Supplies and Expenses	175,635	130,506	102,086	113,682	138,682
Assistance and Grants	893	664	976	976	976
Subtotal: Operating Expenditures	1,871,954	1,949,706	2,033,142	2,163,212	2,160,348
Capital Purchases and Equipment	41,800	45,743	45,400	45,400	45,400
Total Expenditures	\$1,913,754	\$1,995,449	\$2,078,542	\$2,208,612	\$2,205,748
Expenditures By Funds					
General Revenue	1,913,754	1,995,449	2,078,542	2,208,612	2,205,748
Total Expenditures	\$1,913,754	\$1,995,449	\$2,078,542	\$2,208,612	\$2,205,748

Personnel

Department Of State Administration

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR (SECRETARY OF STATE)	08652A	1.0	160,755	1.0	160,755
DIRECTOR OF INFORMATION TECHNOLOGY	08643A	1.0	115,138	1.0	115,138
SECRETARY OF STATE	00531F	1.0	108,808	1.0	108,808
CHIEF FINANCIAL OFFICER (SECRETARY OF STATE)	08638A	0.9	92,437	0.9	92,437
DIRECTOR OF H.R. (SOS)	08635A	0.9	91,983	0.9	91,983
DIRECTOR POLICY & PLANNING (SOS)	08635A	1.0	94,448	1.0	94,448
DIRECTOR OF COMMUNICATIONS (SOS)	08638A	1.0	92,669	1.0	92,669
EXECUTIVE ASSISTANT COMMUNICATION & ADMINISTRATIVE ASSISTANT	08633A 05325A	0.6 1.9	52,354 121,726	0.6 1.9	52,354 121,726
SENIOR EXECUTIVE ADMINISTRATIVE AIDE (SEC)	08627A	1.0	60,938	1.0	60,938
SENIOR GRAPHICS DESIGNER (SECRETARY OF COMPUTER PROGRAMMER (SECRETARY OF STATE)	05326A 08625A	1.0 1.0	60,702 56,265	1.0 1.0	60,702 56,265
PROJECT MANAGER	08624A	1.0	53,977	1.0	53,977
ADMINISTRATIVE ASSISTANT	05319A	1.0	50,322	1.0	50,322
EXECUTIVE ASSISTANT (SECRETARY OF STATE)	08623A	1.0	47,690	1.0	49,248
LEGAL COUNSEL (SECRETARY OF STATE)	08649A	-	71,049	-	71,049
Subtotal		15.3	\$1,331,261	15.3	\$1,332,819
Turnover		-	-	-	(26,657)
Subtotal		-	-	-	(\$26,657)
Total Salaries		15.3	\$1,331,261	15.3	\$1,306,162
Benefits					
Payroll Accrual			7,516		7,373
FICA			98,908		97,252
Retiree Health			95,796		90,565
Health Benefits			152,598		160,159
Retirement			305,446		303,217
Subtotal			\$660,264		\$658,566
Total Salaries and Benefits		15.3	\$1,991,525	15.3	\$1,964,728
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$130,165		\$128,414
Statewide Benefit Assessment			\$56,579		\$55,512
Payroll Costs		15.3	\$2,048,104	15.3	\$2,020,240
Purchased Services					
Legal Services			450		450
Subtotal			\$450		\$450
Total Personnel		15.3	\$2,048,554	15.3	\$2,020,690
Distribution By Source Of Funds					
General Revenue		15.3	\$2,048,554	15.3	\$2,020,690
Total All Funds		15.3	\$2,048,554	15.3	\$2,020,690

The Program

Department Of State Corporations

Program Mission

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, UCC filings, and Notary/Trademark applications and to provide requested information concerning the data from the program.

Program Description

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, non-profit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database. On-line access is available at the Corporations Division's office in Providence or by phone or mail to the general public. This division has the authority to revoke corporate charters for failure to satisfy statutory requirements.

Additionally, the Corporations Division administers the following:

First Stop Business Information Center serves as a referral and information center for small business owners

Uniform Commercial Code (UCC) processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expirations. It is also responsible for trademarks, service marks and trade names in the State of Rhode Island.

Statutory History

The functions of the Corporations Division are outlined in R.I.G.L. Title 7-1-1 through 7-16-75, and 7-1.2-132. The authority to enforce the Uniform Commercial Code (UCC) is granted in Section 6A-9-402 of the R.I.G.L.

The Budget

Department Of State Corporations

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Corporations	1,710,620	1,791,089	1,870,189	2,024,329	2,018,136
First Stop Business Inf.	414,949	283,345	282,235	262,499	260,465
Total Expenditures	\$2,125,569	\$2,074,434	\$2,152,424	\$2,286,828	\$2,278,601
Expenditures By Object					
Personnel	1,795,100	1,686,183	1,770,477	1,906,552	1,890,475
Operating Supplies and Expenses	329,099	365,298	372,647	370,976	378,826
Subtotal: Operating Expenditures	2,124,199	2,051,481	2,143,124	2,277,528	2,269,301
Capital Purchases and Equipment	1,370	22,953	9,300	9,300	9,300
Total Expenditures	\$2,125,569	\$2,074,434	\$2,152,424	\$2,286,828	\$2,278,601
Expenditures By Funds					
General Revenue	2,125,569	2,074,434	2,152,424	2,286,828	2,278,601
Total Expenditures	\$2,125,569	\$2,074,434	\$2,152,424	\$2,286,828	\$2,278,601

Personnel

Department Of State Corporations

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08635A	1.0	94,764	1.0	94,764
EXECUTIVE ASSISTANT COMMUNICATION & ADMINISTRATIVE ASST (SECRETARY OF STATE)	08633A	0.4	28,190	0.4	28,190
SUPERVISING CLERK	05326A	1.0	69,373	1.0	69,373
ADMINISTRATIVE ASSISTANT	08626A	1.0	67,341	1.0	67,341
ADMINISTRATIVE ASSISTANT	05322A	1.0	51,660	1.0	51,660
ADMINISTRATIVE ASSISTANT	05319A	5.0	249,422	5.0	249,422
ADMINISTRATIVE ASSISTANT (SECRETARY OF	05319A	1.0	48,134	1.0	48,134
ADMINISTRATIVE ASSISTANT	05316A	10.0	435,327	10.0	435,327
ADMINISTRATIVE ASSISTANT (SECRETARY OF	05316A	2.0	77,206	2.0	78,022
INTERN	00274H	-	12,525	-	12,525
Subtotal		22.4	\$1,133,942	22.4	\$1,134,758
Turnover		-	-	-	(22,696)
Subtotal		-	-	-	(\$22,696)
Total Salaries		22.4	\$1,133,942	22.4	\$1,112,062
Benefits					
Payroll Accrual			6,414		6,289
FICA			86,751		85,078
Retiree Health			79,284		74,237
Health Benefits			272,098		287,799
Retirement			279,869		277,747
Subtotal			\$724,416		\$731,150
Total Salaries and Benefits		22.4	\$1,858,358	22.4	\$1,843,212
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$82,962		\$82,286
Statewide Benefit Assessment			\$48,194		\$47,263
Payroll Costs		22.4	\$1,906,552	22.4	\$1,890,475
Total Personnel		22.4	\$1,906,552	22.4	\$1,890,475
Distribution By Source Of Funds					
General Revenue		22.4	\$1,906,552	22.4	\$1,890,475
Total All Funds		22.4	\$1,906,552	22.4	\$1,890,475

The Program

Department Of State State Archives

Program Mission

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

Program Description

The State Archives is the division of the Department of State charged with preserving and maintaining the permanent records of state government. The "State Archives and Historical Records Act" created the State Archives as the "official custodian and trustee for the state of all public records of permanent historical, legal or other value." The collection of historical records, dating from 1638 to the present, is available to the public for research. The division operates a public reading room for the research, inspection and duplication of public records. Archives staff provides reference assistance to researchers at the Archives facility, by mail, phone and e-mail. Publications, guides and finding aids to the holdings are available onsite and through the Internet. The State Archives also features exhibits and displays that are free and open to the public.

The State Archives division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to initiate a series of advisory and technical services for local governments in the state. The 1993 session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program. Currently, the program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with town and city clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines about records and records issues.

Statutory History

The authority of the State Archives is granted from R.I.G.L. sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, and Public Law 1993, Chapters 385 and 417, and Public Law 2007, Chapter 73, Article 36.

The Budget

Department Of State State Archives

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	512,917	590,173	581,636	577,554	584,018
Total Expenditures	\$512,917	\$590,173	\$581,636	\$577,554	\$584,018
Expenditures By Object					
Personnel	330,262	347,154	348,097	346,629	352,330
Operating Supplies and Expenses	180,854	240,738	231,989	226,875	227,638
Subtotal: Operating Expenditures	511,116	587,892	580,086	573,504	579,968
Capital Purchases and Equipment	1,801	2,281	1,550	4,050	4,050
Total Expenditures	\$512,917	\$590,173	\$581,636	\$577,554	\$584,018
Expenditures By Funds					
General Revenue	85,713	89,842	131,705	71,141	69,266
Restricted Receipts	427,204	500,331	449,931	506,413	514,752
Total Expenditures	\$512,917	\$590,173	\$581,636	\$577,554	\$584,018

Personnel

Department Of State State Archives

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF ARCHIVES & RECORDS	08634A	0.8	73,198	0.8	73,198
SENIOR MONITORING AND EVALUATION	05325A	0.8	51,078	0.8	51,078
SENIOR INFORMATION AND PUBLIC RELATION	05324A	1.0	61,216	1.0	61,216
ADMINISTRATIVE AIDE	05315A	0.8	32,390	0.8	32,390
Subtotal		3.4	\$217,882	3.4	\$217,882
Total Salaries		3.4	\$217,882	3.4	\$217,882
Benefits					
Payroll Accrual			1,246		1,246
FICA			16,668		16,668
Retiree Health			15,404		14,707
Health Benefits			31,993		37,780
Retirement			54,175		54,786
Subtotal			\$119,486		\$125,187
Total Salaries and Benefits		3.4	\$337,368	3.4	\$343,069
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$99,226		\$100,903
Statewide Benefit Assessment			\$9,261		\$9,261
Payroll Costs		3.4	\$346,629	3.4	\$352,330
Total Personnel		3.4	\$346,629	3.4	\$352,330
Distribution By Source Of Funds					
Restricted Receipts		3.4	\$346,629	3.4	\$352,330
Total All Funds		3.4	\$346,629	3.4	\$352,330

The Program

Department Of State Elections and Civics

Program Mission

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Program Description

The Elections and Civics program is responsible for the preparation of both statewide and municipal elections. This preparation includes providing municipalities with necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification.

The Elections and Civics Division has the responsibility of certifying all federal and state candidates for ballot placement, and for receiving and maintaining files for local candidates ballot placement as certified by local canvassing authorities. Accordingly, Elections and Civics sets the ballot layout and prepares and provides all sample ballots and voting machine ballots as mandated by law. The preparation and printing of all sample ballots and related forms and applications are also the responsibility of Elections and Civics, as well the processing of mail ballots applications and the actual mailing of ballots.

Elections and Civics is also responsible for maintaining the new statewide central voter registration system (CVRS) as mandated under the federal Help America Vote Act (HAVA) that contains all voter information and related data. The CVRS is a real-time system that is accessed and updated by all 39 cities and towns. Elections and Civics is responsible for the training of all local users and the maintenance and support of the CVRS application and related applications as well as all related hardware provided to the cities and towns.

Elections and Civics also has the responsibility of preparing and distributing the Voter Handbook prior to each general election at which public questions are to be submitted to the voters.

The Elections and Civics division provides necessary voter assistance and education, including the publication of numerous guides for candidates, election officials, and voters. In addition to providing hard copy material, Elections and Civics provides ballot information, polling place locations, and copies of all publications on the World Wide Web.

Statutory History

The Elections and Civics Division operates under Title 17 of the R.I.G.L

The Budget

Department Of State Elections and Civics

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,263,127	1,686,642	1,162,821	948,969	1,636,292
Total Expenditures	\$1,263,127	\$1,686,642	\$1,162,821	\$948,969	\$1,636,292
Expenditures By Object					
Personnel	674,333	604,072	657,869	393,854	506,673
Operating Supplies and Expenses	546,769	1,032,080	452,871	503,034	1,077,538
Subtotal: Operating Expenditures	1,221,102	1,636,152	1,110,740	896,888	1,584,211
Capital Purchases and Equipment	42,025	50,490	52,081	52,081	52,081
Total Expenditures	\$1,263,127	\$1,686,642	\$1,162,821	\$948,969	\$1,636,292
Expenditures By Funds					
General Revenue	1,210,255	1,684,101	1,162,821	898,806	1,636,292
Federal Funds	52,872	2,541	-	50,163	-
Total Expenditures	\$1,263,127	\$1,686,642	\$1,162,821	\$948,969	\$1,636,292

Personnel

Department Of State Elections and Civics

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
PROJECT MANAGER (SECRETARY OF STATE)	08632A	1.0	85,061	1.0	85,061
DIRECTOR OF ELECTIONS AND CIVICS	08636A	1.0	75,153	1.0	75,153
EXECUTIVE ADMINISTRATIVE ASSISTANT	08626A	1.0	61,130	1.0	61,485
RESEARCH AIDE	08610A	1.0	32,653	1.0	33,235
INTERN	00274H	-	1,686	-	1,927
Subtotal		4.0	\$255,683	4.0	\$256,861
Turnover		-	(86,347)	-	(37,576)
Subtotal		-	(\$86,347)	-	(\$37,576)
Total Salaries		4.0	\$169,336	4.0	\$219,285
Benefits					
Payroll Accrual			961		1,243
FICA			12,953		16,776
Retiree Health			11,861		14,672
Health Benefits			25,652		36,946
Retirement			40,894		53,432
Subtotal			\$92,321		\$123,069
Total Salaries and Benefits		4.0	\$261,657	4.0	\$342,354
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$65,414		\$85,589
Statewide Benefit Assessment			\$7,197		\$9,319
Payroll Costs		4.0	\$268,854	4.0	\$351,673
Purchased Services					
Information Technology			120,000		120,000
Legal Services			5,000		35,000
Subtotal			\$125,000		\$155,000
Total Personnel		4.0	\$393,854	4.0	\$506,673
Distribution By Source Of Funds					
General Revenue		4.0	\$393,854	4.0	\$506,673
Total All Funds		4.0	\$393,854	4.0	\$506,673

The Program

Department Of State State Library

Program Mission

To effectively operate and maintain the library facilities in the State House and to provide timely reference and research services to the public.

Program Description

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. It operates and maintains the Legislative Reference Bureau, which provides information on state laws and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The State Library is open to the public.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7.

The Budget

Department Of State State Library

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	589,717	598,440	611,318	518,088	521,178
Total Expenditures	\$589,717	\$598,440	\$611,318	\$518,088	\$521,178
Expenditures By Object					
Personnel	418,448	425,999	437,551	344,321	347,411
Operating Supplies and Expenses	30,595	34,730	36,612	36,612	36,612
Assistance and Grants	135,294	134,635	134,635	134,635	134,635
Subtotal: Operating Expenditures	584,337	595,364	608,798	515,568	518,658
Capital Purchases and Equipment	5,380	3,076	2,520	2,520	2,520
Total Expenditures	\$589,717	\$598,440	\$611,318	\$518,088	\$521,178
Expenditures By Funds					
General Revenue	589,717	598,440	611,318	518,088	521,178
Total Expenditures	\$589,717	\$598,440	\$611,318	\$518,088	\$521,178

Personnel

Department Of State State Library

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF STATE LIBRARY SERVICES (SEC OF	08632A	1.0	86,910	1.0	86,910
ADMINISTRATIVE ASSISTANT	05325A	2.0	124,918	2.0	124,918
Subtotal		3.0	\$211,828	3.0	\$211,828
Total Salaries		3.0	\$211,828	3.0	\$211,828
Benefits					
Payroll Accrual			1,211		1,211
FICA			16,205		16,205
Retiree Health			14,977		14,298
Health Benefits			38,379		41,555
Retirement			52,718		53,311
Subtotal			\$123,490		\$126,580
Total Salaries and Benefits		3.0	\$335,318	3.0	\$338,408
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$111,773		\$112,803
Statewide Benefit Assessment			\$9,003		\$9,003
Payroll Costs		3.0	\$344,321	3.0	\$347,411
Total Personnel		3.0	\$344,321	3.0	\$347,411
Distribution By Source Of Funds					
General Revenue		3.0	\$344,321	3.0	\$347,411
Total All Funds		3.0	\$344,321	3.0	\$347,411

The Program

Department Of State Record Center

Program Mission

The program provides cost-effective delivery of goods and services to other state programs.

Program Description

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal or legal requirements. Public Records Administration personnel provide records management advice, assistance and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules-enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are available to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration is granted by R.I.G.L. 38-1,3.

The Budget

Department Of State Record Center

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	817,090	854,869	869,457	866,291	882,436
Internal Services	<i>[817,090]</i>	<i>[854,869]</i>	<i>[869,457]</i>	<i>[866,291]</i>	<i>[882,436]</i>
Total Expenditures	\$817,090	\$854,869	\$869,457	\$866,291	\$882,436
Expenditures By Object					
Personnel	470,108	463,437	472,547	470,979	486,870
Operating Supplies and Expenses	346,208	390,274	396,910	395,312	395,566
Subtotal: Operating Expenditures	816,316	853,711	869,457	866,291	882,436
Capital Purchases and Equipment	774	1,158	-	-	-
Total Expenditures	\$817,090	\$854,869	\$869,457	\$866,291	\$882,436
Expenditures By Funds					
Other Funds	817,090	854,869	869,457	866,291	882,436
Total Expenditures	\$817,090	\$854,869	\$869,457	\$866,291	\$882,436

Personnel

Department Of State Record Center

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF FINANCIAL OFFICER (SECRETARY OF STATE)	08638A	0.1	4,865	0.1	4,865
DIRECTOR OF H.R. (SOS)	08635A	0.1	4,841	0.1	4,841
DIRECTOR OF ARCHIVES & RECORDS	08634A	0.2	18,300	0.2	18,300
COLLECTIONS SUPERVISOR CLERK	05327A	1.0	66,211	1.0	66,211
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05328A	1.0	65,706	1.0	65,706
SENIOR MONITORING AND EVALUATION	05325A	0.2	12,769	0.2	12,769
ADMINISTRATIVE ASSISTANT	05325A	1.1	58,711	1.1	58,711
ADMINISTRATIVE SECRETARY	05317A	1.0	50,109	1.0	50,109
ADMINISTRATIVE AIDE	05315A	0.2	8,097	0.2	8,097
Subtotal		4.9	\$289,609	4.9	\$289,609
Total Salaries		4.9	\$289,609	4.9	\$289,609
Benefits					
Payroll Accrual			1,658		1,658
FICA			22,156		22,156
Retiree Health			20,475		19,548
Health Benefits			51,620		67,627
Retirement			72,015		72,826
Subtotal			\$167,924		\$183,815
Total Salaries and Benefits		4.9	\$457,533	4.9	\$473,424
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$93,374		\$96,617
Statewide Benefit Assessment			\$12,311		\$12,311
Payroll Costs		4.9	\$469,844	4.9	\$485,735
Purchased Services					
Information Technology			1,000		1,000
Training and Educational Services			135		135
Subtotal			\$1,135		\$1,135
Total Personnel		4.9	\$470,979	4.9	\$486,870
Distribution By Source Of Funds					
Other Funds		4.9	\$470,979	4.9	\$486,870
Total All Funds		4.9	\$470,979	4.9	\$486,870

The Program

Department Of State Office of Public Information

Program Mission

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

Program Description

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports, which are available to the public free of charge. The material can also be accessed through the Internet, where the Secretary of State has an "On-Line Office" on the World Wide Web at <http://www.state.ri.us>. Functions carried out by the Office of Public Information include:

Compiling information and maintaining a database on all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, committee and floor actions.

Compiling information and maintaining a database on all legislative hearings, including time and place of the meeting and lists of bills being considered.

Publishing the daily Legislative Meetings Report and the weekly Legislative Report which provides this information to the public.

Maintaining a list of lobbyists and overseeing the enforcement of the state's lobbying law.

Under the Administrative Procedures Act, the office is responsible for compiling the rules and regulations promulgated by each state agency.

Operating and maintaining a clearinghouse for information related to all public meetings in the State of Rhode Island relative to the Open Meetings Law.

Operating and maintaining the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals and other state publications.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

The Budget

Department Of State Office of Public Information

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	337,140	368,703	366,023	507,525	641,118
Total Expenditures	\$337,140	\$368,703	\$366,023	\$507,525	\$641,118
Expenditures By Object					
Personnel	328,161	337,877	343,858	476,929	594,908
Operating Supplies and Expenses	7,737	27,847	20,515	28,946	44,560
Subtotal: Operating Expenditures	335,898	365,724	364,373	505,875	639,468
Capital Purchases and Equipment	1,242	2,979	1,650	1,650	1,650
Total Expenditures	\$337,140	\$368,703	\$366,023	\$507,525	\$641,118
Expenditures By Funds					
General Revenue	337,140	368,703	361,023	494,094	626,118
Restricted Receipts	-	-	5,000	13,431	15,000
Total Expenditures	\$337,140	\$368,703	\$366,023	\$507,525	\$641,118

Personnel

Department Of State Office of Public Information

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF PROGRAMMING	08632A	1.0	88,759	1.0	88,759
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	71,882	1.0	71,882
DEPUTY DIRECTOR PUBLIC INFORMATION	08626A	1.0	60,894	1.0	61,485
ADMINISTRATIVE ASSISTANT	05320A	1.0	47,760	1.0	47,760
Subtotal		4.0	\$269,295	4.0	\$269,886
Total Salaries		4.0	\$269,295	4.0	\$269,886
Benefits					
Payroll Accrual			1,540		1,544
FICA			20,601		20,647
Retiree Health			19,039		18,217
Health Benefits			64,902		70,141
Retirement			65,357		66,253
Subtotal			\$171,439		\$176,802
Total Salaries and Benefits		4.0	\$440,734	4.0	\$446,688
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$110,184		\$111,672
Statewide Benefit Assessment			\$11,445		\$11,470
Payroll Costs		4.0	\$452,179	4.0	\$458,158
Purchased Services					
Information Technology			12,000		124,000
University and College Services			12,750		12,750
Subtotal			\$24,750		\$136,750
Total Personnel		4.0	\$476,929	4.0	\$594,908
Distribution By Source Of Funds					
General Revenue		4.0	\$476,929	4.0	\$594,908
Total All Funds		4.0	\$476,929	4.0	\$594,908

Agency

Treasury Department

Agency Mission

The mission of the Office of the General Treasurer is to protect the state's assets through sound financial investments, to strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure that Rhode Islanders benefit from exceptional performance through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the administrative unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division provides investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

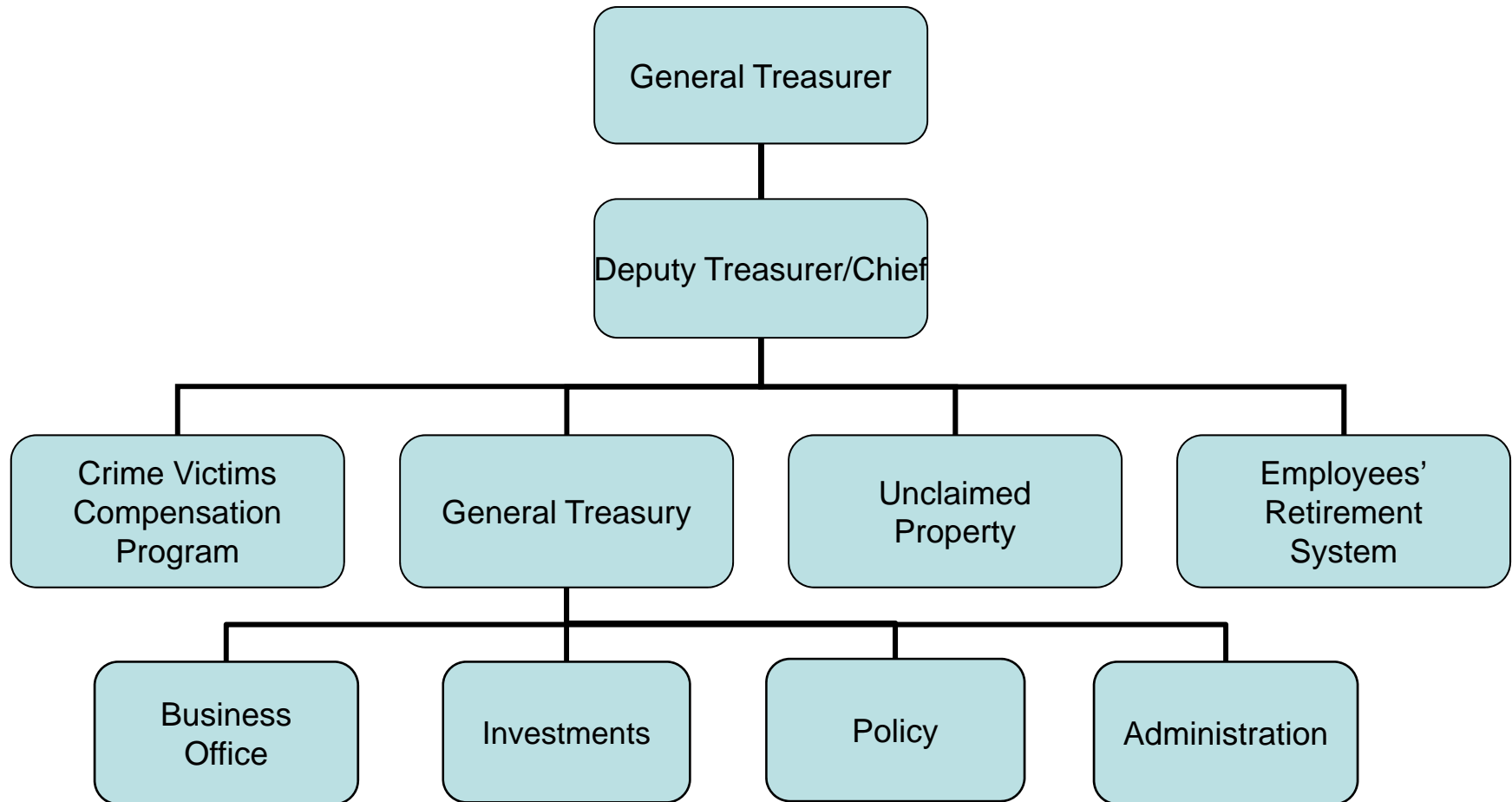
Budget

Treasury Department

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
Expenditures By Program					
General Treasurer	2,761,126	2,678,452	3,033,216	2,940,971	2,835,587
State Retirement System	7,042,528	6,884,639	11,666,065	11,140,760	10,837,045
Unclaimed Property	27,818,707	31,186,832	18,559,092	23,247,165	19,524,231
Crime Victim Compensation Program	2,084,446	1,946,582	2,149,088	1,931,210	1,957,064
Total Expenditures	\$39,706,807	\$42,696,505	\$35,407,461	\$39,260,106	\$35,153,927
Expenditures By Object					
Personnel	10,419,721	10,369,816	12,026,588	14,643,648	14,008,344
Operating Supplies and Expenses	27,268,464	30,621,578	18,422,048	22,898,083	19,385,708
Assistance and Grants	1,738,816	1,652,679	1,888,000	1,648,000	1,695,000
Subtotal: Operating Expenditures	39,427,001	42,644,073	32,336,636	39,189,731	35,089,052
Capital Purchases and Equipment	279,806	52,432	3,070,825	70,375	64,875
Total Expenditures	\$39,706,807	\$42,696,505	\$35,407,461	\$39,260,106	\$35,153,927
Expenditures By Funds					
General Revenue	2,531,859	2,532,414	2,654,692	2,617,317	2,532,105
Federal Funds	1,199,276	1,027,164	1,130,422	851,029	870,338
Restricted Receipts	35,767,114	38,966,029	31,393,424	35,581,483	31,530,876
Other Funds	208,558	170,898	228,923	210,277	220,608
Total Expenditures	\$39,706,807	\$42,696,505	\$35,407,461	\$39,260,106	\$35,153,927
FTE Authorization	82.0	82.0	83.0	83.0	83.0

The Agency

Office of the General Treasurer



Personnel

Treasury Department Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified		84.7	5,611,579	84.7	5,677,859
Subtotal		84.7	\$5,611,579	84.7	\$5,677,859
Cost Allocation from Other Programs		30.1	2,088,691	29.2	2,011,905
Cost Allocation to Other Programs		(30.1)	(\$2,088,691)	(29.2)	(\$2,011,905)
Exempt Positions		(1.7)	-	(1.7)	-
Overtime		-	44,200	-	44,200
Turnover		-	(\$292,167)	-	(\$359,630)
Subtotal		(1.7)	(\$247,967)	(1.8)	(\$315,430)
Total Salaries		83.0	\$5,363,612	83.0	\$5,362,429
Benefits					
Payroll Accrual			30,386		30,383
FICA			396,227		398,732
Retiree Health			369,487		353,149
Health Benefits			882,724		943,645
Retirement			1,279,319		1,293,927
Subtotal			\$2,958,143		\$3,019,836
Total Salaries and Benefits		83.0	\$8,321,755	83.0	\$8,382,265
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$100,262		\$101,052
Statewide Benefit Assessment			\$225,543		\$224,863
Payroll Costs		83.0	\$8,547,298	83.0	\$8,607,128
Purchased Services					
Information Technology			4,110,200		3,761,514
Clerical and Temporary Services			9,100		8,600
Management & Consultant Services			1,248,150		1,055,500
Legal Services			696,400		437,500
Other Contracts			32,500		28,100
Buildings and Ground Maintenance			-		110,000
Subtotal			\$6,096,350		\$5,401,214
Total Personnel		83.0	\$14,643,648	83.0	\$14,008,344
Distribution By Source Of Funds					
General Revenue		17.0	\$1,997,093	17.6	\$2,005,036
Federal Funds		2.9	\$267,404	2.8	\$266,713
Restricted Receipts		61.1	\$12,190,121	60.4	\$11,537,234
Other Funds		2.1	\$189,030	2.1	\$199,361
Total All Funds		83.0	\$14,643,648	83.0	\$14,008,344

The Program

Treasury Department General Treasurer

Program Mission

To continue to deliver superior service to members of the public, other state agencies, and state vendors in all of its activities; to expand the use of technology to increase operational efficiency; and to improve the management of cash collection, investment and disbursement systems.

Program Description

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Investments, and the Business Office.

Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the office.

Administration Division: This division provides the administrative support to the entire office including the Retirement System in terms of overall leadership for matters dealing with personnel, budgeting, financial controls, legal advice and management information systems.

Investment Division: This division manages the State's borrowing and investments, provides daily fiduciary services to all state government, monitors investment managers for compliance with state guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfer of funds, provides reports to the State Investment Commission on investment performance, and implements Commission policy. This division is actively involved with both the issuance and servicing of state debt obligations.

Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of state bank accounts both to the bank statement balances and to the Controller's records. Additionally, this division laser prints vendor and non-vendor checks and electronically transmits instructions to the appropriate banking institutions.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program.

The Budget

Treasury Department General Treasurer

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Policy	605,702	640,618	697,619	731,654	746,096
Administration Operations	116,788	126,826	132,782	171,898	168,193
Business Offices	1,329,012	1,180,005	1,345,296	1,216,174	1,225,339
Investments	709,624	731,003	857,519	821,245	695,959
Total Expenditures	\$2,761,126	\$2,678,452	\$3,033,216	\$2,940,971	\$2,835,587
Expenditures By Object					
Personnel	2,375,379	2,184,471	2,339,573	2,293,568	2,280,739
Operating Supplies and Expenses	318,153	486,705	661,768	615,978	528,423
Subtotal: Operating Expenditures	2,693,532	2,671,176	3,001,341	2,909,546	2,809,162
Capital Purchases and Equipment	67,594	7,276	31,875	31,425	26,425
Total Expenditures	\$2,761,126	\$2,678,452	\$3,033,216	\$2,940,971	\$2,835,587
Expenditures By Funds					
General Revenue	2,219,110	2,219,264	2,471,194	2,419,170	2,306,467
Federal Funds	333,458	265,429	293,099	271,278	270,861
Restricted Receipts	-	22,861	40,000	40,246	37,651
Other Funds	208,558	170,898	228,923	210,277	220,608
Total Expenditures	\$2,761,126	\$2,678,452	\$3,033,216	\$2,940,971	\$2,835,587

Personnel

Treasury Department General Treasurer

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF INVESTMENT OFFICER (TREASURY)	08553A	1.0	166,244	1.0	166,244
EXEC DIRECTION FOR POLICY & FINANCIAL	08550A	1.0	146,074	1.0	146,592
DEPUTY TREASURER / CHIEF OF ADMINISTRATION	08549A	1.0	141,926	1.0	142,099
EXECUTIVE DIRECTOR FOR OPERATIONS	08547A	1.0	132,939	1.0	133,112
CHIEF OF STAFF (TREASURY)	08548A	1.0	132,248	1.0	136,740
LEGAL COUNSEL (TREASURY/RETIREMENT)	08550A ⁵	1.0	87,955	1.0	87,955
GENERAL COUNSEL	08537A ³	1.0	109,875	1.0	115,138
GENERAL TREASURER	00531F	1.0	108,808	1.0	108,808
CASH MANAGER (TREASURY INVESTMENTS)	08538A	1.0	106,569	1.0	106,569
DEPUTY CHIEF OF STAFF/COMMUN. & COMMUN	08541A	1.0	105,973	1.0	106,146
CHIEF FISCAL MANAGER (TREASURY)	08538A	1.0	101,936	1.0	101,936
ASSOCIATE DIRECTOR OF FINANCE (TREASURY)	08536A ¹	1.0	89,181	1.0	92,667
FISCAL MANAGEMENT/DEBT ANALYST	00330A	1.0	83,352	1.0	83,352
PRINCIPAL AUDITOR	00328A	3.0	227,145	3.0	227,145
PRINCIPAL ADMINISTRATIVE CLERK (TREAS)	00325A ⁶	1.0	71,698	1.0	73,125
ECONOMIC POLICY FELLOW (TREASURY)	08529A ²	1.0	65,886	1.0	65,886
STATE RECEIPTS COORDINATOR & RET ACCT	00324A	1.0	64,312	1.0	64,312
FISCAL MANAGER/PENSION INVESTMENT	00331A	1.0	62,011	1.0	62,011
SENIOR FINANCIAL OFFICER	08530A	1.0	60,475	1.0	60,475
FISCAL ANALYST (TREASURY)	08529A	1.0	60,300	1.0	62,500
ADMINISTRATIVE OFFICER (TREASURY)	00327A	2.0	120,368	2.0	120,368
TECHNICAL SUPPORT SPECIALIST (TREASURY)	08528A	1.0	59,143	1.0	61,842
LEGAL ASSISTANT (TREASURY)	08527A ⁴	1.0	56,897	1.0	59,504
SENIOR INVESTMENT OFFICER (TREASURY)	00324A	1.0	56,262	1.0	56,262
INVESTMENT ANALYST (TREASURY)	08527A	1.0	54,992	1.0	56,975
OUTREACH COORDINATOR (TREASURY)	08524A	1.0	50,827	1.0	50,827
ACCOUNTING & DEBT SERVICES SPECIALIST	00319A	1.0	48,954	1.0	48,954
ACCOUNTING & RESEARCH SERVICES SPECIALIST	00319A	1.0	48,954	1.0	48,954
SR ADMINISTRATIVE AIDE (TREASURY)	00317A	1.0	48,052	1.0	48,052
ADMINISTRATIVE ASSISTANT (TREASURY)	00322A	1.0	46,743	1.0	48,494
SENIOR POLICY AIDE (TREASURY)	08519A	1.0	46,394	1.0	47,859
RESEARCH DIRECTOR (TREASURY)	08519A	1.0	44,504	1.0	44,504
GENERAL ADMINISTRATIVE ASSISTANT	08519A	1.0	42,220	1.0	43,590
SENIOR RESEARCH TECHNICIAN	00121A	1.0	42,006	1.0	42,006
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	41,784	1.0	41,784
ARCHIVES AND RECORDS RETENTION SPECIALIST	00315A	1.0	41,270	1.0	41,270
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS)	00316A	1.0	39,812	1.0	40,593
POLICY AIDE (TREASURY)	08517A	1.0	37,511	1.0	38,319
Subtotal		41.0	\$3,051,600	41.0	\$3,082,969

Personnel

Treasury Department General Treasurer

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		6.8	414,530	6.6	402,177
Cost Allocation to Other Programs		(25.9)	(1,845,406)	(25.4)	(1,793,877)
Exempt Positions		(1.7)	-	(1.7)	-
Turnover		-	(213,206)	-	(279,224)
Subtotal		(20.7)	(\$1,644,082)	(20.4)	(\$1,670,924)
Total Salaries		20.3	\$1,407,518	20.6	\$1,412,045
Benefits					
Payroll Accrual			8,038		8,063
FICA			104,263		105,294
Retiree Health			93,608		89,845
Health Benefits			212,438		226,882
Retirement			338,507		343,552
Subtotal			\$756,854		\$773,636
Total Salaries and Benefits		20.3	\$2,164,372	20.6	\$2,185,681
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$106,777		\$106,307
Statewide Benefit Assessment			\$59,646		\$59,556
Payroll Costs		20.3	\$2,224,018	20.6	\$2,245,237
Purchased Services					
Clerical and Temporary Services			1,000		500
Management & Consultant Services			33,150		20,500
Legal Services			35,400		14,500
Subtotal			\$69,550		\$35,500
Total Personnel		20.3	\$2,293,568	20.6	\$2,280,739
Distribution By Source Of Funds					
General Revenue		15.2	\$1,815,493	15.5	\$1,795,345
Federal Funds		2.7	\$248,799	2.6	\$248,382
Restricted Receipts		0.3	\$40,246	0.3	\$37,651
Other Funds		2.1	\$189,030	2.1	\$199,361
Total All Funds		20.3	\$2,293,568	20.6	\$2,280,739

1 Grade 08539A

3 Grade 8543A (Desired grade not available in position list.) Position transferred from Policy subprogram

5 3/5 time and limited to duration of legal matter, balance taken as turnover.

2 Limited to available funds.

4 Position transferred from Policy subprogram

6 Grade 0327A

The Program

Treasury Department State Retirement System

Program Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 7.5 percent. The Investments subprogram within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Program Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit Program for those teachers who are not covered by Social Security.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. The changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, reamortizing over 25 years, suspended cost-of-living adjustments and created a hybrid defined-benefit/defined-contribution plan designed to share investment risk fairly among all stakeholders.

The Budget

Treasury Department State Retirement System

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Defined Benefit	6,873,217	6,735,897	11,419,094	10,884,871	10,573,457
Defined Contribution	169,311	148,742	246,971	255,889	263,588
Total Expenditures	\$7,042,528	\$6,884,639	\$11,666,065	\$11,140,760	\$10,837,045
Expenditures By Object					
Personnel	6,219,473	6,386,133	7,971,365	10,442,360	9,915,663
Operating Supplies and Expenses	596,637	357,636	506,100	509,800	705,782
Assistance and Grants	82,454	116,150	163,000	163,000	190,000
Subtotal: Operating Expenditures	6,898,564	6,859,919	8,640,465	11,115,160	10,811,445
Capital Purchases and Equipment	143,964	24,720	3,025,600	25,600	25,600
Total Expenditures	\$7,042,528	\$6,884,639	\$11,666,065	\$11,140,760	\$10,837,045
Expenditures By Funds					
General Revenue	169,311	148,742	-	-	-
Restricted Receipts	6,873,217	6,735,897	11,666,065	11,140,760	10,837,045
Total Expenditures	\$7,042,528	\$6,884,639	\$11,666,065	\$11,140,760	\$10,837,045

Personnel

Treasury Department State Retirement System

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08545A	1.0	142,736	1.0	142,736
ASSISTANT DIRECTOR-RETIREMENT SYS ADMIN &	08538A	1.0	106,569	1.0	106,569
DIRECTOR OF FINANCE (TREASURY/RETIREMENT)	08538A	1.0	91,280	1.0	92,669
PROJECT MANAGER	08530A	1.0	82,151	1.0	82,151
LEGAL COUNSEL (TREASURY RETIREMENT)	08528A	1.0	77,675	1.0	81,890
ASSISTANT DIRECTOR MEMBERS SERVICES	08539A 7	1.0	72,682	1.0	72,682
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	00327A	2.0	144,028	2.0	144,028
ASSOCIATE DIRECTOR OF COMMUNICATIONS	08533A	1.0	69,826	1.0	72,375
BUSINESS ANALYST (TREASURY/RETIREMENT)	08531A	1.0	66,993	1.0	70,363
ADMINISTRATIVE ASSISTANT	00325A	2.0	128,051	2.0	128,051
PRODUCTION SYSTEMS TECHNICIAN	00321A	1.0	62,338	1.0	62,338
PRINCIPAL ACCOUNTANT	00326A	1.0	60,374	1.0	60,823
LEGAL COUNSEL/REGULATORY AFFAIRS	08527A	1.0	58,309	1.0	60,938
ADMINISTRATIVE ASSISTANT (TREASURY)	00325A	2.0	114,031	2.0	115,914
PROJECT COORDINATOR (TREASURY)	00325A	0.7	37,931	0.7	39,304
DEPUTY ADMINISTRATOR/CLERK ACCOUNTING	08524A	1.0	53,875	1.0	53,977
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	00325A	2.0	103,146	2.0	105,525
RETIREMENT ANALYST	00323A	3.0	154,034	3.0	154,034
SENIOR ADMINISTRATIVE AIDE	00317A	1.0	49,504	1.0	49,504
IMAGING TECNICIAN	00315A	1.0	47,166	1.0	47,166
ADMINISTRATIVE AIDE	00316A	4.0	180,345	4.0	180,345
MEMBER SERVICES REPRESENTATIVE (TREASURY)	00318A	1.0	40,159	1.0	41,125
RETIREMENT AIDE (TREASURY)	00315A	1.0	36,823	1.0	37,525
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	08513A	1.0	36,375	1.0	37,351
Subtotal		32.7	\$2,016,401	32.7	\$2,039,383
Cost Allocation from Other Programs		16.5	1,187,648	15.7	1,131,255
Cost Allocation to Other Programs		(1.6)	(96,114)	(1.4)	(85,377)
Overtime		-	35,000	-	35,000
Turnover		-	(52,546)	-	(63,740)
Subtotal		14.9	\$1,073,988	14.3	\$1,017,138
Total Salaries		47.6	\$3,090,389	47.0	\$3,056,521
Benefits					
Payroll Accrual			17,454		17,264
FICA			227,627		226,716
Retiree Health			215,922		204,058
Health Benefits			524,013		556,619
Retirement			734,822		735,139
Subtotal			\$1,719,838		\$1,739,796

Personnel

Treasury Department State Retirement System

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Total Salaries and Benefits		47.6	\$4,810,227	47.0	\$4,796,317
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$101,140		\$102,136
Statewide Benefit Assessment			\$129,633		\$127,932
Payroll Costs		47.6	\$4,939,860	47.0	\$4,924,249
Purchased Services					
Information Technology			4,000,000		3,701,314
Clerical and Temporary Services			8,000		8,000
Management & Consultant Services			815,000		735,000
Legal Services			661,000		423,000
Other Contracts			18,500		14,100
Buildings and Ground Maintenance			-		110,000
Subtotal			\$5,502,500		\$4,991,414
Total Personnel		47.6	\$10,442,360	47.0	\$9,915,663
Distribution By Source Of Funds					
Restricted Receipts		47.6	\$10,442,360	47.0	\$9,915,663
Total All Funds		47.6	\$10,442,360	47.0	\$9,915,663

7 08535A Operations Manager (Position title not available in system)

The Program

Treasury Department Unclaimed Property

Program Mission

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners.

Program Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 2011 legislative session. House Bill 5806 and Senate Bill 725 amended the advertising requirements of the program. House Bill 5755 and Senate Bill 727 established procedures for the disposal of 'de minimus' property.

The Budget

Treasury Department Unclaimed Property

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	27,818,707	31,186,832	18,559,092	23,247,165	19,524,231
Total Expenditures	\$27,818,707	\$31,186,832	\$18,559,092	\$23,247,165	\$19,524,231
Expenditures By Object					
Personnel	1,445,763	1,411,851	1,325,513	1,492,586	1,390,354
Operating Supplies and Expenses	26,314,923	29,754,545	17,222,579	21,743,579	18,122,877
Subtotal: Operating Expenditures	27,760,686	31,166,396	18,548,092	23,236,165	19,513,231
Capital Purchases and Equipment	58,021	20,436	11,000	11,000	11,000
Total Expenditures	\$27,818,707	\$31,186,832	\$18,559,092	\$23,247,165	\$19,524,231
Expenditures By Funds					
Restricted Receipts	27,818,707	31,186,832	18,559,092	23,247,165	19,524,231
Total Expenditures	\$27,818,707	\$31,186,832	\$18,559,092	\$23,247,165	\$19,524,231

Personnel

Treasury Department Unclaimed Property

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08524A	1.0	55,227	1.0	55,630
PROJECT COORDINATOR (TREASURY)	00323A	1.0	46,984	1.0	54,170
APPLICATIONS COORDINATOR (TREASURY)	00318A	3.0	138,586	3.0	140,958
UNCLAIMED PROPERTY CLERK (TREASURY)	00316A	2.0	73,376	2.0	73,376
Subtotal		7.0	\$314,173	7.0	\$324,134
Cost Allocation from Other Programs		4.2	330,211	4.3	328,479
Cost Allocation to Other Programs		(0.2)	(9,528)	(0.2)	(9,214)
Overtime		-	9,200	-	9,200
Turnover		-	(26,415)	-	(9,724)
Subtotal		4.0	\$303,468	4.1	\$318,741
Total Salaries		11.0	\$617,641	11.1	\$642,875
Benefits					
Payroll Accrual			3,475		3,620
FICA			45,422		47,601
Retiree Health			42,961		42,820
Health Benefits			102,032		113,554
Retirement			146,330		154,171
Subtotal			\$340,220		\$361,766
Total Salaries and Benefits		11.0	\$957,861	11.1	\$1,004,641
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$87,237		\$90,345
Statewide Benefit Assessment			\$25,725		\$26,713
Payroll Costs		11.0	\$983,586	11.1	\$1,031,354
Purchased Services					
Information Technology			95,000		45,000
Management & Consultant Services			400,000		300,000
Other Contracts			14,000		14,000
Subtotal			\$509,000		\$359,000
Total Personnel		11.0	\$1,492,586	11.1	\$1,390,354
Distribution By Source Of Funds					
Restricted Receipts		11.0	\$1,492,586	11.1	\$1,390,354
Total All Funds		11.0	\$1,492,586	11.1	\$1,390,354

The Program

Treasury Department

Crime Victim Compensation Program

Program Mission

The Program was created to help victims with the many costs associated with violent crime. The program provides financial compensation to crime victims for such expenses as medical bills, loss of earnings, crime scene cleaning and funeral expenses, up to a total of \$25,000. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financing burdens victims face during challenging, difficult times.

Program Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs.

The Budget

Treasury Department Crime Victim Compensation Program

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	2,084,446	1,946,582	2,149,088	1,931,210	1,957,064
Total Expenditures	\$2,084,446	\$1,946,582	\$2,149,088	\$1,931,210	\$1,957,064
Expenditures By Object					
Personnel	379,106	387,361	390,137	415,134	421,588
Operating Supplies and Expenses	38,751	22,692	31,601	28,726	28,626
Assistance and Grants	1,656,362	1,536,529	1,725,000	1,485,000	1,505,000
Subtotal: Operating Expenditures	2,074,219	1,946,582	2,146,738	1,928,860	1,955,214
Capital Purchases and Equipment	10,227	-	2,350	2,350	1,850
Total Expenditures	\$2,084,446	\$1,946,582	\$2,149,088	\$1,931,210	\$1,957,064
Expenditures By Funds					
General Revenue	143,438	164,408	183,498	198,147	225,638
Federal Funds	865,818	761,735	837,323	579,751	599,477
Restricted Receipts	1,075,190	1,020,439	1,128,267	1,153,312	1,131,949
Total Expenditures	\$2,084,446	\$1,946,582	\$2,149,088	\$1,931,210	\$1,957,064

Personnel

Treasury Department Crime Victim Compensation Program

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
PRINCIPAL PROJECTS MANGER (TREASURY)	08536A	1.0	84,030	1.0	84,030
APPLICATIONS COORDINATOR (TREAS CRIME)	00318A	1.0	52,854	1.0	52,854
PROJECT COORDINATOR (TREASURY)	08523A	1.0	49,898	1.0	51,866
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	42,623	1.0	42,623
Subtotal		4.0	\$229,405	4.0	\$231,373
Cost Allocation from Other Programs		2.6	156,302	2.5	149,994
Cost Allocation to Other Programs		(2.4)	(137,643)	(2.2)	(123,437)
Turnover		-	-	-	(6,942)
Subtotal		0.2	\$18,659	0.3	\$19,615
Total Salaries		4.2	\$248,064	4.3	\$250,988
Benefits					
Payroll Accrual			1,419		1,436
FICA			18,915		19,121
Retiree Health			16,996		16,426
Health Benefits			44,241		46,590
Retirement			59,660		61,065
Subtotal			\$141,231		\$144,638
Total Salaries and Benefits		4.2	\$389,295	4.3	\$395,626
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$92,911		\$91,793
Statewide Benefit Assessment			\$10,539		\$10,662
Payroll Costs		4.2	\$399,834	4.3	\$406,288
Purchased Services					
Information Technology			15,200		15,200
Clerical and Temporary Services			100		100
Subtotal			\$15,300		\$15,300
Total Personnel		4.2	\$415,134	4.3	\$421,588
Distribution By Source Of Funds					
General Revenue		1.8	\$181,600	2.1	\$209,691
Federal Funds		0.2	\$18,605	0.2	\$18,331
Restricted Receipts		2.2	\$214,929	2.0	\$193,566
Total All Funds		4.2	\$415,134	4.3	\$421,588

Agency

Board Of Elections

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The Board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The Board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The Board oversees voter registration and public education activities and provides all registration materials used in the State. The Board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The Board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board," which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

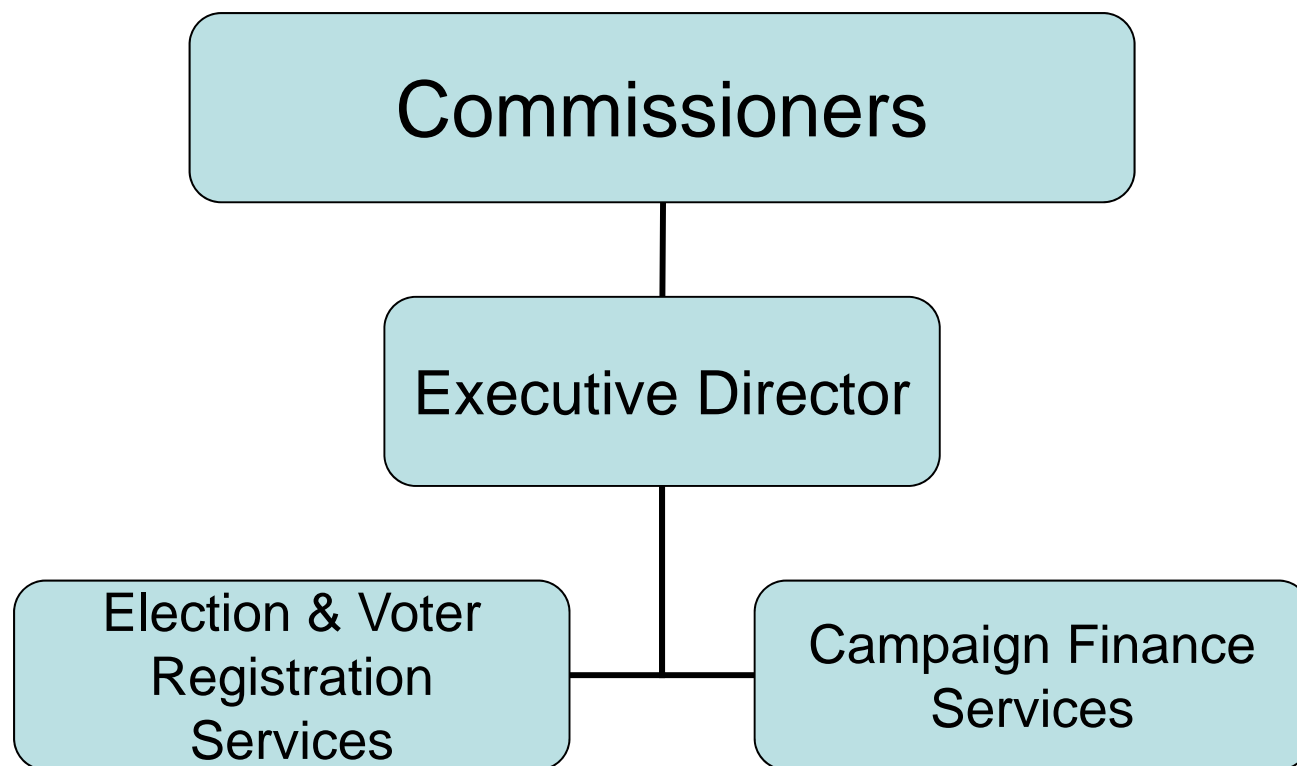
The Budget

Board Of Elections Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,660,728	1,846,513	1,739,361	1,760,405	4,145,127
Total Expenditures	\$1,660,728	\$1,846,513	\$1,739,361	\$1,760,405	\$4,145,127
Expenditures By Object					
Personnel	1,439,954	1,565,625	1,309,203	1,319,246	1,380,078
Operating Supplies and Expenses	178,084	280,888	430,158	441,159	657,109
Assistance and Grants	4,130	-	-	-	2,000,000
Subtotal: Operating Expenditures	1,622,168	1,846,513	1,739,361	1,760,405	4,037,187
Capital Purchases and Equipment	38,560	-	-	-	107,940
Total Expenditures	\$1,660,728	\$1,846,513	\$1,739,361	\$1,760,405	\$4,145,127
Expenditures By Funds					
General Revenue	1,610,728	1,846,488	1,739,361	1,760,405	4,145,127
Federal Funds	50,000	25	-	-	-
Total Expenditures	\$1,660,728	\$1,846,513	\$1,739,361	\$1,760,405	\$4,145,127

The Agency

Board of Elections



Personnel

Board Of Elections Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR	00844A	1.0	137,574	1.0	137,574
PRINCIPAL PROJECTS MANAGER	00831A	1.0	82,130	1.0	82,130
SUPERVISING ACCOUNTANT	00831A	1.0	74,770	1.0	74,770
SENIOR ADMINISTRATIVE AIDE/TRAINING	00319A	1.0	52,292	1.0	52,292
PLANNING AND PROGRAM DEVELOPMENT	00320A	4.0	198,413	4.0	198,413
CONFIDENTIAL SECRETARY	00817A	1.0	43,873	1.0	43,873
SENIOR RECEPTIONIST	00312A	1.0	38,334	1.0	38,334
ADMINISTRATIVE ASSISTANT	00312A	1.0	36,509	1.0	36,509
COMMISSIONER-BOARD OF ELECTIONS	00510F	-	35,000	-	42,000
Subtotal		11.0	\$698,895	11.0	\$705,895
Temporary and Seasonal		-	-	-	160,000
Subtotal		-	-	-	\$160,000
Total Salaries		11.0	\$698,895	11.0	\$865,895
Benefits					
Payroll Accrual			3,948		3,980
FICA			51,970		52,716
Retiree Health			46,937		44,814
Health Benefits			136,638		147,656
Retirement			159,664		161,525
Subtotal			\$399,157		\$410,691
Total Salaries and Benefits		11.0	\$1,098,052	11.0	\$1,276,586
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$99,823		\$101,508
Statewide Benefit Assessment			\$29,706		\$30,004
Payroll Costs		11.0	\$1,127,758	11.0	\$1,306,590
Purchased Services					
Information Technology			150,000		12,000
Clerical and Temporary Services			700		700
Legal Services			40,000		60,000
Other Contracts			788		788
Subtotal			\$191,488		\$73,488
Total Personnel		11.0	\$1,319,246	11.0	\$1,380,078
Distribution By Source Of Funds					
General Revenue		11.0	\$1,319,246	11.0	\$1,380,078
Total All Funds		11.0	\$1,319,246	11.0	\$1,380,078

Agency

Rhode Island Ethics Commission

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The independent, non-partisan Rhode Island Ethics Commission was established pursuant to an amendment to the Rhode Island Constitution, approved by the voters on November 4, 1986. The Commission's first members were appointed on July 25, 1987, pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,500 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

The Budget

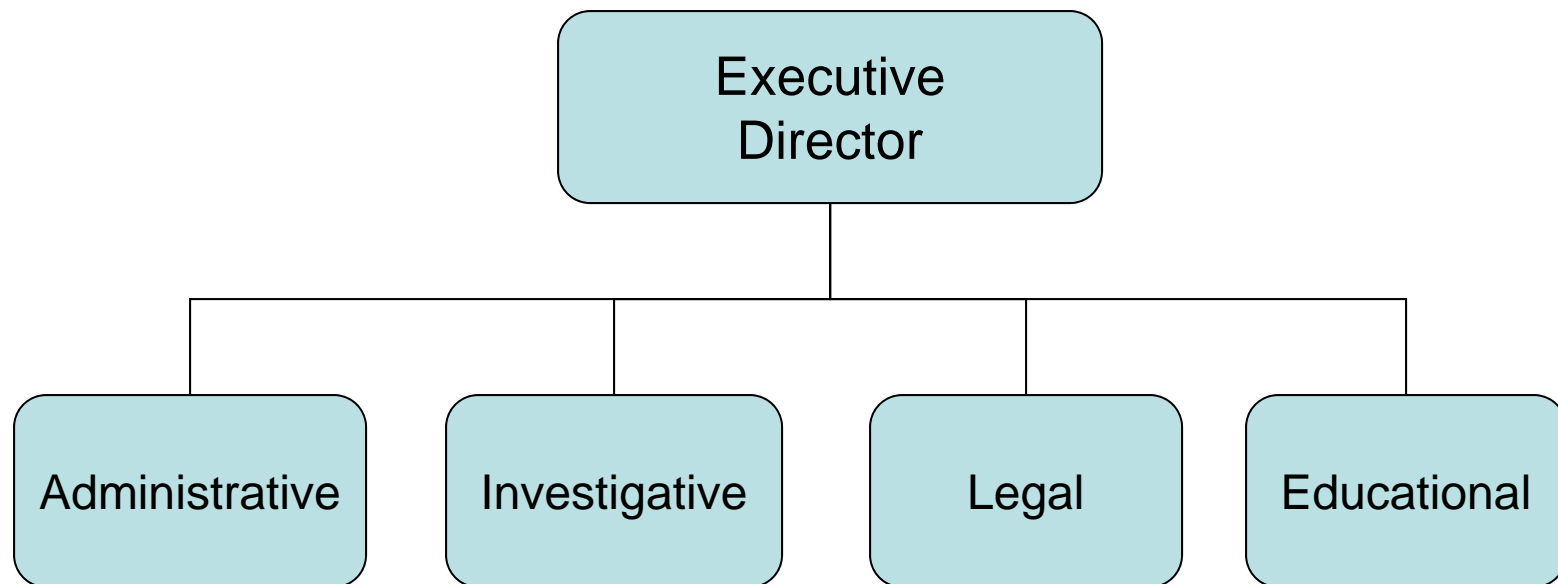
Rhode Island Ethics Commission

RI Ethics Commission

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,410,813	1,500,568	1,577,204	1,575,940	1,581,205
Total Expenditures	\$1,410,813	\$1,500,568	\$1,577,204	\$1,575,940	\$1,581,205
Expenditures By Object					
Personnel	1,249,348	1,331,270	1,408,781	1,398,226	1,409,575
Operating Supplies and Expenses	155,716	154,157	162,305	171,596	165,512
Subtotal: Operating Expenditures	1,405,064	1,485,427	1,571,086	1,569,822	1,575,087
Capital Purchases and Equipment	5,749	15,141	6,118	6,118	6,118
Total Expenditures	\$1,410,813	\$1,500,568	\$1,577,204	\$1,575,940	\$1,581,205
Expenditures By Funds					
General Revenue	1,410,813	1,500,568	1,577,204	1,575,940	1,581,205
Total Expenditures	\$1,410,813	\$1,500,568	\$1,577,204	\$1,575,940	\$1,581,205

The Agency

Rhode Island Ethics Commission



Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	130,324	1.0	130,324
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	101,197	1.0	101,197
STAFF ATTORNEY V	00836A	1.0	93,762	1.0	93,762
STAFF ATTORNEY IV	00834A	1.0	87,519	1.0	87,519
SPECIAL PROJECTS COORDINATOR	00829A	1.0	79,063	1.0	79,063
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	77,664	1.0	77,664
STAFF ATTORNEY II	00830A	1.0	69,632	1.0	71,882
STAFF ATTORNEY I	00828A	1.0	63,323	1.0	63,323
ADMINISTRATIVE OFFICER	00822A	1.0	54,941	1.0	54,941
INVESTIGATOR I	00823A	1.0	54,459	1.0	54,459
ADMINISTRATIVE ASSISTANT	00816A	1.0	42,623	1.0	42,623
RESEARCH AIDE	00810A	1.0	32,874	1.0	33,417
Subtotal		12.0	\$887,381	12.0	\$890,174
Total Salaries		12.0	\$887,381	12.0	\$890,174
Benefits					
Payroll Accrual			5,071		5,087
FICA			66,835		67,259
Retiree Health			62,738		60,088
Health Benefits			93,571		101,055
Retirement			213,416		216,579
Subtotal			\$441,631		\$450,068
Total Salaries and Benefits		12.0	\$1,329,012	12.0	\$1,340,242
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$110,751		\$111,687
Statewide Benefit Assessment			\$37,714		\$37,833
Payroll Costs		12.0	\$1,366,726	12.0	\$1,378,075
Purchased Services					
Information Technology			10,000		10,000
Clerical and Temporary Services			4,400		4,400
Legal Services			17,000		17,000
Other Contracts			100		100
Subtotal			\$31,500		\$31,500
Total Personnel		12.0	\$1,398,226	12.0	\$1,409,575
Distribution By Source Of Funds					
General Revenue		12.0	\$1,398,226	12.0	\$1,409,575
Total All Funds		12.0	\$1,398,226	12.0	\$1,409,575

Performance Measures

Rhode Island Ethics Commission RI Ethics Commission

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Ethics Commission implemented an online financial disclosure filing system, which benefits both the filer and the Ethics Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures that were submitted online.

	2011	2012	2013	2014	2015
Target	--	--	66%	70%	75%
Actual	--	57.8%	67.2%	--	--

Performance for this measure is reported by calendar year and is current as of 12/31/2013.

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is on call to speak with members of the public with questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Such sessions vary in duration and content depending upon the needs and goals of the agency or group receiving the training. The figures below represent the number of ethics education training attendees.

	2011	2012	2013	2014	2015
Target	--	--	1650	1650	1650
Actual	1648	1627	1156	782	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions, and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 days of a request, unless an extension of time. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. This measure represents the percentage of APRA requests completed within one day.

	2011	2012	2013	2014	2015
Target	--	--	80%	85%	85%
Actual	--	75%	85.3%	92.5%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Agency

Rhode Island Commission For Human Rights

Agency Mission

To enforce state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes also prohibit discrimination based on marital status, familial status, housing status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended. During the 2013 legislative session, there was one substantive change to the laws enforced by the Commission. On July 15, 2013, Governor Lincoln Chafee signed into law the so-called "Ban the Box" legislation. The law, which becomes effective on January 1, 2014, amends the state Fair Employment Practices Act to prohibit employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply.

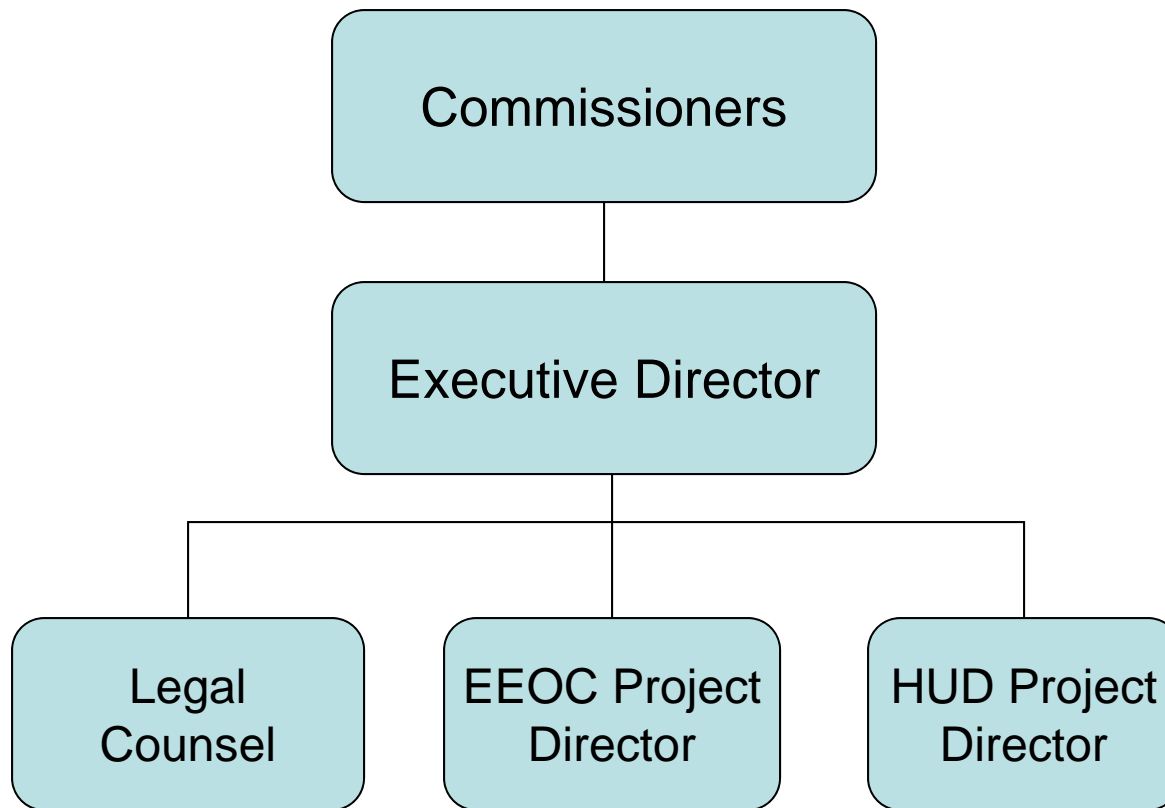
The Budget

Rhode Island Commission For Human Rights Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	1,408,199	1,454,143	1,459,423	1,463,433	1,480,179
Total Expenditures	\$1,408,199	\$1,454,143	\$1,459,423	\$1,463,433	\$1,480,179
Expenditures By Object					
Personnel	1,181,134	1,213,057	1,236,834	1,244,482	1,257,590
Operating Supplies and Expenses	227,065	241,086	222,589	218,951	222,589
Subtotal: Operating Expenditures	1,408,199	1,454,143	1,459,423	1,463,433	1,480,179
Total Expenditures	\$1,408,199	\$1,454,143	\$1,459,423	\$1,463,433	\$1,480,179
Expenditures By Funds					
General Revenue	1,093,206	1,131,840	1,150,785	1,146,066	1,193,083
Federal Funds	314,993	322,303	308,638	317,367	287,096
Total Expenditures	\$1,408,199	\$1,454,143	\$1,459,423	\$1,463,433	\$1,480,179

The Agency

Rhode Island Commission For Human Rights



Personnel

Rhode Island Commission For Human Rights Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE SECRETARY - HUMAN RIGHTS	00832A	1.0	81,363	1.0	81,363
LEGAL COUNSEL	00826A	2.0	142,455	2.0	142,455
HUD PROJECT DIRECTOR	00320A	1.0	54,896	1.0	54,896
EQUAL EMPLOYMENT OPP. COMM. PROJECT DIR.	00320A	1.0	53,167	1.0	53,167
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00319A	3.5	173,138	3.5	173,138
CHIEF CLERK	0E013A	1.0	48,137	1.0	48,137
INVESTIGATOR (HUMAN RIGHTS)	00314A	3.0	125,288	3.0	125,288
ADMINISTRATIVE AIDE	00310A	2.0	76,877	2.0	76,877
Subtotal		14.5	\$755,321	14.5	\$755,321
Turnover		-	(20,367)	-	(20,367)
Subtotal		-	(\$20,367)	-	(\$20,367)
Total Salaries		14.5	\$734,954	14.5	\$734,954
Benefits					
Payroll Accrual			4,204		4,161
FICA			56,225		56,225
Retiree Health			51,961		49,609
Health Benefits			183,814		197,260
Retirement			176,758		178,815
Subtotal			\$472,962		\$486,070
Total Salaries and Benefits		14.5	\$1,207,916	14.5	\$1,221,024
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$83,305		\$84,209
Statewide Benefit Assessment			\$31,235		\$31,235
Payroll Costs		14.5	\$1,239,151	14.5	\$1,252,259
Purchased Services					
Clerical and Temporary Services			5,241		5,241
Legal Services			90		90
Subtotal			\$5,331		\$5,331
Total Personnel		14.5	\$1,244,482	14.5	\$1,257,590
Distribution By Source Of Funds					
General Revenue		11.5	\$929,594	11.5	\$972,973
Federal Funds		3.0	\$314,888	3.0	\$284,617
Total All Funds		14.5	\$1,244,482	14.5	\$1,257,590

Performance Measures

Rhode Island Commission For Human Rights Central Management

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure) as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not result in an immediate closure of cases, such cases are included here so as to accurately reflect the overall work of Commission staff. A higher number of cases processed indicates a greater amount of agency work product and results in a decrease in the number of cases carried forward to a new fiscal year.

	2011	2012	2013	2014	2015
Target	--	--	422	414	414
Actual	422	411	389	88	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number of outreach activities generally indicates a greater success at compliance with the statutory mandate.

	2011	2012	2013	2014	2015
Target	--	--	26	28	28
Actual	32	24	31	5	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Average Case at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from Commission case tracking records.

	2011	2012	2013	2014	2015
Target	--	--	365 Days	365 Days	365 Days
Actual	326 Days	400 Days	329 Days	337 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Agency

Public Utilities Commission

Agency Mission

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation; and cooperate with other state and federal government agencies to coordinate efforts to meet the above objectives.

Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

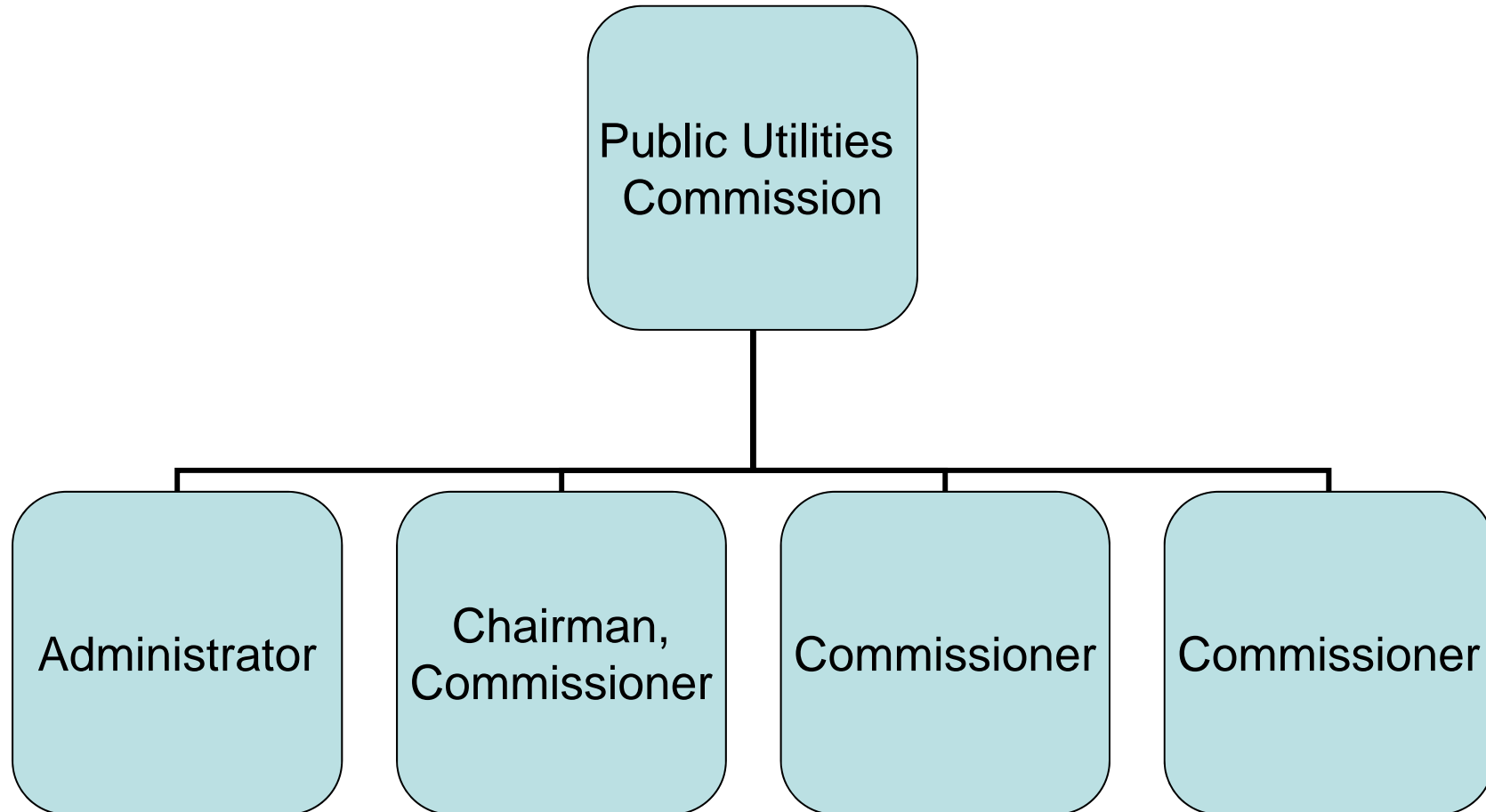
The Budget

Public Utilities Commission Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
Expenditures By Subprogram					
Operations	6,335,868	7,130,573	8,420,293	8,518,927	8,619,471
Total Expenditures	\$6,335,868	\$7,130,573	\$8,420,293	\$8,518,927	\$8,619,471
Expenditures By Object					
Personnel	5,753,381	6,306,300	7,435,847	7,513,255	7,619,203
Operating Supplies and Expenses	563,476	760,332	951,109	943,335	937,931
Assistance and Grants	-	-	337	337	337
Subtotal: Operating Expenditures	6,316,857	7,066,632	8,387,293	8,456,927	8,557,471
Capital Purchases and Equipment	19,011	63,941	33,000	62,000	62,000
Total Expenditures	\$6,335,868	\$7,130,573	\$8,420,293	\$8,518,927	\$8,619,471
Expenditures By Funds					
Federal Funds	307,914	281,102	166,818	205,056	87,733
Restricted Receipts	6,027,954	6,849,471	8,253,475	8,313,871	8,531,738
Total Expenditures	\$6,335,868	\$7,130,573	\$8,420,293	\$8,518,927	\$8,619,471

The Agency

Public Utilities Commission



Personnel

Public Utilities Commission Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FOR LEGAL SERVICES (DOT)	00141A	1.0	118,385	1.0	118,385
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	1.0	112,660	1.0	112,660
CHIEF OF LEGAL SERVICES	00139A	1.0	108,755	1.0	108,755
ASSOCIATE PUBLIC UTILITIES ADMIN FOR OPER & CHIEF FINANCIAL ANALYST	00136A	1.0	96,325	1.0	96,325
ASSISTANT TO CHIEF PUBLIC UTILITIES	00138A	1.0	95,321	1.0	95,321
PUBLIC UTILITIES ADMINISTRATION & ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR	00034A	1.0	94,173	1.0	94,173
PUBLIC UTILITIES ANALYST V	00138A	1.0	89,511	1.0	89,511
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR	00136A	1.0	86,252	1.0	86,252
PUBLIC UTILITIES ANALYST V	00033A	4.0	331,194	4.0	331,194
INVESTIGATIVE AUDITOR	00133A	2.0	164,211	2.0	164,177
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR SENIOR LEGAL COUNSEL	00136A	1.0	79,343	1.0	83,340
DEPUTY CHIEF OF LEGAL SERVICES	00134A	2.0	158,356	2.0	158,356
PUBLIC UTILITIES ANALYST V	00137A	1.0	78,912	1.0	78,912
PUBLIC UTILITIES ANALYST V	0AB33A	1.0	74,748	1.0	77,698
PUBLIC UTILITIES ANALYST IV	00027A	1.0	66,026	1.0	66,026
PRINCIPAL AUDITOR	0AB28A	1.0	64,558	1.0	64,558
PUBLIC UTILITIES ENGINEERING SPECIALIST II	00028A	4.0	252,540	4.0	254,470
CHIEF CONSUMER AGENT (DPUC)	0AB24A	1.0	55,901	1.0	58,289
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	00024A	1.0	54,941	1.0	54,941
PUBLIC UTILITIES ANALYST II	00022A	1.0	50,855	1.0	50,855
INFORMATION SERVICES TECHNICIAN II	00020A	1.0	50,312	1.0	50,312
MOTOR CARRIER COMPLIANCE INSPECTOR	00020A	2.0	90,528	2.0	90,528
INFORMATION SERVICES TECHNICIAN I	00016A	2.0	85,917	2.0	85,917
CONSUMER AGENT (DPUC)	0AB18A	5.0	203,615	5.0	206,297
CUSTOMER SERVICE SPECIALIST I	0AB15A	1.0	35,759	1.0	36,400
PROGRAMMING SERVICES OFFICER	00031A	-	-	1.0	59,104
Subtotal		39.0	\$2,699,098	40.0	\$2,772,756
Unclassified					
ADMINISTRATOR, DIVISION OF PUBLIC UTILITIES & CHAIRPERSON, PUBLIC UTILITIES COMMISSION	00847A	1.0	149,844	1.0	149,844
MEMBER, PUBLIC UTILITIES COMMISSION	00842A	1.0	108,393	1.0	110,640
PRINCIPAL POLICY ASSOCIATE	00839A	2.0	260,091	2.0	211,327
ADMINISTRATIVE ASSISTANT	00837A	1.0	97,312	1.0	97,312
STAFF ATTORNEY II	00129A	1.0	79,060	1.0	79,060
SPECIAL PROJECTS COORDINATOR	00830A	1.0	78,694	1.0	79,135
ADMINISTRATIVE ASSISTANT	00827A	1.0	58,421	1.0	56,820
ADMINISTRATIVE ASSISTANT	00822A	2.0	101,132	2.0	102,061
Subtotal		10.0	\$932,947	10.0	\$886,199

Personnel

Public Utilities Commission Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Overtime		-	50,800	-	50,800
Turnover		-	(48,599)	-	(42,568)
Subtotal		-	\$2,201	-	\$8,232
Total Salaries		49.0	\$3,634,246	50.0	\$3,667,187
Benefits					
Payroll Accrual			20,714		20,950
FICA			275,585		278,414
Retiree Health			256,348		247,110
Health Benefits			589,283		649,014
Retirement			861,820		879,871
Subtotal			\$2,003,750		\$2,075,359
Total Salaries and Benefits		49.0	\$5,637,996	50.0	\$5,742,546
Cost Per FTE Position (Excluding Temporary and Seasonal)			\$115,061		\$114,851
Statewide Benefit Assessment			\$152,296		\$153,694
Payroll Costs		49.0	\$5,790,292	50.0	\$5,896,240
Purchased Services					
Information Technology			100,000		100,000
Clerical and Temporary Services			92,784		92,784
Management & Consultant Services			965,847		965,847
Legal Services			507,000		507,000
Other Contracts			18,649		18,649
Buildings and Ground Maintenance			35,683		35,683
Training and Educational Services			2,000		2,000
Design and Engineering Services			1,000		1,000
Subtotal			\$1,722,963		\$1,722,963
Total Personnel		49.0	\$7,513,255	50.0	\$7,619,203
Distribution By Source Of Funds					
Federal Funds		1.7	\$189,834	0.7	\$79,758
Restricted Receipts		47.3	\$7,323,421	49.3	\$7,539,445
Total All Funds		49.0	\$7,513,255	50.0	\$7,619,203

1 hold over of commissioner per RIGL 38-1-6

Performance Measures

Public Utilities Commission Central Management

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days.

	2011	2012	2013	2014	2015
Target	--	--	100%	100%	100%
Actual	--	--	98.9%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Informal Consumer Payment Plan Process

One goal of DPUC is to meet completion schedules for at least 90% of consumer services offered by the agency. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of a billing inquiry.

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	90%
Actual	--	--	95.9%	99.2%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Consumer Billing Complaint Investigations

The figures below represent the percentage of billing (non-payment related) complaint investigations completed by DPUC within 60 business days.

	2011	2012	2013	2014	2015
Target	--	--	90%	90%	90%
Actual	--	--	97.3%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Timeliness of Motor Carrier Applications and Reports

Applications to operate as a motor carrier (e.g., taxi, limousine, moving company, water taxi, etc.) are received and docketed and a public hearing is scheduled and advertised. After the public hearing is conducted, a formal written report granting or denying the application to operate is issued. The figures below represent the percentage of motor carrier applications with formal written reports completed within 60 business days of filing.

	2011	2012	2013	2014	2015
Target	--	--	95%	95%	95%
Actual	--	--	87.5%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

Capital Budget General Government

Department of Administration

Agency Responsibilities

The Department of Administration manages capital projects coordinated by the Division of Information Technology, Office of Digital Excellence, Office of Housing and Community Development, the Water Resources Board, and through agreements with the Rhode Island Convention Center Authority and the I-195 Redevelopment District Commission.

Division of Information Technology and Office of Digital Excellence

The Division of Information Technology (DoIT) manages and supports all day-to-day operations of the State's technology infrastructure, telecommunications, and associated applications. The Office of Digital Excellence (ODE) manages the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. Together, both DoIT and ODE oversee the Information Technology Investment Fund to determine the eligibility of expenditures from the fund. This includes hardware and software purchases and/or development, information technology consulting services, and ongoing maintenance contracts.

Division of Facilities Management – Executive Order 04-04 states that the Division of Facilities Management “will perform coordinated facilities management for state departments and agencies, including, but not limited to: operation, maintenance and repair of buildings, grounds, central HVAC, power plants and other facilities. The Division may contract or delegate such services as it deems appropriate.” These facilities include the State House, the William Powers Building (Department of Administration), the Cannon Building (Department of Health), the State Office Building (Department of Transportation), and various other structures. This program has also assumed responsibility for most of the facilities at the Pastore Government Center in Cranston and Zambarano Campus in Burrillville.

Division of Capital Projects and Property Management – Executive Order 04-04 also established the Division of Capital Projects and Property Management and assigned the division responsibility for “planning, designing and constructing new state facilities and major renovations to existing buildings.” The program is directly involved in most major construction projects undertaken by the various Executive Branch agencies and lends assistance to other agencies, as requested. Although the construction projects included in the Capital Improvement Plan are displayed under the agencies that primarily benefit from the project, the Division of Capital Projects has direct responsibility for the execution of most of these projects.

Office of Housing and Community Development – The Office of Housing and Community Development within the Department of Administration oversees the Affordable Housing program. This program is responsible for encouraging and supporting the development of affordable housing throughout Rhode Island. Financial assistance is provided to developers to encourage the construction of affordable housing units and also to low-income families to assist them in obtaining suitable housing. Two funding sources are available for this program, including the Neighborhood Opportunities Program, which has been funded by the State in various ways since FY 2000, and the Affordable Housing bonds authorized by the voters in November 2006 and November 2012. The November 2012 bond referendum approved by the voters was for \$25.0 million.

Water Resources Board – The Rhode Island Water Resources Board was established by RIGL 46-15 and is now organized under the Division of Planning in the Department of Administration. The Water Resources Board supports the proper development, protection, conservation, and use of the State's water resources while providing for economic development and protection for the environment.

Department of Administration

Rhode Island Convention Center Authority – The Rhode Island Convention Center Authority manages three Rhode Island Capital Fund projects in agreement with the Department of Administration. These projects are budgeted for under the Department of Administration. The projects include upgrades to the Convention Center, Dunkin’ Donuts Center, and Veterans’ Memorial Auditorium, all of which are located in Providence.

I-195 Redevelopment District Commission –

The I-195 Redevelopment District Commission was created by RIGL 42-64.14 for the purpose of acquisition, management, and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. Approximately 40 acres located in the City of Providence are available for development due to the relocation of Interstate 195. The Commission utilizes Rhode Island Capital Fund resources in agreement with the Department of Administration.

Governor's Recommendations

Division of Information Technology and Office of Digital Excellence

Information Technology Investment Fund - The Governor recommends expenditures of \$13.5 million in restricted receipts from the Information Technology Investment Fund. This fund serves as the long-term strategic funding source for information technology improvement purchases and infrastructure investment by state agencies. The fund was established by the 2011 General Assembly and is supported by cash inflows from the sale of state real properties and equipment, federal awards, private donations, and state appropriations pursuant to RIGL 42-11-2.5. The Division of Information Technology (DoIT) and Office of Digital Excellence (ODE) administers the review, selection, and monitoring of potential projects through adoption of rules and regulations in order to provide for orderly and equitable disbursements from the fund. The Governor recommends expenditures of \$4.1 million in FY 2014, \$3.1 million in FY 2015, \$3.0 million in FY 2016, and \$1.7 million in FY 2017. Expenditures prior to FY 2014 total \$1.6 million.

Information Technology Strategic Plan - The Governor recommends expenditures of \$30.0 million from Certificates of Participation (COPS) to fund information technology projects focused on non-DoIT infrastructure initiatives and information technology requests submitted by various state agencies. The Governor recommends this additional COPS financing as the Information Technology Investment Fund is insufficient to fund the types of system and application upgrades required. The Governor recommends expenditures of \$10.0 million annually in FY 2015, FY 2016, and FY 2017.

Integrated E-Licensing and Consolidation - The Governor recommends expenditures of \$1.0 million from Certificates of Participation (COPS) and \$57,206 in restricted receipts to create a new licensing model that will be compatible across multiple agencies and licensing programs and allow the State to have a common interface for all license processing. The project will achieve a single point of use for external renewal services and license status checks for Rhode Island citizens. It will also integrate and enhance the current e-Licensing system and develop a process-centric system from commercial licenses issued by the Department of Business Regulation (DBR). The Governor recommends \$57,206 in restricted receipts for FY 2014, which is a grant from the Providence Foundation. The Governor recommends COPS expenditures of \$443,567 in FY 2014. Expenditures prior to FY 2014 total \$566,667.

Information Technology Infrastructure – The Governor recommends total project expenditures of \$4.7 million from Certificates of Participation (COPS) for modernization of the State’s information technology infrastructure. Objectives of the project include: standardization of executive branch email platform, consolidation of servers state-wide, deployment of a centralized service desk, enhancement of network

Department of Administration

cyber security capabilities, and strategic planning for long-term technology investments. The Governor recommends expenditures of \$863,712 in FY 2014. Expenditures prior to FY 2014 total \$3.9 million.

Division of Facilities Management

State House Renovations – The Governor recommends expenditures of \$18.1 million from the Rhode Island Capital Plan Fund for the continuing renovations and rehabilitation of the Rhode Island State House. One of the primary objectives of this project is the replacement of the existing heating system as well as the installation of centralized air-conditioning as part of the Energy Management Improvement Project (EMIP). The project also includes plumbing upgrades, electrical upgrades, centralized computer server rooms, installation of fire code upgrades to the sprinkler system for compliance, and repairs to the legislative parking lots. Expenditures recommended by the Governor include \$1.4 million in FY 2014; \$3.0 million in FY 2015 and FY 2016; \$4.0 million in FY 2017; \$2.4 million in FY 2018; and \$1.0 million in FY 2019; Expenditures prior to FY 2014 total \$3.3 million.

Pastore Government Center Campus/ Zambarano Campus Energy Conservation Projects – The Governor recommends expenditures of \$53.3 million from Certificates of Participation (COPS) for the energy performance contracting program for the Pastore Government Center and Zambarano Campus. The energy conservation projects include boiler and chiller replacement, lighting upgrades with automation controls, energy management system improvements, building envelope weatherization and air sealing, and water conservation initiatives. The savings in operating costs, derived from the use of less energy, will be applied to debt service costs. The project is expected to be completed in FY 2014 and the Governor recommends \$10.2 million in COPS financing for FY 2014. Expenditures prior to FY 2014 total \$43.1 million.

Pastore Center Rehabilitation, DOA Portion – The Governor recommends expenditures of \$11.7 million from the Rhode Island Capital Plan Fund for the rehabilitation of seven buildings, sewer system, and maintenance shops at the Pastore Government Center in Cranston. The recommendation includes shifting \$1.1 million from this project to the Harrington Hall project for roof envelope repairs and foundation drain repair to Harrington Hall so that there is more transparency with the work being completed specific to Harrington Hall. Planned expenditures recommended by the Governor include \$2.5 million in FY 2014; \$3.2 million in FY 2015; \$2.3 million in FY 2016; \$650,000 in FY 2017; \$250,000 in FY 2018; and \$50,000 in FY 2019. Expenditures prior to FY 2014 total \$2.8 million.

Pastore Power Plant Rehabilitation – The Governor recommends expenditures of \$33.4 million, including \$30.0 million in Certificates of Participation (COPS) and \$3.5 million from the Rhode Island Capital Plan Fund, for the Pastore Center Power Plant Rehabilitation project. The Pastore Government Center has a Central Power Plant with the capability to co-generate electricity. The procurement and installation of a “Blackstart” generator is expected to be completed by the end of FY 2014. The Governor recommends expenditures of \$1.6 million in Rhode Island Capital Plan Fund resources and \$800,000 in COPS for FY 2014. The remaining Rhode Island Capital Plan Fund expenditures include \$194,723 in FY 2015, and \$500,000 in both FY 2016 and FY 2017. Expenditures prior to FY 2014 include \$29.2 million in Certificates of Participation and \$664,040 in Rhode Island Capital Plan Fund resources.

Pastore Government Center Utilities Upgrade – The Governor recommends expenditures of \$7.4 million from the Rhode Island Capital Plan Fund for upgrades to the utility infrastructure at the Pastore Government Center and Zambarano Campus. Replacement and upgrades to the Pastore Government Center underground distribution system includes switch upgrades and generator upgrades at Regan and Forand. Upgrades to Zambarano include switch gear, generator, and main distribution lines. These systems are beyond its useful life and needs to be replaced and upgraded. The Governor recommends Rhode Island Capital Fund

Department of Administration

expenditures of \$1.1 million in FY 2014, \$2.6 million in FY 2015, and \$1.4 million in FY 2016. Expenditures prior to FY 2014 total \$2.3 million.

Pastore Center Water Utility System – The Governor recommends expenditures of \$794,576 from the Rhode Island Capital Plan Fund to enhance the water supply and distribution system of the underground water supply pipe network at the Pastore Government Center. Cleaning and replacing selected underground lines will continue through FY 2015. The Governor recommends expenditures of \$300,000 in FY 2014 and \$250,000 in FY 2015. Expenditures prior to FY 2014 total \$244,576 million.

Pastore Center Fire Code Compliance – The Governor recommends expenditures of \$7.2 million from the Rhode Island Capital Plan Fund for fire code compliance upgrades to buildings at the Pastore Government Center and Zambarano campuses. The upgrades include the installation and/or upgrade of fire alarms and sprinkler systems to bring the facilities into compliance with the State’s Fire/Life Safety Code, which went into effect in February 2004. As the State Fire Marshal identifies deficiencies on the two campuses, engineering and construction will be undertaken to remedy the deficiencies. The Governor recommends expenditures of \$725,000 in FY 2014; \$1.3 million in FY 2015; and \$500,000 annually in each FY 2016 to FY 2019. Expenditures prior to FY 2014 total \$3.2 million.

Fire Code Compliance, State Buildings – The Governor recommends expenditures of \$3.6 million from the Rhode Island Capital Plan Fund for fire code compliance improvements at State buildings. This project provides funding for the installation of new and the upgrade of existing fire alarms and sprinkler systems for State-owned buildings in order to bring the buildings into compliance with the State’s Fire/Life Safety Codes. The Governor recommends expenditures of \$500,000 annually in each FY 2015 to FY 2019. Expenditures prior to FY 2014 total \$1.1 million.

Cannon Building – The Governor recommends expenditures of \$3.3 million from the Rhode Island Capital Plan Fund for repairs and renovations to the Cannon Building, which houses all of the Department of Health functions except for the Health Laboratory and State Medical Examiner. The upgrades include re-carpeting of the building, expansion of the delivery area on the east side of the building, envelope crack repair, and electrical panel rebalance and floor outlet repositioning. The Governor recommends expenditures of \$938,987 in FY 2014, \$440,000 in FY 2015, \$150,000 in FY 2016, \$150,000 in FY 2017, \$300,000 in FY 2018, and \$300,000 in FY 2019. Expenditures prior to FY 2014 total \$1.0 million.

Chapin Health Laboratory – The Governor recommends expenditures of \$3.2 million from the Rhode Island Capital Plan Fund for infrastructure upgrades at the Chapin Health Laboratory. Project upgrades include retrofitting the laboratory exhaust, installation of new ventilation for the decomposition room, new boiler system, upgrading the HVAC system, and installation of energy-efficient lighting. The Governor recommends expenditures of \$300,000 in FY 2014, \$1.3 million in FY 2015, and \$1.6 million in FY 2016. Expenditures prior to FY 2014 total \$100,000.

Laboratory Building Feasibility Study – The Governor recommends expenditures of \$200,000 from the Rhode Island Capital Plan Fund to complete a laboratory building feasibility study to explore the renovation of the existing Chapin Health Laboratory and Medical Examiner’s building, or the construction of a new facility in the Providence metropolitan area. The building is outdated and requires continued capital funding in order to maintain the existing structure. The final feasibility study is due in early 2014 and will include recommendations of cost estimates for rehabilitation of Chapin Health Laboratory and construction of a new site. The Governor recommends expenditures of \$111,892 in FY 2014. Expenditures prior to FY 2014 total \$88,108.

Department of Administration

Cranston Street Armory – The Governor recommends expenditures of \$6.8 million from the Rhode Island Capital Plan Fund for ongoing rehabilitation of the Cranston Street Armory. The Armory is listed as one of “America’s 11 Most Endangered Historic Places” by the National Trust for Historic Preservation. Military Staff vacated this early 1900s era building during the fall of 1996 and transferred ownership to the Department of Administration. The State, in cooperation with the City of Providence, the Providence Preservation Society and the Elmwood Neighborhood Association, sought proposals for the future development of this property. Although many ideas have been generated on how to make best use of this historic structure, no final determination has been made on its future use. Currently, the State Fire Marshal occupies some of the office space and other state agencies use space for storage. The Governor recommends expenditures of \$925,544 in FY 2014, \$1.0 million in FY 2015, \$500,000 in FY 2016, \$500,000 in FY 2017, \$1.0 million in FY 2018, and \$1.0 million in FY 2019. Expenditures prior to FY 2014 total \$1.9 million.

Old Colony House (Newport) – The Governor recommends expenditures of \$1.7 million from the Rhode Island Capital Plan Fund for repairs to the Old Colony House in Newport. This building was built in Newport between 1739 and 1743 and is the fourth oldest State House still standing in the United States. The State owns the building, but the Newport Historical Society runs the day-to-day operation of the building, which includes daily public viewing. The building requires significant repairs and renovations to preserve the historic nature of the building. For FY 2014, the project includes Phase VI of restoration repairs and replacement of the emergency battery back-up system for fire and security alarms. The Governor recommends expenditures of \$362,605 in FY 2014, \$100,000 in annually in each FY 2015 to FY 2018, and \$50,000 in FY 2019. Expenditures prior to FY 2014 total \$848,604.

State Office Building – The Governor recommends expenditures of \$7.8 million from the Rhode Island Capital Plan Fund for renovations to the State Office Building. The building is currently occupied by the Department of Transportation (RIDOT). Several of the building systems are either in non-compliance or have outlived their useful life, which includes plumbing, electrical, elevators, and interior finishes. Completed projects include the re-pointing and sealing of the exterior walls, primary electrical system upgrades, window and skylight replacements, and roof replacement. Planned building repairs include bathroom renovations, installation of a centralized heating and air conditioning system, elevator renovations, and plumbing replacement. The Governor recommends expenditures of \$1.1 million in FY 2014, \$1.7 million in FY 2015, \$600,000 in FY 2016, and \$2.8 million in FY 2017. Expenditures prior to FY 2014 total \$1.6 million.

Zambarano Utilities and Infrastructure – The Governor recommends expenditures of \$6.5 million from the Rhode Island Capital Plan Fund to facilitate asset protection for buildings, equipment, road, parking, open space, and utilities at the Zambarano Campus in Burrillville. This multi-year project will make the repairs necessary to ensure the reliability of the campus infrastructure, to include the domestic water supply, the wastewater disposal system, the steam heat, and the electricity provided to the buildings that house institutional patients on the campus. Other projects include slate roof repairs, window replacement, and HVAC upgrades. The Governor recommends expenditures of \$1.6 million in FY 2014, \$500,000 in FY 2015, \$550,000 in FY 2016, \$1.0 million in FY 2017, \$750,000 in FY 2018, and \$250,000 in FY 2019. Expenditures prior to FY 2014 total \$1.9 million.

William Powers Building – The Governor recommends expenditures of \$8.4 million from the Rhode Island Capital Plan Fund for the William Powers building, which houses the Departments of Administration and Revenue. Planned projects include upgrades to the service and garage elevators, replacement of cafeteria cooler/freezer, minor kitchen construction and equipment replacement, building security upgrades, renovation of the parking garage, roof replacement, exterior envelope repairs, and construction of an Americans with Disabilities Act (ADA) compliant parking lot on the southwest corner of the building. The

Department of Administration

Governor recommends expenditures of \$2.5 million in FY 2014, \$1.5 million in FY 2015, \$180,000 in FY 2016, \$925,000 in FY 2017, \$440,000 in FY 2018, and \$500,000 in FY 2019. Expenditures prior to FY 2014 total \$2.4 million.

Old State House (Providence) – The Governor recommends expenditures of \$3.7 million from the Rhode Island Capital Plan Fund for renovations and improvements to the Old State House located on Benefit Street in Providence. The building is currently occupied by the Rhode Island Historical Preservation and Heritage Commission and is open to the public for touring. Planned projects include replacement of the boiler and heating system, restoring exterior windows, exterior renovation including brownstone replacement, and plumbing infrastructure. The Governor recommends expenditures of \$532,000 in FY 2014, \$1.4 million in FY 2015, and \$800,000 annually in FY 2016 and FY 2017. Expenditures prior to FY 2014 total \$117,839.

Environmental Compliance – The Governor recommends expenditures of \$2.0 million from the Rhode Island Capital Plan Fund for on-going monitoring and remediation of contaminated soil and groundwater at State properties as mandated by the Department of Environmental Management. The Governor recommends expenditures of \$200,000 annually between FY 2014 and FY 2019. Expenditures prior to FY 2014 total \$766,765.

Replacement of Fueling Tanks – The Governor recommends expenditures of \$3.7 million from the Rhode Island Capital Plan Fund for the replacement of fuel tanks at numerous State properties. The State owns and operates 15 fueling stations for State owned vehicles. Underground tanks that have reached fifteen years of age should be replaced. The tanks scheduled to be replaced in FY 2014 are at the Charlestown and Smithfield locations. The Governor recommends \$650,000 in FY 2014, \$300,000 in FY 2015, \$300,000 in FY 2016, \$400,000 in FY 2017, \$400,000 in FY 2018, and \$500,000 in FY 2019. Expenditures prior to FY 2014 total \$1.1 million.

McCoy Stadium – The Governor recommends expenditures of \$3.0 million from the Rhode Island Capital Plan Fund for upgrades to McCoy Stadium. In 1998 and 1999, the State shared in the costs to finance a construction project to upgrade McCoy Stadium in Pawtucket, which is the home of the Pawtucket Red Sox, a Triple-A baseball franchise. The impetus for the State's involvement in this project was to keep the Pawtucket Red Sox in Rhode Island. The Governor recommends \$50,000 in FY 2015 for further upgrades. The Governor also recommends \$1.2 million in FY 2019 for floor coating replacement in the seating area and concession areas. Expenditures prior to FY 2014 total \$1.8 million.

Pastore Cottages Rehabilitation – The Governor recommends expenditures of \$1.5 million from the Rhode Island Capital Plan Fund to renovate the three Pastore cottages at the Pastore Government Center. Two of the cottages are occupied by the Department of Children, Youth, and Families and the other is occupied by the Governor's Commission on Disabilities. The cottages are in need of significant exterior and interior repair so the useful life of the buildings can be extended. The Rhode Island Historical Preservation and Heritage Commission has deemed that these cottages are historically significant. The Governor recommends expenditures of \$622,328 in FY 2014 and \$800,000 in FY 2015. Expenditures prior to FY 2014 total \$69,911.

Zambarano Wood Chip Boiler – The Governor recommends expenditures of \$750,000 from the Rhode Island Capital Plan Fund for the purchase and installation of a wood chip boiler at the Zambarano Campus in FY 2013. The new biomass boiler plant will provide over 70 percent of the required heat for the facility. The remaining 30 percent will be supported by the existing boilers at the central plant. The project will also include the purchase and installation of a new control system, wood chip storage, and a wood chip delivery system. The Governor recommends expenditures of \$32,838 in FY 2014 for final retainage payments from the purchase of the boiler. Expenditures prior to FY 2014 total \$717,162.

Department of Administration

Division of Capital Projects and Property Management

Pastore Center Building Demolition – The Governor recommends expenditures of \$7.9 million from the Rhode Island Capital Plan Fund for the demolition of several buildings at the Pastore Government Center. The project consists of the demolition of Building 79 (Service Building), Building 80 (Kitchen/Dining Hall), Building 46 (Varley), Building 9 (Welcome Arnold), Building 97 (Pinel), and Building 81 (Eastman House). Additionally, three smaller maintenance/motor pool facility buildings (Buildings 86, 87, and 89) will be demolished under this plan. Repair to the exterior, interior, and building systems is cost prohibitive due to the age of these buildings and its current conditions. The Governor recommends expenditures of \$1.3 million in FY 2014, \$1.5 million in FY 2015, \$1.0 million in FY 2016, \$975,000 in FY 2017, and \$1.2 million in FY 2018. Expenditures prior to FY 2014 total \$1.8 million.

Ladd Rubble Pile Training Facility for Emergency Response Training – The Governor recommends expenditures from the Rhode Island Capital Plan Fund of \$195,161 to install a security fence and security monitoring equipment around the Rubble Pile Training Facility at the Ladd Center. The Vigilant Guard through federal funding has constructed a state of the art search and rescue facility at the Ladd Center in Exeter, which will be turned over the State for future training of first responders and search and rescue groups. The Governor recommends \$45,000 in FY 2014. Expenditures prior to FY 2014 total \$150,161.

Pastore Center Parking – The Governor recommends expenditures of \$4.1 million from the Rhode Island Capital Plan Fund to improve parking for staff, clients, customers, and visitors at the Pastore Government Center. The Pastore Government Center is currently undergoing major renovations and the demand for adequate parking has increased substantially over the past several years. The Pastore Government Center now hosts a renovated Division of Motor Vehicles headquarters, a new Traffic Tribunal, and a new Rhode Island Training School for Youth, all of which have increased traffic on campus. The Governor recommends expenditures of \$600,884 in FY 2014, \$890,000 in FY 2015, \$1.0 million in FY 2018, and \$600,000 in FY 2019. Expenditures prior to FY 2014 total \$959,116.

DoIT Enterprise Operations Center – The Governor recommends expenditures of \$11.6 million from the Rhode Island Capital Plan Fund to continue to upgrade the data center facility at 50 Service Ave. in Warwick. The building has been renovated and is occupied by the Division of Information Technology (DoIT) and Treasury. The planned projects at the facility include HVAC upgrades, security fencing, seal coating for the parking lot, installation of a new unisex handicap bathroom, roof replacement, and replacement of the existing boiler. The Governor recommends expenditures of \$356,350 in FY 2014, \$250,000 in FY 2015, \$50,000 in FY 2016, \$530,000 in FY 2017, \$750,000 in FY 2018, and \$250,000 in FY 2019. Expenditures prior to FY 2014 total \$9.4 million.

Washington County Government Center – The Governor recommends expenditures of \$4.2 million from the Rhode Island Capital Plan Fund for renovations to the Washington County Government Center in Wakefield. The building tenants include the Department of Labor and Training, Department of Human Services, Department of Children, Youth and Families, Coastal Resources Management Council, the Division of Motor Vehicles, the Judiciary, and the South County Tourism Council. The building has a new fire code compliant sprinkler system and fire alarm. All of the ceilings in the building were renovated to accept a new HVAC system when the project begins. Other projects include parking lot resurfacing, masonry repairs, window replacement, installation of a back-up generator, and other interior renovations. The Governor recommends expenditures of \$460,000 in FY 2014, \$225,000 in FY 2015, \$475,000 in FY 2016, \$350,000 in FY 2017, and \$350,000 in FY 2018. Expenditures prior to FY 2014 total \$2.4 million.

Department of Administration

Ladd Center Building Demolition – The Governor recommends expenditures of \$4.6 million from the Rhode Island Capital Plan Fund for building demolitions at the Ladd Center in Exeter. Demolition and removal is scheduled for nine buildings. This includes the removal of all foundations and fill, grade, and seed over structures. As part of the demolition, security will be present at the Ladd Center to make sure there are no illegal trespassers, which is funded with general revenue operating funds. The Governor recommends expenditures of \$1.7 million in FY 2014 and \$2.1 million in FY 2015. Expenditures prior to FY 2014 total \$811,113.

Veterans' Auditorium Land Purchase – The Governor recommends expenditures of \$4.2 million from the Rhode Island Capital Plan Fund to purchase property on Francis Street in Providence adjacent to the Rhode Island Credit Union and to construct a new parking lot. There is currently a shortage of parking on Capitol Hill and the intent is to construct a new parking lot on this land and secure it with fencing. The Governor recommends \$4.2 million in FY 2014 for the purchase and construction of a new parking lot.

Virks Building Renovation – The Governor recommends expenditures of \$13.6 million, which includes \$593,587 in Rhode Island Capital Plan Fund expenditures and \$13.0 million from Certificates of Participation (COPS), for the renovation and upgrades to the Virks Building at the Pastore Government Center in Cranston. The Executive Office of Health and Human Services (EOHHS) will occupy the newly renovated building. Federal funds will be utilized to cover 50 percent of the lease payments of the building. Rhode Island Capital Plan Fund resources totaling \$593,587 will finance the architectural and design engineering. The Governor recommends expenditures of \$300,000 in Rhode Island Capital Plan Fund resources for FY 2014, \$6.0 million in COPS for FY 2015, and \$7.0 million in COPS for FY 2016. Rhode Island Capital Plan Fund expenditures prior to FY 2014 total \$293,587.

Mathias Building Renovation – The Governor recommends expenditures of \$2.1 million from the Rhode Island Capital Plan Fund for renovations to the Mathias Building at the Pastore Government Center in Cranston. The renovations include roof repairs, HVAC system upgrades, and telephone and data installation. The building is currently occupied by Regan Hospital personnel and the remaining vacant space is proposed to be utilized for the relocation of existing occupants from the Varley Building, which is slated for demolition. The Governor recommends expenditures of \$1.3 million in FY 2014 and \$800,000 in FY 2015.

Harrington Hall Renovation - The Governor recommends expenditures of \$2.6 million from the Rhode Island Capital Plan Fund to renovate and upgrade Harrington Hall at the Pastore Government Center in Cranston. The recommendation includes shifting \$1.1 million from the Pastore Center Rehabilitation, DOA Portion project, which is managed by the Division of Facilities Management, for roof envelope repairs (\$1.0 million) and foundation drain repairs (\$50,000) to this project. The shift of funding between projects is recommended so that there is more transparency with all state funded work being completed to Harrington Hall. The project will be jointly managed by the Division of Facilities Management (interior repairs) and the Division of Capital Projects and Property Management (external repairs). The Governor recommends \$1.2 million in FY 2014 and \$1.4 million in FY 2015.

Water Resources Board

Big River Management Area - The Governor recommends total project expenditures of \$1.4 million from the Rhode Island Capital Plan Fund for on-going administration of real estate in the perimeter of the Big River Management Area pursuant to state statute (RIGL 46-15.1-19.1). Covering 8,400 acres, it contains 27 single-family occupied, rented dwellings, three commercial buildings, a 79-pad mobile home court, a nine-hole golf course, seven miles of public roads, and three bridges. Planned expenditures are for residential and commercial capital repairs, demolition of vacant sites, dump site remediation, and road,

Department of Administration

bridge, and culvert maintenance. The Governor recommends expenditures of \$355,811 in FY 2014 and \$120,000 annually from FY 2015 to FY 2019. Expenditures prior to FY 2014 total \$419,664.

Bristol County Water Supply Act – The Governor recommends an amendment to the Bristol County Water Supply Act (RIGL 46-15.5) to ensure a secondary supply of potable water for residents of the City of East Providence and Bristol County. Currently, Bristol County communities and the City of East Providence are serviced solely by the Providence Water Supply Board. The Governor recommends utilizing existing Bristol County Water Supply Act bonds to perform the repairs necessary to cover the current liabilities of the Bristol County Water Authority to maintain Massachusetts dams and other infrastructure in accordance with current easements. Bond funds of \$5.0 million are available from the Public Law 2004, Chapter 595 issuance and \$1.9 million from Public Law 1986, Chapter 419 issuance to finance the project. Cost estimates of the required work are still being determined.

South County Groundwater Site Acquisition Program - The Governor recommends expenditures of \$7.5 million from Public Law 2004, Chapter 595 general obligation bonds issued and unissued to preserve and protect valuable groundwater resources in the State through the purchase of wellhead lands and development rights to such sites in order to assure the future availability of water and water independence for the State of Rhode Island. The Water Resources Board (WRB) has purchased two sites and continues to investigate multiple sites throughout South County from the use of well drillers and professional engineers. The Governor recommends funding from the Public Law 2004, Chapter 595 issued bond totaling \$7.5 million. The Governor recommends expenditures of \$905,106 in FY 2014 and \$2.0 million annually from FY 2015 to FY 2017. Expenditures prior to FY 2014 total \$594,894. The Governor also recommends \$500,000 from the Public Law 2004, Chapter 595 unissued bond \$500,000 in FY 2015.

Statewide Emergency Water Interconnections - The Governor recommends expenditures of \$5.0 million from Public Law 2004, Chapter 595 issued general obligation bond proceeds to establish emergency interconnections between the State's thirty large water systems and other systems. Interconnections are determined dependent on the ease of redundancy, quality, and quantity of water as well as the benefits to the health and safety of one or more systems. The Governor recommends expenditures of \$1.4 million in FY 2014 and \$2.3 million in FY 2015. Expenditures prior to FY 2014 total \$1.3 million.

Water Facilities Assistance Program and Water Allocation Plan - The Governor recommends total expenditures of \$15.2 million, of which \$15.0 million is recommended via voter referendum in November 2014 for the issuance of general obligation bond proceeds to support the Water Facilities Assistance Program and Water Allocation Plan. The Water Facilities Assistance program is statutorily required (RIGL 46-15.2-4) to provide 50 percent of the costs for approved intersystem facilities. The bond proceeds would be utilized to finance a new Pawtucket pipeline project to connect the newly constructed water facilities in Pawtucket, through the City of East Providence, and connecting to Bristol County Water Authority's existing infrastructure, which serves almost 100,000 residents. The Water Allocation Plan funds consultant services provided by the United States Geological Survey and other consultants for geological data collection, which will be used to determine the best use of the State's potable water. The Governor recommends expenditures from the proceeds of the proposed general obligation bond via referendum in November 2014 of \$5.0 million annually in FY 2016 to FY 2018. General revenue expenditures for the Water Allocation Program in FY 2012 and FY 2013 total \$178,972.

Office of Housing and Community Development

Building Homes Rhode Island (BHRI) -Affordable Housing Project – The Governor recommends expenditures of \$25.0 million from a new bond referendum (Chapter 241 of the 2012 Public Laws) approved by voters of the State of Rhode Island in November 2012. Proceeds from the bond will capitalize

Department of Administration

the Building Homes Rhode Island (BHRI) program, which has helped to create over 1,300 affordable homes in 30 communities in Rhode Island. The program will focus 80 percent of its resources on rental projects and 20 percent on homeownership projects. The Governor recommends expenditures of \$12.5 million in FY 2014 and \$12.5 million in FY 2015.

Rhode Island Convention Center Authority

Veterans' Memorial Auditorium and Office Building – The Governor recommends expenditures of \$14.9 million from the Rhode Island Capital Plan Fund for renovation to the Veterans' Memorial Auditorium. The renovations include construction of a glass-enclosed addition to the side of the building that overlooks Interstate 95, a new loading dock, a walkway that connects the rear of the auditorium to the lobby, extra restrooms, and other upgrades to the facility. The renovations are currently in the final phase, which includes the renovation of floors four through six, painting and carpeting of the entire auditorium, and HVAC upgrades. Final furniture, fixtures, and equipment procurement will also occur during this phase. The Governor recommends expenditures of \$5.5 million in FY 2014. Expenditures prior to FY 2014 total \$9.3 million.

Dunkin' Donuts Center – The Dunkin' Donuts Center was purchased by the Rhode Island Convention Center Authority by the issuance of revenue bonds (2006 Series A Bonds) and leased back to the State. Rental payments from the Dunkin' Donuts Center lease are applied to the debt service payments on the revenue bonds. The Governor recommends expenditures of \$8.3 million from the Rhode Island Capital Plan Fund to be deposited into the Renewal and Replacement Fund in accordance with yearly requirements specified by the bond covenants of the 2006 Series A Bonds. Projects for FY 2014 include replacement of the Ice Deck, upgrading motorized equipment, HVAC upgrades, and suite refurbishing. The Governor recommends expenditures of \$925,000 in FY 2014, \$1,387,500 per year FY 2015 through FY 2018, and \$1.9 million in FY 2019.

Rhode Island Convention Center – The Governor recommends expenditures of \$6.3 million from the Rhode Island Capital Plan Fund for repairs and maintenance to the Convention Center. In FY 2013, the General Assembly authorized \$500,000 in Rhode Island Capital Plan Fund expenditures for fire code upgrades to the North Garage. These asset protection expenditures include electrical, food and beverage, operational, and HVAC upgrades to the facility. FY 2014 projects include replacement of carpet outside the exhibition halls, facility maintenance and event safety equipment, installation of elevator car top handrails, and HVAC upgrades. As the facility continues to age, proactive maintenance is required to maintain the asset and ensure the safety of staff and attendees. The Governor recommends expenditures of \$1.1 million in FY 2014 and \$1.0 million annually from FY 2015 to FY 2019. Expenditures prior to FY 2014 total \$287,469.

I-195 Redevelopment District Commission

The I-195 Redevelopment District Commission was created by RIGL 42-64.14 for the purpose of acquisition, management, and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. Approximately 40 acres located in the City of Providence are available for development due to the relocation of Interstate 195. The Governor recommends expenditures from the Rhode Island Capital Plan Fund of \$750,000 for the permitting, environmental modeling and other architectural/engineering services to prepare the property for acquisition and development. The Governor recommends expenditures of \$280,789 in FY 2014 and \$250,000 in FY 2015. Total expenditures prior to FY 2014 total \$219,211.

Department of Labor and Training

Agency Responsibilities

The Department of Labor and Training is responsible for administering income support programs (Unemployment Insurance, and Temporary Disability Insurance) and employment and training programs; enforcing laws relating to prevailing wages, labor standards, weights and measures, professional regulations, and occupational health and safety; operating a rehabilitation facility (Donley Center) for individuals with work-related injuries; administering Workers' Compensation programs, including claims monitoring and education programs; and providing administrative support for the Labor Relations Board and the Board of Review. The Department has a network of six full service field offices (Pawtucket, Woonsocket, Providence, West Warwick, Warren, and Wakefield) to provide a full range of employment and training services; a headquarters in the Center General Complex at the Pastore Government Center, Cranston; an auxiliary office for the Board of Review in Providence (Westminster Street), and the Donley Rehabilitation Center in Providence (249 Blackstone Blvd).

Governor's Recommendations

Center General Complex Slate Roof Replacement - The Governor recommends expenditures of \$2.0 million from Rhode Island Capital Plan Fund resources in FY 2014 and FY 2015 to replace the slate roofs on three of the six Center General Complex buildings, including the roofs on buildings 68, 69, and 71. When the facility was rehabilitated during the late 1990s, the only slate roof that was completely replaced was on building 72. For buildings 68, 69, and 71, the slate roofs were redone using existing material. Due to water leaking into the buildings and deteriorating slate falling off buildings, the Governor recommends replacing the roofs on these buildings with new slate material. The recommendation reflects the completion of the roof replacement in FY 2015 due to increased funding needs as a result of higher than anticipated contractor bids. The \$2.0 million includes \$1.5 million in FY 2014 and \$505,996 in FY 2015. Financing in FY 2014 consists of \$751,412 from the Rhode Island Capital Plan Fund; \$255,481 from Temporary Disability Insurance funds; \$248,000 from restricted receipts; and \$280,824 from federal funds. Financing in FY 2015 consists entirely of RI Capital Plan Fund financing. Prior expenditures total \$47,384.

Center General Asset Protection - The Governor recommends \$6.0 million from the Rhode Island Capital Plan Fund to maintain and repair the six buildings comprising the Center General Complex. Occupants include the Department of Labor and Training, Department of Business Regulation, and support staff of the Division of Facilities Management and the Division of Information Technology, both part of the Department of Administration. The recommendation includes a net increase of \$3.9 million above the enacted capital plan, resulting from the addition of future sub-projects for repairs at the Center General complex. Capital repair deficiencies compiled by the Division of Facilities Management include: carpet replacement; parking lot and walkway repairs; exterior envelope repairs; window replacements, repairs and caulking; cornice repairs; HVAC improvements; air duct cleaning; interior painting; foundation perimeter sealing; and repairs of the building 72 roof. Project expenditures will include: \$615,069 in FY 2014, \$1.5 million in FY 2015, \$1.5 million in FY 2016, \$1.2 million in FY 2017, and \$1.0 million in FY 2018. Prior expenditures total \$189,441.

Department of Revenue

Agency Responsibilities

The Department of Revenue has seven programmatic functions, which include Central Management (Director of Revenue), Taxation; State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, and State Aid. The department will be responsible for two information technology and two capital projects in the FY 2015 – FY 2019 Capital Improvement Plan. The projects include an Integrated Tax System for the Division of Taxation, modernization of the Department of Motor Vehicles IT system, replacement of heavy duty lifts at the State’s Emission Control inspection garage, and Lottery Building renovations.

Governor's Recommendations

Division of Motor Vehicles IT Modernization – The Governor recommends total project expenditures of \$20.3 million for the DMV Modernization System known as RIMS (Rhode Island Motor Vehicle System) to implement a new, real-time, and customer-centric motor vehicle system, which will replace the current DMV system. This includes \$11.0 million from Certificates of Participation (COPS), as approved by the 2006 General Assembly, \$6.7 million in restricted receipts from the customer surcharge at the DMV, \$704,700 in federal funds, and \$1.9 million in general revenue. The replacement of the current system is required due to outdated technology which cannot respond to legislative changes, federal mandates, and incorporate the hundreds of interfaces with outside entities that are necessary. The Governor recommends expenditures of \$3.6 million in FY 2014, which includes \$704,700 in federal funds, \$600,000 in general revenue, \$86,997 in COPS, and \$2.2 million in restricted receipts from the customer surcharge. The Governor recommends expenditures of \$627,270 in general revenue for FY 2015 and FY 2016.

Integrated Tax System – The Governor recommends a total of \$25.0 million in Certificates of Participation (COPS) financing for a new Integrated Tax System named “STAARS” (State Tax Administration and Revenue System). The project is divided into three phases with Release I projected to “go live” in June 2014. Release II is projected to be completed by September 2015 and Release III by September 2016. The new system will store all taxpayer information in one centralized system, which will enable staff the availability to view all returns, inbound correspondence, and outbound correspondence on the centralized system. Currently, Taxation staff must check multiple systems to determine all components of a taxpayer’s balance and inquiries regarding the status of audits, bankruptcies, taxpayer service requests, appeals, active assessments, license renewals, and other areas. Finally, the system will expand taxpayer, tax preparer, and taxpayer representative online functionality for registration, filing, payment, account inquiry, access to taxpayer documents, and other self-service activities. The Governor recommends \$6.9 million in COPS financing for FY 2014 and \$5.0 million each year between FY 2015 and FY 2017 for a project total of \$25.0 million.

DMV Safety and Emissions Lift Replacement –

The Governor recommends a total of \$300,000 from the Rhode Island Capital Plan Fund to replace heavy duty vehicle lifts at the DMV Safety and Emission Control inspection garage. These lifts are used daily for the inspection of all school buses and public service vehicles registered in Rhode Island. The garage has two lifts to be replaced and costs associated for site prep and installation of a drainage system. The Governor recommends funding of \$300,000 in FY 2014.

Lottery Building Renovations – The Governor recommends a total of \$579,000 in Rhode Island Capital Plan Fund financing for renovations at the Lottery’s headquarters building on Pontiac Avenue in Cranston. The renovations include improvements to the parking lot, HVAC upgrades, and new carpeting and office upgrades. The new carpeting and office upgrades were completed in FY 2013. The Governor recommends \$306,750 for FY 2014. Total expenditures prior to FY 2014 total \$272,250.

Public Utilities Commission

Agency Responsibilities

Comprised of two distinct regulatory bodies, the Division of Public Utilities and Carriers and the Public Utilities Commission, the Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct for all public utilities and common carriers of property and persons. Both divisions also hold hearings and investigations involving rates, tariffs, tolls, and charges.

Additionally, while the Commission exercises jurisdiction over the sites of potential energy facilities, the Division of Public Utilities and Carriers supervises and regulates Community Antenna Television Systems (CATV), including transactions between public utilities and affiliates, as well as public utility debt and equity issuances.

Governor's Recommendations

Facility Asset Protection/Renovations. This project seeks to bring the facility housing the Public Utilities Commission into compliance with state fire code regulations and federal Americans with Disabilities Act requirements. Although the agency has undertaken various improvements and renovations to its state-owned office building at 89 Jefferson Boulevard, since its relocation in FY 2001, FY 2004 was the first fiscal year that this capital project was included in the state's capital plan.

The Governor recommends total project funding of \$635,500 in restricted receipts, consisting of \$79,000 in FY 2014, \$204,000 in FY 2015, \$111,000 in FY 2016, \$85,000 in FY 2017, \$80,000 in FY 2018 and \$76,500 in FY 2019. These funds will be used for various asset protection and renovation projects and capital asset acquisition including, but not limited to, replacing 1) membrane roof, 2) the windows on the north, west, south and east ends of the building 3) pavement resurfacing and 4) replacement of the handicapped railings and ramps. It also includes upgrading and modernizing the building's elevators.

Quasi-Public Agencies

**Quasi-Public Agencies and
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The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements based on the following table:

Program	FAA portion	Corporation portion
Land Acquisition	80%-90%	10-20%
T.F. Green projects	75%-90%	10-25%
General Aviation Airports	90%	10%

The Agency

Rhode Island Airport Corporation

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility. The DOT has contributed capital to fund a portion of the construction of the InterLink Facility.

The Budget

Rhode Island Airport Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Budget	FY 2015 Recommended
Revenue: (T.F. Green)				
Passenger Airline Revenues	21,063,100	21,317,600	21,735,000	21,850,000
Landing Fees - Cargo	482,800	507,100	500,000	510,000
General Aviation	284,600	249,700	250,000	250,000
Fuel Flowage Fees	1,088,300	1,028,800	1,060,000	1,081,200
Tiedown & Hanger Fees	1,327,600	1,332,600	1,290,700	1,316,500
Aircraft Registration	23,800	24,900	22,000	24,900
Concessions	3,456,800	3,450,400	3,470,500	3,539,900
Miscellaneous Revenues	143,900	172,600	143,700	146,600
Utilities Reimbursement	280,800	283,200	280,000	285,600
Terminal Rent-Non Airlines	1,063,100	1,018,400	1,021,600	1,042,000
Automobile Parking	11,528,700	11,433,500	11,873,000	11,935,800
Rental Car Parking	5,128,400	5,111,200	4,971,700	5,263,600
Off Airport Courtesy Fees	647,300	639,300	645,600	658,500
Audit & Finance Charge	1,400	7,800	-	-
Federal Grants - FAA	444,400	404,100	351,500	352,000
Airport Support Fund - Revenue A65	815,500	837,700	815,000	855,000
Total Revenue	47,780,500	47,818,900	48,430,300	49,111,600
Personnel Expenses: (T.F. Green)				
Payroll	10,586,600	10,652,900	11,105,800	11,327,900
Payroll - Overtime	406,900	486,500	452,500	461,600
Snow Removal Overtime	52,600	205,400	194,100	198,000
Overtime-Holiday	281,800	267,000	303,700	309,800
Employee Retirement	826,100	819,900	1,060,700	1,093,000
FICA Tax	839,900	863,400	891,900	914,400
Long Term Disability & Life Insurance	165,700	156,800	182,000	182,000
Workers' Comp Insurance	289,800	303,300	405,000	405,000
Health Insurance	1,929,400	1,934,900	1,834,000	1,992,400
Total Personnel Expenses	\$15,378,800	\$15,690,100	\$16,429,700	\$16,884,100
Total Expenses - Operating	9,838,200	9,717,800	10,493,300	10,685,000
Total Expenditures	\$25,217,000	\$25,407,900	\$26,923,000	\$27,569,100
Net Income from Operations	\$22,563,500	\$22,411,000	\$21,507,300	\$21,542,500

The Budget

Rhode Island Airport Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Budget	FY 2015 Recommended
Outlying Airports				
Revenues	2,489,700	2,501,500	2,656,500	2,736,200
Payroll Expenses	(1,528,400)	(1,533,000)	(1,655,000)	(1,688,100)
Operating Expenses	(1,336,500)	(1,239,900)	(1,538,500)	(1,569,300)
Airport Management Fee	(152,500)	(157,200)	(150,000)	(150,000)
Net Gain (Loss) Outlying Airport	(\$527,700)	(\$428,600)	(\$687,000)	(\$671,200)
Depreciation & Amortization	19,872,700	20,280,100	20,800,000	20,800,000
Net Income(Loss) After Depreciation and Amortization	\$2,163,100	\$1,702,300	\$20,300	\$71,300
Other Income & Expenses				
Interest Income	33,500	42,600	50,000	42,600
Interest Expense	(26,800)	(14,000)	(9,000)	(7,000)
Interest Expense - All Bonds	(13,105,000)	(12,653,600)	(12,100,000)	(12,265,000)
Gain (Loss) on Sale of Assets	(600)	(28,500)	-	-
Miscellaneous Income (Expense)	95,300	118,200	-	-
Interest Income - PFC	6,300	4,300	5,000	5,000
Passenger Facility Charge	7,747,300	7,627,800	7,500,000	7,650,000
Federal Grants - FAA	6,038,600	8,151,600	17,167,000	41,619,500
Land Acquisition Program	(964,200)	(2,169,200)	(8,914,000)	(13,372,000)
Total Non-Operating Income & Exp	(175,600)	1,079,200	3,699,000	23,673,100
Intermodal Facility Operations (b)				
Facility Revenues	7,646,400	7,418,800	7,455,000	7,604,100
Operating Expenses	(1,123,100)	(1,264,200)	(1,360,000)	(1,387,200)
Depreciation	(4,357,400)	(4,610,900)	(4,600,000)	(4,620,000)
Interest Expense	(4,400,000)	(4,549,000)	(4,500,000)	(4,440,000)
Contributed Capital - Interlink, Net	(588,900)	659,000	-	-
Total Intermodal Facility, Net	(\$2,823,000)	(\$2,346,300)	(\$3,005,000)	(\$2,843,100)
Net Income	(\$835,500)	\$435,200	\$714,300	\$20,901,300

(a) The information presented for FY 2015 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

The Agency

Capital Center Commission

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Economic Development Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2012 Actual	FY 2013 Unaudited	FY 2014 Revised	FY 2015 Recommended
Operating Revenues				
State Grants	16,225	12,169	12,169	12,169
City Grants	-	-	-	-
Development Fees	-	-	-	-
Interest Income	-	-	-	-
Management Fees	3,891	3,000	1,500	1,500
Miscellaneous Income	-	-	-	-
Total Operating Revenues	\$20,116	\$15,169	\$13,669	\$13,669
Expenditures				
Salaries	-	-	-	-
Fringes	-	-	-	-
Rent and Utilities	-	-	-	-
Telephone	-	-	-	-
Print/Supplies	61	-	100	100
Postage	-	-	-	-
Meetings	777	350	1,000	1,000
Miscellaneous	1,350	-	500	500
Travel/Prof. Dev.	-	-	-	-
Dues, Ins. Subscriptions	-	-	-	-
Consultants	-	-	1,000	1,000
Bookkeeping Fees	1,615	950	1,000	1,100
Stipends	7,500	1,000	-	-
Legal & Audit Fees	1,542	-	5,000	5,000
Total	\$12,845	2,300	\$8,600	\$8,700
Less Non Operating Revenue				
Interest Income	-	5	-	-
Net Income(Loss)	\$7,271	\$12,874	\$5,069	\$4,969

The Agency

Rhode Island Clean Water Finance Agency

Agency Mission

The mission of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

Agency Description

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

The Municipal Road and Bridge Revolving Fund is jointly administered by the Agency and the Department of Transportation (DOT). The Department will be responsible for the regulatory components and the Agency is responsible for the financial components.

Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF. Chapter 24-18 of Title 24 established the Municipal Road and Bridge Revolving Fund.

The Budget

Rhode Island Clean Water Finance Agency

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended ⁽²⁾
Revenue				
Interest and Investment Income	29,213,580	24,668,060	28,081,069	28,923,501
Operating Grant Income	3,093,664	3,574,257	3,372,000	3,225,000
Loan Service Fees ⁽¹⁾	4,457,840	4,904,484	4,824,592	4,925,000
Other Revenue	254,780	249,302	222,000	229,770
Total Revenues	\$37,019,864	\$33,396,103	\$36,499,661	\$37,303,271
Operating Expenses				
Interest and Finance Expenses	29,183,407	28,198,005	32,835,365	32,250,000
Loan Principal Foregiveness	803,813	894,241	1,079,161	1,124,161
Administrative Expenses	1,690,764	1,806,226	1,616,066	1,715,307
Administrative Fees - DEM	386,459	659,715	708,000	485,000
Administrative Fees - DOH	217,953	235,382	256,000	260,000
DOH Set-Aside Programs	2,489,252	2,679,160	2,408,000	2,480,000
Total Operating Expenses	\$34,771,648	\$34,472,729	\$38,902,592	\$38,314,468
Other Revenues (Expenses)				
Federal & State Capitalization Grants	27,564,262	30,501,179	31,179,278	29,620,314
Gain (Loss) Sale of Assets	-	-	-	-
Excess Revenues over Expenses	\$29,812,478	\$29,424,553	\$28,776,347	\$28,609,117

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2015 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2014 as The Vets, with the approval of the State Department of Administration (DOA). During FY 2012, the Authority, in concert with the DOA, embarked upon a renovation plan for the venue and is now underway with the last phase of construction.

Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. The Authority has management oversight of the Veteran's Memorial Auditorium in consort with the Department of Administration under a current five year lease agreement.

Statutory History

RIGL 42-99 "Convention Center Authority Act" created the Rhode Island Convention Center Authority.

The Budget

Rhode Island Convention Center Authority

	FY2012 Actual	FY13 Actual	FY2014 Revised	FY 2015 Recommended
Resources				
Opening Cash Balances	2,177,242	(65,295)	162,572	(270,536)
Operations	21,102,208	22,251,504	23,555,380	24,170,097
Investment Income and Swap Savings	277	240	245	245
Hotel Room Tax	286,232	323,982	325,000	331,500
Net Bank Transfers/Misc Revenues	50,000	224,504	150,000	150,000
Total Resources	\$23,615,959	\$22,734,935	\$24,193,197	\$24,381,306
Expenditures				
Convention Center Authority	2,528,182	2,548,860	2,959,717	3,035,909
Convention Center Management	12,913,794	13,905,591	12,344,055	12,613,572
Dunkin' Donuts Center	6,317,797	6,745,650	7,861,184	8,110,606
Veterans' Memorial Auditorium	543,412	689,216	1,110,777	1,154,083
Dunkin' Donuts Center Renovation	386,953	-	-	-
Subtotal Operations	22,690,139	23,889,317	\$24,275,733	\$24,914,170
Convention Center Debt Service	\$11,778,375	\$10,275,191	\$11,678,227	\$11,682,031
Dunkin Donuts Center Debt Service	11,361,907	11,355,055	11,351,469	11,296,995
Notes Payable	188,000	188,000	188,000	-
Veterans' Memorial Auditorium Capital	3,673,614	4,355,195	5,544,805	-
Convention Center Capital	803,116	904,640	1,000,000	1,000,000
Dunkin' Donuts Center Capital	-	500,532	925,000	1,387,500
Grand Total Expenditures	\$50,495,151	\$51,467,930	\$54,963,234	\$50,280,696
Balance from Operations	(\$26,879,192)	(\$28,732,995)	(\$30,770,037)	(\$25,899,390)
State Appropriation - Debt Service	23,140,282	21,630,246	23,029,696	22,979,026
State Appropriation - Renewal & Replacement	-	1,504,954	-	-
State Appropriation - RICAP - VMA	3,673,614	4,355,195	5,544,805	-
State Appropriation - RICAP - Conv. Center	-	904,640	1,000,000	1,000,000
State Appropriation - RICAP - D.D. Center	-	500,532	925,000	1,387,500
Final Cash Balances	(\$65,295)	\$162,572	(\$270,536)	(\$532,864)

The information presented above is provided by the Authority and has not been approved by the Authority's Board of Directors. The Authority will constrain expenses as needed to achieve a balanced budget in each year. FY 2013 Actuals reflect recently submitted audited financial statements. Annual start-up state appropriation to the Authority of \$1.5 million is not included. Convention Center Debt Service reflects the final results of the revenue refunding of the 2003 Series which occurred in the spring of 2013.

The Agency

Rhode Island Commerce Corporation (formerly RIEDC)

Agency Mission

The Rhode Island Commerce Corporation's (hereafter "Commerce RI") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

Commerce RI, in partnership with industry, civic and government leaders, has created a two-year job growth and economic reinvestment plan focused on five critical areas: (1) cultivating existing and attracting new businesses; (2) growing key industries; (3) creating and marketing business-ready infrastructure hubs; (4) providing greater growth capital and credit; and (5) revitalizing urban communities.

Agency Description

Commerce RI is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, Commerce RI serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Effective January 1, 2005, corporate governance of the Quonset Point/Davisville Industrial Park in North Kingstown was transferred to the Board of Directors of the Quonset Development Corporation, a subsidiary of Commerce RI.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by RIGL 42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority. Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL 42-64-1.1, and was subsequently rebranded "Commerce RI."

The Budget

Rhode Island Commerce Corporation (formerly RIEDC)

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Opening Unrestricted Balance ⁽¹⁾:	2,051,714	1,612,267	855,729	734,247
Base State Appropriation	4,051,214	3,972,864	3,944,514	4,019,514
<u>Other Revenues</u>				
Finance Program Reimbursements ⁽²⁾	547,601	273,024	680,071	676,720
Grant Reimbursements ⁽²⁾	561,182	716,600	823,780	667,319
Other	373,532	337,500	1,065,000	191,000
Total Other Revenues:	1,482,315	1,327,124	2,568,851	1,535,039
TOTAL REVENUES:	5,533,529	5,299,988	6,513,365	5,554,553
Personnel	3,983,005	3,710,420	3,967,185	3,843,978
Operating Expenses	1,847,578	2,110,871	2,322,227	2,277,227
Grant/Partnership Expenses	142,393	235,235	345,435	70,435
TOTAL EXPENSES:	5,972,976	6,056,526	6,634,847	6,191,640
Operating Surplus/(Deficit):	(439,447)	(756,538)	(121,482)	(637,087)
Ending Unrestricted Balance ⁽¹⁾:	1,612,267	855,729	734,247	97,160
Pass-through (only) Grants				
<u>State</u>				
STAC Research Alliance (EPScore)	1,500,000	1,150,000	1,150,000	1,150,000
Innovative Matching Grants	-	-	500,000	500,000
Renewable Energy Fund	7,000,278	2,400,000	2,400,000	2,400,000
Legislative Grants	760,597	633,189	601,058	601,058
Airport Impact Aid	1,007,630	1,025,000	1,025,000	1,025,000
Slater Centers of Excellence	2,000,000	1,500,000	1,000,000	500,000
Volvo Ocean Race	-	-	-	775,000
Total:	12,268,505	6,708,189	6,676,058	6,951,058
<u>Federal</u>				
Port Security	-	1,269,506	133,043	-
MARAD	-	9,739,229	760,771	-
Broadband Rhode Island	1,178,915	578,875	976,054	620,449
DOL	226,152	97,879	-	-
State Small Business Credit Initiative	4,395,576	-	4,386,212	4,386,212
Total:	5,800,643	11,685,489	6,256,080	5,006,661

(1) Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

(2) Personnel and indirect cost reimbursements as allowable

The Agency

Rhode Island Health and Educational Building Corporation

Agency Mission

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$6 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Projected	FY 2015 Proposed
Expenditure by Object				
Personnel	542,010	561,248	590,300	606,250
Other Operating Expenditures	336,106	270,862	297,900	303,500
Financing Services	736,528	644,505	765,000	775,000
Grants	-	-	250,000	250,000
Transfer to State	-	-	-	-
Total Expenditures	\$1,614,644	\$1,476,615	\$1,903,200	\$1,934,750
Expenditures by Fund				
Personnel	542,010	561,248	590,300	606,250
Other Operating Expenditures	336,106	270,862	297,900	303,500
Financing Services	736,528	644,505	765,000	775,000
Grants	-	-	250,000	250,000
Transfer to State	-	-	-	-
Total Expenditures	\$1,614,644	\$1,476,615	\$1,903,200	\$1,934,750

The information presented above was provided by the entity, and in most cases, the data provided for FY 2014 has not been approved by the Board of Directors.

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs, including shelters for the homeless.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the "Corporation") is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs, including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Expenditure Report				
Personnel Services*	13,390,335	12,616,768	12,692,000	12,500,000
Other Administrative Expenses	3,837,317	5,256,052	4,951,000	5,000,000
Programmatic Expenses	8,083,919	5,052,320	3,423,000	4,000,000
Provision for Loan Loss	5,614,349	13,799,722	10,600,000	7,000,000
Arbitrage Rebate	638,881	(1,146,404)	700,000	700,000
Amortization and Depreciation	1,680,977	1,877,540	1,278,000	1,300,000
Total	\$33,245,778	\$37,455,998	\$33,644,000	30,500,000

The information for FY 2015 has neither been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation and is subject to change pending review by the Corporation.

Note: During FY 2013, several expenses were reclassified from Programmatic expense to other administrative expenses to better represent the nature of the expense.

The Agency

Housing Resources Commission

Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 to be the State agency responsible for housing policy, planning, programs and coordination for the State of Rhode Island. Funding was provided to establish a lead hazardous reduction program, coordinated with Rhode Island Housing. With the passage of the Lead Hazard Mitigation Act, the Commission's responsibilities included implementation of that law. Additional responsibility was given to the Commission with the passage of the Comprehensive Housing and Rehabilitation Act of 2004. This law mandated the development of a strategic housing plan and ongoing tracking of 29 local affordable housing plans.

With the passage of two affordable housing bonds, the Commission assumed responsibility for the program, known as Building Homes Rhode Island, with administrative assistance from Rhode Island Housing. Regulations, an application, distribution process and contracts were developed and the Commission will disburse all funds made available for this program (\$12.5 million annually in FY 2014 and FY 2015). The Commission is responsible for program development and implementation of homeless facilities and resources to respond to those seeking shelter including those who have been discharged from the Department of Corrections, aged out of foster care and lost housing due to foreclosures of multi-family housing.

Agency Objectives

The objectives are to promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law. The Commission coordinates activities among state agencies and political subdivisions pertaining to housing and promotes quality of life within communities. We accomplished this by providing opportunities for safe, healthy, and affordable housing and economic development for all Rhode Islanders through encouraging public-private partnerships, fostering support for nonprofit organizations, and distributing funding for housing, shelter, and community development.

Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission has 27 members, both ex-officio and appointed by the Governor representing a cross section of people working on housing issues. Amendments enacted during the 2008 legislative session give two additional responsibilities to the Commission: operation of a supportive service program and administration of an expedited permitting process for affordable housing.

The Budget

Housing Resources Commission

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Expenditure by Object				
Administrative Expenses	89,040	89,040	100,000	100,000
Assistance, Grants, Benefits	1,979,345	1,980,960	2,812,492	2,812,492
Capital (NOP) ⁽¹⁾	-	-	-	-
Capital (Building Homes RI) ⁽²⁾	-	-	12,500,000	12,500,000
Total Operating Expenses	2,068,385	2,070,000	15,412,492	15,412,492
Expenditure by Funds				
State General Revenues	2,068,385	2,070,000	2,912,492	2,912,492
NOP	-	-	-	-
G.O. Bond Proceeds - Building Homes	-	-	12,500,000	12,500,000
Total Expenditures	2,068,385	2,070,000	15,412,492	15,412,492
Closing Balance	\$0	\$0	-	\$0

⁽¹⁾ RI Housing provided funding for the NOP program in FY 2012 and FY 2013.

⁽²⁾ Reflects State General Obligation bond proceeds also reflected in the State's Capital Budget.

The Agency

I-195 Redevelopment District Commission

Agency Description

The I-195 Redevelopment District Commission is an independent public instrumentality and body corporate and politic for the purposes of acquisition, management and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. The Commission shall oversee, plan, implement, and administer the development of the areas within the district, as established in the governing legislation, consistent with and subject to the City of Providence comprehensive plan and zoning ordinances.

There are approximately forty acres located in the City of Providence that are available for redevelopment due to the relocation of Interstate 195. This surplus land is available for sale and commercial, industrial and residential development and beneficial reuse, including without limitation to support or encourage workforce development, education and training, and the growth of “knowledge-based” jobs and industries, such as research and development, life sciences, media technologies, entrepreneurship and business management, design, hospitality, software design and application, and a variety of other uses consistent with a knowledge based economy.

Use of the proceeds from the sale of the I-195 surplus land to the I-195 Redevelopment District Commission is a key element of the plan of finance for the completion of the I-195 relocation project, and vital to making the land usable for future development

Statutory History

RIGL 42-64.14 created the I-195 Redevelopment District Commission.

The Budget

I-195 Redevelopment District Commission

	FY 2012 Actual	FY 2013 Actual*	FY 2014 Revised	FY 2015 Recommended
Opening Balance:	\$0	\$116,217	\$90,737	\$198,685
Operating Revenues				
Sale of Property **	-	-	-	N/A**
State Grants	50,000	3,225,321	1,175,679	301,200
Rhode Island Capital Plan Fund	-	219,211	280,789	250,000
Debt Issuance	-	38,400,000	-	-
Loan from EDC	250,000	-	-	-
Total Operating Revenues	\$300,000	\$41,844,532	1,456,468	\$551,200
Total Resources	\$300,000	\$41,960,749	\$1,547,205	\$749,885
Expenditures				
Salaries, Wages and Benefits	-	81,508	310,750	332,980
Contracted Professional Services	175,322	348,649	717,000	355,000
Operating Supplies and Expenses	8,461	82,807	320,770	631,460
Loan Repayment to EDC	-	250,000	-	-
Cost of Issuance	-	1,707,048	-	-
Capital Acquisition	-	39,400,000	-	-
Total	\$183,783	\$41,870,012	\$1,348,520	\$1,319,440
Net Income(Loss)**	\$116,217	\$90,737	\$198,685	(\$569,555)

* FY 2013 Actuals are unaudited.

** Sale of property is expected to begin in FY 2015 and the net income (loss) projections do not take into consideration the anticipated proceeds (RIGL 42-64.14-2).

The Agency

Rhode Island Industrial Facilities Corporation

Agency Mission

The Corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Receipts				
Bond Fees	72,067	61,013	60,000	65,000
Other	1,000	1,000	1,000	1,000
Interest	-	-	-	-
Total	\$73,067	\$62,013	\$61,000	\$66,000
Expenses				
Administration	70,424	29,839	23,000	36,400
Insurance	11,768	12,944	13,600	13,600
Legal and Audit	15,670	15,391	16,000	16,000
Total	\$97,862	\$58,174	\$52,600	\$66,000
Net Gain/(Loss)	(\$24,795)	\$3,839	\$8,400	-

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Mission

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Receipts:				
Premiums	267,412	111,302	96,542	100,000
Interest	4,437	4,856	1,973	2,000
Rent	33,750	1,250	5,000	5,000
Total Receipts	\$305,599	\$117,408	\$103,515	\$107,000
Expenses:				
Administration	187,662	55,852	38,750	40,000
Legal and Audit [1]	54,078	115,920	289,000	75,000
Insurance	11,031	32,290	31,800	32,000
Building Maintenance and Repairs	-	-	-	-
Total Expenses	\$252,771	\$204,062	\$359,550	\$147,000
Operating Income (Loss)	\$52,828	(\$86,654)	(\$256,035)	(\$40,000)
Est. Loss-Default	2,140,452	530,478	-	-
Net [2]	(\$2,087,624)	(\$617,132)	(\$256,035)	(\$40,000)

[1] Legal fees increased due to litigation related to CAPCO.

[2] Cash reserves are maintained by the RI Treasurer's Office to cover projected budget deficits for the Authority.

The Agency

Narragansett Bay Commission

Agency Mission

The mission of the Narragansett Bay Commission is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 63 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 8,000 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$320 million five-year capital improvement budget for fiscal years 2015-2019. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction Services, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC has been charged with the responsibility to reduce the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015* Recommended
Expenditures by Object				
Personnel	19,377,798	19,858,457	21,764,249	22,869,184
Operating Supplies & Expenses	8,554,552	8,579,740	11,043,019	11,749,445
Special Services	6,741,802	7,078,262	7,556,713	7,497,332
Subtotal Operating Expenditures	\$34,674,152	\$35,516,459	\$40,363,981	\$42,115,961
Capital Outlays	2,271,597	2,532,689	3,892,300	2,818,100
Debt Service	32,109,282	35,512,278	43,953,579	44,894,330
Total Expenditures	\$69,055,031	\$73,561,426	\$88,209,860	\$89,828,391
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	19,377,798	19,858,457	21,764,249	22,869,184
Operating Supplies & Expenses	8,554,552	8,579,740	11,043,019	11,749,445
Special Services	6,741,802	7,078,262	7,556,713	7,497,332
Capital Outlays	2,271,597	2,532,689	3,892,300	2,818,100
Debt Service	32,109,282	35,512,278	43,953,579	44,894,330
Total Expenditures	\$69,055,031	73,561,426	\$88,209,860	\$89,828,391

* The information presented for FY 2015 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

Sources:

FY 2012 Actuals taken from the audited financial statements.

FY 2013 Actuals taken from the audited financial statements.

FY 2014 taken from NBC's approved budget.

The Agency

Rhode Island Public Transit Authority

Agency Mission

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 236 buses and trolleys. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 131 vans currently operated by 3 carriers. In FY 2013, 19.2 million passengers were carried on RIPTA's fixed-route bus service and an additional 698,290 passengers were transported on the state's coordinated paratransit service.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2012 Actual	FY 2013 Revised	FY 2014 Revised	FY 2015 Recommend ⁽⁴⁾
Revenue				
Passenger Revenue	23,492,105	24,365,022	24,020,948	24,205,490
Special Revenue	855,063	901,808	912,838	918,875
Other Revenue	12,716,731	12,567,855	11,536,678	11,551,096
State Subsidy - Gasoline Tax ⁽¹⁾	40,945,215	40,780,987	40,662,444	40,662,444
Department of Human Services ⁽²⁾	859,300	836,424	794,700	794,700
Federal Subsidy	20,694,388	21,285,215	21,191,591	22,082,476
Total Revenue	99,562,802	100,737,311	99,119,199	100,215,081
Expenses				
Salaries and Fringe Benefits ⁽³⁾	67,973,300	70,294,037	68,375,456	71,330,415
Contract Services	791,195	10,446,631	9,807,822	9,644,257
Operating Expenses	25,323,309	13,779,490	13,810,055	13,710,916
Utilities	1,371,076	1,496,970	1,519,290	1,484,362
Insurance and Settlements	2,918,341	2,859,152	4,349,012	3,640,148
Capital Match	-	-	517,371	285,000
Debt Service	1,105,343	-	-	-
Revolving Loan Fund	-	-	359,792	698,033
Self Insurance Reserve	-	-	250,000	250,000
Other	-	19,798	25,942	26,720
Total Expenses:	\$ 99,482,564	\$ 98,896,078	\$ 99,014,740	\$ 101,069,851
Closing Surplus/(Deficit):	\$ 80,238	\$ 1,841,233	\$ 104,459	\$ (854,770)

⁽¹⁾ Gas Tax amount estimated by Department of Revenue

⁽²⁾ Gas Tax funding provided through the Department of Human Services for the RIDE Program.

⁽³⁾ The Salary and Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above actual or budget. Only the pay-go portion of OPEB costs are included. The unfunded OPEB liability as of June 30, 2013 is \$37,396,738.

⁽⁴⁾ The RIPTA Board and staff continues to work on ways to reduce expenditures and increase revenues that will ultimately eliminate the deficit prior to the start of fiscal 2015.

Sources:

FY 2012 and FY 2013 Actual taken from the audited financial statements

The Agency

Quonset Development Corporation

Agency Mission

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the Rhode Island Economic Development Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.10 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Estimated Opening Balance Revenues:	\$163,587	\$33,043	\$77,445	\$12,850
Revenues from Operations				
Rental Income	7,623,421	7,204,816	6,854,206	7,697,800
Pier Income	883,378	1,197,888	900,000	1,350,000
Utility Sales	2,057,222	2,268,464	2,049,250	2,250,000
Other Income	267,000	450,779	261,267	379,401
	10,831,021	11,121,947	10,064,723	11,677,201
Total Resources	\$10,994,608	\$11,154,990	\$10,142,168	\$11,690,051
Expenditures				
Personnel Expenses	3,253,112	3,633,298	3,768,918	3,923,163
Operating Expenses	2,708,453	3,325,597	3,341,832	3,334,773
Capital Expenditures (1)	5,000,000	4,118,650	3,018,568	4,377,476
Total Expenditures	\$10,961,565	\$11,077,545	\$10,129,318	\$11,635,412
Closing Balance	\$33,043	\$77,445	\$12,850	\$54,639

(1) Operating surplus to be used for Capital Improvements Projects as well as other internal capital requirement

The Agency

Rhode Island Resource Recovery Corporation

Agency Mission

The mission of the Corporation is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the Rhode Island community. Its primary objectives are to extend the useful life of the Central Landfill to 2034 and beyond in order to provide long term affordable waste disposal services for the State's municipalities and to remain financially self-sufficient by self-funding all operational and capital requirements. To achieve these objectives the corporation manages as close as possible to a private sector company to ensure cost effective operations. The Rhode Island Department of Environmental Management has approved a license for additional landfill capacity estimated to extend the life of the current facility by approximately 20 years.

Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF), in conjunction with grants and technical assistance provided to municipalities' supports the State's municipal recycling programs. Metals, plastic and glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): two web-based materials exchange programs, an education program, promotion of leaf and yard debris composting and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target computer equipment and televisions, marine shrink wrap, plastic bags and provide assistance to school paper recycling programs.

In August 2001, the Corporation assumed responsibility of the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2012 Audited	FY 2013 Audited	FY 2014 Revised	FY 2015 Proposed ⁽¹⁾
Revenues:	\$45,927,738	\$46,031,705	\$48,191,000	\$49,525,000 ⁽²⁾
Expenses:				
Personnel Costs	12,045,566	12,672,813	12,629,000	13,190,000
Contractual Services	17,236,890	14,271,475	11,147,000	9,002,000
Utilities	1,598,860	1,549,860	1,594,000	1,115,000
Repairs and Maintenance	3,807,103	3,171,640	3,698,000	3,718,000
Other Supplies and Expenses	3,523,570	4,657,512	2,943,000	2,961,000
Grants to Municipalities for Recycling	1,937,156	890,358	2,300,000	3,150,000
Bad Debts	124,871	105,251	120,000	120,000
Provision for landfill closure and post closure care and Superfund clean-up costs	(10,773,573)	(4,571,066)	5,010,000	5,025,000
Depreciation, depletion, and amortization	6,062,895	7,488,842	7,302,000	7,585,000
Total Expenses	\$35,563,338	\$40,236,685	\$46,743,000	\$45,866,000 ⁽²⁾
Income (Loss) from Operations	\$10,364,400	\$5,795,020	\$1,448,000	\$3,659,000
Transfers to State of Rhode Island	(3,500,000)	-	-	-
Interest and investment revenue	869,435	306,446	20,408,000	2,383,000
Loss on disposal of land held for sale	(93,717)	-	-	-
Interest expense	(658,901)	(807,783)	(1,079,000)	(977,000)
Other income (expense)	3,357,571	(1,232,030)	840,000	-
Total nonoperating revenues (expenses)	(\$25,612)	(\$1,733,367)	\$20,169,000	\$1,406,000
Net Income (Loss) for the Year	\$10,338,788	\$4,061,653	\$21,617,000	\$5,065,000
Assets:				
Cash, Cash Equivalents & Investments	22,930,074	23,727,955	22,600,000	17,000,000
Accounts Receivable, Net	7,203,081	7,131,190	7,131,190	7,131,190
Property, Plant and Equipment, Net	55,156,785	52,248,026	68,246,000	91,861,000
Restricted Investments	5,168,043	38,278,959	20,000,000	-
Assets Held in Trust	83,607,663	83,747,422	86,147,000	88,547,000
Other Assets	4,805,458	4,919,409	4,919,409	4,919,409
Total Assets	\$178,871,104	\$210,052,961	\$209,043,599	\$209,458,599
Liabilities:				
Accounts Payable	4,678,122	16,714,386	14,282,000	12,609,000
Other Current Liabilities	7,467,326	517,720	617,000	717,000
Bonds/ Notes Payable	12,383,633	40,000,000	36,483,000	32,864,000
Superfund Clean-up, Closure & Post-Closure Costs	75,962,402	70,379,581	69,990,000	70,615,000
Total Liabilities	\$100,491,483	\$127,611,687	\$121,372,000	\$116,805,000
Retained Earnings	\$78,379,621	\$82,441,274	\$87,671,599	\$92,653,599
Total Liabilities and Retained Earnings	\$178,871,104	\$210,052,961	\$209,043,599	\$209,458,599

(1) The FY 2015 proposed budget reflects management's budget projections made in SFY 2013. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables.

(2) Due to the recent economic conditions, the Corporation has experienced a significant drop in volume and corresponding revenues compared to prior State fiscal years. Accordingly, the Corporation has made significant cuts in operating costs, and has deferred various capital outlays previously budgeted in prior fiscal years. The Corporation's anticipated capital needs for fiscal years 2014 and 2015 are \$24.9M and \$31.2M respectively, but will be deferred. In SFY 2013 the Corporation issued \$40 million of revenue bonds to partially finance these requirements.

The Agency

Rhode Island Student Loan Authority

Agency Mission

The Authority has helped over 300,000 students and parents since its start in 1981. As of September 30, 2012, the authority also holds \$501,948,666 in Federal Family Education Loans and \$416,697,765 in non federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originates over \$30,000,000 per year of low cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, is open seven days a week during the peak financial aid season. The CPC operates out of three locations in Warwick, Bristol and Cumberland. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 13,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

The Authority, in association with the Association of Independent Colleges and Universities (AICURI), the Rhode Island Board of Governors for Higher Education (RIBGHE) and the Greater Providence Chamber of Commerce formed the bRIdge Program. This initiative focuses on connecting employees and students in Rhode Island through a statewide internship program. The bRIdge Job Board offers a website that allows employers to post internship opportunities and students to then view and apply for these internships.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Operating Revenues				
Student Loans	36,392,115	34,538,242	31,599,763	29,071,782
Investments	510,881	356,651	328,379	292,257
Other	2,861,556	2,453,658	2,481,755	2,133,458
Total Operating Revenues	\$39,764,552	\$37,348,551	\$34,409,897	\$31,497,497
Operating Expenses				
Interest & Bond Expenses	18,156,338	17,390,815	15,626,514	14,063,862
Arbitrage Rebate Expense	2,910,567	(1,341,583)	675,000	675,000
Loan Servicing	4,105,609	4,128,317	4,160,740	3,952,203
Provision for Risk Share	3,215,818	3,052,500	1,992,783	1,793,505
Department of Education Loan fees	1,275,797	1,183,600	1,055,349	1,002,582
Personnel	3,425,304	3,419,022	3,605,100	3,660,136
Depreciation	58,658	46,925	56,110	56,110
Total Operating Expenses	\$33,148,091	\$27,879,596	\$27,171,596	\$25,203,397
Nonoperating Revenues				
Gain on Early Retirement of Bonds	\$5,242,118	\$9,151,665	\$0	\$0
Excess Revenues over Expenses	\$11,858,579	\$18,620,620	\$7,238,301	\$6,294,100

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown, the Mount Hope Bridge between Portsmouth and Bristol, the Jamestown Verrazzano Bridge between North Kingstown and Jamestown, and the Sakonnet River Bridge between Portsmouth and Tiverton, structures that are integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. At June 30, 2013, \$14,330,000 in principal remains to be paid. In April 2010, Revenue Bonds – Series 2010A were issued in the amount of \$50,000,000. At June 30, 2013, \$50,330,000 in principal remains outstanding. Principal and interest payments are made annually and semi-annually, respectively. The Series 2013A Bond Anticipation Note was established in the amount of \$30,000,000. At June 30, 2013, \$5,000,000 was outstanding. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues calculated on the Claiborne Pell Bridge and interest earned on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow.

Agency Objectives

To facilitate safe and efficient vehicular traffic over waters of the Mount Hope Bay, the East and West Passages of Narragansett Bay, and the Sakonnet River through the operation and maintenance of its four bridges - the Claiborne Pell Bridge, built in 1969, the Mount Hope Bridge, built in 1929, the Jamestown Verrazzano Bridge, built in 1992, and the Sakonnet River Bridge, built in 2012.

Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9. Article 20 of the 2013 appropriations act transfers the Sakonnet River Bridge and the Jamestown Verrazzano Bridge to the Authority.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended ⁽²⁾
Revenue				
Tolls	18,303,115	18,394,147	37,100,000	37,656,500
Interest Income	1,004,653	496,668	850,000	900,000
Miscellaneous	1,109,397	1,518,920	2,914,000	2,957,710
Total Revenue	20,417,165	20,409,735	40,864,000	41,514,210
Expenses				
Salaries and Wages	2,920,029	3,522,845	3,907,909	3,552,162
Bond Interest	2,206,927	2,932,614	3,319,898	3,459,384
Maintenance & Supplies	2,059,545	1,435,222	1,290,224	1,457,440
Insurance	797,864	815,238	2,709,927	2,709,927
Professional	1,584,787	2,416,877	3,189,630	2,627,733
All Other	6,335,379	10,822,064	13,136,500	14,161,437
Total Expenses	15,904,531	21,944,860	27,554,088	27,968,083
Debt Service and Reserves				
Bond Principal Account	1,445,196	1,515,324	1,725,371	1,761,503
Renewal and Replacement Fund ⁽¹⁾	32,270,384	11,304,829	9,500,000	10,500,000
Insurance Reserve Fund	1,587,189	1,587,770	1,588,000	1,588,200
General Fund	1,607	1,607	1,607	1,607
Total Funding	35,304,376	14,409,530	12,814,978	13,851,310
Total Expenses and Funding	51,208,907	36,354,390	40,369,066	41,819,393
Change in Net Assets	(30,791,742)	(15,944,655)	494,934	(305,183)

⁽¹⁾ The Renewal and Replacement Fund is used for capital improvements included in the ten-year plan approved by the Board of Trustees and certain maintenance costs of the Authority's two bridges and related buildings and grounds. Amounts in this fund are pledged to bondholders.

⁽²⁾ The information presented above was provided by the Authority. Fiscal 2015 data has not been approved by the Authority's management or Board of Directors. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

In 2009 the General Assembly passed Budget Article 5 that abolished the WRB Corporate program at the time the currently outstanding bonds are paid off, expected in 2016 (RIGL 46-15.1-22). The staff of the Water Resources Board is working with RI Clean Water Finance Agency to develop a memorandum of agreement to facilitate this transfer of responsibilities.

The Budget

Rhode Island Water Resources Board Corporate

For the Fiscal Years 2012 and 2013 ⁽¹⁾

	FY 2012 Actual	FY 2013 Actual	FY 2014 Budget	FY 2015 Recommended
Public Drinking Water Protect Revenue Bond Services				
Water Surcharge ⁽²⁾	991,660	941,789	1,000,000	950,000
Interest Income	53,740	53,695	48,500	53,650
Total	1,045,400	995,484	1,048,500	1,003,650
Expenditures by Funds				
Personnel/Purchased Services ⁽³⁾	18,011	18,539	24,350	24,350
Capital Projects Debt Service	-	-	-	-
Subtotal: Water Quality Protection Charge	\$18,011	\$18,539	\$24,350	\$24,350
Other Funds				
Capital Debt Service 1997 Rev Bond ⁽³⁾	-	-	-	-
Subtotal: Water Quality	-	-	-	-
Total Expenditures	\$18,011	\$18,539	\$24,350	\$24,350

⁽¹⁾ Principal payments on debt are considered expenditures for budgetary purposes only, but are not considered an expenditure for financial statement purposes. Please see the financial statements for fiscal year ending June 30, 2013 for more detail.

⁽²⁾ For FY 2012-2015 personnel and purchased services are provided by the Water Resources Board staff. Cash expenditures consist of purchased financial and legal services. The WRB is working with the RI Clean Water Finance Agency to transfer the WRB Corporate program in calendar year 2014 to comply with RIGL 46-15.1-22. Future budget requests for the WRB Corporate program will be included under the RI Clean Water Finance Agency budget request.

⁽³⁾ Paid directly by Providence Water Supply Board.

Component Units

The Agency

The College Crusade of Rhode Island

Agency Mission

The mission of The College Crusade of Rhode Island is to increase high school graduation, college and career readiness and college completion for youth in Rhode Island's low-income communities.

Agency Description

The College Crusade of Rhode Island (The Crusade) offers a range of age-appropriate supports for students as they progress from grades 6-12. Through its direct services and grant-making programs, it partners with over 40 schools and community agencies to serve Crusaders – with special emphasis on youth from the five RI cities and towns with the greatest numbers of low-income families. Currently there are approximately 3,700 Crusaders in grades 6-12. The College Crusade has now graduated thirteen cohorts of Crusaders – those who enrolled in 1991-2003. Through fiscal year 2013, the Crusade has provided scholarships to approximately 3,600 Crusaders valued at \$28 million. The Crusade has a \$7.0 million scholarship fund, as well as pledges of donated scholarships from 19 colleges, universities and trade schools. The Crusade is supported by an annual Community Service Grant from the State of Rhode Island and by federal and private grants and gifts from individuals.

Statutory History

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by up to a 19-member Board of Directors. Legislation enacted in 1990 (R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget. In FY 2008 the state support changed to a Community Service Grant within the Office of Higher Education's budget.

The Budget

The College Crusade of Rhode Island

	FY 2012 Actual	FY 2013 Actual	FY 2014 Revised	FY 2015 Recommended
Carry Forward Funds	32,885	(54,329)	42,171	22,171
Scholarship Fund/Cash & Pledges	525,000	774,839	735,536	777,858
Support & Revenue				
State Appropriation/BOG Support	475,384	356,538	356,538	356,538
Private Donations/Miscellaneous Grants	505,353	430,303	649,369	650,000
Special Events	-	-	8,000	8,000
Public/Private In-Kind Contributions	369,536	476,665	530,000	530,000
Federal Grant Funds	2,763,012	3,210,955	3,343,738	3,343,738
Carnegie Foundation Grant	-	-	-	-
Investment Income	43	194	100	100
Subtotal	\$4,113,328	\$4,474,655	\$4,887,745	\$4,888,376
Total Resources	\$4,671,213	\$5,195,165	\$5,665,452	\$5,688,405
Expenses				
Personnel Cost	2,447,557	2,721,287	2,956,258	3,044,946
Special Services	211,452	258,784	236,990	236,990
Special Events	-	-	8,000	8,000
Program Support Services	763,241	915,981	1,229,689	1,229,689
Operating Expenses	321,869	467,569	436,364	449,455
Cost of Scholarships	512,416	444,373	475,000	475,000
Total Expenses	\$4,256,535	\$4,807,994	\$5,342,301	\$5,444,080
Transfer to Scholarship Fund	469,007	345,000	300,980	244,325
Closing Fund Balance	(54,329)	42,171	22,171	-

*The information presented for FY 2015 is preliminary and has not been reviewed nor approved by the College Crusade of Rhode Island Board of Directors.