

State of Rhode Island and Providence Plantations

# Budget



## Fiscal Year 2015

Volume IV – Public Safety, Natural Resources  
and Transportation

Lincoln D. Chafee, Governor

**Dedication**

*This year's budget documents are dedicated to the  
Memory of William V. Golas, Jr.  
Sr. Budget Analyst 1987 - 2013*

*The image on the cover of this year's budget document is a Winter Scene of the State House from Artist John Pitocco of Providence and is reproduced by permission of the artist in collaboration with the Rhode Island State Council on the Arts.*

**Public Safety,  
Natural Resources  
and Transportation**



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# **Public Safety**





# Budget

## Public Safety Function Expenditures

	FY 2012 Actuals	FY 2013 Actuals	FY 2014 Enacted	FY 2014 Recommended	FY 2015 Recommended
<b>Expenditure by Object</b>					
Personnel	365,044,918	362,468,102	380,649,032	381,524,580	383,378,783
Operating Supplies and Expenses	42,608,545	43,577,691	47,329,038	57,049,386	49,438,701
Aid to Local Units of Government	-	-	-	-	-
Assistance, Grants, and Benefits	48,032,134	50,865,977	56,863,180	62,386,313	55,094,652
<b>Subtotal: Operating Expenditure</b>	<b>\$455,685,597</b>	<b>\$456,911,770</b>	<b>\$484,841,250</b>	<b>\$500,960,279</b>	<b>\$487,912,136</b>
Capital Purchases and Equipment	9,105,904	16,863,947	35,847,444	37,792,254	43,498,036
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$464,791,501</b>	<b>\$473,775,717</b>	<b>\$520,688,694</b>	<b>\$538,752,533</b>	<b>\$531,410,172</b>
<b>Expenditures by Funds</b>					
General Revenue	398,984,872	398,647,622	411,984,004	410,631,379	415,634,713
Federal Funds	45,561,338	45,675,623	47,358,751	59,449,140	45,126,216
Restricted Receipts	11,283,715	14,805,616	30,695,961	41,485,823	35,646,531
Other Funds	8,961,576	14,646,856	30,649,978	27,186,191	35,002,712
<b>Total Expenditures</b>	<b>\$464,791,501</b>	<b>\$473,775,717</b>	<b>\$520,688,694</b>	<b>\$538,752,533</b>	<b>\$531,410,172</b>
<b>FTE Authorization</b>	<b>3,186.6</b>	<b>3,189.6</b>	<b>3,233.6</b>	<b>3,222.6</b>	<b>3,222.6</b>

# Agency

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## Department Of Attorney General

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### Agency Mission

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

### Agency Description

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# Budget

## Department Of Attorney General

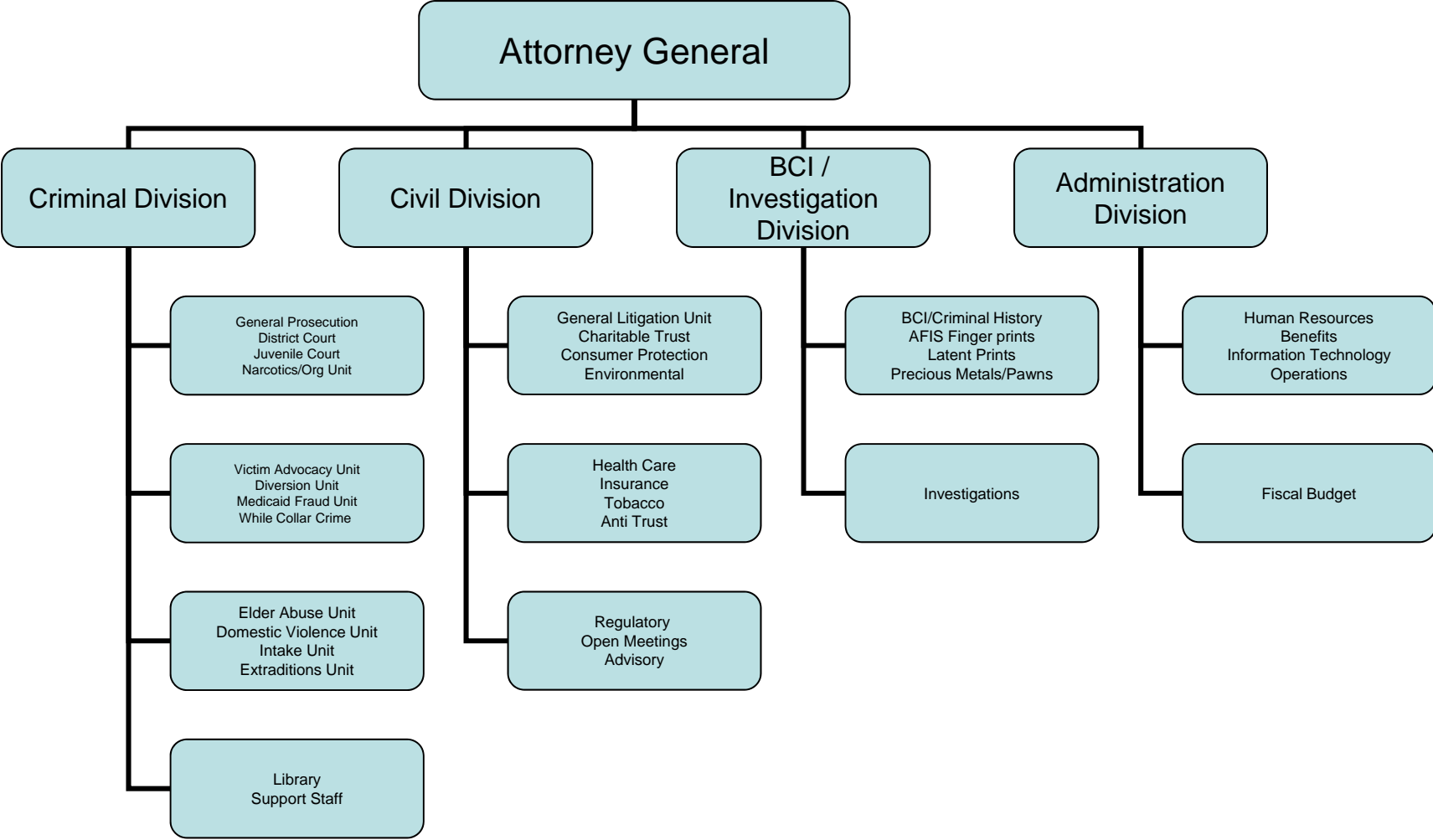
	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Criminal	15,316,256	15,560,352	20,507,020	32,449,369	26,154,222
Civil	5,355,042	5,063,030	5,618,395	6,087,829	5,733,404
Bureau of Criminal Identification	1,313,779	1,385,594	1,503,119	1,531,403	1,542,124
General	2,612,241	3,014,791	2,771,567	2,972,763	3,073,613
<b>Total Expenditures</b>	<b>\$24,597,318</b>	<b>\$25,023,767</b>	<b>\$30,400,101</b>	<b>\$43,041,364</b>	<b>\$36,503,363</b>
<b>Expenditures By Object</b>					
Personnel	22,953,739	22,954,764	28,292,622	29,945,809	25,281,918
Operating Supplies and Expenses	1,448,772	1,525,578	1,864,590	6,161,765	3,226,111
<b>Subtotal: Operating Expenditures</b>	<b>24,402,511</b>	<b>24,480,342</b>	<b>30,157,212</b>	<b>36,107,574</b>	<b>28,508,029</b>
Capital Purchases and Equipment	194,807	543,425	242,889	6,933,790	7,995,334
<b>Total Expenditures</b>	<b>\$24,597,318</b>	<b>\$25,023,767</b>	<b>\$30,400,101</b>	<b>\$43,041,364</b>	<b>\$36,503,363</b>
<b>Expenditures By Funds</b>					
General Revenue	22,161,393	22,301,954	23,656,979	24,013,794	23,587,146
Federal Funds	1,475,840	1,390,205	1,619,532	2,829,090	1,366,309
Restricted Receipts	837,372	923,413	5,073,590	15,956,675	11,249,908
Operating Transfers from Other Funds	122,713	408,195	50,000	241,805	300,000
<b>Total Expenditures</b>	<b>\$24,597,318</b>	<b>\$25,023,767</b>	<b>\$30,400,101</b>	<b>\$43,041,364</b>	<b>\$36,503,363</b>
<b>FTE Authorization</b>	<b>231.1</b>	<b>233.1</b>	<b>233.1</b>	<b>233.1</b>	<b>233.1</b>

# The Agency

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## Attorney General

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# Personnel

## Department Of Attorney General Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified		233.1	14,952,685	233.1	15,063,068
<b>Subtotal</b>		<b>233.1</b>	<b>\$14,952,685</b>	<b>233.1</b>	<b>\$15,063,068</b>
Turnover		-	(\$448,587)	-	(\$584,142)
<b>Subtotal</b>		<b>-</b>	<b>(\$448,587)</b>	<b>-</b>	<b>(\$584,142)</b>
<b>Total Salaries</b>		<b>233.1</b>	<b>\$14,504,098</b>	<b>233.1</b>	<b>\$14,478,926</b>
<b>Benefits</b>					
Payroll Accrual			82,925		82,108
FICA			1,100,216		1,089,391
Retiree Health			1,027,922		979,853
Health Benefits			2,628,812		2,801,907
Retirement			3,488,217		3,522,716
<b>Subtotal</b>			<b>\$8,328,092</b>		<b>\$8,475,975</b>
<b>Total Salaries and Benefits</b>		<b>233.1</b>	<b>\$22,832,190</b>	<b>233.1</b>	<b>\$22,954,901</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$97,946</b>		<b>\$98,472</b>
<b>Statewide Benefit Assessment</b>			<b>\$616,414</b>		<b>\$619,895</b>
<b>Payroll Costs</b>		<b>233.1</b>	<b>\$23,448,604</b>	<b>233.1</b>	<b>\$23,574,796</b>
<b>Purchased Services</b>					
Information Technology			5,682,924		1,578,639
Clerical and Temporary Services			42,590		28,059
Legal Services			644,396		4,120
Other Contracts			2,354		2,692
Buildings and Ground Maintenance			60,047		60,540
Design and Engineering Services			38,689		6,867
Medical Services			26,205		26,205
<b>Subtotal</b>			<b>\$6,497,205</b>		<b>\$1,707,122</b>
<b>Total Personnel</b>		<b>233.1</b>	<b>\$29,945,809</b>	<b>233.1</b>	<b>\$25,281,918</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		213.9	\$22,296,463	213.9	\$21,958,310
Federal Funds		13.2	\$2,751,706	13.2	\$1,166,775
Restricted Receipts		6.0	\$4,897,640	6.0	\$2,156,833
<b>Total All Funds</b>		<b>233.1</b>	<b>\$29,945,809</b>	<b>233.1</b>	<b>\$25,281,918</b>

# The Program

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## Department Of Attorney General Criminal

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### **Program Mission**

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

### **Program Description**

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Department Of Attorney General Criminal

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	15,316,256	15,560,352	20,507,020	32,449,369	26,154,222
<b>Total Expenditures</b>	<b>\$15,316,256</b>	<b>\$15,560,352</b>	<b>\$20,507,020</b>	<b>\$32,449,369</b>	<b>\$26,154,222</b>
<b>Expenditures By Object</b>					
Personnel	14,320,875	14,428,672	19,121,707	20,268,844	15,901,335
Operating Supplies and Expenses	934,895	1,021,914	1,244,096	5,542,712	2,612,179
<b>Subtotal: Operating Expenditures</b>	<b>15,255,770</b>	<b>15,450,586</b>	<b>20,365,803</b>	<b>25,811,556</b>	<b>18,513,514</b>
Capital Purchases and Equipment	60,486	109,766	141,217	6,637,813	7,640,708
<b>Total Expenditures</b>	<b>\$15,316,256</b>	<b>\$15,560,352</b>	<b>\$20,507,020</b>	<b>\$32,449,369</b>	<b>\$26,154,222</b>
<b>Expenditures By Funds</b>					
General Revenue	13,584,191	13,886,911	14,446,868	14,306,652	14,455,192
Federal Funds	1,423,880	1,307,847	1,619,532	2,821,912	1,366,309
Restricted Receipts	308,185	365,594	4,440,620	15,320,805	10,332,721
<b>Total Expenditures</b>	<b>\$15,316,256</b>	<b>\$15,560,352</b>	<b>\$20,507,020</b>	<b>\$32,449,369</b>	<b>\$26,154,222</b>

# Personnel

## Department Of Attorney General Criminal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ASSISTANT ATTORNEY GENERAL	08745A	1.0	142,736	1.0	142,736
EXECUTIVE ASSISTANT FOR POLICY AND	08743A	1.0	138,166	1.0	138,166
ASSISTANT ATTORNEY GENERAL	08740A	4.0	470,147	4.0	470,147
ASSISTANT ATTORNEY GENERAL	08738A	7.0	681,959	7.0	691,620
STAFF ATTORNEY V	08736A	5.0	454,861	5.0	459,441
DIRECTOR OF INVESTIGATIONS	08735A	1.0	90,644	1.0	90,644
STAFF ATTORNEY IV	08734A	5.0	451,341	5.0	453,512
STAFF ATTORNEY VI	08736A	1.0	87,144	1.0	89,500
STAFF ATTORNEY III	08732A	6.0	454,580	6.0	454,580
STAFF ATTORNEY II	00830A	0.9	67,774	0.9	67,774
PRINCIPAL AUDITOR	08728A	1.0	72,822	1.0	72,822
STAFF ATTORNEY II	08730A	11.0	793,827	11.0	796,028
STAFF ATTORNEY I	08728A	27.0	1,703,130	27.0	1,724,580
SENIOR INVESTIGATOR (ATTORNEY GENERAL)	08726A	8.0	486,378	8.0	496,029
SENIOR LEGAL ASSISTANT	08724A	4.0	242,899	4.0	242,899
SPECIAL PROSECUTOR INVESTIGATOR	08724A	2.0	118,750	2.0	118,750
ADMINISTRATIVE ASSISTANT	08722A	2.0	109,881	2.0	109,881
CONSUMER INVESTIGATOR	08718A	0.6	31,207	0.6	31,207
LEGAL ASSISTANT	08720A	5.0	258,900	5.0	259,059
ASSISTANT CLERK/RESEARCH	08718A	1.0	51,731	1.0	51,731
SENIOR ECONOMIC CRIME INVESTIGATOR (AG)	08724A	2.0	101,434	2.0	103,055
CASE INTAKE COORDINATOR	08716A	3.0	135,987	3.0	135,987
SENIOR LEGAL SECRETARY	08713A	1.0	45,117	1.0	45,117
PARALEGAL CLERK	08717A	19.1	854,826	19.1	856,046
CONFIDENTIAL SECRETARY TO ATTORNEY	08716A	1.0	44,653	1.0	44,653
SENIOR ADMINISTRATIVE AIDE	08717A	1.0	43,873	1.0	43,873
FAMILY CRISIS AIDE	08714A	1.0	42,105	1.0	42,105
TECHNICAL ASSISTANT	08715A	1.0	41,271	1.0	41,271
ADMINISTRATIVE AIDE	08715A	1.0	41,270	1.0	41,270
CLASSIFICATION CLERK	08714A	6.0	236,251	6.0	237,482
INVESTIGATOR/CLERK	08714A	4.0	154,708	4.0	156,056
LEGAL SECRETARY	08712A	7.0	267,304	7.0	269,136
CONSUMER SPECIALIST	08714A	1.0	37,210	1.0	38,221
PRINCIPAL CLERK STENOGRAPHER	08711A	3.0	107,029	3.0	108,807
CHIEF OUT-COUNTIES CLERK (ATTORNEY	08711A	0.6	20,516	0.6	20,863
DATA ENTRY OPERATOR	08712A	3.0	102,289	3.0	102,879
<b>Subtotal</b>		<b>148.1</b>	<b>\$9,184,720</b>	<b>148.1</b>	<b>\$9,247,927</b>



# Personnel

## Department Of Attorney General Criminal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Turnover		-	(275,545)	-	(412,123)
<b>Subtotal</b>		-	<b>(\$275,545)</b>	-	<b>(\$412,123)</b>
<b>Total Salaries</b>		<b>148.1</b>	<b>\$8,909,175</b>	<b>148.1</b>	<b>\$8,835,804</b>
<b>Benefits</b>					
Payroll Accrual			50,949		50,132
FICA			678,770		667,945
Retiree Health			629,874		596,412
Health Benefits			1,705,328		1,804,047
Retirement			2,142,644		2,149,745
<b>Subtotal</b>			<b>\$5,207,565</b>		<b>\$5,268,281</b>
<b>Total Salaries and Benefits</b>		<b>148.1</b>	<b>\$14,116,740</b>	<b>148.1</b>	<b>\$14,104,085</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$95,313</b>		<b>\$95,227</b>
<b>Statewide Benefit Assessment</b>			<b>\$378,633</b>		<b>\$378,141</b>
<b>Payroll Costs</b>		<b>148.1</b>	<b>\$14,495,373</b>	<b>148.1</b>	<b>\$14,482,226</b>
<b>Purchased Services</b>					
Information Technology			5,677,362		1,323,000
Clerical and Temporary Services			25,183		25,183
Legal Services			4,120		4,120
Other Contracts			1,929		1,929
Buildings and Ground Maintenance			38,672		38,672
Medical Services			26,205		26,205
<b>Subtotal</b>			<b>\$5,773,471</b>		<b>\$1,419,109</b>
<b>Total Personnel</b>		<b>148.1</b>	<b>\$20,268,844</b>	<b>148.1</b>	<b>\$15,901,335</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		133.9	\$13,180,331	133.9	\$13,388,981
Federal Funds		13.2	\$2,744,528	13.2	\$1,166,775
Restricted Receipts		1.0	\$4,343,985	1.0	\$1,345,579
<b>Total All Funds</b>		<b>148.1</b>	<b>\$20,268,844</b>	<b>148.1</b>	<b>\$15,901,335</b>

# The Program

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## Department Of Attorney General Civil

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### **Program Mission**

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

### **Program Description**

The Attorney General of Rhode Island is the State's Chief legal officer for both criminal and civil matters. He is the sole officer charged with conducting the State's legal affairs and he represents the State in all legal proceedings. By law, the Attorney General represents the State, its agencies and employees in the Rhode Island Supreme Court and all lower state courts, institutes actions in state and federal courts whenever warranted, ensures that representation is provided to state officers, employees and agencies in all courts, advises state officers and agencies on legal issues, gives written opinions on legal issues when requested by an appropriate governmental officer, and represents the interests of the people.

The Civil Division of the Department of the Attorney General is comprised of 27 attorneys who work in one or more of the following units to assist the Attorney General in performing duties in the civil arena: Government Litigation Unit, Office of Health Care Advocate, Open Government Unit, Public Utilities Regulatory Unit, Insurance Advocacy Unit, Environmental Advocacy Unit, Antitrust Unit, Consumer Protection Unit, Charitable Trusts Unit, Attorney General's Office of Civil Rights Advocate and the Legal Counsel to the Contractors' Registration and Licensing Board (CRLB).

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

# The Budget

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## Department Of Attorney General Civil

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,355,042	5,063,030	5,618,395	6,087,829	5,733,404
<b>Total Expenditures</b>	<b>\$5,355,042</b>	<b>\$5,063,030</b>	<b>\$5,618,395</b>	<b>\$6,087,829</b>	<b>\$5,733,404</b>
<b>Expenditures By Object</b>					
Personnel	5,068,080	4,810,892	5,239,782	5,692,842	5,344,776
Operating Supplies and Expenses	277,404	251,865	343,634	357,508	350,914
<b>Subtotal: Operating Expenditures</b>	<b>5,345,484</b>	<b>5,062,757</b>	<b>5,583,416</b>	<b>6,050,350</b>	<b>5,695,690</b>
Capital Purchases and Equipment	9,558	273	34,979	37,479	37,714
<b>Total Expenditures</b>	<b>\$5,355,042</b>	<b>\$5,063,030</b>	<b>\$5,618,395</b>	<b>\$6,087,829</b>	<b>\$5,733,404</b>
<b>Expenditures By Funds</b>					
General Revenue	4,825,855	4,505,211	4,985,425	5,451,959	4,816,217
Restricted Receipts	529,187	557,819	632,970	635,870	917,187
<b>Total Expenditures</b>	<b>\$5,355,042</b>	<b>\$5,063,030</b>	<b>\$5,618,395</b>	<b>\$6,087,829</b>	<b>\$5,733,404</b>

# Personnel

## Department Of Attorney General Civil

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ASSISTANT ATTORNEY GENERAL	08745A	1.0	142,736	1.0	142,736
ASSISTANT ATTORNEY GENERAL	08740A	2.0	231,260	2.0	231,260
ASSISTANT ATTORNEY GENERAL	08738A	9.0	947,196	9.0	951,690
STAFF ATTORNEY V	08736A	1.0	86,656	1.0	89,125
STAFF ATTORNEY IV	08734A	1.0	83,541	1.0	83,541
STAFF ATTORNEY III	08732A	5.0	408,269	5.0	408,269
STAFF ATTORNEY II	08730A	5.0	345,718	5.0	345,718
STAFF ATTORNEY I	08728A	4.0	239,245	4.0	247,490
SENIOR LEGAL ASSISTANT	08724A	2.0	110,811	2.0	113,454
OFFICE MANAGER (AG)	08720A	1.0	50,855	1.0	50,855
ADMINISTRATIVE AIDE	08715A	3.0	126,674	3.0	126,674
SENIOR ADMINISTRATIVE AIDE	08717A	1.0	41,784	1.0	42,633
PARALEGAL CLERK	08717A	4.0	159,916	4.0	162,490
ADMINISTRATIVE AIDE	08715A	1.0	39,305	1.0	39,305
SENIOR LEGAL SECRETARY	08713A	2.0	78,518	2.0	78,518
PRINCIPAL CLERK STENOGRAPHER	08711A	1.0	34,483	1.0	34,483
LEGAL SECRETARY	08712A	2.0	68,913	2.0	70,088
<b>Subtotal</b>		<b>45.0</b>	<b>\$3,195,880</b>	<b>45.0</b>	<b>\$3,218,329</b>
Turnover		-	(95,880)	-	(94,857)
<b>Subtotal</b>		-	<b>(\$95,880)</b>	-	<b>(\$94,857)</b>
<b>Total Salaries</b>		<b>45.0</b>	<b>\$3,100,000</b>	<b>45.0</b>	<b>\$3,123,472</b>
<b>Benefits</b>					
Payroll Accrual			17,725		17,725
FICA			235,514		235,514
Retiree Health			219,169		210,832
Health Benefits			543,367		587,247
Retirement			745,546		759,939
<b>Subtotal</b>			<b>\$1,761,321</b>		<b>\$1,811,257</b>
<b>Total Salaries and Benefits</b>		<b>45.0</b>	<b>\$4,861,321</b>	<b>45.0</b>	<b>\$4,934,729</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$108,029</b>		<b>\$109,661</b>
<b>Statewide Benefit Assessment</b>			<b>\$131,748</b>		<b>\$134,669</b>
<b>Payroll Costs</b>		<b>45.0</b>	<b>\$4,993,069</b>	<b>45.0</b>	<b>\$5,069,398</b>

# Personnel

## Department Of Attorney General Civil

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			2,562		252,639
Clerical and Temporary Services			13,168		2,876
Legal Services			640,276		-
Other Contracts			211		546
Buildings and Ground Maintenance			12,912		13,317
Design and Engineering Services			30,644		6,000
<b>Subtotal</b>			<b>\$699,773</b>		<b>\$275,378</b>
<b>Total Personnel</b>		<b>45.0</b>	<b>\$5,692,842</b>	<b>45.0</b>	<b>\$5,344,776</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		40.0	\$5,139,187	40.0	\$4,533,522
Restricted Receipts		5.0	\$553,655	5.0	\$811,254
<b>Total All Funds</b>		<b>45.0</b>	<b>\$5,692,842</b>	<b>45.0</b>	<b>\$5,344,776</b>

# The Program

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## Department Of Attorney General Bureau of Criminal Identification

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### **Program Mission**

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

### **Program Description**

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

### **Statutory History**

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

# The Budget

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## Department Of Attorney General Bureau of Criminal Identification

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,313,779	1,385,594	1,503,119	1,531,403	1,542,124
<b>Total Expenditures</b>	<b>\$1,313,779</b>	<b>\$1,385,594</b>	<b>\$1,503,119</b>	<b>\$1,531,403</b>	<b>\$1,542,124</b>
<b>Expenditures By Object</b>					
Personnel	1,261,561	1,312,572	1,434,849	1,467,605	1,476,634
Operating Supplies and Expenses	51,486	47,954	60,969	56,497	57,970
<b>Subtotal: Operating Expenditures</b>	<b>1,313,047</b>	<b>1,360,526</b>	<b>1,495,818</b>	<b>1,524,102</b>	<b>1,534,604</b>
Capital Purchases and Equipment	732	25,068	7,301	7,301	7,520
<b>Total Expenditures</b>	<b>\$1,313,779</b>	<b>\$1,385,594</b>	<b>\$1,503,119</b>	<b>\$1,531,403</b>	<b>\$1,542,124</b>
<b>Expenditures By Funds</b>					
General Revenue	1,261,819	1,303,236	1,503,119	1,524,225	1,542,124
Federal Funds	51,960	82,358	-	7,178	-
<b>Total Expenditures</b>	<b>\$1,313,779</b>	<b>\$1,385,594</b>	<b>\$1,503,119</b>	<b>\$1,531,403</b>	<b>\$1,542,124</b>

# Personnel

## Department Of Attorney General Bureau of Criminal Identification

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF OF THE OFFICE OF INVESTIGATIONS	08736A	1.0	89,500	1.0	89,500
DATA PROCESSING SYSTEMS MANAGER	08736A	1.0	85,238	1.0	85,238
AFIS OPERATOR/FINGERPRINT EXPERT	08724A	1.0	59,375	1.0	59,375
ADMINISTRATIVE ASSISTANT	08722A	2.0	106,358	2.0	106,358
LEGAL ASSISTANT	08720A	4.0	187,630	4.0	189,591
SENIOR ADMINISTRATIVE AIDE	08717A	1.0	43,873	1.0	43,873
CLASSIFICATION CLERK	08714A	3.0	121,911	3.0	121,911
DATA ENTRY OPERATOR	08712A	1.0	38,335	1.0	38,335
PRINCIPAL CLERK STENOGRAPHER	08711A	3.0	107,849	3.0	109,935
LEGAL SECRETARY	08712A	2.0	71,764	2.0	72,298
<b>Subtotal</b>		<b>19.0</b>	<b>\$911,833</b>	<b>19.0</b>	<b>\$916,414</b>
Turnover		-	(27,355)	-	(27,355)
<b>Subtotal</b>		<b>-</b>	<b>(\$27,355)</b>	<b>-</b>	<b>(\$27,355)</b>
<b>Total Salaries</b>		<b>19.0</b>	<b>\$884,478</b>	<b>19.0</b>	<b>\$889,059</b>
<b>Benefits</b>					
Payroll Accrual			5,059		5,059
FICA			67,662		67,662
Retiree Health			62,532		60,012
Health Benefits			183,130		197,637
Retirement			212,717		216,309
<b>Subtotal</b>			<b>\$531,100</b>		<b>\$546,679</b>
<b>Total Salaries and Benefits</b>		<b>19.0</b>	<b>\$1,415,578</b>	<b>19.0</b>	<b>\$1,435,738</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$74,504</b>		<b>\$75,565</b>
<b>Statewide Benefit Assessment</b>			<b>\$37,590</b>		<b>\$37,785</b>
<b>Payroll Costs</b>		<b>19.0</b>	<b>\$1,453,168</b>	<b>19.0</b>	<b>\$1,473,523</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			4,239		-
Other Contracts			86		89
Buildings and Ground Maintenance			2,934		3,022
Design and Engineering Services			7,178		-
<b>Subtotal</b>			<b>\$14,437</b>		<b>\$3,111</b>
<b>Total Personnel</b>		<b>19.0</b>	<b>\$1,467,605</b>	<b>19.0</b>	<b>\$1,476,634</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		19.0	\$1,460,427	19.0	\$1,476,634
Federal Funds		-	\$7,178	-	-
<b>Total All Funds</b>		<b>19.0</b>	<b>\$1,467,605</b>	<b>19.0</b>	<b>\$1,476,634</b>



# The Program

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## Department Of Attorney General General

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### **Program Mission**

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

### **Program Description**

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

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## Department Of Attorney General General

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	2,612,241	3,014,791	2,771,567	2,972,763	3,073,613
<b>Total Expenditures</b>	<b>\$2,612,241</b>	<b>\$3,014,791</b>	<b>\$2,771,567</b>	<b>\$2,972,763</b>	<b>\$3,073,613</b>
<b>Expenditures By Object</b>					
Personnel	2,303,223	2,402,628	2,496,284	2,516,518	2,559,173
Operating Supplies and Expenses	184,987	203,845	215,891	205,048	205,048
<b>Subtotal: Operating Expenditures</b>	<b>2,488,210</b>	<b>2,606,473</b>	<b>2,712,175</b>	<b>2,721,566</b>	<b>2,764,221</b>
Capital Purchases and Equipment	124,031	408,318	59,392	251,197	309,392
<b>Total Expenditures</b>	<b>\$2,612,241</b>	<b>\$3,014,791</b>	<b>\$2,771,567</b>	<b>\$2,972,763</b>	<b>\$3,073,613</b>
<b>Expenditures By Funds</b>					
General Revenue	2,489,528	2,606,596	2,721,567	2,730,958	2,773,613
Operating Transfers from Other Funds	122,713	408,195	50,000	241,805	300,000
<b>Total Expenditures</b>	<b>\$2,612,241</b>	<b>\$3,014,791</b>	<b>\$2,771,567</b>	<b>\$2,972,763</b>	<b>\$3,073,613</b>

# Personnel

## Department Of Attorney General General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DEPUTY ATTORNEY GENERAL (ATTORNEY	08750A	1.0	172,246	1.0	173,059
CHIEF OF STAFF (ATTORNEY GENERAL)	08747A	1.0	130,865	1.0	133,112
DIRECTOR OF ADMINISTRATION (ATTORNEY	08741A	1.0	124,722	1.0	125,534
PUBLIC INFORMATION OFFICER (ATTORNEY	08745A	1.0	124,118	1.0	124,118
ATTORNEY GENERAL	00535F	1.0	115,610	1.0	115,610
DIRECTOR OF POLICY AND PUBLIC AFFAIRS	08738A	1.0	110,212	1.0	110,212
PUBLIC INFORMATION OFFICER	08735A	1.0	94,764	1.0	94,764
EXECUTIVE ASSISTANT FOR ADMINISTRATION	08738A	1.0	89,386	1.0	92,496
PROJECT MANAGER	08730A	1.0	78,728	1.0	78,728
DEPUTY DIRECTOR	08732A	1.0	73,966	1.0	73,966
ASSOCIATE EXECUTIVE ASSISTANT	08726A	1.0	64,559	1.0	64,559
SENIOR INVESTIGATOR (ATTORNEY GENERAL)	08726A	1.0	62,804	1.0	64,414
SENIOR LEGAL ASSISTANT	08724A	1.0	62,074	1.0	62,074
AFIS OPERATOR/FINGERPRINT EXPERT	08724A	1.0	59,034	1.0	61,898
PRINCIPAL AUDITOR (ATTORNEY GENERAL)	08725A	1.0	53,424	1.0	56,109
SYSTEMS ANALYST	08718A	1.0	47,420	1.0	47,420
INVESTIGATOR	08722A	1.0	46,874	1.0	48,772
EXECUTIVE ASSISTANT (ATTORNEY GENERAL)	08721A	1.0	45,205	1.0	47,013
LEGAL SECRETARY	08712A	1.0	35,141	1.0	35,879
LEGAL SECRETARY ATTORNEY GENERAL	08711A	2.0	69,100	2.0	70,661
<b>Subtotal</b>		<b>21.0</b>	<b>\$1,660,252</b>	<b>21.0</b>	<b>\$1,680,398</b>
Turnover		-	(49,807)	-	(49,807)
<b>Subtotal</b>		<b>-</b>	<b>(\$49,807)</b>	<b>-</b>	<b>(\$49,807)</b>
<b>Total Salaries</b>		<b>21.0</b>	<b>\$1,610,445</b>	<b>21.0</b>	<b>\$1,630,591</b>
<b>Benefits</b>					
Payroll Accrual			9,192		9,192
FICA			118,270		118,270
Retiree Health			116,347		112,597
Health Benefits			196,987		212,976
Retirement			387,310		396,723
<b>Subtotal</b>			<b>\$828,106</b>		<b>\$849,758</b>
<b>Total Salaries and Benefits</b>		<b>21.0</b>	<b>\$2,438,551</b>	<b>21.0</b>	<b>\$2,480,349</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$116,121</b>		<b>\$118,112</b>
<b>Statewide Benefit Assessment</b>			<b>\$68,443</b>		<b>\$69,300</b>
<b>Payroll Costs</b>		<b>21.0</b>	<b>\$2,506,994</b>	<b>21.0</b>	<b>\$2,549,649</b>

# Personnel

## Department Of Attorney General General

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			3,000		3,000
Other Contracts			128		128
Buildings and Ground Maintenance			5,529		5,529
Design and Engineering Services			867		867
<b>Subtotal</b>			<b>\$9,524</b>		<b>\$9,524</b>
<b>Total Personnel</b>		<b>21.0</b>	<b>\$2,516,518</b>	<b>21.0</b>	<b>\$2,559,173</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		21.0	\$2,516,518	21.0	\$2,559,173
<b>Total All Funds</b>		<b>21.0</b>	<b>\$2,516,518</b>	<b>21.0</b>	<b>\$2,559,173</b>

# Agency

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## Department Of Corrections

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### Agency Mission

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

### Agency Description

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community. Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are seven separate, occupied facilities, which have a total operational capacity of 3,774 beds. In FY 2013, the average institutionalized population was 3,160. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2013, the number of probation and parole cases serviced totaled 25,313. The average number of offenders on home or community confinement was 210. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

In FY 2013, the Department implemented a program reorganization in order to further define major functions within the Department and to align programs with future performance measures. In addition to small changes in Central Management and Community Corrections, the major change was to split Institutional Corrections into four new programs: Custody & Security, Institutional Support, Institutional Rehabilitation & Population Management, and Healthcare Services.

### Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

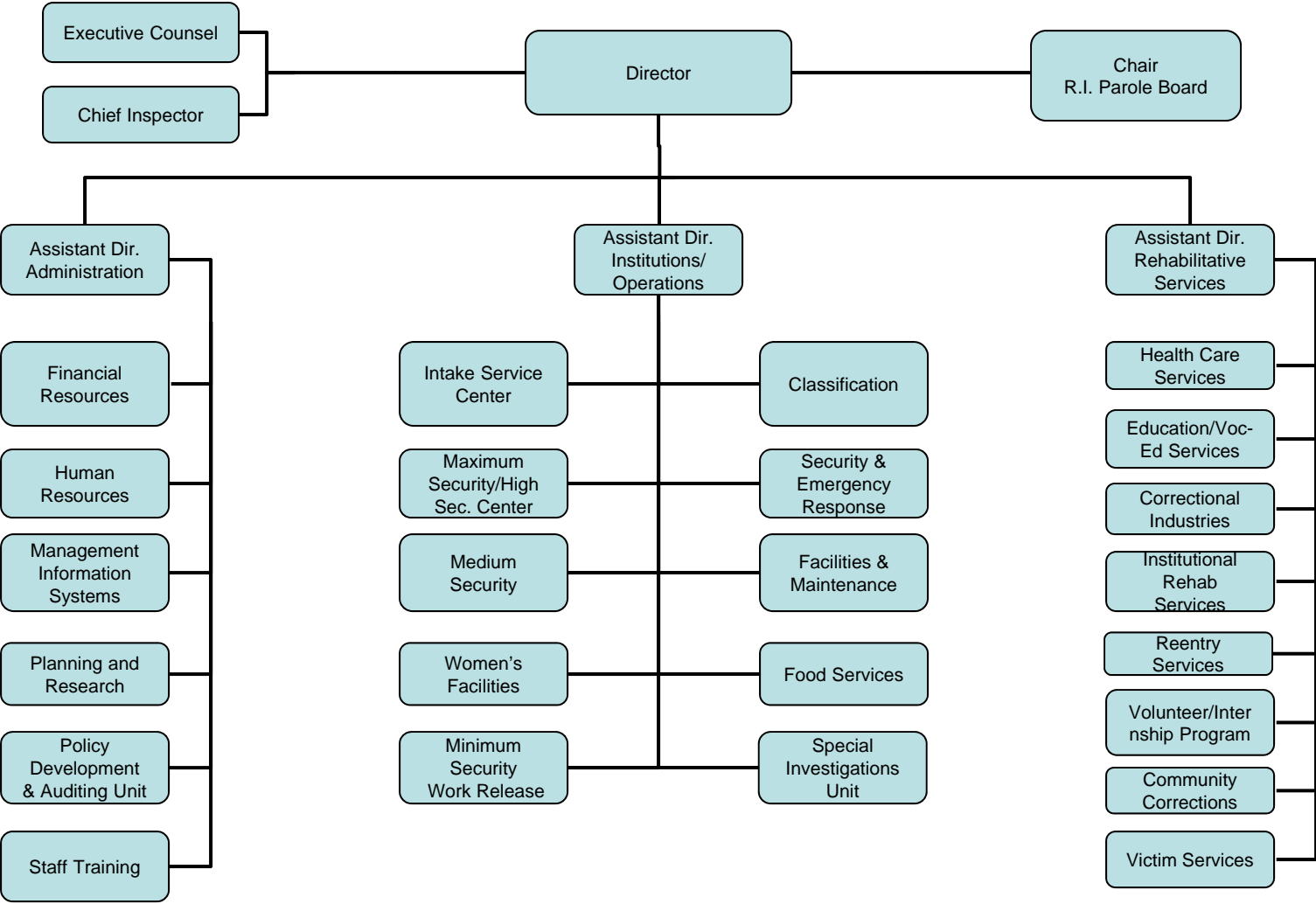
# Budget

## Department Of Corrections

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Central Management	7,969,018	8,014,547	9,132,903	8,810,817	9,188,970
Parole Board	1,176,478	1,204,375	1,392,433	1,315,621	1,459,102
Custody and Security	165,866,056	118,477,955	116,179,933	117,951,716	119,558,624
Institutional Support	14,691,600	18,312,962	33,198,057	30,743,230	34,866,127
Institutional Based Rehab/Pop Mgmt	-	9,683,774	10,018,845	10,015,920	9,620,945
Healthcare Svcs	-	19,137,606	19,639,269	19,583,660	19,751,408
Community Corrections	-	14,675,765	15,141,087	15,024,410	15,129,522
<i>Internal Services</i>	<i>[9,502,583]</i>	<i>[10,313,758]</i>	<i>[15,043,033]</i>	<i>[14,861,970]</i>	<i>[14,444,351]</i>
<b>Total Expenditures</b>	<b>\$189,703,152</b>	<b>\$189,506,984</b>	<b>\$204,702,527</b>	<b>\$203,445,374</b>	<b>\$209,574,698</b>
<b>Expenditures By Object</b>					
Personnel	171,414,586	170,341,194	170,266,529	170,508,234	173,425,164
Operating Supplies and Expenses	14,229,876	14,156,367	15,453,529	16,462,579	15,472,911
Assistance and Grants	1,202,442	1,195,555	1,193,908	1,211,908	1,211,908
<b>Subtotal: Operating Expenditures</b>	<b>186,846,904</b>	<b>185,693,116</b>	<b>186,913,966</b>	<b>188,182,721</b>	<b>190,109,983</b>
Capital Purchases and Equipment	2,856,248	3,813,868	17,788,561	15,262,653	19,464,715
<b>Total Expenditures</b>	<b>\$189,703,152</b>	<b>\$189,506,984</b>	<b>\$204,702,527</b>	<b>\$203,445,374</b>	<b>\$209,574,698</b>
<b>Expenditures By Funds</b>					
General Revenue	184,655,159	184,044,974	185,379,198	186,042,042	188,725,295
Federal Funds	2,388,892	1,950,008	1,788,688	2,520,639	1,654,703
Restricted Receipts	92,770	52,723	64,890	66,153	54,639
Operating Transfers from Other Funds	2,566,331	3,459,279	17,469,751	14,816,540	19,140,061
<b>Total Expenditures</b>	<b>\$189,703,152</b>	<b>\$189,506,984</b>	<b>\$204,702,527</b>	<b>\$203,445,374</b>	<b>\$209,574,698</b>
<b>FTE Authorization</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>

# The Agency

## Department of Corrections



# Personnel

## Department Of Corrections Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		1,386.0	87,488,718	1,388.0	88,164,515
Unclassified		33.0	3,357,436	33.0	3,386,592
<b>Subtotal</b>		<b>1,419.0</b>	<b>\$90,846,154</b>	<b>1,421.0</b>	<b>\$91,551,107</b>
Briefing Time		-	1,638,554	-	1,638,396
Overtime		-	16,113,365	-	15,987,209
Reconcile to FTE Authorization		-	-	(2.0)	-
Road Construction Detail Reimbursements		-	552,000	-	552,000
Temporary and Seasonal		-	-	-	304,852
Turnover		-	(\$7,490,077)	-	(\$7,470,245)
<b>Subtotal</b>		<b>-</b>	<b>\$10,813,842</b>	<b>(2.0)</b>	<b>\$11,012,212</b>
<b>Total Salaries</b>		<b>1,419.0</b>	<b>\$101,659,996</b>	<b>1,419.0</b>	<b>\$102,563,319</b>
<b>Benefits</b>					
Payroll Accrual			571,425		572,580
Holiday			2,773,319		3,078,300
FICA			8,087,315		8,102,686
Retiree Health			5,914,755		5,742,203
Health Benefits			17,862,612		19,903,400
Retirement			20,037,754		20,452,869
Contract Stipends			1,556,508		1,556,508
Workers Compensation			222,303		222,303
<b>Subtotal</b>			<b>\$57,025,991</b>		<b>\$59,630,849</b>
<b>Total Salaries and Benefits</b>		<b>1,419.0</b>	<b>\$158,685,987</b>	<b>1,419.0</b>	<b>\$162,194,168</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$111,829</b>		<b>\$114,087</b>
<b>Statewide Benefit Assessment</b>			<b>\$3,553,468</b>		<b>\$3,589,219</b>
<b>Payroll Costs</b>		<b>1,419.0</b>	<b>\$162,239,455</b>	<b>1,419.0</b>	<b>\$165,783,387</b>



# Personnel

## Department Of Corrections Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			261,601		422,044
University and College Services			569,632		569,932
Clerical and Temporary Services			415,150		389,342
Management & Consultant Services			57,600		57,600
Legal Services			61,716		61,716
Other Contracts			2,504,866		2,089,880
Buildings and Ground Maintenance			241,045		9,659
Training and Educational Services			195,241		201,325
Design and Engineering Services			51,616		51,618
Medical Services			6,845,034		6,899,734
<b>Subtotal</b>			<b>\$11,203,501</b>		<b>\$10,752,850</b>
<b>Total Personnel</b>		<b>1,419.0</b>	<b>\$173,442,956</b>	<b>1,419.0</b>	<b>\$176,536,237</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1,388.8	\$168,297,058	1,388.8	\$171,804,262
Federal Funds		2.3	\$2,145,023	2.3	\$1,566,263
Restricted Receipts		-	\$66,153	-	\$54,639
Other Funds		28.0	\$2,934,722	28.0	\$3,111,073
<b>Total All Funds</b>		<b>1,419.0</b>	<b>\$173,442,956</b>	<b>1,419.0</b>	<b>\$176,536,237</b>

# The Program

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## Department Of Corrections Central Management

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### **Program Mission**

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

### **Program Description**

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

Beginning in FY 2013, the Central Management Program includes Inmate Accounts and the Central Distribution Center internal service fund. Inmate Accounts serves as custodian of inmate funds.

### **Statutory History**

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

# The Budget

## Department Of Corrections Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Executive	1,839,826	1,640,405	1,737,032	1,731,335	1,814,692
Administration	6,129,192	6,374,142	7,395,871	7,079,482	7,374,278
<b>Total Expenditures</b>	<b>\$7,969,018</b>	<b>\$8,014,547</b>	<b>\$9,132,903</b>	<b>\$8,810,817</b>	<b>\$9,188,970</b>
<b>Expenditures By Object</b>					
Personnel	7,192,479	7,010,544	7,742,189	6,955,039	7,741,323
Operating Supplies and Expenses	700,081	733,127	1,210,658	1,669,878	1,261,747
Assistance and Grants	-	1,814	13,457	13,457	13,457
<b>Subtotal: Operating Expenditures</b>	<b>7,892,560</b>	<b>7,745,485</b>	<b>8,966,304</b>	<b>8,638,374</b>	<b>9,016,527</b>
Capital Purchases and Equipment	76,458	269,062	166,599	172,443	172,443
<b>Total Expenditures</b>	<b>\$7,969,018</b>	<b>\$8,014,547</b>	<b>\$9,132,903</b>	<b>\$8,810,817</b>	<b>\$9,188,970</b>
<b>Expenditures By Funds</b>					
General Revenue	7,920,287	7,860,401	9,077,039	8,199,118	9,070,974
Federal Funds	48,731	154,146	55,864	611,699	117,996
<b>Total Expenditures</b>	<b>\$7,969,018</b>	<b>\$8,014,547</b>	<b>\$9,132,903</b>	<b>\$8,810,817</b>	<b>\$9,188,970</b>

# Personnel

## Department Of Corrections Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	134,461	1.0	134,461
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	1.0	116,720	1.0	116,720
CHIEF INSPECTOR OFFICE OF INSPECTIONS	00141A	1.0	112,619	1.0	116,312
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	99,835	1.0	99,835
ASSOCIATE DIRECTOR PLANNING AND RESEARCH	00136A	1.0	95,845	1.0	95,845
CHIEF OF RECRUITMENT AND TRAINING	00135A	1.0	92,570	1.0	92,570
ADMINISTRATOR OF PHYSICAL RESOURCES	00135A	1.0	92,542	1.0	92,542
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	89,166	1.0	89,166
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	2.0	164,805	2.0	164,805
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	80,458	1.0	80,458
INSPECTOR, OFFICE OF INSPECTIONS	00136A	3.0	239,586	3.0	245,444
SUPERVISOR OF CORRECTIONAL OFFICER	00627A	2.0	154,101	2.0	154,101
SENIOR LEGAL COUNSEL	00134A	2.0	148,659	2.0	151,133
BUSINESS MANAGEMENT OFFICER	0C626A	1.0	71,176	1.0	71,176
CORRECTIONAL OFFICER TRAINING INSTRUCTOR	00624A	6.0	423,518	6.0	423,518
DEPARTMENTAL GRIEVANCE COORDINATOR	00128A	1.0	70,100	1.0	70,100
FISCAL MANAGEMENT OFFICER	00B26A	1.0	68,600	1.0	68,600
FISCAL MANAGEMENT OFFICER	0C626A	2.0	135,199	2.0	136,543
PRINCIPAL PLANNER (CORRECTIONS)	00131A	2.0	135,199	2.0	137,243
PROGRAMMING SERVICES OFFICER	00131A	1.0	61,266	1.0	64,057
ADMINISTRATIVE OFFICER	00124A	1.0	60,136	1.0	60,136
OFFICE MANAGER	0C623A	1.0	56,771	1.0	56,771
PRINCIPAL RESEARCH TECHNICIAN	00327A	1.0	55,750	1.0	55,750
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	55,674	1.0	55,674
SENIOR ACCOUNTANT	0C623A	1.0	53,159	1.0	53,955
IMPLEMENTATION AIDE	00122A	2.0	101,464	2.0	101,464
IMPLEMENTATION AIDE	0C622A	1.0	50,726	1.0	50,726
SENIOR TELLER	0C618A	1.0	48,890	1.0	49,534
CLERK SECRETARY	00B16A	1.0	48,378	1.0	48,378
STOREKEEPER (ACI)	0C617A	1.0	47,384	1.0	47,384
ASSISTANT BUSINESS MANAGEMENT OFFICER	0C619A	2.0	94,132	2.0	94,810
SENIOR PLANNER	00126A	1.0	46,954	1.0	48,613
SUPERVISOR CENTRAL MAIL SERVICES	0C616A	1.0	44,938	1.0	44,938
MOTOR EQUIPMENT OPERATOR (ACI)	0C613A	1.0	42,136	1.0	42,136
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	41,295	1.0	41,295
SENIOR RECONCILIATION CLERK	0C614A	1.0	40,279	1.0	40,883
PROPERTY CONTROL AND SUPPLY OFFICER (ACI)	0C619A	1.0	39,997	1.0	41,139
INFORMATION AIDE	0C615A	2.0	79,866	2.0	81,090
DATA CONTROL CLERK	00115A	1.0	39,165	1.0	39,165
LEGAL ASSISTANT	00119A	1.0	38,238	1.0	39,088
SENIOR STORES CLERK	0C611A	2.0	76,342	2.0	76,342
EXECUTIVE ASSISTANT	00118A	2.0	76,075	2.0	78,299
<b>Subtotal</b>		<b>59.0</b>	<b>\$3,824,174</b>	<b>59.0</b>	<b>\$3,852,199</b>
<b>Unclassified</b>					
DIRECTOR DEPARTMENT OF CORRECTIONS	00951KF	1.0	145,644	1.0	145,644

# Personnel

## Department Of Corrections Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
ASSISTANT DIRECTOR OF ADMINISTRATION	00844A	1.0	130,647	1.0	135,814
EXECUTIVE COUNSEL	00839A	1.0	98,922	1.0	103,864
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	00824A	1.0	50,047	1.0	51,736
<b>Subtotal</b>		<b>4.0</b>	<b>\$425,260</b>	<b>4.0</b>	<b>\$437,058</b>
Overtime		-	104,353	-	287,783
Temporary and Seasonal		-	-	-	304,852
Turnover		-	(532,010)	-	(553,746)
<b>Subtotal</b>		<b>-</b>	<b>(\$427,657)</b>	<b>-</b>	<b>\$38,889</b>
<b>Total Salaries</b>		<b>63.0</b>	<b>\$3,821,777</b>	<b>63.0</b>	<b>\$4,328,146</b>
<b>Benefits</b>					
Payroll Accrual			23,847		23,615
FICA			327,275		331,078
Retiree Health			280,640		272,724
Health Benefits			692,411		802,655
Retirement			954,656		983,020
Contract Stipends			29,811		29,811
<b>Subtotal</b>			<b>\$2,308,640</b>		<b>\$2,442,903</b>
<b>Total Salaries and Benefits</b>		<b>63.0</b>	<b>\$6,130,417</b>	<b>63.0</b>	<b>\$6,771,049</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$97,308</b>		<b>\$102,638</b>
<b>Statewide Benefit Assessment</b>			<b>\$168,703</b>		<b>\$171,716</b>
<b>Payroll Costs</b>		<b>63.0</b>	<b>\$6,299,120</b>	<b>63.0</b>	<b>\$6,942,765</b>
<b>Purchased Services</b>					
Information Technology			226,811		392,704
Clerical and Temporary Services			134,266		134,266
Management & Consultant Services			32,600		32,600
Legal Services			48,966		48,966
Other Contracts			232,431		63,859
Training and Educational Services			10,000		-
Medical Services			23,653		126,163
<b>Subtotal</b>			<b>\$708,727</b>		<b>\$798,558</b>
<b>Total Personnel</b>		<b>63.0</b>	<b>\$6,955,039</b>	<b>63.0</b>	<b>\$7,741,323</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		63.0	\$6,500,784	63.0	\$7,672,149
Federal Funds		-	\$454,255	-	\$69,174
<b>Total All Funds</b>		<b>63.0</b>	<b>\$6,955,039</b>	<b>63.0</b>	<b>\$7,741,323</b>

# The Program

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## Department Of Corrections Parole Board

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### **Program Mission**

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

### **Program Description**

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2013, the board granted parole in 289 cases and denied parole in 830 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2013, the program made 244 adult and juvenile referrals.

### **Statutory History**

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Sections 30-33 create a program of community supervision for first and second degree child molesters. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

# The Budget

## Department Of Corrections Parole Board

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Parole Board	806,953	833,113	1,001,784	934,418	1,070,410
Sex Offender Board of Revenue	369,525	371,262	390,649	381,203	388,692
<b>Total Expenditures</b>	<b>\$1,176,478</b>	<b>\$1,204,375</b>	<b>\$1,392,433</b>	<b>\$1,315,621</b>	<b>\$1,459,102</b>
<b>Expenditures By Object</b>					
Personnel	1,134,110	1,161,244	1,337,693	1,260,881	1,404,362
Operating Supplies and Expenses	42,368	43,131	52,700	52,700	52,700
<b>Subtotal: Operating Expenditures</b>	<b>1,176,478</b>	<b>1,204,375</b>	<b>1,390,393</b>	<b>1,313,581</b>	<b>1,457,062</b>
Capital Purchases and Equipment	-	-	2,040	2,040	2,040
<b>Total Expenditures</b>	<b>\$1,176,478</b>	<b>\$1,204,375</b>	<b>\$1,392,433</b>	<b>\$1,315,621</b>	<b>\$1,459,102</b>
<b>Expenditures By Funds</b>					
General Revenue	1,140,457	1,166,137	1,354,433	1,277,621	1,421,102
Federal Funds	36,021	38,238	38,000	38,000	38,000
<b>Total Expenditures</b>	<b>\$1,176,478</b>	<b>\$1,204,375</b>	<b>\$1,392,433</b>	<b>\$1,315,621</b>	<b>\$1,459,102</b>

# Personnel

## Department Of Corrections Parole Board

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
EXECUTIVE SECRETARY - PAROLE BOARD	00C34A	1.0	91,497	1.0	91,497
FIELD INVESTIGATOR (CORRECTIONS)	00C20A <sup>1</sup>	3.0	147,443	4.0	192,280
CLERK SECRETARY	00316A	1.0	42,105	1.0	42,105
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	40,872	1.0	42,084
DATA CONTROL CLERK	00315A	1.0	37,389	1.0	37,389
SENIOR WORD PROCESSING TYPIST	00312A <sup>2</sup>	1.0	33,443	2.0	67,421
<b>Subtotal</b>		<b>8.0</b>	<b>\$392,749</b>	<b>10.0</b>	<b>\$472,776</b>
<b>Unclassified</b>					
CHAIRPERSON - PAROLE BOARD	00841A	1.0	124,722	1.0	124,722
SPECIAL PROJECTS COORDINATOR	00827A	1.0	72,547	1.0	72,547
MEMBER-PAROLE BOARD	00810F	-	148,366	-	148,366
<b>Subtotal</b>		<b>2.0</b>	<b>\$345,635</b>	<b>2.0</b>	<b>\$345,635</b>
Overtime		-	783	-	783
Turnover		-	(18,226)	-	(19,291)
<b>Subtotal</b>		<b>-</b>	<b>(\$17,443)</b>	<b>-</b>	<b>(\$18,508)</b>
<b>Total Salaries</b>		<b>10.0</b>	<b>\$720,941</b>	<b>12.0</b>	<b>\$799,903</b>
<b>Benefits</b>					
Payroll Accrual			4,091		4,522
FICA			56,260		61,192
Retiree Health			50,916		53,941
Health Benefits			121,875		167,238
Retirement			103,198		124,426
<b>Subtotal</b>			<b>\$336,340</b>		<b>\$411,319</b>
<b>Total Salaries and Benefits</b>		<b>10.0</b>	<b>\$1,057,281</b>	<b>12.0</b>	<b>\$1,211,222</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$105,728</b>		<b>\$100,935</b>
<b>Statewide Benefit Assessment</b>			<b>\$30,607</b>		<b>\$33,963</b>
<b>Payroll Costs</b>		<b>10.0</b>	<b>\$1,087,888</b>	<b>12.0</b>	<b>\$1,245,185</b>



# Personnel

## Department Of Corrections Parole Board

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			29,340		29,340
Clerical and Temporary Services			26,062		26,062
Legal Services			12,750		12,750
Other Contracts			39,415		39,415
Training and Educational Services			3,010		3,010
Medical Services			62,416		48,600
<b>Subtotal</b>			<b>\$172,993</b>		<b>\$159,177</b>
<b>Total Personnel</b>		<b>10.0</b>	<b>\$1,260,881</b>	<b>12.0</b>	<b>\$1,404,362</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		10.0	\$1,222,881	12.0	\$1,366,362
Federal Funds		-	\$38,000	-	\$38,000
<b>Total All Funds</b>		<b>10.0</b>	<b>\$1,260,881</b>	<b>12.0</b>	<b>\$1,404,362</b>

1 Position added for Certificate of Good Conduct program

2 Position added for Certificate of Good Conduct program

# Performance Measures

## Department Of Corrections Parole Board

### *Parole Board*

The Parole Board is authorized by statute (RI General Laws § 13-8-1 et seq.) to consider the early release of incarcerated offenders who have been sentenced to imprisonment for a period of more than six months, and who have served not less than one-third of their sentence. The figures below represent the total number of parole board hearings held.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	1387	1184	314	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Sex Offender Classification*

The Sex Offender Board of Review examines inmates convicted of sex offenses who are being released. According to Rhode Island statute, a level assigned by the Board is associated with an offender's risk level and indicates what type of communication with the public is required upon release. The figures below represent the percentage of offenders classified as Level II or Level III (moderate- and high-risk offenders).

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	52.8%	56.7%	55.3%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Sex Offender Community Notifications*

When a Level II or Level III sex offender moves to a new community, a notification is sent to local police departments. A listing of Level II and Level III sex offenders is also available at [www.paroleboard.ri.gov](http://www.paroleboard.ri.gov). The figures below represent the number of sex offender community notifications completed.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	458	767	165	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Victim Notification System (VINES) - Inquiries*

The Rhode Island Department of Corrections (RIDOC) uses VINES to inform victims about the status of certain offenders. Victims may inquire about a particular individual through the phone line (877-RI4-VINE) or on the web ([www.vinelink.com](http://www.vinelink.com)). The figures below represent the number of VINES inquiries received.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	92492	17859	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Corrections Custody and Security

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### **Program Mission**

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To maintain safe and clean facilities for the confinement of the inmate population. To investigate allegations of inmate misconduct and other activity that threatens public safety or the security of departmental operations. To provide technical services to security units such as the Correctional Emergency response Team and the K-9 unit.

### **Program Description**

The Custody & Security Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes six correctional institutions and one jail complex providing the various security levels for both men and women. The Men's facilities are High Security, Maximum Security, John J. Moran Medium Security, Minimum Security (including men's work lease) and the Intake Service Center (awaiting trial). The Women's facilities are the newly reconstructed/renovated Gloria McDonald (awaiting trial and higher security inmates) and Bernadette Guay (minimum security and work release inmates). The Donald Price Medium Security facility closed in 2012.

Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes the Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. These areas, in conjunction with the Records & Identification Unit, are under the supervision of the Assistant Director for Institutions and Operations.

### **Statutory History**

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. Section 4 creates the Division of Institutions & operations. Section 6 charges the Director to manage, supervise and control all of the Adult Correctional Institutions. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

# The Budget

## Department Of Corrections Custody and Security

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Institutions	117,651,401	115,282,943	112,925,185	114,553,793	116,118,153
Support Operations	41,256,268	3,195,058	3,254,748	3,397,923	3,440,471
Institutional Rehab Services	5,988,621	(46)	-	-	-
Transitional services	969,766	-	-	-	-
<b>Total Expenditures</b>	<b>\$165,866,056</b>	<b>\$118,477,955</b>	<b>\$116,179,933</b>	<b>\$117,951,716</b>	<b>\$119,558,624</b>
<b>Expenditures By Object</b>					
Personnel	149,083,636	115,606,764	113,082,062	114,393,120	116,282,084
Operating Supplies and Expenses	12,802,780	1,656,354	1,860,500	2,181,766	2,021,169
Assistance and Grants	1,199,850	1,185,518	1,176,473	1,194,473	1,194,473
<b>Subtotal: Operating Expenditures</b>	<b>163,086,266</b>	<b>118,448,636</b>	<b>116,119,035</b>	<b>117,769,359</b>	<b>119,497,726</b>
Capital Purchases and Equipment	2,779,790	29,319	60,898	182,357	60,898
<b>Total Expenditures</b>	<b>\$165,866,056</b>	<b>\$118,477,955</b>	<b>\$116,179,933</b>	<b>\$117,951,716</b>	<b>\$119,558,624</b>
<b>Expenditures By Funds</b>					
General Revenue	161,374,820	117,782,783	115,418,407	116,980,177	118,747,911
Federal Funds	1,897,407	695,172	761,526	971,539	810,713
Restricted Receipts	27,498	-	-	-	-
Operating Transfers from Other Funds	2,566,331	-	-	-	-
<b>Total Expenditures</b>	<b>\$165,866,056</b>	<b>\$118,477,955</b>	<b>\$116,179,933</b>	<b>\$117,951,716</b>	<b>\$119,558,624</b>

# Personnel

## Department Of Corrections Custody and Security

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY WARDEN CORRECTIONS	00140A	9.0	961,582	9.0	969,793
CORRECTIONAL OFFICER-CAPTAIN	00630A	14.0	1,247,236	14.0	1,247,236
RECORDS AND IDENTIFICATION OFFICER (CAPT.)	00628A	2.0	158,029	2.0	159,161
WORK REHABILITATION PROGRAM SUPERVISOR	00628A	1.0	78,961	1.0	78,961
CORRECTIONAL OFFICER SECURITY SPECIALIST	00628A	5.0	390,155	5.0	391,017
CORRECTIONAL OFFICER INVESTIGATOR II	00628A	1.0	77,794	1.0	77,794
CORRECTIONAL OFFICER-LIEUTENANT	00626A	57.0	4,335,263	57.0	4,347,624
RECORDS AND IDENTIFICATION OFFICER (LT.)	00624A	7.0	498,489	7.0	500,438
CHIEF OF MOTOR POOL AND MAINTENANCE	0C626A	1.0	69,003	1.0	69,003
CORRECTIONAL OFFICER INVESTIGATOR I	00624A	7.0	474,120	7.0	476,690
CORRECTIONAL OFFICER (CANINE)	00624A	2.0	130,349	2.0	130,349
CORRECTIONAL OFFICER ARMORER	00624A	1.0	61,925	1.0	61,925
CORRECTIONAL OFFICER	00621A	859.0	51,500,141	859.0	51,947,984
OFFICE MANAGER	0C623A	2.0	116,518	2.0	116,923
AUTOMOBILE SERVICE SHOP SUPERVISOR-ACI	0C621A	1.0	57,817	1.0	58,106
ADMINISTRATIVE OFFICER	00124A	1.0	53,041	1.0	54,810
STOREKEEPER (ACI)	0C617A	1.0	47,384	1.0	47,774
CLERK SECRETARY	0C616A	1.0	46,992	1.0	46,992
EXECUTIVE ASSISTANT	00118A	5.0	217,154	5.0	217,474
DATA CONTROL CLERK	0C615A	4.0	172,372	4.0	173,823
CLERK SECRETARY	00116A	1.0	37,699	1.0	38,186
SENIOR WORD PROCESSING TYPIST	00112A	1.0	34,860	1.0	34,860
<b>Subtotal</b>		<b>983.0</b>	<b>\$60,766,884</b>	<b>983.0</b>	<b>\$61,246,923</b>
<b>Unclassified</b>					
ASSISTANT DIRECTOR INSTITUTIONS/OPERATIONS	00844A	1.0	144,346	1.0	144,346
DEPUTY ASSISTANT DIRECTOR, ADULT SERVICES	00815F	6.0	757,122	6.0	757,122
<b>Subtotal</b>		<b>7.0</b>	<b>\$901,468</b>	<b>7.0</b>	<b>\$901,468</b>

# Personnel

## Department Of Corrections Custody and Security

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Briefing Time		-	1,461,770	-	1,461,612
Overtime		-	12,905,975	-	12,655,460
Road Construction Detail Reimbursements		-	552,000	-	552,000
Turnover		-	(5,440,122)	-	(5,427,442)
<b>Subtotal</b>		-	<b>\$9,479,623</b>	-	<b>\$9,241,630</b>
<b>Total Salaries</b>		<b>990.0</b>	<b>\$71,147,975</b>	<b>990.0</b>	<b>\$71,390,021</b>
<b>Benefits</b>					
Payroll Accrual			399,415		400,285
Holiday			2,467,121		2,743,079
FICA			5,692,972		5,728,561
Retiree Health			3,982,114		3,833,255
Health Benefits			13,017,324		14,271,577
Retirement			13,525,872		13,789,834
Contract Stipends			1,395,013		1,395,013
Workers Compensation			222,303		222,303
<b>Subtotal</b>			<b>\$40,702,134</b>		<b>\$42,383,907</b>
<b>Total Salaries and Benefits</b>		<b>990.0</b>	<b>\$111,850,109</b>	<b>990.0</b>	<b>\$113,773,928</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$112,980</b>		<b>\$114,923</b>
<b>Statewide Benefit Assessment</b>			<b>\$2,390,250</b>		<b>\$2,408,228</b>
<b>Payroll Costs</b>		<b>990.0</b>	<b>\$114,240,359</b>	<b>990.0</b>	<b>\$116,182,156</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			80,298		80,298
Other Contracts			15,497		15,472
Medical Services			4,158		4,158
<b>Subtotal</b>			<b>\$99,953</b>		<b>\$99,928</b>
<b>Total Personnel</b>		<b>990.0</b>	<b>\$114,393,120</b>	<b>990.0</b>	<b>\$116,282,084</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		990.0	\$113,574,735	990.0	\$115,471,710
Federal Funds		-	\$818,385	-	\$810,374
<b>Total All Funds</b>		<b>990.0</b>	<b>\$114,393,120</b>	<b>990.0</b>	<b>\$116,282,084</b>

# Performance Measures

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## Department Of Corrections Custody and Security

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### *Inmate Fighting*

RIDOC seeks to maintain a safe environment and minimize violence. This measure reflects inmate climate within the institutions. The figures below represent the number of inmate-on-inmate fights.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	201	47	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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### *Use of Force On Inmates*

The use of force within RIDOC's institutions is guided by the Department's use of force policy and U.S. Supreme Court requirements. Under these terms, correctional officers may use only the amount of force necessary to restore order. The figures below represent the number of instances requiring immediate use of force on inmates.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	259	58	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Department Of Corrections Institutional Support

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### **Program Mission**

To support the facilities and inmates by providing nutritionally balanced food and beverages. To provide daily and preventive maintenance to 45 department buildings on the Pastore Complex and grounds maintenance within the department's fence lines. To classify inmates to the most appropriate level of custody, consistent with staff, inmate and public safety.

### **Program Description**

The program includes support services that complement and enhance the objectives of the Custody and Security program. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings and grounds including fire safety, locksmiths, security systems, and environmental health inspections. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services.

### **Statutory History**

Statutes governing the Classification process include R.I.G.L. 42-56-10, Powers of the Director, 42-56-29, Receiving and Orientation Unit, 42-56-30, Classification Board, 42-56-31, Determination of Classification and Rehabilitation Programs of Prisoners, and 42-56-32, Classification Unit.



# The Budget

## Department Of Corrections Institutional Support

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Probation & Parole	12,208,814	8,225,899	8,268,635	8,400,579	8,435,871
Transitional Services	-	7,817,775	22,300,351	19,406,761	23,796,413
Community Programs	294,940	968,461	1,192,362	1,151,360	1,185,463
Operations	2,187,846	1,300,827	1,436,709	1,784,530	1,448,380
<b>Total Expenditures</b>	<b>\$14,691,600</b>	<b>\$18,312,962</b>	<b>\$33,198,057</b>	<b>\$30,743,230</b>	<b>\$34,866,127</b>
<b>Expenditures By Object</b>					
Personnel	14,004,361	6,660,818	7,299,100	7,118,080	7,284,824
Operating Supplies and Expenses	684,647	8,137,576	8,355,731	8,735,135	8,367,767
Assistance and Grants	2,592	6,581	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>14,691,600</b>	<b>14,804,975</b>	<b>15,654,831</b>	<b>15,853,215</b>	<b>15,652,591</b>
Capital Purchases and Equipment	-	3,507,987	17,543,226	14,890,015	19,213,536
<b>Total Expenditures</b>	<b>\$14,691,600</b>	<b>\$18,312,962</b>	<b>\$33,198,057</b>	<b>\$30,743,230</b>	<b>\$34,866,127</b>
<b>Expenditures By Funds</b>					
General Revenue	14,219,595	14,852,302	15,728,306	15,926,690	15,726,066
Federal Funds	406,733	9	-	-	-
Restricted Receipts	65,272	1,372	-	-	-
Operating Transfers from Other Funds	-	3,459,279	17,469,751	14,816,540	19,140,061
<b>Total Expenditures</b>	<b>\$14,691,600</b>	<b>\$18,312,962</b>	<b>\$33,198,057</b>	<b>\$30,743,230</b>	<b>\$34,866,127</b>

# Personnel

## Department Of Corrections Institutional Support

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR OF CLASSIFICATION	00140A	1.0	111,722	1.0	111,722
ASSOCIATE DIRECTOR OF MAINTENANCE	00139A	1.0	103,358	1.0	103,358
ASSOCIATE DIRECTOR - FOOD SERVICES	00134A	1.0	90,878	1.0	90,878
SUPERVISOR OF FOOD SERVICES (ACI)	00627A	2.0	152,734	2.0	152,734
INTAKE SERVICES COORDINATOR	00130A	1.0	74,225	1.0	74,225
PROGRAMMING SERVICES OFFICER	00J31A	1.0	71,763	1.0	74,873
CLASSIFICATION COUNSELOR (CORRECTIONS)	00J26A	2.0	143,202	2.0	143,202
CORRECTIONAL OFFICER-STEWARD	00624A	21.0	1,488,228	21.0	1,488,228
CHIEF OF MOTOR POOL AND MAINTENANCE	0C626A	1.0	67,495	1.0	67,855
MAINTENANCE SUPERINTENDENT (CORRECTIONS)	0C627A	2.0	134,824	2.0	134,824
ENVIRONMENTAL HEALTH COORDINATOR	00330A	1.0	65,568	1.0	65,568
ADULT COUNSELOR (CORRECTIONS)	00J27A	3.0	173,825	3.0	173,825
ASSISTANT ADMINISTRATIVE OFFICER	0C621A	1.0	50,980	1.0	51,445
PLUMBER SUPERVISOR (ACI)	00322G	1.0	50,815	1.0	50,815
LOCKSMITH II	00320A	2.0	95,917	2.0	95,917
ELECTRICIAN SUPERVISOR (CORRECTIONS)	00322A	2.0	95,725	2.0	98,217
BUILDING MAINTENANCE SUPERVISOR	00320A	3.0	137,453	3.0	138,420
CARPENTER SUPERVISOR (CORRECTIONS)	00320A	1.0	45,264	1.0	45,264
PLUMBER (CORRECTIONS)	00318G	2.0	86,730	2.0	86,730
IMPLEMENTATION AIDE	00322A	1.0	43,109	1.0	43,109
FIRE SAFETY TECHNICIAN (CORRECTIONS)	00318A	1.0	42,623	1.0	42,623
BUILDING SYSTEMS TECHNICIAN	00317A	2.0	83,572	2.0	83,572
SENIOR MAINTENANCE TECHNICIAN	00316G	4.0	165,675	4.0	165,675
LICENSED STEAMFITTER (ACI)	00317G	1.0	40,302	1.0	40,302
ELECTRICIAN (CORRECTIONS)	00318G	1.0	38,213	1.0	39,433
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	33,964	1.0	34,552
<b>Subtotal</b>		<b>60.0</b>	<b>\$3,688,164</b>	<b>60.0</b>	<b>\$3,697,366</b>
<b>Unclassified</b>					
COORDINATOR OF EDUCATION	00841F	1.0	65,243	1.0	65,243
<b>Subtotal</b>		<b>1.0</b>	<b>\$65,243</b>	<b>1.0</b>	<b>\$65,243</b>

# Personnel

## Department Of Corrections Institutional Support

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Briefing Time		-	53,872	-	53,872
Overtime		-	888,044	-	888,044
Turnover		-	(217,499)	-	(225,738)
<b>Subtotal</b>		-	<b>\$724,417</b>	-	<b>\$716,178</b>
<b>Total Salaries</b>		<b>61.0</b>	<b>\$4,477,824</b>	<b>61.0</b>	<b>\$4,478,787</b>
<b>Benefits</b>					
Payroll Accrual			24,631		24,608
Holiday			105,686		114,998
FICA			350,638		351,424
Retiree Health			249,989		238,739
Health Benefits			777,337		934,117
Retirement			850,388		860,520
Contract Stipends			36,130		36,130
<b>Subtotal</b>			<b>\$2,394,799</b>		<b>\$2,560,536</b>
<b>Total Salaries and Benefits</b>		<b>61.0</b>	<b>\$6,872,623</b>	<b>61.0</b>	<b>\$7,039,323</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$112,666</b>		<b>\$115,399</b>
<b>Statewide Benefit Assessment</b>			<b>\$150,275</b>		<b>\$150,317</b>
<b>Payroll Costs</b>		<b>61.0</b>	<b>\$7,022,898</b>	<b>61.0</b>	<b>\$7,189,640</b>
<b>Purchased Services</b>					
University and College Services			31,500		31,500
Other Contracts			3,288		3,288
Buildings and Ground Maintenance			9,659		9,659
Design and Engineering Services			49,616		49,618
Medical Services			1,119		1,119
<b>Subtotal</b>			<b>\$95,182</b>		<b>\$95,184</b>
<b>Total Personnel</b>		<b>61.0</b>	<b>\$7,118,080</b>	<b>61.0</b>	<b>\$7,284,824</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		61.0	\$7,118,080	61.0	\$7,284,824
<b>Total All Funds</b>		<b>61.0</b>	<b>\$7,118,080</b>	<b>61.0</b>	<b>\$7,284,824</b>

# Performance Measures

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## Department Of Corrections Institutional Support

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### *Inmate Classification*

This measure assesses any delays in the inmate classification process and the transfer of classified inmates to the sentenced facilities, as defined under RI General Laws (42-56-29). The figures below represent the number of inmates not classified within 120 days of sentencing.

	2011	2012	2013	2014	2015
Target	--	--	--	--	--
Actual	--	--	68	13	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Department Of Corrections Institutional Based Rehab/Pop Mgmt

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### **Program Mission**

To provide vitally important rehabilitative services, such as counseling and life skills/ re-entry training. To ensure that all inmates have access to all available educational services to achieve at least functional literacy and GED attainment. To produce quality goods and services and to create a work atmosphere that will provide inmates with marketable skills.

### **Program Description**

The program is responsible for rehabilitative services in order to successfully reintegrate inmates into the community. The Department includes a comprehensive program of offender assessment and case management to identify and incorporate various treatment and rehabilitative programs. Program services include the following:

- 1) Educational and vocational training, including Adult Basic Education, Special Education/Title I, GED classes and testing, post secondary and vocational training programs, and general reading and law libraries for use by prisoners.
- 2) Correctional Industries, which employs 134 inmates who provide moving, painting, clean up, printing, license plate, upholstery, auto body repair, carpentry, and furniture products and services to state and municipal agencies and provides basic instruction in manufacturing and general job market training.
- 3) Case Management & Individual Program Planning, including a risk/needs assessment to form the basis for further development of individual program assignments and identification of high risk inmates requiring more intensive case management.
- 4) Reentry/Treatment Services, providing services to improve inmate behavior and cognitive functioning such as substance abuse treatment, sex-offender treatment, sexual abuse counseling, high risk offender reintegration, domestic violence intervention programming, parent visitation and parenting education, victim impact education, chaplaincy services, cognitive restructuring, barber apprentice, and various volunteer services.
- 5) Reentry Services, such as discharge planning and reentry council review, which will assist inmates to deal with housing, employment, family reunification and continued treatment issues that will enable them to return to their communities and live crime-free lives.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations. R.I.G.L. 42-56-24 (enacted in 2008) expands the number of days an inmate can reduce his sentence through participation in programs.

# The Budget

## Department Of Corrections Institutional Based Rehab/Pop Mgmt

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Education/Voc Ed Services	-	3,361,693	3,498,583	3,377,416	3,191,549
Case Mgmt & Planning	-	2,284,544	2,341,064	2,324,728	2,370,588
Re-entry/Treatment Services	-	3,676,595	3,756,255	3,884,425	3,614,330
Instit Rehab & Popul Mgmt Pgms	-	360,942	422,943	429,351	444,478
<b>Total Expenditures</b>	-	<b>\$9,683,774</b>	<b>\$10,018,845</b>	<b>\$10,015,920</b>	<b>\$9,620,945</b>
<b>Expenditures By Object</b>					
Personnel	-	9,375,209	9,707,181	9,642,323	9,274,104
Operating Supplies and Expenses	-	308,565	306,881	368,814	342,058
<b>Subtotal: Operating Expenditures</b>	-	<b>9,683,774</b>	<b>10,014,062</b>	<b>10,011,137</b>	<b>9,616,162</b>
Capital Purchases and Equipment	-	-	4,783	4,783	4,783
<b>Total Expenditures</b>	-	<b>\$9,683,774</b>	<b>\$10,018,845</b>	<b>\$10,015,920</b>	<b>\$9,620,945</b>
<b>Expenditures By Funds</b>					
General Revenue	-	8,701,864	9,129,775	9,204,908	8,972,305
Federal Funds	-	956,515	859,312	782,148	619,476
Restricted Receipts	-	25,395	29,758	28,864	29,164
<b>Total Expenditures</b>	-	<b>\$9,683,774</b>	<b>\$10,018,845</b>	<b>\$10,015,920</b>	<b>\$9,620,945</b>

# Personnel

## Department Of Corrections Institutional Based Rehab/Pop Mgmt

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	85,961	1.0	89,851
PROFESSIONAL SERVICES COORDINATOR	00134A	1.0	84,857	1.0	84,857
COUNSELING SERVICES COORDINATOR	0C632A	1.0	81,104	1.0	81,104
SUBSTANCE ABUSE COORDINATOR	00132A	1.0	75,122	1.0	75,122
PAROLE COORDINATOR	00C27A	1.0	70,079	1.0	70,079
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	67,207	1.0	71,816
ADULT COUNSELOR (CORRECTIONS)	00J27A	21.0	1,387,944	21.0	1,392,863
LIBRARIAN (ACI)	0C620A	2.0	91,000	2.0	92,063
DATA CONTROL CLERK	0C615A	1.0	45,357	1.0	45,494
ADMINISTRATIVE OFFICER	00124A	1.0	45,292	1.0	46,714
INFORMATION SERVICES TECHNICIAN II	00120A	1.0	41,611	1.0	42,610
SENIOR WORD PROCESSING TYPIST	00312A	2.0	75,129	2.0	75,129
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	34,806	1.0	35,580
<b>Subtotal</b>		<b>35.0</b>	<b>\$2,185,469</b>	<b>35.0</b>	<b>\$2,203,282</b>
<b>Unclassified</b>					
ASSISTANT DIRECTOR OF REHABILITATIVE	00844A	1.0	112,568	1.0	117,063
SPECIAL EDUCATION DIRECTOR/PRINCIPAL	00837A	1.0	105,552	1.0	105,552
SCHOOL SOCIAL WORKER	0T002A	1.0	101,932	1.0	105,979
TEACHER ACADEMIC ENGLISH/ENDORSEMENT IN	0T001A	1.0	90,838	1.0	90,837
TEACHER ACADEMIC - INDUSTRIAL ARTS	0T001A	1.0	87,427	1.0	87,427
TEACHER (ACADEMIC)	0T001A	10.0	831,954	10.0	837,280
TEACHER ACADEMIC (SPECIAL EDUCATION)	0T001A	3.0	226,895	3.0	230,386
SCHOOL PSYCHOLOGIST	0T002A	1.0	62,664	1.0	62,664
<b>Subtotal</b>		<b>19.0</b>	<b>\$1,619,830</b>	<b>19.0</b>	<b>\$1,637,188</b>
Overtime		-	139,249	-	80,178
Turnover		-	(277,832)	-	(298,417)
<b>Subtotal</b>		-	<b>(\$138,583)</b>	-	<b>(\$218,239)</b>
<b>Total Salaries</b>		<b>54.0</b>	<b>\$3,666,716</b>	<b>54.0</b>	<b>\$3,622,231</b>
<b>Benefits</b>					
Payroll Accrual			20,447		21,238
FICA			281,235		270,616
Retiree Health			246,274		254,775
Health Benefits			544,509		617,809
Retirement			846,342		866,589
Contract Stipends			2,000		2,000
<b>Subtotal</b>			<b>\$1,940,807</b>		<b>\$2,033,027</b>
<b>Total Salaries and Benefits</b>		<b>54.0</b>	<b>\$5,607,523</b>	<b>54.0</b>	<b>\$5,655,258</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,843</b>		<b>\$104,727</b>
<b>Statewide Benefit Assessment</b>			<b>\$149,487</b>		<b>\$155,911</b>
<b>Payroll Costs</b>		<b>54.0</b>	<b>\$5,757,010</b>	<b>54.0</b>	<b>\$5,811,169</b>

# Personnel

## Department Of Corrections Institutional Based Rehab/Pop Mgmt

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			5,450		-
University and College Services			284,132		284,432
Clerical and Temporary Services			148,671		148,671
Other Contracts			1,782,423		1,586,170
Buildings and Ground Maintenance			231,386		-
Training and Educational Services			182,231		198,315
Medical Services			1,251,020		1,245,347
<b>Subtotal</b>			<b>\$3,885,313</b>		<b>\$3,462,935</b>
<b>Total Personnel</b>		<b>54.0</b>	<b>\$9,642,323</b>	<b>54.0</b>	<b>\$9,274,104</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		51.8	\$8,896,306	51.8	\$8,664,738
Federal Funds		2.3	\$717,153	2.3	\$580,202
Restricted Receipts		-	\$28,864	-	\$29,164
<b>Total All Funds</b>		<b>54.0</b>	<b>\$9,642,323</b>	<b>54.0</b>	<b>\$9,274,104</b>



# Performance Measures

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## Department Of Corrections Institutional Based Rehab/Pop Mgmt

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### ***Substance Abuse Assessment***

Comprehensive substance abuse assessments analyze an inmate's need for treatment services. This assessment, when considered along with risk and need data, ensures that treatment slots are being used appropriately for those in need. The figures below represent the number of inmates receiving a focused substance abuse assessment.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	706	195	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### ***Substance Abuse Admission***

The figures below represent the number of inmates determined to have a valid substance abuse diagnosis that have been admitted to treatment.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	701	195	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### ***Education Services***

This measure reflects the level of program participation for education programs in RIDOC. These programs are intended to prepare inmates for reintegration into the community with improved skill sets. The figures below represent the number of inmates enrolled in academic programs. All inmates with educational attainment of "some high school" or "less than ninth grade" are determined to have an educational need because they lack a diploma.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	4511	582	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Corrections Healthcare Svcs

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### **Program Mission**

To provide constitutionally mandated health care to all inmates. To provide needed diagnosis and medical care for chronic medical conditions that will prevent more serious conditions. To provide care that is focused on ensuring the best possible functioning in the correctional setting and that allows successful reintegration into the family and community. To provide open access to acute care services so that offender progress through the legal process is unimpeded. To provide the community with case findings and public health services and intervention to protect the health of all Rhode Islanders.

### **Program Description**

The Healthcare Services Program provides medical, dental and mental health services to the inmate population, both sentenced and awaiting trial. Such services include inmate evaluations by nurses and behavioral health specialists, further evaluations by physicians or psychiatrists, and administration of medications. The Program operates two 24-hour infirmaries at the Women's Facility and the Intake Service Center, as well a seven on-site dispensaries, under the coordination of a Medical Program Director. These facilities are staffed by nurses, full-time physicians, dentists, mental health workers, psychiatrists, as well as support staff of health educators, medical records maintenance, and dental hygienists and assistants. The program also provides transportation to outside clinics for treatment not available under the medical assistance guidelines.

Healthcare Services also has an important public health function for the State of Rhode Island, as it serves Rhode Island's highest risk population, a population unlikely to receive regular medical or dental care and therefore at risk for contracting and spreading untreated infectious disease. The daily inmate population is many times more likely than the general population to have infectious and other diseases and conditions. Approximately 1% of the population is HIV positive and we have diagnosed more HIV infections in the state than any other testing site. Also, approximately 25% of the inmate population is infected with Hepatitis C, 15-20% of the incarcerated population has serious mental illness and up to 80% of the population are substance abusers.

### **Statutory History**

Under the US Constitution and RIGL 42-56-10, the Healthcare Services unit is mandated to provide medical, dental, and behavioral healthcare to the incarcerated inmate population who are either sentenced or awaiting trial within all facilities of the Rhode Island Department of Corrections (RIDOC). The Department also complies with state medicaid as well as National Commission on Correctional Healthcare standards (NCCH) for the management of the Department's medical delivery system.

# The Budget

## Department Of Corrections Healthcare Svcs

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Medical Services	-	4,355,537	4,248,580	4,473,441	4,455,316
Nursing Services	-	7,373,257	7,756,512	7,548,359	7,657,444
Dental Services	-	801,787	807,239	1,018,824	1,043,004
Pharmacy Services	-	2,476,812	2,723,669	2,500,029	2,486,842
Physician Services	-	1,180,027	1,325,672	1,321,950	1,326,121
Behavioral Health Services	-	2,187,033	2,058,479	2,008,170	2,054,422
AIDS Counseling	-	239,673	235,859	234,236	242,123
Medical Records	-	523,480	483,259	478,651	486,136
<b>Total Expenditures</b>	-	<b>\$19,137,606</b>	<b>\$19,639,269</b>	<b>\$19,583,660</b>	<b>\$19,751,408</b>
<b>Expenditures By Object</b>					
Personnel	-	16,589,630	16,832,495	16,963,190	17,152,478
Operating Supplies and Expenses	-	2,540,476	2,803,573	2,617,269	2,595,729
<b>Subtotal: Operating Expenditures</b>	-	<b>19,130,106</b>	<b>19,636,068</b>	<b>19,580,459</b>	<b>19,748,207</b>
Capital Purchases and Equipment	-	7,500	3,201	3,201	3,201
<b>Total Expenditures</b>	-	<b>\$19,137,606</b>	<b>\$19,639,269</b>	<b>\$19,583,660</b>	<b>\$19,751,408</b>
<b>Expenditures By Funds</b>					
General Revenue	-	19,137,606	19,639,269	19,583,660	19,751,408
<b>Total Expenditures</b>	-	<b>\$19,137,606</b>	<b>\$19,639,269</b>	<b>\$19,583,660</b>	<b>\$19,751,408</b>

# Personnel

## Department Of Corrections Healthcare Svcs

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
MEDICAL PROGRAM DIRECTOR (CORRECTIONS)	00154A	1.0	161,045	1.0	161,045
PHYSICIAN II (GENERAL)	00740A	3.0	363,686	3.0	363,686
ASSOCIATE DIRECTOR OF HEALTH CARE	00141A	1.0	117,336	1.0	117,336
DIRECTOR OF GENERAL NURSING SERVICES	00140A	1.0	107,090	1.0	107,090
PHYSICIAN EXTENDER (CORRECTIONS)	0B659A	2.0	210,737	2.0	213,576
CLINICAL DIRECTOR, PSYCHOLOGIST	00141A	1.0	101,773	1.0	101,773
CHIEF OF DENTAL SERVICES	00144A	1.0	98,847	1.0	103,328
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	97,587	1.0	97,587
CORRECTIONAL OFFICER (HOSPITAL SUPERVISOR)	0B655A	4.0	355,585	4.0	357,078
PRINCIPAL PUBLIC HEALTH PROMOTION	00133A	1.0	85,244	1.0	85,244
CORRECTIONAL OFFICER HOSPITAL II	0B651A	33.0	2,663,694	33.0	2,670,319
CLINICAL PSYCHOLOGIST	00J27A	1.0	76,156	1.0	76,156
PUBLIC HEALTH EDUCATION SPECIALIST	01331A	1.0	70,542	1.0	70,542
CORRECTIONAL OFFICER-HOSPITAL	0B624A	9.0	584,509	9.0	586,240
CLINICAL SOCIAL WORKER	00J27A	11.0	709,070	11.0	716,967
SUPERVISING CLINICAL PSYCHOLOGIST	00J29A	1.0	63,965	1.0	63,965
SENIOR X-RAY TECHNICIAN CORRECTIONS	0C620A	1.0	52,800	1.0	52,800
MEDICAL RECORDS TECHNICIAN	0C620A	1.0	50,498	1.0	50,498
ADMINISTRATIVE OFFICER	00124A	1.0	46,714	1.0	48,579
PHARMACY AIDE	0C616A	1.0	46,295	1.0	46,596
DENTAL ASSISTANT (CORRECTIONS)	0C614A	2.0	88,051	2.0	88,051
SENIOR RECONCILIATION CLERK	0C614A	1.0	41,212	1.0	41,825
MEDICAL RECORDS CLERK	0C611A	4.0	156,371	4.0	157,766
CLERK SECRETARY	0C616A	1.0	38,941	1.0	39,928
<b>Subtotal</b>		<b>84.0</b>	<b>\$6,387,748</b>	<b>84.0</b>	<b>\$6,417,975</b>
Briefing Time		-	79,708	-	79,708
Overtime		-	1,449,402	-	1,449,402
Turnover		-	(424,637)	-	(438,521)
<b>Subtotal</b>		<b>-</b>	<b>\$1,104,473</b>	<b>-</b>	<b>\$1,090,589</b>
<b>Total Salaries</b>		<b>84.0</b>	<b>\$7,492,221</b>	<b>84.0</b>	<b>\$7,508,564</b>
<b>Benefits</b>					
Payroll Accrual			41,264		41,282
Holiday			171,849		189,034
FICA			586,302		588,866
Retiree Health			421,593		403,614
Health Benefits			880,222		1,058,333
Retirement			1,434,128		1,454,802
Contract Stipends			66,181		66,181
<b>Subtotal</b>			<b>\$3,601,539</b>		<b>\$3,802,112</b>

# Personnel

## Department Of Corrections Healthcare Svcs

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		84.0	\$11,093,760	84.0	\$11,310,676
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$132,069		\$134,651
<b>Statewide Benefit Assessment</b>			\$253,434		\$254,127
<b>Payroll Costs</b>		84.0	\$11,347,194	84.0	\$11,564,803
<b>Purchased Services</b>					
University and College Services			154,000		154,000
Management & Consultant Services			25,000		25,000
Other Contracts			189,328		189,328
Medical Services			5,247,668		5,219,347
<b>Subtotal</b>			<b>\$5,615,996</b>		<b>\$5,587,675</b>
<b>Total Personnel</b>		<b>84.0</b>	<b>\$16,963,190</b>	<b>84.0</b>	<b>\$17,152,478</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		84.0	\$16,963,190	84.0	\$17,152,478
<b>Total All Funds</b>		<b>84.0</b>	<b>\$16,963,190</b>	<b>84.0</b>	<b>\$17,152,478</b>

# Performance Measures

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## Department Of Corrections Healthcare Svcs

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### *Physician Encounters*

Medical services are provided to inmates via department staff and contracted providers. RIDOC uses this measure to gauge its responsiveness to the health needs of inmates. Early intervention by medical providers can reduce costs incurred through inmate hospitalization and emergency room usage. The figures below represent the number of inmate encounters with physicians.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	17018	4593	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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### *Hospital Admissions*

Hospital level care is a significant cost to RIDOC, as it involves supervision and medical treatment costs. The figures below represent the number of hospital admissions.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	197	38	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Department Of Corrections Community Corrections

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### **Program Mission**

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

### **Program Description**

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

**Probation and Parole:** The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. In June 2013, those under active supervision totaled 9,144.

**Community Programs:** Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration. In FY 2013, 398 inmates successfully completed community confinement, while 117 were unsuccessful.

**Victim Services:** The program offers victims automated offender information and advocacy services such as crisis intervention, referral, and case management needs.

### **Statutory History**

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

# The Budget

## Department Of Corrections Community Corrections

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Probation Services	-	11,306,187	11,734,432	11,647,029	11,755,675
Parole Services	-	1,262,552	1,255,489	1,244,625	1,230,511
Community-based Programs	-	1,964,448	1,980,055	1,975,137	1,999,892
Victim Services	-	142,521	170,153	156,661	142,486
Community Corrections	-	57	958	958	958
<b>Total Expenditures</b>	-	<b>\$14,675,765</b>	<b>\$15,141,087</b>	<b>\$15,024,410</b>	<b>\$15,129,522</b>
<b>Expenditures By Object</b>					
Personnel	-	13,936,985	14,265,809	14,175,601	14,285,989
Operating Supplies and Expenses	-	737,138	863,486	837,017	831,741
Assistance and Grants	-	1,642	3,978	3,978	3,978
<b>Subtotal: Operating Expenditures</b>	-	<b>14,675,765</b>	<b>15,133,273</b>	<b>15,016,596</b>	<b>15,121,708</b>
Capital Purchases and Equipment	-	-	7,814	7,814	7,814
<b>Total Expenditures</b>	-	<b>\$14,675,765</b>	<b>\$15,141,087</b>	<b>\$15,024,410</b>	<b>\$15,129,522</b>
<b>Expenditures By Funds</b>					
General Revenue	-	14,543,881	15,031,969	14,869,868	15,035,529
Federal Funds	-	105,928	73,986	117,253	68,518
Restricted Receipts	-	25,956	35,132	37,289	25,475
<b>Total Expenditures</b>	-	<b>\$14,675,765</b>	<b>\$15,141,087</b>	<b>\$15,024,410</b>	<b>\$15,129,522</b>



# Personnel

## Department Of Corrections Community Corrections

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR OF COMMUNITY CONFINEMENT	00139A	1.0	106,753	1.0	106,753
DEPUTY COMPACT ADMINISTRATOR (ADULT	00C31A	1.0	89,587	1.0	89,587
ASSISTANT PROBATION AND PAROLE	00138A	1.0	89,286	1.0	89,286
PROBATION AND PAROLE SUPERVISOR	00C33A	9.0	798,421	9.0	798,421
HOME CONFINEMENT COORDINATOR	00133A	1.0	84,613	1.0	84,613
ASSOCIATE DIRECTOR COMMUNITY CORRECTIONS	00141A <sup>3</sup>	1.0	83,476	1.0	83,476
PROBATION AND PAROLE OFFICER II	00C29A	73.0	5,368,906	73.0	5,377,723
COMMUNITY PROGRAM COUNSELOR	00J27A	5.0	324,836	5.0	327,699
CORRECTIONAL OFFICER	00621A	6.0	389,136	6.0	391,216
PROBATION AND PAROLE OFFICER I	00C27A	6.0	385,966	6.0	391,629
ADMINISTRATIVE OFFICER	00324A	1.0	54,753	1.0	54,753
PROBATION AND PAROLE AIDE	00318A	12.0	532,783	12.0	534,465
DATA CONTROL CLERK	00315A	1.0	43,932	1.0	43,932
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	42,877	1.0	42,877
SENIOR WORD PROCESSING TYPIST	00312A	10.0	348,794	10.0	352,066
<b>Subtotal</b>		<b>129.0</b>	<b>\$8,744,119</b>	<b>129.0</b>	<b>\$8,768,496</b>
Briefing Time		-	43,204	-	43,204
Overtime		-	223,270	-	223,270
Turnover		-	(485,059)	-	(507,090)
<b>Subtotal</b>		<b>-</b>	<b>(\$218,585)</b>	<b>-</b>	<b>(\$240,616)</b>
<b>Total Salaries</b>		<b>129.0</b>	<b>\$8,525,534</b>	<b>129.0</b>	<b>\$8,527,880</b>
<b>Benefits</b>					
Payroll Accrual			48,126		48,003
Holiday			25,260		27,786
FICA			654,136		653,975
Retiree Health			583,915		557,241
Health Benefits			1,505,231		1,664,290
Retirement			1,985,335		2,007,409
Contract Stipends			13,281		13,281
<b>Subtotal</b>			<b>\$4,815,284</b>		<b>\$4,971,985</b>
<b>Total Salaries and Benefits</b>		<b>129.0</b>	<b>\$13,340,818</b>	<b>129.0</b>	<b>\$13,499,865</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,417</b>		<b>\$104,650</b>
<b>Statewide Benefit Assessment</b>			<b>\$351,011</b>		<b>\$351,069</b>
<b>Payroll Costs</b>		<b>129.0</b>	<b>\$13,691,829</b>	<b>129.0</b>	<b>\$13,850,934</b>

# Personnel

## Department Of Corrections Community Corrections

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Other Contracts			228,772		180,055
Medical Services			255,000		255,000
<b>Subtotal</b>			<b>\$483,772</b>		<b>\$435,055</b>
<b>Total Personnel</b>		<b>129.0</b>	<b>\$14,175,601</b>	<b>129.0</b>	<b>\$14,285,989</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		129.0	\$14,021,082	129.0	\$14,192,001
Federal Funds		-	\$117,230	-	\$68,513
Restricted Receipts		-	\$37,289	-	\$25,475
<b>Total All Funds</b>		<b>129.0</b>	<b>\$14,175,601</b>	<b>129.0</b>	<b>\$14,285,989</b>

*3 The department requests lists this position as Administrator - Probation & Parole, however, has since decided to fill as an Associate Director Community Corrections.*

# Performance Measures

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## Department Of Corrections Community Corrections

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### *Adult Probation*

In addition to the 3,200 inmates located on the grounds of the Pastore Center, RIDOC oversees more than 25,000 adult probation offenders that are based in the community. The figures below represent the number of active offenders on probation.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	8805	6051	5590	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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### *Field Visits*

In addition to the individuals on probation and parole, RIDOC oversees the Community Confinement program. The figures below represent the percentage of home confinees receiving at least one field visit per month.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	56%	93%	66%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Department Of Corrections Internal Service Programs

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### **Program Mission**

To provide the most cost-effective delivery of goods and services to other state programs.

### **Program Description**

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center and Correctional Industries.

The Central Distribution Center purchases and inventories \$5.3 million in food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Total FY 2013 sales volume was \$5.1 million. Total employment was 127 inmates.

In organizational terms, the Central Distribution Center is listed under the Central Management program. Correctional Industries is listed under the Institutional Rehabilitation & Population Management program.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations.

# The Budget

## Department Of Corrections Internal Service Programs

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	9,502,583	10,313,758	15,043,033	14,861,970	14,444,351
Internal Services	<i>[9,502,583]</i>	<i>[10,313,758]</i>	<i>[15,043,033]</i>	<i>[14,861,970]</i>	<i>[14,444,351]</i>
<b>Total Expenditures</b>	<b>\$9,502,583</b>	<b>\$10,313,758</b>	<b>\$15,043,033</b>	<b>\$14,861,970</b>	<b>\$14,444,351</b>
<b>Expenditures By Object</b>					
Personnel	2,845,178	3,098,138	3,709,755	2,934,722	3,111,073
Operating Supplies and Expenses	6,471,893	7,091,970	10,604,043	10,652,013	10,604,043
Assistance and Grants	94,516	88,574	214,235	214,235	214,235
<b>Subtotal: Operating Expenditures</b>	<b>9,411,587</b>	<b>10,278,682</b>	<b>14,528,033</b>	<b>13,800,970</b>	<b>13,929,351</b>
Capital Purchases and Equipment	90,996	35,076	515,000	1,061,000	515,000
<b>Total Expenditures</b>	<b>\$9,502,583</b>	<b>\$10,313,758</b>	<b>\$15,043,033</b>	<b>\$14,861,970</b>	<b>\$14,444,351</b>
<b>Expenditures By Funds</b>					
Other Funds	9,502,583	10,313,758	15,043,033	14,861,970	14,444,351
<b>Total Expenditures</b>	<b>\$9,502,583</b>	<b>\$10,313,758</b>	<b>\$15,043,033</b>	<b>\$14,861,970</b>	<b>\$14,444,351</b>

# Personnel

## Department Of Corrections Internal Service Programs

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR INDUSTRIES	00137A	1.0	94,538	1.0	94,538
CHIEF DISTRIBUTION OFFICER	00831A	1.0	72,475	1.0	72,475
INDUSTRIES GENERAL SUPERVISOR (ACI)	0C628A	2.0	142,164	2.0	142,164
ASSISTANT CHIEF DISTRIBUTION OFFICER	00328A	1.0	69,512	1.0	69,512
BUSINESS MANAGEMENT OFFICER	0C626A	1.0	65,978	1.0	65,978
MARKETING/SALES MANAGER (PRISON)	0C626A	1.0	59,748	1.0	59,748
METAL STAMPING SHOP SUPERVISOR (ACI)	0C621A	1.0	58,106	1.0	58,106
PRINTING SHOP SUPERVISOR (ACI)	0C623A	2.0	116,106	2.0	116,312
HORTICULTURE SHOP SUPERVISOR (ACI)	0C622A	1.0	56,298	1.0	56,298
FURNITURE/UPHOLSTERY REPAIR SHOP	0C622A	2.0	109,593	2.0	110,858
AUTO BODY SHOP SUPERVISOR (ACI)	0C622A	1.0	54,647	1.0	55,156
GARMENT SHOP SUPERVISOR (ACI)	0C621A	1.0	53,309	1.0	53,499
JANITORIAL/MAINTENANCE SUPERVISOR	0C621A	1.0	53,060	1.0	54,713
STOREKEEPER (ACI)	0C617A	1.0	47,384	1.0	47,610
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	44,871	1.0	45,889
SENIOR INSPECTOR (DIVISION OF PURCHASES)	00318A	1.0	44,652	1.0	44,652
SENIOR RECONCILIATION CLERK	00314A	1.0	44,369	1.0	44,369
ASSISTANT BUSINESS MANAGEMENT OFFICER	0C619A	1.0	41,138	1.0	42,158
WAREHOUSE WORKER (CORRECTIONS)	00313A	6.0	236,519	6.0	236,519
FISCAL CLERK	00314A	1.0	34,944	1.0	34,944
<b>Subtotal</b>		<b>28.0</b>	<b>\$1,499,411</b>	<b>28.0</b>	<b>\$1,505,498</b>
Overtime		-	402,289	-	402,289
Turnover		-	(94,692)	-	-
<b>Subtotal</b>		<b>-</b>	<b>\$307,597</b>	<b>-</b>	<b>\$402,289</b>
<b>Total Salaries</b>		<b>28.0</b>	<b>\$1,807,008</b>	<b>28.0</b>	<b>\$1,907,787</b>
<b>Benefits</b>					
Payroll Accrual			9,604		9,027
Holiday			3,403		3,403
FICA			138,497		116,974
Retiree Health			99,314		127,914
Health Benefits			323,703		387,381
Retirement			337,835		366,269
Contract Stipends			14,092		14,092
<b>Subtotal</b>			<b>\$926,448</b>		<b>\$1,025,060</b>
<b>Total Salaries and Benefits</b>		<b>28.0</b>	<b>\$2,733,456</b>	<b>28.0</b>	<b>\$2,932,847</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$97,623</b>		<b>\$104,745</b>
<b>Statewide Benefit Assessment</b>			<b>\$59,701</b>		<b>\$63,888</b>
<b>Payroll Costs</b>		<b>28.0</b>	<b>\$2,793,157</b>	<b>28.0</b>	<b>\$2,996,735</b>

# Personnel

## Department Of Corrections Internal Service Programs

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
University and College Services			100,000		100,000
Clerical and Temporary Services			25,853		45
Other Contracts			13,712		12,293
Design and Engineering Services			2,000		2,000
<b>Subtotal</b>			<b>\$141,565</b>		<b>\$114,338</b>
<b>Total Personnel</b>		<b>28.0</b>	<b>\$2,934,722</b>	<b>28.0</b>	<b>\$3,111,073</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		28.0	\$2,934,722	28.0	\$3,111,073
<b>Total All Funds</b>		<b>28.0</b>	<b>\$2,934,722</b>	<b>28.0</b>	<b>\$3,111,073</b>

# Agency

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## Judicial Department - Constitution

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### Agency Mission

In our mission to administer justice while maintaining our independence, we are duty bound to act with unfailing honor to serve and protect the ideals of democracy for the citizens of this state.

### Agency Description

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of last resort, the Superior Court is the court of general jurisdiction, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are courts of limited jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the Rhode Island Judiciary and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the State Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

### Statutory History

The Judicial is one of the three branches of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8, District Court; Title 28 Chapter 30, Workers' Compensation Court; and, Title 8 Chapter 8.2, Traffic Tribunal.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.



# Budget

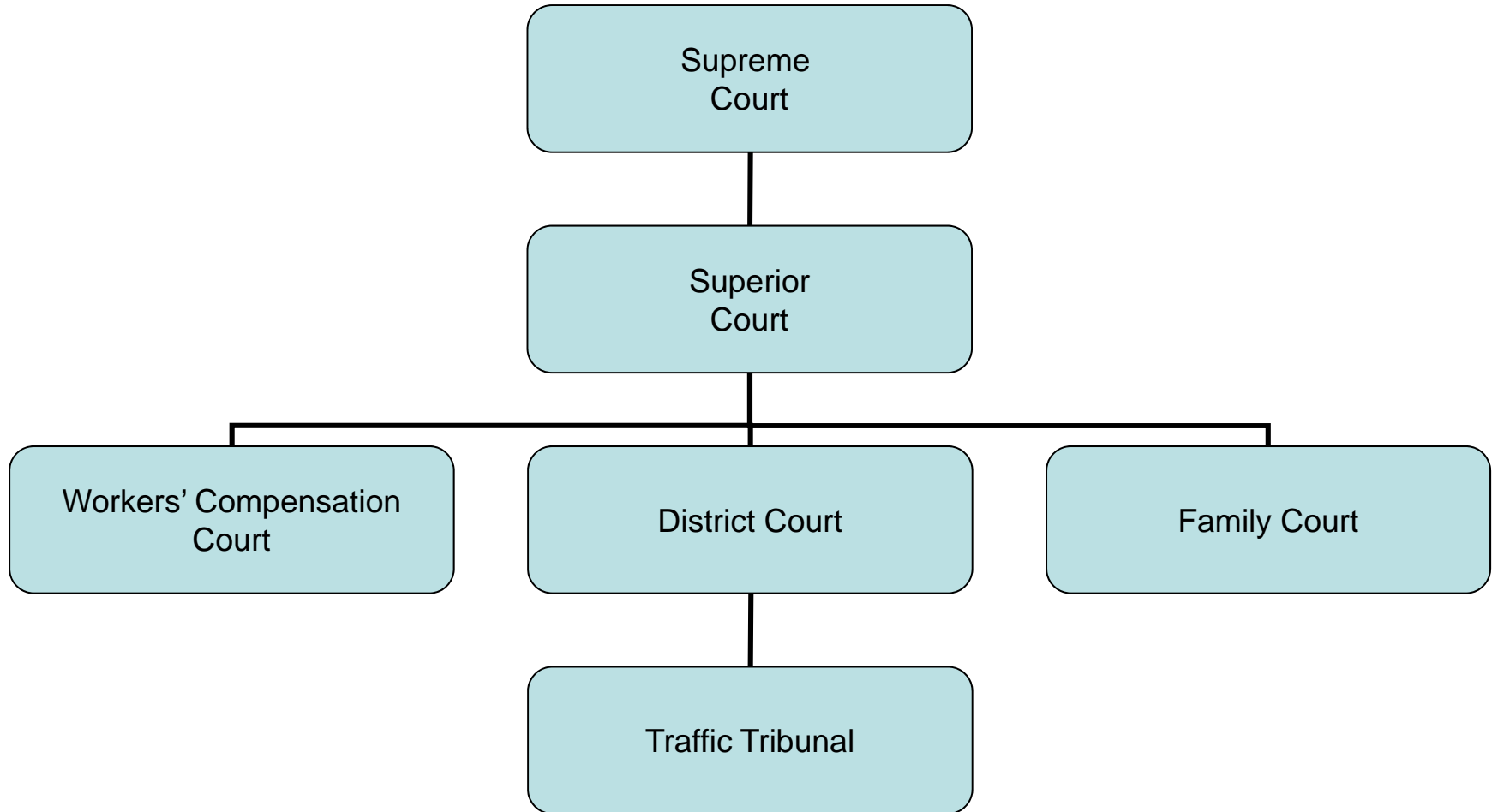
## Judicial Department - Constitution

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Supreme Court	31,878,887	35,142,134	34,927,854	35,449,115	33,730,617
Superior Court	21,855,277	21,804,400	22,695,509	22,371,420	22,708,957
Family Court	20,027,371	19,834,155	21,109,265	20,726,785	20,955,333
District Court	11,643,985	11,825,392	12,843,456	12,415,149	12,291,337
Traffic Tribunal	8,192,012	7,933,583	8,090,350	8,580,578	8,760,119
Worker's Compensation Court	7,204,814	7,359,644	7,842,060	7,834,530	7,932,640
Judicial Tenure & Discipline	105,450	113,327	115,627	115,054	115,513
<b>Total Expenditures</b>	<b>\$100,907,796</b>	<b>\$104,012,635</b>	<b>\$107,624,121</b>	<b>\$107,492,631</b>	<b>\$106,494,516</b>
<b>Expenditures By Object</b>					
Personnel	78,286,142	77,958,128	82,729,796	82,232,612	83,046,565
Operating Supplies and Expenses	10,618,217	11,416,578	9,877,792	10,317,221	10,607,659
Assistance and Grants	10,198,781	10,470,823	11,315,642	11,022,031	10,851,188
<b>Subtotal: Operating Expenditures</b>	<b>99,103,140</b>	<b>99,845,529</b>	<b>103,923,230</b>	<b>103,571,864</b>	<b>104,505,412</b>
Capital Purchases and Equipment	1,804,656	4,167,106	3,700,891	3,920,767	1,989,104
<b>Total Expenditures</b>	<b>\$100,907,796</b>	<b>\$104,012,635</b>	<b>\$107,624,121</b>	<b>\$107,492,631</b>	<b>\$106,494,516</b>
<b>Expenditures By Funds</b>					
General Revenue	87,723,958	87,871,336	91,681,359	91,405,406	92,421,668
Federal Funds	2,377,916	2,043,111	2,624,248	2,063,760	1,909,247
Restricted Receipts	9,731,044	10,393,917	11,803,514	11,838,182	10,813,601
Operating Transfers from Other Funds	1,074,878	3,704,271	1,515,000	2,185,283	1,350,000
<b>Total Expenditures</b>	<b>\$100,907,796</b>	<b>\$104,012,635</b>	<b>\$107,624,121</b>	<b>\$107,492,631</b>	<b>\$106,494,516</b>
<b>FTE Authorization</b>	<b>723.3</b>	<b>723.3</b>	<b>726.3</b>	<b>726.3</b>	<b>726.3</b>

# The Agency

## Judicial Department

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# Personnel

## Judicial Department - Constitution Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Unclassified		726.3	52,214,565	726.3	52,445,343
<b>Subtotal</b>		<b>726.3</b>	<b>\$52,214,565</b>	<b>726.3</b>	<b>\$52,445,343</b>
Overtime		-	425,436	-	407,437
Turnover		-	(\$2,047,356)	-	(\$2,212,903)
<b>Subtotal</b>		<b>-</b>	<b>(\$1,621,920)</b>	<b>-</b>	<b>(\$1,805,466)</b>
<b>Total Salaries</b>		<b>726.3</b>	<b>\$50,592,645</b>	<b>726.3</b>	<b>\$50,639,877</b>
<b>Benefits</b>					
Payroll Accrual			200,461		200,866
FICA			3,564,312		3,600,774
Retiree Health			2,634,722		2,517,610
Health Benefits			8,474,102		9,120,660
Retirement			12,476,430		12,718,391
Workers Compensation			1,800		1,800
<b>Subtotal</b>			<b>\$27,351,827</b>		<b>\$28,160,101</b>
<b>Total Salaries and Benefits</b>		<b>726.3</b>	<b>\$77,944,472</b>	<b>726.3</b>	<b>\$78,799,978</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$107,323</b>		<b>\$108,501</b>
<b>Statewide Benefit Assessment</b>			<b>\$2,129,894</b>		<b>\$2,136,774</b>
<b>Payroll Costs</b>		<b>726.3</b>	<b>\$80,074,366</b>	<b>726.3</b>	<b>\$80,936,752</b>
<b>Purchased Services</b>					
Information Technology			451,151		451,151
Clerical and Temporary Services			583,314		563,296
Management & Consultant Services			76,000		76,000
Legal Services			435,349		435,349
Other Contracts			426,101		397,686
Training and Educational Services			101,298		101,298
Design and Engineering Services			73,033		73,033
Medical Services			12,000		12,000
<b>Subtotal</b>			<b>\$2,158,246</b>		<b>\$2,109,813</b>
<b>Total Personnel</b>		<b>726.3</b>	<b>\$82,232,612</b>	<b>726.3</b>	<b>\$83,046,565</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		650.3	\$71,579,342	650.3	\$72,364,760
Federal Funds		12.9	\$1,860,927	12.9	\$1,832,128
Restricted Receipts		63.0	\$8,792,343	63.0	\$8,849,677
<b>Total All Funds</b>		<b>726.3</b>	<b>\$82,232,612</b>	<b>726.3</b>	<b>\$83,046,565</b>

# The Program

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## Judicial Department - Constitution Supreme Court

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### **Program Mission**

Provide timely review of all decisions appealed from the lower courts.

Provide overall administrative direction to the unified court system.

Regulate the admission, registration, and discipline of attorneys to the Rhode Island Bar.

### **Program Description**

The Supreme Court Program includes the budget for the Court as well as the Administrative Office of State Courts, and the State Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk department, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the court of last resort. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission, registration and discipline of members of the Rhode Island Bar.

Administrative services include all budgetary, personnel and purchasing functions; technology services; facilities and operations, which includes the maintenance of courthouse and judicial buildings as well as security; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; judicial records center; and research and statistical analysis.

The State Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence training and monitoring, mandatory continuing legal education, appellate screening, alternative dispute resolution, disciplinary counsel, community outreach and public relations, and the interpreter program.

### **Statutory History**

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

# The Budget

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## Judicial Department - Constitution Supreme Court

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Supreme Court Operations	28,630,707	31,750,390	31,365,614	31,906,875	30,188,377
Defense of Indigents	3,248,180	3,391,744	3,562,240	3,542,240	3,542,240
<b>Total Expenditures</b>	<b>\$31,878,887</b>	<b>\$35,142,134</b>	<b>\$34,927,854</b>	<b>\$35,449,115</b>	<b>\$33,730,617</b>
<b>Expenditures By Object</b>					
Personnel	18,614,049	18,899,162	19,706,696	19,669,385	19,796,444
Operating Supplies and Expenses	7,636,444	7,982,434	6,869,590	7,197,348	7,463,567
Assistance and Grants	4,238,918	4,260,777	4,893,285	4,743,615	4,563,502
<b>Subtotal: Operating Expenditures</b>	<b>30,489,411</b>	<b>31,142,373</b>	<b>31,469,571</b>	<b>31,610,348</b>	<b>31,823,513</b>
Capital Purchases and Equipment	1,389,476	3,999,761	3,458,283	3,838,767	1,907,104
<b>Total Expenditures</b>	<b>\$31,878,887</b>	<b>\$35,142,134</b>	<b>\$34,927,854</b>	<b>\$35,449,115</b>	<b>\$33,730,617</b>
<b>Expenditures By Funds</b>					
General Revenue	29,628,646	29,009,184	29,783,782	29,664,709	29,942,882
Federal Funds	101,870	75,622	272,163	190,651	151,954
Restricted Receipts	1,073,493	2,353,057	3,356,909	3,408,472	2,285,781
Operating Transfers from Other Funds	1,074,878	3,704,271	1,515,000	2,185,283	1,350,000
<b>Total Expenditures</b>	<b>\$31,878,887</b>	<b>\$35,142,134</b>	<b>\$34,927,854</b>	<b>\$35,449,115</b>	<b>\$33,730,617</b>

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUSTICE (SUPREME COURT)	08809F	1.0	218,760	1.0	218,760
ASSOCIATE JUSTICE	08808F	4.0	745,766	4.0	745,766
STATE COURT ADMINISTRATOR	08848A	1.0	165,125	1.0	165,125
CHIEF DISCIPLINARY COUNSEL	08844A	1.0	143,555	1.0	143,555
EXECUTIVE ASSISTANT FOR POLICY AND	08843A	1.0	139,986	1.0	139,986
EXECUTIVE DIRECTOR (JUDICIAL)	08844A	1.0	138,844	1.0	138,844
DIRECTOR OF FINANCE/ASSOCIATE	08844A	1.0	138,415	1.0	138,415
DEPUTY ADMINISTRATOR (STATE COURTS)	08845A	1.0	136,530	1.0	136,530
CHIEF SUPERVISORY CLERK	08842A	2.0	254,472	2.0	254,472
DEPUTY EXECUTIVE	08841A	2.0	254,094	2.0	254,094
CLERK PRO-TEMPORE	08841A	1.0	111,453	1.0	111,453
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	04437A	1.0	105,714	1.0	105,714
DEPUTY DISCIPLINARY COUNSEL	08837A	1.0	105,551	1.0	105,551
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	103,928	1.0	103,928
ASSISTANT DIRECTOR POLICY OFFICE	08839A	6.0	616,978	6.0	616,978
DIRECTOR OF CONSUMER	08835A	1.0	98,986	1.0	98,986
PUBLIC INFORMATION OFFICER	04435A	1.0	98,440	1.0	98,440
PRINCIPAL COURT FUNCTIONAL SPECIALIST	04436A	1.0	97,822	1.0	97,822
STAFF ATTORNEY IV	00834A	1.0	94,729	1.0	94,729
CHIEF STAFF ATTORNEY	08835A	2.0	184,121	2.0	184,121
SOFTWARE SUPPORT SPECIALIST	04433A	5.0	452,707	5.0	452,707
ASSISTANT DISCIPLINARY COUNSEL	08831A	2.0	170,055	2.0	170,055
SENIOR DEVELOPER (JUDICIAL)	08835A	1.0	82,403	1.0	82,403
STAFF ATTORNEY II	08830A	2.0	164,051	2.0	164,051
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	1.0	81,473	1.0	81,473
ADMINISTRATIVE CLERK	08829A	1.0	81,176	1.0	81,176
PROJECT MANAGER	04430A	5.0	398,001	5.0	398,001
PRINCIPAL SUPERVISORY CLERK	08830A	2.0	155,744	2.0	155,744
ADMINISTRATIVE ASSISTANT	04429A	3.0	230,559	3.0	230,559
SPECIAL ASSISTANT	08829A	2.0	152,717	2.0	152,717
ASSISTANT ADMINISTRATOR/MANAGEMENT AND	08834A	1.0	73,894	1.0	77,178
STAFF ATTORNEY	08829A	4.7	342,607	4.7	342,607
DEPUTY LAW LIBRARIAN	04426A	1.0	70,189	1.0	70,189
PROJECT MANAGER (JUDICIAL)	08830A	2.0	140,341	2.0	140,341
PRINCIPAL DEPUTY CLERK (SYSTEMS)	05725A	1.0	69,872	1.0	69,872
FISCAL MANAGEMENT OFFICER (JUDICIAL)	08832A	1.0	69,353	1.0	72,781
BAR ADMINISTRATOR (JUDICIAL)	08829A	1.0	69,180	1.0	69,180
CONFIDENTIAL INVESTIGATOR	08831A	2.0	137,458	2.0	139,659
COORDINATOR, SPECIAL PROJECTS	08827A	6.0	403,717	6.0	403,717
PRINCIPAL ASSISTANT ADMINISTRATOR	08825A	1.0	66,112	1.0	66,112
SPECIAL ASSISTANT	04429A	1.0	63,793	1.0	67,209
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	2.0	125,871	2.0	125,871
PRINCIPAL ADMINISTRATIVE CLERK	04425A	2.0	124,672	2.0	124,672
PRINCIPAL DEPUTY CLERK (SYSTEMS)	08825A	1.0	61,891	1.0	61,891
SPECIAL ASSISTANT (JUDICIAL)	08829A	1.0	61,486	1.0	64,324

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
OFFICE MANAGER	04424A	3.0	178,888	3.0	178,888
PRINCIPAL ASSISTANT ADMINISTRATOR	08825A	2.0	118,156	2.0	118,156
ASSISTANT BUILDING & GROUNDS OFFICER	00322A	4.0	233,389	4.0	233,389
MONITORING & EVALUATION SPECIALIST	08823A	2.0	115,456	2.0	116,862
SENIOR MONITORING AND EVALUATION	08825A	2.0	115,343	2.0	115,343
OFFICE MANAGER (JUDICIAL)	08824A	1.0	56,676	1.0	56,676
EXECUTIVE SECRETARY	04423A	1.0	55,131	1.0	55,131
SENIOR MANAGEMENT ANALYST	04423A	5.0	273,818	5.0	273,818
LAW CLERK (JUDICIARY)	08823A	16.0	835,043	16.0	835,043
ASSISTANT SUPERVISING CLERK	04422A	3.0	156,240	3.0	156,240
CHAMBER LAW CLERK (JUDICIAL)	08823A	10.0	518,660	10.0	518,660
LAW CLERK (JUDICIARY)	00823A	1.0	51,866	1.0	51,866
ADMINISTRATIVE ASSISTANT	08822A	4.0	207,275	4.0	207,275
MONITORING & EVALUATION SPECIALIST	00823A	1.0	50,460	1.0	51,866
SENIOR MANAGEMENT ANALYST (JUDICIAL)	04423A	2.0	100,569	2.0	102,184
SENIOR ADMINISTRATIVE AIDE	04421A	0.6	29,214	0.6	29,214
ADMINISTRATIVE AIDE	04416A	1.0	48,274	1.0	48,274
DEPUTY CLERK	04420A	5.0	240,219	5.0	240,219
RECORDS CUSTODIAN-DOCUMENTS DIST.(STATE	04418A	3.0	142,561	3.0	142,561
ADMINISTRATIVE ASSISTANT II	08815A	1.0	47,080	1.0	47,080
CONFIDENTIAL SECRETARY	08817A	1.0	45,963	1.0	45,963
ADMINISTRATIVE ASSISTANT II (JUDICIAL)	08820A	1.0	45,875	1.0	47,514
RECORDS CUSTODIAN DOCUMENTS DISTRIBUTION	04418A	1.0	45,498	1.0	45,498
FACILITIES AND OPERATIONS OFFICER	00320A	2.0	89,565	2.0	92,765
ADMINISTRATIVE ASSISTANT II	04415A	2.0	87,387	2.0	87,387
SECOND ASSISTANT LAW LIBRARIAN	04411A	0.7	31,917	0.7	31,917
PRINCIPAL CLERK TYPIST	04410A	1.0	34,857	1.0	34,857
RECORDS CLERK/DATA ENTRY AIDE	04410A	1.0	32,662	1.0	33,197
JANITOR (JUDICIAL)	00307A	1.0	31,679	1.0	32,143
ADMINISTRATIVE AIDE	08810A	0.6	17,472	0.6	17,472
<b>Subtotal</b>		<b>161.6</b>	<b>\$11,839,784</b>	<b>161.6</b>	<b>\$11,865,216</b>
Overtime		-	294,249	-	276,250
Turnover		-	(164,848)	-	(165,246)
<b>Subtotal</b>		<b>-</b>	<b>\$129,401</b>	<b>-</b>	<b>\$111,004</b>
<b>Total Salaries</b>		<b>161.6</b>	<b>\$11,969,185</b>	<b>161.6</b>	<b>\$11,976,220</b>
<b>Benefits</b>					
Payroll Accrual			46,698		46,557
FICA			858,107		863,603
Retiree Health			713,542		673,230
Health Benefits			1,973,789		2,127,686
Retirement			2,875,889		2,919,984
<b>Subtotal</b>			<b>\$6,468,025</b>		<b>\$6,631,060</b>

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		161.6	\$18,437,210	161.6	\$18,607,280
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$114,092		\$115,144
<b>Statewide Benefit Assessment</b>			\$496,183		\$497,249
<b>Payroll Costs</b>		161.6	\$18,933,393	161.6	\$19,104,529
<b>Purchased Services</b>					
Information Technology			382,451		382,451
Clerical and Temporary Services			83,925		68,781
Legal Services			87,185		87,185
Other Contracts			95,379		66,446
Training and Educational Services			23,000		23,000
Design and Engineering Services			64,052		64,052
<b>Subtotal</b>			<b>\$735,992</b>		<b>\$691,915</b>
<b>Total Personnel</b>		<b>161.6</b>	<b>\$19,669,385</b>	<b>161.6</b>	<b>\$19,796,444</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		150.6	\$17,651,143	150.6	\$17,832,948
Federal Funds		1.0	\$183,456	1.0	\$151,885
Restricted Receipts		10.0	\$1,834,786	10.0	\$1,811,611
<b>Total All Funds</b>		<b>161.6</b>	<b>\$19,669,385</b>	<b>161.6</b>	<b>\$19,796,444</b>



# Performance Measures

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## Judicial Department - Constitution Supreme Court

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### *Disposition Rate of Appeal Cases*

The figures below represent the disposition rate of appeal cases. For annual disposition rate, total dispositions should equal or exceed new appeals in order for the court to stay current with caseload. During a specified time period, if the court is able to dispose more cases than those filed, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog).

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	102%	105%	133%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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# The Program

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## Judicial Department - Constitution Superior Court

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### **Program Mission**

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

### **Program Description**

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

### **Statutory History**

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution Superior Court

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Superior Court Operations	20,296,547	20,181,982	20,799,642	20,594,929	20,908,191
Jury Operations	1,558,730	1,622,418	1,895,867	1,776,491	1,800,766
<b>Total Expenditures</b>	<b>\$21,855,277</b>	<b>\$21,804,400</b>	<b>\$22,695,509</b>	<b>\$22,371,420</b>	<b>\$22,708,957</b>
<b>Expenditures By Object</b>					
Personnel	18,043,945	18,034,115	18,754,986	18,582,004	18,944,305
Operating Supplies and Expenses	1,329,728	1,252,975	1,225,447	1,145,104	1,145,063
Assistance and Grants	2,350,611	2,491,628	2,652,186	2,632,312	2,607,589
<b>Subtotal: Operating Expenditures</b>	<b>21,724,284</b>	<b>21,778,718</b>	<b>22,632,619</b>	<b>22,359,420</b>	<b>22,696,957</b>
Capital Purchases and Equipment	130,993	25,682	62,890	12,000	12,000
<b>Total Expenditures</b>	<b>\$21,855,277</b>	<b>\$21,804,400</b>	<b>\$22,695,509</b>	<b>\$22,371,420</b>	<b>\$22,708,957</b>
<b>Expenditures By Funds</b>					
General Revenue	21,341,828	21,487,253	22,294,581	21,929,881	22,359,027
Federal Funds	65,573	110,376	94,205	139,161	47,552
Restricted Receipts	447,876	206,771	306,723	302,378	302,378
<b>Total Expenditures</b>	<b>\$21,855,277</b>	<b>\$21,804,400</b>	<b>\$22,695,509</b>	<b>\$22,371,420</b>	<b>\$22,708,957</b>

# Personnel

## Judicial Department - Constitution Superior Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PRESIDING JUSTICE (SUPERIOR COURT)	08807F	1.0	196,954	1.0	196,954
ASSOCIATE JUSTICE	08805F	21.0	3,502,633	21.0	3,502,633
SPECIAL MAGISTRATE	08803F	1.0	164,379	1.0	164,379
MAGISTRATE	08803F	3.0	482,644	3.0	482,644
ADMINISTRATIVE CLERK	08846A	1.0	154,340	1.0	154,340
GENERAL MAGISTRATE	08803F	1.0	153,887	1.0	153,887
CLERK (PROVIDENCE COUNTY)	08839A	1.0	120,434	1.0	120,434
JURY COMMISSIONER	08837A	1.0	106,120	1.0	106,120
ADMINISTRATOR, ARBITRATION PROGRAM	08839A	1.0	97,162	1.0	97,162
GENERAL CHIEF CLERK	08835A	1.0	90,644	1.0	90,644
CLERK (NEWPORT COUNTY)	08832A	1.0	88,759	1.0	88,759
DEPUTY ADMINISTRATOR/CLERK	08834A	1.0	87,519	1.0	87,519
CONFIDENTIAL INVESTIGATOR	08831A	1.0	83,671	1.0	83,671
CLERK (WASHINGTON COUNTY)	08832A	1.0	81,362	1.0	81,362
CLERK (KENT COUNTY)	00834A	1.0	79,563	1.0	79,563
ADMINISTRATIVE CLERK	08829A	2.0	156,945	2.0	156,945
ASSISTANT ADMINISTRATOR/MANAGEMENT AND	08834A	2.0	156,293	2.0	159,263
ASSOCIATE JURY COMMISSIONER	08833A	1.0	76,708	1.0	76,708
SPECIAL ASSISTANT (JUDICIAL)	08829A	1.0	72,345	1.0	75,734
PROJECT MANAGER	08830A	2.0	141,817	2.0	144,497
PROJECT COORDINATOR	04426A	1.0	68,769	1.0	68,769
SUPERVISING CLERK	08826A	2.0	136,807	2.0	136,807
SUPERVISING CLERK	04426A	1.0	66,752	1.0	66,752
COURT REPORTER	00127A	28.0	1,859,696	28.0	1,870,228
COORDINATOR, SPECIAL PROJECTS	08827A	1.0	63,232	1.0	63,985
PRINCIPAL ASSISTANT ADMINISTRATOR	04425A	1.0	62,737	1.0	66,015
SUPERVISING DEPUTY CLERK	08826A	1.0	61,485	1.0	61,485
ASSISTANT SUPERVISING CLERK	04422A	2.0	119,303	2.0	119,303
ASSISTANT MANAGER OF CALENDAR SERVICES	04423A	1.0	59,371	1.0	61,395
MANAGER OF CALENDAR SERVICES (PROVIDENCE)	04424A	1.0	59,200	1.0	62,188
ASSISTANT MANAGER OF CALENDAR SERVICES	04423A	1.0	58,890	1.0	58,890
SENIOR ADMINISTRATIVE AIDE	04421A	1.0	55,866	1.0	55,866
DEPUTY CLERK I	04424A	4.0	222,348	4.0	223,951
PRODUCTION SYSTEMS SPECIALIST	04420A	1.0	54,126	1.0	54,126
ASSISTANT ADMINISTRATIVE OFFICER	08821A	1.0	52,931	1.0	52,931
LAW CLERK (JUDICIARY)	08823A	1.0	51,866	1.0	51,866
MONITORING & REVALUATION SPECIALIST (JUD)	08823A	2.0	103,526	2.0	105,763
MANAGER OF CALENDAR SERVICES (OUT	04424A	1.0	49,007	1.0	50,668
DEPUTY CLERK	04420A	5.0	243,054	5.0	244,216
DEPUTY CLERK (SUPERIOR COURT)	04420A	20.0	967,305	20.0	974,643
COURT SECRETARY/JUDICIAL, SUPERIOR COURT	08823A	1.0	47,859	1.0	47,859
CONFIDENTIAL SECRETARY	08817A	1.0	45,963	1.0	45,963
ADMINISTRATIVE AIDE	04416A	1.0	45,340	1.0	45,340
ELECTRONIC COURT REPORTER	04419A	1.0	44,895	1.0	44,895
ELECTRONIC COURT REPORTER	00119A	1.7	76,069	1.7	77,094

# Personnel

## Judicial Department - Constitution Superior Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
ASSISTANT COURT SECRETARY/JUDICIAL	08821A	3.0	132,459	3.0	136,603
POLICY AIDE	04418A	4.0	175,972	4.0	180,886
SENIOR ADMINISTRATIVE AIDE	04417A	1.0	42,039	1.0	42,039
ADMINISTRATIVE ASSISTANT II	08815A	1.0	41,270	1.0	41,270
ASSISTANT CLERK (SUPERIOR COURT)	04418A	8.0	327,393	8.0	332,847
ADMINISTRATIVE ASSISTANT	08814A	1.0	38,154	1.0	38,277
ADMINISTRATIVE AIDE	04415A	1.0	37,558	1.0	37,558
GENERAL OPERATIONS ASSISTANT	04414A	9.0	314,444	9.0	319,306
RECORDS CLERK/DATA ENTRY AIDE	04410A	10.0	314,468	10.0	320,105
DATA ENTRY AIDE (JUDICIAL)	04410A	1.0	27,816	1.0	28,339
<b>Subtotal</b>		<b>165.7</b>	<b>\$12,222,149</b>	<b>165.7</b>	<b>\$12,291,446</b>
Overtime		-	41,385	-	41,385
Turnover		-	(598,550)	-	(553,114)
<b>Subtotal</b>		<b>-</b>	<b>(\$557,165)</b>	<b>-</b>	<b>(\$511,729)</b>
<b>Total Salaries</b>		<b>165.7</b>	<b>\$11,664,984</b>	<b>165.7</b>	<b>\$11,779,717</b>
<b>Benefits</b>					
Payroll Accrual			46,298		46,953
FICA			805,132		823,636
Retiree Health			527,514		513,042
Health Benefits			1,727,021		1,878,627
Retirement			2,884,841		2,980,488
<b>Subtotal</b>			<b>\$5,990,806</b>		<b>\$6,242,746</b>
<b>Total Salaries and Benefits</b>		<b>165.7</b>	<b>\$17,655,790</b>	<b>165.7</b>	<b>\$18,022,463</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$106,553</b>		<b>\$108,766</b>
<b>Statewide Benefit Assessment</b>			<b>\$491,911</b>		<b>\$498,879</b>
<b>Payroll Costs</b>		<b>165.7</b>	<b>\$18,147,701</b>	<b>165.7</b>	<b>\$18,521,342</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			91,141		79,801
Legal Services			311,631		311,631
Other Contracts			17,935		17,935
Design and Engineering Services			1,596		1,596
Medical Services			12,000		12,000
<b>Subtotal</b>			<b>\$434,303</b>		<b>\$422,963</b>
<b>Total Personnel</b>		<b>165.7</b>	<b>\$18,582,004</b>	<b>165.7</b>	<b>\$18,944,305</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		165.7	\$18,232,863	165.7	\$18,606,504
Federal Funds		-	\$49,141	-	\$37,801
Restricted Receipts		-	\$300,000	-	\$300,000
<b>Total All Funds</b>		<b>165.7</b>	<b>\$18,582,004</b>	<b>165.7</b>	<b>\$18,944,305</b>

# Performance Measures

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## Judicial Department - Constitution Superior Court

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### *Civil Case Disposition Rate*

The figures below represent the disposition rate of civil cases assigned to the trial calendar. Total dispositions should equal or exceed new cases each year in order for the court to stay current with the caseload. During a specified time period, if the court is able to dispose more cases than those filed, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog).

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	128%	144%	155%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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### *Timeliness of Felony Cases Disposed*

The figures below represent the percentage of felony cases disposed within 180 days of arraignment.

	2011	2012	2013	2014	2015
<b>Target</b>	75%	75%	75%	75%	75%
<b>Actual</b>	62%	57%	61%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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# The Program

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## Judicial Department - Constitution Family Court

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

### **Program Description**

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the Court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative and mediation services to the court. The Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, the Mental Health Court Clinic, and the Juvenile Re-entry Court.

### **Statutory History**

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution Family Court

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	20,027,371	19,834,155	21,109,265	20,726,785	20,955,333
<b>Total Expenditures</b>	<b>\$20,027,371</b>	<b>\$19,834,155</b>	<b>\$21,109,265</b>	<b>\$20,726,785</b>	<b>\$20,955,333</b>
<b>Expenditures By Object</b>					
Personnel	18,402,569	18,064,501	19,358,856	19,077,285	19,288,647
Operating Supplies and Expenses	509,053	614,084	467,145	488,348	488,329
Assistance and Grants	989,592	1,141,050	1,212,117	1,132,652	1,149,857
<b>Subtotal: Operating Expenditures</b>	<b>19,901,214</b>	<b>19,819,635</b>	<b>21,038,118</b>	<b>20,698,285</b>	<b>20,926,833</b>
Capital Purchases and Equipment	126,157	14,520	71,147	28,500	28,500
<b>Total Expenditures</b>	<b>\$20,027,371</b>	<b>\$19,834,155</b>	<b>\$21,109,265</b>	<b>\$20,726,785</b>	<b>\$20,955,333</b>
<b>Expenditures By Funds</b>					
General Revenue	17,147,852	18,030,704	18,851,385	18,992,837	19,245,592
Federal Funds	2,113,121	1,803,451	2,257,880	1,733,948	1,709,741
Restricted Receipts	766,398	-	-	-	-
<b>Total Expenditures</b>	<b>\$20,027,371</b>	<b>\$19,834,155</b>	<b>\$21,109,265</b>	<b>\$20,726,785</b>	<b>\$20,955,333</b>



# Personnel

## Judicial Department - Constitution Family Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE, FAMILY COURT	08807F	1.0	196,954	1.0	196,954
ASSOCIATE JUSTICE	08805F	11.0	1,849,382	11.0	1,849,382
MAGISTRATE	08803F	8.0	1,238,090	8.0	1,238,090
MAGISTRATE OF THE FAMILY COURT	08803F	1.0	153,887	1.0	153,887
ADMINISTRATIVE CLERK	08846A	1.0	153,809	1.0	153,809
ADMINISTRATOR/DIRECTOR (CASA)(JUDICIAL)	08843A	1.0	139,047	1.0	139,047
ADMINISTRATOR - CLERK (FAMILY COURT)	08843A	1.0	133,638	1.0	133,638
DEPUTY EXECUTIVE	08841A	1.0	127,945	1.0	127,945
SENIOR POLICY ASSOCIATE	08838A	1.0	111,959	1.0	111,959
DIRECTOR OF INTERGOVERNMENTAL RELATIONS	08840A	1.0	111,799	1.0	111,799
DEPUTY ADMINISTRATIVE CLERK (JUDICIAL)	08834A	1.0	99,316	1.0	99,316
STAFF ATTORNEY V (JUDICIAL)	08836A	3.0	286,107	3.0	287,679
STAFF ATTORNEY V	08836A	1.0	93,762	1.0	93,762
DEPUTY ADMINISTRATOR/CLERK	08834A	2.0	186,076	2.0	189,192
DEPUTY DIRECTOR-COMMUNITY AFFAIRS	08834A	2.0	185,184	2.0	185,184
ADMINISTRATIVE MANAGER	08834A	1.0	91,417	1.0	91,417
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	1.0	84,605	1.0	85,451
STAFF ATTORNEY III	08832A	8.0	638,693	8.0	641,655
PROJECT MANAGER (JUDICIAL)	08830A	1.0	78,728	1.0	78,728
EXECUTIVE DIRECTOR	08836A	1.0	77,161	1.0	79,983
PRINCIPAL SUPERVISORY CLERK	08830A	1.0	76,526	1.0	79,485
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05728A	2.0	144,900	2.0	144,900
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	2.0	137,458	2.0	139,659
COURT REPORTER	00127A	15.0	1,017,284	15.0	1,017,284
ASSISTANT INTAKE SUPERVISOR (FAMILY COURT)	08828A	6.0	397,843	6.0	402,002
ASSOCIATE EXECUTIVE ASSISTANT	08826A	1.0	64,558	1.0	64,558
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	2.0	124,296	2.0	124,923
CASA COORDINATOR	05722A	1.0	59,935	1.0	59,935
SUPERVISING DEPUTY CLERK (FAMILY COURT)	0J322A	6.0	352,264	6.0	352,264
SOCIAL CASEWORKER II (JUDICIAL)	05724A	8.0	457,259	8.0	462,089
VOLUNTEER COORDINATOR	0J322A	1.0	54,752	1.0	54,752
ADMINISTRATIVE ASSISTANT	0J320A	1.0	53,167	1.0	53,167
ADMINISTRATIVE COORDINATOR	0J320A	1.0	53,167	1.0	53,167
MEDIATION COUNSELOR	0J320A	5.0	258,350	5.0	259,511
EXECUTIVE SECRETARY	08823A	2.0	103,224	2.0	105,294
DEPUTY CLERK	0J320A	22.9	1,140,715	22.9	1,154,109
SENIOR ADMINISTRATIVE AIDE	0J317A	3.0	147,039	3.0	147,039
SOCIAL CASEWORKER (JUDICIAL)	0J322A	2.0	94,028	2.0	97,842
ADMINISTRATIVE ASSISTANT (JUDICIAL)	0J320A	1.0	46,844	1.0	46,844
DEPUTY CLERK (JUDICIAL)	0J320A	3.0	136,695	3.0	140,883
ELECTRONIC COURT REPORTER	00119A	9.6	421,324	9.6	424,925
DOMESTIC VIOLENCE LIAISON	0J318A	1.0	41,237	1.0	42,676
ADMINISTRATIVE ASSISTANT	0J315A	2.0	82,061	2.0	82,061
SENIOR DATA ENTRY OPERATOR	0J312A	12.3	482,411	12.3	484,434
ADMINISTRATIVE ASSISTANT (JUDICIAL)	08814A	1.0	38,872	1.0	39,889

# Personnel

## Judicial Department - Constitution Family Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
FISCAL CLERK	0J310A	1.0	38,523	1.0	39,186
CLERK TYPIST	0J305A	1.0	36,585	1.0	36,585
ADMINISTRATIVE ASSISTANT II	08815A	0.6	21,882	0.6	22,320
CLERK SECRETARY	0J314A	1.0	36,024	1.0	36,671
DATA ENTRY AIDE (JUDICIAL)	0J310A	6.3	210,980	6.3	212,354
DATA ENTRY AIDE	0J310A	4.0	131,569	4.0	133,729
<b>Subtotal</b>		<b>173.8</b>	<b>\$12,499,331</b>	<b>173.8</b>	<b>\$12,563,414</b>
Overtime		-	37,302	-	37,302
Turnover		-	(806,440)	-	(842,384)
<b>Subtotal</b>		<b>-</b>	<b>(\$769,138)</b>	<b>-</b>	<b>(\$805,082)</b>
<b>Total Salaries</b>		<b>173.8</b>	<b>\$11,730,193</b>	<b>173.8</b>	<b>\$11,758,332</b>
<b>Benefits</b>					
Payroll Accrual			46,760		46,885
FICA			836,197		843,383
Retiree Health			637,084		609,250
Health Benefits			2,008,427		2,160,436
Retirement			2,735,375		2,785,449
Workers Compensation			1,800		1,800
<b>Subtotal</b>			<b>\$6,265,643</b>		<b>\$6,447,203</b>
<b>Total Salaries and Benefits</b>		<b>173.8</b>	<b>\$17,995,836</b>	<b>173.8</b>	<b>\$18,205,535</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,567</b>		<b>\$104,774</b>
<b>Statewide Benefit Assessment</b>			<b>\$496,828</b>		<b>\$498,143</b>
<b>Payroll Costs</b>		<b>173.8</b>	<b>\$18,492,664</b>	<b>173.8</b>	<b>\$18,703,678</b>
<b>Purchased Services</b>					
Information Technology			40,000		40,000
Clerical and Temporary Services			271,151		271,481
Management & Consultant Services			76,000		76,000
Legal Services			14,000		14,000
Other Contracts			97,787		97,805
Training and Educational Services			78,298		78,298
Design and Engineering Services			7,385		7,385
<b>Subtotal</b>			<b>\$584,621</b>		<b>\$584,969</b>
<b>Total Personnel</b>		<b>173.8</b>	<b>\$19,077,285</b>	<b>173.8</b>	<b>\$19,288,647</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		161.8	\$17,448,955	161.8	\$17,646,205
Federal Funds		11.9	\$1,628,330	11.9	\$1,642,442
<b>Total All Funds</b>		<b>173.8</b>	<b>\$19,077,285</b>	<b>173.8</b>	<b>\$19,288,647</b>

# Performance Measures

## Judicial Department - Constitution Family Court

### *Timeliness of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diversion*

The Family Court has a Juvenile Services Unit that processes cases of juvenile, first-time offenders who have committed less serious offenses to be handled outside of court with no arraignment. The figures below represent the percentage of cases suitable for non-judicial processing that were diverted within 45 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	80%	80%	80%	80%	80%
<b>Actual</b>	78%	84%	81%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

### *Timeliness of Wayward/Delinquent Cases Requiring Court Involvement Adjudication*

The figures below represent the percentage of cases requiring court involvement that are adjudicated within 180 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	75%	75%	75%	75%	75%
<b>Actual</b>	59%	55%	55%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

### *Timeliness of Child Protection Case Adjudication*

The figures below represent the percentage of child protection cases that are adjudicated within 180 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	65%	65%	65%	65%	65%
<b>Actual</b>	39%	46%	46%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

### *Timeliness of Divorce Case Disposition*

The figures below represent the percentage of divorce cases disposed within 365 days. During a specified time period, if the court is able to dispose more cases than those filed, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog).

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	99.8%	104%	99%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

# The Program

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## Judicial Department - Constitution District Court

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

### **Program Description**

The District Court consists of a chief judge, an administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejection cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court also has concurrent jurisdiction with the Superior Court over all matters in which the amount in dispute is greater than \$5,000 but less than \$10,000. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

### **Statutory History**

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution District Court

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	11,643,985	11,825,392	12,843,456	12,415,149	12,291,337
<b>Total Expenditures</b>	<b>\$11,643,985</b>	<b>\$11,825,392</b>	<b>\$12,843,456</b>	<b>\$12,415,149</b>	<b>\$12,291,337</b>
<b>Expenditures By Object</b>					
Personnel	9,963,288	10,069,829	11,169,682	10,701,009	10,573,519
Operating Supplies and Expenses	273,460	394,119	345,092	406,034	409,712
Assistance and Grants	1,338,139	1,335,081	1,283,762	1,283,606	1,283,606
<b>Subtotal: Operating Expenditures</b>	<b>11,574,887</b>	<b>11,799,029</b>	<b>12,798,536</b>	<b>12,390,649</b>	<b>12,266,837</b>
Capital Purchases and Equipment	69,098	26,363	44,920	24,500	24,500
<b>Total Expenditures</b>	<b>\$11,643,985</b>	<b>\$11,825,392</b>	<b>\$12,843,456</b>	<b>\$12,415,149</b>	<b>\$12,291,337</b>
<b>Expenditures By Funds</b>					
General Revenue	11,308,170	11,297,285	12,545,634	12,122,347	11,998,535
Federal Funds	97,352	53,662	-	-	-
Restricted Receipts	238,463	474,445	297,822	292,802	292,802
<b>Total Expenditures</b>	<b>\$11,643,985</b>	<b>\$11,825,392</b>	<b>\$12,843,456</b>	<b>\$12,415,149</b>	<b>\$12,291,337</b>

# Personnel

## Judicial Department - Constitution District Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE DISTRICT COURT	08807F	1.0	180,541	1.0	180,541
ADMINISTRATIVE JUDGE	08805F	1.0	175,318	1.0	175,318
MAGISTRATE	08803F	2.0	332,255	2.0	332,255
ASSOCIATE JUDGE, DISTRICT COURT	08810F	11.0	1,731,316	11.0	1,731,316
ADMINISTRATIVE CLERK	08846A	1.0	155,832	1.0	155,832
DEPUTY EXECUTIVE	08841A	1.0	127,375	1.0	127,375
CHIEF CLERK OF DISTRICT COURTS	08840A	1.0	101,936	1.0	101,936
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	04437A	1.0	101,555	1.0	101,555
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	88,177	1.0	88,177
ADMINISTRATIVE CLERK (DISTRICT COURT)	04433A	1.0	87,723	1.0	88,079
CLERK (WASHINGTON COUNTY)	04432A	1.0	87,614	1.0	87,614
CLERK (NEWPORT COUNTY)	04432A	1.0	86,663	1.0	86,663
ADMINISTRATIVE CLERK	04429A	2.0	146,559	2.0	146,559
SUPERVISING CLERK	04426A	2.0	132,807	2.0	134,924
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	1.0	65,110	1.0	65,110
DEPUTY CLERK I	04424A	3.0	188,477	3.0	188,477
DEPUTY CHIEF INVESTIGATOR	04426A	1.0	62,491	1.0	62,491
DEPUTY CHIEF INVESTIGATOR	04426A	2.0	123,562	2.0	123,562
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	1.0	59,415	1.0	61,977
ASSOCIATE EXECUTIVE ASSISTANT	08826A	2.0	112,327	2.0	114,300
SUPERVISING DEPUTY CLERK-TRAINING OFFICER	04423A	17.0	927,852	17.0	942,979
OFFICE MANAGER	04424A	2.0	108,540	2.0	110,143
ADMINISTRATIVE ASSISTANT TO CHIEF	08826A	1.0	53,344	1.0	55,258
ASSISTANT CLERK/RESEARCH	04418A	1.0	49,635	1.0	49,635
GENERAL OPERATIONS ASSISTANT	04414A	1.0	42,923	1.0	42,923
ADMINISTRATIVE ASSISTANT	04416A	1.0	41,609	1.0	41,609
SENIOR OPERATIONS CLERK	04416A	6.0	231,921	6.0	237,820
DATA ENTRY OPERATOR	04412A	11.0	384,772	11.0	386,377
RECORDS CLERK/DATA ENTRY AIDE	04410A	18.0	584,395	18.0	591,013
RECORDS AIDE (JUDICIARY)	04410A	1.0	31,589	1.0	32,150
<b>Subtotal</b>		<b>96.0</b>	<b>\$6,603,633</b>	<b>96.0</b>	<b>\$6,643,968</b>
Overtime		-	33,000	-	33,000
Turnover		-	(99,055)	-	(305,623)
<b>Subtotal</b>		-	<b>(\$66,055)</b>	-	<b>(\$272,623)</b>
<b>Total Salaries</b>		<b>96.0</b>	<b>\$6,537,578</b>	<b>96.0</b>	<b>\$6,371,345</b>
<b>Benefits</b>					
Payroll Accrual			26,019		25,513
FICA			454,537		449,909
Retiree Health			293,320		275,139
Health Benefits			1,262,450		1,326,872
Retirement			1,758,123		1,755,901
<b>Subtotal</b>			<b>\$3,794,449</b>		<b>\$3,833,334</b>

# Personnel

## Judicial Department - Constitution District Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		96.0	\$10,332,027	96.0	\$10,204,679
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$107,625		\$106,299
<b>Statewide Benefit Assessment</b>			\$276,446		\$271,074
<b>Payroll Costs</b>		96.0	\$10,608,473	96.0	\$10,475,753
<b>Purchased Services</b>					
Clerical and Temporary Services			43,003		48,233
Legal Services			4,533		4,533
Other Contracts			45,000		45,000
<b>Subtotal</b>			\$92,536		\$97,766
<b>Total Personnel</b>		96.0	\$10,701,009	96.0	\$10,573,519
<b>Distribution By Source Of Funds</b>					
General Revenue		93.0	\$10,501,342	93.0	\$10,373,852
Restricted Receipts		3.0	\$199,667	3.0	\$199,667
<b>Total All Funds</b>		96.0	\$10,701,009	96.0	\$10,573,519

# Performance Measures

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## Judicial Department - Constitution District Court

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### *Timeliness of Misdemeanor Case Disposition*

The figures below represent the percentage of misdemeanor cases disposed within 60 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	75%	73%	70%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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# The Program

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## Judicial Department - Constitution Traffic Tribunal

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

### **Program Description**

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is under the supervision of the chief magistrate, who is the administrative head of the Traffic Tribunal with the power to make rules for regulating practice, procedure and business within the Traffic Tribunal.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of alcohol and drugs, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its main courthouse in the John O. Pastore Center, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield.

### **Statutory History**

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed Senate Bill S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

# The Budget

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## Judicial Department - Constitution Traffic Tribunal

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	8,192,012	7,933,583	8,090,350	8,580,578	8,760,119
<b>Total Expenditures</b>	<b>\$8,192,012</b>	<b>\$7,933,583</b>	<b>\$8,090,350</b>	<b>\$8,580,578</b>	<b>\$8,760,119</b>
<b>Expenditures By Object</b>					
Personnel	7,276,173	7,017,863	7,226,786	7,632,699	7,792,452
Operating Supplies and Expenses	373,181	467,820	356,805	504,214	507,214
Assistance and Grants	483,586	426,892	471,111	426,665	443,453
<b>Subtotal: Operating Expenditures</b>	<b>8,132,940</b>	<b>7,912,575</b>	<b>8,054,702</b>	<b>8,563,578</b>	<b>8,743,119</b>
Capital Purchases and Equipment	59,072	21,008	35,648	17,000	17,000
<b>Total Expenditures</b>	<b>\$8,192,012</b>	<b>\$7,933,583</b>	<b>\$8,090,350</b>	<b>\$8,580,578</b>	<b>\$8,760,119</b>
<b>Expenditures By Funds</b>					
General Revenue	8,192,012	7,933,583	8,090,350	8,580,578	8,760,119
<b>Total Expenditures</b>	<b>\$8,192,012</b>	<b>\$7,933,583</b>	<b>\$8,090,350</b>	<b>\$8,580,578</b>	<b>\$8,760,119</b>

# Personnel

## Judicial Department - Constitution

### Traffic Tribunal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
JUDGE ADMINISTRATIVE ADJUDICATION COURT	08803F	2.0	337,837	2.0	337,837
CHIEF MAGISTRATE	08810F	1.0	168,739	1.0	168,739
MAGISTRATE TRAFFIC TRIBUNAL	08803F	5.0	793,917	5.0	793,917
EXECUTIVE DIRECTOR (JUDICIAL)	08844A	1.0	143,241	1.0	143,647
ADMINISTRATOR/ADMINISTRATIVE	04438A	1.0	109,106	1.0	109,106
ASSISTANT LEGAL COUNSEL (TRANSPORTATION)	08822A	0.6	59,935	0.6	59,935
SENIOR POLICY ASSOCIATE	08838A	1.0	87,494	1.0	92,040
PRINCIPAL SUPERVISORY CLERK	08830A	1.0	80,439	1.0	80,439
ADMINISTRATIVE CLERK I	04429A	1.0	77,789	1.0	77,789
BUSINESS MANAGEMENT OFFICER (COURTS)	04435A	1.0	74,770	1.0	74,770
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	1.0	71,602	1.0	71,602
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	3.0	210,572	3.0	210,703
FISCAL MANAGEMENT OFFICER (JUDICIAL)	08832A	1.0	67,640	1.0	70,114
PROJECT COORDINATOR	04426A	1.0	65,332	1.0	65,332
PRINCIPAL ASSISTANT ADMINISTRATOR	04425A	3.0	181,092	3.0	183,222
DEPUTY CLERK	04420A	4.6	252,114	4.6	252,114
SUPERVISING DEPUTY CLERK-TRAINING OFFICER	04423A	1.0	52,118	1.0	54,615
SECURITY OFFICER	04419A	9.0	417,911	9.0	419,021
DEPUTY CLERK I	04424A	1.0	45,974	1.0	47,577
SENIOR OPERATIONS CLERK	04416A	2.0	85,753	2.0	85,753
GENERAL OPERATIONS ASSISTANT	04414A	6.0	252,755	6.0	252,755
ADMINISTRATIVE ASSISTANT	04413A	5.0	198,748	5.0	198,748
ASSISTANT ADMINISTRATIVE SECRETARY	04412A	1.0	38,238	1.0	38,238
DATA ENTRY OPERATOR	04412A	10.0	370,214	10.0	370,214
ASSISTANT SUPERVISING CLERK	04422A	1.0	34,342	1.0	34,342
RECORDS CLERK/DATA ENTRY AIDE	04410A	14.0	463,494	14.0	468,139
<b>Subtotal</b>		<b>78.2</b>	<b>\$4,741,166</b>	<b>78.2</b>	<b>\$4,760,708</b>
Overtime		-	12,000	-	12,000
Turnover		-	(251,282)	-	(218,993)
<b>Subtotal</b>		-	<b>(\$239,282)</b>	-	<b>(\$206,993)</b>
<b>Total Salaries</b>		<b>78.2</b>	<b>\$4,501,884</b>	<b>78.2</b>	<b>\$4,553,715</b>
<b>Benefits</b>					
Payroll Accrual			17,960		18,186
FICA			320,799		326,991
Retiree Health			278,018		269,212
Health Benefits			917,134		996,356
Retirement			1,156,990		1,184,767
<b>Subtotal</b>			<b>\$2,690,901</b>		<b>\$2,795,512</b>

# Personnel

## Judicial Department - Constitution Traffic Tribunal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		78.2	\$7,192,785	78.2	\$7,349,227
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$91,979		\$93,980
<b>Statewide Benefit Assessment</b>			\$190,820		\$193,225
<b>Payroll Costs</b>		78.2	\$7,383,605	78.2	\$7,542,452
<b>Purchased Services</b>					
Clerical and Temporary Services			94,094		95,000
Other Contracts			155,000		155,000
<b>Subtotal</b>			\$249,094		\$250,000
<b>Total Personnel</b>		78.2	\$7,632,699	78.2	\$7,792,452
<b>Distribution By Source Of Funds</b>					
General Revenue		78.2	\$7,632,699	78.2	\$7,792,452
<b>Total All Funds</b>		78.2	\$7,632,699	78.2	\$7,792,452

# Performance Measures

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## Judicial Department - Constitution Traffic Tribunal

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### *Timeliness of Summonses Disposition*

The figures below represent the percentage of traffic summonses disposed within 60 days.

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	96%	96%	95%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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# The Program

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## Judicial Department - Constitution Worker's Compensation Court

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### **Program Mission**

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

### **Program Description**

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division then decides the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

### **Statutory History**

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

# The Budget

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## Judicial Department - Constitution Worker's Compensation Court

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	7,204,814	7,359,644	7,842,060	7,834,530	7,932,640
<b>Total Expenditures</b>	<b>\$7,204,814</b>	<b>\$7,359,644</b>	<b>\$7,842,060</b>	<b>\$7,834,530</b>	<b>\$7,932,640</b>
<b>Expenditures By Object</b>					
Personnel	5,882,794	5,769,270	6,399,778	6,457,890	6,538,399
Operating Supplies and Expenses	494,225	695,207	611,098	573,459	591,060
Assistance and Grants	797,935	815,395	803,181	803,181	803,181
<b>Subtotal: Operating Expenditures</b>	<b>7,174,954</b>	<b>7,279,872</b>	<b>7,814,057</b>	<b>7,834,530</b>	<b>7,932,640</b>
Capital Purchases and Equipment	29,860	79,772	28,003	-	-
<b>Total Expenditures</b>	<b>\$7,204,814</b>	<b>\$7,359,644</b>	<b>\$7,842,060</b>	<b>\$7,834,530</b>	<b>\$7,932,640</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	7,204,814	7,359,644	7,842,060	7,834,530	7,932,640
<b>Total Expenditures</b>	<b>\$7,204,814</b>	<b>\$7,359,644</b>	<b>\$7,842,060</b>	<b>\$7,834,530</b>	<b>\$7,932,640</b>

# Personnel

## Judicial Department - Constitution Worker's Compensation Court

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE, WORKERS COMPENSATION COURT	08807F	1.0	192,851	1.0	192,851
JUDGE, WORKERS COMPENSATION COURT	08810F	8.0	1,288,241	8.0	1,288,241
ADMINISTRATIVE CLERK (WORKERS COMP COURT)	00846A	1.0	157,735	1.0	157,735
ASSOCIATE JUDGE, DISTRICT COURT	08810F	1.0	150,788	1.0	150,788
ADMINISTRATOR, WORKERS' COMPENSATION	08841A	1.0	124,721	1.0	124,721
DEPUTY ADMINISTRATOR WORKERS'	08837A	1.0	105,812	1.0	105,812
MEDICAL ADVISORY BOARD ADMINISTRATOR	04440A	1.0	104,901	1.0	104,901
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	98,800	1.0	102,486
EXECUTIVE ASSISTANT (JUDICIAL)	00336A	1.0	97,782	1.0	97,782
PROJECT MANAGER (JUDICIAL)	08830A	1.0	75,697	1.0	78,444
COURT REPORTER	00327A	8.0	554,863	8.0	554,863
PROJECT MANAGER (JUDICIAL)	08530A	1.0	62,688	1.0	64,889
SENIOR ASSISTANT ADMINISTRATOR	00326A	3.0	187,968	3.0	187,968
EXECUTIVE SECRETARY TO CHIEF JUDGE	08825A	1.0	62,061	1.0	62,061
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	04424A	3.0	176,081	3.0	176,081
LAW CLERK (JUDICIARY)	08823A	2.0	103,732	2.0	103,732
DEPUTY CLERK	00320A	10.0	509,883	10.0	512,074
MEDICAL ADVISORY BOARD COORDINATOR	04418A	1.0	43,430	1.0	43,430
DATA ENTRY OPERATOR	00312A	4.0	141,288	4.0	142,552
<b>Subtotal</b>		<b>50.0</b>	<b>\$4,239,322</b>	<b>50.0</b>	<b>\$4,251,411</b>
Overtime		-	7,500	-	7,500
Turnover		-	(127,181)	-	(127,543)
<b>Subtotal</b>		<b>-</b>	<b>(\$119,681)</b>	<b>-</b>	<b>(\$120,043)</b>
<b>Total Salaries</b>		<b>50.0</b>	<b>\$4,119,641</b>	<b>50.0</b>	<b>\$4,131,368</b>
<b>Benefits</b>					
Payroll Accrual			16,449		16,495
FICA			284,248		287,960
Retiree Health			180,716		173,414
Health Benefits			579,296		624,228
Retirement			1,048,574		1,074,970
<b>Subtotal</b>			<b>\$2,109,283</b>		<b>\$2,177,067</b>
<b>Total Salaries and Benefits</b>		<b>50.0</b>	<b>\$6,228,924</b>	<b>50.0</b>	<b>\$6,308,435</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$124,578</b>		<b>\$126,169</b>
<b>Statewide Benefit Assessment</b>			<b>\$174,766</b>		<b>\$175,264</b>
<b>Payroll Costs</b>		<b>50.0</b>	<b>\$6,403,690</b>	<b>50.0</b>	<b>\$6,483,699</b>



# Personnel

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## Judicial Department - Constitution Worker's Compensation Court

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	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			28,700		28,700
Legal Services			10,500		10,500
Other Contracts			15,000		15,500
<b>Subtotal</b>			<b>\$54,200</b>		<b>\$54,700</b>
<b>Total Personnel</b>		<b>50.0</b>	<b>\$6,457,890</b>	<b>50.0</b>	<b>\$6,538,399</b>
<b>Distribution By Source Of Funds</b>					
Restricted Receipts		50.0	\$6,457,890	50.0	\$6,538,399
<b>Total All Funds</b>		<b>50.0</b>	<b>\$6,457,890</b>	<b>50.0</b>	<b>\$6,538,399</b>

# Performance Measures

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## Judicial Department - Constitution Worker's Compensation Court

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### *Timeliness of Case Disposition at Pretrial*

The figures below represent the percentage of workers' compensation claims disposed at pretrial within 90 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	88%	88%	87%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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### *Timeliness of Cases Disposed at Trial*

The figures below represent the percentage of workers' compensation cases disposed at trial within 360 days of filing.

	2011	2012	2013	2014	2015
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	89%	89%	86%	--	--

Performance for this measure is reported by calendar year and is current as of 6/30/2013.

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# The Program

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## Judicial Department - Constitution Judicial Tenure & Discipline

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### **Program Mission**

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

### **Program Description**

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The Commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the Commission's recommendation(s).

### **Statutory History**

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

# The Budget

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## Judicial Department - Constitution Judicial Tenure & Discipline

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	105,450	113,327	115,627	115,054	115,513
<b>Total Expenditures</b>	<b>\$105,450</b>	<b>\$113,327</b>	<b>\$115,627</b>	<b>\$115,054</b>	<b>\$115,513</b>
<b>Expenditures By Object</b>					
Personnel	103,324	103,388	113,012	112,340	112,799
Operating Supplies and Expenses	2,126	9,939	2,615	2,714	2,714
<b>Subtotal: Operating Expenditures</b>	<b>105,450</b>	<b>113,327</b>	<b>115,627</b>	<b>115,054</b>	<b>115,513</b>
<b>Total Expenditures</b>	<b>\$105,450</b>	<b>\$113,327</b>	<b>\$115,627</b>	<b>\$115,054</b>	<b>\$115,513</b>
<b>Expenditures By Funds</b>					
General Revenue	105,450	113,327	115,627	115,054	115,513
<b>Total Expenditures</b>	<b>\$105,450</b>	<b>\$113,327</b>	<b>\$115,627</b>	<b>\$115,054</b>	<b>\$115,513</b>

# Personnel

## Judicial Department - Constitution Judicial Tenure & Discipline

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
EXECUTIVE ASSISTANT TO THE CHAIRPERSON	08829A	1.0	69,180	1.0	69,180
<b>Subtotal</b>		<b>1.0</b>	<b>\$69,180</b>	<b>1.0</b>	<b>\$69,180</b>
<b>Total Salaries</b>		<b>1.0</b>	<b>\$69,180</b>	<b>1.0</b>	<b>\$69,180</b>
<b>Benefits</b>					
Payroll Accrual			277		277
FICA			5,292		5,292
Retiree Health			4,528		4,323
Health Benefits			5,985		6,455
Retirement			16,638		16,832
<b>Subtotal</b>			<b>\$32,720</b>		<b>\$33,179</b>
<b>Total Salaries and Benefits</b>		<b>1.0</b>	<b>\$101,900</b>	<b>1.0</b>	<b>\$102,359</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$101,900</b>		<b>\$102,359</b>
<b>Statewide Benefit Assessment</b>			<b>\$2,940</b>		<b>\$2,940</b>
<b>Payroll Costs</b>		<b>1.0</b>	<b>\$104,840</b>	<b>1.0</b>	<b>\$105,299</b>
<b>Purchased Services</b>					
Legal Services			7,500		7,500
<b>Subtotal</b>			<b>\$7,500</b>		<b>\$7,500</b>
<b>Total Personnel</b>		<b>1.0</b>	<b>\$112,340</b>	<b>1.0</b>	<b>\$112,799</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1.0	\$112,340	1.0	\$112,799
<b>Total All Funds</b>		<b>1.0</b>	<b>\$112,340</b>	<b>1.0</b>	<b>\$112,799</b>

# Performance Measures

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## Judicial Department - Constitution Judicial Tenure & Discipline

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### *Timeliness of Verified Complaint Disposition*

The figures below represent the percentage of cases closed during a fiscal year that was disposed within 90 days of docketing. Performance data include both cases filed through the end of a fiscal year and cases that were pending at the beginning of the fiscal year.

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	74%	71%	73%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

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# Agency

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## Military Staff

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### Agency Mission

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs. Other mission objectives include: maintaining the Rhode Island National Guard as an organization capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests; staffing of the Joint Operations Center as the Guard's focal point for disaster response and federal mobilization of military forces; to train, mobilize and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission; to actively participate in counter-drug efforts, and; to ensure the needs of Rhode Island Veteran and National Guard families are supported.

### Agency Description

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. The National Guard Program budget is financed with approximately 26 percent general revenue and state capital funds and 74 percent federal funds, as well as direct federal funding for active duty guardsmen, services, and construction costs. Additionally, there exists between the State of Rhode Island and National Guard Bureau (NGB) a legal contract referred to as the Master Cooperative Agreement (MCA). The MCA provides for State support for the Federal mission in the form of employment of State personnel, the purchase of goods and services through State vendors, and provides a readied, state-of-the-art trained military force. The State is obligated through the MCA to match the federal funds with general revenues as a condition of the grant. Military training is 100% federally financed. The Rhode Island National Guard is authorized in excess of 3,300 members (2,178 in the Army National Guard, 1,136 in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$238 million dollars.

### Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code. The Governor's FY 2015 Budget Recommendation includes legislation to amend R.I.G.L. 30-15-5 by reassigning the executive duties of the Rhode Island Emergency Management Agency from the Adjutant General to the Executive Director. The National Guard remains as a single program within the Military Staff.

# Budget

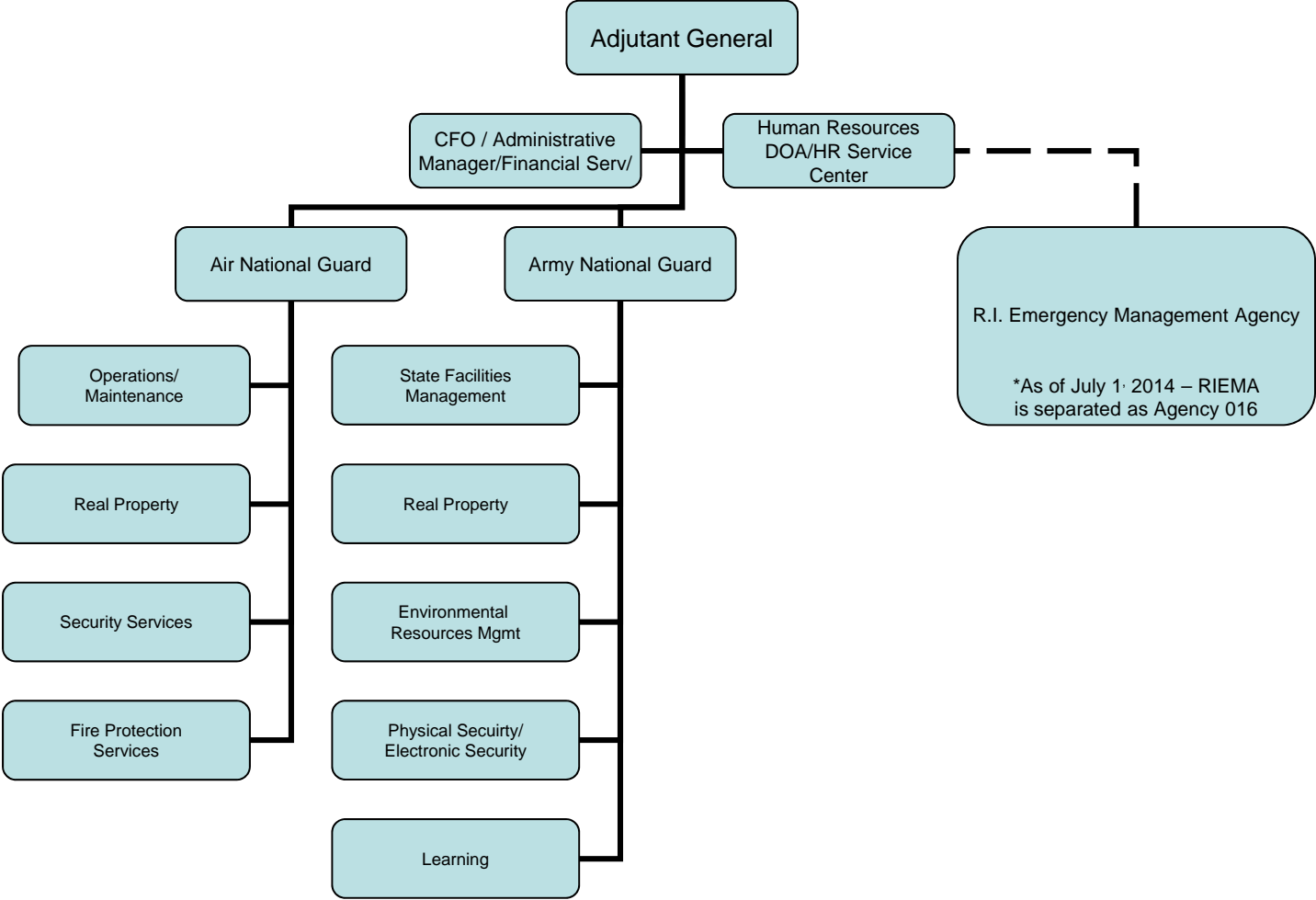
## Military Staff

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
RI National Guard	13,303,593	15,387,336	19,885,343	20,214,284	19,014,074
Emergency Management	22,743,137	25,326,212	23,272,171	29,007,391	-
<b>Total Expenditures</b>	<b>\$36,046,730</b>	<b>\$40,713,548</b>	<b>\$43,157,514</b>	<b>\$49,221,675</b>	<b>\$19,014,074</b>
<b>Expenditures By Object</b>					
Personnel	11,104,162	11,897,971	12,114,169	12,854,297	9,010,892
Operating Supplies and Expenses	7,190,912	6,870,662	7,296,414	8,184,139	5,076,261
Assistance and Grants	15,358,876	17,785,337	17,821,010	21,334,295	497,000
<b>Subtotal: Operating Expenditures</b>	<b>33,653,950</b>	<b>36,553,970</b>	<b>37,231,593</b>	<b>42,372,731</b>	<b>14,584,153</b>
Capital Purchases and Equipment	2,392,780	4,159,578	5,925,921	6,848,944	4,429,921
<b>Total Expenditures</b>	<b>\$36,046,730</b>	<b>\$40,713,548</b>	<b>\$43,157,514</b>	<b>\$49,221,675</b>	<b>\$19,014,074</b>
<b>Expenditures By Funds</b>					
General Revenue	3,491,202	3,548,304	3,869,983	3,640,037	1,842,096
Federal Funds	31,350,783	34,152,735	34,878,752	41,330,122	14,779,178
Restricted Receipts	205,899	359,161	1,000,779	771,058	442,800
Operating Transfers from Other Funds	998,846	2,653,348	3,408,000	3,480,458	1,950,000
<b>Total Expenditures</b>	<b>\$36,046,730</b>	<b>\$40,713,548</b>	<b>\$43,157,514</b>	<b>\$49,221,675</b>	<b>\$19,014,074</b>
<b>FTE Authorization</b>	<b>112.0</b>	<b>112.0</b>	<b>117.0</b>	<b>117.0</b>	<b>85.0</b>



# The Agency

## State of Rhode Island Executive Military Staff



# Personnel

## Military Staff Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		32.0	1,689,247	3.0	117,268
Unclassified		85.0	4,460,435	82.0	4,253,683
<b>Subtotal</b>		<b>117.0</b>	<b>\$6,149,682</b>	<b>85.0</b>	<b>\$4,370,951</b>
Cost Allocation from Other Programs		0.5	18,609	-	-
Cost Allocation to Other Programs		(0.5)	(\$18,609)	-	-
Overtime		-	273,240	-	298,230
Turnover		-	(\$145,450)	-	(\$740)
<b>Subtotal</b>		<b>-</b>	<b>\$127,790</b>	<b>-</b>	<b>\$297,490</b>
<b>Total Salaries</b>		<b>117.0</b>	<b>\$6,277,472</b>	<b>85.0</b>	<b>\$4,668,441</b>
<b>Benefits</b>					
Payroll Accrual			33,941		21,606
Holiday			23,455		23,693
FICA			473,096		349,507
Retiree Health			420,130		281,786
Health Benefits			1,485,613		1,197,659
Retirement			1,444,328		1,062,003
Workers Compensation			9,229		-
<b>Subtotal</b>			<b>\$3,889,792</b>		<b>\$2,936,254</b>
<b>Total Salaries and Benefits</b>		<b>117.0</b>	<b>\$10,167,264</b>	<b>85.0</b>	<b>\$7,604,695</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$86,899</b>		<b>\$89,466</b>
<b>Statewide Benefit Assessment</b>			<b>\$208,900</b>		<b>\$138,289</b>
<b>Payroll Costs</b>		<b>117.0</b>	<b>\$10,376,164</b>	<b>85.0</b>	<b>\$7,742,984</b>
<b>Purchased Services</b>					
Information Technology			105,311		105,403
Clerical and Temporary Services			1,237,324		-
Other Contracts			864,027		866,117
Buildings and Ground Maintenance			221,357		266,433
Training and Educational Services			7,830		-
Design and Engineering Services			25,582		13,253
Medical Services			16,702		16,702
<b>Subtotal</b>			<b>\$2,478,133</b>		<b>\$1,267,908</b>
<b>Total Personnel</b>		<b>117.0</b>	<b>\$12,854,297</b>	<b>85.0</b>	<b>\$9,010,892</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		15.1	\$1,402,159	8.0	\$848,731
Federal Funds		100.8	\$11,272,978	77.1	\$8,162,161
Restricted Receipts		1.1	\$179,160	-	-
<b>Total All Funds</b>		<b>117.0</b>	<b>\$12,854,297</b>	<b>85.0</b>	<b>\$9,010,892</b>

# The Program

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## Military Staff

## RI National Guard

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### **Program Mission**

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system. To ensure the needs of Rhode Island Veteran's and National Guard families and employees are supported from an accessible Family Assistance Center.

### **Program Description**

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to the federal National Guard Bureau, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards). State funds are utilized primarily for employees' salaries, training, travel, maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,249 members (2,130 in the Army National Guard, 1,179 in the Air National Guard) against a requirement of 3,459 (2,213 in the Army National Guard, 1,246 in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

### **Statutory History**

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

# The Budget

## Military Staff RI National Guard

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Adjutant-General	776,453	1,078,226	881,355	1,350,768	1,352,974
State Military Prop Officer	3,350,693	4,818,025	7,639,099	7,669,218	6,225,671
Federal Army	3,480,954	3,745,988	4,946,092	4,910,752	5,072,355
Federal Air	5,695,493	5,745,097	6,418,797	6,283,546	6,363,074
<b>Total Expenditures</b>	<b>\$13,303,593</b>	<b>\$15,387,336</b>	<b>\$19,885,343</b>	<b>\$20,214,284</b>	<b>\$19,014,074</b>
<b>Expenditures By Object</b>					
Personnel	7,636,790	8,202,257	8,242,458	8,569,129	9,010,892
Operating Supplies and Expenses	4,157,213	3,868,377	5,253,964	5,183,507	5,076,261
Assistance and Grants	214,366	479,157	501,000	497,000	497,000
<b>Subtotal: Operating Expenditures</b>	<b>12,008,369</b>	<b>12,549,791</b>	<b>13,997,422</b>	<b>14,249,636</b>	<b>14,584,153</b>
Capital Purchases and Equipment	1,295,224	2,837,545	5,887,921	5,964,648	4,429,921
<b>Total Expenditures</b>	<b>\$13,303,593</b>	<b>\$15,387,336</b>	<b>\$19,885,343</b>	<b>\$20,214,284</b>	<b>\$19,014,074</b>
<b>Expenditures By Funds</b>					
General Revenue	1,411,238	1,475,095	1,361,037	1,676,813	1,842,096
Federal Funds	10,857,471	11,106,469	14,816,306	14,494,013	14,779,178
Restricted Receipts	36,038	152,424	300,000	563,000	442,800
Operating Transfers from Other Funds	998,846	2,653,348	3,408,000	3,480,458	1,950,000
<b>Total Expenditures</b>	<b>\$13,303,593</b>	<b>\$15,387,336</b>	<b>\$19,885,343</b>	<b>\$20,214,284</b>	<b>\$19,014,074</b>

# Personnel

## Military Staff

### RI National Guard

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
HVAC SHOP SUPERVISOR	00320A	1.0	40,779	1.0	41,754
ELECTRICIAN	00316G	2.0	73,839	2.0	75,514
<b>Subtotal</b>		<b>3.0</b>	<b>\$114,618</b>	<b>3.0</b>	<b>\$117,268</b>
<b>Unclassified</b>					
ADJUTANT GENERAL/DIRECTOR RI EMERGENCY	00942KF <sup>1</sup>	1.0	94,769	1.0	94,769
SUPERVISING ENVIRONMENTAL SCIENTIST	00832A	1.0	83,837	1.0	83,837
CREW CHIEF	0N319G	10.0	720,579	10.0	719,794
SUPERVISING ACCOUNTANT	00831A <sup>2</sup>	1.0	71,209	1.0	71,209
ASSISTANT CHIEF	0N321G	3.0	204,249	3.0	208,146
PROGRAM MANAGER	00828A	1.0	63,578	1.0	63,578
SPECIAL PROJECTS MANAGER	00322A	1.0	60,036	1.0	60,036
FIREFIGHTER	0N317G	17.0	1,014,397	17.0	1,025,834
SUPERVISOR ENVIRONMENTAL SYSTEMS	00826A	2.0	113,358	2.0	115,597
SENIOR PLANNING & PROGRAM DEVELOPMENT	00324A	1.0	56,530	1.0	56,588
ENGINEER BUILDING AUDITOR	00824A	1.0	54,232	1.0	54,232
CONFIDENTIAL SECRETARY	00822A	1.0	52,711	1.0	52,711
MANAGEMENT & METHODS ANALYST	00320A	1.0	51,135	1.0	51,135
SENIOR ACCOUNTANT	00322A	2.0	100,059	2.0	100,059
ENGINEERING TECHNICIAN	00821A	1.0	48,374	1.0	48,374
PRODUCTION SYSTEMS SPECIALIST	00320A	2.0	90,906	2.0	93,751
ADMINISTRATIVE COORDINATOR	00820A	1.0	44,676	1.0	46,486
DATA ENTRY OPERATOR	00312A	1.0	44,473	1.0	44,473
CONSTRUCTION SUPERVISOR	00317A	1.0	44,141	1.0	44,141
ADMINISTRATIVE ASSISTANT	00316A	1.0	42,890	1.0	42,890
PRINCIPAL ENGINEERING AIDE	00315A	1.0	41,538	1.0	41,538
ADMINISTRATIVE AIDE	00312A	2.0	80,882	2.0	80,882
SENIOR MAINTENANCE PERSON (NATIONAL	00312A	3.0	112,372	3.0	113,512
SECURITY SPECIALIST AIR NATIONAL GUARD	00310A	10.0	372,437	10.0	375,169
MAINTENANCE PERSON	00309A	8.0	284,632	8.0	284,508
SECURITY SPECIALIST - ARMY NATIONAL GUARD	00310A	2.0	70,509	2.0	71,037
ADMINISTRATIVE ASSISTANT	00312A	1.0	34,514	1.0	35,124
MAINTENANCE REPAIR PERSON	00309A	5.0	171,440	5.0	174,273
<b>Subtotal</b>		<b>82.0</b>	<b>\$4,224,463</b>	<b>82.0</b>	<b>\$4,253,683</b>

# Personnel

## Military Staff

### RI National Guard

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		(0.5)	(18,609)	-	-
Overtime		-	223,240	-	298,230
Turnover		-	(120,450)	-	(740)
<b>Subtotal</b>		<b>(0.5)</b>	<b>\$84,181</b>	<b>-</b>	<b>\$297,490</b>
<b>Total Salaries</b>		<b>84.5</b>	<b>\$4,423,262</b>	<b>85.0</b>	<b>\$4,668,441</b>
<b>Benefits</b>					
Payroll Accrual			21,999		21,606
Holiday			23,455		23,693
FICA			332,849		349,507
Retiree Health			294,055		281,786
Health Benefits			1,096,493		1,197,659
Retirement			1,004,387		1,062,003
Workers Compensation			9,229		-
<b>Subtotal</b>			<b>\$2,782,467</b>		<b>\$2,936,254</b>
<b>Total Salaries and Benefits</b>		<b>84.5</b>	<b>\$7,205,729</b>	<b>85.0</b>	<b>\$7,604,695</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$85,275</b>		<b>\$89,467</b>
<b>Statewide Benefit Assessment</b>			<b>\$131,153</b>		<b>\$138,289</b>
<b>Payroll Costs</b>		<b>84.5</b>	<b>\$7,336,882</b>	<b>85.0</b>	<b>\$7,742,984</b>
<b>Purchased Services</b>					
Information Technology			105,311		105,403
Other Contracts			863,295		866,117
Buildings and Ground Maintenance			221,357		266,433
Design and Engineering Services			25,582		13,253
Medical Services			16,702		16,702
<b>Subtotal</b>			<b>\$1,232,247</b>		<b>\$1,267,908</b>
<b>Total Personnel</b>		<b>84.5</b>	<b>\$8,569,129</b>	<b>85.0</b>	<b>\$9,010,892</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		7.5	\$696,174	8.0	\$848,731
Federal Funds		77.1	\$7,872,955	77.1	\$8,162,161
<b>Total All Funds</b>		<b>84.5</b>	<b>\$8,569,129</b>	<b>85.0</b>	<b>\$9,010,892</b>

1 Allocation of 16.67 percent to RIEMA for 1/2 year in FY 2014 only

2 Allocation of 30.0 percent to RIEMA for 1/2 year FY 2014 only

# Performance Measures

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Military Staff

RI National Guard

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## *Command Building Readiness - Installation Status Report (ISR)*

The figures below represent the percentage of the state's eighteen Armories and Readiness Centers that meet or exceed Army building code compliance standards.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	11.1%	14%	16%
<b>Actual</b>	0%	5.56%	12%	12%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Military Staff Emergency Management

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### **Program Mission**

(Note: RI Emergency Management Agency becomes a stand-alone agency in FY 2015). To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To maintain and staff the State Emergency Operations Center for 24/7/365 operational capability. To maintain daily situational awareness toward the production of a common operating picture, ensuring a proactive response to support requests enabling the ability to place limited resources on critical needs in a timely and effective manner. To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure. To ensure as the lead coordinating agency for emergency management "All Hazard" training, preparedness, and response capability at the local and state levels of government.

### **Program Description**

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level. The Rhode Island Emergency Management Agency maintains the State Emergency Operating Center (S-EOC) at the Combined Readiness Center in Cranston. RIEMA is responsible for the operation and maintenance of the state's 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISCOON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

### **Statutory History**

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency. The Governor's FY 2015 Budget Recommendation includes legislation to amend 30-15 to separate the executive functions of the RI Emergency Management Agency from the Military Staff.



# The Budget

## Military Staff Emergency Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	22,743,137	25,326,212	23,272,171	29,007,391	-
<b>Total Expenditures</b>	<b>\$22,743,137</b>	<b>\$25,326,212</b>	<b>\$23,272,171</b>	<b>\$29,007,391</b>	-
<b>Expenditures By Object</b>					
Personnel	3,467,372	3,695,714	3,871,711	4,285,168	-
Operating Supplies and Expenses	3,033,699	3,002,285	2,042,450	3,000,632	-
Assistance and Grants	15,144,510	17,306,180	17,320,010	20,837,295	-
<b>Subtotal: Operating Expenditures</b>	<b>21,645,581</b>	<b>24,004,179</b>	<b>23,234,171</b>	<b>28,123,095</b>	-
Capital Purchases and Equipment	1,097,556	1,322,033	38,000	884,296	-
<b>Total Expenditures</b>	<b>\$22,743,137</b>	<b>\$25,326,212</b>	<b>\$23,272,171</b>	<b>\$29,007,391</b>	-
<b>Expenditures By Funds</b>					
General Revenue	2,079,964	2,073,209	2,508,946	1,963,224	-
Federal Funds	20,493,312	23,046,266	20,062,446	26,836,109	-
Restricted Receipts	169,861	206,737	700,779	208,058	-
<b>Total Expenditures</b>	<b>\$22,743,137</b>	<b>\$25,326,212</b>	<b>\$23,272,171</b>	<b>\$29,007,391</b>	-

# Personnel

## Military Staff

### Emergency Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	88,546	-	-
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	86,867	-	-
TECHNICAL SUPPORT SPECIALIST III	03235A	1.0	83,760	-	-
PROGRAMMING SERVICES OFFICER	03231A	2.0	137,591	-	-
MANAGEMENT ASSISTANCE SUPERVISOR	03231A	2.0	113,328	-	-
ASSISTANT CHIEF OF PLANNING	00137A	4.0	224,351	-	-
SENIOR TELECOMMUNICATIONS AND WARNING	03226A	1.0	52,254	-	-
SUPERVISOR OF ADMINISTRATIVE & TECHNICAL	03229A	1.0	51,121	-	-
EMERGENCY MANAGEMENT SURVIVABLE CRISIS	03225A	1.0	50,261	-	-
SENIOR PLANNER	03226A	1.0	48,744	-	-
COORDINATOR CIVIL PROTECTION RELOCATION	03226A	11.0	526,290	-	-
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	47,815	-	-
ASSISTANT COORDINATOR CIVIL PROTECTION	03224A	2.0	63,701	-	-
<b>Subtotal</b>		<b>29.0</b>	<b>\$1,574,629</b>	-	-
<b>Unclassified</b>					
EXECUTIVE DIRECTOR	00847A	1.0	133,454	-	-
SPECIAL PROJECTS COORDINATOR	05127A	1.0	79,804	-	-
ADMINISTRATIVE ASSISTANT	04715A	1.0	22,714	-	-
<b>Subtotal</b>		<b>3.0</b>	<b>\$235,972</b>	-	-
Cost Allocation from Other Programs		0.5	18,609	-	-
Overtime		-	50,000	-	-
Turnover		-	(25,000)	-	-
<b>Subtotal</b>		<b>0.5</b>	<b>\$43,609</b>	-	-
<b>Total Salaries</b>		<b>32.5</b>	<b>\$1,854,210</b>	-	-
<b>Benefits</b>					
Payroll Accrual			11,942	-	-
FICA			140,247	-	-
Retiree Health			126,075	-	-
Health Benefits			389,120	-	-
Retirement			439,941	-	-
<b>Subtotal</b>			<b>\$1,107,325</b>	-	-
<b>Total Salaries and Benefits</b>		<b>32.5</b>	<b>\$2,961,535</b>	-	-
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$91,122</b>	-	-
<b>Statewide Benefit Assessment</b>			<b>\$77,747</b>	-	-
<b>Payroll Costs</b>		<b>32.5</b>	<b>\$3,039,282</b>	-	-

# Personnel

## Military Staff

### Emergency Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			1,237,324		-
Other Contracts			732		-
Training and Educational Services			7,830		-
<b>Subtotal</b>			<b>\$1,245,886</b>		-
<b>Total Personnel</b>		<b>32.5</b>	<b>\$4,285,168</b>	-	-
<b>Distribution By Source Of Funds</b>					
General Revenue		7.6	\$705,985	-	-
Federal Funds		23.8	\$3,400,023	-	-
Restricted Receipts		1.1	\$179,160	-	-
<b>Total All Funds</b>		<b>32.5</b>	<b>\$4,285,168</b>	-	-

*3 Allocation from National Guard for 1/2 year in FY 2014 only.*

# Agency

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## Rhode Island Emergency Management Agency

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### Agency Mission

The mission of the Rhode Island Emergency Management Agency (RIEMA) is to reduce the loss of life and property for both natural and man-made incidents by utilizing an all hazards approach to prevention, protection, mitigation, response, and recovery while providing leadership, assistance, and support to regional, state, and local entities.

### Agency Description

RIEMA is responsible for establishing, sustaining and coordinating the resources of the Federal, State, local governments, non-governmental organizations and the private sector to effectively meet the challenges faced during a natural and/or man-made incident. This will be accomplished through the Governor's authority under R.I.G.L 30-15, who will work with a management team for overseeing the four functional (program) areas within the agency which are Finance/Grants, Preparedness, Planning, and Technical Services Sections. The organization's primary goal is to create and oversee a statewide system with an all hazards approach to emergency management. RIEMA is also responsible to strengthen the thirty-one (31) core capabilities outlined by the National Preparedness Goal as established by Presidential Policy Directive 8 to reduce loss of life and property within the State of Rhode Island during natural and/or man-made incidents.

The Rhode Island Emergency Management Agency and the State Emergency Operation Center (SEOC) will provide a central location from which all state government at any level can provide interagency coordination and executive decision-making in support of any regional incident or local response. This will be done by: Information Collection and Evaluation, Executive Decision-Making, Priority Setting, Management, Coordination & Tracking of Resources, and Interoperable Communication Facilitation to support any overall response efforts.

The Rhode Island Emergency Management Agency's State Emergency Operation Center (SEOC) will operate under the guidance of the National Response Framework (NRF) & National Incident Management System (NIMS). This will be done by using the Incident Command System (ICS) & predetermined Emergency Support Functions (ESF). The SEOC can assist the Local Emergency Operation Center or Local Incident Commander by: Creating a Common Operating Picture from Information Collection; Evaluation of Information for Executive Policy Decision-Making; Create Static Planning & Priority Setting; Manage, Coordinate, & Track Resources; Provide Interoperable Communications Support, and Manage Public Information, Administrative & Financial Support.

### Statutory History

R.I.G.L 30-15 created the Emergency Management function. In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency. The Governor's FY 2015 Budget Recommendation includes legislation to establish the RIEMA as a stand-alone agency.

# The Budget

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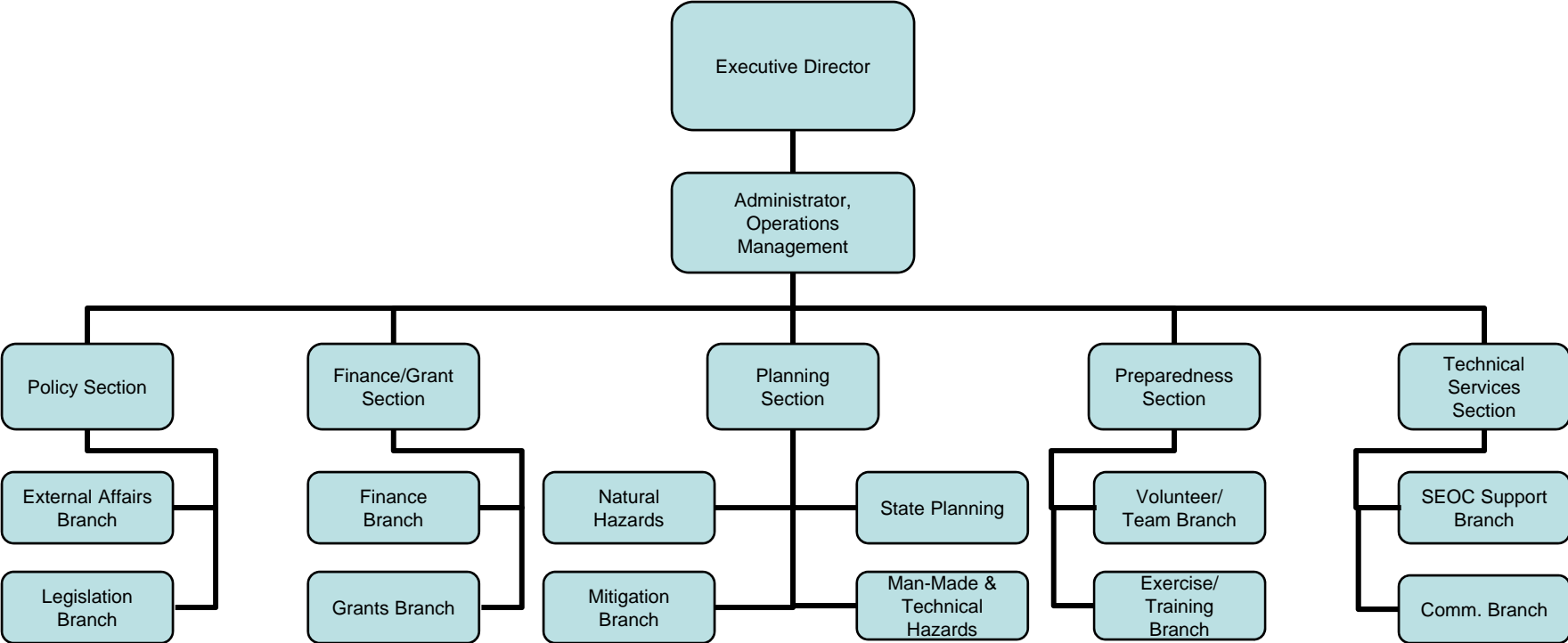
## Rhode Island Emergency Management Agency Central Management

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	-	-	-	-	20,622,227
<b>Total Expenditures</b>	-	-	-	-	<b>\$20,622,227</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	4,427,376
Operating Supplies and Expenses	-	-	-	-	1,796,093
Assistance and Grants	-	-	-	-	13,950,446
<b>Subtotal: Operating Expenditures</b>	-	-	-	-	<b>20,173,915</b>
Capital Purchases and Equipment	-	-	-	-	448,312
<b>Total Expenditures</b>	-	-	-	-	<b>\$20,622,227</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	1,959,858
Federal Funds	-	-	-	-	18,273,640
Restricted Receipts	-	-	-	-	221,729
Operating Transfers from Other Funds	-	-	-	-	167,000
<b>Total Expenditures</b>	-	-	-	-	<b>\$20,622,227</b>

# The Agency

## State of Rhode Island Emergency Management Agency



# Personnel

## Rhode Island Emergency Management Agency Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	-	-	1.0	75,577
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	-	-	1.0	97,187
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	-	-	1.0	88,546
ASSISTANT CHIEF OF PLANNING	00137A	-	-	4.0	313,277
ASSISTANT COORDINATOR CIVIL PROTECTION	03224A	-	-	2.0	87,537
COORDINATOR CIVIL PROTECTION RELOCATION	03226A	-	-	11.0	565,153
EMERGENCY MANAGEMENT SURVIVABLE CRISIS	03225A	-	-	1.0	50,261
MANAGEMENT ASSISTANCE SUPERVISOR	03231A	-	-	2.0	134,812
PROGRAMMING SERVICES OFFICER	03231A	-	-	2.0	142,733
SENIOR PLANNER	03226A	-	-	1.0	50,627
SENIOR TELECOMMUNICATIONS AND WARNING	03226A	-	-	1.0	52,254
SUPERVISOR OF ADMINISTRATIVE & TECHNICAL	03229A	-	-	1.0	54,429
TECHNICAL SUPPORT SPECIALIST III	03235A	-	-	1.0	83,760
<b>Subtotal</b>		-	-	<b>29.0</b>	<b>\$1,796,153</b>
<b>Unclassified</b>					
ADMINISTRATIVE ASSISTANT	04715A	-	-	1.0	35,521
EXECUTIVE DIRECTOR	00847A	-	-	1.0	137,950
SPECIAL PROJECTS COORDINATOR	05127A	-	-	1.0	84,399
<b>Subtotal</b>		-	-	<b>3.0</b>	<b>\$257,870</b>
Overtime		-	-	-	50,000
Turnover		-	-	-	(25,000)
<b>Subtotal</b>		-	-	-	<b>\$25,000</b>
<b>Total Salaries</b>		-	-	<b>32.0</b>	<b>\$2,079,023</b>
<b>Benefits</b>					
Payroll Accrual		-	-	-	13,324
FICA		-	-	-	159,190
Retiree Health		-	-	-	135,554
Health Benefits		-	-	-	415,881
Retirement		-	-	-	497,887
<b>Subtotal</b>		-	-	-	<b>\$1,221,836</b>
<b>Total Salaries and Benefits</b>		-	-	<b>32.0</b>	<b>\$3,300,859</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>		-	-	-	<b>\$103,098</b>
<b>Statewide Benefit Assessment</b>		-	-	-	<b>\$87,298</b>
<b>Payroll Costs</b>		-	-	<b>32.0</b>	<b>\$3,388,157</b>

# Personnel

## Rhode Island Emergency Management Agency Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			-		1,030,639
Other Contracts			-		750
Training and Educational Services			-		7,830
<b>Subtotal</b>			-		<b>\$1,039,219</b>
<b>Total Personnel</b>		-	-	<b>32.0</b>	<b>\$4,427,376</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		-	-	6.7	\$788,592
Federal Funds		-	-	24.2	\$3,445,953
Restricted Receipts		-	-	1.1	\$192,831
<b>Total All Funds</b>		-	-	<b>32.0</b>	<b>\$4,427,376</b>



# Performance Measures

## Rhode Island Emergency Management Agency Central Management

### *Rhode Island Statewide Communication Network (RISCoN)*

RIEMA is responsible for the operation and maintenance of RISCoN, the state's 800 MHz interoperable radio network. The figures below represent the number of RISCoN sites receiving a maintenance assessment. [Note: RIEMA developed new performance measures for FY 2015; historical data are not available.]

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	29
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *National Incident Management System (NIMS) - Intermediate Training*

The Incident Command System (ICS) is a standardized incident management approach that establishes a common organizational structure, enables coordinated response among jurisdictions and agencies, and establishes common processes for managing resources. RIEMA is responsible for providing training for the first responder community and other key stakeholders to be NIMS-compliant. The figures below represent class attendance at intermediary ICS-300 training, designed for first-line supervisors.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	300
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *State Emergency Operations Drills*

RIEMA is responsible for the State Emergency Operations Center (SEOC), which is the central location from which state government can provide interagency coordination. SEOC is also the location from which the Governor's State Emergency Operations Plan is executed during a man-made and/or natural incident. The figures below represent the number of non-notice incident activation and/or notification drills conducted.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	5
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Federal Grants Compliance*

RIEMA is responsible for federal grants to state and municipal government agencies and departments. The figures below represent the number of onsite compliance visits conducted with grant recipients.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	24
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# Agency

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## Department Of Public Safety

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### Agency Mission

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

### Agency Description

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Security Services, Municipal Police Training Academy, and the State Police.

### Statutory History

The Department of Public Safety was established under Chapter §42-7.3-1 through § 42-7.3-10 of the General Laws of Rhode Island in July of 2008.

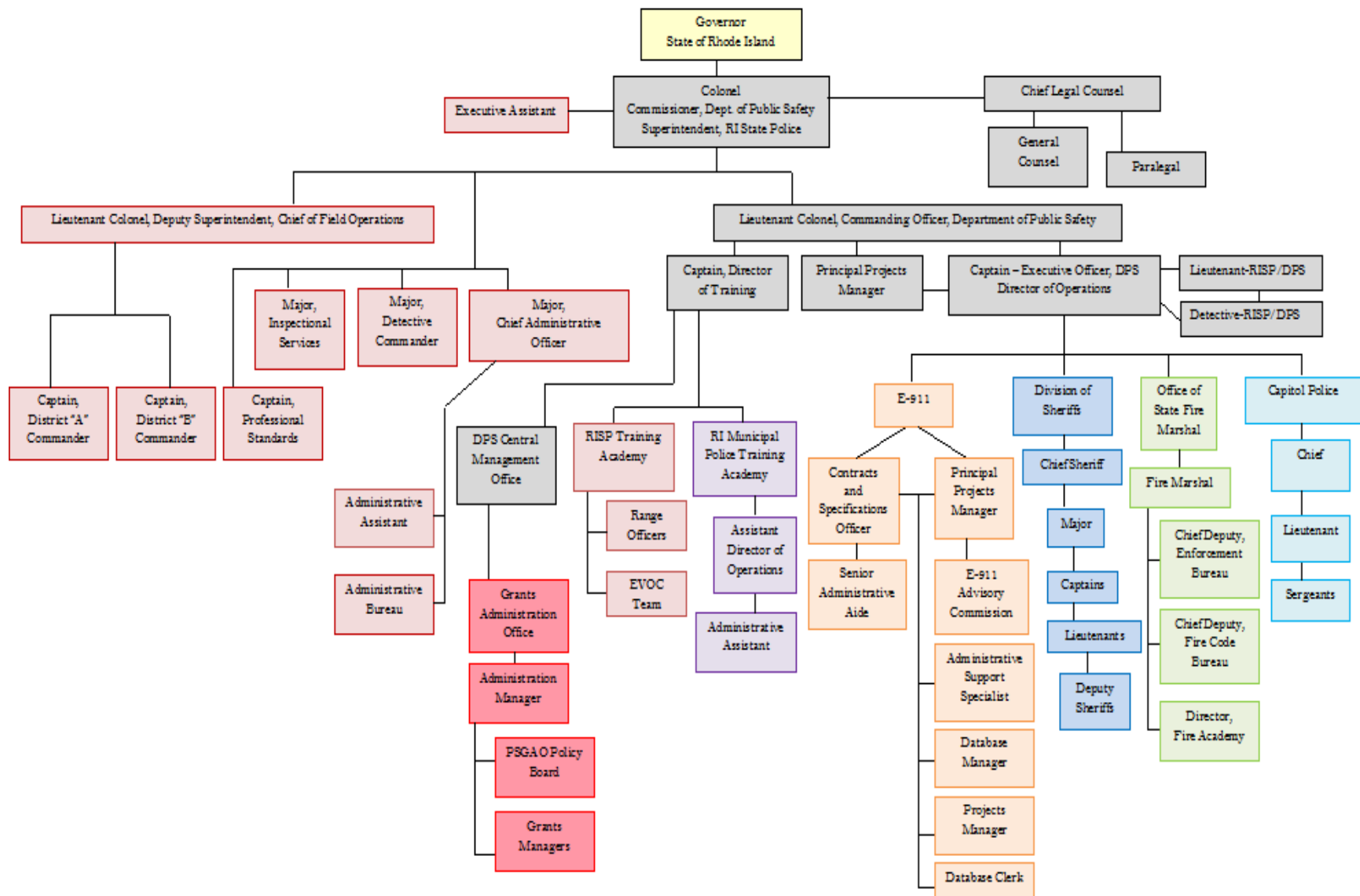
# Budget

## Department Of Public Safety

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Central Management	5,333,430	5,326,003	5,347,515	6,011,521	5,364,991
E-911	4,916,586	4,953,735	5,488,731	5,444,296	5,428,479
Fire Marshal	3,495,633	2,947,784	4,027,066	3,722,951	5,331,144
Security Services	20,036,582	20,363,993	21,814,553	21,126,340	21,801,650
Municipal Police Training	631,798	464,465	562,173	586,804	642,779
State Police	68,561,616	69,811,382	86,237,711	87,517,080	89,252,571
<i>Internal Services</i>	<i>[852,669]</i>	<i>[869,583]</i>	<i>[872,233]</i>	<i>[1,019,921]</i>	<i>[1,060,301]</i>
<b>Total Expenditures</b>	<b>\$102,975,645</b>	<b>\$103,867,362</b>	<b>\$123,477,749</b>	<b>\$124,408,992</b>	<b>\$127,821,614</b>
<b>Expenditures By Object</b>					
Personnel	71,718,736	69,709,700	77,027,659	75,912,800	77,870,063
Operating Supplies and Expenses	8,287,798	8,674,584	11,852,455	14,961,180	12,300,541
Assistance and Grants	21,156,500	21,356,951	26,457,620	28,758,079	28,524,110
<b>Subtotal: Operating Expenditures</b>	<b>101,163,034</b>	<b>99,741,235</b>	<b>115,337,734</b>	<b>119,632,059</b>	<b>118,694,714</b>
Capital Purchases and Equipment	1,812,611	4,126,127	8,140,015	4,776,933	9,126,900
<b>Total Expenditures</b>	<b>\$102,975,645</b>	<b>\$103,867,362</b>	<b>\$123,477,749</b>	<b>\$124,408,992</b>	<b>\$127,821,614</b>
<b>Expenditures By Funds</b>					
General Revenue	90,786,762	90,408,367	96,361,799	94,644,689	95,967,834
Federal Funds	7,573,445	5,960,830	6,155,535	10,448,443	6,894,275
Restricted Receipts	416,630	3,076,402	12,753,188	12,853,755	12,863,854
Operating Transfers from Other Funds	3,976,964	4,225,904	7,966,923	6,272,956	11,919,445
Other Funds	221,844	195,859	240,304	189,149	176,206
<b>Total Expenditures</b>	<b>\$102,975,645</b>	<b>\$103,867,362</b>	<b>\$123,477,749</b>	<b>\$124,408,992</b>	<b>\$127,821,614</b>
<b>FTE Authorization</b>	<b>606.2</b>	<b>609.2</b>	<b>645.2</b>	<b>634.2</b>	<b>634.2</b>

# The Agency

## Department of Public Safety



# Personnel

## Department Of Public Safety Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		86.0	4,056,880	86.0	4,075,606
Unclassified		548.2	36,541,848	548.2	36,950,429
<b>Subtotal</b>		<b>634.2</b>	<b>\$40,598,728</b>	<b>634.2</b>	<b>\$41,026,035</b>
Interdepartmental Transfer		-	570,465	-	570,465
Overtime		-	4,892,963	-	4,528,591
Road Construction Detail Reimbursements		-	2,100,000	-	2,100,000
Turnover		-	(\$1,871,901)	-	(\$1,432,903)
<b>Subtotal</b>		<b>-</b>	<b>\$5,691,527</b>	<b>-</b>	<b>\$5,766,153</b>
<b>Total Salaries</b>		<b>634.2</b>	<b>\$46,290,255</b>	<b>634.2</b>	<b>\$46,792,188</b>
<b>Benefits</b>					
Payroll Accrual			236,933		246,193
Holiday			1,465,014		1,505,354
FICA			2,039,277		2,051,501
Retiree Health			9,093,160		8,629,628
Health Benefits			6,875,223		7,989,788
Retirement			7,668,418		8,479,329
Contract Stipends			1,758,549		1,920,061
<b>Subtotal</b>			<b>\$29,136,574</b>		<b>\$30,821,854</b>
<b>Total Salaries and Benefits</b>		<b>634.2</b>	<b>\$75,426,829</b>	<b>634.2</b>	<b>\$77,614,042</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$118,932</b>		<b>\$122,381</b>
<b>Statewide Benefit Assessment</b>			<b>\$953,386</b>		<b>\$988,922</b>
<b>Payroll Costs</b>		<b>634.2</b>	<b>\$76,380,215</b>	<b>634.2</b>	<b>\$78,602,964</b>
<b>Purchased Services</b>					
Legal Services			3,481		3,700
Other Contracts			61,290		14,150
Buildings and Ground Maintenance			1,435		1,500
Training and Educational Services			315,541		263,300
Design and Engineering Services			3,946		3,950
Medical Services			166,813		40,800
<b>Subtotal</b>			<b>\$552,506</b>		<b>\$327,400</b>
<b>Total Personnel</b>		<b>634.2</b>	<b>\$76,932,721</b>	<b>634.2</b>	<b>\$78,930,364</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		596.4	\$68,969,599	595.4	\$71,078,383
Federal Funds		14.6	\$2,678,574	14.6	\$2,362,775
Restricted Receipts		2.2	\$444,382	3.2	\$529,181
Operating Transfers from Other Funds		9.0	\$3,631,096	9.0	\$3,723,518
Other Funds		12.0	\$1,209,070	12.0	\$1,236,507
<b>Total All Funds</b>		<b>634.2</b>	<b>\$76,932,721</b>	<b>634.2</b>	<b>\$78,930,364</b>

# The Program

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## Department Of Public Safety Central Management

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### **Program Mission**

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

### **Program Description**

The Central Management Office is comprised of three different offices: the Business Office, the Legal Office, and the Public Safety Grant Administration Office. The Business Office is responsible for all the fiscal and budgetary oversight of all the programs within the Department of Public Safety along with some additional human resources, payroll, and procurement responsibilities. The Legal Office is responsible for any legal issues within the department. The Public Safety Grant Administration Office's (PSGAO) primary responsibility is to apply for, receive, and administer federal grant programs.

The PSGAO Unit develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues by pursuing a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following grants: The Edward Byrne Memorial Justice Assistance Grant Program, the Juvenile Justice Formula Block and other related grants, the Victims of Crime Act Assistance Formula Grant, the S.T.O.P. Violence Against Women Act Formula Grant, the Residential Substance Abuse Treatment Grant, the National Criminal Histories Improvement Grant Program, the Statistical Analysis Center Grant, the National Forensic Sciences Improvement Act Program, Project Safe Neighborhoods, and other discretionary grant programs.

### **Statutory History**

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

# The Budget

## Department Of Public Safety Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,333,430	5,326,003	5,347,515	6,011,521	5,364,991
<b>Total Expenditures</b>	<b>\$5,333,430</b>	<b>\$5,326,003</b>	<b>\$5,347,515</b>	<b>\$6,011,521</b>	<b>\$5,364,991</b>
<b>Expenditures By Object</b>					
Personnel	1,455,096	1,703,649	1,780,893	1,869,411	1,808,891
Operating Supplies and Expenses	87,211	20,712	22,225	342,400	1,000
Assistance and Grants	3,786,325	3,601,642	3,544,397	3,579,710	3,555,100
<b>Subtotal: Operating Expenditures</b>	<b>5,328,632</b>	<b>5,326,003</b>	<b>5,347,515</b>	<b>5,791,521</b>	<b>5,364,991</b>
Capital Purchases and Equipment	4,798	-	-	220,000	-
<b>Total Expenditures</b>	<b>\$5,333,430</b>	<b>\$5,326,003</b>	<b>\$5,347,515</b>	<b>\$6,011,521</b>	<b>\$5,364,991</b>
<b>Expenditures By Funds</b>					
General Revenue	994,688	1,097,136	1,240,692	1,203,253	1,248,886
Federal Funds	4,338,742	4,228,576	4,105,973	4,788,268	4,096,105
Restricted Receipts	-	291	850	20,000	20,000
<b>Total Expenditures</b>	<b>\$5,333,430</b>	<b>\$5,326,003</b>	<b>\$5,347,515</b>	<b>\$6,011,521</b>	<b>\$5,364,991</b>

# Personnel

## Department Of Public Safety Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
STAFF ATTORNEY VII	00840A	1.0	116,901	1.0	116,901
DIR OF FINANCE & CENTRAL MNGT (DEPT OF	00840A	1.0	101,653	1.0	101,653
GENERAL COUNSEL	00837A	1.0	103,608	1.0	105,815
ADMINISTRATIVE MANAGER	00834A	1.0	83,541	1.0	83,541
SUPERVISOR OF MANAGEMENT SERVICES	00829A	1.0	80,073	1.0	80,073
PRINCIPAL PROJECTS MANAGER	08331A	1.0	74,770	1.0	74,770
PROJECT MANAGER	00830A	1.0	68,226	1.0	68,226
SENIOR PLANNING & PROGRAM DEVELOPMENT	05223A	3.0	197,138	3.0	181,388
ADMINISTRATIVE ASSISTANT	00825A	3.0	166,330	3.0	172,960
ADMINISTRATIVE ASSISTANT	00819A	0.6	30,708	0.6	30,708
ADMINISTRATIVE ASSISTANT	00820A	1.0	45,265	1.0	45,265
PARALEGAL CLERK	00817A <sup>1</sup>	1.0	37,618	1.0	37,618
FISCAL CLERK	00814A	1.0	36,509	1.0	36,509
<b>Subtotal</b>		<b>16.6</b>	<b>\$1,142,340</b>	<b>16.6</b>	<b>\$1,135,427</b>
Overtime		-	136,985	-	-
Turnover		-	(76,075)	-	(30,193)
<b>Subtotal</b>		<b>-</b>	<b>\$60,910</b>	<b>-</b>	<b>(\$30,193)</b>
<b>Total Salaries</b>		<b>16.6</b>	<b>\$1,203,250</b>	<b>16.6</b>	<b>\$1,105,234</b>
<b>Benefits</b>					
Payroll Accrual			8,026		8,239
FICA			83,169		84,034
Retiree Health			74,859		74,145
Health Benefits			194,446		218,047
Retirement			260,575		272,508
<b>Subtotal</b>			<b>\$621,075</b>		<b>\$656,973</b>
<b>Total Salaries and Benefits</b>		<b>16.6</b>	<b>\$1,824,325</b>	<b>16.6</b>	<b>\$1,762,207</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$109,899</b>		<b>\$106,157</b>
<b>Statewide Benefit Assessment</b>			<b>\$45,086</b>		<b>\$46,684</b>
<b>Payroll Costs</b>		<b>16.6</b>	<b>\$1,869,411</b>	<b>16.6</b>	<b>\$1,808,891</b>
<b>Total Personnel</b>		<b>16.6</b>	<b>\$1,869,411</b>	<b>16.6</b>	<b>\$1,808,891</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.0	\$1,202,253	11.0	\$1,247,886
Federal Funds		5.6	\$667,158	5.6	\$561,005
<b>Total All Funds</b>		<b>16.6</b>	<b>\$1,869,411</b>	<b>16.6</b>	<b>\$1,808,891</b>

<sup>1</sup> 1.0 additional FTE in FY 2015 to assist staff attorneys in Departmental legal matters.



# Performance Measures

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## Department Of Public Safety Central Management

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### *Timeliness of Competitive Grant Application Response*

Rhode Island municipalities and non-profit service providers submit applications to the Department of Public Safety (DPS) for competitive grant funding. Grant recipients benefit from an efficient application process to provide stability and continuity to the justice-related services provided. The figures below represent the percentage of competitive grant applicants that are provided an official response within 75 business days of receiving a completed application.

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	100%	100%	100%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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### *Timeliness of Non-Competitive Grant Application Response*

Rhode Island municipalities and non-profit service providers submit applications to DPS for non-competitive grant funding. Grant recipients benefit from an efficient application process to provide stability and continuity to the justice-related services provided. The figures below represent the percentage of non-competitive grant applicants that are provided an official response within 5 business days of receiving a completed application.

	2011	2012	2013	2014	2015
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	100%	100%	100%	100%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

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# The Program

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## Department Of Public Safety

### E-911

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#### **Program Mission**

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology. For traditional wireline calls, the system automatically identifies caller's street address, telephone number, and a map displaying the location of the call, making it unnecessary for a caller to verbally communicate an address or public safety jurisdiction. After verification of location information, the call is transferred instantaneously to the appropriate agency for response.

#### **Program Description**

The Enhanced 9-1-1 Uniform Emergency Telephone System division operates a twenty-four hour, three hundred sixty days a year, statewide emergency public safety answering point, (PSAP) which receives 9-1-1 calls. The Division provides communications services from one answering point located in North Scituate through the universal emergency number 9-1-1. The system employs high technical and operational standards that are designed to reduce total response time at the local level. The Federal Communications Commission (FCC) in an order issued in 1996 (FCC Order 94-102), required that every 9-1-1 Emergency Telephone System Public Safety Answering Point (PSAP) is able to capture Automatic Number Identification (ANI) and Automatic Location Identification (ALI) information from an emergency caller using a wireless device.

#### **Statutory History**

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1986, the General Assembly enacted Rhode Island General Law 39-21.1, Sections 1 and 15, which was entitled "the 9-1-1 Emergency Telephone Number Act." The system became operational in late 1988 and was originally managed by the Rhode Island Uniform Emergency Telephone System Authority. The 1989 General Assembly transferred the duties from the Authority to the Executive Department, repealed Rhode Island General Law 39-21-2 to 39-21-20, inclusive, concerning the 9-1-1 Authority, and renamed Chapter 21 of the Rhode Island General Laws "E 9-1-1 Uniform Emergency Telephone System Division." In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1. On July 1, 2008, changes to Rhode Island General Law 39-21-2, (House Bill H 7390 Substitute A As Amended) mandated that RI E 9-1-1 will now come under the authority of the Department of Public Safety.

# The Budget

## Department Of Public Safety E-911

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	4,916,586	4,953,735	5,488,731	5,444,296	5,428,479
<b>Total Expenditures</b>	<b>\$4,916,586</b>	<b>\$4,953,735</b>	<b>\$5,488,731</b>	<b>\$5,444,296</b>	<b>\$5,428,479</b>
<b>Expenditures By Object</b>					
Personnel	3,965,204	4,085,727	4,243,277	4,224,421	4,285,324
Operating Supplies and Expenses	951,382	862,081	1,236,454	1,210,875	1,134,255
<b>Subtotal: Operating Expenditures</b>	<b>4,916,586</b>	<b>4,947,808</b>	<b>5,479,731</b>	<b>5,435,296</b>	<b>5,419,579</b>
Capital Purchases and Equipment	-	5,927	9,000	9,000	8,900
<b>Total Expenditures</b>	<b>\$4,916,586</b>	<b>\$4,953,735</b>	<b>\$5,488,731</b>	<b>\$5,444,296</b>	<b>\$5,428,479</b>
<b>Expenditures By Funds</b>					
General Revenue	4,766,586	5,103,735	5,488,731	5,444,296	5,428,479
Federal Funds	150,000	(150,000)	-	-	-
<b>Total Expenditures</b>	<b>\$4,916,586</b>	<b>\$4,953,735</b>	<b>\$5,488,731</b>	<b>\$5,444,296</b>	<b>\$5,428,479</b>

# Personnel

## Department Of Public Safety

### E-911

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CONTRACTS AND SPECIFICATIONS OFFICER	00831A	0.6	44,862	0.6	44,862
PROJECT MANAGER	04330A	1.0	76,719	1.0	76,719
DATA SYSTEMS MANAGER	04328A	1.0	73,892	1.0	73,892
PRINCIPAL PROJECTS MANAGER	00831A	1.0	72,684	1.0	79,364
ADMINISTRATIVE SUPPORT SPECIALIST	04324A	1.0	61,371	1.0	61,371
911 SHIFT SUPERVISOR	04323A	3.0	180,049	3.0	180,049
911 ASSISTANT SHIFT SUPERVISOR	04320A	4.0	213,753	4.0	213,753
911 TELECOMMUNICATOR	04317A	38.0	1,637,225	38.0	1,637,225
SENIOR ADMINISTRATIVE AIDE	04317A	1.0	42,039	1.0	42,039
<b>Subtotal</b>		<b>50.6</b>	<b>\$2,402,594</b>	<b>50.6</b>	<b>\$2,409,274</b>
Overtime		-	120,000	-	120,000
Turnover		-	(108,105)	-	(108,105)
<b>Subtotal</b>		<b>-</b>	<b>\$11,895</b>	<b>-</b>	<b>\$11,895</b>
<b>Total Salaries</b>		<b>50.6</b>	<b>\$2,414,489</b>	<b>50.6</b>	<b>\$2,421,169</b>
<b>Benefits</b>					
Payroll Accrual			13,039		13,072
Holiday			105,100		106,700
FICA			185,283		185,794
Retiree Health			162,222		155,335
Health Benefits			595,570		646,204
Retirement			643,678		651,726
Contract Stipends			7,525		7,525
<b>Subtotal</b>			<b>\$1,712,417</b>		<b>\$1,766,356</b>
<b>Total Salaries and Benefits</b>		<b>50.6</b>	<b>\$4,126,906</b>	<b>50.6</b>	<b>\$4,187,525</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$81,559</b>		<b>\$82,757</b>
<b>Statewide Benefit Assessment</b>			<b>\$97,515</b>		<b>\$97,799</b>
<b>Payroll Costs</b>		<b>50.6</b>	<b>\$4,224,421</b>	<b>50.6</b>	<b>\$4,285,324</b>
<b>Total Personnel</b>		<b>50.6</b>	<b>\$4,224,421</b>	<b>50.6</b>	<b>\$4,285,324</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		50.6	\$4,224,421	50.6	\$4,285,324
<b>Total All Funds</b>		<b>50.6</b>	<b>\$4,224,421</b>	<b>50.6</b>	<b>\$4,285,324</b>

# Performance Measures

## Department Of Public Safety E-911

### *Calls Received*

Rhode Island's Enhanced 911 (E-911) Emergency Telephone System provides 24-hour, statewide emergency public safety communications service. Technical and operational standards are in place to reduce total response time and guide the system's processing of both landline and wireless E-911 calls. The figures below represent the total number of calls received by E-911.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	683160	533768	383869	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *Average Queue Duration*

E-911 measures the average duration of a call(s) in queue above the recommended minimum of 12 seconds. The average queue duration reflects how effectively E-911 manages its call volume, and helps gauge if adjustments need to be made with the figures below represent the average duration of an E-911 queued call.

	2011	2012	2013	2014	2015
<b>Target</b>	12 Seconds	12 Seconds	12 Seconds	12 Seconds	12 Seconds
<b>Actual</b>	14 Seconds	12 Seconds	10.8 Seconds	11 Seconds	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *Maximum Queue*

E-911 has set a maximum time of 45 seconds for calls to remain in queue. The figures below represent the number of E-911 calls exceeding 45 seconds in queue. [Because this is a new measure, historical data before 2012 is not available, and targets are under development.]

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	346	258	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

# The Program

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## Department Of Public Safety Fire Marshal

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### **Program Mission**

To reduce the number of fire losses in Rhode Island through prosecution of arson crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

### **Program Description**

The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation and fire education. The agency is divided into two Bureaus: the Enforcement Bureau and the Fire Code Bureau.

#### **THE ENFORCEMENT BUREAU:**

**INVESTIGATIONS UNIT** - The Investigations Unit, required to be available twenty-four (24) hours a day/seven (7) days a week, conducts the investigations of suspicious or attempted fires throughout the state to determine the cause, origin, and circumstances where property has been damaged or destroyed or a fatality has occurred.

**TECHNICAL SERVICE UNIT** - The Technical Services Unit (RI Bomb Squad) assists local police and fire departments and local state and federal law enforcement agencies in the proper handling and disposition of all hazardous materials suspected to be of explosive and or incendiary nature.

**CENTRAL CLERICAL UNIT** – The Central Clerical Unit is responsible for the administrative duties of the office as well as the Rhode Island Fire Academy. These duties include the processing of all permits and licenses issued by this office, which include the following: blasting, extinguisher maintenance, explosives procession, explosive sales and pyrotechnic display.

#### **FIRE CODE BUREAU:**

**INSPECTION UNIT** - The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code for compliance and assisting fire departments throughout the state with the implementation of the Rhode Island State Fire Safety Code.

**PUBLIC EDUCATION AND JUVENILE PROGRAM UNIT** - The Unit develops and presents injury and fire prevention programs throughout the state for schools, health care facilities, day cares, businesses, industrial organizations and the general public.

**PLAN REVIEW UNIT** - The Plan Review Unit is responsible for reviewing architectural drawings of proposed construction plans to make sure they are in compliant with current Fire Safety Codes. A fee is charged based on the estimated cost of the project and all fees are deposited into general revenue.

**THE FIRE EDUCATION AND TRAINING UNIT** - The Fire Education and Training Unit is responsible for implementing the State Fire Academy by providing education and training to all fire departments throughout the state.

### **Statutory History**

R.I.G.L.23-28 establishes the Rhode Island State Fire Marshal and defines its duties.

# The Budget

## Department Of Public Safety Fire Marshal

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
No Sub-Programs	-	-	-	24,000	24,000
Operations	3,495,633	2,947,784	4,027,066	3,698,951	5,307,144
<b>Total Expenditures</b>	<b>\$3,495,633</b>	<b>\$2,947,784</b>	<b>\$4,027,066</b>	<b>\$3,722,951</b>	<b>\$5,331,144</b>
<b>Expenditures By Object</b>					
Personnel	2,627,989	2,549,496	2,860,293	2,967,396	2,970,404
Operating Supplies and Expenses	250,921	397,787	366,773	427,982	348,167
Assistance and Grants	-	-	-	53,000	20,000
<b>Subtotal: Operating Expenditures</b>	<b>2,878,910</b>	<b>2,947,283</b>	<b>3,227,066</b>	<b>3,448,378</b>	<b>3,338,571</b>
Capital Purchases and Equipment	616,723	501	800,000	274,573	1,992,573
<b>Total Expenditures</b>	<b>\$3,495,633</b>	<b>\$2,947,784</b>	<b>\$4,027,066</b>	<b>\$3,722,951</b>	<b>\$5,331,144</b>
<b>Expenditures By Funds</b>					
General Revenue	2,640,105	2,623,310	2,894,425	2,725,661	2,746,455
Federal Funds	657,655	85,797	-	316,668	94,000
Restricted Receipts	145,616	184,999	277,338	408,755	498,854
Operating Transfers from Other Funds	52,257	53,678	855,303	271,867	1,991,835
<b>Total Expenditures</b>	<b>\$3,495,633</b>	<b>\$2,947,784</b>	<b>\$4,027,066</b>	<b>\$3,722,951</b>	<b>\$5,331,144</b>

# Personnel

## Department Of Public Safety

### Fire Marshal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF DEPUTY FIRE MARSHAL	00132A	2.0	136,529	2.0	140,001
DIRECTOR OF FIRE TRAINING	00834A	1.0	67,477	1.0	69,944
EXPLOSIVES AND FLAMMABLE LIQUIDS	03626A	1.0	57,320	1.0	57,320
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	03627A	2.0	113,980	2.0	113,980
CHIEF OF FIRE INVESTIGATIONS	00127A	1.0	56,128	1.0	56,128
CHIEF OF FIRE SAFETY INSPECTIONS	00127A	1.0	56,128	1.0	56,128
FIRE SAFETY TRAINING OFFICER	03627A	2.0	111,291	2.0	113,980
SENIOR FIRE INVESTIGATOR	03623A	1.0	51,231	1.0	51,230
FIRE INVESTIGATOR	03621A	5.0	223,368	5.0	223,368
SENIOR FIRE SAFETY INSPECTOR	03619A	3.0	132,661	3.0	133,773
EXECUTIVE ASSISTANT	00118A	1.0	42,522	1.0	42,522
ASSISTANT EXPLOSIVES AND FLAMMABLE	03621A	2.0	84,442	2.0	84,442
FIRE SAFETY TECHNICIAN	03616A	1.0	41,590	1.0	41,590
CLERK SECRETARY	04016A <sup>2</sup>	1.0	37,766	1.0	39,119
FIRE SAFETY INSPECTOR	03617A	10.0	378,044	10.0	381,465
LICENSING AIDE	03615A	1.0	35,915	1.0	35,915
<b>Subtotal</b>		<b>35.0</b>	<b>\$1,626,392</b>	<b>35.0</b>	<b>\$1,640,905</b>
<b>Unclassified</b>					
STATE FIRE MARSHAL	00843A <sup>3</sup>	1.0	115,138	1.0	115,138
<b>Subtotal</b>		<b>1.0</b>	<b>\$115,138</b>	<b>1.0</b>	<b>\$115,138</b>
Overtime		-	130,000	-	130,000
Turnover		-	(117,152)	-	(78,519)
<b>Subtotal</b>		<b>-</b>	<b>\$12,848</b>	<b>-</b>	<b>\$51,481</b>
<b>Total Salaries</b>		<b>36.0</b>	<b>\$1,754,378</b>	<b>36.0</b>	<b>\$1,807,524</b>
<b>Benefits</b>					
Payroll Accrual			9,093		9,386
FICA			134,897		138,987
Retiree Health			114,843		113,234
Health Benefits			256,567		292,608
Retirement			428,630		447,944
Contract Stipends			8,971		9,300
<b>Subtotal</b>			<b>\$953,001</b>		<b>\$1,011,459</b>
<b>Total Salaries and Benefits</b>		<b>36.0</b>	<b>\$2,707,379</b>	<b>36.0</b>	<b>\$2,818,983</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$75,205</b>		<b>\$78,305</b>
<b>Statewide Benefit Assessment</b>			<b>\$34,499</b>		<b>\$35,571</b>
<b>Payroll Costs</b>		<b>36.0</b>	<b>\$2,741,878</b>	<b>36.0</b>	<b>\$2,854,554</b>



# Personnel

## Department Of Public Safety

### Fire Marshal

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Legal Services			500		500
Other Contracts			47,332		50
Training and Educational Services			172,386		110,000
Design and Engineering Services			3,500		3,500
Medical Services			1,800		1,800
<b>Subtotal</b>			<b>\$225,518</b>		<b>\$115,850</b>
<b>Total Personnel</b>		<b>36.0</b>	<b>\$2,967,396</b>	<b>36.0</b>	<b>\$2,970,404</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		32.8	\$2,483,979	31.8	\$2,509,888
Federal Funds		-	\$133,668	-	\$24,000
Restricted Receipts		2.2	\$294,382	3.2	\$379,181
Operating Transfers from Other Funds		1.0	\$55,367	1.0	\$57,335
<b>Total All Funds</b>		<b>36.0</b>	<b>\$2,967,396</b>	<b>36.0</b>	<b>\$2,970,404</b>

*2 In FY 2015, Clerk Secretary Position will be financed through the Training Academy's restricted receipt account.*

*3 In FY 2014 and FY 2015, shift twenty percent of personnel expenditures related to the State Fire Marshal Position from general revenue to restricted receipt funds.*

# Performance Measures

## Department Of Public Safety Fire Marshal

### *Fire Safety Inspections*

Under state law, the Rhode Island Division of the State Fire Marshal (DSFM) performs fire safety inspections for all health care facilities in the state, including hospitals, nursing homes, assisted living facilities, as well as all state buildings and state-licensed facilities, including group homes, day cares and detention facilities. DSFM is also responsible for building inspections at the Quonset Development Corporation. The intent of these fire safety inspections is to reduce the risk of death, injury, and property damage. The figures below represent the number of fire safety inspections conducted.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	1300	1400	1700
<b>Actual</b>	1129	1215	1312	411	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Timeliness of Plan Review*

The DSFM Plan Review Division is responsible for reviewing plans of all state-owned and licensed facilities within the State of Rhode Island. Plan reviews are conducted to ensure the building is designed in compliance with the established fire safety codes and to avoid costly changes after the building is constructed. By state law, the time allocated to plan review ranges from 15 to 40 days, depending on the size of the project. The DSFM has developed a system to track plan review and streamline its process. The figures below represent the average number of days used to conduct a plan review.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	23 Days	20 Days	--
<b>Actual</b>	--	26.3 Days	26.1 Days	28.1 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Public Safety Security Services

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### **Program Mission**

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

### **Program Description**

The FY 2012 budget recommendation included the transfer of the Sheriffs from the Department of Administration to the Department of Public Safety. The Sheriffs have been transferred into Security Services program, which currently includes Capitol Police.

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

The Sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

### **Statutory History**

Chapter 42-7.3-3.2 of the Rhode General Laws established a Division of Sheriffs within the Department of Public Safety. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

# The Budget

## Department Of Public Safety Security Services

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Sheriffs	16,575,715	16,900,730	17,827,108	17,484,637	18,098,299
Capitol Police	3,460,867	3,463,263	3,987,445	3,641,703	3,703,351
<b>Total Expenditures</b>	<b>\$20,036,582</b>	<b>\$20,363,993</b>	<b>\$21,814,553</b>	<b>\$21,126,340</b>	<b>\$21,801,650</b>
<b>Expenditures By Object</b>					
Personnel	18,863,318	18,871,897	20,291,976	19,623,173	20,409,043
Operating Supplies and Expenses	1,172,994	1,482,908	1,517,077	1,475,167	1,387,607
Assistance and Grants	270	-	500	-	-
<b>Subtotal: Operating Expenditures</b>	<b>20,036,582</b>	<b>20,354,805</b>	<b>21,809,553</b>	<b>21,098,340</b>	<b>21,796,650</b>
Capital Purchases and Equipment	-	9,188	5,000	28,000	5,000
<b>Total Expenditures</b>	<b>\$20,036,582</b>	<b>\$20,363,993</b>	<b>\$21,814,553</b>	<b>\$21,126,340</b>	<b>\$21,801,650</b>
<b>Expenditures By Funds</b>					
General Revenue	20,036,582	20,363,993	21,814,553	21,126,340	21,801,650
<b>Total Expenditures</b>	<b>\$20,036,582</b>	<b>\$20,363,993</b>	<b>\$21,814,553</b>	<b>\$21,126,340</b>	<b>\$21,801,650</b>

# Personnel

## Department Of Public Safety Security Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF, CAPITOL POLICE	00137A	1.0	82,207	1.0	82,207
CAPITOL POLICE OFFICER LIEUTENANT	00326A	1.0	62,960	1.0	62,960
CAPITOL POLICE OFFICER SERGEANT	00323A	2.0	96,356	2.0	98,497
CAPITOL POLICE OFFICER	00321A	35.0	1,641,316	35.0	1,643,388
EXECUTIVE ASSISTANT	00118A	1.0	40,497	1.0	40,497
<b>Subtotal</b>		<b>40.0</b>	<b>\$1,923,336</b>	<b>40.0</b>	<b>\$1,927,549</b>
<b>Unclassified</b>					
CHIEF/SHERIFF	00840A	1.0	92,669	1.0	92,669
DEPUTY SHERIFF-MAJOR	00631A	1.0	81,839	1.0	81,839
DEPUTY SHERIFF - CAPTAIN	00630A	3.0	234,315	3.0	234,315
DEPUTY SHERIFF - LIEUTENANT	00628A	5.0	355,410	5.0	355,410
SECURITY AND OPERATIONS MANAGER	00826A	1.0	66,805	1.0	66,805
DEPUTY SHERIFF	00624A	56.0	3,511,975	62.0	3,843,324
DEPUTY SHERIFF - SERGEANT	00626A	9.0	530,292	9.0	530,292
ADMINISTRATIVE ASSISTANT	00825A	1.0	53,424	1.0	53,424
DEPUTY CLERK	00320A	1.0	50,653	1.0	50,653
DEPUTY SHERIFF	00601A	4 100.0	5,001,173	94.0	4,719,545
ADMINISTRATIVE ASSISTANT	00820A	1.0	42,006	1.0	42,006
SENIOR CLERK	00308A	1.0	35,112	1.0	35,112
<b>Subtotal</b>		<b>180.0</b>	<b>\$10,055,673</b>	<b>180.0</b>	<b>\$10,105,394</b>
Overtime		-	1,100,000	-	1,050,000
Turnover		-	(627,463)	-	(329,291)
<b>Subtotal</b>		<b>-</b>	<b>\$472,537</b>	<b>-</b>	<b>\$720,709</b>
<b>Total Salaries</b>		<b>220.0</b>	<b>\$12,451,546</b>	<b>220.0</b>	<b>\$12,753,652</b>
<b>Benefits</b>					
Payroll Accrual			62,819		65,579
Holiday			14,000		14,000
FICA			954,788		979,672
Retiree Health			794,477		792,215
Health Benefits			2,215,665		2,503,840
Retirement			2,694,960		2,847,513
Contract Stipends			162,800		168,800
<b>Subtotal</b>			<b>\$6,899,509</b>		<b>\$7,371,619</b>
<b>Total Salaries and Benefits</b>		<b>220.0</b>	<b>\$19,351,055</b>	<b>220.0</b>	<b>\$20,125,271</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$87,959</b>		<b>\$91,479</b>
<b>Statewide Benefit Assessment</b>			<b>\$264,218</b>		<b>\$275,272</b>
<b>Payroll Costs</b>		<b>220.0</b>	<b>\$19,615,273</b>	<b>220.0</b>	<b>\$20,400,543</b>

# Personnel

## Department Of Public Safety Security Services

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Legal Services			2,800		3,000
Medical Services			5,100		5,500
<b>Subtotal</b>			<b>\$7,900</b>		<b>\$8,500</b>
<b>Total Personnel</b>		<b>220.0</b>	<b>\$19,623,173</b>	<b>220.0</b>	<b>\$20,409,043</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		220.0	\$19,623,173	220.0	\$20,409,043
<b>Total All Funds</b>		<b>220.0</b>	<b>\$19,623,173</b>	<b>220.0</b>	<b>\$20,409,043</b>

4 Shift 6.0 FTE in FY 2015 from 35 to 40 hour work weeks.

# Performance Measures

## Department Of Public Safety Security Services

### *Inmate Transportation*

The Division of Sheriffs is responsible for the daily transportation of adult and juvenile inmates. Inmates are primarily processed and transported by Division members from the Adult Correctional Institute and the Rhode Island Training School for Youth, both located in Cranston. Inmates are transported from these facilities to one of five Judicial Complexes within Rhode Island. Most adjudicated offenders/inmates are then transported back to these respective facilities for detention at the end of each business day. The figures below represent the number of inmates transported by the Division of Sheriffs. (Note: Transport data are collected by trip segment; an inmate transported from the ACI to a court facility and back is counted as two transports.)

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	65000	60000
<b>Actual</b>	--	64450	65001	18684	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Civil Process/Writ Services*

The Division of Sheriffs is responsible for the accountability and service of a wide variety of court documents (writs) that directly impact the civil and criminal administration of justice within the State of Rhode Island. Division members are tasked with the hand delivery of restraining orders, no contact orders, eviction notices, civil and criminal body attachments, and a variety of legal appearance papers to both private and public entities. The figures below represent the number of writs the Sheriffs' Civil Processing Unit has served and generated.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	11000	11000	12000
<b>Actual</b>	11962	10813	11931	2885	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Capitol Police Calls for Service*

The Rhode Island Capitol Police provide 24-hour law enforcement and security services to 13 state buildings, including the State House and all court buildings. In addition to staffing the facilities for screening purposes, this agency uses facility logs to record the total calls for service. These log numbers are used to track needed staffing levels and deployment. The figures below represent the number of calls for service responded to by the Capitol Police.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	1200	1250	--
<b>Actual</b>	605	1036	3587	764	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Public Safety Municipal Police Training

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### **Program Mission**

Evaluate and screen police officer candidates to attain required minimum qualifications; to provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks; and to provide in-service and specialized training courses.

### **Program Description**

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island, with the exception of the Providence Police and the Rhode Island State Police, including new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others who are attending the Police Academy.

The Police Training Academy's programs include instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/S.F.S.T.; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

In addition to providing basic police training, the Police Training Academy also conducts extensive police in-service and specialized training programs in many areas. Some of these subject areas include: First Line Supervisor; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Program; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for the police recruits is conducted at the Community College of Rhode Island, Flanagan Campus, Lincoln, RI. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by Rhode Island State Troopers at no costs to local municipalities.

### **Statutory History**

In 1969, Title 42, Chapter 28.2-2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.



# The Budget

## Department Of Public Safety Municipal Police Training

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	631,798	464,465	562,173	586,804	642,779
<b>Total Expenditures</b>	<b>\$631,798</b>	<b>\$464,465</b>	<b>\$562,173</b>	<b>\$586,804</b>	<b>\$642,779</b>
<b>Expenditures By Object</b>					
Personnel	545,295	373,025	387,708	320,636	343,488
Operating Supplies and Expenses	84,407	83,923	120,572	266,168	229,291
Assistance and Grants	-	-	53,893	-	70,000
<b>Subtotal: Operating Expenditures</b>	<b>629,702</b>	<b>456,948</b>	<b>562,173</b>	<b>586,804</b>	<b>642,779</b>
Capital Purchases and Equipment	2,096	7,517	-	-	-
<b>Total Expenditures</b>	<b>\$631,798</b>	<b>\$464,465</b>	<b>\$562,173</b>	<b>\$586,804</b>	<b>\$642,779</b>
<b>Expenditures By Funds</b>					
General Revenue	359,698	252,260	293,022	236,404	245,379
Federal Funds	272,100	171,545	269,151	290,400	397,400
Restricted Receipts	-	40,660	-	60,000	-
<b>Total Expenditures</b>	<b>\$631,798</b>	<b>\$464,465</b>	<b>\$562,173</b>	<b>\$586,804</b>	<b>\$642,779</b>

# Personnel

## Department Of Public Safety Municipal Police Training

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
SENIOR TRAINING SPECIALIST	00326A	1.0	66,440	1.0	68,624
ADMINISTRATIVE ASSISTANT/SECRETARY	00821A	1.0	44,504	1.0	45,906
<b>Subtotal</b>		<b>2.0</b>	<b>\$110,944</b>	<b>2.0</b>	<b>\$114,530</b>
<b>Total Salaries</b>		<b>2.0</b>	<b>\$110,944</b>	<b>2.0</b>	<b>\$114,530</b>
<b>Benefits</b>					
Payroll Accrual			630		650
FICA			8,488		8,762
Retiree Health			7,844		7,731
Health Benefits			33,833		36,582
Retirement			26,682		27,865
<b>Subtotal</b>			<b>\$77,477</b>		<b>\$81,590</b>
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$188,421</b>	<b>2.0</b>	<b>\$196,120</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$94,211</b>		<b>\$98,060</b>
<b>Statewide Benefit Assessment</b>			<b>\$4,715</b>		<b>\$4,868</b>
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$193,136</b>	<b>2.0</b>	<b>\$200,988</b>
<b>Purchased Services</b>					
Other Contracts			1,500		1,500
Training and Educational Services			126,000		141,000
<b>Subtotal</b>			<b>\$127,500</b>		<b>\$142,500</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$320,636</b>	<b>2.0</b>	<b>\$343,488</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		2.0	\$200,636	2.0	\$208,488
Federal Funds		-	\$120,000	-	\$135,000
<b>Total All Funds</b>		<b>2.0</b>	<b>\$320,636</b>	<b>2.0</b>	<b>\$343,488</b>

# Performance Measures

## Department Of Public Safety Municipal Police Training

### *Recruit Class Grade Point Average*

Municipal Police Training Academy (MPTA) provides training for new recruits of municipal police departments. The grade point average tabulation is the numerical average of ten graded law enforcement-specific subject matter areas for those attending the MPTA. These 10 academic programs include: Criminal and Juvenile Law, Crash Investigation, Motor Vehicle Code Enforcement, Basic Crime Scene Investigation, Police Patrol, Report Writing, Domestic Violence and Sexual Assault, Radar/Laser Certification, Driving Under the Influence Enforcement, and First Responder. There are an additional 11 practical programs that are not included in the grade point average tabulation. These programs are graded pass/fail, and include Basic Water Rescue, Physical Training, Use of Force, Firearms Training, Breathalyzer Certification, Emergency Vehicle Operations Course, OC Certification, Baton Certification, TOPS Program, and First Aid/Cardiopulmonary Resuscitation/Automated External Defibrillator Certification. The figures below represent the overall grade point average of the Basic Recruit Officer Program.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	91.8%	91.8%	--
<b>Actual</b>	91.4%	91.5%	--	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Law Enforcement Officer Continuing Education Enrollment*

The MPTA provides continuing education (in-service training) for Rhode Island law enforcement on a continual and consistent basis. The training offered is based on contemporary needs, as identified by Police Chiefs and department personnel, and annual certifications required by law and professional industry standards. For example, the Municipal Academy provides timely certification and recertification on cardiopulmonary resuscitation/automated external defibrillator use, Use of Force, Mental Health First Aid, Gangs, Fair and Impartial Policing (Racial Profiling), Hate Crimes, WORKZONE Safety, Crash Investigation, Legal Updates, Law Enforcement Officers Killed or Assaulted report, First Line Supervisor certification, Field Training Officer Programs, Domestic Terrorism, Bombs and Bomb Threats, etc. The figures below represent the annual enrollment in Municipal Academy continuing education training.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	1700	1700	--
<b>Actual</b>	1159	1587	2287	2497	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Public Safety State Police

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### **Program Mission**

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

### **Program Description**

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. This is accomplished by providing administrative, patrol, and detective components:

Administrative Bureau – consisting of sworn as well as civilian personnel which provide oversight and support to the Division in conjunction with managing many of the other essential units within the Division

Uniform Bureau – responsible for providing basic protection of life and property, promoting highway safety, investigating criminal and non-criminal activities, providing commercial vehicle enforcement, assisting local, state and federal agencies, maintaining order at demonstrations and public events and making public service visits to schools and community groups.

Detective Bureau – responsible for investigating criminal complaints to include, but not limited to, organized crime, white collar crime, illegal narcotics activity, auto theft and the processing of criminal suspects and crime scenes by the Criminal Identification Unit, cyber-crime and terrorism activity through the Fusion Center and Computer Crimes. Additionally, assistance is provided to the state Attorney General's Office, the United States Attorney General's Office, and other local, state and federal agencies.

### **Statutory History**

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, and the Dive Team, public accident reports and special crime systems.

# The Budget

## Department Of Public Safety State Police

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Support	7,816,343	8,907,395	11,629,963	13,866,404	15,018,042
Detectives	13,549,775	14,916,728	24,802,394	25,363,815	25,158,038
Patrol	27,019,934	25,324,288	28,731,537	27,234,715	28,093,904
Pension	17,372,104	17,682,779	17,860,870	17,743,010	17,743,010
Communications and Technology	2,803,460	2,980,192	3,212,947	3,309,136	3,239,577
<b>Total Expenditures</b>	<b>\$68,561,616</b>	<b>\$69,811,382</b>	<b>\$86,237,711</b>	<b>\$87,517,080</b>	<b>\$89,252,571</b>
<b>Expenditures By Object</b>					
Personnel	44,261,834	42,125,906	47,463,512	46,907,763	48,052,913
Operating Supplies and Expenses	5,740,883	5,827,173	8,589,354	11,238,588	9,200,221
Assistance and Grants	17,369,905	17,755,309	22,858,830	25,125,369	24,879,010
<b>Subtotal: Operating Expenditures</b>	<b>67,372,622</b>	<b>65,708,388</b>	<b>78,911,696</b>	<b>83,271,720</b>	<b>82,132,144</b>
Capital Purchases and Equipment	1,188,994	4,102,994	7,326,015	4,245,360	7,120,427
<b>Total Expenditures</b>	<b>\$68,561,616</b>	<b>\$69,811,382</b>	<b>\$86,237,711</b>	<b>\$87,517,080</b>	<b>\$89,252,571</b>
<b>Expenditures By Funds</b>					
General Revenue	61,989,103	60,967,933	64,630,376	63,908,735	64,496,985
Federal Funds	2,154,948	1,624,912	1,780,411	5,053,107	2,306,770
Restricted Receipts	271,014	2,850,452	12,475,000	12,365,000	12,345,000
Operating Transfers from Other Funds	3,924,707	4,172,226	7,111,620	6,001,089	9,927,610
Other Funds	221,844	195,859	240,304	189,149	176,206
<b>Total Expenditures</b>	<b>\$68,561,616</b>	<b>\$69,811,382</b>	<b>\$86,237,711</b>	<b>\$87,517,080</b>	<b>\$89,252,571</b>

# Personnel

## Department Of Public Safety

### State Police

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
LIEUTENANT COLONEL (STATE POLICE)	00074F	2.0	305,388	2.0	305,388
SUPERINTENDENT DIRECTOR OF PUBLIC	00952KF	1.0	148,937	1.0	148,937
MAJOR (STATE POLICE)	00075F	3.0	412,014	3.0	412,014
CAPTAIN (STATE POLICE)	00072F	6.0	814,940	6.0	814,940
LIEUTENANT (STATE POLICE)	00071F	23.0	2,938,437	23.0	2,938,437
FRAUD MANAGER	00840A	2.0	242,927	2.0	242,927
DIRECTOR OF RADIO COMMUNICATIONS	00840A	1.0	119,442	1.0	119,442
DETECTIVE SERGEANT	00084A <sup>5</sup>	11.0	1,083,249	11.0	1,083,249
INTELLIGENCE ANALYST	00838A	2.0	189,971	2.0	189,971
SERGEANT (STATE POLICE)	00070A	9.0	860,225	9.0	860,225
DIRECTOR OF TELECOMMUNICATIONS	00836A	1.0	89,500	1.0	89,500
DETECTIVE CORPORAL	00083A	11.0	1,000,079	11.0	1,000,079
TECHNICAL ASSISTANT	00815A	1.0	88,001	1.0	88,001
DATA PROCESSING SYSTEMS MANAGER	00836A	2.0	175,925	2.0	178,774
STATE WITNESS PROTECTION COORDINATOR	00880F	1.0	87,026	1.0	87,026
CORPORAL (STATE POLICE)	00069A	13.0	1,097,955	13.0	1,097,955
EXECUTIVE ASSISTANT TO THE CHAIRPERSON	00829A	1.0	78,123	1.0	78,123
PROJECT MANAGER	04930A	1.0	78,039	1.0	78,039
NETWORK TECHNICAL SPECIALIST	04926A	2.0	150,991	2.0	150,991
DETECTIVE TROOPER	00082A	39.0	3,021,436	39.0	3,021,436
SENIOR MONITORING AND EVALUATION	05525A	1.0	73,383	1.0	73,383
CRIMINAL CASE COORDINATOR	05225A	1.0	71,226	1.0	71,226
SENIOR TROOPER	00081A <sup>7</sup>	43.0	3,147,797	43.0	3,147,797
OPERATIONS/MAINTENANCE COORDINATOR	00129A	1.0	65,886	1.0	65,886
INVESTIGATOR (STATE POLICE)	00826A	1.0	64,381	1.0	64,381
ADMINISTRATIVE OFFICER	04922A	2.0	112,899	2.0	115,564
TECHNICAL SUPPORT PROGRAMMER	04926A	1.0	56,180	1.0	56,180
ADMINISTRATIVE ASSISTANT	04920A	3.0	157,819	3.0	157,819
COMPUTER PROGRAMMER	00825A	1.0	51,598	1.0	53,424
TECHNICAL STAFF ASSISTANT - OPERATIONS	04920A	1.0	51,158	1.0	51,158
TROOPER (STATE POLICE)	00080A	94.0	4,621,087	94.0	5,537,669
ELECTRONICS TECHNICIAN	04920A	1.0	46,710	1.0	46,710
LEAD INFORMATION SYSTEMS SPECIALIST	04924A <sup>6</sup>	1.0	46,394	1.0	48,119
TELECOMMUNICATOR	04917A	6.0	265,976	6.0	265,976
CLERK SECRETARY	05114A	1.0	42,911	1.0	42,911
PRINCIPAL CONFIDENTIAL TRANSCRIBER (STATE	04616A	1.0	40,789	1.0	40,789
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	00819A	1.0	40,734	1.0	40,734
UTILITY MAINTENANCE TECHNICIAN	04911A	6.0	203,626	6.0	205,486
TRAINEE TROOPER	00405W	-	572,000	-	-
<b>Subtotal</b>		<b>298.0</b>	<b>\$22,715,159</b>	<b>298.0</b>	<b>\$23,070,666</b>

# Personnel

## Department Of Public Safety State Police

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Interdepartmental Transfer		-	570,465	-	570,465
Overtime		-	3,255,978	-	3,078,591
Road Construction Detail Reimbursements		-	2,100,000	-	2,100,000
Turnover		-	(926,617)	-	(886,795)
<b>Subtotal</b>		-	<b>\$4,999,826</b>	-	<b>\$4,862,261</b>
<b>Total Salaries</b>		<b>298.0</b>	<b>\$27,714,985</b>	<b>298.0</b>	<b>\$27,932,927</b>
<b>Benefits</b>					
Payroll Accrual			140,536		146,384
Holiday			1,340,914		1,379,654
FICA			622,992		603,305
Retiree Health			7,904,225		7,452,735
Health Benefits			3,439,282		4,136,166
Retirement			3,495,888		4,108,382
Contract Stipends			1,570,853		1,725,636
<b>Subtotal</b>			<b>\$18,514,690</b>		<b>\$19,552,262</b>
<b>Total Salaries and Benefits</b>		<b>298.0</b>	<b>\$46,229,675</b>	<b>298.0</b>	<b>\$47,485,189</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$155,133</b>		<b>\$159,346</b>
<b>Statewide Benefit Assessment</b>			<b>\$486,500</b>		<b>\$507,174</b>
<b>Payroll Costs</b>		<b>298.0</b>	<b>\$46,716,175</b>	<b>298.0</b>	<b>\$47,992,363</b>
<b>Purchased Services</b>					
Legal Services			181		200
Other Contracts			12,458		12,600
Buildings and Ground Maintenance			1,435		1,500
Training and Educational Services			17,155		12,300
Design and Engineering Services			446		450
Medical Services			159,913		33,500
<b>Subtotal</b>			<b>\$191,588</b>		<b>\$60,550</b>
<b>Total Personnel</b>		<b>298.0</b>	<b>\$46,907,763</b>	<b>298.0</b>	<b>\$48,052,913</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		280.0	\$41,235,137	280.0	\$42,417,754
Federal Funds		9.0	\$1,757,748	9.0	\$1,642,770
Restricted Receipts		-	\$150,000	-	\$150,000
Operating Transfers from Other Funds		8.0	\$3,575,729	8.0	\$3,666,183
Other Funds		1.0	\$189,149	1.0	\$176,206
<b>Total All Funds</b>		<b>298.0</b>	<b>\$46,907,763</b>	<b>298.0</b>	<b>\$48,052,913</b>

# Personnel

## Department Of Public Safety State Police

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
5	1.0 FTE Trooper assigned to T.F. Green Airport.				
6	1.0 additional FTE for Lead Information Systems Specialist Position, to be financed beginning in FY 2015. This critically needed position will work alongside the State Police Management Information Systems Unit to assist all agencies in the Department.				
7	Gaming Enforcement Unit consisting of 1.0 Lieutenant, 1.0 Corporal, and 6.0 Detectives to monitor gaming at Twin River Casino, paid by the Department of Revenue.				



# Performance Measures

## Department Of Public Safety State Police

### *State Police Calls for Service*

The Rhode Island State Police (RISP) receive calls for service through 911 and \*77 from a mobile phone. The figures below represent the number of calls for service received by the RISP.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	72269	98714	83152	20575	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Seat Belt Enforcement*

RISP is charged with enforcing the state's primary seat belt law (RIGL 31-22-22), which took effect on June 30, 2011. This law requires small children to be harnessed in a federally approved child restraint system, and all other vehicle occupants to wear a safety belt and/or shoulder harness system. The figures below represent the number of seat belt citations issued by the RISP.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	1361	13650	8159	2448	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Speeding Citations*

RISP patrols state highways to ensure that traffic laws are obeyed and to promote traffic safety efforts. The figures below represent the number of speeding citations issued by the RISP.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	19205	23230	19809	4835	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Driving Under the Influence (DUI) Arrests*

One of RISP's primary functions is enforcing the state's DUI laws. Keeping impaired drivers off of Rhode Island roadways allows the motoring public a safe route to and from where they are travelling. The figures below represent the number of DUI arrests made by the RISP.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	459	551	486	144	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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Department Of Public Safety  
Capitol Police Rotary

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## **Program Mission**

To protect property and ensure the safety of the employees who use state-owned buildings, as well as to protect the public that enter these facilities daily to conduct business.

## **Program Description**

This Program supplies security services to the Department of Human Services; Department of Children Youth and Families; Division of Motor Vehicles; Operator Control at the Pastore Complex, and; the Department of Transportation. The program is reimbursed from other departments through this rotary account.

## **Statutory History**

The Director of Administration is authorized by R.I.G.L 35-5 to establish a system of rotating funds in any state department or agency.

# The Budget

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## Department Of Public Safety Capitol Police Rotary

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	852,669	869,583	872,233	1,019,921	1,060,301
Internal Services	<i>[852,669]</i>	<i>[869,583]</i>	<i>[872,233]</i>	<i>[1,019,921]</i>	<i>[1,060,301]</i>
<b>Total Expenditures</b>	<b>\$852,669</b>	<b>\$869,583</b>	<b>\$872,233</b>	<b>\$1,019,921</b>	<b>\$1,060,301</b>
<b>Expenditures By Object</b>					
Personnel	852,621	869,529	815,554	1,019,921	1,060,301
Operating Supplies and Expenses	48	54	56,679	-	-
<b>Subtotal: Operating Expenditures</b>	<b>852,669</b>	<b>869,583</b>	<b>872,233</b>	<b>1,019,921</b>	<b>1,060,301</b>
<b>Total Expenditures</b>	<b>\$852,669</b>	<b>\$869,583</b>	<b>\$872,233</b>	<b>\$1,019,921</b>	<b>\$1,060,301</b>
<b>Expenditures By Funds</b>					
Other Funds	852,669	869,583	872,233	1,019,921	1,060,301
<b>Total Expenditures</b>	<b>\$852,669</b>	<b>\$869,583</b>	<b>\$872,233</b>	<b>\$1,019,921</b>	<b>\$1,060,301</b>

# Personnel

## Department Of Public Safety Capitol Police Rotary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CAPITOL POLICE OFFICER	00321A <sup>8</sup>	11.0	507,152	11.0	507,152
<b>Subtotal</b>		<b>11.0</b>	<b>\$507,152</b>	<b>11.0</b>	<b>\$507,152</b>
Overtime		-	150,000	-	150,000
Turnover		-	(16,489)	-	-
<b>Subtotal</b>		<b>-</b>	<b>\$133,511</b>	<b>-</b>	<b>\$150,000</b>
<b>Total Salaries</b>		<b>11.0</b>	<b>\$640,663</b>	<b>11.0</b>	<b>\$657,152</b>
<b>Benefits</b>					
Payroll Accrual			2,790		2,883
Holiday			5,000		5,000
FICA			49,660		50,947
Retiree Health			34,690		34,233
Health Benefits			139,860		156,341
Retirement			118,005		123,391
Contract Stipends			8,400		8,800
<b>Subtotal</b>			<b>\$358,405</b>		<b>\$381,595</b>
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>\$999,068</b>	<b>11.0</b>	<b>\$1,038,747</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$90,824</b>		<b>\$94,432</b>
<b>Statewide Benefit Assessment</b>			<b>\$20,853</b>		<b>\$21,554</b>
<b>Payroll Costs</b>		<b>11.0</b>	<b>\$1,019,921</b>	<b>11.0</b>	<b>\$1,060,301</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>\$1,019,921</b>	<b>11.0</b>	<b>\$1,060,301</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		11.0	\$1,019,921	11.0	\$1,060,301
<b>Total All Funds</b>		<b>11.0</b>	<b>\$1,019,921</b>	<b>11.0</b>	<b>\$1,060,301</b>

<sup>8</sup> 1.0 additional FTE in FY 2014 to post a Capitol Police Officer at 50 Service Ave, Warwick as of December 2013.

# Agency

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## Office Of Public Defender

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### Agency Mission

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

### Agency Description

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

### Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

# The Budget

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## Office Of Public Defender Central Management

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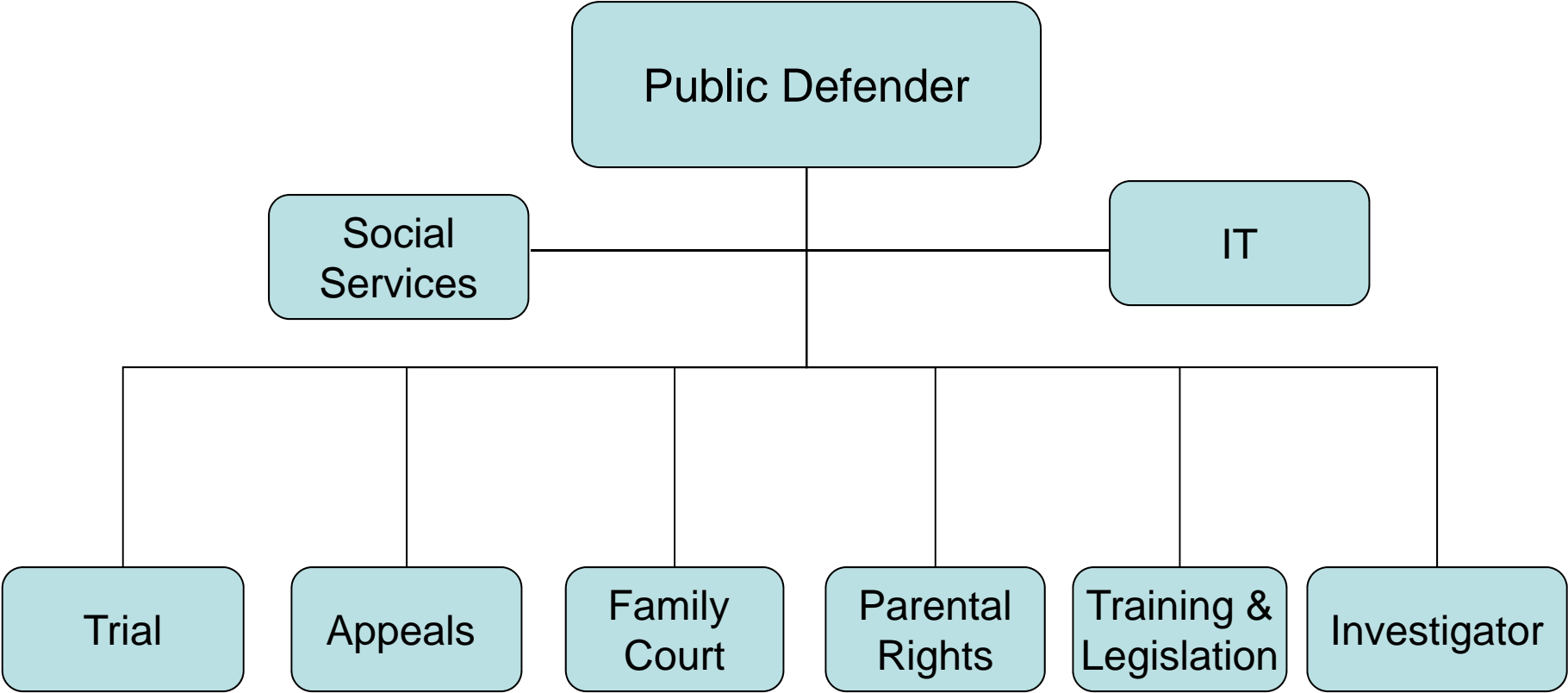
	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	10,560,860	10,651,421	11,326,682	11,142,497	11,379,680
<b>Total Expenditures</b>	<b>\$10,560,860</b>	<b>\$10,651,421</b>	<b>\$11,326,682</b>	<b>\$11,142,497</b>	<b>\$11,379,680</b>
<b>Expenditures By Object</b>					
Personnel	9,567,553	9,606,345	10,218,257	10,070,828	10,316,805
Operating Supplies and Expenses	832,970	933,922	984,258	962,502	959,125
Assistance and Grants	115,535	57,311	75,000	60,000	60,000
<b>Subtotal: Operating Expenditures</b>	<b>10,516,058</b>	<b>10,597,578</b>	<b>11,277,515</b>	<b>11,093,330</b>	<b>11,335,930</b>
Capital Purchases and Equipment	44,802	53,843	49,167	49,167	43,750
<b>Total Expenditures</b>	<b>\$10,560,860</b>	<b>\$10,651,421</b>	<b>\$11,326,682</b>	<b>\$11,142,497</b>	<b>\$11,379,680</b>
<b>Expenditures By Funds</b>					
General Revenue	10,166,398	10,472,687	11,034,686	10,885,411	11,130,816
Federal Funds	394,462	178,734	291,996	257,086	248,864
<b>Total Expenditures</b>	<b>\$10,560,860</b>	<b>\$10,651,421</b>	<b>\$11,326,682</b>	<b>\$11,142,497</b>	<b>\$11,379,680</b>

# The Agency

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## Rhode Island Public Defender

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# Personnel

## Office Of Public Defender Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PUBLIC DEFENDER	00845A	1.0	134,793	1.0	139,957
CHIEF/TRIAL DIVISION	00840A	1.0	121,983	1.0	121,983
STAFF ATTORNEY VII	00840A	1.0	120,532	1.0	121,983
TRAINER/ASSISTANT PUBLIC DEFENDER	00839A	2.0	233,187	2.0	233,187
DEPUTY PUBLIC DEFENDER	00843A	1.0	116,190	1.0	121,132
ASSISTANT PUBLIC DEFENDER	00837A	1.0	103,608	1.0	103,608
ASSISTANT PUBLIC DEFENDER I	00836A	6.0	596,668	6.0	596,668
DIRECTOR OF PUBLIC INFORMATION	00839A	1.0	93,187	1.0	97,162
ASSISTANT PUBLIC DEFENDER II	00834A	7.0	612,639	7.0	612,639
EXECUTIVE ASSISTANT	00833A	1.0	84,379	1.0	84,379
ASSISTANT PUBLIC DEFENDER III	00832A	5.0	388,321	5.0	388,321
CHIEF INVESTIGATOR (PUBLIC DEFENDER)	00828A	1.0	72,822	1.0	72,822
FISCAL MANAGEMENT/ADMINISTRATIVE OFFICER	00829A	1.0	69,180	1.0	69,180
STAFF ATTORNEY II	00830A	14.0	961,112	14.0	969,435
CASEWORK SUPERVISOR	00826A	1.0	65,365	1.0	67,999
ASSISTANT PUBLIC DEFENDER IV	00828A	10.0	596,546	10.0	615,880
ADMINISTRATIVE SUPPORT SPECIALIST	05424A	1.0	58,312	1.0	58,312
INVESTIGATOR I	05423A	2.0	114,972	2.0	114,972
CASE MANAGEMENT COORDINATOR	05019A	5.0	277,634	5.0	277,634
DEPUTY CHIEF INVESTIGATOR	05426A	1.0	52,367	1.0	54,301
SOCIAL SERVICES CASEWORKER	05021A	5.0	247,256	5.0	251,703
INVESTIGATOR II	05421A	3.0	145,021	3.0	146,221
ADMINISTRATIVE ASSISTANT	05420A	2.0	96,541	2.0	96,541
SUPERVISING CLERK	05418A	1.0	45,958	1.0	45,958
ADMINISTRATIVE SECRETARY	05417A	4.0	173,957	4.0	173,957
SUPERVISING CLERK	00818A	1.0	42,056	1.0	43,109
CONFIDENTIAL SECRETARY	00817A	1.0	41,784	1.0	41,784
LEGAL SECRETARY I	05415A	4.0	165,196	4.0	165,196
CLERICAL	05413A	4.0	147,632	4.0	148,142
LEGAL SECRETARY II	05413A	4.0	143,030	4.0	144,526
DATA ENTRY AIDE	05410A	1.0	32,027	1.0	34,258
<b>Subtotal</b>		<b>93.0</b>	<b>\$6,154,255</b>	<b>93.0</b>	<b>\$6,212,949</b>



# Personnel

## Office Of Public Defender Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Temporary and Seasonal		-	35,658	-	60,222
Turnover		-	(124,090)	-	(124,540)
<b>Subtotal</b>		-	<b>(\$88,432)</b>	-	<b>(\$64,318)</b>
<b>Total Salaries</b>		<b>93.0</b>	<b>\$6,065,823</b>	<b>93.0</b>	<b>\$6,148,631</b>
<b>Benefits</b>					
Payroll Accrual			34,496		34,236
FICA			464,036		470,371
Retiree Health			428,854		415,033
Health Benefits			1,006,439		1,116,891
Retirement			1,508,366		1,551,138
<b>Subtotal</b>			<b>\$3,442,191</b>		<b>\$3,587,669</b>
<b>Total Salaries and Benefits</b>		<b>93.0</b>	<b>\$9,508,014</b>	<b>93.0</b>	<b>\$9,736,300</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$101,853</b>		<b>\$104,044</b>
<b>Statewide Benefit Assessment</b>			<b>\$257,798</b>		<b>\$261,317</b>
<b>Payroll Costs</b>		<b>93.0</b>	<b>\$9,765,812</b>	<b>93.0</b>	<b>\$9,997,617</b>
<b>Purchased Services</b>					
Information Technology			16,920		16,920
Clerical and Temporary Services			231,443		243,064
Legal Services			30,000		30,000
Other Contracts			26,221		28,772
Buildings and Ground Maintenance			432		432
<b>Subtotal</b>			<b>\$305,016</b>		<b>\$319,188</b>
<b>Total Personnel</b>		<b>93.0</b>	<b>\$10,070,828</b>	<b>93.0</b>	<b>\$10,316,805</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		92.6	\$9,884,969	92.6	\$10,139,168
Federal Funds		0.4	\$185,859	0.4	\$177,637
<b>Total All Funds</b>		<b>93.0</b>	<b>\$10,070,828</b>	<b>93.0</b>	<b>\$10,316,805</b>

# Performance Measures

## Office Of Public Defender Central Management

### *Investigations -- Felony Cases*

Rhode Island Public Defender investigators perform investigations in numerous types of cases. The National Legal Aid and Defender Association sets standards that include a requirement that, "Counsel has a duty to conduct an independent investigation regardless of the accused's admissions or statements to the lawyer of facts constituting guilt. The investigation should be conducted as promptly as possible." For that reason, the agency has determined that percentage of cases including an independent investigation is a reasonable proxy measure of quality. The figures below represent the investigation percentage in felony cases.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	12%	13%	14%
<b>Actual</b>	--	12%	12.7%	11.6%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Misdemeanor Caseloads*

Attorney caseload is a surrogate indicator of the quality of indigent legal representation provided. National caseload standards call for an attorney to handle no more than 150 felonies per attorney per year and no more than 400 misdemeanors per year. The figures below represent the percentage by which the average attorney caseload exceeds the national standards for misdemeanors.

	2011	2012	2013	2014	2015
<b>Target</b>	0%	0%	0%	0%	0%
<b>Actual</b>	--	163%	169%	189%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Continuing Legal Education Attendance*

The Public Defender sponsors a continuing legal education (CLE) program for its legal staff. CLE attendance is a proxy indicator of quality delivery of legal services. Although continuing education is offered through the Rhode Island Bar Association and other organizations, the coursework is not specifically related to the criminal defense function. For that reason, the agency provides CLE courses tailored to the needs of its staff. The figures below represent the average percentage of the ten-hour CLE requirement fulfilled with Public Defender-sponsored courses.

	2011	2012	2013	2014	2015
<b>Target</b>	--	78.7%	80%	80%	80%
<b>Actual</b>	55%	78.7%	76.6%	--	--

Performance for this measure is reported by state fiscal year and is current as of 6/30/2013.

# **Capital Budget**

## **Public Safety**



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# Attorney General

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## Agency Responsibilities

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

## Governor's Recommendations

Building Renovations and Repairs – In December of 1995, the State of Rhode Island acquired the office building located at 150 South Main Street in Providence to serve as the main office for the Department of the Attorney General. The department has identified the following areas that are in need of repairs: HVAC upgrades, repointing/limestone repair, piping infrastructure, fire protection upgrades, roof replacement, electrical upgrades, elevator repairs and renovation of the cupola. The Governor recommends \$3.5 million from the Rhode Island Capital Plan Fund. Prior to FY 2014, \$1.6 million from the Rhode Island Capital Plan Fund had been expended for this project. The Governor recommends \$241,805 in FY 2014; \$300,000 annually in FY 2015 through FY 2017; and \$400,000 annually in both FY 2018 and FY 2019.

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# Department of Corrections

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## Agency Responsibilities

The Department of Corrections is responsible for the provision of safe, secure, and humane control of offenders. The Department's facilities are located at the Pastore Center in Cranston and include administrative buildings (including Administration and Dix) and seven inmate institutions (High Security, Maximum Security, Moran Medium Security, Minimum Security, the New Gloria McDonald and Bernadette Guay Women's facilities, and the Intake Services Center), collectively known as the Adult Correctional Institutions (ACI). All of the facilities are maintained through the Department of Corrections' capital improvement program. Major capital projects include the construction and expansion of new and replacement of secure and program support facilities; the acquisition of new or replacement support systems, such as fencing, alarm systems, and security cameras; electrical and HVAC upgrades; roof and window replacements; interior and exterior renovations; and parking lot and road repairs.

## Governor's Recommendations

All of the projects recommended by the Governor in FY 2014 through FY 2019 will be financed from the Rhode Island Capital Plan Fund. The Gloria McDonald facility (the former Reintegration Center) was previously ninety percent federally funded from the Violent Offender Incarceration-Truth in Sentencing (VOI-TIS) program. Nineteen subprojects will be financed in a single asset protection line item, while the remaining projects remain separate.

## Repair, Renovation, and Equipment Replacement Projects

Corrections Asset Protection - The Governor recommends a total of \$34.4 million from the Rhode Island Capital Plan for a variety of general repair and maintenance, safety and security, and facility enhancement projects that will preserve the correctional system's existing physical assets. Pre-FY 2014 expenditures totaled \$8.6 million; \$7.0 million is scheduled for FY 2014; \$3.8 million for FY 2015; \$4.0 million for FY 2016; \$3.0 million for FY 2017 and FY 2018; and \$5.0 million for FY 2019. The project's single appropriation is designed to provide the Department with maximum flexibility in the selection and timing of its various projects.

The following projects are under way or are under consideration by the Department for funding under asset protection:

- **Complex-Wide Facilities Capital Projects** – The Department estimates expenditure for miscellaneous smaller scale work of an immediate nature where repairs are required within the year or are important in maintaining the current operation of various facilities. Typical past repair projects have included air handling unit repairs, drain installations, and roof replacement. Projects underway include new public address systems in all facilities and laundry system replacements and improvements. New projects include window upgrades in the Administration building (\$300,000 in FY 2019 and recreation yard upgrades in Maximum (\$75,000 in FY 2014).
- **Security Camera Installation** - The Department estimates expenditures of \$4.8 million, consisting of \$1.1 million in FY 2014, \$500,000 in FY 2015, and \$800,000 a year in FY 2016-FY 2019 for the installation of surveillance cameras in and around the Intake Service Center, High Security, and Minimum Security.
- **Fire Code Renovations** – The Department estimates total expenditures of \$1.0 million; \$320,000 in FY 2014, and the remainder in FY 2015-FY 2019, for fire alarms, fire doors and partitions,

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## Department of Corrections

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electrical circuitry work, and other improvements at all facilities, as mandated by the State Fire Marshall, as well as anticipated further changes.

- Security System Replacement – The Department estimates total expenditures of \$6.2 million, \$1.2 million in FY 2014 and the remainder spent in FY 2015-post FY 2019 to replace cameras at all secure facilities, including exterior systems.
- Maintenance Garage – The Department estimates expenditures of \$44,664 in FY 2014 to complete utility installation and office renovation work on the almost complete facility.
- Minimum Infrastructure/Windows – The Department estimates expenditures of \$753,786 in FY 2014-FY 2017 to replace windows, sashes and casements to maintain the required temperature level in winter and to provide extra security due to the transfer of some inmates from the now closed Medium Price facility.
- Pastore Center Paving - The Department estimates \$500,000 in financing for FY 2014 through FY 2018 to repave Howard Avenue and the Route 2 entrance, as well as various parking lots.
- Emergency Generators – The Department estimates \$1.1 million in expenditures for FY 2015 through FY 2019 to replace emergency generators in facilities whose generators are reaching or exceeding their useful life of 25 years. This would include relocating an existing generator from Dix to Maximum Security and replacing the generator at Bernadette.
- Central Distribution Center Roof – The Department estimates a total cost of \$1.4 million in FY 2014 to replace the existing roof and freezer box and renovate other areas in the CDC annex (basement, offices, loading dock) in order to resolve several deficiencies in the building's freezer section that have been identified consequent to the January 2011 roof collapse. The latter's repair and equipment (cold storage box) replacement costs will be covered in part by insurance in addition to Rhode Island Capital Plan Fund resources (\$725,622).
- Hot Water Converters – The Department estimates \$450,000 in financing for FY 2014 through FY 2018 to replace aging and leaking water tanks and ancilliary pumps and valves at various housing units.
- Hot Water Distribution Equipment – The Department estimates expenditures totaling \$802,200 in FY 2014 through FY 2018 to replace aging equipment throughout the complex.
- Medium Security Moran Gatehouse – The Department estimates \$175,000 in expenditures for FY 2015 and FY 2016 to design and construct a new access door opposite the current site to avoid conflict with visitor and inmate entrance/egress and the weapon storage area.
- Maximum Security Railing Extensions – The Department estimates total expenditures of \$1.1 million beginning in FY 2015 to increase the length of railings and to close the distance between bars to comply with state building code requirements.
- High Security Interior Renovations – the Department estimates \$1.0 million in financing will be needed in FY 2014 through FY 2018 to replace flooring, remove deteriorated carpets, carpentry and window upgrades for control centers, fix cell door food traps, and renovate showers and bathrooms.

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## Department of Corrections

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- High Security Cooling Tower – The Department estimates \$90,911 in FY 2014 expenditures to complete the replacement of current systems with a one piece system to increase efficiency at a lower cost.
- Intake Service Center Roof Replacement - The Department estimates \$1.6 million in financing in FY 2014 to complete the replacement of the south roof. The project is 60 percent complete. The Department also estimates \$300,000 to repair a developing sinkhole in the facility.
- Complex-Wide A/E Mechanical and Architectural Work – The Department estimates total expenditures of \$563,680 in FY 2014 through FY 2019 to develop designs for all such projects as required for building code approval and bid process eligibility.
- Complex-Wide HVAC – The Department requests \$933,000 for FY 2014 through FY 2019 to repair, replace or upgrade several heating and air conditioning systems to a higher standard of efficiency.

General Renovations - Maximum - The Governor recommends expenditures of \$4.2 million to complete the current project scope. Pre-FY 2014 expenditures total \$950,231. The project scope includes the replacement of the buildings electrical and distribution systems, renovation of the outside segregation yard, installation of a new emergency generator, renovations of the plumbing system, repointing, and renovations to the arsenal/armory area. The Governor recommends additional expenditures to insulate basement steam pipes to prevent damage to newly installed electrical equipment. The Governor recommends financing of \$1.3 million in FY 2014; \$715,433 in FY 2015; \$400,000 in FY 2016; \$575,000 in FY 2017; and \$275,000 in FY 2018.

Minimum Security Renovations – The Governor recommends total expenditures of \$10.2 million, including \$499,992 in FY 2014, \$4.2 million in FY 2015, \$3.5 million in FY 2016 and \$2.0 million in FY 2017 to expand the current kitchen facility and install new equipment. This project will increase the current 500-seat capacity of the kitchen and replace equipment in disrepair. Because of the closure of Medium Price facility and the transfer of some medium security inmates to the Minimum Security facility, an additional \$1.5 million has been added to this project to install and upgrade perimeter fencing and to make other repairs, including bathroom improvements. The project has been expanded to include a new intake and support services building to be located in the rear of the current facility. The new building would include a visiting room, an indoor gym, laundry facilities, and a control center.

Medium Infrastructure – The Governor recommends \$16.2 million to expand the showers, laundry, kitchen and dining areas; replace heat exchangers, heat pumps, HVAC coils, chiller units and support equipment; and replace the roof. The expanded project will accommodate the increase in the inmate population now housed at the Medium-Moran facility. The Governor recommends financing of \$1.3 million in FY 2014; \$4.8 million in FY 2015; \$4.7 million in FY 2016; \$3.4 million in FY 2017; and \$2.0 million in FY 2018.

Intake Service Center – Exterior Envelope/HVAC Restoration – The Governor recommends expenditure of \$9.4 million, with \$286,102 in prior expenditures; \$2.4 million in FY 2014; \$3.9 million in FY 2015; and \$2.8 million in the post FY 2019 period for this project to restore the exterior façade of the center, including patching, joint sealing, and resealing brick veneers, as well as to replace all windows and casements damaged by water. Combined with this project is the renovation of the HVAC system,



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## Department of Corrections

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including new chillers, cooling tower, and tubing, and the replacement of heat exchangers, hot water equipment, and circulating pumps. The project also includes replacement of the door and locking system and expansion of the parking lots.

Data and Telecommunication Upgrades – The Governor recommends \$2.0 million in funds from the Information Technology Investment Fund in FY 2016 and FY 2017 to replace the Department’s existing telecommunications system to address capacity shortages.

### **Construction, Relocation and Expansion Projects**

New Gloria McDonald Women’s Facility Renovations – A total of \$15.6 million has been spent pre-FY 2014 on the construction of a Reintegration Center, including \$12.4 million in federal (VOI-TIS) funds and \$3.2 million from the Rhode Island Capital Plan Fund, including arbitration costs. The Governor recommends the renovated facility (formerly intended as a reintegration facility) serve as a women’s prison.

The Governor recommends converting this previously vacant building to a new women’s facility in order to address deficiencies in program space, segregation concerns, and security issues in the former Dix and McDonald facilities. To complete this project, the Governor recommends expenditures of \$220,022 in Rhode Island Capital Plan funds in FY 2014 to complete bathroom, bathing area, and cell modifications, alleviation of privacy concerns, as well as acquisition of furniture and other equipment. The building capacity is sufficient to house the 168 female inmates previously housed at the Dix and McDonald facilities. Because additional program space will be required, the Governor also recommends the use of the neighboring Bernadette Guay building to house Women’s Facilities programs and activities, as well as renovation of the existing Dix facility for office space. These projects are discussed separately below.

The Governor also recommends \$3.3 million in FY 2018 and FY 2019 to construct an ancillary support building to accommodate indoor recreation and classroom spaces that are required by national standards, as well as replacement of the current kitchen floor.

Dix Renovations (formerly Women’s Roof, Masonry, and General Renovations) – The Governor recommends financing of \$5.0 million in Rhode Island Capital Plan Fund resources, which includes roof replacement; installation of a new gutter system; and replacement of water-damaged ceilings, interior walls and aged electrical systems. This project also includes repointing of all exterior masonry and waterproofing basement grade levels. In addition, as part of the relocation of female inmates from the Dix and Gloria McDonald facilities, the Governor recommends expenditures to fund renovations of the Dix facility for re-use as office space. Staff from Probation and Parole, Education Unit, and Tactical Team personnel from the Bernadette Guay building, as well as the Correctional Officer Training Academy, the Planning and Research Unit, and Home Confinement from the Pinel Building, will move to this newly renovated space. The Governor recommends financing of \$1.2 million in FY 2014; \$850,000 in FY 2015; \$200,000 in FY 2016; and \$1.3 million in FY 2017. Prior to FY 2014, \$1.4 was expended on this project.

Dix Bath (formerly Women’s Plumbing/Bath Renovations) – The Governor recommends financing of \$1.5 million in Rhode Island Capital Plan Fund resources for renovations to the bath and shower facilities in the Dix and Gloria McDonald buildings and renovation of the disciplinary wing to include electrical and plumbing repairs. In order to convert the Dix building’s bath facilities from a secure facility

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## Department of Corrections

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environment to an office environment, the Governor recommends financing of \$364,673 in FY 2014 to complete the project. Prior to FY 2014, \$1.1 million was expended on this project.

Bernadette Guay Renovations (formerly Roof and Infrastructure Improvements - Bernadette Guay Building) – The Governor recommends financing of \$2.3 million in Rhode Island Capital Plan Fund resources on renovations and repairs planned for this facility, which include roof and window replacement, air conditioning, electric, heating and plumbing repairs, and parking area repavement. In order to meet additional requirements arising from the conversion of the facility to house minimum security and work release female inmates, to include new showers, dining, visitation and program class space, the Governor recommends financing of \$596,556 in FY 2014; \$896,556 in FY 2015; and \$100,000 in both FY 2016 and FY 2017. Prior to FY 2014, \$585,034 was expended on this project.

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# Judicial

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## Agency Responsibilities

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal and Worker's Compensation Courts are trial courts of special jurisdiction. The Traffic Tribunal was created during the 1999 legislative session to replace the Administrative Adjudication Court.

The Rhode Island court system is state-operated, except for the probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the Rhode Island court system. Each court has responsibility over its own operations and has a chief judge, who appoints an administrator to handle internal court management. The Chief Judge of the District Court serves as the Chief Judge of the Traffic Tribunal.

The Judicial Department has maintenance and repair responsibility for six court buildings located in various parts of Rhode Island. Prior to FY 2005, the Department of Administration had responsibility for the supervision and maintenance of all courthouse buildings. In FY 2005, the General Assembly transferred this responsibility to the Judiciary.

## Governor's Recommendations

Judicial Complexes HVAC Repairs - The Governor recommends expenditures of \$8.0 million to restore or replace heating, ventilation and air conditioning systems, as necessary, at the Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal and Fogarty courthouses. In FY 2014, the Judiciary intends to expend \$425,000 for architectural and engineering services in addition to installation of two air handler units in the Garrahy complex. Subsequent year's projects include more air handling unit replacement at Garrahy, replacement of make-up air units at the Fogarty Complex, and new chillers at Garrahy. Amounts recommended by year are as follows: FY 2014 - \$622,271; FY 2015 - \$525,000; FY 2016 - \$750,000; and FY 2017 through FY 2019 - \$900,000 annually. Total expenditures prior to FY 2014 total \$3.4 million. These projects are financed from the Rhode Island Capital Plan Fund.

Asset Protection - The Governor recommends expenditures of \$9.7 million from the Rhode Island Capital Plan Fund for asset protection projects at various courthouses. The 2006 General Assembly reclassified numerous projects in the Judiciary as Asset Protection with the intent of more accurately reflecting the nature of the projects and allowing for greater flexibility in prioritizing projects. The Judiciary's asset protection list includes security upgrades, courtroom restorations, restroom renovations, interior refurbishments to public areas and office space, elevator upgrades, and exterior courthouse refurbishments. In FY 2014, Rhode Island Capital Plan Fund financing will include real-time security enhancements for courtrooms, entrance ways and hearing rooms, replacement of Halon fire suppression systems with new sprinkler systems, and interior and exterior restorations. Elevator replacements at four court complexes will resume in FY 2017. The amounts recommended by year are as follows: FY 2014 - \$832,719; FY 2015 - \$825,000; FY 2016 - \$850,000; FY 2017 - \$875,000; FY 2018 - \$950,000; and FY 2019 - \$950,000. Total expenditures prior to FY 2014 total \$4.5 million. The renovations are financed from the Rhode Island Capital Plan Fund.

Judicial Technology Improvements - The Governor recommends \$3.9 million from the Information Technology Investment Fund, pending availability of financing, to complete and implement its new case management system. This system will allow data entry at all phases of the judicial process, including judge

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## Judicial

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assignments, mass docketing, case tracking, scheduling and generation of forms. External parties will be able to file documents with Court Clerks over the internet through 'e-file' and online payments of court fees will be available through the online payment portal. The system will provide a clear audit trail for account management and financial reporting, in addition to expediting cases through the Court system. Financing is budgeted \$1.5 million in FY 2015, \$1.5 million in FY 2016 and \$934,221 in FY 2017. Previous years' technological upgrades were financed with Certificates of Participation.

Licht Judicial Complex Restoration – The Governor recommends expenditures of \$5.0 million from the Rhode Island Capital Plan Fund for restoration and renovation of the Licht Judicial complex. These funds will be used to repair decaying plaster; replace or renovate courtroom benches; replace carpeting; and repaint the courthouse interior, beginning in FY 2016. The interior woodwork and plaster restoration is especially labor intensive due to the ornate quality of the building's original accoutrements. The amounts recommended by year are as follows: FY 2017 – \$1.0 million; FY 2018 - \$1.5 million, FY 2019 - \$500,000; and \$1.5 million in the post FY 2019 period. Expenditures prior to FY 2014 total \$499,998.

Murray Complex Cell Block Renovation – The Governor recommends expenditures of \$919,999 in Rhode Island Capital Plan Fund financing to complete the restoration of the Murray Complex Cell Block in Newport. The cell blocks are original to the building and do not meet the requirements of modern day prisoners, including separation of men from women, juveniles from adults, and secure toilet facilities, putting at risk the security and health of court personnel and prisoners. The project was complete in the August, 2013 with FY 2014 financing in the amount of \$730,293. Expenditures prior to FY 2014 total \$189,706.

Noel Complex Shelled Courtroom Build-out- The Governor recommends expenditures of \$8.4 million in Rhode Island Capital Plan Fund financing for a new project – the build-out of unfinished courtroom space at the Noel Judicial Complex in Warwick. The interior completion of a space large enough for three courtrooms was not completed during the initial construction in FY 2007. The Governor recommends build-out of the space, in part to serve the growing South County population currently served by the McGrath Complex in Wakefield, and to relieve the overcrowded Garrahy Complex in Providence. The build-out will also include addition to the existing parking structure, which is at near full capacity for the courtrooms currently in use. The Governor recommends \$2.0 million in FY 2019 and \$6.4 million in the post FY 2019 period.

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# Military Staff

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## Agency Responsibilities

The Adjutant General is responsible for fourteen armories, four air support facilities, two training sites, and six logistical sites, including four organizational maintenance shops (OMS) and one combined support maintenance shop (CSMS). The armories include the Armory of Mounted Commands and the Benefit Street Arsenal, both located in Providence, and eleven other armories located in Bristol, Warren, Middletown, North Smithfield, East Greenwich (4), Warwick, and Cranston (2). In general, the armories are operated and fully maintained with state funds. The exceptions are the Warwick and East Greenwich armories, which are maintained with 75 percent federal funds and 25 percent general revenue funds.

The four aviation support facilities are located at Quonset Point (2), Coventry, and North Smithfield. Quonset Point houses two facilities, one operated by the Army National Guard (Quonset Armory) and one by the Air National Guard. These facilities serve as maintenance facilities, unit headquarters, meeting places, and equipment/personal effects storage areas for Army Guard and Air Guard units. These facilities are maintained with 75 percent federal funds and 25 percent general revenue funds.

The Military Staff operates two training camps, Camp Varnum and Camp Fogarty. These facilities include physical fitness equipment, an obstacle course, and firearms training. Camp Varnum also houses the Rhode Island Military Academy. The training camps are maintained with federal funds.

The National Guard operates six logistical sites including three OMS shops; the U.S. Property and Fiscal Office located adjacent to the Armory of Mounted Commands; an Army Aviation Support Facility (AASF) at Quonset Point; and a Combined Support Maintenance Shop (CSMS) in East Greenwich. These facilities are maintained with 75 percent federal funds and 25 percent general revenue funds.

Of the Guard facilities, four were built before World War I, with the oldest, the Benefit Street Arsenal, built in 1843. Two, including the Armory of Mounted Commands, date from 1925 and five more are of World War II vintage, including the hangars at the Quonset Point Air Station. Ten date from the fifties and early sixties, including the substantially rehabilitated Coventry Air Guard Station.

The Command Readiness Center, located in Cranston, houses the National Guard and currently, the RI Emergency Management (RIEMA) head-quarters. The Command Readiness Center is maintained with general revenue funds and serves as the state's centralized location for response to crisis events.

## Governor's Recommendations

Military Staff Asset Protection – The Governor recommends a total of \$5.1 million from the Rhode Island Capital Plan Fund to match \$7.8 million in National Guard Bureau funds for a variety of general repair and maintenance projects that will preserve the National Guard's existing physical assets. The financing schedule for the R.I. Capital Plan Fund is \$642,898 in FY 2014; \$1.2 million in FY 2015; \$1.2 million in FY 2016; \$179,250 in FY 2017; \$342,500 in FY 2018, and; \$550,000 in FY 2019. Federal financing in corresponding amounts is provided in each year, dependent on the project under construction as federal matching rates vary from 10.0 percent to 75.0 percent. Prior to FY 2014, \$3.6 million was expended comprised of \$887,584 in Rhode Island Capital Plan financing and \$2.7 million in federal funds. The project's single appropriation is designed to provide the agency with maximum flexibility in the selection and timing of its various projects. Currently planned projects include:

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## Military Staff

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- Warwick Armory – The Agency estimates expenditures of \$1.6 million in an approximate ratio of 75.0 percent federal and 25.0 percent Rhode Island Capital Plan Fund financing to replace a 20-year old boiler with a gas powered heating system. The bulk of the construction work would be in FY 2016, provided that financing is available.
- Camp Fogarty – The Agency estimates expenditures of \$50,000 in FY 2014 and \$100,000 in FY 2015 to replace doors and windows and to upgrade the heating and air conditioning system at Building 381 of the East Greenwich training facility.
- Warren Armory – The Agency estimates 50:50 federal to state financing totaling \$660,000 to rehabilitate the facility, including the heating system, masonry repointing, roof, door and window replacements. The project would commence in FY 2018 with \$60,000 and be complete in FY 2019 with \$600,000 budgeted.
- Command Readiness Center – The Agency estimates total expenditures of \$2.5 million to rehabilitate the center’s heating, ventilation, and air conditioning system to include a new boiler, hot water tank, and chillers; to replace the center’s roof, which is nearing the end of its useful life; and to renovate the perimeter fence. Additional funding for the roof repair is also recommended. The federal to state financing ratio is 50:50. The projects are scheduled for FY 2014 through FY 2016.
- Command Readiness Center/Schofield Armory Paving and Entry Control Facility – The Agency estimates total expenditures of \$1.2 million in FY 2014 through FY 2016 to construct new access control systems that meet federal anti-terrorism protection regulations and otherwise enhance overall safety by repairing crumbling asphalt in the parking lot. Financing is provided \$100,000 in FY 2014, \$600,000 in FY 2015, and \$500,000 in FY 2016.
- Bristol Armory Roadway and Parking Lot – The Agency requests \$580,000, split 50:50 in federal and Rhode Island Capital Plan Fund financing to install a roadway linking the armory with an outlying building. The project is slated for FY 2016.
- Middletown Roadway - The Agency estimates \$625,000 will be required in FY 2018 to replace the existing road entrance and parking lot at the Middletown Armory. Deterioration of the lot has contributed to significant damage to guard equipment and vehicles. The Governor provides 50:50 federal to state financing in FY 2018 for this project.
- Sun Valley Armory - The Agency estimates \$717,000 to replace the existing roof in FY 2017, which is at the end of its useful life and install a perimeter fence that meets federal anti-terrorism requirements at an estimated cost of \$100,000. Financing for both projects is split 75:25 federal to Rhode Island Capital Plan Fund financing.
- Armory Generators – The Agency estimates \$561,553 in federal and Rhode Island Capital Plan Fund financing to install new backup power in key facilities. The agency intends to equip as many facilities as possible in FY 2014. The federal to state financing ratio is 10:90 for these generators.

Armory of Mounted Commands - The Governor recommends continued financing for repair of the Armory of Mounted Commands (AMC), which was built in 1925. Both the first phase of AMC rehabilitation (roof replacement/masonry re-pointing), and the second phase (replacement of the original windows with

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## Military Staff

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commercial grade energy efficient windows, as well as further re-pointing and exterior power washing), have been completed. A total of \$6.1 million from both the Rhode Island Capital Plan Fund and National Guard Bureau funds have been spent to date in an approximate 50:50 ratio. The Governor recommends an additional \$2.8 million in FY 2014 through FY 2017 on the following project elements:

- **Headshed Roof Replacement** – The Governor recommends a total of \$525,000 to replace the headshed roof to prevent damage to structural members and interior finishes. The Governor recommends a 50:50 financing ratio of National Guard Bureau federal funds and Rhode Island Capital Plan Fund financing, beginning in FY 2014.
- **Repointing**: The Governor recommends a total of \$300,000 to repoint brick and mortar joints to prevent deterioration due to water entry and freezing. The project is expected to be complete in FY 2014.
- **Latrines and plumbing improvements**: The Governor recommends \$650,000 beginning in FY 2015 to replace non-working latrines and provide adequate delineation of male and female facilities.
- **Parking Lot**: The Governor recommends \$400,000 to repair and expand the existing parking areas beginning in FY 2015 and completing by FY 2017.
- **Elevator**: The Governor recommends \$950,000 to install an elevator in the four story building to bring it into compliance with the Americans with Disabilities Act. The project is slated for FY 2017.

In total, the Governor recommends expenditure on all project elements of \$420,000 in FY 2014, \$600,000 in FY 2015, \$715,000 in FY 2016, and \$1.1 million in FY 2017.

Benefit Street Arsenal - The Governor recommends expenditures from the Rhode Island Capital Plan Fund of \$425,000 in FY 2014 and \$375,000 in FY 2015, to preserve and maintain this historic building. The Governor recommends expenditure for the replacement of the current 80 year-old electrical system, exterior work, (repair the turret, maintain the roof, repair masonry, paint the exterior, and replace windows), and interior work (asbestos abatement, door replacement, a new boiler, and installation of fire alarms and a fire escape).

Camp Fogarty Armory Roof – The Governor recommends \$1.5 million in FY 2014 to replace the existing roof of the Camp Fogarty Armory. The facility currently houses 500 troops and suffers from persistent leaks and water accumulation, leading to buckling of floors and compromised computer equipment. Funding for the project is 75 percent National Guard Bureau federal funds (\$1.1 million) and 25 percent from the Rhode Island Capital Plan Fund (\$406,367).

Fire Code Compliance – The Governor recommends total federal and Rhode Island Capital Plan Fund financing of \$843,211 in FY 2014 through FY 2017 to complete the installation of fire alarm detection suppression systems that meet standards set by new State Fire laws and regulations. The project is divided into three components:

- Six state armories, \$652,740 in total (50 percent state, 50 percent federal). \$478,953 has been spent to date.

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## Military Staff

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- Six federal armories, \$145,822 total, (25 percent state, 75 percent federal). \$107,722 has been spent to date.
- Five Logistics and Maintenance Facilities, \$105,448 total, (25 percent state, 75 percent federal). \$60,448 has been spent to date.

Command Readiness Center Addition – The Governor recommends the combined expenditure of \$468,458 in FY 2014 to complete the 1,500 square foot addition to the cafeteria at the Command Readiness Center to allow more space for operations, including the transfer of computer servers and personnel from trailers. Prior to FY 2014, \$1.1 million was expended. The project also includes a portion of the roof, with remaining funding to come from the Asset Protection line item. The Governor recommends expenditure from the Rhode Island Capital Plan Fund of \$168,458, \$200,000 in Federal Homeland Security financing, and \$100,000 in National Guard Bureau financing.

Bristol Readiness Center Study – The Governor recommends \$125,000 in Rhode Island Capital Plan Fund financing to conduct a project feasibility study for a new readiness center capable of supporting two modern Army National Guard units and a new field maintenance shop in Bristol. The feasibility study portion of the project would be conducted in FY 2017. The architectural, engineering and construction portions of the project would be determined once the feasibility study is completed.

Burrillville Regional Training Institute – The Governor recommends \$30,290 in Rhode Island Capital Plan Fund financing to complete environmental remediation and feasibility studies for a new state of the art training facility near the grounds of the current Zambarano Hospital. The proposed facility would provide sufficient space to train National Guardsmen in land navigation, map/compass reading and other essential military skills. The new facility would replace the current Camp Varnum facility. \$150,000 in National Guard Bureau federal funds has been expended to fund an environmental condition of property studies in FY 2012. The Rhode Island Capital Plan Fund financing would finance a study that would update information on feasibility, design, and construction costs. Construction costs (yet to be determined) would be funded with 100 percent federal funds. Prior to FY 2014, \$14,210 in Rhode Island Capital Plan Fund financing has been expended.



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# Rhode Island Emergency Management Agency

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## Agency Responsibilities

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. RIEMA maintains the State Emergency Operations Center (SEOC) at the Command Readiness Center in Cranston. This center provides a central location from which all state government at any level can provide interagency coordination and executive decision-making in support of any regional incident or local event. RIEMA maintains the State Emergency Operations Plan to respond to all types of natural or manmade disasters. RIEMA is also responsible for the operation and maintenance of the State's 800 MHz interoperable radio system and network. Heading the Interoperable Communications Committee, RIEMA facilitates the coordination policy, practices and procedures applicable to radio communication networks.

## Governor's Recommendations

Emergency Management Building – The Governor recommends \$29,643 in Rhode Island Capital Plan financing in FY 2014 to complete a project feasibility study for a new facility, which would include administrative offices, janitorial and storage facilities, information technology and communications installations, parking, perimeter, controlled access, stand-off systems, dormitory, showers/rest rooms, and kitchen facilities. The proposed facility would act as a State Emergency Operations Center with emergency support function rooms. The Governor recommends construction financing beginning in FY 2015 and continuing through FY 2017 in the total amount of \$7.5 million, comprised of \$3.7 million in federal financing and \$3.7 million in Rhode Island Capital Plan financing. The feasibility study, when complete, will provide a more accurate estimate of needs and associated construction costs.

Rhode Island Statewide Communications System Network (RISCON) – The RISCON is an 800MHz interoperable radio system providing public and life safety communications to all 39 communities in the state, 20 state agencies and all hospitals, universities and federal organizations with over 10,000 end users. The Governor provides a total of \$12.0 million in financing over the plan period for urgently needed security improvements, back-up generators, tower maintenance, and HVAC improvements to avoid a system-wide failure with catastrophic results including putting first responders in real jeopardy. General revenue operating financing in the amount of \$1.0 million per year is provided for the maintenance contract on the system. The Governor also recommends \$500,000 in FY 2015 through FY 2019 in Federal Emergency Management Funds, and \$500,000 in FY 2015 through FY 2019 in other federal financing for support and improvements to the system. Rhode Island Capital Plan Fund financing, beginning in FY 2016 through FY 2019 is also provided in annual increments of \$500,000.

Hurricane Sandy – The Governor recommends a total of \$2.0 million in Rhode Island Capital Plan funds to serve as the 25 percent state match for grants from the Federal Emergency Management Agency for the Public Assistance program to repair damaged structures and infrastructure as a result of Hurricane Sandy on October 29, 2012. All counties in the central and southern parts of the state were declared federal disaster areas, and as such, were eligible to receive public assistance for damage repair and reimbursement of protective measures. The Governor recommends financing of \$1.6 million in FY 2014 and \$167,000 in FY 2015. Expenditures prior to FY 2014 total \$264,355. For reference, it is currently proposed legislatively RIEMA becoming a standalone agency, financing prior to FY 2015 appears in the Military Staff's Capital project financing pages.

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# Department of Public Safety

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## Agency Responsibilities

The Department of Public Safety is responsible for providing the citizens of Rhode Island with an increased level of safety and security. In FY 2009, the State Police, E-911 Emergency Telephone System, the State Fire Marshal, the Capitol Police, and the Municipal Police Training Academy merged into the Department of Public Safety. In FY 2012, the Sheriffs were also integrated into the Department in an effort to consolidate all safety personnel. The Colonel and Superintendent of the Rhode Island State Police is also the Commissioner of the Department of Public Safety.

## Governor's Recommendations

State Police Barrack and Training Academy Renovations - The Governor recommends \$9.8 million from the Rhode Island Capital Plan Fund and \$4.9 million from the Google Settlement (restricted receipts) for the renovation, relocation, and repair of the four Rhode Island State Police barracks located in Lincoln, Hope Valley, Wickford, and Scituate, for the continued renovation of the State Police training academy and for shower and bathroom additions for the Brooks Building off Jefferson Boulevard in Warwick. Other renovations to the four locations will include offices, lockers, electrical rewiring, heating and cooling system replacements, security upgrades, window replacements, heating/bathroom facility upgrades, painting and new aluminum siding, and providing interior remodeling for wiring and data along with eliminating asbestos tiles. The Governor recommends \$557,317 in FY 2014, \$6.4 million in FY 2015, and \$5.9 million in FY 2016. Pre-FY 2014 expenditures total \$1.8 million.

Supply and Intelligence Buildings - The Governor recommends \$1.2 million from the Rhode Island Capital Plan Fund for renovations of the Intelligence Building, the Supply Building, the Radio Bureau Building, and the Command Staff Building, all located on the State Police Headquarters site in North Scituate. The original plans for the State Police Headquarters were changed to now include some of the site's existing buildings. Due to the location change, the existing buildings must be repaired or renovated. Various renovations include installation of a HVAC system, new walls and flooring, rewiring of electrical lines, bathroom updates as well as roof, windows, door replacements and security upgrades. The Governor recommends \$565,032 in FY 2014 and \$380,768 in FY 2015. Pre-FY 2014 expenditures total \$228,607.

Parking Area Improvements - The Governor recommends \$1.8 million from the Rhode Island Capital Plan Fund for the Parking Area Improvements project. The improvements to all the barrack's parking areas include grading and resurfacing of the areas, rebuilding drainage basins, and installing security access gates. The Governor recommends financing of \$362,967 in FY 2014 and \$250,000 annually for FY 2015 through FY 2019. Expenditures prior to FY 2014 total \$130,059.

New State Police Headquarters/Training Facility – The Governor recommends \$26.6 million from the Rhode Island Capital Plan Fund for the Rhode Island State Police Public Safety Complex, which officially opened in October of 2010. The new fifty-four thousand square foot building houses the State Police Headquarters, E-911's primary answering point, and their administrative offices. In addition, the building will house a secure State Police Dispatch Center, a Criminal Investigative Unit Lab, an enclosed sally port, prisoner holding areas, primary conference and training rooms, and the Financial and Computer Crimes Division. The Governor recommends \$150,000 in FY 2014 for final close out costs including the installation of a Key Matrix system, and minimal repairs. Pre-FY 2014 expenditures total \$26.4 million.

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## Department of Public Safety

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Statewide Microwave Tower Installation Upgrade – The Governor recommends \$7.4 million from the Rhode Island Capital Plan Fund for the Statewide Microwave and Technology Upgrade project. The Statewide Microwave Upgrade project is comprised of eleven (11) radio transmission sites. It provides reliable point-to-point communications interconnecting key radio sites and state buildings. The network’s primary function is for support of land mobile radio systems for multiple state agencies (DEM, RIPTA, and DOT). The Technology project provides new telecommunications and related computer equipment for the new Rhode Island State Police/Public Safety Complex. This infrastructure will allow communications through the WAN allowing instant information from RMS, AFIS, the Rhode Island Law Enforcement System (RILETS), and the Rhode Island Criminal History System. Bidding has begun for the hardware components for the WAN network, and HVAC systems will soon be replaced. The Governor recommends \$50,000 in FY 2014 for the completion of the project. Pre-FY 2014 expenditures total \$7.4 million.

Headquarters Complex Expansion - The Governor recommends \$490,580 from the Rhode Island Capital Plan Fund for roofs, office space and restrooms to the two former National Guard buildings that are now owned by the Rhode Island State Police. These building are located adjacent to the State Police Headquarters Complex and will be utilized as a supply warehouse, evidence storage facility, offices, a processing area for vehicles, and an area for the Commercial Enforcement Unit. The Governor recommends \$237,044 in FY 2014 and \$200,000 in FY 2015. Expenditures prior to FY 2014 total \$53,536.

Fire Academy Building– The Governor recommends \$2.8 million from the Rhode Island Capital Plan Fund for the construction of a new State Municipal Fire Academy facility for the use of all municipal (professional and volunteer) fire departments in Rhode Island. This facility will include a training building, a maintenance building, and a “burn” building, affording Rhode Island firefighters the opportunity to train using the latest innovations in the field. Phase I was officially completed in December of 2011. Phase II will use Rhode Island Capital Plan Funds to complete the project. The Governor recommends Rhode Island Capital Plan Fund financing of \$216,500 in FY 2014, \$1.9 million in FY 2015, and \$674,000 in FY 2016.

Consolidated Training Academy - The Governor recommends \$9.8 million from Restricted Receipt Forfeiture Funds and \$9.8 million in Rhode Island Capital Plan Funds for the construction of a state-of-the-art consolidated training academy. With participation, the state would house a state-of-the-art Training Academy that would combine the Rhode Island State Police, the Rhode Island Municipal Police Academy, and the Providence Police Academy. The State Police and Providence Police facilities are currently in poor condition, and the Municipal Police Academy utilizes rented space at the Community College of Rhode Island – Lincoln Campus. The combined Academy would keep some of the unique training of the State Police, Providence Police, and local departments separate, but would allow for training efforts common among the three entities to be combined for greater efficiency. The Governor recommends \$400,000 in FY 2014, \$850,000 in FY 2015, \$6.7 million in FY 2016, \$8.5 million in FY 207, and \$3.1 million in FY 2018.

Radio Bureau and Three Bay Garage Renovation – The Governor recommends \$900,000 from the Rhode Island Capital Plan Fund, all of which are recommended in FY 2017, for renovations to the Radio Bureau building, which will include roof replacement, new flooring, ADA compliant bathroom, replacement of windows and doors, security upgrades, and HVAC replacement. Renovations to the three bay garages adjacent to the Radio Bureau building will include a HVAC system (two bays only), new roof, new windows, new stair access to the attic, garage door openers, exterior painting, and interior wall and floor surfaces. No funds have been expended prior to FY 2014 as this is a new project in the Capital Improvement Plan.



# **Natural Resources**



# Budget

## Natural Resources Function Expenditures

	<b>FY 2012 Actuals</b>	<b>FY 2013 Actuals</b>	<b>FY 2014 Enacted</b>	<b>FY 2014 Recommended</b>	<b>FY 2015 Recommended</b>
<b>Expenditure by Object</b>					
Personnel	53,849,063	54,185,159	63,273,386	57,936,359	58,063,690
Operating Supplies and Expenses	9,534,201	8,999,873	12,069,549	12,937,028	11,731,662
Aid to Local Units of Government	-	-	-	-	-
Assistance, Grants, and Benefits	7,454,752	4,817,673	6,107,521	6,409,965	6,175,217
<b>Subtotal: Operating Expenditures</b>	<b>\$70,838,016</b>	<b>\$68,002,705</b>	<b>\$81,450,456</b>	<b>\$77,283,352</b>	<b>\$75,970,569</b>
Capital Purchases and Equipment	9,257,046	4,238,031	39,388,973	34,953,011	24,045,039
Debt Service	-	-	-	-	-
Operating Transfers	15,883	-	50,000	50,000	50,000
<b>Total Expenditures</b>	<b>\$80,110,945</b>	<b>\$72,240,736</b>	<b>\$120,889,429</b>	<b>\$112,286,363</b>	<b>\$100,065,608</b>
<b>Expenditures by Funds</b>					
General Revenue	37,942,435	36,345,965	36,930,649	36,361,938	37,055,643
Federal Funds	25,225,812	20,322,291	40,552,324	38,447,668	33,582,224
Restricted Receipts	11,551,708	12,228,000	18,456,497	19,151,450	16,184,989
Other Funds	5,390,990	3,344,480	24,949,959	18,325,307	13,242,752
<b>Total Expenditures</b>	<b>\$80,110,945</b>	<b>\$72,240,736</b>	<b>\$120,889,429</b>	<b>\$112,286,363</b>	<b>\$100,065,608</b>
<b>FTE Authorization</b>	<b>440.0</b>	<b>428.0</b>	<b>428.0</b>	<b>428.0</b>	<b>428.0</b>

# Agency

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## Department Of Environmental Management

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### Agency Mission

The mission of the Department of Environmental Management is to protect, restore, and manage the State's natural resources while maintaining its citizens' health and safety and to motivate citizens to practice an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

### Agency Description

To ensure residents have equal access to environmental benefits and to prevent any segment of the State's population from bearing a disproportionate share of environmental risks and pollution.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic, and accessible facilities and outdoor recreation opportunities.

To ensure natural habitats are managed to maintain species biodiversity.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it, including prevent pollution and minimize waste at the source.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments.

To revitalize, protect, and restore urban areas for reuse while conserving ecologically sensitive urban areas.

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

One of the top cross-cutting priorities is improving customer service. This includes training for all staff in 2012 and development of improved systems and feedback. A critical component of this effort is the Department's LEAN government initiative which is aimed at reducing waste, standardizing processes, and producing clear, predictable, and reliable regulations.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.



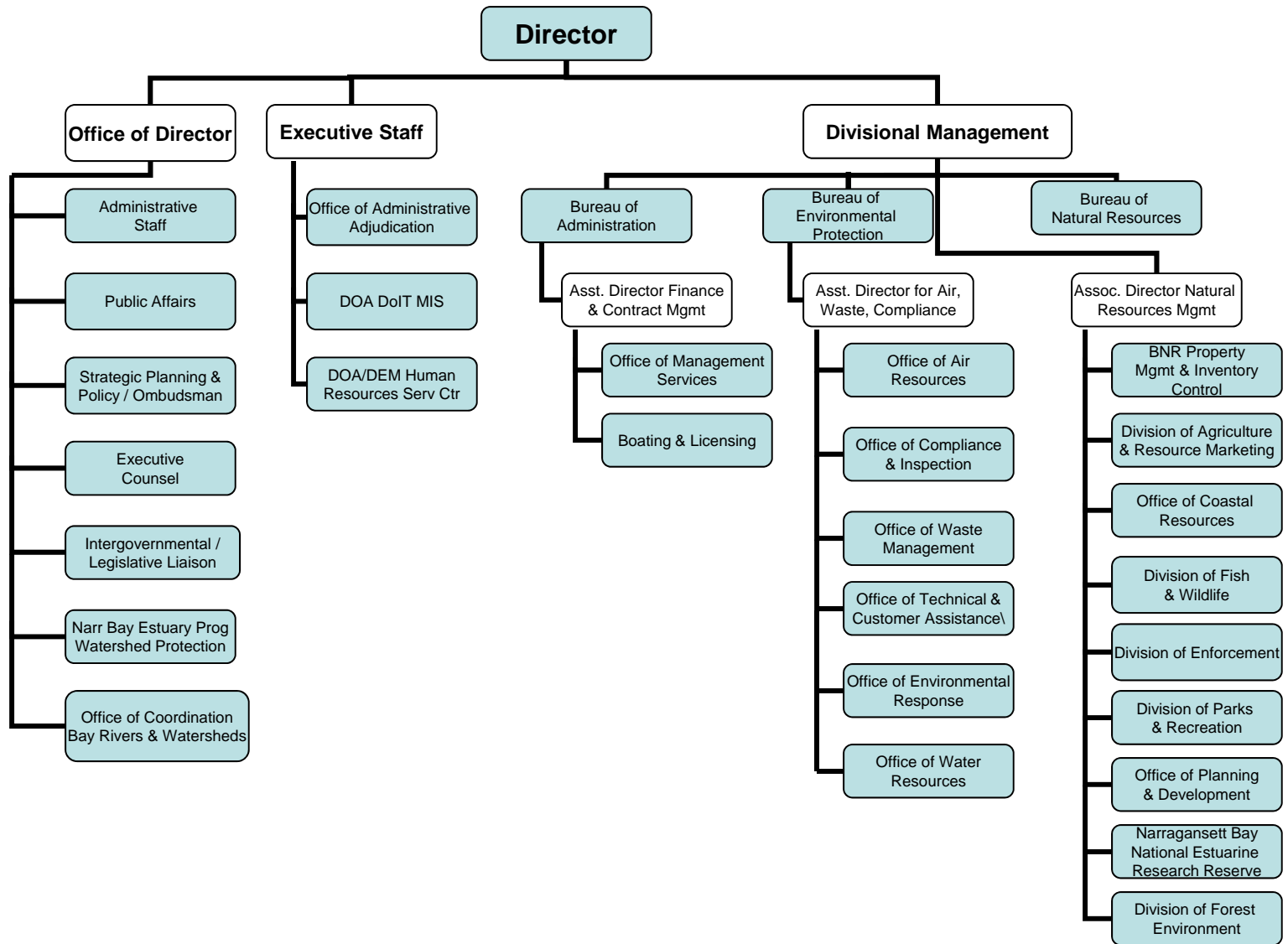
# Budget

## Department Of Environmental Management

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Office of Director	8,768,953	7,429,276	7,688,514	8,342,771	7,762,939
Bureau of Natural Resources	35,741,806	33,640,820	74,457,750	68,703,527	57,463,531
Bureau of Environmental Protection	29,499,411	26,502,690	34,033,259	30,446,297	30,600,987
<b>Total Expenditures</b>	<b>\$74,010,170</b>	<b>\$67,572,786</b>	<b>\$116,179,523</b>	<b>\$107,492,595</b>	<b>\$95,827,457</b>
<b>Expenditures By Object</b>					
Personnel	49,292,825	50,454,924	59,321,821	54,374,528	54,226,891
Operating Supplies and Expenses	9,427,636	8,876,671	11,970,013	12,732,040	11,592,660
Assistance and Grants	6,041,860	4,244,972	5,705,816	5,713,016	6,175,017
<b>Subtotal: Operating Expenditures</b>	<b>64,762,321</b>	<b>63,576,567</b>	<b>76,997,650</b>	<b>72,819,584</b>	<b>71,994,568</b>
Capital Purchases and Equipment	9,231,966	3,996,219	39,131,873	34,623,011	23,782,889
Operating Transfers	15,883	-	50,000	50,000	50,000
<b>Total Expenditures</b>	<b>\$74,010,170</b>	<b>\$67,572,786</b>	<b>\$116,179,523</b>	<b>\$107,492,595</b>	<b>\$95,827,457</b>
<b>Expenditures By Funds</b>					
General Revenue	35,707,703	34,140,929	34,756,318	34,203,202	34,870,105
Federal Funds	22,171,087	18,300,658	38,391,731	36,112,636	31,779,611
Restricted Receipts	11,406,708	12,009,000	18,081,515	18,901,450	15,934,989
Operating Transfers from Other Funds	4,724,672	3,122,199	24,949,959	18,275,307	13,242,752
<b>Total Expenditures</b>	<b>\$74,010,170</b>	<b>\$67,572,786</b>	<b>\$116,179,523</b>	<b>\$107,492,595</b>	<b>\$95,827,457</b>
<b>FTE Authorization</b>	<b>410.0</b>	<b>399.0</b>	<b>399.0</b>	<b>399.0</b>	<b>399.0</b>

# The Agency

## Department of Environmental Management



# Personnel

## Department Of Environmental Management Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		396.0	26,043,988	397.0	26,190,692
Unclassified		6.0	664,285	6.0	664,285
<b>Subtotal</b>		<b>402.0</b>	<b>\$26,708,273</b>	<b>403.0</b>	<b>\$26,854,977</b>
Cost Allocation from Other Programs		7.3	483,781	7.3	483,781
Cost Allocation to Other Programs		(7.3)	(\$483,781)	(7.3)	(\$483,781)
Overtime		-	656,400	-	744,900
Reconcile to FTE Authorization		(3.0)	-	(4.0)	-
Temporary and Seasonal		-	2,585,035	-	2,685,035
Turnover		-	(\$594,873)	-	(\$638,713)
<b>Subtotal</b>		<b>(3.0)</b>	<b>\$2,646,562</b>	<b>(4.0)</b>	<b>\$2,791,222</b>
<b>Total Salaries</b>		<b>399.0</b>	<b>\$29,354,835</b>	<b>399.0</b>	<b>\$29,646,199</b>
<b>Benefits</b>					
Payroll Accrual			149,504		147,246
Holiday			329,700		366,440
FICA			2,191,423		2,206,863
Retiree Health			1,883,949		1,809,118
Health Benefits			4,885,660		5,355,084
Retirement			6,267,891		6,372,147
<b>Subtotal</b>			<b>\$15,708,127</b>		<b>\$16,256,898</b>
<b>Total Salaries and Benefits</b>		<b>399.0</b>	<b>\$45,062,962</b>	<b>399.0</b>	<b>\$45,903,097</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$106,461</b>		<b>\$108,316</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,210,039</b>		<b>\$1,227,535</b>
<b>Payroll Costs</b>		<b>399.0</b>	<b>\$46,273,001</b>	<b>399.0</b>	<b>\$47,130,632</b>

# Personnel

## Department Of Environmental Management Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			568,262		564,262
University and College Services			545,000		545,000
Clerical and Temporary Services			176,595		151,595
Management & Consultant Services			725,000		725,000
Other Contracts			45,419		45,319
Buildings and Ground Maintenance			83,672		77,672
Training and Educational Services			119,524		98,374
Design and Engineering Services			5,759,955		4,810,937
Medical Services			78,100		78,100
<b>Subtotal</b>			<b>\$8,101,527</b>		<b>\$7,096,259</b>
<b>Total Personnel</b>		<b>399.0</b>	<b>\$54,374,528</b>	<b>399.0</b>	<b>\$54,226,891</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		320.1	\$27,448,668	320.1	\$28,079,791
Federal Funds		56.0	\$16,536,464	56.0	\$16,384,235
Restricted Receipts		22.9	\$9,781,734	22.9	\$9,415,203
Operating Transfers from Other Funds		-	\$607,662	-	\$347,662
<b>Total All Funds</b>		<b>399.0</b>	<b>\$54,374,528</b>	<b>399.0</b>	<b>\$54,226,891</b>

# The Program

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## Department Of Environmental Management Office of Director

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### **Program Mission**

The Office of the Director develops and implements the agency's wide range of programs to protect Rhode Island's environment; protect the citizens of the state from public health threats resulting from pollution; and provide facilities that support a diversity of outdoor recreational activities.

### **Program Description**

The Office of the Director consists of Management Services, Legal Services, Administrative Adjudication, Human Resources, and Information Technology. The Office also coordinates the Department's Planning & Policy Initiatives, Legislative & Intergovernmental Affairs, and Communications and Outreach and houses the Office of the Chair, RI Bays, Rivers, and Watersheds Coordination Team.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 22,339 boat registrations and all hunting and fishing licenses.

The Office of Legal Services counsels and represents the department and its divisions and provides legal guidance on the development and administration of regulatory programs. It also provides legal assistance to a number of municipalities, particularly in the area of land use relating to the preservation of open space.

The Office of Administrative Adjudication is the administrative tribunal for environmental matters originating from the Department. It adjudicates appeals of enforcement actions taken by the Department's regulatory programs and hears enforcement appeals for alleged violations of statutes and/or regulations. The Office is responsible for ensuring that the regulated community has an opportunity to contest actions taken by the Department and have such actions reviewed at the agency level.

The Human Resource Service Center staff provides direct administrative support to the Department Director, Senior Management, and the agency's workforce, which currently comprises of approximately 410 full time employees and 510 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Information Technology Service Center staff provides oversight, coordination, and development of standardize investments in software, hardware, networks and services. Staff provide the Department with effective and efficient application of information technology and delivers secure, innovative, and reliable technology solutions in the most responsive and effective manner.

The Office of the Chair of the Bays, Rivers, and Watersheds Coordination Team conducts and oversees interagency planning and targeted investments for the protection, restoration, and sustainable development of Rhode Island's fresh and marine waters and watersheds. The Chair reports to the Governor.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department Of Environmental Management Office of Director

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Administration	4,768,891	3,148,321	3,170,105	3,460,088	3,309,675
Environmental Coordination	494,738	797,020	697,273	1,040,636	626,108
Management Services	2,435,943	2,432,984	2,708,401	2,746,786	2,726,616
Legal Services	500,108	524,807	554,102	550,017	552,883
Administrative Adjudication	542,036	523,354	525,219	511,830	514,243
Permit Streamlining	27,237	2,790	33,414	33,414	33,414
<b>Total Expenditures</b>	<b>\$8,768,953</b>	<b>\$7,429,276</b>	<b>\$7,688,514</b>	<b>\$8,342,771</b>	<b>\$7,762,939</b>
<b>Expenditures By Object</b>					
Personnel	4,117,103	4,036,215	4,406,809	4,639,238	4,522,577
Operating Supplies and Expenses	2,829,970	2,713,367	2,808,297	2,720,135	2,748,241
Assistance and Grants	171,796	662,100	437,428	593,918	305,071
<b>Subtotal: Operating Expenditures</b>	<b>7,118,869</b>	<b>7,411,682</b>	<b>7,652,534</b>	<b>7,953,291</b>	<b>7,575,889</b>
Capital Purchases and Equipment	1,650,084	17,594	35,980	389,480	187,050
<b>Total Expenditures</b>	<b>\$8,768,953</b>	<b>\$7,429,276</b>	<b>\$7,688,514</b>	<b>\$8,342,771</b>	<b>\$7,762,939</b>
<b>Expenditures By Funds</b>					
General Revenue	4,593,098	4,652,829	4,609,334	4,513,791	4,553,567
Federal Funds	1,776,518	355,997	150,000	505,000	150,000
Restricted Receipts	2,399,337	2,420,450	2,929,180	3,323,980	2,884,372
Operating Transfers from Other Funds	-	-	-	-	175,000
<b>Total Expenditures</b>	<b>\$8,768,953</b>	<b>\$7,429,276</b>	<b>\$7,688,514</b>	<b>\$8,342,771</b>	<b>\$7,762,939</b>

# Personnel

## Department Of Environmental Management Office of Director

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	1.0	120,131	1.0	120,131
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	100,101	1.0	100,101
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	97,678	1.0	97,678
ASSISTANT TO THE DIRECTOR (DEM)	00136A	2.0	191,620	2.0	191,620
PROGRAMMING SERVICES OFFICER	00031A	1.0	77,416	1.0	77,416
SENIOR LEGAL COUNSEL	00134A	4.0	304,604	4.0	302,534
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	1.0	69,856	1.0	69,856
PROGRAMMING SERVICES OFFICER	00131A	1.0	63,779	1.0	65,726
FISCAL MANAGEMENT OFFICER	00B26A	1.0	62,635	1.0	62,635
ADMINISTRATIVE OFFICER	00324A	1.0	60,085	1.0	60,085
PRINCIPAL ACCOUNTANT	00326A	1.0	58,941	1.0	58,941
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	58,180	1.0	60,416
RESEARCH TECHNICIAN	00319A	1.0	49,096	1.0	49,096
CHIEF CLERK	00B16A	1.0	44,652	1.0	44,652
ADMINISTRATIVE OFFICER	00124A	1.0	44,639	1.0	44,639
LICENSING AIDE	00315A	3.0	131,797	3.0	131,797
SENIOR ACCOUNTANT	00323A	1.0	42,866	1.0	44,094
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	42,203	1.0	43,616
CLERK SECRETARY	00116A	1.0	38,186	1.0	38,186
FISCAL CLERK	00314A	3.0	107,794	3.0	108,500
<b>Subtotal</b>		<b>28.0</b>	<b>\$1,766,259</b>	<b>28.0</b>	<b>\$1,771,719</b>
<b>Unclassified</b>					
HEARING OFFICER	00914F	1.0	127,092	1.0	127,092
CHIEF HEARING OFFICER	00711F	1.0	125,775	1.0	125,775
EXECUTIVE COUNSEL	00839A	1.0	117,017	1.0	117,017
DIRECTOR DEPARTMENT OF ENVIRONMENTAL	00948KF	1.0	108,460	1.0	108,460
CHAIR COORD TEAM BAYS, RIVERS &	00839A	1.0	106,878	1.0	106,878
ADMINISTRATIVE ASSISTANT	00829A	1.0	79,063	1.0	79,063
<b>Subtotal</b>		<b>6.0</b>	<b>\$664,285</b>	<b>6.0</b>	<b>\$664,285</b>
Cost Allocation from Other Programs		4.9	325,000	4.9	325,000
Cost Allocation to Other Programs		(1.7)	(110,000)	(1.7)	(110,000)
Temporary and Seasonal		-	25,000	-	25,000
Turnover		-	(8,687)	-	(14,363)
<b>Subtotal</b>		<b>3.2</b>	<b>\$231,313</b>	<b>3.2</b>	<b>\$225,637</b>
<b>Total Salaries</b>		<b>37.2</b>	<b>\$2,661,857</b>	<b>37.2</b>	<b>\$2,661,641</b>
<b>Benefits</b>					
Payroll Accrual			14,637		14,617
FICA			199,691		200,508
Retiree Health			187,471		178,642
Health Benefits			438,091		451,999
Retirement			634,167		641,287
<b>Subtotal</b>			<b>\$1,474,057</b>		<b>\$1,487,053</b>

# Personnel

## Department Of Environmental Management Office of Director

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		37.2	\$4,135,914	37.2	\$4,148,694
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$110,508		\$110,852
<b>Statewide Benefit Assessment</b>			\$111,268		\$111,683
<b>Payroll Costs</b>		37.2	\$4,247,182	37.2	\$4,260,377
<b>Purchased Services</b>					
Information Technology			150,000		150,000
Clerical and Temporary Services			1,750		1,400
Other Contracts			10,900		10,800
Design and Engineering Services			229,406		100,000
<b>Subtotal</b>			<b>\$392,056</b>		<b>\$262,200</b>
<b>Total Personnel</b>		<b>37.2</b>	<b>\$4,639,238</b>	<b>37.2</b>	<b>\$4,522,577</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		26.3	\$1,795,864	26.3	\$1,813,745
Federal Funds		-	\$150,000	-	\$150,000
Restricted Receipts		10.9	\$2,693,374	10.9	\$2,558,832
<b>Total All Funds</b>		<b>37.2</b>	<b>\$4,639,238</b>	<b>37.2</b>	<b>\$4,522,577</b>



# The Program

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## Department Of Environmental Management Bureau of Natural Resources

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### **Program Mission**

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources and to provide people with well-maintained, scenic, and accessible outdoor recreational opportunities. The Bureau's work to increase enjoyment and use of public lands is augmented by the Department's successful Great Outdoors Pursuit. This program attracts thousands of Rhode Islanders who participate in activities that introduce them to our outstanding natural resources.

### **Program Description**

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps.

The Division of Agriculture and Resource Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division administers, maintains and operates these facilities as well as coordinating recreational opportunities and activities at the Narragansett Bay Estuarine Research Reserve and all port facilities and commercial fishing piers in Narragansett and Newport.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department Of Environmental Management Bureau of Natural Resources

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Fish,Wildlife & Estuarine Res	9,448,470	9,096,281	21,835,361	20,244,957	19,939,009
Agriculture	2,179,225	2,335,377	5,120,494	5,145,318	2,779,508
Enforcement	5,253,018	5,619,829	7,819,252	6,433,155	8,457,867
Natural Resources Admin	2,883,097	3,205,139	8,609,403	9,927,982	7,500,944
Parks and Recreation	14,175,714	11,366,140	22,986,472	19,345,804	15,689,078
Forest Environment	1,795,267	1,638,277	2,232,154	2,159,699	2,125,525
Coastal Resources	7,015	379,777	5,854,614	5,446,612	971,600
<b>Total Expenditures</b>	<b>\$35,741,806</b>	<b>\$33,640,820</b>	<b>\$74,457,750</b>	<b>\$68,703,527</b>	<b>\$57,463,531</b>
<b>Expenditures By Object</b>					
Personnel	21,954,760	22,898,185	26,422,592	24,836,489	25,401,100
Operating Supplies and Expenses	5,850,648	5,463,930	7,517,277	8,380,689	7,316,776
Assistance and Grants	1,661,655	1,330,586	2,420,498	2,269,998	2,034,496
<b>Subtotal: Operating Expenditures</b>	<b>29,467,063</b>	<b>29,692,701</b>	<b>36,360,367</b>	<b>35,487,176</b>	<b>34,752,372</b>
Capital Purchases and Equipment	6,258,860	3,948,119	38,047,383	33,166,351	22,661,159
Operating Transfers	15,883	-	50,000	50,000	50,000
<b>Total Expenditures</b>	<b>\$35,741,806</b>	<b>\$33,640,820</b>	<b>\$74,457,750</b>	<b>\$68,703,527</b>	<b>\$57,463,531</b>
<b>Expenditures By Funds</b>					
General Revenue	18,455,344	18,783,609	18,718,638	18,425,708	19,074,615
Federal Funds	10,281,329	9,609,862	27,119,465	25,760,194	21,348,128
Restricted Receipts	2,478,451	2,893,751	6,594,688	6,667,318	4,138,036
Operating Transfers from Other Funds	4,526,682	2,353,598	22,024,959	17,850,307	12,902,752
<b>Total Expenditures</b>	<b>\$35,741,806</b>	<b>\$33,640,820</b>	<b>\$74,457,750</b>	<b>\$68,703,527</b>	<b>\$57,463,531</b>

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR FOR NATURAL RESOURCES	00143A	1.0	127,347	1.0	127,347
ASSISTANT DIRECTOR FOR NATURAL RESOURCES	00140A	1.0	111,613	1.0	111,613
CHIEF DIVISION OF PARKS AND RECREATION	00138A	1.0	107,413	1.0	107,413
CHIEF DIVISION OF AGRICULTURE & RESOURCE	00138A	1.0	104,074	1.0	104,074
ADMINISTRATOR OF SUSTAINABLE WATERSHED	00138A	1.0	103,489	1.0	103,489
CHIEF DIVISION OF PLANNING AND	00138A	1.0	102,990	1.0	102,990
CHIEF DIVISION OF ENFORCEMENT (DEM)	00138A	1.0	102,523	1.0	102,523
ASSISTANT TO THE DIRECTOR (DEM)	00136A	1.0	93,225	1.0	93,225
SUPERVISING CIVIL ENGINEER (NATURAL	00035A	1.0	90,687	1.0	90,687
DEPUTY CHIEF DIVISION OF PARKS AND	00134A	1.0	89,639	1.0	89,639
DEPUTY CHIEF FOR MARINE FISHERIES	00134A	1.0	88,547	1.0	88,547
DEPUTY CHIEF DIVISION OF PLANNING AND	00134A	1.0	87,535	1.0	87,535
SUPERVISING ENVIRONMENTAL SCIENTIST	00034A	3.0	258,388	3.0	258,388
SUPERINTENDENT OF STATE PARKS (DEM)	00134A	1.0	85,885	1.0	88,547
DEPUTY CHIEF FOR FRESHWATER FISHERIES	00132A	1.0	85,484	1.0	85,484
PRINCIPAL CIVIL ENGINEER (DESIGN)	00333A	2.0	170,832	2.0	170,832
ENVIRONMENTAL POLICE OFFICER IV (DEM)	00133A	2.0	170,682	2.0	170,682
CHIEF DIVISION OF FISH AND WILDLIFE (DEM)	00138A	1.0	85,034	1.0	85,034
PUBLIC HEALTH VETERINARIAN	00336A	2.0	167,339	2.0	167,339
DEPUTY CHIEF DIVISION OF FOREST	00132A	1.0	82,842	1.0	82,842
PROGRAMMING SERVICES OFFICER	00031A	1.0	79,676	1.0	79,676
DEPUTY CHIEF DIVISION OF AGRICULTURE & RES	00132A	1.0	78,587	1.0	79,816
SUPERVISING GEOGRAPHIC INFORMATION	00032A	1.0	78,374	1.0	78,374
DEPUTY CHIEF FOR WILDLIFE	00132A	1.0	77,685	1.0	77,685
ENVIRONMENTAL POLICE OFFICER III (DEM)	00330A	2.0	154,965	2.0	154,965
CHIEF DISTRIBUTION OFFICER	00331A	1.0	75,863	1.0	75,863
FEDERAL AID PROGRAM COORDINATOR (DEM)	00132A	1.0	73,442	1.0	76,960
SENIOR ENVIRONMENTAL SCIENTIST	00330A	2.0	146,781	2.0	147,682
PRINCIPAL PLANNER	00329A	1.0	72,809	1.0	72,809
SUPERVISING BIOLOGIST (MARINE FISHERIES)	00030A	2.0	144,875	2.0	147,703
RESEARCH VESSEL CAPTAIN (DEM)	00329A	1.0	72,221	1.0	72,221
ENVIRONMENTAL CRIMINAL INVESTIGATOR III	00132A	1.0	71,707	1.0	71,707
ENVIRONMENTAL POLICE OFFICER II (DEM)	00328A	4.0	281,976	4.0	281,976
SUPERVISING FORESTER	00029A	2.0	140,952	2.0	140,952
ADMINISTRATIVE COURT OFFICER (DEM)	00328A	2.0	139,865	2.0	139,865
REGIONAL PARK MANAGER (DIVISION OF PARKS &	00329A	5.0	345,599	5.0	352,206
PRINCIPAL BIOLOGIST (WILDLIFE)	00327A	4.0	269,161	4.0	269,161
ENGINEERING TECHNICIAN IV (NATURAL	00327A	1.0	66,900	1.0	66,900
CHIEF OF CONSTRUCTION OPERATIONS	00327A	1.0	66,746	1.0	67,353
SENIOR ENVIRONMENTAL PLANNER	00327A	2.0	133,480	2.0	133,480
STATE PORTS MANAGER	00132A	1.0	65,355	1.0	68,293
PRINCIPAL BIOLOGIST (FRESH WATER FISHERIES)	00327A	4.0	260,630	4.0	260,630
ENVIRONMENTAL CRIMINAL INVESTIGATOR I	00326A	1.0	65,061	1.0	65,061
STATE HUNTER SAFETY EDUCATION	00326A	1.0	64,873	1.0	64,873
FISCAL MANAGEMENT OFFICER	00B26A	2.0	126,855	2.0	129,311

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
ENVIRONMENTAL POLICE OFFICER I (DEM)	00326A	20.0	1,214,911	20.0	1,214,902
PRINCIPAL BIOLOGIST (MARINE FISHERIES)	00327A	9.0	545,248	9.0	549,218
ASSISTANT REGIONAL PARK MANAGER (DIV. OF	00325A	8.0	471,171	8.0	472,924
AGRICULTURAL MARKETING SPECIALIST (DEM)	00324A	1.0	58,520	1.0	58,520
ENGINEERING TECHNICIAN III (NATURAL	00323A	1.0	58,156	1.0	58,156
SENIOR PLANT PATHOLOGIST	00323A	3.0	167,202	3.0	167,202
VETERINARY PARAMEDIC	00322A	1.0	54,836	1.0	54,836
PRINCIPAL FOREST RANGER	00321A	1.0	53,754	1.0	53,754
SUPERINTENDENT OF STATE PIERS	00324A	1.0	53,071	1.0	53,071
ENVIRONMENTAL SCIENTIST	00326A	1.0	52,009	1.0	52,009
DISTRICT RESOURCES MANAGER	00021A	1.0	51,180	1.0	51,180
PARK RANGER PROGRAM COORDINATOR	00320A	1.0	50,653	1.0	50,653
DISTRICT RESOURCES MANAGER	00321A	2.0	99,547	2.0	99,547
SENIOR COMPUTER OPERATOR	00318A	1.0	49,287	1.0	49,287
ACCOUNTANT	00320A	2.0	98,558	2.0	98,540
CHIEF CLERK	00B16A	1.0	48,504	1.0	48,504
TECHNICAL STAFF ASSISTANT (DEM)	00320A	1.0	48,255	1.0	48,255
PRINCIPAL FORESTER	00326A	2.0	96,094	2.0	96,094
CLERK SECRETARY	00B16A	1.0	47,697	1.0	47,697
ELECTRICIAN SUPERVISOR	00320G	1.0	46,907	1.0	46,907
SENIOR FOREST RANGER	00318A	3.0	140,600	3.0	140,600
IMPLEMENTATION AIDE	00122A	2.0	93,324	2.0	94,896
HEAVY MOTOR EQUIPMENT MECHANIC/OPERATOR	00318A	2.0	92,984	2.0	92,984
MARINE MAINTENANCE SUPERVISOR	00317G	1.0	46,051	1.0	46,051
PARK MANAGER (DIVISION OF PARKS AND	00320A	7.0	320,469	7.0	323,122
RESEARCH VESSEL FIRST MATE (ASSOCIATE	00324A	1.0	45,502	1.0	46,906
SUPERINTENDENT OF PROPERTY CONTROL AND	00323A	1.0	45,487	1.0	45,487
INFORMATION AIDE	00315A	1.0	44,662	1.0	44,662
ADMINISTRATIVE OFFICER	00124A <sup>1</sup>	1.0	43,928	1.0	45,338
ASSISTANT DISTRICT RESOURCES MANAGER	00316A	4.0	175,117	4.0	175,117
CARPENTER	00314G	2.0	86,982	2.0	86,982
GOLF COURSE MAINTENANCE SUPERVISOR (DEM)	00320A	1.0	43,109	1.0	43,109
HEAVY MOTOR EQUIPMENT OPERATOR	00314G	2.0	82,602	2.0	82,602
PROPERTY CONTROL AND SUPPLY OFFICER	00317A	1.0	41,270	1.0	41,270
SENIOR WORD PROCESSING TYPIST	00312A	2.0	82,163	2.0	82,163
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	40,734	1.0	40,734
FISH HATCHERY SUPERVISOR	00313G	2.0	78,130	2.0	78,130
COMMUNICATION SYSTEM OPERATOR (DEM)	00316A	6.0	231,634	6.0	233,552
SENIOR MAINTENANCE TECHNICIAN	00314G	5.0	192,677	5.0	194,274
CLERK	00307A	1.0	38,176	1.0	38,176
LABORER	00308G	2.0	76,204	2.0	76,204
PARK CARETAKER-SUPERVISOR	00314G	1.0	37,719	1.0	38,548
SEMI-SKILLED LABORER	00310G	6.0	222,416	6.0	222,943
SENIOR RECONCILIATION CLERK	00314A <sup>3</sup>	2.0	73,529	2.0	74,305
PIER SUPERVISOR (MAINTENANCE)	00313G	1.0	34,686	1.0	35,480
SENIOR BIOLOGIST (FRESH WATER FISHERIES)	00325A <sup>2</sup>	-	-	1.0	44,747

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Subtotal</b>		<b>187.0</b>	<b>\$11,245,721</b>	<b>188.0</b>	<b>\$11,333,390</b>
Cost Allocation to Other Programs		(2.2)	(148,781)	(2.2)	(148,781)
Overtime		-	609,900	-	698,400
Temporary and Seasonal		-	2,560,035	-	2,660,035
Turnover		-	(343,954)	-	(231,026)
<b>Subtotal</b>		<b>(2.2)</b>	<b>\$2,677,200</b>	<b>(2.2)</b>	<b>\$2,978,628</b>
<b>Total Salaries</b>		<b>184.8</b>	<b>\$13,922,921</b>	<b>185.8</b>	<b>\$14,312,018</b>
<b>Benefits</b>					
Payroll Accrual			62,519		61,582
Holiday			327,200		363,940
FICA			1,020,651		1,044,052
Retiree Health			787,561		766,631
Health Benefits			2,312,774		2,616,949
Retirement			2,580,204		2,670,665
<b>Subtotal</b>			<b>\$7,090,909</b>		<b>\$7,523,819</b>
<b>Total Salaries and Benefits</b>		<b>184.8</b>	<b>\$21,013,830</b>	<b>185.8</b>	<b>\$21,835,837</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$99,858</b>		<b>\$103,207</b>
<b>Statewide Benefit Assessment</b>			<b>\$557,109</b>		<b>\$579,441</b>
<b>Payroll Costs</b>		<b>184.8</b>	<b>\$21,570,939</b>	<b>185.8</b>	<b>\$22,415,278</b>
<b>Purchased Services</b>					
Information Technology			40,762		31,762
University and College Services			505,000		505,000
Clerical and Temporary Services			164,745		139,745
Management & Consultant Services			725,000		725,000
Other Contracts			34,519		34,519
Buildings and Ground Maintenance			83,672		77,672
Training and Educational Services			90,374		84,374
Design and Engineering Services			1,544,378		1,310,650
Medical Services			77,100		77,100
<b>Subtotal</b>			<b>\$3,265,550</b>		<b>\$2,985,822</b>
<b>Total Personnel</b>		<b>184.8</b>	<b>\$24,836,489</b>	<b>185.8</b>	<b>\$25,401,100</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		179.8	\$14,531,882	180.8	\$15,176,444
Federal Funds		5.0	\$8,177,574	5.0	\$8,341,905
Restricted Receipts		-	\$1,938,803	-	\$1,694,521
Operating Transfers from Other Funds		-	\$188,230	-	\$188,230
<b>Total All Funds</b>		<b>184.8</b>	<b>\$24,836,489</b>	<b>185.8</b>	<b>\$25,401,100</b>

# Personnel

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## Department Of Environmental Management Bureau of Natural Resources

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	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<i>1 Federally funded position for the Forestry Program.</i>					
<i>2 Senior Biologist is a required position under federal Memorandum of Agreement for the Big River Project. Position is 75% federally funded. State match is 25%.</i>					
<i>3 Position required for the Coastal Program.</i>					

# Performance Measures

## Department Of Environmental Management Bureau of Natural Resources

### *Fishery Assessments*

Fishery assessments benefit both the state and the taxpayers by ensuring that the resources important to both commercial and recreational fisheries are managed appropriately, to ensure sustainability. The figures below represent the number of surveys conducted by marine fisheries for their field sampling responsibilities (trawl survey, dredge survey, seine surveys).

	2011	2012	2013	2014	2015
<b>Target</b>	449	503	583	583	570
<b>Actual</b>	449	503	521	197	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Animal Health Licenses and Permits*

The Division of Agriculture has issued licenses and permits to pet shops and sales and importation of animals into the State for over 30 years. The numbers of licenses and permits goes up each year reflecting the influx of animals into the state, and increases in the purchase of the animals. The permitting and licensing of these establishments ensures the are selling and importing healthy animals for Rhode Island residents. The figures below represent the number of animal health licenses and permits issued.

	2011	2012	2013	2014	2015
<b>Target</b>	570	580	580	580	380
<b>Actual</b>	570	580	380	60	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Senior Farmers Market Coupon Program*

The Division of Agriculture has participated in the Senior Farmers Market Program for over six years. The goal of this program is to increasing knowledge of nutrition for Rhode Island seniors. After attending three nutrition classes, low-income participants will become eligible to receive a \$15 bonus, distributed in the form of \$1 Bonus Bucks, which can be redeemed for fresh fruits and vegetables at participating farmers markets. The number of individuals taking part in the program has been increasing year-over-year, benefiting both seniors and local farmers. The figures below represent the number of coupons distributed.

	2011	2012	2013	2014	2015
<b>Target</b>	13900	14000	14100	14100	14100
<b>Actual</b>	13900	14000	14100	8000	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# The Program

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## Department Of Environmental Management Bureau of Environmental Protection

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### **Program Mission**

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

### **Program Description**

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater Protection; Water Quality Certification; Onsite Wastewater Treatment Systems; Freshwater Wetlands; Water Quality Restoration Studies (TMDLS); Shellfish Area Monitoring; Wastewater Treatment Facility and Sludge Programs, Nonpoint Source; Water Quality Monitoring and Standards; Financial Assistance (SRF/Bond Funds).

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Customer and Technical Assistance serves the public by coordinating the review of projects requiring multiple applications and permits and tracking the status of permitting activities throughout the bureau. OCTA directly reviews projects funded by federal economic stimulus programs. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program, including administration of the RI Underground Storage Tank Financial Responsibility Fund.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.



# The Budget

## Department Of Environmental Management Bureau of Environmental Protection

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Water Resources	9,072,617	7,712,480	9,450,965	8,594,184	9,344,386
Environmental Protection Admin	179,174	214,644	334,098	441,398	442,134
Compliance & Inspection	4,148,933	3,683,592	4,670,475	5,027,653	5,071,291
Technical & Customer Assist	1,576,169	1,552,990	1,319,430	1,319,099	1,299,134
RIPDES	1,173,171	1,115,932	1,189,605	1,223,664	1,210,750
Air Resources	4,610,182	4,479,637	7,902,672	4,732,380	4,312,486
Waste Management	5,066,929	4,186,814	4,668,572	4,616,725	4,417,566
Environmental Response	3,672,236	3,556,601	4,497,442	4,491,194	4,503,240
<b>Total Expenditures</b>	<b>\$29,499,411</b>	<b>\$26,502,690</b>	<b>\$34,033,259</b>	<b>\$30,446,297</b>	<b>\$30,600,987</b>
<b>Expenditures By Object</b>					
Personnel	23,220,962	23,520,524	28,492,420	24,898,801	24,303,214
Operating Supplies and Expenses	747,018	699,374	1,644,439	1,631,216	1,527,643
Assistance and Grants	4,208,409	2,252,286	2,847,890	2,849,100	3,835,450
<b>Subtotal: Operating Expenditures</b>	<b>28,176,389</b>	<b>26,472,184</b>	<b>32,984,749</b>	<b>29,379,117</b>	<b>29,666,307</b>
Capital Purchases and Equipment	1,323,022	30,506	1,048,510	1,067,180	934,680
<b>Total Expenditures</b>	<b>\$29,499,411</b>	<b>\$26,502,690</b>	<b>\$34,033,259</b>	<b>\$30,446,297</b>	<b>\$30,600,987</b>
<b>Expenditures By Funds</b>					
General Revenue	12,659,261	10,704,491	11,428,346	11,263,703	11,241,923
Federal Funds	10,113,240	8,334,799	11,122,266	9,847,442	10,281,483
Restricted Receipts	6,528,920	6,694,799	8,557,647	8,910,152	8,912,581
Operating Transfers from Other Funds	197,990	768,601	2,925,000	425,000	165,000
<b>Total Expenditures</b>	<b>\$29,499,411</b>	<b>\$26,502,690</b>	<b>\$34,033,259</b>	<b>\$30,446,297</b>	<b>\$30,600,987</b>

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR FOR ENVIRONMENTAL	00143A	1.0	125,335	1.0	125,335
ASSISTANT DIRECTOR OF WATER RESOURCES	00140A	1.0	111,654	1.0	111,654
CHIEF OF COMPLIANCE & INSPECTION (DEM)	00138A	1.0	104,568	1.0	104,568
CHIEF OF TECHNICAL & CUSTOMER ASSISTANCE	00138A	1.0	103,958	1.0	103,958
CHIEF OF SURFACE WATER PROTECTION (DEM)	00138A	1.0	102,690	1.0	102,690
ENVIRONMENTAL RESPONSE COORDINATOR	00138A	1.0	100,867	1.0	100,867
CHIEF DIVISION OF AIR RESOURCES (DEM)	00138A	1.0	100,036	1.0	103,342
CHIEF OF WASTE MANAGEMENT (DEM)	00138A	1.0	99,645	1.0	99,645
DEPUTY CHIEF OF WATER QUALITY AND	00136A	2.0	192,104	2.0	192,104
DEPUTY CHIEF OF WASTE MANAGEMENT (DEM)	00136A	1.0	93,225	1.0	93,225
SUPERVISING SANITARY ENGINEER (DEM)	00035A	2.0	185,493	2.0	185,493
SUPERVISING SANITARY ENGINEER (DEM)	00135A	1.0	90,221	1.0	90,221
SUPERVISING ENVIRONMENTAL SCIENTIST	00034A	8.0	718,377	8.0	718,377
ASSOCIATE SUPERVISING SANITARY ENGR	00034A	3.0	265,572	3.0	265,572
CHIEF OF GROUNDWATER AND WETLAND	00138A	1.0	85,034	1.0	85,034
SUPERVISING AIR QUALITY SPECIALIST	00034A	4.0	340,069	4.0	340,069
PRINCIPAL ENVIRONMENTAL SCIENTIST	00032A	2.0	167,721	2.0	167,721
PRINCIPAL SANITARY ENGINEER	00333A	12.0	994,914	12.0	994,914
PRINCIPAL ENVIRONMENTAL SCIENTIST	00332A	9.0	731,292	9.0	731,292
PRINCIPAL CIVIL ENGINEER (NATURAL	00333A	4.0	322,313	4.0	322,313
PRINCIPAL AIR QUALITY SPECIALIST	00332A	4.0	319,552	4.0	319,552
STATE METEOROLOGIST/ATMOSPHERIC SCIENTIST	00332A	1.0	79,250	1.0	79,250
PROGRAMMING SERVICES OFFICER	00031A	1.0	75,769	1.0	75,769
SENIOR SANITARY ENGINEER	00331A	12.0	887,857	12.0	891,190
SUPERVISING ENVIRONMENTAL PLANNER	00031A	2.0	143,639	2.0	143,639
SENIOR AIR QUALITY SPECIALIST	00330A	9.0	640,683	9.0	647,212
SENIOR ENVIRONMENTAL SCIENTIST	00330A	33.0	2,341,374	33.0	2,374,926
PROGRAMMING SERVICES OFFICER	00131A	2.0	141,568	2.0	141,568
OIL AND HAZARDOUS MATERIALS SPECIALIST 2	00329A	4.0	282,064	4.0	282,064
CHIEF IMPLEMENTATION AIDE	00028A	1.0	69,364	1.0	69,364
SENIOR ENVIRONMENTAL PLANNER	00327A	2.0	130,285	2.0	130,285
PRINCIPAL INFORMATION AND PUBLIC RELATIONS	00326A	1.0	64,643	1.0	64,643
OFFICE MANAGER	00K23A	1.0	62,804	1.0	62,804
CIVIL ENGINEER	00327A	1.0	61,325	1.0	61,325
ENVIRONMENTAL SCIENTIST	00326A	9.0	536,192	9.0	537,907
JUNIOR SANITARY ENGINEER	00326A	5.0	293,986	5.0	293,986
ENGINEERING TECHNICIAN III (NATURAL	00323A	2.0	117,526	2.0	117,526
OFFICE MANAGER	00123A	1.0	58,278	1.0	58,278
SANITARY ENGINEER	00327A	11.0	636,641	11.0	640,867
ADMINISTRATIVE OFFICER	00324A	3.0	162,761	3.0	162,761
SUPERVISING ENVIRONMENTAL QUALITY	00323A	1.0	52,852	1.0	52,852
AIR QUALITY SPECIALIST	00326A	4	258,762	5.0	258,963
TECHNICAL STAFF ASSISTANT (DEM)	00320A	3.0	150,880	3.0	150,880
ENVIRONMENTAL QUALITY TECHNICIAN	00319A	1.0	50,141	1.0	50,141
CLERK SECRETARY	00K16A	2.0	89,305	2.0	89,305

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
ELECTRONIC COMPUTER OPERATOR	00315A	1.0	43,932	1.0	43,932
CHIEF CLERK	00B16A	1.0	42,623	1.0	42,623
DATA CONTROL CLERK	00315A	3.0	126,126	3.0	126,126
SENIOR CLERK-TYPIST	00309A	1.0	38,607	1.0	38,607
INFORMATION SERVICES TECHNICIAN I	00316A	1.0	38,131	1.0	38,844
<b>Subtotal</b>		<b>181.0</b>	<b>\$13,032,008</b>	<b>181.0</b>	<b>\$13,085,583</b>
Cost Allocation from Other Programs		2.4	158,781	2.4	158,781
Cost Allocation to Other Programs		(3.4)	(225,000)	(3.4)	(225,000)
Overtime		-	46,500	-	46,500
Turnover		-	(242,232)	-	(393,324)
<b>Subtotal</b>		<b>(1.0)</b>	<b>(\$261,951)</b>	<b>(1.0)</b>	<b>(\$413,043)</b>
<b>Total Salaries</b>		<b>180.0</b>	<b>\$12,770,057</b>	<b>180.0</b>	<b>\$12,672,540</b>
<b>Benefits</b>					
Payroll Accrual			72,348		71,047
Holiday			2,500		2,500
FICA			971,081		962,303
Retiree Health			908,917		863,845
Health Benefits			2,134,795		2,286,136
Retirement			3,053,520		3,060,195
<b>Subtotal</b>			<b>\$7,143,161</b>		<b>\$7,246,026</b>
<b>Total Salaries and Benefits</b>		<b>180.0</b>	<b>\$19,913,218</b>	<b>180.0</b>	<b>\$19,918,566</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$110,629</b>		<b>\$110,659</b>
<b>Statewide Benefit Assessment</b>			<b>\$541,662</b>		<b>\$536,411</b>
<b>Payroll Costs</b>		<b>180.0</b>	<b>\$20,454,880</b>	<b>180.0</b>	<b>\$20,454,977</b>

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			377,500		382,500
University and College Services			40,000		40,000
Clerical and Temporary Services			10,100		10,450
Training and Educational Services			29,150		14,000
Design and Engineering Services			3,986,171		3,400,287
Medical Services			1,000		1,000
<b>Subtotal</b>			<b>\$4,443,921</b>		<b>\$3,848,237</b>
<b>Total Personnel</b>		<b>180.0</b>	<b>\$24,898,801</b>	<b>180.0</b>	<b>\$24,303,214</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		117.0	\$11,120,922	117.0	\$11,089,602
Federal Funds		51.0	\$8,208,890	51.0	\$7,892,330
Restricted Receipts		12.0	\$5,149,557	12.0	\$5,161,850
Operating Transfers from Other Funds		-	\$419,432	-	\$159,432
<b>Total All Funds</b>		<b>180.0</b>	<b>\$24,898,801</b>	<b>180.0</b>	<b>\$24,303,214</b>

4 Federally funded for the Air Pollution Control Program.

# Performance Measures

## Department Of Environmental Management Bureau of Environmental Protection

### *Permit Applications*

The Bureau of Environmental Protection receives applications for permits in six major programs: site remediation, waste facility management, air pollution control, on-site wastewater treatment systems, wastewater treatment facilities, and wetlands protection. The figures below represent the total number of permit applications received through these major programs.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	2329	2400	2500
<b>Actual</b>	2171	2238	2918	421	--

Performance for this measure is reported by state fiscal year and is current as of 8/31/2013.

### *Investigations, Compliance Inspections, and Response Actions*

The Bureau of Environmental Protection regulates various businesses and activities under six major programs: underground storage tank management, waste facility management, air pollution control, on-site wastewater treatment systems, wastewater treatment facility operation and maintenance, and wetlands protection. Also, the Bureau receives complaints and concerns over various activities and their impacts on the environment, both from individual citizens and other government entities. Some of these actions are emergencies and immediate in nature. The figures below represent the number of comprehensive compliance inspections, investigations, and response actions conducted.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	3947	3900	5000
<b>Actual</b>	3752	4823	5550	870	--

Performance for this measure is reported by state fiscal year and is current as of 8/31/2013.

# Agency

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## Coastal Resources Management Council

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### Agency Mission

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

### Agency Description

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan and an Ocean Special Area Management plan.

### Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

# The Budget

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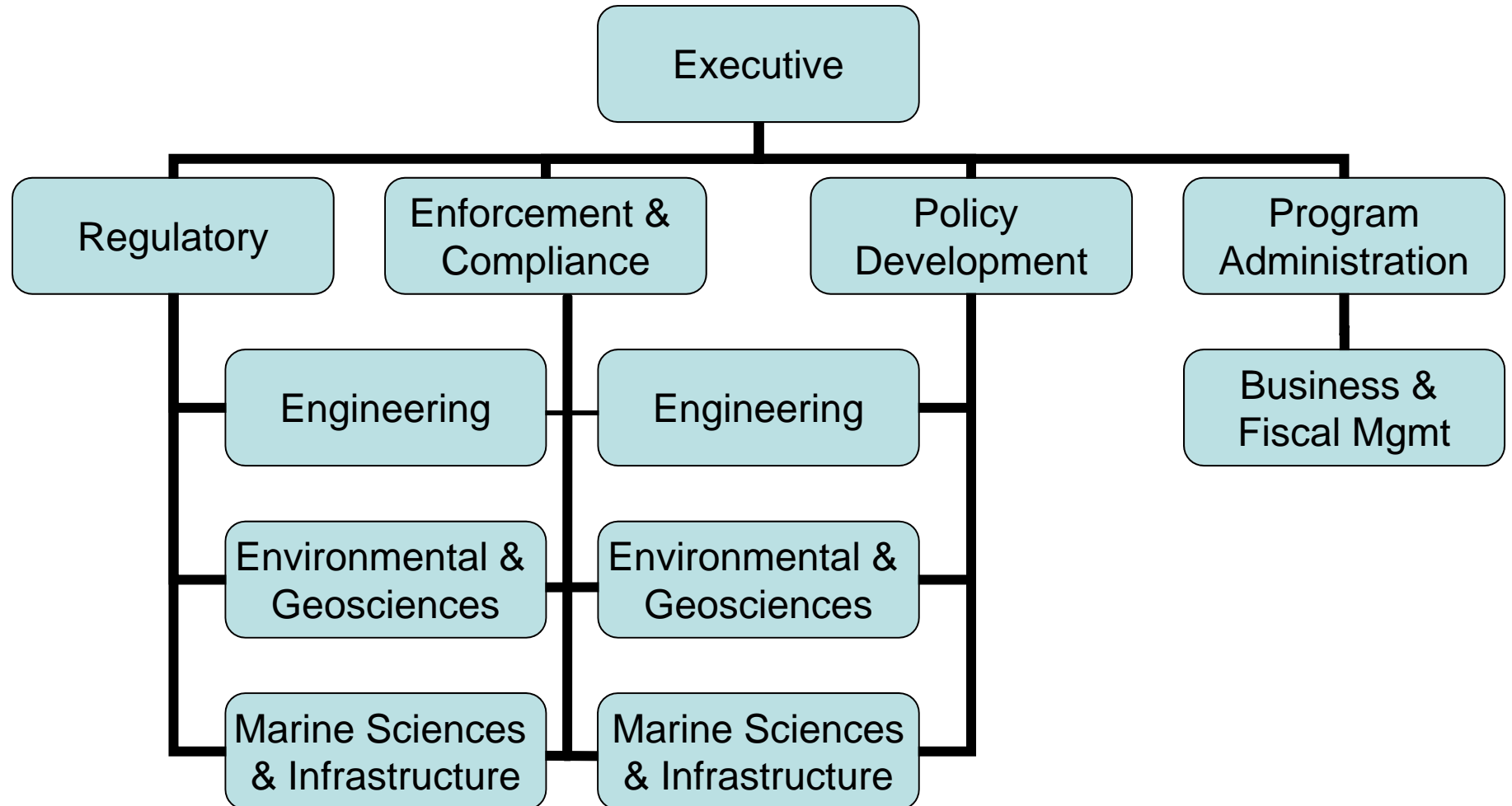
## Coastal Resources Management Council Central Management

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	6,100,775	4,667,950	4,709,906	4,793,768	4,238,151
<b>Total Expenditures</b>	<b>\$6,100,775</b>	<b>\$4,667,950</b>	<b>\$4,709,906</b>	<b>\$4,793,768</b>	<b>\$4,238,151</b>
<b>Expenditures By Object</b>					
Personnel	4,556,238	3,730,235	3,951,565	3,561,831	3,836,799
Operating Supplies and Expenses	106,565	123,202	99,536	204,988	139,002
Assistance and Grants	1,412,892	572,701	401,705	696,949	200
<b>Subtotal: Operating Expenditures</b>	<b>6,075,695</b>	<b>4,426,138</b>	<b>4,452,806</b>	<b>4,463,768</b>	<b>3,976,001</b>
Capital Purchases and Equipment	25,080	241,812	257,100	330,000	262,150
<b>Total Expenditures</b>	<b>\$6,100,775</b>	<b>\$4,667,950</b>	<b>\$4,709,906</b>	<b>\$4,793,768</b>	<b>\$4,238,151</b>
<b>Expenditures By Funds</b>					
General Revenue	2,234,732	2,205,036	2,174,331	2,158,736	2,185,538
Federal Funds	3,054,725	2,021,633	2,160,593	2,335,032	1,802,613
Restricted Receipts	145,000	219,000	374,982	250,000	250,000
Operating Transfers from Other Funds	666,318	222,281	-	50,000	-
<b>Total Expenditures</b>	<b>\$6,100,775</b>	<b>\$4,667,950</b>	<b>\$4,709,906</b>	<b>\$4,793,768</b>	<b>\$4,238,151</b>

# The Agency

## Coastal Resource Management Council





# Personnel

## Coastal Resources Management Council Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR, COASTAL RESOURCES MGMT	00140A	1.0	112,919	1.0	112,919
SUPERVISING CIVIL ENGINEER (WATER	00335A	1.0	90,154	1.0	90,154
SUPERVISING ENVIRONMENTAL SCIENTIST	00334A	1.0	88,707	1.0	88,707
PRINCIPAL CIVIL ENGINEER (NATURAL	00333A <sup>1</sup>	2.0	146,081	2.0	146,081
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	1.0	81,607	1.0	81,607
PRINCIPAL ENVIRONMENTAL SCIENTIST	00332A	1.0	80,251	1.0	80,251
CHIEF RESOURCE SPECIALIST	00131A	1.0	79,257	1.0	79,257
SENIOR ENVIRONMENTAL SCIENTIST	00330A	5.0	363,300	5.0	363,300
COASTAL POLICY ANALYST	00132A	2.0	140,000	2.0	140,000
ENGINEERING TECHNICIAN IV (NATURAL	00327A	1.0	67,776	1.0	67,776
COASTAL GEOLOGIST	00326A	1.0	65,648	1.0	65,648
MARINE RESOURCES SPECIALIST	00327A	1.0	63,888	1.0	63,888
FISCAL MANAGEMENT OFFICER	00B26A	1.0	62,635	1.0	62,635
ADMINISTRATIVE OFFICER	00324A	1.0	60,323	1.0	60,323
OFFICE MANAGER	00323A	1.0	59,883	1.0	59,883
APPLICATIONS COORDINATOR	00320A	1.0	51,731	1.0	51,731
DATA CONTROL CLERK	00315A	1.0	43,932	1.0	43,932
SENIOR WORD PROCESSING TYPIST	00312A	1.0	38,438	1.0	38,438
DATA ENTRY OPERATOR	00310A	1.0	35,112	1.0	35,112
<b>Subtotal</b>		<b>25.0</b>	<b>\$1,731,642</b>	<b>25.0</b>	<b>\$1,731,642</b>
<b>Unclassified</b>					
DIRECTOR	00845A	1.0	153,611	1.0	153,611
MARINE INFRASTRUCTURE COORDINATOR	00834A	1.0	83,541	1.0	83,541
AQUACULTURE COORDINATOR	00829A	1.0	72,475	1.0	72,475
PUBLIC EDUCATION AND INFORMATION	00824A	1.0	56,676	1.0	56,676
<b>Subtotal</b>		<b>4.0</b>	<b>\$366,303</b>	<b>4.0</b>	<b>\$366,303</b>
Overtime		-	10,000	-	5,000
Turnover		-	(159,990)	-	(159,969)
<b>Subtotal</b>		-	<b>(\$149,990)</b>	-	<b>(\$154,969)</b>
<b>Total Salaries</b>		<b>29.0</b>	<b>\$1,947,955</b>	<b>29.0</b>	<b>\$1,942,976</b>
<b>Benefits</b>					
Payroll Accrual			10,723		11,103
FICA			149,018		148,561
Retiree Health			137,014		130,746
Health Benefits			369,296		389,104
Retirement			466,079		471,265
<b>Subtotal</b>			<b>\$1,132,130</b>		<b>\$1,150,779</b>

# Personnel

## Coastal Resources Management Council Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		29.0	\$3,080,085	29.0	\$3,093,755
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$106,210		\$106,681
<b>Statewide Benefit Assessment</b>			\$82,363		\$82,321
<b>Payroll Costs</b>		29.0	\$3,162,448	29.0	\$3,176,076
<b>Purchased Services</b>					
Information Technology			25,000		21,000
University and College Services			200,500		465,650
Clerical and Temporary Services			15,960		15,960
Legal Services			156,000		156,000
Other Contracts			923		1,113
Training and Educational Services			1,000		1,000
<b>Subtotal</b>			\$399,383		\$660,723
<b>Total Personnel</b>		29.0	\$3,561,831	29.0	\$3,836,799
<b>Distribution By Source Of Funds</b>					
General Revenue		19.0	\$2,153,461	19.0	\$2,180,263
Federal Funds		10.0	\$1,408,370	10.0	\$1,656,536
<b>Total All Funds</b>		29.0	\$3,561,831	29.0	\$3,836,799

*1 Transferred from federal funds to general revenue.*

# Performance Measures

## Coastal Resources Management Council Central Management

### *Coastal Habitat Restoration*

The Coastal Resources Management Council (CRMC) works to protect and restore coastal ecosystems of the state. This goal is accomplished through the Coastal and Estuary Habitat Restoration Program and Trust Fund. With a maximum annual funding allocation of \$225,000, the Trust Fund supports projects that primarily propose on-the-ground restoration work. To maximize impact in restoring ecosystems, CRMC strives to fund projects that demonstrate other sources of funding (leveraging), thereby increasing the number of sites and/or acres being restored in the state. The figures below represent the number of acres of coastal habitat restored.

	2011	2012	2013	2014	2015
<b>Target</b>	10	10	10	10	10
<b>Actual</b>	13	18	102	--	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Timeliness of Administrative Application Processing*

CRMC works to efficiently process applications for activities within its jurisdiction. The majority of these applications are categorized as administrative. In an effort to gauge its responsiveness to the public, CRMC tracks the average turnaround time from when CRMC's receipt of an application to assent issuance. CRMC's target for administrative activity application review is two weeks to two months (14-60 days), depending on the type of application. The figures below represent the average processing time for administrative applications.

	2011	2012	2013	2014	2015
<b>Target</b>	60 Days	60 Days	60 Days	60 Days	60 Days
<b>Actual</b>	18.2 Days	11.2 Days	7.5 Days	8 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Timeliness of Formal Application Processing*

Formal applications are those subject to judicial or open meeting legal processes (e.g., formal comment periods, hearing schedules, contested cases, etc.) that impact CRMC's ability to control the review period for this subset of applications. CRMC's standard for formal activity application review times is ninety days to six months (90-180 days) and includes those activities for which a 30-day public comment period is required. The figures below represent the average processing time for formal applications.

	2011	2012	2013	2014	2015
<b>Target</b>	180 Days	180 Days	180 Days	180 Days	--
<b>Actual</b>	116 Days	108 Days	134 Days	47 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.



# **Capital Budget Natural Resources**



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# Department of Environmental Management

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## Agency Responsibilities

The Department of Environmental Management administers an extensive capital program to meet environmental and public safety requirements for state-owned facilities and management areas and for various municipal and non-profit beneficiaries. Major project categories are described below.

Anti-pollution Programs – The department manages grants from general obligation bond authorizations for municipal anti-pollution projects. Federal anti-pollution financing was changed from outright grants to capitalization grants to create state revolving loan funds in 1990. The R.I. Clean Water Finance Agency is the fund manager for the capital loan pool, while the department provides technical oversight and approval of projects financed by the agency. Other bond authorizations have provided financing for upgrades to facilities on the Pawtuxet River.

Hazardous Waste Programs – The department operates programs from the Environmental Response Fund for environmental emergency preparation and remediation. Activities include response, evaluation, cleanup, investigation, enforcement, and implementation of legal action, if required. Other bond authorizations have financed hazardous waste reduction studies, underground storage tank replacement, and a non-point source pollution management program. Some federal Superfund remediation projects require matching state contributions.

Narragansett Bay and Watershed Restoration Projects – Authorization approved in November 2004 and 2012 provided for matching grants for water quality protection efforts in Narragansett Bay, its tributaries and surrounding watershed. The program provides matching grants to governmental and non-governmental agencies for projects that restore water quality, including non-point source pollution abatement and riparian restoration projects.

Previously, a program called Aquafund was established by bond referendum in 1988 to finance state, local and private sector projects for planning and design, for construction and equipment, for pilot and prototypical projects, for wastewater pretreatment and treatment, and for urban runoff abatement.

Open Space and Natural Land Protection – The department manages state acquisitions and grants to municipalities and non-profit agencies for the acquisition of title and development rights to critical natural resources. Open space is acquired to safeguard land from private development. Development rights purchases allow private ownership of land while protecting the existing level of use, generally as farmland or open space.

Municipal Recreation Projects – The department administers grant programs for municipal acquisition and development of recreational lands and facilities. Bond financing is provided on a 50 percent matching basis; distressed communities are eligible for 75 percent financing.

State Recreation and Infrastructure Facilities – The department operates natural management areas, commercial fishing piers, and recreational facilities, for which bond authorizations and the Rhode Island Capital Plan Fund provide financing for acquisition, development, and renovation. Additional funds from federal, restricted and private sources are also utilized. The department also undertakes restoration and repair of state-owned dams in need of structural improvements with Rhode Island Capital Plan Fund resources.

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# Department of Environmental Management

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Greening Rhode Island Cities and Towns – Rhode Island municipalities face major budgetary challenges as they seek to maintain basic services while complying with state and federal requirements. These programs will provide local communities with tools to encourage new business development, ensure local business retention, and improve overall quality of life for residents.

Ensuring Resilient and Safe Communities –

Changing climate conditions are evident in extreme rain events, widespread flooding, rising temperatures, higher tides, and intensifying storms, which threaten public safety, damages public and private property, disrupt economic activity, and degrade water quality in our ponds, rivers, and oceans. This program will invest in resilient and safe communities by avoiding major economic loss during and after major storm events and will promote growth in jobs in industries related to adaption and flood mitigation.

Natural Resource Based Economic Development – The Department supports the growth of the Agricultural sector, which is a \$268 million industry in Rhode Island. According to the 2009 USDA’s Census of Agriculture, the state has experienced a 42 percent increase in the number of farms and a 19 percent increase in the market value of the products sold, which directly supports the increase of new jobs.

## Governor’s Recommendations

### Hazardous Waste Programs

Narragansett Bay and Watershed Restoration - The voters approved \$8.5 million in November 2004 to be utilized for several water pollution abatement projects including matching grants and incentive grants to state and local entities, non-profit organizations and for-profit businesses for water quality restoration and protection projects. In addition, a new referenda was approved in November 2012, for an additional \$4.0 million. The bond funds may be used in conjunction with federal and local contributions. Examples of projects to be funded include point and non-point source pollution abatement, nutrient loading abatement, best management grants for farmers and businesses, and riparian buffer enhancements. The watershed approach to pollution identification and assessment, with the remediation responsibility assigned to the polluter wherever possible, is also part of the department’s community-based approach to improving the health of Narragansett Bay and its tributaries. Prior to FY 2014, \$5.7 million in bond proceeds were expended on the project. The Governor recommends the expenditure of general obligation bond proceeds totaling \$3.0 million in FY 2014, consisting of \$2.0 million from the 2004 referenda and \$1.0 million from the 2012 referenda. Recommended general obligation expenditures in FY 2015 total \$1.8 million, comprised of \$839,934 from the 2004 issuance and \$1.0 million from the 2012 referenda. The FY 2016 recommendation totals \$2.0 million, financed entirely by proceeds from the 2012 general obligation bond.

### Open Space and Natural Land Protection

Open Space and Natural Land Protection – The Governor recommends a total of \$20.0 million for open space and land acquisition purchases by the department. Land acquisition includes land and development rights to preserve coastal access and shoreline open space, including land acquisition and development rights related to the implementation of the Greenspace Plan. Under the Plan, the department uses state bond funds combined with federal and non-profit funds (i.e. Nature Conservancy, Champlin Foundation, Audubon Society, and various land trusts) to coordinate preservation projects and acquire real estate. Goals of the department’s land acquisition program include watershed protection, expansion of open



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## Department of Environmental Management

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space and recreation areas, protection of greenways, easements to recreation lands, and preservation of wildlife habitat and natural heritage areas.

Prior to FY 2014, \$11.3 million was spent, consisting of \$9.2 million from the 2004 bond authorization and \$2.1 million from the 2008 bond authorization. In FY 2014, the Governor recommends \$375,612 from the 1998 general obligation bond authorization and \$834,278 from the 2004 general obligation bond authorization. Additionally, the recommendation includes financing from the November 2012 referendum totaling \$2.5 million to be used for the purchase of land, development rights, and conservation easements statewide. The 2012 general obligation bond recommendation consists of \$1.0 million annually in FY 2014 and FY 2015 and \$500,000 in FY 2016.

The Governor also recommends a \$5.0 million general obligation bond authorization be placed on the November 2014 ballot to provide additional grants to fund the acquisition of open space and conservation easements along vulnerable rivers, streams, ponds, and shoreline.

Farmland Preservation and Acquisition – The Governor recommends a total of \$13.5 million for farmland development rights to relieve farm owners from the economic pressure to sell their land for residential or commercial development, thus making the properties available for farming in perpetuity. For FY 2014, the Governor recommends \$1.0 million from the 2004 general obligation bond authorization and \$1.5 million from the November 2012 authorization. The recommendation also includes \$4.5 million from the November 2012 bond authorization; \$1.0 million in FY 2015, \$1.5 million in FY 2016, and \$500,000 in FY 2017. Prior to FY 2014, \$5.0 million was spent on this project from the 2004 general obligation bond authorization.

The Governor also recommends a \$3.0 million general obligation bond authorization be placed on the November 2014 ballot for the purchase and protection of farmland to be used for a long-term lease program to help new and beginning farmers ‘scale up’ and diversify their businesses.

### Municipal Recreation Projects

Rocky Point Acquisition/Renovations – The Governor recommends \$12.8 million for the Rocky Point Acquisition project, \$10.0 million of which was spent prior to FY 2014. The 2010 bond funds totaling \$10.3 million were mostly used to negotiate between the department and the Small Business Association (SBA) to acquire Rocky Point Park located in Warwick, Rhode Island.

In FY 2014, the Governor recommends Rhode Island Capital Plan Fund resources totaling \$2.5 million and remaining bond funds of \$298,288 for remedial cleanup of environmental liabilities at the former Rocky Point Amusement Park. The site consists of approximately 78.5 acres of land and includes fifty-four (54) vacant residential cottages, the former amusement park midway area, two (2) existing vacant commercial buildings and parking areas, and access roads. Liabilities include hazardous materials, solid waste and safety liabilities. The department hopes to provide the public with access to the land for many recreational activities, such as: picnicking, hiking, and sightseeing, as well as providing the public access to the shoreline for saltwater based recreation, such as boating, kayaking, and fishing.

Roger Williams Park – The state has provided bond proceeds to the City of Providence for various renovations and upgrades at Roger Williams Park in Providence since 1989. The Governor recommends a total of \$11.0 million in proceeds from the 2006 authorization for continuing park and zoo improvements, including the new botanical exhibit, a new elephant house and yard, and the North

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## Department of Environmental Management

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American Trail Exhibit. State bond funds are used in combination with private capital fundraising campaigns. Prior to FY 2014, \$10.7 million was spent from the 2006 authorization. In FY 2014, the Governor recommends the remaining balance of \$287,949 from the 2006 general obligation bond.

Local Land Acquisition - The Governor recommends a total of \$12.5 million for grants to municipalities, local land trusts, and non-profit organizations for the acquisition and development rights of coastal and shoreline access points, open space land, and land suitable for public recreational facilities. The program provides funds of up to 50 percent of the purchase price of the land and allows for the preservation of open space which possesses natural, ecological, agricultural, or scenic values. The Governor recommends \$1.0 million in FY 2014, \$1.0 million in FY 2015, and \$500,000 in FY 2016 from the referenda approved in November 2012. The Governor also recommends \$2.0 million annually in FY 2014 and FY 2015 and \$1.1 million in FY 2016 from the 2004 general obligation bond authorization. Prior to FY 2014, \$4.9 million had been expended on this project from general obligation bonds. The availability of a local match may alter the issuance and expenditure budgets for this project.

Local Recreation Development – The Governor recommends a total of \$31.5 million in general obligation bond proceeds for grants to municipalities to acquire, develop and rehabilitate local recreational facilities. The program provides funding assistance of up to 50 percent of total project costs. In some years, federal funds are available to augment state resources.

Prior to FY 2014, a total of \$18.0 million from prior general obligation bond authorizations had been expended on this program. The Governor recommends \$3.0 million in FY 2014 from the 2006 general obligation bond authorization. This recommendation also includes \$2.0 million FY 2014, \$1.0 million in FY 2015, and \$2.5 million in FY 2016 from the November 2012 referendum to provide grants to the municipalities for recreation acquisition and development.

The Governor also recommends a \$5.0 million general obligation bond authorization be placed on the November 2014 ballot to provide matching grant funds to municipalities to meet the ever growing needs for active recreational facilities. This includes the development of sports fields, tennis courts, and playgrounds.

Local Bikeways & Recreational Greenways - The Governor recommends a total of \$5.0 million for design and construction of local bikeways and recreational greenways, including off-road facilities connecting Rhode Island parks, open space, and other greenways. Prior to FY 2014, \$4.2 million was utilized from the 1998 bond authorization for local bikeway development in several communities. The Governor recommends \$766,005 in FY 2014 for the completion of this project.

Historic/Passive Local Recreation Grants – The Governor recommends a total of \$7.0 million for Historic/Passive Local Recreation Grants. The recommendation includes the 2004 local recreation bond authorization and new referenda approved in November of 2012 for the renovation and development of historic and passive recreation areas. The grants provide funding to municipalities of up to 90 percent of the cost for renovations and developments, including improvements to walking trails, gazebos, bandstands, lighting, picnic tables etc. Prior to FY 2014, \$2.9 million was utilized from the 2004 general obligation bond authorization. The Governor recommends \$1.1 million in FY 2014 from the 2004 bond authorization and \$500,000 annually in FY 2014 and FY 2015 in new referenda approved in November of 2012 for this program.

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## Department of Environmental Management

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The Governor also recommends a \$2.0 million general obligation bond authorization be placed on the November 2014 ballot for improvements to culturally and historically significant local parks, which have an important place in the community and for economic development.

Lake Recreation and Restoration – The Governor recommends a \$1.5 million general obligation bond authorization be placed on the November 2014 ballot to help restore lakes that are priority state recreational assets and improve access to fresh water bodies which in turn will provide additional fresh water recreational opportunities for all Rhode Islanders. These funds will be leveraged with partner contributions and in-kind donations.

Distressed Community Recreation Grants – The Governor recommends a \$2.0 million general obligation bond authorization be placed on the November 2014 ballot to provide 90 percent matching grant funds under the distressed communities program for the development of parks and parkland acquisition. Eligible communities include Woonsocket, West Warwick, Pawtucket, Central Falls, North Providence, and Providence.

### State Recreational Facilities

Blackstone Valley Bike Path/State Park – The General Assembly approved Rhode Island Capital Plan Fund financing of \$1.5 million for the Blackstone Valley Bike Path/State Park, to be utilized for the construction of the bike path. The Bike Path is scheduled to be a 17.1 mile by 12-foot wide scenic bike path that will connect to the East Bay Bike Path, eventually making a continuous 31.9 mile route for alternative transportation. The Governor recommends \$400,000 in FY 2014, \$350,000 in FY 2015, and \$198,410 in FY 2016 in Rhode Island Capital Plan Fund resources (RICAP). Prior to FY 2014 \$551,590 has been spent on this project.

Facilities Improvements - The Department is responsible for maintenance and operation of over fifty state-owned buildings, twenty-five miles of roads, and several bridges in state parks and management areas. The Governor recommends total expenditures of \$25.7 million, including Rhode Island Capital Plan Fund resources, federal financing, and issued general obligation bond proceeds for the asset protection of these projects.

In FY 2014, the Governor recommends \$1.3 million from the 2004 issued general obligation bond, \$750,000 in federal funds, and \$2.8 million from the Rhode Island Capital Plan Fund for a total of \$4.9 million. The recommendation also includes \$400,000 in FY 2015 and \$500,000 annually from FY 2016 to FY 2019 from the Rhode Island Capital Plan Fund for this project. Prior to FY 2014, a total of \$19.8 million had been spent on Recreational Facility Improvements.

Fort Adams Restoration – The Governor recommends a total of \$4.2 million for the Fort Adams State Park project in Newport. The Fort Adams Foundation and Fort Adams Trust have applied to various charitable trusts and non-profit organizations for matching grants to rehabilitate the structures at this historic fort site, including the National Park Service and the Champlain Foundation. The Fort contains significant American military history artifacts and is a National Landmark Structure.

In FY 2014, the Governor recommends \$500,000 in Rhode Island Capital Plan Fund financing for matching grants for continuing improvements to the uniquely designed and engineered structures at the park. The Governor also recommends \$300,000 in FY 2015 and \$125,000 in FY 2016 from the Rhode Island Capital Plan Fund for this project. Prior to FY 2014, a total \$3.3 million has been spent on this

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## Department of Environmental Management

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project, which consisted of \$1.5 million from the 2010 general obligation bond authorization and \$1.8 million from the Rhode Island Capital Plan Fund.

Fort Adams Sailing Improvements – The Governor recommends a total of \$10.0 million for improvements to the Fort Adams State Park to create an event center to host sailing events. The Department has been working with the Rhode Island Economic Development Corporation to design improvements to Fort Adams that will allow it to host large sailing events such as the America’s Cup, the Extreme Sailing Championship, and the Volvo Regatta. In the summer of 2012, Rhode Island hosted America’s Cup races and received rave reviews.

The Governor recommends \$3.9 million in FY 2014 and \$2.5 million in FY 2015 from the Rhode Island Capital Plan Fund for this project. Prior to FY 2014, \$1.5 million was expended.

The Department has also been working closely with Sail Newport to construct a new mid-park multi-use building to support sailing activities and house restrooms, storage, and meeting rooms. The Governor recommends \$2.2 million in FY 2014 from restricted receipts from Sail Newport for this portion of the project.

World War II State Park – The Governor recommends \$2.6 million in Rhode Island Capital Plan Fund financing for the construction of a new World War II State Park in Woonsocket, RI. Plans will revitalize the currently closed park with design ideas pending. The project will also include: water, sewer, storm water, and electrical upgrades; new curbing, walkways, plantings and shrubs, replacement of a pedestrian bridge, benches, a new playground and fencing. The Governor recommends \$2.6 million in FY 2015 in Rhode Island Capital Plan Fund financing. This project was initially scheduled to begin in FY 2012, but has been delayed due to design and operating setbacks.

### State Infrastructure Facilities

#### Fish and Wildlife Maintenance Facility -

The Governor recommends a total of \$250,000 in federal funds and \$250,000 in Rhode Island Capital Plan Funds for the construction of a new maintenance facility for the Division of Fish & Wildlife development section. The existing facilities at the Great Swamp Management area are over thirty years old and are incapable of housing the heavy equipment and research equipment used in managing fish and game areas supervised by the State. The project is a continuation of improvements to the Great Swamp Facility, which is a focal point of activities for the division including research activities, wildlife management, and public involvement for all fish and wildlife efforts of the department. The Governor recommends federal financing of \$40,000 in FY 2014 and \$210,000 in FY 2015 to begin the design of the facility. In FY 2017, the Governor recommends \$250,000 in Rhode Island Capital Plan Fund resources.

Galilee and Newport Piers - The Governor recommends a total of \$6.9 million from the Rhode Island Capital Plan Fund and \$2.9 million in federal funds for improvements at Galilee Piers (\$7.8 million) and at Newport Piers (\$2.0 million). These port facilities and piers support the State’s commercial marine fishing industry and provide access points for the Department’s enforcement and marine wildlife divisions.

Prior to FY 2014, \$1.2 million from the Rhode Island Capital Plan Fund was spent on the Galilee Piers and \$1.4 million on the Newport Piers. The Governor recommends continued financing for pier improvements. Financing from the Rhode Island Capital Plan Fund for the Galilee Piers is recommended

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## Department of Environmental Management

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in the amount of \$2.7 million in FY 2014, \$500,000 in FY 2015, \$332,500 in FY 2016, and \$125,000 annually in FY 2017 and FY 2018. The Department will also receive \$2.7 million in FY 2014 and \$221,600 in FY 2015 in federal financing for an Economic Development Administration grant, which will require a state match. Financing has been included for the match. This financing will continue the reconstruction and replacement of several piers, piling replacements and will focus on repairing the south bulkhead which is the largest component of programmed improvements.

Rhode Island Capital Plan Fund financing for the Newport Piers includes \$145,042 in FY 2014; \$250,000 in FY 2015; \$62,500 annually in FY 2016 and FY 2017; and \$125,000 in the post FY 2019 period. Most of the major reconstruction at Newport was completed in previous years; continued financing will be used for securing the heavy duty pier and its electrical service, upgrades to the office building, and fender pile replacements.

Natural Resources Offices/Visitor's Center - The Governor recommends a total of \$2.7 million from the Rhode Island Capital Plan Fund for the construction of a new office facility in the Arcadia Management Area. This recommendation includes \$447,453 in FY 2014 and \$2.3 million in FY 2015. This facility will consolidate and provide office space, laboratories, storage, and workshop areas for mosquito abatement testing, the state veterinarian, and the Administrative Offices and Freshwater Fisheries and Wildlife sections of the Division of Fish & Wildlife. The facility would also be utilized as a visitor's center for people using the Arcadia Management Area for fishing, hunting, hiking, mountain biking, swimming, and horseback riding. Expenditures prior to FY 2014 total \$8,000.

State-Owned Dams Rehabilitation - The Governor recommends \$7.8 million from the Rhode Island Capital Plan Fund to repair state-owned dams in various recreation and management areas operated by the department. The rehabilitation projects are for dams classified as high-hazard dams which is a measure of downstream hazard potential, not only a measure of the potential for failure. The repairs are also necessary to manage water levels at recreational fishing and swimming areas. Past years' work has included the rehabilitation at the Bowdish Reservoir dam in Burrillville, and the Stillwater Reservoir Dam in Smithfield, while two high-hazard dams in the John L. Curran Management Area are currently being repaired.

Prior to FY 2014, \$150,633 had been utilized for these projects. The Governor recommends \$1.3 million in FY 2014; \$550,000 in FY 2015; \$750,000 in FY 2016; \$1.0 million in FY 2017; and \$2.0 million annually in FY 2018 and FY 2019. The Governor's financing plan increases funding compared to prior years in an effort to accelerate the dam repair program thus reducing the liability for failure of state-owned dams.

Marine Infrastructure and Pier Development – The Governor recommends a \$5.0 million general obligation bond authorization be placed on the November 2014 ballot to provide funds for marine infrastructure and pier development at sites critical to Rhode Island's tourism and fishing economy. These funds will be used to develop a fishing pier, boating access ramp, and public restroom facility at Rocky Point in Warwick; develop a fishing pier and public restroom facility at India Point in Providence; and develop a fishing pier at Green Lane in Portsmouth.

Telephone Replacement – For FY 2015, the Governor recommends \$175,000 from the Rhode Island Capital Plan Fund to replace the telephone system at the Department of Environmental Management. This project would replace an outdated system that can no longer accommodate additional lines and/or

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## Department of Environmental Management

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changes to existing lines. It would also provide support for a new voicemail system and enhance customer service so the department can more efficiently work with the public.

### Greening Rhode Island Cities and Towns

Brownfield Remediation – The Governor recommends a \$5.0 million general obligation bond authorization be placed on the November 2014 ballot for Brownfield remediation projects. Between 10,000 and 12,000 abandoned industrial sites lie idle in towns and cities across the state. These funds would provide matching grants to public, private, and/or non-profit entities for the cleanup, reinvestment, and re-use of these sites to create and attract jobs, protect the urban environment, remove hazards, and reduce the cost of storm water flooding.

Green Streets and Green Neighborhoods Program - The Governor recommends a \$5.0 million general obligation bond authorization be placed on the November 2014 ballot to provide matching grants to public, private, and/or non-profit entities to install “Green Infrastructure,” such as green roofs, rain gardens, vegetated swales, pocket wetlands, green parking, vegetated filter strips, and riparian buffers to address major storm water issues in their communities. This investment will reduce beach closures, polluted ponds and flooding, build resiliency to extreme weather events, and create green jobs.

### Ensuring Resilient and Safe Communities

Flood Prevention – The Governor recommends a \$3.0 million general obligation bond authorization be placed on the November 2014 ballot to provide project design and construction grants for the restoration and/or improved resiliency of vulnerable coastal habitats and the restoration of rivers and stream floodplains.

### Natural Resource Based Economic Development

Growing our Local Food Sector – The Governor recommends a \$500,000 general obligation bond authorization be placed on the November 2014 ballot, which will be used for the continuation of the Local Agriculture and Seafood Act Grants Program. This program provides assistance to farmers and fishermen to boost marketing, enhance economic competitiveness, and increase the overall economic viability of Rhode Island’s local food sector.

Shellfish Management and Restoration Facility – The Governor recommends a \$3.0 million general obligation bond authorization be placed on the November 2014 ballot for the development of a facility that will serve as the base of operations for shellfish management and restoration in Rhode Island. The facility will house the Department’s Fish and Wildlife programming as well as administration, research, and operation and maintenance related to shellfish management and restoration. It will also facilitate collaboration with partners such as Save the Bay and The Nature Conservancy and serve as the base for aquaculture research and development.

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# Coastal Resources Management Council

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## Agency Responsibilities

The Rhode Island Coastal Resources Management Council is responsible for managing, preserving and restoring the coastal resources of Rhode Island through long-range planning that is embodied in the R.I. Coastal Resources Management Program, adopted in 1976, federally approved in 1978, and revised substantially in 1983 and 1996. The program defines the various types of shorelines and the activities and restrictions appropriate for each. For example, 70.0 percent of Rhode Island's shoreline is designated as either conservation or low intensity use and is protected from commercial development through restrictions on the type of allowable water structures.

In addition to the permitting process and other regulatory activities, the Council acts as the lead agency for Rhode Island's dealings with the U.S. Army Corps of Engineers. The latter administers funds for various capital project activities, such as the dredging of the Providence shipping channel, habitat restoration and beach replenishment and restoration.

## Governor's Recommendations

Coastal and Habitat Restoration Trust Fund – The Governor recommends the expenditure of \$250,000 in restricted receipts from the Oil Spill Prevention, Administration and Response Fund, (OSPAR) in FY 2014, and \$250,000 in each year thereafter through FY 2019, to preserve and restore coastal wetlands, fish runs, and eelgrass beds that protect the shore from storms and erosion, serve as breeding grounds for recreational and commercial fishing, filter out pollution, and hinder the spread of mosquitoes. Restoration projects are reviewed and selected by the R.I. Habitat Protection Team. Past project work totaling \$2.8 million has included 1) **Salt Marsh Restorations** (statewide assessments, Lonsdale Drive-in Marsh Buckeye Brook, salt marsh restorations at Fields Point, Stillhouse Cove, Palmer River, Mussachuck Creek, Walker Farm, Little Mussachuck Creek, Gooseneck Cove, Jacob's Point, Stillhouse Cove, Brenton Cove, Round Marsh in Jamestown, Manton Pond, Winnapaug, and Silver Creek in Bristol, Boyd's Marsh Town Pond habitat restoration, Hog Island, Goosewing Beach, Potowomut, Coggeshall, Barrington Beach); 2) **Dam Improvements** (Shannock Falls Dams accessways improvements, Dyerville Dam, Ten Mile River Reservation, Shady Lea Mill dam removal); 3) **Fish Passage Restoration** (Wakefield, Gilbert Stuart, Factory Brook, Kickemuit Reservoir, Atlantic Mills, Blackstone, Manton Pond, Ten Mile River, Woonasquatucket River, Rising Sun Mill, Pawcatuck River, Paragon, Lower Shannock, Blackstone River, Main Street Dam in South Kingston, Palisades, Kenyon Mill Dam, Manton Pond fishway); and 4) **Other Projects** (habitat planning & enhancements at Narrow River and Long Pond, wetlands restoration at Cocumscussoc Brook and Meadow Brook, restoration of Napatree and Third Beach dunes, hard clams in Rhode Island's salt ponds, sediment management in the Lower Pawtuxet River ecosystem, Allin's Cove Invasive control and upland restoration and Narragansett Bay Seagrass, enhanced eastern oyster restoration, caged scallop spawning sanctuary, Avondale farm/Colonel Willie Cove phragmite control as well as financing for environmental studies and mosquito abatement equipment). The total cost of the project during the capital planning period is \$1.5 million.

Fish Passage Restoration – The Governor recommends the total expenditure of \$699,769 in FY 2014 in federal funds provided by the American Recovery and Reinvestment Act (ARRA) to construct six high priority restoration projects that began in the fall of 2009 and will conclude in FY 2014. \$3.0 million has been spent to date. The projects will restore riverine habitats and associated wetlands for migratory fish species. Four projects (Lower Shannock Falls dam removal, and Horseshoe Falls, Hunts Mill Dam, and Turner Reservoir Dam fish ladders) are under construction. Two projects (the Omega Pond Dam fish ladder project and the Kenyon Mills Dam rock ramp) will be completed in early FY 2014. The project will restore

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## Coastal Resources Management Council

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access to 13 stream miles and 1,640 acres of spawning habitat to enhance the state's fresh water and salt water fisheries.

Secure Facility Area - The Governor recommends the expenditure of \$50,000 in Rhode Island Capital Plan funds in FY 2014 to construct a secure outdoor area at the Stedman Government Center to house the Agency's trailer able boats in order to provide both ready access and vessel security.

South Coast Restoration Project – The Governor recommends continuation of the project to dredge breachways and tidal deltas in order to replenish beach areas and restore fish passageways along the state's south coast. \$7.2 million in federal, restricted receipt (the Oil Spill Prevention, Administration and Response Fund, or OSPAR), general revenue, municipal expenditure, and Rhode Island Capital Plan funds have been spent to date to dredge Ninigret pond and perform eelgrass seeding, as well as to prepare for work at Winnapaug and Quonochontaug Ponds. The Governor recommends funding of the remaining \$567,000 for FY 2014 from Federal Emergency Management Agency funds available due to Hurricane Sandy for sediment removal and habitat restoration at Winnapaug. \$1.0 million in funding from this or other sources is also recommended to dredge the Ninigret sedimentation basin in order to preserve past habitat restoration work. These funds will be included under the Rhode Island Emergency Management Agency.

Large Rock Removal, Ninigret Pond Breachway -

The project calls for the removal boulders from the inlet and its eastward approaches that constitute a hazard to navigation. Because of Hurricane Sandy, however, the breachway area has suffered not only from additional rock falls but large sediment deposition that require removal. Therefore, the Governor recommends the use of Federal Emergency Management Agency (FEMA) public assistance funds, matched at a 25 percent rate with state funds, for the expanded project. Tentative cost estimates of \$80,500 in state match and \$322,000 in federal funds are subject to further review and refinement by both the Agency and by FEMA. These funds will be included under the Rhode Island Emergency Management Agency.



# **Transportation**



# Budget

## Transportation Function Expenditures

	FY 2012 Actuals	FY 2013 Actuals	FY 2014 Enacted	FY 2014 Recommended	FY 2015 Recommended
<b>Expenditure by Object</b>					
Personnel	104,792,485	94,915,751	104,906,314	109,922,827	112,267,039
Operating Supplies and Expenses	(11,136,556)	16,807,358	39,750,343	38,818,473	39,856,546
Aid to Local Units of Government	-	-	-	-	-
Assistance, Grants, and Benefits	25,426,724	21,409,830	39,893,093	37,552,215	42,801,267
<b>Subtotal: Operating Expenditures:</b>	<b>\$119,082,653</b>	<b>\$133,132,939</b>	<b>\$184,549,750</b>	<b>\$186,293,515</b>	<b>\$194,924,852</b>
Capital Purchases and Equipment	164,342,159	137,035,992	174,509,795	190,726,329	223,231,495
Debt Service	-	-	-	-	-
Operating Transfers	103,950,448	137,877,271	101,190,452	101,172,440	101,071,035
<b>Total Expenditures</b>	<b>\$387,375,260</b>	<b>\$408,046,202</b>	<b>\$460,249,997</b>	<b>\$478,192,284</b>	<b>\$519,227,382</b>
<b>Expenditures by Funds</b>					
General Revenue	-	-	-	-	-
Federal Funds	291,517,766	272,369,503	311,761,586	349,127,537	352,114,755
Restricted Receipts	2,518,104	973,230	8,010,496	6,868,950	12,352,761
Other Funds	93,339,390	134,703,469	140,477,915	122,195,797	154,759,866
<b>Total Expenditures</b>	<b>\$387,375,260</b>	<b>\$408,046,202</b>	<b>\$460,249,997</b>	<b>\$478,192,284</b>	<b>\$519,227,382</b>
<b>FTE Authorization</b>	<b>772.6</b>	<b>772.6</b>	<b>772.6</b>	<b>752.6</b>	<b>752.6</b>

# Agency

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## Department Of Transportation

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### Agency Mission

To provide, maintain and secure an Intermodal Transportation Network that increases the mobility opportunities for the movement of people and goods with the goals of enabling economic development and quality of life.

### Agency Description

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for providing and maintaining a safe, efficient and environmentally sensitive surface transportation (highway, mass transit, and rail) infrastructure that serves the needs of Rhode Island residents and visitors. The Department must ensure that the State's transportation system provides safe and efficient mobility at all times, day or night, in all traffic or weather conditions. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The Department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 32 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 1,300 lane miles of highway and 834 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. In addition to the traditional highway construction/maintenance activities, the Department has considerable responsibility for intermodal transportation. This includes, planning, developing and implementing projects in the areas of transit, rail, water and bicycle/pedestrian transportation. The Department has additional responsibilities for air quality planning for air quality planning and coordination for all transportation projects, including the congestion Management and Air Quality (CMAQ) and Transportation Enhancement programs.

Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues, DMV fees and Rhode Island Capital Plan funds. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991. The Department oversees the Rideshare and Fringe Parking programs and coordinates with RIPTA on capital development and operations. The Department has shifted the administration of the Federal Transit Administration grant to RIPTA. The Department remains responsible for the administration of the Rail modernization funding.

### Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration. R.I.G.L. 39-18.1-4 establishes the Rhode Island Highway Maintenance Trust Fund.

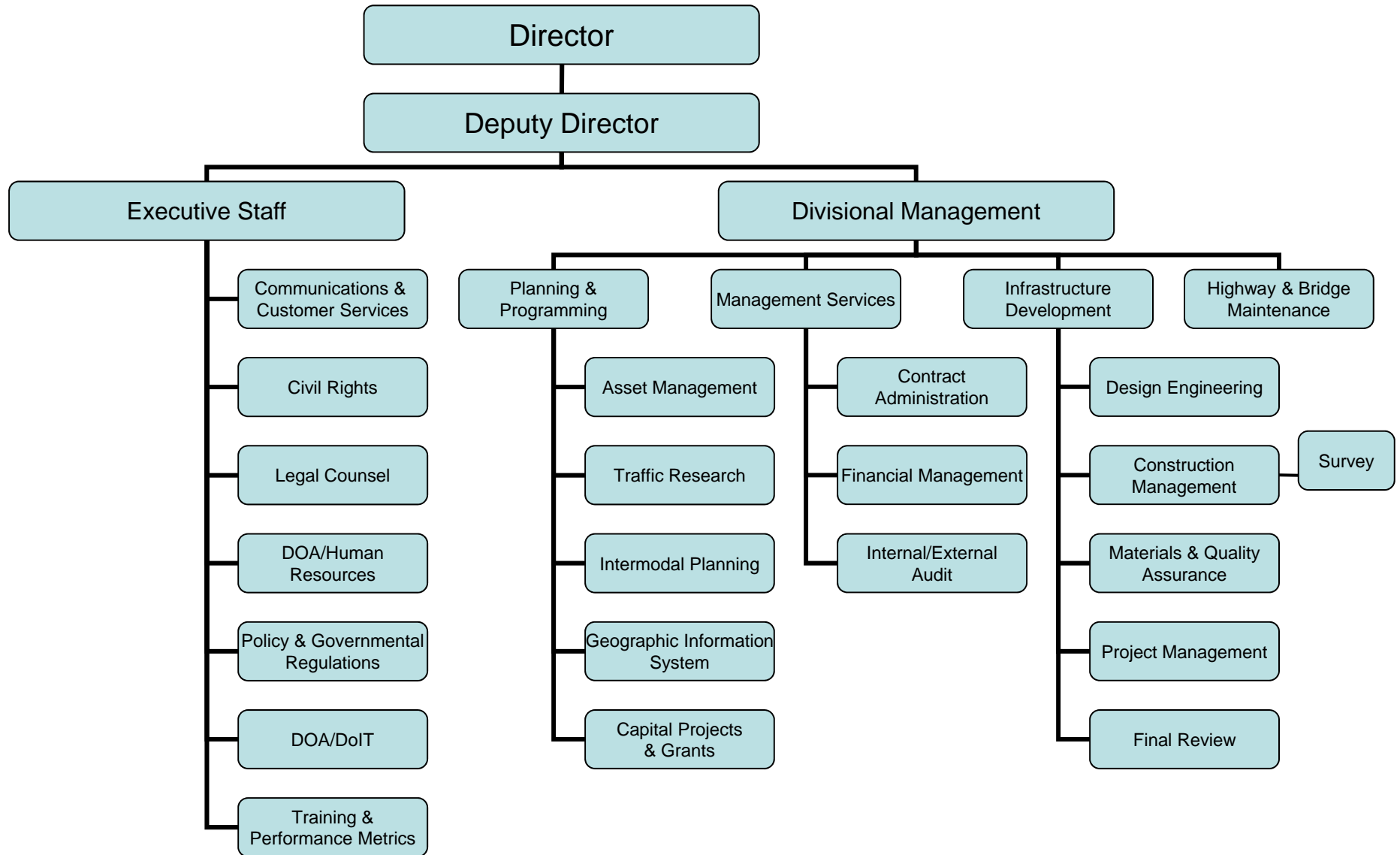
# Budget

## Department Of Transportation

	FY 2012 Audited	FY 2013 Audited	FY 2014 Enacted	FY 2014 Revised	FY 2015 Recommend
<b>Expenditures By Program</b>					
Central Management	7,783,440	6,872,596	12,763,706	9,693,699	10,970,706
Management and Budget	851,519	1,705,469	1,549,669	2,165,981	2,242,961
Infrastructure-Engineering	342,957,820	360,276,267	397,574,337	417,865,466	451,454,706
Infrastructure-Maintenance	35,782,481	39,191,870	48,362,285	48,467,138	54,559,009
<b>Total Expenditures</b>	<b>\$387,375,260</b>	<b>\$408,046,202</b>	<b>\$460,249,997</b>	<b>\$478,192,284</b>	<b>\$519,227,382</b>
<b>Expenditures By Object</b>					
Personnel	104,792,485	94,915,751	104,906,314	109,922,827	112,267,039
Operating Supplies and Expenses	(11,136,556)	16,807,358	39,750,343	38,818,473	39,856,546
Assistance and Grants	25,426,724	21,409,830	39,893,093	37,552,215	42,801,267
<b>Subtotal: Operating Expenditures</b>	<b>119,082,653</b>	<b>133,132,939</b>	<b>184,549,750</b>	<b>186,293,515</b>	<b>194,924,852</b>
Capital Purchases and Equipment	164,342,159	137,035,992	174,509,795	190,726,329	223,231,495
Operating Transfers	103,950,448	137,877,271	101,190,452	101,172,440	101,071,035
<b>Total Expenditures</b>	<b>\$387,375,260</b>	<b>\$408,046,202</b>	<b>\$460,249,997</b>	<b>\$478,192,284</b>	<b>\$519,227,382</b>
<b>Expenditures By Funds</b>					
Federal Funds	291,517,766	272,369,503	311,761,586	349,127,537	352,114,755
Restricted Receipts	2,518,104	973,230	8,010,496	6,868,950	12,352,761
Operating Transfers from Other Funds	1,875,343	34,196,983	27,050,210	14,363,378	31,423,529
Other Funds	91,464,047	100,506,486	113,427,705	107,832,419	123,336,337
<b>Total Expenditures</b>	<b>\$387,375,260</b>	<b>\$408,046,202</b>	<b>\$460,249,997</b>	<b>\$478,192,284</b>	<b>\$519,227,382</b>
<b>FTE Authorization</b>	<b>772.6</b>	<b>772.6</b>	<b>772.6</b>	<b>752.6</b>	<b>752.6</b>

# The Agency

## Department of Transportation



# Personnel

## Department Of Transportation Agency Summary

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Classified		747.6	42,653,151	747.6	42,881,235
Unclassified		5.0	435,814	5.0	435,814
<b>Subtotal</b>		<b>752.6</b>	<b>\$43,088,965</b>	<b>752.6</b>	<b>\$43,317,049</b>
Cost Allocation from Other Programs		-	8,604,073	-	8,537,808
Cost Allocation to Other Programs		-	(\$8,604,073)	-	(\$8,537,808)
Overtime		-	3,276,000	-	3,376,000
Temporary and Seasonal		-	761,683	-	849,767
Turnover		-	(\$3,152,141)	-	(\$3,172,859)
<b>Subtotal</b>		<b>-</b>	<b>\$885,542</b>	<b>-</b>	<b>\$1,052,908</b>
<b>Total Salaries</b>		<b>752.6</b>	<b>\$43,974,507</b>	<b>752.6</b>	<b>\$44,369,957</b>
<b>Benefits</b>					
Payroll Accrual			240,434		242,033
Holiday			15,000		15,000
FICA			3,358,298		3,390,194
Retiree Health			2,845,832		2,709,327
Health Benefits			9,891,425		10,664,477
Retirement			9,800,789		9,967,818
Contract Stipends			33,500		33,500
<b>Subtotal</b>			<b>\$26,185,278</b>		<b>\$27,022,349</b>
<b>Total Salaries and Benefits</b>		<b>752.6</b>	<b>\$70,159,785</b>	<b>752.6</b>	<b>\$71,392,306</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$92,211</b>		<b>\$93,732</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,696,994</b>		<b>\$1,706,433</b>
<b>Payroll Costs</b>		<b>752.6</b>	<b>\$71,856,779</b>	<b>752.6</b>	<b>\$73,098,739</b>
<b>Purchased Services</b>					
Information Technology			85,000		85,000
Management & Consultant Services			190,000		190,000
Legal Services			30,000		30,000
Other Contracts			92,300		95,300
Design and Engineering Services			37,668,748		38,768,000
<b>Subtotal</b>			<b>\$38,066,048</b>		<b>\$39,168,300</b>
<b>Total Personnel</b>		<b>752.6</b>	<b>\$109,922,827</b>	<b>752.6</b>	<b>\$112,267,039</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		334.0	\$81,641,829	314.0	\$80,226,112
Restricted Receipts		14.0	\$1,984,531	34.0	\$3,988,326
Other Funds		404.6	\$26,296,467	404.6	\$28,052,601
<b>Total All Funds</b>		<b>752.6</b>	<b>\$109,922,827</b>	<b>752.6</b>	<b>\$112,267,039</b>

# The Program

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## Department Of Transportation Central Management

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### **Program Mission**

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

### **Program Description**

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Office of Legal Counsel is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the Department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefit programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the Department by handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation's website: [www.dot.state.ri.us](http://www.dot.state.ri.us).

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits.

### **Statutory History**

R.I.G.L. 42-13 governs the Department of Transportation.



# The Budget

## Department Of Transportation Central Management

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	7,783,440	6,872,596	12,763,706	9,693,699	10,970,706
<b>Total Expenditures</b>	<b>\$7,783,440</b>	<b>\$6,872,596</b>	<b>\$12,763,706</b>	<b>\$9,693,699</b>	<b>\$10,970,706</b>
<b>Expenditures By Object</b>					
Personnel	3,466,716	3,582,338	2,287,048	2,433,823	2,511,147
Operating Supplies and Expenses	266,604	307,692	574,047	495,950	506,655
Assistance and Grants	1,915,001	2,353,018	5,298,658	3,939,716	4,020,772
<b>Subtotal: Operating Expenditures</b>	<b>5,648,321</b>	<b>6,243,048</b>	<b>8,159,753</b>	<b>6,869,489</b>	<b>7,038,574</b>
Capital Purchases and Equipment	2,135,119	629,548	4,603,953	2,824,210	3,932,132
<b>Total Expenditures</b>	<b>\$7,783,440</b>	<b>\$6,872,596</b>	<b>\$12,763,706</b>	<b>\$9,693,699</b>	<b>\$10,970,706</b>
<b>Expenditures By Funds</b>					
Federal Funds	6,450,139	5,267,351	11,307,723	7,999,987	9,199,986
Other Funds	1,333,301	1,605,245	1,455,983	1,693,712	1,770,720
<b>Total Expenditures</b>	<b>\$7,783,440</b>	<b>\$6,872,596</b>	<b>\$12,763,706</b>	<b>\$9,693,699</b>	<b>\$10,970,706</b>

# Personnel

## Department Of Transportation Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR FOR REAL ESTATE (DOT)	00139A	1.0	106,169	1.0	106,169
DEPUTY CHIEF OF LEGAL SERVICES	00137A	4.0	348,039	4.0	348,039
CHIEF REAL ESTATE SPECIALIST (DOT)	00032A	1.0	82,151	1.0	82,151
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A	1.0	79,233	1.0	79,233
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,021	1.0	78,021
ADMINISTRATOR FOR POLICY AND COMMUNITY	00139A	1.0	77,626	1.0	77,626
CHIEF PUBLIC AFFAIRS OFFICER (DOT)	00137A	1.0	77,056	1.0	80,882
LEGISLATIVE LIAISON OFFICER	00131A	1.0	75,585	1.0	75,585
PRINCIPAL REAL ESTATE SPECIALIST	00029A	1.0	75,427	1.0	75,427
CHIEF CIVIL ENGINEER (TRAFFIC DESIGN)	00138A	1.0	73,441	1.0	76,126
SENIOR LEGAL COUNSEL	00134A	3.0	215,322	3.0	216,359
HIGHWAY SAFETY PROGRAM COORDINATOR (DOT)	02831A	4.0	283,666	4.0	285,918
PRINCIPAL RESEARCH TECHNICIAN	00027A	1.0	66,655	1.0	66,655
CHIEF FACILITIES MANAGEMENT OFFICER (DOT)	00135A	1.0	65,982	1.0	65,982
CHIEF COMMUNITY LIAISON OFFICER	00129A	1.0	64,917	1.0	67,716
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	63,832	1.0	63,832
COMMUNITY RELATIONS LIAISON OFFICER	00032A	1.0	63,345	1.0	63,345
LEGAL COUNSEL	00132A	1.6	100,236	1.6	103,479
SENIOR PHOTOGRAPHIC SPECIALIST	00024A	2.0	117,374	2.0	117,374
SENIOR REAL ESTATE SPECIALIST (DOT)	00026A	2.0	116,398	2.0	118,658
REAL ESTATE SPECIALIST	00023A	3.0	163,313	3.0	164,113
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	52,168	1.0	52,168
ASSISTANT ADMINISTRATIVE OFFICER	00021A	1.0	51,167	1.0	52,697
SENIOR INFORMATION AND PUBLIC RELATIONS	00124A	1.0	48,386	1.0	49,827
CLERK SECRETARY	00K16A	1.0	46,024	1.0	46,682
HISTORIC PRESERVATION SPECIALIST	00021A	1.0	44,504	1.0	44,504
EXECUTIVE ASSISTANT	00118A	2.0	86,010	2.0	86,846
INFORMATION AND PUBLIC RELATIONS	00121A	1.0	42,965	1.0	44,398
LEGAL ASSISTANT	00119A	1.0	41,683	1.0	41,683
PRINCIPAL RESEARCH TECHNICIAN	00127A	1.0	41,683	1.0	67,013
SENIOR WORD PROCESSING TYPIST	00012A	2.0	72,224	2.0	72,224
<b>Subtotal</b>		<b>45.6</b>	<b>\$2,920,602</b>	<b>45.6</b>	<b>\$2,970,732</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF TRANSPORTATION	00947KF	1.0	134,640	1.0	134,640
EXECUTIVE COUNSEL	00839A	1.0	102,020	1.0	102,020
INDUSTRIAL REPRESENTATIVE (BUSINESS &	00131A	1.0	85,451	1.0	85,451
ASSISTANT LEGAL COUNSEL (TRANSPORTATION)	00822A	1.0	57,438	1.0	57,438
ADMINISTRATIVE ASSISTANT	00825A	1.0	56,265	1.0	56,265
<b>Subtotal</b>		<b>5.0</b>	<b>\$435,814</b>	<b>5.0</b>	<b>\$435,814</b>

# Personnel

## Department Of Transportation Central Management

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		-	(1,895,324)	-	(1,884,284)
Turnover		-	(65,623)	-	(88,063)
<b>Subtotal</b>		-	<b>(\$1,960,947)</b>	-	<b>(\$1,972,347)</b>
<b>Total Salaries</b>		<b>50.6</b>	<b>\$1,395,469</b>	<b>50.6</b>	<b>\$1,434,199</b>
<b>Benefits</b>					
Payroll Accrual			8,408		8,638
FICA			106,339		109,356
Retiree Health			98,660		96,807
Health Benefits			225,034		247,250
Retirement			335,608		348,943
<b>Subtotal</b>			<b>\$774,049</b>		<b>\$810,994</b>
<b>Total Salaries and Benefits</b>		<b>50.6</b>	<b>\$2,169,518</b>	<b>50.6</b>	<b>\$2,245,193</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$42,876</b>		<b>\$44,371</b>
<b>Statewide Benefit Assessment</b>			<b>\$59,305</b>		<b>\$60,954</b>
<b>Payroll Costs</b>		<b>50.6</b>	<b>\$2,228,823</b>	<b>50.6</b>	<b>\$2,306,147</b>
<b>Purchased Services</b>					
Management & Consultant Services			85,000		85,000
Legal Services			30,000		30,000
Other Contracts			75,000		75,000
Design and Engineering Services			15,000		15,000
<b>Subtotal</b>			<b>\$205,000</b>		<b>\$205,000</b>
<b>Total Personnel</b>		<b>50.6</b>	<b>\$2,433,823</b>	<b>50.6</b>	<b>\$2,511,147</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		8.0	\$928,911	8.0	\$939,627
Other Funds		42.6	\$1,504,912	42.6	\$1,571,520
<b>Total All Funds</b>		<b>50.6</b>	<b>\$2,433,823</b>	<b>50.6</b>	<b>\$2,511,147</b>

# Performance Measures

## Department Of Transportation Central Management

### *Roadway Fatalities*

The Rhode Island Department of Transportation (RIDOT) is committed to reducing the number of fatalities on Rhode Island roadways. RIDOT defines a fatality as any death that occurs within 720 hours following a crash. RIDOT's efforts to reduce fatalities are outlined in its Strategic Highway Safety Plan, available at <http://www.dot.ri.gov>. RIDOT's objective is to move toward zero deaths on RI roadways, with an interim goal to halve the number of fatalities between 2010 and 2030 (from 67 to 33). The figures below represent the number of annual roadway fatalities in RI.

	2011	2012	2013	2014	2015
<b>Target</b>	65	63	61	59	57
<b>Actual</b>	66	64	42	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *Roadway Fatalities - Seat Belted*

The figures below represent fatalities on Rhode Island roadways in which the deceased vehicle occupant(s) used seatbelts and/or appropriate child passenger safety (CPS) restraints.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	23	30	12	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *Roadway Fatalities - Non-Seat Belted*

The figures below represent fatalities on Rhode Island roadways in which the deceased vehicle occupant(s) did not use seatbelts and/or appropriate CPS restraints.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	23	19	11	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *Motorcycle Fatalities - Unhelmeted*

The figures below represent motorcycle fatalities on Rhode Island roadways in which the deceased motorcyclist/passenger(s) did not wear a motorcycle helmet.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	8	6	7	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

# The Program

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## Department Of Transportation Management and Budget

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### **Program Mission**

To provide the necessary support staff and systems needed to ensure efficient program services.

### **Program Description**

The Management and Budget Program consists of three functional units: The Financial Management Division, the Administrative Services Division and the Transportation Support Division.

The Administrative Services Division develops and implements a comprehensive administrative support services program, oversees and manages Contract Administration, the Office of Business and Community Resources, which includes the Department's external Education and Advancement initiatives and holds the responsibility for all departmental administrative, programming, coordination and liaison duties for the University of Rhode Island's Transportation Center. The Office of Contracts and Specifications establishes formal business arrangements between DOT and suppliers of all major goods and services that the Department deems necessary to purchase from the private sector. The External Audit Section serves as the final review for contract payments to consulting engineers, utilities, municipalities and public entities engaged to assist the Department in successful mission accomplishment. The Financial Management Section maintains oversight and administration of the entire Department's operating and highway expenditures. The Fleet Operations Unit is composed of a Fleet Management Officer and Support Personnel. The primary function is to maintain and enhance the quality of the Department's communications system and fleet of vehicles and to keep them in good, safe operating condition.

### **Statutory History**

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

# The Budget

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## Department Of Transportation Management and Budget

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	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	851,519	1,705,469	1,549,669	2,165,981	2,242,961
<b>Total Expenditures</b>	<b>\$851,519</b>	<b>\$1,705,469</b>	<b>\$1,549,669</b>	<b>\$2,165,981</b>	<b>\$2,242,961</b>
<b>Expenditures By Object</b>					
Personnel	615,317	1,376,993	1,159,174	1,808,361	1,887,641
Operating Supplies and Expenses	203,955	262,212	316,495	277,620	265,320
<b>Subtotal: Operating Expenditures</b>	<b>819,272</b>	<b>1,639,205</b>	<b>1,475,669</b>	<b>2,085,981</b>	<b>2,152,961</b>
Capital Purchases and Equipment	32,247	66,264	74,000	80,000	90,000
<b>Total Expenditures</b>	<b>\$851,519</b>	<b>\$1,705,469</b>	<b>\$1,549,669</b>	<b>\$2,165,981</b>	<b>\$2,242,961</b>
<b>Expenditures By Funds</b>					
Other Funds	851,519	1,705,469	1,549,669	2,165,981	2,242,961
<b>Total Expenditures</b>	<b>\$851,519</b>	<b>\$1,705,469</b>	<b>\$1,549,669</b>	<b>\$2,165,981</b>	<b>\$2,242,961</b>

# Personnel

## Department Of Transportation Management and Budget

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DEPARTMENT OF	00149A	1.0	158,628	1.0	158,628
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	129,687	1.0	129,687
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERV	00143A	1.0	129,194	1.0	129,194
CONTRACTS AND SPECIFICATIONS	00139A	1.0	98,084	1.0	98,084
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	1.0	92,447	1.0	92,447
CHIEF AUDIT AND FINAL REVIEW (DOT)	00143A	1.0	92,446	1.0	92,446
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	2.0	182,381	2.0	182,381
INTERNAL AUDIT MANAGER (DOA)	00136A	2.0	165,855	2.0	165,855
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	3.0	235,701	3.0	241,217
PRINCIPAL PROGRAM ANALYST	00K28A	1.0	73,083	1.0	73,083
INVESTIGATIVE AUDITOR	00133A	3.0	218,395	3.0	220,770
CHIEF OF STAFF DEVELOPMENT, TRAINING &	00135A	1.0	72,449	1.0	73,047
ADMINISTRATOR EXTERNAL CIVIL RIGHTS	00136A	1.0	70,038	1.0	70,038
FLEET OPERATIONS OFFICER	00030A	1.0	69,655	1.0	69,655
SUPERVISING ACCOUNTANT	02831A	4.0	278,328	4.0	279,012
CHIEF IMPLEMENTATION AIDE	00128A	1.0	68,640	1.0	68,640
CHIEF PROGRAM DEVELOPMENT	02834A	1.0	68,346	1.0	68,346
CHIEF STANDARDS AND INSPECTION	02831A	1.0	64,139	1.0	64,139
FISCAL MANAGEMENT OFFICER	00K26A	5.0	314,117	5.0	318,176
PRINCIPAL ACCOUNTING POLICY AND METHODS	00028A	1.0	61,485	1.0	61,485
AUDITOR	00K22A	1.0	59,168	1.0	59,168
SENIOR EQUAL OPPORTUNITY OFFICER	00126A	1.0	58,048	1.0	58,048
BUSINESS MANAGEMENT OFFICER	00026A	1.0	56,620	1.0	56,620
CHIEF PREAUDIT SUPERVISOR	02831A	1.0	56,442	1.0	56,442
IMPLEMENTATION AIDE	00122A	1.0	55,006	1.0	55,006
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	53,006	1.0	53,006
TRAINING SUPERVISOR	00126A	2.0	105,671	2.0	107,266
ASSISTANT ADMINISTRATIVE OFFICER	00021A	2.0	101,409	2.0	101,409
SENIOR ACCOUNTANT	00023A	2.0	93,702	2.0	95,727
AUTOMOTIVE SERVICE SPECIALIST	00018A	1.0	44,652	1.0	44,652
BILLING SPECIALIST	00018A	6.0	251,394	6.0	253,530
EXECUTIVE ASSISTANT	00118A	2.0	83,019	2.0	83,019
ACCOUNTANT	00020A	1.0	39,255	1.0	40,232
<b>Subtotal</b>		<b>55.0</b>	<b>\$3,700,490</b>	<b>55.0</b>	<b>\$3,720,455</b>

# Personnel

## Department Of Transportation Management and Budget

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		-	(2,284,866)	-	(2,265,122)
Overtime		-	1,000	-	1,000
Turnover		-	(360,786)	-	(360,786)
<b>Subtotal</b>		-	<b>(\$2,644,652)</b>	-	<b>(\$2,624,908)</b>
<b>Total Salaries</b>		<b>55.0</b>	<b>\$1,055,838</b>	<b>55.0</b>	<b>\$1,095,547</b>
<b>Benefits</b>					
Payroll Accrual			6,346		6,583
FICA			79,259		82,453
Retiree Health			74,578		73,883
Health Benefits			202,319		224,853
Retirement			260,190		272,803
<b>Subtotal</b>			<b>\$622,692</b>		<b>\$660,575</b>
<b>Total Salaries and Benefits</b>		<b>55.0</b>	<b>\$1,678,530</b>	<b>55.0</b>	<b>\$1,756,122</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$30,519</b>		<b>\$31,929</b>
<b>Statewide Benefit Assessment</b>			<b>\$44,831</b>		<b>\$46,519</b>
<b>Payroll Costs</b>		<b>55.0</b>	<b>\$1,723,361</b>	<b>55.0</b>	<b>\$1,802,641</b>
<b>Purchased Services</b>					
Information Technology			85,000		85,000
<b>Subtotal</b>			<b>\$85,000</b>		<b>\$85,000</b>
<b>Total Personnel</b>		<b>55.0</b>	<b>\$1,808,361</b>	<b>55.0</b>	<b>\$1,887,641</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		55.0	\$1,808,361	55.0	\$1,887,641
<b>Total All Funds</b>		<b>55.0</b>	<b>\$1,808,361</b>	<b>55.0</b>	<b>\$1,887,641</b>



# The Program

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## Department Of Transportation Infrastructure-Engineering

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### Program Mission

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

### Program Description

The Infrastructure Engineering Program includes all design and construction activities, as well as many ancillary support functions, for the State's road and bridge construction program. The program encompasses the Construction, Design and Planning components of the Transportation Development Division.

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Environmental and Administrative Personnel. Transportation Development is responsible for the development of projects and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section is responsible for the administration and inspection of all highway, bridge, traffic signal, and destination signing and freight/commuter rail construction activities throughout the State. The Design Section includes Road, Bridge and Engineering Traffic Sections. The Road Section designs and manages roadway improvements design projects performed by consultants. The Bridge Section manages replacement and rehab priorities, administering engineering design contracts, overseeing the design process and insuring conformance with State and Federal policies and design standards, construction phase reconnaissance. The Engineering Traffic Section manages traffic and safety improvement projects from the design study phase to advertising for bids. The Traffic Research Section collects data to maintain and update several of the Department's primary database and information management systems. The Office of Environmental Programs is charged with the responsibility of strengthening the Department's communication and compliance efforts with respect to environmental issues. The Material's Unit is responsible for all quality assurance sampling and testing conducted for the Department's construction and maintenance programs. The Research and Technology Development Section's responsibilities lie in transportation engineering research, product evaluation and technology transfer. The Transportation Management Center functions as the main point for the integration of multiple intelligent transportation systems to better manage traffic on roadways. The Final Review Section is responsible for review and verification of construction quantities of all contract items. The Occupational Safety & Health and Work Zone Safety Section administer the Department's comprehensive safety and health program in accordance with Rhode Island General Law 28-20-10. The Capital Programming Unit develops and monitors RIDOT's Capital Transportation Program to best meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP). Lastly, the Intermodal Transportation Planning Section develops and implements planning projects by developing an integrated transportation system that provides convenient transition between mode shifts.

### Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

# The Budget

## Department Of Transportation Infrastructure-Engineering

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	342,957,820	360,276,267	397,574,337	417,865,466	451,454,706
<b>Total Expenditures</b>	<b>\$342,957,820</b>	<b>\$360,276,267</b>	<b>\$397,574,337</b>	<b>\$417,865,466</b>	<b>\$451,454,706</b>
<b>Expenditures By Object</b>					
Personnel	83,452,991	72,156,282	82,824,899	86,900,479	88,829,376
Operating Supplies and Expenses	(27,561,198)	(3,807,717)	17,111,353	16,769,110	16,795,474
Assistance and Grants	23,082,100	18,574,561	34,092,435	33,140,575	38,287,892
<b>Subtotal: Operating Expenditures</b>	<b>78,973,893</b>	<b>86,923,126</b>	<b>134,028,687</b>	<b>136,810,164</b>	<b>143,912,742</b>
Capital Purchases and Equipment	160,071,241	135,533,400	162,355,198	179,882,862	206,470,929
Operating Transfers	103,912,686	137,819,741	101,190,452	101,172,440	101,071,035
<b>Total Expenditures</b>	<b>\$342,957,820</b>	<b>\$360,276,267</b>	<b>\$397,574,337</b>	<b>\$417,865,466</b>	<b>\$451,454,706</b>
<b>Expenditures By Funds</b>					
Federal Funds	285,067,627	267,102,152	300,453,863	341,127,550	342,914,769
Restricted Receipts	2,518,104	973,230	8,010,496	6,868,950	12,352,761
Operating Transfers from Other Funds	1,899	33,484,639	21,239,000	7,955,621	21,873,529
Other Funds	55,370,190	58,716,246	67,870,978	61,913,345	74,313,647
<b>Total Expenditures</b>	<b>\$342,957,820</b>	<b>\$360,276,267</b>	<b>\$397,574,337</b>	<b>\$417,865,466</b>	<b>\$451,454,706</b>

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF ENGINEER (DOT)	00149A	1.0	158,114	1.0	158,114
ADMINISTRATOR, TRANSPORTATION PLANNING & DEPUTY CHIEF ENGINEER (DOT)	00145A	1.0	140,779	1.0	140,779
MANAGING ENGINEER (DOT)	00141A	6.0	697,223	6.0	697,223
ASSOCIATE CHIEF ENGINEER (DOT)	00143A	2.0	221,530	2.0	221,530
CHIEF CIVIL ENGINEER (BRIDGE DESIGN)	00138A	1.0	103,615	1.0	103,615
CHIEF, OFFICE OF INTERMODAL PROGRAMS (DOT)	00139A	1.0	103,358	1.0	103,358
ADMINISTRATOR HIGHWAY & BRIDGE	00140A	2.0	205,193	2.0	205,193
CHIEF CIVIL ENGINEER (ROAD DESIGN)	00138A	2.0	204,029	2.0	204,029
CHIEF CIVIL ENGINEER (TRAFFIC DESIGN)	00138A	1.0	99,046	1.0	102,080
CHIEF STATE HIGHWAY SAFETY PROGRAMS (DOT)	00136A	1.0	96,936	1.0	96,936
CHIEF CIVIL ENGINEER (MATERIALS)	00138A	1.0	95,710	1.0	100,527
CHIEF CIVIL ENGINEER (TRANSPORTATION	00138A	2.0	188,851	2.0	188,851
CHIEF CIVIL ENGINEER (CONSTRUCTION &	00138A	5.0	467,646	5.0	481,884
CHIEF FACILITIES MANAGEMENT OFFICER (DOT)	00135A	1.0	92,718	1.0	92,718
SUPERVISING CIVIL ENGINEER (CONSTRUCTION &	02835A	1.0	92,285	1.0	92,285
INTERNAL AUDIT MANAGER (DOA)	00136A	1.0	91,843	1.0	91,843
MANAGER OF SURVEY OPERATIONS (DOT)	00135A	1.0	91,145	1.0	92,233
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	89,835	1.0	89,835
CHIEF OF ELECTRICAL AND TRAFFIC	02833A	1.0	85,705	1.0	85,705
PRINCIPAL CIVIL ENGINEER (MATERIALS)	00033A	7.0	599,931	7.0	599,931
PRINCIPAL CIVIL ENGINEER (CONSTRUCTION &	00033A	8.0	684,582	8.0	684,582
SUPERVISING CIVIL ENGINEER (BRIDGE DESIGN)	02835A	2.0	170,994	2.0	170,994
DATABASE ADMINISTRATOR ADABAS/NATURAL	02835A	1.0	85,076	1.0	85,076
CHIEF PROGRAM DEVELOPMENT	02834A	1.0	84,857	1.0	84,857
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	84,666	1.0	84,666
PRINCIPAL CIVIL ENGINEER (DESIGN)	00033A	13.0	1,099,128	13.0	1,099,128
HIGHWAY CONSTRUCTION AND MAINTENANCE	02833A	3.0	251,962	3.0	251,962
MANAGER STATE TRAFFIC OPERATIONS CENTER	02835A	1.0	83,940	1.0	86,205
HEALTH AND SAFETY OFFICER (DOT)	00133A	1.0	83,931	1.0	84,922
CHIEF REAL ESTATE SPECIALIST (DOT)	00032A	1.0	83,248	1.0	83,248
SUPERVISING HISTORIC PRESERVATION	00031A	1.0	82,317	1.0	82,317
REAL ESTATE APPRAISER III	00032A	1.0	81,040	1.0	81,040
PROFESSIONAL LAND SURVEYOR	00032A	2.0	160,878	2.0	160,878
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A	1.0	80,055	1.0	80,055
SENIOR CIVIL ENGINEER (TRANSPORTATION	00031A	1.0	79,699	1.0	79,699
CHIEF STANDARDS AND INSPECTION	02831A	1.0	77,727	1.0	77,727
PRINCIPAL ENVIRONMENTAL SCIENTIST	02832A	1.0	76,719	1.0	76,719
PROGRAMMING SERVICES OFFICER	00131A	2.0	153,185	2.0	153,185
SUPERVISOR OF MATERIALS STANDARDS AND	00031A	4.0	303,174	4.0	306,744
DEPARTMENT BUDGET ADMINISTRATOR	00134A	1.0	75,305	1.0	77,478
PRINCIPAL CHEMIST	00029A	1.0	74,724	1.0	74,724
SUPERVISOR, CONSTRUCTION RECORDS	00030A	1.0	74,587	1.0	76,512
REAL ESTATE APPRAISER II	00029A	1.0	73,026	1.0	73,026
SENIOR CIVIL ENGINEER (CONSTRUCTION &	00031A	9.0	648,536	9.0	656,427

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2014		FY 2015		
		FTE	Cost	FTE	Cost	
SUPERVISING ELECTRICAL INSPECTOR (DOT)	00028A	1.0	71,518	1.0	71,518	
PRINCIPAL AUDITOR	00028A	1.0	71,438	1.0	71,438	
SENIOR CIVIL ENGINEER (DESIGN)	00031A	18.0	1,270,065	18.0	1,275,816	
SENIOR CIVIL ENGINEER (CONSTRUCTION &	02931A	1.0	70,110	1.0	70,110	
PRINCIPAL HISTORIC PRESERVATION SPECIALIST	00028A	1.0	70,079	1.0	70,079	
SUPERVISING LANDSCAPE ARCHITECT	00032A	1.0	68,459	1.0	68,459	
ENGINEERING TECHNICIAN IV (CONSTRUCTION &	00027A	23.0	1,572,116	23.0	1,572,887	
PRINCIPAL PLANNER	00029A	3.0	202,920	3.0	202,920	
ENGINEERING TECHNICIAN IV (NATURAL	00027A	1.0	67,605	1.0	67,605	
SUPERVISING PLANNER	02831A	3.0	201,957	3.0	201,957	
ENGINEERING TECHNICIAN IV (MATERIALS)	00027A	2.0	134,474	2.0	135,310	
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00028A	4.0	267,233	4.0	269,597	
SURVEY PARTY CHIEF	00026A	1.0	65,776	1.0	65,776	
SUPERVISING BRIDGE SAFETY INSPECTOR	00027A	2.0	131,435	2.0	134,498	
SENIOR PLANNER	00026A	2.0	131,338	2.0	131,338	
SENIOR CIVIL ENGINEER (MATERIALS)	00031A	4.0	261,202	4.0	263,494	
SENIOR EXTERNAL EQUAL OPPORTUNITY	00027A	5.0	325,991	5.0	325,991	
SENIOR INDUSTRIAL SAFETY SPECIALIST	00025A	1.0	64,996	1.0	64,996	
MONITOR ADVOCATE/CHAMP COORDINATOR	00028A	1.0	64,413	1.0	64,413	
CHIEF IMPLEMENTATION AIDE	00028A	1.0	64,163	1.0	64,163	
OFFICE MANAGER	00K23A	2.0	128,014	2.0	128,014	
COMMUNITY LIAISON OFFICER	00024A	1.0	62,525	1.0	62,525	
SENIOR ENVIRONMENTAL SCIENTIST	00030A	2.0	124,650	2.0	129,088	
ENGINEERING TECHNICIAN IV (CONSTRUCTION	00027A	2.0	124,248	2.0	127,026	
PRINCIPAL RESEARCH TECHNICIAN	00027A	2.0	122,769	2.0	124,623	
ENGINEERING TECHNICIAN IV (ADMINISTRATIVE	00027A	5.0	306,021	5.0	309,149	
ASSISTANT BUILDING AND GROUNDS OFFICER	00024A	1.0	59,935	1.0	59,935	
REAL ESTATE APPRAISER I	00027A	2.0	118,156	2.0	118,156	
CONTRACTS SPECIALIST II (DOT)	00027A	3.0	177,026	3.0	177,026	
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST I	00026A	1.0	58,698	1.0	58,698	
PROGRAMMER/ANALYST I (ORACLE)	00028A	1.0	57,656	1.0	58,557	
OFFICE MANAGER	00123A	1.0	57,602	1.0	57,602	
CHIEF COMPLIANCE INSPECTOR	02830A	1.0	57,235	1.0	59,848	
ENVIRONMENTAL SCIENTIST	00026A	1.0	56,676	1.0	56,676	
PLANNER	00022A	2.0	113,221	2.0	113,221	
ENGINEERING TECHNICIAN III (CONSTRUCTION &	00023A	5	28.0	1,575,700	28.0	1,584,336
ENGINEERING TECHNICIAN III (CONSTRUCTION &	02923A	1.0	55,757	1.0	55,757	
ENGINEERING TECHNICIAN III (CONSTRUCTION	00023A	3.0	166,713	3.0	173,711	
ENGINEERING TECHNICIAN III (MATERIALS)	00023A	6	24.0	1,337,933	24.0	1,343,904
CIVIL ENGINEER	00027A	1	22.0	1,210,053	22.0	1,224,564
ELECTRICAL INSPECTOR (TRANSPORTATION)	00023A	5.0	272,537	5.0	272,537	
ASSISTANT ADMINISTRATIVE OFFICER	00021A	1.0	54,054	1.0	54,054	
SENIOR AUDITOR	00025A	7	3.0	171,460	3.0	171,460
SENIOR LANDSCAPE ARCHITECT	00026A	1.0	53,977	1.0	53,977	
SENIOR REAL ESTATE SPECIALIST (DOT)	00026A	8	3.0	172,265	3.0	172,686
CIVIL ENGINEERING ASSOCIATE	00025A	4.0	214,979	4.0	214,951	

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
ENGINEERING TECHNICIAN III (ADMINISTRATIVE)	00023A	3.0	159,555	3.0	159,555
ENGINEERING TECHNICIAN II (ADMINISTRATIVE)	00019A	1.0	51,553	1.0	51,553
AUDITOR	00K22A	4.0	203,034	4.0	207,276
INFORMATION SERVICES TECHNICIAN II	00020A	1.0	50,653	1.0	50,653
BRIDGE SAFETY INSPECTOR	00023A	2.0	98,896	2.0	98,896
ENGINEERING TECHNICIAN II (SURVEY)	00019A	1.0	49,096	1.0	49,096
RECORDS ANALYST	00024A	1.0	47,870	1.0	49,946
SYSTEMS SUPPORT TECHNICIAN I	03418A	1.0	47,408	1.0	47,408
ENGINEERING TECHNICIAN II (CONSTRUCTION & EXECUTIVE ASSISTANT	00019A <sup>3</sup>	24.0	1,120,679	24.0	1,132,340
ASSISTANT ADMINISTRATIVE OFFICER	00118A	2.0	89,094	2.0	89,094
ENGINEERING TECHNICIAN II (CONSTRUCTION CLERK SECRETARY	00019A	3.0	131,619	3.0	131,619
INFORMATION SERVICES TECHNICIAN I	00016A	1.0	43,690	1.0	44,927
ENGINEERING TECHNICIAN II (MATERIALS)	00019A <sup>4</sup>	9.0	378,950	9.0	382,514
SENIOR DRAFTPERSON	00023A	1.0	42,006	1.0	42,006
SUPERVISING TRANSPORTATION MANAGEMENT	02821A	1.0	39,643	1.0	39,643
SEMI-SKILLED LABORER	00010G	1.0	39,446	1.0	39,446
COMMUNICATION SYSTEM OPERATOR (DOT)	02816A	10.0	379,843	10.0	381,298
ENGINEERING TECHNICIAN I	00013A <sup>2</sup>	54.0	1,984,437	54.0	1,986,440
<b>Subtotal</b>		<b>425.0</b>	<b>\$26,310,365</b>	<b>425.0</b>	<b>\$26,446,823</b>
Cost Allocation from Other Programs		-	8,604,073	-	8,537,808
Cost Allocation to Other Programs		-	(4,423,883)	-	(4,388,402)
Overtime		-	1,543,000	-	1,643,000
Temporary and Seasonal		-	761,683	-	849,767
Turnover		-	(2,407,097)	-	(2,405,789)
<b>Subtotal</b>		<b>-</b>	<b>\$4,077,776</b>	<b>-</b>	<b>\$4,236,384</b>
<b>Total Salaries</b>		<b>425.0</b>	<b>\$30,388,141</b>	<b>425.0</b>	<b>\$30,683,207</b>
<b>Benefits</b>					
Payroll Accrual			169,014		170,032
FICA			2,321,223		2,344,900
Retiree Health			1,984,797		1,902,457
Health Benefits			6,386,427		6,874,077
Retirement			6,753,577		6,857,981
<b>Subtotal</b>			<b>\$17,615,038</b>		<b>\$18,149,447</b>
<b>Total Salaries and Benefits</b>		<b>425.0</b>	<b>\$48,003,179</b>	<b>425.0</b>	<b>\$48,832,654</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$111,156</b>		<b>\$112,901</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,193,252</b>		<b>\$1,198,422</b>
<b>Payroll Costs</b>		<b>425.0</b>	<b>\$49,196,431</b>	<b>425.0</b>	<b>\$50,031,076</b>

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Management & Consultant Services			105,000		105,000
Other Contracts			300		300
Design and Engineering Services			37,598,748		38,693,000
<b>Subtotal</b>			<b>\$37,704,048</b>		<b>\$38,798,300</b>
<b>Total Personnel</b>		<b>425.0</b>	<b>\$86,900,479</b>	<b>425.0</b>	<b>\$88,829,376</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		326.0	\$80,712,918	306.0	\$79,286,485
Restricted Receipts		14.0	\$1,984,531	34.0	\$3,988,326
Other Funds		85.0	\$4,203,030	85.0	\$5,554,565
<b>Total All Funds</b>		<b>425.0</b>	<b>\$86,900,479</b>	<b>425.0</b>	<b>\$88,829,376</b>

1 Reduction of 1.0 Civil Engineer FTE in FY 2014 and FY 2015 based on long term vacancies.

3 Reduction of 4.0 Engineering Tech II (Construction & Maintenance) FTE's in FY 2014 and FY 2015 based on long term vacancies.

5 Reduction of 1.0 Engineering Tech III (Construction & Maintenance) FTE in FY 2014 and FY 2015 based on long term vacancies.

7 Reduction of 1.0 Senior Auditor FTE in FY 2014 and FY 2015 based on long term vacancies.

2 Reduction of 6.0 Engineering Tech I FTE's in FY 2014 and FY 2015 based on long term vacancies.

4 Reduction of 1.0 Engineering Tech II (Materials) FTE in FY 2014 and FY 2015 based on long term vacancies.

6 Reduction of 1.0 Engineering Tech III (Materials) FTE in FY 2014 and FY 2015 based on long term vacancies.

8 Reduction of 1.0 Senior Real Estate Sepcialist FTE in FY 2014 and FY 2015 based on long term vacancies.

# Performance Measures

## Department Of Transportation Infrastructure-Engineering

### *Timeliness of Completed Construction Projects*

When construction projects are completed on time or ahead of schedule, public benefits include improved safety, mobility, and livability. The figures below represent the percentage of construction projects completed on time or ahead of schedule.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	75%	75%	75%
<b>Actual</b>	--	--	63%	50%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Structurally Deficient National Highway System (NHS) Bridge Decking*

Based on Federal Highway Administration criteria, bridges with enough deterioration to negatively affect load capacity are deemed "structurally deficient." RIDOT is in the process of developing a long-term plan to reduce the number of structurally deficient bridges and to identify the resources required for planned improvements. The figures below represent the percentage of RI's NHS bridge decking that is structurally deficient.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	10%	10%	10%
<b>Actual</b>	--	--	29%	29.5%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *International Roughness Index (IRI) Rating - Interstate - Good*

The figures below represent the percentage of National Highway System (NHS)-interstate roads rated "good" (IRI rating less than 95).

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	85%	85%
<b>Actual</b>	83.1%	85.2%	--	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

### *IRI Rating - Non-Interstate - Good*

The figures below represent the percentage of NHS non-interstate roads rated "good" (IRI rating less than 95).

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	75%	75%
<b>Actual</b>	13.5%	17.4%	--	--	--

Performance for this measure is reported by calendar year and is current as of 9/30/2013.

# The Program

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## Department Of Transportation Infrastructure-Maintenance

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### **Program Mission**

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. The objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

### **Program Description**

The Division of Maintenance is responsible for the routine maintenance of approximately 1,300 miles of state highways, 834 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, review of excess property prior to sale, contract preparation, coordination of requests for pavement projects, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects, ensure compliance with state and federal environmental regulations, and responsible for statewide herbicide spraying, tree trimming/removal, adopt-a-highway and in-house beautification projects around the State. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

### **Statutory History**

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles. R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads. R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.



# The Budget

## Department Of Transportation Infrastructure-Maintenance

	2012 Audited	2013 Audited	2014 Enacted	2014 Revised	2015 Recommend
<b>Expenditures By Subprogram</b>					
Operations	35,782,481	39,191,870	48,362,285	48,467,138	54,559,009
<b>Total Expenditures</b>	<b>\$35,782,481</b>	<b>\$39,191,870</b>	<b>\$48,362,285</b>	<b>\$48,467,138</b>	<b>\$54,559,009</b>
<b>Expenditures By Object</b>					
Personnel	17,257,461	17,800,138	18,635,193	18,780,164	19,038,875
Operating Supplies and Expenses	15,954,083	20,045,171	21,748,448	21,275,793	22,289,097
Assistance and Grants	429,623	482,251	502,000	471,924	492,603
<b>Subtotal: Operating Expenditures</b>	<b>33,641,167</b>	<b>38,327,560</b>	<b>40,885,641</b>	<b>40,527,881</b>	<b>41,820,575</b>
Capital Purchases and Equipment	2,103,552	806,780	7,476,644	7,939,257	12,738,434
Operating Transfers	37,762	57,530	-	-	-
<b>Total Expenditures</b>	<b>\$35,782,481</b>	<b>\$39,191,870</b>	<b>\$48,362,285</b>	<b>\$48,467,138</b>	<b>\$54,559,009</b>
<b>Expenditures By Funds</b>					
Operating Transfers from Other Funds	1,873,444	712,344	5,811,210	6,407,757	9,550,000
Other Funds	33,909,037	38,479,526	42,551,075	42,059,381	45,009,009
<b>Total Expenditures</b>	<b>\$35,782,481</b>	<b>\$39,191,870</b>	<b>\$48,362,285</b>	<b>\$48,467,138</b>	<b>\$54,559,009</b>

# Personnel

## Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
MANAGING ENGINEER (DOT)	00141A	1.0	118,717	1.0	118,717
ADMINISTRATOR HIGHWAY AND BRIDGE	00145A	1.0	111,002	1.0	115,710
CHIEF HIGHWAY MAINTENANCE FIELD	02835A	2.0	171,062	2.0	175,287
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	83,749	1.0	83,749
HIGHWAY CONSTRUCTION AND MAINTENANCE	02833A	1.0	83,354	1.0	83,354
FLEET MANAGEMENT OFFICER (DOT)	02833A	2.0	147,954	2.0	147,954
PROGRAMMING SERVICES OFFICER	00131A	1.0	71,937	1.0	71,937
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,540	1.0	70,540
SUPERVISING ELECTRICAL INSPECTOR (DOT)	02928A	1.0	69,233	1.0	69,233
BUSINESS MANAGEMENT OFFICER	02926A	1.0	62,323	1.0	62,323
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	1.0	61,516	1.0	61,516
SENIOR CIVIL ENGINEER (CONSTRUCTION &	02931A	2.0	122,485	2.0	122,485
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	1.0	60,141	1.0	60,141
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	7.0	405,811	7.0	409,464
ENGINEERING TECHNICIAN III (CONSTRUCTION &	02923A	2.0	113,736	2.0	113,736
CHIEF OF MOTOR POOL AND MAINTENANCE	02926A	1.0	53,736	1.0	56,042
ELECTRICAL INSPECTOR (TRANSPORTATION)	03423A	9.0	481,043	9.0	481,043
SUPERVISING PREAUDIT CLERK	02921A	1.0	52,668	1.0	52,668
ASSISTANT ADMINISTRATIVE OFFICER	03421A	1.0	51,308	1.0	51,308
MAINTENANCE SUPERINTENDENT	02922A	1.0	49,961	1.0	49,961
SUPERVISOR, FLEET MAINTENANCE (DOT)	02926A	1.0	49,327	1.0	50,915
BILLING SPECIALIST	03418A	1.0	48,100	1.0	48,100
DIESEL TRUCK AND HEAVY EQUIPMENT	00318A	4.0	186,729	4.0	186,729
HIGHWAY GRAPHICS TECHNICIAN	03420A	3.0	138,563	3.0	138,563
ROAD MAINTENANCE SUPERVISOR (BRIDGE)	02919A	2.0	90,452	2.0	90,452
SENIOR LANDSCAPE ARCHITECT	00026A	1.0	44,956	1.0	44,956
ROAD MAINTENANCE SUPERVISOR (ROADS)	02919A	18.0	806,721	18.0	807,624
HIGHWAY MAINTENANCE OPERATOR II	00318G	11.0	474,371	11.0	475,214
EXECUTIVE ASSISTANT	00118A	3.0	126,706	3.0	126,706
WAREHOUSE SUPERVISOR	02915A	1.0	42,072	1.0	42,072
PROPERTY CONTROL AND SUPPLY OFFICER	02917A	2.0	83,932	2.0	83,932
HIGHWAY MAINTENANCE OPERATOR II	03418G	11.0	461,335	11.0	461,335
LABORER SUPERVISOR	02913G	1.0	40,934	1.0	40,934
MECHANICAL PARTS STOREKEEPER	00313A	4.0	162,439	4.0	162,439
DIESEL TRUCK AND HEAVY EQUIPMENT	03418A	5.0	201,729	5.0	202,557
HIGHWAY MAINTENANCE TECHNICIAN	00317G	2.0	80,662	2.0	80,662
MASON	00314G	2.0	79,849	2.0	79,849
HIGHWAY MAINTENANCE OPERATOR I	00314G <sup>9</sup>	50.0	1,916,751	50.0	1,916,751
BRIDGE MAINTENANCE WORKER	03417G	12.0	460,387	12.0	460,387
FISCAL CLERK	03414A	1.0	38,238	1.0	38,238
HIGHWAY MAINTENANCE TECHNICIAN	03417G	1.0	38,198	1.0	38,198
MASON	03414G	3.0	113,671	3.0	113,671
HIGHWAY MAINTENANCE OPERATOR I	03414G	32.0	1,153,587	32.0	1,155,228
MOTOR EQUIPMENT OPERATOR	03411G	2.0	71,912	2.0	71,912
MECHANICAL PARTS STOREKEEPER	03413A	3.0	106,773	3.0	106,773

# Personnel

## Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2014		FY 2015	
		FTE	Cost	FTE	Cost
LANDSCAPE MAINTENANCE TECHNICIAN	00016A <sup>10</sup>	1.0	33,792	1.0	33,792
CARPENTER	03414G	2.0	66,997	2.0	66,997
SENIOR JANITOR	03412A	1.0	32,361	1.0	33,197
SEMI-SKILLED LABORER	03410G	4.0	127,874	4.0	127,874
<b>Subtotal</b>		<b>222.0</b>	<b>\$9,721,694</b>	<b>222.0</b>	<b>\$9,743,225</b>
Overtime		-	1,732,000	-	1,732,000
Turnover		-	(318,635)	-	(318,221)
<b>Subtotal</b>		<b>-</b>	<b>\$1,413,365</b>	<b>-</b>	<b>\$1,413,779</b>
<b>Total Salaries</b>		<b>222.0</b>	<b>\$11,135,059</b>	<b>222.0</b>	<b>\$11,157,004</b>
<b>Benefits</b>					
Payroll Accrual			56,666		56,780
Holiday			15,000		15,000
FICA			851,477		853,485
Retiree Health			687,797		636,180
Health Benefits			3,077,645		3,318,297
Retirement			2,451,414		2,488,091
Contract Stipends			33,500		33,500
<b>Subtotal</b>			<b>\$7,173,499</b>		<b>\$7,401,333</b>
<b>Total Salaries and Benefits</b>		<b>222.0</b>	<b>\$18,308,558</b>	<b>222.0</b>	<b>\$18,558,337</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$82,471</b>		<b>\$83,596</b>
<b>Statewide Benefit Assessment</b>			<b>\$399,606</b>		<b>\$400,538</b>
<b>Payroll Costs</b>		<b>222.0</b>	<b>\$18,708,164</b>	<b>222.0</b>	<b>\$18,958,875</b>
<b>Purchased Services</b>					
Other Contracts			17,000		20,000
Design and Engineering Services			55,000		60,000
<b>Subtotal</b>			<b>\$72,000</b>		<b>\$80,000</b>
<b>Total Personnel</b>		<b>222.0</b>	<b>\$18,780,164</b>	<b>222.0</b>	<b>\$19,038,875</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		222.0	\$18,780,164	222.0	\$19,038,875
<b>Total All Funds</b>		<b>222.0</b>	<b>\$18,780,164</b>	<b>222.0</b>	<b>\$19,038,875</b>

<sup>9</sup> Reduction of 3.0 Highway Maintenance Operator I FTE's in FY 2014 and FY 2015 based on long term vacancies.

<sup>10</sup> Reduction of 1.0 Landscape Maintenance Technician FTE in FY 2014 and FY 2015 based on long term vacancies.

# Performance Measures

## Department Of Transportation Infrastructure-Maintenance

### *Commuter Rail Ridership*

The figures below represent the number of riders on Massachusetts Bay Transportation Authority (MBTA) commuter rail, boarding or departing at Providence, T.F. Green, or Wickford Junction. [Note: Counts are based on riders, not trips. Figures come from quarterly estimates based on visual counts by RIDOT staff and MBTA conductors.]

	2011	2012	2013	2014	2015
<b>Target</b>	400000	450000	495000	610000	616000
<b>Actual</b>	422280	486650	604362	153728	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Time Lost to Injury - Maintenance Division*

The figures below represent the amount of Maintenance Division work time lost to on-the-job injuries.

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	0 Days	0 Days
<b>Actual</b>	--	--	2083 Days	155 Days	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Maintenance Fleet Past Useful Life - Six-Wheel Trucks*

The figures below represent the percentage of maintenance fleet six-wheel trucks that have exceeded the expected useful life of the vehicle. [Note: Targets for this measure are under development.]

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	--	45%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

### *Maintenance Fleet Past Useful Life - Ten-Wheel Trucks*

The figures below represent the percentage of maintenance fleet ten-wheel trucks that have exceeded the expected useful life of the vehicle. [Note: Targets for this measure are under development.]

	2011	2012	2013	2014	2015
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	--	25%	--

Performance for this measure is reported by state fiscal year and is current as of 9/30/2013.

# **Capital Budget Transportation**



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# Department of Transportation

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## Agency Responsibilities

The Department of Transportation is responsible for providing and maintaining the surface transportation (highway, mass transit, and rail) infrastructure that serves the needs of Rhode Island residents and visitors. Infrastructure-related capital projects are outlined in the Department's five year Capital Improvement Plan for inclusion in the Transportation Improvement Program (TIP), which is revised every four years by the Department of Administration's Office of Statewide Planning and approved by the State Planning Council. The TIP addresses the goals of Rhode Island's transportation program and lists priority projects and activities. In recent years, the Department's capital improvement projects have focused on continued highway construction and reconstruction, bridge repair, and statewide road projects. The State of Rhode Island has relied in the past on the issuance of general obligation debt to both finance 100 percent state projects and provide the majority of required state match for federal funds. Over the next few years, Registration and License Fees, along with Rhode Island Capital Plan funds will be introduced as funding streams for the local match as the state moves away from borrowing. Federal funds have come from three sources: the Federal Highway Administration (FHWA), the Federal Transit Administration (FTA), and the Federal Railroad Administration (FRA).

In August 2005, President Bush signed into law the "Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users" or "SAFETEA-LU", which reauthorized the Federal Highway and Transit program through September 2009. Congress continued the federal highway program by extending SAFETEA-LU for short periods of time with minor adjustments to the substantive provisions. SAFETEA-LU continued the requirement that the state match all of the federal funds on an 80/20 basis. The state has been required to provide a match totaling \$40.0 million for federal funds, in addition to the match used for GARVEE debt service provided by the Motor Fuel Revenue bonds. G.O. bonds have been utilized to provide for projects that require both a 20 percent match and 100 percent state funding. The bond funds are supplemented by any revenues available from the sale of excess state right-of-way land. Gas tax, which is provided for the operations of the Department, can no longer be relied upon as a match for capital expenditures, as it continues to be absorbed for increasing costs related to operations and debt service.

In late June 2012, Congress approved a new two-year transportation authorization, called "Moving Ahead for Progress in the 21<sup>st</sup> Century" or "MAP-21". MAP-21 shifts the Federal planning and project model toward performance measurement. The limited time of the authorization is an improvement over three years of short-term extensions, but still requires assumptions to be made when projecting future resource availability. MAP-21 is the first long-term highway authorization enacted since 2005 and hopes to create a streamlined and performance-based surface transportation program. MAP-21 is currently scheduled to expire on September 30, 2014.

MAP-21 builds on and refines many of the highway, transit, bike, and pedestrian programs and policies established in 1991. MAP-21 establishes the following goals: strengthen America's highways, establish performance-based programs, create jobs and support economic growth, support the Department of Transportation's aggressive safety agenda, streamline Federal highway transportation programs, and accelerates project delivery and promotes innovation.

The Highway Trust Fund (HTF) is the source of funding for most of the programs in the Act. The HTF is comprised of the Highway Account, which funds highway and intermodal programs, and the Mass Transit Account. Federal motor fuel taxes are the major source of income into the HTF. Although MAP-21 achieves dramatic policy and programmatic changes, reform of how the way highway programs are funded remains a challenge for the future. MAP-21 extends the imposition of the highway-user taxes, generally at

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## Department of Transportation

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the rates that were in place when the legislation was enacted, through September 30, 2016. It also extended provisions for full or partial exemption from highway-user taxes and it extends provision for deposit of almost all of the highway-user taxes into the HTF through September 30, 2016.

In MAP-21, the metropolitan and statewide transportation planning processes are continued and enhanced to incorporate performance goals, measures, and targets into the process of identifying needed transportation improvements and project selection. Requirements for a long-range plan and a short-term transportation improvement plan (TIP) continue, with the long-range plan to incorporate performance plans required by the Act for specific programs. The TIP must also be developed to make progress toward established performance targets and include a description of the anticipated achievements. MAP-21 provides an array of provisions designed to increase innovation and improve efficiency, effectiveness, and accountability in the planning, design, engineering, construction and financing of transportation projects.

Rhode Island has traditionally matched Federal Highway funds with General Obligation bonds. This \$40.0 million is in addition to the match of the Federal funds needed for GARVEE debt service (Motor Fuel Revenue bonds). During the 2011 General Assembly session, the Legislature passed Article 22 of the FY 2012 Budget as Enacted, which would begin to allocate an increase in vehicle registration fees and license fees to the Rhode Island Highway Maintenance Trust Fund. Driver's license fees and registration fees will increase for all vehicles, phased-in over a 3-year period, beginning in FY 2014, and the increase will be deposited to the Trust Fund. Two year registrations and drivers licenses would each be increased by \$30 (\$10 per year for 3 years), while one year registrations would be increased by \$15 (\$5 per year for 3 years). Initial estimates will generate \$20.0 million when fully implemented. Article 6 of the FY 2014 Budget as Enacted made some technical changes to language on the estimated revenues to be generated by the surcharges on annual and biennial registrations and operator's licenses.

The change to the original estimates came from updated data provided to the Office of Revenue Analysis (ORA) from the Division of Motor Vehicles (DMV) after Article 22 was passed. The new data ORA received contained more current and more accurate data on annual and biennial motor vehicle registrations. This data indicated that numerous registration plate types were either exempt from registration fees or had registration fees that were below the minimum annual or biennial registration fees normally charged.

In addition to the fee increases, Rhode Island Capital Plan funding will now be used to supplement the revenue generated by the new fees so that bond borrowing to match Federal Highway funding would be eliminated by FY 2016. The Legislature appropriated \$20.0 million in FY 2013 and \$21.1 million in FY 2014 from the Rhode Island Capital Plan Fund to accelerate the elimination of general obligation bond financing for the Department of Transportation's federal highway match. The increase in FY 2014 was based on the estimated decrease in registration fees from the original estimate. The RICAP funds will replace debt financing, which will eventually reduce the debt service of the Department and alleviate the systemic deficits that have recurred in the recent past.

The Federal Transit Administration provides funds to support the capital needs of Rhode Island's mass transit system, including the Rhode Island Public Transit Authority (RIPTA). The required 20 percent state match is provided from bond funds, RIPTA operating revenue, the Rhode Island Capital Plan Fund, local funds, and RIPTA revolving loan funds. The SAFETEA-LU authorizes monies for both capital and operating assistance for mass transit operations. In November 2008, voters approved a total of \$3.6 million in general obligation bond proceeds to match FTA grants for the Fixed Guideway (Commuter Rail) project, as well as an additional \$3.6 million for the purchase of new buses or rehabilitation of existing buses in RIPTA's fleet. An additional \$4.5 million for RIPTA bus purchases was approved in November 2010. MAP-21 creates a new formula grant program which provides funding to replace, rehabilitate, and purchase



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# Department of Transportation

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buses and related equipment, and to construct bus-related facilities.

Federal Rail Administration (FRA) funds were programmed initially for administration and project work to rehabilitate Rhode Island's major freight rail corridors and preserve them from private encroachment. FRA has provided close to twenty-five percent of the funding for the State's Freight Rail Improvement Project. The funding to complete the project is being provided by GARVEE bonds, Motor Fuel Revenue bonds, and other highway funds which include FHWA grants and general obligation bond matching funds.

On February 17, 2009, Congress passed an economic stimulus bill, the American Recovery and Reinvestment Act (ARRA), which provided Rhode Island with \$137.2 million in additional highway funding with no required state match for highway infrastructure investment, which has been spent over three years for sixty-six separate projects throughout Rhode Island including highway, road and bridge repair, pavement resurfacing, as well as enhancement projects, such as lighting and drainage improvements. As of FY 2013, all ARRA funds have been expended.

The federal stimulus included an opportunity for states to receive additional funding through the TIGER discretionary grant program (Transportation Investment Generating Economic Recovery). Nationally, \$1.5 billion in funding was available for projects that will have a significant impact on the nation, a metropolitan area or a region. RIDOT submitted a grant application in 2012 requesting \$80.0 million for the I-95 Providence Viaduct project. Unfortunately, the request was not approved. RIDOT instead was awarded a \$10.0 million TIGER 2012 grant to accelerate the I-95 Providence Viaduct reconstruction project. The Viaduct has deteriorated at a rate faster than anticipated and now requires bi-monthly inspections as a condition of remaining open to traffic. The Providence Viaduct is an extremely important transportation facility for the City of Providence and the State of Rhode Island. The design phase of the project is complete and construction began in the summer of 2013. In addition to the TIGER grant funds, \$20.0 million is programmed, per year, for construction, beginning in FY 2014 until project completion, as federal funding levels allow.

## **Governor's Recommendations**

Highway Improvement Program (HIP) The Governor recommends the issuance of \$13.0 million of previously authorized general obligation bonds in FY 2014 and \$7.0 million in FY 2015 of unissued general obligation bonds of the remaining \$20.0 million of previously authorized general obligation bonds, \$60.0 million in previously issued and spent general obligation bonds; and \$18.7 million of land sale revenue as state matching funds for a total of \$1,645.8 million of federal highway funds from FY 2014 to FY 2019. Additional revenues totaling \$240.1 million will flow from two new revenue sources for the Department of Transportation as previously discussed; Rhode Island Capital Plan funds which first began in FY 2013 and the new Vehicle Registration Surcharge revenue to be implemented beginning in FY 2014. The Legislature first appropriated \$20.0 million in FY 2013 from the Rhode Island Capital Plan Fund to accelerate the elimination of general obligation bond financing for the Department of Transportation's federal highway match. An increase in the DMV's fees for registrations for all vehicles and licenses will be allocated to the Rhode Island Highway Maintenance Trust Fund within the General Fund, beginning in FY 2014 and phasing in over three years through FY 2016. Forecasted receipts for the fee increases over the next three years are \$5.9 million for FY 2014, \$11.4 million for FY 2015, and \$16.8 million for FY 2016. The funds in the Rhode Island Highway Maintenance Trust Fund will be utilized to reduce the issuance of new general obligation bonds. By FY 2016, no general obligation debt will be utilized for the department.

The Governor recommended and the voters authorized \$80.0 million in November 2010 to match

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available federal funds or to provide direct funding for improvements to the State’s highways, roads, and bridges over two years. The general obligation bond recommendation was based upon an increase in federal funds resulting from earmarks as well as multi-year project costs schedules. These funds, along with other sources of matching funds have financed the Highway Improvement Program. Other sources of matching funds may include proceeds from the sale of real estate, Department of Environmental Management bonds for bike path construction, municipal contributions, and other funding mechanisms such as “soft match” and FHWA credits.

The HIP consists of approximately 200 projects scheduled for some phase of implementation during the next five years. Portions of each year’s bond funds will be dedicated to individual projects being conducted separately from the Highway Improvement Program. These projects are detailed in this section.

FHWA projects within the TIP fall into the following categories:

Administrative Program	Major Projects
Bicycle/Pedestrian Program	Planning Program
Bridge Program	Pavement Management
Enhancement Program	Traffic Safety Program
Highway Program	Transit Program
Interstate Program	Study & Development
Congestion Mitigation/ Air Quality Program	

**Administrative Program** involves project modifications and change order expenses, EEO program activities and motor fuel tax evasion enforcement expenses.

The **Bicycle/Pedestrian Program** includes the planning, design, and construction of independent bike paths and walking trails, on-road bicycle lanes, on-road bicycle routes, and bike/pedestrian promotional programs. Completion of the major independent bike paths that will form the spine of the State Greenway System continues to be a major emphasis of the program.

The **Bridge Improvement Program** is greatly expanded from the previous TIP to address immediate and critical bridge deficiencies. RIDOT maintains 748 bridges around the state. Bridge projects originate from State assessments and community requests that are evaluated and prioritized through the department’s Bridge Management Program. Included in this category are funds for design, right-of-way acquisition, a program to eliminate structurally deficient bridges, preventative maintenance activities and the bridge inspection program. DOT maintains discretion on how bridge funds are applied to projects, and thus the listing of projects shown is subject to change should an unanticipated need develop.

An **Enhancements Program** is used to address environmental impacts on local communities from transportation and highway construction. SAFETEA-LU requires that 10 percent of STP funds be set-aside and used exclusively for enhancement projects. Enhancement projects must have a relationship to transportation and fall within at least one of an established list of categories.

The **Highway Program** primarily involves either full reconstruction of an existing roadway or construction of a new facility including project-related design and right-of-way acquisition. All of the highway construction projects are devoted to projects that preserve and manage the existing system, without appreciable expansion of highway capacity.

**Interstate Program** is funded from NHS Interstate Maintenance formula funds, together with State

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matching funds, for resurfacing and safety projects. Projects are determined by RIDOT's prioritization, and subject to change based on need.

The **Congestion Mitigation/Air Quality Program** funds projects to assist the State in improving air quality.

**Major Projects:** There are five significant projects currently under construction, three of which are funded, in part, through Grant Anticipation Revenue Vehicle (GARVEE) bonds. In November 2003, the State issued Motor Fuel Revenue bonds and GARVEES to advance implementation of the following three major transportation projects: Relocation of I-195, Providence River Pedestrian Bridge and the Sakonnet River Bridge. The two other major projects have substantial funding spread out over several years, and include the Pawtucket River Bridge (#550) and the Providence Viaduct (Bridge #578).

**Planning** occurs through the efforts of the Statewide Planning Program, RIDOT and RIPTA, in accordance with a Unified Planning Work Program for Transportation Planning, which must be approved each year by the State Planning Council, and the federal agencies that fund transportation planning. Planning involves long range planning, the development of the TIP, and environmental analysis and data collection, among other activities.

The **Pavement Management Program** allows the DOT to pursue the most cost effective pavement treatment for roads throughout Rhode Island. This work includes resurfacing, crack sealing, and micro-surfacing. Roadways included in this program are community-requested projects and those identified in DOT's Pavement Management Program. DOT's evaluation for addressing road conditions is based on four sources of information: pavement management system data, public input, Maintenance Division input, and staff field review. The department prioritizes and schedules the projects.

**Traffic/Safety Program** includes the following major programs: traffic signal repair and construction, signing, striping, repair of damaged safety devices, traffic monitoring, modifications to arterial highways to improve traffic flow and reduce congestion, highway lighting improvements, repair of isolated drainage problems, and traffic safety design-related work.

The **Transit Program** includes projects for buses, CMAQ, and fixed guideway rails to encourage people to use transit programs and provide an adequate transportation network.

The **Study and Development Program** assesses projects and develops sound cost estimates with public input. Projects in this program are prepared for the design phase. Under this program, projects are scoped and assessed in terms of environmental impact, community acceptability, constructability, and cost. Placement in this phase does not guarantee that a proposal will be implemented, but at least examined.

## **GARVEE/Motor Fuel Bond Program**

FY 2004 marked the beginning of a major effort on the part of Rhode Island government to address the rebuilding and modernization of the State's transportation systems. In 2003 and 2006, the State completed the first and second parts of a three-part bond transaction which authorized \$709.6 million and programmed \$660.5 million for five major infrastructure projects to be completed over an 8 ½ year period. This undertaking includes two financing mechanisms. The majority of the costs (\$544.4) have been financed through Grant Anticipation Revenue Vehicle bonds (GARVEE). GARVEE is a program approved by

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Congress that allows states to borrow funds, which are then backed and repaid by the annual allocation of Federal Highway Administration construction funds. The remaining costs (\$116.1 million) were provided through Motor Vehicle Tax Revenue Bonds. These bonds are financed through a two-cent dedication of the Department's gasoline tax allocation. The State issued a third series of both the GARVEE and Motor Fuel Bonds in 2009. With the established funding sources in place to meet the financing requirements of this borrowing, this program will have no fiscal impact to the State and offer no financial exposure to taxpayers other than the pledging of the FHWA and gas tax funds to repay the bonds.

The projects financed under this program were the I-195 Relocation, Washington Bridge Reconstruction, a new Sakonnet River Bridge, and the Quonset Rt. 403 construction. Also financed was the completion of the Freight Rail Improvement Program. Most of the projects participating in the GARVEE program have additional financing programmed as other highway funds. This additional source is identified under each appropriate GARVEE project for display purposes, but total costs have already been included in the HIP program which includes both federal highway funds and general obligation debt.

The debt service on the GARVEE bonds to be paid through the FHWA allocation in FY 2014 and FY 2015 is \$48.4 million each year. The gas tax funded debt service on the Motor Fuel Tax revenue bonds totals \$8.3 million in FY 2014 and FY 2015, which is paid using 2.0 cents of total gas tax revenues. The actual debt service for the two years is \$7.1 million in FY 2014 and \$7.2 million FY 2015, with the difference held by the Trustee to be applied to the debt principal.

Within the HIP, RIDOT by using Federal land sale funds has acquired land with an existing building at 55 Colorado Avenue. The building is a 52,500 square foot building surrounding a 6.17 acre parcel located adjacent to the Maintenance Headquarters facility in Warwick. The existing facility would be converted to approximately 35,000 square feet of space for the materials testing and associated functions of RIDOT. Currently, these functions are housed on the ground floor of Two Capitol Hill in Providence, where lack of ventilation, age of facilities and equipment, and appropriate space for testing limits the ability of staff to perform their responsibilities. The renovation of the new building will be financed using land sales proceeds during FY 2014 and FY 2015. The Department has received Federal approval for use of these funds on this project.

Bridge Rehabilitation Project – Statewide – Beginning in FY 2015, the Governor recommends a Statewide Bridge Rehabilitation program, to be administered by the Rhode Island Department of Transportation for any bridges under their authority, in the amount of \$80.0 million between FY 2015 and FY 2019. Financing of the program will be made up of other funds available to DOT and gas tax revenues, the majority of which will become available based on the use of general revenue towards debt service, freeing up gas tax revenues to be used towards a statewide bridge program. The Statewide Bridge Rehabilitation effort will be a statewide program that will help eliminate the current backlog of structurally deficient bridges. As part of the new, performance-oriented, Moving Ahead for Progress in the 21<sup>st</sup> Century (MAP-21) Federal transportation reauthorization bill, states will be required to meet a standard of 10 percent or less of National Highway System bridges categorized as structurally deficient. If greater than 10 percent of bridges are considered “structurally deficient” for three consecutive years, penalties can be imposed on the state that compel an increased share of Federal funds be dedicated to bridge projects. In conjunction, DOT has set the same goal for the entire State bridge system. There are a number of factors which contribute to the condition of the bridges, including age of the structures, climate, and changes in traffic volumes and routes. By eliminating structurally deficient bridges, this will ensure continued federal funding flexibility under MAP-21.

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Route I-195 Relocation Project – The cost for this project is \$417.5 million, which is comprised of \$303.2 million of GARVEE bonds, \$65.2 million of Motor Fuel Revenue bonds, \$9.7 million in Motor Fuel Bond residuals, and \$39.4 million of land sale revenue. A total of \$612.8 million will be spent on the I-195 project including \$195.3 million in other highway funds which is offset to avoid double counting. Prior to FY 2014, \$365.2 million has been spent on the Route 195 Project, \$560.5 million when including \$195.3 million in other highway funds. In FY 2014, the Governor recommends total expenditures of \$17.5 million consisting of \$10.3 million in GARVEE bonds, \$4.6 million in Land Sale revenues, and \$2.6 million in Motor Fuel residuals. For FY 2015, the Governor recommends total expenditures of \$18.8 million, all of which comes from Land Sale revenues. The Route I-195 Relocation Project, now known as the I-way, involves the relocation of a 45-year-old 1.6-mile stretch of Interstate 195 (I-195) and an adjacent 0.8-mile portion of Interstate 95 (I-95) through Providence. The freeway will be relocated 2,000 feet south from its current alignment and involves the construction of a new 8-lane interstate segment of highway (providing 25 lane-miles of new interstate), and a 1,200 foot long mainline bridge over the Providence River joining I-95 and I-195. The project includes a total of fourteen new bridges, five miles of new city streets, and 4,100 feet of new pedestrian river walks. In addition, removal of the existing interstate will free up 20 acres of prime downtown real estate. The project will compliment the recently completed river relocation, Waterplace Park and Memorial Boulevard projects that have revitalized downtown Providence. The centerpiece of the project is the four hundred foot long main span of the Providence River Bridge which is part of the new South Main Street ramp that was opened to motorists in November 2007. This represents the second phase of the project. The third and final phase of the project involves the demolition of the existing facility and reconnection of city streets by 2016.

Sakonnet River Bridge Project – The total cost for this project is estimated to be \$142.4 million, including \$113.9 million in GARVEE bonds, \$10.6 million in GARVEE residual earnings, and \$17.9 million in Motor Fuel Revenue bonds. When additional financing provided by other highway funds is included the total project cost is \$243.1 million. At the project's inception, an increase in the cost of the project was avoided after a committee of engineers from RIDOT, FHWA, and other states was established to completely review the project. As a result of the 60 day review, a list of forty cost reduction measures was developed to lessen the estimated cost of the steel and concrete bridge replacement alternatives. Upon evaluation by the committee, twenty of the costs saving measures were adopted and a steel bridge replacement alternative was selected over concrete alternatives. Although project costs exceeded estimates originally incorporated into the GARVEE approval, no additional costs were incurred as a result of any construction delays. In fact, the contract was structured to assess penalties if work was not completed in a timely manner. The Sakonnet River Bridge was completed in August 2013. Both discretionary and earmarked funding is being used for the project. In FY 2014, \$12.0 million is expected to be spent, including \$9.6 million in GARVEE bonds and \$2.4 million in GARVEE residual earnings. When other highway funds of \$22.5 million are included, the total spending increases to \$34.5 million in FY 2014. In FY 2015, \$15.9 million in spending is expected when other highway funds are included. The Department requested an amendment to the original GARVEE approval (as granted by Article 36 of the FY 2004 Budget as Enacted) to allow \$9.6 million of the GARVEE bond authorization approved for the Washington Bridge project to be applied to the Sakonnet River Bridge project. (Note: The total GARVEE borrowing would remain unchanged, but \$9.6 million in authorization would shift between projects.) The new Sakonnet River Bridge project consists of replacing the existing bridge on a new alignment immediately south of the existing structure. The crossing carries Rhode Island State Route 24 (RI 24) over the Sakonnet River, a tidal passage separating the Town of Portsmouth on Aquidneck Island to the west and the Town of Tiverton on the mainland to the east. The bridge is located in Newport County just to the south of where the Sakonnet River opens into Mount Hope Bay. The crossing is an integral part of RI 24 which is a key link in the transportation system connecting Massachusetts to Rhode Island and the Aquidneck Island communities. Extensive required rehabilitation (and associated adverse

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traffic impacts); seismic susceptibility, fracture, critical components, structural inadequacy, and substandard safety features necessitate the complete replacement of the structure. Article 20 of the FY 2013 Budget as Enacted approved the transfer of the new Sakonnet River Bridge (and the Jamestown-Verrazzano Bridge) from the State to the Rhode Island Turnpike and Bridge Authority (RITBA). This transfer will allow the facility to become part of the East Bay bridge network and is one step needed to allow for tolling of the Sakonnet River Bridge. Once RIDOT completed construction of the new bridge, they will not receive payments or any reimbursement from RITBA. An environmental reassessment required by the Federal government to toll the bridge was completed in January 2013, and a revised Record of Decision to allow tolling to proceed was issued in April 2013. The General Assembly introduced Article 5, Relating to Highways in the FY 2014 Appropriations Act, which was then superseded by House Bill 6329 Substitute A, which amends RIGL 21-12-40.F entitled “Sakonnet River Bridge Vested in Rhode Island Turnpike and Bridge Authority – Institution of Tolls.” The amendment delays the collection of tolls on the Sakonnet River Bridge until August 19, 2013, at which time; the Authority may then charge and collect tolls for the use of the bridge. The toll imposed shall not exceed ten cents (\$0.10) until April 1, 2014. Should no alternate action be taken prior to April 1, 2014, the RITBA will be allowed to toll the Sakonnet Bridge as originally planned and maintain the East Bay bridge network. The amendment also establishes a Special Legislative Commission to make a comprehensive study of all types of funding mechanisms and strategies to support Rhode Island’s infrastructure, including the Sakonnet River Bridge. That Commission is currently ongoing as of the Governor’s budget submission.

Washington Bridge Repairs – The total cost of this project is \$79.1 million in GARVEE bonds. The Governor recommends \$15.0 million in FY 2014, \$6.8 million in FY 2015 and \$4.1 million in FY 2016 utilizing GARVEE bonds. The Department requested an amendment to the original GARVEE approval (as granted by Article 36 of the FY 2004 Budget as Enacted) to allow \$9.6 million of the GARVEE bond authorization approved for the Washington Bridge project to be applied to the Sakonnet River Bridge project. (Note: The total GARVEE borrowing would remain unchanged, but \$9.6 million in authorization would shift between projects.) The Washington Bridge consists of two structures. The eastbound lane (bridge 200) was constructed in 1928 and was originally a two-way roadway. In 1970, a bridge was constructed adjacent to it (bridge 700). With the construction of bridge 700, bridge 200 was converted to a single direction multi-lane roadway traveling east. The new Washington Bridge No. 200 was realigned within a vacant area between the two bridges, thus allowing the construction of a completely new bridge using the existing foundations. Additional construction savings were realized with the recommendation that the new bridge be positioned and supported by a portion of the existing foundation. The new bridge consists of five 12-foot travel lanes and two 4-foot shoulders. Construction was phased to allow the new bridge to be built while existing I-195 traffic was maintained within the southerly portion of the existing Washington Bridge No. 200. Since the existing bridge is on the National Register of Historic Bridges, a concept was advanced that retained the existing southerly portion of the existing bridge and converted it to a bike path, pedestrian way, and linear park which provides the highest form of historical mitigation. The new bridge was completed in 2009 with the bicycle/pedestrian linear park facility to be complete by FY 2015. Construction schedules are being timed so not to interfere with the I-195 Relocation contracts.

Pawtucket-Central Falls Train Station – The capital plan included a study of the feasibility of operating a commuter rail station with a transit-oriented development (TOD) at the former Pawtucket-Central Falls train station. This project has progressed beyond that of a feasibility study and into an environmental review state. The feasibility study concluded that it is feasible to re-establish a commuter rail train stop at the historic site and in addition, a more detailed environmental and site assessment including conceptual design is required as part of the next phase. This project now consists of a planning/environmental analysis and conceptual design of developing a commuter rail station with a transit-oriented development at the former

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Pawtucket/Central Falls Train Station located on Broad Street in the cities of Pawtucket and Central Falls. A project agreement exists between DOT and the City of Pawtucket which provides that the city serve as manager of the project. The project includes FTA funds as the project advances to the environmental review stage. Funding for this project totals \$3.1 million, including \$809,695 in pre-FY 2014 expenditures. Funding for FY 2014 is \$1.2 million, comprised of \$968,221 in FTA funds and \$242,055 of local funds. For FY 2015 total funding is \$1.1 million, comprised of \$893,745 in FTA funds and \$223,436 in local funds comprises the financing.

Train Station Maintenance and Repairs – The Department is responsible for the oversight and maintenance of three train stations located in Woonsocket, South Kingstown, and Westerly. The train stations serve as intermodal facilities for each of their communities. There is a need for major capital repairs at each location, such as HVAC and roofing repairs. The Governor recommends \$97,874 in Rhode Island Capital Plan fund resources beginning in FY 2014 and \$200,000 in each of FY 2015, FY 2016, FY 2017, FY 2018 and FY 2019.

Fixed Guideway (Commuter Rail) – The total cost of this project is projected at \$154.6 million, including \$54.5 million in pre-FY 2014 expenditures. Funding for the project includes \$70.6 million in FTA funds, \$30.2 million in Federal Stimulus funds, \$3.6 million from the issued 2008 authorization, and \$20.9 million from the Massachusetts Bay Transportation Authority (MBTA). Lastly, the Governor recommends the additional funding source of American Recovery and Reinvestment Act of 2009 federal stimulus funds totaling \$30.2 million. The project involves the planning, design, and construction of new fixed guideway facilities, commuter rail, light rail, and/or intercity rail that will also enhance the commuter rail. The program includes the construction of stations, tracks and signals, right-of-way acquisition, purchase of locomotives and rail coaches, and other rail appurtenances to accommodate rail passenger service in Rhode Island. Commuter Rail Service is provided by the MBTA as an extension of its service to South Attleboro. Service to Providence began in 1988 when the State of Rhode Island entered into the Pilgrim Partnership Agreement with the MBTA, through which the MBTA provides commuter service to Providence Station in exchange for Rhode Island federal capital funding for projects benefiting commuter rail service. In most cases, the MBTA has provided the local match for capital investments, approximately 20 percent of a project's costs. Such capital projects have included the Pawtucket Layover Facility Yard, a six-track overnight storage yard, which opened in July 2006, accommodates the transfer of the Attleboro Layover yard, as well as new train sets for rail service south of Providence. Amendment #3 of the Pilgrim Partnership Agreement allowed RIDOT to purchase 5 bi-level coaches for the South County Commuter Rail (SCCR), which is the commuter rail extension to Wickford Junction with an intermediary stop at T.F. Green Airport in Warwick. DOT provided 80 percent of construction costs of these projects. The SCCR costs include payments to AMTRAK required in the AMTRAK Access Agreement for use of the Northeast Corridor for commuter rail service and for management and maintenance of the freight rail track discussed under the Freight Rail Improvement Project (FRIP) previously. Agreements with AMTRAK include track and signal work as well as the permanent easements and construction force account agreements for station construction at Wickford Junction and for rail operations to the station. New service to Warwick/TF Green Airport became fully operational on December 6, 2010 and new service to Wickford Junction Station became fully operational on April 24, 2012. The extended service is provided by the MBTA under the SCCR. Service currently consists of 10 round trips along the 20 miles between Providence and North Kingstown with stops at TF Green Airport and Wickford Junction. The Interlink project at T.F. Green Airport, which is an important part of the commuter rail system, is included in Rhode Island Airport Corporation (RIAC) capital budget. The FHWA funds for the Interlink are included in the Highway Improvement Program portion of the Capital Budget. The Interlink is a multimodal consolidated rental car facility stemming from a public/private

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partnership with RIDOT, RIAC and the rental car agencies. The facility which opened on October 27, 2010, centralizes all Airport transportation services, including commuter rail, RIPTA, intercity bus, and rental cars into a 2,670 space parking facility connected to the Airport terminal by a moving Skybridge system. RIAC is responsible for its operation. In order for the MBTA to provide commuter rail service south of Providence to the Interlink and Wickford Junction, DOT entered into a Rail Operating Agreement with the MBTA and the quasi-public agency, the Rhode Island Public Rail Corporation (RIPRC). That agreement requires DOT to support MBTA service with capital projects (with the MBTA providing the match) and to pay for any MBTA costs not covered by fares. Federal Transit Administration funds allocated to Rhode Island will be used to fund the capital projects. Federal Congestion Mitigation and Air Quality (CMAQ) funds have been used to pay all operating costs during the start-up of the train service to Warwick/T.F. Green and Wickford Junction. These costs include monthly payments to AMTRAK for use of the main line and for preventive maintenance of the Third Track. Additionally, both AMTRAK and the MBTA require indemnification and liability insurance coverage for DOT to extend rail service beyond Providence. This insurance and indemnification is being provided by the Rhode Island Public Rail Corporation, since RIDOT, as a State agency, is not able to provide the insurance and indemnification. RIDOT has entered agreements with the RIPRC covering these requirements. This capital budget project includes RIDOT's obligation to the RIPRC to pay for the insurance and the line of credit required to indemnify AMTRAK. These elements will be funded by CMAQ funds as rail service operating costs and are included in the Fixed Guideway project. Lastly, all other operating costs of the South County service including payments for operations of Wickford Station in excess of parking revenues will come out of Federal CMAQ funds. In 2011, RIDOT secured grants from the Federal Railroad Administration for commuter rail improvements in the State. One grant was secured to conduct preliminary engineering and environmental work at the Providence Station in order to renovate and upgrade the Station. Two additional grants were awarded for the construction of a new track at the Kingston Station to enable high-speed trains to pass other trains at the Station. The work funded by these grants is included in this project. RIDOT is also undertaking a project to make short term improvements to the exterior of Providence Station. Work will focus on the station plaza on the south side and the pedestrian passageway on the west side facing Station Park and the Providence Place Mall. A \$500,000 bus livability grant obtained by RIPTA and an enhancement grant of \$1.0 million in highway funds are also being used for this project. Commuter Rail service is being provided to Providence, Warwick and Wickford Junction by the MBTA. During 2014, the following activities will be undertaken under the Fixed Guideway project: South County Commuter Rail Service Operating Costs; the start of construction of the Kingston Station by pass; the start of construction of the short term improvements to Providence Station; preliminary engineering and environmental work at the Providence Station in order to renovate and upgrade the Station; and the completion of the Pawtucket Layover Facility Improvements. The study of the extension of commuter rail service beyond Wickford Junction will be completed in 2014 while improvements to the Pawtucket Layover Facility will continue. Improvements to the South Attleboro train station will begin in 2014 and the Kingston Station bypass will start construction in 2014. For FY 2014, the Governor recommends total funding of \$22.8 million, consisting of \$8.0 million in Federal Transit Administration funds, \$430,360 in MBTA funds, \$916,962 of general obligation bonds from the issued 2008 authorization, \$11.1 million in federal stimulus funds, and \$2.3 million in other funds. For FY 2015, the Governor recommends total funding of \$33.4 million, consisting of \$7.9 million in Federal Transit Administration funds, \$17.2 million in federal stimulus funds, \$4.3 million in other funds, and \$4.1 in MBTA funds.

### **Maintenance Facilities**

**Salt Storage Facilities** – The Governor recommends a total of \$12.2 million for expenditures on Salt Storage Facilities, including \$3.0 million in pre-FY 2014 expenditures. The Governor recommends expenditures of \$1.7 million in Rhode Island Capital Plan funds in FY 2014 and \$1.5 million in each year from FY 2015 to FY 2019. This project is a planning effort on the part of the Department to examine the



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consolidation of salt stockpiles to improve operational efficiencies, remedy environmental deficiencies, and reduce costs. This project will combat potential groundwater contamination and reduce environmental concerns over the storage of this chemical. The Department has reviewed the existing salt storage facilities and developed a plan to replace/improve salt storage facilities to meet operational and environmental concerns. Salt is purchased in bulk with tens of thousands of tons stored at locations statewide. Currently, salt is stored uncovered at three of the division's storage sites out of the twenty-three salt distribution centers located throughout the State. The Department has identified facilities which it plans to remediate, proposed to reduce the number of salt distribution centers to twenty. To date, five facilities have been closed, however, due to a continued need for strategically located material piles, uncovered locations continue to operate in Newport, Portsmouth, and Narragansett. RIDOT has reviewed the existing salt storage facilities and developed a plan to replace/improve salt storage facilities to meet operational and environmental concerns over a five-year period. The construction plan currently in place calls for six new storage facilities, three of which will cover salt storage presently without cover, while three will replace storage locations lost to changes in site configuration. Projects in future years include, Narragansett, Lincoln, East Providence, Pawtucket/East Providence, Newport, and Portsmouth.

Facility Construction/Renovations – The current recommendation encompasses modifications to the Department's Cherry Hill/Lincoln, Portsmouth, East Providence and Warren facilities. The Department has consolidated the maintenance facilities currently located at Cherry Hill Road in Johnston and on Washington Highway (Route 11) in Lincoln. The proposal was an alternative to upgrading the current facilities which have inadequate salt storage capacity. The DOT has purchased land from the National Guard in North Smithfield for \$1.7 million where the new consolidated Johnston/Lincoln facility is located. The Cherry Hill/Lincoln Facility project encompasses the construction of the complete facility with a salt storage structure and includes a total of \$4.1 million, including \$2.5 million in pre-FY 2014 expenditures. For FY 2014, the Governor recommends financing of \$481,007 in Rhode Island Capital Plan Fund financing and \$1.1 million of issued general obligation bonds from the 2004 authorization for the completion of the project. The relocation of the maintenance facilities currently located in East Providence and Portsmouth will be financed with general obligation bond proceeds and the Rhode Island Capital Plan Fund. The Governor recommends a total of \$5.1 million, including \$4.7 million in pre-FY 2014 expenditures, for the relocation and replacement of a highway maintenance facility currently located on Sachem Road in East Providence. The proposed facility currently located on state property within the bifurcation of Route 114 (East Shore Expressway) at Route 6. Financing for FY 2014 totals \$150,000 from the Rhode Island Capital Plan Fund and \$256,176 of issued general obligation bonds from the 2002 authorization. The final phase of the project represents work that was not planned for under the original design but is needed for efficient use of the facility. The project is scheduled to be completed in FY 2014. The Governor recommends a total of \$5.6 million for construction of the Portsmouth facility which began in FY 2011. This project encompasses the relocation of Portsmouth facility to State land located between Boyd's Lane and the ramp to Route 24 north bound. A total of \$1.2 million of issued general obligation bonds from the 2004 authorization and \$900,000 in Rhode Island Capital Plan financing is recommended for this project for FY 2014. For FY 2015, the Governor recommends \$2.1 million, consisting of \$865,000 in issued general obligation bonds from the 2004 authorization, together with \$1.2 million in Rhode Island Capital Plan funds. An additional \$1.4 million is recommended for FY 2016 to complete the project with a final expenditure of \$3.5 million in Rhode Island Capital Plan funds. The salt storage structures to be constructed as part of the Portsmouth facility are included under the Salt Storage Facilities project. Lastly, the Governor recommends \$750,000 in Rhode Island Capital Plan funds, beginning in FY 2016, to replace the Warren Yard facility, which is a small site that serves primarily as a location for salt storage, equipment storage, and occasional housing of staff during emergency operations. The project will rehab or replace pavement, as needed, and the replacement of the three-bay garage and administrative facility with a more modern and serviceable combined facility.

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Maintenance Facility Improvements – The DOT has identified several maintenance facilities which are not being replaced and are in need of capital improvements. Financing of facility maintenance has typically been included in the Department's operating budget. The Governor recommends a total of \$790,254 in FY 2014 and \$400,000 in FY 2015 from the Rhode Island Capital Plan Fund for continued general repair and renovation work, including roof replacements at the Midstate Maintenance Facility and Anthony Garage building, conversion of the Belleville Maintenance facility's heating system from oil to natural gas, and installation of a backup generator at the Middletown maintenance building. The generator will ensure that the State's fuel pumps, located at this secondary facility, can operate for DOT and State Police vehicles in the event of major power losses. Window and overhead door replacements will continue, as well as renovations such as painting and various internal and external repairs. Additionally, one project added to the Facility Maintenance project for FY 2015 – FY 2016 is the installation of canopy structures over eleven fuel pump sites. Presently, there is no established cover over these sites. During adverse weather operations, Division of Maintenance drivers, private contractors, and State Police staff must fuel in the snow and rain. Also, the sites have very limited lighting available and must constantly be kept clear from snow build up during storms. The Glocester Facility has recently received a canopy structure over their fuel pump, though it is considered rather small. Moving forward, any new canopy structure will be larger in size. RIDOT is working with DOA Facilities jointly as the tanks are owned by DOA. The plan is to have RIDOT spend RICAP funds on the grounds while DOA will take on the cost of the actual canopy structure.

Maintenance Facilities Fire Alarms – The Governor recommends a total of \$182,812 from the Rhode Island Capital Plan Fund including \$165,625 in pre-FY 2014 expenditures. \$17,187 is requested in FY 2014 for final payments towards outfitting fire alarm systems to comply with new fire code regulations.

Cooperative Maintenance Facility – The Governor recommends a total of \$4.7 million from the Rhode Island Capital Plan Fund beginning FY 2014 for the conversion of the former Rhode Island Public Transit (RIPTA) maintenance facility on Elmwood Avenue to a cooperative maintenance facility for RIDOT heavy equipment and RIPTA buses. The project involves architectural and engineering services to draw plans for the conversion, along with construction to convert the facility and garage space into a functional and efficient maintenance shop. Approximately 28 percent of the RIDOT heavy fleet is currently maintained by RIPTA, however, no increase in that percentage can occur without the additional maintenance facility. The Governor recommends \$300,000 in FY 2014, \$3.5 million in FY 2015, and \$900,000 in FY 2016 for this project which will benefit both RIDOT and RIPTA by allowing the State to maintain vehicles through a State agency, saving money and providing uniform, quality repairs. RIPTA would benefit from the additional revenue.

Maintenance – Capital Equipment Replacement –

This project involves the purchase of heavy trucks, sweepers, loaders, tractors, and other equipment that will replace existing fleet equipment. An analysis of RIDOT's Maintenance Division's rolling stock and heavy vehicle inventory has been made and a replacement plan has been developed. The Maintenance Division maintains the State's 3,500 lane miles of highways and 756 bridges. In addition, new dump trucks have a graduated salt dispensing system which can better regulate the amount of salt placed on roads. The older trucks, which lack this system, would dispense too much salt causing environmental issues, as well as financial issues as salt is being wasted. The Department of Administration maintains a "revolving loan fund" from which funding for limited procurements of heavy equipment has been made available. The Department in the past reimburses this funding through the gas tax funded budget. Loans from this fund, however, have not been available for two years, and with limited gas tax resources, the fleet is simply aging.

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In FY 2014, the “revolving loan fund” has again been funded; however, all State agencies compete for this limited funding stream. As a means to replace DOT’s aging heavy equipment fleet, the Governor recommends utilizing Rhode Island Capital Plan funds in the amount of \$2.0 million in FY 2014 and \$2.5 million each year, beginning in FY 2015. Additionally, as DOT gradually moves off of bond funding, less spending on debt service will allow for additional funding to become available in gas tax revenues that can be used towards heavy duty vehicle replacement. Based on this, the Governor recommends \$1.4 million in FY 2014, \$2.4 million in FY 2015 and \$2.5 million in each of FY 2016, FY 2017, FY 2018, and FY 2019 in gas tax revenues to be combined with Rhode Island Capital Plan funds. Providing DOT with a consistent source of funding each year will allow them to begin their replacement plan by cycling in new vehicles while replacing older ones.

Mass Transit Hub Infrastructure – The Rhode Island Public Transit Authority, the Department of Transportation and the Division of Statewide Planning are working cooperatively on a comprehensive operational analysis of the State’s mass transit hub system to determine if the current system is the most effective and efficient structure that best serves the citizens of the State. The goal is to enhance access to multiple intermodal sites throughout the state and to expand access to key transportation, health care and other locations. The Governor recommends a \$40.0 million general obligation bond authorization be placed on the November 2014 ballot, funding from which will be used to undertake major enhancements and renovations to mass transit hub infrastructure throughout the state. To facilitate this work, the Governor recommends \$250,000 from the Rhode Island Capital Plan Fund in FY 2015 for preliminary conceptual design of a new mass transit hub system in the State of Rhode Island.

Highway Drainage Preservation – The Governor recommends a total of \$7.5 million from gas tax revenues, including \$500,000 in FY 2015 for a Highway Drainage Preservation program. The goal is to increase this program by \$500,000 each year based on additional gas tax revenues freed up from general revenue going towards DOT’s debt service. The Department of Transportation is responsible for the cleaning of 25,000 catch basins around the state. The State is required to inspect each catch basin annually and cleaned as needed. This project would provide for the establishment of an asset protection program for catch basins along with the cleaning required of each basin. As salt and sand are applied to the states roadways, and as runoff occurs due to natural rainfall and flooding, catch basins gradually fill with sand and other sediment, becoming ineffective and causing pooling at low points on roadway surfaces. The sedimentation of the catch basins is a concern to the Department of Environmental Management and the United States Environmental Protection Agency because, when storm water is not appropriately handled by the catch basins, runoff can cause additional pollution to reach bodies of water, including groundwater, wetlands, and rivers.

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## GARVEE & Motor Fuel Tax Revenue Bonds Construction Costs Allocation (millions)

	2014	2015	2016	2017	2018	2019	Post 2019	Total
<b>I-195 Relocation</b>								
Garvee Bond	10.33	0.00	0.00	0.00	0.00	0.00	0.00	10.33
Garvee Residual Earnings	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Motor Fuel Residuals	2.58	0.00	0.00	0.00	0.00	0.00	0.00	2.58
<b>Total</b>	<b>12.91</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>12.91</b>
<b>Sakonnet River Bridge</b>								
Garvee Bond	9.59	0.00	0.00	0.00	0.00	0.00	0.00	9.59
Garvee Residual Earnings	2.40	0.00	0.00	0.00	0.00	0.00	0.00	2.40
Motor Fuel Residuals	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
<b>Total</b>	<b>11.99</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>11.99</b>
<b>Washington Bridge</b>								
Garvee Bond	14.96	6.77	4.05	0.00	0.00	0.00	0.00	25.78
<b>Total</b>	<b>14.96</b>	<b>6.77</b>	<b>4.05</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>25.78</b>
<b>Total Bond Issuance</b>								
Garvee Bond	34.88	6.77	4.05	0.00	0.00	0.00	0.00	45.70
Garvee Residual Earnings	2.40	0.00	0.00	0.00	0.00	0.00	0.00	2.40
Motor Fuel Residuals	2.58	0.00	0.00	0.00	0.00	0.00	0.00	2.58
<b>Total</b>	<b>39.86</b>	<b>6.77</b>	<b>4.05</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>50.68</b>
<b>Debt Service</b>								
Garvee Bond	48.39	48.36	52.59	52.85	52.84	43.85	0.00	298.88
Gas Tax Bond	7.15	7.19	7.21	6.86	6.86	6.86	0.00	42.13
<b>Total</b>	<b>55.54</b>	<b>55.55</b>	<b>59.80</b>	<b>59.71</b>	<b>59.70</b>	<b>50.71</b>	<b>0.00</b>	<b>341.01</b>