

State of Rhode Island and Providence Plantations

# Budget



## Fiscal Year 2017

Volume I – General Government and Quasi-Public Agencies

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# **General Government and Quasi-Public Agencies**



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# **General Government**





# Budget

## General Government Function Expenditures

	FY 2014 Actuals	FY 2015 Actuals	FY 2016 Enacted	FY 2016 Recommended	FY 2017 Recommended
<b>Expenditure by Object</b>					
Personnel	285,876,849	295,360,524	291,987,465	303,961,215	292,142,095
Operating Supplies and Expenses	386,986,494	406,664,669	368,747,252	423,295,176	452,900,147
Aid To Local Units Of Government	72,244,714	76,761,977	79,758,246	79,867,919	74,659,806
Assistance, Grants and Benefits	461,922,931	392,317,302	421,328,337	423,689,412	403,243,306
<b>Subtotal: Operating Expenditures</b>	<b>\$1,207,030,988</b>	<b>\$1,171,104,472</b>	<b>\$1,161,821,300</b>	<b>\$1,230,813,722</b>	<b>\$1,222,945,354</b>
Capital Purchases and Equipment	22,383,528	17,911,982	55,118,650	57,532,089	61,378,326
Debt Service	163,794,061	167,989,640	150,162,732	164,985,610	181,711,292
Operating Transfers	63,639,012	54,895,172	65,439,557	72,705,664	65,881,047
<b>Total Expenditures</b>	<b>\$1,456,847,589</b>	<b>\$1,411,901,266</b>	<b>\$1,432,542,239</b>	<b>\$1,526,037,085</b>	<b>\$1,531,916,019</b>
<b>Expenditures by Funds</b>					
General Revenue	427,857,942	426,311,404	448,686,837	468,201,521	503,991,243
Federal Funds	151,426,568	121,300,905	97,903,678	121,246,656	73,329,493
Restricted Receipts	98,945,581	106,123,012	105,769,996	142,692,615	117,075,366
Other Funds	778,617,498	758,165,945	780,181,728	793,896,293	837,519,917
<b>Total Expenditures</b>	<b>\$1,456,847,589</b>	<b>\$1,411,901,266</b>	<b>\$1,432,542,239</b>	<b>\$1,526,037,085</b>	<b>\$1,531,916,019</b>
<b>FTE Authorization</b>	<b>2,294.7</b>	<b>2,307.7</b>	<b>2,330.2</b>	<b>2,351.7</b>	<b>2,391.7</b>

# Agency

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## Department Of Administration

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### Agency Mission

To manage the State's financial, human, and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost effective services possible.

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the Department are efficiently organized and implemented.

### Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state.

The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, Construction Permitting, Approvals, and Licensing (CPAL), HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

### Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

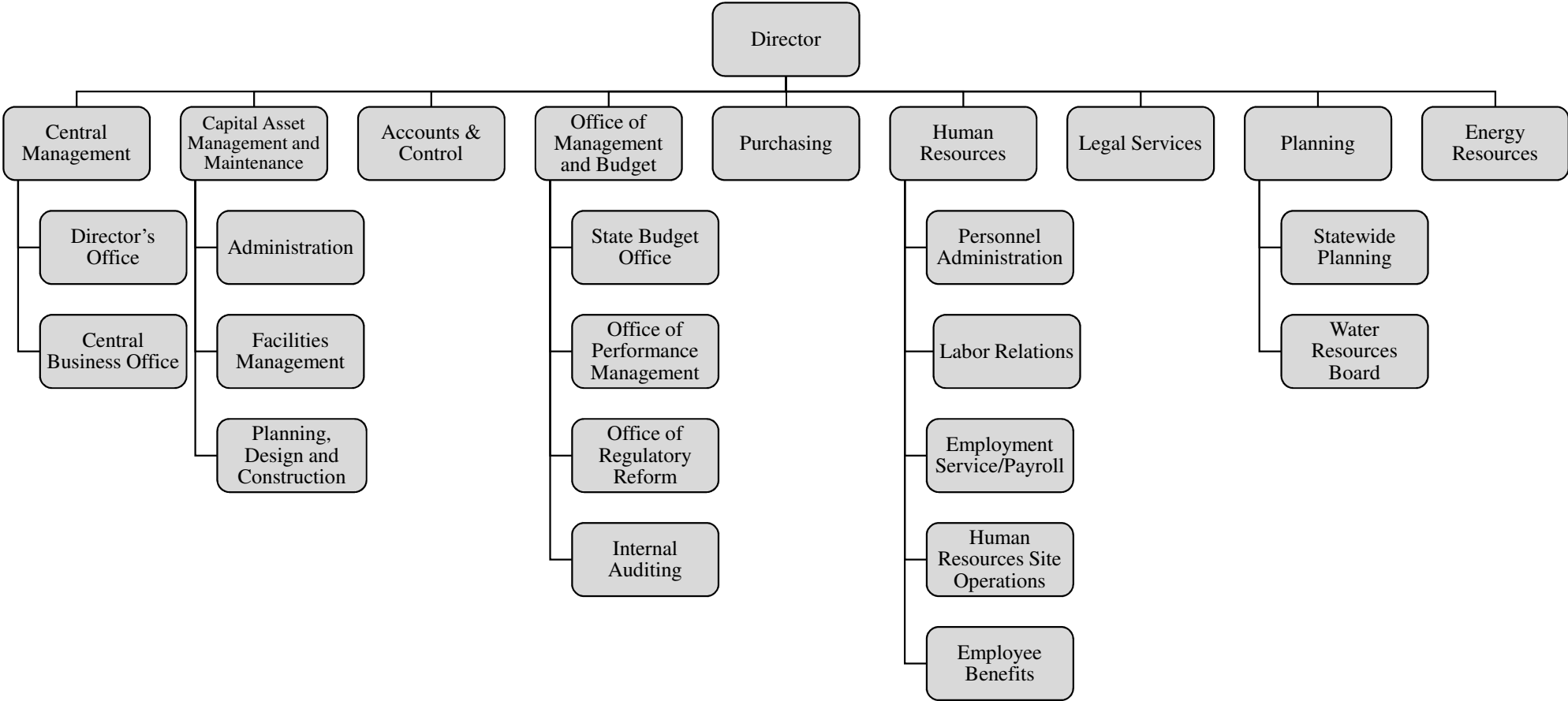
# Budget

## Department Of Administration

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	2,608,697	2,342,584	3,790,943	3,681,723	2,789,779
Accounts and Control	3,733,014	3,716,400	4,080,143	4,036,146	4,147,433
Office of Management and Budget	3,536,842	3,425,493	4,146,713	4,634,737	11,178,610
Purchasing	3,081,730	2,632,493	3,085,408	2,897,430	3,181,348
Auditing	1,336,731	1,294,235	1,476,262	1,426,416	-
Human Resources	10,439,178	9,577,006	10,371,075	10,032,930	10,842,300
Personnel Appeal Board	68,511	101,357	119,874	134,444	133,419
General	49,615,756	45,036,147	61,669,353	54,431,516	57,771,898
Debt Service Payments	189,421,726	194,237,049	150,262,732	165,050,610	181,811,292
Legal Services	2,389,419	1,980,498	2,166,696	2,156,082	2,185,988
Facilities Management	36,351,383	36,013,146	37,681,225	38,414,050	-
Capital Projects and Property Management	1,324,739	1,224,415	3,612,931	1,638,278	-
Information Technology	31,751,696	35,035,233	39,971,076	46,183,809	41,697,145
Library and Information Services	2,112,021	1,940,583	2,434,428	2,483,496	2,543,100
Planning	14,477,628	16,360,905	5,644,655	5,317,425	5,354,825
Personnel and Operational Reforms	-	-	(8,225,000)	(2,000,000)	(116,421)
Energy Resources	10,279,806	6,297,720	10,601,458	19,597,078	14,692,328
Construction Permitting, Approvals and Licensing	2,618,051	2,571,152	3,024,913	2,965,466	3,263,975
Rhode Island Health Benefits Exchange (HealthSource RI)	47,348,145	50,814,348	30,926,620	31,773,795	12,383,627
The Office of Diversity, Equity and Opportunity	-	847,891	1,190,135	1,158,756	1,387,633
Capital Asset Management and Maintenance	-	-	-	-	42,446,394
<i>Internal Services</i>	<i>[349,619,168]</i>	<i>[353,245,832]</i>	<i>[395,982,557]</i>	<i>[392,468,938]</i>	<i>[394,011,660]</i>
<b>Total Expenditures</b>	<b>\$412,495,073</b>	<b>\$415,448,655</b>	<b>\$368,031,640</b>	<b>\$396,014,187</b>	<b>\$397,694,673</b>
<b>Expenditures By Object</b>					
Personnel	121,939,962	126,728,748	106,539,429	110,669,071	99,381,848
Operating Supplies and Expenses	38,804,414	36,300,159	32,575,448	33,047,457	36,406,141
Assistance and Grants	23,747,192	25,669,489	18,159,267	27,807,519	15,756,472
Aid to Local Units of Government	10,545,470	10,385,409	11,436,698	11,436,698	10,685,292
<b>Subtotal: Operating Expenditures</b>	<b>195,037,038</b>	<b>199,083,805</b>	<b>168,710,842</b>	<b>182,960,745</b>	<b>162,229,753</b>
Capital Purchases and Equipment	20,959,293	15,637,548	49,158,066	48,102,832	53,753,628
Debt Service (Fixed Charges)	160,770,045	167,639,381	150,162,732	164,950,610	181,711,292
Operating Transfers	35,728,697	33,087,921	-	-	-
<b>Total Expenditures</b>	<b>\$412,495,073</b>	<b>\$415,448,655</b>	<b>\$368,031,640</b>	<b>\$396,014,187</b>	<b>\$397,694,673</b>
<b>Expenditures By Funds</b>					
General Revenue	259,268,901	244,775,881	197,494,291	216,525,887	243,008,631
Federal Funds	72,702,362	77,782,063	43,302,629	43,989,894	14,896,706
Restricted Receipts	15,899,348	20,553,583	28,853,407	45,364,953	35,983,267
Operating Transfers from Other Funds	56,809,875	64,765,107	89,731,613	82,134,225	95,042,008
Other Funds	7,814,587	7,572,021	8,649,700	7,999,228	8,764,061
<b>Total Expenditures</b>	<b>\$412,495,073</b>	<b>\$415,448,655</b>	<b>\$368,031,640</b>	<b>\$396,014,187</b>	<b>\$397,694,673</b>
<b>FTE Authorization</b>	<b>720.7</b>	<b>710.7</b>	<b>711.7</b>	<b>723.7</b>	<b>743.7</b>

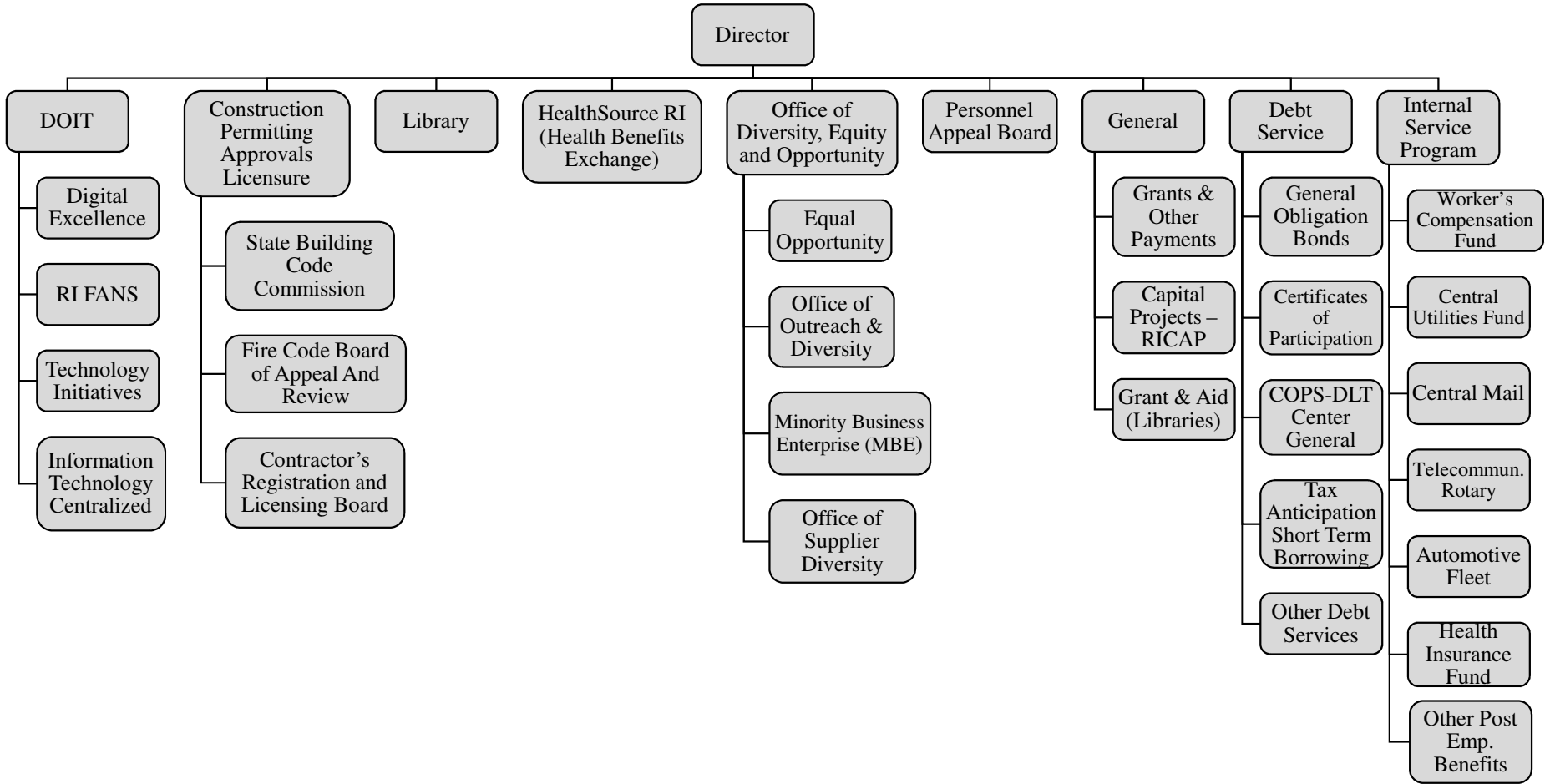
# The Agency

## Department of Administration



# The Agency

## Department of Administration, Continued



# Personnel

## Department Of Administration Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		707.4	50,889,881	726.4	52,951,057
Unclassified		29.0	2,792,186	30.0	3,019,166
Nonclassified		-	-	2.0	179,236
<b>Subtotal</b>		<b>736.4</b>	<b>\$53,682,067</b>	<b>758.4</b>	<b>\$56,149,459</b>
Cost Allocation from Other Programs		-	905,328	-	870,538
Cost Allocation to Other Programs		-	(\$905,328)	-	(\$870,538)
Interdepartmental Transfer		-	(\$213,378)	-	(\$205,591)
Overtime		-	800,224	-	797,900
Program Reduction		-	-	(2.0)	(\$85,222)
Reconcile to FTE Authorization		(12.7)	-	(12.7)	-
Temporary and Seasonal		-	134,724	-	193,639
Turnover		-	(\$4,257,160)	-	(\$2,492,624)
<b>Subtotal</b>		<b>(12.7)</b>	<b>(\$3,535,590)</b>	<b>(14.7)</b>	<b>(\$1,791,898)</b>
<b>Total Salaries</b>		<b>723.7</b>	<b>\$50,146,477</b>	<b>743.7</b>	<b>\$54,357,561</b>
<b>Benefits</b>					
Payroll Accrual			279,072		307,288
Holiday			70,217		76,517
Other			6,000,000		6,000,000
FICA			4,217,313		4,510,456
Retiree Health			2,946,538		3,199,496
Health Benefits			8,489,170		9,726,173
Retirement			11,968,728		13,930,352
Workers Compensation			28,965,000		30,315,000
<b>Subtotal</b>			<b>\$62,936,038</b>		<b>\$68,065,282</b>
<b>Total Salaries and Benefits</b>		<b>723.7</b>	<b>\$113,082,515</b>	<b>743.7</b>	<b>\$122,422,843</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$156,070</b>		<b>\$164,353</b>
<b>Statewide Benefit Assessment</b>			<b>\$2,258,894</b>		<b>\$2,538,990</b>
<b>Payroll Costs</b>		<b>723.7</b>	<b>\$115,341,409</b>	<b>743.7</b>	<b>\$124,961,833</b>

# Personnel

## Department Of Administration Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Buildings and Ground Maintenance			819,875		719,100
Training and Educational Services			282,783		200,500
Legal Services			249,299		204,058
Other Contracts			1,123,641		128,654
Information Technology			19,625,978		6,296,040
Clerical and Temporary Services			78,110		73,610
Design and Engineering Services			477,510		475,181
Management & Consultant Services			14,068,861		8,682,757
Medical Services			1,749		1,800
<b>Subtotal</b>			<b>\$36,727,806</b>		<b>\$16,781,700</b>
<b>Total Personnel</b>		<b>723.7</b>	<b>\$152,069,215</b>	<b>743.7</b>	<b>\$142,493,533</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		379.9	\$62,828,211	466.4	\$67,099,360
Federal Funds		22.0	\$33,815,196	22.0	\$9,594,742
Restricted Receipts		19.0	\$7,098,168	20.0	\$12,928,741
Operating Transfers from Other Funds		2.0	\$1,659,400	18.0	\$4,240,326
Other Funds		313.5	\$46,668,240	230.0	\$48,630,364
<b>Total All Funds</b>		<b>736.4</b>	<b>\$152,069,215</b>	<b>756.4</b>	<b>\$142,493,533</b>



# Performance Measures

## Department Of Administration

### *Minorities in the Executive Branch of the State Workforce*

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	19%	20.5%
<b>Actual</b>	16.7%	17.2%	17.6%	--	--

Performance for this measure is reported by state fiscal year.

### *Major Processes Subject to a Lean Review*

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to standardize and streamline procedures using Lean process improvement techniques.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	5	8
<b>Actual</b>		1	1	--	--

Performance for this measure is reported by state fiscal year.

### *Major Processes that are Online or Paperless*

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to make key processes online and/or paperless using the appropriate technology.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	50%	75%
<b>Actual</b>	--	--	10%	--	--

Performance for this measure is reported by state fiscal year.

### *State Government Energy Consumption*

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected electricity usage, measured in kilowatt-hours (kWh).

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	100,372,910	98,324,484
<b>Actual</b>	99,311,589	102,759,693	102,421,337	--	--

Performance for this measure is reported by state fiscal year.

# The Program

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## Department Of Administration Central Management

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### **Program Mission**

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

To select the best candidates for recommendation for appointment to the Judiciary.

To manage the implementation of large-scale information technology projects.

### **Program Description**

Central Management is comprised of four major functions: the Director's Office, the Central Business Office, the Office of Digital Excellence, and the Judicial Nominating Commission. The Office of Digital Excellence will move to the Information Technology program in FY 2017.

The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I.G.L. 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

The Chief Digital Officer and staff manage the implementation of all new and mission critical technology infrastructure projects, including upgrades for other state agencies. The Division of Information Technology (DoIT) continues to manage and support day-to-day operations of the State's technology infrastructure, telecommunications, and associated applications.

### **Statutory History**

The legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Office of Digital Excellence was transferred from the General Program to the Central Management Program in FY 2013.

# The Budget

## Department Of Administration Central Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Director's Office	1,159,097	1,124,534	1,753,727	1,954,341	1,690,583
Financial Management	904,232	758,380	1,038,347	964,375	1,079,346
Judicial Nominating Committee	4,246	1,695	14,850	19,850	19,850
Office of Digital Excellence	541,122	457,975	984,019	743,157	-
<b>Total Expenditures</b>	<b>\$2,608,697</b>	<b>\$2,342,584</b>	<b>\$3,790,943</b>	<b>\$3,681,723</b>	<b>\$2,789,779</b>
<b>Expenditures By Object</b>					
Personnel	2,467,394	2,128,723	3,658,867	3,482,412	2,699,268
Operating Supplies and Expenses	81,956	90,413	129,976	140,711	88,011
Assistance and Grants	55,680	123,448	-	55,000	-
<b>Subtotal: Operating Expenditures</b>	<b>2,605,030</b>	<b>2,342,584</b>	<b>3,788,843</b>	<b>3,678,123</b>	<b>2,787,279</b>
Capital Purchases and Equipment	3,667	-	2,100	3,600	2,500
<b>Total Expenditures</b>	<b>\$2,608,697</b>	<b>\$2,342,584</b>	<b>\$3,790,943</b>	<b>\$3,681,723</b>	<b>\$2,789,779</b>
<b>Expenditures By Funds</b>					
General Revenue	2,608,697	2,342,584	3,790,943	3,681,723	2,789,779
<b>Total Expenditures</b>	<b>\$2,608,697</b>	<b>\$2,342,584</b>	<b>\$3,790,943</b>	<b>\$3,681,723</b>	<b>\$2,789,779</b>

# Personnel

## Department Of Administration Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	133,291	1.0	133,971
INFORMATION TECHNOLOGY PROJECT MANAGER	00143A <sup>2</sup>	5.0	513,481	-	-
EXECUTIVE ASSISTANT TO THE DIRECTOR (DEPT.	00141A	1.0	102,317	1.0	102,860
SUPERVISOR OF FISCAL SERVICES (DEPT OF	00136A	1.0	100,541	1.0	101,074
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	86,778	1.0	87,239
ADMINISTRATOR, FINANCIAL MANAGEMENT-	00139A	1.0	83,708	1.0	86,849
PROGRAMMING SERVICES OFFICER	00131A	1.0	83,149	1.0	83,572
PRINCIPAL HUMAN SERVICES BUSINESS OFFICER	00A28A	1.0	81,244	1.0	81,661
PROGRAMMER/ANALYST III (ORACLE)	00835A <sup>3</sup>	1.0	78,900	-	-
CHIEF IMPLEMENTATION AIDE	00128A	2.0	147,435	2.0	153,480
SUPERVISING ACCOUNTANT	00131A	1.0	69,381	1.0	69,750
SUPERVISOR OF BILLINGS AND ACCOUNTS	03527A	1.0	68,588	1.0	68,949
FISCAL MANAGEMENT OFFICER	00B26A	2.0	127,297	2.0	131,014
ADMINISTRATIVE OFFICER	00124A	1.0	63,265	1.0	63,593
BUSINESS MANAGEMENT OFFICER	00A26A	1.0	59,985	1.0	61,839
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	46,313	1.0	46,558
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	42,380	1.0	43,403
<b>Subtotal</b>		<b>23.0</b>	<b>\$1,888,053</b>	<b>17.0</b>	<b>\$1,315,812</b>
<b>Unclassified</b>					
CHIEF DIGITAL OFFICER	00856A <sup>1</sup>	1.0	204,620	-	-
DEPUTY DIRECTOR	04948A	1.0	155,564	1.0	155,564
DIRECTOR OF ADMINISTRATION	00946KF	1.0	130,010	1.0	130,010
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	88,068	1.0	88,524
PUBLIC INFORMATION OFFICER	00829A	1.0	70,380	1.0	68,548
ADMINISTRATIVE OFFICER	00122A	1.0	47,486	1.0	48,975
EXECUTIVE SECRETARY (STATE PROPERTIES	00321A	1.0	45,730	1.0	45,972
FISCAL CLERK	00314A	2.0	74,765	2.0	76,071
CYBER SECURITY DIRECTOR	00854A <sup>4</sup>	-	-	1.0	177,489
<b>Subtotal</b>		<b>9.0</b>	<b>\$816,623</b>	<b>9.0</b>	<b>\$791,153</b>
Cost Allocation to Other Programs		-	(701,872)	-	(666,008)
Interdepartmental Transfer		-	(88,740)	-	70,630
Overtime		-	2,324	-	-
Turnover		-	(306,941)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$1,095,229)</b>	<b>-</b>	<b>(\$595,378)</b>
<b>Total Salaries</b>		<b>32.0</b>	<b>\$1,609,447</b>	<b>26.0</b>	<b>\$1,511,587</b>
<b>Benefits</b>					
Payroll Accrual			9,183		8,728
FICA			118,627		112,778
Retiree Health			96,172		90,240
Health Benefits			215,797		244,697
Retirement			399,335		398,817
<b>Subtotal</b>			<b>\$839,114</b>		<b>\$855,260</b>

# Personnel

## Department Of Administration Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		32.0	\$2,448,561	26.0	\$2,366,847
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$76,518		\$91,033
<b>Statewide Benefit Assessment</b>			\$74,101		\$73,421
<b>Payroll Costs</b>		32.0	\$2,522,662	26.0	\$2,440,268
<b>Purchased Services</b>					
Management & Consultant Services			950,750		250,000
Legal Services			4,000		4,000
Other Contracts			5,000		5,000
<b>Subtotal</b>			<b>\$959,750</b>		<b>\$259,000</b>
<b>Total Personnel</b>		<b>32.0</b>	<b>\$3,482,412</b>	<b>26.0</b>	<b>\$2,699,268</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		32.0	\$3,482,412	26.0	\$2,699,268
<b>Total All Funds</b>		<b>32.0</b>	<b>\$3,482,412</b>	<b>26.0</b>	<b>\$2,699,268</b>

- 1 Moved to Information Technology in FY 2017.  
3 Moved to Information Technology in FY 2017.

- 2 Moved to Information Technology in FY 2017.  
4 New position to enhance cyber security.

# The Program

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## Department Of Administration Accounts and Control

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### **Program Mission**

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles.

To establish policies and procedures to foster sound accounting practices and internal controls.

To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies.

To support state operations by centrally processing financial transactions in a timely and accurate manner.

### **Program Description**

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the treasury; and the preparation of financial statements in accordance with generally accepted accounting principles.

This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

### **Statutory History**

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

# The Budget

## Department Of Administration Accounts and Control

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Accounts & Control	3,733,014	3,716,400	4,080,143	4,036,146	4,147,433
<b>Total Expenditures</b>	<b>\$3,733,014</b>	<b>\$3,716,400</b>	<b>\$4,080,143</b>	<b>\$4,036,146</b>	<b>\$4,147,433</b>
<b>Expenditures By Object</b>					
Personnel	3,642,309	3,610,089	3,940,178	3,898,701	4,009,988
Operating Supplies and Expenses	92,205	106,311	136,965	134,445	134,445
Assistance and Grants	(1,500)	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>3,733,014</b>	<b>3,716,400</b>	<b>4,077,143</b>	<b>4,033,146</b>	<b>4,144,433</b>
Capital Purchases and Equipment	-	-	3,000	3,000	3,000
<b>Total Expenditures</b>	<b>\$3,733,014</b>	<b>\$3,716,400</b>	<b>\$4,080,143</b>	<b>\$4,036,146</b>	<b>\$4,147,433</b>
<b>Expenditures By Funds</b>					
General Revenue	3,733,014	3,716,400	4,080,143	4,036,146	4,147,433
<b>Total Expenditures</b>	<b>\$3,733,014</b>	<b>\$3,716,400</b>	<b>\$4,080,143</b>	<b>\$4,036,146</b>	<b>\$4,147,433</b>

# Personnel

## Department Of Administration Accounts and Control

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CONTROLLER	00148A	1.0	139,028	1.0	140,645
ASSOCIATE CONTROLLER	00143A	2.0	248,740	2.0	250,045
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	112,549	1.0	113,146
SUPERVISOR FINANCIAL MANAGEMENT AND INVESTIGATIVE AUDITOR	00135A	1.0	96,933	1.0	97,447
FINANCIAL REPORTING MANAGER (OFFICE OF CHIEF PREAUDIT SUPERVISOR	00133A	1.0	90,783	1.0	91,260
SUPERVISING ACCOUNTANT	00139A	1.0	90,315	1.0	93,346
FISCAL MANAGEMENT OFFICER	00131A	4.0	316,599	4.0	318,273
SENIOR MANAGEMENT AND METHODS ANALYST	00831A	1.0	76,505	1.0	76,911
PRINCIPAL ACCOUNTING POLICY AND METHODS ASSET PROTECTION OFFICER	00B26A	3.0	205,413	3.0	206,186
CENTRAL ACCOUNTS PAYABLE SUPERVISING ACCOUNTS AND CONTROL CENTRALIZED	00325A	1.0	65,693	1.0	66,040
ACCOUNTS AND CONTROL CENTRALIZED	00828A	3.0	183,789	3.0	185,537
SCHEDULING AND RECORDING CLERK	00324A	2.0	109,883	2.0	110,211
	00324A	1.0	50,258	1.0	52,084
	00321A	10.0	466,627	10.0	483,783
	00021A	3.0	137,264	3.0	140,020
	00312A	2.0	81,152	2.0	81,583
<b>Subtotal</b>		<b>37.0</b>	<b>\$2,471,531</b>	<b>37.0</b>	<b>\$2,506,517</b>
Turnover		-	(82,999)	-	(67,676)
<b>Subtotal</b>		<b>-</b>	<b>(\$82,999)</b>	<b>-</b>	<b>(\$67,676)</b>
<b>Total Salaries</b>		<b>37.0</b>	<b>\$2,388,532</b>	<b>37.0</b>	<b>\$2,438,841</b>
<b>Benefits</b>					
Payroll Accrual			13,824		14,102
FICA			183,590		185,141
Retiree Health			146,720		147,724
Health Benefits			447,808		465,863
Retirement			594,815		640,470
<b>Subtotal</b>			<b>\$1,386,757</b>		<b>\$1,453,300</b>
<b>Total Salaries and Benefits</b>		<b>37.0</b>	<b>\$3,775,289</b>	<b>37.0</b>	<b>\$3,892,141</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$102,035</b>		<b>\$105,193</b>
<b>Statewide Benefit Assessment</b>			<b>\$111,412</b>		<b>\$115,847</b>
<b>Payroll Costs</b>		<b>37.0</b>	<b>\$3,886,701</b>	<b>37.0</b>	<b>\$4,007,988</b>
<b>Purchased Services</b>					
Management & Consultant Services			10,000		-
Other Contracts			2,000		2,000
<b>Subtotal</b>			<b>\$12,000</b>		<b>\$2,000</b>
<b>Total Personnel</b>		<b>37.0</b>	<b>\$3,898,701</b>	<b>37.0</b>	<b>\$4,009,988</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		37.0	\$3,898,701	37.0	\$4,009,988
<b>Total All Funds</b>		<b>37.0</b>	<b>\$3,898,701</b>	<b>37.0</b>	<b>\$4,009,988</b>



# The Program

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## Department Of Administration Office of Management and Budget

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### Program Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

### Program Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions:

**Budget Office:** Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies.

**Strategic Management:** Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity.

**Performance Management:** Develops and monitors performance measures for each department and program to align limited resources with results.

**Federal Grants Administration:** Monitors and reports on federal grants including stimulus grants, and maximizes use of federal funds for eligible state programs and functions.

**Regulatory Reform:** Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests.

**Office of Internal Audit:** Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions. This function will become part of OMB.

### Statutory History

RIGL 35-1.1 created the Office of Management and Budget. RIGL 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL 42-35.1-3 moved the Office of Regulatory Reform from the Economic Development Corporation to the Office of Management and Budget.

# The Budget

## Department Of Administration Office of Management and Budget

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Budget Office	2,129,218	2,003,021	2,310,228	2,234,837	2,655,354
Director, Office of Mgt and Budget	437,200	332,057	632,945	496,982	2,042,865
Performance Management	258,690	315,936	373,084	651,095	1,433,010
Federal Grants Management	255,828	256,645	281,235	-	-
Office of Regulatory Reform	455,906	517,834	549,221	1,251,823	1,191,642
Office of Internal Audit	-	-	-	-	3,855,739
<b>Total Expenditures</b>	<b>\$3,536,842</b>	<b>\$3,425,493</b>	<b>\$4,146,713</b>	<b>\$4,634,737</b>	<b>\$11,178,610</b>
<b>Expenditures By Object</b>					
Personnel	3,400,208	3,335,240	4,036,091	3,958,644	8,378,553
Operating Supplies and Expenses	116,906	83,230	105,122	110,447	795,557
Assistance and Grants	-	-	-	-	500,000
<b>Subtotal: Operating Expenditures</b>	<b>3,517,114</b>	<b>3,418,470</b>	<b>4,141,213</b>	<b>4,069,091</b>	<b>9,674,110</b>
Capital Purchases and Equipment	19,728	7,023	5,500	565,646	1,504,500
<b>Total Expenditures</b>	<b>\$3,536,842</b>	<b>\$3,425,493</b>	<b>\$4,146,713</b>	<b>\$4,634,737</b>	<b>\$11,178,610</b>
<b>Expenditures By Funds</b>					
General Revenue	3,308,787	3,409,598	4,146,713	4,608,429	9,599,520
Restricted Receipts	228,055	15,895	-	26,308	300,000
Operating Transfers from Other Funds	-	-	-	-	1,279,090
<b>Total Expenditures</b>	<b>\$3,536,842</b>	<b>\$3,425,493</b>	<b>\$4,146,713</b>	<b>\$4,634,737</b>	<b>\$11,178,610</b>

# Personnel

## Department Of Administration Office of Management and Budget

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00152A	1.0	183,723	1.0	185,739
CHIEF BUDGET ANALYST	00142A	1.0	128,378	1.0	129,046
DIRECTOR REGULATORY REFORM (OMB)	00142A	1.0	113,750	1.0	118,200
DEPUTY BUDGET OFFICER	00144A	1.0	112,643	1.0	117,412
SUPERVISING BUDGET ANALYST	00139A	3.0	305,329	3.0	306,932
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	93,575	1.0	95,500
SENIOR BUDGET ANALYST	00834A	1.0	93,368	1.0	93,845
PRINCIPAL BUDGET ANALYST	00137A	2.0	175,056	2.0	179,041
BUDGET ANALYST II	00831A	1.0	86,366	1.0	86,809
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	79,562	1.0	82,471
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	78,493	1.0	80,063
PROGRAMMING SERVICES OFFICER	00131A	2.0	144,129	2.0	146,474
CHIEF OF RESEARCH AND ANALYSIS	00134A	2.0	140,556	2.0	148,808
SENIOR ECONOMIC AND POLICY ANALYST	00134A	3.0	210,834	3.0	223,212
BUDGET ANALYST I	00828A	4.0	246,954	4.0	256,880
ADMINISTRATIVE OFFICER	00124A	1.0	61,135	1.0	61,456
PRINCIPAL MANAGEMENT AND METHODS	00128A	2.0	108,675	2.0	113,430
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	3.0	158,457	3.0	160,606
IMPLEMENTATION AIDE	00122A	2.0	92,116	2.0	91,916
AUDITOR	00K22A	-	-	3.0	164,310
CHIEF BUREAU OF AUDITS	00145A	-	-	1.0	132,183
DEPUTY CHIEF BUREAU OF AUDITS	00143A	-	-	2.0	218,472
ELIGIBILITY TECHNICIAN	00321A	-	-	8.0	385,147
FRAUD INVESTIGATION AND OVERPAYMENT	00024A	-	-	1.0	46,729
INTERNAL AUDIT MANAGER (DOA)	00136A	-	-	3.0	293,998
INTERNAL AUDITOR (DOA)	00325A	-	-	1.0	51,914
INVESTIGATIVE AUDITOR	00133A	-	-	1.0	69,225
PRINCIPAL AUDITOR	00328A	-	-	1.0	75,429
SEASONAL POLICY INTERN	00252H	-	-	-	-
SENIOR AUDITOR	0AB25A	-	-	2.0	125,021
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	-	-	1.0	103,011
SENIOR INTERNAL AUDITOR (DOA)	00131A	-	-	5.0	338,806
SENIOR WORD PROCESSING TYPIST	00312A	-	-	1.0	37,048
<b>Subtotal</b>		<b>33.0</b>	<b>\$2,613,099</b>	<b>63.0</b>	<b>\$4,719,133</b>
<b>Unclassified</b>					
DIRECTOR, OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	170,377	1.0	171,282
ASSOCIATE DIRECTOR FOR PLANNING, POLICY &	00843A	1.0	121,541	1.0	122,186
<b>Subtotal</b>		<b>2.0</b>	<b>\$291,918</b>	<b>2.0</b>	<b>\$293,468</b>
<b>Nonclassified</b>					
INTERNAL AUDITOR	000012	-	-	2.0	179,236
<b>Subtotal</b>		<b>-</b>	<b>-</b>	<b>2.0</b>	<b>\$179,236</b>

# Personnel

## Department Of Administration Office of Management and Budget

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Program Reduction		-	-	(2.0)	(85,222)
Temporary and Seasonal		-	82,800	-	82,800
Turnover		-	(527,340)	-	(221,264)
<b>Subtotal</b>		-	<b>(\$444,540)</b>	<b>(2.0)</b>	<b>(\$223,686)</b>
<b>Total Salaries</b>		<b>35.0</b>	<b>\$2,460,477</b>	<b>65.0</b>	<b>\$4,968,151</b>
<b>Benefits</b>					
Payroll Accrual			13,563		28,262
FICA			174,843		366,851
Retiree Health			142,159		291,871
Health Benefits			294,080		771,286
Retirement			582,835		1,283,879
<b>Subtotal</b>			<b>\$1,207,480</b>		<b>\$2,742,149</b>
<b>Total Salaries and Benefits</b>		<b>35.0</b>	<b>\$3,667,957</b>	<b>65.0</b>	<b>\$7,710,300</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$102,433</b>		<b>\$117,346</b>
<b>Statewide Benefit Assessment</b>			<b>\$113,182</b>		<b>\$235,989</b>
<b>Payroll Costs</b>		<b>35.0</b>	<b>\$3,781,139</b>	<b>65.0</b>	<b>\$7,946,289</b>
<b>Purchased Services</b>					
Management & Consultant Services			132,264		432,264
Legal Services			45,241		-
<b>Subtotal</b>			<b>\$177,505</b>		<b>\$432,264</b>
<b>Total Personnel</b>		<b>35.0</b>	<b>\$3,958,644</b>	<b>65.0</b>	<b>\$8,378,553</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		35.0	\$3,958,644	49.0	\$6,826,413
Restricted Receipts		-	-	-	\$300,000
Operating Transfers from Other Funds		-	-	16.0	\$1,252,140
<b>Total All Funds</b>		<b>35.0</b>	<b>\$3,958,644</b>	<b>65.0</b>	<b>\$8,378,553</b>

# The Program

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## Department Of Administration Purchasing

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### **Program Mission**

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

### **Program Description**

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

### **Statutory History**

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 37-2-17.2 requires the institution of an electronic Vendor Information Program.

In 2011, in accordance with RIGL 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for "public works projects" are required to include a copy "to be available for public inspection upon the opening of the bids." Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. R.I.G.L. 37-2-18(b) and (j).

# The Budget

## Department Of Administration Purchasing

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Purchasing	2,748,072	2,632,493	3,085,408	2,897,430	3,181,348
Minority Business Enterprise	333,658	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,081,730</b>	<b>\$2,632,493</b>	<b>\$3,085,408</b>	<b>\$2,897,430</b>	<b>\$3,181,348</b>
<b>Expenditures By Object</b>					
Personnel	2,979,453	2,562,922	2,991,682	2,818,970	3,102,888
Operating Supplies and Expenses	74,513	68,015	93,726	78,460	78,460
<b>Subtotal: Operating Expenditures</b>	<b>3,053,966</b>	<b>2,630,937</b>	<b>3,085,408</b>	<b>2,897,430</b>	<b>3,181,348</b>
Capital Purchases and Equipment	-	1,556	-	-	-
Operating Transfers	27,764	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,081,730</b>	<b>\$2,632,493</b>	<b>\$3,085,408</b>	<b>\$2,897,430</b>	<b>\$3,181,348</b>
<b>Expenditures By Funds</b>					
General Revenue	2,818,185	2,316,069	2,764,921	2,622,193	2,948,708
Federal Funds	83,027	-	-	-	-
Operating Transfers from Other Funds	180,518	316,424	320,487	275,237	232,640
<b>Total Expenditures</b>	<b>\$3,081,730</b>	<b>\$2,632,493</b>	<b>\$3,085,408</b>	<b>\$2,897,430</b>	<b>\$3,181,348</b>

# Personnel

## Department Of Administration Purchasing

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
PURCHASING AGENT	00145A	1.0	126,832	1.0	132,718
DEPUTY PURCHASING AGENT	00141A	1.0	105,346	1.0	108,002
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	277,719	3.0	283,013
CHIEF BUYER (DOA/OP)	00132A	4.0	323,639	4.0	325,632
CHIEF OF PURCHASING MANAGEMENT AND PROGRAMMING SERVICES OFFICER	00138A	1.0	79,899	1.0	83,979
00131A	1.0	69,851	1.0	70,221	
SENIOR BUYER (DOA/OP)	00829A	1.0	69,104	1.0	71,134
CHIEF IMPLEMENTATION AIDE	00128A	1.0	67,832	1.0	68,192
BUYER II (DOA/OP)	00327A	2.0	128,896	2.0	129,568
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	101,847	2.0	102,376
LEGAL ASSISTANT	00119A	1.0	49,696	1.0	49,953
BUYER I (DOA/OP)	00324A	2.0	92,250	2.0	96,460
ADMINISTRATIVE OFFICER	00124A	1.0	46,016	1.0	48,114
STANDARDS TECHNICIAN (DIVISION OF	00318A	10.0	441,178	10.0	446,629
<b>Subtotal</b>		<b>31.0</b>	<b>\$1,980,105</b>	<b>31.0</b>	<b>\$2,015,991</b>
Interdepartmental Transfer		-	(102,140)	-	(102,682)
Turnover		-	(179,090)	-	(86,862)
<b>Subtotal</b>		<b>-</b>	<b>(\$281,230)</b>	<b>-</b>	<b>(\$189,544)</b>
<b>Total Salaries</b>		<b>31.0</b>	<b>\$1,698,875</b>	<b>31.0</b>	<b>\$1,826,447</b>
<b>Benefits</b>					
Payroll Accrual			9,638		10,573
FICA			128,486		139,236
Retiree Health			100,530		109,038
Health Benefits			369,709		433,806
Retirement			418,745		481,783
<b>Subtotal</b>			<b>\$1,027,108</b>		<b>\$1,174,436</b>
<b>Total Salaries and Benefits</b>		<b>31.0</b>	<b>\$2,725,983</b>	<b>31.0</b>	<b>\$3,000,883</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$87,935</b>		<b>\$96,803</b>
<b>Statewide Benefit Assessment</b>			<b>\$77,737</b>		<b>\$86,755</b>
<b>Payroll Costs</b>		<b>31.0</b>	<b>\$2,803,720</b>	<b>31.0</b>	<b>\$3,087,638</b>

# Personnel

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## Department Of Administration Purchasing

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			250		250
Legal Services			15,000		15,000
<b>Subtotal</b>			<b>\$15,250</b>		<b>\$15,250</b>
<b>Total Personnel</b>		<b>31.0</b>	<b>\$2,818,970</b>	<b>31.0</b>	<b>\$3,102,888</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		29.0	\$2,543,733	29.0	\$2,870,248
Operating Transfers from Other Funds		2.0	\$275,237	2.0	\$232,640
<b>Total All Funds</b>		<b>31.0</b>	<b>\$2,818,970</b>	<b>31.0</b>	<b>\$3,102,888</b>



# The Program

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## Department Of Administration Auditing

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### Program Mission

To provide independent and objective assurance regarding governance, risk management, and control processes. To report the effectiveness and efficiency of state operations, the reliability of financial reporting, and compliance with applicable laws, regulations, and contracts.

To be the independent voice which helps ensure that our state government resources are being utilized in a cost efficient, effective manner and promote a control conscious environment. Our guiding values include objectivity, independence, integrity, and professionalism.

### Program Description

The Bureau of Audits was established to conduct all audits required by the Department of Administration. Its authority is given under RIGL 35-7 which allows the Bureau of Audits:

- To conduct audits of any state department, state agency, or private entity that is a recipient of state funding, or state grants, as deemed necessary or expedient by the Bureau of Audits. Audits may be made relative to financial affairs, or the economy and efficiency of management of each department and agency.
- To determine which audits shall be performed in accordance with a risk based evaluation.
- To conduct investigations, or management advisory and consulting services upon request of the Governor or the General Assembly.

The Bureau of Audits performs the internal auditing function for the Executive Branch of State Government, and falls under the Department of Administration. Bureau of Audits reports functionally to the Internal Audit Advisory Group and administratively to the Director of the Department of Administration. Bureau of Audits provides the Governor and the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational controls through objective analyses, evaluations, and recommendations on operations, systems, and contracted services of state government. Additionally, Bureau of Audits provides expert technical advice on how to coordinate process improvement and quality control activities across state government.

Bureau of Audits provides independent and objective assurance on governance, risk management, and control processes in order to furnish a reasonable basis to report on the effectiveness and efficiency of state operations, the reliability of financial reporting, and compliance with applicable laws, regulations, and contracts. Additionally, Bureau of Audits conducts fraud awareness and ethics training to state employees, forensic audits, state vendor and contractor audits, accounting assistance, investigative reviews, enterprise risk assessment, and more. This function will be merged into the Office of Internal Audit in the Office of Management and Budget in FY 2017.

### Statutory History

Chapter 35-7 establishes the Bureau of Audits and authorizes it to conduct audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies in accordance with a risk-based evaluation system. The Bureau may also, at the written request of the Governor, the Director of the Department of Administration, or of either branch of the general assembly, conduct investigations of and provide management advisory and consulting services to state departments, agencies, and quasi-state agencies. Unless there is an issue of misappropriation, the provisions of this chapter shall not apply to non-profit organizations.

# The Budget

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## Department Of Administration Auditing

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Auditing	1,336,731	1,294,235	1,476,262	1,426,416	-
<b>Total Expenditures</b>	<b>\$1,336,731</b>	<b>\$1,294,235</b>	<b>\$1,476,262</b>	<b>\$1,426,416</b>	-
<b>Expenditures By Object</b>					
Personnel	1,307,586	1,249,612	1,436,625	1,386,885	-
Operating Supplies and Expenses	28,283	44,623	37,137	37,031	-
<b>Subtotal: Operating Expenditures</b>	<b>1,335,869</b>	<b>1,294,235</b>	<b>1,473,762</b>	<b>1,423,916</b>	-
Capital Purchases and Equipment	862	-	2,500	2,500	-
<b>Total Expenditures</b>	<b>\$1,336,731</b>	<b>\$1,294,235</b>	<b>\$1,476,262</b>	<b>\$1,426,416</b>	-
<b>Expenditures By Funds</b>					
General Revenue	1,336,731	1,294,235	1,476,262	1,426,416	-
<b>Total Expenditures</b>	<b>\$1,336,731</b>	<b>\$1,294,235</b>	<b>\$1,476,262</b>	<b>\$1,426,416</b>	-

# Personnel

## Department Of Administration Auditing

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF BUREAU OF AUDITS	00145A	1.0	131,508	-	-
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	216,179	-	-
INTERNAL AUDIT MANAGER (DOA)	00136A	2.0	197,927	-	-
PRINCIPAL AUDITOR	00328A	1.0	75,039	-	-
SENIOR INTERNAL AUDITOR (DOA)	00131A	5.0	331,036	-	-
INTERNAL AUDITOR (DOA)	00325A	1.0	49,632	-	-
<b>Subtotal</b>		<b>12.0</b>	<b>\$1,001,321</b>	-	-
Turnover		-	(98,813)	-	-
<b>Subtotal</b>		-	<b>(\$98,813)</b>	-	-
<b>Total Salaries</b>		<b>12.0</b>	<b>\$902,508</b>	-	-
<b>Benefits</b>					
Payroll Accrual			5,151		-
FICA			68,423		-
Retiree Health			53,880		-
Health Benefits			93,984		-
Retirement			221,423		-
<b>Subtotal</b>			<b>\$442,861</b>		-
<b>Total Salaries and Benefits</b>		<b>12.0</b>	<b>\$1,345,369</b>	-	-
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$112,114</b>		-
<b>Statewide Benefit Assessment</b>			<b>\$41,516</b>		-
<b>Payroll Costs</b>		<b>12.0</b>	<b>\$1,386,885</b>	-	-
<b>Total Personnel</b>		<b>12.0</b>	<b>\$1,386,885</b>	-	-
<b>Distribution By Source Of Funds</b>					
General Revenue		12.0	\$1,386,885	-	-
<b>Total All Funds</b>		<b>12.0</b>	<b>\$1,386,885</b>	-	-

# The Program

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## Department Of Administration Human Resources

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### **Program Mission**

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community

To provide Human Resources services to all State Departments in support of their missions.

To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

### **Program Description**

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees.

The major activities of Human Resources include:

- Personnel Administration – administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/ Development and Classification/Civil Service Examinations
- Labor Relations – Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees
- Recruitment/Employment/Employee Services/Payroll and Data – Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services;
- Agency Liaisons – Primary point of contact for all Departments while serving as a proactive advisor to Department leadership.
- Disability Management
- Employee Benefits Administration – Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives
- State Employees Worker's Compensation

### **Statutory History**

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law, under which the Division of Human Resources operates.

# The Budget

## Department Of Administration Human Resources

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Human Resources	6,824	161,147	1,732,231	1,087,601	1,212,230
Personnel Administration	3,046,910	2,706,531	1,267,732	1,856,951	2,062,119
Equal Opportunity/Outreach	456,378	-	-	-	-
Human Resources Centralization	6,929,066	6,709,328	7,371,112	7,088,378	7,567,951
<b>Total Expenditures</b>	<b>\$10,439,178</b>	<b>\$9,577,006</b>	<b>\$10,371,075</b>	<b>\$10,032,930</b>	<b>\$10,842,300</b>
<b>Expenditures By Object</b>					
Personnel	10,220,940	9,401,113	10,106,889	9,697,331	10,514,501
Operating Supplies and Expenses	209,801	161,443	248,117	318,299	318,299
<b>Subtotal: Operating Expenditures</b>	<b>10,430,741</b>	<b>9,562,556</b>	<b>10,355,006</b>	<b>10,015,630</b>	<b>10,832,800</b>
Capital Purchases and Equipment	8,437	14,450	16,069	17,300	9,500
<b>Total Expenditures</b>	<b>\$10,439,178</b>	<b>\$9,577,006</b>	<b>\$10,371,075</b>	<b>\$10,032,930</b>	<b>\$10,842,300</b>
<b>Expenditures By Funds</b>					
General Revenue	7,804,452	7,171,969	7,679,763	7,412,745	8,083,906
Federal Funds	714,339	685,698	800,576	750,641	784,618
Restricted Receipts	432,850	432,533	489,333	468,147	487,070
Other Funds	1,487,537	1,286,806	1,401,403	1,401,397	1,486,706
<b>Total Expenditures</b>	<b>\$10,439,178</b>	<b>\$9,577,006</b>	<b>\$10,371,075</b>	<b>\$10,032,930</b>	<b>\$10,842,300</b>

# Personnel

## Department Of Administration

### Human Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR (DHS) DIV OF	00146A	1.0	145,727	1.0	148,993
DEPUTY PERSONNEL ADMINISTRATOR	00144A	4.0	554,537	4.0	563,121
DIRECTOR OF HUMAN RESOURCES	00148A	1.0	135,442	1.0	136,161
HUMAN RESOURCES ADMINISTRATOR	00141A	1.0	122,780	1.0	123,432
PERSONNEL ADMINISTRATOR	00146A	1.0	113,009	1.0	119,509
HUMAN RESOURCES SUPERVISOR	00136A	2.0	202,694	2.0	203,750
HUMAN RESOURCES COORDINATOR	00135A	9.0	800,414	9.0	807,316
PROGRAMMING SERVICES OFFICER	00131A	1.0	83,970	1.0	84,411
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	81,869	1.0	86,515
SUPERVISOR PERSONNEL SUPPORT SERVICES	00128A	1.0	77,403	1.0	60,100
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	2.0	152,714	2.0	153,514
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	75,969	1.0	76,370
CHIEF IMPLEMENTATION AIDE	00128A	1.0	73,407	1.0	73,792
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	11.0	794,016	11.0	827,879
LABOR RELATIONS HEARING OFFICER	00135A	1.0	72,007	1.0	75,682
SENIOR ELECTRONIC COMPUTER PROGRAMMER	00126A	1.0	69,423	1.0	69,785
HUMAN RESOURCES ANALYST II (CLASSIF &	00129A	2.0	136,986	2.0	137,702
CHIEF IMPLEMENTATION AIDE	00328A	1.0	68,201	1.0	68,558
ASSISTANT LABOR RELATIONS HEARING OFFICER	00132A	1.0	64,294	1.0	67,576
ADMINISTRATIVE OFFICER	00124A	2.0	119,660	2.0	120,295
CHIEF EMPLOYEE RELATIONS OFFICER	00130A	1.0	59,521	1.0	62,525
HUMAN RESOURCES ANALYST I	00126A	7.0	408,410	7.0	417,503
IMPLEMENTATION AIDE	00122A	4.0	209,231	4.0	210,331
HUMAN RESOURCES TECHNICIAN	00122A	33.0	1,676,062	33.0	1,703,928
EXECUTIVE ASSISTANT	00118A	1.0	42,749	1.0	42,976
PERSONNEL AIDE	00119A	1.0	42,693	1.0	44,234
LEGAL ASSISTANT	00119A	1.0	39,873	1.0	41,238
SENIOR WORD PROCESSING TYPIST	00112A	1.0	36,798	1.0	36,993
DATA ENTRY UNIT SUPERVISOR	00B21A	0.8	28,231	0.8	29,621
<b>Subtotal</b>		<b>94.8</b>	<b>\$6,488,090</b>	<b>94.8</b>	<b>\$6,593,810</b>
<b>Unclassified</b>					
SUPERVISING EMPLOYEE RELATIONS OFFICER	00828A	1.0	80,213	1.0	80,638
<b>Subtotal</b>		<b>1.0</b>	<b>\$80,213</b>	<b>1.0</b>	<b>\$80,638</b>

# Personnel

## Department Of Administration Human Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		-	8,371	-	8,685
Temporary and Seasonal		-	30,000	-	30,000
Turnover		-	(516,018)	-	(284,976)
<b>Subtotal</b>		-	<b>(\$477,647)</b>	-	<b>(\$246,291)</b>
<b>Total Salaries</b>		<b>95.8</b>	<b>\$6,090,656</b>	<b>95.8</b>	<b>\$6,428,157</b>
<b>Benefits</b>					
Payroll Accrual			34,515		36,700
FICA			459,241		482,715
Retiree Health			371,447		389,263
Health Benefits			986,249		1,116,516
Retirement			1,470,958		1,653,572
<b>Subtotal</b>			<b>\$3,322,410</b>		<b>\$3,678,766</b>
<b>Total Salaries and Benefits</b>		<b>95.8</b>	<b>\$9,413,066</b>	<b>95.8</b>	<b>\$10,106,923</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$97,944</b>		<b>\$105,187</b>
<b>Statewide Benefit Assessment</b>			<b>\$280,165</b>		<b>\$303,478</b>
<b>Payroll Costs</b>		<b>95.8</b>	<b>\$9,693,231</b>	<b>95.8</b>	<b>\$10,410,401</b>
<b>Purchased Services</b>					
Management & Consultant Services			4,100		4,100
Training and Educational Services			-		100,000
<b>Subtotal</b>			<b>\$4,100</b>		<b>\$104,100</b>
<b>Total Personnel</b>		<b>95.8</b>	<b>\$9,697,331</b>	<b>95.8</b>	<b>\$10,514,501</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		95.8	\$7,114,337	95.8	\$7,789,650
Federal Funds		-	\$740,063	-	\$775,190
Restricted Receipts		-	\$460,429	-	\$480,334
Other Funds		-	\$1,382,502	-	\$1,469,327
<b>Total All Funds</b>		<b>95.8</b>	<b>\$9,697,331</b>	<b>95.8</b>	<b>\$10,514,501</b>

# The Program

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## Department Of Administration Personnel Appeal Board

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### **Program Mission**

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

### **Program Description**

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

### **Statutory History**

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.



# The Budget

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## Department Of Administration Personnel Appeal Board

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	<b>2014 Audited</b>	<b>2015 Audited</b>	<b>2016 Enacted</b>	<b>2016 Revised</b>	<b>2017 Recommend</b>
<b>Expenditures By Subprogram</b>					
Personnel Appeal Board	68,511	101,357	119,874	134,444	133,419
<b>Total Expenditures</b>	<b>\$68,511</b>	<b>\$101,357</b>	<b>\$119,874</b>	<b>\$134,444</b>	<b>\$133,419</b>
<b>Expenditures By Object</b>					
Personnel	67,469	100,308	118,574	133,354	132,329
Operating Supplies and Expenses	1,042	1,049	1,300	1,090	1,090
<b>Subtotal: Operating Expenditures</b>	<b>68,511</b>	<b>101,357</b>	<b>119,874</b>	<b>134,444</b>	<b>133,419</b>
<b>Total Expenditures</b>	<b>\$68,511</b>	<b>\$101,357</b>	<b>\$119,874</b>	<b>\$134,444</b>	<b>\$133,419</b>
<b>Expenditures By Funds</b>					
General Revenue	68,511	101,357	119,874	134,444	133,419
<b>Total Expenditures</b>	<b>\$68,511</b>	<b>\$101,357</b>	<b>\$119,874</b>	<b>\$134,444</b>	<b>\$133,419</b>

# Personnel

## Department Of Administration Personnel Appeal Board

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
MEMBER, PERSONNEL APPEAL BOARD	00541F	-	36,525	-	36,720
<b>Subtotal</b>		-	<b>\$36,525</b>	-	<b>\$36,720</b>
Cost Allocation from Other Programs		-	22,865	-	22,986
<b>Subtotal</b>		-	<b>\$22,865</b>	-	<b>\$22,986</b>
<b>Total Salaries</b>		-	<b>\$59,390</b>	-	<b>\$59,706</b>
<b>Benefits</b>					
Payroll Accrual			296		298
FICA			4,545		4,564
Retiree Health			1,365		1,373
Health Benefits			3,231		3,336
Retirement			5,635		6,055
<b>Subtotal</b>			<b>\$15,072</b>		<b>\$15,626</b>
<b>Total Salaries and Benefits</b>		-	<b>\$74,462</b>	-	<b>\$75,332</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			-		-
<b>Statewide Benefit Assessment</b>			<b>\$2,732</b>		<b>\$2,837</b>
<b>Payroll Costs</b>		-	<b>\$77,194</b>	-	<b>\$78,169</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			5,060		3,060
Legal Services			50,600		50,600
Other Contracts			500		500
<b>Subtotal</b>			<b>\$56,160</b>		<b>\$54,160</b>
<b>Total Personnel</b>		-	<b>\$133,354</b>	-	<b>\$132,329</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		-	\$133,354	-	\$132,329
<b>Total All Funds</b>		-	<b>\$133,354</b>	-	<b>\$132,329</b>

# The Program

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## Department Of Administration General

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### **Program Mission**

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

### **Program Description**

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums."

### **Statutory History**

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31. The Rhode Island Film and Television Office was created through R.I.G.L. 44-31.2 (9).

# The Budget

## Department Of Administration General

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
General	2,516,308	5,210,625	4,767,055	4,767,055	1,171,500
Capital Projects	24,004,496	16,229,554	39,773,494	32,535,657	43,048,000
Grants & Other Payments	2,765,650	2,989,891	5,692,106	5,692,106	2,867,106
Economic Development	8,752,548	9,188,170	-	-	-
State Aid to Local Communities	11,273,455	11,104,687	11,436,698	11,436,698	10,685,292
RI film & Television Office	303,299	313,220	-	-	-
<b>Total Expenditures</b>	<b>\$49,615,756</b>	<b>\$45,036,147</b>	<b>\$61,669,353</b>	<b>\$54,431,516</b>	<b>\$57,771,898</b>
<b>Expenditures By Object</b>					
Personnel	1,054,418	1,483,352	-	-	750,000
Operating Supplies and Expenses	7,751,079	5,153,383	2,633,057	2,635,230	2,633,057
Assistance and Grants	1,011,625	5,142,108	7,716,604	7,714,431	546,049
Aid to Local Units of Government	10,545,470	10,385,409	11,436,698	11,436,698	10,685,292
<b>Subtotal: Operating Expenditures</b>	<b>20,362,592</b>	<b>22,164,252</b>	<b>21,786,359</b>	<b>21,786,359</b>	<b>14,614,398</b>
Capital Purchases and Equipment	18,674,409	12,788,423	39,882,994	32,645,157	43,157,500
Operating Transfers	10,578,755	10,083,472	-	-	-
<b>Total Expenditures</b>	<b>\$49,615,756</b>	<b>\$45,036,147</b>	<b>\$61,669,353</b>	<b>\$54,431,516</b>	<b>\$57,771,898</b>
<b>Expenditures By Funds</b>					
General Revenue	23,517,325	23,804,376	17,128,804	17,128,804	14,302,398
Federal Funds	-	4,345,555	4,345,555	4,345,555	-
Restricted Receipts	2,093,935	656,662	421,500	421,500	421,500
Operating Transfers from Other Funds	24,004,496	16,229,554	39,773,494	32,535,657	43,048,000
<b>Total Expenditures</b>	<b>\$49,615,756</b>	<b>\$45,036,147</b>	<b>\$61,669,353</b>	<b>\$54,431,516</b>	<b>\$57,771,898</b>

# The Program

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## Department Of Administration Debt Service Payments

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### **Program Mission**

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

### **Program Description**

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

### **Statutory History**

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

# The Budget

## Department Of Administration Debt Service Payments

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
General Obligation Bonds	102,024,977	106,092,034	40,399,414	55,471,335	85,567,541
Certificates of Participation	31,322,015	27,936,636	33,441,092	33,754,705	31,712,039
COPS - DLT Ctr. Gnrl. Building	2,017,409	2,009,832	2,018,250	2,018,250	947,437
COPS - Ctr General Furniture	-	7,839	-	-	-
Tax Anticipation/S T Borrowing	31,845	33,174	100,000	100,000	100,000
Other Debt Service	54,025,480	58,157,534	74,303,976	73,706,320	63,484,275
<b>Total Expenditures</b>	<b>\$189,421,726</b>	<b>\$194,237,049</b>	<b>\$150,262,732</b>	<b>\$165,050,610</b>	<b>\$181,811,292</b>
<b>Expenditures By Object</b>					
Operating Supplies and Expenses	31,886	33,219	100,000	100,000	100,000
Assistance and Grants	3,560,000	3,560,000	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>3,591,886</b>	<b>3,593,219</b>	<b>100,000</b>	<b>100,000</b>	<b>100,000</b>
Debt Service (Fixed Charges)	160,770,045	167,639,381	150,162,732	164,950,610	181,711,292
Operating Transfers	25,059,795	23,004,449	-	-	-
<b>Total Expenditures</b>	<b>\$189,421,726</b>	<b>\$194,237,049</b>	<b>\$150,262,732</b>	<b>\$165,050,610</b>	<b>\$181,811,292</b>
<b>Expenditures By Funds</b>					
General Revenue	153,701,141	142,828,043	99,137,176	112,590,084	133,293,966
Federal Funds	2,664,188	2,657,423	2,657,152	2,657,152	2,235,315
Restricted Receipts	2,263,012	2,259,317	2,085,410	3,420,380	111,453
Operating Transfers from Other Funds	30,791,944	46,489,864	46,382,994	46,382,994	46,170,558
Other Funds	1,441	2,402	-	-	-
<b>Total Expenditures</b>	<b>\$189,421,726</b>	<b>\$194,237,049</b>	<b>\$150,262,732</b>	<b>\$165,050,610</b>	<b>\$181,811,292</b>

# The Program

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## Department Of Administration Internal Service Programs

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### **Program Mission**

To provide the most cost-effective delivery of goods and services to other state programs.

### **Program Description**

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department is proposing to move the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund.

A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 36-12.1-5 established the OPEB trust.

# The Budget

## Department Of Administration Internal Service Programs

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Assessed Fringe Benefit Fund	34,569,744	40,222,460	38,930,194	40,755,745	41,699,269
Central Utilities Fund	14,491,089	17,778,975	17,782,800	14,693,275	14,900,975
Central Mail Fund	5,608,978	5,812,116	6,203,680	5,998,304	6,190,285
Telecommunications Fund	2,686,252	2,837,087	4,122,558	2,999,962	3,017,521
Automotive Fleet Fund	12,841,124	11,946,941	13,830,623	12,472,922	12,543,165
Surplus Property	1,200	1,570	2,500	2,500	2,500
Health Insurance Fund	226,868,458	233,366,096	251,175,719	251,611,747	251,723,462
Other Post Employment Benefits	52,552,323	41,280,587	63,934,483	63,934,483	63,934,483
Internal Services	[349,619,168]	[353,245,832]	[395,982,557]	[392,468,938]	[394,011,660]
<b>Total Expenditures</b>	<b>\$349,619,168</b>	<b>\$353,245,832</b>	<b>\$395,982,557</b>	<b>\$392,468,938</b>	<b>\$394,011,660</b>
<b>Expenditures By Object</b>					
Personnel	313,341,150	314,303,690	353,527,375	355,155,824	356,867,365
Operating Supplies and Expenses	34,662,011	37,030,787	40,431,690	35,080,614	34,934,795
Assistance and Grants	15,499	32,229	75,000	270,000	270,000
<b>Subtotal: Operating Expenditures</b>	<b>348,018,660</b>	<b>351,366,706</b>	<b>394,034,065</b>	<b>390,506,438</b>	<b>392,072,160</b>
Capital Purchases and Equipment	65,372	93,568	157,500	177,500	154,500
Operating Transfers	1,535,136	1,785,558	1,790,992	1,785,000	1,785,000
<b>Total Expenditures</b>	<b>\$349,619,168</b>	<b>\$353,245,832</b>	<b>\$395,982,557</b>	<b>\$392,468,938</b>	<b>\$394,011,660</b>
<b>Expenditures By Funds</b>					
Other Funds	349,619,168	353,245,832	395,982,557	392,468,938	394,011,660
<b>Total Expenditures</b>	<b>\$349,619,168</b>	<b>\$353,245,832</b>	<b>\$395,982,557</b>	<b>\$392,468,938</b>	<b>\$394,011,660</b>



# Personnel

## Department Of Administration Internal Service Programs

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	1.0	136,779	1.0	137,490
DEPUTY PERSONNEL ADMINISTRATOR	00144A	1.0	131,770	1.0	138,158
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	102,466	1.0	103,010
TECHNICAL SUPPORT SPECIALIST III	00135A	1.0	96,086	1.0	96,590
SENIOR LEGAL COUNSEL	00134A	2.0	189,098	2.0	190,068
MANAGER, WORKERS' COMPENSATION PROGRAM	00834A	1.0	94,322	1.0	94,808
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	89,342	1.0	95,726
TECHNICAL SUPPORT MANAGER	00138A	1.0	87,777	1.0	90,238
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	81,787	1.0	82,221
ASSISTANT ADMINISTRATOR, STATE EMPLOYEES	00137A	1.0	76,943	1.0	79,753
TECHNICAL SUPPORT SPECIALIST I	00328A	1.0	73,379	1.0	73,768
TECHNICAL SUPPORT SPECIALIST II	00332A	1.0	71,052	1.0	71,430
CHIEF IMPLEMENTATION AIDE	00828A	2.0	136,661	2.0	137,383
IMPLEMENTATION AIDE	00130A	1.0	63,631	1.0	66,996
CLAIMS EXAMINER II (STATE EMPLOYEES)	00325A	7.0	445,316	7.0	447,825
PROGRAMMING SERVICES OFFICER	00131A	1.0	63,416	1.0	66,078
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	3.0	187,524	3.0	191,069
FLEET OPERATIONS OFFICER	00130A	1.0	62,392	1.0	65,722
TECHNICAL SUPPORT SPECIALIST I	00328A	2.0	119,360	2.0	119,993
SENIOR SYSTEMS ANALYST	00126A	1.0	56,840	1.0	57,141
SENIOR ENERGY CONSERVATION TECHNICIAN	00323A	1.0	55,791	1.0	56,087
ACCOUNTANT	00320A	1.0	53,541	1.0	53,819
SENIOR COMPUTER OPERATOR (OIP)	00322A	2.0	104,926	2.0	105,483
LEGAL ASSISTANT	00119A	1.0	51,379	1.0	51,648
EMPLOYEE BENEFITS SPECIALIST	00322A	3.0	146,409	3.0	147,186
ASSISTANT RECORDS ANALYST	00319A	1.0	48,487	1.0	48,744
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	46,313	1.0	46,558
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	45,506	1.0	45,747
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	45,500	1.0	47,500
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	44,281	1.0	44,516
DATA CONTROL CLERK	00315A	1.0	39,468	1.0	39,678
COMPUTER OPERATOR (OIP)	00316A	1.0	38,413	1.0	39,344
SUPERVISOR CENTRAL MAIL SERVICES	00816A	1.0	38,241	1.0	39,410
<b>Subtotal</b>		<b>47.0</b>	<b>\$3,124,196</b>	<b>47.0</b>	<b>\$3,171,187</b>
<b>Unclassified</b>					
TASK FORCE AGENT/INSPECTOR	00828A	2.0	124,147	2.0	127,541
<b>Subtotal</b>		<b>2.0</b>	<b>\$124,147</b>	<b>2.0</b>	<b>\$127,541</b>

# Personnel

## Department Of Administration Internal Service Programs

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		-	48,046	-	48,301
Cost Allocation to Other Programs		-	(155,486)	-	(156,304)
Overtime		-	37,500	-	37,500
Turnover		-	(134,581)	-	(57,344)
<b>Subtotal</b>		-	<b>(\$204,521)</b>	-	<b>(\$127,847)</b>
<b>Total Salaries</b>		<b>49.0</b>	<b>\$3,043,822</b>	<b>49.0</b>	<b>\$3,170,881</b>
<b>Benefits</b>					
Payroll Accrual			17,131		18,088
Holiday			4,000		4,000
Other			6,000,000		6,000,000
FICA			681,902		691,521
Retiree Health			181,895		189,481
Health Benefits			592,845		629,652
Retirement			729,702		814,170
Workers Compensation			28,965,000		30,315,000
<b>Subtotal</b>			<b>\$37,172,475</b>		<b>\$38,661,912</b>
<b>Total Salaries and Benefits</b>		<b>49.0</b>	<b>\$40,216,297</b>	<b>49.0</b>	<b>\$41,832,793</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$820,741</b>		<b>\$853,730</b>
<b>Statewide Benefit Assessment</b>			<b>\$138,287</b>		<b>\$148,832</b>
<b>Payroll Costs</b>		<b>49.0</b>	<b>\$40,354,584</b>	<b>49.0</b>	<b>\$41,981,625</b>
<b>Purchased Services</b>					
Management & Consultant Services			711,102		795,602
Legal Services			34,458		34,458
Design and Engineering Services			300,000		300,000
<b>Subtotal</b>			<b>\$1,045,560</b>		<b>\$1,130,060</b>
<b>Total Personnel</b>		<b>49.0</b>	<b>\$41,400,144</b>	<b>49.0</b>	<b>\$43,111,685</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		49.0	\$41,400,144	49.0	\$43,111,685
<b>Total All Funds</b>		<b>49.0</b>	<b>\$41,400,144</b>	<b>49.0</b>	<b>\$43,111,685</b>

# The Program

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## Department Of Administration Legal Services

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### **Program Mission**

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch.

To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact.

To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

### **Program Description**

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

### **Statutory History**

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

# The Budget

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## Department Of Administration Legal Services

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Legal Services	2,389,419	1,980,498	2,166,696	2,156,082	2,185,988
<b>Total Expenditures</b>	<b>\$2,389,419</b>	<b>\$1,980,498</b>	<b>\$2,166,696</b>	<b>\$2,156,082</b>	<b>\$2,185,988</b>
<b>Expenditures By Object</b>					
Personnel	2,316,115	1,917,004	2,104,523	2,087,142	2,116,856
Operating Supplies and Expenses	70,831	61,021	58,830	66,465	66,657
Assistance and Grants	-	-	1,011	-	-
<b>Subtotal: Operating Expenditures</b>	<b>2,386,946</b>	<b>1,978,025</b>	<b>2,164,364</b>	<b>2,153,607</b>	<b>2,183,513</b>
Capital Purchases and Equipment	2,473	2,473	2,332	2,475	2,475
<b>Total Expenditures</b>	<b>\$2,389,419</b>	<b>\$1,980,498</b>	<b>\$2,166,696</b>	<b>\$2,156,082</b>	<b>\$2,185,988</b>
<b>Expenditures By Funds</b>					
General Revenue	2,389,419	1,980,498	2,166,696	2,156,082	2,185,988
<b>Total Expenditures</b>	<b>\$2,389,419</b>	<b>\$1,980,498</b>	<b>\$2,166,696</b>	<b>\$2,156,082</b>	<b>\$2,185,988</b>

# Personnel

## Department Of Administration Legal Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES	00145A	1.0	120,348	1.0	121,889
CHIEF OF LEGAL SERVICES	00139A	1.0	114,623	2.0	208,562
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	107,433	1.0	108,003
ADMINISTRATOR ADJUDICATION	00140A	1.0	107,347	1.0	107,916
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	107,047	1.0	107,615
LEGAL COUNSEL (MHRH)	00136A	1.0	104,958	1.0	105,492
DEPUTY CHIEF OF LEGAL SERVICES	00137A	3.0	260,320	2.0	177,567
SENIOR LEGAL COUNSEL	00134A	1.0	70,906	1.0	74,311
LEGAL COUNSEL	00132A	2.6	179,315	2.6	186,850
IMPLEMENTATION AIDE	00122A	1.0	57,857	1.0	58,161
ADMINISTRATIVE OFFICER	00124A	1.0	52,598	1.0	52,877
EXECUTIVE ASSISTANT	00318A	1.0	38,840	1.0	39,824
SENIOR WORD PROCESSING TYPIST	00112A	1.0	36,798	1.0	36,993
<b>Subtotal</b>		<b>16.6</b>	<b>\$1,358,390</b>	<b>16.6</b>	<b>\$1,386,060</b>
Turnover		-	(103,734)	-	(127,423)
<b>Subtotal</b>		<b>-</b>	<b>(\$103,734)</b>	<b>-</b>	<b>(\$127,423)</b>
<b>Total Salaries</b>		<b>16.6</b>	<b>\$1,254,656</b>	<b>16.6</b>	<b>\$1,258,637</b>
<b>Benefits</b>					
Payroll Accrual			7,158		7,272
FICA			95,982		96,285
Retiree Health			74,904		75,141
Health Benefits			190,647		191,337
Retirement			305,880		328,197
<b>Subtotal</b>			<b>\$674,571</b>		<b>\$698,232</b>
<b>Total Salaries and Benefits</b>		<b>16.6</b>	<b>\$1,929,227</b>	<b>16.6</b>	<b>\$1,956,869</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$116,218</b>		<b>\$117,884</b>
<b>Statewide Benefit Assessment</b>			<b>\$57,715</b>		<b>\$59,787</b>
<b>Payroll Costs</b>		<b>16.6</b>	<b>\$1,986,942</b>	<b>16.6</b>	<b>\$2,016,656</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			200		200
Legal Services			100,000		100,000
<b>Subtotal</b>			<b>\$100,200</b>		<b>\$100,200</b>
<b>Total Personnel</b>		<b>16.6</b>	<b>\$2,087,142</b>	<b>16.6</b>	<b>\$2,116,856</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		16.6	\$2,087,142	16.6	\$2,116,856
<b>Total All Funds</b>		<b>16.6</b>	<b>\$2,087,142</b>	<b>16.6</b>	<b>\$2,116,856</b>

# The Program

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## Department Of Administration Facilities Management

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### **Program Mission**

To protect and preserve the State of Rhode Island's facility assets.

To provide a clean, safe, healthy and secure environment conducive to employee productivity.

### **Program Description**

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the buildings at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other state buildings throughout the State. In addition, the Division includes the State Fleet Operations, Environmental Compliance, Asset Protection, and Surplus Property.

The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution.

State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administers the purchasing and disposal of state vehicles.

The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting. This program will be merged into the Capital Asset Maintenance and Management (CAMM) program in FY 2017.

### **Statutory History**

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 42-56.2 establishes the Federal Surplus Property program.

# The Budget

## Department Of Administration Facilities Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations and Maintenance	8,186,446	9,111,170	8,119,073	8,779,683	-
Facilities Centralization	28,164,937	26,901,976	29,562,152	29,634,367	-
<b>Total Expenditures</b>	<b>\$36,351,383</b>	<b>\$36,013,146</b>	<b>\$37,681,225</b>	<b>\$38,414,050</b>	-
<b>Expenditures By Object</b>					
Personnel	13,669,206	13,088,584	13,089,527	15,525,461	-
Operating Supplies and Expenses	22,543,377	22,914,416	24,578,997	22,858,689	-
<b>Subtotal: Operating Expenditures</b>	<b>36,212,583</b>	<b>36,003,000</b>	<b>37,668,524</b>	<b>38,384,150</b>	-
Capital Purchases and Equipment	138,800	10,146	12,701	29,900	-
<b>Total Expenditures</b>	<b>\$36,351,383</b>	<b>\$36,013,146</b>	<b>\$37,681,225</b>	<b>\$38,414,050</b>	-
<b>Expenditures By Funds</b>					
General Revenue	31,068,323	30,688,008	32,172,352	33,031,346	-
Federal Funds	1,172,020	1,325,035	1,208,674	1,157,640	-
Restricted Receipts	350,944	581,038	376,880	390,646	-
Other Funds	3,760,096	3,419,065	3,923,319	3,834,418	-
<b>Total Expenditures</b>	<b>\$36,351,383</b>	<b>\$36,013,146</b>	<b>\$37,681,225</b>	<b>\$38,414,050</b>	-

# Personnel

## Department Of Administration Facilities Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	2.0	261,408	-	-
MANAGING ENGINEER (DOT)	00141A	1.0	125,185	-	-
IMPLEMENTATION DIRECTOR POLICY AND	00140A	1.0	114,666	-	-
CHIEF PROPERTY MANAGEMENT	00141A	2.0	203,763	-	-
SUPERVISING ENVIRONMENTAL SCIENTIST	00134A	1.0	96,137	-	-
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	86,066	-	-
PROJECT MANAGER I (DOA)	00137A	4.0	334,461	-	-
STATE BUILDING AND GROUNDS COORDINATOR	00132A	6.0	499,935	-	-
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A	1.0	83,220	-	-
ASSISTANT STATE BUILDING AND GROUNDS	00830A	1.0	80,102	-	-
CHIEF CENTRAL POWER PLANT OPERATOR (RIMC)	00130A	1.0	80,019	-	-
DEPUTY CHIEF DIVISION OF FACILITIES	00137A	6.0	456,259	-	-
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	1.0	71,850	-	-
BUILDING AND GROUNDS OFFICER	00828A	3.0	215,458	-	-
BUILDING AND GROUNDS OFFICER	00128A	1.0	70,726	-	-
SENIOR CIVIL ENGINEER (CONSTRUCTION &	02931A	1.0	70,055	-	-
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	2.0	134,336	-	-
MAINTENANCE SUPERINTENDENT	00322A	4.0	246,895	-	-
SUPERVISOR OF PAINTING PLASTERING MASONRY	00323A	1.0	60,862	-	-
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	1.0	59,374	-	-
WASTEWATER TREATMENT FACILITIES PROCESS	03124A	1.0	58,724	-	-
SUPERVISOR OF PLUMBING HEATING AND	00322A	1.0	58,300	-	-
CHIEF POWER PLANT OPERATOR	00325A	1.0	56,913	-	-
STATE COLLECTIONS MANAGER	00128A	1.0	56,475	-	-
PLUMBER SUPERVISOR	00320G	1.0	55,543	-	-
PAINTER SUPERVISOR	00318G	1.0	55,013	-	-
JUNIOR RESOURCE SPECIALIST	00319A	1.0	52,257	-	-
IMPLEMENTATION AIDE	00122A	1.0	51,036	-	-
OFFICE MANAGER	00123A	1.0	50,671	-	-
BUILDING SUPERINTENDENT	00318A	1.0	50,349	-	-
HVAC SHOP SUPERVISOR	00320A	1.0	50,056	-	-
AUTOMOTIVE SERVICE SUPERVISOR	00318G	1.0	49,966	-	-
MASON SUPERVISOR	00318G	1.0	49,966	-	-
GROUNDS SUPERINTENDENT	00317A	1.0	49,789	-	-
BUILDING MAINTENANCE SUPERVISOR	00318G	1.0	48,925	-	-
PLUMBER	00316G	1.0	48,603	-	-
SENIOR DRAFTPERSON	00323A	1.0	47,779	-	-
STEAMFITTER	00314G	1.0	47,748	-	-
POWER PLANT OPERATOR	03118A	5.0	238,252	-	-
CLERK SECRETARY	03116A	1.0	46,714	-	-
ELECTRICIAN	00316G	4.0	183,364	-	-
BUILDING SUPERINTENDENT	00318G	1.0	45,802	-	-
SENIOR GARDENER	00313G	1.0	45,509	-	-
CARPENTER	00314G	3.0	134,535	-	-
SENIOR JANITOR	00312A	1.0	44,265	-	-



# Personnel

## Department Of Administration Facilities Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
BUILDING SUPERINTENDENT	00818A	2.0	87,730	-	-
LICENSED STEAMFITTER	00315G	1.0	43,804	-	-
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	43,565	-	-
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	43,544	-	-
LOCKSMITH	00315A	1.0	43,415	-	-
AUTOMOTIVE MECHANIC	00314G	1.0	42,622	-	-
SENIOR MAINTENANCE TECHNICIAN	00314G	12.0	508,827	-	-
WASTEWATER TREATMENT FACILITIES PROCESS	03121A	1.0	42,245	-	-
PRINCIPAL JANITOR	00315A	4.0	165,133	-	-
PAINTER	03114G	1.0	40,765	-	-
LABORER SUPERVISOR	00313G	1.0	40,584	-	-
PUBLIC PROPERTIES OFFICER	00312G	1.0	39,698	-	-
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	6.0	237,166	-	-
SEMI-SKILLED LABORER	00310G	2.0	77,652	-	-
WASTEWATER TREATMENT FACILITIES OPERATOR	03114G	1.0	36,104	-	-
JANITOR	00309A	12.0	363,518	-	-
CLEANER (PUBLIC BUILDINGS)	00301W	1.5	41,849	-	-
<b>Subtotal</b>		<b>124.5</b>	<b>\$6,925,552</b>	-	-
Cost Allocation from Other Programs		-	302,422	-	-
Cost Allocation to Other Programs		-	(32,676)	-	-
Overtime		-	400,000	-	-
Turnover		-	(379,951)	-	-
<b>Subtotal</b>		-	<b>\$289,795</b>	-	-
<b>Total Salaries</b>		<b>124.5</b>	<b>\$7,215,347</b>	-	-
<b>Benefits</b>					
Payroll Accrual			38,860	-	-
Holiday			43,700	-	-
FICA			543,400	-	-
Retiree Health			412,809	-	-
Health Benefits			1,782,365	-	-
Retirement			1,674,938	-	-
<b>Subtotal</b>			<b>\$4,496,072</b>	-	-
<b>Total Salaries and Benefits</b>		<b>124.5</b>	<b>\$11,711,419</b>	-	-
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$94,068</b>	-	-
<b>Statewide Benefit Assessment</b>			<b>\$313,718</b>	-	-
<b>Payroll Costs</b>		<b>124.5</b>	<b>\$12,025,137</b>	-	-

# Personnel

## Department Of Administration Facilities Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Management & Consultant Services			2,459,400	-	-
Other Contracts			54,990	-	-
Buildings and Ground Maintenance			819,875	-	-
Training and Educational Services			59,500	-	-
Design and Engineering Services			104,810	-	-
Medical Services			1,749	-	-
<b>Subtotal</b>			<b>\$3,500,324</b>	-	-
<b>Total Personnel</b>		<b>124.5</b>	<b>\$15,525,461</b>	-	-
<b>Distribution By Source Of Funds</b>					
General Revenue		41.0	\$13,453,956	-	-
Federal Funds		-	\$419,570	-	-
Restricted Receipts		-	\$169,444	-	-
Other Funds		83.5	\$1,482,491	-	-
<b>Total All Funds</b>		<b>124.5</b>	<b>\$15,525,461</b>	-	-

# The Program

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## Department Of Administration Capital Projects and Property Management

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### **Program Mission**

To provide for quality construction and major renovation of State facilities; to assess the State's space needs for governmental activities, allocate space and develop a strategic plan for the use of capital assets; to facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces; and to assure fairness, transparency and good value in developing and securing property for the State's use through lease or purchase.

### **Program Description**

The Division of Capital Projects and Property Management is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. The Division conducts feasibility studies, hires architects, engineers and other design professionals, retain services of building contractors through state's purchasing bidding process, and oversees projects from design conception through turnover of building construction/renovation to the end user agency. It also solicits, negotiates and administers real estate purchases and/or leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. This program will be merged into the Capital Asset Maintenance and Management program in FY 2017.

### **Statutory History**

Executive Order 04-04 establishes the Division of Capital Projects and Property Management.

# The Budget

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## Department Of Administration Capital Projects and Property Management

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Capital Projects	1,324,739	1,224,415	3,612,931	1,638,278	-
<b>Total Expenditures</b>	<b>\$1,324,739</b>	<b>\$1,224,415</b>	<b>\$3,612,931</b>	<b>\$1,638,278</b>	-
<b>Expenditures By Object</b>					
Personnel	1,226,826	1,202,052	3,573,086	1,533,678	-
Operating Supplies and Expenses	97,913	20,082	34,845	99,600	-
<b>Subtotal: Operating Expenditures</b>	<b>1,324,739</b>	<b>1,222,134</b>	<b>3,607,931</b>	<b>1,633,278</b>	-
Capital Purchases and Equipment	-	2,281	5,000	5,000	-
<b>Total Expenditures</b>	<b>\$1,324,739</b>	<b>\$1,224,415</b>	<b>\$3,612,931</b>	<b>\$1,638,278</b>	-
<b>Expenditures By Funds</b>					
General Revenue	1,250,704	1,224,415	2,967,816	1,638,278	-
Federal Funds	74,035	-	21,955	-	-
Restricted Receipts	-	-	127,339	-	-
Other Funds	-	-	495,821	-	-
<b>Total Expenditures</b>	<b>\$1,324,739</b>	<b>\$1,224,415</b>	<b>\$3,612,931</b>	<b>\$1,638,278</b>	-

# Personnel

## Department Of Administration Capital Projects and Property Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	1.0	159,148	-	-
DIVISION DIRECTOR, CAPITAL ASSET	00152A	1.0	138,157	-	-
CHIEF PROPERTY MANAGEMENT	00141A	1.0	102,317	-	-
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	3.0	288,543	-	-
PROJECT MANAGER I (DOA)	00139A	2.0	167,416	-	-
ARCHITECT BUILDING COMMISSION	00335A	1.0	83,272	-	-
ARCHITECT	00332A	1.0	71,052	-	-
CHIEF IMPLEMENTATION AIDE	00128A	2.0	111,468	-	-
SEASONAL TECHNICAL SUPPORT INTERN	00213G	-	-	-	-
<b>Subtotal</b>		<b>12.0</b>	<b>\$1,121,373</b>	-	-
Cost Allocation from Other Programs		-	64,723	-	-
Turnover		-	(206,273)	-	-
<b>Subtotal</b>		-	<b>(\$141,550)</b>	-	-
<b>Total Salaries</b>		<b>12.0</b>	<b>\$979,823</b>	-	-
<b>Benefits</b>					
Payroll Accrual			5,360	-	-
FICA			68,695	-	-
Retiree Health			56,235	-	-
Health Benefits			128,419	-	-
Retirement			229,296	-	-
<b>Subtotal</b>			<b>\$488,005</b>	-	-
<b>Total Salaries and Benefits</b>		<b>12.0</b>	<b>\$1,467,828</b>	-	-
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$122,319</b>	-	-
<b>Statewide Benefit Assessment</b>			<b>\$44,350</b>	-	-
<b>Payroll Costs</b>		<b>12.0</b>	<b>\$1,512,178</b>	-	-
<b>Purchased Services</b>					
Clerical and Temporary Services			3,000	-	-
Other Contracts			2,000	-	-
Design and Engineering Services			16,500	-	-
<b>Subtotal</b>			<b>\$21,500</b>	-	-
<b>Total Personnel</b>		<b>12.0</b>	<b>\$1,533,678</b>	-	-
<b>Distribution By Source Of Funds</b>					
General Revenue		12.0	\$1,533,678	-	-
<b>Total All Funds</b>		<b>12.0</b>	<b>\$1,533,678</b>	-	-

# The Program

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## Department Of Administration Information Technology

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### **Program Mission**

Maintain and improve information technology (IT) services to executive branch departments and agencies, including technical support to end users and networks, help desk services, application development, and delivery and maintenance.

Continue the integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation.

Continue the implementation of the approved statewide-integrated financial management system.

Maintain and test disaster recovery capability for Rhode Island's critical systems.

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Continue to expand and improve technology advancements by performing a migration from Novell Netware to a Windows Active Directory infrastructure, increase virtualized server capacity, implement E-licensing initiatives, and consolidate the email infrastructure to a single mail system throughout the Executive Branch agencies.

Provide state agencies and departments with improved transparency and clarity in their services through refinement of the cost allocation process.

### **Program Description**

The Division of Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of information technology (IT) resources within the executive branch of state government.

The Division defines and maintains the architectural standards for hardware, software, networks and services that guide the State's investments in IT. The Division defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

The Division is responsible for planning IT investments and activities throughout the executive branch departments and agencies.

### **Statutory History**

Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration.

# The Budget

## Department Of Administration Information Technology

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Executive Director-CIO	337,688	481,417	406,308	467,785	3,280,799
Information Technology	5,195,281	7,424,268	10,908,255	17,658,198	10,984,061
IT Centralization	9,501,176	10,459,642	10,769,999	10,484,458	7,614,873
Enterprise Technology, Strategy & Service	-	-	-	-	620,824
Doit Project Management	-	-	-	-	926,060
Doit Operations	16,717,551	16,669,906	17,886,514	17,573,368	12,671,018
Networking And Security	-	-	-	-	5,599,510
<b>Total Expenditures</b>	<b>\$31,751,696</b>	<b>\$35,035,233</b>	<b>\$39,971,076</b>	<b>\$46,183,809</b>	<b>\$41,697,145</b>
<b>Expenditures By Object</b>					
Personnel	23,582,382	26,357,813	25,320,288	25,353,866	26,960,752
Operating Supplies and Expenses	6,263,236	6,132,486	5,498,977	6,062,742	5,775,293
Assistance and Grants	-	-	500	-	-
<b>Subtotal: Operating Expenditures</b>	<b>29,845,618</b>	<b>32,490,299</b>	<b>30,819,765</b>	<b>31,416,608</b>	<b>32,736,045</b>
Capital Purchases and Equipment	1,906,078	2,544,934	9,151,311	14,767,201	8,961,100
<b>Total Expenditures</b>	<b>\$31,751,696</b>	<b>\$35,035,233</b>	<b>\$39,971,076</b>	<b>\$46,183,809</b>	<b>\$41,697,145</b>
<b>Expenditures By Funds</b>					
General Revenue	18,828,798	18,937,202	20,201,589	20,075,997	22,244,406
Federal Funds	5,821,317	6,598,601	6,746,649	6,750,847	6,778,053
Restricted Receipts	4,536,068	6,720,790	10,193,681	16,684,778	9,903,237
Other Funds	2,565,513	2,778,640	2,829,157	2,672,187	2,771,449
<b>Total Expenditures</b>	<b>\$31,751,696</b>	<b>\$35,035,233</b>	<b>\$39,971,076</b>	<b>\$46,183,809</b>	<b>\$41,697,145</b>

# Personnel

## Department Of Administration Information Technology

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ENTERPRISE RESOURCE MANAGER (ORACLE ERP)	00142A	1.0	129,134	1.0	129,816
ASSISTANT DIRECTOR CENTRAL INFORMATION	00143A	3.0	381,064	3.0	383,066
DATABASE ADMINISTRATOR	00148A	1.0	124,165	1.0	128,821
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	4.0	461,871	4.0	469,121
ADMINISTRATOR MANAGEMENT INFORMATION	00140A	10.0	1,098,573	10.0	1,104,324
INFORMATION SYSTEMS GROUP COORDINATOR	00138A	1.0	108,464	1.0	109,036
TECHNICAL SUPPORT MANAGER (DOS/MVS)	00138A	2.0	209,449	2.0	210,557
INFORMATION TECHNOLOGY PROJECT MANAGER	00143A	1.0	100,513	6.0	642,761
PROGRAMMER/ANALYST III (ORACLE)	00835A	1.0	99,484	2.0	181,242
PROGRAMMER/ANALYST III (UNIX/SQL)	00135A	1.0	98,632	1.0	99,147
TECHNICAL SUPPORT MANAGER	00138A	6.0	591,282	6.0	594,377
TECHNICAL SUPPORT SPECIALIST III	00035A	1.0	97,948	1.0	98,443
SYSTEMS ADMINISTRATOR (MHRH)	00139A	4.0	387,540	4.0	392,056
PROGRAMMER/ANALYST III (UNIX/SQL)	00035A	1.0	95,239	1.0	95,733
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	94,985	1.0	95,476
PROGRAMMER/ANALYST MANAGER	00138A	9.0	854,444	9.0	861,177
TECHNICAL SUPPORT SPECIALIST III	00335A	2.0	188,942	2.0	189,921
TECHNICAL SUPPORT SPECIALIST II (OS)	00A32A	1.0	92,040	1.0	92,517
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	181,750	2.0	182,714
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	8.0	712,119	8.0	718,546
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	7.0	611,731	7.0	614,950
TECHNICAL SUPPORT SPECIALIST II	00032A	3.0	261,975	3.0	263,336
ENTERPRISE RESOURCE ADMINISTRATOR	00140A	1.0	86,849	1.0	91,517
EXECUTIVE ASSISTANT TO THE DIRECTOR (DEPT.	00141A	1.0	86,750	1.0	90,275
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	1.0	86,273	1.0	86,722
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	85,805	1.0	86,248
PRINCIPAL PROGRAMMER/ANALYST (OIP)	00331A	1.0	85,153	1.0	85,589
WEB DEVELOPMENT MANAGER	00135A	3.0	255,000	3.0	260,100
STATEWIDE INFORMATION TECHNOLOGY	00135A	1.0	84,817	1.0	85,267
TECHNICAL SUPPORT SPECIALIST III	00135A	13.0	1,099,415	13.0	1,111,618
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	168,750	2.0	169,646
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	84,202	1.0	84,643
TECHNICAL SUPPORT SPECIALIST II (OS)	00332A	2.0	164,529	2.0	165,396
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	11.0	894,572	11.0	899,238
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	2.0	161,903	2.0	162,733
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	4.0	318,283	4.0	319,953
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	79,353	1.0	79,761
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	78,177	1.0	78,581
TECHNICAL SUPPORT SPECIALIST II	00132A	3.0	234,202	3.0	235,427
TECHNICAL SUPPORT SPECIALIST II	00332A	16.0	1,240,232	16.0	1,263,408
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	229,828	3.0	231,033
CHIEF IMPLEMENTATION AIDE	00128A	1.0	74,435	1.0	74,828
TECHNICAL SUPPORT SPECIALIST I	00128A	1.0	70,413	1.0	70,773
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	3.0	206,441	3.0	210,425
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	2.0	134,275	2.0	137,096



# Personnel

## Department Of Administration Information Technology

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SUPERVISOR COMPUTER OPERATIONS	00328A	2.0	132,808	2.0	133,506
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	6.0	382,228	6.0	387,167
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	2.0	124,750	2.0	128,064
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	61,149	1.0	61,473
NETWORK TECHNICIAN SPECIALIST (OIP)	00130A	0.5	30,000	0.5	31,500
TECHNICAL SUPPORT SPECIALIST I	00328A	5.0	293,779	5.0	304,412
DATABASE ADMINISTRATOR ADABAS/NATURAL	00135A	1.0	56,979	1.0	57,281
RECORDS ANALYST	00324A	1.0	55,169	1.0	55,462
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	00320A	1.0	54,659	1.0	54,943
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	51,834	1.0	54,094
SYSTEMS SUPPORT TECHNICIAN II	00321A	9.0	458,039	9.0	464,259
SENIOR COMPUTER OPERATOR (OIP)	00322A	3.0	149,364	3.0	151,579
SYSTEMS SUPPORT TECHNICIAN III	00324A	2.0	98,985	2.0	104,514
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	89,986	2.0	90,464
COMPUTER OPERATOR (OIP)	00316A	5.0	200,784	5.0	204,151
<b>Subtotal</b>		<b>186.5</b>	<b>\$15,231,514</b>	<b>192.5</b>	<b>\$16,020,283</b>
<b>Unclassified</b>					
CHIEF INFORMATION OFFICER	00848A	1.0	133,813	1.0	138,489
ASSOCIATE EXECUTIVE ASSISTANT	00826A	1.0	64,904	1.0	65,248
CHIEF DIGITAL OFFICER	00856A	-	-	1.0	205,706
<b>Subtotal</b>		<b>2.0</b>	<b>\$198,717</b>	<b>3.0</b>	<b>\$409,443</b>
Cost Allocation from Other Programs		-	445,691	-	367,380
Interdepartmental Transfer		-	(109,079)	-	(245,704)
Overtime		-	360,400	-	360,400
Temporary and Seasonal		-	-	-	50,000
Turnover		-	(1,036,641)	-	(945,053)
<b>Subtotal</b>		<b>-</b>	<b>(\$339,629)</b>	<b>-</b>	<b>(\$412,977)</b>
<b>Total Salaries</b>		<b>188.5</b>	<b>\$15,090,602</b>	<b>195.5</b>	<b>\$16,016,749</b>
<b>Benefits</b>					
Payroll Accrual			82,562		90,284
Holiday			22,517		22,517
FICA			1,128,766		1,188,344
Retiree Health			866,808		937,564
Health Benefits			2,281,435		2,544,805
Retirement			3,518,748		4,077,591
<b>Subtotal</b>			<b>\$7,900,836</b>		<b>\$8,861,105</b>
<b>Total Salaries and Benefits</b>		<b>188.5</b>	<b>\$22,991,438</b>	<b>195.5</b>	<b>\$24,877,854</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$121,970</b>		<b>\$126,997</b>
<b>Statewide Benefit Assessment</b>			<b>\$663,156</b>		<b>\$741,308</b>
<b>Payroll Costs</b>		<b>188.5</b>	<b>\$23,654,594</b>	<b>195.5</b>	<b>\$25,619,162</b>

# Personnel

## Department Of Administration Information Technology

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			1,675,605		1,318,511
Clerical and Temporary Services			-		500
Other Contracts			23,667		22,579
<b>Subtotal</b>			<b>\$1,699,272</b>		<b>\$1,341,590</b>
<b>Total Personnel</b>		<b>188.5</b>	<b>\$25,353,866</b>	<b>195.5</b>	<b>\$26,960,752</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		7.5	\$16,252,920	14.5	\$18,012,201
Federal Funds		-	\$5,721,201	-	\$5,786,285
Restricted Receipts		-	\$1,067,868	-	\$809,412
Other Funds		181.0	\$2,311,877	181.0	\$2,352,854
<b>Total All Funds</b>		<b>188.5</b>	<b>\$25,353,866</b>	<b>195.5</b>	<b>\$26,960,752</b>

# The Program

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## Department Of Administration Library and Information Services

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### **Program Mission**

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery.

Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board.

Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities.

Maintain and develop the Library of Rhode Island Network.

Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act.

Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service.

Provide for statewide reference service through online services of "AskRI".

Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

### **Program Description**

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

### **Statutory History**

RIGL 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

# The Budget

## Department Of Administration Library and Information Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Library Services	2,112,021	1,940,583	2,434,428	2,483,496	2,543,100
<b>Total Expenditures</b>	<b>\$2,112,021</b>	<b>\$1,940,583</b>	<b>\$2,434,428</b>	<b>\$2,483,496</b>	<b>\$2,543,100</b>
<b>Expenditures By Object</b>					
Personnel	1,266,685	1,231,857	1,399,569	1,348,098	1,517,617
Operating Supplies and Expenses	613,557	631,106	1,027,859	1,095,233	1,025,483
Assistance and Grants	228,992	77,620	-	31,165	-
<b>Subtotal: Operating Expenditures</b>	<b>2,109,234</b>	<b>1,940,583</b>	<b>2,427,428</b>	<b>2,474,496</b>	<b>2,543,100</b>
Capital Purchases and Equipment	2,787	-	7,000	9,000	-
<b>Total Expenditures</b>	<b>\$2,112,021</b>	<b>\$1,940,583</b>	<b>\$2,434,428</b>	<b>\$2,483,496</b>	<b>\$2,543,100</b>
<b>Expenditures By Funds</b>					
General Revenue	972,214	877,350	1,229,995	1,217,581	1,342,819
Federal Funds	1,139,113	1,063,233	1,204,253	1,265,887	1,200,253
Restricted Receipts	694	-	180	28	28
<b>Total Expenditures</b>	<b>\$2,112,021</b>	<b>\$1,940,583</b>	<b>\$2,434,428</b>	<b>\$2,483,496</b>	<b>\$2,543,100</b>

# Personnel

## Department Of Administration Library and Information Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF OF LIBRARY SERVICES	00143A	1.0	107,346	1.0	107,915
LIBRARY PROGRAM MANAGER I	00137A	2.0	171,806	2.0	175,716
LIBRARY PROGRAM SPECIALIST III	0AB32A	4.0	328,052	4.0	332,350
LIBRARY PROGRAM SPECIALIST II	0AB28A	2.0	130,323	1.0	78,810
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	146,196	3.0	146,970
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	44,659	1.0	46,396
LIBRARY PROGRAM SPECIALIST I	0AB24A	-	-	1.0	56,983
<b>Subtotal</b>		<b>13.0</b>	<b>\$928,382</b>	<b>13.0</b>	<b>\$945,140</b>
Turnover		-	(87,228)	-	(13,704)
<b>Subtotal</b>		<b>-</b>	<b>(\$87,228)</b>	<b>-</b>	<b>(\$13,704)</b>
<b>Total Salaries</b>		<b>13.0</b>	<b>\$841,154</b>	<b>13.0</b>	<b>\$931,436</b>
<b>Benefits</b>					
Payroll Accrual			4,832		5,463
FICA			64,809		72,305
Retiree Health			50,577		56,427
Health Benefits			111,132		130,309
Retirement			206,624		246,783
<b>Subtotal</b>			<b>\$437,974</b>		<b>\$511,287</b>
<b>Total Salaries and Benefits</b>		<b>13.0</b>	<b>\$1,279,128</b>	<b>13.0</b>	<b>\$1,442,723</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$98,394</b>		<b>\$110,979</b>
<b>Statewide Benefit Assessment</b>			<b>\$38,970</b>		<b>\$44,894</b>
<b>Payroll Costs</b>		<b>13.0</b>	<b>\$1,318,098</b>	<b>13.0</b>	<b>\$1,487,617</b>
<b>Purchased Services</b>					
Training and Educational Services			30,000		30,000
<b>Subtotal</b>			<b>\$30,000</b>		<b>\$30,000</b>
<b>Total Personnel</b>		<b>13.0</b>	<b>\$1,348,098</b>	<b>13.0</b>	<b>\$1,517,617</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		13.0	\$663,366	13.0	\$790,354
Federal Funds		-	\$684,732	-	\$727,263
<b>Total All Funds</b>		<b>13.0</b>	<b>\$1,348,098</b>	<b>13.0</b>	<b>\$1,517,617</b>

# The Program

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## Department Of Administration Planning

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### **Program Mission**

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

### **Program Description**

The Division of Planning is comprised of four subprograms: Statewide Planning, Strategic Planning and Economic Development, Housing & Community Development, and the Water Resources Board.

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding.

Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration.

The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

### **Statutory History**

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

# The Budget

## Department Of Administration Planning

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Statewide Planning	4,334,157	3,749,279	5,644,655	5,317,425	5,354,825
Housing and Comm. Development	10,143,471	12,611,626	-	-	-
<b>Total Expenditures</b>	<b>\$14,477,628</b>	<b>\$16,360,905</b>	<b>\$5,644,655</b>	<b>\$5,317,425</b>	<b>\$5,354,825</b>
<b>Expenditures By Object</b>					
Personnel	4,747,172	4,260,048	3,878,868	2,960,721	3,086,909
Operating Supplies and Expenses	151,031	123,448	100,534	100,372	102,663
Assistance and Grants	9,560,105	11,959,024	1,654,200	2,245,279	2,154,200
<b>Subtotal: Operating Expenditures</b>	<b>14,458,308</b>	<b>16,342,520</b>	<b>5,633,602</b>	<b>5,306,372</b>	<b>5,343,772</b>
Capital Purchases and Equipment	19,320	18,385	11,053	11,053	11,053
<b>Total Expenditures</b>	<b>\$14,477,628</b>	<b>\$16,360,905</b>	<b>\$5,644,655</b>	<b>\$5,317,425</b>	<b>\$5,354,825</b>
<b>Expenditures By Funds</b>					
General Revenue	4,349,466	1,939,378	1,316,146	1,365,195	1,341,758
Federal Funds	8,295,245	9,702,837	1,073,871	1,011,893	1,014,317
Restricted Receipts	-	2,989,425	-	-	-
Operating Transfers from Other Funds	1,832,917	1,729,265	3,254,638	2,940,337	2,998,750
<b>Total Expenditures</b>	<b>\$14,477,628</b>	<b>\$16,360,905</b>	<b>\$5,644,655</b>	<b>\$5,317,425</b>	<b>\$5,354,825</b>

# Personnel

## Department Of Administration Planning

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR, DIVISION OF PLANNING	00144A	1.0	122,335	1.0	122,984
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	99,562	1.0	100,069
CHIEF OFFICE OF SYSTEMS PLANNING	00138A	1.0	89,763	1.0	90,239
ASSISTANT CHIEF OF PLANNING	00137A	1.0	86,778	1.0	87,239
SUPERVISING CIVIL ENGINEER	00335A	1.0	81,787	1.0	81,787
SUPERVISING PLANNER	00831A	4.0	307,373	4.0	308,997
SUPERVISING GEOGRAPHIC INFORMATION	00132A	1.0	72,091	1.0	72,473
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	67,264	1.0	67,621
PRINCIPAL PLANNER	00829A	8.0	517,979	8.0	525,510
FISCAL MANAGEMENT OFFICER	00B26A	1.0	62,969	1.0	63,303
SENIOR PLANNER	00326A	2.0	118,782	2.0	119,412
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	58,825	1.0	59,709
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	55,204	1.0	55,494
EXECUTIVE ASSISTANT	00318A	1.0	47,484	1.0	48,151
<b>Subtotal</b>		<b>25.0</b>	<b>\$1,788,196</b>	<b>25.0</b>	<b>\$1,802,988</b>
<b>Unclassified</b>					
GENERAL MANAGER (RI WATER RESOURCES)	00839A	1.0	109,686	1.0	110,257
<b>Subtotal</b>		<b>1.0</b>	<b>\$109,686</b>	<b>1.0</b>	<b>\$110,257</b>
Cost Allocation from Other Programs		-	13,210	-	13,279
Interdepartmental Transfer		-	(11,798)	-	(26,214)
Turnover		-	(209,781)	-	(143,213)
<b>Subtotal</b>		<b>-</b>	<b>(\$208,369)</b>	<b>-</b>	<b>(\$156,148)</b>
<b>Total Salaries</b>		<b>26.0</b>	<b>\$1,689,513</b>	<b>26.0</b>	<b>\$1,757,097</b>
<b>Benefits</b>					
Payroll Accrual			9,388		10,156
FICA			130,725		134,418
Retiree Health			102,058		104,900
Health Benefits			271,081		276,288
Retirement			419,148		460,387
<b>Subtotal</b>			<b>\$932,400</b>		<b>\$986,149</b>
<b>Total Salaries and Benefits</b>		<b>26.0</b>	<b>\$2,621,913</b>	<b>26.0</b>	<b>\$2,743,246</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$100,843</b>		<b>\$105,509</b>
<b>Statewide Benefit Assessment</b>			<b>\$78,608</b>		<b>\$83,463</b>
<b>Payroll Costs</b>		<b>26.0</b>	<b>\$2,700,521</b>	<b>26.0</b>	<b>\$2,826,709</b>



# Personnel

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## Department Of Administration Planning

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Management & Consultant Services			204,000		204,000
Design and Engineering Services			56,200		56,200
<b>Subtotal</b>			<b>\$260,200</b>		<b>\$260,200</b>
<b>Total Personnel</b>		<b>26.0</b>	<b>\$2,960,721</b>	<b>26.0</b>	<b>\$3,086,909</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		26.0	\$1,225,627	26.0	\$1,290,978
Federal Funds		-	\$350,931	-	\$353,355
Operating Transfers from Other Funds		-	\$1,384,163	-	\$1,442,576
<b>Total All Funds</b>		<b>26.0</b>	<b>\$2,960,721</b>	<b>26.0</b>	<b>\$3,086,909</b>

# The Program

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## Department Of Administration Personnel and Operational Reforms

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### **Program Mission**

To address funding requirements associated with personnel or pension-related reforms.

### **Program Description**

The Governor's recommended budget includes legislative proposals to provide state government with greater flexibility in hiring and managing personnel. The Department of Administration expects to negotiate with representatives of state employees to achieve costs savings in personnel costs and address structural challenges facing the state. The Governor also recommends operational reforms to promote more efficiencies in state government and provide savings in FY 2016. These initiatives are identified below:

The Division of Purchases, with support of the Bureau of Audits and contracted service providers, will survey existing state contracts to recoup costs -- particularly in the areas of commodities and deliverable-based contracts.

The Office of Energy Resources will establish a competitive procurement program for the state's electricity supply. OER expects additional savings may be attained through energy efficiency efforts.

The Division of Information Technology will conduct a review of state printing to reduce the number of office printers and to encourage the use of managed printing services when practical.

The Division of Information Technology will conduct a review of office phone services to identify unused and underused telephone lines. The review will also survey office teleconferencing and videoconferencing capabilities to encourage remote-access meetings instead of offsite meetings that require travel and mileage reimbursement.

### **Statutory History**

The Governor recommends these proposals as part of the FY 2016 Appropriations Act.

# The Budget

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## Department Of Administration Personnel and Operational Reforms

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Reduction in Force Savings	-	-	(8,225,000)	(2,000,000)	(116,421)
<b>Total Expenditures</b>	-	-	<b>(\$8,225,000)</b>	<b>(\$2,000,000)</b>	<b>(\$116,421)</b>
<b>Expenditures By Object</b>					
Personnel	-	-	(5,000,000)	-	-
Operating Supplies and Expenses	-	-	(3,225,000)	(2,000,000)	(116,421)
<b>Subtotal: Operating Expenditures</b>	-	-	<b>(8,225,000)</b>	<b>(2,000,000)</b>	<b>(116,421)</b>
<b>Total Expenditures</b>	-	-	<b>(\$8,225,000)</b>	<b>(\$2,000,000)</b>	<b>(\$116,421)</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	(8,225,000)	(2,000,000)	(116,421)
<b>Total Expenditures</b>	-	-	<b>(\$8,225,000)</b>	<b>(\$2,000,000)</b>	<b>(\$116,421)</b>

# The Program

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## Department Of Administration Energy Resources

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### Program Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals.

To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation.

To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data.

To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission.

To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner.

To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

### Program Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups.

### Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1.

# The Budget

## Department Of Administration Energy Resources

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Energy Resources	10,279,806	6,297,720	10,601,458	19,597,078	14,692,328
<b>Total Expenditures</b>	<b>\$10,279,806</b>	<b>\$6,297,720</b>	<b>\$10,601,458</b>	<b>\$19,597,078</b>	<b>\$14,692,328</b>
<b>Expenditures By Object</b>					
Personnel	1,352,351	1,397,112	1,739,564	1,665,896	2,032,419
Operating Supplies and Expenses	(556,634)	91,095	69,158	168,538	102,686
Assistance and Grants	9,332,290	4,807,289	8,786,952	17,761,644	12,556,223
<b>Subtotal: Operating Expenditures</b>	<b>10,128,007</b>	<b>6,295,496</b>	<b>10,595,674</b>	<b>19,596,078</b>	<b>14,691,328</b>
Capital Purchases and Equipment	89,416	2,224	5,784	1,000	1,000
Operating Transfers	62,383	-	-	-	-
<b>Total Expenditures</b>	<b>\$10,279,806</b>	<b>\$6,297,720</b>	<b>\$10,601,458</b>	<b>\$19,597,078</b>	<b>\$14,692,328</b>
<b>Expenditures By Funds</b>					
General Revenue	151,232	-	-	-	-
Federal Funds	5,390,933	589,333	406,587	437,090	397,040
Restricted Receipts	4,737,641	5,708,387	10,194,871	19,159,988	14,295,288
<b>Total Expenditures</b>	<b>\$10,279,806</b>	<b>\$6,297,720</b>	<b>\$10,601,458</b>	<b>\$19,597,078</b>	<b>\$14,692,328</b>

# Personnel

## Department Of Administration Energy Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	112,159	1.0	112,591
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	110,704	1.0	111,283
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	101,964	1.0	102,505
CHIEF PROGRAM DEVELOPMENT	00134A	6.0	436,604	7.0	523,605
PROGRAMMING SERVICES OFFICER	00131A	1.0	66,231	1.0	69,749
PRINCIPAL INFORMATION AND PUBLIC RELATIONS	00126A	1.0	56,840	1.0	57,141
IMPLEMENTATION AIDE	00122A	1.0	43,243	1.0	45,638
<b>Subtotal</b>		<b>12.0</b>	<b>\$927,745</b>	<b>13.0</b>	<b>\$1,022,512</b>
<b>Unclassified</b>					
COMMISSIONER OF ENERGY RESOURCES	00843A	1.0	138,737	1.0	140,514
<b>Subtotal</b>		<b>1.0</b>	<b>\$138,737</b>	<b>1.0</b>	<b>\$140,514</b>
Cost Allocation to Other Programs		-	(15,294)	-	(15,377)
Temporary and Seasonal		-	9,444	-	5,879
Turnover		-	(26,785)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$32,635)</b>	<b>-</b>	<b>(\$9,498)</b>
<b>Total Salaries</b>		<b>13.0</b>	<b>\$1,033,847</b>	<b>14.0</b>	<b>\$1,153,528</b>
<b>Benefits</b>					
Payroll Accrual			5,995		6,630
FICA			79,346		86,802
Retiree Health			62,755		68,515
Health Benefits			110,311		143,553
Retirement			257,037		300,304
<b>Subtotal</b>			<b>\$515,444</b>		<b>\$605,804</b>
<b>Total Salaries and Benefits</b>		<b>13.0</b>	<b>\$1,549,291</b>	<b>14.0</b>	<b>\$1,759,332</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$118,450</b>		<b>\$125,247</b>
<b>Statewide Benefit Assessment</b>			<b>\$48,355</b>		<b>\$54,512</b>
<b>Payroll Costs</b>		<b>13.0</b>	<b>\$1,597,646</b>	<b>14.0</b>	<b>\$1,813,844</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			65,000		65,000
Management & Consultant Services			-		150,000
Other Contracts			3,250		3,575
<b>Subtotal</b>			<b>\$68,250</b>		<b>\$218,575</b>
<b>Total Personnel</b>		<b>13.0</b>	<b>\$1,665,896</b>	<b>14.0</b>	<b>\$2,032,419</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1.0	-	1.0	-
Federal Funds		5.0	\$285,510	5.0	\$271,304
Restricted Receipts		7.0	\$1,380,386	8.0	\$1,761,115
<b>Total All Funds</b>		<b>13.0</b>	<b>\$1,665,896</b>	<b>14.0</b>	<b>\$2,032,419</b>

# The Program

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Department Of Administration

Construction Permitting, Approvals and Licensing

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## **Program Mission**

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

## **Program Description**

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people.

Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted.

Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

## **Statutory History**

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes.

RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5-65.2 governs the well drillers.

RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review.

# The Budget

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## Department Of Administration Construction Permitting, Approvals and Licensing

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	2,618,051	2,571,152	3,024,913	2,965,466	3,263,975
<b>Total Expenditures</b>	<b>\$2,618,051</b>	<b>\$2,571,152</b>	<b>\$3,024,913</b>	<b>\$2,965,466</b>	<b>\$3,263,975</b>
<b>Expenditures By Object</b>					
Personnel	2,418,049	2,446,666	2,719,738	2,640,300	2,902,192
Operating Supplies and Expenses	151,838	108,389	285,175	305,166	341,783
<b>Subtotal: Operating Expenditures</b>	<b>2,569,887</b>	<b>2,555,055</b>	<b>3,004,913</b>	<b>2,945,466</b>	<b>3,243,975</b>
Capital Purchases and Equipment	48,164	16,097	20,000	20,000	20,000
<b>Total Expenditures</b>	<b>\$2,618,051</b>	<b>\$2,571,152</b>	<b>\$3,024,913</b>	<b>\$2,965,466</b>	<b>\$3,263,975</b>
<b>Expenditures By Funds</b>					
General Revenue	1,361,902	1,381,616	1,615,416	1,707,053	1,823,455
Restricted Receipts	1,256,149	1,189,536	1,409,497	1,258,413	1,440,520
<b>Total Expenditures</b>	<b>\$2,618,051</b>	<b>\$2,571,152</b>	<b>\$3,024,913</b>	<b>\$2,965,466</b>	<b>\$3,263,975</b>



# Personnel

## Department Of Administration

### Construction Permitting, Approvals and Licensing

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
STATE BUILDING CODE COMMISSIONER	00142A	1.0	107,047	1.0	107,615
EXECUTIVE DIRECTOR CONTRACTORS'	00837A	1.0	105,094	1.0	105,648
SUPERVISING CIVIL ENGINEER	00335A	1.0	95,176	1.0	95,670
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF	03640A	1.0	95,006	1.0	100,471
HEARING OFFICER CONTRACTORS' REGISTRATION	00334A	1.0	92,051	1.0	92,529
ARCHITECT BUILDING COMMISSION	00335A	2.0	178,973	2.0	179,910
DEPUTY BUILDING CODE COMMISSIONER	00140A	1.0	85,765	1.0	89,776
CHIEF STATE BUILDING CODE OFFICIAL	00332A	1.0	79,305	1.0	79,305
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	1.0	71,916	1.0	72,298
PRINCIPAL STATE BUILDING CODE OFFICIAL	00331A	3.0	212,733	3.0	215,977
PROGRAMMING SERVICES OFFICER	00131A	1.0	69,408	1.0	69,750
SENIOR STATE BUILDING CODE OFFICIAL	00328A	3.0	180,363	3.0	184,192
IMPLEMENTATION AIDE	00322A	2.0	112,590	2.0	113,186
ENFORCEMENT AIDE	00319A	2.0	106,944	2.0	107,506
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	49,992	1.0	50,254
LICENSING AIDE	00315A	2.0	94,211	2.0	94,708
<b>Subtotal</b>		<b>24.0</b>	<b>\$1,736,574</b>	<b>24.0</b>	<b>\$1,758,795</b>
<b>Unclassified</b>					
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	48,992	1.0	50,663
ADMINISTRATIVE AIDE	04514A	1.0	40,506	1.0	40,721
<b>Subtotal</b>		<b>2.0</b>	<b>\$89,498</b>	<b>2.0</b>	<b>\$91,384</b>
Turnover		-	(201,157)	-	(89,776)
<b>Subtotal</b>		<b>-</b>	<b>(\$201,157)</b>	<b>-</b>	<b>(\$89,776)</b>
<b>Total Salaries</b>		<b>26.0</b>	<b>\$1,624,915</b>	<b>26.0</b>	<b>\$1,760,403</b>
<b>Benefits</b>					
Payroll Accrual			9,356		10,181
FICA			125,388		132,670
Retiree Health			97,854		105,096
Health Benefits			295,883		339,220
Retirement			406,908		466,407
<b>Subtotal</b>			<b>\$935,389</b>		<b>\$1,053,574</b>
<b>Total Salaries and Benefits</b>		<b>26.0</b>	<b>\$2,560,304</b>	<b>26.0</b>	<b>\$2,813,977</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$98,473</b>		<b>\$108,230</b>
<b>Statewide Benefit Assessment</b>			<b>\$75,396</b>		<b>\$83,615</b>
<b>Payroll Costs</b>		<b>26.0</b>	<b>\$2,635,700</b>	<b>26.0</b>	<b>\$2,897,592</b>

# Personnel

## Department Of Administration

### Construction Permitting, Approvals and Licensing

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			4,600		4,600
<b>Subtotal</b>			<b>\$4,600</b>		<b>\$4,600</b>
<b>Total Personnel</b>		<b>26.0</b>	<b>\$2,640,300</b>	<b>26.0</b>	<b>\$2,902,192</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		14.0	\$1,523,765	14.0	\$1,585,362
Restricted Receipts		12.0	\$1,116,535	12.0	\$1,316,830
<b>Total All Funds</b>		<b>26.0</b>	<b>\$2,640,300</b>	<b>26.0</b>	<b>\$2,902,192</b>

# The Program

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Department Of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

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## **Program Mission**

The Rhode Island Health Benefits Exchange, branded "HealthSource RI," serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

## **Program Description**

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by the HSRI to best exemplify the goals and values of the organization:

- 1.HSRI will be a place to compare and buy health insurance.
- 2.HSRI will work closely with small employers to provide new and beneficial health insurance options.
- 3.HSRI will be a reliable and trusted source of healthcare information for all constituents.

## **Statutory History**

The Patient Protection and Affordable Care Act (Pub. Law 111-148) (the "ACA") provides for the establishment of a Health Benefits Exchange by each State. The Rhode Island Health Benefits Exchange was established on September 19, 2011 by Executive Order 11-09.

# The Budget

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## Department Of Administration Rhode Island Health Benefits Exchange (HealthSource RI)

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	47,348,145	50,814,348	30,926,620	31,773,795	12,383,627
<b>Total Expenditures</b>	<b>\$47,348,145</b>	<b>\$50,814,348</b>	<b>\$30,926,620</b>	<b>\$31,773,795</b>	<b>\$12,383,627</b>
<b>Expenditures By Object</b>					
Personnel	46,221,399	50,127,953	30,290,028	31,142,536	11,907,994
Operating Supplies and Expenses	1,081,594	456,839	605,870	611,259	469,633
<b>Subtotal: Operating Expenditures</b>	<b>47,302,993</b>	<b>50,584,792</b>	<b>30,895,898</b>	<b>31,753,795</b>	<b>12,377,627</b>
Capital Purchases and Equipment	45,152	229,556	30,722	20,000	6,000
<b>Total Expenditures</b>	<b>\$47,348,145</b>	<b>\$50,814,348</b>	<b>\$30,926,620</b>	<b>\$31,773,795</b>	<b>\$12,383,627</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	2,625,841	2,625,841	2,625,841
Federal Funds	47,348,145	50,814,348	24,746,063	25,613,189	1,177,039
Restricted Receipts	-	-	3,554,716	3,534,765	8,580,747
<b>Total Expenditures</b>	<b>\$47,348,145</b>	<b>\$50,814,348</b>	<b>\$30,926,620</b>	<b>\$31,773,795</b>	<b>\$12,383,627</b>

# Personnel

## Department Of Administration

### Rhode Island Health Benefits Exchange (HealthSource RI)

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
IMPLEMENTATION DIRECTOR POLICY AND ASSISTANT ADMINISTRATOR, FINANCIAL	00140A	1.0	97,588	1.0	98,106
INTERDEPARTMENTAL PROJECT MANAGER	00134A	2.0	175,221	2.0	181,665
COMMUNITY LIAISON OFFICER	00139A	2.0	167,416	2.0	173,698
ASSISTANT ADMINISTRATOR/MONITORING AND	00124A	1.0	78,715	1.0	81,206
	00129A	1.0	57,923	1.0	60,021
<b>Subtotal</b>		<b>7.0</b>	<b>\$576,863</b>	<b>7.0</b>	<b>\$594,696</b>
<b>Unclassified</b>					
DEPUTY DIRECTOR	08351A	1.0	153,844	1.0	159,412
DIRECTOR (GOVERNOR'S OFFICE)	00301F	1.0	149,821	1.0	155,381
CHIEF STRATEGIC PLANNING MONITORING AND	00843A	3.0	335,604	3.0	351,687
CHIEF OF LEGAL SERVICES	00137A	1.0	84,931	1.0	85,382
SENIOR POLICY ANALYST (GOVERNOR'S OFFICE)	08330A	1.0	68,591	1.0	68,955
LEGAL COUNSEL	00735F	1.0	65,063	1.0	67,439
ADMINISTRATIVE ASSISTANT	00323A	1.0	48,268	1.0	49,792
<b>Subtotal</b>		<b>9.0</b>	<b>\$906,122</b>	<b>9.0</b>	<b>\$938,048</b>
Interdepartmental Transfer		-	98,379	-	98,379
Turnover		-	(61,098)	-	-
<b>Subtotal</b>		<b>-</b>	<b>\$37,281</b>	<b>-</b>	<b>\$98,379</b>
<b>Total Salaries</b>		<b>16.0</b>	<b>\$1,520,266</b>	<b>16.0</b>	<b>\$1,631,123</b>
<b>Benefits</b>					
Payroll Accrual			8,671		8,856
FICA			112,539		120,700
Retiree Health			90,759		98,137
Health Benefits			208,136		229,277
Retirement			374,598		427,664
<b>Subtotal</b>			<b>\$794,703</b>		<b>\$884,634</b>
<b>Total Salaries and Benefits</b>		<b>16.0</b>	<b>\$2,314,969</b>	<b>16.0</b>	<b>\$2,515,757</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$144,686</b>		<b>\$157,235</b>
<b>Statewide Benefit Assessment</b>			<b>\$69,932</b>		<b>\$77,317</b>
<b>Payroll Costs</b>		<b>16.0</b>	<b>\$2,384,901</b>	<b>16.0</b>	<b>\$2,593,074</b>

# Personnel

## Department Of Administration

### Rhode Island Health Benefits Exchange (HealthSource RI)

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			17,950,373		4,977,529
Management & Consultant Services			9,592,245		4,307,391
Other Contracts			1,032,234		30,000
Training and Educational Services			182,783		-
<b>Subtotal</b>			<b>\$28,757,635</b>		<b>\$9,314,920</b>
<b>Total Personnel</b>		<b>16.0</b>	<b>\$31,142,536</b>	<b>16.0</b>	<b>\$11,907,994</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		-	\$2,625,841	-	\$2,625,841
Federal Funds		16.0	\$25,613,189	16.0	\$1,177,039
Restricted Receipts		-	\$2,903,506	-	\$8,105,114
<b>Total All Funds</b>		<b>16.0</b>	<b>\$31,142,536</b>	<b>16.0</b>	<b>\$11,907,994</b>

# The Program

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## Department Of Administration

## The Office of Diversity, Equity and Opportunity

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### Program Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island.

The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities.

The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services.

The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

### Program Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals.

The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

### Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

# The Budget

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## Department Of Administration The Office of Diversity, Equity and Opportunity

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	-	847,891	1,190,135	1,158,756	1,387,633
<b>Total Expenditures</b>	-	<b>\$847,891</b>	<b>\$1,190,135</b>	<b>\$1,158,756</b>	<b>\$1,387,633</b>
<b>Expenditures By Object</b>					
Personnel	-	828,300	1,135,332	1,035,076	1,263,973
Operating Supplies and Expenses	-	19,591	54,803	123,680	123,660
<b>Subtotal: Operating Expenditures</b>	-	<b>847,891</b>	<b>1,190,135</b>	<b>1,158,756</b>	<b>1,387,633</b>
<b>Total Expenditures</b>	-	<b>\$847,891</b>	<b>\$1,190,135</b>	<b>\$1,158,756</b>	<b>\$1,387,633</b>
<b>Expenditures By Funds</b>					
General Revenue	-	762,783	1,098,841	1,067,530	1,294,640
Federal Funds	-	-	91,294	-	-
Other Funds	-	85,108	-	91,226	92,993
<b>Total Expenditures</b>	-	<b>\$847,891</b>	<b>\$1,190,135</b>	<b>\$1,158,756</b>	<b>\$1,387,633</b>



# Personnel

## Department Of Administration

### The Office of Diversity, Equity and Opportunity

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR, DIVERSITY, EQUITY AND ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMMING SERVICES OFFICER	00142A	1.0	124,784	1.0	129,605
ASSISTANT ADMINISTRATOR STATE EQUAL OPPORTUNITY	00139A	1.0	111,608	1.0	112,187
ASSISTANT ADMINISTRATOR STATE EQUAL OPPORTUNITY	00131A	1.0	80,449	1.0	82,058
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00136A	1.0	79,854	1.0	84,229
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	76,367	1.0	77,202
SENIOR EXTERNAL EQUAL OPPORTUNITY IMPLEMENTATION AIDE	00134A	1.6	114,588	2.0	148,814
	00127A	1.4	84,797	1.0	59,564
	00122A	1.0	56,450	1.0	57,579
<b>Subtotal</b>		<b>9.0</b>	<b>\$728,897</b>	<b>9.0</b>	<b>\$751,238</b>
Temporary and Seasonal		-	12,480	-	24,960
Turnover		-	(98,730)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$86,250)</b>	<b>-</b>	<b>\$24,960</b>
<b>Total Salaries</b>		<b>9.0</b>	<b>\$642,647</b>	<b>9.0</b>	<b>\$776,198</b>
<b>Benefits</b>					
Payroll Accrual			3,589		4,335
FICA			48,006		57,154
Retiree Health			37,611		44,848
Health Benefits			106,058		134,469
Retirement			152,103		194,638
<b>Subtotal</b>			<b>\$347,367</b>		<b>\$435,444</b>
<b>Total Salaries and Benefits</b>		<b>9.0</b>	<b>\$990,014</b>	<b>9.0</b>	<b>\$1,211,642</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$108,615</b>		<b>\$131,854</b>
<b>Statewide Benefit Assessment</b>			<b>\$29,562</b>		<b>\$36,831</b>
<b>Payroll Costs</b>		<b>9.0</b>	<b>\$1,019,576</b>	<b>9.0</b>	<b>\$1,248,473</b>
<b>Purchased Services</b>					
Management & Consultant Services			5,000		5,000
Training and Educational Services			10,500		10,500
<b>Subtotal</b>			<b>\$15,500</b>		<b>\$15,500</b>
<b>Total Personnel</b>		<b>9.0</b>	<b>\$1,035,076</b>	<b>9.0</b>	<b>\$1,263,973</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		8.0	\$943,850	8.0	\$1,170,980
Federal Funds		1.0	-	1.0	-
Other Funds		-	\$91,226	-	\$92,993
<b>Total All Funds</b>		<b>9.0</b>	<b>\$1,035,076</b>	<b>9.0</b>	<b>\$1,263,973</b>

# The Program

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## Department Of Administration

## Capital Asset Management and Maintenance

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### Program Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served.

The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

### Program Description

The new Division of Capital Asset Management & Maintenance will oversee: Planning/Design/Construction; Facilities Management & Maintenance; Risk Management; Fire Safety Code Board of Appeals; State Building Commission; and Contractor Registration and Licensing.

The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately.

The new model creates an Office of Planning, Design and Construction (PDC)--previously referred to as Capital projects--that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies.

The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio
- Contracting: Extension, cancellation or execution of new/existing vendor contracts
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed
- Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, et al.

# The Budget

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## Department Of Administration Capital Asset Management and Maintenance

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Capital Asset Management And Maintenance Admin	-	-	-	-	2,513,818
Facilities Management And Maintenance	-	-	-	-	39,334,862
Planning, Design And Construction	-	-	-	-	597,714
<b>Total Expenditures</b>	-	-	-	-	<b>\$42,446,394</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	18,005,609
Operating Supplies and Expenses	-	-	-	-	24,365,785
<b>Subtotal: Operating Expenditures</b>	-	-	-	-	<b>42,371,394</b>
Capital Purchases and Equipment	-	-	-	-	75,000
<b>Total Expenditures</b>	-	-	-	-	<b>\$42,446,394</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	34,967,016
Federal Funds	-	-	-	-	1,310,071
Restricted Receipts	-	-	-	-	443,424
Operating Transfers from Other Funds	-	-	-	-	1,312,970
Other Funds	-	-	-	-	4,412,913
<b>Total Expenditures</b>	-	-	-	-	<b>\$42,446,394</b>

# Personnel

## Department Of Administration

### Capital Asset Management and Maintenance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ARCHITECT	00332A	-	-	1.0	71,430
ARCHITECT BUILDING COMMISSION	00335A	-	-	1.0	83,714
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	-	-	1.0	45,642
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	-	-	4.0	239,083
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	-	-	1.0	60,319
ASSISTANT STATE BUILDING AND GROUNDS	00830A	-	-	1.0	80,519
ASSOCIATE DIRECTOR OF ADMINISTRATION-	00147A	-	-	2.0	291,378
AUTOMOTIVE MECHANIC	00314G	-	-	1.0	42,848
AUTOMOTIVE SERVICE SUPERVISOR	00318G	-	-	1.0	50,231
BUILDING AND GROUNDS OFFICER	00128A	-	-	1.0	74,390
BUILDING AND GROUNDS OFFICER	00828A	-	-	3.0	216,598
BUILDING MAINTENANCE SUPERVISOR	00318G	-	-	1.0	49,184
BUILDING SUPERINTENDENT	00318A	-	-	1.0	50,617
BUILDING SUPERINTENDENT	00318G	-	-	1.0	46,045
BUILDING SUPERINTENDENT	00818A	-	-	2.0	95,714
BUILDING SYSTEMS TECHNICIAN	00317A	-	-	1.0	43,796
CARPENTER	00314G	-	-	4.0	170,890
CHIEF CENTRAL POWER PLANT OPERATOR (RIMC)	00130A	-	-	1.0	80,019
CHIEF ENGINEER (DOT)	00049A	-	-	1.0	100,843
CHIEF IMPLEMENTATION AIDE	00128A	-	-	1.0	57,737
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	-	-	4.0	436,447
CHIEF POWER PLANT OPERATOR	00325A	-	-	1.0	58,960
CHIEF PROPERTY MANAGEMENT	00141A	-	-	3.0	312,441
CLEANER (PUBLIC BUILDINGS)	00301W	-	-	1.5	42,063
CLERK SECRETARY	03116A	-	-	1.0	46,962
DEPUTY CHIEF DIVISION OF FACILITIES	00137A	-	-	5.0	462,570
DIVISION DIRECTOR, CAPITAL ASSET	00152A	-	-	1.0	142,818
ELECTRICIAN	00316G	-	-	4.0	182,118
GROUNDS SUPERINTENDENT	00317A	-	-	1.0	50,053
HVAC SHOP SUPERVISOR	00320A	-	-	1.0	50,322
IMPLEMENTATION AIDE	00122A	-	-	2.0	109,127
IMPLEMENTATION DIRECTOR POLICY AND	00140A	-	-	1.0	115,274
JANITOR	00309A	-	-	11.0	361,125
JUNIOR RESOURCE SPECIALIST	00319A	-	-	1.0	53,302
LABORER SUPERVISOR	00313G	-	-	3.0	104,514
LICENSED STEAMFITTER	00315G	-	-	1.0	44,036
LOCKSMITH	00315A	-	-	1.0	43,646
MAINTENANCE SUPERINTENDENT	00322A	-	-	4.0	250,711
MANAGING ENGINEER (DOT)	00141A	-	-	1.0	125,185
MASON SUPERVISOR	00318G	-	-	1.0	50,231
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	-	-	2.0	135,049
OFFICE MANAGER	00123A	-	-	1.0	51,684
PAINTER	03114G	-	-	1.0	40,981
PAINTER SUPERVISOR	00318G	-	-	1.0	55,304
PLUMBER	00316G	-	-	1.0	48,861

# Personnel

## Department Of Administration Capital Asset Management and Maintenance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
PLUMBER SUPERVISOR	00320G	-	-	1.0	55,839
POWER PLANT OPERATOR	03118A	-	-	5.0	240,474
PRINCIPAL JANITOR	00315A	-	-	4.0	166,773
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A	-	-	1.0	83,220
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	-	-	1.0	72,231
PROJECT MANAGER I (DOA)	00137A	-	-	3.0	345,789
PROJECT MANAGER I (DOA)	00139A	-	-	2.0	173,698
PUBLIC PROPERTIES OFFICER	00312G	-	-	1.0	39,905
REFRIGERATION MECHANIC (LICENSED)	00316A	-	-	1.0	37,239
SEASONAL TECHNICAL SUPPORT INTERN	00213G	-	-	-	-
SEMI-SKILLED LABORER	00310G	-	-	2.0	78,367
SENIOR CIVIL ENGINEER (CONSTRUCTION &	02931A	-	-	1.0	70,055
SENIOR DRAFTPERSON	00323A	-	-	1.0	49,938
SENIOR GARDENER	00313G	-	-	1.0	45,750
SENIOR JANITOR	00312A	-	-	1.0	44,500
SENIOR MAINTENANCE TECHNICIAN	00314G	-	-	11.0	461,408
SENIOR MAINTENANCE TECHNICIAN	03114G	-	-	1.0	43,775
STATE BUILDING AND GROUNDS COORDINATOR	00132A	-	-	6.0	463,528
STATE COLLECTIONS MANAGER	00128A	-	-	1.0	58,813
STEAMFITTER	00314G	-	-	1.0	48,001
SUPERVISING ENVIRONMENTAL SCIENTIST	00134A	-	-	1.0	96,633
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	-	-	1.0	86,508
SUPERVISOR OF PAINTING PLASTERING MASONRY	00323A	-	-	1.0	61,185
SUPERVISOR OF PLUMBING HEATING AND	00322A	-	-	1.0	58,609
WASTEWATER TREATMENT FACILITIES OPERATOR	03114G	-	-	1.0	37,255
WASTEWATER TREATMENT FACILITIES PROCESS	03121A	-	-	1.0	43,585
WASTEWATER TREATMENT FACILITIES PROCESS	03124A	-	-	1.0	59,036
<b>Subtotal</b>		-	-	<b>136.5</b>	<b>\$8,346,895</b>
Cost Allocation from Other Programs		-	-	-	409,907
Cost Allocation to Other Programs		-	-	-	(32,849)
Overtime		-	-	-	400,000
Turnover		-	-	-	(455,333)
<b>Subtotal</b>		-	-	-	<b>\$321,725</b>
<b>Total Salaries</b>		-	-	<b>136.5</b>	<b>\$8,668,620</b>
<b>Benefits</b>					
Payroll Accrual			-		47,360
Holiday			-		50,000
FICA			-		638,972
Retiree Health			-		489,878
Health Benefits			-		2,071,759
Retirement			-		2,149,635
<b>Subtotal</b>			-		<b>\$5,447,604</b>

# Personnel

## Department Of Administration Capital Asset Management and Maintenance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		-	-	136.5	\$14,116,224
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>					\$103,416
<b>Statewide Benefit Assessment</b>					\$390,104
<b>Payroll Costs</b>		-	-	136.5	\$14,506,328
<b>Purchased Services</b>					
Management & Consultant Services			-		2,534,400
Other Contracts			-		65,000
Buildings and Ground Maintenance			-		719,100
Training and Educational Services			-		60,000
Design and Engineering Services			-		118,981
Medical Services			-		1,800
<b>Subtotal</b>			-		\$3,499,281
<b>Total Personnel</b>		-	-	136.5	\$18,005,609
<b>Distribution By Source Of Funds</b>					
General Revenue		-	-	136.5	\$14,428,892
Federal Funds		-	-	-	\$504,306
Restricted Receipts		-	-	-	\$155,936
Operating Transfers from Other Funds		-	-	-	\$1,312,970
Other Funds		-	-	-	\$1,603,505
<b>Total All Funds</b>		-	-	136.5	\$18,005,609

# Agency

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## Department Of Business Regulation

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### Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

### Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals and Insurance.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, Racing and Athletics Hearing Board, and Office of the Health Insurance Commissioner. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

### Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

# Budget

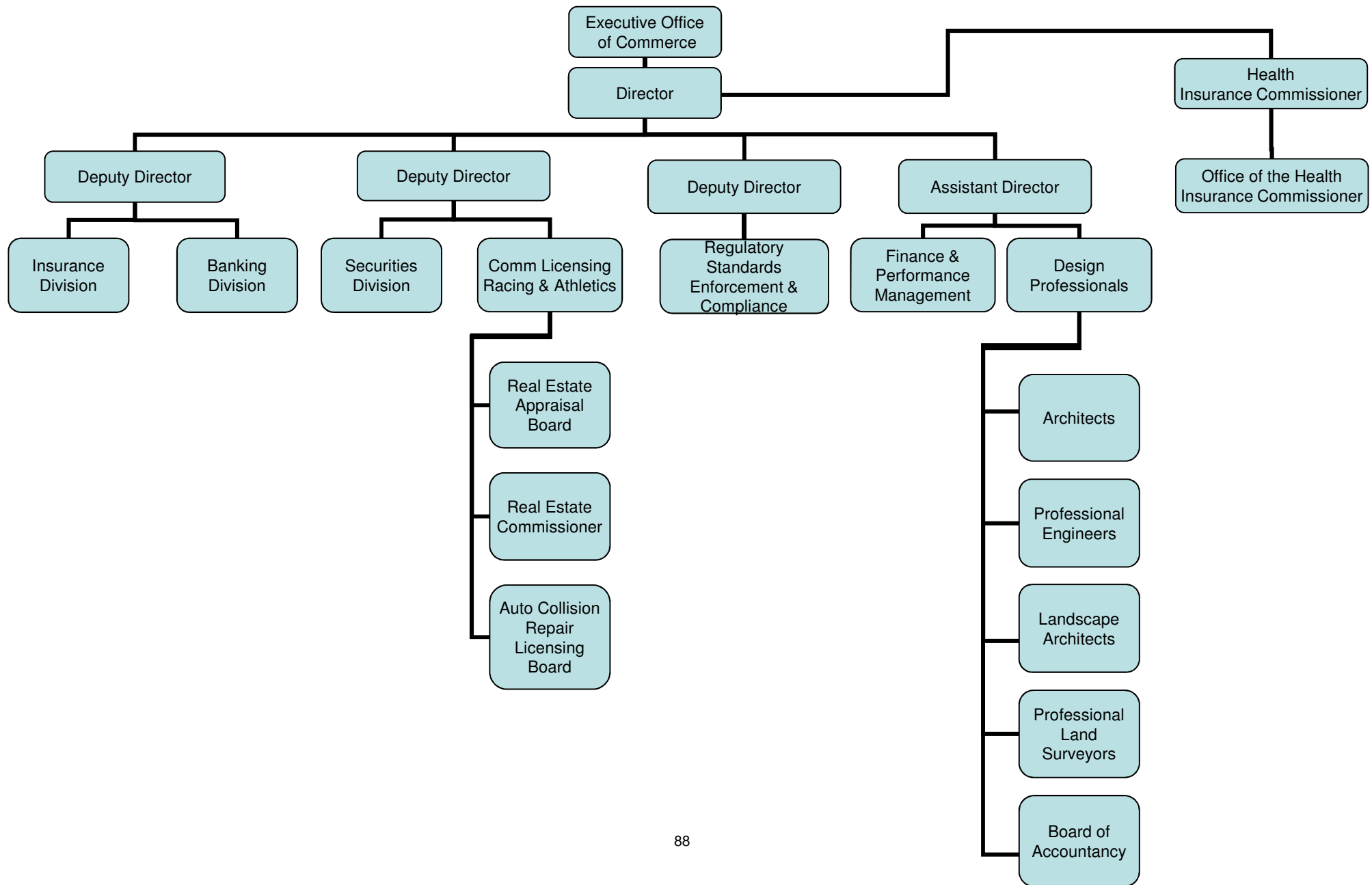
## Department Of Business Regulation

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	1,220,052	1,234,445	1,326,772	1,404,483	1,405,909
Banking Regulation	1,495,464	1,584,765	1,711,773	1,857,824	1,896,123
Securities Regulation	830,288	898,519	966,197	984,696	1,107,753
Insurance Regulation	4,858,147	4,961,419	5,763,467	5,314,577	5,799,785
Board of Accountancy	5,037	4,487	16,654	6,000	6,000
Commercial Licensing, Racing & Athletics	1,043,749	1,093,425	1,220,883	1,288,144	3,223,310
Boards for Design Professionals	253,105	258,827	273,009	263,547	273,080
Office of Health Insurance Commissioner	2,543,384	2,640,165	3,341,757	3,273,824	3,293,573
<b>Total Expenditures</b>	<b>\$12,249,226</b>	<b>\$12,676,052</b>	<b>\$14,620,512</b>	<b>\$14,393,095</b>	<b>\$17,005,533</b>
<b>Expenditures By Object</b>					
Personnel	11,860,165	12,154,918	14,005,486	13,710,082	14,984,953
Operating Supplies and Expenses	364,027	509,266	521,288	589,275	726,842
Assistance and Grants	-	-	80,000	80,000	80,000
<b>Subtotal: Operating Expenditures</b>	<b>12,224,192</b>	<b>12,664,184</b>	<b>14,606,774</b>	<b>14,379,357</b>	<b>15,791,795</b>
Capital Purchases and Equipment	25,034	11,868	13,738	13,738	1,213,738
<b>Total Expenditures</b>	<b>\$12,249,226</b>	<b>\$12,676,052</b>	<b>\$14,620,512</b>	<b>\$14,393,095</b>	<b>\$17,005,533</b>
<b>Expenditures By Funds</b>					
General Revenue	8,526,389	8,556,174	9,236,495	9,135,640	12,750,654
Federal Funds	2,038,842	2,216,757	2,795,240	2,768,904	1,100,710
Restricted Receipts	1,683,995	1,903,121	2,588,777	2,488,551	3,154,169
<b>Total Expenditures</b>	<b>\$12,249,226</b>	<b>\$12,676,052</b>	<b>\$14,620,512</b>	<b>\$14,393,095</b>	<b>\$17,005,533</b>
<b>FTE Authorization</b>	<b>94.0</b>	<b>98.0</b>	<b>98.0</b>	<b>98.0</b>	<b>104.0</b>



# The Agency

## Department of Business Regulation



# Personnel

## Department Of Business Regulation Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		78.0	5,512,198	84.0	5,992,233
Unclassified		20.0	1,838,352	20.0	1,891,384
<b>Subtotal</b>		<b>98.0</b>	<b>\$7,350,550</b>	<b>104.0</b>	<b>\$7,883,617</b>
Cost Allocation from Other Programs		-	-	0.8	90,231
Cost Allocation to Other Programs		-	-	(0.8)	(\$90,231)
Temporary and Seasonal		-	43,341	-	8,501
Turnover		-	(\$561,978)	-	(\$331,072)
<b>Subtotal</b>		<b>-</b>	<b>(\$518,637)</b>	<b>-</b>	<b>(\$322,571)</b>
<b>Total Salaries</b>		<b>98.0</b>	<b>\$6,831,913</b>	<b>104.0</b>	<b>\$7,561,046</b>
<b>Benefits</b>					
Payroll Accrual			38,648		43,048
FICA			515,496		570,605
Retiree Health			405,529		450,890
Health Benefits			1,027,760		1,269,098
Retirement			1,659,943		1,976,518
<b>Subtotal</b>			<b>\$3,647,376</b>		<b>\$4,310,159</b>
<b>Total Salaries and Benefits</b>		<b>98.0</b>	<b>\$10,479,289</b>	<b>104.0</b>	<b>\$11,871,205</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$106,489</b>		<b>\$114,064</b>
<b>Statewide Benefit Assessment</b>			<b>\$312,461</b>		<b>\$358,748</b>
<b>Payroll Costs</b>		<b>98.0</b>	<b>\$10,791,750</b>	<b>104.0</b>	<b>\$12,229,953</b>
<b>Purchased Services</b>					
Training and Educational Services			50,000		50,000
Legal Services			2,448		2,448
Other Contracts			4,492		4,492
Information Technology			40,000		40,000
Clerical and Temporary Services			2,760		2,760
Management & Consultant Services			2,818,632		2,655,300
<b>Subtotal</b>			<b>\$2,918,332</b>		<b>\$2,755,000</b>
<b>Total Personnel</b>		<b>98.0</b>	<b>\$13,710,082</b>	<b>104.0</b>	<b>\$14,984,953</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		50.0	\$8,808,658	51.0	\$11,084,164
Federal Funds		9.0	\$2,752,593	9.0	\$1,086,340
Restricted Receipts		39.0	\$2,148,831	44.0	\$2,814,449
<b>Total All Funds</b>		<b>98.0</b>	<b>\$13,710,082</b>	<b>104.0</b>	<b>\$14,984,953</b>

# Performance Measures

## Department Of Business Regulation

### *Insurance Examiner Utilization Rate*

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions.

	2013	2014	2015	2016	2017
<b>Target</b>	73%	73%	73%	73%	73%
<b>Actual</b>	76%	70%	68%	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Banking Division License Issuance*

The figures below represent the average amount of time it takes the Banking Division to issue a license.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	30 Days	30 Days	30 Days
<b>Actual</b>	--	--	44 Days	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Design Professionals License Issuance*

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license.

	2013	2014	2015	2016	2017
<b>Target</b>	75 Days	75 Days	75 Days	75 Days	75 Days
<b>Actual</b>	87 Days	100 Days	125 Days	--	--

Performance for this measure is reported by state fiscal year.

### *Customer Satisfaction*

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100.

	2013	2014	2015	2016	2017
<b>Target</b>	--	95	95	95	95
<b>Actual</b>	--	91	89	--	--

Performance for this measure is reported by state fiscal year.

# Performance Measures

## Department Of Business Regulation

### ***Business Environment Index***

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	90	90	90
<b>Actual</b>	--	--	87	--	--

Performance for this measure is reported by state fiscal year.

### ***Timeliness of Complaint Resolution***

The figures below represent the average amount of time it takes across the Department to resolve complaints.

	2013	2014	2015	2016	2017
<b>Target</b>	15 Days	15 Days	15 Days	15 Days	15 Days
<b>Actual</b>	23 Days	16 Days	13 Days	--	--

Performance for this measure is reported by state fiscal year.

### ***Timeliness of Question Resolution***

The figures below represent the average amount of time it takes across the Department to resolve questions.

	2013	2014	2015	2016	2017
<b>Target</b>	5 Days	5 Days	5 Days	5 Days	5 Days
<b>Actual</b>	12 Days	10 Days	14 Days	--	--

Performance for this measure is reported by state fiscal year.

### ***Office of the Health Insurance Commissioner -- Small Group Premium Base Rates***

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	4.5%	4%
<b>Actual</b>	--	5.3%	4.3%	--	--

Performance for this measure is reported by state fiscal year.

# Performance Measures

## Department Of Business Regulation

### *OHIC -- Large Group Premium Base Rates*

The figures below represent the average approved large group premium expected overall average premium trend.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	5%	4.5%
<b>Actual</b>	10.4%	8.1%	5.3%	--	--

Performance for this measure is reported by state fiscal year.

### *OHIC -- Individual Market Premium Base Rates*

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	5%	4.5%
<b>Actual</b>	--	-2.8%	7.4%	--	--

Performance for this measure is reported by state fiscal year.

### *OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)*

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market.

	2013	2014	2015	2016	2017
<b>Target</b>	21.5%	21.5%	21.5%	21.5%	20.5%
<b>Actual</b>	20.2%	18.5%	17.9%	--	--

Performance for this measure is reported by state fiscal year.

### *OHIC -- Value-Based Alternative Payments*

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Calendar Year 2015 data is currently undergoing quality review.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	30%	40%
<b>Actual</b>	--	23.4%	--	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Department Of Business Regulation

### *OHIC -- Non-Fee-for-Service Payments*

The figures below represent the use of strictly non-fee-for-service payments as percent of insured medical spend. [Note: Calendar Year 2015 data is currently undergoing quality review.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	3%	6%
<b>Actual</b>	--	1.5%	--	--	--

Performance for this measure is reported by calendar year.

# The Program

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## Department Of Business Regulation Central Management

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### **Program Mission**

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

### **Program Description**

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

### **Statutory History**

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

# The Budget

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## Department Of Business Regulation Central Management

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,220,052	1,234,445	1,326,772	1,404,483	1,405,909
<b>Total Expenditures</b>	<b>\$1,220,052</b>	<b>\$1,234,445</b>	<b>\$1,326,772</b>	<b>\$1,404,483</b>	<b>\$1,405,909</b>
<b>Expenditures By Object</b>					
Personnel	1,185,016	1,111,569	1,199,788	1,277,499	1,274,255
Operating Supplies and Expenses	32,404	122,556	124,947	124,947	129,617
<b>Subtotal: Operating Expenditures</b>	<b>1,217,420</b>	<b>1,234,125</b>	<b>1,324,735</b>	<b>1,402,446</b>	<b>1,403,872</b>
Capital Purchases and Equipment	2,632	320	2,037	2,037	2,037
<b>Total Expenditures</b>	<b>\$1,220,052</b>	<b>\$1,234,445</b>	<b>\$1,326,772</b>	<b>\$1,404,483</b>	<b>\$1,405,909</b>
<b>Expenditures By Funds</b>					
General Revenue	1,220,052	1,234,445	1,326,772	1,404,483	1,405,909
<b>Total Expenditures</b>	<b>\$1,220,052</b>	<b>\$1,234,445</b>	<b>\$1,326,772</b>	<b>\$1,404,483</b>	<b>\$1,405,909</b>



# Personnel

## Department Of Business Regulation Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00341A	1.0	105,093	1.0	110,604
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	99,795	1.0	100,324
SENIOR LEGAL COUNSEL	0AB34A	1.0	72,941	1.0	76,893
LEGAL COUNSEL	00132A	1.0	65,126	1.0	67,856
SYSTEMS ANALYST	00124A	1.0	63,728	1.0	64,063
SENIOR MANAGEMENT AND METHODS ANALYST	00825A	1.0	47,994	1.0	49,892
<b>Subtotal</b>		<b>6.0</b>	<b>\$454,677</b>	<b>6.0</b>	<b>\$469,632</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF BUSINESS	00945KF	1.0	135,000	1.0	135,000
EXECUTIVE COUNSEL	00139A	1.0	91,269	1.0	96,507
PROJECT COORDINATOR	00826A	1.0	81,981	1.0	82,398
EXECUTIVE SECRETARY	00819A	1.0	45,948	1.0	47,228
<b>Subtotal</b>		<b>4.0</b>	<b>\$354,198</b>	<b>4.0</b>	<b>\$361,133</b>
Cost Allocation to Other Programs		-	-	(0.6)	(59,265)
Turnover		-	(56,245)	-	(35,198)
<b>Subtotal</b>		<b>-</b>	<b>(\$56,245)</b>	<b>(0.6)</b>	<b>(\$94,463)</b>
<b>Total Salaries</b>		<b>10.0</b>	<b>\$752,630</b>	<b>9.4</b>	<b>\$736,302</b>
<b>Benefits</b>					
Payroll Accrual			4,338		4,230
FICA			57,138		55,774
Retiree Health			44,934		43,959
Health Benefits			115,635		122,808
Retirement			184,752		192,756
<b>Subtotal</b>			<b>\$406,797</b>		<b>\$419,527</b>
<b>Total Salaries and Benefits</b>		<b>10.0</b>	<b>\$1,159,427</b>	<b>9.4</b>	<b>\$1,155,829</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$115,943</b>		<b>\$122,961</b>
<b>Statewide Benefit Assessment</b>			<b>\$34,620</b>		<b>\$34,974</b>
<b>Payroll Costs</b>		<b>10.0</b>	<b>\$1,194,047</b>	<b>9.4</b>	<b>\$1,190,803</b>
<b>Purchased Services</b>					
Information Technology			40,000		40,000
Other Contracts			3,452		3,452
Training and Educational Services			40,000		40,000
<b>Subtotal</b>			<b>\$83,452</b>		<b>\$83,452</b>
<b>Total Personnel</b>		<b>10.0</b>	<b>\$1,277,499</b>	<b>9.4</b>	<b>\$1,274,255</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		10.0	\$1,277,499	9.4	\$1,274,255
<b>Total All Funds</b>		<b>10.0</b>	<b>\$1,277,499</b>	<b>9.4</b>	<b>\$1,274,255</b>

# The Program

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## Department Of Business Regulation Banking Regulation

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### **Program Mission**

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

### **Program Description**

Banking Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations.

Banking Regulation is responsible for regulating, monitoring and examining fifteen (15) state chartered financial institutions, seven (7) Rhode Island bank holding companies, ten (10) credit unions, seven (7) interstate banks and approximately nine hundred eighteen (918) company licensees (including four hundred ninety (493) registered debt collectors) operating from one thousand six hundred twenty seven (1,627) licensed locations (including nine hundred fifty eight (958)) debt collector locations) and one thousand three hundred six (1,306) mortgage loan originators as of July 2011. The Division accomplishes its program objectives through the process of licensing, chartering and examining financial institutions, Rhode Island bank holding companies, credit unions, branches of interstate banks, and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The Division reviews and conducts hearings on applications filed by financial institutions, listed above. Licensees include lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, debt-management companies, and mortgage loan originators. The Division enforces statutes relating to state usury laws and conducts administrative hearings and consumer complaint reviews when required.

### **Statutory History**

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators.

# The Budget

## Department Of Business Regulation Banking Regulation

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,495,464	1,584,765	1,711,773	1,857,824	1,896,123
<b>Total Expenditures</b>	<b>\$1,495,464</b>	<b>\$1,584,765</b>	<b>\$1,711,773</b>	<b>\$1,857,824</b>	<b>\$1,896,123</b>
<b>Expenditures By Object</b>					
Personnel	1,423,477	1,517,661	1,657,885	1,790,936	1,829,277
Operating Supplies and Expenses	70,259	66,768	48,888	61,888	61,846
<b>Subtotal: Operating Expenditures</b>	<b>1,493,736</b>	<b>1,584,429</b>	<b>1,706,773</b>	<b>1,852,824</b>	<b>1,891,123</b>
Capital Purchases and Equipment	1,728	336	5,000	5,000	5,000
<b>Total Expenditures</b>	<b>\$1,495,464</b>	<b>\$1,584,765</b>	<b>\$1,711,773</b>	<b>\$1,857,824</b>	<b>\$1,896,123</b>
<b>Expenditures By Funds</b>					
General Revenue	1,437,465	1,539,146	1,674,773	1,807,824	1,846,123
Restricted Receipts	57,999	45,619	37,000	50,000	50,000
<b>Total Expenditures</b>	<b>\$1,495,464</b>	<b>\$1,584,765</b>	<b>\$1,711,773</b>	<b>\$1,857,824</b>	<b>\$1,896,123</b>

# Personnel

## Department Of Business Regulation Banking Regulation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	4.0	398,195	4.0	372,992
SUPERVISOR OF EXAMINATIONS	0AB37A	1.0	98,784	1.0	99,292
STATE CHIEF BANK EXAMINER	00139A	1.0	81,809	1.0	86,533
PRINCIPAL BANK EXAMINER	0AB31A	2.0	159,866	2.0	160,687
SENIOR BANK EXAMINER	0AB28A	2.0	121,134	2.0	124,482
BANK EXAMINER	0AB24A	4.0	203,741	4.0	203,687
LICENSING AIDE	0AB15A	1.0	45,389	1.0	45,630
<b>Subtotal</b>		<b>15.0</b>	<b>\$1,108,918</b>	<b>15.0</b>	<b>\$1,093,303</b>
Turnover		-	(13,724)	-	-
<b>Subtotal</b>		-	<b>(\$13,724)</b>	-	-
<b>Total Salaries</b>		<b>15.0</b>	<b>\$1,095,194</b>	<b>15.0</b>	<b>\$1,093,303</b>
<b>Benefits</b>					
Payroll Accrual			6,216		6,389
FICA			83,782		83,638
Retiree Health			65,382		65,269
Health Benefits			193,901		213,669
Retirement			267,272		286,266
<b>Subtotal</b>			<b>\$616,553</b>		<b>\$655,231</b>
<b>Total Salaries and Benefits</b>		<b>15.0</b>	<b>\$1,711,747</b>	<b>15.0</b>	<b>\$1,748,534</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$114,116</b>		<b>\$116,569</b>
<b>Statewide Benefit Assessment</b>			<b>\$50,379</b>		<b>\$51,933</b>
<b>Payroll Costs</b>		<b>15.0</b>	<b>\$1,762,126</b>	<b>15.0</b>	<b>\$1,800,467</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			720		720
Management & Consultant Services			27,450		27,450
Other Contracts			640		640
<b>Subtotal</b>			<b>\$28,810</b>		<b>\$28,810</b>
<b>Total Personnel</b>		<b>15.0</b>	<b>\$1,790,936</b>	<b>15.0</b>	<b>\$1,829,277</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		15.0	\$1,790,936	15.0	\$1,829,277
<b>Total All Funds</b>		<b>15.0</b>	<b>\$1,790,936</b>	<b>15.0</b>	<b>\$1,829,277</b>

# The Program

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## Department Of Business Regulation Securities Regulation

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### **Program Mission**

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

### **Program Description**

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2011 the division processed approximately 92,610 licenses and 11,669 registrations, conducted two (2) on-site examination of broker-dealer, investigated 29 complaints, and instituted 23 enforcement actions, pursuant to applicable state and federal laws and regulations.

### **Statutory History**

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

# The Budget

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## Department Of Business Regulation Securities Regulation

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	830,288	898,519	966,197	984,696	1,107,753
<b>Total Expenditures</b>	<b>\$830,288</b>	<b>\$898,519</b>	<b>\$966,197</b>	<b>\$984,696</b>	<b>\$1,107,753</b>
<b>Expenditures By Object</b>					
Personnel	814,393	887,189	948,056	955,055	1,078,134
Operating Supplies and Expenses	13,352	11,240	18,141	29,641	29,619
<b>Subtotal: Operating Expenditures</b>	<b>827,745</b>	<b>898,429</b>	<b>966,197</b>	<b>984,696</b>	<b>1,107,753</b>
Capital Purchases and Equipment	2,543	90	-	-	-
<b>Total Expenditures</b>	<b>\$830,288</b>	<b>\$898,519</b>	<b>\$966,197</b>	<b>\$984,696</b>	<b>\$1,107,753</b>
<b>Expenditures By Funds</b>					
General Revenue	828,132	897,502	962,697	969,696	1,092,753
Restricted Receipts	2,156	1,017	3,500	15,000	15,000
<b>Total Expenditures</b>	<b>\$830,288</b>	<b>\$898,519</b>	<b>\$966,197</b>	<b>\$984,696</b>	<b>\$1,107,753</b>

# Personnel

## Department Of Business Regulation Securities Regulation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	136,898	1.0	137,625
CHIEF SECURITIES EXAMINER	00137A	1.0	77,942	1.0	81,211
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	73,027	1.0	73,415
SENIOR SECURITIES EXAMINER	0AB28A	1.0	67,995	1.0	68,356
SECURITIES EXAMINER	0AB24A	3.0	165,259	3.0	168,499
SYSTEMS ANALYST	0AB24A	1.0	48,603	1.0	50,394
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	42,390	1.0	43,750
LICENSING AIDE	0AB15A	1.0	36,677	1.0	37,609
<b>Subtotal</b>		<b>10.0</b>	<b>\$648,791</b>	<b>10.0</b>	<b>\$660,859</b>
Cost Allocation to Other Programs		-	-	(0.2)	(30,966)
Turnover		-	(76,538)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$76,538)</b>	<b>(0.2)</b>	<b>(\$30,966)</b>
<b>Total Salaries</b>		<b>10.0</b>	<b>\$572,253</b>	<b>9.8</b>	<b>\$629,893</b>
<b>Benefits</b>					
Payroll Accrual			3,244		3,619
FICA			42,823		47,556
Retiree Health			34,164		37,604
Health Benefits			122,718		150,800
Retirement			139,805		165,017
<b>Subtotal</b>			<b>\$342,754</b>		<b>\$404,596</b>
<b>Total Salaries and Benefits</b>		<b>10.0</b>	<b>\$915,007</b>	<b>9.8</b>	<b>\$1,034,489</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$91,501</b>		<b>\$105,560</b>
<b>Statewide Benefit Assessment</b>			<b>\$26,323</b>		<b>\$29,920</b>
<b>Payroll Costs</b>		<b>10.0</b>	<b>\$941,330</b>	<b>9.8</b>	<b>\$1,064,409</b>
<b>Purchased Services</b>					
Management & Consultant Services			13,725		13,725
<b>Subtotal</b>			<b>\$13,725</b>		<b>\$13,725</b>
<b>Total Personnel</b>		<b>10.0</b>	<b>\$955,055</b>	<b>9.8</b>	<b>\$1,078,134</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		10.0	\$955,055	9.8	\$1,078,134
<b>Total All Funds</b>		<b>10.0</b>	<b>\$955,055</b>	<b>9.8</b>	<b>\$1,078,134</b>

# The Program

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## Department Of Business Regulation Insurance Regulation

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### **Program Mission**

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

### **Program Description**

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, and again in June 2009, each time receiving the maximum accreditation period of five years.

### **Statutory History**

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.



# The Budget

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## Department Of Business Regulation Insurance Regulation

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	4,858,147	4,961,419	5,763,467	5,314,577	5,799,785
<b>Total Expenditures</b>	<b>\$4,858,147</b>	<b>\$4,961,419</b>	<b>\$5,763,467</b>	<b>\$5,314,577</b>	<b>\$5,799,785</b>
<b>Expenditures By Object</b>					
Personnel	4,712,308	4,779,514	5,617,095	5,123,205	5,608,413
Operating Supplies and Expenses	136,451	172,184	142,872	187,872	187,872
<b>Subtotal: Operating Expenditures</b>	<b>4,848,759</b>	<b>4,951,698</b>	<b>5,759,967</b>	<b>5,311,077</b>	<b>5,796,285</b>
Capital Purchases and Equipment	9,388	9,721	3,500	3,500	3,500
<b>Total Expenditures</b>	<b>\$4,858,147</b>	<b>\$4,961,419</b>	<b>\$5,763,467</b>	<b>\$5,314,577</b>	<b>\$5,799,785</b>
<b>Expenditures By Funds</b>					
General Revenue	3,682,416	3,582,033	3,885,752	3,553,719	4,007,219
Restricted Receipts	1,175,731	1,379,386	1,877,715	1,760,858	1,792,566
<b>Total Expenditures</b>	<b>\$4,858,147</b>	<b>\$4,961,419</b>	<b>\$5,763,467</b>	<b>\$5,314,577</b>	<b>\$5,799,785</b>

# Personnel

## Department Of Business Regulation Insurance Regulation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS	00144A	1.0	142,121	1.0	142,863
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF	00141A	3.0	366,201	3.0	384,745
CHIEF INSURANCE EXAMINER	00139A	1.0	111,423	1.0	114,771
INSURANCE EXAMINER-IN-CHARGE	0AB36A	6.0	608,541	6.0	632,502
PRINCIPAL INSURANCE EXAMINER	0AB31A	1.0	78,996	1.0	86,240
MARKET CONDUCT EXAMINER IN CHARGE	0AB36A	1.0	75,469	1.0	78,634
PRINCIPAL INSURANCE ANALYST	0AB31A	3.0	217,130	3.0	224,304
ADMINISTRATIVE OFFICER	0AB24A	1.0	63,611	-	-
SENIOR INSURANCE EXAMINER	0AB28A	4.0	242,825	4.0	260,791
SENIOR MARKET CONDUCT EXAMINER	0AB28A	2.0	115,879	2.0	130,993
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	57,215	1.0	57,513
SENIOR INSURANCE ANALYST	0AB28A	2.0	114,213	3.0	176,803
INSURANCE EXAMINER	0AB24A	4.0	198,544	4.0	226,344
CLERK SECRETARY	0AB16A	1.0	48,487	1.0	48,745
INSURANCE ANALYST	0AB24A	1.0	46,487	1.0	48,230
LICENSING AIDE	0AB15A	3.0	129,311	4.0	166,669
<b>Subtotal</b>		<b>35.0</b>	<b>\$2,616,453</b>	<b>36.0</b>	<b>\$2,780,147</b>
<b>Unclassified</b>					
SENIOR ACCOUNTANT	00822A	1.0	52,684	1.0	55,177
<b>Subtotal</b>		<b>1.0</b>	<b>\$52,684</b>	<b>1.0</b>	<b>\$55,177</b>
Turnover		-	(345,403)	-	(260,702)
<b>Subtotal</b>		<b>-</b>	<b>(\$345,403)</b>	<b>-</b>	<b>(\$260,702)</b>
<b>Total Salaries</b>		<b>36.0</b>	<b>\$2,323,734</b>	<b>37.0</b>	<b>\$2,574,622</b>
<b>Benefits</b>					
Payroll Accrual			13,169		14,792
FICA			175,974		195,005
Retiree Health			138,639		153,707
Health Benefits			340,349		396,472
Retirement			563,745		669,747
<b>Subtotal</b>			<b>\$1,231,876</b>		<b>\$1,429,723</b>
<b>Total Salaries and Benefits</b>		<b>36.0</b>	<b>\$3,555,610</b>	<b>37.0</b>	<b>\$4,004,345</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$98,767</b>		<b>\$108,226</b>
<b>Statewide Benefit Assessment</b>			<b>\$106,822</b>		<b>\$122,295</b>
<b>Payroll Costs</b>		<b>36.0</b>	<b>\$3,662,432</b>	<b>37.0</b>	<b>\$4,126,640</b>

# Personnel

## Department Of Business Regulation Insurance Regulation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			200		200
Management & Consultant Services			1,448,125		1,469,125
Legal Services			2,448		2,448
Training and Educational Services			10,000		10,000
<b>Subtotal</b>			<b>\$1,460,773</b>		<b>\$1,481,773</b>
<b>Total Personnel</b>		<b>36.0</b>	<b>\$5,123,205</b>	<b>37.0</b>	<b>\$5,608,413</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1.0	\$3,472,907	2.0	\$3,926,407
Restricted Receipts		35.0	\$1,650,298	35.0	\$1,682,006
<b>Total All Funds</b>		<b>36.0</b>	<b>\$5,123,205</b>	<b>37.0</b>	<b>\$5,608,413</b>

# The Program

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## Department Of Business Regulation Board of Accountancy

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### **Program Mission**

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

### **Program Description**

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the Department of Business Regulation website, which facilitates information to the general public.

### **Statutory History**

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

# The Budget

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## Department Of Business Regulation Board of Accountancy

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,037	4,487	16,654	6,000	6,000
<b>Total Expenditures</b>	<b>\$5,037</b>	<b>\$4,487</b>	<b>\$16,654</b>	<b>\$6,000</b>	<b>\$6,000</b>
<b>Expenditures By Object</b>					
Personnel	-	-	6,885	-	-
Operating Supplies and Expenses	5,037	4,484	9,769	6,000	6,000
<b>Subtotal: Operating Expenditures</b>	<b>5,037</b>	<b>4,484</b>	<b>16,654</b>	<b>6,000</b>	<b>6,000</b>
Capital Purchases and Equipment	-	3	-	-	-
<b>Total Expenditures</b>	<b>\$5,037</b>	<b>\$4,487</b>	<b>\$16,654</b>	<b>\$6,000</b>	<b>\$6,000</b>
<b>Expenditures By Funds</b>					
General Revenue	5,037	4,487	16,654	6,000	6,000
<b>Total Expenditures</b>	<b>\$5,037</b>	<b>\$4,487</b>	<b>\$16,654</b>	<b>\$6,000</b>	<b>\$6,000</b>

# The Program

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## Department Of Business Regulation Commercial Licensing, Racing & Athletics

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### Program Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling, kickboxing and mixed martial arts events in the state.

### Program Description

Commercial Licensing, Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, upholsterers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

In FY 2017, the Governor proposes to license compassion centers, compassion center staff, cultivators, and caregivers for medical marijuana. The program sells plant tags and maintains a tag database. While the Department of Health has licensing authority over patients and authorized purchasers, patients choosing to grow for themselves will be required to purchase plant tags from the Department of Business Regulation. The program interacts with the Department of Health patient and caregiver databases to ensure that only legitimate caregivers are registered.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

### Statutory History

R.I.G.L. §5-58 relates to auctioneers; R.I.G.L. §5-20.5 relates to real estate; R.I.G.L. §5-20.7 relates to real estate appraisers; R.I.G.L. §5-38 relates to automobile body repair shops; R.I.G.L. §5-50 relates to pre-opening of health club sales campaigns; R.I.G.L. §6-31 relates to unit pricing; R.I.G.L. §§23-26 relates to bedding and upholstered furniture; R.I.G.L. §§31-44 & §§31-44.1 relate to mobile and manufactured homes; R.I.G.L. §42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. §§31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. §31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. §3-1 relates to alcoholic beverages. R.I.G.L. §41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

# The Budget

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## Department Of Business Regulation Commercial Licensing, Racing & Athletics

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,043,749	1,093,425	1,220,883	1,288,144	3,223,310
<b>Total Expenditures</b>	<b>\$1,043,749</b>	<b>\$1,093,425</b>	<b>\$1,220,883</b>	<b>\$1,288,144</b>	<b>\$3,223,310</b>
<b>Expenditures By Object</b>					
Personnel	986,460	1,035,962	1,059,597	1,124,583	1,760,024
Operating Supplies and Expenses	53,260	57,363	78,085	80,360	180,085
Assistance and Grants	-	-	80,000	80,000	80,000
<b>Subtotal: Operating Expenditures</b>	<b>1,039,720</b>	<b>1,093,325</b>	<b>1,217,682</b>	<b>1,284,943</b>	<b>2,020,109</b>
Capital Purchases and Equipment	4,029	100	3,201	3,201	1,203,201
<b>Total Expenditures</b>	<b>\$1,043,749</b>	<b>\$1,093,425</b>	<b>\$1,220,883</b>	<b>\$1,288,144</b>	<b>\$3,223,310</b>
<b>Expenditures By Funds</b>					
General Revenue	598,514	620,139	561,821	636,951	1,938,207
Restricted Receipts	445,235	473,286	659,062	651,193	1,285,103
<b>Total Expenditures</b>	<b>\$1,043,749</b>	<b>\$1,093,425</b>	<b>\$1,220,883</b>	<b>\$1,288,144</b>	<b>\$3,223,310</b>

# Personnel

## Department Of Business Regulation Commercial Licensing, Racing & Athletics

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR REAL ESTATE	00135A	1.0	92,552	1.0	93,024
CHIEF LICENSING EXAMINER-RACING &	00133A	1.0	78,737	1.0	79,154
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A <sup>2</sup>	1.0	75,879	3.0	206,984
IMPLEMENTATION AIDE	0AB22A	1.0	57,344	1.0	57,648
PARI-MUTUAL OPERATIONS SPECIALIST	03726A	3.0	159,127	3.0	165,234
LIQUOR CONTROL INVESTIGATOR	0AB18A	1.0	51,992	1.0	36,870
LICENSING AIDE	0AB15A <sup>3</sup>	4.0	167,728	5.0	205,846
CHIEF LICENSING EXAMINER-DIVISION OF COMM	0AB33A	-	-	-	-
CHIEF OF INSPECTIONS	0AB35A <sup>1</sup>	-	-	1.0	73,190
SENIOR LEGAL COUNSEL	00134A <sup>4</sup>	-	-	1.0	70,342
<b>Subtotal</b>		<b>12.0</b>	<b>\$683,359</b>	<b>17.0</b>	<b>\$988,292</b>
Cost Allocation from Other Programs		-	-	0.8	90,231
Temporary and Seasonal		-	43,341	-	8,501
Turnover		-	(17,999)	-	(35,172)
<b>Subtotal</b>		<b>-</b>	<b>\$25,342</b>	<b>0.8</b>	<b>\$63,560</b>
<b>Total Salaries</b>		<b>12.0</b>	<b>\$708,701</b>	<b>17.8</b>	<b>\$1,051,852</b>
<b>Benefits</b>					
Payroll Accrual			3,818		5,497
FICA			54,649		80,186
Retiree Health			40,060		62,288
Health Benefits			120,502		234,908
Retirement			164,946		274,694
<b>Subtotal</b>			<b>\$383,975</b>		<b>\$657,573</b>
<b>Total Salaries and Benefits</b>		<b>12.0</b>	<b>\$1,092,676</b>	<b>17.8</b>	<b>\$1,709,425</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$87,445</b>		<b>\$95,558</b>
<b>Statewide Benefit Assessment</b>			<b>\$30,867</b>		<b>\$49,559</b>
<b>Payroll Costs</b>		<b>12.0</b>	<b>\$1,123,543</b>	<b>17.8</b>	<b>\$1,758,984</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			640		640
Other Contracts			400		400
<b>Subtotal</b>			<b>\$1,040</b>		<b>\$1,040</b>
<b>Total Personnel</b>		<b>12.0</b>	<b>\$1,124,583</b>	<b>17.8</b>	<b>\$1,760,024</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		8.0	\$626,050	8.8	\$627,581
Restricted Receipts		4.0	\$498,533	9.0	\$1,132,443
<b>Total All Funds</b>		<b>12.0</b>	<b>\$1,124,583</b>	<b>17.8</b>	<b>\$1,760,024</b>

1 1.0 FTE Position for Medical Marijuana Program

2 2.0 FTE Positions for Medical Marijuana Program

3 1.0 FTE Position for Medical Marijuana Program

4 1.0 FTE Position for Medical Marijuana Program



# The Program

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## Department Of Business Regulation Boards for Design Professionals

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### **Program Mission**

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

### **Program Description**

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

### **Statutory History**

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

# The Budget

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## Department Of Business Regulation Boards for Design Professionals

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	253,105	258,827	273,009	263,547	273,080
<b>Total Expenditures</b>	<b>\$253,105</b>	<b>\$258,827</b>	<b>\$273,009</b>	<b>\$263,547</b>	<b>\$273,080</b>
<b>Expenditures By Object</b>					
Personnel	198,474	206,209	215,444	205,982	215,527
Operating Supplies and Expenses	53,849	52,603	57,565	57,565	57,553
<b>Subtotal: Operating Expenditures</b>	<b>252,323</b>	<b>258,812</b>	<b>273,009</b>	<b>263,547</b>	<b>273,080</b>
Capital Purchases and Equipment	782	15	-	-	-
<b>Total Expenditures</b>	<b>\$253,105</b>	<b>\$258,827</b>	<b>\$273,009</b>	<b>\$263,547</b>	<b>\$273,080</b>
<b>Expenditures By Funds</b>					
General Revenue	253,105	258,827	273,009	263,547	273,080
<b>Total Expenditures</b>	<b>\$253,105</b>	<b>\$258,827</b>	<b>\$273,009</b>	<b>\$263,547</b>	<b>\$273,080</b>

# Personnel

## Department Of Business Regulation Boards for Design Professionals

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ADMINISTRATIVE ASSISTANT	00823A	1.0	65,265	1.0	66,527
ADMINISTRATIVE SUPPORT SPECIALIST	00324A	1.0	62,278	1.0	65,462
<b>Subtotal</b>		<b>2.0</b>	<b>\$127,543</b>	<b>2.0</b>	<b>\$131,989</b>
<b>Total Salaries</b>		<b>2.0</b>	<b>\$127,543</b>	<b>2.0</b>	<b>\$131,989</b>
<b>Benefits</b>					
Payroll Accrual			723		758
FICA			9,757		10,097
Retiree Health			7,614		7,880
Health Benefits			23,127		23,888
Retirement			30,151		33,446
<b>Subtotal</b>			<b>\$71,372</b>		<b>\$76,069</b>
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$198,915</b>	<b>2.0</b>	<b>\$208,058</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$99,458</b>		<b>\$104,029</b>
<b>Statewide Benefit Assessment</b>			<b>\$5,867</b>		<b>\$6,269</b>
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$204,782</b>	<b>2.0</b>	<b>\$214,327</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			1,200		1,200
<b>Subtotal</b>			<b>\$1,200</b>		<b>\$1,200</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$205,982</b>	<b>2.0</b>	<b>\$215,527</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		2.0	\$205,982	2.0	\$215,527
<b>Total All Funds</b>		<b>2.0</b>	<b>\$205,982</b>	<b>2.0</b>	<b>\$215,527</b>

# The Program

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## Department Of Business Regulation Office of Health Insurance Commissioner

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### **Program Mission**

To guard the solvency of health insurers; To protect the interests of consumers;  
To encourage fair treatment of health care providers;

To encourage policies and developments that improve the quality and efficiency of health care service delivery and outcomes;

To view the health care system as a comprehensive entity and encourage and direct insurers towards policies that advance the welfare of the public through overall efficiency, improved health care quality, and appropriate access.

### **Program Description**

The 2004 General Assembly established The Office of the Health Insurance Commissioner (OHIC). The Health Insurance Commissioner is appointed by the Governor with the advice and consent of the Senate.

OHIC staff is statutorily responsible for the regulation of Health Insurers and Hospital/Medical Service Corporations. These include but are not limited to company licensure, form and rate filing for regulatory compliance, and financial and market conduct examinations. It is also engaged in policy and legislative development, rate hearing administration, regulatory development and promulgation, consumer affairs and provider affairs and coordination with provisions of the Affordable Care Act.

The Department of Business Regulation shares certain administrative and regulatory services and personnel with OHIC as directed by statute.

### **Statutory History**

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the R.I.G.L. Titles 27 and 42.

# The Budget

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## Department Of Business Regulation Office of Health Insurance Commissioner

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	2,543,384	2,640,165	3,341,757	3,273,824	3,293,573
<b>Total Expenditures</b>	<b>\$2,543,384</b>	<b>\$2,640,165</b>	<b>\$3,341,757</b>	<b>\$3,273,824</b>	<b>\$3,293,573</b>
<b>Expenditures By Object</b>					
Personnel	2,540,037	2,616,814	3,300,736	3,232,822	3,219,323
Operating Supplies and Expenses	(585)	22,068	41,021	41,002	74,250
<b>Subtotal: Operating Expenditures</b>	<b>2,539,452</b>	<b>2,638,882</b>	<b>3,341,757</b>	<b>3,273,824</b>	<b>3,293,573</b>
Capital Purchases and Equipment	3,932	1,283	-	-	-
<b>Total Expenditures</b>	<b>\$2,543,384</b>	<b>\$2,640,165</b>	<b>\$3,341,757</b>	<b>\$3,273,824</b>	<b>\$3,293,573</b>
<b>Expenditures By Funds</b>					
General Revenue	501,668	419,595	535,017	493,420	2,181,363
Federal Funds	2,038,842	2,216,757	2,795,240	2,768,904	1,100,710
Restricted Receipts	2,874	3,813	11,500	11,500	11,500
<b>Total Expenditures</b>	<b>\$2,543,384</b>	<b>\$2,640,165</b>	<b>\$3,341,757</b>	<b>\$3,273,824</b>	<b>\$3,293,573</b>

# Personnel

## Department Of Business Regulation Office of Health Insurance Commissioner

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
HEALTH INSURANCE COMMISSIONER	00854A	1.0	190,988	1.0	195,428
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	1.0	122,857	1.0	123,907
ASSOCIATE DIRECTOR FOR PLANNING, POLICY & DEPUTY EXECUTIVE	00843A	2.0	228,682	2.0	239,413
ASSISTANT DIRECTOR POLICY OFFICE	00839A	1.0	100,725	1.0	103,109
PRINCIPAL POLICY ASSOCIATE	00837A	3.0	284,683	3.0	296,215
EXECUTIVE DIRECTOR	00836A	1.0	88,682	1.0	90,456
SPECIAL PROJECTS COORDINATOR	00829A	1.0	73,027	1.0	73,415
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	00828A	1.0	62,951	1.0	66,413
ADMINISTRATIVE OFFICER	00822A	1.0	44,915	1.0	46,555
<b>Subtotal</b>		<b>13.0</b>	<b>\$1,303,927</b>	<b>13.0</b>	<b>\$1,343,085</b>
Turnover		-	(52,069)	-	-
<b>Subtotal</b>		-	<b>(\$52,069)</b>	-	-
<b>Total Salaries</b>		<b>13.0</b>	<b>\$1,251,858</b>	<b>13.0</b>	<b>\$1,343,085</b>
<b>Benefits</b>					
Payroll Accrual			7,140		7,763
FICA			91,373		98,349
Retiree Health			74,736		80,183
Health Benefits			111,528		126,553
Retirement			309,272		354,592
<b>Subtotal</b>			<b>\$594,049</b>		<b>\$667,440</b>
<b>Total Salaries and Benefits</b>		<b>13.0</b>	<b>\$1,845,907</b>	<b>13.0</b>	<b>\$2,010,525</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$141,993</b>		<b>\$154,656</b>
<b>Statewide Benefit Assessment</b>			<b>\$57,583</b>		<b>\$63,798</b>
<b>Payroll Costs</b>		<b>13.0</b>	<b>\$1,903,490</b>	<b>13.0</b>	<b>\$2,074,323</b>
<b>Purchased Services</b>					
Management & Consultant Services			1,329,332		1,145,000
<b>Subtotal</b>			<b>\$1,329,332</b>		<b>\$1,145,000</b>
<b>Total Personnel</b>		<b>13.0</b>	<b>\$3,232,822</b>	<b>13.0</b>	<b>\$3,219,323</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		4.0	\$480,229	4.0	\$2,132,983
Federal Funds		9.0	\$2,752,593	9.0	\$1,086,340
<b>Total All Funds</b>		<b>13.0</b>	<b>\$3,232,822</b>	<b>13.0</b>	<b>\$3,219,323</b>

# Agency

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## Executive Office Of Commerce

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### Agency Mission

The Executive Office of Commerce is authorized and established as the State's lead agency for economic development throughout Rhode Island for the following purposes:

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State.

To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

### Agency Description

The Executive Office of Commerce serves as the principal agency of the executive branch of State government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency operates the following functions:

Department of Business Regulation

Office of Health Insurance Commissioner

Housing and Community Development

Rhode Island Commerce Corporation (and all pass-through grant appropriations)

I-195 Redevelopment District Commission

Economic Development Initiatives Fund

Commerce Programs

Other special legislative programs and initiatives

### Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

# Budget

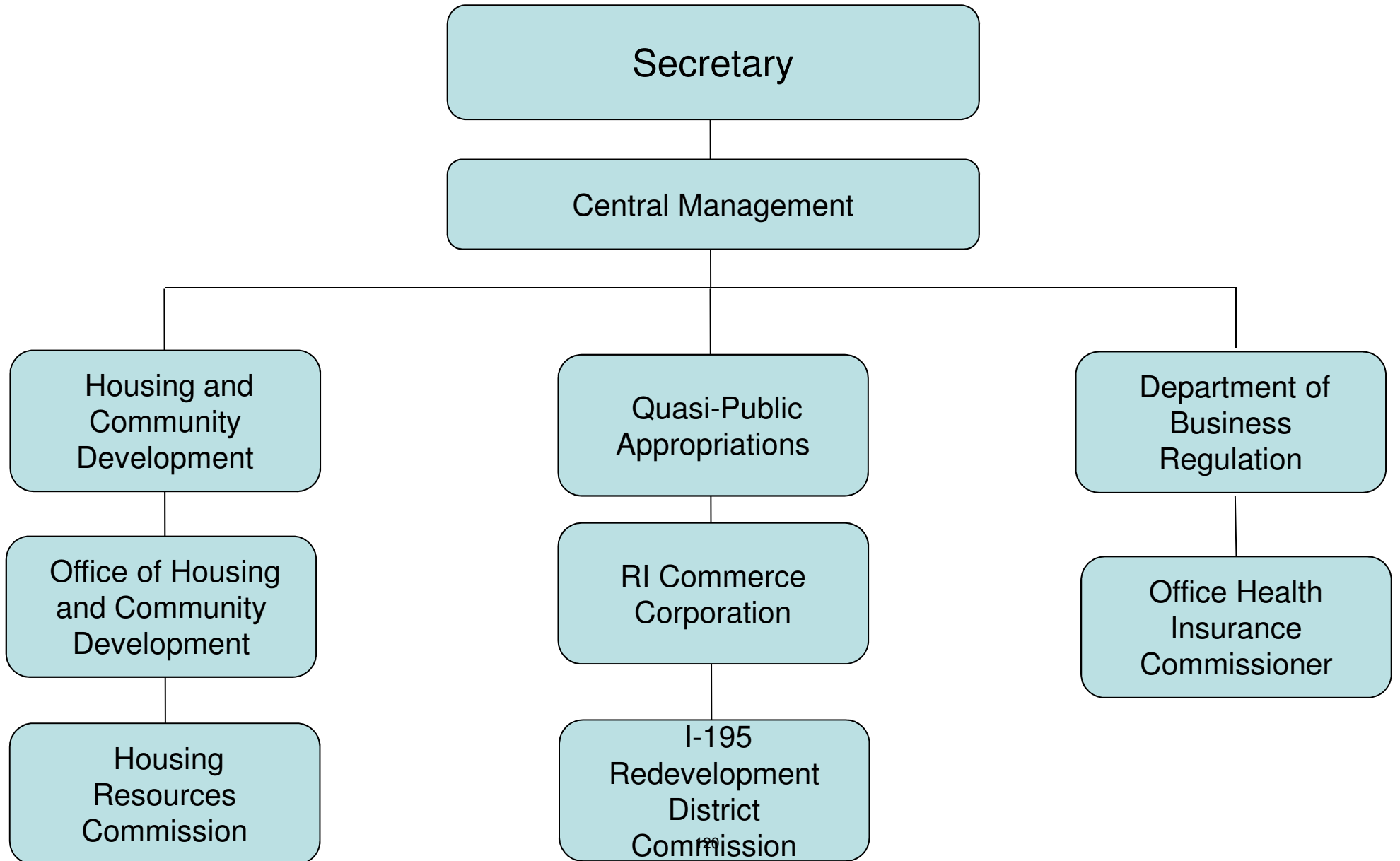
## Executive Office Of Commerce

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	-	203,145	956,254	952,964	1,200,198
Housing and Community Development	-	-	14,376,885	15,664,351	20,658,132
Quasi-Public Appropriations	-	-	16,133,206	16,303,511	13,014,018
Economic Development Initiatives Fund	-	-	43,458,000	43,458,000	35,000,000
Commerce Programs	-	-	-	-	13,750,000
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$74,924,345</b>	<b>\$76,378,826</b>	<b>\$83,622,348</b>
<b>Expenditures By Object</b>					
Personnel	-	134,648	2,137,602	2,037,670	2,386,349
Operating Supplies and Expenses	-	100	98,558	307,835	101,981
Assistance and Grants	-	-	15,268,471	16,443,302	21,109,492
<b>Subtotal: Operating Expenditures</b>	-	<b>134,748</b>	<b>17,504,631</b>	<b>18,788,807</b>	<b>23,597,822</b>
Capital Purchases and Equipment	-	-	305,000	305,000	300,000
Operating Transfers	-	68,397	57,114,714	57,285,019	59,724,526
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$74,924,345</b>	<b>\$76,378,826</b>	<b>\$83,622,348</b>
<b>Expenditures By Funds</b>					
General Revenue	-	203,145	60,840,542	61,014,948	63,281,421
Federal Funds	-	-	10,983,803	11,063,878	15,290,927
Restricted Receipts	-	-	2,800,000	4,000,000	4,750,000
Operating Transfers from Other Funds	-	-	300,000	300,000	300,000
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$74,924,345</b>	<b>\$76,378,826</b>	<b>\$83,622,348</b>
<b>FTE Authorization</b>	<b>0.0</b>	<b>5.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>



# The Agency

Executive Office of Commerce



# Personnel

## Executive Office Of Commerce Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		11.0	771,306	11.0	782,265
Unclassified		5.0	730,620	5.0	744,370
<b>Subtotal</b>		<b>16.0</b>	<b>\$1,501,926</b>	<b>16.0</b>	<b>\$1,526,635</b>
Interdepartmental Transfer		-	11,798	-	26,214
Turnover		-	(\$191,648)	-	(\$66,874)
<b>Subtotal</b>		<b>-</b>	<b>(\$179,850)</b>	<b>-</b>	<b>(\$40,660)</b>
<b>Total Salaries</b>		<b>16.0</b>	<b>\$1,322,076</b>	<b>16.0</b>	<b>\$1,485,975</b>
<b>Benefits</b>					
Payroll Accrual			7,514		8,565
FICA			91,103		104,014
Retiree Health			78,928		88,711
Health Benefits			151,688		177,306
Retirement			325,546		391,193
<b>Subtotal</b>			<b>\$654,779</b>		<b>\$769,789</b>
<b>Total Salaries and Benefits</b>		<b>16.0</b>	<b>\$1,976,855</b>	<b>16.0</b>	<b>\$2,255,764</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$123,553</b>		<b>\$140,985</b>
<b>Statewide Benefit Assessment</b>			<b>\$60,815</b>		<b>\$70,585</b>
<b>Payroll Costs</b>		<b>16.0</b>	<b>\$2,037,670</b>	<b>16.0</b>	<b>\$2,326,349</b>
<b>Purchased Services</b>					
Management & Consultant Services			-		60,000
<b>Subtotal</b>			<b>-</b>		<b>\$60,000</b>
<b>Total Personnel</b>		<b>16.0</b>	<b>\$2,037,670</b>	<b>16.0</b>	<b>\$2,386,349</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		10.2	\$1,476,591	10.2	\$1,751,411
Federal Funds		5.8	\$561,079	5.8	\$634,938
<b>Total All Funds</b>		<b>16.0</b>	<b>\$2,037,670</b>	<b>16.0</b>	<b>\$2,386,349</b>

# Performance Measures

## Executive Office Of Commerce

### ***New Jobs Created with Assistance from State Incentive Programs***

The figures below represent the number of new jobs created since January 2016 by businesses that received assistance from State incentive programs. [Note: Commerce developed new performance measures as part of the FY 2017 budget process; historical data are not available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	250	1,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

### ***New Development Created with Assistance from State Incentive Programs***

The figures below represent the square feet of new development created since January 2016 with assistance from State incentive programs. [Note: Commerce developed new performance measures as part of the FY 2017 budget process; historical data are not available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	180,000	600,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

### ***Private Capital Leveraged for New Development***

The figures below represent the total dollars of private capital leveraged for new development since January 2016. [Note: Commerce developed new performance measures as part of the FY 2017 budget process; historical data are not available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	\$48,000,000	\$160,000,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

### ***Businesses Assisted by Client Services Team***

The figures below represent the number of businesses assisted by the Commerce Corporation's Client Services Team since January 2016. [Note: Commerce developed new performance measures as part of the FY 2017 budget process; historical data are not available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	2,500	5,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

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## Executive Office Of Commerce

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### *Tourist and Visitor Expenditures*

The figures below represent the increase in annual tourist and visitor expenditures over the baseline of 2015. [Note: Commerce developed new performance measures as part of the FY 2017 budget process; historical data are not available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	\$50,000,000	\$200,000,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

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# The Program

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## Executive Office Of Commerce Central Management

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### **Program Mission**

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

### **Program Description**

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of the agency.

### **Statutory History**

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

# The Budget

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## Executive Office Of Commerce Central Management

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	-	203,145	956,254	952,964	1,200,198
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$956,254</b>	<b>\$952,964</b>	<b>\$1,200,198</b>
<b>Expenditures By Object</b>					
Personnel	-	134,648	900,254	886,708	1,144,796
Operating Supplies and Expenses	-	100	51,000	61,256	55,402
<b>Subtotal: Operating Expenditures</b>	-	<b>134,748</b>	<b>951,254</b>	<b>947,964</b>	<b>1,200,198</b>
Capital Purchases and Equipment	-	-	5,000	5,000	-
Operating Transfers	-	68,397	-	-	-
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$956,254</b>	<b>\$952,964</b>	<b>\$1,200,198</b>
<b>Expenditures By Funds</b>					
General Revenue	-	203,145	956,254	952,964	1,200,198
<b>Total Expenditures</b>	-	<b>\$203,145</b>	<b>\$956,254</b>	<b>\$952,964</b>	<b>\$1,200,198</b>

# Personnel

## Executive Office Of Commerce Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
SECRETARY OF COMMERCE	00856A	1.0	205,706	1.0	205,706
DEPUTY SECRETARY OF COMMERCE	00853A	1.0	164,497	1.0	170,460
CHIEF OF STAFF (OFFICE OF COMMERCE)	00847A	1.0	141,259	1.0	141,259
GENERAL COUNSEL (OFFICE OF COMMERCE)	00846A	1.0	136,489	1.0	136,489
EXECUTIVE ASSISTANT	00836A	1.0	82,669	1.0	90,456
<b>Subtotal</b>		<b>5.0</b>	<b>\$730,620</b>	<b>5.0</b>	<b>\$744,370</b>
Interdepartmental Transfer		-	11,798	-	26,214
Turnover		-	(152,511)	-	(26,214)
<b>Subtotal</b>		<b>-</b>	<b>(\$140,713)</b>	<b>-</b>	<b>-</b>
<b>Total Salaries</b>		<b>5.0</b>	<b>\$589,907</b>	<b>5.0</b>	<b>\$744,370</b>
<b>Benefits</b>					
Payroll Accrual			3,331		4,271
FICA			35,087		47,278
Retiree Health			35,216		44,438
Health Benefits			50,679		73,012
Retirement			145,354		196,069
<b>Subtotal</b>			<b>\$269,667</b>		<b>\$365,068</b>
<b>Total Salaries and Benefits</b>		<b>5.0</b>	<b>\$859,574</b>	<b>5.0</b>	<b>\$1,109,438</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$171,915</b>		<b>\$221,888</b>
<b>Statewide Benefit Assessment</b>			<b>\$27,134</b>		<b>\$35,358</b>
<b>Payroll Costs</b>		<b>5.0</b>	<b>\$886,708</b>	<b>5.0</b>	<b>\$1,144,796</b>
<b>Total Personnel</b>		<b>5.0</b>	<b>\$886,708</b>	<b>5.0</b>	<b>\$1,144,796</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		5.0	\$886,708	5.0	\$1,144,796
<b>Total All Funds</b>		<b>5.0</b>	<b>\$886,708</b>	<b>5.0</b>	<b>\$1,144,796</b>

# The Program

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## Executive Office Of Commerce Housing and Community Development

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### **Program Mission**

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, federal Community Development Block (CDBG) program, and related programs.

To provide opportunities for healthy and affordable housing through production, lead hazard mitigation, and the coordination of the homeless system and implementation of the State's plan to end homelessness.

### **Program Description**

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers the federal Community Development Block (CDBG) Grant and related programs.

The Housing Resources Commission (HRC) is responsible for the disbursement of a new rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

### **Statutory History**

RIGL 42-64.19-7(h) transfers the housing and community development functions from the Department of Administration to the Executive Office of Commerce.



# The Budget

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## Executive Office Of Commerce Housing and Community Development

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Housing and Community Development	-	-	11,576,885	11,664,351	15,908,132
Housing Resources Commission	-	-	2,800,000	4,000,000	4,750,000
<b>Total Expenditures</b>	-	-	<b>\$14,376,885</b>	<b>\$15,664,351</b>	<b>\$20,658,132</b>
<b>Expenditures By Object</b>					
Personnel	-	-	1,237,348	1,150,962	1,241,553
Operating Supplies and Expenses	-	-	47,558	246,579	46,579
Assistance and Grants	-	-	13,091,979	14,266,810	19,370,000
<b>Subtotal: Operating Expenditures</b>	-	-	<b>14,376,885</b>	<b>15,664,351</b>	<b>20,658,132</b>
<b>Total Expenditures</b>	-	-	<b>\$14,376,885</b>	<b>\$15,664,351</b>	<b>\$20,658,132</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	593,082	600,473	617,205
Federal Funds	-	-	10,983,803	11,063,878	15,290,927
Restricted Receipts	-	-	2,800,000	4,000,000	4,750,000
<b>Total Expenditures</b>	-	-	<b>\$14,376,885</b>	<b>\$15,664,351</b>	<b>\$20,658,132</b>

# Personnel

## Executive Office Of Commerce Housing and Community Development

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF OFFICE OF HOUSING AND COMMUNITY	00138A	1.0	105,470	1.0	106,029
PROGRAMMING SERVICES OFFICER	00131A	1.0	76,320	1.0	76,726
PRINCIPAL PLANNER	03529A	1.0	73,645	1.0	74,036
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00133A	2.0	146,962	2.0	150,770
HOUSING COMMISSION COORDINATOR	00128A	3.0	200,413	3.0	201,476
PRINCIPAL PLANNER	00829A	2.0	129,359	2.0	132,568
EXECUTIVE ASSISTANT	00118A	1.0	39,137	1.0	40,660
<b>Subtotal</b>		<b>11.0</b>	<b>\$771,306</b>	<b>11.0</b>	<b>\$782,265</b>
Turnover		-	(39,137)	-	(40,660)
<b>Subtotal</b>		<b>-</b>	<b>(\$39,137)</b>	<b>-</b>	<b>(\$40,660)</b>
<b>Total Salaries</b>		<b>11.0</b>	<b>\$732,169</b>	<b>11.0</b>	<b>\$741,605</b>
<b>Benefits</b>					
Payroll Accrual			4,183		4,294
FICA			56,016		56,736
Retiree Health			43,712		44,273
Health Benefits			101,009		104,294
Retirement			180,192		195,124
<b>Subtotal</b>			<b>\$385,112</b>		<b>\$404,721</b>
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>\$1,117,281</b>	<b>11.0</b>	<b>\$1,146,326</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$101,571</b>		<b>\$104,211</b>
<b>Statewide Benefit Assessment</b>			<b>\$33,681</b>		<b>\$35,227</b>
<b>Payroll Costs</b>		<b>11.0</b>	<b>\$1,150,962</b>	<b>11.0</b>	<b>\$1,181,553</b>
<b>Purchased Services</b>					
Management & Consultant Services			-		60,000
<b>Subtotal</b>			<b>-</b>		<b>\$60,000</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>\$1,150,962</b>	<b>11.0</b>	<b>\$1,241,553</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		5.2	\$589,883	5.2	\$606,615
Federal Funds		5.8	\$561,079	5.8	\$634,938
<b>Total All Funds</b>		<b>11.0</b>	<b>\$1,150,962</b>	<b>11.0</b>	<b>\$1,241,553</b>

# The Program

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## Executive Office Of Commerce Quasi-Public Appropriations

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### **Program Mission**

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and special legislative programs and initiatives.

### **Program Description**

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and special legislative programs and initiatives.

### **Statutory History**

RIGL 42-64.19-6(1) charges the Secretary of Commerce to supervise the work of the Rhode Island Commerce Corporation. RIGL 42-64 establishes the Rhode Island Commerce Corporation.

RIGL 42-64.14 creates the I-195 Redevelopment Act of 2011. RIGL 42-64.14-5 creates the I-195 Redevelopment District Commission.

# The Budget

## Executive Office Of Commerce Quasi-Public Appropriations

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
RI Commerce Corporation	-	-	7,394,514	7,394,514	7,536,126
RI Commerce Corp. - Executive Office of Commerce Programs	-	-	3,100,000	3,100,000	-
RI Commerce Corporation Pass Through Grants	-	-	4,577,692	4,577,692	4,305,692
I-195 Redevelopment District	-	-	1,061,000	1,231,305	1,172,200
<b>Total Expenditures</b>	-	-	<b>\$16,133,206</b>	<b>\$16,303,511</b>	<b>\$13,014,018</b>
<b>Expenditures By Object</b>					
Assistance and Grants	-	-	2,176,492	2,176,492	1,739,492
<b>Subtotal: Operating Expenditures</b>	-	-	<b>2,176,492</b>	<b>2,176,492</b>	<b>1,739,492</b>
Capital Purchases and Equipment	-	-	300,000	300,000	300,000
Operating Transfers	-	-	13,656,714	13,827,019	10,974,526
<b>Total Expenditures</b>	-	-	<b>\$16,133,206</b>	<b>\$16,303,511</b>	<b>\$13,014,018</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	15,833,206	16,003,511	12,714,018
Operating Transfers from Other Funds	-	-	300,000	300,000	300,000
<b>Total Expenditures</b>	-	-	<b>\$16,133,206</b>	<b>\$16,303,511</b>	<b>\$13,014,018</b>

# The Program

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Executive Office Of Commerce

Economic Development Initiatives Fund

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## **Program Mission**

The Governor's recommendation includes \$78.5 million in general revenue over two years for an Economic Development Initiatives Fund, which is financed through the restructuring of the State's debt beginning in FY 2016. This fund will be utilized for economic development initiatives and programs.

## **Program Description**

This program includes those economic development initiatives and programs financed through debt refinancing proceeds that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

## **Statutory History**

The Governor proposes the Economic Development Initiatives Fund as part of the FY 2016 and FY 2017 Appropriations Acts.

# The Budget

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## Executive Office Of Commerce Economic Development Initiatives Fund

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Economic Development Initiatives Fund	-	-	43,458,000	43,458,000	35,000,000
<b>Total Expenditures</b>	-	-	<b>\$43,458,000</b>	<b>\$43,458,000</b>	<b>\$35,000,000</b>
<b>Expenditures By Object</b>					
Operating Transfers	-	-	43,458,000	43,458,000	35,000,000
<b>Total Expenditures</b>	-	-	<b>\$43,458,000</b>	<b>\$43,458,000</b>	<b>\$35,000,000</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	43,458,000	43,458,000	35,000,000
<b>Total Expenditures</b>	-	-	<b>\$43,458,000</b>	<b>\$43,458,000</b>	<b>\$35,000,000</b>

# The Program

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## Executive Office Of Commerce Commerce Programs

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### **Program Mission**

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

### **Program Description**

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

### **Statutory History**

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

# The Budget

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## Executive Office Of Commerce Commerce Programs

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Economic Initiatives	-	-	-	-	13,750,000
<b>Total Expenditures</b>	-	-	-	-	<b>\$13,750,000</b>
<b>Expenditures By Object</b>					
Operating Transfers	-	-	-	-	13,750,000
<b>Total Expenditures</b>	-	-	-	-	<b>\$13,750,000</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	13,750,000
<b>Total Expenditures</b>	-	-	-	-	<b>\$13,750,000</b>



# Agency

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## Department Of Labor And Training

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### Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

### Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services to Rhode Island's job seekers and business community. It executes programs and administers laws governing the following six program areas: Executive Management, Income Support, Workforce Development Services and Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), and the Police and Fire Relief Fund(P&F).

The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers' with skilled workers. The program includes the Governor's Workforce Board (State Workforce Investment Board and the Human Resource Investment Council) which was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. John E. Donley Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unites educates and provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

### Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

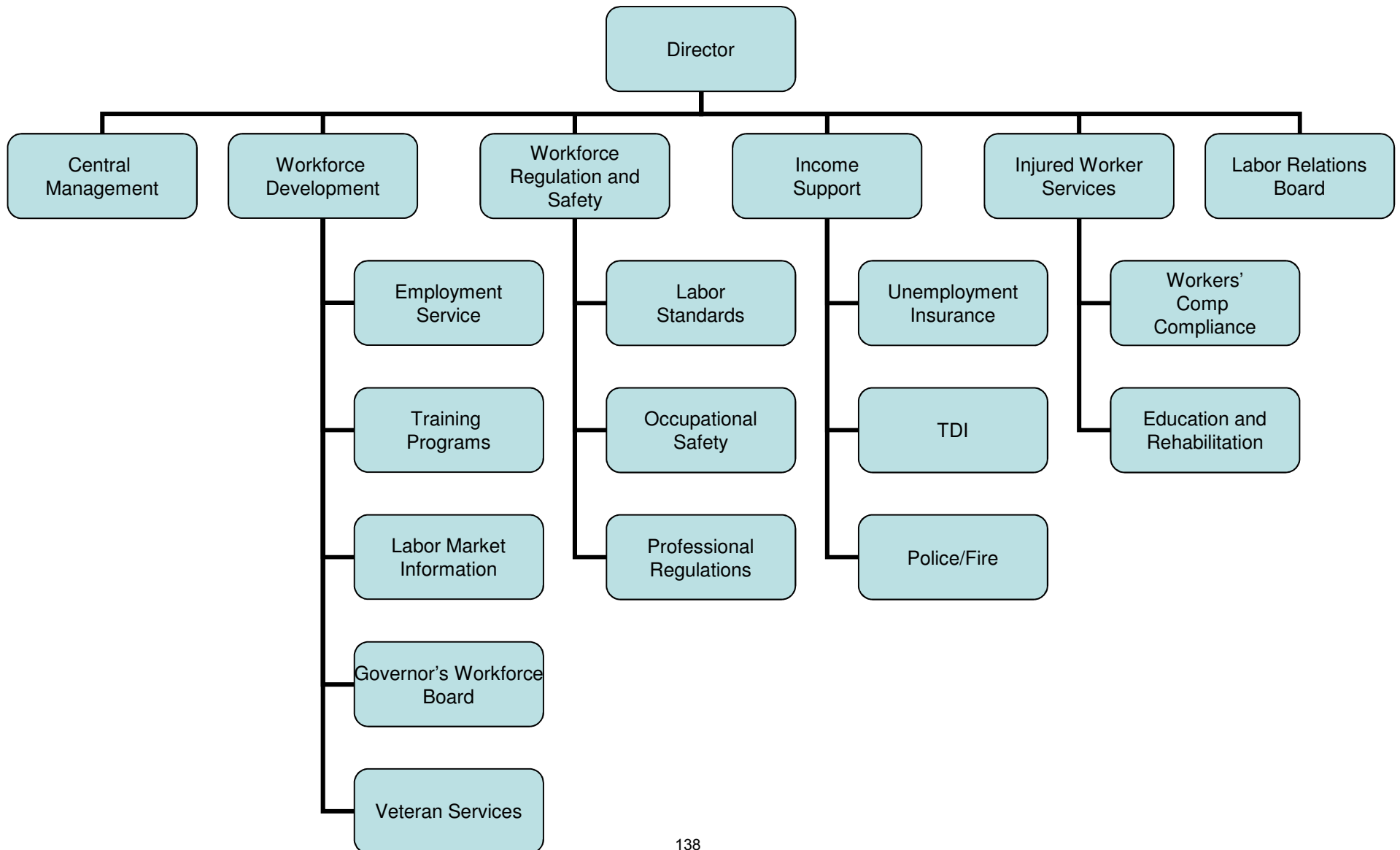
# Budget

## Department Of Labor And Training

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	697,641	679,226	2,236,803	3,646,830	2,554,448
Workforce Development Services	28,651,030	30,527,203	30,519,841	56,107,453	39,205,719
Workforce Regulation and Safety	3,004,733	2,792,826	2,925,633	2,942,475	2,935,554
Income Support	470,573,459	386,704,180	399,156,134	377,624,865	368,318,420
Injured Workers Services	8,035,081	8,128,507	8,501,946	8,719,425	8,662,501
Labor Relations Board	386,646	381,678	389,651	400,005	402,491
<b>Total Expenditures</b>	<b>\$511,348,590</b>	<b>\$429,213,620</b>	<b>\$443,730,008</b>	<b>\$449,441,053</b>	<b>\$422,079,133</b>
<b>Expenditures By Object</b>					
Personnel	41,823,749	42,208,004	45,969,573	50,668,176	45,919,177
Operating Supplies and Expenses	4,591,947	5,288,429	4,294,723	4,836,096	4,476,159
Assistance and Grants	433,828,590	358,778,871	383,255,728	374,709,020	363,582,771
Aid to Local Units of Government	9,664	76,960	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>480,253,950</b>	<b>406,352,264</b>	<b>433,520,024</b>	<b>430,213,292</b>	<b>413,978,107</b>
Capital Purchases and Equipment	249,619	839,163	1,953,242	3,840,217	2,012,606
Debt Service (Fixed Charges)	3,024,016	350,259	-	35,000	-
Operating Transfers	27,821,005	21,671,934	8,256,742	15,352,544	6,088,420
<b>Total Expenditures</b>	<b>\$511,348,590</b>	<b>\$429,213,620</b>	<b>\$443,730,008</b>	<b>\$449,441,053</b>	<b>\$422,079,133</b>
<b>Expenditures By Funds</b>					
General Revenue	8,027,721	8,553,272	8,324,769	8,472,717	10,322,779
Federal Funds	72,019,823	35,913,567	38,164,061	56,691,604	38,514,938
Restricted Receipts	36,675,422	35,172,518	21,495,150	39,298,684	23,878,696
Operating Transfers from Other Funds	142,532	225,691	1,756,691	2,743,049	1,905,000
Other Funds	394,483,092	349,348,572	373,989,337	342,234,999	347,457,720
<b>Total Expenditures</b>	<b>\$511,348,590</b>	<b>\$429,213,620</b>	<b>\$443,730,008</b>	<b>\$449,441,053</b>	<b>\$422,079,133</b>
<b>FTE Authorization</b>	<b>410.0</b>	<b>410.0</b>	<b>410.0</b>	<b>416.5</b>	<b>416.5</b>

# The Agency

## Department of Labor and Training



# Personnel

## Department Of Labor And Training Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		400.0	23,965,381	400.0	24,130,969
Unclassified		16.5	1,614,532	16.5	1,618,633
<b>Subtotal</b>		<b>416.5</b>	<b>\$25,579,913</b>	<b>416.5</b>	<b>\$25,749,602</b>
Cost Allocation from Other Programs		71.0	3,560,250	41.0	2,144,593
Cost Allocation to Other Programs		(71.0)	(\$3,560,250)	(41.0)	(\$2,144,593)
Overtime		-	600,000	-	400,000
Turnover		-	(\$935,613)	-	(\$1,898,122)
<b>Subtotal</b>		<b>-</b>	<b>(\$335,613)</b>	<b>-</b>	<b>(\$1,498,122)</b>
<b>Total Salaries</b>		<b>416.5</b>	<b>\$25,244,300</b>	<b>416.5</b>	<b>\$24,251,480</b>
<b>Benefits</b>					
Payroll Accrual			139,817		137,260
FICA			1,885,285		1,910,829
Retiree Health			1,529,079		1,474,773
Health Benefits			4,988,646		4,994,173
Retirement			6,052,583		6,262,190
<b>Subtotal</b>			<b>\$14,595,410</b>		<b>\$14,779,225</b>
<b>Total Salaries and Benefits</b>		<b>416.5</b>	<b>\$39,839,710</b>	<b>416.5</b>	<b>\$39,030,705</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$95,654</b>		<b>\$93,711</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,122,362</b>		<b>\$1,129,664</b>
<b>Payroll Costs</b>		<b>416.5</b>	<b>\$40,962,072</b>	<b>416.5</b>	<b>\$40,160,369</b>
<b>Purchased Services</b>					
Buildings and Ground Maintenance			19,908		20,082
Training and Educational Services			137,550		138,912
Legal Services			300,776		275,816
Other Contracts			334,156		337,108
Information Technology			7,162,156		3,241,509
Clerical and Temporary Services			72,640		62,854
Management & Consultant Services			6,205		6,267
Medical Services			1,672,713		1,676,260
<b>Subtotal</b>			<b>\$9,706,104</b>		<b>\$5,758,808</b>
<b>Total Personnel</b>		<b>416.5</b>	<b>\$50,668,176</b>	<b>416.5</b>	<b>\$45,919,177</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		30.7	\$3,295,773	30.7	\$3,276,896
Federal Funds		280.4	\$26,549,098	270.4	\$23,625,343
Restricted Receipts		53.8	\$14,126,801	53.8	\$12,160,802
Other Funds		51.6	\$6,696,504	61.6	\$6,856,136
<b>Total All Funds</b>		<b>416.5</b>	<b>\$50,668,176</b>	<b>416.5</b>	<b>\$45,919,177</b>

# Performance Measures

## Department Of Labor And Training

### *Timeliness of Unemployment Insurance (UI) First Benefit Payments*

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Note: Calendar year 2015 data is as of 10/31/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	87%	87%	87%	87%	90%
<b>Actual</b>	76.1%	77.3%	84.6%	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of UI Adjudication Decisions*

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Note: Calendar year 2015 data is as of 10/31/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	80%	80%	80%	80%	85%
<b>Actual</b>	23.8%	30.6%	50.1%	--	--

Performance for this measure is reported by calendar year.

### *UI Call Center Wait Times*

The figures below represent the average amount of time a caller spends on hold before reaching an agent in the UI call center. [Note: Calendar year 2015 data is as of 12/16/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	40 Minutes	33 Minutes	25 Minutes	20 Minutes	15 Minutes
<b>Actual</b>	39 Minutes	30 Minutes	25 Minutes	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Labor Standards Case Closure*

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner. [Note: Calendar year 2015 data is as of 12/15/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	50%	75%
<b>Actual</b>	10%	10%	30%	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Department Of Labor And Training

### *Real Jobs Rhode Island Employer Engagement*

The figures below represent the number of employers participating in Real Jobs Partnerships.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	100	80	80
<b>Actual</b>	--	--	186	--	--

Performance for this measure is reported by calendar year.

### *Real Jobs Rhode Island Job Placements*

The figures below represent job placements through Real Jobs Partnerships.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	600	1,000
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

# The Program

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## Department Of Labor And Training Central Management

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### **Program Mission**

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system.

To provide competent legal representation and consultation to all departmental staffing the execution of programs and services.

To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

### **Program Description**

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

### **Statutory History**

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

# The Budget

## Department Of Labor And Training Central Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	697,641	679,226	2,236,803	3,646,830	2,554,448
<b>Total Expenditures</b>	<b>\$697,641</b>	<b>\$679,226</b>	<b>\$2,236,803</b>	<b>\$3,646,830</b>	<b>\$2,554,448</b>
<b>Expenditures By Object</b>					
Personnel	527,197	425,454	451,393	612,256	620,811
Operating Supplies and Expenses	168,622	178,898	27,494	31,983	25,117
Assistance and Grants	1,189	1,176	1,216	1,188	1,199
<b>Subtotal: Operating Expenditures</b>	<b>697,008</b>	<b>605,528</b>	<b>480,103</b>	<b>645,427</b>	<b>647,127</b>
Capital Purchases and Equipment	633	73,698	1,756,700	3,001,403	1,907,321
<b>Total Expenditures</b>	<b>\$697,641</b>	<b>\$679,226</b>	<b>\$2,236,803</b>	<b>\$3,646,830</b>	<b>\$2,554,448</b>
<b>Expenditures By Funds</b>					
General Revenue	79,654	102,981	110,537	118,979	120,134
Restricted Receipts	475,455	350,554	369,575	784,802	529,314
Operating Transfers from Other Funds	142,532	225,691	1,756,691	2,743,049	1,905,000
<b>Total Expenditures</b>	<b>\$697,641</b>	<b>\$679,226</b>	<b>\$2,236,803</b>	<b>\$3,646,830</b>	<b>\$2,554,448</b>



# Personnel

## Department Of Labor And Training Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF ADMINISTRATOR DIVISION OF REHAB AND	00140A	0.1	11,951	0.1	11,951
FISCAL MANAGEMENT OFFICER	00B26A	2.0	148,010	2.0	148,411
SENIOR DLT BUSINESS OFFICER	00324A	0.4	24,738	0.4	24,738
OFFICE MANAGER	00123A	0.2	9,939	0.2	9,939
<b>Subtotal</b>		<b>2.7</b>	<b>\$194,638</b>	<b>2.7</b>	<b>\$195,039</b>
<b>Unclassified</b>					
EXECUTIVE COUNSEL	00839A	0.2	21,539	0.2	21,539
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.8	80,887	0.8	81,020
FISCAL MANAGEMENT OFFICER	00E24A	0.5	38,294	0.5	38,294
<b>Subtotal</b>		<b>1.5</b>	<b>\$140,720</b>	<b>1.5</b>	<b>\$140,853</b>
<b>Total Salaries</b>		<b>4.2</b>	<b>\$335,358</b>	<b>4.2</b>	<b>\$335,892</b>
<b>Benefits</b>					
Payroll Accrual			1,914		1,943
FICA			25,639		25,678
Retiree Health			20,007		20,039
Health Benefits			79,058		80,245
Retirement			82,582		88,419
<b>Subtotal</b>			<b>\$209,200</b>		<b>\$216,324</b>
<b>Total Salaries and Benefits</b>		<b>4.2</b>	<b>\$544,558</b>	<b>4.2</b>	<b>\$552,216</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$129,657</b>		<b>\$131,480</b>
<b>Statewide Benefit Assessment</b>			<b>\$15,418</b>		<b>\$15,948</b>
<b>Payroll Costs</b>		<b>4.2</b>	<b>\$559,976</b>	<b>4.2</b>	<b>\$568,164</b>
<b>Purchased Services</b>					
Information Technology			187		188
Clerical and Temporary Services			22		22
Legal Services			15,005		15,005
Other Contracts			36,860		37,225
Buildings and Ground Maintenance			206		207
<b>Subtotal</b>			<b>\$52,280</b>		<b>\$52,647</b>
<b>Total Personnel</b>		<b>4.2</b>	<b>\$612,256</b>	<b>4.2</b>	<b>\$620,811</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		0.8	\$113,131	0.8	\$114,227
Restricted Receipts		3.4	\$499,125	3.4	\$506,584
<b>Total All Funds</b>		<b>4.2</b>	<b>\$612,256</b>	<b>4.2</b>	<b>\$620,811</b>

# The Program

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## Department Of Labor And Training Workforce Development Services

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### **Program Mission**

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

### **Program Description**

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs:

The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff.

The Workforce Investment Act, now known as the Workforce Innovation and Opportunity Act, subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities.

The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the-job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older.

The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible.

Rhode Island recently launched its new workforce development initiative known as Real Jobs RI. Real Jobs RI invites employers within an industry to come together to identify common workforce challenges. Working backwards from employer demand ensures that Rhode Island job seekers receive training and services that are aligned to in-demand occupations and actual 'real' job openings.

### **Statutory History**

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act. R.I.G.L. 42-102 created the RI Works program.

# The Budget

## Department Of Labor And Training Workforce Development Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Employment Services	3,296,784	3,697,180	3,811,977	4,779,331	4,764,598
WIOA & Other Training Programs	15,884,133	16,918,393	15,121,887	33,266,770	20,829,686
Labor Market Information	659,303	702,011	633,805	607,188	638,310
Governor's Workforce Board RI	8,304,590	8,549,812	10,339,896	16,748,327	12,211,881
Veteran Services	506,220	659,807	612,276	705,837	761,244
<b>Total Expenditures</b>	<b>\$28,651,030</b>	<b>\$30,527,203</b>	<b>\$30,519,841</b>	<b>\$56,107,453</b>	<b>\$39,205,719</b>
<b>Expenditures By Object</b>					
Personnel	11,212,489	11,567,491	12,693,871	13,802,282	13,798,812
Operating Supplies and Expenses	1,480,944	1,829,065	1,641,349	1,821,514	1,664,440
Assistance and Grants	15,635,614	16,632,086	15,883,293	40,342,092	23,599,560
Aid to Local Units of Government	9,664	76,960	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>28,338,711</b>	<b>30,105,602</b>	<b>30,218,513</b>	<b>55,965,888</b>	<b>39,062,812</b>
Capital Purchases and Equipment	59,150	337,124	44,586	56,771	57,264
Operating Transfers	253,169	84,477	256,742	84,794	85,643
<b>Total Expenditures</b>	<b>\$28,651,030</b>	<b>\$30,527,203</b>	<b>\$30,519,841</b>	<b>\$56,107,453</b>	<b>\$39,205,719</b>
<b>Expenditures By Funds</b>					
General Revenue	295,165	955,748	704,517	869,462	2,704,517
Federal Funds	20,082,268	20,990,316	19,475,428	38,266,732	24,185,279
Restricted Receipts	8,304,590	8,549,812	10,339,896	16,748,327	12,211,881
Other Funds	(30,993)	31,327	-	222,932	104,042
<b>Total Expenditures</b>	<b>\$28,651,030</b>	<b>\$30,527,203</b>	<b>\$30,519,841</b>	<b>\$56,107,453</b>	<b>\$39,205,719</b>

# Personnel

## Department Of Labor And Training Workforce Development Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DLT)	00144A	0.2	28,196	0.2	28,196
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.3	35,811	0.3	35,811
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.7	78,495	0.7	78,495
ASSISTANT DIRECTOR FOR EMPLOYMENT &	00139A	1.0	110,160	1.0	110,739
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.2	20,428	0.2	20,428
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.5	147,392	1.5	147,392
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	4.0	354,267	4.0	355,166
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	4.0	347,949	4.0	347,949
CHIEF OF RESEARCH AND ANALYSIS	00134A	0.6	52,123	0.6	52,123
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.2	17,223	0.2	17,223
SUPERVISING DLT BUSINESS OFFICER	00132A	0.2	17,083	0.2	17,083
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	1.0	82,611	1.0	82,611
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	81,787	1.0	82,221
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	13.7	1,011,842	13.7	1,020,568
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	5.4	385,230	5.4	388,113
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.2	13,876	0.2	13,876
CHIEF IMPLEMENTATION AIDE	00128A	2.4	161,545	2.4	161,888
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.5	33,273	0.5	33,273
PROGRAMMING SERVICES OFFICER	00131A	1.0	65,290	1.0	65,290
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.1	6,418	0.1	6,418
SENIOR DLT BUSINESS OFFICER	00324A	2.5	155,432	2.5	155,760
PRINCIPAL RESEARCH TECHNICIAN	00127A <sup>1</sup>	3.0	184,236	3.0	184,236
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	1.9	114,731	1.9	114,731
SENIOR RESEARCH TECHNICIAN	00323A	1.0	59,418	1.0	59,418
SENIOR EMPLOYMENT & TRAINING MONITORING	00126A <sup>2</sup>	6.0	336,112	6.0	338,077
DLT BUSINESS OFFICER	00321A	0.6	33,594	0.6	33,594
BUSINESS SERVICES SPECIALIST	00324A	14.0	775,076	14.0	777,258
PRINCIPAL EMPLOYMENT AND TRAINING	00323A	34.3	1,853,783	34.3	1,864,360
SENIOR COMPUTER OPERATOR	00318A	0.2	9,856	0.2	9,856
OFFICE MANAGER	00123A	3.1	152,135	3.1	152,370
RESEARCH TECHNICIAN	00319A	1.9	91,743	1.9	91,977
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.6	28,881	0.6	28,881
EMPLOYMENT & TRAINING INTERVIEWER &	00320A	1.0	47,780	1.0	47,780
LOCAL VETERANS EMPLOYMENT	00320A	2.0	93,287	2.0	93,781
DISABLED VETERANS JOB ASSISTANT	00320A	5.0	211,275	5.0	214,702
ASSISTANT ADMINISTRATOR, STATE EMPLOYEES	03637A	0.2	7,984	0.2	7,984
CENTRAL MAIL ROOM CLERK	00311G	0.2	7,256	0.2	7,256
<b>Subtotal</b>		<b>115.7</b>	<b>\$7,213,578</b>	<b>115.7</b>	<b>\$7,246,884</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.2	27,000	0.2	27,000
EXECUTIVE COUNSEL	00839A	0.1	10,769	0.1	10,769
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE	00839A	1.0	102,564	1.0	103,109
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.3	28,211	0.3	28,211
SPECIAL ASSISTANT	00829A	0.2	13,747	0.2	13,747
<b>Subtotal</b>		<b>1.8</b>	<b>\$182,291</b>	<b>1.8</b>	<b>\$182,836</b>

# Personnel

## Department Of Labor And Training Workforce Development Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		28.0	1,386,789	17.0	848,424
Cost Allocation to Other Programs		(1.0)	(59,000)	-	-
Turnover		-	(370,059)	-	(93,373)
<b>Subtotal</b>		<b>27.0</b>	<b>\$957,730</b>	<b>17.0</b>	<b>\$755,051</b>
<b>Total Salaries</b>		<b>144.5</b>	<b>\$8,353,599</b>	<b>134.5</b>	<b>\$8,184,771</b>
<b>Benefits</b>					
Payroll Accrual			46,719		46,134
FICA			639,096		625,875
Retiree Health			506,422		488,437
Health Benefits			1,649,076		1,738,497
Retirement			2,058,785		2,153,085
<b>Subtotal</b>			<b>\$4,900,098</b>		<b>\$5,052,028</b>
<b>Total Salaries and Benefits</b>		<b>144.5</b>	<b>\$13,253,697</b>	<b>134.5</b>	<b>\$13,236,799</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$91,721</b>		<b>\$98,415</b>
<b>Statewide Benefit Assessment</b>			<b>\$376,779</b>		<b>\$388,634</b>
<b>Payroll Costs</b>		<b>144.5</b>	<b>\$13,630,476</b>	<b>134.5</b>	<b>\$13,625,433</b>
<b>Purchased Services</b>					
Information Technology			7,547		7,621
Clerical and Temporary Services			1,086		1,096
Management & Consultant Services			6,124		6,185
Legal Services			204		207
Other Contracts			148,255		149,618
Buildings and Ground Maintenance			8,590		8,652
<b>Subtotal</b>			<b>\$171,806</b>		<b>\$173,379</b>
<b>Total Personnel</b>		<b>144.5</b>	<b>\$13,802,282</b>	<b>134.5</b>	<b>\$13,798,812</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		135.0	\$10,646,836	125.0	\$11,184,325
Restricted Receipts		8.5	\$3,084,771	8.5	\$2,519,485
Other Funds		1.0	\$70,675	1.0	\$95,002
<b>Total All Funds</b>		<b>144.5</b>	<b>\$13,802,282</b>	<b>134.5</b>	<b>\$13,798,812</b>

1 1.0 FTE Position for the State Workforce and Education Alignment Project (SWEAP)

2 2.0 FTE Positions for Grant Monitoring and Evaluation

# The Program

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## Department Of Labor And Training Workforce Regulation and Safety

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### **Program Mission**

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

### **Program Description**

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures.

The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

### **Statutory History**

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

# The Budget

## Department Of Labor And Training Workforce Regulation and Safety

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Labor Standards	444,596	453,196	467,139	303,994	326,344
Occupational Safety	1,186,100	796,122	837,395	702,784	705,118
Professional Regulations	1,374,037	1,543,508	1,621,099	1,935,697	1,904,092
<b>Total Expenditures</b>	<b>\$3,004,733</b>	<b>\$2,792,826</b>	<b>\$2,925,633</b>	<b>\$2,942,475</b>	<b>\$2,935,554</b>
<b>Expenditures By Object</b>					
Personnel	2,878,113	2,538,312	2,809,516	2,703,666	2,675,995
Operating Supplies and Expenses	126,080	234,397	115,385	218,492	239,041
Assistance and Grants	447	374	621	366	370
<b>Subtotal: Operating Expenditures</b>	<b>3,004,640</b>	<b>2,773,083</b>	<b>2,925,522</b>	<b>2,922,524</b>	<b>2,915,406</b>
Capital Purchases and Equipment	93	17,020	111	17,201	17,371
Operating Transfers	-	2,723	-	2,750	2,777
<b>Total Expenditures</b>	<b>\$3,004,733</b>	<b>\$2,792,826</b>	<b>\$2,925,633</b>	<b>\$2,942,475</b>	<b>\$2,935,554</b>
<b>Expenditures By Funds</b>					
General Revenue	3,004,733	2,792,826	2,925,633	2,942,475	2,935,554
<b>Total Expenditures</b>	<b>\$3,004,733</b>	<b>\$2,792,826</b>	<b>\$2,925,633</b>	<b>\$2,942,475</b>	<b>\$2,935,554</b>

# Personnel

## Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DLT)	00144A	0.2	28,196	0.2	28,196
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.1	11,937	0.1	11,937
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.2	20,428	0.2	20,428
ASSISTANT DIRECTOR DEPARTMENT OF LABOR	00140A	1.0	97,588	1.0	98,106
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	96,928	1.0	96,928
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	1.0	93,470	1.0	93,966
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	0.1	9,112	0.1	9,112
CHIEF LICENSING EXAMINER-DIVISION OF COMM	00333A	1.0	87,677	1.0	88,128
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.2	17,223	0.2	17,223
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,541	0.1	8,541
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	16,557	0.2	16,557
CHIEF LABOR STANDARDS EXAMINER	00330A	1.0	79,909	1.0	80,329
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	1.0	79,102	1.0	79,522
ASSISTANT CHIEF OF PLANNING	00137A	1.0	78,697	1.0	81,996
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	77,780	1.0	78,182
CHIEF PLUMBING INVESTIGATOR (BD OF	00330A	1.0	75,339	1.0	75,723
CHIEF ELEVATOR INSPECTOR	00330A	1.0	72,511	1.0	72,895
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	14,352	0.2	14,352
CHIEF IMPLEMENTATION AIDE	00128A	0.2	14,042	0.2	14,042
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,938	0.1	6,938
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM.	00330A	1.0	69,215	1.0	69,582
CHIEF MECHANICAL INVESTIGATOR (BD OF	00330A	1.0	69,215	1.0	69,582
CHIEF IMPLEMENTATION AIDE	00328A	1.0	67,835	1.0	71,103
SEASONAL CHIEF ELECTRICAL INVESTIGATOR	00245H	1.0	66,942	1.0	67,297
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.2	12,835	0.2	12,835
SUPERVISOR APPRENTICESHIP TRAINING	00327A	1.0	63,090	1.0	65,502
SENIOR INDUSTRIAL SAFETY SPECIALIST (DLT)	00328A	1.0	61,149	1.0	61,473
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.1	5,877	0.1	5,877
IMPLEMENTATION AIDE	00322A	2.0	113,156	2.0	113,467
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	55,169	1.0	55,462
LEGAL ASSISTANT	00319A	0.2	10,586	0.2	10,586
CHIEF COMPLIANCE INSPECTOR	00330A	1.0	50,856	1.0	50,856
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL	00322A	3.0	151,289	3.0	152,092
PREVAILING WAGE INVESTIGATOR	00322A	2.0	100,046	2.0	100,577
CLERK SECRETARY	00B16A	1.0	49,682	1.0	51,407
SENIOR COMPUTER OPERATOR	00318A	0.1	4,928	0.1	4,928
EMPLOYMENT AND TRAINING ASSISTANT	00316A	0.3	14,441	0.3	14,441
OFFICE MANAGER	00123A	0.8	38,104	0.8	38,104
DLT BUSINESS OFFICER	00321A	1.2	56,126	1.2	56,126
LABOR STANDARDS EXAMINER	00322A	3	112,530	1.0	49,230
INTERPRETER (SPANISH)	00316A	1.0	38,234	2.5	103,986
CENTRAL MAIL ROOM CLERK	00311G	0.1	3,628	0.1	3,628
<b>Subtotal</b>		<b>34.1</b>	<b>\$2,201,260</b>	<b>34.1</b>	<b>\$2,221,242</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,500	0.1	13,500



# Personnel

## Department Of Labor And Training Workforce Regulation and Safety

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
EXECUTIVE COUNSEL	00839A	0.3	32,308	0.3	32,308
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.1	105,565	1.1	105,565
STAFF ATTORNEY III	00889F	0.2	16,397	0.2	16,397
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	69,215	1.0	69,582
SPECIAL ASSISTANT	00829A	0.1	6,874	0.1	6,874
<b>Subtotal</b>		<b>2.8</b>	<b>\$243,859</b>	<b>2.8</b>	<b>\$244,226</b>
Cost Allocation to Other Programs		(10.0)	(501,250)	(10.0)	(594,593)
Turnover		-	(248,019)	-	(259,997)
<b>Subtotal</b>		<b>(10.0)</b>	<b>(\$749,269)</b>	<b>(10.0)</b>	<b>(\$854,590)</b>
<b>Total Salaries</b>		<b>26.9</b>	<b>\$1,695,850</b>	<b>26.9</b>	<b>\$1,610,878</b>
<b>Benefits</b>					
Payroll Accrual			9,695		9,417
FICA			129,730		124,473
Retiree Health			101,241		97,134
Health Benefits			254,673		311,452
Retirement			417,852		428,572
<b>Subtotal</b>			<b>\$913,191</b>		<b>\$971,048</b>
<b>Total Salaries and Benefits</b>		<b>26.9</b>	<b>\$2,609,041</b>	<b>26.9</b>	<b>\$2,581,926</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$96,990</b>		<b>\$95,982</b>
<b>Statewide Benefit Assessment</b>			<b>\$78,010</b>		<b>\$77,286</b>
<b>Payroll Costs</b>		<b>26.9</b>	<b>\$2,687,051</b>	<b>26.9</b>	<b>\$2,659,212</b>
<b>Purchased Services</b>					
Information Technology			1,987		2,008
Clerical and Temporary Services			3,593		3,631
Legal Services			2,972		3,002
Other Contracts			5,929		5,987
Buildings and Ground Maintenance			2,134		2,155
<b>Subtotal</b>			<b>\$16,615</b>		<b>\$16,783</b>
<b>Total Personnel</b>		<b>26.9</b>	<b>\$2,703,666</b>	<b>26.9</b>	<b>\$2,675,995</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		26.9	\$2,703,666	26.9	\$2,675,995
<b>Total All Funds</b>		<b>26.9</b>	<b>\$2,703,666</b>	<b>26.9</b>	<b>\$2,675,995</b>

3 1.5 FTE positions for Misclassification Task Force

# The Program

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## Department Of Labor And Training Income Support

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### **Program Mission**

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel.

For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

### **Program Description**

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI.

Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

### **Statutory History**

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

# The Budget

## Department Of Labor And Training Income Support

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Unemployment Insurance	296,137,622	201,700,842	200,972,366	189,871,002	177,204,659
TDI	170,174,314	180,683,299	193,989,337	183,612,067	186,953,678
Fire and Police	4,261,523	4,320,039	4,194,431	4,141,796	4,160,083
<b>Total Expenditures</b>	<b>\$470,573,459</b>	<b>\$386,704,180</b>	<b>\$399,156,134</b>	<b>\$377,624,865</b>	<b>\$368,318,420</b>
<b>Expenditures By Object</b>					
Personnel	20,827,643	21,333,448	23,126,435	26,471,223	21,776,161
Operating Supplies and Expenses	2,509,486	2,661,233	2,143,911	2,357,729	2,160,931
Assistance and Grants	416,460,367	340,490,310	365,739,680	332,734,890	338,351,151
<b>Subtotal: Operating Expenditures</b>	<b>439,797,496</b>	<b>364,484,991</b>	<b>391,010,026</b>	<b>361,563,842</b>	<b>362,288,243</b>
Capital Purchases and Equipment	184,111	284,196	146,108	761,023	30,177
Debt Service (Fixed Charges)	3,024,016	350,259	-	35,000	-
Operating Transfers	27,567,836	21,584,734	8,000,000	15,265,000	6,000,000
<b>Total Expenditures</b>	<b>\$470,573,459</b>	<b>\$386,704,180</b>	<b>\$399,156,134</b>	<b>\$377,624,865</b>	<b>\$368,318,420</b>
<b>Expenditures By Funds</b>					
General Revenue	4,261,523	4,320,039	4,194,431	4,141,796	4,160,083
Federal Funds	51,937,555	14,923,251	18,688,633	18,424,872	14,329,659
Restricted Receipts	19,860,296	18,143,645	2,283,733	13,046,130	2,475,000
Other Funds	394,514,085	349,317,245	373,989,337	342,012,067	347,353,678
<b>Total Expenditures</b>	<b>\$470,573,459</b>	<b>\$386,704,180</b>	<b>\$399,156,134</b>	<b>\$377,624,865</b>	<b>\$368,318,420</b>

# Personnel

## Department Of Labor And Training Income Support

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DLT)	00144A	0.5	70,491	0.5	70,491
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	0.5	59,685	0.5	59,685
ASSISTANT DIRECTOR FOR LABOR MARKET INFO	00139A	0.3	33,641	0.3	33,641
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	108,393	1.0	108,965
ASSISTANT DIRECTOR FOR PLANNING AND REFEREE - BOARD OF REVIEW	00139A	0.5	51,070	0.5	51,070
EMPLOYMENT AND TRAINING ADMINISTRATOR	00137A	6.0	585,132	6.0	588,183
ADMINISTRATOR, FINANCIAL MANAGEMENT	00135A	2.0	191,190	2.0	192,167
CHIEF OF LABOR AND TRAINING OPERATIONS	00137A	0.4	37,637	0.4	37,637
CHIEF OF RESEARCH AND ANALYSIS	00134A	2.0	175,854	2.0	176,774
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00134A	0.4	34,748	0.4	34,748
SUPERVISING DLT BUSINESS OFFICER	00137A	0.5	43,056	0.5	43,056
COORDINATOR OF EMPLOYMENT AND TRAINING	00132A	0.6	51,248	0.6	51,248
PRINCIPAL EMPLOYMENT AND TRAINING	00131A	1.9	154,730	1.9	154,730
NURSING CARE EVALUATOR	00130A	4.0	307,166	4.0	308,777
ASSISTANT COORDINATOR OF UNEMPLOYMENT	00520A	2.0	152,044	2.0	155,045
ASSISTANT COORDINATOR OF EMPLOYMENT AND CHIEF IMPLEMENTATION AIDE	00129A	1.0	73,798	1.0	74,190
COORDINATOR OF UNEMPLOYMENT INSURANCE	00129A	1.2	86,109	1.2	86,109
SUPERVISOR OF OFFICE SERVICES (DLT)	00128A	1.2	84,250	1.2	84,250
SENIOR EMPLOYMENT AND TRAINING MANAGER	00131A	1.0	69,381	1.0	69,750
SENIOR EMPLOYMENT & TRAINING MONITORING	00131A	0.6	41,628	0.6	41,628
PRINCIPAL DLT BUSINESS OFFICER	00128A	3.1	204,683	3.1	205,714
MANAGER OF PRINTING AND OTHER SERVICES	00126A	5.0	328,745	5.0	330,479
SENIOR DLT BUSINESS OFFICER	00127A	1.3	85,472	1.3	85,472
EMPLOYMENT AND TRAINING MANAGER	00125A	0.5	32,088	0.5	32,088
SENIOR RESEARCH TECHNICIAN	00324A	1.1	68,177	1.1	68,177
IMPLEMENTATION AIDE	00126A	11.0	656,978	11.0	664,091
OFFICE MANAGER	00323A	1.0	59,595	1.0	59,595
BENEFIT CLAIMS SPECIALIST	00322A	1.0	55,445	1.0	55,729
LEGAL ASSISTANT	00123A	2.8	153,118	2.8	153,722
RESEARCH TECHNICIAN	00323A	51.0	2,765,278	51.0	2,791,401
PRINCIPAL EMPLOYMENT AND TRAINING DLT BUSINESS OFFICER	00319A	0.8	42,344	0.8	42,344
FRAUD AND OVERPAYMENT INVESTIGATOR	00319A	0.1	5,293	0.1	5,293
SENIOR COMPUTER OPERATOR	00323A	10.7	562,128	10.7	568,580
EMPLOYMENT AND TRAINING ASSISTANT	00321A	2.6	133,571	2.6	133,571
EMPLOYMENT & TRAINING INTERVIEWER & SENIOR EMPLOYMENT AND TRAINING	00321A	6.0	297,142	6.0	298,719
INTERPRETER (SPANISH)	00318A	0.6	29,567	0.6	29,567
CENTRAL MAIL ROOM CLERK	00316A	2.8	135,021	2.8	135,273
	00320A	57.8	2,733,868	57.8	2,753,876
	00320A	1.0	45,506	1.0	45,747
	00323A	2.2	95,484	2.2	97,091
	00320A	5.0	207,201	5.0	213,465
	00316A	3.0	119,582	3.0	121,654
	00312A	1.0	36,887	1.0	37,083
	00311G	0.6	21,767	0.6	21,767

# Personnel

## Department Of Labor And Training Income Support

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SENIOR WORD PROCESSING TYPIST	00312A	4.0	144,074	4.0	146,603
<b>Subtotal</b>		<b>205.6</b>	<b>\$11,656,398</b>	<b>205.6</b>	<b>\$11,745,378</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.6	81,000	0.6	81,000
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	54,209	0.5	54,354
EXECUTIVE COUNSEL	00839A	0.4	43,077	0.4	43,077
MEMBER, BOARD OF REVIEW (ES)	00835A	2.0	203,748	2.0	204,822
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.7	166,663	1.7	166,784
CHAIRPERSON MEMBER OF BOARD OF REVIEW	00837A	1.0	93,081	1.0	93,575
STAFF ATTORNEY III	00889F	0.6	49,190	0.6	49,190
SPECIAL ASSISTANT	00829A	0.6	41,242	0.6	41,242
CONFIDENTIAL SECRETARY	00818A	1.0	53,469	1.0	53,753
<b>Subtotal</b>		<b>8.4</b>	<b>\$785,679</b>	<b>8.4</b>	<b>\$787,797</b>
Cost Allocation from Other Programs		43.0	2,173,461	24.0	1,296,169
Cost Allocation to Other Programs		(60.0)	(3,000,000)	(31.0)	(1,550,000)
Overtime		-	600,000	-	400,000
Turnover		-	(296,484)	-	(1,473,539)
<b>Subtotal</b>		<b>(17.0)</b>	<b>(\$523,023)</b>	<b>(7.0)</b>	<b>(\$1,327,370)</b>
<b>Total Salaries</b>		<b>197.0</b>	<b>\$11,919,054</b>	<b>207.0</b>	<b>\$11,205,805</b>
<b>Benefits</b>					
Payroll Accrual			64,686		62,903
FICA			865,881		911,874
Retiree Health			675,756		645,107
Health Benefits			2,444,473		2,298,584
Retirement			2,789,010		2,846,213
<b>Subtotal</b>			<b>\$6,839,806</b>		<b>\$6,764,681</b>
<b>Total Salaries and Benefits</b>		<b>197.0</b>	<b>\$18,758,860</b>	<b>207.0</b>	<b>\$17,970,486</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$95,223</b>		<b>\$86,814</b>
<b>Statewide Benefit Assessment</b>			<b>\$520,661</b>		<b>\$513,281</b>
<b>Payroll Costs</b>		<b>197.0</b>	<b>\$19,279,521</b>	<b>207.0</b>	<b>\$18,483,767</b>

# Personnel

## Department Of Labor And Training Income Support

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			6,761,233		2,895,690
Clerical and Temporary Services			62,818		52,945
Management & Consultant Services			81		82
Legal Services			255,535		230,541
Other Contracts			86,305		87,151
Buildings and Ground Maintenance			6,810		6,877
Medical Services			18,920		19,108
<b>Subtotal</b>			<b>\$7,191,702</b>		<b>\$3,292,394</b>
<b>Total Personnel</b>		<b>197.0</b>	<b>\$26,471,223</b>	<b>207.0</b>	<b>\$21,776,161</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1.0	\$97,002	1.0	\$99,009
Federal Funds		145.4	\$15,902,262	145.4	\$12,441,018
Restricted Receipts		-	\$3,846,130	-	\$2,475,000
Other Funds		50.6	\$6,625,829	60.6	\$6,761,134
<b>Total All Funds</b>		<b>197.0</b>	<b>\$26,471,223</b>	<b>207.0</b>	<b>\$21,776,161</b>

# The Program

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## Department Of Labor And Training Injured Workers Services

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### **Program Mission**

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

### **Program Description**

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues.

The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants.

The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge.

The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Donley Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

### **Statutory History**

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

# The Budget

## Department Of Labor And Training Injured Workers Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Workers' Comp Compliance	4,150,248	4,047,340	4,392,152	4,407,358	4,408,916
Education & Rehabilitation	3,884,833	4,081,167	4,109,794	4,312,067	4,253,585
<b>Total Expenditures</b>	<b>\$8,035,081</b>	<b>\$8,128,507</b>	<b>\$8,501,946</b>	<b>\$8,719,425</b>	<b>\$8,662,501</b>
<b>Expenditures By Object</b>					
Personnel	6,008,569	5,976,160	6,516,484	6,696,775	6,659,733
Operating Supplies and Expenses	289,946	370,499	348,840	391,903	372,011
Assistance and Grants	1,730,941	1,654,898	1,630,885	1,630,457	1,630,463
<b>Subtotal: Operating Expenditures</b>	<b>8,029,456</b>	<b>8,001,557</b>	<b>8,496,209</b>	<b>8,719,135</b>	<b>8,662,207</b>
Capital Purchases and Equipment	5,625	126,950	5,737	290	294
<b>Total Expenditures</b>	<b>\$8,035,081</b>	<b>\$8,128,507</b>	<b>\$8,501,946</b>	<b>\$8,719,425</b>	<b>\$8,662,501</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	8,035,081	8,128,507	8,501,946	8,719,425	8,662,501
<b>Total Expenditures</b>	<b>\$8,035,081</b>	<b>\$8,128,507</b>	<b>\$8,501,946</b>	<b>\$8,719,425</b>	<b>\$8,662,501</b>



# Personnel

## Department Of Labor And Training Injured Workers Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DLT)	00144A	0.1	14,098	0.1	14,098
CHIEF ADMINISTRATOR DIVISION OF REHAB AND	00140A	0.9	107,559	0.9	107,559
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	0.1	11,937	0.1	11,937
CHIEF INVESTIGATOR WORKERS COMPENSATION	0AB38A	1.0	111,005	1.0	111,565
ASSISTANT ADMINISTRATOR REHABILITATION	00137A	1.0	102,900	1.0	103,441
ASSISTANT DIRECTOR FOR PLANNING AND	00139A	0.1	10,214	0.1	10,214
CHIEF DATA OPERATIONS	00333A	2.0	180,510	2.0	180,980
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	0.1	8,611	0.1	8,611
SUPERVISING DLT BUSINESS OFFICER	00132A	0.1	8,541	0.1	8,541
WORKERS' COMPENSATION PATIENT CARE	00520A	3.0	249,377	3.0	250,699
COORDINATOR OF EMPLOYMENT AND TRAINING	00131A	0.2	16,557	0.2	16,557
INVESTIGATOR WORKERS COMPENSATION FRAUD	0AB30A	5.0	391,187	5.0	393,063
ASSISTANT COORDINATOR OF EMPLOYMENT AND	00129A	0.2	14,352	0.2	14,352
CHIEF IMPLEMENTATION AIDE	00128A	0.2	14,042	0.2	14,042
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	139,018	2.0	139,740
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	0.1	6,938	0.1	6,938
ASSISTANT ADMINISTRATOR OF VOCATIONAL	00132A	1.0	68,992	1.0	72,472
UNIT CLAIMS MANAGER	00326A	1.0	68,940	1.0	68,940
MANAGER OF PRINTING AND OTHER SERVICES	00125A	0.2	12,835	0.2	12,835
PRINCIPAL DLT BUSINESS OFFICER	00127A	0.1	5,877	0.1	5,877
IMPLEMENTATION AIDE	00322A	1.0	58,197	1.0	58,495
SUPERVISOR OF VOCATIONAL REHABILITATION	00329A	1.0	57,881	1.0	60,187
COMPENSATION CLAIMS ANALYST	00322A	3.0	167,273	3.0	168,154
IMPLEMENTATION AIDE	0AB22A	1.0	54,709	1.0	54,994
DLT BUSINESS OFFICER	00321A	0.4	20,789	0.4	20,789
MEDICAL RECORDS TECHNICIAN	00320A	1.0	51,524	1.0	53,163
PHYSICAL THERAPY ASSISTANT	00320A	5.0	249,189	5.0	251,015
SENIOR COMPUTER OPERATOR	00318A	0.1	4,928	0.1	4,928
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	49,027	1.0	50,883
OFFICE MANAGER	00123A	0.1	4,639	0.1	4,639
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT	00320A	2.0	87,630	2.0	89,508
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.3	56,867	1.3	57,092
LABOR STANDARDS EXAMINER	00322A	1.5	64,842	1.5	64,842
INTERPRETER (SPANISH)	00316A	1.0	38,911	1.0	40,054
SENIOR WORD PROCESSING TYPIST	00312A	3.0	111,876	3.0	113,103
CENTRAL MAIL ROOM CLERK	00311G	0.1	3,628	0.1	3,628
<b>Subtotal</b>		<b>40.9</b>	<b>\$2,625,400</b>	<b>40.9</b>	<b>\$2,647,935</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF LABOR AND	00948KF	0.1	13,500	0.1	13,500
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.1	9,100	0.1	9,100
STAFF ATTORNEY III	00889F	0.2	16,397	0.2	16,397
FISCAL MANAGEMENT OFFICER	00E24A	0.5	38,294	0.5	38,294
SPECIAL ASSISTANT	00829A	0.1	6,874	0.1	6,874
<b>Subtotal</b>		<b>1.0</b>	<b>\$84,165</b>	<b>1.0</b>	<b>\$84,165</b>

# Personnel

## Department Of Labor And Training Injured Workers Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Turnover		-	(21,051)	-	(71,213)
<b>Subtotal</b>		-	<b>(\$21,051)</b>	-	<b>(\$71,213)</b>
<b>Total Salaries</b>		<b>41.9</b>	<b>\$2,688,514</b>	<b>41.9</b>	<b>\$2,660,887</b>
<b>Benefits</b>					
Payroll Accrual			15,364		15,399
FICA			205,665		203,557
Retiree Health			215,502		213,851
Health Benefits			539,293		542,596
Retirement			662,456		700,878
<b>Subtotal</b>			<b>\$1,638,280</b>		<b>\$1,676,281</b>
<b>Total Salaries and Benefits</b>		<b>41.9</b>	<b>\$4,326,794</b>	<b>41.9</b>	<b>\$4,337,168</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,265</b>		<b>\$103,512</b>
<b>Statewide Benefit Assessment</b>			<b>\$123,672</b>		<b>\$126,396</b>
<b>Payroll Costs</b>		<b>41.9</b>	<b>\$4,450,466</b>	<b>41.9</b>	<b>\$4,463,564</b>
<b>Purchased Services</b>					
Information Technology			391,062		335,860
Clerical and Temporary Services			1,613		1,618
Legal Services			4,060		4,061
Other Contracts			56,184		56,497
Buildings and Ground Maintenance			2,047		2,069
Training and Educational Services			137,550		138,912
Medical Services			1,653,793		1,657,152
<b>Subtotal</b>			<b>\$2,246,309</b>		<b>\$2,196,169</b>
<b>Total Personnel</b>		<b>41.9</b>	<b>\$6,696,775</b>	<b>41.9</b>	<b>\$6,659,733</b>
<b>Distribution By Source Of Funds</b>					
Restricted Receipts		41.9	\$6,696,775	41.9	\$6,659,733
<b>Total All Funds</b>		<b>41.9</b>	<b>\$6,696,775</b>	<b>41.9</b>	<b>\$6,659,733</b>

4 1.5 FTE positions for Misclassification Task Force

# The Program

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## Department Of Labor And Training Labor Relations Board

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### **Program Mission**

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

### **Program Description**

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

### **Statutory History**

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

# The Budget

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## Department Of Labor And Training Labor Relations Board

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	386,646	381,678	389,651	400,005	402,491
<b>Total Expenditures</b>	<b>\$386,646</b>	<b>\$381,678</b>	<b>\$389,651</b>	<b>\$400,005</b>	<b>\$402,491</b>
<b>Expenditures By Object</b>					
Personnel	369,738	367,139	371,874	381,974	387,665
Operating Supplies and Expenses	16,869	14,337	17,744	14,475	14,619
Assistance and Grants	32	27	33	27	28
<b>Subtotal: Operating Expenditures</b>	<b>386,639</b>	<b>381,503</b>	<b>389,651</b>	<b>396,476</b>	<b>402,312</b>
Capital Purchases and Equipment	7	175	-	3,529	179
<b>Total Expenditures</b>	<b>\$386,646</b>	<b>\$381,678</b>	<b>\$389,651</b>	<b>\$400,005</b>	<b>\$402,491</b>
<b>Expenditures By Funds</b>					
General Revenue	386,646	381,678	389,651	400,005	402,491
<b>Total Expenditures</b>	<b>\$386,646</b>	<b>\$381,678</b>	<b>\$389,651</b>	<b>\$400,005</b>	<b>\$402,491</b>

# Personnel

## Department Of Labor And Training Labor Relations Board

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
LABOR BOARD CASE AGENT	00128A	1.0	74,107	1.0	74,491
<b>Subtotal</b>		<b>1.0</b>	<b>\$74,107</b>	<b>1.0</b>	<b>\$74,491</b>
<b>Unclassified</b>					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	95,936	1.0	96,441
CHAIRPERSON, LABOR RELATIONS BOARD	00953F	-	14,582	-	14,660
LABOR RELATIONS BOARD MEMBER	00952F	-	67,300	-	67,655
<b>Subtotal</b>		<b>1.0</b>	<b>\$177,818</b>	<b>1.0</b>	<b>\$178,756</b>
<b>Total Salaries</b>		<b>2.0</b>	<b>\$251,925</b>	<b>2.0</b>	<b>\$253,247</b>
<b>Benefits</b>					
Payroll Accrual			1,439		1,464
FICA			19,274		19,372
Retiree Health			10,151		10,205
Health Benefits			22,073		22,799
Retirement			41,898		45,023
<b>Subtotal</b>			<b>\$94,835</b>		<b>\$98,863</b>
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$346,760</b>	<b>2.0</b>	<b>\$352,110</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$173,380</b>		<b>\$176,055</b>
<b>Statewide Benefit Assessment</b>			<b>\$7,822</b>		<b>\$8,119</b>
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$354,582</b>	<b>2.0</b>	<b>\$360,229</b>
<b>Purchased Services</b>					
Information Technology			140		142
Clerical and Temporary Services			3,508		3,542
Legal Services			23,000		23,000
Other Contracts			623		630
Buildings and Ground Maintenance			121		122
<b>Subtotal</b>			<b>\$27,392</b>		<b>\$27,436</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$381,974</b>	<b>2.0</b>	<b>\$387,665</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		2.0	\$381,974	2.0	\$387,665
<b>Total All Funds</b>		<b>2.0</b>	<b>\$381,974</b>	<b>2.0</b>	<b>\$387,665</b>

# Agency

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## Department Of Revenue

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### Agency Mission

Assesses and collects all taxes, fees, and revenues in the most efficient and cost-effective manner.

Recommends options to enhance revenue collections and revenue competitiveness by evaluating the State's revenue policies and practices.

Administers and enforces all laws pertaining to the operation and registration of motor vehicles.

Administers a lottery system in the most efficient, ethical, and cost-effective manner.

Monitors and reports on the financial condition of the State's cities and towns. Administers a databank on local finances and property values for the benefit of municipalities and public policy decision-makers.

Assists cities and towns in financial distress to achieve sound fiscal management through data analysis, technical assistance, training, and oversight.

### Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has seven programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, and State Aid (State Aid was transferred from the Department of Administration to the Department of Revenue in FY 2012).

### Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

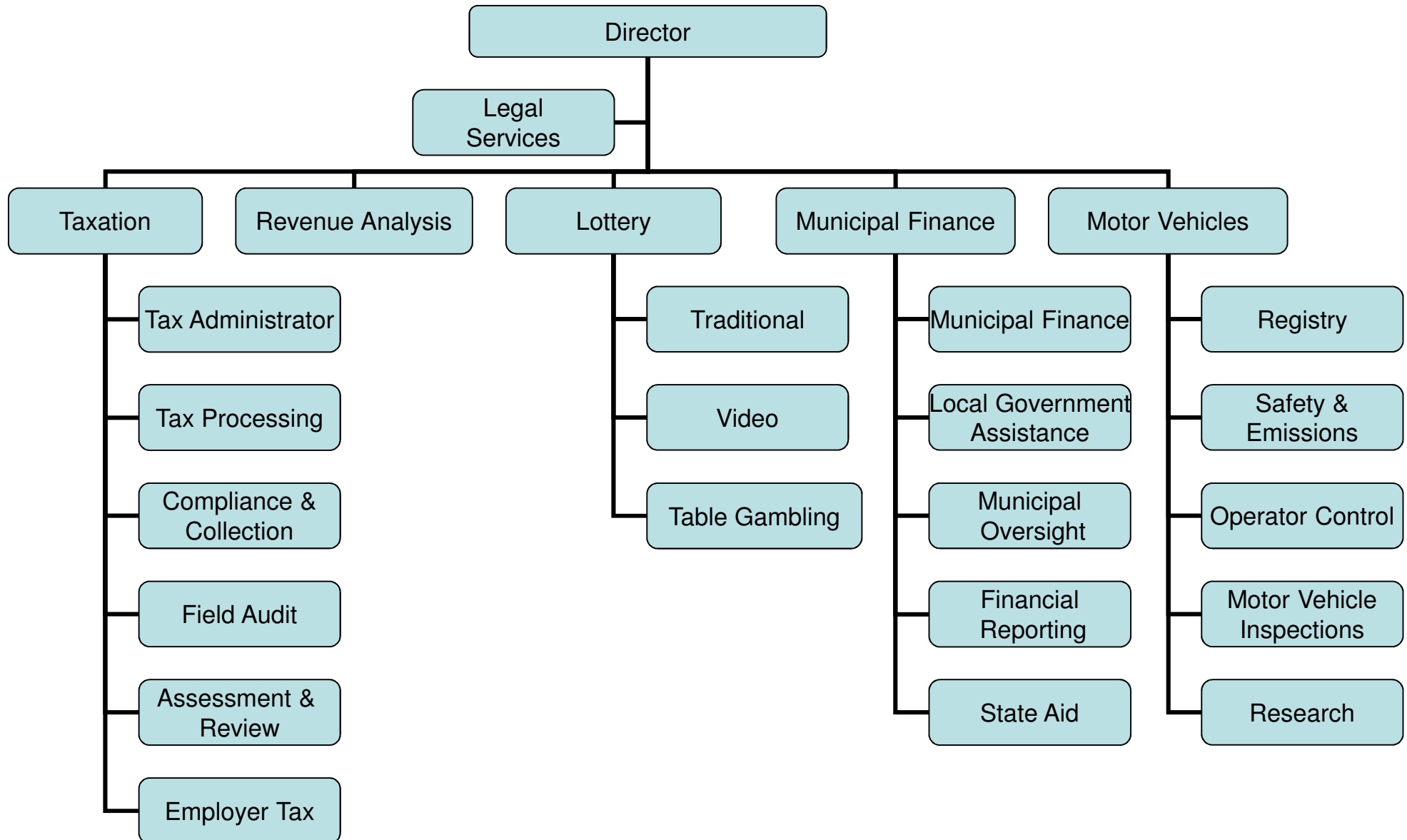
# Budget

## Department Of Revenue

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Director of Revenue	1,047,014	1,072,834	1,144,238	787,580	1,147,047
Office of Revenue Analysis	523,018	466,468	574,490	553,232	806,836
Lottery Division	318,238,182	334,734,573	303,850,780	356,454,202	382,271,870
Municipal Finance	1,704,061	2,375,374	2,186,998	2,462,726	2,391,184
Taxation	20,317,626	23,013,542	22,819,933	22,831,280	23,571,898
Registry of Motor Vehicles	18,873,487	20,262,042	24,465,170	26,837,251	28,565,229
State Aid	61,583,867	67,092,799	68,165,640	68,302,182	63,845,475
<b>Total Expenditures</b>	<b>\$422,287,255</b>	<b>\$449,017,632</b>	<b>\$423,207,249</b>	<b>\$478,228,453</b>	<b>\$502,599,539</b>
<b>Expenditures By Object</b>					
Personnel	44,260,112	47,179,271	49,312,715	53,222,879	54,117,542
Operating Supplies and Expenses	315,418,874	333,074,842	302,661,838	353,127,808	381,267,251
Assistance and Grants	72,322	1,479,494	108,923	108,623	108,623
Aid to Local Units of Government	61,689,580	66,299,608	68,321,548	68,431,221	63,974,514
<b>Subtotal: Operating Expenditures</b>	<b>421,440,888</b>	<b>448,033,215</b>	<b>420,405,024</b>	<b>474,890,531</b>	<b>499,467,930</b>
Capital Purchases and Equipment	757,057	917,497	2,734,124	3,269,821	3,063,508
Operating Transfers	89,310	66,920	68,101	68,101	68,101
<b>Total Expenditures</b>	<b>\$422,287,255</b>	<b>\$449,017,632</b>	<b>\$423,207,249</b>	<b>\$478,228,453</b>	<b>\$502,599,539</b>
<b>Expenditures By Funds</b>					
General Revenue	99,768,361	106,969,845	113,198,446	110,571,771	111,231,248
Federal Funds	1,655,815	4,314,983	1,315,154	5,288,759	2,145,367
Restricted Receipts	1,696,101	1,718,666	3,894,326	4,935,486	5,947,043
Operating Transfers from Other Funds	28,173	300,026	-	258,697	-
Other Funds	319,138,805	335,714,112	304,799,323	357,173,740	383,275,881
<b>Total Expenditures</b>	<b>\$422,287,255</b>	<b>\$449,017,632</b>	<b>\$423,207,249</b>	<b>\$478,228,453</b>	<b>\$502,599,539</b>
<b>FTE Authorization</b>	<b>492.0</b>	<b>505.0</b>	<b>514.5</b>	<b>514.5</b>	<b>523.5</b>

# The Agency

## Department of Revenue





# Personnel

## Department Of Revenue Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		429.0	23,546,702	438.0	24,462,143
Unclassified		89.0	5,941,348	89.0	6,024,231
<b>Subtotal</b>		<b>518.0</b>	<b>\$29,488,050</b>	<b>527.0</b>	<b>\$30,486,374</b>
Cost Allocation from Other Programs		0.8	104,575	0.8	106,666
Cost Allocation to Other Programs		(0.8)	(\$104,575)	(0.8)	(\$106,666)
Overtime		-	612,628	-	683,875
Reconcile to FTE Authorization		(3.5)	-	(3.5)	-
Temporary and Seasonal		-	159,840	-	159,840
Turnover		-	(\$1,365,565)	-	(\$1,432,445)
<b>Subtotal</b>		<b>(3.5)</b>	<b>(\$593,097)</b>	<b>(3.5)</b>	<b>(\$588,730)</b>
<b>Total Salaries</b>		<b>514.5</b>	<b>\$28,894,953</b>	<b>523.5</b>	<b>\$29,897,644</b>
<b>Benefits</b>					
Payroll Accrual			160,388		166,947
Holiday			1,000		1,000
FICA			2,182,403		2,275,085
Retiree Health			1,706,159		1,764,915
Health Benefits			6,354,154		6,638,914
Retirement			6,929,575		7,665,110
<b>Subtotal</b>			<b>\$17,333,679</b>		<b>\$18,511,971</b>
<b>Total Salaries and Benefits</b>		<b>514.5</b>	<b>\$46,228,632</b>	<b>523.5</b>	<b>\$48,409,615</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$89,541</b>		<b>\$92,168</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,291,101</b>		<b>\$1,379,646</b>
<b>Payroll Costs</b>		<b>514.5</b>	<b>\$47,519,733</b>	<b>523.5</b>	<b>\$49,789,261</b>

# Personnel

## Department Of Revenue Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			3,334,255		2,260,780
Clerical and Temporary Services			71,531		75,008
Management & Consultant Services			215,286		202,000
Legal Services			386,388		60,000
Other Contracts			1,645,336		1,720,061
Buildings and Ground Maintenance			10,350		10,432
Training and Educational Services			40,000		-
<b>Subtotal</b>			<b>\$5,703,146</b>		<b>\$4,328,281</b>
<b>Total Personnel</b>		<b>514.5</b>	<b>\$53,222,879</b>	<b>523.5</b>	<b>\$54,117,542</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		394.1	\$36,001,172	403.1	\$37,470,470
Federal Funds		35.7	\$3,694,330	35.7	\$1,615,847
Restricted Receipts		-	\$1,904,040	-	\$2,915,597
Other Funds		84.8	\$11,623,337	84.8	\$12,115,628
<b>Total All Funds</b>		<b>514.5</b>	<b>\$53,222,879</b>	<b>523.5</b>	<b>\$54,117,542</b>

# Performance Measures

## Department Of Revenue

### *DMV Transaction Wait Times*

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	30 Minutes	20 Minutes
<b>Actual</b>	--	43 Minutes	40 Minutes	--	--

Performance for this measure is reported by state fiscal year.

### *Tax Returns Filed Online*

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	55%	70%
<b>Actual</b>	--	--	40%	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Municipal Reporting*

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time.

	2013	2014	2015	2016	2017
<b>Target</b>	60%	60%	60%	45%	60%
<b>Actual</b>	40%	41%	40%	--	--

Performance for this measure is reported by state fiscal year.

# Performance Measures

## Department Of Revenue

### *Problem Gambling Helpline and Treatment Options Awareness Level*

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RI General Laws § 42-61.2-14, enacted in 2012. The figures below represent the percentage of individuals identified as having a gambling disorder who are aware of the existence of the Problem Gambling Helpline and available treatment options, as determined by a Needs Assessment Study completed in 2014 and data from the Problem Gambling Helpline and treatment providers.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	55%	65%
<b>Actual</b>	--	--	50%	--	--

Performance for this measure is reported by state fiscal year.

# The Program

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Department Of Revenue  
Director of Revenue

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## **Program Mission**

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

## **Program Description**

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

## **Statutory History**

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142).

# The Budget

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## Department Of Revenue Director of Revenue

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Director of Revenue	1,047,014	1,072,834	1,144,238	787,580	1,147,047
<b>Total Expenditures</b>	<b>\$1,047,014</b>	<b>\$1,072,834</b>	<b>\$1,144,238</b>	<b>\$787,580</b>	<b>\$1,147,047</b>
<b>Expenditures By Object</b>					
Personnel	1,038,817	1,058,466	1,121,944	769,638	1,129,105
Operating Supplies and Expenses	6,391	12,519	17,269	15,917	15,917
<b>Subtotal: Operating Expenditures</b>	<b>1,045,208</b>	<b>1,070,985</b>	<b>1,139,213</b>	<b>785,555</b>	<b>1,145,022</b>
Capital Purchases and Equipment	1,806	1,849	5,025	2,025	2,025
<b>Total Expenditures</b>	<b>\$1,047,014</b>	<b>\$1,072,834</b>	<b>\$1,144,238</b>	<b>\$787,580</b>	<b>\$1,147,047</b>
<b>Expenditures By Funds</b>					
General Revenue	1,047,014	1,072,834	1,144,238	787,580	1,147,047
<b>Total Expenditures</b>	<b>\$1,047,014</b>	<b>\$1,072,834</b>	<b>\$1,144,238</b>	<b>\$787,580</b>	<b>\$1,147,047</b>

# Personnel

## Department Of Revenue

### Director of Revenue

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES	00145A	1.0	139,433	1.0	142,222
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	107,716	1.0	109,870
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	99,964	1.0	104,816
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	77,943	1.0	83,704
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	77,943	1.0	83,704
SENIOR LEGAL COUNSEL	00134A	1.0	69,978	1.0	76,967
CHIEF IMPLEMENTATION AIDE	00128A	1.0	68,540	1.0	69,911
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	57,760	1.0	63,868
<b>Subtotal</b>		<b>8.0</b>	<b>\$699,277</b>	<b>8.0</b>	<b>\$735,062</b>
<b>Unclassified</b>					
DIRECTOR DEPARTMENT OF REVENUE	00956KF	1.0	130,100	1.0	130,100
<b>Subtotal</b>		<b>1.0</b>	<b>\$130,100</b>	<b>1.0</b>	<b>\$130,100</b>
Cost Allocation to Other Programs		(0.8)	(104,575)	(0.8)	(106,666)
Turnover		-	(253,345)	-	(51,908)
<b>Subtotal</b>		<b>(0.8)</b>	<b>(\$357,920)</b>	<b>(0.8)</b>	<b>(\$158,574)</b>
<b>Total Salaries</b>		<b>8.3</b>	<b>\$471,457</b>	<b>8.3</b>	<b>\$706,588</b>
<b>Benefits</b>					
Payroll Accrual			2,694		4,092
FICA			36,067		54,054
Retiree Health			28,146		42,183
Health Benefits			93,227		102,209
Retirement			116,361		186,414
<b>Subtotal</b>			<b>\$276,495</b>		<b>\$388,952</b>
<b>Total Salaries and Benefits</b>		<b>8.3</b>	<b>\$747,952</b>	<b>8.3</b>	<b>\$1,095,540</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$90,661</b>		<b>\$132,793</b>
<b>Statewide Benefit Assessment</b>			<b>\$21,686</b>		<b>\$33,565</b>
<b>Payroll Costs</b>		<b>8.3</b>	<b>\$769,638</b>	<b>8.3</b>	<b>\$1,129,105</b>
<b>Total Personnel</b>		<b>8.3</b>	<b>\$769,638</b>	<b>8.3</b>	<b>\$1,129,105</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		8.3	\$769,638	8.3	\$1,129,105
<b>Total All Funds</b>		<b>8.3</b>	<b>\$769,638</b>	<b>8.3</b>	<b>\$1,129,105</b>

# The Program

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## Department Of Revenue Office of Revenue Analysis

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### **Program Mission**

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

### **Program Description**

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

### **Statutory History**

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142-3).



# The Budget

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## Department Of Revenue Office of Revenue Analysis

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Revenue Analysis	523,018	466,468	574,490	553,232	806,836
<b>Total Expenditures</b>	<b>\$523,018</b>	<b>\$466,468</b>	<b>\$574,490</b>	<b>\$553,232</b>	<b>\$806,836</b>
<b>Expenditures By Object</b>					
Personnel	504,164	454,151	553,882	532,624	786,287
Operating Supplies and Expenses	17,830	11,293	19,583	19,583	19,524
<b>Subtotal: Operating Expenditures</b>	<b>521,994</b>	<b>465,444</b>	<b>573,465</b>	<b>552,207</b>	<b>805,811</b>
Capital Purchases and Equipment	1,024	1,024	1,025	1,025	1,025
<b>Total Expenditures</b>	<b>\$523,018</b>	<b>\$466,468</b>	<b>\$574,490</b>	<b>\$553,232</b>	<b>\$806,836</b>
<b>Expenditures By Funds</b>					
General Revenue	523,018	466,468	574,490	553,232	806,836
<b>Total Expenditures</b>	<b>\$523,018</b>	<b>\$466,468</b>	<b>\$574,490</b>	<b>\$553,232</b>	<b>\$806,836</b>

# Personnel

## Department Of Revenue Office of Revenue Analysis

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
REVENUE POLICY ANALYST (DOR)	00132A <sup>1</sup>	3.0	201,801	4.0	265,456
SENIOR ECONOMIC AND POLICY ANALYST	00134A <sup>2</sup>	-	-	1.0	75,573
<b>Subtotal</b>		<b>3.0</b>	<b>\$201,801</b>	<b>5.0</b>	<b>\$341,029</b>
<b>Unclassified</b>					
CHIEF OF REVENUE ANALYSIS	00843A	1.0	137,570	1.0	140,321
<b>Subtotal</b>		<b>1.0</b>	<b>\$137,570</b>	<b>1.0</b>	<b>\$140,321</b>
Turnover		-	(20,362)	-	(9,626)
<b>Subtotal</b>		<b>-</b>	<b>(\$20,362)</b>	<b>-</b>	<b>(\$9,626)</b>
<b>Total Salaries</b>		<b>4.0</b>	<b>\$319,009</b>	<b>6.0</b>	<b>\$471,724</b>
<b>Benefits</b>					
Payroll Accrual			1,824		2,729
FICA			24,404		36,087
Retiree Health			19,045		28,162
Health Benefits			42,564		73,424
Retirement			78,605		124,255
<b>Subtotal</b>			<b>\$166,442</b>		<b>\$264,657</b>
<b>Total Salaries and Benefits</b>		<b>4.0</b>	<b>\$485,451</b>	<b>6.0</b>	<b>\$736,381</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$121,363</b>		<b>\$122,730</b>
<b>Statewide Benefit Assessment</b>			<b>\$14,673</b>		<b>\$22,406</b>
<b>Payroll Costs</b>		<b>4.0</b>	<b>\$500,124</b>	<b>6.0</b>	<b>\$758,787</b>
<b>Purchased Services</b>					
Management & Consultant Services			32,500		27,500
<b>Subtotal</b>			<b>\$32,500</b>		<b>\$27,500</b>
<b>Total Personnel</b>		<b>4.0</b>	<b>\$532,624</b>	<b>6.0</b>	<b>\$786,287</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		4.0	\$532,624	6.0	\$786,287
<b>Total All Funds</b>		<b>4.0</b>	<b>\$532,624</b>	<b>6.0</b>	<b>\$786,287</b>

<sup>1</sup> New FTE position in FY 2017.

<sup>2</sup> New FTE position in FY 2017.

# The Program

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## Department Of Revenue Lottery Division

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### **Program Mission**

The mission of the Rhode Island Lottery is to generate revenue for the State through the responsible management and sale of entertaining lottery products. It incorporates the highest standards of security and integrity. It emphasizes customer service and maintaining the public's trust.

### **Program Description**

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report.

The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi-State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses.

R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River. As required by the Rhode Island Constitution, Article VI Section XV and as provided, inter alia, in R.I. Gen. Laws § 42-61.2-3.1, the Division of Lotteries operates all aspects of the Table Games. Sixteen percent (16%) of net Table Game Revenue is allocated to the State Lottery Fund for administrative purposes, with the balance transferred to the State's General Fund.

### **Statutory History**

R.I. Gen. Laws § 42-61 provides the general authority for the State Division of Lottery. R.I. Gen. Laws § 42-142 establishes the State Lottery as a division of the Department of Revenue.

# The Budget

## Department Of Revenue Lottery Division

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Lottery Division	318,238,182	334,734,573	303,850,780	356,454,202	382,271,870
<b>Total Expenditures</b>	<b>\$318,238,182</b>	<b>\$334,734,573</b>	<b>\$303,850,780</b>	<b>\$356,454,202</b>	<b>\$382,271,870</b>
<b>Expenditures By Object</b>					
Personnel	9,428,871	9,593,334	10,427,395	10,669,564	11,136,079
Operating Supplies and Expenses	308,588,473	325,046,971	293,175,708	345,278,264	370,880,730
Assistance and Grants	68,709	86,688	100,000	100,000	100,000
<b>Subtotal: Operating Expenditures</b>	<b>318,086,053</b>	<b>334,726,993</b>	<b>303,703,103</b>	<b>356,047,828</b>	<b>382,116,809</b>
Capital Purchases and Equipment	128,756	-	147,677	406,374	155,061
Operating Transfers	23,373	7,580	-	-	-
<b>Total Expenditures</b>	<b>\$318,238,182</b>	<b>\$334,734,573</b>	<b>\$303,850,780</b>	<b>\$356,454,202</b>	<b>\$382,271,870</b>
<b>Expenditures By Funds</b>					
Operating Transfers from Other Funds	23,373	24,680	-	258,697	-
Other Funds	318,214,809	334,709,893	303,850,780	356,195,505	382,271,870
<b>Total Expenditures</b>	<b>\$318,238,182</b>	<b>\$334,734,573</b>	<b>\$303,850,780</b>	<b>\$356,454,202</b>	<b>\$382,271,870</b>

# Personnel

## Department Of Revenue Lottery Division

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
LOTTERY DIRECTOR	00816JF	1.0	146,579	1.0	147,357
DEPUTY DIRECTOR (LOTTERY)	00842JA	1.0	137,231	1.0	137,960
DIRECTOR MANAGEMENT INFORMATION	00839JA	1.0	117,949	1.0	118,575
CASINO OPERATIONS AND COMPLIANCE	00841JA	1.0	112,643	1.0	112,643
FINANCE ADMINISTRATION (MANAGER)	00839JA	1.0	107,693	1.0	108,264
CONTROLLER (LOTTERY)	00834JA	1.0	96,585	1.0	97,097
CASINO FINANCIAL ANALYST SUPERVISOR	00834JA	1.0	91,214	1.0	95,204
LOTTERY SALES & MARKETING MANAGER	00834JA	1.0	88,186	1.0	88,654
MANAGER MARKETING AGENT LICENSES AND	00834JA	1.0	88,186	1.0	88,654
CASINO SENIOR COMPLIANCE SUPERVISOR	00834JA	1.0	87,144	1.0	88,654
PRINCIPAL PROJECTS MANAGER	00831JA	1.0	82,686	1.0	83,125
LEGAL COUNSEL (LOTTERY)	00835JA	1.0	80,984	1.0	80,984
INTERNAL AUDITOR	00833JA	1.0	80,973	1.0	81,403
CASINO TABLE GAMES ACCOUNTING MANAGER	00833JA	1.0	80,028	1.0	81,403
CASINO INSPECTIONS AND STANDARDS	00832JA	1.0	78,493	1.0	78,493
CASINO SURVEILLANCE ANALYST	00832JA	1.0	76,527	1.0	78,492
CASINO FINANCIAL ANALYST	00832JA	1.0	74,955	1.0	78,492
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827JA	1.0	73,976	1.0	74,368
PRODUCTION MANAGER (LOTTERY)	00828JA	1.0	73,528	1.0	73,919
CASINO SECURITY MANAGER	00829JA	1.0	73,027	1.0	73,415
VIDEO LOTTERY SUPERVISOR	00827JA	1.0	70,759	1.0	71,135
INFORMATION TECHNOLOGY SECURITY MANAGER	00829JA	1.0	69,550	1.0	69,919
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824JA	1.0	68,382	1.0	68,382
CASINO COMPLIANCE SUPERVISOR	00829JA	2.0	136,650	2.0	139,838
SECURITY MANAGER	00827A	1.0	67,543	1.0	67,901
VIDEO LOTTERY PROGRAM AUDITOR	00314G	2.0	131,250	2.0	134,991
ASSISTANT MANAGER-MARKETING, AGENT	00826JA	2.0	129,945	2.0	129,945
PROJECT COORDINATOR	00826JA	1.0	64,904	1.0	65,248
VIDEO LOTTERY SYSTEMS MANAGER	00827JA	1.0	64,327	1.0	64,668
EXECUTIVE SECRETARY	00822JA	1.0	63,268	1.0	63,604
CASINO SECURITY INSPECTOR	00827JA	3.0	189,225	3.0	194,001
MAINTENANCE PERSON (LOTTERY)	00822JA	1.0	61,950	1.0	62,279
ADMINISTRATIVE OFFICER	00822A	1.0	61,787	1.0	62,109
CASINO IT SUPPORT SPECIALIST	00826JA	1.0	60,635	1.0	62,141
CASINO GAMING OPERATIONS INVESTIGATOR	00826JA	3.0	181,308	3.0	186,423
CASINO SECURITY INSPECTOR	07752	1.0	60,397	1.0	63,653
COMPUTER PROGRAMMER	00825JA	1.0	59,394	1.0	59,709
ASSISTANT CONTROLLER	00824JA	2.0	116,172	2.0	117,426
CASINO COMPLIANCE REPRESENTATIVE	00825JA	13.0	746,466	13.0	769,830
FIELD REPRESENTATIVE (LOTTERY)	00822JA	10.0	569,498	10.0	569,498
SUPERVISOR PERSONNEL RECORDS	00821JA	1.0	56,171	1.0	56,171
TICKET ACCOUNTING CLERK (LOTTERY)	00820JA	1.0	56,123	1.0	56,421
LICENSING CLERK	00820JA	1.0	53,683	1.0	53,968
RECEPTIONIST	00817JA	1.0	52,447	1.0	54,476
SECRETARY	00818JA	3.0	145,618	3.0	146,390

# Personnel

## Department Of Revenue Lottery Division

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
ASSISTANT FIELD REPRESENTATIVE	00818JA	1.0	47,781	1.0	48,034
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822JA	1.0	46,603	1.0	48,343
VALIDATIONS OFFICER	00817JA	1.0	44,341	1.0	44,341
ASSISTANT PRODUCTION WORKER	00818JA	3.0	131,573	3.0	134,184
PRODUCTION CLERK	00822JA	1.0	42,781	1.0	42,781
JUNIOR MAINTENANCE PERSON	00801JA	1.0	30,756	1.0	31,291
<b>Subtotal</b>		<b>84.0</b>	<b>\$5,529,874</b>	<b>84.0</b>	<b>\$5,606,256</b>
Cost Allocation from Other Programs		0.8	104,575	0.8	106,666
Overtime		-	125,000	-	130,000
Turnover		-	(261,222)	-	(218,791)
<b>Subtotal</b>		<b>0.8</b>	<b>(\$31,647)</b>	<b>0.8</b>	<b>\$17,875</b>
<b>Total Salaries</b>		<b>84.8</b>	<b>\$5,498,227</b>	<b>84.8</b>	<b>\$5,624,131</b>
<b>Benefits</b>					
Payroll Accrual			30,358		31,778
FICA			404,566		418,098
Retiree Health			320,320		330,707
Health Benefits			1,256,456		1,335,098
Retirement			1,303,028		1,442,825
<b>Subtotal</b>			<b>\$3,314,728</b>		<b>\$3,558,506</b>
<b>Total Salaries and Benefits</b>		<b>84.8</b>	<b>\$8,812,955</b>	<b>84.8</b>	<b>\$9,182,637</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,988</b>		<b>\$108,350</b>
<b>Statewide Benefit Assessment</b>			<b>\$244,732</b>		<b>\$260,971</b>
<b>Payroll Costs</b>		<b>84.8</b>	<b>\$9,057,687</b>	<b>84.8</b>	<b>\$9,443,608</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			69,531		73,008
Management & Consultant Services			90,000		94,500
Other Contracts			1,450,696		1,523,231
Buildings and Ground Maintenance			1,650		1,732
<b>Subtotal</b>			<b>\$1,611,877</b>		<b>\$1,692,471</b>
<b>Total Personnel</b>		<b>84.8</b>	<b>\$10,669,564</b>	<b>84.8</b>	<b>\$11,136,079</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		84.8	\$10,669,564	84.8	\$11,136,079
<b>Total All Funds</b>		<b>84.8</b>	<b>\$10,669,564</b>	<b>84.8</b>	<b>\$11,136,079</b>

# The Program

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## Department Of Revenue Municipal Finance

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### **Program Mission**

The Division of Municipal Finance's mission is to provide guidance to municipalities in achieving and maintaining fiscal stability. The Division promotes the highest standards in local government fiscal policy and decision-making. It encourages transparency by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

### **Program Description**

The Division of Municipal Finance's responsibilities include assisting municipalities and fire districts to achieve sound fiscal management through data analysis, technical assistance, training, and oversight. The Division encourages cooperation between municipalities, fire districts, and the State by distributing relevant and timely information. It provides technical assistance to distressed municipalities and fire districts. The Division maintains a databank on local finances and property values for the benefit of municipalities, fire districts, and public policy decision-makers. In addition, the Division annually calculates municipal property wealth. It calculates and distributes municipal state aid programs and advises municipalities on the statistical property valuation process. Finally, the Division provides staff assistance to the Local Pension and Other Post Employment Benefit (OPEB) Study Commission.

### **Statutory History**

R.I. Gen. Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

# The Budget

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## Department Of Revenue Municipal Finance

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Municipal Affairs	1,704,061	2,375,374	2,186,998	2,462,726	2,391,184
<b>Total Expenditures</b>	<b>\$1,704,061</b>	<b>\$2,375,374</b>	<b>\$2,186,998</b>	<b>\$2,462,726</b>	<b>\$2,391,184</b>
<b>Expenditures By Object</b>					
Personnel	1,569,891	2,230,410	2,002,694	2,305,296	2,114,203
Operating Supplies and Expenses	13,968	19,221	20,871	20,866	140,417
Assistance and Grants	-	-	5,000	5,000	5,000
Aid to Local Units of Government	105,713	123,509	155,908	129,039	129,039
<b>Subtotal: Operating Expenditures</b>	<b>1,689,572</b>	<b>2,373,140</b>	<b>2,184,473</b>	<b>2,460,201</b>	<b>2,388,659</b>
Capital Purchases and Equipment	14,489	2,234	2,525	2,525	2,525
<b>Total Expenditures</b>	<b>\$1,704,061</b>	<b>\$2,375,374</b>	<b>\$2,186,998</b>	<b>\$2,462,726</b>	<b>\$2,391,184</b>
<b>Expenditures By Funds</b>					
General Revenue	1,704,061	2,375,374	2,186,998	2,462,726	2,391,184
<b>Total Expenditures</b>	<b>\$1,704,061</b>	<b>\$2,375,374</b>	<b>\$2,186,998</b>	<b>\$2,462,726</b>	<b>\$2,391,184</b>



# Personnel

## Department Of Revenue Municipal Finance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF OF STRATEGIC PLANNING, MONITORING	00143A	1.0	111,777	1.0	112,370
HUMAN RESOURCES COORDINATOR	00135A	1.0	83,347	1.0	81,206
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	2.0	155,376	2.0	155,845
STATE AID AND FINANCE SPECIALIST - MUNICIPAL	00332A	3.0	223,815	3.0	225,089
FISCAL MANAGEMENT OFFICER	0AB26A	1.0	74,210	1.0	76,844
INVESTIGATIVE AUDITOR	00133A	1.0	70,939	1.0	75,087
PROGRAMMING SERVICES OFFICER	00131A <sup>3</sup>	2.0	131,777	3.0	194,867
PRODUCTIVITY PROJECT DIRECTOR	00130A	2.0	128,111	2.0	131,199
SENIOR MANAGEMENT AND METHODS ANALYST	00325A	1.0	55,504	1.0	55,798
PRINCIPAL PROGRAM ANALYST	00328A	1.0	53,243	1.0	53,243
DATA CONTROL CLERK	00315A	1.0	46,988	1.0	47,234
<b>Subtotal</b>		<b>16.0</b>	<b>\$1,135,087</b>	<b>17.0</b>	<b>\$1,208,782</b>
<b>Unclassified</b>					
SENIOR INFORMATION AND PUBLIC RELATION	00324A	1.0	49,346	1.0	51,462
<b>Subtotal</b>		<b>1.0</b>	<b>\$49,346</b>	<b>1.0</b>	<b>\$51,462</b>
Turnover		-	(47,378)	-	(59,876)
<b>Subtotal</b>		<b>-</b>	<b>(\$47,378)</b>	<b>-</b>	<b>(\$59,876)</b>
<b>Total Salaries</b>		<b>17.0</b>	<b>\$1,137,055</b>	<b>18.0</b>	<b>\$1,200,368</b>
<b>Benefits</b>					
Payroll Accrual			6,498		6,837
FICA			86,982		91,828
Retiree Health			67,883		71,663
Health Benefits			207,880		230,180
Retirement			280,305		316,309
<b>Subtotal</b>			<b>\$649,548</b>		<b>\$716,817</b>
<b>Total Salaries and Benefits</b>		<b>17.0</b>	<b>\$1,786,603</b>	<b>18.0</b>	<b>\$1,917,185</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$105,094</b>		<b>\$106,510</b>
<b>Statewide Benefit Assessment</b>			<b>\$52,305</b>		<b>\$57,018</b>
<b>Payroll Costs</b>		<b>17.0</b>	<b>\$1,838,908</b>	<b>18.0</b>	<b>\$1,974,203</b>
<b>Purchased Services</b>					
Management & Consultant Services			80,000		80,000
Legal Services			386,388		60,000
<b>Subtotal</b>			<b>\$466,388</b>		<b>\$140,000</b>
<b>Total Personnel</b>		<b>17.0</b>	<b>\$2,305,296</b>	<b>18.0</b>	<b>\$2,114,203</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		17.0	\$2,305,296	18.0	\$2,114,203
<b>Total All Funds</b>		<b>17.0</b>	<b>\$2,305,296</b>	<b>18.0</b>	<b>\$2,114,203</b>

*3 New FTE position for the Municipal Transparency Portal.*

# The Program

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## Department Of Revenue Taxation

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### **Program Mission**

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assists taxpayers by helping them understand and meet their tax responsibilities.

### **Program Description**

The Office of Tax Administrator is responsible for providing the overall guidance and direction of the Division of Taxation. The Office oversees the operations of the Division and is directly responsible for overseeing the appeals process, legislative tracking and legislative testimony, tax credit administration, forms management, website maintenance, and overseeing the voluntary disclosure program.

The Tax Processing section is responsible for the management and security of all processing operations in the Division. The unit performs all activities relating to the receipt of cash payments and the processing of tax returns. It also registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered.

The Compliance and Collections section's primary function is to maintain the integrity of the Rhode Island tax system through the collection of delinquent taxes and tax returns from individuals and businesses. This unit is responsible for compliance, collection and, if necessary, enforcement actions to collect all taxes administered by the Division.

The Field Audit section is responsible for conducting comprehensive tax audits of the accounting and related records of individuals, partnerships, and corporate business organizations to determine their proper tax liability under State tax laws. The Field Audit section workload is divided into specialty audits and routine audits conducted in-state and out-of-state.

The Office of Assessment and Review is responsible for the review and audit of tax returns received by the Division. It is also responsible for the maintenance of the Division's rules and regulations as well as educating taxpayers.

The Employer Tax section operates the State's Unemployment Insurance (UI), Temporary Disability Insurance (TDI) and Job Development Fund (JDF) tax receipt programs.

### **Statutory History**

R.I. Gen. Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

# The Budget

## Department Of Revenue Taxation

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Tax Administrator	1,000,757	2,862,329	1,799,756	1,826,654	1,885,394
Tax Processing Division	4,080,605	4,131,860	4,333,984	4,347,140	4,395,442
Compliance and Collection	3,188,599	3,581,929	3,914,927	3,795,034	4,122,931
Field Audit	6,080,155	6,664,900	6,436,680	6,512,671	6,716,219
Assessment and Review	3,048,410	3,010,566	3,256,650	3,160,685	3,190,491
Employer Tax	2,919,100	2,761,958	3,077,936	3,189,096	3,261,421
<b>Total Expenditures</b>	<b>\$20,317,626</b>	<b>\$23,013,542</b>	<b>\$22,819,933</b>	<b>\$22,831,280</b>	<b>\$23,571,898</b>
<b>Expenditures By Object</b>					
Personnel	18,511,812	19,871,865	20,895,081	20,932,318	21,672,936
Operating Supplies and Expenses	1,782,246	1,730,949	1,889,579	1,863,689	1,863,689
Assistance and Grants	1,366	1,390,614	1,273	1,273	1,273
<b>Subtotal: Operating Expenditures</b>	<b>20,295,424</b>	<b>22,993,428</b>	<b>22,785,933</b>	<b>22,797,280</b>	<b>23,537,898</b>
Capital Purchases and Equipment	22,202	20,114	34,000	34,000	34,000
<b>Total Expenditures</b>	<b>\$20,317,626</b>	<b>\$23,013,542</b>	<b>\$22,819,933</b>	<b>\$22,831,280</b>	<b>\$23,571,898</b>
<b>Expenditures By Funds</b>					
General Revenue	17,359,088	18,114,988	19,725,849	19,626,036	20,294,329
Federal Funds	1,201,828	3,107,132	1,267,991	1,308,299	1,343,291
Restricted Receipts	832,714	787,203	877,550	918,710	930,267
Other Funds	923,996	1,004,219	948,543	978,235	1,004,011
<b>Total Expenditures</b>	<b>\$20,317,626</b>	<b>\$23,013,542</b>	<b>\$22,819,933</b>	<b>\$22,831,280</b>	<b>\$23,571,898</b>

# Personnel

## Department Of Revenue

### Taxation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
EXECUTIVE DIRECTOR (DOA)/TAX	00152A	1.0	153,476	1.0	155,192
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	129,373	1.0	130,055
ASSOCIATE DIRECTOR, REVENUE SERVICES	00144A	1.0	112,549	1.0	118,377
CHIEF OF TAX PROCESSING SERVICES	00140A	2.0	224,452	2.0	225,643
CHIEF COMPLIANCE AND COLLECTIONS	00140A	1.0	109,541	1.0	110,111
CHIEF REVENUE AGENT	00138A	9.0	895,120	9.0	903,477
CHIEF ESTATE AND GIFT TAXES	00138A	1.0	80,932	1.0	82,840
SUPERVISING REVENUE OFFICER	00831A	6.0	467,880	6.0	478,020
PRINCIPAL REVENUE AGENT	00831A	17.0	1,297,695	17.0	1,306,269
REVENUE ANALYST	00328A	1.0	74,486	1.0	74,876
SENIOR REVENUE AGENT	00328A	28.0	1,952,438	28.0	1,966,998
CHIEF IMPLEMENTATION AIDE	00128A	1.0	68,989	1.0	69,349
SENIOR LEGAL COUNSEL	00134A	1.0	68,712	1.0	72,418
LEGAL OFFICER (TAXATION)	00133A	1.0	67,881	1.0	67,881
SPECIAL INVESTIGATION UNIT SUPERVISOR	00128A	1.0	67,832	1.0	68,192
REVENUE OFFICER SPECIAL INVESTIGATIONS	00324A	7.0	422,629	7.0	424,592
OFFICE MANAGER	00323A	1.0	60,237	1.0	60,553
TAXPAYER SERVICE SPECIALIST	00323A	6.0	341,464	6.0	345,265
REVENUE AGENT II	00326A	18.0	1,019,293	18.0	1,053,210
SUPERVISING PREAUDIT CLERK	00321A	1.0	55,760	1.0	56,052
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	52,805	1.0	53,537
TRAINING SUPERVISOR	00326A	1.0	51,930	1.0	56,203
TAX EXAMINER (DOA)	00321A	6.0	305,242	6.0	314,986
EMPLOYER REGISTRATION SUPERVISOR	00326A	1.0	50,454	1.0	50,988
REVENUE AGENT I	00324A	4 23.0	1,122,107	25.0	1,255,313
REVENUE OFFICER	00321A	7.0	338,691	7.0	343,197
IMPLEMENTATION AIDE	00122A	1.0	47,503	1.0	49,329
IMPLEMENTATION AIDE	00322A	1.0	46,130	1.0	47,659
TAX AIDE II	00318A	19.0	866,582	19.0	872,507
TAXPAYER ASSISTANCE REPRESENTATIVE	00318A	2.0	90,642	2.0	90,914
REVENUE OFFICER II	00322A	2.0	89,843	2.0	92,647
TAX INVESTIGATOR	00320A	4.0	175,942	4.0	176,164
STOREKEEPER	00315A	1.0	43,415	1.0	43,646
REVENUE OFFICER I	00320A	5 23.0	966,142	26.0	1,151,440
TAX AIDE I	00316A	22.0	905,735	22.0	920,947
WORD PROCESSING TYPIST	00310A	2.0	67,043	2.0	68,917
SENIOR CLERK-TYPIST	00309A	1.0	33,459	1.0	34,129
DATA ENTRY OPERATOR	00310A	2.0	66,265	2.0	67,456
REVENUE POLICY ANALYST (DOR)	00132A	6 -	-	1.0	66,364
<b>Subtotal</b>		<b>224.0</b>	<b>\$12,990,669</b>	<b>230.0</b>	<b>\$13,525,713</b>
<b>Unclassified</b>					
FISCAL CLERK	00314A	1.0	37,409	1.0	38,744
<b>Subtotal</b>		<b>1.0</b>	<b>\$37,409</b>	<b>1.0</b>	<b>\$38,744</b>

# Personnel

## Department Of Revenue Taxation

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	152,791	-	152,791
Temporary and Seasonal		-	159,840	-	159,840
Turnover		-	(518,746)	-	(784,142)
<b>Subtotal</b>		-	<b>(\$206,115)</b>	-	<b>(\$471,511)</b>
<b>Total Salaries</b>		<b>225.0</b>	<b>\$12,821,963</b>	<b>231.0</b>	<b>\$13,092,946</b>
<b>Benefits</b>					
Payroll Accrual			71,530		72,850
FICA			980,887		1,001,605
Retiree Health			760,890		777,056
Health Benefits			2,610,588		2,729,778
Retirement			3,093,785		3,374,669
<b>Subtotal</b>			<b>\$7,517,680</b>		<b>\$7,955,958</b>
<b>Total Salaries and Benefits</b>		<b>225.0</b>	<b>\$20,339,643</b>	<b>231.0</b>	<b>\$21,048,904</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$89,688</b>		<b>\$90,429</b>
<b>Statewide Benefit Assessment</b>			<b>\$575,425</b>		<b>\$606,782</b>
<b>Payroll Costs</b>		<b>225.0</b>	<b>\$20,915,068</b>	<b>231.0</b>	<b>\$21,655,686</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			2,000		2,000
Other Contracts			15,250		15,250
<b>Subtotal</b>			<b>\$17,250</b>		<b>\$17,250</b>
<b>Total Personnel</b>		<b>225.0</b>	<b>\$20,932,318</b>	<b>231.0</b>	<b>\$21,672,936</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		190.0	\$17,801,593	196.0	\$18,469,886
Federal Funds		35.0	\$1,272,912	35.0	\$1,307,904
Restricted Receipts		-	\$904,040	-	\$915,597
Other Funds		-	\$953,773	-	\$979,549
<b>Total All Funds</b>		<b>225.0</b>	<b>\$20,932,318</b>	<b>231.0</b>	<b>\$21,672,936</b>

4 2.0 new FTE positions to enhance revenue collections.

5 3.0 new FTE positions to enhance revenue collections.

6 1.0 new FTE position for Nexus Program

# The Program

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## Department Of Revenue Registry of Motor Vehicles

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### **Program Mission**

The Division of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles. It is committed to providing excellent customer service with integrity and transparency.

### **Program Description**

The Division of Motor Vehicles (DMV), also known as the “Registry of Motor Vehicles,” is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles and the issuance of licenses. It is responsible for the enforcement of all laws relating to the issuance, suspension, and revocation of motor vehicle registrations and licenses. It is responsible for administering the inspection of motor vehicles and the study of motor vehicle accidents.

### **Statutory History**

R.I. Gen. Laws § 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

# The Budget

## Department Of Revenue Registry of Motor Vehicles

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Registry of Motor Vehicles	18,843,955	20,232,516	24,435,644	26,807,725	28,535,703
Vehicle Value Commission	29,532	29,526	29,526	29,526	29,526
<b>Total Expenditures</b>	<b>\$18,873,487</b>	<b>\$20,262,042</b>	<b>\$24,465,170</b>	<b>\$26,837,251</b>	<b>\$28,565,229</b>
<b>Expenditures By Object</b>					
Personnel	13,206,557	13,971,045	14,311,719	18,013,439	17,278,932
Operating Supplies and Expenses	5,009,966	5,337,189	7,538,828	5,929,489	8,346,974
Assistance and Grants	2,247	2,192	2,650	2,350	2,350
<b>Subtotal: Operating Expenditures</b>	<b>18,218,770</b>	<b>19,310,426</b>	<b>21,853,197</b>	<b>23,945,278</b>	<b>25,628,256</b>
Capital Purchases and Equipment	588,780	892,276	2,543,872	2,823,872	2,868,872
Operating Transfers	65,937	59,340	68,101	68,101	68,101
<b>Total Expenditures</b>	<b>\$18,873,487</b>	<b>\$20,262,042</b>	<b>\$24,465,170</b>	<b>\$26,837,251</b>	<b>\$28,565,229</b>
<b>Expenditures By Funds</b>					
General Revenue	18,399,937	18,764,082	22,323,244	19,762,028	23,668,390
Federal Funds	453,987	1,207,851	47,163	3,980,460	802,076
Restricted Receipts	14,763	14,763	2,094,763	3,094,763	4,094,763
Operating Transfers from Other Funds	4,800	275,346	-	-	-
<b>Total Expenditures</b>	<b>\$18,873,487</b>	<b>\$20,262,042</b>	<b>\$24,465,170</b>	<b>\$26,837,251</b>	<b>\$28,565,229</b>

# Personnel

## Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2016		FY 2017		
		FTE	Cost	FTE	Cost	
<b>Classified</b>						
ASSISTANT MOTOR VEHICLES ADMINISTRATOR	00140A	1.0	112,226	1.0	112,822	
ASSOCIATE DIRECTOR REVENUE SERVICE (MOTOR	00144A	1.0	105,060	1.0	110,358	
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	93,845	1.0	94,341	
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	93,136	1.0	93,136	
DEPUTY MOTOR VEHICLES ADMINISTRATOR	00139A	1.0	92,854	1.0	93,347	
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	91,117	1.0	91,601	
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	1.0	89,730	1.0	93,965	
COORDINATOR, MOTOR CARRIER & SCHOOL BUS	00133A	1.0	86,235	1.0	86,693	
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	79,677	1.0	81,206	
CHIEF MOTOR VEHICLE SAFETY AND EMISSION	00135A	1.0	78,615	1.0	82,404	
CHIEF OFFICE OF ENFORCEMENT AND	00137A	1.0	75,435	1.0	76,944	
SUPERVISOR COMPUTER OPERATIONS	03328A	1.0	72,361	1.0	72,737	
CHIEF IMPLEMENTATION AIDE	00128A	3.0	207,961	3.0	211,648	
SENIOR COMMUNITY DEVELOPMENT TRAINING	00326A	1.0	66,461	1.0	66,814	
CHIEF IMPLEMENTATION AIDE	03328A	1.0	61,938	1.0	62,267	
PROGRAMMING SERVICES OFFICER	00131A	1.0	61,279	1.0	61,604	
MOTOR VEHICLE APPEALS OFFICER	00324A	9.0	537,688	9.0	546,715	
SUPERVISOR, MOTOR VEHICLE CUSTOMER	03324A	2.0	110,840	2.0	111,428	
LICENSE INVESTIGATOR	00322A	2.0	108,288	2.0	109,611	
MOTOR VEHICLE INVESTIGATOR	00320A	5.0	254,445	5.0	257,936	
FISCAL MANAGEMENT OFFICER	03326A	1.0	50,126	1.0	52,050	
INTERPRETING INTERVIEWER (SPANISH)	00319A	2.0	99,333	2.0	99,853	
ADMINISTRATIVE OFFICER	00124A	2.0	99,041	2.0	101,733	
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS.	00125A	1.0	49,095	1.0	50,922	
SUPERVISOR OF BRANCH OFFICE SERVICES	03322A	9.0	434,919	9.0	440,838	
SENIOR RESEARCH TECHNICIAN	00323A	1.0	47,493	1.0	49,501	
DATA CONTROL CLERK	00315A	1.0	47,105	1.0	47,351	
SENIOR TELLER	00318A	3.0	137,120	3.0	137,850	
TELLER	00315A	1.0	45,389	1.0	45,629	
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	48.5	2,162,899	48.5	2,195,713	
AUTOMOTIVE SERVICE SPECIALIST	00318A	4.0	177,829	4.0	178,774	
SENIOR AUTOMOTIVE AND EMISSION CONTROL	00321A	1.0	43,565	1.0	43,796	
PRINCIPAL CLERK-TYPIST	00312A	1.0	42,421	1.0	42,646	
LICENSING AIDE	00315A	2.0	83,828	2.0	85,308	
PRINCIPAL CLERK-STENOGRAPHER	00313A	2.0	83,368	2.0	83,808	
INFORMATION AIDE	00315A	3.0	124,684	3.0	126,702	
MOTOR VEHICLE OPERATOR EXAMINER	00316A	10.0	414,778	10.0	419,431	
TELEPHONE OPERATOR	00310A	1.0	41,477	1.0	41,697	
CUSTOMER SERVICE SPECIALIST I	00315A	5.0	201,877	5.0	205,442	
AUTOMOTIVE AND EMISSION CONTROL	00317A	2.0	76,870	2.0	78,839	
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	7	36.5	1,401,078	36.5	1,426,890
SENIOR CLERK-TYPIST	00309A	1.0	38,152	1.0	38,355	
SENIOR WORD PROCESSING TYPIST	00312A	3.0	114,224	3.0	116,719	
LAW STUDENT ASSISTANT	00277H	1.0	24,006	1.0	24,133	
<b>Subtotal</b>		<b>178.0</b>	<b>\$8,519,868</b>	<b>178.0</b>	<b>\$8,651,557</b>	



# Personnel

## Department Of Revenue Registry of Motor Vehicles

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	57,049	1.0	57,348
<b>Subtotal</b>		<b>1.0</b>	<b>\$57,049</b>	<b>1.0</b>	<b>\$57,348</b>
Overtime		-	334,837	-	401,084
Turnover		-	(264,512)	-	(308,102)
<b>Subtotal</b>		<b>-</b>	<b>\$70,325</b>	<b>-</b>	<b>\$92,982</b>
<b>Total Salaries</b>		<b>179.0</b>	<b>\$8,647,242</b>	<b>179.0</b>	<b>\$8,801,887</b>
<b>Benefits</b>					
Payroll Accrual			47,484		48,661
Holiday			1,000		1,000
FICA			649,497		673,413
Retiree Health			509,875		515,144
Health Benefits			2,143,439		2,168,225
Retirement			2,057,491		2,220,638
<b>Subtotal</b>			<b>\$5,408,786</b>		<b>\$5,627,081</b>
<b>Total Salaries and Benefits</b>		<b>179.0</b>	<b>\$14,056,028</b>	<b>179.0</b>	<b>\$14,428,968</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$78,525</b>		<b>\$80,609</b>
<b>Statewide Benefit Assessment</b>			<b>\$382,280</b>		<b>\$398,904</b>
<b>Payroll Costs</b>		<b>179.0</b>	<b>\$14,438,308</b>	<b>179.0</b>	<b>\$14,827,872</b>
<b>Purchased Services</b>					
Information Technology			3,334,255		2,260,780
Management & Consultant Services			12,786		-
Other Contracts			179,390		181,580
Buildings and Ground Maintenance			8,700		8,700
Training and Educational Services			40,000		-
<b>Subtotal</b>			<b>\$3,575,131</b>		<b>\$2,451,060</b>
<b>Total Personnel</b>		<b>179.0</b>	<b>\$18,013,439</b>	<b>179.0</b>	<b>\$17,278,932</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		178.3	\$14,592,021	178.3	\$14,970,989
Federal Funds		0.7	\$2,421,418	0.7	\$307,943
Restricted Receipts		-	\$1,000,000	-	\$2,000,000
<b>Total All Funds</b>		<b>179.0</b>	<b>\$18,013,439</b>	<b>179.0</b>	<b>\$17,278,932</b>

7 For FY 2016, includes 12.0 new Part-time CSR positions (6.5 FTE).

# The Program

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## Department Of Revenue State Aid

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### **Program Mission**

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

### **Program Description**

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration.

The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax exempt property (subject to appropriation). The FY 2015 revised budget is funded at \$40.1 million, while the FY 2016 proposed budget is funded at \$35.1 million. This represents a reimbursement rate of 20.75 percent in FY 2016. Data used to determine distribution amounts is updated annually to reflect the most recent data.

The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. The program is level funded at \$10.4 million in FY 2015 revised and FY 2016 proposed.

Municipal Incentive Aid is a new category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed "critical" must submit a Funding Improvement Plan under RIGL45-13.2. The aid is provided at \$5.0 million for FY 2015 and FY 2016.

The FY 2016 budget continues to provide funding for the Property Valuation Statistical Update Program, which reimburses cities and towns for legislatively mandated property valuation statistical updates on a per parcel basis. Funding for FY 2015 revised is estimated at \$696,500. The projected FY 2016 funding is \$1.8 million for estimated actual cost of reimbursement based on similar communities.

The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven year period. There have been various legislative changes to the legislation since its inception. Total funding enacted in FY 2015 revised and FY 2016 proposed to support this program is \$10.0 million. Data used to determine distribution amounts for FY 2016 is an estimate and is subject to change once finalized December 31, 2014 motor vehicle tax rolls are received.

### **Statutory History**

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

# The Budget

## Department Of Revenue State Aid

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
State Aid	61,583,867	67,092,799	68,165,640	68,302,182	63,845,475
<b>Total Expenditures</b>	<b>\$61,583,867</b>	<b>\$67,092,799</b>	<b>\$68,165,640</b>	<b>\$68,302,182</b>	<b>\$63,845,475</b>
<b>Expenditures By Object</b>					
Operating Supplies and Expenses	-	916,700	-	-	-
Aid to Local Units of Government	61,583,867	66,176,099	68,165,640	68,302,182	63,845,475
<b>Subtotal: Operating Expenditures</b>	<b>61,583,867</b>	<b>67,092,799</b>	<b>68,165,640</b>	<b>68,302,182</b>	<b>63,845,475</b>
<b>Total Expenditures</b>	<b>\$61,583,867</b>	<b>\$67,092,799</b>	<b>\$68,165,640</b>	<b>\$68,302,182</b>	<b>\$63,845,475</b>
<b>Expenditures By Funds</b>					
General Revenue	60,735,243	66,176,099	67,243,627	67,380,169	62,923,462
Restricted Receipts	848,624	916,700	922,013	922,013	922,013
<b>Total Expenditures</b>	<b>\$61,583,867</b>	<b>\$67,092,799</b>	<b>\$68,165,640</b>	<b>\$68,302,182</b>	<b>\$63,845,475</b>

# Agency

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## General Assembly - Constitution

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### Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy and evaluation of programs through the appropriation process.

### Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

### Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

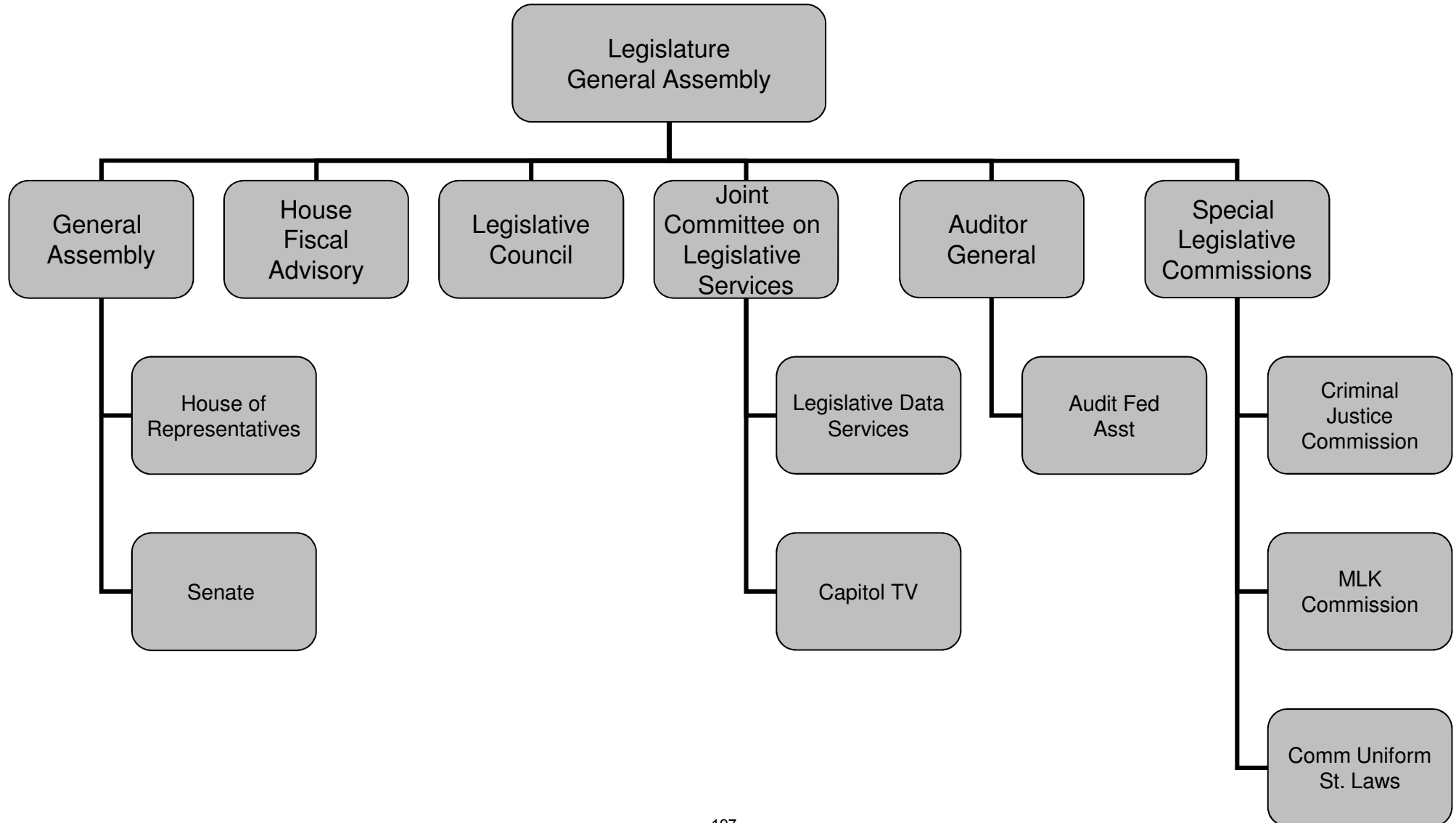
# Budget

## General Assembly - Constitution

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
General Assembly	4,830,111	5,100,489	5,526,121	6,727,584	5,725,048
Fiscal Advisory Staff	1,573,288	1,464,798	1,846,092	1,899,935	1,893,563
Legislative Council	3,789,825	3,692,521	5,018,501	4,916,064	5,357,247
Joint Comm. on Legislative Services	20,824,899	21,485,556	23,170,750	25,171,175	24,414,329
Auditor General	4,656,039	4,439,628	5,579,580	5,377,177	5,645,215
Special Legislative Commissions	7,536	7,430	13,900	13,900	13,900
<b>Total Expenditures</b>	<b>\$35,681,698</b>	<b>\$36,190,422</b>	<b>\$41,154,944</b>	<b>\$44,105,835</b>	<b>\$43,049,302</b>
<b>Expenditures By Object</b>					
Personnel	31,213,965	31,199,869	35,714,847	34,630,838	36,919,042
Operating Supplies and Expenses	2,151,997	2,589,008	2,876,597	5,813,497	3,092,760
Assistance and Grants	2,158,670	2,165,230	2,300,000	2,300,000	2,300,000
<b>Subtotal: Operating Expenditures</b>	<b>35,524,632</b>	<b>35,954,107</b>	<b>40,891,444</b>	<b>42,744,335</b>	<b>42,311,802</b>
Capital Purchases and Equipment	157,066	236,315	263,500	1,361,500	737,500
<b>Total Expenditures</b>	<b>\$35,681,698</b>	<b>\$36,190,422</b>	<b>\$41,154,944</b>	<b>\$44,105,835</b>	<b>\$43,049,302</b>
<b>Expenditures By Funds</b>					
General Revenue	34,498,448	34,865,422	39,474,071	42,490,012	41,352,730
Restricted Receipts	1,183,250	1,325,000	1,680,873	1,615,823	1,696,572
<b>Total Expenditures</b>	<b>\$35,681,698</b>	<b>\$36,190,422</b>	<b>\$41,154,944</b>	<b>\$44,105,835</b>	<b>\$43,049,302</b>
<b>FTE Authorization</b>	<b>298.5</b>	<b>298.5</b>	<b>298.5</b>	<b>298.5</b>	<b>298.5</b>

# The Agency

## Legislature General Assembly



# Personnel

## General Assembly - Constitution Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Unclassified		298.5	21,476,844	298.5	21,713,977
<b>Subtotal</b>		<b>298.5</b>	<b>\$21,476,844</b>	<b>298.5</b>	<b>\$21,713,977</b>
Merit Increases - Auditor General		-	20,000	-	30,000
Temporary and Seasonal		-	321,627	-	289,550
Turnover		-	(\$1,047,375)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$705,748)</b>	<b>-</b>	<b>\$319,550</b>
<b>Total Salaries</b>		<b>298.5</b>	<b>\$20,771,096</b>	<b>298.5</b>	<b>\$22,033,527</b>
<b>Benefits</b>					
Payroll Accrual			116,082		124,694
FICA			1,566,419		1,658,705
Retiree Health			1,143,675		1,216,805
Health Benefits			4,866,947		5,087,674
Retirement			4,600,648		5,236,958
<b>Subtotal</b>			<b>\$12,293,771</b>		<b>\$13,324,836</b>
<b>Total Salaries and Benefits</b>		<b>298.5</b>	<b>\$33,064,867</b>	<b>298.5</b>	<b>\$35,358,363</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$109,693</b>		<b>\$117,483</b>
<b>Statewide Benefit Assessment</b>			<b>\$940,621</b>		<b>\$1,031,179</b>
<b>Payroll Costs</b>		<b>298.5</b>	<b>\$34,005,488</b>	<b>298.5</b>	<b>\$36,389,542</b>
<b>Purchased Services</b>					
Information Technology			130,000		210,000
Clerical and Temporary Services			67,000		55,000
Management & Consultant Services			134,500		48,500
Legal Services			280,000		210,000
Other Contracts			2,850		2,500
Buildings and Ground Maintenance			11,000		3,500
<b>Subtotal</b>			<b>\$625,350</b>		<b>\$529,500</b>
<b>Total Personnel</b>		<b>298.5</b>	<b>\$34,630,838</b>	<b>298.5</b>	<b>\$36,919,042</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		298.5	\$33,181,388	298.5	\$35,353,465
Restricted Receipts		-	\$1,449,450	-	\$1,565,577
<b>Total All Funds</b>		<b>298.5</b>	<b>\$34,630,838</b>	<b>298.5</b>	<b>\$36,919,042</b>

# The Program

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## General Assembly - Constitution General Assembly

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### **Program Mission**

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

### **Program Description**

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

### **Statutory History**

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.



# The Budget

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## General Assembly - Constitution General Assembly

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	4,830,111	5,100,489	5,526,121	6,727,584	5,725,048
<b>Total Expenditures</b>	<b>\$4,830,111</b>	<b>\$5,100,489</b>	<b>\$5,526,121</b>	<b>\$6,727,584</b>	<b>\$5,725,048</b>
<b>Expenditures By Object</b>					
Personnel	3,642,000	3,558,652	4,030,571	3,863,034	3,901,198
Operating Supplies and Expenses	1,101,024	1,462,901	1,435,050	2,560,550	1,658,350
<b>Subtotal: Operating Expenditures</b>	<b>4,743,024</b>	<b>5,021,553</b>	<b>5,465,621</b>	<b>6,423,584</b>	<b>5,559,548</b>
Capital Purchases and Equipment	87,087	78,936	60,500	304,000	165,500
<b>Total Expenditures</b>	<b>\$4,830,111</b>	<b>\$5,100,489</b>	<b>\$5,526,121</b>	<b>\$6,727,584</b>	<b>\$5,725,048</b>
<b>Expenditures By Funds</b>					
General Revenue	4,830,111	5,100,489	5,526,121	6,727,584	5,725,048
<b>Total Expenditures</b>	<b>\$4,830,111</b>	<b>\$5,100,489</b>	<b>\$5,526,121</b>	<b>\$6,727,584</b>	<b>\$5,725,048</b>

# Personnel

## General Assembly - Constitution

### General Assembly

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
REPRESENTATIVE	00509F	-	-	-	-
REPRESENTATIVE	00509F	-	1,126,232	-	1,174,824
REPRESENTATIVE	80509F	-	-	-	-
REPRESENTATIVE	10509F	-	-	-	-
REPRESENTATIVE-SPEAKER OF THE HOUSE	00511F	-	30,829	-	31,754
SENATOR	50509F	-	-	-	-
SENATOR	10509F	-	-	-	-
SENATOR	00509F	-	553,938	-	587,412
SENATOR	00509F	-	-	-	-
SENATOR-PRESIDENT OF THE SENATE	00511F	-	30,829	-	31,754
<b>Subtotal</b>		-	<b>\$1,741,828</b>	-	<b>\$1,825,744</b>
Temporary and Seasonal		-	321,627	-	289,550
<b>Subtotal</b>		-	<b>\$321,627</b>	-	<b>\$289,550</b>
<b>Total Salaries</b>		-	<b>\$2,063,455</b>	-	<b>\$2,115,294</b>
<b>Benefits</b>					
Payroll Accrual			9,304		9,510
FICA			157,155		154,760
Retiree Health			26,670		27,450
Health Benefits			1,171,630		1,216,993
<b>Subtotal</b>			<b>\$1,364,759</b>		<b>\$1,408,713</b>
<b>Total Salaries and Benefits</b>		-	<b>\$3,428,214</b>	-	<b>\$3,524,007</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			-		-
<b>Statewide Benefit Assessment</b>			<b>\$80,120</b>		<b>\$85,191</b>
<b>Payroll Costs</b>		-	<b>\$3,508,334</b>	-	<b>\$3,609,198</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			66,500		54,500
Management & Consultant Services			61,000		30,000
Legal Services			225,000		205,000
Other Contracts			2,200		2,500
<b>Subtotal</b>			<b>\$354,700</b>		<b>\$292,000</b>
<b>Total Personnel</b>		-	<b>\$3,863,034</b>	-	<b>\$3,901,198</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		-	\$3,863,034	-	\$3,901,198
<b>Total All Funds</b>		-	<b>\$3,863,034</b>	-	<b>\$3,901,198</b>

# The Program

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## General Assembly - Constitution Fiscal Advisory Staff

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### **Program Mission**

Perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

### **Program Description**

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

### **Statutory History**

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

# The Budget

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## General Assembly - Constitution Fiscal Advisory Staff

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,573,288	1,464,798	1,846,092	1,899,935	1,893,563
<b>Total Expenditures</b>	<b>\$1,573,288</b>	<b>\$1,464,798</b>	<b>\$1,846,092</b>	<b>\$1,899,935</b>	<b>\$1,893,563</b>
<b>Expenditures By Object</b>					
Personnel	1,516,654	1,402,401	1,742,212	1,595,855	1,763,163
Operating Supplies and Expenses	56,634	62,397	91,880	226,080	105,400
<b>Subtotal: Operating Expenditures</b>	<b>1,573,288</b>	<b>1,464,798</b>	<b>1,834,092</b>	<b>1,821,935</b>	<b>1,868,563</b>
Capital Purchases and Equipment	-	-	12,000	78,000	25,000
<b>Total Expenditures</b>	<b>\$1,573,288</b>	<b>\$1,464,798</b>	<b>\$1,846,092</b>	<b>\$1,899,935</b>	<b>\$1,893,563</b>
<b>Expenditures By Funds</b>					
General Revenue	1,573,288	1,464,798	1,846,092	1,899,935	1,893,563
<b>Total Expenditures</b>	<b>\$1,573,288</b>	<b>\$1,464,798</b>	<b>\$1,846,092</b>	<b>\$1,899,935</b>	<b>\$1,893,563</b>

# Personnel

## General Assembly - Constitution Fiscal Advisory Staff

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
HOUSE FISCAL ADVISOR	07759F	1.0	174,195	1.0	175,120
DEPUTY FISCAL ADVISOR	07943F	1.0	116,113	1.0	116,729
PRINCIPAL ANALYST II	07749F	1.0	112,346	1.0	112,944
PRINCIPAL ANALYST I	07963F	1.0	105,546	1.0	106,106
ANALYST IV	07833F	1.0	77,550	1.0	77,962
SENIOR ANALYST I	07894F	1.0	77,550	1.0	77,962
ANALYST II	07992F	1.0	64,252	1.0	64,593
ANALYST II	07792F	2.0	128,496	2.0	128,496
ANALYST I	07722F	3.0	174,840	3.0	175,770
ADMINISTRATIVE ASSISTANT	07821F	1.0	58,138	1.0	58,446
<b>Subtotal</b>		<b>13.0</b>	<b>\$1,089,026</b>	<b>13.0</b>	<b>\$1,094,128</b>
Turnover		-	(93,388)	-	-
<b>Subtotal</b>		-	<b>(\$93,388)</b>	-	-
<b>Total Salaries</b>		<b>13.0</b>	<b>\$995,638</b>	<b>13.0</b>	<b>\$1,094,128</b>
<b>Benefits</b>					
Payroll Accrual			5,677		6,320
FICA			72,900		80,567
Retiree Health			59,440		65,321
Health Benefits			171,076		176,664
Retirement			245,325		288,192
<b>Subtotal</b>			<b>\$554,418</b>		<b>\$617,064</b>
<b>Total Salaries and Benefits</b>		<b>13.0</b>	<b>\$1,550,056</b>	<b>13.0</b>	<b>\$1,711,192</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$119,235</b>		<b>\$131,630</b>
<b>Statewide Benefit Assessment</b>			<b>\$45,799</b>		<b>\$51,971</b>
<b>Payroll Costs</b>		<b>13.0</b>	<b>\$1,595,855</b>	<b>13.0</b>	<b>\$1,763,163</b>
<b>Total Personnel</b>		<b>13.0</b>	<b>\$1,595,855</b>	<b>13.0</b>	<b>\$1,763,163</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		13.0	\$1,595,855	13.0	\$1,763,163
<b>Total All Funds</b>		<b>13.0</b>	<b>\$1,595,855</b>	<b>13.0</b>	<b>\$1,763,163</b>

# The Program

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## General Assembly - Constitution Legislative Council

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### **Program Mission**

Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries and clerical aides provides legislators with information on matters pending or to come before the Legislature. Legislative Council's principal activity is drafting of legislation for individual members.

### **Program Description**

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing and duplicating of the bill and its delivery to legislators in the House and Senate chambers.

### **Statutory History**

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

# The Budget

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## General Assembly - Constitution Legislative Council

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	3,789,825	3,692,521	5,018,501	4,916,064	5,357,247
<b>Total Expenditures</b>	<b>\$3,789,825</b>	<b>\$3,692,521</b>	<b>\$5,018,501</b>	<b>\$4,916,064</b>	<b>\$5,357,247</b>
<b>Expenditures By Object</b>					
Personnel	3,755,646	3,639,314	4,926,951	4,493,514	5,200,297
Operating Supplies and Expenses	34,179	53,207	80,050	352,550	111,950
<b>Subtotal: Operating Expenditures</b>	<b>3,789,825</b>	<b>3,692,521</b>	<b>5,007,001</b>	<b>4,846,064</b>	<b>5,312,247</b>
Capital Purchases and Equipment	-	-	11,500	70,000	45,000
<b>Total Expenditures</b>	<b>\$3,789,825</b>	<b>\$3,692,521</b>	<b>\$5,018,501</b>	<b>\$4,916,064</b>	<b>\$5,357,247</b>
<b>Expenditures By Funds</b>					
General Revenue	3,789,825	3,692,521	5,018,501	4,916,064	5,357,247
<b>Total Expenditures</b>	<b>\$3,789,825</b>	<b>\$3,692,521</b>	<b>\$5,018,501</b>	<b>\$4,916,064</b>	<b>\$5,357,247</b>

# Personnel

## General Assembly - Constitution Legislative Council

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
LEGAL COUNSEL (LEGISLATIVE COU	07823F	1.0	123,198	1.0	123,852
CHIEF ASST TO DIRECTOR	07991F	1.0	122,124	1.0	122,774
LEGAL COUNSEL - PT	07792F	0.6	65,692	0.6	66,041
LEGAL COUNSEL	07818H	0.6	64,768	0.6	65,112
LEGAL COUNSEL	07967F	0.6	61,541	0.6	61,868
LEGAL COUNSEL	07866F	0.6	58,164	0.6	58,473
SENIOR LEGAL COUNSEL	07906F	3.0	283,318	3.0	283,837
LEGAL COUNSEL	07743F	2.0	188,625	2.0	189,171
LEGAL COUNSEL--PT	07788F	1.0	93,624	1.0	94,121
LAW CLERK	00822F	0.6	54,565	0.6	54,565
LEGAL COUNSEL	07765F	0.6	51,308	0.6	51,581
LEGAL COUNSEL	07726F	0.6	48,065	0.6	48,320
ANALYST I	07765F	1.2	89,231	1.2	89,468
LEGAL COUNSEL	07734F	0.6	41,451	0.6	41,671
LEGISLATIVE ANALYST	07724F	1.0	62,476	1.0	62,808
RESEARCHER II	07978F	1.0	62,103	1.0	62,432
LEGAL COUNSEL	07756F	1.2	74,299	1.2	74,443
LEGAL COUNSEL--PT	07757F	0.6	34,864	0.6	35,049
CLERICAL (LEGISLATIVE COUNCIL)	07824F	1.0	57,917	1.0	58,224
LEGAL COUNSEL	07962F	0.6	34,335	0.6	34,518
CLERICAL	07811F	1.0	52,941	1.0	53,222
CONSTITUENT LIAISON	07976F	1.0	52,139	1.0	52,416
LEGAL COUNSEL	07795F	1.0	51,465	1.0	51,465
LEGISLATIVE AIDE	07709F	1.0	51,173	1.0	51,444
LEGAL COUNSEL	07781F	3.2	163,414	3.2	163,585
LEGAL COUNSEL--PT	07903F	0.6	30,124	0.6	30,284
LEGAL COUNSEL	07706F	0.6	30,035	0.6	30,195
LEGAL COUNSEL PT	07976F	0.6	29,794	0.6	29,952
SECRETARY	07928F	1.0	49,101	1.0	49,101
SECRETARY I	07922F	1.0	49,101	1.0	49,362
SECRETARY I	07974F	1.0	48,479	1.0	48,736
CLERICAL	07816F	1.0	44,753	1.0	44,991
LEGAL COUNSEL	07719F	0.6	26,536	0.6	26,677
SECRETARY	07984F	1.0	44,071	1.0	44,071
CLERICAL	07917F	1.0	43,435	1.0	43,435
LEGISLATIVE AIDE	07895F	1.0	43,338	1.0	43,568
LEGAL COUNSEL	07719F	0.6	25,525	0.6	25,661
LEGAL COUNSEL	07719F	0.6	25,521	0.6	25,657
SECRETARY	07925F	2.0	82,426	2.0	82,426
LEGAL COUNSEL	07961F	0.6	24,203	0.6	24,332
SECRETARY	07928F	1.0	40,304	1.0	40,518
LEGIS AIDE	07877H	1.0	40,213	1.0	40,427
PROOFREADER	07938F	1.0	40,114	1.0	40,327
LEGAL COUNSEL	07819F	0.6	23,898	0.6	24,026
LEGISLATIVE RESEARCHER	07907F	1.0	38,726	1.0	38,932



# Personnel

## General Assembly - Constitution Legislative Council

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
LEGAL COUNSEL	07777F	1.2	46,333	1.2	46,457
LEGAL COUNSEL	07983F	0.6	21,733	0.6	21,848
SENIOR PROOFREADER	07923F	1.0	32,047	1.0	32,218
CLERICAL	07920F	2.0	61,014	2.0	61,014
PROOFER	07717F	1.0	30,426	1.0	30,588
<b>Subtotal</b>		<b>50.2</b>	<b>\$3,014,050</b>	<b>50.2</b>	<b>\$3,025,263</b>
Turnover		-	(451,987)	-	-
<b>Subtotal</b>		-	<b>(\$451,987)</b>	-	-
<b>Total Salaries</b>		<b>50.2</b>	<b>\$2,562,063</b>	<b>50.2</b>	<b>\$3,025,263</b>
<b>Benefits</b>					
Payroll Accrual			14,638		17,513
FICA			195,857		231,434
Retiree Health			152,957		180,612
Health Benefits			763,353		799,423
Retirement			631,288		796,851
<b>Subtotal</b>			<b>\$1,758,093</b>		<b>\$2,025,833</b>
<b>Total Salaries and Benefits</b>		<b>50.2</b>	<b>\$4,320,156</b>	<b>50.2</b>	<b>\$5,051,096</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$86,059</b>		<b>\$100,619</b>
<b>Statewide Benefit Assessment</b>			<b>\$117,858</b>		<b>\$143,701</b>
<b>Payroll Costs</b>		<b>50.2</b>	<b>\$4,438,014</b>	<b>50.2</b>	<b>\$5,194,797</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			500		500
Legal Services			55,000		5,000
<b>Subtotal</b>			<b>\$55,500</b>		<b>\$5,500</b>
<b>Total Personnel</b>		<b>50.2</b>	<b>\$4,493,514</b>	<b>50.2</b>	<b>\$5,200,297</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		50.2	\$4,493,514	50.2	\$5,200,297
<b>Total All Funds</b>		<b>50.2</b>	<b>\$4,493,514</b>	<b>50.2</b>	<b>\$5,200,297</b>

# The Program

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## General Assembly - Constitution Joint Comm. on Legislative Services

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### **Program Mission**

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

### **Program Description**

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and is responsible for repairs to equipment and furnishings of the Legislature. All payables of the Legislature are processed by utilizing the State's RIFANS financial system.

### **Statutory History**

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

# The Budget

## General Assembly - Constitution Joint Comm. on Legislative Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	20,824,899	21,485,556	23,170,750	25,171,175	24,414,329
<b>Total Expenditures</b>	<b>\$20,824,899</b>	<b>\$21,485,556</b>	<b>\$23,170,750</b>	<b>\$25,171,175</b>	<b>\$24,414,329</b>
<b>Expenditures By Object</b>					
Personnel	18,028,661	18,621,715	19,970,610	19,855,835	20,845,819
Operating Supplies and Expenses	575,607	548,488	750,640	2,155,840	786,510
Assistance and Grants	2,158,670	2,165,230	2,300,000	2,300,000	2,300,000
<b>Subtotal: Operating Expenditures</b>	<b>20,762,938</b>	<b>21,335,433</b>	<b>23,021,250</b>	<b>24,311,675</b>	<b>23,932,329</b>
Capital Purchases and Equipment	61,961	150,123	149,500	859,500	482,000
<b>Total Expenditures</b>	<b>\$20,824,899</b>	<b>\$21,485,556</b>	<b>\$23,170,750</b>	<b>\$25,171,175</b>	<b>\$24,414,329</b>
<b>Expenditures By Funds</b>					
General Revenue	20,824,899	21,485,556	23,170,750	25,171,175	24,414,329
<b>Total Expenditures</b>	<b>\$20,824,899</b>	<b>\$21,485,556</b>	<b>\$23,170,750</b>	<b>\$25,171,175</b>	<b>\$24,414,329</b>

# Personnel

## General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF OF STAFF	07834F	1.0	177,212	1.0	178,152
EXECUTIVE DIRECTOR TO JCLS	07703F	1.0	155,108	1.0	155,931
LEGAL COUNSEL TO THE SEN PRES.	07855F	1.0	153,664	1.0	154,480
DEP CHF OF STAFF/DIR OF POLICY	07823F	1.0	147,837	1.0	148,622
CHIEF OF STAFF	07834F	1.0	147,676	1.0	148,460
SENATE FISCAL ADVISOR	07960F	1.0	144,090	1.0	144,855
DIRECTOR OF HOUSE POLICY	07878F	1.0	140,513	1.0	141,259
CHIEF LEGAL COUNSEL	07855F	1.0	134,456	1.0	135,170
DIRECTOR OF LAW REVISION	07855F	1.0	134,456	1.0	135,170
LEGAL COUNSEL	07711F	1.0	132,770	1.0	133,474
HOUSE DIR OF COMMUNICATIONS	07711F	1.0	126,735	1.0	127,407
SENIOR POLICY ANALYST	07979F	1.0	124,330	1.0	125,143
LEGAL COUNSEL -PT	07736F	0.6	73,753	0.6	74,146
DEP CHIEF OF STAFF/LEGISLATION	07943F	1.0	121,642	1.0	122,288
DIRECTOR OF IT	07898F	1.0	121,269	1.0	121,912
LEGAL COUNSEL - PT	07731F	0.6	70,580	0.6	70,955
LEGAL COUNSEL/HOUSE MAJ LEADER	07866F	1.0	116,329	1.0	116,946
SENIOR DEPUTY CHIEF OF STAFF	07943F	1.0	110,584	1.0	111,171
DIR OF LEGAL SVS FOR HSE COMM	07736F	0.6	64,134	0.6	64,475
LEGISLATIVE PERSONNEL ADMIN	07822F	1.0	105,545	1.0	106,106
DEPUTY FISCAL ADVISOR	07793F	1.0	101,579	1.0	102,118
SECRETARY OF THE SENATE	07743F	1.0	100,743	1.0	101,278
DEPUTY LEGAL COUNSEL	07963F	1.0	100,520	1.0	101,053
LEGAL COUNSEL	07963F	1.0	100,520	1.0	101,053
SENATE PARLIAMENTARIAN	07993F	0.6	59,370	0.6	59,686
EXECUTIVE DIRECTOR	07940F	1.0	98,889	1.0	99,415
EXECUTIVE ASSISTANT	07783F	1.0	97,511	1.0	98,033
LEGAL COUNSEL	07822F	1.0	95,489	1.0	95,996
ASST DIRECTOR OF LAW REVISION	07959F	0.7	64,649	0.7	63,941
SPEC ASST TO MAJORITY LEADER	07714F	1.0	92,201	1.0	92,691
HOUSE READING CLERK	07820F	0.9	79,261	0.9	79,682
SENIOR FINANCIAL OFFICER	07894F	1.0	91,121	1.0	91,605
HOUSE PARLIAMENTARIAN-LEG COUN	07702F	0.6	54,480	0.6	54,770
EXECUTIVE ASSISTANT	07721F	1.0	89,542	1.0	90,017
DIRECTOR OF CAPITOL TV	07797F	1.0	89,250	1.0	89,723
ADMINISTRATIVE ASSISTANT	07721F	1.0	87,676	1.0	88,141
SR LEGISLATIVE FISCAL ANLAYST	07784F	1.0	86,557	1.0	87,017
DEPUTY DIRECTOR IT	07854F	1.0	86,317	1.0	85,110
DIRECTOR OF CONSTITUENT SERVICES	07915F	1.0	84,035	1.0	84,481
SR DATA/ SYSTEM SPECIALIST	07997F	1.0	83,953	1.0	84,398
ADMINISTRATIVE ASSISTANT	07716F	1.0	82,935	1.0	83,375
DIRECTOR OF COMMUNICATIONS	07765F	1.0	81,983	1.0	82,418
SUPERVISING SVS ADMINISTRATOR	07896F	1.0	80,328	1.0	80,755
LEGAL COUNSEL	07916F	0.6	48,179	0.6	48,436
DIR OF CONSTITUENT SERVICES	07915F	1.0	80,215	1.0	80,641

# Personnel

## General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
TELEVISION MAINTENANCE ENGINEER	07771F	1.0	79,526	1.0	79,948
DEPUTY POLICY DIRECTOR	007771	1.0	79,524	1.0	81,115
HOUSE RECORDING CLERK	07857H	0.6	47,253	0.6	47,504
ADMINISTRATIVE ASSISTANT	07721F	1.0	78,349	1.0	78,765
LEGAL COUNSEL	07916F	0.6	46,190	0.6	46,436
CHIEF OF STAFF	07725F	1.0	74,669	1.0	75,065
PROGRAM COORDINATOR	07721F	1.0	74,618	1.0	76,110
LEGISLATIVE GRANTS COORDINATOR	07865F	1.0	74,329	1.0	74,724
LEGAL COUNSEL/SPECIAL PROJECTS	07911F	1.0	74,109	1.0	75,591
SR. PRODUCER/DIRECTOR	07729F	1.0	74,046	1.0	74,439
CHIEF OF STAFF	07871F	1.0	73,984	1.0	74,376
DPTY DIR OF CONSTITUENT SERVIC	07916F	1.0	73,318	1.0	73,707
LEGIS. COORDINATOR	07728F	1.0	73,169	1.0	73,557
IT TECHNICAL SPECIALIST II	07710F	1.0	72,443	1.0	72,828
SUPERVISOR LEGISLATIVE PRESS	007797	2.0	143,949	1.0	83,118
ASSISTANT TO ADMINISTRATOR	07747F	1.0	71,572	1.0	71,953
LEGISLATIVE FISCAL ANALYST II	07725F	1.0	71,275	1.0	71,653
POLICY ANALYST II	07893F	1.0	70,247	1.0	70,620
FISCAL ANALYST II	07893F	0.6	41,905	0.6	42,372
LEGISLATIVE AIDE	07710F	1.0	69,151	1.0	69,518
PRINCIPAL POLICY ANALYST	07734F	1.0	69,085	1.0	69,452
FISCAL ANALYST II	07725F	1.0	67,881	1.0	68,241
FISCAL ANALYST II	07725F	1.0	67,881	1.0	68,241
DIRECTOR OF SENATE SERVICES	07826F	1.0	67,865	1.0	68,225
IT TECHNICAL SPECIALIST II	07871F	1.0	67,819	1.0	68,179
SECRETARY	07925F	1.0	67,291	1.0	67,648
SENIOR POLICY ANALYST	07996F	1.0	65,593	1.0	65,941
SENIOR PROGRAMMER	07771F	1.0	65,477	1.0	65,477
ADMIN OF HOUSE OVERSIGHT	07936F	1.0	65,218	1.0	65,564
OPERATIONS SUPERVISOR	07864F	1.0	65,199	1.0	65,545
LEGISLATIVE FINANCIAL OFFICER	07992F	1.0	64,252	1.0	64,593
POLICY ANALYST II	07715F	1.0	64,138	1.0	64,478
DIRECTOR OF COMMUNICATIONS	07863F	1.0	63,811	1.0	64,150
SECRETARY	07856F	1.0	63,440	1.0	63,777
POLICY ANALYST	07729F	1.0	63,018	1.0	63,352
LEGAL COUNSEL	07735F	0.6	37,184	0.6	37,382
SECRETARY	07955F	1.0	61,596	1.0	61,923
SUPERVISOR CLERICAL SERVICES	07727F	1.0	61,485	1.0	61,811
SUPERVISOR VET'S AFFAIRS OFFI	07908F	1.0	60,810	1.0	61,132
EXECUTIVE ASSISTANT	07776F	1.0	60,624	1.0	60,946
POLICY ANALYST I	07868H	0.6	36,214	0.6	36,406
ANALYST	07922F	1.0	58,922	1.0	60,100
SENIOR POLICY ANALYST	07708F	1.0	57,956	1.0	58,263
DATA ANALYST	07786F	1.0	57,915	1.0	58,224
POLICY ANALYST	07818F	1.0	57,781	1.0	58,088
LEGISLATIVE COORDINATOR	07776F	1.0	57,738	1.0	58,044

# Personnel

## General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SECRETARY	07955F	1.0	57,664	1.0	57,970
CONSTITUENT LIAISON	07812F	1.0	57,642	1.0	57,950
LEGISLATIVE ASST --PT	07755F	0.6	34,576	0.6	34,760
LEGAL COUNSEL	07886F	0.6	34,572	0.6	34,756
LEGAL COORDINATOR	07709F	1.0	57,265	1.0	57,569
SECRETARY	07989F	1.0	56,765	1.0	57,066
COMPUTER OPERATOR	07824F	1.0	56,711	1.0	57,012
POLICY ANALYST	07723F	1.0	56,704	1.0	57,005
SR. PRESS OPERATOR	07891F	1.0	56,456	1.0	56,755
CLERICAL	07881F	1.0	56,388	1.0	56,688
OPERATIONS PROJECT COORD.	07779F	1.0	55,848	1.0	56,145
LEGISLATIVE COORDINATOR	07937F	1.0	55,320	1.0	55,614
ADMINISTRATIVE ASSISTANT - PT	07949F	1.0	55,213	1.0	55,506
SECRETARY	07955F	1.0	55,043	1.0	55,335
SECRETARY I	07802F	1.0	54,946	1.0	55,238
ASSISTANT COMPUTER OPERATOR	07951F	1.0	54,630	1.0	54,920
LEGISLATIVE AIDE	07756F	1.0	54,375	1.0	54,663
LEGISLATIVE AIDE	07790F	1.0	54,369	1.0	54,658
LEGISLATIVE ASSISTANT	07790F	1.0	54,369	1.0	54,658
ASSISTANT ENGINEER	07859F	1.0	54,353	1.0	54,642
POLICY ANALYST	07757F	0.6	30,110	0.6	30,270
TV TECHNICIAN II	07730F	1.0	53,753	1.0	54,038
ADMINISTRATIVE ASSIST.	07973F	1.0	53,718	1.0	54,003
PUBLICIST	07994F	1.0	53,456	1.0	53,739
ADMINISTRATIVE ASSISTANT	07742F	1.0	53,255	1.0	53,537
ADMINISTRATIVE ASSISTANT	07897F	1.0	53,189	1.0	53,472
ADMINISTRATIVE ASSISTANT	07955F	1.0	52,422	1.0	52,700
CONST. SERVICES CASEWORKER	07946F	1.0	52,235	1.0	52,512
TV TECHNICIAN II	07944F	1.0	52,004	1.0	52,280
POLICY ANALYST/COMM ASSISTANT	07887F	1.0	51,868	1.0	52,143
SECRETARY	07887F	1.0	51,868	1.0	52,143
CONSTITUENT SERVICES LIAISON	07887F	0.6	30,942	0.6	29,796
TV DIRECTOR	07802F	1.0	51,439	1.0	51,712
CONSTITUENT LIAISON	00973F	1.0	51,276	1.0	51,548
T. V. TECHNICIAN	07973F	1.0	51,276	1.0	51,548
ANALYST	07857F	1.0	51,092	1.0	51,363
CLERICAL	07920F	1.0	51,001	1.0	51,272
LEGISLATIVE AIDE	07994F	1.0	50,910	1.0	51,180
SECRETARY I	07773F	1.0	50,612	1.0	50,880
ADMINISTRATIVE ASSISTANT	07779F	1.0	50,196	1.0	50,462
DATA ANALYST	07824F	2.0	98,941	1.0	50,946
SECRETARY/CLERK	07802F	1.0	49,101	1.0	49,361
PRESS OPERATOR	07782F	3.0	145,414	3.0	146,186
LEGISLATIVE ASSISTANT	07994F	2.0	96,744	2.0	105,204
LEGAL COUNSEL	07843F	0.6	28,982	0.6	29,136
LEGISLATIVE PROJECT COORDINATO	07869F	1.0	48,264	1.0	48,520

# Personnel

## General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
T.V. TECHNICIAN	07819F	1.0	48,095	1.0	48,351
LEGISLATIVE ASSISTANT	07848H	0.6	28,774	0.6	28,927
ASSISTANT PROGRAM DIRECTOR	07779F	1.0	47,806	1.0	48,059
LEGISLATIVE AIDE	07857F	1.6	76,463	1.6	77,584
CLERK CORPORATIONS COMMITTEE	07892F	1.0	47,508	1.0	47,760
LEGISLATIVE AIDE	07892F	1.0	47,508	1.0	47,760
SECRETARY	07928F	1.0	46,349	1.0	46,595
CLERK- LABOR COMMITTEE	07974F	0.6	27,765	0.6	27,912
POLICY ANALYST	07764F	0.6	27,734	0.6	27,882
ASSISTANT CLERK - FINANCE	07773F	1.0	46,011	1.0	46,255
LEGISLATIVE AIDE	07773F	1.0	46,011	1.0	46,255
LEGISLATIVE ASSISTANT	07773F	1.0	46,011	1.0	46,255
LEGISLATIVE ASSISTANT	07773F	1.0	46,011	1.0	46,255
NETWORK & SYSTEMS TECHNICIAN I	07773F	1.0	46,011	1.0	46,255
TV TECHNICIAN	07773F	1.0	46,011	1.0	46,255
SECRETARY	07819F	1.0	46,005	1.0	46,249
LEGISLATIVE ASSISTANT	07764F	0.6	27,575	0.6	27,882
SPECIAL PROJECT COORDINATOR	07819F	0.6	27,483	0.6	27,629
PUBLICIST	07859F	1.0	45,294	1.0	45,535
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	45,224	1.0	45,464
LEGISLATIVE PROJECT COORDINATO	07853F	1.0	44,971	1.0	45,210
RESEARCH ASSISTANT	07719F	1.0	44,662	1.0	44,899
LEGIS AIDE	07839H	1.0	44,255	1.0	44,490
SECRETARY	07819F	1.0	43,913	1.0	44,146
SECRETARY	07895F	1.0	43,338	1.0	43,568
ASSISTANT PUBLICIST	07947F	1.0	43,202	1.0	43,431
LEGISLATIVE AIDE	07872F	1.0	42,831	1.0	43,058
CLERICAL	07817F	1.0	42,656	1.0	42,883
LEGAL COUNSEL	07719F	0.6	25,525	0.6	25,661
LEGAL COUNSEL	07719F	0.6	25,525	0.6	25,661
LEGISLATIVE ASSISTANT	07713F	1.0	42,541	1.0	42,767
SECRETARY	07713F	1.0	42,541	1.0	42,767
LEGAL COUNSEL	07719F	0.6	25,521	0.6	25,657
LEGISLATIVE AIDE	07777F	1.0	42,472	1.0	42,698
LEGAL COUNSEL	07907F	0.6	25,448	0.6	25,584
LEGAL COUNSEL	07941F	0.6	25,275	0.6	25,410
ADMINISTRATIVE ASSISTANT	07819F	1.0	41,822	1.0	42,044
LEGISLATIVE AIDE	07958F	1.0	41,564	1.0	41,784
LEGAL COUNSEL	07760F	0.6	24,894	0.6	25,026
LEGISLATIVE ASSISTANT	07758F	1.0	41,355	1.0	41,574
LEGAL COUNSEL	07895F	1.0	41,275	1.0	41,494
LEGISLATIVE ASSISTANT	07858F	1.0	39,807	1.0	40,019
TV TECHNICIAN II	07924F	1.0	39,759	1.0	39,759
SENATE SERVICES ASSISTANT	07808H	0.6	21,472	0.6	21,586
COMPUTER TECHNICIAN	07820H	0.4	16,572	0.4	16,572
SECRETARY	07984F	1.0	38,197	1.0	38,400

# Personnel

## General Assembly - Constitution Joint Comm. on Legislative Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SECRETARY	07984F	1.0	38,197	1.0	40,320
LEGIS. AIDE	07988F	1.0	38,072	1.0	38,274
SECRETARY	07739F	1.0	37,433	1.0	37,632
LEGISLATIVE ASSISTANT	07907F	1.0	36,882	1.0	36,882
COPY ROOM COORDINATOR	07846F	1.0	36,221	1.0	36,413
CLERK	07827F	0.6	21,452	0.6	21,690
LEGISLATIVE AIDE	07872F	1.0	35,692	1.0	35,882
LEGISLATIVE AIDE	07926F	1.6	56,437	1.6	56,549
LEGISLATIVE AIDE-PT	07874F	1.0	34,921	1.0	35,106
ADMINISTRATIVE AIDE	07809F	1.0	33,756	2.0	82,276
CLERICAL--PT	07869F	0.6	19,559	0.6	19,663
SENATE SERVICES ASSISTANT	07971F	1.0	32,044	1.0	32,215
LEGISLATIVE AIDE	07923F	1.0	30,522	1.0	30,684
CAMERA OPERATOR	07810F	1.0	24,761	1.0	24,761
PUBLICICIST	07948F	-	-	1.0	59,745
<b>Subtotal</b>		<b>190.1</b>	<b>\$12,510,073</b>	<b>190.1</b>	<b>\$12,584,756</b>
Turnover		-	(384,711)	-	-
<b>Subtotal</b>		-	<b>(\$384,711)</b>	-	-
<b>Total Salaries</b>		<b>190.1</b>	<b>\$12,125,362</b>	<b>190.1</b>	<b>\$12,584,756</b>
<b>Benefits</b>					
Payroll Accrual			69,224		72,793
FICA			911,415		948,221
Retiree Health			723,885		751,306
Health Benefits			2,280,482		2,354,133
Retirement			2,987,694		3,314,828
<b>Subtotal</b>			<b>\$6,972,700</b>		<b>\$7,441,281</b>
<b>Total Salaries and Benefits</b>		<b>190.1</b>	<b>\$19,098,062</b>	<b>190.1</b>	<b>\$20,026,037</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$100,463</b>		<b>\$105,345</b>
<b>Statewide Benefit Assessment</b>			<b>\$557,773</b>		<b>\$597,782</b>
<b>Payroll Costs</b>		<b>190.1</b>	<b>\$19,655,835</b>	<b>190.1</b>	<b>\$20,623,819</b>
<b>Purchased Services</b>					
Information Technology			130,000		210,000
Management & Consultant Services			66,500		8,500
Buildings and Ground Maintenance			3,500		3,500
<b>Subtotal</b>			<b>\$200,000</b>		<b>\$222,000</b>
<b>Total Personnel</b>		<b>190.1</b>	<b>\$19,855,835</b>	<b>190.1</b>	<b>\$20,845,819</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		190.1	\$19,855,835	190.1	\$20,845,819
<b>Total All Funds</b>		<b>190.1</b>	<b>\$19,855,835</b>	<b>190.1</b>	<b>\$20,845,819</b>



# The Program

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## General Assembly - Constitution Auditor General

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### **Program Mission**

Provides independent audits, accounting, and evaluation of State Government programs to the General Assembly for its legislative oversight and capability.

### **Program Description**

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

### **Statutory History**

The Office of the Auditor General was established as a separate agency in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13-1.

# The Budget

## General Assembly - Constitution Auditor General

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	4,656,039	4,439,628	5,579,580	5,377,177	5,645,215
<b>Total Expenditures</b>	<b>\$4,656,039</b>	<b>\$4,439,628</b>	<b>\$5,579,580</b>	<b>\$5,377,177</b>	<b>\$5,645,215</b>
<b>Expenditures By Object</b>					
Personnel	4,271,004	3,977,787	5,044,503	4,822,600	5,208,565
Operating Supplies and Expenses	377,017	454,585	505,077	504,577	416,650
<b>Subtotal: Operating Expenditures</b>	<b>4,648,021</b>	<b>4,432,372</b>	<b>5,549,580</b>	<b>5,327,177</b>	<b>5,625,215</b>
Capital Purchases and Equipment	8,018	7,256	30,000	50,000	20,000
<b>Total Expenditures</b>	<b>\$4,656,039</b>	<b>\$4,439,628</b>	<b>\$5,579,580</b>	<b>\$5,377,177</b>	<b>\$5,645,215</b>
<b>Expenditures By Funds</b>					
General Revenue	3,472,789	3,114,628	3,898,707	3,761,354	3,948,643
Restricted Receipts	1,183,250	1,325,000	1,680,873	1,615,823	1,696,572
<b>Total Expenditures</b>	<b>\$4,656,039</b>	<b>\$4,439,628</b>	<b>\$5,579,580</b>	<b>\$5,377,177</b>	<b>\$5,645,215</b>

# Personnel

## General Assembly - Constitution

### Auditor General

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
AUDITOR GENERAL	07703F	1.0	156,126	1.0	159,248
SENIOR AUDIT MANAGER	07752F	1.0	116,546	1.0	118,877
SENIOR AUDIT MANAGER	07792F	1.0	113,305	1.0	115,571
SENIOR AUDIT MANAGER	07906F	1.0	109,865	1.0	112,062
AUDIT MANAGER	07985F	1.0	102,667	1.0	104,720
AUDIT MANAGER	07743F	1.0	97,180	1.0	99,123
AUDIT MANAGER	07788F	1.0	94,282	1.0	96,168
LEGAL COUNSEL	07850F	0.6	56,096	0.6	57,218
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	88,774	1.0	90,549
ASSISTANT AUDIT MANAGER	07726F	1.0	86,671	1.0	88,404
SUPERVISING AUDITOR	07716F	1.0	85,294	1.0	87,000
SUPERVISING AUDITOR	07940F	1.0	85,283	1.0	86,989
SUPERVISING IT AUDITOR	07997F	1.0	84,504	1.0	86,194
SUPERVISING AUDITOR	07716F	1.0	83,704	1.0	85,313
SUPERVISING AUDITOR	07771F	1.0	82,299	1.0	83,945
PRINCIPAL IT AUDITOR	07997F	1.0	77,462	1.0	79,011
PRINCIPAL AUDITOR	07915F	1.0	75,295	1.0	76,801
PRINCIPAL AUDITOR	07982F	1.0	72,244	1.0	73,689
ADMINISTRATIVE OFFICER	07750F	1.0	70,368	1.0	71,775
PRINCIPAL AUDITOR	07929F	1.0	70,048	1.0	71,449
PRINCIPAL AUDITOR	07835F	1.0	68,633	1.0	70,006
DATA SYSTEMS COORDINATOR	07957F	1.0	66,306	1.0	67,632
PRINCIPAL AUDITOR	07747F	2.0	128,258	2.0	130,824
COMMISION LIAISON OFFICER	07975F	1.0	63,352	1.0	64,619
PRINCIPAL AUDITOR	07804F	1.0	63,289	1.0	64,505
PRINCIPAL AUDITOR	07804F	1.0	62,682	1.0	63,936
SENIOR AUDITOR	07724F	1.0	58,644	1.0	59,817
SENIOR I.T. AUDITOR	07901F	1.0	58,418	1.0	59,587
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	56,283	1.0	57,409
ADMINISTRATIVE ASSISTANT	07773F	1.0	52,150	1.0	53,193
ADMINISTRATIVE AIDE	07995F	1.0	47,229	1.0	48,174
SENIOR AUDITOR	07769F	1.0	47,143	1.0	48,086
SENIOR AUDITOR	07769F	1.0	47,143	1.0	48,086
SENIOR AUDITOR	07824F	2.0	93,912	2.0	95,716
AUDITOR	07766F	6.0	254,700	6.0	259,794
TRNG DIR/MUNICIPAL TRNG LIAISO	00784F	1.0	42,450	1.0	43,299
AUDITOR	07918F	1.0	42,142	1.0	42,985
ADMINISTRATIVE AIDE	07917F	0.6	23,351	0.6	23,817
SECRETARY (AUDITOR GEN.)	00756F	1.0	37,769	1.0	38,495
<b>Subtotal</b>		<b>45.2</b>	<b>\$3,121,867</b>	<b>45.2</b>	<b>\$3,184,086</b>

# Personnel

## General Assembly - Constitution

### Auditor General

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Merit Increases - Auditor General		-	20,000	-	30,000
Turnover		-	(117,289)	-	-
<b>Subtotal</b>		-	<b>(\$97,289)</b>	-	<b>\$30,000</b>
<b>Total Salaries</b>		<b>45.2</b>	<b>\$3,024,578</b>	<b>45.2</b>	<b>\$3,214,086</b>
<b>Benefits</b>					
Payroll Accrual			17,239		18,558
FICA			229,092		243,723
Retiree Health			180,723		192,116
Health Benefits			480,406		540,461
Retirement			736,341		837,087
<b>Subtotal</b>			<b>\$1,643,801</b>		<b>\$1,831,945</b>
<b>Total Salaries and Benefits</b>		<b>45.2</b>	<b>\$4,668,379</b>	<b>45.2</b>	<b>\$5,046,031</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,283</b>		<b>\$111,638</b>
<b>Statewide Benefit Assessment</b>			<b>\$139,071</b>		<b>\$152,534</b>
<b>Payroll Costs</b>		<b>45.2</b>	<b>\$4,807,450</b>	<b>45.2</b>	<b>\$5,198,565</b>
<b>Purchased Services</b>					
Management & Consultant Services			7,000		10,000
Other Contracts			650		-
Buildings and Ground Maintenance			7,500		-
<b>Subtotal</b>			<b>\$15,150</b>		<b>\$10,000</b>
<b>Total Personnel</b>		<b>45.2</b>	<b>\$4,822,600</b>	<b>45.2</b>	<b>\$5,208,565</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		45.2	\$3,373,150	45.2	\$3,642,988
Restricted Receipts		-	\$1,449,450	-	\$1,565,577
<b>Total All Funds</b>		<b>45.2</b>	<b>\$4,822,600</b>	<b>45.2</b>	<b>\$5,208,565</b>

# The Program

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General Assembly - Constitution

Special Legislative Commissions

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## **Program Mission**

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern.

## **Program Description**

Included in this program are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

## **Statutory History**

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

# The Budget

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## General Assembly - Constitution Special Legislative Commissions

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	7,536	7,430	13,900	13,900	13,900
<b>Total Expenditures</b>	<b>\$7,536</b>	<b>\$7,430</b>	<b>\$13,900</b>	<b>\$13,900</b>	<b>\$13,900</b>
<b>Expenditures By Object</b>					
Operating Supplies and Expenses	7,536	7,430	13,900	13,900	13,900
<b>Subtotal: Operating Expenditures</b>	<b>7,536</b>	<b>7,430</b>	<b>13,900</b>	<b>13,900</b>	<b>13,900</b>
<b>Total Expenditures</b>	<b>\$7,536</b>	<b>\$7,430</b>	<b>\$13,900</b>	<b>\$13,900</b>	<b>\$13,900</b>
<b>Expenditures By Funds</b>					
General Revenue	7,536	7,430	13,900	13,900	13,900
<b>Total Expenditures</b>	<b>\$7,536</b>	<b>\$7,430</b>	<b>\$13,900</b>	<b>\$13,900</b>	<b>\$13,900</b>

# Agency

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## Office Of Lieutenant Governor

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### Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

### Agency Description

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Lieutenant Governor was named as chair of Rhode Island Healthcare Reform Commission by executive order in January of 2011. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

### Statutory History

Article IX, Section 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Section 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long Term Care Coordinating Council (R.I.G.L. 23-17, 3-2); The Emergency Management Advisory Committee (R.I.G.L. 30-15-6(10)); and the Small Business Advocacy Council (R.I.G.L. 42-91-2).

# Budget

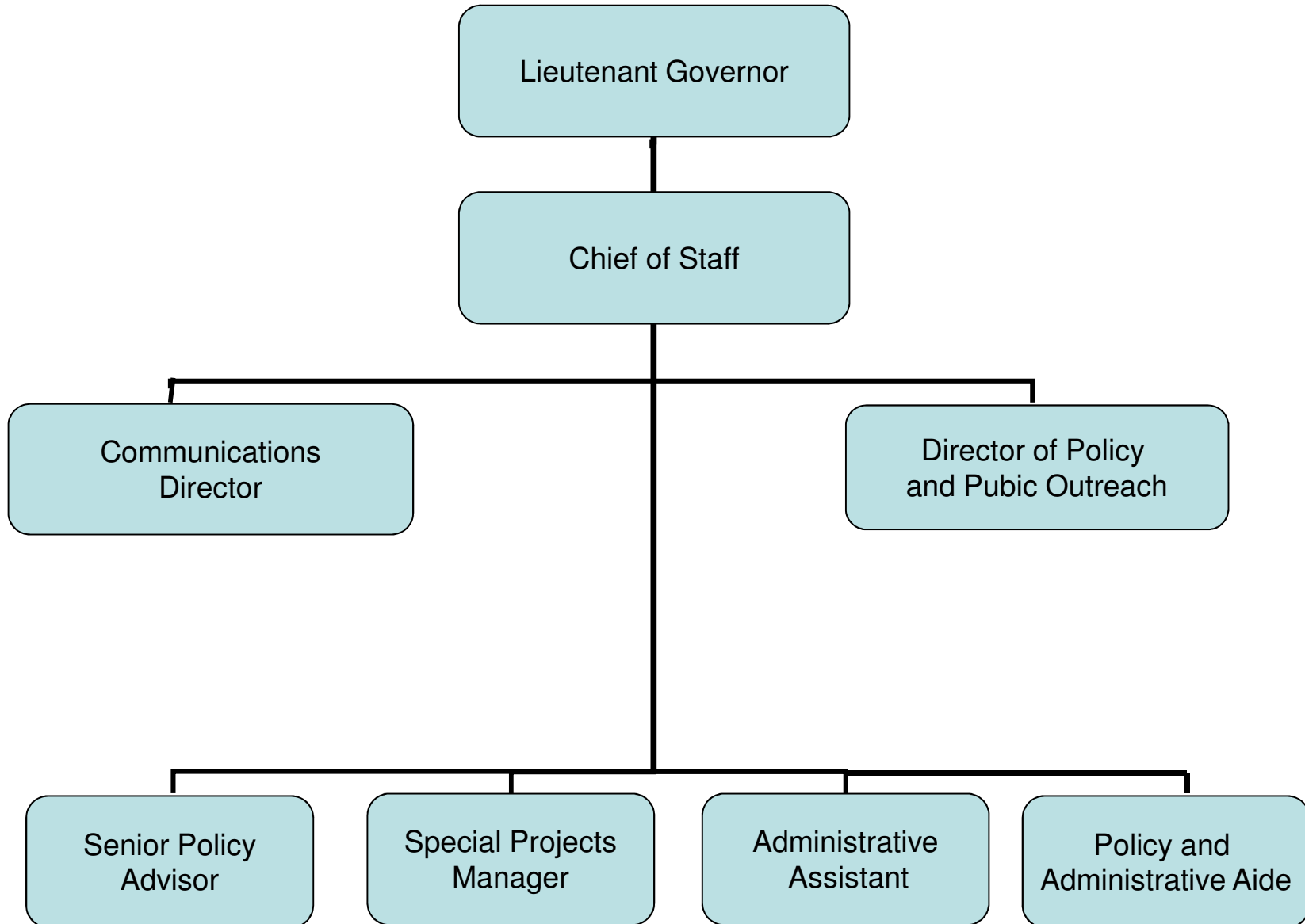
## Office Of Lieutenant Governor

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Lt. Governor's Office - General	2,673,290	971,873	1,192,621	1,043,068	1,109,576
<b>Total Expenditures</b>	<b>\$2,673,290</b>	<b>\$971,873</b>	<b>\$1,192,621</b>	<b>\$1,043,068</b>	<b>\$1,109,576</b>
<b>Expenditures By Object</b>					
Personnel	2,426,953	905,956	1,103,907	1,009,354	1,075,917
Operating Supplies and Expenses	143,973	48,041	87,964	32,964	32,909
Assistance and Grants	100,000	12,000	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>2,670,926</b>	<b>965,997</b>	<b>1,191,871</b>	<b>1,042,318</b>	<b>1,108,826</b>
Capital Purchases and Equipment	2,364	5,876	750	750	750
<b>Total Expenditures</b>	<b>\$2,673,290</b>	<b>\$971,873</b>	<b>\$1,192,621</b>	<b>\$1,043,068</b>	<b>\$1,109,576</b>
<b>Expenditures By Funds</b>					
General Revenue	957,446	959,864	1,127,621	1,043,068	1,109,576
Federal Funds	1,583,196	9	65,000	-	-
Restricted Receipts	132,648	12,000	-	-	-
<b>Total Expenditures</b>	<b>\$2,673,290</b>	<b>\$971,873</b>	<b>\$1,192,621</b>	<b>\$1,043,068</b>	<b>\$1,109,576</b>
<b>FTE Authorization</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>



# The Agency

## Office of Lieutenant Governor



# Personnel

## Office Of Lieutenant Governor Lt. Governor's Office - General

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF OF STAFF (LT GOV)	08444A	1.0	133,299	1.0	133,299
LIEUTENANT GOVERNOR	00531F	1.0	117,637	1.0	119,990
CHIEF OF STAFF (GENERAL COUNSEL) (LT	08451A	1.0	98,340	1.0	98,340
COMMUNICATIONS DIRECTOR (LT GOV)	08433A	1.0	81,403	1.0	81,403
ADMINISTRATIVE ASSISTANT (LT GOV)	08425A	1.0	59,709	1.0	59,709
SENIOR POLICY ADVISOR (LT GOV)	08425A	1.0	59,709	1.0	59,709
SPECIAL PROJECT MANAGER (LT GOV)	08422A	1.0	53,003	1.0	53,003
POLICY & ADMINISTRATIVE AIDE (LT GOV)	08414A	1.0	38,744	1.0	38,744
<b>Subtotal</b>		<b>8.0</b>	<b>\$641,844</b>	<b>8.0</b>	<b>\$644,197</b>
Turnover		-	(57,195)	-	-
<b>Subtotal</b>		-	<b>(\$57,195)</b>	-	-
<b>Total Salaries</b>		<b>8.0</b>	<b>\$584,649</b>	<b>8.0</b>	<b>\$644,197</b>
<b>Benefits</b>					
Payroll Accrual			3,337		3,727
FICA			43,995		48,736
Retiree Health			34,904		38,459
Health Benefits			106,273		109,768
Retirement			148,551		169,680
<b>Subtotal</b>			<b>\$337,060</b>		<b>\$370,370</b>
<b>Total Salaries and Benefits</b>		<b>8.0</b>	<b>\$921,709</b>	<b>8.0</b>	<b>\$1,014,567</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$115,214</b>		<b>\$126,821</b>
<b>Statewide Benefit Assessment</b>			<b>\$26,895</b>		<b>\$30,600</b>
<b>Payroll Costs</b>		<b>8.0</b>	<b>\$948,604</b>	<b>8.0</b>	<b>\$1,045,167</b>
<b>Purchased Services</b>					
Legal Services			60,000		30,000
Other Contracts			750		750
<b>Subtotal</b>			<b>\$60,750</b>		<b>\$30,750</b>
<b>Total Personnel</b>		<b>8.0</b>	<b>\$1,009,354</b>	<b>8.0</b>	<b>\$1,075,917</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		8.0	\$1,009,354	8.0	\$1,075,917
<b>Total All Funds</b>		<b>8.0</b>	<b>\$1,009,354</b>	<b>8.0</b>	<b>\$1,075,917</b>

# Agency

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## Department Of State

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### Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To engage and empower all Rhode Islanders by making government more accessible and transparent, encouraging civic pride, enhancing commerce, and ensuring that elections are fair, fast and accurate.

### Agency Description

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Office has a vital role in providing the public with basic information about the workings of state government. The Office consists of six divisions and one internal service fund.

### Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

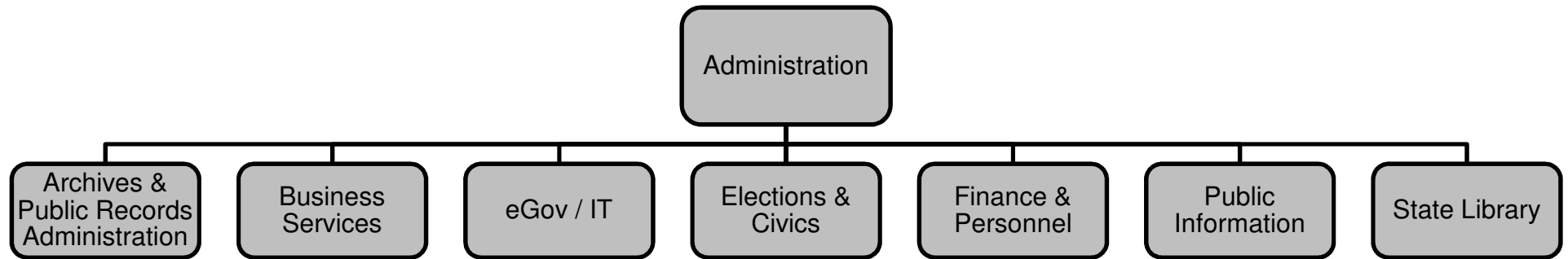
# Budget

## Department Of State

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Administration	2,197,843	2,282,219	2,553,390	2,576,437	3,539,219
Corporations	2,139,634	2,070,630	2,302,691	2,214,793	2,192,627
State Archives	546,484	625,384	653,374	575,551	750,240
Elections and Civics	768,952	1,628,706	1,017,899	892,316	3,182,103
State Library	519,444	535,336	551,744	555,903	560,784
Office of Public Information	496,156	549,228	907,786	949,433	524,232
<i>Internal Services</i>	<i>[870,625]</i>	<i>[817,194]</i>	<i>[813,687]</i>	<i>[896,250]</i>	<i>[907,177]</i>
<b>Total Expenditures</b>	<b>\$6,668,513</b>	<b>\$7,691,503</b>	<b>\$7,986,884</b>	<b>\$7,764,433</b>	<b>\$10,749,205</b>
<b>Expenditures By Object</b>					
Personnel	5,333,698	5,365,883	6,010,095	5,824,397	6,775,826
Operating Supplies and Expenses	1,060,999	2,106,765	1,288,931	1,285,695	3,676,173
Assistance and Grants	134,635	134,635	150,611	150,611	150,611
<b>Subtotal: Operating Expenditures</b>	<b>6,529,332</b>	<b>7,607,283</b>	<b>7,449,637</b>	<b>7,260,703</b>	<b>10,602,610</b>
Capital Purchases and Equipment	139,181	84,220	537,247	503,730	146,595
<b>Total Expenditures</b>	<b>\$6,668,513</b>	<b>\$7,691,503</b>	<b>\$7,986,884</b>	<b>\$7,764,433</b>	<b>\$10,749,205</b>
<b>Expenditures By Funds</b>					
General Revenue	6,196,251	7,164,977	6,951,530	6,747,368	10,092,686
Federal Funds	16,040	11,264	-	22,859	-
Restricted Receipts	456,222	478,183	599,108	531,285	556,519
Operating Transfers from Other Funds	-	37,079	436,246	462,921	100,000
<b>Total Expenditures</b>	<b>\$6,668,513</b>	<b>\$7,691,503</b>	<b>\$7,986,884</b>	<b>\$7,764,433</b>	<b>\$10,749,205</b>
<b>FTE Authorization</b>	<b>57.0</b>	<b>57.0</b>	<b>57.0</b>	<b>57.0</b>	<b>59.0</b>

# The Agency

Office of the Secretary of State



# Personnel

## Department Of State Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		-	-	-	-
Unclassified		57.0	3,855,992	59.0	3,969,393
<b>Subtotal</b>		<b>57.0</b>	<b>\$3,855,992</b>	<b>59.0</b>	<b>\$3,969,393</b>
Temporary and Seasonal		-	36,000	-	36,000
Turnover		-	(\$182,728)	-	(\$50,907)
<b>Subtotal</b>		<b>-</b>	<b>(\$146,728)</b>	<b>-</b>	<b>(\$14,907)</b>
<b>Total Salaries</b>		<b>57.0</b>	<b>\$3,709,264</b>	<b>59.0</b>	<b>\$3,954,486</b>
<b>Benefits</b>					
Payroll Accrual			20,784		22,417
Holiday			-		7,000
FICA			280,812		299,502
Retiree Health			223,781		238,929
Health Benefits			657,085		742,465
Retirement			933,215		1,064,669
<b>Subtotal</b>			<b>\$2,115,677</b>		<b>\$2,374,982</b>
<b>Total Salaries and Benefits</b>		<b>57.0</b>	<b>\$5,824,941</b>	<b>59.0</b>	<b>\$6,329,468</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$101,560</b>		<b>\$106,669</b>
<b>Statewide Benefit Assessment</b>			<b>\$168,573</b>		<b>\$186,122</b>
<b>Payroll Costs</b>		<b>57.0</b>	<b>\$5,993,514</b>	<b>59.0</b>	<b>\$6,515,590</b>
<b>Purchased Services</b>					
Training and Educational Services			135		135
Legal Services			82,000		96,000
Other Contracts			1,300		1,700
Information Technology			244,300		669,607
University and College Services			15,000		15,000
<b>Subtotal</b>			<b>\$342,735</b>		<b>\$782,442</b>
<b>Total Personnel</b>		<b>57.0</b>	<b>\$6,336,249</b>	<b>59.0</b>	<b>\$7,298,032</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		49.0	\$5,485,523	50.6	\$6,401,011
Restricted Receipts		3.2	\$338,874	3.6	\$374,815
Other Funds		4.8	\$511,852	4.8	\$522,206
<b>Total All Funds</b>		<b>57.0</b>	<b>\$6,336,249</b>	<b>59.0</b>	<b>\$7,298,032</b>

# The Program

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## Department Of State Administration

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### **Program Mission**

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

### **Program Description**

The Administration Program provides administrative support for the Secretary of State and the Department of State as a whole. The program supports the Secretary and the Department in the following functional areas: Finance & Personnel, eGovernment and Information Technology (eGov/IT), Communications, Community Relations, and Policy & Legislative Affairs.

Finance & Personnel monitors accounts payable and accounts receivable for the Department and prepares the budget for the Secretary of State; Finance & Personnel also maintains personnel records and provides administrative support for payroll and personnel matters.

eGov/IT develops and implements model e-government solutions to collect and distribute information to the public and provides support for the Department's computer systems.

Communications communicates the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Community Relations coordinates outreach activities to increase public awareness of the mission and activities of the Department, and to ensure that the Secretary of State consistently reaches various communities within the State of Rhode Island

Policy & Legislative Affairs researches, plans and develops innovative policy initiatives for the Department of State and develops legislation for passage that moves those policy initiatives forward.

### **Statutory History**

Article IX, § 12 of the Constitution of Rhode Island and Providence Plantations establishes the powers and duties of the Secretary of State, who heads the Department of State. Section 42-8-1 of the Rhode Island General Laws further prescribes the duties of the Secretary of State and the Department.

# The Budget

## Department Of State Administration

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Administration	1,078,497	1,309,833	1,293,871	1,313,347	1,472,431
Personnel and Finance	481,648	367,898	435,228	423,505	423,315
Information Technology	637,698	604,488	824,291	839,585	1,643,473
<b>Total Expenditures</b>	<b>\$2,197,843</b>	<b>\$2,282,219</b>	<b>\$2,553,390</b>	<b>\$2,576,437</b>	<b>\$3,539,219</b>
<b>Expenditures By Object</b>					
Personnel	2,006,998	2,043,066	2,309,920	2,338,460	2,902,084
Operating Supplies and Expenses	143,583	187,126	211,094	224,725	614,697
Assistance and Grants	-	-	976	976	976
<b>Subtotal: Operating Expenditures</b>	<b>2,150,581</b>	<b>2,230,192</b>	<b>2,521,990</b>	<b>2,564,161</b>	<b>3,517,757</b>
Capital Purchases and Equipment	47,262	52,027	31,400	12,276	21,462
<b>Total Expenditures</b>	<b>\$2,197,843</b>	<b>\$2,282,219</b>	<b>\$2,553,390</b>	<b>\$2,576,437</b>	<b>\$3,539,219</b>
<b>Expenditures By Funds</b>					
General Revenue	2,197,843	2,282,219	2,553,390	2,576,437	3,539,219
<b>Total Expenditures</b>	<b>\$2,197,843</b>	<b>\$2,282,219</b>	<b>\$2,553,390</b>	<b>\$2,576,437</b>	<b>\$3,539,219</b>



# Personnel

## Department Of State Administration

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DEPUTY SOS/DIRECTOR OF ADMINISTRATION	08646A	1.0	132,407	1.0	138,108
COMMUNICATIONS DIRECTOR/SENIOR ADVISOR	08646A	1.0	130,840	1.0	136,305
DEPUTY SOS/CHIEF OF STAFF	08646A	1.0	130,840	1.0	136,305
SECRETARY OF STATE	00531F	1.0	119,356	1.0	119,990
CHIEF INNOVATION OFFICER	08640A	1.0	116,793	1.0	117,412
EXECUTIVE ASSISTANT COMM AND PUB INFO	08636A	1.0	89,861	1.0	93,728
DIRECTOR OF FINANCE AND PERSONNEL	08638A	1.0	87,845	1.0	92,840
LEGISLATIVE AFFAIRS	08636A	1.0	84,784	1.0	89,723
SENIOR APPLICATION DEVELOPER	08633A	1.0	81,403	1.0	81,403
SENIOR NETWORK ENGINEER	08637A	1.0	81,403	1.0	81,403
ADMINISTRATIVE ASSISTANT	05325A	2.0	131,517	2.0	132,216
SENIOR GRAPHICS DESIGNER (SOS)	05326A	1.0	63,915	1.0	64,255
COMMUNITY RELATIONS & ADVANACE	08627A	1.0	58,833	1.0	61,289
EDUCATION AND PUBLIC PROGRAMS	08625A	1.0	52,923	1.0	54,757
EXECUTIVE ASSISTANT	08624A	1.0	52,386	1.0	54,409
JUNIOR APPLICATION DEVELOPER	08618A <sup>1</sup>	1.0	41,995	2.0	83,097
VISITOR CENTER ADMINISTRATOR	08616A <sup>2</sup>	1.0	39,920	0.6	24,457
CONTENT & SOCIAL MEDIA SPECIALIST	08613A	1.0	37,947	1.0	38,628
ADMINISTRATIVE ASSISTANT (SECRETARY OF	05316A	-	-	-	-
<b>Subtotal</b>		<b>19.0</b>	<b>\$1,534,968</b>	<b>19.6</b>	<b>\$1,600,325</b>
Temporary and Seasonal		-	24,000	-	24,000
Turnover		-	(75,137)	-	(23,387)
<b>Subtotal</b>		<b>-</b>	<b>(\$51,137)</b>	<b>-</b>	<b>\$613</b>
<b>Total Salaries</b>		<b>19.0</b>	<b>\$1,483,831</b>	<b>19.6</b>	<b>\$1,600,938</b>
<b>Benefits</b>					
Payroll Accrual			8,275		9,050
FICA			111,242		118,913
Retiree Health			92,165		99,142
Health Benefits			213,598		255,889
Retirement			362,186		417,941
<b>Subtotal</b>			<b>\$787,466</b>		<b>\$900,935</b>
<b>Total Salaries and Benefits</b>		<b>19.0</b>	<b>\$2,271,297</b>	<b>19.6</b>	<b>\$2,501,873</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$118,279</b>		<b>\$126,422</b>
<b>Statewide Benefit Assessment</b>			<b>\$67,163</b>		<b>\$74,904</b>
<b>Payroll Costs</b>		<b>19.0</b>	<b>\$2,338,460</b>	<b>19.6</b>	<b>\$2,576,777</b>

# Personnel

## Department Of State Administration

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			-		325,307
<b>Subtotal</b>			-		<b>\$325,307</b>
<b>Total Personnel</b>		<b>19.0</b>	<b>\$2,338,460</b>	<b>19.6</b>	<b>\$2,902,084</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		19.0	\$2,338,460	19.6	\$2,902,084
<b>Total All Funds</b>		<b>19.0</b>	<b>\$2,338,460</b>	<b>19.6</b>	<b>\$2,902,084</b>

1 1.0 additional FTE for state regulatory reform initiative

2 Allocate portion of FTE to restricted receipts

# The Program

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## Department Of State Corporations

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### **Program Mission**

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, UCC filings, and Notary/Trademark applications and to provide requested information concerning the data from the program.

### **Program Description**

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, nonprofit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database.

Online access is provided to the following public databases: Corporate Database, New Entities Listing, Rejected Documents Look-Up, Corporate Card Catalog Look-Up, UCC Search, Trademark/Service Mark Database, Notary Public Look-Up, Verify an Issued Certificate, and Verify an Issued Apostille. Business and UCC filers can file electronically.

Additionally, the Corporations Division encompasses six (6) service sections: Business Filings, Uniform Commercial Code, Trademark & Service Mark, Notary Public, Certification/Authentication and the First Stop Business Information Center.

### **Statutory History**

The functions of the Division of Business Services are outlined in Chapters 1, 1.2 – 16 of Title 7 Corporations & Partnerships; Chapter 6-2 Registration and Protection of Trademarks; Chapter 6A-9 Secured Transactions; Chapter 42-30 Notaries and Justice of the Peace; and Chapter 11-50 Games of Chance, and Chapter 42-132 Business Fast-Start Office.

# The Budget

## Department Of State Corporations

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Corporations	1,954,596	1,919,587	2,141,239	2,036,475	2,006,846
First Stop Business Inf.	185,038	151,043	161,452	178,318	185,781
<b>Total Expenditures</b>	<b>\$2,139,634</b>	<b>\$2,070,630</b>	<b>\$2,302,691</b>	<b>\$2,214,793</b>	<b>\$2,192,627</b>
<b>Expenditures By Object</b>					
Personnel	1,736,448	1,685,746	1,900,793	1,861,958	1,890,231
Operating Supplies and Expenses	382,932	375,333	392,598	343,639	293,200
<b>Subtotal: Operating Expenditures</b>	<b>2,119,380</b>	<b>2,061,079</b>	<b>2,293,391</b>	<b>2,205,597</b>	<b>2,183,431</b>
Capital Purchases and Equipment	20,254	9,551	9,300	9,196	9,196
<b>Total Expenditures</b>	<b>\$2,139,634</b>	<b>\$2,070,630</b>	<b>\$2,302,691</b>	<b>\$2,214,793</b>	<b>\$2,192,627</b>
<b>Expenditures By Funds</b>					
General Revenue	2,139,634	2,070,630	2,302,691	2,214,793	2,192,627
<b>Total Expenditures</b>	<b>\$2,139,634</b>	<b>\$2,070,630</b>	<b>\$2,302,691</b>	<b>\$2,214,793</b>	<b>\$2,192,627</b>

# Personnel

## Department Of State Corporations

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08635A	1.0	100,033	1.0	100,564
ADMINISTRATIVE ASST (SECRETARY OF STATE)	05326A	1.0	73,046	1.0	73,434
ADMINISTRATIVE ASSISTANT (SECRETARY OF	05319A	7.0	420,089	7.0	369,096
ADMINISTRATIVE ASSISTANT	05322A	1.0	54,371	1.0	54,660
ADMINISTRATIVE SECRETARY	05318A	1.0	51,249	1.0	51,521
ADMINISTRATIVE ASSISTANT	05323A	1.0	48,310	1.0	50,702
ADMINISTRATIVE ASSISTANT (SECRETARY OF	05316A	8.0	342,384	8.0	353,892
RESEARCH AIDE	08610A	1.0	34,998	1.0	35,759
<b>Subtotal</b>		<b>21.0</b>	<b>\$1,124,480</b>	<b>21.0</b>	<b>\$1,089,628</b>
Temporary and Seasonal		-	12,000	-	12,000
Turnover		-	(35,431)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$23,431)</b>	<b>-</b>	<b>\$12,000</b>
<b>Total Salaries</b>		<b>21.0</b>	<b>\$1,101,049</b>	<b>21.0</b>	<b>\$1,101,628</b>
<b>Benefits</b>					
Payroll Accrual			6,180		6,264
FICA			84,230		84,278
Retiree Health			65,017		65,049
Health Benefits			238,510		244,203
Retirement			286,877		307,055
<b>Subtotal</b>			<b>\$680,814</b>		<b>\$706,849</b>
<b>Total Salaries and Benefits</b>		<b>21.0</b>	<b>\$1,781,863</b>	<b>21.0</b>	<b>\$1,808,477</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$84,279</b>		<b>\$85,547</b>
<b>Statewide Benefit Assessment</b>			<b>\$50,095</b>		<b>\$51,754</b>
<b>Payroll Costs</b>		<b>21.0</b>	<b>\$1,831,958</b>	<b>21.0</b>	<b>\$1,860,231</b>
<b>Purchased Services</b>					
Legal Services			30,000		30,000
<b>Subtotal</b>			<b>\$30,000</b>		<b>\$30,000</b>
<b>Total Personnel</b>		<b>21.0</b>	<b>\$1,861,958</b>	<b>21.0</b>	<b>\$1,890,231</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		21.0	\$1,861,958	21.0	\$1,890,231
<b>Total All Funds</b>		<b>21.0</b>	<b>\$1,861,958</b>	<b>21.0</b>	<b>\$1,890,231</b>

# The Program

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## Department Of State State Archives

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### **Program Mission**

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

### **Program Description**

The State Archives is the Division of the Department of State charged with preserving and maintaining the permanent records of state government. The "State Archives and Historical Records Act" created the State Archives as the "official custodian and trustee for the state of all public records of permanent historical, legal or other value". The collection of historical records, dating from 1638 to the present, is available to the public for research. Archives staff provides reference assistance to researchers at the Archives facility, and by mail, phone, and e-mail. Publications, guides and finding aids to the collections are available onsite and through the Internet, as well as an online catalog. The State Archives also features exhibits and displays that are free and open to the public in addition to online virtual exhibits.

The Division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to provide advisory and technical services relating to records and archives for local governments in the state. The 1993 Session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program and for the Division. The program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with Town and City Clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues.

### **Statutory History**

The authority of the State Archives is granted from Rhode Island General Law sections 42-8.1, 38-1 and 38-3. Functions of the State Archives are also outlined in Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, Public Law 1993, Chapters 385 & 417, and Public Law 2007, Chapter 73, Article 36.

# The Budget

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## Department Of State State Archives

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	546,484	625,384	653,374	575,551	750,240
<b>Total Expenditures</b>	<b>\$546,484</b>	<b>\$625,384</b>	<b>\$653,374</b>	<b>\$575,551</b>	<b>\$750,240</b>
<b>Expenditures By Object</b>					
Personnel	347,481	386,861	418,806	338,874	394,737
Operating Supplies and Expenses	194,635	238,523	230,518	232,627	251,453
<b>Subtotal: Operating Expenditures</b>	<b>542,116</b>	<b>625,384</b>	<b>649,324</b>	<b>571,501</b>	<b>646,190</b>
Capital Purchases and Equipment	4,368	-	4,050	4,050	104,050
<b>Total Expenditures</b>	<b>\$546,484</b>	<b>\$625,384</b>	<b>\$653,374</b>	<b>\$575,551</b>	<b>\$750,240</b>
<b>Expenditures By Funds</b>					
General Revenue	101,102	155,076	69,266	69,266	133,721
Restricted Receipts	445,382	470,308	584,108	506,285	516,519
Operating Transfers from Other Funds	-	-	-	-	100,000
<b>Total Expenditures</b>	<b>\$546,484</b>	<b>\$625,384</b>	<b>\$653,374</b>	<b>\$575,551</b>	<b>\$750,240</b>

# Personnel

## Department Of State State Archives

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DIRECTOR OF ARCHIVES & RECORDS	08634A	0.5	48,292	0.5	48,548
ADMINISTRATIVE ASSISTANT	05325A	1.0	67,220	1.0	67,578
SENIOR INFORMATION AND PUBLIC RELATION	05324A	1.0	64,443	1.0	64,785
ADMINISTRATIVE AIDE	05315A	0.7	31,933	0.7	32,102
COPY EDITOR	08626A <sup>3</sup>	-	-	1.0	55,040
<b>Subtotal</b>		<b>3.2</b>	<b>\$211,888</b>	<b>4.2</b>	<b>\$268,053</b>
Turnover		-	-	-	(27,520)
<b>Subtotal</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$27,520)</b>
<b>Total Salaries</b>		<b>3.2</b>	<b>\$211,888</b>	<b>4.2</b>	<b>\$240,533</b>
<b>Benefits</b>					
Payroll Accrual			1,202		1,382
FICA			16,209		18,402
Retiree Health			12,650		14,360
Health Benefits			31,366		41,322
Retirement			55,812		67,313
<b>Subtotal</b>			<b>\$117,239</b>		<b>\$142,779</b>
<b>Total Salaries and Benefits</b>		<b>3.2</b>	<b>\$329,127</b>	<b>4.2</b>	<b>\$383,312</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$102,852</b>		<b>\$91,265</b>
<b>Statewide Benefit Assessment</b>			<b>\$9,747</b>		<b>\$11,425</b>
<b>Payroll Costs</b>		<b>3.2</b>	<b>\$338,874</b>	<b>4.2</b>	<b>\$394,737</b>
<b>Total Personnel</b>		<b>3.2</b>	<b>\$338,874</b>	<b>4.2</b>	<b>\$394,737</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		-	-	1.0	\$49,305
Restricted Receipts		3.2	\$338,874	3.2	\$345,432
<b>Total All Funds</b>		<b>3.2</b>	<b>\$338,874</b>	<b>4.2</b>	<b>\$394,737</b>

<sup>3</sup> 1.0 additional FTE for state regulatory reform initiative



# The Program

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## Department Of State Elections and Civics

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### **Program Mission**

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

### **Program Description**

The Elections & Civics Division performs the functions of the Secretary of State as enumerated in Title 17 of the Rhode Island General Laws. Accordingly, the Elections & Civics Division is responsible for the preparation of federal, state and local elections. The Division:

- \* provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification;
- \* certifies all federal and state candidates for ballot placement, and receives and maintains files for local candidate ballot placement as certified by local boards of canvassers;
- \* designs ballot layout, and prepares and provides sample and polling place ballots for all federal, state and municipal elections as mandated by law;
- \* prepares and sends mail ballots via postal service; and
- \* prepares and distributes the Voter Information Handbook, and provides other voter assistance and education, including through the publication of numerous guides for candidates, election officials, and voters.

The Elections & Civics Division maintains the statewide central voter registration system (CVRS). As mandated under the federal Help America Vote Act, CVRS contains all voter information and related data. The Elections & Civics Division trains local users of CVRS, a real-time system application that all 39 municipalities access and update on a daily basis.

The Elections & Civics Division is also responsible for developing specifications for the purchase of voting equipment and related voting system services, as authorized by Section 17-19-3 of the Rhode Island General Laws.

### **Statutory History**

The Elections and Civics Division operates under Title 17 of the R.I.G.L

# The Budget

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## Department Of State Elections and Civics

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	768,952	1,628,706	1,017,899	892,316	3,182,103
<b>Total Expenditures</b>	<b>\$768,952</b>	<b>\$1,628,706</b>	<b>\$1,017,899</b>	<b>\$892,316</b>	<b>\$3,182,103</b>
<b>Expenditures By Object</b>					
Personnel	431,598	452,886	586,403	509,703	769,150
Operating Supplies and Expenses	275,816	1,163,478	379,415	373,377	2,404,717
<b>Subtotal: Operating Expenditures</b>	<b>707,414</b>	<b>1,616,364</b>	<b>965,818</b>	<b>883,080</b>	<b>3,173,867</b>
Capital Purchases and Equipment	61,538	12,342	52,081	9,236	8,236
<b>Total Expenditures</b>	<b>\$768,952</b>	<b>\$1,628,706</b>	<b>\$1,017,899</b>	<b>\$892,316</b>	<b>\$3,182,103</b>
<b>Expenditures By Funds</b>					
General Revenue	752,912	1,617,442	1,017,899	869,457	3,182,103
Federal Funds	16,040	11,264	-	22,859	-
<b>Total Expenditures</b>	<b>\$768,952</b>	<b>\$1,628,706</b>	<b>\$1,017,899</b>	<b>\$892,316</b>	<b>\$3,182,103</b>

# Personnel

## Department Of State Elections and Civics

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ACCOUNTANT	00020A	-	-	-	-
<b>Subtotal</b>		-	-	-	-
<b>Unclassified</b>					
DIRECTOR OF ELECTIONS & CIVICS	08637A	1.0	89,035	1.0	92,849
DEPUTY DIRECTOR OF ELECTIONS	08632A	2.0	143,560	2.0	146,185
<b>Subtotal</b>		<b>3.0</b>	<b>\$232,595</b>	<b>3.0</b>	<b>\$239,034</b>
Turnover		-	(72,160)	-	-
<b>Subtotal</b>		-	<b>(\$72,160)</b>	-	-
<b>Total Salaries</b>		<b>3.0</b>	<b>\$160,435</b>	<b>3.0</b>	<b>\$239,034</b>
<b>Benefits</b>					
Payroll Accrual			860		1,376
Holiday			-		7,000
FICA			11,596		18,822
Retiree Health			9,049		14,270
Health Benefits			17,977		34,473
Retirement			37,352		62,961
<b>Subtotal</b>			<b>\$76,834</b>		<b>\$138,902</b>
<b>Total Salaries and Benefits</b>		<b>3.0</b>	<b>\$237,269</b>	<b>3.0</b>	<b>\$377,936</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$79,090</b>		<b>\$125,979</b>
<b>Statewide Benefit Assessment</b>			<b>\$6,974</b>		<b>\$11,354</b>
<b>Payroll Costs</b>		<b>3.0</b>	<b>\$244,243</b>	<b>3.0</b>	<b>\$389,290</b>
<b>Purchased Services</b>					
Information Technology			243,300		343,300
Legal Services			22,000		36,000
Other Contracts			160		560
<b>Subtotal</b>			<b>\$265,460</b>		<b>\$379,860</b>
<b>Total Personnel</b>		<b>3.0</b>	<b>\$509,703</b>	<b>3.0</b>	<b>\$769,150</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		3.0	\$509,703	3.0	\$769,150
<b>Total All Funds</b>		<b>3.0</b>	<b>\$509,703</b>	<b>3.0</b>	<b>\$769,150</b>

# The Program

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## Department Of State State Library

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### **Program Mission**

To effectively operate and maintain the library facilities in the State House and to provide timely reference and research services to the public.

### **Program Description**

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. This division operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. The State Library performs original cataloging for all state publications and maintains an online catalog of its holdings. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The Library is open to the public.

### **Statutory History**

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7. The authority to administer the historical society grants is established in R.I.G.L. 29-2.

# The Budget

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## Department Of State State Library

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	<b>2014 Audited</b>	<b>2015 Audited</b>	<b>2016 Enacted</b>	<b>2016 Revised</b>	<b>2017 Recommend</b>
<b>Expenditures By Subprogram</b>					
Operations	519,444	535,336	551,744	555,903	560,784
<b>Total Expenditures</b>	<b>\$519,444</b>	<b>\$535,336</b>	<b>\$551,744</b>	<b>\$555,903</b>	<b>\$560,784</b>
<b>Expenditures By Object</b>					
Personnel	344,340	358,679	356,611	361,768	369,064
Operating Supplies and Expenses	38,419	39,972	42,978	40,049	40,034
Assistance and Grants	134,635	134,635	149,635	149,635	149,635
<b>Subtotal: Operating Expenditures</b>	<b>517,394</b>	<b>533,286</b>	<b>549,224</b>	<b>551,452</b>	<b>558,733</b>
Capital Purchases and Equipment	2,050	2,050	2,520	4,451	2,051
<b>Total Expenditures</b>	<b>\$519,444</b>	<b>\$535,336</b>	<b>\$551,744</b>	<b>\$555,903</b>	<b>\$560,784</b>
<b>Expenditures By Funds</b>					
General Revenue	519,444	535,336	551,744	555,903	560,784
<b>Total Expenditures</b>	<b>\$519,444</b>	<b>\$535,336</b>	<b>\$551,744</b>	<b>\$555,903</b>	<b>\$560,784</b>

# Personnel

## Department Of State State Library

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DIRECTOR OF STATE LIBRARY SERVICES (SEC OF	08632A	1.0	91,743	1.0	92,230
ADMINISTRATIVE ASSISTANT	05325A	2.0	131,517	2.0	132,216
<b>Subtotal</b>		<b>3.0</b>	<b>\$223,260</b>	<b>3.0</b>	<b>\$224,446</b>
<b>Total Salaries</b>		<b>3.0</b>	<b>\$223,260</b>	<b>3.0</b>	<b>\$224,446</b>
<b>Benefits</b>					
Payroll Accrual			1,267		1,290
FICA			17,079		17,171
Retiree Health			13,329		13,399
Health Benefits			40,174		41,494
Retirement			56,389		60,603
<b>Subtotal</b>			<b>\$128,238</b>		<b>\$133,957</b>
<b>Total Salaries and Benefits</b>		<b>3.0</b>	<b>\$351,498</b>	<b>3.0</b>	<b>\$358,403</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$117,166</b>		<b>\$119,468</b>
<b>Statewide Benefit Assessment</b>			<b>\$10,270</b>		<b>\$10,661</b>
<b>Payroll Costs</b>		<b>3.0</b>	<b>\$361,768</b>	<b>3.0</b>	<b>\$369,064</b>
<b>Total Personnel</b>		<b>3.0</b>	<b>\$361,768</b>	<b>3.0</b>	<b>\$369,064</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		3.0	\$361,768	3.0	\$369,064
<b>Total All Funds</b>		<b>3.0</b>	<b>\$361,768</b>	<b>3.0</b>	<b>\$369,064</b>

# The Program

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## Department Of State Record Center

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### **Program Mission**

The program provides cost-effective delivery of goods and services to other state programs.

### **Program Description**

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration program is granted by R.I.G.L. 38-1 and R.I.G.L. 38-3. The authority of Administrative Records function is granted by R.I.G.L. 42-35.

# The Budget

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## Department Of State Record Center

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	870,625	817,194	813,687	896,250	907,177
Internal Services	<i>[870,625]</i>	<i>[817,194]</i>	<i>[813,687]</i>	<i>[896,250]</i>	<i>[907,177]</i>
<b>Total Expenditures</b>	<b>\$870,625</b>	<b>\$817,194</b>	<b>\$813,687</b>	<b>\$896,250</b>	<b>\$907,177</b>
<b>Expenditures By Object</b>					
Personnel	478,164	432,244	415,149	511,852	522,206
Operating Supplies and Expenses	390,245	383,429	398,538	384,398	384,971
<b>Subtotal: Operating Expenditures</b>	<b>868,409</b>	<b>815,673</b>	<b>813,687</b>	<b>896,250</b>	<b>907,177</b>
Capital Purchases and Equipment	2,216	1,521	-	-	-
<b>Total Expenditures</b>	<b>\$870,625</b>	<b>\$817,194</b>	<b>\$813,687</b>	<b>\$896,250</b>	<b>\$907,177</b>
<b>Expenditures By Funds</b>					
Other Funds	870,625	817,194	813,687	896,250	907,177
<b>Total Expenditures</b>	<b>\$870,625</b>	<b>\$817,194</b>	<b>\$813,687</b>	<b>\$896,250</b>	<b>\$907,177</b>



# Personnel

## Department Of State Record Center

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DIRECTOR OF ARCHIVES & RECORDS	08634A	0.5	48,292	0.5	48,548
COLLECTIONS SUPERVISOR CLERK	05327A	1.0	69,724	1.0	70,094
PRINCIPAL PLANNING AND PROGRAMMING	05328A	1.0	69,197	1.0	69,565
ADMINISTRATIVE ASSISTANT	05325A	1.0	58,452	1.0	58,762
ADMINISTRATIVE SECRETARY	05317A	1.0	52,663	1.0	52,938
ADMINISTRATIVE AIDE	05315A	0.3	10,645	0.3	10,701
<b>Subtotal</b>		<b>4.8</b>	<b>\$308,973</b>	<b>4.8</b>	<b>\$310,608</b>
<b>Total Salaries</b>		<b>4.8</b>	<b>\$308,973</b>	<b>4.8</b>	<b>\$310,608</b>
<b>Benefits</b>					
Payroll Accrual			1,753		1,785
FICA			23,638		23,762
Retiree Health			18,447		18,543
Health Benefits			63,256		65,375
Retirement			80,198		86,005
<b>Subtotal</b>			<b>\$187,292</b>		<b>\$195,470</b>
<b>Total Salaries and Benefits</b>		<b>4.8</b>	<b>\$496,265</b>	<b>4.8</b>	<b>\$506,078</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,389</b>		<b>\$105,433</b>
<b>Statewide Benefit Assessment</b>			<b>\$14,212</b>		<b>\$14,753</b>
<b>Payroll Costs</b>		<b>4.8</b>	<b>\$510,477</b>	<b>4.8</b>	<b>\$520,831</b>
<b>Purchased Services</b>					
Information Technology			1,000		1,000
Other Contracts			240		240
Training and Educational Services			135		135
<b>Subtotal</b>			<b>\$1,375</b>		<b>\$1,375</b>
<b>Total Personnel</b>		<b>4.8</b>	<b>\$511,852</b>	<b>4.8</b>	<b>\$522,206</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		4.8	\$511,852	4.8	\$522,206
<b>Total All Funds</b>		<b>4.8</b>	<b>\$511,852</b>	<b>4.8</b>	<b>\$522,206</b>

# The Program

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## Department Of State Office of Public Information

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### **Program Mission**

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

### **Program Description**

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is available in a variety of methods, including in person and online.

Functions carried out by the Office of Public Information include:

Maintain a list of lobbyists, their clients and financial activities as it relates to lobbying and overseeing the enforcement of the state's lobbying laws for the legislative and executive branches of government. RIGL §22-10 and §42-139.

Operate and maintain a clearinghouse for information related to all public meetings in the State of Rhode Island and its Municipalities as relative to the Open Meetings Laws. RIGL §42-46.

Maintain and certify all Public Laws, Resolutions and Local Acts. RIGL §42-8-3, §42-8-8.

Compile and publish on a biennial basis, the Rhode Island State Owner's Manual, and maintain an online listing that is updated on a regular basis. RIGL §22-3-12.

Oversee and facilitate all State House tours.

Process all State boards and commissions appointments, laws and appointing authorities on a searchable website. RIGL §36-1-4.

Train members of the general public to navigate their way through various state government websites through public computers and wireless internet maintained by our office.

Maintenance of electronic database of all legislation introduced in the General Assembly, including committee and floor action.

### **Statutory History**

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

# The Budget

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## Department Of State Office of Public Information

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	496,156	549,228	907,786	949,433	524,232
<b>Total Expenditures</b>	<b>\$496,156</b>	<b>\$549,228</b>	<b>\$907,786</b>	<b>\$949,433</b>	<b>\$524,232</b>
<b>Expenditures By Object</b>					
Personnel	466,833	438,645	437,562	413,634	450,560
Operating Supplies and Expenses	25,614	102,333	32,328	71,278	72,072
<b>Subtotal: Operating Expenditures</b>	<b>492,447</b>	<b>540,978</b>	<b>469,890</b>	<b>484,912</b>	<b>522,632</b>
Capital Purchases and Equipment	3,709	8,250	437,896	464,521	1,600
<b>Total Expenditures</b>	<b>\$496,156</b>	<b>\$549,228</b>	<b>\$907,786</b>	<b>\$949,433</b>	<b>\$524,232</b>
<b>Expenditures By Funds</b>					
General Revenue	485,316	504,274	456,540	461,512	484,232
Restricted Receipts	10,840	7,875	15,000	25,000	40,000
Operating Transfers from Other Funds	-	37,079	436,246	462,921	-
<b>Total Expenditures</b>	<b>\$496,156</b>	<b>\$549,228</b>	<b>\$907,786</b>	<b>\$949,433</b>	<b>\$524,232</b>

# Personnel

## Department Of State Office of Public Information

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DIRECTOR OF PROGRAMMING	08632A	1.0	93,694	1.0	94,192
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	75,879	1.0	76,282
ADMINISTRATIVE ASSISTANT	05320A	1.0	50,255	1.0	50,521
VISITOR CENTER ADMINISTRATOR	08616A <sup>4</sup>	-	-	0.4	16,304
<b>Subtotal</b>		<b>3.0</b>	<b>\$219,828</b>	<b>3.4</b>	<b>\$237,299</b>
<b>Total Salaries</b>		<b>3.0</b>	<b>\$219,828</b>	<b>3.4</b>	<b>\$237,299</b>
<b>Benefits</b>					
Payroll Accrual			1,247		1,270
FICA			16,818		18,154
Retiree Health			13,124		14,166
Health Benefits			52,204		59,709
Retirement			54,401		62,791
<b>Subtotal</b>			<b>\$137,794</b>		<b>\$156,090</b>
<b>Total Salaries and Benefits</b>		<b>3.0</b>	<b>\$357,622</b>	<b>3.4</b>	<b>\$393,389</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$119,207</b>		<b>\$115,703</b>
<b>Statewide Benefit Assessment</b>			<b>\$10,112</b>		<b>\$11,271</b>
<b>Payroll Costs</b>		<b>3.0</b>	<b>\$367,734</b>	<b>3.4</b>	<b>\$404,660</b>
<b>Purchased Services</b>					
University and College Services			15,000		15,000
Legal Services			30,000		30,000
Other Contracts			900		900
<b>Subtotal</b>			<b>\$45,900</b>		<b>\$45,900</b>
<b>Total Personnel</b>		<b>3.0</b>	<b>\$413,634</b>	<b>3.4</b>	<b>\$450,560</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		3.0	\$413,634	3.0	\$421,177
Restricted Receipts		-	-	0.4	\$29,383
<b>Total All Funds</b>		<b>3.0</b>	<b>\$413,634</b>	<b>3.4</b>	<b>\$450,560</b>

<sup>4</sup> Allocate portion of FTE to restricted receipts

# Agency

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## Treasury Department

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### Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional performance through all the programs the Office manages.

### Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the administrative unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division provides investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

### Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

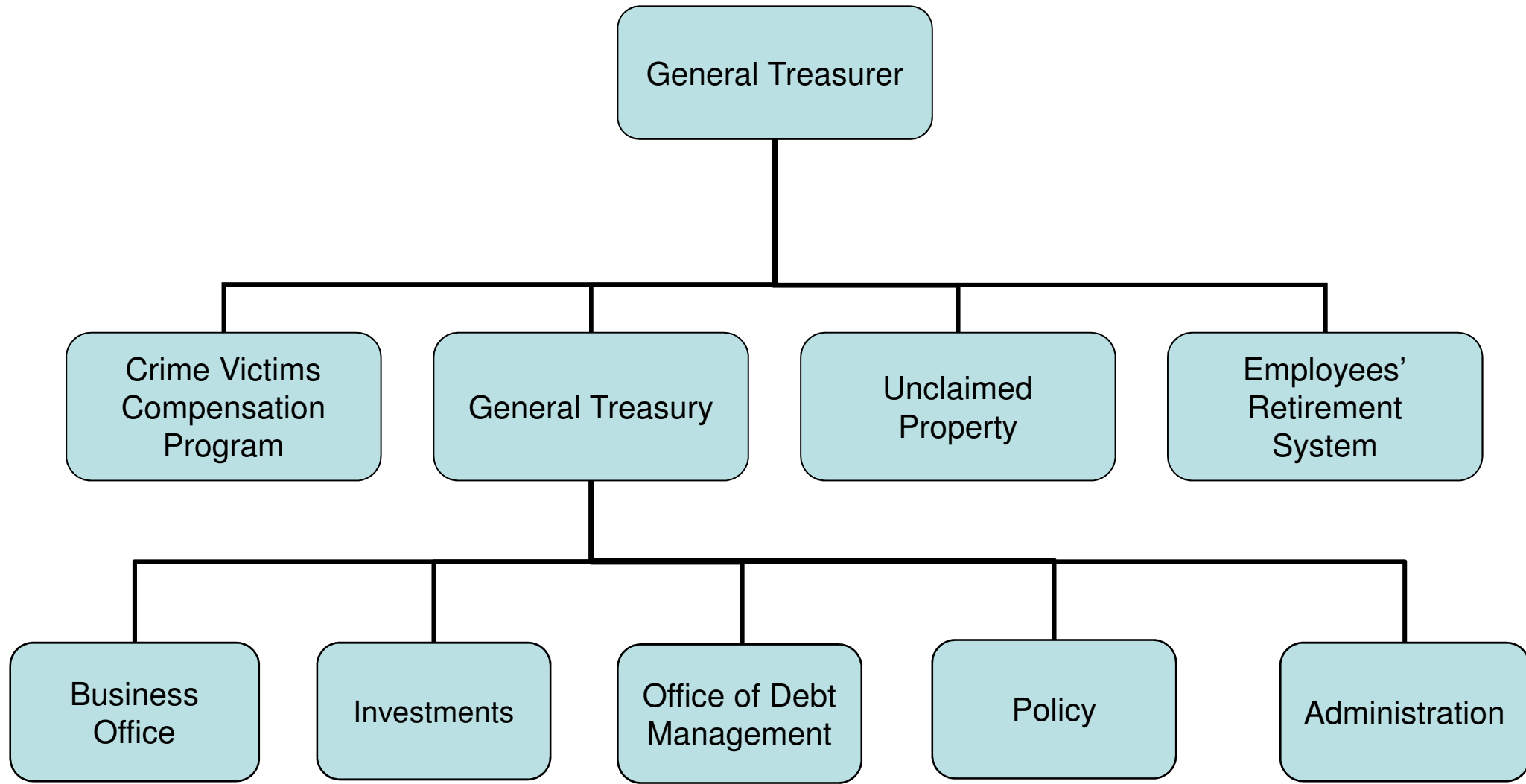
# Budget

## Treasury Department

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
General Treasurer	2,703,462	2,663,634	2,979,865	3,080,280	3,511,620
State Retirement System	9,806,388	11,857,000	11,782,495	12,733,555	10,040,273
Unclaimed Property	23,504,945	25,371,922	22,350,267	22,011,476	21,115,990
Crime Victim Compensation Program	2,024,941	1,639,638	1,982,066	2,067,133	2,103,272
<b>Total Expenditures</b>	<b>\$38,039,736</b>	<b>\$41,532,194</b>	<b>\$39,094,693</b>	<b>\$39,892,444</b>	<b>\$36,771,155</b>
<b>Expenditures By Object</b>					
Personnel	13,157,336	15,238,403	15,093,151	16,141,283	13,784,460
Operating Supplies and Expenses	22,984,264	24,805,844	22,200,267	21,952,886	21,013,920
Assistance and Grants	1,873,522	1,456,810	1,755,000	1,755,000	1,905,000
<b>Subtotal: Operating Expenditures</b>	<b>38,015,122</b>	<b>41,501,057</b>	<b>39,048,418</b>	<b>39,849,169</b>	<b>36,703,380</b>
Capital Purchases and Equipment	24,614	31,137	46,275	43,275	67,775
<b>Total Expenditures</b>	<b>\$38,039,736</b>	<b>\$41,532,194</b>	<b>\$39,094,693</b>	<b>\$39,892,444</b>	<b>\$36,771,155</b>
<b>Expenditures By Funds</b>					
General Revenue	2,384,819	2,400,368	2,420,250	2,407,642	2,856,231
Federal Funds	852,195	649,120	891,955	1,019,884	952,881
Restricted Receipts	34,602,288	38,279,369	35,263,670	35,875,484	32,286,796
Other Funds	200,434	203,337	518,818	589,434	675,247
<b>Total Expenditures</b>	<b>\$38,039,736</b>	<b>\$41,532,194</b>	<b>\$39,094,693</b>	<b>\$39,892,444</b>	<b>\$36,771,155</b>
<b>FTE Authorization</b>	<b>83.0</b>	<b>83.0</b>	<b>84.0</b>	<b>87.0</b>	<b>88.0</b>

# The Agency

## Office of the General Treasurer



# Personnel

## Treasury Department Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Unclassified		87.0	6,071,785	88.0	6,278,351
<b>Subtotal</b>		<b>87.0</b>	<b>\$6,071,785</b>	<b>88.0</b>	<b>\$6,278,351</b>
Cost Allocation from Other Programs		13.9	1,104,776	13.9	1,080,579
Cost Allocation to Other Programs		(13.9)	(\$1,104,776)	(13.9)	(\$1,080,579)
Overtime		-	60,000	-	60,000
Turnover		-	(\$362,703)	-	(\$281,321)
<b>Subtotal</b>		<b>-</b>	<b>(\$302,703)</b>	<b>-</b>	<b>(\$221,321)</b>
<b>Total Salaries</b>		<b>87.0</b>	<b>\$5,769,082</b>	<b>88.0</b>	<b>\$6,057,030</b>
<b>Benefits</b>					
Payroll Accrual			32,735		34,666
FICA			428,222		449,804
Retiree Health			342,627		358,022
Health Benefits			1,005,569		1,093,234
Retirement			1,413,190		1,576,859
<b>Subtotal</b>			<b>\$3,222,343</b>		<b>\$3,512,585</b>
<b>Total Salaries and Benefits</b>		<b>87.0</b>	<b>\$8,991,425</b>	<b>88.0</b>	<b>\$9,569,615</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$103,350</b>		<b>\$108,746</b>
<b>Statewide Benefit Assessment</b>			<b>\$263,764</b>		<b>\$284,595</b>
<b>Payroll Costs</b>		<b>87.0</b>	<b>\$9,255,189</b>	<b>88.0</b>	<b>\$9,854,210</b>
<b>Purchased Services</b>					
Buildings and Ground Maintenance			110,000		138,000
Legal Services			519,500		559,500
Other Contracts			188,400		201,250
Information Technology			5,064,094		1,973,400
Clerical and Temporary Services			8,600		12,600
Management & Consultant Services			995,500		1,045,500
<b>Subtotal</b>			<b>\$6,886,094</b>		<b>\$3,930,250</b>
<b>Total Personnel</b>		<b>87.0</b>	<b>\$16,141,283</b>	<b>88.0</b>	<b>\$13,784,460</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		21.7	\$1,902,224	22.7	\$2,160,166
Federal Funds		-	\$335,659	-	\$331,356
Restricted Receipts		62.3	\$13,400,213	62.3	\$10,703,938
Other Funds		3.0	\$503,187	3.0	\$589,000
<b>Total All Funds</b>		<b>87.0</b>	<b>\$16,141,283</b>	<b>88.0</b>	<b>\$13,784,460</b>



# The Program

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## Treasury Department General Treasurer

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### Program Mission

To continue to deliver superior service to members of the public, other state agencies, and state vendors in all of its activities;

To expand use of technology to increase operational efficiency;

To improve the management of cash collection, investment and disbursement systems.

### Program Description

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Investments, and the Business Office.

**Policy Division:** This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office.

**Administrative Division:** This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal advice and management information systems.

**Investment Division:** This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds, provides reports to the State Investment Commission on investment performance, and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations.

**Business Office:** This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of the majority of State bank accounts both to the bank statement balances and to the Controller's records. Additionally, this division laser prints vendor and non- vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

### Statutory History

Chapter 35 gives the guidelines of investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training programs. Chapter 16-57 includes the Treasurer's responsibilities for the management of the Collegeboundfund.

# The Budget

## Treasury Department General Treasurer

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Policy	696,393	630,177	600,576	596,545	604,849
Administration Operations	140,971	209,746	469,747	525,996	592,609
Business Offices	1,200,136	1,208,948	1,212,942	1,269,056	1,320,108
Investments	665,962	614,763	696,600	688,683	994,054
<b>Total Expenditures</b>	<b>\$2,703,462</b>	<b>\$2,663,634</b>	<b>\$2,979,865</b>	<b>\$3,080,280</b>	<b>\$3,511,620</b>
<b>Expenditures By Object</b>					
Personnel	2,275,153	2,183,778	2,380,017	2,482,072	2,842,765
Operating Supplies and Expenses	426,739	470,720	573,423	574,783	645,430
<b>Subtotal: Operating Expenditures</b>	<b>2,701,892</b>	<b>2,654,498</b>	<b>2,953,440</b>	<b>3,056,855</b>	<b>3,488,195</b>
Capital Purchases and Equipment	1,570	9,136	26,425	23,425	23,425
<b>Total Expenditures</b>	<b>\$2,703,462</b>	<b>\$2,663,634</b>	<b>\$2,979,865</b>	<b>\$3,080,280</b>	<b>\$3,511,620</b>
<b>Expenditures By Funds</b>					
General Revenue	2,188,519	2,174,753	2,193,796	2,182,933	2,507,779
Federal Funds	274,509	285,544	267,251	307,913	328,594
Restricted Receipts	40,000	-	-	-	-
Other Funds	200,434	203,337	518,818	589,434	675,247
<b>Total Expenditures</b>	<b>\$2,703,462</b>	<b>\$2,663,634</b>	<b>\$2,979,865</b>	<b>\$3,080,280</b>	<b>\$3,511,620</b>

# Personnel

## Treasury Department

### General Treasurer

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF OF STAFF CHIEF OF LEGAL COUNSEL	08450A	1.0	150,450	1.0	156,275
EXECUTIVE DIRECTOR FOR OPERATIONS	08548A	1.0	135,589	1.0	141,075
GENERAL TREASURER	00531F	1.0	119,356	1.0	119,990
DIRECTOR OF COMMUNICATIONS (TREASURY)	08536A	1.0	112,653	1.0	117,412
CASH MANAGER (TREASURY INVESTMENTS)	08538A <sup>1</sup>	1.0	112,494	2.0	206,666
CHIEF FISCAL MANAGER (TREASURY)	08538A	1.0	107,603	1.0	108,174
LEGISLATIVE AFFAIRS DIRECTOR (TREASURY)	08534A	1.0	93,428	1.0	96,709
POLICY DIRECTOR (TREASURY)	08533A	1.0	91,740	1.0	96,412
FISCAL MANAGEMENT/DEBT ANALYST	00330A	1.0	87,843	1.0	88,295
CHIEF OF STAFF (TREASURY)	08548A	1.0	84,410	1.0	87,836
PRINCIPAL AUDITOR	00328A	3.0	239,385	3.0	240,618
ASSOCIATE DIRECTOR OF FINANCE (TREASURY)	08535A	1.0	79,418	1.0	82,754
DIRECTOR OF CONSUMER	08535A	1.0	75,619	1.0	78,379
SENIOR ADMINISTRATIVE CLERK (TREASURY)	00327A	2.0	136,300	2.0	136,704
STATE RECEIPTS COORDINATOR & RET ACCT	00324A	1.0	67,814	1.0	68,166
TECHNICAL SUPPORT SPECIALIST (TREASURY)	08528A	1.0	66,844	1.0	67,199
SENIOR INVESTMENT OFFICER (TREASURY)	00324A	1.0	59,391	1.0	59,706
COORDINATOR, SPECIAL PROJECTS	08527A	1.0	56,056	1.0	58,062
EXECUTIVE AIDE TO THE GENERAL TREASURER	08525A	1.0	54,401	1.0	56,619
ADMINISTRATIVE ASSISTANT (TREASURY)	00322A	1.0	52,542	1.0	52,821
ADMINISTRATIVE ASSISTANT	08525A	1.0	51,964	1.0	53,689
FISCAL MANAGER	08525A	2.0	103,928	2.0	105,774
ACCOUNTING & DEBT SERVICES SPECIALIST	00319A	1.0	51,677	1.0	51,951
ACCOUNTING & RESEARCH SERVICES SPECIALIST	00319A	1.0	51,677	1.0	51,951
SR ADMINISTRATIVE AIDE (TREASURY)	00317A	1.0	50,724	1.0	50,993
CONSTITUENT SERVICES REPRESENTATIVE	08518A	1.0	45,426	1.0	44,535
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	44,107	1.0	44,341
ARCHIVES AND RECORDS RETENTION SPECIALIST	00315A	1.0	43,565	1.0	43,796
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS)	00316A	1.0	42,850	1.0	43,078
<b>Subtotal</b>		<b>33.0</b>	<b>\$2,469,254</b>	<b>34.0</b>	<b>\$2,609,980</b>
Cost Allocation from Other Programs		0.5	58,916	0.5	56,454
Cost Allocation to Other Programs		(12.3)	(934,863)	(12.3)	(921,182)
Turnover		-	(115,854)	-	(93,575)
<b>Subtotal</b>		<b>(11.8)</b>	<b>(\$991,801)</b>	<b>(11.8)</b>	<b>(\$958,303)</b>
<b>Total Salaries</b>		<b>21.2</b>	<b>\$1,477,453</b>	<b>22.2</b>	<b>\$1,651,677</b>
<b>Benefits</b>					
Payroll Accrual			8,468		9,539
FICA			110,452		123,892
Retiree Health			88,763		98,604
Health Benefits			240,639		280,924
Retirement			365,476		432,290
<b>Subtotal</b>			<b>\$813,798</b>		<b>\$945,249</b>

# Personnel

## Treasury Department General Treasurer

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		21.2	\$2,291,251	22.2	\$2,596,926
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$108,078		\$116,979
<b>Statewide Benefit Assessment</b>			\$68,171		\$78,189
<b>Payroll Costs</b>		21.2	\$2,359,422	22.2	\$2,675,115
<b>Purchased Services</b>					
Information Technology			10,000		10,000
Clerical and Temporary Services			500		500
Management & Consultant Services			95,500		125,500
Legal Services			14,500		29,500
Other Contracts			2,150		2,150
<b>Subtotal</b>			\$122,650		\$167,650
<b>Total Personnel</b>		21.2	\$2,482,072	22.2	\$2,842,765
<b>Distribution By Source Of Funds</b>					
General Revenue		18.2	\$1,693,451	19.2	\$1,947,650
Federal Funds		-	\$285,434	-	\$306,115
Other Funds		3.0	\$503,187	3.0	\$589,000
<b>Total All Funds</b>		21.2	\$2,482,072	22.2	\$2,842,765

1 1.0 additional FTE for new Office of Debt Management

# The Program

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## Treasury Department State Retirement System

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### **Program Mission**

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.5%. The Investments subprogram within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

### **Program Description**

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

### **Statutory History**

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. These changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, re-amortizing over 25 years, suspended cost-of-living-adjustments and created a hybrid defined-benefit / defined-contribution plan designed to share investment risk fairly among all stakeholders. Several public employee unions and retiree groups filed suit. Litigation related to the implementation of RIRSA was ultimately settled in June 2016 and the provisions of the settlement agreement were enacted into law effective for FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancements to benefits of active employees and retirees.

# The Budget

## Treasury Department State Retirement System

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Defined Benefit	9,607,505	11,618,690	11,466,300	12,634,245	9,971,900
Defined Contribution	198,883	238,310	316,195	99,310	68,373
<b>Total Expenditures</b>	<b>\$9,806,388</b>	<b>\$11,857,000</b>	<b>\$11,782,495</b>	<b>\$12,733,555</b>	<b>\$10,040,273</b>
<b>Expenditures By Object</b>					
Personnel	9,122,281	11,181,562	10,835,113	11,723,905	8,993,973
Operating Supplies and Expenses	382,696	384,908	690,382	752,650	734,800
Assistance and Grants	278,648	269,274	250,000	250,000	280,000
<b>Subtotal: Operating Expenditures</b>	<b>9,783,625</b>	<b>11,835,744</b>	<b>11,775,495</b>	<b>12,726,555</b>	<b>10,008,773</b>
Capital Purchases and Equipment	22,763	21,256	7,000	7,000	31,500
<b>Total Expenditures</b>	<b>\$9,806,388</b>	<b>\$11,857,000</b>	<b>\$11,782,495</b>	<b>\$12,733,555</b>	<b>\$10,040,273</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	9,806,388	11,857,000	11,782,495	12,733,555	10,040,273
<b>Total Expenditures</b>	<b>\$9,806,388</b>	<b>\$11,857,000</b>	<b>\$11,782,495</b>	<b>\$12,733,555</b>	<b>\$10,040,273</b>

# Personnel

## Treasury Department State Retirement System

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF INVESTMENT OFFICER (TREASURY)	08553A	1.0	183,491	1.0	191,598
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08545A	1.0	150,672	1.0	151,472
DIRECTOR OF FINANCE (TREASURY/RETIREMENT)	08538A	1.0	112,643	1.0	112,643
ASSISTANT DIRECTOR-RETIREMENT SYS ADMIN &	08538A	1.0	112,494	1.0	113,091
DEPUTY LEGAL COUNSEL (TREASURY	08539A	1.0	92,721	1.0	97,974
CONTROLLER (TREASURY RETIREMENT)	08534A	1.0	92,454	1.0	92,848
FISCAL ANALYST (TREASURY)	08529A	1.0	87,057	1.0	91,740
PROJECT MANAGER	08530A	1.0	86,719	1.0	87,179
LEGAL COUNSEL (TREASURY RETIREMENT)	08531A	1.0	86,444	1.0	86,903
BUSINESS ANALYST (TREASURY/RETIREMENT)	08531A	1.0	78,982	1.0	75,576
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	00327A	2.0	151,894	2.0	164,700
INVESTMENT ANALYST (TREASURY)	08527A	1.0	75,568	1.0	75,568
FISCAL MANAGER/PENSION INVESTMENT	00331A	1.0	74,197	1.0	74,197
ADMINISTRATIVE MANAGER	08534A	1.0	73,043	1.0	75,708
OPERATIONS MANAGER (TREASURY RETIREMENT)	08531A	1.0	68,779	1.0	69,144
ADMINISTRATIVE ASSISTANT	00325A	2.0	135,103	2.0	135,814
WAGE & CONTRIBUTION ANALYST (TREASURY	00324A	1.0	66,461	1.0	66,814
PRINCIPAL PROJECTS MANAGER	08531A	1.0	65,407	1.0	67,778
LEGAL ASSISTANT (TREASURY)	08527A	1.0	64,327	1.0	64,668
PRINCIPAL ACCOUNTANT	00326A	1.0	64,206	1.0	64,547
PORTFOLIO RISK ANALYST (TREASURY	08529A	1.0	63,653	1.0	66,328
DEPUTY ADMINISTRATOR/CLERK ACCOUNTING	08530A	1.0	62,918	1.0	65,221
ADMINISTRATIVE ASSISTANT (TREASURY	00325A	2.0	125,336	2.0	126,110
DEPUTY ADMINISTRATOR/CLERK ACCOUNTING	08524A	1.0	59,675	1.0	59,977
SENIOR ACCOUNTANT (TREASURY/RETIREMENT	00325A	1.0	58,850	1.0	59,162
RETIREMENT ANALYST	00323A	2.0	115,585	2.0	114,598
PROJECT COORDINATOR (TREASURY)	00325A	1.0	53,287	1.0	53,486
SENIOR ADMINISTRATIVE AIDE	00317A	1.0	52,234	1.0	52,509
IMAGING TECNICIAN	00315A	1.0	49,789	1.0	50,053
INTERMEDIATE ACCOUNTANT	00320A	1.0	46,555	1.0	48,268
ADMINISTRATIVE AIDE	00316A	3.0	138,451	3.0	139,179
MEMBER SERVICES REPRESENTATIVE (TREASURY	00318A	1.0	44,858	1.0	45,746
DISABILITY ADMINISTRATIVE AIDE (TREASURY	00315A	1.0	42,248	1.0	43,381
RETIREMENT AIDE (TREASURY)	00315A	2.0	79,926	2.0	81,131
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	08513A	1.0	39,468	1.0	39,678
<b>Subtotal</b>		<b>42.0</b>	<b>\$2,955,495</b>	<b>42.0</b>	<b>\$3,004,789</b>

# Personnel

## Treasury Department State Retirement System

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation from Other Programs		9.1	722,605	9.1	687,117
Cost Allocation to Other Programs		(1.6)	(169,913)	(1.6)	(159,397)
Overtime		-	35,000	-	35,000
Turnover		-	(210,709)	-	(115,360)
<b>Subtotal</b>		<b>7.5</b>	<b>\$376,983</b>	<b>7.5</b>	<b>\$447,360</b>
<b>Total Salaries</b>		<b>49.5</b>	<b>\$3,332,478</b>	<b>49.5</b>	<b>\$3,452,149</b>
<b>Benefits</b>					
Payroll Accrual			18,890		19,757
FICA			246,679		255,673
Retiree Health			197,619		204,003
Health Benefits			582,736		623,196
Retirement			815,598		900,079
<b>Subtotal</b>			<b>\$1,861,522</b>		<b>\$2,002,708</b>
<b>Total Salaries and Benefits</b>		<b>49.5</b>	<b>\$5,194,000</b>	<b>49.5</b>	<b>\$5,454,857</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$104,929</b>		<b>\$110,199</b>
<b>Statewide Benefit Assessment</b>			<b>\$152,261</b>		<b>\$162,316</b>
<b>Payroll Costs</b>		<b>49.5</b>	<b>\$5,346,261</b>	<b>49.5</b>	<b>\$5,617,173</b>
<b>Purchased Services</b>					
Information Technology			4,993,894		1,903,200
Clerical and Temporary Services			8,000		12,000
Management & Consultant Services			600,000		620,000
Legal Services			505,000		530,000
Other Contracts			160,750		173,600
Buildings and Ground Maintenance			110,000		138,000
<b>Subtotal</b>			<b>\$6,377,644</b>		<b>\$3,376,800</b>
<b>Total Personnel</b>		<b>49.5</b>	<b>\$11,723,905</b>	<b>49.5</b>	<b>\$8,993,973</b>
<b>Distribution By Source Of Funds</b>					
Restricted Receipts		49.5	\$11,723,905	49.5	\$8,993,973
<b>Total All Funds</b>		<b>49.5</b>	<b>\$11,723,905</b>	<b>49.5</b>	<b>\$8,993,973</b>



# The Program

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## Treasury Department Unclaimed Property

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### **Program Mission**

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners.

### **Program Description**

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

### **Statutory History**

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 2011 legislative session. House Bill 5806 and Senate Bill 725 amended the advertising requirements of the program. House Bill 5755 and Senate Bill 727 established procedures for the disposal of 'de minimus' property.

# The Budget

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## Treasury Department Unclaimed Property

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	23,504,945	25,371,922	22,350,267	22,011,476	21,115,990
<b>Total Expenditures</b>	<b>\$23,504,945</b>	<b>\$25,371,922</b>	<b>\$22,350,267</b>	<b>\$22,011,476</b>	<b>\$21,115,990</b>
<b>Expenditures By Object</b>					
Personnel	1,380,629	1,448,172	1,441,740	1,484,238	1,517,815
Operating Supplies and Expenses	22,124,129	23,923,005	20,897,527	20,516,238	19,587,175
<b>Subtotal: Operating Expenditures</b>	<b>23,504,758</b>	<b>25,371,177</b>	<b>22,339,267</b>	<b>22,000,476</b>	<b>21,104,990</b>
Capital Purchases and Equipment	187	745	11,000	11,000	11,000
<b>Total Expenditures</b>	<b>\$23,504,945</b>	<b>\$25,371,922</b>	<b>\$22,350,267</b>	<b>\$22,011,476</b>	<b>\$21,115,990</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	23,504,945	25,371,922	22,350,267	22,011,476	21,115,990
<b>Total Expenditures</b>	<b>\$23,504,945</b>	<b>\$25,371,922</b>	<b>\$22,350,267</b>	<b>\$22,011,476</b>	<b>\$21,115,990</b>

# Personnel

## Treasury Department Unclaimed Property

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08534A	1.0	76,610	1.0	79,829
RETIREMENT ANALYST	00323A	1.0	65,127	1.0	65,467
ADMINISTRATIVE ASSISTANT (TREASURY)	00316A	1.0	52,722	1.0	52,995
SENIOR UNCLAIMED PROPERTY TECHNICIAN	00323A	1.0	51,663	1.0	53,515
APPLICATIONS COORDINATOR (TREASURY)	00318A	1.0	50,056	1.0	50,322
ASSISTANT ADMINISTRATOR/CLERK	00316A	1.0	41,020	1.0	42,414
ASST ADMIN/CLERK (TRSY UNCLM P	00316A	1.0	40,681	1.0	41,862
UNCLAIMED PROPERTY CLERK (TREASURY)	00316A	1.0	40,546	1.0	41,641
<b>Subtotal</b>		<b>8.0</b>	<b>\$418,425</b>	<b>8.0</b>	<b>\$428,045</b>
Cost Allocation from Other Programs		3.8	276,848	3.8	294,892
Overtime		-	25,000	-	25,000
Turnover		-	(32,425)	-	(49,233)
<b>Subtotal</b>		<b>3.8</b>	<b>\$269,423</b>	<b>3.8</b>	<b>\$270,659</b>
<b>Total Salaries</b>		<b>11.8</b>	<b>\$687,848</b>	<b>11.8</b>	<b>\$698,704</b>
<b>Benefits</b>					
Payroll Accrual			3,822		3,898
FICA			50,380		50,851
Retiree Health			39,972		40,221
Health Benefits			138,466		146,686
Retirement			164,956		177,454
<b>Subtotal</b>			<b>\$397,596</b>		<b>\$419,110</b>
<b>Total Salaries and Benefits</b>		<b>11.8</b>	<b>\$1,085,444</b>	<b>11.8</b>	<b>\$1,117,814</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$91,987</b>		<b>\$94,730</b>
<b>Statewide Benefit Assessment</b>			<b>\$30,794</b>		<b>\$32,001</b>
<b>Payroll Costs</b>		<b>11.8</b>	<b>\$1,116,238</b>	<b>11.8</b>	<b>\$1,149,815</b>
<b>Purchased Services</b>					
Information Technology			45,000		45,000
Management & Consultant Services			300,000		300,000
Other Contracts			23,000		23,000
<b>Subtotal</b>			<b>\$368,000</b>		<b>\$368,000</b>
<b>Total Personnel</b>		<b>11.8</b>	<b>\$1,484,238</b>	<b>11.8</b>	<b>\$1,517,815</b>
<b>Distribution By Source Of Funds</b>					
Restricted Receipts		11.8	\$1,484,238	11.8	\$1,517,815
<b>Total All Funds</b>		<b>11.8</b>	<b>\$1,484,238</b>	<b>11.8</b>	<b>\$1,517,815</b>

# The Program

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Treasury Department

## Crime Victim Compensation Program

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### **Program Mission**

The Program was created to help victims with the many costs associated with violent crime. The program provides financial compensation to crime victims for such expenses as medical bills, loss of earnings, crime scene cleaning and funeral expenses, up to \$25,000.

While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

### **Program Description**

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages were necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

### **Statutory History**

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs.

# The Budget

## Treasury Department Crime Victim Compensation Program

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	2,024,941	1,639,638	1,982,066	2,067,133	2,103,272
<b>Total Expenditures</b>	<b>\$2,024,941</b>	<b>\$1,639,638</b>	<b>\$1,982,066</b>	<b>\$2,067,133</b>	<b>\$2,103,272</b>
<b>Expenditures By Object</b>					
Personnel	379,273	424,891	436,281	451,068	429,907
Operating Supplies and Expenses	50,700	27,211	38,935	109,215	46,515
Assistance and Grants	1,594,874	1,187,536	1,505,000	1,505,000	1,625,000
<b>Subtotal: Operating Expenditures</b>	<b>2,024,847</b>	<b>1,639,638</b>	<b>1,980,216</b>	<b>2,065,283</b>	<b>2,101,422</b>
Capital Purchases and Equipment	94	-	1,850	1,850	1,850
<b>Total Expenditures</b>	<b>\$2,024,941</b>	<b>\$1,639,638</b>	<b>\$1,982,066</b>	<b>\$2,067,133</b>	<b>\$2,103,272</b>
<b>Expenditures By Funds</b>					
General Revenue	196,300	225,615	226,454	224,709	348,452
Federal Funds	577,686	363,576	624,704	711,971	624,287
Restricted Receipts	1,250,955	1,050,447	1,130,908	1,130,453	1,130,533
<b>Total Expenditures</b>	<b>\$2,024,941</b>	<b>\$1,639,638</b>	<b>\$1,982,066</b>	<b>\$2,067,133</b>	<b>\$2,103,272</b>

# Personnel

## Treasury Department Crime Victim Compensation Program

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PROGRAM ADMINISTRATOR(T C V C)	08532A	1.0	71,306	1.0	74,304
DPTY PRGM ADMINISTRATOR(T C V C	08528A	1.0	61,156	1.0	63,707
APPLICATIONS COORDINATOR (TREAS CRIME	00318A	1.0	55,730	1.0	56,020
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	40,419	1.0	41,506
<b>Subtotal</b>		<b>4.0</b>	<b>\$228,611</b>	<b>4.0</b>	<b>\$235,537</b>
Cost Allocation from Other Programs		0.5	46,407	0.5	42,116
Turnover		-	(3,715)	-	(23,153)
<b>Subtotal</b>		<b>0.5</b>	<b>\$42,692</b>	<b>0.5</b>	<b>\$18,963</b>
<b>Total Salaries</b>		<b>4.5</b>	<b>\$271,303</b>	<b>4.5</b>	<b>\$254,500</b>
<b>Benefits</b>					
Payroll Accrual			1,555		1,472
FICA			20,711		19,388
Retiree Health			16,273		15,194
Health Benefits			43,728		42,428
Retirement			67,160		67,036
<b>Subtotal</b>			<b>\$149,427</b>		<b>\$145,518</b>
<b>Total Salaries and Benefits</b>		<b>4.5</b>	<b>\$420,730</b>	<b>4.5</b>	<b>\$400,018</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$93,496</b>		<b>\$88,893</b>
<b>Statewide Benefit Assessment</b>			<b>\$12,538</b>		<b>\$12,089</b>
<b>Payroll Costs</b>		<b>4.5</b>	<b>\$433,268</b>	<b>4.5</b>	<b>\$412,107</b>
<b>Purchased Services</b>					
Information Technology			15,200		15,200
Clerical and Temporary Services			100		100
Other Contracts			2,500		2,500
<b>Subtotal</b>			<b>\$17,800</b>		<b>\$17,800</b>
<b>Total Personnel</b>		<b>4.5</b>	<b>\$451,068</b>	<b>4.5</b>	<b>\$429,907</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		3.5	\$208,773	3.5	\$212,516
Federal Funds		-	\$50,225	-	\$25,241
Restricted Receipts		1.0	\$192,070	1.0	\$192,150
<b>Total All Funds</b>		<b>4.5</b>	<b>\$451,068</b>	<b>4.5</b>	<b>\$429,907</b>

# Agency

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## Board Of Elections

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### Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

### Agency Description

The Board of Elections supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The Board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The Board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The Board oversees voter registration and public education activities and provides all registration materials used in the State. The Board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The Board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

### Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board," which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

# Budget

## Board Of Elections

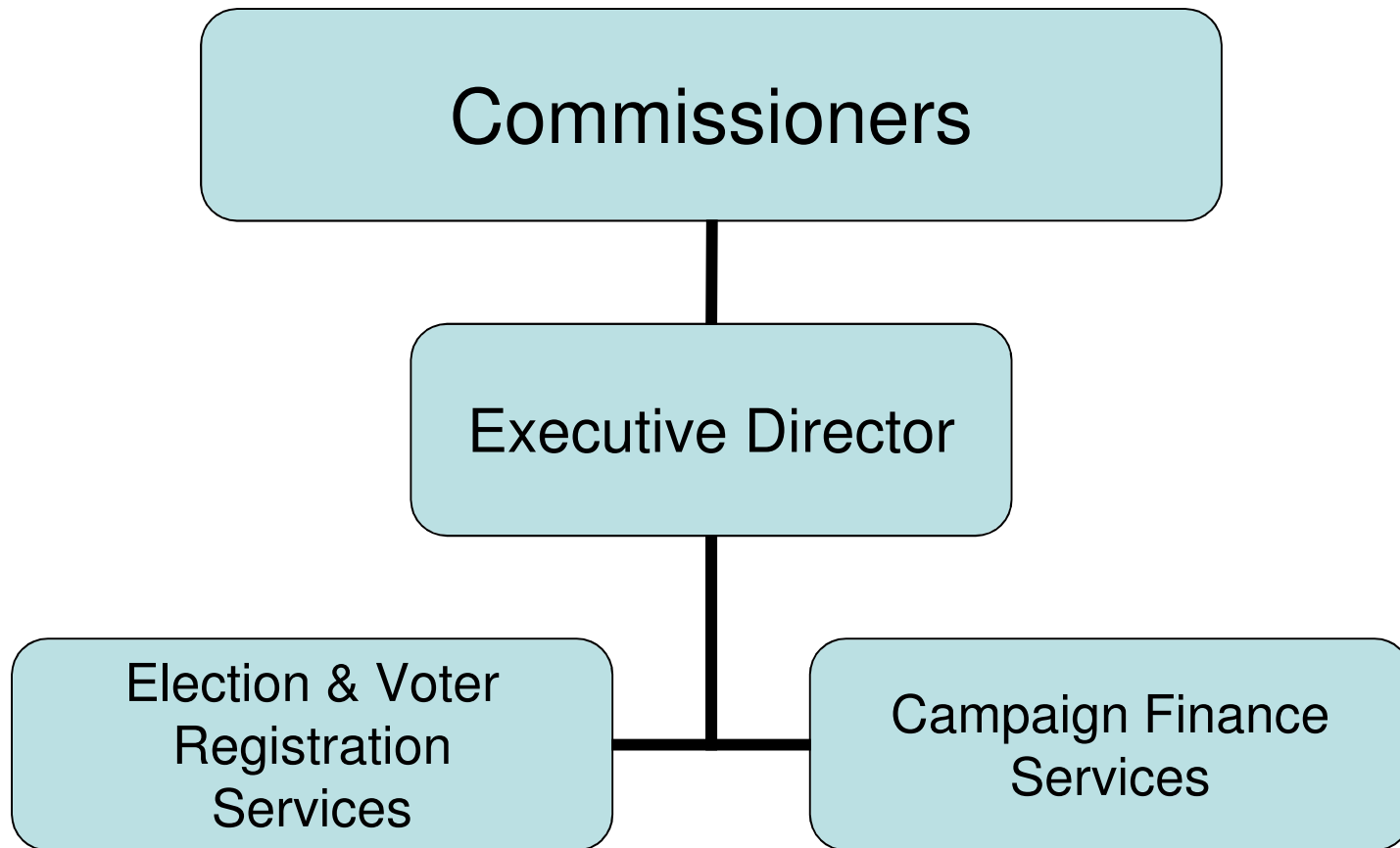
	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	1,573,262	4,656,136	1,818,305	1,797,868	1,982,707
<b>Total Expenditures</b>	<b>\$1,573,262</b>	<b>\$4,656,136</b>	<b>\$1,818,305</b>	<b>\$1,797,868</b>	<b>\$1,982,707</b>
<b>Expenditures By Object</b>					
Personnel	1,323,989	1,356,115	1,269,831	1,212,410	1,519,827
Operating Supplies and Expenses	248,159	618,193	526,784	492,458	454,880
Assistance and Grants	-	2,620,273	-	85,000	-
<b>Subtotal: Operating Expenditures</b>	<b>1,572,148</b>	<b>4,594,581</b>	<b>1,796,615</b>	<b>1,789,868</b>	<b>1,974,707</b>
Capital Purchases and Equipment	1,114	61,555	21,690	8,000	8,000
<b>Total Expenditures</b>	<b>\$1,573,262</b>	<b>\$4,656,136</b>	<b>\$1,818,305</b>	<b>\$1,797,868</b>	<b>\$1,982,707</b>
<b>Expenditures By Funds</b>					
General Revenue	1,573,262	4,656,136	1,818,305	1,797,868	1,982,707
<b>Total Expenditures</b>	<b>\$1,573,262</b>	<b>\$4,656,136</b>	<b>\$1,818,305</b>	<b>\$1,797,868</b>	<b>\$1,982,707</b>
<b>FTE Authorization</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>11.0</b>	<b>12.0</b>



# The Agency

## Board of Elections

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# Personnel

## Board Of Elections Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
EXECUTIVE DIRECTOR	00844A	1.0	145,223	1.0	145,994
PRINCIPAL PROJECTS MANAGER	00831A	1.0	86,485	1.0	86,924
SUPERVISING ACCOUNTANT	00831A	1.0	78,927	1.0	79,346
PLANNING AND PROGRAM DEVELOPMENT	00320A	4.0	201,324	4.0	203,230
SENIOR ADMINISTRATIVE AIDE/TRAINING	00319A	1.0	43,722	1.0	45,070
SENIOR RECEPTIONIST	00312A	1.0	40,466	1.0	40,681
CONFIDENTIAL SECRETARY	00817A	1.0	40,271	1.0	41,405
CLERK/MACHINE DEMONSTRATOR/ASSISTANT	00313A	1.0	36,472	1.0	37,201
ADMINISTRATIVE ASSISTANT	00323A <sup>1</sup>	-	-	1.0	34,969
COMMISSIONER-BOARD OF ELECTIONS	00510F	-	28,000	-	28,000
SEASONAL OPERATIONS CLERK	00273H	-	-	-	-
<b>Subtotal</b>		<b>11.0</b>	<b>\$700,890</b>	<b>12.0</b>	<b>\$742,820</b>
Overtime		-	-	-	16,000
Temporary and Seasonal		-	60,000	-	210,000
Turnover		-	(88,305)	-	(37,023)
<b>Subtotal</b>		<b>-</b>	<b>(\$28,305)</b>	<b>-</b>	<b>\$188,977</b>
<b>Total Salaries</b>		<b>11.0</b>	<b>\$672,585</b>	<b>12.0</b>	<b>\$931,797</b>
<b>Benefits</b>					
Payroll Accrual			3,664		3,900
FICA			42,433		49,336
Retiree Health			42,167		44,409
Health Benefits			181,417		204,997
Retirement			160,489		174,307
<b>Subtotal</b>			<b>\$430,170</b>		<b>\$476,949</b>
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>\$1,102,755</b>	<b>12.0</b>	<b>\$1,408,746</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$94,796</b>		<b>\$99,896</b>
<b>Statewide Benefit Assessment</b>			<b>\$29,772</b>		<b>\$31,198</b>
<b>Payroll Costs</b>		<b>11.0</b>	<b>\$1,132,527</b>	<b>12.0</b>	<b>\$1,439,944</b>
<b>Purchased Services</b>					
Information Technology			12,000		12,000
Clerical and Temporary Services			1,000		1,000
Legal Services			66,095		66,095
Other Contracts			788		788
<b>Subtotal</b>			<b>\$79,883</b>		<b>\$79,883</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>\$1,212,410</b>	<b>12.0</b>	<b>\$1,519,827</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.0	\$1,212,410	12.0	\$1,519,827
<b>Total All Funds</b>		<b>11.0</b>	<b>\$1,212,410</b>	<b>12.0</b>	<b>\$1,519,827</b>

# Personnel

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Board Of Elections

Central Management

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<i>1 FY 2017 budget includes 1.0 new Administrative Assistant due to increased workload associated with passage of new campaign finance laws.</i>					

# Agency

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## Rhode Island Ethics Commission

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### Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

### Agency Description

The independent, non-partisan Rhode Island Ethics Commission was established pursuant to an amendment to the Rhode Island Constitution, approved by the voters on November 4, 1986. The Commission's first members were appointed on July 25, 1987, pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,500 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

### Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

# Budget

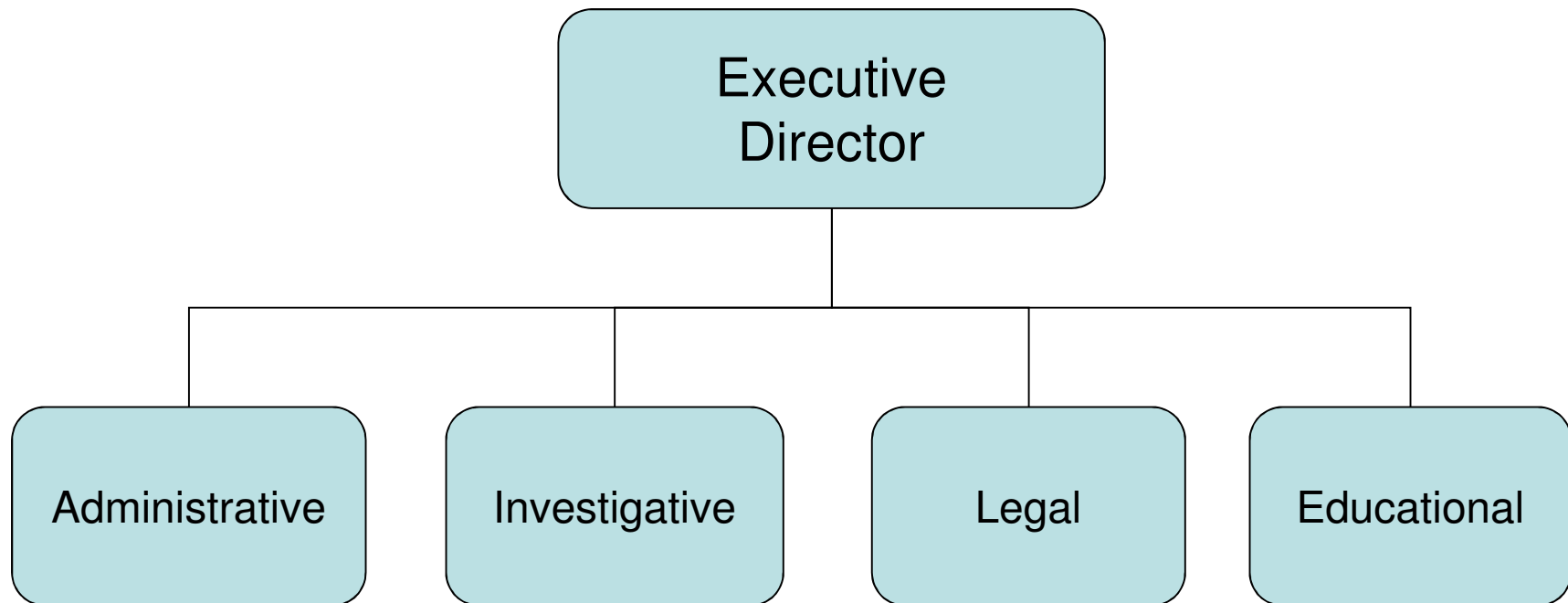
## Rhode Island Ethics Commission

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
RI Ethics Commission	1,541,741	1,579,038	1,644,876	1,611,119	1,653,383
<b>Total Expenditures</b>	<b>\$1,541,741</b>	<b>\$1,579,038</b>	<b>\$1,644,876</b>	<b>\$1,611,119</b>	<b>\$1,653,383</b>
<b>Expenditures By Object</b>					
Personnel	1,378,937	1,415,091	1,463,149	1,439,633	1,479,796
Operating Supplies and Expenses	156,539	152,916	175,609	167,160	169,261
<b>Subtotal: Operating Expenditures</b>	<b>1,535,476</b>	<b>1,568,007</b>	<b>1,638,758</b>	<b>1,606,793</b>	<b>1,649,057</b>
Capital Purchases and Equipment	6,265	11,031	6,118	4,326	4,326
<b>Total Expenditures</b>	<b>\$1,541,741</b>	<b>\$1,579,038</b>	<b>\$1,644,876</b>	<b>\$1,611,119</b>	<b>\$1,653,383</b>
<b>Expenditures By Funds</b>					
General Revenue	1,541,741	1,579,038	1,644,876	1,611,119	1,653,383
<b>Total Expenditures</b>	<b>\$1,541,741</b>	<b>\$1,579,038</b>	<b>\$1,644,876</b>	<b>\$1,611,119</b>	<b>\$1,653,383</b>
<b>FTE Authorization</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>

# The Agency

Rhode Island Ethics Commission

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# Personnel

## Rhode Island Ethics Commission

### RI Ethics Commission

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	137,570	1.0	138,301
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	106,648	1.0	107,197
STAFF ATTORNEY V	00836A	1.0	98,976	1.0	99,501
STAFF ATTORNEY IV	00834A	1.0	92,385	1.0	92,876
SPECIAL PROJECTS COORDINATOR	00829A	1.0	83,460	1.0	83,903
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	81,983	1.0	82,418
STAFF ATTORNEY II	00830A	1.0	67,109	1.0	70,376
STAFF ATTORNEY I	00828A	1.0	66,844	1.0	67,199
ADMINISTRATIVE OFFICER	00822A	1.0	57,996	1.0	58,304
INVESTIGATOR I	00823A	1.0	57,487	1.0	57,792
ADMINISTRATIVE ASSISTANT	00816A	1.0	44,993	1.0	45,232
RESEARCH AIDE	00810A	1.0	35,877	1.0	36,941
<b>Subtotal</b>		<b>12.0</b>	<b>\$931,328</b>	<b>12.0</b>	<b>\$940,040</b>
Turnover		-	(17,941)	-	(11,503)
<b>Subtotal</b>		<b>-</b>	<b>(\$17,941)</b>	<b>-</b>	<b>(\$11,503)</b>
<b>Total Salaries</b>		<b>12.0</b>	<b>\$913,387</b>	<b>12.0</b>	<b>\$928,537</b>
<b>Benefits</b>					
Payroll Accrual			5,251		5,397
FICA			68,879		70,175
Retiree Health			54,529		55,433
Health Benefits			100,396		103,552
Retirement			226,075		245,596
<b>Subtotal</b>			<b>\$455,130</b>		<b>\$480,153</b>
<b>Total Salaries and Benefits</b>		<b>12.0</b>	<b>\$1,368,517</b>	<b>12.0</b>	<b>\$1,408,690</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$114,043</b>		<b>\$117,391</b>
<b>Statewide Benefit Assessment</b>			<b>\$42,016</b>		<b>\$44,106</b>
<b>Payroll Costs</b>		<b>12.0</b>	<b>\$1,410,533</b>	<b>12.0</b>	<b>\$1,452,796</b>
<b>Purchased Services</b>					
Information Technology			9,500		9,000
Clerical and Temporary Services			2,500		1,900
Legal Services			17,000		16,000
Other Contracts			100		100
<b>Subtotal</b>			<b>\$29,100</b>		<b>\$27,000</b>
<b>Total Personnel</b>		<b>12.0</b>	<b>\$1,439,633</b>	<b>12.0</b>	<b>\$1,479,796</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		12.0	\$1,439,633	12.0	\$1,479,796
<b>Total All Funds</b>		<b>12.0</b>	<b>\$1,439,633</b>	<b>12.0</b>	<b>\$1,479,796</b>

# Performance Measures

## Rhode Island Ethics Commission

### *Online Filing of Financial Disclosure*

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. 2015 calendar year data as of 6/30/2015.

	2013	2014	2015	2016	2017
<b>Target</b>	66%	70%	75%	80%	80%
<b>Actual</b>	67.2%	68.9%	75.1%	--	--

Performance for this measure is reported by calendar year.

### *Ethics Education Training Attendance*

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is on call to speak with members of the public with questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees.

	2013	2014	2015	2016	2017
<b>Target</b>	1,650	1,650	1,650	1,650	1,500
<b>Actual</b>	1,156	1,512	1,269	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Response to Access to Public Records Act Requests*

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions, and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. This measure represents the percentage of APRA requests completed within one day.

	2013	2014	2015	2016	2017
<b>Target</b>	80%	85%	85%	85%	85%
<b>Actual</b>	85.3%	97.5%	93.6%	--	--

Performance for this measure is reported by state fiscal year.



# Agency

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## Executive Department

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### Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

### Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, and Constituent Affairs Office.

### Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6). The functions of the exchange has been transferred to the Department of Administration.

The administration of Governor Gina M. Raimondo began on January 6, 2015.

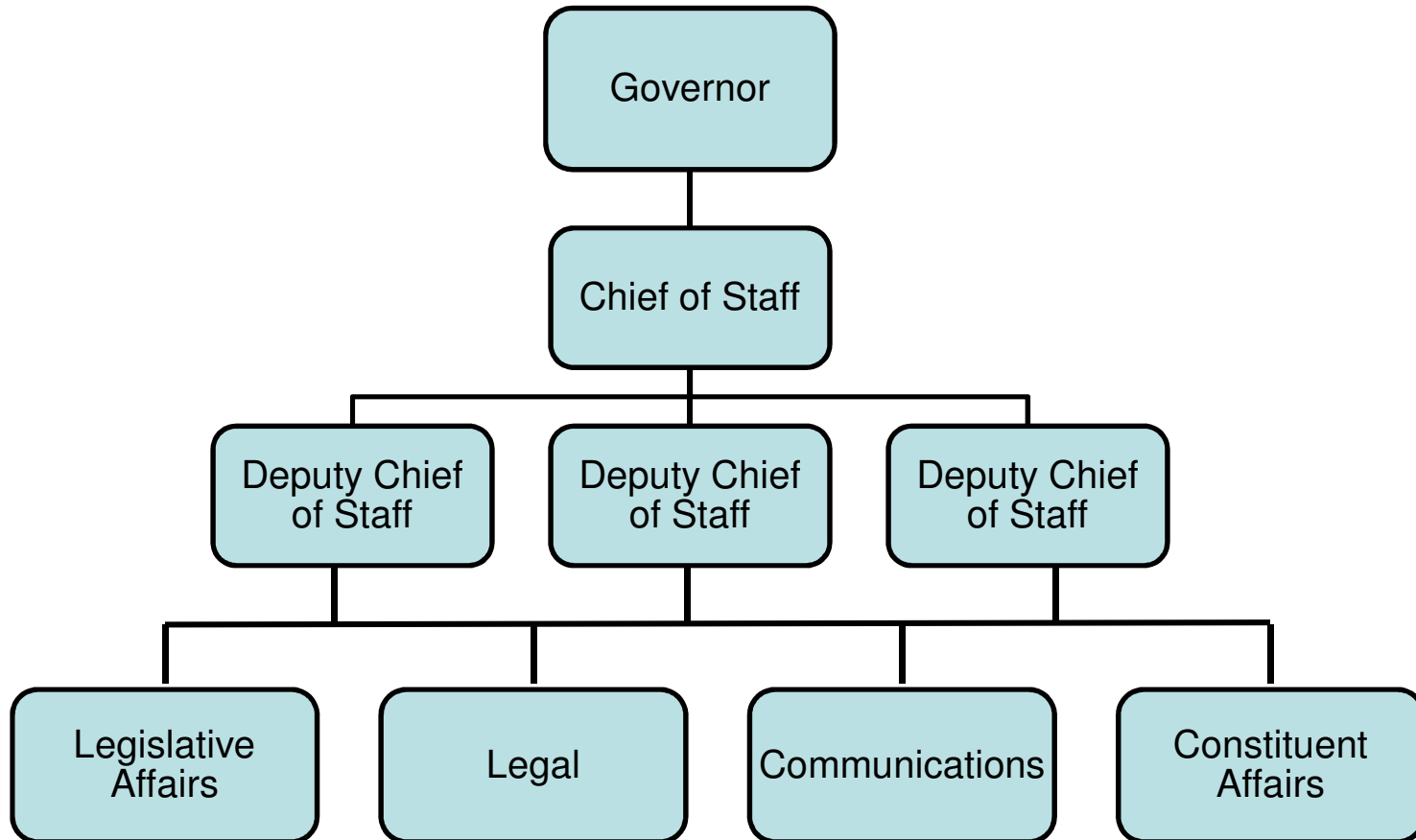
# Budget

## Executive Department

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	3,975,744	4,401,947	4,903,467	5,139,589	5,091,069
Office of Economic Recovery and Reinvestment	-	(1)	-	-	-
Rhode Island Health Benefits Exchange	-	(53)	-	-	-
<b>Total Expenditures</b>	<b>\$3,975,744</b>	<b>\$4,401,893</b>	<b>\$4,903,467</b>	<b>\$5,139,589</b>	<b>\$5,091,069</b>
<b>Expenditures By Object</b>					
Personnel	3,704,475	4,040,196	4,365,817	4,442,264	4,555,202
Operating Supplies and Expenses	235,542	342,678	267,750	427,425	265,967
Assistance and Grants	8,000	-	250,000	250,000	250,000
<b>Subtotal: Operating Expenditures</b>	<b>3,948,017</b>	<b>4,382,874</b>	<b>4,883,567</b>	<b>5,119,689</b>	<b>5,071,169</b>
Capital Purchases and Equipment	27,727	19,019	19,900	19,900	19,900
<b>Total Expenditures</b>	<b>\$3,975,744</b>	<b>\$4,401,893</b>	<b>\$4,903,467</b>	<b>\$5,139,589</b>	<b>\$5,091,069</b>
<b>Expenditures By Funds</b>					
General Revenue	3,975,744	4,401,947	4,903,467	5,139,589	5,091,069
Federal Funds	-	(53)	-	-	-
Restricted Receipts	-	(1)	-	-	-
<b>Total Expenditures</b>	<b>\$3,975,744</b>	<b>\$4,401,893</b>	<b>\$4,903,467</b>	<b>\$5,139,589</b>	<b>\$5,091,069</b>
<b>FTE Authorization</b>	<b>45.0</b>	<b>45.0</b>	<b>45.0</b>	<b>45.0</b>	<b>45.0</b>

# The Agency

Executive Department



# Personnel

## Executive Department Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
LEGISLATIVE DIRECTOR (GOVERNORS OFFICE)	08350A	1.0	172,294	1.0	178,688
CHIEF OF STAFF (GOVERNOR'S OFFICE)	08353A	1.0	169,560	1.0	176,419
SPECIAL COUNSEL	08550A	1.0	154,743	1.0	155,564
SENIOR LEGAL COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	154,564	1.0	155,385
DEPUTY CHIEF OF STAFF	08351A	1.0	149,821	1.0	155,381
DEPUTY CHIEF OF STAFF	08348A	2.0	285,410	2.0	282,334
GOVERNOR	00527F	1.0	132,710	1.0	132,710
DIR OF COMMUNICATIONS (GOV OFF)	08346A	1.0	126,101	1.0	131,531
DIRECTOR OF GOVERNOR'S OFFICE	08346A	1.0	126,101	1.0	131,531
MUNICIPAL FINANCE COUNSEL	08343A	1.0	121,541	1.0	122,186
DEPUTY COUNSEL	08345A	2.0	242,722	2.0	248,954
SMALL BUSINESS LIAISON (GOV OFF)	08339A	1.0	93,093	1.0	88,798
SPECIAL COUNSEL	08338A	1.0	92,901	1.0	98,157
DEPUTY DIRECTOR OF COMMUNITCATIONS	08337A	1.0	85,023	1.0	88,675
DEPUTY DIRECTOR OF POLICY (GOVERNOR'	08337A	1.0	85,023	1.0	88,675
DIRECTOR OF POLICY DEVELOPMENT	08335A	1.0	79,418	1.0	82,754
PRESS SECRETARY	08335A	1.0	79,312	1.0	82,642
DEPUTY LEGISLATIVE DIRECTOR	08333A	1.0	74,012	1.0	77,118
POLICY ADVISOR (GOVERNOR'S OFFICE)	08333A	1.0	74,012	1.0	77,118
DIRECTOR OF PUBLIC ENGAGEMENT	08332A	1.0	71,114	1.0	74,102
COMMUNICATION ANALYST (GOV OFF	08330A	1.0	65,373	1.0	68,113
OFFICE MANAGER (GOVERNOR'S OFFICE)	08328A	1.0	61,156	1.0	63,707
LEGISLATIVE COORDINATOR (GOV'S OFFICE)	08327A	1.0	61,047	1.0	61,371
EXEC ASSISTANT TO THE GOVERNOR	08327A	1.0	58,833	1.0	61,289
SPECIAL ASST TO THE GOVERNOR	08326A	1.0	56,608	1.0	58,957
LEGAL ADMINISTRATIVE ASSISTANT	08326A	1.0	56,462	1.0	58,799
DIR OF CONSTITUENT SVS	08325A	1.0	54,401	1.0	56,619
OUTREACH MANAGER	08324A	1.0	52,260	1.0	54,274
SCHEDULER (GOVERNOR'S OFFICE)	08323A	1.0	51,634	1.0	50,728
SPECIAL ASST TO THE GOVERNOR	08322A	1.0	50,860	1.0	54,908
OUTREACH MANAGER	08324A	2.0	101,383	2.0	105,262
APPOINTMENT STAFF	08320A	1.0	44,291	1.0	45,702
CONSTITUENT SERV ASSOCIATE II	08319A	1.0	42,953	1.0	44,231
SPEC ASST TO DEP CHF OF STAFF	08319A	1.0	42,860	1.0	44,151
CONSTITUENT SERV ASSOCIATE II	08319A	1.0	42,814	1.0	44,111
PROTOCOL MANAGER	08319A	1.0	42,767	1.0	44,071
POLICY ANALYST	00833A	2.0	83,496	2.0	112,546
ADMINISTRATIVE ASSISTANT	08318A	1.0	41,608	1.0	42,849
LEGISLATIVE AIDE (GOV OFF)	08317A	1.0	40,421	1.0	41,542
COMMUNICATIONS ASSOCIATE	08313A	1.0	37,043	1.0	37,921
DIRECTOR OF APPOINTMENTS	00883F	1.0	5,000	1.0	5,100
<b>Subtotal</b>		<b>45.0</b>	<b>\$3,662,745</b>	<b>45.0</b>	<b>\$3,784,973</b>

# Personnel

## Executive Department Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Interdepartmental Transfer		-	(69,245)	-	(70,630)
Turnover		-	(677,270)	-	(756,573)
<b>Subtotal</b>		-	<b>(\$746,515)</b>	-	<b>(\$827,203)</b>
<b>Total Salaries</b>		<b>45.0</b>	<b>\$2,916,230</b>	<b>45.0</b>	<b>\$2,957,770</b>
<b>Benefits</b>					
Payroll Accrual			16,611		17,069
FICA			210,136		213,308
Retiree Health			174,098		176,576
Health Benefits			272,839		270,230
Retirement			717,704		779,258
<b>Subtotal</b>			<b>\$1,391,388</b>		<b>\$1,456,441</b>
<b>Total Salaries and Benefits</b>		<b>45.0</b>	<b>\$4,307,618</b>	<b>45.0</b>	<b>\$4,414,211</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$95,725</b>		<b>\$98,094</b>
<b>Statewide Benefit Assessment</b>			<b>\$134,146</b>		<b>\$140,491</b>
<b>Payroll Costs</b>		<b>45.0</b>	<b>\$4,441,764</b>	<b>45.0</b>	<b>\$4,554,702</b>
<b>Purchased Services</b>					
Other Contracts			400		400
Buildings and Ground Maintenance			100		100
<b>Subtotal</b>			<b>\$500</b>		<b>\$500</b>
<b>Total Personnel</b>		<b>45.0</b>	<b>\$4,442,264</b>	<b>45.0</b>	<b>\$4,555,202</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		45.0	\$4,442,264	45.0	\$4,555,202
<b>Total All Funds</b>		<b>45.0</b>	<b>\$4,442,264</b>	<b>45.0</b>	<b>\$4,555,202</b>

# Agency

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## Rhode Island Commission For Human Rights

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### Agency Mission

To enforce state and federal anti-discrimination laws.

### Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes also prohibit discrimination based on marital status, familial status, housing status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

### Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended. During the 2013 legislative session, there was one substantive change to the laws enforced by the Commission. On July 15, 2013, Governor Lincoln Chafee signed into law the so-called "Ban the Box" legislation. The law, which became effective on January 1, 2014, amends the state Fair Employment Practices Act to prohibit employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply.

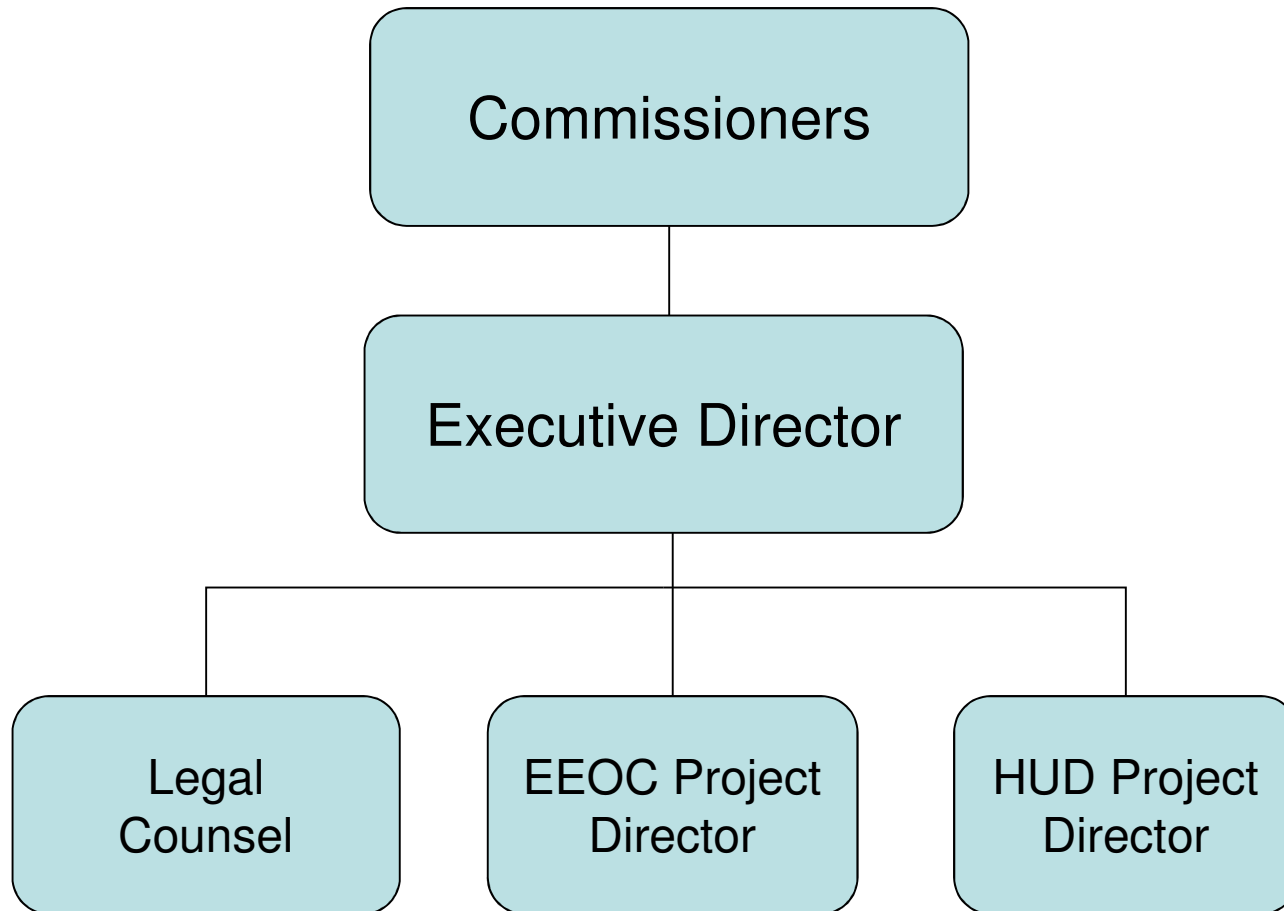
# Budget

## Rhode Island Commission For Human Rights

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	1,489,579	1,556,738	1,548,010	1,554,766	1,581,423
<b>Total Expenditures</b>	<b>\$1,489,579</b>	<b>\$1,556,738</b>	<b>\$1,548,010</b>	<b>\$1,554,766</b>	<b>\$1,581,423</b>
<b>Expenditures By Object</b>					
Personnel	1,248,025	1,298,126	1,313,045	1,291,676	1,317,051
Operating Supplies and Expenses	241,554	258,112	234,965	263,090	264,372
Assistance and Grants	-	500	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>1,489,579</b>	<b>1,556,738</b>	<b>1,548,010</b>	<b>1,554,766</b>	<b>1,581,423</b>
<b>Total Expenditures</b>	<b>\$1,489,579</b>	<b>\$1,556,738</b>	<b>\$1,548,010</b>	<b>\$1,554,766</b>	<b>\$1,581,423</b>
<b>Expenditures By Funds</b>					
General Revenue	1,138,859	1,225,335	1,252,174	1,243,892	1,258,128
Federal Funds	350,720	331,403	295,836	310,874	323,295
<b>Total Expenditures</b>	<b>\$1,489,579</b>	<b>\$1,556,738</b>	<b>\$1,548,010</b>	<b>\$1,554,766</b>	<b>\$1,581,423</b>
<b>FTE Authorization</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>

# The Agency

Rhode Island Commission For Human Rights





# Personnel

## Rhode Island Commission For Human Rights Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
EXECUTIVE SECRETARY - HUMAN RIGHTS	00832A	1.0	85,887	1.0	86,343
LEGAL COUNSEL	00826A	2.0	150,271	2.0	151,058
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00319A	3.5	210,515	3.5	211,624
HUD PROJECT DIRECTOR	00320A	1.0	57,853	1.0	58,151
EQUAL EMPLOYMENT OPP. COMM. PROJECT DIR.	00320A	1.0	56,123	1.0	56,421
CHIEF CLERK	00E13A	1.0	50,760	1.0	51,024
INVESTIGATOR (HUMAN RIGHTS)	00314A	3.0	132,207	3.0	132,903
ADMINISTRATIVE AIDE	00310A	2.0	81,153	2.0	81,583
<b>Subtotal</b>		<b>14.5</b>	<b>\$824,769</b>	<b>14.5</b>	<b>\$829,107</b>
Turnover		-	(49,328)	-	(49,590)
<b>Subtotal</b>		<b>-</b>	<b>(\$49,328)</b>	<b>-</b>	<b>(\$49,590)</b>
<b>Total Salaries</b>		<b>14.5</b>	<b>\$775,441</b>	<b>14.5</b>	<b>\$779,517</b>
<b>Benefits</b>					
Payroll Accrual			4,660		4,743
FICA			59,321		59,630
Retiree Health			46,294		46,537
Health Benefits			172,622		177,683
Retirement			189,118		203,363
<b>Subtotal</b>			<b>\$472,015</b>		<b>\$491,956</b>
<b>Total Salaries and Benefits</b>		<b>14.5</b>	<b>\$1,247,456</b>	<b>14.5</b>	<b>\$1,271,473</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$86,031</b>		<b>\$87,688</b>
<b>Statewide Benefit Assessment</b>			<b>\$35,670</b>		<b>\$37,028</b>
<b>Payroll Costs</b>		<b>14.5</b>	<b>\$1,283,126</b>	<b>14.5</b>	<b>\$1,308,501</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			4,200		4,200
Legal Services			2,400		2,400
Other Contracts			950		950
Training and Educational Services			1,000		1,000
<b>Subtotal</b>			<b>\$8,550</b>		<b>\$8,550</b>
<b>Total Personnel</b>		<b>14.5</b>	<b>\$1,291,676</b>	<b>14.5</b>	<b>\$1,317,051</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.7	\$998,409	11.8	\$1,015,773
Federal Funds		2.8	\$293,267	2.7	\$301,278
<b>Total All Funds</b>		<b>14.5</b>	<b>\$1,291,676</b>	<b>14.5</b>	<b>\$1,317,051</b>

# Performance Measures

## Rhode Island Commission For Human Rights

### *Cases Processed*

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order) as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. The data is from internal agency case-tracking records.

	2013	2014	2015	2016	2017
<b>Target</b>	422	414	414	405	405
<b>Actual</b>	389	376	425	--	--

Performance for this measure is reported by state fiscal year.

### *Outreach Activities*

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number of outreach activities generally indicates a greater success at compliance with the statute. The data is from internal agency outreach tracking records.

	2013	2014	2015	2016	2017
<b>Target</b>	26	28	28	36	36
<b>Actual</b>	31	46	45	--	--

Performance for this measure is reported by state fiscal year.

### *Average Case Age at Closure*

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records.

	2013	2014	2015	2016	2017
<b>Target</b>	365 Days	365 Days	365 Days	365 Days	365 Days
<b>Actual</b>	329 Days	308 Days	300 Days	--	--

Performance for this measure is reported by state fiscal year.

# Agency

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## Public Utilities Commission

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### Agency Mission

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation; and cooperate with other state and federal government agencies to coordinate efforts to meet the above objectives.

### Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances. The Division's consumer and engineering sections handle approximately 23,000 telephone inquires annually. In addition, the consumer section conducts a high volume of informal reviews and formal evidentiary hearings under the Rules Governing the Termination of Residential Electric, Gas and Water Utility Services. The hearings provide a forum for consumers to develop payment plans, under the guidelines established in the rules.

### Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

# Budget

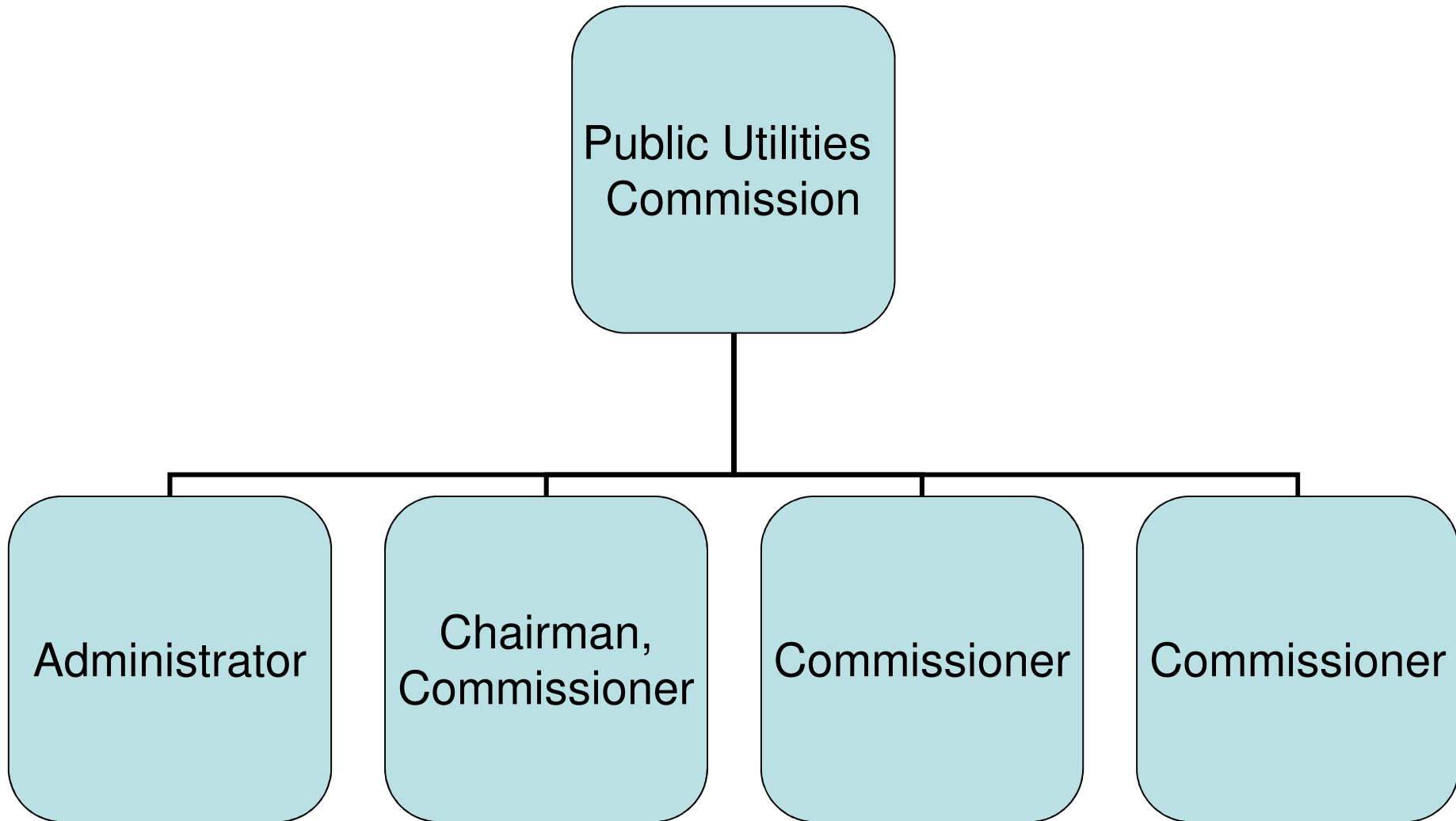
## Public Utilities Commission

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	6,823,882	6,762,365	8,684,685	8,672,349	8,926,973
<b>Total Expenditures</b>	<b>\$6,823,882</b>	<b>\$6,762,365</b>	<b>\$8,684,685</b>	<b>\$8,672,349</b>	<b>\$8,926,973</b>
<b>Expenditures By Object</b>					
Personnel	6,205,483	6,135,296	7,688,818	7,661,482	7,925,105
Operating Supplies and Expenses	584,205	570,316	936,530	951,530	951,531
Assistance and Grants	-	-	337	337	337
<b>Subtotal: Operating Expenditures</b>	<b>6,789,688</b>	<b>6,705,612</b>	<b>8,625,685</b>	<b>8,613,349</b>	<b>8,876,973</b>
Capital Purchases and Equipment	34,194	56,753	59,000	59,000	50,000
<b>Total Expenditures</b>	<b>\$6,823,882</b>	<b>\$6,762,365</b>	<b>\$8,684,685</b>	<b>\$8,672,349</b>	<b>\$8,926,973</b>
<b>Expenditures By Funds</b>					
Federal Funds	207,575	81,792	90,000	90,000	104,669
Restricted Receipts	6,616,307	6,680,573	8,594,685	8,582,349	8,822,304
<b>Total Expenditures</b>	<b>\$6,823,882</b>	<b>\$6,762,365</b>	<b>\$8,684,685</b>	<b>\$8,672,349</b>	<b>\$8,926,973</b>
<b>FTE Authorization</b>	<b>49.0</b>	<b>50.0</b>	<b>50.0</b>	<b>50.0</b>	<b>51.0</b>

# The Agency

## Public Utilities Commission

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# Personnel

## Public Utilities Commission Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR FOR LEGAL SERVICES (DOT)	00141A	1.0	124,853	1.0	125,504
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	1.0	118,829	1.0	119,450
CHIEF OF LEGAL SERVICES	00139A	1.0	114,623	1.0	115,214
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	00141A	1.0	104,442	1.0	104,410
ASSOCIATE PUBLIC UTILITIES ADMIN FOR OPER & CHIEF FINANCIAL ANALYST	00136A	1.0	101,621	1.0	102,155
DEPUTY CHIEF OF LEGAL SERVICES	00138A	1.0	100,522	1.0	101,046
ASSISTANT TO CHIEF PUBLIC UTILITIES	00137A	2.0	185,478	2.0	186,445
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR	0AB34A	1.0	91,973	1.0	92,450
INVESTIGATIVE AUDITOR	00136A	1.0	90,886	1.0	91,353
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR ADMINISTRATOR, FINANCIAL MANAGEMENT	00133A	1.0	90,438	1.0	90,896
PUBLIC UTILITIES ANALYST V	00136A	1.0	87,973	1.0	88,440
SENIOR LEGAL COUNSEL	00137A	1.0	85,183	1.0	89,755
PUBLIC UTILITIES ANALYST IV	0AB33A	5.0	406,946	5.0	415,213
PRINCIPAL AUDITOR	00134A	2.0	151,714	3.0	225,113
PUBLIC UTILITIES ANALYST III	0AB27A	1.0	69,624	1.0	69,987
PROGRAMMING SERVICES OFFICER	0AB28A	1.0	67,504	1.0	65,248
CHIEF CONSUMER AGENT (DPUC)	0AB28A	4.0	261,427	4.0	265,394
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	00131A	1.0	62,396	1.0	65,003
MOTOR CARRIER COMPLIANCE INSPECTOR	0AB24A	1.0	61,483	1.0	61,804
INFORMATION SERVICES TECHNICIAN II	0AB24A	1.0	57,996	1.0	58,303
PUBLIC UTILITIES ANALYST II	0AB20A	2.0	95,562	2.0	96,068
CONSUMER AGENT (DPUC)	0AB20A	1.0	46,888	1.0	48,246
INFORMATION SERVICES TECHNICIAN I	0AB22A	1.0	44,972	1.0	46,411
CUSTOMER SERVICE SPECIALIST I	0AB18A	5.0	223,283	5.0	224,453
	0AB16A	2.0	87,815	2.0	88,276
	0AB15A	1.0	39,469	1.0	39,678
<b>Subtotal</b>		<b>41.0</b>	<b>\$2,973,900</b>	<b>42.0</b>	<b>\$3,076,315</b>
<b>Unclassified</b>					
ADMINISTRATOR, DIVISION OF PUBLIC UTILITIES & CHAIRPERSON, PUBLIC UTILITIES COMMISSION	00847A	1.0	157,986	1.0	158,806
MEMBER, PUBLIC UTILITIES COMMISSION	00842A	1.0	116,792	1.0	117,412
PRINCIPAL POLICY ASSOCIATE	00839A	2.0	223,078	2.0	224,262
ADMINISTRATIVE ASSISTANT	00837A	1.0	87,482	1.0	92,289
STAFF ATTORNEY II	00129A	1.0	83,365	1.0	83,799
ADMINISTRATIVE ASSISTANT	00830A	1.0	83,323	1.0	83,745
	00822A	2.0	108,986	2.0	111,165
<b>Subtotal</b>		<b>9.0</b>	<b>\$861,012</b>	<b>9.0</b>	<b>\$871,478</b>

# Personnel

## Public Utilities Commission Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	51,505	-	52,500
Turnover		-	(103,368)	-	(119,402)
<b>Subtotal</b>		-	<b>(\$51,863)</b>	-	<b>(\$66,902)</b>
<b>Total Salaries</b>		<b>50.0</b>	<b>\$3,783,049</b>	<b>51.0</b>	<b>\$3,880,891</b>
<b>Benefits</b>					
Payroll Accrual			21,520		22,644
FICA			286,933		294,738
Retiree Health			225,774		231,559
Health Benefits			594,655		647,575
Retirement			919,373		1,007,322
<b>Subtotal</b>			<b>\$2,048,255</b>		<b>\$2,203,838</b>
<b>Total Salaries and Benefits</b>		<b>50.0</b>	<b>\$5,831,304</b>	<b>51.0</b>	<b>\$6,084,729</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$116,626</b>		<b>\$119,308</b>
<b>Statewide Benefit Assessment</b>			<b>\$171,653</b>		<b>\$181,851</b>
<b>Payroll Costs</b>		<b>50.0</b>	<b>\$6,002,957</b>	<b>51.0</b>	<b>\$6,266,580</b>
<b>Purchased Services</b>					
Information Technology			115,000		115,000
Clerical and Temporary Services			87,784		87,784
Management & Consultant Services			965,737		965,737
Legal Services			443,000		443,000
Other Contracts			15,504		15,504
Buildings and Ground Maintenance			31,500		31,500
<b>Subtotal</b>			<b>\$1,658,525</b>		<b>\$1,658,525</b>
<b>Total Personnel</b>		<b>50.0</b>	<b>\$7,661,482</b>	<b>51.0</b>	<b>\$7,925,105</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		0.7	\$83,426	0.8	\$98,094
Restricted Receipts		49.3	\$7,578,056	50.2	\$7,827,011
<b>Total All Funds</b>		<b>50.0</b>	<b>\$7,661,482</b>	<b>51.0</b>	<b>\$7,925,105</b>

*1 additional workload due to termination of residential utility service cases*

# Performance Measures

## Public Utilities Commission

### *Timeliness of Motor Carrier Applications and Reports*

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days.

	2013	2014	2015	2016	2017
<b>Target</b>	95%	95%	95%	95%	95%
<b>Actual</b>	87.5%	90.7%	91.7%	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Cable Service and Telecom Inquiry Resolution*

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days.

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	98.9%	99.8%	100%	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Informal Consumer Payment Plan Process*

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry.

	2013	2014	2015	2016	2017
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	98.9%	96.4%	95.2%	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Consumer Billing Complaint Investigations*

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days.

	2013	2014	2015	2016	2017
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	97.3%	99.2%	97.9%	--	--

Performance for this measure is reported by state fiscal year.





# **Quasi-Public Agencies**



# The Agency

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## Rhode Island Airport Corporation

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### Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (formerly the Rhode Island Economic Development Corporation) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Commerce Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements typically range between 75-90%.

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility. The DOT has contributed capital to fund a portion of the construction of the InterLink Facility.

# The Budget

## Rhode Island Airport Corporation

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Revenue: (T.F. Green)</b>				
Passenger Airline Revenues	21,729,800	23,728,300	23,940,000	24,418,800
Landing Fees - Cargo	509,300	612,300	600,000	612,000
General Aviation	295,200	292,000	290,000	292,000
Fuel Flowage Fees	900,400	878,000	823,500	840,000
Tiedown & Hanger Fees	1,265,100	1,263,700	1,262,000	1,287,200
Aircraft Registration	25,900	18,200	20,000	20,000
Concessions	3,580,300	3,628,300	3,740,000	3,814,800
Miscellaneous Revenues	158,600	186,300	150,000	153,000
Utilities Reimbursement	326,200	382,000	346,000	352,900
Terminal Rent-Non Airlines	1,050,700	1,031,400	1,036,000	1,056,700
Automobile Parking	12,028,200	11,383,400	12,420,000	12,792,600
Rental Car Parking	5,029,000	5,035,100	5,070,000	5,171,400
Off Airport Courtesy Fees	659,600	705,500	697,900	711,900
Bad Debt Recoveries (Expense), Net	-	(19,400)	-	-
Audit & Finance Charge	7,100	2,700	-	-
Federal Grants - FAA	480,500	501,800	268,300	268,300
Airport Support Fund - Revenue A65	844,300	825,000	1,080,000	1,090,000
<b>Total Revenue</b>	<b>48,890,200</b>	<b>50,454,600</b>	<b>51,743,700</b>	<b>52,881,600</b>
<b>Personnel Expenses: (T.F. Green)</b>				
Payroll	10,876,400	11,186,600	11,907,000	12,264,200
Payroll - Overtime	529,000	624,100	523,400	539,100
Snow Removal Overtime	254,400	417,100	175,900	181,200
Overtime-Holiday	344,200	340,100	321,200	330,800
Employee Retirement	1,013,300	1,030,700	1,112,000	1,119,800
FICA Tax	876,600	912,200	946,000	974,400
Long Term Disability & Life Insurance	154,800	159,700	178,000	178,000
Workers' Comp Insurance	384,800	409,800	460,000	460,000
Health Insurance	1,887,400	2,012,000	2,286,500	2,629,500
<b>Total Personnel Expenses</b>	<b>\$16,320,900</b>	<b>\$17,092,300</b>	<b>17,910,000</b>	<b>18,677,000</b>
<b>Total Expenses - Operating</b>	<b>10,791,500</b>	<b>10,405,000</b>	<b>11,339,500</b>	<b>11,540,000</b>
<b>Total Expenditures</b>	<b>\$27,112,400</b>	<b>\$27,497,300</b>	<b>\$29,249,500</b>	<b>\$30,217,000</b>
<b>Net Income from Operations</b>	<b>\$21,777,800</b>	<b>\$22,957,300</b>	<b>\$22,494,200</b>	<b>\$22,664,600</b>

# The Budget

## Rhode Island Airport Corporation

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Outlying Airports</b>				
Revenues	2,653,400	3,068,200	3,236,300	3,333,400
Payroll Expenses	(1,458,000)	(1,692,000)	(1,869,000)	(1,936,300)
Operating Expenses	(1,407,300)	(1,562,500)	(1,505,000)	(1,535,100)
Airport Management Fee	(168,000)	(165,500)	(157,100)	(160,200)
<b>Net Gain (Loss) Outlying Airport</b>	<b>(\$379,900)</b>	<b>(\$351,800)</b>	<b>(\$294,800)</b>	<b>(\$298,200)</b>
Depreciation & Amortization	19,905,400	20,268,800	22,100,000	22,300,000
Net Income(Loss) After Depreciation and Amortization	<b>\$1,492,500</b>	<b>\$2,336,700</b>	<b>\$99,400</b>	<b>\$66,400</b>
<b>Other Income &amp; Expenses</b>				
Interest Income	59,800	102,600	130,000	130,000
Interest Expense	(8,600)	(4,300)	(1,000)	-
Interest Expense - All Bonds	(12,144,800)	(10,841,000)	(10,520,000)	(10,000,000)
Gain (Loss) on Sale of Assets	30,400	(700)	-	-
Miscellaneous Income (Expense)	8,100	415,600	(400,000)	-
Interest Income - PFC	1,400	7,000	6,000	5,000
Passenger Facility Charge	7,307,500	7,152,000	7,350,000	7,650,000
Federal Grants - FAA	18,259,500	42,046,600	45,857,900	29,423,000
Miscellaneous Grants & Contributions	(11,600)	3,148,800	650,000	-
Land Acquisition Program	(8,976,500)	(14,652,000)	(15,086,700)	(15,593,500)
Transfer to State of Rhode Island	-	-	-	(275,000)
<b>Total Non-Operating Income &amp; Exp</b>	<b>4,525,200</b>	<b>27,374,600</b>	<b>27,986,200</b>	<b>11,339,500</b>
<b>Intermodal Facility Operations (b)</b>				
Facility Revenues	7,268,400	7,836,300	7,560,000	7,711,200
Operating Expenses	(1,383,600)	(1,553,400)	(1,470,000)	(1,499,400)
Depreciation	(4,559,400)	(4,559,000)	(4,600,000)	(4,610,000)
Interest Expense	(4,533,500)	(4,495,500)	(4,450,000)	(4,410,000)
<b>Total Intermodal Facility, Net</b>	<b>(\$3,208,100)</b>	<b>(\$2,771,600)</b>	<b>(\$2,960,000)</b>	<b>(\$2,808,200)</b>
<b>Net Income</b>	<b>\$2,809,600</b>	<b>26,939,700</b>	<b>25,125,600</b>	<b>8,597,700</b>

(a) The information presented for FY 2017 has neither been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

# The Agency

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## Capital Center Commission

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### Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

### Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

# The Budget

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## Capital Center Commission

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Operating Revenues</b>				
State Grants	12,169	12,169	12,169	12,169
City Grants	-	-	-	-
Development/Permit Fees	-	7,075	2,000	3,000
Interest Income	-	-	-	-
Management Fees	250	-	-	-
Miscellaneous Income	-	-	-	-
<b>Total Operating Revenues</b>	<b>12,419</b>	<b>19,244</b>	<b>14,169</b>	<b>15,169</b>
<b>Expenditures</b>				
Salaries	-	-	-	-
Fringes	-	-	-	-
Rent and Utilities	-	-	-	-
Telephone	-	-	-	-
Print/Supplies	-	123	100	100
Postage	-	-	-	-
Meetings	360	131	150	150
Miscellaneous	193	1,000	850	850
Travel/Prof. Dev.	-	-	-	-
Dues, Ins. Subscriptions	-	-	-	-
Consultants	1,000	-	500	1,000
Legal & Audit Fees	5,045	3,050	3,750	4,000
<b>Total</b>	<b>6,598</b>	<b>4,304</b>	<b>5,350</b>	<b>6,100</b>
<b>Less Non Operating Revenue</b>				
Interest Income	12	13	13	13
<b>Non Operating Expense</b>				
State House Parking Study	-	-	20,000	-
<b>Net Income(Loss)</b>	<b>5,833</b>	<b>14,953</b>	<b>(11,168)</b>	<b>9,082</b>



# The Agency

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## Rhode Island Infrastructure Bank

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### Bank Mission

The mission of the Bank is to provide low-cost loans and other financial assistance to cities, towns, sewer authorities, wastewater management districts, drinking water authorities, and water suppliers to finance capital improvements to the wastewater, drinking water, municipal road and bridge, and energy infrastructure projects in Rhode Island.

### Bank Description

The Rhode Island Infrastructure Bank (the “Bank”) was established in 1989 (under the title of the Clean Water Finance Agency) as a body politic and corporate and public instrumentality of the state having a distinct legal existence from the state and not constituting a department of the state government. The Bank operates the following revolving loan funds. The **Clean Water State Revolving Fund (CWSRF)** is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses. The **Drinking Water State Revolving Fund (DWSRF)** is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. The State of Rhode Island does not have any obligation for repayment of Bank revenue bonds. DOH is reimbursed for expenses via an administrative set-aside component of the capitalization grant. The **Municipal Road and Bridge Revolving Fund (MRBRF)** is jointly administered by the Agency and the Department of Transportation (DOT). The RI DOT is be responsible for the regulatory components while the Bank is responsible for the financial components. The State provides the funding for this program.

In June of 2015, the Rhode Island General Assembly enacted legislation authorizing the Bank to develop and administer several new loan programs, including the Efficient Buildings Fund, residential and commercial PACE programs, and the Brownfields revolving loan fund. The Bank will also be developing a program for providing financial assistance to water suppliers in the State using water quality protection charges.

### Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 12.8 of Title 46 established the DWSRF. Chapter 24-18 of Title 24 established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend Chapter 46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under Chapter 39-26.5; and (iii) authorize the Bank to develop and administer a Brownfields Revolving Fund under 23-19.16.

# The Budget

## Rhode Island Infrastructure Bank

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended <sup>(2)</sup>
<b>Revenue</b>				
Interest and Investment Income	27,953,907	28,937,443	30,193,259	32,004,855
Operating Grant Income	3,169,330	1,780,527	3,480,000	3,460,000
Loan Service Fees <sup>(1)</sup>	4,511,793	4,789,613	5,108,160	5,312,486
Loan Origination Fees	1,016,563	563,867	2,153,654	1,500,000
Other Revenue	-	-	-	200,000
<b>Total Revenues</b>	<b>\$36,651,593</b>	<b>\$36,071,450</b>	<b>\$40,935,073</b>	<b>\$42,477,341</b>
<b>Operating Expenses</b>				
Interest and Finance Expenses	30,732,606	31,318,445	36,374,554	36,500,000
Loan Principal Foregiveness	1,058,604	1,337,385	1,606,840	1,625,000
Administrative Expenses	1,922,772	2,034,325	2,359,078	2,453,441
Administrative Fees - DEM	757,939	788,077	720,000	750,000
Administrative Fees - DOH	183,729	58,471	197,500	230,000
Administrative Fees - DOT	-	-	53,000	55,000
DOH Set-Aside Programs	2,604,297	1,744,266	2,562,500	2,480,000
Transfer to State of Rhode Island	-	-	-	11,000,000
<b>Total Operating Expenses</b>	<b>\$37,259,947</b>	<b>\$37,280,969</b>	<b>\$43,873,472</b>	<b>\$55,093,441</b>
<b>Other Revenues (Expenses)</b>				
Federal & State Capitalization Grants	33,709,730	21,513,671	40,017,410	40,817,758
<b>Excess Revenues over Expenses</b>	<b>\$33,101,376</b>	<b>\$20,304,152</b>	<b>\$37,079,011</b>	<b>\$28,201,658</b>

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2017 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

# The Agency

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## Rhode Island Convention Center Authority

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### Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

During FY12, the Authority's operations experienced decreased attendance for each of its venues. The Authority continues to feel the effects of patronage event selection, competing venues even with decreased gas prices and the end to the recession. In order to react to these challenges, the Authority entered into an agreement with Providence Sports and entertainment, a subset organization of the Providence Bruins. Their primary focus is the aggressive marketing of group tickets for the DDC for all ticketed events as well as luxury suite sales.

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2014 as The Vets, with the approval of the State Department of Administration (DOA). During FY 2012, the Authority, in concert with the DOA, embarked upon a renovation plan for the venue and is now underway with the last phase of construction.

### Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. The Authority has management oversight of the Veteran's Memorial Auditorium rebranded The Vets in consort with the Department of Administration under a current five year lease agreement.

### Statutory History

RIGL 42-99 "Convention Center Authority Act" created the Rhode Island Convention Center Authority.

# The Budget

## Rhode Island Convention Center Authority

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Resources</b>				
Opening Cash Balances	688,329	741,058	328,901	689,284
Operations	21,745,148	20,367,451	26,825,981	25,129,255
Investment Income	142	244	245	250
Hotel Room Tax	233,574	313,735	139,755	-
Net Bank Transfers/Misc Revenues	2,340,792	1,073,070	2,120,000	125,000
<b>Total Resources</b>	<b>\$25,007,985</b>	<b>\$22,495,558</b>	<b>\$29,414,882</b>	<b>\$25,943,789</b>
<b>Expenditures</b>				
Convention Center Authority	2,379,040	2,004,349	2,237,088	2,094,783
Convention Center Management	14,666,195	13,566,077	13,685,465	14,536,503
Dunkin' Donuts Center	5,834,853	6,809,837	11,258,751	8,269,389
Veterans' Memorial Auditorium	962,209	982,221	1,548,898	1,561,294
<b>Subtotal Operations</b>	<b>23,842,297</b>	<b>23,362,484</b>	<b>28,730,202</b>	<b>26,461,969</b>
Convention Center Debt Service	\$16,122,797	\$12,570,465	\$16,060,434	\$15,556,769
Dunkin Donuts Center Debt Service	6,906,899	6,905,826	6,908,000	6,907,794
Notes Payable	188,000	53,138	-	-
Veterans' Memorial Auditorium Capital	5,544,805	1,224,901	225,239	245,000
Convention Center Capital	1,000,000	738,286	2,062,540	2,000,000
Dunkin' Donuts Center Capital	925,000	94,241	1,675,086	2,707,500
<b>Grand Total Expenditures</b>	<b>\$ 54,529,798</b>	<b>\$ 44,949,341</b>	<b>\$ 55,661,501</b>	<b>\$ 53,879,032</b>
<b>Balance from Operations</b>	<b>(\$29,521,813)</b>	<b>(\$22,453,783)</b>	<b>(\$26,246,619)</b>	<b>(\$27,935,243)</b>
State Appropriation - Debt Service	23,029,696	20,976,048	22,973,038	22,464,563
State Appropriation - Renewal & Replacement	-	-	-	-
State Appropriation - RICAP - VMA	5,687,780	1,224,091	225,239	245,000
State Appropriation - RICAP - Conv. Center	948,815	556,211	2,062,540	2,000,000
State Appropriation - RICAP - D.D. Center	596,580	26,334	1,675,086	2,707,500
<b>Final Cash Balances</b>	<b>\$741,058</b>	<b>\$328,901</b>	<b>\$689,284</b>	<b>(\$518,180)</b>

Fiscal Year 2017 Dunkin' Donuts Center expenses and revenue reflect net food & beverage only.

# The Agency

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## Rhode Island Commerce Corporation

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### Agency Mission

The Rhode Island Commerce Corporation's (hereafter "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21<sup>st</sup> century economy.

Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

### Agency Description

Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

### Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by RIGL 42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority. Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL 42-64-1.1. The Commerce Corporation falls under the purview of the Secretary of Commerce per 42-64.19-6.

# The Budget

## Rhode Island Commerce Corporation

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Opening Unrestricted Balance <sup>(1)</sup>:</b>	<b>855,729</b>	<b>1,459,964</b>	<b>1,574,863</b>	<b>1,830,845</b>
<b><u>State Appropriation</u></b>	3,944,514	4,044,514	7,394,514	8,076,072
Appropriations for New Executive Office of Commerce Programs	-	-	3,100,000	14,200,000
<b>Total State Appropriations:</b>	<b>3,944,514</b>	<b>4,044,514</b>	<b>10,494,514</b>	<b>22,276,072</b>
<b><u>Other Revenues</u></b>				
Hotel Tax Revenue (Beginning FY 2016)	-	-	4,983,438	5,000,000
Finance Program Reimbursements <sup>(2)</sup>	554,972	741,609	342,228	342,228
Grant Reimbursements <sup>(2)</sup>	890,605	808,411	843,000	843,000
Other	1,156,196	545,225	70,191	77,529
<b>Total Other Revenues:</b>	<b>2,601,773</b>	<b>2,095,245</b>	<b>6,238,857</b>	<b>6,262,757</b>
<b>TOTAL SOURCES (Cash, Revenues):</b>	<b>7,402,016</b>	<b>7,599,723</b>	<b>18,308,234</b>	<b>30,369,674</b>
Total Operations (Personnel & Operating)	5,695,289	5,671,990	7,497,427	8,462,571
Grant/Partnership Expenses	246,763	275,874	876,258	876,258
New Tourism and Business Marketing Campaign	-	-	4,983,438	5,000,000
New Executive Office of Commerce Programs	-	-	3,100,000	14,200,000
Board Special Projects Fund <sup>(3)</sup>	-	-	-	-
<b>TOTAL EXPENSES:</b>	<b>5,942,052</b>	<b>5,947,864</b>	<b>16,457,123</b>	<b>28,538,829</b>
<b>Operating Surplus/(Deficit):</b>	<b>604,235</b>	<b>191,895</b>	<b>276,248</b>	<b>-</b>
<b>Ending Unrestricted Balance <sup>(1)</sup>:</b>	<b>1,459,964</b>	<b>1,574,863</b>	<b>1,830,845</b>	<b>-</b>
<b><u>Pass-through (only) Grants</u></b>				
<b><u>State</u></b>				
STAC Research Alliance (EPScore)	1,016,216	1,150,000	1,150,000	1,150,000
Innovative Matching Grants	343,524	500,000	1,000,000	1,000,000
Renewable Energy Fund	1,298,601	2,400,000	2,400,000	2,400,000
Legislative Grants	590,836	597,350	1,026,492	1,026,492
Airport Impact Aid	1,007,421	1,025,000	1,025,000	1,025,000
Chafee Center at Bryant	-	376,200	376,200	376,200
Slater Centers of Excellence	1,000,000	-	-	-
Volvo Ocean Race	-	775,000	-	-
Other	294,422	-	-	-
<b>Total:</b>	<b>5,551,020</b>	<b>6,823,550</b>	<b>6,977,692</b>	<b>6,977,692</b>
<b><u>Federal</u></b>				
Port Security	87,651	-	-	-

# The Budget

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## Rhode Island Commerce Corporation

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MARAD	-	760,771	760,770	-
EMA/EDA	105,239	50,000	96,560	-
DOD SteamEngine	-	-	673,473	-
PTAC <sup>(4)</sup>	355,335	387,910	437,987	400,000
Broadband Rhode Island	796,625	620,449	5,200	-
Brownsfield Grant	-	-	599,256	-
State Small Business Credit Initiative	15,897	4,386,212	4,386,212	4,477,240
<b>Total:</b>	<b>1,360,747</b>	<b>6,205,342</b>	<b>6,959,458</b>	<b>4,877,240</b>

(1) Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

(2) Personnel and indirect cost reimbursements as allowable

(3) To be used upon Board approval for leveraging federal/state funds as part of economic development projects

(4) Net PTAC activity which mostly reflects in-kind contributions

# The Agency

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## Rhode Island Health and Educational Building Corporation

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### Agency Mission

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

### Agency Description

The Rhode Island Health and Educational Building Corporation is a non-business corporation and related organization of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$6 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

### Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).



# The Budget

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## Rhode Island Health and Educational Building Corporation

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Projected	FY 2017 Proposed
<b>Expenditure by Object</b>				
Personnel	586,848	606,250	640,500	655,000
Other Operating Expenditures	291,331	303,100	385,100	412,000
Financing Services	697,302	780,000	800,000	800,000
Grants	-	250,000	250,000	250,000
Transfer to State	-	-	-	5,000,000
<b>Total Expenditures</b>	<b>1,575,481</b>	<b>1,939,350</b>	<b>2,075,600</b>	<b>7,117,000</b>
<b>Expenditures by Fund</b>				
Personnel	586,848	606,250	640,500	655,000
Other Operating Expenditures	291,331	303,100	385,100	412,000
Financing Services	697,302	780,000	800,000	800,000
Grants	-	250,000	250,000	250,000
Transfer to State	-	-	-	5,000,000
<b>Total Expenditures</b>	<b>1,575,481</b>	<b>1,939,350</b>	<b>2,075,600</b>	<b>7,117,000</b>

The information presented above was provided by the entity, and in most cases, the data provided for FY 2015 has not been approved by the Board of Directors.

# The Agency

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## Rhode Island Housing and Mortgage Finance Corporation

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### Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs, including shelters for the homeless.

### Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the "Corporation") is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs, including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

### Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

# The Budget

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## Rhode Island Housing and Mortgage Finance Corporation

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Budgeted	FY 2017 Recommended <sup>[1]</sup>
<b>Expenditure Report</b>				
Personnel Services	12,914,432	15,020,197	18,554,315	19,100,000
Other Administrative Expenses	4,348,612	5,578,285	6,228,023	6,400,000
Programmatic Expenses	5,769,834	4,312,281	5,500,000	5,600,000
Provision for Loan Loss	9,455,826	11,125,941	6,500,000	6,700,000
REO Expenditures	2,717,774	4,069,772	3,000,000	3,100,000
Arbitrage Rebate	(435,342)	(220,937)	-	-
Amortization and Depreciation	1,060,056	1,240,332	1,626,000	1,700,000
<b>Total</b>	<b>\$35,831,192</b>	<b>\$41,125,871</b>	<b>\$41,408,338</b>	<b>\$42,600,000</b>

<sup>[1]</sup> The information for FY 2017 has neither been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation and is subject to change pending review by the Corporation.

# The Agency

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## I-195 Redevelopment District Commission

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### Agency Description

The I-195 Redevelopment District Commission is an independent public instrumentality and body corporate and politic for the purposes of acquisition, management and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. The Commission shall oversee, plan, implement, and administer the development of the areas within the district, as established in the governing legislation, consistent with and subject to the City of Providence comprehensive plan and zoning ordinances.

There are approximately forty acres located in the City of Providence due to the relocation of Interstate 195, of which nineteen acres are available for development, with the balance being roads, sidewalks and eight acres of public parks. The nineteen acres of developable surplus land is available for sale and commercial, industrial and residential development and beneficial reuse, including without limitation to support or encourage workforce development, housing, education and training, and the growth of “knowledge-based” jobs and industries.

The sale of the I-195 surplus land to the I-195 Redevelopment District Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

### Statutory History

RIGL 42-64.14 created the I-195 Redevelopment District Commission.

# The Budget

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## I-195 Redevelopment District Commission

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Opening Balance:</b>	<b>\$116,217</b>	<b>\$90,737</b>	<b>\$90,810</b>	<b>\$ (84,440)</b>
<b>Operating Revenues</b>				
State Grants	3,225,321	559,778	761,000	1,136,384
Rhode Island Capital Plan Fund	219,211	280,862	300,000	300,000
Debt Issuance	38,400,000	-	-	-
Other Resources (grants)	-	155,207	-	-
<b>Total Operating Revenues</b>	<b>\$41,844,532</b>	<b>\$995,847</b>	<b>\$ 1,061,000</b>	<b>\$ 1,436,384</b>
<b>Total Resources</b>	<b>\$41,960,749</b>	<b>\$1,086,584</b>	<b>\$ 1,151,810</b>	<b>\$ 1,351,944</b>
<b>Expenditures</b>				
Salaries, Wages and Benefits	81,508	255,686	282,750	282,750
Contracted Professional Services	348,649	567,317	485,000	685,134
Operating Supplies and Expenses	82,807	172,771	468,500	468,500
Loan Repayment to EDC	250,000	-	-	-
Cost of Issuance	1,707,048	-	-	-
Capital Acquisition	39,400,000	-	-	-
<b>Total</b>	<b>\$41,870,012</b>	<b>\$995,774</b>	<b>\$ 1,236,250</b>	<b>\$ 1,436,384</b>
<b>Net Income(Loss)</b>	<b>\$90,737</b>	<b>\$90,810</b>	<b>\$ (84,440)</b>	<b>\$ (84,440)</b>

# The Agency

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## Rhode Island Industrial Facilities Corporation

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### Agency Mission

The Corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

### Agency Description

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

### Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

# The Budget

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## Rhode Island Industrial Facilities Corporation

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	<b>FY 2014 Actual</b>	<b>FY 2015 Actual</b>	<b>FY 2016 Revised</b>	<b>FY 2017 Recommended</b>
<b>Receipts</b>				
Bond Fees	55,675	49,900	55,000	55,000
Other	3,540	9,800	2,000	2,000
Interest	-	-	-	-
<b>Total</b>	<b>\$59,215</b>	<b>\$59,700</b>	<b>\$57,000</b>	<b>\$57,000</b>
<b>Expenses</b>				
Administration	14,408	39,100	39,585	40,170
Insurance	13,591	13,600	13,600	13,600
Other	15,119	17,200	16,000	17,250
Legal and Audit		1,400	500	500
<b>Total</b>	<b>\$43,118</b>	<b>\$71,300</b>	<b>\$69,685</b>	<b>\$71,520</b>
<b>Net Gain/(Loss)</b>	<b>\$16,097</b>	<b>(\$11,600)</b>	<b>(\$12,685)</b>	<b>(\$14,520)</b>

# The Agency

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## **Rhode Island Industrial-Recreational Building Authority**

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### **Agency Mission**

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

### **Agency Description**

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

### **Statutory History**

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.



# The Budget

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## Rhode Island Industrial - Recreational Building Authority

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Receipts:</b>				
Premiums	92,862	334,200	87,600	103,200
Commitment Fees	-	15,000	15,000	15,000
Interest	2,388	2,800	2,000	2,000
Rent	7,079	-	-	-
<b>Total Receipts</b>	<b>\$102,329</b>	<b>\$352,000</b>	<b>\$104,600</b>	<b>\$120,200</b>
<b>Expenses:</b>				
Administration	14,723	12,000	12,180	12,360
Legal and Audit [1]	138,931	183,000	75,000	75,000
Insurance	32,084	32,000	32,000	32,000
<b>Total Expenses</b>	<b>\$185,738</b>	<b>\$227,000</b>	<b>\$119,180</b>	<b>\$119,360</b>
<b>Operating Income (Loss)</b>	<b>(\$83,409)</b>	<b>\$125,000</b>	<b>(\$14,580)</b>	<b>\$840</b>
<b>Est. Loss-Default</b>	<b>835,173</b>	<b>178,000</b>	<b>-</b>	<b>-</b>
<b>Net [2]</b>	<b>(\$918,582)</b>	<b>(\$53,000)</b>	<b>(\$14,580)</b>	<b>\$840</b>

[1] Legal fees increased due to litigation related to CAPCO.

[2] Cash reserves are maintained by the Rhode Island General Treasurer's Office to cover projected budget deficits for the Authority.

# The Agency

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## Narragansett Bay Commission

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### Agency Mission

The mission of the Narragansett Bay Commission is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

### Agency Description

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 61 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,800 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$155.3 million five-year capital improvement budget for fiscal years 2017-2021. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction Services, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

### Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

# The Budget

## Narragansett Bay Commission

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Expenditures by Object</b>				
Personnel	21,168,095	21,766,376	23,632,850	24,341,836
Operating Supplies & Expenses	8,746,020	8,745,189	15,831,085	16,306,018
Special Services	8,036,730	7,469,034	1,182,700	1,218,181
<b>Subtotal Operating Expenditures</b>	<b>\$37,950,845</b>	<b>\$37,980,599</b>	<b>\$40,646,635</b>	<b>\$41,866,035</b>
Capital Outlays	2,654,589	2,831,086	4,641,060	3,223,300
Debt Service	39,996,099	39,503,789	45,111,364	48,599,286
Transfer to State of Rhode Island	-	-	-	1,450,000
<b>Total Expenditures</b>	<b>\$80,601,533</b>	<b>\$80,315,474</b>	<b>\$90,399,059</b>	<b>\$95,138,621</b>
<b>Expenditures by Funds</b>				
<b>NBC User Fees/Misc Revenues</b>				
Personnel	21,168,095	21,766,376	22,801,268	24,341,836
Operating Supplies & Expenses	8,746,020	8,745,189	10,374,124	16,306,018
Special Services	8,036,730	7,469,034	9,401,377	1,218,181
Capital Outlays	2,654,589	2,831,086	2,798,400	3,223,300
Debt Service	39,996,099	39,503,789	48,041,147	48,599,286
Transfer to State of Rhode Island	-	-	-	1,450,000
<b>Total Expenditures</b>	<b>\$80,601,533</b>	<b>\$80,315,474</b>	<b>\$93,416,316</b>	<b>\$95,138,621</b>

\* The information presented for FY 2017 has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2017 budget.

# The Agency

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## Rhode Island Public Transit Authority

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### Agency Mission

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

### Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 232 buses and trolleys. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 123 vans currently operated. In FY 2015, 18.1 million passengers were carried on RIPTA's fixed-route bus service and an additional 402,685 passengers were transported on the state's coordinated paratransit service.

### Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

# The Budget

## Rhode Island Public Transit Authority

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Revenue</b>				
Passenger Revenue	24,910,377	21,520,627	21,648,776	23,843,201
Special Revenue	868,720	1,034,319	1,071,256	1,177,687
Other Revenue	11,297,868	12,133,290	11,006,175	10,957,088
State Subsidy - Gasoline Tax <sup>(1)</sup>	40,772,268	42,960,320	43,177,660	43,046,922
Department of Human Services <sup>(2)</sup>	794,796	812,083	998,498	987,905
Federal Subsidy	19,823,748	20,331,772	22,535,660	24,702,040
State General Revenue Assistance	-	-	2,000,000	-
State Highway Fund Revenues	-	-	2,724,793	3,992,699
<b>Total Revenue</b>	<b>98,467,777</b>	<b>98,792,411</b>	<b>105,162,818</b>	<b>108,707,542</b>
<b>Expenses</b>				
Salaries and Fringe Benefits <sup>(3)</sup>	62,191,510	60,656,585	61,899,733	62,815,816
Salaries and Fringe Benefits - Federal	8,383,262	10,679,859	12,304,125	12,083,534
Contract Services	5,619,808	5,626,289	5,910,893	5,880,627
Contract Services - Federal	3,646,366	3,424,643	4,575,027	5,287,208
Operating Expenses	10,538,245	10,548,615	10,111,542	10,429,089
Operating Expenses - Federal	2,454,624	3,137,321	3,640,483	4,947,105
Utilities	1,792,065	1,974,345	1,816,684	2,002,997
Utilities - Federal	11,201	22,008	18,794	23,077
Insurance and Settlements	3,842,602	5,235,755	4,751,600	5,094,185
Capital Match	-	-	181,000	92,000
Debt Service	-	-	-	1,780,518
Revolving Loan Fund	-	-	489,265	689,471
Self Insurance Reserve	-	-	250,000	250,000
Other	25,826	22,694	25,000	25,000
<b>Total Expenses:</b>	<b>\$ 98,505,509</b>	<b>\$ 101,328,114</b>	<b>\$ 105,974,146</b>	<b>\$ 111,400,627</b>
<b>Closing Surplus/(Deficit):</b>	<b>\$ (37,732)</b>	<b>\$ (2,535,703)</b>	<b>\$ (811,328)</b>	<b>\$ (2,693,085)</b>

<sup>(1)</sup> Gas Tax amount estimated by Department of Revenue

<sup>(2)</sup> Gas Tax funding provided through the Department of Human Services for the RIDE Program.

<sup>(3)</sup> The Salary and Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above actual or budget. Only the pay-go portion of OPEB costs are included. The unfunded OPEB liability as of June 30, 2015 is \$50,290,790

# The Agency

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## Quonset Development Corporation

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### Agency Mission

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

### Agency Description

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

### Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.10 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

# The Budget

## Quonset Development Corporation

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Estimated Opening Balance Revenues:</b>	\$77,445	\$19,441	\$41,254	\$65,717
<b>Revenues from Operations</b>				
Rental Income	7,310,063	7,789,649	7,513,774	7,590,488
Pier Income	1,349,848	1,551,848	1,400,000	1,629,440
Utility Sales	2,355,312	2,484,025	2,425,000	2,415,590
Other Income	678,691	606,874	562,477	627,421
	<b>11,693,914</b>	<b>12,432,396</b>	<b>11,901,251</b>	<b>12,262,939</b>
<b>Total Resources</b>	<b>\$11,771,359</b>	<b>\$12,451,837</b>	<b>\$11,942,505</b>	<b>\$12,328,656</b>
<b>Expenditures</b>				
Personnel Expenses	3,780,552	3,667,966	3,968,047	4,053,173
Operating Expenses	3,866,450	4,048,019	3,622,327	3,947,019
Debt Service	1,304,916	1,544,598	1,536,414	1,498,372
Capital Expenditures (1)	2,800,000	3,150,000	2,750,000	2,750,000
<b>Total Expenditures</b>	<b>\$11,751,918</b>	<b>\$12,410,583</b>	<b>\$11,876,788</b>	<b>\$12,248,564</b>
<b>Closing Balance</b>	<b>\$19,441</b>	<b>\$41,254</b>	<b>\$65,717</b>	<b>\$80,092</b>

(1) To be used for Capital Improvements Projects as well as other internal capital requirements. Projects may already be under contract.

# The Agency

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## Rhode Island Resource Recovery Corporation

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### Agency Mission

The mission of the Corporation is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the Rhode Island community. Its primary objectives are to extend the useful life of the Central Landfill to 2038 and beyond in order to provide long term affordable waste disposal services for the State's municipalities and to remain financially self-sufficient by self-funding all operational and capital requirements. To achieve these objectives the corporation manages as close as possible to a private sector company to ensure cost effective operations. The Rhode Island Department of Environmental Management has approved a license for additional landfill capacity estimated to extend the life of the current facility by approximately 22 years.

### Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF) is a 'single stream' facility, meaning recyclable paper no longer need to be kept separated from bottles and cans. This facility, in conjunction with grants and technical assistance provided to municipalities', supports the State's municipal recycling programs. Various metals, plastics and containers, paper cartons, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold worldwide on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): business waste assessments, promotion of leaf and yard debris composting, and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target textiles, sharps, and plastic bags.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system.

### Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.



# The Budget

## Rhode Island Resource Recovery Corporation

	FY 2014 Audited	FY 2015 Audited	FY 2016 Revised	FY 2017 Proposed <sup>(1)</sup>
<b>Revenues:</b>	<b>\$50,252,358</b>	<b>\$54,041,039</b>	<b>\$47,294,000</b>	<b>\$47,900,000</b>
<b>Expenses:</b>				
Personnel Costs	13,078,142	13,680,753	14,248,000	14,500,000
Contractual Services	8,713,016	12,507,628	8,866,000	9,100,000
Utilities	2,342,331	1,644,392	2,364,000	2,400,000
Repairs and Maintenance	2,889,523	3,205,406	3,699,000	3,800,000
Other Supplies and Expenses	3,972,290	3,719,039	4,966,000	5,000,000
Grants to Municipalities for Recycling	1,738,608	812,526	1,050,000	1,000,000
Bad Debts	66,619	81,091	100,000	100,000
Provision for landfill closure and post closure care and Superfund clean-up costs	18,313,959	21,017,348	4,577,000	5,400,000
Depreciation, depletion, and amortization	6,354,797	5,383,230	7,755,000	9,800,000
<b>Total Expenses</b>	<b>\$57,469,285</b>	<b>\$62,051,413</b>	<b>\$47,625,000</b>	<b>\$51,100,000</b>
<b>Income (Loss) from Operations</b>	<b>(\$7,216,927)</b>	<b>(\$8,010,374)</b>	<b>(\$331,000)</b>	<b>(\$3,200,000)</b>
Transfers to State of Rhode Island	-	-	-	-1,500,000
Interest and investment revenue	465,701	907,860	1,120,000	1,150,000
Interest expense	(1,068,423)	(968,326)	(875,000)	(770,000)
Other income (expense)	972,112	2,729,626	-	-
<b>Total nonoperating revenues (expenses)</b>	<b>\$369,390</b>	<b>\$2,669,160</b>	<b>\$245,000</b>	<b>(\$1,120,000)</b>
<b>Net Income (Loss) for the Year</b>	<b>(\$6,847,537)</b>	<b>(\$5,341,214)</b>	<b>(\$86,000)</b>	<b>(\$4,320,000)</b>
<b>Assets:</b>				
Cash, Cash Equivalents & Investments	31,404,227	32,279,760	20,000,000	10,500,000
Accounts Receivable, Net	7,264,714	8,219,092	8,300,000	8,300,000
Property, Plant and Equipment, Net	68,077,623	74,339,641	82,000,000	82,000,000
Restricted Investments	19,199,958	3,679,058	2,200,000	1,000,000
Assets Held in Trust	83,891,207	84,319,289	90,000,000	91,000,000
Other Assets	4,999,705	4,767,009	4,800,000	4,800,000
<b>Total Assets</b>	<b>\$214,837,434</b>	<b>\$207,603,849</b>	<b>\$207,300,000</b>	<b>\$197,600,000</b>
<b>Liabilities:</b>				
Accounts Payable	19,540,421	16,753,722	16,000,000	15,000,000
Other Current Liabilities	628,609	651,558	700,000	700,000
Bonds/ Notes Payable	37,875,690	34,256,548	30,400,000	26,500,000
Superfund Cleanup, Closure & Post-Closure Costs	81,198,977	85,689,498	90,000,000	91,000,000
<b>Total Liabilities</b>	<b>\$139,243,697</b>	<b>\$137,351,326</b>	<b>\$137,100,000</b>	<b>\$133,200,000</b>
<b>Retained Earnings</b>	<b>\$75,593,737</b>	<b>\$70,252,523</b>	<b>\$70,200,000</b>	<b>\$64,400,000</b>
<b>Total Liabilities and Retained Earnings</b>	<b>\$214,837,434</b>	<b>\$207,603,849</b>	<b>\$207,300,000</b>	<b>\$197,600,000</b>

(1) The FY 2017 proposed budget reflects management's budget projections made in SFY 2016. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

# The Agency

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## Rhode Island Student Loan Authority

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### Agency Mission

The Authority has helped over 300,000 students and parents since its start in 1981. As of September 30, 2014, the Authority also holds \$378,273,575 in Federal Family Education Loans and \$395,143,328 in non federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

### Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originates over \$30,000,000 per year of low cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, is open seven days a week during the peak financial aid season. The CPC operates out of three locations in Warwick, Bristol and Cumberland. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 14,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

The Authority, in association with the Association of Independent Colleges and Universities (AICURI), the Rhode Island Board of Governors for Higher Education (RIBGHE) and the Greater Providence Chamber of Commerce formed the bRIdge Program. This initiative focuses on connecting employees and students in Rhode Island through a statewide internship program. The bRIdge Job Board offers a website that allows employers to post internship opportunities and students to then view and apply for these internships. The Authority recently expanded the bRIdge program to offer student loan forgiveness for students who participate in qualified internship programs.

### Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

# The Budget

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## Rhode Island Student Loan Authority

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Operating Revenues</b>				
Student Loan Payments	32,108,719	30,107,194	28,457,613	26,750,156
Investments	366,701	396,891	354,811	266,108
Other	3,121,937	2,719,913	2,829,261	2,546,335
<b>Total Operating Revenues</b>	<b>\$35,597,357</b>	<b>\$33,223,998</b>	<b>\$31,641,685</b>	<b>\$29,562,599</b>
<b>Operating Expenses</b>				
Interest & Bond Expenses	14,805,635	13,529,903	14,042,527	13,340,401
Arbitrage Rebate Expense	938,760	491,975	784,312	784,312
Loan Servicing	3,967,524	3,534,332	3,621,227	3,621,227
Provision for Risk Share	2,312,676	1,748,597	2,234,434	2,234,434
Department of Education Loan fees	1,051,830	948,563	845,063	718,304
Personnel	3,382,932	3,464,171	3,710,827	3,710,827
Depreciation	59,720	71,673	69,901	62,911
<b>Total Operating Expenses</b>	<b>\$26,519,077</b>	<b>\$23,789,214</b>	<b>\$25,308,291</b>	<b>\$24,472,415</b>
<b>Nonoperating Revenues</b>				
Gain on Early Retirement of Bonds	\$545,500	\$20,000	\$0	\$0
<b>Excess Revenues over Expenses</b>	<b>\$9,623,780</b>	<b>\$9,454,784</b>	<b>\$6,333,394</b>	<b>\$5,090,184</b>

# The Agency

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## Rhode Island Turnpike and Bridge Authority

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### Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown, the Mount Hope Bridge between Portsmouth and Bristol, the Jamestown Verrazzano Bridge between North Kingstown and Jamestown, and the Sakonnet River Bridge between Portsmouth and Tiverton, structures that are integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. In April 2010, Revenue Bonds – Series 2010A were issued in the amount of \$50,000,000. Principal and interest payments are made annually and semi-annually, respectively. The Series 2013A Bond Anticipation Note was established in the amount of \$30,000,000. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues calculated on the Claiborne Pell Bridge and interest earned on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow. Article 21 of the 2015 appropriations act eliminated the toll on the Sakonnet River Bridge. As of July 1, 2014, 3.5 cents of the motor fuel tax will be directed to the Turnpike and Bridge Authority to be used for maintenance operations, capital expenditures and debt service on any of its projects in lieu of a toll on the Sakonnet River Bridge.

### Agency Objectives

To facilitate safe and efficient vehicular traffic over waters of the Mount Hope Bay, the East and West Passages of Narragansett Bay, and the Sakonnet River through the operation and maintenance of its four bridges - the Claiborne Pell Bridge, built in 1969, the Mount Hope Bridge, built in 1929, the Jamestown Verrazzano Bridge, built in 1992, and the Sakonnet River Bridge, built in 2012.

### Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9. Article 20 of the 2013 appropriations act transfers the Sakonnet River Bridge and the Jamestown Verrazzano Bridge to the Authority. Article 21 of the 2015 appropriations act eliminates the authority to toll the Sakonnet River Bridge.

# The Budget

## Rhode Island Turnpike and Bridge Authority

	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended <sup>(2)</sup>
<b>Revenue</b>				
Gas Tax Revenue	0	15,357,000	14,250,000	14,250,000
Tolls	19,299,000	19,410,000	17,255,705	17,255,705
Transponder	668,000	395,000	385,000	385,000
Miscellaneous	73,000	269,000	848,000	848,000
<b>Total Revenue</b>	<b>20,040,000</b>	<b>35,431,000</b>	<b>32,738,705</b>	<b>32,738,705</b>
<b>Expenses</b>				
Salaries and Wages	3,907,909	3,668,000	4,624,736	4,807,259
Bond Interest	3,112,249	3,131,923	2,919,383	3,170,000
Maintenance & Supplies	1,275,000	1,960,000	1,300,000	1,300,000
Insurance	904,000	1,046,000	1,098,916	1,198,919
Transponder	335,000	171,000	150,000	150,000
All Other (includes depreciation)	14,555,000	14,856,000	14,317,989	14,900,000
<b>Total Expenses</b>	<b>24,089,158</b>	<b>24,832,923</b>	<b>24,411,024</b>	<b>25,526,178</b>
<b>Debt Service and Reserves</b>				
Bond Principal Account	1,725,371	30,000,000	30,000,000	30,000,000
Renewal and Replacement Fund <sup>(1)</sup>	9,500,000	15,000,000	9,485,569	9,500,000
Insurance Reserve Fund	1,588,000	1,588,200	1,590,000	1,590,000
General Fund	1,607	1,607	1,607	1,607
<b>Total Funding</b>	<b>12,814,978</b>	<b>46,589,807</b>	<b>41,077,176</b>	<b>41,091,607</b>
<b>Total Expenses and Funding</b>	<b>36,904,136</b>	<b>71,422,730</b>	<b>65,488,200</b>	<b>66,617,785</b>
<b>Change in Net Assets</b>	<b>(16,864,136)</b>	<b>(35,991,730)</b>	<b>(32,749,495)</b>	<b>(33,879,080)</b>

<sup>(1)</sup> The Renewal and Replacement Fund is used for capital improvements included in the ten-year plan approved by the Board of Trustees and certain maintenance costs of the Authority's two bridges and related buildings and grounds. Amounts in this fund are pledged to bondholders.

<sup>(2)</sup> The information presented above was provided by the Authority. Fiscal 2017 data has not been approved by the Authority's Board of Directors. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

# The Agency

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## Rhode Island Water Resources Board Corporate

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### Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

### Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

### Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

In 2009 the General Assembly passed Budget Article 5 that abolished the WRB Corporate program at the time the currently outstanding bonds are paid off, expected in 2016 (RIGL 46-15.1-22). The staff of the Water Resources Board is working with RI Clean Water Finance Agency to develop a memorandum of agreement to facilitate this transfer of responsibilities.

The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.

# The Budget

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## Rhode Island Water Resources Board Corporate

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	FY 2014 Actual	FY 2015 Actual	FY 2016 Revised	FY 2017 Recommended
<b>Public Drinking Water Protect Revenue Bond Services</b>				
Water Surcharge [2]	943,168	950,000	1,000,000	-
Interest Income	44,740	53,650	59,350	-
<b>Total</b>	<b>987,908</b>	<b>1,003,650</b>	<b>1,059,350</b>	-
<b>Expenditures by Funds</b>				
Personnel/Purchased Services [3]	24,881	24,350	24,350	-
Capital Projects Debt Service	-	-	-	-
<b>Subtotal: Water Quality Protection Charge</b>	<b>24,881</b>	<b>24,350</b>	<b>24,350</b>	-
<b>Other Funds</b>				
Capital Debt Service 1997 Rev Bond [3]	-	-	-	-
<b>Subtotal: Water Quality</b>	<b>-</b>	<b>-</b>	<b>-</b>	-
<b>Total Expenditures</b>	<b>24,881</b>	<b>24,350</b>	<b>24,350</b>	-

[1] The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.