

State of Rhode Island and Providence Plantations

Fiscal Year 2019 Budget



Volume I – General Government and Quasi-Public Agencies

Gina M. Raimondo, Governor

General Government and Quasi-Public Agencies

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General Government

General Government

Summary

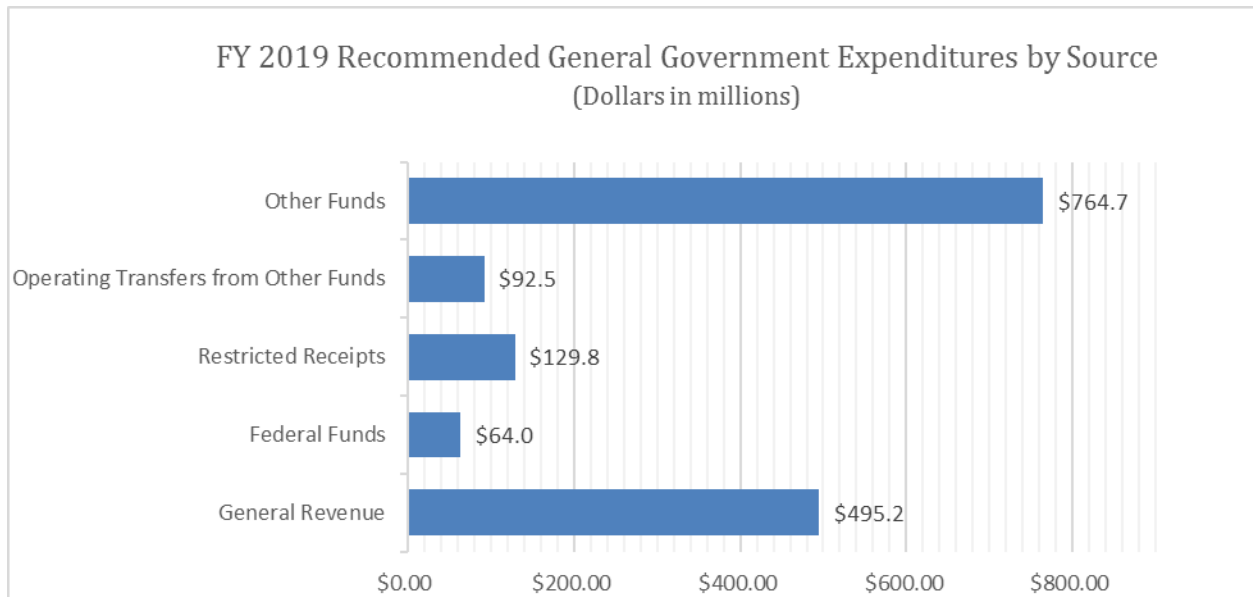
General Government includes agencies that provide general administrative services to all other state agencies, and those that perform state licensure and regulatory functions. It includes: most elected officials, including the Governor, Lieutenant Governor, General Treasurer, the Secretary of State, and the Legislature; administrative agencies, including the Department of Administration, the Department of Revenue, Executive of Commerce, the Department of Labor and Training, the Board of Elections, and the Commission for Human Rights; and regulatory agencies, including the Department of Business Regulation and the Public Utilities Commission.

The FY 2018 revised Budget for General Government agencies totals \$1.487 billion, including \$452.4 million from general revenue, \$82.8 million from federal funds, \$124.6 million from restricted receipts, and \$826.8 million from other funds. The revised FY 2018 Budget from all fund sources for General Government agencies decreases by \$16.9 million, or 1.1 percent less than the FY 2018 Enacted Budget of \$1.504 billion. The largest share of this decrease, \$22.5 million, is in general revenue, followed by a net other funds decrease of \$10.0 million. Federal financing increases \$10.7 million and restricted receipt financing increases \$4.8 million. Of the \$1.487 billion recommended for FY 2018, \$416.4 million is for grants and benefits, \$449.3 million for operating, \$205.1 million for personnel, \$105.6 million for state and local aid, \$179.9 million for debt service, \$64.5 million for capital purchases, and \$33.3 million for operating transfers.

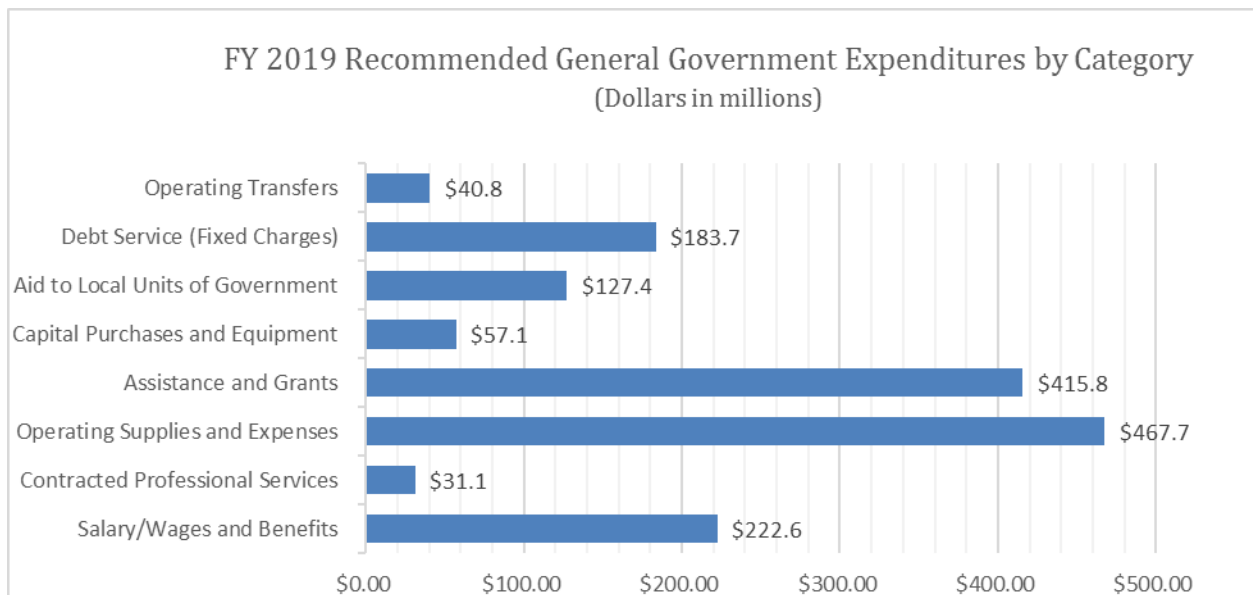
In the FY 2018 Appropriations Act, the General Assembly included the authorization to convert the Division of Capital Asset Management and Maintenance (DCAMM), the Division of Human Resources, and the Division of Information Technology (DoIT) to internal service funds. Internal service funds enable a “best practice” incentive structure for service by allocating the cost of these services directly to the benefiting agency, while increasing non-general revenue recovery for the services. The FY 2018 revised budget includes the allocation of the costs formerly budgeted in the Department of Administration. In FY 2018, there is also the recommended transfer of the Water Resources Board from the Department of Administration to the Division of Public Utilities and Carriers, which would be funded through restricted receipts.

For FY 2019, the Governor recommends expenditures of \$1.546 billion for General Government programs. The programs are financed with \$495.2 million from general revenue, \$64.0 million from federal funds, \$129.8 million from restricted receipts, and \$857.2 million from other funds. The FY 2019 recommendation for General Government agencies is \$42.5 million, or 2.8 percent, more than the FY 2018 enacted level across all fund sources.

General Government



Of the \$1.546 billion recommended for FY 2019, \$415.8 million is for assistance, grants and benefits, \$467.7 million is for operating, \$222.6 million is for personnel, \$127.4 million is for state and local aid, \$183.7 million is for debt service, \$57.1 million is for capital purchases, and \$40.8 million is for operating transfers.



To improve the business climate in the state and bring together all professions related to building and construction design, inspection, and enforcement of the building and fire codes, the Governor's recommendation shifts 59.0 FTE positions to reflect the creation of a new program in the Department of Business Regulation. The new program will be comprised of 36.0 FTE positions of the Office of the State Fire Marshal from the Department of Public Safety, 23.0 FTE positions of the Construction Permitting, Approvals and Licensing program from the Department of Administration, and 3.0 FTE positions of the

General Government

Boards for Design Professionals program, currently housed at the Department of Business Regulation. There is also a statewide net savings of \$4.0 million from general revenue that is included in the Department of Administration for contract and insurance reduction initiatives.

The Governor recommends the addition of 23.0 FTE positions in the Lottery Commission to oversee operations at the new Tiverton Casino that is anticipated to open in late calendar year 2018. There is also a general revenue increase of \$2.6 million and 22.0 FTE in the Division of Taxation to support enhancements to revenue collections through improvements to the discovery, collections and audit functions. The estimated revenue impact from this initiative is \$13.5 million in FY 2019.

General revenue financing for General Government agencies in FY 2019 increases by \$20.3 million, or 4.3 percent, from the FY 2018 enacted appropriations. This includes an increase of \$18.7 million for the motor vehicle excise phase out plan, \$3.4 million in debt service payments, and \$2.6 million for the public financing of general elections for the November 2018 election of general officers. In General Government, there is a decrease of \$1.6 million for personnel expenses and a statewide operational savings of \$6.2 million less than the FY 2018 enacted level.

In FY 2019, federal funds recommended in General Government agencies decrease by \$8.1 million from the FY 2018 enacted level. This includes a reduction of \$6.2 for personnel expenses, \$616,375 for purchased services, and \$7.3 million for grants and benefits. There is an increase of \$6.1 million for operating expenses and capital purchases.

The Governor's recommendation for the FY 2019 Budget includes \$129.8 million from restricted receipts, which is an overall increase of \$10.0 million above the FY 2018 Enacted Budget. Most of this increase is related to the Regional Greenhouse Gas Initiative. Operating transfers and other funds recommended in FY 2019 increase by a total of \$20.3 million, to a total of \$857.2 million, from the FY 2018 enacted level of \$836.9 million. Of this increase, \$17.0 million is related to anticipated lottery payments.

General Government Function Summary

Expenditures by Agency	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Board Of Elections	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
Department Of Administration	706,066,239	722,023,692	763,893,341	799,172,634	785,114,205
Department Of Business Regulation	13,204,877	14,129,016	15,606,183	16,214,659	24,530,291
Department Of Labor And Training	425,337,568	421,847,081	429,907,376	448,471,413	454,104,250
Department Of Revenue	458,777,131	471,375,964	525,371,718	524,687,839	582,477,370
Executive Office Of Commerce	73,415,799	73,418,290	58,598,372	58,003,215	62,814,751
General Assembly	37,669,916	38,325,853	42,252,464	47,064,142	44,635,033
Office Of Lieutenant Governor	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
Office Of The Governor	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Public Utilities Commission	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Rhode Island Commission For Human Rights	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
Rhode Island Ethics Commission	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
Secretary Of State	8,432,848	10,850,356	10,158,142	10,465,863	11,249,301
Treasury Department	49,277,318	42,002,140	42,764,450	43,340,030	42,674,171
Total Expenditures	1,790,023,429	1,813,186,410	1,909,074,870	1,969,142,943	2,033,740,579
Expenditures by Object					
Salary and benefits	548,067,505	572,300,678	589,418,715	610,305,621	628,914,313
Contract Professional Services	44,624,958	37,009,724	34,699,611	38,575,351	37,390,478
Operating supplies and Expenses	451,248,361	467,711,129	487,197,755	518,617,293	540,768,216
Assistance And Grants	386,048,764	387,654,256	410,889,933	416,711,151	416,117,399
Aid To Local Units Of Government	78,341,553	77,144,363	107,102,044	105,635,995	127,381,596
Subtotal: Operating	1,508,331,141	1,541,820,150	1,629,308,058	1,689,845,411	1,750,572,002
Capital Purchases And Equipment	24,792,901	36,454,618	57,721,172	64,668,988	57,277,925
Debt Service (Fixed Charges)	161,677,377	141,935,668	181,232,001	179,880,846	183,655,693
Operating Transfers	95,222,010	92,975,974	40,813,639	34,747,698	42,234,959
Subtotal: Other	281,692,288	271,366,260	279,766,812	279,297,532	283,168,577
Total Expenditures	1,790,023,429	1,813,186,410	1,909,074,870	1,969,142,943	2,033,740,579
Expenditures by Source of Funds					
General Revenue	455,147,747	460,169,445	474,512,537	452,040,602	495,215,976
Federal Funds	87,451,164	80,278,024	72,094,892	82,812,416	63,981,030
Restricted Receipts	116,517,503	108,808,902	120,124,810	124,968,985	129,772,250
Operating Transfers From Other Funds	71,254,107	81,843,580	92,916,753	98,806,276	92,508,034
Other Funds	1,059,652,908	1,082,086,458	1,149,425,878	1,210,514,664	1,252,263,289
Total Expenditures	1,790,023,429	1,813,186,410	1,909,074,870	1,969,142,943	2,033,740,579
FTE Authorization	2,330.7	2,344.7	2,365.9	2,369.9	2,490.9

Agency Summary

DEPARTMENT OF ADMINISTRATION

Agency Mission

To manage the State's financial, human, technological, physical and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost effective services possible. To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The Department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state. The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Capital Asset Management and Maintenance (DCAMM), Information Technology, Library and Information Services, Cybersecurity, Planning, General Appropriations, Debt Service Payments, Energy Resources, Construction Permitting, Approvals, and Licensing (CPAL), HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

DEPARTMENT OF ADMINISTRATION

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	3,076,144	2,142,200	3,048,657	3,151,962	2,869,675
Accounts and Control	3,904,557	3,999,112	4,355,796	5,226,223	5,498,791
Office of Management and Budget	4,566,606	7,508,070	10,901,845	11,072,296	10,562,030
Purchasing	2,820,678	3,050,163	3,404,368	3,595,007	3,825,370
Auditing	1,420,096	0	0	0	0
Human Resources	9,699,928	10,597,688	11,274,547	1,159,112	1,274,257
Personnel Appeal Board	137,951	114,599	145,130	147,365	149,477
General	37,147,162	47,697,140	56,190,757	62,221,988	53,899,600
Debt Service Payments	162,849,916	168,071,674	181,332,001	179,980,846	183,755,693
Internal Service Programs	356,442,888	372,486,784	404,667,257	481,541,418	486,660,740
Legal Services	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888
Facilities Management	35,869,206	(0)	0	0	0
Capital Projects and Property Management	1,580,800	0	0	0	0
Information Technology	35,477,088	38,271,729	42,278,719	11,713,418	11,901,569
Library and Information Services	2,286,807	2,336,188	2,642,845	2,770,642	2,652,768
Planning	3,521,949	3,070,692	5,502,111	5,027,476	5,255,826
Personnel and Operational Reforms	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Energy Resources	16,613,909	9,078,261	12,133,823	10,554,566	8,704,012
Construction Permitting, Approvals and Licensing	2,630,687	2,913,525	2,978,845	3,718,340	0
Rhode Island Health Benefits Exchange (HealthSource RI)	22,973,672	11,836,188	9,568,822	12,924,000	8,256,143
The Office of Diversity, Equity and Opportunity	914,512	954,214	1,368,873	1,171,920	1,366,892
Capital Asset Management and Maintenance	0	36,001,573	40,008,113	9,801,781	9,804,474
Total Expenditures	706,066,239	722,023,692	763,893,341	799,172,634	785,114,205
<i>Internal Services</i>	<i>[356,442,888]</i>	<i>[372,486,784]</i>	<i>[404,667,257]</i>	<i>[481,541,418]</i>	<i>[486,660,740]</i>
Expenditures by Object					
Salary And Benefits	388,403,516	405,636,419	415,165,201	436,427,232	435,970,009
Contract Professional Services	29,892,925	18,974,519	14,291,127	19,232,039	14,246,509
Operating Supplies And Expenses	70,002,192	77,823,643	75,701,991	89,570,254	86,679,534

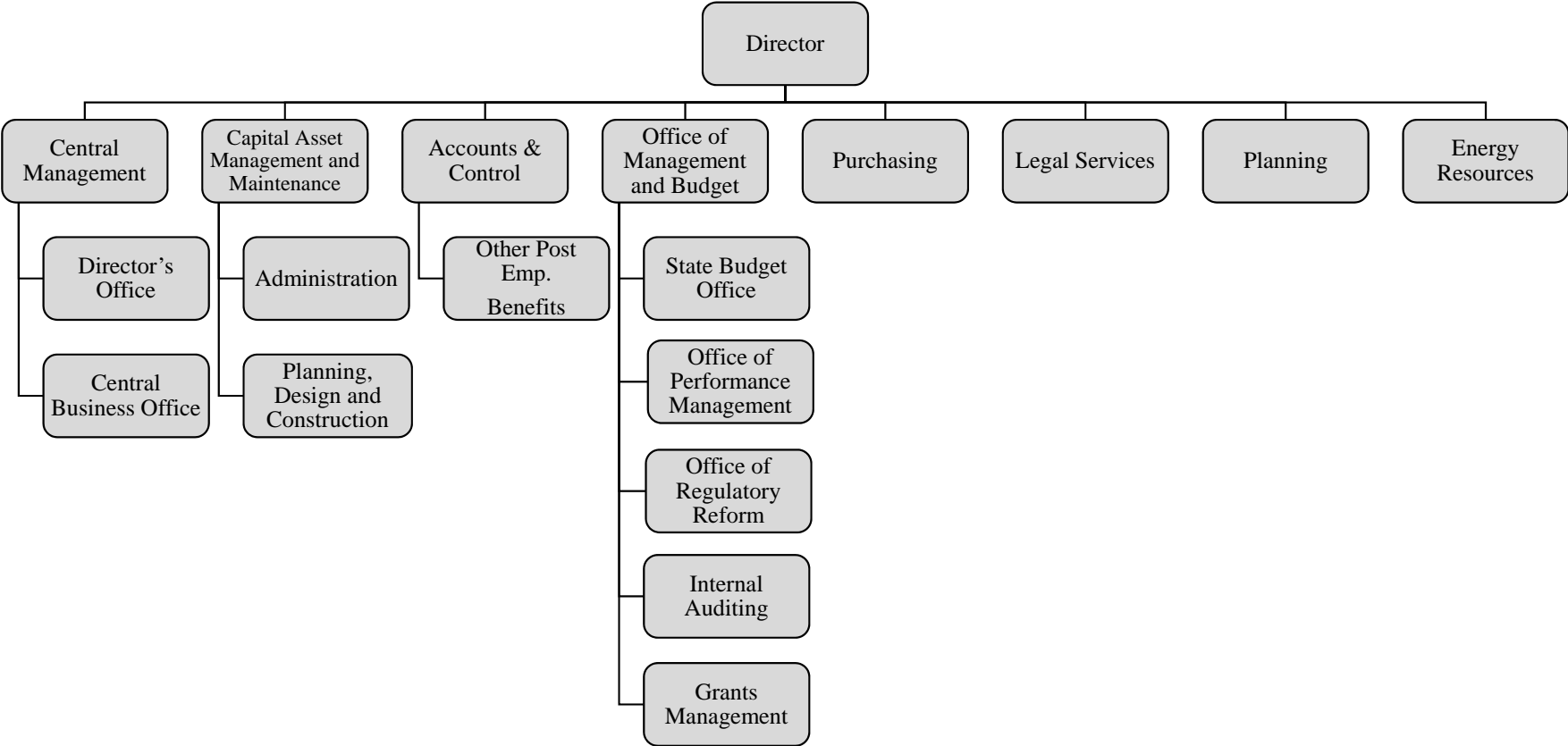
Budget

DEPARTMENT OF ADMINISTRATION

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Assistance And Grants	16,737,361	5,761,750	13,137,967	3,537,593	3,358,905
Aid To Local Units Of Government	10,684,299	11,154,782	11,523,700	11,523,700	11,538,543
Subtotal: Operating	515,720,293	519,351,114	529,819,986	560,290,818	551,793,500
Capital Purchases And Equipment	21,484,486	32,655,539	51,367,568	57,527,184	48,191,226
Debt Service (fixed Charges)	161,677,377	141,935,668	181,232,001	179,880,846	183,655,693
Operating Transfers	7,184,083	28,081,371	1,473,786	1,473,786	1,473,786
Subtotal: Other	190,345,946	202,672,578	234,073,355	238,881,816	233,320,705
Total Expenditures	706,066,239	722,023,692	763,893,341	799,172,634	785,114,205
Expenditures by Source of Funds					
General Revenue	212,928,494	217,802,032	216,198,914	185,759,136	183,043,235
Federal Funds	31,351,946	16,553,489	13,162,089	8,380,874	3,884,603
Restricted Receipts	29,970,285	26,458,599	33,225,906	29,321,631	25,932,489
Operating Transfers From Other Funds	68,002,934	80,868,732	88,386,753	93,995,336	85,391,537
Other Funds	363,812,580	380,340,841	412,919,679	481,715,657	486,862,341
Total Expenditures	706,066,239	722,023,692	763,893,341	799,172,634	785,114,205
FTE Authorization	712.7	708.7	696.7	696.7	667.7

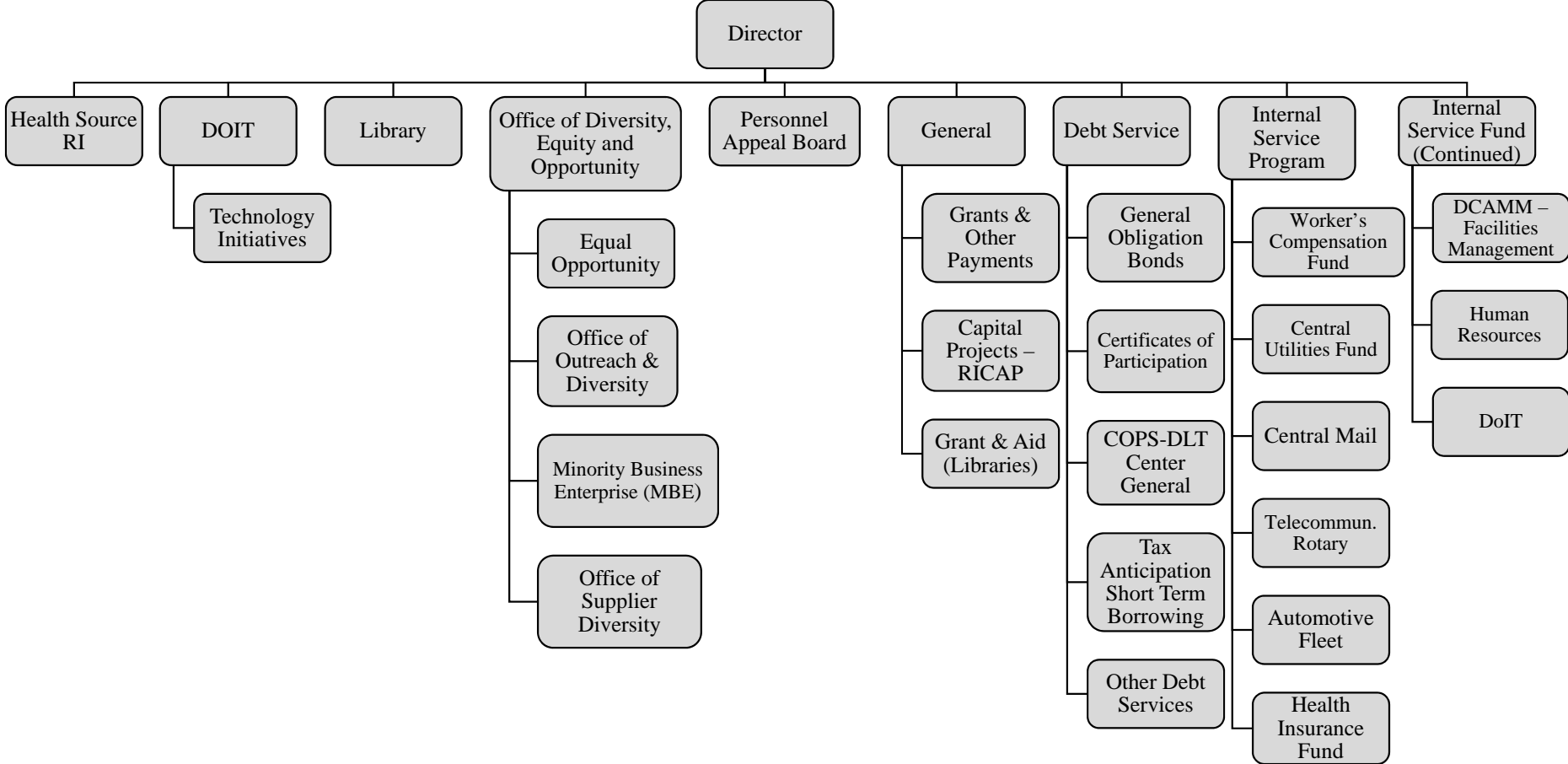
The Agency

Department of Administration



The Agency

Department of Administration, Continued



Personnel Agency Summary

DEPARTMENT OF ADMINISTRATION

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	695.4	53,303,129	664.2	49,775,590
Unclassified	1.3	4,456,796	2.5	5,329,788
Subtotal	696.7	57,759,925	667.7	55,105,378
Transfer Out		(540,020)		(1,805,069)
Transfer In		617,802		203,973
Regular Wages		0		111,148
Salaries Adjustment		0		38,630
Overtime (1.5)		1,034,902		984,373
Seasonal/Special Salaries/Wages		205,076		397,773
Turnover		(1,286,420)		(3,017,106)
Total Salaries		52,539,875		52,019,120
Benefits				
FICA		4,372,444		4,328,598
Health Benefits		324,104,003		324,168,143
Holiday		82,587		80,842
Other		5,596,104		5,596,104
Payroll Accrual		300,305		288,064
Retiree Health		3,072,935		2,934,993
Retirement		13,402,368		13,879,692
Workers Compensation		30,515,000		30,515,000
Subtotal		381,445,746		381,791,336
Total Salaries and Benefits	696.7	433,985,621	667.7	433,810,456
Cost Per FTE Position (Excluding Temporary and Seasonal)		560,415		596,139
Statewide Benefit Assessment		2,441,611		2,159,453
Payroll Costs	696.7	436,427,232	667.7	435,970,009
Purchased Services				
Buildings and Ground Maintenance		911,501		912,660
Clerical and Temporary Services		148,837		84,967
Design and Engineering Services		393,982		393,562
Information Technology		1,442,100		1,852,436
Legal Services		465,858		365,858
Management & Consultant Services		15,000,517		9,844,960
Medical Services		3,000		3,000
Other Contracts		730,860		753,571
Training and Educational Services		135,384		35,495
Subtotal		19,232,039		14,246,509
Total Personnel	696.7	455,659,271	667.7	450,216,518

Personnel Agency Summary

DEPARTMENT OF ADMINISTRATION

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		26,195,033		24,731,044
Federal Funds		5,641,447		1,351,789
Restricted Receipts		9,348,911		7,725,203
Operating Transfers from Other Funds		3,637,985		4,187,710
Other Funds		410,835,895		412,220,772
Total All Funds		455,659,271		450,218,518

Performance Measures

DEPARTMENT OF ADMINISTRATION

Minorities in the Executive Branch of the State Workforce

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	17.60%	19.00%	20.50%	22.20%	24.10%
Actual	17.60%	17.90%	18.50%	0.00%	

Major Processes Subject to a Lean Review

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to standardize and streamline procedures using Lean process improvement techniques. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	1.00	5.00	8.00	3.00	3.00
Actual	1.00	3.00	3.00	0.00	

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected electricity usage, measured in kilowatt-hours (kWh). [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	102,421,337.00	100,372,910.00	98,324,484.00	88,345,739.00	98,400,000.00
Actual	102,421,337.00	98,492,048.00	103,502,050.00	0.00	

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the department are efficiently organized and implemented. To select the best candidates for recommendation for appointment to the Judiciary.

Description

Central Management is comprised of four major functions: the Director's Office, the Central Business Office, the Judicial Nominating Commission, and Cybersecurity. The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor. The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources. The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I.G.L. 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve. Additionally, the Director of Cybersecurity is housed within the Department of Administration Central Management function. The Director of Cybersecurity, also the Governor's Homeland Security Advisor, is charged with developing and implementing a comprehensive statewide cybersecurity strategy in collaboration with ETSS, DPS, RIEMA, and the Rhode Island National Guard.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Director's Office	1,463,676	1,203,002	1,934,216	2,218,184	2,033,696
Financial Management	931,506	933,137	1,092,191	898,333	800,432
Judicial Nominating Committee	18,491	6,061	22,250	22,250	22,250
Office of Digital Excellence	662,471	0	0	13,195	13,297
Total Expenditures	3,076,144	2,142,200	3,048,657	3,151,962	2,869,675
Expenditures by Object					
Salary and benefits	2,343,783	2,041,550	2,494,085	2,270,001	2,310,200
Contract Professional Services	571,727	20,261	107,400	591,691	256,400
Operating supplies and Expenses	78,224	73,314	440,112	283,210	296,015
Assistance And Grants	60,378	0	0	0	0
Subtotal: Operating	3,054,112	2,135,126	3,041,597	3,144,902	2,862,615
Capital Purchases And Equipment	22,032	7,074	7,060	7,060	7,060
Subtotal: Other	22,032	7,074	7,060	7,060	7,060
Total Expenditures	3,076,144	2,142,200	3,048,657	3,151,962	2,869,675
Expenditures by Source of Funds					
General Revenue	3,076,144	2,142,200	3,048,657	3,151,962	2,869,675
Total Expenditures	3,076,144	2,142,200	3,048,657	3,151,962	2,869,675

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	1.0	63,593	1.0	63,850
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	2.0	150,737	1.0	88,074
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	1.0	88,586	1.0	94,134
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	1.0	133,971	1.0	134,484
BUSINESS MANAGEMENT OFFICER	2.0	113,345	2.0	118,480
CHIEF IMPLEMENTATION AIDE	2.0	133,540	2.0	136,419
FISCAL CLERK	1.0	39,046	1.0	39,726
FISCAL MANAGEMENT OFFICER	2.0	132,460	2.0	133,639
IMPLEMENTATION AIDE	1.0	48,943	1.0	48,943
PROGRAMMING SERVICES OFFICER	2.0	138,424	2.0	149,025
SENIOR ACCOUNTANT	0.8	42,126	1.0	45,863
SUPERVISING ACCOUNTANT	1.0	63,452	1.0	63,452
SUPERVISOR OF BILLINGS AND ACCOUNTS RECEIVABLE	0.2	17,113	0.0	0
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	1.0	78,338	1.0	83,040
Subtotal Classified	18.0	1,243,674	17.0	1,199,128
Unclassified				
CHIEF OF STAFF	1.0	88,798	0.0	0
CHIEF OF STAFF (DOA)	0.0	0	1.0	93,575
CYBERSECURITY OFFICER (HOMELAND SECURITY ADVISOR)	1.0	176,419	1.0	176,419
DEPUTY DIRECTOR- DEPARTMENT OF ADMINISTRATION	1.0	155,564	1.0	155,564
DIRECTOR OF ADMINISTRATION	1.0	136,510	1.0	143,336
EXECUTIVE SECRETARY (STATE PROPERTIES COMMITTEE)	1.0	48,890	1.0	50,988
PRINCIPAL TECHNICAL SUPPORT ANALYST	1.0	89,039	1.0	85,343
PUBLIC INFORMATION OFFICER	1.0	79,514	1.0	82,418
SUPERVISING ACCOUNTANT	0.0	0	1.0	66,167
Subtotal Unclassified	7.0	774,734	8.0	853,809
Subtotal	25.0	2,018,408	25.0	2,052,937
Transfer Out		(654,637)		(605,023)
Transfer In		77,782		128,494
Seasonal/Special Salaries/Wages		50,000		52,300
Turnover		(53,209)		(156,499)

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Salaries		1,438,344		1,472,209
Benefits				
FICA		102,215		107,765
Health Benefits		201,363		184,267
Payroll Accrual		8,005		8,178
Retiree Health		82,877		84,912
Retirement		368,376		387,323
Subtotal		762,836		772,445
Total Salaries and Benefits	25.0	2,201,180	25.0	2,244,654
Cost Per FTE Position (Excluding Temp. and Seasonal)		84,336		93,527.25
Statewide Benefit Assessment		68,821		65,546
Payroll Costs	25.0	2,270,001	25.0	2,310,200
Purchased Services				
Clerical and Temporary Services		732		0
Information Technology		249,000		249,000
Legal Services		6,400		6,400
Management & Consultant Services		334,559		0
Other Contracts		1,000		1,000
Subtotal		591,691		256,400
Total Personnel	25.0	2,861,692	25.0	2,566,600
Distribution by Source of Funds				
General Revenue		2,861,692		2,566,600
Total All Funds		2,861,692		2,566,600

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles. To establish policies and procedures to foster sound accounting practices and internal controls. To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies. To support state operations by centrally processing financial transactions in a timely and accurate manner.

Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures. The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the treasury; and the preparation of financial statements in accordance with generally accepted accounting principles. This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Accounts & Control	3,904,557	3,999,112	4,355,796	5,226,223	5,498,791
Total Expenditures	3,904,557	3,999,112	4,355,796	5,226,223	5,498,791
Expenditures by Object					
Salary and benefits	3,754,481	3,804,194	4,067,734	3,781,436	4,045,669
Contract Professional Services	9,855	115	152,000	152,000	152,000
Operating supplies and Expenses	133,434	177,110	133,062	1,289,787	1,298,122
Assistance And Grants	1,500	0	0	0	0
Subtotal: Operating	3,899,270	3,981,419	4,352,796	5,223,223	5,495,791
Capital Purchases And Equipment	5,287	17,693	3,000	3,000	3,000
Subtotal: Other	5,287	17,693	3,000	3,000	3,000
Total Expenditures	3,904,557	3,999,112	4,355,796	5,226,223	5,498,791
Expenditures by Source of Funds					
General Revenue	3,904,557	3,999,112	4,130,796	5,001,480	5,273,496
Restricted Receipts	0	0	225,000	224,743	225,295
Total Expenditures	3,904,557	3,999,112	4,355,796	5,226,223	5,498,791

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	13.0	632,835	13.0	635,075
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.0	87,239	1.0	87,239
ASSET PROTECTION OFFICER	2.0	114,240	1.0	64,883
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	1.0	113,146	1.0	113,146
ASSOCIATE CONTROLLER	2.0	219,752	2.0	222,659
CENTRAL ACCOUNTS PAYABLE SUPERVISING PREAUDIT CLK (DOA)	1.0	54,034	1.0	55,462
CHIEF PREAUDIT SUPERVISOR	4.0	318,273	4.0	318,410
CONTROLLER	1.0	150,786	1.0	156,723
FINANCIAL REPORTING MANAGER (OFFICE OF ACCOUNTS & CONTROL)	1.0	85,382	1.0	85,382
FISCAL MANAGEMENT OFFICER	3.0	208,940	3.0	211,054
INVESTIGATIVE AUDITOR	1.0	91,260	1.0	91,420
PRINCIPAL ACCOUNTING POLICY AND METHODS ANALYST	2.0	126,874	2.0	126,874
SCHEDULING AND RECORDING CLERK	2.0	81,583	2.0	74,166
SENIOR MANAGEMENT AND METHODS ANALYST	1.0	66,039	1.0	66,108
SUPERVISING ACCOUNTANT	1.0	76,911	1.0	84,602
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	1.0	73,216	1.0	74,742
Subtotal Classified	37.0	2,500,510	36.0	2,467,947
Subtotal	37.0	2,500,510	36.0	2,467,947
Turnover		(116,138)		(44,500)
Total Salaries		2,310,014		2,423,446
Benefits				
FICA		175,263		183,875
Health Benefits		430,976		508,222
Payroll Accrual		13,125		13,911
Retiree Health		136,285		144,922
Retirement		607,078		659,817
Subtotal		1,362,727		1,510,747
Total Salaries and Benefits	37.0	3,672,741	36.0	3,934,193
Cost Per FTE Position (Excluding Temp. and Seasonal)		99,263		109,283.14
Statewide Benefit Assessment		108,695		111,476
Payroll Costs	37.0	3,781,436	36.0	4,045,669

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Legal Services		50,000		50,000
Management & Consultant Services		100,000		100,000
Other Contracts		2,000		2,000
Subtotal		152,000		152,000
Total Personnel	37.0	3,933,436	36.0	4,197,669
Distribution by Source of Funds				
General Revenue		3,708,693		3,972,374
Restricted Receipts		224,743		225,295
Total All Funds		3,933,436		4,197,669

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions: Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies. Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity. Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results. Federal Grants Administration: Monitors and reports on federal grants including stimulus grants, and maximizes use of federal funds for eligible state programs and functions. Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests. Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

RIGL 35-1.1 created the Office of Management and Budget. RIGL 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL 42-35.1-3 moved the Office of Regulatory Reform from the Economic Development Corporation to the Office of Management and Budget.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Budget Office	1,986,610	2,341,929	2,302,458	2,435,408	2,657,568
Director, Office of Mgt and Budget	635,869	444,893	2,309,950	2,339,857	1,103,454
Federal Grants Management	22,375	311,365	213,675	387,335	378,200
Office of Internal Audit	141,350	2,875,669	4,288,644	3,683,210	4,387,816
Office of Regulatory Reform	1,285,438	946,602	878,925	1,132,246	859,080
Performance Management	494,964	587,613	908,193	1,094,240	1,175,912
Total Expenditures	4,566,606	7,508,070	10,901,845	11,072,296	10,562,030
Expenditures by Object					
Salary and benefits	3,597,255	6,803,916	7,847,779	7,412,242	8,460,067
Contract Professional Services	196,757	272,665	502,968	725,861	362,264
Operating supplies and Expenses	191,880	326,785	1,297,038	1,572,848	1,357,483
Assistance And Grants	0	0	300,000	300,000	300,000
Subtotal: Operating	3,985,892	7,403,366	9,947,785	10,010,951	10,479,814
Capital Purchases And Equipment	580,714	104,704	954,060	1,061,345	82,216
Subtotal: Other	580,714	104,704	954,060	1,061,345	82,216
Total Expenditures	4,566,606	7,508,070	10,901,845	11,072,296	10,562,030
Expenditures by Source of Funds					
General Revenue	4,361,219	6,543,588	8,882,351	9,666,351	9,039,149
Restricted Receipts	64,037	151,257	300,000	409,356	300,046
Operating Transfers from Other Funds	141,350	813,224	1,719,494	996,589	1,222,835
Total Expenditures	4,566,606	7,508,070	10,901,845	11,072,296	10,562,030

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	1.0	61,456	1.0	61,553
ASSISTANT TO THE DIRECTOR (DHS)	1.0	79,854	1.0	84,229
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	0.6	70,276	0.6	70,276
ASSOCIATE DIRECTOR I (MHRH)	1.0	129,046	1.0	129,500
BUDGET ANALYST I	7.0	425,693	7.0	427,566
BUDGET ANALYST II	1.0	86,809	1.0	72,825
CHIEF BUDGET ANALYST	0.0	0	1.0	107,615
CHIEF BUREAU OF AUDITS	1.0	132,183	1.0	132,393
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	1.0	103,090	0.0	0
CHIEF PROGRAM DEVELOPMENT	1.0	78,305	1.0	78,305
DEPUTY BUDGET OFFICER	1.0	126,648	1.0	114,731
DEPUTY CHIEF BUREAU OF AUDITS	2.0	218,292	2.0	218,453
DEPUTY DIRECTOR DEPARTMENT OF HUMAN SERVICES	1.0	162,074	1.0	163,393
DIRECTOR REGULATORY REFORM (OMB)	1.0	121,523	1.0	123,921
ECONOMIC AND POLICY ANALYST I	3.0	193,351	3.0	197,895
ELIGIBILITY TECHNICIAN	3.0	167,138	1.0	58,694
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	1.0	185,739	1.0	186,332
INTERDEPARTMENTAL PROJECT MANAGER	1.0	86,497	0.0	0
INTERNAL AUDIT MANAGER (DOA)	4.0	371,688	4.0	342,424
INTERNAL AUDITOR (DOA)	11.0	556,489	14.0	673,553
PRINCIPAL ECONOMIC AND POLICY ANALYST	4.0	339,493	4.0	350,284
PRINCIPAL MANAGEMENT AND METHODS ANALYST	1.0	56,401	1.0	57,399
PROGRAMMING SERVICES OFFICER	2.0	146,474	2.0	146,475
SENIOR AUDITOR	1.0	67,229	1.0	67,676
SENIOR BUDGET ANALYST	1.0	93,844	1.0	94,380
SENIOR ECONOMIC AND POLICY ANALYST	2.0	144,846	2.0	147,127
SENIOR INTERNAL AUDIT MANAGER (DOA)	1.0	103,011	1.0	95,708
SENIOR INTERNAL AUDITOR (DOA)	8.0	557,953	8.0	557,757
SENIOR MANAGEMENT AND METHODS ANALYST	3.0	154,811	3.0	154,012
SUPERVISING BUDGET ANALYST	4.0	405,079	3.0	307,463
Subtotal Classified	69.6	5,425,292	68.6	5,221,939
Unclassified				
ASSOCIATE DIRECTOR FOR PLANNING- POLICY & REGULATION	1.0	122,186	1.0	122,186
CONFIDENTIAL SECRETARY	1.0	53,003	1.0	53,003
DATA ANALYST III	1.0	107,615	1.0	107,615

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
DIRECTOR- OFFICE OF MANAGEMENT & BUDGET	1.0	171,281	1.0	171,281
Subtotal Unclassified	4.0	454,085	4.0	454,085
Subtotal	73.6	5,879,377	72.6	5,676,024
Transfer Out		0		(163,393)
Transfer In		0		84,140
Seasonal/Special Salaries/Wages		30,000		17,000
Turnover		(500,899)		(515,153)
Total Salaries		4,573,356		5,098,621
Benefits				
FICA		342,110		382,947
Health Benefits		765,457		1,001,628
Payroll Accrual		26,619		29,341
Retiree Health		275,185		303,876
Retirement		1,210,410		1,409,422
Subtotal		2,619,781		3,127,214
Total Salaries and Benefits	73.6	7,193,137	72.6	8,225,835
Cost Per FTE Position (Excluding Temp. and Seasonal)		97,733		113,303.51
Statewide Benefit Assessment		219,105		234,232
Payroll Costs	73.6	7,412,242	72.6	8,460,067
Purchased Services				
Clerical and Temporary Services		58,526		0
Management & Consultant Services		582,264		362,264
Other Contracts		107		0
Training and Educational Services		84,964		0
Subtotal		725,861		362,264
Total Personnel	73.6	8,138,103	72.6	8,822,331
Distribution by Source of Funds				
General Revenue		6,780,293		7,323,193
Restricted Receipts		384,964		300,046
Operating Transfers from Other Funds		972,846		1,199,092
Total All Funds		8,138,103		8,822,331

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the Lamb Legislation), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 37-2-17.2 requires the institution of an electronic Vendor Information Program. In 2011, in accordance with RIGL 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for public works projects are required to include a copy to be available for public inspection upon the opening of the bids. Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. R.I.G.L. 37-2-18(b) and (j).

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Purchasing	2,820,678	3,050,163	3,404,368	3,595,007	3,825,370
Total Expenditures	2,820,678	3,050,163	3,404,368	3,595,007	3,825,370
Expenditures by Object					
Salary and benefits	2,749,258	2,976,670	3,127,993	2,883,562	3,309,280
Contract Professional Services	0	0	15,250	15,250	15,250
Operating supplies and Expenses	64,488	61,533	261,125	696,195	500,840
Subtotal: Operating	2,813,746	3,038,204	3,404,368	3,595,007	3,825,370
Capital Purchases And Equipment	6,932	11,959	0	0	0
Subtotal: Other	6,932	11,959	0	0	0
Total Expenditures	2,820,678	3,050,163	3,404,368	3,595,007	3,825,370
Expenditures by Source of Funds					
General Revenue	2,501,126	2,727,089	2,630,843	2,720,342	2,821,641
Restricted Receipts	0	0	540,000	539,204	540,000
Operating Transfers from Other Funds	319,552	323,074	233,525	335,461	463,729
Total Expenditures	2,820,678	3,050,163	3,404,368	3,595,007	3,825,370

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	1.0	61,041	1.0	61,517
BUYER I (DOA/OP)	3.0	146,864	4.0	194,214
BUYER II (DOA/OP)	3.0	198,807	2.0	114,624
CHIEF BUYER (DOA/OP)	4.0	317,627	7.0	550,976
CHIEF IMPLEMENTATION AIDE	1.0	68,193	1.0	68,192
CHIEF OF PURCHASING MANAGEMENT AND SUPPORT SERVICES	0.0	0	1.0	82,471
DEPUTY PURCHASING AGENT	1.0	108,003	1.0	108,003
INTERDEPARTMENTAL PROJECT MANAGER	3.0	289,376	3.0	203,739
LEGAL ASSISTANT	1.0	49,952	1.0	50,082
PROGRAMMING SERVICES OFFICER	1.0	76,725	1.0	76,725
PURCHASING AGENT	1.0	136,345	1.0	136,572
STANDARDS TECHNICIAN (DIVISION OF PURCHASES)	11.0	497,094	10.0	415,133
SYSTEMS SUPPORT TECHNICIAN I	2.0	92,520	0.0	0
Subtotal Classified	32.0	2,042,547	33.0	2,062,247
Unclassified				
CHIEF OF PURCHASING MANAGEMENT AND SUPPORT SERVICES	1.0	82,471	0.0	0
Subtotal Unclassified	1.0	82,471	0.0	0
Subtotal	33.0	2,125,018	33.0	2,062,247
Transfer Out		0		(17,046)
Turnover		(38,140)		(82,471)
Total Salaries		1,708,732		1,962,729
Benefits				
FICA		138,128		149,877
Health Benefits		397,410		480,346
Payroll Accrual		10,419		11,115
Retiree Health		102,183		106,926
Retirement		445,127		516,040
Subtotal		1,093,267		1,264,304
Total Salaries and Benefits	33.0	2,801,999	33.0	3,227,033
Cost Per FTE Position (Excluding Temp. and Seasonal)		84,909		97,788.88
Statewide Benefit Assessment		81,563		82,247
Payroll Costs	33.0	2,883,562	33.0	3,309,280

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Clerical and Temporary Services		250		250
Legal Services		15,000		15,000
Subtotal		15,250		15,250
Total Personnel	33.0	2,898,812	33.0	3,324,530
Distribution by Source of Funds				
General Revenue		2,391,647		2,860,801
Restricted Receipts		171,704		0
Operating Transfers from Other Funds		335,461		463,729
Total All Funds		2,898,812		3,324,530

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Auditing

Mission

To provide independent and objective assurance regarding governance, risk management, and control processes. To report the effectiveness and efficiency of state operation, the reliability of the financial reporting, and compliance with applicable laws, regulations, and contracts. To be the independent voice which helps ensure that our state government resources are being utilized in a cost efficient, effective manner and promote a control conscious environment. Our guiding values include objectivity, independence, integrity, and professionalism.

Description

• The Bureau of Audits was established to conduct all audits required by the Department of Administration. Its authority is given under RIGL 35-7 which allows the Bureau of Audits:

- To conduct audits of any state department, state agency, or private entity that is a recipient of state funding, or state grants, as deemed necessary or expedient by the Bureau of Audits. Audits may be made relative to financial affairs, or the economy and efficiency of management of each department and agency.
- To determine which audits shall be performed in accordance with a risk based evaluation.
- To conduct investigations, or management advisory and consulting services upon request of the Governor the General Assembly. The Bureau of Audits performs the internal auditing function for the Executive Branch of State Government, and fall under the Department of Administration. Bureau of Audits reports functionally to the Internal Audit Advisory Group and administratively to the Director of the Department of Administration. Bureau of Audits provides the Governor and the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational controls through objective analyses, evaluations, and recommendations on operations, systems, and contracted services of state government. Additionally, Bureau of Audits provides expert technical advice on how to coordinate process improvement and quality control activities across state government. Bureau of Audits provides independent and objective assurance on governance, risk management, and control processes in order to furnish a reasonable basis to report on the effectiveness and efficiency of state operation, the reliability of financial reporting, and compliance with applicable laws, regulations, and contracts. Additionally, Bureau of Audits conducts fraud awareness and ethics training to state employees, forensic audits, state vendor and contractor audits, accounting assistance, investigative reviews, enterprise risk assessment, and more. This function was merged into the Office of Management and Budget in FY 2017.

Statutory History

Chapter 35-7 establishes the Bureau of Audits and authorizes in to conduct audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies in accordance with a risk-based evaluation system. The Bureau may also, at the written request of the Governor, the Director of Department of Administration, or of either branch of the general assembly, conduct investigations of and provide management advisory and consulting services to state departments, agencies, and quasi-state agencies. Unless there is an issue of misappropriation, the provisions of the chapter shall not apply to non-profit organizations.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Auditing

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Auditing	1,420,096	0	0	0	0
Total Expenditures	1,420,096	0	0	0	0
Expenditures by Object					
Salary and benefits	1,380,695	0	0	0	0
Operating supplies and Expenses	34,537	0	0	0	0
Subtotal: Operating	1,415,232	0	0	0	0
Capital Purchases And Equipment	4,864	0	0	0	0
Subtotal: Other	4,864	0	0	0	0
Total Expenditures	1,420,096	0	0	0	0
Expenditures by Source of Funds					
General Revenue	1,420,096	0	0	0	0
Total Expenditures	1,420,096	0	0	0	0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Human Resources

Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community. To provide Human Resources services to all State Departments in support of their missions. To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees. The major activities of Human Resources include:

- Personnel Administration – administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/ Development and Classification/Civil Service Examinations
- Labor Relations – Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees
- Recruitment/Employment/Employee Services/Payroll and Data – Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services;
- Agency Liaisons – Primary point of contact for all Departments while serving as a proactive advisor to Department leadership.
- Disability Management
- Employee Benefits Administration – Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives
- State Employees Worker's Compensation

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law, under which the Division of Human Resources operates. In the FY 2018 revised budget, the human resource program was shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Human Resources

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
DEM/DOT HR Service Center	0	103,760	0	0	0
General Gov. HR Service Center	976	4,101	609	0	0
Human Resources	631,990	815,498	1,085,579	1,159,112	1,274,257
Human Resources Centralization	6,973,094	8,671,824	9,534,920	0	0
Personnel Administration	2,093,868	979,795	653,439	0	0
Public Safety HR Service Cntr	0	22,710	0	0	0
Total Expenditures	9,699,928	10,597,688	11,274,547	1,159,112	1,274,257
Expenditures by Object					
Salary and benefits	9,386,079	10,109,568	10,952,509	0	0
Contract Professional Services	15,184	160,392	4,800	0	0
Operating supplies and Expenses	171,842	316,110	305,238	1,159,112	1,274,257
Assistance And Grants	409	(200)	0	0	0
Subtotal: Operating	9,573,514	10,585,870	11,262,547	1,159,112	1,274,257
Capital Purchases And Equipment	126,414	11,817	12,000	0	0
Subtotal: Other	126,414	11,817	12,000	0	0
Total Expenditures	9,699,928	10,597,688	11,274,547	1,159,112	1,274,257
Expenditures by Source of Funds					
General Revenue	7,157,340	7,319,221	8,057,188	1,159,112	1,274,257
Federal Funds	755,695	1,174,450	1,014,410	0	0
Restricted Receipts	481,067	641,504	610,995	0	0
Other Funds	1,305,826	1,462,513	1,591,954	0	0
Total Expenditures	9,699,928	10,597,688	11,274,547	1,159,112	1,274,257

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board. To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law. To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board. The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson. The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Personnel Appeal Board	137,951	114,599	145,130	147,365	149,477
Total Expenditures	137,951	114,599	145,130	147,365	149,477
Expenditures by Object					
Salary and benefits	77,887	78,903	77,167	79,402	81,514
Contract Professional Services	58,703	33,893	66,500	66,500	66,500
Operating supplies and Expenses	1,361	1,803	1,463	1,463	1,463
Subtotal: Operating	137,951	114,599	145,130	147,365	149,477
Total Expenditures	137,951	114,599	145,130	147,365	149,477
Expenditures by Source of Funds					
General Revenue	137,951	114,599	145,130	147,365	149,477
Total Expenditures	137,951	114,599	145,130	147,365	149,477

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
MEMBER- PERSONNEL APPEAL BOARD	0.0	36,000	0.0	36,000
Subtotal Unclassified	0.0	36,000	0.0	36,000
Subtotal	0.0	36,000	0.0	36,000
Transfer In		0		25,494
Total Salaries		60,445		61,494
Benefits				
FICA		4,621		4,701
Health Benefits		3,311		3,529
Payroll Accrual		302		306
Retiree Health		1,460		1,525
Retirement		6,392		6,760
Subtotal		16,086		16,821
Total Salaries and Benefits	0.0	76,531	0.0	78,315
Cost Per FTE Position (Excluding Temp. and Seasonal)		0		0
Statewide Benefit Assessment		2,871		3,199
Payroll Costs	0.0	79,402	0.0	81,514
Purchased Services				
Clerical and Temporary Services		6,000		6,000
Legal Services		60,000		60,000
Other Contracts		500		500
Subtotal		66,500		66,500
Total Personnel	0.0	145,902	0.0	148,014
Distribution by Source of Funds				
General Revenue		145,902		148,014
Total All Funds		145,902		148,014

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

General

Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program. Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums.

Statutory History

The existence of General has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

General

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Capital Projects	19,484,046	31,517,232	41,146,000	47,177,231	38,840,000
General	813,496	179,962	700,000	700,000	700,000
Grants & Other Payments	5,412,922	4,422,021	2,821,057	2,821,057	2,821,057
State Aid to Local Communities	11,436,698	11,577,925	11,523,700	11,523,700	11,538,543
Total Expenditures	37,147,162	47,697,140	56,190,757	62,221,988	53,899,600
Expenditures by Object					
Contract Professional Services	566,575	827,969	0	0	0
Operating supplies and Expenses	4,095,312	5,468,226	3,021,057	3,021,057	3,021,057
Assistance And Grants	1,192,494	1,176,824	500,000	500,000	500,000
Aid To Local Units Of Government	10,684,299	11,154,782	11,523,700	11,523,700	11,538,543
Subtotal: Operating	16,538,680	18,627,802	15,044,757	15,044,757	15,059,600
Capital Purchases And Equipment	17,237,400	26,796,003	41,146,000	47,177,231	38,840,000
Operating Transfers	3,371,082	2,273,335	0	0	0
Subtotal: Other	20,608,482	29,069,338	41,146,000	47,177,231	38,840,000
Total Expenditures	37,147,162	47,697,140	56,190,757	62,221,988	53,899,600
Expenditures by Source of Funds					
General Revenue	16,849,620	15,999,946	14,344,757	14,344,757	14,359,600
Restricted Receipts	813,496	179,962	700,000	700,000	700,000
Operating Transfers from Other Funds	19,484,046	31,517,232	41,146,000	47,177,231	38,840,000
Total Expenditures	37,147,162	47,697,140	56,190,757	62,221,988	53,899,600

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Debt Service Payments

Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Debt Service Payments

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Certificates of Participation	31,671,673	31,689,330	26,664,218	25,055,770	26,311,537
COPS - Ctr General Furniture	4,699	1,524	0	0	0
COPS - DLT Ctr. Gnrl. Building	2,003,247	945,931	0	0	0
General Obligation Bonds	55,471,340	74,393,718	96,227,371	96,544,126	116,031,059
Other Debt Service	73,661,514	60,929,707	58,340,412	58,280,950	41,313,097
Tax Anticipation/S T Borrowing	37,443	111,465	100,000	100,000	100,000
Total Expenditures	162,849,916	168,071,674	181,332,001	179,980,846	183,755,693
Expenditures by Object					
Operating supplies and Expenses	37,487	111,483	100,000	100,000	100,000
Assistance And Grants	0	3,560,000	0	0	0
Subtotal: Operating	37,487	3,671,483	100,000	100,000	100,000
Debt Service (Fixed Charges)	161,677,377	141,935,668	181,232,001	179,880,846	183,655,693
Operating Transfers	1,135,052	22,464,524	0	0	0
Subtotal: Other	162,812,429	164,400,192	181,232,001	179,880,846	183,655,693
Total Expenditures	162,849,916	168,071,674	181,332,001	179,980,846	183,755,693
Expenditures by Source of Funds					
General Revenue	112,513,527	119,535,437	138,403,065	137,170,775	141,761,915
Federal Funds	2,643,287	2,249,323	1,870,830	1,870,830	1,870,830
Restricted Receipts	1,372,210	107,880	0	0	0
Operating Transfers from Other Funds	46,316,226	46,177,637	41,058,106	40,939,241	40,122,948
Other Funds	4,666	1,397	0	0	0
Total Expenditures	162,849,916	168,071,674	181,332,001	179,980,846	183,755,693

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis. Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies. Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies. The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund. A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011. In the FY 2018 revised budget, human resources, information technology, and facilities management transferred to internal service funds pursuant to the authorization by the General Assembly in the FY 2018 Appropriations Act.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 36-12.1-5 established the OPEB trust.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Assessed Fringe Benefit Fund	36,692,751	35,351,581	41,229,448	38,441,994	41,344,188
Automotive Fleet Fund	13,731,772	13,882,444	12,784,388	12,585,970	12,823,759
Central Mail Fund	5,426,515	5,224,095	6,838,505	6,585,595	6,539,120
Central Utilities Fund	14,617,978	21,678,864	24,910,320	22,910,320	22,910,320
Health Insurance Fund	244,114,465	252,895,824	251,804,700	252,129,967	251,953,418
Human Resource Service Centers	0	0	0	12,012,230	12,131,620
Information Processing Fund	0	0	0	32,179,344	32,282,229
Other Post Employment Benefits	38,669,453	40,363,858	63,852,483	63,852,483	63,858,483
Statewide Facility Services	0	0	0	37,286,593	39,212,184
Surplus Property	2,885	1,255	3,000	3,000	3,000
Telecommunications Fund	3,187,069	3,088,863	3,244,413	3,553,922	3,602,419
Total Expenditures	356,442,888	372,486,784	404,667,257	481,541,418	486,660,740
<i>Internal Services</i>	<i>[356,442,888]</i>	<i>[372,486,784]</i>	<i>[404,667,257]</i>	<i>[481,541,418]</i>	<i>[486,660,740]</i>
Expenditures by Object					
Salary and benefits	318,139,607	330,878,796	356,378,018	404,756,732	405,788,796
Contract Professional Services	1,023,485	849,044	1,098,060	5,994,098	6,318,446
Operating supplies and Expenses	34,343,207	37,197,750	45,297,893	68,840,180	72,604,540
Assistance And Grants	225,655	211,190	270,000	270,000	270,000
Subtotal: Operating	353,731,954	369,136,781	403,043,971	479,861,010	484,981,782
Capital Purchases And Equipment	32,985	6,491	149,500	206,622	205,172
Operating Transfers	2,677,949	3,343,512	1,473,786	1,473,786	1,473,786
Subtotal: Other	2,710,934	3,350,003	1,623,286	1,680,408	1,678,958
Total Expenditures	356,442,888	372,486,784	404,667,257	481,541,418	486,660,740
Expenditures by Source of Funds					
Operating Transfers from Other Funds	0	(53,414)	0	0	0
Other Funds	356,442,888	372,540,197	404,667,257	481,541,418	486,660,740
Total Expenditures	356,442,888	372,486,784	404,667,257	481,541,418	486,660,740

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ACCOUNTANT	1.0	53,819	1.0	54,001
ADMINISTRATIVE SERVICES SPECIALIST (DOA)	3.0	174,316	3.0	176,232
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	10.0	1,060,871	10.0	1,062,676
ADMINISTRATOR- OPERATIONS MANAGEMENT	1.0	98,288	1.0	102,860
ASSISTANT BUILDING AND GROUNDS OFFICER	6.0	352,428	6.0	342,190
ASSISTANT BUSINESS MANAGEMENT OFFICER	1.0	41,987	2.0	76,463
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	4.0	520,555	4.0	521,807
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	7.0	733,282	7.0	730,688
ASSISTANT LABOR RELATIONS HEARING OFFICER	2.0	155,603	1.0	71,157
ASSISTANT RECORDS ANALYST	1.0	53,209	1.0	53,209
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	9.0	565,011	9.0	560,879
ASSOCIATE DIRECTOR (DHS) DIV OF MANAGEMENT SERVICES	1.0	148,993	1.0	149,495
ASSOCIATE DIRECTOR OF ADMINISTRATION-CENTRAL SERVICES	1.0	131,397	1.0	131,397
BUILDING AND GROUNDS OFFICER	3.0	192,765	3.0	202,293
BUILDING SUPERINTENDENT	4.0	177,851	4.0	178,943
BUILDING SYSTEMS TECHNICIAN	1.0	43,796	1.0	43,797
BUSINESS MANAGEMENT OFFICER	1.0	76,369	1.0	76,450
CHIEF CENTRAL POWER PLANT OPERATOR (RIMC)	1.0	80,443	1.0	67,036
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	1.0	103,957	1.0	109,956
CHIEF IMPLEMENTATION AIDE	9.0	603,813	9.0	562,665
CHIEF OF HUMAN RESOURCES	1.0	96,611	1.0	96,704
CHIEF OF HUMAN RESOURCES SERVICES	1.0	87,201	1.0	87,277
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	1.0	107,066	1.0	109,480
CHIEF POWER PLANT OPERATOR	1.0	61,117	1.0	62,959
CHIEF PROGRAM DEVELOPMENT	1.0	82,220	1.0	82,220
CHIEF PROPERTY MANAGEMENT	4.0	408,514	4.0	399,528
CLAIMS EXAMINER II (STATE EMPLOYEES WORKERS' COMPENS)	7.0	440,226	0.0	0
CLERK SECRETARY	1.0	46,626	1.0	46,997
COMPENSATION CLAIMS ANALYST	0.0	0	7.0	382,878
COMPUTER OPERATOR (OIP)	5.0	196,979	5.0	198,266
COORDINATOR OF EMPLOYEE TRAINING	1.0	90,239	1.0	90,239
DATABASE ADMINISTRATOR	0.0	0	1.0	124,250
DATABASE ADMINISTRATOR ADABAS/NATURAL	2.0	169,830	2.0	176,543

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
DATABASE MANAGER (DB2)	1.0	88,313	1.0	90,239
DATA CONTROL CLERK	1.0	39,678	1.0	39,678
DATA ENTRY UNIT SUPERVISOR	1.0	62,582	1.0	63,049
DEPUTY CHIEF DIVISION OF FACILITIES MANAGEMENT	4.0	368,749	4.0	377,515
DEPUTY DIRECTOR OF HEALTH	0.0	0	1.0	121,889
DEPUTY PERSONNEL ADMINISTRATOR	4.0	540,219	3.0	379,898
DIRECTOR OF HUMAN RESOURCES	1.0	136,161	1.0	136,161
EMPLOYEE BENEFITS SPECIALIST	3.0	147,186	3.0	147,186
ENERGY CONSERVATION TECHNICIAN	1.0	45,747	1.0	45,747
ENTERPRISE RESOURCE MANAGER (ORACLE ERP MANAGER)	4.0	484,374	3.0	311,451
EXECUTIVE ASSISTANT	1.0	42,976	1.0	42,976
EXECUTIVE DIRECTOR OF HUMAN RESOURCES/ PERSONNEL ADMIN	1.0	140,920	1.0	145,674
FLEET OPERATIONS OFFICER	1.0	59,279	1.0	50,198
GROUNDS SUPERINTENDENT	1.0	50,053	1.0	50,053
HEATING PLANT OPERATOR	1.0	38,669	1.0	39,088
HUMAN RESOURCE PROGRAM ADMINISTRATOR	5.0	493,344	5.0	507,760
HUMAN RESOURCES ADMINISTRATOR	2.0	231,401	1.0	123,432
HUMAN RESOURCES ANALYST I	10.0	610,472	10.0	601,956
HUMAN RESOURCES ANALYST II (GENERAL)	5.0	333,446	5.0	335,284
HUMAN RESOURCES ANALYST III (GENERAL)	15.0	1,151,082	16.0	1,240,658
HUMAN RESOURCES COORDINATOR	4.0	358,253	4.0	361,250
HUMAN RESOURCES SUPERVISOR	2.0	203,751	2.0	204,440
HUMAN RESOURCES TECHNICIAN	33.0	1,622,995	29.0	1,456,196
HVAC SHOP SUPERVISOR	1.0	60,000	1.0	41,441
IMPLEMENTATION AIDE	4.0	208,952	3.0	149,138
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0.3	28,819	0.0	0
INFORMATION SERVICES TECHNICIAN I	1.0	48,237	1.0	48,327
INFORMATION SYSTEMS GROUP COORDINATOR (OIP)	1.0	109,036	1.0	109,186
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	5.0	542,464	5.0	552,742
INTERDEPARTMENTAL PROJECT MANAGER	3.0	283,461	4.0	380,701
JANITOR	8.0	289,273	8.0	285,775
JUNIOR COMPUTER OPERATOR (OIP)	2.0	80,054	2.0	80,384
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	1.0	42,344	1.0	43,758

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
JUNIOR RESOURCE SPECIALIST	1.0	52,532	0.0	0
LEGAL ASSISTANT	1.0	51,648	1.0	51,765
LEGAL COUNSEL (MHRH)	1.0	105,492	0.0	0
MAINTENANCE SUPERINTENDENT	4.0	199,552	4.0	195,731
MANAGER- WORKERS' COMPENSATION PROGRAM ADMINISTRATION	1.0	94,808	1.0	95,260
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	3.0	212,375	3.0	182,426
POWER PLANT OPERATOR	5.0	238,902	5.0	234,124
PRINCIPAL COMPUTER OPERATOR (OIP)	2.0	103,011	2.0	102,369
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	1.0	67,121	1.0	67,620
PRINCIPAL ENVIRONMENTAL PLANNER	1.0	78,581	1.0	78,968
PRINCIPAL JANITOR	4.0	163,498	4.0	171,800
PRINCIPAL PROGRAMMER/ANALYST (OIP)	1.0	85,589	1.0	86,182
PRINCIPAL PROPERTY MANAGEMENT OFFICER	1.0	66,644	1.0	62,993
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	1.0	79,762	1.0	80,134
PROGRAMMER/ANALYST I (COBOL/CICS)	4.0	282,769	4.0	283,574
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	2.0	169,646	2.0	169,646
PROGRAMMER/ANALYST II (COBOL/CICS)	4.0	309,467	4.0	310,194
PROGRAMMER/ANALYST III (COBOL/CICS)	6.0	545,249	6.0	547,284
PROGRAMMER/ANALYST III (ORACLE)	2.0	194,991	2.0	212,077
PROGRAMMER/ANALYST III (UNIX/SQL)	8.0	702,108	8.0	723,141
PROGRAMMER/ANALYST II (ORACLE)	5.0	401,923	5.0	429,987
PROGRAMMER/ANALYST II (UNIX/SQL)	12.0	966,406	12.0	988,516
PROGRAMMER/ANALYST I (ORACLE)	1.0	61,473	3.0	172,794
PROGRAMMER/ANALYST I (UNIX/SQL)	7.0	437,144	5.0	314,103
PROGRAMMER/ANALYST MANAGER	11.0	1,034,916	9.0	858,602
PROGRAMMING SERVICES OFFICER	1.0	69,750	1.0	69,750
PROJECT MANAGER I (DOA)	3.0	287,786	2.0	202,863
RECORDS ANALYST	1.0	55,462	1.0	55,462
SENIOR COMPUTER OPERATOR (OIP)	3.0	164,411	3.0	165,381
SENIOR DRAFTPERSON	1.0	50,988	1.0	50,988
SENIOR JANITOR	1.0	44,500	1.0	44,500
SENIOR LEGAL COUNSEL	2.0	190,068	2.0	191,128
SENIOR SYSTEMS ANALYST	2.0	93,136	1.0	57,650
STATE BUILDING AND GROUNDS COORDINATOR	8.0	589,211	8.0	584,672
SUPERINTENDENT (R I TRAINING SCHOOL FOR YOUTH)	1.0	142,000	0.0	0
SUPERVISING ENVIRONMENTAL SCIENTIST	1.0	96,632	1.0	115,958

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
SUPERVISOR CENTRAL MAIL SERVICES	0.0	0	1.0	38,162
SUPERVISOR COMPUTER OPERATIONS	2.0	126,667	2.0	128,059
SUPERVISOR OF OFFICE SERVICES (DLT)	1.0	86,508	1.0	87,070
SUPERVISOR OF PAINTING PLASTERING MASONRY AND GLAZING	1.0	65,122	1.0	59,748
SUPERVISOR PERSONNEL SUPPORT SERVICES	1.0	75,607	1.0	75,850
SYSTEMS ADMINISTRATOR (MHRH)	3.0	298,710	4.0	382,579
SYSTEMS SUPPORT TECHNICIAN I	2.0	97,892	2.0	98,085
SYSTEMS SUPPORT TECHNICIAN II	11.0	549,752	10.0	502,487
SYSTEMS SUPPORT TECHNICIAN III	1.0	52,821	2.0	99,544
TECHNICAL SUPPORT MANAGER (UNIX/NETWORKS/ TELECOMMUNIC.)	6.0	586,608	6.0	589,471
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	2.0	162,735	2.0	163,294
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	2.0	163,307	2.0	167,587
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	0.0	0	2.0	151,517
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	17.0	1,449,432	18.0	1,563,265
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	3.0	256,372	3.0	281,777
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	24.0	1,883,676	24.0	1,958,889
TECHNICAL SUPPORT SPECIALIST I (TELECOMMUNICATIONS)	5.0	365,964	3.0	200,167
TECHNICAL SUPPORT SPECIALIST I (UNIX/ NETWORKS)	4.0	253,864	4.0	258,406
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR I	1.0	45,463	1.0	45,772
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR II	1.0	58,714	1.0	59,070
WEB DEVELOPMENT MANAGER	1.0	77,519	1.0	81,206
Subtotal Classified	420.3	31,243,956	412.0	30,644,414
Unclassified				
ADMINISTRATIVE ASSISTANT	0.0	0	2.0	113,800
AUTOMOTIVE MECHANIC	1.0	42,854	1.0	47,139
AUTOMOTIVE SERVICE SUPERVISOR	1.0	50,220	1.0	60,264
CARPENTER	4.0	172,858	4.0	182,963
CHIEF DIGITAL OFFICER	1.0	205,706	1.0	205,706
CHIEF INFORMATION OFFICER	1.0	146,026	1.0	146,026
CLEANER (PUBLIC BUILDINGS)	1.5	42,063	1.5	46,040

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
DEPUTY DIRECTOR	1.0	93,238	1.0	106,197
ELECTRICIAN	4.0	180,631	4.0	187,498
HUMAN RESOURCES ANALYST III (CLASS & ORGANIZ ANALYSIS)	1.0	75,467	1.0	78,363
LABORER SUPERVISOR	1.0	40,797	1.0	42,837
LICENSED STEAMFITTER	1.0	42,890	1.0	42,890
Overtime	0.0	0	3.0	807,904
PLUMBER	1.0	48,280	1.0	53,108
PLUMBER SUPERVISOR	1.0	55,681	2.0	125,425
SEMI-SKILLED LABORER	2.8	114,016	1.0	51,307
SENIOR GARDENER	1.0	45,752	1.0	45,752
SENIOR MAINTENANCE TECHNICIAN	13.0	567,893	14.0	638,136
SUPERVISING EMPLOYEE RELATIONS OFFICER	1.0	80,639	1.0	96,767
TASK FORCE AGENT/INSPECTOR	1.0	70,559	1.0	74,087
WASTEWATER TREATMENT FACILITIES OPERATOR I	1.0	36,941	1.0	36,941
ZFTE Reconciliation to Authorization	(60.0)	0	(61.0)	0
Subtotal Unclassified	(20.7)	2,112,511	(16.5)	3,189,149
Subtotal	399.5	33,356,467	395.5	33,833,563
Transfer Out		0		(1,338,720)
Transfer In		0		828,654
Overtime (1.5)		998,902		948,373
Seasonal/Special Salaries/Wages		91,926		315,589
Turnover		(151,188)		(997,488)
Total Salaries		32,922,202		33,589,976
Benefits				
FICA		2,881,076		2,941,989
Health Benefits		320,823,565		320,734,464
Holiday		82,587		80,842
Other		5,596,104		5,596,104
Payroll Accrual		187,955		183,421
Retiree Health		1,913,164		1,884,799
Retirement		8,322,130		8,925,364
Workers Compensation		30,515,000		30,515,000
Subtotal		370,321,581		370,861,983

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	399.5	403,243,783	395.5	404,451,959
Cost Per FTE Position (Excluding Temp. and Seasonal)		877,571		885,985
Statewide Benefit Assessment		1,512,949		1,336,837
Payroll Costs	399.5	404,756,732	395.5	405,788,796
Purchased Services				
Buildings and Ground Maintenance		911,501		912,660
Clerical and Temporary Services		548		537
Design and Engineering Services		377,482		387,062
Information Technology		1,011,100		1,256,748
Legal Services		34,458		34,458
Management & Consultant Services		3,560,402		3,677,402
Medical Services		3,000		3,000
Other Contracts		95,491		45,809
Training and Educational Services		116		770
Subtotal		5,994,098		6,318,446
Total Personnel	399.5	410,750,830	395.5	412,107,242
Distribution by Source of Funds				
Other Funds		410,750,830		412,107,242
Total All Funds		410,750,830		412,107,242

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch. To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner. To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner. To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request. To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services. To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact. To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Legal Services	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888
Total Expenditures	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888
Expenditures by Object					
Salary and benefits	1,754,721	1,720,422	2,001,624	1,758,821	2,091,311
Contract Professional Services	310,281	125,858	100,200	300,200	200,200
Operating supplies and Expenses	64,208	45,757	66,657	82,778	82,902
Subtotal: Operating	2,129,210	1,892,036	2,168,481	2,141,799	2,374,413
Capital Purchases And Equipment	2,473	1,856	2,475	2,475	2,475
Subtotal: Other	2,473	1,856	2,475	2,475	2,475
Total Expenditures	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888
Expenditures by Source of Funds					
General Revenue	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888
Total Expenditures	2,131,683	1,893,892	2,170,956	2,144,274	2,376,888

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	1.0	121,889	1.0	121,889
ADMINISTRATIVE OFFICER	1.0	52,877	0.0	0
ADMINISTRATOR ADJUDICATION	1.0	113,048	1.0	124,353
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	1.0	108,003	1.0	113,403
CHIEF IMPLEMENTATION AIDE	0.0	0	1.0	59,916
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	1.0	107,615	1.0	107,615
CHIEF OF LEGAL SERVICES	2.0	175,686	2.0	205,363
DEPUTY CHIEF OF LEGAL SERVICES	3.0	238,887	4.0	344,261
EXECUTIVE ASSISTANT	1.0	39,842	1.0	40,031
IMPLEMENTATION AIDE	1.0	58,161	1.0	68,339
LEGAL COUNSEL	2.6	206,704	0.6	57,507
LEGAL COUNSEL (MHRH)	1.0	105,492	1.0	105,492
SENIOR LEGAL COUNSEL	0.0	0	2.0	155,006
SENIOR WORD PROCESSING TYPIST	1.0	69,076	0.0	0
Subtotal Classified	16.6	1,397,280	16.6	1,503,174
Subtotal	16.6	1,397,280	16.6	1,503,174
Transfer Out		0		(31,521)
Turnover		(112,348)		(147,792)
Total Salaries		1,127,421		1,323,862
Benefits				
FICA		86,515		101,278
Health Benefits		125,570		200,588
Payroll Accrual		6,407		7,449
Retiree Health		67,419		56,508
Retirement		291,861		358,160
Subtotal		577,772		723,983
Total Salaries and Benefits	16.6	1,705,193	16.6	2,047,845
Cost Per FTE Position (Excluding Temp. and Seasonal)		102,722		123,364.16
Statewide Benefit Assessment		53,628		43,466
Payroll Costs	16.6	1,758,821	16.6	2,091,311
Purchased Services				
Clerical and Temporary Services		200		200
Legal Services		300,000		200,000
Subtotal		300,200		200,200

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Personnel	16.6	2,059,021	16.6	2,291,511
Distribution by Source of Funds				
General Revenue		2,059,021		2,291,511
Total All Funds		2,059,021		2,291,511

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Facilities Management

Mission

To protect and preserve the State of Rhode Island's facility assets. To provide a clean, safe, healthy and secure environment conducive to employee productivity.

Description

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the building at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other that buildings throughout the State. The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution. State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administraters the purchasing and disposal of state vehicles. The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management. R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles. R.I.G.L. 42-56.2 establishes the Federal Surplus Property program.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Facilities Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Facilities Centralization	27,596,924	0	0	0	0
Operations and Maintenance	8,272,282	0	0	0	0
Total Expenditures	35,869,206	0	0	0	0
Expenditures by Object					
Salary and benefits	10,286,892	0	0	0	0
Contract Professional Services	3,601,034	0	0	0	0
Operating supplies and Expenses	21,757,755	0	0	0	0
Assistance And Grants	580	0	0	0	0
Subtotal: Operating	35,646,261	0	0	0	0
Capital Purchases And Equipment	222,945	0	0	0	0
Subtotal: Other	222,945	0	0	0	0
Total Expenditures	35,869,206	0	0	0	0
Expenditures by Source of Funds					
General Revenue	30,301,593	0	0	0	0
Federal Funds	1,614,126	0	0	0	0
Restricted Receipts	628,151	0	0	0	0
Other Funds	3,325,336	0	0	0	0
Total Expenditures	35,869,206	0	0	0	0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Capital Projects and Property Management

Mission

To provide for quality construction and major renovation of State facilities; to assess the State's space needs for governmental activities, allocate space and develop a strategic plan for the use of capital assets; to facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces; and to assure fairness, transparency and good value in developing and securing property for the State's use through lease or purchase.

Description

The Division of Capital Projects and Property Management is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. The Division conducts feasibility studies, hires architects, engineers and other design professionals, retain services of building contractors through state's purchasing bidding process, and oversees projects from design conception through turnover of building construction/renovation to the end user agency. It also solicits, negotiates and administers real estate purchases and/or leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Capital Projects and Property Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Capital Projects	1,580,800	0	0	0	0
Total Expenditures	1,580,800	0	0	0	0
Expenditures by Object					
Salary and benefits	1,550,966	0	0	0	0
Contract Professional Services	2,900	0	0	0	0
Operating supplies and Expenses	25,902	0	0	0	0
Subtotal: Operating	1,579,768	0	0	0	0
Capital Purchases And Equipment	1,032	0	0	0	0
Subtotal: Other	1,032	0	0	0	0
Total Expenditures	1,580,800	0	0	0	0
Expenditures by Source of Funds					
General Revenue	1,580,800	0	0	0	0
Total Expenditures	1,580,800	0	0	0	0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

Mission

To enable State Government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens. The duties of ETSS are to:

- Manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. The office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications.
- Manage the office of digital excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices.
- To oversee the chief of library services and the office of library and information services to ensure that this office fulfills its statutory duties in an effective manner.
- Coordinate efforts with the director of administration in order to plan, allocate and implement projects supported by the information technology investment fund.
- Supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state. Any patents applied for shall be in the name of the state.

Description

The Division of Enterprise Technology Strategy and Service, which shall include the office of information technology, the office of digital excellence (ODE), and the office of library and information services (OLIS). Within ETSS, there shall be a chief digital officer in the unclassified service who shall oversee and manage the division and shall be appointed by the director of administration. Any prior reference in statute to the division of information technology shall now mean ETSS. The chief digital officer shall supervise the state's chief information officer, chief technology officer, chief information security officer, the directors of information technology and all associated employees. The focus of ETSS will be to lead the strategic technology decisions and efforts across all of the executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

April 29, 2004 Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. § 42-11-2.5 Information technology investment fund established. § 42-11-2.6 Establishment of Office of Digital Excellence. § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly. In the FY 2018 revised recommendation, the majority of the information technology program is shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Doit Operations	17,625,553	16,804,261	14,155,953	1,459,014	1,470,255
Doit Project Management	0	928,607	1,071,936	0	0
Enterprise Technology, Strategy & Service	0	0	337,692	0	0
Executive Director-CIO	335,673	689,445	3,262,130	0	0
Information Technology	6,994,700	9,620,376	11,566,832	10,165,230	10,343,243
IT Centralization	10,521,162	10,229,039	7,935,881	89,174	88,071
Networking And Security	0	0	3,948,295	0	0
Total Expenditures	35,477,088	38,271,729	42,278,719	11,713,418	11,901,569
Expenditures by Object					
Salary and benefits	23,199,963	24,223,775	25,848,981	403,392	406,244
Contract Professional Services	2,360,094	3,193,510	1,147,727	182,000	115,000
Operating supplies and Expenses	6,965,963	5,813,742	6,270,911	2,128,026	2,380,325
Subtotal: Operating	32,526,020	33,231,027	33,267,619	2,713,418	2,901,569
Capital Purchases And Equipment	2,951,068	5,040,702	9,011,100	9,000,000	9,000,000
Subtotal: Other	2,951,068	5,040,702	9,011,100	9,000,000	9,000,000
Total Expenditures	35,477,088	38,271,729	42,278,719	11,713,418	11,901,569
Expenditures by Source of Funds					
General Revenue	19,579,828	20,062,879	22,146,644	1,459,014	1,470,255
Federal Funds	6,703,883	6,254,375	6,655,755	182,000	115,000
Restricted Receipts	6,543,175	9,188,825	10,777,319	9,983,230	10,228,243
Other Funds	2,650,202	2,765,650	2,699,001	89,174	88,071
Total Expenditures	35,477,088	38,271,729	42,278,719	11,713,418	11,901,569

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
INTERDEPARTMENTAL PROJECT MANAGER	1.0	88,093	0.0	0
PRINCIPAL ECONOMIC AND POLICY ANALYST	0.0	0	1.0	90,239
Subtotal Classified	1.0	88,093	1.0	90,239
Subtotal	1.0	88,093	1.0	90,239
Transfer Out		0		0
Transfer In		172,105		163,393
Longevity Pay		0		(1,932)
Salaries Adjustment		0		731,405
Turnover		0		(729,473)
Total Salaries		248,571		253,632
Benefits				
FICA		16,500		17,465
Health Benefits		46,397		39,300
Payroll Accrual		1,423		1,456
Retiree Health		14,840		15,167
Retirement		63,853		67,557
Subtotal		143,013		140,945
Total Salaries and Benefits	1.0	391,584	1.0	394,577
Cost Per FTE Position (Excluding Temp. and Seasonal)		391,584		394,577
Statewide Benefit Assessment		11,808		11,667
Payroll Costs	1.0	403,392	1.0	406,244
Purchased Services				
Information Technology		182,000		115,000
Subtotal		182,000		115,000
Total Personnel	1.0	585,392	1.0	521,244
Distribution by Source of Funds				
Federal Funds		182,000		115,000
Restricted Receipts		403,392		406,244
Total All Funds		585,392		521,244

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery. Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board. Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities. Maintain and develop the Library of Rhode Island Network. Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act. Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service. Provide for statewide reference service through online services of "AskRI". Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

RIGL 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Library Services	2,286,807	2,336,188	2,642,845	2,770,642	2,652,768
Total Expenditures	2,286,807	2,336,188	2,642,845	2,770,642	2,652,768
Expenditures by Object					
Salary and benefits	1,282,600	1,318,993	1,576,995	1,655,131	1,627,417
Contract Professional Services	24,278	23,225	25,000	30,000	28,225
Operating supplies and Expenses	970,114	986,076	1,037,850	1,080,261	991,876
Subtotal: Operating	2,276,992	2,328,293	2,639,845	2,765,392	2,647,518
Capital Purchases And Equipment	9,815	7,895	3,000	5,250	5,250
Subtotal: Other	9,815	7,895	3,000	5,250	5,250
Total Expenditures	2,286,807	2,336,188	2,642,845	2,770,642	2,652,768
Expenditures by Source of Funds					
General Revenue	1,217,578	1,341,629	1,479,475	1,476,759	1,426,852
Federal Funds	1,069,279	994,559	1,157,870	1,288,383	1,220,416
Restricted Receipts	(50)	0	5,500	5,500	5,500
Total Expenditures	2,286,807	2,336,188	2,642,845	2,770,642	2,652,768

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATIVE OFFICER	1.0	47,228	1.0	47,228
CHIEF OF LIBRARY SERVICES	1.0	123,607	1.0	135,968
INFORMATION SERVICES TECHNICIAN II	3.0	146,971	3.0	160,352
LIBRARY PROGRAM MANAGER I	2.0	174,312	2.0	182,503
LIBRARY PROGRAM SPECIALIST II	3.0	193,686	3.0	214,362
LIBRARY PROGRAM SPECIALIST III	3.0	246,666	3.0	260,359
Subtotal Classified	13.0	932,470	13.0	1,000,772
Subtotal	13.0	932,470	13.0	1,000,772
Seasonal/Special Salaries/Wages		0		2,300
Turnover		76,615		0
Total Salaries		1,045,823		1,003,074
Benefits				
FICA		68,152		76,499
Health Benefits		206,440		172,572
Payroll Accrual		5,161		5,716
Retiree Health		53,287		55,245
Retirement		233,871		271,819
Subtotal		566,911		581,851
Total Salaries and Benefits	13.0	1,612,734	13.0	1,584,925
Cost Per FTE Position (Excluding Temp. and Seasonal)		124,056		121,917.31
Statewide Benefit Assessment		42,397		42,492
Payroll Costs	13.0	1,655,131	13.0	1,627,417
Purchased Services				
Training and Educational Services		30,000		28,225
Subtotal		30,000		28,225
Total Personnel	13.0	1,685,131	13.0	1,655,642
Distribution by Source of Funds				
General Revenue		886,277		880,173
Federal Funds		798,854		775,469
Total All Funds		1,685,131		1,655,642

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Planning

Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board. Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding. Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration. The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The FY 2018 revised recommendation shifts the Water Resource Board to the Division of Public Utilities and Carriers.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Planning

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Housing and Comm. Development	(3)	0	0	15,291	15,448
Statewide Planning	3,521,952	3,070,692	5,502,111	5,012,185	5,240,378
Total Expenditures	3,521,949	3,070,692	5,502,111	5,027,476	5,255,826
Expenditures by Object					
Salary and benefits	2,376,402	2,286,060	2,974,195	2,454,374	2,681,695
Contract Professional Services	267,711	68,125	259,000	204,000	204,000
Operating supplies and Expenses	126,073	91,312	103,663	208,049	209,078
Assistance And Grants	730,383	612,351	2,154,200	2,150,000	2,150,000
Subtotal: Operating	3,500,569	3,057,848	5,491,058	5,016,423	5,244,773
Capital Purchases And Equipment	21,380	12,845	11,053	11,053	11,053
Subtotal: Other	21,380	12,845	11,053	11,053	11,053
Total Expenditures	3,521,949	3,070,692	5,502,111	5,027,476	5,255,826
Expenditures by Source of Funds					
General Revenue	1,195,042	955,405	1,271,483	465,371	498,353
Federal Funds	585,147	24,310	1,000	15,291	15,448
Operating Transfers from Other Funds	1,741,760	2,090,977	4,229,628	4,546,814	4,742,025
Total Expenditures	3,521,949	3,070,692	5,502,111	5,027,476	5,255,826

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Planning

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT CHIEF OF PLANNING	2.0	163,768	2.0	167,588
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	1.0	117,128	1.0	117,128
CHIEF OFFICE OF SYSTEMS PLANNING	1.0	90,239	1.0	90,239
EXECUTIVE ASSISTANT	1.0	50,930	1.0	51,138
FISCAL MANAGEMENT OFFICER	1.0	63,303	1.0	63,303
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	1.0	67,621	1.0	67,620
INFORMATION SERVICES TECHNICIAN II	1.0	55,494	1.0	55,614
PRINCIPAL PLANNER	8.0	515,333	8.0	545,261
PRINCIPAL RESEARCH TECHNICIAN	1.0	59,709	1.0	59,709
SENIOR PLANNER	2.0	119,412	2.0	119,412
SUPERVISING PLANNER	4.0	308,997	4.0	309,267
Subtotal Classified	23.0	1,611,934	23.0	1,646,280
Subtotal	23.0	1,611,934	23.0	1,646,280
Transfer Out		0		0
Transfer In		13,206		12,802
Turnover		0		(63,146)
Total Salaries		1,474,578		1,595,943
Benefits				
FICA		133,999		122,112
Health Benefits		289,704		355,504
Payroll Accrual		8,649		9,151
Retiree Health		88,032		91,475
Retirement		389,371		434,097
Subtotal		909,755		1,012,339
Total Salaries and Benefits	23.0	2,384,333	23.0	2,608,282
Cost Per FTE Position (Excluding Temp. and Seasonal)		103,667		113,403.57
Statewide Benefit Assessment		70,041		73,413
Payroll Costs	23.0	2,454,374	23.0	2,681,695
Purchased Services				
Management & Consultant Services		204,000		204,000
Subtotal		204,000		204,000
Total Personnel	23.0	2,658,374	23.0	2,885,695

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Planning

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		328,696		360,806
Operating Transfers from Other Funds		2,329,678		2,524,889
Total All Funds		2,658,374		2,885,695

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2019 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Reduction in Force Savings	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Total Expenditures	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Expenditures by Object					
Salary and benefits	0	0	(23,700,000)	0	0
Contract Professional Services	0	0	(400,000)	0	(350,000)
Operating supplies and Expenses	0	0	(5,980,124)	(8,750,000)	(13,350,000)
Subtotal: Operating	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Total Expenditures	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Expenditures by Source of Funds					
General Revenue	0	0	(30,080,124)	(8,750,000)	(13,700,000)
Total Expenditures	0	0	(30,080,124)	(8,750,000)	(13,700,000)

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Management & Consultant Services		0		(350,000)
Subtotal		0		(350,000)
Total Personnel	0.0	0	0.0	(350,000)
Distribution by Source of Funds				
General Revenue		0		(350,000)
Total All Funds		0		(350,000)

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals. To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation. To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data. To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission. To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner. To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. . The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups..

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Energy Resources	16,613,909	9,078,261	12,133,823	10,554,566	8,704,012
Total Expenditures	16,613,909	9,078,261	12,133,823	10,554,566	8,704,012
Expenditures by Object					
Salary and benefits	1,523,298	1,479,178	1,673,181	1,620,842	1,554,539
Contract Professional Services	34,876	173,728	415,008	433,017	386,712
Operating supplies and Expenses	309,339	6,702,649	126,867	8,179,966	6,618,856
Assistance And Grants	14,525,962	201,585	9,913,767	317,593	138,905
Subtotal: Operating	16,393,475	8,557,139	12,128,823	10,551,418	8,699,012
Capital Purchases And Equipment	220,434	521,122	5,000	3,148	5,000
Subtotal: Other	220,434	521,122	5,000	3,148	5,000
Total Expenditures	16,613,909	9,078,261	12,133,823	10,554,566	8,704,012
Expenditures by Source of Funds					
Federal Funds	406,217	626,203	723,171	765,705	524,820
Restricted Receipts	16,207,692	8,452,059	11,410,652	9,788,861	8,179,192
Total Expenditures	16,613,909	9,078,261	12,133,823	10,554,566	8,704,012

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.0	102,506	1.0	102,506
ADMINISTRATOR OF ENERGY PROGRAMS	0.0	0	1.0	81,682
CHIEF IMPLEMENTATION AIDE	1.0	57,006	1.0	59,738
CHIEF PROGRAM DEVELOPMENT	6.0	461,454	3.0	234,915
DEPUTY COMMISSIONER- OFFICE OF ENERGY RESOURCES	1.0	121,255	1.0	123,753
INTERDEPARTMENTAL PROJECT MANAGER	1.0	111,283	2.0	198,177
PROGRAMMING SERVICES OFFICER	1.0	69,750	2.0	139,500
Subtotal Classified	11.0	923,254	11.0	940,271
Unclassified				
COMMISSIONER OF ENERGY RESOURCES	1.0	119,983	1.0	122,186
Subtotal Unclassified	1.0	119,983	1.0	122,186
Subtotal	12.0	1,043,237	12.0	1,062,457
Transfer Out		0		(111,167)
Transfer In		0		31,521
Seasonal/Special Salaries/Wages		8,190		(17,635)
Total Salaries		1,033,271		965,175
Benefits				
FICA		78,533		75,916
Health Benefits		121,960		135,907
Payroll Accrual		5,960		5,682
Retiree Health		63,665		58,772
Retirement		268,375		267,672
Subtotal		538,493		543,949
Total Salaries and Benefits	12.0	1,571,764	12.0	1,509,124
Cost Per FTE Position (Excluding Temp. and Seasonal)		130,980		125,760.33
Statewide Benefit Assessment		49,078		45,415
Payroll Costs	12.0	1,620,842	12.0	1,554,539
Purchased Services				
Clerical and Temporary Services		77,981		77,980
Management & Consultant Services		125,000		20,000
Other Contracts		216,232		288,732
Training and Educational Services		13,804		0
Subtotal		433,017		386,712

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Personnel	12.0	2,053,859	12.0	1,941,251
Distribution by Source of Funds				
Federal Funds		401,928		323,231
Restricted Receipts		1,651,931		1,618,020
Total All Funds		2,053,859		1,941,251

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

Mission

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

Description

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people. Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted. Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

Statutory History

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes. RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5-65.2 governs the well drillers. RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review. The Governor proposes that the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	2,630,687	2,913,525	2,978,845	3,718,340	0
Total Expenditures	2,630,687	2,913,525	2,978,845	3,718,340	0
Expenditures by Object					
Salary and benefits	2,511,973	2,694,571	2,695,632	2,822,470	0
Contract Professional Services	2,422	7,931	4,600	4,600	0
Operating supplies and Expenses	102,399	198,392	258,613	871,270	0
Subtotal: Operating	2,616,794	2,900,893	2,958,845	3,698,340	0
Capital Purchases And Equipment	13,893	12,631	20,000	20,000	0
Subtotal: Other	13,893	12,631	20,000	20,000	0
Total Expenditures	2,630,687	2,913,525	2,978,845	3,718,340	0
Expenditures by Source of Funds					
General Revenue	1,543,702	1,696,350	1,790,975	2,087,097	0
Restricted Receipts	1,086,985	1,217,175	1,187,870	1,631,243	0
Total Expenditures	2,630,687	2,913,525	2,978,845	3,718,340	0

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ARCHITECT BUILDING COMMISSION	1.0	83,713	0.0	0
CHIEF OF INSPECTIONS	4.0	326,102	0.0	0
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/ MECH INSP)	1.0	81,401	0.0	0
ENFORCEMENT AIDE	2.0	95,539	0.0	0
EXECUTIVE DIRECTOR CONTRACTORS' REGISTRATION BOARD	1.0	105,648	0.0	0
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	1.0	102,963	0.0	0
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	1.0	92,529	0.0	0
IMPLEMENTATION AIDE	3.0	171,066	0.0	0
LICENSING AIDE	1.0	47,095	0.0	0
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	1.0	61,473	0.0	0
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	1.0	62,409	0.0	0
PROGRAMMING SERVICES OFFICER	1.0	69,750	0.0	0
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	2.0	122,946	0.0	0
STATE BUILDING CODE COMMISSIONER	1.0	107,615	0.0	0
Subtotal Classified	21.0	1,530,249	0.0	0
Unclassified				
ADMINISTRATIVE AIDE	1.0	40,358	0.0	0
ASSISTANT ADMINISTRATIVE OFFICER	1.0	51,850	0.0	0
Subtotal Unclassified	2.0	92,208	0.0	0
Subtotal	23.0	1,622,457	0.0	0
Total Salaries		1,719,980		0
Benefits				
FICA		131,576		0
Health Benefits		319,426		0
Payroll Accrual		9,952		0
Retiree Health		102,683		0
Retirement		457,154		0
Subtotal		1,020,791		0
Total Salaries and Benefits	23.0	2,740,771	0.0	0
Cost Per FTE Position (Excluding Temp. and Seasonal)		119,164		

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		81,699		0
Payroll Costs	23.0	2,822,470	0.0	0
Purchased Services				
Clerical and Temporary Services		4,600		0
Subtotal		4,600		0
Total Personnel	23.0	2,827,070	0.0	0
Distribution by Source of Funds				
General Revenue		1,796,368		0
Restricted Receipts		1,030,702		0
Total All Funds		2,827,070		0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

Mission

The Rhode Island Health Benefits Exchange, branded HealthSource RI, serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by the HSRI to best exemplify the goals and values of the organization:1. HSRI will be a place to compare and buy health insurance.2.HSRI will work closely with small employers to provide new and beneficial health insurance options.3.HSRI will be a reliable and trusted source of healthcare information for all constituents.

Statutory History

The Patient Protection and Affordable Care Act (Pub. Law 111-148) (the “ACA”) provides for the establishment of a Health Benefits Exchange by each State. The Rhode Island Health Benefits Exchange was established on September 19, 2011 by Executive Order 11-09.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	22,973,672	11,836,188	9,568,822	12,924,000	8,256,143
Total Expenditures	22,973,672	11,836,188	9,568,822	12,924,000	8,256,143
Expenditures by Object					
Salary and benefits	1,637,692	1,786,883	2,199,551	1,866,459	1,209,316
Contract Professional Services	20,840,857	9,765,379	6,962,561	10,499,522	6,468,212
Operating supplies and Expenses	476,130	265,003	398,260	538,019	558,615
Subtotal: Operating	22,954,679	11,817,264	9,560,372	12,904,000	8,236,143
Capital Purchases And Equipment	18,993	18,924	8,450	20,000	20,000
Subtotal: Other	18,993	18,924	8,450	20,000	20,000
Total Expenditures	22,973,672	11,836,188	9,568,822	12,924,000	8,256,143
Expenditures by Source of Funds					
General Revenue	2,625,838	2,625,841	2,625,841	2,625,841	2,363,841
Federal Funds	17,574,312	3,725,884	135,136	4,258,665	138,089
Restricted Receipts	2,773,522	5,484,463	6,807,845	6,039,494	5,754,213
Total Expenditures	22,973,672	11,836,188	9,568,822	12,924,000	8,256,143

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	3.0	226,376	3.0	233,163
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	2.0	220,245	1.0	112,370
CHIEF PUBLIC AFFAIRS OFFICER	1.0	80,202	1.0	83,390
INTERDEPARTMENTAL PROJECT MANAGER	1.0	84,804	1.0	86,417
LEGAL COUNSEL	2.0	139,837	1.0	78,221
PROJECT MANAGER I (DOA)	0.0	0	1.0	81,200
SENIOR ECONOMIC AND POLICY ANALYST	1.0	75,324	0.0	0
SENIOR LEGAL COUNSEL	0.0	0	1.0	73,756
VALUE BASED PURCHASING ANALYST	1.0	87,600	1.0	89,557
Subtotal Classified	11.0	914,388	10.0	838,074
Unclassified				
ADMINISTRATIVE ASSISTANT	1.0	54,757	1.0	56,694
CHIEF STRATEGIC PLANNING MONITORING & EVALUATOR (GOV OFFICE)	0.0	0	1.0	112,370
DEPUTY DIRECTOR OF HEALTHSOURCE RI	1.0	122,186	1.0	126,951
DIRECTOR HEALTHSOURCE RI	1.0	154,647	1.0	159,413
DIRECTOR OF FINANCE/ASSOCIATE ADMINISTRATOR	1.0	112,643	1.0	116,022
SENIOR POLICY ANALYST	1.0	98,340	1.0	103,109
Subtotal Unclassified	5.0	542,573	6.0	674,559
Subtotal	16.0	1,456,961	16.0	1,512,633
Transfer Out		0		(470,578)
Transfer In		0		17,046
Seasonal/Special Salaries/Wages		0		(1,550)
Turnover		(309,544)		(312,710)
Total Salaries		1,168,957		744,841
Benefits				
FICA		87,327		55,407
Health Benefits		170,261		112,356
Payroll Accrual		6,756		4,288
Retiree Health		69,789		44,635
Retirement		307,842		205,311
Subtotal		641,975		421,997

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	16.0	1,810,932	16.0	1,166,838
Cost Per FTE Position (Excluding Temp. and Seasonal)		113,183		72,927.38
Statewide Benefit Assessment		55,527		42,478
Payroll Costs	16.0	1,866,459	16.0	1,209,316
Purchased Services				
Information Technology		0		231,688
Management & Consultant Services		10,083,992		5,820,994
Other Contracts		415,530		415,530
Subtotal		10,499,522		6,468,212
Total Personnel	16.0	12,365,981	16.0	7,677,528
Distribution by Source of Funds				
General Revenue		2,625,841		2,363,841
Federal Funds		4,258,665		138,089
Restricted Receipts		5,481,475		5,175,598
Total All Funds		12,365,981		7,677,528

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island. The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities. The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services. The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals. The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	914,512	954,214	1,368,873	1,171,920	1,366,892
Total Expenditures	914,512	954,214	1,368,873	1,171,920	1,366,892
Expenditures by Object					
Salary and benefits	849,964	854,523	1,229,638	974,881	1,189,582
Contract Professional Services	6,186	1,863	16,800	16,800	16,800
Operating supplies and Expenses	52,537	93,981	122,435	180,239	160,510
Subtotal: Operating	908,687	950,367	1,368,873	1,171,920	1,366,892
Capital Purchases And Equipment	5,825	3,848	0	0	0
Subtotal: Other	5,825	3,848	0	0	0
Total Expenditures	914,512	954,214	1,368,873	1,171,920	1,366,892
Expenditures by Source of Funds					
General Revenue	830,850	945,751	1,282,250	1,086,855	1,253,362
Other Funds	83,662	8,463	86,623	85,065	113,530
Total Expenditures	914,512	954,214	1,368,873	1,171,920	1,366,892

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMS	1.0	112,187	1.0	85,382
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	1.0	76,846	1.0	83,961
ASSOCIATE DIRECTOR- DIVERSITY- EQUITY AND OPPORTUNITY	1.0	129,606	1.0	129,700
CHIEF PROGRAM DEVELOPMENT	2.0	155,975	2.0	164,441
IMPLEMENTATION AIDE	1.0	44,560	1.0	48,081
PROGRAMMING SERVICES OFFICER	2.0	145,460	3.0	231,498
SENIOR EXTERNAL EQUAL OPPORTUNITY COMPLIANCE OFFICER	1.0	69,750	0.0	0
Subtotal Classified	9.0	734,384	9.0	743,062
Subtotal	9.0	734,384	9.0	743,062
Seasonal/Special Salaries/Wages		24,960		0
Turnover		(81,569)		(31,322)
Total Salaries		611,503		711,740
Benefits				
FICA		45,138		54,447
Health Benefits		105,504		150,510
Payroll Accrual		3,380		4,095
Retiree Health		35,075		44,436
Retirement		149,788		191,618
Subtotal		338,885		445,106
Total Salaries and Benefits	9.0	950,388	9.0	1,156,846
Cost Per FTE Position (Excluding Temp. and Seasonal)		105,599		128,538.44
Statewide Benefit Assessment		24,493		32,736
Payroll Costs	9.0	974,881	9.0	1,189,582
Purchased Services				
Management & Consultant Services		10,300		10,300
Training and Educational Services		6,500		6,500
Subtotal		16,800		16,800
Total Personnel	9.0	991,681	9.0	1,206,382
Distribution by Source of Funds				
General Revenue		906,616		1,092,852
Other Funds		85,065		113,530
Total All Funds		991,681		1,206,382

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served. The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

Description

The new Division of Capital Asset Management & Maintenance will oversee: Planning/Design/Construction; Facilities Management & Maintenance; Risk Management; Fire Safety Code Board of Appeals; State Building Commission; and Contractor Registration and Licensing. The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately. The new model creates an Office of Planning, Design and Construction (PDC)--previously referred to as Capital projects--that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies. The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio
- Contracting: Extension, cancellation or execution of new/existing vendor contracts
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed
- Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, et al.

Statutory History

In the FY 2018 revised budget, facilities management shifted to the internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Capital Asset Management And Maintenance Admin	0	966,872	388,416	604,179	565,635
Facilities Management And Maintenance	0	33,968,360	37,728,535	7,811,902	8,410,043
Planning, Design And Construction	0	1,066,341	1,891,162	1,385,700	828,796
Total Expenditures	0	36,001,573	40,008,113	9,801,781	9,804,474
Expenditures by Object					
Salary and benefits	0	12,578,417	13,720,119	1,687,487	1,214,379
Contract Professional Services	0	3,450,562	3,813,253	16,500	6,500
Operating supplies and Expenses	0	19,892,618	22,439,871	8,087,794	8,573,595
Subtotal: Operating	0	35,921,597	39,973,243	9,791,781	9,794,474
Capital Purchases And Equipment	0	79,976	34,870	10,000	10,000
Subtotal: Other	0	79,976	34,870	10,000	10,000
Total Expenditures	0	36,001,573	40,008,113	9,801,781	9,804,474
Expenditures by Source of Funds					
General Revenue	0	29,899,094	33,868,627	9,801,781	9,804,474
Federal Funds	0	1,504,385	1,603,917	0	0
Restricted Receipts	0	1,035,474	660,725	0	0
Other Funds	0	3,562,621	3,874,844	0	0
Total Expenditures	0	36,001,573	40,008,113	9,801,781	9,804,474

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ARCHITECT BUILDING COMMISSION	2.0	162,375	2.0	163,440
ASSISTANT DIRECTOR, STATEWIDE CAPITAL ASSET PROJECT MANAGEME	0.0	0	1.0	155,192
CHIEF OF PLANNING- CAPITAL ASSET MANAGEMENT & MAINTENANCE	1.0	111,821	1.0	112,370
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	1.0	102,860	1.0	109,974
CHIEF PROPERTY MANAGEMENT	1.0	102,860	1.0	102,860
DIVISION DIRECTOR- CAPITAL ASSET MANAGEMENT AND MAINT	1.0	152,997	1.0	155,192
PROGRAMMING SERVICES OFFICER	1.0	72,784	1.0	66,167
PROJECT MANAGER I (DOA)	4.0	326,774	4.0	321,577
PROJECT MANAGER II (DOA)	3.0	285,347	3.0	294,723
Subtotal Classified	14.0	1,317,818	15.0	1,481,496
Unclassified				
ASSISTANT DIRECTOR, STATEWIDE CAPITAL ASSET PROJECT MANAGEME	1.0	155,192	0.0	0
Subtotal Unclassified	1.0	155,192	0.0	0
Subtotal	15.0	1,473,010	15.0	1,481,496
Transfer Out		0		(155,192)
Transfer In		354,709		0
Salaries Adjustment		0		(579,695)
Overtime (1.5)		36,000		36,000
Seasonal/Special Salaries/Wages		0		29,769
Total Salaries		1,096,678		812,378
Benefits				
FICA		81,291		54,320
Health Benefits		96,659		88,950
Payroll Accrual		6,192		3,955
Retiree Health		66,991		41,795
Retirement		280,740		178,732
Subtotal		531,873		367,752
Total Salaries and Benefits	15.0	1,628,551	15.0	1,180,130
Cost Per FTE Position (Excluding Temp. and Seasonal)		108,570		78,675.33
Statewide Benefit Assessment		58,936		34,249
Payroll Costs	15.0	1,687,487	15.0	1,214,379

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Design and Engineering Services		16,500		6,500
Subtotal		16,500		6,500
Total Personnel	15.0	1,703,987	15.0	1,220,879
Distribution by Source of Funds				
General Revenue		1,703,987		1,220,879
Total All Funds		1,703,987		1,220,879

Agency Summary

DEPARTMENT OF BUSINESS REGULATION

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Design Professionals, Commercial Licensing and Gaming and Athletics Licensing, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, Chief of Intoxicating Beverages, and State Boxing Commissioner. The Department also houses other commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, the Certified Constables' Board, and the Racing and Athletics Hearing Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

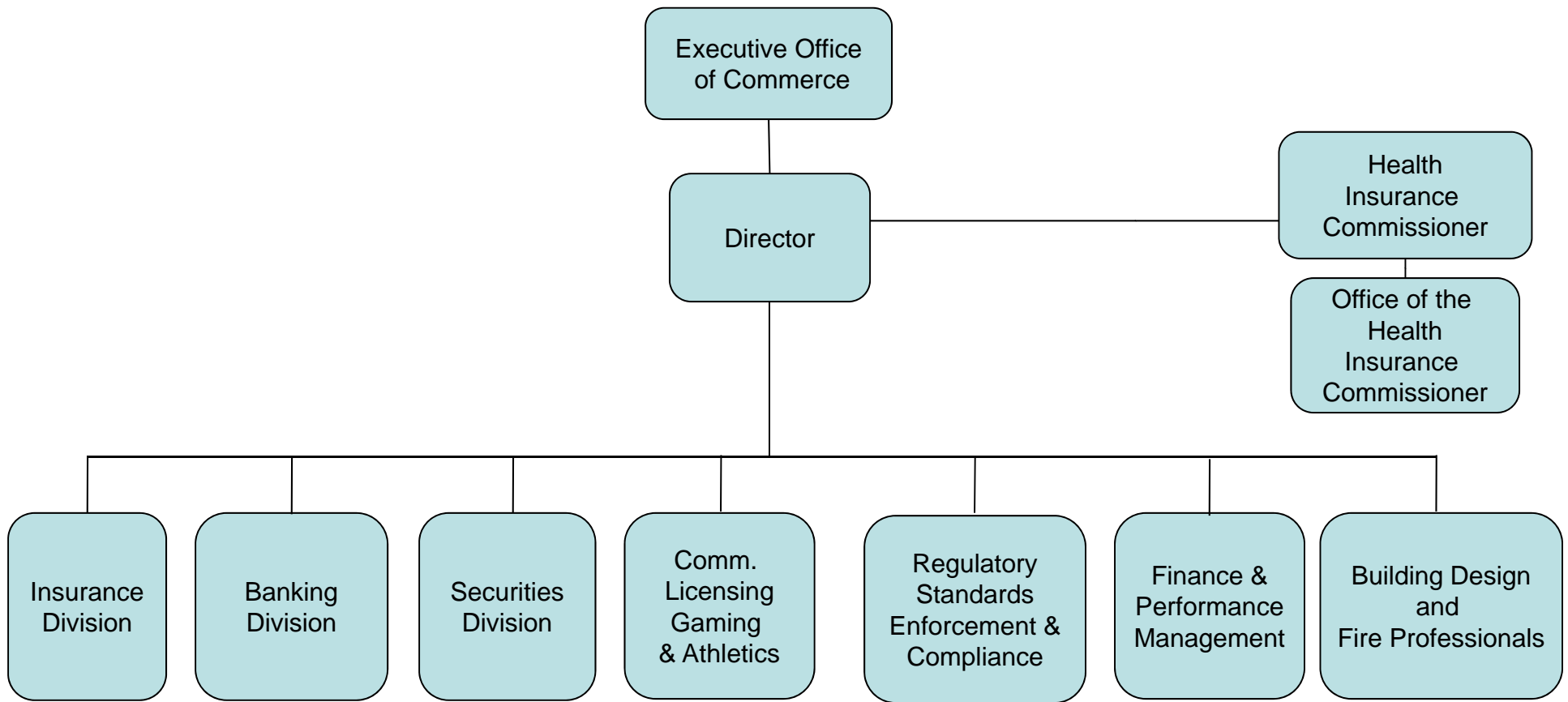
Budget

DEPARTMENT OF BUSINESS REGULATION

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227
Banking Regulation	1,487,762	1,547,987	1,793,062	1,653,508	1,895,725
Securities Regulation	856,076	897,138	989,364	967,691	1,007,821
Insurance Regulation	4,414,197	4,896,926	5,751,931	5,780,270	5,866,969
Board of Accountancy	5,782	6,046	6,000	6,000	6,000
Commercial Licensing and Gaming and Athletics Licensing	1,237,470	2,097,854	2,671,652	2,648,523	3,298,252
Boards for Design Professionals	265,343	355,606	0	0	0
Office of Health Insurance Commissioner	3,551,977	3,074,848	2,735,299	2,744,876	2,386,602
Division of Building, Design and Fire Professionals	0	0	362,455	323,703	7,855,695
Total Expenditures	13,204,877	14,129,016	15,606,183	16,214,659	24,530,291
Expenditures by Object					
Salary And Benefits	9,951,045	10,738,007	12,257,188	11,742,877	18,241,771
Contract Professional Services	2,661,505	2,640,898	2,226,213	2,444,494	2,516,682
Operating Supplies And Expenses	512,560	635,143	1,004,044	1,908,550	3,619,872
Assistance And Grants	0	0	80,000	80,000	80,000
Subtotal: Operating	13,125,110	14,014,048	15,567,445	16,175,921	24,458,325
Capital Purchases And Equipment	79,767	114,967	38,738	38,738	71,966
Subtotal: Other	79,767	114,967	38,738	38,738	71,966
Total Expenditures	13,204,877	14,129,016	15,606,183	16,214,659	24,530,291
Expenditures by Source of Funds					
General Revenue	8,174,920	9,864,377	10,452,638	10,958,638	17,166,351
Federal Funds	3,073,024	1,645,328	892,213	1,049,269	892,631
Restricted Receipts	1,956,933	2,619,311	4,261,332	4,206,752	6,404,812
Operating Transfers From Other Funds	0	0	0	0	66,497
Total Expenditures	13,204,877	14,129,016	15,606,183	16,214,659	24,530,291
FTE Authorization	98.0	100.0	101.0	106.0	170.0

The Agency

Department of Business Regulation



Personnel Agency Summary

DEPARTMENT OF BUSINESS REGULATION

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	86.0	5,945,489	150.0	9,438,599
Unclassified	20.0	1,694,932	20.0	1,653,713
Subtotal	106.0	7,640,421	170.0	11,092,312
Transfer Out		0		0
Transfer In		0		0
Regular Wages		0		389,189
Salaries Adjustment		0		(77,428)
Overtime (1.5)		0		140,360
Seasonal/Special Salaries/Wages		14,469		(377,698)
Turnover		(527,123)		(214,040)
Total Salaries		7,127,767		10,952,692
Benefits				
Contract Stipends		0		8,400
FICA		563,072		846,066
Health Benefits		1,305,413		2,094,075
Payroll Accrual		42,583		62,667
Retiree Health		445,514		656,630
Retirement		1,939,187		3,115,957
Subtotal		4,295,769		6,783,795
Total Salaries and Benefits	106.0	11,423,536	170.0	17,736,487
Cost Per FTE Position (Excluding Temporary and Seasonal)		107,769		104,332
Statewide Benefit Assessment		319,341		505,284
Payroll Costs	106.0	11,742,877	170.0	18,241,771
Purchased Services				
Buildings and Ground Maintenance		0		1,185
Clerical and Temporary Services		5,208		5,208
Design and Engineering Services		0		4,200
Management & Consultant Services		2,397,488		2,280,923
Medical Services		0		12,439
Other Contracts		31,798		31,798
Training and Educational Services		10,000		180,929
Subtotal		2,444,494		2,516,682
Total Personnel	106.0	14,187,371	170.0	20,758,453

Personnel Agency Summary

DEPARTMENT OF BUSINESS REGULATION

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		9,772,084		15,109,122
Federal Funds		1,042,367		589,903
Restricted Receipts		3,372,920		4,992,931
Operating Transfers from Other Funds		0		66,497
Total All Funds		14,187,371		20,758,453

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Notes: The 2015 actual has been updated to reflect more accurate data. Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	49.00	30.00	30.00	40.00	40.00
Actual	49.00	57.00	52.00	0.00	

Office of the Health Insurance Commissioner -- Small Group Premium Base Rates

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	4.30%	4.50%	4.00%	4.00%	4.00%
Actual	4.30%	0.50%	8.50%	0.00%	

Timeliness of Design Professionals License Issuance

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	125.00	75.00	75.00	60.00	60.00
Actual	125.00	94.00	73.00	0.00	

OHIC -- Individual Market Premium Base Rates

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	7.40%	5.00%	4.50%	4.50%	4.50%
Actual	7.40%	-2.50%	6.50%	0.00%	

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	68.00%	73.00%	73.00%	73.00%	73.00%
Actual	68.00%	61.70%	56.20%	0.00%	

Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Notes: The 2015 actual has been updated to reflect more accurate data. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	89.30	95.00	95.00	95.00	95.00
Actual	89.30	88.00	88.20	0.00	

Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	90.00	90.00	90.00	90.00
Actual	0.00	80.10	81.60	0.00	

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Notes: The 2015 actual has been updated to reflect more accurate data. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	10.00	10.00	8.00	8.00	8.00
Actual	10.00	5.00	7.00	0.00	

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market. [Note: Missing values appear as zeros in the measure.]

	<i>Frequency: Quarterly</i>		<i>Reporting Period: State Fiscal Year</i>		
	2015	2016	2017	2018	2019
Target	17.90%	21.50%	20.50%	20.50%	20.50%
Actual	17.90%	16.70%	17.60%	0.00%	

OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Missing values appear as zeros in the measure.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2015	2016	2017	2018	2019
Target	26.10%	30.00%	40.00%	50.00%	50.00%
Actual	26.10%	31.00%	45.00%	0.00%	

OHIC -- Non-Fee-for-Service Payments

The figures below represent the use of strictly non-fee-for-service payments as percent of insured medical spend. [Note: Missing values appear as zeros in the measure.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2015	2016	2017	2018	2019
Target	1.40%	3.00%	6.00%	10.00%	10.00%
Actual	1.40%	2.00%	3.00%	0.00%	

OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend. [Note: Missing values appear as zeros in the measure.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2015	2016	2017	2018	2019
Target	5.30%	5.00%	4.50%	4.00%	4.00%
Actual	5.30%	4.90%	9.70%	0.00%	

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227
Total Expenditures	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227
Expenditures by Object					
Salary and benefits	1,176,765	1,064,471	1,121,093	1,059,363	1,164,878
Contract Professional Services	4,846	21,996	30,758	30,758	30,758
Operating supplies and Expenses	159,586	154,156	142,532	997,930	1,015,554
Subtotal: Operating	1,341,197	1,240,623	1,294,383	2,088,051	2,211,190
Capital Purchases And Equipment	45,073	11,988	2,037	2,037	2,037
Subtotal: Other	45,073	11,988	2,037	2,037	2,037
Total Expenditures	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227
Expenditures by Source of Funds					
General Revenue	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227
Total Expenditures	1,386,270	1,252,611	1,296,420	2,090,088	2,213,227

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0.0	0	1.0	108,003
CHIEF OF LEGAL SERVICES	0.8	72,344	1.0	93,347
DEPARTMENT BUDGET ADMINISTRATOR	2.8	252,829	0.0	0
DEPUTY CHIEF OF LEGAL SERVICES	0.0	0	1.0	87,239
LEGAL ASSISTANT	1.0	72,473	0.0	0
LEGAL COUNSEL	0.0	0	1.0	72,473
SENIOR LEGAL COUNSEL	0.0	0	1.0	78,305
SYSTEMS ANALYST	1.0	64,063	1.0	64,186
Subtotal Classified	5.6	461,709	6.0	503,553
Unclassified				
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	0.9	114,750	1.0	135,000
EXECUTIVE SECRETARY	1.0	43,133	1.0	44,191
PROGRAM MANAGER	0.0	0	1.0	79,874
PROJECT COORDINATOR	1.0	82,398	0.0	0
Subtotal Unclassified	2.9	240,281	3.0	259,065
Subtotal	8.5	701,990	9.0	762,618
Transfer Out		0		(60,882)
Regular Wages		0		30,138
Seasonal/Special Salaries/Wages		0		(27,737)
Turnover		(84,964)		0
Total Salaries		617,026		704,137
Benefits				
FICA		52,991		53,313
Health Benefits		132,552		137,993
Payroll Accrual		4,007		4,042
Retiree Health		41,979		42,108
Retirement		181,323		190,894
Subtotal		412,852		428,350
Total Salaries and Benefits	8.5	1,029,878	9.0	1,132,487
Cost Per FTE Position (Excluding Temp. and Seasonal)		121,162		125,831.89
Statewide Benefit Assessment		29,485		32,391
Payroll Costs	8.5	1,059,363	9.0	1,164,878
Purchased Services				

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Other Contracts		30,758		30,758
Subtotal		30,758		30,758
Total Personnel	8.5	1,090,121	9.0	1,195,636
Distribution by Source of Funds				
General Revenue		1,090,121		1,195,636
Total All Funds		1,090,121		1,195,636

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators. R.I. Gen. Laws § 19-14.11 relates to the licensing of third party loan servicers.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	1,487,762	1,547,987	1,793,062	1,653,508	1,895,725
Total Expenditures	1,487,762	1,547,987	1,793,062	1,653,508	1,895,725
Expenditures by Object					
Salary and benefits	1,433,943	1,457,445	1,724,856	1,560,302	1,802,519
Contract Professional Services	13,650	2,548	1,360	1,360	1,360
Operating supplies and Expenses	36,745	80,082	61,846	86,846	86,846
Subtotal: Operating	1,484,338	1,540,075	1,788,062	1,648,508	1,890,725
Capital Purchases And Equipment	3,424	7,911	5,000	5,000	5,000
Subtotal: Other	3,424	7,911	5,000	5,000	5,000
Total Expenditures	1,487,762	1,547,987	1,793,062	1,653,508	1,895,725
Expenditures by Source of Funds					
General Revenue	1,457,843	1,475,300	1,743,062	1,578,508	1,820,725
Restricted Receipts	29,919	72,686	50,000	75,000	75,000
Total Expenditures	1,487,762	1,547,987	1,793,062	1,653,508	1,895,725

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT SUPERVISOR OF EXAMINATIONS	2.0	171,229	2.0	171,882
BANK EXAMINER	3.0	172,986	5.0	192,898
LICENSING AIDE	1.0	45,630	1.0	45,630
PRINCIPAL BANK EXAMINER	1.0	75,830	3.0	225,489
PRINCIPAL LICENSING EXAMINER - BANKING	1.0	62,141	0.0	0
SENIOR BANK EXAMINER	6.0	355,593	4.0	250,129
STATE CHIEF BANK EXAMINER	1.0	86,738	1.0	92,857
SUPERVISOR OF EXAMINATIONS	1.0	99,293	1.0	99,603
Subtotal Classified	16.0	1,069,440	17.0	1,078,487
Subtotal	16.0	1,069,440	17.0	1,078,487
Regular Wages		0		53,247
Seasonal/Special Salaries/Wages		0		(43,613)
Turnover		(185,866)		(46,124)
Total Salaries		883,574		1,041,996
Benefits				
FICA		76,782		83,242
Health Benefits		230,770		258,761
Payroll Accrual		5,733		6,249
Retiree Health		60,021		65,071
Retirement		261,267		297,148
Subtotal		634,573		710,471
Total Salaries and Benefits	16.0	1,518,147	17.0	1,752,467
Cost Per FTE Position (Excluding Temp. and Seasonal)		94,884		103,086.29
Statewide Benefit Assessment		42,155		50,052
Payroll Costs	16.0	1,560,302	17.0	1,802,519
Purchased Services				
Clerical and Temporary Services		720		720
Other Contracts		640		640
Subtotal		1,360		1,360
Total Personnel	16.0	1,561,662	17.0	1,803,879
Distribution by Source of Funds				
General Revenue		1,561,662		1,803,879
Total All Funds		1,561,662		1,803,879

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2016, the division processed approximately 131,256 licenses and 14,844 registrations, conducted fifteen (15) on-site examinations of broker dealers and investment advisers, investigated 31 complaints, and instituted Five (5) enforcement actions, pursuant to applicable state and federal laws and regulations.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	856,076	897,138	989,364	967,691	1,007,821
Total Expenditures	856,076	897,138	989,364	967,691	1,007,821
Expenditures by Object					
Salary and benefits	832,033	848,265	959,745	938,072	978,202
Contract Professional Services	6,960	21,419	0	0	0
Operating supplies and Expenses	16,615	27,454	29,619	29,619	29,619
Subtotal: Operating	855,608	897,138	989,364	967,691	1,007,821
Capital Purchases And Equipment	468	0	0	0	0
Subtotal: Other	468	0	0	0	0
Total Expenditures	856,076	897,138	989,364	967,691	1,007,821
Expenditures by Source of Funds					
General Revenue	854,883	896,878	974,364	952,691	992,821
Restricted Receipts	1,193	260	15,000	15,000	15,000
Total Expenditures	856,076	897,138	989,364	967,691	1,007,821

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATIVE OFFICER	1.0	43,990	1.0	44,582
CHIEF SECURITIES EXAMINER	1.0	86,006	1.0	90,049
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	0.8	110,992	1.0	144,195
LICENSING AIDE	1.0	39,678	1.0	37,622
PRINCIPAL SECURITIES EXAMINER	1.0	73,415	1.0	73,415
SECURITIES EXAMINER	3.0	141,973	3.0	145,803
SENIOR SECURITIES EXAMINER	1.0	68,355	1.0	68,355
Subtotal Classified	8.8	564,409	9.0	604,020
Subtotal	8.8	564,409	9.0	604,020
Transfer Out		0		(32,444)
Regular Wages		0		28,880
Seasonal/Special Salaries/Wages		0		(26,354)
Turnover		(5,121)		0
Total Salaries		559,288		574,103
Benefits				
FICA		41,742		43,019
Health Benefits		132,437		141,372
Payroll Accrual		3,191		3,294
Retiree Health		33,445		34,331
Retirement		144,478		155,674
Subtotal		355,293		377,690
Total Salaries and Benefits	8.8	914,581	9.0	951,793
Cost Per FTE Position (Excluding Temp. and Seasonal)		103,930		105,754.78
Statewide Benefit Assessment		23,491		26,409
Payroll Costs	8.8	938,072	9.0	978,202
Total Personnel	8.8	938,072	9.0	978,202
Distribution by Source of Funds				
General Revenue		938,072		978,202
Total All Funds		938,072		978,202

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	4,414,197	4,896,926	5,751,931	5,780,270	5,866,969
Total Expenditures	4,414,197	4,896,926	5,751,931	5,780,270	5,866,969
Expenditures by Object					
Salary and benefits	3,225,402	3,704,793	4,092,511	3,996,342	4,021,816
Contract Professional Services	1,022,405	1,025,723	1,468,048	1,574,336	1,635,421
Operating supplies and Expenses	144,874	157,108	187,872	206,092	206,232
Subtotal: Operating	4,392,681	4,887,624	5,748,431	5,776,770	5,863,469
Capital Purchases And Equipment	21,516	9,302	3,500	3,500	3,500
Subtotal: Other	21,516	9,302	3,500	3,500	3,500
Total Expenditures	4,414,197	4,896,926	5,751,931	5,780,270	5,866,969
Expenditures by Source of Funds					
General Revenue	3,064,604	3,588,377	3,925,436	3,851,223	3,872,109
Restricted Receipts	1,349,593	1,308,549	1,826,495	1,929,047	1,994,860
Total Expenditures	4,414,197	4,896,926	5,751,931	5,780,270	5,866,969

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATIVE OFFICER	0.0	0	1.0	57,709
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	1.0	132,668	2.0	251,500
CHIEF INSURANCE EXAMINER	2.0	237,831	1.0	112,485
CHIEF PROPERTY AND CASUALTY INSURANCE ANALYST	1.0	124,963	0.0	0
CLERK SECRETARY	1.0	48,744	1.0	48,744
DEPUTY CHIEF OF LEGAL SERVICES	1.0	84,911	1.0	89,100
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	0.0	0	1.0	133,493
INSURANCE ANALYST	0.2	12,868	2.0	106,968
INSURANCE EXAMINER	5.0	242,828	7.0	360,777
INSURANCE EXAMINER-IN-CHARGE	6.0	611,630	6.0	613,425
LICENSING AIDE	5.0	212,160	4.0	161,210
PRINCIPAL INSURANCE ANALYST	3.8	270,216	3.0	232,627
PRINCIPAL INSURANCE EXAMINER	0.0	0	2.0	146,439
PRINCIPAL INSURANCE EXAMINER EDP AND AUTOMATED SYSTEMS	1.0	49,687	0.0	0
PRINCIPAL LICENSING INSURANCE EXAMINER	1.0	75,830	0.0	0
SENIOR ACCOUNTANT	0.0	0	1.0	56,170
SENIOR INSURANCE ANALYST	1.0	58,088	1.0	59,191
SENIOR INSURANCE EXAMINER	2.0	135,097	2.0	134,103
SENIOR MARKET CONDUCT EXAMINER	3.0	176,913	0.0	0
Subtotal Classified	34.0	2,474,434	35.0	2,563,942
Unclassified				
ADMINISTRATIVE SECRETARY	1.0	57,514	0.0	0
SENIOR ACCOUNTANT	1.0	56,170	0.0	0
Subtotal Unclassified	2.0	113,684	0.0	0
Subtotal	36.0	2,588,118	35.0	2,563,942
Regular Wages		0		216,882
Salaries Adjustment		0		(2,477)
Seasonal/Special Salaries/Wages		0		(216,357)
Turnover		(144,964)		(136,354)
Total Salaries		2,443,154		2,425,634

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		195,651		195,353
Health Benefits		418,551		426,866
Payroll Accrual		14,693		14,714
Retiree Health		153,858		153,209
Retirement		662,375		688,193
Subtotal		1,445,128		1,478,335
Total Salaries and Benefits	36.0	3,888,282	35.0	3,903,969
Cost Per FTE Position (Excluding Temp. and Seasonal)		108,008		111,541.97
Statewide Benefit Assessment		108,060		117,847
Payroll Costs	36.0	3,996,342	35.0	4,021,816
Purchased Services				
Clerical and Temporary Services		2,648		2,648
Management & Consultant Services		1,561,688		1,622,773
Training and Educational Services		10,000		10,000
Subtotal		1,574,336		1,635,421
Total Personnel	36.0	5,570,678	35.0	5,657,237
Distribution by Source of Funds				
General Revenue		3,752,191		3,772,937
Restricted Receipts		1,818,487		1,884,300
Total All Funds		5,570,678		5,657,237

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Board of Accountancy

Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Board of Accountancy

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	5,782	6,046	6,000	6,000	6,000
Total Expenditures	5,782	6,046	6,000	6,000	6,000
Expenditures by Object					
Contract Professional Services	0	188	0	0	0
Operating supplies and Expenses	5,782	5,858	6,000	6,000	6,000
Subtotal: Operating	5,782	6,046	6,000	6,000	6,000
Total Expenditures	5,782	6,046	6,000	6,000	6,000
Expenditures by Source of Funds					
General Revenue	5,782	6,046	6,000	6,000	6,000
Total Expenditures	5,782	6,046	6,000	6,000	6,000

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I. General Law §5-58 relates to auctioneers; R.I. General Law §5-20.5 relates to real estate; R.I. General Law §5-20.7 relates to real estate appraisers; R.I. General Law §5-38 relates to automobile body repair shops; R.I. General Law §5-50 relates to pre-opening of health club sales campaigns; R.I. General Law §6-31 relates to unit pricing; R.I. General Laws §§23-26 relate to bedding and upholstered furniture; R.I. General Laws §§31-44 and §31-44.1 relate to mobile and manufactured homes; R.I. General Law §42-14.2 relates to auto wrecking and salvage yards; R.I. General Laws §§31-37 relate to advertising and sale of motor fuel at retail, R.I. General Law §31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law §3-1 relates to alcoholic beverages. R.I. General Law §21-28.6 relates to the Medical Marijuana Program; R.I. General Law §2-26 relates to industrial hemp. relates to auctioneers. R.I. General Law §41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	1,237,470	2,097,854	2,671,652	2,648,523	3,298,252
Total Expenditures	1,237,470	2,097,854	2,671,652	2,648,523	3,298,252
Expenditures by Object					
Salary and benefits	1,183,548	1,768,239	2,107,326	2,076,638	2,562,325
Contract Professional Services	0	147,420	1,040	1,040	21,040
Operating supplies and Expenses	49,403	116,123	455,085	462,644	576,686
Assistance And Grants	0	0	80,000	80,000	80,000
Subtotal: Operating	1,232,951	2,031,782	2,643,451	2,620,322	3,240,051
Capital Purchases And Equipment	4,519	66,072	28,201	28,201	58,201
Subtotal: Other	4,519	66,072	28,201	28,201	58,201
Total Expenditures	1,237,470	2,097,854	2,671,652	2,648,523	3,298,252
Expenditures by Source of Funds					
General Revenue	670,159	871,522	893,038	909,372	1,088,106
Restricted Receipts	567,311	1,226,332	1,778,614	1,739,151	2,210,146
Total Expenditures	1,237,470	2,097,854	2,671,652	2,648,523	3,298,252

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	0.0	0	1.0	47,789
ADMINISTRATOR REAL ESTATE	1.0	93,023	1.0	93,393
ASSISTANT ADMINISTRATIVE OFFICER	1.0	46,962	0.0	0
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	1.0	120,813	1.0	121,192
CHIEF LICENSING EXAMINER-RACING & ATHLETICS	0.0	0	1.0	79,154
CHIEF OF LEGAL SERVICES	0.2	21,003	0.0	0
CHIEF PUBLIC PROTECTION INSPECTOR	3.0	225,945	3.0	220,575
DEPARTMENT BUDGET ADMINISTRATOR	0.2	19,312	0.0	0
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	0.2	32,224	0.0	0
FISCAL CLERK	1.0	20,834	1.0	35,632
IMPLEMENTATION AIDE	1.0	57,648	1.0	57,648
LEGAL ASSISTANT	1.0	72,473	0.0	0
LEGAL COUNSEL	0.0	0	1.0	65,184
LICENSING AIDE	4.0	174,477	9.0	350,780
PARI-MUTUEL OPERATIONS SPECIALIST	5.0	284,060	4.0	208,175
PRINCIPAL ECONOMIC AND POLICY ANALYST	1.0	83,515	1.0	87,699
SYSTEMS ANALYST	0.0	0	1.0	54,889
Subtotal Classified	19.6	1,252,289	25.0	1,422,111
Unclassified				
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	0.1	20,250	0.0	0
FIELD INVESTIGATOR	1.0	41,662	0.0	0
Subtotal Unclassified	1.1	61,912	0.0	0
Subtotal	20.7	1,314,201	25.0	1,422,111
Transfer In		0		93,326
Regular Wages		0		46,172
Seasonal/Special Salaries/Wages		14,469		(42,407)
Turnover		(44,604)		0
Total Salaries		1,284,066		1,519,200

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		97,950		116,477
Health Benefits		216,933		310,654
Payroll Accrual		7,241		7,552
Retiree Health		76,037		89,985
Retirement		341,009		449,041
Subtotal		739,170		973,709
Total Salaries and Benefits	20.7	2,023,236	25.0	2,492,909
Cost Per FTE Position (Excluding Temp. and Seasonal)		97,741		99,716.36
Statewide Benefit Assessment		53,402		69,416
Payroll Costs	20.7	2,076,638	25.0	2,562,325
Purchased Services				
Clerical and Temporary Services		640		640
Management & Consultant Services		0		20,000
Other Contracts		400		400
Subtotal		1,040		21,040
Total Personnel	20.7	2,077,678	25.0	2,583,365
Distribution by Source of Funds				
General Revenue		898,746		1,077,480
Restricted Receipts		1,178,932		1,505,885
Total All Funds		2,077,678		2,583,365

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Boards for Design Professionals

Mission

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Description

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints. In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards— The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous. The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws. The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Boards for Design Professionals

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	265,343	355,606	0	0	0
Total Expenditures	265,343	355,606	0	0	0
Expenditures by Object					
Salary and benefits	211,574	285,942	0	0	0
Contract Professional Services	0	13,031	0	0	0
Operating supplies and Expenses	53,635	56,060	0	0	0
Subtotal: Operating	265,209	355,033	0	0	0
Capital Purchases And Equipment	134	573	0	0	0
Subtotal: Other	134	573	0	0	0
Total Expenditures	265,343	355,606	0	0	0
Expenditures by Source of Funds					
General Revenue	265,343	355,606	0	0	0
Total Expenditures	265,343	355,606	0	0	0

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

Mission

While ensuring the solvency of health insurers, the Office of the Health Insurance Commissioner strives to protect consumers, encourage the fair treatment of providers, and work collaboratively with all interested parties to improve the health care system's quality, accessibility, and affordability.

Description

The Office of the Health Insurance Commissioner (OHIC) is the first state agency dedicated solely to health insurance oversight – balancing traditional regulation with policy development. OHIC's core functions include: (1) consumer protection and market conduct; (2) health plan form and rate review across multiple health insurance lines of business (3) statutory and regulatory enforcement; and (4) policy development for health care system reform. OHIC oversees health insurer business practices in a market comprising over one billion dollars of premium. The Department of Business Regulation shares certain administrative and regulatory services and personnel with the Office of the Health Insurance Commissioner as directed by statute.

Statutory History

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the R.I.G.L. Titles 27 and 42.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	3,551,977	3,074,848	2,735,299	2,744,876	2,386,602
Total Expenditures	3,551,977	3,074,848	2,735,299	2,744,876	2,386,602
Expenditures by Object					
Salary and benefits	1,887,780	1,608,852	1,947,955	1,847,210	1,664,903
Contract Professional Services	1,613,644	1,408,572	723,807	835,800	638,150
Operating supplies and Expenses	45,920	38,303	63,537	61,866	80,321
Subtotal: Operating	3,547,344	3,055,727	2,735,299	2,744,876	2,383,374
Capital Purchases And Equipment	4,633	19,121	0	0	3,228
Subtotal: Other	4,633	19,121	0	0	3,228
Total Expenditures	3,551,977	3,074,848	2,735,299	2,744,876	2,386,602
Expenditures by Source of Funds					
General Revenue	470,036	1,418,037	1,614,318	1,570,756	1,638,304
Federal Funds	3,073,024	1,645,328	892,213	1,049,269	513,791
Restricted Receipts	8,917	11,484	228,768	124,851	234,507
Total Expenditures	3,551,977	3,074,848	2,735,299	2,744,876	2,386,602

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
HEALTH ECONOMICS SPECIALIST	2.0	123,208	2.0	127,676
Subtotal Classified	2.0	123,208	2.0	127,676
Unclassified				
ADMINISTRATIVE OFFICER	1.0	52,428	1.0	53,003
ASSISTANT DIRECTOR POLICY OFFICE	1.0	103,109	1.0	103,109
DEPUTY EXECUTIVE ASSISTANT/COMMUNICATIONS	1.0	121,487	1.0	136,298
EXECUTIVE ASSISTANT/CHIEF OF STAFF	1.0	123,907	1.0	82,446
EXECUTIVE DIRECTOR	1.0	83,741	1.0	87,928
HEALTH INSURANCE COMMISSIONER	1.0	199,373	1.0	208,550
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	1.0	61,314	1.0	21,260
PRINCIPAL POLICY ASSOCIATE	3.0	299,663	3.0	290,967
SPECIAL PROJECTS COORDINATOR	1.0	64,081	1.0	22,241
Subtotal Unclassified	11.0	1,109,103	11.0	1,005,802
Subtotal	13.0	1,232,311	13.0	1,133,478
Salaries Adjustment		0		(74,951)
Seasonal/Special Salaries/Wages		0		(7,495)
Turnover		(61,604)		0
Total Salaries		1,170,707		1,051,032
Benefits				
FICA		84,955		75,615
Health Benefits		153,941		130,201
Payroll Accrual		6,747		6,049
Retiree Health		70,010		62,853
Retirement		305,241		290,807
Subtotal		620,894		565,525
Total Salaries and Benefits	13.0	1,791,601	13.0	1,616,557
Cost Per FTE Position (Excluding Temp. and Seasonal)		137,815		124,350.54
Statewide Benefit Assessment		55,609		48,346
Payroll Costs	13.0	1,847,210	13.0	1,664,903
Purchased Services				
Management & Consultant Services		835,800		638,150
Subtotal		835,800		638,150
Total Personnel	13.0	2,683,010	13.0	2,303,053

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,531,292		1,574,760
Federal Funds		1,042,367		509,286
Restricted Receipts		109,351		219,007
Total All Funds		2,683,010		2,303,053

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Boards for Design Professionals	0	0	362,455	323,703	335,643
Contractor's Registration and Licensing Board	0	0	0	0	1,327,490
Fire Code Board of Appeal and Review	0	0	0	0	342,446
Fire Marshal	0	0	0	0	4,314,323
State Building Code Commission	0	0	0	0	1,535,793
Total Expenditures	0	0	362,455	323,703	7,855,695
Expenditures by Object					
Salary and benefits	0	0	303,702	264,950	6,047,128
Contract Professional Services	0	0	1,200	1,200	189,953
Operating supplies and Expenses	0	0	57,553	57,553	1,618,614
Subtotal: Operating	0	0	362,455	323,703	7,855,695
Total Expenditures	0	0	362,455	323,703	7,855,695
Expenditures by Source of Funds					
General Revenue	0	0	0	0	5,535,059
Federal Funds	0	0	0	0	378,840
Restricted Receipts	0	0	362,455	323,703	1,875,299
Operating Transfers from Other Funds	0	0	0	0	66,497
Total Expenditures	0	0	362,455	323,703	7,855,695

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ARCHITECT BUILDING COMMISSION	0.0	0	1.0	83,713
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	0.0	0	1.0	47,296
CHIEF DEPUTY FIRE MARSHAL	0.0	0	2.0	144,946
CHIEF OF FIRE INVESTIGATIONS	0.0	0	1.0	56,978
CHIEF OF FIRE SAFETY INSPECTIONS	0.0	0	1.0	63,596
CHIEF OF INSPECTIONS	0.0	0	4.0	338,183
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	0.0	0	1.0	50,637
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/ MECH INSP)	0.0	0	1.0	81,684
CLERK SECRETARY	0.0	0	1.0	40,894
DIRECTOR OF FIRE TRAINING	0.0	0	1.0	78,305
ENFORCEMENT AIDE	0.0	0	2.0	97,226
EXECUTIVE ASSISTANT	0.0	0	1.0	45,125
EXECUTIVE DIRECTOR CONTRACTORS' REGISTRATION BOARD	0.0	0	1.0	105,791
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	0.0	0	1.0	103,383
EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	0.0	0	2.0	113,046
FIRE INVESTIGATOR	0.0	0	4.0	176,626
FIRE SAFETY INSPECTOR	0.0	0	12.0	379,092
FIRE SAFETY TRAINING OFFICER	0.0	0	2.0	119,654
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	0.0	0	1.0	92,895
IMPLEMENTATION AIDE	0.0	0	3.0	172,783
LICENSING AIDE	0.0	0	2.0	86,546
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	0.0	0	1.0	68,855
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	0.0	0	1.0	63,515
PROGRAMMING SERVICES OFFICER	0.0	0	1.0	69,750
SENIOR FIRE INVESTIGATOR	0.0	0	1.0	57,354
SENIOR FIRE SAFETY INSPECTOR	0.0	0	4.0	167,303
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	0.0	0	2.0	126,020
STATE BUILDING CODE COMMISSIONER	0.0	0	1.0	107,615
Subtotal Classified	0.0	0	56.0	3,138,811
Unclassified				
ADMINISTRATIVE AIDE	0.0	0	1.0	42,376

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	2.0	102,582	2.0	108,847
ADMINISTRATIVE ASSISTANT II	1.0	67,370	0.0	0
ADMINISTRATIVE SUPPORT SPECIALIST	0.0	0	1.0	66,575
ASSISTANT ADMINISTRATIVE OFFICER	0.0	0	1.0	53,637
STATE FIRE MARSHAL	0.0	0	1.0	117,412
Subtotal Unclassified	3.0	169,952	6.0	388,847
Subtotal	3.0	169,952	62.0	3,527,658
Regular Wages		0		13,870
Overtime (1.5)		0		140,360
Seasonal/Special Salaries/Wages		0		(13,735)
Turnover		0		(31,562)
Total Salaries		169,952		3,636,590
Benefits				
Contract Stipends		0		8,400
FICA		13,001		279,047
Health Benefits		20,229		688,228
Payroll Accrual		971		20,767
Retiree Health		10,164		209,073
Retirement		43,494		1,044,200
Subtotal		87,859		2,249,715
Total Salaries and Benefits	3.0	257,811	62.0	5,886,305
Cost Per FTE Position (Excluding Temp. and Seasonal)		85,937		94,940.4
Statewide Benefit Assessment		7,139		160,823
Payroll Costs	3.0	264,950	62.0	6,047,128
Purchased Services				
Buildings and Ground Maintenance		0		1,185
Clerical and Temporary Services		1,200		1,200
Design and Engineering Services		0		4,200
Medical Services		0		12,439
Training and Educational Services		0		170,929
Subtotal		1,200		189,953
Total Personnel	3.0	266,150	62.0	6,237,081

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		0		4,706,228
Federal Funds		0		80,617
Restricted Receipts		266,150		1,383,739
Operating Transfers from Other Funds		0		66,497
Total All Funds		266,150		6,237,081

Agency Summary

EXECUTIVE OFFICE OF COMMERCE

Agency Mission

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State. To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce is authorized and established as the state's lead agency for economic development throughout Rhode Island and serves as the principal agency of the executive branch of state government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency oversees the state's Office of Housing and Community Development, the Commerce Corporation (and all pass-through grant appropriations), the I-195 Redevelopment District Commission, the Department of Business Regulation and the Office of the Health Insurance Commissioner. From time to time, the Executive Office of Commerce is also tasked with facilitating other special governmental programs and initiatives.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

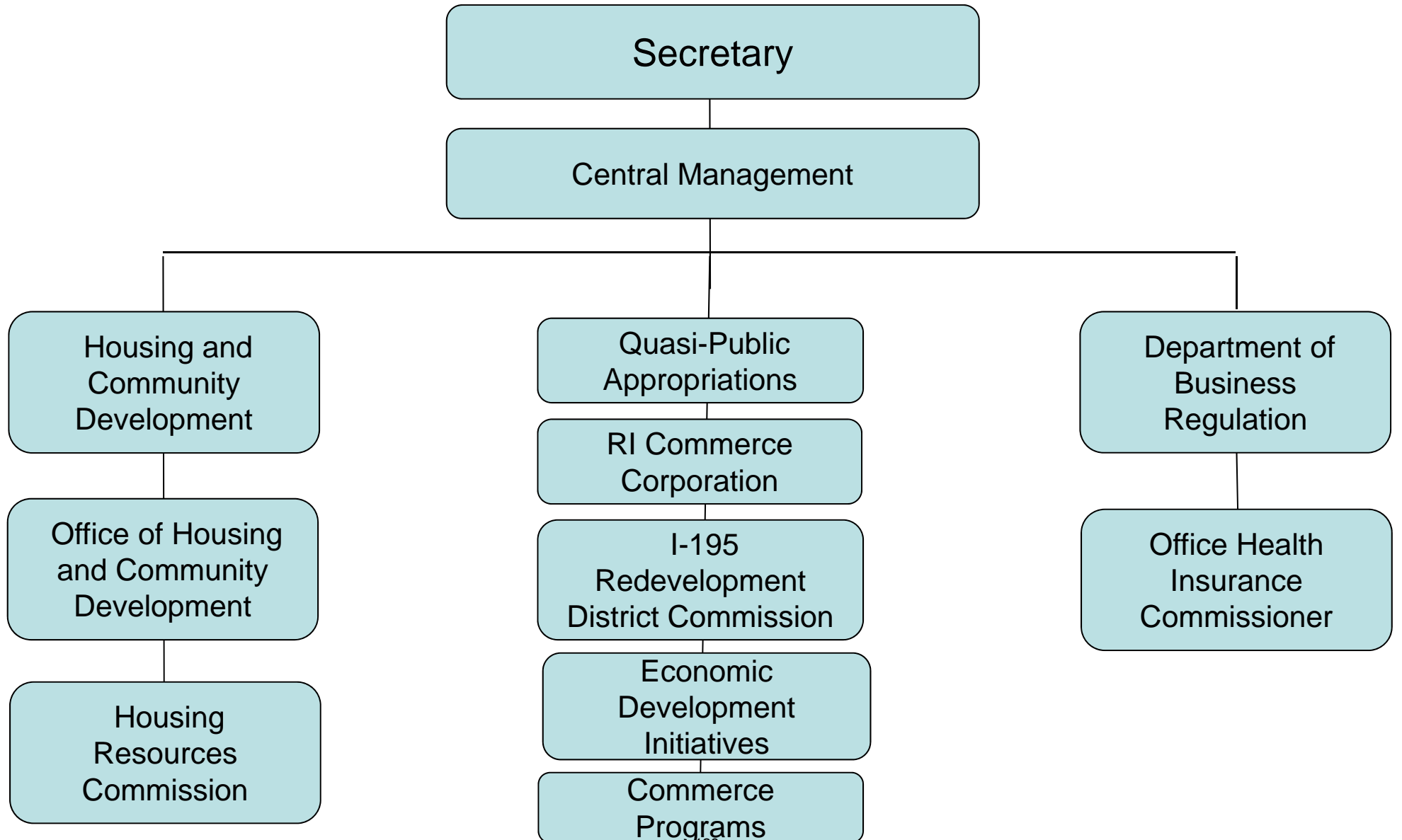
Budget

EXECUTIVE OFFICE OF COMMERCE

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	718,500	3,422,514	1,138,714	1,130,959	1,287,095
Housing and Community Development	13,320,595	16,133,202	23,282,944	26,022,148	20,105,942
Quasi-Public Appropriations	16,418,704	12,662,574	15,076,714	14,750,108	18,326,714
Economic Development Initiatives Fund	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Commerce Programs	0	3,500,000	1,300,000	1,300,000	2,120,000
Total Expenditures	73,415,799	73,418,290	58,598,372	58,003,215	62,814,751
Expenditures by Object					
Salary And Benefits	1,683,173	2,083,276	2,389,378	2,159,716	2,258,966
Contract Professional Services	0	550	140,000	140,000	0
Operating Supplies And Expenses	40,471	67,517	159,119	501,930	510,910
Assistance And Grants	12,110,688	14,927,073	23,019,911	25,386,911	19,659,911
Subtotal: Operating	13,834,332	17,078,416	25,708,408	28,188,557	22,429,787
Capital Purchases And Equipment	8,224	1,300	2,903,250	3,077,944	6,303,250
Operating Transfers	59,573,243	56,338,574	29,986,714	26,736,714	34,081,714
Subtotal: Other	59,581,467	56,339,874	32,889,964	29,814,658	40,384,964
Total Expenditures	73,415,799	73,418,290	58,598,372	58,003,215	62,814,751
Expenditures by Source of Funds					
General Revenue	60,458,832	54,708,755	33,057,819	29,808,525	37,314,974
Federal Funds	10,016,268	13,606,251	17,890,642	18,871,385	14,445,458
Restricted Receipts	2,681,142	4,391,678	4,749,911	6,249,911	4,754,319
Operating Transfers From Other Funds	259,557	711,606	2,900,000	3,073,394	6,300,000
Total Expenditures	73,415,799	73,418,290	58,598,372	58,003,215	62,814,751
FTE Authorization	16.0	16.0	17.0	17.0	17.0

The Agency

Executive Office of Commerce



Personnel Agency Summary

EXECUTIVE OFFICE OF COMMERCE

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	11.0	814,347	11.0	755,771
Unclassified	6.0	826,008	6.0	704,005
Subtotal	17.0	1,640,355	17.0	1,459,776
Transfer Out		0		0
Transfer In		37,894		38,891
Turnover		(454,239)		(38,891)
Total Salaries		1,224,010		1,459,778
Benefits				
FICA		102,151		105,482
Health Benefits		228,665		135,261
Payroll Accrual		8,629		8,386
Retiree Health		98,624		87,294
Retirement		429,232		396,333
Subtotal		867,301		732,756
Total Salaries and Benefits	17.0	2,091,311	17.0	2,192,534
Cost Per FTE Position (Excluding Temporary and Seasonal)		123,018		128,973
Statewide Benefit Assessment		68,405		66,432
Payroll Costs	17.0	2,159,716	17.0	2,258,966
Purchased Services				
Management & Consultant Services		140,000		0
Subtotal		140,000		0
Total Personnel	17.0	2,299,716	17.0	2,258,966
Distribution by Source of Funds				
General Revenue		1,707,320		1,730,105
Federal Funds		592,396		528,861
Total All Funds		2,299,716		2,258,966

Performance Measures

EXECUTIVE OFFICE OF COMMERCE

New Jobs Created/to be Created with Assistance from Commerce Jobs Incentive Programs

The figures represent the number of new jobs created/to be created by businesses that received assistance from Commerce Jobs Incentive Programs. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on the number of jobs approved for the Qualified Jobs Incentive (2) Performance measures are measured by calendar year. As a result, 2018 actuals are not yet available. (3) 2017 actuals are current through 10/30/2017 and represent PARTIAL TOTALS to date for 2017. (4) 2019 targets will be revisited based upon a number of factors.

	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	250.00	1,000.00	1,000.00	1,000.00
Actual	0.00	798.00	785.00	0.00	

New Development Created/to be Created with Assistance from Commerce Real Estate Incentive Programs

The figures represent the square feet of new development created/to be created with assistance from Commerce Real Estate Incentive Programs. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on real estate approved for the Rebuild Rhode Island tax credits, I-195 Redevelopment Fund, Tax Stabilization Incentive, and Tax Increment Financing programs. (2) Performance measures are measured by calendar year. As a result, 2018 actuals are not yet available. (3) 2017 actuals are current through 10/30/2017 and represent PARTIAL TOTALS to date for 2017. (4) Projects that came before the Commerce Corporation Board for approval on more than one date are counted in the calendar year during which the project was first approved for Rebuild Rhode Island tax credits. (5) 2019 targets will be revisited based upon a number of factors.

	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	180,000.00	600,000.00	600,000.00	600,000.00
Actual	0.00	2,153,581.00	759,832.00	0.00	

Total Real Estate Investment Leveraged by Commerce Real Estate Investment

The figures represent the total real estate investment leveraged by Commerce Real Estate Investments. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on total project cost of real estate projects approved for the Rebuild Rhode Island tax credits, Tax Stabilization Incentive, Tax Increment Financing, and I-195 Redevelopment Fund programs (2) Performance measures are measured by calendar year. As a result, 2018 actuals are not yet available. (3) 2017 actuals are current through 10/30/2017 and represent PARTIAL TOTALS. (4) These figures represent the total project cost. The total project cost minus the Commerce real estate program investments for 2016 is \$414,229,575 and for 2017 to date is \$278,153,915. (5) 2019 targets will be revisited based upon a number of factors.

	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	48,000,000.00	160,000,000.00	160,000,000.00	160,000,000.00
Actual	0.00	467,303,787.00	346,704,947.00	0.00	

Performance Measures

EXECUTIVE OFFICE OF COMMERCE

Businesses Assisted by Commerce

The figures represent the number of businesses assisted by Commerce. This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on unique businesses contacted or assisted by members of Commerce in each calendar year. (2) Performance measures are measured by calendar year. As a result, 2018 actuals are not yet available. (3) 2017 actuals are current through 10/30/2017 and represent PARTIAL TOTALS to date in 2017. (4) In 2016, Commerce contacted or assisted 2,570 in-state entities and 485 out-of-state entities, and in 2017 to date, Commerce has contacted or assisted 3,956 in-state entities and 397 out-of-state entities. (5) 2019 targets will be revisited based upon a number of factors.

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	2,500.00	5,000.00	5,000.00	5,000.00
Actual	0.00	3,055.00	4,353.00	0.00	

Tourist and Visitor Expenditure Numbers

The figures represent the annual tourist and visitor expenditures over the baseline of 2015. Data for this metric is not yet available. Please see notes: (1) This metric is measured in calendar years. (2) A third-party report will gather the 2016 data in Winter of FY2018. (3) Proxy measures show positive growth for tourist and visitor expenditures. In FY2016, the 5% hotel tax revenue was up 12.5% over FY15 (FY15 = \$17,219,528, FY16 = \$19,369,329). In FY2017, the 5% hotel tax revenue was up 13.8% over FY15 (FY15 = \$17,219,528, FY17 = \$19,599,832).). (4) 2019 targets will be revisited based upon a number of factors.

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	0.00	50,000,000.00	200,000,000.00	200,000,000.00	200,000,000.00
Actual	0.00	0.00	0.00	0.00	

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of economic development in the state.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	718,500	3,422,514	1,138,714	1,130,959	1,287,095
Total Expenditures	718,500	3,422,514	1,138,714	1,130,959	1,287,095
Expenditures by Object					
Salary and benefits	503,768	918,466	1,027,890	946,340	1,110,688
Contract Professional Services	0	550	0	0	0
Operating supplies and Expenses	9,969	27,498	107,574	181,369	173,157
Subtotal: Operating	513,737	946,514	1,135,464	1,127,709	1,283,845
Capital Purchases And Equipment	8,224	0	3,250	3,250	3,250
Operating Transfers	196,539	2,476,000	0	0	0
Subtotal: Other	204,763	2,476,000	3,250	3,250	3,250
Total Expenditures	718,500	3,422,514	1,138,714	1,130,959	1,287,095
Expenditures by Source of Funds					
General Revenue	718,500	945,275	1,138,714	1,130,959	1,287,095
Federal Funds	0	2,477,239	0	0	0
Total Expenditures	718,500	3,422,514	1,138,714	1,130,959	1,287,095

Personnel

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0.3	20,969	0.3	20,969
Subtotal Classified	0.3	20,969	0.3	20,969
Unclassified				
DEPUTY CHIEF OF STAFF/POLICY	2.0	253,902	2.0	253,902
DEPUTY SECRETARY OF COMMERCE	1.0	158,522	1.0	158,522
EXECUTIVE ASSISTANT	1.0	85,692	1.0	89,998
GENERAL COUNSEL (OFFICE OF COMMERCE)	1.0	122,186	1.0	122,186
SECRETARY OF COMMERCE	1.0	205,706	1.0	205,706
Subtotal Unclassified	6.0	826,008	6.0	826,008
Subtotal	6.3	846,977	6.3	846,977
Transfer Out		0		0
Transfer In		37,894		60,240
Turnover		(357,898)		(181,863)
Total Salaries		526,973		725,354
Benefits				
FICA		48,537		49,296
Health Benefits		78,889		58,757
Payroll Accrual		3,712		4,166
Retiree Health		47,833		43,377
Retirement		207,693		197,088
Subtotal		386,664		352,684
Total Salaries and Benefits	6.3	913,637	6.3	1,078,038
Cost Per FTE Position (Excluding Temp. and Seasonal)		145,022		215,607.6
Statewide Benefit Assessment		32,703		32,650
Payroll Costs	6.3	946,340	6.3	1,110,688
Total Personnel	6.3	946,340	6.3	1,110,688
Distribution by Source of Funds				
General Revenue		946,340		1,110,688
Total All Funds		946,340		1,110,688

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, federal Community Development Block (CDBG) program, and related programs. To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers federal Community Development Block Grant (CDBG) funds and related programs. The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

RIGL 42-64.19-7(h) establishes the state's housing and community development functions within the Executive Office of Commerce.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Housing and Community Development	10,639,453	11,741,524	18,533,033	19,772,237	15,351,623
Housing Resources Commission	2,681,142	4,391,678	4,749,911	6,249,911	4,754,319
Total Expenditures	13,320,595	16,133,202	23,282,944	26,022,148	20,105,942
Expenditures by Object					
Salary and benefits	1,179,405	1,164,810	1,361,488	1,213,376	1,148,278
Operating supplies and Expenses	30,502	40,019	51,545	320,561	337,753
Assistance And Grants	12,110,688	14,927,073	21,869,911	24,486,911	18,619,911
Subtotal: Operating	13,320,595	16,131,902	23,282,944	26,020,848	20,105,942
Capital Purchases And Equipment	0	1,300	0	1,300	0
Subtotal: Other	0	1,300	0	1,300	0
Total Expenditures	13,320,595	16,133,202	23,282,944	26,022,148	20,105,942
Expenditures by Source of Funds					
General Revenue	623,185	612,512	642,391	900,852	906,165
Federal Funds	10,016,268	11,129,012	17,890,642	18,871,385	14,445,458
Restricted Receipts	2,681,142	4,391,678	4,749,911	6,249,911	4,754,319
Total Expenditures	13,320,595	16,133,202	23,282,944	26,022,148	20,105,942

Personnel

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0.7	62,906	0.7	62,906
ASSISTANT CHIEF OF PLANNING	1.0	82,669	1.0	87,239
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	1.0	106,031	1.0	106,031
HOUSING COMMISSION COORDINATOR	3.0	201,477	3.0	201,477
PRINCIPAL PLANNER	4.0	263,570	4.0	263,570
PROGRAMMING SERVICES OFFICER	1.0	76,725	1.0	76,725
Subtotal Classified	10.7	793,378	10.7	797,950
Subtotal	10.7	793,378	10.7	797,950
Transfer Out		0		(21,349)
Turnover		(96,341)		(42,177)
Total Salaries		697,037		734,424
Benefits				
FICA		53,614		56,186
Health Benefits		149,776		76,504
Payroll Accrual		4,917		4,220
Retiree Health		50,791		43,917
Retirement		221,539		199,245
Subtotal		480,637		380,072
Total Salaries and Benefits	10.7	1,177,674	10.7	1,114,496
Cost Per FTE Position (Excluding Temp. and Seasonal)		110,063		111,449.6
Statewide Benefit Assessment		35,702		33,782
Payroll Costs	10.7	1,213,376	10.7	1,148,278
Total Personnel	10.7	1,213,376	10.7	1,148,278
Distribution by Source of Funds				
General Revenue		620,980		619,417
Federal Funds		592,396		528,861
Total All Funds		1,213,376		1,148,278

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Quasi-Public Appropriations

Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special governmental programs and initiatives in the areas of economic development and research.

Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other related initiatives.

Statutory History

RIGL 42-64.19-6(1) charges the Secretary of Commerce with the supervision of the Rhode Island Commerce Corporation's works, which include pass-through funding to the I-195 Redevelopment District Commission and other economic development programs and functions. RIGL 42-64 establishes the Rhode Island Commerce Corporation. RIGL 42-64.14 is the I-195 Redevelopment Act of 2011, of which RIGL 42-64.14-5 establishes the I-195 Redevelopment District Commission.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Quasi-Public Appropriations

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
I-195 Redevelopment District Commission	1,104,324	1,541,722	3,661,000	3,834,394	7,061,000
RI Commerce Corp. - Executive Office of Commerce Programs	3,600,000	0	0	0	0
RI Commerce Corporation	7,394,514	7,434,514	7,474,514	7,224,514	7,474,514
RI Commerce Corporation Pass Through Grants	4,319,866	3,686,338	3,941,200	3,691,200	3,791,200
Total Expenditures	16,418,704	12,662,574	15,076,714	14,750,108	18,326,714
Expenditures by Object					
Contract Professional Services	0	0	140,000	140,000	0
Assistance And Grants	0	0	1,150,000	900,000	1,040,000
Subtotal: Operating	0	0	1,290,000	1,040,000	1,040,000
Capital Purchases And Equipment	0	0	2,900,000	3,073,394	6,300,000
Operating Transfers	16,418,704	12,662,574	10,886,714	10,636,714	10,986,714
Subtotal: Other	16,418,704	12,662,574	13,786,714	13,710,108	17,286,714
Total Expenditures	16,418,704	12,662,574	15,076,714	14,750,108	18,326,714
Expenditures by Source of Funds					
General Revenue	16,159,147	11,950,968	12,176,714	11,676,714	12,026,714
Operating Transfers from Other Funds	259,557	711,606	2,900,000	3,073,394	6,300,000
Total Expenditures	16,418,704	12,662,574	15,076,714	14,750,108	18,326,714

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Economic Development Initiatives Fund

Mission

To be utilized to fund various economic development initiatives and programs within state government.

Description

This program includes funds for specific development initiatives and programs that the Executive Office uses to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. Funding was initially appropriated for the Economic Development Initiatives Fund as part of the FY 2016 Appropriations Act to support a number of new initiatives and programs designed to spur the development of commerce in the state.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Economic Development Initiatives Fund

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Economic Development Initiatives Fund	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Total Expenditures	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Expenditures by Object					
Operating Transfers	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Subtotal: Other	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Total Expenditures	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Expenditures by Source of Funds					
General Revenue	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000
Total Expenditures	42,958,000	37,700,000	17,800,000	14,800,000	20,975,000

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Commerce Programs

Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Commerce Programs

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Economic Initiatives	0	3,500,000	1,300,000	1,300,000	2,120,000
Total Expenditures	0	3,500,000	1,300,000	1,300,000	2,120,000
Expenditures by Object					
Operating Transfers	0	3,500,000	1,300,000	1,300,000	2,120,000
Subtotal: Other	0	3,500,000	1,300,000	1,300,000	2,120,000
Total Expenditures	0	3,500,000	1,300,000	1,300,000	2,120,000
Expenditures by Source of Funds					
General Revenue	0	3,500,000	1,300,000	1,300,000	2,120,000
Total Expenditures	0	3,500,000	1,300,000	1,300,000	2,120,000

Agency Summary

DEPARTMENT OF LABOR AND TRAINING

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services to Rhode Island's job seekers and business community. It executes programs and administers laws governing the following six program areas: Executive Management, Income Support, Workforce Development Services and Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board. The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources. The Income Support Program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), and the Police and Fire Relief Fund. The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers' with skilled workers. The program includes the Governor's Workforce Board (State Workforce Investment Board and the Human Resource Investment Council) which was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses. The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. John E. Donley Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unit educates and provides information to workers and employers regarding Workers' Compensation laws and regulations. The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures. The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

Statutory History

RIGL 42-16 created the department in 1996. RIGL 42-6 authorizes the appointment of the Director of Labor and Training.

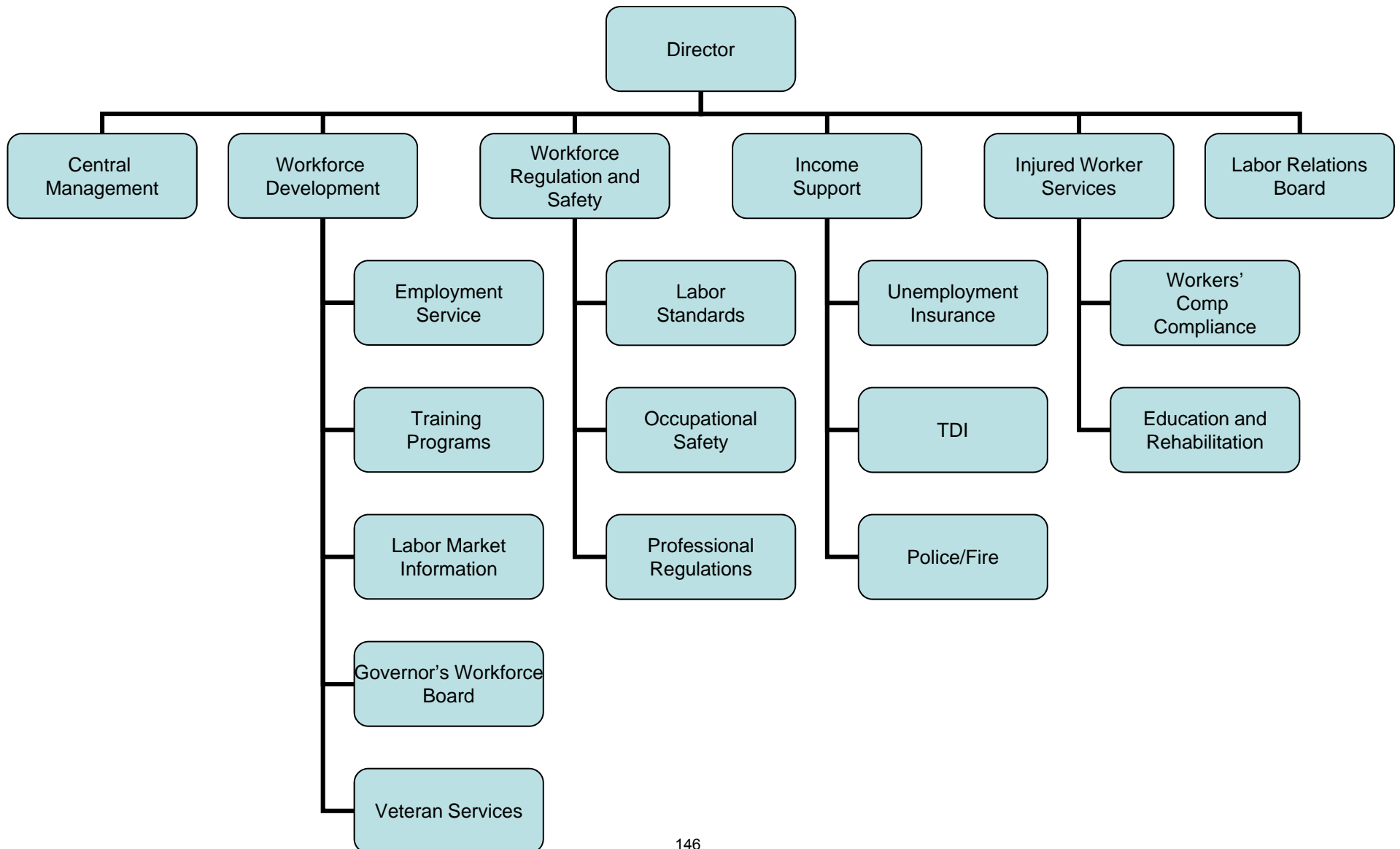
Budget

DEPARTMENT OF LABOR AND TRAINING

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	2,892,443	1,042,437	2,451,919	2,522,896	1,647,181
Workforce Development Services	36,169,263	39,096,943	36,033,127	52,282,224	50,643,945
Workforce Regulation and Safety	2,971,965	1,818,761	2,811,148	2,986,222	3,608,904
Income Support	375,243,644	371,299,139	379,512,413	382,475,960	388,470,590
Injured Workers Services	7,620,544	8,185,124	8,701,434	7,791,556	9,329,210
Labor Relations Board	439,709	404,678	397,335	412,555	404,420
Total Expenditures	425,337,568	421,847,081	429,907,376	448,471,413	454,104,250
Expenditures by Object					
Salary And Benefits	40,264,914	40,661,869	40,797,731	41,277,578	43,311,529
Contract Professional Services	4,138,440	4,680,223	4,887,318	2,667,418	4,920,844
Operating Supplies And Expenses	7,804,453	6,788,251	4,289,565	14,053,254	12,963,422
Assistance And Grants	352,913,019	361,118,141	368,956,438	382,289,052	385,422,882
Subtotal: Operating	405,120,826	413,248,484	418,931,052	440,287,302	446,618,677
Capital Purchases And Equipment	279,954	118,037	1,691,286	1,717,111	876,312
Operating Transfers	19,936,788	8,480,560	9,285,038	6,467,000	6,609,261
Subtotal: Other	20,216,742	8,598,597	10,976,324	8,184,111	7,485,573
Total Expenditures	425,337,568	421,847,081	429,907,376	448,471,413	454,104,250
Expenditures by Source of Funds					
General Revenue	8,382,043	7,010,095	8,094,063	8,975,670	9,690,749
Federal Funds	39,141,911	43,869,516	36,930,858	51,355,725	40,908,051
Restricted Receipts	31,568,311	21,996,461	24,323,914	30,614,296	39,985,082
Operating Transfers From Other Funds	2,116,990	220,788	1,630,000	1,630,000	750,000
Other Funds	344,128,313	348,750,221	358,928,541	355,895,722	362,770,368
Total Expenditures	425,337,568	421,847,081	429,907,376	448,471,413	454,104,250
FTE Authorization	409.5	409.5	428.7	428.7	428.7

The Agency

Department of Labor and Training



Personnel Agency Summary

DEPARTMENT OF LABOR AND TRAINING

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	410.2	23,978,571	410.2	24,721,942
Unclassified	18.5	1,580,453	18.5	1,764,555
Subtotal	428.7	25,559,024	428.7	26,486,497
Transfer Out		(978,856)		0
Transfer In		1,019,662		47,734
Salaries Adjustment		0		(432,307)
Overtime (1.5)		50,000		40,000
Seasonal/Special Salaries/Wages		0		(89,512)
Turnover		(978,537)		(402,643)
Total Salaries		24,671,292		25,649,779
Benefits				
FICA		1,876,843		1,961,370
Health Benefits		5,667,207		5,950,432
Payroll Accrual		98,134		146,443
Retiree Health		1,517,678		1,502,981
Retirement		6,419,128		6,924,763
Subtotal		15,578,990		16,485,989
Total Salaries and Benefits	428.7	40,250,282	428.7	42,135,768
Cost Per FTE Position (Excluding Temporary and Seasonal)		93,889		98,287
Statewide Benefit Assessment		1,027,296		1,175,761
Payroll Costs	428.7	41,277,578	428.7	43,311,529
Purchased Services				
Buildings and Ground Maintenance		18,873		18,581
Clerical and Temporary Services		79,944		1,415,688
Information Technology		887,378		652,542
Legal Services		279,250		272,256
Management & Consultant Services		3,188		3,327
Medical Services		559,942		1,899,700
Other Contracts		660,271		436,894
Training and Educational Services		178,572		221,856
Subtotal		2,667,418		4,920,844
Total Personnel	428.7	43,944,996	428.7	48,232,373
Distribution by Source of Funds				
General Revenue		3,334,356		3,281,384
Federal Funds		20,969,061		22,633,579
Restricted Receipts		11,250,532		13,816,362
Other Funds		8,391,047		8,501,048
Total All Funds		43,944,996		48,232,373

Performance Measures

DEPARTMENT OF LABOR AND TRAINING

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Notes: FY2019 targets have not been negotiated with USDOL. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	86.00%	87.00%	90.00%	93.00%	0.00%
Actual	86.00%	89.50%	99.20%	0.00%	

Timeliness of UI Adjudication Decisions

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Notes: FY2019 targets have not been negotiated with USDOL. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	54.80%	80.00%	85.00%	90.00%	0.00%
Actual	54.80%	80.60%	92.30%	0.00%	

UI Call Center Wait Times

The figures below represent the average amount of time in minutes a caller spends on hold before reaching an agent in the UI call center. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	25.00	20.00	15.00	10.00	10.00
Actual	25.00	19.00	10.00	0.00	

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	30.00%	50.00%	75.00%	65.00%	70.00%
Actual	30.00%	45.00%	45.00%	0.00%	

Real Jobs Rhode Island Employer Engagement

The figures below represent the number of employers participating in Real Jobs Partnerships. [Notes: 2016 and 2017 targets have been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	186.00	200.00	200.00	200.00	400.00
Actual	186.00	218.00	330.00	0.00	

Performance Measures

DEPARTMENT OF LABOR AND TRAINING

Real Jobs Rhode Island Job Placements

The figures below represent job placements through Real Jobs Partnerships. [Notes: 2016 and 2017 targets have been revised. Missing values appear as zeros in the measure.]

Frequency: Annual

Reporting Period: Calendar Year

	2015	2016	2017	2018	2019
Target	0.00	236.00	557.00	590.00	4,000.00
Actual	0.00	222.00	800.00	0.00	

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

Mission

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system. To provide competent legal representation and consultation to all departmental staffing the execution of programs and services. To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

Description

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations. The Executive Management Program provides administrative services for the Department including, legal services, purchasing, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	2,892,443	1,042,437	2,451,919	2,522,896	1,647,181
Total Expenditures	2,892,443	1,042,437	2,451,919	2,522,896	1,647,181
Expenditures by Object					
Salary and benefits	645,473	523,859	711,583	181,388	196,709
Contract Professional Services	142,103	68,705	88,002	74,197	53,087
Operating supplies and Expenses	2,104,627	449,589	22,092	637,041	647,126
Assistance And Grants	215	17	219	20	18
Subtotal: Operating	2,892,418	1,042,169	821,896	892,646	896,940
Capital Purchases And Equipment	25	267	1,630,023	1,630,250	750,241
Subtotal: Other	25	267	1,630,023	1,630,250	750,241
Total Expenditures	2,892,443	1,042,437	2,451,919	2,522,896	1,647,181
Expenditures by Source of Funds					
General Revenue	132,154	134,127	134,315	696,249	720,670
Restricted Receipts	643,299	687,522	687,604	196,647	176,511
Operating Transfers from Other Funds	2,116,990	220,788	1,630,000	1,630,000	750,000
Total Expenditures	2,892,443	1,042,437	2,451,919	2,522,896	1,647,181

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ASSISTANT ADMINISTRATOR REHABILITATION UNIT	0.0	0	1.0	103,604
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	0.0	0	1.0	98,106
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0.3	35,999	0.0	0
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	0.2	24,026	0.0	0
FISCAL MANAGEMENT OFFICER	0.0	0	1.0	72,889
IMPLEMENTATION AIDE	0.0	0	1.0	55,905
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	0.0	0	1.0	76,166
LABOR STANDARDS EXAMINER	0.0	0	7.0	403,535
OFFICE MANAGER	0.2	10,188	0.0	0
Subtotal Classified	0.7	70,213	12.0	810,206
Unclassified				
LEGAL COUNSEL (EMPLOYMENT SECURITY)	0.4	43,913	2.0	232,835
Subtotal Unclassified	0.4	43,913	2.0	232,835
Subtotal	1.1	114,126	14.0	1,043,041
Transfer Out		0		(990,344)
Transfer In		0		70,385
Total Salaries		114,127		123,081
Benefits				
FICA		8,731		9,417
Health Benefits		17,281		17,494
Payroll Accrual		457		708
Retiree Health		6,825		7,360
Retirement		29,174		32,988
Subtotal		62,468		67,967
Total Salaries and Benefits	1.1	176,595	14.0	191,048
Cost Per FTE Position (Excluding Temp. and Seasonal)		160,541		13,646.29
Statewide Benefit Assessment		4,793		5,661
Payroll Costs	1.1	181,388	14.0	196,709
Purchased Services				
Buildings and Ground Maintenance		0		51
Clerical and Temporary Services		4,355		0
Information Technology		65		52

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Legal Services		15,000		15,000
Other Contracts		54,777		37,843
Training and Educational Services		0		141
Subtotal		74,197		53,087
Total Personnel	1.1	255,585	14.0	249,796
Distribution by Source of Funds				
General Revenue		87,121		97,666
Restricted Receipts		168,464		152,130
Total All Funds		255,585		249,796

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Description

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs: The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings. The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities. The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the-job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older. The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act. R.I.G.L. 42-102 created the RI Works program.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Employment Services	4,335,316	3,151,558	3,166,717	3,293,804	3,907,136
Governor's Workforce Board RI	10,898,184	11,045,086	12,434,856	20,672,838	27,940,577
Labor Market Information	634,731	673,874	516,784	733,684	884,373
Veteran Services	552,282	679,370	657,923	564,864	617,645
WIOA & Other Training Programs	19,748,750	23,547,056	19,256,847	27,017,034	17,294,214
Total Expenditures	36,169,263	39,096,943	36,033,127	52,282,224	50,643,945
Expenditures by Object					
Salary and benefits	12,793,077	14,151,313	12,692,315	13,672,655	14,166,052
Contract Professional Services	292,397	371,852	230,593	416,156	1,590,510
Operating supplies and Expenses	1,569,739	1,802,970	1,371,784	1,779,582	1,895,666
Assistance And Grants	20,974,873	22,731,909	21,571,526	36,354,641	32,900,575
Subtotal: Operating	35,630,086	39,058,044	35,866,218	52,223,034	50,552,803
Capital Purchases And Equipment	4,835	38,899	4,648	27,190	51,881
Operating Transfers	534,342	0	162,261	32,000	39,261
Subtotal: Other	539,177	38,899	166,909	59,190	91,142
Total Expenditures	36,169,263	39,096,943	36,033,127	52,282,224	50,643,945
Expenditures by Source of Funds					
General Revenue	869,462	630,862	704,517	770,842	1,577,198
Federal Funds	24,021,293	27,293,721	22,792,153	30,531,544	20,986,909
Restricted Receipts	10,898,184	11,045,086	12,434,856	20,672,838	27,940,577
Other Funds	380,324	127,275	101,601	307,000	139,261
Total Expenditures	36,169,263	39,096,943	36,033,127	52,282,224	50,643,945

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	2.0	95,244	2.0	96,795
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.7	142,730	2.0	182,010
ADMINISTRATOR- OPERATIONS MANAGEMENT	1.0	92,980	1.0	94,646
ASSISTANT BUSINESS MANAGEMENT OFFICER	0.2	8,410	1.0	43,027
ASSISTANT CHIEF OF PLANNING	2.0	173,261	1.0	83,442
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	7.2	484,474	9.0	625,949
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0.2	23,999	1.0	120,251
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	0.1	11,677	1.0	118,839
ASSISTANT DIRECTOR FOR LABOR MARKET INFO & MGMT SVS DLT	0.8	90,182	0.0	0
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	1.0	105,876	1.0	111,398
BUSINESS MANAGEMENT OFFICER	0.5	37,990	0.0	0
BUSINESS SERVICES SPECIALIST	10.0	569,357	8.0	439,735
CHIEF IMPLEMENTATION AIDE	1.2	88,511	3.0	216,356
CHIEF OF INFORMATION AND PUBLIC RELATIONS	0.5	29,345	1.0	59,772
CHIEF OF LABOR AND TRAINING OPERATIONS	3.0	261,680	2.0	171,035
CHIEF OF RESEARCH AND ANALYSIS	0.9	78,595	1.0	87,446
CHIEF PROGRAM DEVELOPMENT	1.0	76,579	1.0	78,305
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	0.2	18,320	1.0	91,601
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	17.4	1,235,076	20.0	1,417,435
DEPUTY DIRECTOR (DLT)	0.2	28,345	1.0	141,959
DISABLED VETERANS JOB ASSISTANT	3.0	133,474	3.0	134,780
DLT BUSINESS OFFICER	1.3	15,920	2.0	104,725
EMPLOYMENT AND TRAINING ADMINISTRATOR	0.9	86,809	0.0	0
EMPLOYMENT AND TRAINING ASSISTANT	0.4	19,640	1.0	49,169
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	0.9	43,231	0.0	0
INVESTIGATIVE AUDITOR	0.9	0	0.0	0
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	3.0	138,938	2.0	91,494
MANAGEMENT ASSISTANCE SUPERVISOR	0.8	66,435	0.0	0
OFFICE MANAGER	0.1	5,094	2.0	98,752
PRINCIPAL DLT BUSINESS OFFICER	0.3	16,145	1.0	54,870
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	35.2	1,936,737	23.0	1,258,089
PRINCIPAL RESEARCH TECHNICIAN	3.0	186,544	3.0	177,786

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
PROGRAMMING SERVICES OFFICER	0.2	12,630	1.0	64,107
RESEARCH TECHNICIAN	0.9	47,888	1.0	53,209
SENIOR COMPUTER OPERATOR	0.1	4,954	1.0	49,540
SENIOR DLT BUSINESS OFFICER	1.8	112,993	3.0	189,530
SENIOR EMPLOYMENT AND TRAINING MANAGER	2.0	130,188	1.0	61,993
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	5.0	286,947	4.0	231,421
SENIOR RESEARCH TECHNICIAN	2.0	107,814	2.0	109,044
SUPERVISING DLT BUSINESS OFFICER	1.1	84,780	0.0	0
SUPERVISOR OF OFFICE SERVICES (DLT)	0.2	13,950	1.0	69,750
Subtotal Classified	114.2	7,103,742	108.0	6,978,260
Unclassified				
CENTRAL MAIL ROOM CLERK	0.2	7,220	2.0	72,924
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0.2	27,000	1.0	135,000
EXECUTIVE COUNSEL	0.3	32,480	0.0	0
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE BOARD	1.0	98,340	1.0	103,109
LEGAL COUNSEL (EMPLOYMENT SECURITY)	1.8	125,550	1.0	100,864
SPECIAL ASSISTANT	0.2	13,984	0.0	0
Subtotal Unclassified	3.7	304,574	5.0	411,897
Subtotal	117.9	7,408,316	113.0	7,390,157
Transfer Out		0		(1,387,572)
Transfer In		1,019,662		2,597,026
Turnover		(161,219)		(141,350)
Total Salaries		8,266,759		8,458,263
Benefits				
FICA		632,409		647,044
Health Benefits		1,770,352		1,830,429
Payroll Accrual		33,065		48,569
Retiree Health		494,352		505,807
Retirement		2,128,515		2,286,886
Subtotal		5,058,693		5,318,735
Total Salaries and Benefits	117.9	13,325,452	113.0	13,776,998
Cost Per FTE Position (Excluding Temp. and Seasonal)		113,023		121,920.34
Statewide Benefit Assessment		347,203		389,054

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Payroll Costs	117.9	13,672,655	113.0	14,166,052
Purchased Services				
Buildings and Ground Maintenance		6,206		5,821
Clerical and Temporary Services		5,858		1,357,244
Information Technology		4,482		4,205
Legal Services		480		1,129
Management & Consultant Services		0		107
Other Contracts		398,806		212,748
Training and Educational Services		324		9,256
Subtotal		416,156		1,590,510
Total Personnel	117.9	14,088,811	113.0	15,756,562
Distribution by Source of Funds				
Federal Funds		10,993,079		12,246,161
Restricted Receipts		3,095,732		3,510,401
Total All Funds		14,088,811		15,756,562

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Description

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws. The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework. The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures. The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public. The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions. The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Labor Standards	303,999	324,646	586,520	549,610	743,408
Occupational Safety	736,288	697,158	968,841	847,020	940,264
Professional Regulations	1,931,678	796,957	1,255,787	1,589,592	1,925,232
Total Expenditures	2,971,965	1,818,761	2,811,148	2,986,222	3,608,904
Expenditures by Object					
Salary and benefits	2,810,310	1,658,191	2,534,781	2,620,736	3,243,494
Contract Professional Services	22,891	19,719	16,783	19,095	19,188
Operating supplies and Expenses	132,650	137,991	239,041	343,419	343,303
Assistance And Grants	318	342	395	372	349
Subtotal: Operating	2,966,169	1,816,242	2,791,000	2,983,622	3,606,334
Capital Purchases And Equipment	5,796	2,519	17,371	2,600	2,570
Operating Transfers	0	0	2,777	0	0
Subtotal: Other	5,796	2,519	20,148	2,600	2,570
Total Expenditures	2,971,965	1,818,761	2,811,148	2,986,222	3,608,904
Expenditures by Source of Funds					
General Revenue	2,971,965	1,818,761	2,811,148	2,986,222	3,050,762
Restricted Receipts	0	0	0	0	558,142
Total Expenditures	2,971,965	1,818,761	2,811,148	2,986,222	3,608,904

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATOR- FINANCIAL MANAGEMENT	0.1	6,190	0.0	0
ADMINISTRATOR- OPERATIONS MANAGEMENT	0.8	82,288	0.0	0
APPRENTICESHIP TRAINING COORDINATOR	1.0	55,462	1.0	55,462
ASSISTANT BUSINESS MANAGEMENT OFFICER	0.1	4,205	0.0	0
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0.1	6,774	0.0	0
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	0.8	78,484	0.0	0
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	0.2	23,353	0.0	0
BUSINESS MANAGEMENT OFFICER	0.1	7,598	1.0	76,260
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	1.0	60,840	1.0	62,126
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM. OF ELECT.)	0.0	0	1.0	61,620
CHIEF ELEVATOR INSPECTOR	1.0	72,895	1.0	72,895
CHIEF HAZARDOUS SUBSTANCE UNIT	1.0	65,382	1.0	66,814
CHIEF IMPLEMENTATION AIDE	1.9	124,070	2.0	149,945
CHIEF LABOR STANDARDS EXAMINER	0.5	37,103	1.0	77,070
CHIEF LICENSING EXAMINER-DIVISION OF COMM LICEN & REGUL	1.0	88,128	1.0	88,548
CHIEF MECHANICAL INVESTIGATOR (BD OF MECHANICAL EXAMINERS)	1.0	72,971	1.0	73,141
CHIEF OF INFORMATION AND PUBLIC RELATIONS	0.1	5,869	0.0	0
CHIEF OF LABOR AND TRAINING OPERATIONS	2.0	154,884	1.0	93,966
CHIEF PREVAILING WAGE INVESTIGATOR	0.8	62,546	1.0	78,478
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	0.1	9,160	0.0	0
CLERK SECRETARY	1.0	52,071	1.0	52,146
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0.2	16,642	0.0	0
DEPUTY DIRECTOR (DLT)	0.1	14,173	0.0	0
DLT BUSINESS OFFICER	1.0	48,014	0.0	0
EMPLOYMENT AND TRAINING ASSISTANT	0.1	4,910	0.0	0
FISCAL MANAGEMENT OFFICER	0.5	36,297	0.0	0
IMPLEMENTATION AIDE	3.0	163,730	3.0	167,976
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL SAFETY)	1.0	4,458	1.0	44,577
LABOR STANDARDS EXAMINER	4.5	208,797	2.0	100,577
LEGAL ASSISTANT	0.2	10,642	0.0	0
OFFICE MANAGER	0.3	15,282	0.0	0
PREVAILING WAGE INVESTIGATOR	1.0	43,228	2.0	87,795

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	0.1	6,819	0.0	0
PROGRAMMING SERVICES OFFICER	0.1	6,315	0.0	0
SENIOR COMPUTER OPERATOR	0.2	9,908	0.0	0
SENIOR DLT BUSINESS OFFICER	0.1	6,391	0.0	0
SENIOR EMPLOYMENT AND TRAINING MANAGER	1.0	69,581	0.0	0
SENIOR INDUSTRIAL SAFETY SPECIALIST (DLT)	1.0	61,473	1.0	61,473
SENIOR PREVAILING WAGE INVESTIGATOR	0.8	47,298	1.0	62,120
SUPERVISING DLT BUSINESS OFFICER	0.1	8,586	1.0	85,985
SUPERVISOR APPRENTICESHIP TRAINING PROGRAMS	1.0	68,484	1.0	69,515
SUPERVISOR OF OFFICE SERVICES (DLT)	0.1	6,975	0.0	0
Subtotal Classified	31.0	1,928,276	26.0	1,688,489
Unclassified				
CENTRAL MAIL ROOM CLERK	0.4	14,440	0.0	0
CHIEF HOISTING ENGINEER INVESTIGATOR	0.0	0	1.0	73,060
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0.2	27,000	0.0	0
EXECUTIVE COUNSEL	0.4	43,306	0.0	0
LEGAL COUNSEL (EMPLOYMENT SECURITY)	1.3	88,743	2.0	182,974
SPECIAL ASSISTANT	0.1	6,992	0.0	0
Subtotal Unclassified	2.4	180,481	3.0	256,034
Subtotal	33.4	2,108,757	29.0	1,944,523
Transfer Out		(301,000)		(277,793)
Transfer In		0		793,698
Salaries Adjustment		0		(432,307)
Seasonal/Special Salaries/Wages		0		(95,112)
Turnover		(257,776)		(78,305)
Total Salaries		1,549,981		1,854,706
Benefits				
FICA		118,565		144,132
Health Benefits		388,484		539,936
Payroll Accrual		6,200		10,328
Retiree Health		92,682		86,869
Retirement		399,729		524,179
Subtotal		1,005,660		1,305,444

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	33.4	2,555,641	29.0	3,160,150
Cost Per FTE Position (Excluding Temp. and Seasonal)		76,516		108,970.69
Statewide Benefit Assessment		65,095		83,344
Payroll Costs	33.4	2,620,736	29.0	3,243,494
Purchased Services				
Buildings and Ground Maintenance		1,685		1,688
Clerical and Temporary Services		160		1,164
Information Technology		1,180		1,188
Legal Services		8,770		3,696
Other Contracts		7,300		8,397
Training and Educational Services		0		3,055
Subtotal		19,095		19,188
Total Personnel	33.4	2,639,831	29.0	3,262,682
Distribution by Source of Funds				
General Revenue		2,639,831		2,704,540
Restricted Receipts		0		558,142
Total All Funds		2,639,831		3,262,682

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement. For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel. For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits. Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating. Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI. Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Fire and Police	3,968,753	4,021,667	4,046,748	4,109,802	3,937,699
TDI	185,552,379	191,577,274	197,566,522	198,478,722	203,411,107
Unemployment Insurance	185,722,512	175,700,197	177,899,143	179,887,436	181,121,784
Total Expenditures	375,243,644	371,299,139	379,512,413	382,475,960	388,470,590
Expenditures by Object					
Salary and benefits	20,046,967	19,788,608	19,691,232	19,445,155	20,182,392
Contract Professional Services	1,509,531	1,772,045	2,355,844	1,048,660	789,494
Operating supplies and Expenses	3,422,827	3,981,948	2,214,304	10,882,957	9,635,780
Assistance And Grants	330,673,165	337,210,248	346,094,453	344,633,567	351,232,085
Subtotal: Operating	355,652,490	362,752,849	370,355,833	376,010,339	381,839,751
Capital Purchases And Equipment	188,708	65,730	36,580	30,621	60,839
Operating Transfers	19,402,446	8,480,560	9,120,000	6,435,000	6,570,000
Subtotal: Other	19,591,154	8,546,290	9,156,580	6,465,621	6,630,839
Total Expenditures	375,243,644	371,299,139	379,512,413	382,475,960	388,470,590
Expenditures by Source of Funds					
General Revenue	3,968,753	4,021,667	4,046,748	4,109,802	3,937,699
Federal Funds	15,120,618	16,575,795	14,138,705	20,824,181	19,921,142
Restricted Receipts	12,406,284	2,078,730	2,500,020	1,953,255	1,980,642
Other Funds	343,747,989	348,622,946	358,826,940	355,588,722	362,631,107
Total Expenditures	375,243,644	371,299,139	379,512,413	382,475,960	388,470,590

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE OFFICER	2.0	102,644	2.0	104,921
ADMINISTRATOR- FINANCIAL MANAGEMENT	0.2	16,547	0.0	0
ADMINISTRATOR- OPERATIONS MANAGEMENT	1.0	102,860	3.0	330,394
ASSISTANT BUSINESS MANAGEMENT OFFICER	0.5	21,025	0.0	0
ASSISTANT CHIEF OF PLANNING	1.0	39,144	1.0	91,601
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0.5	33,870	0.0	0
ASSISTANT COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRMS	1.0	64,513	1.0	64,513
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	1.0	46,503	0.0	0
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0.5	59,998	0.0	0
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	0.5	58,383	0.0	0
ASSISTANT DIRECTOR FOR LABOR MARKET INFO & MGMT SVS DLT	1.2	71,032	1.0	112,871
BENEFIT CLAIMS SPECIALIST	50.0	2,657,350	55.0	2,979,726
BUSINESS MANAGEMENT OFFICER	0.3	22,794	0.0	0
BUSINESS SERVICES SPECIALIST	0.0	0	1.0	52,821
CHIEF IMPLEMENTATION AIDE	0.5	37,196	0.0	0
CHIEF OF INFORMATION AND PUBLIC RELATIONS	0.3	17,607	0.0	0
CHIEF OF LABOR AND TRAINING OPERATIONS	3.0	276,243	5.0	455,784
CHIEF OF RESEARCH AND ANALYSIS	0.1	8,733	0.0	0
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	0.5	45,800	0.0	0
CHIEF REFEREE - BOARD OF REVIEW	1.0	108,966	1.0	109,100
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0.9	73,455	0.8	66,523
COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	1.0	69,750	1.0	69,750
DEPUTY DIRECTOR (DLT)	0.5	70,863	0.0	0
DLT BUSINESS OFFICER	2.3	107,619	2.0	102,591
EMPLOYMENT AND TRAINING ADMINISTRATOR	0.1	9,645	1.0	96,636
EMPLOYMENT AND TRAINING ASSISTANT	1.4	68,269	2.0	88,448
EMPLOYMENT AND TRAINING FINANCIAL ANALYST	1.0	72,473	1.0	72,473
EMPLOYMENT AND TRAINING MANAGER	12.0	683,146	11.0	671,561
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	11.1	478,582	12.0	536,155
EMPLOYMENT & TRAINING INTERVIEWER & INTERPR (PORTUGUESE	3.0	130,669	3.0	132,365

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
FRAUD AND OVERPAYMENT INVESTIGATOR	6.0	283,718	5.0	249,054
IMPLEMENTATION AIDE	1.0	55,729	0.0	0
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL SAFETY)	1.0	44,238	0.0	0
INTERPRETER (SPANISH)	3.0	115,553	4.0	161,514
INVESTIGATIVE AUDITOR	0.1	0	0.0	0
LABOR AND TRAINING ADMINISTRATOR	1.0	106,030	1.0	106,031
LEGAL ASSISTANT	0.8	42,568	1.0	53,209
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	1.0	45,222	1.0	48,034
MANAGEMENT ASSISTANCE SUPERVISOR	0.2	16,608	1.0	83,235
NURSING CARE EVALUATOR	2.0	140,873	3.0	227,069
OFFICE MANAGER	2.4	135,334	2.0	115,027
PRINCIPAL CLERK-TYPIST	1.0	37,083	1.0	37,083
PRINCIPAL DLT BUSINESS OFFICER	0.7	37,672	1.0	62,542
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	13.5	756,637	20.0	1,092,190
PRINCIPAL EMPLOYMENT AND TRAINING MANAGER	3.0	223,049	3.0	227,873
PROGRAMMING SERVICES OFFICER	0.5	31,575	0.0	0
REFEREE - BOARD OF REVIEW	6.0	592,756	6.0	594,674
RESEARCH TECHNICIAN	0.1	5,321	0.0	0
SENIOR COMPUTER OPERATOR	1.1	39,916	0.0	0
SENIOR DLT BUSINESS OFFICER	1.8	96,750	1.0	62,650
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	57.8	2,630,668	59.4	2,947,692
SENIOR EMPLOYMENT AND TRAINING MANAGER	3.0	178,541	3.0	206,127
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	5.0	306,862	5.0	309,990
SENIOR RESEARCH TECHNICIAN	1.0	59,911	1.0	59,911
SENIOR WORD PROCESSING TYPIST	3.0	109,242	4.0	145,030
SUPERVISING DLT BUSINESS OFFICER	1.6	171,151	0.0	0
SUPERVISOR OF OFFICE SERVICES (DLT)	0.5	34,875	0.0	0
Subtotal Classified	216.5	11,853,561	226.2	12,927,167
Unclassified				
CENTRAL MAIL ROOM CLERK	1.0	36,101	0.0	0
CHAIRPERSON MEMBER OF BOARD OF REVIEW (ES)	1.0	93,576	1.0	93,575
CONFIDENTIAL SECRETARY	1.0	53,753	1.0	63,160
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0.4	54,000	0.0	0

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
EXECUTIVE COUNSEL	0.2	21,653	0.0	0
LEGAL COUNSEL (EMPLOYMENT SECURITY)	2.6	223,229	1.5	146,608
MEMBER- BOARD OF REVIEW (ES)	2.0	204,823	2.0	220,508
SPECIAL ASSISTANT	0.5	34,960	1.0	63,991
Subtotal Unclassified	8.7	722,095	6.5	587,841
Subtotal	225.2	12,575,656	232.7	13,515,008
Transfer Out		(677,856)		(2,547,263)
Transfer In		0		1,098,034
Overtime (1.5)		50,000		40,000
Turnover		(429,858)		(182,988)
Total Salaries		11,517,942		11,922,802
Benefits				
FICA		877,299		909,020
Health Benefits		2,761,621		2,811,775
Payroll Accrual		45,872		68,254
Retiree Health		685,783		710,591
Retirement		3,074,986		3,213,364
Subtotal		7,445,561		7,713,004
Total Salaries and Benefits	225.2	18,963,503	232.7	19,635,806
Cost Per FTE Position (Excluding Temp. and Seasonal)		84,207		84,382.49
Statewide Benefit Assessment		481,652		546,586
Payroll Costs	225.2	19,445,155	232.7	20,182,392
Purchased Services				
Buildings and Ground Maintenance		10,982		11,021
Clerical and Temporary Services		59,886		49,575
Information Technology		540,910		306,170
Legal Services		230,000		227,431
Management & Consultant Services		3,188		3,220
Medical Services		76,215		71,480
Other Contracts		127,479		111,416
Training and Educational Services		0		9,181
Subtotal		1,048,660		789,494
Total Personnel	225.2	20,493,815	232.7	20,971,886

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		223,531		102,778
Federal Funds		9,975,982		10,387,418
Restricted Receipts		1,903,255		1,980,642
Other Funds		8,391,047		8,501,048
Total All Funds		20,493,815		20,971,886

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Chief Judge Robert F. Arrigan Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues. The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants. The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge. The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Arrigan Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed. The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Education & Rehabilitation	3,878,810	4,079,380	4,214,974	2,849,230	4,146,768
Workers' Comp Compliance	3,741,734	4,105,744	4,486,460	4,942,326	5,182,442
Total Expenditures	7,620,544	8,185,124	8,701,434	7,791,556	9,329,210
Expenditures by Object					
Salary and benefits	3,575,234	4,188,889	4,804,612	5,006,478	5,174,903
Contract Professional Services	2,144,264	2,404,559	2,172,405	1,076,603	2,440,144
Operating supplies and Expenses	560,936	406,187	433,129	382,794	414,301
Assistance And Grants	1,264,427	1,175,604	1,289,824	1,300,431	1,289,833
Subtotal: Operating	7,544,861	8,175,239	8,699,970	7,766,306	9,319,181
Capital Purchases And Equipment	75,683	9,885	1,464	25,250	10,029
Subtotal: Other	75,683	9,885	1,464	25,250	10,029
Total Expenditures	7,620,544	8,185,124	8,701,434	7,791,556	9,329,210
Expenditures by Source of Funds					
Restricted Receipts	7,620,544	8,185,124	8,701,434	7,791,556	9,329,210
Total Expenditures	7,620,544	8,185,124	8,701,434	7,791,556	9,329,210

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATOR- OPERATIONS MANAGEMENT	0.2	20,572	0.0	0
ASSISTANT ADMINISTRATIVE OFFICER	1.0	49,589	1.0	49,589
ASSISTANT ADMINISTRATOR OF VOCATIONAL REHAB (REHAB)	1.0	72,473	1.0	0
ASSISTANT ADMINISTRATOR REHABILITATION UNIT	1.0	103,441	0.0	0
ASSISTANT BUSINESS MANAGEMENT OFFICER	0.2	8,410	0.0	0
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0.2	13,548	0.0	0
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	0.2	19,621	0.0	0
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	0.2	23,353	0.0	0
BENEFIT CLAIMS SPECIALIST	3.0	153,319	0.0	0
BUSINESS MANAGEMENT OFFICER	0.1	7,598	0.0	0
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)	2.0	91,035	2.0	91,494
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	0.8	96,104	1.0	120,613
CHIEF DATA OPERATIONS	2.0	181,449	2.0	182,125
CHIEF IMPLEMENTATION AIDE	0.4	25,860	0.0	0
CHIEF INVESTIGATOR WORKERS COMPENSATION (FRAUD PRV UNT)	1.0	111,566	1.0	112,490
CHIEF LABOR STANDARDS EXAMINER	0.5	37,103	0.0	0
CHIEF OF INFORMATION AND PUBLIC RELATIONS	0.1	5,869	0.0	0
CHIEF PREVAILING WAGE INVESTIGATOR	0.2	15,636	0.0	0
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	0.2	18,320	0.0	0
COMPENSATION CLAIMS ANALYST	2.0	105,483	3.0	153,772
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0.3	24,919	0.0	0
DEPUTY DIRECTOR (DLT)	0.2	28,345	0.0	0
DLT BUSINESS OFFICER	0.4	20,582	0.0	0
EDUCATION UNIT REPRESENTATIVE	2.0	119,470	2.0	123,175
EMPLOYMENT AND TRAINING ASSISTANT	1.1	47,561	1.0	42,651
FISCAL MANAGEMENT OFFICER	0.5	36,297	0.0	0
IMPLEMENTATION AIDE	1.0	54,994	2.0	113,903
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	6.0	462,196	5.0	396,391
LABOR STANDARDS EXAMINER	4.5	208,797	0.0	0
MEDICAL ASSISTANT	2.0	84,505	2.0	85,615
MEDICAL RECORDS TECHNICIAN	1.0	42,655	1.0	43,032

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
PHYSICAL THERAPY ASSISTANT	4.0	205,269	5.0	251,299
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	1.2	61,248	0.0	0
PROGRAMMING SERVICES OFFICER	0.2	12,630	0.0	0
SENIOR COMPUTER OPERATOR	0.2	9,908	0.0	0
SENIOR DLT BUSINESS OFFICER	0.3	19,056	0.0	0
SENIOR PREVAILING WAGE INVESTIGATOR	0.2	11,825	0.0	0
SENIOR WORD PROCESSING TYPIST	2.0	76,020	3.0	111,060
SUPERVISING DLT BUSINESS OFFICER	0.2	15,561	1.0	69,750
SUPERVISOR OF OFFICE SERVICES (DLT)	0.2	13,950	0.0	0
SUPERVISOR OF VOCATIONAL REHABILITATION (DISAB. DETER.)	1.0	63,025	1.0	48,884
UNIT CLAIMS MANAGER	0.0	0	1.0	69,259
WORKERS' COMPENSATION PATIENT CARE COORDINATOR	2.0	169,125	2.0	177,937
Subtotal Classified	46.8	2,948,287	37.0	2,243,039
Unclassified				
CENTRAL MAIL ROOM CLERK	0.4	14,440	0.0	0
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0.2	27,000	0.0	0
EXECUTIVE COUNSEL	0.1	10,827	1.0	113,678
LABOR RELATIONS BOARD MEMBER	1.0	58,495	0.0	0
LEGAL COUNSEL (EMPLOYMENT SECURITY)	0.4	33,850	0.0	0
SPECIAL ASSISTANT	0.2	13,984	0.0	0
Subtotal Unclassified	2.3	158,596	1.0	113,678
Subtotal	49.1	3,106,883	38.0	2,356,717
Transfer Out		0		(189,208)
Transfer In		0		880,771
Seasonal/Special Salaries/Wages		0		5,600
Turnover		(129,684)		0
Total Salaries		2,977,197		3,053,876

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		221,074		233,623
Health Benefits		703,469		724,466
Payroll Accrual		11,559		17,308
Retiree Health		227,814		182,292
Retirement		743,991		823,125
Subtotal		1,907,907		1,980,814
Total Salaries and Benefits	49.1	4,885,104	38.0	5,034,690
Cost Per FTE Position (Excluding Temp. and Seasonal)		99,493		132,491.84
Statewide Benefit Assessment		121,374		140,213
Payroll Costs	49.1	5,006,478	38.0	5,174,903
Purchased Services				
Clerical and Temporary Services		2,746		5,070
Information Technology		340,652		340,806
Medical Services		483,727		1,828,220
Other Contracts		71,230		65,825
Training and Educational Services		178,248		200,223
Subtotal		1,076,603		2,440,144
Total Personnel	49.1	6,083,081	38.0	7,615,047
Distribution by Source of Funds				
Restricted Receipts		6,083,081		7,615,047
Total All Funds		6,083,081		7,615,047

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Labor Relations	439,709	404,678	397,335	412,555	404,420
Total Expenditures	439,709	404,678	397,335	412,555	404,420
Expenditures by Object					
Salary and benefits	393,853	351,010	363,208	351,166	347,979
Contract Professional Services	27,254	43,343	23,691	32,707	28,421
Operating supplies and Expenses	13,674	9,567	9,215	27,461	27,246
Assistance And Grants	21	21	21	21	22
Subtotal: Operating	434,802	403,941	396,135	411,355	403,668
Capital Purchases And Equipment	4,907	737	1,200	1,200	752
Subtotal: Other	4,907	737	1,200	1,200	752
Total Expenditures	439,709	404,678	397,335	412,555	404,420
Expenditures by Source of Funds					
General Revenue	439,709	404,678	397,335	412,555	404,420
Total Expenditures	439,709	404,678	397,335	412,555	404,420

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
LABOR BOARD CASE AGENT	1.0	74,492	1.0	74,781
Subtotal Classified	1.0	74,492	1.0	74,781
Unclassified				
ADMINISTRATOR LABOR RELATIONS BOARD	1.0	96,440	1.0	93,487
CHAIRPERSON- LABOR RELATIONS BOARD	0.0	15,846	0.0	14,659
LABOR RELATIONS BOARD MEMBER	0.0	58,508	0.0	54,124
Subtotal Unclassified	1.0	170,794	1.0	162,270
Subtotal	2.0	245,286	2.0	237,050
Total Salaries		245,286		237,051
Benefits				
FICA		18,765		18,134
Health Benefits		26,000		26,332
Payroll Accrual		981		1,276
Retiree Health		10,222		10,062
Retirement		42,733		44,221
Subtotal		98,701		100,025
Total Salaries and Benefits	2.0	343,987	2.0	337,076
Cost Per FTE Position (Excluding Temp. and Seasonal)		171,994		168,538
Statewide Benefit Assessment		7,179		10,903
Payroll Costs	2.0	351,166	2.0	347,979
Purchased Services				
Clerical and Temporary Services		6,939		2,635
Information Technology		89		121
Legal Services		25,000		25,000
Other Contracts		679		665
Subtotal		32,707		28,421
Total Personnel	2.0	383,873	2.0	376,400
Distribution by Source of Funds				
General Revenue		383,873		376,400
Total All Funds		383,873		376,400

Agency Summary

DEPARTMENT OF REVENUE

Agency Mission

Assesses and collects all taxes, fees, and revenues in the most efficient and cost-effective manner. Recommends options to enhance revenue collections and revenue competitiveness by evaluating the State's revenue policies and practices. Administers and enforces all laws pertaining to the operation and registration of motor vehicles. Administers a lottery system in the most efficient, ethical, and cost-effective manner. Monitors and reports on the financial condition of the State's cities and towns. Administers a databank on local finances and property values for the benefit of municipalities and public policy decision-makers. Assists cities and towns in financial distress to achieve sound fiscal management through data analysis, technical assistance, training, and oversight.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has seven programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, and State Aid (State Aid was transferred from the Department of Administration to the Department of Revenue in FY 2012).

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

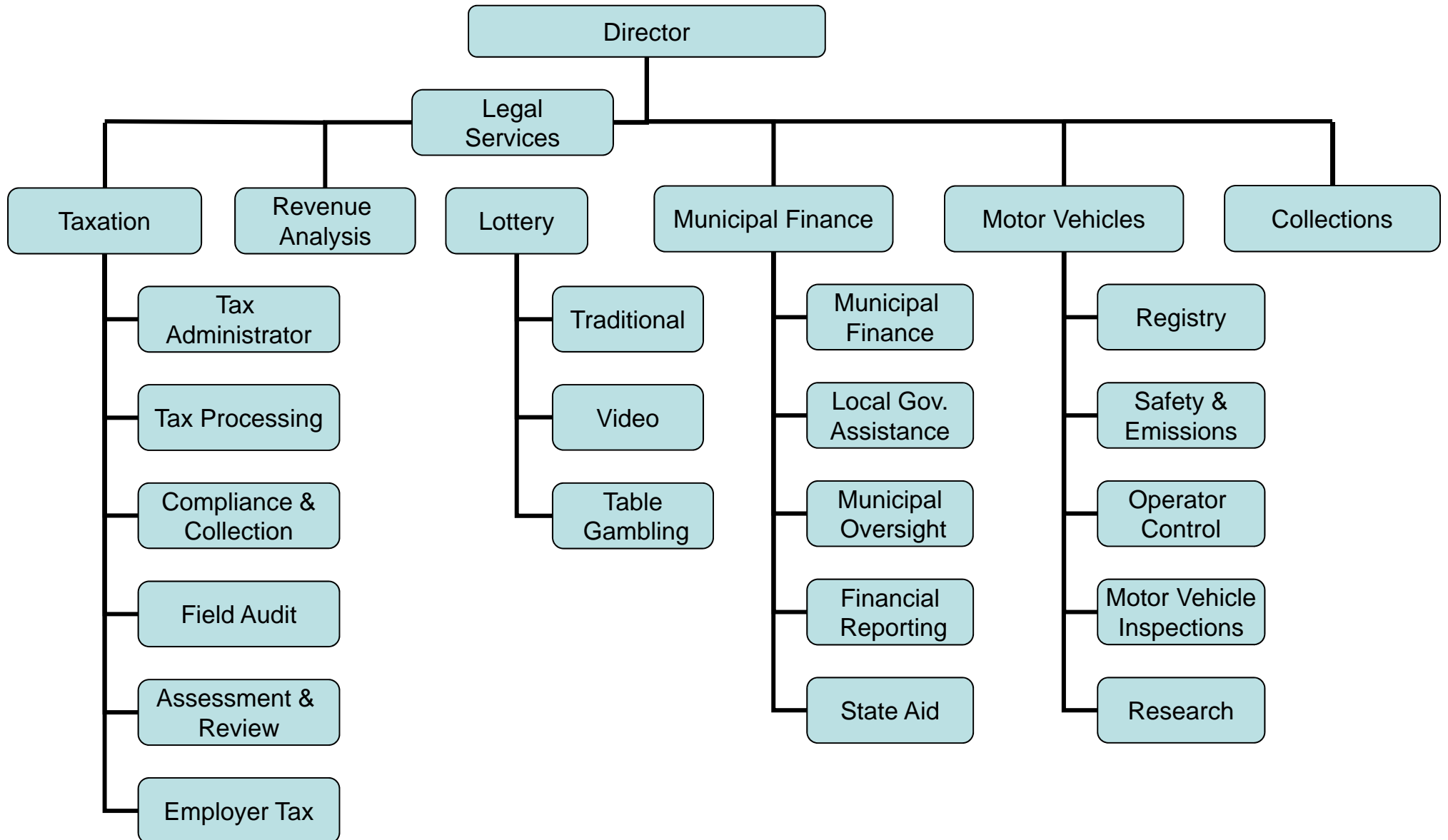
Budget

DEPARTMENT OF REVENUE

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Director of Revenue	872,227	1,285,543	1,244,266	1,997,887	2,144,460
Office of Revenue Analysis	488,662	745,009	788,009	724,135	883,408
Lottery Division	341,711,943	350,376,392	375,039,436	370,224,511	400,184,045
Municipal Finance	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455
Taxation	23,004,285	25,091,478	26,263,221	25,495,309	30,395,010
Registry of Motor Vehicles	22,793,972	25,139,735	23,476,456	29,324,943	30,324,926
State Aid	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457
Division of Collections	0	0	0	0	591,609
Total Expenditures	458,777,131	471,375,964	525,371,718	524,687,839	582,477,370
Expenditures by Object					
Salary And Benefits	46,719,779	49,653,620	50,779,564	49,704,357	56,759,285
Contract Professional Services	2,138,740	3,668,705	5,530,051	5,510,479	7,587,560
Operating Supplies And Expenses	339,156,230	347,843,640	371,758,856	373,782,101	401,043,959
Assistance And Grants	563,596	1,669,777	1,013,641	1,012,395	417,645
Aid To Local Units Of Government	67,657,254	65,989,581	95,578,344	94,112,295	115,843,053
Subtotal: Operating	456,235,599	468,825,322	524,660,456	524,121,627	581,651,502
Capital Purchases And Equipment	2,041,403	2,475,173	643,161	496,014	755,670
Operating Transfers	500,129	75,469	68,101	70,198	70,198
Subtotal: Other	2,541,532	2,550,642	711,262	566,212	825,868
Total Expenditures	458,777,131	471,375,964	525,371,718	524,687,839	582,477,370
Expenditures by Source of Funds					
General Revenue	109,737,194	110,837,271	143,622,132	147,980,937	177,275,361
Federal Funds	2,740,506	3,087,713	1,567,500	1,487,293	2,109,465
Restricted Receipts	3,591,584	6,028,994	3,962,015	3,900,165	2,064,187
Operating Transfers From Other Funds	444,458	0	0	0	0
Other Funds	342,263,389	351,421,987	376,220,071	371,319,444	401,028,357
Total Expenditures	458,777,131	471,375,964	525,371,718	524,687,839	582,477,370
FTE Authorization	514.5	523.5	533.5	529.5	612.5

The Agency

Department of Revenue



Personnel Agency Summary

DEPARTMENT OF REVENUE

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	438.5	24,849,581	488.5	26,964,207
Unclassified	91.0	6,681,104	124.0	8,096,833
Subtotal	529.5	31,530,685	612.5	35,061,040
Transfer Out		(398,437)		(211,042)
Transfer In		226,241		38,845
Regular Wages		0		178,023
Overtime		158,000		185,900
Overtime (1.5)		703,170		751,131
Seasonal/Special Salaries/Wages		453,511		472,436
Turnover		(4,046,226)		(2,872,979)
Total Salaries		28,755,009		33,603,367
Benefits				
FICA		2,403,581		2,461,724
Health Benefits		6,828,156		8,189,930
Holiday		909		0
Payroll Accrual		164,848		167,170
Retiree Health		1,892,355		1,938,217
Retirement		8,181,652		8,910,985
Subtotal		19,471,501		21,668,026
Total Salaries and Benefits	529.5	48,226,510	612.5	55,271,393
Cost Per FTE Position (Excluding Temporary and Seasonal)		91,079		90,239
Statewide Benefit Assessment		1,477,847		1,487,892
Payroll Costs	529.5	49,704,357	612.5	56,759,285
Purchased Services				
Buildings and Ground Maintenance		20,230		20,321
Clerical and Temporary Services		20,500		21,425
Information Technology		3,330,000		4,376,421
Legal Services		30,000		58,540
Management & Consultant Services		295,814		309,468
Other Contracts		1,813,935		2,801,385
Subtotal		5,510,479		7,587,560
Total Personnel	529.5	55,214,836	612.5	64,346,845
Distribution by Source of Funds				
General Revenue		39,472,796		46,720,140
Federal Funds		1,311,346		1,933,453
Restricted Receipts		2,948,252		1,112,274
Other Funds		11,482,442		14,580,978
Total All Funds		55,214,836		64,346,845

Performance Measures

DEPARTMENT OF REVENUE

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Quarterly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	40.00%	45.00%	60.00%	70.00%	70.00%
Actual	40.00%	40.00%	32.00%	0.00%	

DMV Transaction Wait Times

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	40.00	30.00	20.00	30.00	50.00
Actual	40.00	39.00	59.00	0.00	

Tax Returns Filed Online

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	40.00%	55.00%	70.00%	75.00%	85.00%
Actual	40.00%	65.00%	85.00%	0.00%	

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct line to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	245.00	250.00	300.00	350.00	606.00
Actual	245.00	285.00	606.00	0.00	

Program Summary

Agency: DEPARTMENT OF REVENUE

Director of Revenue

Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142).

Budget

Agency: DEPARTMENT OF REVENUE

Director of Revenue

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Director of Revenue	872,227	1,285,543	1,244,266	1,997,887	2,144,460
Total Expenditures	872,227	1,285,543	1,244,266	1,997,887	2,144,460
Expenditures by Object					
Salary and benefits	855,332	1,267,727	1,226,824	1,221,697	1,358,179
Operating supplies and Expenses	15,871	16,877	15,417	774,165	784,256
Subtotal: Operating	871,203	1,284,604	1,242,241	1,995,862	2,142,435
Capital Purchases And Equipment	1,024	940	2,025	2,025	2,025
Subtotal: Other	1,024	940	2,025	2,025	2,025
Total Expenditures	872,227	1,285,543	1,244,266	1,997,887	2,144,460
Expenditures by Source of Funds					
General Revenue	872,227	1,285,543	1,244,266	1,997,887	2,144,460
Total Expenditures	872,227	1,285,543	1,244,266	1,997,887	2,144,460

Personnel

Agency: DEPARTMENT OF REVENUE

Director of Revenue

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	1.0	140,173	1.0	140,172
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.0	91,601	1.0	91,601
CHIEF IMPLEMENTATION AIDE	2.0	127,086	2.0	118,810
CHIEF LEGAL OFFICER (TAXATION)	1.0	108,287	1.0	108,287
CHIEF OF INFORMATION AND PUBLIC RELATIONS	1.0	64,513	1.0	64,513
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	1.0	111,273	1.0	112,370
DEPUTY CHIEF OF LEGAL SERVICES	1.0	83,724	1.0	87,116
REVENUE POLICY ANALYST (DOR)	1.0	67,296	1.0	69,086
SENIOR LEGAL COUNSEL	1.0	76,422	1.0	78,305
Subtotal Classified	10.0	870,375	10.0	870,260
Unclassified				
DIRECTOR DEPARTMENT OF REVENUE	1.0	130,100	1.0	130,100
Subtotal Unclassified	1.0	130,100	1.0	130,100
Subtotal	11.0	1,000,475	11.0	1,000,360
Transfer Out		(105,129)		(105,130)
Transfer In		38,845		38,845
Turnover		(177,573)		(90,940)
Total Salaries		707,056		843,136
Benefits				
FICA		66,934		64,498
Health Benefits		121,292		127,741
Payroll Accrual		5,085		4,500
Retiree Health		52,785		50,420
Retirement		226,615		229,100
Subtotal		472,711		476,259
Total Salaries and Benefits	11.0	1,179,767	11.0	1,319,395
Cost Per FTE Position (Excluding Temp. and Seasonal)		107,252		119,945
Statewide Benefit Assessment		41,930		38,784
Payroll Costs	11.0	1,221,697	11.0	1,358,179
Total Personnel	11.0	1,221,697	11.0	1,358,179
Distribution by Source of Funds				
General Revenue		1,221,697		1,358,179
Total All Funds		1,221,697		1,358,179

Program Summary

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142-3).

Budget

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Revenue Analysis	488,662	745,009	788,009	724,135	883,408
Total Expenditures	488,662	745,009	788,009	724,135	883,408
Expenditures by Object					
Salary and benefits	430,434	651,693	764,513	676,419	803,692
Contract Professional Services	32,500	30,000	0	0	0
Operating supplies and Expenses	23,227	62,378	22,471	46,691	78,691
Subtotal: Operating	486,161	744,071	786,984	723,110	882,383
Capital Purchases And Equipment	2,501	938	1,025	1,025	1,025
Subtotal: Other	2,501	938	1,025	1,025	1,025
Total Expenditures	488,662	745,009	788,009	724,135	883,408
Expenditures by Source of Funds					
General Revenue	488,662	745,009	788,009	724,135	883,408
Total Expenditures	488,662	745,009	788,009	724,135	883,408

Personnel

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
PRINCIPAL ECONOMIC AND POLICY ANALYST	1.0	83,515	1.0	89,402
SENIOR ECONOMIC AND POLICY ANALYST	3.0	221,131	3.0	222,713
SENIOR REVENUE POLICY ANALYST (DOR)	1.0	81,324	1.0	82,669
Subtotal Classified	5.0	385,970	5.0	394,785
Unclassified				
CHIEF OF REVENUE ANALYSIS	1.0	138,301	1.0	137,955
Subtotal Unclassified	1.0	138,301	1.0	137,955
Subtotal	6.0	524,271	6.0	532,740
Turnover		(145,333)		(31,570)
Total Salaries		378,938		501,170
Benefits				
FICA		39,392		37,979
Health Benefits		61,810		71,921
Payroll Accrual		3,035		2,879
Retiree Health		31,461		29,971
Retirement		136,792		136,719
Subtotal		272,490		279,469
Total Salaries and Benefits	6.0	651,428	6.0	780,639
Cost Per FTE Position (Excluding Temp. and Seasonal)		108,571		130,106.5
Statewide Benefit Assessment		24,991		23,053
Payroll Costs	6.0	676,419	6.0	803,692
Total Personnel	6.0	676,419	6.0	803,692
Distribution by Source of Funds				
General Revenue		676,419		803,692
Total All Funds		676,419		803,692

Program Summary

Agency: DEPARTMENT OF REVENUE

Lottery Division

Mission

The mission of the Rhode Island Lottery is to generate revenue for the State through the responsible management and sale of entertaining lottery products. It incorporates the highest standards of security and integrity. It emphasizes customer service and maintaining the public's trust.

Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report. The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi-State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses. R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River. As required by the Rhode Island Constitution, Article VI Section XV and as provided, inter alia, in R.I. Gen. Laws § 42-61.2-3.1, the Division of Lotteries operates all aspects of the Table Games. Sixteen percent (16%) of net Table Game Revenue is allocated to the State Lottery Fund for administrative purposes, with the balance transferred to the State's General Fund.

Statutory History

R.I. Gen. Laws § 42-61 provides the general authority for the State Division of Lottery. R.I. Gen. Laws § 42-142 establishes the State Lottery as a division of the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Lottery Division

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Lottery Division	341,711,943	350,376,392	375,039,436	370,224,511	400,184,045
Total Expenditures	341,711,943	350,376,392	375,039,436	370,224,511	400,184,045
Expenditures by Object					
Salary and benefits	8,567,987	8,803,999	8,994,446	8,694,957	11,025,226
Contract Professional Services	1,123,032	1,180,988	1,777,095	1,718,937	2,737,864
Operating supplies and Expenses	331,460,978	340,273,999	364,000,081	359,700,318	386,139,750
Assistance And Grants	115,488	117,406	105,000	105,000	110,250
Subtotal: Operating	341,267,485	350,376,392	374,876,622	370,219,212	400,013,090
Capital Purchases And Equipment	0	0	162,814	5,299	170,955
Operating Transfers	444,458	0	0	0	0
Subtotal: Other	444,458	0	162,814	5,299	170,955
Total Expenditures	341,711,943	350,376,392	375,039,436	370,224,511	400,184,045
Expenditures by Source of Funds					
Operating Transfers from Other Funds	444,458	0	0	0	0
Other Funds	341,267,485	350,376,392	375,039,436	370,224,511	400,184,045
Total Expenditures	341,711,943	350,376,392	375,039,436	370,224,511	400,184,045

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
LEGAL COUNSEL	1.0	81,407	0.0	0
Subtotal Classified	1.0	81,407	0.0	0
Unclassified				
ACCOUNTING MANAGER	1.0	67,847	1.0	69,919
ADMINISTRATIVE OFFICER	1.0	62,108	1.0	51,604
ASSISTANT CONTROLLER	2.0	113,410	2.0	115,334
ASSISTANT FIELD REPRESENTATIVE	1.0	48,034	1.0	47,914
ASSISTANT MANAGER-MARKETING- AGENT LICENSES & REPRES.	2.0	132,767	2.0	131,995
ASSISTANT PRODUCTION MANAGER (LOTTERY)	1.0	61,951	1.0	63,300
ASSISTANT PRODUCTION WORKER	2.0	88,911	2.0	90,050
CASINO COMPLIANCE REPRESENTATIVE	13.0	765,639	23.0	1,164,029
CASINO COMPLIANCE SUPERVISOR	2.0	139,838	4.0	232,465
CASINO FINANCIAL ANALYST	1.0	78,493	1.0	69,942
CASINO FINANCIAL ANALYST SUPERVISOR	1.0	95,206	2.0	151,231
CASINO GAMING OPERATIONS INVESIGATOR	3.0	186,423	6.0	310,263
CASINO INSPECTIONS AND STANDARDS COMPLIANCE MANAGER	1.0	78,493	1.0	78,493
CASINO IT SUPPORT SPECIALIST	1.0	62,141	2.0	103,421
CASINO OPERATIONS AND COMPLIANCE MANAGER	1.0	112,643	1.0	112,643
CASINO SECURITY INSPECTOR	4.0	254,384	7.0	385,798
CASINO SECURITY MANAGER	1.0	73,415	1.0	73,231
CASINO SENIOR COMPLIANCE SUPERVISOR	1.0	88,655	2.0	144,311
CASINO SURVEILLANCE ANALYST	1.0	78,493	3.0	182,358
CASINO TABLE GAMES ACCOUNTING MANAGER	1.0	81,403	1.0	81,403
COMPUTER PROGRAMMER	1.0	55,726	1.0	58,202
CONTROLLER (LOTTERY)	1.0	84,433	1.0	84,433
DEPUTY DIRECTOR (LOTTERY)	1.0	137,959	1.0	133,734
DIRECTOR MANAGEMENT INFORMATION SYSTEMS (LOTTERY)	1.0	118,575	1.0	115,907
EXECUTIVE SECRETARY	1.0	63,604	1.0	61,060
FIELD REPRESENTATIVE (LOTTERY)	9.0	514,130	9.0	508,286
FINANCE ADMINISTRATION (MANAGER)	1.0	108,265	1.0	107,994
INFORMATION TECHNOLOGY SECURITY MANAGER	1.0	69,919	1.0	69,919
INSTANT TICKET DEVELOPMENT SUPERVISOR	1.0	74,368	1.0	72,695
INTERNAL AUDITOR	1.0	81,403	1.0	72,597
JUNIOR MAINTENANCE PERSON	1.0	31,234	1.0	31,722
LEGAL COUNSEL	0.0	0	1.0	77,131

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
LICENSING CLERK	1.0	53,968	1.0	53,428
LOTTERY DIRECTOR	1.0	147,357	1.0	144,041
LOTTERY SALES & MARKETING MANAGER	1.0	88,655	1.0	88,433
MAINTENANCE PERSON (LOTTERY)	1.0	62,279	1.0	60,372
MANAGER MARKETING AGENT LICENSES AND REPRESENTATIVES	1.0	88,655	1.0	88,433
PRINCIPAL PROJECTS MANAGER	2.0	158,693	2.0	157,862
Problem Gambling Manager	1.0	81,211	1.0	81,211
PRODUCTION CLERK	1.0	49,624	1.0	51,566
PRODUCTION MANAGER (LOTTERY)	1.0	73,919	1.0	73,180
Program Manager	1.0	65,160	0.0	0
PROJECT COORDINATOR	1.0	65,248	1.0	65,085
RECEPTIONIST	1.0	54,544	1.0	45,319
SECRETARY	3.0	146,390	3.0	145,647
SECURITY MANAGER	1.0	67,901	1.0	67,731
SUPERVISOR PERSONNEL RECORDS	1.0	56,170	1.0	55,608
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	1.0	49,861	1.0	51,949
TICKET ACCOUNTING CLERK (LOTTERY)	1.0	45,162	1.0	43,474
VALIDATIONS OFFICER	1.0	44,341	1.0	44,341
VIDEO LOTTERY PROGRAM AUDITOR	0.0	0	1.0	62,356
VIDEO LOTTERY SUPERVISOR	1.0	71,135	1.0	70,424
VIDEO LOTTERY SYSTEMS MANAGER	1.0	64,668	1.0	64,668
ZFTE Reconciliation to Authorization	(1.0)	0	(1.0)	0
Subtotal Unclassified	82.0	5,544,811	106.0	6,568,513
Subtotal	83.0	5,626,218	106.0	6,568,513
Transfer Out		0		0
Transfer In		105,130		105,130
Overtime		158,000		185,900
Seasonal/Special Salaries/Wages		54,000		54,000
Turnover		(733,923)		(319,496)
Total Salaries		5,104,295		6,594,044

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		427,984		493,703
Health Benefits		1,059,641		1,451,674
Payroll Accrual		32,473		31,378
Retiree Health		339,018		386,094
Retirement		1,464,406		1,771,335
Subtotal		3,323,522		4,134,184
Total Salaries and Benefits	83.0	8,427,817	106.0	10,728,228
Cost Per FTE Position (Excluding Temp. and Seasonal)		101,540		101,209.7
Statewide Benefit Assessment		267,140		296,998
Payroll Costs	83.0	8,694,957	106.0	11,025,226
Purchased Services				
Buildings and Ground Maintenance		1,820		1,911
Clerical and Temporary Services		18,500		19,425
Management & Consultant Services		99,225		104,186
Other Contracts		1,599,392		2,612,342
Subtotal		1,718,937		2,737,864
Total Personnel	83.0	10,413,894	106.0	13,763,090
Distribution by Source of Funds				
Other Funds		10,413,894		13,763,090
Total All Funds		10,413,894		13,763,090

Program Summary

Agency: DEPARTMENT OF REVENUE

Municipal Finance

Mission

The Division of Municipal Finance's mission is to provide guidance to municipalities in achieving and maintaining fiscal stability. The Division promotes the highest standards in local government fiscal policy and decision-making. It encourages transparency by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Description

The Division of Municipal Finance's responsibilities include assisting municipalities and fire districts to achieve sound fiscal management through data analysis, technical assistance, training, and oversight. The Division encourages cooperation between municipalities, fire districts, and the State by distributing relevant and timely information. It provides technical assistance to distressed municipalities and fire districts. The Division maintains a databank on local finances and property values for the benefit of municipalities, fire districts, and public policy decision-makers. In addition, the Division annually calculates municipal property wealth. It calculates and distributes municipal state aid programs and advises municipalities on the statistical property valuation process. Finally, the Division provides staff assistance to the Local Pension and Other Post Employment Benefit (OPEB) Study Commission.

Statutory History

R.I. Gen. Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Municipal Finance

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Municipal Affairs	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455
Total Expenditures	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455
Expenditures by Object					
Salary and benefits	1,653,395	1,635,725	1,906,939	1,732,984	1,652,130
Contract Professional Services	400,024	157,478	90,000	85,000	65,000
Operating supplies and Expenses	18,748	101,661	77,504	83,232	86,186
Assistance And Grants	1,720	852,422	905,018	905,018	305,018
Aid To Local Units Of Government	125,804	127,832	129,039	118,799	67,596
Subtotal: Operating	2,199,691	2,875,119	3,108,500	2,925,033	2,175,930
Capital Purchases And Equipment	1,024	939	2,525	2,525	2,525
Subtotal: Other	1,024	939	2,525	2,525	2,525
Total Expenditures	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455
Expenditures by Source of Funds					
General Revenue	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455
Total Expenditures	2,200,715	2,876,057	3,111,025	2,927,558	2,178,455

Personnel

Agency: DEPARTMENT OF REVENUE

Municipal Finance

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	1.0	112,370	1.0	112,370
CHIEF PROGRAM DEVELOPMENT	2.0	156,610	2.0	156,610
DATA CONTROL CLERK	1.0	47,234	1.0	37,132
FISCAL MANAGEMENT OFFICER	2.0	132,596	2.0	133,390
PRINCIPAL PROGRAM ANALYST	1.0	55,738	1.0	56,692
PRODUCTIVITY PROJECT DIRECTOR	2.0	130,161	2.0	133,899
PROGRAMMING SERVICES OFFICER	2.0	134,753	2.0	133,201
STATE AID AND FINANCE SPECIALIST - MUNICIPAL AFFAIRS	4.0	298,417	4.0	294,683
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	2.0	163,984	2.0	159,982
Subtotal Classified	17.0	1,231,863	17.0	1,217,959
Unclassified				
ZFTE Reconciliation to Authorization	(3.0)	0	(3.0)	0
Subtotal Unclassified	(3.0)	0	(3.0)	0
Subtotal	14.0	1,231,863	14.0	1,217,959
Turnover		(404,047)		(360,525)
Total Salaries		892,819		857,435
Benefits				
FICA		100,272		68,533
Health Benefits		253,411		285,299
Payroll Accrual		7,559		6,534
Retiree Health		78,382		68,838
Retirement		338,639		312,539
Subtotal		778,263		741,743
Total Salaries and Benefits	14.0	1,671,082	14.0	1,599,178
Cost Per FTE Position (Excluding Temp. and Seasonal)		119,363		114,227
Statewide Benefit Assessment		61,902		52,952
Payroll Costs	14.0	1,732,984	14.0	1,652,130
Purchased Services				
Legal Services		30,000		10,000
Management & Consultant Services		55,000		55,000
Subtotal		85,000		65,000
Total Personnel	14.0	1,817,984	14.0	1,717,130

Personnel

Agency: DEPARTMENT OF REVENUE

Municipal Finance

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,817,984		1,717,130
Total All Funds		1,817,984		1,717,130

Program Summary

Agency: DEPARTMENT OF REVENUE

Taxation

Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assists taxpayers by helping them understand and meet their tax responsibilities.

Description

The Office of Tax Administrator is responsible for providing the overall guidance and direction of the Division of Taxation. The Office oversees the operations of the Division and is directly responsible for overseeing the appeals process, legislative tracking and legislative testimony, tax credit administration, forms management, website maintenance, and overseeing the voluntary disclosure program. The Tax Processing section is responsible for the management and security of all processing operations in the Division. The unit performs all activities relating to the receipt of cash payments and the processing of tax returns. It also registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Compliance and Collections section's primary function is to maintain the integrity of the Rhode Island tax system through the collection of delinquent taxes and tax returns from individuals and businesses. This unit is responsible for compliance, collection and, if necessary, enforcement actions to collect all taxes administered by the Division. The Field Audit section is responsible for conducting comprehensive tax audits of the accounting and related records of individuals, partnerships, and corporate business organizations to determine their proper tax liability under State tax laws. The Field Audit section workload is divided into specialty audits and routine audits conducted in-state and out-of-state. The Office of Assessment and Review is responsible for the review and audit of tax returns received by the Division. It is also responsible for the maintenance of the Division's rules and regulations as well as educating taxpayers. The Employer Tax section operates the State's Unemployment Insurance (UI), Temporary Disability Insurance (TDI) and Job Development Fund (JDF) tax receipt programs.

Statutory History

R.I. Gen. Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Taxation

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Assessment and Review	3,386,164	3,458,522	3,427,202	3,386,958	3,827,422
Compliance and Collection	3,597,458	3,818,305	3,934,371	3,656,676	4,781,579
Employer Tax	2,893,751	3,054,191	3,311,086	3,096,362	3,211,048
Field Audit	6,708,807	7,567,349	7,130,457	6,811,087	7,924,866
Tax Administrator	2,200,093	2,695,936	2,055,771	2,049,786	2,322,194
Tax Processing Division	4,218,012	4,497,175	6,404,334	6,494,440	8,327,901
Total Expenditures	23,004,285	25,091,478	26,263,221	25,495,309	30,395,010
Expenditures by Object					
Salary and benefits	20,648,362	21,879,614	22,929,067	22,083,427	24,361,975
Contract Professional Services	106,036	508,463	1,362,876	1,409,042	3,984,156
Operating supplies and Expenses	1,775,301	1,713,962	1,936,005	1,981,567	2,013,606
Assistance And Grants	445,103	698,845	1,273	1,273	1,273
Subtotal: Operating	22,974,802	24,800,884	26,229,221	25,475,309	30,361,010
Capital Purchases And Equipment	29,483	290,594	34,000	20,000	34,000
Subtotal: Other	29,483	290,594	34,000	20,000	34,000
Total Expenditures	23,004,285	25,091,478	26,263,221	25,495,309	30,395,010
Expenditures by Source of Funds					
General Revenue	19,544,975	20,862,227	22,775,987	22,243,981	27,010,311
Federal Funds	1,632,034	2,306,503	1,361,360	1,273,006	1,912,976
Restricted Receipts	831,372	877,153	945,239	883,389	627,411
Other Funds	995,904	1,045,594	1,180,635	1,094,933	844,312
Total Expenditures	23,004,285	25,091,478	26,263,221	25,495,309	30,395,010

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ACCOUNTANT	1.0	43,562	1.0	44,697
ASSOCIATE DIRECTOR- REVENUE SERVICES (TAXATION)	1.0	138,835	1.0	141,653
CHIEF COMPLIANCE AND COLLECTIONS	1.0	110,111	1.0	110,330
CHIEF ESTATE AND GIFT TAXES	1.0	87,863	1.0	90,239
CHIEF IMPLEMENTATION AIDE	1.0	58,185	0.0	0
CHIEF OF EXAMINATION (TAXATION)	1.0	95,726	1.0	95,726
CHIEF OF TAX PROCESSING SERVICES	1.0	107,917	1.0	107,917
CHIEF REVENUE AGENT	10.0	990,688	10.0	1,000,902
CLERK	1.0	32,088	1.0	32,359
DATA ENTRY OPERATOR	2.0	68,186	3.0	107,860
DATA ENTRY UNIT SUPERVISOR	1.0	53,537	1.0	53,537
EMPLOYER REGISTRATION SUPERVISOR	1.0	53,967	1.0	55,289
EXECUTIVE DIRECTOR (DOA)/TAX ADMINISTRATOR	1.0	160,242	1.0	165,983
IMPLEMENTATION AIDE	1.0	51,011	1.0	51,515
INTERDEPARTMENTAL PROJECT MANAGER	0.0	0	1.0	83,882
OFFICE MANAGER	1.0	45,275	0.0	0
PRINCIPAL REVENUE AGENT	18.0	1,391,398	18.0	1,398,998
PROGRAMMING SERVICES OFFICER	2.0	143,959	2.0	147,452
REVENUE AGENT I	16.0	780,471	22.0	1,040,148
REVENUE AGENT II	14.0	745,104	15.0	819,143
REVENUE ANALYST	1.0	74,875	1.0	75,096
REVENUE OFFICER	7.0	349,687	7.0	352,181
REVENUE OFFICER I	30.0	1,440,044	37.0	1,582,279
REVENUE OFFICER SPECIAL INVESTIGATIONS	4.0	247,503	4.0	247,785
REVENUE POLICY ANALYST (DOR)	1.0	65,733	1.0	66,961
SENIOR CLERK	1.0	33,093	1.0	33,191
SENIOR LEGAL COUNSEL	2.0	150,819	2.0	153,079
SENIOR REVENUE AGENT	39.0	2,543,112	39.0	2,527,616
SPECIAL INVESTIGATION UNIT SUPERVISOR	1.0	68,193	1.0	68,192
STOREKEEPER	1.0	43,646	1.0	43,646
SUPERVISING REVENUE OFFICER	5.0	394,538	6.0	461,414
SUPERVISING TAX EXAMINER (DOA)	1.0	51,594	1.0	52,947
TAX AIDE I	16.0	649,059	16.0	652,586
TAX AIDE II	21.0	951,734	21.0	970,531
TAX EXAMINER (DOA)	7.0	354,922	7.0	352,416
TAX INVESTIGATOR	4.0	182,988	4.0	182,988

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
TAXPAYER ASSISTANCE REPRESENTATIVE	1.0	51,694	1.0	51,694
TAXPAYER SERVICE SPECIALIST	10.0	555,408	13.0	699,163
TELLER	2.0	85,185	2.0	86,629
TRAINING SUPERVISOR	1.0	56,254	1.0	56,863
WORD PROCESSING TYPIST	1.0	41,071	0.0	0
Subtotal Classified	231.0	13,549,277	248.0	14,264,886
Unclassified				
BUSINESS ANALYST (DOR)	1.0	56,737	1.0	58,746
CLERK-TAXATION(SEASONAL)	0.0	165,776	0.0	0
DATA ANALYST	0.0	0	2.0	140,684
DATA ANALYST I	0.0	0	4.0	281,368
DATA ANALYST II	0.0	0	1.0	81,017
DATA ENTRY OPER-TAX(SEASONAL)	0.0	216,260	0.0	0
SEASONAL POLICY INTERN	1.0	36,400	0.0	0
ZFTE Reconciliation to Authorization	0.0	0	(3.0)	0
Subtotal Unclassified	2.0	475,173	5.0	561,815
Subtotal	233.0	14,024,450	253.0	14,826,701
Transfer Out		(131,754)		(131,754)
Transfer In		82,266		82,266
Regular Wages		0		178,023
Overtime (1.5)		322,086		350,047
Seasonal/Special Salaries/Wages		399,511		418,436
Turnover		(1,571,104)		(1,057,014)
Total Salaries		13,112,757		14,666,714
Benefits				
FICA		1,065,787		1,050,465
Health Benefits		2,825,022		3,314,208
Payroll Accrual		80,499		74,642
Retiree Health		833,916		820,455
Retirement		3,520,198		3,804,379
Subtotal		8,325,422		9,064,149
Total Salaries and Benefits	233.0	21,438,179	253.0	23,730,863
Cost Per FTE Position (Excluding Temp. and Seasonal)		92,009		93,797.88
Statewide Benefit Assessment		645,248		631,112

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Payroll Costs	233.0	22,083,427	253.0	24,361,975
Purchased Services				
Clerical and Temporary Services		2,000		2,000
Information Technology		1,250,000		3,816,421
Management & Consultant Services		141,589		150,282
Other Contracts		15,453		15,453
Subtotal		1,409,042		3,984,156
Total Personnel	233.0	23,492,469	253.0	28,346,131
Distribution by Source of Funds				
General Revenue		20,315,397		25,035,727
Federal Funds		1,240,272		1,880,242
Restricted Receipts		868,252		612,274
Other Funds		1,068,548		817,888
Total All Funds		23,492,469		28,346,131

Program Summary

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

Mission

The Division of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles. It is committed to providing excellent customer service with integrity and transparency.

Description

The Division of Motor Vehicles (DMV), also known as the “Registry of Motor Vehicles,” is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles and the issuance of licenses. It is responsible for the enforcement of all laws relating to the issuance, suspension, and revocation of motor vehicle registrations and licenses. It is responsible for administering the inspection of motor vehicles and the study of motor vehicle accidents.

Statutory History

R.I. Gen. Laws § 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Registry of Motor Vehicles	22,764,425	25,110,939	23,446,930	29,295,417	30,295,400
Vehicle Value Commission	29,547	28,796	29,526	29,526	29,526
Total Expenditures	22,793,972	25,139,735	23,476,456	29,324,943	30,324,926
Expenditures by Object					
Salary and benefits	14,564,269	15,414,861	14,957,775	15,294,873	17,075,014
Contract Professional Services	477,148	1,791,776	2,300,080	2,297,500	692,000
Operating supplies and Expenses	5,688,228	5,674,762	5,707,378	11,196,128	11,941,470
Assistance And Grants	1,285	1,104	2,350	1,104	1,104
Subtotal: Operating	20,730,930	22,882,504	22,967,583	28,789,605	29,709,588
Capital Purchases And Equipment	2,007,371	2,181,763	440,772	465,140	545,140
Operating Transfers	55,671	75,469	68,101	70,198	70,198
Subtotal: Other	2,063,042	2,257,231	508,873	535,338	615,338
Total Expenditures	22,793,972	25,139,735	23,476,456	29,324,943	30,324,926
Expenditures by Source of Funds					
General Revenue	19,865,801	20,121,231	21,175,553	27,015,893	29,613,674
Federal Funds	1,108,472	781,210	206,140	214,287	196,489
Restricted Receipts	1,819,699	4,237,295	2,094,763	2,094,763	514,763
Total Expenditures	22,793,972	25,139,735	23,476,456	29,324,943	30,324,926

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADJUDICATION SERVICE REPRESENTATIVE	0.0	0	1.0	42,395
ADMINISTRATIVE OFFICER	3.0	171,650	3.0	172,355
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.0	82,720	1.0	84,519
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS. CONTR. DIV.	1.0	52,724	1.0	54,909
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR CUSTOMER SVS	1.0	112,822	1.0	112,822
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR SAFETY & REG	1.0	103,011	1.0	103,011
ASSOCIATE DIRECTOR REVENUE SERVICE (MOTOR VEHICLES)	1.0	115,115	1.0	117,128
AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	2.0	80,337	2.0	81,261
AUTOMOTIVE SERVICE SPECIALIST	3.0	133,542	3.0	133,542
CHIEF APPEALS OFFICER (MOTOR VEHICLES)	1.0	68,236	1.0	68,236
CHIEF IMPLEMENTATION AIDE	2.0	141,893	3.0	211,014
CHIEF MOTOR VEHICLE SAFETY AND EMISSION CONTROL DIV.	1.0	85,266	1.0	85,266
CHIEF OFFICE OF ENFORCEMENT AND INSPECTIONS (DOA)	1.0	78,349	1.0	82,266
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	1.0	81,206	1.0	81,206
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	1.0	89,070	1.0	93,966
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	1.0	110,724	1.0	112,370
CHIEF PROGRAM DEVELOPMENT	2.0	168,219	2.0	170,313
CLERK	0.0	0	4.0	128,022
COORDINATOR- MOTOR CARRIER & SCHOOL BUS SAFETY PRG/DOA	1.0	86,693	1.0	86,693
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	22.5	846,497	44.5	1,674,629
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	22.0	924,445	18.0	776,041
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	32.0	1,585,959	32.0	1,626,231
CUSTOMER SERVICE SPECIALIST I	4.0	168,291	4.0	169,993
DEALER LICENSING OFFICER (DMV)	1.0	72,842	1.0	72,842
ECONOMIC AND POLICY ANALYST I	1.0	65,077	1.0	67,036
FISCAL MANAGEMENT OFFICER	1.0	54,279	1.0	54,734
INFORMATION AIDE	6.0	250,279	8.0	325,602
INTERPRETING INTERVIEWER (SPANISH)	1.0	53,295	1.0	53,504
LICENSE INVESTIGATOR	5.0	257,584	6.0	300,123
LICENSING AIDE	6.0	244,975	7.0	293,465
MOTOR VEHICLE APPEALS OFFICER	13.0	700,429	12.0	665,732
MOTOR VEHICLE OPERATOR EXAMINER	10.0	440,501	10.0	447,538

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
PRINCIPAL CLERK-STENOGRAPHER	1.0	42,133	1.0	42,178
PRINCIPAL CLERK-TYPIST	1.0	42,645	1.0	42,645
SENIOR AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	2.0	96,195	2.0	99,179
SENIOR COMMUNITY DEVELOPMENT TRAINING SPECIALIST	1.0	66,814	1.0	66,814
SENIOR TELLER	4.0	189,842	3.0	143,081
SENIOR WORD PROCESSING TYPIST	1.0	44,500	1.0	44,500
STOREKEEPER	2.0	80,613	2.0	81,340
SUPERVISOR COMPUTER OPERATIONS	1.0	72,241	1.0	86,689
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	2.0	118,192	2.0	125,473
SUPERVISOR OF BRANCH OFFICE SERVICES (MOTOR VEHICLES)	9.0	471,290	12.0	646,041
TELEPHONE OPERATOR	1.0	41,697	1.0	41,697
TELLER	1.0	38,497	1.0	39,165
Subtotal Classified	174.5	8,730,689	203.5	10,007,564
Unclassified				
ADJUDICATION SERVICE REPRESENTATIVE	7.0	306,860	7.0	350,991
CDL PROGRAM COORDINATOR (DMV)	1.0	85,859	1.0	85,414
PROGRAMMER/ANALYST (DOT)	0.0	0	3.0	150,331
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	0.0	0	1.0	49,887
Subtotal Unclassified	8.0	392,719	12.0	636,623
Subtotal	182.5	9,123,408	215.5	10,644,187
Transfer Out		(161,554)		(161,554)
Transfer In		0		0
Overtime (1.5)		381,084		401,084
Turnover		(1,014,246)		(1,013,434)
Total Salaries		8,559,144		9,870,288
Benefits				
FICA		703,212		725,849
Health Benefits		2,506,980		2,849,735
Holiday		909		0
Payroll Accrual		36,197		47,237
Retiree Health		556,793		566,260
Retirement		2,495,002		2,583,100
Subtotal		6,299,093		6,772,181

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	182.5	14,858,237	215.5	16,642,469
Cost Per FTE Position (Excluding Temp. and Seasonal)		81,415		77,227.23
Statewide Benefit Assessment		436,636		432,545
Payroll Costs	182.5	15,294,873	215.5	17,075,014
Purchased Services				
Buildings and Ground Maintenance		18,410		18,410
Information Technology		2,080,000		500,000
Other Contracts		199,090		173,590
Subtotal		2,297,500		692,000
Total Personnel	182.5	17,592,373	215.5	17,767,014
Distribution by Source of Funds				
General Revenue		15,441,299		17,213,803
Federal Funds		71,074		53,211
Restricted Receipts		2,080,000		500,000
Total All Funds		17,592,373		17,767,014

Program Summary

Agency: DEPARTMENT OF REVENUE

State Aid

Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration. The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax exempt property (subject to appropriation). The FY 2017 revised budget is funded at \$42.0 million, while the FY 2018 proposed budget is funded at \$45.2 million. Data used to determine distribution amounts is updated annually to reflect the most recent data. The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. The program is level funded at \$12.4 million in FY 2017 revised and FY 2018 proposed. Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed critical must submit a Funding Improvement Plan under RIGL45-13.2. The FY 2018 budget continues to provide funding for the Property Valuation Statistical Update Program, which reimburses cities and towns for legislatively mandated property valuation statistical updates on a per parcel basis. Funding for FY 2017 revised is estimated at \$559,901. The projected FY 2018 funding is \$937,228 for estimated actual cost of reimbursement based on similar communities. The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven year period. There have been various legislative changes to the legislation since its inception. Total funding enacted in FY 2017 revised and FY 2018 proposed to support this program is \$10.0 million.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

Budget

Agency: DEPARTMENT OF REVENUE

State Aid

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
State Aid	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457
Total Expenditures	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457
Expenditures by Object					
Operating supplies and Expenses	173,877	0	0	0	0
Aid To Local Units Of Government	67,531,450	65,861,749	95,449,305	93,993,496	115,775,457
Subtotal: Operating	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457
Total Expenditures	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457
Expenditures by Source of Funds					
General Revenue	66,764,814	64,947,203	94,527,292	93,071,483	114,853,444
Restricted Receipts	940,513	914,546	922,013	922,013	922,013
Total Expenditures	67,705,327	65,861,749	95,449,305	93,993,496	115,775,457

Program Summary

Agency: DEPARTMENT OF REVENUE

Division of Collections

Mission

Improve performance of delinquent debt collection activities, providing consistent oversight of outstanding receivables, managing the revenue stream associated with the collection of delinquent accounts, and leveraging existing talent and tools.

Description

This collection unit is modeled after other states and will move collection functions into the Department of Revenue, Collections Unit. This program consolidates collection functions of delinquent debts. The Collections Unit will be staffed with new hires who have knowledge and experience with the management and collection of delinquent debts. When the debtor has not complied with a final agreement with the agency, a final administrative order/decision, and/or a final order or judgment from court the delinquent debt information will be transferred to the Collections Unit for more aggressive collection actions. The Collections Unit is expected to generate \$670,000 net revenue during FY 2019.

Statutory History

The Governor has submitted legislation pertaining to the creation of this Division in Sections 2 and 3 of Article 4 in the FY 2019 Appropriations Act.

Budget

Agency: DEPARTMENT OF REVENUE

Division of Collections

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Collections	0	0	0	0	591,609
Total Expenditures	0	0	0	0	591,609
Expenditures by Object					
Salary and benefits	0	0	0	0	483,069
Contract Professional Services	0	0	0	0	108,540
Subtotal: Operating	0	0	0	0	591,609
Total Expenditures	0	0	0	0	591,609
Expenditures by Source of Funds					
General Revenue	0	0	0	0	591,609
Total Expenditures	0	0	0	0	591,609

Personnel

Agency: DEPARTMENT OF REVENUE

Division of Collections

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
BILLING SPECIALIST	0.0	0	1.0	22,746
CHIEF COMPLIANCE AND COLLECTIONS	0.0	0	1.0	50,183
SENIOR ACCOUNTANT	0.0	0	3.0	135,825
Subtotal Classified	0.0	0	5.0	208,754
Unclassified				
Collections Clerk	0.0	0	2.0	61,826
Subtotal Unclassified	0.0	0	2.0	61,826
Subtotal	0.0	0	7.0	270,580
Total Salaries		0		270,580
Benefits				
FICA		0		20,697
Health Benefits		0		89,352
Retiree Health		0		16,179
Retirement		0		73,813
Subtotal		0		200,041
Total Salaries and Benefits	0.0	0	7.0	470,621
Cost Per FTE Position (Excluding Temp. and Seasonal)		0		67,231.57
Statewide Benefit Assessment		0		12,448
Payroll Costs	0.0	0	7.0	483,069
Purchased Services				
Information Technology		0		60,000
Legal Services		0		48,540
Subtotal		0		108,540
Total Personnel	0.0	0	7.0	591,609
Distribution by Source of Funds				
General Revenue		0		591,609
Total All Funds		0		591,609

Agency Summary

GENERAL ASSEMBLY

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

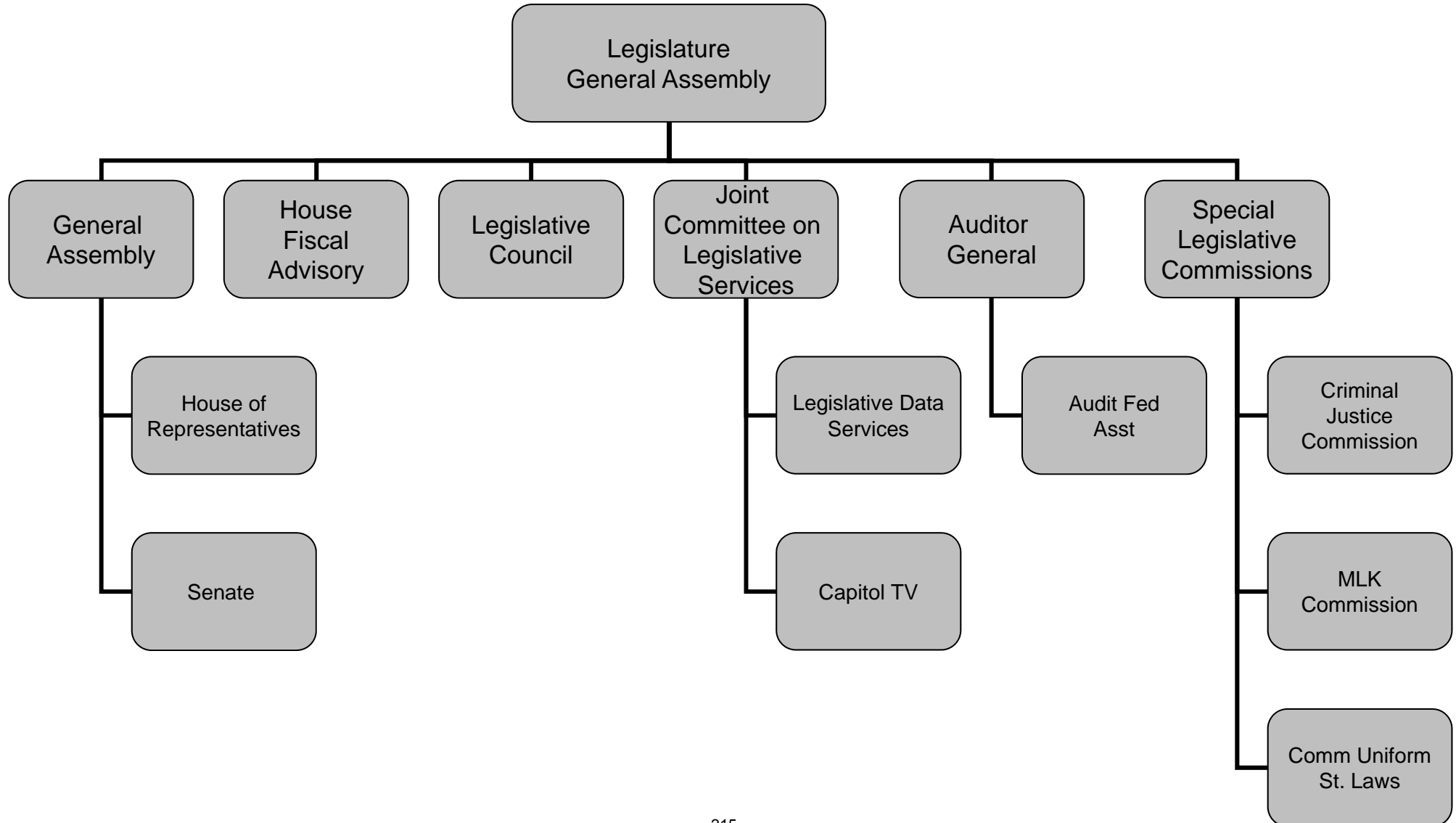
Budget

GENERAL ASSEMBLY

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
General Assembly	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071
Fiscal Advisory Staff	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535
Legislative Council	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747
Joint Comm. on Legislative Services	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695
Auditor General	4,833,990	4,591,882	5,748,004	5,486,511	5,740,085
Special Legislative Commissions	8,475	8,539	13,900	13,900	13,900
Total Expenditures	37,669,916	38,325,853	42,252,464	47,064,142	44,635,033
Expenditures by Object					
Salary And Benefits	31,599,113	32,309,927	35,026,369	35,735,679	37,316,955
Contract Professional Services	382,085	367,395	555,500	755,300	680,500
Operating Supplies And Expenses	3,179,171	3,071,103	3,545,595	6,858,163	3,551,078
Assistance And Grants	2,238,865	2,051,025	2,300,000	2,300,000	2,300,000
Subtotal: Operating	37,399,234	37,799,450	41,427,464	45,649,142	43,848,533
Capital Purchases And Equipment	270,682	526,403	825,000	1,415,000	786,500
Subtotal: Other	270,682	526,403	825,000	1,415,000	786,500
Total Expenditures	37,669,916	38,325,853	42,252,464	47,064,142	44,635,033
Expenditures by Source of Funds					
General Revenue	36,219,919	36,925,853	40,522,507	45,419,385	42,914,338
Restricted Receipts	1,449,997	1,400,000	1,729,957	1,644,757	1,720,695
Total Expenditures	37,669,916	38,325,853	42,252,464	47,064,142	44,635,033
FTE Authorization	298.5	298.5	298.5	298.5	298.5

The Agency

Legislature General Assembly



Personnel Agency Summary

GENERAL ASSEMBLY

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	0.0	0	4.0	247,755
Unclassified	298.5	21,678,928	294.5	21,431,195
Subtotal	298.5	21,678,928	298.5	21,678,950
Salaries Adjustment		0		124,027
Seasonal/Special Salaries/Wages		570,000		569,991
Turnover		(635,874)		(194,954)
Total Salaries		21,613,054		22,178,023
Benefits				
FICA		1,631,341		1,690,442
Health Benefits		5,327,803		5,735,030
Payroll Accrual		121,202		124,887
Retiree Health		1,166,804		1,206,729
Retirement		4,991,652		5,384,265
Subtotal		13,238,802		14,141,353
Total Salaries and Benefits	298.5	34,851,856	298.5	36,319,376
Cost Per FTE Position (Excluding Temporary and Seasonal)		116,757		121,673
Statewide Benefit Assessment		883,823		997,579
Payroll Costs	298.5	35,735,679	298.5	37,316,955
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Clerical and Temporary Services		80,000		80,000
Information Technology		220,000		220,000
Legal Services		285,000		215,000
Management & Consultant Services		163,300		158,500
Other Contracts		3,500		3,500
Subtotal		755,300		680,500
Total Personnel	298.5	36,490,979	298.5	37,997,455
Distribution by Source of Funds				
General Revenue		34,992,652		36,422,800
Restricted Receipts		1,498,327		1,574,655
Total All Funds		36,490,979		37,997,455

Program Summary

Agency: GENERAL ASSEMBLY

General Assembly

Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

Budget

Agency: GENERAL ASSEMBLY

General Assembly

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071
Total Expenditures	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071
Expenditures by Object					
Salary and benefits	3,520,493	3,635,028	3,844,475	3,805,631	3,890,252
Contract Professional Services	279,408	312,308	317,000	462,000	442,000
Operating supplies and Expenses	1,595,742	1,541,266	1,808,350	3,467,962	1,937,819
Subtotal: Operating	5,395,643	5,488,603	5,969,825	7,735,593	6,270,071
Capital Purchases And Equipment	46,511	82,951	110,500	380,500	492,000
Subtotal: Other	46,511	82,951	110,500	380,500	492,000
Total Expenditures	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071
Expenditures by Source of Funds					
General Revenue	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071
Total Expenditures	5,442,154	5,571,554	6,080,325	8,116,093	6,762,071

Personnel

Agency: GENERAL ASSEMBLY

General Assembly

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
REPRESENTATIVE	0.0	1,141,820	0.0	1,141,820
REPRESENTATIVE-SPEAKER OF THE HOUSE	0.0	30,860	0.0	30,860
SENATOR	0.0	570,910	0.0	570,910
SENATOR-PRESIDENT OF THE SENATE	0.0	30,860	0.0	30,860
Subtotal Unclassified	0.0	1,774,450	0.0	1,774,450
Subtotal	0.0	1,774,450	0.0	1,774,450
Seasonal/Special Salaries/Wages		475,000		475,000
Total Salaries		2,249,450		2,249,450
Benefits				
FICA		172,149		172,084
Health Benefits		1,285,044		1,362,682
Payroll Accrual		10,093		10,041
Retiree Health		14,375		14,373
Subtotal		1,481,661		1,559,180
Total Salaries and Benefits	0.0	3,731,111	0.0	3,808,630
Cost Per FTE Position (Excluding Temp. and Seasonal)		0		0
Statewide Benefit Assessment		74,520		81,622
Payroll Costs	0.0	3,805,631	0.0	3,890,252
Purchased Services				
Clerical and Temporary Services		79,500		79,500
Legal Services		230,000		210,000
Management & Consultant Services		150,000		150,000
Other Contracts		2,500		2,500
Subtotal		462,000		442,000
Total Personnel	0.0	4,267,631	0.0	4,332,252
Distribution by Source of Funds				
General Revenue		4,267,631		4,332,252
Total All Funds		4,267,631		4,332,252

Program Summary

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

Mission

Fiscal Advisory Staff members perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Plan. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established in 1959. Statutory provisions for the Fiscal Advisory Staff are contained in R.I.G.L. 22-6.

Budget

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535
Total Expenditures	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535
Expenditures by Object					
Salary and benefits	1,277,704	1,396,517	1,713,017	1,632,638	1,745,135
Operating supplies and Expenses	113,907	70,774	105,400	156,400	105,400
Subtotal: Operating	1,391,611	1,467,292	1,818,417	1,789,038	1,850,535
Capital Purchases And Equipment	14,226	0	25,000	50,000	25,000
Subtotal: Other	14,226	0	25,000	50,000	25,000
Total Expenditures	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535
Expenditures by Source of Funds					
General Revenue	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535
Total Expenditures	1,405,837	1,467,292	1,843,417	1,839,038	1,875,535

Personnel

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	1.0	58,446	1.0	58,447
ANALYST I	7.0	422,136	2.0	129,186
DEPUTY FISCAL ADVISOR II	1.0	120,620	1.0	120,620
FISCAL ANALYST	0.0	0	2.0	117,180
FISCAL ANALYST I	0.0	0	3.0	175,770
HOUSE FISCAL ADVISOR	1.0	187,803	1.0	187,803
LEGISLATIVE BUDGET ANALYST II	1.0	64,593	1.0	64,592
PRINCIPAL ANALYST I	1.0	106,105	1.0	106,106
PRINCIPAL ANALYST III	1.0	112,943	1.0	112,943
Subtotal Unclassified	13.0	1,072,646	13.0	1,072,647
Subtotal	13.0	1,072,646	13.0	1,072,647
Salaries Adjustment		0		1
Turnover		(58,590)		0
Total Salaries		1,014,056		1,072,647
Benefits				
FICA		73,524		78,609
Health Benefits		170,672		181,193
Payroll Accrual		5,826		6,158
Retiree Health		60,643		64,144
Retirement		265,326		293,044
Subtotal		575,991		623,148
Total Salaries and Benefits	13.0	1,590,047	13.0	1,695,795
Cost Per FTE Position (Excluding Temp. and Seasonal)		122,311		130,445.77
Statewide Benefit Assessment		42,591		49,340
Payroll Costs	13.0	1,632,638	13.0	1,745,135
Total Personnel	13.0	1,632,638	13.0	1,745,135
Distribution by Source of Funds				
General Revenue		1,632,638		1,745,135
Total All Funds		1,632,638		1,745,135

Program Summary

Agency: GENERAL ASSEMBLY

Legislative Council

Mission

The Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. The Legislative Council's principal activity is drafting of legislation for individual members.

Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, duplication, and delivery of bills to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established in 1939. Its statutory provisions are contained in R.I.G.L. 22-8.

Budget

Agency: GENERAL ASSEMBLY

Legislative Council

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747
Total Expenditures	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747
Expenditures by Object					
Salary and benefits	3,574,743	3,917,468	5,258,980	4,599,643	5,053,297
Contract Professional Services	225	0	5,500	55,500	5,500
Operating supplies and Expenses	81,177	82,777	126,950	316,950	131,950
Subtotal: Operating	3,656,145	4,000,245	5,391,430	4,972,093	5,190,747
Capital Purchases And Equipment	27,169	1,033	45,000	90,000	45,000
Subtotal: Other	27,169	1,033	45,000	90,000	45,000
Total Expenditures	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747
Expenditures by Source of Funds					
General Revenue	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747
Total Expenditures	3,683,314	4,001,278	5,436,430	5,062,093	5,235,747

Personnel

Agency: GENERAL ASSEMBLY

Legislative Council

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
SENIOR LEGAL COUNSEL	0.0	0	1.0	69,919
Subtotal Classified	0.0	0	1.0	69,919
Unclassified				
ANALYST	1.0	51,363	1.0	51,363
ANALYST I	0.6	44,853	0.6	44,853
CHIEF ASST TO DIRECTOR	1.0	122,774	1.0	122,774
CLERICAL	4.0	146,570	4.0	146,573
CONSTITUENT LIAISON	1.0	52,416	1.0	52,416
LAW CLERK	1.0	50,988	1.0	50,988
LEGAL COUNSEL	18.8	1,126,811	18.8	1,126,811
LEGAL COUNSEL (LEGISLATIVE COU	1.0	123,851	1.0	123,851
LEGISLATIVE AIDE	5.0	252,325	5.0	252,325
LEGISLATIVE ANALYST	1.0	62,808	1.0	62,808
LEGISLATIVE COUNSEL	0.6	35,049	0.6	35,049
LEGISLATIVE LEGAL COUNSEL	1.2	96,325	1.2	96,325
LEGISLATIVE RESEARCHER	1.0	38,932	1.0	38,932
PROOFER	1.0	32,215	1.0	32,215
PROOFREADER	1.0	40,327	1.0	40,327
RESEARCHER II	1.0	62,432	1.0	62,432
SECRETARY	5.0	200,799	5.0	200,799
SECRETARY I	2.0	98,098	2.0	98,098
SENIOR LEGAL COUNSEL	1.0	69,919	0.0	0
SENIOR LEGISLATIVE ANALYST	1.0	60,891	1.0	60,891
SENIOR PROOFER/SECRETARY	1.0	42,020	1.0	42,020
Subtotal Unclassified	50.2	2,811,766	49.2	2,741,850
Subtotal	50.2	2,811,766	50.2	2,811,769
Salaries Adjustment		0		1,129
Seasonal/Special Salaries/Wages		0		1
Turnover		(249,809)		0
Total Salaries		2,561,958		2,812,898

Personnel

Agency: GENERAL ASSEMBLY

Legislative Council

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		195,772		208,045
Health Benefits		903,834		999,807
Payroll Accrual		14,729		15,576
Retiree Health		153,205		162,628
Retirement		662,548		729,248
Subtotal		1,930,088		2,115,304
Total Salaries and Benefits	50.2	4,492,046	50.2	4,928,202
Cost Per FTE Position (Excluding Temp. and Seasonal)		89,483		98,171.35
Statewide Benefit Assessment		107,597		125,095
Payroll Costs	50.2	4,599,643	50.2	5,053,297
Purchased Services				
Clerical and Temporary Services		500		500
Legal Services		55,000		5,000
Subtotal		55,500		5,500
Total Personnel	50.2	4,655,143	50.2	5,058,797
Distribution by Source of Funds				
General Revenue		4,655,143		5,058,797
Total All Funds		4,655,143		5,058,797

Program Summary

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature.

Statutory History

The Joint Committee on Legislative Services was established as a permanent joint committee in 1960. Its statutory provisions are contained in R.I.G.L. 22-11.

Budget

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695
Total Expenditures	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695
Expenditures by Object					
Salary and benefits	18,945,620	19,289,057	19,012,193	20,709,437	21,387,510
Contract Professional Services	100,573	44,617	222,000	232,000	232,000
Operating supplies and Expenses	865,613	876,596	1,014,195	2,443,070	896,185
Assistance And Grants	2,238,865	2,051,025	2,300,000	2,300,000	2,300,000
Subtotal: Operating	22,150,671	22,261,295	22,548,388	25,684,507	24,815,695
Capital Purchases And Equipment	145,475	424,014	582,000	862,000	192,000
Subtotal: Other	145,475	424,014	582,000	862,000	192,000
Total Expenditures	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695
Expenditures by Source of Funds					
General Revenue	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695
Total Expenditures	22,296,146	22,685,308	23,130,388	26,546,507	25,007,695

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE AIDE	2.0	76,934	1.0	41,052
ADMINISTRATIVE ASSISTANT	12.6	793,799	13.6	808,367
ADMIN OF HOUSE OVERSIGHT	1.0	65,564	1.0	65,564
ASSISTANT CLERK - FINANCE	1.0	46,255	1.0	46,255
ASSISTANT CLERK HOUSE FINANCE	1.0	46,805	1.0	46,805
ASSISTANT COMPUTER OPERATOR	1.4	78,000	1.0	54,920
ASSISTANT DIRECTOR	1.0	85,110	1.0	85,110
ASSISTANT ENGINEER CAPITOL TV	1.0	54,642	1.0	54,642
ASSISTANT PROGRAM DIRECTOR	1.0	52,143	1.0	52,143
ASSISTANT TO ADMINISTRATOR	1.0	71,953	1.0	71,953
ASST DIRECTOR OF LAW REVISION	1.0	93,248	1.0	93,248
CAPITAL TV TECHNICIAN	1.0	69,518	3.0	159,541
CHIEF LEGAL COUNSEL	2.0	289,648	2.0	289,649
CHIEF OF STAFF	4.0	480,000	4.0	480,000
CLERICAL	2.0	99,571	3.0	135,551
CLERK	0.6	32,244	0.6	32,244
CLERK CORPORATIONS COMMITTEE	1.0	47,761	1.0	47,761
CLERK-HOUSE FINANCE	1.0	85,110	1.0	73,558
CLERK- LABOR COMMITTEE	0.6	27,912	0.6	27,912
CLERK OF HOUSE OVERSIGHT	1.0	46,805	1.0	46,805
COMM/MULTI MEDIA SUPPORT STAFF	0.6	36,538	0.6	36,538
COMMUNICATION DIRECTOR	1.0	54,291	1.0	54,291
Computer Engineer	0.0	0	0.4	23,080
CONSTITUENT LIAISON	3.0	146,936	3.0	146,936
CONSTITUENT SERVICES LIAISON	1.0	49,921	1.0	49,921
CONSTITUENT SVS CASEWORKER	2.0	104,113	2.0	104,113
DATA ANALYST	3.0	157,690	3.0	157,691
DEP CHIEF OF STAFF/LEGISLATION	1.0	126,364	1.0	126,364
DEPUTY DIR CONSTITUENT SERVICE	1.0	54,750	1.0	73,506
DEPUTY DIRECTOR	1.0	85,110	1.0	85,110
DEPUTY DIR OF COMMUNICATIONS	1.0	59,098	1.0	59,098
DEPUTY FISCAL ADVISOR	1.0	107,224	1.0	107,224
DEPUTY LEGAL COUNSEL	1.0	123,143	1.0	123,143
DEPUTY LEGISLATIVE DIRECTOR	1.0	70,006	1.0	70,006
DEPUTY POLICY DIRECTOR	1.0	85,110	1.0	85,110
DIRECTOR	1.0	108,475	1.0	108,475
DIRECTOR OF CAPITOL TV	1.0	89,723	1.0	89,723

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
DIRECTOR OF COMMUNICATIONS	2.0	170,256	2.0	170,256
DIRECTOR OF CONSTITNENT SERVIC	1.0	93,248	1.0	93,248
DIRECTOR OF HOUSE POLICY	1.0	141,259	1.0	141,259
DIRECTOR OF IT	1.0	121,913	1.0	121,912
DIRECTOR OF LAW REVISION	1.0	135,169	1.0	135,169
DIRECTOR OF SENATE POLICY	0.0	0	1.0	121,340
DIRECTOR OF SENATE SERVICES	1.0	68,225	1.0	68,225
DIR OF CONSTITUENT SERVICES	1.0	80,641	1.0	80,641
DIR OF LEGAL SVS FOR HSE COMM	0.6	25,661	0.6	64,475
DIR OF THE LEGIS PRESS BUREAU	1.0	83,118	1.0	83,118
EXECUTIVE ASSISTANT	4.0	359,813	4.0	359,813
EXECUTIVE DIRECTOR TO JCLS	1.0	155,931	1.0	155,931
FISCAL ANALYST	1.0	58,590	1.0	58,590
FISCAL ANALYST I	1.0	58,590	1.0	58,590
FISCAL ANALYST II	2.0	139,894	2.0	139,894
HOUSE DIR OF COMMUNICATIONS	1.0	127,407	1.0	127,407
HOUSE PARLIAMENTARIAN-LEG COUN	1.0	105,210	1.0	105,210
HOUSE READING CLERK	0.9	79,682	0.9	79,682
HOUSE RECORDING CLERK	0.6	47,504	0.6	47,504
IT OPERATIONS MANAGER	1.0	86,812	1.0	86,812
IT TECHNICAL SPECIALIST II	1.0	72,828	1.0	72,828
IT TECHNICAL SPECIALIST II	1.0	68,178	1.0	68,179
LEGAL COUNSEL	11.4	894,303	11.4	842,091
LEGAL COUNSEL/HOUSE MAJ LEADER	1.0	117,279	1.0	117,279
LEGISLATIVE AIDE	15.1	633,997	14.8	625,521
LEGISLATIVE AIDE II	0.0	0	4.3	152,973
LEGISLATIVE ASSISTANT	12.6	677,588	6.2	315,011
LEGISLATIVE COORDINATOR	1.0	60,946	1.0	60,946
LEGISLATIVE FINANCIAL OFFICER	1.0	64,592	1.0	64,592
LEGISLATIVE GRANTS COORDINATOR	1.0	77,879	1.0	77,879
LEGISLATIVE PERSONNEL ADMIN	1.0	106,106	1.0	106,106
LEGISLATIVE PROJECT COORDINATO	2.0	90,674	2.0	90,674
MANAGER COPY CENTER	1.0	44,467	1.0	44,467
MANAGER DATA SYSTEMS	1.0	88,909	1.0	88,909
MANAGER HOUSE OPERATIONS	1.0	56,284	1.0	56,284
NETWORK AND SYSTEMS TECH	1.0	46,255	1.0	46,255
NETWORK & SYSTEMS TECHNICIANII	1.0	62,121	1.0	62,121

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
OPERATIONS SUPERVISOR	1.0	65,546	1.0	65,546
POLICY ANALYST	3.0	161,798	3.0	161,799
POLICY ANALYST I	0.6	36,407	0.6	36,407
POLICY ANALYST II	1.0	65,412	1.0	65,412
PRESS OPERATOR	3.0	146,184	3.0	146,185
PRINCIPAL POLICY ANALYST	1.0	70,577	1.0	70,577
PRODUCTION DIRECTOR	1.0	32,270	1.0	85,110
Program Officer	1.0	41,052	1.0	41,052
PROJECT COORDINATOR	0.7	25,700	0.7	25,700
PUBLICIST	2.0	110,733	2.0	110,733
SECRETARY	10.2	530,957	10.6	563,846
SECRETARY/CLERK	1.0	46,805	1.0	49,361
SECRETARY I	2.0	106,118	2.0	106,118
SECRETARY OF THE SENATE	1.0	82,846	1.0	82,846
SENATE FISCAL ADVISOR	1.0	151,897	1.0	151,897
SENATE PARLIAMENTARIAN	0.6	59,685	0.6	59,685
SENATE SERVICES ASSISTANT	1.0	41,574	1.0	41,574
SENIOR DEPUTY CHIEF OF STAFF	1.0	111,171	1.0	111,171
SENIOR FINANCIAL OFFICER	1.0	95,649	1.0	95,649
SENIOR LEGAL COUNSEL	1.0	98,055	1.0	98,055
SENIOR POLICY ANALYST	2.0	134,451	2.0	134,451
SENIOR PRESS OPERATOR	1.0	56,754	1.0	56,754
SENIOR SECRETARY	1.0	55,798	1.0	55,798
SPEC ASST TO THE MAJORITY LEAD	1.0	92,690	1.0	92,690
SR ANALYST/LEG COORDINATOR	1.0	62,801	1.0	62,801
SR LEGISLATIVE FISCAL ANLAYST	1.0	87,017	1.0	87,017
SR. PRODUCER/DIRECTOR	1.0	74,439	1.0	74,439
SUPERVISING SVS ADMINISTRATOR	1.0	80,728	1.0	80,728
SUPERVISOR CLERICAL SERVICES	1.0	61,811	1.0	61,811
SUPERVISOR HOUSE OPERATIONS	2.0	82,104	2.0	82,104
SUPERVISOR VET'S AFFAIRS OFFI	1.0	61,133	1.0	61,133
TELEVISION MAINTENANCE ENGINEE	1.0	79,947	1.0	79,947
TV DIRECTOR	1.0	57,858	1.0	57,858
T. V. TECHNICIAN	1.0	51,548	1.0	51,548
T.V. TECHNICIAN	1.0	48,351	1.0	48,351
TV TECHNICIAN	3.0	136,278	1.0	46,255
T.V. TECHNICIAN II	1.0	54,038	1.0	54,038

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
TV TECHNICIAN II	1.0	52,280	1.0	52,280
Subtotal Unclassified	190.1	12,813,305	190.1	12,813,324
Subtotal	190.1	12,813,305	190.1	12,813,324
Salaries Adjustment		0		92,897
Seasonal/Special Salaries/Wages		95,000		94,992
Turnover		(229,618)		(194,954)
Total Salaries		12,678,686		12,806,268
Benefits				
FICA		954,220		986,036
Health Benefits		2,461,313		2,671,078
Payroll Accrual		72,700		74,426
Retiree Health		752,514		771,794
Retirement		3,261,483		3,485,304
Subtotal		7,502,230		7,988,638
Total Salaries and Benefits	190.1	20,180,916	190.1	20,794,906
Cost Per FTE Position (Excluding Temp. and Seasonal)		106,159		109,389.3
Statewide Benefit Assessment		528,521		592,604
Payroll Costs	190.1	20,709,437	190.1	21,387,510
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Information Technology		220,000		220,000
Management & Consultant Services		8,500		8,500
Subtotal		232,000		232,000
Total Personnel	190.1	20,941,437	190.1	21,619,510
Distribution by Source of Funds				
General Revenue		20,941,437		21,619,510
Total All Funds		20,941,437		21,619,510

Program Summary

Agency: GENERAL ASSEMBLY

Auditor General

Mission

The Office of the Auditor General provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance. The Office also completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

Budget

Agency: GENERAL ASSEMBLY

Auditor General

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	4,833,990	4,591,882	5,748,004	5,486,511	5,740,085
Total Expenditures	4,833,990	4,591,882	5,748,004	5,486,511	5,740,085
Expenditures by Object					
Salary and benefits	4,280,553	4,071,856	5,197,704	4,988,330	5,240,761
Contract Professional Services	1,879	10,469	11,000	5,800	1,000
Operating supplies and Expenses	514,257	491,151	476,800	459,881	465,824
Subtotal: Operating	4,796,689	4,573,476	5,685,504	5,454,011	5,707,585
Capital Purchases And Equipment	37,301	18,405	62,500	32,500	32,500
Subtotal: Other	37,301	18,405	62,500	32,500	32,500
Total Expenditures	4,833,990	4,591,882	5,748,004	5,486,511	5,740,085
Expenditures by Source of Funds					
General Revenue	3,383,993	3,191,882	4,018,047	3,841,754	4,019,390
Restricted Receipts	1,449,997	1,400,000	1,729,957	1,644,757	1,720,695
Total Expenditures	4,833,990	4,591,882	5,748,004	5,486,511	5,740,085

Personnel

Agency: GENERAL ASSEMBLY

Auditor General

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
REVENUE OFFICER I	0.0	0	1.0	46,470
SENIOR AUDITOR	0.0	0	1.0	48,520
Senior Tech Risk Analyst	0.0	0	1.0	82,846
Subtotal Classified	0.0	0	3.0	177,836
Unclassified				
ADMINISTRATIVE AIDE	3.0	126,968	3.0	138,211
ADMINISTRATIVE ASSISTANT	1.0	53,193	1.0	53,193
ADMINISTRATIVE OFFICER	1.0	71,776	1.0	71,776
ASSISTANT AUDIT MANAGER	1.0	88,404	1.0	88,404
ASSISTANT DATA SYSTEMS COORD	1.0	57,409	1.0	57,408
ASST INFORMATION SYSTEMS MGR	1.0	86,194	1.0	86,194
AUDIT MANAGER	3.0	300,012	3.0	300,013
AUDITOR	6.0	293,241	4.0	185,880
AUDITOR GENERAL	1.0	159,248	1.0	159,248
COMMUNICATIONS LIAISON OFFICER	1.0	64,619	1.0	64,619
CUSTODIAN	1.0	35,489	0.6	35,489
DATA SYSTEMS COORDINATOR	1.0	67,632	1.0	67,631
INFORMATION SYSTEMS AUDIT MGR	1.0	90,549	1.0	90,549
LEGAL COUNSEL	0.6	57,218	1.0	57,217
PRINCIPAL AUDITOR	6.0	406,859	7.0	467,750
PRINCIPAL IT AUDITOR	1.0	79,011	1.0	79,011
SENIOR AUDIT MANAGER	3.0	346,510	3.0	346,511
SENIOR AUDITOR	7.0	360,042	6.0	300,279
SENIOR I.T. AUDITOR	1.0	59,586	1.0	59,586
Senior Tech Risk Analyst	1.0	82,846	0.0	0
SPECIAL PROJECTS AUDITOR	0.6	62,022	0.6	62,022
SUPERVISING AUDITOR	3.0	257,933	3.0	257,934
Subtotal Unclassified	45.2	3,206,761	42.2	3,028,925
Subtotal	45.2	3,206,761	45.2	3,206,761
Salaries Adjustment		0		30,000
Seasonal/Special Salaries/Wages		0		(2)
Turnover		(97,857)		0
Total Salaries		3,108,904		3,236,760

Personnel

Agency: GENERAL ASSEMBLY

Auditor General

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Benefits				
FICA		235,676		245,668
Health Benefits		506,940		520,270
Payroll Accrual		17,854		18,686
Retiree Health		186,067		193,790
Retirement		802,295		876,669
Subtotal		1,748,832		1,855,083
Total Salaries and Benefits	45.2	4,857,736	45.2	5,091,843
Cost Per FTE Position (Excluding Temp. and Seasonal)		107,472		112,651.39
Statewide Benefit Assessment		130,594		148,918
Payroll Costs	45.2	4,988,330	45.2	5,240,761
Purchased Services				
Management & Consultant Services		4,800		0
Other Contracts		1,000		1,000
Subtotal		5,800		1,000
Total Personnel	45.2	4,994,130	45.2	5,241,761
Distribution by Source of Funds				
General Revenue		3,495,803		3,667,106
Restricted Receipts		1,498,327		1,574,655
Total All Funds		4,994,130		5,241,761

Program Summary

Agency: GENERAL ASSEMBLY

Special Legislative Commissions

Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

Budget

Agency: GENERAL ASSEMBLY

Special Legislative Commissions

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	8,475	8,539	13,900	13,900	13,900
Total Expenditures	8,475	8,539	13,900	13,900	13,900
Expenditures by Object					
Operating supplies and Expenses	8,475	8,539	13,900	13,900	13,900
Subtotal: Operating	8,475	8,539	13,900	13,900	13,900
Total Expenditures	8,475	8,539	13,900	13,900	13,900
Expenditures by Source of Funds					
General Revenue	8,475	8,539	13,900	13,900	13,900
Total Expenditures	8,475	8,539	13,900	13,900	13,900

Agency Summary

OFFICE OF LIEUTENANT GOVERNOR

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Section 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Section 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long Term Care Coordinating Council (R.I.G.L. 23-17, 3-2); The Emergency Management Advisory Committee (R.I.G.L. 30-15-6(10)); and the Small Business Advocacy Council (R.I.G.L. 42-91-2).

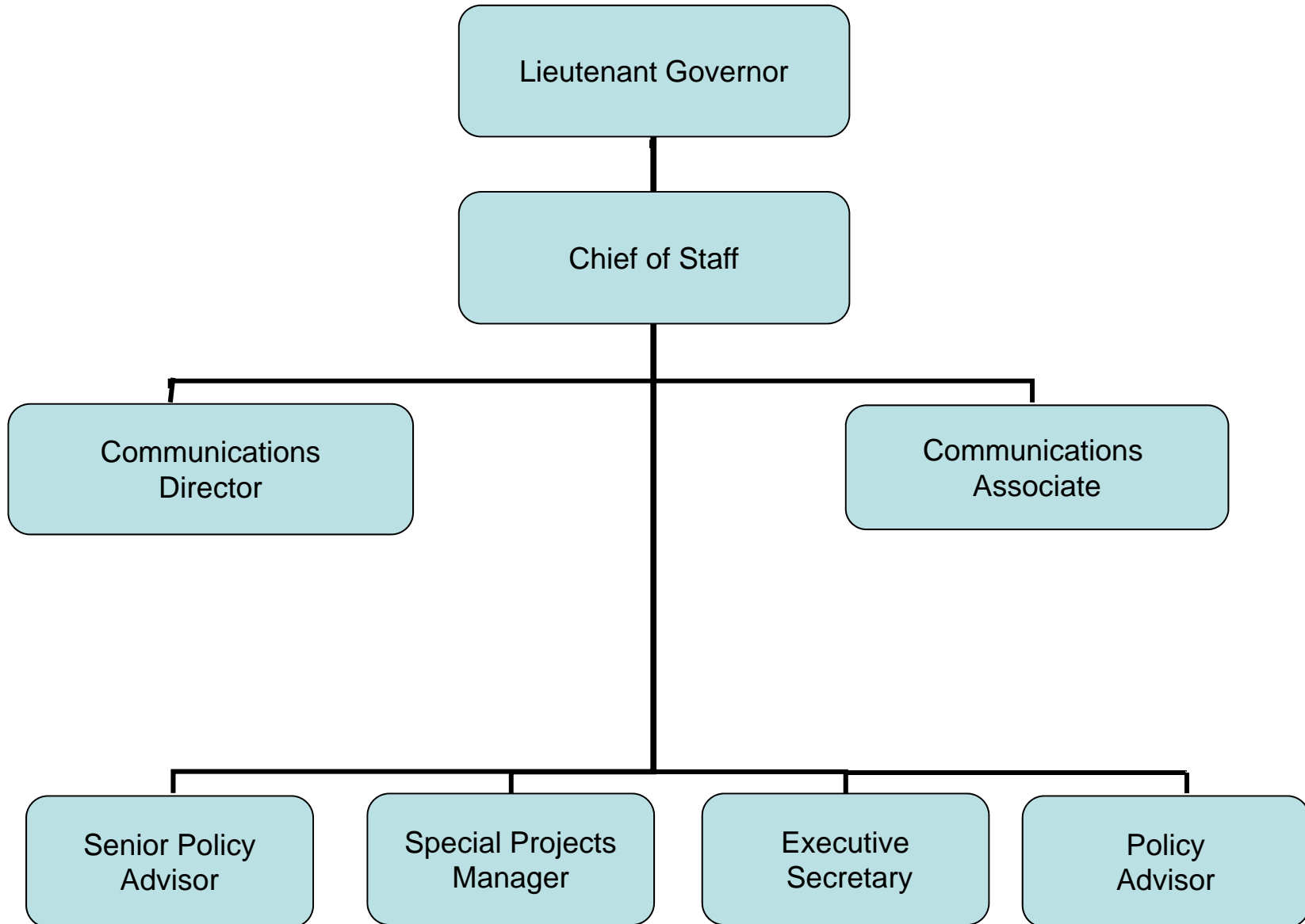
Budget

OFFICE OF LIEUTENANT GOVERNOR

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Lt. Governor's Office - General	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
Total Expenditures	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
Expenditures by Object					
Salary And Benefits	929,907	902,407	1,041,881	943,100	976,682
Contract Professional Services	64,404	112,849	0	41,267	0
Operating Supplies And Expenses	32,051	44,254	41,586	62,379	62,539
Subtotal: Operating	1,026,362	1,059,509	1,083,467	1,046,746	1,039,221
Capital Purchases And Equipment	0	0	750	750	750
Subtotal: Other	0	0	750	750	750
Total Expenditures	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
Expenditures by Source of Funds					
General Revenue	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
Total Expenditures	1,026,362	1,059,509	1,084,217	1,047,496	1,039,971
FTE Authorization	8.0	8.0	8.0	8.0	8.0

The Agency

Office of Lieutenant Governor



Personnel

Agency: OFFICE OF LIEUTENANT GOVERNOR

Lt. Governor's Office - General

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
CHIEF OF STAFF (LT GOV)	1.0	133,289	1.0	139,964
COMMUNICATIONS ASSOCIATE (LT. GOVERNOR)	1.0	55,502	1.0	55,949
COMMUNICATIONS DIRECTOR (LT GOV)	1.0	47,743	1.0	48,200
EXECUTIVE SECRETARY (LT GOV)	1.0	42,305	1.0	42,693
LIEUTENANT GOVERNOR	1.0	117,637	1.0	117,637
POLICY ADVISOR (LT. GOVERNOR)	1.0	75,568	1.0	75,568
SENIOR POLICY ADVISOR (LT GOV)	1.0	59,709	1.0	59,709
SPECIAL PROJECT MANAGER (LT GOV)	1.0	53,003	1.0	53,003
Subtotal Unclassified	8.0	584,756	8.0	592,723
Subtotal	8.0	584,756	8.0	592,723
Total Salaries		584,756		592,723
Benefits				
FICA		44,207		44,858
Health Benefits		94,136		115,827
Payroll Accrual		3,151		3,387
Retiree Health		35,069		32,893
Retirement		153,879		161,694
Subtotal		330,442		358,659
Total Salaries and Benefits	8.0	915,198	8.0	951,382
Cost Per FTE Position (Excluding Temp. and Seasonal)		114,400		118,922.75
Statewide Benefit Assessment		27,902		25,300
Payroll Costs	8.0	943,100	8.0	976,682
Purchased Services				
Legal Services		41,267		0
Subtotal		41,267		0
Total Personnel	8.0	984,367	8.0	976,682
Distribution by Source of Funds				
General Revenue		984,367		976,682
Total All Funds		984,367		976,682

Agency Summary

SECRETARY OF STATE

Agency Mission

Agency Description

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Department plays a vital role in providing the public with basic information about the workings of state government. The Department consists of six programs and one internal service program.

Statutory History

Article IV of the Rhode Island State Constitution and R.I.G.L. 42-8 establishes the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents. Title 17 Chapters 14, 15 and 22 also refer to elections. Title 29 establishes the State Library and the Legislative Reference Bureau.

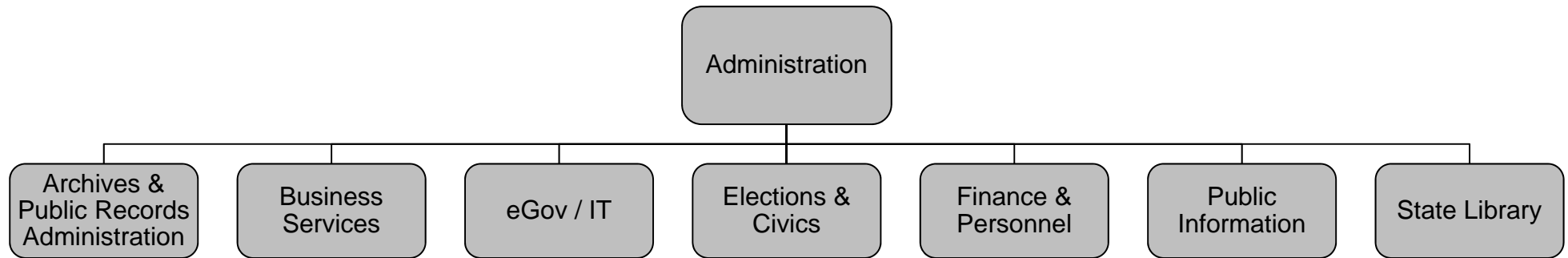
Budget

SECRETARY OF STATE

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Administration	2,545,884	3,175,089	3,382,625	3,472,059	3,377,583
Corporations	2,145,535	2,120,565	2,224,127	2,219,266	2,287,410
State Archives	539,730	547,181	501,628	615,789	507,235
Elections and Civics	1,282,184	3,101,885	1,906,470	2,009,021	2,881,418
State Library	554,048	579,060	723,385	594,463	613,236
Record Center	914,723	908,208	807,345	940,491	947,539
Office of Public Information	450,744	418,367	612,562	614,774	634,880
Total Expenditures	8,432,848	10,850,356	10,158,142	10,465,863	11,249,301
<i>Internal Services</i>	<i>[914,723]</i>	<i>[908,208]</i>	<i>[807,345]</i>	<i>[940,491]</i>	<i>[947,539]</i>
Expenditures by Object					
Salary And Benefits	5,841,524	6,282,634	6,696,603	6,595,848	6,907,301
Contract Professional Services	249,499	368,837	438,938	688,547	385,252
Operating Supplies And Expenses	1,740,145	3,734,401	2,835,707	2,908,072	3,764,798
Assistance And Grants	149,635	140,768	143,976	143,000	143,000
Subtotal: Operating	7,980,803	10,526,640	10,115,224	10,335,467	11,200,351
Capital Purchases And Equipment	452,045	323,715	42,918	130,396	48,950
Subtotal: Other	452,045	323,715	42,918	130,396	48,950
Total Expenditures	8,432,848	10,850,356	10,158,142	10,465,863	11,249,301
Expenditures by Source of Funds					
General Revenue	6,690,089	9,478,005	8,911,319	8,958,301	9,861,104
Federal Funds	0	0	0	22,859	0
Restricted Receipts	397,868	421,688	439,478	436,666	440,658
Operating Transfers From Other Funds	430,168	42,454	0	107,546	0
Other Funds	914,723	908,208	807,345	940,491	947,539
Total Expenditures	8,432,848	10,850,356	10,158,142	10,465,863	11,249,301
FTE Authorization	57.0	59.0	59.0	59.0	59.0

The Agency

Office of the Secretary of State



Personnel Agency Summary

SECRETARY OF STATE

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified	59.0	4,047,993	59.0	4,105,833
Subtotal	59.0	4,047,993	59.0	4,105,833
Transfer Out		(300,438)		0
Transfer In		300,438		0
Seasonal/Special Salaries/Wages		36,000		36,000
Turnover		(55,425)		0
Total Salaries		4,028,568		4,141,832
Benefits				
FICA		303,821		316,443
Health Benefits		763,323		825,787
Payroll Accrual		22,926		22,922
Retiree Health		242,996		250,062
Retirement		1,066,731		1,161,388
Subtotal		2,399,797		2,576,602
Total Salaries and Benefits	59.0	6,428,365	59.0	6,718,434
Cost Per FTE Position (Excluding Temporary and Seasonal)		108,955		113,872
Statewide Benefit Assessment		167,483		188,867
Payroll Costs	59.0	6,595,848	59.0	6,907,301
Purchased Services				
Information Technology		494,385		124,556
Legal Services		90,000		90,000
Other Contracts		79,162		145,696
University and College Services		25,000		25,000
Subtotal		688,547		385,252
Total Personnel	59.0	7,284,395	59.0	7,292,553
Distribution by Source of Funds				
General Revenue		6,475,701		6,459,377
Restricted Receipts		323,382		333,272
Other Funds		485,312		499,904
Total All Funds		7,284,395		7,292,553

Program Summary

Agency: SECRETARY OF STATE

Administration

Mission

The Administration program provides support for the efficient and secure operation of the Office of the Secretary of State.

Description

The Administration Program supports the Department of State in six areas. Personnel maintains personnel records and provides administrative support for payroll and personnel matters. Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget. E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office. Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public. Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media. Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution in Article IX as one of the five general offices subject to voter election. R.I.G.L 42-8 further prescribes the duties of the Secretary and Department.

Budget

Agency: SECRETARY OF STATE

Administration

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Administration	1,293,847	1,454,025	1,439,371	1,363,710	1,441,370
Information Technology	822,201	1,263,628	1,473,026	1,638,231	1,459,735
Personnel and Finance	429,836	457,437	470,228	470,118	476,478
Total Expenditures	2,545,884	3,175,089	3,382,625	3,472,059	3,377,583
Expenditures by Object					
Salary and benefits	2,297,458	2,609,645	2,664,735	2,648,357	2,834,652
Contract Professional Services	1,540	157,385	173,713	368,428	35,495
Operating supplies and Expenses	229,427	334,872	524,058	436,624	467,686
Assistance And Grants	0	0	976	0	0
Subtotal: Operating	2,528,425	3,101,902	3,363,482	3,453,409	3,337,833
Capital Purchases And Equipment	17,459	73,188	19,143	18,650	39,750
Subtotal: Other	17,459	73,188	19,143	18,650	39,750
Total Expenditures	2,545,884	3,175,089	3,382,625	3,472,059	3,377,583
Expenditures by Source of Funds					
General Revenue	2,545,884	3,175,089	3,382,625	3,472,059	3,377,583
Total Expenditures	2,545,884	3,175,089	3,382,625	3,472,059	3,377,583

Personnel

Agency: SECRETARY OF STATE

Administration

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	3.0	173,405	3.0	173,406
APPLICATION DEVELOPER (SOS)	2.0	93,059	1.0	52,727
CHIEF INNOVATION OFFICER (SEC OF STATE)	1.0	83,167	1.0	117,412
COMMUNICATIONS DIRECTOR/SR ADVISOR (SOS)	1.0	136,489	1.0	136,489
COMMUNICATIONS OUTREACH COORDINATOR (SOS)	1.0	45,972	1.0	47,228
COMMUNITY RELATIONS/ADVANCE (SOS)	1.0	64,668	1.0	64,668
DATA SYSTEM MANAGER (SOS)	2.0	195,097	1.0	94,979
DEPUTY SECRETARY OF STATE/CHIEF OF STAFF (SOS)	1.0	136,489	1.0	136,489
DEPUTY SECRETARY OF STATE/DIR OF ADMINISTRATION (SOS)	1.0	143,313	1.0	143,313
DIRECTOR OF FINANCE & PERSONNEL (SOS)	1.0	98,340	1.0	98,340
DIRECTOR OF LEGISLATIVE AFFAIRS & POLICY (SOS)	1.0	90,456	1.0	90,456
EXECUTIVE ASSISTANT (SOS)	1.0	64,668	1.0	64,668
IT PROJECT MANAGER (SOS)	3.0	243,787	1.0	103,109
JR APPLICATION DEVELOPER (SOS)	0.0	0	1.0	41,995
SECRETARY OF STATE	1.0	117,637	1.0	117,637
SENIOR APPLICATION DEVELOPER (SOS)	0.0	0	1.0	81,403
SENIOR GRAPHICS DESIGNER (SECRETARY OF STATE)	0.0	0	1.0	64,044
SENIOR NETWORK ENGINEER (SOS)	0.0	0	1.0	93,575
Subtotal Unclassified	20.0	1,686,547	20.0	1,721,937
Subtotal	20.0	1,686,547	20.0	1,721,937
Seasonal/Special Salaries/Wages		24,000		24,000
Turnover		(55,425)		0
Total Salaries		1,655,122		1,745,937
Benefits				
FICA		124,398		132,335
Health Benefits		252,846		287,207
Payroll Accrual		9,503		9,889
Retiree Health		103,459		107,507
Retirement		433,549		472,567
Subtotal		923,755		1,009,505
Total Salaries and Benefits	20.0	2,578,877	20.0	2,755,442
Cost Per FTE Position (Excluding Temp. and Seasonal)		128,944		137,772.1

Personnel

Agency: SECRETARY OF STATE

Administration

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		69,480		79,210
Payroll Costs	20.0	2,648,357	20.0	2,834,652
Purchased Services				
Information Technology		356,113		23,180
Other Contracts		12,315		12,315
Subtotal		368,428		35,495
Total Personnel	20.0	3,016,785	20.0	2,870,147
Distribution by Source of Funds				
General Revenue		3,016,785		2,870,147
Total All Funds		3,016,785		2,870,147

Program Summary

Agency: SECRETARY OF STATE

Corporations

Mission

The Corporations program is the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and notary/trademark applications. The program helps create a user-friendly, customer-service centric environment that promotes clear, predictable, and reliable policies, procedures, and services.

Description

The Corporations program encompasses six sections: Business Filings, Uniform Commercial Code, Trademark & Service Mark, Notary Public, Certification/Authentication, and the Rhode Island Business Portal. The Business Filings section examines, processes, and maintains legal documents affecting various types of corporations, companies, and partnerships. The section ensures compliance with laws governing these entities and provides public access to all associated documents. The UCC section is the central filing office for certain finance and lien documents. It also ensures compliance with applicable laws and provides public access to associated documents. The Trademark & Service Mark section manages notaries in the state through maintenance of oaths of office and official signatures of commissioned notaries. The Certification/Authentication section prepares certified copies, letters of good standing, legal existence, and certificates of fact related to business filing. It also issues apostilles. The Rhode Island Business Portal helps business owners navigate federal, state, and local licensing requirements.

Statutory History

Applicable statutes for the Corporations program are outlined in Chapters 1, 1.2 – 16 of Title 7 Corporation s& Partnerships; Chapter 6-2 Registration and Protection of Trademarks; Chapter 6A-9 Secured Transactions; Chapter 42-30 Notaries and Justice of the Peace; and Chapter 11-50 Games of Chance, and Chapter 42-132 Business Fast-Start Office.

Budget

Agency: SECRETARY OF STATE

Corporations

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Corporations	2,144,082	2,120,565	2,224,127	2,219,266	2,287,410
First Stop Business Inf.	1,453	0	0	0	0
Total Expenditures	2,145,535	2,120,565	2,224,127	2,219,266	2,287,410
Expenditures by Object					
Salary and benefits	1,745,065	1,795,376	1,832,589	1,828,390	1,881,994
Contract Professional Services	557	550	80,000	53,800	60,940
Operating supplies and Expenses	390,539	319,127	302,342	335,076	342,476
Subtotal: Operating	2,136,161	2,115,053	2,214,931	2,217,266	2,285,410
Capital Purchases And Equipment	9,374	5,512	9,196	2,000	2,000
Subtotal: Other	9,374	5,512	9,196	2,000	2,000
Total Expenditures	2,145,535	2,120,565	2,224,127	2,219,266	2,287,410
Expenditures by Source of Funds					
General Revenue	2,145,535	2,120,565	2,224,127	2,219,266	2,287,410
Total Expenditures	2,145,535	2,120,565	2,224,127	2,219,266	2,287,410

Personnel

Agency: SECRETARY OF STATE

Corporations

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	12.0	576,243	12.0	576,859
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	5.0	241,689	5.0	241,689
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	1.0	82,746	1.0	87,179
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	1.0	104,025	1.0	104,024
MANAGER OF BUSINESS SUPPORT SERVICES (SOS)	1.0	53,874	1.0	53,873
Subtotal Unclassified	20.0	1,058,577	20.0	1,063,625
Subtotal	20.0	1,058,577	20.0	1,063,625
Seasonal/Special Salaries/Wages		12,000		12,000
Total Salaries		1,070,577		1,075,624
Benefits				
FICA		81,899		83,109
Health Benefits		269,230		285,633
Payroll Accrual		6,090		6,155
Retiree Health		63,302		63,603
Retirement		292,833		318,945
Subtotal		713,354		757,445
Total Salaries and Benefits	20.0	1,783,931	20.0	1,833,069
Cost Per FTE Position (Excluding Temp. and Seasonal)		89,197		91,653.45
Statewide Benefit Assessment		44,459		48,925
Payroll Costs	20.0	1,828,390	20.0	1,881,994
Purchased Services				
Legal Services		30,000		30,000
Other Contracts		23,800		30,940
Subtotal		53,800		60,940
Total Personnel	20.0	1,882,190	20.0	1,942,934
Distribution by Source of Funds				
General Revenue		1,882,190		1,942,934
Total All Funds		1,882,190		1,942,934

Program Summary

Agency: SECRETARY OF STATE

State Archives

Mission

The State Archives provides comprehensive archives and records management services for all public records in the State, information on the preservation of historical records, and provides information from and access to the State government archives.

Description

The State Archives preserves and maintains the permanent records of state government. It also operates a public reading room for the research, inspection, and duplication of public records; publishes guides and finding aids to collections; and, features exhibits and displays that are free and open to the public. The State Archives manages the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program provides records management advice, assistance, and consultation to all departments of municipal government. It also develops record control schedules that govern retention and disposition of municipal records. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues. The 1993 General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Statutory History

R.I.G.L. 42-8.1 et seq. establishes the State Archives and defines its duties and functions. R.I.G.L. 42-35 of the Rhode Island General Laws prescribe the Secretary of State's duties under the Administrative Procedures Act. R.I.G.L. 38-1, 38-2, and 38-3 detail the custody and protection of public records, access to public records, and Public Records Administration.

Budget

Agency: SECRETARY OF STATE

State Archives

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	539,730	547,181	501,628	615,789	507,235
Total Expenditures	539,730	547,181	501,628	615,789	507,235
Expenditures by Object					
Salary and benefits	330,306	390,025	299,395	323,382	333,272
Contract Professional Services	2,144	0	0	0	0
Operating supplies and Expenses	207,280	114,701	202,233	184,861	173,963
Subtotal: Operating	539,730	504,726	501,628	508,243	507,235
Capital Purchases And Equipment	0	42,454	0	107,546	0
Subtotal: Other	0	42,454	0	107,546	0
Total Expenditures	539,730	547,181	501,628	615,789	507,235
Expenditures by Source of Funds					
General Revenue	161,181	95,719	87,150	96,577	91,577
Restricted Receipts	378,549	409,008	414,478	411,666	415,658
Operating Transfers from Other Funds	0	42,454	0	107,546	0
Total Expenditures	539,730	547,181	501,628	615,789	507,235

Personnel

Agency: SECRETARY OF STATE

State Archives

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE AIDE	0.0	0	1.0	38,993
ADMINISTRATIVE RULES EDITOR (SOS)	1.0	38,531	0.0	0
ADMINISTRATIVE SECRETARY	1.0	52,697	1.0	52,697
COLLECTIONS SUPERVISOR CLERK	1.0	56,030	1.0	56,030
DIRECTOR OF STATE ARCHIVES- LIBRARY & PUBLIC INFORMATION	1.0	85,692	1.0	86,242
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	1.0	69,355	1.0	69,355
SENIOR INFORMATION AND PUBLIC RELATION SPECIALIST	1.0	64,554	1.0	64,554
SENIOR MONITORING AND EVALUATION SPECIALIST	1.0	67,346	1.0	67,346
STATE ARCHIVIST & PUBLIC RECORDS ADMINISTRATOR	1.0	66,525	1.0	66,525
Subtotal Unclassified	8.0	500,730	8.0	501,742
Subtotal	8.0	500,730	8.0	501,742
Transfer Out		(300,438)		(301,045)
Transfer In		0		0
Total Salaries		200,292		200,698
Benefits				
FICA		14,465		15,354
Health Benefits		36,749		37,954
Payroll Accrual		1,089		871
Retiree Health		11,307		12,002
Retirement		51,539		57,162
Subtotal		115,149		123,343
Total Salaries and Benefits	8.0	315,441	8.0	324,041
Cost Per FTE Position (Excluding Temp. and Seasonal)		39,430		40,505.13
Statewide Benefit Assessment		7,941		9,231
Payroll Costs	8.0	323,382	8.0	333,272
Total Personnel	8.0	323,382	8.0	333,272
Distribution by Source of Funds				
Restricted Receipts		323,382		333,272
Total All Funds		323,382		333,272

Program Summary

Agency: SECRETARY OF STATE

Elections and Civics

Mission

The mission of the Elections program is to provide efficient election services and prepare federal, statewide and municipal elections as required by statute. The Program seeks to provide an accurate and timely statewide central voter registration system (CVRS) as mandated by the Help America Vote Act (HAVA) and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. The Elections strives to provides concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Description

The Elections program is responsible for the preparation of federal, state, and local elections. It provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification. The program maintains files for local candidate ballot placement as certified by local boards of canvassers. Additionally, it designs ballot layout; prepares and provides sample and polling place ballots for all federal, state, and municipal elections as mandated by law; and, prepares and sends mail ballots. The Elections program prepares and distributes the Voter Information Handbook and provides voter assistance and education through the publication of numerous guides for candidates, election officials, and voters. Specifications for the purchase of voting equipment and related voting system services are also handled by the Elections program.

Statutory History

The Elections program operates under R.I.G.L Title 17.

Budget

Agency: SECRETARY OF STATE

Elections and Civics

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
No Sub-Programs	14,388	0	0	0	0
Operations	1,267,796	3,101,885	1,906,470	2,009,021	2,881,418
Total Expenditures	1,282,184	3,101,885	1,906,470	2,009,021	2,881,418
Expenditures by Object					
Salary and benefits	244,662	387,690	403,597	403,260	421,663
Contract Professional Services	219,831	184,941	127,160	210,179	232,917
Operating supplies and Expenses	401,946	2,332,886	1,368,477	1,395,582	2,221,838
Subtotal: Operating	866,439	2,905,518	1,899,234	2,009,021	2,876,418
Capital Purchases And Equipment	415,745	196,368	7,236	0	5,000
Subtotal: Other	415,745	196,368	7,236	0	5,000
Total Expenditures	1,282,184	3,101,885	1,906,470	2,009,021	2,881,418
Expenditures by Source of Funds					
General Revenue	837,628	3,101,885	1,906,470	1,986,162	2,881,418
Federal Funds	0	0	0	22,859	0
Restricted Receipts	14,388	0	0	0	0
Operating Transfers from Other Funds	430,168	0	0	0	0
Total Expenditures	1,282,184	3,101,885	1,906,470	2,009,021	2,881,418

Personnel

Agency: SECRETARY OF STATE

Elections and Civics

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
DEPUTY DIRECTOR OF ELECTIONS (SOS)	2.0	158,305	2.0	166,876
DIRECTOR OF ELECTIONS & CIVICS (SOS)	1.0	98,254	1.0	98,254
Subtotal Unclassified	3.0	256,559	3.0	265,130
Subtotal	3.0	256,559	3.0	265,130
Total Salaries		256,558		265,130
Benefits				
FICA		19,626		20,282
Health Benefits		32,775		34,350
Payroll Accrual		1,476		1,523
Retiree Health		15,343		15,855
Retirement		66,707		72,327
Subtotal		135,927		144,337
Total Salaries and Benefits	3.0	392,485	3.0	409,467
Cost Per FTE Position (Excluding Temp. and Seasonal)		130,828		136,489
Statewide Benefit Assessment		10,775		12,196
Payroll Costs	3.0	403,260	3.0	421,663
Purchased Services				
Information Technology		138,272		101,376
Legal Services		30,000		30,000
Other Contracts		41,907		101,541
Subtotal		210,179		232,917
Total Personnel	3.0	613,439	3.0	654,580
Distribution by Source of Funds				
General Revenue		613,439		654,580
Total All Funds		613,439		654,580

Program Summary

Agency: SECRETARY OF STATE

State Library

Mission

To provide high quality assistance and access to materials in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history.

Description

The State Library provides reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history to Rhode Islanders. It catalogs all state publications and maintains an online catalog of its holdings. The Library operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. It is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1, and R.I.G.L. 29-7 authorizes the Library to act as a clearinghouse for state publications.

Budget

Agency: SECRETARY OF STATE

State Library

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	554,048	579,060	723,385	594,463	613,236
Total Expenditures	554,048	579,060	723,385	594,463	613,236
Expenditures by Object					
Salary and benefits	366,435	386,422	557,495	428,238	444,971
Contract Professional Services	1,925	0	1,925	0	0
Operating supplies and Expenses	34,003	50,845	18,915	23,225	25,265
Assistance And Grants	149,635	140,768	143,000	143,000	143,000
Subtotal: Operating	551,998	578,035	721,335	594,463	613,236
Capital Purchases And Equipment	2,050	1,025	2,050	0	0
Subtotal: Other	2,050	1,025	2,050	0	0
Total Expenditures	554,048	579,060	723,385	594,463	613,236
Expenditures by Source of Funds					
General Revenue	554,048	579,060	723,385	594,463	613,236
Total Expenditures	554,048	579,060	723,385	594,463	613,236

Personnel

Agency: SECRETARY OF STATE

State Library

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	2.0	131,765	2.0	131,765
EDUCATION & PUBLIC PROGRAMS COORDINATOR (SOS)	1.0	56,694	1.0	59,709
STATE LIBRARIAN (SOS)	1.0	66,525	1.0	69,097
Subtotal Unclassified	4.0	254,984	4.0	260,571
Subtotal	4.0	254,984	4.0	260,571
Total Salaries		254,985		260,570
Benefits				
FICA		19,506		19,934
Health Benefits		58,299		61,854
Payroll Accrual		1,466		1,497
Retiree Health		15,247		15,582
Retirement		68,025		73,547
Subtotal		162,543		172,414
Total Salaries and Benefits	4.0	417,528	4.0	432,984
Cost Per FTE Position (Excluding Temp. and Seasonal)		104,382		108,246
Statewide Benefit Assessment		10,710		11,987
Payroll Costs	4.0	428,238	4.0	444,971
Total Personnel	4.0	428,238	4.0	444,971
Distribution by Source of Funds				
General Revenue		428,238		444,971
Total All Funds		428,238		444,971

Program Summary

Agency: SECRETARY OF STATE

Record Center

Mission

The Record Center program strives to provide agencies with cost-efficient secure central record storage facilities and provide clear guidance on record retention and disposal policies.

Description

The Record Center program is a Public Records Administration internal service program that oversees records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions. The Records Center program is an internal service program. The costs of its operations are borne by the user agencies through a charge system that allocates the cost of service delivery. Program costs are shown for display purposes only, because the costs are reflected in the budget of the user agency both on an actual and budget basis.

Statutory History

R.I.G.L. 35-5 authorizes the Director of Administration to establish a system of rotating funds in any state department or agency. R.I.G.L. 38-1 and R.I.G.L. 38-3 establish the responsibilities and duties of the Public Records Administration program. R.I.G.L. 42-35 defines the policies for administrative records.

Budget

Agency: SECRETARY OF STATE

Record Center

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	914,723	908,208	807,345	940,491	947,539
Total Expenditures	914,723	908,208	807,345	940,491	947,539
<i>Internal Services</i>	<i>[914,723]</i>	<i>[908,208]</i>	<i>[807,345]</i>	<i>[940,491]</i>	<i>[947,539]</i>
Expenditures by Object					
Salary and benefits	480,115	421,809	449,093	485,072	499,904
Contract Professional Services	4,517	0	240	240	0
Operating supplies and Expenses	426,398	485,639	354,319	452,979	445,435
Subtotal: Operating	911,030	907,448	803,652	938,291	945,339
Capital Purchases And Equipment	3,693	760	3,693	2,200	2,200
Subtotal: Other	3,693	760	3,693	2,200	2,200
Total Expenditures	914,723	908,208	807,345	940,491	947,539
Expenditures by Source of Funds					
Other Funds	914,723	908,208	807,345	940,491	947,539
Total Expenditures	914,723	908,208	807,345	940,491	947,539

Personnel

Agency: SECRETARY OF STATE

Record Center

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Transfer Out		0		0
Transfer In		300,438		301,045
Total Salaries		300,438		301,045
Benefits				
FICA		21,697		23,028
Health Benefits		55,124		56,935
Payroll Accrual		1,633		1,306
Retiree Health		16,960		18,001
Retirement		77,308		85,741
Subtotal		172,722		185,011
Total Salaries and Benefits	0.0	473,160	0.0	486,056
Cost Per FTE Position (Excluding Temp. and Seasonal)		0		
Statewide Benefit Assessment		11,912		13,848
Payroll Costs	0.0	485,072	0.0	499,904
Purchased Services				
Other Contracts		240		0
Subtotal		240		0
Total Personnel	0.0	485,312	0.0	499,904
Distribution by Source of Funds				
Other Funds		485,312		499,904
Total All Funds		485,312		499,904

Program Summary

Agency: SECRETARY OF STATE

Office of Public Information

Mission

The Office of Public Information strives to effectively disseminate vital government information to the public and utilize expanding technologies to enhance public access and awareness of state government activities.

Description

The Office of Public Information gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports available to the public free of charge and accessible through the Internet. The Office frequently trains the public on the access and use of government websites. The Office maintains databases on all introduced legislation and hearings in the General Assembly, and publishes daily and weekly legislative reports. It maintains a list of lobbyists and enforces of the state's lobbying law. Under the Administrative Procedures Act, the Office is responsible for compiling state agency rules and regulations. The Office operates and maintains a clearinghouse for public meeting information as required by the Open Meetings Laws. It also operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals, and other state publications.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

Budget

Agency: SECRETARY OF STATE

Office of Public Information

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	450,744	418,367	612,562	614,774	634,880
Total Expenditures	450,744	418,367	612,562	614,774	634,880
Expenditures by Object					
Salary and benefits	377,483	291,667	489,699	479,149	490,845
Contract Professional Services	18,985	25,961	55,900	55,900	55,900
Operating supplies and Expenses	50,552	96,331	65,363	79,725	88,135
Subtotal: Operating	447,020	413,959	610,962	614,774	634,880
Capital Purchases And Equipment	3,724	4,408	1,600	0	0
Subtotal: Other	3,724	4,408	1,600	0	0
Total Expenditures	450,744	418,367	612,562	614,774	634,880
Expenditures by Source of Funds					
General Revenue	445,813	405,686	587,562	589,774	609,880
Restricted Receipts	4,931	12,680	25,000	25,000	25,000
Total Expenditures	450,744	418,367	612,562	614,774	634,880

Personnel

Agency: SECRETARY OF STATE

Office of Public Information

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	1.0	58,562	1.0	58,562
ADMINISTRATIVE RULES EDITOR (SOS)	1.0	61,561	1.0	63,793
DIRECTOR OF PROGRAMMING	1.0	94,192	1.0	94,192
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	1.0	76,281	1.0	76,281
Subtotal Unclassified	4.0	290,596	4.0	292,828
Subtotal	4.0	290,596	4.0	292,828
Total Salaries		290,596		292,828
Benefits				
FICA		22,230		22,401
Health Benefits		58,300		61,854
Payroll Accrual		1,669		1,681
Retiree Health		17,378		17,512
Retirement		76,770		81,099
Subtotal		176,347		184,547
Total Salaries and Benefits	4.0	466,943	4.0	477,375
Cost Per FTE Position (Excluding Temp. and Seasonal)		116,736		119,343.75
Statewide Benefit Assessment		12,206		13,470
Payroll Costs	4.0	479,149	4.0	490,845
Purchased Services				
Legal Services		30,000		30,000
Other Contracts		900		900
University and College Services		25,000		25,000
Subtotal		55,900		55,900
Total Personnel	4.0	535,049	4.0	546,745
Distribution by Source of Funds				
General Revenue		535,049		546,745
Total All Funds		535,049		546,745

Agency Summary

TREASURY DEPARTMENT

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional service through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Infrastructure Bank. Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are: the Employees' Retirement System of Rhode Island, the administrative unit for participating public employee groups for both defined benefit and defined contribution plans; the Unclaimed Property Program which collects tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division which provides investment and cash management services to state government, and issues and manages the State's general obligation debt; the CollegeBound Program (new to Treasury in 2016) and its contribution of fee revenue for state college assistance programs; the Business Office, which validates and distributes the State's imprest/benefit check payments, prints vendor and non-vendor checks, and reconciles a majority of the State's accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include cooperation with the Executive Office of Health and Human Services in the ABLE savings program (Achieving A Better Life Experience Accounts) for tax free income growth for certain qualified disability expenditures; the care and management of the Abraham Touro Fund; and, the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program. Title 16, Chapter 16-57, transferred responsibility for the CollegeBound program to Treasury. Title 42, Chapter 7.2 was amended in 2015 to include the State Investment Commission in the oversight of the new ABLE savings program.

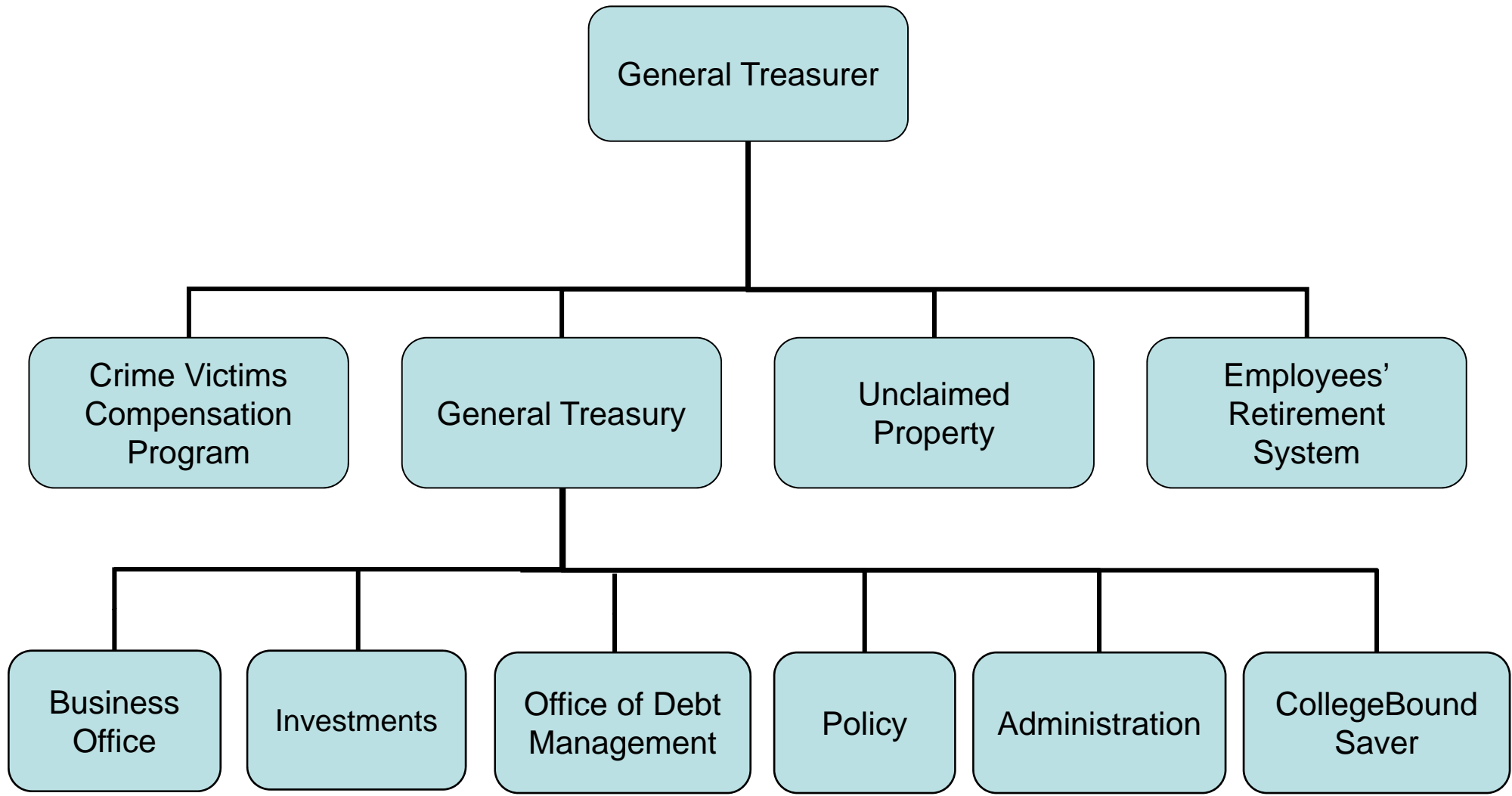
Budget

TREASURY DEPARTMENT

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
General Treasurer	10,878,721	3,384,364	3,297,246	3,555,629	3,643,573
State Retirement System	9,123,466	10,076,889	10,968,526	11,309,737	11,359,220
Unclaimed Property	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830
Crime Victim Compensation Program	1,640,761	2,192,363	2,174,344	1,938,382	2,083,548
Total Expenditures	49,277,318	42,002,140	42,764,450	43,340,030	42,674,171
Expenditures by Object					
Salary And Benefits	8,735,147	9,629,386	9,995,925	10,233,238	10,329,650
Contract Professional Services	4,077,580	4,584,503	4,853,850	4,849,143	4,805,842
Operating Supplies And Expenses	27,069,624	25,771,787	25,820,400	26,383,224	25,578,404
Assistance And Grants	1,307,005	1,985,721	1,988,000	1,708,000	1,860,000
Subtotal: Operating	41,189,356	41,971,396	42,658,175	43,173,605	42,573,896
Capital Purchases And Equipment	60,195	30,743	106,275	166,425	100,275
Operating Transfers	8,027,767	0	0	0	0
Subtotal: Other	8,087,962	30,743	106,275	166,425	100,275
Total Expenditures	49,277,318	42,002,140	42,764,450	43,340,030	42,674,171
Expenditures by Source of Funds					
General Revenue	2,271,575	2,653,651	2,698,692	2,876,681	2,967,632
Federal Funds	741,266	1,087,203	1,090,337	1,034,011	1,074,874
Restricted Receipts	37,730,574	37,596,085	38,425,179	38,785,988	37,976,981
Other Funds	8,533,903	665,201	550,242	643,350	654,684
Total Expenditures	49,277,318	42,002,140	42,764,450	43,340,030	42,674,171
FTE Authorization	84.0	87.0	89.0	89.0	89.0

The Agency

Office of the General Treasurer



Personnel Agency Summary

TREASURY DEPARTMENT

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified	89.0	6,065,728	89.0	6,470,610
Subtotal	89.0	6,065,728	89.0	6,470,610
Transfer Out		(1,542,276)		0
Transfer In		1,542,276		0
Salaries Adjustment		0		(17,646)
Overtime (1.5)		135,000		115,000
Turnover		(131,152)		(198,233)
Total Salaries		6,401,960		6,369,736
Benefits				
FICA		471,217		467,379
Health Benefits		1,056,685		1,092,904
Payroll Accrual		36,101		36,157
Retiree Health		375,665		374,238
Retirement		1,626,766		1,701,376
Subtotal		3,566,434		3,672,054
Total Salaries and Benefits	89.0	9,968,394	89.0	10,041,790
Cost Per FTE Position (Excluding Temporary and Seasonal)		115,912		112,766
Statewide Benefit Assessment		264,844		287,860
Payroll Costs	89.0	10,233,238	89.0	10,329,650
Purchased Services				
Buildings and Ground Maintenance		150,000		120,000
Clerical and Temporary Services		24,600		24,600
Information Technology		2,855,933		2,721,542
Legal Services		547,260		544,500
Management & Consultant Services		1,113,700		1,129,700
Other Contracts		157,650		265,500
Subtotal		4,849,143		4,805,842
Total Personnel	89.0	15,082,381	89.0	15,135,492
Distribution by Source of Funds				
General Revenue		2,170,964		2,275,455
Federal Funds		288,158		292,838
Restricted Receipts		12,061,387		11,998,794
Other Funds		561,872		568,405
Total All Funds		15,082,381		15,135,492

Program Summary

Agency: TREASURY DEPARTMENT

General Treasurer

Mission

Continue to deliver superior service to the public, state agencies, and state vendors in all of its activities; to increase operational efficiency and expand the use of technology to improve the management of cash collection, investment and disbursement systems.

Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office. Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office. Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal advice and management information systems. Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds. It also provides reports to the State Investment Commission on investment performance, and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for investment policy for the Defined Contribution and Deferred Compensation programs, administration of the State's tax-deferred 529 plan for college savings called the Collegebound (formerly, Collegeboundfund) and the ABLE tax-free savings program for disabled residents. Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks, and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of State bank accounts to bank statement balances and to the Controller's records. Additionally, this division prints and disburses vendor and non-vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program. Chapter 16-57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program. Chapter 42-7.2 of Title 42 creates the ABLE savings account program, which Treasury is implementing in conjunction with the Executive Office of Health and Human Services.

Budget

Agency: TREASURY DEPARTMENT

General Treasurer

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Administration Operations	8,497,920	610,304	507,125	763,047	841,408
Business Offices	1,215,225	1,280,887	1,212,093	1,316,608	1,316,424
Investments	582,608	912,784	981,108	878,198	904,150
Policy	582,968	580,388	596,920	597,776	581,591
Total Expenditures	10,878,721	3,384,364	3,297,246	3,555,629	3,643,573
Expenditures by Object					
Salary and benefits	2,268,648	2,554,727	2,551,241	2,563,014	2,602,636
Contract Professional Services	175,661	373,314	170,150	202,910	266,000
Operating supplies and Expenses	401,507	447,005	552,430	745,130	751,512
Subtotal: Operating	2,845,816	3,375,046	3,273,821	3,511,054	3,620,148
Capital Purchases And Equipment	5,138	9,318	23,425	44,575	23,425
Operating Transfers	8,027,767	0	0	0	0
Subtotal: Other	8,032,905	9,318	23,425	44,575	23,425
Total Expenditures	10,878,721	3,384,364	3,297,246	3,555,629	3,643,573
Expenditures by Source of Funds					
General Revenue	2,050,780	2,419,681	2,456,017	2,604,936	2,684,347
Federal Funds	294,038	299,482	290,987	307,343	304,542
Other Funds	8,533,903	665,201	550,242	643,350	654,684
Total Expenditures	10,878,721	3,384,364	3,297,246	3,555,629	3,643,573

Personnel

Agency: TREASURY DEPARTMENT

General Treasurer

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ACCOUNTING & DEBT SERVICES SPECIALIST (TREASURY RECON)	1.0	51,951	1.0	51,951
ACCOUNTING & RESEARCH SERVICES SPECIALIST (TREAS RECON)	1.0	51,951	1.0	51,432
ADMINISTRATIVE ASSISTANT (TREASURY INVESTMENTS)	1.0	48,744	1.0	50,235
ARCHIVES AND RECORDS RETENTION SPECIALIST (TREASURY)	1.0	43,796	1.0	43,687
ASSOCIATE DIRECTOR OF FINANCE (TREASURY)	1.0	94,979	1.0	94,741
ASSOCIATE DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREA)	1.0	81,403	1.0	81,403
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS PROCESSING)	1.0	43,078	1.0	43,078
CASH MANAGEMENT/FISCAL OFFICER (TREASURY)	1.0	64,579	1.0	67,199
CASH MANAGER (TREASURY INVESTMENTS)	1.0	95,958	1.0	98,340
CHF INVESTMENT OFFCR (TRSY/INV)	1.0	256,123	0.0	0
CHIEF FISCAL MANAGER (TREASURY)	1.0	87,810	1.0	92,105
CHIEF OF STAFF (TREASURY)	1.0	146,026	1.0	146,026
CHIEF OPERATING OFFICER (TREASURY)	1.0	98,340	1.0	98,340
COURIER/MEETINGS COORDINATOR (TREASURY)	1.0	44,341	1.0	44,341
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	1.0	84,433	0.0	0
DEPUTY TREASURERFOR POLICY & PUBLIC FINANCE (TREASURY)	1.0	110,076	1.0	112,643
DIRECTOR OF COMMUNICATIONS (TREASURY)	1.0	109,159	1.0	112,643
DIRECTOR OF OUTREACH (TREASURY)	1.0	59,709	1.0	59,709
EXECUTIVE AIDE TO THE GENERAL TREASURER (TREASURY)	1.0	64,668	1.0	64,668
FISCAL MANAGEMENT/DEBT ANALYST	1.0	88,296	1.0	89,564
GENERAL COUNSEL (TREASURY)	1.0	115,028	1.0	119,799
GENERAL TREASURER	1.0	117,637	1.0	117,637
INVESTMENT ANALYST (TREASURY)	1.0	66,435	0.0	0
INVESTMENT OFFICER (TREASURY)	1.0	100,725	0.0	0
OUTREACH COORDINATOR (TREASURY)	1.0	41,336	0.0	0
POLICY AIDE (TREASURY)	1.0	53,003	1.0	53,003
PORTFOLIO RISK ANALYST (TREASURY INVESTMENTS)	1.0	73,190	0.0	0
PRINCIPAL AUDITOR	3.0	226,518	3.0	231,827
PROGRAM ADMINISTRATOR COLLEGE BOUND FUND (TREASURY)	1.0	62,893	1.0	64,668
PROJECT COORDINATOR(TRSY/ADMIN)	1.0	58,325	1.0	61,066

Personnel

Agency: TREASURY DEPARTMENT

General Treasurer

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
PUBLIC DEBT MANAGER (TREASURY/ INVESTMENTS)	1.0	98,340	1.0	98,340
RESEARCH DIRECTOR (TREASURY)	0.0	0	1.0	74,072
SENIOR ADMINISTRATIVE CLERK (TREASURY ADMINISTRATION)	1.0	77,159	1.0	77,777
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	1.0	56,863	1.0	56,863
SR ADMINISTRATIVE AIDE (TREASURY)	1.0	50,992	1.0	50,996
STATE RECEIPTS COORDINATOR & RET ACCT RECONCILER TREAS	1.0	68,167	1.0	68,430
SYSTEMS ADMINISTRATOR (TREASURY)	1.0	67,199	1.0	70,559
Subtotal Unclassified	38.0	3,059,230	33.0	2,547,142
Subtotal	38.0	3,059,230	33.0	2,547,142
Transfer Out		(1,542,276)		(946,800)
Transfer In		0		55,077
Salaries Adjustment		0		19,994
Seasonal/Special Salaries/Wages		0		6,353
Turnover		(82,547)		(75,624)
Total Salaries		1,605,833		1,606,146
Benefits				
FICA		119,612		119,313
Health Benefits		249,882		261,621
Payroll Accrual		9,232		9,300
Retiree Health		95,929		96,082
Retirement		415,152		436,268
Subtotal		889,807		922,584
Total Salaries and Benefits	38.0	2,495,640	33.0	2,528,730
Cost Per FTE Position (Excluding Temp. and Seasonal)		65,675		76,512.25
Statewide Benefit Assessment		67,374		73,906
Payroll Costs	38.0	2,563,014	33.0	2,602,636
Purchased Services				
Clerical and Temporary Services		500		500
Information Technology		2,500		2,500
Legal Services		47,260		39,500
Management & Consultant Services		150,500		216,500
Other Contracts		2,150		7,000

Personnel

Agency: TREASURY DEPARTMENT

General Treasurer

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		202,910		266,000
Total Personnel	38.0	2,765,924	33.0	2,868,636
Distribution by Source of Funds				
General Revenue		1,935,362		2,026,579
Federal Funds		268,690		273,652
Other Funds		561,872		568,405
Total All Funds		2,765,924		2,868,636

Program Summary

Agency: TREASURY DEPARTMENT

State Retirement System

Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership, including assurance of data integrity and security, and member counseling in individual or group settings. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.0%. The Investments sub-program within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. These changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, re-amortizing over 25 years, suspended cost-of-living adjustments and created a hybrid defined- benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Several public employee unions and retiree groups filed suit. Litigation related to the implementation of RIRSA was ultimately settled in June, 2016 and the provisions of the settlement agreement were enacted into law effective for FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

Budget

Agency: TREASURY DEPARTMENT

State Retirement System

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Defined Benefit	9,059,263	9,987,851	10,790,288	11,209,807	11,243,784
Defined Contribution	64,203	89,038	178,238	99,930	115,436
Total Expenditures	9,123,466	10,076,889	10,968,526	11,309,737	11,359,220
Expenditures by Object					
Salary and benefits	5,020,596	5,477,912	5,874,526	6,081,424	6,155,890
Contract Professional Services	3,470,288	3,789,581	4,072,900	4,072,900	3,918,592
Operating supplies and Expenses	443,339	507,898	668,100	773,413	935,738
Assistance And Grants	145,633	291,303	283,000	283,000	285,000
Subtotal: Operating	9,079,856	10,066,693	10,898,526	11,210,737	11,295,220
Capital Purchases And Equipment	43,610	10,196	70,000	99,000	64,000
Subtotal: Other	43,610	10,196	70,000	99,000	64,000
Total Expenditures	9,123,466	10,076,889	10,968,526	11,309,737	11,359,220
Expenditures by Source of Funds					
Restricted Receipts	9,123,466	10,076,889	10,968,526	11,309,737	11,359,220
Total Expenditures	9,123,466	10,076,889	10,968,526	11,309,737	11,359,220

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ACCOUNTING MANAGER (TREASURY/RETIREMENT)	1.0	71,678	1.0	71,678
ADMINISTRATIVE AIDE	3.0	139,180	3.0	138,466
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	1.0	39,678	1.0	39,678
ADMINISTRATIVE ASSISTANT	2.0	135,813	2.0	135,774
ADMINISTRATIVE ASSISTANT (TREASURY RETIREMENT)	4.0	126,110	4.0	226,646
BUSINESS ANALYST (TREASURY/RETIREMENT)	1.0	84,244	1.0	85,216
CHF INVESTMENT OFFCR (TRSY/INV	0.0	0	1.0	256,123
CHIEF FINANCIAL OFFICER (TREASURY RETIREMENT)	1.0	50,726	1.0	117,412
CONTROLLER (TREASURY RETIREMENT)	1.0	77,678	1.0	78,230
CUSTOMER EXPERIENCE MANAGER (TREASURY)	1.0	78,264	1.0	78,264
DATA ANALYST	0.0	0	1.0	55,397
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	1.0	55,387	1.0	84,433
DEPUTY DIRECTOR	1.0	117,412	1.0	117,412
DEPUTY LEGAL COUNSEL (TREASURY RETIREMENT)	1.0	93,799	1.0	98,364
DIRECTOR OF FINANCE (TREASURY/RETIREMENT)	1.0	117,412	0.0	0
DISABILITY ADMINISTRATIVE AIDE (TREASURY RETIREMENT)	1.0	39,477	1.0	40,226
DISABILITY PENSION MANAGER (TREASURY/ RETIREMENT)	1.0	73,174	1.0	75,568
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	1.0	151,472	1.0	151,515
IMAGING TECNICIAN	1.0	50,053	1.0	50,452
INVESTMENT ACCOUNTING MANAGER (TREASURY/ RETIREMENT)	1.0	113,091	1.0	108,246
INVESTMENT ANALYST (TREASURY)	1.0	68,862	1.0	68,862
INVESTMENT OFFICER (TREASURY)	0.0	0	2.0	210,984
LEGAL COUNSEL (TREASURY RETIREMENT)	1.0	66,881	1.0	69,919
MEMBER SERVICES REPRESENTATIVE (TREASURY RETIREMENT)	1.0	45,747	1.0	45,747
PRINCIPAL ACCOUNTANT	1.0	64,547	1.0	64,386
PROJECT COORDINATOR/COMPLIANCE ANALYST (TREASURY DEPARTMENT)	0.0	0	1.0	43,375
PROJECT COORDINATOR (TREASURY RETIREMENT)	1.0	43,484	0.0	0
PROJECT MANAGER (JUDICIAL)	1.0	87,179	1.0	87,877
RETIREMENT AIDE (TREASURY/RETIREMENT)	2.0	78,967	2.0	80,778
RETIREMENT ANALYST	0.0	0	2.0	116,438
RETIREMENT COUNSELOR (TREASURY RETIREMENT)	1.0	70,703	1.0	74,072

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	2.0	123,181	1.0	55,817
SENIOR ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	1.0	52,508	1.0	52,789
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	2.0	152,686	2.0	153,556
Senior INVESTMENT ANALYST (TREASURY)	0.0	0	1.0	75,863
WAGE & CONTRIBUTION ANALYST (TREASURY RETIREMENT)	1.0	69,917	1.0	69,117
Subtotal Unclassified	39.0	2,539,320	44.0	3,278,681
Subtotal	39.0	2,539,320	44.0	3,278,681
Transfer Out		(195,937)		(132,738)
Transfer In		1,405,186		693,027
Salaries Adjustment		0		(42,676)
Overtime (1.5)		100,000		80,000
Seasonal/Special Salaries/Wages		0		(6,353)
Turnover		(43,908)		(82,772)
Total Salaries		3,804,661		3,787,171
Benefits				
FICA		278,779		276,399
Health Benefits		633,746		669,710
Payroll Accrual		21,370		21,407
Retiree Health		222,535		221,751
Retirement		964,038		1,008,883
Subtotal		2,120,468		2,198,150
Total Salaries and Benefits	39.0	5,925,129	44.0	5,985,321
Cost Per FTE Position (Excluding Temp. and Seasonal)		164,587		136,030.02
Statewide Benefit Assessment		156,295		170,569
Payroll Costs	39.0	6,081,424	44.0	6,155,890
Purchased Services				
Buildings and Ground Maintenance		150,000		120,000
Clerical and Temporary Services		24,000		24,000
Information Technology		2,753,200		2,523,892
Legal Services		500,000		505,000
Management & Consultant Services		513,200		513,200
Other Contracts		132,500		232,500
Subtotal		4,072,900		3,918,592

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Total Personnel	39.0	10,154,324	44.0	10,074,482
Distribution by Source of Funds				
Restricted Receipts		10,154,324		10,074,482
Total All Funds		10,154,324		10,074,482

Program Summary

Agency: TREASURY DEPARTMENT

Unclaimed Property

Mission

The core mission of the program is to return unclaimed property to its rightful owner and maintain custody of the property within the general fund until it can be returned. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach through print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were updated during the 2011 legislative session to amend the advertising requirements of the program and to establish procedures for the disposal of 'de minimus' property. Amendments to Chapter 28-42-38 and 33-21.1-17, passed by the 2017 Legislature, facilitate the sharing of data between the Unclaimed Property Program and data banks of other state agencies to unite property holders using pre-qualified identity matches.

Budget

Agency: TREASURY DEPARTMENT

Unclaimed Property

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830
Total Expenditures	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830
Expenditures by Object					
Salary and benefits	1,058,586	1,148,775	1,155,079	1,151,645	1,148,115
Contract Professional Services	431,631	418,605	593,000	566,333	593,000
Operating supplies and Expenses	26,143,002	24,769,915	24,565,255	24,797,304	23,835,715
Subtotal: Operating	27,633,219	26,337,294	26,313,334	26,515,282	25,576,830
Capital Purchases And Equipment	1,151	11,229	11,000	21,000	11,000
Subtotal: Other	1,151	11,229	11,000	21,000	11,000
Total Expenditures	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830
Expenditures by Source of Funds					
Restricted Receipts	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830
Total Expenditures	27,634,370	26,348,524	26,324,334	26,536,282	25,587,830

Personnel

Agency: TREASURY DEPARTMENT

Unclaimed Property

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
APPLICATIONS COORDINATOR (TREASURY UNCLAIMED PROPERTY)	1.0	50,322	1.0	49,830
ASST ADMIN/CLERK (Unclaimed Property)	3.0	128,683	3.0	129,884
OUTREACH COORDINATOR (TREASURY)	0.0	0	1.0	42,581
RETIREMENT ANALYST	1.0	65,466	0.0	0
SENIOR UNCLAIMED PROPERTY TECHNICIAN (TREAS)	1.0	55,308	1.0	54,912
UNCLAIMED PROPERTY CLERK (TREASURY)	1.0	43,078	1.0	43,078
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	1.0	84,095	1.0	84,433
Subtotal Unclassified	8.0	426,952	8.0	404,718
Subtotal	8.0	426,952	8.0	404,718
Transfer Out		0		(36,193)
Transfer In		32,885		333,686
Salaries Adjustment		0		(3,134)
Overtime (1.5)		35,000		35,000
Turnover		(3,516)		(20,340)
Total Salaries		723,599		713,736
Benefits				
FICA		52,367		51,622
Health Benefits		122,116		121,714
Payroll Accrual		3,959		3,932
Retiree Health		41,182		40,696
Retirement		178,498		185,112
Subtotal		398,122		403,076
Total Salaries and Benefits	8.0	1,121,721	8.0	1,116,812
Cost Per FTE Position (Excluding Temp. and Seasonal)		140,215		139,601.5
Statewide Benefit Assessment		29,924		31,303
Payroll Costs	8.0	1,151,645	8.0	1,148,115
Purchased Services				
Information Technology		93,333		170,000
Management & Consultant Services		450,000		400,000
Other Contracts		23,000		23,000
Subtotal		566,333		593,000
Total Personnel	8.0	1,717,978	8.0	1,741,115

Personnel

Agency: TREASURY DEPARTMENT

Unclaimed Property

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts		1,717,978		1,741,115
Total All Funds		1,717,978		1,741,115

Program Summary

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

Mission

The Program was created to help victims recover costs associated with violent crime. The program provides up to \$25,000 in compensation to crime victims for expenses such as medical bills, loss of earnings, crime scene cleaning, and funeral expenses. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages were necessary. Program staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program Administrator awards or denies requests according to the evidence presented and eligibility rules under the applicable statute.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs. More recently, payment for psychiatric care and counseling for minor witnesses who witness violent crimes was passed by the 2017 Legislature.

Budget

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	1,640,761	2,192,363	2,174,344	1,938,382	2,083,548
Total Expenditures	1,640,761	2,192,363	2,174,344	1,938,382	2,083,548
Expenditures by Object					
Salary and benefits	387,317	447,972	415,079	437,155	423,009
Contract Professional Services	0	3,003	17,800	7,000	28,250
Operating supplies and Expenses	81,776	46,970	34,615	67,377	55,439
Assistance And Grants	1,161,372	1,694,418	1,705,000	1,425,000	1,575,000
Subtotal: Operating	1,630,465	2,192,363	2,172,494	1,936,532	2,081,698
Capital Purchases And Equipment	10,296	0	1,850	1,850	1,850
Subtotal: Other	10,296	0	1,850	1,850	1,850
Total Expenditures	1,640,761	2,192,363	2,174,344	1,938,382	2,083,548
Expenditures by Source of Funds					
General Revenue	220,795	233,970	242,675	271,745	283,285
Federal Funds	447,228	787,721	799,350	726,668	770,332
Restricted Receipts	972,738	1,170,673	1,132,319	939,969	1,029,931
Total Expenditures	1,640,761	2,192,363	2,174,344	1,938,382	2,083,548

Personnel

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE AIDE (TREASURY)	1.0	42,859	1.0	43,078
ADMINISTRATOR (TREASURY CRIME VICTIM COMPENSATION)	1.0	70,216	1.0	73,324
APPLICATIONS COORDINATOR (TREAS CRIME VICTIM COMPENSATI	1.0	56,020	1.0	56,468
DEPUTY PROGRAM ADMINISTRATOR (TREASURY CRIME VICTIM COMP)	1.0	67,068	1.0	67,199
Subtotal Unclassified	4.0	236,163	4.0	240,069
Subtotal	4.0	236,163	4.0	240,069
Transfer Out		0		0
Transfer In		265,163		33,941
Salaries Adjustment		0		8,170
Turnover		(1,181)		(19,497)
Total Salaries		267,867		262,683
Benefits				
FICA		20,459		20,045
Health Benefits		50,941		39,859
Payroll Accrual		1,540		1,518
Retiree Health		16,019		15,709
Retirement		69,078		71,113
Subtotal		158,037		148,244
Total Salaries and Benefits	4.0	425,904	4.0	410,927
Cost Per FTE Position (Excluding Temp. and Seasonal)		106,476		102,731.75
Statewide Benefit Assessment		11,251		12,082
Payroll Costs	4.0	437,155	4.0	423,009
Purchased Services				
Clerical and Temporary Services		100		100
Information Technology		6,900		25,150
Other Contracts		0		3,000
Subtotal		7,000		28,250
Total Personnel	4.0	444,155	4.0	451,259

Personnel

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		235,602		248,876
Federal Funds		19,468		19,186
Restricted Receipts		189,085		183,197
Total All Funds		444,155		451,259

Agency Summary

BOARD OF ELECTIONS

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections (Board) supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of voting equipment, election return forms, and other supplies used at polling places. It instructs and certifies all election officials assigned to polls, and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bipartisan pairs of supervisors for the mail ballot program for institutionally confined persons. It certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns. The Board oversees voter registration and public education activities and provides all registration materials used in the state. It also trains and supervises all individuals who serve as statewide voter registration agents. The Board conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The Board oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports. It is also responsible for the publication of manuals describing and explaining the requirements set forth in the statutes. It reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The Board also oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the State Returning Board. Chapter 1040 of the Public Laws of 1941 created the Board of Elections as a partisan board, replacing the State Returning Board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors.

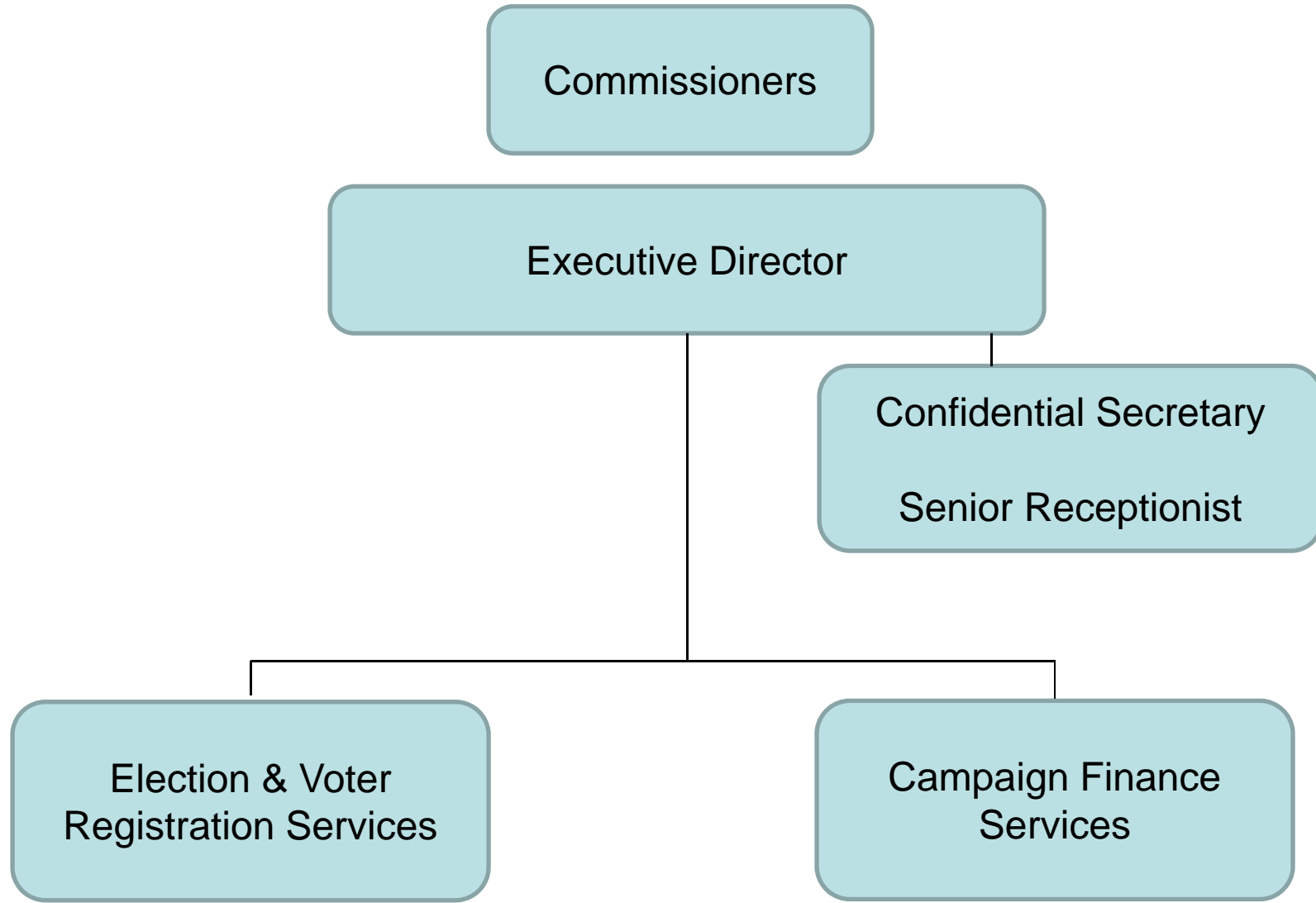
Budget

BOARD OF ELECTIONS

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
Total Expenditures	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
Expenditures by Object					
Salary And Benefits	1,109,741	1,279,174	1,252,717	1,180,299	1,712,585
Contract Professional Services	65,143	136,732	83,788	135,788	135,788
Operating Supplies And Expenses	473,153	444,252	204,230	365,664	824,688
Assistance And Grants	28,345	0	0	0	2,620,856
Subtotal: Operating	1,676,382	1,860,158	1,540,735	1,681,751	5,293,917
Capital Purchases And Equipment	38,130	109,511	8,000	8,000	21,600
Subtotal: Other	38,130	109,511	8,000	8,000	21,600
Total Expenditures	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
Expenditures by Source of Funds					
General Revenue	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
Total Expenditures	1,714,512	1,969,669	1,548,735	1,689,751	5,315,517
FTE Authorization	11.0	12.0	12.0	12.0	12.0

The Agency

Board of Elections



Personnel

Agency: BOARD OF ELECTIONS

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	2.0	84,569	2.0	88,550
CLERK/MACHINE DEMONSTRATOR/ASSISTANT BALLOT COORDINATOR	2.0	79,699	1.0	37,947
COMMISSIONER-BOARD OF ELECTIONS	0.0	21,000	0.0	21,000
CONFIDENTIAL SECRETARY	1.0	41,780	1.0	42,781
EXECUTIVE DIRECTOR	1.0	138,204	1.0	143,445
PLANNING AND PROGRAM DEVELOPMENT SPECIALIST	2.0	107,410	3.0	153,156
PRINCIPAL PROJECTS MANAGER	1.0	69,144	1.0	71,678
SENIOR ADMINISTRATIVE AIDE/TRAINING SPECIALIST	1.0	43,228	1.0	44,271
SENIOR RECEPTIONIST	1.0	40,681	1.0	40,681
SUPERVISING ACCOUNTANT	1.0	79,346	1.0	79,346
Subtotal Unclassified	12.0	705,061	12.0	722,856
Subtotal	12.0	705,061	12.0	722,856
Overtime (1.5)		0		7,982
Seasonal/Special Salaries/Wages		11,000		414,375
Turnover		(28,592)		0
Total Salaries		687,469		1,145,212
Benefits				
FICA		51,556		86,265
Health Benefits		192,132		211,466
Holiday		5,000		5,811
Payroll Accrual		3,770		4,027
Retiree Health		41,586		44,776
Retirement		171,254		182,421
Subtotal		465,298		534,766
Total Salaries and Benefits	12.0	1,152,767	12.0	1,679,978
Cost Per FTE Position (Excluding Temp. and Seasonal)		96,064		139,998.17
Statewide Benefit Assessment		27,532		32,607
Payroll Costs	12.0	1,180,299	12.0	1,712,585
Purchased Services				
Clerical and Temporary Services		3,000		3,000
Information Technology		12,000		12,000
Legal Services		120,000		120,000
Other Contracts		788		788

Personnel

Agency: BOARD OF ELECTIONS

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		135,788		135,788
Total Personnel	12.0	1,316,087	12.0	1,848,373
Distribution by Source of Funds				
General Revenue		1,316,087		1,848,373
Total All Funds		1,316,087		1,848,373

Agency Summary

RHODE ISLAND ETHICS COMMISSION

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage. Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees. Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner. Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources. Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The independent, non-partisan Rhode Island Ethics Commission was established pursuant to an amendment to the Rhode Island Constitution, approved by the voters on November 4, 1986. The Commission's first members were appointed on July 25, 1987, pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission. The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Statutory History

Article III, sections 7 and 8 of the Rhode Island Constitution mandates the establishment of the Ethics Commission, empowers the Commission to adopt a code of ethics, sets forth its jurisdiction over all elected and appointed officials and employees of state and local government, and authorizes the Commission to investigate code violations and to impose penalties. Title 36, Chapter 14 of the Rhode Island General Laws sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative procedures.

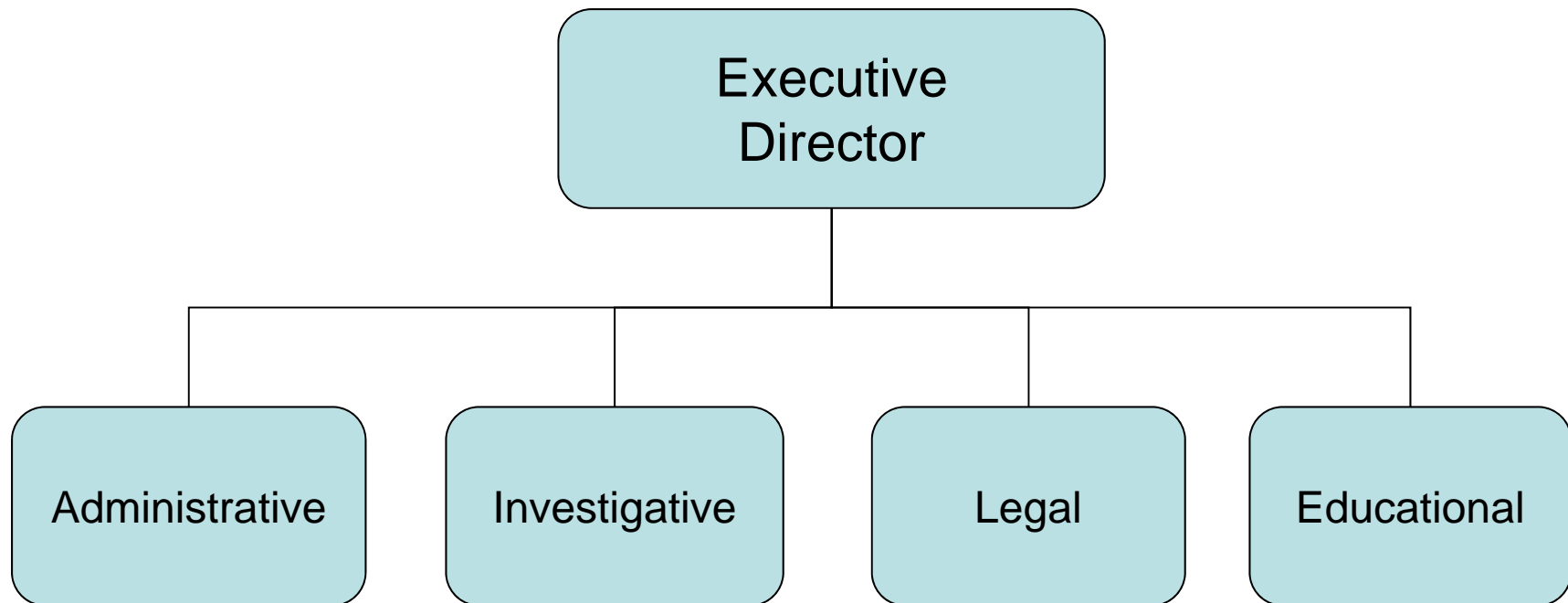
Budget

RHODE ISLAND ETHICS COMMISSION

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
RI Ethics Commission	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
Total Expenditures	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
Expenditures by Object					
Salary And Benefits	1,368,067	1,423,900	1,457,159	1,454,173	1,491,090
Contract Professional Services	20,720	22,301	32,001	57,001	57,001
Operating Supplies And Expenses	154,218	153,616	172,387	217,793	218,143
Subtotal: Operating	1,543,005	1,599,817	1,661,547	1,728,967	1,766,234
Capital Purchases And Equipment	3,326	4,416	4,326	4,326	4,326
Subtotal: Other	3,326	4,416	4,326	4,326	4,326
Total Expenditures	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
Expenditures by Source of Funds					
General Revenue	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
Total Expenditures	1,546,331	1,604,233	1,665,873	1,733,293	1,770,560
FTE Authorization	12.0	12.0	12.0	12.0	12.0

The Agency

Rhode Island Ethics Commission



Performance Measures

RHODE ISLAND ETHICS COMMISSION

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Notes: 2017 data is as of 8/31/2017. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2015	2016	2017	2018	2019
Target	75.10%	80.00%	80.00%	85.00%	85.00%
Actual	75.10%	79.30%	82.30%	0.00%	

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	1,269.00	1,650.00	1,500.00	1,500.00	1,500.00
Actual	1,269.00	1,016.00	1,479.00	0.00	

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	93.60%	85.00%	85.00%	85.00%	95.00%
Actual	93.60%	95.50%	95.00%	0.00%	

Personnel

Agency: RHODE ISLAND ETHICS COMMISSION

RI Ethics Commission

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	1.0	40,567	1.0	41,438
CHIEF OF THE OFFICE OF INVESTIGATIONS	1.0	107,197	1.0	107,197
COORDINATOR- SPECIAL PROJECTS	1.0	65,872	1.0	68,624
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	1.0	138,300	1.0	138,301
INVESTIGATOR I	1.0	57,792	1.0	57,792
RESEARCH AIDE	1.0	35,333	1.0	35,925
SENIOR CONFIDENTIAL INVESTIGATOR	1.0	82,418	1.0	82,418
SPECIAL PROJECTS COORDINATOR	1.0	69,361	1.0	72,980
STAFF ATTORNEY II	2.0	139,922	2.0	142,741
STAFF ATTORNEY IV	1.0	92,876	1.0	92,876
STAFF ATTORNEY V	1.0	99,502	1.0	99,502
Subtotal Unclassified	12.0	929,140	12.0	939,793
Subtotal	12.0	929,140	12.0	939,793
Total Salaries		929,140		939,794
Benefits				
FICA		70,035		71,511
Health Benefits		111,589		116,702
Payroll Accrual		5,370		5,396
Retiree Health		55,562		56,199
Retirement		243,454		258,259
Subtotal		486,010		508,067
Total Salaries and Benefits	12.0	1,415,150	12.0	1,447,861
Cost Per FTE Position (Excluding Temp. and Seasonal)		117,929		120,655.08
Statewide Benefit Assessment		39,023		43,229
Payroll Costs	12.0	1,454,173	12.0	1,491,090
Purchased Services				
Clerical and Temporary Services		5,000		5,000
Information Technology		6,000		6,000
Legal Services		45,726		45,726
Other Contracts		275		275
Subtotal		57,001		57,001
Total Personnel	12.0	1,511,174	12.0	1,548,091

Personnel

Agency: RHODE ISLAND ETHICS COMMISSION

RI Ethics Commission

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,511,174		1,548,091
Total All Funds		1,511,174		1,548,091

Agency Summary

OFFICE OF THE GOVERNOR

Agency Mission

Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6). The functions of the exchange have been transferred to the Department of Administration. The administration of Governor Gina M. Raimondo began on January 6, 2015.

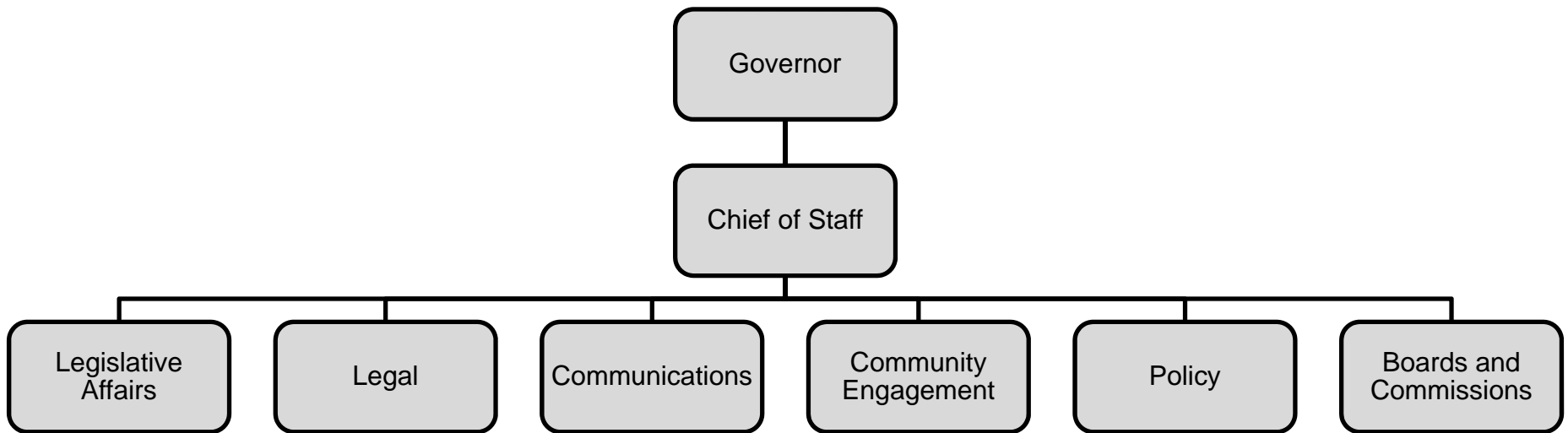
Budget

OFFICE OF THE GOVERNOR

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Expenditures by Object					
Salary And Benefits	4,443,748	4,468,092	4,861,187	4,851,144	4,923,102
Contract Professional Services	56,514	120,895	500	1,000	500
Operating Supplies And Expenses	250,030	379,171	265,967	420,955	355,026
Assistance And Grants	250	0	250,000	250,000	250,000
Subtotal: Operating	4,750,542	4,968,158	5,377,654	5,523,099	5,528,628
Capital Purchases And Equipment	4,560	40,235	19,900	17,100	17,100
Subtotal: Other	4,560	40,235	19,900	17,100	17,100
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Expenditures by Source of Funds					
General Revenue	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
FTE Authorization	45.0	45.0	45.0	45.0	45.0

The Agency

Executive Department



Personnel Agency Summary

OFFICE OF THE GOVERNOR

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified	45.0	3,714,557	45.0	3,972,345
Subtotal	45.0	3,714,557	45.0	3,972,345
Transfer Out		(385,860)		(547,245)
Transfer In		0		0
Turnover		(204,655)		(310,592)
Total Salaries		3,124,042		3,114,509
Benefits				
FICA		228,289		229,196
Health Benefits		352,340		380,968
Payroll Accrual		17,756		17,913
Retiree Health		186,822		186,523
Retirement		810,682		850,520
Subtotal		1,595,889		1,665,120
Total Salaries and Benefits	45.0	4,719,931	45.0	4,779,629
Cost Per FTE Position (Excluding Temporary and Seasonal)		104,887		106,214
Statewide Benefit Assessment		131,213		143,473
Payroll Costs	45.0	4,851,144	45.0	4,923,102
Purchased Services				
Buildings and Ground Maintenance		100		0
Legal Services		500		0
Other Contracts		400		500
Subtotal		1,000		500
Total Personnel	45.0	4,852,144	45.0	4,923,602
Distribution by Source of Funds				
General Revenue		4,852,144		4,923,602
Total All Funds		4,852,144		4,923,602

Budget

Agency: OFFICE OF THE GOVERNOR

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Governor's Office	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Expenditures by Object					
Salary and benefits	4,443,748	4,468,092	4,861,187	4,851,144	4,923,102
Contract Professional Services	56,514	120,895	500	1,000	500
Operating supplies and Expenses	250,030	379,171	265,967	420,955	355,026
Assistance And Grants	250	0	250,000	250,000	250,000
Subtotal: Operating	4,750,542	4,968,158	5,377,654	5,523,099	5,528,628
Capital Purchases And Equipment	4,560	40,235	19,900	17,100	17,100
Subtotal: Other	4,560	40,235	19,900	17,100	17,100
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Expenditures by Source of Funds					
General Revenue	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728
Total Expenditures	4,755,102	5,008,393	5,397,554	5,540,199	5,545,728

Personnel

Agency: OFFICE OF THE GOVERNOR

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ASSISTANT DIRECTOR LEGISLATIVE OFFICE (GOV OFF)	1.0	89,070	1.0	96,938
CHIEF OF STAFF (GOVERNORS OFFICE)	1.0	176,419	1.0	176,419
COMMUNICATIONS ASSISTANT (GOV OFF)	1.0	38,075	1.0	38,501
COMMUNITY AFFAIRS & OUTREACH MANAGER (GOV OFF)	1.0	64,657	1.0	67,295
CONSTITUENT SERVICES ASSOCIATE II (GOVERNORS OFFICE)	1.0	50,611	1.0	52,337
CONSTITUENT SERVICES ASSOCIATE II (GOV OFF)	1.0	45,268	1.0	46,951
CREATIVE MANAGER (GOV OFFICE)	1.0	62,985	1.0	65,249
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	1.0	150,692	1.0	155,381
DEPUTY CHIEF OF STAFF (GOV OFF)	1.0	151,568	1.0	155,564
DEPUTY COMMUNICATIONS DIRECTOR (GOV OFF)	1.0	69,243	1.0	70,502
DEPUTY COMMUNICATIONS DIRECTOR/PRESS SECRETARY (GOV OFF)	1.0	117,412	1.0	117,412
DEPUTY COUNSEL (GOV OFF)	2.0	214,545	2.0	251,496
DEPUTY PRESS SECRETARY (GOV OFF)	1.0	57,095	1.0	59,156
DIR BOARDS & COMMISSIONS (GOV)	1.0	98,340	1.0	98,340
DIRECTOR OF COMMUNICATIONS (GOVERNORS OFFICE)	1.0	129,222	1.0	133,918
DIRECTOR OF CONSTITUENT SERVICES (GOV OFF)	1.0	59,610	1.0	59,709
DIRECTOR OF PUBLIC ENGAGEMENT & COMMUNITY AFFAIRS (GOV OFF)	1.0	97,504	1.0	102,192
DIRECTOR OF SCHEDULING (GOVERNORS OFFICE)	1.0	61,855	1.0	61,819
DIRECTOR OF SPECIAL PROJECTS (GOV OFF)	1.0	116,942	1.0	121,635
EDUCATION POLICY ADVISOR (GOV OFF)	1.0	73,682	1.0	75,568
EVENTS & SOCIAL MEDIA MGR (GOV)	1.0	45,162	1.0	45,702
EXECUTIVE ADMINISTRATIVE ASSISTANT (GOV OFF)	1.0	59,445	1.0	59,709
EXECUTIVE ASSISTANT TO THE GOVERNOR (GOVERNORS OFFICE)	1.0	64,761	1.0	71,135
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	1.0	141,259	1.0	155,385
GOVERNOR	1.0	139,695	1.0	139,695
LEGAL ADMINISTRATIVE ASSISTANT (GOV OFF)	1.0	61,801	1.0	62,141
LEGISLATIVE AIDE (GOV OFF)	1.0	44,577	1.0	45,269
LEGISLATIVE DIRECTOR (GOVERNORS OFFICE)	1.0	155,564	1.0	178,899
OFFICE MANAGER (GOVERNORS OFFICE)	1.0	67,124	1.0	67,199
OUTREACH MANAGER (GOV OFF)	1.0	48,357	1.0	49,233
POLICY ADVISOR (GOV OFF)	3.0	201,626	3.0	213,147
Policy Director (GOVERNOR'S OFFICE)	1.0	81,514	1.0	95,468

Personnel

Agency: OFFICE OF THE GOVERNOR

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
POLICY FELLOW	1.0	5,000	0.0	0
PROTOCOL MANAGER (GOV OFF)	1.0	45,223	1.0	46,881
SENIOR COUNSEL (GOV OFF)	1.0	131,715	1.0	131,715
SPECIAL ASSISTANT FOR INNOVATION (GOV OFF)	1.0	42,610	1.0	43,228
SPECIAL ASSISTANT TO CHIEF OF STAFF (GOV OFF)	1.0	55,293	1.0	57,506
SPECIAL ASSISTANT TO THE GOVERNOR (GOV OFF)	2.0	106,392	2.0	117,328
Special Counsel (Governors Office)	1.0	82,150	2.0	173,909
SR ADVISOR TO GOVERNOR (GOV OFF)	1.0	160,107	1.0	160,329
STAFF WRITER (GOV OFFICE)	1.0	50,387	1.0	52,087
Subtotal Unclassified	45.0	3,714,557	45.0	3,972,345
Subtotal	45.0	3,714,557	45.0	3,972,345
Transfer Out		(385,860)		(547,245)
Transfer In		0		0
Turnover		(204,655)		(310,592)
Total Salaries		3,124,042		3,114,509
Benefits				
FICA		228,289		229,196
Health Benefits		352,340		380,968
Payroll Accrual		17,756		17,913
Retiree Health		186,822		186,523
Retirement		810,682		850,520
Subtotal		1,595,889		1,665,120
Total Salaries and Benefits	45.0	4,719,931	45.0	4,779,629
Cost Per FTE Position (Excluding Temp. and Seasonal)		104,887		106,213.98
Statewide Benefit Assessment		131,213		143,473
Payroll Costs	45.0	4,851,144	45.0	4,923,102
Purchased Services				
Buildings and Ground Maintenance		100		0
Legal Services		500		0
Other Contracts		400		500
Subtotal		1,000		500
Total Personnel	45.0	4,852,144	45.0	4,923,602

Personnel

Agency: OFFICE OF THE GOVERNOR

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		4,852,144		4,923,602
Total All Funds		4,852,144		4,923,602

Agency Summary

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Agency Mission

To enforce Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit and delivery of services. In addition to enforcing state antidiscrimination laws, the Commission, via work-sharing agreements/contracts with the U.S. Dept. of Housing and Urban Development and the U.S. Equal Employment Opportunity Commission, assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, as amended, the Age Discrimination in Employment Act, as amended, the Americans with Disabilities Act, as amended, and Title VIII of the Federal Fair Housing Law, as amended.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes, in addition to prohibiting discrimination on the aforementioned bases, also prohibit discrimination based on marital status, familial status, and military status. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse, housing status and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability.

The employment law also prohibits employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply. The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

Statutory History

The Commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: RIGL 28-5-1 et seq.; RIGL 34-37-1 et seq. (Fair Housing Practices Act); RIGL 11-24-1 et seq. (Hotels and Public Places); RIGL 23-6.3-11 and 23-6.3-12 (Prevention and Suppression of Contagious Diseases - HIV/AIDS); RIGL 42-87-1 et seq. (Civil Rights of People with Disabilities); and RIGL 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

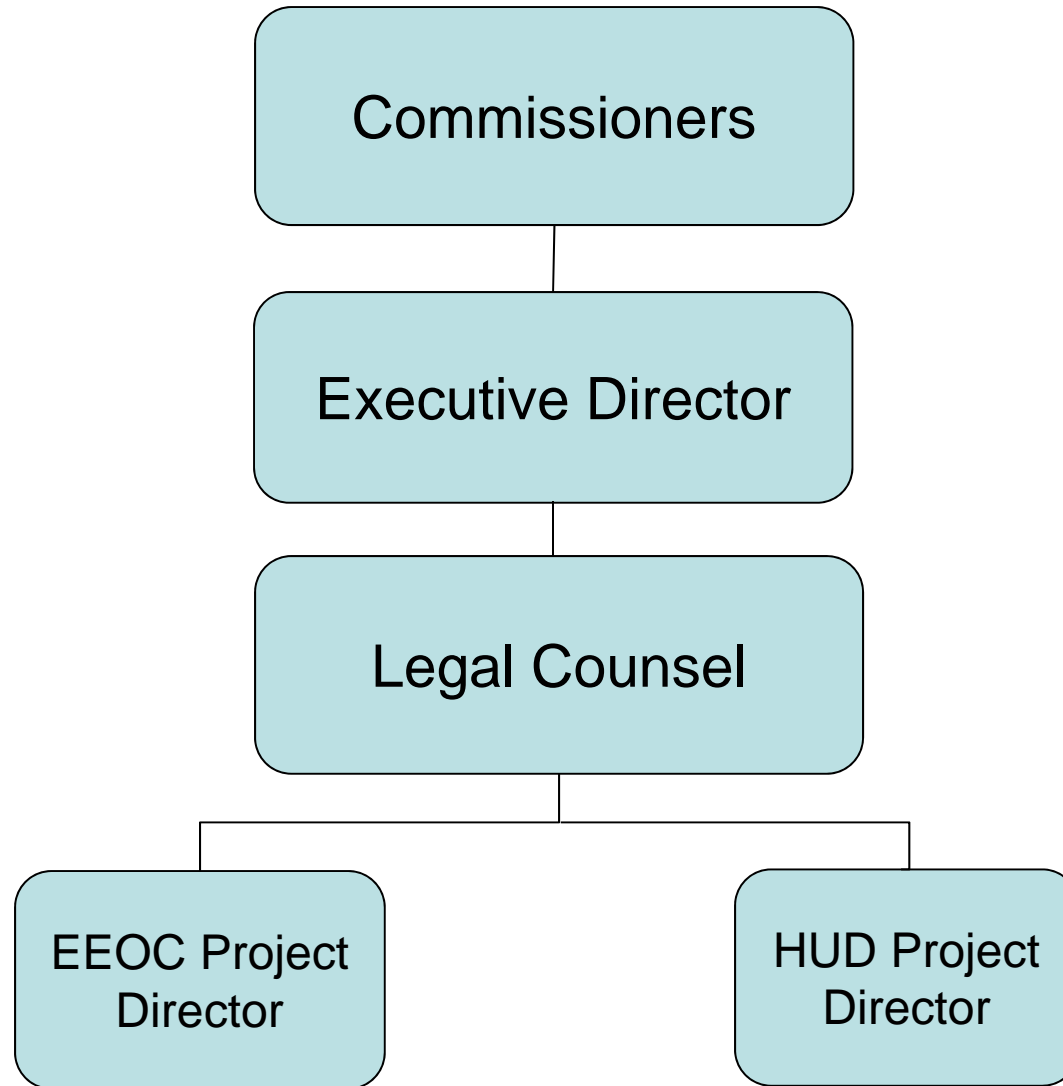
Budget

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
Total Expenditures	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
Expenditures by Object					
Salary And Benefits	1,262,756	1,271,213	1,409,431	1,402,851	1,466,790
Contract Professional Services	4,650	35,392	9,250	7,350	8,475
Operating Supplies And Expenses	254,031	250,715	271,421	327,796	332,761
Subtotal: Operating	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
Total Expenditures	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
Expenditures by Source of Funds					
General Revenue	1,242,374	1,247,603	1,258,074	1,292,590	1,310,456
Federal Funds	279,063	309,718	432,028	445,407	497,570
Total Expenditures	1,521,437	1,557,320	1,690,102	1,737,997	1,808,026
FTE Authorization	14.5	14.5	14.5	14.5	14.5

The Agency

Rhode Island Commission For Human Rights



Performance Measures

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order) as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. The data is from internal agency case-tracking records. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	425.00	405.00	407.00	407.00	407.00
Actual	425.00	434.00	412.00	0.00	

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number of outreach activities generally indicates a greater success at compliance with the statute. The data is from internal agency outreach tracking records. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	45.00	36.00	38.00	44.00	44.00
Actual	45.00	46.00	51.00	0.00	

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	300.00	365.00	365.00	365.00	365.00
Actual	300.00	331.00	315.00	0.00	

Personnel

Agency: RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE AIDE (COMMISSION FOR HUMAN RIGHTS)	2.0	84,981	2.0	84,982
CHIEF CLERK	1.0	57,644	1.0	57,644
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	1.0	64,754	1.0	64,754
EXECUTIVE SECRETARY - HUMAN RIGHTS	1.0	113,420	1.0	113,420
HUD PROJECT DIRECTOR	1.0	69,026	1.0	69,026
INVESTIGATOR (HUMAN RIGHTS)	3.0	132,941	3.0	132,941
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	3.5	196,022	3.5	196,022
STAFF ATTORNEY III	1.0	74,405	1.0	74,405
STAFF ATTORNEY IV	1.0	96,055	1.0	96,055
Subtotal Unclassified	14.5	889,248	14.5	889,249
Subtotal	14.5	889,248	14.5	889,249
Turnover		(35,259)		(22,986)
Total Salaries		853,990		866,263
Benefits				
FICA		65,328		66,270
Health Benefits		170,477		201,883
Payroll Accrual		4,922		4,973
Retiree Health		51,066		51,801
Retirement		219,958		235,754
Subtotal		511,751		560,681
Total Salaries and Benefits	14.5	1,365,741	14.5	1,426,944
Cost Per FTE Position (Excluding Temp. and Seasonal)		94,189		98,409.93
Statewide Benefit Assessment		37,110		39,846
Payroll Costs	14.5	1,402,851	14.5	1,466,790
Purchased Services				
Clerical and Temporary Services		2,000		2,300
Legal Services		850		1,000
Other Contracts		3,000		3,375
Training and Educational Services		1,500		1,800
Subtotal		7,350		8,475
Total Personnel	14.5	1,410,201	14.5	1,475,265

Personnel

Agency: RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,019,114		1,032,230
Federal Funds		391,087		443,035
Total All Funds		1,410,201		1,475,265

Agency Summary

PUBLIC UTILITIES COMMISSION

Agency Mission

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation; and cooperate with other state and federal government agencies to coordinate efforts to meet the above objectives.

Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98. The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

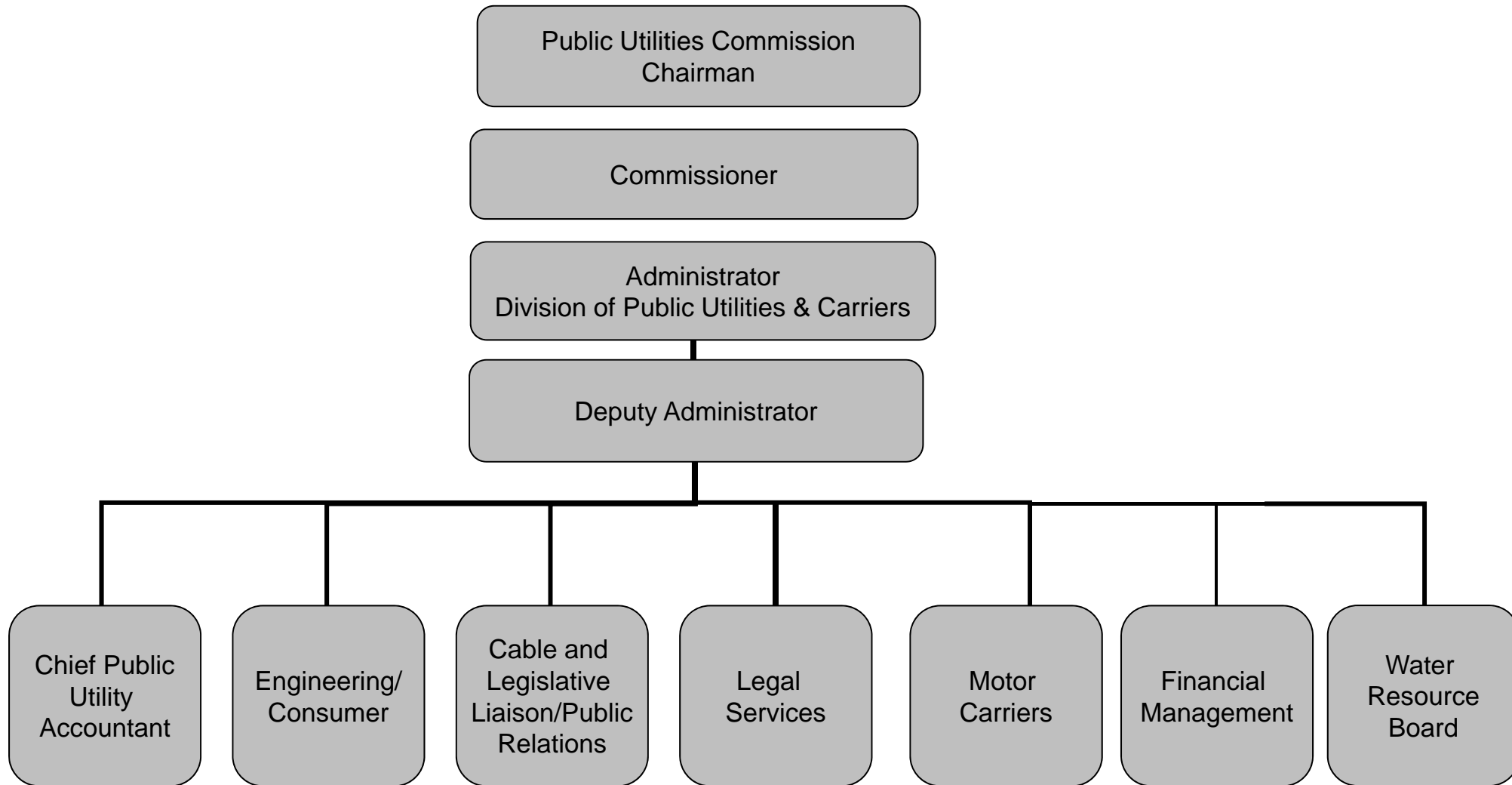
Budget

PUBLIC UTILITIES COMMISSION

	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Expenditures by Program					
Central Management	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Expenditures by Object					
Salary And Benefits	5,755,075	5,960,755	6,288,381	6,597,529	7,248,598
Contract Professional Services	872,753	1,295,925	1,651,075	2,045,525	2,045,525
Operating Supplies And Expenses	580,032	703,635	1,126,887	1,257,158	1,263,082
Assistance And Grants	0	0	0	4,200	4,200
Subtotal: Operating	7,207,860	7,960,316	9,066,343	9,904,412	10,561,405
Capital Purchases And Equipment	70,129	54,578	70,000	70,000	100,000
Subtotal: Other	70,129	54,578	70,000	70,000	100,000
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Expenditures by Source of Funds					
Federal Funds	107,180	118,808	129,225	165,593	168,378
Restricted Receipts	7,170,809	7,896,086	9,007,118	9,808,819	10,493,027
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
FTE Authorization	50.0	51.0	51.0	54.0	57.0

The Agency

Public Utilities Commission



Personnel Agency Summary

PUBLIC UTILITIES COMMISSION

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified	45.0	3,445,202	49.0	3,730,520
Unclassified	9.0	789,852	8.0	780,887
Subtotal	54.0	4,235,054	57.0	4,511,407
Transfer Out		0		0
Transfer In		14,962		16,263
Salaries Adjustment		0		(110,359)
Overtime (1.5)		53,000		53,000
Turnover		(174,961)		(16,263)
Total Salaries		4,128,056		4,454,047
Benefits				
FICA		314,621		339,681
Health Benefits		655,578		773,855
Payroll Accrual		23,473		25,418
Retiree Health		246,685		255,934
Retirement		1,057,475		1,201,722
Subtotal		2,297,832		2,596,610
Total Salaries and Benefits	54.0	6,425,888	57.0	7,050,657
Cost Per FTE Position (Excluding Temporary and Seasonal)		118,998		123,696
Statewide Benefit Assessment		171,641		197,941
Payroll Costs	54.0	6,597,529	57.0	7,248,598
Purchased Services				
Buildings and Ground Maintenance		29,000		29,000
Clerical and Temporary Services		79,000		79,000
Design and Engineering Services		55,000		55,000
Information Technology		93,000		93,000
Legal Services		263,000		263,000
Management & Consultant Services		1,514,012		1,514,012
Other Contracts		12,513		12,513
Subtotal		2,045,525		2,045,525
Total Personnel	54.0	8,643,054	57.0	9,294,123
Distribution by Source of Funds				
Federal Funds		154,335		157,120
Restricted Receipts		8,488,719		9,137,003
Total All Funds		8,643,054		9,294,123

Performance Measures

PUBLIC UTILITIES COMMISSION

Timeliness of Motor Carrier Applications and Reports

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	91.70%	95.00%	95.00%	95.00%	95.00%
Actual	91.70%	97.50%	94.80%	0.00%	

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	100.00%	100.00%	100.00%	100.00%	95.00%
Actual	100.00%	98.80%	96.20%	0.00%	

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	95.20%	90.00%	90.00%	90.00%	95.00%
Actual	95.20%	95.50%	96.70%	0.00%	

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Monthly</i>	<i>Reporting Period: State Fiscal Year</i>				
	2015	2016	2017	2018	2019
Target	97.90%	90.00%	90.00%	90.00%	95.00%
Actual	97.90%	98.60%	99.40%	0.00%	

Budget

Agency: PUBLIC UTILITIES COMMISSION

Central Management

Expenditures by Sub Program	2016 Actuals	2017 Actuals	2018 Enacted Budget	2018 Revised Budget	2019 Recommended
Operations	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Expenditures by Object					
Salary and benefits	5,755,075	5,960,755	6,288,381	6,597,529	7,248,598
Contract Professional Services	872,753	1,295,925	1,651,075	2,045,525	2,045,525
Operating supplies and Expenses	580,032	703,635	1,126,887	1,257,158	1,263,082
Assistance And Grants	0	0	0	4,200	4,200
Subtotal: Operating	7,207,860	7,960,316	9,066,343	9,904,412	10,561,405
Capital Purchases And Equipment	70,129	54,578	70,000	70,000	100,000
Subtotal: Other	70,129	54,578	70,000	70,000	100,000
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405
Expenditures by Source of Funds					
Federal Funds	107,180	118,808	129,225	165,593	168,378
Restricted Receipts	7,170,809	7,896,086	9,007,118	9,808,819	10,493,027
Total Expenditures	7,277,989	8,014,894	9,136,343	9,974,412	10,661,405

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Classified				
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	1.0	140,018	1.0	140,018
ADMINISTRATOR- FINANCIAL MANAGEMENT	1.0	91,601	1.0	91,601
ASSISTANT DIRECTOR FOR LEGAL SERVICES (DOT)	1.0	125,504	1.0	125,504
ASSISTANT TO CHIEF PUBLIC UTILITIES ACCOUNTANT	1.0	92,450	1.0	92,450
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR DIV/ PU & CARR	1.0	88,440	1.0	88,440
ASSOCIATE PUBLIC UTILITIES ADMIN FOR OPER & CONS AFF	1.0	75,682	1.0	75,682
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR FOR MOTOR CAR.	1.0	91,353	1.0	91,353
CHIEF COMPLIANCE INSPECTOR	1.0	75,071	1.0	75,071
CHIEF CONSUMER AGENT (DPUC)	1.0	61,804	1.0	61,804
CHIEF FIELD INVESTIGATOR (GENERAL)	1.0	52,665	1.0	52,665
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	1.0	52,851	1.0	52,851
CHIEF FINANCIAL ANALYST	1.0	101,046	1.0	101,046
CHIEF IMPLEMENTATION AIDE	1.0	63,399	1.0	63,399
CHIEF PUBLIC UTILITIES ACCOUNTANT	1.0	119,450	1.0	119,450
CONSUMER AGENT (DPUC)	4.0	249,616	4.0	169,616
CUSTOMER SERVICE SPECIALIST I	0.0	0	1.0	37,270
DEPUTY CHIEF OF LEGAL SERVICES	3.0	327,096	3.0	267,096
GENERAL MANAGER (RI WATER RESOURCES BOARD)	0.0	0	1.0	121,283
INFORMATION SERVICES TECHNICIAN I	2.0	118,276	2.0	88,276
INFORMATION SERVICES TECHNICIAN II	1.0	66,087	1.0	51,087
INVESTIGATIVE AUDITOR	1.0	90,896	1.0	90,896
MOTOR CARRIER COMPLIANCE INSPECTOR	2.0	93,976	2.0	93,976
PRINCIPAL AUDITOR	1.0	65,248	1.0	65,248
PROGRAMMING SERVICES OFFICER	0.0	0	2.0	125,442
PUBLIC UTILITIES ANALYST II	1.0	51,144	1.0	51,144
PUBLIC UTILITIES ANALYST V	5.0	434,672	5.0	434,673
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	2.0	239,384	2.0	239,384
PUBLIC UTILITIES ENGINEERING SPECIALIST II	4.0	254,145	4.0	254,145
SENIOR LEGAL COUNSEL	3.0	223,328	3.0	224,594
STAFF DIRECTOR (WATER RESOURCES BOARD)	1.0	0	1.0	100,479
SUPERVISING CIVIL ENGINEER (NATURAL RESOURCES)	1.0	0	1.0	84,576
Subtotal Classified	45.0	3,445,202	49.0	3,730,520

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Unclassified				
ADMINISTRATIVE ASSISTANT	3.0	196,758	3.0	187,793
ADMINISTRATOR- DIVISION OF PUBLIC UTILITIES & CARRIERS	1.0	141,259	1.0	141,259
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	1.0	126,584	1.0	126,584
GENERAL MANAGER (RI WATER RESOURCES BOARD)	1.0	0	0.0	0
MEMBER- PUBLIC UTILITIES COMMISSION	2.0	231,676	2.0	231,676
PRINCIPAL POLICY ASSOCIATE	1.0	93,575	1.0	93,575
Subtotal Unclassified	9.0	789,852	8.0	780,887
Subtotal	54.0	4,235,054	57.0	4,511,407
Transfer Out		0		0
Transfer In		14,962		16,263
Salaries Adjustment		0		(110,359)
Overtime (1.5)		53,000		53,000
Turnover		(174,961)		(16,263)
Total Salaries		4,128,056		4,454,047
Benefits				
FICA		314,621		339,681
Health Benefits		655,578		773,855
Payroll Accrual		23,473		25,418
Retiree Health		246,685		255,934
Retirement		1,057,475		1,201,722
Subtotal		2,297,832		2,596,610
Total Salaries and Benefits	54.0	6,425,888	57.0	7,050,657
Cost Per FTE Position (Excluding Temp. and Seasonal)		118,998		123,695.74
Statewide Benefit Assessment		171,641		197,941
Payroll Costs	54.0	6,597,529	57.0	7,248,598
Purchased Services				
Buildings and Ground Maintenance		29,000		29,000
Clerical and Temporary Services		79,000		79,000
Design and Engineering Services		55,000		55,000
Information Technology		93,000		93,000
Legal Services		263,000		263,000
Management & Consultant Services		1,514,012		1,514,012
Other Contracts		12,513		12,513

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

	FY 2018		FY 2019	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		2,045,525		2,045,525
Total Personnel	54.0	8,643,054	57.0	9,294,123
Distribution by Source of Funds				
Federal Funds		154,335		157,120
Restricted Receipts		8,488,719		9,137,003
Total All Funds		8,643,054		9,294,123

Quasi-Public Agencies

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (Commerce RI) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and Commerce RI, and having many of the same powers and purposes of Commerce RI. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of Commerce RI.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements typically range between 75-90% of eligible project costs.

The Budget

Rhode Island Airport Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 (a) Recommended
Revenue: (T.F. Green)				
Passenger Airline Revenues	23,417,100	21,752,000	22,552,840	20,107,500
Landing Fees - Cargo	682,000	628,300	503,460	1,554,400
General Aviation	319,200	376,900	250,000	376,900
Fuel Flowage Fees	808,100	802,000	804,100	820,200
Tiedown & Hanger Fees	1,403,200	1,681,700	1,529,000	1,809,600
Aircraft Registration	22,000	20,600	20,000	20,600
Concessions	3,743,600	3,978,500	4,140,000	4,347,000
Miscellaneous Revenues	192,100	211,200	175,000	178,500
Utilities Reimbursement	348,400	368,200	350,000	357,000
Terminal Rent-Non Airlines	1,031,100	974,100	1,053,000	1,074,100
Automobile Parking	13,398,600	15,065,200	16,000,000	16,800,000
Rental Car Parking	5,206,000	5,280,100	5,485,000	5,759,300
Off Airport Courtesy Fees	621,400	609,000	832,200	1,012,400
Audit & Finance Charge	100	406,700	-	-
Federal Grants - FAA	312,100	229,200	-	-
Airport Support Fund - Revenue A65	869,600	1,100,100	1,095,000	1,100,000
Total Revenue	\$52,374,600	\$53,483,800	\$54,789,600	\$55,317,500
Personnel Expenses: (T.F. Green)				
Payroll	11,232,900	11,427,800	11,873,700	12,136,100
Payroll - Overtime	639,600	715,700	407,000	366,300
Snow Removal Overtime	193,300	233,300	226,700	204,000
Overtime-Holiday	361,200	328,800	341,100	347,900
Employee Retirement	1,184,200	949,500	1,096,200	1,096,700
FICA Tax	892,900	925,500	955,400	970,700
Long Term Disability & Life Insurance	164,600	165,200	186,000	186,000
Workers' Comp Insurance	446,100	413,200	410,000	418,200
Health Insurance	2,168,300	2,272,900	2,483,000	2,731,300
Total Personnel Expenses	\$17,283,100	\$17,431,900	\$17,979,100	\$18,457,200
Total Expenses - Operating	10,037,100	9,913,800	12,572,700	12,562,900
Total Expenditures	\$27,320,200	\$27,345,700	\$30,551,800	\$31,020,100
Net Income from Operations	\$25,054,400	\$26,138,100	\$24,237,800	\$24,297,400

The Budget

Rhode Island Airport Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 (a) Recommended
General Aviation Airports				
Revenues	3,418,600	3,876,600	3,925,600	4,043,400
Payroll Expenses	(1,748,600)	(1,789,700)	(1,869,300)	(1,913,400)
Operating Expenses	(1,393,100)	(1,177,400)	(1,390,100)	(1,417,900)
Airport Management Fee	(171,000)	(198,200)	(154,500)	(157,600)
Net Gain (Loss) Outlying Airport	\$105,900	\$711,300	\$511,700	\$554,500
Depreciation & Amortization	22,655,100	23,717,000	24,700,000	24,832,000
Net Income(Loss) After Depreciation and Amortization	\$2,505,200	\$3,132,400	\$49,500	\$19,900
Air Service Marketing	(727,300)	(1,068,700)	(2,900,000)	(4,550,000)
Net Income(Loss) After Depreciation and Amortization	\$1,777,900	\$2,063,700	(\$2,850,500)	(\$4,530,100)
Other Income & Expenses (b)				
Interest Income	152,100	324,800	290,000	324,800
Interest Expense	(500)	(49,500)	(42,600)	(36,000)
Interest Expense - All Bonds	(9,634,100)	(7,653,400)	(8,517,600)	(8,100,000)
Gain (Loss) on Sale of Assets	(21,800)	24,900	-	-
Miscellaneous Income (Expense)	600	83,500	-	-
Interest Income - PFC	16,600	73,000	34,000	73,000
Passenger Facility Charge	7,151,500	7,338,000	7,500,000	7,875,000
Federal Grants - FAA	37,543,400	35,137,700	19,211,400	8,203,875
Miscellaneous Grants & Contributions	(10,109,400)	(6,923,300)	(710,000)	-
Land Acquisition Program	(12,987,000)	(15,307,300)	(10,595,900)	-
Total Non-Operating Income & Exp	\$12,111,400	\$13,048,400	\$7,169,300	\$8,340,675
Airports - Net Income	\$13,889,300	\$15,112,100	\$4,318,800	\$3,810,575
Interlink Facility				
Facility Revenues	7,845,500	7,698,500	7,840,100	9,016,900
Operating Expenses	(1,314,000)	(1,353,000)	(1,433,800)	(2,023,500)
Depreciation	(4,586,500)	(4,600,900)	(4,620,000)	(4,620,000)
Interest Expense	(4,453,300)	(4,408,200)	(4,914,600)	(4,274,400)
Total Intermodal Facility, Net	(\$2,508,300)	(\$2,663,600)	(\$3,128,300)	(\$1,901,000)
RIAC - Net Income	\$11,381,000	\$12,448,500	\$1,190,500	\$1,909,575

(a) The information presented for FY 2019 has neither been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change.

(b) Federal Grant income and PFC income are restricted for use on approved capital project costs and cannot be used for airport operations.

The Agency

Capital Center Commission

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Operating Revenues				
State Grants	12,169	-	12,169	12,169
City Grants	-	-	-	-
Development/Permit Fees	18,726	2,625	2,000	2,000
Interest Income	-	-	-	-
Management Fees	-	-	-	-
Miscellaneous Income	-	-	-	-
Total Operating Revenues	30,895	2,625	14,169	14,169
Expenditures				
Salaries	-	-	-	-
Fringes	-	-	-	-
Rent and Utilities	-	-	-	-
Telephone	-	-	-	-
Print/Supplies	173	-	100	100
Postage	-	-	-	-
Meetings/Miscellaneous	363	1,847	500	500
Travel/Prof. Dev.	-	-	-	-
Dues, Ins. Subscriptions	-	-	-	-
Consultants	-	-	500	500
Bookkeeping Fees	1,050	1,050	1,150	1,250
Legal & Audit Fees	3,289	2,729	2,500	2,500
Total	4,875	5,626	4,750	4,850
Less Non Operating Revenue				
Interest Income	16	14	15	15
Non Operating Expense				
State House Parking Study	20,000	-	-	-
Advocacy and Support	10,000	-	10,000	10,000
Net Income(Loss)	26,036	(2,987)	9,434	9,334

The Agency

Rhode Island Infrastructure Bank

Bank Mission

The mission of the Rhode Island Infrastructure Bank (Bank) is to actively support and finance an array of critical investments in the State's infrastructure. The Bank does so through a variety of means, including originating loans and engaging with and mobilizing of sources of public and private capital. Through its activities, the Bank fosters infrastructure improvements that create jobs, promote economic development and enhance the environment.

Bank Description

The Bank was established in 1989 (under the title of the Clean Water Finance Agency) as a body politic and corporate and public instrumentality of the state having a distinct legal existence from the state and not constituting a department of the state government.

Pursuant to an agreement between the Environmental Protection Agency (EPA) and the Bank, the Bank manages the State's Clean Water and Drinking Water State Revolving Fund (SRF) programs, The SFR programs, which were authorized by Federal legislation – the Water Quality Act of 1987 for the Clean Water and the Safe Drinking Water Act Of 1996 for the Drinking Water – provide low-cost financing to cities, towns, and other eligible borrowers primarily for the construction and improvement of wastewater and drinking water infrastructure. Federal funds, supplemented by a State match of 20%, help capitalize the two programs. These funds, along with other sources of capital, are subsequently leveraged in the bond market to insure that adequate funds are available to meet project demand. Through June 30, 2017, the Bank has originated \$1.3 billion and \$448 million in loans for the Clean and Drinking Water SRF's, respectively.

In addition to the Bank's legacy drinking water and clean water programs (including the latter's companion residential-based lending for the community septic system loan program and the sewer tie-in loan fund), the Bank also supports a municipal road and bridge program, energy efficiency and renewable energy (including the Commercial – Property Assessed Clean Energy (PACE) program), stormwater and climate resiliency, brownfield remediation, and water quality protection lending.

Statutory History

Chapter 46-12.2 is the Bank's enabling legislation and established the CWSRF. Chapter 46-12.8 established the DWSRF. Chapter 24-18 established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend Chapter 46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under Chapter 39-26.5; and (iii) authorize the Bank to develop and administer a Brownfields Revolving Fund under 23-19.16.

The Budget

Rhode Island Infrastructure Bank

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Revenue				
Interest and Investment Income	31,299,655	25,488,858	35,062,493	35,000,000
Operating Grant Income	3,139,594	2,593,311	3,000,000	3,400,000
Loan Service Fees ⁽¹⁾	5,051,320	5,259,896	5,060,509	521,000
Loan Origination Fees	1,903,204	1,049,331	1,022,500	1,050,000
Total Revenues	\$41,393,773	\$34,391,396	\$44,145,502	\$39,971,000
Operating Expenses				
Interest and Finance Expenses	26,794,563	21,812,018	28,984,598	26,000,000
Loan Principal Foregiveness	1,633,644	1,546,296	1,625,000	1,650,000
Administrative Expenses	5,503,076	4,187,683	4,067,754	4,230,000
Administrative Fees - DEM	628,224	779,890	740,000	750,000
Administrative Fees - DOH	66,294	303,619	100,000	150,000
DOH Set-Aside Programs	2,614,827	2,289,692	2,240,000	2,500,000
Total Operating Expenses	\$37,240,628	\$30,919,198	\$37,757,352	\$35,280,000
Other Revenues (Expenses)				
Federal & State Capitalization Grants	41,958,826	21,093,042	12,500,000	18,000,000
Excess Revenues over Expenses	\$46,111,971	\$24,565,240	\$18,888,150	\$22,691,000

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

In recent years the Authority's primary venues, the Rhode Island Convention Center and the Dunkin' Donuts Center have faced stiff competition for patrons and events. The Dunkin' Donuts Center is fortunate to have two first class tenants in the AHL Providence Bruins and the Big East's PC Friars, however, unfortunate to be situated between the very aggressive Mohegan Sun Arena to the South and the Live Nation owned and operated Xfinity Amphitheater to the North. The ongoing focus is on alternative programming to combat the meetings, banquets and public shows, however it is somewhat undersized compared to nearby Boston and Hartford. Particular care has been taken to ensure that both facilities are maintained, renewed and upgraded to remain competitive.

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2011 as The Vets. The impact of the renovations completed in FY 2015 has already been realized by the increased number of and greater variety of offerings. This makes a strong case for recognition as a premier facility for fine arts, educational and children's programming.

Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. Furthermore to attract events to capture and promote positive economic impact for the City and the State. The Authority also has management oversight of the Vets under a lease agreement with DOA. In 2016, the General Assembly directed and authorized the Authority to develop and operated a new 1250 space parking facility on State owned land adjacent to the I-195 redevelopment district.

Statutory History

RIGL 42-99 "Convention Center Authority Act" created the Rhode Island Convention Center Authority.

The Budget

Rhode Island Convention Center Authority

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Resources				
Opening Cash Balances	328,901	689,284	-	-
Operations	26,825,981	25,129,255	25,782,823	25,735,991
Investment Income	245	250	250	250
Hotel Room Tax	139,755	-	-	-
Net Bank Transfers/Misc Revenues	2,120,000	125,000	124,750	125,000
Total Resources	\$29,414,882	\$25,943,789	\$25,907,823	\$25,861,241
Expenditures				
Convention Center Authority	2,237,088	2,094,783	1,988,203	2,029,062
Convention Center Management	13,685,465	14,018,323	14,284,869	14,384,539
Dunkin' Donuts Center	11,258,751	8,269,389	8,687,906	8,514,014
Veterans' Memorial Auditorium	1,548,898	1,561,294	946,845	933,626
Subtotal Operations	28,730,202	25,943,789	25,907,823	25,861,241
Convention Center Debt Service	\$16,060,434	\$15,556,769	\$12,527,320	\$12,005,934
Dunkin Donuts Center Debt Service	6,908,000	6,907,794	6,836,683	6,905,320
Veterans' Memorial Auditorium Capital	225,239	245,000	245,000	250,000
Convention Center Capital	2,062,540	1,000,000	1,000,000	1,000,000
Dunkin' Donuts Center Capital	1,675,086	2,787,500	1,500,000	1,500,000
Grand Total Expenditures	\$ 55,661,501	\$ 52,440,852	\$ 48,016,826	\$ 47,522,495
Balance from Operations	(\$26,246,619)	(\$26,497,063)	(\$22,109,003)	(\$21,661,254)
State Appropriation - Debt Service	22,973,038	22,464,563	19,364,003	18,911,254
State Appropriation - Renewal & Replacement	-	-	-	-
State Appropriation - RICAP - VMA	225,239	245,000	245,000	250,000
State Appropriation - RICAP - Conv. Center	2,062,540	1,000,000	1,000,000	1,000,000
State Appropriation - RICAP - D.D. Center	1,675,086	2,787,500	1,500,000	1,500,000
Final Cash Balances	\$689,284	\$0	\$0	\$0

Fiscal Year 2017, 2018, and 2019 Dunkin' Donuts Center expenses and revenue reflect net food & beverage activity on a net basis. Fiscal Year 2018 and 2019 Vets direct expenses are netted against revenues.

The Agency

Rhode Island Commerce Corporation

Agency Mission

The Rhode Island Commerce Corporation's (hereafter "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by RIGL 42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority. Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL 42-64-1.1. The Commerce Corporation falls under the purview of the Secretary of Commerce per 42-64.19-6.

The Budget

Rhode Island Commerce Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
<u>State Appropriation</u>	7,394,514	7,434,514	7,224,514	7,474,514
Total State Appropriations:	7,394,514	7,434,514	7,224,514	7,474,514
<u>Other Revenues</u>				
Hotel Tax Revenue (Beginning FY 2016)	3,761,343	5,146,107	5,100,000	5,100,000
Finance Program Reimbursements ⁽²⁾	1,109,898	518,949	246,904	250,000
Federal Grant Reimbursements ⁽²⁾	1,053,944	2,821,593	1,897,958	1,900,000
Other	643,458	979,628	841,040	840,000
Total Other Revenues:	6,568,643	9,466,277	8,085,902	8,090,000
TOTAL SOURCES (Cash, Revenues):	13,963,157	16,900,791	15,310,416	15,564,514
Total Operations (Personnel & Operating)	10,399,571	16,781,793	13,416,207	13,699,514
Grant/Partnership Expenses	1,173,043	2,705,515	1,894,209	1,865,000
TOTAL EXPENSES:	11,572,614	19,487,308	15,310,416	15,564,514
Operating Surplus/(Deficit):	2,390,543	(2,586,517)	-	-
Pass-through (only) Grants				
<u>State</u>				
STAC Research Alliance (EPScore)	1,003,302	990,268	900,000	900,000
Innovative Matching Grants	849,104	473,202	1,000,000	1,000,000
Renewable Energy Fund	3,442,977	5,162,178	2,881,807	2,250,000
Legislative Grants	789,492	-	-	-
Airport Impact Aid	1,004,174	1,010,147	1,025,000	1,025,000
Chafee Center at Bryant	376,200	376,200	376,200	376,200
Polaris Manufacturing Technical Assistance Program	-	-	250,000	350,000
Urban Ventures (Designated Grant)	-	-	140,000	140,000
Slater Centers of Excellence	3,000,000	-	-	-
Volvo Ocean Race	48,001	-	-	-
Other (Working Cities, AICU, Warwick Station)	61,807	300,000	-	-
Total:	10,575,057	8,311,995	6,573,007	6,041,200
<u>Federal</u>				
MARAD	-	760,770	-	-
DOD SteamEngine	673,821	-	-	-
DOD SteamEngine II	113,157	1,484,307	1,315,586	-
PTAC	382,012	460,438	450,510	450,510
Broadband Rhode Island	4,053	-	-	-
Brownsfield Grant	1,978	1,138	232,199	-
State Small Business Credit Initiative	2,545,817	1,890,761	1,600,020	-
Total:	3,720,838	4,597,414	3,598,315	450,510

The Agency

Rhode Island Health and Educational Building Corporation

Agency Mission

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$8.6 billion in financing without obligating the state's credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, and colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

The School Building Authority (SBA) was created in 2015 with the Corporation being designated administrator of the SBA's Capital Fund. The purpose of the SBA is to provide funding for high-priority projects to local education authorities. The SBA receives state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).

The School Building Authority Capital Fund was created by the General Assembly in FY 2015, with the Corporation's duties and powers, as administrator, defined by R.I.G.L. 45-38.2 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Projected	FY 2019 Projected
Operating Revenues				
Administrative Fees	2,432,947	2,283,024	2,300,000	2,400,000
Interest Income - Loans	32,455	57,065	40,000	20,000
Total Operating Revenues	2,465,402	2,340,089	2,340,000	2,420,000
Operating Expenses				
Administrative	1,652,684	1,837,497	2,313,233	2,500,000
Project Costs - Grants (SBA)	1,985,213	14,352,691	13,000,000	7,000,000
Depreciation	6,866	8,797	8,500	9,300
Total Operating Expenses	3,644,763	16,198,985	15,321,733	9,509,300
Non-Operating Revenues (Expenses)				
Loss on Disposal of Capital Assets	(2,393)	-	-	-
Net Investment Income	36,384	65,626	60,000	90,000
Interest Income - Loans (SBA)	-	-	45,500	46,200
State Appropriations (SBA)	20,366,366	10,139,755	10,920,444	10,551,219
Other Income (SBA)	827,824.00	-	-	-
Interest Earned on Restricted Cash (SBA)	5,893.00	17,665	12,000	12,000
Transfers to the State	-	-	(6,000,000)	-
Total Non-Operating Revenues	21,234,074	10,223,046	5,037,944	10,699,419
Change in Net Position	20,054,713	(3,635,850)	(7,943,789)	3,610,119
Net Position- End of Year	32,087,558	28,451,708	20,507,919	24,118,038

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the "Corporation") is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 * Recommended
Expenditure Report				
Personnel Services	17,499,051	19,197,144	23,500,000	25,000,000
Other Administrative Expenses	5,197,239	7,881,086	9,500,000	10,500,000
Programmatic Expenses	6,165,232	3,980,097	3,900,000	4,000,000
Provision for Loan Loss	8,583,914	368,085	3,500,000	2,000,000
REO Expenditures	2,727,602	2,791,830	3,500,000	2,000,000
Amortization and Depreciation	1,426,502	1,577,242	1,500,000	1,800,000
Total	\$41,599,540	\$35,795,484	\$45,400,000	\$45,300,000

[1] The information for FY 2019 has neither been reviewed nor approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

The Agency

I-195 Redevelopment District Commission

Agency Description

The I-195 Redevelopment District Commission is an independent public instrumentality and body corporate and politic for the purposes of acquisition, management and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. The Commission shall oversee, plan, implement, and administer the development of the areas within the district, as established in the governing legislation, consistent with and subject to the City of Providence comprehensive plan and zoning ordinances.

There are approximately forty acres located in the City of Providence due to the relocation of Interstate 195, of which nineteen acres are available for development, with the balance being roads, sidewalks and eight acres of public parks. The nineteen acres of developable surplus land is available for sale and commercial, industrial and residential development and beneficial reuse, including without limitation to support or encourage workforce development, housing, education and training, and the growth of “knowledge-based” jobs and industries.

The sale of the I-195 surplus land to the I-195 Redevelopment District Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

RIGL 42-64.14 created the I-195 Redevelopment District Commission.

The Budget

I-195 Redevelopment District Commission

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Operating Revenues				
State Grants	793,325	830,116	1,071,916	1,039,000
Rhode Island Capital Plan Fund	259,557	338,947	346,053	900,000
Debt Issuance	-	-	-	-
Other Resources (grants)	204,972	229,335	-	-
Total Operating Revenues	\$ 1,257,854	\$ 1,398,398	\$ 1,417,969	\$ 1,939,000
Expenditures				
Salaries, Wages and Benefits	420,817	383,355	485,416	659,223
Contracted Professional Services	714,614	927,807	820,733	1,095,957
Operating Supplies and Expenses	167,841	246,760	111,820	183,820
Loan Repayment to EDC	-	-	-	-
Cost of Issuance	-	-	-	-
Capital Acquisition	-	-	-	-
Total	\$ 1,303,272	\$ 1,557,922	\$ 1,417,969	\$ 1,939,000
Net Income(Loss)	\$ (45,418)	\$ (159,524)	\$ -	\$ -

The Agency

Rhode Island Industrial Facilities Corporation

Agency Mission

The Corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Receipts				
Bond Fees	43,484	44,546	45,000	45,000
Other	3	2,514	2,500	2,500
Interest	-	-	-	-
Total	\$43,487	\$47,060	\$47,500	\$47,500
Expenses				
Administration	30,757	26,374	26,500	26,500
Insurance	13,900	10,863	11,000	11,000
Other	17,456	688	750	750
Legal and Audit	5,997	18,703	9,250	9,250
Total	\$68,110	\$56,628	\$47,500	\$47,500
Net [1]	(\$24,623)	(\$9,568)	\$0	\$0

[1] Cash reserves are maintained by the RI Commerce Corporation to cover projected budget deficits for the Authority.

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Mission

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Receipts:				
Premiums	94,319	59,281	60,000	60,000
Commitment Fees	11	20,113	20,000	20,000
Interest	7,044	10,934	11,000	11,000
Total Receipts	\$101,374	\$90,328	\$91,000	\$91,000
Expenses:				
Administration	26,305	17,274	17,500	17,500
Legal and Audit [1]	175,837	80,558	81,000	150,000
Insurance	31,599	28,950	29,000	29,000
Total Expenses	\$233,741	\$126,782	\$127,500	\$196,500
Operating Income (Loss)	(\$132,367)	(\$36,454)	(\$36,500)	(\$105,500)
Est. Loss-Default	310,248	547,721	750,000	750,000
Net [2]	(\$442,615)	(\$584,175)	(\$786,500)	(\$855,500)

[1] Legal fees increased due to litigation related to CAPCO.

[2] Cash reserves are maintained by the Rhode Island General Treasurer's Office to cover projected budget deficits for the Authority.

The Agency

Narragansett Bay Commission

Agency Mission

The mission of the Narragansett Bay Commission is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 61 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,740 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$156.1 million five-year capital improvement budget for fiscal years 2019-2023. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction and Engineering, Administration and Finance, Operations and Maintenance, and Environmental Science and Compliance divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019* Recommended
Expenditures by Object				
Personnel	22,401,349	23,417,267	25,264,376	26,022,307
Operating Supplies & Expenses	9,447,733	9,158,153	15,308,168	15,767,413
Special Services	5,748,754	5,870,992	1,236,050	1,273,132
Subtotal Operating Expenditures	\$37,597,836	\$38,446,412	\$41,808,594	\$43,062,852
Capital Outlays	3,652,894	3,872,038	5,310,678	5,500,000
Debt Service	42,907,662	43,589,827	46,786,894	47,248,517
Total Expenditures	\$84,158,392	\$85,908,277	\$93,906,166	\$95,811,369
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	22,401,349	23,417,267	25,264,376	26,022,307
Operating Supplies & Expenses	9,447,733	9,548,153	15,308,168	15,767,413
Special Services	5,748,754	5,870,992	1,236,050	1,273,132
Capital Outlays	3,652,894	3,872,038	5,310,678	5,500,000
Debt Service	42,907,662	43,589,827	46,786,894	47,248,517
Total Expenditures	\$84,158,392	\$86,298,277	\$93,906,166	\$95,811,369

FY 2016 Actuals taken from the audited financial statements

FY 2017 Actuals taken from the audited financial statements.

FY 2018 taken from NBC's approved budget.

* FY 2019 information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2019 budget.

The Agency

Rhode Island Public Transit Authority

Agency Mission

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 241 buses and trolleys. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 98 vans currently operated. In FY 2017, 16.2 million passengers were carried on RIPTA's fixed-route bus service and an additional 362,129 passengers were transported on the state's coordinated paratransit service.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Revenue				
Passenger Revenue	20,857,652	22,414,186	22,782,001	23,086,231
Special Revenue	839,256	736,613	643,117	666,109
Other Revenue	10,406,186	11,144,545	11,022,470	10,735,175
State Subsidy - Gasoline Tax ⁽¹⁾	44,068,106	42,576,851	43,245,988	42,937,089
Department of Human Services ⁽²⁾	815,243	813,613	1,004,034	979,005
Federal Subsidy	23,769,914	28,165,744	29,997,949	29,659,608
State General Revenue Assistance	2,000,000	900,000	-	-
State Highway Fund Revenues ⁽³⁾	2,723,453	3,544,082	8,800,277	9,850,362
Total Revenue	105,479,810	110,295,634	117,495,836	117,913,579
Expenses				
Salaries and Fringe Benefits ⁽⁵⁾	62,231,944	70,805,238	65,863,575	68,840,677
Salaries and Fringe Benefits - Federal	11,295,663	11,488,209	13,475,591	13,986,047
Contract Services	6,034,332	4,932,760	4,634,624	3,214,612
Contract Services - Federal	2,905,130	3,493,743	5,343,128	6,039,245
Operating Expenses	10,760,849	7,590,888	8,139,914	10,482,806
Operating Expenses - Federal	4,324,519	8,440,241	7,472,584	5,914,064
Utilities	1,722,080	1,376,271	1,587,481	1,666,855
Utilities - Federal	28,894	53,099	45,544	47,821
Insurance and Settlements	4,370,555	4,822,852	7,526,875	5,157,075
Capital Match	-	-	421,950	389,000
Debt Service	-	-	1,601,590	1,444,020
Revolving Loan Fund	-	-	493,414	431,414
Self Insurance Reserve	-	-	250,000	250,000
Other	25,742	21,326	27,000	28,350
Total Expenses:	\$ 103,699,708	\$ 113,024,627	\$ 116,883,270	\$ 117,891,986
Closing Surplus/(Deficit):	\$ 1,780,102	\$ (2,728,993)	\$ 612,566	\$ 21,593

⁽¹⁾ Gas Tax amount estimated by Department of Revenue.

⁽²⁾ Gas Tax funding provided through the Department of Human Services for the RIDE Program.

⁽³⁾ FY 2018 Revised and FY 2019 budget includes \$5.0 million annually in State Highway Fund revenue to pay for debt service and recoup the loss of revenue from the rescinding of the Senior/Disabled Fares.

⁽⁴⁾ Depreciation is not included in FY 2016 (\$16,299,930) or FY 2017 (\$18,041,344)

⁽⁵⁾ The Salary and Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above actual or budget. Only the Pay-go portion of OPEB costs are included. The total unfunded OPEB liability as of June 30, 2017 is \$59,053,231.

Budget approved by Rhode Island Public Transit Authority Board of Directors in September 2017. State Highway Fund Revenues were updated to match estimates included in Governor's recommended FY 2019 budget.

The Agency

Quonset Development Corporation

Agency Mission

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.10 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Estimated Opening Balance Revenues:	\$41,254	(\$9,116)	\$382,646	\$4,410
Revenues from Operations				
Rental Income	7,260,840	7,936,113	7,362,627	7,897,108
Pier Income	1,720,462	1,574,512	1,750,000	1,750,000
Utility Sales	2,718,748	2,834,308	2,856,385	2,948,814
Rhode Island Capital Plan Fund	-	400,000	2,600,000	2,000,000
Other Income	421,154	726,609	678,682	701,678
	12,121,204	13,471,542	15,247,694	15,297,600
Total Resources	\$12,162,458	\$13,462,426	\$15,630,340	\$15,302,010
Expenditures				
Personnel Expenses	4,050,658	4,194,744	4,480,040	4,581,947
Operating Expenses	3,417,558	3,690,438	3,857,426	4,009,640
Debt Service	1,953,358	1,544,598	1,688,465	1,666,852
Capital Expenditures (1)	2,750,000	3,650,000	5,600,000	5,000,000
Total Expenditures	\$12,171,574	\$13,079,780	\$15,625,931	\$15,258,439
Closing Balance	(\$9,116)	\$382,646	\$4,409	\$43,571

(1) To be used for Capital Improvements Projects as well as other internal capital requirements. Projects may already be under contract.

The Agency

Rhode Island Resource Recovery Corporation

Agency Mission

Rhode Island Resource Recovery Corporation is dedicated to providing the public with environmentally sound programs and facilities to manage solid waste. Its primary objectives are to extend the useful life of the Landfill to 2038 and beyond in order to provide long term affordable waste disposal services for the State of Rhode Island community and to remain financially self-sufficient by self-funding all operational and capital requirements. To achieve these objectives, Resource Recovery is managed as close as possible to a private sector company. The Rhode Island Department of Environmental Management has approved a license for additional landfill capacity estimated to extend the life of the current facility until approximately 2038.

Agency Operations

Resource Recovery operates an integrated statewide system of solid waste management. The goal of the Resource Recovery's solid waste management system is to minimize waste generated and maximize source reduction and recycling to extend the life of the landfill.

Resource Recovery has developed and implemented an integrated solid waste system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing innovative technology, including double lining of the landfill and an integrated gas collection system.

In 2012, Resource Recovery's Materials Recycling Facility (MRF) transitioned from a "dual stream" facility to a 'single stream' facility. The state-of-the-art operation uses a combination of manual, mechanical, and optical sorting technology to turn mixed recyclables into separate, saleable commodities. This facility, in conjunction with grants and technical assistance provided to municipalities', supports the State's municipal recycling programs. meaning recyclable paper no longer need to be kept separated from bottles and cans. This facility, in conjunction with grants and technical assistance provided to municipalities', supports the State's municipal recycling programs. Included among the products the MRF accepts for recycling are plastic containers (e.g. plastic bottles, jugs, jars, tubs, cups, and take out containers) glass bottles and jars, metal cans and foils, paper and cardboard, and cartons.

To achieve meaningful increases in landfill life, Resource Recovery promotes the following waste reduction programs, including, but not limited to: business and school waste assessments, promotion of leaf and yard debris composting, event recycling services, and public education campaigns. Additionally, the Corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other recycling initiatives target textiles, Styrofoam, bulky rigid plastic, scrap metal, and plastic bags.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended ⁽¹⁾
Revenues:	\$55,809,412	\$59,697,282	\$51,586,855	\$56,219,845
Expenses:				
Personnel Costs	13,883,406	14,019,448	15,663,527	16,122,277
Contractual Services	10,014,298	8,285,886	9,264,812	9,342,775
Utilities	1,482,409	1,326,363	1,678,000	1,711,560
Repairs and Maintenance	3,685,265	3,456,654	4,073,676	4,146,198
Other Supplies and Expenses	3,231,968	3,789,474	5,047,800	4,913,956
Grants to Municipalities for Recycling	298,970	264,538	204,318	148,257
Bad Debts	56,412	204,681	100,000	102,000
Provision for landfill closure and post closure care and Superfund clean-up costs	13,575,957	4,951,853	3,875,155	3,878,265
Depreciation, depletion, and amortization	6,683,250	10,464,520	9,197,831	9,720,093
Total Expenses	\$52,911,935	\$46,763,417	\$49,105,243	\$50,085,381
Income (Loss) from Operations	\$2,897,477	\$12,933,865	\$2,481,612	\$6,134,464
Transfers to State of Rhode Island	-	-	-	-
Interest and investment revenue	3,044,742	122,362	1,180,000	1,183,000
Interest expense	(866,425)	(763,898)	(663,064)	(552,590)
Other income (expense)	1,893,219	(109,583)	-	-
Total nonoperating revenues (expenses)	\$4,071,536	(\$751,119)	\$516,936	\$630,410
Net Income (Loss) for the Year	\$6,969,013	\$12,182,746	\$2,998,548	\$6,764,874
Assets:				
Cash, Cash Equivalents & Investments	31,955,330	35,227,642	22,300,000	12,900,000
Accounts Receivable, Net	7,041,116	7,571,253	7,500,000	7,400,000
Property, Plant and Equipment, Net	74,333,838	81,057,407	88,700,000	96,300,000
Restricted Investments	2,476,206	2,483,736	2,500,000	-
Assets Held in Trust	90,784,649	94,676,376	98,700,000	102,700,000
Other Assets	8,536,226	4,053,429	4,100,000	4,100,000
Total Assets	\$215,127,365	\$225,069,843	\$223,800,000	\$223,400,000
Liabilities:				
Accounts Payable	8,220,553	10,317,677	10,300,000	10,200,000
Other Current Liabilities	664,044	683,497	700,000	700,000
Bonds/ Notes Payable	32,285,738	28,210,406	24,000,000	19,700,000
Superfund Cleanup, Closure & Post-Closure Costs	96,732,494	96,453,981	96,400,000	93,500,000
Total Liabilities	\$137,902,829	\$135,665,561	\$131,400,000	\$124,100,000
Retained Earnings	\$77,224,536	\$89,404,282	\$92,400,000	\$99,300,000
Total Liabilities and Retained Earnings	\$215,127,365	\$225,069,843	\$223,800,000	\$223,400,000

(1) The FY 2019 proposed budget reflects management's budget projections made in SFY 2017. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

The Agency

Rhode Island Student Loan Authority

Agency Mission

As of September 30, 2017, the Authority held \$216,796,461 in Federal Family Education Loans and \$409,757,579 in non-federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originates over \$51,400,000 in Fiscal Year 2017 of low cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program. In addition, the Authority originated approximately \$28,000,000 in refinanced loans which are designed to help student borrowers reduce their monthly payments and interest rate as well as make repaying their loan easier.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, is open seven days a week during the peak financial aid season. The CPC operates out of three locations in Warwick, Bristol and Cumberland. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 18,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

The Authority, in association with the Association of Independent Colleges and Universities (AICURI), the Rhode Island Board of Governors for Higher Education (RIBGHE) and the Greater Providence Chamber of Commerce formed the bRIdge Program. This initiative focuses on connecting employees and students in Rhode Island through a statewide internship program. The bRIdge Job Board offers a website that allows employers to post internship opportunities and students to then view and apply for these internships. The Authority recently expanded the bRIdge program to offer student loan forgiveness for students who participate in qualified internship programs.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Operating Revenues				
Student Loan Payments	29,183,024	29,336,982	29,822,876	30,270,219
Investments	452,053	326,282	777,070	582,803
Other	2,938,543	1,938,689	1,789,879	1,610,891
Total Operating Revenues	\$32,573,620	\$31,601,953	\$32,389,825	\$32,463,913
Operating Expenses				
Interest & Bond Expenses	13,577,216	14,571,695	16,324,882	16,488,131
Arbitrage Rebate Expense	436,864	(37,436)	192,004	192,004
Loan Servicing	3,561,013	3,360,460	3,598,910	3,598,910
Provision for Risk Share	1,413,833	2,109,021	2,303,837	1,958,261
Department of Education Loan fees	840,658	733,702	645,840	548,964
Personnel	3,540,644	3,726,161	3,805,197	3,805,197
Depreciation	74,821	116,742	107,119	91,051
Total Operating Expenses	\$23,445,049	\$24,580,345	\$26,977,789	\$26,682,518
Nonoperating Revenues				
Gain on Early Retirement of Bonds	\$119,500	\$0	\$0	\$0
Excess Revenues over Expenses	\$9,248,071	\$7,021,608	\$5,412,036	\$5,781,395

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law (Title 24, Chapter 12, as amended). The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown since 1964 and 1969 respectively. On April 25, 2013, the State of Rhode Island (the State) transferred custody, control and supervision of the land and improvements for the Jamestown Verrazano Bridge between North Kingstown and Jamestown, and the Sakonnet River Bridge between Portsmouth and Tiverton to the Authority. Ownership and title of the Jamestown and the Sakonnet River Bridges remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 110 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges. The principal operating revenue of the Authority is the collection of toll revenue from the users of the Claiborne Pell Bridge. Beginning July 1, 2014 the State enacted legislation that provides the Authority 3.5 cents of gasoline tax for every gallon sold in Rhode Island. The Authority's debt services consists of revenue bond obligation entered into during 2003, 2010 and 2016 maturing in 2017, 2039 and 2052 respectively. The proceeds of the Bond were used to pay off existing debt and the remaining proceeds are to be used to fund the update ten-year Capital Improvement Plan. In connection with the Bonds, the Authority entered into a Master Indenture of Trust (the Trust). Accounts of the Authority are maintained in compliance with the provisions Trust entered in with the Trustee of the Bonds. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system flow.

Agency Objectives

The Authority is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Statutory History

Title 24, Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the Legislature passed article 36 amending the law adding certain provisions in section 24-12-9. Article 20 of the 2013 Appropriations Act transfers the Sakonnet River Bridge and the Jamestown Verrazano Bridge to the Authority. Article 21 of the 2015 Appropriations Act eliminates the authority to toll the Sakonnet River Bridge.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2016 Actual	FY 2017 Actual	FY 2018 Revised	FY 2019 Recommended
Revenue				
Gas Tax Revenue	15,701,231	15,438,385	15,000,000	15,000,000
Tolls	20,357,220	20,728,027	19,500,000	19,500,000
Transponder	424,599	550,192	385,000	385,000
Miscellaneous	89,997	341,347	90,000	90,000
Total Revenue	36,573,047	37,057,951	34,975,000	34,975,000
Expenses				
Salaries and Wages	4,205,532	4,878,548	6,116,718	6,275,991
Utilities	431,090	166,148	300,000	303,000
Contractual Services	2,203,227	1,847,252	1,573,746	1,589,483
Other Supplies	833,271	869,377	1,365,810	1,379,468
Insurance	1,093,061	1,168,570	1,200,205	1,212,207
Repairs and Maintenance	590,864	470,447	791,705	799,622
Bridge Inspections	364,549	1,566,697	1,800,000	1,800,000
Transponder	164,467	172,639	250,000	252,500
All Other (includes depreciation)	12,968,380	13,634,616	13,634,616	13,634,616
Total Expenses	22,854,441	24,774,294	27,032,800	27,246,887
Operating Income	13,718,606	12,283,657	7,942,200	7,728,113
Non-Operating Revenues (Expenses)				
Interest Expense	(4,192,207)	(7,948,112)	(7,728,428)	(7,510,063)
Amortization of Bonds	273,128	655,511	655,511	655,511
Amortization of Bonds	(17,169)	(17,171)	(17,171)	(17,171)
Investment Income	696,755	541,567	500,000	500,000
Grant Revenue	-	-	-	-
Bond Issuance costs	(911,006)	-	-	-
Miscellaneous Income	141,073	93,312	75,000	75,000
Total Funding	(4,009,426)	(6,674,893)	(6,515,088)	(6,296,723)
Change in Net Assets	9,709,180	5,608,764	1,427,112	1,431,390
Debt Service				
Principal Payments on Bonds	62,855,000	4,810,000	5,920,000	4,225,000
Less Principal Payments				
Funded by Bond Refinancing	(60,000,000)	-	-	-
Department of Transportation Note	906,980	-	-	-
Total Debt Service	3,761,980	4,810,000	5,920,000	4,225,000

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

In 2009 the General Assembly passed Budget Article 5 that abolished the WRB Corporate program at the time the currently outstanding bonds are paid off, expected in 2016 (RIGL 46-15.1-22). The staff of the Water Resources Board is working with RI Clean Water Finance Agency to develop a memorandum of agreement to facilitate this transfer of responsibilities.

The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.

The Budget

Rhode Island Water Resources Board Corporate

	FY 2016 Actual	FY 2017 Revised	FY 2018 Recommended	FY 2019 Recommended
Public Drinking Water Protect				
Revenue Bond Services				
Water Surcharge [2]	1,000,000	-	-	-
Interest Income	59,350	-	-	-
Total	1,059,350	-	-	-
Expenditures by Funds				
Personnel/Purchased Services [3]	24,350	-	-	-
Capital Projects Debt Service	-	-	-	-
Subtotal: Water Quality				
Protection Charge	24,350	-	-	-
Other Funds				
Capital Debt Service 1997 Rev Bond [3]	-	-	-	-
Subtotal: Water Quality	-	-	-	-
Total Expenditures	24,350	-	-	-

[1] The Rhode Island Water Resources Board Corporate has been dissolved in FY 2017.