

State of Rhode Island and Providence Plantations

Fiscal Year 2020 Budget



Volume I – General Government and Quasi-Public Agencies

Gina M. Raimondo, Governor

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General Government

General Government Function Summary

Expenditures by Agency	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Board Of Elections	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
Department Of Administration	722,023,695	779,350,442	771,363,287	812,664,609	813,800,472
Department Of Business Regulation	14,129,016	14,702,783	24,509,397	25,145,467	30,770,515
Department Of Labor And Training	421,847,082	425,495,185	458,214,237	446,278,250	454,282,965
Department Of Revenue	471,375,963	512,595,161	584,033,516	602,700,646	623,720,496
Executive Office Of Commerce	73,418,290	49,869,458	56,449,152	58,883,044	68,180,523
General Assembly	38,325,853	39,282,079	45,412,322	50,769,941	46,586,115
Office Of Lieutenant Governor	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
Office Of The Governor	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Public Utilities Commission	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Rhode Island Commission For Human Rights	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
Rhode Island Ethics Commission	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
Secretary Of State	10,850,354	10,230,886	13,247,701	13,236,965	11,670,886
Treasury Department	42,002,141	43,076,635	43,122,580	42,417,814	42,218,385
Total Expenditures	1,813,186,414	1,894,926,114	2,021,932,431	2,078,440,745	2,117,308,853
Expenditures by Object					
Salary and Benefits	572,300,605	584,418,062	630,714,153	622,977,449	635,900,777
Contract Professional Services	37,009,782	40,706,551	38,656,182	43,543,596	43,021,477
Operating Supplies and Expenses	467,711,191	509,961,216	535,810,278	582,480,046	564,100,019
Assistance And Grants	387,654,233	386,995,494	421,197,399	410,037,543	413,774,582
Aid To Local Units Of Government	77,144,363	104,919,741	127,381,596	128,915,154	144,165,129
Subtotal: Operating	1,541,820,174	1,627,001,064	1,753,759,608	1,787,953,788	1,800,961,984
Capital Purchases And Equipment	36,454,597	39,570,724	49,952,925	87,983,599	73,693,067
Debt Service (Fixed Charges)	141,935,670	156,678,487	182,579,939	165,583,399	201,880,951
Operating Transfers	92,975,973	71,675,839	35,639,959	36,919,959	40,772,851
Subtotal: Other	271,366,240	267,925,050	268,172,823	290,486,957	316,346,869
Total Expenditures	1,813,186,414	1,894,926,114	2,021,932,431	2,078,440,745	2,117,308,853
Expenditures by Source of Funds					
General Revenue	460,169,446	454,516,752	489,785,649	492,496,551	533,249,934
Federal Funds	80,278,026	58,085,804	65,803,224	73,044,892	65,716,049
Restricted Receipts	108,808,904	121,465,435	128,858,152	129,057,509	128,204,280
Operating Transfers From Other Funds	81,896,994	86,569,657	85,183,034	112,602,767	112,185,164
Other Funds	1,082,033,044	1,174,288,466	1,252,302,372	1,271,239,026	1,277,953,426
Total Expenditures	1,813,186,414	1,894,926,114	2,021,932,431	2,078,440,745	2,117,308,853
FTE Authorization	2,344.7	2,370.9	2,438.9	2,440.4	2,512.9

Agency Summary

DEPARTMENT OF ADMINISTRATION

Agency Mission

To manage the State's financial, human, technological, physical and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost effective services possible. To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The Department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state. The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Capital Asset Management and Maintenance (DCAMM), Information Technology, Library and Information Services, Cybersecurity, Planning, General Appropriations, Debt Service Payments, Energy Resources, Construction Permitting, Approvals, and Licensing (CPAL), HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

DEPARTMENT OF ADMINISTRATION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	2,142,200	2,824,619	2,735,330	2,765,824	2,669,232
Accounts and Control	3,999,112	5,060,719	5,570,382	5,004,527	5,562,009
Office of Management and Budget	7,508,069	9,125,602	10,534,560	9,677,671	9,841,526
Purchasing	3,050,163	3,648,240	3,892,555	4,411,606	4,406,689
Human Resources	10,597,688	1,153,476	1,274,257	789,026	788,541
Personnel Appeal Board	114,599	102,303	149,477	149,736	151,521
General	47,697,141	53,886,849	45,444,600	73,299,565	66,193,874
Debt Service Payments	168,071,675	179,649,841	182,679,939	165,683,399	201,980,951
Internal Service Programs	372,486,784	464,798,069	486,699,823	494,147,299	489,848,494
Legal Services	1,893,892	1,943,569	2,424,062	2,241,985	2,505,412
Facilities Management	0	996,887	0	0	0
Information Technology	38,271,729	22,795,771	11,901,569	18,437,122	8,383,510
Library and Information Services	2,336,188	2,464,556	2,661,294	2,526,473	2,614,826
Planning	3,070,692	3,503,881	5,839,360	5,693,200	5,659,583
Personnel and Operational Reforms	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Energy Resources	9,078,262	5,054,728	8,704,012	9,385,996	8,604,102
Construction Permitting, Approvals and Licensing	2,913,525	3,166,479	0	0	0
Rhode Island Health Benefits Exchange (HealthSource RI)	11,836,188	9,656,976	8,921,345	10,600,526	10,203,397
The Office of Diversity, Equity and Opportunity	954,214	1,130,353	1,393,580	1,323,829	1,426,500
Capital Asset Management and Maintenance	36,001,574	8,387,524	10,621,701	9,726,825	9,817,305
Total Expenditures	722,023,695	779,350,442	771,363,287	812,664,609	813,800,472
<i>Internal Services</i>	<i>[372,486,784]</i>	<i>[464,798,069]</i>	<i>[486,699,823]</i>	<i>[494,147,299]</i>	<i>[489,848,494]</i>
Expenditures by Object					
Salary And Benefits	405,636,428	415,137,476	437,961,857	431,290,326	429,575,767
Contract Professional Services	18,974,533	24,043,955	14,742,213	19,874,693	16,649,464
Operating Supplies And Expenses	77,823,636	96,725,386	80,267,618	102,859,264	90,022,728
Assistance And Grants	5,761,754	6,454,948	3,093,105	3,107,232	2,946,476
Aid To Local Units Of Government	11,154,782	11,107,320	11,538,543	11,538,543	11,299,302
Subtotal: Operating	519,351,133	553,469,085	547,603,336	568,670,058	550,493,737

Budget

DEPARTMENT OF ADMINISTRATION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Capital Purchases And Equipment	32,655,521	38,137,397	39,706,226	76,937,366	59,951,998
Debt Service (fixed Charges)	141,935,670	156,678,487	182,579,939	165,583,399	201,880,951
Operating Transfers	28,081,371	31,065,473	1,473,786	1,473,786	1,473,786
Subtotal: Other	202,672,562	225,881,357	223,759,951	243,994,551	263,306,735
Total Expenditures	722,023,695	779,350,442	771,363,287	812,664,609	813,800,472
Expenditures by Source of Funds					
General Revenue	217,802,033	189,889,755	177,080,380	174,283,385	199,464,434
Federal Funds	16,553,489	7,678,087	3,877,255	4,669,039	4,048,409
Restricted Receipts	26,458,599	30,686,532	26,597,691	35,274,182	23,497,835
Operating Transfers From Other Funds	80,922,146	83,732,163	76,906,537	104,175,111	96,818,997
Other Funds	380,287,428	467,363,905	486,901,424	494,262,892	489,970,797
Total Expenditures	722,023,695	779,350,442	771,363,287	812,664,609	813,800,472
FTE Authorization	708.7	697.7	655.7	657.7	670.7

Personnel Agency Summary

DEPARTMENT OF ADMINISTRATION

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	650.6	50,522,633	663.6	54,255,435
Unclassified	7.1	3,799,066	7.1	3,766,542
Subtotal	657.7	54,321,699	670.7	58,021,977
Transfer Out		(1,697,364)		(1,619,142)
Transfer In		204,914		201,167
Regular Wages		35,815		1,373,720
Overtime (1.5)		536,481		(1,821)
Seasonal/Special Salaries/Wages		510,758		457,351
Turnover		(2,799,276)		(1,100,348)
Total Salaries		51,075,809		55,953,344
Benefits				
Contract Stipends		800		800
FICA		4,343,945		4,294,123
Health Benefits		322,629,176		323,247,049
Other		6,000,524		525
Payroll Accrual		290,227		321,620
Retiree Health		2,989,631		3,672,674
Retirement		13,618,054		15,124,308
Workers Compensation		28,472,180		25,082,309
Subtotal		378,344,537		371,743,408
Total Salaries and Benefits	657.7	429,420,346	670.7	427,696,752
Cost Per FTE Position		652,910		637,685
Statewide Benefit Assessment		2,273,876		2,282,911
Payroll Costs	657.7	431,694,222	670.7	429,979,663
Purchased Services				
Buildings and Ground Maintenance		842,000		827,000
Clerical and Temporary Services		61,071		129,105
Design and Engineering Services		155,500		101,500
Information Technology		5,234,138		4,377,284
Legal Services		454,820		334,358
Management & Consultant Services		11,351,428		9,886,798
Medical Services		5,000		5,000
Other Contracts		1,642,774		942,919
Training and Educational Services		127,962		45,500
Subtotal		19,874,693		16,649,464
Total Personnel	657.7	451,568,915	670.7	446,629,127

Personnel Agency Summary

DEPARTMENT OF ADMINISTRATION

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		25,752,834		23,757,451
Federal Funds		2,080,539		1,689,642
Restricted Receipts		9,235,261		8,789,415
Operating Transfers from Other Funds		3,872,011		4,484,321
Other Funds		410,628,270		407,908,298
Total All Funds		451,568,915		446,629,127

Performance Measures

DEPARTMENT OF ADMINISTRATION

Minorities in the Executive Branch of the State Workforce

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	17.90%	20.50%	22.20%	24.10%	24.10%
Actual	17.90%	18.50%	19.67%	0.00%	

Major Processes Subject to a Lean Review

One of the Department of Administration's strategic objectives is to deliver core processes that are predictable, equitable, consistent, timely, and cost-effective. To accomplish this goal, the department intends to standardize and streamline procedures using Lean process improvement techniques. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	3.00	8.00	3.00	3.00	3.00
Actual	3.00	3.00	4.00	3.00	

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected energy consumption (natural gas and electricity), measured in million BTU (MMBTU). [Notes: This measure has been revised to incorporate natural gas usage. Historical targets are not available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	1,452,878.00	0.00	0.00	1,469,700.00	1,469,700.00
Actual	1,452,878.00	1,441,930.00	1,493,744.00	0.00	

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the department are efficiently organized and implemented. To select the best candidates for recommendation for appointment to the Judiciary.

Description

Central Management is comprised of four major functions: the Director's Office, the Central Business Office, the Judicial Nominating Commission, and Cybersecurity. The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor. The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources. The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I.G.L. 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve. Additionally, the Director of Cybersecurity is housed within the Department of Administration Central Management function. The Director of Cybersecurity, also the Governor's Homeland Security Advisor, is charged with developing and implementing a comprehensive statewide cybersecurity strategy in collaboration with ETSS, DPS, RIEMA, and the Rhode Island National Guard.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Director's Office	1,203,001	2,048,551	1,892,174	1,831,681	1,633,719
Financial Management	933,138	768,418	807,609	911,979	1,013,552
Judicial Nominating Committee	6,063	7,650	22,250	22,164	21,961
Office of Digital Excellence	(2)	0	13,297	0	0
Total Expenditures	2,142,200	2,824,619	2,735,330	2,765,824	2,669,232
Expenditures by Object					
Salary and Benefits	2,041,544	2,027,494	2,175,855	1,955,699	1,800,516
Contract Professional Services	20,262	470,723	256,400	475,429	536,400
Operating Supplies and Expenses	73,320	174,480	296,015	330,136	325,256
Subtotal: Operating	2,135,126	2,672,697	2,728,270	2,761,264	2,662,172
Capital Purchases And Equipment	7,074	151,922	7,060	4,560	7,060
Subtotal: Other	7,074	151,922	7,060	4,560	7,060
Total Expenditures	2,142,200	2,824,619	2,735,330	2,765,824	2,669,232
Expenditures by Source of Funds					
General Revenue	2,142,200	2,824,619	2,735,330	2,765,824	2,669,232
Total Expenditures	2,142,200	2,824,619	2,735,330	2,765,824	2,669,232

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	157,718	2.0	172,884
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	90,071	1.0	93,472
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	105,740	1.0	116,911
BUSINESS MANAGEMENT OFFICER	00126A	2.0	127,704	2.0	135,150
CHIEF IMPLEMENTATION AIDE	00128A	3.0	213,902	3.0	227,907
DATA CONTROL CLERK	00315A	1.0	41,171	1.0	43,507
FISCAL MANAGEMENT OFFICER	00B26A	1.0	70,567	1.0	73,158
PROGRAMMING SERVICES OFFICER	00131A	2.0	133,912	1.0	70,522
Subtotal Classified		13.0	940,785	12.0	933,511
Unclassified					
CHIEF OF STAFF (DOA)	00839A	1.0	89,964	1.0	95,169
CYBERSECURITY OFFICER (HOMELAND SECURITY ADVISOR)	00853A	1.0	176,419	0.0	0
DEPUTY DIRECTOR	00850A	1.0	148,624	1.0	164,437
DIRECTOR OF ADMINISTRATION	20946F	1.0	162,750	1.0	162,750
EXECUTIVE SECRETARY (STATE PROPERTIES COMMITTEE)	00321A	1.0	52,658	1.0	54,646
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	90,584	1.0	93,918
PUBLIC INFORMATION OFFICER	00835A	1.0	85,582	1.0	88,812
Subtotal Unclassified		7.0	806,581	6.0	659,732
Subtotal		20.0	1,747,366	18.0	1,593,243
Transfer Out			(451,271)		(405,913)
Transfer In			49,912		9,609
Regular Wages			0		(247,333)
Seasonal/Special Salaries/Wages			52,300		2,300
Turnover			(103,585)		(20,000)
Total Salaries			1,294,722		1,179,239
Benefits					
FICA			91,576		86,801
Health Benefits			117,717		111,808
Payroll Accrual			7,152		6,713
Retiree Health			74,980		78,267
Retirement			314,125		291,197
Subtotal			605,550		574,786

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	20.0	1,900,272	18.0	1,754,025
Cost Per FTE Position		95,014		97,446
Statewide Benefit Assessment		55,427		46,491
Payroll Costs	20.0	1,955,699	18.0	1,800,516
Purchased Services				
Information Technology		249,000		249,000
Legal Services		6,400		6,400
Management & Consultant Services		219,029		280,000
Other Contracts		1,000		1,000
Subtotal		475,429		536,400
Total Personnel	20.0	2,431,128	18.0	2,336,916
Distribution by Source of Funds				
General Revenue		2,431,128		2,336,916
Total All Funds		2,431,128		2,336,916

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles. To establish policies and procedures to foster sound accounting practices and internal controls. To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies. To support state operations by centrally processing financial transactions in a timely and accurate manner.

Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures. The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the treasury; and the preparation of financial statements in accordance with generally accepted accounting principles. This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Accounts & Control	3,999,112	5,060,719	5,570,382	5,004,527	5,562,009
Total Expenditures	3,999,112	5,060,719	5,570,382	5,004,527	5,562,009
Expenditures by Object					
Salary and Benefits	3,804,198	3,741,095	4,117,260	3,801,824	4,306,512
Contract Professional Services	115	154,397	152,000	160,946	202,000
Operating Supplies and Expenses	177,107	1,145,229	1,298,122	1,038,757	1,040,497
Subtotal: Operating	3,981,420	5,040,721	5,567,382	5,001,527	5,549,009
Capital Purchases And Equipment	17,692	19,998	3,000	3,000	13,000
Subtotal: Other	17,692	19,998	3,000	3,000	13,000
Total Expenditures	3,999,112	5,060,719	5,570,382	5,004,527	5,562,009
Expenditures by Source of Funds					
General Revenue	3,999,112	4,844,264	5,345,087	4,807,108	5,412,043
Restricted Receipts	0	216,455	225,295	197,419	149,966
Total Expenditures	3,999,112	5,060,719	5,570,382	5,004,527	5,562,009

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	00321A	12.0	597,825	12.0	623,553
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	161,833	2.0	176,429
ASSET PROTECTION OFFICER	00324A	1.0	53,441	1.0	56,610
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	116,851	1.0	121,263
ASSOCIATE CONTROLLER	00143A	2.0	231,872	2.0	245,695
CENTRAL ACCOUNTS PAYABLE SUPERVISING PREAUDIT CLK (DOA)	00324A	2.0	113,780	2.0	118,882
CHIEF IMPLEMENTATION AIDE	00128A	1.0	55,630	1.0	58,848
CHIEF PREAUDIT SUPERVISOR	00131A	2.0	166,590	2.0	172,846
CONTROLLER	00148A	1.0	161,310	1.0	170,650
DATA CONTROL CLERK	00315A	1.0	38,490	1.0	39,943
FINANCIAL REPORTING MANAGER (OFFICE OF ACCOUNTS & CONTROL)	00139A	1.0	93,977	1.0	100,044
FISCAL MANAGEMENT OFFICER	00326A	1.0	37,326	1.0	53,710
FISCAL MANAGEMENT OFFICER	00B26A	2.0	173,058	2.0	160,026
SUPERVISING ACCOUNTANT	00831A	2.0	134,108	2.0	145,768
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	00135A	1.0	72,645	1.0	78,172
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	00136A	3.0	272,682	3.0	288,355
Subtotal Classified		35.0	2,481,418	35.0	2,610,794
Subtotal		35.0	2,481,418	35.0	2,610,794
Transfer Out			(58,715)		(63,716)
Transfer In			0		138,117
Turnover			(119,388)		(43,000)
Total Salaries			2,303,315		2,642,195
Benefits					
FICA			177,449		200,959
Health Benefits			428,500		443,681
Payroll Accrual			13,455		15,312
Retiree Health			139,214		175,706
Retirement			636,926		724,211
Subtotal			1,395,544		1,559,869
Total Salaries and Benefits		35.0	3,698,859	35.0	4,202,064
Cost Per FTE Position			105,682		120,059
Statewide Benefit Assessment			102,965		104,448

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Accounts and Control

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Payroll Costs	35.0	3,801,824	35.0	4,306,512
Purchased Services				
Clerical and Temporary Services		8,946		0
Legal Services		50,000		0
Management & Consultant Services		100,000		200,000
Other Contracts		2,000		2,000
Subtotal		160,946		202,000
Total Personnel	35.0	3,962,770	35.0	4,508,512
Distribution by Source of Funds				
General Revenue		3,765,351		4,358,546
Restricted Receipts		197,419		149,966
Total All Funds		3,962,770		4,508,512

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions: Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies. Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity. Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results. Federal Grants Administration: Monitors and reports on federal grants including stimulus grants, and maximizes use of federal funds for eligible state programs and functions. Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests. Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

RIGL 35-1.1 created the Office of Management and Budget. RIGL 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL 42-35.1-3 moved the Office of Regulatory Reform from the Economic Development Corporation to the Office of Management and Budget.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Budget Office	2,341,931	2,344,287	2,698,016	2,409,801	2,615,937
Director, Office of Mgt and Budget	444,891	1,941,585	1,416,371	1,221,368	772,512
Federal Grants Management	311,362	232,147	386,268	327,983	0
Office of Internal Audit	2,875,675	2,748,294	4,456,331	4,153,161	4,820,798
Office of Regulatory Reform	946,602	1,183,816	852,111	924,849	973,761
Performance Management	587,608	675,473	725,463	640,509	658,518
Total Expenditures	7,508,069	9,125,602	10,534,560	9,677,671	9,841,526
Expenditures by Object					
Salary and Benefits	6,803,918	6,844,095	8,425,393	7,515,232	8,494,730
Contract Professional Services	272,664	1,247,426	802,968	875,968	344,800
Operating Supplies and Expenses	326,783	1,086,535	1,223,983	1,260,371	987,896
Subtotal: Operating	7,403,365	9,178,056	10,452,344	9,651,571	9,827,426
Capital Purchases And Equipment	104,704	(52,454)	82,216	26,100	14,100
Subtotal: Other	104,704	(52,454)	82,216	26,100	14,100
Total Expenditures	7,508,069	9,125,602	10,534,560	9,677,671	9,841,526
Expenditures by Source of Funds					
General Revenue	6,543,588	8,265,319	9,011,679	8,231,923	8,220,142
Restricted Receipts	151,257	87,213	300,046	323,142	300,000
Operating Transfers from Other Funds	813,224	773,070	1,222,835	1,122,606	1,321,384
Total Expenditures	7,508,069	9,125,602	10,534,560	9,677,671	9,841,526

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	63,447	1.0	65,819
ASSISTANT TO THE DIRECTOR (DHS)	00136A	1.0	86,988	1.0	90,272
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	138,191	1.0	143,214
ASSOCIATE DIRECTOR I (MHRH)	00142A	1.0	133,186	1.0	138,117
BUDGET ANALYST I	00828A	4.0	239,137	4.0	254,806
BUDGET ANALYST II	00831A	3.0	202,913	3.0	217,645
CHIEF BUDGET ANALYST	00142A	1.0	112,283	1.0	121,102
CHIEF BUREAU OF AUDITS	00145A	1.0	136,375	1.0	141,366
CHIEF ECONOMIC AND POLICY ANALYST	00142A	2.0	213,585	2.0	226,697
CHIEF IMPLEMENTATION AIDE	00128A	1.0	62,193	1.0	66,441
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	0.0	0	0.0	0
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	80,869	1.0	83,923
DATA ANALYST I	00134A	2.0	137,162	2.0	150,777
DATA ANALYST III	00142A	1.0	107,615	1.0	107,615
DEPUTY BUDGET OFFICER	00146A	1.0	116,199	1.0	127,938
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	223,596	2.0	239,095
DIRECTOR REGULATORY REFORM (OMB)	00146A	1.0	130,796	1.0	135,734
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00152A	1.0	191,712	1.0	198,822
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	172,722	1.0	77,584
INTERNAL AUDIT MANAGER (DOA)	00136A	4.0	331,242	4.0	340,046
INTERNAL AUDITOR (DOA)	00325A	12.0	613,746	12.0	651,895
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	3.0	257,170	3.0	278,427
PRINCIPAL MANAGEMENT AND METHODS ANALYST	00128A	0.0	21,237	0.0	0
PROGRAMMING SERVICES OFFICER	00131A	1.0	75,636	1.0	78,492
SENIOR BUDGET ANALYST	00834A	1.0	74,659	1.0	80,556
SENIOR ECONOMIC AND POLICY ANALYST	00134A	3.0	214,591	3.0	232,862
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	1.0	98,758	1.0	105,144
SENIOR INTERNAL AUDITOR (DOA)	00131A	9.0	633,475	9.0	672,581
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	1.0	56,707	1.0	58,848
SUPERVISING BUDGET ANALYST	00139A	3.0	286,421	3.0	303,357
Subtotal Classified		65.0	5,212,611	64.0	5,389,175
Unclassified					
CONFIDENTIAL SECRETARY	00822A	1.0	48,747	1.0	52,996
DIRECTOR- OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	176,891	1.0	183,569
SEASONAL FY19	00000A	0.0	0	0.0	10,000

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Office of Management and Budget

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	2.0	225,638	2.0	246,565
Subtotal	67.0	5,438,249	66.0	5,635,740
Transfer Out		(213,739)		(215,701)
Seasonal/Special Salaries/Wages		35,500		25,500
Turnover		(557,644)		(120,000)
Total Salaries		4,702,366		5,325,539
Benefits				
FICA		357,786		398,588
Health Benefits		615,549		700,065
Payroll Accrual		27,562		30,822
Retiree Health		283,580		351,788
Retirement		1,317,085		1,476,572
Subtotal		2,601,562		2,957,835
Total Salaries and Benefits	67.0	7,303,928	66.0	8,283,374
Cost Per FTE Position		109,014		125,506
Statewide Benefit Assessment		211,304		211,356
Payroll Costs	67.0	7,515,232	66.0	8,494,730
Purchased Services				
Management & Consultant Services		860,051		344,800
Training and Educational Services		15,917		0
Subtotal		875,968		344,800
Total Personnel	67.0	8,391,200	66.0	8,839,530
Distribution by Source of Funds				
General Revenue		6,983,386		7,248,855
Restricted Receipts		315,917		300,000
Operating Transfers from Other Funds		1,091,897		1,290,675
Total All Funds		8,391,200		8,839,530

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the Lamb Legislation), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 37-2-17.2 requires the institution of an electronic Vendor Information Program. In 2011, in accordance with RIGL 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for public works projects are required to include a copy to be available for public inspection upon the opening of the bids. Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. R.I.G.L. 37-2-18(b) and (j).

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Purchasing	3,050,163	3,648,240	3,892,555	4,411,606	4,406,689
Total Expenditures	3,050,163	3,648,240	3,892,555	4,411,606	4,406,689
Expenditures by Object					
Salary and Benefits	2,976,673	2,976,167	3,376,465	3,493,425	3,716,200
Contract Professional Services	0	276,547	15,250	500,250	250
Operating Supplies and Expenses	61,531	376,483	500,840	417,931	690,239
Subtotal: Operating	3,038,204	3,629,197	3,892,555	4,411,606	4,406,689
Capital Purchases And Equipment	11,959	19,043	0	0	0
Subtotal: Other	11,959	19,043	0	0	0
Total Expenditures	3,050,163	3,648,240	3,892,555	4,411,606	4,406,689
Expenditures by Source of Funds					
General Revenue	2,727,089	3,249,292	2,888,826	3,935,824	3,443,947
Restricted Receipts	0	0	540,000	0	459,389
Operating Transfers from Other Funds	323,074	398,948	463,729	475,782	503,353
Total Expenditures	3,050,163	3,648,240	3,892,555	4,411,606	4,406,689

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	63,165	1.0	65,522
BUYER I (DOA/OP)	00324A	4.0	194,485	4.0	208,182
BUYER II (DOA/OP)	00327A	3.0	186,360	3.0	198,374
CHIEF BUYER (DOA/OP)	00132A	4.0	308,728	4.0	323,311
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,425	1.0	73,085
CHIEF OF PURCHASING MANAGEMENT AND SUPPORT SERVICES	00138A	1.0	83,454	1.0	89,749
CONTR & SPECIFICIN ASST ADMIN	00136A	1.0	95,167	1.0	102,854
DEPUTY PURCHASING AGENT	00141A	1.0	111,539	1.0	115,751
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	298,866	3.0	310,146
LEGAL ASSISTANT	00119A	1.0	51,546	1.0	53,444
PROGRAMMING SERVICES OFFICER	00131A	1.0	79,237	1.0	82,229
PURCHASING AGENT	00145A	1.0	140,736	1.0	145,964
SENIOR BUYER (DOA/OP)	00829A	1.0	59,050	1.0	63,421
STANDARDS TECHNICIAN (DIVISION OF PURCHASES)	00318A	9.0	414,278	9.0	430,828
SYSTEMS SUPPORT TECHNICIAN I	00318A	2.0	94,846	2.0	99,312
Subtotal Classified		34.0	2,251,882	34.0	2,362,172
Subtotal		34.0	2,251,882	34.0	2,362,172
Transfer Out			(73,184)		(75,943)
Turnover			(95,211)		(55,680)
Total Salaries			2,083,487		2,230,549
Benefits					
FICA			160,331		169,933
Health Benefits			451,653		462,311
Payroll Accrual			12,128		12,898
Retiree Health			121,627		143,361
Retirement			573,776		610,997
Subtotal			1,319,515		1,399,500
Total Salaries and Benefits		34.0	3,403,002	34.0	3,630,049
Cost Per FTE Position			100,088		106,766
Statewide Benefit Assessment			90,423		86,151
Payroll Costs		34.0	3,493,425	34.0	3,716,200
Purchased Services					
Clerical and Temporary Services			250		250

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Purchasing

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Management & Consultant Services		500,000		0
Subtotal		500,250		250
Total Personnel	34.0	3,993,675	34.0	3,716,450
Distribution by Source of Funds				
General Revenue		3,517,893		3,059,154
Restricted Receipts		0		153,943
Operating Transfers from Other Funds		475,782		503,353
Total All Funds		3,993,675		3,716,450

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Human Resources

Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community. To provide Human Resources services to all State Departments in support of their missions. To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees. The major activities of Human Resources include:

- Personnel Administration – administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/ Development and Classification/Civil Service Examinations
- Labor Relations – Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees
- Recruitment/Employment/Employee Services/Payroll and Data – Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services;
- Agency Liaisons – Primary point of contact for all Departments while serving as a proactive advisor to Department leadership.
- Disability Management
- Employee Benefits Administration – Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives
- State Employees Worker's Compensation

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law, under which the Division of Human Resources operates. In the FY 2018 revised budget, the human resource program was shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Human Resources

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
DEM/DOT HR Service Center	103,757	(1,527)	0	0	0
General Gov. HR Service Center	4,099	0	0	0	0
Human Resources	815,499	1,051,017	1,274,257	789,026	788,541
Human Resources Centralization	8,671,827	103,986	0	0	0
Personnel Administration	979,797	0	0	0	0
Public Safety HR Service Cntr	22,709	0	0	0	0
Total Expenditures	10,597,688	1,153,476	1,274,257	789,026	788,541
Expenditures by Object					
Salary and Benefits	10,109,571	0	0	0	0
Contract Professional Services	160,395	0	0	0	0
Operating Supplies and Expenses	316,106	963,500	1,274,257	789,026	788,541
Assistance And Grants	(200)	0	0	0	0
Subtotal: Operating	10,585,872	963,500	1,274,257	789,026	788,541
Capital Purchases And Equipment	11,816	0	0	0	0
Operating Transfers	0	189,976	0	0	0
Subtotal: Other	11,816	189,976	0	0	0
Total Expenditures	10,597,688	1,153,476	1,274,257	789,026	788,541
Expenditures by Source of Funds					
General Revenue	7,319,221	1,051,017	1,274,257	789,026	788,541
Federal Funds	1,174,450	39,017	0	0	0
Restricted Receipts	641,504	21,130	0	0	0
Other Funds	1,462,513	42,312	0	0	0
Total Expenditures	10,597,688	1,153,476	1,274,257	789,026	788,541

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board. To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law. To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board. The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson. The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Personnel Appeal Board	114,599	102,303	149,477	149,736	151,521
Total Expenditures	114,599	102,303	149,477	149,736	151,521
Expenditures by Object					
Salary and Benefits	78,904	79,655	81,514	81,513	83,638
Contract Professional Services	33,893	20,567	66,500	66,500	66,500
Operating Supplies and Expenses	1,802	2,081	1,463	1,723	1,383
Subtotal: Operating	114,599	102,303	149,477	149,736	151,521
Total Expenditures	114,599	102,303	149,477	149,736	151,521
Expenditures by Source of Funds					
General Revenue	114,599	102,303	149,477	149,736	151,521
Total Expenditures	114,599	102,303	149,477	149,736	151,521

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Personnel Appeal Board

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
MEMBER- PERSONNEL APPEAL BOARD	00541F	0.0	21,600	0.0	21,600
MEMBER- PERSONNEL APPEAL BOARD	10541F	0.0	14,400	0.0	14,400
Subtotal Unclassified		0.0	36,000	0.0	36,000
Subtotal		0.0	36,000	0.0	36,000
Transfer In			26,329		27,323
Turnover			(681)		0
Total Salaries			61,648		63,323
Benefits					
FICA			4,764		4,840
Health Benefits			3,279		3,356
Payroll Accrual			312		318
Retiree Health			1,574		1,817
Retirement			7,182		7,484
Subtotal			17,111		17,815
Total Salaries and Benefits		0.0	78,759	0.0	81,138
Cost Per FTE Position			0		0
Statewide Benefit Assessment			2,754		2,500
Payroll Costs		0.0	81,513	0.0	83,638
Purchased Services					
Clerical and Temporary Services			6,000		6,000
Legal Services			60,000		60,000
Other Contracts			500		500
Subtotal			66,500		66,500
Total Personnel		0.0	148,013	0.0	150,138
Distribution by Source of Funds					
General Revenue			148,013		150,138
Total All Funds			148,013		150,138

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

General

Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program. Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums.

Statutory History

The existence of General has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

General

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Capital Projects	31,517,233	38,963,198	30,355,000	58,253,695	53,664,572
General	179,962	589,070	700,000	700,000	700,000
Grants & Other Payments	4,422,021	2,810,882	2,851,057	2,807,327	530,000
State Aid to Local Communities	11,577,925	11,523,699	11,538,543	11,538,543	11,299,302
Total Expenditures	47,697,141	53,886,849	45,444,600	73,299,565	66,193,874
Expenditures by Object					
Contract Professional Services	827,970	781,964	0	0	0
Operating Supplies and Expenses	5,468,229	6,253,605	3,021,057	2,977,327	700,000
Assistance And Grants	1,176,825	408,103	530,000	530,000	530,000
Aid To Local Units Of Government	11,154,782	11,103,307	11,538,543	11,538,543	11,299,302
Subtotal: Operating	18,627,806	18,546,979	15,089,600	15,045,870	12,529,302
Capital Purchases And Equipment	26,796,000	31,730,669	30,355,000	58,253,695	53,664,572
Operating Transfers	2,273,335	3,609,201	0	0	0
Subtotal: Other	29,069,335	35,339,870	30,355,000	58,253,695	53,664,572
Total Expenditures	47,697,141	53,886,849	45,444,600	73,299,565	66,193,874
Expenditures by Source of Funds					
General Revenue	15,999,946	14,334,581	14,389,600	14,345,870	11,829,302
Restricted Receipts	179,962	589,070	700,000	700,000	700,000
Operating Transfers from Other Funds	31,517,233	38,963,198	30,355,000	58,253,695	53,664,572
Total Expenditures	47,697,141	53,886,849	45,444,600	73,299,565	66,193,874

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Debt Service Payments

Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Debt Service Payments

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Certificates of Participation	31,689,330	25,028,102	27,411,537	26,796,062	30,322,761
COPS - Ctr General Furniture	1,524	(2)	0	0	0
COPS - DLT Ctr. Gnrl. Building	945,932	(90)	0	0	0
General Obligation Bonds	74,393,729	96,544,125	114,105,305	101,499,240	119,456,588
Other Debt Service	60,929,695	58,030,158	41,063,097	37,288,097	52,101,602
Tax Anticipation/S T Borrowing	111,465	47,548	100,000	100,000	100,000
Total Expenditures	168,071,675	179,649,841	182,679,939	165,683,399	201,980,951
Expenditures by Object					
Operating Supplies and Expenses	111,481	47,441	100,000	100,000	100,000
Assistance And Grants	3,560,000	3,560,001	0	0	0
Subtotal: Operating	3,671,481	3,607,442	100,000	100,000	100,000
Debt Service (Fixed Charges)	141,935,670	156,678,487	182,579,939	165,583,399	201,880,951
Operating Transfers	22,464,524	19,363,912	0	0	0
Subtotal: Other	164,400,194	176,042,399	182,579,939	165,583,399	201,880,951
Total Expenditures	168,071,675	179,649,841	182,679,939	165,683,399	201,980,951
Expenditures by Source of Funds					
General Revenue	119,535,437	136,892,329	140,686,161	124,011,009	163,687,862
Federal Funds	2,249,323	1,879,793	1,870,830	1,870,830	1,870,830
Restricted Receipts	107,880	0	0	0	0
Operating Transfers from Other Funds	46,177,638	40,877,719	40,122,948	39,801,560	36,422,259
Other Funds	1,397	0	0	0	0
Total Expenditures	168,071,675	179,649,841	182,679,939	165,683,399	201,980,951

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis. Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies. Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies. The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund. A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011. In the FY 2018 revised budget, human resources, information technology, and facilities management transferred to internal service funds pursuant to the authorization by the General Assembly in the FY 2018 Appropriations Act.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 36-12.1-5 established the OPEB trust.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Assessed Fringe Benefit Fund	35,351,581	49,537,857	41,383,271	40,889,406	31,377,620
Automotive Fleet Fund	13,882,444	11,566,018	12,823,759	12,931,122	13,014,706
Central Mail Fund	5,224,095	6,010,253	6,539,120	6,284,172	6,290,947
Central Utilities Fund	21,678,864	25,587,077	22,910,320	23,049,565	23,055,162
Health Insurance Fund	252,895,824	250,616,354	251,953,418	252,581,059	252,559,111
Human Resource Service Centers	0	12,147,654	12,131,620	13,377,854	15,227,277
Information Processing Fund	0	31,169,076	32,282,229	39,077,346	40,631,267
Other Post Employment Benefits	40,363,858	39,409,531	63,858,483	63,858,483	63,858,483
Statewide Facility Services	0	35,567,867	39,212,184	38,693,072	40,379,969
Surplus Property	1,255	1,884	3,000	3,000	3,000
Telecommunications Fund	3,088,863	3,184,498	3,602,419	3,402,220	3,450,952
Total Expenditures	372,486,784	464,798,069	486,699,823	494,147,299	489,848,494
<i>Internal Services</i>	<i>[372,486,784]</i>	<i>[464,798,069]</i>	<i>[486,699,823]</i>	<i>[494,147,299]</i>	<i>[489,848,494]</i>
Expenditures by Object					
Salary and Benefits	330,878,796	384,828,957	405,827,879	401,466,493	399,316,881
Contract Professional Services	849,050	5,526,459	6,318,446	8,642,288	8,065,218
Operating Supplies and Expenses	37,197,743	72,716,002	72,604,540	80,400,977	80,149,737
Assistance And Grants	211,192	205,784	270,000	269,799	266,476
Subtotal: Operating	369,136,781	463,277,202	485,020,865	490,779,557	487,798,312
Capital Purchases And Equipment	6,491	478,639	205,172	1,893,956	576,396
Operating Transfers	3,343,512	1,042,228	1,473,786	1,473,786	1,473,786
Subtotal: Other	3,350,003	1,520,867	1,678,958	3,367,742	2,050,182
Total Expenditures	372,486,784	464,798,069	486,699,823	494,147,299	489,848,494
Expenditures by Source of Funds					
Other Funds	372,486,784	464,798,069	486,699,823	494,147,299	489,848,494
Total Expenditures	372,486,784	464,798,069	486,699,823	494,147,299	489,848,494

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	00320A	1.0	55,542	1.0	57,593
ADMINISTRATIVE SERVICES SPECIALIST (DOA)	00124A	3.0	163,835	3.0	173,324
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	00140A	9.0	974,805	10.0	1,112,313
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	106,228	1.0	110,239
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	2.0	121,374	2.0	125,958
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	3.0	166,004	3.0	174,232
ASSISTANT BUILDING AND GROUNDS OFFICER	03124A	1.0	61,780	1.0	64,113
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	00143A	3.0	421,348	3.0	437,000
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	95,287	1.0	103,952
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	6.0	649,943	6.0	687,291
ASSISTANT LABOR RELATIONS HEARING OFFICER	00132A	1.0	72,078	1.0	77,672
ASSISTANT RECORDS ANALYST	00319A	1.0	54,952	1.0	57,026
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	1.0	55,374	1.0	59,315
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	5.0	295,469	6.0	372,803
ASSOCIATE DIRECTOR (DHS) DIV OF MANAGEMENT SERVICES	00146A	1.0	153,763	1.0	159,442
ASSOCIATE DIRECTOR OF ADMINISTRATION-CENTRAL SERVICES	00147A	1.0	135,700	1.0	140,824
ASST DIR STWIDE CAP ASSET PJ M	00152A	1.0	160,275	1.0	166,326
BUILDING AND GROUNDS OFFICER	00828A	3.0	188,855	3.0	202,470
BUILDING SUPERINTENDENT	00318A	2.0	92,467	2.0	97,689
BUILDING SUPERINTENDENT	00818A	1.0	42,087	1.0	44,994
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	45,231	1.0	46,938
CHF OF INFORMATION TECHNOLOGY I	00151A	3.0	455,762	4.0	613,096
CHF OF INFO TECHNOLOGY II	00155A	2.0	338,849	2.0	353,237
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	101,745	1.0	112,642
CHIEF IMPLEMENTATION AIDE	00128A	6.0	389,059	7.0	467,900
CHIEF IMPLEMENTATION AIDE	00328A	2.0	140,607	2.0	145,879
CHIEF IMPLEMENTATION AIDE	00828A	2.0	141,859	2.0	147,190
CHIEF OF HUMAN RESOURCES	00138A	1.0	99,715	1.0	103,409
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	90,040	1.0	93,421
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	00143A	1.0	113,434	1.0	120,431

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
CHIEF POWER PLANT OPERATOR	00325A	1.0	65,021	1.0	67,476
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	84,912	1.0	88,119
CHIEF PROPERTY MANAGEMENT	00141A	4.0	410,788	4.0	433,166
CLAIMS EXAMINER(ST EMP WRKS CO	00329A	7.0	453,665	7.0	510,565
CLERK SECRETARY	03116A	1.0	47,975	1.0	49,786
COMPUTER OPERATOR (OIP)	00316A	6.0	243,544	6.0	255,639
COORDINATOR OF EMPLOYEE TRAINING	00138A	1.0	97,947	1.0	101,465
DATABASE ADMINISTRATOR ADABAS/NATURAL	00135A	1.0	98,541	1.0	102,263
DATABASE MANAGER (DB2)	00138A	1.0	93,195	1.0	96,713
DATA CONTROL CLERK	00315A	1.0	40,978	1.0	42,525
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	64,544	1.0	66,880
DEPUTY CHIEF DIVISION OF FACILITIES MANAGEMENT	00137A	5.0	469,651	5.0	500,152
DEPUTY PERSONNEL ADMINISTRATOR	00144A	5.0	620,394	5.0	663,958
DIRECTOR OF HUMAN RESOURCES	00148A	1.0	140,620	1.0	145,929
EMPLOYEE BENEFITS SPECIALIST	00322A	3.0	152,007	3.0	157,746
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	47,245	1.0	49,029
ENTERPRISE RESOURCE MANAGER (ORACLE ERP MANAGER)	00142A	3.0	320,766	3.0	370,467
EXECUTIVE ASSISTANT	00118A	1.0	44,384	1.0	46,060
EXECUTIVE DIRECTOR OF HUMAN RESOURCES/ PERSONNEL ADMIN	00150A	1.0	150,444	1.0	156,124
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	60,435	1.0	62,717
GROUNDS SUPERINTENDENT	00317A	1.0	51,692	1.0	53,644
HEATING PLANT OPERATOR	00317A	1.0	40,744	1.0	43,080
HR Business Partner	00135A	0.0	0	1.0	76,408
HUMAN RESOURCE DEVELOPMENT COORDINATOR	00135A	1.0	74,051	1.0	76,847
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	6.0	608,784	6.0	637,762
HUMAN RESOURCES ADMINISTRATOR	00141A	1.0	127,474	1.0	132,287
HUMAN RESOURCES ANALYST I	00126A	10.0	623,623	10.0	654,605
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	7.0	472,741	7.0	495,412
HUMAN RESOURCES ANALYST III (CLASS & ORGANIZ ANALYSIS)	00133A	1.0	80,587	1.0	80,587
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	16.0	1,268,413	16.0	1,323,210
HUMAN RESOURCES COORDINATOR	00135A	4.0	372,716	4.0	386,731
HUMAN RESOURCES SUPERVISOR	00136A	1.0	106,020	1.0	109,960
HUMAN RESOURCES TECHNICIAN	00122A	27.0	1,369,246	27.0	1,439,552

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
IMPLEMENTATION AIDE	00122A	2.0	95,201	2.0	102,064
INFORMATION PROCESSING OFFICER	00142A	1.0	106,233	1.0	110,239
INFORMATION SERVICES TECHNICIAN I	00316A	1.0	49,800	1.0	51,661
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00143A	11.0	1,253,467	11.0	1,331,123
INTERDEPARTMENTAL PROJECT MANAGER	00139A	4.0	407,257	9.0	874,903
JANITOR	00309A	7.0	253,466	7.0	264,707
JUNIOR COMPUTER OPERATOR (OIP)	00313A	2.0	83,329	2.0	87,128
JUNIOR ELECTRONIC COMPUTER PROGRAMMER	00320A	1.0	44,788	1.0	47,830
LEGAL ASSISTANT	00119A	1.0	53,314	1.0	55,298
MAINTENANCE SUPERINTENDENT	00322A	3.0	146,754	3.0	155,793
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	6.0	352,676	6.0	371,426
POWER PLANT OPERATOR	03118A	5.0	239,165	5.0	248,192
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	2.0	106,666	2.0	110,694
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	00328A	1.0	69,835	1.0	72,471
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	81,090	1.0	84,079
PRINCIPAL JANITOR	00315A	3.0	128,262	3.0	134,093
PRINCIPAL PROGRAMMER/ANALYST (OIP)	00331A	1.0	88,295	1.0	91,517
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	82,293	1.0	85,306
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	4.0	291,877	4.0	302,722
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	175,204	2.0	181,818
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	3.0	252,850	3.0	262,249
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	5.0	461,687	5.0	478,907
PROGRAMMER/ANALYST III (ORACLE)	00835A	1.0	103,199	1.0	107,008
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	97,761	1.0	101,362
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	6.0	528,444	7.0	627,710
PROGRAMMER/ANALYST III (UNIX/SQL)	0AB35A	1.0	98,798	1.0	102,448
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	87,378	1.0	90,636
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	238,507	3.0	247,409
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	88,998	1.0	92,270
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	1.0	89,510	1.0	92,831
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	12.0	954,913	12.0	1,004,090
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	63,486	1.0	65,883
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	6.0	359,687	6.0	393,264
PROGRAMMER/ANALYST MANAGER	00138A	9.0	817,694	10.0	1,010,905
PROGRAMMING SERVICES OFFICER	00131A	2.0	91,852	2.0	141,907

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
PROJECT MANAGER I (DOA)	00137A	3.0	295,995	3.0	316,443
RECORDS ANALYST	00324A	1.0	57,278	1.0	59,441
SENIOR COMPUTER OPERATOR (OIP)	00322A	3.0	170,765	3.0	177,173
Senior HR Business Partner	00137A	0.0	0	1.0	81,991
SENIOR JANITOR	00312A	1.0	45,958	1.0	47,693
SENIOR LEGAL COUNSEL	00134A	2.0	196,094	1.0	101,635
SENIOR SYSTEMS ANALYST	00126A	1.0	59,169	1.0	64,303
STATE BUILDING AND GROUNDS COORDINATOR	00132A	7.0	518,642	7.0	550,884
STATE FLEET ADMINISTRATOR	00139A	1.0	63,097	1.0	92,570
SUPERVISING ACCOUNTANT	00131A	2.0	133,862	2.0	144,127
SUPERVISOR CENTRAL MAIL SERVICES	00316A	1.0	39,751	1.0	42,012
SUPERVISOR COMPUTER OPERATIONS	00328A	3.0	209,077	3.0	220,059
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	89,249	1.0	92,513
SUPERVISOR OF PAINTING PLASTERING MASONRY AND GLAZING	00323A	1.0	61,906	1.0	65,575
SUPERVISOR PERSONNEL SUPPORT SERVICES	00128A	1.0	78,044	1.0	80,945
SYSTEMS ADMINISTRATOR (MHRH)	00139A	3.0	308,516	3.0	320,179
SYSTEMS ANALYST	00124A	0.0	0	1.0	48,787
SYSTEMS SUPPORT TECHNICIAN II	00321A	7.0	379,540	8.0	439,580
TECHNICAL SUPPORT MANAGER (DOS/MVS)	00138A	1.0	86,627	1.0	93,963
TECHNICAL SUPPORT MANAGER (UNIX/ NETWORKS/TELECOMMUNIC.)	00138A	9.0	902,892	9.0	943,786
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	2.0	167,882	2.0	174,008
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	171,597	2.0	178,077
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	00135A	1.0	99,714	1.0	103,436
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	00335A	1.0	82,339	1.0	85,447
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00135A	14.0	1,185,361	14.0	1,256,578
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00335A	1.0	98,392	1.0	102,044
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0AB35A	1.0	98,863	1.0	102,513
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00332A	1.0	81,147	1.0	84,210
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00A32A	1.0	95,473	1.0	98,992
TECHNICAL SUPPORT SPECIALIST II (TELECOMMUNICATIONS)	00332A	1.0	69,160	1.0	74,961

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
TECHNICAL SUPPORT SPECIALIST II (TELECOMMUNICATIONS)	03232A	1.0	64,953	1.0	69,838
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00032A	2.0	181,222	2.0	187,880
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00132A	2.0	157,176	2.0	163,112
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00332A	18.0	1,414,633	18.0	1,473,482
TECHNICAL SUPPORT SPECIALIST I (TELECOMMUNICATIONS)	00328A	2.0	129,867	2.0	136,676
TECHNICAL SUPPORT SPECIALIST I (UNIX/ NETWORKS)	00328A	6.0	392,701	6.0	408,115
Training and Development Administrator / Trainer	00132A	0.0	0	1.0	68,223
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR I	03121A	2.0	83,745	2.0	85,512
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR II	03124A	1.0	60,466	1.0	62,749
Subtotal Classified		408.0	31,661,503	423.0	34,587,038
Unclassified					
ADMINISTRATIVE ASSISTANT	00820A	1.0	53,202	1.0	55,211
ADMINISTRATIVE ASSISTANT	00825A	1.0	61,664	1.0	63,992
AUTOMOTIVE MECHANIC	00314G	1.0	47,139	1.0	47,139
AUTOMOTIVE SERVICE SUPERVISOR	00318G	1.0	60,264	1.0	60,264
CARPENTER	00314G	4.0	182,179	4.0	182,963
CHIEF DIGITAL OFFICER	00856A	1.0	212,449	1.0	220,464
CLEANER (PUBLIC BUILDINGS)	00301W	0.5	19,339	0.5	19,339
DATA PROCESSING SYSTEMS MANAGER	00836A	1.0	105,391	1.0	111,487
DEPUTY DIRECTOR	00838A	1.0	104,480	1.0	110,665
ELECTRICIAN	00316G	4.0	169,284	4.0	173,792
LABORER SUPERVISOR	00313G	1.0	42,837	1.0	42,837
LICENSED STEAMFITTER	00315G	1.0	42,890	1.0	42,890
PLUMBER SUPERVISOR	00320G	1.0	53,108	1.0	53,108
SENIOR MAINTENANCE TECHNICIAN	00314G	14.0	553,400	14.0	567,189
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	51,001	1.0	51,001
SUPERVISING EMPLOYEE RELATIONS OFFICER	00828A	1.0	83,280	1.0	86,424
TASK FORCE AGENT/INSPECTOR	00828A	1.0	72,870	1.0	75,621
ZFTE Reconciliation to Authorization	00000A	(44.4)	0	(43.4)	0
Subtotal Unclassified		(8.9)	1,914,777	(7.9)	1,964,386
Subtotal		399.1	33,576,280	415.1	36,551,424

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Internal Service Programs

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Transfer Out		(1,249,215)		(1,298,682)
Transfer In		544,179		573,842
Regular Wages		35,815		1,425,043
Overtime (1.5)		536,481		961,000
Seasonal/Special Salaries/Wages		373,599		373,363
Turnover		(1,233,054)		(719,577)
Total Salaries		32,548,270		36,441,370
Benefits				
Contract Stipends		800		800
FICA		2,934,261		2,746,468
Health Benefits		319,825,134		320,233,225
Other		6,000,524		525
Payroll Accrual		182,886		203,488
Retiree Health		1,885,640		2,324,711
Retirement		8,573,054		9,564,273
Workers Compensation		28,472,180		26,739,309
Subtotal		367,874,479		361,812,799
Total Salaries and Benefits	399.1	400,422,749	415.1	398,254,169
Cost Per FTE Position		1,003,310		959,413
Statewide Benefit Assessment		1,447,640		1,466,608
Payroll Costs	399.1	401,870,389	415.1	399,720,777
Purchased Services				
Buildings and Ground Maintenance		842,000		827,000
Clerical and Temporary Services		24,675		24,675
Design and Engineering Services		94,000		95,000
Information Technology		1,144,000		790,000
Legal Services		34,458		234,458
Management & Consultant Services		5,573,477		5,783,750
Medical Services		5,000		5,000
Other Contracts		918,178		298,835
Training and Educational Services		6,500		6,500
Subtotal		8,642,288		8,065,218
Total Personnel	399.1	410,512,677	415.1	407,785,995
Distribution by Source of Funds				
Other Funds		410,512,677		407,785,995
Total All Funds		410,512,677		407,785,995

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch. To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner. To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner. To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request. To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services. To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact. To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Legal Services	1,893,892	1,943,569	2,424,062	2,241,985	2,505,412
Total Expenditures	1,893,892	1,943,569	2,424,062	2,241,985	2,505,412
Expenditures by Object					
Salary and Benefits	1,720,420	1,576,924	2,138,485	1,941,583	2,408,162
Contract Professional Services	125,860	299,850	200,200	200,200	200
Operating Supplies and Expenses	45,757	66,795	82,902	97,727	94,575
Subtotal: Operating	1,892,037	1,943,569	2,421,587	2,239,510	2,502,937
Capital Purchases And Equipment	1,855	0	2,475	2,475	2,475
Subtotal: Other	1,855	0	2,475	2,475	2,475
Total Expenditures	1,893,892	1,943,569	2,424,062	2,241,985	2,505,412
Expenditures by Source of Funds					
General Revenue	1,893,892	1,943,569	2,424,062	2,241,985	2,399,876
Federal Funds	0	0	0	0	105,536
Total Expenditures	1,893,892	1,943,569	2,424,062	2,241,985	2,505,412

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	1.0	125,881	1.0	130,634
ADMINISTRATOR ADJUDICATION	00140A	1.0	116,583	1.0	120,790
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	111,539	1.0	115,751
CHF LEGAL CONSL LITIGATION(DOA	00142A	1.0	107,184	1.0	115,335
CHIEF IMPLEMENTATION AIDE	00128A	2.0	125,076	1.0	66,441
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	111,139	1.0	115,335
CHIEF OF LEGAL SERVICES	00139A	1.0	101,224	1.0	105,046
DEPUTY CHIEF OF LEGAL SERVICES	00137A	2.0	169,078	2.0	180,170
EXECUTIVE ASSISTANT	00118A	1.0	41,762	1.0	44,266
IMPLEMENTATION AIDE	00122A	1.0	50,546	1.0	52,454
LEGAL COUNSEL	00132A	0.6	86,073	0.6	89,323
Paralegal	00124A	0.0	0	1.0	48,786
SENIOR LEGAL COUNSEL	00134A	3.0	247,651	5.0	436,561
Subtotal Classified		15.6	1,393,736	17.6	1,620,892
Subtotal		15.6	1,393,736	17.6	1,620,892
Transfer Out			(35,394)		(37,400)
Regular Wages			0		122,398
Seasonal/Special Salaries/Wages			10,000		10,000
Turnover			(149,778)		(76,683)
Total Salaries			1,218,564		1,516,809
Benefits					
FICA			94,318		116,034
Health Benefits			161,303		195,490
Payroll Accrual			7,069		8,731
Retiree Health			73,130		100,205
Retirement			333,141		411,217
Subtotal			668,961		831,677
Total Salaries and Benefits		15.6	1,887,525	17.6	2,348,486
Cost Per FTE Position			120,995		133,437
Statewide Benefit Assessment			54,058		59,676
Payroll Costs		15.6	1,941,583	17.6	2,408,162
Purchased Services					
Clerical and Temporary Services			200		200

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Legal Services

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Legal Services		200,000		0
Subtotal		200,200		200
Total Personnel	15.6	2,141,783	17.6	2,408,362
Distribution by Source of Funds				
General Revenue		2,141,783		2,302,826
Federal Funds		0		105,536
Total All Funds		2,141,783		2,408,362

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Facilities Management

Mission

To protect and preserve the State of Rhode Island's facility assets. To provide a clean, safe, healthy and secure environment conducive to employee productivity.

Description

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration. This includes the building at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center, and numerous other that buildings throughout the State. The responsibility for building operations includes capital improvements, general maintenance, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution. State Fleet maintains and operates 15 state fuel depots, administers the repair program for state vehicles, and administraters the purchasing and disposal of state vehicles. The Division also oversees environmental compliance of various state facilities and properties with environmental conditions that warrant remediation and/or permitting. This program merged into the Capital Asset Maintenance and Management program in FY 2017.

Statutory History

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management. R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles. R.I.G.L. 42-56.2 establishes the Federal Surplus Property program.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Facilities Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Facilities Centralization	0	996,892	0	0	0
Operations and Maintenance	0	(5)	0	0	0
Total Expenditures	0	996,887	0	0	0
Expenditures by Object					
Salary and Benefits	0	(3)	0	0	0
Operating Supplies and Expenses	0	(1,726)	0	0	0
Subtotal: Operating	0	(1,729)	0	0	0
Operating Transfers	0	998,616	0	0	0
Subtotal: Other	0	998,616	0	0	0
Total Expenditures	0	996,887	0	0	0
Expenditures by Source of Funds					
Federal Funds	0	510,472	0	0	0
Restricted Receipts	0	127,317	0	0	0
Other Funds	0	359,098	0	0	0
Total Expenditures	0	996,887	0	0	0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

Mission

To enable State Government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens. The duties of ETSS are to:

- Manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. The office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications.
- Manage the office of digital excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices.
- To oversee the chief of library services and the office of library and information services to ensure that this office fulfills its statutory duties in an effective manner.
- Coordinate efforts with the director of administration in order to plan, allocate and implement projects supported by the information technology investment fund.
- Supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state. Any patents applied for shall be in the name of the state.

Description

The Division of Enterprise Technology Strategy and Service, which shall include the office of information technology, the office of digital excellence (ODE), and the office of library and information services (OLIS). Within ETSS, there shall be a chief digital officer in the unclassified service who shall oversee and manage the division and shall be appointed by the director of administration. Any prior reference in statute to the division of information technology shall now mean ETSS. The chief digital officer shall supervise the state's chief information officer, chief technology officer, chief information security officer, the directors of information technology and all associated employees. The focus of ETSS will be to lead the strategic technology decisions and efforts across all of the executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

April 29, 2004 Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. § 42-11-2.5 Information technology investment fund established. § 42-11-2.6 Establishment of Office of Digital Excellence. § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly. In the FY 2018 revised recommendation, the majority of the information technology program is shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Doit Operations	16,804,262	1,443,352	1,470,255	652,753	1,647,418
Doit Project Management	928,611	(3)	0	0	0
Executive Director-CIO	689,443	1	0	0	0
Information Technology	9,620,357	16,705,020	10,343,243	17,772,557	6,736,092
IT Centralization	10,229,056	4,647,401	88,071	11,812	0
Total Expenditures	38,271,729	22,795,771	11,901,569	18,437,122	8,383,510
Expenditures by Object					
Salary and Benefits	24,223,781	398,632	406,244	132,077	132,740
Contract Professional Services	3,193,524	7,690,143	115,000	114,000	114,000
Operating Supplies and Expenses	5,813,739	4,657,805	2,380,325	1,532,488	2,514,678
Subtotal: Operating	33,231,044	12,746,580	2,901,569	1,778,565	2,761,418
Capital Purchases And Equipment	5,040,685	5,281,440	9,000,000	16,658,557	5,622,092
Operating Transfers	0	4,767,751	0	0	0
Subtotal: Other	5,040,685	10,049,191	9,000,000	16,658,557	5,622,092
Total Expenditures	38,271,729	22,795,771	11,901,569	18,437,122	8,383,510
Expenditures by Source of Funds					
General Revenue	20,062,879	1,443,347	1,470,255	652,753	1,647,418
Federal Funds	6,254,375	2,729,142	115,000	114,000	114,000
Restricted Receipts	9,188,825	17,364,320	10,228,243	17,670,369	6,622,092
Other Funds	2,765,650	1,258,962	88,071	0	0
Total Expenditures	38,271,729	22,795,771	11,901,569	18,437,122	8,383,510

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Information Technology

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Transfer In		77,584		77,584
Total Salaries		77,584		77,584
Benefits				
FICA		5,935		5,935
Health Benefits		19,512		19,879
Payroll Accrual		448		450
Retiree Health		4,640		5,159
Retirement		20,389		20,474
Subtotal		50,924		51,897
Total Salaries and Benefits	0.0	128,508	0.0	129,481
Cost Per FTE Position		0		
Statewide Benefit Assessment		3,569		3,259
Payroll Costs	0.0	132,077	0.0	132,740
Purchased Services				
Information Technology		114,000		114,000
Subtotal		114,000		114,000
Total Personnel	0.0	246,077	0.0	246,740
Distribution by Source of Funds				
Federal Funds		114,000		114,000
Restricted Receipts		132,077		132,740
Total All Funds		246,077		246,740

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery. Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board. Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities. Maintain and develop the Library of Rhode Island Network. Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act. Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service. Provide for statewide reference service through online services of "AskRI". Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

RIGL 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Library Services	2,336,188	2,464,556	2,661,294	2,526,473	2,614,826
Total Expenditures	2,336,188	2,464,556	2,661,294	2,526,473	2,614,826
Expenditures by Object					
Salary and Benefits	1,318,989	1,352,790	1,635,943	1,380,825	1,522,593
Contract Professional Services	23,225	28,978	28,225	28,000	28,000
Operating Supplies and Expenses	986,079	1,032,820	991,876	1,112,398	1,058,983
Assistance And Grants	0	36,600	0	0	0
Aid To Local Units Of Government	0	4,013	0	0	0
Subtotal: Operating	2,328,293	2,455,201	2,656,044	2,521,223	2,609,576
Capital Purchases And Equipment	7,895	9,355	5,250	5,250	5,250
Subtotal: Other	7,895	9,355	5,250	5,250	5,250
Total Expenditures	2,336,188	2,464,556	2,661,294	2,526,473	2,614,826
Expenditures by Source of Funds					
General Revenue	1,341,629	1,350,017	1,442,726	1,419,448	1,457,501
Federal Funds	994,559	1,072,168	1,213,068	1,088,896	1,155,921
Restricted Receipts	0	42,371	5,500	18,129	1,404
Total Expenditures	2,336,188	2,464,556	2,661,294	2,526,473	2,614,826

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Library and Information Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	48,775	1.0	50,617
CHIEF OF LIBRARY SERVICES	00143A	1.0	127,655	1.0	132,474
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	152,678	3.0	159,345
LIBRARY PROGRAM MANAGER I	00137A	2.0	179,474	2.0	189,290
LIBRARY PROGRAM SPECIALIST II	0AB28A	3.0	182,558	3.0	195,152
LIBRARY PROGRAM SPECIALIST III	0AB32A	3.0	249,994	3.0	263,307
Subtotal Classified		13.0	941,134	13.0	990,185
Subtotal		13.0	941,134	13.0	990,185
Turnover			(105,334)		(60,000)
Total Salaries			835,800		930,185
Benefits					
FICA			64,347		71,164
Health Benefits			159,206		162,549
Payroll Accrual			4,862		5,387
Retiree Health			50,302		61,855
Retirement			228,466		253,680
Subtotal			507,183		554,635
Total Salaries and Benefits		13.0	1,342,983	13.0	1,484,820
Cost Per FTE Position			103,306		114,217
Statewide Benefit Assessment			37,842		37,773
Payroll Costs		13.0	1,380,825	13.0	1,522,593
Purchased Services					
Training and Educational Services			28,000		28,000
Subtotal			28,000		28,000
Total Personnel		13.0	1,408,825	13.0	1,550,593
Distribution by Source of Funds					
General Revenue			764,876		839,619
Federal Funds			643,949		710,974
Total All Funds			1,408,825		1,550,593

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Planning

Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board. Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding. Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration. The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The FY 2018 revised recommendation shifts the Water Resource Board to the Division of Public Utilities and Carriers.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Planning

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Housing and Comm. Development	0	0	15,448	15,448	15,448
Statewide Planning	3,070,692	3,503,881	5,823,912	5,677,752	5,644,135
Total Expenditures	3,070,692	3,503,881	5,839,360	5,693,200	5,659,583
Expenditures by Object					
Salary and Benefits	2,286,047	2,470,052	3,182,972	2,746,635	2,975,311
Contract Professional Services	68,125	32,500	259,000	591,185	204,000
Operating Supplies and Expenses	91,321	151,986	232,135	190,127	319,219
Assistance And Grants	612,352	843,405	2,154,200	2,154,200	2,150,000
Subtotal: Operating	3,057,845	3,497,943	5,828,307	5,682,147	5,648,530
Capital Purchases And Equipment	12,847	5,938	11,053	11,053	11,053
Subtotal: Other	12,847	5,938	11,053	11,053	11,053
Total Expenditures	3,070,692	3,503,881	5,839,360	5,693,200	5,659,583
Expenditures by Source of Funds					
General Revenue	955,405	784,653	1,081,887	836,849	736,706
Federal Funds	24,310	0	15,448	334,883	15,448
Operating Transfers from Other Funds	2,090,977	2,719,228	4,742,025	4,521,468	4,907,429
Total Expenditures	3,070,692	3,503,881	5,839,360	5,693,200	5,659,583

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Planning

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT CHIEF OF PLANNING	00137A	2.0	170,546	2.0	181,369
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	00144A	1.0	124,064	1.0	131,808
DATA ANALYST I	00134A	0.0	0	1.0	73,612
DATA ANALYST II	00138A	1.0	80,607	1.0	85,269
DATA ANALYST III	00042A	1.0	94,806	1.0	100,288
EXECUTIVE ASSISTANT	00318A	1.0	52,553	1.0	54,484
FISCAL MANAGEMENT OFFICER	00B26A	1.0	65,376	1.0	67,844
GENERAL MANAGER (RI WATER RESOURCES BOARD)	00137A	1.0	90,096	0.0	0
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	73,083	1.0	75,720
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	57,293	1.0	59,433
PRINCIPAL PLANNER	00829A	5.0	341,998	5.0	356,507
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	61,664	1.0	63,993
SENIOR PLANNER	00326A	2.0	123,322	2.0	127,976
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	103,212	0.0	0
SUPERVISING CIVIL ENGINEER (WATER RESOURCES)	00135A	1.0	102,766	0.0	0
SUPERVISING GEOGRAPHIC INFORMATION SYSTEM SPECIALIST	00032A	1.0	68,667	1.0	73,853
SUPERVISING PLANNER	00831A	4.0	311,029	4.0	324,711
Subtotal Classified		25.0	1,921,082	23.0	1,776,867
Subtotal		25.0	1,921,082	23.0	1,776,867
Transfer In			13,587		14,087
Regular Wages			0		73,612
Turnover			(303,282)		(4,895)
Total Salaries			1,631,387		1,786,059
Benefits					
FICA			125,225		136,670
Health Benefits			361,807		360,663
Payroll Accrual			9,465		10,354
Retiree Health			97,862		118,772
Retirement			446,314		488,520
Subtotal			1,040,673		1,114,979
Total Salaries and Benefits		25.0	2,672,060	23.0	2,901,038
Cost Per FTE Position			106,882		126,132

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Planning

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		74,575		74,273
Payroll Costs	25.0	2,746,635	23.0	2,975,311
Purchased Services				
Design and Engineering Services		55,000		0
Management & Consultant Services		536,185		204,000
Subtotal		591,185		204,000
Total Personnel	25.0	3,337,820	23.0	3,179,311
Distribution by Source of Funds				
General Revenue		714,053		489,018
Federal Funds		319,435		0
Operating Transfers from Other Funds		2,304,332		2,690,293
Total All Funds		3,337,820		3,179,311

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2019 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Reduction in Force Savings	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Total Expenditures	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Expenditures by Object					
Salary and Benefits	0	0	0	0	(2,657,000)
Contract Professional Services	0	0	(350,000)	0	0
Operating Supplies and Expenses	0	0	(19,734,559)	(3,200,000)	(14,200,000)
Subtotal: Operating	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Total Expenditures	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Expenditures by Source of Funds					
General Revenue	0	0	(20,084,559)	(3,200,000)	(16,857,000)
Total Expenditures	0	0	(20,084,559)	(3,200,000)	(16,857,000)

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Personnel and Operational Reforms

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Overtime (1.5)		0		(1,000,000)
Total Salaries		0		(1,000,000)
Benefits				
Workers Compensation		0		(1,657,000)
Subtotal		0		(1,657,000)
Total Salaries and Benefits	0.0	0	0.0	(2,657,000)
Cost Per FTE Position		0		
Payroll Costs	0.0	0	0.0	(2,657,000)
Total Personnel	0.0	0	0.0	(2,657,000)
Distribution by Source of Funds				
General Revenue		0		(2,657,000)
Total All Funds		0		(2,657,000)

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals. To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation. To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data. To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission. To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner. To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. . The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups..

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Energy Resources	9,078,262	5,054,728	8,704,012	9,385,996	8,604,102
Total Expenditures	9,078,262	5,054,728	8,704,012	9,385,996	8,604,102
Expenditures by Object					
Salary and Benefits	1,479,181	1,609,589	1,554,539	1,461,810	1,643,662
Contract Professional Services	173,731	186,937	386,712	442,545	367,214
Operating Supplies and Expenses	6,702,642	1,418,139	6,618,856	7,323,408	6,588,226
Assistance And Grants	201,585	1,372,467	138,905	153,233	0
Subtotal: Operating	8,557,139	4,587,132	8,699,012	9,380,996	8,599,102
Capital Purchases And Equipment	521,123	467,596	5,000	5,000	5,000
Subtotal: Other	521,123	467,596	5,000	5,000	5,000
Total Expenditures	9,078,262	5,054,728	8,704,012	9,385,996	8,604,102
Expenditures by Source of Funds					
Federal Funds	626,203	(678,610)	524,820	1,115,539	786,674
Restricted Receipts	8,452,059	5,733,338	8,179,192	8,270,457	7,817,428
Total Expenditures	9,078,262	5,054,728	8,704,012	9,385,996	8,604,102

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	105,864	1.0	109,860
ADMINISTRATOR OF ENERGY PROGRAMS	00137A	2.0	130,088	2.0	185,478
CHIEF IMPLEMENTATION AIDE	00128A	1.0	61,071	1.0	66,440
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	152,210	2.0	157,955
DEPUTY COMMISSIONER- OFFICE OF ENERGY RESOURCES	00145A	1.0	130,410	1.0	137,166
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	203,825	2.0	215,238
PROGRAMMING SERVICES OFFICER	00131A	2.0	135,655	2.0	140,777
Subtotal Classified		11.0	919,123	11.0	1,012,914
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	00843A	1.0	126,188	1.0	130,952
Subtotal Unclassified		1.0	126,188	1.0	130,952
Subtotal		12.0	1,045,311	12.0	1,143,866
Transfer Out			(148,593)		(155,232)
Transfer In			35,394		37,400
Seasonal/Special Salaries/Wages			9,555		9,555
Turnover			(7,868)		0
Total Salaries			933,799		1,035,589
Benefits					
FICA			71,438		79,221
Health Benefits			102,103		131,373
Payroll Accrual			5,381		5,990
Retiree Health			55,268		68,234
Retirement			251,304		280,164
Subtotal			485,494		564,982
Total Salaries and Benefits		12.0	1,419,293	12.0	1,600,571
Cost Per FTE Position			118,274		133,381
Statewide Benefit Assessment			42,517		43,091
Payroll Costs		12.0	1,461,810	12.0	1,643,662
Purchased Services					
Clerical and Temporary Services			1,000		77,980
Management & Consultant Services			75,000		20,000
Other Contracts			300,000		269,234
Training and Educational Services			66,545		0
Subtotal			442,545		367,214

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Energy Resources

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Total Personnel	12.0	1,904,355	12.0	2,010,876
Distribution by Source of Funds				
Federal Funds		858,264		759,132
Restricted Receipts		1,046,091		1,251,744
Total All Funds		1,904,355		2,010,876

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

Mission

To provide for quality construction in all buildings by the adoption of a statewide model and uniform building codes, as well as utilizing licensed and registered contractors. To protect the public from unscrupulous building contractors and regulating the industry in order to assure fairness, safety and transparency. Develop and administer a comprehensive fire code for the State of Rhode Island.

Description

Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The commission conducts a variety of training programs for code officials and building trade's people. Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. The Board establishes standards and enforces the rules and regulations enacted. Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

Statutory History

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes. RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5-65.2 governs the well drillers. RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review. The Governor proposes that the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Construction Permitting, Approvals and Licensing

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	2,913,525	3,166,479	0	0	0
Total Expenditures	2,913,525	3,166,479	0	0	0
Expenditures by Object					
Salary and Benefits	2,694,576	2,750,915	0	0	0
Contract Professional Services	7,930	2,732	0	0	0
Operating Supplies and Expenses	198,388	408,996	0	0	0
Subtotal: Operating	2,900,894	3,162,643	0	0	0
Capital Purchases And Equipment	12,631	3,836	0	0	0
Subtotal: Other	12,631	3,836	0	0	0
Total Expenditures	2,913,525	3,166,479	0	0	0
Expenditures by Source of Funds					
General Revenue	1,696,350	1,963,211	0	0	0
Restricted Receipts	1,217,175	1,203,268	0	0	0
Total Expenditures	2,913,525	3,166,479	0	0	0

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

Mission

The Rhode Island Health Benefits Exchange, branded HealthSource RI, serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by HSRI to best exemplify the goals and values of the organization: 1. HSRI will be a place to compare and buy health insurance. 2. HSRI will work closely with small employers to provide new and beneficial health insurance options. 3. HSRI will be a reliable and trusted source of healthcare information for all Rhode Islanders.

Statutory History

The Patient Protection and Affordable Care Act (Pub. Law 111-148) (the “ACA”) provides for the establishment of a Health Benefits Exchange by each State. The Rhode Island Health Benefits Exchange was established on September 19, 2011 by Executive Order 11-09 and later codified into state law (RIGL 42-157) in the spring of 2015.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	11,836,188	9,656,976	8,921,345	10,600,526	10,203,397
Total Expenditures	11,836,188	9,656,976	8,921,345	10,600,526	10,203,397
Expenditures by Object					
Salary and Benefits	1,786,886	1,708,544	1,874,518	2,259,309	2,498,781
Contract Professional Services	9,765,365	7,310,432	6,468,212	7,652,582	6,666,082
Operating Supplies and Expenses	265,014	629,024	558,615	624,915	1,017,534
Subtotal: Operating	11,817,265	9,648,000	8,901,345	10,536,806	10,182,397
Capital Purchases And Equipment	18,923	8,976	20,000	63,720	21,000
Subtotal: Other	18,923	8,976	20,000	63,720	21,000
Total Expenditures	11,836,188	9,656,976	8,921,345	10,600,526	10,203,397
Expenditures by Source of Funds					
General Revenue	2,625,841	2,524,589	2,363,841	2,360,969	2,755,841
Federal Funds	3,725,884	1,847,569	138,089	144,891	0
Restricted Receipts	5,484,463	5,284,818	6,419,415	8,094,666	7,447,556
Total Expenditures	11,836,188	9,656,976	8,921,345	10,600,526	10,203,397

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	3.0	241,007	3.0	251,769
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	116,049	1.0	120,444
CHIEF PUBLIC AFFAIRS OFFICER	00137A	1.0	86,111	1.0	93,498
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	90,856	1.0	99,047
LEGAL COUNSEL	00132A	1.0	64,862	1.0	69,798
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	75,216	1.0	81,562
SENIOR LEGAL COUNSEL	00134A	1.0	75,633	1.0	83,923
VALUE BASED PURCHASING ANALYST	00139A	1.0	94,910	1.0	100,044
Subtotal Classified		10.0	844,644	10.0	900,085
Unclassified					
ADMINISTRATIVE ASSISTANT	00825A	1.0	53,699	1.0	57,692
CHIEF STRATEGIC PLANNING MONITORING & EVALUATOR (GOV OFFICE)	08343A	1.0	111,534	1.0	120,721
DEPUTY DIRECTOR OF HEALTHSOURCE RI	00845A	1.0	131,109	1.0	136,059
DIRECTOR HEALTHSOURCE RI	00851A	1.0	164,645	1.0	171,832
DIRECTOR OF FINANCE/ASSOCIATE ADMINISTRATOR	00844A	1.0	122,409	1.0	132,097
SENIOR POLICY ANALYST	00839A	1.0	106,486	1.0	110,506
Subtotal Unclassified		6.0	689,882	6.0	728,907
Subtotal		16.0	1,534,526	16.0	1,628,992
Transfer Out			(257,772)		(274,045)
Transfer In			248,448		230,695
Seasonal/Special Salaries/Wages			727		633
Turnover			(90,000)		0
Total Salaries			1,434,526		1,580,435
Benefits					
FICA			107,702		118,516
Health Benefits			167,452		187,973
Payroll Accrual			8,286		9,151
Retiree Health			85,738		105,059
Retirement			390,733		432,365
Subtotal			759,911		853,064
Total Salaries and Benefits		16.0	2,194,437	16.0	2,433,499
Cost Per FTE Position			137,152		152,093

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		64,872		65,282
Payroll Costs	16.0	2,259,309	16.0	2,498,781
Purchased Services				
Information Technology		3,727,138		3,224,284
Legal Services		33,962		33,500
Management & Consultant Services		3,477,386		3,043,948
Other Contracts		414,096		364,350
Subtotal		7,652,582		6,666,082
Total Personnel	16.0	9,911,891	16.0	9,164,863
Distribution by Source of Funds				
General Revenue		2,223,243		2,363,841
Federal Funds		144,891		0
Restricted Receipts		7,543,757		6,801,022
Total All Funds		9,911,891		9,164,863

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island. The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities. The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services. The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals. The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	954,214	1,130,353	1,393,580	1,323,829	1,426,500
Total Expenditures	954,214	1,130,353	1,393,580	1,323,829	1,426,500
Expenditures by Object					
Salary and Benefits	854,525	1,016,961	1,216,270	1,184,176	1,289,277
Contract Professional Services	1,863	250	16,800	16,800	16,800
Operating Supplies and Expenses	93,978	111,166	160,510	122,853	120,423
Subtotal: Operating	950,366	1,128,377	1,393,580	1,323,829	1,426,500
Capital Purchases And Equipment	3,848	1,976	0	0	0
Subtotal: Other	3,848	1,976	0	0	0
Total Expenditures	954,214	1,130,353	1,393,580	1,323,829	1,426,500
Expenditures by Source of Funds					
General Revenue	945,751	1,022,910	1,280,050	1,208,236	1,304,197
Other Funds	8,463	107,443	113,530	115,593	122,303
Total Expenditures	954,214	1,130,353	1,393,580	1,323,829	1,426,500

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

The Office of Diversity, Equity and Opportunity

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Classified					
ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMS	00134A	1.0	72,153	1.0	77,613
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00134A	1.0	84,386	1.0	89,777
ASSOCIATE DIRECTOR- DIVERSITY- EQUITY AND OPPORTUNITY	00142A	1.0	133,835	1.0	138,870
CHIEF IMPLEMENTATION AIDE	00128A	1.0	59,615	1.0	64,517
CHIEF PROGRAM DEVELOPMENT	00134A	2.0	169,825	2.0	176,238
PROGRAMMING SERVICES OFFICER	00131A	3.0	239,817	3.0	254,108
Subtotal Classified		9.0	759,631	9.0	801,123
Subtotal		9.0	759,631	9.0	801,123
Turnover			(20,613)		(513)
Total Salaries			739,018		800,610
Benefits					
FICA			56,935		60,990
Health Benefits			105,529		121,670
Payroll Accrual			4,313		4,640
Retiree Health			44,611		53,240
Retirement			200,670		216,318
Subtotal			412,058		456,858
Total Salaries and Benefits		9.0	1,151,076	9.0	1,257,468
Cost Per FTE Position			127,897		139,719
Statewide Benefit Assessment			33,100		31,809
Payroll Costs		9.0	1,184,176	9.0	1,289,277
Purchased Services					
Management & Consultant Services			10,300		10,300
Training and Educational Services			6,500		6,500
Subtotal			16,800		16,800
Total Personnel		9.0	1,200,976	9.0	1,306,077
Distribution by Source of Funds					
General Revenue			1,085,383		1,183,774
Other Funds			115,593		122,303
Total All Funds			1,200,976		1,306,077

Program Summary

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served. The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

Description

The new Division of Capital Asset Management & Maintenance will oversee: Planning/Design/Construction; Facilities Management & Maintenance; Risk Management; Fire Safety Code Board of Appeals; State Building Commission; and Contractor Registration and Licensing. The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately. The new model creates an Office of Planning, Design and Construction (PDC)--previously referred to as Capital projects--that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies. The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio
- Contracting: Extension, cancellation or execution of new/existing vendor contracts
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed
- Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, et al.

Statutory History

In the FY 2018 revised budget, facilities management shifted to the internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Capital Asset Management And Maintenance Admin	966,884	568,314	579,944	583,802	628,347
Facilities Management And Maintenance	33,968,348	6,453,481	8,493,129	7,596,629	7,585,124
Planning, Design And Construction	1,066,342	1,365,729	1,548,628	1,546,394	1,603,834
Total Expenditures	36,001,574	8,387,524	10,621,701	9,726,825	9,817,305
Expenditures by Object					
Salary and Benefits	12,578,419	1,755,609	1,948,520	1,869,725	2,043,764
Contract Professional Services	3,450,561	14,050	6,500	108,000	38,000
Operating Supplies and Expenses	19,892,616	5,485,025	8,656,681	7,739,100	7,725,541
Assistance And Grants	0	28,588	0	0	0
Subtotal: Operating	35,921,596	7,283,272	10,611,701	9,716,825	9,807,305
Capital Purchases And Equipment	79,978	10,463	10,000	10,000	10,000
Operating Transfers	0	1,093,789	0	0	0
Subtotal: Other	79,978	1,104,252	10,000	10,000	10,000
Total Expenditures	36,001,574	8,387,524	10,621,701	9,726,825	9,817,305
Expenditures by Source of Funds					
General Revenue	29,899,094	7,293,735	10,621,701	9,726,825	9,817,305
Federal Funds	1,504,385	278,536	0	0	0
Restricted Receipts	1,035,474	17,232	0	0	0
Other Funds	3,562,621	798,021	0	0	0
Total Expenditures	36,001,574	8,387,524	10,621,701	9,726,825	9,817,305

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ARCHITECT BUILDING COMMISSION	00335A	2.0	168,795	2.0	175,166
CHIEF OF PLANNING- CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	116,050	1.0	120,431
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	108,140	1.0	117,285
DIVISION DIRECTOR- CAPITAL ASSET MANAGEMENT AND MAINT	00152A	1.0	160,275	1.0	166,326
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	79,942	1.0	92,955
PROJECT MANAGER I (DOA)	00137A	3.0	256,227	3.0	278,226
PROJECT MANAGER II (DOA)	00139A	3.0	305,655	3.0	320,290
Subtotal Classified		12.0	1,195,084	12.0	1,270,679
Subtotal		12.0	1,195,084	12.0	1,270,679
Overtime (1.5)			0		37,179
Seasonal/Special Salaries/Wages			29,077		36,000
Turnover			(12,838)		0
Total Salaries			1,211,323		1,343,858
Benefits					
FICA			91,878		98,004
Health Benefits			110,432		113,006
Payroll Accrual			6,908		7,366
Retiree Health			71,465		84,500
Retirement			324,889		346,836
Subtotal			605,572		649,712
Total Salaries and Benefits		12.0	1,816,895	12.0	1,993,570
Cost Per FTE Position			151,408		166,131
Statewide Benefit Assessment			52,830		50,194
Payroll Costs		12.0	1,869,725	12.0	2,043,764
Purchased Services					
Clerical and Temporary Services			20,000		20,000
Design and Engineering Services			6,500		6,500
Legal Services			70,000		0
Other Contracts			7,000		7,000
Training and Educational Services			4,500		4,500
Subtotal			108,000		38,000
Total Personnel		12.0	1,977,725	12.0	2,081,764

Personnel

Agency: DEPARTMENT OF ADMINISTRATION

Capital Asset Management and Maintenance

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,977,725		2,081,764
Total All Funds		1,977,725		2,081,764

Agency Summary

DEPARTMENT OF BUSINESS REGULATION

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Building, Design and Fire Professionals, Commercial Licensing and Gaming and Athletics Licensing, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, Chief of Intoxicating Beverages, and State Boxing Commissioner. The Department also houses other commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, the Certified Constables' Board, and the Racing and Athletics Hearing Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

Budget

DEPARTMENT OF BUSINESS REGULATION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Banking Regulation	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
Securities Regulation	897,138	957,930	1,030,879	1,062,926	1,098,495
Insurance Regulation	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
Board of Accountancy	6,046	5,332	6,000	5,887	5,883
Commercial Licensing and Gaming and Athletics Licensing	2,097,854	1,968,154	2,880,397	2,946,546	1,927,476
Boards for Design Professionals	355,606	5	0	0	0
Office of Health Insurance Commissioner	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
Division of Building, Design and Fire Professionals	0	328,201	7,975,651	8,438,567	9,367,901
Office of Cannabis Regulation	0	0	0	0	5,562,901
Total Expenditures	14,129,016	14,702,783	24,509,397	25,145,467	30,770,515
Expenditures by Object					
Salary And Benefits	10,737,990	10,926,490	18,066,649	18,042,668	21,308,118
Contract Professional Services	2,640,895	2,099,000	2,516,682	2,750,182	2,667,857
Operating Supplies And Expenses	635,160	1,658,883	3,774,100	3,714,162	5,880,802
Assistance And Grants	0	0	80,000	80,000	330,000
Subtotal: Operating	14,014,045	14,684,373	24,437,431	24,587,012	30,186,777
Capital Purchases And Equipment	114,971	18,410	71,966	558,455	583,738
Subtotal: Other	114,971	18,410	71,966	558,455	583,738
Total Expenditures	14,129,016	14,702,783	24,509,397	25,145,467	30,770,515
Expenditures by Source of Funds					
General Revenue	9,864,377	10,534,356	17,430,457	16,864,098	18,508,156
Federal Funds	1,645,328	1,022,931	892,631	1,346,487	765,694
Restricted Receipts	2,619,311	3,145,496	6,119,812	6,379,524	11,115,466
Operating Transfers From Other Funds	0	0	66,497	555,358	381,199
Total Expenditures	14,129,016	14,702,783	24,509,397	25,145,467	30,770,515
FTE Authorization	100.0	106.0	161.0	162.0	187.0

Personnel Agency Summary

DEPARTMENT OF BUSINESS REGULATION

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	140.0	9,227,513	164.0	11,340,802
Unclassified	22.0	1,952,651	23.0	1,932,176
Subtotal	162.0	11,180,164	187.0	13,272,978
Regular Wages		0		1,573,744
Salaries Adjustment		0		(98,658)
Overtime (1.5)		139,685		150,232
Seasonal/Special Salaries/Wages		47,579		47,579
Turnover		(330,754)		(460,722)
Total Salaries		11,036,674		12,906,476
Benefits				
Contract Stipends		9,300		9,300
FICA		852,640		1,019,902
Health Benefits		1,846,543		2,230,178
Payroll Accrual		63,736		76,683
Retiree Health		654,252		867,175
Retirement		3,093,475		3,675,276
Subtotal		6,519,946		7,878,514
Total Salaries and Benefits	162.0	17,556,620	187.0	20,784,990
Cost Per FTE Position		108,374		111,150
Statewide Benefit Assessment		486,048		523,128
Payroll Costs	162.0	18,042,668	187.0	21,308,118
Purchased Services				
Buildings and Ground Maintenance		1,185		1,185
Clerical and Temporary Services		5,208		5,208
Design and Engineering Services		4,200		4,200
Information Technology		78,000		40,700
Management & Consultant Services		2,436,423		2,291,398
Medical Services		12,439		12,439
Other Contracts		31,798		131,798
Training and Educational Services		180,929		180,929
Subtotal		2,750,182		2,667,857
Total Personnel	162.0	20,792,850	187.0	23,975,975
Distribution by Source of Funds				
General Revenue		14,783,252		16,151,815
Federal Funds		1,031,459		461,276
Restricted Receipts		4,912,498		7,291,685
Operating Transfers from Other Funds		65,641		71,199
Total All Funds		20,792,850		23,975,975

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	57.00	30.00	40.00	40.00	40.00
Actual	57.00	52.00	27.00	52.00	

Office of the Health Insurance Commissioner -- Small Group Premium Base Rates

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	0.50%	4.00%	4.00%	4.00%	4.00%
Actual	0.50%	8.50%	1.60%	9.00%	

Timeliness of Design Professionals License Issuance

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	94.00	75.00	60.00	60.00	60.00
Actual	94.00	73.00	50.00	73.00	

OHIC -- Individual Market Premium Base Rates

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	-2.50%	4.50%	4.50%	0.00%	0.00%
Actual	-2.50%	6.50%	0.00%	6.50%	

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	61.70%	73.00%	73.00%	73.00%	73.00%
Actual	61.70%	56.20%	60.48%	56.20%	

Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	88.00	95.00	95.00	90.00	90.00
Actual	88.00	88.20	89.57	88.18	

Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	80.10	90.00	90.00	90.00	90.00
Actual	80.10	81.60	84.24	81.56	

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	5.00	8.00	8.00	9.00	9.00
Actual	5.00	7.00	10.00	7.21	

Performance Measures

DEPARTMENT OF BUSINESS REGULATION

OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	16.70%	20.50%	20.50%	20.50%	20.50%
Actual	16.70%	17.60%	14.91%	17.70%	

OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	31.00%	40.00%	50.00%	50.00%	50.00%
Actual	31.00%	45.00%	50.00%	40.00%	

OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	4.90%	4.50%	4.00%	4.00%	4.00%
Actual	4.90%	9.70%	9.20%	9.70%	

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Total Expenditures	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Expenditures by Object					
Salary and Benefits	1,064,464	1,021,569	1,194,249	1,319,159	1,469,380
Contract Professional Services	21,996	48,207	30,758	30,758	30,758
Operating Supplies and Expenses	154,157	1,206,992	1,169,782	1,048,792	1,027,411
Subtotal: Operating	1,240,617	2,276,768	2,394,789	2,398,709	2,527,549
Capital Purchases And Equipment	11,994	844	2,037	2,037	2,037
Subtotal: Other	11,994	844	2,037	2,037	2,037
Total Expenditures	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Expenditures by Source of Funds					
General Revenue	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Total Expenditures	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	111,539	1.0	115,751
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	103,039	1.0	110,239
CHIEF PREAUDIT SUPERVISOR	00131A	1.0	81,266	1.0	85,967
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	90,096	1.0	93,498
LEGAL ASSISTANT	00119A	1.0	40,327	1.0	41,118
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	80,782	1.0	88,562
SENIOR LEGAL COUNSEL	00134A	2.0	157,526	2.0	163,474
Subtotal Classified		8.0	664,575	8.0	698,609
Unclassified					
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	20945F	1.0	135,000	1.0	135,000
EXECUTIVE SECRETARY	00819A	1.0	45,639	1.0	48,586
PROGRAM MANAGER	00828A	1.0	84,984	1.0	88,063
Subtotal Unclassified		3.0	265,623	3.0	271,649
Subtotal		11.0	930,198	11.0	970,258
Transfer Out			(60,682)		(62,953)
Turnover			(68,085)		(11,133)
Total Salaries			801,431		896,172
Benefits					
FICA			62,010		68,557
Health Benefits			145,746		159,161
Payroll Accrual			4,686		5,192
Retiree Health			48,477		59,595
Retirement			220,975		245,302
Subtotal			481,894		537,807
Total Salaries and Benefits		11.0	1,283,325	11.0	1,433,979
Cost Per FTE Position			116,666		130,362
Statewide Benefit Assessment			35,834		35,401
Payroll Costs		11.0	1,319,159	11.0	1,469,380
Purchased Services					
Other Contracts			30,758		30,758
Subtotal			30,758		30,758
Total Personnel		11.0	1,349,917	11.0	1,500,138

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,349,917		1,500,138
Total All Funds		1,349,917		1,500,138

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators. R.I. Gen. Laws § 19-14.11 relates to the licensing of third party loan servicers.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
Total Expenditures	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
Expenditures by Object					
Salary and Benefits	1,457,446	1,316,767	1,742,111	1,542,694	1,642,018
Contract Professional Services	2,549	1,312	1,360	1,360	1,360
Operating Supplies and Expenses	80,080	73,322	86,846	86,449	86,441
Subtotal: Operating	1,540,075	1,391,401	1,830,317	1,630,503	1,729,819
Capital Purchases And Equipment	7,911	3,340	5,000	5,000	5,000
Subtotal: Other	7,911	3,340	5,000	5,000	5,000
Total Expenditures	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
Expenditures by Source of Funds					
General Revenue	1,475,300	1,325,902	1,760,317	1,560,503	1,659,819
Restricted Receipts	72,686	68,839	75,000	75,000	75,000
Total Expenditures	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Banking Regulation

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Classified					
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	3.0	257,539	3.0	273,829
BANK EXAMINER	0AB24A	2.0	96,095	2.0	103,018
LICENSING AIDE	0AB15A	1.0	47,125	1.0	48,904
PRINCIPAL BANK EXAMINER	0AB31A	1.0	66,651	1.0	71,950
SENIOR BANK EXAMINER	0AB28A	6.0	364,618	6.0	390,085
STATE CHIEF BANK EXAMINER	00139A	1.0	109,145	1.0	113,149
Subtotal Classified		14.0	941,173	14.0	1,000,935
Subtotal		14.0	941,173	14.0	1,000,935
Seasonal/Special Salaries/Wages			11,500		11,500
Turnover			(24,334)		(14,978)
Total Salaries			928,339		997,457
Benefits					
FICA			71,982		76,513
Health Benefits			183,896		183,815
Payroll Accrual			5,372		5,729
Retiree Health			55,422		65,564
Retirement			256,713		273,994
Subtotal			573,385		605,615
Total Salaries and Benefits		14.0	1,501,724	14.0	1,603,072
Cost Per FTE Position			107,266		114,505
Statewide Benefit Assessment			40,970		38,946
Payroll Costs		14.0	1,542,694	14.0	1,642,018
Purchased Services					
Clerical and Temporary Services			720		720
Other Contracts			640		640
Subtotal			1,360		1,360
Total Personnel		14.0	1,544,054	14.0	1,643,378
Distribution by Source of Funds					
General Revenue			1,544,054		1,643,378
Total All Funds			1,544,054		1,643,378

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2016, the division processed approximately 131,256 licenses and 14,844 registrations, conducted fifteen (15) on-site examinations of broker dealers and investment advisers, investigated 31 complaints, and instituted Five (5) enforcement actions, pursuant to applicable state and federal laws and regulations.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	897,138	957,930	1,030,879	1,062,926	1,098,495
Total Expenditures	897,138	957,930	1,030,879	1,062,926	1,098,495
Expenditures by Object					
Salary and Benefits	848,267	939,555	1,001,260	1,033,691	1,069,268
Contract Professional Services	21,419	2,820	0	0	0
Operating Supplies and Expenses	27,452	15,555	29,619	29,235	29,227
Subtotal: Operating	897,138	957,930	1,030,879	1,062,926	1,098,495
Total Expenditures	897,138	957,930	1,030,879	1,062,926	1,098,495
Expenditures by Source of Funds					
General Revenue	896,878	957,912	1,015,879	1,047,926	1,083,495
Restricted Receipts	260	18	15,000	15,000	15,000
Total Expenditures	897,138	957,930	1,030,879	1,062,926	1,098,495

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Securities Regulation

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	43,875	1.0	46,745
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	45,115	1.0	45,115
CHIEF SECURITIES EXAMINER	00137A	1.0	90,575	1.0	96,308
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	147,724	1.0	153,090
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	75,818	1.0	78,682
SECURITIES EXAMINER	0AB24A	3.0	151,201	3.0	162,468
SENIOR SECURITIES EXAMINER	0AB28A	1.0	70,594	1.0	73,259
Subtotal Classified		9.0	624,902	9.0	655,667
Subtotal		9.0	624,902	9.0	655,667
Turnover			(6,489)		(9,629)
Total Salaries			618,413		646,038
Benefits					
FICA			46,811		48,280
Health Benefits			130,686		127,121
Payroll Accrual			3,614		3,744
Retiree Health			37,371		42,963
Retirement			169,173		175,602
Subtotal			387,655		397,710
Total Salaries and Benefits		9.0	1,006,068	9.0	1,043,748
Cost Per FTE Position			111,785		115,972
Statewide Benefit Assessment			27,623		25,520
Payroll Costs		9.0	1,033,691	9.0	1,069,268
Total Personnel		9.0	1,033,691	9.0	1,069,268
Distribution by Source of Funds					
General Revenue			1,033,691		1,069,268
Total All Funds			1,033,691		1,069,268

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
Total Expenditures	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
Expenditures by Object					
Salary and Benefits	3,704,792	3,794,351	4,121,314	3,866,080	4,106,531
Contract Professional Services	1,025,718	1,207,786	1,635,421	1,635,421	1,635,421
Operating Supplies and Expenses	157,114	118,705	206,232	185,879	185,819
Subtotal: Operating	4,887,624	5,120,842	5,962,967	5,687,380	5,927,771
Capital Purchases And Equipment	9,302	5,246	3,500	3,500	3,500
Subtotal: Other	9,302	5,246	3,500	3,500	3,500
Total Expenditures	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
Expenditures by Source of Funds					
General Revenue	3,588,377	3,639,227	3,971,607	3,688,858	3,919,342
Restricted Receipts	1,308,549	1,486,861	1,994,860	2,002,022	2,011,929
Total Expenditures	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	59,365	1.0	61,569
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	2.0	258,811	2.0	268,437
CHIEF INSURANCE EXAMINER	00139A	1.0	115,660	1.0	119,937
CHIEF OF LEGAL SERVICES	00139A	1.0	96,757	1.0	102,959
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00146A	1.0	145,288	1.0	153,537
INSURANCE ANALYST	0AB24A	3.0	156,888	3.0	166,748
INSURANCE EXAMINER	0AB24A	5.0	237,599	5.0	257,377
INSURANCE EXAMINER-IN-CHARGE	0AB36A	4.0	383,239	4.0	400,630
LICENSING AIDE	0AB15A	4.0	166,569	4.0	174,445
PRINCIPAL INSURANCE ANALYST	0AB31A	3.0	233,877	3.0	242,467
PRINCIPAL INSURANCE EXAMINER	0AB31A	2.0	141,681	2.0	149,290
SENIOR ACCOUNTANT	0AB23A	1.0	58,010	1.0	60,200
SENIOR INSURANCE ANALYST	0AB28A	1.0	65,820	1.0	69,704
SENIOR INSURANCE EXAMINER	0AB28A	5.0	311,459	5.0	331,466
Subtotal Classified		34.0	2,431,023	34.0	2,558,766
Subtotal		34.0	2,431,023	34.0	2,558,766
Seasonal/Special Salaries/Wages			20,700		20,700
Turnover			(73,810)		(33,969)
Total Salaries			2,377,913		2,545,497
Benefits					
FICA			183,677		194,379
Health Benefits			385,695		384,812
Payroll Accrual			13,812		14,688
Retiree Health			142,359		167,900
Retirement			657,129		699,141
Subtotal			1,382,672		1,460,920
Total Salaries and Benefits		34.0	3,760,585	34.0	4,006,417
Cost Per FTE Position			110,605		117,836
Statewide Benefit Assessment			105,495		100,114
Payroll Costs		34.0	3,866,080	34.0	4,106,531
Purchased Services					
Clerical and Temporary Services			2,648		2,648
Management & Consultant Services			1,622,773		1,622,773

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Insurance Regulation

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Training and Educational Services		10,000		10,000
Subtotal		1,635,421		1,635,421
Total Personnel	34.0	5,501,501	34.0	5,741,952
Distribution by Source of Funds				
General Revenue		3,610,039		3,840,583
Restricted Receipts		1,891,462		1,901,369
Total All Funds		5,501,501		5,741,952

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Board of Accountancy

Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Board of Accountancy

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	6,046	5,332	6,000	5,887	5,883
Total Expenditures	6,046	5,332	6,000	5,887	5,883
Expenditures by Object					
Contract Professional Services	189	250	0	0	0
Operating Supplies and Expenses	5,857	5,082	6,000	5,887	5,883
Subtotal: Operating	6,046	5,332	6,000	5,887	5,883
Total Expenditures	6,046	5,332	6,000	5,887	5,883
Expenditures by Source of Funds					
General Revenue	6,046	5,332	6,000	5,887	5,883
Total Expenditures	6,046	5,332	6,000	5,887	5,883

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I. General Law §5-58 relates to auctioneers; R.I. General Law §5-20.5 relates to real estate; R.I. General Law §5-20.7 relates to real estate appraisers; R.I. General Law §5-38 relates to automobile body repair shops; R.I. General Law §5-50 relates to pre-opening of health club sales campaigns; R.I. General Law §6-31 relates to unit pricing; R.I. General Laws §§23-26 relate to bedding and upholstered furniture; R.I. General Laws §§31-44 and §31-44.1 relate to mobile and manufactured homes; R.I. General Law §42-14.2 relates to auto wrecking and salvage yards; R.I. General Laws §§31-37 relate to advertising and sale of motor fuel at retail, R.I. General Law §31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law §3-1 relates to alcoholic beverages. R.I. General Law §21-28.6 relates to the Medical Marijuana Program; R.I. General Law §2-26 relates to industrial hemp. relates to auctioneers. R.I. General Law §41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	2,097,854	1,968,154	2,880,397	2,946,546	1,927,476
Total Expenditures	2,097,854	1,968,154	2,880,397	2,946,546	1,927,476
Expenditures by Object					
Salary and Benefits	1,768,228	1,829,218	2,144,470	2,162,939	1,715,473
Contract Professional Services	147,421	10,361	21,040	21,040	1,040
Operating Supplies and Expenses	116,135	125,216	576,686	624,366	127,762
Assistance And Grants	0	0	80,000	80,000	80,000
Subtotal: Operating	2,031,784	1,964,795	2,822,196	2,888,345	1,924,275
Capital Purchases And Equipment	66,070	3,359	58,201	58,201	3,201
Subtotal: Other	66,070	3,359	58,201	58,201	3,201
Total Expenditures	2,097,854	1,968,154	2,880,397	2,946,546	1,927,476
Expenditures by Source of Funds					
General Revenue	871,522	845,173	955,251	924,952	976,519
Restricted Receipts	1,226,332	1,122,981	1,925,146	2,021,594	950,957
Total Expenditures	2,097,854	1,968,154	2,880,397	2,946,546	1,927,476

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Classified					
ADMINISTRATIVE OFFICER	0AB24A	1.0	50,052	0.0	0
ADMINISTRATOR REAL ESTATE	00135A	1.0	95,949	1.0	99,432
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	00141A	1.0	124,687	1.0	129,299
CHIEF LICENSING EXAMINER-RACING & ATHLETICS	00137A	1.0	84,897	1.0	91,296
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	3.0	236,219	1.0	81,754
FISCAL CLERK	03714A	1.0	36,139	1.0	38,349
IMPLEMENTATION AIDE	0AB22A	1.0	59,536	1.0	61,784
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	101,319	0.0	0
LEGAL COUNSEL	00132A	1.0	67,277	0.0	0
LICENSING AIDE	00315A	1.0	37,345	1.0	40,286
LICENSING AIDE	0AB15A	3.0	121,733	3.0	127,976
PARI-MUTUEL OPERATIONS SPECIALIST	03726A	4.0	214,267	4.0	228,801
SYSTEMS ANALYST	0AB24A	1.0	55,195	1.0	59,645
Subtotal Classified		20.0	1,284,615	15.0	958,622
Subtotal		20.0	1,284,615	15.0	958,622
Transfer In			60,682		62,953
Regular Wages			0		(386,777)
Seasonal/Special Salaries/Wages			15,379		15,379
Turnover			(37,864)		(9,682)
Total Salaries			1,322,812		1,027,272
Benefits					
FICA			102,856		79,819
Health Benefits			208,907		191,823
Payroll Accrual			7,698		5,977
Retiree Health			78,523		67,292
Retirement			382,719		302,227
Subtotal			780,703		647,138
Total Salaries and Benefits		20.0	2,103,515	15.0	1,674,410
Cost Per FTE Position			105,176		111,627
Statewide Benefit Assessment			59,424		41,063
Payroll Costs		20.0	2,162,939	15.0	1,715,473
Purchased Services					
Clerical and Temporary Services			640		640

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Commercial Licensing and Gaming and Athletics Licensing

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Management & Consultant Services		20,000		0
Other Contracts		400		400
Subtotal		21,040		1,040
Total Personnel	20.0	2,183,979	15.0	1,716,513
Distribution by Source of Funds				
General Revenue		914,646		966,216
Restricted Receipts		1,269,333		750,297
Total All Funds		2,183,979		1,716,513

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Boards for Design Professionals

Mission

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Description

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints. In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards— The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous. The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws. The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Boards for Design Professionals

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	355,606	5	0	0	0
Total Expenditures	355,606	5	0	0	0
Expenditures by Object					
Salary and Benefits	285,942	7	0	0	0
Contract Professional Services	13,032	1	0	0	0
Operating Supplies and Expenses	56,059	(3)	0	0	0
Subtotal: Operating	355,033	5	0	0	0
Capital Purchases And Equipment	573	0	0	0	0
Subtotal: Other	573	0	0	0	0
Total Expenditures	355,606	5	0	0	0
Expenditures by Source of Funds					
General Revenue	355,606	5	0	0	0
Total Expenditures	355,606	5	0	0	0

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

Mission

While ensuring the solvency of health insurers, the Office of the Health Insurance Commissioner strives to protect consumers, encourage the fair treatment of providers, and work collaboratively with all interested parties to improve the health care system's quality, accessibility, and affordability.

Description

The Office of the Health Insurance Commissioner (OHIC) is the first state agency dedicated solely to health insurance oversight – balancing traditional regulation with policy development. OHIC's core functions include: (1) consumer protection and market conduct; (2) health plan form and rate review across multiple health insurance lines of business (3) statutory and regulatory enforcement; and (4) policy development for health care system reform. OHIC oversees health insurer business practices in a market comprising over one billion dollars of premium. The Department of Business Regulation shares certain administrative and regulatory services and personnel with the Office of the Health Insurance Commissioner as directed by statute.

Statutory History

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the R.I.G.L. Titles 27 and 42.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
Total Expenditures	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
Expenditures by Object					
Salary and Benefits	1,608,851	1,754,370	1,696,161	2,025,004	1,861,622
Contract Professional Services	1,408,571	826,479	638,150	830,950	648,625
Operating Supplies and Expenses	38,306	58,250	80,321	108,458	101,936
Subtotal: Operating	3,055,728	2,639,099	2,414,632	2,964,412	2,612,183
Capital Purchases And Equipment	19,121	5,621	3,228	0	0
Subtotal: Other	19,121	5,621	3,228	0	0
Total Expenditures	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
Expenditures by Source of Funds					
General Revenue	1,418,037	1,483,193	1,669,562	1,683,813	1,747,106
Federal Funds	1,645,328	1,022,931	513,791	967,647	386,854
Restricted Receipts	11,484	138,596	234,507	312,952	478,223
Total Expenditures	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Health Insurance Commissioner

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE OFFICER	00722A	1.0	48,940	1.0	52,471
ASSISTANT DIRECTOR POLICY OFFICE	00839A	1.0	106,486	1.0	2,537
DEPUTY EXECUTIVE ASSISTANT/ COMMUNICATIONS	00841A	1.0	127,965	1.0	132,796
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	1.0	127,965	1.0	132,796
EXECUTIVE DIRECTOR	00836A	1.0	94,935	1.0	101,792
HEALTH ECONOMIC SPECIALIST	00831A	2.0	142,531	2.0	153,309
HEALTH INSURANCE COMMISSIONER	00854A	1.0	230,572	1.0	246,901
PRINCIPAL POLICY ASSOCIATE	00837A	3.0	274,199	3.0	220,834
SENIOR POLICY ANALYST/PUBLIC INFORMATION	00831A	2.0	141,293	2.0	151,959
Subtotal Unclassified		13.0	1,294,886	13.0	1,195,395
Subtotal		13.0	1,294,886	13.0	1,195,395
Turnover			(7,041)		0
Total Salaries			1,287,845		1,195,395
Benefits					
FICA			93,133		84,697
Health Benefits			143,422		115,129
Payroll Accrual			7,496		6,941
Retiree Health			77,434		79,493
Retirement			357,392		331,643
Subtotal			678,877		617,903
Total Salaries and Benefits		13.0	1,966,722	13.0	1,813,298
Cost Per FTE Position			151,286		139,484
Statewide Benefit Assessment			58,282		48,324
Payroll Costs		13.0	2,025,004	13.0	1,861,622
Purchased Services					
Information Technology			37,300		0
Management & Consultant Services			793,650		648,625
Subtotal			830,950		648,625
Total Personnel		13.0	2,855,954	13.0	2,510,247
Distribution by Source of Funds					
General Revenue			1,613,060		1,680,765
Federal Funds			950,842		380,659
Restricted Receipts			292,052		448,823
Total All Funds			2,855,954		2,510,247

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

Description

The Building, Design and Fire Professionals division's purpose is to bring together the state review, regulation, and enforcement associated with all professions related to building and construction design, inspection, and enforcement of the building and fire codes. The division will improve the business climate in the state by providing a single point of contact for building and construction professionals seeking authorization from the state. The division is comprised of Building Code Commission; the Contractors' Registration and Licensing Board; the Fire Code Safety Board of Appeal and Review; the Boards for professional engineers, land surveyors, landscape architects and architects; and the Office of the State Fire Marshal.

The Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

The Boards for Design Professionals is composed of the Boards of Registration for Professional Engineers, Professional Land Surveyors, Landscape Architects and Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation, and fire education. The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation, and fire education.

Statutory History

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes. RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5- 65.2 governs the well drillers. RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review. R.I.G.L.23-28 establishes the Rhode Island State Fire Marshal and defines its duties. Title 5, Chapter 8.1 establishes the Boards of Engineers Land Surveyors, The Board of Architects was established by Title 5, Chapter 1. The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws of 1975.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Contractor's Registration and Licensing Board	0	328,201	1,663,133	1,740,790	1,809,290
Fire Code Board of Appeal and Review	0	0	351,151	340,241	348,370
Fire Marshal	0	0	4,390,404	4,799,307	4,987,056
State Building Code Commission	0	0	1,570,963	1,558,229	2,223,185
Total Expenditures	0	328,201	7,975,651	8,438,567	9,367,901
Expenditures by Object					
Salary and Benefits	0	270,653	6,167,084	6,093,101	6,902,526
Contract Professional Services	0	1,784	189,953	230,653	230,653
Operating Supplies and Expenses	0	55,764	1,618,614	1,625,096	1,924,722
Subtotal: Operating	0	328,201	7,975,651	7,948,850	9,057,901
Capital Purchases And Equipment	0	0	0	489,717	310,000
Subtotal: Other	0	0	0	489,717	310,000
Total Expenditures	0	328,201	7,975,651	8,438,567	9,367,901
Expenditures by Source of Funds					
General Revenue	0	0	5,655,015	5,551,413	6,586,406
Federal Funds	0	0	378,840	378,840	378,840
Restricted Receipts	0	328,201	1,875,299	1,952,956	2,021,456
Operating Transfers from Other Funds	0	0	66,497	555,358	381,199
Total Expenditures	0	328,201	7,975,651	8,438,567	9,367,901

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ARCHITECT BUILDING COMMISSION	00335A	1.0	86,456	1.0	89,719
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03621A	2.0	87,897	2.0	93,605
CHIEF DEPUTY FIRE MARSHAL	00132A	2.0	149,692	2.0	155,344
CHIEF OF FIRE INVESTIGATIONS	03627A	1.0	58,667	1.0	60,882
CHIEF OF FIRE SAFETY INSPECTIONS	03627A	1.0	68,934	1.0	71,536
CHIEF OF INSPECTIONS	00135A	5.0	429,479	5.0	462,858
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	03627A	1.0	54,933	1.0	59,583
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/MECH INSP)	00332A	1.0	83,975	1.0	87,038
CLERK SECRETARY	04016A	1.0	42,057	1.0	43,645
DATA ANALYST	00134A	0.0	0	1.0	75,312
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	107,949	1.0	117,088
DIRECTOR OF FIRE TRAINING	00134A	1.0	80,869	1.0	83,923
ECONOMIC AND POLICY ANALYST I	00130A	0.0	0	3.0	193,824
ENFORCEMENT AIDE	00319A	1.0	54,732	1.0	57,026
EXECUTIVE ASSISTANT	00118A	1.0	46,603	1.0	48,363
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	03640A	1.0	106,141	1.0	110,149
EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03626A	2.0	118,165	2.0	122,627
FIRE INVESTIGATOR	03621A	3.0	136,112	3.0	142,781
FIRE SAFETY INSPECTOR	03617A	11.0	422,989	11.0	450,302
FIRE SAFETY TRAINING OFFICER	03627A	2.0	123,201	2.0	127,852
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	00334A	1.0	95,490	1.0	99,016
IMPLEMENTATION AIDE	00322A	4.0	226,084	4.0	236,326
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	96,083
LEGAL COUNSEL	00132A	0.0	0	1.0	69,798
LICENSING AIDE	00315A	1.0	48,623	2.0	89,932
LICENSING AIDE	03615A	1.0	38,546	1.0	40,001
PRINCIPAL PLANNER	00329A	0.0	0	1.0	61,820
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	00331A	1.0	71,110	1.0	73,795
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	00331A	1.0	66,627	1.0	72,240
PROGRAMMING SERVICES OFFICER	00131A	1.0	72,034	1.0	74,754
SENIOR FIRE INVESTIGATOR	03623A	1.0	51,455	1.0	53,351
SENIOR FIRE SAFETY INSPECTOR	03619A	4.0	177,780	4.0	184,492

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	00328A	1.0	63,486	1.0	65,883
STATE BUILDING CODE COMMISSIONER	00142A	1.0	111,139	1.0	115,335
Subtotal Classified		55.0	3,281,225	63.0	3,986,283
Unclassified					
ADMINISTRATIVE AIDE	04514A	1.0	41,494	1.0	43,060
ADMINISTRATIVE ASSISTANT	00323A	2.0	109,707	2.0	116,978
ADMINISTRATIVE SUPPORT SPECIALIST	00324A	1.0	71,584	1.0	74,244
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	53,773	1.0	55,762
STATE FIRE MARSHAL	00843A	1.0	115,584	1.0	125,053
Subtotal Unclassified		6.0	392,142	6.0	415,097
Subtotal		61.0	3,673,367	69.0	4,401,380
Regular Wages			0		440,245
Salaries Adjustment			0		(98,658)
Overtime (1.5)			139,685		150,232
Turnover			(113,131)		(381,331)
Total Salaries			3,699,921		4,066,690
Benefits					
Contract Stipends			9,300		9,300
FICA			292,171		350,456
Health Benefits			648,191		771,100
Payroll Accrual			21,058		25,532
Retiree Health			214,666		282,493
Retirement			1,049,374		1,227,542
Subtotal			2,234,760		2,666,423
Total Salaries and Benefits		61.0	5,934,681	69.0	6,733,113
Cost Per FTE Position			97,290		97,581
Statewide Benefit Assessment			158,420		169,413
Payroll Costs		61.0	6,093,101	69.0	6,902,526
Purchased Services					
Buildings and Ground Maintenance			1,185		1,185
Clerical and Temporary Services			1,200		1,200
Design and Engineering Services			4,200		4,200
Information Technology			40,700		40,700
Medical Services			12,439		12,439

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Division of Building, Design and Fire Professionals

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Training and Educational Services		170,929		170,929
Subtotal		230,653		230,653
Total Personnel	61.0	6,323,754	69.0	7,133,179
Distribution by Source of Funds				
General Revenue		4,717,845		5,451,467
Federal Funds		80,617		80,617
Restricted Receipts		1,459,651		1,529,896
Operating Transfers from Other Funds		65,641		71,199
Total All Funds		6,323,754		7,133,179

Program Summary

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Cannabis Regulation

Mission

The Office of Cannabis Regulation (OCR) is charged with regulating adult use marijuana, medical marijuana, and industrial hemp in order to safeguard public health, maintain public safety, and prevent youth access.

Description

OCR has oversight over legal cannabis in the state, which is comprised of three elements: adult use marijuana, medical marijuana, and industrial hemp. The FY 2017 enacted budget moved regulation of the commercial aspects of the state's medical marijuana program from the Department of Health to the Department of Business Regulation. This includes overseeing the state's medical marijuana dispensaries (known as compassion centers); medical marijuana cultivators; caregivers who can grow marijuana on behalf of patients; and patients who choose to grow for themselves. The 2016 General Assembly passed the Hemp Growth Act, which established DBR as the regulator of hemp growers and handlers. The FY 2020 budget proposes to consolidate these functions, along with oversight over the new adult use marijuana market, under OCR.

As the regulator of adult use marijuana, OCR is tasked with licensing marijuana cultivators, processors, and retailers. OCR processes license application, conducts inspections, and undertakes enforcement actions when needed. OCR works alongside the Division of Taxation to ensure proper collection of marijuana excise and sales taxes, and to license and collect tax from entities selling cannabidiol (CBD) products derived from industrial hemp. OCR aids local and state law enforcement in the proper enforcement of the state's cannabis laws. OCR also helps the Department of Public Health and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals to address the prevention, treatment, and public health aspects of a legal cannabis market.

Statutory History

The Governor's FY 2020 budget proposes adding R.I. Gen. Laws Chapter 21-28.11 to establish OCR. R.I. Gen. Laws Chapter 21-28.6 establishes DBR's role as the regulator of the commercial medical marijuana market. R.I. Gen. Laws Chapter 2-26 gives DBR oversight over industrial hemp.

Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Cannabis Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Adult Use Marijuana Program	0	0	0	0	4,380,515
Medical Marijuana Program	0	0	0	0	1,182,386
Total Expenditures	0	0	0	0	5,562,901
Expenditures by Object					
Salary and Benefits	0	0	0	0	2,541,300
Contract Professional Services	0	0	0	0	120,000
Operating Supplies and Expenses	0	0	0	0	2,391,601
Assistance And Grants	0	0	0	0	250,000
Subtotal: Operating	0	0	0	0	5,302,901
Capital Purchases And Equipment	0	0	0	0	260,000
Subtotal: Other	0	0	0	0	260,000
Total Expenditures	0	0	0	0	5,562,901
Expenditures by Source of Funds					
Restricted Receipts	0	0	0	0	5,562,901
Total Expenditures	0	0	0	0	5,562,901

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Cannabis Regulation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0AB24A	0.0	0	1.0	53,561
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	00141A	0.0	0	1.0	97,348
CHIEF OF INSPECTIONS	00135A	0.0	0	1.0	78,172
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	0.0	0	10.0	727,145
ECONOMIC AND POLICY ANALYST I	00130A	0.0	0	1.0	64,608
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	0.0	0	1.0	105,144
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	89,807
LEGAL COUNSEL	00132A	0.0	0	1.0	72,350
LICENSING AIDE	00315A	0.0	0	3.0	118,473
SENIOR LEGAL COUNSEL	00134A	0.0	0	1.0	75,312
Subtotal Classified		0.0	0	21.0	1,481,920
Unclassified					
ADMINISTRATIVE OFFICER	00822A	0.0	0	1.0	50,035
Subtotal Unclassified		0.0	0	1.0	50,035
Subtotal		0.0	0	22.0	1,531,955
Regular Wages			0		1,520,276
Total Salaries			0		1,531,955
Benefits					
FICA			0		117,201
Health Benefits			0		297,217
Payroll Accrual			0		8,880
Retiree Health			0		101,875
Retirement			0		419,825
Subtotal			0		944,998
Total Salaries and Benefits		0.0	0	22.0	2,476,953
Cost Per FTE Position			0		112,589
Statewide Benefit Assessment			0		64,347
Payroll Costs		0.0	0	22.0	2,541,300
Purchased Services					
Management & Consultant Services			0		20,000
Other Contracts			0		100,000
Subtotal			0		120,000
Total Personnel		0.0	0	22.0	2,661,300

Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

Office of Cannabis Regulation

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts		0		2,661,300
Total All Funds		0		2,661,300

Agency Summary

EXECUTIVE OFFICE OF COMMERCE

Agency Mission

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State. To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce is authorized and established as the state's lead agency for economic development throughout Rhode Island and serves as the principal agency of the executive branch of state government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency oversees the state's Office of Housing and Community Development, the Commerce Corporation (and all pass-through grant appropriations), the I-195 Redevelopment District Commission, the Department of Business Regulation and the Office of the Health Insurance Commissioner. From time to time, the Executive Office of Commerce is also tasked with facilitating other special governmental programs and initiatives.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

Budget

EXECUTIVE OFFICE OF COMMERCE

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	3,422,514	795,704	839,457	885,561	1,921,663
Housing and Community Development	16,133,202	15,915,409	20,122,981	21,598,471	23,206,530
Quasi-Public Appropriations	12,662,574	14,058,345	19,086,714	19,999,012	21,789,606
Economic Development Initiatives Fund	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Commerce Programs	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724
Total Expenditures	73,418,290	49,869,458	56,449,152	58,883,044	68,180,523
Expenditures by Object					
Salary And Benefits	2,083,280	1,812,455	1,828,367	1,906,035	2,314,271
Contract Professional Services	550	51	0	0	450,000
Operating Supplies And Expenses	67,525	466,815	510,910	416,172	415,673
Assistance And Grants	14,927,061	14,493,892	19,659,911	21,198,575	22,557,723
Subtotal: Operating	17,078,416	16,773,213	21,999,188	23,520,782	25,737,667
Capital Purchases And Equipment	1,300	2,900	6,963,250	7,875,548	11,453,250
Operating Transfers	56,338,574	33,093,345	27,486,714	27,486,714	30,989,606
Subtotal: Other	56,339,874	33,096,245	34,449,964	35,362,262	42,442,856
Total Expenditures	73,418,290	49,869,458	56,449,152	58,883,044	68,180,523
Expenditures by Source of Funds					
General Revenue	54,708,755	32,403,452	30,289,375	30,226,612	35,365,201
Federal Funds	13,606,251	8,275,588	14,445,458	16,029,815	17,611,003
Restricted Receipts	4,391,678	6,662,699	4,754,319	4,754,319	4,754,319
Operating Transfers From Other Funds	711,606	2,527,719	6,960,000	7,872,298	10,450,000
Total Expenditures	73,418,290	49,869,458	56,449,152	58,883,044	68,180,523
FTE Authorization	16.0	17.0	16.0	16.0	18.0

Personnel Agency Summary

EXECUTIVE OFFICE OF COMMERCE

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	11.0	836,288	13.0	1,035,760
Unclassified	5.0	726,967	5.0	759,480
Subtotal	16.0	1,563,255	18.0	1,795,240
Transfer In		95,138		0
Regular Wages		0		162,052
Salaries Adjustment		-90,608		0
Seasonal/Special Salaries/Wages		66,786		69,308
Turnover		(395,263)		(378,475)
Total Salaries		1,234,778		1,486,073
Benefits				
FICA		95,862		107,080
Health Benefits		126,037		165,526
Payroll Accrual		7,680		8,601
Retiree Health		70,552		94,963
Retirement		315,640		392,702
Subtotal		615,771		768,872
Total Salaries and Benefits	16.0	1,850,549	18.0	2,254,945
Cost Per FTE Position		115,659		125,275
Statewide Benefit Assessment		55,486		59,326
Payroll Costs	16.0	1,906,035	18.0	2,314,271
Purchased Services				
Legal Services		0		225,000
Management & Consultant Services		0		225,000
Subtotal		0		450,000
Total Personnel	16.0	1,906,035	18.0	2,764,271
Distribution by Source of Funds				
General Revenue		1,331,481		2,107,677
Federal Funds		574,554		656,594
Total All Funds		1,906,035		2,764,271

Performance Measures

EXECUTIVE OFFICE OF COMMERCE

New Jobs Created/to be Created with Assistance from Commerce Jobs Incentive Programs

The figures represent the number of new jobs created/to be created by businesses that received assistance from Commerce Jobs Incentive Programs. Please see notes: (1) This metric is based on the number of jobs approved for the Qualified Jobs Incentive as well as jobs approved under the Rebuild Rhode Island Program for Electric Boat; (2) Performance measures are measured by calendar year. As a result, 2018 actuals are current through 9/30/2018 and represent PARTIAL TOTALS. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	798.00	1,000.00	1,000.00	1,000.00	1,000.00
Actual	798.00	1,353.00	2,368.00	0.00	

New Development Created/to be Created with Assistance from Commerce Real Estate Incentive Programs

The figures represent the square feet of new development created/to be created with assistance from Commerce Real Estate Incentive Programs. Please see notes: (1) This metric is based on real estate approved for the Rebuild Rhode Island tax credits, I-195 Redevelopment Fund, Tax Stabilization Incentive, and Tax Increment Financing programs; (2) Performance measures are measured by calendar year. As a result, 2018 actuals are current through 9/30/2018 and represent PARTIAL TOTALS; (3) Projects that came before the Commerce Corporation Board for approval on more than one date are counted in the calendar year during which the project was first approved for Rebuild Rhode Island tax credits; (4) The 2016 actual has been revised to reflect updated project details in projects that have been presented again to the Commerce Corporation Board of Directors for amendments. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	2,281,081.00	600,000.00	600,000.00	600,000.00	600,000.00
Actual	2,281,081.00	887,948.00	1,886,298.00	0.00	

Total Real Estate Investment Leveraged by Commerce Real Estate Investment

The figures represent the total real estate investment leveraged by Commerce Real Estate Investments. Please see notes: (1) This metric is based on total project cost of real estate projects approved for the Rebuild Rhode Island tax credits, Tax Stabilization Incentive, Tax Increment Financing, and I-195 Redevelopment Fund programs; (2) Performance measures are measured by calendar year. As a result, 2018 actuals are current through 9/30/2018 and represent PARTIAL TOTALS; (3) These figures represent the total project cost; (4) The 2016 actual has been revised to reflect updated project details in projects that have been presented again to the Commerce Corporation Board of Directors for amendments. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	527,074,490.00	160,000,000.00	160,000,000.00	160,000,000.00	160,000,000.00
Actual	527,074,490.00	336,793,401.00	1,290,917,440.00	0.00	

Performance Measures

EXECUTIVE OFFICE OF COMMERCE

Businesses Assisted by Commerce

The figures represent the number of unique businesses contacted or assisted by members of Commerce. Please see notes: (1) Performance measures are measured by calendar year. As a result, 2018 actuals are current through 9/26/2018 and represent PARTIAL TOTALS. (2) In 2016, Commerce contacted or assisted 2,570 in-state entities and 485 out-of-state entities; in 2017, Commerce contacted or assisted 4,218 in-state entities and 562 out-of-state entities; and in 2018 YTD Commerce has contacted or assisted 6,578 in-state entities and 239 out-of-state entities. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2016	2017	2018	2019	2020
Target	3,055.00	5,000.00	5,000.00	5,000.00	5,000.00
Actual	3,055.00	4,780.00	6,817.00	0.00	

Tourist and Visitor Expenditure Numbers

The figures represent the annual tourist and visitor expenditures over the baseline of 2015. 2018 Data for this metric is not yet available. Please see notes: (1) This metric is measured in calendar years. (2) Proxy measures show positive growth for tourist and visitor expenditures. In FY2016, the 5% hotel tax revenue was up 12.5% over FY15 (FY15 = \$17,219,528, FY16 = \$19,369,329). In FY2017, the 5% hotel tax revenue was up 13.8% over FY15 (FY15 = \$17,219,528, FY17 = \$19,599,832). In FY2018, the 5% hotel tax revenue was up 25.1 % over FY15 (FY15 = \$17,219,528, FY18 = \$21,532,607). (3) In 2017, the traveler economy in Rhode Island reached \$6.5 billion, including visitor spending, tourism-related construction, and supporting industries, which is a \$0.5 billion increase over 2015. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2016	2017	2018	2019	2020
Target	0.00	200,000,000.00	200,000,000.00	200,000,000.00	200,000,000.00
Actual	0.00	500,000,000.00	0.00	0.00	

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of economic development in the state.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	3,422,514	795,704	839,457	885,561	1,921,663
Total Expenditures	3,422,514	795,704	839,457	885,561	1,921,663
Expenditures by Object					
Salary and Benefits	918,464	699,704	663,050	700,078	736,281
Contract Professional Services	550	0	0	0	0
Operating Supplies and Expenses	27,500	161,000	173,157	182,233	182,132
Subtotal: Operating	946,514	860,704	836,207	882,311	918,413
Capital Purchases And Equipment	0	0	3,250	3,250	1,003,250
Operating Transfers	2,476,000	(65,000)	0	0	0
Subtotal: Other	2,476,000	(65,000)	3,250	3,250	1,003,250
Total Expenditures	3,422,514	795,704	839,457	885,561	1,921,663
Expenditures by Source of Funds					
General Revenue	945,275	795,704	839,457	885,561	921,663
Federal Funds	2,477,239	0	0	0	0
Operating Transfers from Other Funds	0	0	0	0	1,000,000
Total Expenditures	3,422,514	795,704	839,457	885,561	1,921,663

Personnel

Agency: EXECUTIVE OFFICE OF COMMERCE

Central Management

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
DEPUTY CHIEF OF STAFF/POLICY	00845A	1.0	126,284	1.0	136,118
DEPUTY SECRETARY OF COMMERCE	00853A	1.0	163,713	1.0	169,894
EXECUTIVE ASSISTANT	00836A	1.0	93,418	1.0	96,945
GENERAL COUNSEL (OFFICE OF COMMERCE)	00847A	1.0	131,109	1.0	136,059
SECRETARY OF COMMERCE	00856A	1.0	212,443	1.0	220,464
Subtotal Unclassified		5.0	726,967	5.0	759,480
Subtotal		5.0	726,967	5.0	759,480
Transfer In			130,480		37,817
Salaries Adjustment			(90,608)		0
Turnover			(294,822)		(305,953)
Total Salaries			467,487		491,344
Benefits					
FICA			36,050		29,916
Health Benefits			25,050		25,675
Payroll Accrual			3,252		2,848
Retiree Health			27,955		32,675
Retirement			119,789		134,674
Subtotal			212,096		225,788
Total Salaries and Benefits		5.0	679,583	5.0	717,132
Cost Per FTE Position			135,917		143,426
Statewide Benefit Assessment			20,495		19,149
Payroll Costs		5.0	700,078	5.0	736,281
Total Personnel		5.0	700,078	5.0	736,281
Distribution by Source of Funds					
General Revenue			700,078		736,281
Total All Funds			700,078		736,281

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, Federal Community Development Block (CDBG) program, and related programs. To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers Federal Community Development Block Grant (CDBG) funds and related programs. The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

RIGL 42-64.19-7(h) establishes the state's housing and community development functions within the Executive Office of Commerce.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Housing and Community Development	11,741,524	9,252,710	15,368,662	16,844,152	18,452,211
Housing Resources Commission	4,391,678	6,662,699	4,754,319	4,754,319	4,754,319
Total Expenditures	16,133,202	15,915,409	20,122,981	21,598,471	23,206,530
Expenditures by Object					
Salary and Benefits	1,164,816	1,112,751	1,165,317	1,205,957	1,313,322
Contract Professional Services	0	51	0	0	0
Operating Supplies and Expenses	40,025	305,815	337,753	233,939	235,485
Assistance And Grants	14,927,061	14,493,892	18,619,911	20,158,575	21,657,723
Subtotal: Operating	16,131,902	15,912,509	20,122,981	21,598,471	23,206,530
Capital Purchases And Equipment	1,300	2,900	0	0	0
Subtotal: Other	1,300	2,900	0	0	0
Total Expenditures	16,133,202	15,915,409	20,122,981	21,598,471	23,206,530
Expenditures by Source of Funds					
General Revenue	612,512	977,122	923,204	814,337	841,208
Federal Funds	11,129,012	8,275,588	14,445,458	16,029,815	17,611,003
Restricted Receipts	4,391,678	6,662,699	4,754,319	4,754,319	4,754,319
Total Expenditures	16,133,202	15,915,409	20,122,981	21,598,471	23,206,530

Personnel

Agency: EXECUTIVE OFFICE OF COMMERCE

Housing and Community Development

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	88,355	1.0	94,543
ASSISTANT CHIEF OF PLANNING	00137A	1.0	87,214	1.0	93,498
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	00138A	1.0	109,503	1.0	113,637
HOUSING COMMISSION COORDINATOR	00128A	3.0	208,074	3.0	215,933
PRINCIPAL PLANNER	00829A	3.0	188,225	3.0	195,330
PRINCIPAL PLANNER	03529A	1.0	75,680	1.0	78,538
PROGRAMMING SERVICES OFFICER	00131A	1.0	79,237	1.0	82,229
Subtotal Classified		11.0	836,288	11.0	873,708
Subtotal		11.0	836,288	11.0	873,708
Transfer Out			(35,342)		(37,817)
Seasonal/Special Salaries/Wages			66,786		69,308
Turnover			(100,441)		(72,522)
Total Salaries			767,291		832,677
Benefits					
FICA			59,812		64,767
Health Benefits			100,987		111,690
Payroll Accrual			4,428		4,814
Retiree Health			42,597		51,512
Retirement			195,851		213,642
Subtotal			403,675		446,425
Total Salaries and Benefits		11.0	1,170,966	11.0	1,279,102
Cost Per FTE Position			106,451		116,282
Statewide Benefit Assessment			34,991		34,220
Payroll Costs		11.0	1,205,957	11.0	1,313,322
Total Personnel		11.0	1,205,957	11.0	1,313,322
Distribution by Source of Funds					
General Revenue			631,403		656,728
Federal Funds			574,554		656,594
Total All Funds			1,205,957		1,313,322

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Quasi-Public Appropriations

Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special governmental programs and initiatives in the areas of economic development and research.

Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other related initiatives.

Statutory History

RIGL 42-64.19-6(1) charges the Secretary of Commerce with the supervision of the Rhode Island Commerce Corporation's works, which include pass-through funding to the I-195 Redevelopment District Commission and other economic development programs and functions. RIGL 42-64 establishes the Rhode Island Commerce Corporation. RIGL 42-64.14 is the I-195 Redevelopment Act of 2011, of which RIGL 42-64.14-5 establishes the I-195 Redevelopment District Commission.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Quasi-Public Appropriations

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
I-195 Redevelopment District Commission	1,169,063	1,681,657	1,061,000	1,186,000	1,211,000
Quonset Development Corporation	372,659	1,607,066	6,660,000	7,447,298	9,000,000
RI Commerce Corporation	7,434,514	7,224,514	7,474,514	7,474,514	8,089,906
RI Commerce Corporation Pass Through Grants	3,686,338	3,545,108	3,891,200	3,891,200	3,488,700
Total Expenditures	12,662,574	14,058,345	19,086,714	19,999,012	21,789,606
Expenditures by Object					
Assistance And Grants	0	0	1,040,000	1,040,000	900,000
Subtotal: Operating	0	0	1,040,000	1,040,000	900,000
Capital Purchases And Equipment	0	0	6,960,000	7,872,298	9,450,000
Operating Transfers	12,662,574	14,058,345	11,086,714	11,086,714	11,439,606
Subtotal: Other	12,662,574	14,058,345	18,046,714	18,959,012	20,889,606
Total Expenditures	12,662,574	14,058,345	19,086,714	19,999,012	21,789,606
Expenditures by Source of Funds					
General Revenue	11,950,968	11,530,626	12,126,714	12,126,714	12,339,606
Operating Transfers from Other Funds	711,606	2,527,719	6,960,000	7,872,298	9,450,000
Total Expenditures	12,662,574	14,058,345	19,086,714	19,999,012	21,789,606

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Economic Development Initiatives Fund

Mission

To be utilized to fund various economic development initiatives and programs within state government.

Description

This program includes funds for specific development initiatives and programs that the Executive Office uses to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. Funding was initially appropriated for the Economic Development Initiatives Fund as part of the FY 2016 Appropriations Act to support a number of new initiatives and programs designed to spur the development of commerce in the state.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Economic Development Initiatives Fund

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Economic Development Initiatives Fund	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Total Expenditures	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Expenditures by Object					
Operating Transfers	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Subtotal: Other	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Total Expenditures	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Expenditures by Source of Funds					
General Revenue	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000
Total Expenditures	37,700,000	17,800,000	14,300,000	14,300,000	18,350,000

Program Summary

Agency: EXECUTIVE OFFICE OF COMMERCE

Commerce Programs

Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. RIGL 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

Budget

Agency: EXECUTIVE OFFICE OF COMMERCE

Commerce Programs

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Economic Initiatives	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724
Total Expenditures	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724
Expenditures by Object					
Salary and Benefits	0	0	0	0	264,668
Contract Professional Services	0	0	0	0	450,000
Operating Supplies and Expenses	0	0	0	0	(1,944)
Subtotal: Operating	0	0	0	0	712,724
Capital Purchases And Equipment	0	0	0	0	1,000,000
Operating Transfers	3,500,000	1,300,000	2,100,000	2,100,000	1,200,000
Subtotal: Other	3,500,000	1,300,000	2,100,000	2,100,000	2,200,000
Total Expenditures	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724
Expenditures by Source of Funds					
General Revenue	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724
Total Expenditures	3,500,000	1,300,000	2,100,000	2,100,000	2,912,724

Agency Summary

DEPARTMENT OF LABOR AND TRAINING

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services to Rhode Island's job seekers and business community. It executes programs and administers laws governing the following six program areas: Executive Management, Income Support, Workforce Development Services and Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board. The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources. The Income Support Program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), Temporary Caregivers Insurance (TCI), and the Police and Fire Relief Fund. The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers' with skilled workers. The program includes the Governor's Workforce Board (State Workforce Investment Board) which was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses. The Workers Compensation Program operates the State's Workers' Compensation System. The Chief Judge Robert F. Arrigan Rehabilitation Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unit educates and provides information to workers and employers regarding Workers' Compensation laws and regulations. The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures. The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

Statutory History

RIGL 42-16 created the department in 1996. RIGL 42-6 authorizes the appointment of the Director of Labor and Training.

Budget

DEPARTMENT OF LABOR AND TRAINING

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	1,042,437	5,248,494	2,149,403	1,042,673	1,019,628
Workforce Development Services	39,096,944	43,296,152	55,264,995	54,834,285	49,450,814
Workforce Regulation and Safety	1,818,761	2,898,194	3,110,964	4,375,857	3,231,560
Income Support	371,299,138	364,573,674	388,318,417	375,451,052	389,565,572
Injured Workers Services	8,185,124	9,062,839	8,956,311	10,102,413	10,573,722
Labor Relations Board	404,678	415,832	414,147	471,970	441,669
Total Expenditures	421,847,082	425,495,185	458,214,237	446,278,250	454,282,965
Expenditures by Object					
Salary And Benefits	40,661,850	40,107,312	42,375,744	41,298,746	46,637,422
Contract Professional Services	4,680,234	4,329,317	4,920,844	4,888,939	4,484,541
Operating Supplies And Expenses	6,788,268	12,164,625	12,809,194	13,609,373	11,500,916
Assistance And Grants	361,118,132	360,967,840	390,122,882	378,446,630	383,275,525
Subtotal: Operating	413,248,484	417,569,094	450,228,664	438,243,688	445,898,404
Capital Purchases And Equipment	118,038	480,151	1,376,312	160,301	160,300
Operating Transfers	8,480,560	7,445,940	6,609,261	7,874,261	8,224,261
Subtotal: Other	8,598,598	7,926,091	7,985,573	8,034,562	8,384,561
Total Expenditures	421,847,082	425,495,185	458,214,237	446,278,250	454,282,965
Expenditures by Source of Funds					
General Revenue	7,010,095	12,876,544	14,464,955	15,848,041	15,813,787
Federal Funds	43,869,516	37,929,711	40,753,823	45,437,758	39,989,080
Restricted Receipts	21,996,462	29,479,713	38,975,091	30,272,325	32,453,432
Operating Transfers From Other Funds	220,788	206,165	1,250,000	0	0
Other Funds	348,750,221	345,003,052	362,770,368	354,720,126	366,026,666
Total Expenditures	421,847,082	425,495,185	458,214,237	446,278,250	454,282,965
FTE Authorization	409.5	428.7	409.7	409.7	451.7

Personnel Agency Summary

DEPARTMENT OF LABOR AND TRAINING

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	391.2	24,531,960	433.2	27,993,330
Unclassified	18.5	1,697,143	18.5	1,731,698
Subtotal	409.7	26,229,103	451.7	29,725,028
Regular Wages		0		262,240
Overtime (1.5)		0		40,400
Seasonal/Special Salaries/Wages		35,006		36,060
Turnover		(1,460,185)		(2,076,997)
Total Salaries		24,747,678		27,724,491
Benefits				
FICA		1,895,329		2,112,854
Health Benefits		5,222,439		6,153,342
Other		1,034		1,075
Payroll Accrual		143,057		160,247
Retiree Health		1,476,171		1,834,885
Retirement		6,679,052		7,495,387
Subtotal		15,417,082		17,757,790
Total Salaries and Benefits	409.7	40,164,760	451.7	45,482,281
Cost Per FTE Position		98,035		100,691
Statewide Benefit Assessment		1,133,986		1,155,141
Payroll Costs	409.7	41,298,746	451.7	46,637,422
Purchased Services				
Buildings and Ground Maintenance		14,177		14,058
Clerical and Temporary Services		54,359		54,359
Information Technology		1,657,360		1,281,049
Legal Services		412,133		361,305
Management & Consultant Services		30,825		31,133
Medical Services		1,951,069		1,967,330
Other Contracts		549,303		553,556
Training and Educational Services		219,713		221,751
Subtotal		4,888,939		4,484,541
Total Personnel	409.7	46,187,685	451.7	51,121,963
Distribution by Source of Funds				
General Revenue		4,558,756		3,891,688
Federal Funds		20,106,067		22,311,393
Restricted Receipts		14,504,286		17,475,216
Other Funds		7,018,576		7,443,666
Total All Funds		46,187,685		51,121,963

Performance Measures

DEPARTMENT OF LABOR AND TRAINING

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Notes: Updated actual data for 2017 and target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	89.50%	87.00%	93.00%	87.00%	87.00%
Actual	89.50%	93.40%	91.80%	0.00%	

Timeliness of UI Adjudication Decisions

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Notes: Updated target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	80.60%	80.00%	80.00%	80.00%	80.00%
Actual	80.60%	92.10%	91.40%	0.00%	

UI Call Center Wait Times

The figures below represent the average amount of time in minutes a caller spends on hold before reaching an agent in the UI call center. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	19.00	15.00	10.00	15.00	15.00
Actual	19.00	10.00	15.00	0.00	

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner. [Notes: 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	45.00%	75.00%	65.00%	62.00%	70.00%
Actual	45.00%	45.00%	56.00%	0.00%	

Performance Measures

DEPARTMENT OF LABOR AND TRAINING

Real Jobs Rhode Island Employer Engagement

The figures below represent the number of employers participating in Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2016	2017	2018	2019	2020
Target	218.00	200.00	200.00	400.00	600.00
Actual	218.00	330.00	400.00	0.00	

Real Jobs Rhode Island Job Placements

The figures below represent job placements through Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2016	2017	2018	2019	2020
Target	222.00	557.00	590.00	1,500.00	2,500.00
Actual	222.00	800.00	1,000.00	0.00	

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

Mission

To provide leadership, management and strategic planning for the development and implementation of a cost efficient and effective service delivery system. To provide competent legal representation and consultation to all departmental staffing the execution of programs and services. To provide comprehensive financial management, professional staff development and management information services to all divisions within the department.

Description

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations. The Executive Management Program provides administrative services for the Department including, legal services, purchasing, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,042,437	5,248,494	2,149,403	1,042,673	1,019,628
Total Expenditures	1,042,437	5,248,494	2,149,403	1,042,673	1,019,628
Expenditures by Object					
Salary and Benefits	523,858	662,138	198,931	187,829	195,394
Contract Professional Services	68,704	101,971	53,087	55,859	56,178
Operating Supplies and Expenses	449,590	4,193,897	647,126	798,726	767,795
Assistance And Grants	17	8	18	18	18
Subtotal: Operating	1,042,169	4,958,014	899,162	1,042,432	1,019,385
Capital Purchases And Equipment	268	290,480	1,250,241	241	243
Subtotal: Other	268	290,480	1,250,241	241	243
Total Expenditures	1,042,437	5,248,494	2,149,403	1,042,673	1,019,628
Expenditures by Source of Funds					
General Revenue	134,127	4,701,833	722,892	825,488	797,120
Restricted Receipts	687,522	340,496	176,511	217,185	222,508
Operating Transfers from Other Funds	220,788	206,165	1,250,000	0	0
Total Expenditures	1,042,437	5,248,494	2,149,403	1,042,673	1,019,628

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00324A	1.0	65,803	1.0	68,275
ASSISTANT ADMINISTRATOR REHABILITATION UNIT	00137A	1.0	106,798	1.0	110,795
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	00140A	1.0	101,320	1.0	105,144
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	78,417	1.0	81,315
CHIEF IMPLEMENTATION AIDE	00128A	1.0	57,714	1.0	62,010
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	69,958	1.0	72,598
FISCAL MANAGEMENT OFFICER	00B26A	1.0	74,874	1.0	77,588
IMPLEMENTATION AIDE	00322A	1.0	57,497	1.0	59,600
INVESTIGATIVE AIDE WORKERS COMPENSATION FRAUD PREV UNIT	00315A	1.0	76,569	1.0	76,569
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	0AB30A	1.0	67,760	1.0	73,519
LABOR STANDARDS EXAMINER	00322A	7.0	410,406	7.0	425,916
PROGRAMMING SERVICES OFFICER	00131A	1.0	67,603	1.0	73,563
SENIOR DLT BUSINESS OFFICER	00324A	1.0	52,308	1.0	56,610
Subtotal Classified		19.0	1,287,027	19.0	1,343,502
Unclassified					
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.5	146,838	1.5	146,838
Subtotal Unclassified		1.5	146,838	1.5	146,838
Subtotal		20.5	1,433,865	20.5	1,490,340
Transfer Out			(1,373,967)		(1,428,268)
Transfer In			60,094		62,333
Turnover			(417)		0
Total Salaries			119,575		124,405
Benefits					
FICA			9,180		9,516
Health Benefits			13,000		13,265
Payroll Accrual			694		720
Retiree Health			7,176		8,272
Retirement			32,759		34,101
Subtotal			62,809		65,874
Total Salaries and Benefits		20.5	182,384	20.5	190,279
Cost Per FTE Position			8,897		9,282
Statewide Benefit Assessment			5,445		5,115

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Payroll Costs	20.5	187,829	20.5	195,394
Purchased Services				
Buildings and Ground Maintenance		29		0
Clerical and Temporary Services		1,800		1,818
Information Technology		2,050		2,020
Legal Services		15,000		15,000
Other Contracts		36,480		36,809
Training and Educational Services		500		531
Subtotal		55,859		56,178
Total Personnel	20.5	243,688	20.5	251,572
Distribution by Source of Funds				
General Revenue		81,015		83,846
Restricted Receipts		162,673		167,726
Total All Funds		243,688		251,572

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Description

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs: The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings. The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities. The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the-job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older. The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act. R.I.G.L. 42-102 created the RI Works program.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Employment Services	3,151,509	3,639,216	3,907,136	4,171,812	4,040,138
Governor's Workforce Board RI	11,045,086	17,633,844	27,861,627	17,600,394	17,247,532
Labor Market Information	673,871	727,852	884,373	717,830	750,903
Veteran Services	679,378	665,499	617,645	626,171	643,314
WIOA & Other Training Programs	23,547,100	20,629,741	21,994,214	31,718,078	26,768,927
Total Expenditures	39,096,944	43,296,152	55,264,995	54,834,285	49,450,814
Expenditures by Object					
Salary and Benefits	14,151,285	13,487,655	14,087,102	14,590,310	15,894,197
Contract Professional Services	371,858	445,298	1,590,510	1,011,503	645,382
Operating Supplies and Expenses	1,802,991	2,655,437	1,895,666	3,554,828	3,526,892
Assistance And Grants	22,731,913	26,622,251	37,600,575	35,560,543	29,277,919
Subtotal: Operating	39,058,047	43,210,641	55,173,853	54,717,184	49,344,390
Capital Purchases And Equipment	38,897	80,223	51,881	77,840	67,163
Operating Transfers	0	5,288	39,261	39,261	39,261
Subtotal: Other	38,897	85,511	91,142	117,101	106,424
Total Expenditures	39,096,944	43,296,152	55,264,995	54,834,285	49,450,814
Expenditures by Source of Funds					
General Revenue	630,862	640,921	6,277,198	6,254,517	6,276,757
Federal Funds	27,293,721	24,756,709	20,986,909	30,309,115	25,729,383
Restricted Receipts	11,045,086	17,633,844	27,861,627	17,600,394	17,247,532
Other Funds	127,275	264,678	139,261	670,259	197,142
Total Expenditures	39,096,944	43,296,152	55,264,995	54,834,285	49,450,814

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Development Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	1.0	65,426	1.0	71,893
Subtotal Classified		1.0	65,426	1.0	71,893
Subtotal		1.0	65,426	1.0	71,893
Transfer In			9,096,987		9,503,321
Turnover			(385,145)		(2,000)
Total Salaries			8,777,268		9,573,214
Benefits					
FICA			671,457		730,993
Health Benefits			1,781,702		1,888,184
Payroll Accrual			50,736		55,483
Retiree Health			524,881		636,612
Retirement			2,380,505		2,607,630
Subtotal			5,409,281		5,918,902
Total Salaries and Benefits		1.0	14,186,549	1.0	15,492,116
Cost Per FTE Position			14,186,549		15,492,116
Statewide Benefit Assessment			403,761		402,081
Payroll Costs		1.0	14,590,310	1.0	15,894,197
Purchased Services					
Buildings and Ground Maintenance			5,519		5,520
Clerical and Temporary Services			321		322
Information Technology			619,586		249,865
Legal Services			17,437		17,609
Management & Consultant Services			26,945		27,214
Other Contracts			324,385		327,372
Training and Educational Services			17,310		17,480
Subtotal			1,011,503		645,382
Total Personnel		1.0	15,601,813	1.0	16,539,579
Distribution by Source of Funds					
Federal Funds			11,148,276		11,599,240
Restricted Receipts			4,367,870		4,850,909
Other Funds			85,667		89,430
Total All Funds			15,601,813		16,539,579

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Description

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws. The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework. The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures. The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public. The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions. The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Labor Standards	324,647	553,452	758,299	857,460	641,075
Occupational Safety	697,162	743,084	960,685	988,617	691,723
Professional Regulations	796,952	1,601,658	1,391,980	2,529,780	1,898,762
Total Expenditures	1,818,761	2,898,194	3,110,964	4,375,857	3,231,560
Expenditures by Object					
Salary and Benefits	1,658,189	2,319,177	2,745,554	3,958,727	2,814,629
Contract Professional Services	19,718	45,576	19,188	19,188	19,188
Operating Supplies and Expenses	137,994	522,321	343,303	395,023	394,824
Assistance And Grants	341	231	349	349	349
Subtotal: Operating	1,816,242	2,887,305	3,108,394	4,373,287	3,228,990
Capital Purchases And Equipment	2,519	10,889	2,570	2,570	2,570
Subtotal: Other	2,519	10,889	2,570	2,570	2,570
Total Expenditures	1,818,761	2,898,194	3,110,964	4,375,857	3,231,560
Expenditures by Source of Funds					
General Revenue	1,818,761	2,898,194	3,110,964	4,375,857	3,231,560
Total Expenditures	1,818,761	2,898,194	3,110,964	4,375,857	3,231,560

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	57,278	1.0	59,441
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	2.0	126,973	2.0	137,295
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM. OF ELECT.)	00330A	1.0	65,021	1.0	70,876
CHIEF ELEVATOR INSPECTOR	00330A	1.0	75,282	1.0	78,124
CHIEF HAZARDOUS SUBSTANCE UNIT	00326A	1.0	69,002	1.0	71,607
CHIEF IMPLEMENTATION AIDE	00128A	1.0	66,631	1.0	69,147
CHIEF IMPLEMENTATION AIDE	00328A	1.0	75,070	1.0	77,886
CHIEF LABOR STANDARDS EXAMINER	00330A	1.0	79,414	1.0	82,364
CHIEF LICENSING EXAMINER-DIVISION OF COMM LICEN & REGUL	00333A	1.0	90,922	1.0	94,249
CHIEF MECHANICAL INVESTIGATOR (BD OF MECHANICAL EXAMINERS)	00330A	1.0	75,249	1.0	77,962
CHIEF PLUMBING INVESTIGATOR (BD OF PLUMBING EXAMINERS)	00330A	1.0	63,596	1.0	68,606
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	80,677	1.0	83,648
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	77,754	1.0	80,689
IMPLEMENTATION AIDE	00322A	3.0	169,953	3.0	179,121
LABOR STANDARDS EXAMINER	00322A	2.0	103,870	2.0	107,794
PREVAILING WAGE INVESTIGATOR	00322A	1.0	53,202	1.0	55,211
SENIOR INDUSTRIAL SAFETY SPECIALIST (DLT)	00328A	1.0	63,486	1.0	65,883
SENIOR PREVAILING WAGE INVESTIGATOR	00327A	1.0	64,154	1.0	66,576
SUPERVISOR APPRENTICESHIP TRAINING PROGRAMS	00327A	1.0	71,791	1.0	74,502
Subtotal Classified		23.0	1,529,325	23.0	1,600,981
Unclassified					
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	60,821	1.0	64,141
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	1.0	91,488	1.0	91,488
Subtotal Unclassified		2.0	152,309	2.0	155,629
Subtotal		25.0	1,681,634	25.0	1,756,610
Transfer Out			(188,828)		(193,847)
Transfer In			966,186		999,078
Seasonal/Special Salaries/Wages			1,456		1,456
Turnover			(101,453)		(1,013,000)
Total Salaries			2,358,995		1,550,297

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Workforce Regulation and Safety

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Benefits				
FICA		181,757		118,592
Health Benefits		513,660		554,218
Other		469		508
Payroll Accrual		13,724		8,974
Retiree Health		141,984		102,999
Retirement		641,649		417,862
Subtotal		1,493,243		1,203,153
Total Salaries and Benefits	25.0	3,852,238	25.0	2,753,450
Cost Per FTE Position		154,090		110,138
Statewide Benefit Assessment		106,489		61,179
Payroll Costs	25.0	3,958,727	25.0	2,814,629
Purchased Services				
Buildings and Ground Maintenance		1,688		1,688
Clerical and Temporary Services		1,164		1,164
Information Technology		1,188		1,188
Legal Services		3,696		3,696
Other Contracts		8,397		8,397
Training and Educational Services		3,055		3,055
Subtotal		19,188		19,188
Total Personnel	25.0	3,977,915	25.0	2,833,817
Distribution by Source of Funds				
General Revenue		3,977,915		2,833,817
Total All Funds		3,977,915		2,833,817

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement. For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel. For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits. Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating. Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI. Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Employer Tax	0	0	0	0	3,450,789
Fire and Police	4,021,667	4,219,764	3,939,754	3,937,528	3,811,080
TDI	191,577,274	194,405,200	203,411,107	199,514,867	203,094,524
Unemployment Insurance	175,700,197	165,948,710	180,967,556	171,998,657	179,209,179
Total Expenditures	371,299,138	364,573,674	388,318,417	375,451,052	389,565,572
Expenditures by Object					
Salary and Benefits	19,788,629	19,034,160	20,184,447	17,018,530	21,730,246
Contract Professional Services	1,772,045	1,344,610	789,494	1,309,484	1,265,871
Operating Supplies and Expenses	3,981,936	3,300,299	9,481,552	7,393,296	5,367,569
Assistance And Grants	337,210,237	333,342,524	351,232,085	341,820,306	352,931,821
Subtotal: Operating	362,752,847	357,021,593	381,687,578	367,541,616	381,295,507
Capital Purchases And Equipment	65,731	111,429	60,839	74,436	85,065
Operating Transfers	8,480,560	7,440,652	6,570,000	7,835,000	8,185,000
Subtotal: Other	8,546,291	7,552,081	6,630,839	7,909,436	8,270,065
Total Expenditures	371,299,138	364,573,674	388,318,417	375,451,052	389,565,572
Expenditures by Source of Funds					
General Revenue	4,021,667	4,219,764	3,939,754	3,920,209	5,066,681
Federal Funds	16,575,795	13,173,002	19,766,914	15,128,643	14,259,697
Restricted Receipts	2,078,730	2,442,534	1,980,642	2,352,333	4,409,670
Other Funds	348,622,946	344,738,374	362,631,107	354,049,867	365,829,524
Total Expenditures	371,299,138	364,573,674	388,318,417	375,451,052	389,565,572

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	3.0	156,747	3.0	163,431
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	170,752	2.0	177,200
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	4.0	436,185	4.0	455,153
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	71,339	1.0	75,311
ASSISTANT CHIEF OF PLANNING	00137A	2.0	183,083	2.0	191,672
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	13.0	877,590	13.0	927,086
ASSISTANT COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRMS	00129A	1.0	66,626	1.0	69,141
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	123,870	1.0	128,486
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	00143A	1.0	126,016	1.0	132,472
ASSISTANT DIRECTOR FOR BUSINESS AFFAIRS (DLT)	00139A	1.0	101,221	1.0	105,042
ASSISTANT DIRECTOR FOR LABOR MARKET INFO & MGMT SVS DLT	00139A	1.0	116,394	1.0	120,764
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	00139A	1.0	114,540	1.0	120,351
BENEFIT CLAIMS SPECIALIST	00323A	49.0	2,740,238	49.0	2,851,651
BUSINESS SERVICES SPECIALIST	00324A	8.0	445,946	8.0	462,787
CHIEF IMPLEMENTATION AIDE	00128A	5.0	334,047	5.0	351,149
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	7.0	605,556	7.0	634,669
CHIEF OF RESEARCH AND ANALYSIS	00134A	1.0	90,148	1.0	93,507
CHIEF OF TAX PROCESSING SERVICES	00140A	0.0	0	1.0	122,211
CHIEF PROGRAM DEVELOPMENT	00134A	5.0	384,734	5.0	404,748
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	1.0	90,099	1.0	93,500
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	112,511	1.0	116,733
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	19.0	1,388,889	19.0	1,458,920
COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	00131A	1.0	72,034	1.0	74,754
DEPUTY DIRECTOR (DLT)	00144A	1.0	146,324	1.0	151,808
DISABLED VETERANS JOB ASSISTANT	00320A	3.0	144,097	3.0	149,538
DLT BUSINESS OFFICER	00321A	2.0	101,824	5.0	243,782
EMPLOYER REGISTRATION SUPERVISOR	00326A	0.0	0	1.0	60,942
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	2.0	198,120	2.0	205,559
EMPLOYMENT AND TRAINING ASSISTANT	00316A	3.0	142,301	3.0	148,179
EMPLOYMENT AND TRAINING FINANCIAL ANALYST	00132A	1.0	74,846	1.0	77,672

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
EMPLOYMENT AND TRAINING MANAGER	00126A	11.0	671,701	11.0	701,089
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	00320A	11.0	503,963	11.0	533,724
EMPLOYMENT & TRAINING INTERVIEWER & INTERPR (PORTUGUESE	00320A	2.0	89,817	2.0	96,070
FRAUD AND OVERPAYMENT INVESTIGATOR	00321A	4.0	205,821	4.0	213,554
INTERPRETER (SPANISH)	00316A	4.0	165,193	4.0	173,953
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	104,047	1.0	112,490
LEGAL ASSISTANT	00319A	1.0	54,951	1.0	57,025
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	00320A	2.0	94,491	2.0	98,059
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	3.0	213,087	3.0	227,743
NURSING CARE EVALUATOR	00520A	2.0	163,571	2.0	165,007
OFFICE MANAGER	00123A	3.0	166,274	3.0	172,537
PRINCIPAL AUDITOR	00128A	4.0	226,832	4.0	236,376
PRINCIPAL CLERK-TYPIST	00312A	1.0	38,298	1.0	39,744
PRINCIPAL DLT BUSINESS OFFICER	00127A	2.0	122,090	2.0	129,375
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	00323A	37.0	2,056,749	37.0	2,134,280
PRINCIPAL EMPLOYMENT AND TRAINING MANAGER	00130A	5.0	390,369	5.0	405,607
PRINCIPAL REVENUE AGENT	00831A	0.0	0	4.0	312,011
REFEREE - BOARD OF REVIEW	00137A	6.0	592,542	6.0	616,850
REVENUE OFFICER	00321A	0.0	0	9.0	469,885
SENIOR COMPUTER OPERATOR	00318A	2.0	91,925	2.0	96,365
SENIOR DLT BUSINESS OFFICER	00324A	4.0	239,501	5.0	300,642
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	00320A	48.2	2,453,452	48.2	2,564,221
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	00126A	8.0	502,932	8.0	521,811
SENIOR LEGAL COUNSEL	00134A	0.0	0	1.0	75,312
SENIOR RESEARCH TECHNICIAN	00323A	4.0	223,538	4.0	232,954
SENIOR WORD PROCESSING TYPIST	00312A	3.0	113,155	3.0	118,066
SUPERVISING DLT BUSINESS OFFICER	00131A	1.0	72,033	1.0	74,753
SUPERVISING DLT BUSINESS OFFICER	00132A	1.0	88,656	1.0	91,970
SUPERVISING REVENUE OFFICER	00831A	0.0	0	1.0	89,192
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	1.0	72,033	1.0	74,753
TAX AIDE I	00316A	0.0	0	2.0	85,156
TAX AIDE II	00318A	0.0	0	10.0	479,111

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
TAX EXAMINER (DOA)	00321A	0.0	0	8.0	430,616
TRAINING SUPERVISOR	00326A	0.0	0	1.0	59,354
Subtotal Classified		311.2	19,333,098	353.2	22,557,873
Unclassified					
CENTRAL MAIL ROOM CLERK	00311G	2.0	72,922	2.0	72,922
CHAIRPERSON MEMBER OF BOARD OF REVIEW (ES)	00837A	1.0	96,640	1.0	100,289
CONFIDENTIAL SECRETARY	00818A	1.0	55,514	1.0	57,609
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	20948F	1.0	135,000	1.0	135,000
EXECUTIVE COUNSEL	00839A	1.0	111,810	1.0	116,034
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE BOARD	00839A	1.0	106,486	1.0	110,506
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	47,242	0.5	47,242
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	3.5	338,955	3.5	338,955
MEMBER- BOARD OF REVIEW (ES)	00835A	2.0	211,482	2.0	219,410
SPECIAL ASSISTANT	00829A	1.0	67,120	1.0	72,709
Subtotal Unclassified		14.0	1,243,171	14.0	1,270,676
Subtotal		325.2	20,576,269	367.2	23,828,549
Transfer Out			(9,785,079)		(10,218,235)
Transfer In			267,202		282,227
Regular Wages			0		262,240
Overtime (1.5)			0		40,400
Turnover			(841,227)		(1,061,997)
Total Salaries			10,160,919		12,870,944
Benefits					
FICA			777,949		977,920
Health Benefits			2,197,729		2,934,346
Payroll Accrual			58,779		74,319
Retiree Health			608,119		853,203
Retirement			2,748,756		3,482,788
Subtotal			6,391,332		8,322,576
Total Salaries and Benefits		325.2	16,552,251	367.2	21,193,520
Cost Per FTE Position			50,899		57,717
Statewide Benefit Assessment			466,279		536,726

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Income Support

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Payroll Costs	325.2	17,018,530	367.2	21,730,246
Purchased Services				
Buildings and Ground Maintenance		6,121		6,122
Clerical and Temporary Services		46,224		46,249
Information Technology		709,041		714,254
Legal Services		350,000		300,000
Management & Consultant Services		3,880		3,919
Medical Services		62,969		63,599
Other Contracts		121,976		122,413
Training and Educational Services		9,273		9,315
Subtotal		1,309,484		1,265,871
Total Personnel	325.2	18,328,014	367.2	22,996,117
Distribution by Source of Funds				
General Revenue		84,981		561,619
Federal Funds		8,957,791		10,712,153
Restricted Receipts		2,352,333		4,368,109
Other Funds		6,932,909		7,354,236
Total All Funds		18,328,014		22,996,117

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Chief Judge Robert F. Arrigan Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues. The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants. The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge. The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Arrigan Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed. The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Education & Rehabilitation	4,079,385	5,054,197	4,146,768	5,015,249	5,106,233
Workers' Comp Compliance	4,105,739	4,008,642	4,809,543	5,087,164	5,467,489
Total Expenditures	8,185,124	9,062,839	8,956,311	10,102,413	10,573,722
Expenditures by Object					
Salary and Benefits	4,188,878	4,313,227	4,802,004	5,173,155	5,618,971
Contract Professional Services	2,404,563	2,320,752	2,440,144	2,448,255	2,469,501
Operating Supplies and Expenses	406,195	1,439,657	414,301	1,411,149	1,415,347
Assistance And Grants	1,175,603	1,002,809	1,289,833	1,065,392	1,065,396
Subtotal: Operating	8,175,239	9,076,445	8,946,282	10,097,951	10,569,215
Capital Purchases And Equipment	9,885	(13,606)	10,029	4,462	4,507
Subtotal: Other	9,885	(13,606)	10,029	4,462	4,507
Total Expenditures	8,185,124	9,062,839	8,956,311	10,102,413	10,573,722
Expenditures by Source of Funds					
Restricted Receipts	8,185,124	9,062,839	8,956,311	10,102,413	10,573,722
Total Expenditures	8,185,124	9,062,839	8,956,311	10,102,413	10,573,722

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00321A	2.0	106,765	2.0	112,707
ASSISTANT ADMINISTRATOR OF VOCATIONAL REHAB (REHAB)	00132A	1.0	74,846	1.0	77,672
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)	00320A	2.0	94,490	2.0	98,058
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	00140A	1.0	123,990	1.0	128,580
CHIEF DATA OPERATIONS	00333A	1.0	93,613	1.0	97,084
CHIEF INVESTIGATOR WORKERS COMPENSATION (FRAUD PRV UNT)	0AB38A	1.0	112,344	1.0	116,488
COMPENSATION CLAIMS ANALYST	00322A	3.0	159,426	3.0	167,446
DATA ANALYST I	00334A	1.0	70,435	1.0	73,094
DLT BUSINESS OFFICER	00321A	1.0	48,860	1.0	53,146
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	124,783	2.0	135,060
EMPLOYMENT AND TRAINING ASSISTANT	00316A	1.0	44,047	1.0	45,711
IMPLEMENTATION AIDE	0AB22A	1.0	56,761	1.0	58,865
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	0AB30A	4.0	315,576	4.0	331,085
MEDICAL ASSISTANT	00320A	2.0	89,338	2.0	95,513
MEDICAL RECORDS TECHNICIAN	00320A	1.0	45,117	1.0	48,392
PHYSICAL THERAPY ASSISTANT	00320A	5.0	252,734	5.0	263,259
SENIOR WORD PROCESSING TYPIST	00312A	3.0	114,993	3.0	119,963
SUPERVISOR OF VOCATIONAL REHABILITATION (DISAB. DETER.)	00329A	1.0	65,959	1.0	68,450
UNIT CLAIMS MANAGER	00326A	1.0	71,494	1.0	74,154
WORKERS' COMPENSATION PATIENT CARE COORDINATOR	00520A	2.0	174,636	2.0	174,636
Subtotal Classified		36.0	2,240,207	36.0	2,339,363
Subtotal		36.0	2,240,207	36.0	2,339,363
Transfer Out			(63,886)		(68,233)
Transfer In			1,021,291		1,061,624
Seasonal/Special Salaries/Wages			5,600		5,600
Turnover			(129,694)		0
Total Salaries			3,073,518		3,338,354

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Injured Workers Services

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Benefits				
FICA		235,122		255,386
Health Benefits		692,623		739,047
Other		565		567
Payroll Accrual		17,731		19,313
Retiree Health		183,460		221,628
Retirement		829,012		904,706
Subtotal		1,958,513		2,140,647
Total Salaries and Benefits	36.0	5,032,031	36.0	5,479,001
Cost Per FTE Position		139,779		152,194
Statewide Benefit Assessment		141,124		139,970
Payroll Costs	36.0	5,173,155	36.0	5,618,971
Purchased Services				
Buildings and Ground Maintenance		720		728
Clerical and Temporary Services		2,150		2,171
Information Technology		310,495		313,601
Medical Services		1,888,100		1,903,731
Other Contracts		57,315		57,900
Training and Educational Services		189,475		191,370
Subtotal		2,448,255		2,469,501
Total Personnel	36.0	7,621,410	36.0	8,088,472
Distribution by Source of Funds				
Restricted Receipts		7,621,410		8,088,472
Total All Funds		7,621,410		8,088,472

Program Summary

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

Budget

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Labor Relations	404,678	415,832	414,147	471,970	441,669
Total Expenditures	404,678	415,832	414,147	471,970	441,669
Expenditures by Object					
Salary and Benefits	351,011	290,955	357,706	370,195	383,985
Contract Professional Services	43,346	71,110	28,421	44,650	28,421
Operating Supplies and Expenses	9,562	53,014	27,246	56,351	28,489
Assistance And Grants	21	17	22	22	22
Subtotal: Operating	403,940	415,096	413,395	471,218	440,917
Capital Purchases And Equipment	738	736	752	752	752
Subtotal: Other	738	736	752	752	752
Total Expenditures	404,678	415,832	414,147	471,970	441,669
Expenditures by Source of Funds					
General Revenue	404,678	415,832	414,147	471,970	441,669
Total Expenditures	404,678	415,832	414,147	471,970	441,669

Personnel

Agency: DEPARTMENT OF LABOR AND TRAINING

Labor Relations Board

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
LABOR BOARD CASE AGENT	00128A	1.0	76,877	1.0	79,718
Subtotal Classified		1.0	76,877	1.0	79,718
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	99,573	1.0	103,303
CHAIRPERSON- LABOR RELATIONS BOARD	00953F	0.0	14,659	0.0	14,659
LABOR RELATIONS BOARD MEMBER	00952F	0.0	40,593	0.0	40,593
Subtotal Unclassified		1.0	154,825	1.0	158,555
Subtotal		2.0	231,702	2.0	238,273
Seasonal/Special Salaries/Wages			27,950		29,004
Turnover			(2,249)		0
Total Salaries			257,403		267,277
Benefits					
FICA			19,864		20,447
Health Benefits			23,725		24,282
Payroll Accrual			1,393		1,438
Retiree Health			10,551		12,171
Retirement			46,371		48,300
Subtotal			101,904		106,638
Total Salaries and Benefits		2.0	359,307	2.0	373,915
Cost Per FTE Position			179,654		186,958
Statewide Benefit Assessment			10,888		10,070
Payroll Costs		2.0	370,195	2.0	383,985
Purchased Services					
Buildings and Ground Maintenance			100		0
Clerical and Temporary Services			2,700		2,635
Information Technology			15,000		121
Legal Services			26,000		25,000
Other Contracts			750		665
Training and Educational Services			100		0
Subtotal			44,650		28,421
Total Personnel		2.0	414,845	2.0	412,406
Distribution by Source of Funds					
General Revenue			414,845		412,406
Total All Funds			414,845		412,406

Agency Summary

DEPARTMENT OF REVENUE

Agency Mission

The mission of the Department of Revenue is to administer its programs, and consistently execute the laws and regulations with integrity and accountability, thereby instilling public confidence in the work performed by the department.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has eight programmatic functions, including the Office of the Director, Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, State Aid and Collections.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 substitute A of the FY 2007 Appropriations Act (R.I.G.L. § 42-142).

Budget

DEPARTMENT OF REVENUE

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Director of Revenue	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620
Office of Revenue Analysis	745,009	732,773	905,219	775,389	841,407
Lottery Division	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414
Municipal Finance	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673
Taxation	25,091,477	28,155,798	30,908,426	32,271,612	32,401,145
Registry of Motor Vehicles	25,139,736	25,980,890	30,720,355	27,493,201	32,749,458
State Aid	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130
Division of Collections	0	0	601,755	575,908	899,649
Total Expenditures	471,375,963	512,595,161	584,033,516	602,700,646	623,720,496
Expenditures by Object					
Salary And Benefits	49,653,640	50,394,028	57,715,431	57,460,849	59,565,530
Contract Professional Services	3,668,711	3,493,801	7,587,560	5,091,650	8,729,994
Operating Supplies And Expenses	347,843,622	363,439,953	401,043,959	421,161,800	421,684,409
Assistance And Grants	1,669,772	1,095,181	1,017,645	1,079,108	479,108
Aid To Local Units Of Government	65,989,581	93,812,421	115,843,053	117,376,611	132,865,827
Subtotal: Operating	468,825,326	512,235,384	583,207,648	602,170,018	623,324,868
Capital Purchases And Equipment	2,475,168	303,696	755,670	460,430	325,430
Operating Transfers	75,469	56,081	70,198	70,198	70,198
Subtotal: Other	2,550,637	359,777	825,868	530,628	395,628
Total Expenditures	471,375,963	512,595,161	584,033,516	602,700,646	623,720,496
Expenditures by Source of Funds					
General Revenue	110,837,270	148,430,073	178,831,507	178,266,494	193,770,950
Federal Funds	3,087,713	1,640,041	2,109,465	1,925,417	545,243
Restricted Receipts	6,028,994	2,089,078	2,064,187	1,875,472	4,546,960
Operating Transfers From Other Funds	0	0	0	0	4,534,968
Other Funds	351,421,986	360,435,969	401,028,357	420,633,263	420,322,375
Total Expenditures	471,375,963	512,595,161	584,033,516	602,700,646	623,720,496
FTE Authorization	523.5	529.5	604.5	604.5	587.5

Personnel Agency Summary

DEPARTMENT OF REVENUE

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	492.5	28,233,421	475.5	28,437,713
Unclassified	112.0	7,441,428	112.0	7,985,956
Subtotal	604.5	35,674,849	587.5	36,423,669
Regular Wages		0		188,840
Salaries Adjustment		0		611,651
Overtime		239,900		239,900
Overtime (1.5)		775,123		803,735
Seasonal/Special Salaries/Wages		356,152		356,152
Turnover		(2,244,969)		(1,622,319)
Total Salaries		34,801,055		36,151,137
Benefits				
FICA		2,559,888		2,665,236
Health Benefits		7,149,558		7,260,799
Payroll Accrual		195,867		202,811
Retiree Health		2,013,119		2,311,444
Retirement		9,244,226		9,582,650
Subtotal		21,162,658		22,022,940
Total Salaries and Benefits	604.5	55,963,713	587.5	58,174,077
Cost Per FTE Position		92,579		99,020
Statewide Benefit Assessment		1,497,136		1,391,453
Payroll Costs	604.5	57,460,849	587.5	59,565,530
Purchased Services				
Buildings and Ground Maintenance		28,771		28,771
Clerical and Temporary Services		21,425		21,425
Information Technology		4,539,257		7,577,876
Legal Services		65,000		45,000
Management & Consultant Services		246,897		251,622
Other Contracts		190,300		805,300
Subtotal		5,091,650		8,729,994
Total Personnel	604.5	62,552,499	587.5	68,295,524
Distribution by Source of Funds				
General Revenue		47,440,869		47,512,205
Federal Funds		1,749,405		401,965
Restricted Receipts		923,559		3,585,184
Operating Transfers from Other Funds		0		4,534,968
Other Funds		12,438,666		12,261,202
Total All Funds		62,552,499		68,295,524

Performance Measures

DEPARTMENT OF REVENUE

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	40.00%	60.00%	70.00%	25.00%	35.00%
Actual	40.00%	32.00%	17.80%	0.00%	

DMV Transaction Wait Times

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	39.00	20.00	30.00	60.00	58.00
Actual	39.00	59.00	63.00	0.00	

Tax Returns Filed Online

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	65.00%	70.00%	75.00%	87.00%	88.00%
Actual	65.00%	85.00%	86.00%	0.00%	

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct line to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	285.00	300.00	350.00	750.00	1,000.00
Actual	285.00	606.00	656.00	0.00	

Program Summary

Agency: DEPARTMENT OF REVENUE

Director of Revenue

Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 substitute A of the FY 2007 Appropriations Act (R.I.G.L. § 42-142).

Budget

Agency: DEPARTMENT OF REVENUE

Director of Revenue

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Director of Revenue	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620
Total Expenditures	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620
Expenditures by Object					
Salary and Benefits	1,267,726	1,258,950	1,336,521	1,314,299	1,366,972
Operating Supplies and Expenses	16,881	693,001	784,256	778,190	772,623
Subtotal: Operating	1,284,607	1,951,951	2,120,777	2,092,489	2,139,595
Capital Purchases And Equipment	936	0	2,025	2,025	2,025
Subtotal: Other	936	0	2,025	2,025	2,025
Total Expenditures	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620
Expenditures by Source of Funds					
General Revenue	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620
Total Expenditures	1,285,543	1,951,951	2,122,802	2,094,514	2,141,620

Personnel

Agency: DEPARTMENT OF REVENUE

Director of Revenue

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	1.0	144,764	1.0	150,228
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	109,581	1.0	115,751
CHIEF IMPLEMENTATION AIDE	00128A	3.0	191,279	3.0	202,283
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	111,834	1.0	116,056
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	66,626	1.0	69,141
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	104,550	1.0	113,560
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	90,096	1.0	93,498
SENIOR LEGAL COUNSEL	00134A	1.0	80,869	1.0	83,923
Subtotal Classified		10.0	899,599	10.0	944,440
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	20956F	1.0	130,100	1.0	130,100
Subtotal Unclassified		1.0	130,100	1.0	130,100
Subtotal		11.0	1,029,699	11.0	1,074,540
Transfer Out			(179,706)		(186,464)
Turnover			(25,316)		(28,075)
Total Salaries			824,677		860,001
Benefits					
FICA			63,772		65,793
Health Benefits			110,455		113,008
Payroll Accrual			4,806		4,974
Retiree Health			49,850		57,191
Retirement			226,474		234,582
Subtotal			455,357		475,548
Total Salaries and Benefits		11.0	1,280,034	11.0	1,335,549
Cost Per FTE Position			116,367		121,414
Statewide Benefit Assessment			34,265		31,423
Payroll Costs		11.0	1,314,299	11.0	1,366,972
Total Personnel		11.0	1,314,299	11.0	1,366,972
Distribution by Source of Funds					
General Revenue			1,314,299		1,366,972
Total All Funds			1,314,299		1,366,972

Program Summary

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. Gen. Laws § 42-142-3).

Budget

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Revenue Analysis	745,009	732,773	905,219	775,389	841,407
Total Expenditures	745,009	732,773	905,219	775,389	841,407
Expenditures by Object					
Salary and Benefits	651,694	681,094	825,503	693,528	734,053
Contract Professional Services	30,000	7,500	0	0	0
Operating Supplies and Expenses	62,380	44,179	78,691	80,836	106,329
Subtotal: Operating	744,074	732,773	904,194	774,364	840,382
Capital Purchases And Equipment	935	0	1,025	1,025	1,025
Subtotal: Other	935	0	1,025	1,025	1,025
Total Expenditures	745,009	732,773	905,219	775,389	841,407
Expenditures by Source of Funds					
General Revenue	745,009	732,773	905,219	775,389	841,407
Total Expenditures	745,009	732,773	905,219	775,389	841,407

Personnel

Agency: DEPARTMENT OF REVENUE

Office of Revenue Analysis

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
DATA ANALYST III	00142A	1.0	98,317	1.0	107,113
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	90,007	1.0	96,713
SENIOR ECONOMIC AND POLICY ANALYST	00134A	3.0	233,962	3.0	245,373
Subtotal Classified		5.0	422,286	5.0	449,199
Unclassified					
CHIEF OF REVENUE ANALYSIS	00845A	1.0	142,829	1.0	148,222
Subtotal Unclassified		1.0	142,829	1.0	148,222
Subtotal		6.0	565,115	6.0	597,421
Transfer Out			(98,317)		(107,113)
Turnover			(23,265)		(19,167)
Total Salaries			443,533		471,141
Benefits					
FICA			33,625		35,202
Health Benefits			47,969		49,081
Payroll Accrual			2,580		2,718
Retiree Health			26,823		31,330
Retirement			122,368		129,045
Subtotal			233,365		247,376
Total Salaries and Benefits		6.0	676,898	6.0	718,517
Cost Per FTE Position			112,816		119,753
Statewide Benefit Assessment			16,630		15,536
Payroll Costs		6.0	693,528	6.0	734,053
Total Personnel		6.0	693,528	6.0	734,053
Distribution by Source of Funds					
General Revenue			693,528		734,053
Total All Funds			693,528		734,053

Program Summary

Agency: DEPARTMENT OF REVENUE

Lottery Division

Mission

The mission of the Rhode Island Lottery is to generate revenue for the State through the responsible management and sale of entertaining lottery products. It incorporates the highest standards of security and integrity. It emphasizes customer service and maintaining the public's trust.

Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report. The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi-State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses. R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River. As required by the Rhode Island Constitution, Article VI Section XV and as provided, inter alia, in R.I. Gen. Laws § 42-61.2-3.1, the Division of Lotteries operates all aspects of the Table Games. Sixteen percent (16%) of net Table Game Revenue is allocated to the State Lottery Fund for administrative purposes, with the balance transferred to the State's General Fund.

Statutory History

R.I. Gen. Laws § 42-61 provides the general authority for the State Division of Lottery. R.I. Gen. Laws § 42-142 establishes the State Lottery as a division of the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Lottery Division

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Lottery Division	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414
Total Expenditures	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414
Expenditures by Object					
Salary and Benefits	8,804,010	9,012,860	11,025,226	11,322,997	12,114,230
Contract Professional Services	1,180,990	1,272,137	2,737,864	124,286	129,011
Operating Supplies and Expenses	340,273,985	348,835,741	386,139,750	407,670,505	407,563,505
Assistance And Grants	117,407	182,544	110,250	171,713	171,713
Subtotal: Operating	350,376,392	359,303,282	400,013,090	419,289,501	419,978,459
Capital Purchases And Equipment	0	0	170,955	170,955	170,955
Subtotal: Other	0	0	170,955	170,955	170,955
Total Expenditures	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414
Expenditures by Source of Funds					
Other Funds	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414
Total Expenditures	350,376,392	359,303,282	400,184,045	419,460,456	420,149,414

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER	00829A	1.0	72,208	1.0	74,935
ADMINISTRATIVE OFFICER	00822A	1.0	64,105	1.0	66,481
ASSISTANT CONTROLLER	00824A	2.0	119,278	2.0	125,852
ASSISTANT FIELD REPRESENTATIVE	00818A	1.0	49,607	1.0	51,480
ASSISTANT MANAGER-MARKETING- AGENT LICENSES & REPRES.	00826A	2.0	137,978	2.0	143,188
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824A	1.0	66,892	1.0	70,600
ASSISTANT PRODUCTION WORKER	00818A	2.0	93,003	2.0	98,058
CASINO COMPLIANCE REPRESENTATIVE	00825A	21.0	1,135,017	21.0	1,290,807
CASINO COMPLIANCE REPRESENTATIVE	00825JA	1.0	56,206	1.0	60,364
CASINO COMPLIANCE SUPERVISOR	00829A	3.0	199,310	3.0	219,314
CASINO COMPLIANCE SUPERVISOR	00829JA	1.0	51,661	1.0	67,313
CASINO FINANCIAL ANALYST	00832A	2.0	147,616	2.0	159,318
CASINO FINANCIAL ANALYST SUPERVISOR	00834A	1.0	98,249	1.0	101,870
CASINO GAMING OPERATIONS INVEIGATOR	00826A	5.0	305,613	5.0	328,224
CASINO GAMING OPERATIONS INVEIGATOR	00826JA	1.0	46,046	1.0	59,970
CASINO INSPECTIONS AND STANDARDS COMPLIANCE MANAGER	00832A	1.0	81,064	1.0	84,124
CASINO IT SUPPORT SPECIALIST	00826A	1.0	64,176	1.0	66,599
CASINO OPERATIONS AND COMPLIANCE MANAGER	00841A	1.0	139,598	1.0	144,869
CASINO SECURITY INSPECTOR	00827A	7.0	427,017	7.0	470,017
CASINO SECURITY MANAGER	00829A	1.0	75,818	1.0	78,682
CASINO SENIOR COMPLIANCE SUPERVISOR	00834A	1.0	91,559	1.0	95,016
CASINO SENIOR COMPLIANCE SUPERVISOR	00834JA	1.0	79,217	1.0	85,210
CASINO SURVEILLANCE ANALYST	00832A	2.0	142,627	2.0	162,022
CASINO TABLE GAMES ACCOUNTING MANAGER	00833A	2.0	141,174	2.0	164,098
COMPUTER PROGRAMMER	00825A	1.0	56,628	1.0	60,861
CONTROLLER (LOTTERY)	00834A	1.0	84,433	1.0	84,433
DEPUTY DIRECTOR (LOTTERY)	00842A	1.0	142,477	1.0	147,856
DIRECTOR MANAGEMENT INFORMATION SYSTEMS (LOTTERY)	00839A	1.0	122,459	1.0	127,082
EXECUTIVE SECRETARY	00822A	1.0	65,687	1.0	68,166
FIELD REPRESENTATIVE (LOTTERY)	00822A	10.0	580,608	10.0	604,125
FINANCE ADMINISTRATION (MANAGER)	00839A	1.0	111,810	1.0	116,031
INFORMATION TECHNOLOGY SECURITY MANAGER	00829A	1.0	72,208	1.0	74,935
INFORMATION TECHNOLOGY SECURITY MANAGER	00829JA	1.0	51,661	1.0	67,313

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827A	1.0	76,804	1.0	79,703
INTERNAL AUDITOR	00833JA	1.0	76,428	1.0	82,204
JUNIOR MAINTENANCE PERSON	00801A	1.0	32,763	1.0	34,200
LEGAL COUNSEL	00000A	1.0	78,095	1.0	81,044
LICENSING CLERK	00820A	1.0	55,736	1.0	57,840
LOTTERY DIRECTOR	00816F	1.0	144,041	1.0	144,041
LOTTERY SALES & MARKETING MANAGER	00834A	1.0	91,559	1.0	95,016
MAINTENANCE PERSON (LOTTERY)	00822A	1.0	64,318	1.0	66,746
MANAGER MARKETING AGENT LICENSES AND REPRESENTATIVES	00834A	1.0	91,559	1.0	95,016
PRINCIPAL PROJECTS MANAGER	00831A	2.0	163,888	2.0	170,077
PROBLEM GAMBLING PROGRAM MGR	00836A	1.0	86,045	1.0	92,886
PRODUCTION CLERK	00822A	1.0	53,268	1.0	56,805
PRODUCTION MANAGER (LOTTERY)	00828A	1.0	69,400	1.0	72,020
PROJECT COORDINATOR	00826A	1.0	67,385	1.0	69,929
RECEPTIONIST	00817A	1.0	56,214	1.0	58,202
SECRETARY	00818A	3.0	151,184	3.0	156,892
SECURITY MANAGER	00827A	1.0	70,125	1.0	72,772
SUPERVISOR PERSONNEL RECORDS	00821A	1.0	58,010	1.0	60,200
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822A	1.0	53,664	1.0	56,805
TICKET ACCOUNTING CLERK (LOTTERY)	00820A	1.0	51,159	1.0	54,488
VALIDATIONS OFFICER	00817JA	1.0	41,227	1.0	43,277
VIDEO LOTTERY SUPERVISOR	00827A	1.0	73,465	1.0	76,238
VIDEO LOTTERY SYSTEMS MANAGER	00827A	1.0	66,786	1.0	69,307
Subtotal Unclassified		106.0	6,946,133	106.0	7,464,921
Subtotal		106.0	6,946,133	106.0	7,464,921
Transfer In			108,573		112,671
Overtime			239,900		239,900
Seasonal/Special Salaries/Wages			3,107		3,107
Turnover			(270,779)		(291,176)
Total Salaries			7,026,934		7,529,423

Personnel

Agency: DEPARTMENT OF REVENUE

Lottery Division

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Benefits				
FICA		517,788		556,146
Health Benefits		1,162,847		1,192,318
Payroll Accrual		39,246		42,264
Retiree Health		405,679		484,539
Retirement		1,858,431		2,003,505
Subtotal		3,983,991		4,278,772
Total Salaries and Benefits	106.0	11,010,925	106.0	11,808,195
Cost Per FTE Position		103,877		111,398
Statewide Benefit Assessment		312,072		306,035
Payroll Costs	106.0	11,322,997	106.0	12,114,230
Purchased Services				
Buildings and Ground Maintenance		10,361		10,361
Clerical and Temporary Services		19,425		19,425
Management & Consultant Services		94,500		99,225
Subtotal		124,286		129,011
Total Personnel	106.0	11,447,283	106.0	12,243,241
Distribution by Source of Funds				
Other Funds		11,447,283		12,243,241
Total All Funds		11,447,283		12,243,241

Program Summary

Agency: DEPARTMENT OF REVENUE

Municipal Finance

Mission

The Division of Municipal's Finance's mission is to provide guidance to municipalities in achieving and maintaining fiscal stability. The Division promotes the highest standards in local government fiscal policy and decision-making. It encourages transparency by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Description

The Division of Municipal Finance's responsibilities include assisting municipalities and fire districts to achieve sound fiscal management through data analysis, technical assistance, training, and oversight. The Division encourages cooperation between municipalities, fire districts, and the State by distributing relevant and timely information. It provides technical assistance to distressed municipalities and fire districts. The Division maintains a databank on local finances and property values for the benefit of municipalities, fire districts, and public policy decision-makers. In addition, the Division annually calculates municipal property wealth. It calculates and distributes municipal state aid programs and advises municipalities on the statistical property valuation process. Finally, the Division provides staff assistance to the Local Pension and Other Post Employment Benefit (OPEB) Study Commission.

Statutory History

R.I. Gen. Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Municipal Finance

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Municipal Affairs	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673
Total Expenditures	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673
Expenditures by Object					
Salary and Benefits	1,635,732	1,488,634	1,689,132	1,602,439	1,241,513
Contract Professional Services	157,478	40,323	65,000	65,000	45,000
Operating Supplies and Expenses	101,658	88,492	86,186	77,973	77,920
Assistance And Grants	852,422	911,368	905,018	905,018	305,018
Aid To Local Units Of Government	127,832	117,779	67,596	67,596	50,697
Subtotal: Operating	2,875,122	2,646,596	2,812,932	2,718,026	1,720,148
Capital Purchases And Equipment	935	0	2,525	2,525	2,525
Subtotal: Other	935	0	2,525	2,525	2,525
Total Expenditures	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673
Expenditures by Source of Funds					
General Revenue	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673
Total Expenditures	2,876,057	2,646,596	2,815,457	2,720,551	1,722,673

Personnel

Agency: DEPARTMENT OF REVENUE

Municipal Finance

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OFFICE OF MUNICIPAL AFFAIRS	00138A	1.0	85,172	1.0	88,387
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	116,050	1.0	120,431
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	57,789	0.0	0
FISCAL MANAGEMENT OFFICER	00B26A	2.0	136,531	1.0	81,413
PRINCIPAL PROGRAM ANALYST	00328A	1.0	59,526	0.0	0
PRODUCTIVITY PROJECT DIRECTOR	00130A	1.0	49,593	1.0	63,532
PROGRAMMING SERVICES OFFICER	00131A	1.0	64,965	1.0	69,854
STATE AID AND FINANCE SPECIALIST - MUNICIPAL AFFAIRS	00332A	5.0	377,028	5.0	389,013
SUPERVISOR LOCAL GOVERNMENT ASSISTANCE	00833A	1.0	93,798	1.0	97,261
Subtotal Classified		14.0	1,040,452	11.0	909,891
Unclassified					
ZProgram Reduction FY20	00000A	0.0	0	(2.0)	(146,409)
Subtotal Unclassified		0.0	0	(2.0)	(146,409)
Subtotal		14.0	1,040,452	9.0	763,482
Turnover			(77,744)		(36,380)
Total Salaries			962,708		727,102
Benefits					
FICA			70,039		55,623
Health Benefits			198,946		180,135
Payroll Accrual			5,610		4,214
Retiree Health			58,220		48,353
Retirement			263,878		197,366
Subtotal			596,693		485,691
Total Salaries and Benefits		14.0	1,559,401	9.0	1,212,793
Cost Per FTE Position			111,386		134,755
Statewide Benefit Assessment			43,038		28,720
Payroll Costs		14.0	1,602,439	9.0	1,241,513
Purchased Services					
Legal Services			65,000		45,000
Subtotal			65,000		45,000
Total Personnel		14.0	1,667,439	9.0	1,286,513

Personnel

Agency: DEPARTMENT OF REVENUE

Municipal Finance

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,667,439		1,286,513
Total All Funds		1,667,439		1,286,513

Program Summary

Agency: DEPARTMENT OF REVENUE

Taxation

Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assist taxpayers by helping them understand and meet their tax responsibilities.

Description

The Office of Tax Administrator is responsible for providing the overall guidance and direction of the Division of Taxation. The Office oversees the operations of the Division and is directly responsible for overseeing the appeals process, legislative tracking and legislative testimony, tax credit administration, forms management, website maintenance, and overseeing the voluntary disclosure program. The Tax Processing section is responsible for the management and security of all processing operations in the Division. The unit performs all activities relating to the receipt of cash payments and the processing of tax returns. It also registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Compliance and Collections section's primary function is to maintain the integrity of the Rhode Island tax system through the collection of delinquent taxes and tax returns from individuals and businesses. This unit is responsible for compliance, collection and, if necessary, enforcement actions to collect all taxes administered by the Division. The Field Audit section is responsible for conducting comprehensive tax audits of the accounting and related records of individuals, partnerships, and corporate business organizations to determine their proper tax liability under State tax laws. The Field Audit section workload is divided into specialty audits and routine audits conducted in-state and out-of-state. The Office of Assessment and Review is responsible for the review and audit of tax returns received by the Division. It is also responsible for the maintenance of the Division's rules and regulations as well as educating taxpayers. The Employer Tax section operates the State's Unemployment Insurance (UI), Temporary Disability Insurance (TDI) and Job Development Fund (JDF) tax receipt programs.

Statutory History

R.I. Gen. Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Taxation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Assessment and Review	3,458,525	3,555,190	3,917,083	3,815,638	4,193,312
Compliance and Collection	3,818,306	3,675,548	4,894,708	4,477,866	5,350,951
Employer Tax	3,054,193	3,256,386	3,211,048	3,303,974	0
Field Audit	7,567,330	7,234,208	8,118,271	7,561,574	7,998,599
Tax Administrator	2,695,940	1,837,318	2,379,101	2,814,358	4,029,107
Tax Processing Division	4,497,183	8,597,148	8,388,215	10,298,202	10,829,176
Total Expenditures	25,091,477	28,155,798	30,908,426	32,271,612	32,401,145
Expenditures by Object					
Salary and Benefits	21,879,608	22,012,244	24,875,391	24,517,246	23,916,333
Contract Professional Services	508,464	1,554,771	3,984,156	3,980,618	4,539,197
Operating Supplies and Expenses	1,713,969	4,574,524	2,013,606	3,723,475	3,910,342
Assistance And Grants	698,839	165	1,273	1,273	1,273
Subtotal: Operating	24,800,880	28,141,704	30,874,426	32,222,612	32,367,145
Capital Purchases And Equipment	290,597	14,094	34,000	49,000	34,000
Subtotal: Other	290,597	14,094	34,000	49,000	34,000
Total Expenditures	25,091,477	28,155,798	30,908,426	32,271,612	32,401,145
Expenditures by Source of Funds					
General Revenue	20,862,227	24,749,038	27,523,727	28,794,677	31,438,000
Federal Funds	2,306,503	1,340,610	1,912,976	1,365,432	0
Restricted Receipts	877,153	933,463	627,411	938,696	790,184
Other Funds	1,045,594	1,132,687	844,312	1,172,807	172,961
Total Expenditures	25,091,477	28,155,798	30,908,426	32,271,612	32,401,145

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	00320A	1.0	46,442	1.0	49,029
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	1.0	60,309	1.0	65,335
ASSOCIATE DIRECTOR- REVENUE SERVICES (TAXATION)	00144A	1.0	146,074	1.0	151,554
ASSOCIATE DIRECTOR TAX POLICY	00143A	1.0	101,318	1.0	107,546
BUSINESS ANALYST (DOR)	00328A	2.0	117,188	2.0	119,394
CHIEF BUSINESS MANAGEMENT OFFICER	00134A	2.0	149,365	2.0	160,636
CHIEF COMPLIANCE AND COLLECTIONS	00140A	1.0	88,179	1.0	93,127
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	82,167	1.0	86,740
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	102,847	1.0	111,776
CHIEF OF TAX PROCESSING SERVICES	00140A	4.0	449,038	3.0	346,975
CHIEF REVENUE AGENT	00138A	7.0	725,774	7.0	758,808
DATA ANALYST I	00134A	2.0	145,182	2.0	156,136
DATA ANALYST II	00138A	1.0	83,606	1.0	89,912
DATA ENTRY OPERATOR	00310A	1.0	36,649	1.0	38,033
EMPLOYER REGISTRATION SUPERVISOR	00326A	1.0	58,311	0.0	0
EXECUTIVE DIRECTOR (DOA)/TAX ADMINISTRATOR	00152A	1.0	170,899	1.0	177,352
IMPLEMENTATION AIDE	00322A	1.0	44,644	1.0	47,012
PRINCIPAL REVENUE AGENT	00831A	19.0	1,486,239	15.0	1,238,255
PROGRAMMING SERVICES OFFICER	00131A	2.0	152,428	2.0	158,140
REVENUE AGENT I	00324A	14.0	692,400	20.0	1,040,396
REVENUE AGENT II	00326A	20.0	1,110,568	20.0	1,181,509
REVENUE ANALYST	00328A	1.0	77,291	1.0	80,168
REVENUE OFFICER	00321A	9.0	448,251	0.0	0
REVENUE OFFICER I	00322A	33.0	1,514,260	33.0	1,609,914
REVENUE OFFICER I	00323A	2.0	95,913	2.0	102,998
REVENUE OFFICER II	00324A	2.0	98,025	2.0	104,895
REVENUE OFFICER SPECIAL INVESTIGATIONS	00326A	3.0	207,422	3.0	215,181
REVENUE POLICY ANALYST (DOR)	00132A	1.0	70,375	1.0	76,599
SENIOR BUSINESS ANALYST (DOR)	00331A	2.0	128,308	2.0	137,760
SENIOR LEGAL COUNSEL	00134A	1.0	77,969	2.0	159,235
SENIOR REVENUE AGENT	00328A	37.0	2,521,545	37.0	2,626,753
STOREKEEPER	00315A	1.0	45,076	1.0	46,778
SUPERVISING REVENUE OFFICER	00831A	5.0	383,449	4.0	313,583
TAX AIDE I	00316A	21.0	869,343	20.0	863,548
TAX AIDE II	00318A	21.0	972,874	11.0	537,764

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
TAX EXAMINER (DOA)	00321A	8.0	410,975	0.0	0
TAX INVESTIGATOR	00320A	0.0	0	2.0	88,770
TAX INVESTIGATOR	00323A	4.0	204,701	5.0	262,323
TAXPAYER SERVICE SPECIALIST	00323A	10.0	572,763	11.0	649,349
TELLER	00315A	1.0	49,174	1.0	51,030
TRAINING SUPERVISOR	00326A	2.0	114,215	1.0	60,942
Subtotal Classified		248.0	14,911,556	223.0	14,165,255
Unclassified					
BUSINESS ANALYST (DOR)	00328A	2.0	123,646	2.0	132,722
LEGAL COUNSEL	00357F	0.0	0	2.0	154,262
Subtotal Unclassified		2.0	123,646	4.0	286,984
Subtotal		250.0	15,035,202	227.0	14,452,239
Transfer In			184,744		200,067
Regular Wages			0		188,840
Overtime (1.5)			360,903		373,874
Seasonal/Special Salaries/Wages			351,296		351,296
Turnover			(945,570)		(644,883)
Total Salaries			14,986,575		14,732,593
Benefits					
FICA			1,088,600		1,058,817
Health Benefits			2,890,279		2,684,938
Payroll Accrual			84,271		82,155
Retiree Health			859,297		928,663
Retirement			3,970,510		3,877,890
Subtotal			8,892,957		8,632,463
Total Salaries and Benefits		250.0	23,879,532	227.0	23,365,056
Cost Per FTE Position			95,518		102,930
Statewide Benefit Assessment			637,714		551,277
Payroll Costs		250.0	24,517,246	227.0	23,916,333
Purchased Services					
Clerical and Temporary Services			2,000		2,000
Information Technology			3,816,421		4,000,000
Management & Consultant Services			152,397		152,397
Other Contracts			9,800		384,800

Personnel

Agency: DEPARTMENT OF REVENUE

Taxation

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		3,980,618		4,539,197
Total Personnel	250.0	28,497,864	227.0	28,455,530
Distribution by Source of Funds				
General Revenue		25,250,224		27,722,385
Federal Funds		1,332,698		0
Restricted Receipts		923,559		715,184
Other Funds		991,383		17,961
Total All Funds		28,497,864		28,455,530

Program Summary

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

Mission

The Division of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles. It is committed to providing excellent customer service with integrity and transparency.

Description

The Division of Motor Vehicles (DMV), also known as the “Registry of Motor Vehicles,” is responsible for administering and enforcing all laws pertaining to the operation and registration of motor vehicles and the issuance of licenses. It is responsible for the enforcement of all laws relating to the issuance, suspension, and revocation of motor vehicle registrations and licenses. It is responsible for administering the inspection of motor vehicles and the study of motor vehicle accidents.

Statutory History

R.I. Gen. Laws § 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

Budget

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Registry of Motor Vehicles	25,110,941	25,951,363	30,690,829	27,463,954	32,720,219
Vehicle Value Commission	28,795	29,527	29,526	29,247	29,239
Total Expenditures	25,139,736	25,980,890	30,720,355	27,493,201	32,749,458
Expenditures by Object					
Salary and Benefits	15,414,870	15,940,246	17,470,443	17,542,208	19,449,559
Contract Professional Services	1,791,779	489,841	692,000	861,746	3,956,786
Operating Supplies and Expenses	5,674,749	9,204,016	11,941,470	8,791,045	9,164,911
Assistance And Grants	1,104	1,104	1,104	1,104	1,104
Subtotal: Operating	22,882,502	25,635,207	30,105,017	27,196,103	32,572,360
Capital Purchases And Equipment	2,181,765	289,602	545,140	226,900	106,900
Operating Transfers	75,469	56,081	70,198	70,198	70,198
Subtotal: Other	2,257,234	345,683	615,338	297,098	177,098
Total Expenditures	25,139,736	25,980,890	30,720,355	27,493,201	32,749,458
Expenditures by Source of Funds					
General Revenue	20,121,231	25,482,961	30,009,103	26,918,453	24,834,484
Federal Funds	781,210	299,431	196,489	559,985	545,243
Restricted Receipts	4,237,295	198,498	514,763	14,763	2,834,763
Operating Transfers from Other Funds	0	0	0	0	4,534,968
Total Expenditures	25,139,736	25,980,890	30,720,355	27,493,201	32,749,458

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADJUDICATION SERVICE REPRESENTATIVE	00318A	7.0	327,750	7.0	334,196
ADMINISTRATIVE OFFICER	00124A	2.0	120,949	2.0	125,485
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	88,564	1.0	96,382
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	99,451	1.0	108,269
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS. CONTR. DIV.	00125A	1.0	56,707	1.0	58,848
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR CUSTOMER SVS	00140A	1.0	116,517	1.0	120,916
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR SAFETY & REG	00140A	1.0	106,385	1.0	110,401
ASSOCIATE DIRECTOR REVENUE SERVICE (MOTOR VEHICLES)	00144A	1.0	120,964	1.0	125,531
AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00317A	2.0	85,248	3.0	130,647
AUTOMOTIVE SERVICE SPECIALIST	00318A	3.0	137,915	3.0	143,123
CDL PROGRAM COORDINATOR (DMV)	00134A	1.0	89,492	1.0	92,842
CHIEF APPEALS OFFICER (MOTOR VEHICLES)	00326A	1.0	70,470	1.0	73,130
CHIEF IMPLEMENTATION AIDE	00128A	2.0	128,549	2.0	136,806
CHIEF MOTOR VEHICLE FLEET REGISTRATION PROGRAMS (DOA)	00128A	1.0	70,453	1.0	73,112
CHIEF MOTOR VEHICLE SAFETY AND EMISSION CONTROL DIV.	00135A	1.0	88,058	1.0	91,384
CHIEF OFFICE OF ENFORCEMENT AND INSPECTIONS (DOA)	00137A	1.0	86,427	1.0	92,954
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	83,865	1.0	87,032
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	1.0	94,547	1.0	100,708
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	116,050	1.0	120,431
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	95,021	1.0	98,609
COORDINATOR- MOTOR CARRIER & SCHOOL BUS SAFETY PRG/DOA	00133A	1.0	89,532	1.0	92,913
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	39.5	1,475,190	47.5	1,871,716
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00318A	1.0	44,489	1.0	46,169
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	17.0	747,520	17.0	781,714
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	00321A	31.0	1,608,362	31.0	1,678,034
CUSTOMER SERVICE SPECIALIST I	00315A	3.0	132,466	3.0	138,206
DATA ANALYST I	00134A	1.0	73,953	1.0	76,745
DATABASE MANAGEMENT SYSTEM SPECIALIST	00326A	6.0	343,229	6.0	363,210
DEALER LICENSING OFFICER (DMV)	00128A	1.0	75,227	1.0	78,068
FISCAL MANAGEMENT OFFICER	03326A	1.0	55,832	1.0	57,940

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
INFORMATION AIDE	00315A	12.0	488,590	12.0	514,298
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	55,001	1.0	57,032
LICENSE INVESTIGATOR	00322A	7.0	359,889	7.0	375,352
LICENSING AIDE	00315A	6.0	251,983	6.0	262,220
MOTOR VEHICLE APPEALS OFFICER	00324A	11.0	615,060	11.0	646,552
MOTOR VEHICLE OPERATOR EXAMINER	00319A	9.0	417,233	9.0	437,546
PRINCIPAL CLERK-STENOGRAPHER	00313A	1.0	43,499	1.0	45,123
PRINCIPAL CLERK-TYPIST	00312A	1.0	44,043	1.0	45,706
PROGRAMMING SERVICES OFFICER	00131A	2.0	146,811	2.0	159,121
PROPERTY CONTROL AND SUPPLY OFFICER	00317A	2.0	85,240	2.0	90,522
SENIOR AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00321A	2.0	102,428	3.0	151,927
SENIOR COMMUNITY DEVELOPMENT TRAINING SPECIALIST	00326A	1.0	72,105	1.0	74,710
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	00321A	1.0	45,720	1.0	47,447
SENIOR TELLER	00318A	4.0	202,728	4.0	208,272
SENIOR WORD PROCESSING TYPIST	00312A	1.0	45,958	1.0	47,693
SUPERVISOR COMPUTER OPERATIONS	03328A	1.0	74,286	1.0	77,031
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	03327A	2.0	128,056	2.0	132,888
SUPERVISOR OF BRANCH OFFICE SERVICES (MOTOR VEHICLES)	03325A	12.0	625,887	12.0	658,137
TELLER	00315A	1.0	40,082	1.0	42,525
Subtotal Classified		209.5	10,673,781	219.5	11,579,623
Unclassified					
ADJUDICATION SERVICE REPRESENTATIVE	00318A	1.0	47,494	1.0	47,494
MEMBER OF MEDICAL ADVISORY BOARD (MV)	40508D	0.0	3,000	0.0	3,000
Subtotal Unclassified		1.0	50,494	1.0	50,494
Subtotal		210.5	10,724,275	220.5	11,630,117
Transfer Out			(86,427)		(92,954)
Transfer In			71,133		73,793
Salaries Adjustment			0		611,651
Overtime (1.5)			414,220		429,861
Seasonal/Special Salaries/Wages			1,749		1,749
Turnover			(831,850)		(587,729)
Total Salaries			10,293,100		11,404,837

Personnel

Agency: DEPARTMENT OF REVENUE

Registry of Motor Vehicles

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Benefits				
FICA		765,625		861,061
Health Benefits		2,657,117		2,921,404
Payroll Accrual		57,810		64,017
Retiree Health		597,272		733,035
Retirement		2,729,679		3,023,570
Subtotal		6,807,503		7,603,087
Total Salaries and Benefits	210.5	17,100,603	220.5	19,007,924
Cost Per FTE Position		81,238		86,204
Statewide Benefit Assessment		441,605		441,635
Payroll Costs	210.5	17,542,208	220.5	19,449,559
Purchased Services				
Buildings and Ground Maintenance		18,410		18,410
Information Technology		662,836		3,517,876
Other Contracts		180,500		420,500
Subtotal		861,746		3,956,786
Total Personnel	210.5	18,403,954	220.5	23,406,345
Distribution by Source of Funds				
General Revenue		17,987,247		15,599,412
Federal Funds		416,707		401,965
Restricted Receipts		0		2,870,000
Operating Transfers from Other Funds		0		4,534,968
Total All Funds		18,403,954		23,406,345

Program Summary

Agency: DEPARTMENT OF REVENUE

State Aid

Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration. The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax exempt property (subject to appropriation). The FY 2017 revised budget is funded at \$42.0 million, while the FY 2018 proposed budget is funded at \$45.2 million. Data used to determine distribution amounts is updated annually to reflect the most recent data. The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. The program is level funded at \$12.4 million in FY 2017 revised and FY 2018 proposed. Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed critical must submit a Funding Improvement Plan under RIGL45-13.2. The FY 2018 budget continues to provide funding for the Property Valuation Statistical Update Program, which reimburses cities and towns for legislatively mandated property valuation statistical updates on a per parcel basis. Funding for FY 2017 revised is estimated at \$559,901. The projected FY 2018 funding is \$937,228 for estimated actual cost of reimbursement based on similar communities. The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven year period. There have been various legislative changes to the legislation since its inception. Total funding enacted in FY 2017 revised and FY 2018 proposed to support this program is \$10.0 million.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

Budget

Agency: DEPARTMENT OF REVENUE

State Aid

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
State Aid	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130
Total Expenditures	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130
Expenditures by Object					
Contract Professional Services	0	129,229	0	0	0
Aid To Local Units Of Government	65,861,749	93,694,642	115,775,457	117,309,015	132,815,130
Subtotal: Operating	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130
Total Expenditures	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130
Expenditures by Source of Funds					
General Revenue	64,947,203	92,866,754	114,853,444	116,387,002	131,893,117
Restricted Receipts	914,546	957,117	922,013	922,013	922,013
Total Expenditures	65,861,749	93,823,871	115,775,457	117,309,015	132,815,130

Program Summary

Agency: DEPARTMENT OF REVENUE

Division of Collections

Mission

Improve performance of delinquent debt collection activities, providing consistent oversight of outstanding receivables, managing the revenue stream associated with the collection of delinquent accounts, and leveraging existing talent tools.

Description

This collection unit is modeled after other states and will move collection functions into the Department of Revenue, Collections Unit. This program consolidates collection functions of delinquent debts. The Collections Unit will be staffed with new hires who have knowledge and experience with the management and collection of delinquent debts. When the debtor has not complied with a final agreement with the agency, a final administrative order/decision, and/or a final order or judgment from court the delinquent debt information will be transferred to the Collections Unit for more aggressive collection actions. The Collections Unit is expected to generate \$670,000 net revenue during FY 2019.

Statutory History

The Governor has submitted legislation pertaining to the creation of this Division in Sections 2 and 3 of Article 4 in the FY 2019 Appropriations Act.

Budget

Agency: DEPARTMENT OF REVENUE

Division of Collections

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Collections	0	0	601,755	575,908	899,649
Total Expenditures	0	0	601,755	575,908	899,649
Expenditures by Object					
Salary and Benefits	0	0	493,215	468,132	742,870
Contract Professional Services	0	0	108,540	60,000	60,000
Operating Supplies and Expenses	0	0	0	39,776	88,779
Subtotal: Operating	0	0	601,755	567,908	891,649
Capital Purchases And Equipment	0	0	0	8,000	8,000
Subtotal: Other	0	0	0	8,000	8,000
Total Expenditures	0	0	601,755	575,908	899,649
Expenditures by Source of Funds					
General Revenue	0	0	601,755	575,908	899,649
Total Expenditures	0	0	601,755	575,908	899,649

Personnel

Agency: DEPARTMENT OF REVENUE

Division of Collections

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	00320A	2.0	84,468	2.0	88,770
BILLING SPECIALIST	00318A	1.0	22,643	1.0	42,225
CHIEF OF LEGAL SERVICES	00139A	1.0	86,562	1.0	93,127
LEGAL COUNSEL	00132A	0.0	0	1.0	68,223
SENIOR ACCOUNTANT	00323A	2.0	92,074	2.0	96,960
Subtotal Classified		6.0	285,747	7.0	389,305
Unclassified					
ADMINISTRATIVE OFFICER	00822A	1.0	48,226	1.0	51,644
Subtotal Unclassified		1.0	48,226	1.0	51,644
Subtotal		7.0	333,973	8.0	440,949
Turnover			(70,445)		(14,909)
Total Salaries			263,528		426,040
Benefits					
FICA			20,439		32,594
Health Benefits			81,945		119,915
Payroll Accrual			1,544		2,469
Retiree Health			15,978		28,333
Retirement			72,886		116,692
Subtotal			192,792		300,003
Total Salaries and Benefits		7.0	456,320	8.0	726,043
Cost Per FTE Position			65,189		90,755
Statewide Benefit Assessment			11,812		16,827
Payroll Costs		7.0	468,132	8.0	742,870
Purchased Services					
Information Technology			60,000		60,000
Subtotal			60,000		60,000
Total Personnel		7.0	528,132	8.0	802,870
Distribution by Source of Funds					
General Revenue			528,132		802,870
Total All Funds			528,132		802,870

Agency Summary

GENERAL ASSEMBLY

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

Budget

GENERAL ASSEMBLY

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
General Assembly	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160
Fiscal Advisory Staff	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588
Legislative Council	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213
Joint Comm. on Legislative Services	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705
Auditor General	4,591,878	5,100,409	5,837,335	5,852,907	6,085,820
Special Legislative Commissions	8,539	7,841	13,900	13,638	13,629
Total Expenditures	38,325,853	39,282,079	45,412,322	50,769,941	46,586,115
Expenditures by Object					
Salary And Benefits	32,309,921	33,843,374	38,094,244	37,909,003	39,437,470
Contract Professional Services	367,398	442,260	680,500	1,770,500	680,500
Operating Supplies And Expenses	3,071,105	2,622,492	3,551,078	7,096,938	3,601,645
Assistance And Grants	2,051,025	2,183,814	2,300,000	2,300,000	2,300,000
Subtotal: Operating	37,799,449	39,091,940	44,625,822	49,076,441	46,019,615
Capital Purchases And Equipment	526,404	190,139	786,500	1,693,500	566,500
Subtotal: Other	526,404	190,139	786,500	1,693,500	566,500
Total Expenditures	38,325,853	39,282,079	45,412,322	50,769,941	46,586,115
Expenditures by Source of Funds					
General Revenue	36,925,853	37,831,305	43,691,627	49,000,993	44,754,101
Restricted Receipts	1,400,000	1,450,774	1,720,695	1,768,948	1,832,014
Total Expenditures	38,325,853	39,282,079	45,412,322	50,769,941	46,586,115
FTE Authorization	298.5	298.5	298.5	298.5	298.5

Personnel Agency Summary

GENERAL ASSEMBLY

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified	298.5	22,654,387	298.5	23,440,113
Subtotal	298.5	22,654,387	298.5	23,440,113
Salaries Adjustment		500		600
Seasonal/Special Salaries/Wages		570,000		570,000
Turnover		(223,956)		0
Total Salaries		23,000,931		24,010,713
Benefits				
Contract Stipends		30,000		30,000
FICA		1,737,717		1,795,824
Health Benefits		5,121,671		5,238,994
Payroll Accrual		128,490		133,254
Retiree Health		1,258,789		1,435,528
Retirement		5,631,952		5,867,907
Subtotal		13,908,619		14,501,507
Total Salaries and Benefits	298.5	36,909,550	298.5	38,512,220
Cost Per FTE Position		123,650		129,019
Statewide Benefit Assessment		999,453		925,250
Payroll Costs	298.5	37,909,003	298.5	39,437,470
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Clerical and Temporary Services		80,000		80,000
Design and Engineering Services		110,000		0
Information Technology		495,000		220,000
Legal Services		270,000		215,000
Management & Consultant Services		808,500		158,500
Other Contracts		3,500		3,500
Subtotal		1,770,500		680,500
Total Personnel	298.5	39,679,503	298.5	40,117,970
Distribution by Source of Funds				
General Revenue		38,061,729		38,438,836
Restricted Receipts		1,617,774		1,679,134
Total All Funds		39,679,503		40,117,970

Program Summary

Agency: GENERAL ASSEMBLY

General Assembly

Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

Budget

Agency: GENERAL ASSEMBLY

General Assembly

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160
Total Expenditures	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160
Expenditures by Object					
Salary and Benefits	3,635,007	3,723,217	3,890,252	3,808,403	3,834,207
Contract Professional Services	312,309	364,367	442,000	1,167,000	442,000
Operating Supplies and Expenses	1,541,267	1,549,801	1,937,819	4,127,539	1,856,953
Subtotal: Operating	5,488,583	5,637,385	6,270,071	9,102,942	6,133,160
Capital Purchases And Equipment	82,952	28,890	492,000	1,174,000	172,000
Subtotal: Other	82,952	28,890	492,000	1,174,000	172,000
Total Expenditures	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160
Expenditures by Source of Funds					
General Revenue	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160
Total Expenditures	5,571,535	5,666,275	6,762,071	10,276,942	6,305,160

Personnel

Agency: GENERAL ASSEMBLY

General Assembly

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	00509F	0.0	1,180,966	0.0	1,180,966
REPRESENTATIVE-SPEAKER OF THE HOUSE	00511F	0.0	31,917	0.0	31,917
SENATOR	00509F	0.0	590,483	0.0	590,483
SENATOR-PRESIDENT OF THE SENATE	00511F	0.0	31,917	0.0	31,917
Subtotal Unclassified		0.0	1,835,283	0.0	1,835,283
Subtotal		0.0	1,835,283	0.0	1,835,283
Salaries Adjustment			500		600
Seasonal/Special Salaries/Wages			475,000		475,000
Turnover			(20,061)		0
Total Salaries			2,290,722		2,310,883
Benefits					
FICA			155,170		155,356
Health Benefits			1,258,276		1,287,334
Payroll Accrual			8,280		8,167
Retiree Health			14,837		0
Subtotal			1,436,563		1,450,857
Total Salaries and Benefits		0.0	3,727,285	0.0	3,761,740
Cost Per FTE Position			0		0
Statewide Benefit Assessment			81,118		72,467
Payroll Costs		0.0	3,808,403	0.0	3,834,207
Purchased Services					
Clerical and Temporary Services			79,500		79,500
Design and Engineering Services			110,000		0
Legal Services			210,000		210,000
Management & Consultant Services			765,000		150,000
Other Contracts			2,500		2,500
Subtotal			1,167,000		442,000
Total Personnel		0.0	4,975,403	0.0	4,276,207
Distribution by Source of Funds					
General Revenue			4,975,403		4,276,207
Total All Funds			4,975,403		4,276,207

Program Summary

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

Mission

Fiscal Advisory Staff members perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Plan. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established in 1959. Statutory provisions for the Fiscal Advisory Staff are contained in R.I.G.L. 22-6.

Budget

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588
Total Expenditures	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588
Expenditures by Object					
Salary and Benefits	1,396,519	1,410,328	1,790,837	1,778,780	1,859,383
Operating Supplies and Expenses	70,777	67,188	105,400	103,268	103,205
Subtotal: Operating	1,467,296	1,477,516	1,896,237	1,882,048	1,962,588
Capital Purchases And Equipment	0	0	25,000	25,000	25,000
Subtotal: Other	0	0	25,000	25,000	25,000
Total Expenditures	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588
Expenditures by Source of Funds					
General Revenue	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588
Total Expenditures	1,467,296	1,477,516	1,921,237	1,907,048	1,987,588

Personnel

Agency: GENERAL ASSEMBLY

Fiscal Advisory Staff

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07821F	1.0	60,360	1.0	62,640
ANALYST I	07722F	2.0	121,018	2.0	125,588
ANALYST I	07904F	1.0	69,460	1.0	72,082
ANALYST I	07992F	1.0	66,709	1.0	69,227
ANALYST II	07992F	1.0	66,709	1.0	69,227
DEPUTY FISCAL ADVISOR II	07914F	1.0	127,176	1.0	131,978
FISCAL ANALYST	07722F	1.0	60,509	1.0	62,794
HOUSE FISCAL ADVISOR	07836F	1.0	193,953	1.0	201,276
LEGISLATIVE BUDGET ANALYST II	07992F	1.0	66,709	1.0	69,227
PRINCIPAL ANALYST I	07749F	1.0	113,572	1.0	117,860
PRINCIPAL ANALYST III	07775F	1.0	116,641	1.0	121,046
Unclassified	07722F	1.0	60,509	1.0	62,794
Subtotal Unclassified		13.0	1,123,325	13.0	1,165,739
Subtotal		13.0	1,123,325	13.0	1,165,739
Turnover			(11,755)		0
Total Salaries			1,111,570		1,165,739
Benefits					
FICA			82,073		85,053
Health Benefits			155,226		158,821
Payroll Accrual			6,495		6,757
Retiree Health			67,173		77,525
Retirement			306,585		319,440
Subtotal			617,552		647,596
Total Salaries and Benefits		13.0	1,729,122	13.0	1,813,335
Cost Per FTE Position			133,009		139,487
Statewide Benefit Assessment			49,658		46,048
Payroll Costs		13.0	1,778,780	13.0	1,859,383
Total Personnel		13.0	1,778,780	13.0	1,859,383
Distribution by Source of Funds					
General Revenue			1,778,780		1,859,383
Total All Funds			1,778,780		1,859,383

Program Summary

Agency: GENERAL ASSEMBLY

Legislative Council

Mission

The Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. The Legislative Council's principal activity is drafting of legislation for individual members.

Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, duplication, and delivery of bills to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established in 1939. Its statutory provisions are contained in R.I.G.L. 22-8.

Budget

Agency: GENERAL ASSEMBLY

Legislative Council

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213
Total Expenditures	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213
Expenditures by Object					
Salary and Benefits	3,917,476	4,020,244	5,155,278	4,818,856	5,028,444
Contract Professional Services	0	0	5,500	60,500	5,500
Operating Supplies and Expenses	82,777	53,460	131,950	130,819	129,269
Subtotal: Operating	4,000,253	4,073,704	5,292,728	5,010,175	5,163,213
Capital Purchases And Equipment	1,033	0	45,000	45,000	45,000
Subtotal: Other	1,033	0	45,000	45,000	45,000
Total Expenditures	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213
Expenditures by Source of Funds					
General Revenue	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213
Total Expenditures	4,001,286	4,073,704	5,337,728	5,055,175	5,208,213

Personnel

Agency: GENERAL ASSEMBLY

Legislative Council

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ANALYST	07857F	1.0	53,045	1.0	55,047
ANALYST I	07765F	0.6	46,322	0.6	48,071
CHIEF ASST TO DIRECTOR	07991F	1.0	126,795	1.0	131,582
CLERICAL	07811F	1.0	54,966	1.0	57,042
CLERICAL	07950F	2.0	64,272	2.0	66,698
CONSTITUENT LIAISON	07976F	1.0	54,133	1.0	56,177
LAW CLERK	07742F	1.0	52,658	1.0	54,646
LEGAL COUNSEL	07706F	1.2	54,233	1.2	56,280
LEGAL COUNSEL	07719F	1.8	79,491	1.8	82,491
LEGAL COUNSEL	07734F	0.6	43,036	0.6	44,661
LEGAL COUNSEL	07743F	1.0	106,820	1.0	110,854
LEGAL COUNSEL	07747F	1.0	81,184	1.0	84,181
LEGAL COUNSEL	07750F	0.6	42,358	0.6	43,957
LEGAL COUNSEL	07775F	0.6	69,827	0.6	72,463
LEGAL COUNSEL	07777F	0.6	24,052	0.6	24,961
LEGAL COUNSEL	07788F	2.0	194,410	2.0	201,750
LEGAL COUNSEL	07818H	0.6	65,835	0.6	68,321
LEGAL COUNSEL	07819F	0.6	24,812	0.6	25,749
LEGAL COUNSEL	07859F	5.4	244,535	5.4	253,772
LEGAL COUNSEL	07864F	1.0	61,538	1.0	63,861
LEGAL COUNSEL	07866F	0.6	60,388	0.6	62,668
LEGAL COUNSEL	07961F	0.6	25,130	0.6	26,078
LEGAL COUNSEL	07986F	0.6	56,332	0.6	58,458
LEGAL COUNSEL (LEGISLATIVE COU	07823F	1.0	127,907	1.0	132,736
LEGISLATIVE AIDE	07812F	1.0	56,998	1.0	59,150
LEGISLATIVE AIDE	07821F	1.0	57,617	1.0	59,792
LEGISLATIVE AIDE	07887F	1.0	59,236	1.0	61,472
LEGISLATIVE AIDE	07895F	1.0	44,996	1.0	46,695
LEGISLATIVE AIDE	07934F	1.0	46,643	1.0	48,405
LEGISLATIVE ANALYST	07724F	1.0	64,865	1.0	67,313
LEGISLATIVE COUNSEL	07757F	0.6	36,197	0.6	37,564
LEGISLATIVE LEGAL COUNSEL	07792F	0.6	68,203	0.6	70,778
LEGISLATIVE LEGAL COUNSEL	07859F	0.6	26,872	0.6	27,887
LEGISLATIVE RESEARCHER	07907F	1.0	40,208	1.0	41,726
PROOFER	07971F	1.0	33,270	1.0	34,526
PROOFREADER	07847F	1.0	53,172	1.0	55,179
RESEARCHER II	07978F	1.0	64,477	1.0	66,912

Personnel

Agency: GENERAL ASSEMBLY

Legislative Council

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
SECRETARY	07777F	2.0	80,174	2.0	83,200
SECRETARY	07859F	1.0	47,027	1.0	48,802
SECRETARY I	07922F	1.0	50,978	1.0	52,903
SECRETARY I	07974F	1.0	50,333	1.0	52,232
SENIOR LEGISLATIVE ANALYST	07804F	1.0	62,885	1.0	65,260
SENIOR PROOFER/SECRETARY	07858F	1.0	43,395	1.0	45,034
Subtotal Unclassified		46.2	2,801,625	46.2	2,907,334
Subtotal		46.2	2,801,625	46.2	2,907,334
Turnover			(28,675)		0
Total Salaries			2,772,950		2,907,334
Benefits					
FICA			214,327		222,410
Health Benefits			774,608		792,501
Payroll Accrual			16,141		16,803
Retiree Health			167,543		193,332
Retirement			751,169		782,660
Subtotal			1,923,788		2,007,706
Total Salaries and Benefits		46.2	4,696,738	46.2	4,915,040
Cost Per FTE Position			101,661		106,386
Statewide Benefit Assessment			122,118		113,404
Payroll Costs		46.2	4,818,856	46.2	5,028,444
Purchased Services					
Clerical and Temporary Services			500		500
Legal Services			60,000		5,000
Subtotal			60,500		5,500
Total Personnel		46.2	4,879,356	46.2	5,033,944
Distribution by Source of Funds					
General Revenue			4,879,356		5,033,944
Total All Funds			4,879,356		5,033,944

Program Summary

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature.

Statutory History

The Joint Committee on Legislative Services was established as a permanent joint committee in 1960. Its statutory provisions are contained in R.I.G.L. 22-11.

Budget

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705
Total Expenditures	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705
Expenditures by Object					
Salary and Benefits	19,289,066	20,040,846	21,919,866	22,162,712	23,147,685
Contract Professional Services	44,619	70,788	232,000	542,000	232,000
Operating Supplies and Expenses	876,596	517,943	896,185	2,242,519	1,014,020
Assistance And Grants	2,051,025	2,183,814	2,300,000	2,300,000	2,300,000
Subtotal: Operating	22,261,306	22,813,391	25,348,051	27,247,231	26,693,705
Capital Purchases And Equipment	424,013	142,943	192,000	417,000	292,000
Subtotal: Other	424,013	142,943	192,000	417,000	292,000
Total Expenditures	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705
Expenditures by Source of Funds					
General Revenue	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705
Total Expenditures	22,685,319	22,956,334	25,540,051	27,664,231	26,985,705

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07716F	1.0	86,105	1.0	89,356
ADMINISTRATIVE ASSISTANT	07721F	1.0	81,344	1.0	84,415
ADMINISTRATIVE ASSISTANT	07754F	1.0	62,388	1.0	64,744
ADMINISTRATIVE ASSISTANT	07804F	1.0	62,885	1.0	65,260
ADMINISTRATIVE ASSISTANT	07806F	1.0	42,396	1.0	43,997
ADMINISTRATIVE ASSISTANT	07812F	1.0	59,848	1.0	62,107
ADMINISTRATIVE ASSISTANT	07857F	1.0	58,097	1.0	60,290
ADMINISTRATIVE ASSISTANT	07872F	1.0	37,058	1.0	38,457
ADMINISTRATIVE ASSISTANT	07887F	2.0	110,395	2.0	114,562
ADMINISTRATIVE ASSISTANT	07908F	3.0	185,102	3.0	192,090
ADMINISTRATIVE ASSISTANT	07973F	1.0	55,772	1.0	57,878
ADMINISTRATIVE ASSISTANT	07977F	1.0	51,691	1.0	53,643
ADMIN OF HOUSE OVERSIGHT	07936F	1.0	67,711	1.0	70,268
ASSISTANT CLERK - FINANCE	07773F	1.0	47,770	1.0	49,573
ASSISTANT CLERK HOUSE FINANCE	07847F	1.0	48,338	1.0	50,163
ASSISTANT COMPUTER OPERATOR	07951F	1.0	56,719	1.0	58,860
ASSISTANT ENGINEER CAPITOL TV	07859F	1.0	56,432	1.0	58,562
ASSISTANT PROGRAM DIRECTOR	07887F	1.0	53,851	1.0	55,884
ASSISTANT TO ADMINISTRATOR	07916F	1.0	83,733	1.0	86,894
ASST DIRECTOR OF LAW REVISION	07959F	1.0	96,302	1.0	99,937
CAPITAL TV TECHNICIAN	07977F	2.0	103,382	2.0	107,286
CHIEF LEGAL COUNSEL	07855F	1.0	159,539	1.0	165,563
CHIEF LEGAL COUNSEL	07999F	1.0	164,702	1.0	170,920
CHIEF OF STAFF	07834F	2.0	337,308	2.0	350,044
CHIEF OF STAFF	07871F	1.0	76,812	1.0	79,712
CHIEF OF STAFF	07873F	1.0	85,065	1.0	88,276
CLERICAL	07817F	1.0	44,288	1.0	45,960
CLERICAL	07942F	1.0	67,865	1.0	70,427
CLERICAL	07950F	1.0	32,136	1.0	33,349
CLERK	07994F	0.6	33,301	0.6	34,558
CLERK CORPORATIONS COMMITTEE	07886F	1.0	59,825	1.0	62,083
CLERK-HOUSE FINANCE	07728F	1.0	75,966	1.0	78,834
CLERK- LABOR COMMITTEE	07742F	0.6	33,175	0.6	34,427
CLERK OF HOUSE OVERSIGHT	07863F	1.0	63,096	1.0	65,478
COMM/MULTI MEDIA SUPPORT STAFF	07804F	0.6	37,731	0.6	39,156
COMM/MULTI MEDIA SUPPORT STAFF	07922F	1.0	50,978	1.0	52,903
COMM & RESEARCH ANALYST	07843F	1.0	52,658	1.0	54,646

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
COMMUNICATION DIRECTOR	07835F	1.0	72,299	1.0	75,028
Computer Engineer	07820H	0.4	17,208	0.4	17,858
CONSTITUENT CASEWORKER	07773F	1.0	47,770	1.0	49,573
CONSTITUENT LIAISON	07773F	1.0	52,547	1.0	54,530
CONSTITUENT LIAISON	07902F	1.0	45,964	1.0	47,700
CONSTITUENT LIAISON	07973F	1.0	53,237	1.0	55,247
CONSTITUENT SERVICES LIAISON	07976F	1.0	51,555	1.0	53,502
CONSTITUENT SVS CASEWORKER	07980F	1.0	59,753	1.0	62,009
DATA ANALYST	07824F	3.0	162,854	3.0	169,003
DEP CHF OF STAFF/DIR OF POLICY	07711F	1.0	125,314	1.0	130,045
DEP CHIEF OF STAFF/LEGISLATION	07979F	1.0	130,503	1.0	135,430
DEPUTY DIR CONSTITUENT SERVICE	07835F	1.0	75,914	1.0	78,779
DEPUTY DIRECTOR	07783F	1.0	95,054	1.0	98,545
DEPUTY DIR OF COMMUNICATIONS	07841F	1.0	61,034	1.0	63,338
DEPUTY FISCAL ADVISOR	07793F	1.0	110,735	1.0	114,916
DEPUTY LEGAL COUNSEL	07914F	1.0	127,176	1.0	131,978
DEPUTY LEGISLATIVE DIRECTOR	07835F	1.0	72,299	1.0	75,028
DEPUTY POLICY DIRECTOR	07745F	1.0	87,897	1.0	91,216
DIRECTOR	07875F	1.0	112,028	1.0	116,258
DIRECTOR OF CAPITOL TV	07797F	1.0	92,662	1.0	96,160
DIRECTOR OF COMMUNICATIONS	07863F	1.0	66,251	1.0	68,752
DIRECTOR OF COMMUNICATIONS	07963F	1.0	109,580	1.0	113,718
DIRECTOR OF CONSTITNENT SERVIC	07959F	1.0	96,302	1.0	99,937
DIRECTOR OF HOUSE POLICY	07878F	1.0	145,885	1.0	151,393
DIRECTOR OF IT	07914F	1.0	139,288	1.0	144,547
DIRECTOR OF LAW REVISION	07855F	1.0	139,596	1.0	144,867
DIRECTOR OF SENATE SERVICES	07826F	1.0	70,459	1.0	73,120
DIR OF CONSTITUENT SERVICES	07915F	1.0	79,316	1.0	82,311
DIR OF LEGAL SVS FOR HSE COMM	07736F	0.6	66,587	0.6	69,101
DIR OF THE LEGIS PRESS BUREAU	07768F	1.0	85,840	1.0	89,081
EXECUTIVE ASSISTANT	07776F	1.0	59,945	1.0	62,208
EXECUTIVE ASSISTANT	07986F	2.0	208,362	2.0	216,229
EXECUTIVE DIRECTOR TO JCLS	07703F	1.0	161,037	1.0	167,118
FISCAL ANALYST	07722F	2.0	121,018	2.0	125,588
FISCAL ANALYST I	07722F	1.0	60,509	1.0	62,794
FISCAL ANALYST II	07722F	1.0	60,509	1.0	62,794
FISCAL ANALYST II	07725F	1.0	74,000	1.0	76,794

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
HOUSE DIR OF COMMUNICATIONS	07711F	1.0	131,580	1.0	136,547
HOUSE PARLIAMENTARIAN-LEG COUN	07889F	1.0	108,656	1.0	112,759
HOUSE READING CLERK	07820F	0.9	82,293	0.9	85,400
HOUSE RECORDING CLERK	07857H	0.6	49,056	0.6	50,908
IT OPERATIONS MANAGER	07745F	1.0	87,897	1.0	91,216
IT TECHNICAL SPECIALIST II	07710F	1.0	75,213	1.0	78,053
IT TECHNICAL SPECIALIST II	07871F	1.0	70,411	1.0	73,070
LEGAL COUNSEL	07711F	1.0	137,845	1.0	143,050
LEGAL COUNSEL	07719F	1.8	79,491	1.8	82,491
LEGAL COUNSEL	07731F	0.6	73,279	0.6	76,046
LEGAL COUNSEL	07735F	0.6	38,607	0.6	40,065
LEGAL COUNSEL	07736F	0.6	76,575	0.6	79,466
LEGAL COUNSEL	07760F	0.6	25,846	0.6	26,822
LEGAL COUNSEL	07781F	0.6	33,327	0.6	34,585
LEGAL COUNSEL	07822F	1.0	99,140	1.0	102,882
LEGAL COUNSEL	07843F	0.6	30,090	0.6	31,226
LEGAL COUNSEL	07886F	0.6	42,502	0.6	43,993
LEGAL COUNSEL	07907F	0.6	26,422	0.6	27,419
LEGAL COUNSEL	07916F	0.6	50,023	0.6	51,911
LEGAL COUNSEL	07941F	0.6	26,242	0.6	27,232
LEGAL COUNSEL	07963F	1.0	104,362	1.0	108,303
LEGISLATIVE AIDE	07758F	1.0	47,229	1.0	49,012
LEGISLATIVE AIDE	07761F	0.6	33,094	0.6	34,344
LEGISLATIVE AIDE	07773F	1.0	47,770	1.0	49,573
LEGISLATIVE AIDE	07777F	1.0	44,096	1.0	45,760
LEGISLATIVE AIDE	07778F	3.0	111,477	3.0	115,686
LEGISLATIVE AIDE	07819F	1.0	43,421	1.0	45,060
LEGISLATIVE AIDE	07887F	1.0	53,851	1.0	55,884
LEGISLATIVE AIDE	07892F	1.0	49,324	1.0	51,186
LEGISLATIVE AIDE	07928F	1.0	43,936	1.0	45,594
LEGISLATIVE AIDE	07957F	1.0	60,736	1.0	63,029
LEGISLATIVE AIDE	07958F	1.6	68,984	1.6	71,589
LEGISLATIVE AIDE	07983F	2.7	97,435	2.7	101,113
LEGISLATIVE ASSISTANT	07713F	1.0	44,167	1.0	45,835
LEGISLATIVE ASSISTANT	07723F	1.0	64,479	1.0	66,914
LEGISLATIVE ASSISTANT	07755F	0.6	35,898	0.6	37,254
LEGISLATIVE ASSISTANT	07764F	0.6	28,795	0.6	29,882

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
LEGISLATIVE ASSISTANT	07773F	2.0	95,540	2.0	99,146
LEGISLATIVE ASSISTANT	07890F	1.0	64,233	1.0	66,658
LEGISLATIVE COORDINATOR	07776F	1.0	62,942	1.0	65,318
LEGISLATIVE FINANCIAL OFFICER	07833F	1.0	72,208	1.0	74,935
LEGISLATIVE GRANTS COORDINATOR	07741F	1.0	80,429	1.0	83,466
LEGISLATIVE PERSONNEL ADMIN	07815F	1.0	120,539	1.0	125,091
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	46,953	1.0	48,725
LEGISLATIVE PROJECT COORDINATO	07856F	1.0	57,274	1.0	59,437
MANAGER COPY CENTER	07941F	1.0	45,923	1.0	47,657
MANAGER DATA SYSTEMS	07784F	1.0	91,820	1.0	95,287
MANAGER HOUSE OPERATIONS	07841F	1.0	58,128	1.0	60,322
NETWORK AND SYSTEMS TECH	07773F	1.0	47,770	1.0	49,573
NETWORK & SYSTEMS TECHNICIANII	07905F	1.0	64,155	1.0	66,577
OPERATIONS SUPERVISOR	07738F	1.0	74,499	1.0	77,311
POLICY ANALYST	07727F	1.0	63,835	1.0	66,245
POLICY ANALYST	07844F	1.0	50,730	1.0	52,646
POLICY ANALYST	07886F	1.0	65,807	1.0	68,291
POLICY ANALYST	07897F	1.0	55,222	1.0	57,307
POLICY ANALYST I	07868H	0.6	37,598	0.6	39,018
PRESS OPERATOR	07782F	3.0	150,972	3.0	156,672
PRINCIPAL POLICY ANALYST	07737F	1.0	72,889	1.0	75,641
PRODUCTION DIRECTOR	07745F	1.0	87,897	1.0	91,216
Program Officer	07806F	1.0	42,396	1.0	43,997
PROJ COORD/LEGISLATIVE AIDE	07766F	0.7	31,941	0.7	33,147
PUBLICIST	07722F	1.0	60,509	1.0	62,794
PUBLICIST	07887F	1.0	53,851	1.0	55,884
SECRETARY	07761F	0.6	33,094	0.6	34,344
SECRETARY	07764F	2.0	103,181	2.0	107,076
SECRETARY	07777F	1.0	40,087	1.0	41,600
SECRETARY	07817F	1.0	42,179	1.0	43,771
SECRETARY	07856F	1.0	65,865	1.0	68,352
SECRETARY	07859F	2.0	96,405	2.0	100,044
SECRETARY	07887F	1.0	53,851	1.0	55,884
SECRETARY	07925F	1.0	69,863	1.0	72,501
SECRETARY	07930F	1.0	61,464	1.0	63,784
SECRETARY	07951F	1.0	56,719	1.0	58,860
SECRETARY/CLERK	07802F	1.0	50,978	1.0	52,902

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
SECRETARY I	07773F	1.0	52,547	1.0	54,530
SECRETARY I	07802F	1.0	57,046	1.0	59,200
SECRETARY OF THE SENATE	07940F	1.0	85,559	1.0	88,790
SENATE FISCAL ADVISOR	07762F	1.0	156,871	1.0	162,794
SENATE PARLIAMENTARIAN	07864F	0.6	38,680	0.6	40,141
SENATE PARLIAMENTARIAN	07993F	0.6	56,036	0.6	58,152
SENATE SERVICES ASSISTANT	07758F	1.0	42,935	1.0	44,556
SENIOR DEPUTY CHIEF OF STAFF	07943F	1.0	114,811	1.0	119,146
SENIOR FINANCIAL OFFICER	07783F	1.0	98,781	1.0	102,511
SENIOR LEGAL COUNSEL	07795F	1.0	70,752	1.0	73,424
SENIOR LEGAL COUNSEL	07906F	1.0	101,266	1.0	105,089
Senior Policy Analyst	07897F	1.0	55,222	1.0	57,307
SENIOR POLICY ANALYST	07795F	1.0	70,752	1.0	73,424
SENIOR POLICY ANALYST	07979F	1.0	118,639	1.0	123,118
SENIOR POLICY ANALYST	07996F	1.0	68,101	1.0	70,671
SENIOR PRESS OPERATOR	07891F	1.0	58,614	1.0	60,826
SENIOR SECRETARY	07989F	1.0	61,614	1.0	63,940
SPEC ASST TO THE MAJORITY LEAD	07714F	1.0	95,726	1.0	99,340
SR ANALYST/LEG COORDINATOR	07996F	1.0	64,858	1.0	67,306
SR LEGISLATIVE FISCAL ANALYST	07784F	1.0	89,867	1.0	93,259
SR. PRODUCER/DIRECTOR	07729F	1.0	76,877	1.0	79,779
SUPERVISING SVS ADMINISTRATOR	07896F	1.0	83,234	1.0	86,356
SUPERVISOR CLERICAL SERVICES	07727F	1.0	63,835	1.0	66,245
SUPERVISOR HOUSE OPERATIONS	07806F	1.0	42,396	1.0	43,997
SUPERVISOR VET'S AFFAIRS OFFI	07908F	1.0	63,136	1.0	65,519
TELEVISION MAINTENANCE ENGINEE	07771F	1.0	82,566	1.0	85,684
TV DIRECTOR	07980F	1.0	59,753	1.0	62,009
T. V. TECHNICIAN	07973F	1.0	53,237	1.0	55,247
T.V. TECHNICIAN	07819F	1.0	49,934	1.0	51,819
TV TECHNICIAN	07773F	1.0	47,770	1.0	49,573
T.V. TECHNICIAN II	07730F	1.0	55,808	1.0	57,916
TV TECHNICIAN II	07944F	1.0	53,990	1.0	56,029
Unclassified	07734F	1.0	75,313	1.0	78,157
Unclassified	07745F	1.0	87,897	1.0	91,216
Unclassified	07778F	1.0	37,159	1.0	38,562
Unclassified	07859F	1.2	53,744	1.2	55,774
Unclassified	07926F	0.6	20,926	0.6	21,716

Personnel

Agency: GENERAL ASSEMBLY

Joint Comm. on Legislative Services

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	194.1	13,599,598	194.1	14,112,817
Subtotal	194.1	13,599,598	194.1	14,112,817
Seasonal/Special Salaries/Wages		95,000		95,000
Turnover		(139,954)		0
Total Salaries		13,554,644		14,207,817
Benefits				
FICA		1,034,111		1,071,453
Health Benefits		2,402,364		2,457,834
Payroll Accrual		78,537		81,714
Retiree Health		812,220		937,311
Retirement		3,681,683		3,835,843
Subtotal		8,008,915		8,384,155
Total Salaries and Benefits	194.1	21,563,559	194.1	22,591,972
Cost Per FTE Position		111,095		116,393
Statewide Benefit Assessment		599,153		555,713
Payroll Costs	194.1	22,162,712	194.1	23,147,685
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Information Technology		495,000		220,000
Management & Consultant Services		43,500		8,500
Subtotal		542,000		232,000
Total Personnel	194.1	22,704,712	194.1	23,379,685
Distribution by Source of Funds				
General Revenue		22,704,712		23,379,685
Total All Funds		22,704,712		23,379,685

Program Summary

Agency: GENERAL ASSEMBLY

Auditor General

Mission

The Office of the Auditor General provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance. The Office also completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

Budget

Agency: GENERAL ASSEMBLY

Auditor General

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	4,591,878	5,100,409	5,837,335	5,852,907	6,085,820
Total Expenditures	4,591,878	5,100,409	5,837,335	5,852,907	6,085,820
Expenditures by Object					
Salary and Benefits	4,071,853	4,648,739	5,338,011	5,340,252	5,567,751
Contract Professional Services	10,470	7,105	1,000	1,000	1,000
Operating Supplies and Expenses	491,149	426,259	465,824	479,155	484,569
Subtotal: Operating	4,573,472	5,082,103	5,804,835	5,820,407	6,053,320
Capital Purchases And Equipment	18,406	18,306	32,500	32,500	32,500
Subtotal: Other	18,406	18,306	32,500	32,500	32,500
Total Expenditures	4,591,878	5,100,409	5,837,335	5,852,907	6,085,820
Expenditures by Source of Funds					
General Revenue	3,191,878	3,649,635	4,116,640	4,083,959	4,253,806
Restricted Receipts	1,400,000	1,450,774	1,720,695	1,768,948	1,832,014
Total Expenditures	4,591,878	5,100,409	5,837,335	5,852,907	6,085,820

Personnel

Agency: GENERAL ASSEMBLY

Auditor General

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	07912F	1.0	40,379	1.0	41,903
ADMINISTRATIVE AIDE	07917F	1.0	40,996	1.0	42,544
ADMINISTRATIVE AIDE	07995F	1.0	49,751	1.0	51,630
ADMINISTRATIVE ASSISTANT	07773F	1.0	54,936	1.0	57,009
ADMINISTRATIVE OFFICER	07750F	1.0	74,125	1.0	76,925
ASSISTANT AUDIT MANAGER	07726F	1.0	91,299	1.0	94,745
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	59,289	1.0	61,528
ASST INFORMATION SYSTEMS MGR	07997F	1.0	89,017	1.0	92,377
AUDIT MANAGER	07743F	1.0	102,370	1.0	106,235
AUDIT MANAGER	07788F	1.0	99,318	1.0	103,067
AUDIT MANAGER	07985F	1.0	108,150	1.0	112,233
AUDITOR	07764F	5.0	239,955	5.0	249,015
AUDITOR GENERAL	07703F	1.0	164,463	1.0	170,673
COMMUNICATIONS LIAISON OFFICER	07975F	1.0	66,734	1.0	69,254
CUSTODIAN	07877F	1.0	36,651	1.0	38,036
DATA SYSTEMS COORDINATOR	07957F	1.0	69,846	1.0	72,483
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	93,514	1.0	97,046
LEGAL COUNSEL	07850F	0.6	59,093	0.6	61,323
PRINCIPAL AUDITOR	07747F	2.0	135,108	2.0	140,208
PRINCIPAL AUDITOR	07804F	2.0	125,772	2.0	130,520
PRINCIPAL AUDITOR	07835F	1.0	72,299	1.0	75,028
PRINCIPAL AUDITOR	07929F	1.0	73,789	1.0	76,575
PRINCIPAL AUDITOR	07940F	1.0	85,560	1.0	88,790
PRINCIPAL AUDITOR	07982F	1.0	76,103	1.0	78,977
PRINCIPAL IT AUDITOR	07997F	1.0	81,599	1.0	84,679
SENIOR AUDIT MANAGER	07752F	1.0	122,769	1.0	127,405
SENIOR AUDIT MANAGER	07792F	1.0	119,356	1.0	123,862
SENIOR AUDIT MANAGER	07906F	1.0	115,733	1.0	120,101
SENIOR AUDITOR	07724F	1.0	61,776	1.0	64,108
SENIOR AUDITOR	07754F	4.0	207,960	4.0	215,812
SENIOR AUDITOR	07824F	1.0	50,109	1.0	52,000
SENIOR AUDITOR	07951F	1.0	54,018	1.0	56,057
SENIOR I.T. AUDITOR	07901F	1.0	61,537	1.0	63,861
SPECIAL PROJECTS AUDITOR	07752F	0.6	64,054	0.6	66,472
SUPERVISING AUDITOR	07716F	1.0	74,874	1.0	77,701
SUPERVISING AUDITOR	07771F	1.0	86,694	1.0	89,968
SUPERVISING AUDITOR	07940F	1.0	85,560	1.0	88,790

Personnel

Agency: GENERAL ASSEMBLY

Auditor General

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	45.2	3,294,556	45.2	3,418,940
Subtotal	45.2	3,294,556	45.2	3,418,940
Turnover		(23,511)		0
Total Salaries		3,271,045		3,418,940
Benefits				
Contract Stipends		30,000		30,000
FICA		252,036		261,552
Health Benefits		531,197		542,504
Payroll Accrual		19,037		19,813
Retiree Health		197,016		227,360
Retirement		892,515		929,964
Subtotal		1,921,801		2,011,193
Total Salaries and Benefits	45.2	5,192,846	45.2	5,430,133
Cost Per FTE Position		114,886		120,136
Statewide Benefit Assessment		147,406		137,618
Payroll Costs	45.2	5,340,252	45.2	5,567,751
Purchased Services				
Other Contracts		1,000		1,000
Subtotal		1,000		1,000
Total Personnel	45.2	5,341,252	45.2	5,568,751
Distribution by Source of Funds				
General Revenue		3,723,478		3,889,617
Restricted Receipts		1,617,774		1,679,134
Total All Funds		5,341,252		5,568,751

Program Summary

Agency: GENERAL ASSEMBLY

Special Legislative Commissions

Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

Budget

Agency: GENERAL ASSEMBLY

Special Legislative Commissions

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	8,539	7,841	13,900	13,638	13,629
Total Expenditures	8,539	7,841	13,900	13,638	13,629
Expenditures by Object					
Operating Supplies and Expenses	8,539	7,841	13,900	13,638	13,629
Subtotal: Operating	8,539	7,841	13,900	13,638	13,629
Total Expenditures	8,539	7,841	13,900	13,638	13,629
Expenditures by Source of Funds					
General Revenue	8,539	7,841	13,900	13,638	13,629
Total Expenditures	8,539	7,841	13,900	13,638	13,629

Agency Summary

OFFICE OF LIEUTENANT GOVERNOR

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Section 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Section 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long Term Care Coordinating Council (R.I.G.L. 23-17, 3-2); The Emergency Management Advisory Committee (R.I.G.L. 30-15-6(10)); and the Small Business Advocacy Council (R.I.G.L. 42-91-2).

Budget

OFFICE OF LIEUTENANT GOVERNOR

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Lt. Governor's Office - General	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
Total Expenditures	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
Expenditures by Object					
Salary And Benefits	902,406	902,451	1,051,308	1,044,129	1,085,799
Contract Professional Services	112,849	45,486	0	0	0
Operating Supplies And Expenses	44,255	53,205	62,539	62,968	61,267
Subtotal: Operating	1,059,510	1,001,142	1,113,847	1,107,097	1,147,066
Capital Purchases And Equipment	0	415	750	750	750
Subtotal: Other	0	415	750	750	750
Total Expenditures	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
Expenditures by Source of Funds					
General Revenue	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
Total Expenditures	1,059,510	1,001,557	1,114,597	1,107,847	1,147,816
FTE Authorization	8.0	8.0	8.0	8.0	8.0

Personnel

Agency: OFFICE OF LIEUTENANT GOVERNOR

Lt. Governor's Office - General

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF (LT GOV)	08444A	1.0	137,664	1.0	142,862
COMMUNICATIONS ASSOCIATE (LT. GOVERNOR)	08425A	1.0	58,551	1.0	60,761
COMMUNICATIONS DIRECTOR (LT GOV)	08424A	1.0	54,454	1.0	56,510
EXECUTIVE SECRETARY (LT GOV)	08418A	1.0	44,746	1.0	47,752
LIEUTENANT GOVERNOR	00531F	1.0	120,188	1.0	122,740
POLICY ADVISOR (LT. GOVERNOR)	08431A	1.0	78,042	1.0	80,989
SENIOR POLICY ADVISOR (LT GOV)	08425A	1.0	61,664	1.0	63,992
SPECIAL PROJECT MANAGER (LT GOV)	08422A	1.0	54,739	1.0	56,805
Subtotal Unclassified		8.0	610,048	8.0	632,411
Subtotal		8.0	610,048	8.0	632,411
Salaries Adjustment			35,624		15,598
Total Salaries			670,728		698,120
Benefits					
FICA			46,300		47,873
Health Benefits			93,360		95,527
Payroll Accrual			3,526		3,665
Retiree Health			36,480		42,056
Retirement			166,766		173,576
Subtotal			346,432		362,697
Total Salaries and Benefits		8.0	1,017,160	8.0	1,060,817
Cost Per FTE Position			127,145		132,602
Statewide Benefit Assessment			26,969		24,982
Payroll Costs		8.0	1,044,129	8.0	1,085,799
Total Personnel		8.0	1,044,129	8.0	1,085,799
Distribution by Source of Funds					
General Revenue			1,044,129		1,085,799
Total All Funds			1,044,129		1,085,799

Agency Summary

SECRETARY OF STATE

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To engage and empower all Rhode Islanders by making government more accessible and transparent, encouraging civic pride, enhancing commerce, and ensuring that elections are fair, fast, and accurate.

Agency Description

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Department plays a vital role in providing the public with basic information about the workings of state government. The Department consists of six programs and one internal service program.

Statutory History

Article IV of the Rhode Island State Constitution and R.I.G.L. 42-8 establishes the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents. Title 17 Chapters 14, 15 and 22 also refer to elections. Title 29 establishes the State Library and the Legislative Reference Bureau.

Budget

SECRETARY OF STATE

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Administration	3,175,089	3,273,783	3,326,174	3,374,790	3,675,528
Corporations	2,120,565	2,209,426	2,318,968	2,302,532	2,191,898
State Archives	547,181	632,878	507,235	534,872	539,342
Elections and Civics	3,101,885	2,066,946	4,876,817	4,860,625	3,133,331
State Library	579,060	626,140	623,911	711,620	683,490
Record Center	908,208	845,359	947,539	983,402	969,729
Office of Public Information	418,366	576,354	647,057	469,124	477,568
Total Expenditures	10,850,354	10,230,886	13,247,701	13,236,965	11,670,886
<i>Internal Services</i>	<i>[908,208]</i>	<i>[845,359]</i>	<i>[947,539]</i>	<i>[983,402]</i>	<i>[969,729]</i>
Expenditures by Object					
Salary And Benefits	6,282,618	6,340,061	6,921,931	6,629,650	6,510,480
Contract Professional Services	368,838	666,572	1,210,252	1,417,112	1,472,245
Operating Supplies And Expenses	3,734,417	2,905,662	4,823,568	4,893,305	3,386,411
Assistance And Grants	140,768	142,797	243,000	243,000	143,000
Subtotal: Operating	10,526,641	10,055,092	13,198,751	13,183,067	11,512,136
Capital Purchases And Equipment	323,713	160,794	48,950	38,898	143,750
Operating Transfers	0	15,000	0	15,000	15,000
Subtotal: Other	323,713	175,794	48,950	53,898	158,750
Total Expenditures	10,850,354	10,230,886	13,247,701	13,236,965	11,670,886
Expenditures by Source of Funds					
General Revenue	9,478,004	8,832,367	9,875,734	9,800,081	9,233,255
Federal Funds	0	22,295	1,983,770	1,983,770	1,016,230
Restricted Receipts	421,688	427,255	440,658	469,712	451,672
Operating Transfers From Other Funds	42,454	103,610	0	0	0
Other Funds	908,208	845,359	947,539	983,402	969,729
Total Expenditures	10,850,354	10,230,886	13,247,701	13,236,965	11,670,886
FTE Authorization	59.0	59.0	59.0	59.0	59.0

Personnel Agency Summary

SECRETARY OF STATE

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified	59.0	4,137,481	59.0	4,360,877
Subtotal	59.0	4,137,481	59.0	4,360,877
Seasonal/Special Salaries/Wages		36,000		36,000
Turnover		(79,714)		(675,412)
Total Salaries		4,073,356		3,721,465
Benefits				
FICA		310,714		335,026
Health Benefits		682,809		758,269
Payroll Accrual		23,550		25,483
Retiree Health		245,969		289,995
Retirement		1,112,250		1,205,306
Subtotal		2,375,292		2,614,079
Total Salaries and Benefits	59.0	6,448,648	59.0	6,335,544
Cost Per FTE Position		109,299		107,382
Statewide Benefit Assessment		181,002		174,936
Payroll Costs	59.0	6,629,650	59.0	6,510,480
Purchased Services				
Clerical and Temporary Services		17,000		0
Information Technology		1,156,416		1,229,830
Legal Services		100,000		110,000
Other Contracts		128,696		117,415
University and College Services		15,000		15,000
Subtotal		1,417,112		1,472,245
Total Personnel	59.0	8,046,762	59.0	7,982,725
Distribution by Source of Funds				
General Revenue		6,380,337		6,147,430
Federal Funds		825,000		1,016,230
Restricted Receipts		336,570		327,628
Other Funds		504,855		491,437
Total All Funds		8,046,762		7,982,725

Program Summary

Agency: SECRETARY OF STATE

Administration

Mission

The Administration program provides support for the efficient and secure operation of the Office of the Secretary of State.

Description

The Administration Program supports the Department of State in six areas. Personnel maintains personnel records and provides administrative support for payroll and personnel matters. Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget. E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office. Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public. Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media. Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution in Article IX as one of the five general offices subject to voter election. R.I.G.L 42-8 further prescribes the duties of the Secretary and Department.

Budget

Agency: SECRETARY OF STATE

Administration

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Administration	1,454,010	1,478,227	1,466,068	1,621,148	1,651,934
Information Technology	1,263,635	1,329,903	1,372,643	1,370,254	1,650,363
Personnel and Finance	457,444	465,653	487,463	383,388	373,231
Total Expenditures	3,175,089	3,273,783	3,326,174	3,374,790	3,675,528
Expenditures by Object					
Salary and Benefits	2,609,637	2,486,175	2,783,243	2,492,530	2,618,247
Contract Professional Services	157,386	347,515	35,495	233,907	143,739
Operating Supplies and Expenses	334,875	427,835	467,686	638,603	788,792
Subtotal: Operating	3,101,898	3,261,525	3,286,424	3,365,040	3,550,778
Capital Purchases And Equipment	73,191	12,258	39,750	9,750	124,750
Subtotal: Other	73,191	12,258	39,750	9,750	124,750
Total Expenditures	3,175,089	3,273,783	3,326,174	3,374,790	3,675,528
Expenditures by Source of Funds					
General Revenue	3,175,089	3,273,783	3,326,174	3,374,790	3,675,528
Total Expenditures	3,175,089	3,273,783	3,326,174	3,374,790	3,675,528

Personnel

Agency: SECRETARY OF STATE

Administration

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05325A	2.0	135,841	2.0	140,971
APPLICATION DEVELOPER (SOS)	08627A	1.0	52,727	1.0	52,727
ASSISTANT TO THE SECRETARY (SOS)	08627A	1.0	66,786	1.0	69,307
COMMUNICATIONS COORDINATOR (SOS)	08621A	1.0	48,775	1.0	50,617
COMMUNICATIONS DIRECTOR/SR ADVISOR (SOS)	08646A	1.0	140,959	1.0	146,281
COMMUNITY RELATIONS/ADVANCE (SOS)	08627A	1.0	66,786	1.0	69,307
Data Analyst	08634A	1.0	76,944	1.0	81,222
Database Developer	08638A	0.0	0	1.0	93,000
DEPUTY SECRETARY OF STATE/CHIEF OF STAFF (SOS)	08646A	1.0	140,959	1.0	146,281
DEPUTY SECRETARY OF STATE/DIR OF ADMINISTRATION (SOS)	08646A	1.0	140,959	1.0	146,281
DIRECTOR OF FINANCE & PERSONNEL (SOS)	08638A	1.0	101,561	1.0	105,395
DIRECTOR OF STATE AND FEDERAL LEGISLATURE AFFAIRS AND POLICY	08639A	1.0	101,561	1.0	105,395
INFORMATION SECURITY ARCHITECT (SOS)	08640A	1.0	102,520	1.0	111,454
IT DIRECTOR (SEC OF STATE)	08642A	1.0	123,156	1.0	132,127
JR NETWORK & SYS ADMIN (SOS)	08622A	1.0	47,691	1.0	50,893
NET APPLICATION DEVELOPER (SOS)	08629A	1.0	63,643	1.0	69,734
SECRETARY OF STATE	00531F	1.0	120,188	1.0	122,740
SENIOR GRAPHICS DESIGNER (SECRETARY OF STATE)	05326A	1.0	66,030	1.0	68,524
SOCIAL MEDIA SPECIALIST (SOS)	08613A	1.0	38,208	1.0	40,417
Subtotal Unclassified		19.0	1,635,294	20.0	1,802,673
Subtotal		19.0	1,635,294	20.0	1,802,673
Seasonal/Special Salaries/Wages			18,000		18,000
Turnover			(52,659)		(274,993)
Total Salaries			1,580,224		1,545,680
Benefits					
FICA			119,169		137,127
Health Benefits			189,524		238,828
Payroll Accrual			9,114		10,530
Retiree Health			97,956		119,877
Retirement			426,687		494,286
Subtotal			842,450		1,000,648

Personnel

Agency: SECRETARY OF STATE

Administration

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	19.0	2,422,674	20.0	2,546,328
Cost Per FTE Position		127,509		127,316
Statewide Benefit Assessment		69,856		71,919
Payroll Costs	19.0	2,492,530	20.0	2,618,247
Purchased Services				
Information Technology		221,592		112,224
Other Contracts		12,315		31,515
Subtotal		233,907		143,739
Total Personnel	19.0	2,726,437	20.0	2,761,986
Distribution by Source of Funds				
General Revenue		2,726,437		2,761,986
Total All Funds		2,726,437		2,761,986

Program Summary

Agency: SECRETARY OF STATE

Corporations

Mission

The Corporations program is the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and notary/trademark applications. The program helps create a user-friendly, customer-service centric environment that promotes clear, predictable, and reliable policies, procedures, and services.

Description

The Corporations program encompasses six sections: Business Filings, Uniform Commercial Code, Trademark & Service Mark, Notary Public, Certification/Authentication, and the Rhode Island Business Portal. The Business Filings section examines, processes, and maintains legal documents affecting various types of corporations, companies, and partnerships. The section ensures compliance with laws governing these entities and provides public access to all associated documents. The UCC section is the central filing office for certain finance and lien documents. It also ensures compliance with applicable laws and provides public access to associated documents. The Trademark & Service Mark section manages notaries in the state through maintenance of oaths of office and official signatures of commissioned notaries. The Certification/Authentication section prepares certified copies, letters of good standing, legal existence, and certificates of fact related to business filing. It also issues apostilles. The Rhode Island Business Portal helps business owners navigate federal, state, and local licensing requirements.

Statutory History

Applicable statutes for the Corporations program are outlined in Chapters 1, 1.2 – 16 of Title 7 Corporation s& Partnerships; Chapter 6-2 Registration and Protection of Trademarks; Chapter 6A-9 Secured Transactions; Chapter 42-30 Notaries and Justice of the Peace; and Chapter 11-50 Games of Chance, and Chapter 42-132 Business Fast-Start Office.

Budget

Agency: SECRETARY OF STATE

Corporations

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Corporations	2,120,566	2,207,134	2,318,968	2,302,532	2,191,898
First Stop Business Inf.	(1)	2,292	0	0	0
Total Expenditures	2,120,565	2,209,426	2,318,968	2,302,532	2,191,898
Expenditures by Object					
Salary and Benefits	1,795,374	1,808,636	1,913,552	1,880,458	1,787,820
Contract Professional Services	550	37,196	60,940	67,388	80,000
Operating Supplies and Expenses	319,133	339,510	342,476	340,686	310,078
Subtotal: Operating	2,115,057	2,185,342	2,316,968	2,288,532	2,177,898
Capital Purchases And Equipment	5,508	24,084	2,000	14,000	14,000
Subtotal: Other	5,508	24,084	2,000	14,000	14,000
Total Expenditures	2,120,565	2,209,426	2,318,968	2,302,532	2,191,898
Expenditures by Source of Funds					
General Revenue	2,120,565	2,209,426	2,318,968	2,302,532	2,191,898
Total Expenditures	2,120,565	2,209,426	2,318,968	2,302,532	2,191,898

Personnel

Agency: SECRETARY OF STATE

Corporations

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05316A	6.0	267,092	6.0	278,388
ADMINISTRATIVE ASSISTANT	05318A	1.0	52,848	1.0	54,844
ADMINISTRATIVE ASSISTANT	05319A	3.0	166,198	3.0	172,474
ADMINISTRATIVE ASSISTANT	05320A	1.0	51,848	1.0	53,805
ADMINISTRATIVE ASSISTANT	05322A	1.0	56,121	1.0	58,240
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05316A	2.0	86,398	2.0	89,660
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05319A	3.0	162,637	3.0	168,778
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	08630A	2.0	149,249	2.0	152,475
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08636A	1.0	107,431	1.0	111,487
Subtotal Unclassified		20.0	1,099,822	20.0	1,140,151
Subtotal		20.0	1,099,822	20.0	1,140,151
Seasonal/Special Salaries/Wages			6,000		6,000
Turnover			0		(161,024)
Total Salaries			1,105,822		985,127
Benefits					
FICA			85,413		88,500
Health Benefits			257,461		263,495
Payroll Accrual			6,432		6,682
Retiree Health			65,770		75,818
Retirement			310,675		322,924
Subtotal			725,751		757,419
Total Salaries and Benefits		20.0	1,831,573	20.0	1,742,546
Cost Per FTE Position			91,579		87,127
Statewide Benefit Assessment			48,885		45,274
Payroll Costs		20.0	1,880,458	20.0	1,787,820
Purchased Services					
Legal Services			30,000		30,000
Other Contracts			37,388		50,000
Subtotal			67,388		80,000
Total Personnel		20.0	1,947,846	20.0	1,867,820

Personnel

Agency: SECRETARY OF STATE

Corporations

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<hr/> Distribution by Source of Funds				
General Revenue		1,947,846		1,867,820
Total All Funds		1,947,846		1,867,820

Program Summary

Agency: SECRETARY OF STATE

State Archives

Mission

The State Archives provides comprehensive archives and records management services for all public records in the State, information on the preservation of historical records, and provides information from and access to the State government archives.

Description

The State Archives preserves and maintains the permanent records of state government. It also operates a public reading room for the research, inspection, and duplication of public records; publishes guides and finding aids to collections; and, features exhibits and displays that are free and open to the public. The State Archives manages the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program provides records management advice, assistance, and consultation to all departments of municipal government. It also develops record control schedules that govern retention and disposition of municipal records. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues. The 1993 General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Statutory History

R.I.G.L. 42-8.1 et seq. establishes the State Archives and defines its duties and functions. R.I.G.L. 42-35 of the Rhode Island General Laws prescribe the Secretary of State's duties under the Administrative Procedures Act. R.I.G.L. 38-1, 38-2, and 38-3 detail the custody and protection of public records, access to public records, and Public Records Administration.

Budget

Agency: SECRETARY OF STATE

State Archives

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	547,181	632,878	507,235	534,872	539,342
Total Expenditures	547,181	632,878	507,235	534,872	539,342
Expenditures by Object					
Salary and Benefits	390,025	321,328	333,272	336,570	327,628
Contract Professional Services	0	7,885	0	0	0
Operating Supplies and Expenses	114,702	193,637	173,963	191,684	211,714
Subtotal: Operating	504,727	522,850	507,235	528,254	539,342
Capital Purchases And Equipment	42,454	110,028	0	6,618	0
Subtotal: Other	42,454	110,028	0	6,618	0
Total Expenditures	547,181	632,878	507,235	534,872	539,342
Expenditures by Source of Funds					
General Revenue	95,719	115,429	91,577	90,160	112,670
Restricted Receipts	409,008	413,839	415,658	444,712	426,672
Operating Transfers from Other Funds	42,454	103,610	0	0	0
Total Expenditures	547,181	632,878	507,235	534,872	539,342

Personnel

Agency: SECRETARY OF STATE

State Archives

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE AIDE	05315A	1.0	38,918	0.0	0
ADMINISTRATIVE SECRETARY	05317A	1.0	54,267	1.0	56,284
DIGITAL ARCHIVIST	05325A	1.0	60,375	1.0	62,654
DIRECTOR OF STATE ARCHIVES- LIBRARY & PUBLIC INFORMATION	08636A	1.0	88,498	1.0	91,840
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05328A	1.0	71,515	1.0	74,216
SENIOR INFORMATION AND PUBLIC RELATION SPECIALIST	05324A	1.0	66,547	1.0	69,060
SENIOR MONITORING AND EVALUATION SPECIALIST	05325A	1.0	69,431	1.0	72,052
STATE ARCHIVIST & PUBLIC RECORDS ADMINISTRATOR	08630A	1.0	69,779	1.0	75,570
Subtotal Unclassified		8.0	519,330	7.0	501,676
Subtotal		8.0	519,330	7.0	501,676
Transfer Out			(311,598)		(301,004)
Total Salaries			207,732		200,672
Benefits					
FICA			15,893		15,352
Health Benefits			33,040		33,648
Payroll Accrual			1,201		1,162
Retiree Health			12,423		13,345
Retirement			56,725		55,020
Subtotal			119,282		118,527
Total Salaries and Benefits		8.0	327,014	7.0	319,199
Cost Per FTE Position			40,877		45,600
Statewide Benefit Assessment			9,556		8,429
Payroll Costs		8.0	336,570	7.0	327,628
Total Personnel		8.0	336,570	7.0	327,628
Distribution by Source of Funds					
Restricted Receipts			336,570		327,628
Total All Funds			336,570		327,628

Program Summary

Agency: SECRETARY OF STATE

Elections and Civics

Mission

The mission of the Elections program is to provide efficient election services and prepare federal, statewide and municipal elections as required by statute. The Program seeks to provide an accurate and timely statewide central voter registration system (CVRS) as mandated by the Help America Vote Act (HAVA) and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. The Elections strives to provides concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Description

The Elections program is responsible for the preparation of federal, state, and local elections. It provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification. The program maintains files for local candidate ballot placement as certified by local boards of canvassers. Additionally, it designs ballot layout; prepares and provides sample and polling place ballots for all federal, state, and municipal elections as mandated by law; and, prepares and sends mail ballots. The Elections program prepares and distributes the Voter Information Handbook and provides voter assistance and education through the publication of numerous guides for candidates, election officials, and voters. Specifications for the purchase of voting equipment and related voting system services are also handled by the Elections program.

Statutory History

The Elections program operates under R.I.G.L Title 17.

Budget

Agency: SECRETARY OF STATE

Elections and Civics

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	3,101,885	2,066,946	4,876,817	4,860,625	3,133,331
Total Expenditures	3,101,885	2,066,946	4,876,817	4,860,625	3,133,331
Expenditures by Object					
Salary and Benefits	387,692	407,345	433,292	551,000	415,389
Contract Professional Services	184,941	245,654	1,057,917	1,069,917	1,202,606
Operating Supplies and Expenses	2,332,886	1,413,152	3,280,608	3,134,708	1,510,336
Assistance And Grants	0	0	100,000	100,000	0
Subtotal: Operating	2,905,519	2,066,151	4,871,817	4,855,625	3,128,331
Capital Purchases And Equipment	196,366	795	5,000	5,000	5,000
Subtotal: Other	196,366	795	5,000	5,000	5,000
Total Expenditures	3,101,885	2,066,946	4,876,817	4,860,625	3,133,331
Expenditures by Source of Funds					
General Revenue	3,101,885	2,044,651	2,893,047	2,876,855	2,117,101
Federal Funds	0	22,295	1,983,770	1,983,770	1,016,230
Total Expenditures	3,101,885	2,066,946	4,876,817	4,860,625	3,133,331

Personnel

Agency: SECRETARY OF STATE

Elections and Civics

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
DEPUTY DIRECTOR OF ELECTIONS (SOS)	08633A	2.0	172,341	2.0	178,848
DIRECTOR OF ELECTIONS & CIVICS (SOS)	08637A	1.0	101,472	1.0	105,303
Manager of Elections	05324A	1.0	60,760	1.0	63,055
Subtotal Unclassified		4.0	334,573	4.0	347,206
Subtotal		4.0	334,573	4.0	347,206
Seasonal/Special Salaries/Wages			12,000		12,000
Turnover			0		(156,228)
Total Salaries			346,573		202,978
Benefits					
FICA			26,512		27,479
Health Benefits			49,326		50,488
Payroll Accrual			1,988		2,066
Retiree Health			20,007		23,090
Retirement			91,273		95,100
Subtotal			189,106		198,223
Total Salaries and Benefits		4.0	535,679	4.0	401,201
Cost Per FTE Position			133,920		100,300
Statewide Benefit Assessment			15,321		14,188
Payroll Costs		4.0	551,000	4.0	415,389
Purchased Services					
Clerical and Temporary Services			17,000		0
Information Technology			934,824		1,117,606
Legal Services			40,000		50,000
Other Contracts			78,093		35,000
Subtotal			1,069,917		1,202,606
Total Personnel		4.0	1,620,917	4.0	1,617,995
Distribution by Source of Funds					
General Revenue			795,917		601,765
Federal Funds			825,000		1,016,230
Total All Funds			1,620,917		1,617,995

Program Summary

Agency: SECRETARY OF STATE

State Library

Mission

To provide high quality assistance and access to materials in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history.

Description

The State Library provides reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history to Rhode Islanders. It catalogs all state publications and maintains an online catalog of its holdings. The Library operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. It is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1, and R.I.G.L. 29-7 authorizes the Library to act as a clearinghouse for state publications.

Budget

Agency: SECRETARY OF STATE

State Library

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	579,060	626,140	623,911	711,620	683,490
Total Expenditures	579,060	626,140	623,911	711,620	683,490
Expenditures by Object					
Salary and Benefits	386,421	448,116	455,646	541,120	511,447
Operating Supplies and Expenses	50,845	26,332	25,265	27,500	29,043
Assistance And Grants	140,768	142,797	143,000	143,000	143,000
Subtotal: Operating	578,034	617,245	623,911	711,620	683,490
Capital Purchases And Equipment	1,026	8,895	0	0	0
Subtotal: Other	1,026	8,895	0	0	0
Total Expenditures	579,060	626,140	623,911	711,620	683,490
Expenditures by Source of Funds					
General Revenue	579,060	626,140	623,911	711,620	683,490
Total Expenditures	579,060	626,140	623,911	711,620	683,490

Personnel

Agency: SECRETARY OF STATE

State Library

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	05316A	1.0	43,199	1.0	44,830
ADMINISTRATIVE ASSISTANT	05325A	2.0	135,841	2.0	140,971
ASSOCIATE DIRECTOR OF EDUC. & PUB PROGRAMS (SOS)	08630A	1.0	68,704	1.0	71,298
STATE LIBRARIAN (SOS)	08630A	1.0	71,213	1.0	73,902
Subtotal Unclassified		5.0	318,957	5.0	331,001
Subtotal		5.0	318,957	5.0	331,001
Turnover			0		(50,035)
Total Salaries			318,957		280,966
Benefits					
FICA			24,401		25,321
Health Benefits			75,393		77,142
Payroll Accrual			1,844		1,918
Retiree Health			19,074		22,010
Retirement			87,351		91,014
Subtotal			208,063		217,405
Total Salaries and Benefits		5.0	527,020	5.0	498,371
Cost Per FTE Position			105,404		99,674
Statewide Benefit Assessment			14,100		13,076
Payroll Costs		5.0	541,120	5.0	511,447
Total Personnel		5.0	541,120	5.0	511,447
Distribution by Source of Funds					
General Revenue			541,120		511,447
Total All Funds			541,120		511,447

Program Summary

Agency: SECRETARY OF STATE

Record Center

Mission

The Record Center program strives to provide agencies with cost-efficient secure central record storage facilities and provide clear guidance on record retention and disposal policies.

Description

The Record Center program is a Public Records Administration internal service program that oversees records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions. The Records Center program is an internal service program. The costs of its operations are borne by the user agencies through a charge system that allocates the cost of service delivery. Program costs are shown for display purposes only, because the costs are reflected in the budget of the user agency both on an actual and budget basis.

Statutory History

R.I.G.L. 35-5 authorizes the Director of Administration to establish a system of rotating funds in any state department or agency. R.I.G.L. 38-1 and R.I.G.L. 38-3 establish the responsibilities and duties of the Public Records Administration program. R.I.G.L. 42-35 defines the policies for administrative records.

Budget

Agency: SECRETARY OF STATE

Record Center

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	908,208	845,359	947,539	983,402	969,729
Total Expenditures	908,208	845,359	947,539	983,402	969,729
<i>Internal Services</i>	<i>[908,208]</i>	<i>[845,359]</i>	<i>[947,539]</i>	<i>[983,402]</i>	<i>[969,729]</i>
Expenditures by Object					
Salary and Benefits	421,805	384,064	499,904	504,855	491,437
Operating Supplies and Expenses	485,641	456,561	445,435	475,017	478,292
Subtotal: Operating	907,446	840,625	945,339	979,872	969,729
Capital Purchases And Equipment	762	4,734	2,200	3,530	0
Subtotal: Other	762	4,734	2,200	3,530	0
Total Expenditures	908,208	845,359	947,539	983,402	969,729
Expenditures by Source of Funds					
Other Funds	908,208	845,359	947,539	983,402	969,729
Total Expenditures	908,208	845,359	947,539	983,402	969,729

Personnel

Agency: SECRETARY OF STATE

Record Center

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Transfer In		311,598		301,004
Total Salaries		311,598		301,004
Benefits				
FICA		23,838		23,027
Health Benefits		49,563		50,473
Payroll Accrual		1,801		1,745
Retiree Health		18,633		20,016
Retirement		85,088		82,531
Subtotal		178,923		177,792
Total Salaries and Benefits	0.0	490,521	0.0	478,796
Cost Per FTE Position		0		
Statewide Benefit Assessment		14,334		12,641
Payroll Costs	0.0	504,855	0.0	491,437
Total Personnel	0.0	504,855	0.0	491,437
Distribution by Source of Funds				
Other Funds		504,855		491,437
Total All Funds		504,855		491,437

Program Summary

Agency: SECRETARY OF STATE

Office of Public Information

Mission

The Office of Public Information strives to effectively disseminate vital government information to the public and utilize expanding technologies to enhance public access and awareness of state government activities.

Description

The Office of Public Information gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports available to the public free of charge and accessible through the Internet. The Office frequently trains the public on the access and use of government websites. The Office maintains databases on all introduced legislation and hearings in the General Assembly, and publishes daily and weekly legislative reports. It maintains a list of lobbyists and enforces of the state's lobbying law. Under the Administrative Procedures Act, the Office is responsible for compiling state agency rules and regulations. The Office operates and maintains a clearinghouse for public meeting information as required by the Open Meetings Laws. It also operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals, and other state publications.

Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

Budget

Agency: SECRETARY OF STATE

Office of Public Information

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	418,366	576,354	647,057	469,124	477,568
Total Expenditures	418,366	576,354	647,057	469,124	477,568
Expenditures by Object					
Salary and Benefits	291,664	484,397	503,022	323,117	358,512
Contract Professional Services	25,961	28,322	55,900	45,900	45,900
Operating Supplies and Expenses	96,335	48,635	88,135	85,107	58,156
Subtotal: Operating	413,960	561,354	647,057	454,124	462,568
Capital Purchases And Equipment	4,406	0	0	0	0
Operating Transfers	0	15,000	0	15,000	15,000
Subtotal: Other	4,406	15,000	0	15,000	15,000
Total Expenditures	418,366	576,354	647,057	469,124	477,568
Expenditures by Source of Funds					
General Revenue	405,686	562,938	622,057	444,124	452,568
Restricted Receipts	12,680	13,416	25,000	25,000	25,000
Total Expenditures	418,366	576,354	647,057	469,124	477,568

Personnel

Agency: SECRETARY OF STATE

Office of Public Information

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05325A	1.0	53,449	1.0	55,467
DIRECTOR OF PROGRAMMING	08632A	1.0	97,277	1.0	100,949
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	78,779	1.0	81,754
Subtotal Unclassified		3.0	229,505	3.0	238,170
Subtotal		3.0	229,505	3.0	238,170
Turnover			(27,055)		(33,132)
Total Salaries			202,450		205,038
Benefits					
FICA			15,488		18,220
Health Benefits			28,502		44,195
Payroll Accrual			1,170		1,380
Retiree Health			12,106		15,839
Retirement			54,451		64,431
Subtotal			111,717		144,065
Total Salaries and Benefits		3.0	314,167	3.0	349,103
Cost Per FTE Position			104,722		116,368
Statewide Benefit Assessment			8,950		9,409
Payroll Costs		3.0	323,117	3.0	358,512
Purchased Services					
Legal Services			30,000		30,000
Other Contracts			900		900
University and College Services			15,000		15,000
Subtotal			45,900		45,900
Total Personnel		3.0	369,017	3.0	404,412
Distribution by Source of Funds					
General Revenue			369,017		404,412
Total All Funds			369,017		404,412

Agency Summary

TREASURY DEPARTMENT

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional service through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Infrastructure Bank. Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are: the Employees' Retirement System of Rhode Island, the administrative unit for participating public employee groups for both defined benefit and defined contribution plans; the Unclaimed Property Program which collects tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division which provides investment and cash management services to state government, and issues and manages the State's general obligation debt; the CollegeBound Program (new to Treasury in 2016) and its contribution of fee revenue for state college assistance programs; the Business Office, which validates and distributes the State's imprest/benefit check payments, prints vendor and non-vendor checks, and reconciles a majority of the State's accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include cooperation with the Executive Office of Health and Human Services in the ABLE savings program (Achieving A Better Life Experience Accounts) for tax free income growth for certain qualified disability expenditures; the care and management of the Abraham Touro Fund; and, the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program. Title 16, Chapter 16-57, transferred responsibility for the CollegeBound program to Treasury. Title 42, Chapter 7.2 was amended in 2015 to include the State Investment Commission in the oversight of the new ABLE savings program.

Budget

TREASURY DEPARTMENT

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
General Treasurer	3,384,364	3,468,658	3,643,593	3,523,551	3,595,210
State Retirement System	10,076,889	9,315,499	11,359,220	11,495,813	11,968,213
Unclaimed Property	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844
Crime Victim Compensation Program	2,192,364	1,843,965	2,089,672	1,717,567	1,742,118
Total Expenditures	42,002,141	43,076,635	43,122,580	42,417,814	42,218,385
Expenditures by Object					
Salary And Benefits	9,629,368	10,114,569	10,335,794	10,431,955	10,909,721
Contract Professional Services	4,584,511	3,357,527	4,805,842	4,893,342	4,943,392
Operating Supplies And Expenses	25,771,799	27,896,490	26,020,669	25,489,692	24,812,297
Assistance And Grants	1,985,721	1,607,022	1,860,000	1,502,000	1,488,550
Subtotal: Operating	41,971,399	42,975,608	43,022,305	42,316,989	42,153,960
Capital Purchases And Equipment	30,743	101,027	100,275	100,825	64,425
Operating Transfers	(1)	0	0	0	0
Subtotal: Other	30,742	101,027	100,275	100,825	64,425
Total Expenditures	42,002,141	43,076,635	43,122,580	42,417,814	42,218,385
Expenditures by Source of Funds					
General Revenue	2,653,651	2,819,265	2,973,776	2,953,922	3,037,551
Federal Funds	1,087,203	941,713	1,074,874	1,016,641	998,974
Restricted Receipts	37,596,086	38,675,476	38,419,246	37,807,908	37,518,001
Other Funds	665,201	640,181	654,684	639,343	663,859
Total Expenditures	42,002,141	43,076,635	43,122,580	42,417,814	42,218,385
FTE Authorization	87.0	89.0	89.0	89.0	89.0

Personnel Agency Summary

TREASURY DEPARTMENT

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified	89.0	6,669,161	89.0	6,962,663
Subtotal	89.0	6,669,161	89.0	6,962,663
Overtime (1.5)		152,000		135,000
Seasonal/Special Salaries/Wages		1,456		1,456
Turnover		(354,240)		(346,065)
Total Salaries		6,468,377		6,753,054
Benefits				
FICA		474,382		496,665
Health Benefits		1,062,911		1,094,600
Payroll Accrual		36,593		38,406
Retiree Health		378,350		440,661
Retirement		1,722,578		1,811,397
Subtotal		3,674,814		3,881,729
Total Salaries and Benefits	89.0	10,143,191	89.0	10,634,783
Cost Per FTE Position		113,968		119,492
Statewide Benefit Assessment		288,764		274,938
Payroll Costs	89.0	10,431,955	89.0	10,909,721
Purchased Services				
Buildings and Ground Maintenance		120,000		124,800
Clerical and Temporary Services		24,500		12,500
Design and Engineering Services		10,000		0
Information Technology		2,649,642		2,664,642
Legal Services		534,500		534,500
Management & Consultant Services		1,304,700		1,314,700
Other Contracts		250,000		292,250
Subtotal		4,893,342		4,943,392
Total Personnel	89.0	15,325,297	89.0	15,853,113
Distribution by Source of Funds				
General Revenue		2,272,443		2,358,273
Federal Funds		269,279		284,842
Restricted Receipts		12,212,711		12,615,618
Other Funds		570,864		594,380
Total All Funds		15,325,297		15,853,113

Program Summary

Agency: TREASURY DEPARTMENT

General Treasurer

Mission

Continue to deliver superior service to the public, state agencies, and state vendors in all of its activities; to increase operational efficiency and expand the use of technology to improve the management of cash collection, investment and disbursement systems.

Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office. Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office. Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal advice and management information systems. Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds. It also provides reports to the State Investment Commission on investment performance, and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for investment policy for the Defined Contribution and Deferred Compensation programs, administration of the State's tax-deferred 529 plan for college savings called the Collegebound (formerly, Collegeboundfund) and the ABLE tax-free savings program for disabled residents. Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks, and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of State bank accounts to bank statement balances and to the Controller's records. Additionally, this division prints and disburses vendor and non-vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program. Chapter 16-57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program. Chapter 42-7.2 of Title 42 creates the ABLE savings account program, which Treasury is implementing in conjunction with the Executive Office of Health and Human Services.

Budget

Agency: TREASURY DEPARTMENT

General Treasurer

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Administration Operations	610,299	845,868	847,519	846,750	870,459
Business Offices	1,280,887	1,300,340	1,331,514	1,232,022	1,281,211
Investments	912,790	723,176	868,735	840,742	818,232
Policy	580,388	599,274	595,825	604,037	625,308
Total Expenditures	3,384,364	3,468,658	3,643,593	3,523,551	3,595,210
Expenditures by Object					
Salary and Benefits	2,554,719	2,562,987	2,602,656	2,497,508	2,631,951
Contract Professional Services	373,317	240,750	266,000	307,500	251,500
Operating Supplies and Expenses	447,010	645,027	751,512	696,218	690,334
Subtotal: Operating	3,375,046	3,448,764	3,620,168	3,501,226	3,573,785
Capital Purchases And Equipment	9,319	19,894	23,425	22,325	21,425
Operating Transfers	(1)	0	0	0	0
Subtotal: Other	9,318	19,894	23,425	22,325	21,425
Total Expenditures	3,384,364	3,468,658	3,643,593	3,523,551	3,595,210
Expenditures by Source of Funds					
General Revenue	2,419,681	2,517,448	2,684,367	2,603,629	2,643,533
Federal Funds	299,482	311,029	304,542	280,579	287,818
Other Funds	665,201	640,181	654,684	639,343	663,859
Total Expenditures	3,384,364	3,468,658	3,643,593	3,523,551	3,595,210

Personnel

Agency: TREASURY DEPARTMENT

General Treasurer

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING & DEBT SERVICES SPECIALIST (TREASURY RECON)	00319A	1.0	53,652	1.0	55,678
ACCOUNTING & RESEARCH SERVICES SPECIALIST (TREAS RECON)	00319A	1.0	53,653	1.0	55,679
ARCHIVES AND RECORDS RETENTION SPECIALIST (TREASURY)	00315A	1.0	45,231	1.0	46,938
ASSOCIATE DIRECTOR OF FINANCE (TREASURY)	08538A	1.0	96,640	1.0	100,288
ASSOCIATE DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREA)	08533A	1.0	84,068	1.0	87,242
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS PROCESSING)	00316A	1.0	40,208	1.0	41,726
CASH MANAGEMENT/FISCAL OFFICER (TREASURY)	08528A	1.0	45,505	1.0	68,566
CASH MANAGER (TREASURY INVESTMENTS)	00322A	1.0	47,477	1.0	50,035
CASH MANAGER (TREASURY INVESTMENTS)	08538A	1.0	101,560	1.0	105,396
CHIEF FISCAL MANAGER (TREASURY)	08535A	1.0	82,566	1.0	85,682
CHIEF OF STAFF (TREASURY)	08548A	1.0	150,806	1.0	156,502
CHIEF OPERATING OFFICER (TREASURY)	08543A	1.0	114,836	1.0	124,252
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	45,793	1.0	47,523
DEPUTY TREASURERFOR POLICY & PUBLIC FINANCE (TREASURY)	08541A	1.0	116,332	1.0	120,724
DIRECTOR OF COMMUNICATIONS (TREASURY)	08541A	1.0	116,333	1.0	120,724
DIRECTOR OF OUTREACH (TREASURY)	08531A	1.0	68,900	1.0	71,500
DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREASURY)	08538A	1.0	101,561	1.0	105,395
EXECUTIVE AIDE TO THE GENERAL TREASURER (TREASURY)	08527A	1.0	66,786	1.0	69,308
FISCAL MANAGEMENT/DEBT ANALYST	00324A	1.0	53,749	1.0	57,825
GENERAL COUNSEL (TREASURY)	08543A	1.0	121,256	1.0	125,836
GENERAL TREASURER	00531F	1.0	120,188	1.0	122,740
POLICY AIDE (TREASURY)	08525A	1.0	55,912	1.0	61,149
PRINCIPAL AUDITOR	00328A	3.0	237,369	3.0	246,154
PROGRAM ADMINISTRATOR COLLEGE BOUND FUND (TREASURY)	08527A	1.0	66,785	1.0	69,307
PROJECT COORDINATOR(TRSY/ADMIN	08526A	1.0	60,969	1.0	63,271
PUBLIC DEBT MANAGER (TREASURY/ INVESTMENTS)	08538A	1.0	101,561	1.0	105,395
RESEARCH DIRECTOR (TREASURY)	08531A	1.0	71,408	1.0	74,104
SENIOR ADMINISTRATIVE CLERK (TREASURY ADMINISTRATION)	00327A	1.0	79,669	1.0	82,653
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	00324A	1.0	58,726	1.0	60,942

Personnel

Agency: TREASURY DEPARTMENT

General Treasurer

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified				
SR ADMINISTRATIVE AIDE (TREASURY) 00317A	1.0	52,663	1.0	54,650
STATE RECEIPTS COORDINATOR & RET ACCT RECONCILER TREAS 00324A	1.0	70,352	1.0	72,958
SYSTEMS ADMINISTRATOR (TREASURY) 08528A	1.0	69,400	1.0	72,020
Subtotal Unclassified	34.0	2,651,914	34.0	2,782,162
Subtotal	34.0	2,651,914	34.0	2,782,162
Transfer Out		(1,061,147)		(1,109,197)
Transfer In		54,350		56,404
Turnover		(116,219)		(118,713)
Total Salaries		1,528,898		1,610,656
Benefits				
FICA		117,730		123,974
Health Benefits		263,360		273,203
Payroll Accrual		8,898		9,390
Retiree Health		92,029		107,770
Retirement		417,771		441,781
Subtotal		899,788		956,118
Total Salaries and Benefits	34.0	2,428,686	34.0	2,566,774
Cost Per FTE Position		71,432		75,493
Statewide Benefit Assessment		68,822		65,177
Payroll Costs	34.0	2,497,508	34.0	2,631,951
Purchased Services				
Clerical and Temporary Services		500		500
Information Technology		2,500		2,500
Legal Services		34,500		34,500
Management & Consultant Services		266,500		210,500
Other Contracts		3,500		3,500
Subtotal		307,500		251,500
Total Personnel	34.0	2,805,008	34.0	2,883,451
Distribution by Source of Funds				
General Revenue		1,984,355		2,024,439
Federal Funds		249,789		264,632
Other Funds		570,864		594,380
Total All Funds		2,805,008		2,883,451

Program Summary

Agency: TREASURY DEPARTMENT

State Retirement System

Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership, including assurance of data integrity and security, and member counseling in individual or group settings. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.0%. The Investments sub-program within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws. The Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all administered retirement plans. These changes provided increased retirement security for plan members, saved \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, re-amortizing over 25 years, suspended cost-of-living adjustments and created a hybrid defined- benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Several public employee unions and retiree groups filed suit. Litigation related to the implementation of RIRSA was ultimately settled in June, 2016 and the provisions of the settlement agreement were enacted into law effective for FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

Budget

Agency: TREASURY DEPARTMENT

State Retirement System

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Defined Benefit	9,987,851	9,219,395	11,243,784	11,271,630	11,736,581
Defined Contribution	89,038	96,104	115,436	224,183	231,632
Total Expenditures	10,076,889	9,315,499	11,359,220	11,495,813	11,968,213
Expenditures by Object					
Salary and Benefits	5,477,906	5,919,566	6,155,890	6,266,483	6,548,647
Contract Professional Services	3,789,585	2,433,317	3,918,592	3,938,592	4,003,642
Operating Supplies and Expenses	507,900	625,858	935,738	939,238	1,091,374
Assistance And Grants	291,303	271,440	285,000	285,000	293,550
Subtotal: Operating	10,066,694	9,250,181	11,295,220	11,429,313	11,937,213
Capital Purchases And Equipment	10,195	65,318	64,000	66,500	31,000
Subtotal: Other	10,195	65,318	64,000	66,500	31,000
Total Expenditures	10,076,889	9,315,499	11,359,220	11,495,813	11,968,213
Expenditures by Source of Funds					
Restricted Receipts	10,076,889	9,315,499	11,359,220	11,495,813	11,968,213
Total Expenditures	10,076,889	9,315,499	11,359,220	11,495,813	11,968,213

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	74,026	1.0	76,821
ADMINISTRATIVE AIDE	00316A	3.0	143,694	3.0	149,070
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	08513A	1.0	40,978	1.0	42,525
ADMINISTRATIVE ASSISTANT	00325A	1.0	67,209	1.0	69,747
ADMINISTRATIVE ASSISTANT (TREASURY INVESTMENTS)	00325A	1.0	54,550	1.0	56,610
ADMINISTRATIVE ASSISTANT (TREASURY RETIREMENT)	00325A	4.0	243,596	4.0	257,089
BUSINESS ANALYST II (TREASURY/RETIREMENT)	08533A	1.0	87,882	1.0	91,056
CHF INVESTMENT OFFCR (TRSY/INV	08561A	1.0	264,511	1.0	274,497
CHIEF FINANCIAL OFFICER (TREASURY RETIREMENT)	08542A	1.0	121,257	1.0	125,835
CONTROLLER (TREASURY RETIREMENT)	08534A	1.0	100,279	1.0	104,065
CUSTOMER EXPERIENCE MANAGER (TREASURY)	08531A	1.0	78,042	1.0	80,989
DATA ANALYST (TREAS/RETIREMENT	00325A	1.0	54,550	1.0	59,368
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	08534A	1.0	87,200	1.0	90,491
DEPUTY DIRECTOR (TREASURY/RET)	08542A	1.0	121,257	1.0	125,835
DEPUTY LEGAL COUNSEL (TREASURY RETIREMENT)	08534A	1.0	100,279	1.0	104,065
DISABILITY PENSION MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	78,042	1.0	80,989
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08545A	1.0	156,432	1.0	162,339
IMAGING TECNICIAN	00315A	1.0	51,692	1.0	53,644
INVESTMENT ACCOUNTING MANAGER (TREASURY/RETIREMENT)	08538A	1.0	116,795	1.0	121,205
INVESTMENT ANALYST (TREASURY)	08530A	1.0	67,598	1.0	72,696
LEGAL COUNSEL (TREASURY RETIREMENT)	08529A	1.0	72,207	1.0	74,936
MEMBER SERVICES REPRESENTATIVE (TREASURY RETIREMENT)	00318A	1.0	43,573	1.0	46,295
PRINCIPAL ACCOUNTANT	00326A	1.0	66,660	1.0	69,177
PROJECT COORDINATOR/COMPLIANCE ANALYST (TREASURY DEPARTMENT)	00325A	1.0	64,154	1.0	66,576
PROJECT MANAGER (JUDICIAL)	08530A	1.0	90,034	1.0	93,433
RETIREMENT AIDE (TREASURY)	00316A	1.0	40,208	1.0	42,225
RETIREMENT AIDE (TREASURY/RETIREMENT)	00316A	1.0	40,437	1.0	40,437
RETIREMENT ANALYST	00323A	1.0	67,574	1.0	70,082
RETIREMENT COUNSELOR (TREASURY RETIREMENT)	08531A	1.0	74,026	1.0	76,821
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	00325A	1.0	54,758	1.0	58,394

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
SENIOR ADMINISTRATIVE AIDE (TREASURY/ RETIREMENT)	00317A	2.0	96,485	2.0	101,057
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	00327A	2.0	156,703	2.0	162,494
Senior INVESTMENT ANALYST (TREASURY)	08535A	1.0	82,566	1.0	85,682
SR INVESTMENT OFFICER (TRSY)	08541A	2.0	212,972	2.0	221,012
WAGE & CONTRIBUTION ANALYST (TREASURY RETIREMENT)	00324A	1.0	72,105	1.0	74,710
Subtotal Unclassified		43.0	3,344,331	43.0	3,482,267
Subtotal		43.0	3,344,331	43.0	3,482,267
Transfer Out			(138,043)		(143,214)
Transfer In			794,306		830,451
Overtime (1.5)			80,000		100,000
Seasonal/Special Salaries/Wages			1,456		1,456
Turnover			(182,900)		(190,562)
Total Salaries			3,899,150		4,080,398
Benefits					
FICA			282,418		294,132
Health Benefits			617,642		629,796
Payroll Accrual			22,087		23,069
Retiree Health			228,296		264,599
Retirement			1,041,277		1,089,539
Subtotal			2,191,720		2,301,135
Total Salaries and Benefits		43.0	6,090,870	43.0	6,381,533
Cost Per FTE Position			141,648		148,408
Statewide Benefit Assessment			175,613		167,114
Payroll Costs		43.0	6,266,483	43.0	6,548,647
Purchased Services					
Buildings and Ground Maintenance			120,000		124,800
Clerical and Temporary Services			24,000		12,000
Information Technology			2,523,892		2,473,892
Legal Services			500,000		500,000
Management & Consultant Services			538,200		604,200
Other Contracts			232,500		288,750
Subtotal			3,938,592		4,003,642

Personnel

Agency: TREASURY DEPARTMENT

State Retirement System

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Total Personnel	43.0	10,205,075	43.0	10,552,289
Distribution by Source of Funds				
Restricted Receipts		10,205,075		10,552,289
Total All Funds		10,205,075		10,552,289

Program Summary

Agency: TREASURY DEPARTMENT

Unclaimed Property

Mission

The core mission of the program is to return unclaimed property to its rightful owner and maintain custody of the property within the general fund until it can be returned. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach through print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were updated during the 2011 legislative session to amend the advertising requirements of the program and to establish procedures for the disposal of 'de minimus' property. Amendments to Chapter 28-42-38 and 33-21.1-17, passed by the 2017 Legislature, facilitate the sharing of data between the Unclaimed Property Program and data banks of other state agencies to unite property holders using pre-qualified identity matches.

Budget

Agency: TREASURY DEPARTMENT

Unclaimed Property

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844
Total Expenditures	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844
Expenditures by Object					
Salary and Benefits	1,148,776	1,187,659	1,148,115	1,222,424	1,231,385
Contract Professional Services	418,606	681,437	593,000	629,000	670,000
Operating Supplies and Expenses	24,769,913	26,564,333	24,277,980	23,818,459	23,000,459
Subtotal: Operating	26,337,295	28,433,429	26,019,095	25,669,883	24,901,844
Capital Purchases And Equipment	11,229	15,084	11,000	11,000	11,000
Subtotal: Other	11,229	15,084	11,000	11,000	11,000
Total Expenditures	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844
Expenditures by Source of Funds					
Restricted Receipts	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844
Total Expenditures	26,348,524	28,448,513	26,030,095	25,680,883	24,912,844

Personnel

Agency: TREASURY DEPARTMENT

Unclaimed Property

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
APPLICATIONS COORDINATOR (TREASURY UNCLAIMED PROPERTY)	00318A	1.0	51,970	1.0	53,932
ASST ADMIN/CLERK (Unclaimed Property)	00318A	3.0	138,399	3.0	143,577
OUTREACH COORDINATOR (TREASURY)	08516A	1.0	43,004	1.0	44,628
SENIOR UNCLAIMED PROPERTY TECHNICIAN (TREAS)	00323A	1.0	59,367	1.0	61,609
UNCLAIMED PROPERTY CLERK (TREASURY)	00318A	1.0	47,245	1.0	49,029
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08534A	1.0	87,199	1.0	90,491
Subtotal Unclassified		8.0	427,184	8.0	443,266
Subtotal		8.0	427,184	8.0	443,266
Transfer Out			(36,553)		(37,934)
Transfer In			342,692		357,419
Overtime (1.5)			72,000		35,000
Turnover			(34,666)		(36,156)
Total Salaries			770,657		761,595
Benefits					
FICA			53,450		55,580
Health Benefits			130,370		132,772
Payroll Accrual			4,037		4,208
Retiree Health			41,778		48,318
Retirement			189,996		198,396
Subtotal			419,631		439,274
Total Salaries and Benefits		8.0	1,190,288	8.0	1,200,869
Cost Per FTE Position			148,786		150,109
Statewide Benefit Assessment			32,136		30,516
Payroll Costs		8.0	1,222,424	8.0	1,231,385
Purchased Services					
Design and Engineering Services			10,000		0
Information Technology			105,000		170,000
Management & Consultant Services			500,000		500,000
Other Contracts			14,000		0
Subtotal			629,000		670,000
Total Personnel		8.0	1,851,424	8.0	1,901,385

Personnel

Agency: TREASURY DEPARTMENT

Unclaimed Property

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts		1,851,424		1,901,385
Total All Funds		1,851,424		1,901,385

Program Summary

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

Mission

The Program was created to help victims recover costs associated with violent crime. The program provides up to \$25,000 in compensation to crime victims for expenses such as medical bills, loss of earnings, crime scene cleaning, and funeral expenses. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages were necessary. Program staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program Administrator awards or denies requests according to the evidence presented and eligibility rules under the applicable statute.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program. In 2011 the chapter was amended to increase the reimbursement limits for funeral expenses and to add crime-scene clean-up costs as an eligible expense. The statute was further amended in 2013 to add emergency compensation for relocation costs. More recently, payment for psychiatric care and counseling for minor witnesses who witness violent crimes was passed by the 2017 Legislature.

Budget

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	2,192,364	1,843,965	2,089,672	1,717,567	1,742,118
Total Expenditures	2,192,364	1,843,965	2,089,672	1,717,567	1,742,118
Expenditures by Object					
Salary and Benefits	447,967	444,357	429,133	445,540	497,738
Contract Professional Services	3,003	2,023	28,250	18,250	18,250
Operating Supplies and Expenses	46,976	61,272	55,439	35,777	30,130
Assistance And Grants	1,694,418	1,335,582	1,575,000	1,217,000	1,195,000
Subtotal: Operating	2,192,364	1,843,234	2,087,822	1,716,567	1,741,118
Capital Purchases And Equipment	0	731	1,850	1,000	1,000
Subtotal: Other	0	731	1,850	1,000	1,000
Total Expenditures	2,192,364	1,843,965	2,089,672	1,717,567	1,742,118
Expenditures by Source of Funds					
General Revenue	233,970	301,817	289,409	350,293	394,018
Federal Funds	787,721	630,684	770,332	736,062	711,156
Restricted Receipts	1,170,673	911,464	1,029,931	631,212	636,944
Total Expenditures	2,192,364	1,843,965	2,089,672	1,717,567	1,742,118

Personnel

Agency: TREASURY DEPARTMENT

Crime Victim Compensation Program

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	44,489	1.0	46,169
ADMINISTRATOR (TREASURY CRIME VICTIM COMPENSATION)	08531A	1.0	74,026	1.0	76,821
APPLICATIONS COORDINATOR (TREAS CRIME VICTIM COMPENSATI	00318A	1.0	57,817	1.0	59,958
DEPUTY PROGRAM ADMINISTRATOR (TREASURY CRIME VICTIM COMP)	08528A	1.0	69,400	1.0	72,020
Subtotal Unclassified		4.0	245,732	4.0	254,968
Subtotal		4.0	245,732	4.0	254,968
Transfer In			44,395		46,071
Turnover			(20,455)		(634)
Total Salaries			269,672		300,405
Benefits					
FICA			20,784		22,979
Health Benefits			51,539		58,829
Payroll Accrual			1,571		1,739
Retiree Health			16,247		19,974
Retirement			73,534		81,681
Subtotal			163,675		185,202
Total Salaries and Benefits		4.0	433,347	4.0	485,607
Cost Per FTE Position			108,337		121,402
Statewide Benefit Assessment			12,193		12,131
Payroll Costs		4.0	445,540	4.0	497,738
Purchased Services					
Information Technology			18,250		18,250
Subtotal			18,250		18,250
Total Personnel		4.0	463,790	4.0	515,988
Distribution by Source of Funds					
General Revenue			288,088		333,834
Federal Funds			19,490		20,210
Restricted Receipts			156,212		161,944
Total All Funds			463,790		515,988

Agency Summary

BOARD OF ELECTIONS

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections (Board) supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of voting equipment, election return forms, and other supplies used at polling places. It instructs and certifies all election officials assigned to polls, and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bipartisan pairs of supervisors for the mail ballot program for institutionally confined persons. It certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns. The Board oversees voter registration and public education activities and provides all registration materials used in the state. It also trains and supervises all individuals who serve as statewide voter registration agents. The Board conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The Board oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports. It is also responsible for the publication of manuals describing and explaining the requirements set forth in the statutes. It reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The Board also oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the State Returning Board. Chapter 1040 of the Public Laws of 1941 created the Board of Elections as a partisan board, replacing the State Returning Board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors.

Budget

BOARD OF ELECTIONS

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
Total Expenditures	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
Expenditures by Object					
Salary And Benefits	1,279,169	1,088,309	1,673,584	1,612,977	1,678,429
Contract Professional Services	136,733	147,418	135,788	190,982	208,688
Operating Supplies And Expenses	444,256	259,621	800,688	987,970	567,466
Assistance And Grants	0	0	2,620,856	1,830,998	0
Subtotal: Operating	1,860,158	1,495,348	5,230,916	4,622,927	2,454,583
Capital Purchases And Equipment	109,511	10,350	21,600	31,600	8,000
Subtotal: Other	109,511	10,350	21,600	31,600	8,000
Total Expenditures	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
Expenditures by Source of Funds					
General Revenue	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
Total Expenditures	1,969,669	1,505,698	5,252,516	4,654,527	2,462,583
FTE Authorization	12.0	12.0	13.0	13.0	13.0

Personnel

Agency: BOARD OF ELECTIONS

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	00312A	1.0	37,476	1.0	39,590
ADMINISTRATIVE ASSISTANT	00823A	1.0	51,903	1.0	55,596
ASSISTANT ADMINISTRATOR/FINANCIAL MANAGEMENT	00835A	1.0	88,050	1.0	95,424
COMMISSIONER-BOARD OF ELECTIONS	40510F	0.0	21,000	0.0	21,000
CONFIDENTIAL SECRETARY	00817A	1.0	44,182	1.0	45,851
DEPUTY DIRECTOR	00832A	1.0	83,470	1.0	88,103
DIRECTOR OF OPERATIONS	00830A	1.0	66,278	0.0	0
EXECUTIVE DIRECTOR	00844A	1.0	138,829	1.0	149,496
PLANNING AND PROGRAM DEVELOPMENT SPECIALIST	00320A	3.0	135,976	3.0	143,870
SENIOR ADMINISTRATIVE AIDE/TRAINING SPECIALIST	00319A	1.0	44,896	1.0	47,724
SENIOR RECEPTIONIST	00312A	1.0	42,014	1.0	43,600
SPECIAL PROJECTS COORDINATOR	00829A	1.0	68,182	2.0	140,625
Subtotal Unclassified		13.0	822,256	13.0	870,879
Subtotal		13.0	822,256	13.0	870,879
Overtime (1.5)			12,000		8,000
Seasonal/Special Salaries/Wages			360,000		175,000
Turnover			(161,545)		0
Total Salaries			1,032,711		1,053,879
Benefits					
FICA			85,746		79,091
Health Benefits			200,824		215,239
Holiday			6,001		0
Payroll Accrual			4,310		4,926
Retiree Health			47,148		59,075
Retirement			203,274		232,648
Subtotal			547,303		590,979
Total Salaries and Benefits		13.0	1,580,014	13.0	1,644,858
Cost Per FTE Position			121,540		126,528
Statewide Benefit Assessment			32,963		33,571
Payroll Costs		13.0	1,612,977	13.0	1,678,429
Purchased Services					
Clerical and Temporary Services			3,000		3,000
Legal Services			140,000		150,000

Personnel

Agency: BOARD OF ELECTIONS

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Purchased Services				
Management & Consultant Services		6,900		0
Other Contracts		41,082		55,688
Subtotal		190,982		208,688
Total Personnel	13.0	1,803,959	13.0	1,887,117
Distribution by Source of Funds				
General Revenue		1,803,959		1,887,117
Total All Funds		1,803,959		1,887,117

Agency Summary

RHODE ISLAND ETHICS COMMISSION

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage. Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees. Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner. Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources. Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The Rhode Island Ethics Commission was created as an independent, non-partisan division of Rhode Island Government by the voters of Rhode Island through a 1986 amendment to the Rhode Island Constitution. The Commission's constitutional authority includes adopting a Code of Ethics that applies to all state and municipal elected officials, appointed officials and public employees in such areas that expressly include, but are not limited to, conflicts of interest, confidential information, use of position, contracts with government agencies and financial disclosure. Its constitutional authority also includes the investigation and enforcement of alleged violations of the Code of Ethics and the imposition of penalties for noncompliance.

The Ethics Commission administers and enforces a financial disclosure requirement for more than 4,000 public officials annually and makes such filings readily available for public inspection. It issues numerous written advisory opinions each year, maintains public contact with dozens of inquiring citizens each week, and provides regular training to state and municipal public officials and employees as to the requirements of the Code of Ethics.

The nine-member Ethics Commission is appointed by the Governor, with a majority of its members nominated by legislative leaders. The staff of the Ethics Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Ethics Commission's operations are funded solely with state general revenues.

Statutory History

Article III, Sections 7 & 8 of the Rhode Island Constitution were approved by the voters on November 4, 1986. Title 36, Chapter 14 of the Rhode Island General Laws, enacted in 1987, sets forth the legislative provisions of the Code of Ethics and defines the Ethics Commission's advisory, investigative and adjudicative procedures. A further amendment to Article III, Section 8 of the Rhode Island Constitution in 2016 clarified that members of the General Assembly are subject to the Code of Ethics and the jurisdiction of the Ethics Commission.

Budget

RHODE ISLAND ETHICS COMMISSION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
RI Ethics Commission	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
Total Expenditures	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
Expenditures by Object					
Salary And Benefits	1,423,896	1,416,731	1,532,767	1,471,281	1,572,002
Contract Professional Services	22,307	22,193	57,001	47,275	47,275
Operating Supplies And Expenses	153,616	195,686	218,143	220,657	218,195
Subtotal: Operating	1,599,819	1,634,610	1,807,911	1,739,213	1,837,472
Capital Purchases And Equipment	4,414	3,324	4,326	8,826	7,826
Subtotal: Other	4,414	3,324	4,326	8,826	7,826
Total Expenditures	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
Expenditures by Source of Funds					
General Revenue	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
Total Expenditures	1,604,233	1,637,934	1,812,237	1,748,039	1,845,298
FTE Authorization	12.0	12.0	12.0	12.0	12.0

Performance Measures

RHODE ISLAND ETHICS COMMISSION

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Notes: Calendar year 2018 data is as of 8/30/2018. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2016	2017	2018	2019	2020
Target	79.30%	80.00%	85.00%	85.00%	85.00%
Actual	79.30%	82.30%	84.70%	0.00%	

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	1,016.00	1,500.00	1,500.00	1,500.00	1,500.00
Actual	1,016.00	1,479.00	1,930.00	0.00	

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	95.50%	85.00%	85.00%	95.00%	95.00%
Actual	95.50%	95.00%	95.00%	0.00%	

Personnel

Agency: RHODE ISLAND ETHICS COMMISSION

RI Ethics Commission

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	00816A	1.0	40,917	1.0	43,406
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	110,604	1.0	114,660
COORDINATOR- SPECIAL PROJECTS	00827A	1.0	70,885	1.0	76,238
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	136,952	1.0	147,708
INVESTIGATOR I	00823A	1.0	59,685	1.0	61,938
RESEARCH AIDE	00810A	1.0	37,104	1.0	39,361
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	85,117	1.0	88,330
SPECIAL PROJECTS COORDINATOR	00829A	1.0	75,818	1.0	78,682
STAFF ATTORNEY II	00830A	2.0	147,430	2.0	155,722
STAFF ATTORNEY IV	00834A	1.0	70,305	1.0	90,491
STAFF ATTORNEY V	00836A	1.0	102,760	1.0	106,640
Subtotal Unclassified		12.0	937,577	12.0	1,003,176
Subtotal		12.0	937,577	12.0	1,003,176
Total Salaries			937,577		1,003,176
Benefits					
FICA			71,397		75,934
Health Benefits			102,690		105,019
Payroll Accrual			5,420		5,814
Retiree Health			56,068		66,713
Retirement			256,682		275,719
Subtotal			492,257		529,199
Total Salaries and Benefits		12.0	1,429,834	12.0	1,532,375
Cost Per FTE Position			119,153		127,698
Statewide Benefit Assessment			41,447		39,627
Payroll Costs		12.0	1,471,281	12.0	1,572,002
Purchased Services					
Clerical and Temporary Services			5,000		5,000
Information Technology			6,000		6,000
Legal Services			36,000		36,000
Other Contracts			275		275
Subtotal			47,275		47,275
Total Personnel		12.0	1,518,556	12.0	1,619,277

Personnel

Agency: RHODE ISLAND ETHICS COMMISSION

RI Ethics Commission

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,518,556		1,619,277
Total All Funds		1,518,556		1,619,277

Agency Summary

OFFICE OF THE GOVERNOR

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. To obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

Agency Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Gina M. Raimondo began on January 6, 2015.

Budget

OFFICE OF THE GOVERNOR

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Expenditures by Object					
Salary And Benefits	4,468,083	4,852,049	5,060,421	5,766,992	5,869,066
Contract Professional Services	120,896	148,524	500	500	500
Operating Supplies And Expenses	379,179	424,587	355,026	372,479	356,545
Assistance And Grants	0	50,000	200,000	250,000	250,000
Subtotal: Operating	4,968,158	5,475,160	5,615,947	6,389,971	6,476,111
Capital Purchases And Equipment	40,235	5,899	17,100	17,100	17,100
Subtotal: Other	40,235	5,899	17,100	17,100	17,100
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Expenditures by Source of Funds					
General Revenue	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
FTE Authorization	45.0	45.0	45.0	45.0	45.0

Personnel Agency Summary

OFFICE OF THE GOVERNOR

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Unclassified	45.0	4,265,661	45.0	4,521,772
Subtotal	45.0	4,265,661	45.0	4,521,772
Turnover		(600,407)		(752,619)
Total Salaries		3,639,549		3,667,628
Benefits				
FICA		255,567		261,817
Health Benefits		462,310		472,737
Payroll Accrual		21,283		22,029
Retiree Health		220,366		252,962
Retirement		1,005,018		1,041,635
Subtotal		1,964,544		2,051,180
Total Salaries and Benefits	45.0	5,604,093	45.0	5,718,808
Cost Per FTE Position		124,535		127,085
Statewide Benefit Assessment		162,899		150,258
Payroll Costs	45.0	5,766,992	45.0	5,869,066
Purchased Services				
Other Contracts		500		500
Subtotal		500		500
Total Personnel	45.0	5,767,492	45.0	5,869,566
Distribution by Source of Funds				
General Revenue		5,767,492		5,869,566
Total All Funds		5,767,492		5,869,566

Program Summary

Agency: OFFICE OF THE GOVERNOR

Central Management

Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. to obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Description

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The Governor signed Executive Order 11-09 in September, 2011 establishing the Health Benefits Exchange within the Office of the Governor in response to the federal Affordable Care Act 1311 (d)(6). The functions of the exchange have been transferred to the Department of Administration. The administration of Governor Gina M. Raimondo began on January 6, 2015.

Budget

Agency: OFFICE OF THE GOVERNOR

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Governor's Office	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Expenditures by Object					
Salary and Benefits	4,468,083	4,852,049	5,060,421	5,766,992	5,869,066
Contract Professional Services	120,896	148,524	500	500	500
Operating Supplies and Expenses	379,179	424,587	355,026	372,479	356,545
Assistance And Grants	0	50,000	200,000	250,000	250,000
Subtotal: Operating	4,968,158	5,475,160	5,615,947	6,389,971	6,476,111
Capital Purchases And Equipment	40,235	5,899	17,100	17,100	17,100
Subtotal: Other	40,235	5,899	17,100	17,100	17,100
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Expenditures by Source of Funds					
General Revenue	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211
Total Expenditures	5,008,393	5,481,059	5,633,047	6,407,071	6,493,211

Personnel

Agency: OFFICE OF THE GOVERNOR

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
CHIEF OF STAFF (GOVERNORS OFFICE)	08354A	1.0	179,708	1.0	186,493
COMMUNICATIONS ASSISTANT (GOV OFF)	08315A	1.0	38,235	1.0	41,277
CONSTITUENT SERVICES ASSOCIATE II (GOVERNORS OFFICE)	08319A	1.0	52,975	1.0	57,068
CONSTITUENT SERVICES ASSOCIATE II (GOV OFF)	08319A	2.0	95,082	2.0	99,248
CREATIVE MANAGER (GOV OFFICE)	08329A	1.0	63,781	1.0	69,838
DEPTY COMMUNICATIONS DIR (GOV)	08336A	1.0	86,763	1.0	94,278
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	08352A	1.0	161,392	1.0	167,486
DEPUTY CHIEF OF STAFF (GOV OFF)	08351A	1.0	153,246	1.0	163,997
DEPUTY CHIEF OF STAFF (GOV OFF)	08352A	1.0	161,392	1.0	167,486
DEPUTY COMMUNICATIONS DIRECTOR (GOV OFF)	08332A	1.0	70,007	1.0	76,722
DEPUTY COUNSEL (GOV OFF)	08345A	1.0	133,361	1.0	138,397
DEPUTY COUNSEL (GOV OFF)	08351A	1.0	157,884	1.0	168,462
DEPUTY PRESS SECRETARY (GOV OFF)	08326A	1.0	56,570	1.0	60,782
DIR BOARDS & COMMISSIONS (GOV)	08338A	1.0	99,569	1.0	103,329
DIRECTOR OF COMMUNICATIONS (GOVERNORS OFFICE)	08350A	1.0	143,589	1.0	153,976
DIRECTOR OF CONSTITUENT SERVICES (GOV OFF)	08325A	1.0	60,455	1.0	62,738
DIRECTOR OF PUBLIC ENGAGEMENT & COMMUNITY AFFAIRS (GOV OFF)	08339A	1.0	100,133	1.0	108,339
DIRECTOR OF SPECIAL PROJECTS (GOV OFF)	08344A	1.0	123,713	1.0	132,816
EDUCATION POLICY ADVISOR (GOV OFF)	08336A	1.0	84,894	1.0	91,954
EXEC ADMINISTRATIVE ASST (GOV)	08323A	2.0	102,892	2.0	109,783
EXECUTIVE ASSISTANT TO THE GOVERNOR (GOVERNORS OFFICE)	08327A	1.0	72,024	1.0	74,743
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	157,327	1.0	163,267
GOVERNOR	00527F	1.0	142,725	1.0	145,755
LEGAL ADMINISTRATIVE ASSISTANT (GOV OFF)	08334A	1.0	100,015	1.0	100,015
LEGISLATIVE DIRECTOR (GOVERNORS OFFICE)	08342A	1.0	109,618	1.0	117,606
OFFICE MANAGER (GOVERNORS OFFICE)	08328A	1.0	68,039	1.0	70,608
OUTREACH MANAGER (GOV OFF)	08323A	1.0	49,815	1.0	53,327
OUTREACH MANAGER (GOV OFF)	08336A	1.0	81,555	1.0	87,697
POLICY ADVISOR (GOV OFF)	08329A	4.0	256,485	4.0	280,191
POLICY ADVISOR (GOV OFF)	08336A	1.0	85,132	1.0	92,341
Policy Director (GOVERNOR'S OFFICE)	08339A	1.0	96,623	1.0	105,246
POLICY FELLOW (GOV'S OFFICE)	00883F	0.0	5,100	0.0	5,100

Personnel

Agency: OFFICE OF THE GOVERNOR

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
PRESS SECRETARY (GOV OFF)	08336A	1.0	91,587	1.0	95,045
SCHEDULER (GOVERNOR'S OFFICE)	08324A	1.0	49,848	1.0	53,581
SENIOR ADVISOR (GOVERNOR'S OFF	08351A	1.0	181,135	1.0	193,291
SENIOR ADVISOR TO THE GOVERNOR (GOVERNOR'S OFFICE)	08352A	1.0	162,094	1.0	174,395
SPECIAL ASSISTANT TO CHIEF OF STAFF (GOV OFF)	08325A	1.0	58,235	1.0	62,738
SPECIAL ASSISTANT TO THE GOVERNOR (GOV OFF)	08322A	1.0	48,724	1.0	52,139
SPECIAL ASSISTANT TO THE GOVERNOR (GOV OFF)	08328A	1.0	74,843	1.0	77,669
Special Counsel (Governors Office)	08336A	1.0	86,763	1.0	94,087
SR ADVISOR TO GOVERNOR (GOV OFF)	08351A	1.0	162,333	1.0	168,462
Subtotal Unclassified		45.0	4,265,661	45.0	4,521,772
Subtotal		45.0	4,265,661	45.0	4,521,772
Turnover			(600,407)		(752,619)
Total Salaries			3,639,549		3,667,628
Benefits					
FICA			255,567		261,817
Health Benefits			462,310		472,737
Payroll Accrual			21,283		22,029
Retiree Health			220,366		252,962
Retirement			1,005,018		1,041,635
Subtotal			1,964,544		2,051,180
Total Salaries and Benefits		45.0	5,604,093	45.0	5,718,808
Cost Per FTE Position			124,535		127,085
Statewide Benefit Assessment			162,899		150,258
Payroll Costs		45.0	5,766,992	45.0	5,869,066
Purchased Services					
Other Contracts			500		500
Subtotal			500		500
Total Personnel		45.0	5,767,492	45.0	5,869,566
Distribution by Source of Funds					
General Revenue			5,767,492		5,869,566
Total All Funds			5,767,492		5,869,566

Agency Summary

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Agency Mission

To enforce state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes, in addition to prohibiting discrimination on the aforementioned bases, also prohibit discrimination based on marital status, familial status, and military status. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse, housing status and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability.

The employment law also prohibits employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply. The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

Statutory History

The Commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: RIGL 28-5-1 et seq.; RIGL 34-37-1 et seq. (Fair Housing Practices Act); RIGL 11-24-1 et seq. (Hotels and Public Places); RIGL 23-6.3-11 and 23-6.3-12 (Prevention and Suppression of Contagious Diseases - HIV/AIDS); RIGL 42-87-1 et seq. (Civil Rights of People with Disabilities); and RIGL 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and the Fair Housing Act (Title VIII of the Civil Rights act of 1968), as amended.

Budget

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
Total Expenditures	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
Expenditures by Object					
Salary And Benefits	1,271,212	1,383,622	1,491,775	1,470,947	1,583,586
Contract Professional Services	35,392	13,999	8,475	9,000	9,850
Operating Supplies And Expenses	250,717	285,389	332,761	323,081	323,569
Subtotal: Operating	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
Total Expenditures	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
Expenditures by Source of Funds					
General Revenue	1,247,603	1,273,387	1,335,441	1,335,441	1,353,591
Federal Funds	309,718	409,623	497,570	467,587	563,414
Total Expenditures	1,557,321	1,683,010	1,833,011	1,803,028	1,917,005
FTE Authorization	14.5	14.5	14.5	14.5	14.5

Performance Measures

RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order), as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. A higher number indicates greater case production. The data is from internal agency case-tracking records. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	434.00	407.00	407.00	421.00	421.00
Actual	434.00	412.00	461.00	0.00	

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number indicates greater compliance with the statute. The data is from internal agency outreach tracking records. [Note: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	46.00	38.00	44.00	49.00	49.00
Actual	46.00	51.00	55.00	0.00	

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	331.00	365.00	365.00	365.00	365.00
Actual	331.00	315.00	305.00	0.00	

Personnel

Agency: RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE (COMMISSION FOR HUMAN RIGHTS)	00313A	2.0	87,859	2.0	93,555
CHIEF CLERK	0E018A	1.0	59,573	1.0	63,713
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	00325A	1.0	66,049	1.0	66,049
EXECUTIVE SECRETARY - HUMAN RIGHTS	00840A	1.0	117,345	1.0	127,176
HUD PROJECT DIRECTOR	00326A	1.0	70,372	1.0	70,372
INVESTIGATOR (HUMAN RIGHTS)	00319A	2.0	74,731	2.0	93,455
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00322A	4.5	240,832	4.5	257,289
STAFF ATTORNEY III	00832A	1.0	77,499	1.0	84,124
STAFF ATTORNEY IV	00834A	1.0	102,574	1.0	108,588
Subtotal Unclassified		14.5	896,834	14.5	964,321
Subtotal		14.5	896,834	14.5	964,321
Turnover			(23,446)		(23,446)
Total Salaries			873,388		940,875
Benefits					
FICA			66,817		71,974
Health Benefits			196,522		207,677
Payroll Accrual			5,047		5,453
Retiree Health			52,227		62,569
Retirement			238,001		257,397
Subtotal			558,614		605,070
Total Salaries and Benefits		14.5	1,432,002	14.5	1,545,945
Cost Per FTE Position			98,759		106,617
Statewide Benefit Assessment			38,945		37,641
Payroll Costs		14.5	1,470,947	14.5	1,583,586
Purchased Services					
Clerical and Temporary Services			4,200		4,550
Legal Services			400		500
Other Contracts			3,150		3,425
Training and Educational Services			1,250		1,375
Subtotal			9,000		9,850
Total Personnel		14.5	1,479,947	14.5	1,593,436

Personnel

Agency: RHODE ISLAND COMMISSION FOR HUMAN RIGHTS

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue		1,158,859		1,265,894
Federal Funds		321,088		327,542
Total All Funds		1,479,947		1,593,436

Agency Summary

PUBLIC UTILITIES COMMISSION

Agency Mission

The Public Utilities Commission and Division of Public Utilities and Carriers supervises, regulates, and makes orders governing the conduct of companies offering to the public in intrastate commerce energy, communication, and transportation services and water supplies for the purpose of increasing and maintaining the efficiency of the companies, according desirable safeguards and convenience to their employees and to the public, and protecting them and the public against improper and unreasonable rates, tolls and charges by providing full, fair, and adequate administrative procedures and remedies, and by securing a judicial review to any party aggrieved by such an administrative proceeding or ruling.

Agency Description

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three-member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under R.I.G.L. § 39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to R.I.G.L. § 39-19-4, appeals under R.I.G.L. § 39-1-30, petitions under R.I.G.L. § 39-1-31, and proceedings under R.I.G.L. § 39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to R.I.G.L. § 42-98. The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to R.I.G.L. § 39-12, § 39-13, and § 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have regulated utilities in Rhode Island since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of both the Administrator of the Division and the Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairperson of the Commission and Administrator of the Division.

Budget

PUBLIC UTILITIES COMMISSION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Expenditures by Program					
Central Management	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Expenditures by Object					
Salary And Benefits	5,960,744	6,099,135	6,604,281	6,641,891	7,853,116
Contract Professional Services	1,295,935	1,896,448	1,990,525	2,609,421	2,677,171
Operating Supplies And Expenses	703,636	862,422	1,240,025	1,272,185	1,268,096
Assistance And Grants	0	0	0	0	4,200
Subtotal: Operating	7,960,315	8,858,005	9,834,831	10,523,497	11,802,583
Capital Purchases And Equipment	54,579	156,222	100,000	100,000	410,000
Subtotal: Other	54,579	156,222	100,000	100,000	410,000
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Expenditures by Source of Funds					
Federal Funds	118,808	165,815	168,378	168,378	178,002
Restricted Receipts	7,896,086	8,848,412	9,766,453	10,455,119	12,034,581
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
FTE Authorization	51.0	54.0	53.0	53.0	60.0

Personnel Agency Summary

PUBLIC UTILITIES COMMISSION

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	47.0	3,630,932	54.0	4,388,083
Unclassified	6.0	624,106	6.0	654,904
Subtotal	53.0	4,255,038	60.0	5,042,987
Overtime (1.5)		53,000		53,000
Seasonal/Special Salaries/Wages		2,300		2,300
Turnover		(175,295)		(243,063)
Total Salaries		4,135,043		4,855,224
Benefits				
FICA		309,764		370,599
Health Benefits		628,077		762,640
Payroll Accrual		23,582		27,853
Retiree Health		243,970		319,195
Retirement		1,113,784		1,316,009
Subtotal		2,319,177		2,796,296
Total Salaries and Benefits	53.0	6,454,220	60.0	7,651,520
Cost Per FTE Position		121,778		127,525
Statewide Benefit Assessment		187,671		201,596
Payroll Costs	53.0	6,641,891	60.0	7,853,116
Purchased Services				
Buildings and Ground Maintenance		29,000		29,000
Clerical and Temporary Services		79,000		79,000
Design and Engineering Services		0		55,000
Information Technology		93,000		93,000
Legal Services		353,000		353,000
Management & Consultant Services		2,042,908		2,055,658
Other Contracts		12,513		12,513
Subtotal		2,609,421		2,677,171
Total Personnel	53.0	9,251,312	60.0	10,530,287
Distribution by Source of Funds				
Federal Funds		155,416		165,040
Restricted Receipts		9,095,896		10,365,247
Total All Funds		9,251,312		10,530,287

Performance Measures

PUBLIC UTILITIES COMMISSION

Timeliness of Motor Carrier Applications and Reports

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	97.50%	95.00%	95.00%	95.00%	95.00%
Actual	97.50%	94.80%	95.83%	0.00%	

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	98.80%	100.00%	100.00%	100.00%	100.00%
Actual	98.80%	96.20%	98.46%	0.00%	

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry. [Note: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	95.50%	90.00%	90.00%	90.00%	90.00%
Actual	95.50%	96.70%	97.30%	0.00%	

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
Target	98.60%	90.00%	90.00%	90.00%	90.00%
Actual	98.60%	99.40%	94.44%	0.00%	

Budget

Agency: PUBLIC UTILITIES COMMISSION

Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Expenditures by Object					
Salary and Benefits	5,960,744	6,099,135	6,604,281	6,641,891	7,853,116
Contract Professional Services	1,295,935	1,896,448	1,990,525	2,609,421	2,677,171
Operating Supplies and Expenses	703,636	862,422	1,240,025	1,272,185	1,268,096
Assistance And Grants	0	0	0	0	4,200
Subtotal: Operating	7,960,315	8,858,005	9,834,831	10,523,497	11,802,583
Capital Purchases And Equipment	54,579	156,222	100,000	100,000	410,000
Subtotal: Other	54,579	156,222	100,000	100,000	410,000
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583
Expenditures by Source of Funds					
Federal Funds	118,808	165,815	168,378	168,378	178,002
Restricted Receipts	7,896,086	8,848,412	9,766,453	10,455,119	12,034,581
Total Expenditures	8,014,894	9,014,227	9,934,831	10,623,497	12,212,583

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	2.0	287,693	2.0	310,498
ADMINISTRATIVE ASSISTANT	0AB31A	1.0	86,488	1.0	89,693
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	94,601	1.0	98,173
ASSISTANT TO CHIEF PUBLIC UTILITIES ACCOUNTANT	0AB34A	1.0	95,407	1.0	98,926
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR DIV/PU & CARR	00136A	1.0	91,337	1.0	94,786
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR FOR MOTOR CAR.	00136A	1.0	94,250	1.0	97,698
BUSINESS MANAGEMENT OFFICER	0AB26A	1.0	55,487	1.0	59,310
CHF REGULATORY ANALYST (PUC)	00138A	1.0	88,592	1.0	95,279
CHIEF COMPLIANCE INSPECTOR	0AB30A	1.0	78,396	1.0	81,278
CHIEF CONSUMER AGENT (DPUC)	0AB28A	1.0	66,220	1.0	72,344
CHIEF FIELD INVESTIGATOR (GENERAL)	0AB24A	1.0	54,395	1.0	59,002
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	0AB24A	1.0	54,774	1.0	59,324
CHIEF FINANCIAL ANALYST	00138A	1.0	104,297	1.0	108,167
CHIEF IMPLEMENTATION AIDE	00128A	1.0	65,485	1.0	70,828
CHIEF OF LEGAL SERVICES	00139A	1.0	100,913	1.0	108,289
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	1.0	88,179	1.0	97,486
CONSUMER AGENT (DPUC)	0AB24A	4.0	192,282	5.0	259,367
DEPUTY CHIEF OF LEGAL SERVICES	00137A	3.0	272,166	3.0	286,251
GENERAL MANAGER (RI WATER RESOURCES BOARD)	00137A	0.0	0	1.0	93,498
INFORMATION SERVICES TECHNICIAN I	0AB16A	2.0	92,325	2.0	95,731
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	42,234	1.0	45,547
INTERNET COMMUNICATIONS SPECIALIST	0AB28A	1.0	64,829	1.0	69,614
INVESTIGATIVE AUDITOR	00133A	1.0	93,735	1.0	97,116
MOTOR CARRIER COMPLIANCE INSPECTOR	0AB20A	2.0	97,021	2.0	101,726
PRINCIPAL AUDITOR	0AB28A	1.0	67,385	1.0	69,929
PRINCIPAL POLICY ASSOCIATE(PUC)	00140A	1.0	101,319	1.0	105,144
PROGRAMMING SERVICES OFFICER	00131A	2.0	127,242	2.0	139,324
PUBLIC UTILITIES ANALYST III	0AB24A	0.0	0	1.0	50,035
PUBLIC UTILITIES ANALYST V	0AB33A	4.0	338,538	4.0	353,761
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	00146A	2.0	247,280	2.0	266,744
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB28A	4.0	240,067	6.0	377,628
SENIOR LEGAL COUNSEL	00134A	2.0	147,995	2.0	162,067
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	0.0	0	1.0	106,954

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
Classified					
SUPERVISING CIVIL ENGINEER (WATER RESOURCES)	00135A	0.0	0	1.0	106,566
Subtotal Classified		47.0	3,630,932	54.0	4,388,083
Unclassified					
ADMINISTRATIVE ASSISTANT	00822A	2.0	107,406	2.0	113,610
ADMINISTRATOR- DIVISION OF PUBLIC UTILITIES & CARRIERS	00847A	1.0	145,885	1.0	151,393
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	00844A	1.0	131,109	1.0	136,059
MEMBER- PUBLIC UTILITIES COMMISSION	00841A	2.0	239,706	2.0	253,842
Subtotal Unclassified		6.0	624,106	6.0	654,904
Subtotal		53.0	4,255,038	60.0	5,042,987
Overtime (1.5)			53,000		53,000
Seasonal/Special Salaries/Wages			2,300		2,300
Turnover			(175,295)		(243,063)
Total Salaries			4,135,043		4,855,224
Benefits					
FICA			309,764		370,599
Health Benefits			628,077		762,640
Payroll Accrual			23,582		27,853
Retiree Health			243,970		319,195
Retirement			1,113,784		1,316,009
Subtotal			2,319,177		2,796,296
Total Salaries and Benefits		53.0	6,454,220	60.0	7,651,520
Cost Per FTE Position			121,778		127,525
Statewide Benefit Assessment			187,671		201,596
Payroll Costs		53.0	6,641,891	60.0	7,853,116
Purchased Services					
Buildings and Ground Maintenance			29,000		29,000
Clerical and Temporary Services			79,000		79,000
Design and Engineering Services			0		55,000
Information Technology			93,000		93,000
Legal Services			353,000		353,000
Management & Consultant Services			2,042,908		2,055,658
Other Contracts			12,513		12,513
Subtotal			2,609,421		2,677,171

Personnel

Agency: PUBLIC UTILITIES COMMISSION

Central Management

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Total Personnel	53.0	9,251,312	60.0	10,530,287
Distribution by Source of Funds				
Federal Funds		155,416		165,040
Restricted Receipts		9,095,896		10,365,247
Total All Funds		9,251,312		10,530,287

Quasi-Public Agencies

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (Commerce RI) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and Commerce RI, and having many of the same powers and purposes of Commerce RI. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of Commerce RI.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements. These funds typically range between 75-90% of eligible project costs.

The Budget

Rhode Island Airport Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 (a) Revised	FY 2020 (a) Recommended
Revenue: (T.F. Green)				
Passenger Airline Revenues	21,752,000	21,133,500	20,181,000	14,474,800
Landing Fees - Cargo	628,300	1,501,500	634,600	460,000
General Aviation	376,900	414,400	380,000	414,400
Fuel Flowage Fees	802,000	822,100	812,700	829,000
Tiedown & Hanger Fees	1,681,700	1,547,500	1,598,200	1,630,200
Aircraft Registration	20,600	20,000	20,000	20,000
Concessions	3,978,500	4,316,700	4,964,600	5,282,800
Miscellaneous Revenues	211,200	258,000	187,300	191,000
Utilities Reimbursement	368,200	394,700	376,000	387,300
Fuel Fee	-	-	1,750,000	-
Terminal Rent-Non Airlines	974,100	1,244,300	1,075,200	1,096,700
Automobile Parking	15,065,200	20,131,300	20,000,000	22,730,000
Rental Car Parking	5,280,100	6,332,800	5,848,000	6,843,100
Off Airport Courtesy Fees	609,000	844,200	1,013,400	1,304,800
Audit & Finance Charge	406,700	-	-	-
Federal Grants - FAA	229,200	259,200	217,800	217,800
Total Revenue	52,383,700	59,220,200	\$59,058,800	\$55,881,900
Personnel Expenses: (T.F. Green)				
Payroll	11,236,100	11,323,000	12,226,400	12,415,500
Payroll - Overtime	715,700	580,400	461,400	452,200
Snow Removal Overtime	233,300	320,900	218,400	214,000
Overtime-Holiday	143,600	151,100	193,100	189,200
Employee Retirement	949,500	1,014,200	1,124,100	1,124,900
FICA Tax	925,500	905,900	966,700	986,800
Long Term Disability & Life Insurance	165,200	182,200	200,000	200,000
Workers' Comp Insurance	413,200	360,900	395,700	397,700
Health Insurance	2,272,900	2,346,400	2,531,700	2,658,300
Total Personnel Expenses	17,055,000	\$17,185,000	\$18,317,500	\$18,638,600
Other Operating Expenses	9,985,600	12,651,900	14,067,200	12,717,200
Total Expenditures	27,040,600	29,836,900	32,384,700	31,355,800
Net Income from Operations	25,343,100	\$29,383,300	\$26,674,100	\$24,526,100

The Budget

Rhode Island Airport Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 (a) Revised	FY 2020 (a) Recommended
General Aviation Airports				
Revenues	3,876,600	3,808,300	1,551,100	1,628,700
Payroll Expenses	(1,789,700)	(2,618,700)	-	-
Operating Expenses	(1,177,400)	(299,200)	(2,653,000)	(2,722,500)
Airport Management Fee	(198,200)	(170,800)	-	-
Airport Support Fund, Net	795,000	791,800	765,000	781,600
Net Gain (Loss) Outlying Airport	\$1,506,300	\$1,511,400	(\$336,900)	(\$312,200)
Depreciation & Amortization	23,717,000	25,682,400	25,000,000	24,200,000
Net Income(Loss) After Depreciation and Amortization	\$3,132,400	\$5,212,300	\$1,337,200	\$13,900
Air Service Marketing	(1,068,700)	(908,800)	(3,146,000)	(2,000,000)
Net Income(Loss) After Depreciation and Amortization	\$2,063,700	\$4,303,500	(\$1,808,800)	(\$1,986,100)
Other Income & Expenses (b)				
Interest Income	324,800	967,900	640,000	640,000
Interest Expense	(49,500)	(42,800)	(36,000)	(33,000)
Interest Expense - All Bonds	(7,653,400)	(8,976,300)	(8,400,000)	(8,000,000)
Gain (Loss) on Sale of Assets	24,900	101,900	-	-
Miscellaneous Income (Expense)	83,500	(25,000)	-	-
Interest Income - PFC	73,000	159,100	110,000	110,000
Passenger Facility Charge	7,338,000	8,667,900	8,570,000	9,091,700
Federal Grants - FAA	35,147,700	20,991,500	4,710,500	2,031,000
Miscellaneous Grants & Contributions	(6,923,300)	3,125,000	2,857,500	-
Land Acquisition Program	(15,307,300)	(8,289,400)	(3,117,600)	-
Total Non-Operating Income & Exp	13,058,400	\$16,679,800	\$5,334,400	\$3,839,700
Airports - Net Income	\$15,122,100	\$20,983,300	\$3,525,600	\$1,853,600
Interlink Facility				
Facility Revenues	7,698,500	9,444,400	9,167,000	9,650,300
Operating Expenses	(1,353,000)	(1,984,000)	(2,136,300)	(2,479,000)
Depreciation	(4,600,900)	(4,509,500)	(4,620,000)	(4,540,000)
Interest Expense	(4,408,200)	(4,778,500)	(4,040,300)	(3,955,000)
Total Intermodal Facility, Net	(\$2,663,600)	(\$1,827,600)	(\$1,629,600)	(\$1,323,700)
RIAC - Net Income	\$12,458,500	\$19,155,700	\$1,896,000	\$529,900

(a) The information presented for FY 2020 has neither been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change.

(b) Federal Grant income and PFC income are restricted for use on approved capital project costs and cannot be used for airport operations.

The Agency

Capital Center Commission

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Operating Revenues				
State Grants	-	-	-	-
City Grants	-	-	-	-
Development/Permit Fees	2,625	-	2,000	2,000
Interest Income	-	18	15	15
Management Fees	-	-	-	-
Miscellaneous Income	-	-	-	-
Total Operating Revenues	2,625	18	2,015	2,015
Expenditures				
Salaries	-	-	-	-
Fringes	-	-	-	-
Rent and Utilities	-	-	-	-
Telephone	-	-	-	-
Print/Supplies	0	-	100	100
Postage	-	-	-	-
Meetings/Miscellaneous	1,847	1,034	500	500
Travel/Prof. Dev.	-	-	-	-
Dues, Ins. Subscriptions	-	-	-	-
Consultants	-	-	500	500
Bookkeeping Fees	1,050	1,150	1,150	1,250
Legal & Audit Fees	2,729	2,575	2,500	2,500
Total	5,626	4,759	4,750	4,850
Less Non Operating Revenue				
Interest Income	14	18	15	15
Non Operating Expense				
State House Parking Study	0	-	-	-
Advocacy and Support	0	-	-	-
Net Income(Loss)	(2,987)	(4,741)	(2,735)	(2,835)

The Agency

Rhode Island Infrastructure Bank

Bank Mission

Rhode Island Infrastructure Bank's mission is to actively support and finance investments in the State's infrastructure. The Infrastructure Bank does so through a variety of means, including the issuance of bonds, originating loans and making grants, and the engagement with and mobilizing of public and private capital. Through its activities, the Bank fosters infrastructure improvements that create jobs, promote economic development, and enhance the environment.

Bank Description

The Bank was established in 1989 by the General Assembly, under Chapter 46-12.2 of the Rhode Island General Laws (1986), as amended. While the Bank is a body politic and corporate and public instrumentality of the State, it has a distinct legal existence separate from the State which does not constitute a department of the State government. However, the Bank is considered a component unit of the State and, accordingly, its financial statements are incorporated with and into the financial statements of the State.

The Bank is the central hub for financing infrastructure improvements for municipalities, businesses, and homeowners in the State. Pursuant to an agreement between the Environmental Protection Agency and the Bank, the Bank manages the State's Clean Water and Drinking Water State Revolving Fund (SRF) programs. In addition to these legacy programs (which include two residential-based lending programs: the community septic system loan program and sewer tie-in loan fund), the Bank also supports a municipal road and bridge program, energy efficiency and renewable energy (including the Commercial – Property Assessed Clean Energy (C-PACE) program), stormwater and climate resiliency, brownfield remediation, and water quality protection lending.

Statutory History

R.I.G.L. § 46-12.2 is the Bank's enabling legislation and established the Clean Water SRF, while R.I.G.L. § 46-12.8 establishes the Drinking Water SRF. R.I.G.L. § 24-18 establishes the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend R.I.G.L. § 46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under R.I.G.L. § 39-26.5; and (iii) authorize the Bank to develop and administer a Brownfields Revolving Fund under R.I.G.L. § 23-19.16.

The Budget

Rhode Island Infrastructure Bank

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Revenue				
Interest and Investment Income	25,488,858	30,410,435	32,793,000	33,613,000
Operating Grant Income	2,593,311	2,471,194	2,500,000	2,563,000
Loan Service Fees	5,259,896	5,455,415	5,657,000	5,798,000
Loan Origination Fees	1,049,331	831,315	1,294,000	1,326,000
Total Revenues	\$34,391,396	\$39,168,359	42,244,000	43,300,000
Operating Expenses				
Interest and Finance Expenses	21,812,018	23,133,362	22,572,000	23,136,000
Loan Principal Forgiveness	1,546,296	1,909,902	1,937,000	1,985,000
Administrative Expenses	4,187,683	4,182,386	4,534,000	4,647,000
Administrative Fees - DEM	779,890	795,950	800,000	820,000
Administrative Fees - DOH	303,619	376,051	500,000	400,000
DOH Set-Aside Programs	2,289,692	1,161,570	2,000,000	2,000,000
Total Operating Expenses	\$30,919,198	\$31,559,221	\$32,343,000	\$32,988,000
Other Revenues (Expenses)				
Federal & State Capitalization Grants	21,093,042	37,284,669	15,391,000	20,000,000
Excess Revenues over Expenses	\$24,565,240	\$44,893,807	\$25,292,000	\$30,312,000

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

In recent years, the Authority's primary venues, the Rhode Island Convention Center and the Dunkin' Donuts Center, have faced stiff competition for patrons and events. The Dunkin' Donuts Center is fortunate to have two first class tenants in the AHL Providence Bruins and the Big East's PC Friars; however, unfortunate to be situated between the very aggressive Mohegan Sun Arena to the South and the Live Nation owned and operated Xfinity Amphitheater to the North. The ongoing focus is on alternative programming to combat the meetings, banquets and public shows; however, it is somewhat undersized compared to nearby Boston and Hartford. Particular care has been taken to ensure that both facilities are maintained, renewed and upgraded to remain competitive.

The Authority continues the management of the Veterans Memorial Auditorium rebranded in FY 2011 as The Vets. The impact of the renovations completed in FY 2015 has already been realized by the increased number of and greater variety of offerings. This makes a strong case for recognition as a premier facility for fine arts, educational and children's programming.

Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. Furthermore, to attract events to capture and promote positive economic impact for the City and the State. The Authority also has management oversight of the Vets under a lease agreement with DOA. In 2016, the General Assembly directed and authorized the Authority to develop and operate a new 1250 space parking facility on State owned land adjacent to the I-195 redevelopment district.

Statutory History

RIGL 42-99 "Convention Center Authority Act" created the Rhode Island Convention Center Authority.

The Budget

Rhode Island Convention Center Authority

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Resources				
Opening Cash Balances	689,284	-	-	-
Operations	25,129,255	25,782,823	25,735,991	27,600,624
Investment Income	250	250	250	5,000
Miscellaneous Revenues	125,000	124,750	125,000	125,000
Total Resources	\$25,943,789	\$25,907,823	\$25,861,241	\$27,730,624
Expenditures				
Convention Center Authority	2,094,783	1,988,203	2,029,062	2,015,329
Convention Center	14,018,323	14,284,869	14,384,539	15,649,181
Dunkin' Donuts Center	8,269,389	8,687,906	8,514,014	8,683,171
Veterans' Memorial Auditorium	1,561,294	946,845	933,626	1,013,089
75 Clifford Street Parking Garage				369,854
Subtotal Operations	25,943,789	25,907,823	25,861,241	27,730,624
Convention Center Debt Service	\$15,556,769	\$14,736,782	\$12,005,934	\$14,499,434
Dunkin Donuts Center Debt Service	6,907,794	6,836,683	6,905,320	6,909,319
75 Clifford Street Parking Garage Debt Service			1,542,787	3,025,091
Veterans' Memorial Auditorium Capital	245,000	245,000	200,000	90,000
Convention Center Capital	1,000,000	1,000,000	6,800,000	5,500,000
Dunkin' Donuts Center Capital	2,787,500	1,850,000	3,000,000	1,500,000
Grand Total Expenditures	\$ 52,440,852	\$ 50,576,288	\$ 56,315,282	\$ 59,254,468
Balance from Operations	(\$26,497,063)	(\$24,668,465)	(\$30,454,041)	(\$31,523,844)
State Appropriation - Debt Service	22,464,563	21,573,465	20,454,041	24,433,844
State Appropriation - Renewal & Replacement	-	-	-	-
State Appropriation - RICAP - VMA	245,000	245,000	200,000	90,000
State Appropriation - RICAP - Conv. Center	1,000,000	1,000,000	6,800,000	5,500,000
State Appropriation - RICAP - D.D. Center	2,787,500	1,850,000	3,000,000	1,500,000
Final Cash Balances	\$0	\$0	\$0	\$0

Fiscal Year 2017, 2018, and 2019 Dunkin' Donuts Center expenses and revenue reflect net food & beverage activity on a net basis. Fiscal Year 2018 and 2019 Vets direct expenses are netted against revenues.

The Agency

Rhode Island Commerce Corporation

Agency Mission

The Rhode Island Commerce Corporation's (hereafter "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by RIGL 42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority. Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL 42-64-1.1. The Commerce Corporation falls under the purview of the Secretary of Commerce per 42-64.19-6.

The Budget

Rhode Island Commerce Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Not Board approved	FY 2020 Recommended
<u>State Appropriation</u>	7,434,514	7,224,514	7,474,514	7,589,906
Total State Appropriations:	7,434,514	7,224,514	7,474,514	7,474,514
<u>Other Revenues</u>				
Hotel Tax Revenue	5,146,107	6,398,790	5,604,258	5,277,596
Finance Program Reimbursements ⁽²⁾	518,949	414,540	318,194	320,000
Federal Grant Reimbursements ⁽²⁾	2,821,593	1,464,569	1,645,622	450,510
Other	979,628	1,112,122	141,851	140,000
Total Other Revenues:	9,466,277	9,390,021	7,709,925	6,510,510
TOTAL SOURCES (Cash, Revenues):	16,900,791	16,614,535	15,184,439	13,985,024
Total Operations (Personnel & Operating)	16,781,793	15,133,508	13,120,173	13,150,000
Grant/Partnership Expenses	2,705,515	1,498,415	1,645,622	450,510
TOTAL EXPENSES:	19,487,308	16,631,923	14,765,795	13,600,510
Operating Surplus/(Deficit):	(2,586,517)	(17,388)	418,644	384,514
Pass-through (only) Grants				
<u>State</u>				
STAC Research Alliance (EPScore)	990,268	950,545	900,000	900,000
Innovative Matching Grants	473,202	863,009	1,000,000	1,000,000
Renewable Energy Fund	5,162,178	8,397,552	2,250,000	1,000,000
Airport Impact Aid	1,010,147	1,009,896	1,025,000	762,500
Chafee Center at Bryant	376,200	376,200	476,200	476,200
Polaris Manufacturing Technical Assistance Program		250,000	350,000	350,000
Urban Ventures (Designated Grant)	-	140,000	140,000	0
Other (Working Cities, AICU, Warwick Station)	300,000	-	-	
Total:	8,311,995	11,987,202	6,141,200	4,488,700
<u>Federal</u>				
MARAD	760,770			
DOD SteamEngine II	1,484,307	1,107,063	-	
PTAC	460,438	357,506	450,510	450,510
Brownsfield Grant	1,138	-	-	
State Small Business Credit Initiative	1,890,761	1,415,697	1,195,112	
Total:	4,597,414	2,880,266	1,645,622	450,510

The Agency

Rhode Island Health and Educational Building Corporation

Agency Mission

To ensure that adequate financing is available for the education institutions and healthcare providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$8.6 billion in financing without obligating the state's credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, and colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

The School Building Authority (SBA) was created in 2015 with the Corporation being designated administrator of the SBA's Capital Fund. The purpose of the SBA is to provide funding for high-priority projects to local education authorities. The SBA receives state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).

The School Building Authority Capital Fund was created by the General Assembly in FY 2015, with the Corporation's duties and powers, as administrator, defined by R.I.G.L. 45-38.2 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Projected	FY 2020 Projected
Operating Revenues				
Administrative Fees	2,283,024	2,388,962	2,400,000	2,500,000
State Appropriations (SBA)	10,139,755	10,364,079	10,551,219	10,500,000
Interest Income - Loans	57,065	62,183	58,750	52,184
Total Operating Revenues	12,479,844	12,815,224	13,009,969	13,052,184
Operating Expenses				
Administrative	1,837,497	1,510,687	2,030,442	1,563,938
Project Costs - Grants (SBA)	14,352,691	12,875,975	10,200,000	10,500,000
Grants		250,000	250,000	
Depreciation	8,797	9,568	10,385	9,663
Total Operating Expenses	16,198,985	14,646,230	12,490,827	12,073,601
Non-Operating Revenues (Expenses)				
Loss on Disposal of Capital Assets	-	-	-	
Net Investment Income	65,626	173,221	161,700	235,000
Other Income (SBA)		89,560		
Interest Earned on Restricted Cash (SBA)	17,665	22,099	25,000	26,000
Transfer to the State		(6,000,000)		
Transfers to RIDE (SBA)	-	-	(727,433)	(897,295)
Total Non-Operating Revenues	83,291	(5,715,120)	(540,733)	(636,295)
Change in Net Position	(3,635,850)	(7,546,126)	(21,591)	342,288
Net Position- End of Year	28,451,708	20,905,582	20,883,991	21,226,279

The information presented above was provided by the entity, and in most cases, the data provided for

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised*	FY 2020 Recommended**
Expenditure Report				
Personnel Services	20,738,018	22,643,931	24,300,000	\$ 25,500,000
Other Administrative Expenses	7,881,086	10,033,211	10,900,000	\$ 11,500,000
Programmatic Expenses	3,980,097	7,669,492	7,400,000	\$ 4,000,000
Provision for Loan Loss	3,159,915	5,593,289	2,000,000	\$ 4,000,000
Amortization and Depreciation	1,577,242	1,819,711	1,800,000	\$ 2,000,000
Total	\$37,336,358	\$47,759,634	\$46,400,000	\$ 47,000,000

*The Governor's Revised FY 2019 recommendation includes the transfer of \$2,500,000 from The Rhode Island House and Mortgage Finance Corporation.

*The information for FY 2020 has neither been reviewed nor approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

The Agency

I-195 Redevelopment District Commission

Agency Description

The I-195 Redevelopment District Commission is an independent public instrumentality and body corporate and politic for the purposes of acquisition, management and administration of the I-195 Surplus Land pursuant to the I-195 Redevelopment Act of 2011. The Commission shall oversee, plan, implement, and administer the development of the areas within the district, as established in the governing legislation, consistent with and subject to the City of Providence comprehensive plan and zoning ordinances.

There are approximately forty acres located in the City of Providence due to the relocation of Interstate 195, of which nineteen acres are available for development, with the balance being roads, sidewalks and eight acres of public parks. The nineteen acres of developable surplus land is available for sale and commercial, industrial and residential development and beneficial reuse, including without limitation to support or encourage workforce development, housing, education and training, and the growth of “knowledge-based” jobs and industries.

The sale of the I-195 surplus land to the I-195 Redevelopment District Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

RIGL 42-64.14 created the I-195 Redevelopment District Commission.

The Budget

I-195 Redevelopment District Commission

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Operating Revenues				
State Grants	830,116	1,071,916	761,000	\$ 761,000
Rhode Island Capital Plan Fund	338,947	346,053	425,400	\$ 450,000
Debt Issuance	-	-	-	\$ -
Other Resources (grants)	229,335	-	48,000	\$ 25,000
Total Operating Revenues	\$ 1,398,398	\$ 1,417,969	\$ 1,234,400	\$ 1,236,000
Expenditures				
Salaries, Wages and Benefits	383,355	485,416	472,349	\$ 695,165
Contracted Professional Services	927,807	820,733	549,400	\$ 1,125,600
Operating Supplies and Expenses	246,760	111,820	164,651	\$ 196,550
Loan Repayment to EDC	-	-	-	-
Cost of Issuance	-	-	-	-
Capital Acquisition	-	-	-	-
Total	\$ 1,557,922	\$ 1,417,969	\$ 1,186,400	\$ 2,017,315
Net Income(Loss)	\$ (159,524)	\$ -	\$ 48,000	\$ (781,315)

The Agency

Rhode Island Industrial Facilities Corporation

Agency Mission

The Corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Receipts				
Bond Fees	44,546	34,898	35,000	\$ 40,000
Other	2,514	1,580	2,500	\$ 2,500
Interest	-	-	-	\$ -
Total	\$47,060	\$36,478	\$37,500	\$ 42,500
Expenses				
Administration	26,374	26,400	26,500	\$ 26,500
Insurance	10,863	13,035	13,035	\$ 13,035
Other	688	-	750	
Legal and Audit	18,703	19,271	19,500	\$ 20,000
Total	\$56,628	\$58,706	\$59,785	\$ 59,535
Net [1]	(\$9,568)	(\$22,228)	(\$22,285)	\$ (17,035)

[1] Cash reserves are maintained by the RI Commerce Corporation to cover projected budget deficits for the Authority.

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Mission

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Receipts:				
Premiums	\$ 59,281	\$ 92,466	\$ 205,500	\$ 63,650
Commitment Fees	\$ 20,113	\$ -	\$ -	\$ -
Interest	\$ 10,934	\$ 12,089	\$ 20,000	\$ 17,000
Total Receipts	\$ 90,328	\$ 104,555	\$ 225,500	\$ 80,650
Expenses:				
Administration	\$ 17,274	\$ 26,748	\$ 23,500	\$ 17,500
Legal and Audit [1]	\$ 80,558	\$ 192,602	\$ 150,000	\$ 75,000
Insurance	\$ 28,950	\$ 31,580	\$ 31,580	\$ 31,580
Total Expenses	\$ 126,782	\$ 250,930	\$ 205,080	\$ 124,080
Operating Income (Loss)	\$ (36,454)	\$ (146,375)	\$ 20,420	\$ (43,430)
Est. Loss-Default	\$ 547,721	\$ 667,046	\$ -	\$ -
Net [2]	\$ (584,175)	\$ (813,421)	\$ 20,420	\$ (43,430)

[1] Legal fees increased due to litigation related to CAPCO.

[2] Cash reserves are maintained by the Rhode Island General Treasurer's Office to cover projected budget deficits for the Authority.

The Agency

Narragansett Bay Commission

Agency Mission

The mission of the Narragansett Bay Commission is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 110 miles of sewer interceptors, 61 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,730 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$356.9 million five-year capital improvement budget for fiscal years 2020-2024. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction and Engineering, Administration and Finance, Operations and Maintenance, and Environmental Science and Compliance divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R.I.G.L. § 46-25 relates to the Narragansett Bay Commission: R.I.G.L. § 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. § 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2017 Actual	FY 2018 Actual	FY 2019 Budget	FY 2020* Recommended
Expenditures by Object				
Personnel	23,417,267	23,581,657	25,509,996	26,275,296
Operating Supplies & Expenses	14,310,702	15,125,106	16,468,700	16,962,761
Special Services	1,078,443	1,196,940	1,689,650	1,740,340
Subtotal Operating Expenditures	\$38,806,412	\$39,903,703	\$43,668,346	\$44,978,397
Debt Service	43,589,827	45,431,230	47,787,187	50,139,847
Total Expenditures	\$82,396,239	\$85,334,933	\$91,455,533	\$95,118,244
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	23,417,267	23,581,657	25,509,996	26,275,296
Operating Supplies & Expenses	14,310,702	15,125,106	16,468,700	16,962,761
Special Services	1,078,443	1,196,940	1,689,650	1,740,340
Debt Service	43,589,827	45,431,230	47,787,187	50,139,847
Total Expenditures	\$82,396,239	\$85,334,933	\$91,455,533	\$95,118,244

FY 2017 Actuals taken from the audited financial statements

FY 2018 Actuals taken from the audited financial statements.

FY 2019 taken from NBC's approved budget.

* FY 2020 information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2020 budget.

The Agency

Rhode Island Public Transit Authority

Agency Mission

As the statewide public transit organization, RIPTA aims to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. Key mobility strategies include: transit design and service; efforts to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs, with emphasis on Rhode Island's families, children, transit-dependent populations, and elderly and disabled residents. RIPTA operates a fixed-route fleet of 240 buses and trolleys. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit fleet currently includes 98 vans. In FY 2018, 16.3 million passengers were carried on RIPTA's fixed-route bus service and an additional 360,436 passengers were transported on the state's coordinated paratransit service. FY 2018 was also the first full year where RIPTA's Van Pool service was operational, providing 18,179 trips to and from work.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. § 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Revenue				
Passenger Revenue	22,414,186	25,786,723	21,347,902	21,996,882
Special Revenue	736,613	749,347	747,854	749,438
Special Project - Local/Fed	-	-	9,499,000	7,149,810
Other Revenue	11,144,545	10,327,087	11,453,884	10,992,593
Federal Funds	28,165,744	27,515,141	31,539,601	32,873,927
RI Gasoline Tax ⁽¹⁾	42,576,851	43,731,401	44,134,013	44,265,654
RI Department of Human Services ⁽²⁾	813,613	813,663	1,034,819	1,015,202
RI General Revenue	900,000	-	-	-
RI Highway Maintenance Account ⁽³⁾	3,544,082	5,297,730	9,850,362	12,920,457
Total Revenue ⁽⁴⁾	110,295,634	114,221,092	129,607,435	131,963,963
Expenses				
Salaries and Benefits ⁽⁵⁾	70,805,238	74,287,464	69,526,807	71,879,344
Salaries and Benefits - Federal	11,488,209	12,251,281	14,288,902	15,062,390
Contract Services	4,932,760	5,367,882	3,657,684	2,711,716
Contract Services - Federal	3,493,743	3,582,197	6,190,046	6,346,094
Operating Expenses	7,590,888	7,382,035	9,131,434	10,098,177
Operating Expenses - Federal	8,440,241	7,408,557	7,153,497	6,822,322
Utilities	1,376,271	1,706,056	1,873,707	1,926,308
Utilities - Federal	53,099	65,883	66,800	68,136
Insurance and Settlements	4,822,852	5,821,603	4,736,000	4,431,800
Special Project - Local/Fed	-	-	9,499,000	7,149,810
Capital Match	(295)	-	432,150	3,070,095
Debt Service	-	546,725	1,421,500	1,573,775
Revolving Loan Fund	-	-	431,414	493,313
Self-Insurance Reserve	-	-	250,000	250,000
Other	21,031	29,805	36,000	36,720
Total Expenses ⁽⁶⁾	\$ 113,024,037	\$ 118,449,488	\$ 128,694,941	\$ 131,920,000
Net Income/(Loss):	\$ (2,728,403)	\$ (4,228,396)	\$ 912,494	\$ 43,963

⁽¹⁾ Gas Tax amount estimated by Department of Revenue.

⁽²⁾ Gas Tax funding provided through the Department of Human Services for the RIDE Program.

⁽³⁾ FY 2019 Revised budget includes \$5.0 million in State Highway Fund revenue to pay for debt service and recoup the loss of revenue from the rescinding of the Senior/Disabled Fares.

⁽⁴⁾ Contributed Capital is not included in FY 2017 (\$14,859,312) or FY 2018 (\$3,775,626).

⁽⁵⁾ The Salary and Fringe Benefits expense does not include funding for the OPEB liability in any of the fiscal years above actual or budget. Only the pay-go portion of OPEB costs are included. The total unfunded OPEB liability as of June 30, 2018 is \$72,118,754.

⁽⁶⁾ Depreciation is not included in FY 2017 (\$18,041,344) or FY 2018 (\$18,489,888).

The information presented for FY 2020 has neither been reviewed nor approved by the Rhode Island Public Transit Authority Board of Directors and is subject to change.

The Agency

Quonset Development Corporation

Agency Mission

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.10 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Estimated Opening Balance Revenues:	(\$9,116)	\$382,646	\$5,811	\$44,972
Revenues from Operations				
Rental Income	7,936,113	22,840,608	7,897,108	\$ 8,603,134
Pier Income	1,574,512	1,688,787	1,750,000	\$ 1,750,000
Utility Sales	2,834,308	2,944,516	2,948,814	\$ 3,003,406
Rhode Island Capital Plan Fund	400,000	1,094,443	3,505,557	\$ 5,000,000
Other Income	726,609	1,345,289	701,678	\$ 871,856
	13,471,542	29,913,643	16,803,157	\$ 19,228,396
Total Resources	\$13,462,426	\$30,296,289	\$16,808,968	\$19,273,368
Expenditures				
Personnel Expenses	4,194,744	4,287,428	4,581,947	\$ 4,926,159
Operating Expenses	3,690,438	3,791,739	4,009,640	\$ 4,855,306
Debt Service	1,544,598	2,341,919	1,666,852	\$ 2,891,603
Capital Expenditures (1)	3,650,000	19,869,392	6,505,557	\$ 6,600,000
Total Expenditures	\$13,079,780	\$30,290,478	\$16,763,996	\$ 19,273,068
Closing Balance	\$382,646	\$5,811	\$44,972	\$ 300

(1) To be used for Capital Improvements Projects as well as other internal capital requirements. Projects may already be under contract.

The Agency

Rhode Island Resource Recovery Corporation

Agency Mission

Rhode Island Resource Recovery Corporation's mission is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the State of Rhode Island. It aims to provide the utmost in protection of public health and the environment while working towards having no impacts on the quality of life in the surrounding neighborhoods. The Corporation sets an example of being a good neighbor by minimizing the impacts of its operations on the surrounding community while setting high industry standards for recycling and waste disposal. The Corporation seeks the best mix of public and private processing, recycling and disposal systems, programs, and facilities for both commercial and municipal waste to meet Rhode Island's needs (R.I.G.L. § 23-19-1.1).

Agency Operations

Resource Recovery's 1,200-acre facility in Johnston is home to five major operations.

Central Landfill – The Central Landfill is the centerpiece of Resource Recovery's integrated waste management system. It provides disposal services to about 97 percent of the state's residents. Currently, about 3,800 tons of trash are buried in the landfill each day. At current loading rates, the Central Landfill will reach capacity in 2034. All operations are conducted utilizing innovative technology, including double lining of the landfill and an integrated gas collection system.

Materials Recycling Facility – Resource Recovery's Material Recycling Facility processes both residential and commercial single stream recyclables. Every day, approximately 90 trucks deliver roughly 450 tons of material to the MRF. After sorting, these materials are shipped around the globe where they are remanufactured into a wide variety of products—saving money, conserving natural resources, and extending the life of the Central Landfill.

Composting – The Corporation operates a composting program that processes roughly 40,000 tons of leaf and yard debris each year preventing it from having to be landfilled. The resulting product is designated RI Class "A" and is certified for use in organic growing.

Small Vehicle Area – Resource Recovery's Small Vehicle Area offers easy drop off access to special/bulky item recycling, as well as disposal. Accepted materials include but are not limited to appliances, e-waste, bulky rigid plastics, waste oils, scrap metal, textiles and tires.

Eco Depot – Eco-Depot is the name of the free service for disposing of residential household hazardous waste. Accepted materials include but are not limited to batteries, gasoline, oil and latex paints, and fluorescent bulbs. Since inception, the Corporation has offered more than 654 collections, safely recycled or disposed of approximately 12.6 million pounds of HHW and served over 175,000 Rhode Islanders.

Statutory History

R.I.G.L. § 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended ⁽¹⁾
Revenues:	\$59,697,282	\$63,519,294	\$67,227,860	\$61,050,121
Expenses:				
Personnel Costs	13,956,118	14,922,045	16,545,758	17,284,659
Contractual Services	8,285,886	9,119,079	8,947,625	9,104,395
Utilities	1,326,363	1,756,493	1,690,400	1,724,208
Repairs and Maintenance	3,456,654	3,628,129	4,469,500	4,573,890
Other Supplies and Expenses	3,789,474	4,975,470	4,952,650	5,061,703
Grants to Municipalities for Recycling	264,538	239,443	411,534	418,914
Bad Debts	204,681	1,710	100,000	102,000
Provision for landfill closure and post closure care and Superfund clean-up costs	4,951,853	15,283,095	4,634,900	4,135,064
Depreciation, depletion, and amortization	10,464,520	10,379,143	9,918,203	10,219,086
Total Expenses	\$46,700,087	\$60,304,607	\$51,670,570	\$52,623,918
Income (Loss) from Operations	\$12,997,195	\$3,214,687	\$15,557,290	\$8,426,203
Transfers to State of Rhode Island	-	-	-	-
Interest and investment revenue	122,362	288,646	1,240,000	1,040,000
Interest expense	(763,898)	(651,714)	(552,590)	\$(439,008)
Other income (expense)	(109,583)	(229,292)	-	204,000
Total nonoperating revenues (expenses)	(\$751,119)	(\$592,360)	\$687,410	\$804,992
Net Income (Loss) for the Year	\$12,246,076	\$2,622,327	\$16,244,700	\$9,231,195
Assets:				
Cash, Cash Equivalents & Investments	35,227,642	41,913,515	37,600,000	22,850,000
Accounts Receivable, Net	7,571,253	7,142,268	7,200,000	7,300,000
Property, Plant and Equipment, Net	81,057,407	85,405,740	88,000,000	98,000,000
Restricted Investments	2,483,736	2,511,866	2,500,000	-
Assets Held in Trust	94,676,376	99,038,614	103,000,000	107,000,000
Other Assets	4,053,429	4,419,397	4,100,000	4,100,000
Total Assets	\$225,069,843	\$240,431,400	\$242,400,000	\$239,250,000
Liabilities:				
Accounts Payable	10,317,677	14,121,419	9,700,000	8,000,000
Other Current Liabilities	620,166	646,009	700,000	700,000
Bonds/ Notes Payable	28,210,406	24,027,617	20,305,765	15,300,000
Superfund Cleanup, Closure & Post-Closure Costs	96,453,981	109,536,724	112,000,000	115,000,000
Total Liabilities	\$135,602,230	\$148,331,769	\$142,705,765	\$139,000,000
Deferred Inflow of Resources	\$0	\$9,691	\$9,000	\$9,500
Retained Earnings	\$89,467,613	\$92,089,940	\$99,685,235	\$100,240,500
Total Liabilities and Retained Earnings	\$225,069,843	\$240,431,400	\$242,400,000	\$239,250,000

(1) The FY 2020 proposed budget reflects management's budget projections made in FY 2018. They have not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

The Agency

Rhode Island Student Loan Authority

Agency Mission

As of September 30, 2018, the Authority held \$180,312,208 in Federal Family Education Loans and \$427,505,792 in non-federal state based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originated over \$55,500,000 in fiscal year 2018 of low-cost state based education loans for students pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program. In addition, the Authority originated approximately \$29,500,000 in refinanced loans which are designed to help student borrowers reduce their monthly payments and interest rate as well as make repaying their loan easier.

The Authority also provides several free services, the largest being the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors was started in 1998 by the Authority. The CPC is open seven days a week during the peak financial aid season. The CPC operates out of two locations in Warwick and Lincoln. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 18,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

The Authority, in association with the Association of Independent Colleges and Universities (AICURI), the Greater Providence Chamber of Commerce, and local colleges and universities, formed the bRIdge Program. This initiative focuses on connecting employees and students in Rhode Island through a statewide internship program. The bRIdge Job program offers a website that allows employers to post internship opportunities and students to then view and apply for these internships. The Authority expanded the bRIdge program to offer student loan forgiveness for students who participate in qualified internship programs.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Operating Revenues				
Student Loan Payments	29,336,982	31,387,687	31,845,389	\$ 32,323,070
Investments	326,282	874,646	1,406,662	\$ 1,195,663
Other	1,994,522	1,840,173	1,725,645	\$ 1,450,645
Total Operating Revenues	\$31,657,786	\$34,102,506	\$34,977,696	\$ 34,969,378
Operating Expenses				
Interest & Bond Expenses	14,571,695	16,522,561	19,221,579	\$ 20,308,570
Arbitrage Rebate Expense	(37,436)	503,150	396,647	\$ 396,647
Loan Servicing & Origination	3,416,293	3,405,503	4,105,709	\$ 4,146,766
Provision for Risk Share	2,109,021	2,405,246	1,942,794	\$ 1,962,222
Department of Education Loan fees	733,702	635,697	565,417	\$ 480,604
Personnel	3,726,161	3,781,581	3,907,163	\$ 3,907,163
Depreciation	116,742	109,253	109,912	\$ 111,011
Total Operating Expenses	\$24,636,178	\$27,362,991	\$30,249,221	\$ 31,312,983
Excess Revenues over Expenses	\$7,021,608	\$6,739,515	\$4,728,475	\$ 3,656,395

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority (the “Authority”) was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge, which was opened for traffic on June 28, 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown, since 1964 and 1969 respectively. On April 25, 2013, the State of Rhode Island (the “State”) transferred to the Authority custody, control and supervision of the land and improvements for the Jamestown Verrazzano Bridge between North Kingstown and Jamestown, the Sakonnet River Bridge between Portsmouth and Tiverton, and Route 138 in Jamestown. Ownership and title of the Jamestown and the Sakonnet River Bridges and such portion of Route 138 remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 110 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges and other facilities in its control. The principal operating revenues of the Authority are the collection of toll revenue from the users of the Claiborne Pell Bridge and, beginning on July 1, 2014, three and one-half (\$0.035) cents of motor fuels tax on each gallon sold in Rhode Island which has been annually appropriated by the General Assembly to the Authority. The Authority’s debt service consists of revenue bond obligations issued in 2010 and 2016, maturing in 2039 and 2052 respectively, and secured principally by said tolls and said gas tax appropriation respectively. The proceeds of the Bonds have been used to fund the Authority’s Capital Improvement Plan. In connection with each issuance of Bonds, the Authority entered into a Trust Indenture. Accounts of the Authority are maintained in compliance with the provisions of each Trust Indenture.

Agency Objectives

The Authority is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Statutory History

Title 24, Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. Article 20 of the 2013 Appropriations Act authorized the transfer of the Sakonnet River Bridge and the Jamestown Verrazzano Bridge to the Authority. Article 21 of the 2015 Appropriations Act eliminated the authority to toll the Sakonnet River Bridge while allocating three and one-half cents (\$0.035) of the State’s motor fuels tax to the Authority to be used for maintenance, operations, capital expenditures and debt service on any of its projects.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2017 Actual	FY 2018 Actual	FY 2019 Revised	FY 2020 Recommended
Revenue				
Gas Tax Revenue	15,438,385	15,713,927	15,500,000	\$ 15,500,000
Tolls	20,728,027	20,539,004	19,000,000	\$ 19,000,000
Transponder	550,192	487,703	385,000	\$ 385,000
Miscellaneous	341,347	101,200	90,000	\$ 90,000
Total Revenue	37,057,951	36,841,834	34,975,000	34,975,000
Expenses				
Salaries and Wages	4,878,548	5,467,132	6,275,991	\$ 7,149,144
Utilities	166,148	191,134	303,000	\$ 303,000
Contractual Services	1,847,252	1,687,476	1,589,483	\$ 2,404,344
Other Supplies	869,377	2,733,820	1,379,468	\$ 1,745,031
Insurance	1,168,570	1,041,570	1,212,207	\$ 1,214,243
Repairs and Maintenance	470,447	741,171	799,622	\$ 576,306
Bridge Inspections	1,566,697	1,004,255	1,800,000	\$ 1,500,000
Transponder	172,639	223,412	252,500	\$ 202,000
All Other (includes depreciation)	13,634,616	14,123,226	13,634,616	\$ 14,123,226
Total Expenses	24,774,294	27,213,196	27,246,887	29,217,294
Operating Income	12,283,657	9,628,638	7,728,113	\$ 5,757,706
Non-Operating Revenues (Expenses)				
Interest Expense	(7,948,112)	(7,698,006)	(7,510,063)	\$ (7,348,600)
Amortization of Bonds	655,511	655,509	655,511	\$ 655,509
Amortization of Bonds	(17,171)	(39,614)	(17,171)	\$ (39,614)
Investment Income	541,567	756,277	500,000	\$ 500,000
Grant Revenue	-	14,401	-	
Bond Issuance costs	-	-	-	
Miscellaneous Income	93,312	118,074	75,000	\$ 75,000
Total Funding	(6,674,893)	(6,193,359)	(6,296,723)	(6,157,705)
Change in Net Assets	5,608,764	3,435,279	(323,079)	\$ (399,999)
Debt Service				
Principal Payments on Bonds	4,810,000	5,920,000	4,225,000	\$ 4,385,000
Less Principal Payments				
Funded by Bond Refinancing	-	-	-	
Department of Transportation Note	-	-	-	
Total Debt Service	4,810,000	5,920,000	4,225,000	\$ 4,385,000