



STATE OF RHODE ISLAND
GENERAL GOVERNMENT AND
QUASI-PUBLIC AGENCIES

**FISCAL YEAR 2023
BUDGET PROPOSAL**
GOVERNOR DANIEL J. MCKEE

VOLUME I:
GENERAL GOVERNMENT
AND
QUASI-PUBLIC AGENCIES

Volume I: General Government & Quasi-Public Agencies

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GENERAL GOVERNMENT

General Government Function Summary

Expenditures by Agency	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Board of Elections	2,542,334	3,368,251	2,671,768	2,783,896	6,126,861
Department of Administration	897,596,456	1,184,967,994	1,125,587,312	1,687,715,073	1,213,752,522
Department of Business Regulation	23,612,913	24,669,726	26,133,043	27,964,105	38,501,342
Department of Labor and Training	1,733,250,987	2,621,118,882	968,748,965	890,956,694	557,968,915
Department of Revenue	484,422,551	572,274,024	839,568,490	763,920,391	876,904,002
Executive Office of Commerce	37,720,666	113,501,215	192,734,874	256,684,216	271,935,471
General Assembly	43,046,423	41,091,346	46,627,087	53,916,145	50,462,193
Office of Lieutenant Governor	1,142,644	1,003,177	1,199,161	1,263,354	1,353,568
Office of the General Treasurer	40,414,381	17,490,557	47,819,863	48,954,051	49,400,452
Office of the Governor	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Public Utilities Commission	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Rhode Island Commission for Human Rights	1,768,406	1,756,263	1,908,999	1,985,429	2,041,315
Rhode Island Ethics Commission	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
Secretary of State	13,033,679	16,513,489	13,117,278	14,093,203	15,207,507
Total Expenditures	3,296,532,384	4,615,279,502	3,287,154,163	3,773,144,408	3,106,255,338
Expenditures by Object					
Salary and Benefits	588,086,404	599,132,801	666,586,956	681,651,234	692,555,279
Contract Professional Services	47,825,903	105,175,672	86,630,082	99,809,368	71,372,082
Operating Supplies and Expenses	451,769,808	426,201,065	612,720,920	783,775,381	818,290,006
Assistance and Grants	1,797,211,548	2,776,115,363	1,120,767,323	1,129,926,221	739,419,518
Subtotal: Operating	2,884,893,663	3,906,624,902	2,486,705,281	2,695,162,204	2,321,636,885
Capital Purchases and Equipment	28,840,032	15,754,375	55,823,561	106,100,152	104,533,607
Aid to Local Units of Government	161,349,761	209,058,203	214,515,871	201,379,441	239,582,738
Debt Service (Fixed Charges)	160,272,945	169,455,486	184,630,292	182,133,939	194,539,833
Operating Transfers	61,175,982	314,386,536	345,479,158	588,368,672	245,962,275
Subtotal: Other	411,638,721	708,654,600	800,448,882	1,077,982,204	784,618,453
Total Expenditures	3,296,532,384	4,615,279,502	3,287,154,163	3,773,144,408	3,106,255,338
Expenditures by Source of Funds					
General Revenue	543,079,658	628,516,549	669,129,112	1,120,943,775	684,577,562
Federal Funds	1,176,145,576	2,532,885,812	927,047,907	1,068,034,129	744,911,789
Restricted Receipts	120,951,515	80,596,869	150,240,178	164,147,997	201,125,655
Operating Transfers From Other Funds	84,003,988	55,133,682	84,431,777	89,137,492	106,639,282
Other Funds	1,372,351,648	1,318,146,590	1,456,305,189	1,330,881,015	1,369,001,050
Total Expenditures	3,296,532,384	4,615,279,502	3,287,154,163	3,773,144,408	3,106,255,338
FTE Authorization	2,406.9	2,441.9	2,454.4	2,453.4	2,488.4

Agency Summary

Department of Administration

Agency Mission

To manage the State's financial, human, technological, physical and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost-effective services possible. To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The Department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state. The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Capital Asset Management and Maintenance (DCAMM), Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I. General Laws § 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

Department of Administration

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	98,786,453	162,688,326	263,601,178	344,971,558	201,094,889
Accounts and Control	5,598,205	4,959,134	13,337,649	15,671,390	13,735,897
Office of Management and Budget	8,642,507	9,019,040	9,927,597	10,382,316	9,983,685
Purchasing	4,239,473	4,246,510	4,070,981	4,482,509	4,763,131
Human Resources	433,138	405,879	1,099,549	1,099,549	755,922
Personnel Appeal Board	58,466	88,132	120,050	125,355	143,059
General	46,595,204	252,825,985	44,775,905	456,753,875	112,284,382
Debt Service Payments	183,931,606	193,626,737	184,730,292	182,233,939	194,639,833
Internal Service Programs	463,666,575	467,502,056	530,417,267	534,606,741	538,763,744
Legal Services	2,148,844	2,869,182	2,262,149	2,393,749	2,374,193
Information Technology	13,435,584	13,361,077	10,346,505	59,729,137	41,170,500
Library and Information Services	2,559,957	2,613,863	5,444,464	6,038,521	3,891,709
Planning	5,128,532	4,893,843	5,921,109	6,576,016	6,613,080
Statewide Personnel and Operations	(2,000,000)	4,602,414	0	115,060	15,350,000
Energy Resources	16,241,080	7,271,339	9,552,650	17,289,861	26,061,950
Rhode Island Health Benefits Exchange (HealthSource RI)	8,827,226	12,074,723	24,902,490	24,894,886	30,687,820
The Office of Diversity, Equity and Opportunity	1,275,100	933,796	1,358,591	1,440,543	1,628,413
Capital Asset Management and Maintenance	38,028,508	40,985,958	13,718,886	18,910,068	9,810,315
Total Expenditures	897,596,456	1,184,967,995	1,125,587,312	1,687,715,073	1,213,752,522
<i>Internal Services</i>	<i>[463,666,575]</i>	<i>[467,502,056]</i>	<i>[530,417,267]</i>	<i>[534,606,741]</i>	<i>[538,763,744]</i>
Expenditures by Object					
Salary And Benefits	397,122,476	406,861,875	452,887,476	460,839,241	464,622,852
Contract Professional Services	28,117,434	38,805,744	35,105,284	38,706,036	31,122,231
Operating Supplies And Expenses	132,275,578	130,219,439	118,553,399	322,607,610	260,029,684
Assistance And Grants	110,643,641	131,253,066	16,310,141	91,026,399	76,344,793
Subtotal: Operating	668,159,128	707,140,124	622,856,300	913,179,286	832,119,560

Budget

Department of Administration

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Capital Purchases And Equipment	25,780,627	13,732,952	46,271,782	94,166,910	93,771,384
Aid To Local Units Of Government	11,078,909	11,844,545	11,664,938	11,664,938	11,421,745
Debt Service (fixed Charges)	159,645,377	168,827,917	184,630,292	182,133,939	194,539,833
Operating Transfers	32,932,416	283,422,457	260,164,000	486,570,000	81,900,000
Subtotal: Other	229,437,328	477,827,870	502,731,012	774,535,787	381,632,962
Total Expenditures	897,596,456	1,184,967,994	1,125,587,312	1,687,715,073	1,213,752,522
Expenditures by Source of Funds					
General Revenue	219,395,027	350,166,983	196,032,533	644,429,102	224,631,464
Federal Funds	107,478,549	268,960,473	275,440,907	373,674,573	265,997,831
Restricted Receipts	32,650,278	23,421,193	45,798,133	52,662,221	82,745,121
Operating Transfers From Other Funds	74,294,773	54,804,878	77,785,849	82,221,881	101,494,555
Other Funds	463,777,830	487,614,468	530,529,890	534,727,296	538,883,551
Total Expenditures	897,596,456	1,184,967,995	1,125,587,312	1,687,715,073	1,213,752,522
FTE Authorization	647.7	647.7	650.7	650.7	660.7

Personnel Agency Summary

Department of Administration

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	629.7	56,151,526	638.7	59,741,491
Unclassified	21.0	2,426,442	22.0	2,750,920
Subtotal	650.7	58,577,968	660.7	62,492,411
Transfer Out		(998,326)		(1,040,566)
Transfer In		217,672		236,527
Salaries Adjustment		48,635		48,635
Overtime		1,382,513		1,318,785
Seasonal/Special Salaries/Wages		97,276		100,099
Turnover		(1,326,296)		(1,254,802)
FY 2021 Retro COLA Payment		1,289,555		0
Total Salaries		59,288,997		61,901,089
Benefits				
Contract Stipends		378,321		388,821
FICA		4,882,959		5,104,766
Health Benefits		8,816,326		9,569,722
Holiday		2,325		0
Other		6,000,006		6,000,000
Payroll Accrual		335,318		3,761
Retiree Health		3,080,974		2,733,568
Retirement		16,793,686		17,560,404
Subtotal		40,289,915		41,361,042
Total Salaries and Benefits	650.7	99,578,912	660.7	103,262,131
Cost Per FTE Position		153,034		156,292
Statewide Benefit Assessment		2,307,958		2,408,350
Payroll Costs	650.7	101,886,870	660.7	105,670,481
Purchased Services				
Buildings and Ground Maintenance		2,952,500		2,952,500
Clerical and Temporary Services		550,997		527,543
Design and Engineering Services		97,500		103,989
Information Technology		11,460,886		9,421,687
Legal Services		382,336		332,336
Management & Consultant Services		18,293,564		15,499,840
Other Contracts		4,913,253		2,229,336
Training and Educational Services		55,000		55,000
Subtotal		38,706,036		31,122,231
Total Personnel	650.7	140,592,906	660.7	136,792,712

Personnel Agency Summary

Department of Administration

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	190.0	26,752,568	191.9	29,409,915
Federal Funds	2.0	5,137,950	2.0	1,407,745
Restricted Receipts	33.2	22,872,424	33.3	17,399,422
Operating Transfers from Other Funds	4.0	4,784,651	4.0	4,856,662
Other Funds	421.5	81,045,313	429.5	83,718,968
Total All Funds	650.7	140,592,906	660.7	136,792,712

Performance Measures

Department of Administration

Minorities in the Executive Branch of the State Workforce

One of the Department of Administration's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	24.10%	51.60%	55.00%	27.50%	27.50%
Actual	19.00%	40.00%	20.40%	0.00%	

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected energy consumption (natural gas and electricity), measured in million BTU (MMBTU). [Notes: This measure has been revised to incorporate natural gas usage. Historical targets are not available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	-5,600,000	2,880,612	2,779,678	1,389,839	1,375,940
Actual	1,500,753	2,836,406	1,407,469	0.00	

Program Summary

Agency: Department of Administration

Central Management

Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the department are efficiently organized and implemented. To select the best candidates for recommendation for appointment to the Judiciary.

Description

Central Management is comprised of three major functions: Director's Office, Central Business Office, and Judicial Nominating Commission. The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor. The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration to ensure maximum use of state and federal resources. The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I. General Laws § 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I. General Laws § 42-11-2. The Judicial Nominating Commission was created by RIGL § 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees.

Budget

Agency: Department of Administration

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Director's Office	2,138,750	31,447,425	261,026,650	342,233,139	200,007,327
Financial Management	96,644,573	131,226,968	2,552,567	2,716,458	1,065,601
Judicial Nominating Committee	3,129	13,932	21,961	21,961	21,961
Total Expenditures	98,786,453	162,688,326	263,601,178	344,971,558	201,094,889
Expenditures by Object					
Salary and Benefits	1,784,031	2,403,506	1,983,105	2,104,163	2,302,298
Contract Professional Services	314,127	3,220,743	482,772	520,481	2,256,400
Operating Supplies and Expenses	1,198,794	1,707,770	2,164,241	203,339,854	123,529,131
Assistance and Grants	95,382,087	125,496,818	0	0	10,000,000
Subtotal: Operating	98,679,038	132,828,837	4,630,118	205,964,498	138,087,829
Capital Purchases and Equipment	1,517	129,807	7,060	7,060	7,060
Debt Service (Fixed Charges)	105,898	95,982	0	0	0
Operating Transfers	0	29,633,700	258,964,000	139,000,000	63,000,000
Subtotal: Other	107,415	29,859,489	258,971,060	139,007,060	63,007,060
Total Expenditures	98,786,453	162,688,326	263,601,178	344,971,558	201,094,889
Expenditures by Source of Funds					
General Revenue	2,251,849	3,621,551	2,569,679	2,728,702	4,896,389
Federal Funds	96,534,604	159,066,775	261,031,499	342,242,856	196,198,500
Total Expenditures	98,786,453	162,688,326	263,601,178	344,971,558	201,094,889

Personnel

Agency: Department of Administration

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	95,933	1.0	101,186
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	104,114	1.0	106,717
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	48,183	1.0	50,513
ASSOCIATE DIRECTOR (DHS) DIV OF MANAGEMENT SERVICES	00146A	1.0	168,157	1.0	172,276
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	132,540	1.0	135,853
BUSINESS MANAGEMENT OFFICER	00126A	2.0	142,584	2.0	146,101
CHIEF IMPLEMENTATION AIDE	00128A	3.0	224,533	3.0	232,428
FISCAL MANAGEMENT OFFICER	00B26A	1.0	75,214	1.0	77,094
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	98,290	1.0	105,275
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,927	1.0	80,900
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	1.0	57,622	1.0	61,142
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(2.3)	0	(2.2)	0
Subtotal Classified		11.7	1,226,097	11.8	1,269,485
Unclassified					
DEPUTY CHIEF OF STAFF/POLICY	00845A	1.0	135,354	1.0	144,270
DEPUTY DIRECTOR- DEPARTMENT OF ADMINISTRATION	00850A	1.0	162,335	1.0	171,925
DIRECTOR OF ADMINISTRATION	00946KF	1.0	162,843	1.0	166,914
PRINCIPAL TECHNICAL SUPPORT ANALYST	05229A	1.0	98,870	1.0	101,285
Subtotal Unclassified		4.0	559,402	4.0	584,394
Subtotal		15.7	1,785,499	15.8	1,853,879
Transfer Out			(322,227)		(330,212)
Overtime			287		0
Turnover			(156,124)		(43,050)
FY 2021 Retro COLA Payment			38,111		0
Total Salaries			1,345,546		1,480,617

Personnel

Agency: Department of Administration

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		2,700		2,700
FICA		99,827		110,039
Health Benefits		134,859		156,771
Holiday		23		0
Other		6		0
Payroll Accrual		7,635		0
Retiree Health		71,308		66,450
Retirement		388,796		427,129
Subtotal		705,154		763,089
Total Salaries and Benefits	15.7	2,050,700	15.8	2,243,706
Cost Per FTE Position		130,618		142,007
Statewide Benefit Assessment		53,463		58,592
Payroll Costs	15.7	2,104,163	15.8	2,302,298
Purchased Services				
Information Technology		249,000		249,000
Legal Services		6,400		6,400
Management & Consultant Services		37,709		2,000,000
Other Contracts		227,372		1,000
Subtotal		520,481		2,256,400
Total Personnel	15.7	2,624,644	15.8	4,558,698
Distribution by Source of Funds				
General Revenue	15.7	2,398,272	15.8	4,558,698
Federal Funds	0.0	226,372	0.0	0
Total All Funds	15.7	2,624,644	15.8	4,558,698

Program Summary

Agency: Department of Administration

Accounts and Control

Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles. To establish policies and procedures to foster sound accounting practices and internal controls. To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies. To support state operations by centrally processing financial transactions in a timely and accurate manner.

Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures. The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the preaudit of state receipts and expenditures; the approval of vouchers drawn on the treasury; the preparation of financial statements in accordance with generally accepted accounting principles; the management of federal fiscal proposals and guidelines and serving as the state clearinghouse for the application of federal grants; and the identification of federal grant-funding opportunities to support the governor's and general assembly's major policy initiatives and providing technical assistance with the application process and post-award grants management. This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I. General Laws § 35-6 establishes the statutory basis for this program. Other legal references are provided in RIGL § 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46. RIGL § 35-1.1-5 assigns to the controller various functions related to the management of federal grants formerly assigned to the Office of Management and Budget.

Budget

Agency: Department of Administration

Accounts and Control

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Accounts & Control	5,598,205	4,959,134	13,337,649	15,671,390	13,735,897
Total Expenditures	5,598,205	4,959,134	13,337,649	15,671,390	13,735,897
Expenditures by Object					
Salary and Benefits	4,229,078	4,038,346	3,567,656	4,984,216	5,762,231
Contract Professional Services	301,327	84,118	2,129,823	10,131,402	3,999,564
Operating Supplies and Expenses	1,066,230	834,364	7,627,170	542,772	3,961,102
Subtotal: Operating	5,596,635	4,956,828	13,324,649	15,658,390	13,722,897
Capital Purchases and Equipment	1,570	2,306	13,000	13,000	13,000
Subtotal: Other	1,570	2,306	13,000	13,000	13,000
Total Expenditures	5,598,205	4,959,134	13,337,649	15,671,390	13,735,897
Expenditures by Source of Funds					
General Revenue	5,374,867	4,858,321	4,103,385	4,344,823	5,211,103
Federal Funds	0	23,690	0	0	2,807,250
Restricted Receipts	223,338	77,124	9,234,264	11,326,567	5,717,544
Total Expenditures	5,598,205	4,959,134	13,337,649	15,671,390	13,735,897

Personnel

Agency: Department of Administration

Accounts and Control

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTING CONTROL SPECIALIST (DOA)	00331A	2.0	165,963	2.0	173,833
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	00321A	1.0	48,165	1.0	50,697
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	00324A	7.0	392,315	7.0	418,308
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	2.0	192,662	2.0	202,372
ASSET PROTECTION OFFICER	00324A	1.0	59,770	1.0	61,265
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	109,978	1.0	118,222
ASSOCIATE CONTROLLER	00143A	2.0	257,977	2.0	267,183
BUYER II (DOA/OP)	00327A	1.0	28,456	1.0	64,171
CENTRAL ACCOUNTS PAYABLE SUPERVISING PREAUDIT CLK (DOA)	00328A	2.0	139,868	2.0	149,730
CHIEF IMPLEMENTATION AIDE	00128A	2.0	134,142	2.0	139,838
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	119,170	1.0	127,940
CHIEF PUBLIC AFFAIRS OFFICER	00137A	1.0	41,653	1.0	94,064
CONTROLLER	00148A	1.0	161,996	1.0	170,025
DATA ANALYST I	00134A	1.0	78,716	1.0	83,628
DATA CONTROL CLERK	00315A	1.0	44,899	1.0	46,022
DEPUTY DIRECTOR OF OMB	00151A	1.0	77,277	1.0	174,292
FINANCIAL REPORTING MANAGER (OFFICE OF ACCOUNTS & CONTROL)	00139A	2.0	184,505	2.0	206,751
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	172,964	2.0	208,388
SENIOR BUYER (DOA/OP)	00829A	1.0	30,930	1.0	69,840
SENIOR ECONOMIC AND POLICY ANALYST	00134A	2.0	158,864	2.0	168,785
SENIOR INTERNAL AUDITOR (DOA)	00131A	1.0	78,927	1.0	80,900
SUPERVISING ACCOUNTANT	00131A	1.0	70,876	1.0	75,290
SUPERVISING ACCOUNTANT	00831A	1.0	83,074	1.0	85,151
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	00135A	1.0	91,709	1.0	94,188
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	00136A	3.0	324,859	3.0	332,961
Subtotal Classified		41.0	3,249,715	41.0	3,663,844
Unclassified					
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00743A	1.0	59,646	1.0	135,054
Subtotal Unclassified		1.0	59,646	1.0	135,054
Subtotal		42.0	3,309,361	42.0	3,798,898
Transfer Out			(106,440)		(129,405)

Personnel

Agency: Department of Administration

Accounts and Control

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Overtime		390		0
Turnover		(212,608)		(123,000)
FY 2021 Retro COLA Payment		62,640		0
Total Salaries		3,053,343		3,546,493
Benefits				
Contract Stipends		21,000		25,500
FICA		233,993		293,742
Health Benefits		483,719		563,285
Payroll Accrual		17,573		0
Retiree Health		162,529		160,024
Retirement		890,600		1,032,093
Subtotal		1,809,414		2,074,644
Total Salaries and Benefits	42.0	4,862,757	42.0	5,621,137
Cost Per FTE Position		115,780		133,837
Statewide Benefit Assessment		121,459		141,094
Payroll Costs	42.0	4,984,216	42.0	5,762,231
Purchased Services				
Information Technology		2,657,410		958,335
Legal Services		100,000		45,000
Management & Consultant Services		7,371,992		2,994,229
Other Contracts		2,000		2,000
Subtotal		10,131,402		3,999,564
Total Personnel	42.0	15,115,618	42.0	9,761,795
Distribution by Source of Funds				
General Revenue	34.0	3,801,951	34.0	4,051,251
Restricted Receipts	8.0	11,313,667	8.0	5,710,544
Total All Funds	42.0	15,115,618	42.0	9,761,795

Program Summary

Agency: Department of Administration

Office of Management and Budget

Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions: Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies; Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity; Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results. Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests. Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

R.I. General Laws § 35-1.1 created OMB. RIGL § 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL § 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL § 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL § 42-35 moves the Office of Regulatory Reform from the Economic Development Corporation to OMB. RIGL § 35-7.1 reorganizes the Bureau of Audits, a program within the Department of Administration, as the Office of Internal Audit, a sub-program within OMB.

Budget

Agency: Department of Administration

Office of Management and Budget

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Budget Office	2,699,935	3,014,486	4,182,485	4,449,162	3,914,546
Director, Office of Mgt and Budget	1,995,172	1,616,415	818,095	795,997	808,525
Federal Grants Management	(0)	7	0	0	0
Office of Internal Audit	3,243,200	3,952,256	4,189,313	4,576,287	4,678,599
Office of Regulatory Reform	573,017	425,014	737,704	560,870	582,015
Performance Management	131,183	10,862	0	0	0
Total Expenditures	8,642,507	9,019,040	9,927,597	10,382,316	9,983,685
Expenditures by Object					
Salary and Benefits	7,435,744	7,681,329	8,084,631	8,529,666	8,468,521
Contract Professional Services	247,196	198,079	442,175	442,175	442,175
Operating Supplies and Expenses	951,901	1,131,192	1,385,691	1,395,375	1,057,889
Subtotal: Operating	8,634,841	9,010,601	9,912,497	10,367,216	9,968,585
Capital Purchases and Equipment	7,666	8,439	15,100	15,100	15,100
Subtotal: Other	7,666	8,439	15,100	15,100	15,100
Total Expenditures	8,642,507	9,019,040	9,927,597	10,382,316	9,983,685
Expenditures by Source of Funds					
General Revenue	6,793,710	7,175,700	8,285,227	8,760,590	8,354,324
Federal Funds	836,546	784,542	224,755	111,932	101,250
Restricted Receipts	(7,540)	77,156	300,000	300,000	300,000
Operating Transfers from Other Funds	1,019,790	981,642	1,117,615	1,209,794	1,228,111
Total Expenditures	8,642,507	9,019,040	9,927,597	10,382,316	9,983,685

Personnel

Agency: Department of Administration

Office of Management and Budget

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	52,403	1.0	55,448
ASSISTANT TO THE DIRECTOR (DHS)	00136A	1.0	95,312	1.0	97,694
BUDGET AND POLICY ANALYST	00832A	7.0	505,146	7.0	566,168
CHIEF BUDGET AND POLICY ANALYST	00146A	2.0	295,953	2.0	308,478
CHIEF BUREAU OF AUDITS	00146A	1.0	128,749	1.0	137,467
CHIEF DATA ANALYST	00145A	1.0	126,948	1.0	135,641
CHIEF ECONOMIC AND POLICY ANALYST	00142A	2.0	210,087	2.0	229,910
CHIEF IMPLEMENTATION AIDE	00128A	1.0	63,147	1.0	67,031
DATA ANALYST II	00138A	1.0	93,068	1.0	98,884
DATA ANALYST III	00142A	3.0	352,904	3.0	367,222
DATABASE ADMINISTRATOR	00148A	1.0	154,076	1.0	157,928
DEPUTY BUDGET OFFICER	00149A	1.0	150,344	1.0	159,605
DEPUTY CHIEF BUREAU OF AUDITS	00143A	2.0	260,668	2.0	267,183
DEPUTY DIRECTOR OF OMB	00151A	1.0	166,291	1.0	174,486
ECONOMIC AND POLICY ANALYST I	00130A	1.0	68,747	1.0	73,008
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	00152A	1.0	209,732	1.0	214,891
INTERNAL AUDIT MANAGER (DOA)	00136A	2.0	176,263	2.0	188,556
INTERNAL AUDITOR (DOA)	00325A	1.0	54,647	1.0	57,903
INTERNAL AUDITOR (DOA)	00327A	6.0	389,004	6.0	411,720
PRINCIPAL BUDGET AND POLICY ANALYST	00140A	1.0	102,521	1.0	110,584
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	92,309	1.0	98,063
PROGRAMMING SERVICES OFFICER	00131A	1.0	77,680	1.0	80,900
SENIOR BUDGET AND POLICY ANALYST	00836A	2.0	174,082	2.0	185,315
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	82,625	1.0	88,621
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A	2.0	219,542	2.0	227,578
SENIOR INTERNAL AUDITOR (DOA)	00131A	9.0	689,748	9.0	727,452
SENIOR INTERNAL AUDITOR II (DOA)	00133A	1.0	76,788	1.0	81,573
SENIOR MANAGEMENT AND METHODS ANALYST	00125A	1.0	65,240	1.0	66,871
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(7.0)	0	(6.7)	0
Subtotal Classified		48.0	5,134,024	48.3	5,436,180
Unclassified					
CONFIDENTIAL SECRETARY	00818A	1.0	44,674	1.0	48,660
DIRECTOR- OFFICE OF MANAGEMENT & BUDGET	00852A	1.0	175,641	1.0	186,894
Subtotal Unclassified		2.0	220,315	2.0	235,554
Subtotal		50.0	5,354,339	50.3	5,671,734

Personnel

Agency: Department of Administration

Office of Management and Budget

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Transfer In		12,496		28,219
Overtime		(193)		0
Seasonal/Special Salaries/Wages		22,467		23,029
Turnover		(180,200)		(423,631)
FY 2021 Retro COLA Payment		122,214		0
Total Salaries		5,331,123		5,299,351
Benefits				
Contract Stipends		22,500		24,000
FICA		401,439		398,626
Health Benefits		708,748		764,057
Holiday		380		0
Payroll Accrual		30,378		0
Retiree Health		281,737		237,454
Retirement		1,541,181		1,534,758
Subtotal		2,986,363		2,958,895
Total Salaries and Benefits	50.0	8,317,486	50.3	8,258,246
Cost Per FTE Position		166,350		164,180
Statewide Benefit Assessment		212,180		210,275
Payroll Costs	50.0	8,529,666	50.3	8,468,521
Purchased Services				
Management & Consultant Services		442,175		442,175
Subtotal		442,175		442,175
Total Personnel	50.0	8,971,841	50.3	8,910,696
Distribution by Source of Funds				
General Revenue	50.0	7,399,706	50.3	7,321,419
Federal Funds	0.0	93,050	0.0	91,875
Restricted Receipts	0.0	300,000	0.0	300,000
Operating Transfers from Other Funds	0.0	1,179,085	0.0	1,197,402
Total All Funds	50.0	8,971,841	50.3	8,910,696

Program Summary

Agency: Department of Administration

Purchasing

Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services include purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I. General Laws § 42-11-2. Operation of the state purchasing program is set forth in RIGL § 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the Lamb Legislation), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. RIGL § 37-2-17.2 requires the institution of an electronic Vendor Information Program. In 2011, in accordance with RIGL § 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for public works projects are required to include a copy to be available for public inspection upon the opening of the bids. Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. RIGL § 37-2-18(b) and (j).

Budget

Agency: Department of Administration

Purchasing

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Purchasing	4,239,473	4,246,510	4,070,981	4,482,509	4,763,131
Total Expenditures	4,239,473	4,246,510	4,070,981	4,482,509	4,763,131
Expenditures by Object					
Salary and Benefits	3,495,780	3,503,769	3,468,934	3,880,903	3,921,855
Contract Professional Services	193,217	51,783	250	250	250
Operating Supplies and Expenses	546,381	688,652	601,797	601,356	841,026
Subtotal: Operating	4,235,378	4,244,204	4,070,981	4,482,509	4,763,131
Capital Purchases and Equipment	4,095	2,306	0	0	0
Subtotal: Other	4,095	2,306	0	0	0
Total Expenditures	4,239,473	4,246,510	4,070,981	4,482,509	4,763,131
Expenditures by Source of Funds					
General Revenue	3,625,340	3,746,633	3,275,536	3,506,662	3,830,668
Federal Funds	0	42,010	0	0	0
Restricted Receipts	132,528	0	298,059	420,427	381,474
Operating Transfers from Other Funds	481,605	457,868	497,386	555,420	550,989
Total Expenditures	4,239,473	4,246,510	4,070,981	4,482,509	4,763,131

Personnel

Agency: Department of Administration

Purchasing

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	56,555	1.0	60,544
ASSISTANT BUYER (DOA/DIVISION OF PURCHASES)	00322A	8.0	415,211	8.0	437,656
BUYER I (DOA/OP)	00324A	2.0	107,970	2.0	114,125
BUYER II (DOA/OP)	00327A	3.0	203,616	3.0	211,698
CHIEF BUYER (DOA/OP)	00132A	4.0	344,433	4.0	353,043
CHIEF IMPLEMENTATION AIDE	00128A	1.0	77,165	1.0	79,094
CHIEF OF PURCHASING MANAGEMENT AND SUPPORT SERVICES	00138A	1.0	102,112	1.0	104,665
CONTR & SPECIFICITIN ASST ADMIN	00136A	1.0	111,979	1.0	114,719
DEPUTY PURCHASING AGENT	00141A	1.0	105,963	1.0	114,040
INTERDEPARTMENTAL PROJECT MANAGER	00139A	3.0	310,248	3.0	322,876
PRINCIPAL SYSTEMS ANALYST	00329A	1.0	73,069	1.0	77,387
PROGRAMMING SERVICES OFFICER	00131A	1.0	86,820	1.0	88,990
PURCHASING AGENT	00145A	1.0	153,987	1.0	157,779
SENIOR BUYER (DOA/OP)	00329A	3.0	211,586	3.0	219,566
STANDARDS TECHNICIAN (DIVISION OF PURCHASES)	00318A	1.0	54,309	1.0	55,650
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(4.0)	0	(3.9)	0
Subtotal Classified		28.0	2,415,023	28.1	2,511,832
Subtotal		28.0	2,415,023	28.1	2,511,832
Transfer Out			(29,926)		(32,146)
Seasonal/Special Salaries/Wages			(381)		0
Turnover			(98,500)		(102,500)
FY 2021 Retro COLA Payment			53,530		0
Total Salaries			2,339,746		2,377,186
Benefits					
Contract Stipends			27,000		27,000
FICA			180,422		183,556
Health Benefits			412,049		431,129
Payroll Accrual			13,509		0
Retiree Health			125,092		107,707
Retirement			689,279		700,315
Subtotal			1,447,351		1,449,707

Personnel

Agency: Department of Administration

Purchasing

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	28.0	3,787,097	28.1	3,826,893
Cost Per FTE Position		135,253		136,188
Statewide Benefit Assessment		93,806		94,962
Payroll Costs	28.0	3,880,903	28.1	3,921,855
Purchased Services				
Clerical and Temporary Services		250		250
Subtotal		250		250
Total Personnel	28.0	3,881,153	28.1	3,922,105
Distribution by Source of Funds				
General Revenue	24.0	3,120,310	24.1	3,153,911
Restricted Receipts	0.0	214,981	0.0	218,974
Operating Transfers from Other Funds	4.0	545,862	4.0	549,220
Total All Funds	28.0	3,881,153	28.1	3,922,105

Program Summary

Agency: Department of Administration

Human Resources

Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community. To provide Human Resources services to all State Departments in support of their missions. To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees. The major activities of Human Resources include:

- Personnel Administration: Administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/Development and Classification/Civil Service Examinations.
- Labor Relations: Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees.
- Recruitment/Employment/Employee Services/Payroll and Data: Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services.
- Agency Liaisons: Primary point of contact for all Departments while serving as a proactive advisor to Department leadership. Disability Management.
- Employee Benefits Administration: Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives. State Employees Worker's Compensation.

Statutory History

R.I. General Laws § 36-3 and RIGL § 36-4 establish the basic principles of the Merit System Law, under which the Division of Human Resources operates. In the FY 2018 revised budget, the human resource program was shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: Department of Administration

Human Resources

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Human Resources	433,138	405,879	1,099,549	1,099,549	755,922
Total Expenditures	433,138	405,879	1,099,549	1,099,549	755,922
Expenditures by Object					
Salary and Benefits	0	0	0	0	183,430
Operating Supplies and Expenses	433,138	405,879	1,099,549	1,099,549	572,492
Subtotal: Operating	433,138	405,879	1,099,549	1,099,549	755,922
Total Expenditures	433,138	405,879	1,099,549	1,099,549	755,922
Expenditures by Source of Funds					
General Revenue	433,138	405,879	1,099,549	1,099,549	755,922
Total Expenditures	433,138	405,879	1,099,549	1,099,549	755,922

Personnel

Agency: Department of Administration

Human Resources

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Classified					
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00143A	0.0	0	1.0	116,334
Subtotal Classified		0.0	0	1.0	116,334
Subtotal		0.0	0	1.0	116,334
Total Salaries		0			116,334
Benefits					
FICA			0		8,900
Health Benefits			0		15,804
Retiree Health			0		5,212
Retirement			0		32,585
Subtotal			0		62,501
Total Salaries and Benefits		0.0	0	1.0	178,835
Cost Per FTE Position					178,835
Statewide Benefit Assessment			0		4,595
Payroll Costs		0.0	0	1.0	183,430
Total Personnel		0.0	0	1.0	183,430
Distribution by Source of Funds					
General Revenue		0.0	0	1.0	183,430
Total All Funds		0.0	0	1.0	183,430

Program Summary

Agency: Department of Administration

Personnel Appeal Board

Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board. To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law. To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board. The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson. The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I. General Laws § 36-3 through RIGL § 36-11 delineate the powers and duties of the Personnel Appeal Board.

Budget

Agency: Department of Administration

Personnel Appeal Board

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Personnel Appeal Board	58,466	88,132	120,050	125,355	143,059
Total Expenditures	58,466	88,132	120,050	125,355	143,059
Expenditures by Object					
Salary and Benefits	36,993	54,339	72,167	77,472	77,302
Contract Professional Services	20,108	31,275	46,500	46,500	53,000
Operating Supplies and Expenses	1,364	2,518	1,383	1,383	12,757
Subtotal: Operating	58,466	88,132	120,050	125,355	143,059
Total Expenditures	58,466	88,132	120,050	125,355	143,059
Expenditures by Source of Funds					
General Revenue	58,466	88,132	120,050	125,355	143,059
Total Expenditures	58,466	88,132	120,050	125,355	143,059

Personnel

Agency: Department of Administration

Personnel Appeal Board

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Transfer In		17,309		17,742
Seasonal/Special Salaries/Wages		30,256		31,012
FY 2021 Retro COLA Payment		1,070		0
Total Salaries		48,635		48,754
Benefits				
Contract Stipends		450		450
FICA		3,756		3,764
Health Benefits		6,125		6,222
Payroll Accrual		280		0
Retiree Health		2,553		2,203
Retirement		13,727		13,966
Subtotal		26,891		26,605
Total Salaries and Benefits	0.0	75,526	0.0	75,359
Cost Per FTE Position		0		
Statewide Benefit Assessment		1,946		1,943
Payroll Costs	0.0	77,472	0.0	77,302
Purchased Services				
Clerical and Temporary Services		6,000		7,500
Legal Services		40,000		45,000
Other Contracts		500		500
Subtotal		46,500		53,000
Total Personnel	0.0	123,972	0.0	130,302
Distribution by Source of Funds				
General Revenue	0.0	123,972	0.0	130,302
Total All Funds	0.0	123,972	0.0	130,302

Program Summary

Agency: Department of Administration

General

Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program. Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I. General Laws § 9-31, which provides that the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums.

Statutory History

The existence of General has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I. General Laws § 9-31.

Budget

Agency: Department of Administration

General

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Capital Projects	34,189,981	11,320,311	31,630,967	43,498,446	99,357,637
Economic Development	0	87,382,331	0	0	0
General	295,975	140,832,788	700,000	338,700,000	700,000
Grants & Other Payments	609,945	1,025,618	780,000	62,890,491	805,000
State Aid to Local Communities	11,499,302	12,264,938	11,664,938	11,664,938	11,421,745
Total Expenditures	46,595,204	252,825,985	44,775,905	456,753,875	112,284,382
Expenditures by Object					
Contract Professional Services	879,467	1,771,531	0	0	0
Operating Supplies and Expenses	3,729,722	1,951,816	700,000	700,000	5,700,000
Assistance and Grants	541,410	636,655	780,000	62,890,491	24,165,095
Subtotal: Operating	5,150,599	4,360,002	1,480,000	63,590,491	29,865,095
Capital Purchases and Equipment	22,016,296	8,254,746	31,630,967	35,128,446	53,297,542
Aid to Local Units of Government	11,078,909	11,844,545	11,664,938	11,664,938	11,421,745
Operating Transfers	8,349,400	228,366,692	0	346,370,000	17,700,000
Subtotal: Other	41,444,605	248,465,983	43,295,905	393,163,384	82,419,287
Total Expenditures	46,595,204	252,825,985	44,775,905	456,753,875	112,284,382
Expenditures by Source of Funds					
General Revenue	12,109,247	133,290,555	12,444,938	412,555,429	12,226,745
Federal Funds	0	87,382,331	0	8,370,000	46,060,095
Restricted Receipts	295,975	832,788	700,000	700,000	700,000
Operating Transfers from Other Funds	34,189,981	11,320,311	31,630,967	35,128,446	53,297,542
Other Funds	0	20,000,000	0	0	0
Total Expenditures	46,595,204	252,825,985	44,775,905	456,753,875	112,284,382

Program Summary

Agency: Department of Administration

Debt Service Payments

Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I. General Laws § 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

Budget

Agency: Department of Administration

Debt Service Payments

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Certificates of Participation	29,193,442	27,106,852	22,702,299	22,702,299	23,968,050
General Obligation Bonds	106,634,675	108,449,662	115,202,350	112,313,108	121,230,407
Other Debt Service	48,049,432	58,013,237	46,725,643	47,118,532	49,341,376
Tax Anticipation/S T Borrowing	54,058	56,986	100,000	100,000	100,000
Total Expenditures	183,931,606	193,626,737	184,730,292	182,233,939	194,639,833
Expenditures by Object					
Operating Supplies and Expenses	54,058	56,986	100,000	100,000	100,000
Assistance and Grants	(0)	0	0	0	0
Subtotal: Operating	54,057	56,986	100,000	100,000	100,000
Debt Service (Fixed Charges)	159,539,479	168,731,935	184,630,292	182,133,939	194,539,833
Operating Transfers	24,338,069	24,837,816	0	0	0
Subtotal: Other	183,877,548	193,569,751	184,630,292	182,133,939	194,539,833
Total Expenditures	183,931,606	193,626,737	184,730,292	182,233,939	194,639,833
Expenditures by Source of Funds					
General Revenue	147,923,127	155,691,415	145,424,890	142,683,763	153,991,095
Federal Funds	1,356,520	0	0	0	0
Operating Transfers from Other Funds	34,651,959	37,935,322	39,305,402	39,550,176	40,648,738
Total Expenditures	183,931,606	193,626,737	184,730,292	182,233,939	194,639,833

Program Summary

Agency: Department of Administration

Internal Service Programs

Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis. Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies. Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles and operates several fuel depots throughout the state. The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies. The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund. A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependents. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011. In the FY 2018 revised budget, human resources, information technology, and facilities management transferred to internal service funds pursuant to the authorization by the General Assembly in the FY 2018 Appropriations Act.

Statutory History

The Director of Administration is authorized by R.I. General Laws § 35-5 to establish a system of rotary or rotating funds in any state department or agency. RIGL § 36-12.1-5 established the OPEB trust.

Budget

Agency: Department of Administration

Internal Service Programs

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Assessed Fringe Benefit Fund	35,380,415	44,367,656	37,626,944	37,477,173	37,370,321
Automotive Fleet Fund	11,936,450	12,336,792	12,664,678	12,872,884	12,869,107
Central Mail Fund	6,134,987	6,757,784	6,736,424	7,119,317	7,303,550
Central Utilities Fund	27,031,285	26,804,914	27,345,573	27,356,504	27,355,205
Health Insurance Fund	252,117,854	246,335,528	272,604,683	272,668,134	272,697,174
Human Resource Service Centers	12,667,625	12,418,892	13,962,865	15,229,140	15,991,654
Information Processing Fund	38,751,321	38,604,923	48,951,700	48,780,353	50,789,409
Other Post Employment Benefits	35,688,951	35,117,909	63,858,483	63,858,483	63,858,483
State Fleet Revolving Loan Fund	9,447	263,857	0	0	0
Statewide Facility Services	40,438,784	40,959,422	43,562,371	45,371,486	47,011,910
Surplus Property	1,288	2,285	3,000	3,000	3,000
Telecommunications Fund	3,508,168	3,532,093	3,100,546	3,870,267	3,513,931
Total Expenditures	463,666,575	467,502,056	530,417,267	534,606,741	538,763,744
<i>Internal Services</i>	<i>[463,666,574.74]</i>	<i>[467,502,056]</i>	<i>[530,417,267]</i>	<i>[534,606,741]</i>	<i>[538,763,744]</i>
Expenditures by Object					
Salary and Benefits	369,634,335	372,301,131	421,932,170	426,149,945	428,363,633
Contract Professional Services	10,927,605	10,779,786	12,229,686	13,727,184	14,187,899
Operating Supplies and Expenses	82,960,283	83,201,348	89,449,590	92,856,548	94,339,148
Assistance and Grants	190,481	1,178	491,476	491,476	491,476
Subtotal: Operating	463,712,704	466,283,444	524,102,922	533,225,153	537,382,156
Capital Purchases and Equipment	(55,576)	954,756	5,114,345	181,588	181,588
Operating Transfers	9,447	263,857	1,200,000	1,200,000	1,200,000
Subtotal: Other	(46,130)	1,218,612	6,314,345	1,381,588	1,381,588
Total Expenditures	463,666,575	467,502,056	530,417,267	534,606,741	538,763,744
Expenditures by Source of Funds					
Other Funds	463,666,575	467,502,056	530,417,267	534,606,741	538,763,744
Total Expenditures	463,666,575	467,502,056	530,417,267	534,606,741	538,763,744

Personnel

Agency: Department of Administration

Internal Service Programs

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	00324A	3.0	186,586	3.0	199,110
ADMINISTRATIVE SERVICES SPECIALIST (DOA)	00124A	3.0	179,293	3.0	187,238
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	00140A	4.0	479,605	4.0	491,469
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	4.0	474,544	4.0	491,903
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	1.0	83,764	1.0	90,441
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	1.0	62,759	1.0	64,328
ASSISTANT BUILDING AND GROUNDS OFFICER	00824A	3.0	183,218	3.0	190,576
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	00143A	1.0	155,232	1.0	159,046
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	2.0	232,786	2.0	238,606
ASSISTANT DIRECTOR, STATEWIDE CAPITAL ASSET PROJECT MANAGEME	00152A	0.0	0	1.0	171,511
ASSISTANT RECORDS ANALYST	00319A	1.0	60,210	1.0	61,716
ASSISTANT STATE BUILDING AND GROUNDS COORDINATOR	00830A	1.0	72,187	1.0	73,991
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	2.0	134,791	2.0	141,798
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00827A	2.0	137,678	2.0	141,056
ASSOCIATE CONTROLLER	00143A	1.0	122,602	1.0	130,333
ASST DIR STWIDE CAP ASSET PJ M	00152A	1.0	175,611	1.0	180,002
AUTOMOTIVE MECHANIC	00314G	1.0	53,332	1.0	54,666
BUILDING AND GROUNDS OFFICER	00828A	3.0	217,491	3.0	228,838
BUILDING SUPERINTENDENT	00818A	1.0	50,778	1.0	52,047
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	49,559	1.0	50,798
CARPENTER	00314G	2.0	95,802	2.0	98,196
CHF OF INFORMATION TECHNOLOGY I	00151A	5.0	828,368	5.0	860,087
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	121,153	1.0	129,697
CHIEF IMPLEMENTATION AIDE	00128A	9.0	656,793	9.0	678,894
CHIEF IMPLEMENTATION AIDE	00328A	2.0	153,971	2.0	157,797
CHIEF IMPLEMENTATION AIDE	00828A	1.0	85,052	1.0	87,162
CHIEF OFFICE OF SYSTEMS PLANNING	00143A	1.0	127,155	1.0	130,333
CHIEF OF HUMAN RESOURCES	00138A	1.0	109,078	1.0	111,758
CHIEF OF HUMAN RESOURCES SERVICES	00133A	1.0	98,608	1.0	101,060
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	00143A	1.0	127,155	1.0	130,333
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	2.0	245,092	2.0	259,580
CHIEF PREAUDIT SUPERVISOR	00131A	1.0	90,766	1.0	93,035

Personnel

Agency: Department of Administration

Internal Service Programs

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	93,038	1.0	95,364
CHIEF PROPERTY MANAGEMENT	00141A	6.0	731,769	6.0	768,545
CLAIMS EXAMINER(ST EMP WRKS CO	00329A	6.0	494,577	6.0	506,821
CLERK SECRETARY	03116A	1.0	52,741	1.0	49,922
COMMUNITY HOUSEKEEPING AIDE	00314A	1.0	51,514	1.0	52,802
COMMUNITY MAINTENANCE TECHNICIAN - ENVIRONMENTAL SERVS	00314G	1.0	63,470	1.0	65,057
COMPUTER OPERATOR (OIP)	00316A	1.0	43,114	1.0	44,968
COMPUTER OPERATOR (OIP)	00326A	1.0	64,344	1.0	65,953
COORDINATOR OF EMPLOYEE TRAINING	00138A	1.0	101,492	1.0	104,665
DATABASE ADMINISTRATOR ADABAS/NATURAL	00138A	1.0	102,112	1.0	104,665
DATABASE MANAGER (DB2)	00138A	1.0	102,112	1.0	104,665
DATA CONTROL CLERK	00315A	1.0	44,899	1.0	46,022
DATA ENTRY UNIT SUPERVISOR	00B21A	1.0	70,465	1.0	72,159
DEPUTY CHIEF DIVISION OF FACILITIES MANAGEMENT	00137A	4.0	421,383	5.0	532,175
DEPUTY PERSONNEL ADMINISTRATOR	00144A	5.0	747,941	5.0	766,495
DIVISION DIRECTOR- CAPITAL ASSET MANAGEMENT AND MAINT	00152A	1.0	175,611	1.0	180,002
ELECTRICIAN	00316G	4.0	109,390	4.0	112,127
EMPLOYEE BENEFITS SPECIALIST	00322A	2.0	107,552	2.0	111,851
ENERGY CONSERVATION TECHNICIAN	00320A	1.0	51,766	1.0	53,060
ENTERPRISE RESOURCE MANAGER (ORACLE ERP MANAGER)	00142A	2.0	280,758	2.0	287,760
EXECUTIVE ASSISTANT	00118A	1.0	48,631	1.0	49,847
EXECUTIVE DIRECTOR OF HUMAN RESOURCES/ PERSONNEL ADMIN	00150A	1.0	164,840	1.0	168,961
FLEET OPERATIONS OFFICER	00130A	1.0	83,443	1.0	85,528
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00328A	1.0	72,743	1.0	74,482
GROUNDS SUPERINTENDENT	00317A	1.0	56,639	1.0	58,055
HUMAN RESOURCE PROGRAM ADMINISTRATOR	00139A	7.0	749,931	7.0	785,302
HUMAN RESOURCES ANALYST I	00126A	14.0	882,142	14.0	929,948
HUMAN RESOURCES ANALYST II (GENERAL)	00129A	3.0	212,413	3.0	220,173
HUMAN RESOURCES ANALYST III (CLASS & ORGANIZ ANALYSIS)	00133A	1.0	91,793	1.0	94,033
HUMAN RESOURCES ANALYST III (GENERAL)	00133A	5.0	409,813	5.0	432,044
HUMAN RESOURCES ANALYST III (GENERAL)	00135A	1.0	96,485	1.0	98,897
HUMAN RESOURCES BUSINESS PARTNER	00135A	10.0	935,875	10.0	976,331

Personnel

Agency: Department of Administration

Internal Service Programs

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
HUMAN RESOURCES COORDINATOR	00135A	3.0	286,847	3.0	294,005
HUMAN RESOURCES TECHNICIAN	00122A	23.0	1,246,146	23.0	1,301,915
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	111,014	1.0	113,789
INFORMATION SYSTEMS GROUP COORDINATOR (OIP)	00138A	2.0	203,397	2.0	209,330
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00143A	24.0	3,142,993	26.0	3,489,876
INTERDEPARTMENTAL PROJECT MANAGER	00139A	6.0	628,666	7.0	758,433
INTERNET COMMUNICATIONS SPECIALIST	0AB28A	1.0	63,296	1.0	67,191
JANITOR	00309A	6.0	240,656	8.0	324,555
JUNIOR COMPUTER OPERATOR (OIP)	00313A	1.0	50,373	1.0	51,633
LABORER SUPERVISOR	00313G	1.0	49,269	1.0	50,501
LABOR RELATIONS HEARING OFFICER	00135A	1.0	86,230	1.0	92,682
LICENSED STEAMFITTER	00315G	1.0	52,530	1.0	53,843
MAINTENANCE SUPERINTENDENT	00322A	3.0	176,136	3.0	184,941
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	00326A	3.0	207,177	3.0	215,198
PLUMBER	00316G	2.0	104,539	2.0	107,152
PLUMBER SUPERVISOR	00320G	1.0	67,214	1.0	68,895
POWER PLANT OPERATOR	03118A	6.0	311,622	6.0	296,695
PRINCIPAL COMPUTER OPERATOR (OIP)	00324A	1.0	59,770	1.0	61,265
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	00328A	1.0	76,517	1.0	78,430
PRINCIPAL ENVIRONMENTAL PLANNER	00329A	1.0	88,664	1.0	90,833
PRINCIPAL JANITOR	00315A	3.0	142,554	3.0	146,120
PRINCIPAL PROPERTY MANAGEMENT OFFICER	00132A	1.0	80,886	1.0	84,058
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	00A28A	1.0	89,930	1.0	92,116
PROGRAMMER/ANALYST I (COBOL/CICS)	00328A	2.0	168,071	2.0	172,157
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	00332A	2.0	167,879	2.0	174,698
PROGRAMMER/ANALYST II (COBOL/CICS)	00332A	1.0	80,829	1.0	82,849
PROGRAMMER/ANALYST III (COBOL)	00835A	1.0	82,705	1.0	87,869
PROGRAMMER/ANALYST III (COBOL/CICS)	00335A	1.0	107,572	1.0	110,222
PROGRAMMER/ANALYST III (COBOL/CICS)	00835A	4.0	394,317	4.0	404,104
PROGRAMMER/ANALYST III (ORACLE)	00835A	1.0	82,705	1.0	87,869
PROGRAMMER/ANALYST III (ORACLE)	02835A	1.0	89,384	1.0	94,416
PROGRAMMER/ANALYST III (UNIX/SQL)	00835A	2.0	184,226	2.0	188,832
PROGRAMMER/ANALYST III (UNIX/SQL)	0AB35A	1.0	108,049	1.0	110,697
PROGRAMMER/ANALYST II (ORACLE)	00032A	1.0	95,635	1.0	97,998

Personnel

Agency: Department of Administration

Internal Service Programs

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
PROGRAMMER/ANALYST II (ORACLE)	00132A	3.0	261,067	3.0	267,525
PROGRAMMER/ANALYST II (ORACLE)	00332A	1.0	97,292	1.0	99,666
PROGRAMMER/ANALYST II (UNIX/SQL)	00132A	1.0	97,925	1.0	100,334
PROGRAMMER/ANALYST II (UNIX/SQL)	00135A	1.0	107,971	1.0	110,671
PROGRAMMER/ANALYST II (UNIX/SQL)	00332A	11.0	984,523	11.0	1,011,679
PROGRAMMER/ANALYST I (ORACLE)	00328A	1.0	69,561	1.0	71,300
PROGRAMMER/ANALYST I (UNIX/SQL)	00328A	5.0	353,475	5.0	363,630
PROGRAMMER/ANALYST MANAGER	00138A	9.0	1,018,398	9.0	1,043,542
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,927	1.0	80,900
PROJECT MANAGER I (DOA)	00137A	4.0	377,997	5.0	491,114
RECRUITMENT SPECIALIST	00137A	1.0	98,718	1.0	101,186
RISK MANAGEMENT COORDINATOR	00135A	0.9	87,591	0.9	93,047
RISK MANAGER-INSURANCE	00137A	1.0	113,422	1.0	118,893
SENIOR COMPUTER OPERATOR (OIP)	00322A	3.0	165,992	3.0	173,799
SENIOR INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	00148A	3.0	490,126	4.0	664,734
SENIOR JANITOR	00312A	2.0	90,120	2.0	93,033
SENIOR LEGAL COUNSEL	00134A	1.0	107,140	1.0	109,743
SENIOR MAINTENANCE TECHNICIAN	00314G	14.0	501,802	14.0	514,349
SENIOR MAINTENANCE TECHNICIAN	03114G	1.0	57,923	1.0	57,923
SENIOR PLANNER	00126A	0.0	0	1.0	59,972
SENIOR SYSTEMS ANALYST	00126A	1.0	67,892	1.0	69,590
STATE BUILDING AND GROUNDS COORDINATOR	00132A	8.0	676,426	8.0	696,098
STATE FLEET ADMINISTRATOR	00139A	1.0	105,629	1.0	108,270
SUPERVISING ACCOUNTANT	00131A	2.0	151,367	2.0	157,948
SUPERVISOR CENTRAL MAIL SERVICES	00316A	1.0	45,964	1.0	47,113
SUPERVISOR COMPUTER OPERATIONS	00328A	3.0	227,347	3.0	232,999
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	00136A	1.0	110,521	1.0	113,261
SUPERVISOR OF PAINTING PLASTERING MASONRY AND GLAZING	00323A	1.0	76,724	1.0	78,455
SYSTEMS ADMINISTRATOR (BHDDH)	00139A	1.0	105,629	1.0	108,270
SYSTEMS SUPPORT TECHNICIAN II	00321A	1.0	63,411	1.0	64,949
SYSTEMS SUPPORT TECHNICIAN III	00324A	3.0	190,728	3.0	199,270
TECHNICAL SUPPORT MANAGER (DOS/MVS)	00138A	1.0	102,112	1.0	104,665
TECHNICAL SUPPORT MANAGER (UNIX/ NETWORKS/TELECOMMUNIC.)	00138A	8.0	896,644	8.0	918,787
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	00332A	3.0	256,317	3.0	265,206

Personnel

Agency: Department of Administration

Internal Service Programs

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	00135A	2.0	192,970	2.0	197,794
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	00135A	2.0	201,034	2.0	206,032
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00135A	12.0	1,108,074	12.0	1,145,799
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	00335A	1.0	107,650	1.0	110,300
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0AB35A	1.0	110,759	1.0	113,407
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00332A	1.0	88,912	1.0	91,134
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	00A32A	1.0	104,393	1.0	106,946
TECHNICAL SUPPORT SPECIALIST II (TELECOMMUNICATIONS)	00332A	3.0	236,620	3.0	245,565
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00032A	1.0	99,351	1.0	101,766
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00132A	2.0	172,216	2.0	176,522
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00332A	13.0	1,115,801	13.0	1,147,517
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	00832A	2.0	166,082	2.0	172,739
TECHNICAL SUPPORT SPECIALIST I (OS 400/ NETWORK)	00328A	1.0	73,039	1.0	74,865
TECHNICAL SUPPORT SPECIALIST I (TELECOMMUNICATIONS)	00328A	1.0	79,098	1.0	81,011
TECHNICAL SUPPORT SPECIALIST I (UNIX/ NETWORKS)	00328A	9.0	626,680	9.0	647,739
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR I	03121A	2.0	97,864	2.0	93,461
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR II	03124A	1.0	66,067	2.0	112,502
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	0.6	0	(3.4)	0
Subtotal Classified		415.5	35,576,904	422.5	37,798,271
Unclassified					
ADMINISTRATIVE ASSISTANT	00825A	1.0	67,565	1.0	69,254
ASSISTANT BUILDING & GROUNDS OFFICER	0J322A	2.0	105,622	2.0	111,746
ASSISTANT DIRECTOR OF ADMINISTRATION	00844A	0.0	0	1.0	138,214
CHIEF DIGITAL OFFICER	00856A	1.0	232,771	1.0	238,591
DATA PROCESSING SYSTEMS MANAGER	00836A	1.0	117,712	1.0	120,653
DEPUTY DIRECTOR	00838A	1.0	116,843	1.0	119,764

Personnel

Agency: Department of Administration

Internal Service Programs

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	6.0	640,513	7.0	798,222
Subtotal	421.5	36,217,417	429.5	38,596,493
Transfer Out		(659,135)		(685,623)
Transfer In		552,540		571,128
Salaries Adjustment		48,635		48,635
Overtime		1,276,114		1,275,109
Turnover		(435,755)		(451,314)
FY 2021 Retro COLA Payment		785,452		0
Total Salaries		37,785,268		39,354,428
Benefits				
Contract Stipends		261,750		266,250
FICA		3,251,196		3,370,461
Health Benefits		5,690,424		6,142,662
Holiday		1,903		0
Other		6,000,000		6,000,000
Payroll Accrual		213,174		3,761
Retiree Health		1,947,780		1,723,048
Retirement		10,588,779		11,036,017
Subtotal		27,955,006		28,542,199
Total Salaries and Benefits	421.5	65,740,274	429.5	67,896,627
Cost Per FTE Position		155,967		158,083
Statewide Benefit Assessment		1,457,300		1,514,635
Payroll Costs	421.5	67,197,574	429.5	69,411,262
Purchased Services				
Buildings and Ground Maintenance		2,952,500		2,952,500
Clerical and Temporary Services		75,000		75,000
Design and Engineering Services		35,000		35,000
Information Technology		3,356,748		3,391,612
Legal Services		234,458		234,458
Management & Consultant Services		5,566,302		5,761,553
Other Contracts		1,500,176		1,730,776
Training and Educational Services		7,000		7,000
Subtotal		13,727,184		14,187,899
Total Personnel	421.5	80,924,758	429.5	83,599,161

Personnel

Agency: Department of Administration

Internal Service Programs

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Other Funds	421.5	80,924,758	429.5	83,599,161
Total All Funds	421.5	80,924,758	429.5	83,599,161

Program Summary

Agency: Department of Administration

Legal Services

Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch. To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner. To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner. To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request. To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services. To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact. To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

Budget

Agency: Department of Administration

Legal Services

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Legal Services	2,148,844	2,869,182	2,262,149	2,393,749	2,374,193
Total Expenditures	2,148,844	2,869,182	2,262,149	2,393,749	2,374,193
Expenditures by Object					
Salary and Benefits	1,923,217	1,725,480	2,085,599	2,212,099	2,177,944
Contract Professional Services	145,494	257,031	200	200	200
Operating Supplies and Expenses	79,996	886,672	173,875	173,975	188,574
Subtotal: Operating	2,148,707	2,869,182	2,259,674	2,386,274	2,366,718
Capital Purchases and Equipment	136	0	2,475	7,475	7,475
Subtotal: Other	136	0	2,475	7,475	7,475
Total Expenditures	2,148,844	2,869,182	2,262,149	2,393,749	2,374,193
Expenditures by Source of Funds					
General Revenue	2,008,823	2,122,205	2,262,149	2,393,749	2,374,193
Federal Funds	140,020	746,978	0	0	0
Total Expenditures	2,148,844	2,869,182	2,262,149	2,393,749	2,374,193

Personnel

Agency: Department of Administration

Legal Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	1.0	137,927	1.0	141,375
ADMINISTRATOR ADJUDICATION	00143A	1.0	137,945	1.0	147,309
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	00141A	1.0	122,213	1.0	125,268
CHF LEGAL CONSL LITIGATION(DOA	00142A	1.0	121,774	1.0	124,818
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	00142A	1.0	121,774	1.0	124,818
CHIEF OF LEGAL SERVICES	00139A	1.0	110,910	1.0	113,683
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	95,933	1.0	101,186
IMPLEMENTATION AIDE	00122A	2.0	110,766	2.0	113,534
LEGAL COUNSEL	00132A	0.6	94,309	0.6	96,667
LEGAL COUNSEL	00134A	1.0	107,140	1.0	109,743
PROGRAMMING SERVICES OFFICER	00131A	1.0	77,212	1.0	80,900
SENIOR LEGAL COUNSEL	00134A	2.0	161,660	2.0	172,404
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(1.7)	0	(1.6)	0
Subtotal Classified		11.9	1,399,563	12.0	1,451,705
Subtotal		11.9	1,399,563	12.0	1,451,705
Transfer Out			(41,142)		(44,038)
Turnover			(1,899)		(41,179)
FY 2021 Retro COLA Payment			26,710		0
Total Salaries			1,383,232		1,366,488
Benefits					
FICA			105,702		104,537
Health Benefits			186,687		195,383
Payroll Accrual			7,914		0
Retiree Health			73,090		61,218
Retirement			400,670		396,344
Subtotal			774,063		757,482
Total Salaries and Benefits		11.9	2,157,295	12.0	2,123,970
Cost Per FTE Position			181,285		176,998
Statewide Benefit Assessment			54,804		53,974
Payroll Costs		11.9	2,212,099	12.0	2,177,944
Purchased Services					
Clerical and Temporary Services			200		200
Subtotal			200		200

Personnel

Agency: Department of Administration

Legal Services

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Personnel	11.9	2,212,299	12.0	2,178,144
Distribution by Source of Funds				
General Revenue	11.9	2,212,299	12.0	2,178,144
Total All Funds	11.9	2,212,299	12.0	2,178,144

Program Summary

Agency: Department of Administration

Information Technology

Mission

To enable State Government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever-changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens. The duties of ETSS are to:

- Manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. The office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications.
- Manage the office of digital excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices.
- To oversee the chief of library services and the office of library and information services to ensure that this office fulfills its statutory duties in an effective manner.
- Coordinate efforts with the director of administration in order to plan, allocate and implement projects supported by the information technology investment fund.
- Supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state. Any patents applied for shall be in the name of the state.

Description

ETSS includes ODE and OLIS. Within ETSS there is a Chief Digital Officer in the unclassified service who oversees and manages the division and is appointed by the Director of Administration. The Chief Digital Officer supervises the state's Chief Information Officer, Chief Technology Officer, Chief Information Security Officer, the Directors of Information Technology and all associated employees. The focus of ETSS is to lead the strategic technology decisions and efforts across all executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. R.I. General Laws § 42-11-2.5 Information technology investment fund established. RIGL § 42-11-2.6 Establishment of Office of Digital Excellence. RIGL § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly. In the FY 2018 revised recommendation, the majority of the information technology program is shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Agency: Department of Administration

Information Technology

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Doit Operations	771,892	1,184,139	721,340	721,340	721,340
Executive Director-CIO	3,775,350	6,376,820	0	2,044	0
Information Technology	8,888,342	5,800,117	9,625,165	59,005,753	40,449,160
Total Expenditures	13,435,584	13,361,077	10,346,505	59,729,137	41,170,500
Expenditures by Object					
Salary and Benefits	448,289	82,360	0	2,058	0
Contract Professional Services	7,021,380	4,221,696	0	0	0
Operating Supplies and Expenses	3,077,331	4,696,525	1,021,340	1,021,340	1,021,340
Subtotal: Operating	10,547,000	9,000,582	1,021,340	1,023,398	1,021,340
Capital Purchases and Equipment	2,653,084	4,117,496	9,325,165	58,705,739	40,149,160
Operating Transfers	235,500	243,000	0	0	0
Subtotal: Other	2,888,584	4,360,495	9,325,165	58,705,739	40,149,160
Total Expenditures	13,435,584	13,361,077	10,346,505	59,729,137	41,170,500
Expenditures by Source of Funds					
General Revenue	771,892	1,520,406	721,340	50,723,384	721,340
Federal Funds	3,889,350	6,040,553	0	0	0
Restricted Receipts	8,774,342	5,800,117	9,625,165	9,005,753	40,449,160
Total Expenditures	13,435,584	13,361,077	10,346,505	59,729,137	41,170,500

Personnel

Agency: Department of Administration

Information Technology

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Overtime		2,044		0
FY 2021 Retro COLA Payment		10		0
Total Salaries		2,054		0
Benefits				
FICA		1		0
Retirement		3		0
Subtotal		4		0
Total Salaries and Benefits	0.0	2,058	0.0	0
Cost Per FTE Position		0		
Payroll Costs	0.0	2,058	0.0	0
Total Personnel	0.0	2,058	0.0	0
Distribution by Source of Funds				
General Revenue	0.0	2,044	0.0	0
Restricted Receipts	0.0	14	0.0	0
Total All Funds	0.0	2,058	0.0	0

Program Summary

Agency: Department of Administration

Library and Information Services

Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery. Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board. Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities. Maintain and develop the Library of Rhode Island Network. Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act. Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service. Provide for statewide reference service through online services of "AskRI". Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

R.I. General Laws § 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

Budget

Agency: Department of Administration

Library and Information Services

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Library Services	2,559,957	2,613,863	5,444,464	6,038,521	3,891,709
Total Expenditures	2,559,957	2,613,863	5,444,464	6,038,521	3,891,709
Expenditures by Object					
Salary and Benefits	1,415,088	1,306,369	1,623,528	1,701,629	1,730,072
Contract Professional Services	36,169	9,575	28,000	28,000	28,000
Operating Supplies and Expenses	1,007,123	1,082,435	1,282,353	1,298,309	1,353,387
Assistance and Grants	101,577	210,212	2,505,333	3,005,333	775,000
Subtotal: Operating	2,559,957	2,608,591	5,439,214	6,033,271	3,886,459
Capital Purchases and Equipment	0	5,272	5,250	5,250	5,250
Subtotal: Other	0	5,272	5,250	5,250	5,250
Total Expenditures	2,559,957	2,613,863	5,444,464	6,038,521	3,891,709
Expenditures by Source of Funds					
General Revenue	1,455,723	1,608,809	1,640,558	1,714,225	1,796,514
Federal Funds	1,104,234	1,005,054	3,796,916	4,317,306	2,088,205
Restricted Receipts	0	0	6,990	6,990	6,990
Total Expenditures	2,559,957	2,613,863	5,444,464	6,038,521	3,891,709

Personnel

Agency: Department of Administration

Library and Information Services

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Classified					
CHIEF OF LIBRARY SERVICES	00143A	1.0	139,870	1.0	143,366
IMPLEMENTATION AIDE	0AB22A	1.0	55,517	1.0	56,905
INFORMATION SERVICES TECHNICIAN II	0AB20A	3.0	168,241	3.0	172,445
LIBRARY PROGRAM MANAGER I	00137A	2.0	186,382	2.0	195,366
LIBRARY PROGRAM SPECIALIST II	0AB28A	3.0	207,708	3.0	216,228
LIBRARY PROGRAM SPECIALIST III	0AB32A	3.0	279,886	3.0	286,766
Subtotal Classified		13.0	1,037,604	13.0	1,071,076
Subtotal		13.0	1,037,604	13.0	1,071,076
Overtime			6		0
Turnover			(20,400)		(9,225)
FY 2021 Retro COLA Payment			20,117		0
Total Salaries			1,037,327		1,061,851
Benefits					
Contract Stipends			15,000		15,000
FICA			80,433		82,381
Health Benefits			160,997		168,400
Payroll Accrual			6,020		0
Retiree Health			55,602		48,246
Retirement			304,569		311,659
Subtotal			622,621		625,686
Total Salaries and Benefits		13.0	1,659,948	13.0	1,687,537
Cost Per FTE Position			127,688		129,811
Statewide Benefit Assessment			41,681		42,535
Payroll Costs		13.0	1,701,629	13.0	1,730,072
Purchased Services					
Training and Educational Services			28,000		28,000
Subtotal			28,000		28,000
Total Personnel		13.0	1,729,629	13.0	1,758,072
Distribution by Source of Funds					
General Revenue		13.0	1,021,995	13.0	1,044,310
Federal Funds		0.0	707,634	0.0	713,762
Total All Funds		13.0	1,729,629	13.0	1,758,072

Program Summary

Agency: Department of Administration

Planning

Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board. Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding. Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration. The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty-nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I. General Laws § 42-11 includes provisions relative to the division of planning. Other legal references are provided in RIGL § 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46. The FY 2018 revised recommendation shifts the Water Resource Board to the Division of Public Utilities and Carriers.

Budget

Agency: Department of Administration

Planning

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Housing and Comm. Development	0	0	15,448	15,448	0
Statewide Planning	5,128,532	4,893,843	5,905,661	6,560,568	6,613,080
Total Expenditures	5,128,532	4,893,843	5,921,109	6,576,016	6,613,080
Expenditures by Object					
Salary and Benefits	2,408,695	2,659,911	2,975,274	3,539,357	3,664,423
Contract Professional Services	85,626	78,435	95,500	95,500	101,989
Operating Supplies and Expenses	871,520	672,988	259,372	340,659	323,118
Assistance and Grants	1,693,285	1,474,514	2,492,252	2,565,000	2,488,050
Subtotal: Operating	5,059,126	4,885,849	5,822,398	6,540,516	6,577,580
Capital Purchases and Equipment	69,406	7,995	98,711	35,500	35,500
Subtotal: Other	69,406	7,995	98,711	35,500	35,500
Total Expenditures	5,128,532	4,893,843	5,921,109	6,576,016	6,613,080
Expenditures by Source of Funds					
General Revenue	1,055,359	754,299	663,930	702,523	840,855
Federal Funds	121,735	29,809	22,700	95,448	3,050
Operating Transfers from Other Funds	3,951,438	4,109,735	5,234,479	5,778,045	5,769,175
Total Expenditures	5,128,532	4,893,843	5,921,109	6,576,016	6,613,080

Personnel

Agency: Department of Administration

Planning

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	91,769	1.0	98,332
ASSISTANT CHIEF OF PLANNING	00137A	2.0	197,438	2.0	202,370
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	00147A	1.0	156,120	1.0	160,023
CHIEF IMPLEMENTATION AIDE	00128A	2.0	140,206	2.0	149,674
DATA ANALYST I	00134A	1.0	100,717	1.0	103,152
DATA ANALYST II	00138A	1.0	102,515	1.0	108,921
FISCAL MANAGEMENT OFFICER	00B26A	1.0	71,632	1.0	73,423
INTERNET COMMUNICATIONS SPECIALIST	0AB28A	1.0	81,411	1.0	87,088
PRINCIPAL PLANNER	00329A	1.0	65,248	1.0	69,174
PRINCIPAL PLANNER	00829A	7.0	506,720	7.0	528,137
PRINCIPAL RESEARCH TECHNICIAN	00827A	1.0	67,565	1.0	69,254
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,303	1.0	80,900
STAFF DIRECTOR (WATER RESOURCES BOARD)	00137A	1.0	112,696	1.0	115,411
SUPERVISING GEOGRAPHIC INFORMATION SYSTEM SPECIALIST	00032A	1.0	73,846	1.0	78,461
SUPERVISING PLANNER	00831A	3.0	249,097	3.0	255,225
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(3.2)	0	(3.0)	0
Subtotal Classified		21.8	2,095,283	22.0	2,179,545
Subtotal		21.8	2,095,283	22.0	2,179,545
Transfer In			19,774		20,257
Overtime			5,253		5,385
Turnover			(45,000)		(7,175)
FY 2021 Retro COLA Payment			40,345		0
Total Salaries			2,115,655		2,198,012
Benefits					
Contract Stipends			21,300		21,300
FICA			162,326		168,864
Health Benefits			413,426		448,571
Payroll Accrual			12,202		0
Retiree Health			112,630		99,180
Retirement			617,381		641,040
Subtotal			1,339,265		1,378,955
Total Salaries and Benefits		21.8	3,454,920	22.0	3,576,967
Cost Per FTE Position			158,483		162,589

Personnel

Agency: Department of Administration

Planning

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		84,437		87,456
Payroll Costs	21.8	3,539,357	22.0	3,664,423
Purchased Services				
Design and Engineering Services		61,500		67,989
Management & Consultant Services		34,000		34,000
Subtotal		95,500		101,989
Total Personnel	21.8	3,634,857	22.0	3,766,412
Distribution by Source of Funds				
General Revenue	21.8	575,153	22.0	656,372
Operating Transfers from Other Funds	0.0	3,059,704	0.0	3,110,040
Total All Funds	21.8	3,634,857	22.0	3,766,412

Program Summary

Agency: Department of Administration

Statewide Personnel and Operations

Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2023 Appropriations Act.

Budget

Agency: Department of Administration

Statewide Personnel and Operations

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Reduction in Force Savings	(2,000,000)	0	0	0	0
Undistributed Statewide Adjustments	0	4,602,414	0	115,060	15,350,000
Total Expenditures	(2,000,000)	4,602,414	0	115,060	15,350,000
Expenditures by Object					
Salary and Benefits	(2,000,000)	4,602,414	0	115,060	0
Operating Supplies and Expenses	0	0	0	0	15,350,000
Subtotal: Operating	(2,000,000)	4,602,414	0	115,060	15,350,000
Total Expenditures	(2,000,000)	4,602,414	0	115,060	15,350,000
Expenditures by Source of Funds					
General Revenue	(2,000,000)	4,602,414	0	115,060	15,350,000
Total Expenditures	(2,000,000)	4,602,414	0	115,060	15,350,000

Personnel

Agency: Department of Administration

Statewide Personnel and Operations

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Overtime		60,816		0
FY 2021 Retro COLA Payment		35,060		0
Total Salaries		95,876		0
Benefits				
FICA		7,329		0
Retiree Health		1,843		0
Retirement		10,012		0
Subtotal		19,184		0
Total Salaries and Benefits	0.0	115,060	0.0	0
Cost Per FTE Position		0		
Payroll Costs	0.0	115,060	0.0	0
Total Personnel	0.0	115,060	0.0	0
Distribution by Source of Funds				
General Revenue	0.0	115,060	0.0	0
Total All Funds	0.0	115,060	0.0	0

Program Summary

Agency: Department of Administration

Energy Resources

Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals. To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation. To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data. To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission. To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner. To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups.

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I. General Laws § 23-82-6, § 39-1-27.7 through § 10, and § 42-140.1.

Budget

Agency: Department of Administration

Energy Resources

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Energy Resources	16,241,080	7,271,339	9,552,650	17,289,861	26,061,950
Total Expenditures	16,241,080	7,271,339	9,552,650	17,289,861	26,061,950
Expenditures by Object					
Salary and Benefits	1,409,616	1,438,097	1,534,460	2,010,921	2,157,688
Contract Professional Services	209,003	579,595	842,260	1,245,498	1,072,374
Operating Supplies and Expenses	1,338,542	1,598,691	2,346,938	3,314,318	2,752,011
Assistance and Grants	12,734,800	3,426,689	4,801,409	10,691,541	20,052,294
Subtotal: Operating	15,691,960	7,043,072	9,525,067	17,262,278	26,034,367
Capital Purchases and Equipment	549,120	228,266	27,583	27,583	27,583
Subtotal: Other	549,120	228,266	27,583	27,583	27,583
Total Expenditures	16,241,080	7,271,339	9,552,650	17,289,861	26,061,950
Expenditures by Source of Funds					
Federal Funds	994,962	1,052,547	761,478	1,517,179	5,882,291
Restricted Receipts	15,246,118	6,218,792	8,791,172	15,772,682	20,179,659
Total Expenditures	16,241,080	7,271,339	9,552,650	17,289,861	26,061,950

Personnel

Agency: Department of Administration

Energy Resources

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	115,994	1.0	118,894
ADMINISTRATOR OF ENERGY PROGRAMS	00137A	3.0	300,933	3.0	308,338
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	55,436	1.0	84,456
CHIEF PUBLIC AFFAIRS OFFICER	00137A	1.0	65,093	1.0	94,064
DEPUTY COMMISSIONER- OFFICE OF ENERGY RESOURCES	00145A	1.0	150,206	1.0	153,826
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	105,630	1.0	108,269
PROGRAMMING SERVICES OFFICER	00131A	6.0	418,745	6.0	459,614
Subtotal Classified		14.0	1,212,037	14.0	1,327,461
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	00743A	1.0	126,292	1.0	135,054
Subtotal Unclassified		1.0	126,292	1.0	135,054
Subtotal		15.0	1,338,329	15.0	1,462,515
Transfer Out			(156,143)		(159,987)
Transfer In			41,142		44,038
Overtime			16		0
Seasonal/Special Salaries/Wages			44,934		46,058
FY 2021 Retro COLA Payment			22,033		0
Total Salaries			1,290,311		1,392,624
Benefits					
FICA			98,646		106,414
Health Benefits			136,061		153,762
Holiday			2		0
Payroll Accrual			7,123		0
Retiree Health			65,902		60,325
Retirement			361,681		389,552
Subtotal			669,415		710,053
Total Salaries and Benefits		15.0	1,959,726	15.0	2,102,677
Cost Per FTE Position			130,648		140,178
Statewide Benefit Assessment			51,195		55,011
Payroll Costs		15.0	2,010,921	15.0	2,157,688
Purchased Services					
Clerical and Temporary Services			454,135		429,181
Management & Consultant Services			182,020		132,020

Personnel

Agency: Department of Administration

Energy Resources

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Other Contracts		589,343		491,173
Training and Educational Services		20,000		20,000
Subtotal		1,245,498		1,072,374
Total Personnel	15.0	3,256,419	15.0	3,230,062
Distribution by Source of Funds				
Federal Funds	2.0	809,076	2.0	476,490
Restricted Receipts	13.0	2,447,343	13.0	2,753,572
Total All Funds	15.0	3,256,419	15.0	3,230,062

Program Summary

Agency: Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Mission

The Rhode Island Health Benefits Exchange, branded HealthSource RI, serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by HSRI to best exemplify the goals and values of the organization:

1. HSRI will be a place to compare and buy health insurance.
2. HSRI will work closely with small employers to provide new and beneficial health insurance options.
3. HSRI will be a reliable and trusted source of healthcare information for all Rhode Islanders.

Statutory History

The federal Patient Protection and Affordable Care Act of 2010 (the “ACA”) provides for the establishment of a health benefits exchange by each state. Governor Lincoln D. Chaffee established the Rhode Island Health Benefits exchange by Executive Order 11-09 in 2011 R.I. General Laws § 42-157 codified the Rhode Island Health Benefits Exchange into state law in 2015.

Budget

Agency: Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	8,827,226	12,074,723	24,902,490	24,894,886	30,687,820
Total Expenditures	8,827,226	12,074,723	24,902,490	24,894,886	30,687,820
Expenditures by Object					
Salary and Benefits	1,579,422	1,937,047	2,479,129	2,270,575	2,401,318
Contract Professional Services	6,665,125	9,359,025	16,688,003	10,348,731	8,960,968
Operating Supplies and Expenses	579,941	760,174	468,561	857,853	925,530
Assistance and Grants	0	0	5,239,671	11,382,558	18,372,878
Subtotal: Operating	8,824,488	12,056,245	24,875,364	24,859,717	30,660,694
Capital Purchases and Equipment	2,738	18,478	27,126	35,169	27,126
Subtotal: Other	2,738	18,478	27,126	35,169	27,126
Total Expenditures	8,827,226	12,074,723	24,902,490	24,894,886	30,687,820
Expenditures by Source of Funds					
General Revenue	841,710	1,300,147	2,820,336	2,821,772	2,820,336
Federal Funds	(0)	359,360	5,239,671	6,943,312	12,857,190
Restricted Receipts	7,985,516	10,415,216	16,842,483	15,129,802	15,010,294
Total Expenditures	8,827,226	12,074,723	24,902,490	24,894,886	30,687,820

Personnel

Agency: Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	2.0	177,216	2.0	181,646
CHIEF OF LEGAL SERVICES	00139A	1.0	97,871	1.0	104,638
CHIEF PUBLIC AFFAIRS OFFICER	00137A	1.0	97,723	1.0	101,186
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	200,806	2.0	209,429
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	88,608	1.0	90,822
VALUE BASED PURCHASING ANALYST	00139A	1.0	105,630	1.0	108,270
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(1.8)	0	(1.7)	0
Subtotal Classified		6.2	767,854	6.3	795,991
Unclassified					
ADMINISTRATIVE ASSISTANT	05325A	1.0	60,893	1.0	64,548
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00843A	1.0	138,262	1.0	141,720
DEPUTY DIRECTOR OF HEALTHSOURCE RI	00845A	1.0	140,129	1.0	149,137
DIRECTOR HEALTHSOURCE RI	00851A	1.0	176,239	1.0	185,960
DIRECTOR OF FINANCE/ASSOCIATE ADMINISTRATOR	00844A	1.0	140,337	1.0	147,246
SENIOR POLICY ANALYST	00839A	1.0	106,717	1.0	114,891
Subtotal Unclassified		6.0	762,577	6.0	803,502
Subtotal		12.2	1,530,431	12.3	1,599,493
Transfer Out			(346,604)		(361,685)
Transfer In			255,011		275,415
Overtime			3		0
Turnover			(43,470)		0
FY 2021 Retro COLA Payment			32,978		0
Total Salaries			1,428,349		1,513,223
Benefits					
Contract Stipends			2,571		2,571
FICA			106,964		113,691
Health Benefits			180,231		205,312
Payroll Accrual			8,154		0
Retiree Health			75,274		67,907
Retirement			412,581		438,742
Subtotal			785,775		828,223

Personnel

Agency: Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	12.2	2,214,124	12.3	2,341,446
Cost Per FTE Position		181,486		190,361
Statewide Benefit Assessment		56,451		59,872
Payroll Costs	12.2	2,270,575	12.3	2,401,318
Purchased Services				
Information Technology		5,197,728		4,822,740
Legal Services		1,478		1,478
Management & Consultant Services		4,659,366		4,135,863
Other Contracts		490,159		887
Subtotal		10,348,731		8,960,968
Total Personnel	12.2	12,619,306	12.3	11,362,286
Distribution by Source of Funds				
General Revenue	0.0	2,821,772	0.0	2,820,336
Federal Funds	0.0	1,201,115	0.0	125,618
Restricted Receipts	12.2	8,596,419	12.3	8,416,332
Total All Funds	12.2	12,619,306	12.3	11,362,286

Program Summary

Agency: Department of Administration

The Office of Diversity, Equity and Opportunity

Mission

The mission of the Office of Diversity, Equity and Opportunity is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island. The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities. The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services. The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Description

The Office of Diversity, Equity and Opportunity includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Office of Diversity, Equity and Opportunity works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals. The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL § 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

Budget

Agency: Department of Administration

The Office of Diversity, Equity and Opportunity

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	1,275,100	933,796	1,358,591	1,440,543	1,628,413
Total Expenditures	1,275,100	933,796	1,358,591	1,440,543	1,628,413
Expenditures by Object					
Salary and Benefits	1,141,543	877,431	1,293,232	1,375,583	1,541,657
Contract Professional Services	320	0	0	0	0
Operating Supplies and Expenses	131,484	56,365	65,359	64,960	86,756
Subtotal: Operating	1,273,346	933,796	1,358,591	1,440,543	1,628,413
Capital Purchases and Equipment	1,754	0	0	0	0
Subtotal: Other	1,754	0	0	0	0
Total Expenditures	1,275,100	933,796	1,358,591	1,440,543	1,628,413
Expenditures by Source of Funds					
General Revenue	1,163,845	821,385	1,245,968	1,319,988	1,508,606
Other Funds	111,255	112,412	112,623	120,555	119,807
Total Expenditures	1,275,100	933,796	1,358,591	1,440,543	1,628,413

Personnel

Agency: Department of Administration

The Office of Diversity, Equity and Opportunity

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMS	00139A	1.0	113,743	1.0	120,896
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	00139A	1.0	112,568	1.0	115,341
ASSOCIATE DIRECTOR- DIVERSITY- EQUITY AND OPPORTUNITY	00142A	1.0	107,723	1.0	124,818
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,150	1.0	71,904
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	97,469	1.0	99,905
PROGRAMMING SERVICES OFFICER	00131A	5.0	446,299	5.0	466,926
Subtotal Classified		10.0	947,952	10.0	999,790
Subtotal		10.0	947,952	10.0	999,790
Turnover			(132,340)		(53,728)
FY 2021 Retro COLA Payment			13,627		0
Total Salaries			829,239		946,062
Benefits					
FICA			63,371		72,376
Health Benefits			166,242		175,350
Payroll Accrual			4,739		0
Retiree Health			43,813		42,384
Retirement			235,348		268,115
Subtotal			513,513		558,225
Total Salaries and Benefits		10.0	1,342,752	10.0	1,504,287
Cost Per FTE Position			134,275		150,429
Statewide Benefit Assessment			32,831		37,370
Payroll Costs		10.0	1,375,583	10.0	1,541,657
Total Personnel		10.0	1,375,583	10.0	1,541,657
Distribution by Source of Funds					
General Revenue		10.0	1,255,028	10.0	1,421,850
Other Funds		0.0	120,555	0.0	119,807
Total All Funds		10.0	1,375,583	10.0	1,541,657

Program Summary

Agency: Department of Administration

Capital Asset Management and Maintenance

Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served. The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

Description

The Division of Capital Asset Management & Maintenance oversees the following functions: Planning/Design/Construction; Facilities Management & Maintenance; and Risk Management. The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately. The new model creates an Office of Planning, Design and Construction (PDC)-previously referred to as Capital projects-that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies. The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio.
- Contracting: Extension, cancellation or execution of new/existing vendor contracts.
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets.
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed.
 - Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, etc.

Statutory History

In the FY 2018 revised budget, facilities management shifted to the internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act. Public Law 2019, ch. 88, art. 4, § 4 moved the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Agency: Department of Administration

Capital Asset Management and Maintenance

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Capital Asset Management And Maintenance Admin	28,586,694	13,314,544	4,564,313	10,466,945	414,846
Facilities Management And Maintenance	7,828,171	25,944,551	7,424,841	6,766,397	7,751,691
Planning, Design And Construction	1,613,643	1,726,863	1,729,732	1,676,726	1,643,778
Total Expenditures	38,028,508	40,985,958	13,718,886	18,910,068	9,810,315
Expenditures by Object					
Salary and Benefits	2,180,647	2,250,347	1,787,591	1,885,594	1,870,480
Contract Professional Services	1,071,270	8,163,071	2,120,115	2,120,115	19,412
Operating Supplies and Expenses	34,247,770	30,485,062	9,806,180	14,899,359	7,915,423
Assistance and Grants	0	7,000	0	0	0
Subtotal: Operating	37,499,687	40,905,480	13,713,886	18,905,068	9,805,315
Capital Purchases and Equipment	528,821	3,086	5,000	5,000	5,000
Operating Transfers	0	77,392	0	0	0
Subtotal: Other	528,821	80,478	5,000	5,000	5,000
Total Expenditures	38,028,508	40,985,958	13,718,886	18,910,068	9,810,315
Expenditures by Source of Funds					
General Revenue	35,527,930	28,559,133	9,354,998	8,833,528	9,810,315
Federal Funds	2,500,578	12,426,826	4,363,888	10,076,540	0
Total Expenditures	38,028,508	40,985,958	13,718,886	18,910,068	9,810,315

Personnel

Agency: Department of Administration

Capital Asset Management and Maintenance

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ARCHITECT BUILDING COMMISSION	00335A	2.0	189,167	2.0	193,790
ASST DIR STWIDE CAP ASSET PJ M	00152A	1.0	175,611	1.0	180,002
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	00143A	1.0	127,155	1.0	130,333
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	105,629	1.0	108,270
PROJECT MANAGER I (DOA)	00137A	4.0	386,279	4.0	399,312
PROJECT MANAGER II (DOA)	00139A	1.0	105,629	1.0	108,270
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	00000A	(1.4)	0	(1.3)	0
Subtotal Classified		8.6	1,089,470	8.7	1,119,977
Unclassified					
EXECUTIVE SECRETARY (STATE PROPERTIES COMMITTEE)	00321A	1.0	57,697	1.0	59,140
Subtotal Unclassified		1.0	57,697	1.0	59,140
Subtotal		9.6	1,147,167	9.7	1,179,117
Transfer Out			(17,309)		(17,742)
Overtime			37,777		38,291
FY 2021 Retro COLA Payment			35,658		0
Total Salaries			1,203,293		1,199,666
Benefits					
Contract Stipends			4,050		4,050
FICA			87,554		87,415
Health Benefits			136,758		143,014
Holiday			17		0
Payroll Accrual			6,617		0
Retiree Health			61,821		52,210
Retirement			339,079		338,089
Subtotal			635,896		624,778
Total Salaries and Benefits		9.6	1,839,189	9.7	1,824,444
Cost Per FTE Position			191,582		188,087
Statewide Benefit Assessment			46,405		46,036
Payroll Costs		9.6	1,885,594	9.7	1,870,480
Purchased Services					
Clerical and Temporary Services			15,412		15,412
Design and Engineering Services			1,000		1,000

Personnel

Agency: Department of Administration

Capital Asset Management and Maintenance

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Other Contracts		2,103,703		3,000
Subtotal		2,120,115		19,412
Total Personnel	9.6	4,005,709	9.7	1,889,892
Distribution by Source of Funds				
General Revenue	9.6	1,905,006	9.7	1,889,892
Federal Funds	0.0	2,100,703	0.0	0
Total All Funds	9.6	4,005,709	9.7	1,889,892

Agency Summary

Department of Business Regulation

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of seven divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Building, Design and Fire Professionals, Commercial Licensing and Gaming and Athletics Licensing, the Office of Cannabis Regulation, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and statutorily directs and oversees the Superintendent of Banking and Insurance, and Real Estate, Liquor Control, and Gaming and Athletics Administration.

The Department also houses the Office of the State Fire Marshal, the Office of the State Building Commissioner and numerous boards and commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, and the Certified Constables' Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws § 42-14-1, et seq

Budget

Department of Business Regulation

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	2,910,334	3,265,143	3,232,684	3,452,857	5,301,153
Banking Regulation	1,591,551	1,615,559	1,695,824	2,000,290	2,005,687
Securities Regulation	764,541	639,081	832,118	811,083	878,630
Insurance Regulation	5,432,057	5,434,217	6,186,021	6,253,238	6,460,978
Board of Accountancy	5,550	5,204	5,883	5,490	5,490
Commercial Licensing and Gaming and Athletics Licensing	1,693,977	1,797,900	1,943,356	2,076,298	2,082,482
Office of Health Insurance Commissioner	2,493,508	2,341,795	2,275,805	2,526,204	3,158,151
Division of Building, Design and Fire Professionals	7,861,073	8,487,513	8,795,911	9,618,027	12,985,181
Office of Cannabis Regulation	860,322	1,083,314	1,165,441	1,220,618	5,623,590
Total Expenditures	23,612,913	24,669,726	26,133,043	27,964,105	38,501,342
Expenditures by Object					
Salary And Benefits	17,788,971	18,330,237	19,274,467	20,521,825	22,493,286
Contract Professional Services	2,392,710	3,019,586	2,730,372	2,832,178	3,384,930
Operating Supplies And Expenses	2,834,659	3,068,746	3,856,949	4,279,065	9,208,139
Assistance And Grants	600	1,375	52,517	35,000	285,000
Subtotal: Operating	23,016,940	24,419,945	25,914,305	27,668,068	35,371,355
Capital Purchases And Equipment	523,973	249,601	218,738	296,037	3,129,987
Operating Transfers	72,000	180	0	0	0
Subtotal: Other	595,973	249,781	218,738	296,037	3,129,987
Total Expenditures	23,612,913	24,669,726	26,133,043	27,964,105	38,501,342
Expenditures by Source of Funds					
General Revenue	15,696,311	15,221,380	19,239,606	20,790,554	22,949,009
Federal Funds	2,180,159	3,869,737	306,000	498,339	3,191,150
Restricted Receipts	5,325,946	5,513,688	6,519,509	6,603,992	12,141,456
Operating Transfers From Other Funds	410,497	64,921	67,928	71,220	219,727
Total Expenditures	23,612,913	24,669,726	26,133,043	27,964,105	38,501,342
FTE Authorization	161.0	161.0	162.0	162.0	176.0

Personnel Agency Summary

Department of Business Regulation

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	142.0	10,267,244	156.0	11,845,440
Unclassified	20.0	1,903,944	20.0	1,958,369
Subtotal	162.0	12,171,188	176.0	13,803,809
Transfer Out		(57,016)		0
Overtime		361,973		156,803
Seasonal/Special Salaries/Wages		19,806		19,922
Turnover		0		(117,772)
FY 2021 Retro COLA Payment		283,549		0
Total Salaries		12,779,500		13,862,762
Benefits				
Contract Stipends		135,302		174,602
FICA		953,972		1,054,997
Health Benefits		1,824,977		2,204,865
Holiday		393		108
Payroll Accrual		71,065		0
Retiree Health		661,129		620,585
Retirement		3,629,579		4,058,896
Subtotal		7,276,417		8,114,053
Total Salaries and Benefits	162.0	20,055,917	176.0	21,976,815
Cost Per FTE Position		123,802		124,868
Statewide Benefit Assessment		465,908		516,471
Payroll Costs	162.0	20,521,825	176.0	22,493,286
Purchased Services				
Buildings and Ground Maintenance		1,500		1,500
Clerical and Temporary Services		7,648		6,500
Information Technology		47,700		47,700
Management & Consultant Services		2,286,530		2,304,530
Medical Services		10,650		5,650
Other Contracts		61,800		602,700
Training and Educational Services		416,350		416,350
Subtotal		2,832,178		3,384,930
Total Personnel	162.0	23,354,003	176.0	25,878,216
Distribution by Source of Funds				
General Revenue	133.0	17,555,888	135.0	17,767,810
Federal Funds	2.0	320,439	1.0	927,667
Restricted Receipts	27.0	5,406,456	40.0	7,113,012
Operating Transfers from Other Funds	0.0	71,220	0.0	69,727
Total All Funds	162.0	23,354,003	176.0	25,878,216

Performance Measures

Department of Business Regulation

Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target		-5	65	50	30	30
Actual		19.00	21.00	0.00	0.00	

OHIC- Small Group Market Average Premium Change

Average change in the small group market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all small group market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment which will significantly improve future performance data monitoring. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target		0.00%	7.50%	7.50%	3.50%	3.50%
Actual		5.90%	1.90%	0.00%	0.00%	

OHIC -- Individual Market Average Premium Change

Average change in the individual market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all individual market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment.

. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target		0.00%	8.00%	3.50%	3.50%	3.50%
Actual		-0.70%	6.10%	0.00%	0.00%	

Performance Measures

Department of Business Regulation

Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	140.00%	145.00%	70.00%	70.00%
Actual	64.39%	96.59%	0.00%	0.00%	

Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00	185.00	186.00	95.00	95.00
Actual	90.03	185.88	0.00	0.00	

Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00	180.00	180.00	90.00	90.00
Actual	88.40	179.50	0.00	0.00	

Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0	10	10	5	5
Actual	3.00	8.83	0.00	0.00	

Performance Measures

Department of Business Regulation

OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	40.00%	40.00%	20.00%	20.00%
Actual	17.51%	35.78%	18.41%	0.00%	

OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	50.00%	50.00%	0.00%	0.00%
Actual	50.00%	50.00%	0.00%	0.00%	

OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	8.00%	8.00%	4.00%	4.00%
Actual	9.10%	7.70%	5.40%	0.00%	

OHIC -- Individual Market Average Premium Change

Average change in the individual market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all individual market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment.

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	3.50%	3.50%	3.50%	3.50%
Actual	0.00%	4.00%	2.10%	0.00%	

Performance Measures

Department of Business Regulation

OHIC -- Small Group Market Average Premium Change

Average change in the small group market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all small group market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment.

	<i>Reporting Period: State Fiscal Year</i>					
	<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target		0.00%	3.50%	3.50%	3.50%	3.50%
Actual		0.00%	1.90%	0.30%	0.00%	

Program Summary

Agency: Department of Business Regulation

Central Management

Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I. General Laws § 42-14-1 establishes the Director as head of the department. RIGL § 42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

Budget

Agency: Department of Business Regulation

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	2,910,334	3,265,143	3,232,684	3,452,857	5,301,153
Total Expenditures	2,910,334	3,265,143	3,232,684	3,452,857	5,301,153
Expenditures by Object					
Salary and Benefits	1,551,081	1,589,315	1,639,981	1,728,359	1,719,835
Contract Professional Services	35,371	37,007	30,758	41,800	32,700
Operating Supplies and Expenses	1,309,231	1,634,077	1,559,908	1,680,661	3,546,581
Subtotal: Operating	2,895,683	3,260,399	3,230,647	3,450,820	5,299,116
Capital Purchases and Equipment	14,651	4,744	2,037	2,037	2,037
Subtotal: Other	14,651	4,744	2,037	2,037	2,037
Total Expenditures	2,910,334	3,265,143	3,232,684	3,452,857	5,301,153
Expenditures by Source of Funds					
General Revenue	2,623,307	2,915,094	3,232,684	3,452,857	3,801,190
Federal Funds	287,027	350,049	0	0	1,499,963
Total Expenditures	2,910,334	3,265,143	3,232,684	3,452,857	5,301,153

Personnel

Agency: Department of Business Regulation

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	116,393	1.0	119,303
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	116,394	1.0	119,303
DEPUTY CHIEF OF LEGAL SERVICES	00137A	3.0	288,505	3.0	301,358
FISCAL MANAGEMENT OFFICER	00126A	1.0	73,193	1.0	78,666
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	110,910	1.0	113,683
LEGAL ASSISTANT	00119A	1.0	46,511	1.0	48,739
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	100,251	1.0	104,665
Subtotal Classified		9.0	852,157	9.0	885,717
Unclassified					
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	0945KF	1.0	141,831	1.0	145,377
EXECUTIVE SECRETARY	00819A	1.0	53,442	1.0	54,779
PROGRAM MANAGER	00828A	1.0	92,787	1.0	95,021
Subtotal Unclassified		3.0	288,060	3.0	295,177
Subtotal		12.0	1,140,217	12.0	1,180,894
Transfer Out			(69,138)		(71,417)
Turnover			0		(12,812)
FY 2021 Retro COLA Payment			25,154		0
Total Salaries			1,096,233		1,096,665
Benefits					
FICA			83,774		83,895
Health Benefits			124,978		130,780
Payroll Accrual			6,241		0
Retiree Health			57,933		49,130
Retirement			315,757		316,048
Subtotal			588,683		579,853
Total Salaries and Benefits		12.0	1,684,916	12.0	1,676,518
Cost Per FTE Position			140,410		139,710
Statewide Benefit Assessment			43,443		43,317
Payroll Costs		12.0	1,728,359	12.0	1,719,835
Purchased Services					
Other Contracts			41,800		32,700
Subtotal			41,800		32,700

Personnel

Agency: Department of Business Regulation

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Personnel	12.0	1,770,159	12.0	1,752,535
Distribution by Source of Funds				
General Revenue	12.0	1,770,159	12.0	1,752,535
Total All Funds	12.0	1,770,159	12.0	1,752,535

Program Summary

Agency: Department of Business Regulation

Banking Regulation

Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I. General Laws § 19-1 to § 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.)

RIGL § 6-26 to § 6-27 relate to Interest, Usury and Truth in Lending.

RIGL § 34-23 to § 34-27 relate to Mortgages. RIGL § 19-14.3 to § 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. RIGL §19-14.9 relates to the registration of debt collectors.

RIGL § 19-14.10 relates to the licensing and supervision of mortgage loan originators.

RIGL § 19-14.11 relates to the licensing of third-party loan servicers.

Budget

Agency: Department of Business Regulation

Banking Regulation

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	1,591,551	1,615,559	1,695,824	2,000,290	2,005,687
Total Expenditures	1,591,551	1,615,559	1,695,824	2,000,290	2,005,687
Expenditures by Object					
Salary and Benefits	1,518,064	1,566,880	1,603,023	1,921,640	1,930,887
Contract Professional Services	0	0	1,360	0	0
Operating Supplies and Expenses	70,377	48,679	86,441	73,650	69,800
Subtotal: Operating	1,588,441	1,615,559	1,690,824	1,995,290	2,000,687
Capital Purchases and Equipment	3,110	0	5,000	5,000	5,000
Subtotal: Other	3,110	0	5,000	5,000	5,000
Total Expenditures	1,591,551	1,615,559	1,695,824	2,000,290	2,005,687
Expenditures by Source of Funds					
General Revenue	1,503,708	1,578,594	1,620,824	1,936,790	1,942,687
Federal Funds	27,297	0	0	0	0
Restricted Receipts	60,546	36,965	75,000	63,500	63,000
Total Expenditures	1,591,551	1,615,559	1,695,824	2,000,290	2,005,687

Personnel

Agency: Department of Business Regulation

Banking Regulation

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	3.0	301,602	3.0	308,971
BANK EXAMINER	0AB24A	3.0	182,797	3.0	205,470
FISCAL OFFICER (DBR)	0AB17A	1.0	43,907	1.0	45,885
LICENSING AIDE	0AB15A	1.0	47,199	1.0	48,322
PRINCIPAL BANK EXAMINER	0AB31A	1.0	81,418	1.0	83,396
SENIOR BANK EXAMINER	0AB28A	5.0	355,338	5.0	366,020
STATE CHIEF BANK EXAMINER	00139A	1.0	119,293	1.0	122,198
Subtotal Classified		15.0	1,131,554	15.0	1,180,262
Subtotal		15.0	1,131,554	15.0	1,180,262
Turnover			0		(12,812)
FY 2021 Retro COLA Payment			24,014		0
Total Salaries			1,155,568		1,167,450
Benefits					
Contract Stipends			21,000		21,000
FICA			89,908		89,888
Health Benefits			197,298		206,465
Payroll Accrual			6,729		0
Retiree Health			62,170		53,241
Retirement			342,350		345,899
Subtotal			719,455		716,493
Total Salaries and Benefits		15.0	1,875,023	15.0	1,883,943
Cost Per FTE Position			125,002		125,596
Statewide Benefit Assessment			46,617		46,944
Payroll Costs		15.0	1,921,640	15.0	1,930,887
Total Personnel		15.0	1,921,640	15.0	1,930,887
Distribution by Source of Funds					
General Revenue		15.0	1,921,640	15.0	1,930,887
Total All Funds		15.0	1,921,640	15.0	1,930,887

Program Summary

Agency: Department of Business Regulation

Securities Regulation

Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Laws § 7-11; the Franchise Investment Act, § 19-28.1; the Charitable Solicitation Act, § 5-53.1; and the Real Estate Time-Share Act, § 34-41.

Budget

Agency: Department of Business Regulation

Securities Regulation

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	764,541	639,081	832,118	811,083	878,630
Total Expenditures	764,541	639,081	832,118	811,083	878,630
Expenditures by Object					
Salary and Benefits	684,650	628,904	802,891	781,162	853,830
Operating Supplies and Expenses	7,891	10,177	29,227	28,421	24,800
Subtotal: Operating	692,541	639,081	832,118	809,583	878,630
Capital Purchases and Equipment	0	0	0	1,500	0
Operating Transfers	72,000	0	0	0	0
Subtotal: Other	72,000	0	0	1,500	0
Total Expenditures	764,541	639,081	832,118	811,083	878,630
Expenditures by Source of Funds					
General Revenue	730,282	627,785	817,118	796,083	863,630
Federal Funds	34,304	11,296	0	0	0
Restricted Receipts	(46)	(0)	15,000	15,000	15,000
Total Expenditures	764,541	639,081	832,118	811,083	878,630

Personnel

Agency: Department of Business Regulation

Securities Regulation

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	51,482	1.0	54,779
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	115,359	1.0	119,303
LICENSING AIDE	0AB15A	1.0	44,762	1.0	46,022
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	71,977	1.0	76,352
SECURITIES EXAMINER	0AB24A	2.0	85,011	2.0	119,649
SENIOR SECURITIES EXAMINER	0AB28A	1.0	63,296	1.0	67,191
Subtotal Classified		7.0	431,887	7.0	483,296
Subtotal		7.0	431,887	7.0	483,296
FY 2021 Retro COLA Payment			8,880		0
Total Salaries			440,767		483,296
Benefits					
Contract Stipends			7,500		9,000
FICA			34,239		37,661
Health Benefits			124,645		139,559
Payroll Accrual			2,564		0
Retiree Health			23,685		22,054
Retirement			130,003		142,816
Subtotal			322,636		351,090
Total Salaries and Benefits		7.0	763,403	7.0	834,386
Cost Per FTE Position			109,058		119,198
Statewide Benefit Assessment			17,759		19,444
Payroll Costs		7.0	781,162	7.0	853,830
Total Personnel		7.0	781,162	7.0	853,830
Distribution by Source of Funds					
General Revenue		7.0	781,162	7.0	853,830
Total All Funds		7.0	781,162	7.0	853,830

Program Summary

Agency: Department of Business Regulation

Insurance Regulation

Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers.

The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was reaccredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I. General Laws § 27-1, RIGL § 42-14, and § 28-29 through § 28-38, and all Insurance Division regulations.

Budget

Agency: Department of Business Regulation

Insurance Regulation

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	5,432,057	5,434,217	6,186,021	6,253,238	6,460,978
Total Expenditures	5,432,057	5,434,217	6,186,021	6,253,238	6,460,978
Expenditures by Object					
Salary and Benefits	3,975,615	3,944,211	4,361,281	4,431,077	4,648,860
Contract Professional Services	1,260,654	1,366,038	1,635,421	1,635,421	1,634,273
Operating Supplies and Expenses	191,622	94,387	185,819	175,740	174,345
Subtotal: Operating	5,427,891	5,404,635	6,182,521	6,242,238	6,457,478
Capital Purchases and Equipment	4,166	29,582	3,500	11,000	3,500
Subtotal: Other	4,166	29,582	3,500	11,000	3,500
Total Expenditures	5,432,057	5,434,217	6,186,021	6,253,238	6,460,978
Expenditures by Source of Funds					
General Revenue	3,720,950	3,557,891	4,152,139	4,206,469	4,419,316
Federal Funds	137,856	209,716	0	0	0
Restricted Receipts	1,573,250	1,666,609	2,033,882	2,046,769	2,041,662
Total Expenditures	5,432,057	5,434,217	6,186,021	6,253,238	6,460,978

Personnel

Agency: Department of Business Regulation

Insurance Regulation

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	64,952	1.0	66,552
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	142,004	1.0	145,496
CHIEF INSURANCE EXAMINER	00139A	1.0	110,910	1.0	113,683
CHIEF LIFE- ACCIDENT AND HEALTH INSURANCE ANALYST	00137A	2.0	198,711	2.0	206,033
CHIEF OF LEGAL SERVICES	00139A	1.0	105,629	1.0	108,270
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	89,147	1.0	94,701
DEPUTY DIRECTOR FINANCIAL SERVICE (DBR)	00146A	1.0	161,873	1.0	165,813
FISCAL OFFICER (DBR)	0AB17A	1.0	50,063	1.0	52,389
INSURANCE ANALYST	0AB24A	3.0	169,192	3.0	176,886
INSURANCE EXAMINER	0AB24A	4.0	126,559	4.0	230,018
INSURANCE EXAMINER-IN-CHARGE	0AB36A	4.0	394,512	4.0	410,482
LEGAL COUNSEL	00132A	0.0	0	0.0	0
LICENSING AIDE	00315A	1.0	41,686	1.0	43,590
LICENSING COORDINATOR (INSURANCE PROD- APPRAIS & ADJUST)	0AB22A	1.0	50,032	1.0	52,690
PRINCIPAL INSURANCE ANALYST	0AB31A	2.0	171,425	2.0	178,155
PRINCIPAL INSURANCE EXAMINER	0AB31A	1.0	75,680	1.0	80,150
SENIOR ACCOUNTANT	0AB23A	1.0	63,560	1.0	65,150
SENIOR INSURANCE EXAMINER	0AB28A	9.0	613,147	9.0	662,467
Subtotal Classified		35.0	2,629,082	35.0	2,852,525
Subtotal		35.0	2,629,082	35.0	2,852,525
Turnover			0		(25,625)
FY 2021 Retro COLA Payment			61,008		0
Total Salaries			2,690,090		2,826,900
Benefits					
Contract Stipends			34,500		40,500
FICA			207,343		218,491
Health Benefits			440,956		488,542
Payroll Accrual			15,545		0
Retiree Health			143,981		128,458
Retirement			790,694		832,705
Subtotal			1,633,019		1,708,696
Total Salaries and Benefits		35.0	4,323,109	35.0	4,535,596
Cost Per FTE Position			123,517		129,588

Personnel

Agency: Department of Business Regulation

Insurance Regulation

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		107,968		113,264
Payroll Costs	35.0	4,431,077	35.0	4,648,860
Purchased Services				
Clerical and Temporary Services		2,648		1,500
Management & Consultant Services		1,622,773		1,622,773
Training and Educational Services		10,000		10,000
Subtotal		1,635,421		1,634,273
Total Personnel	35.0	6,066,498	35.0	6,283,133
Distribution by Source of Funds				
General Revenue	34.0	4,157,504	34.0	4,371,746
Restricted Receipts	1.0	1,908,994	1.0	1,911,387
Total All Funds	35.0	6,066,498	35.0	6,283,133

Program Summary

Agency: Department of Business Regulation

Board of Accountancy

Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I. General Laws § 5-3.1, et seq. (1956) relate to the Board of Accountancy.

Budget

Agency: Department of Business Regulation

Board of Accountancy

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	5,550	5,204	5,883	5,490	5,490
Total Expenditures	5,550	5,204	5,883	5,490	5,490
Expenditures by Object					
Operating Supplies and Expenses	5,550	5,204	5,883	5,490	5,490
Subtotal: Operating	5,550	5,204	5,883	5,490	5,490
Total Expenditures	5,550	5,204	5,883	5,490	5,490
Expenditures by Source of Funds					
General Revenue	5,550	5,204	5,883	5,490	5,490
Total Expenditures	5,550	5,204	5,883	5,490	5,490

Program Summary

Agency: Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public. To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I. General Law § 5-58 relates to auctioneers; § 5-20.5 relates to real estate; § 5-20.7 relates to real estate appraisers; § 5-38 relates to automobile body repair shops; § 5-50 relates to pre-opening of health club sales campaigns; § 6-31 relates to unit pricing; § 23-26 relates to bedding and upholstered furniture; § 31-44 and § 31-44.1 relate to mobile and manufactured homes; § 42-14.2 relates to auto wrecking and salvage yards; § 31-37 relates to advertising and sale of motor fuel at retail; § 31-46-7 relates to auto body salvage re-builders' licenses; and § 3-1 relates to alcoholic beverages. RIGL § 21-28.6 relates to the Medical Marijuana Program; § 2-26 relates to industrial hemp. RIGL § 41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

Budget

Agency: Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	1,693,977	1,797,900	1,943,356	2,076,298	2,082,482
Total Expenditures	1,693,977	1,797,900	1,943,356	2,076,298	2,082,482
Expenditures by Object					
Salary and Benefits	1,620,596	1,729,516	1,791,353	1,939,776	1,936,474
Contract Professional Services	900	0	1,040	0	0
Operating Supplies and Expenses	72,481	68,384	127,762	115,522	124,758
Assistance and Grants	0	0	20,000	20,000	20,000
Subtotal: Operating	1,693,977	1,797,900	1,940,155	2,075,298	2,081,232
Capital Purchases and Equipment	0	0	3,201	1,000	1,250
Subtotal: Other	0	0	3,201	1,000	1,250
Total Expenditures	1,693,977	1,797,900	1,943,356	2,076,298	2,082,482
Expenditures by Source of Funds					
General Revenue	880,793	949,609	1,053,287	1,171,655	1,167,550
Federal Funds	126,447	135,259	0	0	0
Restricted Receipts	686,736	713,033	890,069	904,643	914,932
Total Expenditures	1,693,977	1,797,900	1,943,356	2,076,298	2,082,482

Personnel

Agency: Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR REAL ESTATE	00135A	1.0	104,776	1.0	107,304
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	52,970	1.0	55,905
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	136,376	1.0	139,722
CHIEF IMPLEMENTATION AIDE	00128A	1.0	62,640	1.0	66,475
CHIEF IMPLEMENTATION AIDE	0AB28A	1.0	79,606	1.0	84,688
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	1.0	86,318	1.0	88,476
ECONOMIC AND POLICY ANALYST I	00130A	1.0	68,562	1.0	72,809
FISCAL OFFICER (DBR)	0AB17A	1.0	43,533	1.0	45,556
GAMING & ATHLETICS ADMINISTRATOR	00137A	1.0	100,103	1.0	106,245
LICENSING AIDE	0AB15A	1.0	44,031	1.0	46,022
PARI-MUTUEL OPERATIONS SPECIALIST	03726A	3.0	188,316	3.0	179,062
SYSTEMS ANALYST	0AB24A	1.0	62,976	1.0	64,550
Subtotal Classified		14.0	1,030,207	14.0	1,056,814
Unclassified					
FISCAL CLERK	00814A	1.0	42,594	1.0	44,520
Subtotal Unclassified		1.0	42,594	1.0	44,520
Subtotal		15.0	1,072,801	15.0	1,101,334
Transfer In			69,138		71,417
Overtime			1,627		1,615
Seasonal/Special Salaries/Wages			19,806		19,922
Turnover			0		(10,763)
FY 2021 Retro COLA Payment			25,963		0
Total Salaries			1,189,335		1,183,525
Benefits					
Contract Stipends			13,500		13,500
FICA			91,815		91,450
Health Benefits			185,981		194,511
Holiday			108		108
Payroll Accrual			6,499		0
Retiree Health			62,429		52,663
Retirement			343,299		354,286
Subtotal			703,631		706,518
Total Salaries and Benefits		15.0	1,892,966	15.0	1,890,043
Cost Per FTE Position			126,198		126,003

Personnel

Agency: Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		46,810		46,431
Payroll Costs	15.0	1,939,776	15.0	1,936,474
Total Personnel	15.0	1,939,776	15.0	1,936,474
Distribution by Source of Funds				
General Revenue	9.0	1,152,830	9.0	1,147,375
Restricted Receipts	6.0	786,946	6.0	789,099
Total All Funds	15.0	1,939,776	15.0	1,936,474

Program Summary

Agency: Department of Business Regulation

Office of Health Insurance Commissioner

Mission

The State of Rhode Island Office of the Health Insurance Commissioner (OHIC) seeks to: (1) improve health care access, affordability, and quality. OHIC does so as it: (1) protects the interest of consumers of commercial health insurance, (2) encourages fair treatment of health care providers by commercial health insurers, (3) improves the health care system as a whole, and (4) guards the solvency of commercial health insurers.

Description

OHIC is a commercial health insurance policy reform and regulatory enforcement agency. The office's functions include; health insurance rate review, health insurance form review, network plan certification, benefit determination and utilization review agent certification, consumer and provider complaint resolution, market conduct examinations, and regulation and sub-regulatory guidance development.

Statutory History

OHIC was established in 2004 in State of Rhode Island General Laws RIGL §42-14.5-1. RIGL §42-14.5-2 provides that with respect to health insurance, the health insurance commissioner shall discharge the powers and duties of office to: (1) guard the solvency of health insurers, (2) protect the interests of consumers (3) encourage fair treatment of health care providers, (4) courage policies and developments that improve the quality and efficiency of health care service delivery and outcomes (5) view the health care system as a comprehensive entity and encourage and direct insurers towards policies that advance the welfare of the public through overall efficiency, improved health care quality, and appropriate access.

Budget

Agency: Department of Business Regulation

Office of Health Insurance Commissioner

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	2,493,508	2,341,795	2,275,805	2,526,204	3,158,151
Total Expenditures	2,493,508	2,341,795	2,275,805	2,526,204	3,158,151
Expenditures by Object					
Salary and Benefits	1,715,715	1,595,023	1,576,980	1,773,979	1,865,200
Contract Professional Services	707,073	670,862	595,757	643,757	1,161,757
Operating Supplies and Expenses	69,154	75,909	103,068	108,468	131,194
Subtotal: Operating	2,491,941	2,341,795	2,275,805	2,526,204	3,158,151
Capital Purchases and Equipment	1,567	0	0	0	0
Subtotal: Other	1,567	0	0	0	0
Total Expenditures	2,493,508	2,341,795	2,275,805	2,526,204	3,158,151
Expenditures by Source of Funds					
General Revenue	1,614,244	1,663,083	1,659,713	1,724,555	1,752,447
Federal Funds	450,654	195,689	120,000	263,728	872,887
Restricted Receipts	428,609	483,022	496,092	537,921	532,817
Total Expenditures	2,493,508	2,341,795	2,275,805	2,526,204	3,158,151

Personnel

Agency: Department of Business Regulation

Office of Health Insurance Commissioner

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE OFFICER	00722A	1.0	58,956	1.0	62,272
ASSOCIATE DIRECTOR FOR PLANNING- POLICY & REGULATION	00843A	1.0	152,089	1.0	155,891
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	2.0	270,618	2.0	284,007
EXECUTIVE DIRECTOR	00836A	1.0	107,476	1.0	110,162
HEALTH ECONOMIC SPECIALIST	00831A	1.0	85,510	1.0	87,648
HEALTH INSURANCE COMMISSIONER	00854A	1.0	221,144	1.0	226,673
PRINCIPAL POLICY ASSOCIATE	00837A	2.0	159,993	1.0	108,535
SENIOR POLICY ANALYST	00839A	1.0	56,601	1.0	111,085
SENIOR POLICY ANALYST/PUBLIC INFORMATION	00831A	1.0	85,510	1.0	87,648
ZFTE RECONCILIATION TO AUTHORIZATION (UNCLASSIFIED)	00000A	0.0	0	1.0	0
Subtotal Unclassified		11.0	1,197,897	11.0	1,233,921
Subtotal		11.0	1,197,897	11.0	1,233,921
Transfer Out			(57,016)		0
FY 2021 Retro COLA Payment			26,250		0
Total Salaries			1,167,131		1,233,921
Benefits					
FICA			84,198		89,421
Health Benefits			69,050		79,832
Payroll Accrual			6,661		0
Retiree Health			61,678		55,280
Retirement			339,011		358,005
Subtotal			560,598		582,538
Total Salaries and Benefits		11.0	1,727,729	11.0	1,816,459
Cost Per FTE Position			157,066		165,133
Statewide Benefit Assessment			46,250		48,741
Payroll Costs		11.0	1,773,979	11.0	1,865,200
Purchased Services					
Management & Consultant Services			643,757		661,757
Other Contracts			0		500,000
Subtotal			643,757		1,161,757
Total Personnel		11.0	2,417,736	11.0	3,026,957

Personnel

Agency: Department of Business Regulation

Office of Health Insurance Commissioner

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	6.0	1,665,784	7.0	1,671,295
Federal Funds	2.0	258,328	1.0	861,867
Restricted Receipts	3.0	493,624	3.0	493,795
Total All Funds	11.0	2,417,736	11.0	3,026,957

Program Summary

Agency: Department of Business Regulation

Division of Building, Design and Fire Professionals

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

Description

The Building, Design and Fire Professionals division's purpose is to streamline the state review, regulation, and enforcement for all professions related to building and construction design, inspection, and enforcement of the building and fire codes. The Division is comprised of the Office of the State Fire Marshal (OSFM), the Fire Safety Code Board of Appeal and Review (FSCBAR), the State Building Office (SBO), which includes the Building Code Commission (BCC), the Contractors' Registration and Licensing Board (CRLB), the Boards of Registration for Professional Engineers and Professional Land Surveyors, the Board of Examination and Registration of Architects, and the Board of Examiners of Landscape Architects.

The OSFM has four units: investigations, inspections, plan review, and the Rhode Island State Bomb Squad (Squad). The investigations unit is responsible for conducting fire investigations where arson is suspected, and/or an injury or death has occurred. The Squad is responsible for responding to, mitigating, and investigating all incidents involving explosive materials. The Squad also provides tactical assistance to Federal, State, and local law enforcement entities. The inspections & plan review units work collaboratively and are responsible for reviewing construction plans, issuing building permits and inspecting new and remodeled structures relating to fire safety.

The FSCBAR is charged with the development and administrative review of a comprehensive fire safety code covering the State. Furthermore, the FSCBAR evaluates the proposed use of new fire-related technologies and provides the OSFM, other state agencies and all municipal fire departments with legal, regulatory and technical information.

The SBO is comprised of the BCC which establishes the minimum requirements necessary to protect public health, safety and welfare in the built environment by promulgating model building codes, and conducts plan reviews, inspects and issues building permits on all state-owned buildings; the CRLB which is tasked with the registration and regulation of all contractors, and the licensing and regulation of eight professions in the construction industry, taking administrative action against persons who violate applicable laws and regulations and providing consumer protection through a dispute resolution process; and the Engineers, Land Surveyors, Landscape Architects and Architects Boards for which the Division is responsible for enforcing the laws and regulations applicable to each of those professions.

Statutory History

R.I. General Laws § 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL § 5-65 et al., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. RIGL § 23-28.3-1 governs the Fire Code Board of Appeal and Review. RIGL § 23-28 establishes the Rhode Island State Fire Marshal and defines its duties.

RIGL § 5-8.1 establishes the Boards of Engineers Land Surveyors, RIGL § 5-1 establishes the Board of Architects. RIGL § 5-51 establishes the Board of Landscape Architects.

Budget

Agency: Department of Business Regulation

Division of Building, Design and Fire Professionals

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Contractor's Registration and Licensing Board	1,312,210	2,058,879	1,253,342	1,225,527	1,370,880
Fire Code Board of Appeal and Review	326,419	353,103	371,592	381,393	376,580
Fire Marshal	4,888,976	4,618,215	5,250,799	5,721,086	8,148,924
State Building Code Commission	1,333,468	1,457,316	1,920,178	2,290,021	3,088,797
Total Expenditures	7,861,073	8,487,513	8,795,911	9,618,027	12,985,181
Expenditures by Object					
Salary and Benefits	6,044,159	6,508,117	6,647,481	6,959,828	7,002,724
Contract Professional Services	243,794	670,957	446,036	466,200	461,200
Operating Supplies and Expenses	1,072,795	1,100,538	1,509,877	1,948,999	3,435,557
Assistance and Grants	600	1,375	32,517	15,000	15,000
Subtotal: Operating	7,361,348	8,280,986	8,635,911	9,390,027	10,914,481
Capital Purchases and Equipment	499,725	206,346	160,000	228,000	2,070,700
Operating Transfers	0	180	0	0	0
Subtotal: Other	499,725	206,526	160,000	228,000	2,070,700
Total Expenditures	7,861,073	8,487,513	8,795,911	9,618,027	12,985,181
Expenditures by Source of Funds					
General Revenue	4,617,476	3,924,121	6,697,958	7,496,655	8,996,699
Federal Funds	1,116,572	2,967,727	186,000	234,611	818,300
Restricted Receipts	1,716,527	1,530,744	1,844,025	1,815,541	2,950,455
Operating Transfers from Other Funds	410,497	64,921	67,928	71,220	219,727
Total Expenditures	7,861,073	8,487,513	8,795,911	9,618,027	12,985,181

Personnel

Agency: Department of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	62,511	1.0	64,007
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	00140A	0.0	0	1.0	105,297
ARCHITECT BUILDING COMMISSION	00335A	1.0	94,729	1.0	97,097
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03629A	2.0	138,947	2.0	135,821
BOMB TECHNICIAN I	03626A	3.0	123,313	3.0	160,076
BOMB TECHNICIAN III	03632A	1.0	75,920	1.0	76,277
BUILDING CONSTRUCTION INSPECTOR	00320A	2.0	61,616	2.0	75,607
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	87,072	1.0	89,222
CHIEF DEPUTY FIRE MARSHAL	00134A	2.0	177,216	2.0	181,646
CHIEF OF INSPECTIONS	00135A	3.0	298,099	3.0	307,562
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/MECH INSP)	00332A	1.0	91,740	1.0	93,962
CLERK SECRETARY	04016A	1.0	46,492	1.0	43,862
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	132,540	1.0	135,852
DIRECTOR OF FIRE TRAINING	00136A	1.0	95,312	1.0	97,695
EXECUTIVE ASSISTANT	00118A	1.0	51,063	1.0	52,339
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	03640A	1.0	115,291	1.0	110,697
FIRE INVESTIGATOR	03622A	1.0	53,112	1.0	50,307
FIRE INVESTIGATOR II	03624A	1.0	49,265	1.0	49,896
FIRE INVESTIGATOR III	03627A	2.0	122,650	2.0	117,620
FIRE INVESTIGATOR IV	03630A	1.0	70,855	1.0	68,839
FIRE SAFETY INSPECTOR	03617A	1.0	41,383	1.0	39,768
FIRE SAFETY INSPECTOR	03621A	2.0	96,856	2.0	92,913
FIRE SAFETY INSPECTOR III	03625A	10.0	516,880	10.0	517,010
FIRE SAFETY INSPECTOR IV	03628A	2.0	127,308	2.0	126,763
FIRE SAFETY TRAINING OFFICER	03628A	2.0	140,372	2.0	133,715
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	00334A	1.0	104,427	1.0	106,986
LEGAL COUNSEL	00132A	1.0	17,330	1.0	41,447
LICENSING AIDE	00315A	1.0	42,851	1.0	44,709
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	102,112	1.0	104,665
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	00331A	1.0	70,805	1.0	75,101
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	00331A	1.0	75,536	1.0	79,862
PRODUCTIVITY PROJECT DIRECTOR	00130A	1.0	39,812	1.0	72,420

Personnel

Agency: Department of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	80,258	1.0	85,262
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	00328A	2.0	96,511	2.0	119,119
STATE BUILDING CODE COMMISSIONER	00142A	1.0	107,886	1.0	116,079
Subtotal Classified		55.0	3,608,070	56.0	3,869,500
Unclassified					
ADMINISTRATIVE AIDE	04514A	1.0	45,464	1.0	46,601
ADMINISTRATIVE ASSISTANT	00323A	2.0	126,998	2.0	130,173
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	64,668	1.0	66,258
STATE FIRE MARSHAL	00843A	1.0	138,263	1.0	141,719
Subtotal Unclassified		5.0	375,393	5.0	384,751
Subtotal		60.0	3,983,463	61.0	4,254,251
Overtime			360,346		155,188
Turnover			0		(55,760)
FY 2021 Retro COLA Payment			100,410		0
Total Salaries			4,444,219		4,353,679
Benefits					
Contract Stipends			57,302		75,602
FICA			317,024		326,967
Health Benefits			570,085		642,424
Holiday			285		0
Payroll Accrual			23,412		0
Retiree Health			217,672		191,113
Retirement			1,196,453		1,275,133
Subtotal			2,382,233		2,511,239
Total Salaries and Benefits		60.0	6,826,452	61.0	6,864,918
Cost Per FTE Position			113,774		112,540
Statewide Benefit Assessment			133,376		137,806
Payroll Costs		60.0	6,959,828	61.0	7,002,724
Purchased Services					
Buildings and Ground Maintenance			1,500		1,500
Information Technology			47,700		47,700
Medical Services			10,650		5,650
Training and Educational Services			406,350		406,350

Personnel

Agency: Department of Business Regulation

Division of Building, Design and Fire Professionals

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		466,200		461,200
Total Personnel	60.0	7,426,028	61.0	7,463,924
Distribution by Source of Funds				
General Revenue	50.0	6,106,809	51.0	6,040,142
Federal Funds	0.0	62,111	0.0	65,800
Restricted Receipts	10.0	1,185,888	10.0	1,288,255
Operating Transfers from Other Funds	0.0	71,220	0.0	69,727
Total All Funds	60.0	7,426,028	61.0	7,463,924

Program Summary

Agency: Department of Business Regulation

Office of Cannabis Regulation

Mission

The Office of Cannabis Regulation (OCR) is charged with regulating adult use marijuana, medical marijuana, and industrial hemp in order to safeguard public health, maintain public safety, and prevent youth access.

Description

OCR has oversight over legal cannabis in the state, which is comprised of three elements: adult use marijuana, medical marijuana, and industrial hemp. The FY 2017 enacted budget moved regulation of the commercial aspects of the state's medical marijuana program from the Department of Health to the Department of Business Regulation. This includes overseeing the state's medical marijuana dispensaries (known as compassion centers); medical marijuana cultivators; caregivers who can grow marijuana on behalf of patients; and patients who choose to grow for themselves. The 2016 General Assembly passed the Hemp Growth Act, which established DBR as the regulator of hemp growers and handlers. As the regulator of adult use marijuana, OCR is tasked with licensing marijuana cultivators, processors, and retailers. OCR processes license application, conducts inspections, and undertakes enforcement actions when needed. OCR works alongside the Division of Taxation to ensure proper collection of marijuana excise and sales taxes, and to license and collect tax from entities selling cannabidiol (CBD) products derived from industrial hemp. OCR aids local and state law enforcement in the proper enforcement of the state's cannabis laws. OCR also helps the Department of Public Health and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals to address the prevention, treatment, and public health aspects of a legal cannabis market.

Statutory History

R.I. General Laws § 21-28.11 establish The Office of Cannabis Regulation. RIGL § 21-28.6 establishes DBR's role as the regulator of the commercial medical marijuana market. RIGL § 2-26 gives DBR oversight over industrial hemp.

Budget

Agency: Department of Business Regulation

Office of Cannabis Regulation

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Adult Use Marijuana Program	0	0	0	0	3,630,925
Medical Marijuana Program	860,322	1,083,314	1,165,441	1,220,618	1,992,665
Total Expenditures	860,322	1,083,314	1,165,441	1,220,618	5,623,590
Expenditures by Object					
Salary and Benefits	679,092	768,271	851,477	986,004	2,535,476
Contract Professional Services	144,919	274,723	20,000	45,000	95,000
Operating Supplies and Expenses	35,558	31,392	248,964	142,114	1,695,614
Assistance and Grants	0	0	0	0	250,000
Subtotal: Operating	859,568	1,074,385	1,120,441	1,173,118	4,576,090
Capital Purchases and Equipment	754	8,929	45,000	47,500	1,047,500
Subtotal: Other	754	8,929	45,000	47,500	1,047,500
Total Expenditures	860,322	1,083,314	1,165,441	1,220,618	5,623,590
Expenditures by Source of Funds					
Restricted Receipts	860,322	1,083,314	1,165,441	1,220,618	5,623,590
Total Expenditures	860,322	1,083,314	1,165,441	1,220,618	5,623,590

Personnel

Agency: Department of Business Regulation

Office of Cannabis Regulation

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	0.0	0	1.0	53,195
ADMINISTRATIVE OFFICER	0AB24A	1.0	59,977	1.0	61,476
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,150	1.0	71,904
CHIEF OF INSPECTIONS	00135A	1.0	86,632	1.0	83,166
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	127,155	1.0	130,333
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	0.0	0	7.0	526,193
ECONOMIC AND POLICY ANALYST I	00130A	0.0	0	1.0	68,756
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	97,276
INVESTIGATIVE AUDITOR	00133A	1.0	81,084	2.0	164,633
LEGAL COUNSEL	00132A	1.0	78,480	0.0	0
LICENSING AIDE	0AB15A	0.0	0	2.0	84,602
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	0.0	0	1.0	92,281
SENIOR ECONOMIC AND POLICY ANALYST	00134A	1.0	80,809	0.0	0
SENIOR LEGAL COUNSEL	00134A	0.0	0	1.0	83,511
Subtotal Classified		7.0	584,287	20.0	1,517,326
Subtotal		7.0	584,287	20.0	1,517,326
FY 2021 Retro COLA Payment			11,870		0
Total Salaries			596,157		1,517,326
Benefits					
Contract Stipends			1,500		15,000
FICA			45,671		117,224
Health Benefits			111,984		322,752
Payroll Accrual			3,414		0
Retiree Health			31,581		68,646
Retirement			172,012		434,004
Subtotal			366,162		957,626
Total Salaries and Benefits		7.0	962,319	20.0	2,474,952
Cost Per FTE Position			137,474		123,748
Statewide Benefit Assessment			23,685		60,524
Payroll Costs		7.0	986,004	20.0	2,535,476
Purchased Services					
Clerical and Temporary Services			5,000		5,000
Management & Consultant Services			20,000		20,000
Other Contracts			20,000		70,000

Personnel

Agency: Department of Business Regulation

Office of Cannabis Regulation

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		45,000		95,000
Total Personnel	7.0	1,031,004	20.0	2,630,476
Distribution by Source of Funds				
Restricted Receipts	7.0	1,031,004	20.0	2,630,476
Total All Funds	7.0	1,031,004	20.0	2,630,476

Agency Summary

Executive Office of Commerce

Agency Mission

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State. To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce is authorized and established as the state's lead agency for economic development throughout Rhode Island and serves as the principal agency of the executive branch of state government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency oversees the state's Office of Housing and Community Development, the Commerce Corporation (and all pass-through grant appropriations), the I195 Redevelopment District Commission, and the Department of Business Regulation. From time to time, the Executive Office of Commerce is also tasked with facilitating other special governmental programs and initiatives.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Executive Office of Commerce

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	2,036,242	3,342,084	9,513,436	9,479,002	2,356,175
Housing and Community Development	11,224,145	32,885,193	49,812,461	99,066,287	107,656,282
Quasi-Public Appropriations	21,419,062	12,382,141	21,124,801	21,391,192	23,940,014
Economic Development Initiatives Fund	1,841,217	63,691,797	110,684,176	92,147,735	50,950,000
Commerce Programs	1,200,000	1,200,000	1,600,000	34,600,000	87,033,000
Total Expenditures	37,720,666	113,501,215	192,734,874	256,684,216	271,935,471
Expenditures by Object					
Salary And Benefits	2,031,269	1,853,489	2,436,906	2,042,167	3,401,969
Contract Professional Services	0	173,865	9,080,275	9,580,275	5,761,000
Operating Supplies And Expenses	440,431	624,511	601,912	27,134,168	7,976,684
Assistance And Grants	19,779,841	89,659,135	104,337,730	114,603,554	92,150,554
Subtotal: Operating	22,251,541	92,311,000	116,456,823	153,360,164	109,290,207
Capital Purchases And Equipment	8,846	4,991	7,581,250	7,847,641	4,910,250
Operating Transfers	15,460,279	21,185,223	68,696,801	95,476,411	157,735,014
Subtotal: Other	15,469,125	21,190,214	76,278,051	103,324,052	162,645,264
Total Expenditures	37,720,666	113,501,215	192,734,874	256,684,216	271,935,471
Expenditures by Source of Funds					
General Revenue	17,781,116	59,658,803	98,845,603	98,520,166	38,133,622
Federal Funds	6,480,656	48,445,645	79,612,387	143,654,727	222,232,699
Restricted Receipts	4,160,177	5,132,883	7,698,884	7,664,932	7,664,150
Operating Transfers From Other Funds	9,298,718	263,883	6,578,000	6,844,391	3,905,000
Total Expenditures	37,720,666	113,501,215	192,734,874	256,684,216	271,935,471
FTE Authorization	14.0	14.0	16.0	16.0	21.0

Personnel Agency Summary

Executive Office of Commerce

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	11.0	867,043	16.0	1,360,622
Unclassified	5.0	614,081	5.0	797,925
Subtotal	16.0	1,481,124	21.0	2,158,547
Turnover		(219,429)		0
FY 2021 Retro COLA Payment		29,769		0
Total Salaries		1,291,464		2,158,547
Benefits				
Contract Stipends		4,500		4,500
FICA		93,530		157,434
Health Benefits		152,973		283,863
Holiday		3		0
Payroll Accrual		7,375		0
Retiree Health		68,500		96,902
Retirement		372,505		615,285
Subtotal		699,386		1,157,984
Total Salaries and Benefits	16.0	1,990,850	21.0	3,316,531
Cost Per FTE Position		124,428		157,930
Statewide Benefit Assessment		51,317		85,438
Payroll Costs	16.0	2,042,167	21.0	3,401,969
Purchased Services				
Information Technology		18,750		0
Other Contracts		9,561,525		5,761,000
Subtotal		9,580,275		5,761,000
Total Personnel	16.0	11,622,442	21.0	9,162,969
Distribution by Source of Funds				
General Revenue	11.0	1,361,494	16.0	2,522,372
Federal Funds	5.0	10,260,166	5.0	6,640,597
Restricted Receipts	0.0	782	0.0	0
Total All Funds	16.0	11,622,442	21.0	9,162,969

Performance Measures

Executive Office of Commerce

New jobs created/to be created by businesses that received assistance from Commerce Jobs Incentive Programs.

This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on the number of jobs committed for projects approved for the Qualified Jobs Incentive (2) Performance measures are measured by calendar year. As a result, 2021 actuals are current through 10/31/2020 and represent PARTIAL TOTALS to date for 2021; (3) 2022 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>				
	2019	2020	2021	2022	2023
Target	0	1,000	1,000	1,000	1,000
Actual	1,880	319	682	0.00	

New Development Created/to be Created with Assistance from Commerce Real Estate Incentive Programs

This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on real estate approved for the Rebuild Rhode Island tax credits, I-195 Redevelopment Fund, Tax Stabilization Incentive, and Tax Increment Financing programs; (2) Performance measures are measured by calendar year. As a result, 2021 actuals are current through 10/31/2021 and represent PARTIAL TOTALS; (3) Projects that came before the Commerce Corporation Board for approval on more than one date are counted in the calendar year during which the project was first approved for Rebuild Rhode Island tax credits; (4) 2022 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>				
	2019	2020	2021	2022	2023
Target	0	600,000	600,000	600,000	600,000
Actual	992,973	3,120,081	4,793,637	0.00	

Total Real Estate Investment Leveraged by Commerce Real Estate Investment

This metric includes PARTIAL TOTALS. Please see notes: (1) This metric is based on total project cost of real estate projects approved for the Rebuild Rhode Island tax credits, Tax Stabilization Incentive, Tax Increment Financing, and I-195 Redevelopment Fund programs; (2) Performance measures are measured by calendar year. As a result, 2021 actuals are current through 10/31/2021 and represent PARTIAL TOTALS; (3) These figures represent the total project cost. (4) 2022 targets will be set via the Performance Management process.

	<i>Reporting Period: Calendar Year</i>				
	2019	2020	2021	2022	2023
Target	0	160,000,000	160,000,000	160,000,000	160,000,000
Actual	339,754,590	326,135,968	764,044,020	0.00	

Businesses Assisted by Commerce

This metric includes PARTIAL TOTALS for 2021. Please see notes: (1) This metric is based on unique businesses contacted or assisted by members of Commerce in each calendar year. In CY 2021, this figure represents the number of businesses contacted through direct outreach regarding the Relief Grant Program. (2) Performance measures are measured by calendar year. As a result, 2021 actuals are current through 10/31/2021 and represent PARTIAL TOTALS.

	<i>Reporting Period: Calendar Year</i>				
	2019	2020	2021	2022	2023
Target	0	5,000	5,000	5,000	5,000
Actual	10,940	10,923	22,192	0.00	

Performance Measures

Executive Office of Commerce

Tourist and Visitor Expenditure Numbers

Data for this metric is not yet available in 2021; market research is estimated for CY21 and is presently tracking 1 percent lower spending than CY2019. Please see notes: (1) This metric is measured in calendar years. (2) Proxy measures show positive growth for tourist and visitor expenditures prior to the onset of COVID. In CY2020, visitor spending declined by 31.5 percent. (3) All data are reported as deltas from 2015 baseline spending of \$6B. As an example, in 2019, the traveler economy in Rhode Island reached \$7.1 billion, including visitor spending, tourism-related construction, and supporting industries, which is a \$1.1 billion increase over 2015.

Frequency: Annual

Reporting Period: Calendar Year

	2019	2020	2021	2022	2023
Target	0.0 billion	0.8 billion	0.2 billion	1.1 billion	1.1 billion
Actual	1.1 billion	-1.1 billion	0.0 billion	0.00	

Program Summary

Agency: Executive Office of Commerce

Central Management

Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of economic development in the state.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Agency: Executive Office of Commerce

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	2,036,242	3,342,084	9,513,436	9,479,002	2,356,175
Total Expenditures	2,036,242	3,342,084	9,513,436	9,479,002	2,356,175
Expenditures by Object					
Salary and Benefits	837,299	773,261	1,019,977	746,930	1,137,056
Contract Professional Services	0	93,525	475,225	475,225	0
Operating Supplies and Expenses	192,216	186,960	178,984	417,597	213,869
Assistance and Grants	1,000,000	2,000,354	6,836,000	6,836,000	0
Subtotal: Operating	2,029,515	3,054,100	8,510,186	8,475,752	1,350,925
Capital Purchases and Equipment	6,728	1,037	1,003,250	1,003,250	1,005,250
Operating Transfers	0	286,946	0	0	0
Subtotal: Other	6,728	287,984	1,003,250	1,003,250	1,005,250
Total Expenditures	2,036,242	3,342,084	9,513,436	9,479,002	2,356,175
Expenditures by Source of Funds					
General Revenue	1,746,553	1,598,797	2,202,211	1,929,164	2,356,175
Federal Funds	289,689	1,743,286	7,311,225	7,549,838	0
Total Expenditures	2,036,242	3,342,084	9,513,436	9,479,002	2,356,175

Personnel

Agency: Executive Office of Commerce

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
DEPUTY CHIEF OF STAFF/POLICY	00845A	1.0	149,045	1.0	152,771
DEPUTY SECRETARY OF COMMERCE	00853A	1.0	106,609	1.0	193,986
SECRETARY OF COMMERCE	00856A	1.0	232,771	1.0	238,591
SENIOR POLICY ANALYST	00839A	1.0	52,736	1.0	111,085
Subtotal Unclassified		4.0	541,161	4.0	696,433
Subtotal		4.0	541,161	4.0	696,433
Transfer In			55,409		56,766
Turnover			(122,051)		0
FY 2021 Retro COLA Payment			12,659		0
Total Salaries			487,178		753,199
Benefits					
FICA			31,712		49,582
Health Benefits			39,187		55,186
Payroll Accrual			2,768		0
Retiree Health			25,797		33,744
Retirement			141,033		215,595
Subtotal			240,497		354,107
Total Salaries and Benefits		4.0	727,675	4.0	1,107,306
Cost Per FTE Position			181,919		276,827
Statewide Benefit Assessment			19,255		29,750
Payroll Costs		4.0	746,930	4.0	1,137,056
Purchased Services					
Information Technology			18,750		0
Other Contracts			456,475		0
Subtotal			475,225		0
Total Personnel		4.0	1,222,155	4.0	1,137,056
Distribution by Source of Funds					
General Revenue		4.0	746,930	4.0	1,137,056
Federal Funds		0.0	475,225	0.0	0
Total All Funds		4.0	1,222,155	4.0	1,137,056

Program Summary

Agency: Executive Office of Commerce

Housing and Community Development

Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, Federal Community Development Block (CDBG) program, and related programs. To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers Federal Community Development Block Grant (CDBG) funds and related programs. The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

R.I. General Laws § 42-64.19-7(h) establishes the state's housing and community development functions within the Executive Office of Commerce.

Budget

Agency: Executive Office of Commerce

Housing and Community Development

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Housing and Community Development	7,015,493	22,911,317	13,769,527	56,486,608	99,992,132
Housing Resources Commission	4,208,652	9,973,876	36,042,934	42,579,679	7,664,150
Total Expenditures	11,224,145	32,885,193	49,812,461	99,066,287	107,656,282
Expenditures by Object					
Salary and Benefits	1,193,970	1,080,227	1,416,929	1,295,237	2,264,913
Contract Professional Services	0	80,340	8,605,050	8,605,050	5,261,000
Operating Supplies and Expenses	248,216	430,401	422,928	21,298,446	6,262,815
Assistance and Grants	9,779,841	31,212,097	39,367,554	40,867,554	26,367,554
Subtotal: Operating	11,222,026	32,803,065	49,812,461	72,066,287	40,156,282
Capital Purchases and Equipment	2,119	3,954	0	0	0
Operating Transfers	0	78,174	0	27,000,000	67,500,000
Subtotal: Other	2,119	82,128	0	27,000,000	67,500,000
Total Expenditures	11,224,145	32,885,193	49,812,461	99,066,287	107,656,282
Expenditures by Source of Funds					
General Revenue	873,001	5,841,748	26,046,591	25,994,201	1,642,433
Federal Funds	6,190,967	21,910,562	16,066,986	65,407,154	98,349,699
Restricted Receipts	4,160,177	5,132,883	7,698,884	7,664,932	7,664,150
Total Expenditures	11,224,145	32,885,193	49,812,461	99,066,287	107,656,282

Personnel

Agency: Executive Office of Commerce

Housing and Community Development

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	110,819	1.0	113,535
ASSISTANT ADMINISTRATOR COMMUNITY AND PLANNING SERVICES	00335A	1.0	65,911	1.0	86,268
ASSISTANT CHIEF OF PLANNING	00137A	1.0	89,392	1.0	94,962
CHIEF IMPLEMENTATION AIDE	00128A	1.0	29,690	1.0	67,031
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	00138A	1.0	119,982	1.0	122,982
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	37,398	3.0	247,405
DATA ANALYST I	00134A	0.0	0	1.0	81,474
HOUSING COMMISSION COORDINATOR	00128A	1.0	95,984	1.0	79,094
INFORMATION AND PUBLIC RELATIONS SPECIALIST	00121A	0.0	0	1.0	49,251
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	44,592	1.0	100,747
PRINCIPAL PLANNER	00129A	1.0	80,596	1.0	83,074
PRINCIPAL PLANNER	00329A	0.0	7,660	1.0	66,880
PRINCIPAL PLANNER	00829A	0.0	15,073	0.0	0
PRINCIPAL PLANNER	03529A	1.0	83,126	1.0	78,928
PROGRAMMING SERVICES OFFICER	00131A	1.0	86,820	1.0	88,991
Subtotal Classified		11.0	867,043	16.0	1,360,622
Unclassified					
ASSISTANT ADMINISTRATOR/FINANCIAL MANAGEMENT	00134A	1.0	72,920	1.0	101,492
Subtotal Unclassified		1.0	72,920	1.0	101,492
Subtotal		12.0	939,963	17.0	1,462,114
Transfer Out			(55,409)		(56,766)
Turnover			(97,378)		0
FY 2021 Retro COLA Payment			17,110		0
Total Salaries			804,286		1,405,348
Benefits					
Contract Stipends			4,500		4,500
FICA			61,818		107,852
Health Benefits			113,786		228,677
Holiday			3		0
Payroll Accrual			4,607		0
Retiree Health			42,703		63,158
Retirement			231,472		399,690
Subtotal			458,889		803,877

Personnel

Agency: Executive Office of Commerce

Housing and Community Development

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	12.0	1,263,175	17.0	2,209,225
Cost Per FTE Position		105,265		129,954
Statewide Benefit Assessment		32,062		55,688
Payroll Costs	12.0	1,295,237	17.0	2,264,913
Purchased Services				
Other Contracts		8,605,050		5,261,000
Subtotal		8,605,050		5,261,000
Total Personnel	12.0	9,900,287	17.0	7,525,913
Distribution by Source of Funds				
General Revenue	7.0	614,564	12.0	1,385,316
Federal Funds	5.0	9,284,941	5.0	6,140,597
Restricted Receipts	0.0	782	0.0	0
Total All Funds	12.0	9,900,287	17.0	7,525,913

Program Summary

Agency: Executive Office of Commerce

Quasi-Public Appropriations

Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special governmental programs and initiatives in the areas of economic development and research.

Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other related initiatives.

Statutory History

R.I. General Laws § 42-64.19-6(1) charges the Secretary of Commerce with the supervision of the Rhode Island Commerce Corporation's works, which include pass-through funding to the I-195 Redevelopment District Commission and other economic development programs and functions. RIGL § 42-64 establishes the Rhode Island Commerce Corporation. RIGL §42-64.14 is the I-195 Redevelopment Act of 2011, of which §42-64.14-5 establishes the I-195 Redevelopment District Commission.

Budget

Agency: Executive Office of Commerce

Quasi-Public Appropriations

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
I-195 Redevelopment District Commission	1,059,718	1,024,883	1,339,000	1,585,117	1,766,000
Quonset Development Corporation	9,000,000	0	7,200,000	7,220,274	10,300,000
RI Commerce Corporation	7,431,022	7,431,022	7,659,565	7,659,565	7,947,778
RI Commerce Corporation Pass Through Grants	3,928,322	3,926,236	4,926,236	4,926,236	3,926,236
Total Expenditures	21,419,062	12,382,141	21,124,801	21,391,192	23,940,014
Expenditures by Object					
Assistance and Grants	9,000,000	9,147,038	1,900,000	1,900,000	1,900,000
Subtotal: Operating	9,000,000	9,147,038	1,900,000	1,900,000	1,900,000
Capital Purchases and Equipment	0	0	6,578,000	6,844,391	3,905,000
Operating Transfers	12,419,062	3,235,103	12,646,801	12,646,801	18,135,014
Subtotal: Other	12,419,062	3,235,103	19,224,801	19,491,192	22,040,014
Total Expenditures	21,419,062	12,382,141	21,124,801	21,391,192	23,940,014
Expenditures by Source of Funds					
General Revenue	12,120,344	12,118,258	14,546,801	14,546,801	14,035,014
Federal Funds	0	0	0	0	6,000,000
Operating Transfers from Other Funds	9,298,718	263,883	6,578,000	6,844,391	3,905,000
Total Expenditures	21,419,062	12,382,141	21,124,801	21,391,192	23,940,014

Program Summary

Agency: Executive Office of Commerce

Economic Development Initiatives Fund

Mission

To be utilized to fund various economic development initiatives and programs within state government.

Description

This program includes funds for specific development initiatives and programs that the Executive Office uses to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. Funding was initially appropriated for the Economic Development Initiatives Fund as part of the FY2016 Appropriations Act to support a number of new initiatives and programs designed to spur the development of commerce in the state

Budget

Agency: Executive Office of Commerce

Economic Development Initiatives Fund

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Economic Development Initiatives Fund	1,841,217	63,691,797	110,684,176	92,147,735	50,950,000
Total Expenditures	1,841,217	63,691,797	110,684,176	92,147,735	50,950,000
Expenditures by Object					
Operating Supplies and Expenses	0	7,151	0	5,418,125	0
Assistance and Grants	0	46,099,646	56,234,176	33,000,000	35,500,000
Subtotal: Operating	0	46,106,797	56,234,176	38,418,125	35,500,000
Operating Transfers	1,841,217	17,585,000	54,450,000	53,729,610	15,450,000
Subtotal: Other	1,841,217	17,585,000	54,450,000	53,729,610	15,450,000
Total Expenditures	1,841,217	63,691,797	110,684,176	92,147,735	50,950,000
Expenditures by Source of Funds					
General Revenue	1,841,217	38,900,000	54,450,000	54,450,000	15,450,000
Federal Funds	0	24,791,797	56,234,176	37,697,735	35,500,000
Total Expenditures	1,841,217	63,691,797	110,684,176	92,147,735	50,950,000

Program Summary

Agency: Executive Office of Commerce

Commerce Programs

Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

Budget

Agency: Executive Office of Commerce

Commerce Programs

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Economic Initiatives	1,200,000	1,200,000	1,600,000	34,600,000	87,033,000
Total Expenditures	1,200,000	1,200,000	1,600,000	34,600,000	87,033,000
Expenditures by Object					
Contract Professional Services	0	0	0	500,000	500,000
Operating Supplies and Expenses	0	0	0	0	1,500,000
Assistance and Grants	0	1,200,000	0	32,000,000	28,383,000
Subtotal: Operating	0	1,200,000	0	32,500,000	30,383,000
Operating Transfers	1,200,000	0	1,600,000	2,100,000	56,650,000
Subtotal: Other	1,200,000	0	1,600,000	2,100,000	56,650,000
Total Expenditures	1,200,000	1,200,000	1,600,000	34,600,000	87,033,000
Expenditures by Source of Funds					
General Revenue	1,200,000	1,200,000	1,600,000	1,600,000	4,650,000
Federal Funds	0	0	0	33,000,000	82,383,000
Total Expenditures	1,200,000	1,200,000	1,600,000	34,600,000	87,033,000

Agency Summary

Department of Labor and Training

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services for Rhode Island's job seekers and business community. It executes programs and administers laws governing seven program areas: Central Management, Income Support, Workforce Development Services, Inured Workers Services, Workforce Regulation and Safety, the Labor Relations Board, and the Governor's Workforce Board. The Central Management program is responsible for strategic planning, policy development, and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources. The Income Support program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), Temporary Caregivers Insurance (TCI), and the Police and Fire Relief Fund. The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers with skilled workers. The Injured Workers Services program operates the State's Workers' Compensation System. The Chief Judge Robert F. Arrigan Rehabilitation Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unit educates and provides information to workers and employers regarding Workers' Compensation laws and regulations. The Workforce Regulation and Safety program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures. The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices. The Governor's Workforce Board was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses.

Statutory History

R.I. General Laws § 42-16 created the department in 1996. RIGL § 42-6 authorizes the appointment of the Director of Labor and Training.

Budget

Department of Labor and Training

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	678,580	524,449	839,345	1,195,108	1,444,962
Workforce Development Services	24,026,919	22,584,182	19,805,260	26,863,265	20,375,740
Workforce Regulation and Safety	3,155,091	3,112,614	3,489,965	3,960,255	4,240,619
Income Support	1,674,163,830	2,542,555,157	903,599,491	816,088,390	485,558,268
Injured Workers Services	9,325,539	9,524,786	11,172,336	11,082,308	11,403,127
Labor Relations Board	435,735	375,715	407,364	450,778	452,822
Governor's Workforce Board	21,465,293	42,441,977	29,435,204	31,316,590	34,493,377
Total Expenditures	1,733,250,987	2,621,118,882	968,748,965	890,956,694	557,968,915
Expenditures by Object					
Salary And Benefits	41,838,599	45,612,244	56,476,126	52,904,467	54,973,740
Contract Professional Services	5,490,853	47,358,412	18,071,475	23,709,783	9,189,413
Operating Supplies And Expenses	10,989,200	13,018,944	14,095,467	19,417,495	56,509,762
Assistance And Grants	1,662,000,035	2,505,134,514	863,315,831	787,231,431	430,869,334
Subtotal: Operating	1,720,318,688	2,611,124,115	951,958,899	883,263,176	551,542,249
Capital Purchases And Equipment	283,622	231,091	243,810	1,411,257	144,405
Operating Transfers	12,648,676	9,763,676	16,546,256	6,282,261	6,282,261
Subtotal: Other	12,932,299	9,994,768	16,790,066	7,693,518	6,426,666
Total Expenditures	1,733,250,987	2,621,118,882	968,748,965	890,956,694	557,968,915
Expenditures by Source of Funds					
General Revenue	14,025,682	12,667,543	15,366,720	16,633,876	16,357,270
Federal Funds	1,052,872,090	2,021,370,538	435,765,035	414,181,348	117,176,605
Restricted Receipts	28,452,201	19,794,601	27,918,720	32,672,006	32,302,318
Other Funds	637,901,013	567,286,199	489,698,490	427,469,464	392,132,722
Total Expenditures	1,733,250,987	2,621,118,882	968,748,965	890,956,694	557,968,915
FTE Authorization	390.7	425.7	462.7	461.7	461.7

Personnel Agency Summary

Department of Labor and Training

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	447.2	29,590,663	447.2	30,625,934
Unclassified	14.5	1,767,390	14.5	1,820,085
Subtotal	461.7	31,358,053	461.7	32,446,019
Salaries Adjustment		111,799		111,799
Overtime		1,479,864		899,963
Seasonal/Special Salaries/Wages		108,460		111,172
Turnover		(1,264,690)		0
FY 2021 Retro COLA Payment		646,319		0
Total Salaries		32,439,805		33,568,953
Benefits				
Contract Stipends		457,552		457,552
FICA		2,431,512		2,558,962
Health Benefits		5,462,641		6,077,215
Holiday		14		0
Payroll Accrual		178,103		0
Retiree Health		1,662,577		1,487,502
Retirement		9,032,276		9,519,563
Subtotal		19,224,675		20,100,794
Total Salaries and Benefits	461.7	51,664,480	461.7	53,669,747
Cost Per FTE Position		111,901		116,244
Statewide Benefit Assessment		1,239,987		1,303,993
Payroll Costs	461.7	52,904,467	461.7	54,973,740
Purchased Services				
Clerical and Temporary Services		62,233		62,233
Information Technology		10,957,301		4,989,566
Legal Services		474,300		399,300
Management & Consultant Services		967,881		300,000
Medical Services		2,286,097		2,286,097
Other Contracts		8,689,063		952,217
Training and Educational Services		100,000		100,000
University and College Services		172,908		100,000
Subtotal		23,709,783		9,189,413
Total Personnel	461.7	76,614,250	461.7	64,163,153

Personnel Agency Summary

Department of Labor and Training

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	93.5	4,934,046	93.5	5,247,670
Federal Funds	251.2	48,446,079	251.2	35,652,290
Restricted Receipts	50.0	11,449,870	50.0	11,459,563
Other Funds	67.0	11,784,255	67.0	11,803,630
Total All Funds	461.7	76,614,250	461.7	64,163,153

Performance Measures

Department of Labor and Training

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures below represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent. [Notes: Updated actual data for 2017 and target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target	0.00%	87.00%	87.00%	87.00%	87.00%
Actual	183.60%	177.80%	93.50%	0.00%	

Timeliness of UI Adjudication Decisions

The figures below represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent. [Notes: Updated target for 2017 & 2018. 2018 actual data indicated includes 1st through 3rd quarter - 4th quarter 2018 data is not yet available. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target	0.00%	80.00%	80.00%	80.00%	80.00%
Actual	180.00%	118.70%	48.20%	0.00%	

UI Call Center Wait Times

The figures below represent the average amount of time in minutes a caller spends on hold before reaching an agent in the UI call center. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target	10.00	20.00	20.00	30.00	30.00
Actual	38.00	69.00	53.00	0.00	

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures below represent the percentage of cases closed in 90 days or less from date of assignment to examiner. [Notes: 2019 target has been revised. Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target	70.00%	45.00%	45.00%	40.00%	40.00%
Actual	68.00%	89.00%	25.00%	0.00%	

Real Jobs Rhode Island Employer Engagement

The figures below represent the number of employers participating in Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2019	2020	2021	2022	2023
Target	400.00	324.00	324.00	913.00	0.00
Actual	740.00	3,050.00	0.00	0.00	

Performance Measures

Department of Labor and Training

Real Jobs Rhode Island Job Placements

The figures below represent job placements through Real Jobs Partnerships. [Note: Missing values appear as zeros in the measure.]

Frequency: Annual

Reporting Period: Calendar Year

	2019	2020	2021	2022	2023
Target	4,000.00	2,108.00	2,108.00	4,013.00	0.00
Actual	5,660.00	1,646.00	0.00	0.00	

Program Summary

Agency: Department of Labor and Training

Central Management

Mission

To provide leadership, strategic planning and administration of the Department's human resources, division priorities and programs ensuring the efficient and effective management and disbursement of state and federal programs and financial resources allowing for proactive operations and response to the needs of the citizens of Rhode Island.

Description

Organized through the Director's office, the Executive Central Management program provides leadership, management, planning and evaluation of the all department functions, human resources, budget and finance and program performance. This program provides performance data and shares information on key priorities to identify and raise major policy, legislative and program matters on the operation and programs of the department divisions to the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators and other state and national agencies and organizations. The Executive Management Program provides administrative services for the Department including legal, communications, policy and legislation, human resources, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Communications Unit is responsible for handling strategy and execution of a full array of public relations, marketing and communications activities. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources unit processes all personnel actions, maintains ce personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration.

Statutory History

Title 42-16.1 of the Rhode Island General Laws establishes the responsibilities of the Director.

Budget

Agency: Department of Labor and Training

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	678,580	524,449	839,345	1,195,108	1,444,962
Total Expenditures	678,580	524,449	839,345	1,195,108	1,444,962
Expenditures by Object					
Salary and Benefits	201,803	194,304	128,312	397,328	406,544
Contract Professional Services	27,013	5,641	5,000	11,000	11,000
Operating Supplies and Expenses	449,126	324,492	705,770	786,523	1,027,156
Assistance and Grants	8	12	18	12	12
Subtotal: Operating	677,950	524,449	839,100	1,194,863	1,444,712
Capital Purchases and Equipment	630	0	245	245	250
Subtotal: Other	630	0	245	245	250
Total Expenditures	678,580	524,449	839,345	1,195,108	1,444,962
Expenditures by Source of Funds					
General Revenue	493,676	338,434	712,826	829,264	1,065,747
Restricted Receipts	184,904	186,016	126,519	365,844	379,215
Total Expenditures	678,580	524,449	839,345	1,195,108	1,444,962

Personnel

Agency: Department of Labor and Training

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	3.0	164,995	3.0	175,208
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	3.0	287,811	3.0	298,441
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	2.0	232,787	2.0	238,608
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	00134A	2.0	163,296	2.0	173,479
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	1.0	71,281	1.0	74,827
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERV (DEPT/TRANS	00143A	1.0	113,496	1.0	121,850
ASSISTANT DIRECTOR FOR BUSINESS AFFAIRS (DLT)	00139A	1.0	110,912	1.0	113,684
ASSISTANT DIRECTOR FOR EMPLOYMENT & TRAINING SVS (DLT)	00139A	2.0	194,311	2.0	206,531
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	00139A	2.0	226,740	2.0	237,629
BUSINESS MANAGEMENT OFFICER	00B26A	1.0	72,615	1.0	76,747
CENTRAL MAIL ROOM CLERK	00311G	1.0	41,279	1.0	42,311
CHIEF ECONOMIC AND POLICY ANALYST	00142A	1.0	146,597	1.0	150,249
CHIEF FINANCIAL OFFICER II	00144A	1.0	138,416	1.0	148,176
CHIEF IMPLEMENTATION AIDE	00128A	3.0	203,228	3.0	211,468
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	105,808	1.0	108,412
CHIEF PUBLIC AFFAIRS OFFICER (DLT)	00137A	1.0	91,009	1.0	97,087
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	3.0	236,673	3.0	246,091
DATA ANALYST I	00134A	2.0	164,717	2.0	175,115
DATA ANALYST II	00138A	1.0	97,768	1.0	104,665
DEPUTY DIRECTOR (DLT)	00144A	1.0	160,219	1.0	164,196
DLT BUSINESS OFFICER	00321A	1.0	53,442	1.0	54,779
EMPLOYMENT AND TRAINING ASSISTANT	00316A	3.0	139,383	3.0	143,720
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	00320A	1.0	54,353	1.0	55,711
IMPLEMENTATION AIDE	00322A	1.0	62,830	1.0	64,355
LEGAL ASSISTANT	00319A	1.0	47,973	1.0	50,727
PRINCIPAL DLT BUSINESS OFFICER	00127A	1.0	67,401	1.0	69,086
PRINCIPAL INFORMATION AND PUBLIC RELATIONS SPECIALIST	00126A	1.0	64,659	1.0	66,276
PROGRAMMING SERVICES OFFICER	00131A	3.0	257,095	3.0	266,968
SENIOR COMPUTER OPERATOR	00318A	2.0	101,146	2.0	104,656
SENIOR DLT BUSINESS OFFICER	00324A	4.0	270,027	4.0	279,405
SUPERVISING DLT BUSINESS OFFICER	00132A	2.0	181,061	2.0	188,530

Personnel

Agency: Department of Labor and Training

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
SUPERVISOR OF OFFICE SERVICES (DLT)	00131A	2.0	152,483	2.0	159,705
Subtotal Classified		55.0	4,475,811	55.0	4,668,692
Unclassified					
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0948KF	1.0	179,127	1.0	183,606
EXECUTIVE COUNSEL	00839A	1.0	122,511	1.0	125,573
HEARING OFFICER	00914F	0.5	132,710	0.5	136,028
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	6.0	750,371	6.0	769,009
Subtotal Unclassified		8.5	1,184,719	8.5	1,214,216
Subtotal		63.5	5,660,530	63.5	5,882,908
Transfer Out			(5,434,293)		(5,646,368)
Transfer In			20,343		20,843
Overtime			22		0
FY 2021 Retro COLA Payment			2,613		0
Total Salaries			249,215		257,383
Benefits					
Contract Stipends			450		450
FICA			19,263		19,724
Health Benefits			31,134		32,538
Payroll Accrual			1,443		0
Retiree Health			13,412		11,613
Retirement			72,437		74,652
Subtotal			138,139		138,977
Total Salaries and Benefits		63.5	387,354	63.5	396,360
Cost Per FTE Position			6,100		6,242
Statewide Benefit Assessment			9,974		10,184
Payroll Costs		63.5	397,328	63.5	406,544
Purchased Services					
Legal Services			10,000		10,000
Other Contracts			1,000		1,000
Subtotal			11,000		11,000
Total Personnel		63.5	408,328	63.5	417,544

Personnel

Agency: Department of Labor and Training

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	63.5	107,928	63.5	111,121
Restricted Receipts	0.0	300,400	0.0	306,423
Total All Funds	63.5	408,328	63.5	417,544

Program Summary

Agency: Department of Labor and Training

Workforce Development Services

Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Description

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs: The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings. The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities. The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the- job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older. The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act.

Budget

Agency: Department of Labor and Training

Workforce Development Services

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Employment Services	3,468,718	5,777,025	4,405,475	3,574,531	3,466,018
Labor Market Information	772,200	849,931	863,749	804,658	824,803
Veteran Services	506,068	506,368	545,411	633,336	704,620
WIOA & Other Training Programs	19,279,933	15,450,858	13,990,625	21,850,740	15,380,299
Total Expenditures	24,026,919	22,584,182	19,805,260	26,863,265	20,375,740
Expenditures by Object					
Salary and Benefits	7,994,628	7,155,980	9,581,311	8,679,968	8,975,059
Contract Professional Services	372,329	1,067,457	487,249	615,611	579,611
Operating Supplies and Expenses	3,851,623	2,144,875	2,352,929	2,642,620	2,400,537
Assistance and Grants	11,794,896	12,215,862	7,330,675	13,664,115	8,411,044
Subtotal: Operating	24,013,476	22,584,173	19,752,164	25,602,314	20,366,251
Capital Purchases and Equipment	13,443	10	13,515	1,253,690	2,228
Operating Transfers	0	0	39,581	7,261	7,261
Subtotal: Other	13,443	10	53,096	1,260,951	9,489
Total Expenditures	24,026,919	22,584,182	19,805,260	26,863,265	20,375,740
Expenditures by Source of Funds					
General Revenue	762,091	293,245	904,898	1,109,275	903,105
Federal Funds	23,228,660	22,243,599	18,817,837	25,746,182	19,464,609
Other Funds	36,168	47,338	82,525	7,808	8,026
Total Expenditures	24,026,919	22,584,182	19,805,260	26,863,265	20,375,740

Personnel

Agency: Department of Labor and Training

Workforce Development Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	59,834	1.0	61,330
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	102,729	1.0	110,818
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	7.0	536,792	7.0	554,949
ASSISTANT DIRECTOR FOR LABOR MARKET INFO & MGMT SVS DLT	00139A	1.0	127,466	1.0	130,634
BUSINESS SERVICES SPECIALIST	00324A	5.0	298,853	5.0	306,322
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,150	1.0	71,905
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	76,651	1.0	78,569
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	3.0	261,135	3.0	270,641
CHIEF OF RESEARCH AND ANALYSIS	00134A	1.0	98,659	1.0	101,096
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	8.0	645,458	8.0	664,077
COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	00131A	1.0	78,927	1.0	80,900
DISABLED VETERANS JOB ASSISTANT	00320A	3.0	152,970	3.0	158,022
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	96,152	1.0	102,122
EMPLOYMENT AND TRAINING MANAGER	00126A	1.0	59,977	1.0	63,770
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	00320A	1.0	54,354	1.0	55,714
JOB CLASS NAME NEEDED	00323A	1.0	60,145	1.0	61,588
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	108,606	1.0	115,328
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	00320A	2.0	103,532	2.0	106,120
MANAGEMENT ASSISTANCE SUPERVISOR	00131A	3.0	249,620	3.0	255,835
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	00323A	29.0	1,706,512	29.0	1,759,896
PRINCIPAL RESEARCH TECHNICIAN	00127A	1.0	75,200	1.0	80,530
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	00126A	3.2	209,707	3.2	214,879
SENIOR RESEARCH TECHNICIAN	00323A	2.0	125,140	2.0	128,241
Subtotal Classified		78.2	5,358,569	78.2	5,533,286
Subtotal		78.2	5,358,569	78.2	5,533,286
Transfer Out			(1,494,654)		(1,541,580)
Transfer In			1,284,741		1,337,991
Salaries Adjustment			111,799		111,799
Overtime			7,775		6,731
Turnover			(240,796)		0
FY 2021 Retro COLA Payment			248,134		0

Personnel

Agency: Department of Labor and Training

Workforce Development Services

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries		5,275,568		5,448,227
Benefits				
Contract Stipends		37,912		37,912
FICA		433,115		446,371
Health Benefits		877,170		996,994
Payroll Accrual		28,820		0
Retiree Health		290,359		252,085
Retirement		1,526,433		1,577,158
Subtotal		3,193,809		3,310,520
Total Salaries and Benefits	78.2	8,469,377	78.2	8,758,747
Cost Per FTE Position		108,304		112,004
Statewide Benefit Assessment		210,591		216,312
Payroll Costs	78.2	8,679,968	78.2	8,975,059
Purchased Services				
Information Technology		155,561		155,561
Management & Consultant Services		336,000		300,000
Other Contracts		124,050		124,050
Subtotal		615,611		579,611
Total Personnel	78.2	9,295,579	78.2	9,554,670
Distribution by Source of Funds				
General Revenue	0.0	404,758	0.0	198,588
Federal Funds	78.2	8,890,821	78.2	9,356,082
Total All Funds	78.2	9,295,579	78.2	9,554,670

Program Summary

Agency: Department of Labor and Training

Workforce Regulation and Safety

Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Description

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws. The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework. The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures. The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public. The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions. The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

Budget

Agency: Department of Labor and Training

Workforce Regulation and Safety

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Labor Standards	560,073	623,437	568,846	1,112,473	1,169,335
Occupational Safety	723,778	716,294	712,854	917,467	950,101
Professional Regulations	1,871,240	1,772,883	2,208,265	1,930,315	2,121,183
Total Expenditures	3,155,091	3,112,614	3,489,965	3,960,255	4,240,619
Expenditures by Object					
Salary and Benefits	3,199,443	2,861,619	3,039,018	3,845,273	4,379,118
Contract Professional Services	34,613	20,096	0	5,100	5,100
Operating Supplies and Expenses	(85,823)	230,828	449,271	92,008	(144,821)
Assistance and Grants	686	72	514	165	167
Subtotal: Operating	3,148,919	3,112,614	3,488,803	3,942,546	4,239,564
Capital Purchases and Equipment	6,173	0	1,162	17,709	1,055
Subtotal: Other	6,173	0	1,162	17,709	1,055
Total Expenditures	3,155,091	3,112,614	3,489,965	3,960,255	4,240,619
Expenditures by Source of Funds					
General Revenue	3,155,091	3,112,614	3,489,965	3,960,255	4,240,619
Total Expenditures	3,155,091	3,112,614	3,489,965	3,960,255	4,240,619

Personnel

Agency: Department of Labor and Training

Workforce Regulation and Safety

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00324A	1.0	53,009	1.0	56,066
APPRENTICESHIP TRAINING COORDINATOR	00324A	1.0	62,759	1.0	64,328
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	00140A	1.0	111,015	1.0	113,789
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	00330A	1.0	73,301	1.0	76,861
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM. OF ELECT.)	00330A	1.0	74,987	1.0	76,861
CHIEF ELEVATOR INSPECTOR	00330A	1.0	82,486	1.0	84,547
CHIEF IMPLEMENTATION AIDE	00328A	1.0	82,208	1.0	84,251
CHIEF LABOR STANDARDS EXAMINER	00330A	1.0	91,274	1.0	93,524
CHIEF LICENSING EXAMINER-DIVISION OF COMM LICEN & REGUL	00333A	1.0	99,354	1.0	101,768
CHIEF MECHANICAL INVESTIGATOR (BD OF MECHANICAL EXAMINERS)	00330A	1.0	78,736	1.0	80,704
CHIEF PLUMBING INVESTIGATOR (BD OF PLUMBING EXAMINERS)	00330A	1.0	89,984	1.0	92,233
CHIEF PREVAILING WAGE INVESTIGATOR	00330A	1.0	88,208	1.0	90,363
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	1.0	96,485	1.0	98,897
IMPLEMENTATION AIDE	00322A	2.0	125,256	2.0	128,379
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL SAFETY)	00322A	1.0	54,749	1.0	57,889
INDUSTRIAL SAFETY TECHNICIAN (BOILER INSPECTION)	00322A	1.0	67,644	1.0	69,310
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	47,278	1.0	49,437
LABOR STANDARDS EXAMINER	00322A	2.0	99,242	2.0	104,660
LABOR STANDARDS EXAMINER	00326A	4.0	247,941	4.0	262,764
SENIOR PREVAILING WAGE INVESTIGATOR	00328A	1.0	73,039	1.0	74,864
SUPERVISOR APPRENTICESHIP TRAINING PROGRAMS	00327A	2.0	157,324	2.0	161,256
Subtotal Classified		27.0	1,956,279	27.0	2,022,751
Unclassified					
CHIEF HOISTING ENGINEER INVESTIGATOR	00328A	1.0	74,987	1.0	76,861
Subtotal Unclassified		1.0	74,987	1.0	76,861
Subtotal		28.0	2,031,266	28.0	2,099,612
Transfer Out			(196,191)		(204,433)
Transfer In			752,911		776,434
Overtime			368		0
Turnover			(330,744)		0
FY 2021 Retro COLA Payment			43,279		0

Personnel

Agency: Department of Labor and Training

Workforce Regulation and Safety

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries		2,300,889		2,671,613
Benefits				
Contract Stipends		37,066		37,066
FICA		178,612		206,970
Health Benefits		418,638		453,631
Payroll Accrual		13,383		0
Retiree Health		125,042		121,974
Retirement		679,040		780,869
Subtotal		1,451,781		1,600,510
Total Salaries and Benefits	28.0	3,752,670	28.0	4,272,123
Cost Per FTE Position		134,024		152,576
Statewide Benefit Assessment		92,603		106,995
Payroll Costs	28.0	3,845,273	28.0	4,379,118
Purchased Services				
Clerical and Temporary Services		1,500		1,500
Other Contracts		3,600		3,600
Subtotal		5,100		5,100
Total Personnel	28.0	3,850,373	28.0	4,384,218
Distribution by Source of Funds				
General Revenue	28.0	3,850,373	28.0	4,384,218
Total All Funds	28.0	3,850,373	28.0	4,384,218

Program Summary

Agency: Department of Labor and Training

Income Support

Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement. For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel. For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits. Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating. Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 4 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI. Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

Budget

Agency: Department of Labor and Training

Income Support

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Employer Tax	0	5,834	2,609,339	2,789,756	2,880,894
Fire and Police	3,729,089	3,641,798	3,801,667	4,215,748	3,644,977
TDI	220,267,283	197,492,048	205,490,965	209,286,656	215,049,696
Unemployment Insurance	1,450,167,458	2,341,415,477	691,697,520	599,796,230	263,982,701
Total Expenditures	1,674,163,830	2,542,555,157	903,599,491	816,088,390	485,558,268
Expenditures by Object					
Salary and Benefits	22,742,944	28,144,480	36,289,817	32,426,083	33,249,630
Contract Professional Services	2,235,485	14,808,094	4,772,367	12,456,724	5,498,351
Operating Supplies and Expenses	6,500,602	8,192,818	7,181,629	11,753,631	38,644,075
Assistance and Grants	1,630,017,978	2,481,729,608	838,639,694	753,039,489	401,752,408
Subtotal: Operating	1,661,497,009	2,532,874,999	886,883,507	809,675,927	479,144,464
Capital Purchases and Equipment	213,144	211,482	209,309	137,463	138,804
Operating Transfers	12,453,676	9,468,676	16,506,675	6,275,000	6,275,000
Subtotal: Other	12,666,821	9,680,158	16,715,984	6,412,463	6,413,804
Total Expenditures	1,674,163,830	2,542,555,157	903,599,491	816,088,390	485,558,268
Expenditures by Source of Funds					
General Revenue	3,729,089	3,641,798	3,801,667	4,234,304	3,644,977
Federal Funds	1,029,643,430	1,971,048,308	407,411,048	381,247,453	87,711,996
Restricted Receipts	2,926,465	626,190	2,770,811	3,144,977	2,076,599
Other Funds	637,864,846	567,238,861	489,615,965	427,461,656	392,124,696
Total Expenditures	1,674,163,830	2,542,555,157	903,599,491	816,088,390	485,558,268

Personnel

Agency: Department of Labor and Training

Income Support

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	00124A	1.0	62,827	1.0	64,398
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	139,064	1.0	142,725
ASSISTANT DIRECTOR FOR UNEMPLOYMENT INSURANCE (DLT)	00139A	1.0	101,887	1.0	108,532
BENEFIT CLAIMS SPECIALIST	00323A	43.0	2,582,779	43.0	2,662,799
CHIEF IMPLEMENTATION AIDE	00128A	1.0	70,150	1.0	71,904
CHIEF OF LABOR AND TRAINING OPERATIONS	00134A	5.0	461,915	5.0	484,004
CHIEF REFEREE - BOARD OF REVIEW	00138A	1.0	125,042	1.0	128,041
EMERGENCY UNEMPLOYMENT INSURANCE CLAIMS REP	00320A	8.0	414,128	8.0	424,480
EMPLOYER REGISTRATION SUPERVISOR	00326A	1.0	64,344	1.0	65,953
EMPLOYMENT AND TRAINING ADMINISTRATOR	00135A	2.0	190,479	2.0	198,330
EMPLOYMENT AND TRAINING ASSISTANT	00316A	5.0	233,304	5.0	240,850
EMPLOYMENT AND TRAINING MANAGER	00126A	15.0	987,869	15.0	1,021,686
EMPLOYMENT & TRAINING INTERVIEWER	00317A	1.0	44,186	1.0	46,152
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	00320A	8.0	408,366	8.0	421,836
EMPLOYMENT & TRAINING INTERVIEWER & INTERPR (PORTUGUESE	00320A	2.0	97,636	2.0	103,073
FRAUD AND OVERPAYMENT INVESTIGATOR	00326A	3.0	179,971	3.0	190,860
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	128,963	1.0	132,154
INTERPRETER (SPANISH)	00316A	3.0	139,301	3.0	143,693
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	93,453	1.0	99,344
NURSING CARE EVALUATOR	00520A	3.0	249,002	3.0	236,340
OFFICE MANAGER	00123A	1.0	57,643	1.0	59,084
PRINCIPAL CLERK-TYPIST	00312A	1.0	41,963	1.0	43,012
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	00323A	2.0	115,394	2.0	118,278
PRINCIPAL EMPLOYMENT AND TRAINING MANAGER	00130A	3.0	221,911	3.0	232,733
PRINCIPAL REVENUE AGENT	00831A	4.0	330,568	4.0	338,776
PROGRAMMING SERVICES OFFICER	00131A	1.0	78,928	1.0	80,900
REFEREE - BOARD OF REVIEW	00137A	4.0	443,296	4.0	457,936
REVENUE OFFICER	00321A	1.0	56,115	1.0	57,518
REVENUE OFFICER SPECIAL INVESTIGATIONS	00326A	3.0	192,581	3.0	205,219
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	00320A	81.0	4,066,065	81.0	4,222,096
SENIOR EMPLOYMENT AND TRAINING MANAGER	00128A	1.0	70,149	1.0	71,904

Personnel

Agency: Department of Labor and Training

Income Support

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	00126A	6.0	392,873	6.0	406,331
SENIOR RESEARCH TECHNICIAN	00323A	1.0	60,582	1.0	62,096
SENIOR REVENUE OFFICER	00324A	2.0	120,784	2.0	125,594
SENIOR REVENUE POLICY ANALYST (DOR)	00323A	1.0	60,582	1.0	62,096
TAX EXAMINER (DOA)	00321A	6.0	343,181	6.0	351,732
TAXPAYER SERVICE SPECIALIST	00323A	12.0	692,274	12.0	732,028
TRAINING SUPERVISOR	00326A	1.0	67,561	1.0	69,250
Subtotal Classified		237.0	14,187,116	237.0	14,683,737
Unclassified					
CHAIRPERSON MEMBER OF BOARD OF REVIEW (ES)	00837A	1.0	105,888	1.0	108,535
CONFIDENTIAL SECRETARY	00818A	1.0	60,825	1.0	62,346
LEGAL COUNSEL (BOARD OF REVIEW)	00889F	0.5	51,763	0.5	53,058
LEGAL COUNSEL (EMPLOYMENT SECURITY)	00889F	0.5	103,525	0.5	106,113
Subtotal Unclassified		3.0	322,001	3.0	330,052
Subtotal		240.0	14,509,117	240.0	15,013,789
Transfer In			4,257,516		4,411,189
Overtime			1,470,458		892,218
Seasonal/Special Salaries/Wages			15,312		15,695
Turnover			(476,574)		0
FY 2021 Retro COLA Payment			240,842		0
Total Salaries			20,016,671		20,332,891
Benefits					
Contract Stipends			328,033		328,033
FICA			1,445,424		1,510,641
Health Benefits			3,352,544		3,711,300
Holiday			14		0
Payroll Accrual			108,553		0
Retiree Health			989,687		884,954
Retirement			5,438,756		5,701,562
Subtotal			11,663,011		12,136,490
Total Salaries and Benefits		240.0	31,679,682	240.0	32,469,381
Cost Per FTE Position			131,999		135,289
Statewide Benefit Assessment			746,401		780,249

Personnel

Agency: Department of Labor and Training

Income Support

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Payroll Costs	240.0	32,426,083	240.0	33,249,630
Purchased Services				
Clerical and Temporary Services		50,168		50,168
Information Technology		10,150,085		4,209,850
Legal Services		414,300		339,300
Management & Consultant Services		293,597		0
Medical Services		80,800		80,800
Other Contracts		1,294,866		718,233
University and College Services		172,908		100,000
Subtotal		12,456,724		5,498,351
Total Personnel	240.0	44,882,807	240.0	38,747,981
Distribution by Source of Funds				
General Revenue	0.0	135,416	0.0	116,422
Federal Funds	173.0	32,367,545	173.0	26,296,208
Restricted Receipts	0.0	595,591	0.0	531,721
Other Funds	67.0	11,784,255	67.0	11,803,630
Total All Funds	240.0	44,882,807	240.0	38,747,981

Program Summary

Agency: Department of Labor and Training

Injured Workers Services

Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Chief Judge Robert F. Arrigan Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues. The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants. The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge. The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Arrigan Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed. The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

Budget

Agency: Department of Labor and Training

Injured Workers Services

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Education & Rehabilitation	4,356,700	4,823,207	5,356,922	5,346,305	5,511,857
Workers' Comp Compliance	4,968,839	4,701,579	5,815,414	5,736,003	5,891,270
Total Expenditures	9,325,539	9,524,786	11,172,336	11,082,308	11,403,127
Expenditures by Object					
Salary and Benefits	5,158,454	4,838,051	5,088,161	5,503,011	5,499,188
Contract Professional Services	2,418,490	2,713,532	2,880,599	3,013,841	3,013,841
Operating Supplies and Expenses	838,599	1,170,646	1,290,818	1,528,391	1,844,213
Assistance and Grants	876,769	782,958	1,908,617	1,035,215	1,044,017
Subtotal: Operating	9,292,312	9,505,186	11,168,195	11,080,458	11,401,259
Capital Purchases and Equipment	33,227	19,600	4,141	1,850	1,868
Subtotal: Other	33,227	19,600	4,141	1,850	1,868
Total Expenditures	9,325,539	9,524,786	11,172,336	11,082,308	11,403,127
Expenditures by Source of Funds					
Restricted Receipts	9,325,539	9,524,786	11,172,336	11,082,308	11,403,127
Total Expenditures	9,325,539	9,524,786	11,172,336	11,082,308	11,403,127

Personnel

Agency: Department of Labor and Training

Injured Workers Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	62,807	1.0	64,345
ASSISTANT ADMINISTRATOR OF VOCATIONAL REHAB (REHAB)	00132A	1.0	82,008	1.0	84,058
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	1.0	73,001	1.0	74,826
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)	00320A	2.0	100,454	2.0	104,147
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	00140A	1.0	135,623	1.0	138,952
CHIEF DATA OPERATIONS	00333A	1.0	102,413	1.0	104,932
CHIEF INVESTIGATOR WORKERS COMPENSATION (FRAUD PRV UNT)	0AB38A	1.0	117,439	1.0	123,790
COMPENSATION CLAIMS ANALYST	00322A	3.0	177,655	3.0	182,095
DATA ANALYST II	00138A	1.0	98,596	1.0	104,665
EDUCATION UNIT REPRESENTATIVE	00326A	2.0	145,593	2.0	149,133
EMPLOYMENT AND TRAINING ASSISTANT	00316A	2.0	90,856	2.0	93,989
IMPLEMENTATION AIDE	0AB22A	1.0	62,094	1.0	63,620
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	0AB30A	5.0	412,418	5.0	422,493
MEDICAL ASSISTANT	00320A	1.0	51,766	1.0	53,060
MEDICAL RECORDS TECHNICIAN	00320A	1.0	51,766	1.0	53,060
PHYSICAL THERAPY ASSISTANT	00320A	5.0	281,081	5.0	288,036
SENIOR WORD PROCESSING TYPIST	00312A	2.0	86,024	2.0	88,175
SUPERVISOR OF VOCATIONAL REHABILITATION (DISAB. DETER.)	00329A	1.0	72,271	1.0	74,078
UNIT CLAIMS MANAGER	00326A	1.0	78,237	1.0	80,168
WORKERS' COMPENSATION PATIENT CARE COORDINATOR	00520A	2.0	176,116	2.0	166,320
Subtotal Classified		35.0	2,458,218	35.0	2,513,942
Subtotal		35.0	2,458,218	35.0	2,513,942
Transfer Out			(20,343)		(20,843)
Transfer In			761,820		792,108
Overtime			167		0
FY 2021 Retro COLA Payment			72,585		0
Total Salaries			3,272,447		3,285,207

Personnel

Agency: Department of Labor and Training

Injured Workers Services

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		52,114		52,114
FICA		253,765		254,899
Health Benefits		633,900		663,306
Payroll Accrual		18,951		0
Retiree Health		178,689		150,559
Retirement		961,497		961,277
Subtotal		2,098,916		2,082,155
Total Salaries and Benefits	35.0	5,371,363	35.0	5,367,362
Cost Per FTE Position		153,468		153,353
Statewide Benefit Assessment		131,648		131,826
Payroll Costs	35.0	5,503,011	35.0	5,499,188
Purchased Services				
Information Technology		603,210		603,210
Medical Services		2,205,297		2,205,297
Other Contracts		105,334		105,334
Training and Educational Services		100,000		100,000
Subtotal		3,013,841		3,013,841
Total Personnel	35.0	8,516,852	35.0	8,513,029
Distribution by Source of Funds				
Restricted Receipts	35.0	8,516,852	35.0	8,513,029
Total All Funds	35.0	8,516,852	35.0	8,513,029

Program Summary

Agency: Department of Labor and Training

Labor Relations Board

Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

Budget

Agency: Department of Labor and Training

Labor Relations Board

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Labor Relations	435,735	375,715	407,364	450,778	452,822
Total Expenditures	435,735	375,715	407,364	450,778	452,822
Expenditures by Object					
Salary and Benefits	394,118	410,542	339,957	375,006	376,756
Contract Professional Services	52,330	75,560	32,500	60,565	60,565
Operating Supplies and Expenses	(12,894)	(110,393)	34,582	14,892	15,286
Assistance and Grants	11	6	22	15	15
Subtotal: Operating	433,565	375,715	407,061	450,478	452,622
Capital Purchases and Equipment	2,170	0	303	300	200
Subtotal: Other	2,170	0	303	300	200
Total Expenditures	435,735	375,715	407,364	450,778	452,822
Expenditures by Source of Funds					
General Revenue	435,735	375,715	407,364	450,778	452,822
Total Expenditures	435,735	375,715	407,364	450,778	452,822

Personnel

Agency: Department of Labor and Training

Labor Relations Board

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Classified					
LABOR BOARD CASE AGENT	00128A	1.0	84,076	1.0	86,137
Subtotal Classified		1.0	84,076	1.0	86,137
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	00833A	1.0	82,705	1.0	87,869
Subtotal Unclassified		1.0	82,705	1.0	87,869
Subtotal		2.0	166,781	2.0	174,006
Overtime			7		0
Seasonal/Special Salaries/Wages			93,148		95,477
FY 2021 Retro COLA Payment			7,289		0
Total Salaries			267,225		269,483
Benefits					
FICA			20,441		20,617
Health Benefits			22,127		23,197
Payroll Accrual			966		0
Retiree Health			9,135		7,847
Retirement			48,315		48,739
Subtotal			100,984		100,400
Total Salaries and Benefits		2.0	368,209	2.0	369,883
Cost Per FTE Position			184,105		184,942
Statewide Benefit Assessment			6,797		6,873
Payroll Costs		2.0	375,006	2.0	376,756
Purchased Services					
Clerical and Temporary Services			10,565		10,565
Legal Services			50,000		50,000
Subtotal			60,565		60,565
Total Personnel		2.0	435,571	2.0	437,321
Distribution by Source of Funds					
General Revenue		2.0	435,571	2.0	437,321
Total All Funds		2.0	435,571	2.0	437,321

Program Summary

Agency: Department of Labor and Training

Governor's Workforce Board

Mission

To unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders.

Description

The Governor's Workforce Board is the state's primary policy-making body on workforce development matters. The Governor's Workforce Board invests in a range of initiatives, programs and services serving thousands of Rhode Island businesses and Rhode Islanders annually through Real Jobs RI, Real Pathways RI, Real Skills for Youth, the Work Immersion and Incumbent Worker Training Programs. The Governor's Workforce Board is mandated by statute to utilize funds collected under the Job Development Assessment to invest in initiatives to create a resilient economy while meeting local demand.

Statutory History

R.I. General Laws § 42-102 established the Governor's Workforce Board.

Budget

Agency: Department of Labor and Training

Governor's Workforce Board

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Governor's Workforce Board Operations	21,465,293	42,441,977	29,435,204	31,316,590	34,493,377
Total Expenditures	21,465,293	42,441,977	29,435,204	31,316,590	34,493,377
Expenditures by Object					
Salary and Benefits	2,147,209	2,007,267	2,009,550	1,677,798	2,087,445
Contract Professional Services	350,595	28,668,033	9,893,760	7,546,942	20,945
Operating Supplies and Expenses	(552,033)	1,065,679	2,080,468	2,599,430	12,723,316
Assistance and Grants	19,309,686	10,405,998	15,436,291	19,492,420	19,661,671
Subtotal: Operating	21,255,458	42,146,977	29,420,069	31,316,590	34,493,377
Capital Purchases and Equipment	14,835	0	15,135	0	0
Operating Transfers	195,000	295,000	0	0	0
Subtotal: Other	209,835	295,000	15,135	0	0
Total Expenditures	21,465,293	42,441,977	29,435,204	31,316,590	34,493,377
Expenditures by Source of Funds					
General Revenue	5,450,000	4,905,737	6,050,000	6,050,000	6,050,000
Federal Funds	0	28,078,631	9,536,150	7,187,713	10,000,000
Restricted Receipts	16,015,293	9,457,609	13,849,054	18,078,877	18,443,377
Total Expenditures	21,465,293	42,441,977	29,435,204	31,316,590	34,493,377

Personnel

Agency: Department of Labor and Training

Governor's Workforce Board

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	00129A	4.0	261,560	4.0	277,721
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	88,608	1.0	90,823
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	00131A	6.0	471,691	6.0	485,400
LABOR AND TRAINING ADMINISTRATOR	00138A	1.0	101,573	1.0	108,986
OFFICE MANAGER	00123A	1.0	57,643	1.0	59,084
PROGRAMMING SERVICES OFFICER	00131A	1.0	89,519	1.0	95,375
Subtotal Classified		14.0	1,070,594	14.0	1,117,389
Unclassified					
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE BOARD	00839A	1.0	102,978	1.0	111,087
Subtotal Unclassified		1.0	102,978	1.0	111,087
Subtotal		15.0	1,173,572	15.0	1,228,476
Transfer Out			(571,700)		(595,848)
Transfer In			639,850		670,507
Overtime			1,067		1,014
Turnover			(216,576)		0
FY 2021 Retro COLA Payment			31,577		0
Total Salaries			1,057,790		1,304,149
Benefits					
Contract Stipends			1,977		1,977
FICA			80,892		99,740
Health Benefits			127,128		196,249
Payroll Accrual			5,987		0
Retiree Health			56,253		58,470
Retirement			305,798		375,306
Subtotal			578,035		731,742
Total Salaries and Benefits		15.0	1,635,825	15.0	2,035,891
Cost Per FTE Position			109,055		135,726
Statewide Benefit Assessment			41,973		51,554
Payroll Costs		15.0	1,677,798	15.0	2,087,445
Purchased Services					
Information Technology			48,445		20,945
Management & Consultant Services			338,284		0

Personnel

Agency: Department of Labor and Training

Governor's Workforce Board

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Other Contracts		7,160,213		0
Subtotal		7,546,942		20,945
Total Personnel	15.0	9,224,740	15.0	2,108,390
Distribution by Source of Funds				
Federal Funds	0.0	7,187,713	0.0	0
Restricted Receipts	15.0	2,037,027	15.0	2,108,390
Total All Funds	15.0	9,224,740	15.0	2,108,390

Agency Summary

Department of Revenue

Agency Mission

The mission of the Department of Revenue is to administer its programs, and consistently execute the laws and regulations with integrity and accountability, thereby instilling public confidence in the work performed by the department.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has eight programmatic functions, including the Office of the Director, Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, State Aid and Collections.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Department of Revenue

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Director of Revenue	1,952,914	47,864,814	1,792,985	2,031,009	2,257,475
Office of Revenue Analysis	760,248	705,892	889,151	961,281	970,638
Lottery Division	268,244,567	260,969,456	434,215,853	366,644,813	436,842,155
Municipal Finance	2,250,710	1,984,543	133,675,762	133,639,000	133,695,638
Taxation	30,975,361	31,525,304	35,049,885	38,643,639	37,254,940
Registry of Motor Vehicles	29,324,641	31,398,399	32,486,964	33,643,305	38,516,863
State Aid	150,260,078	197,237,103	200,629,121	187,492,691	226,478,625
Division of Collections	654,032	588,512	828,769	864,653	887,668
Total Expenditures	484,422,551	572,274,024	839,568,490	763,920,391	876,904,002
Expenditures by Object					
Salary And Benefits	57,475,560	54,914,352	57,835,253	61,633,323	62,189,537
Contract Professional Services	4,050,208	8,995,392	10,227,274	11,802,274	10,277,274
Operating Supplies And Expenses	271,224,287	264,085,470	435,903,288	367,751,917	442,407,824
Assistance And Grants	1,199,293	46,433,257	132,491,111	132,769,844	132,769,844
Subtotal: Operating	333,949,348	374,428,471	636,456,926	573,957,358	647,644,479
Capital Purchases And Equipment	139,739	616,895	228,530	248,530	1,098,530
Aid To Local Units Of Government	150,270,852	197,213,659	202,850,933	189,714,503	228,160,993
Operating Transfers	62,611	15,000	32,101	0	0
Subtotal: Other	150,473,202	197,845,553	203,111,564	189,963,033	229,259,523
Total Expenditures	484,422,551	572,274,024	839,568,490	763,920,391	876,904,002
Expenditures by Source of Funds					
General Revenue	210,230,635	122,575,064	267,868,120	260,144,822	300,382,840
Federal Funds	1,728,860	185,729,440	132,419,998	132,241,259	132,927,594
Restricted Receipts	3,159,190	2,233,220	4,909,519	4,717,857	6,596,413
Operating Transfers From Other Funds	0	0	0	0	850,000
Other Funds	269,303,867	261,736,301	434,370,853	366,816,453	436,147,155
Total Expenditures	484,422,551	572,274,024	839,568,490	763,920,391	876,904,002
FTE Authorization	602.5	602.5	570.5	570.5	575.5

Personnel Agency Summary

Department of Revenue

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	461.5	29,437,210	466.5	30,833,749
Unclassified	109.0	8,281,556	109.0	8,543,316
Subtotal	570.5	37,718,766	575.5	39,377,065
Transfer Out		(143,299)		(154,143)
Overtime		1,049,522		1,027,503
Seasonal/Special Salaries/Wages		547,137		560,802
Turnover		(2,831,767)		(3,217,453)
FY 2021 Retro COLA Payment		815,260		0
Total Salaries		37,155,619		37,593,774
Benefits				
Contract Stipends		561,750		569,250
FICA		2,798,659		2,835,062
Health Benefits		7,118,148		7,522,056
Holiday		2,384		0
Payroll Accrual		205,919		0
Retiree Health		1,908,913		1,638,454
Retirement		10,453,793		10,586,330
Subtotal		23,049,566		23,151,152
Total Salaries and Benefits	570.5	60,205,185	575.5	60,744,926
Cost Per FTE Position		105,531		105,552
Statewide Benefit Assessment		1,428,138		1,444,611
Payroll Costs	570.5	61,633,323	575.5	62,189,537
Purchased Services				
Buildings and Ground Maintenance		28,771		28,771
Clerical and Temporary Services		21,425		21,425
Information Technology		11,127,396		9,552,396
Legal Services		100,000		100,000
Management & Consultant Services		236,382		236,382
Other Contracts		288,300		338,300
Subtotal		11,802,274		10,277,274
Total Personnel	570.5	73,435,597	575.5	72,466,811
Distribution by Source of Funds				
General Revenue	464.5	58,449,061	465.5	55,084,483
Federal Funds	0.0	274,743	0.0	220,000
Restricted Receipts	0.0	1,486,162	4.0	3,904,162
Other Funds	106.0	13,225,631	106.0	13,258,166
Total All Funds	570.5	73,435,597	575.5	72,466,811

Performance Measures

Department of Revenue

Timeliness of Municipal Reporting

The Division of Municipal Finance uses numerous tools to track the fiscal health of Rhode Island's communities. RI General Laws § 45-12-22.2 requires municipalities to submit a quarterly report on or before the 25th day of the month succeeding the end of each fiscal quarter, certifying the status of the municipal budget, including the school budget. That data is used to identify potential areas for budget shortfalls. The figures below represent the percentage of municipal quarterly reports submitted to the Division on time. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	25.00%	20.00%	25.00%	35.00%
Actual	46.00%	22.00%	11.60%	0.00%	

DMV Transaction Wait Times

The Rhode Island Division of Motor Vehicles (DMV) tracks transaction wait times to examine operational efficiency. DMV uses the indicators to recommend process adjustments to improve customer experience. The figures below represent the average wait time for licenses and registrations at DMV's Cranston headquarters. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00	60.00	30.00	30.00	30.00
Actual	116.00	116.00	18.00	0.00	

Tax Returns Filed Online

The figures below represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing. This measure is under development, and currently includes the following categories of tax returns: bank, insurance, and other tax types/fees from legacy system, IFTA (fuel), and personal income tax. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	90.00%	92.00%	91.00%	91.50%
Actual	178.00%	180.00%	90.72%	0.00%	

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct line to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00	1,300.00	2,600.00	3,200.00	3,200.00
Actual	2,194.00	4,182.00	2,106.00	0.00	

Program Summary

Agency: Department of Revenue

Director of Revenue

Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Agency: Department of Revenue

Director of Revenue

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Director of Revenue	1,952,914	47,864,814	1,792,985	2,031,009	2,257,475
Total Expenditures	1,952,914	47,864,814	1,792,985	2,031,009	2,257,475
Expenditures by Object					
Salary and Benefits	1,220,547	1,245,540	1,213,198	1,451,222	1,363,829
Contract Professional Services	200	525	0	0	0
Operating Supplies and Expenses	730,175	707,222	577,762	577,762	891,621
Assistance and Grants	0	45,426,746	0	0	0
Subtotal: Operating	1,950,922	47,380,033	1,790,960	2,028,984	2,255,450
Capital Purchases and Equipment	1,992	484,782	2,025	2,025	2,025
Subtotal: Other	1,992	484,782	2,025	2,025	2,025
Total Expenditures	1,952,914	47,864,814	1,792,985	2,031,009	2,257,475
Expenditures by Source of Funds					
General Revenue	1,917,893	1,924,344	1,792,985	2,031,009	2,257,475
Federal Funds	35,021	45,940,471	0	0	0
Total Expenditures	1,952,914	47,864,814	1,792,985	2,031,009	2,257,475

Personnel

Agency: Department of Revenue

Director of Revenue

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	2.0	283,080	2.0	295,653
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	122,213	1.0	125,268
CHIEF IMPLEMENTATION AIDE	00128A	1.0	73,457	1.0	75,211
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	122,534	1.0	125,598
IMPLEMENTATION AIDE	00122A	1.0	55,383	1.0	56,767
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	94,786	1.0	100,747
PROGRAMMING SERVICES OFFICER	00131A	2.0	161,800	2.0	165,845
Subtotal Classified		9.0	913,253	9.0	945,089
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	0956KF	1.0	141,831	1.0	145,377
Subtotal Unclassified		1.0	141,831	1.0	145,377
Subtotal		10.0	1,055,084	10.0	1,090,466
Turnover			(171,040)		(242,669)
FY 2021 Retro COLA Payment			19,989		0
Total Salaries			904,033		847,797
Benefits					
FICA			68,389		64,195
Health Benefits			127,598		133,445
Payroll Accrual			5,160		0
Retiree Health			47,775		37,982
Retirement			262,442		246,923
Subtotal			511,364		482,545
Total Salaries and Benefits		10.0	1,415,397	10.0	1,330,342
Cost Per FTE Position			141,540		133,034
Statewide Benefit Assessment			35,825		33,487
Payroll Costs		10.0	1,451,222	10.0	1,363,829
Total Personnel		10.0	1,451,222	10.0	1,363,829
Distribution by Source of Funds					
General Revenue		10.0	1,451,222	10.0	1,363,829
Total All Funds		10.0	1,451,222	10.0	1,363,829

Program Summary

Agency: Department of Revenue

Office of Revenue Analysis

Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142-3).

Budget

Agency: Department of Revenue

Office of Revenue Analysis

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Revenue Analysis	760,248	705,892	889,151	961,281	970,638
Total Expenditures	760,248	705,892	889,151	961,281	970,638
Expenditures by Object					
Salary and Benefits	656,116	607,411	783,435	855,565	858,122
Contract Professional Services	2,950	400	0	0	0
Operating Supplies and Expenses	101,183	98,081	104,691	104,691	111,491
Subtotal: Operating	760,248	705,892	888,126	960,256	969,613
Capital Purchases and Equipment	0	0	1,025	1,025	1,025
Subtotal: Other	0	0	1,025	1,025	1,025
Total Expenditures	760,248	705,892	889,151	961,281	970,638
Expenditures by Source of Funds					
General Revenue	760,248	705,892	889,151	961,281	970,638
Total Expenditures	760,248	705,892	889,151	961,281	970,638

Personnel

Agency: Department of Revenue

Office of Revenue Analysis

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
CHIEF ECONOMIC AND POLICY ANALYST	00142A	1.0	115,152	1.0	123,524
DATA ANALYST III	00142A	1.0	121,774	1.0	124,818
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	106,192	1.0	108,745
SENIOR ECONOMIC AND POLICY ANALYST	00134A	2.0	177,216	2.0	181,646
Subtotal Classified		5.0	520,334	5.0	538,733
Unclassified					
CHIEF OF REVENUE ANALYSIS	00845A	1.0	156,497	1.0	160,410
Subtotal Unclassified		1.0	156,497	1.0	160,410
Subtotal		6.0	676,831	6.0	699,143
Transfer Out			(121,774)		(124,818)
Turnover			(15,520)		(19,630)
FY 2021 Retro COLA Payment			9,873		0
Total Salaries			549,410		554,695
Benefits					
FICA			41,433		41,907
Health Benefits			51,351		53,644
Payroll Accrual			3,149		0
Retiree Health			29,028		24,851
Retirement			159,437		161,114
Subtotal			284,398		281,516
Total Salaries and Benefits		6.0	833,808	6.0	836,211
Cost Per FTE Position			138,968		139,369
Statewide Benefit Assessment			21,757		21,911
Payroll Costs		6.0	855,565	6.0	858,122
Total Personnel		6.0	855,565	6.0	858,122
Distribution by Source of Funds					
General Revenue		6.0	855,565	6.0	858,122
Total All Funds		6.0	855,565	6.0	858,122

Program Summary

Agency: Department of Revenue

Lottery Division

Mission

The mission of the Rhode Island Lottery is to generate revenue for the State of Rhode Island through the responsible management and sale of entertaining lottery products while incorporating the highest standards of security and integrity, setting and achieving challenging goals, emphasizing customer service and maintaining the public trust.

Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report. The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi- State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses. R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Tiverton. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River and Tiverton Casino.

Statutory History

R.I. General Laws § 42-61 provides the general authority for the State Division of Lottery. RIGL § 42-142 establishes the State Lottery as a division of the Department of Revenue.

Budget

Agency: Department of Revenue

Lottery Division

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Lottery Division	268,244,567	260,969,456	434,215,853	366,644,813	436,842,155
Total Expenditures	268,244,567	260,969,456	434,215,853	366,644,813	436,842,155
Expenditures by Object					
Salary and Benefits	11,982,352	11,443,366	12,520,279	13,079,980	13,129,155
Contract Professional Services	30,866	6,545	129,011	129,011	129,011
Operating Supplies and Expenses	255,925,447	249,177,614	421,124,691	352,715,217	422,013,384
Assistance and Grants	305,901	316,612	270,917	549,650	549,650
Subtotal: Operating	268,244,567	260,944,136	434,044,898	366,473,858	435,821,200
Capital Purchases and Equipment	0	25,320	170,955	170,955	1,020,955
Subtotal: Other	0	25,320	170,955	170,955	1,020,955
Total Expenditures	268,244,567	260,969,456	434,215,853	366,644,813	436,842,155
Expenditures by Source of Funds					
General Revenue	0	0	0	330	0
Federal Funds	124,968	39,750	0	0	0
Operating Transfers from Other Funds	0	0	0	0	850,000
Other Funds	268,119,599	260,929,706	434,215,853	366,644,483	435,992,155
Total Expenditures	268,244,567	260,969,456	434,215,853	366,644,813	436,842,155

Personnel

Agency: Department of Revenue

Lottery Division

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER	00829A	1.0	79,118	1.0	81,096
ACCOUNTING MANAGER	00829JA	1.0	71,046	1.0	75,472
ADMINISTRATIVE ASSISTANT	00825A	1.0	74,033	1.0	76,179
ADMINISTRATIVE OFFICER	00822A	1.0	70,129	1.0	71,852
ASSISTANT CONTROLLER	00824A	1.0	64,818	1.0	66,439
ASSISTANT MANAGER-MARKETING- AGENT LICENSES & REPRES.	00826A	2.0	151,182	2.0	154,961
ASSISTANT PRODUCTION MANAGER (LOTTERY)	00824A	1.0	74,541	1.0	76,405
ASSISTANT PRODUCTION WORKER	00818A	2.0	103,532	2.0	106,120
CASINO COMPLIANCE REPRESENTATIVE	00825A	23.0	1,509,203	23.0	1,574,195
CASINO COMPLIANCE SUPERVISOR	00829A	4.0	316,472	4.0	324,384
CASINO FINANCIAL ANALYST	00832A	2.0	177,642	2.0	182,082
CASINO FINANCIAL ANALYST SUPERVISOR	00834A	1.0	107,427	1.0	110,054
CASINO GAMING OPERATIONS INVESIGATOR	00826A	6.0	421,902	6.0	432,450
CASINO INSPECTIONS AND STANDARDS COMPLIANCE MANAGER	00832A	1.0	88,821	1.0	91,041
CASINO IT SUPPORT SPECIALIST	00826A	1.0	70,317	1.0	72,075
CASINO OPERATIONS AND COMPLIANCE MANAGER	00841A	1.0	127,464	1.0	130,651
CASINO SECURITY INSPECTOR	00827A	7.0	512,232	7.0	525,042
CASINO SECURITY INSPECTOR	00827JA	1.0	65,769	1.0	69,840
CASINO SENIOR COMPLIANCE SUPERVISOR	00834A	1.0	95,543	1.0	97,931
CASINO/SPORTS BETTING COMPLIANCE SUPERVISOR	00836A	1.0	107,476	1.0	110,162
CASINO SURVEILLANCE ANALYST	00832A	2.0	177,642	2.0	182,082
CASINO TABLE GAMES ACCOUNTING MANAGER	00833A	2.0	182,043	2.0	188,832
CHIEF FISCAL AFFAIRS AND CONTROLLER	00837A	1.0	105,888	1.0	108,535
COMPUTER PROGRAMMER	00825A	1.0	67,565	1.0	69,254
DEPUTY DIRECTOR (LOTTERY)	00842JA	1.0	119,165	1.0	127,673
DIRECTOR MANAGEMENT INFORMATION SYSTEMS (LOTTERY)	00840A	1.0	140,379	1.0	143,889
EXECUTIVE SECRETARY	00822A	1.0	71,972	1.0	73,771
FIELD REPRESENTATIVE (LOTTERY)	00822A	8.0	478,468	8.0	495,691
FIELD REPRESENTATIVE (LOTTERY)	00822JA	2.0	105,622	2.0	111,746
FINANCE ADMINISTRATION (MANAGER)	00839A	1.0	122,509	1.0	125,572
INFORMATION TECHNOLOGY SECURITY MANAGER	00829A	1.0	78,649	1.0	81,096
INSTANT TICKET DEVELOPMENT SUPERVISOR	00827A	1.0	84,152	1.0	86,257
INTERNAL AUDITOR	00833JA	1.0	82,705	1.0	87,869

Personnel

Agency: Department of Revenue

Lottery Division

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
LICENSING CLERK	00820A	1.0	61,069	1.0	62,595
LOTTERY DIRECTOR	0816JF	1.0	137,214	1.0	128,136
LOTTERY SALES & MARKETING MANAGER	00834A	1.0	100,320	1.0	102,828
MAINTENANCE PERSON (LOTTERY)	00822A	1.0	53,471	1.0	56,530
MANAGER MARKETING AGENT LICENSES AND REPRESENTATIVES	00834A	1.0	100,320	1.0	102,828
PRINCIPAL PROJECTS MANAGER	00831A	1.0	94,061	1.0	96,413
PRINCIPAL PROJECTS MANAGER	00831JA	1.0	76,761	1.0	81,554
PROBLEM GAMBLING PROGRAM MGR	00836A	1.0	102,358	1.0	104,916
PRODUCTION CLERK	00822A	1.0	59,977	1.0	61,476
PRODUCTION MANAGER (LOTTERY)	00828A	1.0	83,645	1.0	85,736
PROJECT COORDINATOR	00826A	1.0	73,833	1.0	75,679
RECEPTIONIST	00817A	1.0	61,253	1.0	62,696
SECRETARY	00818A	2.0	108,708	2.0	111,426
SECRETARY	00818JA	1.0	46,850	1.0	49,249
SECURITY MANAGER	00827A	1.0	76,835	1.0	78,756
SOFTWARE SUPPORT SPECIALIST	00833A	1.0	92,113	1.0	94,416
SPORTS BETTING BUSINESS ANALYST	00833A	1.0	92,113	1.0	94,416
STAFF ATTORNEY VII	00840A	1.0	121,239	1.0	125,121
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	00822A	1.0	50,019	1.0	55,010
TICKET ACCOUNTING CLERK (LOTTERY)	00820A	1.0	61,069	1.0	62,595
VALIDATIONS OFFICER	00817JA	1.0	45,681	1.0	47,907
VIDEO LOTTERY SUPERVISOR	00827JA	1.0	65,769	1.0	69,840
VIDEO LOTTERY SYSTEMS MANAGER	00827A	1.0	73,176	1.0	75,006
Subtotal Unclassified		106.0	7,943,280	106.0	8,195,827
Subtotal		106.0	7,943,280	106.0	8,195,827
Overtime			244,837		239,900
Turnover			(221,867)		(229,153)
FY 2021 Retro COLA Payment			174,133		0
Total Salaries			8,140,383		8,206,574

Personnel

Agency: Department of Revenue

Lottery Division

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Benefits				
FICA		604,059		609,456
Health Benefits		1,274,874		1,333,769
Holiday		2,384		0
Payroll Accrual		45,043		0
Retiree Health		417,238		356,912
Retirement		2,286,635		2,307,753
Subtotal		4,630,233		4,607,890
Total Salaries and Benefits	106.0	12,770,616	106.0	12,814,464
Cost Per FTE Position		120,478		120,891
Statewide Benefit Assessment		309,364		314,691
Payroll Costs	106.0	13,079,980	106.0	13,129,155
Purchased Services				
Buildings and Ground Maintenance		10,361		10,361
Clerical and Temporary Services		19,425		19,425
Management & Consultant Services		99,225		99,225
Subtotal		129,011		129,011
Total Personnel	106.0	13,208,991	106.0	13,258,166
Distribution by Source of Funds				
General Revenue	0.0	330	0.0	0
Other Funds	106.0	13,208,661	106.0	13,258,166
Total All Funds	106.0	13,208,991	106.0	13,258,166

Program Summary

Agency: Department of Revenue

Municipal Finance

Mission

The Division of Municipal Finance's mission is to fulfill its mandates in an efficient and timely manner while providing guidance to municipalities and their stakeholders in matters relating to property taxes, state aid, and maintaining local fiscal stability. We promote the highest standards in local government transparency, fiscal policy, and decision-making by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Description

The Division of Municipal Finance (DMF) is a governmental agency within the Rhode Island Department of Revenue. Under the guidance of the state's laws and regulations, DMF assists in the development of policy and provides guidance to and about municipalities for its stakeholders which include municipalities, fire districts, the Governor's office, state legislator, other state agencies, local associations, and the public as a whole. Apart from providing guidance, the Division is responsible for calculations related to municipal state aid and reimbursement programs, operating and providing useful data through the Municipal Transparency Portal and the Division's website, staffing state oversight under the Fiscal Stability Act, monitoring and reporting on compliance with municipal tax levy cap as well as granting exemptions, receiving, processing, analyzing, and approving of municipal, school district, and fire district financial reporting, providing technical assistance to municipalities and assessors, calculating the relative wealth of municipalities, monitoring, reporting, and providing fiscal impacts on proposed and enacted legislative changes, and reporting on legislative mandates.

Statutory History

R.I. General Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

Budget

Agency: Department of Revenue

Municipal Finance

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Municipal Affairs	2,250,710	1,984,543	133,675,762	133,639,000	133,695,638
Total Expenditures	2,250,710	1,984,543	133,675,762	133,639,000	133,695,638
Expenditures by Object					
Salary and Benefits	1,201,524	1,134,841	1,339,889	1,303,127	1,324,714
Contract Professional Services	55,951	19,041	0	0	0
Operating Supplies and Expenses	89,064	124,331	115,531	115,531	150,582
Assistance and Grants	892,288	688,796	132,217,817	132,217,817	132,217,817
Subtotal: Operating	2,238,828	1,967,009	133,673,237	133,636,475	133,693,113
Capital Purchases and Equipment	1,108	0	2,525	2,525	2,525
Aid to Local Units of Government	10,774	17,533	0	0	0
Subtotal: Other	11,882	17,533	2,525	2,525	2,525
Total Expenditures	2,250,710	1,984,543	133,675,762	133,639,000	133,695,638
Expenditures by Source of Funds					
General Revenue	2,250,710	1,984,543	1,718,168	1,681,406	1,738,044
Federal Funds	0	0	131,957,594	131,957,594	131,957,594
Total Expenditures	2,250,710	1,984,543	133,675,762	133,639,000	133,695,638

Personnel

Agency: Department of Revenue

Municipal Finance

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	124,257	1.0	130,333
PRINCIPAL PROGRAM ANALYST	00328A	1.0	63,553	1.0	67,348
STATE AID AND FINANCE SPECIALIST - MUNICIPAL AFFAIRS	00332A	6.0	495,310	6.0	511,021
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	00135A	2.0	170,407	2.0	181,848
Subtotal Classified		10.0	853,527	10.0	890,550
Subtotal		10.0	853,527	10.0	890,550
Turnover			(79,431)		(80,500)
FY 2021 Retro COLA Payment			17,669		0
Total Salaries			791,765		810,050
Benefits					
Contract Stipends			10,500		10,500
FICA			61,320		62,775
Health Benefits			129,915		136,032
Payroll Accrual			4,571		0
Retiree Health			42,396		36,762
Retirement			230,868		236,182
Subtotal			479,570		482,251
Total Salaries and Benefits		10.0	1,271,335	10.0	1,292,301
Cost Per FTE Position			127,134		129,230
Statewide Benefit Assessment			31,792		32,413
Payroll Costs		10.0	1,303,127	10.0	1,324,714
Total Personnel		10.0	1,303,127	10.0	1,324,714
Distribution by Source of Funds					
General Revenue		10.0	1,303,127	10.0	1,324,714
Total All Funds		10.0	1,303,127	10.0	1,324,714

Program Summary

Agency: Department of Revenue

Taxation

Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assist taxpayers by helping them understand and meet their tax responsibilities.

Description

The Division of Taxation administers over 58 different state taxes and fees including Personal Income Tax, Corporate Income Tax and Sales Tax and is responsible for collecting and distributing over \$3.2 Billion annually in taxes and fees to fund services to Rhode Islanders.

Statutory History

R.I. General Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

Budget

Agency: Department of Revenue

Taxation

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Assessment and Review	3,236,426	3,361,687	4,147,187	4,375,184	4,415,400
Compliance and Collection	4,446,423	3,924,863	4,697,459	4,938,934	5,015,153
Employer Tax	3,495,645	3,354,346	0	71,373	0
Field Audit	7,301,008	6,937,983	7,380,643	7,897,758	7,895,452
Tax Administrator	3,069,365	2,861,141	5,350,123	5,717,283	6,452,316
Tax Processing Division	9,426,494	11,085,283	13,474,473	15,643,107	13,476,619
Total Expenditures	30,975,361	31,525,304	35,049,885	38,643,639	37,254,940
Expenditures by Object					
Salary and Benefits	24,038,265	22,519,220	22,166,531	23,985,285	24,491,114
Contract Professional Services	1,184,915	4,510,677	6,101,713	7,876,713	6,151,713
Operating Supplies and Expenses	5,709,796	4,454,453	4,524,556	4,524,556	4,894,472
Assistance and Grants	0	0	1,273	1,273	1,273
Subtotal: Operating	30,932,976	31,484,349	32,794,073	36,387,827	35,538,572
Capital Purchases and Equipment	42,385	40,955	34,000	34,000	34,000
Aid to Local Units of Government	0	0	2,221,812	2,221,812	1,682,368
Subtotal: Other	42,385	40,955	2,255,812	2,255,812	1,716,368
Total Expenditures	30,975,361	31,525,304	35,049,885	38,643,639	37,254,940
Expenditures by Source of Funds					
General Revenue	27,285,249	28,048,430	32,673,073	36,193,035	34,243,050
Federal Funds	1,501,381	2,278,012	0	48,484	750,000
Restricted Receipts	1,004,462	392,268	2,221,812	2,230,150	2,106,890
Other Funds	1,184,268	806,595	155,000	171,970	155,000
Total Expenditures	30,975,361	31,525,304	35,049,885	38,643,639	37,254,940

Personnel

Agency: Department of Revenue

Taxation

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	00320A	1.0	51,766	1.0	53,060
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	00327A	1.0	70,293	1.0	72,051
ASSOCIATE DIRECTOR- REVENUE SERVICES (TAXATION)	00144A	1.0	159,965	1.0	163,941
BUSINESS ANALYST (DOR)	00328A	6.0	412,915	6.0	427,259
CHIEF BUSINESS MANAGEMENT OFFICER	00134A	4.0	377,994	4.0	394,377
CHIEF IMPLEMENTATION AIDE	00128A	1.0	63,147	1.0	67,031
CHIEF LEGAL OFFICER (TAXATION)	00138A	1.0	102,112	1.0	104,665
CHIEF OF EXAMINATION (TAXATION)	00142A	1.0	126,895	1.0	129,939
CHIEF OF TAX PROCESSING SERVICES	00140A	3.0	377,555	3.0	386,852
CHIEF REVENUE AGENT	00138A	9.0	958,122	10.0	1,062,124
DATA ANALYST I	00134A	3.0	238,461	3.0	253,371
DATA ANALYST II	00138A	1.0	97,149	1.0	104,665
EXECUTIVE DIRECTOR (DOA)/TAX ADMINISTRATOR	00152A	1.0	193,172	1.0	198,002
IMPLEMENTATION AIDE	00322A	1.0	53,615	1.0	56,905
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	99,963	1.0	107,824
LEGAL ASSISTANT	00119A	1.0	45,743	1.0	47,945
LEGAL COUNSEL	00132A	1.0	77,417	1.0	83,384
PRINCIPAL REVENUE AGENT	00831A	14.0	1,153,811	14.0	1,200,227
PROGRAMMING SERVICES OFFICER	00131A	2.0	158,853	2.0	165,437
REVENUE AGENT	00328A	1.0	83,473	1.0	85,560
REVENUE AGENT I	00324A	17.0	931,520	19.0	1,093,152
REVENUE AGENT II	00326A	15.0	915,974	15.0	963,828
REVENUE OFFICER I	00322A	24.0	1,201,411	24.0	1,266,131
REVENUE OFFICER II	00324A	15.0	814,382	15.0	865,796
REVENUE OFFICER SPECIAL INVESTIGATIONS	00326A	1.0	71,737	1.0	73,507
SENIOR BUSINESS ANALYST (DOR)	00331A	2.0	155,828	2.0	159,724
SENIOR LEGAL COUNSEL	00134A	1.0	81,713	1.0	87,165
SENIOR REVENUE AGENT	00328A	34.0	2,494,764	34.0	2,564,356
SUPERVISING REVENUE OFFICER	00831A	4.0	313,861	4.0	328,346
TAX AIDE I	00316A	15.0	669,328	15.0	696,149
TAX AIDE II	00318A	11.0	545,329	11.0	561,606
TAX INVESTIGATOR	00323A	4.0	226,369	6.0	339,853
TAXPAYER ASSISTANCE REPRESENTATIVE	00318A	5.0	231,362	5.0	242,104
TAXPAYER SERVICE SPECIALIST	00323A	15.0	862,938	15.0	897,656
Subtotal Classified		217.0	14,418,937	222.0	15,303,992

Personnel

Agency: Department of Revenue

Taxation

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Subtotal	217.0	14,418,937	222.0	15,303,992
Transfer Out		(143,299)		(154,143)
Transfer In		121,774		124,818
Overtime		358,433		344,885
Seasonal/Special Salaries/Wages		523,714		536,793
Turnover		(980,866)		(1,175,475)
FY 2021 Retro COLA Payment		338,002		0
Total Salaries		14,636,695		14,980,870
Benefits				
Contract Stipends		278,250		285,750
FICA		1,109,404		1,137,941
Health Benefits		2,519,392		2,709,673
Payroll Accrual		79,879		0
Retiree Health		741,715		644,345
Retirement		4,063,495		4,164,421
Subtotal		8,792,135		8,942,130
Total Salaries and Benefits	217.0	23,428,830	222.0	23,923,000
Cost Per FTE Position		107,967		107,761
Statewide Benefit Assessment		556,455		568,114
Payroll Costs	217.0	23,985,285	222.0	24,491,114
Purchased Services				
Clerical and Temporary Services		2,000		2,000
Information Technology		7,627,756		5,852,756
Legal Services		100,000		100,000
Management & Consultant Services		137,157		137,157
Other Contracts		9,800		59,800
Subtotal		7,876,713		6,151,713
Total Personnel	217.0	31,861,998	222.0	30,642,827
Distribution by Source of Funds				
General Revenue	217.0	31,788,206	218.0	30,218,305
Federal Funds	0.0	48,484	0.0	0
Restricted Receipts	0.0	8,338	4.0	424,522
Other Funds	0.0	16,970	0.0	0
Total All Funds	217.0	31,861,998	222.0	30,642,827

Program Summary

Agency: Department of Revenue

Registry of Motor Vehicles

Mission

The Division of Motor Vehicles is responsible for ensuring consistent administration and enforcement of all laws pertaining to the operation and registration of motor vehicles and is committed to providing excellent customer service with integrity and transparency.

Description

The Division of Motor Vehicles (DMV) is a governmental agency within the Department of Revenue for the State of Rhode Island. Under the direction of the Administrator, the Division administers motor vehicle and transportation related laws, specifically motor vehicle titling, registration and licensing laws, transportation safety laws, motor vehicle franchise dealer and manufacturer laws, and other motor vehicle related laws and regulations. Responsibilities administered by the division include motor vehicle registration, testing for the licensing of motor vehicle operators, inspection of motor vehicles, enforcement of laws relating to the issuance, suspension, and revocation of motor vehicle registrations and driver's licenses, and administration of the financial responsibility program.

Statutory History

R.I. General Laws § 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

Budget

Agency: Department of Revenue

Registry of Motor Vehicles

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Registry of Motor Vehicles	29,324,139	31,398,329	32,472,201	33,628,542	38,502,100
Vehicle Value Commission	502	71	14,763	14,763	14,763
Total Expenditures	29,324,641	31,398,399	32,486,964	33,643,305	38,516,863
Expenditures by Object					
Salary and Benefits	17,733,066	17,398,504	19,076,352	20,186,691	20,228,135
Contract Professional Services	2,774,921	4,457,579	3,996,550	3,796,550	3,996,550
Operating Supplies and Expenses	8,659,843	9,460,374	9,370,857	9,628,960	14,261,074
Assistance and Grants	1,104	1,104	1,104	1,104	1,104
Subtotal: Operating	29,168,934	31,317,561	32,444,863	33,613,305	38,486,863
Capital Purchases and Equipment	93,096	65,838	10,000	30,000	30,000
Operating Transfers	62,611	15,000	32,101	0	0
Subtotal: Other	155,707	80,838	42,101	30,000	30,000
Total Expenditures	29,324,641	31,398,399	32,486,964	33,643,305	38,516,863
Expenditures by Source of Funds					
General Revenue	28,037,463	29,049,748	30,331,973	31,915,537	34,802,460
Federal Funds	67,490	902,109	462,404	235,181	220,000
Restricted Receipts	1,219,689	1,446,542	1,692,587	1,492,587	3,494,403
Total Expenditures	29,324,641	31,398,399	32,486,964	33,643,305	38,516,863

Personnel

Agency: Department of Revenue

Registry of Motor Vehicles

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADJUDICATION SERVICE REPRESENTATIVE	00318A	11.0	532,836	11.0	555,270
ADMINISTRATIVE OFFICER	00124A	2.0	125,624	2.0	130,470
ADMINISTRATOR, DIVISION OF MOTOR VEHICLES	00150A	1.0	161,323	1.0	168,961
ADMINISTRATOR- FINANCIAL MANAGEMENT	00137A	1.0	103,654	1.0	106,245
ADMINISTRATOR- OPERATIONS MANAGEMENT	00141A	1.0	116,393	1.0	119,303
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS. CONTR. DIV.	00125A	1.0	62,133	1.0	63,687
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR CUSTOMER SVS	00140A	1.0	127,666	1.0	130,857
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR SAFETY & REG	00140A	1.0	116,565	1.0	119,478
AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00317A	3.0	133,495	3.0	139,663
AUTOMOTIVE SERVICE SPECIALIST	00318A	3.0	151,112	3.0	154,891
CDL PROGRAM COORDINATOR (DMV)	00134A	1.0	107,078	1.0	109,736
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	0AB24A	2.0	105,622	2.0	111,746
CHIEF MOTOR VEHICLE FLEET REGISTRATION PROGRAMS (DOA)	00128A	1.0	84,180	1.0	86,285
CHIEF MOTOR VEHICLE SAFETY AND EMISSION CONTROL DIV.	00135A	1.0	96,485	1.0	98,897
CHIEF OFFICE OF ENFORCEMENT AND INSPECTIONS (DOA)	00137A	1.0	88,535	1.0	94,064
CHIEF OF LEGAL SERVICES	00139A	1.0	94,786	1.0	100,747
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	00135A	1.0	91,890	1.0	94,188
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	00134A	5.0	457,051	5.0	481,761
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	00143A	1.0	127,155	1.0	130,333
CHIEF PROGRAM DEVELOPMENT	00134A	4.0	387,003	4.0	400,479
COORDINATOR- MOTOR CARRIER & SCHOOL BUS SAFETY PRG/DOA	00133A	1.0	98,100	1.0	100,552
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	00315A	29.5	1,265,111	29.5	1,320,655
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	00318A	34.0	1,594,349	34.0	1,668,576
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	00321A	32.0	1,777,978	32.0	1,829,663
CUSTOMER SERVICE SPECIALIST I	00315A	2.0	98,778	2.0	101,248
DATA ANALYST II	00138A	1.0	102,112	1.0	104,665
DATABASE MANAGEMENT SYSTEM SPECIALIST	00326A	4.0	263,962	4.0	272,410
FISCAL MANAGEMENT OFFICER	03326A	1.0	64,597	1.0	61,140
FLEET OPERATIONS OFFICER	00030A	1.0	68,357	1.0	72,597
IMPLEMENTATION AIDE	00122A	2.0	109,592	2.0	113,739
INFORMATION AIDE	00315A	4.0	191,943	4.0	196,744

Personnel

Agency: Department of Revenue

Registry of Motor Vehicles

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
INFORMATION AIDE	0C615A	1.0	43,287	1.0	45,246
INTERPRETING INTERVIEWER (SPANISH)	00319A	1.0	60,150	1.0	61,624
LICENSE INVESTIGATOR	00322A	5.0	286,215	5.0	294,744
LICENSING AIDE	00315A	3.0	135,974	3.0	140,236
MOTOR VEHICLE APPEALS OFFICER	00324A	11.0	699,920	11.0	720,844
MOTOR VEHICLE OPERATOR EXAMINER	00319A	9.0	444,708	9.0	460,001
PROGRAMMING SERVICES OFFICER	00131A	3.0	259,213	3.0	266,970
PROPERTY CONTROL AND SUPPLY OFFICER	00317A	3.0	140,291	3.0	144,733
SENIOR AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	00321A	2.0	105,943	2.0	109,558
SENIOR CLERK	00308A	1.0	36,702	1.0	38,156
SENIOR COMMUNITY DEVELOPMENT TRAINING SPECIALIST	00326A	1.0	78,707	1.0	80,598
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	00321A	1.0	53,442	1.0	54,779
SENIOR TELLER	00318A	3.0	160,862	3.0	164,885
SENIOR WORD PROCESSING TYPIST	00312A	1.0	50,356	1.0	51,614
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	03327A	1.0	75,277	1.0	71,328
SUPERVISOR OF BRANCH OFFICE SERVICES (MOTOR VEHICLES)	03325A	10.0	576,403	10.0	555,409
TELLER	00315A	2.0	89,798	2.0	92,044
Subtotal Classified		212.5	12,202,713	212.5	12,591,819
Unclassified					
PRINCIPAL CLERK STENOGRAPHER	08711A	1.0	39,948	1.0	41,702
Subtotal Unclassified		1.0	39,948	1.0	41,702
Subtotal		213.5	12,242,661	213.5	12,633,521
Overtime			446,252		442,718
Seasonal/Special Salaries/Wages			23,423		24,009
Turnover			(1,294,983)		(1,393,135)
FY 2021 Retro COLA Payment			246,886		0
Total Salaries			11,664,239		11,707,113

Personnel

Agency: Department of Revenue

Registry of Motor Vehicles

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		273,000		273,000
FICA		878,215		881,560
Health Benefits		2,930,752		3,067,353
Payroll Accrual		65,431		0
Retiree Health		605,978		515,799
Retirement		3,314,715		3,328,540
Subtotal		8,068,091		8,066,252
Total Salaries and Benefits	213.5	19,732,330	213.5	19,773,365
Cost Per FTE Position		92,423		92,615
Statewide Benefit Assessment		454,361		454,770
Payroll Costs	213.5	20,186,691	213.5	20,228,135
Purchased Services				
Buildings and Ground Maintenance		18,410		18,410
Information Technology		3,499,640		3,699,640
Other Contracts		278,500		278,500
Subtotal		3,796,550		3,996,550
Total Personnel	213.5	23,983,241	213.5	24,224,685
Distribution by Source of Funds				
General Revenue	213.5	22,279,158	213.5	20,525,045
Federal Funds	0.0	226,259	0.0	220,000
Restricted Receipts	0.0	1,477,824	0.0	3,479,640
Total All Funds	213.5	23,983,241	213.5	24,224,685

Program Summary

Agency: Department of Revenue

State Aid

Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration. The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax-exempt property (subject to appropriation). Data used to determine distribution amounts is updated annually to reflect the most recent data. The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed critical must submit a Funding Improvement Plan under RIGL45-13.2. The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven-year period, however, there have been various legislative changes to the legislation since its inception.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

Budget

Agency: Department of Revenue

State Aid

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
State Aid	150,260,078	197,237,103	200,629,121	187,492,691	226,478,625
Total Expenditures	150,260,078	197,237,103	200,629,121	187,492,691	226,478,625
Expenditures by Object					
Operating Supplies and Expenses	0	40,978	0	0	0
Subtotal: Operating	0	40,978	0	0	0
Aid to Local Units of Government	150,260,078	197,196,125	200,629,121	187,492,691	226,478,625
Subtotal: Other	150,260,078	197,196,125	200,629,121	187,492,691	226,478,625
Total Expenditures	150,260,078	197,237,103	200,629,121	187,492,691	226,478,625
Expenditures by Source of Funds					
General Revenue	149,325,040	60,273,595	199,634,001	186,497,571	225,483,505
Federal Funds	0	136,569,098	0	0	0
Restricted Receipts	935,039	394,410	995,120	995,120	995,120
Total Expenditures	150,260,078	197,237,103	200,629,121	187,492,691	226,478,625

Program Summary

Agency: Department of Revenue

Division of Collections

Mission

The Central Collections Unit (CCU) assists state agencies in the monitoring and collection of debts owed to the state utilizing the most efficient methods and adhering to the highest professional standards.

Description

Within the Rhode Island Department of Revenue, the Central Collections Unit was established in fiscal year 2019 with the core purpose of assisting state and quasi-state agencies in the collection of statutorily designated debts. The CCU collaborates with partner agencies to establish processes to ensure efficient and cost-effective measures to collect debts owed to the state.

Statutory History

The Governor has submitted legislation pertaining to the creation of this Division in Sections 2 and 3 of Article 4 in the FY 2019 Appropriations Act.

Budget

Agency: Department of Revenue

Division of Collections

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Collections	654,032	588,512	828,769	864,653	887,668
Total Expenditures	654,032	588,512	828,769	864,653	887,668
Expenditures by Object					
Salary and Benefits	643,690	565,471	735,569	771,453	794,468
Contract Professional Services	405	625	0	0	0
Operating Supplies and Expenses	8,778	22,416	85,200	85,200	85,200
Subtotal: Operating	652,873	588,512	820,769	856,653	879,668
Capital Purchases and Equipment	1,159	0	8,000	8,000	8,000
Subtotal: Other	1,159	0	8,000	8,000	8,000
Total Expenditures	654,032	588,512	828,769	864,653	887,668
Expenditures by Source of Funds					
General Revenue	654,032	588,512	828,769	864,653	887,668
Total Expenditures	654,032	588,512	828,769	864,653	887,668

Personnel

Agency: Department of Revenue

Division of Collections

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Classified					
ADMINISTRATIVE OFFICER	00124A	5.0	279,234	5.0	297,586
CHIEF OF LEGAL SERVICES	00139A	1.0	118,028	1.0	125,636
LEGAL ASSISTANT	00119A	1.0	47,990	1.0	50,813
SENIOR LEGAL COUNSEL	00134A	1.0	83,194	1.0	89,531
Subtotal Classified		8.0	528,446	8.0	563,566
Subtotal		8.0	528,446	8.0	563,566
Turnover			(68,060)		(76,891)
FY 2021 Retro COLA Payment			8,708		0
Total Salaries			469,094		486,675
Benefits					
FICA			35,839		37,228
Health Benefits			84,266		88,140
Payroll Accrual			2,686		0
Retiree Health			24,783		21,803
Retirement			136,201		141,397
Subtotal			283,775		288,568
Total Salaries and Benefits		8.0	752,869	8.0	775,243
Cost Per FTE Position			94,109		96,905
Statewide Benefit Assessment			18,584		19,225
Payroll Costs		8.0	771,453	8.0	794,468
Total Personnel		8.0	771,453	8.0	794,468
Distribution by Source of Funds					
General Revenue		8.0	771,453	8.0	794,468
Total All Funds		8.0	771,453	8.0	794,468

Agency Summary

General Assembly

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

Budget

General Assembly

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
General Assembly	7,236,633	6,712,615	6,600,786	8,875,642	6,948,593
Fiscal Advisory Staff	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138
Legislative Council	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700
Joint Comm. on Legislative Services	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388
Auditor General	4,978,009	4,932,629	5,824,712	6,336,160	6,397,474
Special Legislative Commissions	7,573	5,560	13,900	13,900	13,900
Total Expenditures	43,046,423	41,091,346	46,627,087	53,916,145	50,462,193
Expenditures by Object					
Salary And Benefits	35,698,561	34,370,777	39,236,337	42,694,795	42,784,093
Contract Professional Services	559,286	468,641	806,600	1,267,100	886,100
Operating Supplies And Expenses	3,168,940	3,821,627	3,769,150	6,319,250	3,947,000
Assistance And Grants	2,074,887	2,116,619	2,300,000	2,300,000	2,300,000
Subtotal: Operating	41,501,674	40,777,664	46,112,087	52,581,145	49,917,193
Capital Purchases And Equipment	1,544,749	313,682	515,000	1,335,000	545,000
Subtotal: Other	1,544,749	313,682	515,000	1,335,000	545,000
Total Expenditures	43,046,423	41,091,346	46,627,087	53,916,145	50,462,193
Expenditures by Source of Funds					
General Revenue	41,474,787	38,496,939	44,844,662	52,014,528	48,542,952
Federal Funds	21,636	980,910	0	0	0
Restricted Receipts	1,550,000	1,613,497	1,782,425	1,901,617	1,919,241
Total Expenditures	43,046,423	41,091,346	46,627,087	53,916,145	50,462,193
FTE Authorization	298.5	298.5	298.5	298.5	298.5

Personnel Agency Summary

General Assembly

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Unclassified	298.5	24,921,523	298.5	25,544,274
Subtotal	298.5	24,921,523	298.5	25,544,274
Seasonal/Special Salaries/Wages		598,842		613,813
FY 2021 Retro COLA Payment		522,578		0
Total Salaries		26,042,943		26,158,087
Benefits				
Contract Stipends		30,000		30,000
FICA		1,966,195		1,977,882
Health Benefits		5,530,066		5,787,104
Holiday		8		0
Payroll Accrual		142,498		0
Retiree Health		1,235,295		1,051,781
Retirement		6,739,752		6,770,258
Subtotal		15,643,814		15,617,025
Total Salaries and Benefits	298.5	41,686,757	298.5	41,775,112
Cost Per FTE Position		139,654		139,950
Statewide Benefit Assessment		1,008,038		1,008,981
Payroll Costs	298.5	42,694,795	298.5	42,784,093
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Clerical and Temporary Services		85,500		82,000
Design and Engineering Services		25,000		25,000
Information Technology		245,000		170,000
Legal Services		225,000		255,000
Management & Consultant Services		675,000		345,000
Other Contracts		8,100		5,600
Subtotal		1,267,100		886,100
Total Personnel	298.5	43,961,895	298.5	43,670,193
Distribution by Source of Funds				
General Revenue	298.5	42,224,333	298.5	41,930,982
Restricted Receipts	0.0	1,737,562	0.0	1,739,211
Total All Funds	298.5	43,961,895	298.5	43,670,193

Program Summary

Agency: General Assembly

General Assembly

Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

Budget

Agency: General Assembly

General Assembly

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	7,236,633	6,712,615	6,600,786	8,875,642	6,948,593
Total Expenditures	7,236,633	6,712,615	6,600,786	8,875,642	6,948,593
Expenditures by Object					
Salary and Benefits	3,627,331	3,468,571	3,928,436	4,108,792	4,173,243
Contract Professional Services	499,218	406,528	597,000	937,500	631,500
Operating Supplies and Expenses	1,765,288	2,581,765	1,850,350	3,529,350	1,918,850
Subtotal: Operating	5,891,837	6,456,863	6,375,786	8,575,642	6,723,593
Capital Purchases and Equipment	1,344,797	255,751	225,000	300,000	225,000
Subtotal: Other	1,344,797	255,751	225,000	300,000	225,000
Total Expenditures	7,236,633	6,712,615	6,600,786	8,875,642	6,948,593
Expenditures by Source of Funds					
General Revenue	7,214,997	5,731,705	6,600,786	8,875,642	6,948,593
Federal Funds	21,636	980,910	0	0	0
Total Expenditures	7,236,633	6,712,615	6,600,786	8,875,642	6,948,593

Personnel

Agency: General Assembly

General Assembly

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	00509F	0.0	1,297,295	0.0	1,329,716
REPRESENTATIVE-SPEAKER OF THE HOUSE	00511F	0.0	35,375	0.0	36,259
SENATOR	00509F	0.0	648,434	0.0	664,643
SENATOR-PRESIDENT OF THE SENATE	00511F	0.0	35,375	0.0	36,259
Subtotal Unclassified		0.0	2,016,479	0.0	2,066,877
Subtotal		0.0	2,016,479	0.0	2,066,877
Seasonal/Special Salaries/Wages			499,035		511,511
FY 2021 Retro COLA Payment			47,479		0
Total Salaries			2,562,993		2,578,388
Benefits					
FICA			195,812		197,263
Health Benefits			1,259,152		1,315,960
Payroll Accrual			9,007		0
Subtotal			1,463,971		1,513,223
Total Salaries and Benefits		0.0	4,026,964	0.0	4,091,611
Cost Per FTE Position			0		0
Statewide Benefit Assessment			81,828		81,632
Payroll Costs		0.0	4,108,792	0.0	4,173,243
Purchased Services					
Clerical and Temporary Services			85,000		81,500
Design and Engineering Services			25,000		25,000
Legal Services			170,000		200,000
Management & Consultant Services			650,000		320,000
Other Contracts			7,500		5,000
Subtotal			937,500		631,500
Total Personnel		0.0	5,046,292	0.0	4,804,743
Distribution by Source of Funds					
General Revenue		0.0	5,046,292	0.0	4,804,743
Total All Funds		0.0	5,046,292	0.0	4,804,743

Program Summary

Agency: General Assembly

Fiscal Advisory Staff

Mission

Fiscal Advisory Staff members perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Plan. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established in 1959. Statutory provisions for the Fiscal Advisory Staff are contained in R.I General Laws §22-6.

Budget

Agency: General Assembly

Fiscal Advisory Staff

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138
Total Expenditures	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138
Expenditures by Object					
Salary and Benefits	1,664,142	1,613,942	1,977,905	2,149,758	2,151,188
Operating Supplies and Expenses	80,838	58,864	114,950	130,950	120,950
Subtotal: Operating	1,744,980	1,672,807	2,092,855	2,280,708	2,272,138
Capital Purchases and Equipment	35,825	0	35,000	35,000	35,000
Subtotal: Other	35,825	0	35,000	35,000	35,000
Total Expenditures	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138
Expenditures by Source of Funds					
General Revenue	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138
Total Expenditures	1,780,805	1,672,807	2,127,855	2,315,708	2,307,138

Personnel

Agency: General Assembly

Fiscal Advisory Staff

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07821F	1.0	66,137	1.0	67,791
ANALYST I	07722F	5.0	331,495	5.0	339,785
ANALYST IV	07833F	1.0	79,119	1.0	81,097
DEPUTY FISCAL ADVISOR III	07923F	1.0	160,013	1.0	164,012
HOUSE FISCAL ADVISOR	07836F	1.0	212,513	1.0	217,826
PRINCIPAL ANALYST II	07749F	1.0	133,633	1.0	136,974
PRINCIPAL ANALYST III	07953F	1.0	140,315	1.0	143,823
SENIOR ANALYST II	07743F	2.0	195,070	2.0	199,946
Subtotal Unclassified		13.0	1,318,295	13.0	1,351,254
Subtotal		13.0	1,318,295	13.0	1,351,254
FY 2021 Retro COLA Payment			25,311		0
Total Salaries			1,343,606		1,351,254
Benefits					
FICA			97,746		98,528
Health Benefits			186,143		194,831
Payroll Accrual			7,695		0
Retiree Health			70,997		60,534
Retirement			390,358		392,669
Subtotal			752,939		746,562
Total Salaries and Benefits		13.0	2,096,545	13.0	2,097,816
Cost Per FTE Position			161,273		161,370
Statewide Benefit Assessment			53,213		53,372
Payroll Costs		13.0	2,149,758	13.0	2,151,188
Total Personnel		13.0	2,149,758	13.0	2,151,188
Distribution by Source of Funds					
General Revenue		13.0	2,149,758	13.0	2,151,188
Total All Funds		13.0	2,149,758	13.0	2,151,188

Program Summary

Agency: General Assembly

Legislative Council

Mission

The Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. The Legislative Council's principal activity is drafting of legislation for individual members.

Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, duplication, and delivery of bills to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established in 1939. Its statutory provisions are contained in R.I. General Laws § 22-8.

Budget

Agency: General Assembly

Legislative Council

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700
Total Expenditures	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700
Expenditures by Object					
Salary and Benefits	4,135,485	3,680,380	4,650,749	4,898,841	4,919,850
Contract Professional Services	0	0	55,500	55,500	55,500
Operating Supplies and Expenses	64,451	61,154	165,350	165,350	165,350
Subtotal: Operating	4,199,936	3,741,534	4,871,599	5,119,691	5,140,700
Capital Purchases and Equipment	0	0	25,000	75,000	25,000
Subtotal: Other	0	0	25,000	75,000	25,000
Total Expenditures	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700
Expenditures by Source of Funds					
General Revenue	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700
Total Expenditures	4,199,936	3,741,534	4,896,599	5,194,691	5,165,700

Personnel

Agency: General Assembly

Legislative Council

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ANALYST	07857F	1.0	58,121	1.0	59,574
ANALYST I	07765F	0.6	50,755	0.6	52,024
CHIEF ASST TO DIRECTOR	07991F	1.0	138,928	1.0	142,402
CLERICAL	07950F	3.0	105,633	3.0	108,273
CONSTITUENT LIAISON	07976F	1.0	59,313	1.0	60,796
DEPUTY DIRECTOR	07788F	1.0	106,506	1.0	109,168
DEPUTY DIRECTOR	07854F	1.0	101,140	1.0	103,669
DIRECTOR OF LEGISLATIVE COUNCIL	07775F	1.0	139,975	1.0	143,474
LAW CLERK	07742F	1.0	57,697	1.0	59,139
LEGAL COUNSEL	07706F	0.6	28,297	0.6	29,004
LEGAL COUNSEL	07719F	0.6	29,033	0.6	29,758
LEGAL COUNSEL	07750F	0.6	46,411	0.6	47,572
LEGAL COUNSEL	07819F	0.6	27,186	0.6	27,866
LEGAL COUNSEL	07848F	0.6	71,922	0.6	73,720
LEGAL COUNSEL	07859F	10.2	518,212	10.2	531,168
LEGAL COUNSEL	07864F	1.0	67,425	1.0	69,111
LEGAL COUNSEL	07886F	0.6	46,582	0.6	47,664
LEGISLATIVE AIDE	07751F	1.0	70,874	1.0	72,646
LEGISLATIVE AIDE	07778F	0.6	23,266	0.6	23,847
LEGISLATIVE AIDE	07812F	1.0	62,452	1.0	64,013
LEGISLATIVE AIDE	07847F	1.0	58,260	1.0	59,717
LEGISLATIVE AIDE	07886F	1.0	68,826	1.0	70,547
LEGISLATIVE AIDE	07895F	1.0	49,302	1.0	50,534
LEGISLATIVE AIDE	07934F	1.0	51,107	1.0	52,385
LEGISLATIVE AIDE	07983F	1.6	62,931	1.6	64,505
LEGISLATIVE ANALYST	07724F	1.0	71,071	1.0	72,848
LEGISLATIVE LEGAL COUNSEL	07859F	0.6	29,444	0.6	30,180
LEGISLATIVE RESEARCHER	07907F	2.0	83,914	2.0	86,012
PROOFER	07971F	2.0	72,908	2.0	74,730
RESEARCHER II	07978F	1.0	70,648	1.0	72,414
SECRETARY	07754F	1.0	56,966	1.0	58,390
SECRETARY	07817F	2.0	92,430	2.0	94,740
SECRETARY I	07709F	1.0	60,984	1.0	62,509
SECRETARY I	07723F	1.0	61,434	1.0	62,970
SENIOR PROOFER/SECRETARY	07858F	1.0	47,548	1.0	48,737
Subtotal Unclassified		46.2	2,747,501	46.2	2,816,106

Personnel

Agency: General Assembly

Legislative Council

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Subtotal	46.2	2,747,501	46.2	2,816,106
FY 2021 Retro COLA Payment		54,134		0
Total Salaries		2,801,635		2,816,106
Benefits				
FICA		214,047		215,435
Health Benefits		804,342		842,679
Payroll Accrual		15,995		0
Retiree Health		148,014		126,166
Retirement		803,860		808,224
Subtotal		1,986,258		1,992,504
Total Salaries and Benefits	46.2	4,787,893	46.2	4,808,610
Cost Per FTE Position		103,634		104,082
Statewide Benefit Assessment		110,948		111,240
Payroll Costs	46.2	4,898,841	46.2	4,919,850
Purchased Services				
Clerical and Temporary Services		500		500
Legal Services		55,000		55,000
Subtotal		55,500		55,500
Total Personnel	46.2	4,954,341	46.2	4,975,350
Distribution by Source of Funds				
General Revenue	46.2	4,954,341	46.2	4,975,350
Total All Funds	46.2	4,954,341	46.2	4,975,350

Program Summary

Agency: General Assembly

Joint Comm. on Legislative Services

Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature.

Statutory History

The Joint Committee on Legislative Services was established as a permanent joint committee in 1960. Its statutory provisions are contained in R.I. General Laws § 22-11.

Budget

Agency: General Assembly

Joint Comm. on Legislative Services

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388
Total Expenditures	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388
Expenditures by Object					
Salary and Benefits	21,754,031	21,264,579	23,406,885	25,748,694	25,743,038
Contract Professional Services	57,300	57,520	153,500	273,500	198,500
Operating Supplies and Expenses	803,072	643,050	1,112,850	1,972,850	1,177,850
Assistance and Grants	2,074,887	2,003,122	2,300,000	2,300,000	2,300,000
Subtotal: Operating	24,689,289	23,968,271	26,973,235	30,295,044	29,419,388
Capital Purchases and Equipment	154,179	57,930	190,000	885,000	210,000
Subtotal: Other	154,179	57,930	190,000	885,000	210,000
Total Expenditures	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388
Expenditures by Source of Funds					
General Revenue	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388
Total Expenditures	24,843,468	24,026,202	27,163,235	31,180,044	29,629,388

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	07754F	1.0	68,359	1.0	70,068
ADMINISTRATIVE ASSISTANT	07764F	1.0	52,585	1.0	53,899
ADMINISTRATIVE ASSISTANT	07773F	2.0	104,682	2.0	107,298
ADMINISTRATIVE ASSISTANT	07776F	3.0	203,611	3.0	208,701
ADMINISTRATIVE ASSISTANT	07788F	1.0	106,506	1.0	109,168
ADMINISTRATIVE ASSISTANT	07859F	1.0	51,527	1.0	52,815
ADMINISTRATIVE ASSISTANT	07872F	6.0	243,624	6.0	249,714
ADMINISTRATIVE ASSISTANT	07887F	1.0	59,004	1.0	60,479
ADMINISTRATIVE ASSISTANT	07925F	1.0	76,549	1.0	78,462
ADMINISTRATIVE ASSISTANT	07929F	1.0	77,175	1.0	79,104
ADMINISTRATIVE ASSISTANT	07973F	1.0	61,110	1.0	62,638
ADMINISTRATIVE ASSISTANT	07977F	1.0	56,638	1.0	58,054
ADMIN OF HOUSE OVERSIGHT	07768F	1.0	94,054	1.0	96,406
ASSISTANT CLERK HOUSE FINANCE	07798F	1.0	58,546	1.0	60,009
ASSISTANT COMPUTER OPERATOR	07831F	1.0	70,293	1.0	72,051
ASSISTANT ENGINEER CAPITOL TV	07859F	1.0	61,832	1.0	63,378
ASSISTANT PROGRAM DIRECTOR	07761F	1.0	63,457	1.0	65,044
ASSISTANT RECORD CLERK/CONST SRVS	07957F	1.0	66,548	1.0	68,212
ASSOCIATE DIRECTOR/CONTROLLER	07714F	1.0	123,241	1.0	126,322
ASSOCIATE DIRECTOR IT	07793F	1.0	115,553	1.0	118,442
ASST DIRECTOR OF LAW REVISION	07959F	1.0	105,518	1.0	108,155
CHIEF CLERK	07728F	1.0	75,667	1.0	77,559
CHIEF LEGAL COUNSEL	07759F	1.0	172,314	1.0	176,622
CHIEF LEGAL COUNSEL	07933F	1.0	174,338	1.0	178,697
CHIEF OF STAFF	07815F	2.0	257,857	2.0	264,304
CHIEF OF STAFF	07837F	1.0	198,166	1.0	203,093
CHIEF OF STAFF	07968F	1.0	176,394	1.0	180,803
CLERICAL	07817F	1.0	48,526	1.0	49,739
CLERICAL	07950F	3.4	119,718	3.4	122,710
CLERK CORPORATIONS COMMITTEE	07886F	1.0	65,549	1.0	67,188
CLERK-HOUSE FINANCE	07734F	1.0	86,449	1.0	88,610
CLERK- LABOR COMMITTEE	07742F	0.6	36,349	0.6	37,258
COMM/MULTI MEDIA SUPPORT STAFF	07904F	2.0	152,210	2.0	156,016
CONSTITUENT CASEWORKER	07773F	1.0	52,341	1.0	53,649
CONSTITUENT CASEWORKER	07843F	1.0	57,697	1.0	59,139
CONSTITUENT LIAISON	07742F	1.0	57,697	1.0	59,139
CONSTITUENT LIAISON	07773F	1.0	52,341	1.0	53,649

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
CONSTITUENT LIAISON	07843F	1.0	63,467	1.0	65,053
CONSTITUENT LIAISON	07863F	1.0	69,135	1.0	70,863
CONSTITUENT LIAISON	07887F	1.0	59,004	1.0	60,479
CONSTITUENT LIAISON I	07942F	1.0	74,358	1.0	76,218
CONSTITUENT SVS CASEWORKER	07980F	1.0	65,471	1.0	67,108
DATA ANALYST	07824F	1.0	57,648	1.0	59,090
DATA ANALYST	07897F	1.0	72,607	1.0	74,423
DATA ANALYST	07930F	1.0	61,223	1.0	62,753
DEP CHIEF OF STAFF/LEGISLATION	07711F	1.0	151,036	1.0	154,812
DEPUTY CHIEF OF STAFF	07775F	1.0	121,717	1.0	124,760
DEPUTY CHIEF OF STAFF	07866F	1.0	129,576	1.0	132,815
DEPUTY CHIEF OF STAFF	07940F	1.0	93,746	1.0	96,090
DEPUTY DIR CONSTITUENT SERVICE	07767F	1.0	77,937	1.0	79,885
DEPUTY DIRECTOR	07972F	1.0	115,284	1.0	118,101
DEPUTY FISCAL ADVISOR	07793F	1.0	121,331	1.0	124,364
DEPUTY LEGAL COUNSEL	07914F	1.0	139,347	1.0	142,830
DEPUTY LEGAL COUNSEL	07963F	1.0	114,349	1.0	117,208
DEPUTY LEGISLATIVE DIRECTOR	07873F	1.0	84,732	1.0	86,850
DEPUTY POLICY DIRECTOR	07972F	1.0	102,463	1.0	105,024
DIRECTOR	07875F	1.0	122,748	1.0	125,816
DIRECTOR - CAPITOL TV	07797F	1.0	101,528	1.0	104,066
DIRECTOR OF COMMUNICATIONS	07867F	1.0	130,269	1.0	133,526
DIRECTOR OF COMMUNICATIONS	07929F	1.0	77,175	1.0	79,104
DIRECTOR OF CONSTITNENT SERVIC	07714F	1.0	115,375	1.0	118,259
DIRECTOR OF HOUSE POLICY	07878F	1.0	159,845	1.0	163,841
DIRECTOR OF IT	07914F	1.0	152,618	1.0	156,433
DIRECTOR OF LAW REVISION	07855F	1.0	152,956	1.0	156,780
DIRECTOR OF LEGAL SERVICES - SENATE	07736F	0.6	72,958	0.6	74,782
DIRECTOR OF SENATE POLICY	07711F	1.0	137,305	1.0	140,738
DIRECTOR OF SENATE SERVICES	07725F	1.0	81,081	1.0	83,109
DIR OF CONSTITUENT SERVICES	07889F	1.0	108,701	1.0	111,419
DIR OF LEGAL SVS FOR HSE COMM	07736F	0.6	72,958	0.6	74,782
DIR OF THE LEGIS PRESS BUREAU	07768F	1.0	94,054	1.0	96,406
EXECUTIVE ASSISTANT	07776F	1.0	65,681	1.0	67,323
EXECUTIVE ASSISTANT	07889F	1.0	108,701	1.0	111,419
EXECUTIVE ASSISTANT	07986F	1.0	112,923	1.0	115,746
EXECUTIVE DIRECTOR TO JCLS	07953F	1.0	164,200	1.0	168,209

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
FISCAL ANALYST I	07722F	1.0	66,299	1.0	67,957
FISCAL ANALYST II	07992F	2.0	146,184	2.0	149,840
HOUSE DIR OF COMMUNICATIONS	07703F	1.0	157,676	1.0	161,618
HOUSE PARLIAMENTARIAN-LEG COUN	07889F	1.0	119,054	1.0	122,030
HOUSE READING CLERK	07959F	1.0	100,721	1.0	103,239
IT TECHNICAL SPECIALIST II	07835F	1.0	87,139	1.0	89,318
LEGAL COUNSEL	07711F	1.0	151,036	1.0	154,812
LEGAL COUNSEL	07731F	0.6	80,291	0.6	82,299
LEGAL COUNSEL	07735F	0.6	42,302	0.6	43,360
LEGAL COUNSEL	07736F	0.6	83,902	0.6	85,999
LEGAL COUNSEL	07781F	0.6	36,517	0.6	37,429
LEGAL COUNSEL	07800F	0.6	34,157	0.6	35,010
LEGAL COUNSEL	07822F	1.0	108,626	1.0	111,342
LEGAL COUNSEL	07843F	0.6	32,970	0.6	33,794
LEGAL COUNSEL	07859F	3.0	154,580	3.0	158,445
LEGAL COUNSEL	07867F	1.0	124,066	1.0	127,168
LEGAL COUNSEL	07905F	0.6	40,168	0.6	41,172
LEGAL COUNSEL	07907F	0.6	28,950	0.6	29,674
LEGAL COUNSEL	07916F	0.6	54,809	0.6	56,180
LEGAL COUNSEL/HOUSE MAJORITY LEADER	07999F	1.0	180,461	1.0	184,973
LEGAL COUNSEL - PT	07859F	1.2	58,888	1.2	60,360
LEGAL COUNSEL - PT	07991F	0.6	74,320	0.6	76,178
LEGISLATIVE AIDE	07708F	1.0	65,928	1.0	67,577
LEGISLATIVE AIDE	07732F	1.0	52,883	1.0	54,205
LEGISLATIVE AIDE	07734F	1.0	82,520	1.0	84,583
LEGISLATIVE AIDE	07758F	1.0	51,747	1.0	53,041
LEGISLATIVE AIDE	07773F	1.0	52,341	1.0	53,649
LEGISLATIVE AIDE	07777F	1.0	48,315	1.0	49,524
LEGISLATIVE AIDE	07859F	1.0	51,527	1.0	52,815
LEGISLATIVE AIDE	07881F	1.0	53,457	1.0	54,793
LEGISLATIVE AIDE	07886F	0.6	37,457	0.6	38,393
LEGISLATIVE AIDE	07949F	1.0	48,254	1.0	49,460
LEGISLATIVE AIDE	07958F	0.6	28,304	0.6	29,012
LEGISLATIVE AIDE	07977F	1.0	56,638	1.0	58,054
LEGISLATIVE AIDE	07983F	6.1	241,611	6.1	247,655
LEGISLATIVE AIDE	07988F	1.0	39,372	1.0	40,357
LEGISLATIVE ASSISTANT	07723F	1.0	70,649	1.0	72,415

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
LEGISLATIVE ASSISTANT	07773F	1.0	52,341	1.0	53,649
LEGISLATIVE ASSISTANT	07847F	1.0	52,964	1.0	54,288
LEGISLATIVE ASSISTANT	07857F	0.6	33,212	0.6	34,042
LEGISLATIVE GRANTS COORDINATOR	07741F	1.0	88,126	1.0	90,329
LEGISLATIVE PERSONNEL ADMIN	07867F	1.0	124,066	1.0	127,168
LEGISLATIVE PROJECT COORDINATO	07766F	1.0	51,446	1.0	52,732
LEGISLATIVE PROJECT COORDINATO	07856F	1.0	62,754	1.0	64,323
MANAGER COPY CENTER	07941F	1.0	50,317	1.0	51,575
MANAGER DATA SYSTEMS	07765F	1.0	104,365	1.0	106,973
MANAGER HOUSE OPERATIONS	07841F	1.0	63,689	1.0	65,282
NETWORK & SYSTEMS TECH I	07773F	3.0	157,023	3.0	160,947
NETWORK & SYSTEMS TECHNICIANII	07737F	1.0	79,865	1.0	81,861
OPERATIONS PROJECT COORDINATOR	07708F	1.0	65,928	1.0	67,577
PAYROLL ADMINISTRATOR	07757F	1.0	76,136	1.0	78,029
POLICY ANALYST	07886F	1.0	72,104	1.0	73,907
POLICY ANALYST	07897F	0.6	36,304	0.6	37,211
POLICY ANALYST	07942F	1.0	61,965	1.0	63,515
POLICY ANALYST I	07844F	2.0	111,170	2.0	113,950
POLICY ANALYST I	07868H	0.6	41,201	0.6	42,231
POLICY ANALYST II	07738F	2.0	148,412	2.0	152,122
POLICY ANALYST II	07833F	1.0	79,119	1.0	81,097
PRESS OPERATOR	07782F	2.0	110,280	2.0	113,038
PRINCIPAL POLICY ANALYST	07737F	1.0	79,865	1.0	81,861
PRODUCTION DIRECTOR	07745F	1.0	96,309	1.0	98,716
PROGRAM OFFICER	07757F	1.0	63,097	1.0	64,674
PROGRAM OFFICER	07841F	1.0	63,689	1.0	65,282
PROJ COORD/LEGISLATIVE AIDE	07779F	0.9	46,614	0.9	47,780
PUBLICIST	07722F	2.0	132,598	2.0	135,914
SECRETARY	07761F	0.6	36,261	0.6	37,168
SECRETARY	07776F	1.0	68,965	1.0	70,689
SECRETARY	07817F	4.7	217,871	4.7	223,316
SECRETARY	07859F	1.0	54,103	1.0	55,456
SECRETARY	07901F	1.0	67,425	1.0	69,111
SECRETARY	07930F	1.0	67,345	1.0	69,028
SECRETARY	07977F	1.0	56,638	1.0	58,054
SECRETARY/CLERK	07802F	1.0	55,856	1.0	57,252
SECRETARY I	07773F	1.0	57,575	1.0	59,014

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
SECRETARY OF THE SENATE	07940F	1.0	93,746	1.0	96,090
SENATE FISCAL ADVISOR	07759F	1.0	180,930	1.0	185,453
SENATE PARLIAMENTARIAN - PT	07993F	0.6	61,398	0.6	62,933
SENATE SERVICES ASSISTANT	07758F	1.0	47,043	1.0	48,219
SENIOR DEPUTY CHIEF OF STAFF	07914F	1.0	132,711	1.0	136,029
SENIOR DEPUTY CHIEF OF STAFF	07923F	1.0	160,013	1.0	164,012
SENIOR LEGAL COUNSEL	07963F	1.0	120,066	1.0	123,068
SENIOR LEGISLATIVE ANALYST	07784F	1.0	89,904	1.0	92,151
SENIOR POLICY ANALYST	07996F	1.0	74,617	1.0	76,482
SENIOR PRESS OPERATOR	07891F	1.0	64,222	1.0	65,828
SENIOR SECRETARY	07989F	1.0	67,510	1.0	69,198
SR LEGISLATIVE FISCAL ANALYST	07784F	1.0	98,466	1.0	100,928
SR. PRODUCER/DIRECTOR	07893F	1.0	89,423	1.0	91,659
SR PROJECT MANAGER	07738F	1.0	81,627	1.0	83,667
SUPERVISOR CLERICAL SERVICES	07727F	1.0	69,943	1.0	71,691
SUPERVISOR VET'S AFFAIRS OFFI	07773F	1.0	52,341	1.0	53,649
TELEVISION MAINTENANCE ENGINEE	07771F	1.0	90,468	1.0	92,730
TV DIRECTOR	07831F	1.0	73,641	1.0	75,482
TV DIRECTOR	07891F	1.0	54,657	1.0	56,024
TV TECHNICIAN	07723F	1.0	61,434	1.0	62,970
TV TECHNICIAN	07891F	4.0	218,628	4.0	224,096
TV TECHNICIAN	07973F	1.0	58,333	1.0	59,791
ZFTE RECONCILIATION TO AUTHORIZATION (UNCLASSIFIED)	00000A	(1.0)	0	(1.0)	0
Subtotal Unclassified		194.3	15,381,770	194.3	15,766,119
Subtotal		194.3	15,381,770	194.3	15,766,119
Seasonal/Special Salaries/Wages			99,807		102,302
FY 2021 Retro COLA Payment			328,310		0
Total Salaries			15,809,887		15,868,421
Benefits					
FICA			1,191,259		1,197,594
Health Benefits			2,670,806		2,795,176
Payroll Accrual			89,673		0
Retiree Health			830,031		706,316
Retirement			4,534,594		4,552,774
Subtotal			9,316,363		9,251,860

Personnel

Agency: General Assembly

Joint Comm. on Legislative Services

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	194.3	25,126,250	194.3	25,120,281
Cost Per FTE Position		129,317		129,286
Statewide Benefit Assessment		622,444		622,757
Payroll Costs	194.3	25,748,694	194.3	25,743,038
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Information Technology		245,000		170,000
Management & Consultant Services		25,000		25,000
Subtotal		273,500		198,500
Total Personnel	194.3	26,022,194	194.3	25,941,538
Distribution by Source of Funds				
General Revenue	194.3	26,022,194	194.3	25,941,538
Total All Funds	194.3	26,022,194	194.3	25,941,538

Program Summary

Agency: General Assembly

Auditor General

Mission

The Office of the Auditor General provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance. The Office also completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I. General Laws § 22-13.

Budget

Agency: General Assembly

Auditor General

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	4,978,009	4,932,629	5,824,712	6,336,160	6,397,474
Total Expenditures	4,978,009	4,932,629	5,824,712	6,336,160	6,397,474
Expenditures by Object					
Salary and Benefits	4,517,573	4,343,305	5,272,362	5,788,710	5,796,774
Contract Professional Services	2,769	4,593	600	600	600
Operating Supplies and Expenses	447,718	471,233	511,750	506,850	550,100
Assistance and Grants	0	113,497	0	0	0
Subtotal: Operating	4,968,060	4,932,629	5,784,712	6,296,160	6,347,474
Capital Purchases and Equipment	9,949	0	40,000	40,000	50,000
Subtotal: Other	9,949	0	40,000	40,000	50,000
Total Expenditures	4,978,009	4,932,629	5,824,712	6,336,160	6,397,474
Expenditures by Source of Funds					
General Revenue	3,428,009	3,319,131	4,042,287	4,434,543	4,478,233
Restricted Receipts	1,550,000	1,613,497	1,782,425	1,901,617	1,919,241
Total Expenditures	4,978,009	4,932,629	5,824,712	6,336,160	6,397,474

Personnel

Agency: General Assembly

Auditor General

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	07995F	1.0	54,511	1.0	55,874
ADMINISTRATIVE ASSISTANT	07872F	0.6	24,363	0.6	24,971
ADMINISTRATIVE ASSISTANT	07978F	1.0	60,126	1.0	61,629
ADMINISTRATIVE OFFICER	07724F	1.0	67,687	1.0	69,379
ASSISTANT DATA SYSTEMS COORD	07976F	1.0	64,962	1.0	66,587
AUDIT MANAGER	07702F	1.0	108,460	1.0	111,170
AUDIT MANAGER	07743F	1.0	112,164	1.0	114,969
AUDIT MANAGER	07788F	1.0	108,820	1.0	111,542
AUDIT MANAGER	07986F	1.0	103,104	1.0	105,682
AUDITOR	07802F	8.0	425,568	8.0	436,208
AUDITOR	07857F	1.0	55,353	1.0	56,737
AUDITOR GENERAL	07703F	1.0	180,200	1.0	184,706
COMMUNICATIONS LIAISON OFFICER	07975F	0.6	43,873	0.6	44,969
CUSTODIAN	07877F	1.0	40,158	1.0	41,162
DATA SYSTEMS COORDINATOR	07957F	1.0	76,531	1.0	78,444
INFORMATION SYSTEMS AUDIT MGR	07972F	1.0	102,463	1.0	105,024
LEGAL COUNSEL	07850F	0.6	64,747	0.6	66,365
PRINCIPAL AUDITOR	07708F	6.0	395,568	6.0	405,462
PRINCIPAL AUDITOR	07747F	1.0	74,019	1.0	75,870
PRINCIPAL AUDITOR	07835F	1.0	79,217	1.0	81,197
PRINCIPAL AUDITOR	07841F	1.0	63,690	1.0	65,282
PRINCIPAL AUDITOR	07929F	1.0	80,850	1.0	82,871
PRINCIPAL AUDITOR	07982F	1.0	83,384	1.0	85,469
PRINCIPAL IT AUDITOR	07997F	1.0	89,408	1.0	91,642
SENIOR AUDIT MANAGER	07752F	1.0	134,516	1.0	137,878
SENIOR AUDIT MANAGER	07792F	1.0	130,777	1.0	134,047
SENIOR AUDIT MANAGER	07906F	1.0	126,807	1.0	129,977
SENIOR AUDITOR	07724F	0.6	40,613	0.6	41,627
SENIOR AUDITOR	07798F	4.0	234,184	4.0	240,040
SENIOR I.T. AUDITOR	07901F	1.0	67,426	1.0	69,111
SPECIAL PROJECTS AUDITOR	07752F	0.6	70,183	0.6	71,937
SR TECH RISK ANALYST	07940F	1.0	93,746	1.0	96,090
Subtotal Unclassified		45.0	3,457,478	45.0	3,543,918
Subtotal		45.0	3,457,478	45.0	3,543,918
FY 2021 Retro COLA Payment			67,344		0
Total Salaries			3,524,822		3,543,918

Personnel

Agency: General Assembly

Auditor General

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		30,000		30,000
FICA		267,331		269,062
Health Benefits		609,623		638,458
Holiday		8		0
Payroll Accrual		20,128		0
Retiree Health		186,253		158,765
Retirement		1,010,940		1,016,591
Subtotal		2,124,283		2,112,876
Total Salaries and Benefits	45.0	5,649,105	45.0	5,656,794
Cost Per FTE Position		125,536		125,707
Statewide Benefit Assessment		139,605		139,980
Payroll Costs	45.0	5,788,710	45.0	5,796,774
Purchased Services				
Other Contracts		600		600
Subtotal		600		600
Total Personnel	45.0	5,789,310	45.0	5,797,374
Distribution by Source of Funds				
General Revenue	45.0	4,051,748	45.0	4,058,163
Restricted Receipts	0.0	1,737,562	0.0	1,739,211
Total All Funds	45.0	5,789,310	45.0	5,797,374

Program Summary

Agency: General Assembly

Special Legislative Commissions

Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

Budget

Agency: General Assembly

Special Legislative Commissions

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	7,573	5,560	13,900	13,900	13,900
Total Expenditures	7,573	5,560	13,900	13,900	13,900
Expenditures by Object					
Operating Supplies and Expenses	7,573	5,560	13,900	13,900	13,900
Subtotal: Operating	7,573	5,560	13,900	13,900	13,900
Total Expenditures	7,573	5,560	13,900	13,900	13,900
Expenditures by Source of Funds					
General Revenue	7,573	5,560	13,900	13,900	13,900
Total Expenditures	7,573	5,560	13,900	13,900	13,900

Agency Summary

Office of the Governor

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. To obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Agency Description

The Office of the Governor is established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the Constitution of Rhode Island are faithfully executing the laws (Article IX, Section 2), commanding the state's military and naval forces (Article IX, Section 3), granting reprieves (Articles IX, Section 4) and pardons (Article IX, Section 13), convening special sessions of the General Assembly (Article IX, Section 7), and preparing and presenting to the General Assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Daniel J. McKee began on March 2, 2021.

Budget

Office of the Governor

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Expenditures by Object					
Salary And Benefits	6,077,402	5,920,062	6,119,375	6,528,635	6,533,993
Contract Professional Services	158,589	105,477	500	500	500
Operating Supplies And Expenses	364,061	428,858	416,651	464,491	450,687
Assistance And Grants	0	0	150,000	150,000	150,000
Subtotal: Operating	6,600,052	6,454,397	6,686,526	7,143,626	7,135,180
Capital Purchases And Equipment	5,892	34,469	17,100	17,100	17,100
Subtotal: Other	5,892	34,469	17,100	17,100	17,100
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Expenditures by Source of Funds					
General Revenue	5,493,031	6,477,752	6,703,626	7,135,886	7,152,280
Federal Funds	1,112,913	11,115	0	24,840	0
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
FTE Authorization	45.0	45.0	45.0	45.0	45.0

Personnel Agency Summary

Office of the Governor

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Unclassified	45.0	4,358,669	45.0	4,600,724
Subtotal	45.0	4,358,669	45.0	4,600,724
Turnover		(275,256)		(393,132)
FY 2021 Retro COLA Payment		94,978		0
Total Salaries		4,178,391		4,207,592
Benefits				
FICA		309,303		310,660
Health Benefits		424,510		443,937
Payroll Accrual		23,810		0
Retiree Health		216,707		184,939
Retirement		1,210,370		1,220,666
Subtotal		2,184,700		2,160,202
Total Salaries and Benefits	45.0	6,363,091	45.0	6,367,794
Cost Per FTE Position		141,402		141,507
Statewide Benefit Assessment		165,544		166,199
Payroll Costs	45.0	6,528,635	45.0	6,533,993
Purchased Services				
Other Contracts		500		500
Subtotal		500		500
Total Personnel	45.0	6,529,135	45.0	6,534,493
Distribution by Source of Funds				
General Revenue	45.0	6,529,135	45.0	6,534,493
Total All Funds	45.0	6,529,135	45.0	6,534,493

Program Summary

Agency: Office of the Governor

Central Management

Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. to obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Description

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Budget

Agency: Office of the Governor

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Governor's Office	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Expenditures by Object					
Salary and Benefits	6,077,402	5,920,062	6,119,375	6,528,635	6,533,993
Contract Professional Services	158,589	105,477	500	500	500
Operating Supplies and Expenses	364,061	428,858	416,651	464,491	450,687
Assistance and Grants	0	0	150,000	150,000	150,000
Subtotal: Operating	6,600,052	6,454,397	6,686,526	7,143,626	7,135,180
Capital Purchases and Equipment	5,892	34,469	17,100	17,100	17,100
Subtotal: Other	5,892	34,469	17,100	17,100	17,100
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280
Expenditures by Source of Funds					
General Revenue	5,493,031	6,477,752	6,703,626	7,135,886	7,152,280
Federal Funds	1,112,913	11,115	0	24,840	0
Total Expenditures	6,605,944	6,488,866	6,703,626	7,160,726	7,152,280

Personnel

Agency: Office of the Governor

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	08325A	1.0	59,247	1.0	63,273
CHIEF OF STAFF (GOV OFF)	08354A	1.0	202,026	1.0	213,851
COMMUNICATIONS ASSOCIATE (G O)	00817F	1.0	40,768	1.0	41,787
COMMUNITY AFFAIRS & OUTREACH MANAGER (GOV OFF)	08343A	1.0	123,744	1.0	132,239
COMMUNITY OUTREACH COORD (GO)	08325A	1.0	60,148	1.0	63,782
CONSTITUENT SERVICES ASSOCIATE	00817A	1.0	40,461	1.0	41,472
CONSTITUENT SERVICES ASSOCIATE	08318A	1.0	45,824	1.0	48,177
CONSTITUENT SVS ASSO I (G O)	08318A	3.0	138,076	3.0	145,105
DEP COUNSEL ON POLICY (GOV OFF)	08339A	1.0	102,588	1.0	110,553
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	08351A	1.0	166,071	1.0	175,623
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	08352A	1.0	175,062	1.0	186,160
DEPUTY COMMUNICATIONS DIRECTOR (GOV OFFICE)	08337A	1.0	97,689	1.0	105,132
DEPUTY COUNSEL (GOV OFF)	08345A	1.0	146,123	1.0	149,776
DEPUTY COUNSEL (GOV OFFICE)	08345A	1.0	146,123	1.0	149,776
DEPUTY POLICY DIRECTOR (G O)	08345A	1.0	134,329	1.0	143,087
DEPUTY PRESS SECRETARY (GOV OFF)	08336A	1.0	94,292	1.0	101,588
DIGI COMM DIR/POL ANALYST(GO)	08330A	1.0	71,196	1.0	72,976
DIRECTOR EXECUTIVE OPERATIONS (GOV OFF)	08334A	1.0	84,973	1.0	90,269
DIRECTOR OF LEGISLATIVE & INTERGOVERNMENTAL AFFAIRS	08345A	1.0	134,329	1.0	143,087
DIRECTOR OF MUNICIPAL AFFAIRS (GOV OFFICE)	08335A	1.0	86,734	1.0	92,135
DIRECTOR OF SCHEDULING (G O)	08334A	1.0	85,910	1.0	91,387
DIR OF COMM AFF & OUTRCH (GO)	08339A	1.0	102,588	1.0	110,553
DIR OF COMMUNICATIONS (GO)	08344A	1.0	129,034	1.0	137,667
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	08347A	1.0	172,382	1.0	176,692
GOVERNOR	00527F	1.0	153,130	1.0	162,498
MANAGER OF APPOINTMENTS (GO)	08339A	1.0	102,588	1.0	110,553
OFFICE MANAGER (GOVERNORS OFFICE)	08328A	1.0	74,550	1.0	76,413
POL ANALYST & PUB REC OFF (GO)	08332A	1.0	78,766	1.0	83,678
POLICY ADVISOR	08329A	1.0	70,131	1.0	74,493
POLICY ADVISOR I (GOV'S OFFICE)	08326A	1.0	62,718	1.0	66,583
POLICY ADVISOR II (GOV OFF)	08326A	1.0	68,143	1.0	70,662
POLICY ASSOCIATE (GOV OFFICE)	00817A	1.0	40,461	1.0	41,472
SENIOR ADVISOR TO THE GOVERNOR (GOV'S OFFICE)	08348A	1.0	160,372	1.0	166,049
SMALL BUSINESS LIAISON (GOV OFF)	08339A	1.0	114,388	1.0	117,247

Personnel

Agency: Office of the Governor

Central Management

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
SPEC ASST TO CHF OF STAFF (GO)	08329A	1.0	76,648	1.0	79,506
SPEC ASST TO DEP CHF OF STAFF	08329A	1.0	75,882	1.0	79,506
SPECIAL ASSISTANT TO DEPUTY CHIEF OF STAFF (GOV OFF)	08319A	1.0	47,483	1.0	49,926
SPECIAL ASSISTANT TO THE CHIEF OF STAFF (GOV'S OFFICE)	08334A	1.0	83,350	1.0	88,539
SR ADVISOR / SPECIAL COUNSEL (GOV OFFICE)	08351A	1.0	160,704	1.0	173,955
SR ADVISOR TO THE GOVERNOR (GO	08339A	1.0	102,588	1.0	110,553
SR ADVISOR TO THE GOVERNOR (GO	08345A	1.0	134,329	1.0	143,087
SR. CONSTITUENT SVC ASSOCIATE II (GOV OFFICE)	08322A	1.0	56,462	1.0	60,271
STAFF WRITER & CONTENT MGR (GOV'S OFFICE)	08324A	1.0	56,259	1.0	59,586
Subtotal Unclassified		45.0	4,358,669	45.0	4,600,724
Subtotal		45.0	4,358,669	45.0	4,600,724
Turnover			(275,256)		(393,132)
FY 2021 Retro COLA Payment			94,978		0
Total Salaries			4,178,391		4,207,592
Benefits					
FICA			309,303		310,660
Health Benefits			424,510		443,937
Payroll Accrual			23,810		0
Retiree Health			216,707		184,939
Retirement			1,210,370		1,220,666
Subtotal			2,184,700		2,160,202
Total Salaries and Benefits		45.0	6,363,091	45.0	6,367,794
Cost Per FTE Position			141,402		141,507
Statewide Benefit Assessment			165,544		166,199
Payroll Costs		45.0	6,528,635	45.0	6,533,993
Purchased Services					
Other Contracts			500		500
Subtotal			500		500
Total Personnel		45.0	6,529,135	45.0	6,534,493
Distribution by Source of Funds					
General Revenue		45.0	6,529,135	45.0	6,534,493
Total All Funds		45.0	6,529,135	45.0	6,534,493

Agency Summary

Office of Lieutenant Governor

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his or her death, resignation, impeachment, or inability to serve. The Lieutenant Governor appoints members of the general public to serve on boards and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans' affairs, education, economic development, the environment, long-term care, health care, and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power; duties in the case of death, resignation, or impeachment of the Governor; responsibilities in the case of a vacancy of position; and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long-Term Care Coordinating Council (R.I. General Laws § 23-17.3-2), the Emergency Management Advisory Committee (RIGL § 30-15-6), and the Small Business Advocacy Council (RIGL § 42-91-2).

Budget

Office of Lieutenant Governor

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Lt. Governor's Office - General	1,142,644	1,003,177	1,199,161	1,263,354	1,353,568
Total Expenditures	1,142,644	1,003,177	1,199,161	1,263,354	1,353,568
Expenditures by Object					
Salary And Benefits	1,045,223	864,279	1,071,092	1,075,285	1,153,520
Contract Professional Services	47,326	55,130	48,000	58,000	58,000
Operating Supplies And Expenses	49,531	83,768	79,319	129,319	141,298
Subtotal: Operating	1,142,080	1,003,177	1,198,411	1,262,604	1,352,818
Capital Purchases And Equipment	564	0	750	750	750
Subtotal: Other	564	0	750	750	750
Total Expenditures	1,142,644	1,003,177	1,199,161	1,263,354	1,353,568
Expenditures by Source of Funds					
General Revenue	1,142,644	1,002,553	1,199,161	1,263,354	1,353,568
Federal Funds	0	624	0	0	0
Total Expenditures	1,142,644	1,003,177	1,199,161	1,263,354	1,353,568
FTE Authorization	8.0	8.0	8.0	8.0	8.0

Personnel

Agency: Office of Lieutenant Governor

Lt. Governor's Office - General

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
CHIEF OF STAFF (LT GOV)	08448A	1.0	175,464	1.0	186,191
EXECUTIVE ASSISTANT/SPEC PROJECTS (LT.GOV)	08428A	1.0	68,822	1.0	73,085
LIEUTENANT GOVERNOR	00531F	1.0	126,084	1.0	133,495
POL ANALYST/SPEC PROJ (LT.GOV)	08427A	1.0	53,055	1.0	54,382
POLICY ANALYST/GRAPHICS (LT.GOV)	08414A	1.0	53,055	1.0	54,382
POLICY ANALYST/SPEC PROJ (LT GOV)	08429A	1.0	71,533	1.0	75,983
SENIOR POLICY ANALYST (LT.GOV)	08433A	1.0	90,136	1.0	96,572
SR. ADVISOR / DIRECTOR OF GOVERNMENT AFFAIRS AND DIVERSITY	08425A	1.0	70,943	1.0	72,717
Subtotal Unclassified		8.0	709,092	8.0	746,807
Subtotal		8.0	709,092	8.0	746,807
Turnover			(34,779)		0
FY 2021 Retro COLA Payment			13,791		0
Total Salaries			688,104		746,807
Benefits					
FICA			50,835		55,005
Health Benefits			70,935		73,966
Payroll Accrual			3,930		0
Retiree Health			36,318		33,456
Retirement			197,936		214,787
Subtotal			359,954		377,214
Total Salaries and Benefits		8.0	1,048,058	8.0	1,124,021
Cost Per FTE Position			131,007		140,503
Statewide Benefit Assessment			27,227		29,499
Payroll Costs		8.0	1,075,285	8.0	1,153,520
Purchased Services					
Legal Services			48,000		48,000
Training and Educational Services			10,000		10,000
Subtotal			58,000		58,000
Total Personnel		8.0	1,133,285	8.0	1,211,520
Distribution by Source of Funds					
General Revenue		8.0	1,133,285	8.0	1,211,520
Total All Funds		8.0	1,133,285	8.0	1,211,520

Agency Summary

Secretary of State

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To engage and empower all Rhode Islanders by making government more accessible and transparent, encouraging civic pride, enhancing commerce, and ensuring that elections are fair, fast, and accurate.

Agency Description

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Department plays a vital role in providing the public with basic information about the workings of state government. The Department consists of six programs and one internal service program.

Statutory History

Article IV of the Rhode Island State Constitution and R.I. General Laws § 42-8 establishes the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents. RIGL § 17-14, § 17-15 and § 17-22 also refer to elections. RIGL § 29 establishes the State Library and the Legislative Reference Bureau.

Budget

Secretary of State

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Administration	3,435,395	3,703,254	3,633,858	3,954,130	3,909,383
Corporations	2,463,789	2,543,776	2,539,285	2,544,874	2,687,784
State Archives	602,343	666,014	691,102	796,923	698,848
Elections and Civics	4,661,964	7,452,158	3,877,371	4,199,577	5,231,027
State Library	712,734	916,423	768,685	826,600	825,475
Internal Service Programs	794,754	808,303	1,060,059	1,175,786	1,143,730
Office of Public Information	362,700	423,562	546,918	595,313	711,260
Total Expenditures	13,033,679	16,513,489	13,117,278	14,093,203	15,207,507
<i>Internal Services</i>	<i>[794,754]</i>	<i>[808,303]</i>	<i>[1,060,059]</i>	<i>[1,175,786]</i>	<i>[1,143,730]</i>
Expenditures by Object					
Salary And Benefits	7,010,216	7,499,212	7,367,169	7,992,890	8,028,550
Contract Professional Services	968,410	881,760	1,199,291	1,511,911	1,100,473
Operating Supplies And Expenses	3,906,834	6,835,669	4,050,368	4,087,952	5,353,034
Assistance And Grants	223,929	155,375	243,000	243,000	243,000
Subtotal: Operating	12,109,389	15,372,017	12,859,828	13,835,753	14,725,057
Capital Purchases And Equipment	296,722	513,904	217,450	217,450	437,450
Debt Service (fixed Charges)	627,568	627,569	0	0	0
Operating Transfers	0	0	40,000	40,000	45,000
Subtotal: Other	924,290	1,141,473	257,450	257,450	482,450
Total Expenditures	13,033,679	16,513,489	13,117,278	14,093,203	15,207,507
Expenditures by Source of Funds					
General Revenue	9,305,239	12,264,120	9,775,071	10,264,710	11,727,015
Federal Funds	2,458,169	2,336,420	1,810,000	2,012,835	1,621,565
Restricted Receipts	475,518	1,104,646	472,148	639,872	545,197
Operating Transfers From Other Funds	0	0	0	0	170,000
Other Funds	794,754	808,303	1,060,059	1,175,786	1,143,730
Total Expenditures	13,033,679	16,513,489	13,117,278	14,093,203	15,207,507
FTE Authorization	59.0	59.0	59.0	59.0	59.0

Personnel Agency Summary

Secretary of State

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Unclassified	59.0	4,777,447	59.0	4,916,226
Subtotal	59.0	4,777,447	59.0	4,916,226
Overtime		175		0
Seasonal/Special Salaries/Wages		37,824		38,766
Turnover		(24,000)		0
FY 2021 Retro COLA Payment		117,914		0
Total Salaries		4,909,360		4,954,992
Benefits				
Contract Stipends		43,500		43,500
FICA		377,001		381,194
Health Benefits		755,870		790,756
Holiday		244		0
Payroll Accrual		28,002		0
Retiree Health		259,242		222,195
Retirement		1,424,982		1,440,008
Subtotal		2,888,841		2,877,653
Total Salaries and Benefits	59.0	7,798,201	59.0	7,832,645
Cost Per FTE Position		132,173		132,757
Statewide Benefit Assessment		194,689		195,905
Payroll Costs	59.0	7,992,890	59.0	8,028,550
Purchased Services				
Clerical and Temporary Services		150,293		20,000
Information Technology		1,215,000		844,565
Legal Services		110,000		140,000
Other Contracts		24,618		95,908
Training and Educational Services		12,000		0
Subtotal		1,511,911		1,100,473
Total Personnel	59.0	9,504,801	59.0	9,129,023
Distribution by Source of Funds				
General Revenue	52.0	7,353,913	52.0	7,418,151
Federal Funds	0.0	1,102,835	0.0	711,565
Restricted Receipts	2.0	408,942	2.0	377,207
Other Funds	5.0	639,111	5.0	622,100
Total All Funds	59.0	9,504,801	59.0	9,129,023

Program Summary

Agency: Secretary of State

Administration

Mission

The Administration program provides support for the efficient and secure operation of the Office of the Secretary of State.

Description

The Administration Program supports the Department of State in six areas. Personnel maintains personnel records and provides administrative support for payroll and personnel matters. Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget. E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems. Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public. Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media. Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by Article IX of the Constitution of Rhode Island as one of the five general offices subject to voter election. R.I. General Laws § 42-8 further prescribes the duties of the Secretary and Department.

Budget

Agency: Secretary of State

Administration

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Administration	1,907,979	1,722,775	2,011,383	2,063,888	2,043,364
Information Technology	1,040,133	1,571,763	1,211,590	1,436,153	1,413,195
Personnel and Finance	487,283	408,717	410,885	454,089	452,824
Total Expenditures	3,435,395	3,703,254	3,633,858	3,954,130	3,909,383
Expenditures by Object					
Salary and Benefits	2,934,004	2,812,557	2,923,797	3,251,966	3,268,076
Contract Professional Services	3,358	379,728	35,715	65,418	40,715
Operating Supplies and Expenses	517,100	391,948	666,896	629,296	593,142
Subtotal: Operating	3,454,462	3,584,233	3,626,408	3,946,680	3,901,933
Capital Purchases and Equipment	(19,067)	119,021	7,450	7,450	7,450
Subtotal: Other	(19,067)	119,021	7,450	7,450	7,450
Total Expenditures	3,435,395	3,703,254	3,633,858	3,954,130	3,909,383
Expenditures by Source of Funds					
General Revenue	3,435,395	3,703,254	3,633,858	3,954,130	3,909,383
Total Expenditures	3,435,395	3,703,254	3,633,858	3,954,130	3,909,383

Personnel

Agency: Secretary of State

Administration

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05325A	2.0	152,024	2.0	155,824
ASSISTANT TO THE SECRETARY (S.O.S.)	08614A	1.0	45,619	1.0	47,113
CYBERSECURITY MANAGER (S.O.S.)	08640A	1.0	122,069	1.0	125,121
DATA ANALYST (SOS)	08621A	1.0	51,413	1.0	54,212
DEPUTY COMMUNICATIONS DIRECTOR (S.O.S.)	08634A	1.0	92,869	1.0	97,931
DEPUTY SECRETARY OF STATE/CHIEF OF STAFF (SOS)	08646A	1.0	154,448	1.0	158,309
DEPUTY SECRETARY OF STATE/DIR OF ADMINISTRATION (SOS)	08646A	1.0	154,448	1.0	158,309
DIRECTOR OF FINANCE & PERSONNEL (SOS)	08638A	1.0	111,279	1.0	114,061
DIR. OF LEGISLATIVE & COMMUNITY AFFAIRS	08634A	1.0	94,206	1.0	97,931
DIR. OF PLANNING AND SCHEDULING (S.O.S.)	08630A	1.0	82,208	1.0	84,263
IT DIRECTOR (SEC OF STATE)	08642A	1.0	139,503	1.0	142,991
JR NETWORK & SYS ADMIN (SOS)	08622A	1.0	58,459	1.0	61,476
MVC APPLICATION DEVELOPER (SOS)	08633A	1.0	90,658	1.0	94,416
NET APPLICATION DEVELOPER (SOS)	08629A	1.0	79,118	1.0	81,096
SECRETARY OF STATE	00531F	1.0	126,084	1.0	133,495
SENIOR DATA ANALYST (S.O.S.)	08635A	1.0	98,155	1.0	101,427
SOCIAL MEDIA SPECIALIST (SOS)	08613A	1.0	44,488	1.0	46,022
SQL DATABASE ADMINISTRATOR	08622A	1.0	55,818	1.0	59,242
SR. ADVISOR/CHIEF OF INFORMATION (S.O.S.)	08646A	1.0	154,448	1.0	158,309
VISUAL COMMUNICATIONS MANAGER (S.O.S.)	05328A	1.0	79,843	1.0	81,839
Subtotal Unclassified		21.0	1,987,157	21.0	2,053,387
Subtotal		21.0	1,987,157	21.0	2,053,387
Seasonal/Special Salaries/Wages			12,608		12,922
FY 2021 Retro COLA Payment			44,229		0
Total Salaries			2,043,994		2,066,309
Benefits					
Contract Stipends			4,500		4,500
FICA			155,215		157,223
Health Benefits			257,261		268,978
Holiday			129		0
Payroll Accrual			11,625		0
Retiree Health			107,577		92,193
Retirement			590,994		597,591
Subtotal			1,127,301		1,120,485

Personnel

Agency: Secretary of State

Administration

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	21.0	3,171,295	21.0	3,186,794
Cost Per FTE Position		151,014		151,752
Statewide Benefit Assessment		80,671		81,282
Payroll Costs	21.0	3,251,966	21.0	3,268,076
Purchased Services				
Clerical and Temporary Services		53,000		0
Legal Services		5,000		5,000
Other Contracts		7,418		35,715
Subtotal		65,418		40,715
Total Personnel	21.0	3,317,384	21.0	3,308,791
Distribution by Source of Funds				
General Revenue	21.0	3,317,384	21.0	3,308,791
Total All Funds	21.0	3,317,384	21.0	3,308,791

Program Summary

Agency: Secretary of State

Corporations

Mission

The Corporations program is the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and notary/trademark applications. The program helps create a user-friendly, customer-service centric environment that promotes clear, predictable, and reliable policies, procedures, and services.

Description

The Corporations program encompasses six sections: Business Filings, Uniform Commercial Code, Trademark and Service Mark, Notary Public, Certification/Authentication, and the Rhode Island Business Portal. The Business Filings section examines, processes, and maintains legal documents affecting various types of corporations, companies, and partnerships. The section ensures compliance with laws governing these entities and provides public access to all associated documents. The UCC section is the central filing office for certain finance and lien documents. It also ensures compliance with applicable laws and provides public access to associated documents. The Trademark and Service Mark section manages notaries in the state through maintenance of oaths of office and official signatures of commissioned notaries. The Certification/Authentication section prepares certified copies, letters of good standing, legal existence, and certificates of fact related to business filing. It also issues apostilles. The Rhode Island Business Portal helps business owners navigate federal, state, and local licensing requirements.

Statutory History

Applicable statutes for the Corporations program are outlined in R.I. General Laws § 6-2, § 6A-9, § 7, § 11-50, § 42-30, and § 42-132.

Budget

Agency: Secretary of State

Corporations

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Corporations	2,459,322	2,543,776	2,539,285	2,544,874	2,687,784
First Stop Business Inf.	4,467	0	0	0	0
Total Expenditures	2,463,789	2,543,776	2,539,285	2,544,874	2,687,784
Expenditures by Object					
Salary and Benefits	1,997,112	2,021,334	2,103,173	2,134,762	2,167,572
Contract Professional Services	113,854	67,008	76,000	40,000	60,000
Operating Supplies and Expenses	341,240	438,805	352,112	362,112	452,212
Subtotal: Operating	2,452,207	2,527,147	2,531,285	2,536,874	2,679,784
Capital Purchases and Equipment	11,582	16,629	8,000	8,000	8,000
Subtotal: Other	11,582	16,629	8,000	8,000	8,000
Total Expenditures	2,463,789	2,543,776	2,539,285	2,544,874	2,687,784
Expenditures by Source of Funds					
General Revenue	2,463,789	2,543,776	2,539,285	2,544,874	2,687,784
Total Expenditures	2,463,789	2,543,776	2,539,285	2,544,874	2,687,784

Personnel

Agency: Secretary of State

Corporations

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	05319A	3.0	187,050	3.0	191,727
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05319A	8.0	459,608	8.0	471,100
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05321A	1.0	57,783	1.0	59,228
CUSTOMER SVC MGR (SOS)	05322A	1.0	54,057	1.0	57,138
DATA ENTRY TEAM LEADER (SOS)	05321A	1.0	67,895	1.0	69,593
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	08630A	1.0	98,650	1.0	101,116
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	08633A	1.0	92,113	1.0	94,416
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	08636A	1.0	117,712	1.0	120,653
DOCUMENT PROCESSOR/COUNTER TEAM LEAD	05321A	1.0	50,442	1.0	51,704
NOTARY TRADEMARK AND AUTHENTICATION	05234A	1.0	64,819	1.0	66,439
Subtotal Unclassified		19.0	1,250,129	19.0	1,283,114
Subtotal		19.0	1,250,129	19.0	1,283,114
Seasonal/Special Salaries/Wages			6,304		6,461
Turnover			(24,000)		0
FY 2021 Retro COLA Payment			29,982		0
Total Salaries			1,262,415		1,289,575
Benefits					
Contract Stipends			24,000		24,000
FICA			98,297		100,489
Health Benefits			253,229		265,008
Holiday			5		0
Payroll Accrual			7,294		0
Retiree Health			67,647		58,558
Retirement			371,134		378,310
Subtotal			821,606		826,365
Total Salaries and Benefits		19.0	2,084,021	19.0	2,115,940
Cost Per FTE Position			109,685		111,365
Statewide Benefit Assessment			50,741		51,632
Payroll Costs		19.0	2,134,762	19.0	2,167,572
Purchased Services					
Legal Services			40,000		60,000
Subtotal			40,000		60,000

Personnel

Agency: Secretary of State

Corporations

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Personnel	19.0	2,174,762	19.0	2,227,572
Distribution by Source of Funds				
General Revenue	19.0	2,174,762	19.0	2,227,572
Total All Funds	19.0	2,174,762	19.0	2,227,572

Program Summary

Agency: Secretary of State

State Archives

Mission

The State Archives provides comprehensive archives and records management services for all public records in the State, information on the preservation of historical records, and provides information from and access to the State government archives.

Description

The State Archives preserves and maintains the permanent records of state government. It also operates a public reading room for the research, inspection, and duplication of public records; publishes guides and finding aids to collections; and features exhibits and displays that are free and open to the public. The State Archives manages the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program provides records management advice, assistance, and consultation to all departments of municipal government. It also develops record control schedules that govern retention and disposition of municipal records. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records

Administration, the program also publishes guidelines and regulations about records and records issues. The 1993 General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Statutory History

R.I. General Laws § 42-8.1 et seq. establishes the State Archives and defines its duties and functions. RIGL § 42-35 prescribes the Secretary of State's duties under the Administrative Procedures Act. RIGL § 38-1, § 38-2, and § 38-3 detail the custody and protection of public records, access to public records, and Public Records Administration.

Budget

Agency: Secretary of State

State Archives

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	602,343	666,014	691,102	796,923	698,848
Total Expenditures	602,343	666,014	691,102	796,923	698,848
Expenditures by Object					
Salary and Benefits	368,722	331,673	337,385	378,442	377,207
Contract Professional Services	0	5,511	3,500	49,500	3,700
Operating Supplies and Expenses	230,649	328,830	350,217	368,981	317,941
Subtotal: Operating	599,371	666,014	691,102	796,923	698,848
Capital Purchases and Equipment	2,972	0	0	0	0
Subtotal: Other	2,972	0	0	0	0
Total Expenditures	602,343	666,014	691,102	796,923	698,848
Expenditures by Source of Funds					
General Revenue	134,304	194,933	243,954	170,051	178,651
Federal Funds	0	0	0	12,000	0
Restricted Receipts	468,039	471,080	447,148	614,872	520,197
Total Expenditures	602,343	666,014	691,102	796,923	698,848

Personnel

Agency: Secretary of State

State Archives

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
LOCAL GOV'T RECORDS COORD (SOS)	05328A	1.0	87,448	1.0	89,634
STATE ARCHIVIST & PUBLIC RECORDS ADMINISTRATOR	08633A	1.0	92,113	1.0	94,417
Subtotal Unclassified		2.0	179,561	2.0	184,051
Subtotal		2.0	179,561	2.0	184,051
Transfer Out			(107,737)		(110,430)
Transfer In			158,071		162,022
FY 2021 Retro COLA Payment			5,321		0
Total Salaries			235,216		235,643
Benefits					
Contract Stipends			3,000		3,000
FICA			18,146		18,258
Health Benefits			29,251		30,615
Payroll Accrual			1,361		0
Retiree Health			12,609		10,691
Retirement			69,420		69,573
Subtotal			133,787		132,137
Total Salaries and Benefits		2.0	369,003	2.0	367,780
Cost Per FTE Position			184,502		183,890
Statewide Benefit Assessment			9,439		9,427
Payroll Costs		2.0	378,442	2.0	377,207
Purchased Services					
Clerical and Temporary Services			20,500		0
Other Contracts			17,000		3,700
Training and Educational Services			12,000		0
Subtotal			49,500		3,700
Total Personnel		2.0	427,942	2.0	380,907
Distribution by Source of Funds					
General Revenue		0.0	7,000	0.0	3,700
Federal Funds		0.0	12,000	0.0	0
Restricted Receipts		2.0	408,942	2.0	377,207
Total All Funds		2.0	427,942	2.0	380,907

Program Summary

Agency: Secretary of State

Elections and Civics

Mission

The mission of the Elections program is to provide efficient election services and prepare federal, statewide and municipal elections as required by statute. The Program seeks to provide an accurate and timely statewide central voter registration system (CVRS) as mandated by the Help America Vote Act (HAVA) and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. The Elections strives to provides concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Description

The Elections program is responsible for the preparation of federal, state, and local elections. It provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification. The program maintains files for local candidate ballot placement as certified by local boards of canvassers. Additionally, it designs ballot layout; prepares and provides sample and polling place ballots for all federal, state, and municipal elections as mandated by law; and prepares and sends mail ballots. The Elections program prepares and distributes the Voter Information Handbook and provides voter assistance and education through the publication of numerous guides for candidates, election officials, and voters. Specifications for the purchase of voting equipment and related voting system services are also handled by the Elections program.

Statutory History

The Elections program operates under R.I. General Laws Title 17.

Budget

Agency: Secretary of State

Elections and Civics

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	4,661,964	7,452,158	3,877,371	4,199,577	5,231,027
Total Expenditures	4,661,964	7,452,158	3,877,371	4,199,577	5,231,027
Expenditures by Object					
Salary and Benefits	562,758	989,404	567,906	631,578	619,172
Contract Professional Services	813,050	365,833	1,051,376	1,265,000	906,565
Operating Supplies and Expenses	2,277,240	5,078,603	1,956,089	2,000,999	3,183,290
Assistance and Grants	80,929	12,495	100,000	100,000	100,000
Subtotal: Operating	3,733,977	6,446,335	3,675,371	3,997,577	4,809,027
Capital Purchases and Equipment	300,419	378,254	202,000	202,000	422,000
Debt Service (Fixed Charges)	627,568	627,569	0	0	0
Subtotal: Other	927,987	1,005,823	202,000	202,000	422,000
Total Expenditures	4,661,964	7,452,158	3,877,371	4,199,577	5,231,027
Expenditures by Source of Funds					
General Revenue	2,203,795	4,483,549	2,067,371	2,198,742	3,439,462
Federal Funds	2,458,169	2,336,420	1,810,000	2,000,835	1,621,565
Restricted Receipts	0	632,189	0	0	0
Operating Transfers from Other Funds	0	0	0	0	170,000
Total Expenditures	4,661,964	7,452,158	3,877,371	4,199,577	5,231,027

Personnel

Agency: Secretary of State

Elections and Civics

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
DEPUTY DIRECTOR OF ELECTIONS (SOS)	08633A	2.0	188,832	2.0	193,553
DIRECTOR OF ELECTIONS & CIVICS (SOS)	08637A	1.0	111,182	1.0	113,962
MANAGER OF ELECTIONS	05324A	1.0	68,060	1.0	69,761
Subtotal Unclassified		4.0	368,074	4.0	377,276
Subtotal		4.0	368,074	4.0	377,276
Overtime			175		0
Seasonal/Special Salaries/Wages			12,608		12,922
FY 2021 Retro COLA Payment			18,161		0
Total Salaries			399,018		390,198
Benefits					
Contract Stipends			1,500		1,500
FICA			30,437		29,966
Health Benefits			53,204		55,695
Holiday			99		0
Payroll Accrual			2,157		0
Retiree Health			20,016		16,969
Retirement			109,861		109,883
Subtotal			217,274		214,013
Total Salaries and Benefits		4.0	616,292	4.0	604,211
Cost Per FTE Position			154,073		151,053
Statewide Benefit Assessment			15,286		14,961
Payroll Costs		4.0	631,578	4.0	619,172
Purchased Services					
Clerical and Temporary Services			0		20,000
Information Technology			1,215,000		836,565
Legal Services			50,000		50,000
Subtotal			1,265,000		906,565
Total Personnel		4.0	1,896,578	4.0	1,525,737
Distribution by Source of Funds					
General Revenue		4.0	805,743	4.0	814,172
Federal Funds		0.0	1,090,835	0.0	711,565
Total All Funds		4.0	1,896,578	4.0	1,525,737

Program Summary

Agency: Secretary of State

State Library

Mission

To provide high quality assistance and access to materials in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history.

Description

The State Library provides reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history to Rhode Islanders. It catalogs all state publications and maintains an online catalog of its holdings. The Library operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. It is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I. General Laws 29-1. RIGL § 29-7 authorizes the Library to act as a clearinghouse for state publications.

Budget

Agency: Secretary of State

State Library

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	712,734	916,423	768,685	826,600	825,475
Total Expenditures	712,734	916,423	768,685	826,600	825,475
Expenditures by Object					
Salary and Benefits	538,742	745,735	592,861	649,761	645,436
Contract Professional Services	199	2,387	200	200	200
Operating Supplies and Expenses	30,794	25,420	32,624	33,639	36,839
Assistance and Grants	143,000	142,880	143,000	143,000	143,000
Subtotal: Operating	712,734	916,423	768,685	826,600	825,475
Total Expenditures	712,734	916,423	768,685	826,600	825,475
Expenditures by Source of Funds					
General Revenue	712,734	916,423	768,685	826,600	825,475
Total Expenditures	712,734	916,423	768,685	826,600	825,475

Personnel

Agency: Secretary of State

State Library

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	05316A	1.0	52,019	1.0	53,320
ADMINISTRATIVE ASSISTANT	05325A	2.0	152,024	2.0	155,824
ASSOCIATE DIRECTOR OF EDUC. & PUB PROGRAMS (SOS)	08630A	1.0	82,208	1.0	84,263
STATE LIBRARIAN (SOS)	08630A	1.0	82,208	1.0	84,263
Subtotal Unclassified		5.0	368,459	5.0	377,670
Subtotal		5.0	368,459	5.0	377,670
Seasonal/Special Salaries/Wages			6,304		6,461
FY 2021 Retro COLA Payment			11,146		0
Total Salaries			385,909		384,131
Benefits					
Contract Stipends			4,500		4,500
FICA			29,832		29,731
Health Benefits			79,895		83,595
Holiday			11		0
Payroll Accrual			2,178		0
Retiree Health			20,307		17,121
Retirement			111,890		111,264
Subtotal			248,613		246,211
Total Salaries and Benefits		5.0	634,522	5.0	630,342
Cost Per FTE Position			126,904		126,068
Statewide Benefit Assessment			15,239		15,094
Payroll Costs		5.0	649,761	5.0	645,436
Purchased Services					
Other Contracts			200		200
Subtotal			200		200
Total Personnel		5.0	649,961	5.0	645,636
Distribution by Source of Funds					
General Revenue		5.0	649,961	5.0	645,636
Total All Funds		5.0	649,961	5.0	645,636

Program Summary

Agency: Secretary of State

Internal Service Programs

Mission

The Record Center program strives to provide agencies with cost-efficient secure central record storage facilities and provide clear guidance on record retention and disposal policies.

Description

The Record Center program is a Public Records Administration internal service program that oversees records management functions within state government. The program provides central storage for nonpermanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions. The Records Center program is an internal service program. The costs of its operations are borne by the user agencies through a charge system that allocates the cost of service delivery. Program costs are shown for display purposes only, because the costs are reflected in the budget of the user agency both on an actual and budget basis.

Statutory History

R.I. General Laws § 35-5 authorizes the Director of Administration to establish a system of rotating funds in any state department or agency. RIGL § 38-1 and § 38-3 establish the responsibilities and duties of the Public Records Administration program. RIGL § 42-35 defines the policies for administrative records.

Budget

Agency: Secretary of State

Internal Service Programs

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Secretary of State Record Center	794,754	808,303	1,060,059	1,175,786	1,143,730
Total Expenditures	794,754	808,303	1,060,059	1,175,786	1,143,730
<i>Internal Services</i>	<i>[794,753.59]</i>	<i>[808,303]</i>	<i>[1,060,059]</i>	<i>[1,175,786]</i>	<i>[1,143,730]</i>
Expenditures by Object					
Salary and Benefits	311,533	272,019	506,084	562,318	565,807
Contract Professional Services	(12)	2,714	17,500	76,793	56,293
Operating Supplies and Expenses	483,233	533,570	536,475	536,675	521,630
Subtotal: Operating	794,754	808,303	1,060,059	1,175,786	1,143,730
Total Expenditures	794,754	808,303	1,060,059	1,175,786	1,143,730
Expenditures by Source of Funds					
Other Funds	794,754	808,303	1,060,059	1,175,786	1,143,730
Total Expenditures	794,754	808,303	1,060,059	1,175,786	1,143,730

Personnel

Agency: Secretary of State

Internal Service Programs

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	05319A	1.0	58,788	1.0	60,257
DIGITAL ARCHIVIST	05325A	1.0	67,566	1.0	69,255
DIRECTOR OF STATE ARCHIVES- LIBRARY & PUBLIC INFORMATION	08638A	1.0	111,280	1.0	114,061
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05328A	1.0	79,844	1.0	81,839
REFERENCE ANALYST (SOS)	05325A	1.0	77,701	1.0	79,643
Subtotal Unclassified		5.0	395,179	5.0	405,055
Subtotal		5.0	395,179	5.0	405,055
Transfer Out			(158,071)		(162,022)
Transfer In			107,737		110,430
FY 2021 Retro COLA Payment			4,138		0
Total Salaries			348,983		353,463
Benefits					
Contract Stipends			4,500		4,500
FICA			27,098		27,383
Health Benefits			43,874		45,922
Payroll Accrual			2,042		0
Retiree Health			18,651		16,037
Retirement			103,182		104,362
Subtotal			199,347		198,204
Total Salaries and Benefits		5.0	548,330	5.0	551,667
Cost Per FTE Position			109,666		110,333
Statewide Benefit Assessment			13,988		14,140
Payroll Costs		5.0	562,318	5.0	565,807
Purchased Services					
Clerical and Temporary Services			76,793		0
Other Contracts			0		56,293
Subtotal			76,793		56,293
Total Personnel		5.0	639,111	5.0	622,100
Distribution by Source of Funds					
Other Funds		5.0	639,111	5.0	622,100
Total All Funds		5.0	639,111	5.0	622,100

Program Summary

Agency: Secretary of State

Office of Public Information

Mission

The Office of Public Information strives to effectively disseminate vital government information to the public and utilize expanding technologies to enhance public access and awareness of state government activities.

Description

The Office of Public Information gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports available to the public free of charge and accessible through the Internet. The Office frequently trains the public on the access and use of government websites. The Office maintains databases on all introduced legislation and hearings in the General Assembly and publishes daily and weekly legislative reports. It maintains a list of lobbyists and enforces of the state's lobbying law. Under the Administrative Procedures Act, the Office is responsible for compiling state agency rules and regulations. The Office operates and maintains a clearinghouse for public meeting information as required by the Open Meetings Laws. It also operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals, and other state publications.

Statutory History

Article IV, Section 4 of the Constitution of Rhode Island and R.I. General Laws § 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

Budget

Agency: Secretary of State

Office of Public Information

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	362,700	423,562	546,918	595,313	711,260
Total Expenditures	362,700	423,562	546,918	595,313	711,260
Expenditures by Object					
Salary and Benefits	297,346	326,490	335,963	384,063	385,280
Contract Professional Services	37,960	58,579	15,000	15,000	33,000
Operating Supplies and Expenses	26,578	38,493	155,955	156,250	247,980
Subtotal: Operating	361,884	423,562	506,918	555,313	666,260
Capital Purchases and Equipment	816	0	0	0	0
Operating Transfers	0	0	40,000	40,000	45,000
Subtotal: Other	816	0	40,000	40,000	45,000
Total Expenditures	362,700	423,562	546,918	595,313	711,260
Expenditures by Source of Funds					
General Revenue	355,221	422,185	521,918	570,313	686,260
Restricted Receipts	7,479	1,377	25,000	25,000	25,000
Total Expenditures	362,700	423,562	546,918	595,313	711,260

Personnel

Agency: Secretary of State

Office of Public Information

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE RECORDS & TECHNICAL SERVICE SPEC	05328A	1.0	75,004	1.0	77,942
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	08630A	1.0	86,318	1.0	88,476
PUBLIC INFORM SPECIALIST (SOS)	08625A	1.0	67,566	1.0	69,255
Subtotal Unclassified		3.0	228,888	3.0	235,673
Subtotal		3.0	228,888	3.0	235,673
FY 2021 Retro COLA Payment			4,937		0
Total Salaries			233,825		235,673
Benefits					
Contract Stipends			1,500		1,500
FICA			17,976		18,144
Health Benefits			39,156		40,943
Payroll Accrual			1,345		0
Retiree Health			12,435		10,626
Retirement			68,501		69,025
Subtotal			140,913		140,238
Total Salaries and Benefits		3.0	374,738	3.0	375,911
Cost Per FTE Position			124,913		125,304
Statewide Benefit Assessment			9,325		9,369
Payroll Costs		3.0	384,063	3.0	385,280
Purchased Services					
Information Technology			0		8,000
Legal Services			15,000		25,000
Subtotal			15,000		33,000
Total Personnel		3.0	399,063	3.0	418,280
Distribution by Source of Funds					
General Revenue		3.0	399,063	3.0	418,280
Total All Funds		3.0	399,063	3.0	418,280

Agency Summary

Office of the General Treasurer

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional service through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Infrastructure Bank. Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are: the Employees' Retirement System of Rhode Island, the administrative unit for participating public employee groups for both defined benefit and defined contribution plans; the Unclaimed Property Program which collects tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division which provides investment and cash management services to state government, and issues and manages the State's general obligation debt; the CollegeBound Program and its contribution of fee revenue for state college assistance programs; the Business Office, which validates and distributes the State's imprest/benefit check payments, prints vendor and nonvendor checks, and reconciles a majority of the State's accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates victims of violent crimes for certain financial losses. Other areas under the Treasurer's responsibility include cooperation with the Executive Office of Health and Human Services in the ABLE savings program (Achieving A Better Life Experience Accounts) for tax free income growth for certain qualified disability expenditures; the care and management of the Abraham Touro Fund; and, the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Title 35 gives the guidelines for the investment of state funds and the Employees' Retirement System is governed by Titles 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. Payments of state funds via the Business Office are made pursuant to Title 42, Chapter 10 of the Rhode Island General Laws and Title 28 includes the Treasurer's responsibilities related to the Temporary Disability and Unemployment Insurance Program. Title 12, Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program. Title 16, Chapter 57, Section 6.1 was amended in 2015 and transferred responsibility for the CollegeBound program to Treasury. Title 42, Chapter 7.2 was amended in 2015 to include the State Investment Commission in the oversight of the new ABLE savings program. Additionally, Title 42, Chapter 10.1 was amended in 2016 and expanded the role of the Public Finance Management Board to include more frequent reporting and oversight of debt throughout all of the state inclusive of municipalities and quasi-public agencies.

Budget

Office of the General Treasurer

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Office of the General Treasurer	3,404,034	3,583,290	3,536,444	3,613,232	3,753,660
State Retirement System	10,759,911	10,621,482	13,598,974	14,483,969	14,779,965
Unclaimed Property	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718
Crime Victim Compensation Program	1,580,778	1,448,579	1,781,679	1,824,668	1,827,109
Total Expenditures	40,414,381	17,490,557	47,819,863	48,954,051	49,400,452
Expenditures by Object					
Salary And Benefits	10,845,923	11,172,615	11,497,411	12,433,446	12,715,789
Contract Professional Services	4,270,420	3,558,689	6,149,450	6,228,950	5,974,450
Operating Supplies And Expenses	23,950,131	1,380,538	28,524,584	28,643,237	29,021,795
Assistance And Grants	1,289,888	1,362,020	1,566,993	1,566,993	1,606,993
Subtotal: Operating	40,356,362	17,473,862	47,738,438	48,872,626	49,319,027
Capital Purchases And Equipment	58,019	16,695	81,425	81,425	81,425
Subtotal: Other	58,019	16,695	81,425	81,425	81,425
Total Expenditures	40,414,381	17,490,557	47,819,863	48,954,051	49,400,452
Expenditures by Source of Funds					
General Revenue	2,965,894	3,437,549	3,228,310	3,413,127	3,558,632
Federal Funds	1,067,635	548,285	730,909	774,763	773,245
Restricted Receipts	35,806,668	12,803,405	43,214,747	44,074,145	44,374,683
Other Funds	574,185	701,319	645,897	692,016	693,892
Total Expenditures	40,414,381	17,490,557	47,819,863	48,954,051	49,400,452
FTE Authorization	89.0	89.0	89.0	89.0	90.0

Personnel Agency Summary

Office of the General Treasurer

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Unclassified	89.0	7,567,744	90.0	7,865,809
Subtotal	89.0	7,567,744	90.0	7,865,809
Overtime		182,427		185,774
Turnover		(231,228)		(135,442)
FY 2021 Retro COLA Payment		170,671		0
Total Salaries		7,689,614		7,916,141
Benefits				
Contract Stipends		66,000		66,000
FICA		566,926		583,776
Health Benefits		1,168,751		1,231,337
Payroll Accrual		44,155		0
Retiree Health		400,844		349,279
Retirement		2,197,072		2,261,304
Subtotal		4,443,748		4,491,696
Total Salaries and Benefits	89.0	12,133,362	90.0	12,407,837
Cost Per FTE Position		136,330		137,865
Statewide Benefit Assessment		300,084		307,952
Payroll Costs	89.0	12,433,446	90.0	12,715,789
Purchased Services				
Clerical and Temporary Services		360,000		315,000
Information Technology		3,710,700		3,135,700
Legal Services		469,500		469,500
Management & Consultant Services		1,474,500		1,760,000
Other Contracts		214,250		294,250
Subtotal		6,228,950		5,974,450
Total Personnel	89.0	18,662,396	90.0	18,690,239
Distribution by Source of Funds				
General Revenue	31.0	2,522,788	31.0	2,616,203
Federal Funds	0.0	320,334	0.0	320,348
Restricted Receipts	55.0	15,195,892	56.0	15,127,442
Other Funds	3.0	623,382	3.0	626,246
Total All Funds	89.0	18,662,396	90.0	18,690,239

Program Summary

Agency: Office of the General Treasurer

Office of the General Treasurer

Mission

Continue to deliver superior service to the public, state agencies, and state vendors in all of its activities; to increase operational efficiency and expand the use of technology to improve the management of cash collection, investment and disbursement systems.

Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office. Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office. Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal and management information systems. Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds. It also provides reports to the State Investment Commission on investment performance and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for investment policy for the Defined Contribution and Deferred Compensation programs, administration of the State's tax-deferred 529 plan for college savings called the Collegebound (formerly, Collegeboundfund) and the ABLE tax-free savings program for individuals with disabilities. Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks, and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of State bank accounts to bank statement balances and to the Controller's records. Additionally, this division prints and disburses vendor and non-vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

Title 35 gives the guidelines for the investment of State funds. Payments of state funds via the Business Office are governed by Title 42, Chapter 10 of the Rhode Island General Laws. Title 28 includes the Treasurer's responsibilities related to the Department of Labor and Training Programs. Title 16, Chapter 57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program. Additionally, Title 42, Chapter 7.2 created the ABLE savings account program, which Treasury is implementing in conjunction with the Executive Office of Health and Human Services.

Budget

Agency: Office of the General Treasurer

Office of the General Treasurer

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Administration Operations	719,264	909,682	712,991	824,976	881,941
Business Offices	1,196,031	1,353,937	1,292,018	1,241,071	1,274,169
Investments	740,336	665,404	859,251	925,231	849,708
Policy	748,404	654,267	672,184	621,954	747,842
Total Expenditures	3,404,034	3,583,290	3,536,444	3,613,232	3,753,660
Expenditures by Object					
Salary and Benefits	2,623,319	2,625,270	2,593,962	2,569,947	2,739,483
Contract Professional Services	221,259	228,963	305,500	385,000	305,500
Operating Supplies and Expenses	521,206	629,057	615,557	636,860	687,252
Assistance and Grants	0	100,000	0	0	0
Subtotal: Operating	3,365,784	3,583,290	3,515,019	3,591,807	3,732,235
Capital Purchases and Equipment	38,251	0	21,425	21,425	21,425
Subtotal: Other	38,251	0	21,425	21,425	21,425
Total Expenditures	3,404,034	3,583,290	3,536,444	3,613,232	3,753,660
Expenditures by Source of Funds					
General Revenue	2,469,341	2,576,361	2,582,131	2,568,962	2,709,016
Federal Funds	360,509	305,610	308,416	352,254	350,752
Other Funds	574,185	701,319	645,897	692,016	693,892
Total Expenditures	3,404,034	3,583,290	3,536,444	3,613,232	3,753,660

Personnel

Agency: Office of the General Treasurer

Office of the General Treasurer

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING & DEBT SERVICES SPECIALIST (TREASURY RECON)	00319A	1.0	58,786	1.0	60,256
ACCOUNTING & RESEARCH SERVICES SPECIALIST (TREAS RECON)	00319A	1.0	58,787	1.0	60,256
ADMINISTRATIVE ASSISTANT (TREASURY INVESTMENTS)	00322A	1.0	54,576	1.0	57,660
ARCHIVES AND RECORDS RETENTION SPECIALIST (TREASURY)	00315A	1.0	49,559	1.0	50,798
BANK RECONCILIATION SPECIALIST (TREASURY)	00324A	1.0	61,282	1.0	62,814
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS PROCESSING)	00320A	1.0	55,516	1.0	56,904
CASH MANAGEMENT/FISCAL OFFICER (TREASURY)	08531A	1.0	87,378	1.0	89,514
CASH MANAGER (TREASURY INVESTMENTS)	08538A	1.0	111,280	1.0	114,062
CHIEF FISCAL MANAGER (TREASURY)	08535A	1.0	98,952	1.0	101,427
CHIEF OF STAFF (TREASURY)	08548A	1.0	165,240	1.0	169,374
CHIEF OPERATING OFFICER (TREASURY)	08543A	1.0	138,263	1.0	141,720
DIRECTOR OF COMMUNICATIONS (TREASURY)	08540A	1.0	91,634	1.0	108,535
DIRECTOR OF FINANCE (TREASURY)	08538A	1.0	107,754	1.0	114,060
DIRECTOR OF OUTREACH (TREASURY)	08537A	1.0	105,887	1.0	108,534
DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREASURY)	08536A	1.0	100,077	1.0	104,916
EXECUTIVE AIDE TO THE GENERAL TREASURER (TREASURY)	08527A	2.0	146,354	2.0	150,012
FISCAL MGMT ANALYST (TRSY INVEST)	00325A	1.0	63,003	1.0	67,461
GENERAL COUNSEL (TREASURY)	08543A	1.0	138,264	1.0	141,720
GENERAL TREASURER	00531F	1.0	128,951	1.0	136,839
POLICY DIRECTOR (TREASURY)	08537A	1.0	105,887	1.0	108,534
PRINCIPAL AUDITOR	00328A	1.0	78,737	1.0	80,704
PROGRAM ADMINISTRATOR COLLEGE BOUND FUND (TREASURY)	08527A	1.0	73,176	1.0	75,005
PROJECT COORDINATOR(TRSY/ADMIN)	08526A	1.0	70,317	1.0	72,077
PUBLIC DEBT MANAGER (TREASURY/ INVESTMENTS)	08538A	1.0	111,279	1.0	114,061
RESEARCH DIRECTOR (TREASURY)	08543A	1.0	133,900	1.0	141,720
SENIOR ADMINISTRATIVE CLERK (TREASURY ADMINISTRATION)	00327A	1.0	87,244	1.0	89,410
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	00325A	1.0	60,929	1.0	64,488
SR ADMINISTRATIVE AIDE (TREASURY)	00317A	1.0	57,701	1.0	59,144
STATE RECEIPTS COORDINATOR & RET ACCT RECONCILER TREAS	00324A	1.0	76,955	1.0	78,846

Personnel

Agency: Office of the General Treasurer

Office of the General Treasurer

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
SYSTEMS ADMINISTRATOR (TREASURY)	08528A	1.0	73,076	1.0	77,941
Subtotal Unclassified		31.0	2,750,744	31.0	2,858,792
Subtotal		31.0	2,750,744	31.0	2,858,792
Transfer Out			(1,130,778)		(1,178,573)
Transfer In			71,464		73,557
Turnover			(159,553)		(61,976)
FY 2021 Retro COLA Payment			40,318		0
Total Salaries			1,572,195		1,691,800
Benefits					
Contract Stipends			15,390		15,390
FICA			121,048		130,222
Health Benefits			242,538		261,676
Payroll Accrual			9,258		0
Retiree Health			84,053		76,485
Retirement			462,536		496,476
Subtotal			934,823		980,249
Total Salaries and Benefits		31.0	2,507,018	31.0	2,672,049
Cost Per FTE Position			80,872		86,195
Statewide Benefit Assessment			62,929		67,434
Payroll Costs		31.0	2,569,947	31.0	2,739,483
Purchased Services					
Information Technology			27,500		27,500
Legal Services			29,500		29,500
Management & Consultant Services			324,500		245,000
Other Contracts			3,500		3,500
Subtotal			385,000		305,500
Total Personnel		31.0	2,954,947	31.0	3,044,983
Distribution by Source of Funds					
General Revenue		28.0	2,011,247	28.0	2,098,389
Federal Funds		0.0	320,318	0.0	320,348
Other Funds		3.0	623,382	3.0	626,246
Total All Funds		31.0	2,954,947	31.0	3,044,983

Program Summary

Agency: Office of the General Treasurer

State Retirement System

Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership, including assurance of data integrity and security, and member counseling in individual or group settings. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.0%. The Investments sub-program within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System also administered by the System. The System also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security. As part of its administration, the System is required to conduct annual actuarial valuations of assets and liabilities and other actuarial investigations as provided by law.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws with its actuarial responsibilities set forth in Title 36, Chapter 8. In 2011, the Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all retirement plans administered by the System. These changes provided increased retirement security for plan members, are expected to save the State \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, provided reamortizing over a 25 year period, suspended cost-of-living adjustments for a period of time, and created a hybrid defined-benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Although initially challenged, the litigation surrounding the implementation of RIRSA was ultimately settled in June, 2016 with the provisions of the settlement agreement being enacted effective FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

Budget

Agency: Office of the General Treasurer

State Retirement System

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Defined Benefit	10,476,192	10,381,485	13,298,740	14,172,054	14,465,841
Defined Contribution	283,719	239,997	300,234	311,915	314,124
Total Expenditures	10,759,911	10,621,482	13,598,974	14,483,969	14,779,965
Expenditures by Object					
Salary and Benefits	6,491,014	6,752,021	7,053,725	7,851,974	7,951,162
Contract Professional Services	3,272,800	2,942,003	4,963,950	4,963,950	4,788,950
Operating Supplies and Expenses	712,521	557,585	1,223,299	1,310,045	1,641,853
Assistance and Grants	273,484	353,178	310,000	310,000	350,000
Subtotal: Operating	10,749,820	10,604,787	13,550,974	14,435,969	14,731,965
Capital Purchases and Equipment	10,091	16,695	48,000	48,000	48,000
Subtotal: Other	10,091	16,695	48,000	48,000	48,000
Total Expenditures	10,759,911	10,621,482	13,598,974	14,483,969	14,779,965
Expenditures by Source of Funds					
Restricted Receipts	10,759,911	10,621,482	13,598,974	14,483,969	14,779,965
Total Expenditures	10,759,911	10,621,482	13,598,974	14,483,969	14,779,965

Personnel

Agency: Office of the General Treasurer

State Retirement System

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	85,510	1.0	87,648
ADMINISTRATIVE AIDE	00316A	3.0	153,549	3.0	157,389
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	08513A	2.0	89,798	3.0	135,634
ADMINISTRATIVE ASSISTANT	00325A	2.0	140,587	2.0	144,102
ADMINISTRATIVE ASSISTANT (TREASURY RETIREMENT)	00325A	4.0	271,131	4.0	277,911
BUSINESS ANALYST II (TREASURY/RETIREMENT)	08533A	1.0	92,113	1.0	94,416
CHF INVESTMENT OFFCR (TRSY/INV)	08561A	1.0	286,092	1.0	297,067
CHIEF FINANCIAL OFFICER (TREASURY RETIREMENT)	08542A	1.0	150,164	1.0	156,609
CONTROLLER (TREASURY RETIREMENT)	08538A	1.0	111,279	1.0	114,061
CUSTOMER EXPERIENCE MANAGER (TREASURY)	08531A	1.0	85,510	1.0	87,648
DATA ANALYST (TREAS/RETIREMENT)	00328A	1.0	73,020	1.0	76,861
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	08543A	1.0	122,069	1.0	125,120
DEPUTY DIRECTOR (TREASURY/RET)	08542A	1.0	132,860	1.0	136,182
DEPUTY LEGAL COUNSEL (TREASURY RETIREMENT)	08534A	1.0	109,874	1.0	112,621
DIRECTOR OF MEMBER SERVICES (TREASURY RETIREMENT)	08536A	1.0	102,904	1.0	110,162
DIR RETIREMNET SAV PLANS (TR/RT)	08536A	1.0	102,358	1.0	104,916
DISABILITY PENSION MANAGER (TREASURY/ RETIREMENT)	08531A	1.0	85,510	1.0	87,648
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	08547A	1.0	183,822	1.0	188,417
IMAGING TECNICIAN	00315A	1.0	56,639	1.0	58,055
INVESTMENT ACCOUNTING MANAGER (TREASURY/RETIREMENT)	08538A	2.0	239,250	2.0	245,231
INVESTMENT ANALYST (TREASURY)	08530A	2.0	153,029	2.0	163,255
LEGAL COUNSEL (TREASURY RETIREMENT)	08530A	1.0	82,206	1.0	84,262
MEMBER SERVICES REPRESENTATIVE (TREASURY RETIREMENT)	00318A	1.0	51,766	1.0	53,060
PRINCIPAL ACCOUNTANT	00326A	2.0	142,600	2.0	146,165
RETIREMENT AIDE (TREASURY/RETIREMENT)	00316A	2.0	99,929	2.0	102,428
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	00325A	1.0	66,946	1.0	68,620
SENIOR ADMINISTRATIVE AIDE (TREASURY/ RETIREMENT)	00317A	1.0	50,175	1.0	51,430
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	00327A	4.0	325,017	4.0	333,014
SENIOR INVESTMENT ANALYST (TREASURY)	08539A	1.0	116,676	1.0	119,592
SR INVESTMENT OFFICER (TRSY)	08544A	1.0	143,654	1.0	147,246

Personnel

Agency: Office of the General Treasurer

State Retirement System

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
WAGE & CONTRIBUTION ANALYST (TREASURY RETIREMENT)	00324A	1.0	64,344	1.0	65,953
Subtotal Unclassified		45.0	3,970,381	46.0	4,132,723
Subtotal		45.0	3,970,381	46.0	4,132,723
Transfer Out			(96,375)		(98,785)
Transfer In			824,818		858,872
Overtime			129,001		131,926
Turnover			(54,803)		(56,173)
FY 2021 Retro COLA Payment			103,259		0
Total Salaries			4,876,281		4,968,563
Benefits					
Contract Stipends			36,585		36,585
FICA			354,168		360,747
Health Benefits			726,941		761,034
Payroll Accrual			27,895		0
Retiree Health			253,184		218,321
Retirement			1,387,382		1,413,420
Subtotal			2,786,155		2,790,107
Total Salaries and Benefits		45.0	7,662,436	46.0	7,758,670
Cost Per FTE Position			170,276		168,667
Statewide Benefit Assessment			189,538		192,492
Payroll Costs		45.0	7,851,974	46.0	7,951,162
Purchased Services					
Clerical and Temporary Services			360,000		315,000
Information Technology			3,403,200		2,828,200
Legal Services			440,000		440,000
Management & Consultant Services			550,000		915,000
Other Contracts			210,750		290,750
Subtotal			4,963,950		4,788,950
Total Personnel		45.0	12,815,924	46.0	12,740,112
Distribution by Source of Funds					
Restricted Receipts		45.0	12,815,924	46.0	12,740,112
Total All Funds		45.0	12,815,924	46.0	12,740,112

Program Summary

Agency: Office of the General Treasurer

Unclaimed Property

Mission

The core mission of the program is to return unclaimed property to its rightful owner and maintain custody of the property within the general fund until it can be returned. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach through print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of holder reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33, Chapters 21 and 21.1 of the General Laws govern the Unclaimed Property Program. In 2017 amendments to Rhode Island General Laws § 28-42-38 and § 33-21.1-17, were made to facilitate the sharing of data between the Unclaimed Property Program and other state agencies to more efficiently unite property holders with their property.

Budget

Agency: Office of the General Treasurer

Unclaimed Property

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718
Total Expenditures	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718
Expenditures by Object					
Salary and Benefits	1,288,879	1,351,853	1,375,768	1,496,974	1,507,330
Contract Professional Services	776,352	381,313	880,000	880,000	880,000
Operating Supplies and Expenses	22,596,624	104,040	26,635,998	26,644,208	26,641,388
Subtotal: Operating	24,661,855	1,837,206	28,891,766	29,021,182	29,028,718
Capital Purchases and Equipment	7,802	0	11,000	11,000	11,000
Subtotal: Other	7,802	0	11,000	11,000	11,000
Total Expenditures	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718
Expenditures by Source of Funds					
Restricted Receipts	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718
Total Expenditures	24,669,658	1,837,206	28,902,766	29,032,182	29,039,718

Personnel

Agency: Office of the General Treasurer

Unclaimed Property

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
APPLICATIONS COORDINATOR (TREASURY UNCLAIMED PROPERTY)	00318A	1.0	56,943	1.0	58,366
ASST ADMIN/CLERK (UNCLAIMED PROPERTY)	00318A	2.0	99,506	2.0	103,165
COURIER/MEETINGS COORDINATOR (TREASURY)	00317A	1.0	50,175	1.0	51,430
OUTREACH COORDINATOR (TREASURY)	08516A	1.0	46,087	1.0	48,260
RETIREMENT ANALYST	00323A	1.0	73,930	1.0	75,750
SENIOR UNCLAIMED PROPERTY TECHNICIAN (TREAS)	00323A	1.0	56,426	1.0	59,599
UNCLAIMED PROPERTY CLERK (TREASURY)	00318A	1.0	51,766	1.0	53,060
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	08538A	1.0	111,279	1.0	114,061
Subtotal Unclassified		9.0	546,112	9.0	563,691
Subtotal		9.0	546,112	9.0	563,691
Transfer Out			(39,174)		(41,021)
Transfer In			363,371		379,075
Overtime			53,426		53,848
Turnover			(15,318)		(15,701)
FY 2021 Retro COLA Payment			20,353		0
Total Salaries			928,770		939,892
Benefits					
Contract Stipends			11,025		11,025
FICA			67,641		68,465
Health Benefits			145,986		152,783
Payroll Accrual			5,168		0
Retiree Health			46,917		40,187
Retirement			256,343		259,547
Subtotal			533,080		532,007
Total Salaries and Benefits		9.0	1,461,850	9.0	1,471,899
Cost Per FTE Position			162,428		163,544
Statewide Benefit Assessment			35,124		35,431
Payroll Costs		9.0	1,496,974	9.0	1,507,330
Purchased Services					
Information Technology			280,000		280,000
Management & Consultant Services			600,000		600,000
Subtotal			880,000		880,000
Total Personnel		9.0	2,376,974	9.0	2,387,330

Personnel

Agency: Office of the General Treasurer

Unclaimed Property

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Restricted Receipts	9.0	2,376,974	9.0	2,387,330
Total All Funds	9.0	2,376,974	9.0	2,387,330

Program Summary

Agency: Office of the General Treasurer

Crime Victim Compensation Program

Mission

The Program was created to help victims recover costs associated with violent crime. The program provides up to \$25,000 in compensation to crime victims for expenses such as medical bills, loss of earnings, crime scene cleaning, and funeral expenses. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate victims of violent crimes up to \$25,000 for certain expenses directly attributable to the crime. The Program is supported by state funds consisting of general revenue and certain court costs, in addition to federal funds based on 60% of state dollars used for claims paid. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary all of which is reviewed by staff for each individual claim.

Statutory History

Title 12, Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Most recently, in 2017, the legislation was amended to allow compensation for mental health treatment to minors who witnessed certain violent crimes.

Budget

Agency: Office of the General Treasurer

Crime Victim Compensation Program

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	1,580,778	1,448,579	1,781,679	1,824,668	1,827,109
Total Expenditures	1,580,778	1,448,579	1,781,679	1,824,668	1,827,109
Expenditures by Object					
Salary and Benefits	442,711	443,471	473,956	514,551	517,814
Contract Professional Services	9	6,409	0	0	0
Operating Supplies and Expenses	119,779	89,856	49,730	52,124	51,302
Assistance and Grants	1,016,404	908,843	1,256,993	1,256,993	1,256,993
Subtotal: Operating	1,578,903	1,448,579	1,780,679	1,823,668	1,826,109
Capital Purchases and Equipment	1,875	0	1,000	1,000	1,000
Subtotal: Other	1,875	0	1,000	1,000	1,000
Total Expenditures	1,580,778	1,448,579	1,781,679	1,824,668	1,827,109
Expenditures by Source of Funds					
General Revenue	496,553	861,188	646,179	844,165	849,616
Federal Funds	707,126	242,675	422,493	422,509	422,493
Restricted Receipts	377,099	344,717	713,007	557,994	555,000
Total Expenditures	1,580,778	1,448,579	1,781,679	1,824,668	1,827,109

Personnel

Agency: Office of the General Treasurer

Crime Victim Compensation Program

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE AIDE (TREASURY)	00316A	1.0	48,746	1.0	49,965
ADMINISTRATOR (TREASURY CRIME VICTIM COMPENSATION)	08535A	1.0	98,953	1.0	101,427
APPLICATIONS COORDINATOR (TREAS CRIME VICTIM COMPENSATI	00318A	1.0	63,242	1.0	64,795
DEPUTY PROGRAM ADMINISTRATOR (TREASURY CRIME VICTIM COMP)	08533A	1.0	89,566	1.0	94,416
Subtotal Unclassified		4.0	300,507	4.0	310,603
Subtotal		4.0	300,507	4.0	310,603
Transfer Out			(44,783)		(47,208)
Transfer In			51,457		54,083
Turnover			(1,554)		(1,592)
FY 2021 Retro COLA Payment			6,741		0
Total Salaries			312,368		315,886
Benefits					
Contract Stipends			3,000		3,000
FICA			24,069		24,342
Health Benefits			53,286		55,844
Payroll Accrual			1,834		0
Retiree Health			16,690		14,286
Retirement			90,811		91,861
Subtotal			189,690		189,333
Total Salaries and Benefits		4.0	502,058	4.0	505,219
Cost Per FTE Position			125,515		126,305
Statewide Benefit Assessment			12,493		12,595
Payroll Costs		4.0	514,551	4.0	517,814
Total Personnel		4.0	514,551	4.0	517,814
Distribution by Source of Funds					
General Revenue		3.0	511,541	3.0	517,814
Federal Funds		0.0	16	0.0	0
Restricted Receipts		1.0	2,994	1.0	0
Total All Funds		4.0	514,551	4.0	517,814

Agency Summary

Board of Elections

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections (Board) supervises all elections and state and local referenda. The Board supervises the maintenance, preparation, and delivery of voting equipment, election return forms, and other supplies used at polling places. It instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bipartisan pairs of supervisors for the mail ballot program for institutionally confined persons. It certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns. The Board oversees voter registration and public education activities and provides all registration materials used in the state. It also trains and supervises all individuals who serve as statewide voter registration agents. The Board conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The Board oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports. It is also responsible for the publication of manuals describing and explaining the requirements set forth in the statutes. It reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The Board also oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the State Returning Board. Chapter 1040 of the Public Laws of 1941 created the Board of Elections as a partisan board, replacing the State Returning Board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a nonpartisan board. R.I. General Laws § 17-7 sets forth its duties and powers, § 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns) and § 17-27 provides for reporting requirements and monitoring of political contributions by state vendors.

Budget

Board of Elections

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	2,542,334	3,368,251	2,671,768	2,783,896	6,126,861
Total Expenditures	2,542,334	3,368,251	2,671,768	2,783,896	6,126,861
Expenditures by Object					
Salary And Benefits	1,503,284	1,790,476	1,461,731	1,573,859	1,940,714
Contract Professional Services	137,773	290,221	213,550	213,550	213,550
Operating Supplies And Expenses	792,511	1,278,901	968,487	968,487	1,264,597
Assistance And Grants	(565)	0	0	0	2,700,000
Subtotal: Operating	2,433,003	3,359,598	2,643,768	2,755,896	6,118,861
Capital Purchases And Equipment	109,330	8,653	28,000	28,000	8,000
Subtotal: Other	109,330	8,653	28,000	28,000	8,000
Total Expenditures	2,542,334	3,368,251	2,671,768	2,783,896	6,126,861
Expenditures by Source of Funds					
General Revenue	2,536,695	3,368,251	2,671,768	2,783,896	6,126,861
Federal Funds	5,639	0	0	0	0
Total Expenditures	2,542,334	3,368,251	2,671,768	2,783,896	6,126,861
FTE Authorization	13.0	13.0	13.0	13.0	13.0

Personnel

Agency: Board of Elections

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	00823A	1.0	62,282	1.0	63,839
ASSISTANT ADMINISTRATOR/FINANCIAL MANAGEMENT	00835A	1.0	103,901	1.0	106,498
CLERK/MACHINE DEMONSTRATOR/ASSISTANT BALLOT COORDINATOR	00313A	1.0	41,826	1.0	43,708
DEPUTY DIRECTOR	00832A	1.0	99,629	1.0	102,071
EXECUTIVE DIRECTOR	00844A	1.0	161,818	1.0	165,770
EXECUTIVE SECRETARY	00823A	1.0	55,027	1.0	58,276
PLANNING AND PROGRAM DEVELOPMENT SPECIALIST	00320A	3.0	162,820	3.0	170,520
SENIOR ADMINISTRATIVE AIDE	00317A	1.0	47,965	1.0	50,302
SENIOR ADMINISTRATIVE AIDE/TRAINING SPECIALIST	00319A	1.0	53,442	1.0	54,779
SPECIAL PROJECTS COORDINATOR	00829A	1.0	83,074	1.0	85,151
ZFTE RECONCILIATION TO AUTHORIZATION (UNCLASSIFIED)	00000A	1.0	0	1.0	0
Subtotal Unclassified		13.0	871,784	13.0	900,914
Subtotal		13.0	871,784	13.0	900,914
Overtime			23,697		8,240
Seasonal/Special Salaries/Wages			28,000		382,939
FY 2021 Retro COLA Payment			28,937		0
Total Salaries			952,418		1,292,093
Benefits					
Contract Stipends			9,000		9,750
FICA			70,875		98,099
Health Benefits			190,159		199,014
Holiday			315		0
Payroll Accrual			5,144		0
Retiree Health			47,614		40,765
Retirement			262,380		265,051
Subtotal			585,487		612,679
Total Salaries and Benefits		13.0	1,537,905	13.0	1,904,772
Cost Per FTE Position			118,300		146,521
Statewide Benefit Assessment			35,954		35,942
Payroll Costs		13.0	1,573,859	13.0	1,940,714
Purchased Services					

Personnel

Agency: Board of Elections

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Clerical and Temporary Services		3,000		3,000
Legal Services		150,000		150,000
Other Contracts		60,550		60,550
Subtotal		213,550		213,550
Total Personnel	13.0	1,787,409	13.0	2,154,264
Distribution by Source of Funds				
General Revenue	13.0	1,787,409	13.0	2,154,264
Total All Funds	13.0	1,787,409	13.0	2,154,264

Agency Summary

Rhode Island Ethics Commission

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage. Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees. Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner. Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources. Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The Rhode Island Ethics Commission was created as an independent, non-partisan division of Rhode Island Government by the voters of Rhode Island through a 1986 amendment to the Rhode Island Constitution. The Commission's constitutional authority includes adopting a Code of Ethics that applies to all state and municipal elected officials, appointed officials and public employees in such areas that expressly include, but are not limited to, conflicts of interest, confidential information, use of position, contracts with government agencies and financial disclosure. Its constitutional authority also includes the investigation and enforcement of alleged violations of the Code of Ethics and the imposition of penalties for noncompliance. The Ethics Commission administers and enforces a financial disclosure requirement for more than 4,000 public officials annually and makes such filings readily available for public inspection. It issues numerous written advisory opinions each year, maintains public contact with dozens of inquiring citizens each week, and provides regular training to state and municipal public officials and employees as to the requirements of the Code of Ethics. The nine-member Ethics Commission is appointed by the Governor, with a majority of its members nominated by legislative leaders. The staff of the Ethics Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Ethics Commission's operations are funded solely with state general revenues.

Statutory History

Article III, sections 7 and 8 of the Rhode Island Constitution were approved by the voters on November 4, 1986. Title 36, Chapter 14 of the Rhode Island General Laws, enacted in 1987, sets forth the legislative provisions of the Code of Ethics and defines the Ethics Commission's advisory, investigative and adjudicative procedures. Pursuant to its Constitutional authority, the Ethics Commission has adopted both substantive ethics laws and procedural regulations, which can be found in Title 520 of the Rhode Island Code of Regulations. A further amendment to Article III, Section 8 of the Rhode Island Constitution in 2016 clarified that members of the General Assembly are subject to the Code of Ethics and the jurisdiction of the Ethics Commission.

Budget

Rhode Island Ethics Commission

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
RI Ethics Commission	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
Total Expenditures	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
Expenditures by Object					
Salary And Benefits	1,554,892	1,580,453	1,533,112	1,632,550	1,684,537
Contract Professional Services	25,771	30,508	62,275	62,275	62,275
Operating Supplies And Expenses	214,268	218,310	263,138	265,995	273,507
Subtotal: Operating	1,794,932	1,829,271	1,858,525	1,960,820	2,020,319
Capital Purchases And Equipment	8,432	3,047	8,826	8,826	8,826
Subtotal: Other	8,432	3,047	8,826	8,826	8,826
Total Expenditures	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
Expenditures by Source of Funds					
General Revenue	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
Total Expenditures	1,803,364	1,832,318	1,867,351	1,969,646	2,029,145
FTE Authorization	12.0	12.0	12.0	12.0	12.0

Performance Measures

Rhode Island Ethics Commission

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Note: Calendar year 2021 data is as of 8/18/2021.]

<i>Frequency: Annual</i>	<i>Reporting Period: Calendar Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	86.00%	90.00%	90.00%	91.00%
Actual	86.10%	89.30%	89.70%	0.00%	

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00	1,800.00	1,800.00	1,800.00	1,800.00
Actual	1,890.00	1,367.00	1,662.00	0.00	

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	0.00%	95.00%	94.00%	95.00%	95.00%
Actual	98.00%	93.00%	99.00%	0.00%	

Personnel

Agency: Rhode Island Ethics Commission

RI Ethics Commission

	FY 2022		FY 2023		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE AIDE	00814A	0.0	29,372	1.0	44,520
ADMINISTRATIVE ASSISTANT	00816A	1.0	48,246	1.0	49,965
CHIEF OF THE OFFICE OF INVESTIGATIONS	00836A	1.0	68,669	1.0	95,494
COORDINATOR- SPECIAL PROJECTS	00827A	1.0	80,494	1.0	82,507
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	00845A	1.0	163,949	1.0	168,048
INVESTIGATOR I	00823A	1.0	65,396	1.0	67,031
RESEARCH AIDE	08610A	1.0	5,227	0.0	0
SENIOR CONFIDENTIAL INVESTIGATOR	00832A	1.0	93,262	1.0	95,593
SPECIAL PROJECTS COORDINATOR	00829A	1.0	83,074	1.0	85,151
STAFF ATTORNEY II	00830A	2.0	164,416	2.0	168,526
STAFF ATTORNEY IV	00834A	1.0	95,543	1.0	97,931
STAFF ATTORNEY V	00836A	1.0	112,594	1.0	115,408
Subtotal Unclassified		12.0	1,010,242	12.0	1,070,174
Subtotal		12.0	1,010,242	12.0	1,070,174
FY 2021 Retro COLA Payment			25,237		0
Total Salaries			1,035,479		1,070,174
Benefits					
FICA			78,109		80,867
Health Benefits			115,807		131,944
Payroll Accrual			5,902		0
Retiree Health			54,726		47,944
Retirement			301,482		311,336
Subtotal			556,026		572,091
Total Salaries and Benefits		12.0	1,591,505	12.0	1,642,265
Cost Per FTE Position			132,625		136,855
Statewide Benefit Assessment			41,045		42,272
Payroll Costs		12.0	1,632,550	12.0	1,684,537
Purchased Services					
Clerical and Temporary Services			5,000		5,000
Information Technology			6,000		6,000
Legal Services			51,000		51,000
Other Contracts			275		275
Subtotal			62,275		62,275
Total Personnel		12.0	1,694,825	12.0	1,746,812

Personnel

Agency: Rhode Island Ethics Commission

RI Ethics Commission

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.0	1,694,825	12.0	1,746,812
Total All Funds	12.0	1,694,825	12.0	1,746,812

Agency Summary

Rhode Island Commission for Human Rights

Agency Mission

To enforce Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit and delivery of services; through impartial investigation, formal and informal resolution efforts, predetermination conferences and administrative hearings, to ensure due process for both complainants and respondents, to provide redress for victims of discrimination, and to properly dismiss cases in those instances in which charges of discrimination lack evidentiary support. To provide outreach and education to the community to ensure knowledge of rights and responsibilities under state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes, in addition to prohibiting discrimination on the aforementioned bases, also prohibit discrimination based on marital status, familial status, and military status. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse, housing status, lawful source of income and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability. The employment law also prohibits employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply. The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

Statutory History

The Commission was created and empowered in 1949 by R.I. General Laws § 28-5 (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: RIGL § 28-5-1 et seq.; § 34-37-1 et seq. (Fair Housing Practices Act); § 11-24-1 et seq. (Hotels and Public Places); § 23-6.3-11 and § 23-6.3-12 (Prevention and Suppression of Contagious Diseases -HIV/AIDS); § 42-87-1 et seq. (Civil Rights of People with Disabilities); and § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and the Fair Housing Act (Title VIII of the Civil Rights act of 1968), as amended. During the 2021 legislative session, the Fair Housing Practices Act, R.I. Gen. Laws § 34-37-1 et seq., was amended to prohibit discrimination on the basis of lawful source of income.

Budget

Rhode Island Commission for Human Rights

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	1,768,406	1,756,263	1,908,999	1,985,429	2,041,315
Total Expenditures	1,768,406	1,756,263	1,908,999	1,985,429	2,041,315
Expenditures by Object					
Salary And Benefits	1,484,409	1,466,207	1,564,892	1,522,009	1,663,502
Contract Professional Services	2,777	7,735	7,900	28,700	15,050
Operating Supplies And Expenses	274,454	282,321	335,307	403,494	362,263
Subtotal: Operating	1,761,639	1,756,263	1,908,099	1,954,203	2,040,815
Capital Purchases And Equipment	6,767	0	900	31,226	500
Subtotal: Other	6,767	0	900	31,226	500
Total Expenditures	1,768,406	1,756,263	1,908,999	1,985,429	2,041,315
Expenditures by Source of Funds					
General Revenue	1,229,233	1,347,294	1,486,581	1,580,108	1,632,904
Federal Funds	539,173	408,969	422,418	405,321	408,411
Total Expenditures	1,768,406	1,756,263	1,908,999	1,985,429	2,041,315
FTE Authorization	14.5	14.5	14.0	14.0	14.0

Performance Measures

Rhode Island Commission for Human Rights

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order), as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. A higher number indicates greater case production. The data is from internal agency case-tracking records.

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	421.00	428.00	412.00	381.00	381.00
Actual	407.00	348.00	277.00	0.00	

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number indicates greater compliance with the statute. The data is from internal agency outreach tracking records. [Note: FY 2019 targets have been updated.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	49.00	49.00	51.00	54.00	54.00
Actual	49.00	54.00	59.00	0.00	

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases. The data is from internal agency case-tracking records.

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	365	365	365	365	365
Actual	325.00	379.00	398.00	0.00	

Personnel

Agency: Rhode Island Commission for Human Rights

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	00313A	1.0	51,634	1.0	52,925
ADMINISTRATIVE AIDE (COMMISSION FOR HUMAN RIGHTS)	00313A	1.0	41,575	1.0	43,491
CHIEF CLERK	0E018A	1.0	53,857	1.0	56,805
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	00325A	1.0	79,899	1.0	81,824
EXECUTIVE SECRETARY - HUMAN RIGHTS	00840A	1.0	134,276	1.0	137,633
HUD PROJECT DIRECTOR	00326A	1.0	66,310	1.0	70,264
INVESTIGATOR (HUMAN RIGHTS)	00319A	2.0	95,042	2.0	97,418
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	00322A	4.0	245,584	4.0	255,553
STAFF ATTORNEY III	00832A	1.0	83,966	1.0	90,658
STAFF ATTORNEY IV	00834A	1.0	95,542	1.0	97,932
Subtotal Unclassified		14.0	947,685	14.0	984,503
Subtotal		14.0	947,685	14.0	984,503
Turnover			(75,000)		0
FY 2021 Retro COLA Payment			22,140		0
Total Salaries			894,825		984,503
Benefits					
Contract Stipends			16,500		16,500
FICA			69,619		76,576
Health Benefits			186,755		211,383
Payroll Accrual			5,192		0
Retiree Health			48,160		44,844
Retirement			264,832		290,156
Subtotal			591,058		639,459
Total Salaries and Benefits		14.0	1,485,883	14.0	1,623,962
Cost Per FTE Position			106,135		115,997
Statewide Benefit Assessment			36,126		39,540
Payroll Costs		14.0	1,522,009	14.0	1,663,502
Purchased Services					
Clerical and Temporary Services			7,500		7,500
Legal Services			1,450		1,450
Other Contracts			2,000		2,000
Training and Educational Services			17,750		4,100
Subtotal			28,700		15,050

Personnel

Agency: Rhode Island Commission for Human Rights

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Total Personnel	14.0	1,550,709	14.0	1,678,552
Distribution by Source of Funds				
General Revenue	12.0	1,222,473	12.0	1,346,903
Federal Funds	2.0	328,236	2.0	331,649
Total All Funds	14.0	1,550,709	14.0	1,678,552

Agency Summary

Public Utilities Commission

Agency Mission

The Public Utilities Commission and Division of Public Utilities and Carriers supervise, regulate, and make orders governing the conduct of companies offering to the public in intrastate commerce energy, communication, transportation services, and water supplies for the purpose of increasing and maintaining the efficiency of the companies, according desirable safeguards and convenience to their employees and to the public, and protecting them and the public against improper and unreasonable rates, tolls and charges by providing full, fair, and adequate administrative procedures and remedies, and by securing a judicial review to any party aggrieved by such an administrative proceeding or ruling.

Agency Description

For budgeting and other administrative purposes, the agency name “Public Utilities Commission” has historically been used as a short-hand reference to refer to two distinct agencies that are located in the same offices and share many of the same office services – the agency which is officially referred to as the Public Utilities Commission (“Commission”) and its sister agency, the Division of Public Utilities and Carriers (“Division”). Collectively, throughout this document, the two separate entities are referred to as the “Agencies.”

In general terms, the Commission serves in a capacity similar to an administrative court of law, primarily addressing matters such as changes in rates, approval of certain utility programs, energy-procurement contracts, and similar matters that require regulatory approvals. These take place in the context of proceedings that often are litigated with testimony, witnesses, and lawyers, which mirrors court proceedings. In contrast, the Division serves different purposes. One of its primary purposes is to serve as a “ratepayer advocate” in the proceedings before the Commission. In those instances, the Division is a party to the legal proceedings. In addition, the Division has many other regulatory responsibilities that compliments the Commission authority over the utilities and other entities that are regulated. For example, the Division addresses utility customer complaints, investigates violations, enforces Commission orders, does inspections of utility facilities under its authority, and performs other regulatory functions that supervise the utilities and other entities under its jurisdiction.

Because the Commission and the Division are located in the same facilities, share office services, have similar names, and some overlapping regulatory authority, the two agencies are often confused with each other by those not familiar with the roles of the agencies.

More specifically and technically, the Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32.

Through participation in the Energy Facility Siting Board, the Commission’s Chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges and the sufficiency and reasonableness of facilities and accommodations of common carriers of property and passengers over the State’s public roadways, pursuant to Chapters 39-12, 39-13 and 39-14.2. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have regulated utilities in Rhode Island since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of both the Administrator of the Division and the Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairperson of the

Budget

Public Utilities Commission

	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Expenditures by Program					
Central Management	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Expenditures by Object					
Salary And Benefits	6,609,618	6,896,524	7,825,609	8,256,742	8,369,197
Contract Professional Services	1,604,345	1,424,512	2,927,836	3,807,836	3,326,836
Operating Supplies And Expenses	1,284,922	853,963	1,302,901	1,302,901	1,343,732
Subtotal: Operating	9,498,885	9,174,998	12,056,346	13,367,479	13,039,765
Capital Purchases And Equipment	72,750	28,395	410,000	410,000	380,000
Subtotal: Other	72,750	28,395	410,000	410,000	380,000
Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Expenditures by Source of Funds					
Federal Funds	200,098	223,657	540,253	566,124	582,689
Restricted Receipts	9,371,538	8,979,736	11,926,093	13,211,355	12,837,076
Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
FTE Authorization	52.0	52.0	54.0	54.0	54.0

Personnel Agency Summary

Public Utilities Commission

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Classified	48.0	4,453,795	48.0	4,630,062
Unclassified	6.0	717,617	6.0	741,181
Subtotal	54.0	5,171,412	54.0	5,371,243
Overtime		55,940		57,079
Turnover		(180,000)		(169,125)
FY 2021 Retro COLA Payment		108,095		0
Total Salaries		5,155,447		5,259,197
Benefits				
Contract Stipends		37,500		37,500
FICA		388,317		396,359
Health Benefits		713,487		746,113
Payroll Accrual		28,780		0
Retiree Health		266,282		230,239
Retirement		1,463,365		1,492,822
Subtotal		2,897,731		2,903,033
Total Salaries and Benefits	54.0	8,053,178	54.0	8,162,230
Cost Per FTE Position		149,133		151,152
Statewide Benefit Assessment		203,564		206,967
Payroll Costs	54.0	8,256,742	54.0	8,369,197
Purchased Services				
Buildings and Ground Maintenance		29,000		46,000
Clerical and Temporary Services		79,000		69,000
Information Technology		93,000		93,000
Legal Services		603,000		603,000
Management & Consultant Services		2,672,908		2,172,908
Other Contracts		330,928		342,928
Subtotal		3,807,836		3,326,836
Total Personnel	54.0	12,064,578	54.0	11,696,033
Distribution by Source of Funds				
Federal Funds	0.0	553,162	0.0	569,727
Restricted Receipts	54.0	11,511,416	54.0	11,126,306
Total All Funds	54.0	12,064,578	54.0	11,696,033

Performance Measures

Public Utilities Commission

Timeliness of Motor Carrier Applications and Reports

When the Division of Public Utilities and Carriers (DPUC) receives an application for authority to operate as a motor carrier (e.g., taxi, limousine, water taxi, etc.), it is docketed and a public hearing is scheduled and advertised. Following the hearing, DPUC issues a formal written report approving or denying the application. The figures below represent the percentage of motor carrier applications completed within 60 business days. [Note: Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	95.00%	95.00%	95.00%	95.00%	95.00%
Actual	96.00%	95.00%	95.00%	0.00%	

Timeliness of Cable Service and Telecom Inquiry Resolution

DPUC receives cable and telecom customer inquiries involving billing disputes, tariff issues, rate increases, interconnect issues, product installation, repairs, and service quality. The figures below represent the percentage of inquiries resolved within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	95.00%	100.00%	100.00%	100.00%	100.00%
Actual	98.00%	98.00%	99.00%	0.00%	

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry. [Note: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	95.00%	90.00%	90.00%	90.00%	90.00%
Actual	97.00%	95.00%	96.00%	0.00%	

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days. [Notes: FY 2019 targets have been updated. Missing values appear as zeros in the measure.]

	<i>Reporting Period: State Fiscal Year</i>				
	2019	2020	2021	2022	2023
Target	95.00%	90.00%	90.00%	90.00%	90.00%
Actual	95.00%	95.00%	96.00%	0.00%	

Budget

Agency: Public Utilities Commission

Central Management

Expenditures by Sub Program	2020 Actuals	2021 Actuals	2022 Enacted Budget	2022 Revised Budget	2023 Recommended
Operations	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Expenditures by Object					
Salary and Benefits	6,609,618	6,896,524	7,825,609	8,256,742	8,369,197
Contract Professional Services	1,604,345	1,424,512	2,927,836	3,807,836	3,326,836
Operating Supplies and Expenses	1,284,922	853,963	1,302,901	1,302,901	1,343,732
Subtotal: Operating	9,498,885	9,174,998	12,056,346	13,367,479	13,039,765
Capital Purchases and Equipment	72,750	28,395	410,000	410,000	380,000
Subtotal: Other	72,750	28,395	410,000	410,000	380,000
Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765
Expenditures by Source of Funds					
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Total Expenditures	9,571,635	9,203,393	12,466,346	13,777,479	13,419,765

Personnel

Agency: Public Utilities Commission

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	00145A	2.0	301,788	2.0	315,025
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	127,012	1.0	130,067
ASSISTANT TO CHIEF PUBLIC UTILITIES ACCOUNTANT	0AB34A	1.0	89,519	1.0	93,341
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR DIV/PU & CARR	00136A	1.0	100,078	1.0	102,580
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR FOR MOTOR CAR.	00140A	1.0	110,778	1.0	119,249
ASSOC PUBLIC UTIL ADMIN FOR ENG AND PIPELINE SAFETY	00140A	1.0	122,084	1.0	131,516
BUSINESS MANAGEMENT OFFICER	0AB26A	1.0	64,818	1.0	66,439
CHF REGULATORY ANALYST (PUC)	00138A	1.0	110,244	1.0	117,602
CHIEF CONSUMER AGENT (DPUC)	0AB28A	1.0	81,716	1.0	83,737
CHIEF FIELD INVESTIGATOR (GENERAL)	0AB24A	1.0	62,976	1.0	64,550
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	0AB24A	1.0	62,976	1.0	64,550
CHIEF FINANCIAL ANALYST	00138A	1.0	98,401	1.0	104,756
CHIEF IMPLEMENTATION AIDE	00128A	1.0	77,165	1.0	79,094
CHIEF OF LEGAL SERVICES	00139A	1.0	114,153	1.0	116,926
CHIEF OF STAFF DEVELOPMENT- TRAINING & CONT QUAL IMPROV	00135A	1.0	97,937	1.0	100,349
CHIEF PUBLIC UTILITIES ACCOUNTANT	00140A	2.0	246,414	2.0	252,518
CONSUMER AGENT (DPUC)	0AB24A	4.0	234,179	4.0	246,300
DEPUTY CHIEF OF LEGAL SERVICES	00137A	5.0	509,076	5.0	530,811
HUMAN SERVICES BUSINESS OFFICER	0AB22A	1.0	55,517	1.0	56,905
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	111,014	1.0	113,789
INFORMATION SERVICES TECHNICIAN II	0AB20A	1.0	54,843	1.0	56,903
INTERNET COMMUNICATIONS SPECIALIST	0AB28A	1.0	78,115	1.0	80,049
INVESTIGATIVE AUDITOR	00133A	1.0	102,303	1.0	104,755
MOTOR CARRIER COMPLIANCE INSPECTOR	0AB20A	1.0	58,137	1.0	59,560
PIPELINE SAFERY INSPECTOR II	0AB33A	1.0	94,814	1.0	97,134
PIPELINE SAFETY INSPECTOR I	0AB30A	4.0	287,025	4.0	304,950
PIPELINE SAFETY INSPECTOR II	0AB33A	1.0	77,502	1.0	82,334
PRINCIPAL POLICY ASSOCIATE(PUC)	00140A	1.0	115,990	1.0	118,765
PROGRAMMING SERVICES OFFICER	00131A	1.0	72,440	1.0	77,048
PUBLIC UTILITIES ANALYST V	0AB33A	1.0	99,658	1.0	102,116
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	00146A	2.0	324,524	2.0	332,584
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB28A	1.0	63,888	1.0	67,823

Personnel

Agency: Public Utilities Commission

Central Management

		FY 2022		FY 2023	
		FTE	Cost	FTE	Cost
Classified					
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB30A	2.0	150,180	2.0	157,054
PUBLIC UTILITIES ENGINEERING SPECIALIST II	0AB33A	1.0	96,531	1.0	98,883
Subtotal Classified		48.0	4,453,795	48.0	4,630,062
Unclassified					
ADMINISTRATIVE ASSISTANT	00129A	1.0	94,608	1.0	96,932
ADMINISTRATIVE ASSISTANT	00822A	1.0	59,976	1.0	61,476
ADMINISTRATOR- DIVISION OF PUBLIC UTILITIES & CARRIERS	00847A	1.0	164,451	1.0	174,225
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	00844A	1.0	143,654	1.0	147,246
MEMBER- PUBLIC UTILITIES COMMISSION	00841A	2.0	254,928	2.0	261,302
Subtotal Unclassified		6.0	717,617	6.0	741,181
Subtotal		54.0	5,171,412	54.0	5,371,243
Overtime			55,940		57,079
Turnover			(180,000)		(169,125)
FY 2021 Retro COLA Payment			108,095		0
Total Salaries			5,155,447		5,259,197
Benefits					
Contract Stipends			37,500		37,500
FICA			388,317		396,359
Health Benefits			713,487		746,113
Payroll Accrual			28,780		0
Retiree Health			266,282		230,239
Retirement			1,463,365		1,492,822
Subtotal			2,897,731		2,903,033
Total Salaries and Benefits		54.0	8,053,178	54.0	8,162,230
Cost Per FTE Position			149,133		151,152
Statewide Benefit Assessment			203,564		206,967
Payroll Costs		54.0	8,256,742	54.0	8,369,197
Purchased Services					
Buildings and Ground Maintenance			29,000		46,000
Clerical and Temporary Services			79,000		69,000
Information Technology			93,000		93,000
Legal Services			603,000		603,000
Management & Consultant Services			2,672,908		2,172,908

Personnel

Agency: Public Utilities Commission

Central Management

	FY 2022		FY 2023	
	FTE	Cost	FTE	Cost
Purchased Services				
Other Contracts		330,928		342,928
Subtotal		3,807,836		3,326,836
Total Personnel	54.0	12,064,578	54.0	11,696,033
Distribution by Source of Funds				
Federal Funds	0.0	553,162	0.0	569,727
Restricted Receipts	54.0	11,511,416	54.0	11,126,306
Total All Funds	54.0	12,064,578	54.0	11,696,033

QUASI-PUBLIC AGENCIES

QUASI- PUBLIC AGENCIES

In general, a quasi-public agency is established under the Rhode Island General Laws, but has certain budgetary, governing, and policy-making independence from Executive and Legislative governance. Currently, Rhode Island has 16 quasi-public agencies with diverse structures, powers and responsibilities.

THE AGENCY

CAPITAL CENTER COMMISSION

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development. The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

THE BUDGET

CAPITAL CENTER COMMISSION

	FY 2020 Actual	FY2021 Unaudited	FY2022 Projected	FY 2023 Recommended
Operating Revenues				
Development/Permit Fees	\$ 2,625	\$ 1,850	\$ 2,000	\$ 2,000
Interest Income	19	24	14	15
Total Operating Revenues	\$ 2,644	\$ 1,874	\$ 2,014	\$ 2,015
Expenditures				
Print/Supplies	\$ 213	\$ -	\$ -	\$ 100
Meetings/Miscellaneous	1,220	-	500	1,000
Consultants	-	-	500	500
Bookkeeping Fees	1,150	1,150	1,250	1,300
Legal & Audit Fees	3,914	3,914	4,000	4,200
Total Expenditures	\$ 6,497	\$ 5,064	\$ 6,250	\$ 7,100
Less Non Operating Revenue				
Interest Income	\$ 19	\$ 15	\$ 15	\$ 15
Net Income (Loss)	\$ (3,853)	\$ (3,190)	\$ (4,236)	\$ (5,085)

THE AGENCY

I-195 REDEVELOPMENT DISTRICT COMMISSION

Agency Description

The I-195 Redevelopment District Commission (the “Commission”) was created in late 2011 to serve as the primary authority for the management, disposition and overall redevelopment of the land made available in Providence as a result of the relocation of Interstate 195. The Commission is led by an Executive Director and a volunteer board comprised of nine Commissioners, (including two who serve ex officio) nominated by the Governor, (with guidance from the Providence Mayor and House Speaker) and approved by the Rhode Island Senate.

The land the Commission is charged with redeveloping is comprised of 26.5 acres (inclusive of a 2.1-acre parcel that was sold in 2017 and 0.59-acre parcel that was sold in 2018), of which 7.01 acres are dedicated for the use as public parks, which are currently under construction. The remaining 19.5 acres are slated for commercial development and could support millions of square feet of new construction.

Presently, the Commission is actively working on seven development projects, establishing and implementing a Development Plan as part of the Special Economic District designation enacted in the 2020 budget, and establishing and implementing a management and operation plan for the parks to be open in the Spring of 2020.

The sale of the I-195 surplus land to the Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

R.I. General Laws §42-64.14 created the I-195 Redevelopment District Commission.

THE BUDGET

I-195 REDEVELOPMENT DISTRICT COMMISSION

	FY 2020 Actual	FY2021 Actual	FY2022 Current	FY 2023 Recommended
Operating Revenues				
State Grants	\$ 761,000	\$ 761,000	\$ 761,000	\$ 1,173,248
Rhode Island Capital Plan Fund	298,718	263,883	578,000	10,905,000
Debt Issuance				
Other Resources (Grants)	463,649	452,884	424,369	525,475
Total Operating Revenues	\$ 1,523,367	\$ 1,477,767	\$ 1,763,369	\$ 12,603,723
Expenditures				
Salaries, Wages and Benefits	\$ 368,915	\$ 455,277	\$ 187,830	\$ 739,247
Contracted Professional Services	837,496	973,140	154,797	1,017,205
Operating Supplies & Expenses	87,154	87,503	24,071	747,271
Loan Repayment to EDC	-			
Cost of Issuance	-			
Capital Acquisition	-	-	-	
Total Expenditures	\$ 1,293,565	\$ 1,515,920	\$ 366,698	\$ 2,503,723
Net Income (Loss)	\$ 229,802	\$ (38,153)	\$ 1,396,671	\$ 10,100,000

THE AGENCY

NARRAGANSETT BAY COMMISSION

Agency Mission

The mission of the Narragansett Bay Commission (“NBC”) is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field’s Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field’s Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, NBC’s fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

NBC owns and operates Rhode Island’s two largest wastewater treatment facilities, 110 miles of sewer interceptors, 65 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,730 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

NBC has a \$507.1 million five-year capital improvement budget for fiscal years 2021-2025. The NBC is governed by a 19-member Board and is organized into five divisions: the Administration, Construction and Engineering, Finance, Operations and Maintenance, and Environmental Science and Compliance divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R. I. General Laws § 46-25 relates to the Narragansett Bay Commission; RIGL § 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and RIGL § 46-25.2 relates to future acquisitions of wastewater treatment facilities.

THE BUDGET

NARRAGANSETT BAY COMMISSION

	FY 2020 Actual	FY 2021 Actual	FY 2022 Budget	FY 2023* Recommended
Expenditures by Object				
Personnel	\$ 24,434,221	\$ 25,234,834	\$ 27,975,072	\$ 28,814,324
Operating Supplies & Expenses	14,939,910	15,565,785	19,042,787	19,614,071
Special Services	1,213,868	1,592,497	1,886,795	1,943,399
Subtotal Operating Expenditures	\$ 40,587,999	\$ 42,393,116	\$ 48,904,654	\$ 50,371,794
Debt Service	44,682,925	43,382,454	41,467,917	40,912,333
Total Expenditures	\$ 85,270,924	\$ 85,775,570	\$ 90,372,571	\$ 91,284,127
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	\$ 24,434,221	\$ 25,234,834	\$ 27,975,072	\$ 28,814,324
Operating Supplies & Expenses	14,939,910	15,565,785	19,042,787	19,614,071
Special Services	1,213,868	1,592,497	1,886,795	1,943,399
Debt Service	44,682,925	43,382,454	41,467,917	40,912,333
Total Expenditures	\$ 85,270,924	\$ 85,775,570	\$ 90,372,571	\$ 91,284,127

FY 2020 Actuals taken from NBC's audited financial statements.

FY 2021 Actuals taken from NBC's audited financial statements.

FY 2022 taken from NBC's approved budget.

* FY 2023 information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2023 budget.

THE AGENCY

QUONSET DEVELOPMENT CORPORATION

Agency Mission

The Quonset Development Corporation (“QDC”) develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The QDC ensures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The QDC develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The QDC’s Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The QDC was created in 2004 by R.I. General Laws §42-64-10, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

THE BUDGET

QUONSET DEVELOPMENT CORPORATION

	FY 2020 Actual	FY2021 Actual	FY 2022 Adopted	FY 2022 Revised	FY 2023 Proposed
Opening Balance:	\$ 5,212,090	\$ 19,426,487	\$ 22,201,251	\$ 2,338,024	\$ 6,401,848
Revenues from Operations					
Rental Income	\$ 11,671,690	\$ 12,017,609	\$ 10,304,436	\$ 10,304,436	\$ 11,266,469
Pier Income	1,859,003	1,685,859	2,000,000	1,500,000	1,400,000
Utility Sales	3,360,959	4,102,050	3,330,000	4,300,000	4,325,000
Other Income	315,783	655,200	1,065,411	861,711	837,000
Investment Income	8,138	7,949	8,289	8,289	8,000
Total Revenue from Operations	\$ 17,215,573	\$ 18,468,667	\$ 16,708,136	\$ 16,974,436	\$ 17,836,469
Other Revenue					
Revenue Bond - Drawdown	\$ 15,700,000	\$ 4,832,251	\$ 3,848,224	\$ 7,970,860	\$ -
GO Bonds- State of Rhode Island	15,352,547	12,438,444		10,552,700	7,000,000
Contributions in Aid of Construction	7,686,382	16,263,944	15,000,000	4,000,000	6,500,000
Rhode Island Capital Plan Fund	9,000,000	-		6,000,000	3,100,000
Total Other Revenue	\$ 47,738,929	\$ 33,534,639	\$ 18,848,224	\$ 28,523,560	\$ 16,600,000
Total Resources	\$ 70,166,592	\$ 71,429,793	\$ 57,757,611	\$ 47,836,020	\$ 40,838,317
Expenses					
Personnel Expenses ^[2]	\$ 3,167,358	\$ 4,885,811	\$ 3,348,415	\$ 5,287,460	\$ 5,485,700
Operating Expenses	7,719,134	5,785,207	6,798,849	5,071,800	6,717,700
Debt Service	3,278,843	3,578,227	2,980,000	2,980,000	2,690,000
Other Expenses(Includes Interest Exp)	724,466	836,307	760,000	760,000	1,072,500
Transfer to State of RI	1,200,000	-	-	-	-
Gain (Loss) on disposal of Asset	-	(2,315,850)	-	-	-
Public Works Capital Expenditures	2,834,439	3,180,491	2,692,000	2,692,000	2,530,700
Quonset Capital Infrastructure	31,815,865	33,278,349	38,840,323	24,642,912	18,889,600
Total Capital Expenditures ^[1]	34,650,304	36,458,840	41,532,323	27,334,912	21,420,300
Total Expenditures	\$ 50,740,105	\$ 49,228,542	\$ 55,419,587	\$ 41,434,172	\$ 37,386,200
Closing Balance	\$ 19,426,487	\$ 22,201,251	\$ 2,338,024	\$ 6,401,848	\$ 3,452,117
Closing Balance Breakdown					
Obligated Federal Grant Match	\$ 1,000,197	\$ 7,002,196	\$ 250,000	\$ 2,750,000	\$ -
Revenue Bond Funds Held by Trustee	\$ 12,803,115	\$ 7,970,864		\$ -	\$ -
Reserve Fund		\$ 1,000,000		\$ 1,000,000	1,000,000
Private Party Deposits	\$ 3,912,430	\$ 4,000,488	\$ 540,636	\$ 554,600	554,600
Municipal Services Fund	\$ 1,526,515	\$ 1,701,146	\$ 1,526,515	\$ 1,801,146	1,751,146
Cash Balance	\$ 184,230	\$ 526,557	\$ 20,873	\$ 296,102	\$ 146,371

^[1] To be used for Capital Improvement Projects as well as other internal capital requirements. Projects may already be under contract.

^[2] Water and waste water personnel expenses are included from FY2021 forward, these expenses were previously included as operating expenses.
FY2021 - \$1,192,294; FY2022 - \$1,334,428

THE AGENCY

RHODE ISLAND AIRPORT CORPORATION

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (Commerce RI) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and Commerce RI, and having many of the same powers and purposes of Commerce RI. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the Rhode Island T.F. Green International Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of Commerce RI.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements. These funds typically are 90% - 100% of eligible project costs.

THE BUDGET

RHODE ISLAND AIRPORT CORPORATION

	FY 2020 Actual	FY2021 Actual	FY2022 Revised	FY 2023 (a) Recommended
Revenue				
Passenger Airline Revenues	\$ 16,504,700	\$ 14,893,900	\$ 14,715,500	\$ 14,840,000
Landing Fees - Cargo	608,600	618,100	253,500	260,000
General Aviation	388,600	379,000	380,000	380,000
Fuel Flowage Fees	811,400	883,700	800,000	816,000
Tiedown & Hangar Fees	1,649,600	1,765,800	1,800,000	1,854,000
Aircraft Registration	16,500	21,700	20,000	20,000
Concessions	3,483,400	1,512,400	1,750,000	2,256,000
Miscellaneous Revenues	163,300	109,900	120,000	124,000
Utilities Reimbursement	341,000	181,600	200,000	200,000
Rent - Non Airlines	1,134,100	721,300	800,000	824,000
Automobile Parking, Net	12,491,500	4,830,900	6,843,000	9,834,800
Rental Car	5,431,000	3,870,600	4,684,000	5,000,000
Off Airport Courtesy Fees	1,114,000	508,900	450,000	590,000
Federal Grants (b)	175,900	12,134,000	13,509,000	13,210,000
Total Revenue	\$ 44,313,600	\$ 42,431,800	\$ 46,325,000	\$ 50,208,800
Expenses				
Personnel Expenses	\$ 15,180,900	\$ 14,547,500	\$ 16,948,000	\$ 17,456,400
Operating Expenses	12,225,900	10,351,400	12,664,000	13,043,900
Total Expenses	\$ 27,406,800	\$ 24,898,900	\$ 29,612,000	\$ 30,500,300
Net Income from Operations	\$ 16,906,800	\$ 17,532,900	\$ 16,713,000	\$ 19,708,500
General Aviation Airports				
Revenues	\$ 2,140,000	\$ 2,040,800	\$ 2,252,000	\$ 2,319,560
Operating Expenses	(2,684,400)	(3,869,500)	(3,764,000)	(3,826,300)
Quanset Real Estate Income, Net	844,400	897,100	916,000	901,000
GAA- Net Income (Loss)	\$ 300,000	\$ (931,600)	\$ (596,000)	\$ (605,740)
Depreciation & Amortization	\$ 22,643,400	\$ 22,172,400	\$ 22,600,000	\$ 22,700,000
Net Income (Loss) after D&A	\$ (5,436,600)	\$ (5,571,100)	\$ (6,483,000)	\$ (3,597,240)
Air Service Marketing - Net	\$ -	\$ -	\$ (350,000)	\$ -
Non-Operating Income (Expense) (c)	\$ 10,159,700	\$ 23,653,800	\$ 22,379,000	\$ 17,806,400
Airports - Net Income	\$ 4,723,200	\$ 18,082,700	\$ 15,546,000	\$ 14,209,160
Interlink Facility - Net Income (Loss)	\$ (3,368,600)	\$ (6,190,000)	\$ (6,192,000)	\$ (5,665,800)
RIAC - Net Income	\$ 1,354,600	\$ 11,892,700	\$ 9,354,000	\$ 8,543,360

(a) The information presented for FY 2023 has neither been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change.

(b) FY 2022 Budget includes \$13,300,000 COVID Stimulus Funds, and FY 2023 Recommended includes \$13,000,000 COVID Stimulus Funds.

(c) Included in this line are Federal Grant income and PFC income which are restricted for use on approved capital project costs and cannot be used for airport operations.

THE AGENCY

RHODE ISLAND COMMERCE CORPORATION

Agency Mission

The Rhode Island Commerce Corporation's (the "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

The Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

The Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, the Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by R.I General Laws §42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority.

Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL §42-64-1.1.

The Commerce Corporation falls under the purview of the Secretary of Commerce per RIGL §42-64.19-6.

THE BUDGET

RHODE ISLAND COMMERCE CORPORATION

	FY2020 Actual	FY2021 Actual	FY2022 Projected*	FY2023 Projected*
State Appropriation	\$ 7,431,022	\$ 7,431,022	\$ 7,659,565	\$ 8,379,422
Total State Appropriations	\$ 7,431,022	\$ 7,431,022	\$ 7,659,565	\$ 8,379,422
Other Revenues				
Hotel Tax Revenue	\$ 4,530,302	\$ 3,031,364	\$ 6,471,438	\$ 6,471,438
Finance Program Reimbursements	415,009	333,026	318,194	318,194
Federal Grant Reimbursements ^[1]	337,826	313,474	457,012	457,012
Other	308,634	2,642,623	1,585,402	1,585,402
Total Other Revenues	\$ 5,591,771	\$ 6,320,487	\$ 8,832,046	\$ 8,832,046
TOTAL SOURCES (Cash, Revenues)	\$ 13,022,793	\$ 13,751,509	\$ 16,491,611	\$ 17,211,468
Total Operations (Personnel & Operating)	\$ 14,076,484	\$ 18,425,728	\$ 11,849,133	\$ 13,283,623
Grant/Partnership Expenses	4,121,970	4,419,095	4,419,095	4,419,095
Total Expenses	\$ 18,198,454	\$ 22,844,823	\$ 16,268,228	\$ 17,702,718
Operating Surplus/(Deficit)	\$ (5,175,661)	\$ (9,093,314)	\$ 223,383	\$ (491,250)
 Pass-Through (only) Grants				
State				
STAC Research Alliance (EP Score)	\$ 900,000	\$ 900,000	\$ 900,000	\$ 900,000
Innovative Matching Grants	1,000,000	1,000,000	1,000,000	1,000,000
Renewable Energy Fund	2,500,000	2,300,000	2,628,789	2,628,789
Airport Impact Aid	1,012,122	1,010,036	1,010,036	1,010,036
Chafee Center at Bryant	476,200	476,200	476,200	476,200
Polaris Manufacturing Tech. Assist. Program	350,000	350,000	350,000	350,000
East Providence Waterfront Commission	50,000	50,000	50,000	50,000
Urban Ventures (Designated Grant)	140,000	140,000	140,000	140,000
Municipal Infrastructure Bank Match	-	-	1,000,000	1,000,000
Other (Working Cities, AICU, Warwick Station)	-	-	-	-
Total	\$ 6,428,322	\$ 6,226,236	\$ 7,555,025	\$ 7,555,025
Federal				
MARAD	\$ -	\$ -	\$ -	\$ -
DOD SteamEngine II	-	-	-	-
PTAC	337,826	313,474	457,012	457,012
Brownsfield Grant				
State Small Business Credit Initiative	-	-		
Total	\$ 337,826	\$ 313,474	\$ 457,012	\$ 457,012

^[1] Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

^[1] Federal: Personnel and indirect cost reimbursements as allowable, prime recipient only

*Not Board Approved

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THE AGENCY

RHODE ISLAND CONVENTION CENTER AUTHORITY

Agency Objectives

The Authority manages and operates the convention center complex, parking facilities, the Vets and the Dunkin' Donuts Center and is responsible for attracting events to capture and promote positive economic impact for the City and the State. In 2014, the General Assembly directed and authorized the Authority to develop and operate a new 1250 space parking facility on State owned land adjacent to the I-195 redevelopment district.

Agency Description

The Rhode Island Convention Center Authority (the "Authority") was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the Mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee.

In March 2020 the COVID-19 pandemic took hold in Rhode Island. Governor Raimondo issued executive orders closing all gathering places and requiring social distancing. The Convention Center was asked to serve as an Alternate Care Facility during FY 2021. The building was converted to a hospital and taken over by Lifespan to be used in the event that existing hospitals were unable to handle the number of affected individuals. The South Garage of the Convention Center hosted a testing site for the virus.

The Authority's primary venues, the Rhode Island Convention Center and the Dunkin' Donuts Center, have faced stiff competition for patrons and events. The industry trend is to expand existing facilities or rebuild. In order to remain competitive, the facilities need to be state-of-the-art and in pristine condition. Doing so requires major renovations and upgrades.

Major tenants of the Dunkin' Donuts Center are the American Hockey League Providence Bruins and the National Collegiate Athletic Association Providence College Friars.

The Authority continues the management of the Veterans' Memorial Auditorium rebranded in FY 2011 as "The Vets." The Vets is a premier facility for fine arts, educational and children's programming.

Statutory History

The Rhode Island Convention Center Authority was established by R.I General Laws § 42-99.

THE BUDGET

RHODE ISLAND CONVENTION CENTER AUTHORITY BUDGET

	FY2020 Actual	FY2021 Actual	FY2022 Revised	FY2023 Proposed
Operating & Non-operating Resources				
Opening cash balances	\$ 6,845,861	\$ 3,160,787	\$ 3,334,697	\$ (512,869)
RICC operations	10,589,473	2,130,647	12,519,647	13,572,827
COVID-19 operations	2,826,546	14,108,120	1,597,591	-
DDC operations	5,843,606	13,153	5,822,353	7,830,548
Vets operations	2,389,435	652,277	2,570,876	2,975,903
Vets PPP loan proceeds	-	121,925	-	-
CSG operations	675	1,503,586	2,208,600	2,294,100
State Appropriation - American Rescue Act Plan Federal Funds			4,500,000	4,500,000
Investment income	6,839	97	500	500
Miscellaneous revenues	158,761	37,725	20,247	48,610
Total Operating & Non-operating Resources	28,661,196	21,728,317	32,574,511	30,709,619
Operating & Non-operating Expenditures				
Authority operations	2,067,262	2,252,321	2,394,539	2,468,895
RICC operations	12,123,721	4,350,431	13,741,686	13,839,556
COVID-19 operations	856,940	5,387,609	127,769	-
DDC operations	6,900,668	1,889,961	7,408,265	8,610,068
Vets operations	3,122,968	1,081,575	2,927,459	3,155,624
CSG operations	428,850	231,184	742,771	778,706
CSG debt service contribution	-	1,086,347	1,244,891	1,280,678
CSG R&R contribution	-	195,980	-	-
State Appropriation - American Rescue Act Plan Federal Funds			4,500,000	4,500,000
Transfer to R&R, net	-	1,918,212	-	-
Total Operating & Non-operating Expenditures	25,500,409	18,393,620	33,087,380	34,633,527
Final Operating & Non-operating Cash Balances (Deficit)	\$ 3,160,787	\$ 3,334,697	\$ (512,869)	\$ (3,923,908)
Capital & Debt Resources				
Opening cash balances	17,571,849	971,894	3,166,857	7,316,670
Investment income	-	770,664	500	500
RICC bond proceeds	-	32,170,000	-	-
State appropriation - RICC & DDC debt service	21,313,420	18,899,774	18,803,144	24,685,612
State appropriation - RICC debt service savings for capital	-	3,998,031	4,090,520	-
State appropriation - CSG debt service, R&R	93,730	-	298,401	105,494
State appropriation - CSG debt service, net	3,024,649	1,940,012	1,779,769	1,747,382
CSG debt service contribution from operations	-	1,086,347	1,244,891	1,280,678
CSG R&R contribution from operations	-	195,980	-	-
Capital & Debt Resources SUBTOTAL	42,003,648	60,032,702	29,384,082	35,136,336

THE BUDGET

RHODE ISLAND CONVENTION CENTER AUTHORITY BUDGET

	FY2020	FY2021	FY2022	FY2023
	Actual	Actual	Revised	Proposed
SUBTOTAL from previous page	42,003,648	60,032,702	29,384,082	35,136,336
COVID-19 alternate care facility capital	-	246,492	-	-
COVID-19 capital	-	64,935	60,392	-
State Appropriation - American Rescue Act Plan Federal Funds	-	-	3,870,000	13,200,000
State appropriation - RICC RICAP	6,147,414	1,000,000	1,000,000	1,700,000
State appropriation - DDC RICAP	2,076,537	105,449	-	1,100,000
State appropriation - Vets RICAP	63,683	91,157	215,000	765,000
Transfer from operations, net	-	1,918,212	-	-
Total Capital & Debt Resources	50,291,282	63,458,947	34,529,474	51,901,336
Capital & Debt Expenditures				
RICC debt service	14,499,434	11,995,703	11,898,306	17,778,786
Bond refunding - RICC	-	32,013,491	-	-
Bond issuance costs - RICC	-	156,379	-	-
DDC debt service	6,909,320	6,904,158	6,904,838	6,906,826
CSG debt service	3,025,091	3,026,406	3,024,660	3,028,060
COVID-19 alternate care facility capital	246,492	-	-	-
RICC capital	3,707,420	446,145	2,250,000	8,400,000
DDC capital	3,466,167	2,043,604	2,550,000	7,600,000
Vets capital	91,157	-	285,000	765,000
CSG capital	17,374,307	3,706,204	300,000	-
Total Capital & Debt Expenditures	49,319,388	60,292,090	27,212,804	44,478,672
Final Capital & Debt Cash Balances	\$ 971,894	\$ 3,166,857	\$ 7,316,670	\$ 7,422,664

*** Reported on a cash basis.

THE AGENCY

RHODE ISLAND HEALTH & EDUCATIONAL BUILDING CORPORATION

Agency Mission

To ensure that adequate financing is available for the education institutions and healthcare providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation (the “Corporation”) is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$9.1 billion in financing without obligating the state’s credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

The School Building Authority (SBA) was created in 2015 with the Corporation being designated administrator of the SBA’s Capital Fund. The purpose of the SBA is to provide funding for high-priority projects to local education authorities. The SBA receives state appropriations for its operations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I. General Laws §45-38.1 (as amended).

The School Building Authority Capital Fund was created by the General Assembly in FY 2015, with the Corporation’s duties and powers, as administrator, defined by RIGL §45-38.2 (as amended).

THE BUDGET

RHODE ISLAND HEALTH & EDUCATIONAL BUILDING CORPORATION

	FY 2020 Actual	FY 2021 Actual	FY 2022 Projected	FY 2023 Proposed
Operating Revenues				
Administrative Fees	\$ 2,376,665	\$ 2,542,465	\$ 2,353,800	\$ 2,831,700
Interest Earned on Loans Receivable	15,718	15,602	13,500	12,400
Total Operating Revenues	\$ 2,392,383	\$ 2,558,067	\$ 2,367,300	\$ 2,844,100
Operating Expenses				
Administrative	\$ 1,371,295	\$ 1,203,498	\$ 1,371,710	\$ 1,456,750
Grants	-	540,003	2,000,000	-
Depreciation	9,924	8,437	7,172	7,300
Total Operating Expenses	\$ 1,381,219	\$ 1,751,938	\$ 3,378,882	\$ 1,464,050
Non-Operating Revenues (Expenses)				
Net Investment Income	\$ 115,351	\$ 6,606	\$ 15,650	\$ 13,000
Other Income	76,240	13,181	13,960	9,400
Loss on Disposal of Capital Assets	(710)	(2,214)	-	-
Payment to State	-	-	-	-
Payment to RIDE/SBA	(770,945)	(677,945)	(450,000)	(450,000)
Total Non-Operating Revenues	\$ (580,064)	\$ (660,372)	\$ (420,390)	\$ (427,600)
Change in Net Position	\$ 431,100	\$ 145,757	\$ (1,431,972)	\$ 952,450
Net Position- End of Year	\$ 8,540,056	\$ 8,685,813	\$ 7,253,841	\$ 8,206,291

THE AGENCY

RHODE ISLAND HOUSING AND MORTGAGE FINANCE CORPORATION

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under R.I. General Laws §42-55.

THE BUDGET

RHODE ISLAND HOUSING & MORTGAGE FINANCE CORPORATION

	FY 2020 Actual	FY 2021 Actual	FY 2022 Budget	FY 2023 Recommended**
Expenditure Report				
Personnel Services	22,960,906	23,835,459	27,885,969	28,500,000
Other Administrative Expenses	9,393,129	10,059,949	18,047,066	18,500,000
Programmatic Expenses	4,412,532	3,280,153	4,610,000	4,500,000
Provision for Loan Loss	3,789,962	1,239,330	3,000,000	3,000,000
Amortization and Depreciation	2,263,242	2,518,854	2,304,832	3,000,000
Total Expenditures	\$ 42,819,771	\$ 40,933,745	\$ 55,847,867	\$ 57,500,000

**Amounts not yet reviewed/approved by Board of Commissioners

*The information for FY 2021 and FY 2022 has not yet been reviewed or approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

THE AGENCY

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

Agency Mission

The Rhode Island Industrial Facilities Corporation's (the "Corporation") objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by R.I. General Laws §45-37.1.

THE BUDGET

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

	FY2020 Actual	FY2021 Actual	FY2022 Projected	FY2023 Projected
Receipts				
Bond Fees	\$ 37,063	\$ 53,490	\$ 60,000	\$ 60,000
Commitment Fees	-	47,325	-	-
Other	1,506	3,000	-	-
Interest	43	2	-	-
Total Receipts	\$ 38,612	\$ 103,817	\$ 60,000	\$ 60,000
Expenses				
Administration	\$ 44,522	\$ 28,421	\$ 25,000	\$ 25,000
Insurance	15,347	19,082	13,035	13,035
Other	475	1,035	-	-
Legal and Audit	31,797	46,541	20,000	20,000
Total Expenses	\$ 92,141	\$ 95,079	\$ 58,035	\$ 58,035
Net	\$ (53,529)	\$ 8,738	\$ 1,965	\$ 1,965

THE AGENCY

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

Agency Mission

The Rhode Island Industrial-Recreational Building Authority (the “Authority”) promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the Authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I. General Laws §42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Authority was created in 1981 by R.I. General Laws §16-62. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the General Treasurer (ex-officio).

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by RIGL §42-34.

THE BUDGET

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

	FY2020	FY2021	FY2022	FY 2023
	Actual	Actual	Projected	Projected
Receipts				
Premiums	\$ 69,466	\$ 120,335	\$ 138,000	\$ 148,000
Commitment Fees	-	-	25,000	10,000
Legal Settlement	-	-	25,000	10,000
Other Income	-	24,450	-	-
Interest	11,434	318	11,000	12,000
Total Receipts	\$ 80,900	\$ 145,103	\$ 199,000	\$ 180,000
Expenses				
Administration	\$ 42,064	\$ 20,114	\$ 15,000	\$ 15,000
Legal and Audit ^[1]	49,350	49,150	75,000	75,000
Insurance	32,522	37,874	32,000	32,000
Total Expenses	\$ 123,936	\$ 107,138	\$ 122,000	\$ 122,000
Operating Income (Loss)	\$ (43,036)	\$ 37,965	\$ 77,000	\$ 58,000
Est. Loss-Default	\$ (14,757)	\$ (199,531)	\$ -	\$ -
Net	\$ (28,279)	\$ 237,496	\$ 77,000	\$ 58,000

^[1] Legal fees increased due to litigation related to CAPCO.

THE AGENCY

RHODE ISLAND INFRASTRUCTURE BANK

Agency Mission

Rhode Island Infrastructure Bank's (the "Bank") mission is to actively support and finance investments in Rhode Island's infrastructure. The Bank does so through a variety of means, including the issuance of bonds, originating loans, and making grants, and the engagement with and mobilization of sources of public and private capital. Through its thought leadership, innovation, and financing activities, the Bank fosters infrastructure improvements that create jobs, promote economic development and enhance the environment.

Agency Description

The Bank was established in 1989 by the General Assembly, under Chapter 46-12.2 of the Rhode Island General Laws (1986), as amended. While the Bank is a body politic and corporate and public instrumentality of the State, it has a distinct legal existence separate from the State which does not constitute a department of the State government. However, the Bank is considered a component unit of the State and, accordingly, its financial statements are incorporated with and into the financial statements of the State.

Consistent with the Bank's mission of serving as Rhode Island's central hub for financing infrastructure improvements for municipalities, businesses, and homeowners, the Bank is focused on delivering innovative financing for an array of infrastructure-based projects. In addition to the Bank's legacy clean water (and its companion residential-based lending for the community septic system loan program and the sewer tie-in loan fund), drinking water, and municipal road and bridge programs, the Bank also supports energy efficiency and renewable energy (including the Property Assessed Clean Energy (PACE) program), stormwater and climate resiliency, brownfield remediation, and water quality protection lending.

Statutory History

R.I General Laws §46-12.2 is the Bank's enabling legislation and established the Clean Water SRF, while RIGL §46-12.8 establishes the Drinking Water SRF. RIGL §24-18, enacted in 2013, established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend RIGL §46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under RIGL §39-26.5; and (iii) authorize the Bank to develop and administer the Brownfields Revolving Fund under RIGL §23-19.16. In March 2015, in accordance with amendments to RIGL §46-15.1, §46-15.3 and §46-12.2 enacted in 2009, the Bank assumed the authorities and duties of the Water Resources Board Corporate, pursuant to which the Bank began to collect and administer certain water quality protection charge funds.

THE BUDGET

RHODE ISLAND INFRASTRUCTURE BANK

	FY2020 Actual	FY2021 Actual	FY 2022 Budget	FY 2023 Proposed
Revenue				
Interest and Investment Income	\$ 30,234,234	\$ 23,219,789	\$ 24,526,000	\$ 25,139,150
Operating Grant Income	1,371,633	246,707	-	-
Loan Service Fees	5,555,699	5,445,128	5,254,000	5,385,350
Loan Origination Fees	496,067	838,731	851,000	872,275
Total Revenues	\$ 37,657,633	\$ 29,750,355	\$ 30,631,000	\$ 31,396,775
Operating Expenses				
Interest and Finance Expenses	\$ 21,334,595	\$ 17,136,574	\$ 15,600,000	\$ 15,990,000
Loan Principal Forgiveness	2,654,317	2,705,141	4,135,000	4,238,375
Administrative Expenses	4,980,194	4,712,934	5,190,000	5,319,750
Administrative Fees - DEM	538,025	550,398	500,000	512,500
Administrative Fees - DOH	355,019	352,237	500,000	512,500
DOH Set-Aside Programs	2,660,809	2,089,146	2,000,000	2,050,000
Total Operating Expenses	\$ 32,522,959	\$ 27,546,430	\$ 27,925,000	\$ 28,623,125
Other Revenues (Expenses)				
Federal & State Capitalization Grants	\$ 54,236,815	\$ 25,366,329	\$ 24,000,000	\$ 24,600,000
Transfers to State of Rhode Island	(21,790,000)	-	-	-
Excess Revenues over Expenses	\$ 37,581,489	\$ 27,570,254	\$ 26,706,000	\$ 27,373,650

THE AGENCY

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Agency Mission

As the statewide public transit organization, the Rhode Island Public Transit Authority (“RIPTA”) aims to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. Key mobility strategies include: transit design and service; efforts to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs, with emphasis on Rhode Island’s families, children, transit-dependent populations, and elderly and disabled residents. RIPTA operates a fixed-route fleet of 240 buses and trolleys. The authority’s main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state’s paratransit fleet currently includes 89 vans. In FY 2019, 16.4 million passengers were carried on RIPTA’s fixed-route bus service and an additional 384,218 passengers were transported on the state’s coordinated paratransit service. FY 2019 was also the second full year where RIPTA’s Van Pool service was operational, providing 17,990 trips to and from work.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I. General Laws § 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

THE BUDGET

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

	FY2020 Actual	FY2021 Actual	FY2022 Revised	FY 2023 Recommended
Revenue				
Passenger Revenue	\$ 21,274,243	\$ 15,565,033	\$ 18,146,944	\$ 18,499,380
Special Project - Local/Fed	541,335	252,003	2,869,000	-
Other Revenue	18,112,413	15,550,366	4,793,199	4,391,065
Federal Funds	30,690,385	26,824,887	34,761,899	35,292,441
Federal Emergency Relief (CARES)	10,858,206	34,766,587	19,247,840	24,890,088
RI Gasoline Tax ⁽¹⁾	40,272,927	39,681,075	41,632,208	42,722,180
RI Department of Human Services ⁽²⁾	813,663	813,663	873,276	961,592
RI Highway Maintenance Account	6,106,524	6,298,951	4,514,380	4,560,042
Contributed Capital ⁽³⁾	28,185,863	19,919,636	-	-
Total Revenue	\$ 156,855,560	\$ 159,672,201	\$ 126,838,747	\$ 131,316,788
Expenses				
Salaries & Benefits ⁽⁴⁾	\$ 77,542,836	\$ 80,481,706	\$ 77,244,218	\$ 81,136,421
Salaries & Benefits - Federal	12,678,418	12,928,386	14,250,012	14,777,752
Contract Services	14,049,548	8,584,936	3,516,453	4,973,865
Contract Services - Federal	1,970,024	1,523,005	2,603,270	2,742,239
Operating Expenses	19,915,363	12,996,061	15,431,587	16,773,109
Operating Expenses - Federal	3,903,877	3,901,174	6,600,996	6,714,692
Utilities	1,689,977	1,505,836	1,528,424	1,555,935
Utilities - Federal	10,480	7,508	7,621	7,758
Special Project - Local/Fed	550,955	239,779	2,869,000	-
Capital Match	3,784	-	1,432,391	1,452,653
Debt Service ⁽⁵⁾	491,775	422,791	1,354,775	1,182,364
Depreciation ⁽⁶⁾	17,340,680	18,041,998	-	-
Total Expenses ⁽⁵⁾	\$ 150,147,717	\$ 140,633,180	\$ 126,838,747	\$ 131,316,788
Net Income/(Loss)	\$ 6,707,842	\$ 19,039,021	\$ (0)	\$ -

For FY22, the Authority updated its budget process to better explain differences between the budget basis and accounting basis. Those footnotes are explained below:

(1) Gas Tax amount estimated by Department of Revenue.

(2) Gas Tax funding provided through the Department of Human Services for the RIDE Program.

(3) Contributed Capital (state and federal funds received for capital expenditures) are not budgeted, but are reflected in FY 2021 results.

The Authority only has the resources to pay retiree health claims as they arise and as a result there is no fund for unfunded liability of other post-employment benefits (OPEB). Retiree health costs in FY21 were \$2,497,229 and the remaining portion for the recommended contribution (\$5,506,149), was not made. The total unfunded OPEB liability as of June 30, 2021 is \$73,871,192.

(5) In addition to interest expense, RIPTA budgets the repayment of bond principal. Actual results only reflect the interest expense.

(6) Depreciation expense is not budgeted, only operating funds to be used for capital expenditures. Capital Expenditures were \$29,887,835 in FY 2020 and \$24,264,797 in FY 2021 and are not reflected in the table above.

(7) Actual results reflect changes in liabilities that are not budgeted and do not reflect cash outflows (legal, pension, and OPEB).

THE AGENCY

RHODE ISLAND RESOURCE RECOVERY CORPORATION

Agency Mission

The Rhode Island Resource Recovery Corporation's (the "Corporation") mission is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the State of Rhode Island. It aims to provide the utmost in protection of public health and the environment while working towards having no impacts on the quality of life in the surrounding neighborhoods. The Corporation sets an example of being a good neighbor by minimizing the impacts of its operations on the surrounding community while setting high industry standards for recycling and waste disposal. The Corporation seeks the best mix of public and private processing, recycling and disposal systems, programs, and facilities for both commercial and municipal waste to meet Rhode Island's needs (R.I. General Laws § 23-19-1.1)

Agency Description

The Corporation's 1,200-acre facility in Johnston is home to five major operations.

- **Central Landfill** – The Central Landfill is the centerpiece of the Corporation's integrated waste management system. It provides disposal services to about 97 percent of the state's residents. Currently, about 2,200 tons of trash are buried in the landfill each working day. At current loading rates, the Central Landfill will reach capacity in 2036 - 2038. All operations are conducted utilizing innovative technology. With a protective baseliner, daily cover on trash, the capture and treatment of leachate (wastewater), conversion of gas into energy, and a final capping system, the landfill is a feat of environmental engineering.
- **Materials Recycling Facility** – the Corporation's Material Recycling Facility processes both residential and commercial single stream recyclables. Every day, approximately 90 trucks deliver roughly 420 tons of material to the MRF. After sorting, these materials are shipped around the globe where they are remanufactured into a wide variety of products— saving money, conserving natural resources, and extending the life of the Central Landfill.
- **Composting** – The Corporation operates a composting program that processes roughly 40,000 tons of leaf and yard debris each year preventing it from having to be landfilled. The resulting product is designated RI Class "A" and is certified for use in organic growing.
- **Small Vehicle Area** – The Corporation's Small Vehicle Area offers easy drop off access to special/bulky item recycling, as well as disposal. Accepted materials include but are not limited to appliances, e-waste, bulky rigid plastics, waste oils, scrap metal, textiles and tires.
- **Eco Depot** – Eco-Depot is the name of the free service for disposing of residential household hazardous waste. Accepted materials include but are not limited to batteries, gasoline, oil and latex paints, and fluorescent bulbs. Since inception, the Corporation has offered more than 654 collections, safely recycled or disposed of approximately 12.6 million pounds of HHW and served over 175,000 Rhode Islanders.

Statutory History

R.I. General Laws § 23-19 defines the programs that are required of the Corporation.

THE BUDGET

RHODE ISLAND RESOURCE RECOVERY CORPORATION

	FY 2020 Actual	FY2021 Actual	FY2022 Revised	FY 2023 ⁽¹⁾ Recommended
Revenues:	\$ 68,776,829	\$ 60,325,257	\$ 53,370,000	\$ 54,218,000
Expenses:				
Personnel Costs	\$ 15,567,122	\$ 15,016,988	\$ 16,875,000	\$ 17,404,000
Contractual Services	7,299,939	7,981,357	9,685,000	8,603,000
Utilities	1,950,552	1,885,505	2,491,000	2,523,000
Repairs & Maintenance	2,812,112	2,741,571	4,831,000	4,705,000
Other Supplies & Expenses	4,389,183	4,357,547	5,024,000	5,064,000
Grants to Municipalities for Recycling	286,845	180,168	304,000	308,000
Bad Debts	397,083	458,178	100,000	102,000
Provision for landfill closure & post-closure care & Superfund clean-up costs	8,043,404	9,512,929	3,423,000	3,275,000
Depreciation, Depletion & Amortization	14,803,190	13,254,467	13,018,000	11,932,000
Total Expenses	\$ 55,549,430	\$ 55,388,710	\$ 55,751,000	\$ 53,916,000
Income (Loss) from Operations	\$ 13,227,399	\$ 4,936,547	\$ (2,381,000)	\$ 302,000
Transfers to State of Rhode Island	\$ -	\$ -	\$ -	\$ -
Interest & Investment Revenue	6,697,817	3,169,335	2,050,000	2,050,000
Interest Expense	(429,378)	(312,337)	(202,000)	(79,000)
Other Income (Expense)	(57,607)	203,033	200,000	204,000
Total Non-Operating Revenues (Expenses)	\$ 6,210,832	\$ 3,060,031	\$ 2,048,000	\$ 2,175,000
Net Income (Loss) for the Year	\$ 19,438,231	\$ 7,996,578	\$ (333,000)	\$ 2,477,000
Assets:				
Cash, Cash Equivalents & Investments	\$ 63,265,050	\$ 64,725,200	\$ 21,545,000	\$ 14,981,000
Accounts Receivable, Net	5,723,033	6,232,014	6,000,000	6,000,000
Property, Plant & Equipment, Net	84,485,362	93,329,647	125,392,598	125,101,252
Restricted Investments	2,603,898	-	-	-
Assets Held in Trust	121,175,116	127,507,419	132,000,000	136,000,000
Other Assets	3,544,291	3,680,048	3,600,000	3,800,000
Total Assets	\$ 280,796,750	\$ 295,474,328	\$ 288,537,598	\$ 285,882,252
Deferred Outflow of Resources	\$ 78,169	\$ 72,416	\$ 80,000	\$ 85,000
Total Assets	\$ 280,874,919	\$ 295,546,744	\$ 288,617,598	\$ 285,967,252
Liabilities				
Accounts Payable	\$ 6,068,708	\$ 8,835,425	\$ 6,000,000	\$ 5,500,000
Other Current Liabilities	656,540	693,800	700,000	750,000
Bonds/Notes Payable	15,327,506	10,803,890	6,160,223	1,392,877
Superfund Cleanup, Closure & Post-Closure Costs	115,728,125	124,136,854	125,000,000	125,000,000
Total Liabilities	\$ 137,780,879	\$ 144,469,969	\$ 137,860,223	\$ 132,642,877
Deferred Inflow of Resources	\$ 145,242	\$ 131,400	\$ 145,000	\$ 150,000
Retained Earnings	\$ 142,948,798	\$ 150,945,375	\$ 150,612,375	\$ 153,089,375
Total Liabilities & Retained Earnings	\$ 280,874,919	\$ 295,546,744	\$ 288,617,598	\$ 285,882,252

The FY2023 recommended budget reflects management's budget projections made in FY 2022. That budget has not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

THE AGENCY

RHODE ISLAND STUDENT LOAN AUTHORITY

Agency Mission

As of June 30, 2021, the Authority held \$113,038,186 in Federal Family Education Loans and \$489,253,534 in non-federal state-based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an Act of the Legislature in May 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originated over \$67,000,000 in fiscal year 2021 of low-cost state-based education loans for students and parents pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program. In addition, the Authority originated approximately \$59,000,000 in refinanced loans helping students and families reduce their monthly education loan payments and interest rate as well as make repaying their loan easier. Since the Covid-19 pandemic started in March 2020, RISLA was the first student loan provider to offer repayment forbearances to borrowers economically impacted by the pandemic. RISLA also streamlined its income-based repayment program so borrowers could make affordable monthly payments.

The Authority provides several free college admission and financial aid services, through the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors was started in 1998 by the Authority. The CPC has moved all of its services to a virtual format during the pandemic. The CPC is dedicated to increasing access to higher education through the several free services it provides. The CPC provides parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that was used by over 8,890 Rhode Islanders in 2021. The CPC conducts virtual financial aid nights with most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

Other student loan benefits offered by RISLA include Internship and nurse educator rewards programs, which make direct payments to pay principal to eligible recipients' current student loan. In addition, RISLA provides numerous financial literacy seminars throughout the year and assists employers develop employee student loan repayment programs.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

THE BUDGET

RHODE ISLAND STUDENT LOAN AUTHORITY

	FY 2020 Actual	FY2021 Actual	FY2022 Revised	FY 2023 Recommended
Operating Revenues				
Student Loan Payments	\$ 35,934,092	\$ 33,614,389	\$ 30,591,540	\$ 30,267,272
Dept. of Education SAP Payments	(3,763,015)	(4,613,222)	(4,013,031)	(3,370,946)
Investments	1,439,882	68,056	41,549	62,324
Other	1,411,408	617,597	455,113	432,357
Total Operating Revenues	\$ 35,022,367	\$ 29,686,820	\$ 27,075,171	\$ 27,391,007
Expenditures				
Interest & Bond Expenses	\$ 17,421,076	\$ 14,667,512	\$ 13,243,618	\$ 13,943,241
Arbitrage Rebate Expense	198,395	(111,449)	(55,873)	(35,000)
Loan Servicing & Origination	3,882,923	3,766,257	3,904,822	3,943,870
Provision for Risk Share	2,513,272	1,017,529	735,500	735,500
Dept. of Ed Consolidation Loan Fee	518,517	410,181	355,347	312,705
Personnel	4,193,062	4,371,259	4,774,532	4,774,532
Depreciation	91,889	113,866	98,847	98,847
Transfer to State of Rhode Island	1,500,000	-	-	-
Total Operating Expenses	\$ 30,319,134	\$ 24,235,155	\$ 23,056,793	\$ 23,773,695
Excess Revenues over Expenses	\$ 4,703,233	\$ 5,451,665	\$ 4,018,378	\$ 3,617,312

THE AGENCY

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

Agency Mission

The Rhode Island Turnpike and Bridge Authority (the "Authority") is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Agency Description

The Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge, which was opened for traffic on June 28, 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown, since 1964 and 1969 respectively. On April 25, 2013, the State of Rhode Island (the "State") transferred to the Authority custody, control and supervision of the land and improvements for the Jamestown Verrazzano Bridge between North Kingstown and Jamestown, the Sakonnet River Bridge between Portsmouth and Tiverton, and Route 138 in Jamestown. Ownership and title of the Jamestown and the Sakonnet River Bridges and such portion of Route 138 remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 96 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges and other facilities in its control. The principal operating revenues of the Authority are the collection of toll revenue from the users of the Claiborne Pell Bridge and, beginning on July 1, 2014, three and one-half (\$0.035) cents of motor fuels tax on each gallon sold in Rhode Island which has been annually appropriated by the General Assembly to the Authority. The Authority's debt service consists of revenue bond obligations issued in 2016 and 2019; the 2016 issuance maturing in 2042 and the two 2019 issuances maturing in 2039 and 2044. The Authority's debt is secured principally by said tolls and said gas tax appropriations. The proceeds of the Bonds have been used to fund the Authority's Capital Improvement Plan and to advance refund the Authority's previously issued 2010 revenue bond obligation. In connection with each issuance of Bonds, the Authority is entered into a Trust Indenture. Accounts of the Authority are maintained in compliance with the provisions of each Trust Indenture.

Statutory History

Title 24, Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. Article 20 of the 2013 Appropriations Act authorized the transfer of the Sakonnet River Bridge and the Jamestown Verrazano Bridge to the Authority. Article 21 of the 2015 Appropriations Act eliminated the authority to toll the Sakonnet River Bridge while allocating three and one-half cents (\$0.035) of the State's motor fuels tax to the Authority to be used for maintenance, operations, capital expenditures and debt service on any of its projects.

THE BUDGET

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

	FY2020 Actual	FY2021 Actual	FY 2022 Budget	FY 2023 Budget
Operating Revenues				
Tolls	\$ 19,293,581	\$ 19,058,932	\$ 19,321,100	\$ 21,253,210
Transponder Sales	258,596	228,279	216,000	260,000
Gas Tax Revenue	14,468,350	14,273,325	14,496,548	14,061,652
Fees	73,691	70,718	67,200	70,000
Total Revenue	\$ 34,094,218	\$ 33,631,254	\$ 34,100,848	\$ 35,644,862
Operating Expenses				
Personnel Services	\$ 5,920,305	\$ 5,528,624	\$ 6,044,184	\$ 5,994,152
Utilities	206,339	134,446	266,100	216,100
Contractual Services	1,735,442	1,577,435	1,638,969	1,655,359
Other Supplies and Expenses	1,282,407	1,202,195	905,730	914,787
Insurance	1,463,097	1,350,848	1,617,840	1,569,305
Repairs and Maintenance	1,029,037	819,632	904,559	922,650
Bridge Inspections	721,080	1,314,905	1,559,000	1,400,000
Transponder Expense	184,696	141,361	151,200	182,000
Depreciation	12,608,067	13,265,070	13,500,000	13,905,000
Total Expenses	\$ 25,150,470	\$ 25,334,516	\$ 26,587,582	\$ 26,759,353
Operating Income	\$ 8,943,748	\$ 8,296,738	\$ 7,513,266	\$ 8,885,509
Non-Operating Revenues (Expenses)				
Interest Expense	\$ (7,858,934)	\$ (8,111,030)	\$ (7,859,160)	\$ (7,671,986)
Amortization of Bond Premium	793,795	892,572	900,000	900,000
Amortization on Bond Discount	(1,274,680)	(901,677)	-	-
Investment Income (net of Trustee Fees)	2,226,661	26,777	100,000	100,000
Cost of Issuance Expenses	(812,101)	-	-	-
Miscellaneous Income	270,398	181,139	100,000	100,000
Total Funding	\$ (6,654,861)	\$ (7,912,219)	\$ (6,759,160)	\$ (6,571,986)
Change in Net Assets	\$ 2,288,887	\$ 384,519	\$ 754,106	\$ 2,313,523
Debt Service				
Principal Payments on Bonds	\$ 51,635,000	\$ 5,970,000	\$ 6,220,000	\$ 6,460,000
Less Principal Payments				
Funded by Bond Refinancing	(47,250,000)	-		
Department of Transportation Note	-	-		
Total Debt Service	\$ 4,385,000	\$ 5,970,000	\$ 6,220,000	\$ 6,460,000