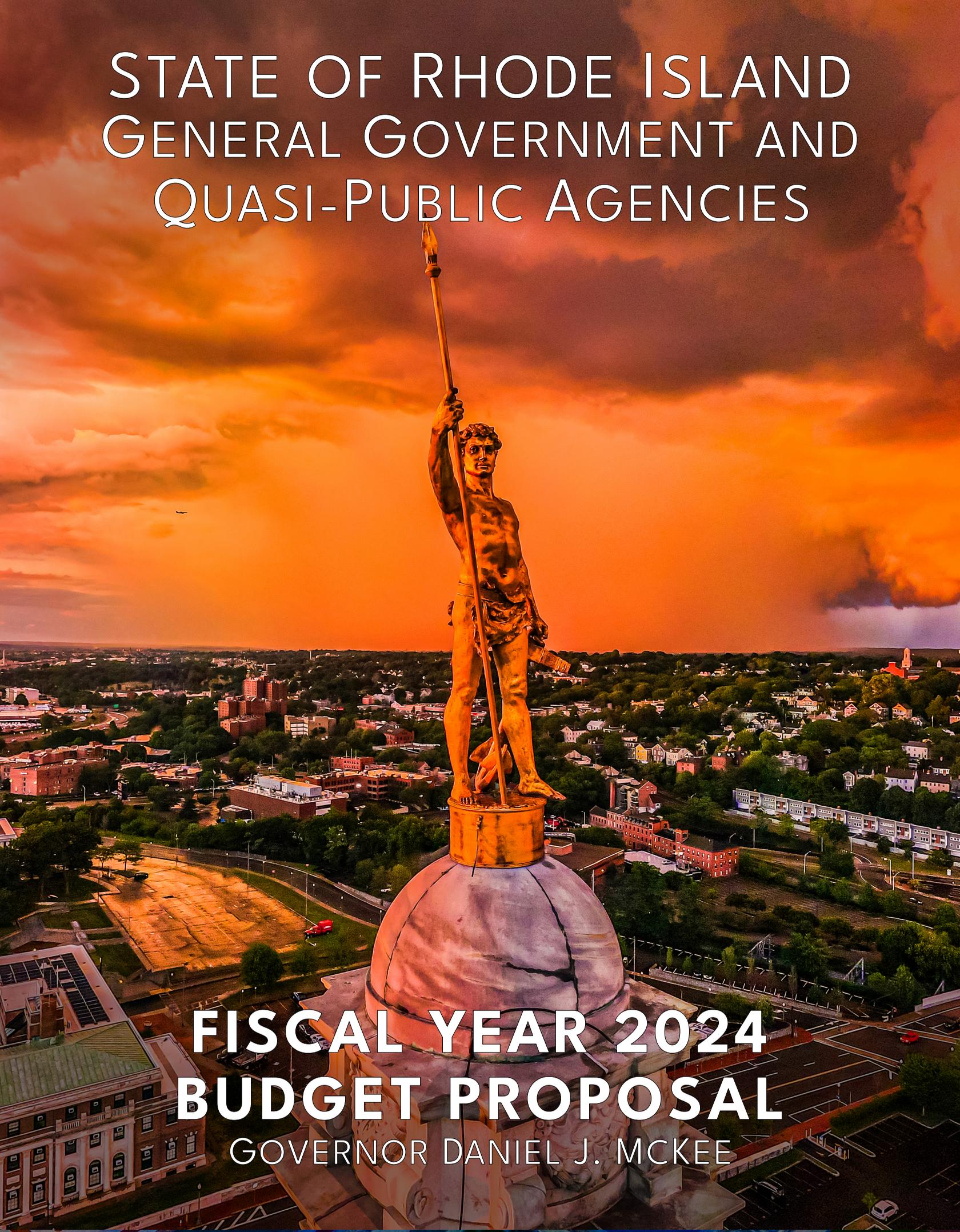


STATE OF RHODE ISLAND GENERAL GOVERNMENT AND QUASI-PUBLIC AGENCIES



**FISCAL YEAR 2024
BUDGET PROPOSAL**
GOVERNOR DANIEL J. MCKEE

**VOLUME I:
GENERAL
GOVERNMENT &
QUASI PUBLIC
AGENCIES**

Volume I: General Government & Quasi-Public Agencies

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General Government

General Government Function Summary

Expenditures by Agency	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Board of Elections	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
Department of Administration	1,184,967,994	1,684,800,964	1,359,099,374	1,652,527,060	1,343,643,084
Department of Business Regulation	24,669,726	26,836,385	38,496,313	37,408,626	42,322,903
Department of Housing	0	0	0	0	182,155,943
Department of Labor and Training	2,621,118,882	783,316,484	634,453,996	611,290,000	529,467,421
Department of Revenue	572,274,024	758,143,749	947,718,512	900,496,149	770,572,035
Executive Office of Commerce	113,501,215	162,351,034	242,690,331	270,263,081	265,444,642
General Assembly	41,091,346	44,045,908	50,462,193	59,063,369	53,088,776
Office of Lieutenant Governor	1,003,177	1,073,989	1,353,568	1,366,773	1,411,331
Office of the General Treasurer	17,490,557	19,118,279	22,848,869	22,781,819	23,706,976
Office of the Governor	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Public Utilities Commission	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Rhode Island Commission for Human Rights	1,756,263	1,836,227	2,152,745	2,207,190	2,183,303
Rhode Island Ethics Commission	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
Secretary of State	16,513,489	12,611,236	15,177,507	15,439,873	14,873,460
Total Expenditures	4,615,279,502	3,516,557,196	3,343,460,061	3,602,551,994	3,257,172,345
Expenditures by Object					
Salary and Benefits	599,132,801	611,087,688	693,281,464	685,321,387	707,180,979
Contract Professional Services	105,175,672	83,758,165	77,463,899	75,017,064	73,781,152
Operating Supplies and Expenses	426,201,065	522,658,383	937,364,166	884,460,435	632,411,678
Assistance and Grants	2,776,115,363	999,977,026	739,098,730	775,126,353	662,824,451
Subtotal: Operating	3,906,624,902	2,217,481,262	2,447,208,259	2,419,925,239	2,076,198,260
Capital Purchases and Equipment	15,754,375	14,520,765	133,033,607	160,566,765	153,541,657
Aid to Local Units of Government	209,058,203	200,753,739	307,716,087	307,921,303	317,332,728
Debt Service (Fixed Charges)	169,455,486	157,668,769	194,539,833	191,912,130	253,047,926
Operating Transfers	314,386,536	926,132,660	260,962,275	522,226,557	457,051,774
Subtotal: Other	708,654,600	1,299,075,934	896,251,802	1,182,626,755	1,180,974,085
Total Expenditures	4,615,279,502	3,516,557,196	3,343,460,061	3,602,551,994	3,257,172,345
Expenditures by Source of Funds					
General Revenue	628,516,549	1,271,835,525	823,045,318	1,077,518,028	886,947,860
Federal Funds	2,532,885,812	867,062,789	850,451,425	852,537,339	674,147,174
Restricted Receipts	80,596,869	99,766,600	178,712,986	206,954,432	141,455,029
Operating Transfers From Other Funds	55,133,682	68,030,045	122,249,282	136,888,642	184,934,546
Other Funds	1,318,146,590	1,209,862,237	1,369,001,050	1,328,653,553	1,369,687,736
Total Expenditures	4,615,279,502	3,516,557,196	3,343,460,061	3,602,551,994	3,257,172,345
FTE Authorization	2,441.9	2,454.4	2,494.4	2,494.4	2,529.4

Agency Summary

Department of Administration

Agency Mission

To manage the State's financial, human, technological, physical and other resources in support of other state agencies carrying out their responsibilities to provide the citizens of the State of Rhode Island with the most responsive and cost-effective services possible. To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the Department are efficiently organized and implemented.

Agency Description

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The Department also provides policy direction for executive leadership in a variety of financial and administrative matters. It is responsible for the statewide implementation of policy decisions and delivery of services administered and supported by the state. The Department, headed by the Director of Administration, includes the programmatic functions of Central Management, Legal Services, Accounts and Control, Management and Budget, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Capital Asset Management and Maintenance (DCAMM), Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources, HealthSource RI (RI Health Benefits Exchange) and various Internal Services Programs.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I. General Laws § 42-11 establishes and provides for the organization and functions of the Department of Administration.

Budget

Department of Administration

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	162,688,326	272,082,107	286,394,889	274,332,193	141,028,647
Accounts and Control	4,959,134	14,386,232	12,777,715	14,236,605	19,767,213
Office of Management and Budget	9,019,040	8,382,172	9,983,685	10,156,490	11,053,032
Purchasing	4,246,510	4,479,151	4,763,131	4,378,799	4,927,613
Human Resources	405,879	510,529	755,922	786,404	937,996
Personnel Appeal Board	88,132	73,692	143,059	112,875	100,881
General	252,825,985	655,215,082	162,368,359	426,037,163	239,532,610
Debt Service Payments	193,626,737	181,838,497	194,639,833	192,012,130	218,147,926
Internal Service Programs	467,502,056	480,570,787	538,763,744	555,016,530	572,600,562
Legal Services	2,869,182	2,162,677	2,374,193	2,316,300	2,440,410
Information Technology	13,361,077	4,297,200	55,310,500	64,391,789	34,554,831
Library and Information Services	2,613,863	4,637,527	3,891,709	4,115,877	3,476,305
Planning	4,893,843	4,436,832	6,613,080	6,074,404	6,606,016
Statewide Personnel and Operations	4,602,414	0	15,350,000	15,000,000	0
Energy Resources	7,271,339	10,823,956	20,761,450	37,679,475	43,703,108
Rhode Island Health Benefits Exchange (HealthSource RI)	12,074,723	23,366,068	32,769,377	33,036,824	30,596,653
The Division of Equity, Diversity, and Inclusion	933,796	1,124,178	1,628,413	1,546,187	2,007,320
Capital Asset Management and Maintenance	40,985,958	16,414,276	9,810,315	11,297,015	12,161,961
Total Expenditures	1,184,967,995	1,684,800,964	1,359,099,374	1,652,527,060	1,343,643,084
<i>Internal Services</i>	<i>[467,502,056]</i>	<i>[480,570,787]</i>	<i>[538,763,744]</i>	<i>[555,016,530]</i>	<i>[572,600,562]</i>
Expenditures by Object					
Salary and Benefits	406,861,875	405,572,109	464,958,378	461,478,817	468,206,027
Contract Professional Services	38,805,744	33,629,454	33,668,010	36,862,409	37,596,712
Operating Supplies and Expenses	130,219,439	125,047,539	332,352,123	335,092,107	199,345,135
Assistance and Grants	131,253,066	84,307,424	82,263,924	104,911,295	118,406,316
Subtotal: Operating	707,140,124	648,556,525	913,242,435	938,344,628	823,554,190

Budget

Department of Administration

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Capital Purchases and Equipment	13,732,952	11,618,136	126,266,384	149,824,580	142,247,100
Aid to Local Units of Government	11,844,545	11,240,841	12,850,722	12,850,722	13,593,868
Debt Service (Fixed Charges)	168,827,917	157,041,200	194,539,833	191,912,130	253,047,926
Operating Transfers	283,422,457	856,344,261	112,200,000	359,595,000	111,200,000
Subtotal: Other	477,827,870	1,036,244,439	445,856,939	714,182,432	520,088,894
Total Expenditures	1,184,967,995	1,684,800,964	1,359,099,374	1,652,527,060	1,343,643,084
Expenditures by Source of Funds					
General Revenue	350,166,983	823,590,658	270,317,985	512,083,251	306,972,201
Federal Funds	268,960,473	286,932,303	342,012,430	337,623,440	236,893,542
Restricted Receipts	23,421,193	32,219,534	88,035,853	113,638,395	48,620,086
Operating Transfers From Other Funds	54,804,878	61,430,156	119,849,555	134,063,593	178,447,631
Other Funds	487,614,468	480,628,313	538,883,551	555,118,381	572,709,624
Total Expenditures	1,184,967,994	1,684,800,964	1,359,099,374	1,652,527,060	1,343,643,084
FTE Authorization	647.7	650.7	662.7	662.7	674.7

Personnel Agency Summary

Department of Administration

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	640.7	58,484,792	653.7	62,170,528
Unclassified	22.0	2,641,165	21.0	2,721,093
Subtotal	662.7	61,125,957	674.7	64,891,621
Transfer Out		(1,174,191)		(1,332,369)
Transfer In		227,170		245,796
Salaries Adjustment		70,239		56,374
Overtime		1,366,475		1,371,072
Seasonal/Special Salaries/Wages		132,486		135,513
Turnover		(1,862,674)		(1,662,883)
Total Salaries		59,885,462		63,705,124
Benefits				
Contract Stipends		621,345		143,131
FICA		4,929,560		5,189,048
Health Benefits		9,251,903		9,953,197
Other		6,000,000		6,000,000
Payroll Accrual		0		358,238
Retiree Health		2,630,078		2,826,415
Retirement		16,893,724		18,583,643
Subtotal		40,326,610		43,053,672
Total Salaries and Benefits	662.7	100,212,072	674.7	106,758,796
Cost Per FTE Position		151,218		158,232
Statewide Benefit Assessment		2,314,374		2,465,360
Payroll Costs	662.7	102,526,446	674.7	109,224,156
Purchased Services				
Buildings and Ground Maintenance		2,952,500		3,092,250
Clerical and Temporary Services		492,514		387,631
Design and Engineering Services		361,757		456,739
Information Technology		11,544,351		11,447,986
Legal Services		348,376		344,928
Management & Consultant Services		18,118,791		17,954,529
Other Contracts		3,003,120		3,875,649
Training and Educational Services		41,000		37,000
Subtotal		36,862,409		37,596,712
Total Personnel	662.7	139,388,855	674.7	146,820,868

Personnel Agency Summary

Department of Administration

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	200.6	30,361,628	209.6	30,976,051
Federal Funds	13.0	7,699,097	13.0	8,617,173
Restricted Receipts	27.0	14,260,782	28.0	16,075,666
Operating Transfers from Other Funds	5.0	4,252,364	5.0	4,579,757
Other Funds	417.1	82,814,984	419.1	86,572,221
Total All Funds	662.7	139,388,855	674.7	146,820,868

Program Summary

Department of Administration

Central Management

Mission

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates. To ensure that programs of the department are efficiently organized and implemented. To select the best candidates for recommendation for appointment to the Judiciary.

Description

Central Management is comprised of three major functions: Director's Office, Central Business Office, and Judicial Nominating Commission. The Director's Office provides for the overall operation of the department and provides assistance to all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance, and Financial Administration. They also provide administrative assistance to the Office of the Governor. The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration to ensure maximum use of state and federal resources. The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. Pursuant to R.I. General Laws § 8-16.1, the commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Statutory History

The legal mandates of the Department of Administration are outlined in R.I. General Laws § 42-11-2. The Judicial Nominating Commission was created by RIGL § 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees.

Budget

Department of Administration

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Director's Office	31,447,425	271,048,009	285,307,327	273,117,801	139,588,883
Financial Management	131,226,968	1,027,809	1,065,601	1,192,431	1,416,803
Judicial Nominating Committee	13,932	6,289	21,961	21,961	22,961
Total Expenditures	162,688,326	272,082,107	286,394,889	274,332,193	141,028,647
Expenditures by Object					
Salary and Benefits	2,403,506	1,984,136	2,302,298	2,082,416	2,754,602
Contract Professional Services	3,220,743	2,316,095	2,256,400	2,305,400	481,400
Operating Supplies and Expenses	1,707,770	3,779,274	198,829,131	186,923,877	47,772,145
Assistance and Grants	125,496,818	0	20,000,000	20,018,000	7,018,000
Subtotal: Operating	132,828,837	8,079,504	223,387,829	211,329,693	58,026,147
Capital Purchases and Equipment	129,807	2,603	7,060	2,500	2,500
Debt Service (Fixed Charges)	95,982	0	0	0	0
Operating Transfers	29,633,700	264,000,000	63,000,000	63,000,000	83,000,000
Subtotal: Other	29,859,489	264,002,603	63,007,060	63,002,500	83,002,500
Total Expenditures	162,688,326	272,082,107	286,394,889	274,332,193	141,028,647
Expenditures by Source of Funds					
General Revenue	3,621,551	3,358,039	4,896,389	4,759,396	3,599,152
Federal Funds	159,066,775	268,724,068	281,498,500	269,572,797	137,429,495
Total Expenditures	162,688,326	272,082,107	286,394,889	274,332,193	141,028,647

Personnel

Department of Administration

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	1.0	106,719	1.0	109,388
ASSISTANT BUSINESS MANAGEMENT OFFICER	0319 A	2.0	96,358	2.0	101,696
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0141 A	1.0	106,785	2.0	220,609
ASSOCIATE DIRECTOR (DHS) DIV OF MANAGEMENT SERVICES	0146 A	1.0	172,282	1.0	176,505
BUSINESS MANAGEMENT OFFICER	0126 A	2.0	123,248	2.0	126,330
CHIEF FINANCIAL OFFICER III	0147 A	1.0	135,857	1.0	135,857
CHIEF IMPLEMENTATION AIDE	0128 A	3.0	225,430	3.0	241,189
CHIEF PUBLIC AFFAIRS OFFICER	0137 A	1.0	96,079	1.0	102,108
FISCAL MANAGEMENT OFFICER	0B26 A	1.0	77,096	1.0	79,024
PRINCIPAL INFORMATION AND PUBLIC RELATIONS SPECIALIST	0126 A	1.0	58,434	1.0	61,943
PROGRAMMING SERVICES OFFICER	0131 A	1.0	66,369	1.0	82,265
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	0.0	0	1.0	83,562
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	0000 A	(2.0)	0	(2.0)	0
Subtotal Classified		13.0	1,264,657	15.0	1,520,476
Unclassified					
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0743 A	1.0	75,824	1.0	147,500
DEPUTY CHIEF OF STAFF/POLICY	0845 A	1.0	139,380	1.0	148,601
DEPUTY DIRECTOR- DEPARTMENT OF ADMINISTRATION	0850 A	1.0	155,331	1.0	164,979
DIRECTOR OF ADMINISTRATION	0946KF	1.0	165,967	1.0	175,368
PRINCIPAL TECHNICAL SUPPORT ANALYST	5229 A	1.0	105,273	1.0	107,847
Subtotal Unclassified		5.0	641,775	5.0	744,295
Subtotal		18.0	1,906,432	20.0	2,264,771
Transfer Out			(454,374)		(539,586)
Overtime			47,690		37,700
Turnover			(161,688)		(19,642)
Total Salaries			1,338,060		1,743,243

Personnel

Department of Administration

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		1,950		0
FICA		95,603		126,684
Health Benefits		167,667		226,675
Payroll Accrual		0		9,896
Retiree Health		57,807		77,090
Retirement		370,359		503,645
Subtotal		693,386		943,990
Total Salaries and Benefits	18.0	2,031,446	20.0	2,687,233
Cost Per FTE Position		112,858		134,362
Statewide Benefit Assessment		50,970		67,369
Payroll Costs	18.0	2,082,416	20.0	2,754,602
Purchased Services				
Clerical and Temporary Services		45,000		45,000
Information Technology		249,000		249,000
Legal Services		6,400		6,400
Management & Consultant Services		2,000,000		0
Other Contracts		1,000		181,000
Training and Educational Services		4,000		0
Subtotal		2,305,400		481,400
Total Personnel	18.0	4,387,816	20.0	3,236,002
Distribution by Source of Funds				
General Revenue	18.0	4,387,816	20.0	3,236,002
Total All Funds	18.0	4,387,816	20.0	3,236,002

Performance Measures

Department of Administration

Central Management

Budget Accountability

DOA Central Management is committed to modeling best practices in budgeting. This measure indicates whether DOA ran a budget deficit in a given year, and if so, by how much.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	\$0	\$0	\$0	\$0	\$0
Actual	\$0	\$0	\$0	--	--

Program Summary

Department of Administration

Accounts and Control

Mission

To provide various stakeholders with timely financial reporting that is consistent with generally accepted accounting principles. To establish policies and procedures to foster sound accounting practices and internal controls. To continually enhance and maintain the centralized accounting system to promote fiscal integrity across all State agencies. To support state operations by centrally processing financial transactions in a timely and accurate manner.

Description

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures. The major activities of the Office of Accounts and Control include the central administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the processing of payroll for all State employees; the preaudit of state receipts and expenditures; the approval of vouchers drawn on the treasury; the preparation of financial statements in accordance with generally accepted accounting principles; the management of federal fiscal proposals and guidelines and serving as the state clearinghouse for the application of federal grants; and the identification of federal grant-funding opportunities to support the governor's and general assembly's major policy initiatives and providing technical assistance with the application process and post-award grants management. This office is also responsible for the preparation and/or coordination of several publications, including the: Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Statutory History

R.I. General Laws § 35-6 establishes the statutory basis for this program. Other legal references are provided in RIGL § 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46. RIGL § 35-1.1-5 assigns to the controller various functions related to the management of federal grants formerly assigned to the Office of Management and Budget.

Budget

Department of Administration

Accounts and Control

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Accounts & Control	4,959,134	8,292,628	7,479,379	7,607,025	8,020,346
Pandemic Recovery Office (PRO)	0	6,093,604	5,298,336	6,629,580	11,746,867
Total Expenditures	4,959,134	14,386,232	12,777,715	14,236,605	19,767,213
Expenditures by Object					
Salary and Benefits	4,038,346	4,785,306	6,097,757	6,125,704	6,715,144
Contract Professional Services	84,118	684,909	5,499,135	6,967,706	7,810,596
Operating Supplies and Expenses	834,364	8,905,781	1,167,823	1,124,595	5,222,873
Subtotal: Operating	4,956,828	14,375,996	12,764,715	14,218,005	19,748,613
Capital Purchases and Equipment	2,306	10,236	13,000	18,600	18,600
Subtotal: Other	2,306	10,236	13,000	18,600	18,600
Total Expenditures	4,959,134	14,386,232	12,777,715	14,236,605	19,767,213
Expenditures by Source of Funds					
General Revenue	4,858,321	4,475,899	5,211,103	5,097,305	5,315,642
Federal Funds	23,690	6,094,173	5,298,336	6,629,580	11,746,867
Restricted Receipts	77,124	3,816,161	2,268,276	2,509,720	2,704,704
Total Expenditures	4,959,134	14,386,232	12,777,715	14,236,605	19,767,213

Personnel

Department of Administration

Accounts and Control

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTING CONTROL SPECIALIST (DOA)	0331 A	3.0	235,473	3.0	253,574
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	0324 A	8.0	472,035	8.0	491,654
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	1.0	78,950	1.0	97,887
ADMINISTRATOR, FINANCIAL MANAGEMENT	0139 A	1.0	95,552	1.0	101,595
ADMINISTRATOR, FINANCIAL MANAGEMENT	0141 A	1.0	105,299	1.0	113,682
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	0141 A	1.0	112,730	1.0	121,222
ASSOCIATE CONTROLLER	0143 A	2.0	267,189	2.0	273,868
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	0147 A	1.0	139,042	1.0	148,245
BUYER I (DOA/OP)	0324 A	1.0	54,133	1.0	57,300
CENTRAL ACCOUNTS PAYABLE SUPERVISING PREAUDIT CLK (DOA)	0328 A	2.0	149,734	2.0	153,478
CHIEF IMPLEMENTATION AIDE	0128 A	2.0	143,809	2.0	147,405
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	2.0	241,482	2.0	259,382
CHIEF PUBLIC AFFAIRS OFFICER	0137 A	1.0	89,248	1.0	94,897
CONTROLLER	0148 A	1.0	170,028	1.0	174,173
DATA ANALYST I	0134 A	1.0	73,958	1.0	84,898
DATA ANALYST III	0142 A	1.0	73,340	1.0	119,337
DEPUTY DIRECTOR OF OMB	0151 A	1.0	165,828	1.0	176,047
FINANCIAL REPORTING MANAGER (OFFICE OF ACCOUNTS & CONTROL)	0139 A	3.0	303,305	3.0	320,771
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	4.0	376,191	4.0	427,173
PROGRAMMING SERVICES OFFICER	0131 A	1.0	72,850	1.0	77,426
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	2.0	139,090	2.0	174,049
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	0135 A	2.0	175,592	2.0	184,479
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	0136 A	2.0	188,614	2.0	209,714
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	0000 A	(1.0)	0	(1.0)	0
Subtotal Classified		43.0	3,923,472	43.0	4,262,256
Unclassified					
SUPERVISING ACCOUNTANT	0831 A	1.0	72,824	1.0	77,405
Subtotal Unclassified		1.0	72,824	1.0	77,405
Subtotal		44.0	3,996,296	44.0	4,339,661
Transfer Out			(122,326)		(130,064)
Turnover			(53,717)		(52,704)

Personnel

Department of Administration

Accounts and Control

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Salaries		3,820,253		4,156,893
Benefits				
Contract Stipends		19,500		3,000
FICA		290,911		315,123
Health Benefits		569,587		624,368
Payroll Accrual		0		24,124
Retiree Health		171,148		187,891
Retirement		1,103,403		1,239,546
Subtotal		2,154,549		2,394,052
Total Salaries and Benefits	44.0	5,974,802	44.0	6,550,945
Cost Per FTE Position		135,791		148,885
Statewide Benefit Assessment		150,902		164,199
Payroll Costs	44.0	6,125,704	44.0	6,715,144
Purchased Services				
Information Technology		958,335		958,335
Legal Services		45,000		45,000
Management & Consultant Services		5,707,371		6,349,261
Other Contracts		257,000		458,000
Subtotal		6,967,706		7,810,596
Total Personnel	44.0	13,093,410	44.0	14,525,740
Distribution by Source of Funds				
General Revenue	34.0	4,365,636	34.0	4,523,740
Federal Funds	10.0	6,284,495	10.0	7,387,843
Restricted Receipts	0.0	2,443,279	0.0	2,614,157
Total All Funds	44.0	13,093,410	44.0	14,525,740

Performance Measures

Department of Administration

Accounts and Control

Timeliness of Invoice Payments

Invoices are processed in the state's finance system by Accounts and Control's Centralized Accounts Payable division. Each invoice is paid based on the vendor's agreed upon terms, but the invoice must be approved by its corresponding agency before it can be paid. The figures below represent the percentage of invoices paid within 30 days from the date it was entered into the system.

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Target	99%	99%	99%	99%	99%
Actual	99.10%	98.96%	98.84%	--	--

Program Summary

Department of Administration

Office of Management and Budget

Mission

To anticipate and provide ongoing fiscal analysis, management support, and analytical research to the Governor, state departments and agencies, the General Assembly, and taxpayers. This includes establishing a robust public finance and management system that manages an accountable and transparent data-driven budget process, monitoring state departments' and agencies' performance and use of public funds, applying strategic planning and continuous improvement principles to maximize and align limited state resources to effectuate the State's policy goals, and ensuring the State's regulatory environment does not inhibit growth.

Description

The Office of Management and Budget (OMB) serves as the executive branch's principal agency to provide credible, accurate, financial information and objective insight to maximize finite resources. OMB develops, coordinates and monitors the State's operating and capital budgets, maintains a performance-based and data-driven process for resource allocation, monitors federal grants to ensure compliance with state and federal requirements, and evaluates and reforms the current regulatory environment to make it easier to do business in the State. The OMB includes the following key functions: Budget Office: Formulates, prepares and executes the state's operating and capital budgets, analyzing department operating and capital funding requests, developing revenue and caseload estimates, and providing testimony before the General Assembly and bond rating agencies; Strategic Management: Assists departments and agencies with strategic planning and continuous improvement processes to enhance management capacity; Performance Management: Develops and monitors performance measures for each department and program to align limited resources with results. Regulatory Reform: Evaluates and reforms state and local regulatory environment to remove barriers to economic growth while protecting taxpayer interests. Office of Internal Audit: Enhance and better protect organizational value by providing risk-based and objective assurance, advice, and insight across all executive branch departments and educational institutions.

Statutory History

R.I. General Laws § 35-1.1 created OMB. RIGL § 35-3 establishes the Budget Office's responsibility for the executive budget, including the preparation and submission of the Governor's budget to the general assembly; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. RIGL § 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. RIGL § 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. RIGL § 42-35 moves the Office of Regulatory Reform from the Economic Development Corporation to OMB. RIGL § 35-7.1 reorganizes the Bureau of Audits, a program within the Department of Administration, as the Office of Internal Audit, a sub-program within OMB.

Budget

Department of Administration

Office of Management and Budget

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Budget Office	3,014,486	3,713,708	3,914,546	4,130,674	4,320,616
Director, Office of Mgt and Budget	1,616,415	817,635	808,525	782,811	840,051
Federal Grants Management	7	7,850	0	0	0
Office of Internal Audit	3,952,256	3,405,580	4,678,599	4,425,777	4,693,654
Office of Regulatory Reform	425,014	436,726	582,015	504,597	558,122
Performance Management	10,862	674	0	312,631	640,589
Total Expenditures	9,019,040	8,382,172	9,983,685	10,156,490	11,053,032
Expenditures by Object					
Salary and Benefits	7,681,329	7,019,440	8,468,521	8,691,304	9,388,840
Contract Professional Services	198,079	181,205	442,175	442,175	453,175
Operating Supplies and Expenses	1,131,192	1,123,580	1,057,889	1,007,911	1,192,917
Assistance and Grants	0	18,000	0	0	0
Subtotal: Operating	9,010,601	8,342,224	9,968,585	10,141,390	11,034,932
Capital Purchases and Equipment	8,439	39,948	15,100	15,100	18,100
Subtotal: Other	8,439	39,948	15,100	15,100	18,100
Total Expenditures	9,019,040	8,382,172	9,983,685	10,156,490	11,053,032
Expenditures by Source of Funds					
General Revenue	7,175,700	7,311,203	8,354,324	8,597,239	9,431,527
Federal Funds	784,542	127,878	101,250	101,250	101,250
Restricted Receipts	77,156	0	300,000	300,000	300,000
Operating Transfers from Other Funds	981,642	943,092	1,228,111	1,158,001	1,220,255
Total Expenditures	9,019,040	8,382,172	9,983,685	10,156,490	11,053,032

Personnel

Department of Administration

Office of Management and Budget

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0128 A	1.0	64,035	1.0	68,019
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0141 A	1.0	102,750	1.0	105,319
ASSISTANT TO THE DIRECTOR (DHS)	0136 A	1.0	97,697	1.0	100,139
BUDGET AND POLICY ANALYST	0832 A	8.0	623,723	8.0	662,512
CHIEF BUDGET AND POLICY ANALYST	0146 A	2.0	308,488	2.0	316,200
CHIEF BUREAU OF AUDITS	0146 A	1.0	143,520	1.0	153,192
CHIEF DATA ANALYST	0145 A	0.0	0	1.0	136,298
CHIEF ECONOMIC AND POLICY ANALYST	0142 A	1.0	112,942	1.0	121,431
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	63,853	1.0	67,830
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	117,610	1.0	126,246
DATA ANALYST I	0834 A	1.0	83,257	1.0	88,573
DATA ANALYST III	0142 A	4.0	467,195	4.0	498,326
DATABASE ADMINISTRATOR	0148 A	1.0	157,931	1.0	161,879
DEPUTY BUDGET OFFICER	0149 A	1.0	146,899	1.0	150,571
DEPUTY CHIEF BUREAU OF AUDITS	0143 A	2.0	248,794	2.0	266,308
DEPUTY DIRECTOR OF OMB	0151 A	1.0	174,490	1.0	178,852
EXECUTIVE DIRECTOR (DOA)/BUDGET OFFICER	0152 A	1.0	169,816	1.0	179,857
FRAUD INTERNAL AUDITOR (DOA)	0128 A	1.0	63,689	1.0	65,281
FRAUD INTERNAL AUDITOR (DOA)	0327 A	3.0	188,339	3.0	195,932
INTERNAL AUDIT MANAGER (DOA)	0136 A	1.0	86,209	1.0	88,364
INTERNAL AUDITOR (DOA)	0327 A	3.0	198,509	3.0	203,473
PRINCIPAL BUDGET AND POLICY ANALYST	0840 A	1.0	110,876	1.0	116,916
PRINCIPAL ECONOMIC AND POLICY ANALYST	0138 A	1.0	92,283	2.0	194,351
PROGRAMMING SERVICES OFFICER	0131 A	1.0	80,902	1.0	82,925
SENIOR BUDGET AND POLICY ANALYST	0836 A	3.0	267,313	3.0	284,670
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	1.0	80,561	2.0	143,918
SENIOR INTERNAL AUDITOR (DOA)	0131 A	8.0	641,892	8.0	660,631
SENIOR MANAGEMENT AND METHODS ANALYST	0125 A	1.0	66,873	1.0	68,545
SR FRAUD INTERNAL AUDITOR (DOA)	0131 A	1.0	75,189	1.0	80,543
SR FRAUD INTERNAL AUDITOR (DOA)	0140 A	1.0	99,034	1.0	101,510
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	0000 A	(3.0)	0	(3.0)	0
Subtotal Classified		51.0	5,134,669	54.0	5,668,611
Unclassified					
CHIEF STRATEGIC PLANNING MONITORING & EVALUATOR (GOV OFFICE)	0141 A	1.0	102,750	0.0	0

Personnel

Department of Administration

Office of Management and Budget

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
CONFIDENTIAL SECRETARY	0722 A	1.0	54,954	1.0	58,231
DIRECTOR- OFFICE OF MANAGEMENT & BUDGET	0852 A	1.0	178,764	1.0	190,475
Subtotal Unclassified		3.0	336,468	2.0	248,706
Subtotal		54.0	5,471,137	56.0	5,917,317
Transfer In			26,774		28,469
Turnover			0		(45,000)
Total Salaries			5,497,911		5,900,786
Benefits					
Contract Stipends			24,000		(507)
FICA			415,766		444,147
Health Benefits			708,147		756,603
Payroll Accrual			0		34,243
Retiree Health			246,304		266,712
Retirement			1,582,008		1,753,768
Subtotal			2,976,225		3,254,966
Total Salaries and Benefits		54.0	8,474,136	56.0	9,155,752
Cost Per FTE Position			156,928		163,496
Statewide Benefit Assessment			217,168		233,088
Payroll Costs		54.0	8,691,304	56.0	9,388,840
Purchased Services					
Management & Consultant Services			442,175		452,675
Other Contracts			0		500
Subtotal			442,175		453,175
Total Personnel		54.0	9,133,479	56.0	9,842,015
Distribution by Source of Funds					
General Revenue		53.0	7,614,312	55.0	8,260,594
Federal Funds		0.0	91,875	0.0	91,875
Restricted Receipts		0.0	300,000	0.0	300,000
Operating Transfers from Other Funds		1.0	1,127,292	1.0	1,189,546
Total All Funds		54.0	9,133,479	56.0	9,842,015

Performance Measures

Department of Administration

Office of Management and Budget

OIA Performance Audits

The Office of Internal Audits (OIA) conducts performance audits of state departments, agencies, and private entities to evaluate if state resources are being used efficiently and effectively. The figures below represent the number of performance audits conducted annually.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Frequency: Annual					
Target	--	--	--	5	6
Actual	8	9	3	--	--

Budget Program Performance Measures

OMB is in the process of updating performance metrics for Executive Branch agencies. The figures below represent the percentage of applicable budget programs that have performance measures included with their budget proposal.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Frequency: Annual					
Target	--	--	--	90%	100%
Actual	49%	50%	57%	98%	--

Timeliness of Budget Office Reporting

The Budget Office has an assortment of work products with statutorily mandated public reporting requirements. The figures below represent the percentage of Budget Office reports that were filed/submitted within the required statutory deadlines.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Frequency: Annual					
Target	--	--	--	100%	100%
Actual	33%	71%	67%	--	--

Timeliness of Regulatory Review

Executive Order 15-07 requires regulatory agencies to submit all regulatory actions to the Office of Management and Budget (OMB) for review and approval in accordance with RIGL 42-35-3(a)(1). OMB has thirty (30) calendar days to review each submission, with a goal of averaging fewer than twenty (20) calendar days per action. The figures below represent the average number of days it took OMB to complete its reviews.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Frequency: Annual					
Target	--	--	--	20	20
Actual	15	13	15	--	--

Program Summary

Department of Administration

Purchasing

Mission

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and conform to rules, regulations and ethical standards relating to purchasing activities. The program ensures that user agencies obtain goods and services in a cost-effective manner without sacrificing quality and standards. The program ensures public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program to enhance transparency. The program ensures the fullest participation of women and minority business enterprises in state-funded and directed construction programs and projects and state purchases of goods and services.

Description

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management and vendor information. Procurement solicits bids or requests for proposal and requests for quotes for services and supplies, supports price negotiations and implements affirmative action programs. Operational support services include purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. Standards and Specifications works with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public. The Division continues to streamline operations by using legal counsel to standardize front end solicitation documents. This will provide greater uniformity for all users of the procurement process including the Division, agencies, architects and bidders. In addition, the utilization of the Lean process review for Request for Proposals will result in several efficiencies over the upcoming months.

Statutory History

The Purchasing program was established under R.I. General Laws § 42-11-2. Operation of the state purchasing program is set forth in RIGL § 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the Lamb Legislation), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. RIGL § 37-2-17.2 requires the institution of an electronic Vendor Information Program. In 2011, in accordance with RIGL § 37-2-18(b) and the proposed Purchasing Regulations (Effective on or about 1/11/11), which provides that, beginning January 1, 2014, all bid proposals for public works projects are required to include a copy to be available for public inspection upon the opening of the bids. Any bid proposal that does not include a copy for public inspection shall be deemed to be non-responsive. RIGL § 37-2-18(b) and (j).

Budget

Department of Administration

Purchasing

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Purchasing	4,246,510	4,479,151	4,763,131	4,378,799	4,927,613
Total Expenditures	4,246,510	4,479,151	4,763,131	4,378,799	4,927,613
Expenditures by Object					
Salary and Benefits	3,503,769	3,672,888	3,921,855	3,755,956	4,164,194
Contract Professional Services	51,783	87,523	250	250	100,250
Operating Supplies and Expenses	688,652	720,976	841,026	622,593	663,169
Subtotal: Operating	4,244,204	4,481,387	4,763,131	4,378,799	4,927,613
Capital Purchases and Equipment	2,306	(2,237)	0	0	0
Subtotal: Other	2,306	(2,237)	0	0	0
Total Expenditures	4,246,510	4,479,151	4,763,131	4,378,799	4,927,613
Expenditures by Source of Funds					
General Revenue	3,746,633	3,515,600	3,830,668	3,416,691	3,868,405
Federal Funds	42,010	0	0	0	0
Restricted Receipts	0	430,896	381,474	384,878	446,294
Operating Transfers from Other Funds	457,868	532,655	550,989	577,230	612,914
Total Expenditures	4,246,510	4,479,151	4,763,131	4,378,799	4,927,613

Personnel

Department of Administration

Purchasing

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0124 A	1.0	60,556	1.0	62,865
ASSET PROTECTION OFFICER	0324 A	1.0	61,265	1.0	62,797
ASSISTANT BUYER (DOA/DIVISION OF PURCHASES)	0322 A	8.0	443,267	8.0	466,619
BUYER I (DOA/OP)	0324 A	3.0	164,133	3.0	173,652
BUYER II (DOA/OP)	0327 A	2.0	140,038	2.0	144,193
CHIEF BUYER (DOA/OP)	0132 A	5.0	423,639	5.0	441,158
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	79,095	1.0	81,073
CONTR & SPECIFICIN ASST ADMIN	0136 A	2.0	202,383	2.0	210,719
DEPUTY PURCHASING AGENT	0141 A	1.0	114,064	2.0	236,258
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	4.0	431,177	4.0	443,917
PROGRAMMING SERVICES OFFICER	0131 A	1.0	88,992	2.0	162,816
PURCHASING AGENT	0145 A	1.0	157,783	1.0	161,670
SENIOR BUYER (DOA/OP)	0329 A	2.0	145,505	2.0	151,864
Subtotal Classified		32.0	2,511,897	34.0	2,799,601
Subtotal		32.0	2,511,897	34.0	2,799,601
Transfer Out			(194,022)		(204,168)
Turnover			(20,344)		(52,795)
Total Salaries			2,297,531		2,542,638
Benefits					
Contract Stipends			27,750		3,169
FICA			176,927		193,907
Health Benefits			393,502		433,878
Payroll Accrual			0		14,757
Retiree Health			102,931		114,925
Retirement			666,564		760,487
Subtotal			1,367,674		1,521,123
Total Salaries and Benefits		32.0	3,665,205	34.0	4,063,761
Cost Per FTE Position			114,538		119,522
Statewide Benefit Assessment			90,751		100,433
Payroll Costs		32.0	3,755,956	34.0	4,164,194
Purchased Services					
Clerical and Temporary Services			250		250
Management & Consultant Services			0		100,000
Subtotal			250		100,250

Personnel

Department of Administration

Purchasing

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Personnel	32.0	3,756,206	34.0	4,264,444
Distribution by Source of Funds				
General Revenue	28.0	2,956,598	30.0	3,380,716
Restricted Receipts	0.0	222,378	0.0	283,794
Operating Transfers from Other Funds	4.0	577,230	4.0	599,934
Total All Funds	32.0	3,756,206	34.0	4,264,444

Performance Measures

Department of Administration

Purchasing

OSP Vendor Training

In February 2022, the all-digital solicitation module in Purchases' Ocean State Procures (OSP) software was launched. To maximize the number of qualified applicants able to participate in an open competition, the Division of Purchases has performed vendor outreach through live virtual training sessions as well as self-directed online trainings. The figures below represent the cumulative count of unique individuals (vendors) trained on OSP via live virtual training. [This is a new performance measure. Historical targets are not available.]

As OSP continues to roll-out over FY 2023 and 2024, additional performance measures will be introduced and reported publicly.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	200	350
Actual	--	--	76	--	--

Program Summary

Department of Administration

Human Resources

Mission

To make State Government an Employer of Choice in the State of Rhode Island by building, maintaining and developing a skilled workforce committed to excellence that reflects the diversity and talent of our community. To provide Human Resources services to all State Departments in support of their missions. To continually assess and enhance the services provided to ensure efficiency, appropriateness and cost effectiveness.

Description

The Division of Human Resources is led by a Director of Human Resources whose office is responsible for the overall management of the quality of the State's Human Resources functions and services, including strategic planning, directing, developing and implementing a statewide program of personnel administration, payroll, recruitment, employee/labor relations, employee benefits/wellness, training and development and Workers' Compensation for State employees. The major activities of Human Resources include:

- Personnel Administration: Administration of the provisions of the law, rules and regulations relating to the operation of the merit system of personnel administration, including Training/Development and Classification/Civil Service Examinations.
- Labor Relations: Grievance processing, collective bargaining, contract interpretation and overall interactions between management and employees.
- Recruitment/Employment/Employee Services/Payroll and Data: Recruitment/hiring/onboarding, payroll processing, personnel transactions and administrative services.
- Agency Liaisons: Primary point of contact for all Departments while serving as a proactive advisor to Department leadership. Disability Management.
- Employee Benefits Administration: Responsible for the administration of benefit programs including, but not limited to medical, prescription drug, dental, vision, life insurance and disability plans for State employees and qualified retirees. The Office of Employee Benefits also develops, recommends and installs new/modified plans, ensures compliance with all applicable laws/regulations and oversees the State's wellness initiatives. State Employees Worker's Compensation.

Statutory History

R.I. General Laws § 36-3 and RIGL § 36-4 establish the basic principles of the Merit System Law, under which the Division of Human Resources operates. In the FY 2018 revised budget, the human resource program was shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Department of Administration

Human Resources

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Human Resources	405,879	510,529	755,922	786,404	937,996
Total Expenditures	405,879	510,529	755,922	786,404	937,996
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Expenditures by Object					
Salary and Benefits	0	0	183,430	183,434	198,644
Operating Supplies and Expenses	405,879	510,529	572,492	602,970	739,352
Subtotal: Operating	405,879	510,529	755,922	786,404	937,996
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Total Expenditures	405,879	510,529	755,922	786,404	937,996
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Expenditures by Source of Funds					
General Revenue	405,879	510,529	755,922	786,404	937,996
Total Expenditures	405,879	510,529	755,922	786,404	937,996

Personnel

Department of Administration

Human Resources

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Classified					
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	0143 A	1.0	116,337	1.0	124,990
Subtotal Classified		1.0	116,337	1.0	124,990
Subtotal		1.0	116,337	1.0	124,990
Total Salaries			116,337		124,990
Benefits					
FICA			8,900		9,561
Health Benefits			15,804		16,571
Payroll Accrual			0		725
Retiree Health			5,212		5,650
Retirement			32,586		36,210
Subtotal			62,502		68,717
Total Salaries and Benefits		1.0	178,839	1.0	193,707
Cost Per FTE Position			178,839		193,707
Statewide Benefit Assessment			4,595		4,937
Payroll Costs		1.0	183,434	1.0	198,644
Total Personnel		1.0	183,434	1.0	198,644
Distribution by Source of Funds					
General Revenue		1.0	183,434	1.0	198,644
Total All Funds		1.0	183,434	1.0	198,644

Program Summary

Department of Administration

Personnel Appeal Board

Mission

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board. To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law. To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Description

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board. The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson. The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Statutory History

R.I. General Laws § 36-3 through RIGL § 36-11 delineate the powers and duties of the Personnel Appeal Board.

Budget

Department of Administration

Personnel Appeal Board

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Personnel Appeal Board	88,132	73,692	143,059	112,875	100,881
Total Expenditures	88,132	73,692	143,059	112,875	100,881
Expenditures by Object					
Salary and Benefits	54,339	60,636	77,302	41,946	41,948
Contract Professional Services	31,275	11,338	53,000	68,996	57,000
Operating Supplies and Expenses	2,518	1,719	12,757	1,933	1,933
Subtotal: Operating	88,132	73,692	143,059	112,875	100,881
Total Expenditures	88,132	73,692	143,059	112,875	100,881
Expenditures by Source of Funds					
General Revenue	88,132	73,692	143,059	112,875	100,881
Total Expenditures	88,132	73,692	143,059	112,875	100,881

Personnel

Department of Administration

Personnel Appeal Board

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
MEMBER- PERSONNEL APPEAL BOARD	0541 F	0.0	28,800	0.0	28,800
Subtotal Unclassified		0.0	28,800	0.0	28,800
Subtotal		0.0	28,800	0.0	28,800
Total Salaries			28,800		28,800
Benefits					
Contract Stipends			450		0
FICA			2,200		2,200
Payroll Accrual			0		168
Retiree Health			1,292		1,300
Retirement			8,068		8,344
Subtotal			12,010		12,012
Total Salaries and Benefits		0.0	40,810	0.0	40,812
Cost Per FTE Position			0		0
Statewide Benefit Assessment			1,136		1,136
Payroll Costs		0.0	41,946	0.0	41,948
Purchased Services					
Clerical and Temporary Services			12,496		7,500
Legal Services			56,000		49,000
Other Contracts			500		500
Subtotal			68,996		57,000
Total Personnel		0.0	110,942	0.0	98,948
Distribution by Source of Funds					
General Revenue		0.0	110,942	0.0	98,948
Total All Funds		0.0	110,942	0.0	98,948

Program Summary

Department of Administration

General

Mission

To maintain a statewide accounting of all expenditures, which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Description

The General program consists of funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration. It should be noted that the Department's Rhode Island Capital Plan Fund appropriations are included under this program. Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I. General Laws § 9-31, which provides that the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state, provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state, settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sums.

Statutory History

The existence of General has no statutory basis, but rather reflects a combination of appropriations which support various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I. General Laws § 9-31.

Budget

Department of Administration

General

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Capital Projects	11,320,311	16,752,645	105,012,637	124,822,062	189,183,742
Economic Development	87,382,331	0	0	0	0
General	140,832,788	563,374,476	43,700,000	286,095,000	700,000
Grants & Other Payments	1,025,618	63,423,023	805,000	2,269,379	36,055,000
State Aid to Local Communities	12,264,938	11,664,938	12,850,722	12,850,722	13,593,868
Total Expenditures	252,825,985	655,215,082	162,368,359	426,037,163	239,532,610
Expenditures by Object					
Contract Professional Services	1,771,531	1,656,917	0	0	0
Operating Supplies and Expenses	1,951,816	1,758,715	5,700,000	700,000	700,000
Assistance and Grants	636,655	62,875,116	24,165,095	30,629,444	54,415,065
Subtotal: Operating	4,360,002	66,290,749	29,865,095	31,329,444	55,115,065
Capital Purchases and Equipment	8,254,746	10,088,492	71,652,542	86,461,997	135,823,677
Aid to Local Units of Government	11,844,545	11,240,841	12,850,722	12,850,722	13,593,868
Debt Service (Fixed Charges)	0	0	0	0	35,000,000
Operating Transfers	228,366,692	567,595,000	48,000,000	295,395,000	0
Subtotal: Other	248,465,983	588,924,334	132,503,264	394,707,719	184,417,545
Total Expenditures	252,825,985	655,215,082	162,368,359	426,037,163	239,532,610
Expenditures by Source of Funds					
General Revenue	133,290,555	638,087,961	56,655,722	300,515,101	49,648,868
Federal Funds	87,382,331	0	33,360,095	38,360,065	53,360,065
Restricted Receipts	832,788	374,476	700,000	700,000	700,000
Operating Transfers from Other Funds	11,320,311	16,752,645	71,652,542	86,461,997	135,823,677
Other Funds	20,000,000	0	0	0	0
Total Expenditures	252,825,985	655,215,082	162,368,359	426,037,163	239,532,610

Program Summary

Department of Administration

Debt Service Payments

Mission

To maintain a statewide accounting of all general obligation debt service and other short and long term obligations of the state and quasi-state agencies.

Description

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax-supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation, issued by the state. Moreover, this program encompasses leases or trust agreements, securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Statutory History

R.I. General Laws § 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

Budget

Department of Administration

Debt Service Payments

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Certificates of Participation	27,106,852	22,691,669	23,968,050	23,968,050	20,152,750
General Obligation Bonds	108,449,662	112,313,107	121,230,407	118,155,878	134,807,810
Other Debt Service	58,013,237	46,785,686	49,341,376	49,788,202	63,087,366
Tax Anticipation/S T Borrowing	56,986	48,035	100,000	100,000	100,000
Total Expenditures	193,626,737	181,838,497	194,639,833	192,012,130	218,147,926
Expenditures by Object					
Operating Supplies and Expenses	56,986	48,035	100,000	100,000	100,000
Subtotal: Operating	56,986	48,035	100,000	100,000	100,000
Debt Service (Fixed Charges)	168,731,935	157,041,200	194,539,833	191,912,130	218,047,926
Operating Transfers	24,837,816	24,749,261	0	0	0
Subtotal: Other	193,569,751	181,790,462	194,539,833	191,912,130	218,047,926
Total Expenditures	193,626,737	181,838,497	194,639,833	192,012,130	218,147,926
Expenditures by Source of Funds					
General Revenue	155,691,415	142,340,286	153,991,095	151,363,392	182,821,772
Operating Transfers from Other Funds	37,935,322	39,498,211	40,648,738	40,648,738	35,326,154
Total Expenditures	193,626,737	181,838,497	194,639,833	192,012,130	218,147,926

Program Summary

Department of Administration

Internal Service Programs

Mission

To provide the most cost-effective delivery of goods and services to other state programs.

Description

Various services needed by state-operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis. Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), state employee health fund, other post-employment benefits (OPEB) and the assessed fringe benefit fund. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies. Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles and operates several fuel depots throughout the state. The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies. The State Employee Health Fund provides for the administration and distribution of active and retiree healthcare costs statewide. In FY 15 the Department moved the worksite wellness program and employee benefits contracts used for auditing, employee bid preparation, and employee bid review to this fund. A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependents. In accordance with RIGL 36-12.1-5, the trust began operations in fiscal year 2011. In the FY 2018 revised budget, human resources, information technology, and facilities management transferred to internal service funds pursuant to the authorization by the General Assembly in the FY 2018 Appropriations Act.

Statutory History

The Director of Administration is authorized by R.I. General Laws § 35-5 to establish a system of rotary or rotating funds in any state department or agency. RIGL § 36-12.1-5 established the OPEB trust.

Budget

Department of Administration

Internal Service Programs

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Assessed Fringe Benefit Fund	44,367,656	36,229,378	37,370,321	37,384,711	37,390,672
Automotive Fleet Fund	12,336,792	13,529,424	12,869,107	12,886,486	13,069,648
Central Mail Fund	6,757,784	7,154,034	7,303,550	7,739,779	8,076,555
Central Utilities Fund	26,804,914	26,997,910	27,355,205	35,379,345	39,364,206
Health Insurance Fund	246,335,528	254,589,859	272,697,174	272,642,618	272,732,438
Human Resource Service Centers	12,418,892	15,732,587	15,991,654	16,005,094	17,117,623
Information Processing Fund	38,604,923	44,377,133	50,789,409	50,748,407	56,136,183
Other Post Employment Benefits	35,117,909	33,349,148	63,858,483	63,858,483	63,858,483
State Fleet Revolving Loan Fund	263,857	0	0	0	0
Statewide Facility Services	40,959,422	45,574,851	47,011,910	54,866,999	61,150,543
Surplus Property	2,285	1,014	3,000	44,789	44,789
Telecommunications Fund	3,532,093	3,035,450	3,513,931	3,459,819	3,659,422
Total Expenditures	467,502,056	480,570,787	538,763,744	555,016,530	572,600,562
<i>Internal Services</i>	<i>[467,502,056.07]</i>	<i>[480,570,787]</i>	<i>[538,763,744]</i>	<i>[555,016,530]</i>	<i>[572,600,562]</i>
Expenditures by Object					
Salary and Benefits	372,301,131	375,433,958	428,363,633	426,111,082	429,093,120
Contract Professional Services	10,779,786	12,457,158	14,187,899	15,554,422	16,351,910
Operating Supplies and Expenses	83,201,348	91,366,637	94,339,148	111,466,267	125,219,688
Assistance and Grants	1,178	0	491,476	491,476	491,476
Subtotal: Operating	466,283,444	479,257,753	537,382,156	553,623,247	571,156,194
Capital Purchases and Equipment	954,756	1,313,034	181,588	193,283	244,368
Operating Transfers	263,857	0	1,200,000	1,200,000	1,200,000
Subtotal: Other	1,218,612	1,313,034	1,381,588	1,393,283	1,444,368
Total Expenditures	467,502,056	480,570,787	538,763,744	555,016,530	572,600,562
Expenditures by Source of Funds					
Other Funds	467,502,056	480,570,787	538,763,744	555,016,530	572,600,562
Total Expenditures	467,502,056	480,570,787	538,763,744	555,016,530	572,600,562

Personnel

Department of Administration

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTS AND CONTROL CENTRALIZED ACCOUNTING CLERK	0324 A	3.0	191,718	3.0	198,440
ADMINISTRATIVE SERVICES SPECIALIST (DOA)	0124 A	4.0	239,234	4.0	250,624
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	0140 A	6.0	731,388	6.0	756,766
ADMINISTRATOR- OPERATIONS MANAGEMENT	0141 A	4.0	484,813	4.0	507,504
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	2.0	171,255	2.0	184,719
ASSISTANT BUILDING AND GROUNDS OFFICER	0324 A	1.0	64,328	1.0	65,937
ASSISTANT BUILDING AND GROUNDS OFFICER	0824 A	3.0	190,579	3.0	195,343
ASSISTANT BUILDING AND GROUNDS OFFICER	3124 A	1.0	65,527	1.0	69,310
ASSISTANT DIRECTOR CENTRAL INFORMATION MANAGEMENT SVS	0143 A	1.0	159,049	1.0	162,959
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0141 A	1.0	102,750	1.0	105,319
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	0141 A	1.0	119,307	1.0	122,290
ASSISTANT RECORDS ANALYST	0319 A	1.0	61,717	1.0	63,260
ASSISTANT STATE BUILDING AND GROUNDS COORDINATOR	0830 A	1.0	73,994	1.0	79,878
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	0327 A	2.0	136,174	2.0	141,810
ASSISTANT SUPERVISOR COMPUTER OPERATIONS	0827 A	2.0	141,062	2.0	144,524
ASSOCIATE CONTROLLER	0143 A	1.0	130,336	1.0	133,594
ASST DIR STWIDE CAP ASSET PJ M	0152 A	2.0	343,457	2.0	352,043
AUTOMOTIVE MECHANIC	0314 G	1.0	51,365	1.0	52,648
BUILDING AND GROUNDS OFFICER	0828 A	4.0	302,555	4.0	315,817
BUILDING SUPERINTENDENT	0818 A	1.0	52,051	1.0	53,352
BUILDING SYSTEMS TECHNICIAN	0317 A	1.0	50,799	1.0	52,070
CARPENTER	0314 G	3.0	139,630	3.0	142,040
CHF OF INFORMATION TECHNOLOGY I	0151 A	5.0	855,281	5.0	897,582
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	0143 A	1.0	130,336	1.0	133,594
CHIEF IMPLEMENTATION AIDE	0128 A	9.0	678,917	9.0	700,737
CHIEF IMPLEMENTATION AIDE	0328 A	2.0	157,801	2.0	161,723
CHIEF OFFICE OF SYSTEMS PLANNING	0143 A	1.0	130,336	1.0	133,594
CHIEF OF HUMAN RESOURCES	0138 A	1.0	111,761	1.0	114,509
CHIEF OF HUMAN RESOURCES SERVICES	0133 A	1.0	101,063	1.0	103,577
CHIEF OF INTEGRATED FACILITIES MANAGEMENT	0143 A	1.0	130,336	1.0	133,594
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	132,685	1.0	138,448
CHIEF POWER PLANT OPERATOR	0325 A	1.0	55,079	1.0	56,456

Personnel

Department of Administration

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
CHIEF PREAUDIT SUPERVISOR	0131 A	1.0	93,037	1.0	95,364
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	95,366	1.0	97,751
CHIEF PROPERTY MANAGEMENT	0141 A	6.0	774,350	6.0	806,874
CLAIMS EXAMINER(ST EMP WRKS CO	0329 A	4.0	354,969	4.0	363,719
COMMUNITY HOUSEKEEPING AIDE	0314 A	1.0	52,802	1.0	54,122
COMMUNITY MAINTENANCE TECHNICIAN - ENVIRONMENTAL SERVS	0314 G	1.0	65,056	1.0	66,682
COMPUTER OPERATOR (OIP)	0316 A	4.0	172,750	4.0	181,389
CONTRACTS AND SPECIFICATIONS ADMINISTRATOR (DOT)	0139 A	1.0	95,552	1.0	97,941
COORDINATOR OF EMPLOYEE TRAINING	0138 A	1.0	109,785	1.0	112,402
DATABASE ADMINISTRATOR ADABAS/NATURAL	0138 A	1.0	104,668	1.0	107,285
DATABASE MANAGER (DB2)	0138 A	1.0	104,668	1.0	107,285
DATA CONTROL CLERK	0315 A	1.0	46,023	1.0	47,174
DATA ENTRY UNIT SUPERVISOR	0B21 A	1.0	72,160	1.0	73,898
DEPUTY CHIEF DIVISION OF FACILITIES MANAGEMENT	0137 A	4.0	447,598	4.0	463,139
DEPUTY PERSONNEL ADMINISTRATOR	0144 A	7.0	996,459	7.0	1,063,913
DIVISION DIRECTOR- CAPITAL ASSET MANAGEMENT AND MAINT	0152 A	1.0	173,003	1.0	183,018
ECONOMIC AND POLICY ANALYST I	0130 A	1.0	68,758	1.0	70,477
ELECTRICIAN	0316 G	4.0	201,005	4.0	203,800
EMPLOYEE BENEFITS SPECIALIST	0322 A	2.0	103,983	2.0	109,463
ENERGY CONSERVATION TECHNICIAN	0320 A	1.0	53,063	1.0	54,390
ENTERPRISE RESOURCE MANAGER (ORACLE ERP MANAGER)	0142 A	2.0	287,766	2.0	294,944
EXECUTIVE ASSISTANT	0118 A	1.0	49,848	1.0	51,094
EXECUTIVE DIRECTOR OF HUMAN RESOURCES/ PERSONNEL ADMIN	0150 A	1.0	168,966	1.0	173,190
FLEET OPERATIONS OFFICER	0130 A	1.0	85,529	1.0	87,668
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	0328 A	1.0	74,484	1.0	76,267
GROUNDS SUPERINTENDENT	0317 A	1.0	58,056	1.0	59,508
HUMAN RESOURCE PROGRAM ADMINISTRATOR	0139 A	6.0	662,230	7.0	798,304
HUMAN RESOURCES ANALYST I	0126 A	11.0	728,901	11.0	765,644
HUMAN RESOURCES ANALYST II (GENERAL)	0122 A	1.0	50,369	1.0	53,208
HUMAN RESOURCES ANALYST II (GENERAL)	0129 A	4.0	293,015	4.0	306,853
HUMAN RESOURCES ANALYST III (CLASS & ORGANIZ ANALYSIS)	0133 A	1.0	94,035	1.0	96,330
HUMAN RESOURCES ANALYST III (GENERAL)	0133 A	5.0	411,655	5.0	445,043

Personnel

Department of Administration

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
HUMAN RESOURCES ANALYST III (GENERAL)	0135 A	1.0	98,900	1.0	101,372
HUMAN RESOURCES BUSINESS PARTNER	0135 A	5.0	459,352	5.0	479,201
HUMAN RESOURCES COORDINATOR	0135 A	3.0	267,455	3.0	281,272
HUMAN RESOURCES TECHNICIAN	0122 A	26.0	1,425,775	26.0	1,486,424
HUMAN RESOURCES TECHNICIAN	0129 A	1.0	73,570	1.0	75,390
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0140 A	3.0	319,824	3.0	333,506
INFORMATION SYSTEMS GROUP COORDINATOR (OIP)	0138 A	1.0	104,668	1.0	107,285
INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	0143 A	22.0	2,993,524	22.0	3,104,503
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	8.0	877,325	8.0	922,605
INTERNET COMMUNICATIONS SPECIALIST	0328 A	1.0	67,101	1.0	71,901
JANITOR	0309 A	7.0	284,892	7.0	293,023
JUNIOR COMPUTER OPERATOR (OIP)	0313 A	1.0	51,634	1.0	52,926
LICENSED STEAMFITTER	0000 A	1.0	38,758	1.0	62,982
MAINTENANCE SUPERINTENDENT	0322 A	7.0	387,947	7.0	405,968
MAINTENANCE SUPERINTENDENT	0822 A	1.0	51,737	1.0	54,547
MECHANICAL AND ELECTRICAL SHOP SUPERVISOR	0326 A	3.0	201,684	3.0	208,826
PLUMBER	0316 G	1.0	44,616	1.0	44,616
PLUMBER SUPERVISOR	0320 G	1.0	47,653	1.0	47,653
POWER PLANT OPERATOR	3118 A	5.0	287,580	5.0	294,605
PRINCIPAL COMPUTER OPERATOR (OIP)	0324 A	1.0	61,265	1.0	62,797
PRINCIPAL ENERGY CONSERVATION TECHNICIAN	0328 A	1.0	78,432	1.0	80,393
PRINCIPAL ENVIRONMENTAL PLANNER	0329 A	1.0	90,835	1.0	93,057
PRINCIPAL JANITOR	0315 A	3.0	142,972	3.0	147,416
PROGRAMMER/ANALYST I (ADABAS/NATURAL)	0A28 A	1.0	77,944	1.0	79,893
PROGRAMMER/ANALYST I (COBOL/CICS)	0328 A	2.0	172,163	2.0	176,353
PROGRAMMER/ANALYST II (ADABAS/NATURAL)	0332 A	1.0	97,350	1.0	99,783
PROGRAMMER/ANALYST II (COBOL/CICS)	0332 A	1.0	82,851	1.0	84,922
PROGRAMMER/ANALYST III (COBOL/CICS)	0335 A	1.0	110,223	1.0	112,940
PROGRAMMER/ANALYST III (COBOL/CICS)	0835 A	2.0	188,838	2.0	193,558
PROGRAMMER/ANALYST III (ORACLE)	2835 A	2.0	177,786	2.0	182,230
PROGRAMMER/ANALYST III (UNIX/SQL)	0835 A	3.0	272,205	3.0	279,009
PROGRAMMER/ANALYST II (ORACLE)	0032 A	1.0	98,001	1.0	100,424
PROGRAMMER/ANALYST II (ORACLE)	0132 A	2.0	172,321	2.0	176,628
PROGRAMMER/ANALYST II (ORACLE)	0332 A	1.0	99,668	1.0	102,101

Personnel

Department of Administration

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
PROGRAMMER/ANALYST II (UNIX/SQL)	0132 A	2.0	174,594	2.0	178,919
PROGRAMMER/ANALYST II (UNIX/SQL)	0135 A	1.0	110,673	1.0	113,440
PROGRAMMER/ANALYST II (UNIX/SQL)	0332 A	10.0	908,363	10.0	934,369
PROGRAMMER/ANALYST I (ORACLE)	0328 A	1.0	71,302	1.0	73,085
PROGRAMMER/ANALYST I (UNIX/SQL)	0328 A	4.0	292,338	4.0	299,648
PROGRAMMER/ANALYST I (UNIX/SQL)	2928 A	1.0	63,501	1.0	65,089
PROGRAMMER/ANALYST MANAGER	0138 A	8.0	905,520	8.0	927,913
PROGRAMMING SERVICES OFFICER	0131 A	1.0	80,902	2.0	149,297
PROJECT MANAGER I (DOA)	0137 A	4.0	374,958	4.0	391,547
RISK MANAGEMENT COORDINATOR	0135 A	1.0	93,060	1.0	100,196
RISK MANAGER-INSURANCE	0137 A	2.0	208,144	2.0	213,348
SENIOR COMPUTER OPERATOR (OIP)	0322 A	2.0	115,825	2.0	120,364
SENIOR ENVIRONMENTAL SCIENTIST	0130 A	1.0	76,391	1.0	79,698
SENIOR HUMAN RESOURCES BUSINESS PARTNER	0137 A	6.0	633,284	6.0	663,017
SENIOR INFORMATION TECHNOLOGY PROJECT MANAGER (DOA)	0148 A	4.0	618,960	4.0	648,174
SENIOR JANITOR	0312 A	2.0	93,038	2.0	96,214
SENIOR LABOR RELATIONS HEARING OFFICER	0137 A	1.0	92,754	1.0	98,828
SENIOR LEGAL COUNSEL	0134 A	1.0	109,745	1.0	112,414
SENIOR MAINTENANCE TECHNICIAN	0314 G	11.0	512,379	11.0	520,731
SENIOR MAINTENANCE TECHNICIAN	3114 G	1.0	53,084	1.0	54,411
SENIOR PLANNER	0126 A	1.0	56,970	1.0	58,394
SENIOR SYSTEMS ANALYST	0126 A	1.0	62,841	1.0	66,414
STATE BUILDING AND GROUNDS COORDINATOR	0132 A	7.0	592,966	7.0	610,999
STATE FLEET ADMINISTRATOR	0139 A	1.0	108,272	1.0	110,979
SUPERVISING ACCOUNTANT	0131 A	1.0	77,067	1.0	82,925
SUPERVISOR CENTRAL MAIL SERVICES	0316 A	1.0	47,114	1.0	48,292
SUPERVISOR COMPUTER OPERATIONS	0328 A	3.0	221,639	3.0	227,150
SUPERVISOR OF FISCAL SERVICES (DEPT OF ADMINISTRATION)	0136 A	1.0	113,264	1.0	116,072
SUPERVISOR OF PAINTING PLASTERING MASONRY AND GLAZING	0323 A	1.0	73,925	1.0	75,774
SYSTEMS ADMINISTRATOR (BHDDH)	0139 A	1.0	108,272	1.0	110,979
SYSTEMS SUPPORT TECHNICIAN II	0321 A	1.0	64,951	1.0	66,527
SYSTEMS SUPPORT TECHNICIAN III	0324 A	3.0	198,609	3.0	205,366
TECHNICAL SUPPORT MANAGER (DOS/MVS)	0138 A	1.0	104,668	1.0	107,285
TECHNICAL SUPPORT MANAGER (UNIX/ NETWORKS/TELECOMMUNIC.)	0138 A	6.0	658,505	6.0	674,814

Personnel

Department of Administration

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
TECHNICAL SUPPORT SPECIALIST II (DOS/MVS)	0332 A	3.0	264,558	3.0	273,730
TECHNICAL SUPPORT SPECIALIST III (DOS/MVS)	0135 A	2.0	197,800	2.0	202,744
TECHNICAL SUPPORT SPECIALIST III (TELECOMMUNICATIONS)	0135 A	2.0	206,036	2.0	211,158
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0035 A	1.0	111,322	1.0	116,126
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0135 A	14.0	1,315,013	14.0	1,361,286
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0335 A	1.0	110,301	1.0	113,018
TECHNICAL SUPPORT SPECIALIST III (UNIX/ NETWORKS)	0835 A	1.0	92,902	1.0	99,859
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	0332 A	2.0	172,139	2.0	179,977
TECHNICAL SUPPORT SPECIALIST II (OS 400/ NETWORK)	0A32 A	1.0	106,950	1.0	109,567
TECHNICAL SUPPORT SPECIALIST II (TELECOMMUNICATIONS)	0332 A	3.0	245,586	3.0	254,766
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	0032 A	1.0	101,768	1.0	104,244
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	0132 A	2.0	176,524	2.0	180,936
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	0332 A	16.0	1,381,599	16.0	1,427,999
TECHNICAL SUPPORT SPECIALIST II (UNIX/ NETWORKS)	0832 A	1.0	84,265	1.0	86,372
TECHNICAL SUPPORT SPECIALIST I (TELECOMMUNICATIONS)	0328 A	1.0	81,013	1.0	82,974
TECHNICAL SUPPORT SPECIALIST I (UNIX/ NETWORKS)	0328 A	7.0	497,588	7.0	527,937
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR I	3121 A	3.0	148,614	3.0	158,995
WASTEWATER TREATMENT FACILITIES PROCESS MONITOR II	3124 A	1.0	70,455	1.0	72,217
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	0000 A	(11.9)	0	(11.9)	0
Subtotal Classified		412.1	37,085,752	414.1	38,658,886
Unclassified					
ADMINISTRATIVE ASSISTANT	0825 A	1.0	69,257	1.0	70,988
CHIEF DIGITAL OFFICER	0856 A	1.0	238,597	1.0	244,562
DATA PROCESSING SYSTEMS MANAGER	0836 A	1.0	120,658	1.0	123,674
DEPUTY DIRECTOR	0838 A	1.0	119,767	1.0	122,762
SUPERVISING ACCOUNTANT	0831 A	1.0	71,625	1.0	73,416

Personnel

Department of Administration

Internal Service Programs

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified				
Subtotal Unclassified	5.0	619,904	5.0	635,402
Subtotal	417.1	37,705,656	419.1	39,294,288
Transfer Out		(788,629)		(829,834)
Transfer In		782,824		883,191
Salaries Adjustment		163,239		156,374
Overtime		1,275,109		1,289,696
Seasonal/Special Salaries/Wages		100,086		103,113
Turnover		(1,444,387)		(1,460,742)
Total Salaries		37,793,898		39,436,086
Benefits				
Contract Stipends		484,388		118,050
FICA		3,249,904		3,351,220
Health Benefits		6,016,199		6,387,982
Other		6,000,000		6,000,000
Payroll Accrual		0		217,475
Retiree Health		1,641,748		1,730,088
Retirement		10,529,613		11,363,077
Subtotal		27,921,852		29,167,892
Total Salaries and Benefits	417.1	65,715,750	419.1	68,603,978
Cost Per FTE Position		157,554		163,694
Statewide Benefit Assessment		1,442,961		1,507,271
Payroll Costs	417.1	67,158,711	419.1	70,111,249
Purchased Services				
Buildings and Ground Maintenance		2,952,500		3,092,250
Clerical and Temporary Services		75,000		144,000
Design and Engineering Services		35,000		73,250
Information Technology		4,758,120		4,994,896
Legal Services		234,458		234,458
Management & Consultant Services		5,761,553		5,863,230
Other Contracts		1,730,791		1,942,826
Training and Educational Services		7,000		7,000
Subtotal		15,554,422		16,351,910
Total Personnel	417.1	82,713,133	419.1	86,463,159

Personnel

Department of Administration

Internal Service Programs

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
Other Funds	417.1	82,713,133	419.1	86,463,159
Total All Funds	417.1	82,713,133	419.1	86,463,159

Performance Measures

Department of Administration

Internal Service Programs

Digitalization of Processes

In an effort to modernize delivery of core functions, DOIT is working to digitalize all eligible processes. In FY 2022, DoIT identified nearly 150 systems that were appropriate for digitalization and conversion to paperless processes. The figures below represent the cumulative percentage of digitalization-appropriate processes to have been digitalized.

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	25%	50%
Actual	--	--	11.1%	--	--

Timeliness of Personnel Action Request (PAR) Processing

Personnel Action Requests (PARs) are submitted by agency directors to fill existing positions, create new positions, etc. The data below represent the average days from when PAR is initiated to when PAR is completed/approved. [Note: This is a new measure and historical targets are not available.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	9.0	9.0
Actual	14.3	10.4	9.4	--	--

Program Summary

Department of Administration

Legal Services

Mission

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in-house legal services and certain non-programmatic legal services, including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch. To coordinate the hiring of outside legal counsel in the Executive Branch in an efficient manner. To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner. To represent the Department of Administration in litigation; provide legal risk management services; and provide a central hearing office for the department and other agencies upon request. To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services. To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact. To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed, and requested by the Executive Branch.

Description

The Division of Legal Services establishes, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys across all Executive Branch departments and agencies.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

Budget

Department of Administration

Legal Services

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Legal Services	2,869,182	2,162,677	2,374,193	2,316,300	2,440,410
Total Expenditures	2,869,182	2,162,677	2,374,193	2,316,300	2,440,410
Expenditures by Object					
Salary and Benefits	1,725,480	1,936,601	2,177,944	2,041,882	2,198,673
Contract Professional Services	257,031	44,722	200	45,500	45,500
Operating Supplies and Expenses	886,672	179,054	188,574	223,918	191,237
Assistance and Grants	0	2,300	0	0	0
Subtotal: Operating	2,869,182	2,162,677	2,366,718	2,311,300	2,435,410
Capital Purchases and Equipment	0	0	7,475	5,000	5,000
Subtotal: Other	0	0	7,475	5,000	5,000
Total Expenditures	2,869,182	2,162,677	2,374,193	2,316,300	2,440,410
Expenditures by Source of Funds					
General Revenue	2,122,205	2,162,677	2,374,193	2,316,300	2,440,410
Federal Funds	746,978	0	0	0	0
Total Expenditures	2,869,182	2,162,677	2,374,193	2,316,300	2,440,410

Personnel

Department of Administration

Legal Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	0145 A	1.0	141,378	1.0	144,912
ADMINISTRATOR ADJUDICATION	0143 A	1.0	147,335	1.0	152,085
CHF LEGAL CONSL LITIGATION(DOA	0143 A	1.0	117,610	1.0	126,246
CHIEF LEGAL COUNSEL-STATE LABOR RELATIONS	0143 A	1.0	130,336	1.0	133,594
CHIEF LEGAL COUNSEL - TRANSACTIONS (DOA)	0143 A	1.0	131,263	1.0	140,274
CHIEF OF LEGAL SERVICES	0139 A	1.0	113,686	1.0	116,528
DEPUTY CHIEF OF LEGAL SERVICES	0137 A	1.0	90,627	1.0	96,348
IMPLEMENTATION AIDE	0122 A	1.0	51,040	1.0	53,790
LEGAL COUNSEL	0132 A	0.6	96,668	0.6	99,084
LEGAL COUNSEL	0134 A	1.0	109,745	1.0	112,414
PROGRAMMING SERVICES OFFICER	0131 A	1.0	80,902	1.0	82,925
SENIOR LEGAL COUNSEL	0134 A	2.0	167,282	2.0	178,578
Subtotal Classified		12.6	1,377,872	12.6	1,436,778
Subtotal		12.6	1,377,872	12.6	1,436,778
Transfer Out			(45,314)		(48,174)
Turnover			(28,746)		0
Total Salaries			1,303,812		1,388,604
Benefits					
FICA			99,719		106,219
Health Benefits			151,238		163,403
Payroll Accrual			0		8,058
Retiree Health			58,412		62,763
Retirement			377,199		414,775
Subtotal			686,568		755,218
Total Salaries and Benefits		12.6	1,990,380	12.6	2,143,822
Cost Per FTE Position			157,967		170,145
Statewide Benefit Assessment			51,502		54,851
Payroll Costs		12.6	2,041,882	12.6	2,198,673
Purchased Services					
Clerical and Temporary Services			42,700		42,700
Other Contracts			2,800		2,800
Subtotal			45,500		45,500
Total Personnel		12.6	2,087,382	12.6	2,244,173

Personnel

Department of Administration

Legal Services

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.6	2,087,382	12.6	2,244,173
Total All Funds	12.6	2,087,382	12.6	2,244,173

Performance Measures

Department of Administration

Legal Services

Legal Survey Response

Each year, DOA Legal surveys its clients within the Department of Administration on topics such as “quality of legal services,” “timeliness to response,” “soundness of legal advice,” etc. Respondents are asked to rate each question using a numeric scale of 0-4, with a “4” representing “very satisfied.” The figures below represent the overall average response received on the 0-4 scale. [Note: This data was not available in FY 2020 and FY 2021.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	--	--	--	4.0	4.0
Actual	--	--	3.93	--	--

Program Summary

Department of Administration

Information Technology

Mission

To enable State Government to meet its goals effectively and efficiently by providing leadership in the application of information technology and delivering secure, innovative, and reliable technology solutions in the most responsive and effective manner; and to continually address the ever-changing information technology landscape to improve access to information, to stimulate business activity and to ultimately address the needs of all Rhode Island citizens. The duties of ETSS are to:

- Manage the implementation of all new and mission critical technology infrastructure projects and upgrades for state agencies. The office of information technology, under ETSS, shall manage and support all day-to-day operations of the state's technology infrastructure, telecommunications, and associated applications.
- Manage the office of digital excellence in order to ensure that large scale technology projects are delivered in a timely manner in accordance with accepted best industry practices.
- To oversee the chief of library services and the office of library and information services to ensure that this office fulfills its statutory duties in an effective manner.
- Coordinate efforts with the director of administration in order to plan, allocate and implement projects supported by the information technology investment fund.
- Supervise all intellectual property created as a result of work undertaken by employees of ETSS to ensure that ownership of this intellectual property remains with the state. Any patents applied for shall be in the name of the state.

Description

ETSS includes ODE and OLIS. Within ETSS there is a Chief Digital Officer in the unclassified service who oversees and manages the division and is appointed by the Director of Administration. The Chief Digital Officer supervises the state's Chief Information Officer, Chief Technology Officer, Chief Information Security Officer, the Directors of Information Technology and all associated employees. The focus of ETSS is to lead the strategic technology decisions and efforts across all executive branch state agencies, identify opportunities to implement technology solutions across state agencies to prevent duplication of systems and effort, as well as effectively support these solutions in an efficient manner.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Division of Information Technology (DoIT) within the Department of Administration. R.I. General Laws § 42-11-2.5 Information technology investment fund established. RIGL § 42-11-2.6 Establishment of Office of Digital Excellence. RIGL § 42-11-2.7 July, 2016 Division of enterprise technology strategy and service established by General Assembly. In the FY 2018 revised recommendation, the majority of the information technology program is shifted to internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act.

Budget

Department of Administration

Information Technology

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Doit Operations	1,184,139	521,340	721,340	960,390	1,221,340
Executive Director-CIO	6,376,820	(1)	0	0	0
Information Technology	5,800,117	3,775,861	54,589,160	63,431,399	33,333,491
Total Expenditures	13,361,077	4,297,200	55,310,500	64,391,789	34,554,831
Expenditures by Object					
Salary and Benefits	82,360	(691)	0	0	0
Contract Professional Services	4,221,696	2,110,451	0	0	0
Operating Supplies and Expenses	4,696,525	2,034,301	1,021,340	1,360,390	1,521,340
Subtotal: Operating	9,000,582	4,144,061	1,021,340	1,360,390	1,521,340
Capital Purchases and Equipment	4,117,496	153,139	54,289,160	63,031,399	6,033,491
Operating Transfers	243,000	0	0	0	27,000,000
Subtotal: Other	4,360,495	153,139	54,289,160	63,031,399	33,033,491
Total Expenditures	13,361,077	4,297,200	55,310,500	64,391,789	34,554,831
Expenditures by Source of Funds					
General Revenue	1,520,406	521,340	721,340	960,390	28,221,340
Federal Funds	6,040,553	(1)	0	0	0
Restricted Receipts	5,800,117	3,775,861	54,589,160	63,431,399	6,333,491
Total Expenditures	13,361,077	4,297,200	55,310,500	64,391,789	34,554,831

Program Summary

Department of Administration

Library and Information Services

Mission

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state, including interlibrary loan and delivery. Distribute state funds for public library development, interlibrary cooperation, and resource sharing in accordance with law and regulation of the library board. Give assistance, advice, continuing education, and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities. Maintain and develop the Library of Rhode Island Network. Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries, including funds distributed under the federal Library Services and Technology Act. Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service. Provide for statewide reference service through online services of “AskRI”. Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

Description

The Office of Library and Information Services, under the direction of the Chief of Library Services, ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals on a statewide scale.

Statutory History

R.I. General Laws § 29-3.1 establishes the statutory basis for the Office of Library and Information Services.

Budget

Department of Administration

Library and Information Services

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Library Services	2,613,863	4,637,527	3,891,709	4,115,877	3,476,305
Total Expenditures	2,613,863	4,637,527	3,891,709	4,115,877	3,476,305
Expenditures by Object					
Salary and Benefits	1,306,369	1,650,790	1,730,072	1,707,592	1,803,198
Contract Professional Services	9,575	18,135	28,000	10,000	10,000
Operating Supplies and Expenses	1,082,435	1,180,908	1,353,387	1,430,542	1,477,112
Assistance and Grants	210,212	1,786,641	775,000	963,368	181,620
Subtotal: Operating	2,608,591	4,636,474	3,886,459	4,111,502	3,471,930
Capital Purchases and Equipment	5,272	1,053	5,250	4,375	4,375
Subtotal: Other	5,272	1,053	5,250	4,375	4,375
Total Expenditures	2,613,863	4,637,527	3,891,709	4,115,877	3,476,305
Expenditures by Source of Funds					
General Revenue	1,608,809	1,714,146	1,796,514	1,797,541	1,903,636
Federal Funds	1,005,054	2,923,131	2,088,205	2,311,346	1,565,679
Restricted Receipts	0	250	6,990	6,990	6,990
Total Expenditures	2,613,863	4,637,527	3,891,709	4,115,877	3,476,305

Personnel

Department of Administration

Library and Information Services

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Classified					
CHIEF OF LIBRARY SERVICES	0143 A	1.0	143,370	1.0	146,953
IMPLEMENTATION AIDE	AB22 A	1.0	56,906	1.0	58,329
INFORMATION SERVICES TECHNICIAN II	AB20 A	3.0	167,415	3.0	172,878
LIBRARY PROGRAM MANAGER I	0137 A	2.0	189,435	2.0	203,774
LIBRARY PROGRAM SPECIALIST II	AB28 A	3.0	202,378	3.0	210,162
LIBRARY PROGRAM SPECIALIST III	AB32 A	3.0	286,779	3.0	293,834
Subtotal Classified		13.0	1,046,283	13.0	1,085,930
Subtotal		13.0	1,046,283	13.0	1,085,930
Turnover			(25,000)		0
Total Salaries			1,021,283		1,085,930
Benefits					
Contract Stipends			24,600		(159)
FICA			79,163		83,073
Health Benefits			201,218		211,958
Payroll Accrual			0		6,301
Retiree Health			45,754		49,083
Retirement			295,234		324,120
Subtotal			645,969		674,376
Total Salaries and Benefits		13.0	1,667,252	13.0	1,760,306
Cost Per FTE Position			128,250		135,408
Statewide Benefit Assessment			40,340		42,892
Payroll Costs		13.0	1,707,592	13.0	1,803,198
Purchased Services					
Training and Educational Services			10,000		10,000
Subtotal			10,000		10,000
Total Personnel		13.0	1,717,592	13.0	1,813,198
Distribution by Source of Funds					
General Revenue		13.0	955,309	13.0	1,008,787
Federal Funds		0.0	762,283	0.0	804,411
Total All Funds		13.0	1,717,592	13.0	1,813,198

Performance Measures

Department of Administration

Library and Information Services

Professional Development for Library Staff

OLIS understands that a well-trained staff is necessary to provide the best information services to the public. The figure below represents the number of library staff participating in synchronous and asynchronous workshops and training opportunities. [Note: This is a new measure and historical targets are not available.]

*The unusually high total for FY 2020 reflects the large number of programs held virtually to update libraries on the pandemic and response.

	<i>Frequency: Annual</i>		<i>Reporting Period: Federal Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	1,388	1,416
Actual	4,240	1,412	1,361	--	--

Summer Reading Program

In order to help children maintain their reading skills over the summer months, OLIS offers a robust summer reading program. The figures below represent the number of children and teens participating in library-based summer reading programs statewide. [Note: This is a new measure and historical targets are not available.]

	<i>Frequency: Annual</i>		<i>Reporting Period: Federal Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	14,706	15,148
Actual	7,802	10,755	14,278	--	--

Talking Books Library Circulation

The Talking Books Library provides important services to blind and print-disabled Rhode Islanders. The figures below represent the number of physical audiobooks circulated and online audiobooks downloaded. [Note: This is a new measure and historical targets are not available.]

	<i>Frequency: Annual</i>		<i>Reporting Period: Federal Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	51,245	52,270
Actual	48,100	50,062	50,243	--	--

Program Summary

Department of Administration

Planning

Mission

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management; to prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan; to coordinate activities among state agencies, and economic development.

Description

The Division of Planning is comprised of three subprograms: Statewide Planning, Strategic Planning and Economic Development, and the Water Resources Board. Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. It also includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity and serves as the State's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding. Strategic Planning and Economic Development is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. It also is responsible for the development of the Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration. The Water Resources Board (WRB) is the State's water supply agency responsible for the proper use, development, protection and conservation of the State's water resources. The WRB manages the planning and development of the twenty-nine major water supply systems and manages the Big River Management Area, the State's primary water resource reservation area. The WRB also performs scientific research and capital projects required to fulfill its mission.

Statutory History

R.I. General Laws § 42-11 includes provisions relative to the division of planning. Other legal references are provided in RIGL § 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46. The FY 2018 revised recommendation shifts the Water Resource Board to the Division of Public Utilities and Carriers.

Budget

Department of Administration

Planning

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Statewide Planning	4,893,843	4,436,832	6,613,080	6,074,404	6,606,016
Total Expenditures	4,893,843	4,436,832	6,613,080	6,074,404	6,606,016
Expenditures by Object					
Salary and Benefits	2,659,911	2,713,632	3,664,423	3,075,650	3,620,182
Contract Professional Services	78,435	51,500	101,989	101,989	101,989
Operating Supplies and Expenses	672,988	361,998	323,118	373,215	360,295
Assistance and Grants	1,474,514	1,305,919	2,488,050	2,488,050	2,488,050
Subtotal: Operating	4,885,849	4,433,048	6,577,580	6,038,904	6,570,516
Capital Purchases and Equipment	7,995	3,784	35,500	35,500	35,500
Subtotal: Other	7,995	3,784	35,500	35,500	35,500
Total Expenditures	4,893,843	4,436,832	6,613,080	6,074,404	6,606,016
Expenditures by Source of Funds					
General Revenue	754,299	650,507	840,855	853,727	1,138,335
Federal Funds	29,809	82,772	3,050	3,050	3,050
Operating Transfers from Other Funds	4,109,735	3,703,552	5,769,175	5,217,627	5,464,631
Total Expenditures	4,893,843	4,436,832	6,613,080	6,074,404	6,606,016

Personnel

Department of Administration

Planning

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	1.0	82,266	1.0	87,428
ASSISTANT CHIEF OF PLANNING	0137 A	2.0	202,374	2.0	207,436
ASSOCIATE DIRECTOR- DIVISION OF PLANNING	0147 A	1.0	160,027	1.0	164,028
CHIEF IMPLEMENTATION AIDE	0128 A	2.0	158,948	2.0	164,757
DATA ANALYST I	0134 A	1.0	99,909	1.0	102,406
DATA ANALYST I	0334 A	0.0	0	1.0	85,353
DATA ANALYST II	0138 A	1.0	108,924	1.0	117,759
INTERDEPARTMENTAL PROJECT MANAGER	0839 A	1.0	110,776	1.0	118,650
INTERNET COMMUNICATIONS SPECIALIST	AB28 A	1.0	87,090	1.0	89,255
PRINCIPAL PLANNER	0829 A	5.0	365,168	5.0	374,292
PRINCIPAL RESEARCH TECHNICIAN	0827 A	1.0	69,256	1.0	70,988
PROGRAMMING SERVICES OFFICER	0131 A	1.0	80,902	2.0	160,145
STAFF DIRECTOR (WATER RESOURCES BOARD)	0137 A	1.0	115,413	1.0	118,196
SUPERVISING GEOGRAPHIC INFORMATION SYSTEM SPECIALIST	0332 A	1.0	74,730	1.0	79,330
SUPERVISING PLANNER	0831 A	3.0	224,351	3.0	229,958
Subtotal Classified		22.0	1,940,134	24.0	2,169,981
Subtotal		22.0	1,940,134	24.0	2,169,981
Transfer In			21,055		21,569
Overtime			5,385		5,385
Turnover			(128,792)		(32,000)
Total Salaries			1,837,782		2,164,935
Benefits					
Contract Stipends			33,600		19,578
FICA			140,307		164,449
Health Benefits			381,048		434,390
Payroll Accrual			0		12,530
Retiree Health			82,092		97,611
Retirement			528,437		641,387
Subtotal			1,165,484		1,369,945
Total Salaries and Benefits		22.0	3,003,266	24.0	3,534,880
Cost Per FTE Position			136,512		147,287
Statewide Benefit Assessment			72,384		85,302
Payroll Costs		22.0	3,075,650	24.0	3,620,182

Personnel

Department of Administration

Planning

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Design and Engineering Services		67,989		67,989
Management & Consultant Services		34,000		34,000
Subtotal		101,989		101,989
Total Personnel	22.0	3,177,639	24.0	3,722,171
Distribution by Source of Funds				
General Revenue	22.0	629,797	24.0	931,894
Operating Transfers from Other Funds	0.0	2,547,842	0.0	2,790,277
Total All Funds	22.0	3,177,639	24.0	3,722,171

Performance Measures

Department of Administration

Planning

Board Member Training

Outreach and education are critical services that the Division of Statewide Planning provides to Rhode Island municipalities. The numbers below represent the Planning, Zoning, & Historic District Commission members trained in the basics of sound land use decisions and associated planning issues.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	50	70	125	250
Actual	--	51	72	--	--

Water Supplier Outreach (WRB)

Frequent contact (defined as 3x or more per year) between planning staff and local water suppliers improves data provision, enhances information sharing, and ensures timely submission of Water System Supply Management Plans (WSSMPs). The figures below record the number suppliers receiving frequent contact.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	10	13
Actual	3	7	10	--	--

Program Summary

Department of Administration

Statewide Personnel and Operations

Mission

To address funding requirements associated with personnel and operating reforms throughout the state.

Description

This program is used to book proposed savings associated with personnel and operating reforms throughout the state. Often these savings give the state the ability to provide government with flexibility in hiring and managing personnel as well as address structural challenges. Operational reforms promote more efficiencies in state government and provide savings.

Statutory History

The Governor recommends these proposals as part of the FY 2023 Appropriations Act.

Budget

Department of Administration

Statewide Personnel and Operations

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Undistributed Statewide Adjustments	4,602,414	0	15,350,000	15,000,000	0
Total Expenditures	4,602,414	0	15,350,000	15,000,000	0
Expenditures by Object					
Salary and Benefits	4,602,414	0	0	0	0
Operating Supplies and Expenses	0	0	15,350,000	15,000,000	0
Subtotal: Operating	4,602,414	0	15,350,000	15,000,000	0
Total Expenditures	4,602,414	0	15,350,000	15,000,000	0
Expenditures by Source of Funds					
General Revenue	4,602,414	0	15,350,000	15,000,000	0
Total Expenditures	4,602,414	0	15,350,000	15,000,000	0

Personnel

Department of Administration

Statewide Personnel and Operations

FY 2023		FY 2024	
FTE	Cost	FTE	Cost

Program Summary

Department of Administration

Energy Resources

Mission

The mission of the Office of Energy Resources (OER) is to lead Rhode Island to a secure, cost-effective, and sustainable energy future. OER is actively supporting the development of a clean energy economy that will create jobs; foster new business investment opportunities; reduce long-term energy costs and mitigate price volatility; and enhance reliability through the diversification of our energy portfolio; and advance important environmental policy goals by reducing our reliance on carbon emitting sources of energy. OER's work involves the adoption of policies addressing energy efficiency and system reliability, renewable energy, petroleum reduction and reduction of carbon emissions. OER works at all levels - local, state, regional, and federal - to advance these and other State energy policy goals. To ensure that the federal programs overseen by the Office are up-to date in terms of administrative practices and are efficiently organized and implemented. To seek federal funding opportunities and implement grant-funded programs that establish Rhode Island as a national leader on energy policy and innovation. To work in partnership with municipalities and with the DOA Division of Capital Assets, Management and Maintenance to reduce public sector energy consumption and costs; increase the use of clean energy resources at public facilities; and reduce public sector carbon emissions. OER is also responsible for the tracking and management of State energy data. To lead, coordinate, and strengthen energy planning in Rhode Island by working in collaboration with various agencies and commissions including, but not limited to, the Energy Efficiency Resource Management Council (EERMC), the Distributed Generation Contracting Board (DG Board), the Executive Climate Change Coordinating Council, the State Planning Council, CommerceRI, the Department of Environmental Management, the Department of Administration, the Division of Public Utilities and Carriers, and the Public Utilities Commission. To support and manage the development of energy efficiency and renewable energy programs in the state in a coordinated integrated manner. To develop the Rhode Island Energy Assurance Plan to prepare for and respond to energy emergencies and enhance energy security.

Description

OER provides comprehensive and integrated development, administration and oversight of energy policies, plans, and programs to meet state and federal requirements and is responsible for applying for and administering federal energy grants. OER works closely with two appointed bodies, the EERMC and the DG Board. The EERMC provides publicly-accountable ratepayer oversight of energy efficiency programs; the OER Commissioner serves as the non-voting Executive Director of the EERMC. The DG Board oversees the Rhode Island Renewable Energy Growth Program and includes representatives from various energy stakeholder groups.

Statutory History

OER was created in 2006 to consolidate state energy programs. OER's mission expanded in 2012 as outlined in R.I. General Laws § 23-82-6, § 39-1-27.7 through § 10, and § 42-140.1.

Budget

Department of Administration

Energy Resources

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Energy Resources	7,271,339	10,823,956	20,761,450	37,679,475	43,703,108
Total Expenditures	7,271,339	10,823,956	20,761,450	37,679,475	43,703,108
Expenditures by Object					
Salary and Benefits	1,438,097	1,422,208	2,157,688	2,114,296	2,249,281
Contract Professional Services	579,595	574,202	1,072,374	1,312,823	954,754
Operating Supplies and Expenses	1,598,691	1,323,249	2,482,011	3,921,813	2,808,420
Assistance and Grants	3,426,689	7,500,866	15,021,794	30,302,960	37,663,070
Subtotal: Operating	7,043,072	10,820,524	20,733,867	37,651,892	43,675,525
Capital Purchases and Equipment	228,266	3,432	27,583	27,583	27,583
Subtotal: Other	228,266	3,432	27,583	27,583	27,583
Total Expenditures	7,271,339	10,823,956	20,761,450	37,679,475	43,703,108
Expenditures by Source of Funds					
Federal Funds	1,052,547	1,045,266	5,981,791	6,931,438	21,628,101
Restricted Receipts	6,218,792	9,778,690	14,779,659	30,748,037	22,075,007
Total Expenditures	7,271,339	10,823,956	20,761,450	37,679,475	43,703,108

Personnel

Department of Administration

Energy Resources

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	1.0	118,896	1.0	121,869
ADMINISTRATOR OF ENERGY PROGRAMS	0137 A	4.0	374,295	4.0	387,010
ASSISTANT DIRECTOR FOR SPECIAL PROJECTS	0141 A	1.0	113,367	1.0	121,850
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	80,121	1.0	85,203
CHIEF PUBLIC AFFAIRS OFFICER	0137 A	1.0	93,027	1.0	99,251
DEPUTY COMMISSIONER- OFFICE OF ENERGY RESOURCES	0145 A	1.0	127,367	1.0	136,298
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0140 A	1.0	118,572	1.0	121,416
PROGRAMMING SERVICES OFFICER	0131 A	4.0	291,597	4.0	309,905
Subtotal Classified		14.0	1,317,242	14.0	1,382,802
Unclassified					
COMMISSIONER OF ENERGY RESOURCES	0743 A	1.0	138,853	1.0	147,500
Subtotal Unclassified		1.0	138,853	1.0	147,500
Subtotal		15.0	1,456,095	15.0	1,530,302
Transfer Out			(166,292)		(170,390)
Transfer In			45,314		48,174
Seasonal/Special Salaries/Wages			32,400		32,400
Total Salaries			1,367,517		1,440,486
Benefits					
FICA			104,614		110,198
Health Benefits			143,677		150,641
Payroll Accrual			0		8,175
Retiree Health			59,815		63,645
Retirement			385,931		420,520
Subtotal			694,037		753,179
Total Salaries and Benefits		15.0	2,061,554	15.0	2,193,665
Cost Per FTE Position			137,437		146,244
Statewide Benefit Assessment			52,742		55,616
Payroll Costs		15.0	2,114,296	15.0	2,249,281
Purchased Services					
Clerical and Temporary Services			301,656		132,081
Design and Engineering Services			257,768		311,500
Management & Consultant Services			30,000		0
Other Contracts			703,399		491,173

Personnel

Department of Administration

Energy Resources

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Training and Educational Services		20,000		20,000
Subtotal		1,312,823		954,754
Total Personnel	15.0	3,427,119	15.0	3,204,035
Distribution by Source of Funds				
Federal Funds	2.0	527,733	2.0	333,044
Restricted Receipts	13.0	2,899,386	13.0	2,870,991
Total All Funds	15.0	3,427,119	15.0	3,204,035

Performance Measures

Department of Administration

Energy Resources

State Government Energy Consumption

As part of its efforts to provide cost-effective services, the Department of Administration is pursuing energy efficiency initiatives that will reduce overall consumption of gas and electricity by state agencies. The figures below illustrate baseline and projected energy consumption (natural gas and electricity), measured in million BTU (MMBTU).

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	1,580,524	1,517,303	1,456,611	1,398,346	1,342,413
Actual	1,418,203	1,399,766	1,384,269	--	--

Program Summary

Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Mission

The Rhode Island Health Benefits Exchange, branded HealthSource RI, serves two important purposes. First, to negotiate for high quality, affordable health insurance options on behalf of small businesses and individuals (in order to promote the health of all Rhode Islanders). Second, to be a robust resource for all Rhode Islanders and Rhode Island businesses to learn about and compare the quality and affordability of their health insurance options, enroll in coverage and, if eligible, access Medicaid or federal tax subsidies for coverage.

Description

HealthSource RI (HSRI) seeks to improve the health of Rhode Islanders by adhering to its mission statement and fulfilling its statutory charge to carry out the requirements of the federal Patient Protection and Affordable Care Act (ACA). The following are key programmatic components considered by HSRI to best exemplify the goals and values of the organization:

1. HSRI will be a place to compare and buy health insurance.
2. HSRI will work closely with small employers to provide new and beneficial health insurance options.
3. HSRI will be a reliable and trusted source of healthcare information for all Rhode Islanders.

Statutory History

The federal Patient Protection and Affordable Care Act of 2010 (the “ACA”) provides for the establishment of a health benefits exchange by each state. Governor Lincoln D. Chaffee established the Rhode Island Health Benefits exchange by Executive Order 11-09 in 2011. R.I. General Laws § 42-157 codified the Rhode Island Health Benefits Exchange into state law in 2015.

Budget

Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	12,074,723	23,366,068	32,769,377	33,036,824	30,596,653
Total Expenditures	12,074,723	23,366,068	32,769,377	33,036,824	30,596,653
Expenditures by Object					
Salary and Benefits	1,937,047	1,922,574	2,401,318	2,158,553	2,295,904
Contract Professional Services	9,359,025	9,393,904	10,007,176	10,033,736	11,194,038
Operating Supplies and Expenses	760,174	1,228,223	1,011,248	802,295	928,770
Assistance and Grants	0	10,818,582	19,322,509	20,017,997	16,149,035
Subtotal: Operating	12,056,245	23,363,283	32,742,251	33,012,581	30,567,747
Capital Purchases and Equipment	18,478	2,785	27,126	24,243	28,906
Subtotal: Other	18,478	2,785	27,126	24,243	28,906
Total Expenditures	12,074,723	23,366,068	32,769,377	33,036,824	30,596,653
Expenditures by Source of Funds					
General Revenue	1,300,147	3,008,664	4,077,880	3,765,539	3,484,018
Federal Funds	359,360	6,314,203	13,681,203	13,713,914	11,059,035
Restricted Receipts	10,415,216	14,043,201	15,010,294	15,557,371	16,053,600
Total Expenditures	12,074,723	23,366,068	32,769,377	33,036,824	30,596,653

Personnel

Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	2.0	181,650	2.0	186,192
CHIEF OF LEGAL SERVICES	0139 A	1.0	104,661	1.0	110,979
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0140 A	2.0	197,879	2.0	209,532
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	2.0	205,462	2.0	219,630
PROJECT MANAGER I (DOA)	0137 A	0.0	0	1.0	96,475
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	1.0	90,825	1.0	93,096
Subtotal Classified		8.0	780,477	9.0	915,904
Unclassified					
ADMINISTRATIVE ASSISTANT	0825 A	1.0	54,948	1.0	56,322
CHIEF STRATEGIC PLANNING MONITORING & EVALUATOR (GOV OFFICE)	8343 A	1.0	120,311	1.0	125,737
DEPUTY DIRECTOR OF HEALTHSOURCE RI	0845 A	1.0	146,331	1.0	156,594
DIRECTOR HEALTHSOURCE RI	0851 A	1.0	180,629	1.0	190,613
DIRECTOR OF FINANCE/ASSOCIATE ADMINISTRATOR	0844 A	1.0	147,249	1.0	150,930
SENIOR POLICY ANALYST	0839 A	1.0	93,933	1.0	98,170
Subtotal Unclassified		6.0	743,401	6.0	778,366
Subtotal		14.0	1,523,878	15.0	1,694,270
Transfer Out			(427,061)		(545,728)
Transfer In			266,758		288,989
Total Salaries			1,363,575		1,437,531
Benefits					
Contract Stipends			607		0
FICA			102,511		107,124
Health Benefits			183,811		193,042
Payroll Accrual			0		8,342
Retiree Health			61,091		64,978
Retirement			393,094		428,102
Subtotal			741,114		801,588
Total Salaries and Benefits		14.0	2,104,689	15.0	2,239,119
Cost Per FTE Position			150,335		149,275
Statewide Benefit Assessment			53,864		56,785
Payroll Costs		14.0	2,158,553	15.0	2,295,904
Purchased Services					

Personnel

Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Information Technology		5,578,896		5,245,755
Legal Services		6,518		10,070
Management & Consultant Services		4,143,692		5,155,363
Other Contracts		304,630		782,850
Subtotal		10,033,736		11,194,038
Total Personnel	14.0	12,192,289	15.0	13,489,942
Distribution by Source of Funds				
General Revenue	0.0	3,763,839	0.0	3,483,218
Federal Funds	0.0	32,711	0.0	0
Restricted Receipts	14.0	8,395,739	15.0	10,006,724
Total All Funds	14.0	12,192,289	15.0	13,489,942

Performance Measures

Department of Administration

Rhode Island Health Benefits Exchange (HealthSource RI)

Exchange-Eligible Uninsured Rhode Islanders

HealthSource RI (HSRI), Rhode Island's health benefits exchange, aims to make health insurance more accessible and affordable for Rhode Islanders and to reduce the number of uninsured residents. The percentages below represent the number of Rhode Islanders estimated to be uninsured, according to the Health Information Survey, conducted by the State of Rhode Island every other year.

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	4.0%	--	2.9%	--	3.7%
Actual	4.0%	--	2.9%	--	--

Federal Affordability Tax Credits

Beginning in January 2014, the Patient Protection and Affordable Care Act established premium support and cost-sharing subsidies for qualifying individuals and families to help offset the cost of health insurance. Qualifying Rhode Islanders without access to affordable employer-sponsored health insurance may purchase health insurance through HSRI and receive a federal advance premium tax credit to offset the cost of the monthly premium. The figures below represent the annual total dollar amount Rhode Islanders receive from the federal advance premium tax credits to lower their monthly premium payments through HealthSourceRI during the calendar year.

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	--	--	--	\$150,201,025	\$188,361,579
Actual	\$102,822,731	\$121,498,327	--	--	--

Total Program Enrollment

This measure sums the enrollees in the individual market with those in HealthSource RI for Employers. The target is higher in part due to the anticipated end in 2023 of the COVID-related policies that have delayed Medicaid terminations and slowed enrollment in the individual market. Actuals and targets are average monthly enrollment in the time period shown.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	--	--	--	41,032	48,244
Actual	38,485	37,638	38,044	--	--

Program Summary

Department of Administration

The Division of Equity, Diversity, and Inclusion

Mission

The mission of the Division of Equity Diversity and Inclusion is to create and support a diverse and inclusive state government culture that values and reflects the changing demographics of Rhode Island by advancing equitable and fair opportunities for all Rhode Island citizens to be employed by and/or do business with the State of Rhode Island. The mission of the State Equal Opportunity Office is to ensure equal opportunity compliance for all units of Rhode Island state government, as set forth by all federal agencies and Rhode Island General Laws, and to ensure non-discrimination and equal opportunity in all aspects of state government, including, but not limited to, employment, procurement, and policy relative to state programs, services, and activities. The mission of the Minority Business Enterprise Compliance Office is to support Rhode Island General Laws and policies that ensure the fullest possible participation of MBEs and Disadvantaged Business Enterprises (DBE) in state- and federally-funded and directed programs, construction projects, professional contracts, and in the purchases of all goods and services. The mission of the Outreach and Diversity Office is to create a culture that values a diverse workforce, promotes an inclusive workplace and provides quality customer service to all.

Description

The Division of Equity Diversity and Inclusion includes an Associate Director, a senior-level leadership position to promote coordination between the State Equal Opportunity Office, the Outreach and Diversity Office, the Minority Business Enterprise Compliance Office, and all state agencies. The Division of Equity Diversity and Inclusion works in partnership with the Division of Human Resources, the Division of Purchases, and with all state agencies on the state's equal opportunity, affirmative action, diversity, and MBE procurement goals. The State Equal Opportunity Office is charged with providing training and technical assistance requested by any company doing business in Rhode Island and to all state departments as is necessary to comply with the intent of RIGL § 28-5.1-2. The State Equal Opportunity Office is also charged with issuing any guidelines, directives or instructions necessary to effectuate its responsibilities under this chapter, and to investigate possible discrimination, hold hearings, and direct corrective action to said discrimination. The goals of the MBE Compliance Office are to increase the number of MBEs and DBEs available for contract competition, to maximize opportunity for business participation by MBEs and DBEs, and to stimulate MBE and DBE development and growth. The Outreach and Diversity Office offers diversity training to state employees and provides technical assistance in recruiting a talented and diverse workforce.

Statutory History

On May 9, 2013, Governor Lincoln D. Chafee issued Executive Order 13-05 entitled "Promotion of Diversity, Equal Opportunity and Minority Business Enterprises in Rhode Island." The Executive Order required the Director of the Department of Administration to review all divisions and offices within the Department charged with facilitation of equal opportunity employment, diversity, and MBE's, including, but not limited to, the Division of Human Resources, the State Equal Opportunity Office, the Human Resources Outreach and Diversity Office, the Division of Purchases, and the Minority Business Enterprise Compliance Office, and to make recommendations to the Governor to improve operations and collaboration between these offices and all executive departments to ensure these programs are more effective. The recommendations were required to encourage measures of quality and accountability in equal opportunity, affirmative action, and diversity hiring, as well as MBE procurement as allowed by law.

Budget

Department of Administration

The Division of Equity, Diversity, and Inclusion

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	933,796	1,124,178	1,628,413	1,546,187	2,007,320
Total Expenditures	933,796	1,124,178	1,628,413	1,546,187	2,007,320
Expenditures by Object					
Salary and Benefits	877,431	1,061,403	1,541,657	1,400,447	1,616,149
Contract Professional Services	0	0	0	0	10,000
Operating Supplies and Expenses	56,365	60,907	86,756	145,740	381,171
Subtotal: Operating	933,796	1,122,310	1,628,413	1,546,187	2,007,320
Capital Purchases and Equipment	0	1,868	0	0	0
Subtotal: Other	0	1,868	0	0	0
Total Expenditures	933,796	1,124,178	1,628,413	1,546,187	2,007,320
Expenditures by Source of Funds					
General Revenue	821,385	1,066,653	1,508,606	1,444,336	1,898,258
Federal Funds	0	0	0	0	0
Other Funds	112,412	57,525	119,807	101,851	109,062
Total Expenditures	933,796	1,124,178	1,628,413	1,546,187	2,007,320

Personnel

Department of Administration

The Division of Equity, Diversity, and Inclusion

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR STATE EQUAL OPPORTUNITY PROGRAMS	0134 A	1.0	81,476	1.0	86,621
ASSISTANT ADMINISTRATOR - MBE COMPLIANCE	0139 A	1.0	97,159	1.0	103,330
ASSOCIATE DIRECTOR- DIVERSITY- EQUITY AND OPPORTUNITY	0142 A	1.0	124,821	1.0	127,942
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	71,905	1.0	73,703
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	99,907	1.0	102,406
PROGRAMMING SERVICES OFFICER	0131 A	5.0	391,554	6.0	494,429
ZFTE RECONCILIATION TO AUTHORIZATION (CLASSIFIED)	0000 A	(1.0)	0	(1.0)	0
Subtotal Classified		9.0	866,822	10.0	988,431
Subtotal		9.0	866,822	10.0	988,431
Total Salaries			866,822		988,431
Benefits					
FICA			66,313		75,612
Health Benefits			145,282		169,797
Payroll Accrual			0		5,738
Retiree Health			38,833		44,676
Retirement			248,958		292,850
Subtotal			499,386		588,673
Total Salaries and Benefits		9.0	1,366,208	10.0	1,577,104
Cost Per FTE Position			151,801		157,710
Statewide Benefit Assessment			34,239		39,045
Payroll Costs		9.0	1,400,447	10.0	1,616,149
Purchased Services					
Other Contracts			0		10,000
Subtotal			0		10,000
Total Personnel		9.0	1,400,447	10.0	1,626,149
Distribution by Source of Funds					
General Revenue		8.0	1,298,596	9.0	1,517,087
Federal Funds		1.0	0	1.0	0
Other Funds		0.0	101,851	0.0	109,062
Total All Funds		9.0	1,400,447	10.0	1,626,149

Performance Measures

Department of Administration

The Division of Equity, Diversity, and Inclusion

State Government Workforce Diversity

One of DOA's strategic objectives is to attract, hire, and retain a talented and diverse workforce. To that end, the department intends to increase the representation of people of color in state government. This represents the percentage of the Executive Branch workforce who identify on HR paperwork as minority. [Note: Targets from 2022-onward were developed using 2020 census data. Historical targets were based on the percentage of minorities and/or women in State government workforce. Actual data and targets 2022-forward are based solely on race and ethnicity. For a detailed breakdown by department, see technical appendix. Measure data derived from employee self-selection on HR onboarding paperwork; historical actuals have been updated based on available data.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	51.6%	55.0%	27.5%	29.6%	29.6%
Actual	18.2%	19.1%	20.2%	--	--

Program Summary

Department of Administration

Capital Asset Management and Maintenance

Mission

The Division of Capital Asset Management and Maintenance is established to improve the management of the State's real estate portfolio such that appropriate and timely investments are made that meet the highest standards of safety, security, accessibility, energy efficiency and comfort for the employees and citizens that are served. The State's portfolio includes 17,770,849 gross square feet of space in over 1,379 structures, including 13 campuses.

Description

The Division of Capital Asset Management & Maintenance oversees the following functions: Planning/Design/Construction; Facilities Management & Maintenance; and Risk Management. The re-organization of the capital projects and facilities maintenance functions into the Division of Capital Asset Management & Maintenance is intended to better align staff resources and clarify roles and responsibilities in order to support the state's portfolio such that cost savings and efficiencies are achieved. This approach incorporates viewing facilities from a life cycle perspective so that we build the right facilities and maintain them appropriately. The new model creates an Office of Planning, Design and Construction (PDC)-previously referred to as Capital projects-that will handle new construction and major rehabilitation projects. This unit will manage projects with a value greater than \$2.5M. The Office of PDC will work with agencies to establish master plans that are driven by the strategic plans of each agency. The master planning opportunity will insure that a full analysis is done of agency needs that incorporates service delivery trends, use of technology and opportunities for co-location with other agencies. The new model creates the Office of Facilities Management & Maintenance (formerly Facilities Management) is responsible for the management of all active campuses and buildings and surplus property and is led by the Associate Director of Facilities Management & Maintenance. The Office of OFMM has oversight for the following Property Management functions:

- Space Management: Expansion or modification of the State's portfolio.
- Contracting: Extension, cancellation or execution of new/existing vendor contracts.
- Deferred Maintenance Investments: Large projects focused on repair and replacement of deteriorating assets.
- Capital Equipment replacement: Replacement of infrastructure and equipment as needed.
 - Leasing/New Construction: Execution of lease agreements on behalf of client state agencies.

Facilities Management & Maintenance is responsible for handling day to day operations at all state facilities where DCAMM has direct oversight including handling minor repairs (under \$2.5M), setting up and overseeing facility/campus security, ensuring appropriate ADA access at all facilities, responding to facility emergencies 24/7, managing traffic flows and wayfinding systems, maintaining an efficient and effective communication system with all building/facility/campus tenants, grounds keeping/landscaping, utilities management, snow removal, care/maintenance of artwork and historic artifacts, etc.

Statutory History

In the FY 2018 revised budget, facilities management shifted to the internal service funds pursuant to the authorization provided by the General Assembly in the FY 2018 Appropriations Act. Public Law 2019, ch. 88, art. 4, § 4 moved the Construction, Permitting, Approvals, and Licensing Program be moved to the Department of Business Regulations in FY 2019.

Budget

Department of Administration

Capital Asset Management and Maintenance

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Capital Asset Management And Maintenance Admin	13,314,544	1,977,910	414,846	464,870	474,610
Facilities Management And Maintenance	25,944,551	12,830,539	7,751,691	9,089,851	9,909,549
Planning, Design And Construction	1,726,863	1,605,826	1,643,778	1,742,294	1,777,802
Total Expenditures	40,985,958	16,414,276	9,810,315	11,297,015	12,161,961
Expenditures by Object					
Salary and Benefits	2,250,347	1,909,229	1,870,480	1,988,555	2,066,148
Contract Professional Services	8,163,071	4,041,395	19,412	19,412	26,100
Operating Supplies and Expenses	30,485,062	10,463,652	7,915,423	9,284,048	10,064,713
Assistance and Grants	7,000	0	0	0	0
Subtotal: Operating	40,905,480	16,414,276	9,805,315	11,292,015	12,156,961
Capital Purchases and Equipment	3,086	0	5,000	5,000	5,000
Operating Transfers	77,392	0	0	0	0
Subtotal: Other	80,478	0	5,000	5,000	5,000
Total Expenditures	40,985,958	16,414,276	9,810,315	11,297,015	12,161,961
Expenditures by Source of Funds					
General Revenue	28,559,133	14,793,463	9,810,315	11,297,015	12,161,961
Federal Funds	12,426,826	1,620,813	0	0	0
Total Expenditures	40,985,958	16,414,276	9,810,315	11,297,015	12,161,961

Personnel

Department of Administration

Capital Asset Management and Maintenance

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ARCHITECT BUILDING COMMISSION	0335 A	2.0	193,792	2.0	198,531
ASST DIR STWIDE CAP ASSET PJ M	0152 A	1.0	180,005	1.0	184,505
CHIEF ENGINEER FOR CAPITAL ASSET MANAGEMENT & MAINTENANCE	0143 A	1.0	135,457	1.0	138,715
PROJECT MANAGER I (DOA)	0137 A	4.0	404,493	4.0	419,822
PROJECT MANAGER II (DOA)	0139 A	2.0	205,431	2.0	214,309
Subtotal Classified		10.0	1,119,178	10.0	1,155,882
Unclassified					
EXECUTIVE SECRETARY (STATE PROPERTIES COMMITTEE)	0321 A	1.0	59,140	1.0	60,619
Subtotal Unclassified		1.0	59,140	1.0	60,619
Subtotal		11.0	1,178,318	11.0	1,216,501
Transfer In			108,272		110,979
Salaries Adjustment			(93,000)		(100,000)
Overtime			38,291		38,291
Total Salaries			1,231,881		1,265,771
Benefits					
Contract Stipends			4,500		0
FICA			96,722		99,531
Health Benefits			174,723		183,889
Payroll Accrual			0		7,706
Retiree Health			57,639		60,003
Retirement			372,270		396,812
Subtotal			705,854		747,941
Total Salaries and Benefits		11.0	1,937,735	11.0	2,013,712
Cost Per FTE Position			176,158		183,065
Statewide Benefit Assessment			50,820		52,436
Payroll Costs		11.0	1,988,555	11.0	2,066,148
Purchased Services					
Clerical and Temporary Services			15,412		16,100
Design and Engineering Services			1,000		4,000
Other Contracts			3,000		6,000
Subtotal			19,412		26,100
Total Personnel		11.0	2,007,967	11.0	2,092,248

Personnel

Department of Administration

Capital Asset Management and Maintenance

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	11.0	2,007,967	11.0	2,092,248
Total All Funds	11.0	2,007,967	11.0	2,092,248

Performance Measures

Department of Administration

Capital Asset Management and Maintenance

Expenditures Against Capital Budget

The Division of Capital Asset Management and Maintenance (DCAMM) oversees many large-scale, multiyear construction projects for the state. The DCAMM performance measure tracks the percentage of RI Capital Plan Fund dollars spent across the project portfolio by state fiscal year. The goal is measured against the final enacted budget figures. [Note: This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	75%	75%
Actual	68%	60%	38%	--	--

Agency Summary

Department of Business Regulation

Agency Mission

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

Agency Description

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of seven divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Building, Design and Fire Professionals, Commercial Licensing and Gaming and Athletics Licensing, the Office of Cannabis Regulation, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and statutorily directs and oversees the Superintendent of Banking and Insurance, and Real Estate, Liquor Control, and Gaming and Athletics Administration.

The Department also houses the Office of the State Fire Marshal, the Office of the State Building Commissioner and numerous boards and commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, and the Certified Constables' Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws § 42-14-1, et seq

Budget

Department of Business Regulation

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	3,265,143	3,656,930	3,801,190	3,209,105	4,609,968
Banking Regulation	1,615,559	1,817,078	2,005,687	1,836,005	1,864,125
Securities Regulation	639,081	747,193	878,630	855,351	880,851
Insurance Regulation	5,434,217	5,417,053	6,460,978	6,454,474	6,553,051
Board of Accountancy	5,204	5,176	5,490	5,490	5,490
Commercial Licensing and Gaming and Athletics Licensing	1,797,900	1,977,113	2,082,482	2,034,094	2,083,836
Office of Health Insurance Commissioner	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878
Division of Building, Design and Fire Professionals	8,487,513	9,564,678	12,116,181	11,708,701	16,429,499
Office of Cannabis Regulation	1,083,314	1,211,386	6,462,236	6,449,528	6,117,205
Total Expenditures	24,669,726	26,836,385	38,496,313	37,408,626	42,322,903
Expenditures by Object					
Salary and Benefits	18,330,237	19,833,982	22,852,100	22,887,689	23,858,012
Contract Professional Services	3,019,586	2,369,805	5,090,968	5,092,011	4,102,970
Operating Supplies and Expenses	3,068,746	3,416,588	7,863,258	6,961,939	6,889,354
Assistance and Grants	1,375	10,600	285,000	285,000	285,000
Subtotal: Operating	24,419,945	25,630,976	36,091,326	35,226,639	35,135,336
Capital Purchases and Equipment	249,601	1,205,410	2,404,987	2,181,987	7,187,567
Operating Transfers	180	0	0	0	0
Subtotal: Other	249,781	1,205,410	2,404,987	2,181,987	7,187,567
Total Expenditures	24,669,726	26,836,385	38,496,313	37,408,626	42,322,903
Expenditures by Source of Funds					
General Revenue	15,221,380	19,539,175	26,270,761	25,495,172	25,928,212
Federal Funds	3,869,737	817,183	691,187	869,963	641,503
Restricted Receipts	5,513,688	6,469,207	10,789,638	10,298,764	9,966,273
Operating Transfers From Other Funds	64,921	10,820	744,727	744,727	5,786,915
Total Expenditures	24,669,726	26,836,385	38,496,313	37,408,626	42,322,903
FTE Authorization	161.0	162.0	181.0	181.0	181.0

Personnel Agency Summary

Department of Business Regulation

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	163.0	12,403,387	163.0	12,851,182
Unclassified	18.0	2,072,623	18.0	2,096,771
Subtotal	181.0	14,476,010	181.0	14,947,953
Overtime		156,835		160,723
Seasonal/Special Salaries/Wages		35,979		36,818
Turnover		(563,548)		(398,763)
Total Salaries		14,105,276		14,746,731
Benefits				
Contract Stipends		323,702		19,013
FICA		1,066,554		1,103,552
Health Benefits		2,159,803		2,291,827
Holiday		108		101
Payroll Accrual		0		84,160
Retiree Health		621,876		656,364
Retirement		4,083,264		4,404,587
Subtotal		8,255,307		8,559,604
Total Salaries and Benefits	181.0	22,360,583	181.0	23,306,335
Cost Per FTE Position		123,539		128,764
Statewide Benefit Assessment		527,106		551,677
Payroll Costs	181.0	22,887,689	181.0	23,858,012
Purchased Services				
Clerical and Temporary Services		25,000		25,000
Information Technology		47,700		47,700
Management & Consultant Services		2,314,911		2,825,870
Medical Services		5,000		5,000
Other Contracts		2,283,050		783,050
Training and Educational Services		416,350		416,350
Subtotal		5,092,011		4,102,970
Total Personnel	181.0	27,979,700	181.0	27,960,982
Distribution by Source of Funds				
General Revenue	137.0	19,919,299	137.0	19,677,075
Federal Funds	0.0	545,373	0.0	387,118
Restricted Receipts	44.0	7,445,301	44.0	7,824,874
Operating Transfers from Other Funds	0.0	69,727	0.0	71,915
Total All Funds	181.0	27,979,700	181.0	27,960,982

Program Summary

Department of Business Regulation

Central Management

Mission

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Description

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Statutory History

R.I. General Laws § 42-14-1 establishes the Director as head of the department. RIGL § 42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

Budget

Department of Business Regulation

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	3,265,143	3,656,930	3,801,190	3,209,105	4,609,968
Total Expenditures	3,265,143	3,656,930	3,801,190	3,209,105	4,609,968
Expenditures by Object					
Salary and Benefits	1,589,315	1,617,880	1,719,835	1,771,852	1,985,274
Contract Professional Services	37,007	83,192	32,700	32,300	32,300
Operating Supplies and Expenses	1,634,077	1,940,193	2,046,618	1,402,916	2,590,357
Subtotal: Operating	3,260,399	3,641,264	3,799,153	3,207,068	4,607,931
Capital Purchases and Equipment	4,744	15,665	2,037	2,037	2,037
Subtotal: Other	4,744	15,665	2,037	2,037	2,037
Total Expenditures	3,265,143	3,656,930	3,801,190	3,209,105	4,609,968
Expenditures by Source of Funds					
General Revenue	2,915,094	3,517,207	3,801,190	3,209,105	4,609,968
Federal Funds	350,049	139,723	0	0	0
Total Expenditures	3,265,143	3,656,930	3,801,190	3,209,105	4,609,968

Personnel

Department of Business Regulation

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	0145 A	1.0	127,791	1.0	136,717
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	1.0	93,911	1.0	100,639
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0141 A	1.0	130,650	1.0	133,783
DEPUTY CHIEF OF LEGAL SERVICES	0137 A	3.0	308,623	3.0	316,340
FISCAL MANAGEMENT OFFICER	0126 A	1.0	78,669	1.0	80,616
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	1.0	113,686	1.0	116,528
LEGAL COUNSEL	0132 A	1.0	74,259	1.0	76,115
PRINCIPAL ECONOMIC AND POLICY ANALYST	0138 A	1.0	104,668	1.0	107,285
Subtotal Classified		10.0	1,032,257	10.0	1,068,023
Unclassified					
CONFIDENTIAL SECRETARY	0822 A	1.0	59,255	1.0	63,014
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	0945KF	1.0	148,716	1.0	160,473
PROGRAM MANAGER	0828 A	1.0	95,023	1.0	97,313
ZFTE RECONCILIATION TO AUTHORIZATION (UNCLASSIFIED)	0000 A	(1.0)	0	(1.0)	0
Subtotal Unclassified		2.0	302,994	2.0	320,800
Subtotal		12.0	1,335,251	12.0	1,388,823
Turnover			(219,883)		(144,591)
Total Salaries			1,115,368		1,244,232
Benefits					
FICA			85,219		94,654
Health Benefits			152,882		160,886
Payroll Accrual			0		7,221
Retiree Health			49,967		56,238
Retirement			324,358		372,895
Subtotal			612,426		691,894
Total Salaries and Benefits		12.0	1,727,794	12.0	1,936,126
Cost Per FTE Position			143,983		161,344
Statewide Benefit Assessment			44,058		49,148
Payroll Costs		12.0	1,771,852	12.0	1,985,274
Purchased Services					
Other Contracts			32,300		32,300
Subtotal			32,300		32,300

Personnel

Department of Business Regulation

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Personnel	12.0	1,804,152	12.0	2,017,574
Distribution by Source of Funds				
General Revenue	12.0	1,804,152	12.0	2,017,574
Total All Funds	12.0	1,804,152	12.0	2,017,574

Performance Measures

Department of Business Regulation

Central Management

Financial Services - Money Returned to Customers

Money returned to customers as the result of complaints filed to the Insurance, Banking and Securities Regulation programs. [Note: This is a new metric for FY 2023; historical actuals and targets are not available. Targets are not utilized in this metric as it is solely dependent on industry and public demand.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	--	--
Actual	--	--	--	--	--

Program Summary

Department of Business Regulation

Banking Regulation

Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

Statutory History

R.I. General Laws § 19-1 to § 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.)

RIGL § 6-26 to § 6-27 relate to Interest, Usury and Truth in Lending. RIGL § 34-23 to § 34-27 relate to Mortgages. RIGL § 19-14.3 to § 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. RIGL § 19-14.9 relates to the registration of debt collectors. RIGL § 19-14.10 relates to the licensing and supervision of mortgage loan originators. RIGL § 19-14.11 relates to the licensing of third-party loan servicers.

Budget

Department of Business Regulation

Banking Regulation

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	1,615,559	1,817,078	2,005,687	1,836,005	1,864,125
Total Expenditures	1,615,559	1,817,078	2,005,687	1,836,005	1,864,125
Expenditures by Object					
Salary and Benefits	1,566,880	1,762,386	1,930,887	1,761,205	1,789,325
Operating Supplies and Expenses	48,679	49,315	69,800	69,800	69,800
Subtotal: Operating	1,615,559	1,811,700	2,000,687	1,831,005	1,859,125
Capital Purchases and Equipment	0	5,378	5,000	5,000	5,000
Subtotal: Other	0	5,378	5,000	5,000	5,000
Total Expenditures	1,615,559	1,817,078	2,005,687	1,836,005	1,864,125
Expenditures by Source of Funds					
General Revenue	1,578,594	1,775,327	1,942,687	1,773,005	1,801,125
Federal Funds	0	(906)	0	0	0
Restricted Receipts	36,965	42,657	63,000	63,000	63,000
Total Expenditures	1,615,559	1,817,078	2,005,687	1,836,005	1,864,125

Personnel

Department of Business Regulation

Banking Regulation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT SUPERVISOR OF EXAMINATIONS	AB35 A	2.0	214,562	2.0	219,754
BANK EXAMINER	AB24 A	2.0	129,913	2.0	133,064
LICENSING AIDE	AB15 A	1.0	45,836	1.0	47,174
PRINCIPAL BANK EXAMINER	AB31 A	1.0	83,399	1.0	85,426
SENIOR BANK EXAMINER	AB28 A	5.0	362,439	5.0	373,894
STATE CHIEF BANK EXAMINER	0139 A	1.0	122,200	1.0	125,178
SUPERVISOR OF EXAMINATIONS	AB37 A	1.0	101,431	1.0	103,967
Subtotal Classified		13.0	1,059,780	13.0	1,088,457
Subtotal		13.0	1,059,780	13.0	1,088,457
Seasonal/Special Salaries/Wages			1,200		1,200
Total Salaries			1,060,980		1,089,657
Benefits					
Contract Stipends			39,000		0
FICA			82,540		83,357
Health Benefits			180,327		189,970
Payroll Accrual			0		6,325
Retiree Health			47,532		49,252
Retirement			308,917		327,722
Subtotal			658,316		656,626
Total Salaries and Benefits		13.0	1,719,296	13.0	1,746,283
Cost Per FTE Position			132,254		134,329
Statewide Benefit Assessment			41,909		43,042
Payroll Costs		13.0	1,761,205	13.0	1,789,325
Total Personnel		13.0	1,761,205	13.0	1,789,325
Distribution by Source of Funds					
General Revenue		13.0	1,761,205	13.0	1,789,325
Total All Funds		13.0	1,761,205	13.0	1,789,325

Performance Measures

Department of Business Regulation

Banking Regulation

Bank Examiner Utilization Rate

The utilization rate for bank examiners, calculated by dividing the hours billed to banks by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	50%	50%	50%	50%
Actual	--	47.91%	51.72%	--	--

Program Summary

Department of Business Regulation

Securities Regulation

Mission

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Description

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act.

Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Laws § 7-11; the Franchise Investment Act, § 19-28.1; the Charitable Solicitation Act, § 5-53.1; and the Real Estate Time-Share Act, § 34-41.

Budget

Department of Business Regulation

Securities Regulation

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	639,081	747,193	878,630	855,351	880,851
Total Expenditures	639,081	747,193	878,630	855,351	880,851
Expenditures by Object					
Salary and Benefits	628,904	735,428	853,830	830,551	856,051
Operating Supplies and Expenses	10,177	11,766	24,800	24,800	24,800
Subtotal: Operating	639,081	747,193	878,630	855,351	880,851
Total Expenditures	639,081	747,193	878,630	855,351	880,851
Expenditures by Source of Funds					
General Revenue	627,785	768,140	863,630	840,351	865,851
Federal Funds	11,296	(20,947)	0	0	0
Restricted Receipts	0	0	15,000	15,000	15,000
Total Expenditures	639,081	747,193	878,630	855,351	880,851

Personnel

Department of Business Regulation

Securities Regulation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	AB21 A	1.0	54,781	1.0	56,151
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	0141 A	1.0	119,307	1.0	122,290
LICENSING AIDE	AB15 A	1.0	43,379	1.0	45,289
PRINCIPAL SECURITIES EXAMINER	AB31 A	1.0	76,885	1.0	82,254
SECURITIES EXAMINER	AB24 A	2.0	122,189	2.0	126,945
SENIOR SECURITIES EXAMINER	AB28 A	1.0	66,643	1.0	71,117
Subtotal Classified		7.0	483,184	7.0	504,046
Subtotal		7.0	483,184	7.0	504,046
Total Salaries			483,184		504,046
Benefits					
Contract Stipends			18,000		0
FICA			37,653		38,560
Health Benefits			110,811		116,765
Payroll Accrual			0		2,926
Retiree Health			21,646		22,782
Retirement			140,172		151,063
Subtotal			328,282		332,096
Total Salaries and Benefits		7.0	811,466	7.0	836,142
Cost Per FTE Position			115,924		119,449
Statewide Benefit Assessment			19,085		19,909
Payroll Costs		7.0	830,551	7.0	856,051
Total Personnel		7.0	830,551	7.0	856,051
Distribution by Source of Funds					
General Revenue		7.0	830,551	7.0	856,051
Total All Funds		7.0	830,551	7.0	856,051

Program Summary

Department of Business Regulation

Insurance Regulation

Mission

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

Description

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers.

The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was reaccredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

Statutory History

All chapters of R.I. General Laws § 27-1, RIGL § 42-14, and § 28-29 through § 28-38, and all Insurance Division regulations.

Budget

Department of Business Regulation

Insurance Regulation

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	5,434,217	5,417,053	6,460,978	6,454,474	6,553,051
Total Expenditures	5,434,217	5,417,053	6,460,978	6,454,474	6,553,051
Expenditures by Object					
Salary and Benefits	3,944,211	4,227,326	4,648,860	4,642,356	4,740,933
Contract Professional Services	1,366,038	1,096,827	1,634,273	1,632,773	1,632,773
Operating Supplies and Expenses	94,387	90,623	174,345	175,845	175,845
Subtotal: Operating	5,404,635	5,414,776	6,457,478	6,450,974	6,549,551
Capital Purchases and Equipment	29,582	2,277	3,500	3,500	3,500
Subtotal: Other	29,582	2,277	3,500	3,500	3,500
Total Expenditures	5,434,217	5,417,053	6,460,978	6,454,474	6,553,051
Expenditures by Source of Funds					
General Revenue	3,557,891	3,948,976	4,419,316	4,571,958	4,669,856
Federal Funds	209,716	79,082	0	0	0
Restricted Receipts	1,666,609	1,388,994	2,041,662	1,882,516	1,883,195
Total Expenditures	5,434,217	5,417,053	6,460,978	6,454,474	6,553,051

Personnel

Department of Business Regulation

Insurance Regulation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	0121 A	1.0	66,552	1.0	68,191
CHIEF INSURANCE EXAMINER	0139 A	2.0	238,119	2.0	247,005
CHIEF LIFE- ACCIDENT AND HEALTH INSURANCE ANALYST	0137 A	2.0	204,795	2.0	211,098
CHIEF OF LEGAL SERVICES	0139 A	1.0	108,272	1.0	110,979
DEPUTY DIRECTOR FINANCIAL SERVICE (DBR)	0146 A	1.0	165,819	1.0	169,858
INSURANCE ANALYST	AB24 A	4.0	231,240	4.0	242,445
INSURANCE EXAMINER	AB24 A	4.0	216,137	4.0	236,857
INSURANCE EXAMINER-IN-CHARGE	AB36 A	2.0	199,005	2.0	208,354
LEGAL COUNSEL	0132 A	1.0	81,316	1.0	87,133
LICENSING AIDE	0015 A	1.0	48,323	1.0	49,474
LICENSING AIDE	AB15 A	3.0	146,797	3.0	150,445
LICENSING COORDINATOR (INSURANCE PROD-APPRAIS & ADJUST)	AB22 A	1.0	52,697	1.0	55,469
PRINCIPAL INSURANCE ANALYST	AB31 A	2.0	177,438	2.0	185,138
PRINCIPAL INSURANCE EXAMINER	AB28 A	1.0	63,841	1.0	65,437
PRINCIPAL INSURANCE EXAMINER	AB31 A	2.0	152,724	2.0	156,542
SENIOR ACCOUNTANT	AB23 A	1.0	65,152	1.0	66,781
SENIOR INSURANCE EXAMINER	AB28 A	7.0	525,084	7.0	545,902
SENIOR MARKET CONDUCT EXAMINER	AB28 A	1.0	100,234	1.0	100,234
Subtotal Classified		37.0	2,843,545	37.0	2,957,342
Subtotal		37.0	2,843,545	37.0	2,957,342
Seasonal/Special Salaries/Wages			1,200		1,200
Turnover			(42,496)		(84,993)
Total Salaries			2,802,249		2,873,549
Benefits					
Contract Stipends			76,500		0
FICA			215,960		218,713
Health Benefits			495,873		524,286
Payroll Accrual			0		16,679
Retiree Health			125,539		129,887
Retirement			815,544		864,311
Subtotal			1,729,416		1,753,876
Total Salaries and Benefits		37.0	4,531,665	37.0	4,627,425
Cost Per FTE Position			122,477		125,066
Statewide Benefit Assessment			110,691		113,508

Personnel

Department of Business Regulation

Insurance Regulation

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Payroll Costs	37.0	4,642,356	37.0	4,740,933
Purchased Services				
Management & Consultant Services		1,622,773		1,622,773
Training and Educational Services		10,000		10,000
Subtotal		1,632,773		1,632,773
Total Personnel	37.0	6,275,129	37.0	6,373,706
Distribution by Source of Funds				
General Revenue	36.0	4,522,888	36.0	4,620,786
Restricted Receipts	1.0	1,752,241	1.0	1,752,920
Total All Funds	37.0	6,275,129	37.0	6,373,706

Performance Measures

Department of Business Regulation

Insurance Regulation

Insurance Examiner Utilization Rate

The utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	50%	50%	50%	50%	50%
Actual	80.49%	76.29%	69.49%	--	--

Program Summary

Department of Business Regulation

Board of Accountancy

Mission

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Description

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

Statutory History

R.I. General Laws § 5-3.1, et seq. (1956) relate to the Board of Accountancy.

Budget

Department of Business Regulation

Board of Accountancy

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	5,204	5,176	5,490	5,490	5,490
Total Expenditures	5,204	5,176	5,490	5,490	5,490
Expenditures by Object					
Operating Supplies and Expenses	5,204	5,176	5,490	5,490	5,490
Subtotal: Operating	5,204	5,176	5,490	5,490	5,490
Total Expenditures	5,204	5,176	5,490	5,490	5,490
Expenditures by Source of Funds					
General Revenue	5,204	5,176	5,490	5,490	5,490
Total Expenditures	5,204	5,176	5,490	5,490	5,490

Program Summary

Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public. To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Statutory History

R.I. General Law § 5-58 relates to auctioneers; § 5-20.5 relates to real estate; § 5-20.7 relates to real estate appraisers; § 5-38 relates to automobile body repair shops; § 5-50 relates to pre-opening of health club sales campaigns; § 6-31 relates to unit pricing; § 23-26 relates to bedding and upholstered furniture; § 31-44 and § 31-44.1 relate to mobile and manufactured homes; § 42-14.2 relates to auto wrecking and salvage yards; § 31-37 relates to advertising and sale of motor fuel at retail; § 31-46-7 relates to auto body salvage re-builders' licenses; and § 3-1 relates to alcoholic beverages. RIGL § 21-28.6 relates to the Medical Marijuana Program; § 2-26 relates to industrial hemp. RIGL § 41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

Budget

Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	1,797,900	1,977,113	2,082,482	2,034,094	2,083,836
Total Expenditures	1,797,900	1,977,113	2,082,482	2,034,094	2,083,836
Expenditures by Object					
Salary and Benefits	1,729,516	1,887,926	1,936,474	1,888,086	1,937,828
Operating Supplies and Expenses	68,384	89,186	124,758	124,758	124,758
Assistance and Grants	0	0	20,000	20,000	20,000
Subtotal: Operating	1,797,900	1,977,113	2,081,232	2,032,844	2,082,586
Capital Purchases and Equipment	0	0	1,250	1,250	1,250
Subtotal: Other	0	0	1,250	1,250	1,250
Total Expenditures	1,797,900	1,977,113	2,082,482	2,034,094	2,083,836
Expenditures by Source of Funds					
General Revenue	949,609	1,009,045	1,167,550	1,166,134	1,194,966
Federal Funds	135,259	(12,127)	0	0	0
Restricted Receipts	713,033	980,195	914,932	867,960	888,870
Total Expenditures	1,797,900	1,977,113	2,082,482	2,034,094	2,083,836

Personnel

Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR REAL ESTATE	0135 A	1.0	107,306	1.0	109,896
ASSISTANT ADMINISTRATIVE OFFICER	AB21 A	1.0	55,915	1.0	58,959
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	0141 A	1.0	139,727	1.0	143,158
CHIEF IMPLEMENTATION AIDE	AB28 A	1.0	84,690	1.0	86,808
CHIEF PUBLIC PROTECTION INSPECTOR	AB32 A	1.0	88,478	1.0	90,691
FISCAL CLERK	3714 A	1.0	42,549	1.0	44,384
GAMING & ATHLETICS ADMINISTRATOR	0130 A	1.0	70,278	1.0	74,670
GAMING & ATHLETICS ADMINISTRATOR	0137 A	1.0	106,247	1.0	108,904
IMPLEMENTATION AIDE	AB22 A	1.0	55,049	1.0	58,329
LICENSING AIDE	AB15 A	2.0	89,402	2.0	92,463
PARI-MUTUEL OPERATIONS SPECIALIST	3726 A	3.0	201,160	3.0	206,189
SYSTEMS ANALYST	AB24 A	1.0	64,551	1.0	66,165
Subtotal Classified		15.0	1,105,352	15.0	1,140,616
Subtotal		15.0	1,105,352	15.0	1,140,616
Overtime			1,615		1,655
Seasonal/Special Salaries/Wages			33,579		34,418
Total Salaries			1,140,546		1,176,689
Benefits					
Contract Stipends			30,000		38
FICA			88,393		89,890
Health Benefits			189,569		199,526
Holiday			108		101
Payroll Accrual			0		6,820
Retiree Health			51,023		53,111
Retirement			343,460		365,239
Subtotal			702,553		714,725
Total Salaries and Benefits		15.0	1,843,099	15.0	1,891,414
Cost Per FTE Position			122,873		126,094
Statewide Benefit Assessment			44,987		46,414
Payroll Costs		15.0	1,888,086	15.0	1,937,828
Total Personnel		15.0	1,888,086	15.0	1,937,828

Personnel

Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	9.0	1,145,959	9.0	1,174,791
Restricted Receipts	6.0	742,127	6.0	763,037
Total All Funds	15.0	1,888,086	15.0	1,937,828

Performance Measures

Department of Business Regulation

Commercial Licensing and Gaming and Athletics Licensing

Percentage of Licenses Issued Online

Percentage of Auto Body, Constable, Liquor, Mobile Food Establishment, Mobile Home Park, Real Estate Appraiser, Real Estate Salespersons/ Brokers/Short-Term-Rentals, and Upholstry licenses issued online versus by paper. Online processing dramatically increases efficiency for both the customer and program staff.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	50%	50%	75%	75%
Actual	--	56%	81%	--	--

Program Summary

Department of Business Regulation

Office of Health Insurance Commissioner

Mission

The State of Rhode Island Office of the Health Insurance Commissioner (OHIC) seeks to: (1) improve health care access, affordability, and quality. OHIC does so as it: (1) protects the interest of consumers of commercial health insurance, (2) encourages fair treatment of health care providers by commercial health insurers, (3) improves the health care system as a whole, and (4) guards the solvency of commercial health insurers.

Description

OHIC is a commercial health insurance policy reform and regulatory enforcement agency. The office's functions include: health insurance rate review, health insurance form review, network plan certification, benefit determination and utilization review agent certification, consumer and provider complaint resolution, market conduct examinations, regulation and sub-regulatory guidance development, and social and human service programs review.

Statutory History

OHIC was established in 2004 in State of Rhode Island General Laws RIGL §42-14.5-1. RIGL §42-14.5-2 provides that with respect to health insurance, the health insurance commissioner shall discharge the powers and duties of office to: (1) guard the solvency of health insurers, (2) protect the interests of consumers (3) encourage fair treatment of health care providers, (4) courage policies and developments that improve the quality and efficiency of health care service delivery and outcomes (5) view the health care system as a comprehensive entity and encourage and direct insurers towards policies that advance the welfare of the public through overall efficiency, improved health care quality, and appropriate access.

Budget

Department of Business Regulation

Office of Health Insurance Commissioner

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878
Total Expenditures	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878
Expenditures by Object					
Salary and Benefits	1,595,023	1,741,388	1,865,200	1,900,825	1,935,214
Contract Professional Services	670,862	630,857	2,687,045	2,692,138	1,703,097
Operating Supplies and Expenses	75,909	67,535	131,194	262,915	140,567
Subtotal: Operating	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878
Total Expenditures	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878
Expenditures by Source of Funds					
General Revenue	1,663,083	1,698,535	3,777,735	3,715,046	2,933,710
Federal Funds	195,689	219,881	372,887	551,663	322,958
Restricted Receipts	483,022	521,364	532,817	589,169	522,210
Total Expenditures	2,341,795	2,439,779	4,683,439	4,855,878	3,778,878

Personnel

Department of Business Regulation

Office of Health Insurance Commissioner

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0722 A	1.0	50,449	1.0	58,091
Subtotal Classified		1.0	50,449	1.0	58,091
Unclassified					
ASSOCIATE DIRECTOR FOR PLANNING- POLICY & REGULATION	0843 A	1.0	155,895	1.0	159,793
DIRECTOR OF INTERGOVERNMENTAL RELATIONS	8840 A	1.0	81,867	1.0	119,625
EXECUTIVE ASSISTANT/CHIEF OF STAFF	0841 A	2.0	253,101	2.0	265,477
EXECUTIVE DIRECTOR	0836 A	1.0	110,166	1.0	112,920
HEALTH ECONOMIC SPECIALIST	0831 A	1.0	87,651	1.0	89,842
HEALTH INSURANCE COMMISSIONER	0854 A	1.0	226,677	1.0	232,344
PRINCIPAL POLICY ASSOCIATE	0837 A	1.0	108,538	1.0	111,251
SENIOR POLICY ANALYST	0839 A	1.0	104,851	1.0	27,460
SENIOR POLICY ANALYST/PUBLIC INFORMATION	0831 A	1.0	87,651	1.0	89,842
Subtotal Unclassified		10.0	1,216,397	10.0	1,208,554
Subtotal		11.0	1,266,846	11.0	1,266,645
Turnover			(13,456)		(6,265)
Total Salaries			1,253,390		1,260,380
Benefits					
FICA			90,391		90,945
Health Benefits			89,426		93,604
Payroll Accrual			0		7,315
Retiree Health			56,152		57,253
Retirement			361,956		375,683
Subtotal			597,925		624,800
Total Salaries and Benefits		11.0	1,851,315	11.0	1,885,180
Cost Per FTE Position			168,301		171,380
Statewide Benefit Assessment			49,510		50,034
Payroll Costs		11.0	1,900,825	11.0	1,935,214
Purchased Services					
Management & Consultant Services			692,138		1,203,097
Other Contracts			2,000,000		500,000
Subtotal			2,692,138		1,703,097
Total Personnel		11.0	4,592,963	11.0	3,638,311

Personnel

Department of Business Regulation

Office of Health Insurance Commissioner

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	9.0	3,671,935	9.0	2,838,911
Federal Funds	0.0	479,573	0.0	321,073
Restricted Receipts	2.0	441,455	2.0	478,327
Total All Funds	11.0	4,592,963	11.0	3,638,311

Performance Measures

Department of Business Regulation

Office of Health Insurance Commissioner

Small Group Market Average Premium Change

Average change in the small group market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all small group market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment.

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	3.5%	3.5%	3.5%	3.5%	3.5%
Actual	1.9%	0.3%	9.2%	--	--

Individual Market Average Premium Change

Average change in the individual market premiums measured by the Calibrated Plan Adjusted Index Rate (CPAIR) which represents the weighted average base rate across all individual market plan designs calibrated (or normalized) for rating factors. The average change is a weighted average that is weighted by enrollment.

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	3.5%	3.5%	3.5%	3.5%	3.5%
Actual	4.0%	2.1%	6.1%	--	--

Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend.

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	4.0%	4.0%	4.0%	4.0%	4.0%
Actual	7.7%	5.4%	5.7%	--	--

Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	20%	20%	20%	20%	20%
Actual	17.9%	18.4%	21.4%	--	--

Program Summary

Department of Business Regulation

Division of Building, Design and Fire Professionals

Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

Description

The Building, Design and Fire Professionals division's purpose is to streamline the state review, regulation, and enforcement for all professions related to building and construction design, inspection, and enforcement of the building and fire codes. The Division is comprised of the Office of the State Fire Marshal (OSFM), the Fire Safety Code Board of Appeal and Review (FSCBAR), the State Building Office (SBO), which includes the Building Code Commission (BCC), the Contractors' Registration and Licensing Board (CRLB), the Boards of Registration for Professional Engineers and Professional Land Surveyors, the Board of Examination and Registration of Architects, and the Board of Examiners of Landscape Architects.

The OSFM has four units: investigations, inspections, plan review, and the Rhode Island State Bomb Squad (Squad). The investigations unit is responsible for conducting fire investigations where arson is suspected, and/or an injury or death has occurred. The Squad is responsible for responding to, mitigating, and investigating all incidents involving explosive materials. The Squad also provides tactical assistance to Federal, State, and local law enforcement entities. The inspections & plan review units work collaboratively and are responsible for reviewing construction plans, issuing building permits and inspecting new and remodeled structures relating to fire safety.

The FSCBAR is charged with the development and administrative review of a comprehensive fire safety code covering the State. Furthermore, the FSCBAR evaluates the proposed use of new fire-related technologies and provides the OSFM, other state agencies and all municipal fire departments with legal, regulatory and technical information.

The SBO is comprised of the BCC which establishes the minimum requirements necessary to protect public health, safety and welfare in the built environment by promulgating model building codes, and conducts plan reviews, inspects and issues building permits on all state-owned buildings; the CRLB which is tasked with the registration and regulation of all contractors, and the licensing and regulation of eight professions in the construction industry, taking administrative action against persons who violate applicable laws and regulations and providing consumer protection through a dispute resolution process; and the Engineers, Land Surveyors, Landscape Architects and Architects Boards for which the Division is responsible for enforcing the laws and regulations applicable to each of those professions.

Statutory History

R.I. General Laws § 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL § 5-65 et al., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. RIGL § 23-28.3-1 governs the Fire Code Board of Appeal and Review. RIGL § 23-28 establishes the Rhode Island State Fire Marshal and defines its duties.

RIGL § 5-8.1 establishes the Boards of Engineers Land Surveyors, RIGL § 5-1 establishes the Board of Architects. RIGL § 5-51 establishes the Board of Landscape Architects.

Budget

Department of Business Regulation

Division of Building, Design and Fire Professionals

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Contractor's Registration and Licensing Board	2,058,879	1,279,077	1,370,880	1,219,797	1,367,359
Fire Code Board of Appeal and Review	353,103	374,167	376,580	400,406	405,036
Fire Marshal	4,618,215	6,237,917	7,279,924	7,209,505	11,614,074
State Building Code Commission	1,457,316	1,673,516	3,088,797	2,878,993	3,043,030
Total Expenditures	8,487,513	9,564,678	12,116,181	11,708,701	16,429,499
Expenditures by Object					
Salary and Benefits	6,508,117	6,890,574	7,002,724	7,208,540	7,468,634
Contract Professional Services	670,957	346,430	461,200	459,050	459,050
Operating Supplies and Expenses	1,100,538	1,134,984	3,291,557	2,903,411	2,474,815
Assistance and Grants	1,375	10,600	15,000	15,000	15,000
Subtotal: Operating	8,280,986	8,382,589	10,770,481	10,586,001	10,417,499
Capital Purchases and Equipment	206,346	1,182,089	1,345,700	1,122,700	6,012,000
Operating Transfers	180	0	0	0	0
Subtotal: Other	206,526	1,182,089	1,345,700	1,122,700	6,012,000
Total Expenditures	8,487,513	9,564,678	12,116,181	11,708,701	16,429,499
Expenditures by Source of Funds					
General Revenue	3,924,121	6,816,770	8,852,699	8,773,619	8,290,502
Federal Funds	2,967,727	412,476	318,300	318,300	318,545
Restricted Receipts	1,530,744	2,324,612	2,200,455	1,872,055	2,033,537
Operating Transfers from Other Funds	64,921	10,820	744,727	744,727	5,786,915
Total Expenditures	8,487,513	9,564,678	12,116,181	11,708,701	16,429,499

Personnel

Department of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR MANAGEMENT INFORMATION SYSTEMS	0140 A	1.0	99,034	1.0	101,510
ARCHITECT BUILDING COMMISSION	0335 A	1.0	97,098	1.0	99,525
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	3629 A	2.0	151,864	2.0	155,661
BOMB TECHNICIAN I	3626 A	2.0	117,262	2.0	122,202
BOMB TECHNICIAN I	3629 A	1.0	65,849	1.0	65,849
BOMB TECHNICIAN III	3632 A	1.0	85,026	1.0	89,168
BUILDING CONSTRUCTION INSPECTOR	0320 A	4.0	193,144	4.0	200,441
BUSINESS MANAGEMENT OFFICER	0B26 A	1.0	89,224	1.0	91,427
CHIEF DEPUTY FIRE MARSHAL	0134 A	2.0	170,946	2.0	173,217
CHIEF OF INSPECTIONS	0135 A	3.0	307,569	3.0	315,222
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/MECH INSP)	0332 A	1.0	93,964	1.0	96,242
CLERK SECRETARY	4016 A	1.0	45,634	1.0	47,894
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	0144 A	1.0	140,983	1.0	144,379
DIRECTOR OF FIRE TRAINING	0136 A	1.0	97,697	1.0	100,139
EXECUTIVE ASSISTANT	0118 A	1.0	52,340	1.0	53,649
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	3640 A	1.0	122,213	1.0	125,269
FIRE INVESTIGATOR	3622 A	1.0	59,751	1.0	61,245
FIRE INVESTIGATOR II	3624 A	1.0	57,668	1.0	61,438
FIRE INVESTIGATOR III	3627 A	2.0	133,769	2.0	140,412
FIRE INVESTIGATOR IV	3630 A	1.0	76,864	1.0	78,786
FIRE SAFETY INSPECTOR	3621 A	6.0	304,528	6.0	318,852
FIRE SAFETY INSPECTOR III	3625 A	7.0	423,713	7.0	445,731
FIRE SAFETY INSPECTOR III	3725 A	1.0	58,571	1.0	61,893
FIRE SAFETY INSPECTOR IV	3628 A	2.0	131,571	2.0	140,095
FIRE SAFETY TRAINING OFFICER	3628 A	2.0	149,734	2.0	153,478
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	0334 A	1.0	79,104	1.0	81,082
LICENSING AIDE	0315 A	1.0	45,508	1.0	47,174
PRINCIPAL PROGRAM ANALYST	0328 A	1.0	66,177	1.0	67,765
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	0331 A	1.0	74,914	1.0	80,342
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	0331 A	1.0	79,864	1.0	81,861
PRODUCTIVITY PROJECT DIRECTOR	0130 A	1.0	71,328	1.0	75,931
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	1.0	82,041	1.0	87,197

Personnel

Department of Business Regulation

Division of Building, Design and Fire Professionals

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	0328 A	1.0	83,780	1.0	85,875
STATE BUILDING CODE COMMISSIONER	0142 A	1.0	121,216	1.0	127,942
Subtotal Classified		56.0	4,029,948	56.0	4,178,893
Unclassified					
ADMINISTRATIVE AIDE	4514 A	1.0	49,470	1.0	50,707
ADMINISTRATIVE ASSISTANT	0319 A	1.0	49,535	1.0	52,124
ADMINISTRATIVE ASSISTANT	0323 A	1.0	55,079	1.0	56,456
ASSISTANT ADMINISTRATIVE OFFICER	4521 A	1.0	66,259	1.0	67,888
STATE FIRE MARSHAL	0843 A	1.0	141,723	1.0	145,266
ZFTE RECONCILIATION TO AUTHORIZATION (UNCLASSIFIED)	0000 A	0.0	67,166	0.0	70,976
Subtotal Unclassified		5.0	429,232	5.0	443,417
Subtotal		61.0	4,459,180	61.0	4,622,310
Overtime			155,220		159,068
Turnover			(84,012)		(51,257)
Total Salaries			4,530,388		4,730,121
Benefits					
Contract Stipends			140,702		3,600
FICA			334,541		344,523
Health Benefits			571,901		617,465
Payroll Accrual			0		26,032
Retiree Health			193,000		203,405
Retirement			1,289,046		1,387,656
Subtotal			2,529,190		2,582,681
Total Salaries and Benefits		61.0	7,059,578	61.0	7,312,802
Cost Per FTE Position			115,731		119,882
Statewide Benefit Assessment			148,962		155,832
Payroll Costs		61.0	7,208,540	61.0	7,468,634
Purchased Services					
Information Technology			47,700		47,700
Medical Services			5,000		5,000
Training and Educational Services			406,350		406,350
Subtotal			459,050		459,050
Total Personnel		61.0	7,667,590	61.0	7,927,684

Personnel

Department of Business Regulation

Division of Building, Design and Fire Professionals

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	51.0	6,182,609	51.0	6,379,637
Federal Funds	0.0	65,800	0.0	66,045
Restricted Receipts	10.0	1,349,454	10.0	1,410,087
Operating Transfers from Other Funds	0.0	69,727	0.0	71,915
Total All Funds	61.0	7,667,590	61.0	7,927,684

Performance Measures

Department of Business Regulation

Division of Building, Design and Fire Professionals

Contractor Complaints

The rate at which the Contractors' Registration and Licensing Board processes homeowner complaints against contractors. This number is derived from the number of complaints received vs. the number of complaints accepted or rejected.

[Note: This is a new performance measure. Historical data is not available.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	100%	100%
Actual	--	--	--	--	--

Building Code Commission - Permit Applications

The rate at which Building, Electrical, Plumbing and Mechanical permits are processed. This is derived from the number of applications received vs. the number of permits accepted or rejected. [Note: This is a new performance measure. Historical data is not available.]

	<i>Frequency: Annual</i>		<i>Reporting Period: State Fiscal Year</i>		
	2020	2021	2022	2023	2024
Target	--	--	--	100%	100%
Actual	--	--	--	--	--

Program Summary

Department of Business Regulation

Office of Cannabis Regulation

Mission

The Office of Cannabis Regulation (OCR) is charged with regulating medical marijuana, and industrial hemp in with a focus on public health, public safety, and sound market principles. OCR is also leading the transition to adult-use marijuana sales and production and is the lead regulator of this market during the transition to a consolidated regulatory structure under the to-be-formed Cannabis Control Commission.

Description

OCR has oversight over legal cannabis in the state, which is comprised of three elements: adult use marijuana, medical marijuana, and industrial hemp. The Rhode Island Cannabis Act (2022 P.L. Ch. 031 & 032) legalized marijuana possession and sales for adult use with retail sales beginning December 1, 2022 under OCR's purview. Notably, the Act also introduced a new, consolidated regulatory structure under the yet-to-be formed Cannabis Control Commission that will eventually assume full responsibility for regulation of Rhode Island's cannabis market; in the interim, OCR continues its current role as the lead regulator in this space. The FY 2017 enacted budget moved regulation of the commercial aspects of the state's medical marijuana program from the Department of Health to the Department of Business Regulation. This includes overseeing the state's medical marijuana dispensaries (known as compassion centers); medical marijuana cultivators; caregivers who can grow marijuana on behalf of patients; and patients who choose to grow for themselves. The 2016 General Assembly passed the Hemp Growth Act, which established DBR as the regulator of hemp growers and handlers. As the regulator of adult use marijuana, OCR is tasked with licensing marijuana cultivators, processors, and retailers. OCR processes license application, conducts inspections, and undertakes enforcement actions when needed. OCR works alongside the Division of Taxation to ensure proper collection of marijuana excise and sales taxes, and to license and collect tax from entities selling cannabidiol (CBD) products derived from industrial hemp. OCR aids local and state law enforcement in the proper enforcement of the state's cannabis laws. OCR also helps the Department of Public Health and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals to address the prevention, treatment, and public health aspects of a legal cannabis market.

Statutory History

R.I. General Laws § 21-28.11 establishes The Office of Cannabis Regulation. RIGL § 21-28.6 establishes DBR's role as the regulator of the commercial medical marijuana market. RIGL § 2-26 gives DBR oversight over industrial hemp. RIGL § 21-28.11, known as the Rhode Island Cannabis Act, establishes a legal and regulatory structure for adult use cannabis in Rhode Island and situates the OCR as the lead regulatory entity during the transition to consolidated governance under the future Cannabis Control Commission.

Budget

Department of Business Regulation

Office of Cannabis Regulation

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Adult Use Marijuana Program	0	0	3,989,739	3,991,564	4,312,925
Medical Marijuana Program	1,083,314	1,211,386	2,472,497	2,457,964	1,804,280
Total Expenditures	1,083,314	1,211,386	6,462,236	6,449,528	6,117,205
Expenditures by Object					
Salary and Benefits	768,271	971,075	2,894,290	2,884,274	3,144,753
Contract Professional Services	274,723	212,500	275,750	275,750	275,750
Operating Supplies and Expenses	31,392	27,811	1,994,696	1,992,004	1,282,922
Assistance and Grants	0	0	250,000	250,000	250,000
Subtotal: Operating	1,074,385	1,211,386	5,414,736	5,402,028	4,953,425
Capital Purchases and Equipment	8,929	0	1,047,500	1,047,500	1,163,780
Subtotal: Other	8,929	0	1,047,500	1,047,500	1,163,780
Total Expenditures	1,083,314	1,211,386	6,462,236	6,449,528	6,117,205
Expenditures by Source of Funds					
General Revenue	0	0	1,440,464	1,440,464	1,556,744
Restricted Receipts	1,083,314	1,211,386	5,021,772	5,009,064	4,560,461
Total Expenditures	1,083,314	1,211,386	6,462,236	6,449,528	6,117,205

Personnel

Department of Business Regulation

Office of Cannabis Regulation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0124 A	3.0	159,588	3.0	162,248
ADMINISTRATIVE OFFICER	AB24 A	1.0	61,477	1.0	63,014
CHIEF FINANCIAL OFFICER I	0141 A	1.0	102,750	1.0	105,319
CHIEF IMPLEMENTATION AIDE	AB28 A	1.0	67,175	1.0	71,924
CHIEF OF INSPECTIONS	0135 A	1.0	83,167	1.0	85,246
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	130,336	1.0	133,594
CHIEF PUBLIC PROTECTION INSPECTOR	AB32 A	7.0	535,106	7.0	548,485
ECONOMIC AND POLICY ANALYST I	0130 A	1.0	68,758	1.0	70,477
INVESTIGATIVE AUDITOR	0133 A	2.0	164,637	2.0	168,753
LEGAL COUNSEL	0132 A	2.0	151,852	2.0	162,275
LICENSING AIDE	AB15 A	2.0	84,606	2.0	85,664
PRINCIPAL ECONOMIC AND POLICY ANALYST	0138 A	2.0	189,420	2.0	198,715
Subtotal Classified		24.0	1,798,872	24.0	1,855,714
Unclassified					
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	0145 A	1.0	124,000	1.0	124,000
Subtotal Unclassified		1.0	124,000	1.0	124,000
Subtotal		25.0	1,922,872	25.0	1,979,714
Turnover			(203,701)		(111,657)
Total Salaries			1,719,171		1,868,057
Benefits					
Contract Stipends			19,500		15,375
FICA			131,857		142,910
Health Benefits			369,014		389,325
Payroll Accrual			0		10,842
Retiree Health			77,017		84,436
Retirement			499,811		560,018
Subtotal			1,097,199		1,202,906
Total Salaries and Benefits		25.0	2,816,370	25.0	3,070,963
Cost Per FTE Position			112,655		122,839
Statewide Benefit Assessment			67,904		73,790
Payroll Costs		25.0	2,884,274	25.0	3,144,753
Purchased Services					
Clerical and Temporary Services			25,000		25,000
Other Contracts			250,750		250,750

Personnel

Department of Business Regulation

Office of Cannabis Regulation

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		275,750		275,750
Total Personnel	25.0	3,160,024	25.0	3,420,503
Distribution by Source of Funds				
Restricted Receipts	25.0	3,160,024	25.0	3,420,503
Total All Funds	25.0	3,160,024	25.0	3,420,503

Performance Measures

Department of Business Regulation

Office of Cannabis Regulation

Medical Plant Tags

Number of Plant Tags issued to medical home-grow patients.

[Note: This is a new metric for FY 2023; historical actuals and targets are not available. Targets are not utilized in this metric as it is solely dependent on industry and public demand.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	--	--
Actual	--	12,246	7,742	--	--

Agency Summary

Executive Office of Commerce

Agency Mission

To promote and encourage the preservation, expansion, and sound development of new and existing industry, business, commerce, agriculture, tourism, and recreational facilities in the State. To create opportunities for economic stability and employment through a business climate that fosters opportunity for all Rhode Islanders.

Agency Description

The Executive Office of Commerce is authorized and established as the state's lead agency for economic development throughout Rhode Island and serves as the principal agency of the executive branch of state government for managing the promotion of commerce and the economy within the State. The Secretary of Commerce, appointed by the Governor with the advice and consent of the Senate, oversees the Executive Office of Commerce. The Secretary is charged with coordinating a cohesive direction of the State's economic development activities. The agency oversees the state's Office of Housing and Community Development, the Commerce Corporation (and all pass-through grant appropriations), the I195 Redevelopment District Commission, and the Department of Business Regulation. From time to time, the Executive Office of Commerce is also tasked with facilitating other special governmental programs and initiatives.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Executive Office of Commerce

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	3,342,084	2,319,215	2,356,175	2,161,710	2,249,368
Housing and Community Development	32,885,193	51,122,565	122,311,142	140,705,667	0
Quasi-Public Appropriations	12,382,141	20,536,230	26,240,014	31,995,336	68,261,774
Economic Development Initiatives Fund	63,691,797	68,089,392	36,950,000	42,079,000	76,110,000
Commerce Programs	1,200,000	20,283,632	54,833,000	53,321,368	118,823,500
Total Expenditures	113,501,215	162,351,034	242,690,331	270,263,081	265,444,642
Expenditures by Object					
Salary and Benefits	1,853,489	2,035,887	3,281,829	2,697,844	1,039,888
Contract Professional Services	173,865	203,105	7,261,000	921,391	0
Operating Supplies and Expenses	624,511	1,240,274	8,476,684	5,032,377	204,230
Assistance and Grants	89,659,135	90,769,853	79,425,554	100,234,340	30,473,500
Subtotal: Operating	92,311,000	94,249,119	98,445,067	108,885,952	31,717,618
Capital Purchases and Equipment	4,991	14,150	1,810,250	2,065,572	1,705,250
Operating Transfers	21,185,223	68,087,765	142,435,014	159,311,557	232,021,774
Subtotal: Other	21,190,214	68,101,915	144,245,264	161,377,129	233,727,024
Total Expenditures	113,501,215	162,351,034	242,690,331	270,263,081	265,444,642
Expenditures by Source of Funds					
General Revenue	59,658,803	84,243,173	49,213,482	54,244,460	77,671,142
Federal Funds	48,445,645	66,453,957	185,007,699	207,294,149	187,073,500
Restricted Receipts	5,132,883	5,064,835	7,664,150	7,664,150	0
Operating Transfers From Other Funds	263,883	6,589,069	805,000	1,060,322	700,000
Total Expenditures	113,501,215	162,351,034	242,690,331	270,263,081	265,444,642
FTE Authorization	14.0	16.0	20.0	20.0	5.0

Personnel Agency Summary

Executive Office of Commerce

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	15.0	1,323,656	0.0	0
Unclassified	5.0	584,269	5.0	654,145
Subtotal	20.0	1,907,925	5.0	654,145
Turnover		(220,415)		0
Total Salaries		1,687,510		654,145
Benefits				
Contract Stipends		5,310		0
FICA		123,700		44,503
Health Benefits		255,679		90,192
Payroll Accrual		0		3,797
Retiree Health		75,601		29,568
Retirement		483,388		191,844
Subtotal		943,678		359,904
Total Salaries and Benefits	20.0	2,631,188	5.0	1,014,049
Cost Per FTE Position		131,559		202,810
Statewide Benefit Assessment		66,656		25,839
Payroll Costs	20.0	2,697,844	5.0	1,039,888
Purchased Services				
Other Contracts		921,391		0
Subtotal		921,391		0
Total Personnel	20.0	3,619,235	5.0	1,039,888
Distribution by Source of Funds				
General Revenue	14.0	1,866,261	5.0	1,039,888
Federal Funds	6.0	1,752,974	0.0	0
Total All Funds	20.0	3,619,235	5.0	1,039,888

Program Summary

Executive Office of Commerce

Central Management

Mission

To coordinate the administration and financing of the functions within the Executive Office of Commerce.

Description

The Central Management function of the Executive Office of Commerce is overseen by the Secretary of Commerce. This unit oversees the various functions of the Executive Office of Commerce and is responsible for the strategic planning, administration, and financing of economic development in the state.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce.

Budget

Executive Office of Commerce

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	3,342,084	2,319,215	2,356,175	2,161,710	2,249,368
Total Expenditures	3,342,084	2,319,215	2,356,175	2,161,710	2,249,368
Expenditures by Object					
Salary and Benefits	773,261	812,482	1,137,056	944,507	1,039,888
Contract Professional Services	93,525	0	0	0	0
Operating Supplies and Expenses	186,960	268,099	213,869	211,953	204,230
Assistance and Grants	2,000,354	1,000,000	0	0	0
Subtotal: Operating	3,054,100	2,080,581	1,350,925	1,156,460	1,244,118
Capital Purchases and Equipment	1,037	5,477	1,005,250	1,005,250	1,005,250
Operating Transfers	286,946	233,157	0	0	0
Subtotal: Other	287,984	238,634	1,005,250	1,005,250	1,005,250
Total Expenditures	3,342,084	2,319,215	2,356,175	2,161,710	2,249,368
Expenditures by Source of Funds					
General Revenue	1,598,797	2,017,628	2,356,175	2,161,710	2,249,368
Federal Funds	1,743,286	301,587	0	0	0
Total Expenditures	3,342,084	2,319,215	2,356,175	2,161,710	2,249,368

Personnel

Executive Office of Commerce

Central Management

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE SECRETARY	0827 A	0.0	0	1.0	66,200
CHIEF FISCAL MANAGER	0835 A	0.0	0	1.0	94,926
CHIEF OF STAFF (OFFICE OF COMMERCE)	0847 A	1.0	149,799	1.0	159,306
SECRETARY OF COMMERCE	0856 A	2.0	226,907	1.0	233,830
SECRETARY OF HOUSING	0853 A	1.0	163,359	0.0	0
SENIOR POLICY ANALYST	0839 A	1.0	44,204	1.0	99,883
Subtotal Unclassified		5.0	584,269	5.0	654,145
Subtotal		5.0	584,269	5.0	654,145
Transfer In			54,841		0
Turnover			(27,824)		0
Total Salaries			611,286		654,145
Benefits					
FICA			41,248		44,503
Health Benefits			64,632		90,192
Payroll Accrual			0		3,797
Retiree Health			27,385		29,568
Retirement			175,810		191,844
Subtotal			309,075		359,904
Total Salaries and Benefits		5.0	920,361	5.0	1,014,049
Cost Per FTE Position			184,072		202,810
Statewide Benefit Assessment			24,146		25,839
Payroll Costs		5.0	944,507	5.0	1,039,888
Total Personnel		5.0	944,507	5.0	1,039,888
Distribution by Source of Funds					
General Revenue		5.0	944,507	5.0	1,039,888
Total All Funds		5.0	944,507	5.0	1,039,888

Performance Measures

Executive Office of Commerce

Central Management

Tourist and Visitor Expenditures

Tourism remains a core component of Rhode Island's economy. Commerce is involved across a range of initiatives in supporting the tourism industry. This measure shows the total tourist and visitor expenditure in the state. [Note: The 2021 tourism spend numbers are not yet available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	\$800,000,000	\$200,000,000	\$1,100,000,000	\$1,100,000,000	\$1,100,000,000
Actual	\$1,100,000,000	--	--	--	--

Program Summary

Executive Office of Commerce

Housing and Community Development

Mission

To provide financial and operational support for all housing programs administered by the Housing Resources Commission, Federal Community Development Block (CDBG) program, and related programs. To provide opportunities for healthy and affordable housing through production and the coordination of the homeless system and implementation of the State's plan to end homelessness.

Description

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers Federal Community Development Block Grant (CDBG) funds and related programs. The Housing Resources Commission (HRC) is responsible for the disbursement of funds for a rental assistance program, which will provide housing to homeless individuals and families by non-profit homeless service providers.

Statutory History

R.I. General Laws § 42-64.19-7(h) establishes the state's housing and community development functions within the Executive Office of Commerce.

Budget

Executive Office of Commerce

Housing and Community Development

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Housing and Community Development	22,911,317	46,057,773	114,646,992	130,341,517	0
Housing Resources Commission	9,973,876	5,064,792	7,664,150	10,364,150	0
Total Expenditures	32,885,193	51,122,565	122,311,142	140,705,667	0
Expenditures by Object					
Salary and Benefits	1,080,227	1,223,404	2,144,773	1,753,337	0
Contract Professional Services	80,340	203,105	5,761,000	921,391	0
Operating Supplies and Expenses	430,401	400,015	6,262,815	4,820,424	0
Assistance and Grants	31,212,097	28,552,765	35,642,554	54,451,340	0
Subtotal: Operating	32,803,065	30,379,290	49,811,142	61,946,492	0
Capital Purchases and Equipment	3,954	8,673	0	0	0
Operating Transfers	78,174	20,734,603	72,500,000	78,759,175	0
Subtotal: Other	82,128	20,743,276	72,500,000	78,759,175	0
Total Expenditures	32,885,193	51,122,565	122,311,142	140,705,667	0
Expenditures by Source of Funds					
General Revenue	5,841,748	12,228,384	1,522,293	1,247,736	0
Federal Funds	21,910,562	33,829,347	113,124,699	131,793,781	0
Restricted Receipts	5,132,883	5,064,835	7,664,150	7,664,150	0
Total Expenditures	32,885,193	51,122,565	122,311,142	140,705,667	0

Personnel

Executive Office of Commerce

Housing and Community Development

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	1.0	109,682	0.0	0
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	1.0	110,093	0.0	0
CHIEF IMPLEMENTATION AIDE	0128 A	2.0	129,974	0.0	0
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	0138 A	1.0	122,986	0.0	0
CHIEF OF LEGAL SERVICES	0139 A	1.0	97,159	0.0	0
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	81,476	0.0	0
HOUSING COMMISSION COORDINATOR	0128 A	1.0	79,095	0.0	0
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	2.0	195,256	0.0	0
PRINCIPAL HOUSING SPECIALIST	0129 A	1.0	67,072	0.0	0
PRINCIPAL PLANNER	0129 A	1.0	83,077	0.0	0
PRINCIPAL PLANNER	3529 A	1.0	88,896	0.0	0
PRODUCTIVITY PROJECT DIRECTOR	0130 A	1.0	69,898	0.0	0
PROGRAMMING SERVICES OFFICER	0131 A	1.0	88,992	0.0	0
Subtotal Classified		15.0	1,323,656	0.0	0
Subtotal		15.0	1,323,656	0.0	0
Transfer Out			(54,841)		0
Turnover			(192,591)		0
Total Salaries			1,076,224		0
Benefits					
Contract Stipends			5,310		0
FICA			82,452		0
Health Benefits			191,047		0
Retiree Health			48,216		0
Retirement			307,578		0
Subtotal			634,603		0
Total Salaries and Benefits		15.0	1,710,827	0.0	0
Cost Per FTE Position			114,055		0
Statewide Benefit Assessment			42,510		0
Payroll Costs		15.0	1,753,337	0.0	0
Purchased Services					
Other Contracts			921,391		0
Subtotal			921,391		0
Total Personnel		15.0	2,674,728	0.0	0

Personnel

Executive Office of Commerce

Housing and Community Development

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	9.0	921,754	0.0	0
Federal Funds	6.0	1,752,974	0.0	0
Total All Funds	15.0	2,674,728	0.0	0

Program Summary

Executive Office of Commerce

Quasi-Public Appropriations

Mission

To supervise the work of the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other special governmental programs and initiatives in the areas of economic development and research.

Description

This program includes appropriations made to the Rhode Island Commerce Corporation, the I-195 Redevelopment District Commission, and other related initiatives.

Statutory History

R.I. General Laws § 42-64.19-6(1) charges the Secretary of Commerce with the supervision of the Rhode Island Commerce Corporation's works, which include pass-through funding to the I-195 Redevelopment District Commission and other economic development programs and functions. RIGL § 42-64 establishes the Rhode Island Commerce Corporation. RIGL §42-64.14 is the I-195 Redevelopment Act of 2011, of which §42-64.14-5 establishes the I-195 Redevelopment District Commission.

Budget

Executive Office of Commerce

Quasi-Public Appropriations

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
I-195 Redevelopment District Commission	1,024,883	1,350,069	1,766,000	2,001,048	1,945,050
Quonset Development Corporation	0	7,200,000	6,000,000	6,020,274	54,000,000
RI Commerce Corporation	7,431,022	7,659,564	7,947,778	7,947,778	8,290,488
RI Commerce Corporation Pass Through Grants	3,926,236	4,326,597	10,526,236	16,026,236	4,026,236
Total Expenditures	12,382,141	20,536,230	26,240,014	31,995,336	68,261,774
Expenditures by Object					
Assistance and Grants	9,147,038	15,708,861	8,400,000	10,400,000	900,000
Subtotal: Operating	9,147,038	15,708,861	8,400,000	10,400,000	900,000
Capital Purchases and Equipment	0	0	805,000	1,060,322	700,000
Operating Transfers	3,235,103	4,827,369	17,035,014	20,535,014	66,661,774
Subtotal: Other	3,235,103	4,827,369	17,840,014	21,595,336	67,361,774
Total Expenditures	12,382,141	20,536,230	26,240,014	31,995,336	68,261,774
Expenditures by Source of Funds					
General Revenue	12,118,258	13,947,161	19,435,014	24,935,014	13,561,774
Federal Funds	0	0	6,000,000	6,000,000	54,000,000
Operating Transfers from Other Funds	263,883	6,589,069	805,000	1,060,322	700,000
Total Expenditures	12,382,141	20,536,230	26,240,014	31,995,336	68,261,774

Program Summary

Executive Office of Commerce

Economic Development Initiatives Fund

Mission

To be utilized to fund various economic development initiatives and programs within state government.

Description

This program includes funds for specific development initiatives and programs that the Executive Office uses to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island. Funding was initially appropriated for the Economic Development Initiatives Fund as part of the FY2016 Appropriations Act to support a number of new initiatives and programs designed to spur the development of commerce in the state

Budget

Executive Office of Commerce

Economic Development Initiatives Fund

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Economic Development Initiatives Fund	63,691,797	68,089,392	36,950,000	42,079,000	76,110,000
Total Expenditures	63,691,797	68,089,392	36,950,000	42,079,000	76,110,000
Expenditures by Object					
Contract Professional Services	0	0	1,500,000	0	0
Operating Supplies and Expenses	7,151	566,555	0	0	0
Assistance and Grants	46,099,646	43,908,227	20,000,000	20,000,000	20,000,000
Subtotal: Operating	46,106,797	44,474,782	21,500,000	20,000,000	20,000,000
Operating Transfers	17,585,000	23,614,610	15,450,000	22,079,000	56,110,000
Subtotal: Other	17,585,000	23,614,610	15,450,000	22,079,000	56,110,000
Total Expenditures	63,691,797	68,089,392	36,950,000	42,079,000	76,110,000
Expenditures by Source of Funds					
General Revenue	38,900,000	54,450,000	15,450,000	15,450,000	54,610,000
Federal Funds	24,791,797	13,639,392	21,500,000	26,629,000	21,500,000
Total Expenditures	63,691,797	68,089,392	36,950,000	42,079,000	76,110,000

Program Summary

Executive Office of Commerce

Commerce Programs

Mission

To house the economic initiatives that will carry out the mission of the Executive Office of Commerce.

Description

This program includes those economic development initiatives and programs that will enable the Executive Office of Commerce to carry out its mission of promoting and developing commerce and creating opportunity for all Rhode Islanders.

Statutory History

The Executive Office of Commerce was created by the 2013 General Assembly for the purpose of developing an integrated system of economic development activities. R.I. General Laws § 42-64.19 establishes the Executive Office of Commerce as the state's lead agency for economic development throughout Rhode Island.

Budget

Executive Office of Commerce

Commerce Programs

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Economic Initiatives	1,200,000	20,283,632	54,833,000	53,321,368	118,823,500
Total Expenditures	1,200,000	20,283,632	54,833,000	53,321,368	118,823,500
Expenditures by Object					
Operating Supplies and Expenses	0	5,605	2,000,000	0	0
Assistance and Grants	1,200,000	1,600,000	15,383,000	15,383,000	9,573,500
Subtotal: Operating	1,200,000	1,605,605	17,383,000	15,383,000	9,573,500
Operating Transfers	0	18,678,027	37,450,000	37,938,368	109,250,000
Subtotal: Other	0	18,678,027	37,450,000	37,938,368	109,250,000
Total Expenditures	1,200,000	20,283,632	54,833,000	53,321,368	118,823,500
Expenditures by Source of Funds					
General Revenue	1,200,000	1,600,000	10,450,000	10,450,000	7,250,000
Federal Funds	0	18,683,632	44,383,000	42,871,368	111,573,500
Total Expenditures	1,200,000	20,283,632	54,833,000	53,321,368	118,823,500

Performance Measures

Executive Office of Commerce

Commerce Programs

Small Business Loans (Count)

More than half of Rhode Island workers are employed by small businesses and more than 98 percent of all businesses in the state are small. Supporting small businesses is a priority to Commerce. Providing small businesses access to capital is crucial to their continued success and growth. Measuring the number of small businesses receiving loans is a critical metric assessing several Commerce programs (SBDF, SSBCI, SBLF, and SBAP). [Note: 2022 data covers the calendar year through November 30, 2022. This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	500	500
Actual	160	168	416	--	--

Small Business Loans (Value)

More than half of Rhode Island workers are employed by small businesses and more than 98 percent of all businesses in the state are small. Supporting small businesses is a priority to Commerce. Providing small businesses access to capital is crucial to their continued success and growth. Measuring the amount of dollars given to small businesses as loans is a critical metric assessing several Commerce programs (SBDF, SSBCI, SBLF, and SBAP). [Note: 2022 data covers the calendar year through November 30, 2022. This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	\$15,000,000	\$15,000,000
Actual	\$19,000,000	\$22,283,154	\$19,005,000	--	--

Broadband Performance

Commerce is actively working on assessing state broadband performance and developing a plan for broadband improvement. This metric measures the percentage of internet speed tests taken that recorded a download speed above 100 mbps and an upload speed above 100 mbps. Annually measuring the percentage of speed tests that measure as high-speed internet will assess Commerce's impact on broadband performance. [Note: 2022 data covers the calendar year through November 30, 2022. This is a new performance measure. Historical actuals and targets are not available.]

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	25%	30%
Actual	--	--	23.0%	--	--

Renewable Energy Fund Recipients

The Renewable Energy Fund supports businesses by helping them reduce energy costs and helps the state meet its ambitious climate goals. Measuring the amount of dollars disbursed to businesses through the Renewable Energy Fund is a critical program metric. Covers the calendar year through September 30, 2022. [Note: This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	\$2,665,746	\$3,000,000
Actual	\$4,551,791	\$4,739,279	\$5,564,829	--	--

Agency Summary

Department of Housing

Agency Mission

The Department of Housing will allow the State of Rhode Island to better address the housing challenges facing Rhode Islanders with available state and federal resources.

Agency Description

The law creating the Department of Housing elevated the position of Deputy Secretary of Housing to Secretary of Housing, who is provided direct oversight over the Office of Housing and Community Development and is tasked with coordinating with all agencies directly related to housing initiatives, including the Rhode Island Housing and Mortgage Finance Corporation, Coastal Resources Management Council, Department of Environmental Management, Department of Business Regulation, Department of Transportation, and Housing Resources Commission.

Statutory History

The Department of Housing was established as a separate department within the Executive Branch effective January 1, 2023, by the General Assembly during the legislative session in 2022.

Budget

Department of Housing

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	0	0	0	0	182,155,943
Total Expenditures	0	0	0	0	182,155,943
Expenditures by Object					
Salary and Benefits	0	0	0	0	5,364,538
Contract Professional Services	0	0	0	0	500,000
Operating Supplies and Expenses	0	0	0	0	1,433,065
Assistance and Grants	0	0	0	0	64,253,340
Subtotal: Operating	0	0	0	0	71,550,943
Capital Purchases and Equipment	0	0	0	0	105,000
Operating Transfers	0	0	0	0	110,500,000
Subtotal: Other	0	0	0	0	110,605,000
Total Expenditures	0	0	0	0	182,155,943
Expenditures by Source of Funds					
General Revenue	0	0	0	0	4,997,895
Federal Funds	0	0	0	0	169,493,898
Restricted Receipts	0	0	0	0	7,664,150
Total Expenditures	0	0	0	0	182,155,943
FTE Authorization	0.0	0.0	0.0	0.0	38.0

Personnel Agency Summary

Department of Housing

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	0.0	0	36.0	2,929,238
Unclassified	0.0	0	2.0	348,652
Subtotal	0.0	0	38.0	3,277,890
Total Salaries		0		3,277,890
Benefits				
Contract Stipends		0		510
FICA		0		247,982
Health Benefits		0		582,819
Payroll Accrual		0		19,026
Retiree Health		0		148,163
Retirement		0		958,673
Subtotal		0		1,957,173
Total Salaries and Benefits	0.0	0	38.0	5,235,063
Cost Per FTE Position		0		137,765
Statewide Benefit Assessment		0		129,475
Payroll Costs	0.0	0	38.0	5,364,538
Purchased Services				
Other Contracts		0		500,000
Subtotal		0		500,000
Total Personnel	0.0	0	38.0	5,864,538
Distribution by Source of Funds				
General Revenue	0.0	0	32.0	4,450,178
Federal Funds	0.0	0	6.0	1,414,360
Total All Funds	0.0	0	38.0	5,864,538

Budget

Department of Housing

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Administration	0	0	0	0	15,493,898
Community Planning and Funding	0	0	0	0	10,000,000
Housing Development	0	0	0	0	103,000,000
Housing Stabilization	0	0	0	0	41,000,000
Secretary	0	0	0	0	12,662,045
Total Expenditures	0	0	0	0	182,155,943
Expenditures by Object					
Salary and Benefits	0	0	0	0	5,364,538
Contract Professional Services	0	0	0	0	500,000
Operating Supplies and Expenses	0	0	0	0	1,433,065
Assistance and Grants	0	0	0	0	64,253,340
Subtotal: Operating	0	0	0	0	71,550,943
Capital Purchases and Equipment	0	0	0	0	105,000
Operating Transfers	0	0	0	0	110,500,000
Subtotal: Other	0	0	0	0	110,605,000
Total Expenditures	0	0	0	0	182,155,943
Expenditures by Source of Funds					
General Revenue	0	0	0	0	4,997,895
Federal Funds	0	0	0	0	169,493,898
Restricted Receipts	0	0	0	0	7,664,150
Total Expenditures	0	0	0	0	182,155,943

Personnel

Department of Housing

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	0.0	0	1.0	116,319
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	0.0	0	1.0	112,765
CHIEF IMPLEMENTATION AIDE	0128 A	0.0	0	2.0	138,035
CHIEF OFFICE OF HOUSING AND COMMUNITY DEVELOPMENT	0138 A	0.0	0	1.0	126,058
CHIEF OF LEGAL SERVICES	0139 A	0.0	0	1.0	103,330
CHIEF PROGRAM DEVELOPMENT	0134 A	0.0	0	2.0	173,242
CHIEF PUBLIC AFFAIRS OFFICER	0137 A	0.0	0	2.0	186,150
COMPLIANCE/EVALUATION STANDARDIZATION OFFICER	0331 A	0.0	0	2.0	147,700
ECONOMIC AND POLICY ANALYST I	0130 A	0.0	0	1.0	71,687
HOUSING COMMISSION COORDINATOR	0128 A	0.0	0	1.0	81,073
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	0.0	0	3.0	307,294
LEGAL ASSISTANT	0319 A	0.0	0	1.0	48,015
LEGAL COUNSEL	0132 A	0.0	0	1.0	77,445
PRINCIPAL HOUSING SPECIALIST	0129 A	0.0	0	1.0	71,268
PRINCIPAL PLANNER	0129 A	0.0	0	1.0	85,135
PRINCIPAL PLANNER	3529 A	0.0	0	1.0	91,118
PRINCIPAL PROGRAM ANALYST	0328 A	0.0	0	8.0	529,072
PRODUCTIVITY PROJECT DIRECTOR	0130 A	0.0	0	1.0	74,277
PROGRAMMING SERVICES OFFICER	0131 A	0.0	0	5.0	389,255
Subtotal Classified		0.0	0	36.0	2,929,238
Unclassified					
CHIEF OF STAFF (OFFICE OF COMMERCE)	0847 A	0.0	0	1.0	153,636
SECRETARY OF HOUSING	0853 A	0.0	0	1.0	195,016
Subtotal Unclassified		0.0	0	2.0	348,652
Subtotal		0.0	0	38.0	3,277,890
Total Salaries			0		3,277,890
Benefits					
Contract Stipends			0		510
FICA			0		247,982
Health Benefits			0		582,819
Payroll Accrual			0		19,026
Retiree Health			0		148,163
Retirement			0		958,673
Subtotal			0		1,957,173

Personnel

Department of Housing

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Salaries and Benefits	0.0	0	38.0	5,235,063
Cost Per FTE Position		0		137,765
Statewide Benefit Assessment		0		129,475
Payroll Costs	0.0	0	38.0	5,364,538
Purchased Services				
Other Contracts		0		500,000
Subtotal		0		500,000
Total Personnel	0.0	0	38.0	5,864,538
Distribution by Source of Funds				
General Revenue	0.0	0	32.0	4,450,178
Federal Funds	0.0	0	6.0	1,414,360
Total All Funds	0.0	0	38.0	5,864,538

Performance Measures

Department of Housing

Central Management

Affordable Housing Construction

The Department of Housing measures the number of affordable housing units built via programs supported by American Rescue Plan Act (ARPA) State and Local Fiscal Recovery Funds (SFRF), beginning in January 2023. The figures below represent the number of affordable housing units preserved or developed using ARPA SFRF funds.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	36	439
Actual	--	--	--	--	--

Homelessness Services

The figures below represent the number of homeless households receiving emergency housing and stabilization services via program supported by ARPA SFRF. [Note: FY 2024 targets are under development.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	76	--
Actual	--	--	--	--	--

Agency Summary

Department of Labor and Training

Agency Mission

To provide and administer public programs for workforce development, income support, injured workers services, and workforce regulation and safety that respond to customer expectations, leads to an improved economy, and enhance the quality of life for all residents in Rhode Island.

Agency Description

The Department of Labor and Training is the primary workforce agency responsible for the administration of a comprehensive array of employment and training services for Rhode Island's job seekers and business community. It executes programs and administers laws governing seven program areas: Central Management, Income Support, Workforce Development Services, Inured Workers Services, Workforce Regulation and Safety, the Labor Relations Board, and the Governor's Workforce Board. The Central Management program is responsible for strategic planning, policy development, and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources. The Income Support program encompasses all functions and activities related to Unemployment Insurance (UI), Temporary Disability Insurance (TDI), Temporary Caregivers Insurance (TCI), and the Police and Fire Relief Fund. The Workforce Development Program administers federal and state employment and training programs designed to help individuals find gainful employment and employers with skilled workers. The Injured Workers Services program operates the State's Workers' Compensation System. The Chief Judge Robert F. Arrigan Rehabilitation Center provides work hardening rehabilitation services for workers injured on the job. The Workers' Compensation Education unit educates and provides information to workers and employers regarding Workers' Compensation laws and regulations. The Workforce Regulation and Safety program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures. The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices. The Governor's Workforce Board was established to unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders. This alignment ensures strategies that create and address a demand-driven workforce agenda that is responsive to the needs of Rhode Island businesses.

Statutory History

R.I. General Laws § 42-16 created the department in 1996. RIGL § 42-6 authorizes the appointment of the Director of Labor and Training.

Budget

Department of Labor and Training

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	524,449	1,557,641	1,444,962	836,467	2,194,817
Workforce Development Services	22,584,182	17,589,605	20,575,740	38,560,118	27,930,817
Workforce Regulation and Safety	3,112,614	3,935,301	4,240,619	3,972,975	4,542,857
Income Support	2,542,555,157	722,156,705	555,862,349	514,177,377	440,077,810
Injured Workers Services	9,524,786	9,442,684	11,403,127	10,253,503	10,860,358
Labor Relations Board	375,715	450,878	452,822	544,502	553,932
Governor's Workforce Board	42,441,977	28,183,669	40,474,377	42,945,058	43,306,830
Total Expenditures	2,621,118,882	783,316,484	634,453,996	611,290,000	529,467,421
Expenditures by Object					
Salary and Benefits	45,612,244	51,051,342	54,973,740	52,077,372	55,868,828
Contract Professional Services	47,358,412	27,951,724	9,189,413	8,065,876	8,921,101
Operating Supplies and Expenses	13,018,944	13,535,714	126,509,762	115,286,905	16,742,490
Assistance and Grants	2,505,134,514	688,845,454	437,354,415	429,875,531	444,143,702
Subtotal: Operating	2,611,124,115	781,384,234	628,027,330	605,305,684	525,676,121
Capital Purchases and Equipment	231,091	232,160	144,405	2,709,316	516,300
Operating Transfers	9,763,676	1,700,090	6,282,261	3,275,000	3,275,000
Subtotal: Other	9,994,768	1,932,250	6,426,666	5,984,316	3,791,300
Total Expenditures	2,621,118,882	783,316,484	634,453,996	611,290,000	529,467,421
Expenditures by Source of Funds					
General Revenue	12,667,543	15,466,165	22,842,351	22,634,298	17,725,177
Federal Funds	2,021,370,538	377,652,140	187,176,605	171,277,006	75,724,375
Restricted Receipts	19,794,601	27,206,409	32,302,318	35,313,317	30,935,203
Other Funds	567,286,199	362,991,771	392,132,722	382,065,379	405,082,666
Total Expenditures	2,621,118,882	783,316,484	634,453,996	611,290,000	529,467,421
FTE Authorization	425.7	461.7	461.7	461.7	461.7

Personnel Agency Summary

Department of Labor and Training

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	447.6	30,577,514	447.6	31,590,315
Unclassified	14.1	1,562,072	14.1	1,589,250
Subtotal	461.7	32,139,586	461.7	33,179,565
Overtime		629,635		633,311
Seasonal/Special Salaries/Wages		111,168		113,945
Turnover		(1,274,029)		(12,666)
Total Salaries		31,606,360		33,914,155
Benefits				
Contract Stipends		458,763		5,636
FICA		2,397,740		2,542,536
Health Benefits		6,042,024		6,459,007
Payroll Accrual		0		193,157
Retiree Health		1,398,718		1,515,391
Retirement		8,950,180		9,924,376
Subtotal		19,247,425		20,640,103
Total Salaries and Benefits	461.7	50,853,785	461.7	54,554,258
Cost Per FTE Position		110,145		118,160
Statewide Benefit Assessment		1,223,587		1,314,570
Payroll Costs	461.7	52,077,372	461.7	55,868,828
Purchased Services				
Clerical and Temporary Services		385,623		389,479
Information Technology		2,973,611		3,981,151
Legal Services		425,949		301,608
Management & Consultant Services		399,702		399,702
Medical Services		1,765,014		1,725,094
Other Contracts		1,857,155		1,862,657
Training and Educational Services		130,000		131,300
University and College Services		128,822		130,110
Subtotal		8,065,876		8,921,101
Total Personnel	461.7	60,143,248	461.7	64,789,929
Distribution by Source of Funds				
General Revenue	86.2	5,032,112	86.2	6,287,137
Federal Funds	253.0	33,202,186	253.0	35,889,531
Restricted Receipts	53.5	11,262,310	53.5	11,621,935
Other Funds	69.0	10,646,640	69.0	10,991,326
Total All Funds	461.7	60,143,248	461.7	64,789,929

Program Summary

Department of Labor and Training

Central Management

Mission

To provide leadership, strategic planning and administration of the Department's human resources, division priorities and programs ensuring the efficient and effective management and disbursement of state and federal programs and financial resources allowing for proactive operations and response to the needs of the citizens of Rhode Island.

Description

Organized through the Director's office, the Executive Central Management program provides leadership, management, planning and evaluation of the all department functions, human resources, budget and finance and program performance. This program provides performance data and shares information on key priorities to identify and raise major policy, legislative and program matters on the operation and programs of the department divisions to the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators and other state and national agencies and organizations. The Executive Management Program provides administrative services for the Department including legal, communications, policy and legislation, human resources, facilities and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Communications Unit is responsible for handling strategy and execution of a full array of public relations, marketing and communications activities. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, coordinate the maintenance and support of facilities, incident response and management, and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources unit processes all personnel actions, maintains personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems. The Human Resources, Facilities Management and Information Systems functions are centralized functions and are administered in collaboration with the Department of Administration.

Statutory History

Title 42-16.1 of the Rhode Island General Laws establishes the responsibilities of the Director.

Budget

Department of Labor and Training

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	524,449	1,557,641	1,444,962	836,467	2,194,817
Total Expenditures	524,449	1,557,641	1,444,962	836,467	2,194,817
Expenditures by Object					
Salary and Benefits	194,304	920,725	406,544	342,157	351,917
Contract Professional Services	5,641	5,879	11,000	10,000	1,010,000
Operating Supplies and Expenses	324,492	630,947	1,027,156	484,257	832,846
Assistance and Grants	12	31	12	4	4
Subtotal: Operating	524,449	1,557,581	1,444,712	836,418	2,194,767
Capital Purchases and Equipment	0	60	250	49	50
Subtotal: Other	0	60	250	49	50
Total Expenditures	524,449	1,557,641	1,444,962	836,467	2,194,817
Expenditures by Source of Funds					
General Revenue	338,434	1,194,264	1,065,747	406,957	1,802,264
Restricted Receipts	186,016	363,377	379,215	429,510	392,553
Total Expenditures	524,449	1,557,641	1,444,962	836,467	2,194,817

Personnel

Department of Labor and Training

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0124 A	1.0	59,864	1.0	63,573
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	4.0	392,685	4.0	431,629
ADMINISTRATOR- OPERATIONS MANAGEMENT	0141 A	1.0	119,307	1.0	122,290
ASSISTANT ADMINISTRATIVE OFFICER	0321 A	1.0	61,611	1.0	64,572
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	3.0	264,332	3.0	279,316
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERVICES	0143 A	1.0	129,277	1.0	133,594
ASSISTANT DIRECTOR FOR EMPLOYMENT & TRAINING SVS (DLT)	0139 A	2.0	204,482	2.0	217,289
BUSINESS MANAGEMENT OFFICER	0B26 A	1.0	77,318	1.0	86,060
CHIEF ECONOMIC AND POLICY ANALYST	0142 A	1.0	108,273	1.0	110,979
CHIEF FINANCIAL OFFICER II	0144 A	1.0	149,153	1.0	159,413
CHIEF IMPLEMENTATION AIDE	0128 A	3.0	211,478	3.0	220,141
CHIEF PROGRAM DEVELOPMENT	0134 A	2.0	173,315	2.0	180,755
DATA ANALYST I	0134 A	2.0	170,145	2.0	181,635
DATA ANALYST II	0138 A	1.0	96,194	1.0	102,639
DEPUTY DIRECTOR (DLT)	0144 A	1.0	164,202	1.0	168,278
DLT BUSINESS OFFICER	0321 A	3.0	148,550	3.0	156,325
EMPLOYMENT AND TRAINING ASSISTANT	0316 A	4.0	191,502	4.0	199,159
HEARING OFFICER	0133 A	0.6	80,796	0.6	86,346
IMPLEMENTATION AIDE	0322 A	1.0	64,357	1.0	65,923
INTERNET COMMUNICATIONS SPECIALIST	0128 A	1.0	64,902	1.0	68,926
LABOR STANDARDS EXAMINER	0326 A	1.0	58,749	1.0	62,138
LEGAL ASSISTANT	0319 A	1.0	50,735	1.0	52,717
PRINCIPAL DLT BUSINESS OFFICER	0127 A	2.0	143,398	2.0	72,103
PRINCIPAL INFORMATION AND PUBLIC RELATIONS SPECIALIST	0126 A	1.0	60,270	1.0	63,834
PROGRAMMING SERVICES OFFICER	0131 A	3.0	266,981	3.0	273,659
SENIOR COMPUTER OPERATOR	0318 A	1.0	46,215	1.0	48,382
SENIOR DLT BUSINESS OFFICER	0324 A	4.0	257,733	4.0	268,919
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	0320 A	1.0	53,063	1.0	54,390
SUPERVISING DLT BUSINESS OFFICER	0132 A	1.0	91,810	1.0	97,736
SUPERVISOR OF OFFICE SERVICES (DLT)	0131 A	2.0	159,727	2.0	165,854
Subtotal Classified		51.6	4,120,424	51.6	4,258,574
Unclassified					
DIRECTOR- DEPARTMENT OF LABOR AND TRAINING	0948KF	1.0	177,558	1.0	183,609

Personnel

Department of Labor and Training

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE COUNSEL	0839 A	1.0	125,576	1.0	128,716
LEGAL COUNSEL (EMPLOYMENT SECURITY)	0889 F	5.1	662,913	5.1	679,355
Subtotal Unclassified		7.1	966,047	7.1	991,680
Subtotal		58.7	5,086,471	58.7	5,250,254
Transfer Out			(4,884,328)		(5,039,214)
Transfer In			20,844		21,356
Overtime			122		123
Turnover			(6,818)		(12,666)
Total Salaries			216,291		219,853
Benefits					
Contract Stipends			450		0
FICA			16,571		16,810
Health Benefits			27,667		29,106
Payroll Accrual			0		1,276
Retiree Health			9,753		10,089
Retirement			62,887		66,102
Subtotal			117,328		123,383
Total Salaries and Benefits		58.7	333,619	58.7	343,236
Cost Per FTE Position			5,683		5,847
Statewide Benefit Assessment			8,538		8,681
Payroll Costs		58.7	342,157	58.7	351,917
Purchased Services					
Information Technology			0		1,000,000
Legal Services			10,000		10,000
Subtotal			10,000		1,010,000
Total Personnel		58.7	352,157	58.7	1,361,917
Distribution by Source of Funds					
General Revenue		57.2	70,618	57.2	1,067,361
Restricted Receipts		1.5	281,539	1.5	294,556
Total All Funds		58.7	352,157	58.7	1,361,917

Program Summary

Department of Labor and Training

Workforce Development Services

Mission

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

Description

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic skills development and training. Workforce development services are accomplished through activities conducted through the following sub-programs: The Employment Service subprogram provides our customers with a broad array of services, including: employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs. Individuals served as a target population such as Veterans or Trade are offered these same services by specialized staff. For example, in serving Veterans these services are provided by representatives who are Veterans and they are given priority for referrals to job openings. The Workforce Innovation and Opportunity Act subprogram provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training opportunities. The Trade Adjustment Assistance (TAA) subprogram provides monetary benefits and/or educational assistance to workers who have lost their jobs or whose hours of work and wages have been reduced due to increase imports or a shift in production out of the United States. DLT coordinates with the USDOL's Employment and Training Administration, filing petitions on behalf of the affected worker. TAA services may include job search assistance, educational, interest and aptitude assessment, waivers to training for individuals who pursue employment utilizing their current skills, educational and occupational training, on-the-job training, and relocation allowances and wage supplements. The Alternative Trade Adjustment Assistance program (ATAA) provides additional support services to individuals 50 years of age and older. The RI Works program provides a broad array of services to beneficiaries of Temporary Assistance for Needy Families (TANF) who must work as a first step in their employment plan. Job search skills, resume writing seminars, vocational counseling and a series of work preparation workshops are provided to TANF beneficiaries to help them get a job as quickly as possible. Additional skills building, training and education opportunities are explored once the work requirement has been achieved.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. The Trade Act of 1974 created the Trade Act.

Budget

Department of Labor and Training

Workforce Development Services

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Employment Services	5,777,025	3,644,160	3,466,018	2,331,679	2,939,358
Labor Market Information	849,931	833,991	824,803	734,045	821,853
Veteran Services	506,368	665,835	704,620	490,847	553,751
WIOA & Other Training Programs	15,450,858	12,445,620	15,580,299	35,003,547	23,615,855
Total Expenditures	22,584,182	17,589,605	20,575,740	38,560,118	27,930,817
Expenditures by Object					
Salary and Benefits	7,155,980	8,400,573	8,975,059	9,425,304	10,558,757
Contract Professional Services	1,067,457	775,188	579,611	569,096	568,719
Operating Supplies and Expenses	2,144,875	2,425,959	2,400,537	5,415,881	2,645,228
Assistance and Grants	12,215,862	5,877,609	8,611,044	21,862,762	14,083,153
Subtotal: Operating	22,584,173	17,479,329	20,566,251	37,273,043	27,855,857
Capital Purchases and Equipment	10	110,276	2,228	1,287,075	74,960
Operating Transfers	0	0	7,261	0	0
Subtotal: Other	10	110,276	9,489	1,287,075	74,960
Total Expenditures	22,584,182	17,589,605	20,575,740	38,560,118	27,930,817
Expenditures by Source of Funds					
General Revenue	293,245	322,870	1,103,105	1,645,289	1,101,472
Federal Funds	22,243,599	17,214,074	19,464,609	36,259,307	26,829,345
Other Funds	47,338	52,662	8,026	655,522	0
Total Expenditures	22,584,182	17,589,605	20,575,740	38,560,118	27,930,817

Personnel

Department of Labor and Training

Workforce Development Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0124 A	1.0	61,332	1.0	62,865
ADMINISTRATOR- OPERATIONS MANAGEMENT	0141 A	2.0	244,082	2.0	252,779
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0129 A	6.0	449,735	6.0	463,423
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	0139 A	1.0	100,330	1.0	102,837
AUDITOR	0122 A	1.0	50,740	1.0	53,533
BUSINESS SERVICES SPECIALIST	0324 A	5.0	306,326	5.0	313,985
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	71,905	1.0	73,703
CHIEF OF INFORMATION AND PUBLIC RELATIONS	0129 A	1.0	78,569	1.0	80,534
CHIEF OF LABOR AND TRAINING OPERATIONS	0134 A	2.0	170,945	2.0	175,220
CHIEF OF RESEARCH AND ANALYSIS	0134 A	1.0	101,099	1.0	103,597
CHIEF PROGRAM DEVELOPMENT	0134 A	2.0	165,601	2.0	89,814
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0131 A	10.0	803,105	10.0	831,295
COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRAMS	0131 A	1.0	80,902	1.0	82,925
DATA ANALYST I	0134 A	2.0	174,340	2.0	187,495
DISABLED VETERANS JOB ASSISTANT	0320 A	3.0	156,802	3.0	162,000
EMPLOYMENT AND TRAINING ADMINISTRATOR	0135 A	1.0	111,286	1.0	114,052
EMPLOYMENT AND TRAINING MANAGER	0126 A	1.0	63,783	1.0	67,935
INVESTIGATIVE AUDITOR	0133 A	1.0	78,495	1.0	83,443
LABOR AND TRAINING ADMINISTRATOR	0138 A	1.0	124,020	1.0	127,096
LOCAL VETERANS EMPLOYMENT REPRESENTATIVE	0320 A	1.0	53,064	1.0	54,390
MANAGEMENT ASSISTANCE SUPERVISOR	0131 A	1.0	89,991	1.0	92,217
PRINCIPAL EMPLOYMENT AND TRAINING INTERVIEWER	0323 A	34.0	1,977,366	34.0	1,998,103
PRINCIPAL RESEARCH TECHNICIAN	0127 A	2.0	142,891	2.0	149,333
PRINCIPAL RESEARCH TECHNICIAN	0327 A	1.0	62,156	1.0	65,811
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	0126 A	2.0	132,558	2.0	135,869
SENIOR RESEARCH TECHNICIAN	0323 A	3.0	182,968	3.0	189,270
Subtotal Classified		87.0	6,034,391	87.0	6,113,524
Subtotal		87.0	6,034,391	87.0	6,113,524
Transfer Out			(853,359)		(859,323)
Transfer In			1,186,788		1,120,178
Overtime			3,714		3,646
Turnover			(682,837)		0

Personnel

Department of Labor and Training

Workforce Development Services

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Salaries		5,688,697		6,378,025
Benefits				
Contract Stipends		53,661		(15)
FICA		438,054		487,348
Health Benefits		1,123,533		1,220,396
Payroll Accrual		0		36,993
Retiree Health		256,376		289,804
Retirement		1,640,418		1,894,422
Subtotal		3,512,042		3,928,948
Total Salaries and Benefits	87.0	9,200,739	87.0	10,306,973
Cost Per FTE Position		105,756		118,471
Statewide Benefit Assessment		224,565		251,784
Payroll Costs	87.0	9,425,304	87.0	10,558,757
Purchased Services				
Information Technology		150,618		151,124
Management & Consultant Services		399,702		399,702
Other Contracts		18,776		17,893
Subtotal		569,096		568,719
Total Personnel	87.0	9,994,400	87.0	11,127,476
Distribution by Source of Funds				
General Revenue	0.0	182,689	0.0	188,519
Federal Funds	87.0	9,811,711	87.0	10,938,957
Total All Funds	87.0	9,994,400	87.0	11,127,476

Program Summary

Department of Labor and Training

Workforce Regulation and Safety

Mission

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Description

Workforce Regulation & Safety is a regulatory division charged with enforcing state laws that protect the state's workforce for fair collection of wages, child labor laws, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, informational and educational programs, and enforcement of the various labor laws. The Workplace Fraud Unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, employee misclassification, and overtime provisions. The division also enforces laws regarding child labor, parental and family leave, and industrial homework. The Occupational Safety unit safeguards both public and private sector workplace environments by enforcing laws relating to safety compliance, elevators, boilers, hazardous substances, and weights and measures. The Trade Licensing unit licenses numerous technical professions, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public. The Registered Apprenticeship unit registers apprenticeship training programs. The apprentice training programs are essential in ensuring the creation of criteria for apprenticed occupations, related instruction and the necessary credentialing for a skilled trade workforce. The apprenticeship program also plays an important role in career and vocational day programs in the middle schools, high schools and charter schools in Rhode Island by introducing young students to alternative career choices by providing on-site informational sessions. The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

Budget

Department of Labor and Training

Workforce Regulation and Safety

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Labor Standards	623,437	1,112,473	1,169,335	1,094,645	1,457,472
Occupational Safety	716,294	892,467	950,101	867,650	802,811
Professional Regulations	1,772,883	1,930,361	2,121,183	2,010,680	2,282,574
Total Expenditures	3,112,614	3,935,301	4,240,619	3,972,975	4,542,857
Expenditures by Object					
Salary and Benefits	2,861,619	3,180,334	4,379,118	4,127,188	4,352,275
Contract Professional Services	20,096	7,631	5,100	5,187	5,239
Operating Supplies and Expenses	230,828	744,676	(144,821)	(160,622)	184,108
Assistance and Grants	72	411	167	167	169
Subtotal: Operating	3,112,614	3,933,051	4,239,564	3,971,920	4,541,791
Capital Purchases and Equipment	0	2,250	1,055	1,055	1,066
Subtotal: Other	0	2,250	1,055	1,055	1,066
Total Expenditures	3,112,614	3,935,301	4,240,619	3,972,975	4,542,857
Expenditures by Source of Funds					
General Revenue	3,112,614	3,935,301	4,240,619	3,972,975	4,542,857
Total Expenditures	3,112,614	3,935,301	4,240,619	3,972,975	4,542,857

Personnel

Department of Labor and Training

Workforce Regulation and Safety

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0324 A	1.0	56,074	1.0	59,267
APPRENTICESHIP TRAINING COORDINATOR	0324 A	1.0	64,330	1.0	65,938
ASSISTANT DIRECTOR DEPARTMENT OF LABOR AND TRAINING	0140 A	1.0	113,792	1.0	116,637
CHIEF BOILER AND PRESSURE VESSEL INSPECTOR	0330 A	1.0	76,864	1.0	78,786
CHIEF ELECTRICAL INVESTIGATOR (BD. OF EXAM. OF ELECT.)	0330 A	1.0	76,864	1.0	78,786
CHIEF ELEVATOR INSPECTOR	0330 A	1.0	84,550	1.0	86,665
CHIEF IMPLEMENTATION AIDE	0328 A	1.0	84,254	1.0	86,349
CHIEF LABOR STANDARDS EXAMINER	0330 A	1.0	71,723	1.0	73,517
CHIEF LICENSING EXAMINER-DIVISION OF COMM LICEN & REGUL	0333 A	1.0	101,770	1.0	104,245
CHIEF MECHANICAL INVESTIGATOR (BD OF MECHANICAL EXAMINERS)	0330 A	1.0	80,707	1.0	82,725
CHIEF PLUMBING INVESTIGATOR (BD OF PLUMBING EXAMINERS)	0330 A	2.0	161,629	2.0	168,172
EMPLOYMENT AND TRAINING ADMINISTRATOR	0135 A	1.0	98,900	1.0	101,372
IMPLEMENTATION AIDE	0322 A	3.0	186,269	3.0	192,829
INDUSTRIAL SAFETY SPECIALIST (OCCUPATIONAL SAFETY)	0322 A	1.0	57,899	1.0	61,245
INDUSTRIAL SAFETY TECHNICIAN (BOILER INSPECTION)	0322 A	1.0	69,311	1.0	71,019
LABOR STANDARDS EXAMINER	0326 A	5.0	334,177	5.0	350,310
SENIOR PREVAILING WAGE INVESTIGATOR	0328 A	1.0	63,747	1.0	67,600
SUPERVISOR APPRENTICESHIP TRAINING PROGRAMS	0327 A	2.0	161,261	2.0	165,295
Subtotal Classified		26.0	1,944,121	26.0	2,010,757
Unclassified					
CHIEF HOISTING ENGINEER INVESTIGATOR	0328 A	1.0	76,864	1.0	78,786
Subtotal Unclassified		1.0	76,864	1.0	78,786
Subtotal		27.0	2,020,985	27.0	2,089,543
Transfer Out			(101,424)		(104,916)
Transfer In			655,351		672,178
Turnover			(74,392)		0
Total Salaries			2,500,520		2,656,805

Personnel

Department of Labor and Training

Workforce Regulation and Safety

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		73,471		0
FICA		193,974		203,132
Health Benefits		424,269		457,621
Payroll Accrual		0		15,419
Retiree Health		112,646		120,716
Retirement		723,538		793,638
Subtotal		1,527,898		1,590,526
Total Salaries and Benefits	27.0	4,028,418	27.0	4,247,331
Cost Per FTE Position		149,201		157,309
Statewide Benefit Assessment		98,770		104,944
Payroll Costs	27.0	4,127,188	27.0	4,352,275
Purchased Services				
Other Contracts		5,187		5,239
Subtotal		5,187		5,239
Total Personnel	27.0	4,132,375	27.0	4,357,514
Distribution by Source of Funds				
General Revenue	27.0	4,132,375	27.0	4,357,514
Total All Funds	27.0	4,132,375	27.0	4,357,514

Performance Measures

Department of Labor and Training

Workforce Regulation and Safety

Timeliness of Labor Standards Case Closure

Labor Standards cases are considered closed when the wage claim is dismissed as not valid, settled, or referred to a hearing. The figures represent the percentage of cases closed in 90 days or less from date of assignment to examiner.

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	45%	45%	45%	45%	45%
Actual	62%	25%	53%	--	--

Program Summary

Department of Labor and Training

Income Support

Mission

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement. For the Unemployment Insurance program, to comply with the regulations and guidelines established by the United States Department of Labor and to seek methods to excel. For Temporary Disability and Police and Fire programs, to comply with the regulations and guidelines established by state law and to seek methods for program performance improvements.

Description

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits. Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating. Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions. TDI also provides up to 5 weeks of Temporary Caregiver benefits for individuals to care for a seriously ill child, spouse, domestic partner, parent, parent-in-law, or grandparent or to bond with a newborn child, adopted child or foster child. The Caregiver must provide medical proof of the seriously ill family member and bonding claims must provide proof of relationship with the child to meet eligibility requirements. The minimum earnings standard is the same as for TDI. Police and Fire Relief provides financial compensation to police officers, firefighters, crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at any Rhode Island state college or university.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

Budget

Department of Labor and Training

Income Support

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Employer Tax	5,834	3,441,089	2,880,894	4,475,108	5,127,025
Fire and Police	3,641,798	4,112,852	3,949,058	4,033,575	3,674,652
TDI	197,492,048	225,898,476	215,049,696	243,834,857	262,307,666
Unemployment Insurance	2,341,415,477	488,704,289	333,982,701	261,833,837	168,968,467
Total Expenditures	2,542,555,157	722,156,705	555,862,349	514,177,377	440,077,810
Expenditures by Object					
Salary and Benefits	28,144,480	31,423,433	33,249,630	30,257,495	32,245,251
Contract Professional Services	14,808,094	17,295,792	5,498,351	4,514,728	4,412,375
Operating Supplies and Expenses	8,192,818	5,314,842	108,644,075	105,201,661	8,054,329
Assistance and Grants	2,481,729,608	666,311,556	402,056,489	369,767,784	391,659,039
Subtotal: Operating	2,532,874,999	720,345,622	549,448,545	509,741,668	436,370,994
Capital Purchases and Equipment	211,482	110,993	138,804	1,160,709	431,816
Operating Transfers	9,468,676	1,700,090	6,275,000	3,275,000	3,275,000
Subtotal: Other	9,680,158	1,811,083	6,413,804	4,435,709	3,706,816
Total Expenditures	2,542,555,157	722,156,705	555,862,349	514,177,377	440,077,810
Expenditures by Source of Funds					
General Revenue	3,641,798	4,112,852	3,949,058	4,033,575	3,674,652
Federal Funds	1,971,048,308	353,351,261	157,711,996	125,017,699	28,895,030
Restricted Receipts	626,190	1,753,483	2,076,599	3,716,246	2,425,462
Other Funds	567,238,861	362,939,110	392,124,696	381,409,857	405,082,666
Total Expenditures	2,542,555,157	722,156,705	555,862,349	514,177,377	440,077,810

Personnel

Department of Labor and Training

Income Support

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATOR- OPERATIONS MANAGEMENT	0141 A	2.0	268,521	2.0	275,045
ASSISTANT COORDINATOR OF UNEMPLOYMENT INSURANCE PROGRMS	0129 A	2.0	139,118	2.0	145,898
ASSISTANT DIRECTOR FOR BUSINESS AFFAIRS (DLT)	0139 A	1.0	113,688	1.0	116,527
ASSISTANT DIRECTOR FOR TEMPORARY DISABILITY INSUR (DLT)	0139 A	1.0	119,723	1.0	128,898
ASSISTANT DIRECTOR FOR UNEMPLOYMENT INSURANCE (DLT)	0139 A	1.0	104,436	1.0	111,422
BENEFIT CLAIMS SPECIALIST	0323 A	46.0	2,784,071	46.0	2,878,468
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	71,905	1.0	73,703
CHIEF OF LABOR AND TRAINING OPERATIONS	0134 A	3.0	303,861	3.0	313,179
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	108,413	1.0	111,081
CHIEF REVENUE AGENT	0138 A	1.0	98,109	1.0	104,316
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0131 A	2.0	163,786	2.0	171,478
EMPLOYER REGISTRATION SUPERVISOR	0326 A	1.0	65,954	1.0	67,603
EMPLOYMENT AND TRAINING ASSISTANT	0316 A	5.0	240,592	5.0	248,542
EMPLOYMENT AND TRAINING MANAGER	0126 A	16.0	1,082,065	16.0	1,115,629
EMPLOYMENT & TRAINING INTERVIEWER	0317 A	1.0	46,157	1.0	48,336
EMPLOYMENT & TRAINING INTERVIEWER & INTERPRETER (SPANIS	0320 A	17.0	840,188	17.0	877,928
EMPLOYMENT & TRAINING INTERVIEWER & INTERPR (PORTUGUESE	0320 A	2.0	100,074	2.0	105,692
FRAUD AND OVERPAYMENT INVESTIGATOR	0326 A	7.0	430,947	7.0	457,204
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0140 A	1.0	132,157	1.0	135,429
INTERPRETER (SPANISH)	0316 A	3.0	143,698	3.0	148,159
LABOR AND TRAINING ADMINISTRATOR	0138 A	3.0	287,481	3.0	307,779
NURSING CARE EVALUATOR	0520 A	2.0	172,978	2.0	181,321
OFFICE MANAGER	0123 A	1.0	59,075	1.0	60,562
PRINCIPAL CLERK-TYPIST	0312 A	1.0	43,013	1.0	44,088
PRINCIPAL EMPLOYMENT AND TRAINING MANAGER	0130 A	4.0	299,490	4.0	315,561
PRINCIPAL REVENUE AGENT	0833 A	4.0	365,983	4.0	375,072
PROGRAMMING SERVICES OFFICER	0131 A	1.0	80,902	1.0	82,926
REFEREE - BOARD OF REVIEW	0137 A	5.0	552,574	5.0	576,048
REVENUE OFFICER	0321 A	1.0	54,780	1.0	56,151
REVENUE OFFICER SPECIAL INVESTIGATIONS	0327 A	4.0	268,059	4.0	282,749
SENIOR EMPLOYMENT AND TRAINING INTERVIEWER	0320 A	61.0	3,176,964	61.0	3,298,084

Personnel

Department of Labor and Training

Income Support

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
SENIOR EMPLOYMENT AND TRAINING MANAGER	0128 A	1.0	71,904	1.0	73,702
SENIOR EMPLOYMENT & TRAINING MONITORING & EVAL SPEC	0126 A	5.0	324,872	5.0	339,084
SENIOR REVENUE POLICY ANALYST (DOR)	0323 A	1.0	62,097	1.0	63,650
SUPERVISING REVENUE OFFICER	0833 A	1.0	79,006	1.0	83,978
SUPERVISING TAX EXAMINER (DOA)	0328 A	7.0	474,228	7.0	502,739
TAX AIDE I	0318 A	3.0	141,248	3.0	147,011
TAX EXAMINER (DOA)	0324 A	2.0	114,783	2.0	120,621
TAXPAYER SERVICE SPECIALIST	0321 A	1.0	51,349	1.0	52,633
TAXPAYER SERVICE SPECIALIST	0323 A	8.0	488,821	8.0	509,284
TRAINING SUPERVISOR	0326 A	1.0	69,251	1.0	70,983
Subtotal Classified		231.0	14,596,321	231.0	15,178,563
Unclassified					
CHAIRPERSON MEMBER OF BOARD OF REVIEW (ES)	0837 A	1.0	113,684	1.0	116,397
CONFIDENTIAL SECRETARY	0818 A	1.0	62,349	1.0	63,908
LEGAL COUNSEL (BOARD OF REVIEW)	0889 F	1.0	106,115	1.0	108,768
MEMBER- BOARD OF REVIEW (ES)	0810 F	1.0	16,563	1.0	0
Subtotal Unclassified		4.0	298,711	4.0	289,073
Subtotal		235.0	14,895,032	235.0	15,467,636
Transfer Out			(161,783)		(165,825)
Transfer In			3,514,433		3,648,589
Overtime			620,564		624,255
Turnover			(509,982)		0
Total Salaries			18,358,264		19,574,655
Benefits					
Contract Stipends			282,131		5,651
FICA			1,375,822		1,447,213
Health Benefits			3,611,616		3,840,292
Payroll Accrual			0		109,989
Retiree Health			801,705		863,700
Retirement			5,127,321		5,655,224
Subtotal			11,198,595		11,922,069
Total Salaries and Benefits		235.0	29,556,859	235.0	31,496,724
Cost Per FTE Position			125,774		134,029

Personnel

Department of Labor and Training

Income Support

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		700,636		748,527
Payroll Costs	235.0	30,257,495	235.0	32,245,251
Purchased Services				
Clerical and Temporary Services		48,414		48,898
Information Technology		2,245,472		2,260,427
Legal Services		365,949		241,608
Medical Services		23,800		24,038
Other Contracts		1,702,271		1,707,294
University and College Services		128,822		130,110
Subtotal		4,514,728		4,412,375
Total Personnel	235.0	34,772,223	235.0	36,657,626
Distribution by Source of Funds				
General Revenue	0.0	117,429	0.0	119,811
Federal Funds	166.0	23,390,475	166.0	24,950,574
Restricted Receipts	0.0	617,679	0.0	595,915
Other Funds	69.0	10,646,640	69.0	10,991,326
Total All Funds	235.0	34,772,223	235.0	36,657,626

Performance Measures

Department of Labor and Training

Income Support

UI Call Center Wait Times

The figures represent the average amount of time in minutes a caller spends on hold before reaching an agent in the UI call center.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	20	20	30	30	30
Actual	35	53	53	--	--

Timeliness of UI Adjudication Decisions

The figures represent the percentage of contested UI claims adjudicated within 21 days. The United States Department of Labor has set a target of 80 percent.

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	80%	80%	80%	80%	80%
Actual	62.2%	48.2%	75.7%	--	--

Timeliness of Unemployment Insurance (UI) First Benefit Payments

The figures represent the percentage of initial UI claims for benefits paid within 14 days. The United States Department of Labor has set a target of 87 percent.

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	87%	87%	87%	87%	87%
Actual	89.1%	93.5%	86.4%	--	--

Program Summary

Department of Labor and Training

Injured Workers Services

Mission

To maintain a Workers' Compensation system that is fair to both employees and employers, that emphasizes and rewards safety in the workplace, that is cost-competitive with insurance coverage for employers available at a low cost, and that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Description

The Division of Workers' Compensation (WC) monitors procedures and payments made by insurance carriers to employees unable to work due to job related injury and collects and disseminates statistical data to the Governor's Workers' Compensation Advisory Council to monitor the system. The Division is comprised of the Workers' Compensation (administrative) unit, the Chief Judge Robert F. Arrigan Rehabilitation Center, and the Fraud Prevention and Compliance unit. The work of the division includes: vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals. The Unit also responds to compliance and fraud issues. The Workers' Compensation Administrative unit monitors all claim filings to ensure proper payment by insurance carriers and maintains all corresponding records and statistical data. It operates a self-insurance program for larger employers who meet certain financial and loss experience criteria. The division collects a mandated assessment from insurers and self-insured employers that funds the Division of Workers' Compensation and the Workers' Compensation Court. It also provides limited reimbursement to eligible insurers and claimants. The Education unit provides training services throughout Rhode Island for employers and employees for workplace safety and workers' compensation. These services include: assisting employers in developing loss prevention programs and workplace safety committees, maintaining and disseminating a safety video lending library for employers, conducting workers' compensation filing procedure classes and providing information regarding the Workers' Compensation Act. The unit also has an outreach program to educate RI high school students on workplace safety and employee rights. These services are funded through the Administrative Fund assessment and are offered at no charge. The Rehabilitation Unit provides broad-based rehabilitation programs for injured workers within the Workers' Compensation System. Services include providing the assessment, evaluation and provision of treatment programs for injured workers. The Arrigan Center provides a recovery program and vocational framework that enables the individual to be sufficiently prepared to seek and sustain employment. Through physical and vocational training, it assists clients to overcome the physical and vocational obstacles that may impede their ability to return to work and to stay employed. The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution any suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer compliance with the requirements of the Workers' Compensation Act.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

Budget

Department of Labor and Training

Injured Workers Services

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Education & Rehabilitation	4,823,207	4,648,756	5,511,857	5,448,550	5,808,334
Workers' Comp Compliance	4,701,579	4,793,928	5,891,270	4,804,953	5,052,024
Total Expenditures	9,524,786	9,442,684	11,403,127	10,253,503	10,860,358
Expenditures by Object					
Salary and Benefits	4,838,051	5,043,212	5,499,188	4,905,982	5,079,476
Contract Professional Services	2,713,532	2,717,511	3,013,841	2,901,279	2,859,026
Operating Supplies and Expenses	1,170,646	976,651	1,844,213	1,706,904	2,175,392
Assistance and Grants	782,958	701,517	1,044,017	735,524	742,611
Subtotal: Operating	9,505,186	9,438,891	11,401,259	10,249,689	10,856,505
Capital Purchases and Equipment	19,600	3,793	1,868	3,814	3,853
Subtotal: Other	19,600	3,793	1,868	3,814	3,853
Total Expenditures	9,524,786	9,442,684	11,403,127	10,253,503	10,860,358
Expenditures by Source of Funds					
Restricted Receipts	9,524,786	9,442,684	11,403,127	10,253,503	10,860,358
Total Expenditures	9,524,786	9,442,684	11,403,127	10,253,503	10,860,358

Personnel

Department of Labor and Training

Injured Workers Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ASSISTANT ADMINISTRATIVE OFFICER	0321 A	1.0	64,347	1.0	65,923
ASSISTANT ADMINISTRATOR OF VOCATIONAL REHAB (REHAB)	0132 A	1.0	79,354	1.0	85,495
ASSISTANT ADMINISTRATOR REHABILITATION UNIT	0137 A	1.0	94,067	1.0	100,881
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0129 A	1.0	74,828	1.0	76,698
CERTIFIED OCCUPATIONAL THERAPY ASSISTANT (COTA)	0320 A	2.0	96,862	2.0	107,218
CHIEF ADMINISTRATOR DIVISION OF REHAB AND EDUC (DLT)	0140 A	1.0	138,959	1.0	142,371
CHIEF DATA OPERATIONS	0333 A	1.0	104,932	1.0	107,514
CHIEF INVESTIGATOR WORKERS COMPENSATION (FRAUD PRV UNT)	AB38 A	1.0	123,809	1.0	133,204
COMPENSATION CLAIMS ANALYST	0322 A	3.0	182,099	3.0	186,652
DATA ANALYST II	0138 A	1.0	104,668	1.0	107,285
EDUCATION UNIT REPRESENTATIVE	0326 A	2.0	149,135	2.0	152,763
EMPLOYMENT AND TRAINING ASSISTANT	0316 A	2.0	94,906	2.0	98,446
IMPLEMENTATION AIDE	AB22 A	1.0	63,622	1.0	65,187
INVESTIGATOR WORKERS COMPENSATION FRAUD PREV UNIT	AB30 A	5.0	422,504	5.0	432,834
MEDICAL ASSISTANT	0320 A	2.0	100,590	2.0	104,438
MEDICAL RECORDS TECHNICIAN	0320 A	1.0	53,063	1.0	54,390
PHYSICAL THERAPY ASSISTANT	0320 A	5.0	288,051	5.0	295,184
SENIOR WORD PROCESSING TYPIST	0312 A	2.0	85,448	2.0	88,306
UNIT CLAIMS MANAGER	0326 A	1.0	80,169	1.0	82,148
WORKERS' COMPENSATION PATIENT CARE COORDINATOR	0520 A	2.0	189,128	2.0	193,856
Subtotal Classified		36.0	2,590,541	36.0	2,680,793
Subtotal		36.0	2,590,541	36.0	2,680,793
Transfer Out			(41,688)		(42,712)
Transfer In			365,778		375,532
Overtime			4,469		4,514
Total Salaries			2,919,100		3,018,127

Personnel

Department of Labor and Training

Injured Workers Services

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		47,090		0
FICA		226,205		230,127
Health Benefits		626,499		659,592
Payroll Accrual		0		17,493
Retiree Health		131,718		137,364
Retirement		840,240		897,737
Subtotal		1,871,752		1,942,313
Total Salaries and Benefits	36.0	4,790,852	36.0	4,960,440
Cost Per FTE Position		133,079		137,790
Statewide Benefit Assessment		115,130		119,036
Payroll Costs	36.0	4,905,982	36.0	5,079,476
Purchased Services				
Clerical and Temporary Services		328,979		332,269
Information Technology		576,741		568,812
Medical Services		1,741,214		1,701,056
Other Contracts		124,345		125,589
Training and Educational Services		130,000		131,300
Subtotal		2,901,279		2,859,026
Total Personnel	36.0	7,807,261	36.0	7,938,502
Distribution by Source of Funds				
Restricted Receipts	36.0	7,807,261	36.0	7,938,502
Total All Funds	36.0	7,807,261	36.0	7,938,502

Performance Measures

Department of Labor and Training

Injured Workers Services

Injured Workers That Completed Training

The number of injured workers that completed treatment w/ increased functional gains compared to when they started treatment. These increased functional gains make these injured workers more employable in the Rhode Island labor market.

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	--	--
Actual	200	334	--	--	--

Injured Workers That Completed Treatment

The number of injured workers that completed treatment and were verified that they have either returned to work with employer of injury or with a new employer. These include referrals to Vocational Rehabilitation.

Frequency: Annual

Reporting Period: Calendar Year

	2020	2021	2022	2023	2024
Target	--	--	--	--	--
Actual	129	202	--	--	--

Program Summary

Department of Labor and Training

Labor Relations Board

Mission

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Description

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self-organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and its employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Statutory History

The statutory basis for the RI State Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

Budget

Department of Labor and Training

Labor Relations Board

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Labor Relations	375,715	450,878	452,822	544,502	553,932
Total Expenditures	375,715	450,878	452,822	544,502	553,932
Expenditures by Object					
Salary and Benefits	410,542	400,487	376,756	470,771	495,620
Contract Professional Services	75,560	63,861	60,565	58,230	58,312
Operating Supplies and Expenses	(110,393)	(13,526)	15,286	15,286	0
Assistance and Grants	6	4	15	15	0
Subtotal: Operating	375,715	450,826	452,622	544,302	553,932
Capital Purchases and Equipment	0	52	200	200	0
Subtotal: Other	0	52	200	200	0
Total Expenditures	375,715	450,878	452,822	544,502	553,932
Expenditures by Source of Funds					
General Revenue	375,715	450,878	452,822	544,502	553,932
Total Expenditures	375,715	450,878	452,822	544,502	553,932

Personnel

Department of Labor and Training

Labor Relations Board

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
PROGRAMMING SERVICES OFFICER	0131 A	1.0	95,395	1.0	99,087
Subtotal Classified		1.0	95,395	1.0	99,087
Unclassified					
ADMINISTRATOR LABOR RELATIONS BOARD	0833 A	1.0	100,854	1.0	107,124
Subtotal Unclassified		1.0	100,854	1.0	107,124
Subtotal		2.0	196,249	2.0	206,211
Seasonal/Special Salaries/Wages			111,168		113,945
Total Salaries			307,417		320,156
Benefits					
FICA			23,520		24,489
Health Benefits			26,750		28,186
Payroll Accrual			0		1,856
Retiree Health			13,823		14,471
Retirement			87,117		93,819
Subtotal			151,210		162,821
Total Salaries and Benefits		2.0	458,627	2.0	482,977
Cost Per FTE Position			229,314		241,489
Statewide Benefit Assessment			12,144		12,643
Payroll Costs		2.0	470,771	2.0	495,620
Purchased Services					
Clerical and Temporary Services			8,230		8,312
Legal Services			50,000		50,000
Subtotal			58,230		58,312
Total Personnel		2.0	529,001	2.0	553,932
Distribution by Source of Funds					
General Revenue		2.0	529,001	2.0	553,932
Total All Funds		2.0	529,001	2.0	553,932

Program Summary

Department of Labor and Training

Governor's Workforce Board

Mission

To unify the governing mandates defined by both state and federal legislation and to institute common statewide policies, goals and strategies for the coordination of employment and training programs, employment-associated educational programs and related services for all system stakeholders.

Description

The Governor's Workforce Board is the state's primary policy-making body on workforce development matters. The Governor's Workforce Board invests in a range of initiatives, programs and services serving thousands of Rhode Island businesses and Rhode Islanders annually through Real Jobs RI, Real Pathways RI, Real Skills for Youth, the Work Immersion and Incumbent Worker Training Programs. The Governor's Workforce Board is mandated by statute to utilize funds collected under the Job Development Assessment to invest in initiatives to create a resilient economy while meeting local demand.

Statutory History

R.I. General Laws § 42-102 established the Governor's Workforce Board.

Budget

Department of Labor and Training

Governor's Workforce Board

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Governor's Workforce Board Operations	42,441,977	28,183,669	40,474,377	42,945,058	43,306,830
Total Expenditures	42,441,977	28,183,669	40,474,377	42,945,058	43,306,830
Expenditures by Object					
Salary and Benefits	2,007,267	1,682,578	2,087,445	2,548,475	2,785,532
Contract Professional Services	28,668,033	7,085,863	20,945	7,356	7,430
Operating Supplies and Expenses	1,065,679	3,456,165	12,723,316	2,623,538	2,850,587
Assistance and Grants	10,405,998	15,954,326	25,642,671	37,509,275	37,658,726
Subtotal: Operating	42,146,977	28,178,933	40,474,377	42,688,644	43,302,275
Capital Purchases and Equipment	0	4,736	0	256,414	4,555
Operating Transfers	295,000	0	0	0	0
Subtotal: Other	295,000	4,736	0	256,414	4,555
Total Expenditures	42,441,977	28,183,669	40,474,377	42,945,058	43,306,830
Expenditures by Source of Funds					
General Revenue	4,905,737	5,450,000	12,031,000	12,031,000	6,050,000
Federal Funds	28,078,631	7,086,805	10,000,000	10,000,000	20,000,000
Restricted Receipts	9,457,609	15,646,864	18,443,377	20,914,058	17,256,830
Total Expenditures	42,441,977	28,183,669	40,474,377	42,945,058	43,306,830

Personnel

Department of Labor and Training

Governor's Workforce Board

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0124 A	1.0	60,667	1.0	62,865
ASSISTANT COORDINATOR OF EMPLOYMENT AND TRAINING PROGS	0129 A	4.0	269,957	4.0	298,027
ASSISTANT DIRECTOR FOR PLANNING AND PROGRAM DEVEL (DLT)	0139 A	1.0	130,020	1.0	133,202
CHIEF OF LABOR AND TRAINING OPERATIONS	0134 A	3.0	240,363	3.0	246,371
COORDINATOR OF EMPLOYMENT AND TRAINING PROGRAMS	0131 A	3.0	242,706	3.0	248,777
LABOR AND TRAINING ADMINISTRATOR	0138 A	1.0	109,011	1.0	112,648
OFFICE MANAGER	0123 A	1.0	59,086	1.0	60,561
PROGRAMMING SERVICES OFFICER	0131 A	1.0	84,511	1.0	86,566
Subtotal Classified		15.0	1,196,321	15.0	1,249,017
Unclassified					
EXECUTIVE DIRECTOR GOVERNOR'S WORKFORCE BOARD	0839 A	1.0	119,596	1.0	122,587
Subtotal Unclassified		1.0	119,596	1.0	122,587
Subtotal		16.0	1,315,917	16.0	1,371,604
Transfer Out			(439,661)		(423,296)
Transfer In			739,049		797,453
Overtime			766		773
Total Salaries			1,616,071		1,746,534
Benefits					
Contract Stipends			1,960		0
FICA			123,594		133,417
Health Benefits			201,690		223,814
Payroll Accrual			0		10,131
Retiree Health			72,697		79,247
Retirement			468,659		523,434
Subtotal			868,600		970,043
Total Salaries and Benefits		16.0	2,484,671	16.0	2,716,577
Cost Per FTE Position			155,292		169,786
Statewide Benefit Assessment			63,804		68,955
Payroll Costs		16.0	2,548,475	16.0	2,785,532
Purchased Services					
Information Technology			780		788
Other Contracts			6,576		6,642

Personnel

Department of Labor and Training

Governor's Workforce Board

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		7,356		7,430
Total Personnel	16.0	2,555,831	16.0	2,792,962
Distribution by Source of Funds				
Restricted Receipts	16.0	2,555,831	16.0	2,792,962
Total All Funds	16.0	2,555,831	16.0	2,792,962

Performance Measures

Department of Labor and Training

Governor's Workforce Board

Real Jobs Rhode Island Job Placements

The figures represent job placements through Real Jobs Partnerships.

	<i>Frequency: Annual</i>		<i>Reporting Period: Calendar Year</i>		
	2020	2021	2022	2023	2024
Target	3,634	8,067	5,750	4,500	4,800
Actual	2,231	4,689	2,957	--	--

Real Jobs Rhode Island Employer Engagement

The figures represent the number of employers participating in Real Jobs Partnerships.

	<i>Frequency: Annual</i>		<i>Reporting Period: Calendar Year</i>		
	2020	2021	2022	2023	2024
Target	324	324	913	1,000	1,200
Actual	916	1,956	1,538	--	--

Agency Summary

Department of Revenue

Agency Mission

The mission of the Department of Revenue is to administer its programs, and consistently execute the laws and regulations with integrity and accountability, thereby instilling public confidence in the work performed by the department.

Agency Description

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has eight programmatic functions, including the Office of the Director, Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis, Municipal Finance, State Aid and Collections.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Department of Revenue

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Director of Revenue	47,864,814	2,104,132	2,257,475	2,265,350	2,348,848
Office of Revenue Analysis	705,892	820,318	970,638	943,671	983,531
Lottery Division	260,969,456	364,609,848	436,842,155	390,256,867	389,849,764
Municipal Finance	1,984,543	133,476,763	133,695,638	135,826,087	1,759,431
Taxation	31,525,304	33,061,094	37,104,940	33,615,679	39,847,264
Registry of Motor Vehicles	31,398,399	33,811,859	42,777,001	43,220,530	35,602,264
State Aid	197,237,103	189,512,898	293,182,997	293,388,213	299,178,381
Division of Collections	588,512	746,839	887,668	979,752	1,002,552
Total Expenditures	572,274,024	758,143,749	947,718,512	900,496,149	770,572,035
Expenditures by Object					
Salary and Benefits	54,914,352	56,423,621	62,189,537	60,786,854	64,001,981
Contract Professional Services	8,995,392	12,269,254	10,327,274	10,548,870	10,469,897
Operating Supplies and Expenses	264,085,470	367,306,071	446,467,962	400,336,511	391,415,558
Assistance and Grants	46,433,257	132,553,030	132,769,844	132,820,194	862,600
Subtotal: Operating	374,428,471	568,551,976	651,754,617	604,492,429	466,750,036
Capital Purchases and Equipment	616,895	78,876	1,098,530	933,139	83,139
Aid to Local Units of Government	197,213,659	189,512,897	294,865,365	295,070,581	303,738,860
Operating Transfers	15,000	0	0	0	0
Subtotal: Other	197,845,553	189,591,773	295,963,895	296,003,720	303,821,999
Total Expenditures	572,274,024	758,143,749	947,718,512	900,496,149	770,572,035
Expenditures by Source of Funds					
General Revenue	122,575,064	258,598,504	371,897,350	371,233,155	370,390,549
Federal Funds	185,729,440	132,797,058	132,177,594	132,177,594	599,904
Restricted Receipts	2,233,220	1,983,339	6,646,413	6,653,533	9,556,818
Operating Transfers From Other Funds	0	0	850,000	850,000	0
Other Funds	261,736,301	364,764,848	436,147,155	389,581,867	390,024,764
Total Expenditures	572,274,024	758,143,749	947,718,512	900,496,149	770,572,035
FTE Authorization	602.5	570.5	575.5	575.5	575.5

Personnel Agency Summary

Department of Revenue

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	468.5	31,285,669	468.5	32,563,581
Unclassified	107.0	8,432,936	107.0	8,705,581
Subtotal	575.5	39,718,605	575.5	41,269,162
Transfer Out		(151,482)		(155,810)
Overtime		938,496		954,181
Seasonal/Special Salaries/Wages		655,400		655,400
Turnover		(4,281,257)		(3,916,379)
Total Salaries		36,879,762		38,806,554
Benefits				
Contract Stipends		498,500		0
FICA		2,780,461		2,890,495
Health Benefits		7,329,683		7,710,100
Holiday		100,410		100,410
Payroll Accrual		0		215,589
Retiree Health		1,580,811		1,681,293
Retirement		10,223,405		11,128,269
Subtotal		22,513,270		23,726,156
Total Salaries and Benefits	575.5	59,393,032	575.5	62,532,710
Cost Per FTE Position		103,202		108,658
Statewide Benefit Assessment		1,393,822		1,469,271
Payroll Costs	575.5	60,786,854	575.5	64,001,981
Purchased Services				
Buildings and Ground Maintenance		198,392		42,271
Clerical and Temporary Services		21,425		21,425
Information Technology		9,552,396		9,779,544
Legal Services		27,000		77,000
Management & Consultant Services		156,857		156,857
Other Contracts		592,800		392,800
Subtotal		10,548,870		10,469,897
Total Personnel	575.5	71,335,724	575.5	74,471,878
Distribution by Source of Funds				
General Revenue	469.5	54,106,056	469.5	56,276,239
Federal Funds	0.0	220,000	0.0	599,904
Restricted Receipts	0.0	3,961,282	0.0	3,986,456
Other Funds	106.0	13,048,386	106.0	13,609,279
Total All Funds	575.5	71,335,724	575.5	74,471,878

Program Summary

Department of Revenue

Director of Revenue

Mission

The mission of the Director's Office is to ensure that the Department's programs are efficiently organized and implemented utilizing the resources provided by the taxpayers through the annual appropriation process.

Description

The Director's Office oversees the overall operation of the Department. The Office of Legal Services provides legal advice and support to the Director and programs within the Department.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 substitute A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142).

Budget

Department of Revenue

Director of Revenue

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Director of Revenue	47,864,814	2,104,132	2,257,475	2,265,350	2,348,848
Total Expenditures	47,864,814	2,104,132	2,257,475	2,265,350	2,348,848
Expenditures by Object					
Salary and Benefits	1,245,540	1,362,975	1,363,829	1,360,335	1,447,741
Contract Professional Services	525	0	0	0	0
Operating Supplies and Expenses	707,222	740,990	891,621	902,990	899,082
Assistance and Grants	45,426,746	0	0	0	0
Subtotal: Operating	47,380,033	2,103,966	2,255,450	2,263,325	2,346,823
Capital Purchases and Equipment	484,782	166	2,025	2,025	2,025
Subtotal: Other	484,782	166	2,025	2,025	2,025
Total Expenditures	47,864,814	2,104,132	2,257,475	2,265,350	2,348,848
Expenditures by Source of Funds					
General Revenue	1,924,344	2,104,133	2,257,475	2,265,350	2,348,848
Federal Funds	45,940,471	(1)	0	0	0
Total Expenditures	47,864,814	2,104,132	2,257,475	2,265,350	2,348,848

Personnel

Department of Revenue

Director of Revenue

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	0145 A	1.0	132,672	1.0	141,747
ASSISTANT ADMINISTRATOR- FINANCIAL MANAGEMENT	0134 A	1.0	85,912	1.0	91,081
CHIEF FINANCIAL OFFICER II	0144 A	1.0	133,958	1.0	143,332
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	63,689	1.0	65,281
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	90,097	1.0	93,096
INTERDEPARTMENTAL PROJECT MANAGER	0139 A	2.0	210,845	2.0	219,858
PROGRAMMING SERVICES OFFICER	0131 A	1.0	88,999	1.0	91,123
SENIOR LEGAL COUNSEL	0134 A	1.0	81,476	1.0	86,621
Subtotal Classified		9.0	887,648	9.0	932,139
Unclassified					
DIRECTOR DEPARTMENT OF REVENUE	0956KF	1.0	136,817	1.0	138,375
Subtotal Unclassified		1.0	136,817	1.0	138,375
Subtotal		10.0	1,024,465	10.0	1,070,514
Turnover			(185,686)		(186,164)
Total Salaries			838,779		884,350
Benefits					
FICA			64,167		67,651
Health Benefits			144,648		152,082
Payroll Accrual			0		5,134
Retiree Health			37,576		39,975
Retirement			242,032		263,616
Subtotal			488,423		528,458
Total Salaries and Benefits		10.0	1,327,202	10.0	1,412,808
Cost Per FTE Position			132,720		141,281
Statewide Benefit Assessment			33,133		34,933
Payroll Costs		10.0	1,360,335	10.0	1,447,741
Total Personnel		10.0	1,360,335	10.0	1,447,741
Distribution by Source of Funds					
General Revenue		10.0	1,360,335	10.0	1,447,741
Total All Funds		10.0	1,360,335	10.0	1,447,741

Performance Measures

Department of Revenue

Director of Revenue

Timeliness of invoice payments

The Directors' Office is responsible for creating, managing and paying invoices for all programs (excluding Lottery). The goal is to process requisitions, purchase orders and payment according to all applicable rules and regulations as stated per the Division of Purchasing and the Office of Accounts and Control and continue communication with each division and vendors. This measure represents the percentage of invoices paid within 30 days of receipt date.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	99.5%	99.8%
Actual	99.6%	98.8%	99.5%	--	--

Program Summary

Department of Revenue

Office of Revenue Analysis

Mission

The Office of Revenue Analysis' mission is to analyze, evaluate, and appraise the tax system of the State of Rhode Island. The Office makes recommendations for the revision of the State's tax system that are in the best interests of the State's economy. The Office also provides objective information and unbiased advice on the State's revenue system and the impact of proposed changes to it.

Description

The Office of Revenue Analysis is responsible for making recommendations to the State's tax system with the best interests of the economy of Rhode Island. The Office is charged with the preparation of cost benefit analyses of all tax proposals. It publishes an annual Unified Economic Development Report and a biennial Tax Expenditures Report. It prepares fiscal analysis notes on bills that pertain to revenues. It also publishes a monthly report on cash collections. In conjunction with the Budget Office, it forecasts the State's general revenues as part of the biannual Revenue Estimating Conference. Finally, a representative of the Office serves as an ex officio member of the Council of Economic Advisors.

Statutory History

The Office of Revenue Analysis and the position of Chief of Revenue Analysis were created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I. General Laws § 42-142-3).

Budget

Department of Revenue

Office of Revenue Analysis

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Revenue Analysis	705,892	820,318	970,638	943,671	983,531
Total Expenditures	705,892	820,318	970,638	943,671	983,531
Expenditures by Object					
Salary and Benefits	607,411	753,453	858,122	831,155	871,015
Contract Professional Services	400	0	0	0	0
Operating Supplies and Expenses	98,081	63,794	111,491	111,491	111,491
Subtotal: Operating	705,892	817,247	969,613	942,646	982,506
Capital Purchases and Equipment	0	3,070	1,025	1,025	1,025
Subtotal: Other	0	3,070	1,025	1,025	1,025
Total Expenditures	705,892	820,318	970,638	943,671	983,531
Expenditures by Source of Funds					
General Revenue	705,892	820,318	970,638	943,671	983,531
Total Expenditures	705,892	820,318	970,638	943,671	983,531

Personnel

Department of Revenue

Office of Revenue Analysis

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
CHIEF ECONOMIC AND POLICY ANALYST	0142 A	1.0	123,549	1.0	127,942
DATA ANALYST III	0142 A	1.0	124,821	1.0	127,942
PRINCIPAL ECONOMIC AND POLICY ANALYST	0138 A	1.0	104,668	1.0	107,285
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	2.0	176,694	2.0	184,110
Subtotal Classified		5.0	529,732	5.0	547,279
Unclassified					
CHIEF OF REVENUE ANALYSIS	0845 A	1.0	150,437	1.0	156,594
Subtotal Unclassified		1.0	150,437	1.0	156,594
Subtotal		6.0	680,169	6.0	703,873
Transfer Out			(124,821)		(127,942)
Turnover			(26,057)		(27,316)
Total Salaries			529,291		548,615
Benefits					
FICA			40,277		41,678
Health Benefits			63,976		67,242
Payroll Accrual			0		3,185
Retiree Health			23,713		24,797
Retirement			152,992		163,827
Subtotal			280,958		300,729
Total Salaries and Benefits		6.0	810,249	6.0	849,344
Cost Per FTE Position			135,042		141,557
Statewide Benefit Assessment			20,906		21,671
Payroll Costs		6.0	831,155	6.0	871,015
Total Personnel		6.0	831,155	6.0	871,015
Distribution by Source of Funds					
General Revenue		6.0	831,155	6.0	871,015
Total All Funds		6.0	831,155	6.0	871,015

Performance Measures

Department of Revenue

Office of Revenue Analysis

Revenue Assessment Report Timeliness

Number of days after last data received (typically, Housing Resources Commission transfer data) until ORA submits monthly revenue assessment report to the DOR Director for review. [Note: This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	4.0	4.0
Actual	5.2	5.6	3.2	--	--

Cash Collection Report Timeliness

Number of days to produce final report to the DOR Director for review. This report is used to analyze current year cash compared to prior year cash. ORA relies on collection data from the Division of Taxation and Accounts and Control. This measurement will start from the date ORA receives the complete necessary data from the Division of Taxation. [Note: This is a new performance measure. Historical targets are not available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	7.0	7.0
Actual	12.8	12.3	5.9	--	--

Program Summary

Department of Revenue

Lottery Division

Mission

The mission of the Rhode Island Lottery is to generate revenue for the State of Rhode Island through the responsible management and sale of entertaining lottery products while incorporating the highest standards of security and integrity, setting and achieving challenging goals, emphasizing customer service and maintaining the public trust.

Description

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. The Lottery operates as an enterprise fund, and its financial information is included in the State Comprehensive Annual Financial Report. The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), Keno, and instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball®, Mega Millions, and Lucky for Life tickets. It collects all revenues and remits prize funds as required to the Multi- State Lottery Association. R.I. Gen. Laws § 42-61-15 requires that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. Transfers are made on a monthly basis in an amount equal to estimated net income after prizes, commissions, and Lottery operating expenses. R.I. Gen. Laws § 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Tiverton. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe. R.I. Gen. Laws § 42-61.2-2.1 authorizes the Division of Lotteries to operate casino gaming, including Table Games, at Twin River and Tiverton Casino.

Statutory History

R.I. General Laws § 42-61 provides the general authority for the State Division of Lottery. RIGL § 42-142 establishes the State Lottery as a division of the Department of Revenue.

Budget

Department of Revenue

Lottery Division

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Lottery Division	260,969,456	364,609,848	436,842,155	390,256,867	389,849,764
Total Expenditures	260,969,456	364,609,848	436,842,155	390,256,867	389,849,764
Expenditures by Object					
Salary and Benefits	11,443,366	12,155,212	13,129,155	13,016,600	13,577,493
Contract Professional Services	6,545	6,164	129,011	31,786	31,786
Operating Supplies and Expenses	249,177,614	352,109,824	422,013,384	375,752,917	375,634,921
Assistance and Grants	316,612	338,648	549,650	600,000	600,000
Subtotal: Operating	260,944,136	364,609,848	435,821,200	389,401,303	389,844,200
Capital Purchases and Equipment	25,320	0	1,020,955	855,564	5,564
Subtotal: Other	25,320	0	1,020,955	855,564	5,564
Total Expenditures	260,969,456	364,609,848	436,842,155	390,256,867	389,849,764
Expenditures by Source of Funds					
Federal Funds	39,750	0	0	0	0
Operating Transfers from Other Funds	0	0	850,000	850,000	0
Other Funds	260,929,706	364,609,848	435,992,155	389,406,867	389,849,764
Total Expenditures	260,969,456	364,609,848	436,842,155	390,256,867	389,849,764

Personnel

Department of Revenue

Lottery Division

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE OFFICER	0128 A	1.0	63,689	1.0	63,689
Subtotal Classified		1.0	63,689	1.0	63,689
Unclassified					
ACCOUNTING MANAGER	0829JA	2.0	162,198	2.0	166,252
ADMINISTRATIVE ASSISTANT	0825JA	2.0	155,580	2.0	163,273
ASSISTANT CONTROLLER	0824JA	2.0	132,882	2.0	136,204
ASSISTANT FIELD REPRESENTATIVE	0818JA	1.0	48,023	1.0	50,501
ASSISTANT MANAGER-MARKETING- AGENT LICENSES & REPRES.	0826JA	2.0	154,966	2.0	158,840
ASSISTANT PRODUCTION MANAGER (LOTTERY)	0824JA	1.0	76,407	1.0	78,317
ASSISTANT PRODUCTION WORKER	0818JA	2.0	106,126	2.0	108,780
CASINO COMPLIANCE REPRESENTATIVE	0825JA	23.0	1,564,725	23.0	1,619,564
CASINO COMPLIANCE SUPERVISOR	0829JA	5.0	397,220	5.0	409,909
CASINO FINANCIAL ANALYST	0832JA	2.0	186,715	2.0	191,267
CASINO FINANCIAL ANALYST SUPERVISOR	0834JA	2.0	207,991	2.0	213,132
CASINO GAMING OPERATIONS INVESIGATOR	0826JA	6.0	432,462	6.0	443,274
CASINO IT SUPPORT SPECIALIST	0826JA	1.0	72,077	1.0	73,879
CASINO OPERATIONS AND COMPLIANCE MANAGER	0841JA	1.0	130,653	1.0	133,919
CASINO SECURITY INSPECTOR	0827JA	7.0	518,096	7.0	533,583
CASINO SECURITY MANAGER	0829JA	1.0	81,099	1.0	83,126
CASINO SENIOR COMPLIANCE SUPERVISOR	0834JA	1.0	97,934	1.0	100,382
CASINO/SPORTS BETTING COMPLIANCE SUPERVISOR	0836JA	1.0	110,166	1.0	112,920
CASINO SURVEILLANCE ANALYST	0832JA	2.0	182,088	2.0	186,640
CASINO TABLE GAMES ACCOUNTING MANAGER	0833JA	2.0	188,838	2.0	193,558
DEPUTY DIRECTOR (LOTTERY)	0842JA	1.0	124,699	1.0	133,478
DIRECTOR MANAGEMENT INFORMATION SYSTEMS (LOTTERY)	0840JA	1.0	143,893	1.0	147,490
FIELD REPRESENTATIVE (LOTTERY)	0822JA	9.0	544,782	9.0	569,451
FINANCE ADMINISTRATION (MANAGER)	0839JA	2.0	242,617	2.0	251,299
INFORMATION TECHNOLOGY SECURITY MANAGER	0829JA	1.0	81,099	1.0	83,126
INSTANT TICKET DEVELOPMENT SUPERVISOR	0827JA	1.0	86,258	1.0	88,414
INTERNAL AUDITOR	0833JA	1.0	84,775	1.0	90,121
JUNIOR MAINTENANCE PERSON	0801JA	1.0	36,093	1.0	37,526
LICENSING CLERK	0820JA	1.0	62,597	1.0	64,162
LOTTERY DIRECTOR	0816JF	1.0	148,623	1.0	152,339
LOTTERY SALES & MARKETING MANAGER	0834JA	1.0	102,831	1.0	105,401

Personnel

Department of Revenue

Lottery Division

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
MAINTENANCE PERSON (LOTTERY)	0822JA	1.0	56,538	1.0	59,775
MANAGER MARKETING AGENT LICENSES AND REPRESENTATIVES	0834JA	1.0	102,831	1.0	105,401
PRINCIPAL PROJECTS MANAGER	0831JA	2.0	176,954	2.0	184,694
PROBLEM GAMBLING PROGRAM MGR	0836JA	2.0	198,987	2.0	207,545
PRODUCTION CLERK	0822JA	1.0	61,477	1.0	63,014
PRODUCTION MANAGER (LOTTERY)	0828JA	1.0	85,738	1.0	87,882
PROJECT COORDINATOR	0826JA	2.0	147,758	2.0	151,452
RECEPTIONIST	0817JA	1.0	62,698	1.0	64,177
SECRETARY	0818 A	1.0	48,800	1.0	51,256
SECRETARY	0818JA	1.0	55,716	1.0	57,109
SECURITY MANAGER	0827JA	1.0	67,415	1.0	71,629
SOFTWARE SUPPORT SPECIALIST	0833JA	1.0	94,419	1.0	96,779
SPORTS BETTING BUSINESS ANALYST	0833 A	1.0	77,380	1.0	77,380
STAFF ATTORNEY VII	0840JA	1.0	125,124	1.0	128,252
SUPERVISOR PUBLIC AFFAIRS & DRAWINGS	0822JA	1.0	56,737	1.0	59,978
TICKET ACCOUNTING CLERK (LOTTERY)	0820JA	1.0	62,597	1.0	64,162
Subtotal Unclassified		105.0	8,145,682	105.0	8,410,612
Subtotal		106.0	8,209,371	106.0	8,474,301
Overtime			265,777		271,775
Turnover			(404,267)		(422,291)
Total Salaries			8,070,881		8,323,785
Benefits					
FICA			596,988		615,938
Health Benefits			1,326,552		1,395,989
Holiday			100,410		100,410
Payroll Accrual			0		46,730
Retiree Health			349,667		363,949
Retirement			2,263,794		2,412,643
Subtotal			4,637,411		4,935,659
Total Salaries and Benefits		106.0	12,708,292	106.0	13,259,444
Cost Per FTE Position			119,890		125,089
Statewide Benefit Assessment			308,308		318,049
Payroll Costs		106.0	13,016,600	106.0	13,577,493
Purchased Services					

Personnel

Department of Revenue

Lottery Division

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Buildings and Ground Maintenance		10,361		10,361
Clerical and Temporary Services		19,425		19,425
Legal Services		2,000		2,000
Subtotal		31,786		31,786
Total Personnel	106.0	13,048,386	106.0	13,609,279
Distribution by Source of Funds				
Other Funds	106.0	13,048,386	106.0	13,609,279
Total All Funds	106.0	13,048,386	106.0	13,609,279

Performance Measures

Department of Revenue

Lottery Division

Problem Gambling Treatment Sessions

The Problem Gambling program, featuring a Helpline and promotion of treatment options, was established in RIGL § 42-61.2-14, enacted in 2012. The previous iteration of this measure represented the percentage of individuals surveyed in the Needs Assessment Study who were aware of the existence of the Problem Gambling Helpline and available treatment options at that time. Since that Needs Assessment was completed, the Problem Gambling Program has expanded significantly and now includes (in addition to the Helpline number) a direct link to the Problem Gambling Services of Rhode Island Treatment Program, which was specifically established as part of the expansion of the Problem Gambling Program. The figures below represent the number of treatment sessions provided each year.

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	1,300	2,600	3,200	3,100	3,300
Actual	2,091	2,106	--	--	--

Program Summary

Department of Revenue

Municipal Finance

Mission

The Division of Municipal Finance's mission is to fulfill its mandates in an efficient and timely manner while providing guidance to municipalities and their stakeholders in matters relating to property taxes, state aid, and maintaining local fiscal stability. We promote the highest standards in local government transparency, fiscal policy, and decision-making by serving as a conduit for information and education for taxpayers, for government officials, and for the public.

Description

The Division of Municipal Finance (DMF) is a governmental agency within the Rhode Island Department of Revenue. Under the guidance of the state's laws and regulations, DMF assists in the development of policy and provides guidance to and about municipalities for its stakeholders which include municipalities, fire districts, the Governor's office, state legislator, other state agencies, local associations, and the public as a whole. Apart from providing guidance, the Division is responsible for calculations related to municipal state aid and reimbursement programs, operating and providing useful data through the Municipal Transparency Portal and the Division's website, staffing state oversight under the Fiscal Stability Act, monitoring and reporting on compliance with municipal tax levy cap as well as granting exemptions, receiving, processing, analyzing, and approving of municipal, school district, and fire district financial reporting, providing technical assistance to municipalities and assessors, calculating the relative wealth of municipalities, monitoring, reporting, and providing fiscal impacts on proposed and enacted legislative changes, and reporting on legislative mandates.

Statutory History

R.I. General Laws § 42-142-4 establishes the Division of Municipal Finance within the Department of Revenue.

Budget

Department of Revenue

Municipal Finance

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Municipal Affairs	1,984,543	133,476,763	133,695,638	135,826,087	1,759,431
Total Expenditures	1,984,543	133,476,763	133,695,638	135,826,087	1,759,431
Expenditures by Object					
Salary and Benefits	1,134,841	1,173,948	1,324,714	1,314,803	1,365,169
Contract Professional Services	19,041	30,260	0	0	0
Operating Supplies and Expenses	124,331	54,789	150,582	2,290,942	131,514
Assistance and Grants	688,796	132,213,279	132,217,817	132,217,817	260,223
Subtotal: Operating	1,967,009	133,472,275	133,693,113	135,823,562	1,756,906
Capital Purchases and Equipment	0	4,488	2,525	2,525	2,525
Aid to Local Units of Government	17,533	0	0	0	0
Subtotal: Other	17,533	4,488	2,525	2,525	2,525
Total Expenditures	1,984,543	133,476,763	133,695,638	135,826,087	1,759,431
Expenditures by Source of Funds					
General Revenue	1,984,543	1,519,170	1,738,044	3,868,493	1,759,431
Federal Funds	0	131,957,593	131,957,594	131,957,594	0
Total Expenditures	1,984,543	133,476,763	133,695,638	135,826,087	1,759,431

Personnel

Department of Revenue

Municipal Finance

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	130,336	1.0	133,594
PRINCIPAL PROGRAM ANALYST	0328 A	1.0	67,359	1.0	72,292
STATE AID AND FINANCE SPECIALIST - MUNICIPAL AFFAIRS	0332 A	6.0	515,326	6.0	528,036
SUPERVISOR FINANCIAL MANAGEMENT AND REPORTING	0135 A	2.0	169,142	2.0	179,810
Subtotal Classified		10.0	882,163	10.0	913,732
Subtotal		10.0	882,163	10.0	913,732
Turnover			(86,233)		(89,706)
Total Salaries			795,930		824,026
Benefits					
Contract Stipends			10,500		0
FICA			61,576		63,036
Health Benefits			151,342		159,235
Payroll Accrual			0		4,781
Retiree Health			35,659		37,244
Retirement			228,355		244,299
Subtotal			487,432		508,595
Total Salaries and Benefits		10.0	1,283,362	10.0	1,332,621
Cost Per FTE Position			128,336		133,262
Statewide Benefit Assessment			31,441		32,548
Payroll Costs		10.0	1,314,803	10.0	1,365,169
Total Personnel		10.0	1,314,803	10.0	1,365,169
Distribution by Source of Funds					
General Revenue		10.0	1,314,803	10.0	1,365,169
Total All Funds		10.0	1,314,803	10.0	1,365,169

Performance Measures

Department of Revenue

Municipal Finance

Municipal Finance Web Visitors

The Division of Municipal Finance is required to provide assistance and guidance to municipalities in complying with state law; encourage the exchange of information between the division and other governmental entities in an effort to increase shared services by making available, through the use of web-based applications or other mediums, municipal vendor contracts and/or any other data the division deems appropriate; encourage and assure compliance with state laws and policies relating to municipalities, especially in the areas of public disclosure, tax levies, financial reporting, and property tax issues; encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities; give guidance to public decision makers on the equitable distribution of state aid to municipalities. [Note: This is a new measure and historical targets are not available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	2,000	2,050
Actual	1,932	2,135	1,960	--	--

Program Summary

Department of Revenue

Taxation

Mission

The Division of Taxation's mission is to foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial, and ethical conduct. The Division administers and collects all taxes as required by Rhode Island law in the most efficient and cost effective manner, and assist taxpayers by helping them understand and meet their tax responsibilities.

Description

The Division of Taxation administers over 58 different state taxes and fees including Personal Income Tax, Corporate Income Tax and Sales Tax and is responsible for collecting and distributing over \$3.2 Billion annually in taxes and fees to fund services to Rhode Islanders.

Statutory History

R.I. General Laws § 42-142 establishes the Division of Taxation within the Department of Revenue.

Budget

Department of Revenue

Taxation

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Assessment and Review	3,361,687	3,871,011	4,415,400	3,977,006	4,113,055
Compliance and Collection	3,924,863	4,305,524	5,015,153	4,615,712	5,055,479
Employer Tax	3,354,346	0	0	0	0
Field Audit	6,937,983	7,413,459	7,895,452	7,054,689	7,799,649
Tax Administrator	2,861,141	3,025,181	5,752,316	5,971,694	9,068,016
Tax Processing Division	11,085,283	14,445,920	14,026,619	11,996,578	13,811,065
Total Expenditures	31,525,304	33,061,094	37,104,940	33,615,679	39,847,264
Expenditures by Object					
Salary and Benefits	22,519,220	21,100,346	24,491,114	23,093,626	24,918,693
Contract Professional Services	4,510,677	7,453,198	6,201,713	6,150,913	6,048,157
Operating Supplies and Expenses	4,454,453	4,473,599	4,694,472	2,653,499	4,284,662
Assistance and Grants	0	0	1,273	1,273	1,273
Subtotal: Operating	31,484,349	33,027,144	35,388,572	31,899,311	35,252,785
Capital Purchases and Equipment	40,955	33,950	34,000	34,000	34,000
Aid to Local Units of Government	0	0	1,682,368	1,682,368	4,560,479
Subtotal: Other	40,955	33,950	1,716,368	1,716,368	4,594,479
Total Expenditures	31,525,304	33,061,094	37,104,940	33,615,679	39,847,264
Expenditures by Source of Funds					
General Revenue	28,048,430	32,906,094	34,793,050	31,276,669	34,604,969
Federal Funds	2,278,012	0	0	0	0
Restricted Receipts	392,268	0	2,156,890	2,164,010	5,067,295
Other Funds	806,595	155,000	155,000	175,000	175,000
Total Expenditures	31,525,304	33,061,094	37,104,940	33,615,679	39,847,264

Personnel

Department of Revenue

Taxation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ACCOUNTANT	0320 A	1.0	48,072	1.0	50,546
ASSISTANT TAX SECTION CHIEF (TAXATION)	0140 A	6.0	716,503	6.0	746,230
ASSOCIATE DIRECTOR- REVENUE SERVICES (TAXATION)	0147 A	1.0	140,104	1.0	149,292
BUSINESS ANALYST (DOR)	0328 A	7.0	486,269	7.0	503,533
CHIEF BUSINESS MANAGEMENT OFFICER	0134 A	3.0	309,123	3.0	316,730
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	65,420	1.0	69,467
CHIEF LEGAL OFFICER (TAXATION)	0140 A	1.0	113,792	1.0	116,637
CHIEF OF EXAMINATION (TAXATION)	0145 A	1.0	146,499	1.0	150,033
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	116,337	1.0	124,990
CHIEF OF TAX PROCESSING SERVICES	0142 A	3.0	423,809	3.0	434,264
CHIEF PROGRAM DEVELOPMENT	0134 A	1.0	100,464	1.0	103,563
CHIEF REVENUE AGENT	0138 A	1.0	93,840	1.0	99,761
DATA ANALYST I	0134 A	1.0	83,057	1.0	88,361
DATA ANALYST II	0138 A	1.0	99,559	1.0	107,285
DEPUTY CHIEF OF LEGAL SERVICES	0137 A	1.0	90,752	1.0	96,476
EXECUTIVE DIRECTOR (DOA)/TAX ADMINISTRATOR	0152 A	1.0	204,193	1.0	209,144
IMPLEMENTATION AIDE	0322 A	1.0	56,906	1.0	58,329
INTERNET COMMUNICATIONS SPECIALIST	0128 A	1.0	66,212	1.0	70,535
LEGAL ASSISTANT	0119 A	1.0	47,949	1.0	50,295
LEGAL COUNSEL	0132 A	1.0	83,402	1.0	86,160
PRINCIPAL REVENUE AGENT	0833 A	16.0	1,394,684	16.0	1,453,491
REVENUE AGENT	0330 A	1.0	92,237	1.0	94,543
REVENUE AGENT I	0326 A	27.0	1,611,268	27.0	1,709,725
REVENUE AGENT II	0328 A	7.0	490,312	7.0	511,551
REVENUE OFFICER I	0323 A	33.0	1,745,849	33.0	1,841,616
REVENUE OFFICER II	0325 A	6.0	353,830	6.0	375,052
REVENUE OFFICER SPECIAL INVESTIGATIONS	0327 A	1.0	76,443	1.0	78,331
SENIOR BUSINESS ANALYST (DOR)	0331 A	4.0	295,891	4.0	311,136
SENIOR LEGAL COUNSEL	0134 A	1.0	87,187	1.0	93,096
SENIOR REVENUE AGENT	0330 A	34.0	2,735,789	34.0	2,816,155
SUPERVISING REVENUE OFFICER	0833 A	4.0	351,630	4.0	365,250
TAX AIDE I	0318 A	14.0	674,748	14.0	702,046
TAX AIDE II	0320 A	7.0	380,592	7.0	395,241
TAX INVESTIGATOR	0323 A	6.0	341,361	6.0	353,216
TAXPAYER ASSISTANCE REPRESENTATIVE	0318 A	7.0	324,348	7.0	339,658

Personnel

Department of Revenue

Taxation

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
TAXPAYER ASSISTANCE REPRESENTATIVE SUPERVISOR (TAXATION)	0828 A	1.0	65,574	1.0	69,636
TAXPAYER SERVICE SPECIALIST	0323 A	15.0	864,760	15.0	900,688
TAX RETURN PROCESSING OPERATOR III (TAX)	0320 A	2.0	101,380	2.0	105,158
TAX RETURN PROCESSING SUPERVISOR (TAXATION)	0828 A	1.0	75,681	1.0	77,573
Subtotal Classified		222.0	15,555,826	222.0	16,224,793
Subtotal		222.0	15,555,826	222.0	16,224,793
Transfer Out			(151,482)		(155,810)
Transfer In			124,821		127,942
Overtime			240,000		240,000
Seasonal/Special Salaries/Wages			655,400		655,400
Turnover			(2,221,854)		(1,690,910)
Total Salaries			14,202,711		15,401,415
Benefits					
Contract Stipends			251,000		0
FICA			1,082,203		1,156,312
Health Benefits			2,574,357		2,706,386
Payroll Accrual			0		84,177
Retiree Health			596,178		655,671
Retirement			3,861,524		4,341,738
Subtotal			8,365,262		8,944,284
Total Salaries and Benefits		222.0	22,567,973	222.0	24,345,699
Cost Per FTE Position			101,658		109,665
Statewide Benefit Assessment			525,653		572,994
Payroll Costs		222.0	23,093,626	222.0	24,918,693
Purchased Services					
Clerical and Temporary Services			2,000		2,000
Information Technology			5,852,756		5,700,000
Legal Services			25,000		75,000
Management & Consultant Services			156,857		156,857
Other Contracts			114,300		114,300
Subtotal			6,150,913		6,048,157
Total Personnel		222.0	29,244,539	222.0	30,966,850

Personnel

Department of Revenue

Taxation

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	222.0	28,762,897	222.0	30,460,034
Restricted Receipts	0.0	481,642	0.0	506,816
Total All Funds	222.0	29,244,539	222.0	30,966,850

Performance Measures

Department of Revenue

Taxation

Collection Payments / Collections

The Figures represent the amount of money collected by Taxation once the debt is established and beyond the protest period. These are payments and transfers for the debts in the Stage of Collections, which are subject to offsets and various enforcement tools and techniques. [Note: This is a new measure and historical targets are not available.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	--	--	--	\$41,246,271	\$42,483,658
Actual	\$35,350,454	\$34,465,395	\$40,044,923	--	--

Online Tax Filing

The figures represent the percentage of tax returns that are filed online with the Division of Taxation versus traditional means of filing by paper. This measure includes personal income tax returns for both residents and non-residents. [Note: Taxation is working to allow for more corporate income tax returns to be able to be filed via modernized efile program, scheduled to be completed for Tax Year 2024.]

Frequency: Annual

Reporting Period: State Fiscal Year

	2020	2021	2022	2023	2024
Target	90.0%	92.0%	91.0%	92.0%	92.5%
Actual	91.00%	90.72%	91.88%	--	--

Program Summary

Department of Revenue

Registry of Motor Vehicles

Mission

The Division of Motor Vehicles is responsible for ensuring consistent administration and enforcement of all laws pertaining to the operation and registration of motor vehicles and is committed to providing excellent customer service with integrity and transparency.

Description

The Division of Motor Vehicles (DMV) is a governmental agency within the Department of Revenue for the State of Rhode Island. Under the direction of the Administrator, the Division administers motor vehicle and transportation related laws, specifically motor vehicle titling, registration and licensing laws, transportation safety laws, motor vehicle franchise dealer and manufacturer laws, and other motor vehicle related laws and regulations. Responsibilities administered by the division include motor vehicle registration, testing for the licensing of motor vehicle operators, inspection of motor vehicles, enforcement of laws relating to the issuance, suspension, and revocation of motor vehicle registrations and driver's licenses, and administration of the financial responsibility program.

Statutory History

R.I. General Laws § 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

Budget

Department of Revenue

Registry of Motor Vehicles

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Registry of Motor Vehicles	31,398,329	33,811,835	42,762,238	43,205,767	35,587,501
Vehicle Value Commission	71	24	14,763	14,763	14,763
Total Expenditures	31,398,399	33,811,859	42,777,001	43,220,530	35,602,264
Expenditures by Object					
Salary and Benefits	17,398,504	19,177,196	20,228,135	20,322,901	20,918,226
Contract Professional Services	4,457,579	4,750,607	3,996,550	4,366,171	4,389,954
Operating Supplies and Expenses	9,460,374	9,847,368	18,521,212	18,500,354	10,262,980
Assistance and Grants	1,104	1,104	1,104	1,104	1,104
Subtotal: Operating	31,317,561	33,776,275	42,747,001	43,190,530	35,572,264
Capital Purchases and Equipment	65,838	35,583	30,000	30,000	30,000
Operating Transfers	15,000	0	0	0	0
Subtotal: Other	80,838	35,583	30,000	30,000	30,000
Total Expenditures	31,398,399	33,811,859	42,777,001	43,220,530	35,602,264
Expenditures by Source of Funds					
General Revenue	29,049,748	31,665,531	39,062,598	39,506,127	31,507,957
Federal Funds	902,109	839,465	220,000	220,000	599,904
Restricted Receipts	1,446,542	1,306,862	3,494,403	3,494,403	3,494,403
Total Expenditures	31,398,399	33,811,859	42,777,001	43,220,530	35,602,264

Personnel

Department of Revenue

Registry of Motor Vehicles

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADJUDICATION SERVICE REPRESENTATIVE	0318 A	10.0	516,423	10.0	533,800
ADMINISTRATIVE OFFICER	0124 A	2.0	130,479	2.0	135,543
ADMINISTRATOR, DIVISION OF MOTOR VEHICLES	0150 A	1.0	168,966	1.0	173,190
ADMINISTRATOR, FINANCIAL MANAGEMENT	0137 A	1.0	106,247	1.0	108,904
ADMINISTRATOR- OPERATIONS MANAGEMENT	0141 A	1.0	119,307	1.0	122,290
ASSISTANT CHIEF MOTOR VEHICLE SAF. & EMIS. CONTR. DIV.	0125 A	2.0	122,248	2.0	127,264
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR CUSTOMER SVS	0140 A	1.0	130,861	1.0	134,133
ASSISTANT MOTOR VEHICLES ADMINISTRATOR FOR SAFETY & REG	0140 A	1.0	102,321	1.0	110,329
AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	0317 A	2.0	94,119	2.0	99,061
AUTOMOTIVE SERVICE SPECIALIST	0318 A	3.0	154,897	3.0	158,770
CDL PROGRAM COORDINATOR (DMV)	0134 A	1.0	109,738	1.0	112,463
CHIEF FIELD INVESTIGATOR (MOTOR VEHICLES)	AB24 A	2.0	108,266	2.0	114,600
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	64,727	1.0	68,748
CHIEF MOTOR VEHICLE FLEET REGISTRATION PROGRAMS (DOA)	0128 A	1.0	86,286	1.0	88,444
CHIEF MOTOR VEHICLE SAFETY AND EMISSION CONTROL DIV.	0135 A	1.0	98,900	1.0	101,372
CHIEF OFFICE OF ENFORCEMENT AND INSPECTIONS (DOA)	0137 A	1.0	90,752	1.0	96,476
CHIEF OF LEGAL SERVICES	0139 A	1.0	119,099	1.0	122,077
CHIEF OF MOTOR VEHICLE OPERATOR CONTROL	0135 A	1.0	85,390	1.0	90,741
CHIEF OF MOTOR VEHICLE SUPPORT SERVICES	0134 A	6.0	575,289	6.0	603,722
CHIEF OF STRATEGIC PLANNING- MONITORING AND EVALUATION	0143 A	1.0	130,336	1.0	133,594
CHIEF PROGRAM DEVELOPMENT	0134 A	6.0	594,535	6.0	615,292
COMMERCIAL DRIVERS LICENSE SKILLS EXAMINER (DMV)	0324 A	2.0	110,022	2.0	116,302
COORDINATOR- MOTOR CARRIER & SCHOOL BUS SAFETY PRG/DOA	0133 A	1.0	100,555	1.0	103,069
CUSTOMER SERVICE REPRESENTATIVE I (DMV)	0315 A	32.5	1,420,296	32.5	1,484,468
CUSTOMER SERVICE REPRESENTATIVE II (DMV)	0318 A	23.0	1,117,567	23.0	1,168,109
CUSTOMER SERVICE REPRESENTATIVE III (DMV)	0321 A	40.0	2,216,898	40.0	2,289,291
CUSTOMER SERVICE SPECIALIST I	0315 A	2.0	101,250	2.0	103,783
DATA ANALYST II	0138 A	1.0	104,668	1.0	107,285
DATABASE MANAGEMENT SYSTEM SPECIALIST	0326 A	5.0	332,545	5.0	344,750
FISCAL MANAGEMENT OFFICER	3326 A	1.0	69,252	1.0	70,983
IMPLEMENTATION AIDE	0122 A	1.0	59,607	1.0	61,097

Personnel

Department of Revenue

Registry of Motor Vehicles

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
INFORMATION AIDE	0315 A	3.0	144,972	3.0	148,598
INTERPRETING INTERVIEWER (SPANISH)	0319 A	1.0	61,625	1.0	63,136
LICENSE INVESTIGATOR	0322 A	7.0	401,982	7.0	417,290
LICENSING AIDE	0315 A	2.0	96,648	2.0	99,065
MOTOR VEHICLE APPEALS OFFICER	0324 A	12.0	776,795	12.0	802,443
MOTOR VEHICLE OPERATOR EXAMINER	0319 A	9.0	453,257	9.0	469,710
PROGRAMMING SERVICES OFFICER	0131 A	2.0	146,596	2.0	155,773
PROPERTY CONTROL AND SUPPLY OFFICER	0317 A	2.0	96,245	2.0	99,180
SENIOR AUTOMOTIVE AND EMISSION CONTROL INSPECTOR	0321 A	1.0	54,781	1.0	56,151
SENIOR COMMUNITY DEVELOPMENT TRAINING SPECIALIST	0326 A	1.0	80,599	1.0	82,537
SENIOR MOTOR VEHICLE OPERATOR EXAMINER	0321 A	1.0	54,781	1.0	56,151
SENIOR TELLER	0318 A	4.0	204,548	4.0	211,768
SENIOR WORD PROCESSING TYPIST	0312 A	1.0	51,616	1.0	52,906
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	0327 A	1.0	62,973	1.0	66,694
SUPERVISOR- MOTOR VEHICLE CUSTOMER SERVICES	3327 A	10.0	668,021	10.0	696,586
SUPERVISOR OF BRANCH OFFICE SERVICES (MOTOR VEHICLES)	3325 A	1.0	69,851	1.0	71,598
TELLER	0315 A	1.0	46,023	1.0	47,174
Subtotal Classified		213.5	12,813,159	213.5	13,296,710
Subtotal		213.5	12,813,159	213.5	13,296,710
Overtime			432,719		442,406
Turnover			(1,321,197)		(1,461,626)
Total Salaries			11,924,681		12,277,490
Benefits					
Contract Stipends			237,000		0
FICA			895,661		904,044
Health Benefits			2,971,552		3,126,942
Payroll Accrual			0		68,409
Retiree Health			514,833		534,938
Retirement			3,325,234		3,538,929
Subtotal			7,944,280		8,173,262
Total Salaries and Benefits		213.5	19,868,961	213.5	20,450,752
Cost Per FTE Position			93,063		95,788

Personnel

Department of Revenue

Registry of Motor Vehicles

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		453,940		467,474
Payroll Costs	213.5	20,322,901	213.5	20,918,226
Purchased Services				
Buildings and Ground Maintenance		188,031		31,910
Information Technology		3,699,640		4,079,544
Other Contracts		478,500		278,500
Subtotal		4,366,171		4,389,954
Total Personnel	213.5	24,689,072	213.5	25,308,180
Distribution by Source of Funds				
General Revenue	213.5	20,989,432	213.5	21,228,636
Federal Funds	0.0	220,000	0.0	599,904
Restricted Receipts	0.0	3,479,640	0.0	3,479,640
Total All Funds	213.5	24,689,072	213.5	25,308,180

Performance Measures

Department of Revenue

Registry of Motor Vehicles

Online DMV Services

The Rhode Island Division of Motor Vehicles is striving to make more services available online, and to encourage customers to utilize those services rather than making a reservation. The target figures below represent the percentage of eligible transactions that will be conducted online. The figures below represent the percentage of eligible transactions that can be done online. [Note: This is a new performance measure. Historical actuals are not available.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	--	--	--	55%	60%
Actual	--	--	--	--	--

In-Person DMV Service Availability

The Rhode Island Division of Motor Vehicles is continually seeking to improve customer experience. In 2020 the DMV moved to a reservation only system for in-person transactions. The figures below represent the number of days to available reservations. [Note: This is a new performance measure. Historical actuals are not available.]

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	--	--	--	2	1
Actual	--	--	--	--	--

DMV Wait Times

The figures below represent the wait time (in minutes) for registration and license transactions at the DMV's Cranston headquarters.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	30	30	30	30	30
Actual	58	12	14	--	--

Program Summary

Department of Revenue

State Aid

Mission

The Division of Municipal Finance annually calculates and distributes State Aid to qualifying cities and towns. The Executive Summary and Technical Appendix include the distributions of State Aid to individual cities and towns.

Description

For the purposes of budget presentation, State Aid programs are separated from the Division of Municipal Finance's budget. Below is a summary of all appropriated State Aid programs. It should be noted that State Library Aid is appropriated under the Department of Administration. The Payment-in-Lieu-of-Taxes (PILOT) program reimburses communities for up to 27.0 percent of what they would have collected in property taxes from certain designated tax-exempt property (subject to appropriation). Data used to determine distribution amounts is updated annually to reflect the most recent data. The Distressed Communities Relief Fund provides state assistance to municipalities with the highest property tax burdens relative to the wealth of taxpayers. Municipalities are eligible that meet the statutorily determined distressed test in three of four categories. Municipal Incentive Aid is a category of state assistance with the purpose of encouraging municipalities to improve the sustainability of their retirement plans and to reduce unfunded liabilities. Each municipality with a pension fund deemed critical must submit a Funding Improvement Plan under RIGL45-13.2. The 1998 General Assembly enacted the Motor Vehicle Excise Tax Phase-Out legislation to phase out the excise tax on motor vehicles and trailers over an original seven-year period, however, there have been various legislative changes to the legislation since its inception.

Statutory History

The State Aid Program was transferred from the Department of Administration as part of the FY 2012 Budget.

Budget

Department of Revenue

State Aid

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
State Aid	197,237,103	189,512,898	293,182,997	293,388,213	299,178,381
Total Expenditures	197,237,103	189,512,898	293,182,997	293,388,213	299,178,381
Expenditures by Object					
Operating Supplies and Expenses	40,978	1	0	0	0
Subtotal: Operating	40,978	1	0	0	0
Aid to Local Units of Government	197,196,125	189,512,897	293,182,997	293,388,213	299,178,381
Subtotal: Other	197,196,125	189,512,897	293,182,997	293,388,213	299,178,381
Total Expenditures	197,237,103	189,512,898	293,182,997	293,388,213	299,178,381
Expenditures by Source of Funds					
General Revenue	60,273,595	188,836,421	292,187,877	292,393,093	298,183,261
Federal Funds	136,569,098	1	0	0	0
Restricted Receipts	394,410	676,476	995,120	995,120	995,120
Total Expenditures	197,237,103	189,512,898	293,182,997	293,388,213	299,178,381

Program Summary

Department of Revenue

Division of Collections

Mission

The Central Collections Unit (CCU) assists state agencies in the monitoring and collection of debts owed to the state utilizing the most efficient methods and adhering to the highest professional standards.

Description

Within the Rhode Island Department of Revenue, the Central Collections Unit was established in fiscal year 2019 with the core purpose of assisting state and quasi-state agencies in the collection of statutorily designated debts. The CCU collaborates with partner agencies to establish processes to ensure efficient and cost-effective measures to collect debts owed to the state.

Statutory History

The Governor has submitted legislation pertaining to the creation of this Division in Sections 2 and 3 of Article 4 in the FY 2019 Appropriations Act.

Budget

Department of Revenue

Division of Collections

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Collections	588,512	746,839	887,668	979,752	1,002,552
Total Expenditures	588,512	746,839	887,668	979,752	1,002,552
Expenditures by Object					
Salary and Benefits	565,471	700,490	794,468	847,434	903,644
Contract Professional Services	625	29,025	0	0	0
Operating Supplies and Expenses	22,416	15,706	85,200	124,318	90,908
Subtotal: Operating	588,512	745,221	879,668	971,752	994,552
Capital Purchases and Equipment	0	1,618	8,000	8,000	8,000
Subtotal: Other	0	1,618	8,000	8,000	8,000
Total Expenditures	588,512	746,839	887,668	979,752	1,002,552
Expenditures by Source of Funds					
General Revenue	588,512	746,839	887,668	979,752	1,002,552
Total Expenditures	588,512	746,839	887,668	979,752	1,002,552

Personnel

Department of Revenue

Division of Collections

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Classified					
ADMINISTRATIVE OFFICER	0124 A	1.0	56,204	1.0	59,432
ADMINISTRATIVE OFFICER	0324 A	1.0	54,133	1.0	57,300
ASSISTANT ADMINISTRATIVE OFFICER	0121 A	1.0	49,526	1.0	52,091
CHIEF IMPLEMENTATION AIDE	0128 A	2.0	131,913	2.0	140,113
CHIEF OF LEGAL SERVICES	0139 A	1.0	125,663	1.0	134,084
LEGAL ASSISTANT	0319 A	1.0	46,825	1.0	49,123
SENIOR LEGAL COUNSEL	0134 A	1.0	89,188	1.0	93,096
Subtotal Classified		8.0	553,452	8.0	585,239
Subtotal		8.0	553,452	8.0	585,239
Turnover			(35,963)		(38,366)
Total Salaries			517,489		546,873
Benefits					
FICA			39,589		41,836
Health Benefits			97,256		102,224
Payroll Accrual			0		3,173
Retiree Health			23,185		24,719
Retirement			149,474		163,217
Subtotal			309,504		335,169
Total Salaries and Benefits		8.0	826,993	8.0	882,042
Cost Per FTE Position			103,374		110,255
Statewide Benefit Assessment			20,441		21,602
Payroll Costs		8.0	847,434	8.0	903,644
Total Personnel		8.0	847,434	8.0	903,644
Distribution by Source of Funds					
General Revenue		8.0	847,434	8.0	903,644
Total All Funds		8.0	847,434	8.0	903,644

Performance Measures

Department of Revenue

Division of Collections

Delinquent Debt Collected

The Central Collections Unit (CCU) began collection activities on July 1, 2018, pursuant to R.I. Gen. Laws § 42-142-8. The CCU has executed 20 MOUs with agencies and sub-units of agencies, increasing the number of matters referred for collection. The total amount of revenue collected from delinquent debtors has increased each fiscal year of its existence, with the CCU staff continuing to search for additional streams of revenue. The values listed represent the total amount collected per fiscal year.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	\$154,558	\$586,236	\$3,236,274	\$2,100,000	\$3,100,000
Actual	\$390,284	\$2,157,516	\$2,766,165	--	--

Agency Summary

General Assembly

Agency Mission

The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process.

Agency Description

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The Office of the Speaker of the House, President of the Senate Staff, the Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, the Legislative Press Bureau, State Government Internship Office, the Law Revision Office, the Research and Proofing Offices, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

Budget

General Assembly

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
General Assembly	6,712,615	6,652,085	6,948,593	11,157,793	7,292,334
Fiscal Advisory Staff	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445
Legislative Council	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904
Joint Comm. on Legislative Services	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736
Auditor General	4,932,629	4,919,129	6,397,474	6,774,414	6,992,457
Special Legislative Commissions	5,560	5,809	13,900	13,900	13,900
Total Expenditures	41,091,346	44,045,908	50,462,193	59,063,369	53,088,776
Expenditures by Object					
Salary and Benefits	34,370,777	36,454,510	42,784,093	43,402,564	44,543,552
Contract Professional Services	468,641	794,243	886,100	1,991,100	888,600
Operating Supplies and Expenses	3,821,627	3,395,626	3,947,000	9,452,705	4,504,624
Assistance and Grants	2,116,619	2,126,780	2,300,000	2,300,000	2,300,000
Subtotal: Operating	40,777,664	42,771,159	49,917,193	57,146,369	52,236,776
Capital Purchases and Equipment	313,682	1,274,750	545,000	1,917,000	852,000
Subtotal: Other	313,682	1,274,750	545,000	1,917,000	852,000
Total Expenditures	41,091,346	44,045,908	50,462,193	59,063,369	53,088,776
Expenditures by Source of Funds					
General Revenue	38,496,939	42,745,912	48,542,952	57,035,606	50,998,683
Federal Funds	980,910	(3)	0	0	0
Restricted Receipts	1,613,497	1,300,000	1,919,241	2,027,763	2,090,093
Total Expenditures	41,091,346	44,045,908	50,462,193	59,063,369	53,088,776
FTE Authorization	298.5	298.5	298.5	298.5	298.5

Personnel Agency Summary

General Assembly

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	298.5	25,773,290	298.5	26,365,472
Subtotal	298.5	25,773,290	298.5	26,365,472
Seasonal/Special Salaries/Wages		570,000		570,000
Total Salaries		26,343,290		26,935,472
Benefits				
Contract Stipends		409,500		30,000
FICA		2,013,955		2,032,404
Health Benefits		5,669,755		5,964,314
Payroll Accrual		0		150,345
Retiree Health		1,063,836		1,100,102
Retirement		6,884,222		7,289,523
Subtotal		16,041,268		16,566,688
Total Salaries and Benefits	298.5	42,384,558	298.5	43,502,160
Cost Per FTE Position		141,992		145,736
Statewide Benefit Assessment		1,018,006		1,041,392
Payroll Costs	298.5	43,402,564	298.5	44,543,552
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Clerical and Temporary Services		82,000		82,000
Design and Engineering Services		125,000		25,000
Information Technology		920,000		170,000
Legal Services		255,000		255,000
Management & Consultant Services		595,000		345,000
Other Contracts		10,600		8,100
Subtotal		1,991,100		888,600
Total Personnel	298.5	45,393,664	298.5	45,432,152
Distribution by Source of Funds				
General Revenue	298.5	43,566,195	298.5	43,550,018
Restricted Receipts	0.0	1,827,469	0.0	1,882,134
Total All Funds	298.5	45,393,664	298.5	45,432,152

Program Summary

General Assembly

General Assembly

Mission

The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Description

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution. The composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

Budget

General Assembly

General Assembly

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	6,712,615	6,652,085	6,948,593	11,157,793	7,292,334
Total Expenditures	6,712,615	6,652,085	6,948,593	11,157,793	7,292,334
Expenditures by Object					
Salary and Benefits	3,468,571	3,659,392	4,173,243	4,123,943	4,203,484
Contract Professional Services	406,528	660,395	631,500	986,500	634,000
Operating Supplies and Expenses	2,581,765	1,803,165	1,918,850	5,262,350	2,104,850
Subtotal: Operating	6,456,863	6,122,952	6,723,593	10,372,793	6,942,334
Capital Purchases and Equipment	255,751	529,134	225,000	785,000	350,000
Subtotal: Other	255,751	529,134	225,000	785,000	350,000
Total Expenditures	6,712,615	6,652,085	6,948,593	11,157,793	7,292,334
Expenditures by Source of Funds					
General Revenue	5,731,705	6,652,089	6,948,593	11,157,793	7,292,334
Federal Funds	980,910	(3)	0	0	0
Total Expenditures	6,712,615	6,652,085	6,948,593	11,157,793	7,292,334

Personnel

General Assembly

General Assembly

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
REPRESENTATIVE	0509 F	0.0	1,304,398	0.0	1,304,398
REPRESENTATIVE-SPEAKER OF THE HOUSE	0511 F	0.0	35,254	0.0	35,254
SENATOR	0509 F	0.0	652,199	0.0	652,199
SENATOR-PRESIDENT OF THE SENATE	0511 F	0.0	35,254	0.0	35,254
Subtotal Unclassified		0.0	2,027,105	0.0	2,027,105
Subtotal		0.0	2,027,105	0.0	2,027,105
Seasonal/Special Salaries/Wages			475,000		475,000
Total Salaries			2,502,105		2,502,105
Benefits					
FICA			191,470		191,471
Health Benefits			1,350,326		1,420,783
Payroll Accrual			0		9,083
Subtotal			1,541,796		1,621,337
Total Salaries and Benefits		0.0	4,043,901	0.0	4,123,442
Cost Per FTE Position			0		0
Statewide Benefit Assessment			80,042		80,042
Payroll Costs		0.0	4,123,943	0.0	4,203,484
Purchased Services					
Clerical and Temporary Services			81,500		81,500
Design and Engineering Services			125,000		25,000
Legal Services			200,000		200,000
Management & Consultant Services			570,000		320,000
Other Contracts			10,000		7,500
Subtotal			986,500		634,000
Total Personnel		0.0	5,110,443	0.0	4,837,484
Distribution by Source of Funds					
General Revenue		0.0	5,110,443	0.0	4,837,484
Total All Funds		0.0	5,110,443	0.0	4,837,484

Program Summary

General Assembly

Fiscal Advisory Staff

Mission

Fiscal Advisory Staff members perform fiscal research and analysis for the Legislature, its committees, and individual legislators.

Description

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Plan. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established in 1959. Statutory provisions for the Fiscal Advisory Staff are contained in R.I General Laws § 22-6.

Budget

General Assembly

Fiscal Advisory Staff

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445
Total Expenditures	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445
Expenditures by Object					
Salary and Benefits	1,613,942	1,862,462	2,151,188	2,163,335	2,224,995
Operating Supplies and Expenses	58,864	100,868	120,950	143,450	133,450
Subtotal: Operating	1,672,807	1,963,330	2,272,138	2,306,785	2,358,445
Capital Purchases and Equipment	0	0	35,000	50,000	35,000
Subtotal: Other	0	0	35,000	50,000	35,000
Total Expenditures	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445
Expenditures by Source of Funds					
General Revenue	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445
Total Expenditures	1,672,807	1,963,330	2,307,138	2,356,785	2,393,445

Personnel

General Assembly

Fiscal Advisory Staff

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	7821 F	1.0	67,793	1.0	69,488
ANALYST I	7722 F	5.0	339,795	5.0	348,290
ANALYST IV	7833 F	1.0	81,099	1.0	83,126
DEPUTY FISCAL ADVISOR III	7923 F	1.0	164,016	1.0	168,117
HOUSE FISCAL ADVISOR	7836 F	1.0	217,831	1.0	223,276
PRINCIPAL ANALYST II	7749 F	1.0	136,978	1.0	140,402
PRINCIPAL ANALYST III	7953 F	1.0	143,826	1.0	147,421
SENIOR ANALYST II	7743 F	2.0	199,950	2.0	204,948
Subtotal Unclassified		13.0	1,351,288	13.0	1,385,068
Subtotal		13.0	1,351,288	13.0	1,385,068
Total Salaries			1,351,288		1,385,068
Benefits					
Contract Stipends			18,000		0
FICA			99,113		100,529
Health Benefits			186,983		196,858
Payroll Accrual			0		8,038
Retiree Health			60,540		62,607
Retirement			394,037		417,187
Subtotal			758,673		785,219
Total Salaries and Benefits		13.0	2,109,961	13.0	2,170,287
Cost Per FTE Position			162,305		166,945
Statewide Benefit Assessment			53,374		54,708
Payroll Costs		13.0	2,163,335	13.0	2,224,995
Total Personnel		13.0	2,163,335	13.0	2,224,995
Distribution by Source of Funds					
General Revenue		13.0	2,163,335	13.0	2,224,995
Total All Funds		13.0	2,163,335	13.0	2,224,995

Program Summary

General Assembly

Legislative Council

Mission

The Legislative Council is the principal research and legal arm of the General Assembly. The Council's staff of attorneys, researchers, secretaries, and clerical aides provides legislators with information on matters pending or to come before the Legislature. The Legislative Council's principal activity is drafting of legislation for individual members.

Description

The Legislative Council has two main functions: bill drafting and research, with separate offices to handle each. The bill-drafting section is ordinarily referred to as the Legislative Council. Staffed by a number of attorneys, the Council takes bill-drafting requests and prepares the legislation. Other staff members handle the typing, proofing, duplication, and delivery of bills to legislators in the House and Senate chambers.

Statutory History

The Legislative Council was established in 1939. Its statutory provisions are contained in R.I. General Laws § 22-8.

Budget

General Assembly

Legislative Council

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904
Total Expenditures	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904
Expenditures by Object					
Salary and Benefits	3,680,380	3,379,362	4,919,850	4,909,864	5,037,554
Contract Professional Services	0	0	55,500	55,500	55,500
Operating Supplies and Expenses	61,154	65,691	165,350	277,850	202,850
Subtotal: Operating	3,741,534	3,445,053	5,140,700	5,243,214	5,295,904
Capital Purchases and Equipment	0	57,513	25,000	55,000	25,000
Subtotal: Other	0	57,513	25,000	55,000	25,000
Total Expenditures	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904
Expenditures by Source of Funds					
General Revenue	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904
Total Expenditures	3,741,534	3,502,565	5,165,700	5,298,214	5,320,904

Personnel

General Assembly

Legislative Council

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ANALYST	7857 F	1.0	59,575	1.0	61,064
CHIEF ASST TO DIRECTOR	7991 F	1.0	142,405	1.0	145,966
CLERICAL	7761 F	0.6	37,169	0.6	38,098
CLERICAL	7885 F	1.0	49,916	1.0	51,164
CLERICAL	7950 F	2.0	72,184	2.0	73,988
CONSTITUENT LIAISON	7976 F	1.0	60,797	1.0	62,318
DEPUTY DIRECTOR	7743 F	1.0	114,971	1.0	117,845
DEPUTY DIRECTOR	7854 F	1.0	103,672	1.0	106,264
DIRECTOR OF LEGISLATIVE COUNCIL	7775 F	1.0	143,477	1.0	147,064
LAW CLERK	7742 F	1.0	59,140	1.0	60,619
LEGAL COUNSEL	7706 F	0.6	29,005	0.6	29,730
LEGAL COUNSEL	7709 F	1.8	97,419	1.8	99,855
LEGAL COUNSEL	7735 F	0.6	37,705	0.6	38,647
LEGAL COUNSEL	7848 F	0.6	73,722	0.6	75,565
LEGAL COUNSEL	7859 F	6.6	341,045	6.6	349,567
LEGAL COUNSEL	7864 F	1.0	69,113	1.0	70,841
LEGAL COUNSEL	7886 F	0.6	47,665	0.6	48,774
LEGISLATIVE AIDE	7751 F	1.0	72,648	1.0	74,464
LEGISLATIVE AIDE	7778 F	0.6	23,848	0.6	24,444
LEGISLATIVE AIDE	7812 F	1.0	64,014	1.0	65,614
LEGISLATIVE AIDE	7847 F	1.0	59,718	1.0	61,211
LEGISLATIVE AIDE	7886 F	1.0	70,550	1.0	72,313
LEGISLATIVE AIDE	7895 F	1.0	50,535	1.0	51,799
LEGISLATIVE AIDE	7934 F	1.0	52,386	1.0	53,695
LEGISLATIVE AIDE	7988 F	3.6	145,285	3.6	148,918
LEGISLATIVE ANALYST	7724 F	1.0	72,850	1.0	74,672
LEGISLATIVE ASSISTANT	7773 F	1.0	53,652	1.0	54,993
LEGISLATIVE LEGAL COUNSEL	7859 F	0.6	30,181	0.6	30,935
LEGISLATIVE RESEARCHER	7907 F	2.0	86,012	2.0	88,162
PROOFER	7739 F	3.0	130,950	3.0	134,223
RESEARCHER II	7978 F	1.0	72,416	1.0	74,227
SECRETARY	7817 F	2.0	94,742	2.0	97,110
SECRETARY I	7709 F	1.0	62,510	1.0	64,073
SECRETARY I	7910 F	1.0	69,574	1.0	71,313
SENIOR PROOFER/SECRETARY	7858 F	1.0	48,739	1.0	49,957
Subtotal Unclassified		46.2	2,799,590	46.2	2,869,492
Subtotal		46.2	2,799,590	46.2	2,869,492

Personnel

General Assembly

Legislative Council

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Salaries		2,799,590		2,869,492
Benefits				
Contract Stipends		55,500		0
FICA		218,413		219,522
Health Benefits		788,421		829,091
Payroll Accrual		0		16,663
Retiree Health		125,426		129,699
Retirement		811,930		859,742
Subtotal		1,999,690		2,054,717
Total Salaries and Benefits	46.2	4,799,280	46.2	4,924,209
Cost Per FTE Position		103,881		106,585
Statewide Benefit Assessment		110,584		113,345
Payroll Costs	46.2	4,909,864	46.2	5,037,554
Purchased Services				
Clerical and Temporary Services		500		500
Legal Services		55,000		55,000
Subtotal		55,500		55,500
Total Personnel	46.2	4,965,364	46.2	5,093,054
Distribution by Source of Funds				
General Revenue	46.2	4,965,364	46.2	5,093,054
Total All Funds	46.2	4,965,364	46.2	5,093,054

Program Summary

General Assembly

Joint Comm. on Legislative Services

Mission

The Joint Committee on Legislative Services (JCLS) is responsible for all administrative matters affecting the operations of the General Assembly. The Office also coordinates the Legislative Data Services and Telecommunications - Cable TV activities.

Description

Under the direction of the Joint Committee on Legislative Services, the JCLS Administrative Office is responsible for the overall day-to-day operations of the General Assembly. Matters pertaining to personnel, payroll and benefits, operations, purchasing and accounts payable are handled through this office. The JCLS Office prepares and submits the annual budget and oversees the finances of the Legislature. The operations staff is responsible for the purchasing function, the upkeep and maintenance of the legislative offices in the State House, the disbursement of supplies to the various offices of the JCLS, and repairs to equipment and furnishings of the Legislature.

Statutory History

The Joint Committee on Legislative Services was established as a permanent joint committee in 1960. Its statutory provisions are contained in R.I. General Laws § 22-11.

Budget

General Assembly

Joint Comm. on Legislative Services

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736
Total Expenditures	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736
Expenditures by Object					
Salary and Benefits	21,264,579	23,133,397	25,743,038	26,114,413	26,804,386
Contract Professional Services	57,520	132,994	198,500	948,500	198,500
Operating Supplies and Expenses	643,050	921,715	1,177,850	3,134,350	1,382,850
Assistance and Grants	2,003,122	2,126,780	2,300,000	2,300,000	2,300,000
Subtotal: Operating	23,968,271	26,314,886	29,419,388	32,497,263	30,685,736
Capital Purchases and Equipment	57,930	688,104	210,000	965,000	390,000
Subtotal: Other	57,930	688,104	210,000	965,000	390,000
Total Expenditures	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736
Expenditures by Source of Funds					
General Revenue	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736
Total Expenditures	24,026,202	27,002,990	29,629,388	33,462,263	31,075,736

Personnel

General Assembly

Joint Comm. on Legislative Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	7754 F	1.0	70,069	1.0	71,821
ADMINISTRATIVE ASSISTANT	7764 F	1.0	53,901	1.0	55,249
ADMINISTRATIVE ASSISTANT	7773 F	8.0	429,216	8.0	439,944
ADMINISTRATIVE ASSISTANT	7776 F	4.0	279,398	4.0	286,382
ADMINISTRATIVE ASSISTANT	7788 F	1.0	109,171	1.0	111,900
ADMINISTRATIVE ASSISTANT	7817 F	1.0	47,371	1.0	48,555
ADMINISTRATIVE ASSISTANT	7859 F	1.0	52,816	1.0	54,136
ADMINISTRATIVE ASSISTANT	7887 F	1.0	60,480	1.0	61,992
ADMINISTRATIVE ASSISTANT	7925 F	1.0	78,464	1.0	80,425
ADMINISTRATIVE ASSISTANT	7929 F	1.0	79,107	1.0	81,085
ADMINISTRATIVE ASSISTANT	7973 F	1.0	62,640	1.0	64,206
ADMINISTRATIVE ASSISTANT	7977 F	1.0	58,055	1.0	59,506
ADMIN OF HOUSE OVERSIGHT	7768 F	1.0	96,408	1.0	98,818
ASSISTANT CLERK - FINANCE	7773 F	1.0	53,652	1.0	54,993
ASSISTANT CLERK HOUSE FINANCE	7798 F	1.0	60,011	1.0	61,511
ASSISTANT ENGINEER CAPITOL TV	7859 F	1.0	63,379	1.0	64,963
ASSISTANT PROGRAM DIRECTOR	7761 F	1.0	65,045	1.0	66,671
ASSISTANT RECORD CLERK/CONST SRVS	7871 F	1.0	71,891	1.0	73,688
ASSOCIATE DIRECTOR/CONTROLLER	7792 F	1.0	131,257	1.0	134,539
ASSOCIATE DIRECTOR IT	7793 F	1.0	118,445	1.0	121,406
ASST DIRECTOR OF LAW REVISION	7959 F	1.0	108,159	1.0	110,862
CHIEF CLERK	7721 F	1.0	87,008	1.0	89,183
CHIEF LEGAL COUNSEL	7759 F	1.0	176,626	1.0	181,042
CHIEF LEGAL COUNSEL	7933 F	1.0	178,700	1.0	183,168
CHIEF OF STAFF	7815 F	1.0	135,379	1.0	138,763
CHIEF OF STAFF	7837 F	1.0	203,098	1.0	208,148
CHIEF OF STAFF	7968 F	1.0	180,807	1.0	185,327
CLERICAL	7817 F	1.0	49,740	1.0	50,983
CLERICAL	7950 F	4.6	166,023	4.6	170,172
CLERK CORPORATIONS COMMITTEE	7886 F	1.0	67,190	1.0	68,870
CLERK-HOUSE FINANCE	7734 F	1.0	88,613	1.0	90,828
CLERK- LABOR COMMITTEE	7742 F	0.6	37,258	0.6	38,190
COMM/MULTI MEDIA SUPPORT STAFF	7997 F	1.0	83,313	1.0	85,396
CONSTITUENT CASEWORKER	7773 F	1.0	53,652	1.0	54,993
CONSTITUENT CASEWORKER	7780 F	1.0	59,640	1.0	61,131
CONSTITUENT CASEWORKER	7843 F	1.0	59,140	1.0	60,619
CONSTITUENT LIAISON	7843 F	1.0	65,054	1.0	66,681

Personnel

General Assembly

Joint Comm. on Legislative Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
CONSTITUENT LIAISON	7863 F	1.0	70,864	1.0	72,636
CONSTITUENT LIAISON	7887 F	1.0	60,480	1.0	61,992
CONSTITUENT LIAISON I	7942 F	1.0	76,219	1.0	78,125
CONSTITUENT SERVICES LIAISON	7887 F	1.0	60,480	1.0	61,992
CONSTITUENT SVS CASEWORKER	7980 F	1.0	67,109	1.0	68,786
DATA ANALYST	7824 F	1.0	59,091	1.0	60,568
DATA ANALYST	7897 F	1.0	74,425	1.0	76,286
DATA ANALYST	7930 F	1.0	62,755	1.0	64,324
DEP CHIEF OF STAFF/LEGISLATION	7899 F	1.0	161,489	1.0	165,526
DEPUTY CHIEF OF STAFF	7775 F	1.0	124,763	1.0	127,882
DEPUTY CHIEF OF STAFF	7866 F	1.0	132,818	1.0	136,139
DEPUTY DIR-COMMUNICATIONS	7710 F	1.0	76,792	1.0	78,712
DEPUTY DIR-COMMUNICATIONS	7741 F	1.0	90,331	1.0	92,590
DEPUTY DIR CONSTITUENT SERVICE	7767 F	1.0	79,887	1.0	81,884
DEPUTY DIRECTOR	7896 F	1.0	77,368	1.0	79,302
DEPUTY DIRECTOR	7967 F	1.0	128,157	1.0	131,296
DEPUTY FISCAL ADVISOR	7909 F	1.0	145,556	1.0	149,196
DEPUTY LEGAL COUNSEL	7963 F	1.0	122,133	1.0	125,063
DEPUTY LEGISLATIVE DIRECTOR	7873 F	1.0	86,852	1.0	89,023
DEPUTY POLICY DIRECTOR	7972 F	1.0	105,027	1.0	107,653
DIRECTOR	7875 F	1.0	125,818	1.0	128,963
DIRECTOR - CAPITOL TV	7797 F	1.0	104,069	1.0	106,670
DIRECTOR OF COMMUNICATIONS	7867 F	1.0	133,530	1.0	136,868
DIRECTOR OF COMMUNICATIONS	7929 F	1.0	79,107	1.0	81,085
DIRECTOR OF CONSTITNENT SERVIC	7866 F	1.0	124,341	1.0	127,449
DIRECTOR OF HOUSE POLICY	7878 F	1.0	163,845	1.0	167,941
DIRECTOR OF IT	7914 F	1.0	156,437	1.0	160,348
DIRECTOR OF LAW REVISION	7855 F	1.0	156,783	1.0	160,703
DIRECTOR OF LEGAL SERVICES - SENATE	7736 F	0.6	74,783	0.6	76,653
DIRECTOR OF SENATE POLICY	7711 F	1.0	140,741	1.0	144,260
DIRECTOR OF SENATE SERVICES	7726 F	1.0	93,622	1.0	95,963
DIR OF CONSTITUENT SERVICES	7889 F	1.0	111,421	1.0	114,206
DIR OF LEGAL SVS FOR HSE COMM	7736 F	0.6	74,783	0.6	76,653
DIR OF THE LEGIS PRESS BUREAU	7768 F	1.0	96,408	1.0	98,818
EXECUTIVE ASSISTANT	7718 F	1.0	50,685	1.0	51,952
EXECUTIVE ASSISTANT	7776 F	1.0	67,325	1.0	69,008
EXECUTIVE ASSISTANT	7873 F	1.0	86,852	1.0	89,023

Personnel

General Assembly

Joint Comm. on Legislative Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
EXECUTIVE ASSISTANT	7986 F	1.0	115,750	1.0	118,643
EXECUTIVE DIRECTOR TO JCLS	7953 F	1.0	168,212	1.0	172,321
FISCAL ANALYST	7722 F	1.0	67,959	1.0	69,658
FISCAL ANALYST I	7722 F	2.0	135,918	2.0	139,316
FISCAL ANALYST II	7972 F	1.0	110,278	1.0	113,036
HOUSE DIR OF COMMUNICATIONS	7703 F	1.0	161,621	1.0	165,662
HOUSE PARLIAMENTARIAN-LEG COUN	7889 F	1.0	122,032	1.0	125,083
HOUSE READING CLERK	7959 F	1.0	103,242	1.0	105,823
IT TECHNICAL SPECIALIST II	7835 F	1.0	89,319	1.0	91,552
LEGAL COUNSEL	7711 F	1.0	154,815	1.0	158,686
LEGAL COUNSEL	7731 F	0.6	82,301	0.6	84,359
LEGAL COUNSEL	7735 F	0.6	43,361	0.6	44,444
LEGAL COUNSEL	7736 F	0.6	86,001	0.6	88,151
LEGAL COUNSEL	7781 F	0.6	37,430	0.6	38,366
LEGAL COUNSEL	7800 F	0.6	35,011	0.6	35,886
LEGAL COUNSEL	7828 F	0.6	33,382	0.6	34,217
LEGAL COUNSEL	7843 F	0.6	33,794	0.6	34,639
LEGAL COUNSEL	7859 F	1.8	95,070	1.8	97,446
LEGAL COUNSEL	7867 F	1.0	127,171	1.0	130,350
LEGAL COUNSEL	7889 F	1.0	111,421	1.0	114,206
LEGAL COUNSEL	7905 F	0.6	41,173	0.6	42,202
LEGAL COUNSEL	7916 F	0.6	56,181	0.6	57,585
LEGAL COUNSEL	7972 F	1.0	115,530	1.0	118,418
LEGAL COUNSEL/HOUSE MAJ LEADER	7771 F	1.0	92,733	1.0	95,051
LEGAL COUNSEL/HOUSE MAJORITY LEADER	7999 F	1.0	184,978	1.0	189,603
LEGAL COUNSEL - PT	7859 F	1.2	60,362	1.2	61,870
LEGAL COUNSEL - PT	7991 F	0.6	76,180	0.6	78,085
LEGISLATIVE AIDE	7708 F	1.0	67,578	1.0	69,267
LEGISLATIVE AIDE	7720 F	1.0	53,892	1.0	55,240
LEGISLATIVE AIDE	7732 F	1.0	54,205	1.0	55,561
LEGISLATIVE AIDE	7733 F	1.0	65,383	1.0	67,017
LEGISLATIVE AIDE	7734 F	1.0	84,585	1.0	86,700
LEGISLATIVE AIDE	7773 F	2.0	107,304	2.0	109,986
LEGISLATIVE AIDE	7815 F	1.0	128,932	1.0	132,155
LEGISLATIVE AIDE	7881 F	1.0	54,794	1.0	56,164
LEGISLATIVE AIDE	7886 F	0.6	38,394	0.6	39,354
LEGISLATIVE AIDE	7922 F	1.0	57,253	1.0	58,684

Personnel

General Assembly

Joint Comm. on Legislative Services

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
LEGISLATIVE AIDE	7949 F	1.0	49,461	1.0	50,698
LEGISLATIVE AIDE	7958 F	0.6	29,013	0.6	29,738
LEGISLATIVE AIDE	7988 F	6.1	246,177	6.1	252,333
LEGISLATIVE AID - PT	7977 F	1.0	58,055	1.0	59,506
LEGISLATIVE ASSISTANT	7723 F	1.0	72,417	1.0	74,227
LEGISLATIVE ASSISTANT	7772 F	1.0	64,877	1.0	66,499
LEGISLATIVE ASSISTANT	7812 F	0.6	40,237	0.6	41,243
LEGISLATIVE ASSISTANT	7847 F	1.0	54,289	1.0	55,646
LEGISLATIVE ASSISTANT	7857 F	0.6	34,043	0.6	34,894
LEGISLATIVE PERSONNEL ADMIN	7867 F	1.0	127,171	1.0	130,350
LEGISLATIVE PROJECT COORDINATO	7764 F	1.0	56,596	1.0	58,011
LEGISLATIVE PROJECT COORDINATO	7856 F	1.0	64,325	1.0	65,933
MANAGER COPY CENTER	7941 F	1.0	51,576	1.0	52,865
MANAGER DATA SYSTEMS	7765 F	1.0	106,977	1.0	109,651
NETWORK AND SYSTEMS TECH	7737 F	1.0	81,863	1.0	83,910
NETWORK & SYSTEMS TECH I	7773 F	1.0	53,652	1.0	54,993
NETWORK & SYSTEMS TECH I	7978 F	1.0	61,631	1.0	63,172
NETWORK & SYSTEMS TECHNICIANII	7773 F	1.0	53,652	1.0	54,993
OPERATIONS PROJECT COORDINATOR	7804 F	1.0	70,628	1.0	72,394
PAYROLL ADMINISTRATOR	7757 F	1.0	78,030	1.0	79,970
POLICY ANALYST	7757 F	1.0	64,675	1.0	66,292
POLICY ANALYST	7859 F	0.6	31,690	0.6	32,482
POLICY ANALYST	7897 F	0.6	37,213	0.6	38,143
POLICY ANALYST	7996 F	1.0	80,126	1.0	82,129
POLICY ANALYST I	7868 H	0.6	42,237	0.6	42,237
POLICY ANALYST I	7942 F	1.0	63,516	1.0	65,104
POLICY ANALYST II	7738 F	2.0	152,126	2.0	155,930
POLICY ANALYST II	7833 F	1.0	81,099	1.0	83,126
PRESS OPERATOR	7782 F	2.0	113,042	2.0	115,870
PRINCIPAL POLICY ANALYST	7737 F	1.0	81,863	1.0	83,910
PRODUCTION DIRECTOR	7854 F	1.0	103,672	1.0	106,264
PROGRAM OFFICER	7757 F	1.0	64,675	1.0	66,292
PROGRAM OFFICER	7841 F	1.0	65,283	1.0	66,915
PROJ COORD/LEGISLATIVE AIDE	7773 F	1.0	53,652	1.0	54,993
PUBLICIST	7722 F	1.0	67,959	1.0	69,658
PUBLICIST	7942 F	1.0	63,516	1.0	65,104
SECRETARY	7709 F	1.0	59,668	1.0	61,160

Personnel

General Assembly

Joint Comm. on Legislative Services

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
SECRETARY	7817 F	4.0	189,484	4.0	194,220
SECRETARY	7831 F	1.0	72,053	1.0	73,855
SECRETARY	7901 F	1.0	69,113	1.0	70,841
SECRETARY	7977 F	1.0	58,055	1.0	59,506
SECRETARY/CLERK	7802 F	1.0	57,254	1.0	58,686
SECRETARY I	7773 F	1.0	59,017	1.0	60,492
SECRETARY OF THE SENATE	7940 F	1.0	96,093	1.0	98,495
SENATE FISCAL ADVISOR	7759 F	1.0	185,457	1.0	190,094
SENATE PARLIAMENTARIAN - PT	7993 F	0.6	62,934	0.6	64,507
SENATE SERVICES ASSISTANT	7733 F	1.0	59,439	1.0	60,925
SENIOR DEPUTY CHIEF OF STAFF	7914 F	1.0	136,032	1.0	139,433
SENIOR DEPUTY CHIEF OF STAFF	7923 F	1.0	164,016	1.0	168,117
SENIOR LEGAL COUNSEL	7963 F	1.0	123,072	1.0	126,148
SENIOR PRESS OPERATOR	7891 F	1.0	65,829	1.0	67,476
SENIOR SECRETARY	7989 F	1.0	69,200	1.0	70,930
SR LEGISLATIVE FISCAL ANALYST	7972 F	1.0	120,781	1.0	123,801
SR. PRODUCER/DIRECTOR	7893 F	1.0	91,662	1.0	93,953
SR PROJECT MANAGER	7725 F	1.0	87,068	1.0	89,245
SUPERVISOR CLERICAL SERVICES	7939 F	1.0	77,610	1.0	79,550
TELEVISION MAINTENANCE ENGINEE	7771 F	1.0	92,733	1.0	95,051
TV DIRECTOR	7831 F	1.0	75,484	1.0	77,372
TV DIRECTOR	7891 F	1.0	56,025	1.0	57,426
T. V. TECHNICIAN	7764 F	1.0	53,901	1.0	55,249
TV TECHNICIAN	7723 F	1.0	62,971	1.0	64,545
TV TECHNICIAN	7891 F	4.0	224,100	4.0	229,704
Subtotal Unclassified		194.3	15,886,932	194.3	16,282,726
Subtotal		194.3	15,886,932	194.3	16,282,726
Seasonal/Special Salaries/Wages			95,000		95,000
Total Salaries			15,981,932		16,377,726

Personnel

General Assembly

Joint Comm. on Legislative Services

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Benefits				
Contract Stipends		264,000		0
FICA		1,220,499		1,232,426
Health Benefits		2,704,357		2,845,221
Payroll Accrual		0		94,506
Retiree Health		711,739		735,989
Retirement		4,604,359		4,875,361
Subtotal		9,504,954		9,783,503
Total Salaries and Benefits	194.3	25,486,886	194.3	26,161,229
Cost Per FTE Position		131,173		134,643
Statewide Benefit Assessment		627,527		643,157
Payroll Costs	194.3	26,114,413	194.3	26,804,386
Purchased Services				
Buildings and Ground Maintenance		3,500		3,500
Information Technology		920,000		170,000
Management & Consultant Services		25,000		25,000
Subtotal		948,500		198,500
Total Personnel	194.3	27,062,913	194.3	27,002,886
Distribution by Source of Funds				
General Revenue	194.3	27,062,913	194.3	27,002,886
Total All Funds	194.3	27,062,913	194.3	27,002,886

Program Summary

General Assembly

Auditor General

Mission

The Office of the Auditor General provides independent audits, accounting, and evaluation of state government programs to the General Assembly for its legislative oversight and capability.

Description

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance. The Office also completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established in 1974. Statutory provisions for the Office of the Auditor General are contained in R.I. General Laws § 22-13.

Budget

General Assembly

Auditor General

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	4,932,629	4,919,129	6,397,474	6,774,414	6,992,457
Total Expenditures	4,932,629	4,919,129	6,397,474	6,774,414	6,992,457
Expenditures by Object					
Salary and Benefits	4,343,305	4,419,897	5,796,774	6,091,009	6,273,133
Contract Professional Services	4,593	854	600	600	600
Operating Supplies and Expenses	471,233	498,379	550,100	620,805	666,724
Assistance and Grants	113,497	0	0	0	0
Subtotal: Operating	4,932,629	4,919,129	6,347,474	6,712,414	6,940,457
Capital Purchases and Equipment	0	0	50,000	62,000	52,000
Subtotal: Other	0	0	50,000	62,000	52,000
Total Expenditures	4,932,629	4,919,129	6,397,474	6,774,414	6,992,457
Expenditures by Source of Funds					
General Revenue	3,319,131	3,619,130	4,478,233	4,746,651	4,902,364
Restricted Receipts	1,613,497	1,300,000	1,919,241	2,027,763	2,090,093
Total Expenditures	4,932,629	4,919,129	6,397,474	6,774,414	6,992,457

Personnel

General Assembly

Auditor General

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	7995 F	1.0	55,876	1.0	57,273
ADMINISTRATIVE ASSISTANT	7872 F	0.6	24,971	0.6	25,596
ADMINISTRATIVE ASSISTANT	7978 F	1.0	61,631	1.0	63,172
ADMINISTRATIVE OFFICER	7724 F	1.0	69,381	1.0	71,116
ASSISTANT DATA SYSTEMS COORD	7976 F	1.0	66,588	1.0	68,253
AUDIT MANAGER	7702 F	1.0	111,173	1.0	113,952
AUDIT MANAGER	7743 F	1.0	114,971	1.0	117,845
AUDIT MANAGER	7775 F	1.0	124,763	1.0	127,882
AUDIT MANAGER	7788 F	1.0	111,544	1.0	114,332
AUDIT MANAGER	7986 F	1.0	105,685	1.0	108,327
AUDITOR	7780 F	5.0	298,200	5.0	305,655
AUDITOR	7802 F	2.0	109,056	2.0	111,782
AUDITOR	7857 F	1.0	56,738	1.0	58,156
AUDITOR GENERAL	7703 F	1.0	184,710	1.0	189,327
COMMUNICATIONS LIAISON OFFICER	7975 F	0.6	44,970	0.6	46,094
DATA SYSTEMS COORDINATOR	7957 F	1.0	78,446	1.0	80,406
INFORMATION SYSTEMS AUDIT MGR	7972 F	1.0	105,027	1.0	107,653
LEGAL COUNSEL	7850 F	0.6	66,367	0.6	68,026
PRINCIPAL AUDITOR	7708 F	4.0	270,312	4.0	277,068
PRINCIPAL AUDITOR	7747 F	1.0	75,871	1.0	77,768
PRINCIPAL AUDITOR	7797 F	1.0	88,569	1.0	90,783
PRINCIPAL AUDITOR	7835 F	1.0	81,199	1.0	83,229
PRINCIPAL AUDITOR	7841 F	1.0	65,283	1.0	66,915
PRINCIPAL AUDITOR	7873 F	1.0	86,852	1.0	89,023
PRINCIPAL AUDITOR	7929 F	1.0	82,874	1.0	84,947
PRINCIPAL AUDITOR	7982 F	1.0	85,471	1.0	87,608
PRINCIPAL IT AUDITOR	7997 F	1.0	91,644	1.0	93,936
SENIOR AUDIT MANAGER	7752 F	1.0	137,881	1.0	141,328
SENIOR AUDIT MANAGER	7792 F	1.0	111,708	1.0	114,501
SENIOR AUDIT MANAGER	7906 F	1.0	129,980	1.0	133,230
SENIOR AUDITOR	7724 F	0.6	41,629	0.6	42,670
SENIOR AUDITOR	7798 F	4.0	240,044	4.0	246,044
SENIOR I.T. AUDITOR	7901 F	1.0	69,113	1.0	70,841
SPECIAL PROJECTS AUDITOR	7752 F	0.6	71,938	0.6	73,736
SR TECH RISK ANALYST	7940 F	1.0	96,093	1.0	98,495
SUPERVISING AUDITOR	7768 F	1.0	91,817	1.0	94,112
Subtotal Unclassified		45.0	3,708,375	45.0	3,801,081

Personnel

General Assembly

Auditor General

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Subtotal	45.0	3,708,375	45.0	3,801,081
Total Salaries		3,708,375		3,801,081
Benefits				
Contract Stipends		72,000		30,000
FICA		284,460		288,456
Health Benefits		639,668		672,361
Payroll Accrual		0		22,055
Retiree Health		166,131		171,807
Retirement		1,073,896		1,137,233
Subtotal		2,236,155		2,321,912
Total Salaries and Benefits	45.0	5,944,530	45.0	6,122,993
Cost Per FTE Position		132,101		136,067
Statewide Benefit Assessment		146,479		150,140
Payroll Costs	45.0	6,091,009	45.0	6,273,133
Purchased Services				
Other Contracts		600		600
Subtotal		600		600
Total Personnel	45.0	6,091,609	45.0	6,273,733
Distribution by Source of Funds				
General Revenue	45.0	4,264,140	45.0	4,391,599
Restricted Receipts	0.0	1,827,469	0.0	1,882,134
Total All Funds	45.0	6,091,609	45.0	6,273,733

Program Summary

General Assembly

Special Legislative Commissions

Mission

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional, and national concern.

Description

Included in this program are the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

Budget

General Assembly

Special Legislative Commissions

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	5,560	5,809	13,900	13,900	13,900
Total Expenditures	5,560	5,809	13,900	13,900	13,900
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Expenditures by Object					
Operating Supplies and Expenses	5,560	5,809	13,900	13,900	13,900
Subtotal: Operating	5,560	5,809	13,900	13,900	13,900
Total Expenditures	5,560	5,809	13,900	13,900	13,900
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Expenditures by Source of Funds					
General Revenue	5,560	5,809	13,900	13,900	13,900
Total Expenditures	5,560	5,809	13,900	13,900	13,900

Agency Summary

Office of the Governor

Agency Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. To obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Agency Description

The Office of the Governor is established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Legislative Affairs Office, Legal Office, Communications Office, Community Engagement Office, Policy Office, and Boards and Commissions Office.

Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the Constitution of Rhode Island are faithfully executing the laws (Article IX, Section 2), commanding the state's military and naval forces (Article IX, Section 3), granting reprieves (Articles IX, Section 4) and pardons (Article IX, Section 13), convening special sessions of the General Assembly (Article IX, Section 7), and preparing and presenting to the General Assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Daniel J. McKee began on March 2, 2021.

Budget

Office of the Governor

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Expenditures by Object					
Salary and Benefits	5,920,062	6,519,470	6,533,993	6,985,750	7,773,873
Contract Professional Services	105,477	10,735	500	500	500
Operating Supplies and Expenses	428,858	567,757	450,687	445,917	465,074
Assistance and Grants	0	0	150,000	150,000	150,000
Subtotal: Operating	6,454,397	7,097,961	7,135,180	7,582,167	8,389,447
Capital Purchases and Equipment	34,469	486	17,100	17,100	17,100
Subtotal: Other	34,469	486	17,100	17,100	17,100
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Expenditures by Source of Funds					
General Revenue	6,477,752	7,098,447	7,152,280	7,599,267	8,406,547
Federal Funds	11,115	0	0	0	0
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
FTE Authorization	45.0	45.0	45.0	45.0	45.0

Personnel Agency Summary

Office of the Governor				
	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	45.0	4,865,556	45.0	5,119,435
Subtotal	45.0	4,865,556	45.0	5,119,435
Turnover		(385,915)		(165,704)
Total Salaries		4,479,641		4,953,731
Benefits				
FICA		325,879		360,091
Health Benefits		501,105		527,437
Payroll Accrual		0		28,747
Retiree Health		200,685		223,910
Retirement		1,301,497		1,484,284
Subtotal		2,329,166		2,624,469
Total Salaries and Benefits	45.0	6,808,807	45.0	7,578,200
Cost Per FTE Position		151,307		168,404
Statewide Benefit Assessment		176,943		195,673
Payroll Costs	45.0	6,985,750	45.0	7,773,873
Purchased Services				
Other Contracts		500		500
Subtotal		500		500
Total Personnel	45.0	6,986,250	45.0	7,774,373
Distribution by Source of Funds				
General Revenue	45.0	6,986,250	45.0	7,774,373
Total All Funds	45.0	6,986,250	45.0	7,774,373

Program Summary

Office of the Governor

Central Management

Mission

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island. To monitor all federal legislation and the federal budget for impact upon Rhode Island. To process legislative and regulatory opportunities for the State of Rhode Island. to obtain maximum federal funding for the State of Rhode Island. To initiate reform and change in both government and the economy for the betterment of all Rhode Island citizens.

Description

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Budget

Office of the Governor

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Governor's Office	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Expenditures by Object					
Salary and Benefits	5,920,062	6,519,470	6,533,993	6,985,750	7,773,873
Contract Professional Services	105,477	10,735	500	500	500
Operating Supplies and Expenses	428,858	567,757	450,687	445,917	465,074
Assistance and Grants	0	0	150,000	150,000	150,000
Subtotal: Operating	6,454,397	7,097,961	7,135,180	7,582,167	8,389,447
Capital Purchases and Equipment	34,469	486	17,100	17,100	17,100
Subtotal: Other	34,469	486	17,100	17,100	17,100
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547
Expenditures by Source of Funds					
General Revenue	6,477,752	7,098,447	7,152,280	7,599,267	8,406,547
Federal Funds	11,115	0	0	0	0
Total Expenditures	6,488,866	7,098,447	7,152,280	7,599,267	8,406,547

Personnel

Office of the Governor

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	8325 A	1.0	63,284	1.0	67,760
CHIEF OF STAFF (GOVERNORS OFFICE)	8353 A	1.0	207,344	1.0	207,344
COMMUNICATIONS ASSOCIATE (G O)	0817 F	0.5	41,474	0.5	42,511
COMMUNITY AFFAIRS & OUTREACH MANAGER (GOV OFF)	8346 A	1.0	143,945	1.0	153,091
COMMUNITY OUTREACH COORD (GO)	8325 A	1.0	63,792	1.0	68,541
CONSTITUENT SERVICES ASSOCIATE	0817 A	0.5	46,303	0.5	47,461
CONSTITUENT SERVICES ASSOCIATE	8318 A	2.0	94,876	2.0	100,080
CONSTITUENT SVS ASSO I (G O)	8318 A	2.0	96,834	2.0	101,674
DEP DIR OF LEG & INTERGOV AFF	8341 A	1.0	116,417	1.0	124,893
DEPUTY CHIEF OF STAFF (GOVERNORS OFFICE)	8351 A	2.0	347,751	2.0	367,724
DEPUTY COUNSEL (GOV OFF)	8345 A	1.0	149,779	1.0	153,523
DEPUTY COUNSEL (GOV OFFICE)	8345 A	1.0	149,779	1.0	153,523
DEPUTY POLICY DIRECTOR (G O)	8341 A	1.0	112,454	1.0	120,964
DEPUTY PRESS SECRETARY (GOV OFF)	8336 A	1.0	101,612	1.0	105,434
DIGI COMM DIR/POL ANALYST(GO)	8330 A	1.0	76,925	1.0	82,430
DIRECTOR EXECUTIVE OPERATIONS (GOV OFF)	8334 A	1.0	90,283	1.0	97,259
DIRECTOR OF APPOINTMENTS (GOV OFF)	0883 F	1.0	117,251	1.0	117,251
DIRECTOR OF CONSTITUENT SERVICES (GOV OFF)	8334 A	1.0	88,184	1.0	94,020
DIRECTOR OF MUNICIPAL AFFAIRS (GOV OFFICE)	8335 A	1.0	92,061	1.0	98,545
DIRECTOR OF SCHEDULING (G O)	8334 A	1.0	91,408	1.0	98,414
DIR OF COMM AFF & OUTRCH (GO)	8339 A	1.0	110,577	1.0	118,926
DIR OF COMMUNICATIONS (GO)	8344 A	1.0	137,691	1.0	146,716
EXECUTIVE COUNSEL (GOVERNOR'S OFFICE)	8347 A	1.0	176,695	1.0	181,113
GOVERNOR	0527 F	1.0	153,716	1.0	163,295
MANAGER OF APPOINTMENTS (GO)	8339 A	1.0	110,577	1.0	118,926
OFFICE MANAGER (GOVERNORS OFFICE)	8328 A	1.0	76,416	1.0	78,326
POL ANALYST & PUB REC OFF (GO)	8332 A	1.0	83,691	1.0	90,059
POLICY ADVISOR	8333 A	2.0	169,855	2.0	181,594
POLICY ADVISOR II (GOV OFF)	8326 A	2.0	134,272	2.0	139,991
POLICY ADVISOR III (GOV'S OFFICE)	8333 A	1.0	83,342	1.0	88,589
SENIOR ADVISOR TO THE GOVERNOR (GOV'S OFFICE)	8348 A	1.0	166,054	1.0	170,205
SENIOR DEPUTY CHIEF OF STAFF (GOV'S OFFICE)	8352 A	1.0	183,078	1.0	194,711
SMALL BUSINESS LIAISON (G.O.)	8342 A	1.0	124,964	1.0	133,744
SPEC ASST TO CHF OF STAFF (GO)	8329 A	1.0	79,508	1.0	81,496
SPEC ASST TO DEP CHF OF STAFF	8329 A	1.0	79,508	1.0	81,496

Personnel

Office of the Governor

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
SPECIAL ASSISTANT TO DEPUTY CHIEF OF STAFF (GOV OFF)	8319 A	1.0	49,933	1.0	52,494
SPECIAL ASSISTANT TO THE CHIEF OF STAFF (GOV'S OFFICE)	8334 A	1.0	88,555	1.0	94,588
SR ADVISOR / SPECIAL COUNSEL (GOV OFFICE)	8351 A	1.0	173,980	1.0	183,975
SR ADVISOR TO THE GOVERNOR (GO	8345 A	1.0	143,112	1.0	152,269
SR ADVISOR TO THE GOVERNOR (GOV OFF)	8352 A	1.0	186,711	1.0	198,332
STAFF WRITER & CONTENT MGR (GOV'S OFFICE)	8324 A	1.0	61,565	1.0	66,148
Subtotal Unclassified		45.0	4,865,556	45.0	5,119,435
Subtotal		45.0	4,865,556	45.0	5,119,435
Turnover			(385,915)		(165,704)
Total Salaries			4,479,641		4,953,731
Benefits					
FICA			325,879		360,091
Health Benefits			501,105		527,437
Payroll Accrual			0		28,747
Retiree Health			200,685		223,910
Retirement			1,301,497		1,484,284
Subtotal			2,329,166		2,624,469
Total Salaries and Benefits		45.0	6,808,807	45.0	7,578,200
Cost Per FTE Position			151,307		168,404
Statewide Benefit Assessment			176,943		195,673
Payroll Costs		45.0	6,985,750	45.0	7,773,873
Purchased Services					
Other Contracts			500		500
Subtotal			500		500
Total Personnel		45.0	6,986,250	45.0	7,774,373
Distribution by Source of Funds					
General Revenue		45.0	6,986,250	45.0	7,774,373
Total All Funds		45.0	6,986,250	45.0	7,774,373

Agency Summary

Office of Lieutenant Governor

Agency Mission

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Agency Description

The Lieutenant Governor was established under the Constitution of Rhode Island as one of the five general offices subject to voter election. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his or her death, resignation, impeachment, or inability to serve. The Lieutenant Governor appoints members of the general public to serve on boards and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans' affairs, education, economic development, affordable housing, environmental sustainability, long-term care, health care, and older adults. The Office also serves as a liaison between the public and state agencies.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power; duties in the case of death, resignation, or impeachment of the Governor; responsibilities in the case of a vacancy of position; and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor. The Lieutenant Governor is statutorily appointed to chair the Long-Term Care Coordinating Council (R.I. General Laws § 23-17.3-2), the Emergency Management Advisory Committee (RIGL § 30-15-6), and the Small Business Advocacy Council (RIGL § 42-91-2).

Budget

Office of Lieutenant Governor

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Lt. Governor's Office - General	1,003,177	1,073,989	1,353,568	1,366,773	1,411,331
Total Expenditures	1,003,177	1,073,989	1,353,568	1,366,773	1,411,331
Expenditures by Object					
Salary and Benefits	864,279	958,330	1,153,520	1,153,626	1,193,041
Contract Professional Services	55,130	36,000	58,000	68,000	68,000
Operating Supplies and Expenses	83,768	78,583	141,298	144,397	149,540
Subtotal: Operating	1,003,177	1,072,914	1,352,818	1,366,023	1,410,581
Capital Purchases and Equipment	0	1,075	750	750	750
Subtotal: Other	0	1,075	750	750	750
Total Expenditures	1,003,177	1,073,989	1,353,568	1,366,773	1,411,331
Expenditures by Source of Funds					
General Revenue	1,002,553	1,073,989	1,353,568	1,366,773	1,411,331
Federal Funds	624	0	0	0	0
Total Expenditures	1,003,177	1,073,989	1,353,568	1,366,773	1,411,331
FTE Authorization	8.0	8.0	8.0	8.0	8.0

Personnel Agency Summary

Office of Lieutenant Governor

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	8.0	713,048	8.0	754,473
Subtotal	8.0	713,048	8.0	754,473
Seasonal/Special Salaries/Wages		77,384		49,830
Turnover		(9,034)		(13,903)
Total Salaries		781,398		790,400
Benefits				
FICA		59,775		60,465
Health Benefits		45,487		47,780
Payroll Accrual		0		4,379
Retiree Health		31,945		34,101
Retirement		206,857		226,114
Subtotal		344,064		372,839
Total Salaries and Benefits	8.0	1,125,462	8.0	1,163,239
Cost Per FTE Position		140,683		145,405
Statewide Benefit Assessment		28,164		29,802
Payroll Costs	8.0	1,153,626	8.0	1,193,041
Purchased Services				
Legal Services		48,000		48,000
Training and Educational Services		20,000		20,000
Subtotal		68,000		68,000
Total Personnel	8.0	1,221,626	8.0	1,261,041
Distribution by Source of Funds				
General Revenue	8.0	1,221,626	8.0	1,261,041
Total All Funds	8.0	1,221,626	8.0	1,261,041

Personnel

Office of Lieutenant Governor

Lt. Governor's Office - General

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
CHIEF OF STAFF (LT GOV)	8443 A	1.0	129,377	1.0	138,310
DIR OF COMMUNICATIONS (LT GOV)	8431 A	1.0	87,651	1.0	89,842
EXECUTIVE ASSISTANT/SPEC PROJECTS (LT.GOV)	8428 A	1.0	73,097	1.0	78,528
LIEUTENANT GOVERNOR	0531 F	1.0	129,443	1.0	137,510
POLICY ANALYST/GRAPHICS (LT.GOV)	8424 A	1.0	60,201	1.0	63,766
POLICY ANALYST/SPEC PROJ (LT GOV)	8438 A	1.0	102,552	1.0	110,578
SPECIAL ASSISTANT (LT. GOV)	8423 A	1.0	58,007	1.0	61,402
SR. ADVISOR / DIRECTOR OF GOVERNMENT AFFAIRS AND DIVERSITY	8425 A	1.0	72,720	1.0	74,537
Subtotal Unclassified		8.0	713,048	8.0	754,473
Subtotal		8.0	713,048	8.0	754,473
Seasonal/Special Salaries/Wages			77,384		49,830
Turnover			(9,034)		(13,903)
Total Salaries			781,398		790,400
Benefits					
FICA			59,775		60,465
Health Benefits			45,487		47,780
Payroll Accrual			0		4,379
Retiree Health			31,945		34,101
Retirement			206,857		226,114
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Total Salaries and Benefits		8.0	1,125,462	8.0	1,163,239
Cost Per FTE Position			140,683		145,405
Statewide Benefit Assessment			28,164		29,802
Payroll Costs		8.0	1,153,626	8.0	1,193,041
Purchased Services					
Legal Services			48,000		48,000
Training and Educational Services			20,000		20,000
Subtotal			68,000		68,000
Total Personnel		8.0	1,221,626	8.0	1,261,041
Distribution by Source of Funds					
General Revenue		8.0	1,221,626	8.0	1,261,041
Total All Funds		8.0	1,221,626	8.0	1,261,041

Agency Summary

Secretary of State

Agency Mission

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To engage and empower all Rhode Islanders by making government more accessible and transparent, encouraging civic pride, enhancing commerce, and ensuring that elections are fair, fast, and accurate.

Agency Description

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Department plays a vital role in providing the public with basic information about the workings of state government. The Department consists of six programs and one internal service program.

Statutory History

Article IV of the Rhode Island State Constitution and R.I. General Laws § 42-8 establishes the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents. RIGL § 17-14, § 17-15 and § 17-22 also refer to elections. RIGL § 29 establishes the State Library and the Legislative Reference Bureau.

Budget

Secretary of State

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Administration	3,703,254	3,905,519	4,049,383	3,978,088	4,158,917
Corporations	2,543,776	2,460,359	2,687,784	2,647,774	2,815,916
State Archives	666,014	719,549	698,848	711,842	756,379
Elections and Civics	7,452,158	3,091,442	5,061,027	5,411,766	4,457,314
State Library	916,423	993,258	825,475	811,872	854,042
Internal Service Programs	808,303	918,757	1,143,730	1,156,743	1,175,426
Office of Public Information	423,562	522,351	711,260	721,788	655,466
Total Expenditures	16,513,489	12,611,236	15,177,507	15,439,873	14,873,460
<i>Internal Services</i>	<i>[808,303]</i>	<i>[918,757]</i>	<i>[1,143,730]</i>	<i>[1,156,743]</i>	<i>[1,175,426]</i>
Expenditures by Object					
Salary and Benefits	7,499,212	7,702,891	8,028,550	7,751,824	8,155,461
Contract Professional Services	881,760	392,790	1,240,473	1,251,073	1,151,273
Operating Supplies and Expenses	6,835,669	3,686,625	5,353,034	5,711,526	4,932,026
Assistance and Grants	155,375	143,861	243,000	243,000	243,000
Subtotal: Operating	15,372,017	11,926,167	14,865,057	14,957,423	14,481,760
Capital Purchases and Equipment	513,904	56,957	267,450	437,450	336,700
Debt Service (Fixed Charges)	627,569	627,569	0	0	0
Operating Transfers	0	544	45,000	45,000	55,000
Subtotal: Other	1,141,473	685,069	312,450	482,450	391,700
Total Expenditures	16,513,489	12,611,236	15,177,507	15,439,873	14,873,460
Expenditures by Source of Funds					
General Revenue	12,264,120	10,137,060	11,867,015	11,940,190	11,113,799
Federal Funds	2,336,420	1,000,551	1,621,565	1,621,565	2,001,207
Restricted Receipts	1,104,646	554,868	545,197	551,375	583,028
Operating Transfers From Other Funds	0	0	0	170,000	0
Other Funds	808,303	918,757	1,143,730	1,156,743	1,175,426
Total Expenditures	16,513,489	12,611,236	15,177,507	15,439,873	14,873,460
FTE Authorization	59.0	59.0	59.0	59.0	59.0

Personnel Agency Summary

Secretary of State

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	59.0	4,880,957	59.0	5,028,603
Subtotal	59.0	4,880,957	59.0	5,028,603
Seasonal/Special Salaries/Wages		38,766		38,766
Turnover		(79,029)		0
Total Salaries		4,840,694		5,067,369
Benefits				
Contract Stipends		43,500		0
FICA		371,540		385,723
Health Benefits		697,297		740,739
Payroll Accrual		0		29,185
Retiree Health		215,122		227,294
Retirement		1,393,998		1,506,517
Subtotal		2,721,457		2,889,458
Total Salaries and Benefits	59.0	7,562,151	59.0	7,956,827
Cost Per FTE Position		128,172		134,861
Statewide Benefit Assessment		189,673		198,634
Payroll Costs	59.0	7,751,824	59.0	8,155,461
Purchased Services				
Clerical and Temporary Services		20,000		38,200
Information Technology		894,565		886,565
Legal Services		151,000		131,000
Other Contracts		185,508		95,508
Subtotal		1,251,073		1,151,273
Total Personnel	59.0	9,002,897	59.0	9,306,734
Distribution by Source of Funds				
General Revenue	52.0	7,280,334	52.0	7,553,035
Federal Funds	0.0	711,565	0.0	711,565
Restricted Receipts	4.0	381,885	4.0	394,338
Other Funds	3.0	629,113	3.0	647,796
Total All Funds	59.0	9,002,897	59.0	9,306,734

Program Summary

Secretary of State

Administration

Mission

The Administration program provides support for the efficient and secure operation of the Office of the Secretary of State.

Description

The Administration Program supports the Department of State in six areas. Personnel maintains personnel records and provides administrative support for payroll and personnel matters. Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget. E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems. Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public. Communications details the programs and services offered to Rhode Islanders and serves as the liaison with the media. Policy and Legislative Affairs researches, plans, and develops innovative policy initiatives that serve as models for the country and develops legislation that moves those policy initiatives forward.

Statutory History

The Office of the Secretary of State was established by Article IX of the Constitution of Rhode Island as one of the five general offices subject to voter election. R.I. General Laws § 42-8 further prescribes the duties of the Secretary and Department.

Budget

Secretary of State

Administration

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Administration	1,722,775	2,208,040	2,043,364	1,992,473	2,028,472
Information Technology	1,571,763	1,324,896	1,553,195	1,644,629	1,781,653
Personnel and Finance	408,717	372,583	452,824	340,986	348,792
Total Expenditures	3,703,254	3,905,519	4,049,383	3,978,088	4,158,917
Expenditures by Object					
Salary and Benefits	2,812,557	3,134,348	3,268,076	3,110,155	3,247,260
Contract Professional Services	379,728	163,292	180,715	180,715	90,715
Operating Supplies and Expenses	391,948	523,694	593,142	679,768	745,242
Assistance and Grants	0	29,732	0	0	0
Subtotal: Operating	3,584,233	3,851,066	4,041,933	3,970,638	4,083,217
Capital Purchases and Equipment	119,021	54,453	7,450	7,450	75,700
Subtotal: Other	119,021	54,453	7,450	7,450	75,700
Total Expenditures	3,703,254	3,905,519	4,049,383	3,978,088	4,158,917
Expenditures by Source of Funds					
General Revenue	3,703,254	3,905,519	4,049,383	3,978,088	4,158,917
Total Expenditures	3,703,254	3,905,519	4,049,383	3,978,088	4,158,917

Personnel

Secretary of State

Administration

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ASSISTANT TO THE SECRETARY (S.O.S.)	8614 A	1.0	47,114	1.0	48,292
CYBERSECURITY MANAGER (S.O.S.)	8640 A	1.0	125,124	1.0	128,252
DATA ANALYST (SOS)	8621 A	1.0	52,575	1.0	55,481
DEPUTY COMMUNICATIONS DIRECTOR (S.O.S.)	8634 A	1.0	92,215	1.0	99,397
DEPUTY SECRETARY OF STATE/CHIEF OF STAFF (SOS)	8646 A	1.0	158,312	1.0	162,270
DEPUTY SECRETARY OF STATE/DIR OF ADMINISTRATION (SOS)	8646 A	1.0	158,312	1.0	162,270
DIRECTOR OF FINANCE & PERSONNEL (SOS)	8638 A	1.0	114,064	1.0	116,916
DIR. OF LEGISLATIVE & COMMUNITY AFFAIRS	8634 A	1.0	97,934	1.0	100,382
DIR. OF PLANNING AND SCHEDULING (S.O.S.)	8630 A	1.0	84,265	1.0	86,372
IT DIRECTOR (SEC OF STATE)	8642 A	1.0	142,995	1.0	146,571
JR NETWORK & SYS ADMIN (SOS)	8622 A	1.0	61,477	1.0	63,014
MVC APPLICATION DEVELOPER (SOS)	8633 A	1.0	94,419	1.0	96,779
NET APPLICATION DEVELOPER (SOS)	8629 A	1.0	81,099	1.0	83,126
OFFICE SUPPORT MANAGER	5330 A	1.0	85,070	1.0	85,070
SECRETARY OF STATE	0531 F	1.0	129,915	1.0	137,510
SENIOR DATA ANALYST (S.O.S.)	8635 A	1.0	101,431	1.0	103,967
SOCIAL MEDIA SPECIALIST (SOS)	8617 A	1.0	49,577	1.0	52,641
SQL DATABASE ADMINISTRATOR	8622 A	1.0	59,255	1.0	63,014
SR. ADVISOR/CHIEF OF INFORMATION (S.O.S.)	8646 A	1.0	158,312	1.0	162,270
VISUAL COMMUNICATIONS MANAGER (S.O.S.)	5328 A	1.0	81,841	1.0	83,888
Subtotal Unclassified		20.0	1,975,306	20.0	2,037,482
Subtotal		20.0	1,975,306	20.0	2,037,482
Seasonal/Special Salaries/Wages			12,922		12,922
Total Salaries			1,988,228		2,050,404
Benefits					
Contract Stipends			3,000		0
FICA			150,226		154,930
Health Benefits			229,774		248,261
Payroll Accrual			0		11,827
Retiree Health			88,491		92,094
Retirement			572,413		609,262
Subtotal			1,043,904		1,116,374
Total Salaries and Benefits		20.0	3,032,132	20.0	3,166,778
Cost Per FTE Position			151,607		158,339

Personnel

Secretary of State

Administration

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Statewide Benefit Assessment		78,023		80,482
Payroll Costs	20.0	3,110,155	20.0	3,247,260
Purchased Services				
Information Technology		50,000		50,000
Legal Services		5,000		5,000
Other Contracts		125,715		35,715
Subtotal		180,715		90,715
Total Personnel	20.0	3,290,870	20.0	3,337,975
Distribution by Source of Funds				
General Revenue	20.0	3,290,870	20.0	3,337,975
Total All Funds	20.0	3,290,870	20.0	3,337,975

Program Summary

Secretary of State

Corporations

Mission

The Corporations program is the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, Uniform Commercial Code (UCC) filings, and notary/trademark applications. The program helps create a user-friendly, customer-service centric environment that promotes clear, predictable, and reliable policies, procedures, and services.

Description

The Corporations program encompasses six sections: Business Filings, Uniform Commercial Code, Trademark and Service Mark, Notary Public, Certification/Authentication, and the Rhode Island Business Portal. The Business Filings section examines, processes, and maintains legal documents affecting various types of corporations, companies, and partnerships. The section ensures compliance with laws governing these entities and provides public access to all associated documents. The UCC section is the central filing office for certain finance and lien documents. It also ensures compliance with applicable laws and provides public access to associated documents. The Trademark and Service Mark section manages notaries in the state through maintenance of oaths of office and official signatures of commissioned notaries. The Certification/Authentication section prepares certified copies, letters of good standing, legal existence, and certificates of fact related to business filing. It also issues apostilles. The Rhode Island Business Portal helps business owners navigate federal, state, and local licensing requirements.

Statutory History

Applicable statutes for the Corporations program are outlined in R.I. General Laws § 6-2, § 6A-9, § 7, § 11-50, § 42-30, and § 42-132.

Budget

Secretary of State

Corporations

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Corporations	2,543,776	2,457,930	2,687,784	2,647,774	2,815,916
First Stop Business Inf.	0	2,429	0	0	0
Total Expenditures	2,543,776	2,460,359	2,687,784	2,647,774	2,815,916
Expenditures by Object					
Salary and Benefits	2,021,334	2,031,378	2,167,572	2,081,162	2,259,504
Contract Professional Services	67,008	19,536	60,000	60,000	40,000
Operating Supplies and Expenses	438,805	409,445	452,212	498,612	508,412
Subtotal: Operating	2,527,147	2,460,359	2,679,784	2,639,774	2,807,916
Capital Purchases and Equipment	16,629	0	8,000	8,000	8,000
Subtotal: Other	16,629	0	8,000	8,000	8,000
Total Expenditures	2,543,776	2,460,359	2,687,784	2,647,774	2,815,916
Expenditures by Source of Funds					
General Revenue	2,543,776	2,460,359	2,687,784	2,647,774	2,815,916
Total Expenditures	2,543,776	2,460,359	2,687,784	2,647,774	2,815,916

Personnel

Secretary of State

Corporations

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	5319 A	3.0	179,480	3.0	185,295
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	5319 A	8.0	465,760	8.0	478,781
BUSINESS INFORMATION CENTER PROGRAM MANAGER	5322 A	1.0	54,268	1.0	57,431
CALL CENTER TEAM LEAD	5321 A	1.0	59,229	1.0	60,710
CUSTOMER SVC MGR (SOS)	5322 A	1.0	57,146	1.0	60,689
DATA ENTRY TEAM LEADER (SOS)	5321 A	1.0	69,594	1.0	71,334
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	8630 A	1.0	101,118	1.0	103,646
DEPUTY DIRECTOR - BUSINESS SERVICES (SOS)	8633 A	1.0	94,419	1.0	96,779
DIRECTOR CORPORATIONS (SECRETARY OF STATE)	8636 A	1.0	120,658	1.0	123,674
DOCUMENT PROCESSOR/COUNTER TEAM LEAD	5321 A	1.0	59,229	1.0	60,710
NOTARY TRADEMARK AND AUTHENTICATION	5324 A	1.0	66,441	1.0	68,102
Subtotal Unclassified		20.0	1,327,342	20.0	1,367,151
Subtotal		20.0	1,327,342	20.0	1,367,151
Seasonal/Special Salaries/Wages			6,461		6,461
Turnover			(79,029)		0
Total Salaries			1,254,774		1,373,612
Benefits					
Contract Stipends			25,500		0
FICA			97,942		105,079
Health Benefits			235,457		248,022
Payroll Accrual			0		7,934
Retiree Health			55,923		61,796
Retirement			362,259		409,056
Subtotal			777,081		831,887
Total Salaries and Benefits		20.0	2,031,855	20.0	2,205,499
Cost Per FTE Position			101,593		110,275
Statewide Benefit Assessment			49,307		54,005
Payroll Costs		20.0	2,081,162	20.0	2,259,504
Purchased Services					
Legal Services			60,000		40,000
Subtotal			60,000		40,000
Total Personnel		20.0	2,141,162	20.0	2,299,504

Personnel

Secretary of State

Corporations

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	20.0	2,141,162	20.0	2,299,504
Total All Funds	20.0	2,141,162	20.0	2,299,504

Program Summary

Secretary of State

State Archives

Mission

The State Archives provides comprehensive archives and records management services for all public records in the State, information on the preservation of historical records, and provides information from and access to the State government archives.

Description

The State Archives preserves and maintains the permanent records of state government. It also operates a public reading room for the research, inspection, and duplication of public records; publishes guides and finding aids to collections; and features exhibits and displays that are free and open to the public. The State Archives manages the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program provides records management advice, assistance, and consultation to all departments of municipal government. It also develops record control schedules that govern retention and disposition of municipal records. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines and regulations about records and records issues. The 1993 General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Statutory History

R.I. General Laws § 42-8.1 et seq. establishes the State Archives and defines its duties and functions. RIGL § 42-35 prescribes the Secretary of State's duties under the Administrative Procedures Act. RIGL § 38-1, § 38-2, and § 38-3 detail the custody and protection of public records, access to public records, and Public Records Administration.

Budget

Secretary of State

State Archives

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	666,014	719,549	698,848	711,842	756,379
Total Expenditures	666,014	719,549	698,848	711,842	756,379
Expenditures by Object					
Salary and Benefits	331,673	426,540	377,207	381,885	394,338
Contract Professional Services	5,511	0	3,700	3,500	3,500
Operating Supplies and Expenses	328,830	292,371	317,941	326,457	358,541
Subtotal: Operating	666,014	718,911	698,848	711,842	756,379
Capital Purchases and Equipment	0	637	0	0	0
Subtotal: Other	0	637	0	0	0
Total Expenditures	666,014	719,549	698,848	711,842	756,379
Expenditures by Source of Funds					
General Revenue	194,933	172,112	178,651	185,467	198,351
Federal Funds	0	926	0	0	0
Restricted Receipts	471,080	546,512	520,197	526,375	558,028
Total Expenditures	666,014	719,549	698,848	711,842	756,379

Personnel

Secretary of State

State Archives

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
DIRECTOR OF STATE ARCHIVES- LIBRARY & PUBLIC INFORMATION	8638 A	1.0	114,064	1.0	116,916
LOCAL GOV'T RECORDS COORD (SOS)	5328 A	1.0	89,636	1.0	91,877
REFERENCE ANALYST (SOS)	5325 A	1.0	79,645	1.0	81,636
STATE ARCHIVIST & PUBLIC RECORDS ADMINISTRATOR	8633 A	1.0	94,419	1.0	96,779
Subtotal Unclassified		4.0	377,764	4.0	387,208
Subtotal		4.0	377,764	4.0	387,208
Transfer Out			(226,657)		(232,325)
Transfer In			88,318		91,511
Total Salaries			239,425		246,394
Benefits					
Contract Stipends			3,000		0
FICA			18,547		18,850
Health Benefits			30,936		32,601
Payroll Accrual			0		1,430
Retiree Health			10,726		11,138
Retirement			69,795		74,193
Subtotal			133,004		138,212
Total Salaries and Benefits		4.0	372,429	4.0	384,606
Cost Per FTE Position			93,107		96,152
Statewide Benefit Assessment			9,456		9,732
Payroll Costs		4.0	381,885	4.0	394,338
Purchased Services					
Other Contracts			3,500		3,500
Subtotal			3,500		3,500
Total Personnel		4.0	385,385	4.0	397,838
Distribution by Source of Funds					
General Revenue		0.0	3,500	0.0	3,500
Restricted Receipts		4.0	381,885	4.0	394,338
Total All Funds		4.0	385,385	4.0	397,838

Program Summary

Secretary of State

Elections and Civics

Mission

The mission of the Elections program is to provide efficient election services and prepare federal, statewide and municipal elections as required by statute. The Program seeks to provide an accurate and timely statewide central voter registration system (CVRS) as mandated by the Help America Vote Act (HAVA) and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. The Elections strives to provides concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

Description

The Elections program is responsible for the preparation of federal, state, and local elections. It provides necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification. The program maintains files for local candidate ballot placement as certified by local boards of canvassers. Additionally, it designs ballot layout; prepares and provides sample and polling place ballots for all federal, state, and municipal elections as mandated by law; and prepares and sends mail ballots. The Elections program prepares and distributes the Voter Information Handbook and provides voter assistance and education through the publication of numerous guides for candidates, election officials, and voters. Specifications for the purchase of voting equipment and related voting system services are also handled by the Elections program.

Statutory History

The Elections program operates under R.I. General Laws Title 17.

Budget

Secretary of State

Elections and Civics

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	7,452,158	3,091,442	5,061,027	5,411,766	4,457,314
Total Expenditures	7,452,158	3,091,442	5,061,027	5,411,766	4,457,314
Expenditures by Object					
Salary and Benefits	989,404	573,350	619,172	587,911	610,817
Contract Professional Services	365,833	31,142	906,565	906,565	906,565
Operating Supplies and Expenses	5,078,603	1,858,521	3,183,290	3,395,290	2,587,932
Assistance and Grants	12,495	861	100,000	100,000	100,000
Subtotal: Operating	6,446,335	2,463,874	4,809,027	4,989,766	4,205,314
Capital Purchases and Equipment	378,254	0	252,000	422,000	252,000
Debt Service (Fixed Charges)	627,569	627,569	0	0	0
Subtotal: Other	1,005,823	627,569	252,000	422,000	252,000
Total Expenditures	7,452,158	3,091,442	5,061,027	5,411,766	4,457,314
Expenditures by Source of Funds					
General Revenue	4,483,549	2,091,817	3,439,462	3,620,201	2,456,107
Federal Funds	2,336,420	999,626	1,621,565	1,621,565	2,001,207
Restricted Receipts	632,189	0	0	0	0
Operating Transfers from Other Funds	0	0	0	170,000	0
Total Expenditures	7,452,158	3,091,442	5,061,027	5,411,766	4,457,314

Personnel

Secretary of State

Elections and Civics

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
DEPUTY DIRECTOR OF ELECTIONS (SOS)	8633 A	2.0	193,559	2.0	198,397
DIRECTOR OF ELECTIONS & CIVICS (SOS)	8637 A	1.0	113,965	1.0	116,814
MANAGER OF ELECTIONS	5324 A	1.0	58,249	1.0	61,802
Subtotal Unclassified		4.0	365,773	4.0	377,013
Subtotal		4.0	365,773	4.0	377,013
Seasonal/Special Salaries/Wages			12,922		12,922
Total Salaries			378,695		389,935
Benefits					
Contract Stipends			1,500		0
FICA			29,086		29,830
Health Benefits			41,684		43,940
Payroll Accrual			0		2,189
Retiree Health			16,387		17,040
Retirement			106,110		112,991
Subtotal			194,767		205,990
Total Salaries and Benefits		4.0	573,462	4.0	595,925
Cost Per FTE Position			143,366		148,981
Statewide Benefit Assessment			14,449		14,892
Payroll Costs		4.0	587,911	4.0	610,817
Purchased Services					
Clerical and Temporary Services			20,000		20,000
Information Technology			836,565		836,565
Legal Services			50,000		50,000
Subtotal			906,565		906,565
Total Personnel		4.0	1,494,476	4.0	1,517,382
Distribution by Source of Funds					
General Revenue		4.0	782,911	4.0	805,817
Federal Funds		0.0	711,565	0.0	711,565
Total All Funds		4.0	1,494,476	4.0	1,517,382

Program Summary

Secretary of State

State Library

Mission

To provide high quality assistance and access to materials in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history.

Description

The State Library provides reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history to Rhode Islanders. It catalogs all state publications and maintains an online catalog of its holdings. The Library operates and maintains the Legislative Reference Bureau that provides information on state laws and legislation. It is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I. General Laws § 29-1. RIGL § 29-7 authorizes the Library to act as a clearinghouse for state publications.

Budget

Secretary of State

State Library

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	916,423	993,258	825,475	811,872	854,042
Total Expenditures	916,423	993,258	825,475	811,872	854,042
Expenditures by Object					
Salary and Benefits	745,735	818,587	645,436	633,033	654,273
Contract Professional Services	2,387	34,287	200	0	18,200
Operating Supplies and Expenses	25,420	26,115	36,839	35,839	37,569
Assistance and Grants	142,880	113,268	143,000	143,000	143,000
Subtotal: Operating	916,423	992,258	825,475	811,872	853,042
Capital Purchases and Equipment	0	1,000	0	0	1,000
Subtotal: Other	0	1,000	0	0	1,000
Total Expenditures	916,423	993,258	825,475	811,872	854,042
Expenditures by Source of Funds					
General Revenue	916,423	993,258	825,475	811,872	854,042
Total Expenditures	916,423	993,258	825,475	811,872	854,042

Personnel

Secretary of State

State Library

	FY 2023		FY 2024		
	FTE	Cost	FTE	Cost	
Unclassified					
ADMINISTRATIVE ASSISTANT	5325 A	2.0	155,829	2.0	159,723
ASSOCIATE DIRECTOR OF EDUC. & PUB PROGRAMS (SOS)	8630 A	1.0	84,265	1.0	86,372
PUB INFO & VISITOR CTR EXP SPE	5321 A	1.0	53,939	1.0	56,930
STATE LIBRARIAN (SOS)	8630 A	1.0	84,265	1.0	86,372
Subtotal Unclassified		5.0	378,298	5.0	389,397
Subtotal		5.0	378,298	5.0	389,397
Seasonal/Special Salaries/Wages			6,461		6,461
Total Salaries			384,759		395,858
Benefits					
Contract Stipends			4,500		0
FICA			29,778		30,282
Health Benefits			71,972		75,789
Payroll Accrual			0		2,259
Retiree Health			16,947		17,601
Retirement			110,135		117,102
Subtotal			233,332		243,033
Total Salaries and Benefits		5.0	618,091	5.0	638,891
Cost Per FTE Position			123,618		127,778
Statewide Benefit Assessment			14,942		15,382
Payroll Costs		5.0	633,033	5.0	654,273
Purchased Services					
Clerical and Temporary Services			0		18,200
Subtotal			0		18,200
Total Personnel		5.0	633,033	5.0	672,473
Distribution by Source of Funds					
General Revenue		5.0	633,033	5.0	672,473
Total All Funds		5.0	633,033	5.0	672,473

Program Summary

Secretary of State

Internal Service Programs

Mission

The Record Center program strives to provide agencies with cost-efficient secure central record storage facilities and provide clear guidance on record retention and disposal policies.

Description

The Record Center program is a Public Records Administration internal service program that oversees records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to but that are not yet eligible for disposal because of administrative, fiscal, or legal requirements. Public Records Administration personnel provide records management advice, assistance, and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules, enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are provided to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions. The Records Center program is an internal service program. The costs of its operations are borne by the user agencies through a charge system that allocates the cost of service delivery. Program costs are shown for display purposes only, because the costs are reflected in the budget of the user agency both on an actual and budget basis.

Statutory History

R.I. General Laws § 35-5 authorizes the Director of Administration to establish a system of rotating funds in any state department or agency. RIGL § 38-1 and § 38-3 establish the responsibilities and duties of the Public Records Administration program. RIGL § 42-35 defines the policies for administrative records.

Budget

Secretary of State

Internal Service Programs

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Secretary of State Record Center	808,303	918,757	1,143,730	1,156,743	1,175,426
Total Expenditures	808,303	918,757	1,143,730	1,156,743	1,175,426
<i>Internal Services</i>	<i>[808,303]</i>	<i>[918,757]</i>	<i>[1,143,730]</i>	<i>[1,156,743]</i>	<i>[1,175,426]</i>
Expenditures by Object					
Salary and Benefits	272,019	336,908	565,807	572,820	591,503
Contract Professional Services	2,714	49,689	56,293	56,293	56,293
Operating Supplies and Expenses	533,570	532,160	521,630	527,630	527,630
Subtotal: Operating	808,303	918,757	1,143,730	1,156,743	1,175,426
Total Expenditures	808,303	918,757	1,143,730	1,156,743	1,175,426
Expenditures by Source of Funds					
Other Funds	808,303	918,757	1,143,730	1,156,743	1,175,426
Total Expenditures	808,303	918,757	1,143,730	1,156,743	1,175,426

Personnel

Secretary of State

Internal Service Programs

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT (SECRETARY OF STATE)	5319 A	1.0	60,259	1.0	61,766
DIGITAL ARCHIVIST	5329 A	1.0	78,695	1.0	83,126
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	5328 A	1.0	81,841	1.0	83,888
Subtotal Unclassified		3.0	220,795	3.0	228,780
Subtotal		3.0	220,795	3.0	228,780
Transfer Out			(88,318)		(91,511)
Transfer In			226,657		232,325
Total Salaries			359,134		369,594
Benefits					
Contract Stipends			4,500		0
FICA			27,816		28,273
Health Benefits			46,401		48,900
Payroll Accrual			0		2,144
Retiree Health			16,089		16,706
Retirement			104,694		111,287
Subtotal			199,500		207,310
Total Salaries and Benefits		3.0	558,634	3.0	576,904
Cost Per FTE Position			186,211		192,301
Statewide Benefit Assessment			14,186		14,599
Payroll Costs		3.0	572,820	3.0	591,503
Purchased Services					
Other Contracts			56,293		56,293
Subtotal			56,293		56,293
Total Personnel		3.0	629,113	3.0	647,796
Distribution by Source of Funds					
Other Funds		3.0	629,113	3.0	647,796
Total All Funds		3.0	629,113	3.0	647,796

Program Summary

Secretary of State

Office of Public Information

Mission

The Office of Public Information strives to effectively disseminate vital government information to the public and utilize expanding technologies to enhance public access and awareness of state government activities.

Description

The Office of Public Information gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports available to the public free of charge and accessible through the Internet. The Office frequently trains the public on the access and use of government websites. The Office maintains databases on all introduced legislation and hearings in the General Assembly and publishes daily and weekly legislative reports. It maintains a list of lobbyists and enforces of the state's lobbying law. Under the Administrative Procedures Act, the Office is responsible for compiling state agency rules and regulations. The Office operates and maintains a clearinghouse for public meeting information as required by the Open Meetings Laws. It also operates and maintains the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals, and other state publications.

Statutory History

Article IV, Section 4 of the Constitution of Rhode Island and R.I. General Laws § 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

Budget

Secretary of State

Office of Public Information

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	423,562	522,351	711,260	721,788	655,466
Total Expenditures	423,562	522,351	711,260	721,788	655,466
Expenditures by Object					
Salary and Benefits	326,490	381,780	385,280	384,858	397,766
Contract Professional Services	58,579	94,844	33,000	44,000	36,000
Operating Supplies and Expenses	38,493	44,318	247,980	247,930	166,700
Subtotal: Operating	423,562	520,942	666,260	676,788	600,466
Capital Purchases and Equipment	0	866	0	0	0
Operating Transfers	0	544	45,000	45,000	55,000
Subtotal: Other	0	1,410	45,000	45,000	55,000
Total Expenditures	423,562	522,351	711,260	721,788	655,466
Expenditures by Source of Funds					
General Revenue	422,185	513,995	686,260	696,788	630,466
Restricted Receipts	1,377	8,357	25,000	25,000	25,000
Total Expenditures	423,562	522,351	711,260	721,788	655,466

Personnel

Secretary of State

Office of Public Information

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE RECORDS & TECHNICAL SERVICE SPEC	5328 A	1.0	77,944	1.0	79,893
DIRECTOR PUBLIC INFORMATION (SEC OF STATE)	8630 A	1.0	88,478	1.0	90,691
PUBLIC INFORM SPECIALIST (SOS)	8625 A	1.0	69,257	1.0	70,988
Subtotal Unclassified		3.0	235,679	3.0	241,572
Subtotal		3.0	235,679	3.0	241,572
Total Salaries			235,679		241,572
Benefits					
Contract Stipends			1,500		0
FICA			18,145		18,479
Health Benefits			41,073		43,226
Payroll Accrual			0		1,402
Retiree Health			10,559		10,919
Retirement			68,592		72,626
Subtotal			139,869		146,652
Total Salaries and Benefits		3.0	375,548	3.0	388,224
Cost Per FTE Position			125,183		129,408
Statewide Benefit Assessment			9,310		9,542
Payroll Costs		3.0	384,858	3.0	397,766
Purchased Services					
Information Technology			8,000		0
Legal Services			36,000		36,000
Subtotal			44,000		36,000
Total Personnel		3.0	428,858	3.0	433,766
Distribution by Source of Funds					
General Revenue		3.0	428,858	3.0	433,766
Total All Funds		3.0	428,858	3.0	433,766

Agency Summary

Office of the General Treasurer

Agency Mission

The Office of the General Treasurer's mission is to protect the state's assets with sound financial investments, strengthen the state's financial position, encourage economic growth, operate with transparency and accountability, and ensure Rhode Islanders benefit from exceptional service through all the programs the Office manages.

Agency Description

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Higher Education Assistance Board, the Rhode Island Student Loan Authority, the State Properties Commission and the Rhode Island Infrastructure Bank. Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are: the Employees' Retirement System of Rhode Island, the administrative unit for participating public employee groups for both defined benefit and defined contribution plans; the Unclaimed Property Program which collects tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division which provides investment and cash management services to state government, and issues and manages the State's general obligation debt; the CollegeBound Program and its contribution of fee revenue for state college assistance programs; the Business Office, which validates and distributes the State's imprest/benefit check payments, prints vendor and non-vendor checks, and reconciles a majority of the State's accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates victims of violent crimes for certain financial losses. Other areas under the Treasurer's responsibility include cooperation with the Executive Office of Health and Human Services in the ABLE savings program (Achieving A Better Life Experience Accounts) for tax free income growth for certain qualified disability expenditures; the care and management of the Abraham Touro Fund; and, the Childhood Disease Fund.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Title 35 gives the guidelines for the investment of state funds and the Employees' Retirement System is governed by Titles 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. Payments of state funds via the Business Office are made pursuant to Title 42, Chapter 10 of the Rhode Island General Laws and Title 28 includes the Treasurer's responsibilities related to the Temporary Disability and Unemployment Insurance Program. Title 12, Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program. Title 16, Chapter 57, Section 6.1 was amended in 2015 and transferred responsibility for the CollegeBound program to Treasury. Title 42, Chapter 7.2 was amended in 2015 to include the State Investment Commission in the oversight of the new ABLE savings program. Additionally, Title 42, Chapter 10.1 was amended in 2016 and expanded the role of the Public Finance Management Board to include more frequent reporting and oversight of debt throughout all of the state inclusive of municipalities and quasi-public agencies.

Budget

Office of the General Treasurer

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Office of the General Treasurer	3,583,290	3,396,564	3,753,660	3,877,655	3,913,077
State Retirement System	10,621,482	11,969,630	14,697,918	14,490,024	15,312,827
Unclaimed Property	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026
Crime Victim Compensation Program	1,448,579	1,423,184	1,827,109	1,848,668	1,877,046
Total Expenditures	17,490,557	19,118,279	22,848,869	22,781,819	23,706,976
Expenditures by Object					
Salary and Benefits	11,172,615	11,972,636	12,633,742	12,454,993	12,941,498
Contract Professional Services	3,558,689	4,351,433	5,974,450	6,024,302	6,122,519
Operating Supplies and Expenses	1,380,538	1,556,536	2,552,259	2,614,106	2,842,541
Assistance and Grants	1,362,020	1,220,024	1,606,993	1,606,993	1,706,993
Subtotal: Operating	17,473,862	19,100,629	22,767,444	22,700,394	23,613,551
Capital Purchases and Equipment	16,695	17,650	81,425	81,425	93,425
Subtotal: Other	16,695	17,650	81,425	81,425	93,425
Total Expenditures	17,490,557	19,118,279	22,848,869	22,781,819	23,706,976
Expenditures by Source of Funds					
General Revenue	3,437,549	3,424,376	3,558,632	3,685,450	3,773,498
Federal Funds	548,285	574,217	773,245	754,690	766,369
Restricted Receipts	12,803,405	14,561,137	17,823,100	17,610,496	18,471,853
Other Funds	701,319	558,549	693,892	731,183	695,256
Total Expenditures	17,490,557	19,118,279	22,848,869	22,781,819	23,706,976
FTE Authorization	89.0	89.0	89.0	89.0	89.0

Personnel Agency Summary

Office of the General Treasurer

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	89.0	7,660,568	89.0	8,043,742
Subtotal	89.0	7,660,568	89.0	8,043,742
Overtime		185,774		185,774
Turnover		(100,350)		(186,150)
Total Salaries		7,745,992		8,043,366
Benefits				
Contract Stipends		195,000		53,985
FICA		572,995		586,626
Health Benefits		1,111,584		1,193,986
Payroll Accrual		0		45,598
Retiree Health		338,702		355,161
Retirement		2,192,092		2,352,401
Subtotal		4,410,373		4,587,757
Total Salaries and Benefits	89.0	12,156,365	89.0	12,631,123
Cost Per FTE Position		136,588		141,923
Statewide Benefit Assessment		298,628		310,375
Payroll Costs	89.0	12,454,993	89.0	12,941,498
Purchased Services				
Clerical and Temporary Services		315,000		315,000
Information Technology		3,125,552		3,152,519
Legal Services		469,500		469,500
Management & Consultant Services		1,820,000		1,810,000
Other Contracts		294,250		375,500
Subtotal		6,024,302		6,122,519
Total Personnel	89.0	18,479,295	89.0	19,064,017
Distribution by Source of Funds				
General Revenue	33.0	2,616,878	33.0	2,716,910
Federal Funds	0.0	300,989	0.0	308,767
Restricted Receipts	53.0	14,905,186	53.0	15,422,619
Other Funds	3.0	656,242	3.0	615,721
Total All Funds	89.0	18,479,295	89.0	19,064,017

Program Summary

Office of the General Treasurer

Office of the General Treasurer

Mission

Continue to deliver superior service to the public, state agencies, and state vendors in all of its activities; to increase operational efficiency and expand the use of technology to improve the management of cash collection, investment and disbursement systems.

Description

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four sub-programs: Policy, Administration, Investments, and the Business Office. Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the Office. Administrative Division: This division provides the administrative support to the entire office, including the Retirement System in terms of overall leadership in matters dealing with personnel, budgeting, financial controls, legal and management information systems. Investment Division: This Division manages the State's borrowing and investments, provides daily fiduciary services to all of state government, monitors investment managers for compliance with State guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfers of funds. It also provides reports to the State Investment Commission on investment performance and implements SIC policy. This division is actively involved in both the issuance and servicing of state debt obligations. The division is also responsible for investment policy for the Defined Contribution and Deferred Compensation programs, administration of the State's tax-deferred 529 plan for college savings called the Collegebound (formerly, Collegeboundfund) and the ABLE tax-free savings program for individuals with disabilities. Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks, and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of State bank accounts to bank statement balances and to the Controller's records. Additionally, this division prints and disburses vendor and non-vendor checks and electronically transmits payment instructions to the appropriate banking institutions.

Statutory History

Title 35 gives the guidelines for the investment of State funds. Payments of state funds via the Business Office are governed by Title 42, Chapter 10 of the Rhode Island General Laws. Title 28 includes the Treasurer's responsibilities related to the Department of Labor and Training Programs. Title 16, Chapter 57 includes the Treasurer's responsibilities for the management of the CollegeboundSaver Program. Additionally, Title 42, Chapter 7.2 created the ABLE savings account program, which Treasury is implementing in conjunction with the Executive Office of Health and Human Services.

Budget

Office of the General Treasurer

Office of the General Treasurer

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Administration Operations	909,683	822,445	881,941	1,050,704	1,028,989
Business Offices	1,353,937	1,146,167	1,274,169	1,220,416	1,258,174
Investments	665,404	795,557	849,708	905,080	928,852
Policy	654,267	632,395	747,842	701,455	697,062
Total Expenditures	3,583,290	3,396,564	3,753,660	3,877,655	3,913,077
Expenditures by Object					
Salary and Benefits	2,625,270	2,518,989	2,739,483	2,683,155	2,741,428
Contract Professional Services	228,963	333,466	305,500	355,352	343,019
Operating Supplies and Expenses	629,057	540,553	687,252	817,723	807,205
Assistance and Grants	100,000	0	0	0	0
Subtotal: Operating	3,583,290	3,393,008	3,732,235	3,856,230	3,891,652
Capital Purchases and Equipment	0	3,557	21,425	21,425	21,425
Subtotal: Other	0	3,557	21,425	21,425	21,425
Total Expenditures	3,583,290	3,396,564	3,753,660	3,877,655	3,913,077
Expenditures by Source of Funds					
General Revenue	2,576,361	2,515,714	2,709,016	2,814,275	2,873,945
Federal Funds	305,610	322,302	350,752	332,197	343,876
Other Funds	701,319	558,549	693,892	731,183	695,256
Total Expenditures	3,583,290	3,396,564	3,753,660	3,877,655	3,913,077

Personnel

Office of the General Treasurer

Office of the General Treasurer

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING & DEBT SERVICES SPECIALIST (TREASURY RECON)	0319 A	1.0	60,259	1.0	61,766
ACCOUNTING & RESEARCH SERVICES SPECIALIST (TREAS RECON)	0319 A	1.0	60,258	1.0	61,767
ADMINISTRATIVE ASSISTANT (TREASURY INVESTMENTS)	0322 A	1.0	56,996	1.0	60,380
ARCHIVES AND RECORDS RETENTION SPECIALIST (TREASURY)	0315 A	1.0	50,799	1.0	52,070
BANK RECONCILIATION SPECIALIST (TREASURY)	0324 A	1.0	65,982	1.0	69,778
BUSINESS SERVICES SPECIALIST (TREAS BUSINESS PROCESSING)	0320 A	1.0	56,906	1.0	58,329
CASH MANAGEMENT/FISCAL OFFICER (TREASURY)	8531 A	1.0	87,652	1.0	89,841
CASH MANAGER (TREASURY INVESTMENTS)	8538 A	1.0	114,064	1.0	116,916
CHIEF FISCAL MANAGER (TREASURY)	8538 A	1.0	114,063	1.0	116,916
CHIEF OF STAFF (TREASURY)	8548 A	1.0	169,374	1.0	173,606
CHIEF OPERATING OFFICER (TREASURY)	8545 A	1.0	152,775	1.0	156,594
DEPUTY COMMUNICATIONS DIRECTOR (TREASURY)	8530 A	1.0	84,264	1.0	86,373
DIRECTOR OF COMMUNICATIONS (TREASURY)	8540 A	1.0	115,980	1.0	124,663
DIRECTOR OF FINANCE (TREASURY)	8538 A	1.0	114,064	1.0	116,916
DIRECTOR OF OUTREACH (TREASURY)	8537 A	1.0	108,538	1.0	111,251
DIRECTOR PARTICIPANT DIRECTED INVESTMENTS (TREASURY)	8537 A	1.0	108,539	1.0	111,251
EXECUTIVE AIDE TO THE GENERAL TREASURER (TREASURY)	8528 A	1.0	77,943	1.0	79,892
GENERAL COUNSEL (TREASURY)	8543 A	1.0	63,460	1.0	150,000
GENERAL TREASURER	0531 F	1.0	129,915	1.0	137,510
OUTREACH COORDINATOR (TREASURY)	8518 A	1.0	52,226	1.0	54,390
POLICY DIRECTOR (TREASURY)	8537 A	1.0	108,538	1.0	111,251
PRINCIPAL ACCOUNTANT	0326 A	1.0	74,866	1.0	76,740
PRINCIPAL AUDITOR	0328 A	1.0	80,707	1.0	82,725
PROGRAM ADMINISTRATOR COLLEGE BOUND FUND (TREASURY)	8527 A	1.0	75,007	1.0	76,881
PROJECT COORDINATOR (TRSY/ADMIN)	8530 A	1.0	81,156	1.0	86,432
PUBLIC DEBT MANAGER (TREASURY/ INVESTMENTS)	8538 A	1.0	114,064	1.0	116,916
RESEARCH DIRECTOR (TREASURY)	8545 A	1.0	152,775	1.0	156,595
SENIOR ADMINISTRATIVE CLERK (TREASURY ADMINISTRATION)	0328 A	1.0	92,754	1.0	95,060
SENIOR INVESTMENT OFFICER (TREASURY INVESTMENTS)	0325 A	1.0	61,628	1.0	65,234

Personnel

Office of the General Treasurer

Office of the General Treasurer

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
SR ADMINISTRATIVE AIDE (TREASURY)	0317 A	1.0	59,145	1.0	60,625
STATE RECEIPTS COORDINATOR & RET ACCT RECONCILER TREAS	0324 A	1.0	78,847	1.0	80,784
SYSTEMS ADMINISTRATOR (TREASURY)	8528 A	1.0	73,994	1.0	75,843
Subtotal Unclassified		32.0	2,897,538	32.0	3,075,295
Subtotal		32.0	2,897,538	32.0	3,075,295
Transfer Out			(1,223,766)		(1,327,182)
Transfer In			9,068		9,678
Turnover			(30,350)		(66,150)
Total Salaries			1,652,490		1,691,641
Benefits					
Contract Stipends			42,855		6,375
FICA			127,710		128,754
Health Benefits			240,850		254,589
Payroll Accrual			0		9,816
Retiree Health			74,034		76,459
Retirement			479,943		506,972
Subtotal			965,392		982,965
Total Salaries and Benefits		32.0	2,617,882	32.0	2,674,606
Cost Per FTE Position			81,809		83,581
Statewide Benefit Assessment			65,273		66,822
Payroll Costs		32.0	2,683,155	32.0	2,741,428
Purchased Services					
Information Technology			17,352		65,019
Legal Services			29,500		29,500
Management & Consultant Services			305,000		245,000
Other Contracts			3,500		3,500
Subtotal			355,352		343,019
Total Personnel		32.0	3,038,507	32.0	3,084,447
Distribution by Source of Funds					
General Revenue		29.0	2,081,276	29.0	2,159,959
Federal Funds		0.0	300,989	0.0	308,767
Other Funds		3.0	656,242	3.0	615,721
Total All Funds		32.0	3,038,507	32.0	3,084,447

Program Summary

Office of the General Treasurer

State Retirement System

Mission

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership, including assurance of data integrity and security, and member counseling in individual or group settings. The investment objective for the State Pension Fund, set by the Retirement Board, is to meet or exceed an annual rate of return of 7.0%. The Investments sub-program within the General Treasurer program provides investment management and custody services under the auspices of the State Investment Commission.

Description

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits, and a defined contribution retirement plan to eligible state employees and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System also administered by the System. The System also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security. As part of its administration, the System is required to conduct annual actuarial valuations of assets and liabilities and other actuarial investigations as provided by law.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws with its actuarial responsibilities set forth in Title 36, Chapter 8. In 2011, the Rhode Island Retirement Security Act of 2011 (RIRSA) made substantial changes to all retirement plans administered by the System. These changes provided increased retirement security for plan members, are expected to save the State \$4 billion dollars over 20 years, immediately reduced the unfunded liability of the plan by \$3 billion, provided reamortizing over a 25 year period, suspended cost-of-living adjustments for a period of time, and created a hybrid defined-benefit/defined contribution plan designed to share investment risk fairly among all stakeholders. Although initially challenged, the litigation surrounding the implementation of RIRSA was ultimately settled in June, 2016 with the provisions of the settlement agreement being enacted effective FY 2016. The settlement agreement retained greater than 95% of the savings related to RIRSA while providing enhancement to benefits of active employees and retirees.

Budget

Office of the General Treasurer

State Retirement System

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Defined Benefit	10,381,485	11,679,651	14,383,794	14,168,072	14,984,799
Defined Contribution	239,997	289,979	314,124	321,952	328,028
Total Expenditures	10,621,482	11,969,630	14,697,918	14,490,024	15,312,827
Expenditures by Object					
Salary and Benefits	6,752,021	7,529,042	7,869,115	7,749,110	8,136,414
Contract Professional Services	2,942,003	3,218,500	4,788,950	4,788,950	4,892,000
Operating Supplies and Expenses	557,585	822,776	1,641,853	1,553,964	1,774,413
Assistance and Grants	353,178	385,218	350,000	350,000	450,000
Subtotal: Operating	10,604,787	11,955,536	14,649,918	14,442,024	15,252,827
Capital Purchases and Equipment	16,695	14,093	48,000	48,000	60,000
Subtotal: Other	16,695	14,093	48,000	48,000	60,000
Total Expenditures	10,621,482	11,969,630	14,697,918	14,490,024	15,312,827
Expenditures by Source of Funds					
Restricted Receipts	10,621,482	11,969,630	14,697,918	14,490,024	15,312,827
Total Expenditures	10,621,482	11,969,630	14,697,918	14,490,024	15,312,827

Personnel

Office of the General Treasurer

State Retirement System

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ACCOUNTING MANAGER (TREASURY/ RETIREMENT)	8531 A	1.0	87,651	1.0	89,842
ADMINISTRATIVE AIDE	0316 A	3.0	151,646	3.0	156,475
ADMINISTRATIVE AIDE (TREASURY/RETIREMENT)	8513 A	1.0	46,023	1.0	47,174
ADMINISTRATIVE ASSISTANT (TREASURY RETIREMENT)	0326 A	8.0	570,081	9.0	649,562
BUSINESS ANALYST II (TREASURY/RETIREMENT)	8533 A	1.0	94,419	1.0	96,779
CHF INVESTMENT OFFCR (TRSY/INV)	8561 A	1.0	297,075	1.0	304,502
CHIEF FINANCIAL OFFICER (TREASURY RETIREMENT)	8542 A	1.0	156,614	1.0	160,530
CONTROLLER (TREASURY RETIREMENT)	8538 A	1.0	114,064	1.0	116,916
CUSTOMER EXPERIENCE MANAGER (TREASURY)	8531 A	1.0	87,651	1.0	89,842
DATA ANALYST (TREAS/RETIREMENT)	0328 A	1.0	69,663	1.0	73,909
DEPUTY DIRECTOR (TREASURY/RET)	8542 A	1.0	136,186	1.0	139,591
DEPUTY LEGAL COUNSEL (TREASURY RETIREMENT)	8534 A	1.0	112,624	1.0	115,439
DIRECTOR OF MEMBER SERVICES (TREASURY RETIREMENT)	8536 A	1.0	105,457	1.0	112,920
DIR RETIREMNET SAV PLANS (TR/RT)	8536 A	1.0	110,052	1.0	112,675
DISABILITY PENSION MANAGER (TREASURY/ RETIREMENT)	8531 A	1.0	87,651	1.0	89,842
EXECUTIVE DIRECTOR (RETIREMENT SYSTEM)	8547 A	1.0	188,422	1.0	193,132
IMAGING TECNICIAN	0315 A	1.0	58,056	1.0	59,508
INVESTMENT ACCOUNTING MANAGER (TREASURY/RETIREMENT)	8536 A	1.0	96,832	1.0	103,515
INVESTMENT ANALYST (TREASURY)	8530 A	2.0	159,627	2.0	171,264
LEGAL COUNSEL (TREASURY RETIREMENT)	8533 A	1.0	90,687	1.0	96,779
RETIREMENT AIDE (TREASURY/RETIREMENT)	0316 A	3.0	152,399	3.0	156,209
SENIOR ACCOUNTANT (TREASURY/RETIREMENT)	0326 A	1.0	71,302	1.0	73,085
SENIOR ADMINISTRATIVE AIDE (TREASURY/ RETIREMENT)	0318 A	3.0	119,079	2.0	108,780
SENIOR ADMINISTRATIVE ASSISTANT (TREASURY)	0328 A	4.0	345,341	4.0	353,847
SENIOR INVESTMENT ANALYST (TREASURY)	8539 A	1.0	119,595	1.0	122,586
SPECIAL PROJECTS MGR (TREAS/RET)	8532 A	1.0	84,662	1.0	90,781
SR INVESTMENT OFFICER (TRSY)	8544 A	1.0	147,249	1.0	150,930
WAGE & CONTRIBUTION ANALYST (TREASURY RETIREMENT)	0325 A	1.0	68,622	1.0	70,338
Subtotal Unclassified		45.0	3,928,730	45.0	4,106,752
Subtotal		45.0	3,928,730	45.0	4,106,752
Transfer Out			(18,136)		(19,356)

Personnel

Office of the General Treasurer

State Retirement System

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Transfer In		879,276		951,000
Overtime		131,926		131,926
Turnover		(70,000)		(85,000)
Total Salaries		4,851,796		5,085,322
Benefits				
Contract Stipends		115,500		36,585
FICA		353,225		365,542
Health Benefits		661,472		717,059
Payroll Accrual		0		28,744
Retiree Health		211,451		223,895
Retirement		1,369,232		1,483,608
Subtotal		2,710,880		2,855,433
Total Salaries and Benefits	45.0	7,562,676	45.0	7,940,755
Cost Per FTE Position		168,059		176,461
Statewide Benefit Assessment		186,434		195,659
Payroll Costs	45.0	7,749,110	45.0	8,136,414
Purchased Services				
Clerical and Temporary Services		315,000		315,000
Information Technology		2,828,200		2,800,000
Legal Services		440,000		440,000
Management & Consultant Services		915,000		965,000
Other Contracts		290,750		372,000
Subtotal		4,788,950		4,892,000
Total Personnel	45.0	12,538,060	45.0	13,028,414
Distribution by Source of Funds				
Restricted Receipts	45.0	12,538,060	45.0	13,028,414
Total All Funds	45.0	12,538,060	45.0	13,028,414

Program Summary

Office of the General Treasurer

Unclaimed Property

Mission

The core mission of the program is to return unclaimed property to its rightful owner and maintain custody of the property within the general fund until it can be returned. To fulfill this mission, the program maintains an active enforcement effort, ensuring that holders of unclaimed property are compliant with the law. This effort results in more property being received the State and being returned to their rightful owners. The program also maintains an active outreach through print and digital media along with live events to advertise unclaimed property and raise awareness about the program.

Description

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of holder reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Statutory History

Title 33, Chapters 21 and 21.1 of the General Laws govern the Unclaimed Property Program. In 2017 amendments to Rhode Island General Laws § 28-42-38 and § 33-21.1-17, were made to facilitate the sharing of data between the Unclaimed Property Program and other state agencies to more efficiently unite property holders with their property.

Budget

Office of the General Treasurer

Unclaimed Property

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026
Total Expenditures	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026
Expenditures by Object					
Salary and Benefits	1,351,853	1,429,162	1,507,330	1,487,126	1,514,205
Contract Professional Services	381,313	792,874	880,000	880,000	880,000
Operating Supplies and Expenses	104,040	106,866	171,852	187,346	198,821
Subtotal: Operating	1,837,206	2,328,901	2,559,182	2,554,472	2,593,026
Capital Purchases and Equipment	0	0	11,000	11,000	11,000
Subtotal: Other	0	0	11,000	11,000	11,000
Total Expenditures	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026
Expenditures by Source of Funds					
Restricted Receipts	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026
Total Expenditures	1,837,206	2,328,901	2,570,182	2,565,472	2,604,026

Personnel

Office of the General Treasurer

Unclaimed Property

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
APPLICATIONS COORDINATOR (TREASURY UNCLAIMED PROPERTY)	0318 A	1.0	58,369	1.0	59,829
ASST ADMIN/CLERK (UNCLAIMED PROPERTY)	0318 A	2.0	103,175	2.0	107,187
COURIER/MEETINGS COORDINATOR (TREASURY)	0317 A	1.0	51,431	1.0	52,717
SENIOR UNCLAIMED PROPERTY TECHNICIAN (TREAS)	0324 A	2.0	138,190	2.0	143,554
UNCLAIMED PROPERTY CLERK (TREASURY)	0318 A	1.0	53,063	1.0	54,390
UNCLAIMED PROPERTY SUPERVISOR (TREASURY)	8538 A	1.0	114,064	1.0	116,916
Subtotal Unclassified		8.0	518,292	8.0	534,593
Subtotal		8.0	518,292	8.0	534,593
Transfer In			346,697		379,849
Overtime			53,848		53,848
Turnover			0		(35,000)
Total Salaries			918,837		933,290
Benefits					
Contract Stipends			27,690		11,025
FICA			67,000		66,930
Health Benefits			150,777		160,714
Payroll Accrual			0		5,106
Retiree Health			38,752		39,751
Retirement			249,903		262,652
Subtotal			534,122		546,178
Total Salaries and Benefits		8.0	1,452,959	8.0	1,479,468
Cost Per FTE Position			181,620		184,934
Statewide Benefit Assessment			34,167		34,737
Payroll Costs		8.0	1,487,126	8.0	1,514,205
Purchased Services					
Information Technology			280,000		280,000
Management & Consultant Services			600,000		600,000
Subtotal			880,000		880,000
Total Personnel		8.0	2,367,126	8.0	2,394,205
Distribution by Source of Funds					
Restricted Receipts		8.0	2,367,126	8.0	2,394,205
Total All Funds		8.0	2,367,126	8.0	2,394,205

Program Summary

Office of the General Treasurer

Crime Victim Compensation Program

Mission

The Program was created to help victims recover costs associated with violent crime. The program provides up to \$25,000 in compensation to crime victims for expenses such as medical bills, loss of earnings, crime scene cleaning, and funeral expenses. While no amount of compensation can erase the painful memories of violent crime, the program aims to lessen the financial burdens victims face during challenging difficult times.

Description

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate victims of violent crimes up to \$25,000 for certain expenses directly attributable to the crime. The Program is supported by state funds consisting of general revenue and certain court costs, in addition to federal funds based on 60% of state dollars used for claims paid. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary all of which is reviewed by staff for each individual claim.

Statutory History

Title 12, Chapter 25 of the Rhode Island General Laws governs the Crime Victim Compensation Program. Most recently, in 2017, the legislation was amended to allow compensation for mental health treatment to minors who witnessed certain violent crimes.

Budget

Office of the General Treasurer

Crime Victim Compensation Program

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	1,448,579	1,423,184	1,827,109	1,848,668	1,877,046
Total Expenditures	1,448,579	1,423,184	1,827,109	1,848,668	1,877,046
Expenditures by Object					
Salary and Benefits	443,471	495,443	517,814	535,602	549,451
Contract Professional Services	6,409	6,593	0	0	7,500
Operating Supplies and Expenses	89,856	86,342	51,302	55,073	62,102
Assistance and Grants	908,843	834,806	1,256,993	1,256,993	1,256,993
Subtotal: Operating	1,448,579	1,423,184	1,826,109	1,847,668	1,876,046
Capital Purchases and Equipment	0	0	1,000	1,000	1,000
Subtotal: Other	0	0	1,000	1,000	1,000
Total Expenditures	1,448,579	1,423,184	1,827,109	1,848,668	1,877,046
Expenditures by Source of Funds					
General Revenue	861,188	908,662	849,616	871,175	899,553
Federal Funds	242,675	251,915	422,493	422,493	422,493
Restricted Receipts	344,717	262,607	555,000	555,000	555,000
Total Expenditures	1,448,579	1,423,184	1,827,109	1,848,668	1,877,046

Personnel

Office of the General Treasurer

Crime Victim Compensation Program

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE (TREASURY)	0317 A	1.0	51,431	1.0	52,717
ADMINISTRATOR (TREASURY CRIME VICTIM COMPENSATION)	8538 A	1.0	114,064	1.0	116,916
APPLICATIONS COORDINATOR (TREAS CRIME VICTIM COMPENSATI	0318 A	1.0	64,799	1.0	66,391
DEPUTY PROGRAM ADMINISTRATOR (TREASURY CRIME VICTIM COMP)	8533 A	1.0	85,714	1.0	91,078
Subtotal Unclassified		4.0	316,008	4.0	327,102
Subtotal		4.0	316,008	4.0	327,102
Transfer Out			(42,857)		(45,539)
Transfer In			49,718		51,550
Total Salaries			322,869		333,113
Benefits					
Contract Stipends			8,955		0
FICA			25,060		25,400
Health Benefits			58,485		61,624
Payroll Accrual			0		1,932
Retiree Health			14,465		15,056
Retirement			93,014		99,169
Subtotal			199,979		203,181
Total Salaries and Benefits		4.0	522,848	4.0	536,294
Cost Per FTE Position			130,712		134,074
Statewide Benefit Assessment			12,754		13,157
Payroll Costs		4.0	535,602	4.0	549,451
Purchased Services					
Information Technology			0		7,500
Subtotal			0		7,500
Total Personnel		4.0	535,602	4.0	556,951
Distribution by Source of Funds					
General Revenue		4.0	535,602	4.0	556,951
Total All Funds		4.0	535,602	4.0	556,951

Agency Summary

Board of Elections

Agency Mission

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Agency Description

The Board of Elections (Board) supervises all elections and state and local referenda. The Board supervises the maintenance, preparation, and delivery of voting equipment, election return forms, and other supplies used at polling places. It instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains, and assigns bipartisan pairs of supervisors for the mail ballot program for institutionally confined persons. It certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns. The Board oversees voter registration and public education activities and provides all registration materials used in the state. It also trains and supervises all individuals who serve as statewide voter registration agents. The Board conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The Board oversees and monitors the campaign finance activities of candidates, political action committees, and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee, and vendor reports. It is also responsible for the publication of manuals describing and explaining the requirements set forth in the statutes. It reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The Board also oversees and administers the public financing of campaigns program for each of the State's five general offices.

Statutory History

Chapter 825 of the Public Laws of 1901 created the State Returning Board. Chapter 1040 of the Public Laws of 1941 created the Board of Elections as a partisan board, replacing the State Returning Board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a nonpartisan board. R.I. General Laws § 17-7 sets forth its duties and powers, § 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns) and § 17-27 provides for reporting requirements and monitoring of political contributions by state vendors.

Budget

Board of Elections

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
Total Expenditures	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
Expenditures by Object					
Salary and Benefits	1,790,476	1,614,743	2,063,316	2,027,074	2,008,689
Contract Professional Services	290,221	63,935	213,550	343,550	238,550
Operating Supplies and Expenses	1,278,901	913,091	1,264,597	1,302,325	1,382,326
Assistance and Grants	0	0	2,700,000	2,700,000	0
Subtotal: Operating	3,359,598	2,591,770	6,241,463	6,372,949	3,629,565
Capital Purchases and Equipment	8,653	0	8,000	8,000	8,000
Subtotal: Other	8,653	0	8,000	8,000	8,000
Total Expenditures	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
Expenditures by Source of Funds					
General Revenue	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
Total Expenditures	3,368,251	2,591,770	6,249,463	6,380,949	3,637,565
FTE Authorization	13.0	13.0	13.0	13.0	13.0

Personnel Agency Summary

Board of Elections

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	13.0	972,728	13.0	1,000,863
Subtotal	13.0	972,728	13.0	1,000,863
Overtime		8,240		8,446
Seasonal/Special Salaries/Wages		389,939		257,172
Turnover		(60,452)		0
Total Salaries		1,310,455		1,266,481
Benefits				
Contract Stipends		9,750		0
FICA		99,143		95,127
Health Benefits		242,453		255,429
Payroll Accrual		0		5,812
Retiree Health		43,577		45,237
Retirement		283,272		301,068
Subtotal		678,195		702,673
Total Salaries and Benefits	13.0	1,988,650	13.0	1,969,154
Cost Per FTE Position		152,973		151,473
Statewide Benefit Assessment		38,424		39,535
Payroll Costs	13.0	2,027,074	13.0	2,008,689
Purchased Services				
Clerical and Temporary Services		3,000		3,000
Legal Services		150,000		150,000
Other Contracts		190,550		85,550
Subtotal		343,550		238,550
Total Personnel	13.0	2,370,624	13.0	2,247,239
Distribution by Source of Funds				
General Revenue	13.0	2,370,624	13.0	2,247,239
Total All Funds	13.0	2,370,624	13.0	2,247,239

Personnel

Board of Elections

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE ASSISTANT	0823 A	1.0	63,841	1.0	65,437
ASSISTANT ADMINISTRATOR/FINANCIAL MANAGEMENT	0835 A	1.0	106,503	1.0	109,165
CLERK/MACHINE DEMONSTRATOR/ASSISTANT BALLOT COORDINATOR	0313 A	1.0	43,712	1.0	45,622
DEPUTY DIRECTOR	0832 A	1.0	102,074	1.0	104,578
EXECUTIVE DIRECTOR	0844 A	1.0	165,773	1.0	169,822
EXECUTIVE SECRETARY	0823 A	1.0	58,285	1.0	61,696
PLANNING AND PROGRAM DEVELOPMENT SPECIALIST	0320 A	3.0	170,718	3.0	174,987
SENIOR ADMINISTRATIVE AIDE	0317 A	1.0	50,262	1.0	52,707
SENIOR ADMINISTRATIVE AIDE/TRAINING SPECIALIST	0319 A	1.0	54,781	1.0	56,151
SPECIAL PROJECTS COORDINATOR	0829 A	2.0	156,779	2.0	160,698
Subtotal Unclassified		13.0	972,728	13.0	1,000,863
Subtotal		13.0	972,728	13.0	1,000,863
Overtime			8,240		8,446
Seasonal/Special Salaries/Wages			389,939		257,172
Turnover			(60,452)		0
Total Salaries			1,310,455		1,266,481
Benefits					
Contract Stipends			9,750		0
FICA			99,143		95,127
Health Benefits			242,453		255,429
Payroll Accrual			0		5,812
Retiree Health			43,577		45,237
Retirement			283,272		301,068
Subtotal			678,195		702,673
Total Salaries and Benefits		13.0	1,988,650	13.0	1,969,154
Cost Per FTE Position			152,973		151,473
Statewide Benefit Assessment			38,424		39,535
Payroll Costs		13.0	2,027,074	13.0	2,008,689
Purchased Services					
Clerical and Temporary Services			3,000		3,000
Legal Services			150,000		150,000
Other Contracts			190,550		85,550

Personnel

Board of Elections

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Purchased Services				
Subtotal		343,550		238,550
Total Personnel	13.0	2,370,624	13.0	2,247,239
Distribution by Source of Funds				
General Revenue	13.0	2,370,624	13.0	2,247,239
Total All Funds	13.0	2,370,624	13.0	2,247,239

Agency Summary

Rhode Island Ethics Commission

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage. Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees. Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner. Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources. Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The Rhode Island Ethics Commission was created as an independent, non-partisan division of Rhode Island Government by the voters of Rhode Island through a 1986 amendment to the Rhode Island Constitution. The Commission's constitutional authority includes adopting a Code of Ethics that applies to all state and municipal elected officials, appointed officials and public employees in such areas that expressly include, but are not limited to, conflicts of interest, confidential information, use of position, contracts with government agencies and financial disclosure. Its constitutional authority also includes the investigation and enforcement of alleged violations of the Code of Ethics and the imposition of penalties for noncompliance. The Ethics Commission administers and enforces a financial disclosure requirement for more than 4,000 public officials annually and makes such filings readily available for public inspection. It issues numerous written advisory opinions each year, maintains public contact with dozens of inquiring citizens each week, and provides regular training to state and municipal public officials and employees as to the requirements of the Code of Ethics. The nine-member Ethics Commission is appointed by the Governor, with a majority of its members nominated by legislative leaders. The staff of the Ethics Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Ethics Commission's operations are funded solely with state general revenues.

Statutory History

Article III, sections 7 and 8 of the Rhode Island Constitution were approved by the voters on November 4, 1986. Title 36, Chapter 14 of the Rhode Island General Laws, enacted in 1987, sets forth the legislative provisions of the Code of Ethics and defines the Ethics Commission's advisory, investigative and adjudicative procedures. Pursuant to its Constitutional authority, the Ethics Commission has adopted both substantive ethics laws and procedural regulations, which can be found in Title 520 of the Rhode Island Code of Regulations. A further amendment to Article III, Section 8 of the Rhode Island Constitution in 2016 clarified that members of the General Assembly are subject to the Code of Ethics and the jurisdiction of the Ethics Commission.

Budget

Rhode Island Ethics Commission

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
RI Ethics Commission	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
Total Expenditures	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
Expenditures by Object					
Salary and Benefits	1,580,453	1,534,796	1,684,537	1,633,067	1,725,006
Contract Professional Services	30,508	21,630	62,275	62,275	62,275
Operating Supplies and Expenses	218,310	241,991	279,507	303,313	300,952
Subtotal: Operating	1,829,271	1,798,418	2,026,319	1,998,655	2,088,233
Capital Purchases and Equipment	3,047	3,324	8,826	8,826	8,826
Subtotal: Other	3,047	3,324	8,826	8,826	8,826
Total Expenditures	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
Expenditures by Source of Funds					
General Revenue	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
Total Expenditures	1,832,318	1,801,742	2,035,145	2,007,481	2,097,059
FTE Authorization	12.0	12.0	12.0	12.0	12.0

Personnel Agency Summary

Rhode Island Ethics Commission

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	12.0	1,059,359	12.0	1,106,585
Subtotal	12.0	1,059,359	12.0	1,106,585
Total Salaries		1,059,359		1,106,585
Benefits				
FICA		79,734		83,391
Health Benefits		95,973		101,799
Payroll Accrual		0		6,424
Retiree Health		47,457		50,018
Retirement		308,701		333,078
Subtotal		531,865		574,710
Total Salaries and Benefits	12.0	1,591,224	12.0	1,681,295
Cost Per FTE Position		132,602		140,108
Statewide Benefit Assessment		41,843		43,711
Payroll Costs	12.0	1,633,067	12.0	1,725,006
Purchased Services				
Clerical and Temporary Services		5,000		5,000
Information Technology		6,000		6,000
Legal Services		51,000		51,000
Other Contracts		275		275
Subtotal		62,275		62,275
Total Personnel	12.0	1,695,342	12.0	1,787,281
Distribution by Source of Funds				
General Revenue	12.0	1,695,342	12.0	1,787,281
Total All Funds	12.0	1,695,342	12.0	1,787,281

Personnel

Rhode Island Ethics Commission

RI Ethics Commission

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	0814 A	1.0	38,600	1.0	45,588
ADMINISTRATIVE ASSISTANT	0819 A	1.0	49,700	1.0	52,261
CHIEF OF THE OFFICE OF INVESTIGATIONS	0836 A	1.0	99,316	1.0	105,561
COORDINATOR- SPECIAL PROJECTS	0827 A	1.0	82,508	1.0	84,570
DEPUTY CHIEF INVESTIGATOR	0826 A	1.0	65,227	1.0	69,274
EXECUTIVE DIRECTOR/CHIEF PROSECUTOR	0845 A	1.0	168,053	1.0	172,253
SENIOR CONFIDENTIAL INVESTIGATOR	0832 A	1.0	86,231	1.0	91,657
SPECIAL PROJECTS COORDINATOR	0829 A	0.0	19,651	0.0	0
SPECIAL PROJECTS COORDINATOR	0833 A	1.0	68,197	1.0	93,998
STAFF ATTORNEY II	0830 A	2.0	168,530	2.0	172,744
STAFF ATTORNEY IV	0834 A	1.0	97,934	1.0	100,382
STAFF ATTORNEY V	0836 A	1.0	115,412	1.0	118,297
Subtotal Unclassified		12.0	1,059,359	12.0	1,106,585
Subtotal		12.0	1,059,359	12.0	1,106,585
Total Salaries			1,059,359		1,106,585
Benefits					
FICA			79,734		83,391
Health Benefits			95,973		101,799
Payroll Accrual			0		6,424
Retiree Health			47,457		50,018
Retirement			308,701		333,078
Subtotal			531,865		574,710
Total Salaries and Benefits		12.0	1,591,224	12.0	1,681,295
Cost Per FTE Position			132,602		140,108
Statewide Benefit Assessment			41,843		43,711
Payroll Costs		12.0	1,633,067	12.0	1,725,006
Purchased Services					
Clerical and Temporary Services			5,000		5,000
Information Technology			6,000		6,000
Legal Services			51,000		51,000
Other Contracts			275		275
Subtotal			62,275		62,275
Total Personnel		12.0	1,695,342	12.0	1,787,281

Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Distribution by Source of Funds				
General Revenue	12.0	1,695,342	12.0	1,787,281
Total All Funds	12.0	1,695,342	12.0	1,787,281

Performance Measures

Rhode Island Ethics Commission

RI Ethics Commission

Online Filing of Financial Disclosure

The Ethics Commission is Constitutionally mandated to adopt provisions relating to financial disclosure of public officials. Approximately 4,000 elected and appointed public officials, candidates for elective office, and some public employees file annual financial statements with the Ethics Commission. Such statements are available for public inspection. In 2012, the Commission implemented an online financial disclosure filing system, which benefits both the filer and the Commission through cost savings, ease of filing, and greater efficiency. Filers may choose either to file online or to continue filing a hard copy form. The figures below represent the percentage of financial disclosures submitted online. [Note: Calendar year 2022 data is as of 12/29/2022.]

	<i>Reporting Period: Calendar Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	86%	90%	90%	91%	91%
Actual	89.3%	89.7%	90.1%	--	--

Timeliness of Response to Access to Public Records Act Requests

The Ethics Commission is the repository for various public documents filed by, and concerning, public officials and employees. Such documents include yearly financial statements, conflict of interest statements ("recusal forms"), advisory opinions and request letters and complaint materials. Public access to these documents is crucial to fostering and maintaining openness and accountability in public service. RI's Access to Public Records Act (APRA) requires that public documents be made available within 10 business days of a request, unless an extension is necessary. Because of the importance of honoring these requests in a timely fashion, the Ethics Commission has committed to completing the majority of requests within a single business day. Records are made available electronically in most cases to avoid any cost to the person making the request. The figures below represent the percentage of APRA requests completed within one business day.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	95%	94%	95%	95%	95%
Actual	93%	99%	99%	--	--

Ethics Education Training Attendance

The Ethics Commission is statutorily authorized to educate public officials, employees and citizens about the State Code of Ethics. This education occurs through the Commission's website and educational publications, as well as via a public contact system whereby one member of the Commission staff is always on call to speak with members of the public having questions or concerns. The primary method of education is seminars, trainings and workshops provided to public officials, employees and members of the public. Sessions vary in duration and content depending upon the needs and goals of the agency or group receiving training. The figures below represent the number of ethics education training attendees.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	1,800	1,800	1,800	1,800	1,800
Actual	1,367	1,662	2,274	--	--

Agency Summary

Rhode Island Commission for Human Rights

Agency Mission

To enforce Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit and delivery of services; through impartial investigation, formal and informal resolution efforts, predetermination conferences and administrative hearings, to ensure due process for both complainants and respondents, to provide redress for victims of discrimination, and to properly dismiss cases in those instances in which charges of discrimination lack evidentiary support. To provide outreach and education to the community to ensure knowledge of rights and responsibilities under state and federal anti-discrimination laws.

Agency Description

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes, in addition to prohibiting discrimination on the aforementioned bases, also prohibit discrimination based on marital status, familial status, and military status. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse, housing status, lawful source of income and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability. The employment law also prohibits employers from inquiring before a first interview, either via an employment application or otherwise, whether an applicant has been convicted of a crime; certain enumerated exceptions apply. The Commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a prima facie case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. A No Probable Cause ruling terminates proceedings at the Commission. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

Statutory History

The Commission was created and empowered in 1949 by R.I. General Laws § 28-5 (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: RIGL § 28-5-1 et seq.; § 34-37-1 et seq. (Fair Housing Practices Act); § 11-24-1 et seq. (Hotels and Public Places); § 23-6.3-11 and § 23-6.3-12 (Prevention and Suppression of Contagious Diseases - HIV/AIDS); § 42-87-1 et seq. (Civil Rights of People with Disabilities); and § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and the Fair Housing Act (Title VIII of the Civil Rights act of 1968), as amended. During the 2021 legislative session, the Fair Housing Practices Act, R.I. Gen. Laws § 34-37-1 et seq., was amended to prohibit discrimination on the basis of lawful source of income.

Budget

Rhode Island Commission for Human Rights

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	1,756,263	1,836,227	2,152,745	2,207,190	2,183,303
Total Expenditures	1,756,263	1,836,227	2,152,745	2,207,190	2,183,303
Expenditures by Object					
Salary and Benefits	1,466,207	1,461,629	1,774,932	1,612,663	1,770,801
Contract Professional Services	7,735	(49,157)	15,050	173,871	36,919
Operating Supplies and Expenses	282,321	417,107	362,263	419,036	375,083
Subtotal: Operating	1,756,263	1,829,579	2,152,245	2,205,570	2,182,803
Capital Purchases and Equipment	0	6,649	500	1,620	500
Subtotal: Other	0	6,649	500	1,620	500
Total Expenditures	1,756,263	1,836,227	2,152,745	2,207,190	2,183,303
Expenditures by Source of Funds					
General Revenue	1,347,294	1,524,555	1,744,334	1,811,976	1,824,202
Federal Funds	408,969	311,672	408,411	395,214	359,101
Total Expenditures	1,756,263	1,836,227	2,152,745	2,207,190	2,183,303
FTE Authorization	14.5	14.0	15.0	15.0	15.0

Personnel Agency Summary

Rhode Island Commission for Human Rights

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Unclassified	15.0	1,014,459	15.0	1,059,243
Subtotal	15.0	1,014,459	15.0	1,059,243
Turnover		(66,200)		0
Total Salaries		948,259		1,059,243
Benefits				
Contract Stipends		31,500		3,000
FICA		73,689		81,035
Health Benefits		204,768		215,528
Payroll Accrual		0		6,148
Retiree Health		42,481		47,878
Retirement		274,508		316,130
Subtotal		626,946		669,719
Total Salaries and Benefits	15.0	1,575,205	15.0	1,728,962
Cost Per FTE Position		105,014		115,264
Statewide Benefit Assessment		37,458		41,839
Payroll Costs	15.0	1,612,663	15.0	1,770,801
Purchased Services				
Clerical and Temporary Services		7,500		7,500
Information Technology		163,121		26,169
Legal Services		250		250
Other Contracts		2,000		2,000
Training and Educational Services		1,000		1,000
Subtotal		173,871		36,919
Total Personnel	15.0	1,786,534	15.0	1,807,720
Distribution by Source of Funds				
General Revenue	13.0	1,475,140	13.0	1,490,021
Federal Funds	2.0	311,394	2.0	317,699
Total All Funds	15.0	1,786,534	15.0	1,807,720

Personnel

Rhode Island Commission for Human Rights

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Unclassified					
ADMINISTRATIVE AIDE	0313 A	1.0	52,926	1.0	54,250
ADMINISTRATIVE AIDE (COMMISSION FOR HUMAN RIGHTS)	0313 A	1.0	43,500	1.0	45,412
CHIEF CLERK	0E18 A	1.0	56,813	1.0	59,982
CHIEF COMPLIANCE OFFICER-HUMAN RIGHTS	0325 A	1.0	61,265	1.0	62,797
EEOC PROJECT DIRECTOR - HUMAN RIGHTS	0325 A	1.0	69,759	1.0	74,325
EXECUTIVE SECRETARY - HUMAN RIGHTS	0840 A	1.0	137,636	1.0	141,077
HUD PROJECT DIRECTOR	0326 A	1.0	70,274	1.0	75,223
INVESTIGATOR (HUMAN RIGHTS)	0319 A	2.0	100,280	2.0	105,328
SENIOR COMPLIANCE OFFICER (HUMAN RIGHTS)	0322 A	4.0	242,982	4.0	254,005
STAFF ATTORNEY III	0832 A	1.0	91,044	1.0	93,320
STAFF ATTORNEY IV	0834 A	1.0	87,980	1.0	93,524
Subtotal Unclassified		15.0	1,014,459	15.0	1,059,243
Subtotal		15.0	1,014,459	15.0	1,059,243
Turnover			(66,200)		0
Total Salaries			948,259		1,059,243
Benefits					
Contract Stipends			31,500		3,000
FICA			73,689		81,035
Health Benefits			204,768		215,528
Payroll Accrual			0		6,148
Retiree Health			42,481		47,878
Retirement			274,508		316,130
Subtotal			626,946		669,719
Total Salaries and Benefits		15.0	1,575,205	15.0	1,728,962
Cost Per FTE Position			105,014		115,264
Statewide Benefit Assessment			37,458		41,839
Payroll Costs		15.0	1,612,663	15.0	1,770,801
Purchased Services					
Clerical and Temporary Services			7,500		7,500
Information Technology			163,121		26,169
Legal Services			250		250
Other Contracts			2,000		2,000
Training and Educational Services			1,000		1,000
Subtotal			173,871		36,919

Personnel

Rhode Island Commission for Human Rights

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Personnel	15.0	1,786,534	15.0	1,807,720
Distribution by Source of Funds				
General Revenue	13.0	1,475,140	13.0	1,490,021
Federal Funds	2.0	311,394	2.0	317,699
Total All Funds	15.0	1,786,534	15.0	1,807,720

Performance Measures

Rhode Island Commission for Human Rights

Central Management

Outreach Activities

The figures below represent the number of outreach activities conducted by agency staff in compliance with the statutory mandate of R.I.G.L. § 28-5-14. A higher number indicates greater compliance with the statute.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Target	49	51	54	53	53
Actual	54	59	50	--	--

Cases Processed

The figures below represent the number of cases achieving a final disposition (e.g., settlement, No Probable Cause ruling, administrative closure, Decision & Order), as well as cases in which a ruling of Probable Cause has been rendered. Although Probable Cause rulings do not constitute closures, they are recorded in order to present an accurate record of casework completed by staff and Commissioners. A higher number indicates greater case production.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Target	428	412	381	359	359
Actual	348	277	304	--	--

Average Case Age at Closure

This performance measure assesses the average age, in days, of a case at final disposition. A lower number reflects the more expeditious processing of cases.

	<i>Reporting Period: State Fiscal Year</i>				
	2020	2021	2022	2023	2024
Target	365	365	365	365	365
Actual	379	398	439	--	--

Agency Summary

Public Utilities Commission

Agency Mission

The Public Utilities Commission and Division of Public Utilities and Carriers supervise, regulate, and make orders governing the conduct of companies offering to the public in intrastate commerce energy, communication, transportation services, and water supplies for the purpose of increasing and maintaining the efficiency of the companies, according desirable safeguards and convenience to their employees and to the public, and protecting them and the public against improper and unreasonable rates, tolls and charges by providing full, fair, and adequate administrative procedures and remedies, and by securing a judicial review to any party aggrieved by such an administrative proceeding or ruling.

Agency Description

For budgeting and other administrative purposes, the agency name “Public Utilities Commission” has historically been used as a short-hand reference to refer to two distinct agencies that are located in the same offices and share many of the same office services – the agency which is officially referred to as the Public Utilities Commission (“Commission”) and its sister agency, the Division of Public Utilities and Carriers (“Division”). Collectively, throughout this document, the two separate entities are referred to as the “Agencies.”

In general terms, the Commission serves in a capacity similar to an administrative court of law, primarily addressing matters such as changes in rates, approval of certain utility programs, energy-procurement contracts, and similar matters that require regulatory approvals. These take place in the context of proceedings that often are litigated with testimony, witnesses, and lawyers, which mirrors court proceedings. In contrast, the Division serves different purposes. One of its primary purposes is to serve as a “ratepayer advocate” in the proceedings before the Commission. In those instances, the Division is a party to the legal proceedings. In addition, the Division has many other regulatory responsibilities that compliments the Commission authority over the utilities and other entities that are regulated. For example, the Division addresses utility customer complaints, investigates violations, enforces Commission orders, does inspections of utility facilities under its authority, and performs other regulatory functions that supervise the utilities and other entities under its jurisdiction.

Because the Commission and the Division are located in the same facilities, share office services, have similar names, and some overlapping regulatory authority, the two agencies are often confused with each other by those not familiar with the roles of the agencies.

More specifically and technically, the Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32.

Through participation in the Energy Facility Siting Board, the Commission’s Chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges and the sufficiency and reasonableness of facilities and accommodations of common carriers of property and passengers over the State’s public roadways, pursuant to Chapters 39-12, 39-13 and 39-14.2. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have regulated utilities in Rhode Island since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of both the Administrator of the Division and the Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairperson of the Commission and Administrator of the Division. The Commission and the Division are established under RIGL § 39-1-3.

Budget

Public Utilities Commission

	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Expenditures by Program					
Central Management	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Expenditures by Object					
Salary and Benefits	6,896,524	7,951,744	8,369,197	8,371,250	8,729,784
Contract Professional Services	1,424,512	1,713,213	3,476,836	3,611,836	3,621,836
Operating Supplies and Expenses	853,963	1,254,880	1,343,732	1,357,271	1,429,680
Subtotal: Operating	9,174,998	10,919,837	13,189,765	13,340,357	13,781,300
Capital Purchases and Equipment	28,395	11,144	380,000	380,000	380,000
Subtotal: Other	28,395	11,144	380,000	380,000	380,000
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Expenditures by Source of Funds					
Federal Funds	223,657	523,710	582,689	523,718	593,775
Restricted Receipts	8,979,736	10,407,271	12,987,076	13,196,639	13,567,525
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
FTE Authorization	52.0	54.0	54.0	54.0	54.0

Personnel Agency Summary

Public Utilities Commission

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Classified	48.0	4,666,934	48.0	4,843,963
Unclassified	6.0	729,441	6.0	751,802
Subtotal	54.0	5,396,375	54.0	5,595,765
Overtime		57,079		57,079
Turnover		(209,714)		(212,709)
Total Salaries		5,243,740		5,440,135
Benefits				
Contract Stipends		35,550		0
FICA		393,480		406,114
Health Benefits		735,001		773,648
Payroll Accrual		0		31,016
Retiree Health		234,930		244,398
Retirement		1,521,415		1,620,902
Subtotal		2,920,376		3,076,078
Total Salaries and Benefits	54.0	8,164,116	54.0	8,516,213
Cost Per FTE Position		151,187		157,708
Statewide Benefit Assessment		207,134		213,571
Payroll Costs	54.0	8,371,250	54.0	8,729,784
Purchased Services				
Buildings and Ground Maintenance		46,000		46,000
Clerical and Temporary Services		69,000		69,000
Information Technology		93,000		93,000
Legal Services		753,000		753,000
Management & Consultant Services		2,307,908		2,317,908
Other Contracts		342,928		342,928
Subtotal		3,611,836		3,621,836
Total Personnel	54.0	11,983,086	54.0	12,351,620
Distribution by Source of Funds				
Federal Funds	0.0	510,756	0.0	580,813
Restricted Receipts	54.0	11,472,330	54.0	11,770,807
Total All Funds	54.0	11,983,086	54.0	12,351,620

Budget

Public Utilities Commission

Central Management

Expenditures by Sub Program	2021 Actuals	2022 Actuals	2023 Enacted Budget	2023 Revised Budget	2024 Recommended
Operations	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Expenditures by Object					
Salary and Benefits	6,896,524	7,951,744	8,369,197	8,371,250	8,729,784
Contract Professional Services	1,424,512	1,713,213	3,476,836	3,611,836	3,621,836
Operating Supplies and Expenses	853,963	1,254,880	1,343,732	1,357,271	1,429,680
Subtotal: Operating	9,174,998	10,919,837	13,189,765	13,340,357	13,781,300
Capital Purchases and Equipment	28,395	11,144	380,000	380,000	380,000
Subtotal: Other	28,395	11,144	380,000	380,000	380,000
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300
Expenditures by Source of Funds					
Federal Funds	223,657	523,710	582,689	523,718	593,775
Restricted Receipts	8,979,736	10,407,271	12,987,076	13,196,639	13,567,525
Total Expenditures	9,203,393	10,930,981	13,569,765	13,720,357	14,161,300

Personnel

Public Utilities Commission

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
ADMINISTRATIVE AND LEGAL SUPPORT SERVICES ADMINISTRATOR	0145 A	2.0	315,056	2.0	328,788
ADMINISTRATIVE OFFICER	AB24 A	1.0	61,478	1.0	63,014
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	0141 A	1.0	125,272	1.0	128,405
ASSISTANT TO CHIEF PUBLIC UTILITIES ACCOUNTANT	AB34 A	1.0	93,344	1.0	95,620
ASSOCIATE ADM CABLE TV & LEGIS LIAIS FOR DIV/PU & CARR	0136 A	1.0	102,582	1.0	105,146
ASSOCIATE PUBLIC UTILITIES ADMINISTRATOR FOR MOTOR CAR.	0140 A	1.0	119,274	1.0	125,382
ASSOC PUBLIC UTIL ADMIN FOR ENG AND PIPELINE SAFETY	0140 A	1.0	131,545	1.0	141,565
BUSINESS MANAGEMENT OFFICER	AB26 A	1.0	66,441	1.0	68,102
CHF REGULATORY ANALYST (PUC)	0138 A	1.0	117,605	1.0	120,484
CHIEF ECONOMIC AND POLICY ANALYST	0142 A	2.0	262,005	2.0	275,108
CHIEF FIELD INVESTIGATOR (GENERAL)	AB24 A	1.0	64,551	1.0	66,165
CHIEF HUMAN SERVICES POLICY AND SYSTEMS SPECIALIST	AB32 A	1.0	89,465	1.0	94,992
CHIEF IMPLEMENTATION AIDE	0128 A	1.0	68,861	1.0	73,703
CHIEF IMPLEMENTATION AIDE	AB28 A	1.0	69,034	1.0	73,307
CHIEF OF LEGAL SERVICES	0139 A	4.0	461,630	4.0	472,999
CHIEF OF STAFF DEVELOPMENT- TRAINING & CONT QUAL IMPROV	0135 A	1.0	102,647	1.0	105,119
CHIEF PUBLIC UTILITIES ACCOUNTANT	0140 A	2.0	252,526	2.0	258,785
CONSUMER AGENT (DPUC)	AB24 A	4.0	238,971	4.0	249,493
DEPUTY CHIEF OF LEGAL SERVICES	0137 A	2.0	204,362	2.0	217,808
HUMAN SERVICES BUSINESS OFFICER	AB22 A	1.0	59,261	1.0	60,684
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	0140 A	1.0	118,918	1.0	121,763
INFORMATION SERVICES TECHNICIAN II	AB22 A	1.0	57,217	1.0	58,647
INTERNET COMMUNICATIONS SPECIALIST	AB28 A	1.0	80,051	1.0	82,033
INVESTIGATIVE AUDITOR	0133 A	1.0	104,758	1.0	107,272
MOTOR CARRIER COMPLIANCE INSPECTOR	AB20 A	1.0	59,563	1.0	61,023
PIPELINE SAFERY INSPECTOR II	AB33 A	1.0	98,472	1.0	100,882
PIPELINE SAFETY INSPECTOR I	AB30 A	4.0	293,539	4.0	312,007
PUBLIC UTILITIES ANALYST V	AB33 A	2.0	180,801	2.0	188,283
PUBLIC UTILITIES DEPUTY ADMINISTRATOR	0146 A	2.0	332,595	2.0	340,857
PUBLIC UTILITIES ENGINEERING SPECIALIST II	AB30 A	2.0	155,888	2.0	159,787
PUBLIC UTILITIES ENGINEERING SPECIALIST II	AB33 A	1.0	98,886	1.0	101,296

Personnel

Public Utilities Commission

Central Management

		FY 2023		FY 2024	
		FTE	Cost	FTE	Cost
Classified					
SENIOR ECONOMIC AND POLICY ANALYST	0134 A	1.0	80,336	1.0	85,444
Subtotal Classified		48.0	4,666,934	48.0	4,843,963
Unclassified					
ADMINISTRATIVE ASSISTANT	0129 A	1.0	96,935	1.0	99,317
ADMINISTRATIVE ASSISTANT	0819 A	1.0	49,700	1.0	52,261
ADMINISTRATOR- DIVISION OF PUBLIC UTILITIES & CARRIERS	0847 A	1.0	174,251	1.0	181,456
CHAIRPERSON- PUBLIC UTILITIES COMMISSION	0844 A	1.0	147,249	1.0	150,930
MEMBER- PUBLIC UTILITIES COMMISSION	0841 A	2.0	261,306	2.0	267,838
Subtotal Unclassified		6.0	729,441	6.0	751,802
Subtotal		54.0	5,396,375	54.0	5,595,765
Overtime			57,079		57,079
Turnover			(209,714)		(212,709)
Total Salaries			5,243,740		5,440,135
Benefits					
Contract Stipends			35,550		0
FICA			393,480		406,114
Health Benefits			735,001		773,648
Payroll Accrual			0		31,016
Retiree Health			234,930		244,398
Retirement			1,521,415		1,620,902
Subtotal			2,920,376		3,076,078
Total Salaries and Benefits		54.0	8,164,116	54.0	8,516,213
Cost Per FTE Position			151,187		157,708
Statewide Benefit Assessment			207,134		213,571
Payroll Costs		54.0	8,371,250	54.0	8,729,784
Purchased Services					
Buildings and Ground Maintenance			46,000		46,000
Clerical and Temporary Services			69,000		69,000
Information Technology			93,000		93,000
Legal Services			753,000		753,000
Management & Consultant Services			2,307,908		2,317,908
Other Contracts			342,928		342,928
Subtotal			3,611,836		3,621,836

Personnel

Public Utilities Commission

Central Management

	FY 2023		FY 2024	
	FTE	Cost	FTE	Cost
Total Personnel	54.0	11,983,086	54.0	12,351,620
Distribution by Source of Funds				
Federal Funds	0.0	510,756	0.0	580,813
Restricted Receipts	54.0	11,472,330	54.0	11,770,807
Total All Funds	54.0	11,983,086	54.0	12,351,620

Performance Measures

Public Utilities Commission

Central Management

Timeliness of Consumer Billing Complaint Investigations

DPUC investigates complaints involving motor carriers and public utilities including electric, gas, and water providers. Non-payment related billing complaints are often attributable to clerical error, customer misunderstanding, and faulty utility meters. The figures below represent the percentage of non-payment related billing complaint investigations completed within 60 business days.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	90%	90%	90%	95%	95%
Actual	95%	100%	100%	--	--

Timeliness of Informal Consumer Payment Plan Process

Customers enroll in a payment plan in accordance with the Commission's rules and regulations to avoid utility service termination or to have their service restored. The figures below represent the percentage of informal consumer payment agreements processed within 60 days of an inquiry.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	90%	90%	90%	95%	95%
Actual	95%	100%	100%	--	--

Timeliness of Consumer Service Complaint Investigations

Examples of service complaints include reports of poor customer service, downed wires, service fluctuations, gas leaks and explosions, and injuries to utility worker or person(s) attributable to utility services. The Consumer section takes these complaints and relays them to the appropriate utility. The figure below represents the percentage of the complaints that are addressed and satisfied by the customer within 60 days.

	<i>Reporting Period: State Fiscal Year</i>				
<i>Frequency: Annual</i>	2020	2021	2022	2023	2024
Target	90%	95%	95%	95%	95%
Actual	95%	100%	100%	--	--

Quasi-Public Agencies

QUASI- PUBLIC AGENCIES

In general, a quasi-public agency is established under the Rhode Island General Laws, but has certain budgetary, governing, and policy-making independence from Executive and Legislative governance. Currently, Rhode Island has 16 quasi-public agencies with diverse structures, powers and responsibilities.

THE AGENCY

CAPITAL CENTER COMMISSION

Agency Description

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development. The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Rhode Island Commerce Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

THE BUDGET

CAPITAL CENTER COMMISSION

	FY2021 Actual	FY2022 Unaudited	FY 2023 Projected	FY 2024 Recommended
Operating Revenues				
Development/Permit Fees	\$ 1,850	\$ 813	\$ 1,000	\$ 1,000
Interest Income	24	10	10	\$ 10
Total Operating Revenues	\$ 1,874	\$ 823	\$ 1,010	\$ 1,010
Expenditures				
Print/Supplies	\$ -	\$ -	\$ -	\$ -
Meetings/Miscellaneous	-	249	250	\$ 250
Consultants	-	-	-	\$ -
Bookkeeping Fees	1,150	1,250	1,300	\$ 1,300
Legal & Audit Fees	3,914	3,950	4,000	\$ 4,000
Total Expenditures	\$ 5,064	\$ 5,449	\$ 5,550	\$ 5,550
Less Non Operating Revenue				
Interest Income	\$ 15	\$ 10	\$ 10	\$ 10
Net Income (Loss)	\$ (3,190)	\$ (4,626)	\$ (4,540)	\$ (4,540)

THE AGENCY

I-195 REDEVELOPMENT DISTRICT COMMISSION

Agency Description

The I-195 Redevelopment District Commission (the “Commission”) was created in late 2011 to serve as the primary authority for the management, disposition and overall redevelopment of the land made available in Providence as a result of the relocation of Interstate 195. The Commission is led by an Executive Director and a volunteer board comprised of nine Commissioners, (including two who serve ex officio) nominated by the Governor, (with guidance from the Providence Mayor and House Speaker) and approved by the Rhode Island Senate.

The land the Commission is charged with redeveloping is comprised of 26.5 acres (inclusive of a 2.1-acre parcel that was sold in 2017 and 0.59-acre parcel that was sold in 2018), of which 7.01 acres are dedicated for the use as public parks, which are currently under construction. The remaining 19.5 acres are slated for commercial development and could support millions of square feet of new construction.

Presently, the Commission is actively working on seven development projects, establishing and implementing a Development Plan as part of the Special Economic District designation enacted in the 2020 budget, and establishing and implementing a management and operation plan for the parks to be open in the Spring of 2020.

The sale of the I-195 surplus land to the Commission is a key element of the plan of finance for making the land usable for future development, thereby providing a means to pay back the supporting bonds, and advancing the economic success of the state.

Statutory History

R.I. General Laws §42-64.14 created the I-195 Redevelopment District Commission.

THE BUDGET

I-195 REDEVELOPMENT DISTRICT COMMISSION

	FY 2021 Actual	FY2022 Actual	FY2023 Current	FY 2024 Recommended
Operating Revenues				
State Grants	\$ 761,000	\$ 761,000	\$ 961,000	\$ 1,245,050
Rhode Island Capital Plan Fund	263,883	589,069	805,000	905,000
Debt Issuance				
Other Resources (Grants)	452,884	757,281	894,551	923,725
Total Operating Revenues	\$ 1,477,767	\$ 2,107,350	\$ 2,660,551	\$ 3,073,775
Expenditures				
Salaries, Wages and Benefits	\$ 427,548	\$ 693,951	\$ 791,442	1,055,126
Contracted Professional Services	834,539	1,067,926	1,591,411	1,679,317
Operating Supplies & Expenses	253,834	122,614	238,726	334,907
Cost of Issuance	-			
Capital Acquisition	-	-	-	
Total Expenditures	\$ 1,515,921	\$ 1,884,491	\$ 2,621,579	\$ 3,069,349
Net Income (Loss)	\$ (38,154)	\$ 222,859	\$ 38,972	\$ 4,426

THE AGENCY

NARRAGANSETT BAY COMMISSION

Agency Mission

The mission of the Narragansett Bay Commission (“NBC”) is to maintain a leadership role in the protection and enhancement of water quality in Narragansett Bay and its tributaries by providing safe and reliable wastewater collection and treatment services to its customers at a reasonable cost and to ensure that the Field’s Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal clean air and clean water acts.

Agency Description

The Narragansett Bay Commission was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field’s Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, NBC’s fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

NBC owns and operates Rhode Island’s two largest wastewater treatment facilities, 110 miles of sewer interceptors, 65 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,730 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

NBC has a \$507.1 million five-year capital improvement budget for fiscal years 2021-2025. The NBC is governed by a 19-member Board and is organized into five divisions: the Administration, Construction and Engineering, Finance, Operations and Maintenance, and Environmental Science and Compliance divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, NBC is responsible for reducing the amount of combined sewer overflow to local waterways within its service area.

Statutory History

R. I. General Laws § 46-25 relates to the Narragansett Bay Commission; RIGL § 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and RIGL § 46-25.2 relates to future acquisitions of wastewater treatment facilities.

THE BUDGET

NARRAGANSETT BAY COMMISSION

	FY 2021 Actual	FY 2022 Actual	FY 2023 Budget	FY 2024* Recommended
Expenditures by Object				
Personnel	\$ 25,234,834	\$ 25,948,423	\$ 29,013,109	\$ 29,883,502
Operating Supplies & Expenses	15,565,785	17,277,372	20,151,337	\$ 20,755,877
Special Services	1,592,497	1,692,970	2,257,500	\$ 2,325,225
Subtotal Operating Expenditures	\$ 42,393,116	\$ 44,918,765	\$ 51,421,946	\$ 52,964,604
Debt Service	43,382,454	41,105,346	41,154,037	43,554,340
Total Expenditures	\$ 85,775,570	\$ 86,024,111	\$ 92,575,983	\$ 96,518,944
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	\$ 25,234,834	\$ 25,948,423	\$ 29,013,109	\$ 29,883,502
Operating Supplies & Expenses	15,565,785	17,277,372	20,151,337	20,755,877
Special Services	1,592,497	1,692,970	2,257,500	2,325,225
Debt Service	43,382,454	41,105,346	41,154,037	43,554,340
Total Expenditures	\$ 85,775,570	\$ 86,024,111	\$ 92,575,983	\$ 96,518,944

FY 2021 Actuals taken from NBC's audited financial statements.

FY 2022 Actuals taken from NBC's audited financial statements.

FY 2023 taken from NBC's approved budget.

* FY 2024 information has neither been reviewed nor approved by the Narragansett Bay Commission's Board of Commissioners as it is compiled prior to the NBC's formal development of its FY 2024 budget.

THE AGENCY

QUONSET DEVELOPMENT CORPORATION

Agency Mission

The Quonset Development Corporation (“QDC”) develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The QDC ensures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The QDC develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Agency Description

The Quonset Development Corporation is a real estate development and management company organized as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

The QDC’s Board of Directors consists of eleven members. The Executive Director of the Rhode Island Commerce Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Statutory History

The QDC was created in 2004 by R.I. General Laws §42-64-10, as a subsidiary of the Rhode Island Commerce Corporation, formerly known as the Economic Development Corporation.

THE BUDGET

QUONSET DEVELOPMENT CORPORATION

	FY2021 Actual	FY 2022 Actual	FY 2023 Revised	FY 2024 Proposed
Opening Balance:	\$ 19,850,859	\$ 22,413,032	\$ 11,751,448	\$ 6,189,000
Revenues from Operations				
Rental Income	\$ 11,885,472	\$ 16,537,561	\$ 11,266,469	\$ 14,325,000
Pier Income	1,685,859	1,481,460	1,400,000	1,500,000
Utility Sales	4,102,050	4,313,434	4,325,000	5,125,000
Other Income	655,200	1,300,572	845,000	875,000
Investment Income	7,949	14,588	15,000	15,000
Total Revenue from Operations	\$ 18,336,530	\$ 23,647,615	\$ 17,851,469	\$ 21,840,000
Other Revenue				
Revenue Bond - Drawdown	\$ 4,832,251	\$ 7,970,860	\$ -	\$ -
GO Bonds- State of Rhode Island	12,438,444	14,310,663	1,000,000	8,000,000
SFRF			6,000,000	19,360,000
Contributions in Aid of Construction	16,263,944	13,044,911	4,000,000	400,000
Rhode Island Capital Plan Fund -		6,000,000		
Total Other Revenue	\$ 33,534,639	\$ 41,326,434	\$ 11,000,000	\$ 27,760,000
Total Resources	\$ 71,722,028	\$ 87,387,081	\$ 40,602,917	\$ 55,789,000
Expenses				
Personnel Expenses	\$ 4,882,541	\$ 5,096,864	\$ 5,485,700	\$ 5,790,900
Operating Expenses	5,500,382	5,921,557	7,477,700	9,464,200
Debt Service	3,578,227	1,903,507	2,690,000	2,900,000
Other Expenses(Includes Interest Exp)	948,566	1,089,555	1,072,500	1,192,000
Transfer to (from) State of RI	-	(1,200,000)	-	-
Gain (Loss) on disposal of Asset	(2,315,850)	178,015	-	-
Public Works Capital Expenditures	3,180,491	7,192,149	2,690,000	2,400,000
Quonset Capital Infrastructure	33,534,639	55,453,986	14,998,017	30,460,000
Total Capital Expenditures [1]	36,715,130	62,646,135	17,688,017	32,860,000
Closing Balance	\$ 22,413,032	\$ 11,751,448	\$ 6,189,000	\$ 3,581,900
Closing Balance	\$ 49,308,996	\$ 75,635,633	\$ 34,413,917	\$ 52,207,100
Closing Balance Breakdown				
Obligated Federal Grant Match	\$ 7,002,196	\$ 6,252,517	\$ 2,700,000	\$ -
Revenue Bond Funds Held by Trustee	\$ 7,970,864	\$ 445,500	\$ -	\$ -
Reserve Fund	\$ 1,000,000	\$ 1,001,224	\$ 1,002,400	1,003,600
Private Party Deposits	\$ 4,000,488	\$ 3,172,051	\$ 1,386,157	1,170,526
Municipal Services Fund	\$ 1,701,146	\$ 759,874	\$ 1,079,874	1,329,874
Cash Balance	\$ 738,338	\$ 120,282	\$ 20,569	\$ 77,900

^[1] To be used for Capital Improvement Projects as well as other internal capital requirements. Projects may already be under contract.

THE AGENCY

RHODE ISLAND AIRPORT CORPORATION

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Commerce Corporation (Commerce RI) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and Commerce RI, and having many of the same powers and purposes of Commerce RI. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the Rhode Island T.F. Green International Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of Commerce RI.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year. The debt service related to historical general obligation bonds that were issued by the State of Rhode Island for airport related projects is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements. These funds typically are 90% - 100% of eligible project costs.

THE BUDGET

RHODE ISLAND AIRPORT CORPORATION

	FY 2021 (d) Actual	FY 2022 Actual	FY 2023 Budget	FY 2024 (a) Recommended
Revenue				
Passenger Airline Revenues	14,893,900	17,363,800	\$ 16,054,200	\$ 13,800,000
Landing Fees - Cargo	618,100	499,300	566,700	584,000
General Aviation	379,000	516,400	471,900	486,000
Fuel Flowage Fees	883,700	1,308,600	1,134,700	1,169,000
Tiedown & Hangar Fees	1,765,800	1,993,900	1,884,900	1,941,000
Aircraft Registration	21,700	18,200	16,400	17,000
Concessions	1,512,400	2,033,100	2,879,300	2,966,000
Miscellaneous Revenues	109,900	137,500	131,400	135,000
Utilities Reimbursement	181,600	231,800	254,200	262,000
Rent - Non Airlines	706,800	719,700	706,100	727,000
Automobile Parking, Net	4,830,900	11,510,000	11,496,000	13,695,000
Rental Car	3,870,600	6,810,700	6,829,800	7,035,000
Off Airport Courtesy Fees	508,900	1,135,800	1,148,400	1,183,000
Federal Grants (b)	12,134,000	12,408,300	11,906,900	10,210,000
Total Revenue	\$ 42,417,300	\$ 56,687,100	\$ 55,480,900	\$ 54,210,000
Expenses				
Personnel Expenses	14,262,700	14,984,600	17,483,800	17,982,700
Operating Expenses	10,636,200	13,374,600	14,820,400	15,265,000
Total Expenses	\$ 24,898,900	\$ 28,359,200	\$ 32,304,200	\$ 33,247,700
Net Income from Operations	\$ 17,518,400	\$ 28,327,900	\$ 23,176,700	\$ 20,962,300
General Aviation Airports				
Revenues	\$ 2,040,800	\$ 2,489,300	\$ 2,598,000	\$ 2,675,940
Operating Expenses	(3,869,500)	(3,641,800)	(4,436,000)	(4,569,100)
Quanset Real Estate Income, Net	897,100	887,500	901,000	854,000
GAA- Net Income (Loss)	\$ (931,600)	\$ (265,000)	\$ (937,000)	\$ (1,039,160)
Depreciation & Amortization	\$ 22,172,400	\$ 22,882,000	\$ 22,889,000	\$ 22,500,000
Net Income (Loss) after D&A	(\$ 5,585,600)	\$ 5,180,900	(\$ 649,300)	(\$ 2,576,860)
Air Service Marketing - Net	\$ -	(\$ 177,400)	(\$ 505,000)	\$ -
Non-Operating Income (Expense) (c)	\$ 24,178,900	\$ 24,270,200	\$ 17,309,000	\$ 38,061,800
Airports - Net Income	\$ 18,593,300	\$ 29,273,700	\$ 16,154,700	\$ 35,484,940
Interlink Facility - Net Income (Loss)	(\$ 6,190,000)	(\$ 4,072,500)	(\$ 3,455,700)	(\$ 2,902,400)
RIAC - Net Income	\$ 12,403,300	\$ 25,201,200	\$ 12,699,000	\$ 32,582,540

(a) The information presented for FY 2024 has neither been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change.

(b) FY 2023 Budget includes \$11,680,900 COVID Stimulus Funds, and FY2024 Recommended includes \$10,000,000 COVID Stimulus Funds

(c) Included in this line are Federal Grant income and PFC income which are restricted for use on approved capital project costs and cannot be used for airport operations.

(d) Certain amounts have been restated in FY 2021 due to the adoption of GASB Statement No. 87, Leases.

THE AGENCY

RHODE ISLAND COMMERCE CORPORATION

Agency Mission

The Rhode Island Commerce Corporation's (the "Commerce Corporation") mission is to enhance Rhode Island's business climate; retain and create jobs; help existing Rhode Island businesses succeed; identify opportunities to attract new companies and investment into the state and help develop our workforce to meet the needs of a 21st century economy.

The Commerce Corporation, in partnership with industry, civic and government leaders, is advancing an economic strategy focused on fostering innovation and advanced industries, expanding and recruiting businesses, attracting tourists through a statewide marketing campaign, and equipping our workforce to succeed in the global economy.

Agency Description

The Commerce Corporation is the official, full service, economic development organization for the State of Rhode Island. A quasi-public agency, the Commerce Corporation serves as a government and community resource to help streamline business expansion in, and relocation to, Rhode Island. The agency assists companies with commercial real estate, business financing, workforce training, and other relevant issues. It is led by a board of directors, which includes the Governor, who serves as chair. Other board members include leaders from Rhode Island's business and labor communities as well as academic and healthcare institutions. The Governor appoints all twelve members. The board oversees the development and implementation of all state-level economic development initiatives and works with the Executive Director to advance the agency's objectives.

Statutory History

The Rhode Island Economic Development Corporation was created in 1995 by R.I General Laws §42-64, replacing the former Department of Economic Development and the Rhode Island Port Authority.

Effective January 1, 2014, the name Rhode Island Economic Development Corporation was changed to the Rhode Island Commerce Corporation per RIGL §42-64-1.1.

The Commerce Corporation falls under the purview of the Secretary of Commerce per RIGL §42-64.19-6.

THE BUDGET

RHODE ISLAND COMMERCE CORPORATION

	FY2021 Actual	FY2022 Actual	FY2023 Projected*	FY2024 Projected*
State Appropriation	\$ 7,431,022	\$ 7,659,565	\$ 7,947,778	\$ 9,026,713
Total State Appropriations	\$ 7,431,022	\$ 7,659,565	\$ 7,947,778	\$ 9,026,713
Other Revenues				
Hotel Tax Revenue	\$ 3,031,364	\$ 6,179,118	\$ 5,600,000	\$ 5,600,000
Finance Program Reimbursements	333,026	474,358	474,358	474,358
Federal Grant Reimbursements ^[1]	313,474	329,000	396,300	396,300
Other	2,642,623	3,101,035	3,172,621	3,184,595
Total Other Revenues	\$ 6,320,487	\$ 10,083,511	\$ 9,643,279	\$ 9,655,253
TOTAL SOURCES (Cash, Revenues)	\$ 13,751,509	\$ 17,743,076	\$ 17,591,057	\$ 18,681,966
Total Operations (Personnel & Operating)	\$ 18,425,728	\$ 14,701,888	\$ 16,765,444	\$ 17,844,379
Grant/Partnership Expenses	4,419,095	4,460,104	4,460,104	4,460,104
Total Expenses	\$ 22,844,823	\$ 19,161,992.00	\$ 21,225,548	\$ 22,304,483
Operating Surplus/(Deficit)	\$ (9,093,314)	\$ (1,418,916)	\$ (3,634,491)	\$ (3,622,517)
Pass-Through (only) Grants				
State				
STAC Research Alliance (EP Score)	\$ 900,000	\$ 900,000	\$ 900,000	\$ 900,000
Innovative Matching Grants	1,000,000	1,000,000	1,000,000	1,000,000
Renewable Energy Fund (RGGI)	2,300,000	2,628,789	2,500,000	2,500,000
Airport Impact Aid	1,010,036	1,000,102	1,010,036	1,010,036
Chafee Center at Bryant	476,200	476,200	476,200	476,200
Polaris Manufacturing Tech. Assist. Program	350,000	350,000	450,000	350,000
East Providence Waterfront Commission	50,000	50,000	50,000	50,000
Urban Ventures (Designated Grant)	140,000	140,000	140,000	140,000
Municipal Infrastructure Bank Match	-	1,000,000	2,500,000	-
Oscar Program-RI Infrastructure Bank	-	-	4,000,000	-
Other (Working Cities, AICU, Warwick Station)	-	-	-	-
Total	\$ 6,226,236	\$ 7,545,091	\$ 13,026,236	\$ 6,426,236
Federal				
MARAD	\$ -	\$ -	\$ -	\$ -
DOD SteamEngine II	-	-	-	-
PTAC	313,474	329,000	396,300	396,300
Brownsfield Grant	-	-	-	-
State Small Business Credit Initiative	-	-	-	-
Total	\$ 313,474	\$ 329,000	\$ 396,300	\$ 396,300

^[1] Approximate balance; variances may exist due to cash budget vs. accrual accounting methods

^[1] Federal: Personnel and indirect cost reimbursements as allowable, prime recipient only

*Not Board Approved

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THE AGENCY

RHODE ISLAND CONVENTION CENTER AUTHORITY

Agency Objectives

The Authority manages and operates the convention center complex, parking facilities, the Vets and the Dunkin' Donuts Center and is responsible for attracting events to capture and promote positive economic impact for the City and the State. In 2014, the General Assembly directed and authorized the Authority to develop and operate a new 1250 space parking facility on State owned land adjacent to the I-195 redevelopment district.

Agency Description

The Rhode Island Convention Center Authority (the "Authority") was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of the government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the Mayor of the City of Providence and one appointed by the Providence City Council.

The Authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The Authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee.

In March 2020 the COVID-19 pandemic took hold in Rhode Island. Governor Raimondo issued executive orders closing all gathering places and requiring social distancing. The Convention Center was asked to serve as an Alternate Care Facility during FY 2021. The building was converted to a hospital and taken over by Lifespan to be used in the event that existing hospitals were unable to handle the number of affected individuals. The South Garage of the Convention Center hosted a testing site for the virus.

The Authority's primary venues, the Rhode Island Convention Center and the Dunkin' Donuts Center, have faced stiff competition for patrons and events. The industry trend is to expand existing facilities or rebuild. In order to remain competitive, the facilities need to be state-of-the-art and in pristine condition. Doing so requires major renovations and upgrades.

Major tenants of the Dunkin' Donuts Center are the American Hockey League Providence Bruins and the National Collegiate Athletic Association Providence College Friars.

The Authority continues the management of the Veterans' Memorial Auditorium rebranded in FY 2011 as "The Vets." The Vets is a premier facility for fine arts, educational and children's programming.

Statutory History

The Rhode Island Convention Center Authority was established by R.I General Laws § 42-99.

THE BUDGET

RHODE ISLAND CONVENTION CENTER AUTHORITY BUDGET

	FY2021 Actual	FY2022 Actual	FY2023 Revised	FY2024 Proposed
Operating & Non-operating Resources				
Opening cash balances	\$3,160,787	\$ 3,334,697	#####	\$ 9,952,367
RICC operations	2,130,647	10,556,171	13,742,272	13,837,085
COVID-19 operations	14,108,120	2,238,423	-	-
AMP operations	13,153	6,997,280	8,806,658	9,064,050
Vets operations	652,277	3,751,518	4,320,416	4,461,956
Vets PPP loan proceeds	121,925	-	-	-
Vets SVOG proceeds	-	2,633,275	-	-
CSG operations	1,503,586	2,401,536	2,409,415	2,645,752
Operating advance from State	-	4,850,000	-	-
SFRF award - operating	-	-	1,000,000	-
Transfer from R&R, net	-	5,080,455	-	-
Investment income	97	808	500	1,000
Miscellaneous revenues	37,725	132,455	48,610	49,520
Total Operating & Non-operating Resources	21,728,317	41,976,618	41,782,574	40,011,730
Operating & Non-operating Expenditures				
Authority operations	2,252,321	2,194,544	2,203,722	2,372,552
RICC operations	4,350,431	9,963,456	13,561,560	13,624,800
COVID-19 operations	5,387,609	474,413	-	-
AMP operations	1,889,961	7,070,383	9,189,485	8,820,301
Vets operations	1,081,575	4,088,682	4,466,025	4,571,471
CSG operations	231,184	412,976	759,868	807,939
CSG debt service contribution	1,086,347	1,467,461	1,649,547	1,837,813
CSG R&R contribution	195,980	-	-	-
Repayment of operating advance to State	-	4,850,000	-	-
Transfer to R&R, net	1,918,212	-	-	-
Total Operating & Non-operating Expenditures	18,393,620	30,521,915	31,830,207	32,034,876
Final Operating & Non-operating Cash Balances (Deficit)	\$3,334,697	\$ 11,454,703	\$9,952,367	\$ 7,976,854
Capital & Debt Resources				
Opening cash balances	\$ 971,894	\$ 3,166,857	\$5,178,247	\$ 9,784,241
Investment income	770,664	4,769	500	1,000
RICC bond proceeds	32,170,000	-	-	-
State appropriation - RICC & AMP debt service	18,899,774	18,803,143	24,685,612	24,504,680
State appropriation - RICC debt service savings for capital	3,998,031	4,090,519	-	-
State appropriation - CSG debt service, R&R	-	298,401	105,494	108,659
State appropriation - CSG debt service, net	1,940,012	1,557,199	1,378,513	1,190,169
CSG debt service contribution from operations	1,086,347	1,467,461	1,649,547	1,837,813
CSG R&R contribution from operations	195,980	-	-	-

THE BUDGET

RHODE ISLAND CONVENTION CENTER AUTHORITY BUDGET

	FY2021 Actual	FY2022 Actual	FY2023 Revised	FY2024 Proposed
COVID-19 alternate care facility capital	246,492	-	-	-
COVID-19 capital	64,935	-	-	-
State appropriation - RICC RICAP	1,000,000	2,000,000	7,350,000	10,237,500
State appropriation - AMP RICAP	105,449	2,300,000	8,150,000	6,212,500
State appropriation - Vets RICAP	91,157	285,000	765,000	100,000
SFRF award - capital, net	-	-	8,997,000	-
Transfer from operations, net	1,918,212	-	-	-
Total Capital & Debt Resources	63,458,947	33,973,349	58,259,913	53,976,562
Capital & Debt Expenditures				
RICC debt service	11,995,703	11,898,306	17,778,786	17,597,020
Bond refunding - RICC	32,013,491	-	-	-
Bond issuance costs - RICC	156,379	-	-	-
AMP debt service	6,904,158	6,904,838	6,906,826	6,907,660
CSG debt service	3,026,406	3,024,660	3,028,060	3,027,982
COVID-19 alternate care facility capital	-	-	-	-
RICC capital	446,145	1,641,045	9,097,000	11,987,500
AMP capital	2,043,604	104,661	10,275,000	8,337,500
Vets capital	-	74,002	1,390,000	725,000
CSG capital	3,706,204	35,814	-	-
Authority capital	-	31,321	-	-
Transfer to operations, net	-	5,080,455	-	-
Total Capital & Debt Expenditures	60,292,090	28,795,102	48,475,672	48,582,662
Final Capital & Debt Cash Balances	\$3,166,857	\$ 5,178,247	\$9,784,241	\$ 5,393,900

(1) Reported on a cash basis.

THE AGENCY

RHODE ISLAND HEALTH AND EDUCATIONAL BUILDING CORPORATION

Agency Mission

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Agency Description

The Rhode Island Health and Educational Building Corporation (the “Corporation”) is a non-business corporation and component unit of the State of Rhode Island. The Corporation provides tax-exempt bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the Corporation has assisted eligible institutions with over \$10.2 billion in financing without obligating the state’s credit.

Under the direction of a five-member board of directors, appointed by the Governor, the Corporation has assisted hospitals, nursing homes, mental health centers, health care providers, adult day care centers, visiting nurse associations, private secondary schools, local educational authorities, colleges and universities in obtaining low-cost financing.

The Corporation receives no state appropriations for its operations.

The School Building Authority (“SBA”) was created in 2015 with the Corporation being designated administrator of the SBA’s Capital Fund. The purpose of the SBA is to provide funding for high-priority projects to local education authorities. The operations of the SBA are funded through state appropriations.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38.1 (as amended).

The School Building Authority Capital Fund was created by the General Assembly in 2015, with the Corporation’s duties and powers, as administrator, defined by R.I.G.L. 45-38.2 (as amended).

THE BUDGET

RHODE ISLAND HEALTH & EDUCATIONAL BUILDING CORPORATION

	FY 2021 Actual	FY 2022 Actual	FY 2023 Projected	FY 2024 Proposed
Operating Revenues				
Administrative Fees	\$ 2,542,465	\$ 2,356,167	\$ 2,488,145	\$ 2,523,000
Interest Earned on Loans Receivable	15,602	13,497	12,366	21,235
Total Operating Revenues	\$ 2,558,067	\$ 2,369,664	\$ 2,500,511	\$ 2,544,235
Operating Expenses				
Administrative	\$ 1,203,498	\$ 1,118,689	\$ 1,491,918	\$ 1,317,830
Grants	540,003	528,495	1,771,581	-
Depreciation	8,437	109,181	7,023	108,481
Total Operating Expenses	\$ 1,751,938	\$ 1,756,365	\$ 3,270,522	\$ 1,426,311
Non-Operating Revenues (Expenses)				
Net Investment Income	\$ 6,606	\$ 22,271	\$ 70,350	\$ 200,000
Other Income	13,181	27,914	-	14,852
Interest Expense	-	(14,967)	-	(8,766)
Loss on Disposal of Capital Assets	(2,214)	-	-	-
Payment to State	-	-	-	-
Payment to RIDE/SBA	(677,945)	(257,613)	(370,000)	(370,000)
Total Non-Operating Revenues	\$ (660,372)	\$ (222,395)	\$ (299,650)	\$ (163,914)
Change in Net Position	\$ 145,757	\$ 390,904	\$ (1,069,661)	\$ 954,010
Net Position- End of Year	\$ 8,685,813	\$ 9,076,717	\$ 8,007,056	\$ 8,961,066

THE AGENCY

RHODE ISLAND HOUSING AND MORTGAGE FINANCE CORPORATION

Agency Mission

To provide, improve and expand housing and housing-related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing-related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs.

Agency Description

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven-member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities; to provide construction and mortgage loans; and to make grants in regard to housing needs. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator for various programs; technical assistance in the housing area to other governmental entities; and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation also has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under R.I. General Laws §42-55.

THE BUDGET

RHODE ISLAND HOUSING & MORTGAGE FINANCE CORPORATION

	FY 2021 Actual	FY 2022 Actual	FY 2023 Budget	FY 2024 Recommended**
Expenditure Report				
Personnel Services	23,835,459	28,094,535	30,886,394	28,000,000
Other Administrative Expenses	10,059,949	24,260,653	15,362,205	12,000,000
Programmatic Expenses	3,280,153	4,323,813	2,700,000	3,000,000
Provision for Loan Loss	1,239,330	1,829,727	1,000,000	2,000,000
Amortization and Depreciation	2,518,854	2,936,626	3,594,000	3,000,000
Total Expenditures	40,933,745	\$ 61,445,354	\$ 53,542,599	\$ 48,000,000

**Amounts not yet reviewed/approved by Board of Commissioners

*The information for FY 2021 and FY 2022 has not yet been reviewed or approved by the Board of Commissioners of Rhode Island Housing and Mortgage Finance Corporation and is subject to change.

THE AGENCY

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

Agency Mission

The Rhode Island Industrial Facilities Corporation's (the "Corporation") objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Agency Description

The Corporation is a public body corporate of the State of Rhode Island and obtained this designation and authority from Section 45-37.1 of the General Laws. The Corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on behalf of Rhode Island companies seeking financing. The role of the Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the Corporation. The Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by R.I. General Laws §45-37.1.

THE BUDGET

RHODE ISLAND INDUSTRIAL FACILITIES CORPORATION

		FY2021		FY2022		FY2023		FY2024
		Actual		Actual		Projected		Projected
Receipts								
Bond Fees	\$	53,490	\$	51,043	\$	50,000	\$	50,000
Commitment Fees		47,325		15,883		-		-
Other		3,000		-		1,000		1,000
Interest		2		-		-		-
Total Receipts	\$	103,817	\$	66,926	\$	51,000	\$	51,000
Expenses								
Administration	\$	28,421	\$	7,083	\$	5,000	\$	5,000
Insurance		19,082		22,381		25,000		25,000
Other		1,035		309		-		-
Legal and Audit		46,541		13,543		15,000		15,000
Total Expenses	\$	95,079	\$	43,316	\$	45,000	\$	45,000
Net	\$	8,738	\$	23,610	\$	6,000	\$	6,000

THE AGENCY

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

Agency Mission

The Rhode Island Industrial-Recreational Building Authority (the “Authority”) promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Agency Description

The Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the Authority. As required by statute, the Authority maintains a first security position in all projects. As of June 30, 2011, the total amount of mortgage insurance issued cannot exceed \$60,000,000 in the aggregate.

The State of Rhode Island, according to R.I. General Laws §42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Statutory History

The Authority was created in 1981 by R.I. General Laws §16-62. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the General Treasurer (ex-officio).

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by RIGL §42-34.

THE BUDGET

RHODE ISLAND INDUSTRIAL-RECREATIONAL BUILDING AUTHORITY

	FY2021 Actual	FY2022 Actual	FY 2023 Projected	FY 2024 Projected
Receipts				
Premiums	\$ 120,335	\$ 82,711	\$ 80,000	\$ 75,000
Commitment & Application Fees	24,450	29,750	25,000	20,000
Interest	318	880	800	800
Total Receipts	\$ 145,103	\$ 113,341	\$ 105,800	\$ 95,800
Expenses				
Administration	\$20,114	\$5,254	\$5,000	\$5,000
Legal and Audit [1]	49,150	45,500	45,000	45,000
Insurance	\$ 37,874	\$ 41,217	\$ 42,000	\$ 42,000
Total Expenses	\$107,138	\$91,971	\$92,000	\$92,000
Operating Income (Loss)	\$ 37,965	\$ 21,370	\$ 13,800	\$ 3,800
Est. Loss-Default	\$ (199,531)	\$ -	\$ -	\$ -
Net	\$ 237,496	\$ 21,370	\$ 13,800	\$ 3,800

^[1] Legal fees increased due to litigation related to CAPCO.

THE AGENCY

RHODE ISLAND INFRASTRUCTURE BANK

Agency Mission

Rhode Island Infrastructure Bank's (the "Bank") mission is to actively support and finance investments in Rhode Island's infrastructure. The Bank does so through a variety of means, including the issuance of bonds, originating loans, and making grants, and the engagement with and mobilization of sources of public and private capital. Through its thought leadership, innovation, and financing activities, the Bank fosters infrastructure improvements that create jobs, promote economic development and enhance the environment.

Agency Description

The Bank was established in 1989 by the General Assembly, under Chapter 46-12.2 of the Rhode Island General Laws (1986), as amended. While the Bank is a body politic and corporate and public instrumentality of the State, it has a distinct legal existence separate from the State which does not constitute a department of the State government. However, the Bank is considered a component unit of the State and, accordingly, its financial statements are incorporated with and into the financial statements of the State.

Consistent with the Bank's mission of serving as Rhode Island's central hub for financing infrastructure improvements for municipalities, businesses, and homeowners, the Bank is focused on delivering innovative financing for an array of infrastructure-based projects. In addition to the Bank's legacy clean water (and its companion residential-based lending for the community septic system loan program and the sewer tie-in loan fund), drinking water, and municipal road and bridge programs, the Bank also supports energy efficiency and renewable energy (including the Property Assessed Clean Energy (PACE) program), storm water and climate resiliency, brownfield remediation, water quality protection investing, clean energy, climate adaptation and resilience, and municipal infrastructure.

Statutory History

R.I General Laws §46-12.2 is the Bank's enabling legislation and established the Clean Water SRF, while RIGL §46-12.8 establishes the Drinking Water SRF. RIGL §24-18, enacted in 2013, established the Municipal Road and Bridge Revolving Fund. In 2015, legislation was enacted to: (i) amend RIGL §46-12.2 to authorize the Bank to develop and administer the Efficient Buildings Fund; (ii) authorize the Bank to develop and administer the PACE program under RIGL §39-26.5; and (iii) authorize the Bank to develop and administer the Brownfields Revolving Fund under RIGL §23-19.16. In March 2015, in accordance with amendments to RIGL §46-15.1, §46-15.3 and §46-12.2 enacted in 2009, the Bank assumed the authorities and duties of the Water Resources Board Corporate, pursuant to which the Bank began to collect and administer certain water quality protection charge funds.

THE BUDGET

RHODE ISLAND INFRASTRUCTURE BANK

	FY2021 Actual	FY2022 Actual	FY 2023 Budget	FY 2024 Proposed
Revenue				
Interest and Investment Income	\$ 23,219,789	\$ 21,111,450	\$ 22,984,000	\$ 24,133,200
Operating Grant Income	246,707	100,000	100,000	105,000
Loan Service Fees	5,445,128	5,162,994	4,829,000	5,070,450
Loan Origination Fees	838,731	1,180,552	1,692,000	1,776,600
Total Revenues	\$ 29,750,355	\$ 27,554,996	\$ 29,605,000	\$ 31,085,250
Operating Expenses				
Interest and Finance Expenses	\$ 17,136,574	\$ 14,025,779	\$ 8,910,000	\$ 9,355,500
Loan Principal Forgiveness	2,705,141	3,867,023	4,375,000	4,593,750
Administrative Expenses	4,712,934	4,284,355	6,656,000	6,988,800
Administrative Fees - DEM	550,398	279,828	813,920	854,616
Administrative Fees - DOH	352,237	355,091	760,628	798,659
DOH Set-Aside Programs	2,089,146	2,626,159	5,530,119	5,806,625
Total Operating Expenses	\$ 27,546,430	\$ 25,438,235	\$ 27,045,667	\$ 28,397,950
Other Revenues (Expenses)				
Federal & State Capitalization Grants	\$ 25,366,329	\$ 54,254,072	\$ 24,887,000	\$ 26,131,350
Transfers to State of Rhode Island	-	-	-	-
Excess Revenues over Expenses	\$ 27,570,254	\$ 56,370,833	\$ 27,446,334	\$ 28,818,650

THE AGENCY

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Agency Mission

As the statewide public transit organization, the Rhode Island Public Transit Authority (“RIPTA”) aims to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. Key mobility strategies include: transit design and service; efforts to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Agency Description

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs, with emphasis on Rhode Island’s families, children, transit-dependent populations, and elderly and disabled residents. RIPTA operates a fixed-route fleet of 240 buses and trolleys. The authority’s main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state’s paratransit fleet currently includes 89 vans. In FY 2019, 16.4 million passengers were carried on RIPTA’s fixed-route bus service and an additional 384,218 passengers were transported on the state’s coordinated paratransit service. FY 2019 was also the second full year where RIPTA’s Van Pool service was operational, providing 17,990 trips to and from work.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I. General Laws § 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

THE BUDGET

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

	FY2021 Actual	FY2022 Actual	FY2023 Revised	FY 2024 Recommended
Revenue				
Passenger Revenue	\$ 15,565,033	\$ 19,420,222	\$ 22,962,037	\$ 23,633,165
Special Project - Local/Fed	252,003	22,373	500,000	1,184,500
Other Revenue	15,550,366	5,708,431	7,173,128	8,783,369
Federal Funds	26,824,887	25,914,137	35,233,767	34,628,657
Federal Emergency Relief (CARES)	34,766,587	15,310,661	30,241,240	29,621,043
RI Gasoline Tax (1)	39,681,075	41,786,019	41,302,863	40,295,892
RI Department of Human Services (2)	813,663	813,663	1,128,638	1,153,610
RI Highway Maintenance Account	6,298,951	3,536,525	4,649,905	4,890,328
Contributed Capital (3)	19,919,636	6,102,807	-	-
Total Revenue	\$ 159,672,201	\$ 118,614,838	\$ 143,191,577	\$ 144,190,564
Expenses				
Salaries & Benefits (4)	\$ 80,481,706	\$ 79,037,267	\$ 102,941,017	\$ 101,783,417
Salaries & Benefits - Federal	12,928,386	8,656,080	-	-
Contract Services	8,584,936	2,665,786	11,129,259	12,735,926
Contract Services - Federal	1,523,005	2,196,049	-	-
Operating Expenses	12,996,061	12,914,154	24,480,854	24,683,597
Operating Expenses - Federal	3,901,174	4,327,361	-	-
Utilities	1,505,836	1,667,527	1,742,793	1,779,391
Utilities - Federal	7,508	5,220	-	-
Special Project - Local/Fed	239,779	-	500,000	1,184,500
Capital Match	-	1,569	1,215,291	901,529
Debt Service (5)	422,791	402,470	1,182,364	1,122,203
Depreciation (6)	18,041,998	16,766,877	-	-
Total Expenses (5)	\$ 140,633,180	\$ 128,640,361	\$ 143,191,577	\$ 144,190,563
Net Income/(Loss)	\$ 19,039,021	\$ (10,025,523)	\$ 0	\$ 0

For FY23, the Authority updated its budget process to better explain differences between the budget basis and accounting basis. Those footnotes are explained below:

- (1) Gas Tax amount estimated by Department of Revenue.
- (2) Gas Tax funding provided through the Department of Human Services for the RIDE Program.
- (3) Contributed Capital (state and federal funds received for capital expenditures) are not budgeted, but are reflected in FY 2022 results.
The Authority only has the resources to pay retiree health claims as they arise and as a result there is no fund for unfunded liability of other post-employment benefits (OPEB). Retiree health costs in FY22 were \$2,047,441 and the remaining portion for the recommended contribution (\$5,382,440), was not made. The total unfunded OPEB liability as of June 30, 2022 is \$64,665,633.
- (5) In addition to interest expense, RIPTA budgets the repayment of bond principal. Actual results only reflect the interest expense.
- (6) Depreciation expense is not budgeted, only operating funds to be used for capital expenditures. Capital Expenditures were \$24,264,797 in FY 2021 and \$6,523,545 in FY 2022 and are not reflected in the table above.
- (7) Actual results reflect changes in liabilities that are not budgeted and do not reflect cash outflows (legal, pension, and OPEB).

THE AGENCY

RHODE ISLAND RESOURCE RECOVERY CORPORATION

Agency Mission

The Rhode Island Resource Recovery Corporation's (the "Corporation") mission is to provide safe, environmentally compliant, clean and affordable solid waste and recycling services for the State of Rhode Island. It aims to provide the utmost in protection of public health and the environment while working towards having no impacts on the quality of life in the surrounding neighborhoods. The Corporation sets an example of being a good neighbor by minimizing the impacts of its operations on the surrounding community while setting high industry standards for recycling and waste disposal. The Corporation seeks the best mix of public and private processing, recycling and disposal systems, programs, and facilities for both commercial and municipal waste to meet Rhode Island's needs (R.I. General Laws § 23-19-1.1)

Agency Description

The Corporation's 1,200-acre facility in Johnston is home to five major operations.

- **Central Landfill** – The Central Landfill is the centerpiece of the Corporation's integrated waste management system. It provides disposal services to about 97 percent of the state's residents. Currently, about 2,200 tons of trash are buried in the landfill each working day. At current loading rates, the Central Landfill will reach capacity in 2040 - 2042. All operations are conducted utilizing innovative technology. With a protective baseliner, daily cover on trash, the capture and treatment of leachate (wastewater), conversion of gas into energy, and a final capping system, the landfill is a feat of environmental engineering.
- **Materials Recycling Facility** – the Corporation's Material Recycling Facility processes both residential and commercial single stream recyclables. Every day, approximately 90 trucks deliver roughly 420 tons of material to the MRF. After sorting, these materials are shipped around the globe where they are remanufactured into a wide variety of products— saving money, conserving natural resources, and extending the life of the Central Landfill.
- **Composting** – The Corporation operates a composting program that processes roughly 40,000 tons of leaf and yard debris each year preventing it from having to be landfilled. The resulting product is designated RI Class "A" and is certified for use in organic growing.
- **Small Vehicle Area** – The Corporation's Small Vehicle Area offers easy drop off access to special/bulky item recycling, as well as disposal. Accepted materials include but are not limited to appliances, e-waste, bulky rigid plastics, waste oils, scrap metal, textiles and tires.
- **Eco Depot** – Eco-Depot is the name of the free service for disposing of residential household hazardous waste. Accepted materials include but are not limited to batteries, gasoline, oil and latex paints, and fluorescent bulbs. Since Resource Recovery began the program in 2001, we have offered more than 692 collections, served over 182,000 Rhode Islanders, and safely recycled or disposed of approximately 13.2 million pounds of household hazardous waste

Statutory History

R.I. General Laws § 23-19 defines the programs that are required of the Corporation.

THE BUDGET

RHODE ISLAND RESOURCE RECOVERY CORPORATION

	FY2021 Actual (restated)	FY2022 Actual	FY2023 Revised	FY 2024 ⁽¹⁾ Recommended
Revenues:	\$ 60,363,345	\$ 71,810,649	\$ 56,230,975	\$ 56,230,975
Expenses:				
Personnel Costs	\$ 15,016,989	\$ 16,335,361	\$ 17,285,103	\$ 17,412,006
Contractual Services	7,981,357	7,989,378	9,200,807	9,249,545
Utilities	1,885,505	2,092,686	2,680,000	2,733,600
Repairs & Maintenance	2,741,571	3,743,950	5,313,900	5,397,738
Other Supplies & Expenses	4,357,547	5,473,756	5,034,086	6,485,750
Grants to Municipalities for Recycling	180,168	160,067	312,300	318,371
Bad Debts	458,178	(152,408)	100,000	102,000
Provision for landfill closure & post-closure care & Superfund clean-up costs	9,512,929	7,889,427	3,734,500	3,738,340
Depreciation, Depletion & Amortization	13,267,311	14,149,210	12,004,525	12,957,207
Total Expenses	\$ 55,401,555	\$ 57,681,427	\$ 55,665,221	\$ 58,394,557
Income (Loss) from Operations	\$ 4,961,790	\$ 14,129,222	\$ 565,753	\$ (2,163,582)
Interest & Investment Revenue	\$ 3,169,335	\$ (7,917,202)	\$ 2,200,000	\$ 2,200,000
Interest Expense	(313,648)	(193,390)	(78,507)	-
Other Income (Expense)	203,033	(17,845)	-	-
Total Non-Operating Revenues (Expenses)	\$ 3,058,720	\$ (8,128,437)	\$ 2,121,493	\$ 2,200,000
Net Income (Loss) for the Year	\$ 8,020,510	\$ 6,000,785	\$ 2,687,246	\$ 36,418
Assets:				
Cash, Cash Equivalents & Investments	\$ 64,725,200	\$ 62,097,224	\$ 41,200,000	\$ 28,200,000
Accounts Receivable, Net	6,232,014	7,213,807	7,100,000	7,000,000
Property, Plant & Equipment, Net	94,622,438	106,652,983	119,700,000	126,400,000
Assets Held in Trust	127,507,419	123,572,578	128,900,000	134,250,000
Other Assets	3,715,505	4,184,954	7,050,000	6,350,000
Total Assets	\$ 296,802,576	\$ 303,721,546	\$ 303,950,000	\$ 302,200,000
Deferred Outflow of Resources	\$ 72,416	\$ 64,824	\$ 54,000	\$ 50,000
Total Assets	\$ 296,874,992	\$ 303,786,370	\$ 304,004,000	\$ 302,250,000
Liabilities				
Accounts Payable	\$ 8,835,425	\$ 7,832,988	\$ 7,700,000	\$ 7,650,000
Other Liabilities	739,309	553,678	550,000	750,000
Bonds/Notes Payable	10,803,890	6,160,223	1,393,000	1,143,000
Superfund Cleanup, Closure & Post-Closure Costs	124,136,854	130,808,010	133,250,000	131,650,000
Total Liabilities	\$ 144,515,478	\$ 145,354,899	\$ 142,893,000	\$ 141,193,000
Deferred Inflow of Resources	\$ 1,390,206	\$ 1,461,378	\$ 1,461,000	\$ 1,457,000
Retained Earnings	\$ 150,969,308	\$ 156,970,093	\$ 159,650,000	\$ 159,600,000
Total Liabilities & Retained Earnings	\$ 296,874,992	\$ 303,786,370	\$ 304,004,000	\$ 302,250,000

(1)

The FY2024 recommended budget reflects management's budget projections made in FY 2023. That budget has not been approved by the Corporation's Board of Commissioners. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables and closure/post closure liability estimates.

THE AGENCY

RHODE ISLAND STUDENT LOAN AUTHORITY

Agency Mission

As of June 30, 2021, the Authority held \$113,038,186 in Federal Family Education Loans and \$489,253,534 in non-federal state-based education loans. The bonds are not obligations of the State of Rhode Island and are solely an obligation of the Authority.

Agency Description

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an Act of the Legislature in May 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originated over \$67,000,000 in fiscal year 2021 of low-cost state-based education loans for students and parents pursuant to its enabling Act under its Rhode Island Fixed Rate Loan Program. In addition, the Authority originated approximately \$59,000,000 in refinanced loans helping students and families reduce their monthly education loan payments and interest rate as well as make repaying their loan easier. Since the Covid-19 pandemic started in March 2020, RISLA was the first student loan provider to offer repayment forbearances to borrowers economically impacted by the pandemic. RISLA also streamlined its income-based repayment program so borrowers could make affordable monthly payments.

The Authority provides several free college admission and financial aid services, through the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors was started in 1998 by the Authority. The CPC has moved all of its services to a virtual format during the pandemic. The CPC is dedicated to increasing access to higher education through the several free services it provides. The CPC provides parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that was used by over 8,890 Rhode Islanders in 2021. The CPC conducts virtual financial aid nights with most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form.

Other student loan benefits offered by RISLA include Internship and nurse educator rewards programs, which make direct payments to pay principal to eligible recipients' current student loan. In addition, RISLA provides numerous financial literacy seminars throughout the year and assists employers develop employee student loan repayment programs.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

THE BUDGET

RHODE ISLAND STUDENT LOAN AUTHORITY

	FY 2021 Actual	FY2022 Actual	FY2023 Revised	FY 2024 Recommended
Operating Revenues				
Student Loan Payments	\$ 33,614,000	\$ 32,127,000	\$ 33,888,000	\$ 35,963,927
Dept. of Education SAP Payments	(\$ 4,613,000)	(\$ 3,687,000)	(\$ 1,820,000)	(\$ 1,456,213)
Investments	\$ 68,000	\$ 203,000	\$ 1,123,000	\$ 1,516,581
Other	\$ 618,000	\$ 380,000	\$ 54,000	\$ 45,535
Total Operating Revenues	\$ 29,687,000	\$ 29,023,000	\$ 33,245,000	\$ 36,069,830
Expenditures				
Interest & Bond Expenses	\$ 14,668,000	\$ 14,254,000	\$ 17,311,000	\$ 20,041,566
Arbitrage Rebate Expense	(\$ 111,000)	\$ 122,000	\$ 33,000	\$ 45,000
Loan Servicing & Origination	\$ 3,766,000	\$ 3,912,000	\$ 4,924,000	\$ 5,022,480
Provision for Risk Share	\$ 1,018,000	\$ 2,122,000	\$ 2,818,000	\$ 2,677,100
Dept. of Ed Consolidation Loan Fee	\$ 410,000	\$ 348,000	\$ 285,000	\$ 250,800
Personnel	\$ 4,371,000	\$ 4,517,000	\$ 4,191,000	\$ 4,191,000
Depreciation	\$ 113,000	\$ 56,000	\$ 58,000	\$ 58,000
Total Operating Expenses	\$ 24,235,000	\$ 25,331,000	\$ 29,620,000	\$ 32,285,946
Excess Revenues over Expenses	\$ 5,452,000	\$ 3,692,000	\$ 3,625,000	\$ 3,783,884

THE AGENCY

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

Agency Mission

The Rhode Island Turnpike and Bridge Authority (the "Authority") is committed to maintaining and operating the bridges in a fiscally responsible manner which ensures their physical integrity and longevity, as these structures are integral to travel in the coastal area of Rhode Island and neighboring states.

Agency Description

The Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge, which was opened for traffic on June 28, 1969, and has been responsible for the operation and maintenance of the Mount Hope Bridge between Portsmouth and Bristol, and the Claiborne Pell Bridge between Newport and Jamestown, since 1964 and 1969 respectively. On April 25, 2013, the State of Rhode Island (the "State") transferred to the Authority custody, control and supervision of the land and improvements for the Jamestown Verrazano Bridge between North Kingstown and Jamestown, the Sakonnet River Bridge between Portsmouth and Tiverton, and Route 138 in Jamestown. Ownership and title of the Jamestown and the Sakonnet River Bridges and such portion of Route 138 remains with the State.

Day-to-day operations of the Authority are led by an Executive Director who oversees approximately 96 employees and reports to a five-member Board of Directors comprised of the Director of Transportation, who is a member ex-officio, and four members appointed by the Governor. Operating revenues and expenses result from providing services for the ongoing operations of the bridges and other facilities in its control. The principal operating revenues of the Authority are the collection of toll revenue from the users of the Claiborne Pell Bridge and, beginning on July 1, 2014, three and one-half (\$0.035) cents of motor fuels tax on each gallon sold in Rhode Island which has been annually appropriated by the General Assembly to the Authority. The Authority's debt service consists of revenue bond obligations issued in 2016 and 2019; the 2016 issuance maturing in 2042 and the two 2019 issuances maturing in 2039 and 2044. The Authority's debt is secured principally by said tolls and said gas tax appropriations. The proceeds of the Bonds have been used to fund the Authority's Capital Improvement Plan and to advance refund the Authority's previously issued 2010 revenue bond obligation. In connection with each issuance of Bonds, the Authority is entered into a Trust Indenture. Accounts of the Authority are maintained in compliance with the provisions of each Trust Indenture.

Statutory History

Title 24, Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. Article 20 of the 2013 Appropriations Act authorized the transfer of the Sakonnet River Bridge and the Jamestown Verrazano Bridge to the Authority. Article 21 of the 2015 Appropriations Act eliminated the authority to toll the Sakonnet River Bridge while allocating three and one-half cents (\$0.035) of the State's motor fuels tax to the Authority to be used for maintenance, operations, capital expenditures and debt service on any of its projects.

THE BUDGET

RHODE ISLAND TURNPIKE AND BRIDGE AUTHORITY

	FY2021 Actual	FY 2022 Actual	FY 2023 Budget	FY 2024 Proposed
Operating Revenues				
Tolls	\$ 19,058,932	\$ 23,845,764	\$ 21,606,416	\$ 24,322,679
Transponder Sales	228,279	307,389	264,000	260,000
Gas Tax Revenue	14,273,325	15,031,715	16,076,246	14,950,909
Fees	70,718	76,400	77,625	70,000
Total Revenue	\$ 33,631,254	\$ 39,261,268	\$ 38,024,287	\$ 39,603,588
Operating Expenses				
Personnel Services	\$ 5,528,624	\$ 5,475,626	\$ 6,141,725	\$ 6,387,394
Utilities	134,446	148,066	424,436	437,169
Contractual Services	1,577,435	1,276,216	1,398,720	1,412,707
Other Supplies and Expenses	1,202,195	1,643,263	1,372,767	1,386,495
Insurance	1,350,848	1,362,913	1,576,762	1,576,762
Repairs and Maintenance	819,632	678,984	957,618	976,770
Bridge Inspections	1,314,905	936,922	1,771,927	300,000
Transponder Expense	141,361	188,701	184,800	182,000
Depreciation	13,265,070	13,824,838	13,738,323	13,875,706
Total Expenses	\$ 25,334,516	\$ 25,535,529	\$ 27,567,078	\$ 26,535,004
Operating Income	\$ 8,296,738	\$ 13,725,739	\$ 10,457,209	\$ 13,068,585
Non-Operating Revenues (Expenses)				
Interest Expense	\$ (8,111,030)	\$ (7,859,157)	\$ (7,671,986)	\$ (7,451,858)
Amortization of Bond Premium	892,572	892,572	892,572	892,572
Amortization on Bond Discount	(901,677)	-	-	-
Investment Income (net of Trustee Fees)	26,777	(1,062,134)	50,000	50,000
Cost of Issuance Expenses	-	-	-	-
Miscellaneous Income/Other	181,139	188,486	54,590	54,590
Total Funding	\$ (7,912,219)	\$ (7,840,233)	\$ (6,674,824)	\$ (6,454,696)
Change in Net Assets	\$ 384,519	\$ 5,885,506	\$ 3,782,385	\$ 6,613,889
Debt Service				
Principal Payments on Bonds	\$ 5,970,000	\$ 6,220,000	\$ 6,460,000	\$ 6,680,000
Less Principal Payments	-	-	-	-
Department of Transportation Note	-	-	-	-
Total Debt Service	\$ 5,970,000	\$ 6,220,000	\$ 6,460,000	\$ 6,680,000