

# Department of Business Regulation

## Agency Summary

	FY 2005		FY 2006	
	<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Category</b>				
Classified	96.0	5,400,722	95.0	5,387,352
Unclassified	13.0	827,721	13.0	829,272
Turnover		(287,695)		(191,252)
<b>Total Salaries</b>	<b>109.0</b>	<b>\$5,940,748</b>	<b>108.0</b>	<b>\$6,025,372</b>
<b>Benefits</b>				
Retirement		796,745		1,047,099
Medical		1,045,951		1,147,309
Medical Benefits Salary Disbursement		11,983		11,983
FICA		453,623		469,340
Payroll Accrual		28,307		29,267
<b>Total Salaries and Benefits</b>	<b>109.0</b>	<b>\$8,277,357</b>	<b>108.0</b>	<b>\$8,730,370</b>
Cost Per FTE Position		75,939		80,837
Temporary and Seasonal		7,123		7,340
Statewide Benefit Assessment		245,280		256,200
<b>Payroll Costs</b>	<b>109.0</b>	<b>\$8,529,760</b>	<b>108.0</b>	<b>\$8,993,910</b>
<b>Purchased Services</b>				
Educational/Professional/Art Services		30,000		0
Security Services		2,400		2,400
Legal Services		8,600		8,600
Management/Audit Services		551,100		551,100
Special Clerical Services		1,200		1,200
Miscellaneous Special Services		2,680		4,160
<b>Total</b>		<b>\$595,980</b>		<b>\$567,460</b>
<b>Total Personnel</b>	<b>109.0</b>	<b>\$9,125,740</b>	<b>108.0</b>	<b>\$9,561,370</b>

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# Department of Business Regulation

## Agency Summary

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	<u>FY 2005</u>		<u>FY 2006</u>	
	<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Source of Funds</b>				
General Revenue	107.0	8,446,582	106.0	8,877,426
Restricted Receipts	2.0	679,158	2.0	683,944
<b>Total: All Funds</b>	<b>109.0</b>	<b>\$9,125,740</b>	<b>108.0</b>	<b>\$9,561,370</b>

# Department of Business Regulation

## Central Management

	Grade	FY 2005		FY 2006	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
Deputy Director	0144 A	1.0	110,139	1.0	112,595
Chief of Legal Services	0139 A	1.0	75,513	1.0	77,198
Deputy Chief of Legal Services	0137 A	2.0	141,139	2.0	144,287
Senior Legal Counsel	0134 A	1.0	56,983	1.0	58,254
Department Budget Administrator	0134 A	1.0	56,020	1.0	59,837
Chief, Data Operations	0133 A	-	-	-	- <sup>(2)</sup>
Technical Support Specialist II (UNIX)	0132 A	-	-	-	- <sup>(2)</sup>
Supervising Employee Relations Officer	0128 A	1.0	63,756	1.0	67,710
Technical Staff Assistant	0122 A	1.0	44,628	1.0	47,034
Licensing Aide - Bus. Reg.	0015 A	1.0	35,100	1.0	35,100
Paralegal Aide	0014 A	1.0	30,724	1.0	30,724
<b>Subtotal</b>		<b>10.0</b>	<b>\$614,002</b>	<b>10.0</b>	<b>\$632,739</b>
<b>Unclassified</b>					
Director, Dept. of Business Regulation	0945 K	1.0	106,678	1.0	107,459
Executive Counsel	0839 A	1.0	81,760	1.0	81,760
Principal Planning & Program Specialist	0828 A	1.0	53,285	1.0	53,285
Administrative Officer	0822 A	1.0	46,032	1.0	46,032
Executive Secretary	0821 A	1.0	37,450	1.0	37,450
<b>Subtotal</b>		<b>5.0</b>	<b>325,205</b>	<b>5.0</b>	<b>325,986</b>
Turnover			-		(33,649)
Cost Allocations to Other Programs			(4,046) <sup>(1)</sup>		(4,147) <sup>(1)</sup>
<b>Total Salaries</b>		<b>15.0</b>	<b>\$935,161</b>	<b>15.0</b>	<b>\$920,929</b>
<b>Benefits</b>					
Retirement			121,232		158,950
Medical			122,019		121,620
Medical Benefits Salary Disbursement			3,975		3,975
FICA			69,565		68,846
Payroll Accrual			4,453		4,483
<b>Total Salaries and Benefits</b>		<b>15.0</b>	<b>\$1,256,405</b>	<b>15.0</b>	<b>\$1,278,803</b>

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# Department of Business Regulation

## Central Management

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Cost Per FTE Position			83,760		85,254
Statewide Benefit Assessment			38,366		38,676
<b>Payroll Costs</b>		<b>15.0</b>	<b>\$1,294,771</b>	<b>15.0</b>	<b>\$1,317,479</b>
<b>Purchased Services</b>					
Security Services			2,400		2,400
Special Clerical Services			100		100
Miscellaneous Special Services			1,915		1,915
<b>Total</b>			<b>\$4,415</b>		<b>\$4,415</b>
<b>Total Personnel</b>		<b>15.0</b>	<b>\$1,299,186</b>	<b>15.0</b>	<b>\$1,321,894</b>
<b>Distribution by Source of Funds</b>					
General Revenue		15.0	1,299,186	15.0	1,321,894
<b>Total: All Funds</b>		<b>15.0</b>	<b>\$1,299,186</b>	<b>15.0</b>	<b>\$1,321,894</b>

# Department of Business Regulation

## Banking Regulation

	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Assoc Dir. & Superintendent of Banking	0141 A	1.0	95,920	1.0	98,060
State Chief Bank Examiner	0139 A	1.0	87,184	1.0	89,129
Supervisor of Examinations	0037 A	1.0	77,901	1.0	77,901
Assistant Supervisor of Examinations	0035 A	4.0	297,733	4.0	300,659
Principal License Exam-Banking	0031 A	2.0	121,043	2.0	122,285
Principal Bank Examiner	0031 A	1.0	55,442	1.0	55,442
Senior Bank Examiner	0028 A	2.0	101,162	2.0	103,840
Bank Examiner	0024 A	3.0	113,425	3.0	113,425
Systems Analyst	0024 A	1.0	48,377	1.0	48,377
Licensing Aide-Business Regulation	0015 A	1.0	32,960	1.0	32,960
<b>Subtotal</b>		<b>17.0</b>	<b>\$1,031,147</b>	<b>17.0</b>	<b>\$1,042,078</b>
<b>Unclassified</b>					
Administrative Officer	0822 A	1.0	48,439	1.0	48,439
Turnover			(22,130)		(11,652)
<b>Total Salaries</b>		<b>18.0</b>	<b>\$1,057,456</b>	<b>18.0</b>	<b>\$1,078,865</b>
<b>Benefits</b>					
Retirement			137,324		189,165
Medical			169,217		182,146
Medical Benefits Salary Disbursement			4,004		4,004
FICA			80,703		83,648
Payroll Accrual			5,199		5,333
<b>Total Salaries and Benefits</b>		<b>18.0</b>	<b>\$1,453,903</b>	<b>18.0</b>	<b>\$1,543,161</b>
Cost Per FTE Position			80,772		85,731
Statewide Benefit Assessment			43,354		45,925
<b>Payroll Costs</b>		<b>18.0</b>	<b>\$1,497,257</b>	<b>18.0</b>	<b>\$1,589,086</b>

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# Department of Business Regulation

## Banking Regulation

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Purchased Services</b>					
Special Clerical Services			900		900
Miscellaneous Special Services			-		700
<b>Total</b>			<b>\$900</b>		<b>\$1,600</b>
<b>Total Personnel</b>		<b>18.0</b>	<b>\$1,498,157</b>	<b>18.0</b>	<b>\$1,590,686</b>
<b>Distribution by Source of Funds</b>					
General Revenue		18.0	1,498,157	18.0	1,590,686
<b>Total: All Funds</b>		<b>18.0</b>	<b>\$1,498,157</b>	<b>18.0</b>	<b>\$1,590,686</b>

# Department of Business Regulation

## Securities Regulation

	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Assoc. Dir. & Superintendent of Securities	0141 A	1.0	87,301	1.0	89,547
Chief Securities Examiner	0137 A	1.0	70,729	1.0	73,419
Securities Examiner	0024 A	5.0	201,067	5.0	209,806
Assistant Administrative Officer	0021 A	1.0	43,018	1.0	43,018
Licensing Aide-Business Regulation	0015 A	2.0	64,998	2.0	65,609
<b>Subtotal</b>		<b>10.0</b>	<b>\$467,113</b>	<b>10.0</b>	<b>\$481,399</b>
Turnover			(2,496)		(11,553)
<b>Total Salaries</b>		<b>10.0</b>	<b>\$464,617</b>	<b>10.0</b>	<b>\$469,846</b>
<b>Benefits</b>					
Retirement			60,365		80,675
Medical			95,242		94,691
FICA			35,551		35,728
Payroll Accrual			2,216		2,278
<b>Total Salaries and Benefits</b>		<b>10.0</b>	<b>657,991</b>	<b>10.0</b>	<b>683,218</b>
Cost Per FTE Position			65,799		68,322
Statewide Benefit Assessment			19,048		19,615
<b>Payroll Costs</b>		<b>10.0</b>	<b>\$677,039</b>	<b>10.0</b>	<b>\$702,833</b>
<b>Purchased Services</b>					
Miscellaneous Special Services			500		500
<b>Total Personnel</b>		<b>10.0</b>	<b>\$677,539</b>	<b>10.0</b>	<b>\$703,333</b>
<b>Distribution by Source of Funds</b>					
General Revenue		10.0	677,539	10.0	703,333
<b>Total: All Funds</b>		<b>10.0</b>	<b>\$677,539</b>	<b>10.0</b>	<b>\$703,333</b>

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# Department of Business Regulation

## Commercial Licensing and Regulation

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Associate Dir., Division of Comm. Lic & Reg	0141 A	1.0	93,668	1.0	95,385
Administrator - Real Estate	0135 A	1.0	74,113	1.0	74,113
Chief License Examiner Div Comm Lic & Reg	0033 A	1.0	68,482	1.0	68,482
Chief, Auto Body/Wrecking & Salvage Oper	0033 A	1.0	65,661	1.0	65,661
Chief Public Protection Inspector	0132 A	1.0	67,575	1.0	69,083
Chief of Liquor Inspections	0030 A	1.0	48,423	1.0	48,423
Administrative Officer	0124 A	1.0	44,443	1.0	44,443
Licensing Aide-Business Regulation	0015 A	6.0	202,390	6.0	202,390
Field Investigator - Real Estate	0016 A	1.0	31,143	1.0	32,436
<b>Subtotal</b>		<b>14.0</b>	<b>\$695,898</b>	<b>14.0</b>	<b>\$700,416</b>
<b>Total Salaries</b>		<b>14.0</b>	<b>\$695,898</b>	<b>14.0</b>	<b>\$700,416</b>
<b>Benefits</b>					
Retirement			94,860		120,950
Medical			160,375		163,623
FICA			55,220		53,521
Payroll Accrual			3,479		3,414
<b>Total Salaries and Benefits</b>		<b>14.0</b>	<b>\$1,009,832</b>	<b>14.0</b>	<b>\$1,041,924</b>
Cost Per FTE Position			72,131		74,423
Statewide Benefit Assessment			29,929		29,407
<b>Payroll Costs</b>		<b>14.0</b>	<b>\$1,039,761</b>	<b>14.0</b>	<b>\$1,071,331</b>
<b>Purchased Services</b>					
Miscellaneous Special Services			220		1,000
<b>Total</b>			<b>\$220</b>		<b>\$1,000</b>
<b>Total Personnel</b>		<b>14.0</b>	<b>\$1,039,981</b>	<b>14.0</b>	<b>\$1,072,331</b>



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# Department of Business Regulation

## Commercial Licensing and Regulation

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Source of Funds</b>					
General Revenue		14.0	1,039,981	14.0	1,072,331
<b>Total: All Funds</b>		<b>14.0</b>	<b>\$1,039,981</b>	<b>14.0</b>	<b>\$1,072,331</b>

# Department of Business Regulation

## Racing and Athletics

	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Associate Director, Racing & Athletics	0141 A	1.0	95,444	-	- <sup>(3)</sup>
Pari-Mutuel Operations Specialist	0326 A	3.0	132,425	3.0	139,255
<b>Subtotal</b>		<b>4.0</b>	<b>\$227,869</b>	<b>3.0</b>	<b>\$139,255</b>
<b>Unclassified</b>					
Hourly Employees			101,340		101,340
<b>Subtotal</b>			<b>\$101,340</b>		<b>\$101,340</b>
Turnover			(56,622)		(14,172)
<b>Total Salaries</b>		<b>4.0</b>	<b>\$272,587</b>	<b>3.0</b>	<b>\$226,423</b>
<b>Benefits</b>					
Retirement			27,383		27,243
Medical			45,555		41,112
FICA			20,606		22,251
Payroll Accrual			887		731
<b>Total Salaries and Benefits</b>		<b>4.0</b>	<b>\$367,018</b>	<b>3.0</b>	<b>\$317,760</b>
Cost Per FTE Position			43,595		31,281
Temporary and Seasonal (FICA)			7,123		7,340
Statewide Benefit Assessment			11,467		9,818
<b>Payroll Costs</b>		<b>4.0</b>	<b>\$385,608</b>	<b>3.0</b>	<b>\$334,918</b>
<b>Purchased Services</b>					
Special Clerical Services			200		200
Miscellaneous Special Services			45		45
<b>Total</b>			<b>\$245</b>		<b>\$245</b>
<b>Total Personnel</b>		<b>4.0</b>	<b>\$385,853</b>	<b>3.0</b>	<b>\$335,163</b>

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# Department of Business Regulation

## Racing and Athletics

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Distribution by Source of Funds</b>					
General Revenue		4.0	385,853	3.0	335,163
<b>Total: All Funds</b>		<b>4.0</b>	<b>\$385,853</b>	<b>3.0</b>	<b>\$335,163</b>

# Department of Business Regulation

## Insurance Regulation

	Grade	FY 2005		FY 2006	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
Associate Director & Superintendent of Ins.	0141 A	1.0	93,385	1.0	97,144
Chief Insurance Examiner	0139 A	2.0	164,466	2.0	168,371
Chief, Life, Accident & Health Ins. Analyst	0137 A	1.0	81,870	1.0	83,696
Chief Property & Casualty Insurance Analyst	0137 A	1.0	80,496	1.0	82,291
Licensing Coord. (Ins Producers, Appr & Adj)	0128 A	1.0	50,911	1.0	52,047
Insurance Examiner-In-Charge	0036 A	7.0	521,230	7.0	525,613
Principal Market Conduct Examiner	0031 A	1.0	59,235	1.0	59,235
Principal Insurance Examiner	0031 A	3.0	193,679	3.0	194,817
Senior Insurance Rate Analyst	0031 A	3.0	186,124	3.0	186,124
Principal Licensing Insurance Examiner	0031 A	1.0	60,612	1.0	60,612
Principal Insurance Exam - EDP & Auto Sys	0031 A	1.0	60,704	1.0	60,704
Senior Market Conduct Examiner	0028 A	2.0	108,353	2.0	108,353
Senior Insurance Examiner	0028 A	3.0	162,422	3.0	163,868
Insurance Rate Analyst (Health)	0028 A	2.0	101,060	2.0	103,427
Senior Licensing Insurance Examiner	0028 A	1.0	56,769	1.0	56,769
Administrative Officer	0024 A	1.0	48,377	1.0	48,377
Market Conduct Examiner	0024 A	1.0	36,423	1.0	37,237 <sup>(7)</sup>
Insurance Examiner	0021 A	1.0	46,209	1.0	46,208
Licensing Aide-Business Regulation	0015 A	5.0	150,391	5.0	153,915
Telephone Operator	0010 A	1.0	29,478	1.0	29,478
<b>Subtotal</b>		<b>39.0</b>	<b>\$2,292,194</b>	<b>39.0</b>	<b>\$2,318,286</b>
<b>Unclassified</b>					
Senior Field Auditor	0822 A	1.0	44,031	1.0	44,031
Accountant	0818 A	1.0	36,275	1.0	36,275
Administrative Secretary	0816 A	1.0	39,244	1.0	40,014
Confidential Stenographer Secretary	0814 A	1.0	35,278	1.0	35,278
Executive Asst to Health Ins Commissioner	0824 A	1.0	40,220	1.0	40,220
Health Insurance Analyst	0834 A	1.0	60,014	1.0	60,014
Health Insurance Commissioner	0836 A	1.0	97,675	1.0	97,675
<b>Subtotal</b>		<b>7.0</b>	<b>\$352,737</b>	<b>7.0</b>	<b>\$353,507</b>
Turnover			(206,447)		(118,755)
Cost Allocation			4,046		4,147
<b>Total Salaries</b>		<b>46.0</b>	<b>\$2,442,530</b>	<b>46.0</b>	<b>\$2,557,185</b>

# Department of Business Regulation

## Insurance Regulation

	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Benefits</b>					
Retirement			346,161		457,729
Medical			427,322		516,211
Medical Benefits Salary Disbursement			4,004		4,004
FICA			186,432		199,862
Payroll Accrual			11,727		12,678
<b>Total Salaries and Benefits</b>		<b>46.0</b>	<b>\$3,418,176</b>	<b>46.0</b>	<b>\$3,747,669</b>
Cost Per FTE Position			74,308		81,471
Statewide Benefit Assessment			100,144		109,748
<b>Payroll Costs</b>		<b>46.0</b>	<b>\$3,518,320</b>	<b>46.0</b>	<b>\$3,857,417</b>
<b>Purchased Services</b>					
Educational/Professional/Art Services			30,000		-
Legal Services			3,600		3,600
Management/Audit Services			551,100		551,100
<b>Total</b>			<b>\$584,700</b>		<b>554,700</b>
<b>Total Personnel</b>		<b>46.0</b>	<b>\$4,103,020</b>	<b>46.0</b>	<b>\$4,412,117</b>
<b>Distribution by Source of Funds</b>					
General Revenue		44.0	3,423,862	44.0	3,728,173
Restricted Receipts		2.0	679,158	2.0	683,944
<b>Total: All Funds</b>		<b>46.0</b>	<b>\$4,103,020</b>	<b>46.0</b>	<b>\$4,412,117</b>

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# Department of Business Regulation

## Board of Accountancy

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	<u>Grade</u>	<u>FY 2005</u>		<u>FY 2006</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
<b>Classified</b>					
Administrative Officer	0822 A	1.0	41,954	1.0	42,029
Administrative Aide	0814 A	1.0	30,545	1.0	31,150
<b>Subtotal</b>		<b>2.0</b>	<b>\$72,499</b>	<b>2.0</b>	<b>\$73,179</b>
Turnover			-		(1,471)
<b>Total Salaries</b>		<b>2.0</b>	<b>\$72,499</b>	<b>2.0</b>	<b>\$71,708</b>
<b>Benefits</b>					
Retirement			9,420		12,387
Medical			26,221		27,906
FICA			5,546		5,484
Payroll Accrual			346		350
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$114,032</b>	<b>2.0</b>	<b>\$117,835</b>
Cost Per FTE Position			57,016		58,918
Statewide Benefit Assessment			2,972		3,011
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$117,004</b>	<b>2.0</b>	<b>\$120,846</b>
<b>Purchased Services</b>					
Legal Services			5,000		5,000
<b>Total</b>			<b>\$5,000</b>		<b>\$5,000</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$122,004</b>	<b>2.0</b>	<b>\$125,846</b>
<b>Distribution by Source of Funds</b>					
General Revenue		2.0	122,004	2.0	125,846
<b>Total: All Funds</b>		<b>2.0</b>	<b>\$122,004</b>	<b>2.0</b>	<b>\$125,846</b>