

State of Rhode Island and Providence Plantations

Executive Summary



Fiscal Year 2014

Lincoln D. Chafee, Governor

Appendix A

Schedules

FY 2014 General Revenue Budget Surplus

	FY 2011 Audited ⁽¹⁾	FY 2012 Audited ⁽²⁾	FY 2013 Enacted ⁽³⁾	FY 2013 Revised ⁽⁴⁾	FY 2014 Recommended ⁽⁵⁾
Surplus					
Opening Surplus	\$17,889,522	\$64,229,426	\$93,870,509	\$115,187,511	\$79,315,556
Audit Adjustments	604,058	-	-	-	-
Adjustment to Opening Surplus	-	-	-	12,943,629	-
Reappropriated Surplus	3,364,847	4,532,242	-	7,726,521	-
Subtotal	\$21,858,427	\$68,761,668	\$93,870,509	\$135,857,662	\$79,315,556
General Taxes	\$2,377,381,973	\$2,518,449,026	2,578,121,440	2,578,121,440	2,664,200,000
Revenue estimators' revision - Nov	-	-	-	5,178,560	-
Governor Changes to Adopted	-	-	-	-	(3,938,206)
Subtotal	\$2,377,381,973	\$2,518,449,026	\$2,578,121,440	\$2,583,300,000	\$2,660,261,794
Departmental Revenues	332,714,668	339,895,284	342,873,883	342,873,883	206,800,000
Revenue estimators' revision - Nov	-	-	-	4,626,117	-
Governor Changes to Adopted	-	-	-	4,435,000	146,492,687
Subtotal	\$332,714,668	\$339,895,284	\$342,873,883	\$351,935,000	\$353,292,687
Other Sources					
Other Miscellaneous	11,116,047	20,110,214	4,440,000	4,440,000	2,430,000
Revenue estimators' revision - Nov	-	-	-	175,000	-
Lottery	354,860,987	377,706,394	387,553,420	387,553,420	405,100,000
Revenue estimators' revision - Nov	-	-	-	(853,420)	-
Governor Changes to Adopted	-	-	-	(1,600,000)	(4,300,000)
Unclaimed Property	7,640,462	14,555,573	7,900,000	7,900,000	9,600,000
Revenue estimators' revision - Nov	-	-	-	(1,600,000)	-
Subtotal	\$373,617,496	\$412,372,181	\$399,893,420	\$396,015,000	\$412,830,000
Total Revenues	\$3,083,714,137	\$3,270,716,491	\$3,320,888,743	\$3,331,250,000	\$3,426,384,481
Transfer to Budget Reserve	(80,657,401)	(93,378,486)	(102,442,778)	(103,781,434)	(105,171,001)
Total Available	\$3,024,915,163	\$3,246,099,674	\$3,312,316,474	\$3,363,326,227	\$3,400,529,036
Actual/Enacted Expenditures	\$2,956,153,495	\$3,110,242,012	\$3,295,836,490	\$3,295,836,490	\$3,399,154,309
Reappropriations	-	-	-	\$7,726,521	-
Caseload Conference Changes - Nov	-	-	-	(\$24,267,632)	-
FMAP Makeup	-	-	-	-	-
Other Changes in Expenditures	-	-	-	(\$11,634,708)	-
Total Expenditures	\$2,956,153,495	\$3,110,242,012	\$3,295,836,490	\$3,267,660,671	\$3,399,154,309
Total Ending Balances	\$68,761,668	\$135,857,662	\$16,479,984	\$95,665,556	\$1,374,727
Transfer to Other Funds ⁽⁶⁾	\$0	(\$12,943,629)	(\$16,350,000)	(\$16,350,000)	\$0
Reappropriations	(4,532,242)	(7,726,521)	-	-	-
Free Surplus	\$64,229,426	\$115,187,511	\$129,984	\$79,315,556	\$1,374,727
Budget Reserve and Cash					
Stabilization Account	\$130,258,817	\$153,407,512	\$170,737,963	\$172,969,057	\$175,285,002

⁽¹⁾ Derived from the State Controller's final closing report for FY 2011, dated December 22, 2011.

⁽²⁾ Derived from the State Controller's final closing report for FY 2012, dated January 4, 2013.

⁽³⁾ Reflects the FY 2013 budget enacted by the General Assembly and signed into law by the Governor on June 15, 2012.

⁽⁴⁾ Reflects the enacted revenues and expenditures adjusted for revenue and caseload estimates adopted at the November 2012 Revenue and Caseload Estimating Conferences and adjustments to revenues and expenditures recommended by the Governor.

⁽⁵⁾ Reflects the Governor's recommended FY 2014 budget, including the results of the November 2012 Revenue and Caseload Estimating Conferences and any proposed legislative changes to modify adopted estimates.

⁽⁶⁾ Reflects restricted General Fund balance regarding transfers to the retirement fund and to the Information Technology Investment Fund and State Fleet Revolving Loan Fund in FY 2013.

Expenditures from All Funds

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$466,350,414	\$390,215,972	\$389,897,283	\$423,479,986	\$425,792,843
Business Regulation	9,861,335	12,591,226	13,977,309	14,593,793	13,189,880
Labor and Training	912,521,587	801,847,663	661,604,139	641,586,167	530,600,966
Revenue	268,488,387	345,151,113	333,502,288	344,075,696	361,409,239
Legislature	34,703,108	35,327,139	38,844,218	41,913,816	38,591,548
Lieutenant Governor	890,413	1,068,715	1,092,692	1,089,750	1,125,998
Secretary of State	6,995,441	6,742,224	7,418,107	7,377,488	7,047,764
General Treasurer	28,031,616	39,706,807	37,571,560	45,151,118	35,060,485
Board of Elections	3,400,118	1,660,728	1,952,116	1,921,823	1,739,361
Rhode Island Ethics Commission	1,419,805	1,410,813	1,557,881	1,550,853	1,577,204
Governor's Office	4,701,867	4,648,355	26,581,535	4,400,907	4,493,513
Commission for Human Rights	1,355,743	1,408,199	1,463,760	1,439,817	1,459,423
Public Utilities Commission	6,088,904	6,335,868	8,246,708	8,489,940	8,569,383
Subtotal - General Government	\$1,744,808,738	\$1,648,114,822	\$1,523,709,596	\$1,537,071,154	\$1,430,657,607
Human Services					
Office of Health & Human Services (2)	6,284,050	17,098,684	1,752,372,084	1,722,447,966	1,860,362,098
Children, Youth, and Families	235,882,905	216,588,816	216,622,996	213,103,548	211,323,566
Health	119,439,071	104,085,326	119,522,083	126,751,846	121,073,049
Human Services (3)	2,198,054,450	2,206,209,397	650,256,012	666,639,875	676,354,681
Mental Health, Retardation, & Hospitals	450,952,134	428,033,267	445,671,144	431,620,878	444,536,354
Governor's Commission on Disabilities	514,758	597,971	751,439	834,468	1,455,336
Commission On Deaf and Hard of Hearing	358,036	377,005	390,251	381,701	471,609
Office of the Child Advocate	597,596	558,884	657,572	636,732	655,148
Office of the Mental Health Advocate	399,837	387,894	447,119	360,207	486,144
Subtotal - Human Services	\$3,012,482,837	\$2,973,937,244	\$3,186,690,700	\$3,162,777,221	\$3,316,717,985
Education					
Elementary and Secondary	1,128,629,694	1,122,700,087	1,198,219,358	1,208,134,629	1,225,960,539
Higher Education - Board of Governors	953,160,729	1,009,942,858	1,031,676,319	1,036,976,342	1,058,235,101
RI Council on the Arts	3,454,128	2,760,123	3,408,107	3,907,496	2,765,495
RI Atomic Energy Commission	1,198,881	1,282,491	1,476,951	1,427,436	1,436,731
Higher Education Assistance Authority	23,672,445	24,036,466	27,722,149	27,043,979	26,963,735
Historical Preservation and Heritage Comm.	2,183,534	1,908,488	2,728,977	2,525,493	3,481,949
Public Telecommunications Authority	1,525,009	1,557,832	1,500,972	795,486	-
Subtotal - Education	\$2,113,824,420	\$2,164,188,345	\$2,266,732,833	\$2,280,810,861	\$2,318,843,550

Expenditures from All Funds

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
Public Safety					
Attorney General	23,618,629	24,597,318	30,009,938	29,471,427	30,389,101
Corrections	183,456,477	189,703,152	196,749,862	197,378,249	204,902,527
Judicial	97,166,263	100,907,796	104,248,298	106,246,433	107,811,121
Military Staff	36,424,255	36,046,730	42,450,127	59,502,738	44,831,893
Public Safety (4)	88,811,483	102,975,645	122,500,068	123,116,617	125,349,971
Office Of Public Defender	9,990,894	10,560,860	11,213,124	11,049,410	11,326,682
Subtotal - Public Safety	\$439,468,001	\$464,791,501	\$507,171,417	\$526,764,874	\$524,611,295
Natural Resources					
Environmental Management	66,346,606	74,010,170	98,837,511	99,534,595	106,554,385
Coastal Resources Management Council	5,724,792	6,100,775	5,092,818	5,397,705	5,187,128
Water Resources Board (5)	1,335,871	-	-	-	-
Subtotal - Natural Resources	\$73,407,269	\$80,110,945	\$103,930,329	\$104,932,300	\$111,741,513
Transportation					
Transportation	345,215,545	387,375,260	511,621,101	467,338,789	469,902,993
Subtotal - Transportation	\$345,215,545	\$387,375,260	\$511,621,101	\$467,338,789	\$469,902,993
Total	\$7,729,206,810	\$7,718,518,117	\$8,099,855,976	\$8,079,695,199	\$8,172,474,943

(1) In FY 2012 the Sheriffs program was moved to the Department of Public Safety

(2) In FY 2013, the Medicaid program was moved from the Department of Human Services to the Office of Health and Human Services.

(3) In FY 2012, the Department of Elderly Affairs was merged into the Department of Human Services as its own program.

(4) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.

(5) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Expenditures from General Revenues

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$331,624,887	\$248,320,234	\$272,514,956	\$269,231,023	\$271,455,828
Business Regulation	8,128,770	8,394,345	9,362,048	9,200,512	9,445,878
Labor and Training	6,902,868	7,553,602	7,859,170	8,828,901	9,839,061
Revenue	35,111,465	95,686,157	95,342,244	99,940,996	109,976,995
Legislature	33,127,794	33,685,084	37,217,044	40,388,233	36,986,933
Lieutenant Governor	890,413	948,391	962,955	958,311	986,890
Secretary of State	6,564,248	6,262,148	6,913,038	6,893,325	6,597,833
General Treasurer	2,240,286	2,531,859	2,542,115	2,582,801	2,354,692
Board of Elections	3,290,424	1,610,728	1,952,116	1,921,823	1,739,361
Rhode Island Ethics Commission	1,419,805	1,410,813	1,557,881	1,550,853	1,577,204
Governor's Office	4,102,488	4,081,232	4,418,290	4,400,907	4,493,513
Commission for Human Rights	1,214,438	1,093,206	1,137,768	1,133,129	1,150,785
Public Utilities Commission	-	-	-	-	-
Subtotal - General Government	\$434,617,886	\$411,577,799	\$441,779,625	\$447,030,814	\$456,604,973
Human Services					
Office of Health & Human Services (2)	3,314,257	9,694,301	825,065,703	801,380,770	851,008,592
Children, Youth, and Families	156,123,293	154,375,838	152,586,452	151,997,086	152,926,991
Health	27,469,636	23,518,929	24,821,836	24,721,722	24,900,291
Human Services (3)	735,671,107	844,385,003	97,023,967	96,845,266	99,520,764
Mental Health, Retardation, & Hospitals	172,743,967	190,883,740	193,137,995	193,234,700	206,649,055
Governor's Commission on Disabilities	352,190	381,164	371,096	357,498	357,711
Commission On Deaf and Hard of Hearing	358,036	377,005	390,251	381,701	391,609
Office of the Child Advocate	550,911	512,165	611,469	590,664	615,151
Office of the Mental Health Advocate	399,837	387,894	447,119	360,207	486,144
Subtotal - Human Services	\$1,096,983,234	\$1,224,516,039	\$1,294,455,888	\$1,269,869,614	\$1,336,856,308
Education					
Elementary and Secondary	846,144,631	860,936,950	935,364,061	930,213,401	964,639,970
Higher Education - Board of Governors	163,941,500	165,658,691	172,456,170	172,696,230	180,892,795
RI Council on the Arts	1,681,095	1,656,365	1,565,813	1,565,813	1,335,630
RI Atomic Energy Commission	858,629	875,412	876,213	866,750	861,710
Higher Education Assistance Authority	7,320,186	5,911,331	5,617,064	5,693,667	5,231,726
Historical Preservation and Heritage Comm.	1,294,878	1,253,696	1,361,801	1,265,417	1,332,510
Public Telecommunications Authority	929,325	928,421	799,077	795,486	0
Subtotal - Education	\$1,022,170,244	\$1,037,220,866	\$1,118,040,199	\$1,113,096,764	\$1,154,294,341

Expenditures from General Revenues

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
Public Safety					
Attorney General	20,763,514	22,161,393	23,076,324	22,987,371	23,656,979
Corrections	177,719,606	184,655,159	183,293,277	184,546,757	185,879,198
Judicial	84,300,614	87,723,958	89,249,996	87,871,436	91,881,359
Military Staff	3,755,542	3,491,202	3,548,775	3,541,075	4,636,684
Public Safety (4)	69,087,143	90,786,762	94,790,039	91,455,541	97,134,021
Office Of Public Defender	9,493,812	10,166,398	10,791,226	10,757,414	11,034,686
Subtotal - Public Safety	\$365,120,231	\$398,984,872	\$404,749,637	\$401,159,594	\$414,222,927
Natural Resources					
Environmental Management	34,074,887	35,707,703	34,546,300	34,263,624	34,876,447
Coastal Resources Management Council	2,063,094	2,234,732	2,264,841	2,240,261	2,299,313
Water Resources Board (5)	1,123,928	0	-	-	-
Subtotal - Natural Resources	\$37,261,909	\$37,942,435	\$36,811,141	\$36,503,885	\$37,175,760
Transportation					
Transportation	-	-	-	-	-
Subtotal - Transportation	-	-	-	-	-
Total	\$2,956,153,504	\$3,110,242,011	\$3,295,836,490	\$3,267,660,671	\$3,399,154,309

(1) In FY 2012 the Sheriffs program was moved to the Department of Public Safety

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(4) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.

(5) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Expenditures from Federal Funds

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$64,251,118	\$64,385,781	\$25,578,339	\$66,041,287	\$53,606,544
Business Regulation	465,176	2,726,596	2,719,081	3,433,208	1,747,589
Labor and Training	234,108,321	205,099,595	111,743,981	94,713,891	39,784,891
Revenue	1,139,852	1,472,179	2,450,709	2,897,330	3,048,651
Legislature	-	-	-	-	-
Lieutenant Governor	-	120,324	129,737	131,439	139,108
Secretary of State	33,659	52,872	-	2,566	-
General Treasurer	1,140,258	1,199,276	1,159,712	1,099,497	1,130,422
Board of Elections	109,694	50,000	0	0	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	40,411	(1)	22,163,245	0	0
Commission for Human Rights	141,305	314,993	325,992	306,688	308,638
Public Utilities Commission	266,641	307,914	321,795	348,421	166,818
Subtotal - General Government	\$301,696,435	\$275,729,529	\$166,592,591	\$168,974,327	\$99,932,661
Human Services					
Office of Health & Human Services (2)	2,367,877	6,506,943	914,833,795	907,825,366	999,356,222
Children, Youth, and Families	76,711,604	59,251,759	58,440,291	55,105,897	54,192,405
Health	69,303,495	55,441,392	65,015,651	70,391,194	62,004,542
Human Services (3)	1,446,893,845	1,344,961,678	539,731,758	553,002,562	562,754,777
Mental Health, Retardation, & Hospitals	267,384,605	225,892,158	234,125,964	223,479,713	220,109,814
Governor's Commission on Disabilities	124,377	70,987	120,649	182,291	129,989
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	46,685	46,719	46,103	46,068	39,997
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$1,862,832,488	\$1,692,171,636	\$1,812,314,211	\$1,810,033,091	\$1,898,587,746

Expenditures from Federal Funds

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
Education					
Elementary and Secondary	259,873,651	234,690,933	230,760,206	237,992,129	225,746,654
Higher Education - Board of Governors	10,274,936	23,218,046	4,852,615	5,226,649	6,190,306
RI Council on the Arts	954,515	824,067	998,794	754,191	797,329
RI Atomic Energy Commission	76,635	79,057	267,616	267,044	267,044
Higher Education Assistance Authority	9,744,236	9,566,865	13,346,283	12,814,483	13,274,020
Historical Preservation and Heritage Comm.	831,658	537,821	836,139	589,279	609,949
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$281,755,631	\$268,916,789	\$251,061,653	\$257,643,775	246,885,302
Public Safety					
Attorney General	1,788,468	1,475,840	1,483,604	2,644,447	1,608,532
Corrections	2,780,906	2,388,892	1,995,588	3,034,940	1,788,688
Judicial	2,555,921	2,377,916	2,682,107	2,760,203	2,624,248
Military Staff	32,256,773	31,350,783	33,842,074	48,024,778	37,653,834
Public Safety (4)	9,267,352	7,573,445	6,940,151	10,359,782	6,155,535
Office Of Public Defender	497,082	394,462	421,898	291,996	291,996
Subtotal - Public Safety	\$49,146,502	\$45,561,338	\$47,365,422	\$67,116,146	\$50,122,833
Natural Resources					
Environmental Management	19,885,602	22,171,087	34,997,551	36,678,628	35,126,329
Coastal Resources Management Council	3,501,698	3,054,725	1,677,977	2,185,163	2,637,815
Water Resources Board (5)	-	-	-	-	-
Subtotal - Natural Resources	\$23,387,300	\$25,225,812	\$36,675,528	\$38,863,791	\$37,764,144
Transportation					
Transportation	229,999,857	291,517,766	362,340,586	316,461,117	311,761,586
Subtotal - Transportation	\$229,999,857	\$291,517,766	\$362,340,586	\$316,461,117	\$311,761,586
Total	\$2,748,818,213	\$2,599,122,870	\$2,676,349,991	\$2,659,092,247	\$2,645,054,272

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(2) In FY 2013, the Medicaid program was moved from the Department of Human Services to the Office of Health and Human Services.

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(4) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.

(5) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Expenditures from Restricted Receipts

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$14,219,372	\$15,107,473	\$16,286,426	\$15,343,117	\$17,277,332
Business Regulation	1,267,389	1,470,285	1,896,180	1,960,073	1,996,413
Labor and Training	20,821,559	23,685,420	36,292,695	60,844,618	43,125,719
Revenue	4,836,294	1,993,811	1,845,255	1,821,849	1,821,886
Legislature	1,575,314	1,642,055	1,627,174	1,525,583	1,604,615
Lieutenant Governor	-	-	-	-	-
Secretary of State	397,534	427,204	505,069	481,597	449,931
General Treasurer	24,442,547	35,767,114	33,618,221	41,252,232	31,346,448
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	558,968	567,124	-	0	0
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	5,822,263	6,027,954	7,924,913	8,141,519	8,402,565
Subtotal - General Government	\$73,941,240	\$86,688,440	\$99,995,933	\$131,370,588	\$106,024,909
Human Services					
Office of Health & Human Services (2)	601,916	897,440	12,472,586	13,241,830	9,997,284
Children, Youth, and Families	2,426,338	2,682,360	2,825,253	2,538,664	2,614,170
Health	22,658,203	25,113,233	29,512,596	31,512,619	34,132,906
Human Services (3)	10,590,911	12,585,836	9,111,103	12,213,022	9,598,776
Mental Health, Retardation, & Hospitals	6,941,943	6,973,731	7,188,834	7,177,366	7,137,054
Governor's Commission on Disabilities	5,191	7,442	9,694	9,214	10,365
Commission On Deaf and Hard of Hearing	-	-	-	-	80,000
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$43,224,502	\$48,260,042	\$61,120,066	\$66,692,715	\$63,570,555
Education					
Elementary and Secondary	19,581,873	23,014,366	25,643,868	26,584,021	27,658,516
Higher Education - Board of Governors	660,509	739,741	702,583	702,583	702,583
RI Council on the Arts	-	-	-	-	-
RI Atomic Energy Commission	-	-	-	-	-
Higher Education Assistance Authority	-	-	-	-	-
Historical Preservation and Heritage Comm.	56,998	41,971	456,037	454,191	454,491
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$20,299,380	\$23,796,078	\$26,802,488	\$27,740,795	\$28,815,590

Expenditures from Restricted Receipts

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
Public Safety					
Attorney General	791,992	837,372	5,162,510	3,239,609	5,073,590
Corrections	12,347	92,770	61,397	73,362	64,890
Judicial	9,480,024	9,731,044	10,641,195	11,240,237	11,790,514
Military Staff	189,042	205,899	481,278	516,890	523,375
Public Safety (4)	209,090	416,630	12,687,548	12,674,856	12,753,188
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$10,682,495	\$11,283,715	\$29,033,928	\$27,744,954	\$30,205,557
Natural Resources					
Environmental Management	10,389,053	11,406,708	14,309,942	15,360,459	15,881,515
Coastal Resources Management Council	160,000	145,000	250,000	250,000	250,000
Water Resources Board (5)	-	-	-	-	-
Subtotal - Natural Resources	\$10,549,053	\$11,551,708	\$14,559,942	\$15,610,459	\$16,131,515
Transportation					
Transportation	187,949	2,518,104	998,758	1,010,255	8,010,496
Subtotal - Transportation	\$187,949	\$2,518,104	\$998,758	\$1,010,255	\$8,010,496
Total	\$158,884,619	\$184,098,087	\$232,511,115	\$270,169,766	\$252,758,622

- (1) In FY 2012 the Sheriffs program was moved to the Department of Public Safety
- (2) In FY 2013, the Medicaid program was moved from the Department of Human Services to the Office of Health and Human Services.
- (3) In FY 2012, the Department of Elderly Affairs was merged into the Department of Human Services as its own program.
- (4) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.
- (5) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Expenditures from Other Funds

	FY 2011	FY 2012	FY 2013	FY 2013	FY 2014
	Actual	Actual	Enacted	Revised	Recommend
General Government					
Administration (1)	\$56,255,037	\$62,402,484	\$75,517,562	\$72,864,559	\$83,453,139
Business Regulation	-	-	-	-	0
Labor and Training	650,688,839	565,509,046	505,708,293	477,198,757	437,851,295
Revenue	227,400,776	245,998,966	233,864,080	239,415,521	246,561,707
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-
Secretary of State	-	-	-	-	-
General Treasurer	208,525	208,558	251,512	216,588	228,923
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	-	-	-	-
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	-	-	-	-	-
Subtotal - General Government	\$934,553,177	\$874,119,054	\$815,341,447	\$789,695,425	\$768,095,064
Human Services					
Office of Health & Human Services (2)	-	-	-	-	-
Children, Youth, and Families	621,670	278,859	2,771,000	3,461,901	1,590,000
Health	7,737	11,772	172,000	126,311	35,310
Human Services (3)	4,898,587	4,276,880	4,389,184	4,579,025	4,480,364
Mental Health, Retardation, & Hospitals	3,881,619	4,283,638	11,218,351	7,729,099	10,640,431
Governor's Commission on Disabilities	33,000	138,378	250,000	285,465	957,271
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$9,442,613	\$8,989,527	\$18,800,535	\$16,181,801	\$17,703,376
Education					
Elementary and Secondary	3,029,539	4,057,838	6,451,223	13,345,078	7,915,399
Higher Education - Board of Governors	778,283,784	820,326,380	853,664,951	858,350,880	870,449,417
RI Council on the Arts	818,518	279,691	843,500	1,587,492	632,536
RI Atomic Energy Commission	263,617	328,022	333,122	293,642	307,977
Higher Education Assistance Authority	6,608,023	8,558,270	8,758,802	8,535,829	8,457,989
Historical Preservation and Heritage Comm.	-	75,000	75,000	216,606	1,084,999
Public Telecommunications Authority	595,684	629,411	701,895	0	0
Subtotal - Education	\$789,599,165	\$834,254,612	\$870,828,493	\$882,329,527	\$888,848,317

Expenditures from Other Funds

	FY 2011 Actual	FY 2012 Actual	FY 2013 Enacted	FY 2013 Revised	FY 2014 Recommend
Public Safety					
Attorney General	274,655	122,713	287,500	600,000	50,000
Corrections	2,943,618	2,566,331	11,399,600	9,723,190	17,169,751
Judicial	829,704	1,074,878	1,675,000	4,374,557	1,515,000
Military Staff	222,898	998,846	4,578,000	7,419,995	2,018,000
Public Safety (4)	10,247,898	4,198,808	8,082,330	8,626,438	9,307,227
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$14,518,773	\$8,961,576	\$26,022,430	\$30,744,180	\$30,059,978
Natural Resources					
Environmental Management	1,997,064	4,724,672	14,983,718	13,231,884	20,670,094
Coastal Resources Management Council	0	666,318	900,000	722,281	0
Water Resources Board (5)	211,943	0	-	-	-
Subtotal - Natural Resources	\$2,209,007	\$5,390,990	\$15,883,718	\$13,954,165	\$20,670,094
Transportation					
Transportation	115,027,739	93,339,390	148,281,757	149,867,417	150,130,911
Subtotal - Transportation	\$115,027,739	\$93,339,390	\$148,281,757	\$149,867,417	\$150,130,911
Total	\$1,865,350,474	\$1,825,055,149	\$1,895,158,380	\$1,882,772,515	\$1,875,507,740

(1) In FY 2012 the Sheriffs program was moved to the Department of Public Safety

(2) In FY 2013, the Medicaid program was moved from the Department of Human Services to the Office of Health and Human Services.

(3) In FY 2012, the Department of Elderly Affairs was merged into the Department of Human Services as its own program.

(4) In FY 2012, the Sheriffs program was moved from the Department of Administration to the Department of Public Safety.

(5) In FY 2012, the Water Resources Board was merged into the Statewide Planning program in the Department of Administration.

Full-Time Equivalent Positions

	FY 2011	FY 2012	FY 2013 Enacted	FY 2013 Revised	FY 2014
General Government					
Administration	871.6	694.2	687.2	723.7	727.7
Business Regulation	93.0	96.0	94.0	95.0	94.0
Labor & Training	512.2	470.1	462.5	423.0	392.0
Revenue	426.5	449.0	458.0	489.0	492.0
Legislature	298.5	298.5	298.5	298.5	298.5
Office of the Lieutenant Governor	8.0	8.0	8.0	8.0	8.0
Secretary of State	57.0	57.0	57.0	57.0	57.0
General Treasurer	82.0	82.0	82.0	82.0	83.0
Board Of Elections	11.0	11.0	11.0	11.0	11.0
Rhode Island Ethics Commission	12.0	12.0	12.0	12.0	12.0
Office of the Governor	45.0	45.0	45.0	45.0	45.0
Commission for Human Rights	14.5	14.5	14.5	14.5	14.5
Public Utilities Commission	46.0	46.0	47.0	48.0	49.0
Subtotal - General Government	2,477.3	2,283.3	2,276.7	2,306.7	2,283.7
Human Services					
Office of Health and Human Services	77.6	158.0	168.0	169.0	194.0
Children, Youth, and Families	691.0	662.5	665.5	671.5	670.5
Elderly Affairs (1)	31.0	-	-	-	-
Health	424.7	422.3	497.3	498.0	494.1
Human Services	1,000.2	949.2	940.7	946.6	974.6
Behavioral Healthcare, Developmental Disabilities, and Hospitals(2)	1,372.2	1,383.2	1,383.2	1,424.4	1,423.4
Office of the Child Advocate	5.8	5.8	5.8	5.8	5.8
Commission On the Deaf & Hard of Hearing	3.0	3.0	3.0	3.0	3.0
Governor's Commission on Disabilities	4.0	4.0	4.0	4.0	4.0
Office of the Mental Health Advocate	3.7	3.7	3.7	3.7	3.7
Subtotal - Human Services	3,613.2	3,591.7	3,671.2	3,726.0	3,773.1
Education					
Elementary and Secondary Education	156.4	169.4	169.4	171.4	171.4
Davies	132.0	126.0	126.0	126.0	126.0
School for the Deaf	60.0	60.0	60.0	60.0	60.0
Elementary Secondary Education - Total	348.4	355.4	355.4	357.4	357.4
Office of Higher Education Non-Sponsored Research	18.4	13.8	15.8	15.8	16.8
URI Non-Sponsored Research	1,834.5	1,843.3	1,857.3	1,857.3	1,863.3
RIC Non-Sponsored Research	810.1	827.6	837.6	837.6	841.6
CCRI Non-Sponsored Research	754.1	754.1	754.1	754.1	754.1
Higher Education - Total Non-Sponsored	3,417.1	3,438.8	3,464.8	3,464.8	3,475.8
RI Council On The Arts	8.6	8.6	6.0	6.0	6.0
RI Atomic Energy Commission	8.6	8.6	8.6	8.6	8.6
Higher Education Assistance Authority	41.6	41.6	38.6	36.0	36.0
Historical Preservation and Heritage Commission	16.6	16.6	16.6	16.6	16.6
Public Telecommunications Authority	16.0	15.0	14.0	14.0	-
Subtotal - Education	3,856.9	3,884.6	3,904.0	3,903.4	3,900.4

Full-Time Equivalent Positions

	FY 2011	FY 2012	FY 2013 Enacted	FY 2013 Revised	FY 2014
Public Safety					
Attorney General	231.1	233.1	233.1	233.1	233.1
Corrections	1,419.0	1,419.0	1,419.0	1,419.0	1,419.0
Judicial	723.3	723.3	723.3	723.3	723.3
Military Staff	117.0	113.0	112.0	112.0	117.0
Public Safety	423.2	606.2	609.2	609.2	651.2
Office of the Public Defender	93.0	92.0	93.0	93.0	93.0
Subtotal - Public Safety	3,006.6	3,186.6	3,189.6	3,189.6	3,236.6
Natural Resources					
Environmental Management	410.0	410.0	407.0	399.0	400.0
Coastal Resources Management Council	30.0	30.0	29.0	29.0	29.0
Water Resources Board (3)	6.0	-	-	-	-
Subtotal - Natural Resources	446.0	440.0	436.0	428.0	429.0
Transportation					
Transportation	772.6	772.6	772.6	772.6	772.6
Subtotal - Transportation	772.6	772.6	772.6	772.6	772.6
Total Non Sponsored	14,172.6	14,158.8	14,250.1	14,326.3	14,395.4
Higher Education Sponsored Research *					
Office	1.0	1.0	1.0	1.0	1.0
CCRI	100.0	100.0	100.0	100.0	100.0
RIC	82.0	82.0	82.0	82.0	82.0
URI	602.0	593.2	593.2	593.2	593.2
Subtotal Sponsored Research	785.0	776.2	776.2	776.2	776.2
Total Higher Education	4,202.1	4,215.0	4,241.0	4,241.0	4,252.0
Total Personnel Authorizations	14,957.6	14,935.0	15,026.3	15,102.5	15,171.6

*A total of 776.2 FTE positions in Higher Education in both FY 2012 and FY 2013 represent FTE's supported by sponsored research funds. Commencing in FY 2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

- (1) The Department of Elderly Affairs was merged with the Department of Human Services in FY 2012.
- (2) Formerly the Department of Mental Health, Retardation and Hospitals.
- (3) The Water Resources Board was merged with the Department of Administration in FY 2012.

General Revenues as Recommended

	FY 2011 Audited	FY 2012 Audited	FY 2013 Revised	FY 2014 Recommended
Personal Income Tax	\$ 1,021,338,869	\$ 1,060,481,684	\$ 1,078,600,000	\$ 1,130,750,000
General Business Taxes				
Business Corporations	84,510,308	123,054,377	135,900,000	135,120,004
Public Utilities Gross Earnings	103,743,912	100,631,477	104,000,000	102,400,000
Financial Institutions	2,459,474	3,557,938	8,200,000	3,100,000
Insurance Companies	60,590,000	89,487,511	91,900,000	96,822,068
Bank Deposits	1,967,288	2,000,645	2,100,000	2,200,000
Health Care Provider Assessment	40,760,872	41,922,464	42,300,000	43,069,721
Sales and Use Taxes				
Sales and Use	813,007,301	851,055,730	879,400,000	904,300,000
Motor Vehicle	47,654,534	48,391,564	48,700,000	48,700,000
Motor Fuel	1,054,939	732,672	1,000,000	1,000,000
Cigarettes	134,060,439	131,085,912	137,700,000	137,400,000
Alcohol	11,683,059	11,873,646	12,000,000	12,200,000
Other Taxes				
Inheritance and Gift	46,855,153	46,411,738	33,800,000	35,400,000
Racing and Athletics	1,325,193	1,327,003	1,200,000	1,100,000
Realty Transfer	6,370,632	6,434,665	6,500,000	6,700,000
Total Taxes	\$ 2,377,381,974	\$ 2,518,449,026	\$ 2,583,300,000	\$ 2,660,261,793
Departmental Receipts	\$ 332,714,668	\$ 339,895,284	\$ 351,935,000	\$ 353,292,687
Taxes and Departmentals	\$ 2,710,096,641	\$ 2,858,344,310	\$ 2,935,235,000	\$ 3,013,554,480
Other Sources				
Gas Tax Transfer	\$ -	\$ -	\$ -	\$ -
Other Miscellaneous	11,116,047	20,110,214	4,615,000	2,430,000
Lottery	354,860,987	377,706,394	385,100,000	400,800,000
Unclaimed Property	7,640,462	14,555,573	6,300,000	9,600,000
Other Sources	\$ 373,617,496	\$ 412,372,181	\$ 396,015,000	\$ 412,830,000
Total General Revenues	\$ 3,083,714,137	\$ 3,270,716,491	\$ 3,331,250,000	\$ 3,426,384,480

The audited revenues displayed above reflect gross receipts, including reimbursement of tax credits issued through the Historical Structures Tax credit program. The state's consolidated financial report reflects the reimbursement as a transfer from other funds, rather than within each tax source.

Changes to FY 2013 Enacted Revenue Estimates

	Enacted	November Consensus Changes	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,080,856,603	\$ (2,256,603)	\$ -	\$ 1,078,600,000
General Business Taxes				
Business Corporations	133,251,413	2,648,587	-	135,900,000
Public Utilities Gross	100,100,000	3,900,000	-	104,000,000
Financial Institutions	1,700,000	6,500,000	-	8,200,000
Insurance Companies	89,825,327	2,074,673	-	91,900,000
Bank Deposits	2,100,000	-	-	2,100,000
Health Care Provider	42,206,533	93,467	-	42,300,000
Sales and Use Taxes				
Sales and Use	886,720,059	(7,320,059)	-	879,400,000
Motor Vehicle	47,758,650	941,350	-	48,700,000
Motor Fuel	1,100,000	(100,000)	-	1,000,000
Cigarettes	138,053,896	(353,896)	-	137,700,000
Alcohol	12,200,000	(200,000)	-	12,000,000
Other Taxes				
Inheritance and Gift	35,148,959	(1,348,959)	-	33,800,000
Racing and Athletics	1,200,000	-	-	1,200,000
Realty Transfer	5,900,000	600,000	-	6,500,000
Total Taxes	\$ 2,578,121,440	\$ 5,178,560	\$ -	\$ 2,583,300,000
Departmental Receipts	\$ 342,873,883	\$ 4,626,117	\$ 4,435,000	\$ 351,935,000
Total Taxes and Departmentals	\$ 2,920,995,323	\$ 9,804,677	\$ 4,435,000	\$ 2,935,235,000
Other Sources				
Gas Tax Transfer	\$ -	\$ -	\$ -	-
Other Miscellaneous	4,440,000	175,000	-	4,615,000
Lottery	387,553,420	(853,420)	(1,600,000)	385,100,000
Unclaimed Property	7,900,000	(1,600,000)	-	6,300,000
Other Sources	\$ 399,893,420	\$ (2,278,420)	\$ (1,600,000)	\$ 396,015,000
Total General Revenues	\$ 3,320,888,743	\$ 7,526,257	\$ 2,835,000	\$ 3,331,250,000

Changes to FY 2014 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,129,000,000	\$ 1,750,000	\$ 1,130,750,000
General Business Taxes			
Business Corporations	140,400,000	(5,279,996)	135,120,004
Public Utilities Gross	102,400,000		102,400,000
Financial Institutions	3,100,000		3,100,000
Insurance Companies	97,000,000	(177,932)	96,822,068
Bank Deposits	2,200,000		2,200,000
Health Care Provider	43,500,000	(430,279)	43,069,721
Sales and Use Taxes			
Sales and Use	904,100,000	200,000	904,300,000
Motor Vehicle	48,700,000		48,700,000
Motor Fuel	1,000,000		1,000,000
Cigarettes	137,400,000		137,400,000
Alcohol	12,200,000		12,200,000
Other Taxes			
Inheritance and Gift	35,400,000		35,400,000
Racing and Athletics	1,100,000		1,100,000
Realty Transfer	6,700,000		6,700,000
Total Taxes	\$ 2,664,200,000	\$ (3,938,207)	\$ 2,660,261,793
Departmental Receipts	\$ 206,800,000	\$ 146,492,687	\$ 353,292,687
Total Taxes and Departmentals	\$ 2,871,000,000	\$ 142,554,480	\$ 3,013,554,480
Other Sources			
Gas Tax Transfer	\$ -		\$ -
Other Miscellaneous	2,430,000		2,430,000
Lottery	405,100,000	(4,300,000)	400,800,000
Unclaimed Property	9,600,000		9,600,000
Other Sources	\$ 417,130,000	\$ (4,300,000)	\$ 412,830,000
Total General Revenues	\$ 3,288,130,000	\$ 138,254,480	\$ 3,426,384,480

General Revenue Changes to Adopted Estimates

FY 2014	Governor Recommend
<u>Taxes</u>	
<u>Personal Income Taxes</u>	
Creation of Special Investigation Tax Unit: decreases refunds and adjustments	\$ 1,000,000
Out of State Collection Agencies: increases final payments	750,000
Subtotal: Personal Income Taxes	\$ 1,750,000
<u>General Business Taxes</u>	
<u>Business Corporations Tax</u>	
Reduce Business Corporations Tax Rate to 8.00% effective January 1, 2014	\$ (8,038,007)
Decrease of 25.0% in value of Job Development Act Rate Reduction effective January 1, 2014	\$ 2,448,632
Elimination of Enterprise Zone Wage Credit effective January 1, 2014	\$ 309,380
Subtotal: Business Corporation Tax	\$ (5,279,996)
<u>Insurance Companies Gross Premiums</u>	
Managed Care Rate Reduction	\$ (177,932)
Subtotal: Insurance Companies Gross Premiums Tax	\$ (177,932)
<u>Health Care Provider Tax</u>	
Suspend Nursing Home COLA effective October 1, 2012	\$ (430,279)
Subtotal: Health Care Provider Tax	\$ (430,279)
Subtotal: General Business Taxes	\$ (5,888,207)
<u>Sales and Excise Taxes</u>	
<u>Sales and Use Tax</u>	
Creation of Special Investigation Tax Unit: decreases refunds and adjustments	200,000
Subtotal: Sales and Use Tax	\$ 200,000
Subtotal: Sales and Excise Taxes	\$ 200,000
Subtotal: All Taxes	\$ (3,938,207)
<u>Departmental Revenues</u>	
<u>Licenses and Fees</u>	
DHS: FY 2012 Hospital Licensing Fee Remainder Payment	\$ 5,570,624
DHS: Reinststitute Hospital Licensing Fee at 5.350 Percent on FY 2011 Net Patient Revenues	141,256,638
DLT: Elimination of Hazardous Substances Right to Know Fee	(384,575)
Subtotal: Licenses and Fees	\$ 146,442,687
<u>Fines and Penalties</u>	
DOR: Interest Collections from Special Investigation Tax Unit	\$ 40,000
DOR: Penalty Collections from Special Investigation Tax Unit	\$ 10,000
Subtotal: Fines and Penalties	\$ 50,000
Subtotal: Departmental Revenues	\$ 146,492,687
Subtotal: Taxes and Departmental Revenues	\$ 142,554,480

General Revenue Changes to Adopted Estimates

FY 2014	Governor Recommend
<u>Other Sources</u>	
<i>Lottery Transfer</i>	
Table Game Expenses at Twin River	\$ (4,300,000)
<i>Subtotal: Lottery Transfer</i>	\$ (4,300,000)
Subtotal: Other Sources	\$ (4,300,000)
Total FY 2014 General Revenue Adjustments	\$ 138,254,480

Other Revenue Enhancements

	Governor Recommend
FY 2014	
<u>Restricted Receipts</u>	
CDHH: Emergency and Public Communication Access Program	\$ 80,000
Subtotal: Restricted Receipts	\$ 80,000
<u>Intermodal Surface Transportation Fund</u>	
Restructure \$30.00 Surcharge on Biennial Registration Fees to Reflect Actual Registration Data	\$ (435,856)
Restructure \$15.00 Surcharge on Annual Registration Fees to Reflect Actual Registration Data	(289,771)
Subtotal: Intermodal Surface Transportation Fund	\$ (725,627)
FY 2014 Total Non-General Revenue Changes	\$ (645,627)