

State of Rhode Island and Providence Plantations

Technical Appendix



Fiscal Year 2014

Lincoln D. Chafee, Governor

Department Of Business Regulation

Agency Number: 071

Fund/ Agency	RIFANS/ ACCOUNT		2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
10.071	1600101.01	Director of Business Regulations	1,011,248	1,102,117	1,145,060	1,104,801	1,197,671
		Total General Revenue	\$1,011,248	\$1,102,117	\$1,145,060	\$1,104,801	\$1,197,671
		Total - Central Management	\$1,011,248	\$1,102,117	\$1,145,060	\$1,104,801	\$1,197,671
10.071	1605101.01	Banking and Securities - Banking	1,259,413	1,327,986	1,637,766	1,772,529	1,715,225
		Total General Revenue	\$1,259,413	\$1,327,986	\$1,637,766	\$1,772,529	\$1,715,225
10.071	1608101.03	Banking Reimbursement Account	30,163	77,894	125,000	125,000	125,000
		Total Restricted Receipts	\$30,163	\$77,894	\$125,000	\$125,000	\$125,000
		Total - Banking Regulation	\$1,289,576	\$1,405,880	\$1,762,766	\$1,897,529	\$1,840,225
10.071	1610101.01	Securities Regulation - Securities	752,088	824,599	1,068,375	925,224	980,090
		Total General Revenue	\$752,088	\$824,599	\$1,068,375	\$925,224	\$980,090
10.071	1611101.03	Securities Reimbursement Account	3,519	2,193	15,000	15,000	15,000
		Total Restricted Receipts	\$3,519	\$2,193	\$15,000	\$15,000	\$15,000
		Total - Securities Regulation	\$755,607	\$826,792	\$1,083,375	\$940,224	\$995,090
10.071	1635101.01	Insurance Regulation	3,534,618	3,654,061	3,916,525	3,853,240	3,967,883
10.071	1635103.01	Office of Health Insurance Commissioner	450,171	12,730	-	-	-
		Total General Revenue	\$3,984,789	\$3,666,791	\$3,916,525	\$3,853,240	\$3,967,883
10.071	1637103.02	Rate Review Grant	134,192	-	-	-	-
		Subtotal CFDA No. 93511	134,192	-	-	-	-
10.071	1637105.02	Exchange Planning Grant	312,475	-	-	-	-
		Subtotal CFDA No. 93525	312,475	-	-	-	-
10.071	1637104.02	Consumer Assistance Program Grant	18,509	-	-	-	-
		Subtotal CFDA No. 93778	18,509	-	-	-	-
		Total Federal Funds	\$465,176	-	-	-	-
10.071	1645101.03	Assessment for Costs of Rate Filings	254,326	253,286	245,718	282,236	295,934
10.071	1645102.03	Insurance Cos. Assessment for Actuary Costs	632,578	747,113	908,150	908,150	918,650
10.071	1645103.03	Insurance Reimbursement Account	58,932	68,322	131,000	131,000	131,000
10.071	1645104.03	Office of Health Insurance Comm. Reimb. Acct.	1,740	1,430	-	-	-

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		Total Restricted Receipts	\$947,576	\$1,070,151	\$1,284,868	\$1,321,386	\$1,345,584
		Total - Insurance Regulation	\$5,397,541	\$4,736,942	\$5,201,393	\$5,174,626	\$5,313,467
10.071	1655101.01	Board of Accountancy	150,884	109,452	82,483	79,464	81,034
		Total General Revenue	\$150,884	\$109,452	\$82,483	\$79,464	\$81,034
		Total - Board of Accountancy	\$150,884	\$109,452	\$82,483	\$79,464	\$81,034
10.071	1625101.01	Commercial Licensing, Racing and Athletics	605,799	508,490	653,584	624,208	641,192
10.071	1625102.01	Real Estate Appraisers Board - CLRA	56,662	60,516	65,527	65,207	66,749
		Total General Revenue	\$662,461	\$569,006	\$719,111	\$689,415	\$707,941
10.071	1627101.03	Real Estate Appraisers - Registration - CLRA	-	-	-	28,000	28,000
10.071	1627102.03	Real Estate Recovery - CLRA	-	-	80,000	80,000	80,000
10.071	1627103.03	Commercial Lic. Rac Athletics Reimbursement Acct.	286,131	320,047	380,812	380,187	392,329
		Total Restricted Receipts	\$286,131	\$320,047	\$460,812	\$488,187	\$500,329
		Total - Commercial Licensing, Racing & Athletics	\$948,592	\$889,053	\$1,179,923	\$1,177,602	\$1,208,270
10.071	1660101.01	Boards for Design Professionals	307,887	313,064	249,799	248,257	253,854
		Total General Revenue	\$307,887	\$313,064	\$249,799	\$248,257	\$253,854
		Total - Boards for Design Professionals	\$307,887	\$313,064	\$249,799	\$248,257	\$253,854
10.071	1670101.01	Office of Health Insurance Commissioner	-	50	-	-	-
10.071	1670102.01	Office of Health Insurance Commissioner	-	481,280	542,929	527,582	542,180
		Total General Revenue	-	\$481,330	\$542,929	\$527,582	\$542,180
10.071	1675103.02	Rate Review Grant	-	462,488	123,187	699,934	-
10.071	1675107.02	Rate Review Grant II	-	23,580	1,429,875	919,433	1,339,485
		Subtotal CFDA No. 93511	-	486,068	1,553,062	1,619,367	1,339,485
10.071	1675109.02	Consumer Assistance Program Grant II	-	-	-	150,000	50,000
		Subtotal CFDA No. 93519	-	-	-	150,000	50,000
10.071	1675105.02	Exchange Policy Grant	-	667,449	85,973	134,111	-
10.071	1675106.02	Exchange Establishment One Grant	-	1,308,825	845,036	1,529,730	358,104
10.071	1675108.02	Exchange Establishment Two Grant	-	264,254	235,010	-	-

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Fund/ Agency	RIFANS/ ACCOUNT	2011 Audited	2012 Audited	2013 Enacted	2013 Revised	2014 Recommend
	Subtotal CFDA No. 93525	-	2,240,528	1,166,019	1,663,841	358,104
	Total Federal Funds	-	\$2,726,596	\$2,719,081	\$3,433,208	\$1,747,589
10.071	1680101.03 OHIC Reimbursement Account	-	-	10,500	10,500	10,500
	Total Restricted Receipts	-	-	\$10,500	\$10,500	\$10,500
	Total - Office of Health Insurance Commissioner	-	\$3,207,926	\$3,272,510	\$3,971,290	\$2,300,269
	Total General Revenue	\$8,128,770	\$8,394,345	\$9,362,048	\$9,200,512	\$9,445,878
	Total Federal Funds	\$465,176	\$2,726,596	\$2,719,081	\$3,433,208	\$1,747,589
	Total Restricted Receipts	\$1,267,389	\$1,470,285	\$1,896,180	\$1,960,073	\$1,996,413
Grand Total	Department Of Business Regulation	\$9,861,335	\$12,591,226	\$13,977,309	\$14,593,793	\$13,189,880