

State of Rhode Island and Providence Plantations

# Budget



## Fiscal Year 2017

Volume IV - Public Safety, Natural Resources and Transportation

Gina M. Raimondo, Governor

**Public Safety,  
Natural Resources  
and Transportation**



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# **Public Safety**



# Budget

## Public Safety Function Expenditures

	FY 2014 Actuals	FY 2015 Actuals	FY 2016 Enacted	FY 2016 Recommended	FY 2017 Recommended
<b>Expenditure by Object</b>					
Personnel	375,395,131	390,955,490	399,837,359	409,188,589	417,405,381
Operating Supplies and Expenses	47,754,991	50,686,026	52,434,767	55,165,735	51,888,190
Aid to Local Units of Government	200,000	-	-	50,000	-
Assistance, Grants, and Benefits	42,450,170	36,833,361	42,822,601	52,920,852	50,264,633
<b>Subtotal: Operating Expenditure</b>	<b>\$465,800,292</b>	<b>\$478,474,877</b>	<b>\$495,094,727</b>	<b>\$517,325,176</b>	<b>\$519,558,204</b>
Capital Purchases and Equipment	22,807,558	19,445,335	39,637,184	35,744,701	40,486,935
Debt Service	-	-	-	-	-
Operating Transfers	1,888,778	2,379,952	-	-	-
<b>Total Expenditures</b>	<b>\$490,496,628</b>	<b>\$500,300,164</b>	<b>\$534,731,911</b>	<b>\$553,069,877</b>	<b>\$560,045,139</b>
<b>Expenditures by Funds</b>					
General Revenue	413,191,205	428,374,985	433,963,412	433,985,293	450,735,519
Federal Funds	41,949,736	37,614,674	44,816,141	60,103,592	52,936,392
Restricted Receipts	20,742,086	23,103,851	30,354,353	30,417,784	25,687,000
Other Funds	14,613,601	11,206,654	25,598,005	28,563,208	30,686,228
<b>Total Expenditures</b>	<b>\$490,496,628</b>	<b>\$500,300,164</b>	<b>\$534,731,911</b>	<b>\$553,069,877</b>	<b>\$560,045,139</b>
<b>FTE Authorization</b>	<b>3,222.6</b>	<b>3,221.6</b>	<b>3,229.6</b>	<b>3,229.6</b>	<b>3,246.6</b>

# Agency

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## Department Of Attorney General

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### Agency Mission

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

### Agency Description

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

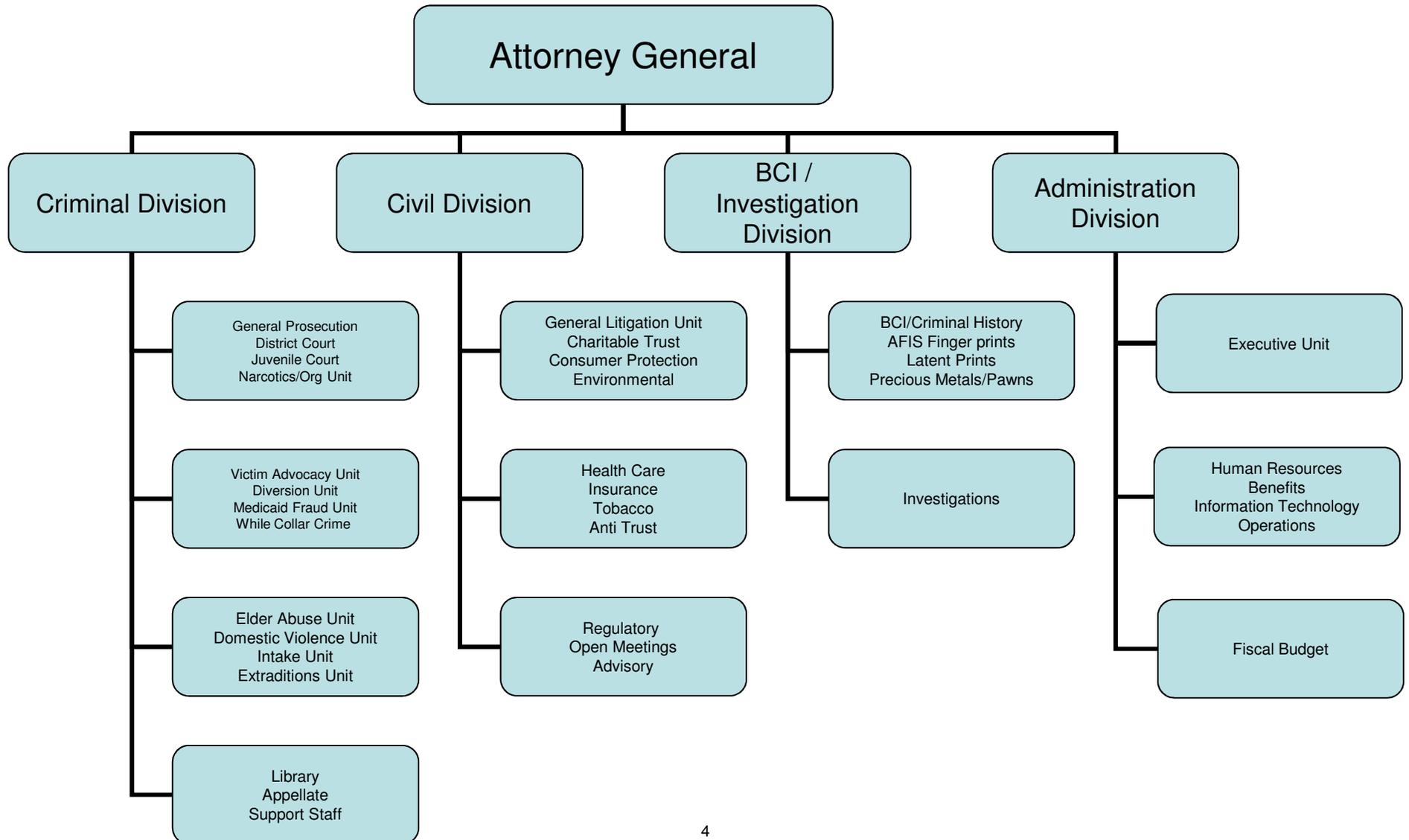
# Budget

## Department Of Attorney General

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Criminal	21,284,765	21,301,533	23,106,413	24,701,163	23,746,424
Civil	5,485,599	5,487,430	6,182,731	6,000,504	6,051,845
Bureau of Criminal Identification	1,382,120	1,390,045	1,591,162	1,707,831	1,758,215
General	2,857,513	2,757,840	3,155,011	3,315,304	3,326,299
<b>Total Expenditures</b>	<b>\$31,009,997</b>	<b>\$30,936,848</b>	<b>\$34,035,317</b>	<b>\$35,724,802</b>	<b>\$34,882,783</b>
<b>Expenditures By Object</b>					
Personnel	24,457,966	25,516,971	25,634,869	30,616,896	26,285,943
Operating Supplies and Expenses	1,974,298	2,472,761	1,902,815	2,110,273	2,094,092
<b>Subtotal: Operating Expenditures</b>	<b>26,432,264</b>	<b>27,989,732</b>	<b>27,537,684</b>	<b>32,727,169</b>	<b>28,380,035</b>
Capital Purchases and Equipment	4,577,733	2,947,116	6,497,633	2,997,633	6,502,748
<b>Total Expenditures</b>	<b>\$31,009,997</b>	<b>\$30,936,848</b>	<b>\$34,035,317</b>	<b>\$35,724,802</b>	<b>\$34,882,783</b>
<b>Expenditures By Funds</b>					
General Revenue	23,235,530	23,949,930	25,193,210	25,122,410	25,595,982
Federal Funds	1,648,980	1,903,112	1,291,777	3,749,312	1,692,545
Restricted Receipts	5,900,916	5,080,406	7,250,330	6,553,080	7,294,256
Operating Transfers from Other Funds	224,571	3,400	300,000	300,000	300,000
<b>Total Expenditures</b>	<b>\$31,009,997</b>	<b>\$30,936,848</b>	<b>\$34,035,317</b>	<b>\$35,724,802</b>	<b>\$34,882,783</b>
<b>FTE Authorization</b>	<b>233.1</b>	<b>233.1</b>	<b>236.1</b>	<b>236.1</b>	<b>236.1</b>

# The Agency

## Attorney General



# Personnel

## Department Of Attorney General Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Unclassified		236.1	15,905,368	236.1	16,086,892
<b>Subtotal</b>		<b>236.1</b>	<b>\$15,905,368</b>	<b>236.1</b>	<b>\$16,086,892</b>
Turnover		-	(\$183,664)	-	(\$319,019)
<b>Subtotal</b>		<b>-</b>	<b>(\$183,664)</b>	<b>-</b>	<b>(\$319,019)</b>
<b>Total Salaries</b>		<b>236.1</b>	<b>\$15,721,704</b>	<b>236.1</b>	<b>\$15,767,873</b>
<b>Benefits</b>					
Payroll Accrual			89,755		91,244
FICA			1,191,350		1,194,652
Retiree Health			941,288		943,074
Health Benefits			2,849,257		2,979,958
Retirement			3,864,163		4,137,389
<b>Subtotal</b>			<b>\$8,935,813</b>		<b>\$9,346,317</b>
<b>Total Salaries and Benefits</b>		<b>236.1</b>	<b>\$24,657,517</b>	<b>236.1</b>	<b>\$25,114,190</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$104,437</b>		<b>\$106,371</b>
<b>Statewide Benefit Assessment</b>			<b>\$723,196</b>		<b>\$748,627</b>
<b>Payroll Costs</b>		<b>236.1</b>	<b>\$25,380,713</b>	<b>236.1</b>	<b>\$25,862,817</b>
<b>Purchased Services</b>					
Buildings and Ground Maintenance			59,846		41,814
Legal Services			66,965		2,575
Other Contracts			5,825		4,874
Information Technology			4,998,074		265,225
Clerical and Temporary Services			62,001		63,862
Design and Engineering Services			2,959		3,048
Medical Services			40,513		41,728
<b>Subtotal</b>			<b>\$5,236,183</b>		<b>\$423,126</b>
<b>Total Personnel</b>		<b>236.1</b>	<b>\$30,616,896</b>	<b>236.1</b>	<b>\$26,285,943</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		213.1	\$23,252,967	213.1	\$23,685,238
Federal Funds		18.0	\$3,711,357	18.0	\$1,659,085
Restricted Receipts		5.0	\$3,652,572	5.0	\$941,620
<b>Total All Funds</b>		<b>236.1</b>	<b>\$30,616,896</b>	<b>236.1</b>	<b>\$26,285,943</b>

# The Program

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## Department Of Attorney General Criminal

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### **Program Mission**

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

### **Program Description**

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The information Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses, which is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons

# The Budget

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## Department Of Attorney General Criminal

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	21,284,765	21,301,533	23,106,413	24,701,163	23,746,424
<b>Total Expenditures</b>	<b>\$21,284,765</b>	<b>\$21,301,533</b>	<b>\$23,106,413</b>	<b>\$24,701,163</b>	<b>\$23,746,424</b>
<b>Expenditures By Object</b>					
Personnel	15,537,099	16,489,372	15,626,156	20,568,500	16,108,993
Operating Supplies and Expenses	1,394,504	1,897,680	1,337,567	1,489,973	1,490,460
<b>Subtotal: Operating Expenditures</b>	<b>16,931,603</b>	<b>18,387,052</b>	<b>16,963,723</b>	<b>22,058,473</b>	<b>17,599,453</b>
Capital Purchases and Equipment	4,353,162	2,914,481	6,142,690	2,642,690	6,146,971
<b>Total Expenditures</b>	<b>\$21,284,765</b>	<b>\$21,301,533</b>	<b>\$23,106,413</b>	<b>\$24,701,163</b>	<b>\$23,746,424</b>
<b>Expenditures By Funds</b>					
General Revenue	14,316,427	14,907,551	15,461,041	15,292,665	15,675,925
Federal Funds	1,641,802	1,903,112	1,291,777	3,749,312	1,692,545
Restricted Receipts	5,326,536	4,490,870	6,353,595	5,659,186	6,377,954
<b>Total Expenditures</b>	<b>\$21,284,765</b>	<b>\$21,301,533</b>	<b>\$23,106,413</b>	<b>\$24,701,163</b>	<b>\$23,746,424</b>

# Personnel

## Department Of Attorney General Criminal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ASSISTANT ATTORNEY GENERAL	08745A	1.0	150,672	1.0	151,472
ASSISTANT ATTORNEY GENERAL	08740A	5.0	616,590	5.0	625,459
ASSISTANT ATTORNEY GENERAL	08738A	5.0	550,059	5.0	552,953
STAFF ATTORNEY V (ATTORNEY GENERAL)	08738A	1.0	107,603	1.0	108,174
STAFF ATTORNEY V	08736A	3.0	291,452	3.0	292,981
STAFF ATTORNEY IV	08734A	6.0	578,774	6.0	587,395
DIRECTOR OF INVESTIGATIONS	08735A	1.0	95,683	1.0	96,191
STAFF ATTORNEY V (AG)	08738A	1.0	93,081	1.0	98,340
STAFF ATTORNEY III	08732A	6.0	484,090	6.0	486,658
STAFF ATTORNEY II (ATTORNEY GENERAL)	08730A	2.0	160,883	2.0	161,772
STAFF ATTORNEY II	00830A	1.0	79,492	1.0	79,914
STAFF ATTORNEY II	08730A	9.0	679,298	9.0	682,903
STAFF ATTORNEY I	08728A	30.0	2,005,520	30.0	2,032,479
SENIOR LEGAL ASSISTANT	08724A	4.0	256,405	4.0	257,764
SENIOR INVESTIGATOR (ATTORNEY GENERAL)	08726A	9.0	565,024	9.0	574,718
SPECIAL PROSECUTOR INVESTIGATOR	08724A	2.0	125,354	2.0	126,018
ADMINISTRATIVE ASSISTANT	08722A	2.0	115,992	2.0	116,608
SENIOR ECONOMIC CRIME INVESTIGATOR (AG)	08724A	2.0	110,571	2.0	113,591
CONSUMER INVESTIGATOR	08718A	0.6	32,908	0.6	32,848
ASSISTANT CLERK/RESEARCH	08718A	1.0	54,607	1.0	54,896
LEGAL ASSISTANT	08720A	5.0	254,369	5.0	259,092
PARALEGAL CLERK	08717A	17.0	822,996	17.0	828,821
CASE INTAKE COORDINATOR	08716A	3.0	143,548	3.0	144,312
SENIOR LEGAL SECRETARY	08713A	1.0	47,612	1.0	47,863
CONFIDENTIAL SECRETARY TO ATTORNEY	08716A	1.0	47,135	1.0	47,386
SENIOR ADMINISTRATIVE AIDE	08717A	2.0	90,387	2.0	90,900
FAMILY CRISIS AIDE	08714A	2.0	88,892	2.0	89,364
INVESTIGATOR/CLERK	08714A	4.0	176,964	4.0	178,728
ADMINISTRATIVE AIDE	08715A	1.0	43,565	1.0	43,796
TECHNICAL ASSISTANT	08715A	1.0	43,565	1.0	43,796
CLASSIFICATION CLERK	08714A	5.0	208,701	5.0	210,789
CONSUMER SPECIALIST	08714A	1.0	40,406	1.0	40,620
PRINCIPAL CLERK STENOGRAPHER	08712A	2.0	79,005	2.0	79,425
ADMINISTRATIVE AIDE	08715A	1.0	39,384	1.0	40,341
PRINCIPAL CLERK	08712A	1.0	38,539	1.0	38,744
CHIEF OUT-COUNTIES CLERK (ATTORNEY	08712A	0.6	22,857	0.6	23,064
LEGAL SECRETARY	08712A	7.9	300,025	7.9	305,909
DATA ENTRY OPERATOR	08712A	3.0	110,494	3.0	113,203
FISCAL MANAGEMENT SUPERVISOR	08729A	-	-	-	-
PRINCIPAL AUDITOR	08728A	-	-	-	-
STAFF ATTORNEY VI	08736A	-	-	-	-
<b>Subtotal</b>		<b>150.1</b>	<b>\$9,752,502</b>	<b>150.1</b>	<b>\$9,859,287</b>

# Personnel

## Department Of Attorney General Criminal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Turnover		-	(62,270)	-	(149,061)
<b>Subtotal</b>		-	<b>(\$62,270)</b>	-	<b>(\$149,061)</b>
<b>Total Salaries</b>		<b>150.1</b>	<b>\$9,690,232</b>	<b>150.1</b>	<b>\$9,710,226</b>
<b>Benefits</b>					
Payroll Accrual			55,342		56,146
FICA			738,683		739,030
Retiree Health			578,506		578,547
Health Benefits			1,800,496		1,898,277
Retirement			2,382,509		2,546,331
<b>Subtotal</b>			<b>\$5,555,536</b>		<b>\$5,818,331</b>
<b>Total Salaries and Benefits</b>		<b>150.1</b>	<b>\$15,245,768</b>	<b>150.1</b>	<b>\$15,528,557</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$101,571</b>		<b>\$103,455</b>
<b>Statewide Benefit Assessment</b>			<b>\$445,749</b>		<b>\$460,888</b>
<b>Payroll Costs</b>		<b>150.1</b>	<b>\$15,691,517</b>	<b>150.1</b>	<b>\$15,989,445</b>
<b>Purchased Services</b>					
Information Technology			4,740,574		-
Clerical and Temporary Services			55,677		57,348
Legal Services			2,500		2,575
Other Contracts			5,110		4,137
Buildings and Ground Maintenance			44,983		26,505
Design and Engineering Services			625		644
Medical Services			27,514		28,339
<b>Subtotal</b>			<b>\$4,876,983</b>		<b>\$119,548</b>
<b>Total Personnel</b>		<b>150.1</b>	<b>\$20,568,500</b>	<b>150.1</b>	<b>\$16,108,993</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		132.1	\$14,055,264	132.1	\$14,380,094
Federal Funds		18.0	\$3,711,357	18.0	\$1,659,085
Restricted Receipts		-	\$2,801,879	-	\$69,814
<b>Total All Funds</b>		<b>150.1</b>	<b>\$20,568,500</b>	<b>150.1</b>	<b>\$16,108,993</b>

# The Program

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## Department Of Attorney General Civil

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### **Program Mission**

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

### **Program Description**

The Attorney General of Rhode Island is the State's Chief Legal Officer for both criminal and civil matters and is charged with conducting the State's legal affairs and representing the State in all legal proceedings. By law, the Attorney General represents the State, its agencies and employees in the Rhode Island Supreme Court and all lower state courts; institutes actions in state and federal courts whenever warranted; ensures that representation is provided to state officers, employees, and agencies in all courts; advises state officers and agencies on legal issues and gives written opinions on legal issues when requested by an appropriate governmental officer; and represents the interests of the people.

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and i

# The Budget

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## Department Of Attorney General Civil

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,485,599	5,487,430	6,182,731	6,000,504	6,051,845
<b>Total Expenditures</b>	<b>\$5,485,599</b>	<b>\$5,487,430</b>	<b>\$6,182,731</b>	<b>\$6,000,504</b>	<b>\$6,051,845</b>
<b>Expenditures By Object</b>					
Personnel	5,129,616	5,134,693	5,791,475	5,604,983	5,661,304
Operating Supplies and Expenses	355,983	336,900	353,225	357,490	352,183
<b>Subtotal: Operating Expenditures</b>	<b>5,485,599</b>	<b>5,471,593</b>	<b>6,144,700</b>	<b>5,962,473</b>	<b>6,013,487</b>
Capital Purchases and Equipment	-	15,837	38,031	38,031	38,358
<b>Total Expenditures</b>	<b>\$5,485,599</b>	<b>\$5,487,430</b>	<b>\$6,182,731</b>	<b>\$6,000,504</b>	<b>\$6,051,845</b>
<b>Expenditures By Funds</b>					
General Revenue	4,911,219	4,897,894	5,285,996	5,106,610	5,135,543
Restricted Receipts	574,380	589,536	896,735	893,894	916,302
<b>Total Expenditures</b>	<b>\$5,485,599</b>	<b>\$5,487,430</b>	<b>\$6,182,731</b>	<b>\$6,000,504</b>	<b>\$6,051,845</b>

# Personnel

## Department Of Attorney General Civil

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ASSISTANT ATTORNEY GENERAL	08745A	1.0	153,831	1.0	154,765
ASSISTANT ATTORNEY GENERAL	08740A	2.0	245,999	2.0	247,294
ASSISTANT ATTORNEY GENERAL	08738A	8.0	904,049	8.0	908,772
STAFF ATTORNEY V	08736A	2.0	182,720	2.0	187,574
STAFF ATTORNEY IV	08734A	1.0	88,186	1.0	88,654
STAFF ATTORNEY III	08732A	4.0	348,599	4.0	350,412
STAFF ATTORNEY II	08730A	5.0	364,943	5.0	366,878
SENIOR LEGAL ASSISTANT	08724A	1.0	62,677	1.0	63,009
STAFF ATTORNEY I	08728A	4.0	246,297	4.0	257,723
EXECUTIVE ASSISTANT	08725A	1.0	56,351	1.0	59,593
ADMINISTRATIVE AIDE	08715A	4.0	186,708	4.0	187,700
PARALEGAL CLERK	08717A	4.0	170,769	4.0	175,150
ADMINISTRATIVE AIDE	08715A	1.0	41,491	1.0	41,711
PUBLIC EDUCATION AIDE	08715A	1.0	41,491	1.0	41,711
SENIOR LEGAL SECRETARY	08713A	2.0	82,884	2.0	83,324
PRINCIPAL CLERK STENOGRAPHER	08712A	1.0	38,539	1.0	38,744
LEGAL SECRETARY	08712A	2.0	75,465	2.0	77,488
<b>Subtotal</b>		<b>44.0</b>	<b>\$3,290,999</b>	<b>44.0</b>	<b>\$3,330,502</b>
Turnover		-	(79,451)	-	(97,006)
<b>Subtotal</b>		<b>-</b>	<b>(\$79,451)</b>	<b>-</b>	<b>(\$97,006)</b>
<b>Total Salaries</b>		<b>44.0</b>	<b>\$3,211,548</b>	<b>44.0</b>	<b>\$3,233,496</b>
<b>Benefits</b>					
Payroll Accrual			18,325		18,722
FICA			243,314		245,262
Retiree Health			191,731		193,040
Health Benefits			652,065		672,370
Retirement			787,051		847,406
<b>Subtotal</b>			<b>\$1,892,486</b>		<b>\$1,976,800</b>
<b>Total Salaries and Benefits</b>		<b>44.0</b>	<b>\$5,104,034</b>	<b>44.0</b>	<b>\$5,210,296</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$116,001</b>		<b>\$118,416</b>
<b>Statewide Benefit Assessment</b>			<b>\$147,731</b>		<b>\$153,592</b>
<b>Payroll Costs</b>		<b>44.0</b>	<b>\$5,251,765</b>	<b>44.0</b>	<b>\$5,363,888</b>

# Personnel

## Department Of Attorney General Civil

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			257,500		265,225
Clerical and Temporary Services			6,324		6,514
Legal Services			64,465		-
Other Contracts			528		544
Buildings and Ground Maintenance			9,496		9,781
Design and Engineering Services			1,906		1,963
Medical Services			12,999		13,389
<b>Subtotal</b>			<b>\$353,218</b>		<b>\$297,416</b>
<b>Total Personnel</b>		<b>44.0</b>	<b>\$5,604,983</b>	<b>44.0</b>	<b>\$5,661,304</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		39.0	\$4,754,290	39.0	\$4,789,498
Restricted Receipts		5.0	\$850,693	5.0	\$871,806
<b>Total All Funds</b>		<b>44.0</b>	<b>\$5,604,983</b>	<b>44.0</b>	<b>\$5,661,304</b>

# The Program

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## Department Of Attorney General Bureau of Criminal Identification

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### **Program Mission**

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

### **Program Description**

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24 hours a day, seven days a week. This unit also receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

### **Statutory History**

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

# The Budget

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## Department Of Attorney General Bureau of Criminal Identification

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	1,382,120	1,390,045	1,591,162	1,707,831	1,758,215
<b>Total Expenditures</b>	<b>\$1,382,120</b>	<b>\$1,390,045</b>	<b>\$1,591,162</b>	<b>\$1,707,831</b>	<b>\$1,758,215</b>
<b>Expenditures By Object</b>					
Personnel	1,320,385	1,325,933	1,526,866	1,630,670	1,677,750
Operating Supplies and Expenses	61,735	57,841	56,776	69,641	72,720
<b>Subtotal: Operating Expenditures</b>	<b>1,382,120</b>	<b>1,383,774</b>	<b>1,583,642</b>	<b>1,700,311</b>	<b>1,750,470</b>
Capital Purchases and Equipment	-	6,271	7,520	7,520	7,745
<b>Total Expenditures</b>	<b>\$1,382,120</b>	<b>\$1,390,045</b>	<b>\$1,591,162</b>	<b>\$1,707,831</b>	<b>\$1,758,215</b>
<b>Expenditures By Funds</b>					
General Revenue	1,374,942	1,390,045	1,591,162	1,707,831	1,758,215
Federal Funds	7,178	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,382,120</b>	<b>\$1,390,045</b>	<b>\$1,591,162</b>	<b>\$1,707,831</b>	<b>\$1,758,215</b>

# Personnel

## Department Of Attorney General Bureau of Criminal Identification

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF OF THE OFFICE OF INVESTIGATIONS	08736A	1.0	94,477	1.0	94,979
DATA PROCESSING SYSTEMS MANAGER	08736A	1.0	89,978	1.0	90,456
ADMINISTRATIVE ASSISTANT	08722A	1.0	63,400	1.0	63,776
DEPUTY CHIEF, BCI UNIT (A.G.)	08728A	1.0	60,752	1.0	63,278
AFIS OPERATOR/FINGERPRINT EXPERT	08724A	1.0	51,753	1.0	53,735
LEGAL ASSISTANT	08720A	4.0	195,612	4.0	199,090
SENIOR ADMINISTRATIVE AIDE	08717A	1.0	46,313	1.0	46,558
OFFICE MANAGER (AG)	08720A	1.0	44,308	1.0	45,747
CLASSIFICATION CLERK	08714A	2.0	88,210	2.0	88,671
LEGAL SECRETARY	08712A	2.0	79,626	2.0	80,036
DATA ENTRY OPERATOR	08712A	3.0	112,811	3.0	115,744
PRINCIPAL CLERK STENOGRAPHER	08712A	2.0	74,722	2.0	76,217
<b>Subtotal</b>		<b>20.0</b>	<b>\$1,001,962</b>	<b>20.0</b>	<b>\$1,018,287</b>
Turnover		-	(14,044)	-	(15,274)
<b>Subtotal</b>		<b>-</b>	<b>(\$14,044)</b>	<b>-</b>	<b>(\$15,274)</b>
<b>Total Salaries</b>		<b>20.0</b>	<b>\$987,918</b>	<b>20.0</b>	<b>\$1,003,013</b>
<b>Benefits</b>					
Payroll Accrual			5,646		5,806
FICA			75,576		76,730
Retiree Health			58,979		59,880
Health Benefits			211,609		218,350
Retirement			243,509		264,279
<b>Subtotal</b>			<b>\$595,319</b>		<b>\$625,045</b>
<b>Total Salaries and Benefits</b>		<b>20.0</b>	<b>\$1,583,237</b>	<b>20.0</b>	<b>\$1,628,058</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$79,162</b>		<b>\$81,403</b>
<b>Statewide Benefit Assessment</b>			<b>\$45,444</b>		<b>\$47,643</b>
<b>Payroll Costs</b>		<b>20.0</b>	<b>\$1,628,681</b>	<b>20.0</b>	<b>\$1,675,701</b>
<b>Purchased Services</b>					
Other Contracts			70		72
Buildings and Ground Maintenance			1,919		1,977
<b>Subtotal</b>			<b>\$1,989</b>		<b>\$2,049</b>
<b>Total Personnel</b>		<b>20.0</b>	<b>\$1,630,670</b>	<b>20.0</b>	<b>\$1,677,750</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		20.0	\$1,630,670	20.0	\$1,677,750
<b>Total All Funds</b>		<b>20.0</b>	<b>\$1,630,670</b>	<b>20.0</b>	<b>\$1,677,750</b>

# The Program

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## Department Of Attorney General General

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### **Program Mission**

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

### **Program Description**

The General program provides administrative support to ensure that the department operates efficiently and consists of six units:

The Executive Unit consists of the Attorney General, the Deputy Attorney General, and support staff. The function of this unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department including the preparation of the budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance, and repair of the department's buildings and automobiles and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education and community outreach efforts

### **Statutory History**

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

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## Department Of Attorney General General

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	2,857,513	2,757,840	3,155,011	3,315,304	3,326,299
<b>Total Expenditures</b>	<b>\$2,857,513</b>	<b>\$2,757,840</b>	<b>\$3,155,011</b>	<b>\$3,315,304</b>	<b>\$3,326,299</b>
<b>Expenditures By Object</b>					
Personnel	2,470,866	2,566,973	2,690,372	2,812,743	2,837,896
Operating Supplies and Expenses	162,076	180,340	155,247	193,169	178,729
<b>Subtotal: Operating Expenditures</b>	<b>2,632,942</b>	<b>2,747,313</b>	<b>2,845,619</b>	<b>3,005,912</b>	<b>3,016,625</b>
Capital Purchases and Equipment	224,571	10,527	309,392	309,392	309,674
<b>Total Expenditures</b>	<b>\$2,857,513</b>	<b>\$2,757,840</b>	<b>\$3,155,011</b>	<b>\$3,315,304</b>	<b>\$3,326,299</b>
<b>Expenditures By Funds</b>					
General Revenue	2,632,942	2,754,440	2,855,011	3,015,304	3,026,299
Operating Transfers from Other Funds	224,571	3,400	300,000	300,000	300,000
<b>Total Expenditures</b>	<b>\$2,857,513</b>	<b>\$2,757,840</b>	<b>\$3,155,011</b>	<b>\$3,315,304</b>	<b>\$3,326,299</b>

# Personnel

## Department Of Attorney General General

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
DEPUTY ATTORNEY GENERAL (ATTORNEY	08750A	1.0	181,823	1.0	182,788
CHIEF OF STAFF (ATTORNEY GENERAL)	08747A	1.0	140,513	1.0	141,259
DIRECTOR OF ADMINISTRATION (ATTORNEY	08741A	1.0	131,656	1.0	132,355
PUBLIC INFORMATION OFFICER (ATTORNEY	08745A	1.0	131,019	1.0	131,715
ATTORNEY GENERAL	00535F	1.0	126,818	1.0	127,491
DIRECTOR OF POLICY AND PUBLIC AFFAIRS	08738A	1.0	116,265	1.0	116,875
PUBLIC INFORMATION OFFICER	08735A	1.0	100,033	1.0	100,564
NETWORK ADMINISTRATOR (A.G.)	08736A	1.0	98,976	1.0	99,501
EXECUTIVE ASSISTANT FOR ADMINISTRATION	08738A	1.0	97,821	1.0	98,340
PROJECT MANAGER	08730A	1.0	83,106	1.0	83,547
SENIOR INVESTIGATOR (ATTORNEY GENERAL)	08726A	1.0	67,995	1.0	68,356
ASSOCIATE EXECUTIVE ASSISTANT	08726A	1.0	67,977	1.0	68,322
AFIS OPERATOR/FINGERPRINT EXPERT	08724A	1.0	65,526	1.0	65,873
SENIOR LEGAL ASSISTANT	08724A	1.0	65,526	1.0	65,873
FISCAL MANAGEMENT SUPERVISOR	08729A	1.0	65,449	1.0	69,090
PRINCIPAL AUDITOR	08728A	1.0	61,236	1.0	63,793
EXECUTIVE ASSISTANT (ATTORNEY GENERAL)	08721A	1.0	50,795	1.0	51,064
PRINCIPAL DIVERSION SCREENER	08722A	1.0	47,350	1.0	49,091
OPERATIONS ASSISTANT (A.G.)	08721A	1.0	46,695	1.0	48,372
LEGAL SECRETARY ATTORNEY GENERAL	08712A	1.0	38,539	1.0	38,744
LEGAL SECRETARY	08712A	2.0	74,787	2.0	75,803
LEGAL ASSISTANT	08720A	-	-	-	-
<b>Subtotal</b>		<b>22.0</b>	<b>\$1,859,905</b>	<b>22.0</b>	<b>\$1,878,816</b>
Turnover		-	(27,899)	-	(57,678)
<b>Subtotal</b>		-	<b>(\$27,899)</b>	-	<b>(\$57,678)</b>
<b>Total Salaries</b>		<b>22.0</b>	<b>\$1,832,006</b>	<b>22.0</b>	<b>\$1,821,138</b>
<b>Benefits</b>					
Payroll Accrual			10,442		10,570
FICA			133,777		133,630
Retiree Health			112,072		111,607
Health Benefits			185,087		190,961
Retirement			451,094		479,373
<b>Subtotal</b>			<b>\$892,472</b>		<b>\$926,141</b>
<b>Total Salaries and Benefits</b>		<b>22.0</b>	<b>\$2,724,478</b>	<b>22.0</b>	<b>\$2,747,279</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$123,840</b>		<b>\$124,876</b>
<b>Statewide Benefit Assessment</b>			<b>\$84,272</b>		<b>\$86,504</b>
<b>Payroll Costs</b>		<b>22.0</b>	<b>\$2,808,750</b>	<b>22.0</b>	<b>\$2,833,783</b>

# Personnel

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## Department Of Attorney General General

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Other Contracts			117		121
Buildings and Ground Maintenance			3,448		3,551
Design and Engineering Services			428		441
<b>Subtotal</b>			<b>\$3,993</b>		<b>\$4,113</b>
<b>Total Personnel</b>		<b>22.0</b>	<b>\$2,812,743</b>	<b>22.0</b>	<b>\$2,837,896</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		22.0	\$2,812,743	22.0	\$2,837,896
<b>Total All Funds</b>		<b>22.0</b>	<b>\$2,812,743</b>	<b>22.0</b>	<b>\$2,837,896</b>

# Agency

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## Department Of Corrections

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### Agency Mission

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

### Agency Description

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community. Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are seven separate, occupied facilities, which have a total operational capacity of 3,774 beds. In FY 2015, the average institutionalized population was 3,183 . The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2015, the number of probation and parole cases serviced totaled 24,150. The average number of offenders on home or community confinement was 246. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

In FY 2013, the Department implemented a program reorganization in order to further define major functions within the Department and to align programs with future performance measures. In addition to small changes in Central Management and Community Corrections, the major change was to split Institutional Corrections into four new programs: Custody & Security, Institutional Support, Institutional Rehabilitation & Population Management, and Healthcare Services.

### Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

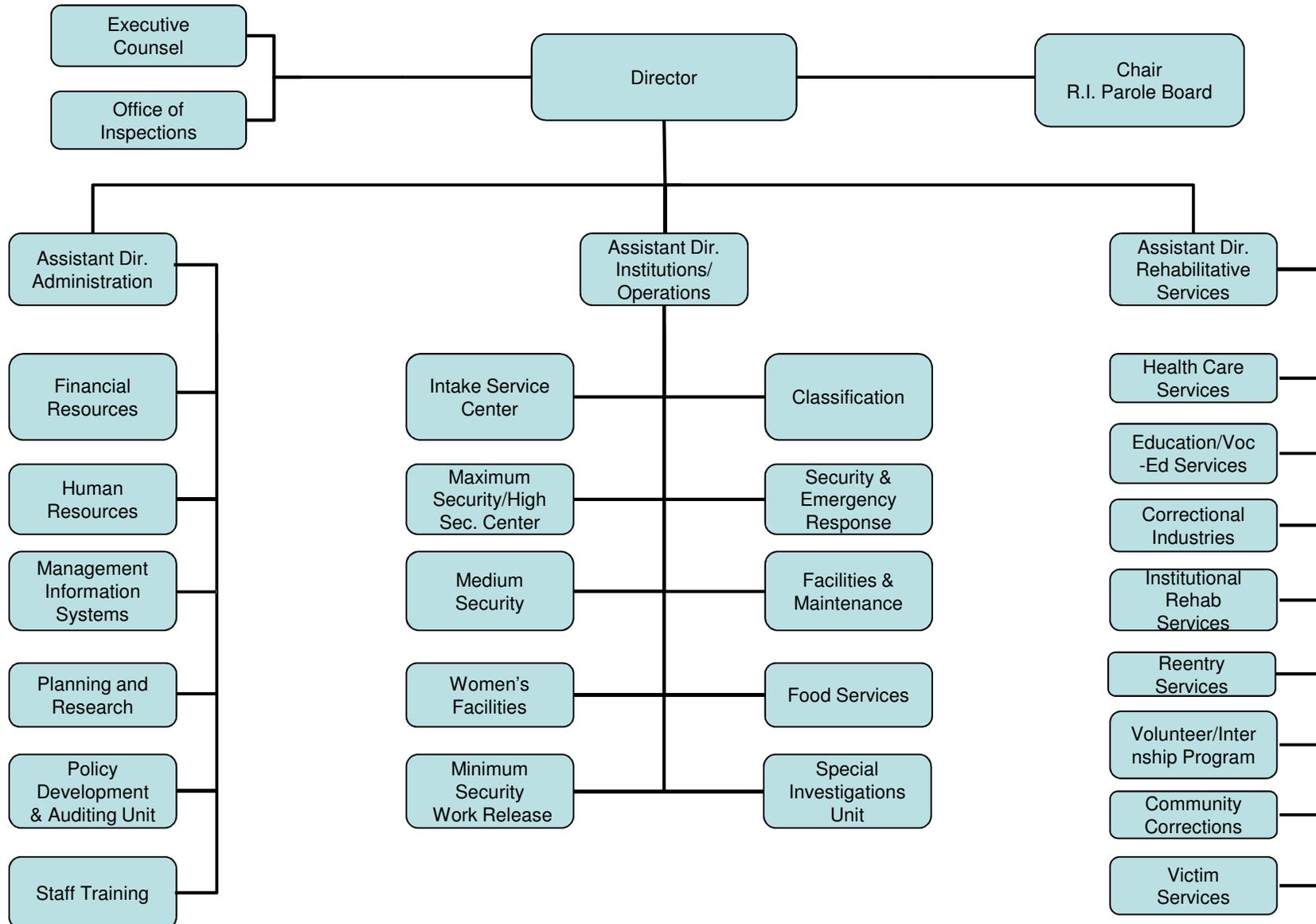
# Budget

## Department Of Corrections

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	8,178,194	8,630,623	9,077,197	9,808,924	10,179,627
Parole Board	1,214,147	1,290,433	1,383,685	1,441,852	1,352,487
Custody and Security	121,309,208	126,468,188	127,643,470	131,302,017	134,577,721
Institutional Support	21,074,634	19,439,153	26,011,667	26,656,088	27,991,751
Institutional Based Rehab/Population Management	9,729,664	9,795,253	10,106,057	10,485,800	12,713,655
Healthcare Services	19,205,059	20,625,429	20,771,182	22,162,719	22,138,257
Community Corrections	15,094,258	15,141,061	16,032,431	15,868,701	17,166,152
<i>Internal Services</i>	<i>[10,064,067]</i>	<i>[9,318,650]</i>	<i>[13,996,149]</i>	<i>[14,286,226]</i>	<i>[14,398,393]</i>
<b>Total Expenditures</b>	<b>\$195,805,164</b>	<b>\$201,390,140</b>	<b>\$211,025,689</b>	<b>\$217,726,101</b>	<b>\$226,119,650</b>
<b>Expenditures By Object</b>					
Personnel	171,810,284	178,885,628	182,549,669	187,197,266	194,814,388
Operating Supplies and Expenses	15,100,266	17,210,949	17,574,458	18,023,719	17,762,965
Assistance and Grants	2,854,054	1,222,294	1,191,908	998,649	991,457
<b>Subtotal: Operating Expenditures</b>	<b>189,764,604</b>	<b>197,318,871</b>	<b>201,316,035</b>	<b>206,219,634</b>	<b>213,568,810</b>
Capital Purchases and Equipment	6,040,560	4,071,269	9,709,654	11,506,467	12,550,840
<b>Total Expenditures</b>	<b>\$195,805,164</b>	<b>\$201,390,140</b>	<b>\$211,025,689</b>	<b>\$217,726,101</b>	<b>\$226,119,650</b>
<b>Expenditures By Funds</b>					
General Revenue	188,152,167	196,162,110	200,225,250	204,394,923	212,679,501
Federal Funds	1,834,575	1,534,164	1,337,381	1,885,937	1,130,008
Restricted Receipts	53,383	169,484	47,058	269,614	60,141
Operating Transfers from Other Funds	5,765,039	3,524,382	9,416,000	11,175,627	12,250,000
<b>Total Expenditures</b>	<b>\$195,805,164</b>	<b>\$201,390,140</b>	<b>\$211,025,689</b>	<b>\$217,726,101</b>	<b>\$226,119,650</b>
<b>FTE Authorization</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,432.0</b>

# The Agency

## Department of Corrections



# Personnel

## Department Of Corrections Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		1,387.0	92,769,742	1,400.0	94,577,331
Unclassified		32.0	3,326,648	32.0	3,374,262
<b>Subtotal</b>		<b>1,419.0</b>	<b>\$96,096,390</b>	<b>1,432.0</b>	<b>\$97,951,593</b>
Briefing Time		-	2,028,061	-	2,058,973
Overtime		-	23,589,633	-	23,011,045
Temporary and Seasonal		-	-	-	304,852
Turnover		-	(\$7,045,982)	-	(\$7,575,748)
<b>Subtotal</b>		<b>-</b>	<b>\$18,571,712</b>	<b>-</b>	<b>\$17,799,122</b>
<b>Total Salaries</b>		<b>1,419.0</b>	<b>\$114,668,102</b>	<b>1,432.0</b>	<b>\$115,750,715</b>
<b>Benefits</b>					
Payroll Accrual			669,258		658,550
Holiday			3,035,999		3,407,878
FICA			8,886,231		9,098,785
Retiree Health			4,868,150		4,913,026
Health Benefits			18,934,972		20,585,146
Retirement			21,217,254		23,086,657
Contract Stipends			1,555,752		1,555,752
Workers Compensation			554,617		592,016
<b>Subtotal</b>			<b>\$59,722,233</b>		<b>\$63,897,810</b>
<b>Total Salaries and Benefits</b>		<b>1,419.0</b>	<b>\$174,390,335</b>	<b>1,432.0</b>	<b>\$179,648,525</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$122,897</b>		<b>\$125,240</b>
<b>Statewide Benefit Assessment</b>			<b>\$4,206,233</b>		<b>\$4,400,875</b>
<b>Payroll Costs</b>		<b>1,419.0</b>	<b>\$178,596,568</b>	<b>1,432.0</b>	<b>\$184,049,400</b>

# Personnel

## Department Of Corrections Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Buildings and Ground Maintenance			119,659		119,659
Training and Educational Services			425,441		341,135
Legal Services			110,941		62,491
Other Contracts			2,406,781		4,747,344
Information Technology			828,268		708,388
Clerical and Temporary Services			332,204		329,227
Design and Engineering Services			8,336		8,336
University and College Services			539,687		582,933
Management & Consultant Services			62,560		58,693
Medical Services			6,639,270		6,812,224
<b>Subtotal</b>			<b>\$11,473,147</b>		<b>\$13,770,430</b>
<b>Total Personnel</b>		<b>1,419.0</b>	<b>\$190,069,715</b>	<b>1,432.0</b>	<b>\$197,819,830</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1,389.5	\$185,318,483	1,402.5	\$193,665,590
Federal Funds		1.5	\$1,609,169	1.5	\$1,088,657
Restricted Receipts		-	\$269,614	-	\$60,141
Other Funds		28.0	\$2,872,449	28.0	\$3,005,442
<b>Total All Funds</b>		<b>1,419.0</b>	<b>\$190,069,715</b>	<b>1,432.0</b>	<b>\$197,819,830</b>

# Performance Measures

## Department Of Corrections

### *Re-Commitment to ACI*

The Department of Corrections (RIDOC) defines recidivism as an offender who was released from a sentence at an ACI facility and returned to an ACI facility as a sentenced offender. This includes probation and parole violators as well as newly sentenced inmates. Probation violators are included only if they are sentenced on a charge or violation. The figures below represent the re-commitment rate within the previous three years. 2013 data measures the 2009 cohort. 2012 cohort data will be available in 2016. Each annual cohort will be tracked going forward.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	48.9%	46.5%
<b>Actual</b>	48.9%	--	--	--	--

Performance for this measure is reported by calendar year.

### *Allocation of Supervision*

RIDOC Probation and Parole is currently in the process of administering the LSI-R Screener Assessment to determine risk level and better inform supervision interventions. As more assessments are completed, more cases should be eligible for low supervision, thus reducing active supervision by officers. The figures below represent probationers or parolees being actively supervised, as opposed to being on low or banked supervision.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	35.8%	33.8%
<b>Actual</b>	34.3%	35.1%	37%	--	--

Performance for this measure is reported by calendar year.

### *Incident Reports*

Institutions and Operations incidents include inmate on inmate assault, inmate on staff assault, uses of force, attempted escapes, escapes, and suicides. This measure reflects inmate climate within the institutions. RIDOC seeks to maintain a safe environment and minimize violence. The figures below represent the number of incident reports.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	520	510
<b>Actual</b>	591	622	521	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Department Of Corrections

### *Off-site Outpatient Inmate Medical Trips*

The figures below represent the number of off-site outpatient inmate medical trips, including those for hospital admissions and for medical appointments. Every medical trip encumbers supervision expenses.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	2,003	1,898
<b>Actual</b>	1,997	2,284	2,522	--	--

Performance for this measure is reported by calendar year.

# The Program

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## Department Of Corrections Central Management

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### **Program Mission**

The mission of the Rhode Island Department of Corrections (RIDOC) is to contribute to public safety by maintaining a balanced correctional system of institutional and community programs that provide a range of control and rehabilitative options for criminal offenders. The program mission is to provide overall direction of departmental policy, work with other state agencies to identify and implement correctional policies, set and administer standards in order to achieve accreditation by all relevant review bodies, and develop efficient management controls over information and resource support activities for correctional programs.

### **Program Description**

The primary function of the Central Management program is to provide executive direction and administrative support to other direct service operations in carrying out the department's mission. This program has two distinct sub-programs comprising of:

1. Executive - which consists of the Office of the Director, Legal Services and Internal Affairs. Activities include public relations and media interactions, legal representation, and monitoring of departmental activities to ensure integrity and legality.
2. Administration - which is comprised of Management Information Systems and Human Resources (both in conjunction with the Department of Administration); Planning & Research; Policy Development & Auditing; and Financial Resources. Activities include central budgeting, procurement, inventory management and monitoring of inmate accounts, logistical and materials management, record keeping, development and maintenance of computerized data collection and retrieval, departmental liaison with the statewide Justice Link program, program development, research and evaluation, and policy development and analysis.

### **Statutory History**

Title 42, Chapter 56 of the Rhode Island General Laws established the Rhode Island Department of Corrections in 1972. The law describes the department's organization and duties, and §42-56-10 sets forth the powers of the Director. RIGL 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. RIGL 42-56-39 requires the attachment of a prison impact statement to legislative bills.

# The Budget

## Department Of Corrections Central Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Executive	1,937,871	2,119,116	1,649,445	2,267,724	2,371,344
Administration	6,240,323	6,511,507	7,427,752	7,541,200	7,808,283
<b>Total Expenditures</b>	<b>\$8,178,194</b>	<b>\$8,630,623</b>	<b>\$9,077,197</b>	<b>\$9,808,924</b>	<b>\$10,179,627</b>
<b>Expenditures By Object</b>					
Personnel	7,215,574	7,451,375	7,682,169	8,423,129	8,787,006
Operating Supplies and Expenses	761,124	925,637	1,209,128	1,199,895	1,206,721
Assistance and Grants	-	3,450	13,457	13,457	13,457
<b>Subtotal: Operating Expenditures</b>	<b>7,976,698</b>	<b>8,380,462</b>	<b>8,904,754</b>	<b>9,636,481</b>	<b>10,007,184</b>
Capital Purchases and Equipment	201,496	250,161	172,443	172,443	172,443
<b>Total Expenditures</b>	<b>\$8,178,194</b>	<b>\$8,630,623</b>	<b>\$9,077,197</b>	<b>\$9,808,924</b>	<b>\$10,179,627</b>
<b>Expenditures By Funds</b>					
General Revenue	7,960,325	8,264,605	8,958,836	9,255,181	10,179,627
Federal Funds	217,869	243,468	118,361	347,053	-
Restricted Receipts	-	122,550	-	206,690	-
<b>Total Expenditures</b>	<b>\$8,178,194</b>	<b>\$8,630,623</b>	<b>\$9,077,197</b>	<b>\$9,808,924</b>	<b>\$10,179,627</b>

# Personnel

## Department Of Corrections Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	141,868	1.0	142,568
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	1.0	123,089	1.0	123,691
CHIEF INSPECTOR OFFICE OF INSPECTIONS	00141A	1.0	122,817	1.0	123,432
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	105,237	1.0	105,747
ASSOCIATE DIRECTOR PLANNING AND RESEARCH	00136A	1.0	101,060	1.0	101,552
ADMINISTRATOR OF PHYSICAL RESOURCES	00135A	1.0	97,677	1.0	98,162
CHIEF OF RECRUITMENT AND TRAINING	00135A	1.0	97,598	1.0	98,073
INTERDEPARTMENTAL PROJECT MANAGER	00139A	2.0	191,350	2.0	197,453
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	2.0	181,977	2.0	182,884
INSPECTOR, OFFICE OF INSPECTIONS	00136A	3.0	266,094	3.0	267,427
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	81,811	1.0	82,221
SUPERVISOR OF CORRECTIONAL OFFICER	00627A	2.0	161,978	2.0	162,780
SENIOR LEGAL COUNSEL	00134A	2.0	156,959	2.0	157,744
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	74,814	1.0	79,808
PRINCIPAL PLANNER (CORRECTIONS)	00131A	2.0	148,489	2.0	149,219
CORRECTIONAL OFFICER TRAINING INSTRUCTOR	00624A	6.0	436,815	6.0	440,335
FISCAL MANAGEMENT OFFICER	00B26A	1.0	72,436	1.0	72,799
FISCAL MANAGEMENT OFFICER	0C626A	2.0	144,690	2.0	145,411
PROGRAMMING SERVICES OFFICER	00131A	1.0	69,402	1.0	69,750
BUSINESS MANAGEMENT OFFICER	0C626A	1.0	63,089	1.0	63,405
ECONOMIC AND POLICY ANALYST	00130A	1.0	61,103	1.0	61,409
OFFICE MANAGER	0C623A	1.0	59,946	1.0	60,778
PRINCIPAL RESEARCH TECHNICIAN	00327A	1.0	58,868	1.0	59,162
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	58,787	1.0	59,081
SENIOR ACCOUNTANT	0C623A	1.0	57,326	1.0	59,077
DEPARTMENTAL GRIEVANCE COORDINATOR	00128A	1.0	56,566	1.0	59,292
ADMINISTRATIVE OFFICER	00124A	2.0	109,115	2.0	112,101
IMPLEMENTATION AIDE	0C622A	1.0	54,364	1.0	55,650
IMPLEMENTATION AIDE	00122A	1.0	53,569	1.0	53,837
SENIOR PLANNER	00126A	1.0	52,339	1.0	52,600
SENIOR TELLER	0C618A	1.0	52,304	1.0	52,566
ASSISTANT BUSINESS MANAGEMENT OFFICER	0C619A	1.0	51,473	1.0	52,555
STOREKEEPER (ACI)	0C617A	1.0	50,034	1.0	51,088
SUPERVISOR CENTRAL MAIL SERVICES	0C616A	1.0	48,162	1.0	48,403
INFORMATION SERVICES TECHNICIAN II	00120A	1.0	44,822	1.0	46,024
MOTOR EQUIPMENT OPERATOR (ACI)	0C613A	1.0	44,492	1.0	44,754
PROPERTY CONTROL AND SUPPLY OFFICER (ACI)	0C619A	1.0	44,471	1.0	45,854
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	43,604	1.0	44,517
SENIOR RECONCILIATION CLERK	0C614A	1.0	43,463	1.0	44,789
INFORMATION AIDE	0C615A	2.0	86,653	2.0	88,090
SENIOR WORD PROCESSING TYPIST	00312A	1.0	42,957	1.0	43,862
EXECUTIVE ASSISTANT	00118A	2.0	83,220	2.0	84,979
LEGAL ASSISTANT	00119A	1.0	41,471	1.0	43,877
CLERK SECRETARY	0B616A	1.0	40,558	1.0	40,761
SENIOR STORES CLERK	0C611A	2.0	72,116	2.0	74,206

# Personnel

## Department Of Corrections Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
RECONCILIATION CLERK	00610A	1.0	34,343	1.0	35,274
<b>Subtotal</b>		<b>63.0</b>	<b>\$4,285,376</b>	<b>63.0</b>	<b>\$4,339,047</b>
<b>Unclassified</b>					
ASSISTANT DIRECTOR OF ADMINISTRATION	00844A	1.0	145,267	1.0	145,994
DIRECTOR DEPARTMENT OF CORRECTIONS	00951KF	1.0	118,914	1.0	118,914
EXECUTIVE COUNSEL	00839A	1.0	112,855	1.0	113,420
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	00824A	1.0	55,088	1.0	59,393
<b>Subtotal</b>		<b>4.0</b>	<b>\$432,124</b>	<b>4.0</b>	<b>\$437,721</b>
Briefing Time		-	156	-	156
Overtime		-	240,339	-	262,179
Temporary and Seasonal		-	-	-	304,852
Turnover		-	(368,412)	-	(201,393)
<b>Subtotal</b>		<b>-</b>	<b>(\$127,917)</b>	<b>-</b>	<b>\$365,794</b>
<b>Total Salaries</b>		<b>67.0</b>	<b>\$4,589,583</b>	<b>67.0</b>	<b>\$5,142,562</b>
<b>Benefits</b>					
Payroll Accrual			26,585		28,147
Holiday			-		45
FICA			352,287		370,087
Retiree Health			259,642		273,151
Health Benefits			841,269		935,850
Retirement			1,052,156		1,184,154
Contract Stipends			29,811		29,811
<b>Subtotal</b>			<b>\$2,561,750</b>		<b>\$2,821,245</b>
<b>Total Salaries and Benefits</b>		<b>67.0</b>	<b>\$7,151,333</b>	<b>67.0</b>	<b>\$7,963,807</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$106,736</b>		<b>\$114,313</b>
<b>Statewide Benefit Assessment</b>			<b>\$200,058</b>		<b>\$217,332</b>
<b>Payroll Costs</b>		<b>67.0</b>	<b>\$7,351,391</b>	<b>67.0</b>	<b>\$8,181,139</b>

# Personnel

## Department Of Corrections Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			647,722		343,842
University and College Services			-		41,094
Clerical and Temporary Services			49,585		46,608
Management & Consultant Services			37,560		33,693
Legal Services			98,191		49,741
Other Contracts			87,587		25,363
Training and Educational Services			146,983		-
Medical Services			4,110		65,526
<b>Subtotal</b>			<b>\$1,071,738</b>		<b>\$605,867</b>
<b>Total Personnel</b>		<b>67.0</b>	<b>\$8,423,129</b>	<b>67.0</b>	<b>\$8,787,006</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		67.0	\$7,897,669	67.0	\$8,787,006
Federal Funds		-	\$318,770	-	-
Restricted Receipts		-	\$206,690	-	-
<b>Total All Funds</b>		<b>67.0</b>	<b>\$8,423,129</b>	<b>67.0</b>	<b>\$8,787,006</b>

# The Program

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## Department Of Corrections Parole Board

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### **Program Mission**

The program mission is to release those incarcerated offenders for whom a community setting is more appropriate and productive means of completing their sentence.

### **Program Description**

The Parole Board evaluates and authorizes the conditional early release of eligible inmates, who have proven by their behavior and actions that they are capable of returning to the community (under supervision) to serve the remainder of their sentence as law-abiding citizens and whose release would not deprecate the seriousness of their offense nor promote disrespect for the law. Eligibility for initial parole consideration, determined by statute and calculated by the Department of Corrections, generally occurs when at least one third of the sentence has been served and the offender, if paroled, is then subject to such terms and conditions set by the Board for the remainder of his/her sentence. In FY 2015, the board granted parole in 240 cases and denied parole in 747 cases.

The Parole Board, through its Sex Offender Community Notification Unit (SOCNU), works with the Sex Offender Board of Review, in the application and implementation of sexual offender leveling, registration and community notification under the various statutory provisions of the general laws. The SOCNU is required to maintain a sex offender registry for the purpose of address verification. In FY 2015, the registry included 3,423 offenders.

The Parole Board further has jurisdiction and responsibility for the lifetime community supervision of persons convicted of 1st degree child molestation, and, up to 30 years after release of adult persons convicted of 2nd degree child molestation. The Sex Offender Community Notification Unit investigates and refers community supervision offenders to the Parole Board for its review and imposition of conditions of supervision.

In addition, under new legislation effective July 2014, the Parole Board is now responsible to consider petitions for certificates of recovery and re-entry to eligible offenders whom the Board determines to have successfully achieved rehabilitation. This will require the Board to take on significant new duties and responsibilities in addition to existing responsibilities concerning parole, conditions of parole, sex offender leveling and registration, and lifetime community supervision of sex offenders.

### **Statutory History**

Title 13, Chapter 8 of the Rhode Island General Laws establishes a Parole Board within the Department of Corrections. RIGL §13-8-30 through 33 creates a program of community supervision for 1st and 2nd degree child molesters and duties of the Parole Board. RIGL 11-37.1-15 creates a sex offender review board and requires notification of local law enforcement agencies of the release or parole of certain sex offenders. Title 13, Chapter 8.2 of the Rhode Island General Laws establishes certificates of recovery and re-entry.

# The Budget

## Department Of Corrections Parole Board

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Parole Board	833,570	894,680	965,993	1,030,892	954,608
Sex Offender Board of Revenue	380,577	395,753	417,692	410,960	397,879
<b>Total Expenditures</b>	<b>\$1,214,147</b>	<b>\$1,290,433</b>	<b>\$1,383,685</b>	<b>\$1,441,852</b>	<b>\$1,352,487</b>
<b>Expenditures By Object</b>					
Personnel	1,172,520	1,241,517	1,328,761	1,387,123	1,297,521
Operating Supplies and Expenses	41,627	48,017	53,884	53,689	53,926
<b>Subtotal: Operating Expenditures</b>	<b>1,214,147</b>	<b>1,289,534</b>	<b>1,382,645</b>	<b>1,440,812</b>	<b>1,351,447</b>
Capital Purchases and Equipment	-	899	1,040	1,040	1,040
<b>Total Expenditures</b>	<b>\$1,214,147</b>	<b>\$1,290,433</b>	<b>\$1,383,685</b>	<b>\$1,441,852</b>	<b>\$1,352,487</b>
<b>Expenditures By Funds</b>					
General Revenue	1,176,148	1,251,924	1,345,685	1,391,609	1,338,481
Federal Funds	37,999	38,509	38,000	50,243	14,006
<b>Total Expenditures</b>	<b>\$1,214,147</b>	<b>\$1,290,433</b>	<b>\$1,383,685</b>	<b>\$1,441,852</b>	<b>\$1,352,487</b>

# Personnel

## Department Of Corrections Parole Board

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
EXECUTIVE SECRETARY - PAROLE BOARD	00C34A	1.0	96,614	1.0	97,097
FIELD INVESTIGATOR (CORRECTIONS)	00C20A	3.0	158,573	3.0	159,365
SYSTEMS SUPPORT TECHNICIAN I	00318A	1.0	45,006	1.0	45,232
CLERK SECRETARY	00316A	1.0	44,460	1.0	44,683
DATA CONTROL CLERK	00315A	1.0	39,481	1.0	39,678
SENIOR WORD PROCESSING TYPIST	00312A	1.0	35,980	1.0	37,083
<b>Subtotal</b>		<b>8.0</b>	<b>\$420,114</b>	<b>8.0</b>	<b>\$423,138</b>
<b>Unclassified</b>					
CHAIRPERSON - PAROLE BOARD	00841A	1.0	117,686	1.0	118,275
SPECIAL PROJECTS COORDINATOR	00827A	1.0	76,551	1.0	76,930
MEMBER-PAROLE BOARD	00810F	-	155,046	-	157,448
<b>Subtotal</b>		<b>2.0</b>	<b>\$349,283</b>	<b>2.0</b>	<b>\$352,653</b>
Overtime		-	725	-	729
Turnover		-	-	-	(51,747)
<b>Subtotal</b>		<b>-</b>	<b>\$725</b>	<b>-</b>	<b>(\$51,018)</b>
<b>Total Salaries</b>		<b>10.0</b>	<b>\$770,122</b>	<b>10.0</b>	<b>\$724,773</b>
<b>Benefits</b>					
Payroll Accrual			4,992		4,258
FICA			58,914		55,445
Retiree Health			56,096		43,224
Health Benefits			126,774		135,880
Retirement			191,240		192,175
<b>Subtotal</b>			<b>\$438,016</b>		<b>\$430,982</b>
<b>Total Salaries and Benefits</b>		<b>10.0</b>	<b>\$1,208,138</b>	<b>10.0</b>	<b>\$1,155,755</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$120,814</b>		<b>\$115,576</b>
<b>Statewide Benefit Assessment</b>			<b>\$35,392</b>		<b>\$34,392</b>
<b>Payroll Costs</b>		<b>10.0</b>	<b>\$1,243,530</b>	<b>10.0</b>	<b>\$1,190,147</b>

# Personnel

## Department Of Corrections Parole Board

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			19,546		19,546
Clerical and Temporary Services			26,172		26,172
Legal Services			12,750		12,750
Other Contracts			51,515		15,296
Training and Educational Services			3,010		3,010
Medical Services			30,600		30,600
<b>Subtotal</b>			<b>\$143,593</b>		<b>\$107,374</b>
<b>Total Personnel</b>		<b>10.0</b>	<b>\$1,387,123</b>	<b>10.0</b>	<b>\$1,297,521</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		10.0	\$1,336,905	10.0	\$1,283,522
Federal Funds		-	\$50,218	-	\$13,999
<b>Total All Funds</b>		<b>10.0</b>	<b>\$1,387,123</b>	<b>10.0</b>	<b>\$1,297,521</b>

# The Program

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## Department Of Corrections Custody and Security

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### **Program Mission**

The program mission is to maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates and the general public, by maintaining a high degree of readiness in responding to disturbances and emergencies, by maintaining clean and safe facilities, by investigating allegations of inmate misconduct, and by providing technical services to security units.

### **Program Description**

The primary responsibility of the Custody and Security Program is the coordinated management of six correctional institutions and one jail complex, all located at the Pastore Complex in Cranston. Men's facilities include High Security, Maximum Security, John J. Moran Medium Security Facility, Minimum Security, and the Anthony P. Trivisono Intake Service Center. The Donald Price Facility, formerly a medium custody facility, closed November 2011. There are two Women's Facility buildings under a single administration: The Gloria McDonald Facility for awaiting trial and higher security inmates, and the Bernadette Building Facility for women classified to minimum security and work release. The Department of Corrections also administers a Men's Work Release program within the Minimum Security Facility.

The Custody and Security Program is by far the largest program of the Department of Corrections, in terms of both staff size and operating budget. This program is divided into two sub-programs:

1. Custody, which include all staff, and activities, and food service taking place within the facilities under the control of the respective Wardens.
2. Security, which includes the Correctional Emergency Response Team, Facility Security Audit Teams, CIT, Special Investigations Unit, Canine Unit (K-9) and a Department Armorer/Security Equipment Management position. These areas, in conjunction with Records & Identification are under direct administration of the Assistant Director for Institutions & Operations.

### **Statutory History**

Title 42, Chapter 56 of Rhode Island General Laws, enacted in 1972 established the Department of Corrections and, within it, the Adult Correctional Institutions. Section 4, amended in 1991 creates the Division of Institutions and Operations. Section 6 charges the Director or his designee to manage, supervise and control all of the Adult Correctional Institutions, including the maintenance of related properties. Section 16 specifically identifies a women's division.

# The Budget

## Department Of Corrections Custody and Security

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Institutions	117,798,307	122,849,937	123,588,083	127,470,781	130,325,172
Support Operations	3,510,904	3,627,467	4,055,387	3,831,236	4,252,549
Institutional Rehab Services	(3)	(9,216)	-	-	-
<b>Total Expenditures</b>	<b>\$121,309,208</b>	<b>\$126,468,188</b>	<b>\$127,643,470</b>	<b>\$131,302,017</b>	<b>\$134,577,721</b>
<b>Expenditures By Object</b>					
Personnel	118,364,684	123,094,246	124,158,524	127,767,837	131,001,832
Operating Supplies and Expenses	1,764,676	1,948,557	2,279,575	2,519,194	2,568,095
Assistance and Grants	1,163,743	1,213,267	1,174,473	984,088	976,896
<b>Subtotal: Operating Expenditures</b>	<b>121,293,103</b>	<b>126,256,070</b>	<b>127,612,572</b>	<b>131,271,119</b>	<b>134,546,823</b>
Capital Purchases and Equipment	16,105	212,118	30,898	30,898	30,898
<b>Total Expenditures</b>	<b>\$121,309,208</b>	<b>\$126,468,188</b>	<b>\$127,643,470</b>	<b>\$131,302,017</b>	<b>\$134,577,721</b>
<b>Expenditures By Funds</b>					
General Revenue	120,491,063	125,908,724	127,071,484	130,710,258	134,005,962
Federal Funds	818,145	559,464	571,986	591,759	571,759
<b>Total Expenditures</b>	<b>\$121,309,208</b>	<b>\$126,468,188</b>	<b>\$127,643,470</b>	<b>\$131,302,017</b>	<b>\$134,577,721</b>

# Personnel

## Department Of Corrections Custody and Security

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY WARDEN CORRECTIONS	00140A	9.0	949,742	9.0	960,166
CORRECTIONAL OFFICER-CAPTAIN	00630A	13.0	1,217,634	13.0	1,223,668
RECORDS AND IDENTIFICATION OFFICER (CAPT.)	00628A	2.0	168,028	2.0	168,862
WORK REHABILITATION PROGRAM SUPERVISOR	00628A	1.0	83,377	1.0	83,794
CORRECTIONAL OFFICER INVESTIGATOR II	00628A	1.0	82,145	1.0	83,437
CORRECTIONAL OFFICER SECURITY SPECIALIST	00628A	5.0	407,401	5.0	410,702
CORRECTIONAL OFFICER-LIEUTENANT	00626A	62.0	4,930,342	62.0	4,961,588
CORRECTIONAL OFFICER ARMORER	00624A	1.0	76,887	1.0	77,265
RECORDS AND IDENTIFICATION OFFICER (LT.)	00624A	7.0	515,887	7.0	519,785
CORRECTIONAL OFFICER INVESTIGATOR I	00624A	7.0	512,214	7.0	515,145
CHIEF OF MOTOR POOL AND MAINTENANCE	0C626A	1.0	72,862	1.0	73,227
CORRECTIONAL OFFICER (CANINE)	00624A	3.0	211,552	3.0	214,759
CORRECTIONAL OFFICER	00621A	854.0	54,593,881	854.0	55,355,559
OFFICE MANAGER	0C623A	2.0	123,874	2.0	125,175
AUTOMOBILE SERVICE SHOP SUPERVISOR-ACI	0C621A	1.0	61,356	1.0	61,663
ADMINISTRATIVE OFFICER	00124A	1.0	57,875	1.0	58,164
STOREKEEPER (ACI)	0C617A	1.0	50,788	1.0	51,042
CLERK SECRETARY	0C616A	1.0	49,620	1.0	49,868
DATA CONTROL CLERK	0C615A	4.0	185,145	4.0	188,113
EXECUTIVE ASSISTANT	00118A	5.0	229,525	5.0	230,662
CLERK SECRETARY	00116A	1.0	40,322	1.0	40,524
SENIOR WORD PROCESSING TYPIST	00112A	1.0	36,809	1.0	36,993
<b>Subtotal</b>		<b>983.0</b>	<b>\$64,657,266</b>	<b>983.0</b>	<b>\$65,490,161</b>
<b>Unclassified</b>					
ASSISTANT DIRECTOR INSTITUTIONS/OPERATIONS	00844A	1.0	152,374	1.0	153,132
DEPUTY ASSISTANT DIRECTOR, ADULT SERVICES	00815F	6.0	753,705	6.0	757,405
<b>Subtotal</b>		<b>7.0</b>	<b>\$906,079</b>	<b>7.0</b>	<b>\$910,537</b>
Briefing Time		-	1,805,726	-	1,825,650
Overtime		-	20,665,738	-	19,915,197
Turnover		-	(5,698,968)	-	(5,598,150)
<b>Subtotal</b>		<b>-</b>	<b>\$16,772,496</b>	<b>-</b>	<b>\$16,142,697</b>
<b>Total Salaries</b>		<b>990.0</b>	<b>\$82,335,841</b>	<b>990.0</b>	<b>\$82,543,395</b>
<b>Benefits</b>					
Payroll Accrual			462,232		468,667
Holiday			2,727,896		3,043,991
FICA			6,385,214		6,545,186
Retiree Health			3,111,578		3,138,678
Health Benefits			13,776,644		14,870,169
Retirement			14,128,618		15,387,334
Contract Stipends			1,395,015		1,395,015
Workers Compensation			515,204		552,603
<b>Subtotal</b>			<b>\$42,502,401</b>		<b>\$45,401,643</b>

# Personnel

## Department Of Corrections Custody and Security

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		990.0	\$124,838,242	990.0	\$127,945,038
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$126,099		\$129,237
<b>Statewide Benefit Assessment</b>			\$2,862,449		\$2,989,648
<b>Payroll Costs</b>		990.0	\$127,700,691	990.0	\$130,934,686
<b>Purchased Services</b>					
Clerical and Temporary Services			58,604		58,604
Other Contracts			5,634		5,634
Medical Services			2,908		2,908
<b>Subtotal</b>			\$67,146		\$67,146
<b>Total Personnel</b>		990.0	\$127,767,837	990.0	\$131,001,832
<b>Distribution By Source Of Funds</b>					
General Revenue		990.0	\$127,196,364	990.0	\$130,430,359
Federal Funds		-	\$571,473	-	\$571,473
<b>Total All Funds</b>		990.0	\$127,767,837	990.0	\$131,001,832

# The Program

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## Department Of Corrections Institutional Support

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### **Program Mission**

The program mission is to support the 45 separate buildings and grounds and the inmates by means of food, maintenance, and inmate classification activities.

### **Program Description**

The Institutional Support program includes: Food Services, Maintenance/Physical Plant, and Classification which are under direct administration of the Assistant Director for Institutions & Operations. All support services are conducted, at least in part, in the facilities of the Custody and Security program. Their policies and procedures are designed to complement and enhance the objectives of the Custody & Security program. Each support service unit is overseen by a senior manager or supervisor (Associate Director or Chief), and all report to the Assistant Director for Institutions/Operations in the chain of command. Activities include food preparation and distribution, maintenance and repairs to buildings and grounds, fire safety, locksmiths, security systems, environmental health inspections, determination of the appropriate custody level and services for inmates, and case management services.

### **Statutory History**

Statutes governing the Classification process are: R.I.G.L. 42-56-10 - "Powers of the Director" (I), R.I.G.L. 42-56-29 - "Receiving and Orientation Unit" - "Study of Incoming Prisoners", R.I.G.L. 42-56-30 - "Classification Board", R.I.G.L. 42-56-31 - "Determination of Classification and Rehabilitation Programs of Prisoners", R.I.G.L. 42-56-32 - "Classification Unit"

# The Budget

## Department Of Corrections Institutional Support

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Probation & Parole	8,413,173	8,485,591	9,230,335	8,643,702	8,636,381
Transitional Services	10,488,511	8,334,280	13,970,551	15,442,619	16,782,410
Community Programs	965,007	1,185,564	1,216,115	1,211,938	1,172,892
Operations	1,207,943	1,433,718	1,594,666	1,357,829	1,400,068
<b>Total Expenditures</b>	<b>\$21,074,634</b>	<b>\$19,439,153</b>	<b>\$26,011,667</b>	<b>\$26,656,088</b>	<b>\$27,991,751</b>
<b>Expenditures By Object</b>					
Personnel	6,757,673	6,991,279	7,378,229	6,924,094	7,214,291
Operating Supplies and Expenses	8,563,291	8,934,638	9,143,963	8,482,892	8,453,985
Assistance and Grants	7,457	4,473	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>15,328,421</b>	<b>15,930,390</b>	<b>16,522,192</b>	<b>15,406,986</b>	<b>15,668,276</b>
Capital Purchases and Equipment	5,746,213	3,508,763	9,489,475	11,249,102	12,323,475
<b>Total Expenditures</b>	<b>\$21,074,634</b>	<b>\$19,439,153</b>	<b>\$26,011,667</b>	<b>\$26,656,088</b>	<b>\$27,991,751</b>
<b>Expenditures By Funds</b>					
General Revenue	15,309,595	15,880,531	16,595,667	15,480,461	15,741,751
Federal Funds	-	34,240	-	-	-
Operating Transfers from Other Funds	5,765,039	3,524,382	9,416,000	11,175,627	12,250,000
<b>Total Expenditures</b>	<b>\$21,074,634</b>	<b>\$19,439,153</b>	<b>\$26,011,667</b>	<b>\$26,656,088</b>	<b>\$27,991,751</b>

# Personnel

## Department Of Corrections Institutional Support

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR OF CLASSIFICATION	00140A	1.0	117,927	1.0	118,513
ASSOCIATE DIRECTOR OF MAINTENANCE	00139A	1.0	109,137	1.0	109,683
ASSOCIATE DIRECTOR - FOOD SERVICES	00134A	1.0	95,830	1.0	96,298
PROGRAMMING SERVICES OFFICER	00J31A	1.0	81,210	1.0	81,617
INTAKE SERVICES COORDINATOR	00130A	1.0	78,376	1.0	78,768
SUPERVISOR OF FOOD SERVICES (ACI)	00627A	2.0	152,577	2.0	153,340
CLASSIFICATION COUNSELOR (CORRECTIONS)	00J26A	1.0	74,011	1.0	74,381
CORRECTIONAL OFFICER-STEWARD	00624A	21.0	1,548,065	21.0	1,558,211
CHIEF OF MOTOR POOL AND MAINTENANCE	0C626A	1.0	72,862	1.0	73,227
ADULT COUNSELOR (CORRECTIONS)	00J27A	3.0	210,245	3.0	211,262
ENVIRONMENTAL HEALTH COORDINATOR	00330A	1.0	62,910	1.0	63,225
ASSISTANT BUILDING AND GROUNDS OFFICER	00324A	3.0	166,819	3.0	170,250
ASSISTANT ADMINISTRATIVE OFFICER	0C621A	1.0	54,490	1.0	56,683
PLUMBER SUPERVISOR (ACI)	00322G	1.0	53,657	1.0	53,925
ELECTRICIAN SUPERVISOR (CORRECTIONS)	00322A	2.0	106,186	2.0	109,115
LOCKSMITH II	00320A	2.0	101,280	2.0	101,787
BUILDING SYSTEMS TECHNICIAN	00317A	1.0	48,766	1.0	49,010
CARPENTER SUPERVISOR (CORRECTIONS)	00320A	1.0	47,795	1.0	48,034
SENIOR MAINTENANCE TECHNICIAN	00316G	3.0	132,964	3.0	133,629
PLUMBER (CORRECTIONS)	00318G	2.0	88,149	2.0	88,591
LICENSED STEAMFITTER (ACI)	00317G	1.0	42,556	1.0	42,769
FIRE SAFETY TECHNICIAN (CORRECTIONS)	00318A	1.0	40,558	1.0	40,761
ELECTRICIAN (CORRECTIONS)	00318G	1.0	40,278	1.0	40,480
EXECUTIVE ASSISTANT	00118A	1.0	39,627	1.0	41,113
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	36,753	1.0	38,453
PRINCIPAL CLERK	00312A	1.0	35,371	1.0	35,548
<b>Subtotal</b>		<b>56.0</b>	<b>\$3,638,399</b>	<b>56.0</b>	<b>\$3,668,673</b>
<b>Unclassified</b>					
COORDINATOR OF EDUCATION	00841F	1.0	68,803	1.0	69,139
<b>Subtotal</b>		<b>1.0</b>	<b>\$68,803</b>	<b>1.0</b>	<b>\$69,139</b>

# Personnel

## Department Of Corrections Institutional Support

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Briefing Time		-	60,972	-	63,101
Overtime		-	932,999	-	979,695
Turnover		-	(281,779)	-	(243,014)
<b>Subtotal</b>		-	<b>\$712,192</b>	-	<b>\$799,782</b>
<b>Total Salaries</b>		<b>57.0</b>	<b>\$4,419,394</b>	<b>57.0</b>	<b>\$4,537,594</b>
<b>Benefits</b>					
Payroll Accrual			28,067		25,252
Holiday			92,689		112,032
FICA			346,735		355,694
Retiree Health			204,497		208,640
Health Benefits			762,886		820,841
Retirement			823,595		899,574
Contract Stipends			36,130		36,130
Workers Compensation			629		629
<b>Subtotal</b>			<b>\$2,295,228</b>		<b>\$2,458,792</b>
<b>Total Salaries and Benefits</b>		<b>57.0</b>	<b>\$6,714,622</b>	<b>57.0</b>	<b>\$6,996,386</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$117,800</b>		<b>\$122,744</b>
<b>Statewide Benefit Assessment</b>			<b>\$157,570</b>		<b>\$166,003</b>
<b>Payroll Costs</b>		<b>57.0</b>	<b>\$6,872,192</b>	<b>57.0</b>	<b>\$7,162,389</b>
<b>Purchased Services</b>					
University and College Services			31,500		31,500
Other Contracts			3,288		3,288
Buildings and Ground Maintenance			9,659		9,659
Design and Engineering Services			6,336		6,336
Medical Services			1,119		1,119
<b>Subtotal</b>			<b>\$51,902</b>		<b>\$51,902</b>
<b>Total Personnel</b>		<b>57.0</b>	<b>\$6,924,094</b>	<b>57.0</b>	<b>\$7,214,291</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		57.0	\$6,924,094	57.0	\$7,214,291
<b>Total All Funds</b>		<b>57.0</b>	<b>\$6,924,094</b>	<b>57.0</b>	<b>\$7,214,291</b>

# The Program

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## Department Of Corrections

## Institutional Based Rehab/Population Management

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### Program Mission

The program mission is to provide rehabilitative services, such as counseling and life skills/reentry training, educational services to achieve functional literacy and GED attainment, and vocational training.

### Program Description

With few exceptions, all persons sentenced to prison return to the community at some point. If RIDOC is to fulfill its public safety mission, it must not only provide custodial oversight, but must also pay attention to each offender's potential to successfully integrate into the community as a law abiding and productive citizen. Hence, the Department includes a comprehensive and integrated program of offender assessment and case management that can incorporate program utilization contained in an integrated departmental data system, and an array of treatment and rehabilitative programs designed to address individual criminogenic factors. The Department has developed an integrated data system to track case plans, programs, waiting lists, inmate evaluations and good time awarded called the TPCDS (Transition from Prison to Community Data System). TPCDS is essential in the efficient management of offenders' plans, compliance and progress. This system has become a foundational element without which the management of case planning and good time awards would be impossible. This system will also become instrumental in recidivism analyses as the Department moves forward to determine programs' impact on recidivism.

It is also important to note that program participation has an important impact on population levels as it provides a means for the inmate population to earn sentence credits while creating positive behavior modifications that impact recidivism. TPCDS also is utilized to post monthly and completion Program Earned Time. Release dates are recalculated based on the program earned time awards based upon information that is entered into the system by teachers, instructors and program providers. Following the offender to the next step in their preparation for release, the re-entry functions such as discharge planning assist in providing the offender avenues to obtain community-based resources or programs upon their return to the community. There are three major subprograms that are focused on these activities that oversee the pathway of an offender during incarceration, provide the opportunity for the offender to address identified needs and provide a blueprint for transitioning back into the community: Education and Vocational Training (including Adult Basic Education, Special Education, post secondary education, and Correctional Industries); Counseling and Case Planning (risk/needs assessment); and Reentry and Treatment Services (substance abuse, sex offender, domestic violence intervention, family reunification, and discharge planning).

### Statutory History

Correctional Industries operates under R.I.G.L. 13-7-1, Prison Made Goods, also referred to as the "State Use Law." This statute allows Prison Made Goods and Services to be sold to state agencies, cities, and towns, and non-profit organizations. In addition to this statute, Article 24 passed House and Senate approval, clarifying the use of inmate labor in the area of "Services" (i.e., cleaning crews, moving crews, painting crews, etc.) The section of this law that pertains to cities and towns has been amended to requires cities and towns to solicit bids from Correctional Industries rather than making it mandatory to purchase goods and services. RIGL 42-56-24 (passed in 2008) expands the number of days an inmate can reduce his sentence through participation in programs.

# The Budget

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## Department Of Corrections Institutional Based Rehab/Population Management

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Education/Voc Ed Services	3,363,082	3,224,737	3,525,283	3,551,363	3,386,002
Case Mgmt & Planning	2,254,534	2,508,656	2,459,366	2,526,381	2,488,392
Re-entry/Treatment Services	3,659,781	3,528,976	3,699,975	3,878,366	6,311,494
Instit Rehab & Popul Mgmt Pgms	452,267	532,884	421,433	529,690	527,767
<b>Total Expenditures</b>	<b>\$9,729,664</b>	<b>\$9,795,253</b>	<b>\$10,106,057</b>	<b>\$10,485,800</b>	<b>\$12,713,655</b>
<b>Expenditures By Object</b>					
Personnel	9,428,510	9,460,953	9,728,119	9,951,068	12,415,763
Operating Supplies and Expenses	291,697	234,972	373,155	529,949	293,109
<b>Subtotal: Operating Expenditures</b>	<b>9,720,207</b>	<b>9,695,925</b>	<b>10,101,274</b>	<b>10,481,017</b>	<b>12,708,872</b>
Capital Purchases and Equipment	9,457	99,328	4,783	4,783	4,783
<b>Total Expenditures</b>	<b>\$9,729,664</b>	<b>\$9,795,253</b>	<b>\$10,106,057</b>	<b>\$10,485,800</b>	<b>\$12,713,655</b>
<b>Expenditures By Funds</b>					
General Revenue	9,037,667	9,178,129	9,524,559	9,607,694	12,142,234
Federal Funds	664,397	589,224	552,034	834,534	527,398
Restricted Receipts	27,600	27,900	29,464	43,572	44,023
<b>Total Expenditures</b>	<b>\$9,729,664</b>	<b>\$9,795,253</b>	<b>\$10,106,057</b>	<b>\$10,485,800</b>	<b>\$12,713,655</b>

# Personnel

## Department Of Corrections

### Institutional Based Rehab/Population Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	97,527	1.0	98,015
PROFESSIONAL SERVICES COORDINATOR	00134A	1.0	89,603	1.0	90,051
COUNSELING SERVICES COORDINATOR	0C632A	1.0	85,640	1.0	87,386
SUBSTANCE ABUSE COORDINATOR	00132A	1.0	79,324	1.0	79,720
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	77,549	1.0	82,221
PAROLE COORDINATOR	00C27A	1.0	73,998	1.0	74,368
ADULT COUNSELOR (CORRECTIONS)	10J27A	1.0	69,143	1.0	69,477
ADULT COUNSELOR (CORRECTIONS)	00J27A	21.0	1,450,561	21.0	1,460,807
DATA CONTROL CLERK	0C615A	1.0	48,613	1.0	48,856
ADMINISTRATIVE OFFICER	00124A	2.0	95,777	2.0	100,840
INFORMATION SERVICES TECHNICIAN II	00120A	1.0	45,902	1.0	47,920
LIBRARIAN (ACI)	0C620A	2.0	89,357	2.0	93,411
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	37,671	1.0	38,799
SENIOR WORD PROCESSING TYPIST	00312A	2.0	74,115	3.0	110,034
PROBATION AND PAROLE OFFICER I	00C27A <sup>1</sup>	-	-	7.0	414,561
<b>Subtotal</b>		<b>37.0</b>	<b>\$2,414,780</b>	<b>45.0</b>	<b>\$2,896,466</b>
<b>Unclassified</b>					
ASSISTANT DIRECTOR OF REHABILITATIVE	00844A	1.0	116,828	1.0	123,168
SCHOOL SOCIAL WORKER	0T002A	1.0	111,697	1.0	112,211
SPECIAL EDUCATION DIRECTOR/PRINCIPAL	00837A	1.0	111,223	1.0	111,759
TEACHER ACADEMIC ENGLISH/ENDORSEMENT IN	0T001A	1.0	95,808	1.0	96,250
TEACHER ACADEMIC - INDUSTRIAL ARTS	0T001A	1.0	92,259	1.0	92,702
TEACHER ACADEMIC (SPECIAL EDUCATION)	0T001A	3.0	244,310	3.0	257,668
TEACHER (ACADEMIC)	0T001A	10.0	798,234	10.0	810,454
<b>Subtotal</b>		<b>18.0</b>	<b>\$1,570,359</b>	<b>18.0</b>	<b>\$1,604,212</b>
Overtime		-	-	-	31,306
Turnover		-	(115,092)	-	(280,818)
<b>Subtotal</b>		-	<b>(\$115,092)</b>	-	<b>(\$249,512)</b>
<b>Total Salaries</b>		<b>55.0</b>	<b>\$3,870,047</b>	<b>63.0</b>	<b>\$4,251,166</b>
<b>Benefits</b>					
Payroll Accrual			26,361		24,975
FICA			295,295		325,008
Retiree Health			230,662		252,004
Health Benefits			563,811		722,060
Retirement			945,511		1,100,186
Contract Stipends			2,000		2,000
<b>Subtotal</b>			<b>\$2,063,640</b>		<b>\$2,426,233</b>

# Personnel

## Department Of Corrections

### Institutional Based Rehab/Population Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		55.0	\$5,933,687	63.0	\$6,677,399
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$107,885		\$105,990
<b>Statewide Benefit Assessment</b>			\$176,266		\$200,415
<b>Payroll Costs</b>		55.0	\$6,109,953	63.0	\$6,877,814
<b>Purchased Services</b>					
University and College Services			293,089		293,540
Clerical and Temporary Services			197,798		197,798
Other Contracts			1,694,162		3,452,471
Buildings and Ground Maintenance			110,000		110,000
Training and Educational Services			275,448		235,125
Medical Services			1,270,618		1,249,015
<b>Subtotal</b>			<b>\$3,841,115</b>		<b>\$5,537,949</b>
<b>Total Personnel</b>		<b>55.0</b>	<b>\$9,951,068</b>	<b>63.0</b>	<b>\$12,415,763</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		53.5	\$9,301,105	61.5	\$11,885,392
Federal Funds		1.5	\$606,391	1.5	\$486,348
Restricted Receipts		-	\$43,572	-	\$44,023
<b>Total All Funds</b>		<b>55.0</b>	<b>\$9,951,068</b>	<b>63.0</b>	<b>\$12,415,763</b>

*1 discharge planning personnel previously performed under contract services*

# The Program

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## Department Of Corrections Healthcare Services

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### Program Mission

The program mission is to provide constitutionally mandated health care to all inmates, including diagnostic and medical care for chronic conditions, acute care services so that an inmate's progress through the legal process is unimpeded. The program mission also provides the community with case findings and public health services and intervention to protect the health of all Rhode Islanders.

### Program Description

The Health Care Services unit provides medical, dental, and behavioral health care to the incarcerated inmate population who are either sentenced or awaiting trial within all facilities of the Rhode Island Department of Corrections (RIDOC). The program serves Rhode Island's highest risk population, a population unlikely to receive regular medical or dental care while in the community, and therefore at risk for contracting and spreading untreated infectious disease.

The daily inmate population is many times more likely than the general population to have infectious and other diseases and conditions. Approximately 1% of the population is HIV positive, approximately 25% of the inmate population is infected with Hepatitis C, 15 – 20% of the incarcerated population has serious mental illness and up to 80% of the population are substance abusers. We have diagnosed more HIV infections in the state than any other testing site. Each offender must be evaluated by a nurse and a behavioral health specialist at commitment and then re-evaluated by a physician and/or a psychiatrist if they are found to have underlying disease. Inmates who require medication must have a secure method of receiving that medication 24 hours a day. Inmates who become ill while incarcerated must be promptly evaluated and treated. New commitments offer special challenges since many of them experience potentially life-threatening withdrawal from substances taken in the community. Female offenders also require an array of gender specific medical and mental health needs. It is more likely for a person with a serious mental illness to be housed at the RIDOC than it is for that person to be housed in a psychiatric inpatient facility elsewhere in the state. RIDOC, though not it's primary role or responsibility, provides custodial care for more people with behavioral illnesses than any other inpatient psychiatric institution in Rhode Island.

The RIDOC currently has two infirmaries that operate 24-hours per day, 7-days per week, located at the commitment centers in the Women's Facility and Intake Service Center, and six on-site dispensaries, staffed by nurses (including nursing supervisors), full-time equivalent physicians (both state employed and consultants), and Physician Extenders who provide on-site and telephone coverage 24-hours a day. We have a capacity for dentists, both full-time employees and contractors, mental health workers, and psychiatrists, who provide on-site services, as well as a support staff of health educators, medical records personnel, and dental hygienists and assistants. Representatives from medical, nursing, behavioral health and administrative security staff meet together to discuss difficult cases and to plan integrated approaches to handling offender issues. A cooperative effort with the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) and the community mental health centers has been implemented to provide re-entry case management and cooperative planning at the Intake Service Center so that mentally ill offenders who are more appropriately managed in community corrections can be identified and transitioned into the appropriate community mental health care setting.

### Statutory History

Under the US Constitution and RIGL 42-56-10, the Healthcare Services unit is mandated to provide medical, dental, and behavioral healthcare to the incarcerated inmate population who are either sentenced or awaiting trail within all facilities of the Rhode Island Department of Corrections (RIDOC). The Department also complies with state medicaid as well as National Commission on Correctional Healthcare standards (NCCH) for the management of the Department's medical delivery system.

# The Budget

## Department Of Corrections Healthcare Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Medical Services	3,944,610	3,517,537	3,772,162	3,702,439	3,646,030
Nursing Services	7,425,001	7,482,961	7,727,646	8,094,239	7,975,996
Dental Services	815,710	1,129,932	1,115,162	1,230,141	1,192,013
Pharmacy Services	2,884,774	4,324,772	3,566,700	4,336,022	4,277,171
Physician Services	1,153,259	1,266,428	1,384,998	1,352,318	1,344,768
Behavioral Health Services	2,142,448	2,075,629	2,324,293	2,658,940	2,850,269
AIDS Counseling	246,515	254,145	260,055	257,482	247,646
Medical Records	592,742	574,025	620,166	531,138	604,364
<b>Total Expenditures</b>	<b>\$19,205,059</b>	<b>\$20,625,429</b>	<b>\$20,771,182</b>	<b>\$22,162,719</b>	<b>\$22,138,257</b>
<b>Expenditures By Object</b>					
Personnel	14,527,301	16,185,512	17,081,145	17,701,030	17,731,046
Operating Supplies and Expenses	2,979,365	4,439,917	3,686,836	4,458,488	4,404,010
Assistance and Grants	1,681,750	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>19,188,416</b>	<b>20,625,429</b>	<b>20,767,981</b>	<b>22,159,518</b>	<b>22,135,056</b>
Capital Purchases and Equipment	16,643	-	3,201	3,201	3,201
<b>Total Expenditures</b>	<b>\$19,205,059</b>	<b>\$20,625,429</b>	<b>\$20,771,182</b>	<b>\$22,162,719</b>	<b>\$22,138,257</b>
<b>Expenditures By Funds</b>					
General Revenue	19,205,059	20,625,429	20,771,182	22,162,719	22,138,257
<b>Total Expenditures</b>	<b>\$19,205,059</b>	<b>\$20,625,429</b>	<b>\$20,771,182</b>	<b>\$22,162,719</b>	<b>\$22,138,257</b>

# Personnel

## Department Of Corrections Healthcare Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
MEDICAL PROGRAM DIRECTOR (CORRECTIONS)	00154A	1.0	170,051	1.0	170,902
PHYSICIAN II (GENERAL)	00740A	3.0	389,639	3.0	391,557
ASSOCIATE DIRECTOR OF HEALTH CARE	00141A	1.0	123,841	1.0	124,455
PHYSICIAN EXTENDER (CORRECTIONS)	0B659A	2.0	228,140	2.0	232,080
DIRECTOR OF GENERAL NURSING SERVICES	00140A	1.0	113,036	1.0	113,598
CLINICAL DIRECTOR, PSYCHOLOGIST	00141A	1.0	107,465	1.0	108,003
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	102,997	1.0	103,508
CORRECTIONAL OFFICER (HOSPITAL SUPERVISOR)	0B655A	4.0	377,794	4.0	381,103
PRINCIPAL PUBLIC HEALTH PROMOTION	00133A	1.0	90,012	1.0	90,462
CORRECTIONAL OFFICER HOSPITAL II	0B651A	33.0	2,785,950	33.0	2,815,933
CLINICAL PSYCHOLOGIST	00J27A	1.0	80,195	1.0	80,577
PUBLIC HEALTH EDUCATION SPECIALIST	01331A	1.0	74,353	1.0	74,713
CORRECTIONAL OFFICER-HOSPITAL	0B624A	9.0	618,640	9.0	621,700
CLINICAL SOCIAL WORKER	00J27A	11.0	736,286	11.0	749,858
SUPERVISING CLINICAL PSYCHOLOGIST	00J29A	1.0	62,910	1.0	63,225
SENIOR X-RAY TECHNICIAN CORRECTIONS	0C620A	1.0	55,753	1.0	56,032
ADMINISTRATIVE OFFICER	00124A	1.0	52,614	1.0	52,877
MEDICAL RECORDS TECHNICIAN	0C620A	1.0	50,235	1.0	50,486
PHARMACY AIDE	0C616A	1.0	49,620	1.0	49,868
DENTAL ASSISTANT (CORRECTIONS)	0C614A	2.0	93,658	2.0	94,127
CLERK SECRETARY	0C616A	1.0	43,395	1.0	44,396
SENIOR RECONCILIATION CLERK	0C614A	1.0	42,822	1.0	43,036
MEDICAL RECORDS CLERK	0C611A	4.0	169,020	4.0	171,983
<b>Subtotal</b>		<b>83.0</b>	<b>\$6,618,426</b>	<b>83.0</b>	<b>\$6,684,479</b>
Briefing Time		-	113,337	-	119,569
Overtime		-	1,413,076	-	1,453,982
Turnover		-	(135,583)	-	(457,513)
<b>Subtotal</b>		<b>-</b>	<b>\$1,390,830</b>	<b>-</b>	<b>\$1,116,038</b>
<b>Total Salaries</b>		<b>83.0</b>	<b>\$8,009,256</b>	<b>83.0</b>	<b>\$7,800,517</b>
<b>Benefits</b>					
Payroll Accrual			50,698		44,296
Holiday			177,766		208,735
FICA			624,757		611,329
Retiree Health			387,026		371,750
Health Benefits			903,503		971,263
Retirement			1,555,894		1,597,437
Contract Stipends			65,423		65,423
Workers Compensation			38,784		38,784
<b>Subtotal</b>			<b>\$3,803,851</b>		<b>\$3,909,017</b>

# Personnel

## Department Of Corrections Healthcare Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		83.0	\$11,813,107	83.0	\$11,709,534
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$142,327		\$141,079
<b>Statewide Benefit Assessment</b>			\$298,211		\$295,781
<b>Payroll Costs</b>		83.0	\$12,111,318	83.0	\$12,005,315
<b>Purchased Services</b>					
University and College Services			115,098		116,799
Management & Consultant Services			25,000		25,000
Other Contracts			374,699		375,876
Medical Services			5,074,915		5,208,056
<b>Subtotal</b>			\$5,589,712		\$5,725,731
<b>Total Personnel</b>		83.0	\$17,701,030	83.0	\$17,731,046
<b>Distribution By Source Of Funds</b>					
General Revenue		83.0	\$17,701,030	83.0	\$17,731,046
<b>Total All Funds</b>		83.0	\$17,701,030	83.0	\$17,731,046

# The Program

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## Department Of Corrections Community Corrections

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### **Program Mission**

The program mission is to provide correctional services and programs that encourages and assists offenders in modifying behavior to enable them to become productive law-abiding citizens. The program also provides sentencing options for inmates who pose a manageable risk to the community, and provides courts with comprehensive information to aid in judicial decision making.

### **Program Description**

The Department's policy provides that the Department shall assist offenders in their rehabilitative efforts by affording them the opportunity to participate in essential rehabilitative services in the institutions and community and encourage offenders to become accountable for their actions. The program has three components: Probation and Parole, which provides supervision and services for those offenders under the jurisdiction of the court or the Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders (8,689 under active supervision in FY 2015); Community Programs, including discharge planning and case management services, and community confinement supervision through electronic monitoring and other means (successful completion for 278 inmates and unsuccessful completion for 97 inmates in FY 2015); and Victims Services, including automated offender information and advocacy.

### **Statutory History**

Rhode Island was the first state to provide statewide probation services, enacted upon passage of an adult and juvenile probation law in 1899 under Chapter 664 of the Public Laws. Rhode Island General Laws (RIGL) 12-18-1 in 1926 established responsibility for the placement of offenders on probation under the appropriate state department. In 1972, the law was amended to place Probation under the aegis of the Department of Corrections. Parole and the powers of the Parole Board were established in 1915 under R.I.G.L. 13-8, with parole supervision responsibilities being set out in 13-8-17 (Reports and Control by the Division of Field Services).

The Interstate Compact Agreement, R.I.G.L. 13-9, enacted in 1936, permitted any person convicted of an offense in a state and placed on probation or released on parole, to reside in any other state under specific conditions of residency and supervision. In 2002, Rhode Island voted to adopt the newly revised Interstate compact for Adult Offender Supervision.

R.I.G.L. 12-19-6 (Pre-sentence Reports), enacted in 1956, required that pre-sentence reports be prepared for the court by Probation and Parole for offenders who plead guilty or nolo contendere on any charge for which a sentence of more than one year may be imposed.

Offender fees for probationers and parolees were established in 1994 under the authority of R.I.G.L. 42-56-10 (Powers of the Director) and 42-56-38 (Assessment of Costs).

R.I.G.L. 12-29 amended in 1997 requires that all domestic violence offenders attend a batterer's intervention program certified by the Batterer's Intervention Program Standard Oversight Committee, which is chaired by the Department of Corrections.

R.I.G.L. 13-8-30 enacted in 1999 requires lifetime supervision of sex offenders (1st and 2nd degree child molestation) overseen by the Rhode Island Parole Board to be supervised as if they were on parole.

R.I.G.L. 42-56-20.2 established the Community Confinement Program in 1989. In 1992, R.I.G.L. 42-56-20.3 established a community correctional program for women serving two years or less or awaiting trial. Eligibility criteria were changed in 1992 and in 1994. As of January 1, 1995, R.I.G.L. 42-56-20.2 was changed to curtail all violent offenders and substance dealers from participating in the program.

# The Budget

## Department Of Corrections Community Corrections

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Probation Services	11,658,970	11,537,574	12,205,163	12,293,376	13,724,021
Parole Services	1,259,713	1,249,077	1,284,124	1,128,479	1,088,851
Community-based Programs	2,033,059	2,214,427	2,399,376	2,298,230	2,250,239
Victim Services	142,509	139,983	142,486	147,334	101,831
Community Corrections	7	-	1,282	1,282	1,210
<b>Total Expenditures</b>	<b>\$15,094,258</b>	<b>\$15,141,061</b>	<b>\$16,032,431</b>	<b>\$15,868,701</b>	<b>\$17,166,152</b>
<b>Expenditures By Object</b>					
Personnel	14,344,022	14,460,746	15,192,722	15,042,985	16,366,929
Operating Supplies and Expenses	698,486	679,211	827,917	779,612	783,119
Assistance and Grants	1,104	1,104	3,978	1,104	1,104
<b>Subtotal: Operating Expenditures</b>	<b>15,043,612</b>	<b>15,141,061</b>	<b>16,024,617</b>	<b>15,823,701</b>	<b>17,151,152</b>
Capital Purchases and Equipment	50,646	-	7,814	45,000	15,000
<b>Total Expenditures</b>	<b>\$15,094,258</b>	<b>\$15,141,061</b>	<b>\$16,032,431</b>	<b>\$15,868,701</b>	<b>\$17,166,152</b>
<b>Expenditures By Funds</b>					
General Revenue	14,972,310	15,052,768	15,957,837	15,787,001	17,133,189
Federal Funds	96,165	69,259	57,000	62,348	16,845
Restricted Receipts	25,783	19,034	17,594	19,352	16,118
<b>Total Expenditures</b>	<b>\$15,094,258</b>	<b>\$15,141,061</b>	<b>\$16,032,431</b>	<b>\$15,868,701</b>	<b>\$17,166,152</b>

# Personnel

## Department Of Corrections Community Corrections

	Grade	FY 2016		FY 2017		
		FTE	Cost	FTE	Cost	
<b>Classified</b>						
ADMINISTRATOR OF COMMUNITY CONFINEMENT	00139A	1.0	112,656	1.0	113,213	
ASSISTANT PROBATION AND PAROLE	00138A	2.0	191,159	2.0	204,224	
PROBATION AND PAROLE SUPERVISOR	00C33A	10.0	916,099	10.0	920,580	
HOME CONFINEMENT COORDINATOR	00133A	1.0	89,281	1.0	89,722	
DEPUTY COMPACT ADMINISTRATOR (ADULT	00C31A	1.0	82,903	1.0	88,755	
PROBATION AND PAROLE OFFICER II	00C29A	70.0	5,423,005	70.0	5,460,714	
COMMUNITY PROGRAM COUNSELOR	00J27A	5.0	366,558	5.0	368,361	
CORRECTIONAL OFFICER	00621A	6.0	412,716	6.0	414,747	
PROBATION AND PAROLE OFFICER I	00C27A	2	8.0	497,491	13.0	744,486
ADMINISTRATIVE OFFICER	00324A	1.0	57,814	1.0	58,103	
DATA CONTROL CLERK	00315A	1.0	46,390	1.0	46,622	
PROBATION AND PAROLE AIDE	00318A	12.0	551,206	12.0	557,118	
SENIOR WORD PROCESSING TYPIST	0C612A	1.0	45,256	1.0	45,714	
SENIOR WORD PROCESSING TYPIST	00312A	10.0	364,728	10.0	369,342	
<b>Subtotal</b>		<b>129.0</b>	<b>\$9,157,262</b>	<b>134.0</b>	<b>\$9,481,701</b>	
Briefing Time		-	47,870	-	50,497	
Overtime		-	327,731	-	358,734	
Turnover		-	(381,198)	-	(618,138)	
<b>Subtotal</b>		<b>-</b>	<b>(\$5,597)</b>	<b>-</b>	<b>(\$208,907)</b>	
<b>Total Salaries</b>		<b>129.0</b>	<b>\$9,151,665</b>	<b>134.0</b>	<b>\$9,272,794</b>	
<b>Benefits</b>						
Payroll Accrual			60,406		53,653	
Holiday			33,847		39,255	
FICA			700,928		712,036	
Retiree Health			524,332		529,589	
Health Benefits			1,584,128		1,730,473	
Retirement			2,138,181		2,309,795	
Contract Stipends			13,281		13,281	
<b>Subtotal</b>			<b>\$5,055,103</b>		<b>\$5,388,082</b>	
<b>Total Salaries and Benefits</b>		<b>129.0</b>	<b>\$14,206,768</b>	<b>134.0</b>	<b>\$14,660,876</b>	
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$110,130</b>		<b>\$109,410</b>	
<b>Statewide Benefit Assessment</b>			<b>\$403,614</b>		<b>\$420,930</b>	
<b>Payroll Costs</b>		<b>129.0</b>	<b>\$14,610,382</b>	<b>134.0</b>	<b>\$15,081,806</b>	

# Personnel

## Department Of Corrections Community Corrections

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			-		70,000
Other Contracts			177,603		857,123
Training and Educational Services			-		103,000
Medical Services			255,000		255,000
<b>Subtotal</b>			<b>\$432,603</b>		<b>\$1,285,123</b>
<b>Total Personnel</b>		<b>129.0</b>	<b>\$15,042,985</b>	<b>134.0</b>	<b>\$16,366,929</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		129.0	\$14,961,316	134.0	\$16,333,974
Federal Funds		-	\$62,317	-	\$16,837
Restricted Receipts		-	\$19,352	-	\$16,118
<b>Total All Funds</b>		<b>129.0</b>	<b>\$15,042,985</b>	<b>134.0</b>	<b>\$16,366,929</b>

*2 additional probation officers to reduce caseloads and perform front-end assessments*

# The Program

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## Department Of Corrections Internal Service Programs

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### **Program Mission**

The program mission is to provide the most cost-effective delivery of goods and services, including those manufactured by inmates, to other state programs.

### **Program Description**

There are various services required by state-operated programs that are provided on a centralized basis, whose costs are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods and services. These Internal Service programs are presented for display purposes, as the costs are reflected in the budgets of the user agencies. There are two such programs in the Department of Corrections: the Central Distribution Center, which purchases \$5.3 million in food and cleaning, household and office supplies for distribution to state agencies; and Correctional Industries, which employs 146 inmates to provide \$3.9 million in printing, furniture restoration, license plate production, auto maintenance, clothing and linens, grounds keeping, janitorial, office moving, and a variety of other services to state, municipal and non-profit agencies.

### **Statutory History**

The Department of Administration is authorized to establish a system of rotary funds in RIGL 35-5. RIGL 35-5-8 and 9 identifies services and procedures for the State General Store. RIGL 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities, and non-profit organizations.

# The Budget

## Department Of Corrections Internal Service Programs

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	10,064,067	9,318,650	13,996,149	14,286,226	14,398,393
Internal Services	<i>[10,064,067]</i>	<i>[9,318,650]</i>	<i>[13,996,149]</i>	<i>[14,286,226]</i>	<i>[14,398,393]</i>
<b>Total Expenditures</b>	<b>\$10,064,067</b>	<b>\$9,318,650</b>	<b>\$13,996,149</b>	<b>\$14,286,226</b>	<b>\$14,398,393</b>
<b>Expenditures By Object</b>					
Personnel	2,517,941	2,519,520	2,632,838	2,872,449	3,005,442
Operating Supplies and Expenses	6,909,958	6,701,718	10,634,076	10,649,542	10,663,716
Assistance and Grants	88,190	86,743	214,235	214,235	214,235
<b>Subtotal: Operating Expenditures</b>	<b>9,516,089</b>	<b>9,307,981</b>	<b>13,481,149</b>	<b>13,736,226</b>	<b>13,883,393</b>
Capital Purchases and Equipment	547,978	10,669	515,000	550,000	515,000
<b>Total Expenditures</b>	<b>\$10,064,067</b>	<b>\$9,318,650</b>	<b>\$13,996,149</b>	<b>\$14,286,226</b>	<b>\$14,398,393</b>
<b>Expenditures By Funds</b>					
Other Funds	10,064,067	9,318,650	13,996,149	14,286,226	14,398,393
<b>Total Expenditures</b>	<b>\$10,064,067</b>	<b>\$9,318,650</b>	<b>\$13,996,149</b>	<b>\$14,286,226</b>	<b>\$14,398,393</b>

# Personnel

## Department Of Corrections Internal Service Programs

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR INDUSTRIES	00137A	1.0	99,825	1.0	100,324
CHIEF DISTRIBUTION OFFICER	00831A	1.0	76,528	1.0	76,911
INDUSTRIES GENERAL SUPERVISOR (ACI)	0C628A	2.0	150,114	2.0	150,866
ASSISTANT CHIEF DISTRIBUTION OFFICER	00328A	1.0	73,401	1.0	73,768
BUSINESS MANAGEMENT OFFICER	0C626A	1.0	69,668	1.0	70,016
PRINTING SHOP SUPERVISOR (ACI)	0C623A	2.0	123,510	2.0	124,128
METAL STAMPING SHOP SUPERVISOR (ACI)	0C621A	1.0	61,356	1.0	61,663
HORTICULTURE SHOP SUPERVISOR (ACI)	0C622A	1.0	59,447	1.0	59,744
GARMENT SHOP SUPERVISOR (ACI)	0C621A	1.0	58,644	1.0	58,937
FURNITURE/UPHOLSTERY REPAIR SHOP	0C622A	2.0	117,150	2.0	117,736
AUTO BODY SHOP SUPERVISOR (ACI)	0C622A	1.0	58,565	1.0	58,858
JANITORIAL/MAINTENANCE SUPERVISOR	0C621A	1.0	57,746	1.0	58,028
MARKETING/SALES MANAGER (PRISON	0C626A	1.0	55,607	1.0	58,730
STOREKEEPER (ACI)	0C617A	1.0	50,788	1.0	51,042
ASSISTANT BUSINESS MANAGEMENT OFFICER	00319A	1.0	48,847	1.0	51,421
SENIOR INSPECTOR (DIVISION OF PURCHASES)	00318A	1.0	47,150	1.0	47,385
SENIOR RECONCILIATION CLERK	00314A	1.0	46,819	1.0	47,050
ASSISTANT BUSINESS MANAGEMENT OFFICER	0C619A	1.0	45,559	1.0	47,442
WAREHOUSE WORKER (CORRECTIONS)	00313A	6.0	244,384	6.0	245,607
RECONCILIATION CLERK	00310A	1.0	33,011	1.0	34,010
<b>Subtotal</b>		<b>28.0</b>	<b>\$1,578,119</b>	<b>28.0</b>	<b>\$1,593,666</b>
Overtime		-	9,025	-	9,223
Turnover		-	(64,950)	-	(124,975)
<b>Subtotal</b>		-	<b>(\$55,925)</b>	-	<b>(\$115,752)</b>
<b>Total Salaries</b>		<b>28.0</b>	<b>\$1,522,194</b>	<b>28.0</b>	<b>\$1,477,914</b>
<b>Benefits</b>					
Payroll Accrual			9,917		9,302
Holiday			3,801		3,820
FICA			122,101		124,000
Retiree Health			94,317		95,990
Health Benefits			375,957		398,610
Retirement			382,059		416,002
Contract Stipends			14,092		14,092
<b>Subtotal</b>			<b>\$1,002,244</b>		<b>\$1,061,816</b>
<b>Total Salaries and Benefits</b>		<b>28.0</b>	<b>\$2,524,438</b>	<b>28.0</b>	<b>\$2,539,730</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$90,159</b>		<b>\$90,705</b>
<b>Statewide Benefit Assessment</b>			<b>\$72,673</b>		<b>\$76,374</b>
<b>Payroll Costs</b>		<b>28.0</b>	<b>\$2,597,111</b>	<b>28.0</b>	<b>\$2,616,104</b>

# Personnel

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## Department Of Corrections Internal Service Programs

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			161,000		275,000
University and College Services			100,000		100,000
Clerical and Temporary Services			45		45
Other Contracts			12,293		12,293
Design and Engineering Services			2,000		2,000
<b>Subtotal</b>			<b>\$275,338</b>		<b>\$389,338</b>
<b>Total Personnel</b>		<b>28.0</b>	<b>\$2,872,449</b>	<b>28.0</b>	<b>\$3,005,442</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		28.0	\$2,872,449	28.0	\$3,005,442
<b>Total All Funds</b>		<b>28.0</b>	<b>\$2,872,449</b>	<b>28.0</b>	<b>\$3,005,442</b>

# Agency

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## Judicial Department - Constitution

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### Agency Mission

In our mission to administer justice while maintaining our independence, we are duty bound to act with unfailing honor to serve and protect the ideals of democracy for the citizens of this state.

### Agency Description

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of last resort, the Superior Court is the court of general jurisdiction, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are courts of limited jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the Rhode Island Judiciary and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the State Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

### Statutory History

The Judicial is one of the three branches of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8, District Court; Title 28 Chapter 30, Workers' Compensation Court; and, Title 8 Chapter 8.2, Traffic Tribunal.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.

# Budget

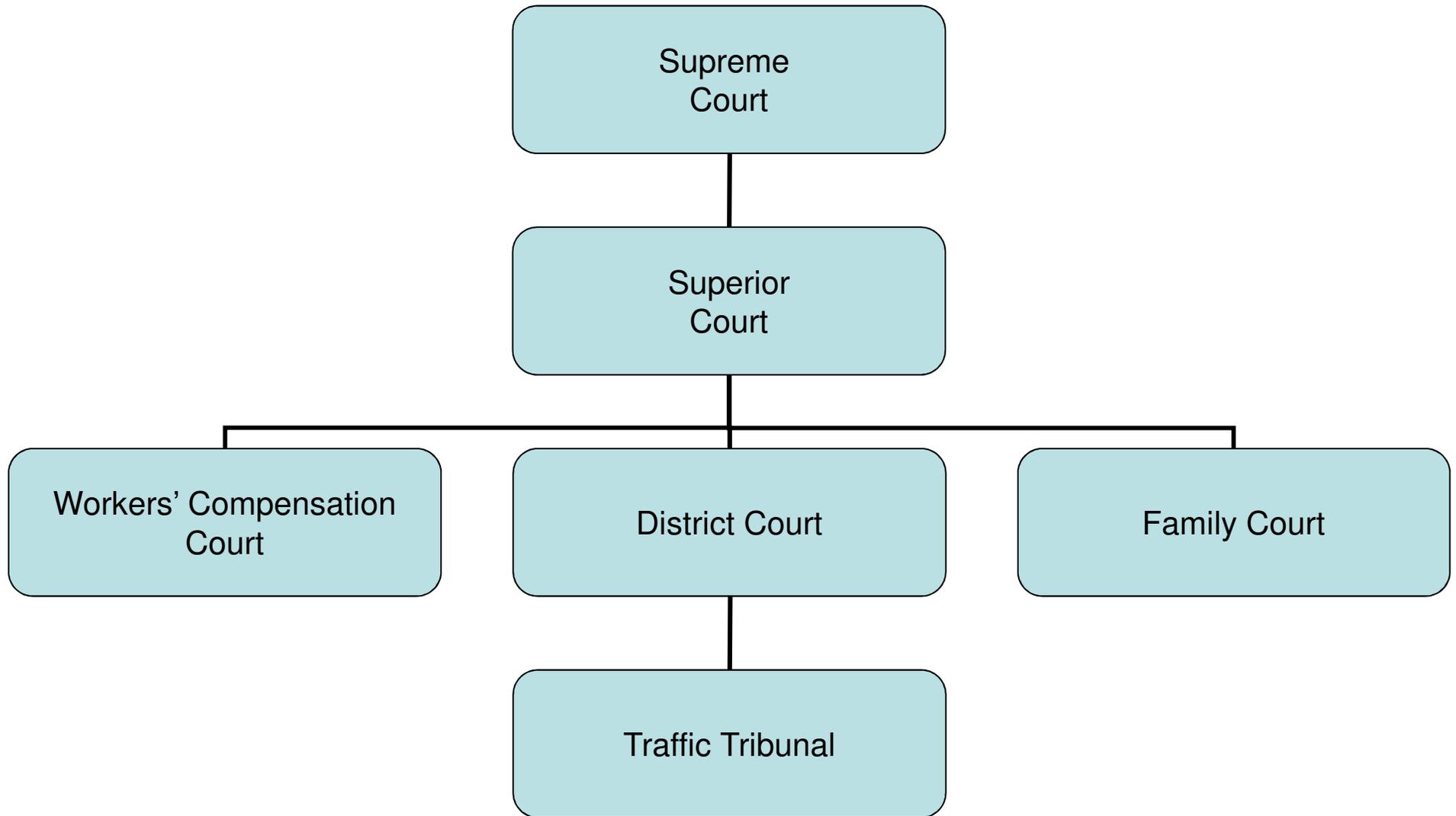
## Judicial Department - Constitution

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Supreme Court	34,827,694	35,031,922	39,376,432	40,490,202	40,041,882
Superior Court	22,045,433	23,162,218	23,560,346	23,450,533	23,230,091
Family Court	22,352,346	23,229,664	23,932,580	23,467,317	23,816,324
District Court	12,163,405	12,452,031	13,002,213	12,189,593	12,337,603
Traffic Tribunal	8,326,527	8,430,828	8,542,221	8,399,966	9,018,180
Worker's Compensation Court	7,228,824	7,359,629	7,763,807	7,853,054	8,096,017
Judicial Tenure & Discipline	107,834	119,161	121,527	121,462	124,865
<b>Total Expenditures</b>	<b>\$107,052,063</b>	<b>\$109,785,453</b>	<b>\$116,299,126</b>	<b>\$115,972,127</b>	<b>\$116,664,962</b>
<b>Expenditures By Object</b>					
Personnel	80,944,971	83,844,051	86,597,074	85,373,887	86,066,740
Operating Supplies and Expenses	11,167,757	11,930,117	13,162,158	12,362,408	12,239,977
Assistance and Grants	10,652,587	10,897,786	10,467,007	10,782,783	11,101,919
<b>Subtotal: Operating Expenditures</b>	<b>102,765,315</b>	<b>106,671,954</b>	<b>110,226,239</b>	<b>108,519,078</b>	<b>109,408,636</b>
Capital Purchases and Equipment	4,286,748	3,113,499	6,072,887	7,453,049	7,256,326
<b>Total Expenditures</b>	<b>\$107,052,063</b>	<b>\$109,785,453</b>	<b>\$116,299,126</b>	<b>\$115,972,127</b>	<b>\$116,664,962</b>
<b>Expenditures By Funds</b>					
General Revenue	91,551,391	94,371,203	96,031,046	95,581,117	96,341,410
Federal Funds	2,986,862	3,142,537	3,431,136	3,392,087	3,116,365
Restricted Receipts	10,426,434	10,923,799	11,336,944	11,412,688	11,682,187
Operating Transfers from Other Funds	2,087,376	1,347,914	5,500,000	5,586,235	5,525,000
<b>Total Expenditures</b>	<b>\$107,052,063</b>	<b>\$109,785,453</b>	<b>\$116,299,126</b>	<b>\$115,972,127</b>	<b>\$116,664,962</b>
<b>FTE Authorization</b>	<b>726.3</b>	<b>723.3</b>	<b>724.3</b>	<b>724.3</b>	<b>724.3</b>

# The Agency

Judicial Department

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# Personnel

## Judicial Department - Constitution Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		-	4,200	-	4,200
Unclassified		724.3	55,587,912	724.3	56,587,558
<b>Subtotal</b>		<b>724.3</b>	<b>\$55,592,112</b>	<b>724.3</b>	<b>\$56,591,758</b>
Overtime		-	700,402	-	384,952
Temporary and Seasonal		-	186,509	-	-
Turnover		-	(\$3,222,075)	-	(\$3,634,759)
<b>Subtotal</b>		<b>-</b>	<b>(\$2,335,164)</b>	<b>-</b>	<b>(\$3,249,807)</b>
<b>Total Salaries</b>		<b>724.3</b>	<b>\$53,256,948</b>	<b>724.3</b>	<b>\$53,341,951</b>
<b>Benefits</b>					
Payroll Accrual			299,870		303,458
FICA			3,436,260		3,456,745
Retiree Health			2,459,740		2,446,630
Health Benefits			7,848,211		8,331,503
Retirement			13,433,922		13,660,844
Workers Compensation			1,800		1,800
<b>Subtotal</b>			<b>\$27,479,803</b>		<b>\$28,200,980</b>
<b>Total Salaries and Benefits</b>		<b>724.3</b>	<b>\$80,736,751</b>	<b>724.3</b>	<b>\$81,542,931</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$111,211</b>		<b>\$112,582</b>
<b>Statewide Benefit Assessment</b>			<b>\$2,417,569</b>		<b>\$2,510,455</b>
<b>Payroll Costs</b>		<b>724.3</b>	<b>\$83,154,320</b>	<b>724.3</b>	<b>\$84,053,386</b>
<b>Purchased Services</b>					
Training and Educational Services			112,900		30,000
Legal Services			416,285		416,285
Other Contracts			661,500		601,500
Information Technology			744,253		720,190
Clerical and Temporary Services			53,214		53,214
Design and Engineering Services			64,415		64,415
Management & Consultant Services			155,000		115,750
Medical Services			12,000		12,000
<b>Subtotal</b>			<b>\$2,219,567</b>		<b>\$2,013,354</b>
<b>Total Personnel</b>		<b>724.3</b>	<b>\$85,373,887</b>	<b>724.3</b>	<b>\$86,066,740</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		654.8	\$74,605,187	652.8	\$75,093,878
Federal Funds		10.5	\$1,945,597	10.5	\$1,825,260
Restricted Receipts		59.0	\$8,823,103	61.0	\$9,147,602
<b>Total All Funds</b>		<b>724.3</b>	<b>\$85,373,887</b>	<b>724.3</b>	<b>\$86,066,740</b>

# Performance Measures

## Judicial Department - Constitution

### *Disposition Rate of Appeal Cases*

During a specified time period, if the Supreme Court is able to dispose more cases than those docketed, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog). The figures below represent the disposition rate of appeal cases. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	109%	110%	111%	--	--

Performance for this measure is reported by calendar year.

### *Disposition Rate of Assigned Civil Cases*

During a specified time period, if the Superior Court is able to dispose more assigned civil cases than those added to the trial calendar, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog). The figures below represent the disposition rate of civil cases assigned to the trial calendar. [Note: Calendar year 2015 reports are unavailable due to case management system conversion.]

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	156%	152%	--	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Felony Cases Disposed*

The figures below represent the percentage of felony cases disposed by the Superior Court within 180 days of arraignment. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	75%	75%	75%	75%	75%
<b>Actual</b>	62%	59%	59%	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diversion*

The Family Court has a Juvenile Services Unit that processes cases of juvenile, first-time offenders who have committed less serious offenses to be handled outside of court with no arraignment. The figures below represent the percentage of cases suitable for non-judicial processing that were diverted within 90 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	80%	80%	80%	80%	80%
<b>Actual</b>	92%	52%	46%	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Judicial Department - Constitution

### *Timeliness of Wayward/Delinquent Cases Requiring Court Involvement*

The figures below represent the percentage of cases requiring Family Court involvement that are adjudicated within 180 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	75%	75%	75%	75%	75%
<b>Actual</b>	52%	57%	54%	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Child Protection Cases Adjudicated*

The figures below represent the percentage of child protection cases that are adjudicated by the Family Court within 180 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	65%	65%	65%	65%	65%
<b>Actual</b>	44%	34%	32%	--	--

Performance for this measure is reported by calendar year.

### *Disposition Rate of Divorce Cases*

During a specified time period, if the Family Court is able to dispose more cases than those filed, the disposition rate will exceed 100 percent, thereby reducing the number of cases pending (backlog). The figures below represent the disposition rate of divorce cases. [Note: Calendar year 2015 reports are unavailable due to case management system conversion.]

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	103%	97%	--	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Misdemeanor Cases Disposed*

The figures below represent the percentage of misdemeanor cases disposed by the District Court within 60 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	73%	76%	73%	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Judicial Department - Constitution

### *Timeliness of Summonses Disposed*

The figures below represent the percentage of traffic summonses disposed by the Traffic Tribunal within 60 days. [Note: Calendar year 2015 reports are unavailable due to case management system conversion.]

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	96%	96%	--	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Cases Disposed at Pretrial*

The figures below represent the percentage of Workers' Compensation Court cases disposed at pretrial within 90 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	87%	86%	84%	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Cases Disposed at Trial*

The figures below represent the percentage of Workers' Compensation Court cases disposed at trial within 360 days of filing. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	90%	90%	90%	90%	90%
<b>Actual</b>	86%	88%	79%	--	--

Performance for this measure is reported by calendar year.

### *Timeliness of Verified Complaints Disposed*

The figures below represent the percentage of Judicial Tenure and Discipline cases closed during a fiscal year that were disposed within 90 days of docketing. Performance data include both cases filed through the end of a fiscal year and cases that were pending at the beginning of the fiscal year.

	2013	2014	2015	2016	2017
<b>Target</b>	100%	100%	100%	100%	100%
<b>Actual</b>	73%	86%	69%	--	--

Performance for this measure is reported by state fiscal year.

# The Program

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## Judicial Department - Constitution Supreme Court

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### **Program Mission**

Provide timely review of all decisions appealed from the lower courts. Provide overall administrative direction to the unified court system.

Regulate the admission, registration, and discipline of attorneys to the Rhode Island Bar.

### **Program Description**

The Supreme Court Program includes the budget for the Court as well as the Administrative Office of State Courts, and the State Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk department, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the court of last resort. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission, registration and discipline of members of the Rhode Island Bar.

Administrative services include all budgetary, personnel and purchasing functions; technology services; facilities and operations, which includes the maintenance of courthouse and judicial buildings as well as security; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; judicial records center; and research and statistical analysis.

The State Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence training and monitoring, mandatory continuing legal education, appellate screening, alternative dispute resolution, disciplinary counsel, community outreach and public relations, and the interpreter program.

### **Statutory History**

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws

# The Budget

## Judicial Department - Constitution Supreme Court

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Supreme Court Operations	31,216,042	31,175,820	35,834,192	36,947,962	36,257,476
Defense of Indigents	3,611,652	3,856,102	3,542,240	3,542,240	3,784,406
<b>Total Expenditures</b>	<b>\$34,827,694</b>	<b>\$35,031,922</b>	<b>\$39,376,432</b>	<b>\$40,490,202</b>	<b>\$40,041,882</b>
<b>Expenditures By Object</b>					
Personnel	19,658,688	19,890,052	20,276,847	21,302,534	20,610,191
Operating Supplies and Expenses	6,799,613	7,496,111	8,704,725	7,803,566	7,901,658
Assistance and Grants	4,629,281	4,898,171	4,543,615	4,547,615	4,791,781
<b>Subtotal: Operating Expenditures</b>	<b>31,087,582</b>	<b>32,284,334</b>	<b>33,525,187</b>	<b>33,653,715</b>	<b>33,303,630</b>
Capital Purchases and Equipment	3,740,112	2,747,588	5,851,245	6,836,487	6,738,252
<b>Total Expenditures</b>	<b>\$34,827,694</b>	<b>\$35,031,922</b>	<b>\$39,376,432</b>	<b>\$40,490,202</b>	<b>\$40,041,882</b>
<b>Expenditures By Funds</b>					
General Revenue	29,756,749	30,679,845	30,649,257	31,673,036	31,311,565
Federal Funds	168,518	115,873	123,289	126,931	128,933
Restricted Receipts	2,815,051	2,888,290	3,103,886	3,104,000	3,076,384
Operating Transfers from Other Funds	2,087,376	1,347,914	5,500,000	5,586,235	5,525,000
<b>Total Expenditures</b>	<b>\$34,827,694</b>	<b>\$35,031,922</b>	<b>\$39,376,432</b>	<b>\$40,490,202</b>	<b>\$40,041,882</b>

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUSTICE (SUPREME COURT)	08809F	1.0	230,925	1.0	232,150
ASSOCIATE JUSTICE	08808F	4.0	787,238	4.0	798,804
STATE COURT ADMINISTRATOR	08848A	1.0	174,307	1.0	175,232
CHIEF DISCIPLINARY COUNSEL	08844A	1.0	151,537	1.0	152,342
EXECUTIVE ASSISTANT FOR POLICY AND	08843A	1.0	147,670	1.0	148,444
EXECUTIVE DIRECTOR (JUDICIAL)	08844A	1.0	146,494	1.0	149,032
DIRECTOR OF FINANCE/ASSOCIATE	08844A	1.0	146,065	1.0	148,598
DEPUTY ADMINISTRATOR (STATE COURTS)	08845A	1.0	144,121	1.0	146,625
CHIEF SUPERVISORY CLERK	08842A	2.0	268,623	2.0	271,599
DEPUTY EXECUTIVE	08841A	1.0	131,656	1.0	133,943
CLERK PRO-TEMPORE	08841A	1.0	117,650	1.0	119,694
DEPUTY DISCIPLINARY COUNSEL	08837A	1.0	111,191	1.0	113,100
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	04437A	1.0	111,103	1.0	111,682
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	109,567	1.0	111,457
CHIEF STAFF ATTORNEY	08835A	1.0	107,217	1.0	107,771
DIRECTOR OF CONSUMER	08835A	1.0	104,369	1.0	106,171
PUBLIC INFORMATION OFFICER	04435A	1.0	103,339	1.0	105,114
ASSISTANT DIRECTOR POLICY OFFICE	08839A	8.0	826,587	8.0	846,106
PRINCIPAL COURT FUNCTIONAL SPECIALIST	04436A	1.0	102,798	1.0	104,574
LAW LIBRARIAN	08836A	1.0	102,230	1.0	107,265
STAFF ATTORNEY IV	00834A	1.0	99,816	1.0	101,533
SOFTWARE SUPPORT SPECIALIST	04433A	4.0	378,940	4.0	384,315
ASSISTANT DISCIPLINARY COUNSEL	08831A	2.0	179,359	2.0	182,461
SENIOR DEVELOPER (JUDICIAL)	08835A	1.0	86,985	1.0	88,496
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	1.0	85,828	1.0	87,302
ADMINISTRATIVE CLERK	08829A	1.0	85,481	1.0	86,946
ASSISTANT ADMINISTRATOR/MANAGEMENT AND	08834A	1.0	83,987	1.0	85,445
PROJECT MANAGER	04430A	5.0	417,685	5.0	422,830
PRINCIPAL SUPERVISORY CLERK	08830A	2.0	164,405	2.0	167,260
ADMINISTRATIVE ASSISTANT	04429A	3.0	242,181	3.0	244,419
SPECIAL ASSISTANT	08829A	2.0	161,143	2.0	162,915
CONFIDENTIAL INVESTIGATOR	08831A	1.0	78,927	1.0	80,298
PROJECT MANAGER (JUDICIAL)	04430A	1.0	77,733	1.0	82,311
STAFF ATTORNEY II	08830A	2.0	154,925	2.0	159,036
STAFF ATTORNEY	08829A	4.7	362,653	4.7	367,947
SENIOR FISCAL OFFICER (JUDICIAL)	04432A	1.0	74,717	1.0	78,981
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	1.0	74,600	1.0	74,996
PROJECT MANAGER (JUDICIAL)	08830A	2.0	148,145	2.0	150,718
COORDINATOR, SPECIAL PROJECTS	08827A	6.0	426,081	6.0	432,524
PRINCIPAL ASSISTANT ADMINISTRATOR	08825A	1.0	69,788	1.0	71,000
SPECIAL ASSISTANT (JUDICIAL)	08829A	1.0	69,550	1.0	70,758
BAR ADMINISTRATOR (JUDICIAL)	08829A	1.0	69,414	1.0	69,919
PRINCIPAL ADMINISTRATIVE CLERK	04425A	2.0	132,169	2.0	134,454
PRINCIPAL DEPUTY CLERK (SYSTEMS)	08825A	1.0	65,333	1.0	66,468
OFFICE MANAGER	04424A	3.0	187,754	3.0	190,222

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
PRINCIPAL ASSISTANT ADMINISTRATOR	08825A	2.0	124,726	2.0	126,892
STAFF ATTORNEY I	08828A	1.0	61,751	1.0	64,579
SENIOR MONITORING AND EVALUATION	08825A	2.0	121,757	2.0	123,872
OFFICE MANAGER (JUDICIAL)	08824A	1.0	59,828	1.0	60,867
ASSISTANT BUILDING & GROUNDS OFFICER	00322A	4.0	235,130	4.0	238,613
EXECUTIVE SECRETARY	04423A	1.0	57,848	1.0	58,854
SENIOR MANAGEMENT ANALYST (JUDICIAL)	04423A	2.0	115,676	2.0	117,672
SENIOR MANAGEMENT ANALYST	04423A	4.7	267,128	4.7	271,241
ASSISTANT SUPERVISING CLERK	04422A	2.0	113,242	2.0	114,443
LAW CLERK (JUDICIARY)	08823A	15.0	826,725	15.0	841,071
CHAMBER LAW CLERK (JUDICIAL)	08823A	11.0	602,250	11.0	612,700
LAW CLERK (JUDICIARY)	00823A	1.0	54,750	1.0	55,700
MONITORING & EVALUATION SPECIALIST	00823A	1.0	54,750	1.0	55,700
PRINCIPAL ASSISTANT ADMINISTRATOR	04425A	1.0	54,715	1.0	57,013
ADMINISTRATIVE ASSISTANT	08822A	4.0	218,804	4.0	222,605
DEPUTY LAW LIBRARIAN	04426A	1.0	52,590	1.0	52,869
MONITORING & REVALUATION SPECIALIST (JUD)	08823A	1.0	52,489	1.0	54,471
ADMINISTRATIVE ASSISTANT II (JUDICIAL)	08820A	1.0	51,243	1.0	52,133
ADMINISTRATIVE AIDE	04416A	1.0	50,440	1.0	51,302
MONITORING & EVALUATION SPECIALIST	08823A	2.0	99,919	2.0	103,690
RECORDS CUSTODIAN-DOCUMENTS DIST.(STATE	04418A	3.0	149,305	3.0	151,237
ADMINISTRATIVE ASSISTANT II	08815A	1.0	49,648	1.0	50,506
DEPUTY CLERK	04420A	5.0	246,716	5.0	252,031
SENIOR ADMINISTRATIVE AIDE	04421A	0.6	29,181	0.6	29,691
CONFIDENTIAL SECRETARY	08817A	1.0	48,518	1.0	49,360
FACILITIES AND OPERATIONS OFFICER	00320A	2.0	95,727	2.0	98,053
RECORDS CUSTODIAN DOCUMENTS DISTRIBUTION	04418A	1.0	47,680	1.0	48,508
SECOND ASSISTANT LAW LIBRARIAN	04411A	0.7	33,298	0.7	33,841
ADMINISTRATIVE ASSISTANT II	04415A	2.0	91,419	2.0	92,997
ADMINISTRATIVE AIDE	08810A	0.6	21,079	0.6	21,447
JANITOR (JUDICIAL)	00307A	1.0	33,977	1.0	34,184
PRINCIPAL CLERK TYPIST	04410A	1.0	31,974	1.0	32,143
CLERICAL	00288H	-	36,581	-	36,775
INFORMATION SYSTEMS SPECIALIST	08816A	-	80,066	-	82,199
SEASONAL EDITOR III (JUDICIAL)	10287H	-	18,000	-	18,000
SEASONAL LABORER (JUDICIAL)	00420H	-	31,656	-	31,824
SEASONAL LABORER (JUDICIAL)	00420H	-	30,219	-	30,596
<b>Subtotal</b>		<b>159.3</b>	<b>\$12,453,091</b>	<b>159.3</b>	<b>\$12,662,936</b>

# Personnel

## Judicial Department - Constitution Supreme Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	392,250	-	268,800
Temporary and Seasonal		-	186,509	-	-
Turnover		-	-	-	(554,240)
<b>Subtotal</b>		-	<b>\$578,759</b>	-	<b>(\$285,440)</b>
<b>Total Salaries</b>		<b>159.3</b>	<b>\$13,031,850</b>	<b>159.3</b>	<b>\$12,377,496</b>
<b>Benefits</b>					
Payroll Accrual			71,728		70,066
FICA			928,838		889,147
Retiree Health			688,197		656,762
Health Benefits			1,848,750		1,873,876
Retirement			3,172,601		3,176,408
<b>Subtotal</b>			<b>\$6,710,114</b>		<b>\$6,666,259</b>
<b>Total Salaries and Benefits</b>		<b>159.3</b>	<b>\$19,741,964</b>	<b>159.3</b>	<b>\$19,043,755</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$122,771</b>		<b>\$119,558</b>
<b>Statewide Benefit Assessment</b>			<b>\$581,924</b>		<b>\$575,040</b>
<b>Payroll Costs</b>		<b>159.3</b>	<b>\$20,323,888</b>	<b>159.3</b>	<b>\$19,618,795</b>
<b>Purchased Services</b>					
Information Technology			675,440		688,190
Clerical and Temporary Services			3,781		3,781
Management & Consultant Services			7,000		7,000
Legal Services			87,185		87,185
Other Contracts			133,000		133,000
Training and Educational Services			30,000		30,000
Design and Engineering Services			42,240		42,240
<b>Subtotal</b>			<b>\$978,646</b>		<b>\$991,396</b>
<b>Total Personnel</b>		<b>159.3</b>	<b>\$21,302,534</b>	<b>159.3</b>	<b>\$20,610,191</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		149.6	\$19,271,931	149.6	\$18,541,225
Federal Funds		0.7	\$126,869	0.7	\$128,848
Restricted Receipts		9.0	\$1,903,734	9.0	\$1,940,118
<b>Total All Funds</b>		<b>159.3</b>	<b>\$21,302,534</b>	<b>159.3</b>	<b>\$20,610,191</b>

# The Program

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## Judicial Department - Constitution Superior Court

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### **Program Mission**

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court. Maintain a complete and accurate record for all cases that are filed with the Superior Court. Provide a written transcript of any proceeding or appeal.

### **Program Description**

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

### **Statutory History**

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution Superior Court

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Superior Court Operations	20,457,106	21,537,490	21,848,893	21,636,932	21,376,358
Jury Operations	1,588,327	1,624,728	1,711,453	1,813,601	1,853,733
<b>Total Expenditures</b>	<b>\$22,045,433</b>	<b>\$23,162,218</b>	<b>\$23,560,346</b>	<b>\$23,450,533</b>	<b>\$23,230,091</b>
<b>Expenditures By Object</b>					
Personnel	18,254,022	19,235,455	19,693,523	19,308,494	19,228,868
Operating Supplies and Expenses	1,300,798	1,375,299	1,301,863	1,386,535	1,309,994
Assistance and Grants	2,460,863	2,458,648	2,489,902	2,555,149	2,489,902
<b>Subtotal: Operating Expenditures</b>	<b>22,015,683</b>	<b>23,069,402</b>	<b>23,485,288</b>	<b>23,250,178</b>	<b>23,028,764</b>
Capital Purchases and Equipment	29,750	92,816	75,058	200,355	201,327
<b>Total Expenditures</b>	<b>\$22,045,433</b>	<b>\$23,162,218</b>	<b>\$23,560,346</b>	<b>\$23,450,533</b>	<b>\$23,230,091</b>
<b>Expenditures By Funds</b>					
General Revenue	21,736,162	22,693,335	23,209,940	23,032,247	22,807,060
Federal Funds	104,171	92,432	50,406	118,286	51,290
Restricted Receipts	205,100	376,451	300,000	300,000	371,741
<b>Total Expenditures</b>	<b>\$22,045,433</b>	<b>\$23,162,218</b>	<b>\$23,560,346</b>	<b>\$23,450,533</b>	<b>\$23,230,091</b>

# Personnel

## Judicial Department - Constitution Superior Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PRESIDING JUSTICE (SUPERIOR COURT)	08807F	1.0	207,905	1.0	209,009
ASSOCIATE JUSTICE	08805F	21.0	3,673,780	21.0	3,760,176
SPECIAL MAGISTRATE	08803F	1.0	173,520	1.0	177,930
ADMINISTRATIVE CLERK	08846A	1.0	162,922	1.0	163,787
GENERAL MAGISTRATE	08803F	1.0	162,444	1.0	166,572
MAGISTRATE	08803F	3.0	474,214	3.0	479,848
CLERK (PROVIDENCE COUNTY)	08839A	1.0	126,917	1.0	127,571
ADMINISTRATOR, ARBITRATION PROGRAM	08839A	1.0	102,603	1.0	104,346
GENERAL CHIEF CLERK	08835A	1.0	95,683	1.0	97,345
CLERK (NEWPORT COUNTY)	08832A	1.0	93,694	1.0	94,192
JURY COMMISSIONER	08837A	1.0	93,081	1.0	93,575
DEPUTY ADMINISTRATOR/CLERK	08834A	1.0	92,385	1.0	93,991
CONFIDENTIAL INVESTIGATOR	08831A	1.0	88,323	1.0	89,858
CLERK (WASHINGTON COUNTY)	08832A	1.0	85,887	1.0	87,379
ASSISTANT ADMINISTRATOR/MANAGEMENT AND CLERK (KENT COUNTY)	08834A	1.0	83,987	1.0	85,445
ADMINISTRATIVE CLERK	08829A	2.0	165,463	2.0	168,318
SPECIAL ASSISTANT (JUDICIAL)	08829A	1.0	81,721	1.0	83,141
ASSOCIATE JURY COMMISSIONER	08833A	1.0	80,655	1.0	85,473
PROJECT MANAGER	08830A	2.0	156,688	2.0	158,884
PROJECT MANAGER (JUDICIAL)	08830A	0.7	48,497	0.7	48,675
SUPERVISING CLERK	08826A	2.0	144,377	2.0	146,883
PROJECT COORDINATOR	04426A	1.0	72,182	1.0	72,562
COURT REPORTER	00127A	27.7	1,955,785	27.7	1,987,066
ASST INTAKE SUPERVISOR (SUPERI	08828A	1.0	70,186	1.0	71,406
SUPERVISING CLERK	04426A	1.0	70,093	1.0	71,311
PRINCIPAL ASSISTANT ADMINISTRATOR	04425A	1.0	69,273	1.0	69,637
DEPUTY CLERK I	04424A	2.0	133,524	2.0	135,818
MANAGER OF CALENDAR SERVICES (PROVIDENCE)	04424A	1.0	65,782	1.0	66,132
ASSISTANT MANAGER OF CALENDAR SERVICES	04423A	1.0	64,360	1.0	64,695
COURT REPORTER	10127A	1.0	64,327	1.0	65,444
SENIOR MANAGEMENT ANALYST	04423A	0.3	20,154	0.3	20,259
ASSISTANT MANAGER OF CALENDAR SERVICES	04423A	1.0	61,793	1.0	62,866
ASSISTANT SUPERVISING CLERK	04422A	2.0	122,584	2.0	124,693
COORDINATOR, SPECIAL PROJECTS	08827A	1.0	61,047	1.0	64,668
SENIOR ADMINISTRATIVE AIDE	04421A	1.0	58,525	1.0	59,534
PRODUCTION SYSTEMS SPECIALIST	04420A	1.0	56,662	1.0	57,636
ASSISTANT ADMINISTRATIVE OFFICER	08821A	1.0	55,874	1.0	56,845
MANAGER OF CALENDAR SERVICES (OUT	04424A	1.0	55,196	1.0	57,865
LAW CLERK (JUDICIARY)	08823A	1.0	54,750	1.0	55,700
COURT SECRETARY/JUDICIAL, SUPERIOR COURT	08823A	1.0	54,652	1.0	55,040
MONITORING & REVALUATION SPECIALIST (JUD)	08823A	2.0	107,655	2.0	110,556
DEPUTY CLERK	04420A	4.0	211,403	4.0	215,068
DEPUTY CLERK (SUPERIOR COURT)	04420A	22.0	1,106,247	22.0	1,128,897
ASSISTANT COURT SECRETARY/JUDICIAL	08821A	3.0	146,749	3.0	148,783

# Personnel

## Judicial Department - Constitution Superior Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
CONFIDENTIAL SECRETARY	08817A	1.0	48,518	1.0	49,360
ADMINISTRATIVE AIDE	04416A	1.0	47,461	1.0	48,282
ELECTRONIC COURT REPORTER	04419A	1.0	47,060	1.0	47,877
POLICY AIDE	04418A	4.0	182,911	4.0	184,971
ELECTRONIC COURT REPORTER	00119A	2.0	91,023	2.0	93,689
SENIOR ADMINISTRATIVE AIDE	04417A	1.0	44,045	1.0	44,810
ADMINISTRATIVE ASSISTANT II	08815A	1.0	43,565	1.0	44,322
ASSISTANT CLERK (SUPERIOR COURT)	04418A	8.0	335,375	8.0	345,451
ADMINISTRATIVE AIDE	04415A	1.0	39,331	1.0	40,013
ADMINISTRATIVE ASSISTANT	08814A	1.0	38,336	1.0	39,258
GENERAL OPERATIONS ASSISTANT	04414A	9.0	328,327	9.0	336,752
DATA ENTRY AIDE (JUDICIAL)	04410A	1.0	33,506	1.0	34,450
RECORDS CLERK/DATA ENTRY AIDE	04410A	10.0	328,863	10.0	335,292
<b>Subtotal</b>		<b>165.7</b>	<b>\$12,825,857</b>	<b>165.7</b>	<b>\$13,074,851</b>
Overtime		-	168,950	-	46,950
Turnover		-	(810,472)	-	(988,991)
<b>Subtotal</b>		<b>-</b>	<b>(\$641,522)</b>	<b>-</b>	<b>(\$942,041)</b>
<b>Total Salaries</b>		<b>165.7</b>	<b>\$12,184,335</b>	<b>165.7</b>	<b>\$12,132,810</b>
<b>Benefits</b>					
Payroll Accrual			68,975		69,082
FICA			704,328		695,030
Retiree Health			487,936		492,091
Health Benefits			1,680,890		1,747,090
Retirement			3,184,489		3,134,634
<b>Subtotal</b>			<b>\$6,126,618</b>		<b>\$6,137,927</b>
<b>Total Salaries and Benefits</b>		<b>165.7</b>	<b>\$18,310,953</b>	<b>165.7</b>	<b>\$18,270,737</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$110,516</b>		<b>\$110,273</b>
<b>Statewide Benefit Assessment</b>			<b>\$552,741</b>		<b>\$573,331</b>
<b>Payroll Costs</b>		<b>165.7</b>	<b>\$18,863,694</b>	<b>165.7</b>	<b>\$18,844,068</b>

# Personnel

## Judicial Department - Constitution Superior Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			42,000		42,000
Legal Services			308,800		308,800
Other Contracts			77,000		17,000
Design and Engineering Services			5,000		5,000
Medical Services			12,000		12,000
<b>Subtotal</b>			<b>\$444,800</b>		<b>\$384,800</b>
<b>Total Personnel</b>		<b>165.7</b>	<b>\$19,308,494</b>	<b>165.7</b>	<b>\$19,228,868</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		165.4	\$18,973,547	164.4	\$18,821,393
Federal Funds		0.3	\$34,947	0.3	\$35,734
Restricted Receipts		-	\$300,000	1.0	\$371,741
<b>Total All Funds</b>		<b>165.7</b>	<b>\$19,308,494</b>	<b>165.7</b>	<b>\$19,228,868</b>

# The Program

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## Judicial Department - Constitution Family Court

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide assistance to families involved in litigation before the court.

### **Program Description**

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Services Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the Court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Services Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative services to the court. The Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, and the Mental Health Court Clinic.

### **Statutory History**

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution Family Court

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	22,352,346	23,229,664	23,932,580	23,467,317	23,816,324
<b>Total Expenditures</b>	<b>\$22,352,346</b>	<b>\$23,229,664</b>	<b>\$23,932,580</b>	<b>\$23,467,317</b>	<b>\$23,816,324</b>
<b>Expenditures By Object</b>					
Personnel	19,581,798	20,311,441	21,115,881	20,399,911	20,717,428
Operating Supplies and Expenses	1,608,601	1,725,119	1,638,250	1,627,191	1,563,466
Assistance and Grants	1,114,613	1,088,724	1,084,531	1,230,066	1,355,980
<b>Subtotal: Operating Expenditures</b>	<b>22,305,012</b>	<b>23,125,284</b>	<b>23,838,662</b>	<b>23,257,168</b>	<b>23,636,874</b>
Capital Purchases and Equipment	47,334	104,380	93,918	210,149	179,450
<b>Total Expenditures</b>	<b>\$22,352,346</b>	<b>\$23,229,664</b>	<b>\$23,932,580</b>	<b>\$23,467,317</b>	<b>\$23,816,324</b>
<b>Expenditures By Funds</b>					
General Revenue	19,638,173	20,408,254	20,918,555	20,493,102	21,045,610
Federal Funds	2,714,173	2,821,410	3,014,025	2,974,215	2,770,714
<b>Total Expenditures</b>	<b>\$22,352,346</b>	<b>\$23,229,664</b>	<b>\$23,932,580</b>	<b>\$23,467,317</b>	<b>\$23,816,324</b>

# Personnel

## Judicial Department - Constitution Family Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE, FAMILY COURT	08807F	1.0	207,905	1.0	209,009
ASSOCIATE JUSTICE	08805F	11.0	1,924,497	11.0	1,954,963
MAGISTRATE	08803F	8.0	1,307,000	8.0	1,340,150
MAGISTRATE OF THE FAMILY COURT	08803F	1.0	162,444	1.0	166,572
ADMINISTRATIVE CLERK	08846A	1.0	162,212	1.0	165,015
ADMINISTRATOR/DIRECTOR (CASA)(JUDICIAL)	08843A	1.0	142,811	1.0	145,291
ADMINISTRATOR - CLERK (FAMILY COURT)	08843A	1.0	141,002	1.0	143,444
DEPUTY EXECUTIVE	08841A	1.0	132,215	1.0	134,508
DIRECTOR OF INTERGOVERNMENTAL RELATIONS	08840A	1.0	128,767	1.0	129,450
SENIOR POLICY ASSOCIATE	08838A	1.0	118,141	1.0	118,764
DEPUTY ADMINISTRATIVE CLERK (JUDICIAL)	08834A	1.0	104,624	1.0	105,159
DEPUTY ADMINISTRATOR/CLERK	08834A	2.0	204,408	2.0	205,855
STAFF ATTORNEY V	08836A	1.0	98,976	1.0	100,695
STAFF ATTORNEY V (JUDICIAL)	08836A	4.0	394,981	4.0	404,959
DEPUTY DIRECTOR-COMMUNITY AFFAIRS	08834A	2.0	195,358	2.0	198,739
ADMINISTRATIVE MANAGER	08834A	1.0	96,283	1.0	97,934
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	1.0	90,203	1.0	90,681
EXECUTIVE DIRECTOR	08836A	1.0	89,502	1.0	89,977
PRINCIPAL SUPERVISORY CLERK	08830A	1.0	87,262	1.0	88,766
STAFF ATTORNEY III	08832A	9.0	750,554	9.0	766,336
PROJECT MANAGER (JUDICIAL)	08830A	1.3	107,080	1.3	108,524
EXECUTIVE DIRECTOR/EXECUTIVE	08831A	1.0	78,927	1.0	80,298
PRINCIPAL PLANNING AND PROGRAM SPECIALIST	05728A	2.0	152,910	2.0	155,562
SR MANAGER-CALENDAR SERVICES (JUD)	0J328A	1.0	72,349	1.0	75,256
COURT REPORTER	00127A	14.0	1,009,754	14.0	1,025,266
ASSISTANT INTAKE SUPERVISOR (FAMILY COURT)	08828A	6.0	430,452	6.0	436,204
ASSOCIATE EXECUTIVE ASSISTANT	08826A	1.0	67,977	1.0	69,016
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	1.0	67,543	1.0	68,716
CASA COORDINATOR	05722A	1.0	63,268	1.0	63,604
SUPERVISING DEPUTY CLERK (FAMILY COURT)	0J322A	6.0	371,631	6.0	376,501
SOCIAL CASEWORKER II (JUDICIAL)	05724A	8.0	473,807	8.0	482,455
PRINCIPAL PLANNING & PROGRAM SPECIALIST	05728A	1.0	59,107	1.0	59,421
VOLUNTEER COORDINATOR	0J322A	1.0	57,796	1.0	58,800
ADMINISTRATIVE ASSISTANT	0J320A	1.0	56,123	1.0	57,098
ADMINISTRATIVE COORDINATOR	0J320A	1.0	56,123	1.0	57,098
EXECUTIVE SECRETARY	08823A	2.0	112,237	2.0	114,186
DEPUTY CLERK	0J320A	19.0	1,024,124	19.0	1,044,555
ADMINISTRATIVE ASSISTANT (JUDICIAL)	0J320A	1.0	53,040	1.0	55,223
DEPUTY CLERK	00120A	2.0	104,926	2.0	106,748
MEDIATION COUNSELOR	0J320A	5.0	261,394	5.0	265,663
SENIOR ADMINISTRATIVE AIDE	0J317A	2.0	102,241	2.0	103,364
DEPUTY CLERK (JUDICIAL)	0J320A	3.0	152,233	3.0	157,616
ELECTRONIC COURT REPORTER	00119A	8.5	428,875	8.5	436,306
SOCIAL CASEWORKER (JUDICIAL)	0J322A	2.0	99,606	2.0	102,257
DOMESTIC VIOLENCE LIAISON	00818A	1.0	44,614	1.0	45,388

# Personnel

## Judicial Department - Constitution Family Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
ELECTRONIC COURT REPORTER	10119A	1.0	44,378	1.0	45,144
SENIOR DATA ENTRY OPERATOR	0J312A	12.0	512,806	12.0	520,447
ADMINISTRATIVE ASSISTANT	0J315A	2.0	85,154	2.0	86,195
ADMINISTRATIVE ASSISTANT (JUDICIAL)	08814A	1.0	42,426	1.0	43,163
ADMINISTRATIVE ASSISTANT II	08815A	0.6	23,870	0.6	24,628
CLERK TYPIST	0J305A	1.0	38,619	1.0	39,290
CLERK SECRETARY	0J314A	1.0	36,402	1.0	36,596
DATA ENTRY AIDE (JUDICIAL)	0J310A	7.0	244,744	7.0	248,210
DATA ENTRY AIDE	0J310A	6.0	206,124	6.0	209,876
SENIOR DATA ENTRY OPERATOR	08812A	0.6	20,461	0.6	20,570
FISCAL CLERK	0J310A	1.0	33,464	1.0	33,642
ADMINISTRATIVE ASSISTANT (JUDICIAL)	00420H	-	44,151	-	44,324
DATA ENTRY SEASONAL (JUDICIAL)	00273H	-	31,656	-	31,824
SEASONAL CASA VOLUNTEER COORD (JUD)	00284H	-	35,085	-	35,272
SEASONAL COURT LIAISON (JUDICI	00419H	-	73,864	-	74,256
SEASONAL LABORER (JUDICIAL)	00420H	-	27,788	-	27,846
SEASONAL OPERATIONS CLERK	00273H	-	33,048	-	33,154
SEASONAL PROGRAM COORD(JUDICIA	00421H	-	84,944	-	85,394
SEASONAL SUPERVISING COORD(JUD	00417H	-	62,784	-	63,118
<b>Subtotal</b>		<b>175.0</b>	<b>\$13,729,050</b>	<b>175.0</b>	<b>\$13,964,341</b>
Overtime		-	37,302	-	37,302
Turnover		-	(887,505)	-	(963,291)
<b>Subtotal</b>		<b>-</b>	<b>(\$850,203)</b>	<b>-</b>	<b>(\$925,989)</b>
<b>Total Salaries</b>		<b>175.0</b>	<b>\$12,878,847</b>	<b>175.0</b>	<b>\$13,038,352</b>
<b>Benefits</b>					
Payroll Accrual			72,761		73,842
FICA			845,152		859,779
Retiree Health			613,209		620,313
Health Benefits			1,887,335		2,063,690
Retirement			3,088,013		3,180,619
Workers Compensation			1,800		1,800
<b>Subtotal</b>			<b>\$6,508,270</b>		<b>\$6,800,043</b>
<b>Total Salaries and Benefits</b>		<b>175.0</b>	<b>\$19,387,117</b>	<b>175.0</b>	<b>\$19,838,395</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$110,765</b>		<b>\$113,343</b>
<b>Statewide Benefit Assessment</b>			<b>\$590,881</b>		<b>\$616,083</b>
<b>Payroll Costs</b>		<b>175.0</b>	<b>\$19,977,998</b>	<b>175.0</b>	<b>\$20,454,478</b>

# Personnel

## Judicial Department - Constitution Family Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			36,813		-
Clerical and Temporary Services			7,200		7,200
Management & Consultant Services			148,000		108,750
Other Contracts			130,000		130,000
Training and Educational Services			82,900		-
Design and Engineering Services			17,000		17,000
<b>Subtotal</b>			<b>\$421,913</b>		<b>\$262,950</b>
<b>Total Personnel</b>		<b>175.0</b>	<b>\$20,399,911</b>	<b>175.0</b>	<b>\$20,717,428</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		165.5	\$18,616,130	165.5	\$19,056,750
Federal Funds		9.5	\$1,783,781	9.5	\$1,660,678
<b>Total All Funds</b>		<b>175.0</b>	<b>\$20,399,911</b>	<b>175.0</b>	<b>\$20,717,428</b>

# The Program

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## Judicial Department - Constitution District Court

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

### **Program Description**

The District Court consists of a chief judge, an administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejectment cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court also has concurrent jurisdiction with the Superior Court over all matters in which the amount in dispute is greater than \$5,000 but less than \$10,000. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

### **Statutory History**

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

# The Budget

## Judicial Department - Constitution District Court

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	12,163,405	12,452,031	13,002,213	12,189,593	12,337,603
<b>Total Expenditures</b>	<b>\$12,163,405</b>	<b>\$12,452,031</b>	<b>\$13,002,213</b>	<b>\$12,189,593</b>	<b>\$12,337,603</b>
<b>Expenditures By Object</b>					
Personnel	10,287,863	10,746,505	11,359,543	10,248,303	10,524,897
Operating Supplies and Expenses	475,403	329,232	382,463	419,384	333,627
Assistance and Grants	1,285,798	1,355,584	1,240,207	1,420,478	1,428,281
<b>Subtotal: Operating Expenditures</b>	<b>12,049,064</b>	<b>12,431,321</b>	<b>12,982,213</b>	<b>12,088,165</b>	<b>12,286,805</b>
Capital Purchases and Equipment	114,341	20,710	20,000	101,428	50,798
<b>Total Expenditures</b>	<b>\$12,163,405</b>	<b>\$12,452,031</b>	<b>\$13,002,213</b>	<b>\$12,189,593</b>	<b>\$12,337,603</b>
<b>Expenditures By Funds</b>					
General Revenue	11,985,946	12,039,780	12,589,546	11,861,304	12,034,130
Federal Funds	-	112,822	243,416	172,655	165,428
Restricted Receipts	177,459	299,429	169,251	155,634	138,045
<b>Total Expenditures</b>	<b>\$12,163,405</b>	<b>\$12,452,031</b>	<b>\$13,002,213</b>	<b>\$12,189,593</b>	<b>\$12,337,603</b>

# Personnel

## Judicial Department - Constitution District Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE DISTRICT COURT	08807F	1.0	190,580	1.0	195,423
ADMINISTRATIVE JUDGE	08805F	1.0	185,067	1.0	189,770
MAGISTRATE	08803F	1.0	177,212	1.0	178,152
ADMINISTRATIVE CLERK	08846A	1.0	164,414	1.0	165,279
ASSOCIATE JUDGE, DISTRICT COURT	08810F	12.0	1,947,979	12.0	1,991,384
DEPUTY EXECUTIVE	08841A	1.0	134,458	1.0	135,171
CHIEF CLERK OF DISTRICT COURTS	08840A	1.0	118,036	1.0	120,086
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	04437A	1.0	106,832	1.0	108,688
CLERK (JUDICIAL)	04434A	1.0	98,903	1.0	100,606
ADMINISTRATIVE CLERK (DISTRICT COURT)	04433A	2.0	187,182	2.0	189,292
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	93,081	1.0	94,698
DEPUTY DIRECTOR (JUDICIAL)	04432A	1.0	83,510	1.0	84,960
ADMINISTRATIVE CLERK	04429A	1.0	79,744	1.0	81,118
ASSISTANT ADMINISTRATOR/MANAGEMENT AND SUPERVISING CLERK	08834A	1.0	74,111	1.0	74,504
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	1.0	68,383	1.0	69,571
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	1.0	67,543	1.0	68,716
DEPUTY CLERK I	04424A	3.0	197,795	3.0	198,844
ADMINISTRATIVE CLERK	08829A	1.0	65,625	1.0	69,366
DEPUTY CHIEF INVESTIGATOR	04426A	1.0	65,619	1.0	66,759
DEPUTY CHIEF INVESTIGATOR	04426A	2.0	129,746	2.0	132,001
ADMINISTRATIVE ASSISTANT TO CHIEF	08826A	1.0	61,228	1.0	62,141
ASSOCIATE EXECUTIVE ASSISTANT	08826A	3.0	182,118	3.0	187,557
SUPERVISING DEPUTY CLERK-TRAINING OFFICER	04423A	17.0	998,212	17.0	1,020,191
OFFICE MANAGER	04424A	2.0	117,241	2.0	121,204
ASSISTANT CLERK/RESEARCH	04418A	1.0	52,015	1.0	52,291
DEPUTY CLERK	04420A	1.0	46,703	1.0	49,067
GENERAL OPERATIONS ASSISTANT	04414A	1.0	44,939	1.0	45,720
SENIOR OPERATIONS CLERK	04416A	6.0	254,200	6.0	259,070
DATA ENTRY OPERATOR	04412A	9.0	330,388	9.0	335,326
RECORDS AIDE (JUDICIARY)	04410A	1.0	34,448	1.0	34,911
RECORDS CLERK/DATA ENTRY AIDE	04410A	16.0	544,697	16.0	557,165
ADMINISTRATIVE ASSISTANT	00422H	-	18,669	-	18,768
SEASONAL LABORER (JUDICIAL)	00420H	-	27,699	-	27,846
<b>Subtotal</b>		<b>95.0</b>	<b>\$7,090,054</b>	<b>95.0</b>	<b>\$7,228,920</b>

# Personnel

## Judicial Department - Constitution District Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	86,900	-	16,900
Turnover		-	(712,504)	-	(653,578)
<b>Subtotal</b>		-	<b>(\$625,604)</b>	-	<b>(\$636,678)</b>
<b>Total Salaries</b>		<b>95.0</b>	<b>\$6,464,450</b>	<b>95.0</b>	<b>\$6,592,242</b>
<b>Benefits</b>					
Payroll Accrual			36,853		37,965
FICA			350,466		368,724
Retiree Health			247,180		255,163
Health Benefits			1,080,823		1,139,847
Retirement			1,714,936		1,759,077
<b>Subtotal</b>			<b>\$3,430,258</b>		<b>\$3,560,776</b>
<b>Total Salaries and Benefits</b>		<b>95.0</b>	<b>\$9,894,708</b>	<b>95.0</b>	<b>\$10,153,018</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$104,155</b>		<b>\$106,874</b>
<b>Statewide Benefit Assessment</b>			<b>\$293,362</b>		<b>\$311,646</b>
<b>Payroll Costs</b>		<b>95.0</b>	<b>\$10,188,070</b>	<b>95.0</b>	<b>\$10,464,664</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			233		233
Other Contracts			60,000		60,000
<b>Subtotal</b>			<b>\$60,233</b>		<b>\$60,233</b>
<b>Total Personnel</b>		<b>95.0</b>	<b>\$10,248,303</b>	<b>95.0</b>	<b>\$10,524,897</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		95.0	\$10,158,303	94.0	\$10,452,486
Restricted Receipts		-	\$90,000	1.0	\$72,411
<b>Total All Funds</b>		<b>95.0</b>	<b>\$10,248,303</b>	<b>95.0</b>	<b>\$10,524,897</b>

# The Program

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## Judicial Department - Constitution Traffic Tribunal

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### **Program Mission**

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

### **Program Description**

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is under the supervision of the Chief Magistrate, who is the administrative head of the Traffic Tribunal with the power to make rules for regulating practice, procedure and business within the Traffic Tribunal.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the Municipal Courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The Appeals Panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the Municipal Courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of alcohol and drugs, which state law specifies will be heard under the jurisdiction of other courts. In 2013 the Traffic Tribunal received exclusive jurisdiction over civil offenses related to possession of marijuana violations of one ounce (1 oz.) or less. The Traffic Tribunal currently sits at its main courthouse in the John O. Pastore Center, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield.

### **Statutory History**

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed Senate Bill S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

# The Budget

## Judicial Department - Constitution Traffic Tribunal

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	8,326,527	8,430,828	8,542,221	8,399,966	9,018,180
<b>Total Expenditures</b>	<b>\$8,326,527</b>	<b>\$8,430,828</b>	<b>\$8,542,221</b>	<b>\$8,399,966</b>	<b>\$9,018,180</b>
<b>Expenditures By Object</b>					
Personnel	7,116,059	7,481,843	7,553,168	7,466,764	8,100,109
Operating Supplies and Expenses	447,889	483,663	529,722	492,884	492,884
Assistance and Grants	426,665	411,678	426,665	340,888	343,888
<b>Subtotal: Operating Expenditures</b>	<b>7,990,613</b>	<b>8,377,184</b>	<b>8,509,555</b>	<b>8,300,536</b>	<b>8,936,881</b>
Capital Purchases and Equipment	335,914	53,644	32,666	99,430	81,299
<b>Total Expenditures</b>	<b>\$8,326,527</b>	<b>\$8,430,828</b>	<b>\$8,542,221</b>	<b>\$8,399,966</b>	<b>\$9,018,180</b>
<b>Expenditures By Funds</b>					
General Revenue	8,326,527	8,430,828	8,542,221	8,399,966	9,018,180
<b>Total Expenditures</b>	<b>\$8,326,527</b>	<b>\$8,430,828</b>	<b>\$8,542,221</b>	<b>\$8,399,966</b>	<b>\$9,018,180</b>

# Personnel

## Judicial Department - Constitution Traffic Tribunal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ENGINEERING ASSISTANT (DOT)	40420H	-	4,200	-	4,200
<b>Subtotal</b>		-	<b>\$4,200</b>	-	<b>\$4,200</b>
<b>Unclassified</b>					
JUDGE ADMINISTRATIVE ADJUDICATION COURT	08803F	2.0	356,508	2.0	358,388
CHIEF MAGISTRATE	08810F	1.0	178,123	1.0	182,649
MAGISTRATE TRAFFIC TRIBUNAL	08803F	4.0	653,468	4.0	667,106
MAGISTRATE TRAFFIC TRIB (JUDIC	08803F	1.0	155,060	1.0	159,001
EXECUTIVE DIRECTOR (JUDICIAL)	08844A	1.0	130,895	1.0	136,822
ASSISTANT DIRECTOR POLICY OFFICE	08839A	1.0	123,596	1.0	125,727
ASSISTANT LEGAL COUNSEL (TRANSPORTATION)	08822A	0.6	63,268	0.6	63,604
SENIOR POLICY ASSOCIATE	08838A	1.0	102,146	1.0	103,257
ADMINISTRATIVE CLERK	04429A	0.6	59,265	0.6	59,579
POLICY ASSOCIATE (JUDICIAL)	08834A	1.0	91,077	1.0	96,321
PRINCIPAL SUPERVISORY CLERK	08830A	1.0	84,913	1.0	86,387
ADMINISTRATOR/ADMINISTRATIVE	04438A	1.0	82,976	1.0	83,417
ADMINISTRATIVE CLERK I	04429A	1.0	81,690	1.0	82,119
COORDINATOR SPECIAL PROJECTS (JUCICIAL)	08827A	1.0	75,584	1.0	76,897
ADMINISTRATIVE CLERK OF OFFICE SERVICES	04427A	3.0	221,043	3.0	223,933
SPECIAL ASSISTANT	08829A	1.0	72,909	1.0	75,967
PRINCIPAL ASSISTANT ADMINISTRATOR	04425A	2.0	127,077	2.0	128,745
ASSISTANT SUPERVISING CLERK	04422A	0.6	35,773	0.6	36,315
DEPUTY CLERK	04420A	4.0	215,277	4.0	218,309
DEPUTY CLERK (JUDICIAL)	04420A	3.0	142,956	3.0	148,614
DEPUTY CLERK I	04424A	1.0	46,813	1.0	47,062
SECURITY OFFICER	04419A	9.0	403,727	9.0	411,062
SENIOR OPERATIONS CLERK (JUDICIAL)	04416A	5.0	223,727	5.0	228,196
SENIOR OPERATIONS CLERK	04416A	2.0	83,362	2.0	84,368
GENERAL OPERATIONS ASSISTANT	04414A	6.0	245,492	6.0	250,581
DEPUTY CLERK I	04420A	0.5	20,420	0.5	20,528
ASSISTANT ADMINISTRATIVE SECRETARY	04412A	1.0	40,017	1.0	40,712
ADMINISTRATIVE ASSISTANT (JUDICIAL)	04413A	5.0	197,527	5.0	200,699
ADMINISTRATIVE ASSISTANT	04413A	6.0	236,322	6.0	239,763
DATA ENTRY OPERATOR	04412A	5.0	181,535	5.0	185,923
RECORDS CLERK/DATA ENTRY AIDE	04410A	7.0	240,389	7.0	244,767
COURT INTERPRETER-SEASONAL	00273H	-	15,828	-	15,912
SEASONAL LABORER (JUDICIAL)	00420H	-	44,223	-	44,423
<b>Subtotal</b>		<b>78.3</b>	<b>\$5,032,986</b>	<b>78.3</b>	<b>\$5,127,153</b>

# Personnel

## Judicial Department - Constitution Traffic Tribunal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	15,000	-	15,000
Turnover		-	(596,049)	-	(362,317)
<b>Subtotal</b>		-	<b>(\$581,049)</b>	-	<b>(\$347,317)</b>
<b>Total Salaries</b>		<b>78.3</b>	<b>\$4,456,137</b>	<b>78.3</b>	<b>\$4,784,036</b>
<b>Benefits</b>					
Payroll Accrual			25,776		27,902
FICA			317,239		341,996
Retiree Health			266,556		263,876
Health Benefits			760,422		886,390
Retirement			1,196,340		1,330,157
<b>Subtotal</b>			<b>\$2,566,333</b>		<b>\$2,850,321</b>
<b>Total Salaries and Benefits</b>		<b>78.3</b>	<b>\$7,022,470</b>	<b>78.3</b>	<b>\$7,634,357</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$89,687</b>		<b>\$97,501</b>
<b>Statewide Benefit Assessment</b>			<b>\$204,294</b>		<b>\$225,752</b>
<b>Payroll Costs</b>		<b>78.3</b>	<b>\$7,226,764</b>	<b>78.3</b>	<b>\$7,860,109</b>
<b>Purchased Services</b>					
Other Contracts			240,000		240,000
<b>Subtotal</b>			<b>\$240,000</b>		<b>\$240,000</b>
<b>Total Personnel</b>		<b>78.3</b>	<b>\$7,466,764</b>	<b>78.3</b>	<b>\$8,100,109</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		78.3	\$7,466,764	78.3	\$8,100,109
<b>Total All Funds</b>		<b>78.3</b>	<b>\$7,466,764</b>	<b>78.3</b>	<b>\$8,100,109</b>

# The Program

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## Judicial Department - Constitution Worker's Compensation Court

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### **Program Mission**

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

### **Program Description**

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division then decides the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

### **Statutory History**

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

# The Budget

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## Judicial Department - Constitution Worker's Compensation Court

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	7,228,824	7,359,629	7,763,807	7,853,054	8,096,017
<b>Total Expenditures</b>	<b>\$7,228,824</b>	<b>\$7,359,629</b>	<b>\$7,763,807</b>	<b>\$7,853,054</b>	<b>\$8,096,017</b>
<b>Expenditures By Object</b>					
Personnel	5,940,921	6,062,506	6,479,535	6,529,369	6,763,332
Operating Supplies and Expenses	533,239	517,781	602,185	629,898	635,398
Assistance and Grants	735,367	684,981	682,087	688,587	692,087
<b>Subtotal: Operating Expenditures</b>	<b>7,209,527</b>	<b>7,265,268</b>	<b>7,763,807</b>	<b>7,847,854</b>	<b>8,090,817</b>
Capital Purchases and Equipment	19,297	94,361	-	5,200	5,200
<b>Total Expenditures</b>	<b>\$7,228,824</b>	<b>\$7,359,629</b>	<b>\$7,763,807</b>	<b>\$7,853,054</b>	<b>\$8,096,017</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	7,228,824	7,359,629	7,763,807	7,853,054	8,096,017
<b>Total Expenditures</b>	<b>\$7,228,824</b>	<b>\$7,359,629</b>	<b>\$7,763,807</b>	<b>\$7,853,054</b>	<b>\$8,096,017</b>

# Personnel

## Judicial Department - Constitution Worker's Compensation Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
CHIEF JUDGE, WORKERS COMPENSATION COURT	08807F	1.0	203,574	1.0	208,748
JUDGE, WORKERS COMPENSATION COURT	08810F	9.0	1,511,306	9.0	1,539,275
ADMINISTRATIVE CLERK (WORKERS COMP COURT)	00846A	1.0	166,317	1.0	167,182
ADMINISTRATOR, WORKERS' COMPENSATION	08841A	1.0	131,656	1.0	133,943
ASSISTANT ADMINISTRATOR/POLICY & PROGRAMS	08837A	1.0	113,130	1.0	115,075
DEPUTY ADMINISTRATOR WORKERS'	08837A	1.0	111,697	1.0	112,290
MEDICAL ADVISORY BOARD ADMINISTRATOR	04440A	1.0	110,403	1.0	112,321
EXECUTIVE ASSISTANT (JUDICIAL)	00336A	1.0	103,220	1.0	105,012
PROJECT MANAGER (JUDICIAL)	08830A	1.0	87,191	1.0	87,651
SENIOR ASSISTANT ADMINISTRATOR	00326A	1.0	71,850	1.0	73,098
COURT REPORTER	00327A	7.0	475,285	7.0	481,288
EXECUTIVE SECRETARY TO CHIEF JUDGE	08825A	1.0	65,346	1.0	66,465
COURT REPORTER	08827A	1.0	64,731	1.0	65,849
ADMINISTRATIVE ASSISTANT/CONFIDENTIAL	04424A	3.0	184,688	3.0	187,882
LAW CLERK (JUDICIARY)	08823A	2.0	109,500	2.0	111,400
DEPUTY CLERK	00320A	10.0	541,625	10.0	551,530
MEDICAL ADVISORY BOARD COORDINATOR	04418A	1.0	45,513	1.0	46,303
SENIOR MANAGEMENT ANALYST	00323A	1.0	45,401	1.0	45,642
DATA ENTRY OPERATOR	00320A	2.0	86,468	2.0	88,123
DATA ENTRY OPERATOR	00312A	4.0	138,946	4.0	139,984
MEDICAL ADVISORY BOARD MEMBER	10515D	-	1,600	-	1,600
MEDICAL ADVISORY BOARD MEMBER	00515D	-	14,400	-	14,400
<b>Subtotal</b>		<b>50.0</b>	<b>\$4,383,847</b>	<b>50.0</b>	<b>\$4,455,061</b>
Turnover		-	(215,545)	-	(112,342)
<b>Subtotal</b>		-	<b>(\$215,545)</b>	-	<b>(\$112,342)</b>
<b>Total Salaries</b>		<b>50.0</b>	<b>\$4,168,302</b>	<b>50.0</b>	<b>\$4,342,719</b>
<b>Benefits</b>					
Payroll Accrual			23,359		24,170
FICA			284,650		296,386
Retiree Health			152,302		153,990
Health Benefits			583,907		614,325
Retirement			1,059,366		1,060,193
<b>Subtotal</b>			<b>\$2,103,584</b>		<b>\$2,149,064</b>
<b>Total Salaries and Benefits</b>		<b>50.0</b>	<b>\$6,271,886</b>	<b>50.0</b>	<b>\$6,491,783</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$125,438</b>		<b>\$129,836</b>
<b>Statewide Benefit Assessment</b>			<b>\$191,008</b>		<b>\$205,074</b>
<b>Payroll Costs</b>		<b>50.0</b>	<b>\$6,462,894</b>	<b>50.0</b>	<b>\$6,696,857</b>

# Personnel

## Judicial Department - Constitution Worker's Compensation Court

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			32,000		32,000
Legal Services			12,800		12,800
Other Contracts			21,500		21,500
Design and Engineering Services			175		175
<b>Subtotal</b>			<b>\$66,475</b>		<b>\$66,475</b>
<b>Total Personnel</b>		<b>50.0</b>	<b>\$6,529,369</b>	<b>50.0</b>	<b>\$6,763,332</b>
<b>Distribution By Source Of Funds</b>					
Restricted Receipts		50.0	\$6,529,369	50.0	\$6,763,332
<b>Total All Funds</b>		<b>50.0</b>	<b>\$6,529,369</b>	<b>50.0</b>	<b>\$6,763,332</b>

# The Program

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## Judicial Department - Constitution Judicial Tenure & Discipline

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### **Program Mission**

Ensure the integrity of the Rhode Island Court System.  
Investigate reasonable allegations of wrongdoing by Rhode Island judges. Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

### **Program Description**

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The Commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the Commission's recommendation(s).

### **Statutory History**

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L. 1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

# The Budget

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## Judicial Department - Constitution Judicial Tenure & Discipline

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	107,834	119,161	121,527	121,462	124,865
<b>Total Expenditures</b>	<b>\$107,834</b>	<b>\$119,161</b>	<b>\$121,527</b>	<b>\$121,462</b>	<b>\$124,865</b>
<b>Expenditures By Object</b>					
Personnel	105,620	116,249	118,577	118,512	121,915
Operating Supplies and Expenses	2,214	2,912	2,950	2,950	2,950
<b>Subtotal: Operating Expenditures</b>	<b>107,834</b>	<b>119,161</b>	<b>121,527</b>	<b>121,462</b>	<b>124,865</b>
<b>Total Expenditures</b>	<b>\$107,834</b>	<b>\$119,161</b>	<b>\$121,527</b>	<b>\$121,462</b>	<b>\$124,865</b>
<b>Expenditures By Funds</b>					
General Revenue	107,834	119,161	121,527	121,462	124,865
<b>Total Expenditures</b>	<b>\$107,834</b>	<b>\$119,161</b>	<b>\$121,527</b>	<b>\$121,462</b>	<b>\$124,865</b>

# Personnel

## Judicial Department - Constitution Judicial Tenure & Discipline

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
EXECUTIVE ASSISTANT TO THE CHAIRPERSON	08829A	1.0	73,027	1.0	74,296
<b>Subtotal</b>		<b>1.0</b>	<b>\$73,027</b>	<b>1.0</b>	<b>\$74,296</b>
<b>Total Salaries</b>		<b>1.0</b>	<b>\$73,027</b>	<b>1.0</b>	<b>\$74,296</b>
<b>Benefits</b>					
Payroll Accrual			418		431
FICA			5,587		5,683
Retiree Health			4,360		4,435
Health Benefits			6,084		6,285
Retirement			18,177		19,756
<b>Subtotal</b>			<b>\$34,626</b>		<b>\$36,590</b>
<b>Total Salaries and Benefits</b>		<b>1.0</b>	<b>\$107,653</b>	<b>1.0</b>	<b>\$110,886</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			-		-
<b>Statewide Benefit Assessment</b>			<b>\$3,359</b>		<b>\$3,529</b>
<b>Payroll Costs</b>		<b>1.0</b>	<b>\$111,012</b>	<b>1.0</b>	<b>\$114,415</b>
<b>Purchased Services</b>					
Legal Services			7,500		7,500
<b>Subtotal</b>			<b>\$7,500</b>		<b>\$7,500</b>
<b>Total Personnel</b>		<b>1.0</b>	<b>\$118,512</b>	<b>1.0</b>	<b>\$121,915</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		1.0	\$118,512	1.0	\$121,915
<b>Total All Funds</b>		<b>1.0</b>	<b>\$118,512</b>	<b>1.0</b>	<b>\$121,915</b>

# Agency

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## Military Staff

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### Agency Mission

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

Other mission objectives include: maintaining the Rhode Island National Guard as an organization capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests; staffing of the Joint Operations Center as the Guard's focal point for disaster response and federal mobilization of military forces; to train, mobilize and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission; to actively participate in counter-drug efforts, and; to ensure the needs of Rhode Island Veteran and National Guard families are supported.

### Agency Description

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia.

The National Guard Program budget is financed with approximately 26 percent general revenue and state capital funds and 74 percent federal funds, as well as direct federal funding for active duty guardsmen, services, and construction costs. Additionally, there exists between the State of Rhode Island and National Guard Bureau (NGB) a legal contract referred to as the Master Cooperative Agreement (MCA). The MCA provides for State support for the Federal mission in the form of employment of State personnel, the purchase of goods and services through State vendors, and provides a readied, state-of-the-art trained military force. The State is obligated through the MCA to match the federal funds with general revenues as a condition of the grant. Military training is 100% federally financed. The Rhode Island National Guard is authorized in excess of 3,300 members (2,178 in the Army National Guard, 1,136 in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$238 million dollars.

### Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code. In FY 2015, the executive duties of the Rhode Island Emergency Management Agency were changed from the Adjutant General to the Executive Director. The National Guard remains as a single program within the Military Staff.

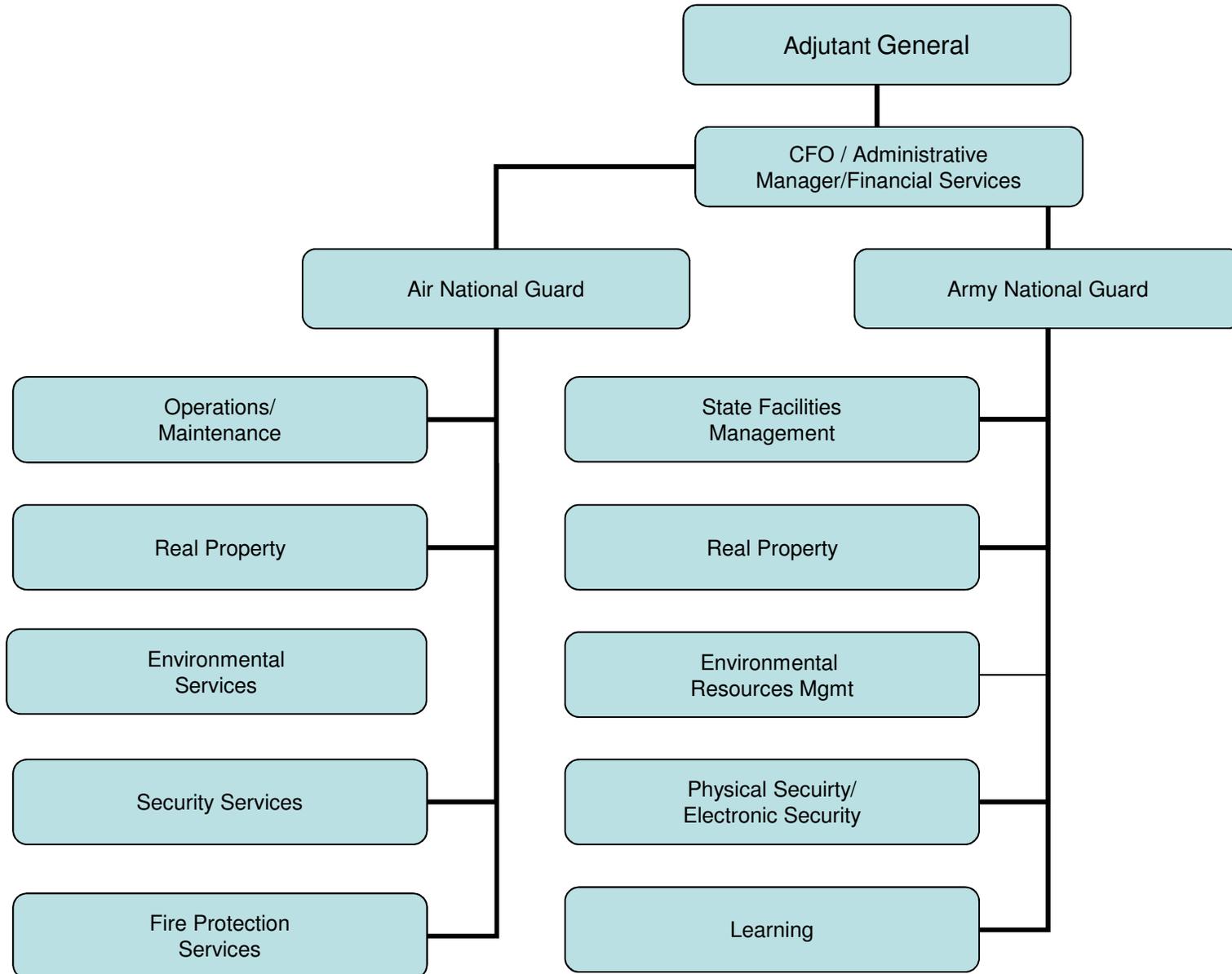
# Budget

## Military Staff

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
RI National Guard	15,896,419	15,409,992	19,408,098	19,623,374	24,677,316
Emergency Management	18,205,472	134,760	-	-	-
<b>Total Expenditures</b>	<b>\$34,101,891</b>	<b>\$15,544,752</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>
<b>Expenditures By Object</b>					
Personnel	11,334,017	8,543,944	9,743,777	9,772,572	10,803,252
Operating Supplies and Expenses	8,927,746	4,978,743	5,015,055	5,929,220	6,553,019
Assistance and Grants	8,056,541	314,982	502,000	515,150	515,150
<b>Subtotal: Operating Expenditures</b>	<b>28,318,304</b>	<b>13,837,669</b>	<b>15,260,832</b>	<b>16,216,942</b>	<b>17,871,421</b>
Capital Purchases and Equipment	3,894,809	1,707,083	4,147,266	3,406,432	6,805,895
Operating Transfers	1,888,778	-	-	-	-
<b>Total Expenditures</b>	<b>\$34,101,891</b>	<b>\$15,544,752</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>
<b>Expenditures By Funds</b>					
General Revenue	3,461,473	2,144,129	2,065,434	2,363,408	2,659,719
Federal Funds	28,705,774	12,353,422	15,361,864	14,636,581	17,497,797
Restricted Receipts	301,196	211,281	323,300	387,300	337,300
Operating Transfers from Other Funds	1,633,448	835,920	1,657,500	2,236,085	4,182,500
<b>Total Expenditures</b>	<b>\$34,101,891</b>	<b>\$15,544,752</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>
<b>FTE Authorization</b>	<b>117.0</b>	<b>85.0</b>	<b>92.0</b>	<b>92.0</b>	<b>96.0</b>

# The Agency

## State of Rhode Island Executive Military Staff



# Personnel

## Military Staff Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		5.0	228,808	7.0	318,360
Unclassified		87.0	4,599,312	89.0	4,767,273
<b>Subtotal</b>		<b>92.0</b>	<b>\$4,828,120</b>	<b>96.0</b>	<b>\$5,085,633</b>
Overtime		-	280,006	-	297,984
Turnover		-	(\$145,821)	-	-
<b>Subtotal</b>		<b>-</b>	<b>\$134,185</b>	<b>-</b>	<b>\$297,984</b>
<b>Total Salaries</b>		<b>92.0</b>	<b>\$4,962,305</b>	<b>96.0</b>	<b>\$5,383,617</b>
<b>Benefits</b>					
Payroll Accrual			27,641		29,259
Holiday			26,581		30,452
FICA			380,052		398,933
Retiree Health			311,410		316,121
Health Benefits			1,212,627		1,359,666
Retirement			1,255,612		1,403,898
Workers Compensation			80		83
<b>Subtotal</b>			<b>\$3,214,003</b>		<b>\$3,538,412</b>
<b>Total Salaries and Benefits</b>		<b>92.0</b>	<b>\$8,176,308</b>	<b>96.0</b>	<b>\$8,922,029</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$88,873</b>		<b>\$92,938</b>
<b>Statewide Benefit Assessment</b>			<b>\$168,069</b>		<b>\$205,726</b>
<b>Payroll Costs</b>		<b>92.0</b>	<b>\$8,344,377</b>	<b>96.0</b>	<b>\$9,127,755</b>
<b>Purchased Services</b>					
Buildings and Ground Maintenance			417,857		608,261
Other Contracts			820,533		865,028
Information Technology			103,933		112,771
Design and Engineering Services			52,903		55,547
Management & Consultant Services			18,408		18,408
Medical Services			14,561		15,482
<b>Subtotal</b>			<b>\$1,428,195</b>		<b>\$1,675,497</b>
<b>Total Personnel</b>		<b>92.0</b>	<b>\$9,772,572</b>	<b>96.0</b>	<b>\$10,803,252</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.8	\$1,201,426	12.3	\$1,352,204
Federal Funds		80.3	\$8,571,146	83.8	\$9,451,048
<b>Total All Funds</b>		<b>92.0</b>	<b>\$9,772,572</b>	<b>96.0</b>	<b>\$10,803,252</b>

# Performance Measures

## Military Staff

### *Command Building Readiness - Installation Status Report - Infrastructure (ISR - I)*

The ISR-I system tracks the percentage of Readiness Centers that meet or exceed Army-wide Standards for quality and mission support capability. The goal of the Rhode Island Army National Guard (RIARNG) is to bring all Readiness Centers up to Q2 Amber Quality rating. The figures below represent the percentage of the state's eighteen Armories and Readiness Centers that meet or exceed Army building code compliance standards.

	2013	2014	2015	2016	2017
<b>Target</b>	11.1%	14%	14%	14%	15%
<b>Actual</b>	12%	11%	11%	--	--

Performance for this measure is reported by federal fiscal year.

# The Program

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## Military Staff

## RI National Guard

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### **Program Mission**

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system. To ensure the needs of Rhode Island Veteran's and National Guard families and employees are supported from an accessible Family Assistance Center.

### **Program Description**

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to the federal National Guard Bureau, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards). State funds are utilized primarily for employees' salaries, training, travel, maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,249 members (2,130 in the Army National Guard, 1,179 in the Air National Guard) against a requirement of 3,459 (2,213 in the Army National Guard, 1,246 in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds

### **Statutory History**

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1- 14 and 28-30 and the Military Code.

# The Budget

## Military Staff RI National Guard

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Adjutant-General	795,164	1,170,052	1,204,155	1,363,956	1,320,904
State Military Prop Officer	4,740,013	3,137,381	6,480,397	5,780,185	9,547,474
Federal Army	4,188,163	4,810,936	5,169,841	5,559,831	5,987,095
Federal Air	6,173,079	6,291,623	6,553,705	6,919,402	7,821,843
<b>Total Expenditures</b>	<b>\$15,896,419</b>	<b>\$15,409,992</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>
<b>Expenditures By Object</b>					
Personnel	8,074,332	8,543,944	9,743,777	9,772,572	10,803,252
Operating Supplies and Expenses	4,585,761	4,981,482	5,015,055	5,929,220	6,553,019
Assistance and Grants	322,505	314,982	502,000	515,150	515,150
<b>Subtotal: Operating Expenditures</b>	<b>12,982,598</b>	<b>13,840,408</b>	<b>15,260,832</b>	<b>16,216,942</b>	<b>17,871,421</b>
Capital Purchases and Equipment	2,913,821	1,569,584	4,147,266	3,406,432	6,805,895
<b>Total Expenditures</b>	<b>\$15,896,419</b>	<b>\$15,409,992</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>
<b>Expenditures By Funds</b>					
General Revenue	1,676,222	2,146,868	2,065,434	2,363,408	2,659,719
Federal Funds	12,436,636	12,215,923	15,361,864	14,636,581	17,497,797
Restricted Receipts	150,113	211,281	323,300	387,300	337,300
Operating Transfers from Other Funds	1,633,448	835,920	1,657,500	2,236,085	4,182,500
<b>Total Expenditures</b>	<b>\$15,896,419</b>	<b>\$15,409,992</b>	<b>\$19,408,098</b>	<b>\$19,623,374</b>	<b>\$24,677,316</b>

# Personnel

## Military Staff

### RI National Guard

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
SENIOR ENVIRONMENTAL PLANNER	00827A	1.0	57,489	1.0	57,827
HVAC SHOP SUPERVISOR	00320A <sup>2</sup>	2.0	92,221	3.0	139,747
ELECTRICIAN	00316G <sup>1</sup>	2.0	79,098	3.0	120,786
JANITOR	00309A	-	-	-	-
OIL AND HAZARDOUS MATERIALS SPECIALIST 3	02832A	-	-	-	-
PLUMBER	03116G	-	-	-	-
PROJECT MANAGER I (DOA)	00037A	-	-	-	-
<b>Subtotal</b>		<b>5.0</b>	<b>\$228,808</b>	<b>7.0</b>	<b>\$318,360</b>
<b>Unclassified</b>					
ADJUTANT GENERAL	00847A	1.0	141,372	1.0	141,372
SUPERVISING ENVIRONMENTAL SCIENTIST	00832A	1.0	88,484	1.0	88,952
CHIEF FISCAL AFFAIRS AND CONTROLLER	00837A	1.0	83,910	1.0	84,911
ASSISTANT CHIEF	0N321G	3.0	223,106	3.0	224,266
OPERATIONS/MAINTENANCE COORDINATOR	00329A	1.0	73,628	1.0	73,959
CREW CHIEF	0N319G	9.0	656,294	9.0	659,531
PROGRAM MANAGER	00828A <sup>4</sup>	1.0	67,098	2.0	126,874
SUPERVISOR ENVIRONMENTAL SYSTEMS	00826A	2.0	122,193	2.0	124,792
FIREFIGHTER	0N317G	18.0	1,078,884	18.0	1,066,583
SENIOR PLANNING & PROGRAM DEVELOPMENT	00324A	1.0	59,525	1.0	59,839
MANAGEMENT & METHODS ANALYST	00320A	1.0	56,230	1.0	57,202
CONFIDENTIAL SECRETARY	00822A	1.0	55,360	1.0	55,654
ENGINEER BUILDING AUDITOR	00824A	2.0	110,213	2.0	110,786
SENIOR ACCOUNTANT	00322A	2.0	102,935	2.0	102,425
ADMINISTRATIVE ASSISTANT	00316A	1.0	47,161	1.0	47,424
DATA ENTRY OPERATOR	00312A	1.0	46,897	1.0	47,143
CONSTRUCTION SUPERVISOR	00317A	1.0	46,580	1.0	46,826
PRODUCTION SYSTEMS SPECIALIST	00320A	5.0	231,886	5.0	242,192
PRINCIPAL ENGINEERING AIDE	00315A	1.0	43,832	1.0	44,063
SENIOR MAINTENANCE PERSON (NATIONAL	00312A	3.0	119,334	3.0	119,798
ADMINISTRATIVE AIDE	00312A	2.0	79,253	2.0	79,682
SECURITY SPECIALIST AIR NATIONAL GUARD	00310A	10.0	375,787	10.0	380,741
MAINTENANCE PERSON	00309A	7.0	262,274	7.0	267,115
ADMINISTRATIVE ASSISTANT	00312A	1.0	37,086	1.0	37,949
MAINTENANCE REPAIR PERSON	00309A	8.0	285,012	8.0	288,550
SECURITY SPECIALIST - ARMY NATIONAL GUARD	00310A	2.0	71,156	2.0	73,144
ENGINEERING TECHNICIAN	00321A	1.0	33,822	1.0	48,530
ENGINEERING TECHNICIAN	00821A	-	-	-	-
PRINCIPAL PROJECTS MANAGER	00831A <sup>3</sup>	-	-	1.0	66,970
SPECIAL PROJECTS MANAGER	00322A	-	-	-	-
SUPERVISING ACCOUNTANT	00831A	-	-	-	-
<b>Subtotal</b>		<b>87.0</b>	<b>\$4,599,312</b>	<b>89.0</b>	<b>\$4,767,273</b>

# Personnel

## Military Staff

### RI National Guard

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	280,006	-	297,984
Turnover		-	(145,821)	-	-
<b>Subtotal</b>		-	<b>\$134,185</b>	-	<b>\$297,984</b>
<b>Total Salaries</b>		<b>92.0</b>	<b>\$4,962,305</b>	<b>96.0</b>	<b>\$5,383,617</b>
<b>Benefits</b>					
Payroll Accrual			27,641		29,259
Holiday			26,581		30,452
FICA			380,052		398,933
Retiree Health			311,410		316,121
Health Benefits			1,212,627		1,359,666
Retirement			1,255,612		1,403,898
Workers Compensation			80		83
<b>Subtotal</b>			<b>\$3,214,003</b>		<b>\$3,538,412</b>
<b>Total Salaries and Benefits</b>		<b>92.0</b>	<b>\$8,176,308</b>	<b>96.0</b>	<b>\$8,922,029</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$88,873</b>		<b>\$92,938</b>
<b>Statewide Benefit Assessment</b>			<b>\$168,069</b>		<b>\$205,726</b>
<b>Payroll Costs</b>		<b>92.0</b>	<b>\$8,344,377</b>	<b>96.0</b>	<b>\$9,127,755</b>
<b>Purchased Services</b>					
Information Technology			103,933		112,771
Management & Consultant Services			18,408		18,408
Other Contracts			820,533		865,028
Buildings and Ground Maintenance			417,857		608,261
Design and Engineering Services			52,903		55,547
Medical Services			14,561		15,482
<b>Subtotal</b>			<b>\$1,428,195</b>		<b>\$1,675,497</b>
<b>Total Personnel</b>		<b>92.0</b>	<b>\$9,772,572</b>	<b>96.0</b>	<b>\$10,803,252</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.8	\$1,201,426	12.3	\$1,352,204
Federal Funds		80.3	\$8,571,146	83.8	\$9,451,048
<b>Total All Funds</b>		<b>92.0</b>	<b>\$9,772,572</b>	<b>96.0</b>	<b>\$10,803,252</b>

1 FY 2017 budget includes 1.0 new Electrician FTE position for the Air National Guard.

3 FY 2017 budget includes 1.0 new Principal Projects Manager FTE position for the Facilities Maintenance Office.

2 FY 2017 budget includes 1.0 new HVAC Shop Supervisor FTE position for the Air National Guard.

4 FY 2017 budget includes 1.0 new Program Manager FTE position for the Facilities Maintenance Office.

# The Program

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## Military Staff Emergency Management

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### **Program Mission**

(Note: RI Emergency Management Agency becomes a stand-alone agency in FY 2015). To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To maintain and staff the State Emergency Operations Center for 24/7/365 operational capability. To maintain daily situational awareness toward the production of a common operating picture, ensuring a proactive response to support requests enabling the ability to place limited resources on critical needs in a timely and effective manner. To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure. To ensure as the lead coordinating agency for emergency management "All Hazard" training, preparedness, and response capability at the local and state levels of government.

### **Program Description**

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level. The Rhode Island Emergency Management Agency maintains the State Emergency Operating Center (S-EOC) at the Combined Readiness Center in Cranston. RIEMA is responsible for the operation and maintenance of the state's 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

### **Statutory History**

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency. The Governor's FY 2015 Budget Recommendation includes legislation to amend 30-15 to separate the executive functions of the RI Emergency Management Agency from the Military Staff.

# The Budget

## Military Staff Emergency Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	18,205,472	134,760	-	-	-
<b>Total Expenditures</b>	<b>\$18,205,472</b>	<b>\$134,760</b>	-	-	-
<b>Expenditures By Object</b>					
Personnel	3,259,685	-	-	-	-
Operating Supplies and Expenses	4,341,985	(2,739)	-	-	-
Assistance and Grants	7,734,036	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>15,335,706</b>	<b>(2,739)</b>	-	-	-
Capital Purchases and Equipment	980,988	137,499	-	-	-
Operating Transfers	1,888,778	-	-	-	-
<b>Total Expenditures</b>	<b>\$18,205,472</b>	<b>\$134,760</b>	-	-	-
<b>Expenditures By Funds</b>					
General Revenue	1,785,251	(2,739)	-	-	-
Federal Funds	16,269,138	137,499	-	-	-
Restricted Receipts	151,083	-	-	-	-
<b>Total Expenditures</b>	<b>\$18,205,472</b>	<b>\$134,760</b>	-	-	-

# Agency

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## Rhode Island Emergency Management Agency

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### Agency Mission

The mission of the Rhode Island Emergency Management Agency (RIEMA) is to reduce the loss of life and property for both natural and man-made incidents by utilizing an all hazards approach to prevention, protection, mitigation, response, and recovery while providing leadership, assistance, and support to regional, state, and local entities.

### Agency Description

RIEMA is responsible for establishing, sustaining and coordinating the resources of the Federal, State, local governments, non-governmental organizations and the private sector to effectively meet the challenges faced during a natural and/or man-made incident. This will be accomplished by the Executive Director through the Governor's authority under R.I.G.L 30-15. The organization's primary goal is to create and oversee a statewide system with an all hazards approach to emergency management and homeland security. RIEMA is also responsible to strengthen the thirty-one (31) core capabilities outlined by the National Preparedness Goal as established by Presidential Policy Directive 8 to reduce loss of life and property within the State of Rhode Island during natural and/or man-made incidents.

The Rhode Island Emergency Management Agency and the State Emergency Operation Center (SEOC) will provide a central location from which all state government at any level can provide interagency coordination and executive decision-making in support of any regional incident or local response. This will be done by: information collection and evaluation, executive decision-making, priority setting, management, coordination & tracking of resources, and interoperable communication facilitation to support any overall response efforts.

### Statutory History

R.I.G.L 30-15 created the Emergency Management function. In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency. The 2015 Legislature established RIEMA as a stand-alone agency. The 2015 Legislature established RIEMA as a stand-alone agency.

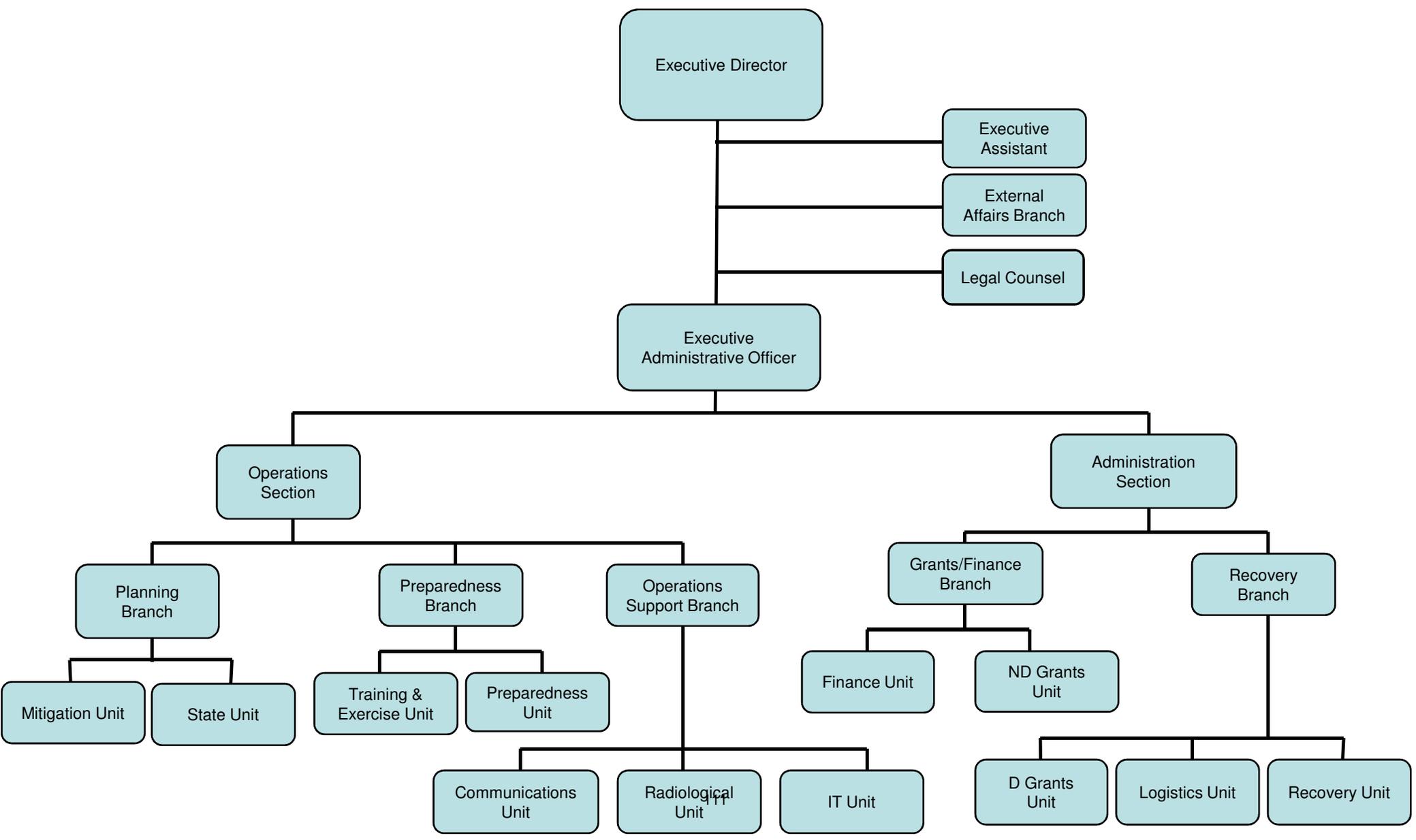
# Budget

## Rhode Island Emergency Management Agency

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	-	14,535,853	18,537,918	28,530,116	23,994,138
<b>Total Expenditures</b>	-	<b>\$14,535,853</b>	<b>\$18,537,918</b>	<b>\$28,530,116</b>	<b>\$23,994,138</b>
<b>Expenditures By Object</b>					
Personnel	-	3,397,286	4,243,432	4,190,777	4,328,539
Operating Supplies and Expenses	-	4,150,197	3,689,972	5,367,817	2,039,590
Assistance and Grants	-	3,308,892	10,596,014	18,739,447	15,850,524
<b>Subtotal: Operating Expenditures</b>	-	<b>10,856,375</b>	<b>18,529,418</b>	<b>28,298,041</b>	<b>22,218,653</b>
Capital Purchases and Equipment	-	1,299,526	8,500	232,075	1,775,485
Operating Transfers	-	2,379,952	-	-	-
<b>Total Expenditures</b>	-	<b>\$14,535,853</b>	<b>\$18,537,918</b>	<b>\$28,530,116</b>	<b>\$23,994,138</b>
<b>Expenditures By Funds</b>					
General Revenue	-	1,796,019	1,766,002	1,762,453	1,848,876
Federal Funds	-	12,622,540	16,551,541	26,233,728	20,094,466
Restricted Receipts	-	117,294	220,375	301,860	861,046
Operating Transfers from Other Funds	-	-	-	232,075	1,189,750
<b>Total Expenditures</b>	-	<b>\$14,535,853</b>	<b>\$18,537,918</b>	<b>\$28,530,116</b>	<b>\$23,994,138</b>
<b>FTE Authorization</b>	<b>0.0</b>	<b>32.0</b>	<b>32.0</b>	<b>32.0</b>	<b>32.0</b>

# The Agency

## State of Rhode Island Emergency Management Agency



# Personnel

## Rhode Island Emergency Management Agency Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	93,400	1.0	93,965
ADMINISTRATOR, OPERATIONS MANAGEMENT	00141A	1.0	90,247	1.0	95,542
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	86,712	1.0	87,238
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	1.0	86,035	1.0	89,706
ASSISTANT CHIEF OF PLANNING	00137A	4.0	343,105	4.0	363,585
INTERDEPARTMENTAL PROJECT MANAGER	00139A	1.0	83,268	1.0	86,861
MANAGEMENT ASSISTANCE SUPERVISOR	03231A	1.0	80,032	1.0	80,508
PROGRAMMING SERVICES OFFICER	03231A	5.0	328,549	5.0	338,191
SUPERVISOR OF ADMINISTRATIVE & TECHNICAL	03229A	1.0	58,467	1.0	61,833
SENIOR TELECOMMUNICATIONS AND WARNING	03226A	1.0	54,910	1.0	55,192
COORDINATOR CIVIL PROTECTION RELOCATION	03226A	11.0	586,341	11.0	600,785
EMERGENCY MANAGEMENT SURVIVABLE CRISIS	03225A	1.0	52,756	1.0	53,076
ASSISTANT COORDINATOR CIVIL PROTECTION	03224A	1.0	47,362	1.0	49,338
EXECUTIVE ASSISTANT	00118A	1.0	40,163	1.0	41,270
<b>Subtotal</b>		<b>31.0</b>	<b>\$2,031,347</b>	<b>31.0</b>	<b>\$2,097,090</b>
<b>Unclassified</b>					
EXECUTIVE DIRECTOR	00847A	1.0	135,125	1.0	140,708
<b>Subtotal</b>		<b>1.0</b>	<b>\$135,125</b>	<b>1.0</b>	<b>\$140,708</b>
Overtime		-	50,000	-	50,000
Turnover		-	(232,254)	-	(80,845)
<b>Subtotal</b>		<b>-</b>	<b>(\$182,254)</b>	<b>-</b>	<b>(\$30,845)</b>
<b>Total Salaries</b>		<b>32.0</b>	<b>\$1,984,218</b>	<b>32.0</b>	<b>\$2,206,953</b>
<b>Benefits</b>					
Payroll Accrual			13,773		14,383
FICA			166,194		171,651
Retiree Health			129,340		133,595
Health Benefits			352,454		361,402
Retirement			533,820		589,437
<b>Subtotal</b>			<b>\$1,195,581</b>		<b>\$1,270,468</b>
<b>Total Salaries and Benefits</b>		<b>32.0</b>	<b>\$3,179,799</b>	<b>32.0</b>	<b>\$3,477,421</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$99,369</b>		<b>\$108,669</b>
<b>Statewide Benefit Assessment</b>			<b>\$99,655</b>		<b>\$106,295</b>
<b>Payroll Costs</b>		<b>32.0</b>	<b>\$3,279,454</b>	<b>32.0</b>	<b>\$3,583,716</b>

# Personnel

## Rhode Island Emergency Management Agency Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Clerical and Temporary Services			779,823		644,823
Management & Consultant Services			130,000		100,000
Other Contracts			1,500		-
<b>Subtotal</b>			<b>\$911,323</b>		<b>\$744,823</b>
<b>Total Personnel</b>		<b>32.0</b>	<b>\$4,190,777</b>	<b>32.0</b>	<b>\$4,328,539</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		5.2	\$604,881	4.2	\$613,770
Federal Funds		24.8	\$3,344,044	25.8	\$3,466,888
Restricted Receipts		2.1	\$241,852	2.1	\$247,881
<b>Total All Funds</b>		<b>32.0</b>	<b>\$4,190,777</b>	<b>32.0</b>	<b>\$4,328,539</b>

# Performance Measures

## Rhode Island Emergency Management Agency

### ***Emergency Management Accreditation Program (EMAP) Standards Achieved***

The Rhode Island Emergency Management Agency (RIEMA) must meet 64 standards to become nationally accredited by the EMAP. Achieving national standards will lead to safer and more resilient communities. The figures below represent the number of EMAP standards achieved.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	32	64
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

### ***StormReady Communities***

The National Weather Service StormReady program helps provide communities with the communication and safety skills needed to save lives and property before and during severe weather events. StormReady helps community leaders and emergency managers strengthen local safety programs. The figures below represent the number of cities and towns that have completed the StormReady program.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	39	39
<b>Actual</b>	3	3	5	--	--

Performance for this measure is reported by calendar year.

### ***CodeRED Communities***

The CodeRED high speed community and emergency notification system helps local and state leaders send time-critical emergency messages needed to save lives and preserve property before and during severe weather events. CodeRED helps community leaders and emergency managers strengthen local safety programs. The figures below represent the number of cities and towns that have enrolled in the CodeRED system.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	24	39	39
<b>Actual</b>	14	18	20	--	--

Performance for this measure is reported by calendar year.

# Agency

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## Department Of Public Safety

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### Agency Mission

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

### Agency Description

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Security Services, Municipal Police Training Academy, and the State Police.

### Statutory History

The Department of Public Safety was established under Chapter §42-7.3-1 through § 42-7.3-10 of the General Laws of Rhode Island in July of 2008.

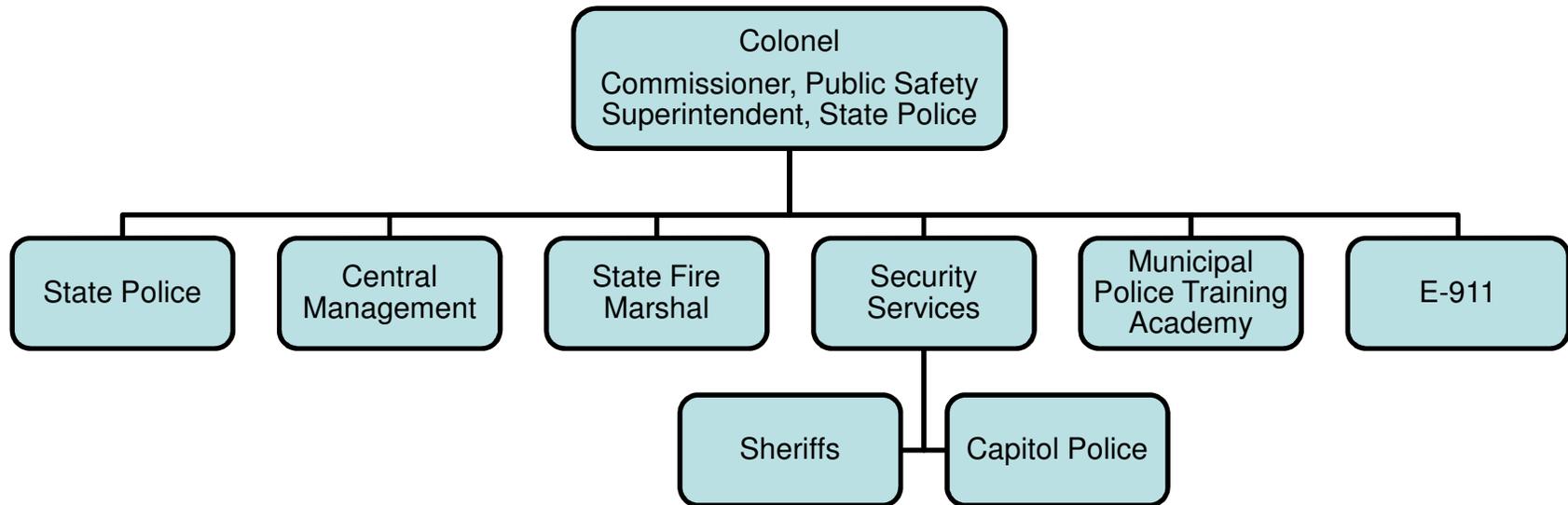
# Budget

## Department Of Public Safety

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	5,242,488	4,682,451	5,095,429	6,573,702	6,806,251
E-911	5,361,045	5,320,615	5,377,414	5,499,040	5,699,440
Fire Marshal	3,205,360	3,566,280	5,896,017	7,055,125	5,146,888
Security Services	20,823,906	21,937,251	22,680,304	22,683,483	23,162,912
Municipal Police Training	452,968	417,987	420,421	473,518	486,141
State Police	76,524,066	81,280,312	84,255,831	81,591,961	80,507,583
<i>Internal Services</i>	<i>[715,570]</i>	<i>[946,765]</i>	<i>[1,252,144]</i>	<i>[1,139,497]</i>	<i>[1,172,421]</i>
<b>Total Expenditures</b>	<b>\$111,609,833</b>	<b>\$117,204,896</b>	<b>\$123,725,416</b>	<b>\$123,876,829</b>	<b>\$121,809,215</b>
<b>Expenditures By Object</b>					
Personnel	76,920,732	80,898,351	80,455,459	81,507,153	84,335,256
Operating Supplies and Expenses	9,681,767	8,992,794	10,110,541	10,393,308	10,180,235
Assistance and Grants	20,836,395	21,048,943	20,005,672	21,824,823	21,745,583
Aid to Local Units of Government	200,000	-	-	50,000	-
<b>Subtotal: Operating Expenditures</b>	<b>107,638,894</b>	<b>110,940,088</b>	<b>110,571,672</b>	<b>113,775,284</b>	<b>116,261,074</b>
Capital Purchases and Equipment	3,970,939	6,264,808	13,153,744	10,101,545	5,548,141
<b>Total Expenditures</b>	<b>\$111,609,833</b>	<b>\$117,204,896</b>	<b>\$123,725,416</b>	<b>\$123,876,829</b>	<b>\$121,809,215</b>
<b>Expenditures By Funds</b>					
General Revenue	96,048,576	99,121,734	97,060,493	93,257,274	99,825,776
Federal Funds	6,597,933	5,986,537	6,764,072	10,093,127	9,292,391
Restricted Receipts	4,060,157	6,601,587	11,176,346	11,493,242	5,452,070
Operating Transfers from Other Funds	4,706,688	5,338,119	8,347,357	8,818,323	7,026,757
Other Funds	196,479	156,919	377,148	214,863	212,221
<b>Total Expenditures</b>	<b>\$111,609,833</b>	<b>\$117,204,896</b>	<b>\$123,725,416</b>	<b>\$123,876,829</b>	<b>\$121,809,215</b>
<b>FTE Authorization</b>	<b>634.2</b>	<b>634.2</b>	<b>633.2</b>	<b>633.2</b>	<b>633.2</b>

# The Agency

## Department of Public Safety



# Personnel

## Department Of Public Safety Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		86.0	4,335,546	86.0	4,362,222
Unclassified		547.2	40,298,447	547.2	40,313,985
<b>Subtotal</b>		<b>633.2</b>	<b>\$44,633,993</b>	<b>633.2</b>	<b>\$44,676,207</b>
Overtime		-	6,246,395	-	5,605,240
Road Construction Detail Reimbursements		-	2,031,649	-	2,031,649
Turnover		-	(\$2,858,411)	-	(\$1,398,273)
<b>Subtotal</b>		<b>-</b>	<b>\$5,419,633</b>	<b>-</b>	<b>\$6,238,616</b>
<b>Total Salaries</b>		<b>633.2</b>	<b>\$50,053,626</b>	<b>633.2</b>	<b>\$50,914,823</b>
<b>Benefits</b>					
Payroll Accrual			257,011		278,156
Holiday			1,360,006		1,610,537
FICA			2,240,302		2,225,346
Retiree Health			8,342,912		9,113,232
Health Benefits			7,161,859		8,153,841
Retirement			9,113,341		8,949,165
Contract Stipends			1,980,261		2,150,025
<b>Subtotal</b>			<b>\$30,455,692</b>		<b>\$32,480,302</b>
<b>Total Salaries and Benefits</b>		<b>633.2</b>	<b>\$80,509,318</b>	<b>633.2</b>	<b>\$83,395,125</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$127,147</b>		<b>\$131,704</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,140,739</b>		<b>\$1,208,761</b>
<b>Payroll Costs</b>		<b>633.2</b>	<b>\$81,650,057</b>	<b>633.2</b>	<b>\$84,603,886</b>

# Personnel

## Department Of Public Safety Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			-		5,000
Clerical and Temporary Services			3,150		3,150
Management & Consultant Services			42,248		28,086
Legal Services			3,000		3,000
Other Contracts			14,990		14,990
Buildings and Ground Maintenance			400		400
Training and Educational Services			802,655		788,545
Design and Engineering Services			4,200		4,200
Medical Services			125,950		56,420
<b>Subtotal</b>			<b>\$996,593</b>		<b>\$903,791</b>
<b>Total Personnel</b>		<b>633.2</b>	<b>\$82,646,650</b>	<b>633.2</b>	<b>\$85,507,677</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		596.8	\$70,672,221	554.3	\$75,908,334
Federal Funds		14.4	\$3,711,232	15.0	\$3,697,594
Restricted Receipts		1.0	\$3,284,327	38.0	\$344,664
Operating Transfers from Other Funds		9.0	\$3,624,510	14.0	\$4,172,443
Other Funds		12.0	\$1,354,360	12.0	\$1,384,642
<b>Total All Funds</b>		<b>633.2</b>	<b>\$82,646,650</b>	<b>633.2</b>	<b>\$85,507,677</b>

# Performance Measures

## Department Of Public Safety

### *Internet Crimes Against Children (ICAC) Task Force Proactive/ Undercover Investigations*

The ICAC Task Force is part of a national network coordinating law enforcement agencies at all levels in the investigations of offenders who use computer technology to sexually exploit children. The figures below represent the number of police-initiated investigations into sexual exploitation and solicitation of a child. [Note: Calendar year 2015 data is as of 12/15/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	55	75
<b>Actual</b>	39	77	96	--	--

Performance for this measure is reported by calendar year.

### *High Value Drug Arrests*

The figures below represent the number of drug arrests classified as "high value," which include possession of over an ounce of heroin, cocaine, or crystal meth; possession of over 100 pills of an opioid medication; possession of greater than five kilograms of marijuana; possession of any amount of fentanyl; and arrests for controlled substance while in possession of a firearm. [Note: Calendar year 2015 data is as of 11/4/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	111	117
<b>Actual</b>	37	33	71	--	--

Performance for this measure is reported by calendar year.

### *Accuracy of Traffic Stop Integrity Checks*

The State Police examine a representative sample of citations from each of the five barracks statewide comparing race data entered into records management system with the license photograph of the individual. The figures below represent the percentage of race data that match the photograph. [Note: Calendar year 2015 data is as of 9/30/2015.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	98%	98%
<b>Actual</b>	98.3%	99.3%	98.8%	--	--

Performance for this measure is reported by calendar year.

### *Violent Crimes Against Women and Children*

This measure is under development.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	--	--
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by calendar year.

# The Program

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## Department Of Public Safety Central Management

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### **Program Mission**

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

### **Program Description**

The Central Management Office is comprised of three different offices: the Business Office, the Legal Office, and the Public Safety Grant Administration Office.

The Business Office provides three main functions across the Department of Public Safety: Financial Management, Procurement, and Human Resources Administration. The Financial Management function includes all fiscal and budgetary oversight as well as accounting and inventory management activities for all divisions within the Department of Public Safety. Procurement functions include all purchasing, accounts payable and accounts receivable activities. Human Resources Administration provides direct administrative support to the department through the following functions: personnel administration, payroll and fringe benefit administration, recruitment, equal employment opportunity, State Police retirement program support and employee benefits functions.

The Legal Office provides counsel and representation to the department and its divisions and provides legal guidance on the development and administration of its programs.

The Public Safety Grant Administration Office's (PSGAO) primary responsibility is to apply for, receive, and administer federal grant programs. The PSGAO Unit develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues by pursuing a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following grants: The Edward Byrne Memorial Justice Assistance Grant Program, the Juvenile Justice Formula Block and other related grants, the Victims of Crime Act Assistance Formula Grant, the S.T.O.P. Violence Against Women Act Formula Grant, the Residential Substance Abuse Treatment Grant, the National Criminal Histories Improvement Grant Program, the Statistical Analysis Center Grant, the National Forensic Sciences Improvement Act Program, Project Safe Neighborhoods, and other discretionary grant programs.

### **Statutory History**

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

# The Budget

## Department Of Public Safety Central Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,242,488	4,682,451	5,095,429	6,573,702	6,806,251
<b>Total Expenditures</b>	<b>\$5,242,488</b>	<b>\$4,682,451</b>	<b>\$5,095,429</b>	<b>\$6,573,702</b>	<b>\$6,806,251</b>
<b>Expenditures By Object</b>					
Personnel	1,833,192	1,712,465	1,875,023	1,966,909	2,245,686
Operating Supplies and Expenses	31,119	22,779	22,426	88,031	41,803
Assistance and Grants	3,100,271	3,155,773	3,197,980	4,518,762	4,518,762
<b>Subtotal: Operating Expenditures</b>	<b>4,964,582</b>	<b>4,891,017</b>	<b>5,095,429</b>	<b>6,573,702</b>	<b>6,806,251</b>
Capital Purchases and Equipment	277,906	(208,566)	-	-	-
<b>Total Expenditures</b>	<b>\$5,242,488</b>	<b>\$4,682,451</b>	<b>\$5,095,429</b>	<b>\$6,573,702</b>	<b>\$6,806,251</b>
<b>Expenditures By Funds</b>					
General Revenue	1,169,750	1,164,241	1,325,286	1,304,186	1,407,618
Federal Funds	4,080,038	3,518,210	3,770,143	5,269,516	5,398,633
Restricted Receipts	(7,300)	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,242,488</b>	<b>\$4,682,451</b>	<b>\$5,095,429</b>	<b>\$6,573,702</b>	<b>\$6,806,251</b>

# Personnel

## Department Of Public Safety Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
GENERAL COUNSEL	00837A	1.0	109,950	1.0	109,950
DIR OF FINANCE & CENTRAL MNGT (DEPT OF	00840A	1.0	108,264	1.0	113,269
STAFF ATTORNEY VII	00840A	1.0	98,340	1.0	103,109
ADMINISTRATIVE MANAGER	00834A	1.0	86,915	1.0	86,915
SUPERVISOR OF MANAGEMENT SERVICES	00829A	1.0	85,237	1.0	85,237
ASSISTANT ADMINISTRATOR/FINANCIAL	00835A	1.0	79,947	1.0	79,947
PRINCIPAL PROJECTS MANAGER	08331A	1.0	79,346	1.0	79,346
PROJECT MANAGER	00830A	1.0	76,282	1.0	76,282
SENIOR PLANNING & PROGRAM DEVELOPMENT	05223A	3.0	199,497	3.0	201,799
ADMINISTRATIVE ASSISTANT	00825A	3.0	189,576	3.0	189,576
ADMINISTRATIVE ASSISTANT	00820A	1.0	51,515	1.0	51,515
ADMINISTRATIVE ASSISTANT	00819A	0.6	25,197	0.6	25,197
FISCAL CLERK	00814A	1.0	40,620	1.0	40,620
<b>Subtotal</b>		<b>16.6</b>	<b>\$1,230,686</b>	<b>16.6</b>	<b>\$1,242,762</b>
Turnover		-	(44,720)	-	(8,400)
<b>Subtotal</b>		<b>-</b>	<b>(\$44,720)</b>	<b>-</b>	<b>(\$8,400)</b>
<b>Total Salaries</b>		<b>16.6</b>	<b>\$1,185,966</b>	<b>16.6</b>	<b>\$1,234,362</b>
<b>Benefits</b>					
Payroll Accrual			6,709		7,074
FICA			90,729		94,428
Retiree Health			70,804		73,692
Health Benefits			221,494		427,683
Retirement			294,405		326,730
<b>Subtotal</b>			<b>\$684,141</b>		<b>\$929,607</b>
<b>Total Salaries and Benefits</b>		<b>16.6</b>	<b>\$1,870,107</b>	<b>16.6</b>	<b>\$2,163,969</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$112,657</b>		<b>\$130,360</b>
<b>Statewide Benefit Assessment</b>			<b>\$54,554</b>		<b>\$58,631</b>
<b>Payroll Costs</b>		<b>16.6</b>	<b>\$1,924,661</b>	<b>16.6</b>	<b>\$2,222,600</b>
<b>Purchased Services</b>					
Management & Consultant Services			42,248		23,086
<b>Subtotal</b>			<b>\$42,248</b>		<b>\$23,086</b>
<b>Total Personnel</b>		<b>16.6</b>	<b>\$1,966,909</b>	<b>16.6</b>	<b>\$2,245,686</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		11.4	\$1,293,859	11.4	\$1,397,291
Federal Funds		5.3	\$673,050	5.3	\$848,395
<b>Total All Funds</b>		<b>16.6</b>	<b>\$1,966,909</b>	<b>16.6</b>	<b>\$2,245,686</b>

# The Program

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## Department Of Public Safety

### E-911

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#### **Program Mission**

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology. For traditional wireline calls, the system automatically identifies caller's street address, telephone number, and a map displaying the location of the call, making it unnecessary for a caller to verbally communicate an address or public safety jurisdiction. After verification of location information, the call is transferred instantaneously to the appropriate agency for response.

#### **Program Description**

The Enhanced 9-1-1 Uniform Emergency Telephone System division operates a twenty-four hour, three hundred sixty days a year, statewide emergency public safety answering point, (PSAP) which receives 9-1-1 calls. The Division provides communications services from one answering point located in North Scituate through the universal emergency number 9-1-1. The system employs high technical and operational standards that are designed to reduce total response time at the local level. The Federal Communications Commission (FCC) in an order issued in 1996 (FCC Order 94-102), required that every 9-1-1 Emergency Telephone System Public Safety Answering Point (PSAP) is able to capture Automatic Number Identification (ANI) and Automatic Location Identification (ALI) information from an emergency caller using a wireless device.

#### **Statutory History**

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1986, the General Assembly enacted Rhode Island General Law 39-21.1, Sections 1 and 15, which was entitled "the 9-1-1 Emergency Telephone Number Act." The system became operational in late 1988 and was originally managed by the Rhode Island Uniform Emergency Telephone System Authority. The 1989 General Assembly transferred the duties from the Authority to the Executive Department, repealed Rhode Island General Law 39-21-2 to 39-21-20, inclusive, concerning the 9-1-1 Authority, and renamed Chapter 21 of the Rhode Island General Laws "E 9-1-1 Uniform Emergency Telephone System Division." In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1. On July 1, 2008, changes to Rhode Island General Law 39-21-2, (House Bill H 7390 Substitute A As Amended) mandated that RI E 9-1-1 will now come under the authority of the Department of Public Safety. Article 9 of the FY 2015 Budget as Enacted (House Bill H 7133 Substitute A As Amended) redirects 10% of money collected from E-911 surcharges shall be deposited into the Information Technology Investment Fund.

# The Budget

## Department Of Public Safety E-911

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	5,361,045	5,320,615	5,377,414	5,499,040	5,699,440
<b>Total Expenditures</b>	<b>\$5,361,045</b>	<b>\$5,320,615</b>	<b>\$5,377,414</b>	<b>\$5,499,040</b>	<b>\$5,699,440</b>
<b>Expenditures By Object</b>					
Personnel	4,103,264	4,133,420	4,325,127	4,257,906	4,449,656
Operating Supplies and Expenses	1,228,554	1,150,372	1,052,287	1,241,134	1,249,784
Assistance and Grants	-	43	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>5,331,818</b>	<b>5,283,835</b>	<b>5,377,414</b>	<b>5,499,040</b>	<b>5,699,440</b>
Capital Purchases and Equipment	29,227	36,780	-	-	-
<b>Total Expenditures</b>	<b>\$5,361,045</b>	<b>\$5,320,615</b>	<b>\$5,377,414</b>	<b>\$5,499,040</b>	<b>\$5,699,440</b>
<b>Expenditures By Funds</b>					
General Revenue	5,361,045	5,320,615	5,377,414	5,499,040	5,699,440
<b>Total Expenditures</b>	<b>\$5,361,045</b>	<b>\$5,320,615</b>	<b>\$5,377,414</b>	<b>\$5,499,040</b>	<b>\$5,699,440</b>

# Personnel

## Department Of Public Safety

### E-911

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PRINCIPAL PROJECTS MANAGER	00831A	1.0	84,222	1.0	88,792
PROJECT MANAGER	04330A	1.0	81,050	1.0	81,050
CONTRACTS AND SPECIFICATIONS OFFICER	00831A	0.6	47,608	0.6	47,608
DATA SYSTEMS MANAGER	04328A	1.0	78,032	1.0	78,032
ADMINISTRATIVE SUPPORT SPECIALIST	04324A	1.0	64,754	1.0	64,754
911 PRINCIPAL SUPERVISOR	04326A	1.0	64,415	1.0	66,783
911 SHIFT SUPERVISOR	04323A	2.0	128,206	2.0	128,206
911 ASSISTANT SHIFT SUPERVISOR	04320A	5.0	275,436	5.0	278,342
ASSISTANT SHIFT SUPERVISOR	04320A	1.0	54,928	1.0	54,928
DATABASE COORDINATOR	04321A	1.0	45,991	1.0	47,309
911 TELECOMMUNICATOR	04317A	35.0	1,555,759	35.0	1,560,233
SENIOR ADMINISTRATIVE AIDE	04317A	1.0	44,278	1.0	44,278
<b>Subtotal</b>		<b>50.6</b>	<b>\$2,524,679</b>	<b>50.6</b>	<b>\$2,540,315</b>
Overtime		-	150,000	-	150,000
Turnover		-	(197,791)	-	(148,814)
<b>Subtotal</b>		<b>-</b>	<b>(\$47,791)</b>	<b>-</b>	<b>\$1,186</b>
<b>Total Salaries</b>		<b>50.6</b>	<b>\$2,476,888</b>	<b>50.6</b>	<b>\$2,541,501</b>
<b>Benefits</b>					
Payroll Accrual			13,889		14,432
Holiday			97,582		106,965
FICA			196,952		202,612
Retiree Health			138,910		142,768
Health Benefits			563,837		606,580
Retirement			660,060		718,453
<b>Subtotal</b>			<b>\$1,671,230</b>		<b>\$1,791,810</b>
<b>Total Salaries and Benefits</b>		<b>50.6</b>	<b>\$4,148,118</b>	<b>50.6</b>	<b>\$4,333,311</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$81,979</b>		<b>\$85,639</b>
<b>Statewide Benefit Assessment</b>			<b>\$107,038</b>		<b>\$113,595</b>
<b>Payroll Costs</b>		<b>50.6</b>	<b>\$4,255,156</b>	<b>50.6</b>	<b>\$4,446,906</b>
<b>Purchased Services</b>					
Medical Services			2,750		2,750
<b>Subtotal</b>			<b>\$2,750</b>		<b>\$2,750</b>
<b>Total Personnel</b>		<b>50.6</b>	<b>\$4,257,906</b>	<b>50.6</b>	<b>\$4,449,656</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		50.6	\$4,257,906	50.6	\$4,449,656
<b>Total All Funds</b>		<b>50.6</b>	<b>\$4,257,906</b>	<b>50.6</b>	<b>\$4,449,656</b>

# The Program

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## Department Of Public Safety Fire Marshal

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### Program Mission

To reduce the number of fire losses in Rhode Island through prosecution of arson crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

### Program Description

The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation and fire education. The agency is divided into two Bureaus: the Enforcement Bureau and the Fire Code Bureau.

#### THE ENFORCEMENT BUREAU:

INVESTIGATIONS UNIT - The Investigations Unit, required to be available twenty-four (24) hours a day/seven (7) days a week, conducts the investigations of suspicious or attempted fires throughout the state to determine the cause, origin, and circumstances where property has been damaged or destroyed or a fatality has occurred.

TECHNICAL SERVICE UNIT - The Technical Services Unit (RI Bomb Squad) assists local police and fire departments and local state and federal law enforcement agencies in the proper handling and disposition of all hazardous materials suspected to be of explosive and or incendiary nature.

CENTRAL CLERICAL UNIT – The Central Clerical Unit is responsible for the administrative duties of the office as well as the Rhode Island Fire Academy. These duties include the processing of all permits and licenses issued by this office, which include the following: blasting, extinguisher maintenance, explosives procession, explosive sales and pyrotechnic display.

#### FIRE CODE BUREAU:

INSPECTION UNIT - The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code for compliance and assisting fire departments throughout the state with the implementation of the Rhode Island State Fire Safety Code.

PUBLIC EDUCATION AND JUVENILE PROGRAM UNIT - The Unit develops and presents injury and fire prevention programs throughout the state for schools, health care facilities, day cares, businesses, industrial organizations and the general public.

PLAN REVIEW UNIT - The Plan Review Unit is responsible for reviewing architectural drawings of proposed construction plans to make sure they are in compliant with current Fire Safety Codes. A fee is charged based on the estimated cost of the project and all fees are deposited into general revenue.

THE FIRE EDUCATION AND TRAINING UNIT - The Fire Education and Training Unit is responsible for implementing the State Fire Academy by providing education and training to all fire departments throughout the state.

### Statutory History

R.I.G.L.23-28 establishes the Rhode Island State Fire Marshal and defines its duties.

# The Budget

## Department Of Public Safety Fire Marshal

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	3,205,360	3,566,280	5,896,017	7,055,125	5,146,888
<b>Total Expenditures</b>	<b>\$3,205,360</b>	<b>\$3,566,280</b>	<b>\$5,896,017</b>	<b>\$7,055,125</b>	<b>\$5,146,888</b>
<b>Expenditures By Object</b>					
Personnel	2,808,635	2,885,913	3,181,171	3,111,709	3,239,337
Operating Supplies and Expenses	261,519	500,554	714,846	1,068,802	692,551
Assistance and Grants	-	6,859	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>3,070,154</b>	<b>3,393,326</b>	<b>3,896,017</b>	<b>4,180,511</b>	<b>3,931,888</b>
Capital Purchases and Equipment	135,206	172,954	2,000,000	2,874,614	1,215,000
<b>Total Expenditures</b>	<b>\$3,205,360</b>	<b>\$3,566,280</b>	<b>\$5,896,017</b>	<b>\$7,055,125</b>	<b>\$5,146,888</b>
<b>Expenditures By Funds</b>					
General Revenue	2,725,747	2,967,005	3,250,543	3,115,398	3,248,953
Federal Funds	29,628	212,509	396,095	717,201	425,169
Restricted Receipts	244,022	195,472	188,838	286,672	195,472
Operating Transfers from Other Funds	205,963	191,294	2,060,541	2,935,854	1,277,294
<b>Total Expenditures</b>	<b>\$3,205,360</b>	<b>\$3,566,280</b>	<b>\$5,896,017</b>	<b>\$7,055,125</b>	<b>\$5,146,888</b>

# Personnel

## Department Of Public Safety

### Fire Marshal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF DEPUTY FIRE MARSHAL	00132A	2.0	148,570	2.0	148,570
DIRECTOR OF FIRE TRAINING	00134A	1.0	74,225	1.0	78,305
EXPLOSIVES AND FLAMMABLE LIQUIDS	03626A	1.0	65,837	1.0	65,837
SENIOR FIRE INVESTIGATOR	03623A	1.0	65,837	1.0	65,837
CHIEF OF FIRE SAFETY INSPECTIONS	00127A	1.0	64,184	1.0	67,654
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	03627A	2.0	120,380	2.0	120,380
FIRE SAFETY TRAINING OFFICER	03627A	2.0	120,380	2.0	120,380
CHIEF OF FIRE INVESTIGATIONS	00127A	1.0	59,564	1.0	59,564
ASSISTANT EXPLOSIVES AND FLAMMABLE	03621A	2.0	105,454	2.0	105,454
FIRE INVESTIGATOR	03621A	5.0	252,957	5.0	254,001
EXECUTIVE ASSISTANT	00118A	1.0	45,124	1.0	45,124
FIRE SAFETY INSPECTOR	03619A	3.0	129,635	3.0	129,635
CLERK SECRETARY	04016A	1.0	41,240	1.0	41,240
FIRE SAFETY INSPECTOR	03617A	10.0	392,276	10.0	393,559
LICENSING AIDE	03615A	1.0	37,840	1.0	37,840
FIRE SAFETY TECHNICIAN	03616A	1.0	36,237	1.0	36,237
<b>Subtotal</b>		<b>35.0</b>	<b>\$1,759,740</b>	<b>35.0</b>	<b>\$1,769,617</b>
<b>Unclassified</b>					
STATE FIRE MARSHAL	00843A	1.0	122,186	1.0	122,186
<b>Subtotal</b>		<b>1.0</b>	<b>\$122,186</b>	<b>1.0</b>	<b>\$122,186</b>
Overtime		-	120,000	-	120,000
Turnover		-	(178,659)	-	(149,651)
<b>Subtotal</b>		<b>-</b>	<b>(\$58,659)</b>	<b>-</b>	<b>(\$29,651)</b>
<b>Total Salaries</b>		<b>36.0</b>	<b>\$1,823,267</b>	<b>36.0</b>	<b>\$1,862,152</b>
<b>Benefits</b>					
Payroll Accrual			10,112		10,451
FICA			139,420		142,348
Retiree Health			101,312		103,628
Health Benefits			282,160		315,055
Retirement			466,787		510,620
Contract Stipends			7,200		7,200
<b>Subtotal</b>			<b>\$1,006,991</b>		<b>\$1,089,302</b>
<b>Total Salaries and Benefits</b>		<b>36.0</b>	<b>\$2,830,258</b>	<b>36.0</b>	<b>\$2,951,454</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$78,618</b>		<b>\$81,985</b>
<b>Statewide Benefit Assessment</b>			<b>\$62,789</b>		<b>\$63,753</b>
<b>Payroll Costs</b>		<b>36.0</b>	<b>\$2,893,047</b>	<b>36.0</b>	<b>\$3,015,207</b>

# Personnel

## Department Of Public Safety

### Fire Marshal

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Training and Educational Services			208,462		213,930
Design and Engineering Services			4,200		4,200
Medical Services			6,000		6,000
<b>Subtotal</b>			<b>\$218,662</b>		<b>\$224,130</b>
<b>Total Personnel</b>		<b>36.0</b>	<b>\$3,111,709</b>	<b>36.0</b>	<b>\$3,239,337</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		35.0	\$2,831,544	3.0	\$2,952,650
Federal Funds		-	\$124,413	-	\$129,881
Restricted Receipts		-	\$94,512	32.0	\$94,512
Operating Transfers from Other Funds		1.0	\$61,240	1.0	\$62,294
<b>Total All Funds</b>		<b>36.0</b>	<b>\$3,111,709</b>	<b>36.0</b>	<b>\$3,239,337</b>

# The Program

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## Department Of Public Safety Security Services

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### **Program Mission**

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

### **Program Description**

The FY 2012 budget recommendation included the transfer of the Sheriffs from the Department of Administration to the Department of Public Safety. The Sheriffs have been transferred into Security Services program, which currently includes Capitol Police.

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

The Sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

### **Statutory History**

Chapter 42-7.3-3.2 of the Rhode General Laws established a Division of Sheriffs within the Department of Public Safety. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

# The Budget

## Department Of Public Safety Security Services

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Sheriffs	17,121,347	18,276,123	19,034,895	18,972,425	19,394,037
Capitol Police	3,702,559	3,661,128	3,645,409	3,711,058	3,768,875
<b>Total Expenditures</b>	<b>\$20,823,906</b>	<b>\$21,937,251</b>	<b>\$22,680,304</b>	<b>\$22,683,483</b>	<b>\$23,162,912</b>
<b>Expenditures By Object</b>					
Personnel	19,417,958	20,503,839	21,182,894	21,178,476	21,577,981
Operating Supplies and Expenses	1,377,546	1,433,412	1,492,410	1,505,007	1,584,931
<b>Subtotal: Operating Expenditures</b>	<b>20,795,504</b>	<b>21,937,251</b>	<b>22,675,304</b>	<b>22,683,483</b>	<b>23,162,912</b>
Capital Purchases and Equipment	28,402	-	5,000	-	-
<b>Total Expenditures</b>	<b>\$20,823,906</b>	<b>\$21,937,251</b>	<b>\$22,680,304</b>	<b>\$22,683,483</b>	<b>\$23,162,912</b>
<b>Expenditures By Funds</b>					
General Revenue	20,823,906	21,937,251	22,680,304	22,662,483	23,162,912
Federal Funds	-	-	-	21,000	-
<b>Total Expenditures</b>	<b>\$20,823,906</b>	<b>\$21,937,251</b>	<b>\$22,680,304</b>	<b>\$22,683,483</b>	<b>\$23,162,912</b>

# Personnel

## Department Of Public Safety Security Services

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF, CAPITOL POLICE	00137A	1.0	87,239	1.0	87,239
CAPITOL POLICE OFFICER LIEUTENANT	00326A	1.0	67,079	1.0	68,797
CAPITOL POLICE OFFICER SERGEANT	00323A	2.0	100,765	2.0	100,765
CAPITOL POLICE OFFICER	00321A	35.0	1,732,309	35.0	1,744,773
EXECUTIVE ASSISTANT	00118A	1.0	42,976	1.0	44,437
<b>Subtotal</b>		<b>40.0</b>	<b>\$2,030,368</b>	<b>40.0</b>	<b>\$2,046,011</b>
<b>Unclassified</b>					
CHIEF/SHERIFF	00840A	1.0	103,109	1.0	107,875
DEPUTY SHERIFF - CAPTAIN	00630A	3.0	252,349	3.0	252,349
DEPUTY SHERIFF-MAJOR	00835A	1.0	79,947	1.0	82,867
DEPUTY SHERIFF - LIEUTENANT	00628A	5.0	375,686	5.0	375,686
SECURITY AND OPERATIONS MANAGER	00826A	1.0	73,016	1.0	73,016
DEPUTY SHERIFF - SERGEANT	00626A	9.0	652,208	9.0	652,208
DEPUTY SHERIFF	00624A	61.0	4,005,378	61.0	4,029,705
DEPUTY SHERIFF	00602A	24.0	1,473,236	24.0	1,477,559
ADMINISTRATIVE ASSISTANT	00825A	1.0	59,709	1.0	59,709
DEPUTY SHERIFF-CLERK	00318A	1.0	52,699	1.0	52,699
DEPUTY SHERIFF	00601A	72.0	3,721,319	72.0	3,783,926
SENIOR CLERK	00308A	1.0	37,261	1.0	37,261
<b>Subtotal</b>		<b>180.0</b>	<b>\$10,885,917</b>	<b>180.0</b>	<b>\$10,984,860</b>
Overtime		-	1,101,000	-	955,000
Turnover		-	(571,890)	-	(507,258)
<b>Subtotal</b>		<b>-</b>	<b>\$529,110</b>	<b>-</b>	<b>\$447,742</b>
<b>Total Salaries</b>		<b>220.0</b>	<b>\$13,445,395</b>	<b>220.0</b>	<b>\$13,478,613</b>
<b>Benefits</b>					
Payroll Accrual			73,764		75,153
Holiday			8,061		9,207
FICA			1,050,764		1,045,025
Retiree Health			736,960		747,672
Health Benefits			2,321,644		2,441,348
Retirement			3,029,549		3,285,300
Contract Stipends			173,100		173,100
<b>Subtotal</b>			<b>\$7,393,842</b>		<b>\$7,776,805</b>
<b>Total Salaries and Benefits</b>		<b>220.0</b>	<b>\$20,839,237</b>	<b>220.0</b>	<b>\$21,255,418</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$94,724</b>		<b>\$96,616</b>
<b>Statewide Benefit Assessment</b>			<b>\$294,039</b>		<b>\$303,857</b>
<b>Payroll Costs</b>		<b>220.0</b>	<b>\$21,133,276</b>	<b>220.0</b>	<b>\$21,559,275</b>

# Personnel

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## Department Of Public Safety Security Services

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	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Legal Services			3,000		3,000
Medical Services			42,200		15,706
<b>Subtotal</b>			<b>\$45,200</b>		<b>\$18,706</b>
<b>Total Personnel</b>		<b>220.0</b>	<b>\$21,178,476</b>	<b>220.0</b>	<b>\$21,577,981</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		220.0	\$21,157,476	220.0	\$21,577,981
Federal Funds		-	\$21,000	-	-
<b>Total All Funds</b>		<b>220.0</b>	<b>\$21,178,476</b>	<b>220.0</b>	<b>\$21,577,981</b>

# The Program

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## Department Of Public Safety Municipal Police Training

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### **Program Mission**

Evaluate and screen police officer candidates to attain required minimum qualifications; to provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks; and to provide in-service and specialized training courses.

### **Program Description**

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island, with the exception of the Providence Police and the Rhode Island State Police, including new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others who are attending the Police Academy.

The Police Training Academy's programs include instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/S.F.S.T.; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

In addition to providing basic police training, the Police Training Academy also conducts extensive police in-service and specialized training programs in many areas. Some of these subject areas include: First Line Supervisor; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Program; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for the police recruits is conducted at the Community College of Rhode Island, Flanagan Campus, Lincoln, RI. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by Rhode Island State Troopers at no costs to local municipalities.

### **Statutory History**

In 1969, Title 42, Chapter 28.2-2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

# The Budget

## Department Of Public Safety Municipal Police Training

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	452,968	417,987	420,421	473,518	486,141
<b>Total Expenditures</b>	<b>\$452,968</b>	<b>\$417,987</b>	<b>\$420,421</b>	<b>\$473,518</b>	<b>\$486,141</b>
<b>Expenditures By Object</b>					
Personnel	344,847	303,692	355,117	361,508	369,871
Operating Supplies and Expenses	105,961	114,295	65,304	112,010	116,270
Assistance and Grants	(135)	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>450,673</b>	<b>417,987</b>	<b>420,421</b>	<b>473,518</b>	<b>486,141</b>
Capital Purchases and Equipment	2,295	-	-	-	-
<b>Total Expenditures</b>	<b>\$452,968</b>	<b>\$417,987</b>	<b>\$420,421</b>	<b>\$473,518</b>	<b>\$486,141</b>
<b>Expenditures By Funds</b>					
General Revenue	213,895	257,378	254,667	255,383	263,746
Federal Funds	239,208	160,609	165,754	218,135	222,395
Restricted Receipts	(135)	-	-	-	-
<b>Total Expenditures</b>	<b>\$452,968</b>	<b>\$417,987</b>	<b>\$420,421</b>	<b>\$473,518</b>	<b>\$486,141</b>

# Personnel

## Department Of Public Safety Municipal Police Training

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
SENIOR TRAINING SPECIALIST	00326A	1.0	77,139	1.0	77,139
ADMINISTRATIVE ASSISTANT/SECRETARY	00821A	1.0	48,715	1.0	51,574
<b>Subtotal</b>		<b>2.0</b>	<b>\$125,854</b>	<b>2.0</b>	<b>\$128,713</b>
Overtime		-	(605)	-	-
<b>Subtotal</b>		<b>-</b>	<b>(\$605)</b>	<b>-</b>	<b>-</b>
<b>Total Salaries</b>		<b>2.0</b>	<b>\$125,249</b>	<b>2.0</b>	<b>\$128,713</b>
<b>Benefits</b>					
Payroll Accrual			713		742
FICA			9,581		9,847
Retiree Health			7,477		7,684
Health Benefits			35,157		36,164
Retirement			30,094		33,132
<b>Subtotal</b>			<b>\$83,022</b>		<b>\$87,569</b>
<b>Total Salaries and Benefits</b>		<b>2.0</b>	<b>\$208,271</b>	<b>2.0</b>	<b>\$216,282</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$104,136</b>		<b>\$108,141</b>
<b>Statewide Benefit Assessment</b>			<b>\$5,762</b>		<b>\$6,114</b>
<b>Payroll Costs</b>		<b>2.0</b>	<b>\$214,033</b>	<b>2.0</b>	<b>\$222,396</b>
<b>Purchased Services</b>					
Training and Educational Services			147,475		147,475
<b>Subtotal</b>			<b>\$147,475</b>		<b>\$147,475</b>
<b>Total Personnel</b>		<b>2.0</b>	<b>\$361,508</b>	<b>2.0</b>	<b>\$369,871</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		2.0	\$216,833	2.0	\$225,196
Federal Funds		-	\$144,675	-	\$144,675
Restricted Receipts		-	-	-	-
<b>Total All Funds</b>		<b>2.0</b>	<b>\$361,508</b>	<b>2.0</b>	<b>\$369,871</b>

# The Program

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## Department Of Public Safety State Police

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### **Program Mission**

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

### **Program Description**

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. This is accomplished by providing administrative, patrol, and detective components:

Administrative Bureau – consisting of sworn as well as civilian personnel which provide oversight and support to the Division in conjunction with managing many of the other essential units within the Division

Uniform Bureau – responsible for providing basic protection of life and property, promoting highway safety, investigating criminal and non-criminal activities, providing commercial vehicle enforcement, assisting local, state and federal agencies, maintaining order at demonstrations and public events and making public service visits to schools and community groups.

Detective Bureau – responsible for investigating criminal complaints to include, but not limited to, organized crime, white collar crime, illegal narcotics activity, auto theft and the processing of criminal suspects and crime scenes by the Criminal Identification Unit, cyber-crime and terrorism activity through the Fusion Center and Computer Crimes. Additionally, assistance is provided to the state Attorney General's Office, the United States Attorney General's Office, and other local, state and federal agencies.

### **Statutory History**

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, and the Dive Team, public accident reports and special crime systems.

# The Budget

## Department Of Public Safety State Police

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Support	11,796,093	10,904,494	14,220,465	13,659,319	12,796,174
Detectives	16,922,911	21,386,774	26,063,943	22,978,392	17,670,304
Patrol	27,155,834	28,423,528	24,201,831	26,088,129	30,401,256
Pension	17,700,445	17,516,506	16,566,076	16,199,704	16,199,704
Communications and Technology	2,948,783	3,049,010	3,203,516	2,666,417	3,440,145
<b>Total Expenditures</b>	<b>\$76,524,066</b>	<b>\$81,280,312</b>	<b>\$84,255,831</b>	<b>\$81,591,961</b>	<b>\$80,507,583</b>
<b>Expenditures By Object</b>					
Personnel	48,412,836	51,359,022	49,536,127	50,630,645	52,452,725
Operating Supplies and Expenses	6,677,068	5,771,382	6,763,268	6,378,324	6,494,896
Assistance and Grants	17,736,259	17,886,268	16,807,692	17,306,061	17,226,821
Aid to Local Units of Government	200,000	-	-	50,000	-
<b>Subtotal: Operating Expenditures</b>	<b>73,026,163</b>	<b>75,016,672</b>	<b>73,107,087</b>	<b>74,365,030</b>	<b>76,174,442</b>
Capital Purchases and Equipment	3,497,903	6,263,640	11,148,744	7,226,931	4,333,141
<b>Total Expenditures</b>	<b>\$76,524,066</b>	<b>\$81,280,312</b>	<b>\$84,255,831</b>	<b>\$81,591,961</b>	<b>\$80,507,583</b>
<b>Expenditures By Funds</b>					
General Revenue	65,754,233	67,475,244	64,172,279	60,420,784	66,043,107
Federal Funds	2,249,059	2,095,209	2,432,080	3,867,275	3,246,194
Restricted Receipts	3,823,570	6,406,115	10,987,508	11,206,570	5,256,598
Operating Transfers from Other Funds	4,500,725	5,146,825	6,286,816	5,882,469	5,749,463
Other Funds	196,479	156,919	377,148	214,863	212,221
<b>Total Expenditures</b>	<b>\$76,524,066</b>	<b>\$81,280,312</b>	<b>\$84,255,831</b>	<b>\$81,591,961</b>	<b>\$80,507,583</b>

# Personnel

## Department Of Public Safety

### State Police

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
LIEUTENANT COLONEL (STATE POLICE)	00074F	2.0	342,324	2.0	342,324
SUPERINTENDENT DIRECTOR OF PUBLIC	00952KF	1.0	163,830	1.0	163,830
MAJOR (STATE POLICE)	00075F	3.0	470,169	3.0	470,169
CAPTAIN (STATE POLICE)	00072F	6.0	894,785	6.0	894,785
LIEUTENANT (STATE POLICE)	00071F	23.0	3,214,412	23.0	3,214,412
FRAUD MANAGER	00840A	2.0	258,361	2.0	258,361
DIRECTOR OF RADIO COMMUNICATIONS	00840A	1.0	126,753	1.0	126,753
DETECTIVE SERGEANT	00084A	13.0	1,412,821	13.0	1,415,351
SERGEANT (STATE POLICE)	00070A	8.0	819,216	8.0	819,216
INTELLIGENCE ANALYST	00838A	2.0	201,597	2.0	201,597
DATA PROCESSING SYSTEMS MANAGER	00836A	2.0	194,480	2.0	194,480
DIRECTOR OF TELECOMMUNICATIONS	00836A	1.0	94,978	1.0	94,978
DETECTIVE CORPORAL	00083A	11.0	1,037,100	11.0	1,037,101
TECHNICAL SUPPORT SPECIALIST III	00135A	1.0	93,387	1.0	93,387
STATE WITNESS PROTECTION COORDINATOR	00880F	1.0	92,353	1.0	92,353
CORPORAL (STATE POLICE)	00069A	14.0	1,289,997	14.0	1,289,997
EXECUTIVE ASSISTANT TO THE CHAIRPERSON	00829A	1.0	82,971	1.0	82,971
PROJECT MANAGER	04930A	1.0	82,537	1.0	82,537
TECHNICAL SUPPORT PROGRAMMER	04926A	3.0	243,390	3.0	243,390
DETECTIVE TROOPER	00082A <sup>1</sup>	38.0	3,076,347	37.0	2,986,296
SENIOR TROOPER	00081A	40.0	3,113,933	40.0	3,145,777
SENIOR MONITORING AND EVALUATION	05525A	1.0	77,536	1.0	77,536
OPERATIONS/MAINTENANCE COORDINATOR	00829A	1.0	76,789	1.0	79,593
CONFIDENTIAL INVESTIGATOR	00831A	1.0	75,262	1.0	79,346
CRIMINAL CASE COORDINATOR	05525A	1.0	74,996	1.0	74,996
SPECIAL PROJECT ASSOCIATE (GOV'S OFFICE)	00826A	1.0	71,637	1.0	71,637
TROOPER (STATE POLICE)	00080A	96.0	6,143,388	97.0	6,646,015
ADMINISTRATIVE OFFICER	04922A	1.0	61,235	1.0	61,235
ADMINISTRATIVE ASSISTANT	04920A	2.0	119,511	2.0	119,511
COMPUTER PROGRAMMER	00825A	1.0	59,709	1.0	59,709
TECHNICAL STAFF ASSISTANT - OPERATIONS	04920A	1.0	53,924	1.0	53,924
LEAD INFORMATION SPECIALIST	04924A	1.0	50,392	1.0	50,392
ELECTRONICS TECHNICIAN	0C620A	1.0	49,235	1.0	49,235
ADMINISTRATIVE ASSISTANT	00819A	1.0	45,426	1.0	47,228
CLERK SECRETARY	05514A	1.0	44,997	1.0	44,997
TELECOMMUNICATOR	04917A	6.0	267,187	6.0	268,959
PRINCIPAL CONFIDENTIAL TRANSCRIBER (STATE	04916A	1.0	42,951	1.0	42,951
UTILITY MAINTENANCE TECHNICIAN	04911A	6.0	217,209	6.0	217,820
TRAINEE TROOPER	00405W <sup>2</sup>	-	572,000	-	-
<b>Subtotal</b>		<b>297.0</b>	<b>\$25,409,125</b>	<b>297.0</b>	<b>\$25,295,149</b>

# Personnel

## Department Of Public Safety State Police

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	4,663,000	-	4,167,240
Road Construction Detail Reimbursements		-	2,031,649	-	2,031,649
Turnover		-	(1,862,692)	-	(584,150)
<b>Subtotal</b>		-	<b>\$4,831,957</b>	-	<b>\$5,614,739</b>
<b>Total Salaries</b>		<b>297.0</b>	<b>\$30,241,082</b>	<b>297.0</b>	<b>\$30,909,888</b>
<b>Benefits</b>					
Payroll Accrual			147,915		166,338
Holiday			1,251,392		1,491,081
FICA			707,122		671,991
Retiree Health			7,255,043		8,005,157
Health Benefits			3,594,904		4,179,725
Retirement			4,498,790		3,931,055
Contract Stipends			1,790,336		1,960,100
<b>Subtotal</b>			<b>\$19,245,502</b>		<b>\$20,405,447</b>
<b>Total Salaries and Benefits</b>		<b>297.0</b>	<b>\$49,486,584</b>	<b>297.0</b>	<b>\$51,315,335</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$166,621</b>		<b>\$172,779</b>
<b>Statewide Benefit Assessment</b>			<b>\$603,803</b>		<b>\$649,746</b>
<b>Payroll Costs</b>		<b>297.0</b>	<b>\$50,090,387</b>	<b>297.0</b>	<b>\$51,965,081</b>
<b>Purchased Services</b>					
Information Technology			-		5,000
Clerical and Temporary Services			3,150		3,150
Management & Consultant Services			-		5,000
Other Contracts			14,990		14,990
Buildings and Ground Maintenance			400		400
Training and Educational Services			446,718		427,140
Medical Services			75,000		31,964
<b>Subtotal</b>			<b>\$540,258</b>		<b>\$487,644</b>
<b>Total Personnel</b>		<b>297.0</b>	<b>\$50,630,645</b>	<b>297.0</b>	<b>\$52,452,725</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		277.9	\$40,914,603	267.3	\$45,305,560
Federal Funds		9.2	\$2,748,094	9.7	\$2,574,643
Restricted Receipts		1.0	\$3,189,815	6.0	\$250,152
Operating Transfers from Other Funds		8.0	\$3,563,270	13.0	\$4,110,149
Other Funds		1.0	\$214,863	1.0	\$212,221
<b>Total All Funds</b>		<b>297.0</b>	<b>\$50,630,645</b>	<b>297.0</b>	<b>\$52,452,725</b>

1 Gaming Enforcement Unit consisting of 2.0 Corporals, 1.0 Sergeant and 5.0 Detectives to monitor gaming at Twin River Casino, paid by the Department of Revenue.

2 The Governor recommends 35.0 Trainee Troopers for the 56th State Police Academy beginning in FY 2016.

# The Program

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## Department Of Public Safety Capitol Police Rotary

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### **Program Mission**

To protect property and ensure the safety of the employees who use state-owned buildings, as well as to protect the public that enter these facilities daily to conduct business.

### **Program Description**

This Program supplies security services to the Department of Human Services; Department of Children Youth and Families; Division of Motor Vehicles; Operator Control at the Pastore Complex, and; the Department of Transportation. The program is reimbursed from other departments through this rotary account.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L 35-5 to establish a system of rotating funds in any state department or agency.

# The Budget

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## Department Of Public Safety Capitol Police Rotary

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	<b>2014 Audited</b>	<b>2015 Audited</b>	<b>2016 Enacted</b>	<b>2016 Revised</b>	<b>2017 Recommend</b>
<b>Expenditures By Subprogram</b>					
Operations	715,570	946,765	1,252,144	1,139,497	1,172,421
Internal Services	<i>[715,570]</i>	<i>[946,765]</i>	<i>[1,252,144]</i>	<i>[1,139,497]</i>	<i>[1,172,421]</i>
<b>Total Expenditures</b>	<b>\$715,570</b>	<b>\$946,765</b>	<b>\$1,252,144</b>	<b>\$1,139,497</b>	<b>\$1,172,421</b>
<b>Expenditures By Object</b>					
Personnel	715,515	946,765	1,252,144	1,139,497	1,172,421
Operating Supplies and Expenses	55	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>715,570</b>	<b>946,765</b>	<b>1,252,144</b>	<b>1,139,497</b>	<b>1,172,421</b>
<b>Total Expenditures</b>	<b>\$715,570</b>	<b>\$946,765</b>	<b>\$1,252,144</b>	<b>\$1,139,497</b>	<b>\$1,172,421</b>
<b>Expenditures By Funds</b>					
Other Funds	715,570	946,765	1,252,144	1,139,497	1,172,421
<b>Total Expenditures</b>	<b>\$715,570</b>	<b>\$946,765</b>	<b>\$1,252,144</b>	<b>\$1,139,497</b>	<b>\$1,172,421</b>

# Personnel

## Department Of Public Safety Capitol Police Rotary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CAPITOL POLICE OFFICER	00321A	11.0	545,438	11.0	546,594
<b>Subtotal</b>		<b>11.0</b>	<b>\$545,438</b>	<b>11.0</b>	<b>\$546,594</b>
Overtime		-	213,000	-	213,000
Turnover		-	(2,659)	-	-
<b>Subtotal</b>		<b>-</b>	<b>\$210,341</b>	<b>-</b>	<b>\$213,000</b>
<b>Total Salaries</b>		<b>11.0</b>	<b>\$755,779</b>	<b>11.0</b>	<b>\$759,594</b>
<b>Benefits</b>					
Payroll Accrual			3,909		3,966
Holiday			2,971		3,284
FICA			45,734		59,095
Retiree Health			32,406		32,631
Health Benefits			142,663		147,286
Retirement			133,656		143,875
Contract Stipends			9,625		9,625
<b>Subtotal</b>			<b>\$370,964</b>		<b>\$399,762</b>
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>\$1,126,743</b>	<b>11.0</b>	<b>\$1,159,356</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$102,431</b>		<b>\$105,396</b>
<b>Statewide Benefit Assessment</b>			<b>\$12,754</b>		<b>\$13,065</b>
<b>Payroll Costs</b>		<b>11.0</b>	<b>\$1,139,497</b>	<b>11.0</b>	<b>\$1,172,421</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>\$1,139,497</b>	<b>11.0</b>	<b>\$1,172,421</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		11.0	\$1,139,497	11.0	\$1,172,421
<b>Total All Funds</b>		<b>11.0</b>	<b>\$1,139,497</b>	<b>11.0</b>	<b>\$1,172,421</b>

# Agency

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## Office Of Public Defender

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### Agency Mission

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

### Agency Description

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

### Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

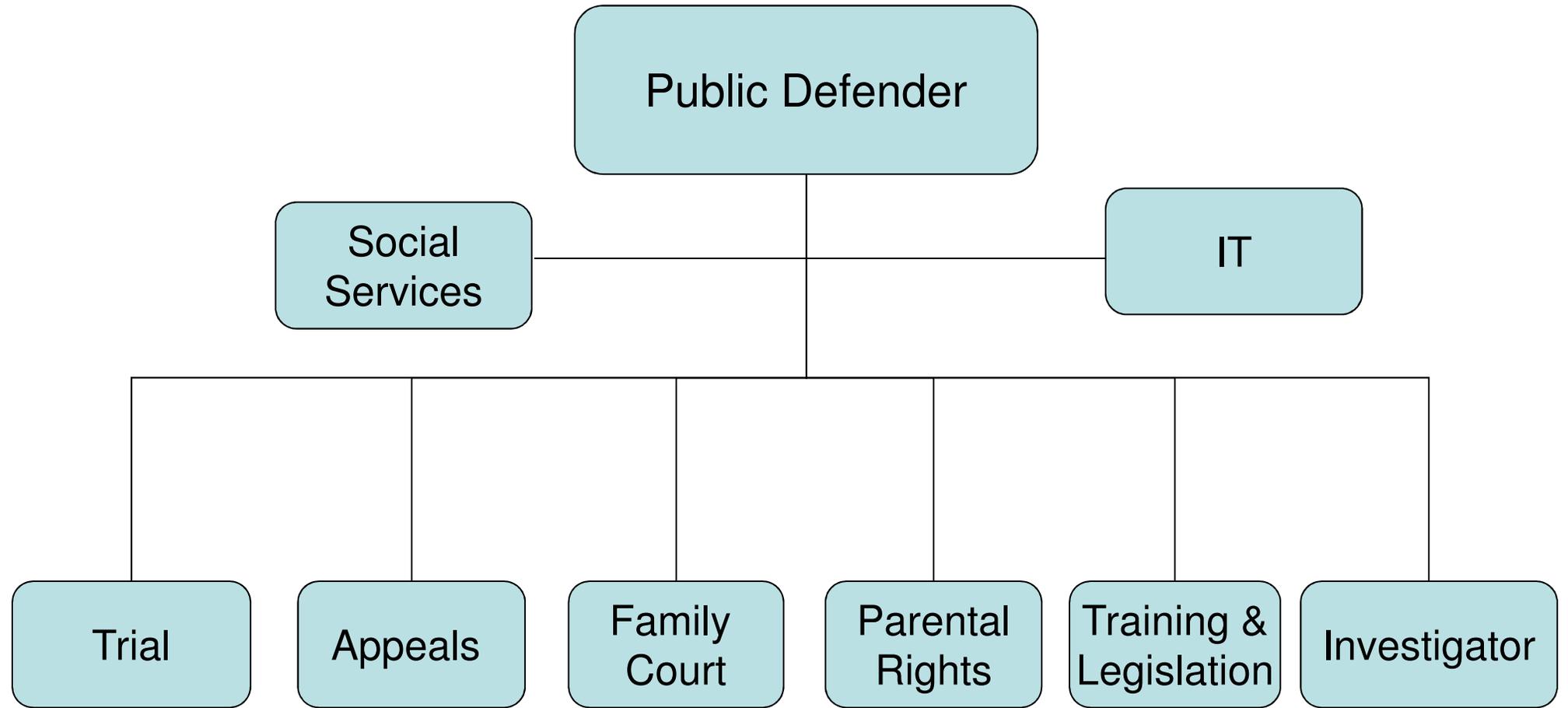
# Budget

## Office Of Public Defender

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	10,917,680	10,902,222	11,700,347	11,616,528	11,897,202
<b>Total Expenditures</b>	<b>\$10,917,680</b>	<b>\$10,902,222</b>	<b>\$11,700,347</b>	<b>\$11,616,528</b>	<b>\$11,897,202</b>
<b>Expenditures By Object</b>					
Personnel	9,927,161	9,869,259	10,613,079	10,530,038	10,771,263
Operating Supplies and Expenses	903,157	950,465	979,768	978,990	1,018,439
Assistance and Grants	50,593	40,464	60,000	60,000	60,000
<b>Subtotal: Operating Expenditures</b>	<b>10,880,911</b>	<b>10,860,188</b>	<b>11,652,847</b>	<b>11,569,028</b>	<b>11,849,702</b>
Capital Purchases and Equipment	36,769	42,034	47,500	47,500	47,500
<b>Total Expenditures</b>	<b>\$10,917,680</b>	<b>\$10,902,222</b>	<b>\$11,700,347</b>	<b>\$11,616,528</b>	<b>\$11,897,202</b>
<b>Expenditures By Funds</b>					
General Revenue	10,742,068	10,829,860	11,621,977	11,503,708	11,784,382
Federal Funds	175,612	72,362	78,370	112,820	112,820
<b>Total Expenditures</b>	<b>\$10,917,680</b>	<b>\$10,902,222</b>	<b>\$11,700,347</b>	<b>\$11,616,528</b>	<b>\$11,897,202</b>
<b>FTE Authorization</b>	<b>93.0</b>	<b>93.0</b>	<b>93.0</b>	<b>93.0</b>	<b>93.0</b>

# The Agency

## Rhode Island Public Defender



# Personnel

## Office Of Public Defender Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
PUBLIC DEFENDER	00845A	1.0	150,672	1.0	151,472
DEPUTY PUBLIC DEFENDER	00843A	1.0	133,092	1.0	134,404
STAFF ATTORNEY VII	00840A	1.0	128,767	1.0	129,450
TRAINER/ASSISTANT PUBLIC DEFENDER	00839A	2.0	225,790	2.0	231,995
ASSISTANT PUBLIC DEFENDER	00837A	1.0	109,370	1.0	109,950
ASSISTANT PUBLIC DEFENDER I	00836A	5.0	526,997	5.0	539,040
DIRECTOR OF PUBLIC INFORMATION	00839A	1.0	102,565	1.0	103,109
STAFF ATTORNEY IV	00834A	1.0	95,500	1.0	94,978
ASSISTANT PUBLIC DEFENDER II	00834A	5.0	448,501	5.0	449,595
EXECUTIVE ASSISTANT	00833A	1.0	89,071	1.0	89,543
ASSISTANT PUBLIC DEFENDER III	00832A	6.0	496,428	6.0	498,582
CHIEF INVESTIGATOR (PUBLIC DEFENDER)	00828A	1.0	76,870	1.0	77,278
STAFF ATTORNEY II	00830A	14.0	1,056,789	15.0	1,136,281
CASEWORK SUPERVISOR	00826A	1.0	73,364	1.0	73,415
FISCAL MANAGEMENT/ADMINISTRATIVE OFFICER	00829A	1.0	73,027	1.0	73,415
ASSISTANT PUBLIC DEFENDER IV	00828A	12.0	764,652	11.0	717,552
INVESTIGATOR I	05423A	2.0	123,494	2.0	123,852
ADMINISTRATIVE SUPPORT SPECIALIST	05424A	1.0	61,254	1.0	61,534
DEPUTY CHIEF INVESTIGATOR	05426A	1.0	60,151	1.0	60,471
CASE MANAGEMENT COORDINATOR	05019A	5.0	282,897	5.0	286,042
ADMINISTRATIVE SUPPORT SPECIALIST	00824A	1.0	52,515	1.0	54,584
ADMINISTRATIVE ASSISTANT	05420A	2.0	101,353	2.0	101,891
INVESTIGATOR II	05421A	4.0	201,298	4.0	205,391
SOCIAL SERVICES CASEWORKER	05021A	5.0	250,688	5.0	256,858
CLERICAL	00265H	1.0	49,317	1.0	64,544
ADMINISTRATIVE SECRETARY	05417A	4.0	175,520	4.0	177,425
LEGAL SECRETARY I	05415A	4.0	168,357	4.0	170,172
CLERICAL	05413A	4.0	160,605	4.0	162,145
LEGAL SECRETARY II	05413A	5.0	177,058	5.0	181,438
<b>Subtotal</b>		<b>93.0</b>	<b>\$6,415,962</b>	<b>93.0</b>	<b>\$6,516,406</b>
Turnover		-	(39,091)	-	(72,900)
<b>Subtotal</b>		-	<b>(\$39,091)</b>	-	<b>(\$72,900)</b>
<b>Total Salaries</b>		<b>93.0</b>	<b>\$6,376,871</b>	<b>93.0</b>	<b>\$6,443,506</b>
<b>Benefits</b>					
Payroll Accrual			36,185		37,064
FICA			487,831		492,928
Retiree Health			380,699		390,648
Health Benefits			1,070,803		1,090,779
Retirement			1,628,500		1,754,458
<b>Subtotal</b>			<b>\$3,604,018</b>		<b>\$3,765,877</b>

# Personnel

## Office Of Public Defender Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		93.0	\$9,980,889	93.0	\$10,209,383
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$107,321		\$109,778
<b>Statewide Benefit Assessment</b>			\$293,336		\$306,067
<b>Payroll Costs</b>		93.0	\$10,274,225	93.0	\$10,515,450
<b>Purchased Services</b>					
Information Technology			16,920		-
Clerical and Temporary Services			169,522		185,342
Legal Services			35,500		35,500
Other Contracts			33,439		34,539
Buildings and Ground Maintenance			432		432
<b>Subtotal</b>			\$255,813		\$255,813
<b>Total Personnel</b>		93.0	\$10,530,038	93.0	\$10,771,263
<b>Distribution By Source Of Funds</b>					
General Revenue		93.0	\$10,477,288	93.0	\$10,718,513
Federal Funds		-	\$52,750	-	\$52,750
<b>Total All Funds</b>		93.0	\$10,530,038	93.0	\$10,771,263

# Performance Measures

## Office Of Public Defender

### *Investigations -- Felony Cases*

Rhode Island Public Defender investigators perform investigations in numerous types of cases. The National Legal Aid and Defender Association sets standards that include a requirement that, "Counsel has a duty to conduct an independent investigation regardless of the accused's admissions or statements to the lawyer of facts constituting guilt. The investigation should be conducted as promptly as possible." For that reason, the agency has determined that percentage of cases including an independent investigation is a reasonable proxy measure of quality. The figures below represent the investigation percentage in felony cases.

	2013	2014	2015	2016	2017
<b>Target</b>	12%	13%	14%	15%	16%
<b>Actual</b>	12.7%	12%	16.9%	--	--

Performance for this measure is reported by state fiscal year.

### *Misdemeanor Caseloads*

Attorney caseload is a surrogate indicator of the quality of indigent legal representation provided. National caseload standards call for an attorney to handle no more than 150 felonies per attorney per year and no more than 400 misdemeanors per year. The figures below represent the percentage by which the average attorney caseload exceeds the national standards for misdemeanors.

	2013	2014	2015	2016	2017
<b>Target</b>	0%	0%	0%	0%	0%
<b>Actual</b>	169%	163.5%	162.1%	--	--

Performance for this measure is reported by state fiscal year.

### *Continuing Legal Education Attendance*

The Public Defender sponsors a continuing legal education (CLE) program for its legal staff. CLE attendance is a proxy indicator of quality delivery of legal services. Although continuing education is offered through the Rhode Island Bar Association and other organizations, the coursework is not specifically related to the criminal defense function. For that reason, the agency provides CLE courses tailored to the needs of its staff. The figures below represent the average percentage of the ten-hour CLE requirement fulfilled with Public Defender-sponsored courses.

	2013	2014	2015	2016	2017
<b>Target</b>	80%	80%	80%	80%	80%
<b>Actual</b>	76.6%	74%	93.8%	--	--

Performance for this measure is reported by state fiscal year.

# **Natural Resources**



# Budget

## Natural Resources Function Expenditures

	<b>FY 2014 Actuals</b>	<b>FY 2015 Actuals</b>	<b>FY 2016 Enacted</b>	<b>FY 2016 Recommended</b>	<b>FY 2017 Recommended</b>
<b>Expenditure by Object</b>					
Personnel	54,364,973	56,487,617	60,247,256	63,232,760	63,689,540
Operating Supplies and Expenses	11,110,301	11,890,169	12,481,281	14,853,188	14,321,006
Aid to Local Units of Government	1,106	-	-	-	-
Assistance, Grants, and Benefits	5,576,942	7,491,796	7,201,861	7,368,766	6,812,931
<b>Subtotal: Operating Expenditures</b>	<b>\$71,053,322</b>	<b>\$75,869,582</b>	<b>\$79,930,398</b>	<b>\$85,454,714</b>	<b>\$84,823,477</b>
Capital Purchases and Equipment	12,874,133	17,740,632	24,993,606	24,423,986	21,889,645
Debt Service	-	-	-	-	-
Operating Transfers	-	27,700	50,000	131,077	50,000
<b>Total Expenditures</b>	<b>\$83,927,455</b>	<b>\$93,637,914</b>	<b>\$104,974,004</b>	<b>\$110,009,777</b>	<b>\$106,763,122</b>
<b>Expenditures by Funds</b>					
General Revenue	36,694,723	38,423,678	40,019,645	40,846,929	40,693,316
Federal Funds	24,359,000	28,288,073	31,921,825	35,596,953	33,827,104
Restricted Receipts	13,547,916	12,367,210	18,604,537	19,712,047	19,331,956
Other Funds	9,325,816	14,558,953	14,427,997	13,853,848	12,910,746
<b>Total Expenditures</b>	<b>\$83,927,455</b>	<b>\$93,637,914</b>	<b>\$104,974,004</b>	<b>\$110,009,777</b>	<b>\$106,763,122</b>
<b>FTE Authorization</b>	<b>428.0</b>	<b>428.0</b>	<b>428.0</b>	<b>428.0</b>	<b>430.0</b>

# Agency

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## Department Of Environmental Management

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### Agency Mission

The mission of the Department of Environmental Management is to protect, restore, manage and promote the State's natural resources while maintaining its citizens' health and safety and to motivate citizens to practice an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

### Agency Description

To ensure residents have equal access to environmental benefits and to prevent any segment of the State's population from bearing a disproportionate share of environmental risks and pollution.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic, and accessible facilities and outdoor recreation opportunities.

To ensure natural habitats are managed to maintain species biodiversity.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it, including prevent pollution and minimize waste at the source.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments.

To revitalize, protect, and restore urban areas for reuse while conserving ecologically sensitive urban areas.

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

One of the top cross-cutting priorities is improving customer service. This includes training for all staff in 2012 and development of improved systems and feedback. A critical component of this effort is the Department's LEAN government initiative which is aimed at reducing waste, standardizing processes, and producing clear, predictable, and reliable regulations.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

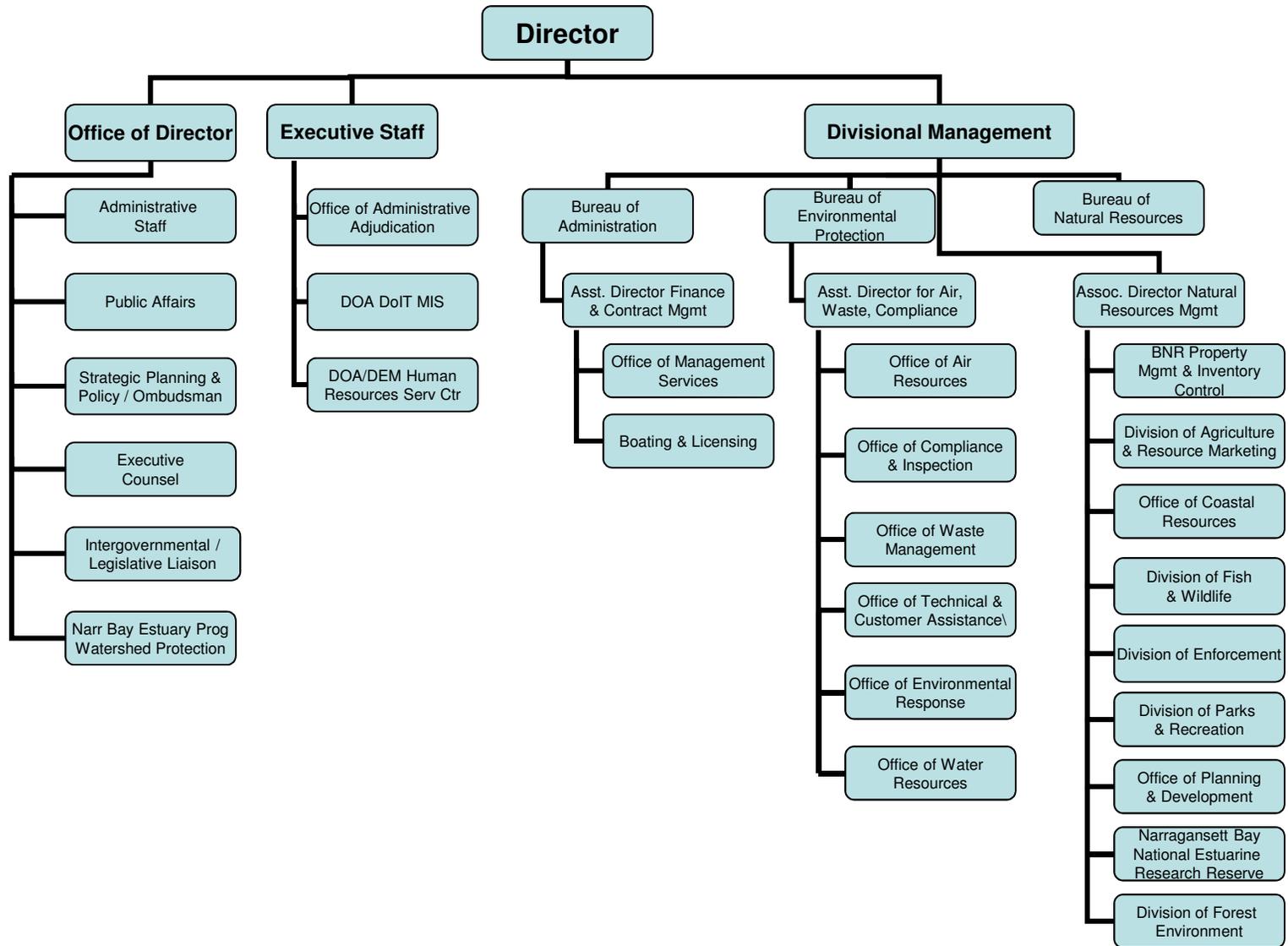
# Budget

## Department Of Environmental Management

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Office of Director	7,400,561	8,010,866	8,413,281	9,730,431	9,111,446
Bureau of Natural Resources	44,381,299	54,550,949	60,055,812	62,256,415	59,928,096
Bureau of Environmental Protection	27,687,854	26,406,919	30,835,528	30,807,521	30,812,173
<b>Total Expenditures</b>	<b>\$79,469,714</b>	<b>\$88,968,734</b>	<b>\$99,304,621</b>	<b>\$102,794,367</b>	<b>\$99,851,715</b>
<b>Expenditures By Object</b>					
Personnel	50,809,946	52,305,873	56,003,578	56,778,381	57,248,385
Operating Supplies and Expenses	11,001,191	11,701,783	12,379,692	14,685,758	14,217,611
Assistance and Grants	4,817,930	7,206,246	6,951,661	7,118,566	6,562,731
Aid to Local Units of Government	1,106	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>66,630,173</b>	<b>71,213,902</b>	<b>75,334,931</b>	<b>78,582,705</b>	<b>78,028,727</b>
Capital Purchases and Equipment	12,839,541	17,727,132	23,919,690	24,080,585	21,772,988
Operating Transfers	-	27,700	50,000	131,077	50,000
<b>Total Expenditures</b>	<b>\$79,469,714</b>	<b>\$88,968,734</b>	<b>\$99,304,621</b>	<b>\$102,794,367</b>	<b>\$99,851,715</b>
<b>Expenditures By Funds</b>					
General Revenue	34,534,163	36,110,396	37,586,385	38,425,074	38,240,878
Federal Funds	22,231,819	26,411,282	29,307,477	31,376,799	29,728,792
Restricted Receipts	13,377,916	12,186,477	18,354,537	19,462,047	19,081,956
Operating Transfers from Other Funds	9,325,816	14,260,579	14,056,222	13,530,447	12,800,089
<b>Total Expenditures</b>	<b>\$79,469,714</b>	<b>\$88,968,734</b>	<b>\$99,304,621</b>	<b>\$102,794,367</b>	<b>\$99,851,715</b>
<b>FTE Authorization</b>	<b>399.0</b>	<b>399.0</b>	<b>399.0</b>	<b>399.0</b>	<b>401.0</b>

# The Agency

## Department of Environmental Management



# Personnel

## Department Of Environmental Management Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		394.0	27,259,602	396.0	27,563,914
Unclassified		5.0	608,754	5.0	611,253
<b>Subtotal</b>		<b>399.0</b>	<b>\$27,868,356</b>	<b>401.0</b>	<b>\$28,175,167</b>
Cost Allocation from Other Programs		10.5	833,924	11.0	872,817
Cost Allocation to Other Programs		(10.5)	(\$833,924)	(11.0)	(\$872,817)
Overtime		-	754,976	-	755,626
Temporary and Seasonal		-	3,358,805	-	3,497,280
Turnover		-	(\$189,342)	-	(\$608,522)
<b>Subtotal</b>		<b>-</b>	<b>\$3,924,439</b>	<b>-</b>	<b>\$3,644,384</b>
<b>Total Salaries</b>		<b>399.0</b>	<b>\$31,792,795</b>	<b>401.0</b>	<b>\$31,819,551</b>
<b>Benefits</b>					
Payroll Accrual			171,805		169,152
Holiday			354,981		355,535
FICA			2,314,428		2,348,898
Retiree Health			1,670,236		1,691,848
Health Benefits			4,983,132		5,099,094
Retirement			6,709,536		7,265,453
<b>Subtotal</b>			<b>\$16,204,118</b>		<b>\$16,929,980</b>
<b>Total Salaries and Benefits</b>		<b>399.0</b>	<b>\$47,996,913</b>	<b>401.0</b>	<b>\$48,749,531</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$111,875</b>		<b>\$112,849</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,394,046</b>		<b>\$1,462,576</b>
<b>Payroll Costs</b>		<b>399.0</b>	<b>\$49,390,959</b>	<b>401.0</b>	<b>\$50,212,107</b>

# Personnel

## Department Of Environmental Management Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Buildings and Ground Maintenance			45,700		45,700
Training and Educational Services			23,374		73,374
Other Contracts			52,739		49,039
Information Technology			526,522		271,522
Clerical and Temporary Services			120,745		120,745
Design and Engineering Services			5,619,786		5,447,342
University and College Services			954,456		984,456
Medical Services			44,100		44,100
<b>Subtotal</b>			<b>\$7,387,422</b>		<b>\$7,036,278</b>
<b>Total Personnel</b>		<b>399.0</b>	<b>\$56,778,381</b>	<b>401.0</b>	<b>\$57,248,385</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		322.0	\$29,483,273	324.0	\$30,509,871
Federal Funds		58.0	\$16,735,443	58.0	\$16,320,320
Restricted Receipts		19.0	\$10,212,930	19.0	\$10,071,459
Operating Transfers from Other Funds		-	\$346,735	-	\$346,735
<b>Total All Funds</b>		<b>399.0</b>	<b>\$56,778,381</b>	<b>401.0</b>	<b>\$57,248,385</b>

# Performance Measures

## Department Of Environmental Management

### *Timeliness of Permitting Decisions*

Environmental permits ensure that emissions and discharges from regulated operations meet standards for a healthy and clean environment. The review and decisions on applications for environmental permits must be done in an effective and timely manner. Fifteen permitting programs in DEM have timeliness targets for issuing decisions; the figures below represent the percentage of those programs that met their timeliness targets.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	90%	95%
<b>Actual</b>	--	--	60%	--	--

Performance for this measure is reported by state fiscal year.

### *Enforcement Action Compliance*

Most instances of non-compliance with environmental rules result in the issuance of an informal enforcement action (essentially a warning letter with no fines). The figures below represent the percentage of enforcement cases that return to compliance within 120 days of the issuance of an informal action.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	85%	90%
<b>Actual</b>	--	82.3%	78.3%	--	--

Performance for this measure is reported by state fiscal year.

### *Contaminated Site Cleanup*

Contaminated properties present a risk to the health of the residents in the surrounding community. Contamination also is a barrier to economic reuse and redevelopment of property. The figures below represent the number of acres of contaminated properties/ sites cleaned up annually. [Note: Calendar Year 2015 data is currently undergoing quality review.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	100	100
<b>Actual</b>	198	373	--	--	--

Performance for this measure is reported by calendar year.

# Performance Measures

## Department Of Environmental Management

### *Farm and Natural Resource Preservation and Protection*

DEM purchases land and development rights to protect working farms, forests, drinking water supplies and fish and wildlife habitats and to provide ample recreational opportunities. These lands sustain the state's tourism industry and enhance Rhode Islanders' quality of life. The figures below represent the acres of farm and natural resource areas preserved and protected.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	89,491	90,178
<b>Actual</b>	85,091	86,985	88,143	--	--

Performance for this measure is reported by calendar year.

### *State Park Visitors*

DEM supports a robust outdoor recreation industry by operating a statewide system of parks, beaches and recreation areas that provide residents and visitors with a diverse mix of well-maintained, scenic, safe and accessible recreational facilities and opportunities. The system depends on the Department's ability to adequately service the visitor's needs as well as the ability to sustain infrastructure and operations. The figures below represent the annual number of individuals that visit Rhode Island state parks.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	6,740,000	6,780,000
<b>Actual</b>	6,609,813	6,705,649	5,956,495	--	--

Performance for this measure is reported by calendar year.

### *Commercial Fish Landings - Ports of Galilee and Newport*

The commercial fishing industry is a key sector of Rhode Island's economy. DEM works to sustain commercial fisheries in a variety of ways, including monitoring, regulation, promotion, technical assistance, and through operation of the Ports of Galilee and Newport. The figures below represent the value of commercial fish landings in the Ports of Galilee and Newport. [Note: Calendar Year 2015 data is currently undergoing quality review.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	\$93,800,000	\$98,800,000
<b>Actual</b>	\$86,419,102	\$86,211,477	--	--	--

Performance for this measure is reported by calendar year.

# The Program

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## Department Of Environmental Management Office of Director

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### **Program Mission**

The Office of the Director develops and implements the agency's wide range of programs to protect Rhode Island's environment; protect the citizens of the state from public health threats resulting from pollution; and provide facilities that support a diversity of outdoor recreational activities.

### **Program Description**

The Office of the Director consists of Management Services, Legal Services, Administrative Adjudication, Human Resources, and Information Technology. The Office also coordinates the Department's Planning & Policy Initiatives, Legislative & Intergovernmental Affairs, and Communications and Outreach and houses the Office of the Chair, RI Bays, Rivers, and Watersheds Coordination Team.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 22,339 boat registrations and all hunting and fishing licenses.

The Office of Legal Services counsels and represents the department and its divisions and provides legal guidance on the development and administration of regulatory programs. It also provides legal assistance to a number of municipalities, particularly in the area of land use relating to the preservation of open space.

The Office of Administrative Adjudication is the administrative tribunal for environmental matters originating from the Department. It adjudicates appeals of enforcement actions taken by the Department's regulatory programs and hears enforcement appeals for alleged violations of statutes and/or regulations. The Office is responsible for ensuring that the regulated community has an opportunity to contest actions taken by the Department and have such actions reviewed at the agency level.

The Human Resource Service Center staff provides direct administrative support to the Department Director, Senior Management, and the agency's workforce, which currently comprises of approximately 410 full time employees and 510 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Information Technology Service Center staff provides oversight, coordination, and development of standardize investments in software, hardware, networks and services. Staff provide the Department with effective and efficient application of information technology and delivers secure, innovative, and reliable technology solutions in the most responsive and effective manner.

The Office of the Chair of the Bays, Rivers, and Watersheds Coordination Team conducts and oversees interagency planning and targeted investments for the protection, restoration, and sustainable development of Rhode Island's fresh and marine waters and watersheds. The Chair reports to the Governor.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department Of Environmental Management Office of Director

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Administration	3,340,459	3,727,812	3,796,937	4,599,767	4,145,980
Environmental Coordination	435,748	388,963	606,703	1,012,158	652,222
Management Services	2,571,625	2,804,171	2,855,487	2,977,840	3,023,245
Legal Services	548,886	558,750	611,395	590,150	729,040
Administrative Adjudication	503,488	531,170	542,759	550,516	560,959
Permit Streamlining	355	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,400,561</b>	<b>\$8,010,866</b>	<b>\$8,413,281</b>	<b>\$9,730,431</b>	<b>\$9,111,446</b>
<b>Expenditures By Object</b>					
Personnel	4,282,677	4,592,552	4,874,563	5,239,161	5,147,260
Operating Supplies and Expenses	2,634,921	2,692,357	2,771,840	2,876,072	3,120,808
Assistance and Grants	137,094	725,957	751,628	1,322,628	828,628
<b>Subtotal: Operating Expenditures</b>	<b>7,054,692</b>	<b>8,010,866</b>	<b>8,398,031</b>	<b>9,437,861</b>	<b>9,096,696</b>
Capital Purchases and Equipment	345,869	-	15,250	292,570	14,750
<b>Total Expenditures</b>	<b>\$7,400,561</b>	<b>\$8,010,866</b>	<b>\$8,413,281</b>	<b>\$9,730,431</b>	<b>\$9,111,446</b>
<b>Expenditures By Funds</b>					
General Revenue	4,463,163	5,074,937	5,162,770	5,227,955	5,209,898
Federal Funds	355,011	-	150,000	150,000	-
Restricted Receipts	2,582,387	2,935,929	3,100,511	4,352,476	3,901,548
<b>Total Expenditures</b>	<b>\$7,400,561</b>	<b>\$8,010,866</b>	<b>\$8,413,281</b>	<b>\$9,730,431</b>	<b>\$9,111,446</b>

# Personnel

## Department Of Environmental Management Office of Director

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT ADMINISTRATOR, FINANCIAL MANAGEMENT	00141A	1.0	126,599	1.0	127,250
ASSISTANT TO THE DIRECTOR (DEM)	00137A	1.0	103,049	1.0	103,590
DEPUTY CHIEF OF LEGAL SERVICES	00136A	2.0	201,990	2.0	203,035
CHIEF PUBLIC AFFAIRS OFFICER (DOT)	00137A <sup>2</sup>	1.0	194,610	1.0	106,013
PROGRAMMING SERVICES OFFICER	00137A <sup>1</sup>	1.0	85,528	1.0	86,383
ASSISTANT CHIEF OF PLANNING	00031A	1.0	81,721	1.0	82,155
SENIOR LEGAL COUNSEL	00137A	1.0	77,409	1.0	78,190
PROGRAMMER/ANALYST I (UNIX/SQL)	00134A	3.0	227,422	4.0	320,060
FISCAL MANAGEMENT OFFICER	00328A	1.0	73,722	1.0	74,111
SENIOR MANAGEMENT AND METHODS ANALYST	00B26A	1.0	66,118	1.0	66,468
ADMINISTRATIVE OFFICER	00325A	1.0	65,350	1.0	65,697
PROGRAMMING SERVICES OFFICER	00324A	1.0	63,336	1.0	63,663
PRINCIPAL ACCOUNTANT	00131A	1.0	62,911	1.0	65,540
RESEARCH TECHNICIAN	00326A	1.0	62,219	1.0	62,549
ASSISTANT ADMINISTRATIVE OFFICER	00319A	1.0	51,826	1.0	52,101
SENIOR ACCOUNTANT	00121A	1.0	48,595	1.0	48,844
CHIEF CLERK	00323A	1.0	47,951	1.0	50,201
LICENSING AIDE	00B16A	1.0	47,135	1.0	47,386
FISCAL CLERK	00315A	3.0	139,126	3.0	139,863
	00314A	3.0	115,971	3.0	117,300
<b>Subtotal</b>		<b>28.0</b>	<b>\$1,942,588</b>	<b>28.0</b>	<b>\$1,960,399</b>
<b>Unclassified</b>					
DIRECTOR DEPARTMENT OF ENVIRONMENTAL HEARING OFFICER	00948KF	1.0	135,000	1.0	135,000
CHIEF HEARING OFFICER	00914F	1.0	134,159	1.0	134,871
EXECUTIVE COUNSEL	00711F	1.0	132,770	1.0	133,474
ADMINISTRATIVE ASSISTANT	00839A	1.0	123,365	1.0	124,005
	00829A	1.0	83,460	1.0	83,903
<b>Subtotal</b>		<b>5.0</b>	<b>\$608,754</b>	<b>5.0</b>	<b>\$611,253</b>
Cost Allocation from Other Programs		8.5	693,924	8.5	705,433
Cost Allocation to Other Programs		(2.0)	(140,000)	(2.0)	(140,000)
Temporary and Seasonal		-	60,750	-	60,750
Turnover		-	(150,927)	-	(80,020)
<b>Subtotal</b>		<b>6.5</b>	<b>\$463,747</b>	<b>6.5</b>	<b>\$546,163</b>
<b>Total Salaries</b>		<b>39.5</b>	<b>\$3,015,089</b>	<b>39.5</b>	<b>\$3,117,815</b>
<b>Benefits</b>					
Payroll Accrual			17,240		17,866
FICA			221,714		232,499
Retiree Health			176,932		184,263
Health Benefits			439,413		460,733
Retirement			713,688		791,100
<b>Subtotal</b>			<b>\$1,568,987</b>		<b>\$1,686,461</b>

# Personnel

## Department Of Environmental Management Office of Director

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		39.5	\$4,584,076	39.5	\$4,804,276
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$114,515		\$120,089
<b>Statewide Benefit Assessment</b>			\$134,185		\$144,084
<b>Payroll Costs</b>		39.5	\$4,718,261	39.5	\$4,948,360
<b>Purchased Services</b>					
Information Technology			190,000		50,000
Clerical and Temporary Services			900		900
Other Contracts			8,000		8,000
Design and Engineering Services			322,000		140,000
<b>Subtotal</b>			<b>\$520,900</b>		<b>\$198,900</b>
<b>Total Personnel</b>		<b>39.5</b>	<b>\$5,239,161</b>	<b>39.5</b>	<b>\$5,147,260</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		33.5	\$1,934,020	33.5	\$2,121,227
Federal Funds		-	\$150,000	-	-
Restricted Receipts		6.0	\$3,155,141	6.0	\$3,026,033
<b>Total All Funds</b>		<b>39.5</b>	<b>\$5,239,161</b>	<b>39.5</b>	<b>\$5,147,260</b>

1 Replace a position previously being charged to Bays, Rivers and Watershed

2 Replace a position previously being charged to Bays, Rivers and Watershed

# The Program

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## Department Of Environmental Management Bureau of Natural Resources

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### **Program Mission**

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources and to provide people with well-maintained, scenic, and accessible outdoor recreational opportunities. The Bureau's work to increase enjoyment and use of public lands is augmented by the Department's successful Great Outdoors Pursuit. This program attracts thousands of Rhode Islanders who participate in activities that introduce them to our outstanding natural resources.

### **Program Description**

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps.

The Division of Agriculture and Resource Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division administers, maintains and operates these facilities as well as coordinating recreational opportunities and activities at the Narragansett Bay Estuarine Research Reserve and all port facilities and commercial fishing piers in Narragansett and Newport.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

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## Department Of Environmental Management Bureau of Natural Resources

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Fish,Wildlife & Estuarine Res	10,838,057	12,257,248	16,923,364	18,575,504	18,592,858
Agriculture	2,670,650	2,739,359	5,011,117	5,429,055	5,469,768
Enforcement	6,091,283	5,940,291	8,971,502	8,956,625	9,764,020
Natural Resources Admin	5,989,236	8,419,256	7,883,039	7,983,749	7,634,744
Parks and Recreation	13,724,574	20,350,332	18,478,222	18,712,425	15,758,562
Forest Environment	1,748,588	1,830,079	2,251,068	2,199,057	2,270,644
Coastal Resources	3,318,911	3,014,384	537,500	400,000	437,500
<b>Total Expenditures</b>	<b>\$44,381,299</b>	<b>\$54,550,949</b>	<b>\$60,055,812</b>	<b>\$62,256,415</b>	<b>\$59,928,096</b>
<b>Expenditures By Object</b>					
Personnel	23,690,687	25,018,456	26,666,776	26,928,902	27,384,042
Operating Supplies and Expenses	6,543,439	7,097,897	7,618,713	9,531,633	8,834,363
Assistance and Grants	2,536,270	4,996,509	2,545,333	2,604,238	2,592,403
Aid to Local Units of Government	1,106	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>32,771,502</b>	<b>37,112,862</b>	<b>36,830,822</b>	<b>39,064,773</b>	<b>38,810,808</b>
Capital Purchases and Equipment	11,609,797	17,438,087	23,174,990	23,060,565	21,067,288
Operating Transfers	-	-	50,000	131,077	50,000
<b>Total Expenditures</b>	<b>\$44,381,299</b>	<b>\$54,550,949</b>	<b>\$60,055,812</b>	<b>\$62,256,415</b>	<b>\$59,928,096</b>
<b>Expenditures By Funds</b>					
General Revenue	18,960,675	19,700,087	20,671,723	21,428,537	21,024,014
Federal Funds	13,357,324	18,165,087	19,131,833	21,284,001	20,047,496
Restricted Receipts	2,946,252	2,461,343	6,360,768	6,178,164	6,221,231
Operating Transfers from Other Funds	9,117,048	14,224,432	13,891,488	13,365,713	12,635,355
<b>Total Expenditures</b>	<b>\$44,381,299</b>	<b>\$54,550,949</b>	<b>\$60,055,812</b>	<b>\$62,256,415</b>	<b>\$59,928,096</b>

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR FOR NATURAL RESOURCES	00143A	1.0	134,413	1.0	135,125
ASSISTANT DIRECTOR FOR NATURAL RESOURCES	00140A	1.0	118,282	1.0	118,404
CHIEF DIVISION OF PARKS AND RECREATION	00138A	1.0	113,588	1.0	114,660
CHIEF DIVISION OF AGRICULTURE & RESOURCE	00138A	1.0	110,248	1.0	110,320
CHIEF DIVISION OF PLANNING AND	00138A	1.0	103,152	1.0	104,349
ASSISTANT TO THE DIRECTOR (DEM)	00136A	1.0	98,300	1.0	98,811
SUPERVISING CIVIL ENGINEER (NATURAL	00035A	1.0	95,592	1.0	96,086
DEPUTY CHIEF DIVISION OF PARKS AND	00134A	1.0	94,562	1.0	96,058
DEPUTY CHIEF FOR MARINE FISHERIES	00134A	1.0	93,470	1.0	93,966
SUPERINTENDENT OF STATE PARKS (DEM)	00134A	1.0	93,470	1.0	93,966
ENVIRONMENTAL POLICE OFFICER IV (DEM)	00133A	2.0	184,360	2.0	185,304
SUPERVISING ENVIRONMENTAL SCIENTIST	00034A	3.0	273,475	3.0	273,894
DEPUTY CHIEF FOR FRESHWATER FISHERIES	00132A	1.0	90,041	1.0	90,501
PRINCIPAL CIVIL ENGINEER (DESIGN)	00333A	2.0	180,065	2.0	180,995
CHIEF OF MARINE RESOURCES MANAGEMENT	00138A	1.0	88,521	1.0	89,406
PUBLIC HEALTH VETERINARIAN	00336A	2.0	176,646	2.0	177,582
DEPUTY CHIEF DIVISION OF FOREST	00132A	1.0	87,399	1.0	87,858
DEPUTY CHIEF DIVISION OF AGRICULTURE & RES	00132A	1.0	84,183	1.0	84,623
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	84,073	1.0	84,516
SENIOR ENVIRONMENTAL SCIENTIST	00330A	1.0	82,804	1.0	84,658
SUPERVISING GEOGRAPHIC INFORMATION	00032A	1.0	82,562	1.0	82,983
FEDERAL AID PROGRAM COORDINATOR (DEM)	00132A	1.0	82,019	1.0	82,440
DEPUTY CHIEF FOR WILDLIFE	00132A	1.0	81,863	1.0	82,284
ENVIRONMENTAL POLICE OFFICER III (DEM)	00330A	3.0	243,467	3.0	244,710
CHIEF DIVISION OF ENFORCEMENT (DEM)	00138A	1.0	80,854	1.0	81,663
PRINCIPAL ENVIRONMENTAL SCIENTIST	00032A	1.0	80,217	1.0	82,493
CHIEF DISTRIBUTION OFFICER	00331A	1.0	80,013	1.0	80,431
SUPERVISING FORESTER	00029A	2.0	155,027	2.0	155,838
PRINCIPAL PLANNER	00329A	1.0	76,742	1.0	77,138
ENVIRONMENTAL CRIMINAL INVESTIGATOR II	00329A	1.0	76,502	1.0	76,890
SUPERVISING BIOLOGIST (FRESHWATER	00030A	1.0	76,330	1.0	77,278
RESEARCH VESSEL CAPTAIN (DEM)	00329A	1.0	76,238	1.0	76,642
ENVIRONMENTAL CRIMINAL INVESTIGATOR III	00132A	1.0	75,695	1.0	76,097
ENVIRONMENTAL POLICE OFFICER II (DEM)	00328A	3.0	222,470	3.0	223,613
ADMINISTRATIVE COURT OFFICER (DEM)	00328A	2.0	147,437	2.0	148,200
STATE PORTS MANAGER	00132A	1.0	72,091	1.0	72,473
CHIEF OF CONSTRUCTION OPERATIONS	00327A	1.0	70,996	1.0	71,363
PRINCIPAL BIOLOGIST (WILDLIFE)	00327A	4.0	283,963	4.0	285,454
REGIONAL PARK MANAGER (DIVISION OF PARKS &	00329A	5.0	353,455	5.0	355,006
ENGINEERING TECHNICIAN IV (NATURAL	00327A	1.0	70,620	1.0	70,995
DEPUTY CHIEF DIVISION OF PLANNING AND	00134A	1.0	70,204	1.0	70,906
FISCAL MANAGEMENT OFFICER	00B26A	2.0	138,891	2.0	140,502
PRINCIPAL BIOLOGIST (FRESH WATER FISHERIES)	00327A	3.0	207,291	3.0	208,377
STATE HUNTER SAFETY EDUCATION	00326A	1.0	68,449	1.0	68,809
PRINCIPAL BIOLOGIST (MARINE FISHERIES)	00327A	9.0	580,865	9.0	583,940

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
ASSISTANT REGIONAL PARK MANAGER (DIV. OF	00325A	8.0	515,704	8.0	517,664
SENIOR ENVIRONMENTAL PLANNER	00327A	3.0	192,627	3.0	193,887
ENVIRONMENTAL POLICE OFFICER I (DEM)	00326A	20.0	1,238,746	20.0	1,239,834
SENIOR BIOLOGIST (WILDLIFE)	00325A	1.0	61,925	1.0	63,123
AGRICULTURAL MARKETING SPECIALIST (DEM)	00324A	1.0	61,702	1.0	62,022
SUPERVISING BIOLOGIST (WILDLIFE)	00030A	1.0	61,671	1.0	63,192
ENVIRONMENTAL SCIENTIST	00326A	1.0	59,391	1.0	59,706
SENIOR PLANT PATHOLOGIST	00323A	3.0	176,421	3.0	177,349
SUPERVISING BIOLOGIST (MARINE FISHERIES)	00030A	1.0	58,256	1.0	58,839
VETERINARY PARAMEDIC	00322A	1.0	57,857	1.0	58,161
CHIEF IMPLEMENTATION AIDE	00028A	1.0	55,734	1.0	56,291
SUPERINTENDENT OF STATE PIERS	00324A	1.0	54,321	1.0	54,609
DISTRICT RESOURCES MANAGER	00021A	1.0	54,026	1.0	54,312
PRINCIPAL FORESTER	00326A	2.0	107,335	2.0	108,189
PARK RANGER PROGRAM COORDINATOR	00320A	1.0	53,469	1.0	54,753
SUPERINTENDENT OF PROPERTY CONTROL AND	00323A	1.0	52,982	1.0	53,537
DISTRICT RESOURCES MANAGER	00321A	1.0	52,596	1.0	52,774
RESEARCH VESSEL FIRST MATE (ASSOCIATE	00324A	1.0	51,466	1.0	52,820
SENIOR COMPUTER OPERATOR	00318A	1.0	51,214	1.0	51,470
ACCOUNTANT	00320A	2.0	102,250	2.0	103,787
TECHNICAL STAFF ASSISTANT (DEM)	00320A	1.0	50,891	1.0	51,157
ADMINISTRATIVE OFFICER	00124A	1.0	50,366	1.0	51,634
CLERK SECRETARY	00B16A	1.0	50,349	1.0	50,617
PARK MANAGER (DIVISION OF PARKS AND	00320A	9.0	447,459	9.0	448,895
ELECTRICIAN SUPERVISOR	00320G	1.0	49,510	1.0	49,773
CARPENTER	00314G	1.0	48,988	2.0	92,999
MARINE MAINTENANCE SUPERVISOR	00317G	1.0	48,624	1.0	48,882
GOLF COURSE MAINTENANCE SUPERVISOR (DEM)	00320A	1.0	45,506	1.0	46,747
ASSISTANT ADMINISTRATIVE OFFICER	00321A	1.0	45,260	1.0	45,772
SENIOR FOREST RANGER	00321A	1.0	44,993	1.0	45,232
HEAVY MOTOR EQUIPMENT MECHANIC/OPERATOR	00318A	2.0	89,757	2.0	90,234
ASSISTANT DISTRICT RESOURCES MANAGER	00316A	2.0	88,893	2.0	89,364
IMPLEMENTATION AIDE	00122A	1.0	44,235	1.0	44,470
SENIOR FOREST RANGER	00318A	3.0	132,442	3.0	133,415
PROPERTY CONTROL AND SUPPLY OFFICER	00317A	1.0	43,565	1.0	43,796
SENIOR WORD PROCESSING TYPIST	00312A	2.0	86,682	2.0	87,138
SENIOR RECONCILIATION CLERK	00314A	1.0	42,953	1.0	43,178
IMPLEMENTATION AIDE	000001	1.0	42,380	1.0	42,592
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	42,279	1.0	42,702
COMMUNICATION SYSTEM OPERATOR (DEM)	00316A	6.0	250,660	6.0	252,603
SENIOR MAINTENANCE TECHNICIAN	00314G	9.0	373,490	8.0	336,774
HEAVY MOTOR EQUIPMENT OPERATOR	00314G	3.0	122,053	3.0	122,702
CLERK	00307A	1.0	40,298	1.0	40,612
FISH HATCHERY SUPERVISOR	00313G	3.0	120,748	3.0	121,047
LABORER	00308G	1.0	40,221	1.0	41,434
SEMI-SKILLED LABORER	00310G	3.0	115,159	3.0	116,771

# Personnel

## Department Of Environmental Management Bureau of Natural Resources

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
PIER SUPERVISOR (MAINTENANCE)	00313G	1.0	35,755	1.0	36,113
FORESTER	00620A	1.0	33,642	1.0	33,978
<b>Subtotal</b>		<b>186.0</b>	<b>\$11,687,756</b>	<b>186.0</b>	<b>\$11,764,557</b>
Cost Allocation to Other Programs		(2.0)	(175,000)	(2.5)	(202,384)
Overtime		-	702,976	-	703,626
Temporary and Seasonal		-	3,240,810	-	3,387,810
<b>Subtotal</b>		<b>(2.0)</b>	<b>\$3,768,786</b>	<b>(2.5)</b>	<b>\$3,889,052</b>
<b>Total Salaries</b>		<b>184.0</b>	<b>\$15,456,542</b>	<b>183.5</b>	<b>\$15,653,609</b>
<b>Benefits</b>					
Payroll Accrual			71,476		70,532
Holiday			350,981		351,535
FICA			1,083,303		1,096,901
Retiree Health			703,917		710,030
Health Benefits			2,415,801		2,418,096
Retirement			2,787,831		3,004,974
<b>Subtotal</b>			<b>\$7,413,309</b>		<b>\$7,652,068</b>
<b>Total Salaries and Benefits</b>		<b>184.0</b>	<b>\$22,869,851</b>	<b>183.5</b>	<b>\$23,305,677</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$106,680</b>		<b>\$108,544</b>
<b>Statewide Benefit Assessment</b>			<b>\$652,133</b>		<b>\$685,147</b>
<b>Payroll Costs</b>		<b>184.0</b>	<b>\$23,521,984</b>	<b>183.5</b>	<b>\$23,990,824</b>
<b>Purchased Services</b>					
Information Technology			31,762		31,762
University and College Services			884,456		884,456
Clerical and Temporary Services			112,795		112,795
Other Contracts			42,389		38,689
Buildings and Ground Maintenance			45,700		45,700
Training and Educational Services			19,374		69,374
Design and Engineering Services			2,226,342		2,166,342
Medical Services			44,100		44,100
<b>Subtotal</b>			<b>\$3,406,918</b>		<b>\$3,393,218</b>
<b>Total Personnel</b>		<b>184.0</b>	<b>\$26,928,902</b>	<b>183.5</b>	<b>\$27,384,042</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		177.0	\$15,944,608	176.5	\$16,539,817
Federal Funds		7.0	\$9,084,013	7.0	\$9,005,877
Restricted Receipts		-	\$1,712,712	-	\$1,650,779
Operating Transfers from Other Funds		-	\$187,569	-	\$187,569
<b>Total All Funds</b>		<b>184.0</b>	<b>\$26,928,902</b>	<b>183.5</b>	<b>\$27,384,042</b>

# The Program

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## Department Of Environmental Management Bureau of Environmental Protection

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### **Program Mission**

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

### **Program Description**

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater Protection; Water Quality Certification; Onsite Wastewater Treatment Systems; Freshwater Wetlands; Water Quality Restoration Studies (TMDLS); Shellfish Area Monitoring; Wastewater Treatment Facility and Sludge Programs, Nonpoint Source; Water Quality Monitoring and Standards; Financial Assistance (SRF/Bond Funds).

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Customer and Technical Assistance serves the public by coordinating the review of projects requiring multiple applications and permits and tracking the status of permitting activities throughout the bureau. OCTA directly reviews projects funded by federal economic stimulus programs. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program, including administration of the RI Underground Storage Tank Financial Responsibility Fund.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department Of Environmental Management Bureau of Environmental Protection

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Water Resources	7,911,149	8,164,944	9,330,806	9,321,573	9,302,779
Environmental Protection Admin	360,187	356,071	460,579	417,068	450,458
Compliance & Inspection	4,610,319	4,452,955	5,100,966	5,105,612	5,236,814
Technical & Customer Assist	1,310,420	1,403,530	1,414,517	1,389,939	1,418,051
RIPDES	1,203,956	1,237,370	1,325,472	1,345,548	1,377,875
Air Resources	4,340,656	3,774,528	4,458,624	4,177,966	4,228,951
Waste Management	4,160,873	4,040,012	4,363,140	4,628,119	4,338,951
Environmental Response	3,790,294	2,977,509	4,381,424	4,421,696	4,458,294
<b>Total Expenditures</b>	<b>\$27,687,854</b>	<b>\$26,406,919</b>	<b>\$30,835,528</b>	<b>\$30,807,521</b>	<b>\$30,812,173</b>
<b>Expenditures By Object</b>					
Personnel	22,836,582	22,694,865	24,462,239	24,610,318	24,717,083
Operating Supplies and Expenses	1,822,831	1,911,529	1,989,139	2,278,053	2,262,440
Assistance and Grants	2,144,566	1,483,780	3,654,700	3,191,700	3,141,700
<b>Subtotal: Operating Expenditures</b>	<b>26,803,979</b>	<b>26,090,174</b>	<b>30,106,078</b>	<b>30,080,071</b>	<b>30,121,223</b>
Capital Purchases and Equipment	883,875	289,045	729,450	727,450	690,950
Operating Transfers	-	27,700	-	-	-
<b>Total Expenditures</b>	<b>\$27,687,854</b>	<b>\$26,406,919</b>	<b>\$30,835,528</b>	<b>\$30,807,521</b>	<b>\$30,812,173</b>
<b>Expenditures By Funds</b>					
General Revenue	11,110,325	11,335,372	11,751,892	11,768,582	12,006,966
Federal Funds	8,519,484	8,246,195	10,025,644	9,942,798	9,681,296
Restricted Receipts	7,849,277	6,789,205	8,893,258	8,931,407	8,959,177
Operating Transfers from Other Funds	208,768	36,147	164,734	164,734	164,734
<b>Total Expenditures</b>	<b>\$27,687,854</b>	<b>\$26,406,919</b>	<b>\$30,835,528</b>	<b>\$30,807,521</b>	<b>\$30,812,173</b>

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSOCIATE DIRECTOR FOR ENVIRONMENTAL	00143A	1.0	132,253	1.0	132,950
ASSISTANT DIRECTOR OF WATER RESOURCES	00140A	1.0	117,823	1.0	118,445
CHIEF OF COMPLIANCE & INSPECTION (DEM)	00138A	1.0	110,243	1.0	110,814
CHIEF OF TECHNICAL & CUSTOMER ASSISTANCE	00138A	1.0	109,515	1.0	110,074
CHIEF DIVISION OF AIR RESOURCES (DEM)	00138A	1.0	109,016	1.0	109,588
CHIEF OF SURFACE WATER PROTECTION (DEM)	00138A	1.0	108,247	1.0	108,807
CHIEF OF GROUNDWATER AND WETLAND	00138A	1.0	108,127	1.0	108,687
CHIEF OF WASTE MANAGEMENT (DEM)	00138A	1.0	105,083	1.0	105,631
ENVIRONMENTAL RESPONSE COORDINATOR	00138A	1.0	104,904	1.0	105,429
DEPUTY CHIEF OF WATER QUALITY AND	00136A	2.0	202,584	2.0	203,640
DEPUTY CHIEF OF WASTE MANAGEMENT (DEM)	00136A	1.0	98,300	1.0	98,811
SUPERVISING ENVIRONMENTAL SCIENTIST	00034A	7.0	662,921	7.0	666,372
SUPERVISING SANITARY ENGINEER (DEM)	00035A	4.0	374,885	4.0	377,645
ASSOCIATE SUPERVISING SANITARY ENGR	00034A	3.0	280,069	3.0	281,529
SUPERVISING AIR QUALITY SPECIALIST	00034A	4.0	369,257	4.0	371,194
PRINCIPAL ENVIRONMENTAL SCIENTIST	00032A	2.0	177,763	2.0	177,674
PRINCIPAL SANITARY ENGINEER	00333A	11.0	942,671	11.0	947,215
PRINCIPAL AIR QUALITY SPECIALIST	00332A	3.0	256,233	3.0	257,572
PRINCIPAL ENVIRONMENTAL SCIENTIST	00332A	8.0	682,266	8.0	685,809
PRINCIPAL CIVIL ENGINEER (NATURAL	00333A	4.0	339,903	4.0	341,675
STATE METEOROLOGIST/ATMOSPHERIC SCIENTIST	00332A	1.0	83,554	1.0	83,987
PROGRAMMING SERVICES OFFICER	00031A	1.0	79,982	1.0	80,407
SENIOR SANITARY ENGINEER	00331A	12.0	933,001	12.0	937,844
PROGRAMMING SERVICES OFFICER	00131A	1.0	76,320	1.0	76,725
SUPERVISING ENVIRONMENTAL PLANNER	00031A	2.0	151,516	2.0	152,310
SENIOR ENVIRONMENTAL SCIENTIST	00330A	35.0	2,633,730	35.0	2,648,574
OIL AND HAZARDOUS MATERIALS SPECIALIST 2	00329A	4.0	297,378	4.0	298,921
SENIOR AIR QUALITY SPECIALIST	00330A	10.0	741,677	10.0	745,479
CHIEF IMPLEMENTATION AIDE	00028A	1.0	73,190	1.0	73,575
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	70,204	1.0	70,906
SENIOR ENVIRONMENTAL PLANNER	00327A	2.0	137,259	2.0	137,962
PRINCIPAL INFORMATION AND PUBLIC RELATIONS	00326A	1.0	68,218	1.0	68,579
OFFICE MANAGER	00K23A	1.0	66,192	1.0	66,533
ENVIRONMENTAL SCIENTIST	00326A	3	521,398	9.0	573,479
CIVIL ENGINEER	00327A	1.0	64,735	1.0	65,079
ADMINISTRATIVE OFFICER	00324A	1.0	62,548	1.0	62,869
JUNIOR SANITARY ENGINEER	00326A	2.0	124,054	2.0	124,699
OFFICE MANAGER	00123A	1.0	61,481	1.0	61,804
SANITARY ENGINEER	00327A	13.0	794,754	13.0	806,752
AIR QUALITY SPECIALIST	00326A	5.0	279,496	5.0	288,114
SUPERVISING ENVIRONMENTAL QUALITY	00323A	1.0	55,791	1.0	56,087
ENGINEERING TECHNICIAN III (NATURAL	00323A	2.0	105,933	2.0	106,707
ENVIRONMENTAL QUALITY TECHNICIAN	00319A	1.0	52,929	1.0	53,210
OIL AND HAZARDOUS MATERIALS SPECIALIST 1	00327A	1.0	51,785	1.0	52,303
TECHNICAL STAFF ASSISTANT (DEM)	00320A	4.0	206,989	4.0	208,082

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
CLERK SECRETARY	00K16A	1.0	49,278	1.0	49,540
ELECTRONIC COMPUTER OPERATOR	00315A	1.0	46,375	1.0	46,621
SENIOR NATURAL RESOURCE SPECIALIST	00323A	2.0	92,454	2.0	95,779
DATA CONTROL CLERK	00315A	3.0	135,385	3.0	136,087
INFORMATION SERVICES TECHNICIAN I	00316A	1.0	42,066	1.0	42,651
SENIOR CLERK-TYPIST	00309A	1.0	40,753	1.0	40,970
INFORMATION AIDE	00315A	1.0	36,770	1.0	37,686
SENIOR LEGAL COUNSEL	00134A <sup>4</sup>	-	-	1.0	69,076
<b>Subtotal</b>		<b>180.0</b>	<b>\$13,629,258</b>	<b>182.0</b>	<b>\$13,838,958</b>
Cost Allocation from Other Programs		2.0	140,000	2.5	167,384
Cost Allocation to Other Programs		(6.5)	(518,924)	(6.5)	(530,433)
Overtime		-	52,000	-	52,000
Temporary and Seasonal		-	57,245	-	48,720
Turnover		-	(38,415)	-	(528,502)
<b>Subtotal</b>		<b>(4.5)</b>	<b>(\$308,094)</b>	<b>(4.0)</b>	<b>(\$790,831)</b>
<b>Total Salaries</b>		<b>175.5</b>	<b>\$13,321,164</b>	<b>178.0</b>	<b>\$13,048,127</b>
<b>Benefits</b>					
Payroll Accrual			83,089		80,754
Holiday			4,000		4,000
FICA			1,009,411		1,019,498
Retiree Health			789,387		797,555
Health Benefits			2,127,918		2,220,265
Retirement			3,208,017		3,469,379
<b>Subtotal</b>			<b>\$7,221,822</b>		<b>\$7,591,451</b>
<b>Total Salaries and Benefits</b>		<b>175.5</b>	<b>\$20,542,986</b>	<b>178.0</b>	<b>\$20,639,578</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$116,728</b>		<b>\$115,679</b>
<b>Statewide Benefit Assessment</b>			<b>\$607,728</b>		<b>\$633,345</b>
<b>Payroll Costs</b>		<b>175.5</b>	<b>\$21,150,714</b>	<b>178.0</b>	<b>\$21,272,923</b>

# Personnel

## Department Of Environmental Management Bureau of Environmental Protection

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Purchased Services</b>					
Information Technology			304,760		189,760
University and College Services			70,000		100,000
Clerical and Temporary Services			7,050		7,050
Other Contracts			2,350		2,350
Training and Educational Services			4,000		4,000
Design and Engineering Services			3,071,444		3,141,000
<b>Subtotal</b>			<b>\$3,459,604</b>		<b>\$3,444,160</b>
<b>Total Personnel</b>		<b>175.5</b>	<b>\$24,610,318</b>	<b>178.0</b>	<b>\$24,717,083</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		111.5	\$11,604,645	114.0	\$11,848,827
Federal Funds		51.0	\$7,501,430	51.0	\$7,314,443
Restricted Receipts		13.0	\$5,345,077	13.0	\$5,394,647
Operating Transfers from Other Funds		-	\$159,166	-	\$159,166
<b>Total All Funds</b>		<b>175.5</b>	<b>\$24,610,318</b>	<b>178.0</b>	<b>\$24,717,083</b>

3 New Enforcement staff position

4 New enforcement staff position

# Agency

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## Coastal Resources Management Council

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### Agency Mission

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

### Agency Description

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan and an Ocean Special Area Management plan. The CRMC is also the lead agency on siting of off-shore windfarms, the first of which in the United States is under construction off the coast of New Shoreham.

### Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

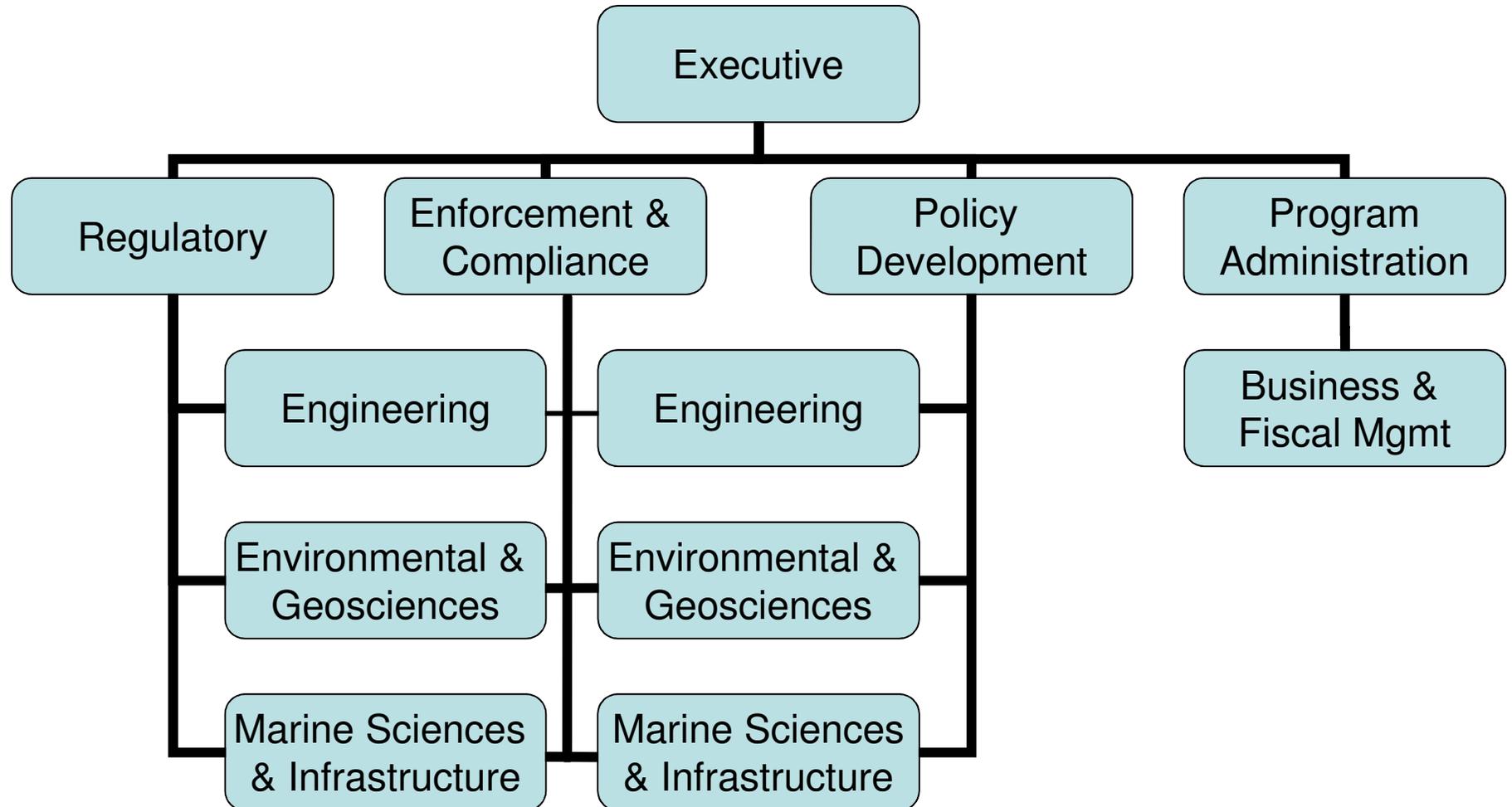
# Budget

## Coastal Resources Management Council

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	4,457,741	4,669,180	5,669,383	7,215,410	7,211,407
<b>Total Expenditures</b>	<b>\$4,457,741</b>	<b>\$4,669,180</b>	<b>\$5,669,383</b>	<b>\$7,215,410</b>	<b>\$7,211,407</b>
<b>Expenditures By Object</b>					
Personnel	3,555,027	4,181,744	4,243,678	6,454,379	6,441,155
Operating Supplies and Expenses	109,110	188,386	101,589	167,430	103,395
Assistance and Grants	759,012	285,550	250,200	250,200	250,200
<b>Subtotal: Operating Expenditures</b>	<b>4,423,149</b>	<b>4,655,680</b>	<b>4,595,467</b>	<b>6,872,009</b>	<b>6,794,750</b>
Capital Purchases and Equipment	34,592	13,500	1,073,916	343,401	416,657
<b>Total Expenditures</b>	<b>\$4,457,741</b>	<b>\$4,669,180</b>	<b>\$5,669,383</b>	<b>\$7,215,410</b>	<b>\$7,211,407</b>
<b>Expenditures By Funds</b>					
General Revenue	2,160,560	2,313,282	2,433,260	2,421,855	2,452,438
Federal Funds	2,127,181	1,876,791	2,614,348	4,220,154	4,098,312
Restricted Receipts	170,000	180,733	250,000	250,000	250,000
Operating Transfers from Other Funds	-	298,374	371,775	323,401	410,657
<b>Total Expenditures</b>	<b>\$4,457,741</b>	<b>\$4,669,180</b>	<b>\$5,669,383</b>	<b>\$7,215,410</b>	<b>\$7,211,407</b>
<b>FTE Authorization</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>	<b>29.0</b>

# The Agency

## Coastal Resource Management Council



# Personnel

## Coastal Resources Management Council Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR, COASTAL RESOURCES MGMT	00140A	1.0	118,959	1.0	119,568
SUPERVISING CIVIL ENGINEER (WATER	00335A	1.0	95,101	1.0	95,596
SUPERVISING ENVIRONMENTAL SCIENTIST	00334A	1.0	93,446	1.0	93,923
PRINCIPAL ENVIRONMENTAL SCIENTIST	00332A	1.0	84,554	1.0	84,988
CHIEF RESOURCE SPECIALIST	00131A	1.0	83,552	1.0	83,985
SENIOR ENVIRONMENTAL SCIENTIST	00330A	5.0	382,834	5.0	384,801
COASTAL POLICY ANALYST	00132A	2.0	147,786	2.0	148,570
ENGINEERING TECHNICIAN IV (NATURAL	00327A	1.0	71,496	1.0	71,871
SENIOR CIVIL ENGINEER (COASTAL RESOURCES)	00331A	1.0	69,885	1.0	71,544
COASTAL GEOLOGIST	00326A	1.0	69,150	1.0	69,502
MARINE RESOURCES SPECIALIST	00327A	1.0	67,298	1.0	67,642
FISCAL MANAGEMENT OFFICER	00B26A	1.0	66,118	1.0	66,468
ADMINISTRATIVE OFFICER	00324A	1.0	63,643	1.0	63,977
OFFICE MANAGER	00323A	1.0	63,089	1.0	63,412
TECHNICAL SUPPORT SPECIALIST I (DOS/MVS)	00328A	1.0	58,593	1.0	60,899
APPLICATIONS COORDINATOR	00320A	1.0	54,607	1.0	54,896
DATA CONTROL CLERK	00315A	1.0	46,375	1.0	46,621
SENIOR WORD PROCESSING TYPIST	00312A	1.0	40,576	1.0	40,792
DATA ENTRY OPERATOR	00310A	1.0	35,300	1.0	35,487
ENVIRONMENTAL SCIENTIST	00026A	-	-	-	-
PRINCIPAL CIVIL ENGINEER (NATURAL	00333A	-	-	-	-
<b>Subtotal</b>		<b>24.0</b>	<b>\$1,712,362</b>	<b>24.0</b>	<b>\$1,724,542</b>
<b>Unclassified</b>					
DIRECTOR	00845A	1.0	161,892	1.0	162,727
MARINE INFRASTRUCTURE COORDINATOR	00834A	1.0	88,186	1.0	88,654
AQUACULTURE COORDINATOR	00829A	1.0	76,505	1.0	76,911
PUBLIC EDUCATION AND INFORMATION	00824A	1.0	59,828	1.0	60,145
COASTAL POLICY ANALYST 2	00130A	-	-	-	-
SUPERVISING ENVIRONMENTAL SCIENTIST	00132A	-	-	-	-
<b>Subtotal</b>		<b>4.0</b>	<b>\$386,411</b>	<b>4.0</b>	<b>\$388,437</b>
<b>Nonclassified</b>					
OCEAN ENGINEER	011	1.0	73,183	1.0	75,771
<b>Subtotal</b>		<b>1.0</b>	<b>\$73,183</b>	<b>1.0</b>	<b>\$75,771</b>

# Personnel

## Coastal Resources Management Council Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Overtime		-	10,000	-	10,000
Turnover		-	(73,183)	-	(100,572)
<b>Subtotal</b>		-	<b>(\$63,183)</b>	-	<b>(\$90,572)</b>
<b>Total Salaries</b>		<b>29.0</b>	<b>\$2,108,773</b>	<b>29.0</b>	<b>\$2,098,178</b>
<b>Benefits</b>					
Payroll Accrual			11,462		9,640
FICA			160,557		159,782
Retiree Health			125,696		124,691
Health Benefits			475,750		443,482
Retirement			440,012		540,174
<b>Subtotal</b>			<b>\$1,213,477</b>		<b>\$1,277,769</b>
<b>Total Salaries and Benefits</b>		<b>29.0</b>	<b>\$3,322,250</b>	<b>29.0</b>	<b>\$3,375,947</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$114,560</b>		<b>\$116,412</b>
<b>Statewide Benefit Assessment</b>			<b>\$96,544</b>		<b>\$99,209</b>
<b>Payroll Costs</b>		<b>29.0</b>	<b>\$3,418,794</b>	<b>29.0</b>	<b>\$3,475,156</b>
<b>Purchased Services</b>					
Information Technology			35,500		21,000
University and College Services			89,950		65,940
Clerical and Temporary Services			14,000		14,000
Legal Services			158,000		158,000
Other Contracts			2,232,385		20,760
Training and Educational Services			1,000		1,000
Design and Engineering Services			504,750		2,685,299
<b>Subtotal</b>			<b>\$3,035,585</b>		<b>\$2,965,999</b>
<b>Total Personnel</b>		<b>29.0</b>	<b>\$6,454,379</b>	<b>29.0</b>	<b>\$6,441,155</b>
<b>Distribution By Source Of Funds</b>					
General Revenue		19.0	\$2,416,500	19.0	\$2,447,083
Federal Funds		10.0	\$4,037,879	10.0	\$3,994,072
<b>Total All Funds</b>		<b>29.0</b>	<b>\$6,454,379</b>	<b>29.0</b>	<b>\$6,441,155</b>

# Performance Measures

## Coastal Resources Management Council

### *Coastal Habitat Restoration*

The Coastal Resources Management Council (CRMC) works to protect and restore coastal ecosystems of the state. This goal is accomplished through the Coastal and Estuary Habitat Restoration Program and Trust Fund. With a maximum annual funding allocation of \$225,000, the Trust Fund supports projects that primarily propose on-the-ground restoration work. To maximize impact in restoring ecosystems, CRMC strives to fund projects that demonstrate other sources of funding (leveraging), thereby increasing the number of sites and/or acres being restored in the state. The figures below represent the number of acres of coastal habitat restored.

	2013	2014	2015	2016	2017
<b>Target</b>	10	10	10	10	10
<b>Actual</b>	102	120	130	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Administrative Application Processing*

CRMC works to efficiently process applications for activities within its jurisdiction. The majority of these applications are categorized as administrative. In an effort to gauge its responsiveness to the public, CRMC tracks the average turnaround time from CRMC's receipt of an application to assent issuance. CRMC's target for administrative activity application review is two weeks to two months (14-60 days), depending on the type of application. The figures below represent the average processing time for administrative applications.

	2013	2014	2015	2016	2017
<b>Target</b>	60 Days				
<b>Actual</b>	8 Days	16 Days	14 Days	--	--

Performance for this measure is reported by state fiscal year.

### *Timeliness of Formal Application Processing*

Formal applications are those subject to judicial or open meeting legal processes (e.g., formal comment periods, hearing schedules, contested cases, etc.) that impact CRMC's ability to control the review period for this subset of applications. CRMC's standard for formal activity application review times is ninety days to six months (90-180 days), and includes those activities for which a 30-day public comment period is required. The figures below represent the average processing time for formal applications.

	2013	2014	2015	2016	2017
<b>Target</b>	180 Days				
<b>Actual</b>	134 Days	140 Days	74 Days	--	--

Performance for this measure is reported by state fiscal year.

# **Transportation**



# Budget

## Transportation Function Expenditures

	FY 2014 Actuals	FY 2015 Actuals	FY 2016 Enacted	FY 2016 Recommended	FY 2017 Recommended
<b>Expenditure by Object</b>					
Personnel	113,373,605	105,200,498	105,784,797	115,217,941	119,348,964
Operating Supplies and Expenses	30,542,470	39,250,124	42,918,764	34,181,887	34,381,436
Aid to Local Units of Government	-	-	-	-	-
Assistance, Grants, and Benefits	15,956,087	9,397,574	33,949,795	37,241,084	24,396,400
<b>Subtotal: Operating Expenditures</b>	<b>\$159,872,162</b>	<b>\$153,848,196</b>	<b>\$182,653,356</b>	<b>\$186,640,912</b>	<b>\$178,126,800</b>
Capital Purchases and Equipment	129,847,221	122,578,529	155,974,801	210,051,288	215,394,670
Debt Service	-	-	-	-	-
Operating Transfers	111,759,632	139,213,745	125,188,321	86,166,709	85,936,375
<b>Total Expenditures</b>	<b>\$401,479,015</b>	<b>\$415,640,470</b>	<b>\$463,816,478</b>	<b>\$482,858,909</b>	<b>\$479,457,845</b>
<b>Expenditures by Funds</b>					
General Revenue	-	-	-	-	-
Federal Funds	284,457,818	267,656,266	263,615,422	274,256,147	272,409,980
Restricted Receipts	7,202,773	2,411,276	1,000,000	159,506	180,219
Other Funds	109,818,424	145,572,928	199,201,056	208,443,256	206,867,646
<b>Total Expenditures</b>	<b>\$401,479,015</b>	<b>\$415,640,470</b>	<b>\$463,816,478</b>	<b>\$482,858,909</b>	<b>\$479,457,845</b>
<b>FTE Authorization</b>	<b>752.6</b>	<b>752.6</b>	<b>752.6</b>	<b>752.0</b>	<b>741.0</b>

# Agency

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## Department Of Transportation

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### Agency Mission

To provide, maintain and secure an Intermodal Transportation Network that increases the mobility opportunities for the movement of people and goods with the goals of enabling economic development and quality of life.

### Agency Description

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for providing and maintaining a safe, efficient and environmentally sensitive surface transportation (highway, mass transit, and rail) infrastructure that serves the needs of Rhode Island residents and visitors. The Department must ensure that the State's transportation system provides safe and efficient mobility at all times, day or night, in all traffic or weather conditions. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The Department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 32 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 1,300 lane miles of highway and 834 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. In addition to the traditional highway construction/maintenance activities, the Department has considerable responsibility for intermodal transportation. This includes, planning, developing and implementing projects in the areas of transit, rail, water and bicycle/pedestrian transportation. The Department has additional responsibilities for air quality planning for air quality planning and coordination for all transportation projects, including the congestion Management and Air Quality (CMAQ) and Transportation Enhancement programs.

Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues, DMV fees and Rhode Island Capital Plan funds. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991. The Department oversees the Rideshare and Fringe Parking programs and coordinates with RIPTA on capital development and operations. The Department has shifted the administration of the Federal Transit Administration grant to RIPTA. The Department remains responsible for the administration of the Rail modernization funding.

### Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L. 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration. R.I.G.L. 39-18.1-4 establishes the Rhode Island Highway Maintenance Trust Fund. Article 21 of the FY 2015 Appropriations Act (House Bill H 7133 Substitute A As Amended) establishes the Rhode Island Highway Maintenance Account.

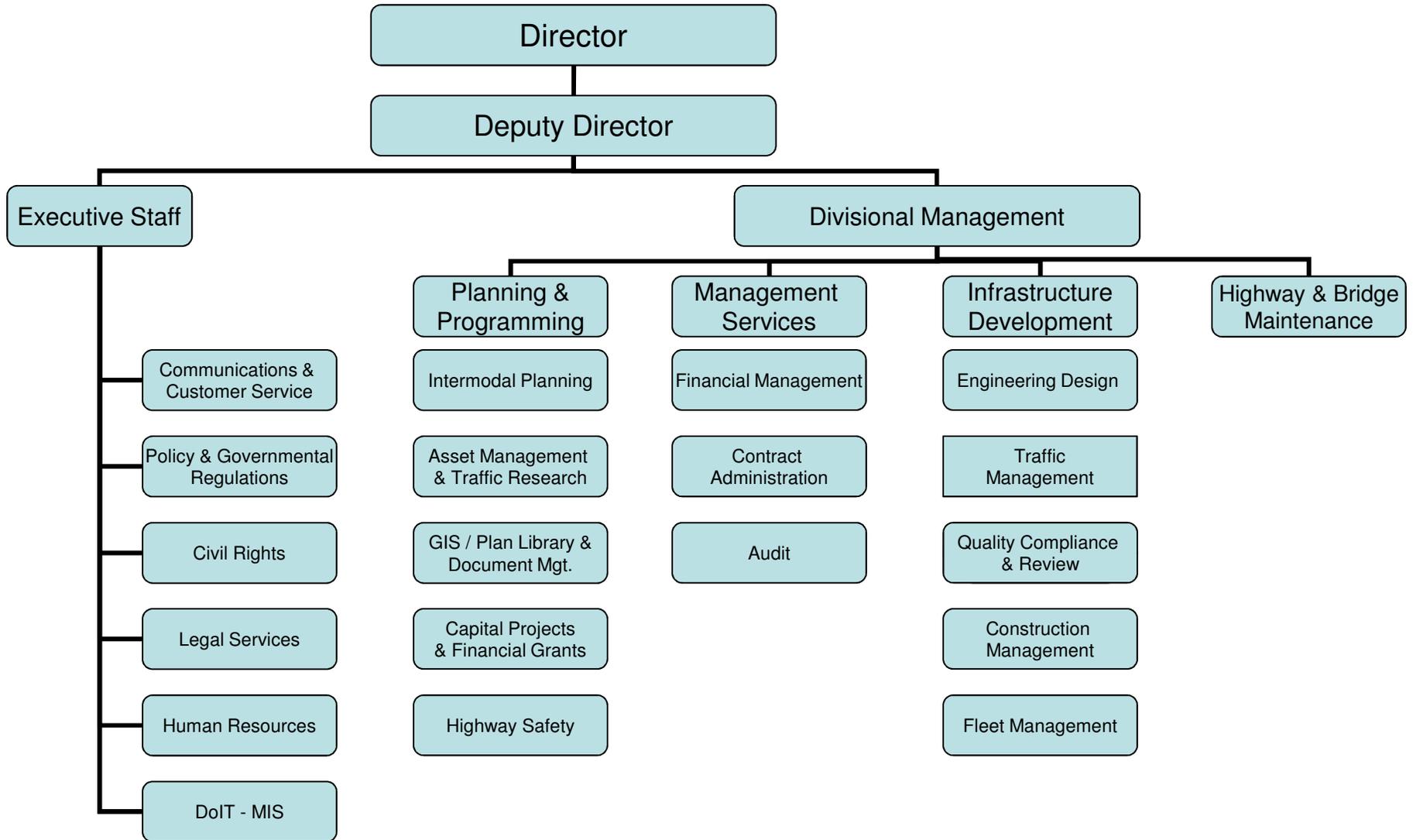
# Budget

## Department Of Transportation

	FY 2014 Audited	FY 2015 Audited	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
<b>Expenditures By Program</b>					
Central Management	8,791,311	10,915,965	10,722,215	12,635,490	9,204,542
Management and Budget	541,785	451,431	4,530,251	3,695,084	3,009,298
Infrastructure-Engineering	345,299,748	349,642,083	375,526,862	384,213,963	367,931,034
Infrastructure-Maintenance	46,846,171	54,630,991	73,037,150	82,314,372	99,312,971
<b>Total Expenditures</b>	<b>\$401,479,015</b>	<b>\$415,640,470</b>	<b>\$463,816,478</b>	<b>\$482,858,909</b>	<b>\$479,457,845</b>
<b>Expenditures By Object</b>					
Personnel	113,373,605	105,200,498	105,784,797	115,217,941	119,348,964
Operating Supplies and Expenses	30,542,470	39,250,124	42,918,764	34,181,887	34,381,436
Assistance and Grants	15,956,087	9,397,574	33,949,795	37,241,084	24,396,400
<b>Subtotal: Operating Expenditures</b>	<b>159,872,162</b>	<b>153,848,196</b>	<b>182,653,356</b>	<b>186,640,912</b>	<b>178,126,800</b>
Capital Purchases and Equipment	129,847,221	122,578,529	155,974,801	210,051,288	215,394,670
Operating Transfers	111,759,632	139,213,745	125,188,321	86,166,709	85,936,375
<b>Total Expenditures</b>	<b>\$401,479,015</b>	<b>\$415,640,470</b>	<b>\$463,816,478</b>	<b>\$482,858,909</b>	<b>\$479,457,845</b>
<b>Expenditures By Funds</b>					
Federal Funds	284,457,818	267,656,266	263,615,422	274,256,147	272,409,980
Restricted Receipts	7,202,773	2,411,276	1,000,000	159,506	180,219
Operating Transfers from Other Funds	11,465,302	22,679,324	39,300,000	46,988,530	33,843,444
Other Funds	98,353,122	122,893,604	159,901,056	161,454,726	173,024,202
<b>Total Expenditures</b>	<b>\$401,479,015</b>	<b>\$415,640,470</b>	<b>\$463,816,478</b>	<b>\$482,858,909</b>	<b>\$479,457,845</b>
<b>FTE Authorization</b>	<b>752.6</b>	<b>752.6</b>	<b>752.6</b>	<b>752.0</b>	<b>741.0</b>

# The Agency

## Department of Transportation



# Personnel

## Department Of Transportation Agency Summary

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Classified		748.0	46,921,490	737.0	46,677,666
Unclassified		4.0	387,290	4.0	388,654
<b>Subtotal</b>		<b>752.0</b>	<b>\$47,308,780</b>	<b>741.0</b>	<b>\$47,066,320</b>
Cost Allocation from Other Programs		-	6,354,859	-	6,354,859
Cost Allocation to Other Programs		-	(\$6,354,859)	-	(\$6,354,859)
Overtime		-	4,528,000	-	4,845,000
Turnover		-	(\$5,087,639)	-	(\$2,598,591)
<b>Subtotal</b>		<b>-</b>	<b>(\$559,639)</b>	<b>-</b>	<b>\$2,246,409</b>
<b>Total Salaries</b>		<b>752.0</b>	<b>\$46,749,141</b>	<b>741.0</b>	<b>\$49,312,729</b>
<b>Benefits</b>					
Payroll Accrual			249,612		273,449
Holiday			15,000		20,000
FICA			3,514,881		3,652,292
Retiree Health			2,593,555		2,674,303
Health Benefits			9,887,747		10,820,069
Retirement			10,664,253		11,599,493
Contract Stipends			32,000		228,697
<b>Subtotal</b>			<b>\$26,957,048</b>		<b>\$29,268,303</b>
<b>Total Salaries and Benefits</b>		<b>752.0</b>	<b>\$73,706,189</b>	<b>741.0</b>	<b>\$78,581,032</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$98,014</b>		<b>\$106,047</b>
<b>Statewide Benefit Assessment</b>			<b>\$1,983,652</b>		<b>\$2,103,332</b>
<b>Payroll Costs</b>		<b>752.0</b>	<b>\$75,689,841</b>	<b>741.0</b>	<b>\$80,684,364</b>
<b>Purchased Services</b>					
Legal Services			700,000		250,000
Other Contracts			150,300		162,800
Information Technology			93,200		93,200
Design and Engineering Services			38,464,200		38,138,200
Management & Consultant Services			100,000		-
Medical Services			20,400		20,400
<b>Subtotal</b>			<b>\$39,528,100</b>		<b>\$38,664,600</b>
<b>Total Personnel</b>		<b>752.0</b>	<b>\$115,217,941</b>	<b>741.0</b>	<b>\$119,348,964</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		349.0	\$81,311,764	347.0	\$85,395,326
Restricted Receipts		-	\$159,506	-	\$180,219
Other Funds		403.0	\$33,746,671	394.0	\$33,773,419
<b>Total All Funds</b>		<b>752.0</b>	<b>\$115,217,941</b>	<b>741.0</b>	<b>\$119,348,964</b>

# Performance Measures

## Department Of Transportation

### *Roadway Fatalities (Five-Year Average)*

The Rhode Island Department of Transportation (RIDOT) is committed to reducing the number of fatalities on Rhode Island roadways. RIDOT defines a fatality as any unintentional or medical death that occurs within 720 hours following a crash on a RI public road. RIDOT's objective is to move toward zero deaths on RI roadways, with an interim goal to halve the number of fatalities between 2010 and 2030 (from 67 to 33). The figures below represent the average number of roadway fatalities for the previous five calendar years.

	2013	2014	2015	2016	2017
<b>Target</b>	69	63	59	56	53
<b>Actual</b>	65	52	--	--	--

Performance for this measure is reported by calendar year.

### *Structurally Deficient National Highway System (NHS) Bridge Decking*

Based on Federal Highway Administration criteria, bridges with enough deterioration to negatively affect load capacity are deemed "structurally deficient." The figures below represent the percentage of RI's NHS bridge decking that is structurally deficient. [Note: Targets would be revised upon passage of RHODEWorks.]

	2013	2014	2015	2016	2017
<b>Target</b>	10%	10%	25%	26%	29%
<b>Actual</b>	29%	20.5%	21.1%	--	--

Performance for this measure is reported by state fiscal year.

### *Pavement Conditions (Interstate System)*

RIDOT's Pavement Structural Health Index formula includes roughness, rutting, cracking and patching. The figures below represent the PSHI for Rhode Island's interstates, weighted by traffic volume and with a deterioration rate of 1.5. [Note: Targets would be revised upon passage of RHODEWorks.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	85	85
<b>Actual</b>	87	88	89	--	--

Performance for this measure is reported by state fiscal year.

### *Pavement Conditions (NHS System Non-Interstate)*

The figures below represent the PSHI for Rhode Island's National Highway System roadways, excluding interstates, weighted by traffic volume and with a deterioration rate of 1.5. [Note: Targets would be revised upon passage of RHODEWorks.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	75	75
<b>Actual</b>	77	78	77	--	--

Performance for this measure is reported by state fiscal year.

# Performance Measures

## Department Of Transportation

### *Drainage*

To address environmental concerns and be in conformance with the recent Decree filed by the Department of Justice and the Environmental Protection Agency, RIDOT will inspect half of its catch basins and manholes in 2016 and in 2017. The figures below represent the percentage of drainage structures that were inspected.

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	50%	50%
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year.

### *Construction Projects Completed within the Original Schedule*

When construction projects are completed on time or ahead of schedule, public benefits include improved safety, mobility, and livability. The figures below represent the percentage of construction projects initiated since 4/1/15 that finish within five percent of the substantial completion date indicated at the time of award, weighted by original contract value. [Note: This is a new measure; no historical data are available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	80%	80%
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year.

### *Construction Projects Completed within the Original Budget*

The figures below represent the percentage of construction projects initiated since 4/1/15 that finish within five percent of the bid amount at the time of award, weighted by original contract value. [Note: This is a new measure; no historical data are available.]

	2013	2014	2015	2016	2017
<b>Target</b>	--	--	--	80%	80%
<b>Actual</b>	--	--	--	--	--

Performance for this measure is reported by state fiscal year.

# The Program

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## Department Of Transportation Central Management

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### **Program Mission**

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

### **Program Description**

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Office of Legal Counsel is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the Department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefit programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the Department by handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation's website: [www.dot.state.ri.us](http://www.dot.state.ri.us).

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits.

### **Statutory History**

R.I.G.L. 42-13 governs the Department of Transportation.

# The Budget

## Department Of Transportation Central Management

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	8,791,311	10,915,965	10,722,215	12,635,490	9,204,542
<b>Total Expenditures</b>	<b>\$8,791,311</b>	<b>\$10,915,965</b>	<b>\$10,722,215</b>	<b>\$12,635,490</b>	<b>\$9,204,542</b>
<b>Expenditures By Object</b>					
Personnel	4,734,369	4,904,263	2,888,750	4,433,242	3,415,692
Operating Supplies and Expenses	401,518	433,727	285,525	284,650	297,750
Assistance and Grants	3,561,290	3,169,222	3,712,042	4,076,600	4,076,600
<b>Subtotal: Operating Expenditures</b>	<b>8,697,177</b>	<b>8,507,212</b>	<b>6,886,317</b>	<b>8,794,492</b>	<b>7,790,042</b>
Capital Purchases and Equipment	94,134	2,408,753	3,835,898	3,840,998	1,414,500
<b>Total Expenditures</b>	<b>\$8,791,311</b>	<b>\$10,915,965</b>	<b>\$10,722,215</b>	<b>\$12,635,490</b>	<b>\$9,204,542</b>
<b>Expenditures By Funds</b>					
Federal Funds	7,558,109	9,891,432	8,540,000	8,852,846	6,610,622
Other Funds	1,233,202	1,024,533	2,182,215	3,782,644	2,593,920
<b>Total Expenditures</b>	<b>\$8,791,311</b>	<b>\$10,915,965</b>	<b>\$10,722,215</b>	<b>\$12,635,490</b>	<b>\$9,204,542</b>

# Personnel

## Department Of Transportation Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DEPARTMENT OF	00149A	1.0	140,176	1.0	140,920
ADMINISTRATOR FOR REAL ESTATE (DOT)	00139A	1.0	111,793	1.0	112,360
ADMINISTRATOR FOR POLICY AND COMMUNITY	00139A	1.0	99,337	1.0	98,962
INTERNAL AUDIT MANAGER (DOA)	00136A <sup>1</sup>	1.0	94,536	-	-
CHIEF CIVIL ENGINEER (TRAFFIC DESIGN)	00138A	1.0	93,687	1.0	94,751
DEPUTY CHIEF OF LEGAL SERVICES	00137A	4.0	362,899	4.0	364,351
CHIEF PUBLIC AFFAIRS OFFICER (DOT)	00137A	1.0	86,778	1.0	87,239
CHIEF REAL ESTATE SPECIALIST (DOT)	00032A	1.0	86,719	1.0	87,179
CHIEF STATE HIGHWAY SAFETY PROGRAMS (DOT)	00136A	1.0	83,784	1.0	84,229
PRINCIPAL PROPERTY MANAGEMENT OFFICER	02832A <sup>2</sup>	1.0	83,220	-	-
SENIOR LEGAL COUNSEL	00134A	3.0	240,935	3.0	245,190
LEGISLATIVE LIAISON OFFICER	00131A	1.0	79,789	1.0	80,212
HIGHWAY SAFETY PROGRAM COORDINATOR (DOT)	02831A	4.0	307,222	4.0	308,448
PROGRAMMING SERVICES OFFICER	00131A	3.0	228,903	5.0	354,243
CHIEF COMMUNITY LIAISON OFFICER	00129A	1.0	73,798	1.0	74,190
LEGAL COUNSEL	00132A	1.0	72,091	1.0	72,473
PRINCIPAL RESEARCH TECHNICIAN	00127A	1.0	70,680	1.0	71,050
PRINCIPAL RESEARCH TECHNICIAN	00027A	1.0	70,253	1.0	70,615
CHIEF OF INFORMATION AND PUBLIC RELATIONS	00129A	1.0	67,381	1.0	67,739
SENIOR PHOTOGRAPHIC SPECIALIST	00024A	1.0	61,950	1.0	62,279
SENIOR REAL ESTATE SPECIALIST (DOT)	00026A	1.0	58,628	1.0	58,930
ASSISTANT ADMINISTRATIVE OFFICER	00021A	1.0	57,078	1.0	57,377
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	55,069	1.0	55,361
REAL ESTATE SPECIALIST	00023A	3.0	164,329	2.0	120,509
SENIOR INFORMATION AND PUBLIC RELATIONS	00124A	2.0	105,196	1.0	40,792
CLERK SECRETARY	00K16A	1.0	49,278	1.0	49,540
EXECUTIVE ASSISTANT	00118A	2.0	96,335	2.0	96,602
HISTORIC PRESERVATION SPECIALIST	00021A	1.0	46,979	1.0	47,228
INFORMATION AND PUBLIC RELATIONS	00121A	1.0	46,867	1.0	47,116
IMPLEMENTATION AIDE	00122A	1.0	45,638	1.0	45,638
SENIOR WORD PROCESSING TYPIST	00012A	1.0	40,576	1.0	40,792
<b>Subtotal</b>		<b>45.0</b>	<b>\$3,281,904</b>	<b>43.0</b>	<b>\$3,136,315</b>
<b>Unclassified</b>					
DIRECTOR, DEPARTMENT OF TRANSPORTATION	30947K	1.0	130,000	1.0	130,000
EXECUTIVE COUNSEL	00839A	1.0	107,693	1.0	108,264
INDUSTRIAL REPRESENTATIVE (BUSINESS &	00131A	1.0	90,203	1.0	90,681
ADMINISTRATIVE ASSISTANT	00825A	1.0	59,394	1.0	59,709
<b>Subtotal</b>		<b>4.0</b>	<b>\$387,290</b>	<b>4.0</b>	<b>\$388,654</b>

# Personnel

## Department Of Transportation Central Management

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		-	(1,707,124)	-	(1,707,124)
<b>Subtotal</b>		-	<b>(\$1,707,124)</b>	-	<b>(\$1,707,124)</b>
<b>Total Salaries</b>		<b>49.0</b>	<b>\$1,962,070</b>	<b>47.0</b>	<b>\$1,817,845</b>
<b>Benefits</b>					
Payroll Accrual			10,711		12,319
FICA			148,470		152,487
Retiree Health			116,663		119,552
Health Benefits			289,119		365,268
Retirement			476,187		516,322
Contract Stipends			-		192,697
<b>Subtotal</b>			<b>\$1,041,150</b>		<b>\$1,358,645</b>
<b>Total Salaries and Benefits</b>		<b>49.0</b>	<b>\$3,003,220</b>	<b>47.0</b>	<b>\$3,176,490</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$61,290</b>		<b>\$67,585</b>
<b>Statewide Benefit Assessment</b>			<b>\$95,022</b>		<b>\$101,702</b>
<b>Payroll Costs</b>		<b>49.0</b>	<b>\$3,098,242</b>	<b>47.0</b>	<b>\$3,278,192</b>
<b>Purchased Services</b>					
Management & Consultant Services			100,000		-
Legal Services			500,000		50,000
Other Contracts			85,000		87,500
Design and Engineering Services			650,000		-
<b>Subtotal</b>			<b>\$1,335,000</b>		<b>\$137,500</b>
<b>Total Personnel</b>		<b>49.0</b>	<b>\$4,433,242</b>	<b>47.0</b>	<b>\$3,415,692</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		5.0	\$906,198	5.0	\$1,092,372
Other Funds		44.0	\$3,527,044	42.0	\$2,323,320
<b>Total All Funds</b>		<b>49.0</b>	<b>\$4,433,242</b>	<b>47.0</b>	<b>\$3,415,692</b>

1 Transfer 1.0 Internal Audit Manager FTE position to the Department of Administration in FY 2017.

2 Transfer 1.0 Principal Property Management Officer FTE position to the Department of Administration in FY 2017.

# The Program

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## Department Of Transportation Management and Budget

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### **Program Mission**

To provide the necessary support staff and systems needed to ensure efficient program services.

### **Program Description**

The Management and Budget Program consists of three functional units: The Financial Management Division, the Administrative Services Division and the Transportation Support Division.

The Administrative Services Division develops and implements a comprehensive administrative support services program, oversees and manages Contract Administration, the Office of Business and Community Resources, which includes the Department's external Education and Advancement initiatives and holds the responsibility for all departmental administrative, programming, coordination and liaison duties for the University of Rhode Island's Transportation Center. The Office of Contracts and Specifications establishes formal business arrangements between DOT and suppliers of all major goods and services that the Department deems necessary to purchase from the private sector. The External Audit Section serves as the final review for contract payments to consulting engineers, utilities, municipalities and public entities engaged to assist the Department in successful mission accomplishment. The Financial Management Section maintains oversight and administration of the entire Department's operating and highway expenditures. The Fleet Operations Unit is composed of a Fleet Management Officer and Support Personnel. The primary function is to maintain and enhance the quality of the Department's communications system and fleet of vehicles and to keep them in good, safe operating condition.

### **Statutory History**

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

# The Budget

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## Department Of Transportation Management and Budget

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	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	541,785	451,431	4,530,251	3,695,084	3,009,298
<b>Total Expenditures</b>	<b>\$541,785</b>	<b>\$451,431</b>	<b>\$4,530,251</b>	<b>\$3,695,084</b>	<b>\$3,009,298</b>
<b>Expenditures By Object</b>					
Personnel	216,409	203,748	2,551,446	2,237,109	2,247,423
Operating Supplies and Expenses	245,426	228,875	371,345	350,475	354,375
<b>Subtotal: Operating Expenditures</b>	<b>461,835</b>	<b>432,623</b>	<b>2,922,791</b>	<b>2,587,584</b>	<b>2,601,798</b>
Capital Purchases and Equipment	79,950	18,808	1,607,460	1,107,500	407,500
<b>Total Expenditures</b>	<b>\$541,785</b>	<b>\$451,431</b>	<b>\$4,530,251</b>	<b>\$3,695,084</b>	<b>\$3,009,298</b>
<b>Expenditures By Funds</b>					
Other Funds	541,785	451,431	4,530,251	3,695,084	3,009,298
<b>Total Expenditures</b>	<b>\$541,785</b>	<b>\$451,431</b>	<b>\$4,530,251</b>	<b>\$3,695,084</b>	<b>\$3,009,298</b>

# Personnel

## Department Of Transportation Management and Budget

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
DEPUTY DIRECTOR (DEPARTMENT OF	00149A	1.0	167,305	1.0	168,179
ASSISTANT DIRECTOR FOR ADMINISTRATIVE SERV	00143A	1.0	136,260	1.0	136,972
CONTRACTS AND SPECIFICATIONS	00139A	1.0	109,104	1.0	109,683
ASSOCIATE DIRECTOR (FINANCIAL MANAGEMENT)	00144A	1.0	102,860	1.0	106,211
SENIOR INTERNAL AUDIT MANAGER (DOA)	00140A 6	1.0	102,467	-	-
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT	00141A	1.0	99,050	1.0	102,859
INTERNAL AUDIT MANAGER (DOA)	00136A	1.0	98,446	1.0	98,968
ADMINISTRATOR, FINANCIAL MANAGEMENT	00137A	2.0	192,437	2.0	192,989
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	4.0	341,616	4.0	343,413
CHIEF OF STAFF DEVELOPMENT, TRAINING &	00135A	1.0	80,778	1.0	81,206
CHIEF PROGRAM DEVELOPMENT	00134A	1.0	78,678	1.0	82,219
PRINCIPAL PROGRAM ANALYST	00K28A	1.0	76,956	1.0	77,346
SUPERVISING ACCOUNTANT	02831A	3.0	229,153	3.0	230,334
INVESTIGATIVE AUDITOR	00133A 4	2.0	151,796	-	-
ADMINISTRATOR EXTERNAL CIVIL RIGHTS	00136A	1.0	75,782	1.0	75,782
CHIEF STANDARDS AND INSPECTION	02831A	2.0	149,018	2.0	149,805
FLEET OPERATIONS OFFICER	00030A	1.0	73,528	1.0	73,919
CHIEF IMPLEMENTATION AIDE	00128A	1.0	72,457	1.0	72,841
FISCAL MANAGEMENT OFFICER	00K26A	3.0	204,521	3.0	212,670
SENIOR EXTERNAL EQUAL OPPORTUNITY	00027A	4.0	270,484	4.0	274,418
PRINCIPAL ACCOUNTING POLICY AND METHODS	00028A	1.0	64,904	1.0	65,248
AUDITOR	00K22A 3	1.0	62,362	1.0	53,003
SENIOR EQUAL OPPORTUNITY OFFICER	00126A	1.0	61,192	1.0	61,508
BUSINESS MANAGEMENT OFFICER	00026A	1.0	59,622	1.0	59,924
IMPLEMENTATION AIDE	00122A	1.0	58,019	1.0	58,323
SENIOR AUDITOR	00025A 5	1.0	57,487	-	-
ASSISTANT ADMINISTRATIVE OFFICER	00021A	2.0	111,821	2.0	112,407
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	55,845	1.0	56,131
TRAINING SUPERVISOR	00126A	1.0	53,730	1.0	56,604
EXECUTIVE ASSISTANT	00118A	1.0	44,886	1.0	45,125
CONTRACTS SPECIALIST I (DOT)	00023A	1.0	44,342	1.0	44,577
ACCOUNTANT	00020A	2.0	86,153	2.0	89,066
BILLING SPECIALIST	00018A	4.0	166,024	4.0	167,553
STUDENT FINANCIAL ASSISTANT	00277G	-	27,027	-	27,156
<b>Subtotal</b>		<b>51.0</b>	<b>\$3,766,110</b>	<b>47.0</b>	<b>\$3,486,439</b>

# Personnel

## Department Of Transportation Management and Budget

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
Cost Allocation to Other Programs		-	(2,100,834)	-	(2,100,834)
Turnover		-	(354,669)	-	(80,007)
<b>Subtotal</b>		-	<b>(\$2,455,503)</b>	-	<b>(\$2,180,841)</b>
<b>Total Salaries</b>		<b>51.0</b>	<b>\$1,310,607</b>	<b>47.0</b>	<b>\$1,305,598</b>
<b>Benefits</b>					
Payroll Accrual			7,040		8,064
FICA			100,992		98,865
Retiree Health			79,235		77,360
Health Benefits			246,000		251,107
Retirement			320,031		331,596
<b>Subtotal</b>			<b>\$753,298</b>		<b>\$766,992</b>
<b>Total Salaries and Benefits</b>		<b>51.0</b>	<b>\$2,063,905</b>	<b>47.0</b>	<b>\$2,072,590</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$40,469</b>		<b>\$44,098</b>
<b>Statewide Benefit Assessment</b>			<b>\$68,004</b>		<b>\$69,633</b>
<b>Payroll Costs</b>		<b>51.0</b>	<b>\$2,131,909</b>	<b>47.0</b>	<b>\$2,142,223</b>
<b>Purchased Services</b>					
Information Technology			93,200		93,200
Design and Engineering Services			12,000		12,000
<b>Subtotal</b>			<b>\$105,200</b>		<b>\$105,200</b>
<b>Total Personnel</b>		<b>51.0</b>	<b>\$2,237,109</b>	<b>47.0</b>	<b>\$2,247,423</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		51.0	\$2,237,109	47.0	\$2,247,423
<b>Total All Funds</b>		<b>51.0</b>	<b>\$2,237,109</b>	<b>47.0</b>	<b>\$2,247,423</b>

3 Transfer 1.0 Auditor FTE position to the Department of Administration in FY 2017.

5 Transfer 1.0 Senior Auditor FTE position to the Department of Administration in FY 2017.

4 Transfer 1.0 Investigative Auditor FTE position to the Department of Administration in FY 2017.

6 Transfer 1.0 Senior Internal Audit Manager FTE position to the Department of Administration in FY 2017.

# The Program

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## Department Of Transportation Infrastructure-Engineering

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### Program Mission

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

### Program Description

The Infrastructure Engineering Program includes all design and construction activities, as well as many ancillary support functions, for the State's road and bridge construction program. The program encompasses the Construction, Design and Planning components of the Transportation Development Division.

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Environmental and Administrative Personnel. Transportation Development is responsible for the development of projects and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section is responsible for the administration and inspection of all highway, bridge, traffic signal, and destination signing and freight/commuter rail construction activities throughout the State. The Design Section includes Road, Bridge and Engineering Traffic Sections. The Road Section designs and manages roadway improvements design projects performed by consultants. The Bridge Section manages replacement and rehab priorities, administering engineering design contracts, overseeing the design process and insuring conformance with State and Federal policies and design standards, construction phase reconnaissance. The Engineering Traffic Section manages traffic and safety improvement projects from the design study phase to advertising for bids. The Traffic Research Section collects data to maintain and update several of the Department's primary database and information management systems. The Office of Environmental Programs is charged with the responsibility of strengthening the Department's communication and compliance efforts with respect to environmental issues. The Material's Unit is responsible for all quality assurance sampling and testing conducted for the Department's construction and maintenance programs. The Research and Technology Development Section's responsibilities lie in transportation engineering research, product evaluation and technology transfer. The Transportation Management Center functions as the main point for the integration of multiple intelligent transportation systems to better manage traffic on roadways. The Final Review Section is responsible for review and verification of construction quantities of all contract items. The Occupational Safety & Health and Work Zone Safety Section administer the Department's comprehensive safety and health program in accordance with Rhode Island General Law 28-20-10. The Capital Programming Unit develops and monitors RIDOT's Capital Transportation Program to best meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP). Lastly, the Intermodal Transportation Planning Section develops and implements planning projects by developing an integrated transportation system that provides convenient transition between mode shifts.

### Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

# The Budget

## Department Of Transportation Infrastructure-Engineering

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	345,299,748	349,642,083	375,526,862	384,213,963	367,931,034
<b>Total Expenditures</b>	<b>\$345,299,748</b>	<b>\$349,642,083</b>	<b>\$375,526,862</b>	<b>\$384,213,963</b>	<b>\$367,931,034</b>
<b>Expenditures By Object</b>					
Personnel	90,772,135	81,292,169	81,106,975	86,110,757	90,209,511
Operating Supplies and Expenses	5,306,432	7,433,308	3,045,054	3,059,917	3,131,381
Assistance and Grants	11,885,642	5,637,457	29,685,453	32,612,184	19,750,724
<b>Subtotal: Operating Expenditures</b>	<b>107,964,209</b>	<b>94,362,934</b>	<b>113,837,482</b>	<b>121,782,858</b>	<b>113,091,616</b>
Capital Purchases and Equipment	125,575,907	116,065,404	138,566,852	179,036,137	172,892,679
Operating Transfers	111,759,632	139,213,745	123,122,528	83,394,968	81,946,739
<b>Total Expenditures</b>	<b>\$345,299,748</b>	<b>\$349,642,083</b>	<b>\$375,526,862</b>	<b>\$384,213,963</b>	<b>\$367,931,034</b>
<b>Expenditures By Funds</b>					
Federal Funds	276,899,709	257,764,834	255,075,422	265,403,301	265,799,358
Restricted Receipts	7,202,773	2,411,276	1,000,000	159,506	180,219
Operating Transfers from Other Funds	7,771,776	19,046,391	34,850,000	43,638,530	27,320,000
Other Funds	53,425,490	70,419,582	84,601,440	75,012,626	74,631,457
<b>Total Expenditures</b>	<b>\$345,299,748</b>	<b>\$349,642,083</b>	<b>\$375,526,862</b>	<b>\$384,213,963</b>	<b>\$367,931,034</b>

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
CHIEF ENGINEER (DOT)	00149A	1.0	166,790	1.0	167,664
ADMINISTRATOR, TRANSPORTATION PLANNING & DEPUTY CHIEF ENGINEER (DOT)	00145A	1.0	148,443	1.0	149,215
ASSOCIATE CHIEF ENGINEER (DOT)	00143A	2.0	272,300	2.0	273,011
MANAGING ENGINEER (DOT)	00141A	6.0	735,356	6.0	739,198
CHIEF CIVIL ENGINEER (BRIDGE DESIGN)	00138A	1.0	109,290	1.0	109,861
CHIEF, OFFICE OF INTERMODAL PROGRAMS (DOT)	00139A	1.0	109,104	1.0	109,683
CHIEF CIVIL ENGINEER (TRAFFIC DESIGN)	00138A	1.0	107,637	1.0	108,196
CHIEF CIVIL ENGINEER (ROAD DESIGN)	00138A	2.0	215,142	2.0	216,262
CHIEF CIVIL ENGINEER (MATERIALS)	00138A	1.0	107,297	1.0	107,857
CHIEF CIVIL ENGINEER (CONSTRUCTION & CHIEF STATE HIGHWAY SAFETY PROGRAMS (DOT)	00138A	5.0	525,694	5.0	528,421
CHIEF CIVIL ENGINEER (TRANSPORTATION PROGRAMMER/ANALYST MANAGER	00138A	1.0	101,028	1.0	106,774
ADMINISTRATOR HIGHWAY & BRIDGE MANAGER OF SURVEY OPERATIONS (DOT)	00140A	1.0	97,588	1.0	98,106
SUPERVISING CIVIL ENGINEER (BRIDGE DESIGN)	00135A	1.0	97,339	1.0	97,853
SUPERVISING CIVIL ENGINEER (CONSTRUCTION & INTERNAL AUDIT MANAGER (DOA)	02835A	2.0	193,816	2.0	194,820
PRINCIPAL CIVIL ENGINEER (MATERIALS)	00136A	1.0	96,908	1.0	97,187
MANAGER STATE TRAFFIC OPERATIONS CENTER	00033A	7.0	636,788	7.0	640,091
PRINCIPAL CIVIL ENGINEER (CONSTRUCTION & CHIEF OF ELECTRICAL AND TRAFFIC	02835A	1.0	90,635	1.0	91,116
HEALTH AND SAFETY OFFICER (DOT)	00033A	11.0	991,328	11.0	996,497
DATABASE ADMINISTRATOR ADABAS/NATURAL PROGRAMMER/ANALYST II (ORACLE)	02833A	1.0	89,962	1.0	90,426
CHIEF PROGRAM DEVELOPMENT	00133A	1.0	89,564	1.0	90,031
PRINCIPAL CIVIL ENGINEER (DESIGN)	02835A	1.0	89,314	1.0	89,774
HIGHWAY CONSTRUCTION AND MAINTENANCE	00032A	1.0	89,235	1.0	89,695
CHIEF REAL ESTATE SPECIALIST (DOT)	02834A	1.0	89,204	1.0	89,678
SUPERVISING HISTORIC PRESERVATION REAL ESTATE APPRAISER III	00033A	14.0	1,244,386	14.0	1,250,831
PROFESSIONAL LAND SURVEYOR	02833A	2.0	176,869	2.0	177,799
CHIEF PROGRAM DEVELOPMENT	00032A	1.0	87,816	1.0	88,276
PRINCIPAL PROPERTY MANAGEMENT OFFICER	00031A	1.0	86,713	1.0	87,156
SENIOR CIVIL ENGINEER (TRANSPORTATION DEPARTMENT BUDGET ADMINISTRATOR	00032A	1.0	85,513	1.0	85,964
PROGRAMMING SERVICES OFFICER	00032A	2.0	169,826	2.0	170,726
SUPERVISOR, CONSTRUCTION RECORDS	00134A	1.0	84,168	1.0	87,951
PRINCIPAL ENVIRONMENTAL SCIENTIST	02832A	1.0	84,127	1.0	84,574
SUPERVISOR OF MATERIALS STANDARDS AND REAL ESTATE APPRAISER II	00031A	1.0	84,004	1.0	84,438
PRINCIPAL AUDITOR	00134A	1.0	81,787	1.0	82,221
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST II	00131A	2.0	161,594	2.0	162,441
PRINCIPAL HISTORIC PRESERVATION SPECIALIST	00030A	1.0	80,737	1.0	81,163
	02832A	1.0	80,622	1.0	81,050
	00031A	3.0	239,587	3.0	240,842
	00029A	1.0	77,008	1.0	77,409
	00028A	1.0	75,264	1.0	75,649
	00028A	3.0	222,437	3.0	223,585
	00028A	1.0	73,823	1.0	74,201

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SENIOR CIVIL ENGINEER (DESIGN)	00031A	21.0	1,527,628	21.0	1,541,573
PRINCIPAL RESEARCH TECHNICIAN	00027A	1.0	72,500	1.0	73,424
SUPERVISING LANDSCAPE ARCHITECT	00032A	1.0	72,266	1.0	72,649
SENIOR CIVIL ENGINEER (CONSTRUCTION &	00031A	10.0	720,553	10.0	731,322
SENIOR ENVIRONMENTAL SCIENTIST	00030A	2.0	143,714	2.0	144,478
SUPERVISING BRIDGE SAFETY INSPECTOR	00027A	2.0	142,621	2.0	143,361
ENGINEERING TECHNICIAN IV (NATURAL	00027A	1.0	71,281	1.0	71,651
SUPERVISING PLANNER	02831A	4.0	284,269	4.0	287,556
ENGINEERING TECHNICIAN IV (ADMINISTRATIVE	00027A	3.0	212,914	3.0	219,228
ENGINEERING TECHNICIAN IV (CONSTRUCTION &	00027A	25.0	1,772,128	25.0	1,788,351
SENIOR CIVIL ENGINEER (MATERIALS)	00031A	5.0	349,044	5.0	353,677
SURVEY PARTY CHIEF	00026A	1.0	69,377	1.0	69,740
SENIOR PLANNER	00026A	2.0	138,467	2.0	139,185
GEOGRAPHIC INFORMATION SYSTEM SPECIALIST I	00026A	1.0	68,748	1.0	69,103
PRINCIPAL PLANNER	00029A	2.0	137,386	2.0	137,796
SENIOR INDUSTRIAL SAFETY SPECIALIST	00025A	1.0	68,456	1.0	68,805
OFFICE MANAGER	00K23A	2.0	134,935	2.0	135,631
ENGINEERING TECHNICIAN IV (MATERIALS)	00027A	3.0	202,232	3.0	203,298
SENIOR AUDITOR	00025A <sup>8</sup>	2.0	133,562	1.0	67,023
CHIEF COMPLIANCE INSPECTOR	02830A	1.0	64,684	1.0	65,027
COMMUNITY LIAISON OFFICER	00024A	1.0	63,104	1.0	63,433
CONTRACTS SPECIALIST II (DOT)	00027A	3.0	189,206	3.0	187,688
ENGINEERING TECHNICIAN IV (CONSTRUCTION	00027A	1.0	62,363	1.0	62,694
REAL ESTATE APPRAISER I	00027A	2.0	124,726	2.0	125,388
SUPERVISING GEOGRAPHIC INFORMATION	02832A	1.0	61,950	1.0	61,950
PROGRAMMER/ANALYST I (ORACLE)	00028A	1.0	61,813	1.0	62,141
OFFICE MANAGER	00123A	1.0	60,805	1.0	61,128
ENGINEERING TECHNICIAN III (CONSTRUCTION	00023A	2.0	121,265	2.0	121,905
ENVIRONMENTAL SCIENTIST	00026A	1.0	59,828	1.0	60,145
CIVIL ENGINEER	00027A	14.0	836,483	14.0	851,479
SENIOR REAL ESTATE SPECIALIST (DOT)	00026A	2.0	118,360	2.0	123,154
ENGINEERING TECHNICIAN III (CONSTRUCTION &	02923A	1.0	58,735	1.0	59,047
ENGINEERING TECHNICIAN III (CONSTRUCTION &	00023A	24.0	1,397,025	22.0	1,300,967
ENGINEERING TECHNICIAN III (MATERIALS)	00023A	25.0	1,442,834	25.0	1,457,733
SENIOR LANDSCAPE ARCHITECT	00026A	1.0	56,979	1.0	57,281
ELECTRICAL INSPECTOR (TRANSPORTATION)	00023A	4.0	225,108	4.0	226,300
CIVIL ENGINEERING ASSOCIATE	00025A	4.0	224,174	4.0	225,349
SENIOR EXTERNAL EQUAL OPPORTUNITY	00027A	1.0	55,985	1.0	59,709
AUDITOR	00K22A <sup>7</sup>	3.0	163,444	-	-
INFORMATION SERVICES TECHNICIAN II	00020A	1.0	53,469	1.0	53,753
BRIDGE SAFETY INSPECTOR	00023A	2.0	106,710	2.0	108,707
RECORDS ANALYST	00024A	1.0	52,724	1.0	53,003
PLANNER	00022A	2.0	104,922	2.0	107,032
ASSISTANT ADMINISTRATIVE OFFICER	00021A	3.0	151,542	3.0	154,033
BILLING SPECIALIST	00018A	1.0	50,349	1.0	50,617
EXECUTIVE ASSISTANT	00118A	1.0	50,230	1.0	50,230

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SYSTEMS SUPPORT TECHNICIAN I	03418A	1.0	49,621	1.0	49,880
INFORMATION SERVICES TECHNICIAN I	00016A	1.0	47,477	1.0	47,729
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	46,867	1.0	47,116
ENGINEERING TECHNICIAN II (CONSTRUCTION & ENGINEERING TECHNICIAN II (MATERIALS)	00019A	41.0	1,906,182	39.0	1,846,124
ENGINEERING TECHNICIAN II (CONSTRUCTION	00019A	7.0	325,354	7.0	331,942
ENGINEERING TECHNICIAN II (ADMINISTRATIVE	00019A	7.0	313,928	7.0	318,955
HISTORIC PRESERVATION SPECIALIST	00021A	1.0	44,107	1.0	44,341
CLERK SECRETARY	00021A	1.0	44,038	1.0	45,426
CLERK SECRETARY	00K16A	5.0	218,856	5.0	221,791
SEMI-SKILLED LABORER	00010G	1.0	41,634	1.0	41,855
COMMUNICATION SYSTEM OPERATOR (DOT)	02816A	9.0	368,508	9.0	373,776
ENGINEERING TECHNICIAN II (CONSTRUCTION & ENGINEERING TECHNICIAN I	000001	3.0	115,845	3.0	116,460
CHIEF FACILITIES MANAGEMENT OFFICER (DOT)	00013A	34.0	1,311,672	34.0	1,317,308
ENGINEERING ASSISTANT (DOT)	00135A	1.0	-	1.0	97,824
ENGINEERING ASSISTANT (DOT)	00264G	-	122,348	-	122,978
ENGINEERING TECHNICIAN II (SURVEY)	00019A	-	-	1.0	52,101
ENGINEERING TECHNICIAN III (ADMINISTRATIVE	00023A	-	-	1.0	62,153
PUBLIC AFFAIRS ASSISTANT	00277G	-	132,277	-	132,923
SEASONAL TECHNICAL FIELD INTERN	00228G	-	48,539	-	48,797
STUDENT CIVIL ENGINEER (DOT)	00277G	-	1,203,256	-	1,209,566
STUDENT CIVIL ENGINEER (DOT)	00277H	-	63,839	-	64,179
STUDENT ENVIRONMENTAL ASSISTANT	00277G	-	55,476	-	55,741
STUDENT FINANCIAL ASSISTANT	00277G	-	249,285	-	250,566
STUDENT MIS SUPPORT ASSISTANT	00277G	-	167,559	-	168,330
STUDENT PLANNING ASSISTANT	00277G	-	183,023	-	183,897
<b>Subtotal</b>		<b>400.0</b>	<b>\$28,397,381</b>	<b>395.0</b>	<b>\$28,528,444</b>
Cost Allocation from Other Programs		-	6,354,859	-	6,354,859
Cost Allocation to Other Programs		-	(2,546,901)	-	(2,546,901)
Overtime		-	1,665,000	-	1,670,000
Turnover		-	(3,942,835)	-	(1,955,380)
<b>Subtotal</b>		<b>-</b>	<b>\$1,530,123</b>	<b>-</b>	<b>\$3,522,578</b>
<b>Total Salaries</b>		<b>400.0</b>	<b>\$29,927,504</b>	<b>395.0</b>	<b>\$32,051,022</b>
<b>Benefits</b>					
Payroll Accrual			166,766		183,011
Holiday			3,000		5,000
FICA			2,228,006		2,318,136
Retiree Health			1,739,615		1,802,843
Health Benefits			5,784,477		6,415,091
Retirement			7,037,362		7,694,747
<b>Subtotal</b>			<b>\$16,959,226</b>		<b>\$18,418,828</b>

# Personnel

## Department Of Transportation Infrastructure-Engineering

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Total Salaries and Benefits</b>		400.0	\$46,886,730	395.0	\$50,469,850
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			\$117,217		\$127,772
<b>Statewide Benefit Assessment</b>			\$1,328,527		\$1,414,161
<b>Payroll Costs</b>		400.0	\$48,215,257	395.0	\$51,884,011
<b>Purchased Services</b>					
Legal Services			200,000		200,000
Other Contracts			40,300		50,300
Design and Engineering Services			37,653,200		38,073,200
Medical Services			2,000		2,000
<b>Subtotal</b>			<b>\$37,895,500</b>		<b>\$38,325,500</b>
<b>Total Personnel</b>		<b>400.0</b>	<b>\$86,110,757</b>	<b>395.0</b>	<b>\$90,209,511</b>
<b>Distribution By Source Of Funds</b>					
Federal Funds		344.0	\$80,405,566	342.0	\$84,302,954
Restricted Receipts		-	\$159,506	-	\$180,219
Other Funds		56.0	\$5,545,685	53.0	\$5,726,338
<b>Total All Funds</b>		<b>400.0</b>	<b>\$86,110,757</b>	<b>395.0</b>	<b>\$90,209,511</b>

7 Transfer 2.0 Auditor FTE positions to the Department of Administration in FY 2017.

8 Transfer 1.0 Senior Auditor FTE position to the Department of Administration in FY 2017.

# The Program

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## Department Of Transportation Infrastructure-Maintenance

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### **Program Mission**

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. The objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

### **Program Description**

The Division of Maintenance is responsible for the routine maintenance of approximately 1,300 miles of state highways, 834 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, review of excess property prior to sale, contract preparation, coordination of requests for pavement projects, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects, ensure compliance with state and federal environmental regulations, and responsible for statewide herbicide spraying, tree trimming/removal, adopt-a-highway and in-house beautification projects around the State. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs and other roadway maintenance tasks.

### **Statutory History**

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles. R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads. R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

# The Budget

## Department Of Transportation Infrastructure-Maintenance

	2014 Audited	2015 Audited	2016 Enacted	2016 Revised	2017 Recommend
<b>Expenditures By Subprogram</b>					
Operations	46,846,171	54,630,991	73,037,150	82,314,372	99,312,971
<b>Total Expenditures</b>	<b>\$46,846,171</b>	<b>\$54,630,991</b>	<b>\$73,037,150</b>	<b>\$82,314,372</b>	<b>\$99,312,971</b>
<b>Expenditures By Object</b>					
Personnel	17,650,692	18,800,318	19,237,626	22,436,833	23,476,338
Operating Supplies and Expenses	24,589,094	31,154,214	39,216,840	30,486,845	30,597,930
Assistance and Grants	509,155	590,895	552,300	552,300	569,076
<b>Subtotal: Operating Expenditures</b>	<b>42,748,941</b>	<b>50,545,427</b>	<b>59,006,766</b>	<b>53,475,978</b>	<b>54,643,344</b>
Capital Purchases and Equipment	4,097,230	4,085,564	11,964,591	26,066,653	40,679,991
Operating Transfers	-	-	2,065,793	2,771,741	3,989,636
<b>Total Expenditures</b>	<b>\$46,846,171</b>	<b>\$54,630,991</b>	<b>\$73,037,150</b>	<b>\$82,314,372</b>	<b>\$99,312,971</b>
<b>Expenditures By Funds</b>					
Operating Transfers from Other Funds	3,693,526	3,632,933	4,450,000	3,350,000	6,523,444
Other Funds	43,152,645	50,998,058	68,587,150	78,964,372	92,789,527
<b>Total Expenditures</b>	<b>\$46,846,171</b>	<b>\$54,630,991</b>	<b>\$73,037,150</b>	<b>\$82,314,372</b>	<b>\$99,312,971</b>

# Personnel

## Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATOR HIGHWAY AND BRIDGE	00145A	1.0	127,119	1.0	127,983
MANAGING ENGINEER (DOT)	00141A <sup>9</sup>	1.0	125,185	-	-
ASSISTANT ADMINISTRATOR, FINANCIAL	00134A	1.0	88,262	1.0	88,716
CHIEF HIGHWAY MAINTENANCE FIELD	02835A	2.0	172,879	2.0	182,232
FLEET MANAGEMENT OFFICER (DOT)	02833A	2.0	164,270	2.0	165,142
CHIEF CIVIL ENGINEER (CONSTRUCTION &	00138A	1.0	78,002	1.0	78,002
SENIOR CIVIL ENGINEER (CONSTRUCTION &	02931A <sup>10</sup>	3.0	225,449	2.0	156,208
SUPERVISING ELECTRICAL INSPECTOR (DOT)	02928A	1.0	72,872	1.0	73,250
BUSINESS MANAGEMENT OFFICER	02926A	1.0	65,602	1.0	65,943
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	1.0	64,730	1.0	65,066
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	1.0	63,289	1.0	63,617
HIGHWAY MAINTENANCE SUPERINTENDENT	02925A	7.0	433,612	7.0	436,826
CHIEF OF MOTOR POOL AND MAINTENANCE	02926A	1.0	60,269	1.0	60,589
ELECTRICAL INSPECTOR (TRANSPORTATION)	00023A	1.0	60,026	1.0	60,026
ELECTRICAL INSPECTOR (TRANSPORTATION)	03423A	8.0	448,538	8.0	455,666
SUPERVISOR, FLEET MAINTENANCE (DOT)	02926A	1.0	55,731	1.0	57,835
SUPERVISING PREAUDIT CLERK	02921A	1.0	55,410	1.0	55,698
ASSISTANT ADMINISTRATIVE OFFICER	03421A	1.0	53,782	1.0	54,068
MAINTENANCE SUPERINTENDENT	02922A	1.0	52,581	1.0	52,855
IMPLEMENTATION AIDE	00122A	1.0	50,735	1.0	58,323
MATERIALS MANAGEMENT & INVENTORY	02923A	2.0	101,100	2.0	105,214
HIGHWAY GRAPHICS TECHNICIAN	03420A	2.0	99,695	2.0	100,224
ROAD MAINTENANCE SUPERVISOR (BRIDGE)	02919A	2.0	95,253	2.0	95,760
DIESEL TRUCK AND HEAVY EQUIPMENT	00318A	5.0	237,821	5.0	238,847
EXECUTIVE ASSISTANT	00118A	2.0	95,116	2.0	95,621
ROAD MAINTENANCE SUPERVISOR (ROADS)	02919A	20.0	939,205	20.0	944,747
HIGHWAY MAINTENANCE OPERATOR II	00318G	10.0	458,020	10.0	460,450
HIGHWAY MAINTENANCE TECHNICIAN	00317G	3.0	136,755	3.0	137,480
HIGHWAY MAINTENANCE OPERATOR II	03418G	11.0	481,451	11.0	484,473
LABORER SUPERVISOR	02913G	1.0	43,078	1.0	43,306
MECHANICAL PARTS STOREKEEPER	00313A	4.0	171,471	4.0	172,381
DIESEL TRUCK AND HEAVY EQUIPMENT	03418A	4.0	170,844	4.0	171,806
HIGHWAY MAINTENANCE OPERATOR I	00314G	41.0	1,667,254	41.0	1,678,983
BUILDING SUPERINTENDENT	02918A	1.0	40,643	1.0	41,296
FISCAL CLERK	03414A	1.0	40,017	1.0	40,229
HIGHWAY MAINTENANCE TECHNICIAN	03417G	1.0	39,953	1.0	40,165
MASON	03414G	3.0	118,403	3.0	119,029
HIGHWAY MAINTENANCE OPERATOR I	13414G	1.0	39,325	1.0	39,534
BRIDGE MAINTENANCE WORKER	03417G	57.0	2,217,097	57.0	2,219,241
HIGHWAY MAINTENANCE OPERATOR I	00317G	1.0	38,747	1.0	38,953
MECHANICAL PARTS STOREKEEPER	03413A	3.0	113,263	3.0	114,646
MOTOR EQUIPMENT OPERATOR	03411G	1.0	37,189	1.0	37,387
HIGHWAY MAINTENANCE OPERATOR I	03414G	37.0	1,335,956	37.0	1,387,030
SEMI-SKILLED LABORER	03410G	2.0	69,226	2.0	69,592
ENGINEERING TECHNICIAN III (CONSTRUCTION &	02923A	-	-	2.0	120,316

# Personnel

## Department Of Transportation Infrastructure-Maintenance

	Grade	FY 2016		FY 2017	
		FTE	Cost	FTE	Cost
SEASONAL TECHNICAL FIELD INTERN	00228G	-	118,713	-	119,307
STUDENT FINANCIAL ASSISTANT	00277G	-	52,157	-	52,406
<b>Subtotal</b>		<b>252.0</b>	<b>\$11,476,095</b>	<b>252.0</b>	<b>\$11,526,468</b>
Overtime		-	2,863,000	-	3,175,000
Turnover		-	(790,135)	-	(563,204)
<b>Subtotal</b>		<b>-</b>	<b>\$2,072,865</b>	<b>-</b>	<b>\$2,611,796</b>
<b>Total Salaries</b>		<b>252.0</b>	<b>\$13,548,960</b>	<b>252.0</b>	<b>\$14,138,264</b>
<b>Benefits</b>					
Payroll Accrual			65,095		70,055
Holiday			12,000		15,000
FICA			1,037,413		1,082,804
Retiree Health			658,042		674,548
Health Benefits			3,568,151		3,788,603
Retirement			2,830,673		3,056,828
Contract Stipends			32,000		36,000
<b>Subtotal</b>			<b>\$8,203,374</b>		<b>\$8,723,838</b>
<b>Total Salaries and Benefits</b>		<b>252.0</b>	<b>\$21,752,334</b>	<b>252.0</b>	<b>\$22,862,102</b>
<b>Cost Per FTE Position (Excluding Temporary and Seasonal)</b>			<b>\$86,319</b>		<b>\$90,723</b>
<b>Statewide Benefit Assessment</b>			<b>\$492,099</b>		<b>\$517,836</b>
<b>Payroll Costs</b>		<b>252.0</b>	<b>\$22,244,433</b>	<b>252.0</b>	<b>\$23,379,938</b>
<b>Purchased Services</b>					
Other Contracts			25,000		25,000
Design and Engineering Services			149,000		53,000
Medical Services			18,400		18,400
<b>Subtotal</b>			<b>\$192,400</b>		<b>\$96,400</b>
<b>Total Personnel</b>		<b>252.0</b>	<b>\$22,436,833</b>	<b>252.0</b>	<b>\$23,476,338</b>
<b>Distribution By Source Of Funds</b>					
Other Funds		252.0	\$22,436,833	252.0	\$23,476,338
<b>Total All Funds</b>		<b>252.0</b>	<b>\$22,436,833</b>	<b>252.0</b>	<b>\$23,476,338</b>

9 Transfer 1.0 Managing Engineer FTE position to the Department of Administration in FY 2017.

10 Transfer 1.0 Senior Civil Engineer FTE position to the Department of Administration in FY 2017.