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## **Agency Summary**

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### **DEPARTMENT OF BUSINESS REGULATION**

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#### **Agency Mission**

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a prosperous and sound business environment that serves the public interest.

#### **Agency Description**

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Insurance, Building, Design and Fire Professionals, Commercial Licensing and Gaming and Athletics Licensing, and the Office of the Health Insurance Commissioner. The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, Chief of Intoxicating Beverages, and State Boxing Commissioner. The Department also houses other commissions including the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, the Certified Constables' Board, and the Racing and Athletics Hearing Board. The Department issues over 200,000 licenses and conducts administrative hearings involving issuances, administrative penalties, denials, suspensions and/or revocations

#### **Statutory History**

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

# Budget

## DEPARTMENT OF BUSINESS REGULATION

	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
<b>Expenditures by Program</b>					
Central Management	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
Banking Regulation	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
Securities Regulation	897,138	957,930	1,030,879	1,062,926	1,098,495
Insurance Regulation	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
Board of Accountancy	6,046	5,332	6,000	5,887	5,883
Commercial Licensing and Gaming and Athletics Licensing	2,097,854	1,968,154	2,880,397	2,946,546	2,499,077
Boards for Design Professionals	355,606	5	0	0	0
Office of Health Insurance Commissioner	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
Division of Building, Design and Fire Professionals	0	328,201	7,975,651	8,438,567	9,367,901
Office of Cannabis Regulation	0	0	0	0	4,991,300
<b>Total Expenditures</b>	<b>14,129,016</b>	<b>14,702,783</b>	<b>24,509,397</b>	<b>25,145,467</b>	<b>30,770,515</b>
<b>Expenditures by Object</b>					
Salary And Benefits	10,737,990	10,926,490	18,066,649	18,042,668	21,308,118
Contract Professional Services	2,640,895	2,099,000	2,516,682	2,750,182	2,667,857
Operating Supplies And Expenses	635,160	1,658,883	3,774,100	3,714,162	5,880,802
Assistance And Grants	0	0	80,000	80,000	330,000
<b>Subtotal: Operating</b>	<b>14,014,045</b>	<b>14,684,373</b>	<b>24,437,431</b>	<b>24,587,012</b>	<b>30,186,777</b>
Capital Purchases And Equipment	114,971	18,410	71,966	558,455	583,738
<b>Subtotal: Other</b>	<b>114,971</b>	<b>18,410</b>	<b>71,966</b>	<b>558,455</b>	<b>583,738</b>
<b>Total Expenditures</b>	<b>14,129,016</b>	<b>14,702,783</b>	<b>24,509,397</b>	<b>25,145,467</b>	<b>30,770,515</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	9,864,377	10,534,356	17,430,457	16,864,098	18,508,156
Federal Funds	1,645,328	1,022,931	892,631	1,346,487	765,694
Restricted Receipts	2,619,311	3,145,496	6,119,812	6,379,524	11,115,466
Operating Transfers From Other Funds	0	0	66,497	555,358	381,199
<b>Total Expenditures</b>	<b>14,129,016</b>	<b>14,702,783</b>	<b>24,509,397</b>	<b>25,145,467</b>	<b>30,770,515</b>
<b>FTE Authorization</b>	<b>100.0</b>	<b>106.0</b>	<b>161.0</b>	<b>162.0</b>	<b>187.0</b>

# Personnel Agency Summary

## DEPARTMENT OF BUSINESS REGULATION

	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
Classified	140.0	9,227,513	164.0	11,340,802
Unclassified	22.0	1,952,651	23.0	1,932,176
<b>Subtotal</b>	<b>162.0</b>	<b>11,180,164</b>	<b>187.0</b>	<b>13,272,978</b>
Regular Wages		0		1,573,744
Salaries Adjustment		0		(98,658)
Overtime (1.5)		139,685		150,232
Seasonal/Special Salaries/Wages		47,579		47,579
Turnover		(330,754)		(460,722)
<b>Total Salaries</b>		<b>11,036,674</b>		<b>12,906,476</b>
<b>Benefits</b>				
Contract Stipends		9,300		9,300
FICA		852,640		1,019,902
Health Benefits		1,846,543		2,230,178
Payroll Accrual		63,736		76,683
Retiree Health		654,252		867,175
Retirement		3,093,475		3,675,276
<b>Subtotal</b>		<b>6,519,946</b>		<b>7,878,514</b>
<b>Total Salaries and Benefits</b>	<b>162.0</b>	<b>17,556,620</b>	<b>187.0</b>	<b>20,784,990</b>
<b>Cost Per FTE Position</b>		<b>108,374</b>		<b>111,150</b>
Statewide Benefit Assessment		486,048		523,128
<b>Payroll Costs</b>	<b>162.0</b>	<b>18,042,668</b>	<b>187.0</b>	<b>21,308,118</b>
<b>Purchased Services</b>				
Buildings and Ground Maintenance		1,185		1,185
Clerical and Temporary Services		5,208		5,208
Design and Engineering Services		4,200		4,200
Information Technology		78,000		40,700
Management & Consultant Services		2,436,423		2,291,398
Medical Services		12,439		12,439
Other Contracts		31,798		131,798
Training and Educational Services		180,929		180,929
<b>Subtotal</b>		<b>2,750,182</b>		<b>2,667,857</b>
<b>Total Personnel</b>	<b>162.0</b>	<b>20,792,850</b>	<b>187.0</b>	<b>23,975,975</b>
<b>Distribution by Source of Funds</b>				
General Revenue		14,783,252		16,151,815
Federal Funds		1,031,459		461,276
Restricted Receipts		4,912,498		7,291,685
Operating Transfers from Other Funds		65,641		71,199
<b>Total All Funds</b>		<b>20,792,850</b>		<b>23,975,975</b>

# Performance Measures

## DEPARTMENT OF BUSINESS REGULATION

### Timeliness of Banking Division License Issuance

The figures below represent the average amount of time it takes the Banking Division to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	57.00	30.00	40.00	40.00	40.00
<b>Actual</b>	57.00	52.00	27.00	52.00	

### Office of the Health Insurance Commissioner -- Small Group Premium Base Rates

The Office of the Health Insurance Commissioner (OHIC) annually reviews and approves commercial premiums. This review supports OHIC's charge to balance insurance affordability and insurer solvency. This measure focuses on approved premiums for small groups (50 or fewer employees). Due to their size, small groups often have higher rates of increase than large employers and are more vulnerable to changes in their cost structure. OHIC has begun monitoring how closely the effective rates of increase track the approved rates, which will significantly improve future performance data monitoring. The figures below represent the average approved essential health benefits (EHB) base rate increase for small group issuers. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	0.50%	4.00%	4.00%	4.00%	4.00%
<b>Actual</b>	0.50%	8.50%	1.60%	9.00%	

### Timeliness of Design Professionals License Issuance

The Boards for Design Professionals examines and licenses professional engineers, land surveyors, landscape and traditional architects. The figures below represent the average amount of time it takes the Boards for Design Professionals to issue a license. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	94.00	75.00	60.00	60.00	60.00
<b>Actual</b>	94.00	73.00	50.00	73.00	

### OHIC -- Individual Market Premium Base Rates

The figures below represents the average approved individual market premium essential health benefits (EHB) base rate increase. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	-2.50%	4.50%	4.50%	0.00%	0.00%
<b>Actual</b>	-2.50%	6.50%	0.00%	6.50%	

# Performance Measures

## DEPARTMENT OF BUSINESS REGULATION

### Insurance Examiner Utilization Rate

The figures below represent the utilization rate for insurance examiners, calculated by dividing the hours billed to domestic insurance companies by the total hours examiners are available to work. This measure includes only examiner positions whose time is heavily weighted towards examinations with minimal administrative functions. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	61.70%	73.00%	73.00%	73.00%	73.00%
<b>Actual</b>	61.70%	56.20%	60.48%	56.20%	

### Customer Satisfaction

The figures below represent average customer satisfaction across the Department, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	88.00	95.00	95.00	90.00	90.00
<b>Actual</b>	88.00	88.20	89.57	88.18	

### Business Environment Index

This performance measure assesses the average respondent's assessment of the Rhode Island business environment, measured through surveys that contain multiple questions to solicit ratings of 1 to 5 that aggregate to a possible perfect score of 100. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	80.10	90.00	90.00	90.00	90.00
<b>Actual</b>	80.10	81.60	84.24	81.56	

### Timeliness of Complaint Resolution

The figures below represent the average amount of time it takes across the Department to resolve complaints. [Notes: The 2019 target has been revised. Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	2016	2017	2018	2019	2020
<b>Target</b>	5.00	8.00	8.00	9.00	9.00
<b>Actual</b>	5.00	7.00	10.00	7.21	

# Performance Measures

## DEPARTMENT OF BUSINESS REGULATION

### OHIC -- Insurer Surplus as a Percentage of Revenue (SAPOR)

Surplus as a Percent of Revenue (SAPOR) is the industry standard measure of health insurer financial stability. The Office of the Health Insurance Commissioner (OHIC) tracks SAPOR in support of its legislative mandate to monitor insurer solvency. The figures below represent the straight average (unweighted by membership) of insurer SAPOR. Because SAPOR targets vary by insurance company, the targets below are averages for companies operating in the Rhode Island market. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>Target</b>	16.70%	20.50%	20.50%	20.50%	20.50%
<b>Actual</b>	16.70%	17.60%	14.91%	17.70%	

### OHIC -- Value-Based Alternative Payments

The figures below represent the use of value-based alternative payment models as percent of insured medical payments. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>Target</b>	31.00%	40.00%	50.00%	50.00%	50.00%
<b>Actual</b>	31.00%	45.00%	50.00%	40.00%	

### OHIC -- Large Group Premium Base Rates

The figures below represent the average approved large group premium expected overall average premium trend. [Note: Missing values appear as zeros in the measure.]

<i>Frequency: Annual</i>	<i>Reporting Period: State Fiscal Year</i>				
	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>Target</b>	4.90%	4.50%	4.00%	4.00%	4.00%
<b>Actual</b>	4.90%	9.70%	9.20%	9.70%	

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Central Management**

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#### **Mission**

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

#### **Description**

Central Management is composed of the Director's Office, budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services. The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses and approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities. The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies such as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation. Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

#### **Statutory History**

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Central Management

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
<b>Total Expenditures</b>	<b>1,252,611</b>	<b>2,277,612</b>	<b>2,396,826</b>	<b>2,400,746</b>	<b>2,529,586</b>
<b>Expenditures by Object</b>					
Salary and Benefits	1,064,464	1,021,569	1,194,249	1,319,159	1,469,380
Contract Professional Services	21,996	48,207	30,758	30,758	30,758
Operating Supplies and Expenses	154,157	1,206,992	1,169,782	1,048,792	1,027,411
<b>Subtotal: Operating</b>	<b>1,240,617</b>	<b>2,276,768</b>	<b>2,394,789</b>	<b>2,398,709</b>	<b>2,527,549</b>
Capital Purchases And Equipment	11,994	844	2,037	2,037	2,037
<b>Subtotal: Other</b>	<b>11,994</b>	<b>844</b>	<b>2,037</b>	<b>2,037</b>	<b>2,037</b>
<b>Total Expenditures</b>	<b>1,252,611</b>	<b>2,277,612</b>	<b>2,396,826</b>	<b>2,400,746</b>	<b>2,529,586</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	1,252,611	2,277,612	2,396,826	2,400,746	2,529,586
<b>Total Expenditures</b>	<b>1,252,611</b>	<b>2,277,612</b>	<b>2,396,826</b>	<b>2,400,746</b>	<b>2,529,586</b>



# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Central Management

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT DIRECTOR FINANCIAL AND CONTRACT MANAGEMENT	00141A	1.0	111,539	1.0	115,751
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	1.0	103,039	1.0	110,239
CHIEF PREAUDIT SUPERVISOR	00131A	1.0	81,266	1.0	85,967
DEPUTY CHIEF OF LEGAL SERVICES	00137A	1.0	90,096	1.0	93,498
LEGAL ASSISTANT	00119A	1.0	40,327	1.0	41,118
PRINCIPAL ECONOMIC AND POLICY ANALYST	00138A	1.0	80,782	1.0	88,562
SENIOR LEGAL COUNSEL	00134A	2.0	157,526	2.0	163,474
<b>Subtotal Classified</b>		<b>8.0</b>	<b>664,575</b>	<b>8.0</b>	<b>698,609</b>
<b>Unclassified</b>					
DIRECTOR- DEPARTMENT OF BUSINESS REGULATION	20945F	1.0	135,000	1.0	135,000
EXECUTIVE SECRETARY	00819A	1.0	45,639	1.0	48,586
PROGRAM MANAGER	00828A	1.0	84,984	1.0	88,063
<b>Subtotal Unclassified</b>		<b>3.0</b>	<b>265,623</b>	<b>3.0</b>	<b>271,649</b>
<b>Subtotal</b>		<b>11.0</b>	<b>930,198</b>	<b>11.0</b>	<b>970,258</b>
Transfer Out			(60,682)		(62,953)
Turnover			(68,085)		(11,133)
<b>Total Salaries</b>			<b>801,431</b>		<b>896,172</b>
<b>Benefits</b>					
FICA			62,010		68,557
Health Benefits			145,746		159,161
Payroll Accrual			4,686		5,192
Retiree Health			48,477		59,595
Retirement			220,975		245,302
<b>Subtotal</b>			<b>481,894</b>		<b>537,807</b>
<b>Total Salaries and Benefits</b>		<b>11.0</b>	<b>1,283,325</b>	<b>11.0</b>	<b>1,433,979</b>
<b>Cost Per FTE Position</b>			<b>116,666</b>		<b>130,362</b>
Statewide Benefit Assessment			35,834		35,401
<b>Payroll Costs</b>		<b>11.0</b>	<b>1,319,159</b>	<b>11.0</b>	<b>1,469,380</b>
<b>Purchased Services</b>					
Other Contracts			30,758		30,758
<b>Subtotal</b>			<b>30,758</b>		<b>30,758</b>
<b>Total Personnel</b>		<b>11.0</b>	<b>1,349,917</b>	<b>11.0</b>	<b>1,500,138</b>

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## Personnel

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Agency: DEPARTMENT OF BUSINESS REGULATION

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### Central Management

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	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<b>Distribution by Source of Funds</b>				
General Revenue		1,349,917		1,500,138
<b>Total All Funds</b>		<b>1,349,917</b>		<b>1,500,138</b>

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# Program Summary

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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## Banking Regulation

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### Mission

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest. The Banking Division seeks to create a sound financial services industry in the State of Rhode Island by being both a regulator and an advocate. We have interpreted that to mean fostering the growth of a diverse and resilient financial services industry that provides credit to all corners of Rhode Island, holds the confidence of its customers, and whom we do not prevent from competing, innovating, and thriving. We believe that sound and prudent regulation creates a level playing field on which our financial institutions can thrive while at the same time providing protection to Rhode Island consumers.

### Description

The Banking Division provides regulatory oversight of state-chartered banks, state chartered credit unions and Rhode Island bank holding companies through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness. The Division is also responsible for regulating, monitoring and examining other licensees, including almost 2,200 mortgage loan originators and approximately 1,100 companies licensed as debt collectors, third party loan servicers, lenders, loan brokers, small loan lenders, sellers of checks, electronic money transmitters, check cashers and debt-management companies. The purpose of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public. The Division reviews and conducts hearings on applications filed by financial institutions and other licensees. The Division enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

### Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators. R.I. Gen. Laws § 19-14.11 relates to the licensing of third party loan servicers.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Banking Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	1,547,986	1,394,741	1,835,317	1,635,503	1,734,819
<b>Total Expenditures</b>	<b>1,547,986</b>	<b>1,394,741</b>	<b>1,835,317</b>	<b>1,635,503</b>	<b>1,734,819</b>
<b>Expenditures by Object</b>					
Salary and Benefits	1,457,446	1,316,767	1,742,111	1,542,694	1,642,018
Contract Professional Services	2,549	1,312	1,360	1,360	1,360
Operating Supplies and Expenses	80,080	73,322	86,846	86,449	86,441
<b>Subtotal: Operating</b>	<b>1,540,075</b>	<b>1,391,401</b>	<b>1,830,317</b>	<b>1,630,503</b>	<b>1,729,819</b>
Capital Purchases And Equipment	7,911	3,340	5,000	5,000	5,000
<b>Subtotal: Other</b>	<b>7,911</b>	<b>3,340</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>
<b>Total Expenditures</b>	<b>1,547,986</b>	<b>1,394,741</b>	<b>1,835,317</b>	<b>1,635,503</b>	<b>1,734,819</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	1,475,300	1,325,902	1,760,317	1,560,503	1,659,819
Restricted Receipts	72,686	68,839	75,000	75,000	75,000
<b>Total Expenditures</b>	<b>1,547,986</b>	<b>1,394,741</b>	<b>1,835,317</b>	<b>1,635,503</b>	<b>1,734,819</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Banking Regulation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT SUPERVISOR OF EXAMINATIONS	0AB35A	3.0	257,539	3.0	273,829
BANK EXAMINER	0AB24A	2.0	96,095	2.0	103,018
LICENSING AIDE	0AB15A	1.0	47,125	1.0	48,904
PRINCIPAL BANK EXAMINER	0AB31A	1.0	66,651	1.0	71,950
SENIOR BANK EXAMINER	0AB28A	6.0	364,618	6.0	390,085
STATE CHIEF BANK EXAMINER	00139A	1.0	109,145	1.0	113,149
<b>Subtotal Classified</b>		<b>14.0</b>	<b>941,173</b>	<b>14.0</b>	<b>1,000,935</b>
<b>Subtotal</b>		<b>14.0</b>	<b>941,173</b>	<b>14.0</b>	<b>1,000,935</b>
Seasonal/Special Salaries/Wages			11,500		11,500
Turnover			(24,334)		(14,978)
<b>Total Salaries</b>			<b>928,339</b>		<b>997,457</b>
<b>Benefits</b>					
FICA			71,982		76,513
Health Benefits			183,896		183,815
Payroll Accrual			5,372		5,729
Retiree Health			55,422		65,564
Retirement			256,713		273,994
<b>Subtotal</b>			<b>573,385</b>		<b>605,615</b>
<b>Total Salaries and Benefits</b>		<b>14.0</b>	<b>1,501,724</b>	<b>14.0</b>	<b>1,603,072</b>
<b>Cost Per FTE Position</b>			<b>107,266</b>		<b>114,505</b>
Statewide Benefit Assessment			40,970		38,946
<b>Payroll Costs</b>		<b>14.0</b>	<b>1,542,694</b>	<b>14.0</b>	<b>1,642,018</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			720		720
Other Contracts			640		640
<b>Subtotal</b>			<b>1,360</b>		<b>1,360</b>
<b>Total Personnel</b>		<b>14.0</b>	<b>1,544,054</b>	<b>14.0</b>	<b>1,643,378</b>
<b>Distribution by Source of Funds</b>					
General Revenue			1,544,054		1,643,378
<b>Total All Funds</b>			<b>1,544,054</b>		<b>1,643,378</b>

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Securities Regulation**

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#### **Mission**

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

#### **Description**

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives. The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2016, the division processed approximately 131,256 licenses and 14,844 registrations, conducted fifteen (15) on-site examinations of broker dealers and investment advisers, investigated 31 complaints, and instituted Five (5) enforcement actions, pursuant to applicable state and federal laws and regulations.

#### **Statutory History**

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Securities Regulation

<b>Expenditures by Sub Program</b>	<b>2017 Actuals</b>	<b>2018 Actuals</b>	<b>2019 Enacted Budget</b>	<b>2019 Revised Budget</b>	<b>2020 Recommended</b>
Operations	897,138	957,930	1,030,879	1,062,926	1,098,495
<b>Total Expenditures</b>	<b>897,138</b>	<b>957,930</b>	<b>1,030,879</b>	<b>1,062,926</b>	<b>1,098,495</b>
<b>Expenditures by Object</b>					
Salary and Benefits	848,267	939,555	1,001,260	1,033,691	1,069,268
Contract Professional Services	21,419	2,820	0	0	0
Operating Supplies and Expenses	27,452	15,555	29,619	29,235	29,227
<b>Subtotal: Operating</b>	<b>897,138</b>	<b>957,930</b>	<b>1,030,879</b>	<b>1,062,926</b>	<b>1,098,495</b>
<b>Total Expenditures</b>	<b>897,138</b>	<b>957,930</b>	<b>1,030,879</b>	<b>1,062,926</b>	<b>1,098,495</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	896,878	957,912	1,015,879	1,047,926	1,083,495
Restricted Receipts	260	18	15,000	15,000	15,000
<b>Total Expenditures</b>	<b>897,138</b>	<b>957,930</b>	<b>1,030,879</b>	<b>1,062,926</b>	<b>1,098,495</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Securities Regulation

	FY 2019		FY 2020		
	FTE	Cost	FTE	Cost	
<b>Classified</b>					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	43,875	1.0	46,745
ASSISTANT ADMINISTRATIVE OFFICER	0AB21A	1.0	45,115	1.0	45,115
CHIEF SECURITIES EXAMINER	00137A	1.0	90,575	1.0	96,308
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	147,724	1.0	153,090
PRINCIPAL SECURITIES EXAMINER	0AB31A	1.0	75,818	1.0	78,682
SECURITIES EXAMINER	0AB24A	3.0	151,201	3.0	162,468
SENIOR SECURITIES EXAMINER	0AB28A	1.0	70,594	1.0	73,259
<b>Subtotal Classified</b>		<b>9.0</b>	<b>624,902</b>	<b>9.0</b>	<b>655,667</b>
<b>Subtotal</b>		<b>9.0</b>	<b>624,902</b>	<b>9.0</b>	<b>655,667</b>
Turnover			(6,489)		(9,629)
<b>Total Salaries</b>			<b>618,413</b>		<b>646,038</b>
<b>Benefits</b>					
FICA			46,811		48,280
Health Benefits			130,686		127,121
Payroll Accrual			3,614		3,744
Retiree Health			37,371		42,963
Retirement			169,173		175,602
<b>Subtotal</b>			<b>387,655</b>		<b>397,710</b>
<b>Total Salaries and Benefits</b>		<b>9.0</b>	<b>1,006,068</b>	<b>9.0</b>	<b>1,043,748</b>
<b>Cost Per FTE Position</b>			<b>111,785</b>		<b>115,972</b>
Statewide Benefit Assessment			27,623		25,520
<b>Payroll Costs</b>		<b>9.0</b>	<b>1,033,691</b>	<b>9.0</b>	<b>1,069,268</b>
<b>Total Personnel</b>		<b>9.0</b>	<b>1,033,691</b>	<b>9.0</b>	<b>1,069,268</b>
<b>Distribution by Source of Funds</b>					
General Revenue			1,033,691		1,069,268
<b>Total All Funds</b>			<b>1,033,691</b>		<b>1,069,268</b>



## Program Summary

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### Racing and Athletics

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#### Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public. To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state

#### Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

#### Statutory History

R.I. General Law §5-58 relates to auctioneers; R.I. General Law §5-20.5 relates to real estate; R.I. General Law §5-20.7 relates to real estate appraisers; R.I. General Law §5-38 relates to automobile body repair shops; R.I. General Law §5-50 relates to pre-opening of health club sales campaigns; R.I. General Law §6-31 relates to unit pricing; R.I. General Laws §§23-26 relate to bedding and upholstered furniture; R.I. General Laws §§31-44 and §31-44.1 relate to mobile and manufactured homes; R.I. General Law §42-14.2 relates to auto wrecking and salvage yards; R.I. General Laws §§31-37 relate to advertising and sale of motor fuel at retail, R.I. General Law §31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law §3-1 relates to alcoholic beverages. R.I. General Law §21-28.6 relates to the Medical Marijuana Program; R.I. General Law §2-26 relates to industrial hemp. relates to auctioneers. R.I. General Law §41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Insurance Regulation**

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#### **Mission**

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island. To monitor activities of all licensees such as producers, adjusters and appraisers. To ensure consumer access to an equitable insurance market and respond to consumer inquiries and investigate complaints to ensure consumers are treated fairly.

#### **Description**

The Insurance Division is responsible for conducting financial examinations of the 27 domestic insurance companies to ensure financial solvency and is also responsible for overseeing the market conduct of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The Division also performs several licensing functions including, but not limited to, the licensing of over 1,300 insurance companies, over 120,000 insurance producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to protect consumers and maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, June 2009, and in June 2014, each time receiving the maximum accreditation period of five years.

#### **Statutory History**

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Insurance Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	4,896,926	5,126,088	5,966,467	5,690,880	5,931,271
<b>Total Expenditures</b>	<b>4,896,926</b>	<b>5,126,088</b>	<b>5,966,467</b>	<b>5,690,880</b>	<b>5,931,271</b>
<b>Expenditures by Object</b>					
Salary and Benefits	3,704,792	3,794,351	4,121,314	3,866,080	4,106,531
Contract Professional Services	1,025,718	1,207,786	1,635,421	1,635,421	1,635,421
Operating Supplies and Expenses	157,114	118,705	206,232	185,879	185,819
<b>Subtotal: Operating</b>	<b>4,887,624</b>	<b>5,120,842</b>	<b>5,962,967</b>	<b>5,687,380</b>	<b>5,927,771</b>
Capital Purchases And Equipment	9,302	5,246	3,500	3,500	3,500
<b>Subtotal: Other</b>	<b>9,302</b>	<b>5,246</b>	<b>3,500</b>	<b>3,500</b>	<b>3,500</b>
<b>Total Expenditures</b>	<b>4,896,926</b>	<b>5,126,088</b>	<b>5,966,467</b>	<b>5,690,880</b>	<b>5,931,271</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	3,588,377	3,639,227	3,971,607	3,688,858	3,919,342
Restricted Receipts	1,308,549	1,486,861	1,994,860	2,002,022	2,011,929
<b>Total Expenditures</b>	<b>4,896,926</b>	<b>5,126,088</b>	<b>5,966,467</b>	<b>5,690,880</b>	<b>5,931,271</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Insurance Regulation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ASSISTANT ADMINISTRATIVE OFFICER	00121A	1.0	59,365	1.0	61,569
ASSOCIATE DIRECTOR AND SUPERINTENDENT OF INSURANCE	00141A	2.0	258,811	2.0	268,437
CHIEF INSURANCE EXAMINER	00139A	1.0	115,660	1.0	119,937
CHIEF OF LEGAL SERVICES	00139A	1.0	96,757	1.0	102,959
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00146A	1.0	145,288	1.0	153,537
INSURANCE ANALYST	0AB24A	3.0	156,888	3.0	166,748
INSURANCE EXAMINER	0AB24A	5.0	237,599	5.0	257,377
INSURANCE EXAMINER-IN-CHARGE	0AB36A	4.0	383,239	4.0	400,630
LICENSING AIDE	0AB15A	4.0	166,569	4.0	174,445
PRINCIPAL INSURANCE ANALYST	0AB31A	3.0	233,877	3.0	242,467
PRINCIPAL INSURANCE EXAMINER	0AB31A	2.0	141,681	2.0	149,290
SENIOR ACCOUNTANT	0AB23A	1.0	58,010	1.0	60,200
SENIOR INSURANCE ANALYST	0AB28A	1.0	65,820	1.0	69,704
SENIOR INSURANCE EXAMINER	0AB28A	5.0	311,459	5.0	331,466
<b>Subtotal Classified</b>		<b>34.0</b>	<b>2,431,023</b>	<b>34.0</b>	<b>2,558,766</b>
<b>Subtotal</b>		<b>34.0</b>	<b>2,431,023</b>	<b>34.0</b>	<b>2,558,766</b>
Seasonal/Special Salaries/Wages			20,700		20,700
Turnover			(73,810)		(33,969)
<b>Total Salaries</b>			<b>2,377,913</b>		<b>2,545,497</b>
<b>Benefits</b>					
FICA			183,677		194,379
Health Benefits			385,695		384,812
Payroll Accrual			13,812		14,688
Retiree Health			142,359		167,900
Retirement			657,129		699,141
<b>Subtotal</b>			<b>1,382,672</b>		<b>1,460,920</b>
<b>Total Salaries and Benefits</b>		<b>34.0</b>	<b>3,760,585</b>	<b>34.0</b>	<b>4,006,417</b>
<b>Cost Per FTE Position</b>			<b>110,605</b>		<b>117,836</b>
Statewide Benefit Assessment			105,495		100,114
<b>Payroll Costs</b>		<b>34.0</b>	<b>3,866,080</b>	<b>34.0</b>	<b>4,106,531</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			2,648		2,648
Management & Consultant Services			1,622,773		1,622,773

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## Personnel

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Agency: DEPARTMENT OF BUSINESS REGULATION

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### Insurance Regulation

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	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<b>Purchased Services</b>				
Training and Educational Services		10,000		10,000
<b>Subtotal</b>		<b>1,635,421</b>		<b>1,635,421</b>
<b>Total Personnel</b>	<b>34.0</b>	<b>5,501,501</b>	<b>34.0</b>	<b>5,741,952</b>
<b>Distribution by Source of Funds</b>				
General Revenue		3,610,039		3,840,583
Restricted Receipts		1,891,462		1,901,369
<b>Total All Funds</b>		<b>5,501,501</b>		<b>5,741,952</b>

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Board of Accountancy**

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#### **Mission**

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

#### **Description**

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions. The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law. Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above. Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the DBR website, which facilitates information to the general public.

#### **Statutory History**

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

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## Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

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### Board of Accountancy

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	6,046	5,332	6,000	5,887	5,883
<b>Total Expenditures</b>	<b>6,046</b>	<b>5,332</b>	<b>6,000</b>	<b>5,887</b>	<b>5,883</b>
<b>Expenditures by Object</b>					
Contract Professional Services	189	250	0	0	0
Operating Supplies and Expenses	5,857	5,082	6,000	5,887	5,883
<b>Subtotal: Operating</b>	<b>6,046</b>	<b>5,332</b>	<b>6,000</b>	<b>5,887</b>	<b>5,883</b>
<b>Total Expenditures</b>	<b>6,046</b>	<b>5,332</b>	<b>6,000</b>	<b>5,887</b>	<b>5,883</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	6,046	5,332	6,000	5,887	5,883
<b>Total Expenditures</b>	<b>6,046</b>	<b>5,332</b>	<b>6,000</b>	<b>5,887</b>	<b>5,883</b>

## Program Summary

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### Commercial Licensing and Gaming and Athletics Licensing

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#### Mission

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

To enforce, adjust, amend, and interpret all rules and regulations of all professional boxing, and mixed martial arts events in the state.

#### Description

Commercial Licensing and Gaming and Athletics Licensing is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, auctioneers, liquor wholesalers, manufacturers, breweries, wineries, farmer wineries, brew pubs, brew on premise, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, class P caterer, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of gaming and athletics licensing activities, including boxing, and mixed martial arts and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law. The division is also responsible for the licensing, registration and oversight of Medical Marijuana Program participants who commercially produce, manufacture, or sell medical marijuana and industrial hemp. The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

#### Statutory History

R.I. General Law §5-58 relates to auctioneers; R.I. General Law §5-20.5 relates to real estate; R.I. General Law §5-20.7 relates to real estate appraisers; R.I. General Law §5-38 relates to automobile body repair shops; R.I. General Law §5-50 relates to pre-opening of health club sales campaigns; R.I. General Law §6-31 relates to unit pricing; R.I. General Laws §§23-26 relate to bedding and upholstered furniture; R.I. General Laws §§31-44 and §31-44.1 relate to mobile and manufactured homes; R.I. General Law §42-14.2 relates to auto wrecking and salvage yards; R.I. General Laws §§31-37 relate to advertising and sale of motor fuel at retail, R.I. General Law §31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law §3-1 relates to alcoholic beverages. R.I. General Law §21-28.6 relates to the Medical Marijuana Program; R.I. General Law §2-26 relates to industrial hemp. relates to auctioneers. R.I. General Law §41-1 Sports, Racing, and Athletics. On 8/11/2016 the statute was amended to Division of Gaming and Athletics Licensing.



# Budget

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Commercial Licensing and Gaming and Athletics Licensing

<b>Expenditures by Sub Program</b>	<b>2017 Actuals</b>	<b>2018 Actuals</b>	<b>2019 Enacted Budget</b>	<b>2019 Revised Budget</b>	<b>2020 Recommended</b>
Operations	2,097,854	1,968,154	2,880,397	2,946,546	2,499,077
<b>Total Expenditures</b>	<b>2,097,854</b>	<b>1,968,154</b>	<b>2,880,397</b>	<b>2,946,546</b>	<b>2,499,077</b>
<b>Expenditures by Object</b>					
Salary and Benefits	1,768,228	1,829,218	2,144,470	2,162,939	1,715,473
Contract Professional Services	147,421	10,361	21,040	21,040	21,040
Operating Supplies and Expenses	116,135	125,216	576,686	624,366	624,363
Assistance And Grants	0	0	80,000	80,000	80,000
<b>Subtotal: Operating</b>	<b>2,031,784</b>	<b>1,964,795</b>	<b>2,822,196</b>	<b>2,888,345</b>	<b>2,440,876</b>
Capital Purchases And Equipment	66,070	3,359	58,201	58,201	58,201
<b>Subtotal: Other</b>	<b>66,070</b>	<b>3,359</b>	<b>58,201</b>	<b>58,201</b>	<b>58,201</b>
<b>Total Expenditures</b>	<b>2,097,854</b>	<b>1,968,154</b>	<b>2,880,397</b>	<b>2,946,546</b>	<b>2,499,077</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	871,522	845,173	955,251	924,952	976,519
Restricted Receipts	1,226,332	1,122,981	1,925,146	2,021,594	1,522,558
<b>Total Expenditures</b>	<b>2,097,854</b>	<b>1,968,154</b>	<b>2,880,397</b>	<b>2,946,546</b>	<b>2,499,077</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Commercial Licensing and Gaming and Athletics Licensing

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATIVE OFFICER	0AB24A	1.0	50,052	0.0	0
ADMINISTRATOR REAL ESTATE	00135A	1.0	95,949	1.0	99,432
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	00141A	1.0	124,687	1.0	129,299
CHIEF LICENSING EXAMINER-RACING & ATHLETICS	00137A	1.0	84,897	1.0	91,296
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	3.0	236,219	1.0	81,754
FISCAL CLERK	03714A	1.0	36,139	1.0	38,349
IMPLEMENTATION AIDE	0AB22A	1.0	59,536	1.0	61,784
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	1.0	101,319	0.0	0
LEGAL COUNSEL	00132A	1.0	67,277	0.0	0
LICENSING AIDE	00315A	1.0	37,345	1.0	40,286
LICENSING AIDE	0AB15A	3.0	121,733	3.0	127,976
PARI-MUTUEL OPERATIONS SPECIALIST	03726A	4.0	214,267	4.0	228,801
SYSTEMS ANALYST	0AB24A	1.0	55,195	1.0	59,645
<b>Subtotal Classified</b>		<b>20.0</b>	<b>1,284,615</b>	<b>15.0</b>	<b>958,622</b>
<b>Subtotal</b>		<b>20.0</b>	<b>1,284,615</b>	<b>15.0</b>	<b>958,622</b>
Transfer In			60,682		62,953
Regular Wages			0		(386,777)
Seasonal/Special Salaries/Wages			15,379		15,379
Turnover			(37,864)		(9,682)
<b>Total Salaries</b>			<b>1,322,812</b>		<b>1,027,272</b>
<b>Benefits</b>					
FICA			102,856		79,819
Health Benefits			208,907		191,823
Payroll Accrual			7,698		5,977
Retiree Health			78,523		67,292
Retirement			382,719		302,227
<b>Subtotal</b>			<b>780,703</b>		<b>647,138</b>
<b>Total Salaries and Benefits</b>		<b>20.0</b>	<b>2,103,515</b>	<b>15.0</b>	<b>1,674,410</b>
<b>Cost Per FTE Position</b>			<b>105,176</b>		<b>111,627</b>
Statewide Benefit Assessment			59,424		41,063
<b>Payroll Costs</b>		<b>20.0</b>	<b>2,162,939</b>	<b>15.0</b>	<b>1,715,473</b>
<b>Purchased Services</b>					
Clerical and Temporary Services			640		640

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## Personnel

**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Commercial Licensing and Gaming and Athletics Licensing**

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	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<b>Purchased Services</b>				
Management & Consultant Services		20,000		20,000
Other Contracts		400		400
<b>Subtotal</b>		<b>21,040</b>		<b>21,040</b>
<b>Total Personnel</b>	<b>20.0</b>	<b>2,183,979</b>	<b>15.0</b>	<b>1,736,513</b>
<b>Distribution by Source of Funds</b>				
General Revenue		914,646		966,216
Restricted Receipts		1,269,333		770,297
<b>Total All Funds</b>		<b>2,183,979</b>		<b>1,736,513</b>

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Boards for Design Professionals**

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#### **Mission**

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

#### **Description**

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints. In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

#### **Statutory History**

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards— The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous. The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws. The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Boards for Design Professionals

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	355,606	5	0	0	0
<b>Total Expenditures</b>	<b>355,606</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Expenditures by Object</b>					
Salary and Benefits	285,942	7	0	0	0
Contract Professional Services	13,032	1	0	0	0
Operating Supplies and Expenses	56,059	(3)	0	0	0
<b>Subtotal: Operating</b>	<b>355,033</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>
Capital Purchases And Equipment	573	0	0	0	0
<b>Subtotal: Other</b>	<b>573</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total Expenditures</b>	<b>355,606</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	355,606	5	0	0	0
<b>Total Expenditures</b>	<b>355,606</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>

## **Program Summary**

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### **Office of Health Insurance Commissioner**

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#### **Mission**

While ensuring the solvency of health insurers, the Office of the Health Insurance Commissioner strives to protect consumers, encourage the fair treatment of providers, and work collaboratively with all interested parties to improve the health care system's quality, accessibility, and affordability.

#### **Description**

The Office of the Health Insurance Commissioner (OHIC) is the first state agency dedicated solely to health insurance oversight – balancing traditional regulation with policy development. OHIC's core functions include: (1) consumer protection and market conduct; (2) health plan form and rate review across multiple health insurance lines of business (3) statutory and regulatory enforcement; and (4) policy development for health care system reform. OHIC oversees health insurer business practices in a market comprising over one billion dollars of premium. The Department of Business Regulation shares certain administrative and regulatory services and personnel with the Office of the Health Insurance Commissioner as directed by statute.

#### **Statutory History**

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner. Applicable insurer regulations are found in various chapters of the R.I.G.L. Titles 27 and 42.

# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Office of Health Insurance Commissioner

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Operations	3,074,849	2,644,720	2,417,860	2,964,412	2,612,183
<b>Total Expenditures</b>	<b>3,074,849</b>	<b>2,644,720</b>	<b>2,417,860</b>	<b>2,964,412</b>	<b>2,612,183</b>
<b>Expenditures by Object</b>					
Salary and Benefits	1,608,851	1,754,370	1,696,161	2,025,004	1,861,622
Contract Professional Services	1,408,571	826,479	638,150	830,950	648,625
Operating Supplies and Expenses	38,306	58,250	80,321	108,458	101,936
<b>Subtotal: Operating</b>	<b>3,055,728</b>	<b>2,639,099</b>	<b>2,414,632</b>	<b>2,964,412</b>	<b>2,612,183</b>
Capital Purchases And Equipment	19,121	5,621	3,228	0	0
<b>Subtotal: Other</b>	<b>19,121</b>	<b>5,621</b>	<b>3,228</b>	<b>0</b>	<b>0</b>
<b>Total Expenditures</b>	<b>3,074,849</b>	<b>2,644,720</b>	<b>2,417,860</b>	<b>2,964,412</b>	<b>2,612,183</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	1,418,037	1,483,193	1,669,562	1,683,813	1,747,106
Federal Funds	1,645,328	1,022,931	513,791	967,647	386,854
Restricted Receipts	11,484	138,596	234,507	312,952	478,223
<b>Total Expenditures</b>	<b>3,074,849</b>	<b>2,644,720</b>	<b>2,417,860</b>	<b>2,964,412</b>	<b>2,612,183</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Office of Health Insurance Commissioner

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Unclassified</b>					
ADMINISTRATIVE OFFICER	00722A	1.0	48,940	1.0	52,471
ASSISTANT DIRECTOR POLICY OFFICE	00839A	1.0	106,486	1.0	2,537
DEPUTY EXECUTIVE ASSISTANT/ COMMUNICATIONS	00841A	1.0	127,965	1.0	132,796
EXECUTIVE ASSISTANT/CHIEF OF STAFF	00841A	1.0	127,965	1.0	132,796
EXECUTIVE DIRECTOR	00836A	1.0	94,935	1.0	101,792
HEALTH ECONOMIC SPECIALIST	00831A	2.0	142,531	2.0	153,309
HEALTH INSURANCE COMMISSIONER	00854A	1.0	230,572	1.0	246,901
PRINCIPAL POLICY ASSOCIATE	00837A	3.0	274,199	3.0	220,834
SENIOR POLICY ANALYST/PUBLIC INFORMATION	00831A	2.0	141,293	2.0	151,959
<b>Subtotal Unclassified</b>		<b>13.0</b>	<b>1,294,886</b>	<b>13.0</b>	<b>1,195,395</b>
<b>Subtotal</b>		<b>13.0</b>	<b>1,294,886</b>	<b>13.0</b>	<b>1,195,395</b>
Turnover			(7,041)		0
<b>Total Salaries</b>			<b>1,287,845</b>		<b>1,195,395</b>
<b>Benefits</b>					
FICA			93,133		84,697
Health Benefits			143,422		115,129
Payroll Accrual			7,496		6,941
Retiree Health			77,434		79,493
Retirement			357,392		331,643
<b>Subtotal</b>			<b>678,877</b>		<b>617,903</b>
<b>Total Salaries and Benefits</b>		<b>13.0</b>	<b>1,966,722</b>	<b>13.0</b>	<b>1,813,298</b>
<b>Cost Per FTE Position</b>			<b>151,286</b>		<b>139,484</b>
Statewide Benefit Assessment			58,282		48,324
<b>Payroll Costs</b>		<b>13.0</b>	<b>2,025,004</b>	<b>13.0</b>	<b>1,861,622</b>
<b>Purchased Services</b>					
Information Technology			37,300		0
Management & Consultant Services			793,650		648,625
<b>Subtotal</b>			<b>830,950</b>		<b>648,625</b>
<b>Total Personnel</b>		<b>13.0</b>	<b>2,855,954</b>	<b>13.0</b>	<b>2,510,247</b>
<b>Distribution by Source of Funds</b>					
General Revenue			1,613,060		1,680,765
Federal Funds			950,842		380,659
Restricted Receipts			292,052		448,823
<b>Total All Funds</b>			<b>2,855,954</b>		<b>2,510,247</b>



# Program Summary

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**Agency: DEPARTMENT OF BUSINESS REGULATION**

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## **Division of Building, Design and Fire Professionals**

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### **Mission**

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

### **Description**

The Building, Design and Fire Professionals division's purpose is to bring together the state review, regulation, and enforcement associated with all professions related to building and construction design, inspection, and enforcement of the building and fire codes. The division will improve the business climate in the state by providing a single point of contact for building and construction professionals seeking authorization from the state. The division is comprised of Building Code Commission; the Contractors' Registration and Licensing Board; the Fire Code Safety Board of Appeal and Review; the Boards for professional engineers, land surveyors, landscape architects and architects; and the Office of the State Fire Marshal.

The Building Code Commission is responsible for adopting, promulgating, and administering a state building code for the purpose of regulating the design, construction, and use of buildings or structures. The Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. Contractors' Registration and Licensing Board provides a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's Office in District Court. Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeals and disseminates training and technical assistance to local governmental authorities.

The Boards for Design Professionals is composed of the Boards of Registration for Professional Engineers, Professional Land Surveyors, Landscape Architects and Examination and Registration of Architects. Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation, and fire education. The State Fire Marshal's duty is to enforce and perform the duties required by the Fire Safety Code and all other revisions of the general and public laws as it relate to fires, fire prevention, fire protection, fire investigation, and fire education.

### **Statutory History**

RIGL 5-65-15.1 establishes the duties, authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. establishes statutory provisions used in conjunction with additional regulations and administrative provisions contained within the individual codes. RIGL 5-65 et. El., establishes and sets the legislative operating authority for the Contractors' Registration and Licensing Board. Chapter 5-73 sets regulations and the Boards authority over roofing contractors. Chapter 5-65.1 regulates Home Inspectors, which falls under the jurisdiction of the Board; and Chapter 5- 65.2 governs the well drillers. RIGL 23-28.3-1 governs the Fire Code Board of Appeal and Review. R.I.G.L.23-28 establishes the Rhode Island State Fire Marshal and defines its duties. Title 5, Chapter 8.1 establishes the Boards of Engineers Land Surveyors, The Board of Architects was established by Title 5, Chapter 1. The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws of 1975.

# Budget

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Division of Building, Design and Fire Professionals

<b>Expenditures by Sub Program</b>	<b>2017 Actuals</b>	<b>2018 Actuals</b>	<b>2019 Enacted Budget</b>	<b>2019 Revised Budget</b>	<b>2020 Recommended</b>
Contractor's Registration and Licensing Board	0	328,201	1,663,133	1,740,790	1,809,290
Fire Code Board of Appeal and Review	0	0	351,151	340,241	348,370
Fire Marshal	0	0	4,390,404	4,799,307	4,987,056
State Building Code Commission	0	0	1,570,963	1,558,229	2,223,185
<b>Total Expenditures</b>	<b>0</b>	<b>328,201</b>	<b>7,975,651</b>	<b>8,438,567</b>	<b>9,367,901</b>
<b>Expenditures by Object</b>					
Salary and Benefits	0	270,653	6,167,084	6,093,101	6,902,526
Contract Professional Services	0	1,784	189,953	230,653	230,653
Operating Supplies and Expenses	0	55,764	1,618,614	1,625,096	1,924,722
<b>Subtotal: Operating</b>	<b>0</b>	<b>328,201</b>	<b>7,975,651</b>	<b>7,948,850</b>	<b>9,057,901</b>
Capital Purchases And Equipment	0	0	0	489,717	310,000
<b>Subtotal: Other</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>489,717</b>	<b>310,000</b>
<b>Total Expenditures</b>	<b>0</b>	<b>328,201</b>	<b>7,975,651</b>	<b>8,438,567</b>	<b>9,367,901</b>
<b>Expenditures by Source of Funds</b>					
General Revenue	0	0	5,655,015	5,551,413	6,586,406
Federal Funds	0	0	378,840	378,840	378,840
Restricted Receipts	0	328,201	1,875,299	1,952,956	2,021,456
Operating Transfers from Other Funds	0	0	66,497	555,358	381,199
<b>Total Expenditures</b>	<b>0</b>	<b>328,201</b>	<b>7,975,651</b>	<b>8,438,567</b>	<b>9,367,901</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Division of Building, Design and Fire Professionals

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ARCHITECT BUILDING COMMISSION	00335A	1.0	86,456	1.0	89,719
ASSISTANT EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03621A	2.0	87,897	2.0	93,605
CHIEF DEPUTY FIRE MARSHAL	00132A	2.0	149,692	2.0	155,344
CHIEF OF FIRE INVESTIGATIONS	03627A	1.0	58,667	1.0	60,882
CHIEF OF FIRE SAFETY INSPECTIONS	03627A	1.0	68,934	1.0	71,536
CHIEF OF INSPECTIONS	00135A	5.0	429,479	5.0	462,858
CHIEF PLAN REVIEW OFFICER FIRE SAFETY	03627A	1.0	54,933	1.0	59,583
CHIEF STATE BUILDING CODE OFFICIAL (PLUMBING/MECH INSP)	00332A	1.0	83,975	1.0	87,038
CLERK SECRETARY	04016A	1.0	42,057	1.0	43,645
DATA ANALYST	00134A	0.0	0	1.0	75,312
DEPUTY DIRECTOR DEPARTMENT OF BUSINESS REGULATION	00144A	1.0	107,949	1.0	117,088
DIRECTOR OF FIRE TRAINING	00134A	1.0	80,869	1.0	83,923
ECONOMIC AND POLICY ANALYST I	00130A	0.0	0	3.0	193,824
ENFORCEMENT AIDE	00319A	1.0	54,732	1.0	57,026
EXECUTIVE ASSISTANT	00118A	1.0	46,603	1.0	48,363
EXECUTIVE DIRECTOR FIRE SAFETY CODE OF APPEAL & REVIEW	03640A	1.0	106,141	1.0	110,149
EXPLOSIVES AND FLAMMABLE LIQUIDS TECHNICIAN	03626A	2.0	118,165	2.0	122,627
FIRE INVESTIGATOR	03621A	3.0	136,112	3.0	142,781
FIRE SAFETY INSPECTOR	03617A	11.0	422,989	11.0	450,302
FIRE SAFETY TRAINING OFFICER	03627A	2.0	123,201	2.0	127,852
HEARING OFFICER CONTRACTORS' REGISTRATION BOARD	00334A	1.0	95,490	1.0	99,016
IMPLEMENTATION AIDE	00322A	4.0	226,084	4.0	236,326
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	96,083
LEGAL COUNSEL	00132A	0.0	0	1.0	69,798
LICENSING AIDE	00315A	1.0	48,623	2.0	89,932
LICENSING AIDE	03615A	1.0	38,546	1.0	40,001
PRINCIPAL PLANNER	00329A	0.0	0	1.0	61,820
PRINCIPAL STATE BUILDING CODE OFFICIAL (BUILDING)	00331A	1.0	71,110	1.0	73,795
PRINCIPAL STATE BUILDING CODE OFFICIAL (ELECTRICAL)	00331A	1.0	66,627	1.0	72,240
PROGRAMMING SERVICES OFFICER	00131A	1.0	72,034	1.0	74,754
SENIOR FIRE INVESTIGATOR	03623A	1.0	51,455	1.0	53,351
SENIOR FIRE SAFETY INSPECTOR	03619A	4.0	177,780	4.0	184,492

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Division of Building, Design and Fire Professionals

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
SENIOR STATE BUILDING CODE OFFICIAL (BUILDING)	00328A	1.0	63,486	1.0	65,883
STATE BUILDING CODE COMMISSIONER	00142A	1.0	111,139	1.0	115,335
<b>Subtotal Classified</b>		<b>55.0</b>	<b>3,281,225</b>	<b>63.0</b>	<b>3,986,283</b>
<b>Unclassified</b>					
ADMINISTRATIVE AIDE	04514A	1.0	41,494	1.0	43,060
ADMINISTRATIVE ASSISTANT	00323A	2.0	109,707	2.0	116,978
ADMINISTRATIVE SUPPORT SPECIALIST	00324A	1.0	71,584	1.0	74,244
ASSISTANT ADMINISTRATIVE OFFICER	04521A	1.0	53,773	1.0	55,762
STATE FIRE MARSHAL	00843A	1.0	115,584	1.0	125,053
<b>Subtotal Unclassified</b>		<b>6.0</b>	<b>392,142</b>	<b>6.0</b>	<b>415,097</b>
<b>Subtotal</b>		<b>61.0</b>	<b>3,673,367</b>	<b>69.0</b>	<b>4,401,380</b>
Regular Wages			0		440,245
Salaries Adjustment			0		(98,658)
Overtime (1.5)			139,685		150,232
Turnover			(113,131)		(381,331)
<b>Total Salaries</b>			<b>3,699,921</b>		<b>4,066,690</b>
<b>Benefits</b>					
Contract Stipends			9,300		9,300
FICA			292,171		350,456
Health Benefits			648,191		771,100
Payroll Accrual			21,058		25,532
Retiree Health			214,666		282,493
Retirement			1,049,374		1,227,542
<b>Subtotal</b>			<b>2,234,760</b>		<b>2,666,423</b>
<b>Total Salaries and Benefits</b>		<b>61.0</b>	<b>5,934,681</b>	<b>69.0</b>	<b>6,733,113</b>
<b>Cost Per FTE Position</b>			<b>97,290</b>		<b>97,581</b>
Statewide Benefit Assessment			158,420		169,413
<b>Payroll Costs</b>		<b>61.0</b>	<b>6,093,101</b>	<b>69.0</b>	<b>6,902,526</b>
<b>Purchased Services</b>					
Buildings and Ground Maintenance			1,185		1,185
Clerical and Temporary Services			1,200		1,200
Design and Engineering Services			4,200		4,200
Information Technology			40,700		40,700
Medical Services			12,439		12,439

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## Personnel

**Agency: DEPARTMENT OF BUSINESS REGULATION**

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### Division of Building, Design and Fire Professionals

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	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<b>Purchased Services</b>				
Training and Educational Services		170,929		170,929
<b>Subtotal</b>		<b>230,653</b>		<b>230,653</b>
<b>Total Personnel</b>	<b>61.0</b>	<b>6,323,754</b>	<b>69.0</b>	<b>7,133,179</b>
<b>Distribution by Source of Funds</b>				
General Revenue		4,717,845		5,451,467
Federal Funds		80,617		80,617
Restricted Receipts		1,459,651		1,529,896
Operating Transfers from Other Funds		65,641		71,199
<b>Total All Funds</b>		<b>6,323,754</b>		<b>7,133,179</b>

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# Budget

Agency: DEPARTMENT OF BUSINESS REGULATION

## Office of Cannabis Regulation

Expenditures by Sub Program	2017 Actuals	2018 Actuals	2019 Enacted Budget	2019 Revised Budget	2020 Recommended
Adult Use Marijuana Program	0	0	0	0	4,380,515
Medical Marijuana Program	0	0	0	0	610,785
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,991,300</b>
<b>Expenditures by Object</b>					
Salary and Benefits	0	0	0	0	2,541,300
Contract Professional Services	0	0	0	0	100,000
Operating Supplies and Expenses	0	0	0	0	1,895,000
Assistance And Grants	0	0	0	0	250,000
<b>Subtotal: Operating</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,786,300</b>
Capital Purchases And Equipment	0	0	0	0	205,000
<b>Subtotal: Other</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>205,000</b>
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,991,300</b>
<b>Expenditures by Source of Funds</b>					
Restricted Receipts	0	0	0	0	4,991,300
<b>Total Expenditures</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,991,300</b>

# Personnel

## Agency: DEPARTMENT OF BUSINESS REGULATION

### Office of Cannabis Regulation

		FY 2019		FY 2020	
		FTE	Cost	FTE	Cost
<b>Classified</b>					
ADMINISTRATIVE OFFICER	0AB24A	0.0	0	1.0	53,561
ASSOCIATE DIRECTOR DIVISION OF COMMERCIAL LIC AND REGUL	00141A	0.0	0	1.0	97,348
CHIEF OF INSPECTIONS	00135A	0.0	0	1.0	78,172
CHIEF PUBLIC PROTECTION INSPECTOR	0AB32A	0.0	0	10.0	727,145
ECONOMIC AND POLICY ANALYST I	00130A	0.0	0	1.0	64,608
IMPLEMENTATION DIRECTOR POLICY AND PROGRAMS	00140A	0.0	0	1.0	105,144
INTERDEPARTMENTAL PROJECT MANAGER	00139A	0.0	0	1.0	89,807
LEGAL COUNSEL	00132A	0.0	0	1.0	72,350
LICENSING AIDE	00315A	0.0	0	3.0	118,473
SENIOR LEGAL COUNSEL	00134A	0.0	0	1.0	75,312
<b>Subtotal Classified</b>		<b>0.0</b>	<b>0</b>	<b>21.0</b>	<b>1,481,920</b>
<b>Unclassified</b>					
ADMINISTRATIVE OFFICER	00822A	0.0	0	1.0	50,035
<b>Subtotal Unclassified</b>		<b>0.0</b>	<b>0</b>	<b>1.0</b>	<b>50,035</b>
<b>Subtotal</b>		<b>0.0</b>	<b>0</b>	<b>22.0</b>	<b>1,531,955</b>
Regular Wages			0		1,520,276
<b>Total Salaries</b>			<b>0</b>		<b>1,531,955</b>
<b>Benefits</b>					
FICA			0		117,201
Health Benefits			0		297,217
Payroll Accrual			0		8,880
Retiree Health			0		101,875
Retirement			0		419,825
<b>Subtotal</b>			<b>0</b>		<b>944,998</b>
<b>Total Salaries and Benefits</b>		<b>0.0</b>	<b>0</b>	<b>22.0</b>	<b>2,476,953</b>
<b>Cost Per FTE Position</b>			<b>0</b>		<b>112,589</b>
Statewide Benefit Assessment			0		64,347
<b>Payroll Costs</b>		<b>0.0</b>	<b>0</b>	<b>22.0</b>	<b>2,541,300</b>
<b>Purchased Services</b>					
Other Contracts			0		100,000
<b>Subtotal</b>			<b>0</b>		<b>100,000</b>
<b>Total Personnel</b>		<b>0.0</b>	<b>0</b>	<b>22.0</b>	<b>2,641,300</b>

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## Personnel

Agency: DEPARTMENT OF BUSINESS REGULATION

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### Office of Cannabis Regulation

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	FY 2019		FY 2020	
	FTE	Cost	FTE	Cost
<b>Distribution by Source of Funds</b>				
Restricted Receipts		0		2,641,300
<b>Total All Funds</b>		<b>0</b>		<b>2,641,300</b>

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