

SMALL BUSINESS IMPACT STATEMENT - Amended Regulation

Agency Submitting Regulation: Department of Children, Youth and Families

Subject Matter of Amendments to Existing Regulation:

A Small Business Impact Statement has already been submitted for each of the below captioned Regulations. This Impact statement addresses only the amendments to these existing Regulations. Amendments to these Regulations address: updating the internal Individual Treatment and Transition Planning process for residents of the RI Training School, updating ethics regulations for Department employees, and updating the Department's Mission and Vision Statements.

ERLID No:

Comprehensive Assessment and Service Planning 7505 (supercedes 7255)

Code of Ethics Status 7507 (supercedes 6336)

Authorization for Medical Care for Child in Placement 7506 (supercedes 1079)

Mission, Vision and Guiding Principles 7509 (supercedes 1074)

Mission, Philosophy, Goals and Purpose 7508 (supercedes 6612)

Statutory Authority: RIGL 42-72-5

Other Agencies Affected: None

Other Regulations That May Duplicate or Conflict with the Regulation: None

Describe the Scope and Objectives of the Amended Regulations:

These amendments clarify the internal treatment planning process for residents used at the RI Training School, the application of RI Ethics Commission regulations to department staff and the articulation of the Department's Mission and Vision.

Business Industry (s) Affected by Amendments in the Regulation: None.

Types of Businesses Included in the Industry (s): None

Total Number of Small Businesses Included in the Regulated Industry (s) Zero

Number of Small Businesses Potentially Subject to the Amended Regulation: Zero.

What is the cost to your agency of establishing and enforcing this regulation? No additional costs are attached to the amendments.

What would the consequences be if the regulation did not exist? The RITS would not be in compliance with national standards for juveniles and Department staff would not have additional direction regarding state ethics regulations.

Effective Date Used In Cost Estimate: 8-6-13

As noted above, the amendments do not add any cost to the state or incur any cost for any business.

| Yes | No | <i>Yes” or “no”/ a brief explanation.</i> All of the amended regulations provide guidance for state employees in the performance of their responsibilities. |
|---------------------------------|---|---|
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Will small businesses have to create, file, or issue additional reports? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Will small businesses have to implement additional recordkeeping procedures? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Will small businesses have to provide additional administrative oversight? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Will small businesses have to hire additional employees in order to comply with the proposed regulation? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Does compliance with the regulation require small businesses to hire other professionals (e.g. a lawyer, accountant, engineer, etc.)? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Does the regulation require small businesses to purchase a product or make any other capital investments in order to comply with the regulation? |
| Yes | No | Are performance standards more appropriate than design standards? |

| | | |
|---------------------------------|---|---|
| <input type="checkbox"/> | <input checked="" type="checkbox"/> | |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Does the regulation require small businesses to cooperate with audits, inspections, or other regulatory enforcement activities? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Will the regulation have the effect of creating additional taxes and/or fees for small businesses? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Does the regulation require small businesses to provide educational services to keep up to date with regulatory requirements? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Is the regulation likely to <i>deter</i> the formation of small businesses in Rhode Island? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Is the regulation likely to <i>encourage</i> the formation of small businesses in Rhode Island? |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Can the regulation provide for less stringent compliance or reporting requirements for small businesses? There are none. |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Can the regulation establish less stringent schedules or deadlines for compliance or reporting requirements for small businesses? There are none. |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Can the compliance or reporting requirements be consolidated or simplified for small businesses? There are none. |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Can performance standards for small businesses replace design or operational standards? No small business impact. |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Are there alternative regulatory methods that would minimize the adverse impact on small businesses? No small business impact. |
| Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> | Were any small businesses or small business organizations contacted during the preparation of this document? If so, please describe. No, not relevant to small businesses. |